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Department of the Treasury Internal Revenue Service

## **Return of Private Foundation**

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements OMB No 1545-0052

2010

Fo	· ca	lendar year 2010, or ta	x year beg	inning	, aı	nd end	ing					
G	Che	eck all that apply		return	Initial return of a for Address change	mer pu		-	chan	Final re	eturn	
Na	me o	f foundation						Ā	Emplo	oyer identification nu	ımber	
The	Lir	ndemann Foundation, In-	c					1		13-6140	249	
		and street (or P O box number		delivered to street ad	dress)	Roo	m/suite	В	Telepl	none number (see pag		ctions)
c/n	Fοι	undation Source, 501 Sil	verside Ro	ad			123			(800) 839	-1754	
		town, state, and ZIP code	VC13140 1 (0)			_	120	c	If even	aption application is pe		<u> </u>
Wil	min	gton			DE	19809-	1377			eign organizations, ch	-	
	_	eck type of organization	[▼] Section	on 501(c)(3) exe	mpt private foundation			1		eign organizations me		, - 🗀
Ë		ction 4947(a)(1) nonexe	mpt charita	ble trust C	Other taxable private	founda	tion			ck here and attach cor	-	" ▶∏
干		r market value of all asse	<del></del>	J Accounting r	method X Cash		Accrual	E		te foundation status w		
•		rear (from Part II, col. (c)		Other (sp		<i>'</i> ليا '	\cciuai	F		section 507(b)(1)(A), o oundation is in a 60-m		
		(3) 16) ▶ \$	, 1 938 174	(Part I, column (d	d) must be on cash bas	is)		Ι.		section 507(b)(1)(B), o		
Pa	rt I	Analysis of Revenue	_					_	$T^-$		(d) Disburser	ments
		amounts in columns (b), (c),	-	· ·	(a) Revenue and expenses per	(b) N	et investm	nent		(c) Adjusted net	for charital	
		the amounts in column (a) (s			books		income			income	purposes (cash basis) ﴿	
_	1	Contributions, gifts, grants, e	etc , received (	attach schedule)		-			$\top$		//	/
~	2								1	· · · · · · · · · · · · · · · · · · ·		
% ∩ 3	3	Interest on savings and			244			24	14			
J	4	Dividends and interest fr			10,872		10	0,8				
>	5	a Gross rents										
		<b>b</b> Net rental income or (los	ss)									
Revenue	6	a Net gain or (loss) from s	ale of assets	not on line 10	37,682					· <del></del>		
ě		<b>b</b> Gross sales price for all asse	ets on line 6a	1,223,427								
Ř	7	Capital gain net income	(from Part IV	/, line 2)			3	7,6	32			
_	8	Net short-term capital ga	ain							.=		
	9	Income modifications							_			
	10	a Gross sales less returns and	d allowances									
		<b>b</b> Less Cost of goods sol	d						_		<u> </u>	
	١	c Gross profit or (loss) (att		e)					_			
	11		•		4,074				-			
	12		<del></del>		52,872		48	8,7	98			
es	13	•	-	trustees, etc					-			
Expenses	14			VED !								
þe	15		e benefits !	VLUTOI								
		a Legal fees (attach scher							+			
tive		b Accounting fees (attach c Other professional fees	schedile)	dule)011   \( \frac{1}{22} \)	11,159		4	1,1:				
ati	17	Interest I (VI)		_1 - 1	11,109		<u>_</u>	1, 1	180	· <del></del>	-	
str	18	Interest CV	- tener	VIII.				_	_			
Administra	19	· · · · · · · · · · · · · · · · · · ·		Poletion					+			
Ε	20	Occupancy	CHOIC POLITICA	acpiction	·.			_	+		-	-
ĕ	21	Travel, conferences, and	d meetinas		2				$\top$		<u> </u>	
and	22		_						$\top$		t	
Ö	23				10,910				77	· · · · · · · · · · · · · · · · · · ·	_	10,833
ij.	24			expenses.						· <u> </u>		,
Operating		Add lines 13 through 23		•	22,069		1	1,2	36		L	10,833
De	25				82,474			_				82,474
Ō	26			lines 24 and 25	104,543		1	1,2	36			93,307
_	27						•		1		t	22,001
	i	a Excess of revenue over exp		sbursements	-51,671				1		1	
	l	b Net investment income	•				3	7,5	52			
	1	c Adjusted net income (										

For Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2010)

Da	rt II	Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End	d of year
Га	ш	should be for end-of-year amounts only (See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing .		_	
	2	Savings and temporary cash investments	1,829,056	52,59	52,597
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			_
		Less allowance for doubtful accounts	_		
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see page 15 of the			
	-	instructions)			
	7	Other notes and loans receivable (attach schedule)			<del></del>
Assets		Less allowance for doubtful accounts		<del></del>	-
SS	8	Inventories for sale or use			
4	9	Prepaid expenses and deferred charges			<del>-</del>
		Investments—U.S. and state government obligations (attach schedule)		1 724 90	1 005 577
		n Investments—corporate stock (attach schedule)		1,724,80	1,88 <u>5,577</u>
		c Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis		<del></del>	
	42	Less accumulated depreciation (attach schedule)			+
	12	Investments—mortgage loans			
	13 14	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis		<del> </del>	<del></del>
	15	Less accumulated depreciation (attach schedule)  Other assets (describe			
	16	Other assets (describe   Total assets (to be completed by all filers—see the			
	10	instructions Also, see page 1, item I)	1,829,056	1,777,40	1,938,174
	17	Accounts payable and accrued expenses	1,020,000	1,777,40	1,000,174
	18	Grants payable			╡
es	19	Deferred revenue			7
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ap	21	Mortgages and other notes payable (attach schedule)			7
Ï	22	Other liabilities (describe			7
	23	Total liabilities (add lines 17 through 22)	0		0
		Foundations that follow SFAS 117, check here			Ť
Ś		and complete lines 24 through 26 and lines 30 and 31.			
alances	24	Unrestricted			'
<u>a</u>	25	Temporarily restricted			╡
	26	Permanently restricted			7
Þ		Foundations that do not follow SFAS 117, check here			7
Net Assets or Fund B		and complete lines 27 through 31.			
Ĕ	27	Capital stock, trust principal, or current funds			_
S	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
set	29	Retained earnings, accumulated income, endowment, or other funds	1,829,056	1,777,40	05
AS	30	Total net assets or fund balances (see page 17 of the			
et.		instructions)	1,829,056	1,777,40	05
Ź	31	Total liabilities and net assets/fund balances (see page 17			
		of the instructions)	1,829,056	1,777,40	)5
	rt III	Analysis of Changes in Net Assets or Fund Balances			
1		net assets or fund balances at beginning of year—Part II, column (a), line	30 (must agree with		
		of-year figure reported on prior year's return)		1	
		amount from Part I, line 27a		2	
3	Other	r increases not included in line 2 (itemize) Returned Grant		3	
4		ines 1, 2, and 3		4	
5		eases not included in line 2 (itemize)			
6	Total	net assets or fund balances at end of year (line 4 minus line 5)-Part II, c	olumn (b), line 30	6	1,777,405

(a) List and describe the k	Losses for Tax on Investind(s) of property sold (e.g., real esta , or common stock, 200 shs MLC Co	ite,	ncom	(b) How acquired P—Purchase		Date acquired o , day, yr )	(d) Date sold (mo , day, yr )	
		<del>''</del>		D—Donation	,,,,		(11.0 ; ddy; y. )	
1a Publicly-traded Securities			$\dashv$					
<u>b</u>			<del>-  </del> -					
d d	<del></del>				<del></del>			
e			_		1			
(e) Gross sales price	(f) Depreciation allowed			other basis			or (loss)	
	(or allowable)	plus	s expen	se of sale		(e) plus (f	) minus (g)	
a 1,223,427		<del></del>		1,185,745			37,682	
<u>b</u>	<del></del>	<del></del>						
C								
d e							<del></del>	
Complete only for assets shown	a gain in column (h) and owned	by the four	ndation	on 12/31/60			// / · · · · · · · · · · · · · · · · ·	
Complete only for assets snowing					ł		(h) gain minus less than -0-) or	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69			of col(ı) (ı), ıf any			om col (h))	
a	<del></del>					•	37,682	
b			_					
C							····	
d								
е								
2 Capital gain net income or (ne		so enter ir enter -0- ir			2		37,682	
3 Net short-term capital gain or							,	
If gain, also enter in Part I, lin	e 8, column (c) (see pages 1							
Part V Qualification Unde		ducad Ta	2 O F	Not Investme	ont In	come		
(For optional use by domestic pri			_			-		
If section 4940(d)(2) applies, leav Was the foundation liable for the If "Yes," the foundation does not	section 4942 tax on the distr qualify under section 4940(e	e) Do not o	compl	ete this part			Yes X No	
	nt in each column for each ye	ear, see p	age 1	8 of the instruct	ions be	efore making		
(a) Base penod years	(b)			(c)		Dist	(d) nbution ratio	
Calendar year (or tax year beginning in)	Adjusted qualifying distribution	ns Net v	value of	noncharitable-use a	ssets		livided by col (c))	
2009	103	,605	_	1,864	1,041		0 055581	
2008		,799		2,076			0 056254	
2007		,287		4,436			0 030271	
2006		,108		6,701			0 048213	
2005	2/6	,705		6,242	2,658		0 044325	
2 Total of line 1, column (d)						2	0 234644	
3 Average distribution ratio for the number of years the found					′	3	0 046929	
4 Enter the net value of noncha	iritable-use assets for 2010 f	rom Part	A, IINE	9 5	<b> </b>	4	1,873,399	
5 Multiply line 4 by line 3					-	5	87,917	
6 Enter 1% of net investment in	ncome (1% of Part I, line 27b	))			-	6 3		
7 Add lines 5 and 6						7	88,293	
8 Enter qualifying distributions	from Part XII, line 4					8 93,30		
If line 8 is equal to or greater the Part VI instructions on pa	than line 7, check the box in	Part VI, lı	ine 1b	, and complete	that pa	art using a 1%		

•									
Form	990-PF (2010) The Lindemann Foundation, Inc	13-614	0249	Pa	ge 4				
Pa	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see pa	ge 18 of the	inst	uctio	ns)				
1 a	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1				. 1				
	Date of ruling or determination letter (attach copy of letter if necessary—see instructions)								
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	1		376					
	here ► X and enter 1% of Part I, line 27b								
C	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4%								
_	of Part I, line 12, col (b)			0					
Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  Add lines 1 and 2									
_				376					
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		276					
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5		376					
6	Credits/Payments	l l			. !				
	2010 estimated tax payments and 2009 overpayment credited to 2010 6a 500			- 1					
	Exempt foreign organizations—tax withheld at source  Tax paid with application for extension of time to file (Form 8868)  6c  0	l		İ					
	, and the same of	1			,				
7	Backup withholding erroneously withheld  Total credits and payments Add lines 6a through 6d	7		500					
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached	8		300					
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		ő					
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		124					
11	Enter the amount of line 10 to be Credited to 2011 estimated tax ► 124 Refunded ►	11		0					
Pa	t VII-A Statements Regarding Activities	<b>!</b>							
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it			Yes	No				
1 6	participate or intervene in any political campaign?		1a		X				
F	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the		<u> </u>						
•	instructions for definition)?		1ь		Х				
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials								
	published or distributed by the foundation in connection with the activities				ı				
(	Did the foundation file Form 1120-POL for this year?		1c		X				
c	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year								
	(1) On the foundation $\blacktriangleright$ \$ (2) On foundation managers $\blacktriangleright$ \$				ı				
6	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed								
	on foundation managers > \$								
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X				
	If "Yes," attach a detailed description of the activities								
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles				ليب				
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	$\overline{}$	X				
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a	11/4	Х				
	If "Yes," has it filed a tax return on Form 990-T for this year?		4b	N/A	<del></del>				
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		<u> </u>				
_	If "Yes," attach the statement required by General Instruction T		l						
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		1						
	By language in the governing instrument, or  By language in t				1				
	By state legislation that effectively amends the governing instrument so that no mandatory directions  that conflict with the state law remain in the governing instrument?			у-	J				
7	that conflict with the state law remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), as	nd Part VV	7	X	Г				
7	Enter the states to which the foundation reports or with which it is registered (see page 19 of the	IG I GILAV	<b>-</b>	$\vdash $					
0 6	Instructions) ► NY		ĺ						
,	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney		1						
•	General (or designate) of each state as required by General Instruction G? If "No," attach explanation		8b	Х					

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing

If "Yes," complete Part XIV

their names and addresses

or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)?

9

10

Form	990-PF (2010) The Lindemann Foundation, Inc 13-614	0249	P	age 5
Pari	t VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		Х
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
	August 17, 2008?	12		_X_
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address   The head of Courts   The head of	/000		4754
14	The books are in care of Foundation Source Telephone no T		) 839-	1/54
15	Located at ► 501 Silverside Road, Suite 123 Wilmington DE ZIP+4 ► 19809-1377  Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here		•	· 🗀
16	and enter the amount of tax-exempt interest received or accrued during the year  At any time during colorades year 2010, did the foundation base on interest in each supporture or other outborns.	-	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	163	X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter			
	the name of the foreign country			
Pai	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes X No			
	tor the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period			
	after termination of government service, if terminating within 90 days )			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d			
	and 6e, Part XIII) for tax year(s) beginning before 2010?			
<b>L</b>	If "Yes," list the years $\triangleright$ 20, 20, 20, 20  Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)	}		
b	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
	to all years listed, answer "No" and attach statement—see page 22 of the instructions )	2b	N/A	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	<b>▶</b> 20 20 20 20 20 20 20 20 20 20 20 20 20	l		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business	1		
	enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation			
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3)	1		
	the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine			
	if the foundation had excess business holdings in 2010 )	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable			
	purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

For	n 990-l	PF (2010)	The Lindemann Foundation	Inc							13	3-614	0249	F	age 6
Pa	irt VI	I-B Sta	atements Regarding Activi	ties f	or Which Forn	n 4	720 May Be R	equire	d (conti	nued)					
5a	Duni	ng the yea	ir did the foundation pay or incur a	ny am	ount to			-							
	(1)	Carry on	propaganda, or otherwise attempt	to infl	uence legislation (	(sec	tion 4945(e))?			Yes	$\square$	No			
	(2)	Influence	the outcome of any specific public	c elect	ion (see section 4	955)	, or to carry			_					
		on, direc	tly or indirectly, any voter registrati	on driv	ve?					Yes	X	No			
	(3)	Provide a	a grant to an individual for travel, s	tudv. c	or other similar pur	pos	es?			Yes	$ \mathbf{x} $	No			
			•	-	charitable, etc , organization described				<u> </u>	•					
	• •		n 509(a)(1), (2), or (3), or section 4							Yes	$ \mathbf{x} $	No			
	(5)	Provide f	for any purpose other than religiou	s, cha	ritable, scientific, li	tera	ry, or								
		education	nal purposes, or for the prevention	of cru	elty to children or	anın	nals?			Yes	$ \mathbf{x} $	No			
b	If an	y answer i	s "Yes" to 5a(1)-(5), did any of the	trans	actions fail to qual	ıfy u	inder the exception	ons desc	ribed in	•					
	Regi	ulations se	ection 53 4945 or in a current notice	e rega	rding disaster assi	star	nce (see page 22	of the in	structions	3)?			5b	N/A	
			relying on a current notice regardii							· •	$\Box$				
С	If the	answer is	s "Yes" to question 5a(4), does the	found	lation claim exemp	tion	from the								
	tax b	ecause it	maintained expenditure responsible	lity for	the grant?					Yes		No			
	If "Yo	es," attach	the statement required by Regula	tions s	section 53 4945–5	(d)				•					
6a	Did t	he founda	tion, during the year, receive any f	unds,	directly or indirectl	y, to	pay			_					
	prem	nums on a	personal benefit contract?		•					Yes	$\left[ \mathbf{X}\right]$	No			
b	Did t	he founda	tion, during the year, pay premium	s, dire	ctly or indirectly, o	n a	personal benefit	contract	?	-			6b		Х
	If "Ye	es" to 6b, i	file Form 8870						_		_				
7a	At ar	ny time du	ring the tax year, was the foundation	on a p	arty to a prohibited	l tax	shelter transaction	on?		Yes	X	No			
_			e foundation receive any proceeds		,								7b	N/A	
Р	art V		Information About Officers	s, Dir	ectors, Truste	es	, Foundation	Manag	gers, Hi	ghly	Paid	l Em	ploy	ees,	
			and Contractors												
_1	List	all office	ers, directors, trustees, found								he ir	struc	ction	s).	
		(a	) Name and address	(0)	Title, and average hours per week		c) Compensation f not paid, enter		i) Contributi sloyee bene					se acco	
		- A O . 13 4 5		d	evoted to position		-0-)	and de	eferred con	pensati	on	- 0	tner all	owance	<u></u>
SE.	EAL	TACHME	:N I												
_				+											
				1											
				+	-		·····	l							
				1											
				1				<u> </u>							
2	Con	npensati	on of five highest-paid emplo	yees	(other than the	se	included on li	ne 1—s	see page	23 of	fthe	instr	uctio	ns).	
			er "NONE."	•	•				. •					•	
					(b) Title, and avera	age			(d) Contrib				-		
(a	Name	and addre	ss of each employee paid more than \$5	0,000	hours per week		(c) Compensation	on	employee plans and					se acco owance	
					devoted to position	on			comper						
NO	ŊĘ_														
	_		<del></del>												
					1			-+			$\dashv$				
					1										
								-+							
		- <i>-</i>													
					<del>                                     </del>			-+			$\dashv$				
Tot	al nu	mber of	other employees paid over \$50	,000	•		·				▶				
												Fo	rm <b>9</b> 9	0-PF	(2010)

The Lindemann Foundation, Inc		13-6140249 Page 1
Part VIII Information About Officers, Directors, Trustees, F and Contractors (continued)	oundation Managers, Highly Paid	Employees,
3 Five highest-paid independent contractors for professional services (see	page 23 of the instructions). If none, ente-	r "NONE."
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		. <u>.</u>
Total number of others receiving over \$50,000 for professional services		
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct chantable activities during the tax year. Include relevant the number of organizations and other beneficianes served, conferences convened, research page 1.		Expenses
1		
2		
3		
4		
Part IX-B Summary of Program-Related Investments (see p	age 24 of the instructions)	
Describe the two largest program-related investments made by the foundation during the tax year	ar on lines 1 and 2	Amount
1		
2		
•		
All other program-related investments See page 24 of the instructions		
3		
Total Add lines 1 through 2		0
LOVAL AGG UDGC 1 IDFOUGD 4	<b>D</b> I	- CA

Subtract lines 7 and 8 from line	0	 		
Analysis of line 9				
Excess from 2006	0			
Excess from 2007	0			

Excess distributions carryover to 2011.

10

c Excess from 2008 d Excess from 2009 e Excess from 2010

	1990-PF (2010) The Lindemann Foundation,		<del></del>	15	13-614	
	t XIV Private Operating Foundations (s			nd Part VII-A, qu	iestion 9)	N/A
1 a	If the foundation has received a ruling or determination, and the ruling is effective for 2010, ent			<b>▶</b>		
b	Check box to indicate whether the foundation is a	private operating fou	indation described in	n section	4942(j)(3) or	4942(J)(5)
	Enter the lesser of the adjusted net	Tax year		Prior 3 years		
	income from Part I or the minimum	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
	investment return from Part X for each year listed	0	(4) 2222	(4) 2222	(4, 233)	0
h	85% of line 2a	0	0	0	0	
	Qualifying distributions from Part XII,	<u> </u>		0		
Ī	line 4 for each year listed	o				0
d	Amounts included in line 2c not used directly for active conduct of exempt activities					0
е	Qualifying distributions made directly	-				
	for active conduct of exempt activities					
2	Subtract line 2d from line 2c	0	0	0	0	0
3 a	Complete 3a, b, or c for the alternative test relied upon "Assets" alternative test—enter					
	(1) Value of all assets					0
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in					
	Part X, line 6 for each year listed	0				<u> </u>
С	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
	(2) Support from general public and 5 or more exempt organizations as provided in					
	section 4942(j)(3)(B)(iii)  (3) Largest amount of support					0
	from an exempt organization					0
	(4) Gross investment income				000	0
Ра	rt XV Supplementary Information (Cor assets at any time during the ye		-		,000 or more in	
1	Information Regarding Foundation Manag					
а	List any managers of the foundation who have cor	ntributed more than 2	2% of the total contri	ibutions received by	the foundation	
	before the close of any tax year (but only if they ha	ave contributed more	e than \$5,000) (See	section 507(d)(2))		
<u>ио</u>			16		- 4 6.15 -	
b	List any managers of the foundation who own 10% ownership of a partnership or other entity) of which				ortion of the	
NO		Traic louridation has	a 10 % or greater in	ic/cst		
<u>NO</u> 2	N⊑ Information Regarding Contribution, Gran	nt. Gift. Loan. Sch	olarship, etc. Pr	rograms:		
_	Check here ► X if the foundation only makes unsolicited requests for funds If the foundation may under other conditions, complete items 2a, b, c, and	s contributions to pre akes gifts, grants, etc	selected charitable	organizations and do	-	ions
а	The name, address, and telephone number of the	person to whom app	olications should be	addressed		
b	The form in which applications should be submitted	ed and information ar	nd materials they sh	ould include		
С	Any submission deadlines					
d	Any restrictions or limitations on awards, such as factors	by geographical area	as, charitable fields,	kinds of institutions,	, or other	

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment										
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount						
Name and address (home or business)	or substantial contributor	recipient								
a Paid during the year  ACLU FOUNDATION OF SOUTHERN CA 1313 8TH ST	N/A	509(a)(1)	General & Unrestricted	1,000						
LOS ANGELES CA 90017 AFTER-SCHOOL ALL-STARS LOS ANGELES 6501 FOUNTAIN AVE	N/A	509(a)(1)	Rocking the Stars 2010	1,000						
LOS ANGELES CA 90028 ABA FUND FOR JUSTICE AND EDUCATION 321 N CLARK ST	N/A	509(a)(3)	General & Unrestricted	50						
CHICAGO IL 60654 ASIA SOCIETY SOUTHERN CALIFORNIA 350 S FIGUEROA ST STE #480	N/A	509(a)(1)	General & Unrestricted	3,000						
LOS ANGELES CA 90071 ASSOCIATES OF THE CA INSTITUTE OF TECH 1200 E CALIFORNIA BLVD MSC 5	N/A	509(a)(1)	General & Unrestricted	10,000						
PASADENA CA 91125 CA LEAGUE OF CONSERV VOTERS EDUC FUND 350 FRANK OGAWA PLZ 1100	N/A	509(a)(1)	General & Unrestricted	25						
OAKLAND CA 94612 CENTER FOR ENVIRONMENTAL HEALTH 2201 BROADWAY STE 302 OAKLAND CA 94612	N/A	509(a)(1)	General & Unrestricted	500						
D C BAR PRO BONO PROGRAM  1101 K ST NW STE 200  WASHINGTON DC 20005	N/A	509(a)(1)	General & Unrestricted	100						
DALTON SCHOOLS INC 108 E 89TH ST NEW YORK NY 10128	N/A	509(a)(1)	General & Unrestricted	500						
DIDI HIRSCH PSYCHIATRIC SERVICE 4760 SEPULVEDA BLVD CULVER CITY CA 90230	N/A	509(a)(1)	General & Unrestricted	6,000						
DRUG POLICY ALLIANCE 70 W 36TH ST 16 FL NEW YORK NY 10018	N/A	509(a)(1)	General & Unrestricted	50						
Total See Attached Statement			<b>▶</b> 3a	82,474						
b Approved for future payment										
Total			<u>▶ 3b</u>	0						

	amounts unless otherwise indicated	Unrelated bus	siness income	Excluded by section	n 512, 513, or 514	(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of
	service revenue					the instructions)
a					_	
b						<u>-</u>
C .			<del></del>			
đ						
e		<u> </u>				
T _						
	and contracts from government agencies				-	
	ship dues and assessments			14	244	
	on savings and temporary cash investments			14	10.973	
	ds and interest from securities	ļ		14	10,872	
	al income or (loss) from real estate	<u> </u>		<u> </u>		
	-financed property					
	debt-financed property					
	al income or (loss) from personal property			-		
	vestment income			40	07.000	-
	(loss) from sales of assets other than inventory			18	37,682	
	me or (loss) from special events	. —		-		
-	rofit or (loss) from sales of inventory					
	venue a Federal Tax Refund			01	4,074	
°. ——						
				-		
e	(1) (1) (1)		0		52,872	
	Add columns (b), (d), and (e)				13	52,872
	dd line 12, columns (b), (d), and (e) neet in line 13 instructions on page 29 to verify calc	ulations \			13	52,672
OCC MOLVOI						
			of Exempt P	urposes		
Part XVI-	Relationship of Activities to the Activities to	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	nportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	
Part XVI- Line No.	Relationship of Activities to the Ac Explain below how each activity for which income the accomplishment of the foundation's exempt	complishment	umn (e) of Part X	VI-A contributed in	mportantly to oses) (See	

Part XVII	Information	Regarding	Transfers	To and	Transactions a	nd Relationships	With Nonchar	itable
	Exempt Org	anizations					_	

		E	xempt Organiza	ations						
1		tion	501(c) of the Code		ngage in any of the follow ection 501(c)(3) organizat					Yes No
а	Trans	fers	from the reporting	foundation to	a noncharitable exempt o	rganizatio	on of			V 10 F
	(1) C	ash								1a(1) X
	<b>(2)</b> O	ther	assets							1a(2) X
b	Other	tran	sactions							
	(1) S	ales	of assets to a none	charitable exe	empt organization					1b(1) X
	(2) P	urcha	ases of assets fron	n a noncharita	able exempt organization					1b(2) X
	(3) R	ental	l of facilities, equipi	ment, or othe	r assets					1b(3) X
			ursement arrangei							1b(4) X
			or loan guarantee:							1b(5) X
					nip or fundraising solicitation					1b(6) X
		-		_	ts, other assets, or paid e					1c   X
d	value	of th	e goods, other ass	ets, or servic	complete the following so es given by the reporting to ement, show in column (d	foundatio	n If the	e foundation red	eived less than	fair market
(a)	Line no		b) Amount involved	(c) Name	of nonchantable exempt organiza	tion	(d) Des	cription of transfers,	transactions, and s	hanng arrangements
					<u> </u>					
		<u> </u>								
		<u> </u>								
		<del> </del>	-,,-							
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		+				-+				<del> </del>
		+	<del></del>							
		+								
		+-								
	_	$\dagger$			****					
	descr	ıbed		of the Code (o	ated with, or related to, on ther than section 501(c)(3				tions	Yes X No
_	_	(	a) Name of organization		(b) Type of organi	zation		(c)	Description of relation	onship
				·		<del></del>				
_										
									and to the best of m	u knowledge and
	اما				xamined this return, including acc in of preparer (other than taxpaye					
Si	gn 🏻			/	property (carer and) ample		, ,	-		,
He	re 👢	Ū	Dehace		incle, manh	17	- Z-	(-11	Presiden	+
		Sign	ature of officer or truste	e	7	Date		P	de	<u> </u>
		Ť	Print/Type preparer's na		Preparer's signature			Date	T	PTIN
Pa	id								Check if	
Pr	epare	er	Jeffrey D Haskell		Jeffrey D. Haskell			4/29/2011	self-employed	1
	e On			<u>DUNDATION</u>					Firm's EIN	<del></del>
		~,	Firm's address > 55	WALLS DRIV	VE FAIRFIELD CT 0682	4			Phone no	(800) 839-1754

## Form 990-PF, Part I, Line 11 - Other Income

പ		ाठा	ī			i	_	-		ı	
	(b) Net Investment										
4,074	(a) Revenue per	Books 4,074									
TOTAL:											
		Refund									
		1 Federal Tax Refund	2	3	4	2	9	7	8	6	10

Form 990-PF, Part I, Line 16c - Other Professional Fees

TOTAL: 11,159   11,159   0   0   0	_ r	<del></del> ,					-,	- ,	-	_	1	<u> </u>
(a) (b) (b) Expenses per Roment Books Income	0	(d) Chantable Purpose	0									
(a) Expenses per Books 11,159 11,159	0	(c) Adjusted Net Income	0									
(a) Expenses per Books 11,159 11,159	11,159	(b) Net Investment Income	11,159									
TOTAI	11,159	(a) Expenses per Books	11,159									
restment Management Services	TOTAL:											
restment Management Services												
estment			Management Services									
1 2 2 4 3 5 4 8 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6			1 Investment	2	3	₹	2	9	7	8	6	10

Form 990-PF, Part I, Line 23 - Other Expenses

Administrative Fees         (a)         (b)         (c)         (d)           Administrative Fees         Books         Income         Income         Purpose           Bank Charges         77         77         0         0         10,338           Bank Charges         77         77         0         0         0         0         0         245         0         0         0         245         0         0         0         245         0         0         0         245         0         0         0         245         0         0         0         0         0         245         0         0         0         0         0         0         0         250         0		TOTAL:	10,910	77	0	10,833
Books         Income         Purpose           10,338         0         0         10           77         77         0         10           satisfaction of public disclosure requirements         245         0         0           250         0         0         0           250         0         0           250         0         0			(a) Expenses per	(b) Net Investment		(d) Charitable
10,338     0     0     10       satisfaction of public disclosure requirements     245     0     0       250     0     0       250     0     0       250     0     0			Books	Income	T	Purpose
77     77     0       satisfaction of public disclosure requirements     245     0     0       250     0     0	Administrative Fees		10,338	0	0	10,338
satisfaction of public disclosure requirements         245         0         0           250         0         0	Bank Charges		77	77	0	0
	Local newspaper ad in satisfaction of public disclosure rec	quirements	245	0	0	245
			250	0	0	250

# Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

		TOTAL:	1,724,808	1,885,577
			(q)	(၁)
	Description/Symbol/CUSIP Number	Shares	Book Value	Fair Market Value
-	1 BLACKROCK GLOBAL ALLOC I (MALOX)	2,196	101,027	101,320
7	BLACKROCK HIGH YIELD BD (C) (BHYCX)	21,361	154,593	163,837
3	3 CALAMOS CONVERTIBLE FD CL I (CICVX)	9,051	157,231	166,546
4	4 GOLDMAN SACHS ABS RET (C) (GCRTX)	17,251	150,979	156,642
2	5 GOLDMAN SACHS DYNAMIC ALL FD (GDCFX)	41,470	407,602	434,195
9	6 IVY ASSET STRATEGY CL C (WASCX)	19,139	400,002	454,162
7	7 IVY GLOBAL NATURAL RESOURCES FUND CLASS C (IGNCX)	6,944	100,002	130,417
8	LAZARD EMERGING MARKETS PORTFOLIO OPEN SHARES (LZOEX)	5,431	101,002	120,519
6	9   PIMCO EMERGING MKTS BD FD CLASS C (PEBCX)	14,229	152,370	157,939
10				

The Lindemann Foundation, Inc.

EIN: 13-6140249

Taxable Year Ending December 31, 2010

## Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statements for 2010

Pursuant to IRC Regulation 53.4945-5(d)(2), The Lindemann Foundation, Inc. provides the following information for two grants:

(i)	Grantee	Lindemann Abend Foundation c/o Foundation Source 501 Silverside Rd, Ste 123 Wilmington, DE 19809
(ii)	Date of Grant	June 22, 2007
	Amount of Grant	\$2,570,039
(iii)	Purpose of Grant	Capital Endowment
(iv) & (vi)	Reports of Amounts Expended	Lindemann Abend Foundation submitted a full and complete report of its expenditure of the June 2007 grant on September 16, 2010.
(v)	Diversions	To the knowledge of the grantor, no funds have been diverted to any activity other than the activity for which the grant was originally made.
(vii)	Verification	The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the report was made.

The Lindemann Foundation, Inc. EIN: 13-6140249

Taxable Year Ending December 31, 2010

## Form 990-PF, Part VII-B, Line 5c - Expenditure Responsibility Statements for 2010

(i)	Grantee	Lindemann Charitable Foundation II 1200 Brickell Ave, Ste 700, Miami, FL 33131
(ii)	Date of Grant	June 21, 2007
	Amount of Grant	\$2,545,021
(iii)	Purpose of Grant	Capital Endowment
(iv) & (vi)	Reports of Amounts Expended	Lindemann Charitable Foundation II submitted reports of its expenditure of the June 2007 grant on May 2, 2008, April 14, 2009 and November 9, 2009.
(v)	Diversions	To the knowledge of the grantor, no funds have been diverted to any activity other than the activity for which the grant was originally made.
(vii)	Verification	The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the report was made.

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, Trustees and Foundation Managers	
ficers, Directors	
Compensation of Of	
/III, Line 1 - C	
Part V	
Form 990-PF,	

								0	0	0
	Name	Street	City	State	Zip Code	Title	Avg Hrs	Comp	Benefits	Expense Account
	1 Barbara Lindemann	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	President / Director	-	0	0	0
7	2 Blake Lindsley	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Vice President / Director / Secretary	-	0	0	0
9	3 Bradford Schlei	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Director / Treasurer	-	0	0	0
4										
3										
9				-					_	
∞										
თ										
10										

General & Unrestricted

25

The Lindemann Foundation, Inc				13-614		5 of 5
Form 990-PF, Part XV, Line Recipient(s) paid during the year	e 3a - Grants and	Con	tributions P	aid During the	e Year	
Name						
THE MILKEN INSTITUTE						
Street 1250 4TH ST FL 2						
City			Zip Code	Foreign Coun	itry	
SANTA MONICA Relationship	Foundation Status		90401			
N/A	509(a)(1)				IA4	
Purpose of grant/contribution Global Conference Program					Amount	10,000
Name	Partie of the state of the stat	- 11	- F. 1997			
UNITED WAY INC						
Street 1150 S OLIVE ST STE T500						
City			Zip Code	Foreign Coun	itry	
LOS ANGELES Relationship	Foundation Status	CA_	90015			
N/A	509(a)(1)	'	<u> </u>			
Purpose of grant/contribution General & Unrestricted					Amount	10,000
<b>建特,中国民国国际</b> 在1777年,中中国国际国际公司、中国国	######################################	A HOUSE AND	- t-!			
Name						
Street						
City		State	Zip Code	Foreign Coun	itry	• • •
Relationship	Foundation Status	]	<u> </u>			
					T	
Purpose of grant/contribution					Amount	0
						0
Name	The second of th	Lagrangia, Lagrando	TO CONTRACTOR OF THE PROPERTY OF THE			O
						0
Name			Zip Code	Foreign Coun		0
Name Street	Foundation Status	State				0
Name Street City Relationship		State			itry	O
Name Street City Relationship Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name Street City	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name Street City Relationship	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  Relationship  Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name Street City Relationship Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  Relationship  Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name Street City Relationship Purpose of grant/contribution Name Street City Relationship Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  Relationship  Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Coun	Amount	0
Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  Relationship  Purpose of grant/contribution	Foundation Status	State	Zip Code	Foreign Cour	Amount	0
Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  Relationship  Purpose of grant/contribution  Name  Street  City  City  City  City  City  City	Foundation Status  Foundation Status	State	Zip Code	Foreign Cour	Amount	0