



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 01-01-2010, and ending 12-31-2010

G Check all that apply Initial return, Amended return, Initial return of a former public charity, Address change, Final return, Name change

Name of foundation: MIRKEN FOUNDATION; A Employer identification number: 13-6916436; B Telephone number: (212) 687-0050; H Check type of organization: Section 501(c)(3) exempt private foundation; I Fair market value of all assets at end of year: \$3,506,204

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Excess of revenue over expenses and disbursements (27).

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	48,365	1,306,101	1,306,101			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)	859,238	1,055,412	2,200,103			
	c Investments—corporate bonds (attach schedule)						
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)						
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)							
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	907,603	2,361,513	3,506,204				
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
	23 Total liabilities (add lines 17 through 22)			0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg, and equipment fund						
	29 Retained earnings, accumulated income, endowment, or other funds	907,603	2,361,513				
30 Total net assets or fund balances (see page 17 of the instructions)	907,603	2,361,513					
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	907,603	2,361,513					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	907,603
2	Enter amount from Part I, line 27a	2	3,219,230
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,126,833
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,765,320
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,361,513

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE SCHEDULE ATTACHED	P	2000-01-01	2010-12-31
b SEE SCHEDULE ATTACHED	P	2010-01-01	2010-12-31
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,135,867		405,907	729,960
b 3,125			3,125
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			729,960
b			3,125
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	702,119
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	3,125

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	184,257	931,550	0.19780
2008	332,368	1,393,120	0.23858
2007	243,738	1,802,025	0.13526
2006	451,392	1,855,217	0.24331
2005	306,305	2,150,276	0.14245

2 Total of line 1, column (d).	2	0.95739
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.19148
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	2,194,894
5 Multiply line 4 by line 3.	5	420,274
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	7,226
7 Add lines 5 and 6.	7	427,500
8 Enter qualifying distributions from Part XII, line 4.	8	1,097,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	7,226
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	7,226
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	7,226
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,212
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,212
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,014
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ALAN B MIRKEN Telephone no (212) 687-0050 Located at C/O WILFRED WYLER CO-14 PENN PLZ NEW YORK NY ZIP+4 10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes", enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20 ____, 20 ____, 20 ____, 20 ____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 ____, 20 ____, 20 ____, 20 ____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.</i>)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	No
	6b	No
	7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LESLIE RUBIN 6 CINDY WAY CHAPPAQUA, NY 10514	Trustee 0 00	0		
JANE ZENKER 5 FOX DEN ROAD MT KISCO, NY 10549	Trustee 0 00	0		
ALAN B MIRKEN 733 PARK AVENUE 22 FL NEW YORK, NY 10021	TRUSTEE 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **▶**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	
2 _____	
3 All other program-related investments. See page 24 of the instructions _____	

Total. Add lines 1 through 3. **▶**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,551,086
b	Average of monthly cash balances.	1b	677,233
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,228,319
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,228,319
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	33,425
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,194,894
6	Minimum investment return. Enter 5% of line 5.	6	109,745

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	109,745
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	7,226
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,226
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	102,519
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	102,519
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	102,519

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,097,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,097,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	7,226
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,090,274

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				102,519
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2010				
a From 2005.				199,765
b From 2006.				365,229
c From 2007.				157,220
d From 2008.				263,424
e From 2009.				138,143
f Total of lines 3a through e.	1,123,781			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>1,097,500</u>				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions).	0			
d Applied to 2010 distributable amount.				102,519
e Remaining amount distributed out of corpus	994,981			
5 Excess distributions carryover applied to 2010 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,118,762			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).	199,765			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.	1,918,997			
10 Analysis of line 9				
a Excess from 2006.				365,229
b Excess from 2007.				157,220
c Excess from 2008.				263,424
d Excess from 2009.				138,143
e Excess from 2010.				994,981

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALAN B MIRKEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ALAN B MIRKEN
14 PENN PLAZA-SUITE 1012
NEW YORK, NY 10122
(212) 687-0050

b The form in which applications should be submitted and information and materials they should include

LETTER STATING PURPOSE AND AMOUNT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a 1,097,400
b <i>Approved for future payment</i>				
Total				▶ 3b

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of organization

MIRKEN FOUNDATION

Employer identification number

13-6916436

Organization type (check one)

Filers of: Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
 MIRKEN FOUNDATION

Employer identification number

13-6916436

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
3	ALAN MIRKEN 733 PARK AVENUE 22ND FLOOR NEW YORK, NY 10021	\$ 1,198,225	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>
(Complete Part II if there is a noncash contribution)			
2	ALAN MIRKEN 733 PARK AVENUE 22ND FLOOR NEW YORK, NY 10021	\$ 1,195,946	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>
(Complete Part II if there is a noncash contribution)			
1	ALAN MIRKEN 733 PARK AVENUE 22ND FLOOR NEW YORK, NY 10021	\$ 1,200,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
(Complete Part II if there is a noncash contribution)			
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
(Complete Part II if there is a noncash contribution)			
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
(Complete Part II if there is a noncash contribution)			
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
(Complete Part II if there is a noncash contribution)			

Name of organization MIRKEN FOUNDATION	Employer identification number 13-6916436
--	---

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year.** (Complete columns (a) through (e) and the following line entry.)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	

Additional Data

Software ID: 10000105
Software Version: 2010v3.2
EIN: 13-6916436
Name: MIRKEN FOUNDATION

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BYRON PREISS CHILDRENS FUND 130 EAST 59 STREET NEW YORK, NY 10022	NONE	501C(3)	GENERAL FUND	2,000
THE OSBORNE ASSOCIATION 175 REMSEN STREET BROOKLYN, NY 11201	NONE	501C(3)	GENERAL FUND	1,000
COLBY COLLEGE 4600 MAYFLOWER HILL WATERVILLE, ME 04901	NONE	501C(3)	GENERAL FUND	1,000,000
LINCOLN CENTER FOR THE PERFORMING A 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	501C(3)	GENERAL FUND	7,500
PREP FOR PREP 328 WEST 71 STREET NEW YORK, NY 10023	NONE	501C(3)	GENERAL FUND	4,000
UPPER EAST SIDE HATZOLAH 125 EAST 85 STREET NEW YORK, NY 10028	NONE	501C(3)	GENERAL FUND	500
MICHAEL J FOX FOUNDATION BOX 4777 GRAND CENTRAL ST NEW YORK, NY 10163	NONE	501C(3)	GENERAL FUND	25,000
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	501C(3)	GENERAL FUND	5,000
GODDARD RIVERSIDE COM CTR 593 COLUMBUS AVENUE NEW YORK, NY 10024	NONE	501C(3)	GENERAL FUND	44,400
CITY ARTS INC 525 BROADWAY STE 700 NEW YORK, NY 10012	NONE	501C(3)	GENERAL FUND	1,000
THANKS TO SCANDINAVIA INC 165 EAST 56TH STREET NEW YORK, NY 10022	NONE	501C(3)	GENERAL FUND	2,000
NATIONAL PARKINSON FOUNDATION 1501 NW 9TH AVENUE MIAMI, FL 33136	NONE	501C(3)	GENERAL FUND	5,000
Total				1,097,400

TY 2010 Other Decreases Schedule**Name:** MIRKEN FOUNDATION**EIN:** 13-6916436**Software ID:** 10000105**Software Version:** 2010v3.2

Description	Amount
EXCESS OF FMV OF SECURITIES DONATED OVER BASIS	1,765,320

TY 2010 Other Expenses Schedule

Name: MIRKEN FOUNDATION

EIN: 13-6916436

Software ID: 10000105

Software Version: 2010v3.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	100			100

TY 2010 Other Professional Fees Schedule

Name: MIRKEN FOUNDATION

EIN: 13-6916436

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	4,549	4,549	0	0

Overbrook Management Corp.
REALIZED GAINS AND LOSSES
MIRKEN FOUNDATION - SCHWAB (NON-DISCRETIONARY OMC)
Charles Schwab & Co., Inc. Account# 1156-1820
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-30-99	04-27-10	100.00	GOLDMAN SACHS GROUP INC	9,181.25	15,023.25		5,842.00
05-03-99	04-27-10	500.00	GOLDMAN SACHS GROUP INC	26,500.00	75,116.27		48,616.27
02-28-07	05-21-10	0.75	VALLEY NATL BANCORP NJ	15.52	10.83		-4.69
03-05-10	05-22-10	-10	ANADARKO PETE CLL OPT 80 0000 0522210	0.00	1,277.03	1,277.03	
03-04-10	05-22-10	-4	BOEING CO CLL OPT 70 0000 05222010	0.00	545.44	545.44	
09-07-07	06-10-10	1,000.00	ANADARKO PETROLEUM CORP	49,386.95	37,129.22		-12,257.73
02-20-09	07-01-10	0.01	FRONTIER COMMUNICATIONS	0.04	0.04		0.00
02-20-09	07-11-10	36.00	FRONTIER COMMUNICATIONS	267.66	253.88		-13.78
03-04-10	07-17-10	-6	AT&T CLL OPT 55 0000 07172010	0.00	1,302.63	1,302.63	
02-20-09	08-04-10	150.00	VERIZON COMMUNICATIONS	4,049.25	4,419.00		369.75
11-04-08	08-24-10	300.00	HONDA MOTOR CO LTD ADR F SPONSORED ADR	7,641.28	9,801.48		2,160.20
11-04-08	08-24-10	200.00	HONDA MOTOR CO LTD ADR F SPONSORED ADR	5,094.18	6,534.33		1,440.15
11-04-08	08-24-10	100.00	HONDA MOTOR CO LTD ADR F SPONSORED ADR	2,546.29	3,267.16		720.87
02-28-07	12-16-10	120.54	VALLEY NATL BANCORP NJ	2,494.55	1,664.39		-830.16
02-28-07	12-16-10	121.55	VALLEY NATL BANCORP NJ	2,515.50	1,678.37		-837.13
02-28-07	12-16-10	123.98	VALLEY NATL BANCORP NJ	2,565.80	1,711.94		-853.86
02-28-07	12-16-10	2,063.93	VALLEY NATL BANCORP NJ	42,713.15	28,498.69		-14,214.46
10-03-91	12-16-10	1,070.00	VALLEY NATL BANCORP NJ	3,932.06	14,774.54		10,842.48
10-03-91	12-16-10	3,100.00	VALLEY NATL BANCORP NJ	11,391.95	42,742.73		31,350.78
10-03-91	12-16-10	2,602.00	VALLEY NATL BANCORP NJ	9,561.89	36,084.47		26,522.58
10-03-91	12-16-10	2,100.00	VALLEY NATL BANCORP NJ	7,717.13	29,080.76		21,363.63
10-03-91	12-16-10	2,000.00	VALLEY NATL BANCORP NJ	7,349.64	27,675.95		20,326.31
10-03-91	12-16-10	1,900.00	VALLEY NATL BANCORP NJ	6,982.16	26,273.16		19,291.00
10-03-91	12-16-10	1,800.00	VALLEY NATL BANCORP NJ	6,614.65	24,856.16		18,241.49
10-03-91	12-16-10	1,700.00	VALLEY NATL BANCORP NJ	6,247.20	23,490.57		17,243.37
10-03-91	12-16-10	1,500.00	VALLEY NATL BANCORP NJ	5,512.23	20,726.97		15,214.74
10-03-91	12-16-10	1,400.00	VALLEY NATL BANCORP NJ	5,144.75	19,346.56		14,201.81

Overbrook Management Corp.
REALIZED GAINS AND LOSSES
MIRKEN FOUNDATION - SCHWAB (NON-DISCRETIONARY OMC)
Charles Schwab & Co., Inc. Account# 1156-1820
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-03-91	12-16-10	1,400.00	VALLEY NATL BANCORP N I	5,144.75	19,331.16		14,186.41
10-03-91	12-16-10	1,300.00	VALLEY NATL BANCORP N J	4,777.27	18,003.67		13,226.40
10-03-91	12-16-10	1,300.00	VALLEY NATL BANCORP N J	4,777.27	17,953.37		13,176.10
10-03-91	12-16-10	1,200.00	VALLEY NATL BANCORP N I	4,409.79	16,630.77		12,220.98
10-03-91	12-16-10	1,200.00	VALLEY NATL BANCORP N J	4,409.79	16,628.15		12,218.36
10-03-91	12-16-10	1,066.00	VALLEY NATL BANCORP N J	3,917.36	14,772.62		10,855.26
10-03-91	12-16-10	1,000.00	VALLEY NATL BANCORP N J	3,674.82	13,857.98		10,183.16
10-03-91	12-16-10	1,000.00	VALLEY NATL BANCORP N I	3,671.82	13,856.79		10,181.97
10-03-91	12-16-10	1,600.00	VALLEY NATL BANCORP N I	3,674.82	13,848.98		10,174.16
10-03-91	12-16-10	900.00	VALLEY NATL BANCORP N J	3,307.34	12,437.08		9,129.74
10-03-91	12-16-10	900.00	VALLEY NATL BANCORP N J	3,307.34	12,437.08		9,129.74
10-03-91	12-16-10	900.00	VALLEY NATL BANCORP N J	3,307.34	12,428.08		9,120.74
10-03-91	12-16-10	900.00	VALLEY NATL BANCORP N J	3,307.34	12,428.08		9,120.74
10-03-91	12-16-10	800.00	VALLEY NATL BANCORP N J	2,939.86	11,062.48		8,122.62
10-03-91	12-16-10	700.00	VALLEY NATL BANCORP N I	2,572.38	9,666.59		7,094.21
10-03-91	12-16-10	700.00	VALLEY NATL BANCORP N J	2,572.38	9,679.59		7,107.21
10-03-91	12-16-10	700.00	VALLEY NATL BANCORP N J	2,572.38	9,672.59		7,100.21
10-03-91	12-16-10	700.00	VALLEY NATL BANCORP N I	2,572.38	9,652.29		7,079.91
10-03-91	12-16-10	600.00	VALLEY NATL BANCORP N J	2,264.89	8,302.79		6,037.90
10-03-91	12-16-10	600.00	VALLEY NATL BANCORP N J	2,204.59	8,273.39		6,068.80
10-03-91	12-16-10	500.00	VALLEY NATL BANCORP N I	1,837.41	6,933.98		5,096.57
10-03-91	12-16-10	500.00	VALLEY NATL BANCORP N J	1,837.41	6,928.98		5,091.57
10-03-91	12-16-10	448.00	VALLEY NATL BANCORP N J	1,646.32	6,212.87		4,566.55
10-03-91	12-16-10	400.00	VALLEY NATL BANCORP N J	1,469.93	5,547.59		4,077.66
10-03-91	12-16-10	400.00	VALLEY NATL BANCORP N J	1,469.93	5,543.19		4,073.26
10-03-91	12-16-10	400.00	VALLEY NATL BANCORP N J	1,469.93	5,527.19		4,057.26
10-03-91	12-16-10	300.00	VALLEY NATL BANCORP N J	1,102.45	4,157.33		3,054.88

Overbrook Management Corp.
REALIZED GAINS AND LOSSES
MIRKEN FOUNDATION - SCHWAB (NON-DISCRETIONARY OMC)
Charles Schwab & Co., Inc. Account# 1156-1820
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-03-01	12-16-10	300.00	VALLEY NATL BANCORPN J	1,102.45	4,157.03		3,054.58
10-03-01	12-16-10	300.00	VALLEY NATL BANCORPN J	1,102.45	4,148.39		3,045.94
10-03-01	12-16-10	300.00	VALLEY NATL BANCORPN J	1,102.45	4,145.39		3,042.94
10-03-01	12-16-10	300.00	VALLEY NATL BANCORPN J	1,102.45	1,142.39		3,039.94
10-03-01	12-16-10	300.00	VALLEY NATL BANCORPN J	1,102.45	4,136.39		3,035.94
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,771.59		2,036.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,767.59		2,032.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,765.60		2,030.64
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,765.59		2,030.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,765.59		2,030.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,761.59		2,026.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,761.59		2,026.63
10-03-01	12-16-10	200.00	VALLEY NATL BANCORPN J	734.96	2,761.59		2,026.63
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,386.95		1,019.47
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.45	1,386.89		1,019.41
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.45	1,386.54		1,019.36
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,386.80		1,019.32
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,386.80		1,019.32
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,386.80		1,019.32
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.91		1,018.43
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.91		1,018.43
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.90		1,018.42
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.87		1,018.39
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.80		1,018.32
10-03-01	12-16-10	100.00	VALLEY NATL BANCORPN J	367.48	1,385.80		1,018.32

Overbrook Management Corp.
REALIZED GAINS AND LOSSES
MIRKEN FOUNDATION - SCHWAB (NON-DISCRETIONARY OMC)
Charles Schwab & Co., Inc. Account# 1156-1820
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,385.72		1,018.24
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,385.68		1,018.20
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.94		1,017.46
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.90		1,017.42
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.89		1,017.41
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.84		1,017.36
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.80		1,017.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,384.80		1,017.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.81		1,016.33
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,383.80		1,016.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.90		1,015.42
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.80		1,015.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.80		1,015.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.80		1,015.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.80		1,015.32

Overbrook Management Corp
REALIZED GAINS AND LOSSES
MIRKEN FOUNDATION - SCHWAB (NON-DISCRETIONARY OMC)
Charles Schwab & Co., Inc. Account# 1156-1820
From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,352.80		1,015.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,382.80		1,015.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.94		1,014.46
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.91		1,014.43
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.85		1,014.37
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,381.80		1,014.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,380.80		1,013.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,380.80		1,013.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,380.80		1,013.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,378.84		1,011.36
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,378.80		1,011.32
10-03-91	12-16-10	100.00	VALLEY NATL BANCORP NJ	367.48	1,378.80		1,011.32
10-03-91	12-16-10	98.00	VALLEY NATL BANCORP NJ	360.13	1,356.12		995.99
10-03-91	12-16-10	59.00	VALLEY NATL BANCORP NJ	216.51	818.21		601.70
10-03-91	12-16-10	50.00	VALLEY NATL BANCORP NJ	183.74	693.40		509.66
10-03-91	12-16-10	50.00	VALLEY NATL BANCORP NJ	183.74	693.40		509.66
10-03-91	12-16-10	50.00	VALLEY NATL BANCORP NJ	183.74	693.40		509.66
10-03-91	12-16-10	50.00	VALLEY NATL BANCORP NJ	183.74	693.40		509.66
10-03-91	12-16-10	41.00	VALLEY NATL BANCORP NJ	150.67	568.59		417.92
10-03-91	12-16-10	34.00	VALLEY NATL BANCORP NJ	124.94	471.17		346.23

Schwab One® Account
Account Number: 1156-1820

Statement Period: December 1, 2010 to December 31, 2010
Page 1 of 10

Need help reading this statement?
Visit www.schwab.com/CompactStatement for more information.

Account Of

31/12 CCCB1301 012182-SML-10021504600 65133 15-6
MIRKEN FOUNDATION
733 PARK AVENUE
NEW YORK NY 10021-5046



012182

Your Independent* Investment Advisor

OVERBROOK MANAGEMENT CORP
122 E 42ND ST RM 2500
NEW YORK NY 10168-2500
(212)661-8710



0000121820105

Account Value Summary

Cash & Sweep Money Market Funds	\$ 1,267,429.70
Total Investments Long	\$ 2,200,103.27
Total Investments Short	\$ 0.00
Total Account Value	\$ 3,467,532.97

Change in Account Value

Starting Account Value	\$ 803,324.56
Transactions & Income	\$ 2,598,240.08
Income Reinvested	\$ (4.16)
Change in Value of Investments	\$ 65,972.49
Ending Account Value	\$ 3,467,532.97

Rate Summary

Schwab US Treas MF¹ 0.01%

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Money Funds Dividends	4.16	10.86
Cash Dividends	2,743.81	18,085.90
Corporate Bond Interest	1,700.16	6,800.64
Total Income	4,448.13	24,897.40

Investment Detail

Description	Symbol	COST	Quantity	Price	Market Value
Cash and Money Market Funds (Sweep)					
SCHWAB US TREAS MONEY FD	SWUXX	1267429.70	1.267,429.7000	1.0000	1,267,429.70
Investments					
ABBOTT LABORATORIES	ABT	46606.80	1,500,0000	47.9100	71,865.00
ALLEGHENY TECH INC NEW	ATI	52009.55	600,0000	55.1800	33,108.00
AMERICAN EXPRESS COMPANY	AXP	30726.98	940,0000	42.9200	40,344.80

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement

Schwab Institutional is a division of Charles Schwab & Co., Inc., ("Schwab"), and provides back office and other services to investment advisors and retirement plan providers. This statement is furnished solely for your account at Schwab. *Except as noted in this statement's Terms and Conditions investment advisors or retirement plan providers whose names appear in this statement are not affiliated with Schwab. Please see Terms and Conditions

Schwab One® Account
Account Number: 1156-1820

Statement Period: December 1, 2010 to December 31, 2010
Page 2 of 10

Investment Detail (continued)

Description	Symbol	Quantity	Price	Market Value
Investments (continued)				
BOEING CO	BA	17256.55	400.0000	65,260.00
CARPENTER TECHNOLOGY CP	CRS	50643.98	800.0000	40,240.00
EXXON MOBIL CORPORATION	XOM	245520.00	13,200.0000	73,120.00
FEDEX CORPORATION	FDX	10376.55	200.0000	93,010.00
INTEL CORP	INTC	9158.65	425.0000	21,030.00
INTL BUSINESS MACHINES	IBM	21967.60	175.0000	146,760.00
JOHNSON & JOHNSON	JNJ	51071.55	1,500.0000	61,850.00
JPMORGAN CHASE & CO	JPM	52040.75	1,200.0000	42,420.00
PFIZER INCORPORATED	PFE	97395.60	3,352.0000	17,510.00
PROCTER & GAMBLE	PG	26665.00	500.0000	64,330.00
TOYOTA MOTOR CP ADR NEWF SPONSORED ADR 1 ADR REP 2 ORD	TM	32231.97	300.0000	78,630.00
UNILEVER N V NY SHS NEWF N Y REGISTRY SHARES 1 NEW YORK SH REP 1 ORD	UN	13231.98	450.0000	31,400.00
VALLEY NATIONAL BANCORP	VLY	132395.26	36,030.0000	14,300.00
WAL-MART STORES INC	WMT	38947.00	850.0000	53,930.00
3M COMPANY	MMM	32321.15	400.0000	86,300.00
ISHARES MSCI EMRG MKT FD EMERGING MARKETS INDX FD	EEM	4237.85	100.0000	47,642.00
S P D R S&P 500 ETF TR EXPIRING 01/22/2118	SPY	9218.82	120.0000	125,750.00
VNB CAPITAL TR 17 75% 31 GTD TR PFD DUE 12/15/31 SECS	VLY+A	81792.21	3,510.0000	25,750.00
		<u>1055411.80</u>		<u>2400103.27</u>
Total Account Value				3,467,532.97

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash & Money Market Fund(s) Activity						
12/01	12/01	Qualified Dividend	INTEL CORP. INTC			66.94
12/01	12/01	Qualified Dividend	PFIZER INCORPORATED PFE			603.36
12/02	12/02	Qualified Dividend	CARPENTER TECHNOLOGY CP. CRS			360.00
12/03	12/03	Qualified Dividend	BOEING CO: BA			168.00
12/06	12/06	Qualified Dividend	TOYOTA MOTOR CP ADR NEWF SPONSORED ADR TM			142.23
12/06	12/06	Foreign Tax Paid	TOYOTA MOTOR CP ADR NEWF SPONSORED ADR TM			(9.96)

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement

Schwab Institutional is a division of Charles Schwab & Co., Inc., ("Schwab"), and provides back office and other services to investment advisors and retirement plan providers. This statement is furnished solely for your account at Schwab. *Except as noted in this statement's Terms and Conditions, investment advisors or retirement plan providers whose names appear in this statement are not affiliated with Schwab. Please see Terms and Conditions.