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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE JOHNSON FAMILY FOUNDATION, INC.		EXTENSION ATTACHED		A Employer identification number 13-7118242
Number and street (or P.O. box number if mail is not delivered to street address) 136 EAST 79TH STREET, 7A		Room/suite		B Telephone number 646-205-1557
City or town, state, and ZIP code NEW YORK, NY 10075		C If exemption application is pending, check here <input type="checkbox"/>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,814,273. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		52,421.	52,421.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		300,881.			
b Gross sales price for all assets on line 6a 1,322,107.					
7 Capital gain net income (from Part IV, line 2)			300,881.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<12,457.>	<21,873.>	0.	STATEMENT 2
12 Total Add lines 1 through 11		340,845.	331,429.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		500.	0.	0.	500.
b Accounting fees					
c Other professional fees STMT 4		45,874.	45,874.	0.	0.
17 Interest					
18 Taxes STMT 5		1,425.	789.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications		245.	0.	0.	245.
23 Other expenses STMT 6		250.	0.	0.	250.
24 Total operating and administrative expenses Add lines 13 through 23		48,294.	46,663.	0.	995.
25 Contributions, gifts, grants paid		699,010.			699,010.
26 Total expenses and disbursements Add lines 24 and 25		747,304.	46,663.	0.	700,005.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<406,459.>			
b Net investment income (if negative, enter -0-)			284,766.		
c Adjusted net income (if negative, enter -0-)				0.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		39,314.	251,545.	251,545.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8		2,196,713.	2,114,553.	3,336,950.
	c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 9)		2,765,625.	2,225,778.	2,225,778.	
16	Total assets (to be completed by all filers)		5,001,652.	4,591,876.	5,814,273.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		1,435,869.	1,435,869.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		4,942,267.	4,942,267.	
29	Retained earnings, accumulated income, endowment, or other funds		<1,376,484.>	<1,786,260.>		
30	Total net assets or fund balances		5,001,652.	4,591,876.		
31	Total liabilities and net assets/fund balances		5,001,652.	4,591,876.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,001,652.
2	Enter amount from Part I, line 27a	2	<406,459.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,595,193.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	3,317.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,591,876.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,322,107.		1,021,226.	300,881.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			300,881.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	300,881.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,550,422.	6,261,019.	.247631
2008	1,535,352.	10,447,613.	.146957
2007	1,947,590.	11,599,611.	.167901
2006	1,942,320.	11,985,669.	.162054
2005	1,731,070.	7,507,240.	.230587

2 Total of line 1, column (d)	2	.955130
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.191026
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	5,389,557.
5 Multiply line 4 by line 3	5	1,029,546.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,848.
7 Add lines 5 and 6	7	1,032,394.
8 Enter qualifying distributions from Part XII, line 4	8	700,005.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	5,695.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	5,695.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,695.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	49.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,256.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THOMAS S. JOHNSON</u> Telephone no. ► <u>212-570-1268</u> Located at ► <u>136 EAST 79TH STREET, APT 7A, NEW YORK, NY</u> ZIP+4 ► <u>10021</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> <input checked="" type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGARET ANN JOHNSON 136 EAST 79TH STREET, 7A NEW YORK, NY 10075	CHAIRMAN 5.00	0.	0.	0.
THOMAS S. JOHNSON 136 EAST 79TH STREET, 7A NEW YORK, NY 10075	TREASURER 5.00	0.	0.	0.
THOMAS P. JOHNSON 136 EAST 79TH STREET, 7A NEW YORK, NY 10075	DIRECTOR 5.00	0.	0.	0.
MARGARET A. JOHNSON 136 EAST 79TH STREET, 7A NEW YORK, NY 10075	DIRECTOR 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	3,032,990.
b Average of monthly cash balances	1b	212,862.
c Fair market value of all other assets	1c	2,225,779.
d Total (add lines 1a, b, and c)	1d	5,471,631.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,471,631.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	82,074.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,389,557.
6 Minimum investment return. Enter 5% of line 5	6	269,478.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	269,478.
2a Tax on investment income for 2010 from Part VI, line 5	2a	5,695.
b Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	5,695.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	263,783.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	263,783.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	263,783.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	700,005.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	700,005.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	700,005.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				263,783.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	1,184,096.			
b From 2006	1,368,063.			
c From 2007	1,399,075.			
d From 2008	1,028,507.			
e From 2009	1,240,917.			
f Total of lines 3a through e	6,220,658.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 700,005.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				263,783.
e Remaining amount distributed out of corpus	436,222.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,656,880.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	1,184,096.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	5,472,784.			
10 Analysis of line 9:				
a Excess from 2006	1,368,063.			
b Excess from 2007	1,399,075.			
c Excess from 2008	1,028,507.			
d Excess from 2009	1,240,917.			
e Excess from 2010	436,222.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 10				
Total			▶ 3a	699,010.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	52,421.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			18	<21,873.>		
8 Gain or (loss) from sales of assets other than inventory			18	300,881.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a FEDERAL REFUND			14	9,416.		
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		340,845.		0.
13 Total Add line 12, columns (b), (d), and (e)					13	340,845.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| | | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRESIDENT

Title

Print/Type preparer's name	
----------------------------	--

Preparer's signature

Date

Check ☐ self-employed

PTIN

**Paid
Preparer
Use Only**

SARA RABI

Sara Rabin

6/6/11

Firm's name ► **MARKS PANETH & SHRON LLP**

Firm's EIN ▶

Firm's address ► 622 THIRD AVENUE
NEW YORK, NY 10017

Phone no. 212 503-8800

Form **990-PF** (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT D-1	P	VARIOUS	VARIOUS
b SEE ATTACHED STATEMENT D-1	P	VARIOUS	VARIOUS
c SEE ATTACHED STATEMENT D-2	P	VARIOUS	VARIOUS
d SEE ATTACHED STATEMENT D-2	P	VARIOUS	VARIOUS
e INST DIVERSIFIED ARBITRAGE	P	VARIOUS	VARIOUS
f INST INVESTMENT FUND LTD	P	VARIOUS	VARIOUS
g STOCK SETTLEMENT	P	VARIOUS	VARIOUS
h STOCK SETTLEMENT	P	VARIOUS	VARIOUS
i STOCK SETTLEMENT	P	VARIOUS	VARIOUS
j STOCK SETTLEMENT	P	VARIOUS	VARIOUS
k STOCK SETTLEMENT	P	VARIOUS	VARIOUS
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 111,175.		96,401.	14,774.
b 150,722.		130,277.	20,445.
c 88,809.		78,375.	10,434.
d 370,490.		197,700.	172,790.
e 300,000.		219,689.	80,311.
f 300,000.		298,784.	1,216.
g 57.			57.
h 66.			66.
i 360.			360.
j 147.			147.
k 281.			281.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			14,774.
b			20,445.
c			10,434.
d			172,790.
e			80,311.
f			1,216.
g			57.
h			66.
i			360.
j			147.
k			281.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

300,881.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	21,519.	0.	21,519.
JP MORGAN	60.	0.	60.
JP MORGAN - FOREIGN DIVIDENDS	9,931.	0.	9,931.
NEUBERGER BERMAN	20,876.	0.	20,876.
NEUBERGER BERMAN-MM	35.	0.	35.
TOTAL TO FM 990-PF, PART I, LN 4	52,421.	0.	52,421.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NIBMO PARTNERS - PASSTHROUGH INCOME	338.	338.	0.
3C PARTNERS - PASSTHROUGH INCOME	<22,211.>	<22,211.>	0.
FEDERAL REFUND	9,416.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	<12,457.>	<21,873.>	0.

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HUDDLESTON, BOLEN, BEATTY-FEES	500.	0.	0.	500.
TO FM 990-PF, PG 1, LN 16A	500.	0.	0.	500.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
J.P. MORGAN INVESTMENT FEES	5,880.	5,880.	0.	0.	
BECK, MACK & OLIVER - MGMT	17,854.	17,854.	0.	0.	
NEUBERGER BERMAN INV. FEES	22,140.	22,140.	0.	0.	
TO FORM 990-PF, PG 1, LN 16C	45,874.	45,874.	0.	0.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	789.	789.	0.	0.	
FEDERAL TAXES	636.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	1,425.	789.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FILING FEES	250.	0.	0.	250.	
TO FORM 990-PF, PG 1, LN 23	250.	0.	0.	250.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
DECREASE IN CURRENT YEAR INVESTMENT	327.
NON-DEDUCTIBLE CHARITABLE CONTRIBUTION	2,990.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,317.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT B	2,114,553.	3,336,950.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,114,553.	3,336,950.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INST DIVERSIFIED ARBITRAGE FD	1,083,164.	863,475.	863,475.
3C PARTNERS	82,482.	60,771.	60,771.
INST INVESTMENT FUND, LTD	1,600,000.	1,301,216.	1,301,216.
NIBMO PARTNERS	<21.>	316.	316.
TO FORM 990-PF, PART II, LINE 15	2,765,625.	2,225,778.	2,225,778.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ASIA SOCIETY 725 PARK AVENUE NEW YORK, NY 10021	N/A GENERAL SUPPORT	501(C)(3)	3,000.
BETHEL ENSLEY ACTION TASK INC. 1524 AVENUE D BIRMINGHAM, AL 35218	N/A HOUSING REHABILITATION	501(C)(3)	5,000.
CANCER RESEARCH INSTITUTE 55 BROADWAY SUITE 1802 NEW YORK, NY 10022	N/A CANCER RESEARCH	501(C)(3)	1,000.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	N/A SUPPORT OF EDUCATION	501(C)(3)	50,000.
GEORGETOWN UNIVERSITY 3604 O STREET NW WASHINGTON, DC 20097	N/A SUPPORT OF EDUCATION	501(C)(3)	5,000.
HARVARD BUSINESS SCHOOL ROCK CENTER 318 SOLDIERS FIELD BOSTON, MA 02163	N/A SUPPORT OF EDUCATION	501(C)(3)	30,000.
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVENUE SUITE 1400 NEW YORK, NY 10022	N/A SUPPORT OF EDUCATION	501(C)(3)	15,000.
INSTITUTE OF INTERNATIONAL EDUCATION 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	N/A SUPPORT OF EDUCATION	501(C)(3)	76,000.

THE JOHNSON FAMILY FOUNDATION, INC.

13-7118242

LAWRENCEVILLE SCHOOL P.O. BOX 6125 LAWRENCEVILLE, NJ 06848	N/A SUPPORT OF EDUCATION	501(C)(3)	5,000.
MONTCLAIR KIMBERLY ACADEMY 201 VALLEY ROAD MONTCLAIR, NJ 07042	N/A SUPPORT OF EDUCATION	501(C)(3)	5,000.
NATIONAL 9-11 MEMORIAL AND MUSEUM FOUNDATION ONE LIBERTY PLAZA 20TH FLOOR NEW YORK, NY 10006	N/A GENERAL SUPPORT	501(C)(3)	7,010.
NEW YORK UNIVERSITY SCHOOL OF LAW FOUNDATION 161 AVENUE OF THE AMERICAS NEW YORK, NY 10013	N/A SUPPORT OF EDUCATION	501(C)(3)	5,000.
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE 4TH FLOOR EVANSTON, IL 60208	N/A EDUCATION & RESEARCH	501(C)(3)	5,000.
SAINT CATHERINES HIGH SCHOOL 1200 PARK AVENUE RACINE, WI 53403	N/A SUPPORT OF EDUCATION	501(C)(3)	5,000.
TRINITY COLLEGE 300 SUMMIT STREET HARTFORD, CT 06106	N/A SUPPORT OF EDUCATION	501(C)(3)	445,000.
TRINITY SCHOOL 139 WEST 91ST STREET NEW YORK, NY 10024	N/A SUPPORT OF EDUCATION	501(C)(3)	7,500.
UNION THEOLOGICAL SEMINARY 3041 BROADWAY NEW YORK, NY	N/A SUPPORT OF RELIGION	501(C)(3)	2,500.
UNITED WAY 2 PARK AVENUE NEW YORK, NY 10016	N/A TO ADDRESS URGENT COMMUNITY ISSUES	501(C)(3)	8,000.

THE JOHNSON FAMILY FOUNDATION, INC.

13-7118242

WNET CHANNEL 13 450 WEST 33RD STREET NEW YORK, NY 10001	N/A SUPPORT OF THE ARTS	501(C)(3)	1,000.
ARTIST ASSOCIATION OF NANTUCKET ONE GARDNER PERRY LANE NANTUCKET, MA 02554	N/A SUPPORT OF THE ARTS	501(C)(3)	5,000.
HUDSON RIVER CENTER 313 MILL STREET POUGHKEEPSIE, NY 12601	N/A GENERAL SUPPORT	501(C)(3)	1,250.
THE BRIMINGHAM HOME OWNERS CENTER 4117 2ND AVENUE SOUTH BIRMINGHAM, AL 35222	N/A GENERAL SUPPORT	501(C)(3)	1,250.
WOODSTOCK THEOLOGICAL CENTER 3604 O STREET NW WASHINGTON, DC 20057	N/A SUPPORT OF EDUCATION	501(C)(3)	500.
BACHMAN STRAUSS 551 FIFTH AVENUE SUITE 520 NEW YORK, NY 10176	N/A GENERAL SUPPORT	501(C)(3)	5,000.
TOWER OF HOPE 228 PARK AVENUE SOUTH SUITE 19065 NEW YORK, NY 10003	N/A GENERAL SUPPORT	501(C)(3)	2,500.
NANTUCKET BOYS & GIRLS CLUB 61 SPARKS AVE NANTUCKET, MA 02554	N/A GENERAL SUPPORT	501(C)(3)	2,500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

699,010.

Capital Gains

JOHNSON FAMILY FOUNDATION, INC.
EIN: 13-7118242

STATEMENT D - 1

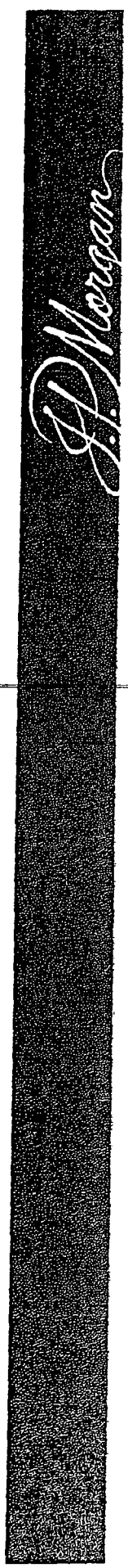
Capital Gains Summary From 01/01/2010 To 12/31/2010	
Description	Totals
Short Term Gain/Loss	14,774.52
Long Term Gain/Loss	20,444.96
Total Short Sale Gain/Loss	0.00
Discount Income	0.00
Total Capital Gains/Losses	35,219.48
Adjusted Basis	226,677.95
Proceeds	261,897.43
Total Curr Gain/Loss	0.00

EQUITIES

Purchase Date	Close Date	No. of Shares	Orig Face	Orig Price	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
09/17/2009	03/31/2010	500.00	0.00	RIG	***TRANSOCEAN LTD AN LT O	72.362	86.258	36,180.80	43,128.13	ST	6,947.33	0.00	36,180.80	0.00	0.00
08/28/2009	05/25/2010	100.00	0.00	APC	NAMEN AKT US LISTED	54.600	51.027	5,460.00	5,102.59	ST	-357.41	0.00	5,460.00	0.00	0.00
12/11/2009	09/16/2010	300.00	0.00	BA	ANADARKO PETROLEUM CORP	55.354	62.626	16,606.08	18,787.57	ST	2,181.49	0.00	16,606.08	0.00	0.00
12/11/2009	09/17/2010	300.00	0.00	BA	BOEING CO	55.354	62.399	16,606.09	18,719.50	ST	2,113.41	0.00	16,606.09	0.00	0.00
10/22/2010	12/16/2010	200.00	0.00	AXP	AMERICAN EXPRESS COMPANY	39.597	44.904	7,919.45	8,980.61	ST	1,061.16	0.00	7,919.45	0.00	0.00
10/15/2010	12/16/2010	200.00	0.00	AXP	AMERICAN EXPRESS COMPANY	39.418	44.904	7,883.56	8,980.60	ST	1,097.04	0.00	7,883.56	0.00	0.00
10/15/2010	12/30/2010	100.00	0.00	APC	ANADARKO PETROLEUM CORP	57.447	74.764	5,744.73	7,476.23	ST	1,731.50	0.00	5,744.73	0.00	0.00

JOHNSON FAMILY FOUNDATION, INC
EIN 13-7118242

ST - TERM	1,700 00				96,400 71	111,175 23	ST	14,774.52	0 00	96,400.71	0 00	0.00
TOTAL												
01/30/2009	05/06/2010	100.00	0 00	KR	22 946	22 363	KROGER CO	22 946	22 363	2,294 64	0 00	0.00
04/29/2009	05/19/2010	200 00	0 00	KR	22 041	22 472	KROGER CO	22 041	22 472	4 408 13	0 00	0.00
01/26/2009	05/25/2010	300 00	0 00	WSH	24 168	29 136	***WILLIS GROUP HOLDINGS PLC	24 168	29 136	7,250 33	0 00	0.00
04/04/2005	06/01/2010	200 00	0 00	APC	39 240	45 413	ANADARKO PETROLEUM CORP	39 240	45 413	7,863 02	0 00	0.00
04/04/2005	06/09/2010	500 00	0 00	APC	39 240	37 194	ANADARKO PETROLEUM CORP	39 240	37 194	19,657 55	0 00	0.00
01/26/2009	06/19/2010	200 00	0 00	WSH	24 168	32 151	***WILLIS GROUP HOLDINGS PLC	24 168	32 151	4,833 56	0 00	0.00
10/30/2008	09/27/2010	100 00	0 00	UN	23 358	29 754	***UNILEVER N V NEW YORK SHS NEW	23 358	29 754	2,335 76	0 00	0.00
10/15/2008	09/27/2010	300 00	0 00	UN	24 458	29 754	***UNILEVER N V NEW YORK SHS NEW	24 458	29 754	7,337 35	0 00	0.00
10/30/2008	09/28/2010	300 00	0 00	UN	23 358	29 832	***UNILEVER N V NEW YORK SHS NEW	23 358	29 832	7,007 28	0 00	0.00
05/18/2009	10/04/2010	600 00	0 00	KR	21 668	21 356	KROGER CO	21 668	21 356	13,001 03	0 00	0.00
04/29/2009	10/04/2010	500.00	0 00	KR	22 041	21 356	KROGER CO	22 041	21 356	11,020 31	0 00	0.00
08/17/2009	10/15/2010	1,200 00	0 00	CNA	21 249	28 274	CNA FINANCIAL CORP	21 249	28 274	25,498 56	0 00	0.00
05/18/2009	10/20/2010	100 00	0 00	ABT	43 029	52 221	ABBOTT LABORATORIE S	43 029	52 221	4 302 88	0 00	0.00
05/10/2009	10/22/2010	300 00	0 00	RGA	37 104	48 253	REINSURANCE GROUP OF AMERICA INCORPORATE D	37 104	48 253	11,131 08	0 00	0.00
10/30/2008	11/04/2010	100 00	0 00	UN	23 358	31 737	***UNILEVER N V NEW YORK SHS NEW	23 358	31 737	2,335 76	0 00	0.00
LT - TERM												
TOTAL		5,000.00								130,277 24	0.00	0.00
SECTION												
TOTAL		6,700 00								14,774.52	20,444.96	0.00
										226,677 95	261,897.43	0.00
										226,677 95	261,897.43	0.00



JOHNSON FAMILY FOUNDATION, INC.- BMO
Account Number Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note. S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NALCO HOLDING CO @ 24.52 24,520 00 BROKERAGE 50 00 TAX &/OR SEC .32 JEFFERIES & COMPANY	1,000 000	09/19/05	01/25/10	24,469.68	16,784.30	L 7,685 38
WATERS CORP @ 59 302 11,860 40 BROKERAGE 8 00 TAX &/OR SEC 16 INVESTMENT TECHNOLOGY GROUP (ITG)	200 000	05/03/02	01/25/10	11,852 24	5,409 64	L 6,442 60
LEUCADIA NATIONAL CORP @ 23 24 19,382 16 BROKERAGE 166 80 TAX &/OR SEC .25 OPPENHEIMER & CO INC	834 000	01/29/93	02/02/10	19,215 11	4,605 75	L 14,609 36



JOHNSON FAMILY FOUNDATION, INC.- BMO
 Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
 L Indicates Long Term Realized Gain/Loss
 F Indicates Foreign Exchange Gain/Loss
 on Capital Transactions
 O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CENOVUS ENERGY INC @ 23.4827 25,830.97 BROKERAGE 55.00 TAX &/OR SEC 33	1,100,000	04/25/03	02/08/10	25,775.64	8,682.77	L 17,092.87
MERRILL LYNCH PIERCE FENNER & SMIT						
CENOVUS ENERGY INC @ 23.6288 25,991.68 BROKERAGE 55.00 TAX &/OR SEC 33	1,100,000	04/25/03	02/09/10	25,936.35	8,682.77	L 17,253.58
CITATION GROUP/BCC CLRG						
ROPER INDUSTRIES INC @ 58.1902 5,819.02 BROKERAGE 4.00 TAX &/OR SEC 10	100,000	11/02/94	03/31/10	5,814.92	601.50	L 5,213.42
INVESTMENT TECHNOLOGY GROUP (ITG)						

J.P.Morgan

STATEMENT D-2



JOHNSON FAMILY FOUNDATION, INC.- BMO
 Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
 L indicates Long Term Realized Gain/Loss
 F indicates Foreign Exchange Gain/Loss on Capital Transactions
 O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HCC INSURANCE HOLDINGS INC @ 27 6188 16,571 28 BROKERAGE 24 00 TAX &/OR SEC 29	600 000	06/20/95	04/05/10	16,546 99	4,040.00	L 12,506 99
INVESTMENT TECHNOLOGY GROUP (ITG)						
JOHNSON & JOHNSON @ 65 4124 13,082 48 BROKERAGE 8 00 TAX &/OR SEC 23	200 000	02/22/93	04/05/10	13,074 25	1,985 25	L 11,089 00
INVESTMENT TECHNOLOGY GROUP (ITG)						
LEUCADIA NATIONAL CORP @ 25 5576 7,667 28 BROKERAGE 12 00 TAX &/OR SEC 13	300 000	01/29/93	04/05/10	7,655 15	1,656.74	L 5,998 41
INVESTMENT TECHNOLOGY GROUP (ITG)						



JOHNSON FAMILY FOUNDATION, INC.- BMO
 Account Number Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
 L indicates Long Term Realized Gain/Loss
 F indicates Foreign Exchange Gain/Loss
 on Capital Transactions
 O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ROPER INDUSTRIES INC @ 58 8984 5,889.84 BROKERAGE 4.00 TAX &/OR SEC 10 INVESTMENT TECHNOLOGY GROUP (ITG)	100 000	11/02/94	04/05/10	5,885 74	601 50	L 5,284 24
WATERS CORP @ 67 8589 6,785 89 BROKERAGE 4.00 TAX &/OR SEC 12 INVESTMENT TECHNOLOGY GROUP (ITG)	100.000	05/03/02	04/06/10	6,781 77	2,704 82	L 4,076.95
CERES POWER HLDGS HELD BY EUROCLEAR ISIN GB00B0351429 SEDOL B035142	9,500 000	10/15/07	07/02/10	10,492 43	60,421.90	L -49,929 47
RENAISSANCE HOLDING LTD @ 56 59 5,659 00 BROKERAGE 5.00 TAX &/OR SEC 10 AUTRANET INC	100 000	12/21/09	07/29/10	5,653 90	5,204.00	S 449 90

JP Morgan

STATEMENT D-2



JOHNSON FAMILY FOUNDATION, INC.- BMO
Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note. S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ROPER INDUSTRIES INC @ 61 9895 6,198 95 BROKERAGE 5 00 TAX &/OR SEC 11 WILLIAM BLAIR & COMPANY LLC	100.000	11/02/94	07/29/10	6,193.84	601.50	L 5,592.34
RENAISSANCE HOLDING LTD @ 57 00 11,400 00 BROKERAGE 10 00 TAX &/OR SEC .19 WEEDEN AND CO	200.000	12/21/09	08/17/10	11,389.81	10,408.00	S 981.81
ROPER INDUSTRIES INC @ 61 19 6,119 00 BROKERAGE 5 00 TAX &/OR SEC 10 WEEDEN AND CO	100.000	11/02/94	08/17/10	6,113.90	601.50	L 5,512.40

J.P.Morgan

STATEMENT D-2



JOHNSON FAMILY FOUNDATION, INC.- BMO
Account Number Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

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L Indicates Long Term Realized Gain/Loss
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on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
POTASH CORP SASKATCHEWAN INC	500 000	07/13/09	09/07/10	74,976 33	45,433 90	L 29,542 43
@ 150.0052 75,002 60						
BROKERAGE 25 00						
TAX &/OR SEC 1 27						
WILLIAM BLAIR & COMPANY LLC	1,200.000	02/08/10	09/24/10	22,612 06	24,829 32	S -2,217.26
WILLIAMS COMPANIES INC						
@ 18 8937 22,672 44						
BROKERAGE 60.00						
TAX &/OR SEC 38						
CITATION GROUP/BCC CLRG	800 000	09/22/03	12/16/10	28,095 52	13,124 00	L 14,971 52
NOBLE CORPORATION						
@ 35.16 28,128 00						
BROKERAGE 32 00						
TAX &/OR SEC 48						
INVESTMENT TECHNOLOGY GROUP (ITG)						

J.P.Morgan

STATEMENT D-2



JOHNSON FAMILY FOUNDATION, INC.- BMO
Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BROOKFIELD ASSET MANAGEMENT INC CL A	100 000	11/20/07	12/16/10	3,140 94	3,379 98	L -239 04
@ 31 45 BROKERAGE 4 00 TAX &/OR SEC 06						
GENZYME CORP GENL DIVISION	700 000	01/26/10	12/16/10	49,153 16	37,933.76	S 11,219 40
@ 70 26 BROKERAGE 28 00 TAX &/OR SEC 84						
HCC INSURANCE HOLDINGS INC @ 28 896 BROKERAGE 40 00 TAX &/OR SEC 49	1,000 000	06/20/95	12/16/10	28,855.51	6,733.33	L 22,122 18
INVESTMENT TECHNOLOGY GROUP (ITG)						

J.P.Morgan

STATEMENT D-2



JOHNSON FAMILY FOUNDATION, INC.- BMO
 Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
 L indicates Long Term Realized Gain/Loss
 F indicates Foreign Exchange Gain/Loss
 on Capital Transactions
 O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JOHNSON & JOHNSON @ 62 4403 12,488 06 BROKERAGE 8 00 TAX &/OR SEC 22 INVESTMENT TECHNOLOGY GROUP (ITG)	200 000	02/22/93	12/16/10	12,479.84	1,985 25	L 10,494 59
LABORATORY CORP AMERICAN HOLDINGS @ 88.42 26,526.00 BROKERAGE 12 00 TAX &/OR SEC .45 INVESTMENT TECHNOLOGY GROUP (ITG)	300 000	06/06/03	12/16/10	26,513 55	8,510 07	L 18,003 48
LEUCADIA NATIONAL CORP @ 28 325 2,832 50 BROKERAGE 4 00 TAX &/OR SEC 05 INVESTMENT TECHNOLOGY GROUP (ITG)	100.000	01/29/93	12/16/10	2,828.45	552 25	L 2,276.20



JOHNSON FAMILY FOUNDATION, INC.- BMO
Account Number: Q 73158-00-0

Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ROPER INDUSTRIES INC	100.000	11/02/94	12/16/10	7,791.86	601.50	L 7,190.36
@ 77.96						
BROKERAGE	4.00					
TAX &/OR SEC	14					
INVESTMENT TECHNOLOGY GROUP (ITG)						
Total Gain/Loss				370,490.01	197,700.22	L 172,789.79
				88,808.93	78,375.08	S 10,433.85

Note. Your capital gain and loss schedule will show an N/A for the gain or loss for sales where we have incomplete or missing tax cost
Please consult your tax advisor for appropriate tax reporting on these sales

JPMorgan

JOHNSON FAMILY FOUNDATION, INC.

EIN: 13-7118242

STATEMENT B

Equity Detail

	Quantity	Price	Market Value	Per Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
ABBOTT LABORATORIES	1,700,000	47.91	81,447.00	49,373.52	32,073.48	2,992.00	3.67%
002824-10-0 ABT							



	Quantity	Price	Market Value	Tax Cost: Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
BAXTER INTERNATIONAL INC 071813-10-5 BAX	1,700,000	50.62	86,054.00	34,912.73	51,141.27	2,108.00 527.00	2.45%
CONOCOPHILLIPS 20825C-10-4 COP	1,600,000	68.10	108,960.00	108,050.24	909.76	3,520.00	3.23%
DOVER CORP 260003-10-3 DOV	1,050,000	52.45	61,372.50	40,308.24	21,064.26	1,155.00	1.88%
FLUOR CORP 343412-10-2 FLR	600,000	56.26	33,756.00	22,076.46	17,679.54	300.00 75.00	0.75%
INTERNATIONAL BUSINESS MACHINES CORP 459200-10-1 IBM	300,000	146.76	44,028.00	38,534.19	5,493.81	780.00	1.77%
JOHNSON & JOHNSON 478150-10-4 JNJ	1,000,000	61.65	61,850.00	8,933.62	52,916.38	2,160.00	3.49%
LABORATORY CORP AMERICAN HOLDINGS 50540R-40-9 LH	900,000	87.92	79,128.00	25,530.21	53,597.79		
LEUCADIA NATIONAL CORP 527288-10-4 LUK	6,100,000	29.16	177,998.00	33,687.10	144,310.90	1,525.00	0.86%
LOWES COMPANIES INC 548661-10-7 LOW	2,400,000	25.08	60,192.00	53,098.36	7,093.64	1,056.00	1.75%
MERCK AND CO INC 58933Y-10-5 MRK	1,100,000	36.04	39,644.00	16,637.72	23,006.28	1,872.00 418.00	4.22%
HALCO HOLDING CO 628850-10-1 NLC	3,500,000	31.94	111,780.00	58,745.05	53,044.95	480.00 122.50	0.44%
PLAINS EXPLORATION & PRODUCTION 726505-10-0 PXP	800,000	32.14	25,712.00	20,661.52	5,050.48		



	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
QUALCOMM INC 747525-10-3 CCOM	500 000	49.49	24,743.00	19,836.05	4,908.95	380.00	1.54%
ROPER INDUSTRIES INC 776696-10-6 ROP	2,000,000	76.43	152,860.00	12,030.00	140,830.00	880.00	0.58%
SCHLUMBERGER LTD 808857-10-8 SLB	800 000	83.50	66,800.00	30,928.98	35,871.02	672.00	1.01%
WATERS CORP 941848-10-3 WAT	1,100 000	77.71	85,481.00	29,753.02	55,727.98	168.00	
Total US Large Cap	27,150,000		\$1,307,817.50	\$603,097.01	\$704,720.49	\$19,690.00 \$1,310.50	1.51%
US Mid Cap/Small Cap							
LEVEL 3 COMMUNICATIONS INC 52729N 10-0 L VLT	15,600 000	0.98	15,288.00	73,207.06	(57,919.08)		
MOLEX INC CL A NON-VOTING 608554-20-0 MOLX A	3,411,000	18.87	64,365.57	48,903.48	15,462.09	2,387.70 596.93	3.71%
Total US Mid Cap/Small Cap	19,011,000		\$79,653.57	\$122,110.56	(\$42,456.09)	\$2,387.70 \$596.93	3.00%
Non US Equity							
AXIS CAPITAL HOLDINGS LTD G0692U-10-9 AXS	2,300 000	35.88	82,524.00	58,788.00	23,736.00	2,116.00 529.00	2.36%



	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
Non US Equity							
BROOKFIELD ASSET MANAGEMENT INC CL A 112585-10-4 BAM	2,500,000	33.29	83,225.00	84,079.26	(854.26)	1,300.00	1.56%
ENCANA CORP 292505-10-4 ECA	2,200,000	29.12	64,064.00	18,818.80	45,245.20	1,760.00	2.75%
ENSTAR GROUP LIMITED G307SP-10-1 ESGR	1,200,000	84.58	101,496.00	124,855.00	(23,359.00)		
NOBLE CORPORATION H5833N-10.3 NE	1,400,000	35.77	50,078.00	22,967.00	27,111.00	487.20	0.97%
RENAISSANCE HOLDING LTD G7496G-10-3 RNR	1,500,000	63.69	121,011.00	98,529.22	22,481.78	1,900.00	1.57%
Total Non US Equity	11,500,000		\$502,398.00	\$408,037.28	\$94,360.72	\$7,563.20 \$529.00	1.51%

[illegible]

Positions In Your Account

Quantity	Description	Ticker/Usip	Purchase Date	Average Unit Cost	Total Cost (11/1/09)	Price	Mkt Value	Unrealized P/L	Annual Income	Yield
EQUITIES (Continued)										
300	CHUBB CORP	CB	10/04/2010	55.0748	16,522.44	59.5300	17,892.00	1,369.56	244.00	2.48
300	CONOCOPHILLIPS	COP	05/25/2010	48.9171	14,675.13	68.1000	20,430.00	5,754.87	650.00	3.23
400	CONSTELLATION BRANDS INC	CLB	09/03/2008	20.8446	25,902.44		31,010.00			
1,000	CONSTELLATION BRANDS INC	CLB	01/12/2009	15.6112	15,611.20		22,150.00			
700	CONSTELLATION BRANDS INC	CLB	06/10/2009	12.7355	8,914.85		15,595.00			
3,100	POSITION TOTAL			17.2350	53,428.49	22.1500	68,665.00	15,236.51	0.00	0.00
1,700	COVANTA HOLDING CORPORATION	CVA	10/04/2010	15.5983	26,517.13	17.1900	29,223.00	2,705.87	0.00	0.00
100	DEVON ENERGY CORPORATION NEW	DEV	01/28/2009	64.4575	6,445.75		7,851.00			
400	DEVON ENERGY CORPORATION NEW	DEV	04/29/2009	53.0895	21,235.81		31,404.00			
3200	DEVON ENERGY CORPORATION NEW	DEV	08/22/2009	58.0423	11,808.46		15,702.00			
700	POSITION TOTAL			58.1266	39,250.02	78.5100	54,957.00	15,666.98	448.00	0.82
500	EQT CORPORATION	EQT	04/29/2009	35.8058	17,903.49	44.8400	26,804.00	8,890.51	528.00	1.98
500	INTERNATIONAL BUSINESS MACHINES CORP	IBM	04/04/2005	90.3028	54,271.68		88,056.00			
100	INTERNATIONAL BUSINESS MACHINES CORP	IBM	08/11/2007	103.4828	10,348.28		14,676.00			
700	POSITION TOTAL			92.1857	84,619.95	146.7600	102,732.00	18,112.04	1,820.00	1.77
400	LABORATORY CORP AMER HLDGS NEW	LH	04/04/2005	48.4940	19,457.60	87.9200	35,168.00	15,710.40	0.00	0.00
500	MCDONALDS CORP	MCD	05/18/2009	53.8450	26,923.00		38,360.00			
100	MCDONALDS CORP	MCD	08/25/2009	50.0466	5,004.66		7,676.00			
600	POSITION TOTAL			54.2128	32,527.66	76.7600	46,036.00	13,508.34	1,464.00	3.18
500	OCCEANAL PETE CORP	OC	02/27/2007	38.7455	19,372.75		49,050.00			

Positions In Your Account

Quantity	Description	Ticker/Corp	Purchase Date	Average Unit Cost	Total Cost	Price	Mkt Value	Unrealized P/L	Annual Income	Yield
EQUITIES (Continued)										
600	OCCIDENTAL PETE CORP	OXY	09/18/2009	69.8958	41,917.33		58,860.00			
100	POSITION TOTAL						107,910.00	42,719.92	1,972.00	1.55
1,800	ORACLE CORP	ORCL	10/07/2009	59.2635	65,190.08	98.1000	58,340.00	19,282.77	360.00	0.64
200	PACIFIC CORP AMER		06/03/2008	20.5818	37,047.23	31.3000	5,168.00			
1,800	PACKAGING CORP AMER		06/10/2009	25.5339	5,106.59		41,344.00			
500	PACKAGING CORP AMER		06/12/2009	18.9152	25,904.32		12,920.00			
2,300	POSITION TOTAL			16.6319	8,315.97		59,432.00	18,105.12	1,380.00	2.32
2,000	PFIZER INC	PKG	10/20/2010	17.5334	40,326.88	25.8400	35,020.00			
1,200	PFIZER INC		11/02/2010	17.7035	25,407.00		21,012.00			
3,200	POSITION TOTAL			16.7500	20,100.00		68,032.00	525.00	2,560.00	4.57
1,600	PIONEER NATURAL RESOURCES CO	PFE	06/10/2009	17.3459	53,697.00	17.5100	138,972.00	93,305.12	128.00	0.09
300	REINSURANCE GROUP OF AMERICA INCORPORATED	PXD	11/12/2008	28.5043	8,580.68	35.8200	5,113.00			
200	REINSURANCE GROUP OF AMERICA INCORPORATED		01/29/2009	35.8882	10,766.45		10,742.00			
1,000	REINSURANCE GROUP OF AMERICA INCORPORATED		01/30/2009	36.4939	7,298.77		5,371.00			
100	REINSURANCE GROUP OF AMERICA INCORPORATED		07/10/2009	36.2932	3,629.32		5,371.00			
700	POSITION TOTAL			37.0098	37,103.36		37,597.00	12,192.10	135.00	0.89
700	THE TRAVELERS COMPANIES INC	TRV	07/02/2009	35.2827	25,404.90	53.7100	38,997.00			
700	THE TRAVELERS COMPANIES INC		05/18/2009	35.4130	26,889.11		38,997.00			
100	THE TRAVELERS COMPANIES INC		08/03/2009	40.0504	28,037.40		5,571.00			
1,500	POSITION TOTAL			42.5576	53,360.27		83,565.00	24,204.73	2,160.00	2.58
200	UNIVERSAL V		10/10/2008	39.5735	8,360.27		8,280.00			
200	NEW YORK SUNSHINE			25.3576	1,671.53					

Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost	Total Cost	Price	Mkt. Value	Unrealized P/L	Annual Income	Yield
EQUITIES (Continued)										
200	UNILEVER NV		01/29/2009	23.0076	4,601.52		6,280.00			
	NEW YORK SHS NEW ADR									
200	UNILEVER NV		01/30/2009	22.2840	4,456.81		6,280.00			
	NEW YORK SHS NEW ADR									
200	UNILEVER NV		02/05/2009	21.0558	4,213.17		6,280.00			
	NEW YORK SHS NEW ADR									
400	UNILEVER NV		04/29/2009	20.1941	8,077.64		12,560.00			
	NEW YORK SHS NEW ADR									
1,200	UNILEVER NV			21.6839	25,020.66	31.4000	37,680.00	11,659.34	1,137.60	3.02
	NEW YORK SHS NEW ADR									
2,000	UNILEVER NV		04/04/2005	16.6900	33,380.00		48,440.00			
	NEW YORK SHS NEW ADR									
1,400	UNILEVER NV		08/10/2009	18.7522	23,453.04		33,908.00			
	NEW YORK SHS NEW ADR									
3,400	UNILEVER NV			15.7155	57,133.04	24.2200	82,348.00	25,214.96	1,258.00	1.53
	NEW YORK SHS NEW ADR									
700	UNILEVER NV		10/04/2010	36.1157	25,280.98	39.6100	27,727.00	2,446.02	420.00	1.51
	NEW YORK SHS NEW ADR									
800	UNILEVER NV		05/18/2009	49.6332	29,779.90		32,358.00			
	NEW YORK SHS NEW ADR									
100	UNILEVER NV		06/10/2009	50.2491	5,024.91		5,393.00			
	NEW YORK SHS NEW ADR									
700	UNILEVER NV			49.7212	34,804.81	53.8300	37,751.00	2,946.19	847.00	2.24
	NEW YORK SHS NEW ADR									
200	UNILEVER NV		06/20/2005	13.6713	2,734.26		2,304.00			
	NEW YORK SHS NEW ADR									
2,000	UNILEVER NV		01/29/2009	6.9500	13,900.00		3,223,040.00			
	NEW YORK SHS NEW ADR									
1,300	UNILEVER NV		01/30/2009	6.9165	9,991.39		14,976.00			
	NEW YORK SHS NEW ADR									
2,200	UNILEVER NV		05/18/2009	6.4634	14,219.52		25,344.00			
	NEW YORK SHS NEW ADR									
900	UNILEVER NV		09/10/2009	7.0672	6,378.48		10,368.00			
	NEW YORK SHS NEW ADR									
6,800	UNILEVER NV			7.0036	46,223.65	11.5200	76,032.00	29,808.35	1,122.00	1.48
	NEW YORK SHS NEW ADR									
	POSITION TOTAL									

NEUBERGER BERMAN

Positions In Your Account

	Market Value	Tax Cost Adjusted Original
Total Neuberger Berman	1,447,080.50	981,307.69
Total J.P. Morgan	1,889,869.07	1,133,244.85
Grand Total	3,336,950.07	2,114,552.54

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization THE JOHNSON FAMILY FOUNDATION, INC.	Employer identification number 13-7118242
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions 136 EAST 79TH STREET, 7A	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10075	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THOMAS S. JOHNSON

- The books are in the care of ► **136 EAST 79TH STREET, APT 7A - NEW YORK, NY 10021**
Telephone No ► **212-570-1268** FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2010** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 10,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$ 10,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2011)