Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , **and ending**

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation GRIGG-LEWIS FOUNDATION, INC.	**A Employer identification number** 16-1550858
Number and street (or P O box number if mail is not delivered to street address) 76 WEST AVENUE — Room/suite	**B** Telephone number 716-478-0002
City or town, state, and ZIP code LOCKPORT, NY 14094	**C** If exemption application is pending, check here ▶[ ] **D** 1. Foreign organizations, check here ▶[ ] 2. Foreign organizations meeting the 85% test, check here and attach computation ▶[ ]
H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶[ ]
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 41,874,474. — **J** Accounting method: [ ] Cash [X] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶[ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received	244.		N/A	
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,336,279.	1,336,279.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	<131,675.>			
b	Gross sales price for all assets on line 6a 8,214,422.				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income		6,988.		STATEMENT 2
12	**Total.** Add lines 1 through 11	1,204,848.	1,343,267.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	122,000.	48,800.		73,200.
14	Other employee salaries and wages	30,540.	7,635.		22,905.
15	Pension plans, employee benefits	4,591.	1,148.		3,443.
16a	Legal fees				
b	Accounting fees STMT 3	15,990.	5,597.		10,393.
c	Other professional fees STMT 4	179,947.	179,947.		0.
17	Interest				
18	Taxes STMT 5	31,866.	2,308.		8,609.
19	Depreciation and depletion	1,459.	0.		
20	Occupancy	13,944.	0.		13,944.
21	Travel, conferences, and meetings	9,986.	0.		9,986.
22	Printing and publications				
23	Other expenses STMT 6	25,413.	2,587.		13,041.
24	**Total operating and administrative expenses.** Add lines 13 through 23	435,736.	248,022.		155,521.
25	Contributions, gifts, grants paid	1,600,694.			1,600,694.
26	**Total expenses and disbursements.** Add lines 24 and 25	2,036,430.	248,022.		1,756,215.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<831,582.>			
b	**Net investment income** (if negative, enter -0-)		1,095,245.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash - non-interest-bearing				
2 Savings and temporary cash investments		1,446,141.	1,172,419.	1,172,419.
3 Accounts receivable ▶				
Less: allowance for doubtful accounts ▶				
4 Pledges receivable ▶				
Less: allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ▶	354,770.			
Less: allowance for doubtful accounts ▶	0.	378,000.	354,770.	354,770.
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges		22,357.	19,063.	19,063.
10a Investments - U.S. and state government obligations STMT 9		9,313,906.	9,307,346.	9,307,346.
b Investments - corporate stock STMT 10		22,943,763.	22,810,987.	22,810,987.
c Investments - corporate bonds STMT 11		5,857,489.	7,739,034.	7,739,034.
11 Investments - land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12		141,825.	466,782.	466,782.
14 Land, buildings, and equipment: basis ▶	7,413.			
Less accumulated depreciation STMT 13 ▶	3,340.	2,663.	4,073.	4,073.
15 Other assets (describe ▶)				
16 **Total assets** (to be completed by all filers)		40,106,144.	41,874,474.	41,874,474.
Liabilities 17 Accounts payable and accrued expenses		1,354.	4,688.	
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 **Total liabilities** (add lines 17 through 22)		1,354.	4,688.	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.**	▶ [X]			
24 Unrestricted		40,104,790.	41,869,786.	
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	▶ [ ]			
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 **Total net assets or fund balances**		40,104,790.	41,869,786.	
31 **Total liabilities and net assets/fund balances**		40,106,144.	41,874,474.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,104,790.
2	Enter amount from Part I, line 27a	2	<831,582.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	2,607,773.
4	Add lines 1, 2, and 3	4	41,880,981.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	11,195.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,869,786.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VARIOUS SECURITIES - SEE ATTACHMENT A	P	VARIOUS	VARIOUS
b	VARIOUS SECURITIES - SEE ATTACHMENT B	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,506,011.		6,856,758.	<350,747.>
b	1,688,453.		1,489,339.	199,114.
c	19,958.			19,958.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<350,747.>
b				199,114.
c				19,958.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	<131,675.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,949,980.	35,220,469.	.055365
2008	2,542,198.	41,613,128.	.061091
2007	1,989,754.	47,942,588.	.041503
2006	2,497,542.	44,868,878.	.055663
2005	1,559,923.	43,905,620.	.035529

2	**Total** of line 1, column (d)	2	.249151
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049830
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	38,660,563.
5	Multiply line 4 by line 3	5	1,926,456.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,952.
7	Add lines 5 and 6	7	1,937,408.
8	Enter qualifying distributions from Part XII, line 4	8	1,759,084.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	21,905.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	21,905.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	21,905.
6	Credits/Payments:				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	17,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	17,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	32.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	4,937.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A | Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.GRIGGLEWIS.ORG

14 The books are in care of ▶ WILLIAM B. MAY Telephone no. ▶716-478-0002

Located at ▶76 WEST AVENUE, LOCKPORT, NY ZIP+4 ▶14094

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		122,000.	0.	986.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
HARTFORD FINANCIAL MANAGEMENT 40 PRATT STREET, HARTFORD, CT	INVESTMENT MANAGEMENT	128,166.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2010)

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	38,166,620.
b	Average of monthly cash balances	1b	1,082,683.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	39,249,303.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	39,249,303.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	588,740.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,660,563.
6	**Minimum investment return.** Enter 5% of line 5	6	1,933,028.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,933,028.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	21,905.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	21,905.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,911,123.
4	Recoveries of amounts treated as qualifying distributions			4	23,230.
5	Add lines 3 and 4			5	1,934,353.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,934,353.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,756,215.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	2,869.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,759,084.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,759,084.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,934,353.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			144,354.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 1,759,084.				
a Applied to 2009, but not more than line 2a			144,354.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,614,730.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				319,623.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2010	Prior 3 years (b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT D				1,600,694.
Total			▶ **3a**	1,600,694.
b *Approved for future payment*				
NONE				
Total			▶ **3b**	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,336,279.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<131,675.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,204,604.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 1,204,604.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

023621
12-07-10

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5-11-11 — Title Ex Dir

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN P. SCHIAVONE		5/11/11		

Firm's name ▶ LUMSDEN & MCCORMICK, LLP — Firm's EIN ▶

403 MAIN ST. SUITE 430

Firm's address ▶ BUFFALO, NY 14203 — Phone no. (716)856-3300

2010 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
11	COMPUTERS	06/30/08	200DB	5.00	HY	17	7,413.				7,413.	1,881.		1,459.	3,340.
	* TOTAL 990-PF PG 1 DEPR						7,413.				7,413.	1,881.		1,459.	3,340.

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CAPITAL GAINS DIVIDENDS	19,958.	19,958.	0.
INTEREST AND DIVIDENDS FROM INVESTMENTS	1,294,300.	0.	1,294,300.
LOAN INTEREST	21,000.	0.	21,000.
SECTION 481(A) INTEREST	20,979.	0.	20,979.
TOTAL TO FM 990-PF, PART I, LN 4	1,356,237.	19,958.	1,336,279.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
NA REALTY FUND I LLC	0.	6,988.	
TOTAL TO FORM 990-PF, PART I, LINE 11	0.	6,988.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	15,990.	5,597.		10,393.
TO FORM 990-PF, PG 1, LN 16B	15,990.	5,597.		10,393.

FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	179,947.	179,947.		0.
TO FORM 990-PF, PG 1, LN 16C	179,947.	179,947.		0.

FORM 990-PF | TAXES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	8,817.	2,204.		7,859.
NYS FILING FEE	750.	0.		750.
FEDERAL EXCISE TAX	22,195.	0.		0.
FOREIGN TAXES	104.	104.		0.
TO FORM 990-PF, PG 1, LN 18	31,866.	2,308.		8,609.

FORM 990-PF | OTHER EXPENSES | STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	3,252.	0.		3,252.
INSURANCE	3,365.	0.		3,365.
EQUIPMENT RENTAL	494.	0.		494.
BUSINESS EXPENSE	700.	0.		700.
TELEPHONE	2,347.	0.		2,347.
SECTION 481(A) ADJUSTMENT	9,785.	0.		0.
DUES AND SUBSCRIPTIONS	5,174.	2,587.		2,587.
POSTAGE AND DELIVERY	296.	0.		296.
TO FORM 990-PF, PG 1, LN 23	25,413.	2,587.		13,041.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	2,607,773.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,607,773.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
SECTION 481(A) RECOGNIZED IN CURRENT YEAR (YR 4 OF 4)	11,195.
TOTAL TO FORM 990-PF, PART III, LINE 5	11,195.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT OBLIGATIONS -SEE ATTACHMENT C	X		9,307,346.	9,307,346.
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,307,346.	9,307,346.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,307,346.	9,307,346.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT C	22,810,987.	22,810,987.
TOTAL TO FORM 990-PF, PART II, LINE 10B	22,810,987.	22,810,987.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHMENT C	7,739,034.	7,739,034.
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,739,034.	7,739,034.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	COST	104,142.	104,142.
REAL ESTATE LLC	COST	362,640.	362,640.
TOTAL TO FORM 990-PF, PART II, LINE 13		466,782.	466,782.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTERS	7,413.	3,340.	4,073.
TOTAL TO FM 990-PF, PART II, LN 14	7,413.	3,340.	4,073.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
NORMAN W. SINCLAIR 76 WEST AVENUE LOCKPORT, NY 14094	PRESIDENT 2.00	10,000.	0.	0.
WILLIAM B. MAY 76 WEST AVENUE LOCKPORT, NY 14094	SECRETARY/EXEC DIRECTOR 35.00	82,000.	0.	986.
CHRISTA CALDWELL 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
R. THOMAS WEEKS 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
DAN L. WILSON 76 WEST AVENUE LOCKPORT, NY 14094	TREASURER 2.00	10,000.	0.	0.
MARY MURPHY 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	0.	0.	0.
BRIAN COSTELLO 76 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		122,000.	0.	986.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 15
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CHRIS SMITH
76 WEST STREET
LOCKPORT, NY 14094

TELEPHONE NUMBER

716-478-0002

FORM AND CONTENT OF APPLICATIONS

APPLICATION AVAILABLE ONLINE. APPLICATION CAN BE SUBMITTED ELECTRONICALLY OR BY MAIL.

ANY SUBMISSION DEADLINES

3/5/10, 5/28/10, 9/3/10, 11/19/10

RESTRICTIONS AND LIMITATIONS ON AWARDS

FUNDING PRIORITY IS TO ORGANIZATIONS LOCATED IN THE NIAGARA COUNTY AREA.

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

						Gain Or Loss	
Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Short Term	Long Term
10-27-04	01-15-10	3,408 17	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	3,394 32	3,408 17		13 85
01-20-05	01-15-10	1,249 00	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,247 83	1,249 00		1 17
01-19-05	01-15-10	870 48	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	868 44	870 48		2 04
11-27-00	01-15-10	9 28	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 15	9 28		0 13
03-13-96	01-15-10	36 31	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	36 32	36 31		-0 01
10-20-94	01-15-10	13 71	GNMA Pool #386001 8 000% Due 10-15-24	13 42	13 71		0 29
05-15-97	01-15-10	4 66	GNMA Pool #439538 7 000% Due 04-15-27	4 45	4 66		0 21
01-30-92	01-15-10	21 59	GNMA Pool #318571 8 000% Due 02-15-22	21 59	21 59		0 00
02-01-05	01-25-10	6,529 91	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	6,522 77	6,529 91		7 14
03-26-04	01-25-10	165 02	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	165 77	165 02		-0 75
03-08-06	01-25-10	7,663 84	FNMA 30 YR #256101 5 500% Due 02-01-36	7,514 16	7,663 84		149 68
08-01-03	01-25-10	1,292 33	FNMA 15 YR #736453 5 000% Due 08-01-18	1,286 68	1,292 33		5 65
08-12-04	01-25-10	241 16	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	237 84	241 16		3 32
12-21-04	01-25-10	1,423 01	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,414 78	1,423 01		8 23
05-01-08	01-25-10	4,183 03	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	4,137 28	4,183 03		45 75
05-21-07	02-11-10	1,000	Rydex S&P Eq Wgt Material ETF	58,791 42	49,678 47		-9,112 95
05-21-07	02-11-10	1,000	Rydex S&P Eq Wgt Material ETF	58,791 42	49,678 47		-9,112 95
05-21-07	02-11-10	1,000	Rydex S&P Eq Wgt Material ETF	58,791 42	49,678 47		-9,112 95
05-21-07	02-11-10	1,000	Rydex S&P Eq Wgt Material ETF	58,791 43	49,678 48		-9,112 95
05-21-07	02-11-10	400	Rydex S&P Eq Wgt Material ETF	23,520 57	19,871 39		-3,649 18
05-21-07	02-11-10	1,000	Rydex S&P Eq Wgt Material ETF	58,801 42	49,678 46		-9,122 96
05-21-07	02-11-10	600	Rydex S&P Eq Wgt Material ETF	35,280 85	29,807 08		-5,473 77
05-21-07	02-11-10	500	Rydex S&P Eq Wgt Material ETF	29,400 71	24,839 23		-4,561 48
05-21-07	02-11-10	500	Rydex S&P Eq Wgt Material ETF	29,400 71	24,839 23		-4,561 48
10-15-07	02-11-10	500	Rydex S&P Eq Wgt Material ETF	29,901 66	24,839 23		-5,062 43
10-15-07	02-11-10	500	Rydex S&P Eq Wgt Material ETF	29,901 66	24,839 23		-5,062 43

GRIGG-LEWIS FOUNDATION, INC
EIN. 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-15-07	02-11-10	75	Rydex S&P Eq Wgt Materıal ETF	4,485 25	3,725 88		-759 37
10-15-07	02-11-10	425	Rydex S&P Eq Wgt Materıal ETF	25,403 66	21,113 35		-4,290 31
10-15-07	02-11-10	500	Rydex S&P Eq Wgt Materıal ETF	29,886 66	24,839 23		-5,047 43
10-15-07	02-11-10	500	Rydex S&P Eq Wgt Materıal ETF	29,886 66	24,839 23		-5,047 43
10-15-07	02-11-10	400	Rydex S&P Eq Wgt Materıal ETF	23,909 33	19,867 41		-4,041 92
10-15-07	02-11-10	100	Rydex S&P Eq Wgt Materıal ETF	5,977 33	4,967 84		-1,009 49
05-21-07	02-11-10	2,000	Schlumberger	160,766 63	129,314 38		-31,452 25
05-21-07	02-11-10	800	Schlumberger	64,306 65	51,725 75		-12,580 90
05-21-07	02-11-10	200	Schlumberger	16,076 66	12,931 44		-3,145 22
07-16-07	02-11-10	400	Schlumberger	36,046 66	25,862 87		-10,183 79
07-16-07	02-11-10	100	Schlumberger	9,011 66	6,465 72		-2,545 94
07-16-07	02-11-10	300	Schlumberger	27,025 99	19,397 15		-7,628 84
07-16-07	02-11-10	200	Schlumberger	18,017 33	12,931 71		-5,085 62
07-16-07	02-11-10	200	Schlumberger	18,017 33	12,931 43		-5,085 90
07-16-07	02-11-10	200	Schlumberger	18,017 33	12,931 43		-5,085 90
07-16-07	02-11-10	100	Schlumberger	9,008 66	6,465 71		-2,542 95
10-27-04	02-15-10	2,400 16	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	2,390 41	2,400 16		9 75
01-20-05	02-15-10	1,237 53	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,236 37	1,237 53		1 16
01-19-05	02-15-10	867 81	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	865 78	867 81		2 03
11-27-00	02-15-10	9 34	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 21	9 34		0 13
03-13-96	02-15-10	22 15	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	22 16	22 15		-0 01
10-20-94	02-15-10	13 81	GNMA Pool #386001 8 000% Due 10-15-24	13 52	13 81		0 29
05-15-97	02-15-10	4 69	GNMA Pool #439538 7 000% Due 04-15-27	4 48	4 69		0 21
01-30-92	02-15-10	21 62	GNMA Pool #318571 8 000% Due 02-15-22	21 62	21 62		0 00
02-01-05	02-25-10	9,346 28	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	9,336 06	9,346 28		10 22
03-26-04	02-25-10	181 99	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	182 81	181 99		-0 82
03-08-06	02-25-10	11,110 33	FNMA 30 YR #256101 5 500% Due 02-01-36	10,893 33	11,110 33		217 00
08-01-03	02-25-10	1,258 36	FNMA 15 YR #736453 5 000% Due 08-01-18	1,252 85	1,258 36		5 51
08-12-04	02-25-10	242 32	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	238 99	242 32		3 33
12-21-04	02-25-10	1,117 71	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,111 25	1,117 71		6 46
05-01-08	02-25-10	2,944 58	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,912 37	2,944 58		32 21

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
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Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-27-04	03-15-10	6,301 39	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	6,275 79	6,301 39		25 60
01-20-05	03-15-10	23,735 34	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	23,713 09	23,735 34		22 25
01-19-05	03-15-10	25,493 94	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	25,434 19	25,493 94		59 75
11-27-00	03-15-10	9 33	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 20	9 33		0 13
03-13-96	03-15-10	76 23	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	76 25	76 23		-0 02
10-20-94	03-15-10	13 91	GNMA Pool #386001 8 000% Due 10-15-24	13 62	13 91		0 29
05-15-97	03-15-10	4 72	GNMA Pool #439538 7 000% Due 04-15-27	4 51	4 72		0 21
01-30-92	03-15-10	22 20	GNMA Pool #318571 8 000% Due 02-15-22	22 20	22 20		0 00
02-01-05	03-25-10	4,633 71	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	4,628 64	4,633 71		5 07
03-26-04	03-25-10	103 76	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	104 23	103 76		-0 47
03-08-06	03-25-10	9,541 36	FNMA 30 YR #256101 5 500% Due 02-01-36	9,355 01	9,541 36		186 35
08-01-03	03-25-10	1,295 95	FNMA 15 YR #736453 5 000% Due 08-01-18	1,290 28	1,295 95		5 67
08-12-04	03-25-10	248 77	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	245 35	248 77		3 42
12-21-04	03-25-10	1,001 70	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	995 91	1,001 70		5 79
05-01-08	03-25-10	2,184 80	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,160 90	2,184 80		23 90
10-27-04	04-15-10	4,111 78	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	4,095 08	4,111 78		16 70
01-20-05	04-15-10	24,558 59	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	24,535 57	24,558 59		23 02
01-19-05	04-15-10	27,570 24	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	27,505 62	27,570 24		64 62
11-27-00	04-15-10	9 43	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 30	9 43		0 13
03-13-96	04-15-10	29 18	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	29 19	29 18		-0 01
10-20-94	04-15-10	15 35	GNMA Pool #386001 8 000% Due 10-15-24	15 03	15 35		0 32
05-15-97	04-15-10	4 75	GNMA Pool #439538 7 000% Due 04-15-27	4 54	4 75		0 21
01-30-92	04-15-10	21 77	GNMA Pool #318571 8 000% Due 02-15-22	21 77	21 77		0 00

GRIGG-LEWIS FOUNDATION, INC
EIN. 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-06-05	04-15-10	500,000	HSBC Finance Corp 4 750% Due 04-15-10	504,680 00	500,000 00		-4,680 00
02-01-05	04-25-10	5,429 56	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	5,423 62	5,429 56		5 94
03-26-04	04-25-10	2,194 82	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,204 77	2,194 82		-9 95
03-08-06	04-25-10	10,909 63	FNMA 30 YR #256101 5 500% Due 02-01-36	10,696 55	10,909 63		213 08
08-01-03	04-25-10	1,249 77	FNMA 15 YR #736453 5 000% Due 08-01-18	1,244 30	1,249 77		5 47
08-12-04	04-25-10	289 16	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	285 18	289 16		3 98
12-21-04	04-25-10	15,971 39	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	15,879 04	15,971 39		92 35
05-01-08	04-25-10	3,308 02	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	3,271 84	3,308 02		36 18
05-16-03	05-14-10	8,000	BP Amoco PLC	327,360 00	372,747 39		45,387 39
02-11-10	05-14-10	4,600	BP Amoco PLC	251,669 63	214,329 75	-37,339 88	
02-11-10	05-14-10	200	BP Amoco PLC	10,942 16	9,318 68	-1,623 48	
02-11-10	05-14-10	100	BP Amoco PLC	5,471 08	4,659 34	-811 74	
02-11-10	05-14-10	100	BP Amoco PLC	5,471 07	4,659 35	-811 72	
10-27-04	05-15-10	4,834 17	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	4,814 53	4,834 17		19 64
01-20-05	05-15-10	35,339 74	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	35,306 61	35,339 74		33 13
01-19-05	05-15-10	789 76	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	787 91	789 76		1 85
11-27-00	05-15-10	55 04	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	54 26	55 04		0 78
03-13-96	05-15-10	7 39	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	7 39	7 39		0 00
10-20-94	05-15-10	14 15	GNMA Pool #386001 8 000% Due 10-15-24	13 85	14 15		0 30
05-15-97	05-15-10	4 78	GNMA Pool #439538 7 000% Due 04-15-27	4 57	4 78		0 21
01-30-92	05-15-10	21 96	GNMA Pool #318571 8 000% Due 02-15-22	21 96	21 96		0 00
11-12-09	05-18-10	1,200	Rydex S&P Eq Wgt Technology	51,240 89	54,815 37	3,574 48	
11-12-09	05-18-10	1,200	Rydex S&P Eq Wgt Technology	51,240 89	54,814 17	3,573 28	
11-12-09	05-18-10	1,000	Rydex S&P Eq Wgt Technology	42,700 74	45,688 47	2,987 73	
11-12-09	05-18-10	1,000	Rydex S&P Eq Wgt Technology	42,700 74	45,678 47	2,977 73	
11-12-09	05-18-10	600	Rydex S&P Eq Wgt Technology	25,620 45	27,425 08	1,804 63	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,810 22	13,709 54	899 32	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,810 22	13,709 54	899 32	

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-12-09	05-18-10	600	Rydex S&P Eq Wgt Technology	25,620 45	27,413 08	1,792 63	
11-12-09	05-18-10	600	Rydex S&P Eq Wgt Technology	25,620 45	27,407 08	1,786 63	
11-12-09	05-18-10	500	Rydex S&P Eq Wgt Technology	21,350 37	22,834 23	1,483 86	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,270 07	4,566 85	296 77	
11-12-09	05-18-10	500	Rydex S&P Eq Wgt Technology	21,350 37	22,834 24	1,483 86	
11-12-09	05-18-10	400	Rydex S&P Eq Wgt Technology	17,080 30	18,267 39	1,187 09	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,270 07	4,566 85	296 77	
11-12-09	05-18-10	500	Rydex S&P Eq Wgt Technology	21,350 37	22,834 24	1,483 86	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,810 22	13,712 54	902 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,810 22	13,709 54	899 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,807 22	13,706 54	899 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,807 22	13,703 54	896 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,807 22	13,700 54	893 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,807 22	13,700 54	893 31	
11-12-09	05-18-10	300	Rydex S&P Eq Wgt Technology	12,804 22	13,700 54	896 31	
11-12-09	05-18-10	200	Rydex S&P Eq Wgt Technology	8,536 15	9,141 69	605 54	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,268 07	4,568 94	300 86	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,268 07	4,568 95	300 87	
11-12-09	05-18-10	200	Rydex S&P Eq Wgt Technology	8,536 15	9,135 69	599 54	
11-12-09	05-18-10	200	Rydex S&P Eq Wgt Technology	8,536 15	9,133 89	597 74	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,268 07	4,566 84	298 76	
11-12-09	05-18-10	100	Rydex S&P Eq Wgt Technology	4,268 07	4,566 85	298 78	
02-01-05	05-25-10	3,128 79	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	3,125 37	3,128 79		3 42
03-26-04	05-25-10	2,179 86	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,189 74	2,179 86		-9 88
03-08-06	05-25-10	11,649 88	FNMA 30 YR #256101 5 500% Due 02-01-36	11,422 34	11,649 88		227 54
08-01-03	05-25-10	1,483 12	FNMA 15 YR #736453 5 000% Due 08-01-18	1,476 63	1,483 12		6 49
08-12-04	05-25-10	6,287 10	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	6,200 65	6,287 10		86 45
12-21-04	05-25-10	20,509 70	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	20,391 11	20,509 70		118 59

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.

REALIZED GAINS AND LOSSES

Grigg-Lewis Foundation, Inc.

HFM - Managed

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-01-08	05-25-10	2,860 94	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,829 65	2,860 94		31 29
03-25-02	05-25-10	1,000	Hartford Financial Services Group	66,759 95	23,787 04		-42,972 91
11-21-02	05-25-10	500	Hartford Financial Services Group	23,619 95	11,893 52		-11,726 43
05-16-03	05-25-10	1,100	Hartford Financial Services Group	55,021 72	26,165 74		-28,855 98
10-28-03	05-25-10	900	Hartford Financial Services Group	48,503 95	21,408 34		-27,095 61
10-27-04	06-15-10	4,397 32	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	4,379 46	4,397 32		17 86
01-20-05	06-15-10	23,919 65	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	23,897 23	23,919 65		22 42
01-19-05	06-15-10	934 61	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	932 42	934 61		2 19
11-27-00	06-15-10	5 08	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 01	5 08		0 07
03-13-96	06-15-10	26 39	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	26 40	26 39		-0 01
10-20-94	06-15-10	14 25	GNMA Pool #386001 8 000% Due 10-15-24	13 95	14 25		0 30
05-15-97	06-15-10	4 81	GNMA Pool #439538 7 000% Due 04-15-27	4 59	4 81		0 22
01-30-92	06-15-10	22 07	GNMA Pool #318571 8 000% Due 02-15-22	22 07	22 07		0 00
11-06-08	06-17-10	4,600	Rydex S&P Eq Wgt HealthCare	187,817 44	260,363 06		72,545 62
11-06-08	06-17-10	1,000	Rydex S&P Eq Wgt HealthCare	40,829 88	56,598 66		15,768 78
11-06-08	06-17-10	800	Rydex S&P Eq Wgt HealthCare	32,663 90	45,278 93		12,615 03
11-06-08	06-17-10	100	Rydex S&P Eq Wgt HealthCare	4,082 99	5,660 06		1,577 07
02-01-05	06-25-10	17,308 28	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	17,289 34	17,308 28		18 94
03-26-04	06-25-10	189 60	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	190 46	189 60		-0 86
03-08-06	06-25-10	38,861 20	FNMA 30 YR #256101 5 500% Due 02-01-36	38,102 19	38,861 20		759 01
08-01-03	06-25-10	1,319 16	FNMA 15 YR #736453 5 000% Due 08-01-18	1,313 39	1,319 16		5 77
08-12-04	06-25-10	251 12	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	247 67	251 12		3 45
12-21-04	06-25-10	75,960 23	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	75,521 03	75,960 23		439 20
05-01-08	06-25-10	4,249 60	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	4,203 12	4,249 60		46 48
09-16-04	07-01-10	1	Frontier Communications Corp	7 22	4 84		-2 38
09-12-90	07-06-10	468	Frontier Communications Corp	2,738 96	3,295 63		556 67

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-21-91	07-06-10	336	Frontier Communications Corp	2,087 38	2,364 88		277 50
05-29-91	07-06-10	336	Frontier Communications Corp	2,032 41	2,364 88		332 47
11-03-03	07-06-10	336	Frontier Communications Corp	2,897 22	2,364 88		-532 34
09-16-04	07-06-10	1,224	Frontier Communications Corp	12,866 70	8,610 07		-4,256 63
10-27-04	07-15-10	3,846 67	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	3,831 04	3,846 67		15 63
01-20-05	07-15-10	1,154 85	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,153 77	1,154 85		1 08
01-19-05	07-15-10	760 73	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	758 95	760 73		1 78
11-27-00	07-15-10	5 11	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 04	5 11		0 07
03-13-96	07-15-10	21 32	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	21 33	21 32		-0 01
10-20-94	07-15-10	14 32	GNMA Pool #386001 8 000% Due 10-15-24	14 02	14 32		0 30
05-15-97	07-15-10	4 84	GNMA Pool #439538 7 000% Due 04-15-27	4 62	4 84		0 22
01-30-92	07-15-10	30 58	GNMA Pool #318571 8 000% Due 02-15-22	30 58	30 58		0 00
02-01-05	07-25-10	5,642 96	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	5,636 79	5,642 96		6 17
03-26-04	07-25-10	3,455 10	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	3,470 76	3,455 10		-15 66
03-08-06	07-25-10	12,377 22	FNMA 30 YR #256101 5 500% Due 02-01-36	12,135 48	12,377 22		241 74
08-01-03	07-25-10	1,367 89	FNMA 15 YR #736453 5 000% Due 08-01-18	1,361 91	1,367 89		5 98
08-12-04	07-25-10	251 01	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	247 56	251 01		3 45
12-21-04	07-25-10	902 35	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	897 13	902 35		5 22
05-01-08	07-25-10	1,602 81	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	1,585 28	1,602 81		17 53
03-04-09	08-11-10	16,492 201	Federated U S Govt 1-3 Yr Inst	178,940 38	180,424 68		1,484 30
03-31-09	08-11-10	42 501	Federated U S Govt 1-3 Yr Inst	463 26	464 96		1 70
03-31-09	08-11-10	39 910	Federated U S Govt 1-3 Yr Inst	435 02	436 62		1 60
04-30-09	08-11-10	75 630	Federated U S Govt 1-3 Yr Inst	822 10	827 39		5 29
04-30-09	08-11-10	10 424	Federated U S Govt 1-3 Yr Inst	113 31	114 04		0 73
05-29-09	08-11-10	53 442	Federated U S Govt 1-3 Yr Inst	579 85	584 66		4 81
05-29-09	08-11-10	0 003	Federated U S Govt 1-3 Yr Inst	0 03	0 03		0 00

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

						Gain Or Loss	
Open Date	**Close Date**	**Quantity**	**Security**	**Cost Basis**	**Proceeds**	**Short Term**	**Long Term**
06-30-09	08-11-10	24 894	Federated U S Govt 1-3 Yr Inst	269 35	272 34		2 99
07-31-09	08-11-10	26 329	Federated U S Govt 1-3 Yr Inst	285 14	288 04		2 90
08-31-09	08-11-10	26 897	Federated U S Govt 1-3 Yr Inst	291 83	294 25	2 42	
09-16-09	08-11-10	1,489 305	Federated U S Govt 1-3 Yr Inst	16,158 96	16,292 99	134 03	
10-27-04	08-15-10	5,938 96	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	5,914 83	5,938 96		24 13
01-20-05	08-15-10	1,178 90	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,177 79	1,178 90		1 11
01-19-05	08-15-10	778 56	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	776 74	778 56		1 82
11-27-00	08-15-10	5 14	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 07	5 14		0 07
03-13-96	08-15-10	19 94	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	19 95	19 94		-0 01
10-20-94	08-15-10	14 42	GNMA Pool #386001 8 000% Due 10-15-24	14 12	14 42		0 30
05-15-97	08-15-10	4 87	GNMA Pool #439538 7 000% Due 04-15-27	4 65	4 87		0 22
01-30-92	08-15-10	22 50	GNMA Pool #318571 8 000% Due 02-15-22	22 50	22 50		0 00
02-01-05	08-25-10	15,097 46	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	15,080 94	15,097 46		16 52
03-26-04	08-25-10	3,152 83	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	3,167 12	3,152 83		-14 29
03-08-06	08-25-10	10,037 73	FNMA 30 YR #256101 5 500% Due 02-01-36	9,841 68	10,037 73		196 05
08-01-03	08-25-10	1,239 36	FNMA 15 YR #736453 5 000% Due 08-01-18	1,233 94	1,239 36		5 42
08-12-04	08-25-10	6,442 55	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	6,353 96	6,442 55		88 59
12-21-04	08-25-10	31,412 35	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	31,230 72	31,412 35		181 63
05-01-08	08-25-10	2,538 11	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,510 35	2,538 11		27 76
07-16-07	09-02-10	66	Adobe Systems Inc	2,702 17	1,919 27		-782 90
07-16-07	09-02-10	100	Adobe Systems Inc	4,094 20	2,907 99		-1,186 21
07-16-07	09-02-10	150	Adobe Systems Inc	6,141 30	4,361 98		-1,779 32
07-16-07	09-02-10	500	Adobe Systems Inc	20,471 00	14,539 93		-5,931 07
07-16-07	09-02-10	4,184	Adobe Systems Inc	171,284 54	121,670 15		-49,614 39
10-11-07	09-02-10	635	Adobe Systems Inc	29,114 10	18,465 71		-10,648 39
10-11-07	09-02-10	1,365	Adobe Systems Inc	62,583 85	39,706 16		-22,877 69
10-15-07	09-02-10	100	Adobe Systems Inc	4,576 33	2,908 88		-1,667 45
10-15-07	09-02-10	100	Adobe Systems Inc	4,579 34	2,908 87		-1,670 47
10-15-07	09-02-10	35	Adobe Systems Inc	1,602 77	1,018 11		-584 66
10-15-07	09-02-10	65	Adobe Systems Inc	2,976 56	1,890 77		-1,085 79
10-15-07	09-02-10	100	Adobe Systems Inc	4,579 33	2,908 87		-1,670 46
10-15-07	09-02-10	100	Adobe Systems Inc	4,577 33	2,908 88		-1,668 45
10-15-07	09-02-10	100	Adobe Systems Inc	4,577 33	2,908 87		-1,668 46

GRIGG-LEWIS FOUNDATION, INC
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-15-07	09-02-10	100	Adobe Systems Inc	4,577 33	2,908 88		-1,668 45
10-15-07	09-02-10	100	Adobe Systems Inc	4,577 33	2,908 87		-1,668 46
10-15-07	09-02-10	100	Adobe Systems Inc	4,577 33	2,908 88		-1,668 45
10-15-07	09-02-10	200	Adobe Systems Inc	9,152 66	5,817 75		-3,334 91
10-15-07	09-02-10	135	Adobe Systems Inc	6,179 40	3,926 98		-2,252 42
10-15-07	09-02-10	265	Adobe Systems Inc	12,129 93	7,708 52		-4,421 41
10-15-07	09-02-10	135	Adobe Systems Inc	6,182 10	3,926 98		-2,255 12
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,819 75		-3,338 91
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,817 83		-3,340 83
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,817 83		-3,340 83
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,817 75		-3,340 91
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,817 75		-3,340 91
10-15-07	09-02-10	200	Adobe Systems Inc	9,158 66	5,817 75		-3,340 91
10-15-07	09-02-10	165	Adobe Systems Inc	7,555 90	4,799 64		-2,756 26
02-12-08	09-02-10	35	Adobe Systems Inc	1,215 01	1,018 11		-196 90
02-12-08	09-02-10	65	Adobe Systems Inc	2,256 46	1,891 41		-365 05
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,222 15		-235 88
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 73		-325 74
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,222 15		-235 88
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 20		-326 27
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 76		-236 27
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 19		-326 28
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 76		-236 27
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 15		-326 32
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 45	1,221 73		-236 72
02-12-08	09-02-10	58	Adobe Systems Inc	2,014 05	1,687 15		-326 90
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 73		-236 30
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 15		-326 32
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 73		-236 30
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 15		-326 32
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 73		-236 30
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 15		-326 32
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 73		-236 30
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	58	Adobe Systems Inc	2,013 47	1,687 15		-326 32
02-12-08	09-02-10	42	Adobe Systems Inc	1,458 03	1,221 73		-236 30
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 88		-562 62
02-12-08	09-02-10	100	Adobe Systems Inc	3,471 50	2,908 81		-562 69
02-12-08	09-02-10	95	Adobe Systems Inc	3,297 92	2,763 43		-534 49
02-12-08	09-02-10	63	Adobe Systems Inc	2,187 04	1,833 21		-353 83
06-08-93	09-02-10	4,384	Morgan Stanley	1,344 24	111,303 96		109,959 72
05-16-03	09-02-10	1,416	Morgan Stanley	52,340 67	35,950 37		-16,390 30
05-16-03	09-02-10	1,880	Morgan Stanley	69,491 84	47,730 70		-21,761 14
10-15-07	09-02-10	100	Morgan Stanley	6,591 43	2,538 87		-4,052 56
10-15-07	09-02-10	100	Morgan Stanley	6,591 43	2,538 87		-4,052 56
10-15-07	09-02-10	100	Morgan Stanley	6,591 43	2,538 87		-4,052 56
10-15-07	09-02-10	100	Morgan Stanley	6,591 43	2,538 87		-4,052 56
10-15-07	09-02-10	100	Morgan Stanley	6,590 43	2,538 86		-4,051 57
10-15-07	09-02-10	200	Morgan Stanley	13,182 86	5,077 73		-8,105 13
10-15-07	09-02-10	85	Morgan Stanley	5,602 71	2,158 04		-3,444 67
10-15-07	09-02-10	135	Morgan Stanley	8,898 43	3,427 52		-5,470 91
10-15-07	09-02-10	65	Morgan Stanley	4,283 91	1,650 29		-2,633 62
10-15-07	09-02-10	200	Morgan Stanley	13,181 26	5,077 73		-8,103 53
10-15-07	09-02-10	200	Morgan Stanley	13,181 26	5,077 73		-8,103 53
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	100	Morgan Stanley	6,590 63	2,538 86		-4,051 77
10-15-07	09-02-10	35	Morgan Stanley	2,306 72	888 64		-1,418 08
10-27-04	09-15-10	6,904 32	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	6,876 27	6,904 32		28 05
01-20-05	09-15-10	22,486 36	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	22,465 28	22,486 36		21 08
01-19-05	09-15-10	40,426 02	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	40,331 27	40,426 02		94 75
11-27-00	09-15-10	5 18	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 11	5 18		0 07
03-13-96	09-15-10	26 51	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	26 52	26 51		-0 01
10-20-94	09-15-10	14 52	GNMA Pool #386001 8 000% Due 10-15-24	14 22	14 52		0 30
05-15-97	09-15-10	4 90	GNMA Pool #439538 7 000% Due 04-15-27	4 68	4 90		0 22
01-30-92	09-15-10	30 91	GNMA Pool #318571 8 000% Due 02-15-22	30 91	30 91		0 00
02-01-05	09-25-10	14,745 91	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	14,729 78	14,745 91		16 13
03-26-04	09-25-10	4,904 28	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	4,926 50	4,904 28		-22 22
03-08-06	09-25-10	14,924 24	FNMA 30 YR #256101 5 500% Due 02-01-36	14,632 75	14,924 24		291 49
08-01-03	09-25-10	1,372 45	FNMA 15 YR #736453 5 000% Due 08-01-18	1,366 45	1,372 45		6 00
08-12-04	09-25-10	266 49	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	262 83	266 49		3 66
12-21-04	09-25-10	906 99	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	901 75	906 99		5 24
05-01-08	09-25-10	4,034 91	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	3,990 78	4,034 91		44 13
04-17-09	10-15-10	100,000	John Deere Capital Corp 3 750% Due 10-15-10	100,025 00	100,000 00		-25 00
10-27-04	10-15-10	7,933 67	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	7,901 44	7,933 67		32 23
01-20-05	10-15-10	1,184 56	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,183 45	1,184 56		1 11
01-19-05	10-15-10	43,320 02	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	43,218 49	43,320 02		101 53

GRIGG-LEWIS FOUNDATION, INC
EIN. 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.

REALIZED GAINS AND LOSSES

Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-27-00	10-15-10	5 21	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 14	5 21		0 07
03-13-96	10-15-10	37 81	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	37 82	37 81		-0 01
05-15-97	10-15-10	5 03	GNMA Pool #439538 7 000% Due 04-15-27	4 80	5 03		0 23
01-30-92	10-15-10	22 88	GNMA Pool #318571 8 000% Due 02-15-22	22 88	22 88		0 00
10-20-94	10-15-10	4,729 44	GNMA Pool #386001 8 000% Due 10-15-24	4,630 42	4,729 44		99 02
02-01-05	10-25-10	15,533 88	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	15,516 89	15,533 88		16 99
03-26-04	10-25-10	1,999 01	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,008 07	1,999 01		-9 06
03-08-06	10-25-10	10,766 05	FNMA 30 YR #256101 5 500% Due 02-01-36	10,555 78	10,766 05		210 27
08-01-03	10-25-10	10,204 05	FNMA 15 YR #736453 5 000% Due 08-01-18	10,159 41	10,204 05		44 64
08-12-04	10-25-10	235 31	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	232 07	235 31		3 24
12-21-04	10-25-10	1,043 14	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,037 11	1,043 14		6 03
05-01-08	10-25-10	4,438 80	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	4,390 25	4,438 80		48 55
09-16-09	11-11-10	7,727 285	Federated U S Govt 1-3 Yr Inst	83,841 04	84,459 23		618 19
09-30-09	11-11-10	22 063	Federated U S Govt 1-3 Yr Inst	239 60	241 15		1 55
09-30-09	11-11-10	5 448	Federated U S Govt 1-3 Yr Inst	59 17	59 55		0 38
10-30-09	11-11-10	21 497	Federated U S Govt 1-3 Yr Inst	233 67	234 96		1 29
10-30-09	11-11-10	6 434	Federated U S Govt 1-3 Yr Inst	69 94	70 32		0 38
11-17-09	11-11-10	18,365 473	Federated U S Govt 1-3 Yr Inst	200,000 00	200,734 62	734 62	
11-30-09	11-11-10	23 763	Federated U S Govt 1-3 Yr Inst	259 25	259 73	0 48	
11-30-09	11-11-10	6 770	Federated U S Govt 1-3 Yr Inst	73 86	74 00	0 14	
12-30-09	11-11-10	18,450 185	Federated U S Govt 1-3 Yr Inst	200,000 00	201,660 52	1,660 52	
12-31-09	11-11-10	26 820	Federated U S Govt 1-3 Yr Inst	290 46	293 14	2 68	
12-31-09	11-11-10	11 526	Federated U S Govt 1-3 Yr Inst	124 83	125 98	1 15	
01-29-10	11-11-10	41 524	Federated U S Govt 1-3 Yr Inst	451 78	453 86	2 08	
01-29-10	11-11-10	17 219	Federated U S Govt 1-3 Yr Inst	187 34	188 20	0 86	
02-26-10	11-11-10	57 244	Federated U S Govt 1-3 Yr Inst	622 81	625 68	2 87	
02-26-10	11-11-10	1 131	Federated U S Govt 1-3 Yr Inst	12 31	12 36	0 05	

GRIGG-LEWIS FOUNDATION, INC
EIN. 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.

REALIZED GAINS AND LOSSES

Grigg-Lewis Foundation, Inc.

HFM - Managed

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-25-10	11-11-10	9,225 092	Federated U S Govt 1-3 Yr Inst	100,000 00	100,830 26	830 26	
03-31-10	11-11-10	64 205	Federated U S Govt 1-3 Yr Inst	696 62	701 76	5 14	
03-31-10	11-11-10	1 816	Federated U S Govt 1-3 Yr Inst	19 70	19 85	0 15	
04-30-10	11-11-10	62 793	Federated U S Govt 1-3 Yr Inst	682 56	686 33	3 77	
04-30-10	11-11-10	8 139	Federated U S Govt 1-3 Yr Inst	88 47	88 96	0 49	
05-05-10	11-11-10	18,382 353	Federated U S Govt 1-3 Yr Inst	200,000 00	200,919 11	919 11	
05-28-10	11-11-10	76 354	Federated U S Govt 1-3 Yr Inst	831 50	834 55	3 05	
05-28-10	11-11-10	16 378	Federated U S Govt 1-3 Yr Inst	178 36	179 01	0 65	
06-10-10	11-11-10	50 047	Federated U S Govt 1-3 Yr Inst	544 51	547 01	2 50	
06-30-10	11-11-10	90 163	Federated U S Govt 1-3 Yr Inst	985 48	985 48	0 00	
06-30-10	11-11-10	5 151	Federated U S Govt 1-3 Yr Inst	56 30	56 30	0 00	
07-30-10	11-11-10	78 693	Federated U S Govt 1-3 Yr Inst	860 90	860 12	-0 78	
10-27-04	11-15-10	7,010 11	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	6,981 63	7,010 11		28 48
01-20-05	11-15-10	7,366 13	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	7,359 22	7,366 13		6 91
01-19-05	11-15-10	11,472 55	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	11,445 66	11,472 55		26 89
11-27-00	11-15-10	5 25	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 18	5 25		0 07
03-13-96	11-15-10	34 56	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	34 57	34 56		-0 01
05-15-97	11-15-10	5 02	GNMA Pool #439538 7 000% Due 04-15-27	4 79	5 02		0 23
01-30-92	11-15-10	22 99	GNMA Pool #318571 8 000% Due 02-15-22	22 99	22 99		0 00
02-01-05	11-25-10	18,016 24	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	17,996 53	18,016 24		19 71
03-26-04	11-25-10	80 65	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	81 02	80 65		-0 37
03-08-06	11-25-10	13,700 56	FNMA 30 YR #256101 5 500% Due 02-01-36	13,432 97	13,700 56		267 59
08-01-03	11-25-10	1,202 72	FNMA 15 YR #736453 5 000% Due 08-01-18	1,197 46	1,202 72		5 26
08-12-04	11-25-10	6,254 93	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	6,168 92	6,254 93		86 01
12-21-04	11-25-10	16,595 39	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	16,499 44	16,595 39		95 95
05-01-08	11-25-10	3,855 75	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	3,813 58	3,855 75		42 17

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-18-10	12-10-10	3,262 446	American EuroPacific Grth F2	128,203 23	134,950 05	6,746 82	
11-24-03	12-14-10	625	Covidien LTD	17,379 95	27,886 41		10,506 46
01-30-04	12-14-10	375	Covidien LTD	12,601 57	16,731 84		4,130 27
09-16-04	12-14-10	375	Covidien LTD	14,625 64	16,731 84		2,106 20
01-27-05	12-14-10	125	Covidien LTD	5,661 01	5,577 28		-83 73
02-08-06	12-14-10	1,000	Covidien LTD	32,288 92	44,618 25		12,329 33
09-20-07	12-14-10	100	Covidien LTD	4,240 25	4,461 83		221 58
09-20-07	12-14-10	100	Covidien LTD	4,238 25	4,461 82		223 57
09-20-07	12-14-10	283	Covidien LTD	11,997 07	12,626 97		629 90
09-20-07	12-14-10	300	Covidien LTD	12,714 75	13,385 47		670 72
09-20-07	12-14-10	300	Covidien LTD	12,714 75	13,385 48		670 73
09-20-07	12-14-10	376	Covidien LTD	15,935 82	16,776 46		840 64
09-20-07	12-14-10	24	Covidien LTD	1,017 18	1,070 86		53 68
09-20-07	12-14-10	417	Covidien LTD	17,681 84	18,606 23		924 39
09-20-07	12-14-10	1,259	Covidien LTD	53,374 65	56,175 64		2,800 99
09-20-07	12-14-10	841	Covidien LTD	35,653 76	37,524 80		1,871 04
10-15-07	12-14-10	59	Covidien LTD	2,448 64	2,632 54		183 90
10-15-07	12-14-10	800	Covidien LTD	33,201 96	35,695 40		2,493 44
10-15-07	12-14-10	141	Covidien LTD	5,851 85	6,295 40		443 55
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,929 65		438 72
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,931 65		440 72
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,931 65		440 72
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,931 65		440 72
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,931 65		440 72
12-10-07	12-14-10	200	Covidien LTD	8,490 93	8,931 65		440 72
12-10-07	12-14-10	100	Covidien LTD	4,245 46	4,465 93		220 47
12-10-07	12-14-10	100	Covidien LTD	4,245 46	4,465 87		220 41
12-10-07	12-14-10	100	Covidien LTD	4,245 46	4,465 82		220 36
10-27-04	12-15-10	7,065 29	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	7,036 59	7,065 29		28 70
01-20-05	12-15-10	1,087 52	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,086 50	1,087 52		1 02
01-19-05	12-15-10	19,605 11	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	19,559 16	19,605 11		45 95
11-27-00	12-15-10	5 28	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	5 20	5 28		0 08
03-13-96	12-15-10	6 88	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	6 88	6 88		0 00
05-15-97	12-15-10	5 01	GNMA Pool #439538 7 000% Due 04-15-27	4 78	5 01		0 23
01-30-92	12-15-10	23 15	GNMA Pool #318571 8 000% Due 02-15-22	23 15	23 15		0 00
02-22-06	12-25-10	21,836 41	Banc of America Funding Corp 2005-7 4A3 5 750% Due 11-25-35	21,648 75	21,836 41		187 66
02-01-05	12-25-10	15,761 41	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	15,744 17	15,761 41		17 24
03-26-04	12-25-10	2,483 98	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,495 24	2,483 98		-11 26
03-08-06	12-25-10	12,245 53	FNMA 30 YR #256101 5 500% Due 02-01-36	12,006 36	12,245 53		239 17

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-01-03	12-25-10	27,072 84	FNMA 15 YR #736453 5 000% Due 08-01-18	26,954 40	27,072 84		118 44
08-12-04	12-25-10	227 21	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	224 09	227 21		3 12
12-21-04	12-25-10	855 99	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	851 04	855 99		4 95
05-01-08	12-25-10	3,425 15	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	3,387 69	3,425 15		37 46
10-11-02	12-30-10	2,000	Applied Materials Inc	24,300 00	28,360 80		4,060 80
11-21-02	12-30-10	1,000	Applied Materials Inc	16,948 95	14,180 40		-2,768 55
10-24-03	12-30-10	1,500	Applied Materials Inc	32,848 50	21,270 61		-11,577 89
12-02-04	12-30-10	1,500	Applied Materials Inc	26,438 45	21,270 60		-5,167 85
12-30-04	12-30-10	300	Applied Materials Inc	5,125 49	4,254 12		-871 37
12-30-04	12-30-10	1,700	Applied Materials Inc	29,039 36	24,106 69		-4,932 67
09-27-05	12-30-10	400	Applied Materials Inc	6,681 95	5,672 16		-1,009 79
09-27-05	12-30-10	1,600	Applied Materials Inc	26,727 80	22,688 65		-4,039 15
03-08-06	12-30-10	3,800	Applied Materials Inc	68,761 00	53,885 53		-14,875 47
03-08-06	12-30-10	1,200	Applied Materials Inc	21,714 00	17,015 29		-4,698 71
04-10-06	12-30-10	2,000	Applied Materials Inc	35,350 00	28,358 82		-6,991 18
04-10-06	12-30-10	1,900	Applied Materials Inc	33,582 50	26,942 76		-6,639 74
04-10-06	12-30-10	500	Applied Materials Inc	8,837 50	7,090 25		-1,747 25
04-10-06	12-30-10	500	Applied Materials Inc	8,837 50	7,089 85		-1,747 65
04-10-06	12-30-10	100	Applied Materials Inc	1,767 50	1,417 97		-349 53
11-16-06	12-30-10	300	Applied Materials Inc	5,421 00	4,253 91		-1,167 09
11-16-06	12-30-10	400	Applied Materials Inc	7,228 00	5,671 88		-1,556 12
11-16-06	12-30-10	400	Applied Materials Inc	7,228 00	5,671 84		-1,556 16
11-16-06	12-30-10	400	Applied Materials Inc	7,228 00	5,671 84		-1,556 16
11-16-06	12-30-10	300	Applied Materials Inc	5,421 00	4,253 85		-1,167 15
11-16-06	12-30-10	239	Applied Materials Inc	4,318 73	3,388 94		-929 79
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,836 88		-777 12
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 94		-778 06
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 94		-778 06
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 94		-778 06
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 94		-778 06
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 92		-778 08
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 92		-778 08
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 90		-778 10
11-16-06	12-30-10	200	Applied Materials Inc	3,614 00	2,835 90		-778 10
11-16-06	12-30-10	185	Applied Materials Inc	3,342 95	2,623 21		-719 74
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 97		-389 03
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 97		-389 03
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 96		-389 04
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 96		-389 04
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 96		-389 04
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 96		-389 04
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 95		-389 05
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 95		-389 05
11-16-06	12-30-10	100	Applied Materials Inc	1,807 00	1,417 95		-389 05
11-16-06	12-30-10	76	Applied Materials Inc	1,373 32	1,077 65		-295 67
TOTAL GAINS						46,864.12	315,964 09
TOTAL LOSSES						-40,587.60	-672,986.92
				6,856,757.63	**6,506,011.32**	**6,276.52**	**-357,022.83**

TOTAL REALIZED GAIN/LOSS -350,746 31

GRIGG-LEWIS FOUNDATION, INC
EIN 16-1550858
ATTACHMENT B

HSBC BANK USA, NA   ADMIN   PG   1

GAIN/LOSS PROFILE REPORT FROM 01/01/10 TO 12/31/10   04/05/11 11:40

ACCOUNT#: 12-629690   GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858   ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADN: 53 MGR: 100

QUALIFICATION CRITERIA FOR REPORTING

REPORTING FOR ACCOUNT: 12-629690 (ID: 247) GRIGG LEWIS FDN INC - MANNING
MINOR ASSSET CLASSES EXCLUDED:
1 2 3 5 6 20 31
CASH RECEIPTS INCLUDED FOR THE FOLLOWING CODES:
SHORT TERM RECEIPT CODES:
304
LONG TERM RECEIPT CODES:
344

SUMMARY WILL BE SORTED BY DATE OF ENTRY

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION			DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
1/14/10	440.00000	NOKIA CORP SPNSD ADR			4/20/09	1/11/10	5754.39	6405.39	-651.00
1/15/10	17386.67000	GNMA PL #669081	5.500%	6/15/37	7/24/09	1/15/10	17386.67	18000.64	-613.97
1/25/10	3227.44000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	1/25/10	3227.44	3294.01	-66.57
1/25/10	5017.40000	FNMA PL #889260	5.000%	4/01/38	7/24/09	1/25/10	5017.40	5102.07	-84.67
1/25/10	478.94000	FNMA PL #917049	5.000%	5/01/37	7/24/09	1/25/10	478.94	487.02	-8.08
1/25/10	1694.24000	FNMA PL #956420	6.000%	12/01/37	7/24/09	1/25/10	1694.24	1774.45	-80.21
1/25/10	8380.69000	FNMA PL #988714	6%	10/01/38	7/24/09	1/25/10	8380.69	8777.47	-396.78
2/03/10	1030.00000	GENERAL MLS INC			4/08/09	1/29/10	74045.34	52288.37	21756.97
2/04/10	1360.00000	KELLOGG CO			4/07/09	2/01/10	74226.12	52991.58	21234.54
2/16/10	4034.81000	GNMA PL #669081	5.500%	6/15/37	7/24/09	2/15/10	4034.81	4177.29	-142.48
2/25/10	2870.61000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	2/25/10	2870.61	2929.82	-59.21
2/25/10	3822.42000	FNMA PL #889260	5.000%	4/01/38	7/24/09	2/25/10	3822.42	3886.92	-64.50
2/25/10	848.78000	FNMA PL #917049	5.000%	5/01/37	7/24/09	2/25/10	848.78	863.10	-14.32
2/25/10	1502.68000	FNMA PL #956420	6.000%	12/01/37	7/24/09	2/25/10	1502.68	1573.82	-71.14
2/25/10	4174.91000	FNMA PL #988714	6%	10/01/38	7/24/09	2/25/10	4174.91	4372.57	-197.66
3/01/10	80.00000	SOUTHWEST AIRLINES CO			3/03/09	2/24/10	991.62	423.85	567.77
3/01/10	560.00000	SOUTHWEST AIRLINES CO			3/03/09	2/24/10	6988.88	2966.94	4021.94
3/15/10	2050.53000	GNMA PL #669081	5.500%	6/15/37	7/24/09	3/15/10	2050.53	2122.94	-72.41
3/25/10	3236.80000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	3/25/10	3236.80	3303.56	-66.76
3/25/10	3213.38000	FNMA PL #889260	5.000%	4/01/38	7/24/09	3/25/10	3213.38	3267.61	-54.23
3/25/10	666.88000	FNMA PL #917049	5.000%	5/01/37	7/24/09	3/25/10	666.88	678.13	-11.25
3/25/10	94.32000	FNMA PL #956420	6.000%	12/01/37	7/24/09	3/25/10	94.32	98.79	-4.47
3/25/10	4328.21000	FNMA PL #988714	6%	10/01/38	7/24/09	3/25/10	4328.21	4533.12	-204.91
4/15/10	167.30000	GNMA PL #669081	5.500%	6/15/37	7/24/09	4/15/10	167.30	173.21	-5.91
4/15/10	740.00000	NOKIA CORP SPNSD ADR			4/20/09	4/12/10	11161.85	10772.70	389.15
4/26/10	2256.16000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	4/25/10	2256.16	2302.69	-46.53
4/26/10	4304.59000	FNMA PL #889260	5.000%	4/01/38	7/24/09	4/25/10	4304.59	4377.23	-72.64
4/26/10	287.48000	FNMA PL #917049	5.000%	5/01/37	7/24/09	4/25/10	287.48	292.33	-4.85
4/26/10	1519.95000	FNMA PL #956420	6.000%	12/01/37	7/24/09	4/25/10	1519.95	1591.91	-71.96
[illegible]	[illegible]	[illegible]	6%	10/01/38	7/24/09	4/25/10	3651.68	3824.57	-172.89

HSBC BANK USA, NA

GAIN/LOSS PROFILE REPORT FROM 01/01/10 TO 12/31/10

ADMIN PG 2
04/05/11 11:40

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

5/14/10	210.00000	DEAN FOODS CO			5/15/09	5/11/10	2041.23	3899.87	-1858.64
5/14/10	120.00000	DEAN FOODS CO			8/11/09	5/11/10	1166.42	2217.83	-1051.41
5/14/10	190.00000	DEAN FOODS CO			12/08/09	5/11/10	1846.82	3243.24	-1396.42
5/17/10	3325.05000	GNMA PL #669081	5.500%	6/15/37	7/24/09	5/15/10	3325.05	3442.47	-117.42
5/25/10	2035.37000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	5/25/10	2035.37	2077.35	-41.98
5/25/10	3847.79000	FNMA PL #889260	5.000%	4/01/38	7/24/09	5/25/10	3847.79	3912.72	-64.93
5/25/10	955.53000	FNMA PL #917049	5.000%	5/01/37	7/24/09	5/25/10	955.53	971.65	-16.12
5/25/10	7149.54000	FNMA PL #956420	6.000%	12/01/37	7/24/09	5/25/10	7149.54	7488.03	-338.49
5/25/10	10347.27000	FNMA PL #988714	6%	10/01/38	7/24/09	5/25/10	10347.27	10837.15	-489.88
6/01/10	200.00000	SHERWIN WILLIAMS CO			5/28/09	5/26/10	15197.94	10551.08	4646.86
6/15/10	4988.95000	GNMA PL #669081	5.500%	6/15/37	7/24/09	6/15/10	4988.95	5165.12	-176.17
6/25/10	150000.00000	CITIGROUP FNDG	2.250%	12/10/12	5/03/10	6/22/10	152550.00	152694.00	-144.00
6/25/10	1772.77000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	6/25/10	1772.77	1809.33	-36.56
6/25/10	10197.78000	FNMA PL #889260	5.000%	4/01/38	7/24/09	6/25/10	10197.78	10369.87	-172.09
6/25/10	2081.37000	FNMA PL #917049	5.000%	5/01/37	7/24/09	6/25/10	2081.37	2116.49	-35.12

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION			DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
6/25/10	1193.49000	FNMA PL #956420	6.000%	12/01/37	7/24/09	6/25/10	1193.49	1249.99	-56.50
6/25/10	3249.19000	FNMA PL #988714	6%	10/01/38	7/24/09	6/25/10	3249.19	3403.02	-153.83
7/09/10	320.00000	BAXTER INTL INC			6/09/10	7/06/10	13293.27	12985.06	308.21
7/09/10	50.00000	GENZYME CORP-GENERAL			7/06/09	7/06/10	2541.55	2728.12	-186.57
7/09/10	830.00000	MANNING & NAPIER REAL ESTATE			12/16/09	7/06/10	8988.90	8632.00	356.90
7/09/10	140.00000	VIRGIN MEDIA INC			6/10/10	7/06/10	2288.23	2321.20	-32.97
7/15/10	157.56000	GNMA PL #669081	5.500%	6/15/37	7/24/09	7/15/10	157.56	163.12	-5.56
7/19/10	570.00000	DANONE SA			5/25/10	7/14/10	33343.45	27720.83	5622.62
8/09/10	60.00000	GENZYME CORP-GENERAL			10/22/09	8/04/10	4165.52	3154.12	1011.40
8/09/10	160.00000	GENZYME CORP-GENERAL			5/24/10	8/04/10	11108.06	7832.91	3275.15
10/14/10	270.00000	AMPHENOL CORP			9/01/10	10/08/10	13298.49	11272.65	2025.84
10/21/10	340.00000	BAXTER INTL INC			6/09/10	10/18/10	16655.60	13796.62	2858.98
10/22/10	10.00000	CERNER CORP			6/29/10	10/19/10	851.33	766.49	84.84
10/22/10	660.00000	MANPOWER INC			6/09/10	10/19/10	36803.42	27669.71	9133.71
10/25/10	170.00000	MICROSOFT CORP			7/26/10	10/20/10	4296.31	4443.56	-147.25
11/26/10	6650.81000	FNMA PL #995196	6.000%	7/01/38	10/18/10	11/25/10	6650.81	7249.38	-598.57
12/13/10	190.00000	VIRGIN MEDIA INC			6/10/10	12/08/10	5139.45	3150.20	1989.25
12/13/10	650.00000	VIRGIN MEDIA INC			8/25/10	12/08/10	17582.33	12769.19	4813.14
12/27/10	5769.81000	FNMA PL #995196	6.000%	7/01/38	10/18/10	12/25/10	5769.81	6289.09	-519.28
								TOTAL	73218.11

NET CTF ST GAIN/LOSS	0.00
CAPITAL GAIN DISTRIBUTIONS	11776.70
MISC. ST GAIN/LOSS	0.00
ST CAPITAL LOSS CARRYOVER	0.00
NET ST GAIN/LOSS	84994 81

1/14/10	1230.00000	NOKIA CORP SPNSD ADR			10/15/08	1/11/10	16086.14	20052.20	-3966.06
[illegible]	[illegible]	[illegible]			[illegible]	[illegible]	6943.89	7445.75	-501.86

GRIGG-LEWIS FOUNDATION, INC
EIN 16-1550858
ATTACHMENT B

GAIN/LOSS PROFILE REPORT FROM 01/01/10 TO 12/31/10 04/05/11 11:40

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

1/19/10	145.00000	PERKINELMER INC	10/10/08	1/13/10	3098.05	2906.99	191.06
1/28/10	150.00000	AMERICAN EXPRESS CO	12/02/08	1/25/10	5668.89	3022.10	2646.79
2/18/10	140.00000	LONZA AG-REG	10/28/08	2/12/10	9733.47	10372.40	-638.93
2/25/10	310.00000	MILLIPORE CORP	4/28/08	2/22/10	26917.25	21686.98	5230.27
3/12/10	320.00000	INTERNATIONAL GAME TECHNOLOGY	6/06/08	3/09/10	5456.73	10808.83	-5352.10
3/22/10	140.00000	WEYERHAEUSER CO	11/12/08	3/17/10	6347.36	4449.51	1897.85
3/25/10	285.00000	PERKINELMER INC	10/10/08	3/22/10	6837.37	5713.74	1123.63
3/25/10	55.00000	PERKINELMER INC	11/20/08	3/22/10	1319.49	880.40	439.09
3/25/10	530.00000	UNILEVER PLC SPONS ADR	8/27/08	3/22/10	15457.25	14225.46	1231.79
4/09/10	20.00000	WEYERHAEUSER CO	11/12/08	4/06/10	927.47	635.64	291.83
4/09/10	310.00000	WEYERHAEUSER CO	2/20/09	4/06/10	14375.72	7597.64	6778.08
4/13/10	640.00000	QUEST DIAGNOSTICS INC	8/02/07	4/08/10	37106.18	35891.97	1214.21
4/13/10	240.00000	QUEST DIAGNOSTICS INC	8/21/08	4/08/10	13914.82	12969.05	945.77
4/15/10	930.00000	NOKIA CORP SPNSD ADR	3/24/09	4/12/10	14027.72	11099.64	2928.08
4/28/10	330.00000	FORTUNE BRANDS INC	10/28/08	4/23/10	17790.75	11429.38	6361.37
4/28/10	330.00000	WEYERHAEUSER CO	2/20/09	4/23/10	17439.80	8087.80	9352.00

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
4/29/10	370.00000	CISCO SYSTEMS INC	4/22/08	4/26/10	10212.45	9174.78	1037.67
4/30/10	1490.00000	EMC CORP MASS	10/15/08	4/27/10	29053.31	15140.64	13912.67
5/14/10	840.00000	DEAN FOODS CO	11/11/08	5/11/10	8164.91	13113.74	-4948.83
5/19/10	930.00000	DISNEY WALT CO NEW	11/11/08	5/14/10	31878.00	19692.01	12185.99
5/24/10	120.00000	JOHNSON & JOHNSON	6/22/07	5/19/10	7478.97	7352.44	126.53
5/24/10	260.00000	JOHNSON & JOHNSON	10/10/08	5/19/10	16204.42	14477.42	1727.00
6/02/10	910.00000	CARNIVAL CORP	6/18/08	5/27/10	32765.54	31835.62	929.92
6/15/10	350.00000	JOHNSON & JOHNSON	10/10/08	6/10/10	20578.57	19488.84	1089.73
6/18/10	660.00000	SOUTHWEST AIRLINES CO	3/03/09	6/15/10	8064.47	3496.74	4567.73
6/24/10	680.00000	THERMO FISHER SCIENTIFIC INC	11/26/08	6/21/10	36617.24	23016.03	13601.21
7/08/10	250.00000	DISNEY WALT CO NEW	11/11/08	7/02/10	7740.67	5293.55	2447.12
7/08/10	130.00000	DISNEY WALT CO NEW	3/04/09	7/02/10	4025.15	2193.88	1831.27
7/09/10	125.00000	AUTOMATIC DATA PROCESSING INC	1/23/08	7/06/10	4893.98	4790.87	103.11
7/09/10	125.00000	AUTOMATIC DATA PROCESSING INC	5/05/09	7/06/10	4893.98	4392.43	501.55
7/09/10	50.00000	BAKER HUGHES INC	9/29/06	7/06/10	2169.05	3382.66	-1213.61
7/09/10	120.00000	BAKER HUGHES INC	10/28/08	7/06/10	5205.71	3496.78	1708.93
7/09/10	460.00000	LOWES COS INC	5/23/08	7/06/10	9098.96	10649.09	-1550.13
7/09/10	829.00000	MANNING & NAPIER FUND SM CAP-A #111	12/17/07	7/06/10	5612.33	8173.94	-2561.61
7/09/10	2238.00000	MANNING & NAPIER LIFE SCIENCES #112	6/22/06	7/06/10	22738.08	26520.30	-3782.22
7/09/10	833.00000	MANNING & NAPIER WORLD OPP SER #114	6/22/06	7/06/10	6105.89	7688.59	-1582.70
7/09/10	50.00000	SAP AKTIENGESELLSCHAFT SPNS ADR	10/23/08	7/06/10	2290.69	1708.10	582.59
7/12/10	190.00000	NESTLE SA	2/24/09	7/07/10	9136.25	6316.62	2819.63
7/13/10	635.00000	PERKINELMER INC	11/20/08	7/08/10	12363.05	10164.64	2198.41
7/15/10	70.00000	LOWES COS INC	12/27/07	7/12/10	1414.50	1620.47	-205.97
7/15/10	860.00000	LOWES COS INC	5/23/08	7/12/10	17378.16	19909.18	-2531.02
7/26/10	2373.21000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	7/25/10	2373.21	2422.16	-48.95
7/26/10	4075.09000	FNMA PL #889260 5.000% 4/01/38	7/24/09	7/25/10	4075.09	4143.86	-68.77
7/26/10	676.09000	FNMA PL #917049 5.000% 5/01/37	7/24/09	7/25/10	676.09	687.50	-11.41
7/26/10	869.56000	FNMA PL #956420 6.000% 12/01/37	7/24/09	7/25/10	869.56	910.73	-41.17
7/26/10	4003.14000	FNMA PL #988714 6% 10/01/38	7/24/09	7/25/10	4003.14	4192.66	-189.52
[illegible]	[illegible]	[illegible]	7/06/09	7/23/10	24919.45	21824.96	3094.49

HSBC BANK USA, NA — ADMIN PG 4

GAIN/LOSS PROFILE REPORT FROM 01/01/10 TO 12/31/10 — 04/05/11 11:40

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
8/09/10	70.00000	GENZYME CORP-GENERAL	7/06/09	8/04/10	4859.78	3819.37	1040.41
8/10/10	30.00000	GOOGLE INC-CL A	10/07/08	8/05/10	15212.18	10632.21	4579.97
8/16/10	160.26000	GNMA PL #669081 5.500% 6/15/37	7/24/09	8/15/10	160.26	165.92	-5.66
8/25/10	3820.41000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	8/25/10	3820.41	3899.21	-78.80
8/25/10	5244.62000	FNMA PL #889260 5.000% 4/01/38	7/24/09	8/25/10	5244.62	5333.12	-88.50
8/25/10	549.64000	FNMA PL #917049 5.000% 5/01/37	7/24/09	8/25/10	549.64	558.92	-9.28
8/25/10	542.86000	FNMA PL #956420 6.000% 12/01/37	7/24/09	8/25/10	542.86	568.56	-25.70
8/25/10	7210.40000	FNMA PL #988714 6% 10/01/38	7/24/09	8/25/10	7210.40	7551.77	-341.37
9/15/10	2330.44000	GNMA PL #669081 5.500% 6/15/37	7/24/09	9/15/10	2330.44	2412.73	-82.29
9/24/10	380.00000	QUEST DIAGNOSTICS INC	8/21/08	9/21/10	18638.76	20534.32	-1895.56
9/24/10	610.00000	SAP AKTIENGESELLSCHAFT SPNS ADR	10/23/08	9/21/10	29832.09	20838.87	8993.22
9/27/10	5052.03000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	9/25/10	5052.03	5156.23	-104.20
9/27/10	7509.77000	FNMA PL #889260 5.000% 4/01/38	7/24/09	9/25/10	7509.77	7636.50	-126.73
9/27/10	1009.63000	FNMA PL #917049 5.000% 5/01/37	7/24/09	9/25/10	1009.63	1026.67	-17.04

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
9/27/10	1565.73000	FNMA PL #956420 6.000% 12/01/37	7/24/09	9/25/10	1565.73	1639.86	-74.13
9/27/10	5628.61000	FNMA PL #988714 6% 10/01/38	7/24/09	9/25/10	5628.61	5895.09	-266.48
9/30/10	890.00000	SOUTHWEST AIRLINES CO	3/03/09	9/27/10	12159.24	4715.31	7443.93
10/05/10	760.00000	EMC CORP MASS	10/15/08	9/30/10	15639.54	7722.74	7916.80
10/05/10	320.00000	NESTLE SA	2/24/09	9/30/10	17154.61	10638.51	6516.10
10/08/10	30.00000	GOOGLE INC-CL A	10/07/08	10/05/10	16175.80	10632.21	5543.59
10/14/10	360.00000	INTERNATIONAL GAME TECHNOLOGY	6/06/08	10/08/10	5126.42	12159.94	-7033.52
10/14/10	510.00000	INTERNATIONAL GAME TECHNOLOGY	2/23/09	10/08/10	7262.43	4674.51	2587.92
10/15/10	162.96000	GNMA PL #669081 5.500% 6/15/37	7/24/09	10/15/10	162.96	168.71	-5.75
10/18/10	624.00000	MANNING & NAPIER LIFE SCIENCES #112	6/22/06	10/13/10	6963.84	7394.40	-430.56
10/20/10	150.00000	AUTODESK INC	4/04/08	10/15/10	5010.05	5037.56	-27.51
10/20/10	390.00000	AUTODESK INC	1/16/09	10/15/10	13026.13	6207.63	6818.50
10/21/10	64000.00000	DUPONT EI NEMOUR 5.875% 1/15/14	7/28/09	10/21/10	73702.08	70128.00	3574.08
10/22/10	180.00000	CERNER CORP	11/20/08	10/19/10	15324.00	5864.85	9459.15
10/25/10	4978.29000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	10/25/10	4978.29	5080.97	-102.68
10/25/10	7508.32000	FNMA PL #889260 5.000% 4/01/38	7/24/09	10/25/10	7508.32	7635.02	-126.70
10/25/10	1030.05000	FNMA PL #917049 5.000% 5/01/37	7/24/09	10/25/10	1030.05	1047.43	-17.38
10/25/10	3167.08000	FNMA PL #956420 6.000% 12/01/37	7/24/09	10/25/10	3167.08	3317.02	-149.94
10/25/10	5664.65000	FNMA PL #988714 6% 10/01/38	7/24/09	10/25/10	5664.65	5932.84	-268.19
10/25/10	1540.00000	MICROSOFT CORP	4/14/08	10/20/10	38919.50	43497.91	-4578.41
10/27/10	503.00000	MANNING & NAPIER LIFE SCIENCES #112	6/22/06	10/22/10	5608.45	5960.55	-352.10
11/15/10	2905.16000	GNMA PL #669081 5.500% 6/15/37	7/24/09	11/15/10	2905.16	3007.75	-102.59
11/26/10	5695.76000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	11/25/10	5695.76	5813.23	-117.47
11/26/10	7250.04000	FNMA PL #889260 5.000% 4/01/38	7/24/09	11/25/10	7250.04	7372.38	-122.34
11/26/10	1130.70000	FNMA PL #917049 5.000% 5/01/37	7/24/09	11/25/10	1130.70	1149.78	-19.08
11/26/10	1422.76000	FNMA PL #956420 6.000% 12/01/37	7/24/09	11/25/10	1422.76	1490.12	-67.36
11/26/10	7636.63000	FNMA PL #988714 6% 10/01/38	7/24/09	11/25/10	7636.63	7998.18	-361.55
12/15/10	155.13000	GNMA PL #669081 5.500% 6/15/37	7/24/09	12/15/10	155.13	160.61	-5.48
12/15/10	2047.00000	MANNING & NAPIER FD TECH SER #116	6/22/06	12/10/10	23560.97	17542.79	6018.18
12/22/10	110.00000	MONSANTO CO NEW	10/08/08	12/17/10	7117.78	8811.69	-1693.91
12/22/10	240.00000	MONSANTO CO NEW	12/12/08	12/17/10	15529.70	16806.50	-1276.80
12/27/10	5495.00000	FNMA PL #AA4537 4.500% 4/01/24	7/24/09	12/25/10	5495.00	5608.33	-113.33
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	7281.23	7404.10	-122.87

GRIGG-LEWIS FOUNDATION, INC
EIN 16-1550858
ATTACHMENT B

GAIN/LOSS PROFILE REPORT FROM 01/01/10 TO 12/31/10

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

12/27/10	2705.89000 FNMA PL #917049	5.000%	5/01/37	7/24/09	12/25/10	2705.89	2751.55	-45.66
12/27/10	1109.12000 FNMA PL #956420	6.000%	12/01/37	7/24/09	12/25/10	1109.12	1161.63	-52.51
12/27/10	3991.83000 FNMA PL #988714	6%	10/01/38	7/24/09	12/25/10	3991.83	4180.82	-188.99
							TOTAL	125895.94

NET CTF LT GAIN/LOSS	0.00
CAPITAL GAIN DISTRIBUTIONS	8180.86
MISC. LT GAIN/LOSS	0.00
LT CAPITAL LOSS CARRYOVER	0.00
NET LT GAIN/LOSS	134076.80

CAPITAL GAIN DISTRIBUTIONS

DATE	SHARES/PAR	DESCRIPTION	GAIN
9/23/10	0.03710	MANNING & NAPIER FD INTL SERIES #113	471.59 ST
9/23/10	0.02810	MANNING & NAPIER HIGH YLD BD	339.61 ST
9/23/10	0.01070	MANNING & NAPIER REAL ESTATE	66.68 ST
9/23/10	0.04450	MANNING & NAPIER WORLD OPP SER #114	437.56 ST
12/24/10	0.02700	MANNING & NAPIER CORE + BD SER #124	2726 94 ST
12/24/10	0.15740	MANNING & NAPIER FD INTL SERIES #113	2011.67 LT
12/24/10	0.03840	MANNING & NAPIER WORLD OPP SER #114	379.86 ST
12/24/10	0.08860	MANNING & NAPIER WORLD OPP SER #114	876.46 LT
12/27/10	0.04250	MANNING & NAPIER CORE + BD SER #124	4803.89 LT
12/27/10	0.15530	MANNING & NAPIER FD INTL SERIES #113	1984.83 ST
12/27/10	0.03360	MANNING & NAPIER REAL ESTATE	209.59 LT
12/27/10	0.40380	MANNING & NAPIER REAL ESTATE	2518.81 ST
12/29/10	0.02300	MANNING & NAPIER HIGH YLD BD	279.25 LT
12/29/10	0.23480	MANNING & NAPIER HIGH YLD BD	2850.82 ST

TOTAL CAPITAL GAIN DISTRIBUTIONS 19957 56

PENDING ITEMS

DATE	SHARES/PAR	DESCRIPTION	THEORETICAL SALE DATE	NET PROCEEDS	GAIN (LOSS)

NO PENDING ITEMS QUALIFIED

Sum of all Net Proceeds Columns	$1,688,453 24
Sum of all Purchase Cost Columns	- 1,489,339.19
Net Gain/(Loss)	$ 199,114.05

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Summary

	Total Market Value**	% of Portfolio	Estimated Annual Income
GNMA, FNMA, FHLMC Pools	$898,286.81	11.97%	$45,793
Government Agency Bonds/Notes	$899,428.20	11.98%	$40,462
Non-US Corporate Bonds	$268,717.60	3.58%	$16,125
Taxable Fixed Income Funds	$1,447,772.90	19.29%	$71,692
U.S. Corporate Bonds & Notes	$1,126,597.62	15.01%	$62,634
U.S. Treasury Bonds and Notes	$487,850.65	6.50%	$11,957
Total	**$5,128,653.78**	**68.33%**	**$248,663**

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
GNMA, FNMA, FHLMC Pools								
FEDL NATL MTGE ASSN POOL #AA4537 15 YR GTD SINGLE FAMILY MORTGAGE DTD 04/01/2009 4.500% 04/01/2024 NON CALLABLE (CUSIP #:31416NBF8)Moody Rating N/A	98,186.71	100,211.80	104 88	102,978.22	368.20	103,346.42	4,418	4.29%
FEDL NATL MTGE ASSN POOL #917049 30 YR GTD SINGLE FAMILY MORTGAGE DTD 05/01/2007 5 000% 05/01/2037 NON CALLABLE (CUSIP #:31411WZN0)Moody Rating N/A	41,071 24	41,764.33	105 23	43,219 27	171.13	43,390.40	2,054	4.75%

* All values reported in U.S. dollars
** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
GOVT NATL MTGE ASSN POOL #669081 30 YR GTD SINGLE FAMILY MORTGAGE DTD 06/01/2007 5 500% 06/15/2037 NON CALLABLE (CUSIP #:36295FJ21)Moody Rating N/A	106,016.17	109,759.86	108.20	114,709.50	485.91	115,195.41	5,831	5.08%
FEDL NATL MTGE ASSN POOL #956420 30 YR GTD SINGLE FAMILY MORTGAGE DTD 11/01/2007 6.000% 12/01/2037 NON CALLABLE (CUSIP #:31413VAV9)Moody Rating N/A	57,510.95	60,233.75	108 82	62,583.42	287 55	62,870 97	3,451	5 51%
FEDL NATL MTGE ASSN POOL #889260 30 YR GTD SINGLE FAMILY MORTGAGE DTD 03/01/2008 5 000% 04/01/2038 NON CALLABLE (CUSIP # 31410G5V1)Moody Rating N/A	177,428.80	180,422.92	105.23	186,708 33	739.29	187,447.62	8,871	4.75%
FEDL NATL MTGE ASSN POOL #995196 30 YR GTD SINGLE FAMILY MORTGAGE DTD 12/01/2008 6 000% 07/01/2038 NON CALLABLE (CUSIP #.31416BRR1)Moody Rating.N/A	218,184.44	237,821.05	109.00	237,821.04	1,090.92	238,911.96	13,091	5.50%
FEDL NATL MTGE ASSN POOL #988714 30 YR GTD SINGLE FAMILY MORTGAGE DTD 10/01/2008 6.000% 10/01/2038 NON CALLABLE (CUSIP #:31415TK33)Moody Rating.N/A	134,618.02	140,991.37	108.79	146,450.94	673.09	147,124 03	8,077	5.51%

Government Agency Bonds/Notes

CITIGROUP INC DTD 12/09/2008 2 875% 12/09/2011 NON CALLABLE FDIC (CUSIP # 17313UAA7)Moody Rating AAA	30,000.00	30,964 20	102 31	30,693.00	52.71	30,745.71	863	2.81%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
FEDERAL NATL MTG ASSN BENCHMARK NOTES DTD 3/25/04 4.125% 4/15/14 NON CALLABLE (CUSIP # 31359MUT8)Moody Rating.AAA	100,000.00	106,373 00	109 06	109,060 00	870.83	109,930.83	4,125	3.78%
FEDERAL FARM CREDIT BANK DTD 08/25/2006 5.125% 08/25/2016 NON CALLABLE (CUSIP # 31331V2U9)Moody Rating AAA	295,000.00	322,553.00	113.93	336,093.50	5,291 56	341,385 06	15,119	4.50%
FEDERAL NATIONAL MORTGAGE ASSN DTD 04/16/2007 5.000% 05/11/2017 NON CALLABLE (CUSIP # 31359M7X5)Moody Rating.AAA	145,000.00	157,575.85	112.14	162,603.00	1,006.94	163,609 94	7,250	4.46%
FEDERAL NATIONAL MORTGAGE ASSN DTD 05/25/1999 6.250% 05/15/2029 NON CALLABLE (CUSIP # 31359MEU3)Moody Rating.AAA	40,000.00	47,503 28	122 69	49,076 00	319.44	49,395 44	2,500	5.09%
FEDERAL NATIONAL MORTGAGE ASSN DTD 05/05/2000 7 250% 05/15/2030 NON CALLABLE (CUSIP #.31359MFP3)Moody Rating·AAA	38,000.00	50,446.32	134.66	51,170 80	352.03	51,522.83	2,755	5.38%
FEDERAL NATL MTG ASSN DTD 11/03/2000 6 625% 11/15/2030 (CUSIP #·31359MGK3)Moody Rating AAA	40,000.00	50,059.04	126.18	50,472 00	338.61	50,810.61	2,650	5.25%
FEDERAL HOME LOAN MORTGAGE CORP DTD 10/25/2000 6 750% 03/15/2031 (CUSIP # 3134A4AA2)Moody Rating AAA	40,000.00	50,504 96	128.05	51,220 00	795.00	52,015 00	2,700	5.27%
FEDERAL HOME LOAN MORTGAGE CORP DTD 02/20/2002 6 250% 07/15/2032 (CUSIP # 3134A4KX1)Moody Rating AAA	40,000.00	48,360.48	122 15	48,860 00	1,152.78	50,012.78	2,500	5.12%

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** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
Non-US Corporate Bonds								
WEATHERFORD INTL LTD DTD 01/08/2009 9.625% 03/01/2019 NON CALLABLE (CUSIP #:947075AF4)Moody Rating BAA2	75,000 00	91,348 50	128.32	96,240.00	2,406.25	98,646.25	7,219	7.50%
BHP BILLITON FIN USA LTD DTD 03/25/2009 6.500% 04/01/2019 NON CALLABLE (CUSIP #:055451AH1)Moody Rating A1	75,000.00	83,964.75	118.91	89,182.50	1,218.75	90,401 25	4,875	5.47%
NOKIA CORP DTD 05/07/2009 5.375% 05/15/2019 NON CALLABLE (CUSIP #:654902AB1)Moody Rating A2	75,000.00	76,689.00	105.54	79,155.00	515.10	79,670.10	4,031	5.09%
Taxable Fixed Income Funds								
MANNING & NAPIER FUND CORE PLUS BOND SERIES #124 (CUSIP #:563821206)	119,106.43	1,168,533.54	10 96	1,305,406.47	0.00	1,305,406.47	61,816	4.73%
MANNING & NAPIER FUND INC - HIGH YIELD BOND SERIES (CUSIP #:56382P583)	13,255.72	133,412.25	10.74	142,366 43	0.00	142,366.43	9,876	6.94%
U.S. Corporate Bonds & Notes								
US BANCORP MEDIUM TERM NOTE DTD 03/13/2009 2 250% 03/13/2012 NON CALLABLE (CUSIP #:91160HAA5)Moody Rating AAA	70,000 00	71,862.00	102.03	71,421.00	472 50	71,893 50	1,575	2.20%

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** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	*Par Value*	*Cost*	*Price/*** Unit*	*Market Value*	*Accrued Income*	*Total Market Value***	*Estimated Annual Income*	*Current Yield*
CITIGROUP FUNDING INC DTD 08/06/2009 2.250% 12/10/2012 NON CALLABLE (CUSIP # 17313YAJ0)Moody Rating AAA	50,000.00	50,898 00	102.86	51,430 00	65.63	51,495.63	1,125	2.19%
DUPONT EI NEMOUR DTD 12/12/2008 5.875% 01/15/2014 NON CALLABLE (CUSIP # 263534BV0)Moody Rating A2	11,000.00	12,053.25	111 47	12,261 70	297 99	12,559 69	646	5.27%
KROGER CO. DTD 08/15/2007 6.400% 08/15/2017 NON CALLABLE (CUSIP # 501044CG4)Moody Rating BAA2	75,000.00	80,705.25	114.82	86,115 00	1,813.33	87,928.33	4,800	5.57%
FEDEX CORP DTD 01/16/2009 8.000% 01/15/2019 NON CALLABLE (CUSIP # 31428XAR7)Moody Rating BAA2	75,000.00	86,286.75	123.36	92,520.00	2,766.67	95,286.67	6,000	6.48%
UNION PACIFIC CORP DTD 10/07/2008 7 875% 01/15/2019 NON CALLABLE (CUSIP # 907818DB1)Moody Rating BAA2	75,000 00	88,675 50	124.78	93,585.00	2,723.44	96,308.44	5,906	6.31%
CATERPILLAR FINANCIAL SVCS MEDIUM TERM NOTE DTD 02/12/2009 7.150% 02/15/2019 NON CALLABLE (CUSIP # 14912L4E8)Moody Rating A2	75,000.00	83,664.75	122.97	92,227.50	2,025 83	94,253.33	5,363	5.81%
GOLDMAN SACHS GROUP INC DTD 02/05/2009 7 500% 02/15/2019 NON CALLABLE (CUSIP # 38141EA25)Moody Rating A1	75,000 00	84,723 00	116.60	87,450 00	2,125.00	89,575.00	5,625	6.43%

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**** Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	*Par Value*	*Cost*	*Price/*** Unit*	*Market Value*	*Accrued Income*	*Total Market Value***	*Estimated Annual Income*	*Current Yield*
JPMORGAN CHASE & CO DTD 04/23/2009 6.300% 04/23/2019 NON CALLABLE (CUSIP #.46625HHL7)Moody Rating:AA3	75,000.00	78,615 00	113.83	85,372.50	892 50	86,265.00	4,725	5.53%
AMERICAN EXPRESS CO DTD 05/18/2009 8 125% 05/20/2019 NON CALLABLE (CUSIP # 025816BB4)Moody Rating.A3	75,000.00	81,290.25	124.42	93,315.00	694.01	94,009.01	6,094	6.53%
CITIGROUP INC DTD 05/22/2009 8 500% 05/22/2019 NON CALLABLE (CUSIP #:172967EV9)Moody Rating.A3	75,000.00	78,425 25	124.14	93,105.00	690.63	93,795.63	6,375	6.85%
BANK OF AMERICA CORP DTD 06/02/2009 7 625% 06/01/2019 NON CALLABLE (CUSIP # 06051GDZ9)Moody Rating A2	75,000.00	79,542 00	115.14	86,355 00	476.56	86,831.56	5,719	6.62%
DELL INC DTD 06/15/2009 5.875% 06/15/2019 NON CALLABLE (CUSIP #'24702RAJ0)Moody Rating'A2	75,000.00	77,011.50	109.42	82,065.00	195.83	82,260.83	4,406	5.37%
COMCAST CORP DTD 06/18/2009 5.700% 07/01/2019 NON CALLABLE (CUSIP #.20030NAZ4)Moody Rating BAA1	75,000 00	77,636 25	109 33	81,997 50	2,137.50	84,135.00	4,275	5 21%

U.S. Treasury Bonds and Notes

UNITED STATES TREASURY NOTES DTD 05/31/2009 2.250% 05/31/2014 (CUSIP #'912828KV1)Moody Rating'AAA	325,000.00	321,343 75	103.45	336,212 50	642 86	336,855 36	7,313	2 17%

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** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	*Par Value*	*Cost*	*Price/*** Unit*	*Market Value*	*Accrued Income*	*Total Market Value***	*Estimated Annual Income*	*Current Yield*
UNITED STATES TREASURY NOTES DTD 05/31/2009 3 250% 05/31/2016 (CUSIP #:912828KW9)Moody Rating AAA	90,000.00	90,337.50	105.48	94,932.00	257.14	95,189 14	2,925	3 08%
UNITED STATES TREASURY NOTES DTD 05/15/2009 3.125% 05/15/2019 (CUSIP #:912828KQ2)Moody Rating AAA	55,000 00	52,593.75	101.06	55,583.00	223 15	55,806.15	1,719	3.09%
Total Fixed Income		**$4,785,157.00**		**$5,092,017.12**	**$36,636.66**	**$5,128,653.78**	**$248,663**	**4.88%**

* All values reported in U.S. dollars
** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Summary	Total Market Value**	% of Portfolio	Estimated Annual Income
ADR's	$30,262.40	0.40%	$1,093
Global/Intl Equity Mutual Fds	$206,704.21	2.75%	$3,315
Non-U.S. Common Stocks	$75,758.40	1.01%	$961
U.S. Common Stocks	$1,377,631.43	18.35%	$18,378
U.S. Equity Mutual Funds	$386,956.12	5.16%	$1,763
Total	**$2,077,312.56**	**27.67%**	**$25,510**

Holdings* (Description sorted by type/alphabetical)	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
ADR's							
UNILEVER PLC SPONSORED AMERICAN DEPOSITORY RECEIPT (Ticker Symbol.UL)	980.00	22,921.32	30.88	30,262 40	0 00	1,093	3.61%
Global/Intl Equity Mutual Fds							
MANNING & NAPIER FUND INC INTERNATIONAL SERIES #113 (Ticker Symbol:EXITX)	13,502 19	121,915.21	8.85	119,494.38	0.00	2,525	2.11%
MANNING & NAPIER WORLD OPPORTUNITIES FUND FD#114 (Ticker Symbol EXWAX)	10,128.90	78,546.80	8.61	87,209.83	0.00	790	0 91%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	*Shares*	*Cost*	*Pnce/Unit****	*Market Value*	*Accrued Income*	*Estimated Annual Income*	*Current Yield*
Non-U.S. Common Stocks							
NESTLE SA (CUSIP # 7123870)	560.00	17,582.02	58 74	32,894.40	0.00	961	2.92%
WEATHERFORD INTNTL LTD (Ticker Symbol WFT)	1,880 00	29,218.18	22.80	42,864.00	0.00	0	0.00%
U.S. Common Stocks							
AMERICAN EXPRESS CO (Ticker Symbol AXP)	760 00	12,869.23	42.92	32,619.20	0.00	547	1.68%
AMPHENOL CORP (Ticker Symbol APH)	190.00	7,932.61	52 78	10,028.20	2.85	11	0.11%
AUTODESK INC (Ticker Symbol ADSK)	900.00	14,325.30	38 20	34,380.00	0.00	0	0.00%
AUTOMATIC DATA PROCESSING INC (Ticker Symbol ADP)	955 00	31,931.00	46.28	44,197.40	343.80	1,375	3.11%
BAKER HUGHES INC (Ticker Symbol BHI)	640 00	19,323.55	57.17	36,588.80	0.00	384	1.05%
BANK OF NEW YORK MELLON CORP (Ticker Symbol BK)	1,070.00	31,310.66	30.20	32,314 00	0.00	385	1.19%
BECTON DICKINSON (Ticker Symbol BDX)	570 00	37,676 36	84 52	48,176.40	0.00	935	1.94%
BOEING CO (Ticker Symbol BA)	440.00	27,924.51	65 26	28,714 40	0.00	739	2.57%
BOSTON SCIENTIFIC CORP (Ticker Symbol BSX)	5,180 00	37,416.08	7 57	39,212 60	0.00	0	0.00%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	*Shares*	*Cost*	*Price/Unit****	*Market Value*	*Accrued Income*	*Estimated Annual Income*	*Current Yield*
CERNER CORP (Ticker Symbol.CERN)	180 00	13,796 78	94.74	17,053.20	0 00	0	0.00%
CHARLES SCHWAB CORP (Ticker Symbol.SCHW)	4,300.00	58,989.29	17.11	73,573.00	0 00	1,032	1.40%
CISCO SYSTEMS INC (Ticker Symbol.CSCO)	1,995.00	40,438.44	20.23	40,358.85	0.00	0	0.00%
COCA COLA CO (Ticker Symbol KO)	580.00	29,633.56	65.77	38,146.60	0.00	1,021	2.68%
DISNEY WALT CO NEW (Ticker Symbol DIS)	890.00	20,009 85	37.51	33,383.90	356.00	356	1.07%
ELECTRONIC ARTS INC (Ticker Symbol ERTS)	1,470 00	24,891.21	16.38	24,078.60	0.00	0	0.00%
EMC CORP MASS (Ticker Symbol.EMC)	730.00	7,417 89	22.90	16,717.00	0.00	0	0.00%
FEDEX CORPORATION (Ticker Symbol FDX)	370.00	28,020.95	93.01	34,413.70	0.00	178	0.52%
GENERAL MLS INC (Ticker Symbol GIS)	420 00	10,660 73	35.59	14,947.80	0 00	470	3.15%
GOOGLE INC-CL A (Ticker Symbol GOOG)	120 00	54,361 33	593.97	71,276.40	0.00	0	0 00%
HESS CORPORATION (Ticker Symbol.HES)	840 00	44,532.74	76.54	64,293 60	84 00	336	0.52%
HOME DEPOT INC (Ticker Symbol.HD)	1,170.00	31,401.23	35.06	41,020.20	0.00	1,106	2.69%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	*Shares*	*Cost*	*Price/Unit****	*Market Value*	*Accrued Income*	*Estimated Annual Income*	*Current Yield*
JUNIPER NETWORKS INC (Ticker Symbol JNPR)	575.00	15,181.78	36 92	21,229.00	0.00	0	0.00%
KELLOGG CO (Ticker Symbol:K)	270.00	10,520 39	51.08	13,791 60	0 00	437	3.17%
KRAFT FOODS INC CL A (Ticker Symbol KFT)	990.00	28,889.19	31.51	31,194.90	287.10	1,148	3.68%
KROGER CO (Ticker Symbol.KR)	1,020 00	22,770.99	22 36	22,807.20	0 00	428	1.88%
LIBERTY GLOBAL INC CLASS A (Ticker Symbol LBTYA)	480.00	15,985.44	35.38	16,982.40	0 00	0	0.00%
MARTIN MARIETTA MATLS INC (Ticker Symbol.MLM)	200.00	17,306 46	92.24	18,448.00	0.00	320	1.73%
MASTERCARD INC-A (Ticker Symbol.MA)	140.00	30,391.61	224.11	31,375.40	0.00	84	0.27%
MONSANTO CO NEW (Ticker Symbol MON)	840.00	48,449.42	69.64	58,497.60	0.00	941	1.61%
NORFOLK SOUTHERN CORP (Ticker Symbol.NSC)	550.00	30,738.02	62.82	34,551.00	0.00	792	2.29%
OWENS ILL INC (Ticker Symbol.OI)	500.00	14,644.05	30.70	15,350.00	0 00	0	0.00%
QUALCOMM INC (Ticker Symbol.QCOM)	810.00	31,387.55	49.49	40,086 90	0.00	616	1.54%
SAFEWAY INC (Ticker Symbol SWY)	1,030 00	21,577.88	22.49	23,164 70	123.60	494	2.13%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	*Shares*	*Cost*	*Price/Unit****	*Market Value*	*Accrued Income*	*Estimated Annual Income*	*Current Yield*
SCHLUMBERGER LTD (Ticker Symbol.SLB)	490 00	31,585.43	83.50	40,915.00	102.90	412	1.01%
SHERWIN WILLIAMS CO (Ticker Symbol SHW)	200 00	10,551.08	83.75	16,750.00	0.00	288	1.72%
SOUTHWEST AIRLINES CO (Ticker Symbol.LUV)	2,450.00	15,468.32	12.98	31,801.00	11 03	44	0.14%
TIME WARNER INC (Ticker Symbol.TWX)	1,470.00	44,333.58	32.17	47,289 90	0.00	1,250	2.64%
UNITED PARCEL SERVICE-CLASS B (Ticker Symbol UPS)	530.00	36,303 78	72.58	38,467.40	0.00	996	2.59%
VIRGIN MEDIA INC (Ticker Symbol VMED)	610.00	10,113 80	27.24	16,616 40	0.00	98	0.59%
VISA INC-CLASS A (Ticker Symbol V)	440.00	32,453 74	70.38	30,967.20	0 00	264	0.85%
VULCAN MATLS CO (Ticker Symbol VMC)	390.00	14,440.22	44.36	17,300.40	0.00	390	2 25%
WESTERN UNION-WI (Ticker Symbol WU)	1,790.00	30,499.55	18.57	33,240.30	0 00	501	1.51%
U.S. Equity Mutual Funds							
MANNING & NAPIER FUND INC FINANCIAL SERVICES SERIES CL A #125 (Ticker Symbol EXFSX)	11,259.17	69,371 00	5 84	65,753 55	0.00	822	1 25%
MANNING & NAPIER FUND INC - REAL ESTATE SERIES (Ticker Symbol MNREX)	6,537.85	67,300.46	12.58	82,246.15	0.00	941	1.14%

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CUSTODY STATEMENT
GRIGG LEWIS FDN INC - MANNING
December 1, 2010 - December 31, 2010
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	*Shares*	*Cost*	*Price/Unit****	*Market Value*	*Accrued Income*	*Estimated Annual Income*	*Current Yield*
MANNING & NAPIER FUND LIFE SCIENCES SERIES (Ticker Symbol EXLSX)	8,150 36	92,679.04	12.18	99,271.38	0.00	0	0.00%
MANNING & NAPIER FUND, INC SMALL CAP SERIES FUND #111 (Ticker Symbol MNSMX)	8,401.67	47,606.33	9.29	78,051 51	0.00	0	0 00%
MANNING & NAPIER FUND, INC. TECHNOLOGY SERIES #116 (Ticker Symbol EXTCX)	5,299.53	45,262.29	11.63	61,633 53	0 00	0	0.00%
Total Equities		**$1,690,858.23**		**$2,076,001.28**	**$1,311.28**	**$25,510**	**1.23%**

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*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

Hartford Financial Management, Inc.
PORTFOLIO SUMMARY
Grigg-Lewis Foundation, Inc.
HFM - Managed
December 31, 2010

Security Type	Total Cost	Market Value	Pct. Assets	Yield	Est.Annual Income
Equity					
COMMON STOCK					
Industrials	1,567,318 36	2,348,584 00	7 0	1 7	40,056 00
Consumer Discretionary	990,652 80	1,086,855 00	3 2	3 1	33,725 00
Consumer Staples	1,444,650 70	1,930,100 00	5 7	2 1	40,930 00
Energy	1,025,498 18	1,643,700 00	4 9	2 8	46,400 00
Financial	2,275,948 26	2,304,420 00	6 9	3 5	80,900 00
Health Care	1,044,163 51	1,949,311 42	5 8	0 8	15,720 00
Materials	331,066 27	511,000 00	1 5	3 6	18,200 00
Technology	1,422,296 53	2,229,798 00	6 6	0 9	19,793 60
Utilities	707,262 54	868,853 96	2 6	4 9	42,218 28
Communication	678,888 01	956,878 62	2 8	5 4	51,465 68
COMMON STOCK	11,487,745 16	15,829,501.00	47.1	2.5	389,408.56
DOMESTIC STOCK FUNDS					
Mid-Cap Blend	1,813,574 33	2,450,436 97	7 3	0 0	0 00
INT'L STOCK FUNDS					
Foreign Large Cap Blend	2,217,729 11	2,330,905 66	6 9	1 6	38,175 42
	15,519,048.60	20,610,843 63	61 3	2.1	427,583.98
Fixed Income					
TAXABLE BOND FUNDS					
Long-Term Bond	128,237 50	126,084 00	0 4	9 3	11,730 60
Intermediate-Term Bond	428,122 56	418,165 34	1 2	3 2	13,581 43
Short-Term Bond	1,414,209 78	1,397,523 67	4 2	2 3	31,629 41
Ultrashort Bond	312,504 96	284,874 05	0 8	5 9	16,726 40
Multisector Bond	206,683 13	227,599 75	0 7	5 8	13,260 44
TAXABLE BOND FUNDS	2,489,757 93	2,454,246.81	7.3	3.5	86,928 28
CORPORATE BONDS	1,843,829 50	1,889,355 70	5 6	3 4	100,187 50
AGENCY BONDS	717,548 97	775,695 60	2 3	1 5	32,875 00
TREASURY NOTES	3,193,891 45	3,290,610 20	9 8	3 3	124,875 00
GNMA					
GNMA 30 Yr 7 00%	1,728 47	2,064 87	0 0	7 8	126 69
GNMA 30 Yr 8 00%	4,599 51	5,237 12	0 0	8 1	367 96
GNMA	6,327.98	7,301.98	0.0	8.0	494.65
FNMA					
FNMA 15 Yr 5 00%	80,200 49	86,423 20	0 3	5 1	4,027 65
FNMA 7 Yr Balloon 3 50%	28,698 97	29,055 19	0 1	3 3	999 93
FNMA 30 Yr 5 50%	396,847 99	435,919 34	1 3	5 8	22,261 43
FNMA 30 Yr 5 00%	1,039,698 24	1,106,072 69	3 3	5 1	52,247 73
FNMA	1,545,445 68	1,657,470.42	4.9	5.3	79,536.74
FHLMC					
FHLMC Gold 30 Yr	800,760 95	846,036 73	2 5	5 0	40,098 88
FHLMC Gold 30 Yr 7 00%	4,447 53	5,058 62	0 0	7 2	314 28
FHLMC Gold 30 Yr 5 00%	200,532 79	212,412 59	0 6	5 1	10,067 54
FHLMC	1,005,741.28	1,063,507.94	3 2	5.1	50,480.70

GRIGG-LEWIS FOUNDATION, INC
EIN 16-1550858
ATTACHMENT C

Hartford Financial Management, Inc.
PORTFOLIO SUMMARY
Grigg-Lewis Foundation, Inc.
HFM - Managed
December 31, 2010

Security Type	Total Cost	Market Value	Pct. Assets	Yield	Est.Annual Income
COLLATERALIZED MTGE OBLIGATIONS	969,757 50	906,027 94	2 7	5 9	56,244 41
Accrued Interest		83,141 75	0 2		
	11,772,300.29	12,127,358 35	36.1	3.9	531,622.27
Cash					
CASH AND EQUIVALENTS					
Cash/Money Mkt Fds	861,631 46	861,631 46	2 6	0 0	99 25
	861,631.46	861,631.46	2.6	0 0	99.25
TOTAL PORTFOLIO	**28,152,980.35**	**33,599,833.43**	**100.0**	**2.7**	**959,305.51**

GRIGG-LEWIS FOUNDATION, INC.
EIN: 16-1550858
Attachment D

Name	Name Address	Amount	Status	Catagory
AMERICAN RED CROSS	637 Davison Rd Lockport, NY 14094	15,200 00	501c3	Disaster relief
ARTPARK	450 South Fourth Lewiston NY 14092	25,000 00	501c3	Arts
ASSOCIATION OF SMALL FOUNDATION	PO Box 247 Winooski VT 05404	1,995 00	501c3	Education
BIG BROTHER BIG SISTER	86 Park Avenue Lockport, NY 14094	18,400 00	501c3	Youth
BOY SCOUTS IROQUOIS TRAIL COUNCIL	45 Liberty Street Suite 2 Batavia NY 14020-3207	12,000 00	501c3	Youth
BUFFALO CITY BALLET	2495 Main St Suite 351 Buffalo, NY 14214	750 00	501c3	Arts
BUFFALO HEARING & SPEECH	50 E NORTH STREET BUFFALO, NY 14203-1002	24,171 00	501c3	Health
BUFFALO PHILHARMONIC	499 Franklin Street Buffalo, NY 14202	34,000 00	501c3	Arts
CITY OF LOCKPORT	One Locks Plaza Lockport NY 14094	10,275 00	501c3	Community service
COLD SPRINGS CEMETARY	4849 Cold Springs Rd Lockport NY 14094	3,600 00	501c13	Community service
CORNELL COOPERATIVE	4487 Lake Rd Lockport NY 14094	18,400 00	501c3	Community service
DALE ASSOCIATION	315 Bewley Bldg Lockport NY 14094	64,400 00	501c3	Elderly
EASTERN NIAGARA ANIMAL WELFARE ALLIANCE	28 Lincoln Drive Lockport NY 14094	30,000 00	501c3	Community service
EASTERN NIAGARA HEALTH SYSTEM	I 521 East Avenue Lockport NY 14094	3,200 00	501c3	Health
EASTERN NIAGARA UNITED WAY	Lockview Plaza 41 Main Street Lockport NY 14094-3657	22,000 00	501c3	Human Service
EQUI STAR	2199 Fuller Rd Burt NY 14028	10,000 00	501c3	Human Service
ERIE CANAL DISCOVERY-NIAGARA COUNTY	24 Church Street Lockport NY 14094	35,000 00	501c3	History/Tourism
ERIE CANAL WORKCAMP	160 Chestnut Street Lockoort NY 14094	6,000 00	501c3	Community service
FAMILIES OF SPINAL MUSCULAR ATROPHY	PO Box 444 North Tonawanda NY 14120	1,000 00	501c3	Health
FAMILY & CHILDRENS	21-41 Lockview Plaza Lockport NY 14094	12,000 00	501c3	Human Service
FELLOWSHIP HOUSE	625 Buffalo Avenue Niagara Falls NY 14302	10,000 00	501c3	Human Service
FIRST PRESBYTERIAN	21 Church Street Lockport NY 14094	12,000 00	501c3	Religious
GIRL SCOUTS OF AMERICA	3332 Walden Ave Suite 106 Depew NY 14043	76,380 00	501c3	Youth
GLENWOOD CEMETERY	325 Glenwood Avenue Lockport NY 14094	3,600 00	501c13	Community service
HABITAT FOR HUMANITY	75 East Avenue Lockport NY 14094	12,000 00	501c3	Community service
HART INTERFAITH	505 Cayuga Street Lewiston, NY 14092	1,800 00	501c3	Elderly
HOUSE OF HOPE	PO Box 1270 Newark NY 07101-1270	1,149 98	501c3	Youth
JUNIOR ACHIEVEMENT	275 Oak Street Suite 222 Buffalo NY 14203-1638	12,000 00	501c3	Youth
KALEIDA HEALTH FOUNDATION	1260 Delaware Ave Buffalo NY 14209	15,000 00	501c3	Health
KENAN CENTER	433 Locust Street Lockport NY 14094	166,900 00	501c3	Arts
KIDS ESCAPING DRUGS	PO Box 643 920 Harlem Rd	20,000 00	501c3	Health
LOCKPORT CITY SCHOOL / ELEMENTARY LIFE SKILLS	130 Beattie Avenue Lockport NY 14094	3,000 00	501c3	Youth
LOCKPORT CITY SCHOOL /PARENTS AS TEACHERS	130 Beattie Avenue Lockport NY 14094	6,568 00	501c3	Youth
LOCKPORT ICE ARENA & SPORTS	172 EAST AVE LOCKPORT NY 14094	20,000 00	501c3	Community service
LOCKPORT LIBRARY	PO Box 475 East Avenue Lockport NY 14094	40,255 00	501c3	Arts
LOCKPORT MAIN STREET INC	1 East Main Street Lockport NY 14094	30,000 00	501c3	History/Tourism
LOCKPORT NEW BEGINNINGS	271 Elmwood Avenue Lockport NY 14094	2,000 00	501c3	Human Service
MARKET STREET ART CENTER	247 Market Street Lockport NY 14094	25,000 00	501c3	Arts
MENTAL HEALTH ASSOCIATION	36 Pine Street Lockport NY 14094	15,200 00	501c3	Health
MOUNT ST MARY'S HEALTH CENTER	5300 Military Road Lewiston NY 14092	50,000 00	501c3	Health
NCCC FOUNDATION	3111 Saunders Settlement Rd Lockport NY 14094	212,000 00	501c3	Education
NEW DIRECTIONS	6395 Old Niagara Rd Lockport NY 14094	12,000 00	501c3	Youth
NIAGARA COMMUNITY ACTION PROGRAM	1521 Main Street Niagara Falls NY 14305	7,500 00	501c3	Community service
NIAGARA COUNTY HISTORICAL	215 NIAGARA STREET LOCKPORT NY 14094	128,900 00	501c3	History/Tourism
NIAGARA COUNTY SHERIFF	5526 Niagara Street Ext Lockport NY 14094	5,000 00	501c3	Elderly
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	18,400 00	501c3	Health
NIAGARA UNIVERSITY	Room 221 Niagara University , NY 14009	100,000 00	501c3	Education
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	18,400 00	501c3	Education
OLCOTT BEACH CAROUSEL	PO Box 308 Olcott NY 14126	10,000 00	501c3	History/Tourism
OLD FORT NIAGARA	PO Box 169 Youngstown NY 14174	6,000 00	501c3	History/Tourism
OPPORTUNITIES UNLIMITED	107 Heath Street Lockport NY 14094	6,400 00	501c3	Youth
PALACE THEATER	2 East Avenue Lockport NY 14094	53,200 00	501c3	Arts
PRESBYTERIAN HOME FOUNDATION	4455 Transit Rd Williamsville, NY 14221	12,000 00	501c3	Elderly
RANSOMVILLE FREE LIBRARY	3733 Ransomville Road Ransomville, NY 14131	25,000 00	501c3	Arts
SALVATION ARMY	50 Cottage Street Lockport NY 14094	18,400 00	501c3	Human Service
SANBORN AREA HISTORICAL SOCIETY	PO Box 172 Sanborn NY 14132	750 00	501c3	History/Tourism
SUMMIT EDUCATIONAL RESEARCH	150 Stahl Road Getzville NY 14068	15,000 00	501c3	Education
UNITED WAY OF GREATER NIAGARA	3000 Military Rd Niagara Falls NY 14304	28,200 00	501c3	Human Service
WILSON COMMUNITY ENHANCEMENT	PO Box 875 Wilson NY 14172	2,000 00	501c3	Community service
WNED	PO Box 1263 Buffalo, NY 14240	500 00	501c3	Arts
WNY GRANTMAKERS	PO Box 1333 Buffalo NY 14205-1333	2,000 00	501c3	Education
YMCA	19 East Avenue Lockport NY 14094	18,400 00	501c3	Human Service
YWCA	32 Cottage Street Lockport NY 14094	18,400 00	501c3	Human Service
YWCA TRUSTEES	32 Cottage Street Lockport NY 14094	12,000 00	501c3	Human Service
		1,600,693.98		