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**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2010**, or tax year beginning , **2010**, and ending , **20**

G Check all that apply.  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Name of foundation  
**DORIS DUKE CHARITABLE FOUNDATION**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**650 FIFTH AVENUE, 19TH FLOOR**

City or town, state, and ZIP code  
**NEW YORK, NY 10019**

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **1,716,471,991.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

A Employer identification number  
**13-7043679**

B Telephone number (see page 10 of the instructions)  
**(908) 243-3619**

C If exemption application is pending, check here . . . . .

D 1. Foreign organizations, check here . . . . .   
 2. Foreign organizations meeting the 85% test, check here and attach computation . . . . .

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . .

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . .

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .	5,802,231.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	98,272.	98,272.		
	4 Dividends and interest from securities . . . . .	17,000,975.	17,000,975.		
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	33,956,333.			
	b Gross sales price for all assets on line 6a . . . . .	2,976,832,250.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		33,956,333.	Attachment 16	
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10 a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .	1,358.	3,655,987.		ATCH 1	
12 Total. Add lines 1 through 11 . . . . .	56,859,169.	54,711,567.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc. . . . .	637,396.			620,823.
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) ATCH 2 . . . . .	54,691.	0.	0.	59,212.
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .	6,274,969.	6,239,199.		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see page 14 of the instructions) . . . . .	292,281.			
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	12,394.			12,203.
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) ATCH 5 . . . . .	8,703,740.			8,482,568.
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	15,975,471.	6,239,199.	0.	9,174,806.
	25 Contributions, gifts, grants paid . . . . .	63,897,899.		Attachment 18	76,569,323.
26 Total expenses and disbursements Add lines 24 and 25 . . . . .	79,873,370.	6,239,199.	0.	85,744,129.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements . . . . .	-23,014,201.				
b Net investment income (if negative, enter -0-) . . . . .		48,472,368.			
c Adjusted net income (if negative, enter -0-) . . . . .					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		4,844,270.	4,284,472.	4,284,472.
	2	Savings and temporary cash investments		26,982,966.	11,310,883.	11,310,883.
	3	Accounts receivable	0.			
		Less: allowance for doubtful accounts		41,690.	0.	0.
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable		7,642,597.	2,410,557.	2,410,557.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		94,773.	32,083.	32,083.
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) <b>ATCH 6</b>		286,092,472.	307,691,335.	307,691,335.
	c	Investments - corporate bonds (attach schedule) <b>ATCH 7</b>		182,674,691.	227,854,885.	227,854,885.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) <b>ATCH 8</b>		986,350,632.	1,062,937,863.	1,062,937,863.	
14	Investments - land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe <b>ATCH 9</b> )		63,939,174.	99,949,913.	99,949,913.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,558,663,265.	1,716,471,991.	1,716,471,991.	
Liabilities	17	Accounts payable and accrued expenses		1,277,384.	1,248,964.	
	18	Grants payable		79,740,272.	67,349,055.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe <b>ATCH 10</b> )		41,010,784.	100,359,640.	
23	<b>Total liabilities</b> (add lines 17 through 22)		122,028,440.	168,957,659.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		1,436,634,825.	1,547,514,332.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds					
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions)		1,436,634,825.	1,547,514,332.		
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)		1,558,663,265.	1,716,471,991.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,436,634,825.
2	Enter amount from Part I, line 27a	2	-23,014,201.
3	Other increases not included in line 2 (itemize) <b>ATTACHMENT 11</b>	3	133,893,708.
4	Add lines 1, 2, and 3	4	1,547,514,332.
5	Decreases not included in line 2 (itemize)	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	1,547,514,332.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	See Attachment 16				
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	33,956,333.	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .		3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	85,106,004.	1,386,461,656.	0.061384
2008	112,918,793.	1,768,728,530.	0.063842
2007	103,141,851.	1,976,949,571.	0.052172
2006	81,927,408.	1,781,506,487.	0.045988
2005	72,015,616.	1,620,266,506.	0.044447
2	Total of line 1, column (d)		2 0.267833
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.053567
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5		4 1,507,779,999.
5	Multiply line 4 by line 3		5 80,767,251.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 484,724.
7	Add lines 5 and 6		7 81,251,975.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		8 85,744,129.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Total credits and payments'. Total amount owed is 92,706.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns include question number, 'Yes', and 'No'. Questions cover political campaign participation, political expenditures, and foundation requirements. Marked 'Yes' for questions 1a, 1b, 1c, 2, 3, 4a, 4b, 6, 7, 8b, 9, and 10.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . . 11 X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address WWW.DDCF.ORG
14 The books are in care of EILEEN OBERLANDER Telephone no 908-243-3619
Located at 80 ROUTE 206 SOUTH HILLSBOROUGH, NJ ZIP + 4 08876-1256
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . 1b X
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . . Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions). . . . . 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes No X
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . . 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **ATTACHMENT 13**  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		620,823.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 15		779,190.
Total number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHMENTS 17 & 17A	171,616.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,494,423,868.
b	Average of monthly cash balances	1b	36,317,248.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	<b>Total</b> (add lines 1a, b, and c)	1d	1,530,741,116.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,530,741,116.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	22,961,117.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,507,779,999.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	75,389,000.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1	Minimum investment return from Part X, line 6	1	75,389,000.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	484,724.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	484,724.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	74,904,276.
4	Recoveries of amounts treated as qualifying distributions	4	348,392.
5	Add lines 3 and 4	5	75,252,668.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	75,252,668.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,744,129.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,744,129.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	484,724.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	85,259,405.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				75,252,668.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only . . . . .			25,341,849.	
b Total for prior years 20 08 , 20 07 , 20 06 . . . . .				
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .				
b From 2006 . . . . .				
c From 2007 . . . . .				
d From 2008 . . . . .				
e From 2009 . . . . .				0.
f Total of lines 3a through e . . . . .	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 85,744,129.				
a Applied to 2009, but not more than line 2a . . . . .			25,341,849.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .				
d Applied to 2010 distributable amount . . . . .				60,402,280.
e Remaining amount distributed out of corpus . . . . .	0.			
f Excess distributions carryover applied to 2010 . . . . .	0.			0.
5 Excess distributions carryover applied to 2010 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	0.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				14,850,388.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	0.			
10 Analysis of line 9				
a Excess from 2006 . . . . .				
b Excess from 2007 . . . . .				
c Excess from 2008 . . . . .				
d Excess from 2009 . . . . .				
e Excess from 2010 . . . . .				0.

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 main columns: (a) 2010, (b) 2009, (c) 2008, (d) 2007, (e) Total. Rows include: 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed; b 85% of line 2a; c Qualifying distributions from Part XII, line 4 for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c; 3 Complete 3a, b, or c for the alternative test relied upon; a "Assets" alternative test - enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i); b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed; c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) (3) Largest amount of support from an exempt organization (4) Gross investment income.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
N/A
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include:
SEE ATTACHMENT 12

c Any submission deadlines
SEE ATTACHMENT 12

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE ATTACHMENT 12

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHMENT 18				76,569,323.
<b>Total</b> ..... ▶ <b>3a</b>				76,569,323.
b <i>Approved for future payment</i> SEE ATTACHMENT 18				68,243,140.
<b>Total</b> ..... ▶ <b>3b</b>				68,243,140.





**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

**2010**

Name of the organization  
DORIS DUKE CHARITABLE  
FOUNDATION

Employer identification number  
13-7043679

**Organization type (check one)**

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

Employer identification number  
**13-7043679**

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF DORIS DUKE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	\$ 25,017.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	WALTER P. INMAN JR. CRAT C/O BANK OF AMERICA, TRUST OPERATIONS DALLAS, TX 75823	\$ 5,777,214.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISCELLANEOUS INCOME	1,358.	
INVESTMENTS IN LIMITED PARTNERSHIPS		3,655,987.
TOTALS	<u>1,358.</u>	<u>3,655,987.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
HOLLAND & KNIGHT	32,191.			40,479.
SHEARMAN & STERLING	22,500.			18,733.
TOTALS	<u>54,691.</u>	<u>0.</u>	<u>0.</u>	<u>59,212.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CUSTODY FEES	433,741.	433,741.
MANAGERS BASE FEES	2,977,239.	2,977,239.
INVESTMENT ADVISORY FEES	291,502.	291,502.
OTHER INVESTMENT EXPENSES	677,315.	641,545.
INVESTMENT EXPENSE ALLOCATED FROM DDMF	1,846,899.	1,846,899.
BANK FEES	3,724.	3,724.
SECURITIES LENDING EXPENSE	44,549.	44,549.
<b>TOTALS</b>	<u>6,274,969.</u>	<u>6,239,199.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
STATE INCOME TAX - UBIT	2,281.
FEDERAL EXCISE TAX	290,000.
TOTALS	<u>292,281.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MANAGEMENT FEES	8,633,624.	8,413,298.
INSURANCE	65,904.	64,830.
MISCELLANEOUS EXPENSES	4,212.	4,440.
TOTALS	<u>8,703,740.</u>	<u>8,482,568.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
58-WESTPORT ASSET MANAGEMENT	19,011,666.	0.	0.
99-NTGI STRUCTURED SMALL CAP	4,431,852.	5,638,006.	5,638,006.
98-NTGI EAFE ENHANCED FUND	21,173,269.	22,816,080.	22,816,080.
42-GMO EMG MARKETS FUND III	60,249,555.	72,606,827.	72,606,827.
37-CAP. INT'L EMG.MKTS.GROWTH	60,714,791.	70,908,341.	70,908,341.
132-BGI FRONTIER FUND	13,953,767.	15,732,513.	15,732,513.
36-CAPITAL GUARDIAN GLOBAL E	51,180,488.	57,995,571.	57,995,571.
57-WALTER SCOTT & PARTNERS	55,115,929.	61,985,485.	61,985,485.
100-BRANDES INVESTMENT PTRS	261,155.	8,512.	8,512.
TOTALS	<u>286,092,472.</u>	<u>307,691,335.</u>	<u>307,691,335.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
62-BLACK ROCK CORE BOND FUND	27,304,643.	33,254,795.	33,254,795.
63-PIMCO COREPLUS BOND FUND	18,065,182.	40,130,532.	40,130,532.
64-PIMCO REAL RETURN	46,845,828.	55,042,876.	55,042,876.
83-FXD INCOME GLOBAL OPP FND	21,232,095.	23,311,828.	23,311,828.
85-NTGI GOVERNMENT BOND FUND	1.	0.	0.
134-CLIFTON GROUP	6,900,083.	8,019,997.	8,019,997.
130-MESIROW FINANCIAL	228,088.	521,304.	521,304.
144-PIMCO SHORT DURATION BOND	62,098,771.	67,573,553.	67,573,553.
<b>TOTALS</b>	<u>182,674,691.</u>	<u>227,854,885.</u>	<u>227,854,885.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
41-FARALLON CAP INST. PTRS	28,906,664.	24,344,919.	24,344,919.
30-AG PRINCESS LP	60,416,826.	70,351,768.	70,351,768.
50-OCH-ZIFF OFFSEAS FUND	60,500,511.	52,521,091.	52,521,091.
153-SEQUOIA CAPITAL 2010	0.	676,856.	676,856.
78-BRIDGewater PURE ALPHA FN	63,055,002.	90,561,458.	90,561,458.
103-OLD LANE CAYMAN HFF	521,663.	278,571.	278,571.
110-RENAISSANCE INSTIT EQTYS	39,679,242.	46,200,992.	46,200,992.
154-HIGH RISE CAPITAL LP	0.	21,685,707.	21,685,707.
35-BROOKSIDE CAP PARTNERS	42,722,658.	37,473,393.	37,473,393.
52-PEQUOT ENDOWMENT FUND LP	8,440,141.	2,998,998.	2,998,998.
45-HIGHLINE CAPITAL INT'L	18,294,617.	18,731,597.	18,731,597.
67-SOUTHPORT ENERGY PLUS OS	43,013,526.	40,388,562.	40,388,562.
73-CHILDREN'S INVESTMENT FUND	31,938,312.	29,364,091.	29,364,091.
80-OSPARIE WINGSPAN	2,999,496.	225,468.	225,468.
87-PROSPECT HARBOR CR PTRS	11,631,392.	2,204,852.	2,204,852.
90-CONVEXITY CAP OFFSHORE	33,613,281.	36,783,510.	36,783,510.
104-KING STREET CAPITAL	31,679,474.	33,441,860.	33,441,860.
112-GALLEON TECHNOLOGY FUND	5,202,093.	1,744,319.	1,744,319.
43-HARBOURVEST IPEP I LP	7,520,403.	7,749,364.	7,749,364.
39-COMMONFUND CAP PE V LP	18,088,964.	20,683,205.	20,683,205.
40-COMMONFUND CAP VP VI LP	11,801,611.	15,288,881.	15,288,881.
54-SPO PARTNERS II LP	45,268,958.	56,096,432.	56,096,432.
65-BAIN CAPITAL FUND VIII LP	8,467,204.	9,334,598.	9,334,598.
68-HEARTWOOD FORESTRY FUND V	10,397,431.	10,383,548.	10,383,548.
69-GMO FORESTRY FUND VIII	14,486,582.	14,427,187.	14,427,187.
70-PORTFOLIO ADVISORS	20,018,294.	20,876,090.	20,876,090.
72-KIRTLAND CAPITAL CO LP	2,697,856.	1,810,620.	1,810,620.
75-OAK HILL CAP PART II	10,884,632.	11,278,749.	11,278,749.
76-SANKATY CREDIT OPP II LP	7,576,355.	7,151,001.	7,151,001.
81-SEQUOIA CAP GROWTH FUND III	4,368,978.	4,781,055.	4,781,055.
82-SEQUOIA CAP CHINA I	1,932,902.	3,894,780.	3,894,780.
88-BAY PARTNERS XI, LP	1,904,515.	2,859,359.	2,859,359.
89-LYME FOREST FUND	5,540,970.	5,027,618.	5,027,618.

ATTACHMENT 8 (CONT'D)FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
91-DFJ ELEMENT	1,121,112.	1,246,525.	1,246,525.
92-PORTFOLIO ADVISORS PE IV	12,322,324.	15,710,410.	15,710,410.
94-TA ASSOCIATES X LP	3,395,376.	3,792,478.	3,792,478.
95-BAIN CAPITAL FUND IX LP	5,256,508.	126.	126.
96-NGEN PARTNERS II	989,583.	900,953.	900,953.
101-SEQUOIA CAPITAL XII	3,192,272.	3,083,992.	3,083,992.
102-TPG PARTNERS V	2,392,937.	3,019,409.	3,019,409.
105-OAK INVEST PTNRS XII	3,235,818.	3,884,642.	3,884,642.
106-SEQUOIA CAP INDIA GRW I	2,195,999.	2,862,103.	2,862,103.
107-PERMIRA IV	1,662,125.	3,490,350.	3,490,350.
108-ASIA ALTERNATIVES CAP PTN	5,674,902.	7,345,846.	7,345,846.
109-CERBERUS INSTIT PTNRS LP	3,864,909.	5,341,147.	5,341,147.
121-TACONIC OPPORTUNITY FUND	21,051,330.	22,093,601.	22,093,601.
114-STEEL PARTNERS OFFSHORE	6,038,826.	1,110,155.	1,110,155.
115-STEEL PARTNERS JAPAN	4,894,241.	1,050,565.	1,050,565.
122-ATTICUS GLOBAL FUND	-342,021.		
124-HIGHLINE SELECT LIMITED	16,179,726.	812,308.	812,308.
126-ALGEBRIS GLOBAL FINANCIAL	17,931,219.	16,864,646.	16,864,646.
111-AACP CHINA GROWTH	1,972,467.	2,488,906.	2,488,906.
113-SILVER LAKE III	1,556,493.	3,155,758.	3,155,758.
117-GREENPARK INTERNATIONAL IN	4,015,040.	4,332,555.	4,332,555.
119-HARBORVEST PARTNERS 07 DI	1,570,920.	2,292,095.	2,292,095.
125-OAK HILL CAPITAL III	1,268,496.	2,639,543.	2,639,543.
118-INSIGHT VENTURE PARTNERS	2,167,004.	4,860,309.	4,860,309.
120-SEQUOIA CAPITAL CHINA II	880,573.	2,895,613.	2,895,613.
123-SEQUOIA CAPITAL INDIA III	780,782.	1,433,645.	1,433,645.
116-SANKATY CREDIT OPP III	3,519,096.	4,238,843.	4,238,843.
127-PAULSON ADVANTAGE PLUS	30,315,358.	35,286,448.	35,286,448.
129-BAUPOST VALUE PARTNERS IV	64,114,295.	69,733,294.	69,733,294.
138-GSO SPECIAL SITUATIONS	21,390,541.	24,684,984.	24,684,984.
128-ASIA ALTRNTVE CAP PTR III	708,839.	1,459,193.	1,459,193.
131-TPG PARTNERS VI	436,083.	5.	5.
133-NORDIC CAPITAL FUND VII	1,069,550.	1,844,456.	1,844,456.

ATTACHMENT 8 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
135-INVESTCORP TECH PTNR III	1,545,965.	2,166,722.	2,166,722.
136-RIVERSTONE/CARLYLE GBL	1,769,912.	3,634,712.	3,634,712.
139-SANKATY CREDIT OPPOR IV	3,587,951.	4,136,949.	4,136,949.
140-AUSTIN VENTURES X	883,575.	1,731,900.	1,731,900.
141-TCV VII(A) LP	638,749.	1,536,320.	1,536,320.
143-SEQUOIA CAP INDIA GROWTH	190,617.	574,500.	574,500.
146-SEQUOIA CAP U.S. GROWTH FD	1,171,675.	3,222,717.	3,222,717.
147-ADAGE CAPITAL PARNTERS	21,330,130.	34,592,189.	34,592,189.
148-DIVERSIFIED OFFSHORE	10,523,350.	11,072,638.	11,072,638.
151-CONATUS CAPITAL OVERSEAS	9,927,200.	10,941,600.	10,941,600.
152-STEEL PARTNERS OFFSHORE	168,602.	380.	380.
145-GMO MEAN REVERSION	14,959,052.	13,651,571.	13,651,571.
149-WOODBINE CAPITAL FUND	9,986,768.	10,337,472.	10,337,472.
150-FRONTPOINT OFFSHORE	9,273,810.	9,762,791.	9,762,791.
<b>TOTALS</b>	<u>986,350,632.</u>	<u>1,062,937,863.</u>	<u>1,062,937,863.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SALES COMMITMENT RECEIVABLE	61,586,218.	97,446,924.	97,446,924.
INTEREST RECEIVABLE	1,753,283.	1,887,132.	1,887,132.
DIVIDENDS RECEIVABLE	155,966.	164,099.	164,099.
TAX RECLAIM RECEIVABLE	27,525.	38,498.	38,498.
OTHER INVESTMENT INCOME RECEIVABLE	8,446.	6,024.	6,024.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART COLLECTIBLES	24,034. 381,470.	24,034. 380,970.	24,034. 380,970.
TOTALS	<u>63,939,174.</u>	<u>99,949,913.</u>	<u>99,949,913.</u>

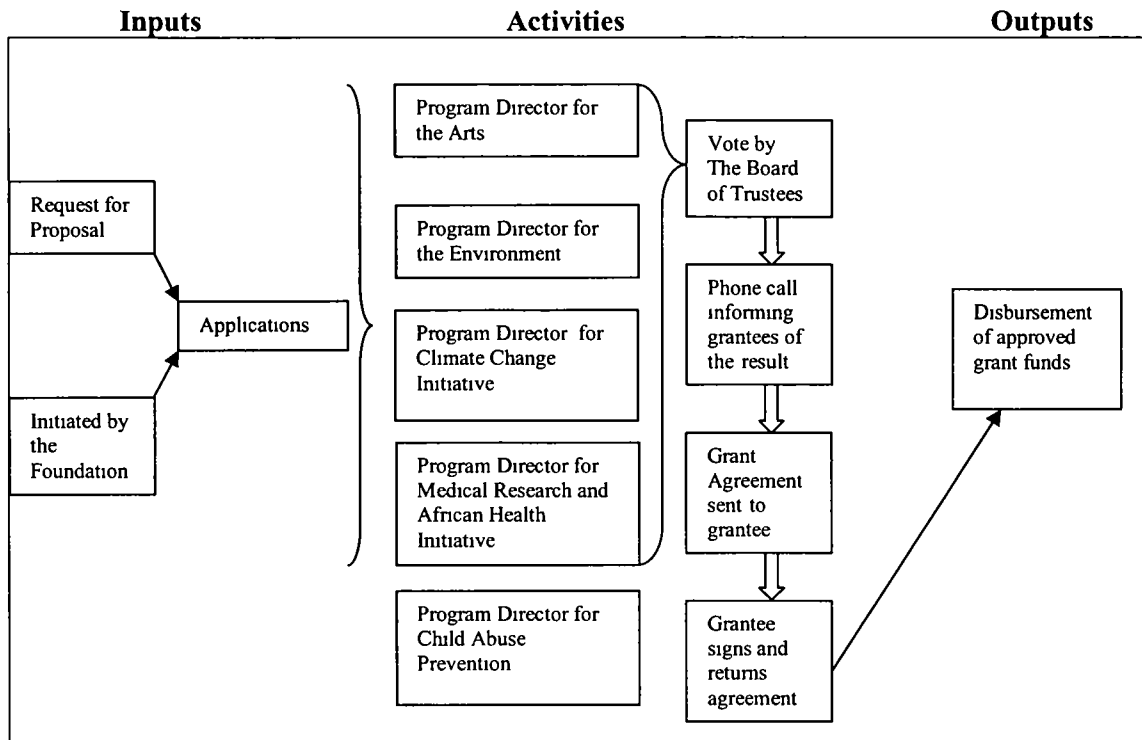
ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DDMF	3,756,189.	4,185,206.
DUE TO DUKE FARMS FOUNDATION	156,960.	156,960.
DEFERRED FEDERAL & STATE EXCIS	3,448,481.	6,170,576.
PURCHASE COMMITMENTS PAYABLE	33,645,788.	89,844,560.
SECURITIES LENDING PAYABLE	3,366.	2,338.
TOTALS	<u>41,010,784.</u>	<u>100,359,640.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	133,382,666.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	511,042.
TOTAL	<u>133,893,708.</u>

**Doris Duke Charitable Foundation  
Grants Process  
December 31, 2010**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and prevention of child maltreatment, and through preservation of the cultural and environmental legacy of Doris Duke's properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF's President Edward Henry the authority to approve grants. Authority for Program Fund (PF) and Cross-Program Fund grants is sought from the Board each November for the following year. PF and Cross-Program Fund grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program's annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$500,000 in Program Fund grants for the Climate Change Initiative and for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from each initiative's annual grants budgets. All Program Fund and Cross-Program Fund grants are developed by staff, undergo routine due diligence

review, and are approved by the President. Each Program Fund and Cross-Program Fund grant totaling \$50,000 or more is discussed at a Staff Program Review meeting prior to approval. Finally, in 2010, two Trustees recommended grants totaling \$98,060 and one Trustee recommended grants totaling \$49,030; the projects and organizations must be vetted by staff and approved by the Board Chair prior to grant award.

Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Grants Manager and Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS for Windows, the Foundation's grants management database.

Once the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting the senior staff critiques the written summary and the presentation, and the appropriate changes are made.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board and the grantee has been identified, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration then sends a report detailing the grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

#### Grant Award

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Once the Board approves the grant, the appropriate DDCF staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Grants Manager review and refine the grant agreement until they are satisfied that it is complete. Once the Grants Manager has approved the grant agreement and award letter and verified that all grant documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, the award package is sent to the grantee organization. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement an authorized representative of the organization will sign and return the agreement to the DDCF (two originals of the agreement are sent and the grantee organization retains one original for their records). When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

### Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Assistant confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Investment Accountant for upload to the Lawson Process Flow. Once the information is uploaded into Lawson and released (with links to the fully executed grant agreement & the payment authorization) an e-mail is sent to the applicable approvers, alerting them that an invoice requires approval in the Lawson system. Once the approvals have been made, an ACH file is generated via Lawson & transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

#### *Grant signing authority requirements:*

Grant Payment Amount	Requirements	Signatories
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil Betsy Fader
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Ed Henry Deborah Close Jeffrey Heil Betsy Fader
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Ed Henry  Eileen Oberlander

### Grant Monitoring

Within each grant agreement the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statement
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)

- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- The grantee is also required to notify DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF.

This information will be submitted to DDCF and reviewed by the Program Director and the Grants Manager to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/individuals targeted in the agreement. Additionally, the financial information is reviewed by the Grants Manager or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

### **Computer information systems**

The Foundation uses GIFTS for Windows, a grants management database system purchased from MicroEdge, Inc., to track detailed information about each application for funding and each grant approved. All applications received are entered into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

**Doris Duke Charitable Foundation**  
**Form 990-PF Return of Private Foundation**  
**FYE: 12/31/2010**  
**Direct Charitable Activities**  
**Attachment 13**

The Doris Duke Charitable Foundation maintained expenditure responsibility for four grants to:

**Grantees**

Doris Duke Foundation for Islamic Arts

Duke Farms Foundation

Newport Restoration Foundation

The International Network for Cancer Treatment and Research, USA

The expenditure responsibility reports follow this Statement 13 cover sheet.



September 16, 2011

Mr. Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation for Islamic Art  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

D O R I S D U K E  
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2010 totaling \$6,315,960 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons  
Page 2  
September 16, 2011

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

Mr. Peter Simmons  
Page 3  
September 16, 2011

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of the  
Doris Duke Foundation for Islamic Art this 15<sup>th</sup>  
day of September, 2011

By: Peter Simmons  
Peter Simmons, Chief Operating Officer

September 19, 2011



Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

**D O R I S   D U K E**  
**FOUNDATION FOR ISLAMIC ART**

Dear Mr. Henry:

In 2010, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$6,315,960 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated September 16, 2011, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2010 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Doris Duke Foundation for Islamic Art  
4055 Papu Circle  
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses    Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry  
Page 2  
September 19, 2011

The following table contains the date of payment of each part of the grant that was spent in 2010, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/10	\$560,023	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/10	\$258,196	" "
3/31/10	\$695,949	" "
4/30/10	\$200,937	" "
5/31/10	\$222,037	" "
6/30/10	\$874,083	" "
7/31/10	\$388,909	" "
8/31/10	\$200,938	" "
9/30/10	\$946,071	" "
10/31/10	\$312,227	" "
11/30/10	\$263,459	" "
12/31/10	\$1,393,131	" "

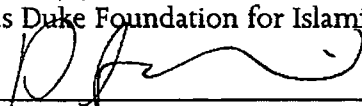
All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 16, 2011 I represented that before the end of 2010, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$6,315,960 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2010.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,  
Doris Duke Foundation for Islamic Art

By:   
Peter Simmons, Chief Operating Officer



September 16, 2011

Mr. Peter Simmons  
Chief Operating Officer  
Duke Farms Foundation  
80 Route 206 South  
Hillsborough, New Jersey 08844

D O R I S  
D U K E

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2010 totaling \$7,195,686 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons  
Page 2  
September 16, 2011

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details

Mr. Peter Simmons  
Page 3  
September 16, 2011

concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,  
The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of  
Duke Farms Foundation this 15<sup>th</sup>  
day of September, 2011

By: Peter Simmons  
Peter Simmons, Chief Operating Officer

# DUKE FARMS

80 Route 206 South · Hillsborough, NJ 08844 · tel 908.722.3700 · [www.dukefarms.org](http://www.dukefarms.org)  
Owned and supported by the Duke Farms Foundation

September 19, 2011

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2010, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,195,686 to Duke Farms Foundation ("DFF"). By a letter dated September 16, 2011, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2010 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Duke Farms Foundation  
80 Route 206 South  
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is transitioning to become a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

September 19, 2011

The following table contains the date of payment of each part of the grant that was spent in 2010 and a description of how the grant was spent by DFF:

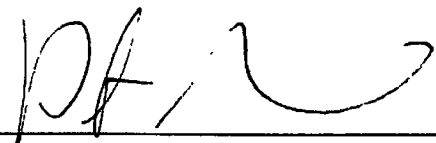
<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/10	\$1,643,662	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/10	(\$1,868,828)	"
3/31/10	\$2,144,140	"
4/30/10	(\$1,216,872)	"
5/31/10	\$2,123,659	"
6/30/10	(\$317,979)	"
7/31/10	\$538,493	"
8/31/10	\$1,392,339	"
9/30/10	\$585,281	"
10/31/10	\$244,873	"
11/30/10	\$604,943	"
12/31/10	\$1,321,975	"

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 16, 2011, I represented that before the end of 2010, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,195,686 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2010.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,  
Duke Farms Foundation

By:   
Peter Simmons, Chief Operating Officer



September 16, 2011

Mr. David Mathias  
Chief Operating Officer  
Newport Restoration Foundation  
51 Touro Street  
Newport, Rhode Island 02840

D O R I S D U K E  
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Mathias:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2010 totaling \$2,310,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. David Mathias  
Page 2  
September 16, 2011

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
  - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Mr. David Mathias  
Page 3  
September 16, 2011

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of the  
Newport Restoration Foundation this  
day of September, 2011

17<sup>th</sup>

By: David Mathias  
David Mathias, Chief Operating Officer

NEWPORT RESTORATION FOUNDATION

*Founded by Doris Duke, 1968*



51 TOURO STREET  
NEWPORT, RHODE ISLAND 02840-2932  
Telephone 401 849 7300  
Facsimile 401 849.0125  
[www.newportrestoration.org](http://www.newportrestoration.org)

September 19, 2011

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2010, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,310,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated September 16, 2011, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2010.

**Name and address of grantee:**

Newport Restoration Foundation  
51 Touro Street  
Newport, Rhode Island 02840

**Purpose of Grants:**

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

**The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:**

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/04/10	\$537,500	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
3/01/10	\$671,875	" "
6/07/10	\$671,875	" "
6/25/10	\$160,000	" "
9/07/10	\$268,750	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

Mr. Edward P. Henry  
Page 2  
September 19, 2011

In addition, in the letter dated September 16, 2011, I represented that before the end of 2011 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,310,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$1,957,151 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions, and \$352,849 will be spent in 2011. Therefore, a qualifying distribution was made of \$1,957,151 of the grant funds in 2010. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation. NRF will make a qualifying distribution out of corpus of the balance of the grant funds in 2011.

Very truly yours,  
Newport Restoration Foundation



By: David J. Mathias  
Chief Operating Officer

**INCTR****International  
Network**

for Cancer Treatment and Research

Adrienne A. Fisher  
 Director of Grants Management  
 Doris Duke Charitable Foundation  
 650 Fifth Avenue, 19<sup>th</sup> Floor  
 New York, NY 10019

Washington, November 1, 2011

Re: Doris Duke Charitable Foundation Grant No. 2010129.

Dear Ms. Fisher:

In 2010, the Doris Duke Charitable Foundation (DDCF) made a grant to International Network for Cancer Treatment and Research, USA ("INCTR-USA") totaling \$100,000 (the "Grant").

By grant agreement dated November 14, 2010 (the "Grant Agreement"), INCTR-USA agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent.

**Name and address of grantee:**

International Network for Cancer Treatment and Research, USA  
 2000 Massachusetts Avenue, NW, Suite 100  
 Washington, DC 20036

**Purpose of Grant:** To enhance the effectiveness of cancer registration in Africa**Use of Qualifying Distribution Grant funds by INCTR-USA:**

This is a report for calendar year 2010. \$10,460 was spent toward the cancer registration in Africa project, as detailed below:

<b>Registry contract</b>	
<b>Memorandum of Understanding - Malawy Cancer Registry</b>	
Support for the salary of a cancer registrar and allowance for director	\$2400
Support for assistant cancer registrar (case follow up)	\$1000
8 records clerks (\$15 per month for the time spent on cancer registry)	\$1440
Payments to medical students/graduates (staging)	\$600
Driver's allowance (40 days/year – daily subsistence allowance: \$15)	\$600
Office supplies and consumables (including IT services)	\$600
Fuel	\$800
Office equipment (purchase or replacement (computer, printer etc)	\$1920
Vehicle servicing and repairs	\$1100
<b>Grand Total</b>	<b>\$10,460</b>

**INCTR**

**International  
Network**

for Cancer Treatment and Research

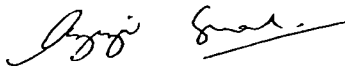
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No portion of the grant funds has been diverted from the approved purpose of the Grant.

In addition, in the Grant Agreement, INCTR-USA represented that before the end of 2011, INCTR-USA would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that INCTR-USA would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made. As stated in such letter, the grant will be used to enhance the effectiveness of cancer registration in Africa. INCTR-USA is currently in the process of implementing the supported project. Within a reasonable period of time after the close of the 2011 calendar year INCTR-USA will provide another expenditure responsibility report.

Very truly yours,

By:



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Aziza Shad, MD, President  
International Network for Cancer Treatment  
and Research, USA

Doris Duke Charitable Foundation  
Form 990-PF - Return of Private Foundation  
FYE: 12/31/2010  
Attachment 14

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
Edward P. Henry	President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Financial & Administrative Officer	10 hours per week	NONE	NONE	NONE
Claire Baralt	Communications Officer	32 hours per week	NONE	NONE	NONE
Elizabeth Fader	Chief Program Officer	26 hours per week	NONE	NONE	NONE
Nannerl O. Keohane	Trustee	172 hours per year	40,862	NONE	NONE
Anthony S. Fauci	Trustee	96 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	100 hours per year	75,646	NONE	NONE
Harry Demopoulos	Trustee	84 hours per year	100,863	NONE	NONE
Jide Zeitlin	Trustee	96 hours per year	NONE	NONE	NONE
James F. Gill	Trustee	112 hours per year	100,863	NONE	NONE
Anne Hawley	Trustee	112 hours per year	100,863	NONE	NONE
John H.T. Wilson	Trustee	70 hours per year	NONE	NONE	NONE
Peter A. Nadosy	Trustee	96 hours per year	NONE	NONE	NONE
William H. Schlesinger	Trustee	96 hours per year	100,863	NONE	NONE
John Zuccotti	Trustee	124 hours per year	100,863	NONE	NONE
<b>Totals</b>			<u><u>620,823</u></u>	<u><u>-</u></u>	<u><u>-</u></u>

All Board of Directors members and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 9(a), Note (1) and Note (2) for further information.

See Attachment 14(a), Note 3 for a detailed breakdown of the officers' salary between the five foundations.

**Doris Duke Charitable Foundation  
Form 990-PF - Return of Private  
Foundation  
FYE: 12/31/2009  
Attachment 14a**

Notes:

(1) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Charitable Foundation (DDCF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is shown in Note (3) below for informational purposes only. The salary allocation shown in Note (3) is included in the management fee shown on Line 23, Part I, Other Expenses.

(2) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF and DDFIA). The hours reported on Attachment 14 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings, assorted committee meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDF, DFF, DDMF and DDFIA tax returns.

Doris Duke Charitable Foundation									
Form 990-PF - Return of Private Foundation									
FYE: 12/31/2010									
Attachment 14a									
(3)									
			DDCF	DDMF	DDF	DDF	DDF	DDFIA	
<b>EDWARD HENRY</b>									
ALLOCATION %	100%	69%	5%	15%	1%	10%			
HOURS	2080	1,435	104	312	21	208			
HRS PER WEEK	40	28	2	6	0	4			
SALARY	579,683	399,981	28,984	86,952	5,797	57,968			
BENEFITS	61,775	42,625	3,089	9,266	618	6,178			
<b>ELIZABETH FADER</b>									
ALLOCATION %	100%	65%	0%	5%	0%	30%			
HOURS	2080	1,352	-	104	-	624			
HRS PER WEEK	40	26	-	2	-	12			
SALARY	247,980	161,187	-	12,399	-	74,394			
BENEFITS	45,416	29,521	-	2,271	-	13,625			
<b>PETER SIMMONS</b>									
ALLOCATION %	100%	25%	33%	30%	2%	10%			
HOURS	2080	520	686	624	42	208			
HRS PER WEEK	40	10	13	12	1	4			
SALARY	238,627	59,657	78,747	71,588	4,773	23,863			
BENEFITS	59,397	14,849	19,601	17,819	1,188	5,940			
<b>CLAIRE BARALT</b>									
ALLOCATION %	100%	79%	7%	7%	1%	6%			
HOURS	2080	1,643	146	146	21	125			
HRS PER WEEK	40	32	3	3	0	2			
SALARY	91,587	72,354	6,411	6,411	916	5,495			
BENEFITS	31,080	24,553	2,176	2,176	311	1,865			

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
NORTHERN TRUST COMPANY 50 S. LASALLA ST. CHICAGO, IL 60675	BANKING/INVESTMENTS	487,688.
CAMBRIDGE ASSOCIATES LLC 100 SUMMER STREET BOSTON, MA 02110	INVESTMENT FEES	291,502.
	TOTAL COMPENSATION	<u>779,190.</u>

DORIS DUKE CHARITABLE FOUNDATION  
 Form 990-PF Return of Private Foundation  
 FYE: 12/31/2010  
 Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
<b>EQUITY INVESTMENTS</b>			
58-WESTPORT ASSET MANAGEMENT	7,403,561	(2,855,592)	4,547,969
99-NTGI STRUCTURED SMALL CAP	244,919	(187,993)	56,926
98-NTGI EAFE ENHANCED FUND	12	(242)	(230)
132-BGI FRONTIER FUND	3,111,173	(3,806,833)	(695,660)
36-CAPITAL GUARDIAN GLOBAL E	3,304,131	(1,799,487)	1,504,644
57-WALTER SCOTT & PARTNERS	2,062,045	(82,149)	1,979,896
100-BRANDES INVESTMENT PTRS	144,285	(144,286)	(1)
<b>TOTAL EQUITY INVESTMENTS</b>	<b>16,270,126</b>	<b>(8,876,582)</b>	<b>7,393,544</b>
<b>MARKETABLE ALTERNATIVE INVST</b>			
41-FARALLON CAP INST. PTRS	4,885,259	-	4,885,259
103-OLD LANE CAYMAN HFF	308,912	-	308,912
35-BROOKSIDE CAP PARTNERS	5,839,140	-	5,839,140
52-PEQUOT ENDOWMENT FUND LP	5,654,879	-	5,654,879
73-CHILDREN'S INVESTMENT FND	3,225,901	-	3,225,901
80-OSPARIE WINGSPAN	-	(7,066,908)	(7,066,908)
87-PROSPECT HARBOR CR PTRS	284,632	-	284,632
112-GALLEON TECHNOLOGY FUND	134,275	-	134,275
114-STEEL PARTNERS OFFSHORE	587,251	(29,855)	557,396
152-STEEL PTNRS OFFSH (INTL)	54,944	(475)	54,469
115-STEEL PARTNERS JAPAN	-	(3,800,837)	(3,800,837)
124-HIGHLINE SELECT LIMITED	-	(728,118)	(728,118)
<b>TOTAL MARKETABLE ALT INVSTMNTS</b>	<b>20,975,193</b>	<b>(11,626,193)</b>	<b>9,349,000</b>
<b>NON-MARKETABLE ALTERNATIVE AST</b>			
43-HARBOUR VEST IPEP I LP	367,520	-	367,520
39-COMMONFUND CAP PE V LP	1,506,610	-	1,506,610
40-COMMONFUND CAP VP VI LP	1,147,040	-	1,147,040
65-BAIN CAPITAL FUND VIII LP	1,295,768	-	1,295,768
68-HEARTWOOD FORESTRY FUND V	402,283	-	402,283
70-PORTFOLIO ADVISORS	1,099,992	-	1,099,992
75-OAK HILL CAP PART. II	858,177	-	858,177
81-SEQUOIA CAP GROWTH FNDIII	739,784	(58,062)	681,722
82-SEQUOIA CAP CHINA I	369,531	(106,338)	263,193
88-BAY PARTNERS XI, LP	56,239	-	56,239
89-LYME FOREST FUND	120,000	-	120,000
92-PORTFOLIO ADVISORS PE IV	57,973	-	57,973
94-TA ASSOCIATES X LP	310,375	-	310,375

DORIS DUKE CHARITABLE FOUNDATION  
Form 990-PF Return of Private Foundation  
FYE: 12/31/2010  
Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)	NET REALIZED GAIN/(LOSS)
95-BAIN CAPITAL FUND IX LP	205,689	(3,396,613)	(3,190,924)
101-SEQUOIA CAPITAL XII	920,516	-	920,516
102-TPG PARTNERS V	97,601	-	97,601
105-OAK INVEST PTNRS XII	161,430	-	161,430
106-SEQUOIA CAP INDIA GRW I	340,100	-	340,100
107-PERMIRA IV	1,249	(6,925)	(5,676)
108-ASIA ALTERNATIVES CAP PTN	334,383	-	334,383
117-GREENPARK INTL INVST III	75,137	(15,042)	60,095
118-INSIGHT VENTURE PTNRS VI	177,497	-	177,497
119-HARBOURVEST PTNRS 2007	24,150	-	24,150
125-OAK HILL CAP PTNR III	62,944	-	62,944
128-ASIA ALTRNTVE CAP PTR II	68,102	-	68,102
131-TPG PARTNERS VI	2,893	(252,059)	(249,166)
133-NORDIC CAPITAL FUND VII	19,566	(35,891)	(16,325)
136-RIVERSTONE/CARLYLE GBL	81,046	-	81,046
139-SANKATY CREDIT OPPOR IV	387,492	-	387,492
<b>TOTAL NON MKTBL ALTER. ASSE</b>	<b>11,291,087</b>	<b>(3,870,930)</b>	<b>7,420,157</b>
<b>FIXED INCOME/MISC INVESTMENTS</b>			
62-BLACK ROCK CORE BOND FUND	3,601,377	(2,427,017)	1,174,360
63-PIMCO COREPLUS BOND FUND	23,877,329	(21,016,829)	2,860,500
64-PIMCO REAL RETURN	5,930,477	(2,146,810)	3,783,667
144-PIMCO SHORT DURATION BOND	2,449,644	(812,788)	1,636,856
134-CLIFTON GROUP	13,203,377	(12,085,143)	1,118,234
130-MESIROW FINANCIAL	4,413,601	(5,199,353)	(785,752)
<b>TOTAL FIXED INC./MISC INVESTS</b>	<b>53,475,805</b>	<b>(43,687,940)</b>	<b>9,787,865</b>
<b>TOTAL INVESTMENT MANAGERS</b>	<b>102,012,211</b>	<b>(68,061,645)</b>	<b>33,950,566</b>
<b>CASH AND OTHER</b>			
1-INVESTMENT CASH	5,767	-	5,767
<b>TOTAL CASH AND OTHER</b>	<b>5,767</b>	<b>-</b>	<b>5,767</b>
<b>Total Capital Gain reported on Line 7</b>	<b>102,017,978</b>	<b>(68,061,645)</b>	<b>33,956,333</b>

**Doris Duke Charitable Foundation**  
**FYE: 12/31/2010**  
**Direct Charitable Activities**

	<u>2010</u>
2010 AHI Annual Meeting	17,089
2009 CRF Annual Meeting	333
2009 CSDA Panel Meeting	940
2010 Career Development Conference	35,088
2010 Clinical Research Fellows Meeting	81,467
2010 ICRA Meeting	13,699
2010 Scientific Advisory Committee	23,000
<b>Total Direct Charitable Activities</b>	<b><u>171,616</u></b>

**Doris Duke Charitable Foundation**  
**Form 990-PF**  
**Part IX-A: Summary of Direct Charitable Activities**  
**FYE: 12/31/2010**

***Environment Activities***

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors." The Doris Duke Charitable Foundation Environment Program is committed to enable communities to protect and manage wildlife habitat and create efficient built environments. The Foundation supports environmental initiatives and partners with other tax-exempt organizations like The Nature Conservancy to protect public land.

The Environment Program strives to accomplish its mission through the following strategies:

- Accelerate wildlife habitat conservation in an era of climate change - Protect important wildlife habitat and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand - Expedite the sensitive siting of energy infrastructure and reduce energy demand by increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area - Support local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments.
- Help build a clean-energy economy – Support the research and analysis needed to develop government policies to foster technological innovation in the energy sector and accelerate the emergence of tomorrow’s clean-energy technologies. Grants in this category are made through the Environment Program’s Climate Change Initiative, which will wind down by 2012.

***Medical Research Activities***

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting “medical research designed to effectuate cures of major diseases.”

The mission of the Medical Research Program is to support the prevention, treatment and cure of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Clinical Research

This strategy supports competitive award programs that build the clinical research career ladder and expand clinical research frontiers to advance the translation of biomedical discoveries into applications that improve human health.

- African Health Research

This strategy supports implementation research and builds research capacity to improve health and strengthen regional health systems in sub-Saharan Africa.

### ***Child Abuse Prevention***

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentor programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Abuse Prevention Program is to protect children from abuse and neglect in order to promote their healthy development.

The program seeks to accomplish its mission by making grants that develop and infuse best prevention practices into normal, non-stigmatizing systems that routinely serve large numbers of families with young children (birth to age six), such as the early education and child care systems. The foundation supports prevention and early intervention efforts that educate, support, and/or assist families **before** an incident of abuse or neglect occurs.

### ***The Arts***

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Doris Duke Charitable Foundation supports this interest by focusing its support on contemporary dance, jazz and theatre artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

- **Artistic Creation & Distribution** – Through national competitive initiatives administered by intermediary organizations, the foundation supports commissioning, production and presentation of new work in each of its fields of interest. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- **Organizational Transformation** – To complement its support for artistic creation and distribution, the Arts Program works to build strong organizations to serve performing artists. Through competitive initiatives administered by intermediary organizations, the foundation offers grants to support bold, innovative approaches to addressing current issues

affecting the performing arts: the impact of technology, loss of audiences and/ or changes in leadership.

- **National Sector Building** – Recognizing DDCF's role as a national foundation, the Arts Program supports activities that will build strong national performing arts fields. The Arts Program directly supports national organizations critical to the health of dance, jazz, presenting and theatre, as well as national projects that have the potential to improve the health of a given field.

\*\*\*

The \$171,616 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavors. Further information about each individual program listed in the attached schedule is available upon request.

**DORIS DUKE CHARITABLE FOUNDATION**

Form 990-PF Return of Private Foundation

FYE: 12/31/2010

Attachment 18

**FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID**

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PROGRAM GRANTS			\$ 60,679,952
NEWPORT RESTORATION FOUNDATION (NRF) FOR ROUGH POINT		GENERAL SUPPORT	\$ 2,310,000
DUKE FARMS FOUNDATION	RELATED ENTITY	GENERAL SUPPORT	\$ 7,195,686
DORIS DUKE FOUNDATION FOR ISLAMIC ART	RELATED ENTITY	GENERAL SUPPORT	\$ 6,315,960
DONATIONS			\$ 64,625
NON CASH PROPERTY (APPRAISED VALUE)			\$ 3,100
			<u>\$ 76,569,323</u>

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<b>AFRICAN HEALTH</b>						
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115	501(c)3	\$7,021,981	\$0	\$0	\$1,736,927	\$5,285,054
<i>Strengthening and Studying Community-Based, Integrated Primary Health Care Systems in Rural Rwanda</i>						
\$8,000,000 2009						
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$12,830,401	\$0	\$0	\$3,146,665	\$9,683,736
<i>Accelerating Millennium Development Goal Progress by Exchanging Health System Innovations between Tanzania and Ghana</i>						
\$14,671,500 2009						
<b>Consortium of Universities for Global Health</b> 3333 California St, Suite 285 San Francisco, CA 94143	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0
<i>Support for annual meeting</i>						
\$5,000 2010						
<b>Global Health Council Inc</b> 15 Railroad Row White River Junction, VT 05001	501(c)3	\$0	\$2,100	\$0	\$2,100	\$0
<i>Membership</i>						
\$2,100 2010						
<b>Health Alliance International</b> 1107 NE 45th St, Suite 427 Seattle, WA 98105	501(c)3	\$9,289,107	\$0	\$0	\$1,445,329	\$7,843,778
<i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique</i>						
\$10,000,000 2009						

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
New York Academy of Medicine 1216 Fifth Avenue New York, NY 10029	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
9th International Conference on Urban Health \$50,000 2010		\$10,071,144	\$0	\$0	\$2,010,365	\$8,060,779
<b>Board of Trustees for the University of Alabama</b> Birmingham, AL 35233 <i>Clinical Mentoring and Community Engagement to Improve Millenium Development Goals Health Outcomes in Lusaka Province of Zambia</i> \$11,100,000 2009	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
<b>Women Deliver Inc</b> 588 Broadway Rm 503 New York, NY 10012-5244 <i>Support for a background paper on maternal health and equity for the Women Deliver 2010 Conference</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
<b>Yale University</b> Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047 <i>Global Health Leadership Institute Conference</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
<b>Total African Health</b>		<b>\$39,212,633</b>	<b>\$107,100</b>	<b>\$0</b>	<b>\$8,446,386</b>	<b>\$30,873,347</b>
<b>ARTS</b> Aaron Davis Hall Inc 135th St & Convent Ave New York, NY 10031-0000 <i>Harlem Stage Double Time Challenge</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Actors Fund of America 729 7th Ave New York, NY 10019-6831 <i>The Dancers' Health Insurance Resource Center</i>	501(c)3	\$0	\$160,000	\$0	\$93,070	\$66,930
\$160,000 2010						
<b>Appalshop, Inc.</b> 91 Madison Avenue Whitesburg, KY 41858 <i>Bringing the Roadside / Thousand Kites platform to scale, engaging new and diverse theater audiences</i>	501(c)3	\$0	\$152,150	\$0	\$98,150	\$54,000
\$152,150 2010						
<b>Washington Drama Society Inc.</b> 1101 6th Street SW Washington, DC 20024 <i>Support for a convening of the new play sector</i>	501(c)3	\$0	\$148,143	\$0	\$148,143	\$0
\$148,143 2010						
<b>Asian American-Pacific Islanders In Philanthropy</b> 211 Sutter St Ste 600 San Francisco, CA 94108 <i>Membership</i>	501(c)3	\$0	\$2,500	\$0	\$2,500	\$0
\$2,500 2010						
<b>Association of Performing Arts Presenters, Inc.</b> 1211 Connecticut Ave NW Washington, DC 20036 <i>Creative Campus Innovations Grant Program</i>	501(c)3	\$643,500	\$0	\$0	\$400,000	\$243,500
\$2,000,000 2008						
<b>Association of Performing Arts Presenters, Inc.</b> 1211 Connecticut Ave NW Washington, DC 20036 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2010 funding round of the</i>	501(c)3	\$310,000	\$0	\$0	\$310,000	\$0

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Creative Campus Innovations Program (Supplements DDCF Grant)</i>						
\$310,000 2009						
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington, DC 20036 <i>Arts Presenting and the New Realities Forums</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$25,000 2010						
Foundation For Dance Promotion Inc 27 W 120th St New York, NY 10027-6436 <i>Support for the merger of The Bill T. Jones/Arnie Zane Dance Company with Dance Theater Workshop as a model for creating new homes for dance in NYC</i>	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000
\$150,000 2010						
Chamber Music America 305 Seventh Avenue New York, NY 10001 <i>Doris Duke Jazz Ensembles</i>	501(c)3	\$1,007,500	\$0	\$0	\$453,750	\$553,750
\$2,215,000 2008						
Chamber Music America 305 Seventh Avenue New York, NY 10001 <i>to provide core operating support grants to organizations selected to receive re-grants in the Doris Duke Jazz Ensembles Project</i>	501(c)3	\$120,000	\$0	\$0	\$120,000	\$0
\$180,000 2009						
DDCF Arts Program <i>Fund for National Projects</i>		\$1,924,350	(\$1,482,962)	\$0	\$0	\$441,388
\$3,000,000 2008						
Chamber Music America 305 Seventh Avenue New York, NY 10001	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0



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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>National Conversations with the jazz community</i>						
\$25,000 2010						
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$63,000	\$0	\$0	\$63,000	\$0
<i>Jazz Composers Orchestra Institute</i>						
\$110,000 2009						
National Asian American Theatre Festival Inc 520 8th Ave Ste 308 New York, NY 10018	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
<i>The Third National Asian American Theater Conference</i>						
\$25,000 2010						
Creative Capital Foundation 65 Bleecker Street, 7th Floor New York, NY 10012	501(c)3	\$662,500	\$0	\$0	\$362,500	\$300,000
<i>To provide re-grants and professional development programs for performing artists in contemporary dance, jazz, theater and live interdisciplinary art</i>						
\$1,200,000 2008						
Creative Capital Foundation 65 Bleecker Street, 7th Floor New York, NY 10012	501(c)3	\$213,900	\$0	\$0	\$213,900	\$0
<i>to provide core operating support grants to organizations receiving re-grants in the Multi-Arts Production Fund Program</i>						
\$427,800 2009						
Creative Capital Foundation 65 Bleecker Street, 7th Floor New York, NY 10012	501(c)3	\$0	\$2,630,000	\$0	\$515,000	\$2,115,000
<i>Support for Multi-Arts Production Fund</i>						
\$2,630,000 2010						
Cleveland Modern Dance Association 13110 Shaker Sq Ste 106	501(c)3	\$80,000	\$0	\$0	\$80,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Cleveland, OH 44120-2381 <i>Building a network of new dance presenters</i>						
\$120,000 2009		\$207,000	\$0	\$0	\$207,000	\$0
<b>Dance USA</b> 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Engaging Dance Audiences</i>	501(c)3					
\$1,500,000 2008		\$127,875	\$0	\$0	\$127,875	\$0
<b>Dance USA</b> 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>to provide core operating support grants to organizations selected to receive re-grants in the Engaging Dance Audiences Program.</i>	501(c)3					
\$255,750 2009		\$0	\$20,000	\$0	\$20,000	\$0
<b>Dance USA</b> 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Support for the U.S. delegation to 2010 Internationale Tanzmesse</i>	501(c)3					
\$20,000 2010		\$0	\$60,000	\$0	\$35,000	\$25,000
<b>Dance Works Inc</b> 246 W 38th St, FL 8 New York, NY 10018 <i>To analyze and strengthen the administration and infrastructure of contemporary dance in the United States</i>	501(c)3					
\$60,000 2010		\$0	\$200,000	\$0	\$134,144	\$65,856
<b>Danspace Project Inc</b> 131 E 10th St New York, NY 10003 <i>Theory &amp; Practice: New Curatorial Connections for 21st Century Movement Based Work</i>	501(c)3					

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$200,000 2010						
<b>Emc Arts Inc</b> 127 W 122nd St New York, NY 10027-5502 <i>Innovation Lab for the Performing Arts</i> \$1,600,000 2010	501(c)3	\$0	\$1,600,000	\$0	\$1,000,000	\$600,000
<b>Emc Arts Inc</b> 127 W 122nd St New York, NY 10027-5502 <i>To support the New Pathways for the Arts, Program</i> \$115,207 2010	501(c)3	\$0	\$115,207	\$0	\$115,207	\$0
<b>Fractured Atlas Productions Inc</b> 248 W 35th St FL 10 New York, NY 10001 <i>To support the next phase of ATHENA Tix, an open source ticketing platform</i> \$150,000 2010	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000
<b>Future of Music Coalition Inc</b> 1615 L Street Nw Washington, DC 20036-5610 <i>An Artist Income Stream analysis with a focus on how jazz musicians are faring compared to other genres</i> \$150,000 2010	501(c)3	\$0	\$150,000	\$0	\$87,000	\$63,000
<b>Grantmakers in the Arts</b> 4055 21st Ave W, Ste 100 Seattle, WA 98119-1247 <i>The GIA Online Communications Initiative</i> \$40,000 2010	501(c)3	\$0	\$40,000	\$0	\$40,000	\$0
<b>Home for Contemporary Theatre and Art Ltd</b>	501(c)3	\$0	\$150,000	\$0	\$96,000	\$54,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
145 Sixth Ave New York, NY 10013 <i>the expansion of HERE. On Demand, encouraging an ongoing dialogue between artists and audiences</i>						
\$150,000 2010		\$100,000	\$0	\$0	\$100,000	\$0
<b>Jazz Arts Group Of Columbus</b> 939 N High St Columbus, OH 43201-2406 <i>Jazz Audiences Initiative</i>	501(c)3					
\$200,000 2009		\$0	\$65,000	\$0	\$65,000	\$0
<b>Joyce Theater Foundation Inc</b> 175 Eighth Avenue New York, NY 10011 <i>General operating support</i>	501(c)3					
\$65,000 2010		\$375,000	\$0	\$0	\$375,000	\$0
<b>Mid Atlantic Arts Foundation Inc</b> 201 North Charles St. Ste 401 Baltimore, MD 21201 <i>Support for the Jazz.NEXT re-granting program</i>	501(c)3					
\$1,000,000 2008		\$105,400	\$0	\$0	\$105,400	\$0
<b>Mid Atlantic Arts Foundation Inc</b> 201 North Charles St. Ste 401 Baltimore, MD 21201 <i>to provide core operating support grants to organizations selected to receive project re-grants the Jazz Next Program</i>	501(c)3					
\$210,800 2009		\$0	\$71,725	\$0	\$71,725	\$0
<b>Mid Atlantic Arts Foundation Inc</b> 201 North Charles St. Ste 401 Baltimore, MD 21201 <i>The French-American Jazz Exchange</i>	501(c)3					
\$71,725 2010						

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
National Alliance For Musical Theatre Inc 520 8th Ave Ste 301 New York, NY 10018-6507 <i>Strategic planning and capacity assessment</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
National Association of Latino Arts and Culture 1204 Buena Vista St. San Antonio, TX 78207 <i>National Conversations on Leadership and Transition, Organizational Development and Aesthetics</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>Creation Fund; Performance Residency Program, Community Fund and Freight Fund; and the International Strategic Partnerships Program</i> \$3,527,186 2008	501(c)3	\$1,556,242	\$0	\$0	\$807,322	\$748,920
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>Survey to assess the impact of the economic recession on NPN members</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>Program Profitability Model and Scenario Planning Analyses, with the goal of strengthening NPN's fiscal health</i> \$15,000 2010	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
National Public Radio Inc 635 Massachusetts Ave NW	501(c)3	\$0	\$151,400	\$0	\$97,400	\$54,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Washington, DC 20001-3753						
<i>Technological innovations to forward jazz appreciation, education, and innovation</i>						
\$151,400 2010						
<b>New England Foundation for the Arts</b>	501(c)3	\$0	\$3,330,000	\$0	\$1,660,000	\$1,670,000
145 Tremont St Fl 7						
Boston, MA 02111-1214						
<i>National Dance Project</i>						
\$3,330,000 2010						
<b>New Professional Theatre Inc</b>	501(c)3	\$0	\$8,060	\$0	\$8,060	\$0
<i>General Support</i>						
\$8,060 2010						
<b>New York Public Library, Astor, Lenox and Tilden Foundations</b>	501(c)3	\$0	\$198,612	\$0	\$168,000	\$30,612
5th Ave and 42nd St						
New York, NY 10018						
<i>provide improved digital access to the NYPL's audio-visual dance collections</i>						
\$198,612 2010						
<b>Newark Public Radio Inc</b>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
54 Park Place						
Newark, NJ 07102						
<i>Support for programming featuring New Jazz Works on the radio series JazzSet with Dee Dee Bridgewater</i>						
\$50,000 2010						
<b>Nonprofit Finance Fund</b>	501(c)3	\$4,552,078	\$0	\$0	\$1,962,078	\$2,590,000
70 West 36th Street, 11th Floor						
New York, NY 10018						
<i>Leadership Arts Organizations Initiative</i>						
\$15,125,000 2007						
<b>On The Boards</b>	501(c)3	\$0	\$200,000	\$0	\$109,700	\$90,300
PO Box 19515						

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Recipient and/or Purpose Seattle, WA 98109	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>The Presenting Online Partnership Program (POPP) pilot project</i>						
\$200,000 2010		\$0	\$75,000	\$0	\$30,180	\$44,820
<b>Pangea World Theater</b> 711 W Lake St Ste 102 Minneapolis, MN 55408-3357 <i>Work with national performance arts networks to develop the National Directing and Ensemble Creation Institute</i>	501(c)3	\$0	\$75,000	\$0	\$30,180	\$44,820
\$75,000 2010						
<b>Playwrights Horizons Inc.</b> 416 West 42nd Street New York, NY 10036 <i>General Support</i>	501(c)3	\$0	\$40,000	\$0	\$40,000	\$0
\$40,000 2010						
<b>The Pop Tech Institute</b> 21 Elm St, PO Box 1405 Camden, ME 04843 <i>The Pop Tech Social Innovation Fellows Program</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
\$50,000 2010						
<b>New York Shakespeare Festival</b> 425 Lafayette Street New York, NY 10003 <i>Leading National Theatres Program</i>	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
\$700,000 2004						
<b>Studio Museum In Harlem Inc</b> 144 W 125th St New York, NY 10027 <i>Support for education programs and events that feature performing arts</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$25,000 2010						
<b>Teachers College Columbia University</b> Box 306, 525 West 120th Street	501(c)3	\$0	\$36,280	\$0	\$36,280	\$0

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Recipient and/or Purpose New York, NY 10027-6696	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Design and printing of a final report for Still Kicking, a study of aging professional performing artists</i> \$36,280 2010		\$0	\$45,000	\$0	\$45,000	\$0
<b>Tectonic Theater Project Inc</b> 520 8th Ave #313 New York, NY 10018 <i>The Laramie Project Residency</i> \$45,000 2010	501(c)3	\$0	\$45,000	\$0	\$45,000	\$0
<b>Theatre Bay Area</b> 870 Market St Ste 375 San Francisco, CA 94102-3009 <i>Support for a national survey measuring intrinsic impact</i> \$176,000 2010	501(c)3	\$0	\$176,000	\$0	\$176,000	\$0
<b>Theatre Communications Group Inc.</b> 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>New Generations Program</i> \$2,500,000 2009	501(c)3	\$1,250,000	\$0	\$0	\$1,250,000	\$0
<b>Theatre Communications Group Inc.</b> 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 - 2011 funding rounds of the New Generations Program</i> \$711,746 2009	501(c)3	\$357,661	\$0	\$0	\$357,661	\$0
<b>Theatre Communications Group Inc.</b> 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>Field Conversations: Revisiting the Artistic Home</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
University Musical Society 881 North University Ave Ann Arbor, MI 48109 <i>The Virtual Lobby: Connecting Artists and Audiences in New Spaces, Online and Off</i>	501(c)3	\$0	\$151,900	\$0	\$97,900	\$54,000
\$151,900 2010						
Wesleyan University Middletown, CT 06459 <i>Continuation of Feet to the Fire. Exploring Global Climate Change from Science to Art</i>	501(c)3	\$0	\$136,585	\$0	\$88,328	\$48,257
\$136,585 2010						
Yerba Buena Center for the Arts 701 Mission St San Francisco, CA 94103-3138 <i>YBCA CONNECT, an immersive visitor experience innovation</i>	501(c)3	\$0	\$152,300	\$0	\$98,300	\$54,000
\$152,300 2010						
Youth Speaks Inc 290 Divison St Ste 302 San Francisco, CA 94103-0000 <i>The LIFE Branding Initiative</i>	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0
\$150,000 2009						
<b>Total Arts</b>		<b>\$13,856,006</b>	<b>\$9,422,900</b>	<b>\$0</b>	<b>\$13,203,573</b>	<b>\$10,075,333</b>
<b>CHILD ABUSE PREVENTION</b>						
Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>To support the Brownsville Partnership's work supporting families in maintaining their housing, caring for their children and being good neighbors</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$25,000 2010						
National Foundation for the Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta, GA 30303 <i>Building state-based public health leadership to prevent child maltreatment</i>	501(c)3	\$500,000	\$0	\$0	\$250,000	\$250,000
\$1,226,179 2008						
National Foundation for the Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta, GA 30303 <i>Support for launch of Triple P (Positive Parenting Program)</i>	501(c)3	\$0	\$1,495,000	\$0	\$745,000	\$750,000
\$1,495,000 2010						
Center for the Study of Social Policy 1575 Eye St NW, Ste 500 Washington, DC 20005 <i>Quality Improvement Center (QIC) on Early Childhood (formerly National QIC on Prevention of Child Abuse and Neglect of Infant and Young Children)</i>	501(c)3	\$89,351	\$0	\$0	\$89,351	\$0
\$289,351 2008						
Center for the Study of Social Policy 1575 Eye St NW, Ste 500 Washington, DC 20005 <i>Strengthening Families National Network</i>	501(c)3	\$0	\$2,168,122	\$0	\$1,577,149	\$590,973
\$2,168,122 2010						
Chapin Hall Center For Children 1313 E 60th St Chicago, IL 60637-2830 <i>Doris Duke Fellowships for the Prevention of Child Abuse and Neglect</i>	501(c)3	\$1,500,000	\$0	\$897,791	\$500,000	\$1,897,791

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$2,397,791 2009						
Erikson Institute 451 N LaSalle St Chicago, IL 60654 <i>To expand the work of The Fussy Baby Network.</i>	501(c)3	\$784,812	\$0	\$0	\$450,000	\$334,812
\$1,384,812 2009						
Every Child Succeeds 3333 Burnet Ave ML 3005 Cincinnati, OH 45229 <i>Planning and convening the National Summit on Quality in Home Visiting Program</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$25,000 2010						
Friends of Children Trust Fund Inc 55 Court St Fl 4 Boston, MA 02108-2104 <i>Early Childhood Initiative - Phase 2</i>	501(c)3	\$298,000	\$0	\$0	\$298,000	\$0
\$1,698,000 2008						
Friends of the Alaska Childrens Trust PO Box 92115 Anchorage, AK 99509 <i>Implementation of the Alaska Children's Trust's organizational transition plan</i>	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
\$50,000 2010						
Georgia State University Research Foundation Inc Po Box 3999 Atlanta, GA 30302-3999 <i>SafeCare Training and Resource Center</i>	501(c)3	\$293,416	\$0	\$0	\$293,416	\$0
\$1,321,403 2007						
National Center on Shaken Baby Syndrome 1433 N Hwy 89, Ste 110	501(c)3	\$0	\$367,000	\$0	\$280,000	\$87,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Farmington, UT 84025						
<i>Phase III of the Period of PURPLE Crying Program</i>						
\$367,000 2010			\$80,000	\$0	\$80,000	\$0
<b>Parents Anonymous Inc</b>	501(c)3	\$0	\$80,000	\$0	\$80,000	\$0
675 W Foothill Blvd Ste 220 Claremont, CA 91711-3475						
<i>Operate a national parent helpline prevent child abuse and neglect</i>						
\$80,000 2010						
<b>The Pew Charitable Trusts</b>	501(c)3	\$585,962	\$0	\$0	\$300,000	\$285,962
2005 Market St Ste 1700 Philadelphia, PA 19103-7017						
<i>Home Visiting Research Initiative</i>						
\$1,885,962 2008						
<b>Prevent Child Abuse America</b>	501(c)3	\$244,032	\$0	\$0	\$244,032	\$0
228 S Wabash Ave fl 10 Chicago, IL 60604						
<i>Support for a national public education campaign to raise public awareness about the prevention of child maltreatment</i>						
\$494,032 2009						
<b>Prevent Child Abuse-New Jersey Chapter Inc</b>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
103 Church St Ste 210 New Brunswick, NJ 08901						
<i>Expand and enhance statewide training on prevention of child abuse</i>						
\$25,000 2010						
<b>Providence House Inc</b>	501(c)3	\$0	\$75,000	\$0	\$75,000	\$0
2037 W 32nd St Cleveland, OH 44113						
<i>Examination of the long term impact of crisis nursery services on at-risk children and families</i>						
\$75,000 2010						

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Third Sector New England Inc 89 South St Ste 700 Boston, MA 02111-2679 <i>Early Childhood Funders' Collaborative membership</i> \$4,000 2010	501(c)3	\$0	\$4,000	\$0	\$4,000	\$0
United Way Worldwide 701 N Fairfax St Alexandria, VA 22314 <i>Child abuse prevention and family strengthening</i> \$1,835,585 2007	501(c)3	\$335,585	\$0	\$0	\$335,585	\$0
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>Disseminate Findings and Develop Recommendations from the Longitudinal Study of Child Abuse and Neglect</i> \$276,000 2010	501(c)3	\$0	\$276,000	\$0	\$150,000	\$126,000
University of Wisconsin Foundation 1848 University Ave, PO Box 8860 Madison, WI 53708-8860 <i>Improving Child Neglect Prevention through Enhanced Measurement and Assessment Strategies</i> \$594,049 2008	501(c)3	\$174,049	\$0	\$0	\$174,049	\$0
Urban Institute 2100 M Street NW Washington, DC 20037 <i>Preventing Child Abuse and Neglect by Linking Depressed Mothers to Effective Services</i> \$400,000 2008	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
Zero to Three: National Center for Infants, Toddlers and Families 1255 23rd St NW Ste 350	501(c)3	\$778,737	\$0	\$0	\$550,000	\$228,737

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Washington, DC 20037						
Support for the "Promoting Responsive Relationships" project						
\$1,578,737 2009						
<b>Total Child Abuse Prevention</b>		<b>\$5,733,944</b>	<b>\$4,590,122</b>	<b>\$897,791</b>	<b>\$6,670,582</b>	<b>\$4,551,275</b>
<b>CLIMATE CHANGE</b>						
Carnegie Mellon University	501(c)3	\$800,000	\$0	\$0	\$600,000	\$200,000
5000 Forbes Avenue						
Pittsburgh, PA 15213						
Facilitate the large-scale adoption of variable and intermittent sources of renewable electricity						
\$800,000 2009						
Center For Neighborhood Technology	501(c)3	\$0	\$275,000	\$0	\$225,000	\$50,000
2125 W North Ave						
Chicago, IL 60647						
to support the development and pilot of an online tool for homeowners to assess energy efficiency upgrades						
\$275,000 2010						
Conservation Services Grp	501(c)3	\$0	\$348,000	\$0	\$275,000	\$73,000
40 Washington St						
Westborough, MA 01581						
Establishment and pilot of an energy efficiency category in Multiple Listing Services in New York State						
\$348,000 2010						
Consultative Group on Biological Diversity	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Presidio Building 1016, PO Box 29361						
San Francisco, CA 94129-0361						
Membership in the Energy and Climate workgroup						
\$10,000 2010						
Environmental And Energy Study Institute	501(c)3	\$0	\$225,000	\$0	\$175,000	\$50,000
1112 16th St NW, Ste 300						

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Washington, DC 20036 to support the design and implementation of an energy efficiency loan program through rural electric cooperatives in South Carolina						
\$225,000 2010			\$350,000	\$0	\$280,000	\$70,000
<b>Environmental Defense Fund Incorporated</b> 257 Park Ave S, FL 16 New York, NY 10010 to support the design and testing of new standards for measuring the savings from energy improvements through retrofits to attract private capital investment	501(c)3	\$0	\$350,000	\$0	\$280,000	\$70,000
\$350,000 2010						
<b>President and Fellows of Harvard College</b> Boston, MA Energy Technology Innovation for Meeting the Climate-Change Challenge. Planning for the Effective Use of Increased Resources	501(c)3	\$378,000	\$0	\$0	\$378,000	\$0
\$1,460,000 2007						
<b>Institute For International Economics</b> 1750 Massachusetts Ave NW Washington, DC 20036-1903 Analysis of the potential for the G-20 to assume a leadership role in reaching an international climate agreement	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
\$100,000 2010						
<b>National Housing Trust</b> 1101 30th St, NW, Ste 400 Washington, DC 20007 Design and pilot of financing approach that combines multiple funding sources for the retrofit of multifamily affordable housing	501(c)3	\$0	\$300,000	\$0	\$230,000	\$70,000
\$300,000 2010						
<b>Natural Resources Defense Council Inc</b>	501(c)3	\$0	\$600,000	\$0	\$450,000	\$150,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
40 W 20th St FL 11 New York, NY 10011 <i>Research and analysis to scale energy efficiency retrofits in New York City and beyond</i>						
\$600,000 2010						
<b>New Buildings Institute Inc</b> 142 E Jewett Blvd White Salmon, WA 98672 <i>Design of strategies and tools to achieve deep energy savings through retrofits in small to mid-size commercial buildings</i>	501(c)3	\$0	\$550,000	\$0	\$400,000	\$150,000
\$550,000 2010						
<b>Pecan Street Project Inc</b> West Pickle Research Bldg, 3925 W Braker Ln Austin, TX 78759 <i>to conduct a study to document economic benefits and energy savings of energy efficiency retrofits of homes in Austin, Texas</i>	501(c)3	\$0	\$350,000	\$0	\$280,000	\$70,000
\$350,000 2010						
<b>Stewards of Affordable Housing for the Future</b> 555 11th St, NW, Ste 525 Washington, DC 20004 <i>Design of energy management systems that elevate the focus on energy efficiency for three large affordable housing providers in New York City</i>	501(c)3	\$0	\$135,269	\$0	\$100,000	\$35,269
\$135,269 2010						
<b>Regents of the University of California</b> <i>Research and design of whole building retrofit approaches for the multi-tenant light commercial buildings</i>	501(c)3	\$0	\$350,000	\$0	\$0	\$350,000
\$350,000 2010						
<b>Vermont Energy Investment Corp</b> 255 S Champlain St, Ste 7 Burlington, VT 05401 <i>Design and testing of models for integrating energy efficiency with</i>	501(c)3	\$0	\$350,000	\$0	\$280,000	\$70,000

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
rehabilitation projects for affordable housing in Vermont						
\$350,000 2010						
World Resources Institute	501(c)3	\$350,000	\$0	\$0	\$350,000	\$0
10 G Street NE Washington, DC 20002						
To support research and analysis on U.S. climate policy design						
\$750,000 2009						
		<b>Total Climate Change</b>	<b>\$1,528,000</b>	<b>\$3,943,269</b>	<b>\$4,133,000</b>	<b>\$1,338,269</b>

**ENVIRONMENT**

Americas Wildlife Association For Resource Education AWARE	501(c)3	\$600,000	\$0	\$0	\$200,000	\$400,000
444 North Capitol Street NW, Suite 725 Washington, DC 20001						
Improving State Wildlife Action Plan implementation						
\$800,000 2009						
City Parks Foundation	501(c)3	\$0	\$97,100	\$0	\$97,100	\$0
830 5th Ave New York, NY 10065-7001						
Planning for a New York City Conservancy for Natural Areas						
\$97,100 2010						
Trustees of Columbia University in the City of New York	501(c)3	\$0	\$99,882	\$0	\$99,882	\$0
New York, NY						
Sustainable Urban Agriculture Confirming Viable Scenarios for Production, a project of the Urban Design Lab of the Earth Institute						
\$99,882 2010						
Conservation Resources Inc.	501(c)3	\$150,000	\$0	\$0	\$150,000	\$0
100 North Rd, Ste 2, PO Box 594 Chester, NJ 07930						
Provide continued funding for the Raritan Piedmont Wildlife Habitat Partnership						

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$300,000 2009						
<b>Consultative Group on Biological Diversity</b> Presidio Building 1016, PO Box 29361 San Francisco, CA 94129-0361 <i>Membership</i> \$25,000 2010	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
<b>Council On The Environment Inc</b> 51 Chambers St Rm 228 New York, NY 10007 <i>GrowNYC's Greenmarket Farmland Retention Program</i> \$100,000 2010	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
<b>Defenders of Wildlife</b> 1130 17th St NW Washington, DC 20036-4604 <i>Promoting State Wildlife Actions Plans to address the impacts of global warming and develop a national network of conservation lands</i>	501(c)3	\$900,000	\$0	\$0	\$300,000	\$600,000
\$1,200,001 2009						
<b>Defenders of Wildlife</b> 1130 17th St NW Washington, DC 20036-4604 <i>Planning grant to prepare a comprehensive proposal to ensure that wildlife conservation has a strong voice in the development of national policy for renewable energy</i>	501(c)3	\$0	\$9,000	\$0	\$9,000	\$0
\$9,000 2010						
<b>Defenders of Wildlife</b> 1130 17th St NW Washington, DC 20036-4604 <i>To develop and inform a national policy to expedite the sensitive siting of renewable energy resources</i>	501(c)3	\$0	\$3,074,269	\$0	\$1,260,683	\$1,813,586
\$3,074,269 2010						
<b>Design Trust for Public Space</b>	501(c)3	\$0	\$90,000	\$0	\$90,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
338 W 39th St, FL 10 New York, NY 10018 <i>Five Borough Farm, New York's first citywide plan for urban agriculture</i> \$90,000 2010	501(c)3	\$0	\$18,060	\$0	\$18,060	\$0
<b>Doris Duke Monument Foundation</b> <i>Support for the planting of trees in Queen Anne Square, the green park space in Newport, Rhode Island that will commemorate Doris Duke</i> \$18,060 2010	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
<b>Environmental Defense Fund Incorporated</b> 257 Park Ave S, FL 16 New York, NY 10010 <i>Work toward finding a global climate solution, and protecting land and freshwater ecosystems</i> \$15,000 2010	501(c)3	\$1,500,000	\$0	\$0	\$500,000	\$1,000,000
<b>Environmental Defense Fund Incorporated</b> 257 Park Ave S, FL 16 New York, NY 10010 <i>Integrating State Wildlife Action Plans into implementation of conservation incentive programs for private lands</i> \$2,000,000 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
<b>Glynwood Center, Inc.</b> 1 Glynwood RD Cold Spring, NY 10516 <i>Analyzing the feasibility of creating an incubator farm for training environmentally responsible and wildlife-friendly farmers in the Hudson Valley</i> \$50,000 2010	501(c)3	\$750,000	\$0	\$0	\$250,000	\$500,000
<b>Land Trust Alliance Incorporated</b> 1660 L Street NW, Suite 1100 Washington, DC 20036 <i>To prepare land trusts for accreditation and to ensure the long term</i>	501(c)3					

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose <i>success of the accreditation program</i>	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$1,000,000 2009 National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>Protect wildlife and wildlife habitats from the combined effects of global warming and habitat destruction and degradation</i>	501(c)3	\$900,281	\$0	\$0	\$300,000	\$600,281
\$1,200,281 2009 National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>work to safeguard wildlife biodiversity in the face of a rapidly expanding bioenergy industry</i>	501(c)3	\$0	\$899,700	\$0	\$437,000	\$462,700
\$899,700 2010 Natural Resources Defense Council Inc 40 W 20th St FL 11 New York, NY 10011 <i>Programming and design development of Green Light New York's Energy Efficient Lighting Resource Center</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$25,000 2010 The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Pacific Northwest Land Protection Initiative</i>	501(c)3	\$1,900,000	\$0	\$0	\$1,900,000	\$0
\$7,000,000 2008 The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Linking wetlands mitigation with state wildlife plans</i>	501(c)3	\$85,000	\$0	\$0	\$85,000	\$0
\$185,000 2009 The Nature Conservancy, Inc.	501(c)3	\$0	\$99,378	\$0	\$99,378	\$0

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Resilient Sites for Conservation in the Eastern Region</i> \$99,378 2010		\$0	\$50,000	\$0	\$50,000	\$0
<b>The Nature Conservancy, Inc.</b> 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Rhode Island chapter's efforts to protect the Quicksand Pond Conservation Area</i> \$50,000 2010	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
<b>NatureServe</b> 4600 N Fairfax Dr 7th FL Arlington, VA 22203 <i>Creating a national wildlife conservation system by improving and promoting state wildlife action plans</i> \$600,000 2009	501(c)3	\$450,000	\$0	\$0	\$200,000	\$250,000
<b>Open Space Conservancy Inc</b> 1350 Broadway, Suite 201 New York, NY 10018 <i>Establish and manage a land protection initiative to assist with implementation of the State Wildlife Action Plans in Massachusetts, Maine and New Hampshire through land and easement acquisitions and re-grants</i> \$6,000,000 2008	501(c)3	\$1,200,000	\$0	\$0	\$1,200,000	\$0
<b>Regional Plan Association Inc</b> 4 Irving Place, 7th Floor New York, NY 10003 <i>Implementing State Wildlife Action Plans into the Northeast Megaregion through Land Use Planning</i> \$400,000 2009	501(c)3	\$230,000	\$0	\$0	\$135,000	\$95,000
<b>Scenic Hudson, Inc</b> One Civic Center Plaza, Suite 200	501(c)3	\$0	\$60,000	\$0	\$60,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Poughkeepsie, NY 12601 <i>Development of an Action Agenda for Farmland Protection in the Hudson River Valley</i> \$60,000 2010						
Theodore Roosevelt Conservation Partnership Inc 1660 L St NW Ste 208 Washington, DC 20036-5603 <i>Educate sportsmen on how State Wildlife Action Plans will be a key factor in maintaining their future hunting and fishing opportunities</i> \$399,690 2009	501(c)3	\$299,690	\$0	\$0	\$100,000	\$199,690
The Trust for Public Land National Office: 116 New Montgomery St., 4th Floor San Francisco, CA 94105 <i>Greenprinting as a strategy for implementing state wildlife action plan conservation priorities</i> \$600,000 2009	501(c)3	\$350,000	\$0	\$0	\$200,000	\$150,000
The Trust for Public Land National Office: 116 New Montgomery St., 4th Floor San Francisco, CA 94105 <i>To further expand the sources and amounts of state and local public funding for the conservation of wildlife habitat areas.</i> \$2,000,000 2010	501(c)3	\$0	\$2,000,000	\$0	\$1,000,000	\$1,000,000
Vermont Land Trust 8 Bailey Ave. Montpelier, VT 05602 <i>Forest conservation and stewardship in Central Vermont and land conservation work in the Northern Green Mountains</i> \$50,000 2010	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
Wildlife Conservation Society 2300 Southern Boulevard	501(c)3	\$2,200,054	\$0	\$0	\$2,200,054	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>The Wildlife Action Opportunities Fund</i>						
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460	501(c)3	\$0	\$520,000	\$0	\$520,000	\$0
\$4,400,109 2008						
<i>The Wildlife Action Opportunities Fund</i>						
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460	501(c)3	\$0	\$4,927,600	\$0	\$0	\$4,927,600
\$4,927,600 2010						
<i>Climate Adaptation Fund</i>						
Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, Suite 300 Princeton, NJ 08540	501(c)3	\$1,081,467	\$0	\$0	\$1,081,467	\$0
<i>Doris Duke Conservation Fellows Program, which aims to prepare and support future conservation leaders</i>						
\$2,849,297 2008						
<i>Woodrow Wilson National Fellowship Foundation</i>						
Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, Suite 300 Princeton, NJ 08540	501(c)3	\$0	\$1,417,816	\$0	\$0	\$1,417,816
<i>Doris Duke Conservation Fellows Program</i>						
\$1,417,816 2010						
<i>Yale University</i>						
Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047	501(c)3	\$0	\$500,000	\$0	\$400,000	\$100,000
<i>Climate Adaptation Mapping Framework</i>						
\$500,000 2010						
<b>Total Environment</b>		<b>\$12,596,492</b>	<b>\$14,127,805</b>	<b>\$0</b>	<b>\$13,207,624</b>	<b>\$13,516,673</b>

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<b>MEDICAL RESEARCH</b>						
American Society for Clinical Investigation Inc 35 Research Drive, Suite 300 Ann Arbor, MI 48103 <i>The 2010 annual meeting</i> \$10,000 2010	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Baylor College of Medicine Houston, TX 77030 <i>Controlled Trial of Goal-Setting for Diabetes Control in Minority Communities</i> \$405,000 2008	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Baylor College of Medicine Houston, TX 77030 <i>Pediatric Glioblastoma Multiforme (GBM): Genomic Analysis and Clinical Correlation</i> \$486,000 2010	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Beth Israel Deaconess Medical Center Boston, MA 02215 <i>Maternal immune correlates of protection against HIV transmission via breast milk</i> \$486,000 2010	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Clinical development of histone deacetylase inhibitors for the treatment of sickle cell disease</i> \$486,000 2009	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Broad Institute Inc 7 Cambridge Ctr Cambridge, MA 02142-1401	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Whole-exome re-sequencing in sickle cell disease patients with extremely mild clinical courses</i>						
\$486,000 2009		\$135,000	\$0	\$0	\$135,000	\$0
<b>Brown University</b> Providence, RI 02912	501(c)3					
<i>Neural Interfaces for Restoration of Function After Paralysis</i>						
\$405,000 2008		\$0	\$10,000	\$0	\$10,000	\$0
<b>Clinical Research Foundation Inc</b> 1350 Connecticut Ave NW, Ste 850 Washington, DC 20036	501(c)3					
<i>Clinical Research Forum's 2010 Annual Meeting</i>						
\$10,000 2010		\$135,000	\$0	\$0	\$0	\$135,000
<b>Trustees of Columbia University in the City of New York</b> New York, NY	501(c)3					
<i>Novel Approaches to Bacterial Vaginosis: Diagnostics and Risk Factors</i>						
\$405,000 2009		\$135,000	\$0	\$0	\$0	\$135,000
<b>Trustees of Columbia University in the City of New York</b> New York, NY	501(c)3					
<i>Language Deficits in Autism: Image-Guided Non-Invasive Brain Stimulation</i>						
\$405,000 2009		\$0	\$48,050	\$0	\$48,050	\$0
<b>Trustees of Columbia University in the City of New York</b> New York, NY	501(c)3					
<i>To provide four teachers with clinical research experience through the Summer Research Program for Science Teachers</i>						
\$48,050 2010		\$0	\$40,000	\$0	\$40,000	\$0
<b>Trustees of Columbia University in the City of New York</b> New York, NY	501(c)3					
<i>Summer Program for Under-Represented Students</i>						
\$40,000 2010		\$0	\$0	\$0	\$0	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$0	\$600,000	\$0	\$351,757	\$248,243
<i>Clinical Research Fellowships for Medical Students 2010</i>						
\$600,000 2010						
Consortium of Universities for Global Health 3333 California St, Suite 285 San Francisco, CA 94143 Support for annual meeting \$20,000 2010	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 Selective Inhibition of HDAC1 and HDAC2 in Sickle Cell Disease \$486,000 2009	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 Proteasome inhibition as subtype-specific therapeutic intervention in glioblastoma: A step toward personalized oncologic care \$486,000 2010	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 Targeted NF-kB Inhibition in Molecular Subgroups of Patients with Lymphoma \$405,000 2008	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 A New Locus for Familial FSGS & GWAS Study of Childhood	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Nephrotic Syndrome</i>						
\$405,000 2009						
Federation Of American Societies For Experimental Biology 9650 Rockville Pike Bethesda, MD 20814-3999 <i>Forum on the Critical Role of Basic Scientists in the Translational Research Enterprise</i>	501(c)3	\$0	\$30,000	\$0	\$30,000	\$0
\$30,000 2010						
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>Clinical Investigator Student Trainee (CIST) Forum</i>	501(c)3	\$0	\$23,000	\$0	\$23,000	\$0
\$23,000 2010						
President and Fellows of Harvard College Boston, MA <i>Consequences of population Heterogeneity in Mycobacterium Tuberculosis in the transmission bottleneck</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
President and Fellows of Harvard College Boston, MA <i>Clinical and Economic Benefits of Medicare Prescription Drug Coverage</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
President and Fellows of Harvard College Boston, MA <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0
\$600,000 2010						
President and Fellows of Harvard College Boston, MA <i>Networking session for Doris Duke International Clinical Research Fellows at the 2010 Annual Workshop on Advanced Clinical Care</i>	501(c)3	\$0	\$20,400	\$0	\$20,400	\$0

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Recipient and/or Purpose <i>in Durban, South Africa</i>	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$20,400 2010 Health Research Alliance Inc. 21 T W Alexander Dr Research Triangle Park, NC 27709 <i>Membership</i>	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0
\$5,000 2010 Imperial College Foundation Inc 837 State St Atlanta, GA 30332-0001 <i>Two meetings of the Developing Antiretroviral Therapy in Africa (DART) team to enable continued collaboration and development of implementation activities</i>	501(c)3	\$0	\$28,000	\$0	\$28,000	\$0
\$28,000 2010 Johns Hopkins University Baltimore, MD 21205 <i>Fragility in Elderly Patients Considering Kidney Transplantation</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2008 Johns Hopkins University Baltimore, MD 21205 <i>Advanced Glycation End Products Induce Endothelial Mesenchymal Transform.</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009 Johns Hopkins University Baltimore, MD 21205 <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0
\$400,000 2010 General Hospital Corporation/Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Pharmacogenetic Evaluation of Insulin Resistance Genes in Humans</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$405,000 2008						
General Hospital Corporation/Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Understanding Adaptive Mechanisms that Generate Drug Resistance in TB</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
General Hospital Corporation/Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Innate immunity in HIV-1 infection</i>	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000
\$1,500,000 2008						
General Hospital Corporation/Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Stem cell characteristics of HIV-1-specific T cells</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009						
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Determinants of Response to Targeted Therapy in Glioblastoma</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Analysis of the phosphatase PTPRD, a widely inactivated tumor suppressor in glioma</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009						
Mount Sinai School of Medicine of New York University One Gustave L. Levy Place .New York, NY 10029	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Clinical Research Fellowships for Medical Students 2010</i>						
\$400,000 2010	501(c)3	\$0	\$7,500	\$0	\$7,500	\$0
National Academy of Sciences 500 Fifth Street NW Washington, DC 20001						
<i>Forum on Drug Discovery, Development and Translation</i>						
\$7,500 2010						
New York University NYU Midtown Center, 11 West 42nd Street New York, NY 10036	501(c)3	\$0	\$0	\$0	\$0	\$0
<i>Study of Women with ACS and Non-Obstructive CAD (SWAN)</i>						
\$389,926 2006	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
New York University NYU Midtown Center 11 West 42nd						
New York, NY 10036						
<i>Platelet Activity in Cardiovascular Disease</i>						
\$486,000 2010	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
New York University NYU Midtown Center 11 West 42nd Street New York, NY 10036						
<i>Functional Genomics of the Host: Defining Macrophage Susceptibility to Tuberculosis</i>						
\$486,000 2010	501(c)3	\$0	\$21,530	\$0	\$21,530	\$0
Oxfam-America Inc 226 Causeway St., 5th Floor Boston, MA 02114						
<i>HIV/AIDS projects in Southern Africa</i>						
\$21,530 2010	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0
The Pop Tech Institute						

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
21 Elm St, PO Box 1405 Camden, ME 04843 <i>Science and Public Leadership Fellows Program</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$50,000 2010						
Rockefeller University 1230 York Avenue New York, NY 10021 <i>Characterization of Inflammatory Dendritic Cells in Psoriasis</i>	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0
\$405,000 2008						
Rockefeller University 1230 York Avenue New York, NY 10021 <i>Improving clinically relevant science education for disadvantaged students through the Science Outreach Program</i>	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
\$25,000 2010						
Society for Clinical and Translational Science Inc 2025 M St NW, Ste 800 Washington, DC 20036 <i>Membership</i>	501(c)3	\$0	\$0	\$0	\$0	\$0
\$10,000 2010						
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>Genetics of Adult-Onset Pulmonary Fibrosis</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>Determining cellular immune responses against aquaporin 4 in patients with neuromyelitis optica</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009						

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>PFAST: Patent Foramen Ovale and Stroke in Sickle Cell Disease</i>	501(c)3	\$162,000	\$0	\$0	\$0	\$162,000
\$486,000 2009						
Southwestern Medical Foundation 3963 Maple Ave Ste 100 Dallas, TX 75219 <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0
\$400,000 2010						
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105 <i>Genetic Predictors of Cerebrovascular Disease in Sickle Cell Anemia</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105 <i>Identification of Novel Factors and Mechanisms Influencing Expression of Fetal Hemoglobin</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 <i>The "Unite for Children, Unite Against AIDS" campaign</i>	501(c)3	\$0	\$12,500	\$0	\$12,500	\$0
\$12,500 2010						
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Prognostic Genetics of Chromosome Arm 18q Aberrations in Colorectal Cancer</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
\$405,000 2009 Board of Trustees of the Leland Stanford Junior University Stanford, CA	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
<i>Investigating the Role of Breast Tumor Initiating Cells in Treatment Resistance</i> \$486,000 2010						
Board of Trustees of the Leland Stanford Junior University Stanford, CA	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
<i>Web-based interactive course in 'Ethical Challenges Encountered During Short-Term Overseas Training in Biomedical Research and Practice</i> \$100,000 2010						
Universities Allied For Essential Medicines Corp 2625 Alcatraz Ave #180 Berkeley, CA 94618	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
<i>UAEM's annual training and educational conference</i> \$10,000 2010						
Board of Trustees for the University of Alabama Birmingham, AL 35233	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
<i>Abnormalities of glutamate transporter localization in schizophrenia</i> \$405,000 2009						
Regents University Of California Los Angeles Los Angeles, CA 90095	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000
<i>Disorders of Sex Development</i> \$1,500,000 2008						
Regents University Of California Los Angeles Los Angeles, CA 90095	501(c)3	\$215,100	\$0	\$0	\$53,100	\$162,000
<i>Beta-globin gene correction in hematopoietic stem cells for sickle cell disease</i> \$486,000 2009						

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Regents of the University of California <i>Neuroimaging of Locus Coeruleus Modulation for Cognitive Disability in Schizophrenia</i> \$405,000 2009	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
Regents Of The University Of California At San Diego 9500 Gilman Drive La Jolla, CA <i>A Novel Marker and Therapeutic Target in Liver Fibrosis</i> \$486,000 2010	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Regents Of The University Of California At San Diego 9500 Gilman Drive La Jolla, CA <i>"Beyond the PDF," a workshop to develop a new infrastructure for open access dissemination of scientific research</i> \$30,000 2010	501(c)3	\$0	\$30,000	\$0	\$30,000	\$0
University Of California San Francisco San Francisco, CA 94118 <i>Clinical Research Fellowships for Medical Students 2010</i> \$600,000 2010	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0
University of Chicago Chicago, IL 60637 <i>The Role of the Pro-Inflammatory S100 Proteins in Acute Coronary Syndrome</i> \$405,000 2008	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
State University Of Iowa Foundation Po Box 4550 Iowa City, IA 52244-4550 <i>Molecular Biology of Skeletal Muscle Atrophy</i> \$405,000 2009	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
State University Of Iowa Foundation Po Box 4550	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Iowa City, IA 52244-4550 <i>Clinical Research Fellowships for Medical Students 2010</i>						
\$400,000 2010						
University of Kentucky Research Foundation 301 Peterson Service Building Lexington, KY 40506-0001 <i>Short non-interfering RNAs as novel therapies for age-related macular degeneration</i>	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000
\$1,500,000 2008						
University Of Maryland Baltimore Foundation Inc 660 W Redwood St Ste 021 Baltimore, MD 21201-1541 <i>The Molecular Epidemiology of Malaria During Pregnancy</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
University Of Maryland Baltimore Foundation Inc 660 W Redwood St Ste 021 Baltimore, MD 21201-1541 <i>Molecular Epidemiology of Malaria During Pregnancy</i>	501(c)3	\$0	\$40,000	\$0	\$40,000	\$0
\$40,000 2010						
University of Miami Miami, FL 33136 <i>Therapies for Hormone Resistant Breast Cancer</i>	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0
\$1,500,000 2006						
Regents of the University of Michigan 2074 Fleming Building Ann Arbor, MI 48109-1115 <i>Searching for Recurrent Gene Fusions and Translocations in Common Solid Tumors</i>	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000
\$1,500,000 2008						
University of North Carolina at Chapel Hill Chapel Hill, NC 27599	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Clinical Research Fellowships for Medical Students 2010</i>						
\$600,000 2010						
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>HAART and Clearance of C. Neoformans from CSF in Patients with Cryptococcal Meningitis</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Quality of cardiac arrest resuscitation care and effects on post-reperfusion pathophysiology</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009						
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0
\$600,000 2010						
University Of Pittsburgh 4200 FIFTH AVE RM 3017 CATHEDRAL Pittsburgh, PA 15260 <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0
\$400,000 2010						
University of Texas Foundation PO Box 250 Austin, TX 78767-0250 <i>Immunosuppression in lymphoma tumor microenvironment</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
University of Texas Foundation PO Box 250	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
Austin, TX 78767-0250 <i>Blockade of CTLA-4 to induce effective anti-tumor immune responses in cancer patients</i>						
\$405,000 2008		\$375,000	\$0	\$0	\$0	\$375,000
<b>University of Texas Foundation</b> PO Box 250 Austin, TX 78767-0250 <i>Immunogenetic rheostats of HIV-1 transmission, keys for vaccine development</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$1,500,000 2008						
<b>University of Utah</b> Salt Lake City, UT 84112 <i>RBP4 Receptor Expression and Function in Human Adipose Tissue</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
<b>University of Utah</b> Salt Lake City, UT 84112 <i>Effects of Germline Mutations within the Ras Pathway on Bone Remodeling</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
<b>Vanderbilt University</b> 2201 West End Ave Nashville, TN 37240 <i>Neurogenesis in Tuberos Sclerosis Complex</i>	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
\$405,000 2009						
<b>Washington University</b> 660 S. Euclid Ave St. Louis, MO 63110 <i>Effect of Diet-Induced Weight Loss on HIV-Associated Metabolic Syndrome</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
<b>Washington University</b>	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
660 S. Euclid Ave St. Louis, MO 63110 <i>Understanding brain injury and development in at risk infants to improve outcomes</i>						
\$1,500,000 2008						
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Noninvasive quantification of the pulmonary anti-inflammatory effect of rosiglitazone</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Essential Isoprenoids in Human Malaria</i>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
\$486,000 2010						
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Clinical Research Fellowships for Medical Students 2010</i>	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0
\$400,000 2010						
Yale University Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047 <i>Glutamate in OCD: A Novel Perspective on Pathophysiology and Treatment</i>	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
\$405,000 2008						
Yale University Grant & Contract Administration 47 College Street, Suite 203	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose New Haven, CT 06520-8047	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
<i>Regulation of Fc gamma receptors in immune thrombocytopenic purpura</i>						
\$405,000 2009						
<b>Yale University</b>	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047						
<i>Erythrocyte Hydration Pathways as Modifiers in Sickle Cell Disease</i>						
\$486,000 2010						
<b>Yale University</b>	501(c)3	\$0	\$261,000	\$0	\$174,000	\$87,000
Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047						
<i>Molecular Genetics of Endocrine Tumor Disease</i>						
\$261,000 2010						
<b>Yale University</b>	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0
Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047						
<i>Clinical Research Fellowships for Medical Students 2010</i>						
\$600,000 2010						
		<b>Total Medical Research</b>	<b>\$7,933,100</b>	<b>\$13,605,980</b>	<b>\$13,850,837</b>	<b>\$7,688,243</b>

**STRATEGY AND PLANNING**

<b>Center for Effective Philanthropy Inc</b> 675 Massachusetts Ave., 7th fl Cambridge, MA 02139 <i>Membership</i>	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
\$15,000 2010						
<b>Council on Foundations Inc.</b>	501(c)3	\$0	\$20,000	\$2,500	\$22,500	\$0

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
2121 Crystal Dr Ste 700 Arlington, VA 22202 <i>Membership</i> \$22,500 2010	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
<b>Foundation Center</b> 79 Fifth Avenue New York, NY 10003 <i>To support core operations and services</i> \$15,000 2010	501(c)3	\$0	\$6,000	\$0	\$6,000	\$0
<b>Grantmakers for Effective Organizations</b> 1725 DeSales St NW Ste 404 Washington, DC 20036 <i>Membership</i> \$6,000 2010	501(c)3	\$0	\$3,750	\$0	\$3,750	\$0
<b>Grants Managers Network Inc</b> 1101 14th St NW, Ste 420 Washington, DC 20005 <i>Membership</i> \$3,750 2010	501(c)3	\$0	\$12,000	\$0	\$12,000	\$0
<b>Independent Sector</b> 1602 L St NW, Ste 900 Washington, DC 20036 <i>Membership</i> \$12,000 2010	501(c)3	\$0	\$300,000	\$0	\$100,000	\$200,000
<b>National Public Radio Inc</b> 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>To support NPR news programming, including coverage of science and biomedical research issues; reporting on children, youth and families; and general news programming</i> \$300,000 2010	501(c)3	\$0				

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>Support for NPR news programming</i> \$500,000 2009	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0
Philanthropy New York Inc 79 Fifth Ave, 4th fl New York, NY 10003-3076 <i>Memberships</i> \$15,000 2010	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 <i>Memberships</i> \$1,500 2010	501(c)3	\$0	\$1,500	\$0	\$1,500	\$0
President and Fellows of Harvard College Boston, MA <i>Harvard College activities</i> \$80,000 2010	501(c)3	\$0	\$80,000	\$0	\$80,000	\$0
<b>CROSS PROGRAM</b>						
Council On The Environment Inc 51 Chambers St Rm 228 New York, NY 10007 <i>New York City School Gardens Initiative - Mini-Grants Program</i> \$100,000 2010	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
Environmental Defense Fund Incorporated 257 Park Ave S, FL 16 New York, NY 10010 <i>Campaign to convert New York City buildings to cleaner fuels</i>	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
<b>Total Strategy and Planning</b>		<b>\$500,000</b>	<b>\$468,250</b>	<b>\$2,500</b>	<b>\$770,750</b>	<b>\$200,000</b>

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT**

Recipient and/or Purpose 2010	Tax Status	Beginning Balance 2010	APPROVALS 2010	Amended 2010	Amount Paid 2010	Approved for Future Payment 2010
International Network For Cancer Treatment And Research USA 233 S 10th St Ste 1050 Philadelphia, PA 19107-5541 <i>Enhancing Cancer Registration in East Africa</i>	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0
Yale University Grant & Contract Administration 47 College Street, Suite 203 New Haven, CT 06520-8047 <i>Child Abuse and Maltreatment Research Fellowship</i>	501(c)3	\$0	\$97,200	\$0	\$97,200	\$0
\$100,000						
\$97,200						

**Total Cross Program** \$0 \$397,200 \$0 \$397,200 \$0

**Grand Total** \$81,360,175 \$46,662,626 \$900,291 \$60,679,952 \$68,243,140

Refunds Received 2010

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly 2010	Amended 2010	Amount 2010	Ending Balance 2010
French American Cultural Exchange 972 5th Ave New York, NY 10075-0104 <i>Evaluatory meeting of the French American Jazz Exchange Program</i> \$49,507.55	501(c)3	\$0.00	\$0.00	(\$5,492.45)	(\$5,492.45)	\$0.00
2009						
<b>Total Arts</b>		\$0.00	\$0.00	(\$5,492.45)	(\$5,492.45)	\$0.00
<b>Child Abuse Prevention</b> RAND Corporation 1776 Main St Santa Monica, CA 90407-2138 <i>Promising Practices Network child abuse prevention research dissemination</i>	501(c)3	\$0.00	\$0.00	(\$1,254.73)	(\$1,254.73)	\$0.00
2008						
<b>Total Child Abuse Prevention</b>		\$0.00	\$0.00	(\$1,254.73)	(\$1,254.73)	\$0.00
<b>Climate Change</b> Yale University Grant & Contract Administration 47 College Street, Suite 203 P.O. Box 208047 New Haven, CT 06520-8047 <i>The 2009 Renewable Energy and International Law Project Roundtable conference</i> \$19,465.81	501(c)3	\$0.00	\$0.00	(\$534.19)	(\$534.19)	\$0.00
2009						
<b>Total Climate Change</b>		\$0.00	\$0.00	(\$534.19)	(\$534.19)	\$0.00
<b>Medical Research</b> Association of American Medical Colleges 2450 N Street NW Washington, DC 20037 <i>Investigation of ways to decrease the time that clinical, translational physician-scientists take to become independent researchers</i> \$8,565.63	501(c)3	\$0.00	\$0.00	(\$16,434.37)	(\$16,434.37)	\$0.00
2007						

## Refunds Received 2010

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly 2010	Amended 2010	Amount 2010	Ending Balance 2010
Baylor College of Medicine Houston, TX 77030 <i>Decentralization of Pediatric HIV care and treatment in Kampala, Uganda</i> \$140,250.70	501(c)3	\$0.00	\$0.00	(\$49,749.30)	(\$49,749.30)	\$0.00
2006 Trustees of Columbia University in the City of New York New York, NY <i>Identifying optimal HIV care approaches in Africa</i> \$198,983.61	501(c)3	\$0.00	\$0.00	(\$1,016.39)	(\$1,016.39)	\$0.00
2006 Johns Hopkins University Baltimore, MD 21205 <i>Cerebral Blood Flow in Sickle Cell Disease</i> \$349,575.72	501(c)3	\$0.00	\$0.00	(\$55,424.28)	(\$55,424.28)	\$0.00
2005 General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Impact and Value of Routine HIV Testing in South Africa</i> \$392,560.00	501(c)3	\$0.00	\$0.00	(\$12,440.00)	(\$12,440.00)	\$0.00
2005 General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 <i>Impact and Value of Improving TB Control in Africa</i> \$195,660.07	501(c)3	\$0.00	\$0.00	(\$3,920.23)	(\$3,920.23)	\$0.00
2007 Trustees of Tufts College Boston, MA <i>Improve HAART Adherence in a ARV Treatment Expansion Program in Kenya. Operational Evaluation and Cost Analyses</i> \$199,955.91	501(c)3	\$0.00	\$0.00	(\$44.09)	(\$44.09)	\$0.00
2005 Regents Of The University Of California At Irvine 201 Interim Office Building Attention Samara Larson Irvine, California, CA 92697-5444 <i>A Mitochondrial Basis for Metabolic Syndrome</i> \$2,054,003.70	501(c)3	\$0.00	\$0.00	(\$195,996.30)	(\$195,996.30)	\$0.00

Refunds Received 2010

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly 2010	Amended 2010	Amount 2010	Ending Balance 2010
2005 Regents of the University of Michigan 2074 Fleming Building Ann Arbor, MI 48109-1115 <i>Peptide Targeted Imaging of Esophageal Dysplasia</i> \$404,182.54	501(c)3	\$0.00	\$0.00	(\$817.46)	(\$817.46)	\$0.00
2006 University Of Pittsburgh 4200 FIFTH AVE RM 3017 CATHEDRAL Pittsburgh, PA 15260 <i>Isolation of Glioma Antigens from IL-4 Vaccine Patients</i> \$535,117.65	501(c)3	\$0.00	\$0.00	(\$4,882.35)	(\$4,882.35)	\$0.00
2001 University of Texas Southwestern Medical Center at Dallas 5323 Harry Hines Blvd. Dallas, TX 75390 <i>Clinical Research Fellowship 2004</i> \$523,798.66	170(c)	\$0.00	\$0.00	(\$201.34)	(\$201.34)	\$0.00
2004 Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Monitoring and manipulating Hh signaling in gliomas</i> \$404,837.75	501(c)3	\$0.00	\$0.00	(\$162.25)	(\$162.25)	\$0.00
2006 Yale University Grant & Contract Administration 47 College Street, Suite 203 P O. Box 208047 New Haven, CT 06520-8047 <i>Pilot International CRF Program</i> \$129,978.11	501(c)3	\$0.00	\$0.00	(\$21.89)	(\$21.89)	\$0.00
<b>Total Medical Research</b>		\$0.00	\$0.00	(\$341,110.25)	(\$341,110.25)	\$0.00
<b>Grand Total</b>		\$0.00	\$0.00	(\$348,391.62)	(\$348,391.62)	\$0.00