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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation) The organization may have to use a copy of this return to satisfy state reporting requirements	OMB No 1545-0047 2010 Open to Public Inspection
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A For the 2010 calendar year, or tax year beginning 01-01-2010 and ending 12-31-2010		
B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization Water Research Foundation	D Employer identification number 13-6211384
	Doing Business As	E Telephone number (303) 347-6113
	Number and street (or P O box if mail is not delivered to street address) 6666 West Quincy Avenue	Room/suite
	G Gross receipts \$ 25,112,499	
	City or town, state or country, and ZIP + 4 Denver, CO 80235	
	F Name and address of principal officer Robert C Renner 6666 W Quincy Ave Denver, CO 80235	H(a) Is this a group return for affiliates? ☐ Yes ☒ No H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀ (Insert no) ☐ 4947(a)(1) or ☐ 527		
J Website: ▶ www.waterrf.org		
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation 1966
M State of legal domicile DE		

Part I		Summary	
Activities & Governance	1 Briefly describe the organization's mission or most significant activities Coordinate and promote research related to the technology, operation and management of public water systems, with the intent to improve the water supply and provide service to the public		
	2 Check this box ☒ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	21
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	21
	5 Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	46
6 Total number of volunteers (estimate if necessary)	6	1,000	
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0	
b Net unrelated business taxable income from Form 990-T, line 34	7b	0	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	12,228,679	13,097,238
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	6,788,076	4,001,423
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	461,050	472,139
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	73,835	5,552
		19,551,640	17,576,352
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0	0
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	4,424,010	4,143,613
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b Total fundraising expenses (Part IX, column (D), line 25) ☒ 403,147		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)		
	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	17,983,099	13,294,674
	19 Revenue less expenses Subtract line 18 from line 12	22,407,109	17,438,287
Net Assets or Fund Balances		-2,855,469	138,065
		Beginning of Current Year	End of Year
	20 Total assets (Part X, line 16)	20,870,059	20,644,889
	21 Total liabilities (Part X, line 26)	5,524,155	5,010,404
22 Net assets or fund balances Subtract line 21 from line 20	15,345,904	15,634,485	

Part II	Signature Block
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.	

Sign Here	***** Signature of officer	2011-08-15 Date			
	Robert C Renner Executive Director Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name Rita F Worster CPA	Preparer's signature Rita F Worster CPA	Date	Check if self-employed ☐	PTIN
	Firm's name ▶ BKD LLP				Firm's EIN ▶
	Firm's address ▶ 111 South Tejon Suite 800 Colorado Springs, CO 809039848				Phone no ▶ (719) 471-4290
May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No					

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization’s mission

THE PURPOSE OF THE FOUNDATION IS TO COORDINATE AND PROMOTE RESEARCH RELATED TO THE TECHNOLOGY, OPERATION AND MANAGEMENT OF PUBLIC WATER SYSTEMS, WITH THE INTENT TO IMPROVE THE WATER SUPPLY AND PROVIDE SERVICE TO THE PUBLIC

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

☒

No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

☒

No

If “Yes,” describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 12,042,612 including grants of \$) (Revenue \$ 4,001,423)

Research Programs The Foundation coordinates multiple research programs to collectively address issues of subscribers and the drinking-water community as a whole The research programs are solicited research, unsolicited research, tailored collaboration, and partnerships This includes the costs of the projects funded for research to be conducted by outside organizations and other internal expenses directly related to these projects

4b

(Code) (Expenses \$ 1,257,568 including grants of \$) (Revenue \$)

Research Management The Planning, administration, and monitoring of the research programs are the primary responsibilities of this unit The foundation currently has 54 new projects with an average project life of one to three years, resulting in on-going research of 316 projects The tasks involved within this unit include selection and administration of the Foundation’s project advisory committee, research advisory council, and unsolicited proposal review committee, request for proposals preparation, and proposal review and selection This unit also serves as the Foundation’s link with the water research community and coordinates all joint/cooperative programs

4c

(Code) (Expenses \$ 629,884 including grants of \$) (Revenue \$)

Communications and Marketing The major responsibility of this unit is the management of knowledge derived from Foundation-sponsored research for the water supply community Periodicals, research reports (64 reports published in 2010), workshops/symposia, special publications, website, and promotion of the Foundation are administered and/or produced by this unit to ensure that the results and application of research are effectively transferred to subscribers and other stakeholders The activities of the Public Council on Drinking Water are also centered here

4d

Other program services (Describe in Schedule O)

(Expenses \$ 905,492 including grants of \$) (Revenue \$)

4e

Total program service expenses

\$ 14,835,556

Form 990 (2010)

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	No
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35		No
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> ☐ Yes ☒ No			
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

☐

			Yes	No		
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	17			
	b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b			0
c		Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	Yes	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	2a	46			
	b		If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).						
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?			3a	No	
b		If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.			3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	No	
	b			If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a	No	
b		Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b	No
c		If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?			6a	No	
b		If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	
7 Organizations that may receive deductible contributions under section 170(c).						
a		Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a	No
b		If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	
c		Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c	No
d		If "Yes," indicate the number of Forms 8282 filed during the year.			7d	
e		Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e	No
f		Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f	No
g		If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g	
h		If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h	
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?						
9 Sponsoring organizations maintaining donor advised funds.						
a		Did the organization make any taxable distributions under section 4966?			9a	
b		Did the organization make a distribution to a donor, donor advisor, or related person?			9b	
10 Section 501(c)(7) organizations. Enter						
a		Initiation fees and capital contributions included on Part VIII, line 12.			10a	
b		Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.			10b	
11 Section 501(c)(12) organizations. Enter						
a		Gross income from members or shareholders.			11a	
b		Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).			11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?						
b		If "Yes," enter the amount of tax-exempt interest received or accrued during the year.			12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.						
a		Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.			13a	
b		Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.			13b	
c		Enter the amount of reserves on hand.			13c	
14a Did the organization receive any payments for indoor tanning services during the tax year?						
b		If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.			14b	No

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 21		
b	Enter the number of voting members included in line 1a, above, who are independent	1b 21		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a		No
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? <i>If "No," go to line 13</i>	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)	15b	Yes	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure	
17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ THE FOUNDATION 6666 W QUINCY AVE Denver, CO 80235 (303) 347-6113

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization’s tax year

- List all of the organization’s **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization’s **current** key employees, if any See instructions for definition of "key employee "
- List the organization’s five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization’s **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization’s **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors , institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) PATRICIA MULROY MEMBER-AT-LARGE	1 0	X						0	0	0
(2) JOSEPH A BELLA TRUSTEE	1 0	X						0	0	0
(3) DENNIS W DOLL TRUSTEE	1 0	X						0	0	0
(4) JOHN DYKSEN TRUSTEE	1 0	X						0	0	0
(5) JUDITH A HANSEN TRUSTEE	1 0	X						0	0	0
(6) GREGORY C HEITZMAN TRUSTEE	1 0	X						0	0	0
(7) CASWELL F HOLLOWAY III TRUSTEE	1 0	X						0	0	0
(8) JULIA HUNT TRUSTEE	1 0	X						0	0	0
(9) ROBERT HUNTER TRUSTEE	1 0	X						0	0	0
(10) NILAKSH KOTHARI TRUSTEE	1 0	X						0	0	0
(11) BRUCE P KRAEUTER TRUSTEE	1 0	X						0	0	0
(12) DAVID V MODEER TRUSTEE	1 0	X						0	0	0
(13) SCOTT POTTER TRUSTEE	1 0	X						0	0	0
(14) JOHN F SPATZ JR TRUSTEE	1 0	X						0	0	0
(15) MAC UNDERWOOD TRUSTEE	1 0	X						0	0	0
(16) CARL YATES TRUSTEE	1 0	X						0	0	0

Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(17) GARY A BURLINGAME TRUSTEE	1 0	X						0	0	0
(18) ROY L WOLFE CHAIR	1 0	X		X				0	0	0
(19) DENISE L KRUGER VICE-CHAIR	1 0	X		X				0	0	0
(20) CHARLES M MURRAY TREASURER	1 0	X		X				0	0	0
(21) JOSEPH MANTUA AWWA PRESIDENT-EX OFFICIO TRST	1 0	X						0	0	0
(22) JAMES MCDANIEL AMWA PRESIDENT-EX OFFICIO TRST	1 0	X						0	0	0
(23) JOHN J HERLIHY NAWC DESIGNEE-EX OFFICIO TRST	1 0	X						0	0	0
(24) JOHN KIRNER TRUSTEE	1 0	X						0	0	0
(25) STEPHEN ESTES-SMARGIASSI TRUSTEE	1 0	X						0	0	0
(26) MICHAEL E HOOKER TRUSTEE	1 0	X						0	0	0
(27) KARL M KYRISS TRUSTEE	1 0	X						0	0	0
(28) DAVID E RAGER CHAIR	1 0	X		X				0	0	0
(29) DOUGLAS BEAN TRUSTEE	1 0	X						0	0	0
(30) GERALD SEEBER TRUSTEE	1 0	X						0	0	0
(31) ROBERT C RENNER SECRETARY/EXECUTIVE DIRECTOR	40 0			X				295,447	0	22,050
(32) JAMES C PARONE DIRECTOR-FINANCE & ADMIN SVCS	40 0			X				131,875	0	26,250
(33) RICHARD J KARLIN DIRECTOR-SUBSCRIBER SERVICES	40 0					X		157,780	0	26,235
(34) CHRISTOPHER RAYBURN DIRECTOR-RESEARCH MANAGEMENT	40 0					X		131,038	0	31,442
(35) JILL ESTABROOK-WISEHART DIRECTOR-COMMUNICATIONS & MKT	40 0					X		100,287	0	14,089
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								816,427	0	120,066

2

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization

5

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
STRATUS CONSULTING 1881 NINTH STREET 201 BOULDER, CO 80302	PROJECT RESEARCH	570,871
CAROLLO ENGINEERS 3033 N 44TH ST SUITE 101 PHOENIX, AZ 85018	PROJECT RESEARCH	543,002
CAMP DRESSER MCKEE INC 555 17TH STREET SUITE 1100 DENVER, CO 80202	PROJECT RESEARCH	542,392
VIRGINIA POLYTECHNIC INSTITUTE 1880 PRATT DR SUITE 2006 BLACKSBURG, VA 24060	PROJECT RESEARCH	532,362
BLACK VEATCH 8400 WARD PARKWAY KANSAS CITY, MO 64114	PROJECT RESEARCH	465,218

2

Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization

50

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues	1b	71,709			
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	12,311,322			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	714,207			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total. Add lines 1a-1f		13,097,238			
	Program Service Revenue	2a	Business Code				
		PROJECT CO-FUNDING		221000	4,001,423	4,001,423	
b							
c							
d							
e							
f		All other program service revenue					
g		Total. Add lines 2a-2f		4,001,423			
Other Revenue		3	Investment income (including dividends, interest and other similar amounts)			458,286	
	4	Income from investment of tax-exempt bond proceeds . . .			0		
	5	Royalties			561		561
	6a	Gross Rents	(i) Real	(ii) Personal			
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
	b	Less cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss)			13,853		13,853
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from fundraising events . . .			0		
	9a	Gross income from gaming activities See Part IV, line 19 . . .	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from gaming activities . . .			0		
	10a	Gross sales of inventory, less returns and allowances	a				
	b	Less cost of goods sold	b				
c	Net income or (loss) from sales of inventory . . .			0			
	Miscellaneous Revenue		Business Code				
11a	MISCELLANEOUS		900099	4,991			4,991
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d			4,991			
12	Total revenue. See Instructions			17,576,352	4,001,423		477,691

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	0			
2	Grants and other assistance to individuals in the U S See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	475,622		475,622	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	2,677,836	1,524,114	1,008,008	145,714
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	414,390	281,618	105,323	27,449
9	Other employee benefits	343,161	232,055	88,488	22,618
10	Payroll taxes	232,604	153,547	64,091	14,966
a	Fees for services (non-employees) Management	0			
b	Legal	4,525		4,525	
c	Accounting	13,604		13,604	
d	Lobbying	0			
e	Professional fundraising services See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	77,844	14,153	63,691	
12	Advertising and promotion	4,362		4,362	
13	Office expenses	32,887		32,887	
14	Information technology	254,574	181,521	73,053	
15	Royalties	0			
16	Occupancy	67,956		67,956	
17	Travel	540,225	414,948	39,305	85,972
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	76,135	76,135		
20	Interest	0			
21	Payments to affiliates	22,113		22,113	
22	Depreciation, depletion, and amortization	12,474		12,474	
23	Insurance	8,907		8,907	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	STAFF TRAINING	14,141		14,141	
b	PUBLICATIONS	96,449		96,449	
c	APPLIED OVERHEAD	1,210,193	1,103,765		106,428
d	RESEARCH CONTRACTS	10,702,165	10,702,165		
e	NEW INITIATIVES	62,089	62,089		
f	All other expenses	94,031	89,446	4,585	
25	Total functional expenses. Add lines 1 through 24f	17,438,287	14,835,556	2,199,584	403,147
26	Joint costs. Check here ☒ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			3,444,849	1	3,780,756
	2	Savings and temporary cash investments			1,879,743	2	2,028,837
	3	Pledges and grants receivable, net			861,950	3	690,434
	4	Accounts receivable, net			1,380,797	4	1,299,547
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			217,667	9	105,656
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a	807,318			
	b	Less: accumulated depreciation	10b	716,343	119,783	10c	90,975
	11	Investments—publicly traded securities			7,584,976	11	6,796,822
	12	Investments—other securities. See Part IV, line 11			5,220,520	12	5,763,288
	13	Investments—program-related. See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11			159,774	15	88,574
16	Total assets. Add lines 1 through 15 (must equal line 34)			20,870,059	16	20,644,889	
Liabilities	17	Accounts payable and accrued expenses			529,007	17	424,370
	18	Grants payable				18	
	19	Deferred revenue			1,618,385	19	2,378,190
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities. Complete Part X of Schedule D			3,376,763	25	2,207,844
	26	Total liabilities. Add lines 17 through 25			5,524,155	26	5,010,404
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			15,345,904	27	15,634,485
	28	Temporarily restricted net assets				28	
	29	Permanently restricted net assets				29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			15,345,904	33	15,634,485
34	Total liabilities and net assets/fund balances			20,870,059	34	20,644,889	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	17,576,352
2	Total expenses (must equal Part IX, column (A), line 25)	2	17,438,287
3	Revenue less expenses Subtract line 2 from line 1	3	138,065
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	15,345,904
5	Other changes in net assets or fund balances (explain in Schedule O)	5	150,516
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	15,634,485

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization Water Research Foundation	Employer identification number 13-6211384
---	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?
(ii) a family member of a person described in (i) above?
(iii) a 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	12,254,930	14,931,220	13,652,250	12,228,679	13,097,238	66,164,317
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	12,254,930	14,931,220	13,652,250	12,228,679	13,097,238	66,164,317
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						1,116,974
6 Public Support. Subtract line 5 from line 4						65,047,343

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	12,254,930	14,931,220	13,652,250	12,228,679	13,097,238	66,164,317
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	611,454	682,166	756,968	472,347	458,847	2,981,782
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						69,146,099
12 Gross receipts from related activities, etc (See instructions)					12	31,047,261
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f))	14	94 072 %
15 Public Support Percentage for 2009 Schedule A, Part II, line 14	15	95 680 %
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶		

Part IIIPart III

Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here 						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization 		
b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization 		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions 		

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE D
(Form 990)

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
Water Research Foundation

Employer identification number
13-6211384

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)☐ Preservation of an historically importantly land area
☐ Protection of natural habitat☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b

Assets included in Form 990, Part X ▶ \$ _____

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2010

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐

Public exhibition

b

☐

Scholarly research

c

☐

Preservation for future generations

d

☐

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance				
b	Contributions				
c	Investment earnings or losses				
d	Grants or scholarships				
e	Other expenditures for facilities and programs				
f	Administrative expenses				
g	End of year balance				

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶

b

Permanent endowment ▶

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

Yes

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

No

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		65,393	54,090	11,303
d Equipment		67,748	55,012	12,736
e Other		674,177	607,241	66,936
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				90,975

Schedule D (Form 990) 2010

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	17,576,352
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	17,438,287
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	138,065
4	Net unrealized gains (losses) on investments	4	75,371
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	75,145
9	Total adjustments (net) Add lines 4 - 8	9	150,516
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	288,581

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	17,726,868
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	75,371
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	75,145
e	Add lines 2a through 2d	2e	150,516
3	Subtract line 2e from line 1	3	17,576,352
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	17,576,352

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	17,438,287
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	17,438,287
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	17,438,287

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
Part XI, Line 8	Other Adjustments to Net Assets	Change in Minimum Pension Liability
Part X, Line 2	Uncertain Tax Positions	Management has evaluated their income tax positions under the guidance included in ASC 740. Based on their review, management has not identified any material uncertain tax positions to be recorded or disclosed in the financial statements.
Part XII, Line 2d	Other Revenue on Books, Not on Return	Change in Minimum Pension Liability

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization
Water Research Foundation

Employer identification number
13-6211384

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1

For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

For grant makers. Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States
- 3

Activites per Region (Use Part V if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region or independent contractors	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region/investments in region
East Asia and the Pacific	0	0	Program Services	NEGOTIATED RESEARCH CO	438,845
North America	0	0	Program Services	NEGOTIATED RESEARCH CO	683,805
Europe (Including Iceland and Greenland)	0	0	Program Services	NEGOTIATED RESEARCH CO	481,070
East Asia and the Pacific	0	0	Program Services	TRAVEL TO PAC MEETINGS	1,460
North America	0	0	Program Services	TRAVEL TO PAC MEETINGS	3,571
East Asia and the Pacific	0	0	Program Services	TRAVEL TO CONFERENCES	8,606
Europe (Including Iceland and Greenland)	0	0	Program Services	TRAVEL TO CONFERENCES	9,688
North America	0	0	Program Services	TRAVEL TO CONFERENCES	39,810
Sub-Saharan Africa	0	0	Program Services	TRAVEL TO CONFERENCES	2,909
East Asia and the Pacific	0	0	Program Services	SUBSCRIPTION REVENUE	
Europe (Including Iceland and Greenland)	0	0	Program Services	SUBSCRIPTION REVENUE	
Middle East and North Africa	0	0	Program Services	SUBSCRIPTION REVENUE	
North America	0	0	Program Services	SUBSCRIPTION REVENUE	
East Asia and the Pacific	0	0	Program Services	CO-FUNDING REVENUE	
Europe (Including Iceland and Greenland)	0	0	Program Services	CO-FUNDING REVENUE	
3a Sub-total	0	0			1,669,764
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	0	0			1,669,764

1

(i) Method of valuation (book, FMV, appraisal, other)

3 Enter total number of other organizations or entities ►

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Use Part V if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☐

Yes

☒

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐

Yes

☒

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☐

Yes

☒

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☐

Yes

☒

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☐

Yes

☒

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☐

Yes

☒

No

Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

[illegible]

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization Water Research Foundation	Employer identification number 13-6211384
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
☐ First-class or charter travel		
☐ Travel for companions		
☐ Tax idemnification and gross-up payments		
☐ Discretionary spending account		
☐ Housing allowance or residence for personal use		
☐ Payments for business use of personal residence		
☐ Health or social club dues or initiation fees		
☐ Personal services (e g , maid, chauffeur, chef)		
1b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?		
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
☒ Compensation committee		
☐ Independent compensation consultant		
☐ Form 990 of other organizations		
☒ Written employment contract		
☒ Compensation survey or study		
☒ Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a Receive a severance payment or change-of-control payment from the organization or a related organization?	Yes	
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		No
c Participate in, or receive payment from, an equity-based compensation arrangement?		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 5a or 5b, describe in Part III		
6 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 6a or 6b, describe in Part III		
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	Yes	
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III		No
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) ROBERT C RENNER	(i)	270,613	13,000	11,834	22,050	0	317,497	0
	(ii)	0	0	0	0	0	0	0
(2) RICHARD J KARLIN	(i)	151,903	3,431	2,446	14,287	11,948	184,015	0
	(ii)	0	0	0	0	0	0	0
(3) CHRISTOPHER RAYBURN	(i)	122,292	7,846	900	12,353	19,089	162,480	0
	(ii)	0	0	0	0	0	0	0
(4) JAMES C PARONE	(i)	122,643	7,842	1,390	12,224	14,026	158,125	0
	(ii)	0	0	0	0	0	0	0
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Non-fixed payments	Part I, Line 7	Supplemental information for non-fixed payments for: a) Executive Director bonus: The Executive Director's bonus is determined at the discretion of the Executive Committee of the Board of Trustees. This is based on the accomplishments of the current year "Business Plan Report Card" and the anticipated goals for the subsequent year's business plan. The decision of the Executive Committee is documented in their meeting minutes. The Executive Committee submits its recommendations to the full Board of Trustees for approval at the January board meeting, and the Board of Trustees approves the request. This action is documented in the minutes of the meeting. The most recent review and approval was conducted in January, 2011. A letter from the Chairman of the Board of Trustees is sent to the Executive Director. b) Highest compensated employees bonus: During the budget process each year, a predetermined bonus pool is set aside based at the discretion of the executive director and is tied to achievement of the Foundation's goals. The bonus pool is included in the salary budget and is reviewed by the finance committee. The Finance Committee's approval of the bonus pool is documented in their minutes. The Finance Committee makes recommendations for approval to the full Board of Trustees at their January board meeting, and the Board of Trustees approves the budget, which includes the salary increase and bonus pool, and the action is documented in the minutes of the board meeting. The Executive Director informs the key employees of the bonus amount during their annual review.
Severance payments	Part I, Line 4c	The final date of employment for Jill Estabrook-Wisehart was June 10, 2010, and the final check included wages and salary earned through the final date. The terminated employee signed a separation agreement which stipulates that no legal actions will be brought against the Foundation, including discrimination, retaliation, breach of contract, claims for physical or emotional injury, claims for wrong discharge or any other federal or state constitutional, statutory or common law. In addition to the above, severance of sixty-five days (\$30,888) was paid from June 11, 2010 through September 9, 2010, minus applicable payroll taxes. The Foundation paid certain COBRA costs from July 2010 through October 2010 and will not contest unemployment benefits.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

2010

**Open to Public
Inspection**

Name of the organization Water Research Foundation	Employer identification number 13-6211384
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Identifier	Return Reference	Explanation
Material Differences in Voting Rights	Form 990, Part VI, Section A, Line 1a	There are twenty-four members of the Board of Trustees, plus the Secretary (Executive Director of the Foundation) Effective in June 2010, the Presidents of AWWA, AMWA and NAWC or their designees became non-voting members, how ever they participate in all discussions at the board meetings The Secretary also does not have voting privileges and the Chair of the Board votes only in circumstances w here there is a tie The remaining tw enty-one appointed members have voting privileges and are also independent Voting is generally verbal, and non-voting members do not vote on the consent agenda or other motions as presented for consideration at the meetings

Identifier	Return Reference	Explanation
Other Program Services	Form 990, Part III, Line 4d	Subscriber Services The administration of the Foundation's major funding effort, the research subscription program, is coordinated by this unit. Activities include planning and implementation of the promotional strategy, internal and external coordination, marketing and materials development, subscriber communication, and recruiting. Senior Account Managers are responsible for providing personalized services to the largest 130 subscribers. Major activities include annual visits/briefings, serving as primary staff contact, promoting greater involvement in Foundation programs and ensuring subscribers' needs are expeditiously met. This unit also develops research partnerships with other organizations, as well as managing a portion of the tailored collaboration program. A portion of this unit involved with soliciting contributions from subscribers has been segregated as fundraising expense on the accompanying statement of functional expenses. Other Program Expenses This area includes general travel, consulting, and contracts relating to programs not identified in the other units.

Identifier	Return Reference	Explanation
Form 990 Review Process	Form 990, Part VI, Section A, Line 11B	The draft 990 is reviewed by the Foundation's accounting staff and forwarded to the Director of Finance & Administration and the Audit Committee for further review. There may be discussion of questions derived from the review. This is done prior to filing the 990 with the IRS.

Identifier	Return Reference	Explanation
Disclosure of Documents	Form 990, Part VI, Section C, Line 19	The organization does not make its governing documents, conflict of interest policy, or financial statements available to the public unless specifically requested

Identifier	Return Reference	Explanation
Governing Body and Management	Form 990, Part VI, Section A, Line 6	An organization shall become a supporting member of this corporation and shall be entered on the membership roll upon payment of prescribed fees as established by the Board of Trustees. Failure to pay fees shall be cause for removing a supporting member from the membership roll. Supporting members of the corporation, as such, shall not be entitled to vote. Depending upon the category of membership, the prescribed fees may be the annual dues or the subscription fees.

Identifier	Return Reference	Explanation
Governing Body and Management	Form 990, Part VI, Section A, Line 7A	The board shall consist of eighteen elected or appointed persons plus the Foundation's chair, vice-chair, and treasurer, the chair of the Foundation's Research Advisory Committee (RAC), ex-officio, the president of the American Water Works Association (AWWA), ex-officio, or their designee (non-voting), the president of Association of Metropolitan Water Agencies (AMWA), ex-officio, or their designee (non-voting), and the president of the National Association of Water Companies (NAWC), ex-officio, or their designee (non-voting) The executive committee consists of the chair, vice-chair, treasurer, and member-at-large. The executive committee members shall be utility employees. The ex-officio members (presidents of AWWA, AMWA, and NAWC) appoint three members for each respective organization (one per organization each year). The appointees from AWWA must be representatives of the water utilities, and appointees from AMWA and NAWC should be members of each association, respectively. The presidents of AWWA, AMWA, and NAWC consult with the executive committee before making their formal appointments. The research advisory committee (RAC) chair, also an ex-officio, is elected by the board for a three-year term. Each year at the annual meeting of the Board, the Board shall elect three persons to serve as trustees from a slate of candidates provided by the Nominating Committee. The elected and appointed trustees are eligible to vote. Term of office shall be three years and one-third of the trustees will be elected or appointed each year. Trustees are limited to two consecutive three-year terms.

Identifier	Return Reference	Explanation
Compliance with Policy	Form 990, Part VI, Section B, Line 12c	The Foundation regularly and consistently monitors and enforces conflict of interest at varying frequencies and levels of monitoring, depending on the risk of a potential conflict and its likely impact on the Foundation. The Foundation's policy addresses conflict of interest in terms of its staff, management, Board of Trustees, and volunteer leadership. On an annual basis, the Board of Trustees, as Foundation representatives, submit a signed "Disclosure of Significant Interests" form which identifies existing or potential relationships that may cause a conflict of interest with Foundation activity. Paid staff, also deemed Foundation representatives, submit a conflict of interest statement when they are hired and are responsible to immediately self-report any known conflict of interest whenever such conflict arises. Any disclosure is first adjudicated by Foundation management, pursuant to guidelines established by the Policy. Management will elevate a disclosed conflict to the Foundation's Audit Committee for its oversight, when necessary. The Audit Committee performs this function on behalf of the Board of Trustees. The Foundation's Conflict of Interest Policy sets stringent guidelines for conduct, confidentiality, decision-making, and acceptance of gratuities. Decisions of this committee may be appealed to the Executive Committee of the Board of Trustees. Violation of the provision of this policy is cause for removal from Foundation activities. Trustees shall inform the full board at the outset of the discussion on proposals for potential funding of any involvement of their organization in any of the proposals under consideration. For projects in which a trustee's organization is the primary submitter on a proposal, the trustee must excuse himself/herself from all discussion on the proposal by leaving the room. The trustee is also ineligible to vote on the proposal. During its normal course of business, the Foundation also seeks involvement from several volunteer "committees" or "councils", comprised primarily of subscriber personnel or other professionals with drinking water expertise. As monitored by a Foundation representative, all committee volunteers are required to self-report any existing or potential conflicts of interest. Reported conflicts shall then be assessed by the Foundation's representative and/or Foundation management, who shall be responsible to enforce the provisions of the Foundation's Conflict of Interest Policy pursuant to that volunteer's level of involvement of decision-making in his/her respective committee or council.

Identifier	Return Reference	Explanation
Executive Director's Compensation	Form 990, Part VI, Section B, Line 15a & b	Process for determining compensation for a) Executive Director The Executive Committee deliberates and reviews the Executive Director's compensation annually in a private session The Executive Director's compensation is compared to data of similar organizations and positions using the Mountain States Employers' Council non-profit data form The Executive Committee also looks for significant deviations from a normal salary range, if applicable Currently there are no significant deviations from the normal reported salary ranges for similar Executive Director positions The Executive Committee submits its proposal for changes in terms of employment or compensation for the Executive Director to the full Board of Trustees for their action The recommendation/approval of the Executive Committee is documented in their meeting minutes and board approval is documented in the Board of Trustees meeting minutes The most recent review and approval was conducted in January, 2011 b) Other officers, key employees The Foundation's salary and compensation plan is reviewed annually to ensure that the Foundation is fairly compensating its key employees and staff using comparative data received from Mountain States Employers' Council survey information A salary budget is prepared on these results Compensation (including proposed bonuses), fringe benefits calculations and graphs are submitted to the Finance Committee for evaluation at their meeting each November The Finance Committee discusses and approves the salary and fringe benefit budget, along with the rest of the budget The Finance Committee submits its recommendation for approval to the full Board of Trustees for their action at the January board meeting The most recent review and approval was conducted in January, 2011 The recommendation/approval of the Finance Committee is documented in their meeting minutes and board approval is documented in the Board of Trustees meeting minutes

Identifier	Return Reference	Explanation
Changes to Organizational Documents	Form 990, Part VI, Section A, Line 4	The Bylaw changes approved in January 2010 specified that the composition of the Board of Trustees would change from nine AWWA appointees, three AMWA appointees and three NAWC appointees and three Foundation-elected positions to nine Foundation-elected positions and three appointees each from AWWA, AMWA, and NAWC To accommodate the transition to nine Foundation trustee positions, it was agreed that those individuals who were currently AWWA appointees would become Foundation-elected trustees when their first term ended and would be "grandfathered" in for the second term

Identifier	Return Reference	Explanation
Other Changes to Net Assets	Form 990, Part XI, Line 5	75,371 Net Unrealized Gains on Investments 75,145 Change in Minimum Pension Liability 150,516 Total Other Changes to Net Assets

Additional Data

Software ID:

Software Version:

EIN: 13-6211384

Name: Water Research Foundation

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PATRICIA MULROY MEMBER-AT-LARGE	10	X						0	0	0
JOSEPH A BELLA TRUSTEE	10	X						0	0	0
DENNIS W DOLL TRUSTEE	10	X						0	0	0
JOHN DYKSEN TRUSTEE	10	X						0	0	0
JUDITH A HANSEN TRUSTEE	10	X						0	0	0
GREGORY C HEITZMAN TRUSTEE	10	X						0	0	0
CASWELL F HOLLOWAY III TRUSTEE	10	X						0	0	0
JULIA HUNT TRUSTEE	10	X						0	0	0
ROBERT HUNTER TRUSTEE	10	X						0	0	0
NILAKSH KOTHARI TRUSTEE	10	X						0	0	0
BRUCE P KRAEUTER TRUSTEE	10	X						0	0	0
DAVID V MODEER TRUSTEE	10	X						0	0	0
SCOTT POTTER TRUSTEE	10	X						0	0	0
JOHN F SPATZ JR TRUSTEE	10	X						0	0	0
MAC UNDERWOOD TRUSTEE	10	X						0	0	0
CARL YATES TRUSTEE	10	X						0	0	0
GARY A BURLINGAME TRUSTEE	10	X						0	0	0
ROY L WOLFE CHAIR	10	X		X				0	0	0
DENISE L KRUGER VICE-CHAIR	10	X		X				0	0	0
CHARLES M MURRAY TREASURER	10	X		X				0	0	0
JOSEPH MANTUA AWWA PRESIDENT-EX OFFICIO TRST	10	X						0	0	0
JAMES MCDANIEL AMWA PRESIDENT-EX OFFICIO TRST	10	X						0	0	0
JOHN J HERLIHY NAWC DESIGNEE-EX OFFICIO TRST	10	X						0	0	0
JOHN KIRNER TRUSTEE	10	X						0	0	0
STEPHEN ESTES-SMARGIASSI TRUSTEE	10	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
MICHAEL E HOOKER TRUSTEE	1 0	X						0	0	0
KARL M KYRISS TRUSTEE	1 0	X						0	0	0
DAVID E RAGER CHAIR	1 0	X		X				0	0	0
DOUGLAS BEAN TRUSTEE	1 0	X						0	0	0
GERALD SEEBER TRUSTEE	1 0	X						0	0	0
ROBERT C RENNER SECRETARY/EXECUTIVE DIRECTOR	40 0			X				295,447	0	22,050
JAMES C PARONE DIRECTOR-FINANCE & ADMIN SVCS	40 0			X				131,875	0	26,250
RICHARD J KARLIN DIRECTOR-SUBSCRIBER SERVICES	40 0					X		157,780	0	26,235
CHRISTOPHER RAYBURN DIRECTOR-RESEARCH MANAGEMENT	40 0					X		131,038	0	31,442
JILL ESTABROOK-WISEHART DIRECTOR-COMMUNICATIONS & MKT	40 0					X		100,287	0	14,089