



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☒ Amended return ☐ Address change ☐ Name change

Name of foundation A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.		A Employer identification number 16-6063485
Number and street (or P O box number if mail is not delivered to street address) C/O CHEMUNG CANAL TRUST CO., -PO BOX 152	Room/suite	B Telephone number (607) 538-9248
City or town, state, and ZIP code ELMIRA, NY 14902		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 62,789,058.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		3,125.	3,125.		STATEMENT 1
4 Dividends and interest from securities		1,696,118.	1,696,118.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,613,201.			STATEMENT 3
b Gross sales price for all assets on line 6a 35,123,855.					
7 Capital gain net income (from Part IV, line 2)			1,620,312.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		5,138.	5,138.		STATEMENT 4
12 Total. Add lines 1 through 11		3,317,582.	3,324,693.		
13 Compensation of officers, directors, trustees, etc.		109,828.	0.		109,828.
14 Other employee salaries and wages		28,988.	0.		28,988.
15 Pension plans, employee benefits		34,638.	0.		34,638.
16a Legal fees STMT 5		39,583.	19,791.		19,792.
b Accounting fees STMT 6		24,905.	12,452.		12,453.
c Other professional fees STMT 7		412,734.	407,577.		5,157.
17 Interest					
18 Taxes STMT 8		22,276.	11,657.		10,619.
19 Depreciation and depletion		8,350.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		28,612.	0.		28,612.
22 Printing and publications					
23 Other expenses STMT 9		26,019.	0.		24,099.
24 Total operating and administrative expenses. Add lines 13 through 23		735,933.	451,477.		274,186.
25 Contributions, gifts, grants paid		2,816,373.			2,816,373.
26 Total expenses and disbursements. Add lines 24 and 25		3,552,306.	451,477.		3,090,559.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-234,724.			
b Net investment income (if negative, enter -0-)			2,873,216.		
c Adjusted net income (if negative, enter -0-)				N/A	

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

16-6063485

Page 2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	3,649.	3,527.	3,527.
	2	Savings and temporary cash investments	1,480,818.	2,842,154.	2,842,154.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ 75,000.			
		Less: allowance for doubtful accounts ▶ 0.	75,000.	75,000.	75,000.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 10	6,810,352.	4,193,792.	4,365,962.
	b	Investments - corporate stock STMT 11	23,133,157.	21,452,170.	26,127,582.
	c	Investments - corporate bonds STMT 12	11,283,345.	12,125,892.	12,536,064.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 13	14,538,902.	16,397,823.	16,804,670.
	14	Land, buildings, and equipment basis ▶ 71,701.			
		Less accumulated depreciation ▶ 37,602.	31,175.	34,099.	34,099.
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	57,356,398.	57,124,457.	62,789,058.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 14)	3,993.	6,776.	
	23	Total liabilities (add lines 17 through 22)	3,993.	6,776.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	57,352,405.	57,117,681.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	57,352,405.	57,117,681.	
	31	Total liabilities and net assets/fund balances	57,356,398.	57,124,457.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	57,352,405.
2	Enter amount from Part I, line 27a	2	-234,724.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	57,117,681.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	57,117,681.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 35,123,855.		33,503,543.	1,620,312.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,620,312.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,620,312.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	3,198,557.	53,134,255.	.060198
2008	3,559,475.	64,899,414.	.054846
2007	3,165,097.	71,988,111.	.043967
2006	2,696,981.	66,587,317.	.040503
2005	2,949,146.	64,658,056.	.045611

2 Total of line 1, column (d)	2	.245125
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049025
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	58,095,631.
5 Multiply line 4 by line 3	5	2,848,138.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	28,732.
7 Add lines 5 and 6	7	2,876,870.
8 Enter qualifying distributions from Part XII, line 4	8	3,090,559.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	28,732.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	28,732.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	28,732.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	27,867.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	27,867.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 15	9	865.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2010)

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

16-6063485

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.THEOCONNORFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>CHEMUNG CANAL TRUST COMPANY, TRUST</u> Telephone no. ► <u>607-737-3896</u> Located at ► <u>PO BOX 1522 - TRUST TAX DEPARTMENT, ELMIRA, NY</u> ZIP+4 ► <u>14902</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► <u>OTHER COUNTRY</u>	16	X	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 18

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		109,828.	27,457.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	56,094,423.
b	Average of monthly cash balances	1b	2,885,913.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	58,980,336.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	58,980,336.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	884,705.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	58,095,631.
6	Minimum investment return. Enter 5% of line 5	6	2,904,782.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,904,782.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	28,732.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	28,732.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,876,050.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,876,050.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,876,050.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,090,559.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,090,559.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	28,732.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,061,827.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				2,876,050.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			1,550,420.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 3,090,559.				
a Applied to 2009, but not more than line 2a			1,550,420.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,540,139.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (if an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				1,335,911.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SCHEDULE				2,816,373.
b Approved for future payment SEE ATTACHED SCHEDULE				3,427,721.
Total			3a	3,427,721.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g	Fees and contracts from government agencies			
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
		14	3,125.	
4 Dividends and interest from securities				
		14	1,696,118.	
5 Net rental income (or loss) from real estate:				
a	Debt-financed property			
b	Not debt-financed property			
6 Net rental income (or loss) from personal property				
7 Other investment income				
		01	5,138.	
8 Gain (or loss) from sales of assets other than inventory				
		01	1,613,201.	
9 Net income (or loss) from special events				
10 Gross profit (or loss) from sales of inventory				
11 Other revenue:				
a				
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)				
	0.		3,317,582.	0.
13 Total. Add line 12, columns (b), (d), and (e)				
			13	3,317,582.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

**Sign
Here**

Signature of officer or trustee

2/2/13
Date

any knowledge

▶ Chen
Title

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed	PTIN
---	------

PTIN

**Paid
Preparer
Use Only**

CATHERINE A.
MALIWACKI, CPA

CATHERINE A. MALI

11/02/12

Firm's name ► **DAVIDSON, FOX & COMPANY, LLP**

Firm's EIN ▶

Firm's address ► 53 CHENANGO STREET
BINGHAMTON, NY 13901

Phone no. 607-722-5386

Form **990-PF** (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
c	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
d	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
e	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
f	SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,545,497.		4,541,102.	4,395.
b 14,099,037.		13,325,690.	773,347.
c 276,821.		274,718.	2,103.
d 936,957.		929,000.	7,957.
e 5,623,036.		5,362,526.	260,510.
f 9,587,359.		9,070,507.	516,852.
g 55,148.			55,148.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,395.
b			773,347.
c			2,103.
d			7,957.
e			260,510.
f			516,852.
g			55,148.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,620,312.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME-CASH EQUIVALENTS	3,125.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	3,125.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	828,148.	55,148.	773,000.
INTEREST INCOME	816,225.	0.	816,225.
INTEREST INCOME-MUTUAL FUNDS	91,460.	0.	91,460.
INTEREST INCOME-OID	9,052.	0.	9,052.
INTEREST INCOME-OID-US TIPS	6,381.	0.	6,381.
TOTAL TO FM 990-PF, PART I, LN 4	1,751,266.	55,148.	1,696,118.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 3

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
4,545,497.	4,541,102.	0.	0.		4,395.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
14,099,037.	13,325,690.	0.	0.		773,347.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
276,821.	274,718.	0.	0.		2,103.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
936,957.	929,000.	0.	0.	7,957.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,623,036.	5,362,588.	0.	0.	260,448.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEE ATTACHED SCHEDULE	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,587,359.	9,077,000.	0.	0.	510,359.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DISPOSAL OF FIXED ASSETS	PURCHASED		VARIOUS	01/01/10
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	78,008.	0.	77,452.	-556.

NET GAIN OR LOSS FROM SALE OF ASSETS	1,558,053.
CAPITAL GAINS DIVIDENDS FROM PART IV	55,148.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,613,201.

FORM 990-PF	OTHER INCOME	STATEMENT	4
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	827.	827.	
RETURN OF CAPITAL	637.	637.	
CLASS ACTION PROCEEDS	3,674.	3,674.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,138.	5,138.	

FORM 990-PF	LEGAL FEES	STATEMENT	5
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	39,583.	19,791.		19,792.
TO FM 990-PF, PG 1, LN 16A	39,583.	19,791.		19,792.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	24,905.	12,452.		12,453.
TO FORM 990-PF, PG 1, LN 16B	24,905.	12,452.		12,453.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	7
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	397,504.	397,504.		0.
OTHER PROFESSIONAL FEES	10,313.	5,156.		5,157.
OTHER INVESTMENT FEES	4,917.	4,917.		0.
TO FORM 990-PF, PG 1, LN 16C	412,734.	407,577.		5,157.

FORM 990-PF	TAXES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	10,619.	0.		10,619.	
FOREIGN TAXES	11,657.	11,657.		0.	
TO FORM 990-PF, PG 1, LN 18	22,276.	11,657.		10,619.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	7,618.	0.		7,618.	
POSTAGE	1,317.	0.		1,317.	
NYS FILING FEE	1,500.	0.		1,500.	
OFFICE SUPPLIES	2,532.	0.		2,532.	
TELEPHONE	3,640.	0.		3,640.	
DUES & SUBSCRIPTIONS	1,445.	0.		1,445.	
MISCELLANEOUS EXPENSE	1,875.	0.		1,875.	
CERTIFICATION FEE	2,234.	0.		2,234.	
INTERNET EXPENSE	938.	0.		938.	
WEBSITE SERVICE FEE	1,000.	0.		1,000.	
AMORTIZATION	1,920.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	26,019.	0.		24,099.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	10
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
US OBLIGATIONS-SEE SCHEDULE	X		4,193,792.	4,365,962.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,193,792.	4,365,962.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			4,193,792.	4,365,962.	

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK-SEE SCHEDULE	21,452,170.	26,127,582.
TOTAL TO FORM 990-PF, PART II, LINE 10B	21,452,170.	26,127,582.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-SEE SCHEDULE	12,125,892.	12,536,064.
TOTAL TO FORM 990-PF, PART II, LINE 10C	12,125,892.	12,536,064.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
-------------	-------------------	--------------

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND-SEE SCHEDULE	COST	14,716,210.	15,153,172.
LIMITED PARTNERSHIP - SEE SCHEDULE	COST	1,109,995.	1,072,005.
ALTERNATIVE INVESTMENTS - SEE SCHEDULE	COST	571,618.	579,493.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,397,823.	16,804,670.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 14
-------------	-------------------	--------------

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	606.	566.
CREDIT CARD LIABILITIES	3,387.	6,210.
TOTAL TO FORM 990-PF, PART II, LINE 22	3,993.	6,776.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DONALD F. BISHOP II HOBART, NY 13788	CHAIR/EXEC DIR 35.00	89,792.	22,448.	0.
CHARLOTTE BISHOP HILL DELHI, NY 13753	VICE-CHAIR 21.00	20,036.	5,009.	0.
WILLIAM MURPHY NEW YORK, NY 10005	DIRECTOR 0.00	0.	0.	0.
PAMELA HILL DELHI, NY 13753	EXEC SECR/TREAS 0.00	0.	0.	0.
SUZANNE HILL DELHI, NY 13753	DIRECTOR 0.00	0.	0.	0.
EUGENE PECKHAM BINGHAMTON, NY 13901	DIRECTOR 0.00	0.	0.	0.
AMY BISHOP SOMMERVILLE, MA 02144	DIRECTOR 0.00	0.	0.	0.
LINDSAY BISHOP DELANCEY, NY 13752	DIRECTOR 0.00	0.	0.	0.
ROBERT L. BISHOP, II DELANCEY, NY 13752	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		109,828.	27,457.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 18

GRANTEE'S NAME

ROTARY CLUB OF DELHI-OUR PEOPLE CARE

GRANTEE'S ADDRESS

597 ANDES RD
DELHI, NY 13753

GRANT AMOUNT

1,667.

DATE OF GRANT

05/18/09

AMOUNT EXPENDED

PURPOSE OF GRANT

TO PROVIDE A GRANT FOR THE JOINT "AFTER PROM PARTY" FOR DELAWARE ACADEMY
AND ANDES CENTRAL SCHOOL IN DELHI, NY

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

FUNDS WERE USED FOR ORIGINAL GRANT PUPOSES.

Form **4562**Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization 990PF**
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2010Attachment
Sequence No 67

Name(s) shown on return

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

Business or activity to which this form relates

FORM 990-PF PAGE 1

Identifying number

16-6063485**Part I** Election To Expense Certain Property Under Section 179 *Note: If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II** Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	7,195.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	1,155.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	

Section C - Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	8,350.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

Form 4562 (2010)

16-6063485 Page 2

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No				24b If "Yes," is the evidence written? ☐ Yes ☐ No				
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.
 If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

30 Total business/investment miles driven during the year (do not include commuting miles)	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2010 tax year:					
SEE STATEMENT 19					308.
43 Amortization of costs that began before your 2010 tax year				43	1,612.
44 Total. Add amounts in column (f). See the instructions for where to report				44	1,920.

FORM 4562 TOTALS	AMORTIZATION	STATEMENT 19
------------------	--------------	--------------

(A) DESCRIPTION OF COSTS	(B) DATE BEGAN	(C) AMORTIZE AMOUNT	(D) IRC SECTION	(E) PERIOD OR PERCENT	(F) AMORTIZATION THIS YEAR
SOFTWARE-BACK-UP ASSISTANT	08/05/10	356.		3.0	49.
WINDOWS 7	01/19/10	776.		3.0	259.
TOTAL TO FORM 4562, LINE 42					308.

THE A LINDSAY & OLIVE B. O'CONNOR FOUNDATION INC
FORM 990PF SUPPORTING SCHEDULE
PART I, LINE 6a- GAIN/LOSS
2010
16-6063485

Page 1 of 1

	PROCEEDS OF SALE	COST	GAIN OR LOSS
SHORT TERM			
Chemung Canal Trust			
Hospital Account	276,821	274,718	2,103
Custody Account	4,545,497	4,541,102	4,395
Merrill Lynch			
Account E31	106,157	104,117	2,040
Account E32	128,525	88,808	39,717
Account E33	277,337	287,514	(10,177)
Account E34	322,187	289,666	32,521
Account E35	1,702,713	1,632,387	70,326
Account E36	361,047	338,413	22,634
Account E37	182,821	210,083	(27,262)
Account E38	1,802,396	1,671,747	130,649
Account E38	739,853	739,853	-
Total Merrill Lynch	5,623,036	5,362,588	260,448
Total Short Term	10,445,354	10,178,408	266,946
LONG TERM			
Chemung Canal Trust			
Hospital Account	936,957	929,000	7,957
Custody Account	14,099,037	13,325,690	773,347
Merrill Lynch			
Account E31	205,945	192,175	13,770
Account E32	156,867	125,077	31,790
Account E33	488,578	447,379	41,199
Account E34	330,354	370,849	(40,494)
Account E35	1,023,985	940,058	83,927
Account E36	638,314	728,665	(90,351)
Account E38	5,467,095	4,966,448	500,647
Account E38	607,098	583,398	23,700
Account C78	669,123	722,951	(53,829)
Total Merrill Lynch	9,587,359	9,077,000	510,359
Total Long Term	24,623,353	23,331,690	1,291,663
CAPITAL GAIN/LOSS FROM INVESTMENTS	35,068,707	33,510,098	1,558,609

Account C78	-	-	-	571,618	579,493	pg 16
Account E33	576,495	577,717	pg 18	-	-	
Account E38	2,244,153	2,125,466	pg 64	-	-	
	14,716,210	15,153,172		1,109,995	1,072,005	
				571,618	579,493	



Chemung Canal Trust Company

2

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price
Fixed Income				
Corporate				
ABBOTT LABORATORIES DTD 3/3/2009 F/C 10/1/2009 5.125% 04/01/2019		400,000.000	440,424.00 409,573.00	110.11 102.39
AMERICAN EXPRESS DTD 07/24/2003 4.875% 07/15/2013		400,000.000	427,600.00 425,209.00	106.90 106.30
BANK OF AMERICA DTD 9/25/2002 F/C 3/15/2003 4.875% 09/15/2012		300,000.000	313,242.00 314,511.00	104.41 104.84
BELLSOUTH CORP DTD 12/22/2004 F/C 06/15/2005 5.2% 12/15/2016		300,000.000	330,006.00 299,634.00	110.00 99.88
CAMPBELL SOUP CO DTD 7/1/2009 F/C 2/15/2010 3.375% 08/15/2014		400,000.000	420,504.00 424,879.00	105.13 106.22
CONOCOPHILLIPS DTD 05/21/2009 F/C 01/15/2010 4.6% 01/15/2015		400,000.000	435,976.00 429,416.00	108.99 107.35
CORNELL UNIVERSITY DTD 4/2/2009 F/C 8/1/2009 4.35% 02/01/2014		300,000.000	324,447.00 302,082.00	108.15 100.69
DELL INC DTD 09/10/2010 FC 3/10/2011 2.3% 09/10/2015		400,000.000	389,908.00 402,955.00	97.48 100.74
WALT DISNEY COMPANY DTD 12/04/2007 4.7% 12/01/2012		400,000.000	428,784.00 418,754.00	107.20 104.69
GENERAL ELECTRIC CAP CORP DTD 1/08/2010 FC 7/8/2010 2.8% 01/08/2013		400,000.000	408,920.00 400,902.00	102.23 100.23
GENERAL ELECTRIC CAPITAL CORPORATION DTD 08/22/2006 5.72% 08/22/2011-2009		200,000.000	205,562.00 200,000.00	102.78 100.00



Chemung Canal Trust Company

3

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price
Corporate				
GOLDMAN SACHS GROUP INC 5.7% 09/01/2012		400,000.000	426,944.00 420,370.00	106.74 105.09
HOME DEPOT INC DTD 03/24/2006 F/C 09/01/2006 5.2% 03/01/2011		300,000.000	302,181.00 297,072.00	100.73 99.02
HSBC FINANCE CORP HSBC DTD 10/23/2001 6.375% 10/15/2011		400,000.000	417,004.00 425,492.00	104.25 106.37
INTERNATIONAL BUSINESS MACHINES CORP DTD 10/15/2008 F/C 04/15/2009 6.5% 10/15/2013		300,000.000	341,904.00 309,534.00	113.97 103.18
JP MORGAN CHASE DTD 05/18/2009 F/C 12/01/2009 4.65% 06/01/2014		400,000.000	426,956.00 405,755.00	106.74 101.44
JOHNS HOPKINS UNIVERSITY DTD 3/26/2009 F/C 7/1/2009 5.25% 07/01/2019		200,000.000	219,352.00 199,875.00	109.68 99.94
ELI LILLY & CO DTD 3/6/2009 F/C 9/6/2009 3.55% 03/06/2012		400,000.000	412,696.00 414,312.00	103.17 103.58
MICROSOFT CORP DTD 5/18/2009 F/C 12/1/2009 2.95% 06/01/2014		300,000.000	312,294.00 309,255.00	104.10 103.09
MORGAN STANLEY DTD 02/26/03 F/C 09/01/03 5.3% 03/01/2013		400,000.000	426,248.00 412,478.00	106.56 103.12
NOVARTIS CAPITAL CORP DTD 02/10/2009 F/C 08/10/2009 4.125% 02/10/2014		300,000.000	320,157.00 309,924.00	106.72 103.31
ORACLE CORP DTD 01/13/2006 5.25% 01/15/2016		400,000.000	449,712.00 386,731.00	112.43 96.68
PITNEY BOWES INC 4.625% 10/01/2012		300,000.000	314,781.00 322,656.00	104.93 107.55
PROCTER & GAMBLE CO DTD 2/6/2009 F/C 8/15/2009 4.7% 02/15/2019		400,000.000	432,992.00 409,910.00	108.25 102.48
PRUDENTIAL FINANCIAL INC DTD 9/15/2009 F/C 3/17/2010 3.625% 09/17/2012		400,000.000	415,124.00 405,332.00	103.78 101.33
SHELL INTERNATIONAL FIN DTD 3/23/2009 F/C 9/21/2009 4% 03/21/2014		400,000.000	425,640.00 408,823.65	106.41 102.21
UNITED PARCEL SERVICE DTD 01/15/2008 4.5% 01/15/2013		300,000.000	320,916.00 300,270.00	106.97 100.09
VERIZON COMMUNICATIONS DTD 02/12/2008 F/C 08/15/2008 4.35% 02/15/2013		400,000.000	425,364.00 405,651.00	106.34 101.41
** Total Corporate		Sub- Total	10,515,638.00 10,171,355.65	



Chemung Canal Trust Company

4

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price
US Government				
US TREASURY N/B DTD 05/15/2007		400,000.000	447,564.00	111.89
F/C 11/15/2007 4.5% 05/15/2017			389,921.88	97.48
		Sub- Total	447,564.00	
			389,921.88	
FEDERAL HOME LOAN BANK DTD		200,000.000	222,328.00	111.16
08/07/2006 F/C 03/13/2007 BOOK			200,730.00	100.37
ENTRY 5.25% 09/13/2013				
			222,328.00	
			200,730.00	
US TSY INFL IX N/B DTD 1/15/2009		304,731.000	337,203.14	110.66
F/C 7/15/2009 2.125% 01/15/2019			312,929.71	102.69
		Sub- Total	337,203.14	
			312,929.71	
Total US Government			1,007,095.14	
			903,581.59	

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
AT&T INC	T	4,835.000	142,052.30	29.38
			115,829.00	23.96
ADOBE SYSTEMS INC	ADBE	1,095.000	33,704.10	30.78
			30,688.18	28.03
AKAMAI TECHNOLOGIES INC	AKAM	1,490.000	70,104.50	47.05
			72,362.75	48.57
ALPHA NATURAL RESOURCES INC	ANR	2,005.000	120,360.15	60.03
			88,203.53	43.99
AMERICAN ELEC POWER	AEP	3,760.000	135,284.80	35.98
			132,841.21	35.33
AMERICAN TOWER CORP-CL A	AMT	3,530.000	182,289.20	51.64
			133,080.37	37.70
AMERIPRISE FINANCIAL INC	AMP	3,390.000	195,094.50	57.55
			78,365.04	23.12
AMETEK INC	AME	4,147.500	162,789.38	39.25
			94,919.24	22.89
AMGEN	AMGN	2,620.000	143,838.00	54.90
			140,723.63	53.71



Chemung Canal Trust Company

5

Account Number:

Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
ANADARKO PETROLEUM CORP	APC	4,070.000	309,971.20 195,643.33	76.16 48.07
APPLE INC	AAPL	1,655.000	533,836.80 203,039.75	322.56 122.68
AUTOLIV INC COM	ALV	700.000	55,258.00 50,891.62	78.94 72.70
BJ'S WHOLESALE CLUB INC	BJ	1,790.000	85,741.00 63,762.09	47.90 35.62
BALL CORP	BLL	970.000	66,008.50 36,641.47	68.05 37.77
BANK OF AMERICA CORP	BAC	6,133.000	81,814.22 85,876.89	13.34 14.00
BANK OF NEW YORK MELLON CORP	BK	5,200.000	157,040.00 153,099.82	30.20 29.44
BORGWARNER INC		1,960.000	141,825.60 98,068.32	72.36 50.03
CARDINAL HEALTH INC	CAH	2,385.000	91,369.35 78,029.39	38.31 32.72
CELGENE CORP	CELG	2,915.000	172,393.10 154,739.16	59.14 53.08
CHEVRON CORPORATION	CVX	2,950.000	269,187.50 192,748.43	91.25 65.34
CHURCH & DWIGHT CO INC	CHD	905.000	62,463.10 55,664.26	69.02 61.51
CISCO	CSCO	9,790.000	198,051.70 186,256.47	20.23 19.03
CITIGROUP INC	C	14,500.000	68,585.00 70,431.35	4.73 4.86
COLGATE PALMOLIVE	CL	2,115.000	169,982.55 131,602.91	80.37 62.22
CONCUR TECHNOLOGIES INC	CNQR	1,675.000	86,982.75 76,226.79	51.93 45.51
CONOCOPHILLIPS	COP	765.000	52,096.50 42,135.21	68.10 55.08
CORN PRODUCTS INTERNATIONAL INC	CPO	2,000.000	92,000.00 94,926.60	46.00 47.46
DARDEN RESTAURANTS INC COM	DRI	1,770.000	82,198.80 65,987.98	46.44 37.28
DICKS SPORTING GOODS INC	DKS	1,565.000	58,687.50 46,836.87	37.50 29.93



Chemung Canal Trust Company

6

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
DISCOVER FINANCIAL SERVICES	DFS	9,645.000	178,721.85 156,048.23	18.53 16.18
DIRECTV CLASS A	DTV	2,625.000	104,816.25 73,641.52	39.93 28.05
EMC CORP	EMC	5,845.000	133,850.50 86,888.63	22.90 14.87
EOG RESOURCES INC	EOG	1,080.000	98,722.80 93,783.31	91.41 86.84
EBAY INC	EBAY	3,235.000	90,030.05 76,219.95	27.83 23.56
EDISON INTERNATIONAL	EIX	2,505.000	96,693.00 101,480.33	38.60 40.51
EDWARDS LIFESCIENCES CORP	EW	755.000	61,034.20 34,921.61	80.84 46.25
EXPRESS SCRIPTS INC-COMMON	ESRX	4,720.000	255,116.00 130,626.66	54.05 27.68
EXXON MOBIL CORP	XOM	2,082.000	152,235.84 137,063.62	73.12 65.83
FREEPORT MCMORAN COPPER & GOLD INC CLASS B	FCX	1,865.000	223,967.85 149,683.18	120.09 80.26
GENERAL ELECTRIC	GE	5,240.000	95,839.60 117,235.69	18.29 22.37
GILEAD SCIENCES INC	GILD	2,145.000	77,734.80 71,010.59	36.24 33.11
GOLDMAN SACHS GROUP INC	GS	1,055.000	177,408.80 147,168.95	168.16 139.50
GOOGLE INC-CL A	GOOG	245.000	145,522.65 130,073.80	593.97 530.91
HEWLETT-PACKARD	HPQ	1,655.000	69,675.50 66,503.76	42.10 40.18
HOME DEPOT INC	HD	2,840.000	99,570.40 77,582.90	35.06 27.32
HUNTSMAN CORP		4,110.000	64,157.10 66,855.39	15.61 16.27
IDEX CORPORATION	IEX	2,840.000	111,100.80 90,150.79	39.12 31.74
INTEL CORP	INTC	4,965.000	104,413.95 82,826.58	21.03 16.68
INTERNATIONAL BUSINESS MACHINES	IBM	550.000	80,718.00 59,141.05	146.76 107.53



Chemung Canal Trust Company

7

Account Number:

Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
JP MORGAN CHASE & COMPANY	JPM	4,775.000	202,555.50 79,482.28	42.42 16.65
JOHNSON & JOHNSON	JNJ	1,310.000	81,023.50 79,091.13	61.85 60.37
KELLOGG	K	1,560.000	79,684.80 77,808.61	51.08 49.88
KOHL'S CORP	KSS	1,435.000	77,977.90 72,681.58	54.34 50.65
LEXMARK INTL GROUP INC CL A	LXX	1,700.000	59,194.00 63,569.84	34.82 37.39
LIMITED BRANDS INC	LTD	3,735.000	114,776.55 76,409.93	30.73 20.46
MARRIOTT INTERNATIONAL INC CL A	MAR	2,476.000	102,853.04 80,104.95	41.54 32.35
MCDONALDS CORP	MCD	2,810.000	215,695.60 126,500.46	76.76 45.02
MCKESSON CORPORATION	MCK	1,265.000	89,030.70 81,959.61	70.38 64.79
MERCK & CO INC	MRK	2,645.000	95,325.80 92,676.94	36.04 35.04
METLIFE	MET	4,460.000	198,202.40 180,086.42	44.44 40.38
METTLER-TOLEDO INTERNATIONAL INC		685.000	103,578.85 54,993.02	151.21 80.28
MICROSOFT	MSFT	7,865.000	219,512.15 198,692.20	27.91 25.26
MONSANTO CO	MON	1,130.000	78,693.20 60,267.18	69.64 53.33
MORGAN STANLEY DEAN WITTER & CO	MS	2,785.000	75,779.85 75,982.07	27.21 27.28
THE MOSAIC COMPANY	MOS	1,075.000	82,087.00 57,288.18	76.36 53.29
NATIONAL OILWELL VARCO INC	NOV	4,425.000	297,581.25 195,023.50	67.25 44.07
NAVISTAR INTERNATIONAL	NAV	1,935.000	112,055.85 105,981.08	57.91 54.77
NEXTERA ENERGY, INC	NEE	1,860.000	96,701.40 100,520.53	51.99 54.04
NIKE INC CL B	NKE	1,500.000	128,130.00 97,689.03	85.42 65.13



Chemung Canal Trust Company

8

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
NORDSTROM INC	JWN	1,535.000	65,053.30 55,347.25	42.38 36.06
NORFOLK SOUTHERN CORP	NSC	5,150.000	323,523.00 262,847.12	62.82 51.04
OCCIDENTAL PETROLEUM	OXY	2,760.000	270,756.00 195,297.58	98.10 70.76
ORACLE	ORCL	6,210.000	194,373.00 119,792.53	31.30 19.29
OWENS ILLINOIS INC	OI	5,395.000	165,626.50 177,451.80	30.70 32.89
PNC FINANCIAL CORP	PNC	1,465.000	88,954.80 101,826.03	60.72 69.51
PARKER HANNIFIN CORP	PH	1,785.000	154,045.50 109,119.47	86.30 61.13
PEABODY ENERGY CORPORATION	BTU	2,305.000	147,473.90 105,942.50	63.98 45.96
PEPSICO	PEP	1,450.000	94,728.50 90,519.05	65.33 62.43
PFIZER	PFE	7,090.000	124,145.90 126,278.58	17.51 17.81
T ROWE PRICE GROUP INC	TROW	4,610.000	297,529.40 264,672.55	64.54 57.41
PROCTER & GAMBLE	PG	1,510.000	97,138.30 91,133.97	64.33 60.35
PRUDENTIAL FINANCIAL INC	PRU	1,965.000	115,365.15 112,353.47	58.71 57.18
QUALCOMM INC	QCOM	2,400.000	118,776.00 90,219.26	49.49 37.59
ROPER INDUSTRIES INC	ROP	1,635.000	124,963.05 91,332.92	76.43 55.86
SPX CORP	SPW	1,200.000	85,788.00 86,036.16	71.49 71.70
SCHLUMBERGER LTD	SLB	1,980.000	165,330.00 105,424.16	83.50 53.24
CHARLES SCHWAB	SCHW	9,665.000	165,368.15 161,351.57	17.11 16.69
SCRIPPS NETWORKS INTER-CL A	SNL	2,315.000	119,801.25 89,753.90	51.75 38.77
SOLERA HOLDINGS INC	SLH	1,535.000	78,776.20 62,019.84	51.32 40.40



Chemung Canal Trust Company

9

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
SPECTRA ENERGY CORP	SE	3,225.000	80,592.75 77,858.95	24.99 24.14
STERICYCLE INC	SRCL	860.000	69,591.20 34,761.11	80.92 40.42
SYSCO	SYT	2,345.000	68,943.00 68,314.36	29.40 29.13
TIJX	TIJX	2,555.000	113,416.45 54,206.21	44.39 21.22
THERMO FISHER SCIENTIFIC INC	TMO	1,890.000	104,630.40 83,681.75	55.36 44.28
THOMAS & BETTS CORP	TNB	1,410.000	68,103.00 60,542.82	48.30 42.94
THORATEC CORP		1,690.000	47,860.80 58,229.84	28.32 34.46
TRANSDIGM GROUP INC	TDG	990.000	71,289.90 47,281.74	72.01 47.76
US BANCORP	USB	6,635.000	178,945.95 157,212.48	26.97 23.69
UNITED TECHNOLOGIES	UTX	3,310.000	260,563.20 105,552.65	78.72 31.89
UNITEDHEALTH GROUP INC	UNH	1,750.000	63,192.50 42,915.17	36.11 24.52
V F CORP	VFC	1,335.000	115,050.30 95,191.68	86.18 71.30
VERIFONE HOLDINGS INC	PAY	1,215.000	46,850.40 49,637.43	38.56 40.85
VISA INC-CLASS A SHARES	V	340.000	23,929.20 28,332.92	70.38 83.33
WAL-MART STORES INC	WMT	890.000	47,997.70 42,319.04	53.93 47.55
WALTER ENERGY, INC	WLT	685.000	87,570.40 57,726.67	127.84 84.27
WELLS FARGO COMPANY	WFC	4,365.000	135,271.35 118,879.80	30.99 27.23
ZIMMER HOLDINGS INC	ZMH	5.000	268.40 252.33	53.68 50.47
ACCENTURE PLC-CL A	ACN	1,050.000	50,914.50 35,934.25	48.49 34.22
INVESCO LTD	IVZ	5,085.000	122,345.10 113,103.89	24.06 22.24



Chemung Canal Trust Company

10

Account Number: Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
CHECK POINT SOFTWARE TECH LTD	CHKP	1,365.000	63,144.90 44,850.03	46.26 32.86
* Total Equities			13,770,766.68 10,750,841.57	

Mutual Funds Equity

INVESCO EUROPEAN GROWTH FUND-Y	AEDYX	71,956.568	2,212,664.47 1,957,632.08	30.75 27.21
EATON VANCE STRUCTURED EMERGING MARKETS FUND - I	BIEMX	118,774.901	1,887,333.18 1,600,000.00	15.89 13.47
ISHARES MSCI PACIFIC EX JPN	EPP	30,015.000	1,410,104.70 1,249,487.79	46.98 41.63
ISHARES RUSSELL 2000 INDEX FUND	IWM	8,480.000	663,475.20 650,246.40	78.24 76.68
SCHROEDER US SMALL AND MID CAP OPPOR-IV	SMDIX	32,903.656	387,934.10 363,998.16	11.79 11.06
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND (INT'L) FUND #7297 - HEDGE FUND AMENDED		960,000.000	880,420.00 904,457.30	0.83 0.96
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND II (INT'L) FUND #7257 - HEDGE FUND AMENDED		870,000.000	725,978.00 887,032.31	0.79 1.00
CARGOYLE HEDGE VALUE CI FUND LP 2/1/07		700,000.000	616,000.00 700,000.00	0.88 1.00
TOPLARY TRUST CLASS I/A - HEDGE FUND AMENDED		1,174.980	275,000.34 260,590.40	229.94 1,702.16
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND IV (INTERNATIONAL) FUND #7205 - HEDGE FUND AMENDED		750,000.000	708,771.00 770,000.00	0.83 0.79
DB PRIVATE EQUITY ASIA SELECT FUND III (INTERNATIONAL),L.P. FUND #7288 - HEDGE FUND AMENDED		530,000.000	532,859.00 550,000.00	0.93 1.00
CCI HEALTHCARE PARTNERS, LTD HEDGE FUND		500,000.000	525,000.00 500,000.00	1.05 1.00
IPATH DOW JONES UBS COMMDTY		25,700.000	1,262,384.00 1,140,997.34	
Total Mutual Funds			12,087,923.99 11,534,441.78	



Chemung Canal Trust Company

11

Account Number:

Custody

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
LIMITED PARTNERSHIP				
GARGOYLE ASSET MANAGEMENT LLC - HEDGE FUND		817,096.700	744,182.36 784,995.00	
TITAN GLOBAL EQUITY OFFSHORE HEDGE FUND		312.030	327,821.84 325,000.00	
TOTAL LIMITED PARTNERSHIP			1,072,004.20 1,109,995.00	



Chemung Canal Trust Company

12

Account Number: Hospital

Statement Period: December 01, 2010 Through December 31, 2010

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price
Fixed Income				
Corporate				
CISCO SYSTEMS DTD 02/22/2006 F/C 08/22/2006 5.5% 02/22/2016		100,000.000	114,111.00 101,738.00	114.11 101.74
CONOCOPHILLIPS DTD 2/3/2009 F/C 8/1/2009 4.75% 02/01/2014		100,000.000	108,626.00 102,000.00	108.63 102.00
HSBC FINANCE CORP DTD 4/20/2005 F/C 10/15/2005 5.25% 04/15/2015		50,000.000	53,285.00 49,092.00	106.57 98.18
MERRILL LYNCH & CO DTD 07/19/2004 F/C 01/15/2005 5.45% 07/15/2014		100,000.000	105,134.00 97,784.00	105.13 97.78
MORGAN STANLEY DTD 12/28/2007 F/C 06/28/2008 5.95% 12/28/2017		100,000.000	105,804.00 99,750.00	105.80 99.75
POTASH CORP -SASKATCHEWAN DTD 9/28/2009 FC 3/30/2010 3.75% 09/30/2015		100,000.000	103,370.00 101,645.00	103.37 101.65
WRIGLEY JR CO DTD 07/14/2005 F/C 01/15/2006 4.65% 07/15/2015		100,000.000	103,711.00 100,530.00	103.71 100.53
** Total Corporate			Sub- Total	
			694,041.00 652,539.00	



Chemung Canal Trust Company

13

Account Number: Hospital

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
AMERICAN EXPRESS	AXP	790.000	33,906.80 34,193.37	42.92 43.28
APPLIED MATERIALS INC	AMAT	2,430.000	34,141.50 34,250.61	14.05 14.09
BANK OF AMERICA CORP	BAC	3,730.000	49,758.20 63,298.93	13.34 16.97
BRISTOL MYERS SQUIBB	BMJ	1,110.000	29,392.80 27,324.12	26.48 24.62
CHEVRON CORPORATION	CVX	300.000	27,375.00 15,507.13	91.25 51.69
CISCO	CSCO	3,030.000	61,296.90 65,543.30	20.23 21.63
COCA COLA	KO	520.000	34,200.40 25,405.28	65.77 48.86
CORNING INC COM	GLW	5,315.000	102,685.80 111,242.49	19.32 20.93
DOW CHEMICAL	DOW	330.000	11,266.20 11,284.32	34.14 34.19
DUPONT DE NEMOURS	DD	230.000	11,472.40 11,450.05	49.88 49.78
EMC CORP	EMC	3,010.000	68,929.00 54,336.48	22.90 18.05
EMERSON ELECTRIC	EMR	740.000	42,305.80 39,257.74	57.17 53.05
ENZO BIOCHEM INC	ENZ	5,450.000	28,776.00 54,254.15	5.28 9.95
FORD MOTOR COMPANY	F	4,820.000	80,927.80 67,570.29	16.79 14.02
FREEPORT MCMORAN COPPER & GOLD INC CLASS B	FCX	560.000	67,250.40 29,067.17	120.09 51.91
GENERAL ELECTRIC	GE	4,590.000	83,951.10 76,653.70	18.29 16.70
GOLDMAN SACHS GROUP INC	GS	195.000	32,791.20 30,685.76	168.16 157.36
HEWLETT-PACKARD	HPQ	540.000	22,734.00 22,576.64	42.10 41.81
HOME DEPOT INC	HD	1,700.000	59,602.00 51,189.52	35.06 30.11
INTEL CORP	INTC	3,390.000	71,291.70 73,253.54	21.03 21.61



Chemung Canal Trust Company

14

Account Number: Hospital

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
INTERNATIONAL BUSINESS MACHINES	IBM	1,800.000	264,168.00 91,854.00	146.76 51.03
JP MORGAN CHASE & COMPANY	JPM	2,010.000	85,264.20 82,008.65	42.42 40.80
JOHNSON & JOHNSON	JNJ	420.000	25,977.00 27,042.14	61.85 64.39
LOWES CO INC	LOW	1,130.000	28,340.40 30,385.25	25.08 26.89
MCDONALDS CORP	MCD	420.000	32,239.20 26,205.39	76.76 62.39
MERCK & CO INC	MRK	1,020.000	36,760.80 36,637.67	36.04 35.92
MICROSOFT	MSFT	2,350.000	65,588.50 51,895.16	27.91 22.08
NATIONAL OILWELL VARCO INC	NOV	1,290.000	86,752.50 44,940.02	67.25 34.84
NEWMONT MINING CORP	NEM	820.000	50,372.60 42,432.60	61.43 51.75
PEABODY ENERGY CORPORATION	BTU	1,370.000	87,652.60 62,484.34	63.98 45.61
PEPSICO	PEP	480.000	31,358.40 26,377.77	65.33 54.95
PFIZER	PFE	2,670.000	46,751.70 44,262.86	17.51 16.58
PROCTER & GAMBLE	PG	515.000	33,129.95 27,335.45	64.33 53.08
SANDISK CORP	SNDK	1,445.000	72,047.70 64,562.81	49.86 44.68
SIGMA DESIGNS INC	SIGM	4,200.000	59,514.00 57,359.36	14.17 13.66
SUNCOR ENERGY INC	SU	1,680.000	64,327.20 60,166.76	38.29 35.81
TARGET	TGT	370.000	22,248.10 22,155.12	60.13 59.88
THE TRAVELERS COMPANIES INC	TRV	410.000	22,841.10 22,843.61	55.71 55.72
TRINITY INDUSTRIES INC	TRN	2,380.000	63,331.80 46,906.39	26.61 19.71
UNITED TECHNOLOGIES	UTX	550.000	43,296.00 26,464.16	78.72 48.12



Chemung Canal Trust Company

15

Account Number: Hospital

Statement Period: December 01, 2010 Through December 31, 2010

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price
Equities				
WAL-MART STORES INC	WMT	580.000	31,279.40 29,275.59	53.93 50.48
WELLS FARGO COMPANY	WFC	800.000	24,792.00 25,070.64	30.99 31.34
WEATHERFORD INTERNATIONAL LTD	WFT	1,600.000	36,480.00 33,257.76	22.80 20.79
* Total Equities			2,268,568.15 1,880,268.09	
Mutual Funds Fixed				
LOOMIS SAYLES INST HIGH INC	LSHIX	25,553.663	189,863.72 150,000.00	7.43 5.87
ALLIANZ NACM INTL GRW OPPORTUNITY FUND	ALOPX	1,414.013	46,209.94 50,787.57	32.68 35.92
ARTISAN INTERNATIONAL FUND-INT	ARTIX	1,938.767	42,071.24 51,695.01	21.70 26.66
DODGE & COX INTL STOCK FUND	DODFX	1,025.389	36,616.64 42,801.25	35.71 41.74
GMO FOREIGN FUND III	GMOFX	3,890.064	47,303.18 65,837.51	12.16 16.92
* Total Mutual Funds Equity			362,064.72 361,121.34	



A L&O B O'CONNOR FDN INC

C78

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

ALTERNATIVE INVESTMENTS									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value			
ALPINE ASSOCIATES ACCESS LTD CL I Est Mkt Price as of 11/30/10	02/01/10	130,814	1.2613	164,995.70	1.2776	167,127.97			
CHILTON SMALL CAP ACCESS LTD CLASS I(H) Est Mkt Price as of 11/30/10	12/01/09	124,091	1.6117	199,999.65	1.7277	214,392.02			
COAST ACCESS LTD UCL A Est Mkt Price as of 11/30/10	08/01/08	34,781	1.2537	43,604.95	1.2193	42,408.47			
COAST ACCESS LTD UCL C Est Mkt Price as of 11/30/10	10/01/07	52,085	1.2099	63,017.65	1.1847	61,705.10			
COAST ACCESS LTD UCL C Subtotal	07/01/08	79,226	1.2622	99,999.06	1.1847	93,859.04			
		131,311		163,016.71		155,564.14			
TOTAL				571,617.01		579,492.60			

A L&O B O'CONNOR FDN INC

E33

MERRILL LYNCH UNIFIED MANAGED ACCOUNT

December 01, 2010 - December 31, 2010

Private Banking and
Investment Group



17

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value
AMERICAN EURO PACIFIC GROWTH FUND CL F2 SYMBOL: AEPFX Initial Purchase: 11/19/10 Equity 100%	4,181	172,967.97	41.3300	172,800.73
ARTIO INTERNATIONAL EQUITY FUND II CL I	13,903	172,953.32	12.4600	173,231.38

+

001



414C

A L&O B O'CONNOR FDN INC

E33

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)			
Description	Quantity	Total Cost Basis	Estimated Market Price
SYMBOL: JETIX Equity 100%	Initial Purchase:11/19/10		Estimated Market Value
ARTISAN INTERNATIONAL FUND INVESTOR CL	2,635	57,653.80	21.7000
SYMBOL: ARTIX Equity 100%	Initial Purchase:11/19/10		57,179.50
HARBOR INTERNATIONAL FUND INSTL CL	2,882	172,920.00	60.5500
SYMBOL: HAINX Equity 100%	Initial Purchase:11/19/10		174,505.10
Subtotal (Equities)		576,495.09	577,716.71
TOTAL			577,716.71



A L&O B O'CONNOR FDN INC

E37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

CORPORATE BONDS	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					
NOK NORWEGIAN GOVT 6.000% MAY 16 2011 MOODY'S: AAA S&P: AAA CINS: R63339EP7	10/30/09	280,000	51,981.72	17.4335	48,813.80
NOK NORWEGIAN GOVT Subtotal	11/18/10	40,000	6,800.16	17.4335	6,973.40
JPY JAPAN FIN CORP MUNI 1.550% FEB 21 2012 MOODY'S: AA2 S&P: AA CINS: J28205HZ8	10/30/09	320,000	58,781.88		55,787.20
JPY JAPAN FIN CORP MUNI Subtotal	11/18/10	6,000,000	67,732.36	1.2519	75,114.00
AUD QUEENSLAND TREASURY 6.000% AUG 14 2013 MOODY'S: AA S&P: AAA< CINS: Q7944UCE7	10/30/09	3,000,000	36,545.32	1.2519	37,557.00
AUD QUEENSLAND TREASURY Subtotal	11/18/10	9,000,000	104,277.68		112,671.00
EUR FRANCE O.A.T. 4.000% APR 25 2014 MOODY'S: AAA S&P: AAA CINS: F4040SL79	10/26/09	45,000	41,542.28	104.1204	46,854.18
AUD AUSTRALIAN GOVT. SER 125 6.250% JUN 15 2014 MOODY'S: AAA S&P: *** CINS: Q0819ACX6	10/26/10	10,000	10,052.63	104.1204	10,412.04
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	55,000	51,594.91		57,266.22
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	55,000	87,323.76	144.4716	79,459.38
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	55,000	56,368.29	105.6211	58,091.61
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	10,000	10,221.83	105.6211	10,562.11
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	65,000	66,590.12		68,653.72

+

001

4140 0218264 001

A L&O B O'CONNOR FDN INC

E37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

CORPORATE BONDS (continued)					
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
GBP TSY 5 2014	10/30/09	30,000	54,714.32	174.3195	52,295.85
5.000% SEP 07 2014					
MOODY'S: AAA S&P: AAA CINS: G92450NU8					
GBP TSY 5 2014	11/18/10	15,000	26,846.37	174.3195	26,147.93
Subtotal		45,000	81,560.69		78,443.78
EUR FINNISH GOVT	06/25/10	25,000	34,449.81	147.2687	36,817.18
4.250% JUL 04 2015					
MOODY'S: AAA S&P: AAA CINS: X7331AEX0					
EUR FINNISH GOVT	11/18/10	15,000	22,505.60	147.2687	22,090.31
Subtotal		40,000	56,955.41		58,907.49
EUR REP OF AUSTRIA	10/26/09	45,000	70,598.48	144.0825	64,837.13
4.000% SEP 15 2016					
MOODY'S: AAA S&P: AAA CINS: A5260RFB4					
EUR REP OF AUSTRIA	11/18/10	10,000	14,795.42	144.0825	14,408.25
Subtotal		55,000	85,393.90		79,245.38
JPY EUROPEAN INVT BK	10/30/09	5,000,000	55,669.96	1.2811	64,055.00
SER INTL 1.400% JUN 20 2017					
MOODY'S: AAA S&P: AAA< CINS: L0594V4W7					
JPY EUROPEAN INVT BK	11/18/10	4,000,000	49,790.44	1.2811	51,244.00
Subtotal		9,000,000	105,460.40		115,299.00
EUR POLAND GOVT BOND	10/26/09	20,000	32,014.40	146.2290	29,245.80
5.625% JUN 20 2018					
MOODY'S: A2 S&P: *** CINS: X6650PMW5					
EUR POLAND GOVT BOND	11/18/10	10,000	15,384.95	146.2290	14,622.90
Subtotal		30,000	47,399.35		43,868.70
EUR DEUTSCHLAND REP	10/26/09	15,000	24,055.69	148.6706	22,300.59
SER 08 4.250% JUL 04 2018					
MOODY'S: AAA S&P: AAA CINS: D20659JX3					

+

001

4140 0218265 00



A L&O B O'CONNOR FDN INC

E37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
EUR DEUTSCHLAND REP Subtotal	11/18/10	25,000 40,000	38,213.90 62,269.59	148.6706	37,167.65 59,488.24
SEK SWEDISH GOVERNMENT SER 1052 4.250% MAR 12 2019 MOODY'S: *** S&P: AAA CINS: W9478GSX2	10/30/09	200,000	30,902.61	16.0144	32,028.80
SEK SWEDISH GOVERNMENT Subtotal	11/18/10	100,000 300,000	16,083.36 46,985.97	16.0144	16,014.40 48,043.20
CAD CANADIAN GOVERNMENT NOTES 03.750% JUN 01 2019 MOODY'S: AAA S&P: AAA CUSIP: 135087YR9	10/29/09	55,000	52,556.58	106.0584	58,332.12
CAD CANADIAN GOVERNMENT Subtotal	11/18/10	20,000 75,000	20,747.81 73,304.39	106.0584	21,211.68 79,543.80
EUR FINNISH GOVT 4.375% JUL 04 2019 MOODY'S: AAA S&P: AAA CINS: X7331AGZ3	09/17/10	25,000	37,351.60	147.4578	36,864.45
EUR FINNISH GOVT Subtotal	11/18/10	10,000 35,000	15,232.46 52,584.06	147.4578	14,745.78 51,610.23
EUR NETHERLANDS GOVT 4.000% JUL 15 2019 MOODY'S: AAA S&P: *** CINS: N45810CT8	10/26/09	45,000	69,305.94	143.9349	64,770.71
EUR NETHERLANDS GOVT Subtotal	11/18/10	10,000 55,000	14,867.58 84,173.52	143.9349	14,393.49 79,164.20
JPY DEVELOPMENT BK OF JPN 1.050% JUN 20 2023 MOODY'S: AA2 S&P: AA CINS: J12168AR1	11/25/09	5,000,000	52,826.96	1.1813	59,065.00
JPY DEVELOPMENT BK OF JPN Subtotal	11/18/10	4,000,000 9,000,000	45,790.92 98,617.88	1.1813	47,252.00 106,317.00

A L&O B O'CONNOR FDN INC

IE37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

CORPORATE BONDS (continued)					
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
MXN MEXICAN BONOS BONDS SER M 08.000% DEC 17 2015 MOODY'S: BAA1 S&P: A CINS: P9767GZZ7	04/07/10	3,200	27,428.21	8.6541	27,693.12
MXN MEXICAN BONOS Subtotal	11/18/10	1,000 4,200	8,955.30 36,383.51	8.6541	8,654.10 36,347.22
JPY KFW 2.050% FEB 16 2026 MOODY'S: AAA S&P: AAA< CUSIP: 500769BN3	10/30/09	7,000,000	77,265.24	1.2921	90,447.00
JPY KFW Subtotal	11/18/10	2,000,000 9,000,000	25,074.84 102,340.08	1.2921	25,842.00 116,289.00
TOTAL		37,174,200	1,301,997.10		1,326,384.76

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	
Δ U.S. TRSY INFLATION NOTE 2.375% APR 15 2011 INFL ADJ PRIN 333,860 CUSIP: 912828FB1 ORIGINAL UNIT/TOTAL COST: 108.8782/329,901.18	03/25/09	303,000	343,107.61	100.8910	336,835.25	
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 11,018 ORIGINAL UNIT/TOTAL COST: 111.5145/11,151.45	09/04/09	10,000	11,307.50	100.8910	11,116.67	
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 5,509 ORIGINAL UNIT/TOTAL COST: 112.9068/5,645.34	03/16/10	5,000	5,705.31	100.8910	5,558.34	
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 5,509 ORIGINAL UNIT/TOTAL COST: 111.4308/5,571.54	05/26/10	5,000	5,602.35	100.8910	5,558.34	
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 22,037 ORIGINAL UNIT/TOTAL COST: 111.8006/22,360.12	06/22/10	20,000	22,441.00	100.8910	22,233.35	
U.S. TREASURY NOTE 0.875% FEB 29 2012 CUSIP: 912828MQ0	03/26/10	152,000	151,602.19	100.5820	152,884.64	
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.0003/139,000.47	05/04/10	139,000	139,000.47	100.5820	139,808.98	
Δ U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012 INFL ADJ PRIN 121,636 CUSIP: 912828AF7 ORIGINAL UNIT/TOTAL COST: 119.4747/119,474.77	08/16/07	100,000	130,600.92	106.2190	129,200.54	
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 18,245 ORIGINAL UNIT/TOTAL COST: 126.7196/19,007.94	09/04/09	15,000	19,266.35	106.2190	19,380.08	

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 12,163 ORIGINAL UNIT/TOTAL COST: 130.3560/13,035.60	03/16/10	10,000	13,168.02	106.2190	12,920.05
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 45,005 ORIGINAL UNIT/TOTAL COST: 130.4983/48,284.38	05/04/10	37,000	48,666.13	106.2190	47,804.20
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 6,081 ORIGINAL UNIT/TOTAL COST: 129.0348/6,451.74	06/22/10	5,000	6,474.05	106.2190	6,460.03
U.S. TREASURY NOTE 1.375% MAR 15 2013 CUSIP: 912828MT4	03/24/10	60,000	59,526.56	101.4610	60,876.60
Δ U.S. TREASURY NOTE 2.375% OCT 31 2014 CUSIP: 912828LS7 ORIGINAL UNIT/TOTAL COST: 100.2968/79,234.53	04/29/10	79,000	79,235.31	103.5080	81,771.32
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 102.4219/10,242.19	06/22/10	10,000	10,242.19	103.5080	10,350.80
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 106.1487/181,514.40	10/19/10	171,000	181,514.40	103.5080	176,998.68
Δ U.S. TREASURY NOTE 4.000% FEB 15 2015 CUSIP: 912828DM9 ORIGINAL UNIT/TOTAL COST: 107.3515/20,396.80	09/08/09	19,000	21,025.59	109.5550	20,815.45
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 107.0703/64,242.19	09/16/09	60,000	64,242.19	109.5550	65,733.00
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 108.2109/10,821.09	10/08/09	10,000	10,821.09	109.5550	10,955.50

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES * (continued)					
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 107.9218/16,188.28	10/20/09	15,000	16,188.28	109.5550	16,433.25
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 107.3437/48,304.69	10/29/09	45,000	48,304.69	109.5550	49,299.75
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 109.0937/38,182.81	12/08/09	35,000	38,182.81	109.5550	38,344.25
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 106.2035/37,171.23	12/31/09	35,000	37,171.23	109.5550	38,344.25
Δ U.S. TREASURY NOTE 2.500% JUN 30 2017 CUSIP: 912828NK2 ORIGINAL UNIT/TOTAL COST: 103.3753/92,004.10	08/19/10	89,000	92,016.66	99.6250	88,666.25
Δ U.S. TREASURY NOTE 2.375% JUL 31 2017 CUSIP: 912828NR7 ORIGINAL UNIT/TOTAL COST: 101.9379/301,736.19	08/13/10	296,000	301,770.63	98.6880	292,116.48
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 102.1406/155,253.75	08/17/10	152,000	155,253.75	98.6880	150,005.76
Δ U.S. TRSY INFLATION BOND 3.625% APR 15 2028 INFL ADJ PRIN 67,609 CUSIP: 912810FD5 ORIGINAL UNIT/TOTAL COST: 181.4181/90,709.09	11/30/10	50,000	90,793.17	129.0860	87,273.75
Δ U.S. TRSY INFLATION BON 2.500% JAN 15 2029 INFL ADJ PRIN 50,932 CUSIP: 912810PZ5 ORIGINAL UNIT/TOTAL COST: 120.5165/60,258.29	11/30/10	50,000	60,321.63	113.4690	57,792.03

+

001

4140 0216277 06

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)					
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value
FNMA P735580 05%2035 AMORTIZED FACTOR 0.432497870 AMORTIZED VALUE 159,591 CUSIP: 31402RRV6	05/16/08	369,000	152,272.09	105.6401	168,592.85
FNMA P735580 05%2035 AMORTIZED VALUE 12,109	05/21/09	28,000	12,234.90	105.6401	12,792.95
FNMA P745275 05%2036 AMORTIZED FACTOR 0.482045230 AMORTIZED VALUE 7,230 CUSIP: 31403C6L0	04/15/09	15,000	5,575.21	105.5776	7,633.98
FNMA P745275 05%2036 AMORTIZED VALUE 4,820	04/15/09	10,000	5,407.35	105.5776	5,089.32
FNMA P745275 05%2036 AMORTIZED VALUE 13,015	07/22/09	27,000	14,599.84	105.5776	13,741.16
FHLMC G0 3432 05 50%2037 AMORTIZED FACTOR 0.415322280 AMORTIZED VALUE 215,136 CUSIP: 3128M5ED8	01/15/08	518,000	190,244.80	106.6915	229,532.83
FHLMC G0 4062 06%2038 AMORTIZED FACTOR 0.413013550 AMORTIZED VALUE 19,411 CUSIP: 3128M5Z37	03/26/08	47,000	20,090.63	108.4304	21,048.12
FNMA P889579 06%2038 AMORTIZED FACTOR 0.418007570 AMORTIZED VALUE 132,926 CUSIP: 31410KJY1	10/14/08	318,000	131,006.19	108.8165	144,645.86
FNMA P889579 06%2038 AMORTIZED VALUE 75,241	10/20/08	180,000	75,502.88	108.8165	81,875.02
FNMA P889579 06%2038 AMORTIZED VALUE 135,852	10/22/08	325,000	137,635.50	108.8165	147,829.89

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	
FNMA P889579 06%2038 AMORTIZED VALUE 45,562	05/21/09	109,000	48,463.22	108.8165	49,579.87	
FNMA P992033 06%2038 AMORTIZED FACTOR 0.180119000 AMORTIZED VALUE 49,532 CUSIP: 31415XBA8	12/08/08	275,000	50,619.00	108.7852	53,884.27	
FNMA P995069 06%2038 AMORTIZED FACTOR 0.533441500 AMORTIZED VALUE 27,738 CUSIP: 31416BMS4	11/05/08	52,000	29,916.02	109.6290	30,409.94	
FNMA P995876 06%2038 AMORTIZED FACTOR 0.545672620 AMORTIZED VALUE 52,930 CUSIP: 31416CJW9	07/22/09	97,000	56,764.19	108.8165	57,596.84	
FNMA PAD0440 06%2039 AMORTIZED FACTOR 0.720598150 AMORTIZED VALUE 43,235 CUSIP: 31418MP22	01/13/10	60,000	25,687.57	109.6290	47,399.07	
FNMA PAD0440 06%2039 AMORTIZED VALUE 138,354	07/19/10	192,000	170,638.64	109.6290	151,677.03	
TOTAL		4,599,000	3,290,210.11		3,358,866.59	

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES		Unit		Total	
Description	Symbol	Acquired	Quantity	Cost Basis	Estimated Market Price
AN S Y S INC COM	ANSS 11/22/10		200	48.2895	52.0700

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated
Description					Cost Basis	Market Price		
ABBOTT LABS		ABT	05/20/09	583	43.5080		25,365.17	47,9100
			07/29/09	217	45.6929		9,915.38	47,9100
			09/02/09	292	45.0692		13,160.21	47,9100
			10/14/09	22	51.3095		1,128.81	47,9100
			03/11/10	282	55.1997		15,566.32	47,9100
			11/22/10	350	46.8999		16,414.97	47,9100
				1,746			81,550.86	
Subtotal		ACN	01/09/08	442	33.4651		14,791.58	48,4900
ACCENTURE PLC SHS			02/12/08	43	35.0700		1,508.01	48,4900
			03/26/08	2	35.0300		70.06	48,4900
			04/03/08	521	36.2919		18,908.13	48,4900
			05/21/08	36	37.4100		1,346.76	48,4900
			09/30/08	182	37.1198		6,755.81	48,4900
			10/01/08	261	36.4732		9,519.53	48,4900
			10/13/08	161	32.4440		5,223.50	48,4900
			02/19/09	335	31.2300		10,462.05	48,4900
			10/14/09	135	39.3082		5,306.62	48,4900
			09/15/10	404	39.4706		15,946.16	48,4900
			11/22/10	350	43.9250		15,373.75	48,4900
				2,872			105,211.96	
Subtotal		ACE	09/08/10	166	54.6734		9,075.80	62,2500
ACE LIMITED			09/09/10	111	55.1510		6,121.77	62,2500
			11/22/10	75	59.9250		4,494.38	62,2500
Subtotal				352			19,691.95	

+

001

41.40 0218280 01

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
ADOBE SYS DEL PV\$ 0.001	ADBE	05/01/09	359	27.6064	9,910.71	30.7800	11,050.02
		06/26/09	49	28.1300	1,378.37	30.7800	1,508.22
		06/29/09	27	28.5885	771.89	30.7800	831.06
		07/20/09	434	31.2371	13,556.94	30.7800	13,358.52
		11/22/10	750	28.9377	21,703.28	30.7800	23,085.00
Subtotal			1,619		47,321.19		49,832.82
AIR PRODUCTS&CHEM	APD	04/14/10	194	75.4802	14,643.16	90.9500	17,644.30
		04/28/10	205	77.6011	15,908.23	90.9500	18,644.75
		11/22/10	100	85.0153	8,501.53	90.9500	9,095.00
Subtotal			499		39,052.92		45,384.05
ALLEGHENY TECH INC	ATI	05/05/10	857	50.5001	43,278.67	55.1800	47,289.26
		05/10/10	108	54.5075	5,886.82	55.1800	5,959.44
		10/18/10	51	47.7113	2,433.28	55.1800	2,814.18
		10/19/10	319	46.6900	14,894.14	55.1800	17,602.42
		11/22/10	250	50.4600	12,615.00	55.1800	13,795.00
Subtotal			1,585		79,107.91		87,460.30
ALLERGAN INC	AGN	11/02/09	105	56.7450	5,958.23	68.6700	7,210.35
		11/04/09	248	58.0741	14,402.40	68.6700	17,030.16
		02/05/10	103	57.5273	5,925.32	68.6700	7,073.01
		11/01/10	119	73.6994	8,770.23	68.6700	8,171.73
		11/02/10	178	74.6642	13,290.23	68.6700	12,223.26
		11/22/10	150	67.9753	10,196.30	68.6700	10,300.50
Subtotal			903		58,542.71		62,009.01
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	MDRX	11/22/10	250	17.8472	4,461.80	19.2700	4,817.50
ALTERRA CAPITAL HOLDINGS LTD	ALTE	05/06/09	92	16.0480	1,476.42	21.6400	1,990.88
		07/06/10	195	18.2450	3,557.78	21.6400	4,219.80
		09/08/10	93	19.0008	1,767.08	21.6400	2,012.52
Subtotal			380		6,801.28		8,223.20

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment GroupYOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
AMAZON COM INC COM		AMZN	12/04/08	118	47.3606		5,588.56	180.0000	21,240.00
			12/09/08	219	51.4927		11,276.92	180.0000	39,420.00
			06/26/09	7	83.4000		583.80	180.0000	1,260.00
			06/29/09	12	83.2866		999.44	180.0000	2,160.00
			07/24/09	93	86.1747		8,014.25	180.0000	16,740.00
			09/09/10	66	139.6798		9,218.87	180.0000	11,880.00
			09/14/10	47	146.1831		6,870.61	180.0000	8,460.00
			11/22/10	100	167.7900		16,779.00	180.0000	18,000.00
Subtotal				662			59,331.45		119,160.00
AMERICA MOVIL SAB DE CV		AMX	10/04/10	229	54.0461		12,376.56	57.3400	13,130.86
ADR			10/26/10	255	57.9620		14,780.31	57.3400	14,621.70
Subtotal				484			27,156.87		27,752.56
AMERICAN AXLE&MFG HLDGS		AXL	11/22/10	475	11.0442		5,246.00	12.8600	6,108.50
AMERICAN CAMPUS CMNTYS		ACC	05/07/09	83	22.4404		1,862.56	31.7600	2,636.08
INC									
AMERICAN EQUITY INVT		AEL	05/12/09	801	6.7974		5,444.73	12.5500	10,052.55
LIFE HLDG CO									
AMERICAN TOWER CORP CLA		AMT	04/08/10	641	41.9338		26,879.57	51.6400	33,101.24
			04/09/10	424	42.5579		18,044.59	51.6400	21,895.36
			06/04/10	322	41.4745		13,354.79	51.6400	16,628.08
			11/22/10	250	51.6044		12,901.10	51.6400	12,910.00
Subtotal				1,637			71,180.05		84,534.68
AMGEN INC COM PV \$0.0001		AMGN	06/29/09	239	52.8017		12,619.63	54.9000	13,121.10
			01/27/10	253	56.9850		14,417.21	54.9000	13,889.70
			02/09/10	188	57.6052		10,829.78	54.9000	10,321.20
			11/22/10	125	54.5976		6,824.71	54.9000	6,862.50
Subtotal				805			44,691.33		44,194.50



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
AON CORP		AON	07/28/10	546	36.6395		20,005.22	46.0100	25,121.46
			07/29/10	183	36.6489		6,706.75	46.0100	8,419.83
			11/22/10	200	40.7663		8,153.26	46.0100	9,202.00
				929			34,865.23		42,743.29
Subtotal									
APACHE CORP		APA	05/15/08	88	135.8825		11,957.66	119.2300	10,492.24
			05/29/08	116	133.5767		15,494.90	119.2300	13,830.68
			08/01/08	125	115.0515		14,381.44	119.2300	14,903.75
			10/14/09	27	99.9474		2,698.58	119.2300	3,219.21
			10/16/09	164	102.4931		16,808.88	119.2300	19,553.72
			11/22/10	150	107.8378		16,175.67	119.2300	17,884.50
				670			77,517.13		79,884.10
Subtotal									
APPLE INC		AAPL	03/24/08	104	140.1139		14,571.85	322.5600	33,546.24
			03/25/08	53	142.8066		7,568.75	322.5600	17,095.68
			04/18/08	34	161.5423		5,492.44	322.5600	10,967.04
			10/22/08	150	99.2596		14,888.94	322.5600	48,384.00
			11/24/08	153	88.8807		13,598.76	322.5600	49,351.68
			12/09/08	76	99.9050		7,592.78	322.5600	24,514.56
			06/26/09	17	142.0847		2,415.44	322.5600	5,483.52
			06/29/09	13	142.5346		1,852.95	322.5600	4,193.28
			10/30/09	25	195.1196		4,877.99	322.5600	8,064.00
			02/05/10	25	193.1540		4,828.85	322.5600	8,064.00
			11/22/10	125	309.0200		38,627.50	322.5600	40,320.00
				775			116,316.25		249,984.00
Subtotal									
ARM HLDGS PLC SPD ADR		ARMH	11/22/10	925	18.4671		17,082.07	20.7500	19,193.75
ARVINMERITOR INC IND COM		ARM	11/22/10	425	18.0851		7,686.17	20.5200	8,721.00
ASSA ABLOY AB ADR		ASAZ	11/22/10	575	13.5100		7,768.25	14.2000	8,165.00
			12/23/10	1,136	14.1750		16,102.91	14.2000	16,131.20
				1,711			23,871.16		24,296.20
Subtotal									

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
ASTORIA FINANCIAL CORP		AF	05/07/09	192	8.9303		1,714.62	13.9100	2,670.72
			07/24/09	207	9.4365		1,953.36	13.9100	2,879.37
			05/26/10	181	14.4692		2,618.94	13.9100	2,517.71
				580			6,286.92		8,067.80
Subtotal							4,693.20	29.3800	3,525.60
AT&T INC		T	01/10/08	120	39.1100		18,014.56	29.3800	13,926.12
			01/17/08	474	38.0054		17,372.87	29.3800	13,602.94
			02/12/08	463	37.5223		1,904.63	29.3800	1,439.62
			05/21/08	49	38.8700		17,861.29	29.3800	14,866.28
			06/19/08	506	35.2989		22,172.82	29.3800	20,095.92
			08/29/08	684	32.4164		5,005.10	29.3800	5,699.72
			10/14/09	194	25.7994		13,684.37	29.3800	15,189.46
			11/11/09	517	26.4688		26,001.66	29.3800	27,176.50
			11/22/10	925	28.1099		126,710.50		115,522.16
Subtotal				3,932			4,223.25	55.8300	4,187.25
ATLAS AIR WORLDWIDE HLDS NEW COM STOC		AAWW	11/22/10	75	56.3100				
BALLY TECHNOLOGIES INC		BYI	11/22/10	200	39.3068		7,861.36	42.1900	8,438.00
BANK NEW YORK MELLON CORP		BK	08/10/07	1,161	42.0387		48,806.94	30.2000	35,062.20
			04/11/08	378	43.1811		16,322.49	30.2000	11,415.60
			05/21/08	72	43.5200		3,133.44	30.2000	2,174.40
			10/08/08	205	25.9294		5,315.53	30.2000	6,191.00
			10/10/08	314	24.0833		7,562.16	30.2000	9,482.80
			10/07/09	337	28.5676		9,627.31	30.2000	10,177.40
			10/14/09	154	28.1400		4,333.56	30.2000	4,650.80
			02/03/10	454	28.7724		13,062.67	30.2000	13,710.80
			03/31/10	283	30.8374		8,727.01	30.2000	8,546.60
			11/22/10	900	27.2563		24,530.67	30.2000	27,180.00
Subtotal				4,258			141,421.78		128,591.60

+

001



4140 0218284 0015643 STZ00702103651



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
BECTON DICKINSON CO	BDX	12/22/09	178	77.8837	13,863.30	84.5200	15,044.56
		01/14/10	182	76.7417	13,966.99	84.5200	15,382.64
		11/22/10	100	77.4851	7,748.51	84.5200	8,452.00
Subtotal			460		35,578.80		38,879.20
BELDEN INC	BDC	05/07/09	320	18.3015	5,856.48	36.8200	11,782.40
BERRY PETE SF CALIF CL A	BRY	11/22/10	125	37.8855	4,735.69	43.7000	5,482.50
BG GROUP PLC SPON ADR	BRGY	08/10/07	298	78.7012	23,452.98	102.0000	30,396.00
BIOMARIN PHARMACEUTICALS	BMRN	11/22/10	225	26.6568	5,997.80	26.9300	6,059.25
BIOMED REALTY TR INC	BMR	08/19/10	241	16.9482	4,084.54	18.6500	4,494.65
BJS RESTAURANTS INC	BJRI	11/22/10	300	35.2600	10,578.00	35.4300	10,629.00
BLACKBAUD INC	BLKB	05/06/09	258	15.3064	3,949.07	25.9000	6,682.20
		09/27/10	35	23.5100	822.85	25.9000	906.50
		09/28/10	55	23.7558	1,306.57	25.9000	1,424.50
		09/29/10	39	24.0410	937.60	25.9000	1,010.10
Subtotal			387		7,016.09		10,023.30
BNP PARIBAS SPONSORD ADR	BNPQY	06/23/08	845	44.6850	37,758.90	31.9500	26,997.75
		11/22/10	250	35.4300	8,857.50	31.9500	7,987.50
Subtotal			1,095		46,616.40		34,985.25
BOB EVANS FARMS INC	BOBE	05/06/09	312	25.6122	7,991.01	32.9600	10,283.52
BOEING COMPANY	BA	05/27/10	363	64.7903	23,518.88	65.2600	23,689.38
		06/11/10	458	64.8360	29,694.89	65.2600	29,889.08
		07/22/10	185	66.7528	12,349.27	65.2600	12,073.10
		11/22/10	200	63.2526	12,650.52	65.2600	13,052.00
Subtotal			1,206		78,213.56		78,703.56
BORG WARNER INC COM	BWA	07/14/10	325	42.5072	13,814.84	72.3600	23,517.00
		08/11/10	320	45.7035	14,625.12	72.3600	23,155.20
		11/22/10	125	60.7226	7,590.33	72.3600	9,045.00
Subtotal			770		36,030.29		55,717.20

+



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated
Description					Cost Basis	Market Price			Market Value
BRANDYWNE RLTY T SBI NEW REIT		BDN	11/05/09	326	9.7027		3,163.11	11.6500	3,797.90
			03/11/10	406	11.5832		4,702.78	11.6500	4,729.90
Subtotal				732			7,865.89		8,527.80
BRIGHAM EXPL INC COM		BEXP	10/12/10	168	21.2676		3,572.97	27.2400	4,576.32
			11/22/10	100	25.4472		2,544.72	27.2400	2,724.00
Subtotal				268			6,117.69		7,300.32
BRINKS CO		BCO	05/07/09	137	28.1642		3,858.50	26.8800	3,682.56
			09/28/09	112	26.2900		2,944.48	26.8800	3,010.56
Subtotal				249			6,802.98		6,693.12
BRITISH SKY BDCT SPD ADR		BSYBY	01/26/09	193	23.6103		4,556.79	46.4500	8,964.85
			03/16/09	55	26.3378		1,448.58	46.4500	2,554.75
			03/17/09	148	25.7150		3,805.82	46.4500	6,874.60
			06/30/09	135	30.1088		4,064.69	46.4500	6,270.75
Subtotal				531			13,875.88		24,664.95
BRUKER CORP		BRKR	10/07/10	69	14.4259		995.39	16.6000	1,145.40
			10/12/10	240	14.9215		3,581.16	16.6000	3,984.00
Subtotal				309			4,576.55		5,129.40
CABOT CORP		CBT	12/04/09	224	24.3404		5,452.27	37.6500	8,433.60
CAMERON INTL CORP		CAM	08/16/10	797	38.3342		30,552.36	50.7300	40,431.81
			11/22/10	250	47.8176		11,954.40	50.7300	12,682.50
Subtotal				1,047			42,506.76		53,114.31
CANADIAN NATL RAILWAY CO		CNI	08/10/07	489	53.4300		26,127.30	66.4700	32,503.83
			09/03/08	37	51.8027		1,916.70	66.4700	2,459.39
Subtotal				526			28,044.00		34,963.22

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
CANADIAN NATURAL RES LTD	CNQ	08/09/07	09/25/08	871	33.8901		29,518.35	44.4200	38,689.82
			09/30/08	178	40.0404		7,127.20	44.4200	7,906.76
			06/29/09	112	33.7256		3,777.27	44.4200	4,975.04
			10/21/10	16	26.4712		423.54	44.4200	710.72
			11/22/10	391	35.6842		13,952.56	44.4200	17,368.22
				1,150	39.1257		44,994.56	44.4200	51,083.00
Subtotal				2,718			99,793.48		120,733.56
CANON INC ADR REPSSH	CAJ	12/28/09	12/29/09	184	43.2838		7,964.22	51.3400	9,446.56
			04/12/10	58	42.8520		2,485.42	51.3400	2,977.72
			09/21/10	183	46.0878		8,434.07	51.3400	9,395.22
				180	45.8198		8,247.58	51.3400	9,241.20
Subtotal				605			27,131.29		31,060.70
CARNIVAL CORP PAIRED SHS	CCL	11/22/10		1,100	41.9058		46,096.38	46.1100	50,721.00
CASH AMERICAN INTL INC	CSH	05/06/09	11/22/10	406	23.7964		9,661.35	36.9300	14,993.58
				125	36.0900		4,511.25	36.9300	4,616.25
Subtotal				531			14,172.60		19,609.83
CATALYST HEALTH SOLUTION	CHSI	11/22/10		100	43.5180		4,351.80	46.4900	4,649.00
CATERPILLAR INC DEL	CAT	07/27/10	07/28/10	191	69.1554		13,208.70	93.6600	17,889.06
			11/22/10	411	69.4354		28,537.99	93.6600	38,494.26
				125	83.5242		10,440.53	93.6600	11,707.50
Subtotal				727			52,187.22		68,090.82
CDI CORP	CDI	05/06/09		207	12.5681		2,601.61	18.5900	3,848.13
CELGENE CORP COM	CELG	05/05/10	05/06/10	372	60.0540		22,340.10	59.1400	22,000.08
			11/01/10	210	59.8322		12,564.78	59.1400	12,419.40
			11/02/10	57	61.8191		3,523.69	59.1400	3,370.98
			11/03/10	118	62.9056		7,422.87	59.1400	6,978.52
			11/22/10	64	62.5556		4,003.56	59.1400	3,784.96
Subtotal				175	61.2277		10,714.85	59.1400	10,349.50
				996			60,569.85		58,903.44



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated
Description					Cost Basis	Market Price			Market Value
CENTENE CORP		CNC	11/22/10	275	23.0400	25.3400	6,336.00		6,968.50
CHECK POINT SOFTWARE TECH		CHKP	07/12/10	235	30.9830	46.2600	7,281.01		10,871.10
			07/13/10	652	32.3142	46.2600	21,068.92		30,161.52
			09/30/10	359	37.0184	46.2600	13,289.64		16,607.34
			11/22/10	250	43.6277	46.2600	10,906.93		11,565.00
Subtotal				1,496			52,546.50		69,204.96
CHEVRON CORP		CVX	08/10/07	451	82.3500	91.2500	37,139.86		41,153.75
			04/30/08	180	97.0473	91.2500	17,468.53		16,425.00
			05/21/08	25	103.6500	91.2500	2,591.25		2,281.25
			08/07/08	193	84.0789	91.2500	16,227.23		17,611.25
			10/14/09	38	75.5200	91.2500	2,869.76		3,467.50
			10/28/09	198	75.9184	91.2500	15,031.86		18,067.50
			01/06/10	172	79.3690	91.2500	13,651.47		15,695.00
			11/22/10	325	82.8258	91.2500	26,918.39		29,656.25
Subtotal				1,582			131,898.35		144,357.50
CHICOS FAS INC COM		CHS	11/22/10	418	11.3900	12.0300	4,761.02		5,028.54
CHINA MERCHANTS-UNSPON		CMHHY	11/22/10	650	37.7400	39.6300	24,531.00		25,759.50
CHUBB CORP		CB	08/10/07	45	48.9673	59.6400	2,203.53		2,683.80
			03/26/08	1	49.6800	59.6400	49.68		59.64
			05/21/08	16	52.7900	59.6400	844.64		954.24
			06/19/08	393	52.2446	59.6400	20,532.13		23,438.52
			06/24/09	300	39.3484	59.6400	11,804.52		17,892.00
			10/14/09	45	51.5984	59.6400	2,321.93		2,683.80
			11/22/10	200	57.1600	59.6400	11,432.00		11,928.00
Subtotal				1,000			49,188.43		59,640.00
CISCO SYSTEMS INC COM		CSCO	07/30/09	417	22.0777	20.2300	9,206.44		8,435.91
			03/19/10	552	26.1761	20.2300	14,449.26		11,166.96
			08/10/10	1,097	24.3995	20.2300	26,766.36		22,192.31
Subtotal				2,066			50,422.06		41,795.18



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
CITRIX SYSTEMS INC COM		CTXS	10/09/09	430	41.7500		17,952.50	68.4100	29,416.30
			10/23/09	209	39.3769		8,229.79	68.4100	14,297.69
			04/22/10	376	47.6952		17,933.43	68.4100	25,722.16
			07/27/10	258	48.0687		12,401.75	68.4100	17,649.78
			10/25/10	146	61.0378		8,911.52	68.4100	9,987.86
			10/26/10	45	61.8826		2,784.72	68.4100	3,078.45
			11/22/10	250	67.5546		16,888.65	68.4100	17,102.50
				1,714			85,102.36		117,254.74
Subtotal							5,311.00	23.2300	5,807.50
CLOUD PEAK ENERGY INC		CLD	11/22/10	250	21.2440		20,038.25	321.7500	21,557.25
CME GROUP INC		CME	12/01/10	67	299.0783		18,291.86	321.7500	18,983.25
			12/02/10	59	310.0315		38,330.11		40,540.50
Subtotal				126			877.13	27.7700	1,555.12
CMNTY BANK SYS INC DEL		CBU	06/16/09	56	15.6630		1,860.51	27.7700	3,165.78
			07/24/09	114	16.3202		1,990.10	27.7700	2,471.53
			03/05/10	89	22.3606		4,727.74		7,192.43
Subtotal				259			8,614.88	238.3700	13,110.35
CNOOC LTD ADR		CEO	03/14/08	55	156.6341		1,110.96	238.3700	1,906.96
			03/25/08	8	138.8700		7,176.46	238.3700	19,069.60
			12/29/08	80	89.7057		16,902.30		34,086.91
Subtotal				143			20,873.46	55.3100	33,020.07
COACH INC		COH	01/28/10	597	34.9639		5,485.51	55.3100	5,531.00
			11/22/10	100	54.8551		26,358.97		38,551.07
Subtotal				697			25,013.17	65.7700	28,807.26
COCA COLA COM		KO	01/13/10	438	57.1076		14,974.19	65.7700	18,086.75
			01/28/10	275	54.4516		8,891.83	65.7700	10,852.05
			02/10/10	165	53.8898		30,192.75	65.7700	36,370.81
			03/05/10	553	54.5981		15,971.45	65.7700	16,442.50
			11/22/10	250	63.8858		95,043.39		110,559.37
Subtotal				1,681					

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

December 01, 2010 - December 31, 2010

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
				Cost Basis			Market Price		
COCA COLA HELLENIC S ADS	CCH	11/22/10	525	26.4207		13,870.87	25.9000		13,597.50
COHERENT INC CAL	COHR	11/22/10	200	42.6814		8,536.28	45.1400		9,028.00
COLGATE PALMOLIVE	CL	01/25/10	411	80.5409		33,102.31	80.3700		33,032.07
		11/22/10	75	78.0050		5,850.38	80.3700		6,027.75
Subtotal			486			38,952.69			39,059.82
COLUMBIA SPORTSWEAR CO	COLM	11/25/09	139	38.3286		5,327.68	60.3000		8,381.70
COOPER COS INC COM NEW	COO	06/23/08	66	38.2228		2,522.71	56.3400		3,718.44
		05/06/09	92	29.0000		2,668.00	56.3400		5,183.28
Subtotal			158			5,190.71			8,901.72
↑ COOPER TIRE RUBBER	CTB	05/19/10	35	18.8134		658.47	23.5800		825.30
		05/27/10	249	19.6383		4,889.94	23.5800		5,871.42
		09/09/10	190	17.8505		3,391.60	23.5800		4,480.20
Subtotal			474			8,940.01			11,176.92
CORNING INC	GLW	05/28/10	1,160	17.4405		20,230.98	19.3200		22,411.20
		06/11/10	1,100	18.2893		20,118.23	19.3200		21,252.00
		11/22/10	450	17.9463		8,075.84	19.3200		8,694.00
Subtotal			2,710			48,425.05			52,357.20
COVIDIEN PLC SHS	COV	01/26/10	107	50.8940		5,445.66	45.6600		4,885.62
		02/01/10	274	50.2279		13,762.47	45.6600		12,510.84
		04/29/10	334	48.4611		16,186.04	45.6600		15,250.44
		11/22/10	150	42.5042		6,375.63	45.6600		6,849.00
Subtotal			865			41,769.80			39,495.90
CTRP.COM INTL LTD ADR	CTRP	11/29/10	800	46.3208		37,056.64	40.4500		32,360.00
CTS CORPORATION	CTS	03/18/10	39	9.4912		370.16	11.0600		431.34
		03/19/10	45	9.4955		427.30	11.0600		497.70
		06/07/10	170	10.1262		1,721.47	11.0600		1,880.20
		06/08/10	220	9.8645		2,170.21	11.0600		2,433.20
Subtotal			474			4,689.14			5,242.44

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
CUMMINS INC	COM	CMI	05/01/09	7	34,037.1		238.26	110.0100	770.07
			06/26/09	30	34,389.6		1,031.69	110.0100	3,300.30
			06/29/09	14	36,387.8		509.43	110.0100	1,540.14
			07/21/09	324	40,702.1		13,187.51	110.0100	35,843.24
			04/29/10	227	75,974.8		17,246.28	110.0100	24,972.27
			11/22/10	125	94,470.4		11,808.80	110.0100	13,751.25
Subtotal				727			44,021.97		79,977.27
DANAHER CORP DEL	COM	DHR	08/09/07	345	40,854.2		14,094.72	47.1700	16,273.65
			04/18/08	432	37,845.1		16,349.10	47.1700	20,377.44
			11/22/10	150	43,376.2		6,506.43	47.1700	7,075.50
Subtotal				927			36,950.25		43,726.59
DASSAULT SYS SA SPN ADR		DASTY	11/22/10	275	73,350.0		20,171.25	76.5200	21,043.00
DECKERS OUTDOORS CORP		DECK	11/22/10	75	67,593.2		5,069.49	79.7400	5,980.50
DENBURY RES INC		DNR	03/10/10	893	16,019.2		14,305.23	19.0900	17,047.37
			11/22/10	200	18,344.2		3,668.84	19.0900	3,818.00
Subtotal				1,093			17,974.07		20,885.37
DIAGEO PLC SPSP ADR NEW		DEO	08/10/07	305	82,690.0		25,220.46	74.3300	22,670.65
			05/21/08	14	79,030.0		1,106.42	74.3300	1,040.62
			05/29/08	221	78,599.8		17,370.56	74.3300	16,426.93
			06/05/08	118	79,547.7		9,386.63	74.3300	8,770.94
			10/14/09	62	61,644.8		3,821.98	74.3300	4,608.46
			11/22/10	175	75,564.5		13,223.79	74.3300	13,007.75
Subtotal				895			70,129.84		66,525.35
DISNEY (WALT) CO COM STK		DIS	08/20/08	572	31,829.5		18,206.48	37.5100	21,455.72
			09/10/08	500	32,833.6		16,416.80	37.5100	18,755.00
			10/14/09	54	28,940.0		1,562.76	37.5100	2,025.54
			11/22/10	275	36,766.2		10,110.73	37.5100	10,315.25
Subtotal				1,401			46,296.77		52,551.51
DTS INC		DTSI	11/22/10	225	43,880.0		9,873.00	49.0500	11,036.25

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment GroupYOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
				Cost Basis	Market Value			
DUFF AND PHELPS CORP NEW CL A	DUF	11/22/10	200	13.5400		2,708.00	16.8600	3,372.00
E M C CORPORATION MASS	EMC	04/09/09	533	13.2260		7,049.46	22.9000	12,205.70
		06/03/09	1,012	12.4813		12,631.08	22.9000	23,174.80
		06/26/09	73	13.4198		979.65	22.9000	1,671.70
		06/29/09	55	13.5780		746.79	22.9000	1,259.50
		10/30/09	416	16.8731		7,019.21	22.9000	9,526.40
		11/22/10	400	21.5975		8,639.00	22.9000	9,160.00
Subtotal			2,489			37,065.19		56,998.10
	ERJ	11/22/10	875	28.9482		25,329.68	29.4000	25,725.00
	EMR	04/09/09	133	31.9475		4,249.02	57.1700	7,603.61
		04/30/09	184	33.9486		6,246.56	57.1700	10,519.28
		06/29/09	13	33.4576		434.95	57.1700	743.21
		09/10/09	339	39.4966		13,389.38	57.1700	19,380.63
Subtotal			125	55.2551		6,906.89	57.1700	7,146.25
		11/22/10	794			31,226.80		45,392.98
EMS TECHNOLOGIES INC	ELMG	11/22/10	275	18.2624		5,022.16	19.7800	5,439.50
	ENH	09/22/10	181	39.3255		7,117.93	46.0700	8,338.67
	EBF	05/07/09	82	10.1993		836.35	17.1000	1,402.20
		05/08/09	242	10.8176		2,617.86	17.1000	4,138.20
Subtotal			324			3,454.21		5,540.40
	EOG	10/14/10	6	98.8416		593.05	91.4100	548.46
		10/15/10	236	100.0227		23,605.36	91.4100	21,572.76
		10/18/10	212	101.2458		21,464.13	91.4100	19,378.92
Subtotal			100	92.0555		9,205.55	91.4100	9,141.00
		11/22/10	554			54,868.09		50,641.14
EQTY LIFESTYLS PPTYS INC	ELS	06/30/09	75	37.1013		2,782.60	55.9300	4,194.75
		05/26/10	53	49.4252		2,619.54	55.9300	2,964.29
Subtotal			128			5,402.14		7,159.04

+

001



4140 0218292 0013643 57280702103651

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010-December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
EXPRESS SCRIPTS INC COM	ESRX	06/14/10	622	53.4016	33,215.80	54.0500	33,619.10
		11/22/10	150	53.2889	7,993.34	54.0500	8,107.50
Subtotal			772		41,209.14		41,726.60
EXXON MOBIL CORP COM	XOM	08/10/07	598	83.8861	50,163.90	73.1200	43,725.76
		05/21/08	30	94.7100	2,841.30	73.1200	2,193.60
		01/28/09	32	78.3184	2,506.19	73.1200	2,339.84
		10/14/09	44	71.7979	3,159.11	73.1200	3,217.28
		11/22/10	175	69.5058	12,163.52	73.1200	12,796.00
		12/08/10	270	71.9122	19,416.32	73.1200	19,742.40
Subtotal			1,149		90,250.34		84,014.88
FANUC LTD-UNSP	FANUY	11/02/10	1,173	24.0712	28,235.60	25.6400	30,075.72
FINISH LINE INC CL A	FINL	01/07/10	296	12.1609	3,599.63	17.1900	5,088.24
		09/24/10	295	14.0363	4,140.71	17.1900	5,071.05
Subtotal			591		7,740.34		10,159.29
FIRST POTOMAC RLTY TR	FPO	04/30/10	40	16.1422	645.69	16.8200	672.80
REIT		05/03/10	57	16.4729	938.96	16.8200	958.74
		06/09/10	275	14.2337	3,914.27	16.8200	4,625.50
Subtotal			372		5,498.92		6,257.04
FLSMIDTH AND CO A/S ADR	FLIDY	11/22/10	1,925	9.0000	17,325.00	9.6000	18,480.00
FRANKLIN EL CO PV10CT	FELE	04/29/10	41	35.6468	1,461.52	38.9200	1,595.72
INDIANA		04/30/10	80	35.6487	2,851.90	38.9200	3,113.60
		07/27/10	42	31.9414	1,341.54	38.9200	1,634.64
		07/28/10	122	31.7509	3,873.61	38.9200	4,748.24
Subtotal			285		9,528.57		11,092.20

+

001

4140 0218293 0013643 87280702103651

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment GroupYOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
FREEMPT-MCMRAN CPR & GLD	FCX 10/01/10			379	88.5456		33,558.82	120.0900	45,514.11
	10/26/10			162	96.2204		15,587.72	120.0900	19,454.58
	11/01/10			408	95.8130		39,091.74	120.0900	48,996.72
	11/09/10			29	107.2503		3,110.26	120.0900	3,482.61
	11/22/10			325	100.8442		32,774.37	120.0900	39,029.25
Subtotal				1,303			124,122.91		156,477.27
FRESENIUS MEDICAL CARE AG & CO SPON ADR	FMS 11/22/10			625	59.3370		37,085.63	57.6900	36,056.25
FRESH MKT INC COMMON STOCK	TFM 11/22/10			150	33.5783		5,036.75	41.2000	6,180.00
FTI CONSULTING INC COM	FCN 11/02/09			101	40.5672		4,097.29	37.2800	3,765.28
	06/01/10			18	42.7872		770.17	37.2800	671.04
	06/23/10			24	44.4625		1,067.10	37.2800	894.72
	10/12/10			18	35.1577		632.84	37.2800	671.04
	11/22/10			75	35.1200		2,634.00	37.2800	2,796.00
Subtotal				236			9,201.40		8,798.08
FULTON FINL CORP PA	FULT 05/25/10			167	9.4168		1,572.61	10.3400	1,726.78
	05/28/10			334	9.9955		3,338.53	10.3400	3,453.56
	08/19/10			354	8.5124		3,013.39	10.3400	3,660.36
Subtotal				855			7,924.53		8,840.70
F5 NETWORKS INC COM	FFIV 08/17/10			146	87.7124		12,806.02	130.1600	19,003.36
	08/18/10			34	88.5744		3,011.53	130.1600	4,425.44
	11/22/10			50	129.6020		6,480.10	130.1600	6,508.00
Subtotal				230			22,297.65		29,936.80



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated
Description					Cost Basis	Market Price			Market Value
GENERAL MILLS		GIS	10/15/09	421	32.5753	13,714.22	35.5900	14,983.39	
			10/16/09	278	32.7484	9,104.07	35.5900	9,894.02	
			04/14/10	428	35.3289	15,120.81	35.5900	15,232.52	
			11/22/10	575	35.1462	20,209.12	35.5900	20,464.25	
			12/16/10	554	36.5622	20,255.46	35.5900	19,716.86	
Subtotal				2,256		78,403.68		80,291.04	
GENESCO INC		GCO	11/22/10	350	35.6700	12,484.50	37.4900	13,121.50	
GEO GROUP INC		GEO	11/22/10	350	24.1299	8,445.47	24.6600	8,631.00	
GOLDMAN SACHS GROUP INC		GS	09/17/08	43	101.8120	4,377.92	168.1600	7,230.88	
			03/11/09	136	93.0860	12,659.70	168.1600	22,869.76	
			10/14/09	21	193.0271	4,053.57	168.1600	3,531.36	
			03/31/10	59	170.7403	10,073.68	168.1600	9,921.44	
			04/07/10	87	177.2659	15,422.14	168.1600	14,629.92	
			07/29/10	186	149.4598	27,799.54	168.1600	31,277.76	
			11/22/10	225	159.4016	35,865.36	168.1600	37,836.00	
Subtotal				757		110,251.91		127,297.12	
GOVT PPTYS INCOME TR		GOV	04/05/10	34	26.3102	894.55	26.7900	910.86	
			05/03/10	69	27.2337	1,879.13	26.7900	1,848.51	
			06/30/10	65	25.5441	1,660.37	26.7900	1,741.35	
			09/28/10	79	26.2377	2,072.78	26.7900	2,116.41	
Subtotal				247		6,506.83		6,617.13	
GRAFTECH INTL LTD		GTI	11/22/10	375	18.4050	6,901.91	19.8400	7,440.00	
HANG LUNG PROPERTIESADR		HLP	11/22/10	875	22.9902	20,116.43	23.6500	20,693.75	
HASBRO INC COM		HAS	01/22/09	470	25.9797	12,210.46	47.1800	22,174.60	
			06/10/09	444	26.0152	11,550.79	47.1800	20,947.92	
			10/14/09	77	28.4972	2,194.29	47.1800	3,632.86	
			11/22/10	250	47.2199	11,804.98	47.1800	11,795.00	
Subtotal				1,241		37,760.52		58,550.38	
HEARTWARE INTL INC		HTW	12/10/10	29	87.0655	2,524.90	87.5700	2,539.53	

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment GroupYOUR INVESTMENT MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
HENNES AND MAURITZ AB- ADR		HNNMY	11/22/10	5,400	6.7500		36,450.00	6.7100	36,234.00
HERBALIFE LTD		HILF	11/22/10	100	69.1418		6,914.18	68.3700	6,837.00
HES CORP		HES	08/10/07	507	58.8658		29,844.97	76.5400	38,805.78
			05/21/08	29	132.9300		3,854.97	76.5400	2,219.66
			07/08/09	158	47.3258		7,477.48	76.5400	12,093.32
			10/14/09	66	59.2683		3,911.71	76.5400	5,051.64
			11/22/10	275	69.0806		18,997.17	76.5400	21,048.50
Subtotal				1,035			64,086.30		79,218.90
HOLLY CORP COM \$0 01		HOC	05/07/09	132	27.8878		3,681.19	40.7700	5,381.64
HONEYWELL INTL INC DEL		HON	05/26/10	618	42.5626		26,303.69	53.1600	32,852.88
			08/11/10	318	42.2445		13,433.78	53.1600	16,904.88
			11/22/10	275	49.4262		13,592.23	53.1600	14,619.00
Subtotal				1,211			53,329.70		64,376.76
HONG KONG EXCHANGES AND CLEARING LTD SHS		HKXCY	11/22/10	1,150	23.3700		26,875.50	22.8500	26,277.50
HUNTSMAN CORP		HUN	11/22/10	786	13.6058		10,694.16	15.6100	12,269.46
ICON PLC SPONSORED ADR		ICLR	11/22/10	150	20.0044		3,000.66	21.9000	3,285.00
INDUSTRIAL AND COMMRCIAL BNK OF CHN		IDCBY	11/22/10	625	38.6039		24,127.49	15.6500	9,781.25
			N/A	937	N/A		N/A	15.6500	14,664.05
Subtotal				1,562			24,127.49		24,445.30
INFINITY PPTY & CASUALTY		IPCC	09/04/09	41	43.4956		1,783.32	61.8000	2,533.80
INFORMATICA CORP CA		INFA	08/18/09	44	18.0436		793.92	44.0300	1,937.32
			08/18/09	95	18.0434		1,714.13	44.0300	4,182.85
			09/16/09	56	21.2442		1,189.68	44.0300	2,465.68
			12/03/09	64	23.3940		1,497.22	44.0300	2,817.92
			11/22/10	25	40.5268		1,013.17	44.0300	1,100.75
Subtotal				284			6,208.12		12,504.52

+

001



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
INFOSYS TECH LTD ADR		INFY	06/25/08	172	44.5051		7,654.89	76.0800	13,085.76
			09/03/08	64	40.7954		2,610.91	76.0800	4,869.12
Subtotal				236			10,265.80		17,954.88
INNOPHOS HLDGS INC		IPHS	03/23/10	126	27.3061		3,440.58	36.0800	4,546.08
			09/24/10	120	30.9903		3,718.84	36.0800	4,329.60
Subtotal				246			7,159.42		8,875.68
INTEL CORP		INTC	08/10/07	1,581	24.0600		38,038.87	21.0300	33,248.43
			01/25/08	917	20.3605		18,670.58	21.0300	19,284.51
			02/12/08	2	20.8900		41.78	21.0300	42.06
			05/21/08	92	23.7600		2,185.92	21.0300	1,934.76
			10/14/09	111	20.8381		2,313.04	21.0300	2,334.33
			11/22/10	625	21.1391		13,211.94	21.0300	13,143.75
Subtotal				3,328			74,462.13		69,987.84
INTL BUSINESS MACHINES CORP IBM		IBM	11/07/07	25	112.7412		2,818.53	146.7600	3,669.00
			12/19/07	191	107.2723		20,489.01	146.7600	28,031.16
			02/12/08	8	106.8675		854.94	146.7600	1,174.08
			10/14/09	25	128.2972		3,207.43	146.7600	3,669.00
			06/23/10	126	129.9183		16,369.71	146.7600	18,491.76
			07/28/10	92	128.9907		11,867.15	146.7600	13,501.92
			11/03/10	101	143.6178		14,505.40	146.7600	14,822.76
			11/22/10	175	144.3300		25,257.75	146.7600	25,683.00
Subtotal				743			95,369.92		109,042.68
INTREPID POTASH INC		IPI	12/22/09	57	28.0610		1,599.48	37.2900	2,125.53
			12/30/09	114	29.3050		3,340.78	37.2900	4,251.06
Subtotal				171			4,940.26		6,376.59
ITAU UNIBANCO BANCO HOLD SA SPONSORED ADR		ITUB	03/11/10	902	21.4338		19,333.30	24.0100	21,657.02
JETBLUE AIRWAYS CORP DEL		JBLU	11/22/10	625	6.7700		4,231.25	6.6100	4,131.25

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
				Cost Basis	Market Price				
JOHNSON AND JOHNSON COM	JNJ	08/10/07	497	60.8277	61.8500	30,231.39	61.8500		30,739.45
		02/12/08	10	62.4600	61.8500	624.60	61.8500		618.50
		04/29/09	223	50.8099	61.8500	11,330.61	61.8500		13,792.55
		10/14/09	53	60.5573	61.8500	3,209.54	61.8500		3,278.05
		10/28/09	217	59.7688	61.8500	12,969.85	61.8500		13,421.45
		02/10/10	251	62.8809	61.8500	15,783.13	61.8500		15,524.35
		10/06/10	157	62.7210	61.8500	9,847.21	61.8500		9,710.45
		11/22/10	425	63.4036	61.8500	26,946.57	61.8500		26,286.25
Subtotal			1,833			110,942.90			113,371.05
JOHNSON CONTROLS INC	JCI	05/26/10	526	28.1739	38.2000	14,819.52	38.2000		20,093.20
		11/22/10	125	36.8251	38.2000	4,603.14	38.2000		4,775.00
		12/02/10	503	39.0171	38.2000	19,625.65	38.2000		19,214.60
Subtotal			1,154			39,048.31			44,082.80
JPMORGAN CHASE & CO	JPM	12/03/08	88	27.7930	42.4200	2,445.79	42.4200		3,732.96
		12/04/08	221	31.4605	42.4200	6,952.79	42.4200		9,374.82
		12/17/08	404	31.7551	42.4200	12,829.10	42.4200		17,137.68
		01/07/09	227	28.9002	42.4200	6,560.35	42.4200		9,629.34
		02/11/09	212	25.8060	42.4200	5,470.89	42.4200		8,993.04
		03/04/09	273	19.6250	42.4200	5,357.63	42.4200		11,580.66
		03/13/09	481	23.8329	42.4200	11,463.67	42.4200		20,404.02
		06/03/09	274	34.3998	42.4200	9,425.57	42.4200		11,623.08
		06/10/09	178	35.3261	42.4200	6,288.06	42.4200		7,550.76
		06/26/09	41	34.2200	42.4200	1,403.02	42.4200		1,739.22
		06/29/09	21	34.3800	42.4200	721.98	42.4200		890.82
		10/14/09	46	47.0880	42.4200	2,166.05	42.4200		1,951.32
		04/15/10	273	47.9487	42.4200	13,090.00	42.4200		11,580.66
		11/22/10	800	38.3675	42.4200	30,694.00	42.4200		33,936.00
Subtotal			3,539			114,868.90			150,124.38
JUNIPER NETWORKS INC	JNPR	11/22/10	1,100	34.4058	36.9200	37,846.38	36.9200		40,612.00



001



4140 0218298 0013643 STZ00702103651

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description	SP ADR				Cost Basis				
KINGFISHER PLC	SP ADR	KGPHY	11/22/10	3,975	7.9096		31,440.66	8.1600	32,436.00
KOMATSU NEW NEW SPNSDADR		KMTUY	02/24/10	1,072	20.1159		21,564.25	30.4700	32,663.84
			11/22/10	225	27.5000		6,187.50	30.4700	6,855.75
Subtotal				1,297			27,751.75		39,519.59
LABORATORY CP AMER HLDGS		LH	05/19/10	256	77.5625		19,856.00	87.9200	22,507.52
			05/20/10	73	76.3236		5,571.63	87.9200	6,418.16
			11/22/10	100	81.8151		8,181.51	87.9200	8,792.00
Subtotal				429			33,609.14		37,717.68
LAFARGE ADR NEW		LFRGY	11/22/10	1,675	15.2000		25,460.00	15.7600	26,398.00
LANCASTER COLONY CP OHIO		LANC	05/06/09	113	45.4895		5,140.32	57.2000	6,463.60
LANDSTAR SYS INC COM		LSTR	11/22/10	113	37.7749		4,268.57	40.9400	4,626.22
LOCKHEED MARTIN CORP		LMT	08/10/07	802	95.2700		76,406.55	69.9100	56,067.82
			03/26/08	23	101.0700		2,324.61	69.9100	1,607.93
			05/21/08	40	107.2600		4,290.40	69.9100	2,796.40
			11/05/08	105	85.6701		8,995.37	69.9100	7,340.55
			01/28/09	42	82.0942		3,447.96	69.9100	2,936.22
			03/04/09	121	62.4638		7,558.13	69.9100	8,459.11
			08/26/09	216	74.6147		16,116.78	69.9100	15,100.56
			10/14/09	89	75.1900		6,691.91	69.9100	6,221.99
			11/04/09	144	70.8681		10,205.01	69.9100	10,067.04
			11/22/10	400	68.4142		27,365.68	69.9100	27,964.00
Subtotal				1,982			163,402.40		138,561.62
LOGITECH INTERNATIONAL		LOGI	11/22/10	1,475	20.7280		30,573.80	18.5500	27,361.25
LTC PROPERTIES INC		LTC	10/21/10	137	27.7462		3,801.24	28.0800	3,846.96
REITS-HEALTH CARE									
LUFKIN INDS INC		LUFK	11/22/10	300	50.4500		15,135.00	62.3900	18,717.00
LULULEMON ATHLETICA INC		LULU	11/22/10	173	52.4872		9,080.29	68.4200	11,836.66
LVMH MOET HENNESSY ADR		LVMUY	11/22/10	1,350	32.6000		44,010.00	33.1500	44,752.50



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
MACK CALI REALTY CORP REIT	CLI	05/06/09	5	25.3560	126.78	33.0600	165.30
		05/26/10	57	32.0021	1,824.12	33.0600	1,884.42
		07/06/10	117	28.2294	3,302.85	33.0600	3,868.02
Subtotal			179		5,253.75		5,917.74
MADDEN STEVEN LTD	SHOO	11/22/10	125	43.8680	5,483.51	41.7200	5,215.00
MAN GROUP PLC-UNSPON	MNGPY	11/22/10	4,875	4.6400	22,620.00	4.6900	22,863.75
MASTERCARD INC	MA	05/14/10	76	216.0327	16,418.49	224.1100	17,032.36
		05/19/10	67	207.2592	13,886.37	224.1100	15,015.37
		11/22/10	25	243.1800	6,079.50	224.1100	5,602.75
Subtotal			168		36,384.36		37,650.48
MAXIMUS INC	MMS	09/27/10	69	59.5442	4,108.55	65.5800	4,525.02
MCDONALDS CORP COM	MCD	06/08/10	149	67.6407	10,078.47	76.7600	11,437.24
		06/08/10	624	67.6407	42,207.80	76.7600	47,898.24
		10/26/10	172	78.7843	13,550.90	76.7600	13,202.72
		11/22/10	450	79.4187	35,738.42	76.7600	34,542.00
Subtotal			1,395		101,575.59		107,080.20
MEAD JOHNSON NUTRITION CO	MJN	12/18/09	163	41.7069	6,798.23	62.2500	10,146.75
		01/06/10	334	44.9017	14,997.20	62.2500	20,791.50
		01/28/10	247	45.2434	11,175.14	62.2500	15,375.75
		11/22/10	125	59.9906	7,498.83	62.2500	7,781.25
Subtotal			869		40,469.40		54,095.25
MEDASSETS INC	MDAS	11/22/10	225	16.7750	3,774.38	20.1900	4,542.75
		12/10/10	116	18.8612	2,187.90	20.1900	2,342.04
Subtotal			341		5,962.28		6,884.79
MEDICIS PHARMS CL A COM	MRX	07/06/10	177	22.0300	3,899.31	26.7900	4,741.83
MEDIDATA SOLUTIONS INC	MDSO	12/21/10	106	24.2651	2,572.11	23.8800	2,531.28
		12/28/10	50	24.1632	1,208.16	23.8800	1,194.00
Subtotal			156		3,780.27		3,725.28

+

001



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated
Description					Cost Basis	Market Price			Market Value
MEDTRONIC INC	COM	MDT	04/29/09	305	31.2215	37.0900	9,522.56	37.0900	11,312.45
			05/06/09	375	32.0202	37.0900	12,007.61	37.0900	13,908.75
			08/19/09	266	36.9119	37.0900	9,818.59	37.0900	9,865.94
			09/02/09	349	38.2146	37.0900	13,336.90	37.0900	12,944.41
			10/14/09	97	36.9289	37.0900	3,582.11	37.0900	3,597.73
			08/18/10	341	36.4970	37.0900	12,445.51	37.0900	12,647.69
			11/22/10	500	34.5042	37.0900	17,252.10	37.0900	18,545.00
Subtotal				2,233	77,965.38				82,821.97
MERIDIAN BIOSCIENCE INC		VVO	05/06/09	229	17.5620	23.1600	4,021.70	23.1600	5,303.64
			04/27/10	118	19.3037	23.1600	2,277.84	23.1600	2,732.88
Subtotal				347	6,299.54				8,036.52
METLIFE INC	COM	MET	08/10/07	920	61.5122	44.4400	56,591.25	44.4400	40,884.80
			02/12/08	27	57.9900	44.4400	1,565.73	44.4400	1,199.88
			03/26/08	23	60.3700	44.4400	1,388.51	44.4400	1,022.12
			05/21/08	39	59.4900	44.4400	2,320.11	44.4400	1,733.16
			06/23/08	195	56.3387	44.4400	10,986.05	44.4400	8,665.80
			10/22/08	327	31.3307	44.4400	10,245.17	44.4400	14,531.88
			10/14/09	90	38.1698	44.4400	3,435.29	44.4400	3,999.60
			09/01/10	245	39.4166	44.4400	9,657.07	44.4400	10,887.80
			11/22/10	550	38.3963	44.4400	21,117.97	44.4400	24,442.00
Subtotal				2,416	117,307.15				107,367.04
MFA FINANCIAL INC		MFA	05/07/09	163	6.1669	8.1600	1,005.21	8.1600	1,330.08
			06/30/09	38	6.7700	8.1600	257.26	8.1600	310.08
			10/07/09	281	7.9828	8.1600	2,243.19	8.1600	2,292.96
			04/27/10	520	7.3061	8.1600	3,799.22	8.1600	4,243.20
			06/08/10	176	7.3556	8.1600	1,294.60	8.1600	1,436.16
Subtotal				1,178	8,599.48				9,612.48
MGIC INVT CORP WIS		MTG	11/22/10	375	8.3942	10.1900	3,147.83	10.1900	3,821.25

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
Description					Cost Basis			Market Price		
MITSUBISHI UFJ FINL GRP INC		MTU	05/21/10	1,566	4.9871		7,809.80	5.4100		8,472.06
			09/21/10	1,373	4.8232		6,622.39	5.4100		7,427.93
			10/06/10	903	4.8832		4,409.53	5.4100		4,885.23
			10/07/10	641	4.9241		3,156.35	5.4100		3,467.81
Subtotal				4,483			21,998.07			24,253.03
MONSTER WORLDWIDE INC		MWW	11/22/10	200	19.7558		3,951.16	23.6300		4,728.00
NESTLE S A REP RG SH ADR		NSRGY	10/10/07	140	43.1283		6,037.97	58.8200		8,234.80
			02/12/08	50	43.0800		2,154.00	58.8200		2,941.00
			05/21/08	36	48.1055		1,731.80	58.8200		2,117.52
			10/08/08	200	39.6689		7,933.78	58.8200		11,764.00
			08/19/09	207	39.9045		8,260.25	58.8200		12,175.74
			10/14/09	66	42.9900		2,837.34	58.8200		3,882.12
			03/12/10	374	50.7160		18,967.82	58.8200		21,998.68
			04/27/10	224	49.3625		11,057.20	58.8200		13,175.68
			08/24/10	94	50.5777		4,754.31	58.8200		5,529.08
			11/22/10	75	56.0200		4,201.50	58.8200		4,411.50
Subtotal				1,466			67,935.97			86,230.12
NETAPP INC		NTAP	09/15/10	862	49.1950		42,406.09	54.9600		47,375.52
			11/22/10	150	52.1585		7,823.78	54.9600		8,244.00
Subtotal				1,012			50,229.87			55,619.52
NETFLIX COM INC		NFLX	08/06/10	282	117.6985		33,190.98	175.7000		49,547.40
			09/01/10	115	132.5606		15,244.48	175.7000		20,205.50
			11/22/10	25	185.6680		4,641.70	175.7000		4,392.50
			12/09/10	136	189.8033		25,813.25	175.7000		23,895.20
Subtotal				558			78,890.41			98,040.60
NETLOGIC MICROSYSTEMS		NETL	11/22/10	150	30.4600		4,569.00	31.4100		4,711.50
NEW ORIENTAL EDUCATIO ADR		EDU	11/22/10	200	108.4400		21,688.00	105.2300		21,048.00

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated
Description					Cost Basis	Market Price			
NOBLE CORP NAMEN-AKT		NE	06/15/10	478	30.8277	35.7700	14,735.67	35.7700	17,098.06
			08/04/10	397	35.5321	35.7700	14,106.27	35.7700	14,200.69
			11/22/10	250	35.8942	35.7700	8,973.55	35.7700	8,942.50
Subtotal				1,125	37,815.49				40,241.25
NORDSTROM INC		JWN	09/14/10	528	35.3129	42.3800	18,645.26	42.3800	22,376.64
			09/15/10	295	35.4392	42.3800	10,454.59	42.3800	12,502.10
			11/22/10	150	42.5551	42.3800	6,383.27	42.3800	6,357.00
Subtotal				973	35,483.12				41,235.74
NORTHROP GRUMMAN CORP		NOC	05/21/08	252	74.8411	64.7800	18,859.98	64.7800	16,324.56
			06/11/08	234	71.9008	64.7800	16,824.81	64.7800	15,158.52
			03/24/09	255	41.8723	64.7800	10,677.46	64.7800	16,518.90
			07/29/09	92	44.9743	64.7800	4,137.64	64.7800	5,959.76
			10/14/09	106	51.0395	64.7800	5,410.19	64.7800	6,866.68
			11/22/10	400	61.9063	64.7800	24,762.52	64.7800	25,912.00
Subtotal				1,339	80,672.60				86,740.42
NORTHWEST PIPE CO		NWPX	11/22/10	150	21.3964	24.0300	3,209.46	24.0300	3,604.50
NOVARTIS ADR		NVS	09/01/10	363	53.2158	58.9500	19,317.34	58.9500	21,398.85
			09/30/10	257	57.4835	58.9500	14,773.26	58.9500	15,150.15
			12/08/10	85	54.6175	58.9500	4,642.49	58.9500	5,010.75
Subtotal				705	38,733.09				41,559.75
NOVO NORDISK A S ADR		NVO	02/01/08	43	62.3539	112.5700	2,681.22	112.5700	4,840.51
			02/04/08	46	61.9952	112.5700	2,851.78	112.5700	5,178.22
			02/15/08	26	65.3746	112.5700	1,699.74	112.5700	2,926.82
			02/19/08	65	65.8356	112.5700	4,279.32	112.5700	7,317.05
			07/21/08	103	62.4968	112.5700	6,437.18	112.5700	11,594.71
			09/03/08	69	55.0013	112.5700	3,795.09	112.5700	7,767.33
Subtotal				352	21,744.33				39,624.64
NTHWEST NATURAL GAS CO		NWN	05/06/09	131	42.1891	46.4700	5,526.78	46.4700	6,087.57

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
				Cost Basis	Market Value			
OAO GAZPROM SPON ADR	OGZPY	11/11/09	305	25.7060		7,840.33	25.4400	7,759.20
		03/15/10	429	23.3021		9,996.64	25.4400	10,913.76
		11/22/10	75	21.8000		1,635.00	25.4400	1,908.00
Subtotal			809			19,471.97		20,580.96
OPTIONSPRESS HOLDINGS INC	OXPS	11/22/10	100	16.9500		1,695.00	15.6700	1,567.00
ORACLE CORP \$0.01 DEL	ORCL	08/10/07	1,016	19.8500		20,167.61	31.3000	31,800.80
		08/31/07	361	20.2570		7,312.78	31.3000	11,299.30
		02/12/08	906	19.8099		17,947.77	31.3000	28,357.80
		04/01/08	712	20.3615		14,497.39	31.3000	22,285.60
		05/21/08	116	22.1399		2,568.23	31.3000	3,630.80
		06/29/09	42	21.6683		910.07	31.3000	1,314.60
		10/14/09	65	21.1698		1,376.04	31.3000	2,034.50
		12/02/09	389	22.6894		8,826.18	31.3000	12,175.70
		04/07/10	511	25.9507		13,260.81	31.3000	15,994.30
		04/13/10	1,788	26.0738		46,620.13	31.3000	55,964.40
		09/28/10	681	27.1719		18,504.13	31.3000	21,315.30
		11/22/10	1,450	27.9450		40,520.25	31.3000	45,385.00
		12/15/10	617	30.4095		18,762.72	31.3000	19,312.10
Subtotal			8,654			211,274.11		270,870.20
OWENS & MINOR INC NEW COM	OMI	05/06/09	357	22.8320		8,151.05	29.4300	10,506.51
OYO GEOSPACE CORP	OYOG	11/22/10	125	66.7900		8,348.75	99.1100	12,388.75
PAREXEL INTRNL CORP	PRXL	12/20/10	120	21.2610		2,551.33	21.2300	2,547.60
PARK ELECTROCHEMICAL	PKE	05/06/09	77	19.9229		1,534.07	30.0000	2,310.00
		07/14/10	146	26.3750		3,850.76	30.0000	4,380.00
Subtotal			223			5,384.83		6,690.00
PENN VIRGINIA CORP	PVA	12/02/10	284	17.0715		4,848.31	16.8200	4,776.88

+

001

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
				Cost Basis	Unit		Cost Basis	Market Price	
PEPSICO INC	PEP	10/15/08	197	54.1154		10,660.75	65.3300		12,870.01
		10/16/08	206	52.1893		10,751.00	65.3300		13,457.98
		07/01/09	162	56.4604		9,146.60	65.3300		10,583.46
		10/14/09	21	61.5471		1,292.49	65.3300		1,371.93
		11/22/10	125	64.5158		8,064.48	65.3300		8,166.25
Subtotal			711			39,915.32			46,449.63
PFIZER INC	PFE	04/08/09	616	13.6073		8,382.10	17.5100		10,786.16
		08/05/09	643	15.7828		10,148.40	17.5100		11,258.93
		10/07/09	732	16.7013		12,225.42	17.5100		12,817.32
		10/14/09	185	17.4491		3,228.10	17.5100		3,239.35
		11/11/09	909	17.7460		16,131.20	17.5100		15,916.59
		09/29/10	493	17.4447		8,600.24	17.5100		8,632.43
		11/22/10	1,100	16.5782		18,236.02	17.5100		19,261.00
Subtotal			4,678			76,951.48			81,911.78
PG&E CORP	PGC	10/01/08	22	38.4713		846.37	47.8400		1,052.48
		11/26/08	332	37.3690		12,406.51	47.8400		15,882.88
		07/08/09	228	38.0357		8,672.14	47.8400		10,907.52
		10/14/09	41	41.7995		1,713.78	47.8400		1,961.44
		10/21/09	338	42.9099		14,503.55	47.8400		16,169.92
		09/15/10	301	45.3346		13,645.74	47.8400		14,399.84
		11/22/10	375	47.2958		17,735.93	47.8400		17,940.00
Subtotal			1,637			69,524.02			78,314.08
PHILIP MORRIS INTL INC	PM	11/22/10	3,050	59.2075		180,582.88	58.5300		178,516.50
PINNACLE ENTMT INC COM	PNK	11/22/10	350	13.4153		4,695.36	14.0200		4,907.00
PLANTRONICS INC NEW COM	PLT	11/22/10	125	35.2702		4,408.78	37.2200		4,652.50
		12/17/10	80	37.6527		3,012.22	37.2200		2,977.60
		12/20/10	50	37.1480		1,857.40	37.2200		1,861.00
Subtotal			255			9,278.40			9,491.10
PLATINUM UNDERWRITERS HLDG	PTP	05/06/09	175	28.5917		5,003.56	44.9700		7,869.75

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

54

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
POTASH CORP SASKATCHEWAN	POT	11/04/10	224	140.4387	31,458.29	154.8300	34,681.92
		11/22/10	200	140.2500	28,050.00	154.8300	30,966.00
		12/16/10	125	139.6951	17,461.89	154.8300	19,353.75
Subtotal			549		76,970.18		85,001.67
PPG INDUSTRIES INC SHS	PPG	08/10/07	158	73.8534	11,668.84	84.0700	13,283.06
		09/12/07	244	74.2586	18,119.12	84.0700	20,513.08
		02/12/08	51	65.4701	3,338.98	84.0700	4,287.57
		05/21/08	45	63.2400	2,845.80	84.0700	3,783.15
		10/14/09	52	61.5494	3,200.57	84.0700	4,371.64
		11/22/10	200	77.0459	15,409.18	84.0700	16,814.00
Subtotal			750		54,582.49		63,052.50
PRECISION CASTPARTS	PCP	07/27/10	50	120.6874	6,034.37	139.2100	6,960.50
		07/28/10	170	122.5095	20,826.63	139.2100	23,665.70
		08/19/10	112	119.6059	13,395.87	139.2100	15,591.52
		10/21/10	110	135.9353	14,952.89	139.2100	15,313.10
		11/22/10	75	134.1300	10,059.75	139.2100	10,440.75
Subtotal			517		65,269.51		71,971.57
PRICELINE COM INC	PCLN	07/13/10	133	212.8851	28,313.73	399.5500	53,140.15
		11/22/10	25	414.0460	10,351.15	399.5500	9,988.75
Subtotal			158		38,664.88		63,128.90
PROCTER & GAMBLE CO	PG	06/25/09	3	51.3833	154.15	64.3300	192.99
		06/26/09	28	51.2696	1,435.55	64.3300	1,801.24
		06/29/09	16	52.0200	832.32	64.3300	1,029.28
		07/07/09	461	52.2275	24,076.88	64.3300	29,656.13
		07/08/09	263	52.5639	13,824.33	64.3300	16,918.79
		11/22/10	150	63.2899	9,493.49	64.3300	9,649.50
Subtotal			921		49,816.72		59,247.93



A L&O B O'CONNOR FDN INC

.E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
PROTECTIVE LIFE CORP COM	PL	06/23/10	127	21.7088	2,757.02	26.6400	3,383.28
		09/29/10	166	21.7560	3,611.50	26.6400	4,422.24
<i>Subtotal</i>			293		6,368.52		7,805.52
PRUDENTIAL FINANCIAL INC	PRU	06/03/09	251	40.5161	10,169.55	58.7100	14,736.21
		12/16/09	270	51.6260	13,939.02	58.7100	15,851.70
		01/27/10	287	50.0487	14,363.98	58.7100	16,849.77
		02/24/10	272	51.2938	13,951.94	58.7100	15,969.12
		11/22/10	300	52.7063	15,811.89	58.7100	17,613.00
<i>Subtotal</i>			1,380		68,236.38		81,019.80
PUB SVC ENTERPRISE GRP	PEG	08/10/07	30	41.1860	1,235.58	31.8100	954.30
		05/21/08	26	43.6300	1,134.38	31.8100	827.06
		10/14/09	73	30.6894	2,240.33	31.8100	2,322.13
		10/16/09	510	31.1700	15,896.70	31.8100	16,223.10
		11/22/10	200	30.7051	6,141.02	31.8100	6,362.00
<i>Subtotal</i>			839		26,648.01		26,688.59
PUBLICIS GROUPE SPON ADR	PUBGY	11/22/10	975	23.2500	22,668.75	26.1800	25,525.50
QUALITY SYSTEMS INC	QSII	11/22/10	75	63.2600	4,744.50	69.8200	5,236.50
RADIANT SYSTEMS INC COM	RADS	11/22/10	250	17.5469	4,386.73	19.5700	4,892.50
RANGE RESOURCES CORP DEL	RRC	05/01/08	360	64.3168	23,154.06	44.9800	16,192.80
		09/05/08	131	42.6738	5,590.27	44.9800	5,892.38
		12/18/09	99	50.6176	5,011.15	44.9800	4,453.02
		11/22/10	125	43.0763	5,384.54	44.9800	5,622.50
<i>Subtotal</i>			715		39,140.02		32,160.70
RECKITT BENCKISER GR ADR	RBGPY	11/22/10	3,500	11.4924	40,223.40	11.2200	39,270.00
REDWOOD TRUST INC	RWT	11/22/10	200	14.0293	2,805.86	14.9300	2,986.00
<i>REIT</i>							
REGAL BELOIT CORP W/S	RBC	11/22/10	125	57.1320	7,141.50	66.7600	8,345.00
REGENERON PHARMACTCLS	REGN	11/22/10	100	28.8938	2,889.38	32.8300	3,283.00



001

4140 0218397 0013643 \$7280762103651

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
				Cost Basis	Market Price			
REGIS CORP MINN	RGS	07/01/09	177	18.2355	16.6000	3,227.70	16.6000	2,938.20
		03/12/10	267	16.8153	16.6000	4,489.71	16.6000	4,432.20
Subtotal			444			7,717.41		7,370.40
RIVERBED TECHNOLOGY INC COM	RVBD	11/22/10	325	32.8580	35.1700	10,678.88	35.1700	11,430.25
ROCKWELL COLLINS INC	COL	03/09/10	546	60.5946		33,084.70	58.2600	31,809.96
		04/29/10	351	66.1396		23,215.00	58.2600	20,449.26
		11/22/10	200	54.8251		10,965.02	58.2600	11,652.00
Subtotal			1,097			67,264.72		63,911.22
ROVI CORP	ROVI	11/22/10	300	54.4216		16,326.48	62.0100	18,603.00
RPC INC	RES	N/A	123	N/A		N/A	18.1200	2,228.76
SABMILLER PLC SPON ADR	SBMRY	11/22/10	625	33.7050		21,065.63	35.6600	22,287.50
SALESFORCE COM INC	CRM	05/21/10	355	81.9552		29,094.10	132.0000	46,860.00
		11/09/10	79	114.2544		9,026.10	132.0000	10,428.00
		11/22/10	75	137.9865		10,348.99	132.0000	9,900.00
Subtotal			509			48,469.19		67,188.00
SALIX PHRMCTCLS LTD COM	SLXP	11/22/10	75	43.1900		3,239.25	46.9600	3,522.00
SANDISK CORP INC	SNDK	12/06/10	341	47.6963		16,264.47	49.8600	17,002.26
		12/07/10	464	48.3743		22,445.72	49.8600	23,135.04
Subtotal			805			38,710.19		40,137.30
SAP AG SHS	SAP	04/03/09	425	36.7803		15,631.65	50.6100	21,509.25
		08/03/09	151	47.5341		7,177.66	50.6100	7,642.11
		11/22/10	300	49.2065		14,761.95	50.6100	15,183.00
		12/09/10	110	48.9828		5,388.11	50.6100	5,567.10
Subtotal			986			42,959.37		49,901.46
SAPIENT CORP	SAPE	12/14/10	202	12.4569		2,516.31	12.1000	2,444.20
		12/27/10	211	12.3109		2,597.62	12.1000	2,553.10
Subtotal			413			5,113.93		4,997.30

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
SCHLUMBERGER LTD	SLB 01/11/10		292	70.4170	20,561.79	83.5000	24,382.00
	01/28/10		120	65.1280	7,815.36	83.5000	10,020.00
	11/22/10		800	75.7697	60,615.76	83.5000	66,800.00
Subtotal			1,212		88,992.91		101,202.00
SCHNITZER STEEL INDS A	SCHN 01/25/08		50	54.6492	2,732.46	66.3900	3,319.50
	12/30/09		16	48.3200	773.12	66.3900	1,062.24
	12/31/09		59	48.8125	2,879.94	66.3900	3,917.01
Subtotal			125		6,385.52		8,298.75
SEATTLE GENETICS INC COM	SGEN 11/22/10		250	14.9444	3,736.10	14.9500	3,737.50
SHUFFLE MASTER INC	SHFL 11/22/10		550	10.8579	5,971.85	11.4500	6,297.50
SILVERCORP METALS INC	SVM 10/20/10		516	9.0127	4,650.60	12.8300	6,620.28
SIRONA DENTAL SYSTEMS INC	SIRO 11/22/10		125	36.4347	4,554.34	41.7800	5,222.50
	12/01/10		58	39.5579	2,294.36	41.7800	2,423.24
Subtotal			183		6,848.70		7,645.74
SM ENERGY CO SHS	SM 09/28/09		64	31.8060	2,035.59	58.9300	3,771.52
	03/01/10		106	32.9435	3,492.02	58.9300	6,246.58
Subtotal			170		5,527.61		10,018.10
SMITH A O CORP DEL COM	AOS 07/01/10		16	48.1056	769.69	38.0800	609.28
	09/13/10		89	55.5337	4,942.50	38.0800	3,389.12
	N/A		129	N/A	N/A	38.0800	4,912.32
Subtotal			234		5,712.19		8,910.72
SMITH-NPHW PLC SPADR NEW	SNN 11/22/10		425	46.6400	19,822.00	52.5500	22,333.75
SOTHEBY'S (DELAWARE)	BID 11/22/10		225	42.0500	9,461.25	45.0000	10,125.00
SOUTH JERSEY IND	SJI 05/06/09		214	34.5132	7,385.83	52.8200	11,303.48
SOUTHERN COPPER CORP	SCCO 11/22/10		375	43.9040	16,464.00	48.7400	18,277.50
SOUTHWESTERN ENERGY CO	SWN 12/21/09		614	48.5362	29,801.28	37.4300	22,982.02
	11/22/10		125	37.7251	4,715.64	37.4300	4,678.75
Subtotal			739		34,516.92		27,660.77

+

001

4140 0218309 8013643 ST280702103651

A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
TELEFONICA SA SPAIN ADR	TEF	10/15/08	4	63.5825	254.33	68.4200	273.68
		01/30/09	130	54.0660	7,028.58	68.4200	8,894.60
		05/01/09	108	56.8216	6,136.74	68.4200	7,389.36
		11/22/10	250	72.3680	18,092.00	68.4200	17,105.00
Subtotal			492	31,511.65			33,662.64
↑ TEMPLE INLAND INC COM	TIN	05/07/09	449	12.5168	5,620.05	21.2400	9,536.76
TENCENT HOLDINGS LTD ADR	TCEHY	11/22/10	775	22.6800	17,577.00	21.9300	16,995.75
TENNECO INC DEL	TEN	11/22/10	175	36.5657	6,399.00	41.1600	7,203.00
TERADYNE INC	TER	11/22/10	325	12.4300	4,039.75	14.0400	4,563.00
TESCO PLC SPNRD ADR	TSCDY	11/22/10	1,475	20.3900	30,075.25	20.1800	29,765.50
		12/02/10	512	19.8294	10,152.70	20.1800	10,332.16
Subtotal			1,987	40,227.95			40,097.66
TEVA PHARMACTCL INDS ADR	TEVA	10/30/07	200	43.9897	8,797.95	52.1300	10,426.00
		01/10/08	242	47.2859	11,443.19	52.1300	12,615.46
		09/03/08	105	47.5484	4,992.59	52.1300	5,473.65
		01/28/10	106	56.8647	6,027.66	52.1300	5,525.78
		11/22/10	225	50.1376	11,280.98	52.1300	11,729.25
Subtotal			878	42,542.37			45,770.14
TEXAS INSTRUMENTS	TXN	10/04/10	1,065	27.3855	29,165.56	32.5000	34,612.50
		11/22/10	225	32.0167	7,203.76	32.5000	7,312.50
Subtotal			1,290	36,369.32			41,925.00
THORATEC CORP COM NEW	THOR	11/22/10	300	25.8900	7,767.00	28.3200	8,496.00
TIBCO SOFTWARE INC	TIBX	11/22/10	559	19.4991	10,900.00	19.7100	11,017.89
TIDEWATER INC COM NEW	TDW	09/24/09	68	45.4766	3,092.41	53.8400	3,661.12
		09/24/10	80	43.6387	3,491.10	53.8400	4,307.20
Subtotal			148	6,583.51			7,968.32
TITANIUM METALS CORP NEW	TIE	11/22/10	325	17.4766	5,679.90	17.1800	5,583.50
TORO CO	TTC	05/06/09	131	31.3329	4,104.61	61.6400	8,074.84
TOYOTA MOTOR CORPADR	TM	11/22/10	425	78.0680	33,178.90	78.6300	33,417.75

A L&O B O'CONNOR FDN INC

.E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 31, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
Description					Cost Basis			Market Price		
TRAVELERS COS INC		TRV	04/02/09	485	41.3449		20,052.28	55.7100		27,019.35
			10/14/09	43	48.5595		2,088.06	55.7100		2,395.53
			11/04/09	281	51.3039		14,416.42	55.7100		15,654.51
			02/10/10	266	49.6036		13,194.56	55.7100		14,818.86
			11/22/10	275	54.9016		15,097.94	55.7100		15,320.25
Subtotal				1,350			64,849.26			75,208.50
TRIUMPH GROUP INC NEW		TGI	08/27/09	11	43.9318		483.25	89.4100		983.51
			09/28/09	18	49.1655		884.98	89.4100		1,609.38
			09/29/09	48	49.3408		2,368.36	89.4100		4,291.68
Subtotal				77			3,736.59			6,884.57
TRUSTMARK CORP COM		TRMK	11/22/10	225	22.0000		4,950.00	24.8400		5,589.00
TUPPERWARE BRANDS CORP		TUP	05/07/09	255	25.3771		6,471.17	47.6700		12,155.85
TURKIYE GRTI BK SPN ADR		TKGBY	05/14/10	1,666	4.6867		7,808.05	5.1500		8,579.90
			05/17/10	309	4.5795		1,415.07	5.1500		1,591.35
			11/03/10	3,054	6.1651		18,828.22	5.1500		15,728.10
			12/02/10	715	5.8931		4,213.57	5.1500		3,682.25
Subtotal				5,744			32,264.91			29,581.60
UIL HLDG CORP CONN COM		UIL	05/07/09	114	23.8837		2,722.75	29.9600		3,415.44
			09/24/10	139	27.5646		3,831.49	29.9600		4,164.44
Subtotal				253			6,554.24			7,579.88
UMB FINANCIAL CORP		UMBF	11/22/10	75	36.9500		2,771.25	41.4400		3,108.00
UNITED TECHS CORP COM		UTX	08/10/07	740	73.2684		56,560.40	78.7200		58,252.80
			05/21/08	19	72.0500		1,368.95	78.7200		1,495.68
			05/21/09	159	50.3523		8,006.02	78.7200		12,516.48
			06/26/09	28	51.4446		1,440.45	78.7200		2,204.16
			06/29/09	17	52.3694		890.28	78.7200		1,338.24
			07/20/09	115	54.3835		6,254.11	78.7200		9,052.80

+

001

4140

0210312 0013643 STZ0702103651

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment GroupYOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2010- December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price		Estimated Market Value
Description					Cost Basis			Market Price		
UNITED TECHS CORP COM		UTX	09/10/09	84	61.8478		5,195.22	78.7200		6,612.48
			10/14/09	33	63.7778		2,104.67	78.7200		2,597.76
			11/22/10	275	74.7358		20,552.35	78.7200		21,848.00
			12/15/10	308	79.1994		24,393.42	78.7200		24,245.76
Subtotal				1,778			126,765.87			139,984.16
UNITEDHEALTH GROUP INC		UNH	12/16/09	421	32.0354		13,486.94	36.1100		15,202.31
			01/21/10	401	34.1635		13,699.60	36.1100		14,480.11
			06/16/10	430	31.5202		13,553.69	36.1100		15,527.30
			10/26/10	376	37.3471		14,042.51	36.1100		13,577.36
			11/22/10	275	36.2053		9,956.46	36.1100		9,930.25
Subtotal				1,903			64,739.20			68,717.33
UNIVERSAL ELECTRONICS INC		UEIC	11/22/10	300	27.4200		8,226.00	28.3700		8,511.00
V F CORPORATION		VFC	04/22/10	87	86.8068		7,552.20	86.1800		7,497.66
			04/23/10	97	86.4750		8,388.08	86.1800		8,359.46
			07/15/10	140	75.7255		10,601.58	86.1800		12,065.20
			07/16/10	40	75.0632		3,002.53	86.1800		3,447.20
			10/28/10	96	83.2044		7,987.63	86.1800		8,273.28
			11/22/10	100	83.1300		8,313.00	86.1800		8,618.00
Subtotal				560			45,845.02			48,260.80
VARIAN SEMICNDCR EQ ASC		VSEA	11/22/10	275	32.5144		8,941.46	36.9700		10,166.75
VEECO INSTRUMENTS INC		VECO	11/22/10	125	43.3560		5,419.50	42.9600		5,370.00
VESTAS WIND SYTS AS ADR		VWDRY	11/22/10	1,525	10.2800		15,677.00	10.5300		16,058.25
VISA INC CL A SHRS		V	04/13/09	446	60.6960		27,070.42	70.3800		31,389.48
			06/26/09	14	63.3100		886.34	70.3800		985.32
			06/29/09	9	63.1366		568.23	70.3800		633.42
			05/19/10	50	73.1726		3,658.63	70.3800		3,519.00
			11/22/10	100	75.6068		7,560.68	70.3800		7,038.00
Subtotal				619			39,744.30			43,565.22
VITAL IMAGES INC IOWA		VTAL	11/22/10	100	13.8432		1,384.32	13.9800		1,398.00

+

001

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value
Description					Cost Basis				
VITAMIN SHOPPE INC		VSI	11/22/10	275	30.4620		8,377.05	33.6400	9,251.00
VODAFONE GROU PLC SP ADR		VOD	05/23/08	107	32.4084		3,467.70	26.4400	2,829.08
			11/05/08	660	18.9076		12,479.08	26.4400	17,450.40
			07/31/09	490	20.6621		10,124.43	26.4400	12,955.60
			10/14/09	155	21.8500		3,386.75	26.4400	4,098.20
			11/11/09	310	22.3586		6,931.17	26.4400	8,196.40
Subtotal				1,722			36,389.13		45,529.68
VOLKSWAGEN A G ADR		VLKPY	11/22/10	625	34.4000		21,500.00	32.9600	20,600.00
WABCO HOLDINGS INC		WBC	11/22/10	125	49.7204		6,215.05	60.9300	7,616.25
WAL-MART DE MEX SPNADR		WMMVY	08/10/09	536	18.2584		9,786.53	28.5800	15,318.88
SA DE CV			10/01/09	566	17.0783		9,666.37	28.5800	16,176.28
Subtotal				1,102			19,452.90		31,495.16
WALGREEN CO		WAG	10/13/10	406	34.9842		14,203.59	38.9600	15,817.76
			10/20/10	414	34.2214		14,167.66	38.9600	16,129.44
			11/22/10	250	34.7551		8,688.78	38.9600	9,740.00
Subtotal				1,070			37,060.03		41,687.20
WASTE CONNECTIONS INC		WCN	08/09/07	13	21.5669		280.37	27.5300	357.89
			08/09/07	78	21.5666		1,682.20	27.5300	2,147.34
			06/08/09	43	17.6672		759.69	27.5300	1,183.79
			06/08/09	21	17.6671		371.01	27.5300	578.13
			09/03/09	56	17.9055		1,002.71	27.5300	1,541.68
			09/03/09	28	17.9053		501.35	27.5300	770.84
			11/17/09	32	21.8434		698.99	27.5300	880.96
			11/17/09	16	21.8431		349.49	27.5300	440.48
Subtotal				287			5,645.81		7,901.11



A L&O B O'CONNOR FDN INC

E38

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
WELLS FARGO & CO NEW DEL	WFC	04/15/09	63	18.3766	1,157.73	30.9900	1,952.37
		05/08/09	651	26.2290	17,075.08	30.9900	20,174.49
		05/13/09	512	25.0164	12,808.40	30.9900	15,866.88
		10/14/09	38	31.3000	1,189.40	30.9900	1,177.62
		12/16/09	582	25.8632	15,052.44	30.9900	18,036.18
		03/03/10	265	28.3947	7,524.60	30.9900	8,212.35
		07/14/10	195	27.6440	5,390.58	30.9900	6,043.05
		11/22/10	700	26.8242	18,776.94	30.9900	21,693.00
Subtotal			3,006		78,975.17		93,155.94
WESTAMERICA BANCORP	WABC	05/06/09	106	54.0578	5,730.13	55.4700	5,879.82
		08/19/10	65	50.9470	3,311.56	55.4700	3,605.55
Subtotal			171		9,041.69		9,485.37
WINTRUST FINL CP ILL COM	WTRC	05/07/09	216	20.0161	4,323.48	33.0300	7,134.48
WORTHINGTON INDUSTRS OHIO	WOR	05/06/09	152	15.5162	2,358.47	18.4000	2,796.80
		08/27/09	268	13.6992	3,671.39	18.4000	4,931.20
Subtotal			420		6,029.86		7,728.00
3M COMPANY	MMM	12/10/08	51	57.3562	2,925.17	86.3000	4,401.30
		12/17/08	198	58.6216	11,607.08	86.3000	17,087.40
		07/01/09	85	60.8358	5,171.05	86.3000	7,335.50
		10/14/09	14	76.5585	1,071.82	86.3000	1,208.20
		12/14/09	41	81.9312	3,359.18	86.3000	3,538.30
		12/14/09	373	81.8690	30,537.14	86.3000	32,189.90
		06/09/10	100	75.8613	7,586.13	86.3000	8,630.00
		06/10/10	284	77.3583	21,969.76	86.3000	24,509.20
		11/22/10	250	84.5216	21,130.40	86.3000	21,575.00
Subtotal			1,396		105,357.73		120,474.80
TOTAL					8,821,060.12		10,088,246.86

A L&O B O'CONNOR FDN INC

E38

Private Banking and
Investment Group

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value
FIXED INCOME SHARES SERIES C F CL INSTL SYMBOL: FXICX Initial Purchase:11/29/10 Fixed Income 100%	82,210	1,122,166.50	12.6800	1,042,422.80
FIXED INCOME SHARES SERIES M F CL INSTL SYMBOL: FXIMX Initial Purchase:11/29/10 Fixed Income 100%	105,252	1,121,986.32	10.2900	1,083,043.08
TOTAL		2,244,152.82		2,125,465.88

The A Lindsay & Olive B.O'Connor Foundation Inc
FORM 990PF SUPPORTING SCHEDULE
PART II, LINE 14
2010
16-6063485

<u>ASSET</u>	<u>COST BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>NET OF DEPREC.</u>
COPIER - 2000	5,063	5,063	-
COMPUTER - 2004	3,119	3,119	-
NOTEBOOK COMPUTER-2006	2,315	2,182	133
COMPUTER - 2007	2,662	2,332	330
COMPUTER - 2007	4,162	3,308	854
BACK UP BATTERY	797	465	332
DELL COMPUTER-2008	8,010	3,605	4,405
BTO-MACBK COMPUTER- 2009	2,327	853	1,474
DELL COMPUTER -2009	1,600	560	1,040
DELL COMPUTER-2009	2,357	825	1,532
MACBOOK COMPUTER-2009	4,538	1,286	3,252
APPLE-COMPUTER-2009	3,279	656	2,624
DELL SMALL BUSINESS COMPUTER - 2010	3,715	743	2,972
APPLE COMPUTER - 2010	3,541	472	3,069
HP COMPUTER - 2010	4,842	81	4,761
PRINTER - 2003	803	803	-
PRINTER - 2005	2,767	2,767	-
PRINTER - 2005	299	299	-
PRINTER - 2006	232	218	13
PRINTER-2008	649	335	314
PRINTER-2009	858	315	543
APPLE PRINTER - 2010	238	24	214
FILE CABINET	1,125	1,125	-
FAX MACHINE	285	24	262
SCANNER - 2001	2,925	2,925	-
SCANNER - 2009	3,227	753	2,474
SOFTWARE - 2008	1,135	820	315
SOFTWARE - 2009	3,699	1,336	2,363
SOFTWARE - BACKUP ASSIST - 2010	356	49	306
SOFTWARE - WINDOWS 7 - 2010	776	259	517
	<u>71,701</u>	<u>37,602</u>	<u>34,099</u>

THE A LINDSAY & OLIVE B. O'CONNOR FOUNDATION INC
FORM 990PF SUPPORTING SCHEDULE
PART IV, LINE 1a and b- GAIN/LOSS
2010
16-6063485

Page 1 of 1

	PROCEEDS OF SALE	COST	GAIN OR LOSS
SHORT TERM			
Chemung Canal Trust			
Hospital Account	276,821	274,718	2,103
Custody Account	4,545,497	4,541,102	4,395
Merrill Lynch			
Account E31	106,157	104,117	2,040
Account E32	128,525	88,808	39,717
Account E33	277,337	287,514	(10,177)
Account E34	322,187	289,666	32,521
Account E35	1,702,713	1,632,387	70,326
Account E36	361,047	338,413	22,634
Account E37	182,821	210,083	(27,262)
Account E38	1,802,396	1,671,685	130,711
Account E38	739,853	739,853	-
Total Merrill Lynch	5,623,036	5,362,526	260,510
Total Short Term Gain (Loss)	<u>10,445,354</u>	<u>10,178,346</u>	<u>267,008</u>
LONG TERM			
Chemung Canal Trust			
Hospital Account	936,957	929,000	7,957
Custody Account	14,099,037	13,325,690	773,347
Merrill Lynch			
Account E31	205,945	192,175	13,770
Account E32	156,867	125,077	31,790
Account E33	488,578	447,379	41,199
Account E34	330,354	370,849	(40,494)
Account E35	1,023,985	940,058	83,927
Account E36	638,314	728,665	(90,351)
Account E38	5,467,095	4,966,448	500,647
Account E38	607,098	576,905	30,193
Account C78	669,123	722,951	(53,829)
Total Merrill Lynch	9,587,359	9,070,507	516,852
Total Long Term Gain (Loss)	<u>24,623,353</u>	<u>23,325,197</u>	<u>1,298,156</u>
CAPITAL GAIN/LOSS FROM INVESTMENTS	<u>35,068,707</u>	<u>33,503,543</u>	<u>1,565,164</u>

1/6

FORM 990PF SUPPORTING SCHEDULE
PART XV. LINE 2
O'CONNOR FOUNDATION # 16-6063485
GRANT APPLICATION INSTRUCTIONS

2010

1. Please condense the information about your proposal so that it fits within the space allowed on the application form.

You may submit additional information as an addendum to the proposal, but it is essential that all pertinent information be included on the application form within the space provided.

2. Before the Board of Directors can consider any application, proof of Federal Charitable Tax Exempt Status [section 501 (c)(3) Internal Revenue Code, or other appropriate section], or proof that the applicant is a bona fide unit of Government must be on file in the Foundation's office.
3. It is the policy of the O'Connor Foundation to generally restrict our geographic area of program to proposals that have direct impact on the quality of life in Delaware and the 7 contiguously surrounding counties in rural upstate New York (Broome, Chenango, Greene, Otsego, Schoharie, Sullivan and Ulster).
4. Proposals in amounts not exceeding \$5,000 will be considered on a monthly basis (deadline is the first of each month) upon the following conditions:
 - (a) The organization must be located in Delaware County, or directly benefit Delaware County
 - (b) A recipient of a grant cannot reapply for a period of three years for the same project
 - (c) There shall be a required \$1.00 for \$1.00 match on all such grants - if the proposal is approved, match shall be "new money" raised after the date of the approval letter
5. It is preferred, but not required, to have sketches or drawings submitted on legal size or smaller paper.
6. As a general rule, this Foundation will not make grants for meeting annual operating expenditures, or retiring existing debts and prefers to make grants of a non-recurring nature.
7. In almost all cases, a site visit will be arranged after the full application has been filed.
8. Applications should be directed to:

Donald F. Bishop, II
Executive Director
A. Lindsay & Olive B. O'Connor Foundation
Box D, Hobart, NY 13788

IMPORTANT: — The O'Connor Foundation scans all proposals.
 — Please use only one side of the page.
 — Do not staple or bind grant proposals.

Should you have any questions, do not hesitate to call (607) 538-9248
Monday-Friday, 9 a.m. - 3 p.m.

O'CONNOR FOUNDATION
APPLICATION FORM

Page 2

Phone (607) 538-9248 Fax (607) 538-1650
Email office@theoconnorfoundation.org Web www.theoconnorfoundation.org

YOUR ORGANIZATION:

DATE _____

NAME:

ADDRESS:

PROOF OF TAX EXEMPTION - attach an Internal Revenue Service Exemption Letter (Form 1045); or if your organization is part of a municipality, attach a copy of the Minutes forming the organization

FOUNDERS:

CURRENT OFFICERS:

MEMBERSHIP: (actual number) Active _____ Inactive _____ Total _____

CORPORATE PURPOSE:

PRINCIPAL ACTIVITY:

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 3

ORGANIZATION'S ANNUAL BUDGET - Summarize the last two year's balance sheets and income statements to show sources of income, expenses, reserves, and savings. NOTE - THESE ARE TO BE SUMMARIZED ON THIS PAGE ONLY.

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 4

THIS PROPOSAL

CONTACT PERSON:

ADDRESS:

PHONE:

SUMMARY OF THIS PROPOSAL AND THE NEED OR PROBLEM IT ADDRESSES:

PROJECT COST - EXPLAIN WITH DETAILED BUDGET - SHOW ANY LOCAL, STATE
OR FEDERAL SUPPORT

AMOUNT OF O'CONNOR FOUNDATION GRANT PROPOSED \$ _____

TIME SCHEDULE TO CARRY OUT PROJECT - INCLUDING FUNDING SCHEDULE

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 5

GOALS AND OBJECTIVES OF THIS PROPOSAL:

SPECIFIC DETAILED EXPLANATION OF METHODS TO ACHIEVE PROPOSED OBJECTIVES:

WHAT WILL BE THE LONG-TERM BENEFIT OF THIS PROPOSAL?

EVALUATION - HOW WILL SUCCESS OF THE PROJECT BE MEASURED –
(over what time period and by what means)?

HOW WILL PROJECT BE FINANCED IN THE FUTURE?

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 6

HAS THIS PROPOSAL BEEN OR IS IT BEING CONSIDERED BY OTHER FUNDING SOURCES?

DOES THIS PROJECT DUPLICATE ANY EXISTING SERVICE OR AGENCY?

WHY IS YOUR ORGANIZATION QUALIFIED TO UNDERTAKE THIS WORK?

LIST QUALIFIED INDIVIDUALS WHO ENDORSE THE PROJECT: (names & addresses)

THE A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.

EIN 16-6063485

FORM 990 PF SCHEDULE

PART XV, LINE 3(a)

2010

GRANTS PAID OUT IN 2010

Payment Date	Organization	Location	Purpose	Status	Payment Amount
January 2010					
1/11/2010	Walton Citizen's Task Force	Walton	Soccer Trip	501(C)(3) Not fo	\$3,982.00
1/12/2010	Albany Law School	Albany	Scholarships	School	\$12,500.00
1/12/2010	Andes Public Library	Andes	Storytelling Troupe	Municipality	\$473.00
1/12/2010	Binghamton Boys and Girls Club Foundation	Binghamton	Century Capital Campaign	501(C)(3) Not fo	\$50,000.00
1/12/2010	Broome Community College Foundation, Inc.	Binghamton	Scholarships	School	\$10,000.00
1/12/2010	Catskill Ag Inc.	Delhi	Speaker Fees	501(C)(3) Not fo	\$2,925.00
1/12/2010	Catskill Development Foundation, Inc.	Delhi	Website	501(C)(3) Not fo	\$750.00
1/12/2010	Catskill Forest Association	Arkville	2010 Operating Expenses	501(C)(3) Not fo	\$50,000.00
1/12/2010	Cazenovia College	Cazenovia	Scholarships	School	\$10,000.00
1/12/2010	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$16,000.00
1/12/2010	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$10,000.00
1/12/2010	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$10,000.00
1/12/2010	Cornell University	Ithaca	Scholarships	School	\$13,500.00
1/12/2010	Delaware County Historical Assoc.	Delhi	Barn Repairs	501(C)(3) Not fo	\$2,250.00
1/12/2010	Delaware Opportunities, Inc.	Hamden	Big Buddy Program	Municipality	\$1,667.00
1/12/2010	Downsville Central School	Downsville	Read Across America	School	\$1,000.00
1/12/2010	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
1/12/2010	Foundation of the SUNY at Binghamton	Binghamton	Scholarships	School	\$12,500.00
1/12/2010	Hartwick College	Oneonta	Scholarships	School	\$12,500.00
1/12/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
1/12/2010	M-ARK Project, Inc.	Margaretville	2009 Holiday Event	501(C)(3) Not fo	\$1,027.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
1/12/2010	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$12,500.00
1/12/2010	Sage Colleges	Troy	Scholarships	School	\$12,500.00
1/12/2010	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$5,000.00
1/12/2010	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$16,000.00
1/12/2010	Syracuse University	Syracuse	Scholarships	School	\$12,500.00
1/12/2010	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$870.00
1/12/2010	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$880.00
1/14/2010	Colgate University	Hamilton	Scholarships	School	\$10,000.00
1/15/2010	Walton Citizen's Task Force	Walton	Soccer Trip	501(C)(3) Not fo	\$1,018.00
1/20/2010	Hanford Mills Museum	East Meredith	2009 Operating Expenses	501(C)(3) Not fo	\$17,000.00
1/20/2010	National Kidney Foundation of Northeast	East Greenbush	KEEP Program	501(C)(3) Not fo	\$5,000.00
1/20/2010	Stamford Central School Dollars for Scholars	Stamford	Scholarships	501(C)(3) Not fo	\$4,000.00
1/20/2010	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$3,635.00
1/26/2010	Oneonta Dollars for Scholars	Oneonta	Scholarships	501(C)(3) Not fo	\$500.00
1/26/2010	The Ensemble Studio Theatre	New York	Lexington House Repairs	501(C)(3) Not fo	\$5,000.00
January 2010 Total					\$343,477.00
					12.55%
February 2010					
2/11/2010	Catskill Mountain Foundation	Hunter	Quilting Exhibit	501(C)(3) Not fo	\$2,500.00
2/11/2010	Catskill Revitalization Corporation	Stamford	I Love New York	501(C)(3) Not fo	\$50,000.00
2/11/2010	Catskill Revitalization Corporation	Stamford	2010 Operating Expenses	501(C)(3) Not fo	\$75,000.00
2/11/2010	Cornell Cooperative Extension Assoc. of Delaw	Hamden	Dog Agility Program	501(C)(3) Not fo	\$1,270.00
2/11/2010	Delaware-Schoharie Learning Time Day Care C	Hobart	2009 Operating Expenses	501(C)(3) Not fo	\$5,000.00
2/11/2010	Delhi Art Group, Inc.	Delhi	Workshop Salaries	501(C)(3) Not fo	\$992.00
2/11/2010	Fokine Ballet Company	Oneonta	Nutcracker Expenses	501(C)(3) Not fo	\$5,000.00
2/11/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
2/11/2010	Margaretville Health Foundation	Margaretville	Ambulance	501(C)(3) Not fo	\$5,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
2/11/2010	M-ARK Project, Inc.	Margaretville	Weatherization	501(C)(3) Not fo	\$5,000.00
2/19/2010	Hudson River Maritime Museum	Kingston	Audio-Visual Equipment dedes toward co	501(C)(3) Not fo	\$908.00
2/19/2010	Hudson River Maritime Museum	Kingston	Projector Redes toward computer, exhibit	501(C)(3) Not fo	\$1,100.00
2/19/2010	M-ARK Project, Inc.	Margaretville	2009 Winter Events	501(C)(3) Not fo	\$1,000.00
2/19/2010	Roxbury Arts Group, Inc.	Roxbury	Resource Development	501(C)(3) Not fo	\$7,500.00
2/19/2010	Roxbury Arts Group, Inc.	Roxbury	Roof	501(C)(3) Not fo	\$10,500.00
2/23/2010	Andes Society for History & Culture	Andes	Endowment Deadline 12/11	501(C)(3) Not fo	\$1,519.00
2/17/2010	O'Connor Hospital	Delhi	Upgrade Central Sterile Room February 2010 Total	501(C)(3) Not fo	<u>\$78,500.00</u> \$252,289.00
March 2010					
3/15/2010	Andes Central School	Andes	Artist Residency Program	School	\$1,000.00
3/15/2010	College Foundation at Delhi, Inc.	Delhi	Software System for Accounting and Fund-	501(C)(3) Not fo	\$14,011.00
3/15/2010	Delaware Opportunities, Inc.	Hamden	School Supplies	Municipality	\$1,000.00
3/15/2010	Foothills Performing Arts Center, Inc.	Oneonta	Luster Kings Performance	501(C)(3) Not fo	\$5,000.00
3/15/2010	Foothills Performing Arts Center, Inc.	Oneonta	New York City Trip	501(C)(3) Not fo	\$2,280.00
3/15/2010	Foundation for the Open Eye	Margaretville	School Programming	501(C)(3) Not fo	\$900.00
3/15/2010	Franklin Improvement Society	Franklin	Park Development Deadline 12/11	501(C)(3) Not fo	\$1,700.00
3/15/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$15,000.00
3/15/2010	Hanford Mills Museum	East Meredith	2010 I Love New York	501(C)(3) Not fo	\$50,000.00
3/15/2010	Hanford Mills Museum	East Meredith	2009 Operating Expenses	501(C)(3) Not fo	\$3,000.00
3/15/2010	Hanford Mills Museum	East Meredith	Endowment - deadline 12/11	501(C)(3) Not fo	\$1,471.00
3/15/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
3/15/2010	South Kortright Central School	South Kortright	Renovations	School	\$10,500.00
3/15/2010	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$700.00
3/17/2010	Delaware Academy and Central School	Delhi	Spanish Club Trip	School	\$2,480.00
3/17/2010	Greater Delhi Area Foundation	Delhi	Endowment deadline 12/11	501(C)(3)	\$3,540.00
3/17/2010	Margaretville Health Foundation	Margaretville	Wellness Programs	501(C)(3) Not fo	\$1,667.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
3/18/2010	Cornell Cooperative Extension Assoc. of Delaw	Hamden	Shooting Club Equipment	501(C)(3) Not fo	\$1,625.00
3/18/2010	M-ARK Project, Inc.	Margaretville	Re-Store Program	501(C)(3) Not fo	\$5,000.00
3/18/2010	Mayor's Alliance for NY City's Animals	New York	Program Expenses	501(C)(3) Not fo	\$1,275.00
3/18/2010	Zadock Pratt Museum, Inc.	Prattsville	2009 Operating Expenses	501(C)(3) Not fo	\$5,900.00
3/23/2010	Roxbury Arts Group, Inc.	Roxbury	Radio Station	501(C)(3) Not fo	\$4,000.00
3/30/2010	Andes Central School	Andes	Field Trip	School	\$5,000.00
3/30/2010	Catskill Forest Association	Arkville	2010 Operating Expenses	501(C)(3) Not fo	\$50,000.00
3/30/2010	Headwaters Soccer Club	North Blenheim	Transportation	501(C)(3) Not fo	\$8,000.00
March 2010 Total					\$196,549.00
7.18%					
April 2010					
4/6/2010	Margaretville Central School	Margaretville	Books Program	School	\$4,000.00
4/12/2010	Andes Central School	Andes	Math Class Calculators	School	\$900.00
4/12/2010	Friends of Bassett	Delhi	Radiology Upgrades	501(C)(3) Not fo	\$214,500.00
4/12/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
4/12/2010	M-ARK Project, Inc.	Margaretville	Documentary	501(C)(3) Not fo	\$1,000.00
4/12/2010	M-ARK Project, Inc.	Margaretville	2009 Winter Events	501(C)(3) Not fo	\$700.00
4/12/2010	The Foundation Center	New York	General Support	501(C)(3) Not fo	\$1,000.00
4/12/2010	Village of Franklin	Franklin	Monument	Municipality	\$3,000.00
4/22/2010	Hanford Mills Museum	East Meredith	2002 Operating Expenses, Capital & Spec	501(C)(3) Not fo	\$3,150.00
4/22/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$18,000.00
4/22/2010	M-ARK Project, Inc.	Margaretville	Signs	501(C)(3) Not fo	\$1,438.00
4/22/2010	Mayor's Alliance for NY City's Animals	New York	Program Expenses	501(C)(3) Not fo	\$1,225.00
4/22/2010	Roxbury Arts Group, Inc.	Roxbury	76 Main! Expenses	501(C)(3) Not fo	\$9,736.00
4/22/2010	Roxbury Arts Group, Inc.	Roxbury	Gutters	501(C)(3) Not fo	\$3,150.00
4/22/2010	SUNY Research Foundation	Delhi	O'Connor Center Operating Expenses	School	\$26,120.00
4/23/2010	Andes Central School	Andes	Ski Club	School	\$1,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
4/23/2010	Hanford Mills Museum	East Meredith	2009 Projects	501(C)(3) Not fo	\$1,850.00
4/23/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$18,000.00
4/23/2010	Little Victory Players	East Branch	"Rules" Play	501(C)(3) Not fo	\$4,650.00
April 2010 Total					\$314,919.00
11.50 %					
May 2010					
5/7/2010	Roxbury Central School	Roxbury	Piano	School	\$1,285.00
5/13/2010	Delaware-Schoharie Learning Time Day Care C	Hobart	2010 Operating Expenses	501(C)(3) Not fo	\$15,000.00
5/13/2010	First Presbyterian Church of Delhi	Delhi	Mission Trip	Religious	\$3,480.00
5/13/2010	Foothills Performing Arts Center, Inc.	Oneonta	Holiday Program	501(C)(3) Not fo	\$5,000.00
5/13/2010	Foothills Performing Arts Center, Inc.	Oneonta	8 Chairs	501(C)(3) Not fo	\$4,000.00
5/13/2010	Hanford Mills Museum	East Meredith	2009 Projects	501(C)(3) Not fo	\$760.00
5/13/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
5/13/2010	Roxbury Arts Group, Inc.	Roxbury	Camp Expenses	501(C)(3) Not fo	\$1,000.00
5/13/2010	Tri-Town Boys & Girls Club, Inc.	Sidney	Snacks	501(C)(3) Not fo	\$900.00
5/13/2010	Village of Hobart	Hobart	Little League Fencing	Municipality	\$900.00
5/13/2010	Walton Dollars for Scholars	Walton	Scholarships	501(C)(3) Not fo	\$5,000.00
5/13/2010	Walton Dollars for Scholars	Walton	Scholarships	501(C)(3) Not fo	\$5,000.00
5/19/2010	Andes Society for History & Culture	Andes	Workshop	501(C)(3) Not fo	\$1,000.00
5/19/2010	Catskill Development Foundation, Inc.	Delhi	2010 Business Expo	501(C)(3) Not fo	\$5,000.00
5/19/2010	Catskill Mountain Christian Center	Margaretville	Conference	501(C)(3) Not fo	\$1,575.00
5/19/2010	Foundation for the Open Eye	Margaretville	Two Plays	501(C)(3) Not fo	\$1,000.00
5/19/2010	Little Delaware Youth Ensemble	Oneonta	Annual String Workshop	501(C)(3) Not fo	\$1,000.00
5/19/2010	Schoharie County Historical Society Old Stone	Schoharie	Presentations	501(C)(3) Not fo	\$940.00
5/25/2010	Andes Central School	Andes	Ski Club	School	\$1,700.00
5/25/2010	Catskill Revitalization Corporation	Stamford	Ties	501(C)(3) Not fo	\$25,000.00
5/25/2010	Catskill Revitalization Corporation	Stamford	2010 Operating Expenses	501(C)(3) Not fo	\$75,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
5/25/2010	Downsville Central School	Downsville	After Prom Party	School	\$1,000.00
5/25/2010	New York Women in Film & Television	New York	Margaretville Film	501(C)(3) Not fo	\$5,000.00
5/28/2010	Delaware County Historical Assoc.	Delhi	2010 Operating Expenses	501(C)(3) Not fo	\$16,570.00
5/28/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
5/28/2010	M-ARK Project, Inc.	Margaretville	Documentary	501(C)(3) Not fo	\$500.00
June 2010					\$180,610.00
May 2010 Total					6.60 %
6/4/2010	Delaware County Office of Employment and Tr	Delhi	2010 Summer Work Program	Municipality	\$40,000.00
6/4/2010	Huguenot Historical Society New Paltz	New Paltz	Renovations	501(C)(3) Not fo	\$5,000.00
6/4/2010	Zadock Pratt Museum, Inc.	Prattsville	2009 Operating Expenses	501(C)(3) Not fo	\$4,519.00
6/9/2010	Franklin Free Library	Franklin	Summer Programming Redes to add fees	501(C)(3) Not fo	\$500.00
6/9/2010	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Building Renovations 10/08 deadline ext to	501(C)(3) Not fo	\$21,000.00
6/9/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$20,000.00
6/9/2010	Woodchuck Lodge, Inc.	Roxbury	Inventory	501(C)(3) Not fo	\$3,000.00
6/15/2010	Delaware-Chenango-Madison-Otsego BOCES	Norwich	Programs	School	\$500.00
6/15/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$11,000.00
6/15/2010	Manhattan Country School Farm	Roxbury	Catskill Folk Connection	501(C)(3) Not fo	\$5,000.00
6/16/2010	Delaware-Schoharie Learning Time Day Care C	Hobart	2010 Operating Expenses	501(C)(3) Not fo	\$17,500.00
6/16/2010	Denniston Hill Corporation	Glen Wild	Workshops	501(C)(3) Not fo	\$2,500.00
6/16/2010	Deposit Community Center, Inc.	Deposit	Door Replacement	501(C)(3) Not fo	\$3,450.00
6/16/2010	Deposit Community Pool Association	Deposit	Pool	501(C)(3) Not fo	\$5,000.00
6/16/2010	Margaretville Central School Dollars for Schola	Margaretville	Scholarships	501(C)(3) Not fo	\$5,000.00
6/16/2010	South Kortright Central School	South Kortright	Dance Team Trip	School	\$2,600.00
6/16/2010	Stanford Central School	Stanford	Counseling	School	\$5,000.00
6/16/2010	Todd Mountain Theater Project, Inc.	Arkville	Presentation Expenses	501(C)(3) Not fo	\$5,000.00
6/18/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
6/18/2010	M-ARK Project, Inc.	Margaretville	Baseball	501(C)(3) Not fo	\$1,085.00
6/18/2010	M-ARK Project, Inc.	Margaretville	Signs	501(C)(3) Not fo	\$3,492.00
6/24/2010	Bright Hill Press/Word Thursdays	Treadwell	Copier	501(C)(3) Not fo	\$3,380.00
6/24/2010	Catskill Forest Association	Arkville	2010 Operating Expenses	501(C)(3) Not fo	\$50,000.00
6/24/2010	Foothills Performing Arts Center, Inc.	Oneonta	2010 Big Read	501(C)(3) Not fo	\$5,000.00
<u>July 2010</u>					<u>\$221,026.00</u>
7/8/2010	Belleayre Conservatory, Inc.	Highmount	Interns	501(C)(3) Not fo	\$5,000.00
7/8/2010	Belleayre Conservatory, Inc.	Highmount	Website	501(C)(3) Not fo	\$3,000.00
7/8/2010	Belleayre Conservatory, Inc.	Highmount	Tent Repairs	501(C)(3) Not fo	\$5,000.00
7/8/2010	Catskill Development Foundation, Inc.	Delhi	Art and Soul of the Catskills	501(C)(3) Not fo	\$5,000.00
7/8/2010	First Presbyterian Church of Delhi	Delhi	Youth Conference	Religious	\$2,500.00
7/8/2010	First Presbyterian Church of Delhi	Delhi	Mission Trip	Religious	\$1,520.00
7/8/2010	Foundation for the Open Eye	Margaretville	Free Saturday Sampler & Workshop Deadl	501(C)(3) Not fo	\$1,000.00
7/8/2010	Sidney Dollars for Scholars	Sidney	Scholarships	501(C)(3) Not fo	\$5,000.00
7/8/2010	Walton Historical Society	Walton	Drapes	501(C)(3) Not fo	\$1,643.00
7/13/2010	Catskill Revitalization Corporation	Stamford	2010 Operating Expenses	501(C)(3) Not fo	\$75,000.00
7/13/2010	Christ Church	Walton	Parish Hall Repairs	Religious	\$3,900.00
7/13/2010	Delaware Highlands Conservatory	Hawley	Workshop	501(C)(3) Not fo	\$5,000.00
7/13/2010	Foothills Performing Arts Center, Inc.	Oneonta	Operating Expenses	501(C)(3) Not fo	\$5,000.00
7/13/2010	Franklin Stock Company at Chapel Hall, Inc.	Franklin	2010 Summer Presentations	501(C)(3) Not fo	\$5,000.00
7/13/2010	Little Delaware Youth Ensemble	Oneonta	Web Design	501(C)(3) Not fo	\$1,000.00
7/13/2010	Roxbury Arts Group, Inc.	Roxbury	Programming Expenses	501(C)(3) Not fo	\$8,500.00
7/13/2010	Roxbury Chapter Dollars for Scholars	Denver	Scholarships	501(C)(3) Not fo	\$3,700.00
7/16/2010	Charlotte Valley Presbyterian Church	Davenport	Building Repairs Deadline 12/11	Religious	\$7,500.00
7/16/2010	Delaware Academy and Central School	Delhi	Aricultural Awareness Program Deadline 1 School		\$45.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
7/16/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$21,000.00
7/16/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
7/16/2010	M-ARK Project, Inc.	Margaretville	Documentary	501(C)(3) Not fo	\$3,500.00
7/16/2010	Mt Utsayantha Regional Art League	Stamford	Office Equipment	501(C)(3) Not fo	\$4,205.00
7/23/2010	Hancock Community Education Foundation	Hancock	Scholarships	501(C)(3) Not fo	\$10,000.00
7/23/2010	M-ARK Project, Inc.	Margaretville	Pakataken Farmers Market	501(C)(3) Not fo	\$2,687.00
7/23/2010	M-ARK Project, Inc.	Margaretville	Competition Expenses	501(C)(3) Not fo	\$5,000.00
7/23/2010	Oak Hill Preservation Association	Oak Hill	Painting	501(C)(3) Not fo	\$4,790.00
7/23/2010	Roxbury Arts Group, Inc.	Roxbury	76 Main! Expenses	501(C)(3) Not fo	\$10,000.00
7/23/2010	Walton Historical Society	Walton	Memorial Day Events	501(C)(3) Not fo	\$1,352.00
July 2010 Total					\$208,342.00
7.61%					
August 2010					
8/4/2010	Belleayre Conservatory, Inc.	Highmount	2010 Jazz Festival	501(C)(3) Not fo	\$20,000.00
8/4/2010	First Presbyterian Church of Stamford	Stamford	Repairs	Religious	\$5,000.00
8/4/2010	Hancock Community Education Foundation	Hancock	Summer Programs	501(C)(3) Not fo	\$5,000.00
8/4/2010	Rotary Club of Delhi People Who Care	Delhi	After Prom Parties	501(C)(4)	\$1,666.00
8/4/2010	South Kortright Central School	South Kortright	Calculators	School	\$900.00
8/12/2010	Andes Public Library	Andes	Library Renovations & Expansion Deadlin	Municipality	\$8,316.00
8/12/2010	Delhi Campus Ministry	Delhi	Annual Grant	Religious	\$10,000.00
8/12/2010	First United Methodist Church of Walton	Walton	Stained Glass Windows	Religious	\$3,300.00
8/12/2010	Friends of Bassett	Delhi	Radiology Upgrades	501(C)(3) Not fo	\$20,958.00
8/12/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
8/12/2010	Sidney Memorial Public Library	Sidney	Traveling Exhibit	501(C)(3) Not fo	\$2,500.00
8/12/2010	Stamford Christmas Feeling Fund	Stamford	Annual Grant	501(C)(3) Not fo	\$3,000.00
8/12/2010	Stamford Village Library	Stamford	Shelves & Seating	501(C)(3) Not fo	\$1,500.00
8/12/2010	William B. Ogden Free Library	Walton	Reading Group	501(C)(3) Not fo	\$500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
8/27/2010	Delaware-Otsego Audubon Society	Oneonta	Equipment	501(C)(3) Not fo	\$520.00
8/27/2010	First Presbyterian Church of Delhi	Delhi	Copier	Religious	\$3,421.00
8/27/2010	Grand Gorge Community Action Group	Grand Gorge	Little League	501(C)(3) Not fo	\$920.00
8/27/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$21,000.00
8/27/2010	M-ARK Project, Inc.	Margaretville	Renovations	501(C)(3) Not fo	\$5,000.00
8/27/2010	M-ARK Project, Inc.	Margaretville	Moving Expenses	501(C)(3) Not fo	\$4,500.00
8/27/2010	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$2,826.00
8/27/2010	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	(\$2,628.00)
8/27/2010	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$2,628.00
8/27/2010	Zadock Pratt Museum, Inc.	Prattsville	2009 Operating Expenses	501(C)(3) Not fo	\$2,530.00
August 2010 Total					\$124,857.00
4.56 %					

September 2010

9/1/2010	Foothills Performing Arts Center, Inc.	Oneonta	September 11 Event	501(C)(3) Not fo	\$2,500.00
9/9/2010	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Annual Grant	501(C)(3) Not fo	\$4,000.00
9/9/2010	Stamford Central School Dollars for Scholars	Stamford	Scholarships	501(C)(3) Not fo	\$4,000.00
9/10/2010	Catskill Center for Conservation and Developm	Arkville	2008 Projects 10/08 reded toward Erpf Hou	501(C)(3) Not fo	\$1,635.00
9/10/2010	Delaware County Department of Social Service	Delhi	Annual Grant	Municipality	\$3,000.00
9/10/2010	Oneonta Dollars for Scholars	Oneonta	Scholarships	501(C)(3) Not fo	\$500.00
9/10/2010	Oneonta Dollars for Scholars	Oneonta	Scholarships	501(C)(3) Not fo	\$500.00
9/16/2010	Catskill Revitalization Corporation	Stamford	Light Surfacing	501(C)(3) Not fo	\$40,000.00
9/16/2010	Catskill Revitalization Corporation	Stamford	2010 Operating Expenses	501(C)(3) Not fo	\$75,000.00
9/16/2010	Delaware-Schoharie Learning Time Day Care C	Hobart	2010 Operating Expenses	501(C)(3) Not fo	\$17,500.00
9/16/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$21,000.00
9/22/2010	Catskill Forest Association	Arkville	2010 Operating Expenses	501(C)(3) Not fo	\$50,000.00
9/22/2010	Denver/Vega Preservation Committee	Denver	2007 Music Festival deadline 12/11	501(C)(3) Not fo	\$1,152.00
9/22/2010	Denver/Vega Preservation Committee	Denver	Painting & Festival Expenses deadline 12/1	501(C)(3) Not fo	\$296.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
9/22/2010	Denver/Vega Preservation Committee	Denver	Exterior Painting & Festival Expenses 3/06	501(C)(3) Not fo	\$2,404.00
9/22/2010	Denver/Vega Preservation Committee	Denver	2008 Music Festival	501(C)(3) Not fo	\$172.00
9/22/2010	New York Foundation for the Arts	Brooklyn	SUNY Oneonta Performance	501(C)(3) Not fo	\$5,000.00
9/22/2010	Roxbury Arts Group, Inc.	Roxbury	Programming Expenses	501(C)(3) Not fo	\$8,500.00
9/22/2010	South Kortright Central School	South Kortright	Garden	School	\$2,500.00
9/22/2010	Woodchuck Lodge, Inc.	Roxbury	Renovations	501(C)(3) Not fo	\$4,000.00
9/23/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
9/27/2010	Hampstead Stage Company	Center Barnstead	School Plays	501(C)(3) Not fo	\$2,166.00
9/30/2010	Albany Law School	Albany	Scholarships	School	\$12,500.00
9/30/2010	Broome Community College Foundation, Inc.	Binghamton	Scholarships	School	\$12,500.00
9/30/2010	Catskill Development Foundation, Inc.	Delhi	Website	501(C)(3) Not fo	\$750.00
9/30/2010	Catskill Revitalization Corporation	Stamford	Trail Maintenance Deadline 12/11	501(C)(3) Not fo	\$10,000.00
9/30/2010	Cazenovia College	Cazenovia	Scholarships	School	\$10,000.00
9/30/2010	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$18,500.00
9/30/2010	Colgate University	Hamilton	Scholarships	School	\$10,000.00
9/30/2010	College Foundation at Delhi, Inc.	Delhi	Nursing Scholarships	501(C)(3) Not fo	\$10,000.00
9/30/2010	College Foundation at Delhi, Inc.	Delhi	Voc/Ed Scholarships	501(C)(3) Not fo	\$10,000.00
9/30/2010	Cornell University	Ithaca	Scholarships	School	\$13,500.00
9/30/2010	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
9/30/2010	Foundation of the SUNY at Binghamton	Binghamton	Scholarships	School	\$12,500.00
9/30/2010	Foundation of the SUNY at Binghamton	Binghamton	Nursing Scholarships	School	\$5,000.00
9/30/2010	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Building Renovations 10/08 deadline ext to	501(C)(3) Not fo	\$4,000.00
9/30/2010	Hartwick College	Oneonta	Nursing Scholarships	School	\$12,500.00
9/30/2010	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$12,500.00
9/30/2010	Sage Colleges	Troy	Scholarships	School	\$12,500.00
9/30/2010	State University College at Oneonta Foundation	Oneonta	Masters in Education Scholarship	501(C)(3) Not fo	\$7,500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
9/30/2010	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$18,500.00
9/30/2010	Syracuse University	Syracuse	Scholarships	School	\$12,500.00
<u>September 2010 Total</u>					<u>\$467,075.00</u>
					17.06 %
<u>October 2010</u>					
10/4/2010	East Meredith Fire Department	East Meredith	Gear	Municipality	\$5,000.00
10/4/2010	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$1,550.00
10/13/2010	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$20,000.00
10/13/2010	Little Delaware Youth Ensemble	Oneonta	Rent	501(C)(3) Not fo	\$1,667.00
10/13/2010	West Kortright Centre, Inc.	East Meredith	2010 Programming	501(C)(3) Not fo	\$20,000.00
10/13/2010	William B. Ogden Free Library	Walton	Summer Program Expenses	501(C)(3) Not fo	\$1,000.00
10/18/2010	Margaretville Methodist Church	Margaretville	Repairs	Religious	\$1,835.00
10/18/2010	Roxbury Arts Group, Inc.	Roxbury	Piano Lessons	501(C)(3) Not fo	\$3,500.00
10/22/2010	Bovina Public Library	Bovina Center	Step Repairs	501(C)(3) Not fo	\$2,750.00
10/22/2010	Foothills Performing Arts Center, Inc.	Oneonta	Presentation	501(C)(3) Not fo	\$5,000.00
10/22/2010	Stamford Village Library	Stamford	Shelves & Seating	501(C)(3) Not fo	\$1,100.00
<u>October 2010 Total</u>					<u>\$63,402.00</u>
					2.32 %
<u>November 2010</u>					
11/1/2010	American Red Cross in Delaware County South	Endicott	Annual Grant	501(C)(3) Not fo	\$5,000.00
11/1/2010	Binghamton Philharmonic Inc.	Binghamton	SUNY Delhi Performance	501(C)(3) Not fo	\$5,000.00
11/1/2010	Conservation Alliance of New York	Oneonta	Annual Grant	501(C)(3) Not fo	\$5,000.00
11/1/2010	Delaware Opportunities, Inc.	Hamden	School Supplies	Municipality	\$1,000.00
11/1/2010	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
11/1/2010	Project Independence, Inc.	Hobart	Exercise Equipment Deadline 12/11	501(C)(3) Not fo	\$221.00
11/1/2010	Town of Andes	Andes	Summer Concerts	Municipality	\$750.00
11/4/2010	Little Delaware Youth Ensemble	Oneonta	Programming	501(C)(3) Not fo	\$2,750.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
11/4/2010	Margaretville Methodist Church	Margaretville	Repairs	Religious	\$3,005.00
11/16/2010	Charlotte Valley Central School Dollars for Sch	East Meredith	Scholarships	501(C)(3) Not fo	\$4,000.00
11/16/2010	Delaware County Historical Assoc.	Delhi	2010 Operating Expenses	501(C)(3) Not fo	\$8,100.00
11/16/2010	Delaware-Chenango-Madison-Otsego BOCES	Norwich	Programs	School	\$175.00
11/16/2010	Hanford Mills Museum	East Meredith	2002 Operating Expenses, Capital & Speci	501(C)(3) Not fo	\$14,815.00
11/16/2010	M-ARK Project, Inc.	Margaretville	Tourism Events	501(C)(3) Not fo	\$5,000.00
11/16/2010	Roxbury Arts Group, Inc.	Roxbury	76 Mainl Expenses	501(C)(3) Not fo	\$15,000.00
11/16/2010	Roxbury Arts Group, Inc.	Roxbury	2011 Operating Expenses	501(C)(3) Not fo	\$6,000.00
11/16/2010	Stamford Central School	Stamford	Art Display	School	\$300.00
11/16/2010	Town of Andes	Andes	Ballentine Park Events	Municipality	\$500.00
11/16/2010	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$2,885.00
11/17/2010	M-ARK Project, Inc.	Margaretville	Baseball	501(C)(3) Not fo	\$1,160.00
11/24/2010	South Kortright Central School	South Kortright	Equipment	School	\$954.00
11/24/2010	South Kortright Central School	South Kortright	Sanders	School	\$1,000.00
November 2010 Total					\$84,115.00
3.07 %					

December 2010

12/1/2010	Catskill Mountain Christian Center	Margaretville	Youth Conference	501(C)(3) Not fo	\$1,950.00
12/1/2010	Franklin Improvement Society	Franklin	Park Development Deadline 12/11	501(C)(3) Not fo	\$1,442.00
12/1/2010	M-ARK Project, Inc.	Margaretville	Artisan Guild	501(C)(3) Not fo	\$2,500.00
12/1/2010	M-ARK Project, Inc.	Margaretville	Training Expenses	501(C)(3) Not fo	\$5,000.00
12/3/2010	Roxbury Arts Group, Inc.	Roxbury	Furnace	501(C)(3) Not fo	\$675.00
12/17/2010	Catskill Center for Conservation and Developm	Arkville	Energy Conservation Project Erpf House	501(C)(3) Not fo	\$6,694.00
12/17/2010	Hamden Presbyterian Church	Hamden	Handicap Accessibility	Religious	\$5,000.00
12/17/2010	Revitalization, Education & Activities Committ	Hobart	Books	501(C)(3) Not fo	\$1,000.00
12/17/2010	Roxbury Arts Group, Inc.	Roxbury	Documentary	501(C)(3) Not fo	\$1,000.00
12/17/2010	United Ministry of Delhi	Delhi	Office Equipment, Music	Religious	\$1,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
12/20/2010	American Cancer Society Delaware County Uni	Johnson City	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/20/2010	American Heart Association Mid-State New Yo	Binghamton	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/20/2010	American Red Cross in Delaware County South	Endicott	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/20/2010	Delaware County Youth Bureau	Delhi	Annual Grant	Municipality	\$1,000.00
12/20/2010	Delhi Community Fund, Inc.	Delhi	Annual Grant	501(C)(3) Not fo	\$3,000.00
12/20/2010	Girl Scouts - Indian Hills Council	Johnson City	Annual Grant	501(C)(3) Not fo	\$20,000.00
12/20/2010	Girl Scouts - Indian Hills Council	Johnson City	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/20/2010	Hobart Fire Department	Hobart	Annual Grant	Municipality	\$2,000.00
12/20/2010	Hobart Historical Society	Hobart	Annual Grant	501(C)(3) Not fo	\$1,000.00
12/20/2010	Hobart Presbyterian Church	Hobart	Annual Grant	Religious	\$2,000.00
12/20/2010	Hobart St. Peter's Protestant Episcopal Church	Hobart	Annual Grant	Religious	\$2,000.00
12/20/2010	Hobart United Methodist Church	Hobart	Annual Grant	Religious	\$4,000.00
12/20/2010	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Annual Grant	501(C)(3) Not fo	\$10,000.00
12/20/2010	The Foundation Center	New York	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/20/2010	The Salvation Army	Syracuse	Annual Grant	501(C)(3) Not fo	\$1,000.00
12/20/2010	United Ministry of Delhi	Delhi	Annual Grant	Religious	\$3,000.00
12/29/2010	Andes Central School	Andes	European Soccer Trip	School	\$3,200.00
12/29/2010	Catskill Ag Inc.	Delhi	Dairy Market	501(C)(3) Not fo	\$2,700.00
12/29/2010	Catskill Ag Inc.	Delhi	Website	501(C)(3) Not fo	\$3,000.00
12/29/2010	Catskill Forest Association	Arkville	2011 Operating Expenses	501(C)(3) Not fo	\$50,000.00
12/29/2010	Catskill Revitalization Corporation	Stamford	2011 Operating Expenses	501(C)(3) Not fo	\$75,000.00
12/29/2010	Catskill Revitalization Corporation	Stamford	I Love New York	501(C)(3) Not fo	\$50,000.00
12/29/2010	Delaware Opportunities, Inc.	Hamden	Big Buddy Program	Municipality	\$1,666.00
12/29/2010	Delaware-Schoharie Learning Time Day Care C	Hobart	2010 Operating Expenses	501(C)(3) Not fo	\$15,000.00
12/29/2010	Greater Delhi Area Foundation	Delhi	Endowment deadline 12/11	501(C)(3)	\$1,485.00
12/29/2010	Hanford Mills Museum	East Meredith	I Love New York	501(C)(3) Not fo	\$50,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
12/29/2010	Hartwick College	Oneonta	Construction	School	\$5,000.00
12/29/2010	M-ARK Project, Inc.	Margaretville	2009 Holiday Event	501(C)(3) Not fo	\$1,150.00
12/29/2010	Roxbury Arts Group, Inc.	Roxbury	Piano Lessons	501(C)(3) Not fo	\$1,500.00
12/29/2010	Stamford Central School	Stamford	Field Trip	School	\$750.00
12/29/2010	Walton Central School Townsend Elementary Sc	Walton	Snowshoes	School	\$1,000.00
<u>December 2010 Total</u>					\$359,712.00
					13.14%
Grand Total:					<u><u>\$2,816,373.00</u></u>

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 1

<u>1998</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
15-Jun-98	\$80,000.00	\$0.00	\$80,000.00
Delhi -- Delaware County Planning Board			
U&D Railroad Historical Society - Roxbury Train Station deadline 12/11			
15-Jun-98	\$84,500.00	\$42,250.00	\$42,250.00
Delhi -- Delaware County Planning Board			
Data conversion upgrades deadline 12/11 9/08 reded toward NYCDEP contractual obli			
1998 Subtotals	\$164,500.00	\$42,250.00	\$122,250.00
	2.71%	25.68%	74.32%
<u>1999</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
23-Jun-99	\$54,500.00	\$27,250.00	\$27,250.00
Delhi -- Delaware County Planning Board			
TPAS Program deadline 12/11 9/08 reded toward County Comprehensive Plan			
28-Sep-99	\$50,000.00	\$18,770.00	\$31,230.00
Delhi -- Greater Delhi Area Foundation			
Endowment deadline 12/11			
23-Jun-99	\$84,420.00	\$0.00	\$84,420.00
Stamford -- Western Catskills Com. Revitalization Council			
South Gilboa Train Station 10/05 deadline to 12/11 work with Catskill Revitalization			
1999 Subtotals	\$188,920.00	\$46,020.00	\$142,900.00
	3.11%	24.36%	75.64%

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 2

<u>2000</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
19-Jun-00 Delhi -- Delaware County Department of Planning and Economic Development TPAS Program - 2001 Grant extended to 12/11 9/08 reded toward Economic Develop	\$55,500.00	\$27,750.00	\$27,750.00
21-Sep-00 Delhi -- Greater Delhi Area Foundation Purchase Building and Property - 10/07 reded per proposal from Del Cty Industrial Dev	\$80,000.00	\$0.00	\$80,000.00
19-Jun-00 East Meredith -- Hanford Mills Museum Endowment - deadline 12/11	\$50,000.00	\$12,081.00	\$37,919.00
2000 Subtotals	\$185,500.00 3.06%	\$39,831.00 21.47%	\$145,669.00 78.53%

<u>2001</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
18-Jul-01 Delhi -- College Foundation at Delhi, Inc. Software System for Accounting and Fund-Raising deadline 12/11 Remove Matching	\$122,500.00	\$118,153.00	\$4,347.00
10-Oct-01 Delhi -- College Foundation at Delhi, Inc. Musical Concert Reded to AmeriCorp 10/07 Blackbaud Software Matching removed. D	\$18,000.00	\$0.00	\$18,000.00
18-Jul-01 Delhi -- Delaware County Planning Board Town Planning Advisory Service (TPAS) 2002 deadline 12/11 9/08 reded toward GIS I	\$64,000.00	\$32,000.00	\$32,000.00

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 3

18-Jul-01	\$324,000.00	\$323,148.00	\$852.00
-----------	--------------	--------------	----------

East Meredith -- Hanford Mills Museum

2002 Operating Expenses, Capital & Special Projects redes to turbine deadline 12/11

29-Jun-01	\$4,110.00	\$0.00	\$4,110.00
-----------	------------	--------	------------

Margaretville -- Ulster & Delaware Railroad Historical Society, Inc.

Former Ulster & Delaware Railroad Depot at Roxbury deadline 12/11

18-Jul-01	\$32,500.00	\$0.00	\$32,500.00
-----------	-------------	--------	-------------

Roxbury -- Town of Roxbury

Purchase Stone Terrace 10/05 Work with Catskill Revitalization on Expansion deadline

18-Jul-01	\$48,750.00	\$35,415.00	\$13,335.00
-----------	-------------	-------------	-------------

Stamford -- Otsego-Northern Catskill BOCES

New Science Room deadline 10/09 Dell Computers, auto lift, \$7,750 unredesignated de

2001 Subtotals	\$613,860.00	\$508,716.00	\$105,144.00
	10.12%	82.87%	17.13%

<u>2002</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
17-Jun-02	\$108,960.00	\$41,146.00	\$67,814.00
Cooperstown -- Mary Imogene Bassett Hospital Bassett Healthcare Partnership for Nursing Opportunities Program deadline 12/11			
17-Jun-02	\$87,000.00	\$80,000.00	\$7,000.00
Delhi -- College Foundation at Delhi, Inc. Olive B. Price Reading Room deadline 12/11			
17-Jun-02	\$57,000.00	\$28,500.00	\$28,500.00
Delhi -- Delaware County Planning Board 2003 Town Planning Advisory Service deadline 12/11 9/08 redes toward Ag Farmland			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 4

17-Jun-02	\$10,000.00	\$8,000.00	\$2,000.00
Denver -- Denver/Vega Preservation Committee			
Roof Repair, Signboard and 2002 Music Festival deadline 12/11 Redes toward Incinole			
17-Jun-02	\$295,000.00	\$285,000.00	\$10,000.00
East Meredith -- Hanford Mills Museum			
2003 Operating Expenses Capital & Special Projects, Redes to development deadline			
03-May-02	\$3,000.00	\$680.00	\$2,320.00
Hamden -- Town of Hamden			
Hamden Action Association - Sign Project deadline 12/11 for O&W signs			
28-Feb-02	\$5,000.00	\$4,659.00	\$341.00
Hobart -- Revitalization, Education & Activities Committee of Hobart			
Computer Lab 10/05 deadline 12/11			
17-Jun-02	\$45,750.00	\$0.00	\$45,750.00
Hobart -- Revitalization, Education & Activities Committee of Hobart			
New Heating System for Activity Center deadline 12/11			
2002 Subtotals	\$611,710.00	\$447,985.00	\$163,725.00
	10.08%	73.23%	26.77%

<u>2003</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
04-Nov-03	\$56,000.00	\$28,000.00	\$28,000.00
Delhi -- Delaware County Planning Board			
Town Planning Advisory Service deadline 12/11 9/08 redes toward Legal Assistance P			
16-May-03	\$1,678.00	\$0.00	\$1,678.00
Franklin -- Town of Franklin			
Handicapped Ramp 10/07 Redes to foundation repairs at Town Hall deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 5

20-Jun-03	\$5,000.00	\$4,000.00	\$1,000.00
-----------	------------	------------	------------

Hunter -- Catskill Mountain Foundation

Chautauqua Program - 0/07 Redes to Hobart Bldg. Deadline 12/11

19-Mar-03	\$5,000.00	\$0.00	\$5,000.00
-----------	------------	--------	------------

Margaretville -- Catskill Mountain Crafts Collective

Fundraising Grant 10/07 Redes to Hobart Building deadline 12/11

20-Jun-03	\$10,000.00	\$2,500.00	\$7,500.00
-----------	-------------	------------	------------

Roxbury -- Kirkside of Roxbury, Inc.

Endowment deadline 12/11

2003 Subtotals	\$77,678.00	\$34,500.00	\$43,178.00
	1.28%	44.41%	55.59%

<u>2004</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
--------------------	------------------------	----------------------------	-----------------------

06-Aug-04	\$25,000.00	\$0.00	\$25,000.00
-----------	-------------	--------	-------------

Delhi -- Village of Delhi

Community Open Air Pavilion 10/08 deadline to 10/11

23-Mar-04	\$2,500.00	\$612.00	\$1,888.00
-----------	------------	----------	------------

East Meredith -- Hanford Mills Museum

Site Signage deadline 12/11

20-Jul-04	\$40,000.00	\$20,000.00	\$20,000.00
-----------	-------------	-------------	-------------

Margaretville -- Catskill Mountain Crafts Collective

Purchase & Renovate Old Hobart Town Clerk's Office 10/08 dealine 12/11

09-Aug-04	\$5,000.00	\$0.00	\$5,000.00
-----------	------------	--------	------------

Margaretville -- Village of Margaretville

Pedestrian Bridges deadline 12/11

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 6

2004 Subtotals	\$72,500.00	\$20,612.00	\$51,888.00
	1.20%	28.43%	71.57%

<u>2005</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
22-Feb-05	\$1,585.00	\$0.00	\$1,585.00
Delhi -- Delaware County Stop DWI and Traffic Safety Program Dashboard Video Cameras deadline 12/11			
21-Mar-05	\$1,247.00	\$917.00	\$330.00
Delhi -- Delaware County Stop DWI and Traffic Safety Program Education Simulators deadline 12/11			
11-Oct-05	\$265,000.00	\$235,000.00	\$30,000.00
East Meredith -- Hanford Mills Museum 2006 Operating Expenses & projects- waterwheel, steam engine, turbine, feedmill, appr			
25-Apr-05	\$4,950.00	\$2,444.00	\$2,506.00
Hancock -- Town of Hancock Vintage Ball Club Deadline 12/11			
16-Mar-05	\$465.00	\$0.00	\$465.00
Hobart -- Hobart Fire Department Hobart Explorer Post 1800 Deadline 12/11			
25-Apr-05	\$5,000.00	\$4,607.00	\$393.00
Oneonta -- Oneonta City School District German Class Trip - re-designated 8/05 to be used for next trip to Germany (possibly in			
11-Oct-05	\$23,000.00	\$22,624.00	\$376.00
Roxbury -- Kirkside of Roxbury, Inc. Contingency Fund & Upgrades Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 7

28-Nov-05	\$1,000.00	\$530.00	\$470.00
South Kortright -- South Kortright Central School Kindergarten Books Deadline 12/11			

12-Oct-05	\$100,000.00	\$70,000.00	\$30,000.00
Stamford -- Catskill Revitalization Corporation Pullman Railroad Car Deadline 12/11			

2005 Subtotals	\$402,247.00	\$336,122.00	\$66,125.00
	6.63%	83.56%	16.44%

<u>2006</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
--------------------	------------------------	----------------------------	-----------------------

24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Delaware Academy and Central School Resource Officer Deadline 12/11			

24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Delaware County Sheriff's Office Resource Officer Deadline 12/11 8/10 redes toward Sheriff's camp			

12-May-06	\$3,900.00	\$1,795.00	\$2,105.00
East Meredith -- Hanford Mills Museum Intern Salary Deadline 12/11			

02-Oct-06	\$337,500.00	\$250,000.00	\$87,500.00
East Meredith -- Hanford Mills Museum 2007 Operating Expenses Deadline 12/11			

09-Jun-06	\$4,600.00	\$1,050.00	\$3,550.00
Fort Collins -- Community Foundation of N. Colorado Books - K-1 Delaware County Schools Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 8

15-Sep-06	\$5,000.00	\$3,950.00	\$1,050.00
Fort Collins -- Community Foundation of N. Colorado Books Delaware County Kindergarten Classes Deadline 12/11			
24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
Halcottsville -- Halcottsville Fire Department Documentary Film Deadline 12/11			
12-May-06	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- Margaretville Central School Computers Deadline 12/11			
21-Nov-06	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- Margaretville Central School Fitness Trail Expenses Deadline 12/11			
13-Feb-06	\$5,000.00	\$2,000.00	\$3,000.00
Margaretville -- M-ARK Project, Inc. Belleayre Repertory Orchestra Re-des \$2,000 to community projects Deadline 12/11			
02-Oct-06	\$100,000.00	\$0.00	\$100,000.00
Oneonta -- Foothills Performing Arts Center, Inc. Endowment deadline extended to 12/12			
02-Oct-06	\$15,000.00	\$0.00	\$15,000.00
Oneonta -- Haynes Foundation Davenport School Activities Deadline 12/11			
02-Oct-06	\$27,000.00	\$23,000.00	\$4,000.00
Roxbury -- Kirkside of Roxbury, Inc. 2007 Maintenance Contingency & Projects Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 9

14-Jun-06	\$24,000.00	\$0.00	\$24,000.00
-----------	-------------	--------	-------------

Roxbury -- Roxbury Arts Group, Inc.

Endowment Deadline 12/11

24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
-----------	------------	--------	------------

South Kortright -- South Kortright Central School

Resource Officer Deadline 12/11 8/10 redes toward after school program

09-Jun-06	\$1,800.00	\$0.00	\$1,800.00
-----------	------------	--------	------------

Walton -- Walton Citizen's Task Force

After Prom Party Deadline 12/11

2006 Subtotals	\$553,800.00	\$281,795.00	\$272,005.00
	9.13%	50.88%	49.12%

2007**Approved****Paid to Date****Balance**

24-Oct-07	\$200,000.00	\$89,378.00	\$110,622.00
-----------	--------------	-------------	--------------

Andes -- Andes Public Library

Library Renovations & Expansion Deadline 12/11

24-Oct-07	\$25,000.00	\$4,107.00	\$20,893.00
-----------	-------------	------------	-------------

Andes -- Andes Society for History & Culture

Endowment Deadline 12/11

16-May-07	\$20,000.00	\$18,900.00	\$1,100.00
-----------	-------------	-------------	------------

East Meredith -- Hanford Mills Museum

Summer Employment Deadline 12/11

24-Oct-07	\$30,000.00	\$0.00	\$30,000.00
-----------	-------------	--------	-------------

East Meredith -- Hanford Mills Museum

Steam Engine - contingent on funds to complete a phase Deadline 12/11

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 10**

23-Jul-07	\$1,197.00	\$971.00	\$226.00
Hobart -- Project Independence, Inc. Exercise Equipment Deadline 12/11			
24-Oct-07	\$48,000.00	\$0.00	\$48,000.00
Hobart -- Revitalization, Education & Activities Committee of Hobart 2008 Operating Expenses Deadline 12/11			
27-Dec-07	\$3,000.00	\$908.00	\$2,092.00
Kingston -- Hudson River Maritime Museum Audio-Visual Equipment dedes toward computer, exhibit Deadline 12/11			
23-Jul-07	\$5,000.00	\$4,571.00	\$429.00
Margaretville -- M-ARK Project, Inc. 2007 HOG Arts Festival Deadline 12/11			
09-Aug-07	\$5,000.00	\$0.00	\$5,000.00
New York -- Calliope Creative Foundation Delaware County Fair Documentary Deadline 12/11			
24-Oct-07	\$50,000.00	\$0.00	\$50,000.00
Oneonta -- Little Delaware Youth Ensemble Endowment Deadline 12/11			
24-Oct-07	\$10,000.00	\$0.00	\$10,000.00
Prattsville -- Zadock Pratt Museum, Inc. Matching Endowment Deadline 12/11			
16-May-07	\$5,000.00	\$1,465.00	\$3,535.00
Roxbury -- Kirkside of Roxbury, Inc. Projects Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 11

23-Mar-07	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Roxbury Central School			
Artist in Residency Program Deadline 12/11			
19-Jun-07	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Town of Roxbury			
Porch Stabilization Deadline 12/11			
24-Oct-07	\$50,000.00	\$0.00	\$50,000.00
Roxbury -- Town of Roxbury			
Barn Project Deadline 12/11			
16-May-07	\$3,000.00	\$1,500.00	\$1,500.00
South Kortright -- South Kortright Central School			
College Course Tuition Assistance Deadline 12/11			
24-Oct-07	\$200,000.00	\$0.00	\$200,000.00
Stamford -- Catskill Revitalization Corporation			
O&W Museum Construction Costs Roxbury Yard Deadline 12/11			
2007 Subtotals	\$661,197.00	\$121,800.00	\$539,397.00
	10.90%	18.42%	81.58%

<u>2008</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
31-Mar-08	\$250.00	\$0.00	\$250.00
Andes -- Andes Central School			
Poetry Workshop			
31-Oct-08	\$25,000.00	\$6,694.00	\$18,306.00
Arkville -- Catskill Center for Conservation and Development			
Energy Conservation Project Erpf House			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 12

09-Jun-08	\$5,000.00	\$3,600.00	\$1,400.00
Delhi -- Catskill Development Foundation, Inc. Technical Training			
06-Jun-08	\$33,525.00	\$33,285.00	\$240.00
Delhi -- Delaware Academy and Central School Smart Boards			
09-Apr-08	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Delaware County Office for the Aging Delaware County Senior Council, Inc Seniors 4-H Camp			
19-Nov-08	\$5,000.00	\$2,640.00	\$2,360.00
Delhi -- Delhi Dolphins Swim Team Equipment			
31-Oct-08	\$100,000.00	\$44,610.00	\$55,390.00
East Meredith -- Hanford Mills Museum 2009 Projects			
31-Oct-08	\$250,000.00	\$0.00	\$250,000.00
Fly Creek -- The Walter Rich Charitable Foundation Endowment			
31-Oct-08	\$10,000.00	\$0.00	\$10,000.00
Gilboa -- Broome Center Chapel Church Renovations			
31-Oct-08	\$48,000.00	\$31,928.00	\$16,072.00
Hobart -- Revitalization, Education & Activities Committee of Hobart 2009 Operating Expenses			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 13**

09-Apr-08	\$2,000.00	\$1,100.00	\$900.00
Kingston -- Hudson River Maritime Museum Projector Redes toward computer, exhibit			
19-Nov-08	\$1,970.00	\$0.00	\$1,970.00
Margaretville -- Margaretville Central School Field Trip			
16-Jul-08	\$5,000.00	\$0.00	\$5,000.00
Meridale -- Meredith Historical Society Endowment			
09-Apr-08	\$4,575.00	\$0.00	\$4,575.00
New York -- Open Space Institute, Inc. Landowner Workshops			
11-Sep-08	\$4,950.00	\$2,500.00	\$2,450.00
Rensselaerville -- The Rensselaerville Institute Minds On Programs Redes to 2011 programs			
06-Jun-08	\$11,200.00	\$8,998.00	\$2,202.00
Roxbury -- Kirkside of Roxbury, Inc. 2008 Projects			
07-Oct-08	\$3,500.00	\$0.00	\$3,500.00
Roxbury -- Kirkside of Roxbury, Inc. Call Bell System			
31-Mar-08	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Roxbury Central School Ski Club			

O'Connor Foundation Grants with Remaining Balances*05-Jan-11**Page 14*

12-Aug-08	\$3,600.00	\$0.00	\$3,600.00
Roxbury -- Town of Roxbury Presentation Expenses			
11-Jan-08	\$3,000.00	\$0.00	\$3,000.00
Sidney -- St. Paul's Episcopal Church Sidney Sign			
09-Apr-08	\$2,445.00	\$0.00	\$2,445.00
Stamford -- Stamford Central School Music Tapes			
06-Mar-08	\$5,000.00	\$0.00	\$5,000.00
Stamford -- Village of Stamford Fire Station Renovations			
12-Aug-08	\$5,000.00	\$0.00	\$5,000.00
Treadwell -- Senior Citizen Service Fund, Inc. Assistance			
31-Oct-08	\$6,400.00	\$0.00	\$6,400.00
Walton -- Christ Church Stained Glass Window			
2008 Subtotals	\$541,415.00	\$135,355.00	\$406,060.00
	8.92%	25.00%	75.00%

<u>2009</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
13-Oct-09	\$10,000.00	\$0.00	\$10,000.00
Albany -- Parks & Trails New York, Inc. Trail Expansion			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 15**

13-Oct-09	\$20,000.00	\$0.00	\$20,000.00
Arkville -- Catskill Center for Conservation and Development Quilting Room Handicap Access			
14-Jul-09	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Delaware County Historical Assoc. System Upgrades			
13-Oct-09	\$50,000.00	\$24,670.00	\$25,330.00
Delhi -- Delaware County Historical Assoc. 2010 Operating Expenses			
23-Jan-09	\$5,000.00	\$1,932.00	\$3,068.00
Delhi -- Delhi Community Fund, Inc. Heating Fuel			
23-Jan-09	\$995.00	\$0.00	\$995.00
Deposit -- Deposit Central School Broadway Trip			
13-Oct-09	\$300,000.00	\$165,000.00	\$135,000.00
East Meredith -- Hanford Mills Museum 2010 Operating Expenses			
13-Oct-09	\$25,000.00	\$20,135.00	\$4,865.00
East Meredith -- West Kortright Centre, Inc. Endowment			
21-Aug-09	\$5,000.00	\$0.00	\$5,000.00
Fleischmanns -- Village of Fleischmanns Roof			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 16**

15-Apr-09	\$1,750.00	\$0.00	\$1,750.00
Franklin -- Franklin Central School Fitness Equipment			
23-Jan-09	\$1,500.00	\$0.00	\$1,500.00
Hobart -- Hobart Historical Society Electrical Work			
13-Oct-09	\$8,400.00	\$0.00	\$8,400.00
Hobart -- Project Independence, Inc. Car			
13-Oct-09	\$6,345.00	\$0.00	\$6,345.00
Hobart -- Project Independence, Inc. Windows			
13-Oct-09	\$45,000.00	\$0.00	\$45,000.00
Hobart -- Revitalization, Education & Activities Committee of Hobart 2010 Operating Expenses			
13-Oct-09	\$500.00	\$0.00	\$500.00
Hobart -- Revitalization, Education & Activities Committee of Hobart Shelves & Books			
14-Jul-09	\$2,175.00	\$0.00	\$2,175.00
Margaretville -- Christ Community Church of the Catskills Catskill Mountain Christian C Educational Training			
13-Oct-09	\$5,000.00	\$1,720.00	\$3,280.00
Margaretville -- Foundation for the Open Eye School Programming			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 17**

26-May-09	\$5,000.00	\$3,334.00	\$1,666.00
Margaretville -- Margaretville Health Foundation Wellness Programs			
21-Aug-09	\$2,460.00	\$1,950.00	\$510.00
Margaretville -- Margaretville Health Foundation Training Meeting			
04-Nov-09	\$4,000.00	\$3,532.00	\$468.00
Margaretville -- M-ARK Project, Inc. 2009 Holiday Event			
15-Dec-09	\$4,800.00	\$0.00	\$4,800.00
Margaretville -- M-ARK Project, Inc. Ski Club			
15-Dec-09	\$3,000.00	\$0.00	\$3,000.00
Mount Morris -- Finger Lakes Trail Conference, Inc. Shelter			
31-Aug-09	\$3,250.00	\$0.00	\$3,250.00
Narrowsburg -- Delaware Valley Arts Alliance Porch Repairs			
21-Aug-09	\$1,000.00	\$675.00	\$325.00
Norwich -- Delaware-Chenango-Madison-Otsego BOCES Programs			
31-Mar-09	\$5,000.00	\$1,000.00	\$4,000.00
Oneonta -- Oneonta Dollars for Scholars Scholarships			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 18**

23-Jan-09	\$2,650.00	\$0.00	\$2,650.00
Otego -- Unatego Central School District After School Story Telling			
13-Oct-09	\$18,000.00	\$5,711.00	\$12,289.00
Prattsville -- Zadock Pratt Museum, Inc. 2010 Salaries			
15-Apr-09	\$1,500.00	\$0.00	\$1,500.00
Roxbury -- Kirkside of Roxbury, Inc. Furniture			
15-Apr-09	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Kirkside of Roxbury, Inc. Ramp			
15-Apr-09	\$5,000.00	\$2,452.00	\$2,548.00
Roxbury -- Kirkside of Roxbury, Inc. Shades			
13-Oct-09	\$18,000.00	\$16,500.00	\$1,500.00
Roxbury -- Kirkside of Roxbury, Inc. 2010 Maintenance Contingency			
15-Apr-09	\$500.00	\$0.00	\$500.00
Sidney Center -- Sidney Center Improvement Group Planters and Sign			
23-Jan-09	\$425.00	\$0.00	\$425.00
South Kortright -- South Kortright Central School Games			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 19

08-Jun-09	\$650.00	\$0.00	\$650.00
South Kortright -- South Kortright Central School Learning Kits			
15-Jun-09	\$7,500.00	\$0.00	\$7,500.00
Stamford -- Catskill Revitalization Corporation Legal Right of Way			
15-Jun-09	\$5,000.00	\$0.00	\$5,000.00
Stamford -- Western Catskills Community Revitalization Council, Inc. Landscaping Report			
08-Jun-09	\$5,000.00	\$0.00	\$5,000.00
Walton -- First United Methodist Church of Walton Community Ministry Projects			
18-Mar-09	\$5,000.00	\$3,157.00	\$1,843.00
Walton -- Walton Historical Society Memorial Day Events			
2009 Subtotals	\$590,400.00	\$251,768.00	\$338,632.00
	9.73%	42.64%	57.36%

<u>2010</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
Albany -- Albany Law School Scholarships			
10-Mar-10	\$1,500.00	\$0.00	\$1,500.00
Andes -- Andes Central School Guatemala Trip			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 20**

02-Dec-10	\$5,000.00	\$0.00	\$5,000.00
Andes -- Andes Central School Class Trip			
02-Dec-10	\$5,000.00	\$3,200.00	\$1,800.00
Andes -- Andes Central School European Soccer Trip			
02-Dec-10	\$5,000.00	\$0.00	\$5,000.00
Andes -- Andes Central School Field Trip			
02-Dec-10	\$500.00	\$0.00	\$500.00
Andes -- Andes Central School Pet Oxygen Masks			
24-Mar-10	\$3,000.00	\$0.00	\$3,000.00
Andes -- Andes Dollars for Scholars Scholarships			
10-Mar-10	\$3,750.00	\$0.00	\$3,750.00
Andes -- CitiHope International Computers			
28-Sep-10	\$200,000.00	\$50,000.00	\$150,000.00
Arkville -- Catskill Forest Association 2011 Operating Expenses			
21-Sep-10	\$500.00	\$0.00	\$500.00
Bethesda -- Association of Small Foundations Operating Expenses			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 21**

15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
-----------	-------------	-------------	-------------

Binghamton -- Broome Community College Foundation, Inc.

Scholarships

15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
-----------	-------------	-------------	-------------

Binghamton -- Foundation of the SUNY at Binghamton

Scholarships

15-Apr-10	\$20,000.00	\$10,000.00	\$10,000.00
-----------	-------------	-------------	-------------

Cazenovia -- Cazenovia College

Scholarships

10-Mar-10	\$2,943.00	\$2,166.00	\$777.00
-----------	------------	------------	----------

Center Barnstead -- Hampstead Stage Company

School Plays

15-Apr-10	\$37,000.00	\$18,500.00	\$18,500.00
-----------	-------------	-------------	-------------

Cobleskill -- Cobleskill Ag & Tech College Foundation, Inc.

Scholarships

15-Apr-10	\$20,000.00	\$10,000.00	\$10,000.00
-----------	-------------	-------------	-------------

Delhi -- College Foundation at Delhi, Inc.

Nursing Scholarships

15-Apr-10	\$20,000.00	\$10,000.00	\$10,000.00
-----------	-------------	-------------	-------------

Delhi -- College Foundation at Delhi, Inc.

Vo/Ed Scholarships

14-Apr-10	\$5,000.00	\$0.00	\$5,000.00
-----------	------------	--------	------------

Delhi -- Delaware Academy and Central School

German Club

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 22**

23-Feb-10	\$3,000.00	\$0.00	\$3,000.00
Delhi -- Delaware County Department of Social Services Annual Grant			
28-Sep-10	\$20,000.00	\$0.00	\$20,000.00
Delhi -- Delaware County Historical Assoc. Endowment			
10-Nov-10	\$2,500.00	\$0.00	\$2,500.00
Delhi -- Delaware County Historical Assoc. Copier			
28-Sep-10	\$30,000.00	\$0.00	\$30,000.00
Delhi -- Delaware County Office of Employment and Training 2011 Youth Employment			
15-Jan-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Greater Delhi Area Foundation Christmas Decorations			
04-Aug-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Rotary Club of Delhi After Prom Parties			
28-Sep-10	\$20,000.00	\$0.00	\$20,000.00
Delhi -- SUNY Research Foundation 2011 Programming			
14-Sep-10	\$1,000.00	\$0.00	\$1,000.00
Delhi -- United Ministry of Delhi Special Music			

O'Connor Foundation Grants with Remaining Balances*05-Jan-11**Page 23*

10-Mar-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Village of Delhi Renovations			
10-Nov-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Village of Delhi Planning Study			
14-Apr-10	\$3,685.00	\$0.00	\$3,685.00
Deposit -- Deposit Community Theatre and Performing Arts Center, Inc. Sound Equipment			
28-Sep-10	\$100,000.00	\$0.00	\$100,000.00
East Meredith -- Hanford Mills Museum 2011 Operating Expenses			
14-Apr-10	\$5,000.00	\$0.00	\$5,000.00
Fleischmanns -- Village of Fleischmanns Website			
15-Apr-10	\$20,000.00	\$10,000.00	\$10,000.00
Hamilton -- Colgate University Scholarships			
28-Sep-10	\$40,000.00	\$0.00	\$40,000.00
Hobart -- Delaware-Schoharie Learning Time Day Care Center, Inc. 2011 Operating Expenses			
10-May-10	\$5,000.00	\$0.00	\$5,000.00
Hobart -- Hobart Presbyterian Church Roof Repairs			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 24**

15-Apr-10	\$27,000.00	\$13,500.00	\$13,500.00
Ithaca -- Cornell University Scholarships			
04-Aug-10	\$2,745.00	\$0.00	\$2,745.00
Liberty -- Gerry Foundation Presentations			
16-Jun-10	\$4,612.00	\$0.00	\$4,612.00
Margaretville -- Catskill Mountain Christian Center Swingset			
14-Jul-10	\$3,625.00	\$0.00	\$3,625.00
Margaretville -- Catskill Mountain Christian Center Youth Conference			
10-Nov-10	\$4,770.00	\$0.00	\$4,770.00
Margaretville -- Catskill Mountain Christian Center Alight Center			
14-Jun-10	\$9,000.00	\$0.00	\$9,000.00
Margaretville -- Fairview Public Library Windows			
14-Apr-10	\$5,000.00	\$2,245.00	\$2,755.00
Margaretville -- M-ARK Project, Inc. Baseball			
10-Nov-10	\$5,000.00	\$2,500.00	\$2,500.00
Margaretville -- M-ARK Project, Inc. Artisan Guild			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 25**

23-Feb-10	\$5,000.00	\$0.00	\$5,000.00
Meridale -- Meridale Fire Department Annual Grant			
29-Oct-10	\$5,000.00	\$0.00	\$5,000.00
North Blenheim -- Headwaters Soccer Club Transportation			
14-Sep-10	\$5,000.00	\$0.00	\$5,000.00
Oneonta -- Foothills Performing Arts Center, Inc. Operating Expenses			
02-Dec-10	\$3,225.00	\$0.00	\$3,225.00
Oneonta -- Foothills Performing Arts Center, Inc. Performance			
15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
Oneonta -- Hartwick College Nursing Scholarships			
04-Aug-10	\$5,000.00	\$1,667.00	\$3,333.00
Oneonta -- Little Delaware Youth Ensemble Rent			
15-Apr-10	\$15,000.00	\$7,500.00	\$7,500.00
Oneonta -- State University College at Oneonta Foundation Masters in Education Scholarship			
15-Apr-10	\$37,000.00	\$18,500.00	\$18,500.00
Oneonta -- State University College at Oneonta Foundation Scholarships			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11**Page 26**

24-Mar-10	\$1,000.00	\$0.00	\$1,000.00
Otego -- Unatego Dollars for Scholars Scholarships			
02-Dec-10	\$3,500.00	\$0.00	\$3,500.00
Pine Hill -- Pine Hill Community Center Senior Health Program			
28-Sep-10	\$9,000.00	\$0.00	\$9,000.00
Prattsville -- Zadock Pratt Museum, Inc. 2011 Salaries			
14-Jun-10	\$8,000.00	\$0.00	\$8,000.00
Roxbury -- Kirkside of Roxbury, Inc. 2010 Projects			
14-Jun-10	\$35,000.00	\$25,000.00	\$10,000.00
Roxbury -- Roxbury Arts Group, Inc. 76 Main! Expenses			
04-Aug-10	\$5,000.00	\$675.00	\$4,325.00
Roxbury -- Roxbury Arts Group, Inc. Furnace			
21-Sep-10	\$5,000.00	\$1,000.00	\$4,000.00
Roxbury -- Roxbury Arts Group, Inc. Documentary			
28-Sep-10	\$20,000.00	\$6,000.00	\$14,000.00
Roxbury -- Roxbury Arts Group, Inc. 2011 Operating Expenses			

O'Connor Foundation Grants with Remaining Balances**05-Jan-11****Page 27**

15-Apr-10	\$29,000.00	\$14,500.00	\$14,500.00
Saratoga Springs -- Empire State College Foundation Scholarships			
02-Dec-10	\$2,250.00	\$0.00	\$2,250.00
Sidney -- Tri-Town Boys & Girls Club, Inc. Mentor Training			
24-Mar-10	\$916.00	\$0.00	\$916.00
South Kortright -- South Kortright Central School Equipment			
14-Jul-10	\$5,000.00	\$2,500.00	\$2,500.00
South Kortright -- South Kortright Central School Garden			
24-Mar-10	\$3,000.00	\$0.00	\$3,000.00
South Kortright -- South Kortright Central School Dollars for Scholars Scholarships			
28-Sep-10	\$300,000.00	\$75,000.00	\$225,000.00
Stamford -- Catskill Revitalization Corporation 2011 Operating Expenses			
28-Sep-10	\$50,000.00	\$0.00	\$50,000.00
Stamford -- Catskill Revitalization Corporation Tie			
10-May-10	\$5,000.00	\$0.00	\$5,000.00
Stamford -- Village of Stamford Water Distribution System			

O'Connor Foundation Grants with Remaining Balances

05-Jan-11

Page 28

15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
Syracuse -- Syracuse University Scholarships			
02-Dec-10	\$4,980.00	\$0.00	\$4,980.00
Treadwell -- Bright Hill Press/Word Thursdays Museum Events			
15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
Troy -- Rensselaer Polytechnic Institute Scholarships			
15-Apr-10	\$25,000.00	\$12,500.00	\$12,500.00
Troy -- Sage Colleges Scholarships			
29-Oct-10	\$4,200.00	\$0.00	\$4,200.00
Walton -- Walton Historical Society Windows and Insulation			
14-Jul-10	\$500.00	\$0.00	\$500.00
Walton -- William B. Ogden Free Library Reading Group			
2010 Subtotals	\$1,402,701.00	\$371,953.00	\$1,030,748.00
	23.12%	26.52%	73.48%
	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
<u>Grand Totals:</u>	<u>\$6,066,428.00</u>	<u>\$2,638,707.00</u>	<u>\$3,427,721.00</u>
	100.00%	43.50%	56.50%

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or
print

Name of exempt organization

A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.

Employer identification number

16-6063485

File by the
extended
due date for
filing your
return. See
instructions.

Number, street, and room or suite no. If a P.O. box, see instructions.

C/O CHEMUNG CANAL TRUST CO., - PO BOX 1522

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

ELMIRA, NY 14902

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CHEMUNG CANAL TRUST COMPANY, TRUST

• The books are in the care of **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**
Telephone No. **607-737-3896** FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011.**

5 For calendar year **2010**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	27,867.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	37,887.
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Anthony J. Melwack** Title **CRA**

Date **8/9/11**

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.	Employer identification number 16-6063485
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O CHEMUNG CANAL TRUST CO., -PO BOX 1522	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ELMIRA, NY 14902	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CHEMUNG CANAL TRUST COMPANY, TRUST

- The books are in the care of ► **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**

Telephone No. ► **607-737-3896**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2010** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	27,867.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	37,887.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)