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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning _____, **2010, and ending** _____

G Check all that apply. Initial return Initial Return of a former public charity Final return
 Amended return Address change Name change

SLOCUM-DICKSON FOUNDATION, INC.
1729 BURRSTONE ROAD
NEW HARTFORD, NY 13413

A Employer identification number
15-6018016

B Telephone number (see the instructions)

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 1,164,965.

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	23,184.	23,184.	23,184.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	8,505.			
	b Gross sales price for all assets on line 6a	170,816.			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
10a Gross sales less returns and allowances					
b Less Cost of goods sold	295				
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	31,689.	23,184.	23,184.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	1,360.	1,360.	1,360.	
	c Other prof fees (attach sch) SEE ST 2	8,175.	8,175.	8,175.	
	17 Interest				
	18 Taxes (attach schedule)(see instr) SEE STM 3	586.	586.	586.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (attach schedule) SEE STATEMENT 4	2,884.	2,884.	2,884.		
24 Total operating and administrative expenses. Add lines 13 through 23	13,005.	13,005.	13,005.		
25 Contributions, gifts, grants paid PART XV	92,792.			92,792.	
26 Total expenses and disbursements. Add lines 24 and 25	105,797.	13,005.	13,005.	92,792.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-74,108.				
b Net investment income (if negative, enter -0-)		10,179.			
c Adjusted net income (if negative, enter -0-)			10,179.		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
ASSETS	1	Cash – non-interest-bearing					
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶					
		Less allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)					
	7	Other notes and loans receivable (attach sch) ▶					
		Less allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments – U S and state government obligations (attach schedule) STATEMENT 5	980,601.	981,453.	1,034,651.		
	b	Investments – corporate stock (attach schedule)					
	c	Investments – corporate bonds (attach schedule)	49,904.				
	11	Investments – land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶						
12	Investments – mortgage loans						
13	Investments – other (attach schedule) STATEMENT 6	150,311.	125,254.	130,314.			
14	Land, buildings, and equipment: basis ▶						
	Less accumulated depreciation (attach schedule) ▶						
15	Other assets (describe ▶)						
16	Total assets (to be completed by all filers – see instructions Also, see page 1, item l)	1,180,816.	1,106,707.	1,164,965.			
LIABILITIES	17	Accounts payable and accrued expenses	1,500.	1,500.			
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶)	1.				
	23	Total liabilities (add lines 17 through 22)	1,501.	1,500.			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>						
	27	Capital stock, trust principal, or current funds	1,179,315.	1,105,207.			
	28	Paid-in or capital surplus, or land, building, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see the instructions)	1,179,315.	1,105,207.				
31	Total liabilities and net assets/fund balances (see the instructions)	1,180,816.	1,106,707.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,179,315.
2	Enter amount from Part I, line 27a	2	-74,108.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,105,207.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,105,207.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	

If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	62,252.	1,055,924.	0.058955
2008	78,318.	1,181,824.	0.066269
2007	99,229.	1,387,757.	0.071503
2006	93,600.	1,362,568.	0.068694
2005	83,855.	1,311,220.	0.063952
2 Total of line 1, column (d)			2 0.329373
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.065875
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 1,139,322.
5 Multiply line 4 by line 3			5 75,053.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 102.
7 Add lines 5 and 6			7 75,155.
8 Enter qualifying distributions from Part XII, line 4			8 92,792.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	102.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	102.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	102.
6	Credits/Payments:		
a	2010 estimated tax pmts and 2009 overpayment credited to 2010	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	102.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VIIA Statements Regarding Activities

	Yes	No
1 a		X
1 b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1 c		X
d		
e		
2		X
3		X
4 a		X
4 b	N/A	
5		X
6	X	
7	X	
8 a		
8 b	X	
9		X
10		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
- Organizations relying on a current notice regarding disaster assistance check here
- c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
- If 'Yes,' attach the statement required by Regulations section 53.4945-5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
- If 'Yes' to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

5b	N/A	
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	

2	

3	

4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount	
1 N/A		

2		

All other program-related investments See instructions		
3		

Total. Add lines 1 through 3		0.

BAA

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	1,156,672.
b Average of monthly cash balances	1b	
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	1,156,672.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,156,672.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	17,350.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,139,322.
6 Minimum investment return. Enter 5% of line 5	6	56,966.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	56,966.
2a Tax on investment income for 2010 from Part VI, line 5	2a	102.
b Income tax for 2010 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	102.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	56,864.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	56,864.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	56,864.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	92,792.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	92,792.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	102.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,690.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				56,864.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	24,192.			
c From 2007	32,813.			
d From 2008	19,835.			
e From 2009	9,792.			
f Total of lines 3a through e	86,632.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 92,792.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2010 distributable amount				56,864.
e Remaining amount distributed out of corpus	35,928.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	122,560.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	122,560.			
10 Analysis of line 9				
a Excess from 2006	24,192.			
b Excess from 2007	32,813.			
c Excess from 2008	19,835.			
d Excess from 2009	9,792.			
e Excess from 2010	35,928.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a.					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities.					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 9				
Total				▶ 3a 92,792.
b Approved for future payment				
Total				▶ 3b

CLIENT SLOCUM

SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/26/11

09 25AM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,360.	\$ 1,360.	\$ 1,360.	
TOTAL	<u>\$ 1,360.</u>	<u>\$ 1,360.</u>	<u>\$ 1,360.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 8,175.	\$ 8,175.	\$ 8,175.	
TOTAL	<u>\$ 8,175.</u>	<u>\$ 8,175.</u>	<u>\$ 8,175.</u>	<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	\$ 586.	\$ 586.	\$ 586.	
TOTAL	<u>\$ 586.</u>	<u>\$ 586.</u>	<u>\$ 586.</u>	<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 1,424.	\$ 1,424.	\$ 1,424.	
MISCELLANEOUS	1,460.	1,460.	1,460.	
TOTAL	<u>\$ 2,884.</u>	<u>\$ 2,884.</u>	<u>\$ 2,884.</u>	<u>\$ 0.</u>

Schedule 1

Royal Alliance
 Royal Alliance Associates, Inc
 One World Financial Center, 15th Floor
 New York, New York 10281
 800-821-5100

Slocum Dickson Foundation, Inc
 15-6018016

Brokerage Account Statement

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Money Market									
	12/01/10	0000208196	12/31/10	32,640.03	24,140.26	0.00	4.24	0.01%	0.01%
	24,140.260			\$32,640.03	\$24,140.26	\$0.00	\$4.24		
				\$32,640.03	\$24,140.26	\$0.00	\$4.24		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 11.00% of Portfolio (In CUSIP Sequence)									
Corporate Bonds									
	3CONSOLIDATED EDISON CO N Y INC DEB SER		Security Identifier 209111DZ3						
	2002 A 5.625% 07/01/12 B/E								
	DTD 06/24/02 1ST CPN DTE 01/01/03 CPN PMT SEMI ANNUAL ON								
	JAN 01 AND JUL 01								
	Moody Rating A3 S & P Rating A-								
	50,000.000	101.0180	50,205.39	106.7130	53,356.50	3,151.11	1,406.25	2,812.50	5.27%
	Original Cost Basis: 50,509.00								
	GENERAL ELEC CAP CORP MEDIUM TERM NTS		Security Identifier 36962GU69						
	MED TERM NT 5.000% 01/08/16 B/E								
	DTD 01/09/06 1ST CPN DTE 07/08/06 CPN PMT SEMI ANNUAL ON								
	JAN 08 AND JUL 08								
	Moody Rating AA2 S & P Rating AA+								
	25,000.000	100.3040	25,061.65	106.7400	26,685.00	1,623.35	600.69	1,250.00	4.68%
	Original Cost Basis: 25,076.09								
	3VERIZON COMMUNICATIONS INC		Security Identifier 92343VAB0						
	NT 5.350% 02/15/11 B/E								
	DTD 02/15/06 CALLABLE 1ST CPN DTE 08/15/06 CPN PMT SEMI ANNUAL								
	ON FEB 15 AND AUG 15								
	Moody Rating A3 S & P Rating A-								
	50,000.000	99.4960	49,987.07	100.5440	50,272.00	284.93	1,010.56	2,675.00	5.32%

Schedule 1

Royal Alliance Associates, Inc
One World Financial Center, 15th Floor
New York, New York 10281
800-821-5100

Brokerage

Account Statement

Slocum Dickson Foundation, Inc
15-6018016

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
Mutual Funds (continued)								
THE INVESTMENT COMPANY OF AMERICA CLASS F-1								
Open End Fund			Security Identifier: AICFX					
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
2,155,762		28.7950	62,074.41	28.1200	60,620.03	-1,454.38	1,098.79	1.81%
OPPENHEIMER INTL BOND CLASS A								
Open End Fund			Security Identifier: OIBAX					
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
9,051,451		6.5310	59,113.68	6.5600	59,377.52	263.84	2,439.51	4.10%
PIMCO TOTAL RETURN CLASS A								
Open End Fund			Security Identifier: PTTAX					
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
14,697,402		10.9360	160,730.41	10.8500	159,466.81	-1,263.60	4,453.07	2.79%
VANGUARD INFLATION PROTECTED SECURITIES FUND								
Open End Fund			Security Identifier: VIPSX					
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
4,233,186		12.5380	53,076.44	13.0000	55,031.42	1,954.98	1,341.91	2.43%
VANGUARD MID-CAP STOCK INDEX FUND								
Open End Fund			Security Identifier: VIMSX					
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
4,807,298		15.9760	76,800.27	20.3100	97,635.00	20,834.73	1,033.55	1.05%
Total Mutual Funds			\$957,313.10		\$1,010,511.15	\$53,198.05	\$16,209.97	
Total Mutual Funds								
			\$957,313.10		\$1,010,511.15	\$53,198.05	\$16,209.97	
			24,140.26		24,140.26			
			981,453.36		1,034,651.41			
			EBT-Basis		Market Value			
			\$1,106,707.47		\$1,164,964.91	\$58,257.44	\$3,017.50	
Total Portfolio Holdings								
			\$1,106,707.47		\$1,164,964.91	\$58,257.44	\$3,017.50	
							\$22,951.71	

(Money Market)

CLIENT SLOCUM

SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/26/11

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STATEMENT 5
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

<u>U.S. GOVERNMENT OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED SCHEDULE 1	COST	\$ 981,453.	\$ 1,034,651.
		\$ 981,453.	\$ 1,034,651.
	TOTAL	<u>\$ 981,453.</u>	<u>\$ 1,034,651.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER SECURITIES</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED SCHEDULE 1	COST	\$ 125,254.	\$ 130,314.
	TOTAL	<u>\$ 125,254.</u>	<u>\$ 130,314.</u>

STATEMENT 7
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP & DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
JOAN MURPHY 168 LITCHFIELD ST FRANKFORT, NY 13340	PRESIDENT <i>15 hrs annually</i>	\$ 0.	\$ 0.	\$ 0.
REVEREND PAUL DROBIN PO BOX 8245 UTICA, NY 13505	VICE PRESIDENT <i>15 hrs annually</i>	0.	0.	0.
MICHAEL STEWART 185 GENESEE ST SUITE 210 UTICA, NY 13501	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
JONAS KELLY 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
RICHARD COMPSON 2621 GENESEE ST UTICA, NY 13501	TREASURER <i>5 hrs annually</i>	0.	0.	0.

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP & DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
GAIL JOHNSON, MD 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR <i>5 hrs annually</i>	\$ 0.	\$ 0.	\$ 0.
KERRY ROGERS 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
JAMES CEASER, MD 32 MORGAN LANE NEW HARTFORD, NY 13413	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
ELIZABETH DUGAN 2634 EDGEWOOD RD UITICA, NY 13501	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
STEPHEN EADLINE, MD 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR <i>5 hrs annually</i>	0.	0.	0.
TOTAL		<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 8
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
NAME: SLOCUM DICKSON FOUNDATION, INC
CARE OF:
STREET ADDRESS: 1729 BURRSTONE ROAD
CITY, STATE, ZIP CODE: NEW HARTFORD, NY 13413
TELEPHONE:
FORM AND CONTENT: DETAILED LETTER REQUEST WITH SUPPORTING DOCUMENTS
SUBMISSION DEADLINES: MARCH 1 AND SEPTEMBER 1 OF EACH YEAR
RESTRICTIONS ON AWARDS: CENTRAL NEW YORK, MEDICAL EDUCATION RELATED

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

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STATEMENT 9
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UTICA COLLEGE BURRSTONE ROAD UTICA, NY 13502	NA	501C3	GENERAL FUND	\$ 11,850.
VALLEY HEALTH SERVICES, INC 690 W. GERMAN STREET HERKIMER, NY 13350	NA	501C3	GENERAL FUND	1,805.
MASONIC MEDICAL RESEARCH LAB 2150 BLEEKER STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	4,800.
CENTRAL ASSOCIATION FOR BLIND 507 KENT STREET UTICA, NY 13502	NA	501C3	GENERAL FUND	2,557.
HERKIMER COUNTY COMM COLL 100 RESERVOIR ROAD HERKIMER, NY 13350	NA	501C3	GENERAL FUND	2,000.
CORNELL COOPERATIVE EXTENSION ORISKANY, NY	NA	501C3	GENERAL FUND	7,400.
BOCES NEW VISION HEALTH 4747 MIDDLESETTLEMENT ROAD NEW HARTFORD, NY 13413,	NA	501C3	GENERAL FUND	5,897.
FAXTON-ST LUKES HEALTHCARE 2215 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	16,000.
ST ELIZABETH SCHOOL OF NURSING 2215 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	5,000.
AMERICAN RED CROSS 1415 GENESEE STREET UTICA, NY 13501,	NA	501C3	GENERAL FUND	6,301.
KELBERMAN CENTER ARMORY DRIVE UTICA, NY 13501	NA	501C3	GENERAL FUND	3,000.
ST JOSEPH'S NURSING HOME 2535 GENESEE ST UTICA, NY 13501	N/A	501C3	GENERAL FUND	2,650.

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/26/11

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STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
ARC ONEIDA-LEWIS COUNTY 245 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	\$ 5,000.
BOY SCOUTS OF AMERICA 1401 GENESEE ST UTICA, NY 13501	NA	501C3	GENERAL FUND	5,000.
THE BEREAVEMENT CENTER 2310 GENESEE STREET UTICA, NY 13502	NA	501C3	GENERAL FUND	1,351.
ABRAHAM HOUSE 1203 KEMBLE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	809.
CNY ACADEMY OF MEDICINE 4311 MIDDLE SETTLEMENT ROAD NEW HARTFORD, NY 13413	NA	501C3	GENERAL FUND	372.
SLOCUM DICKSON EDUCATIONAL INSTITUTE 1729 BURRSTONE ROAD NEW HARTFORD, NY 13413	NA	501C3	GENERAL FUND	8,500.
SPECIAL OLYMPICS 6315 FLY RD SYRACUSE, NY 13057	NA	501C3	GENERAL FUND	2,500.
TOTAL \$				<u>92,792.</u>