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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation The Rosenthal Family Foundation (f/k/a The Richard and Hinda Rosenthal Fdn.)		A Employer identification number 13-6104817
Number and street (or P O box number if mail is not delivered to street address) 2777 Summer Street	Room/suite 208B	B Telephone number 203-325-9900
City or town, state, and ZIP code STAMFORD, CT 06905		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 26,159,587.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		631,650.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		46.	46.		Statement 1
4 Dividends and interest from securities		604,166.	604,166.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		108,932.			
b Gross sales price for all assets on line 6a	13,924,145.				
7 Capital gain net income (from Part IV, line 2)			108,932.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-14,612.	-5,775.		Statement 3
12 Total. Add lines 1 through 11		1,330,182.	707,369.		
13 Compensation of officers, directors, trustees, etc		60,000.	3,000.		57,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	Stmnt 4	60,000.	20,000.		40,000.
c Other professional fees	Stmnt 5	327,479.	247,479.		80,000.
17 Interest					
18 Taxes	Stmnt 4	8,671.	8,671.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		18,315.	0.		18,315.
22 Printing and publications		495.	0.		495.
23 Other expenses	Stmnt 7	842.	0.		842.
24 Total operating and administrative expenses. Add lines 13 through 23		475,802.	279,150.		196,652.
25 Contributions, gifts, grants paid		1,323,646.			1,323,646.
26 Total expenses and disbursements. Add lines 24 and 25		1,799,448.	279,150.		1,520,298.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-469,266.			
b Net investment income (if negative, enter -0-)			428,219.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance SheetsAttached schedules and amounts in the description
column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,244,878.	809,076.	809,076.
	3 Accounts receivable ▶ 510.			
	Less: allowance for doubtful accounts ▶	21,799.	510.	510.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations Stmt 8	3,776,268.	2,575,847.	2,590,164.
	b Investments - corporate stock Stmt 9	3,763,046.	0.	0.
	c Investments - corporate bonds Stmt 10	5,648,995.	3,220,543.	3,270,241.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 11	10,651,637.	18,105,408.	19,489,596.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	25,106,623.	24,711,384.	26,159,587.	
Liabilities	17 Accounts payable and accrued expenses	144,720.	218,747.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	144,720.	218,747.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	765,328.	765,328.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	24,196,575.	23,727,309.		
30 Total net assets or fund balances	24,961,903.	24,492,637.		
31 Total liabilities and net assets/fund balances	25,106,623.	24,711,384.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,961,903.
2 Enter amount from Part I, line 27a	2	-469,266.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	24,492,637.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,492,637.

Form 990-PF (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statements			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 13,924,145.		14,190,608.	108,932.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			108,932.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	108,932.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,019,644.	24,520,952.	.041583
2008	959,173.	24,184,267.	.039661
2007	1,083,286.	23,790,351.	.045535
2006	975,654.	18,282,872.	.053364
2005	920,572.	17,879,482.	.051488

2 Total of line 1, column (d)	2	.231631
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046326
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	22,735,690.
5 Multiply line 4 by line 3	5	1,053,254.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,282.
7 Add lines 5 and 6	7	1,057,536.
8 Enter qualifying distributions from Part XII, line 4	8	1,520,298.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	4,282.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	4,282.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	4,282.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	13,421.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	13,421.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,139.
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax ☒ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

Stmt 12

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **None**

14 The books are in care of **ASSETS ADMIN & MANAGEMENT INC** Telephone no. **203 325-9900**
 Located at **2777 Summer Street Suite 208B, STAMFORD, CT** ZIP+4 **06905**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** **Yes** **No**
 See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **X**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years N/A	2a	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here **N/A**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) **N/A**

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13		60,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,032,236.
b	Average of monthly cash balances	1b	1,049,683.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	23,081,919.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,081,919.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	346,229.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,735,690.
6	Minimum investment return. Enter 5% of line 5	6	1,136,785.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,136,785.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,282.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,282.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,132,503.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,132,503.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,132,503.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,520,298.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,520,298.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,282.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,516,016.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,132,503.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	34,901.			
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	34,901.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 1,520,298.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,132,503.
e Remaining amount distributed out of corpus	387,795.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	422,696.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	422,696.			
10 Analysis of line 9:				
a Excess from 2006	34,901.			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010	387,795.			

N/A

- ☐ 4942(i)(3) or ☐ 4942(i)(5)

- (4) Gross investment income

[illegible]

2010.04050 The Rosenthal Family Founda 74600001

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement	N/A	Public charity	Unrestricted Grant	1,323,646.
Total				► 3a 1,323,646.
b Approved for future payment None				
Total				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	46.	
4	Dividends and interest from securities			14	604,166.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property			14		
6	Net rental income or (loss) from personal property					
7	Other investment income			14	-14,612.	
8	Gain or (loss) from sales of assets other than inventory			18	108,932.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		698,532.	0.
13	Total. Add line 12, columns (b), (d), and (e)					698,532.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN	
------	--

P00103809

**Paid
Preparer
Use Only**

ROBERT DEMMETT

Firm's name ► **EisnerLubin LLP**

Firm's address ► 1411 BROADWAY, 9TH FL
New York, NY 10018

Phone no.

Form **990-PF** (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

Employer identification number

13-6104817

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

Employer identification number

13-6104817

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Hinda G. Rosenthal Charitable Lead Annuity Trust 1 C/O AA&M, Inc., 2777 Summer Street, Suite 208B, Stamford, CT 06905	\$ 125,650.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	Hinda G. Rosenthal Charitable Lead Annuity Trust 2 C/O AA&M, Inc., 2777 Summer Street, Suite 208B, Stamford, CT 06905	\$ 506,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

Employer identification number

Part II Noncash Property (see instructions)[illegible]

Name of organization

Employer identification number

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

13-6104817

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a THROUGH THE NORTHERN TRUST COMPANY-BONDS ACCOUNT		P	Various	Various
b THROUGH THE NORTHERN TRUST COMPANY-BONDS ACCOUNT		P	Various	Various
c THROUGH THE NORTHERN TRUST COMPANY-BRANDES ACCOUNT		P	Various	Various
d THROUGH THE NORTHERN TRUST COMPANY-BRANDES ACCOUNT		P	Various	Various
e 9.87 SHARES LONGLEAF PTRNS FDS		P	12/16/09	01/19/10
f 23441.82 SHARES LONGLEAF PTRNS FDS		P	Various	01/19/10
g 155 SHARES NETFLIX INC		P	08/24/06	10/22/10
h 102 SHARES NETFLIX INC		P	07/27/06	04/27/10
i THROUGH THE NORTHERN TRUST COMPANY-COMMON TRUST F		P	12/31/09	01/25/10
j THROUGH THE NORTHERN TRUST COMPANY-COMMON TRUST F		P	12/31/08	01/25/10
k THROUGH CERBERUS ASIA PARTNERS LP		P	Various	Various
l THROUGH COLCHESTER GLOBAL BOND FUND		P	Various	Various
m THROUGH COLCHESTER GLOBAL BOND FUND		P	Various	Various
n THROUGH JUBILEE TAX EXEMPT PARTNERS LP		P	Various	Various
o THROUGH JUBILEE TAX EXEMPT PARTNERS LP		P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,379,560.		2,316,770.	62,790.
b 5,990,898.		5,692,153.	298,745.
c 819,429.		747,636.	71,793.
d 2,429,450.		2,855,335.	-425,885.
e 243.		235.	8.
f 576,434.		734,936.	-158,502.
g 26,209.		2,885.	23,324.
h 10,704.		2,039.	8,665.
i 48,824.		37,978.	10,846.
j 1,641,522.		1,800,641.	-159,119.
k			1,410.
l			638.
m			2,440.
n			-650.
o			49,320.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			62,790.
b			298,745.
c			71,793.
d			-425,885.
e			8.
f			-158,502.
g			23,324.
h			8,665.
i			10,846.
j			-159,119.
k			1,410.
l			638.
m			2,440.
n			-650.
o			49,320.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THROUGH TECHNOLOGY CROSSOVER VENTURES	P	Various	Various
b	THROUGH TECHNOLOGY CROSSOVER VENTURES	P	Various	Various
c	THROUGH WELLINGTON TRUST COMPANY, na	P	Various	Various
d	THROUGH WELLINGTON TRUST COMPANY, na	P	Various	Various
e	THROUGH BENSON TAX ADVANTAGED INVESTORS LLC	P	Various	Various
f	THROUGH BENSON TAX ADVANTAGED INVESTORS LLC	P	Various	Various
g	THROUGH OZ OVERSEAS FUND II	P	Various	Various
h	THROUGH PERRY PARTNERS INTERNATIONAL INC	P	Various	Various
i	THROUGH FLAG PRIVATE EQUITY III LP	P	Various	Various
j	THROUGH FLAG PRIVATE EQUITY III LP	P	Various	Various
k	THROUGH FLAG VENTURE PARTNERS VI LP	P	Various	Various
l	THROUGH FLAG VENTURE PARTNERS VI LP	P	Various	Various
m	THROUGH NEXT GENERATION PARTNERS V LP	P	Various	Various
n	THROUGH NEXT GENERATION PARTNERS V LP	P	Various	Various
o	THROUGH TIFF PARTNERS II LLC	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			473.
b			23,327.
c			63,642.
d			-10,127.
e			-114.
f			3,227.
g			175,477.
h			48,229.
i			864.
j			1,856.
k			1,376.
l			646.
m			290.
n			3,687.
o			9,384.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			473.
b			23,327.
c			63,642.
d			-10,127.
e			-114.
f			3,227.
g			175,477.
h			48,229.
i			864.
j			1,856.
k			1,376.
l			646.
m			290.
n			3,687.
o			9,384.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

2010 Tax Information Statement

Page 6 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

BOND ACCOUNT

2010 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
50000.0000	17275RAE2	CISCO SYS INC SR NT 4.95 DUE 02-15-2019 REG	02/10/2009	01/27/2010	51,557.00	50,609.50	947.50	0.00
25000.0000	263534BZ1	DU PONT E IDE 4.625% DUE 01-15-2020	11/04/2009	01/27/2010	24,985.00	24,896.25	88.75	0.00
50000.0000	459200GR6	INTL BUSINESS 2 1% DUE 05-06-2013	11/04/2009	01/27/2010	50,100.00	49,966.00	134.00	0.00
50000.0000	713448BN7	PEPSICO INC 4.5% DUE 01-15-2020	01/11/2010	01/27/2010	50,075.00	49,832.50	242.50	0.00
75000.0000	822582AJ1	SHELL INTL FIN B V 4.3% DUE 09-22-2019	09/15/2009	01/27/2010	74,505.00	74,740.50	-235.50	0.00
250000.0000	912828LZ1	UNITED STATES TREAS NTS USD 2 125% DUE 11-30-2014 REG	12/18/2009	01/27/2010	247,674.94	248,496.93	-821.99	0.00
50000.0000	912828LZ1	UNITED STATES TREAS NTS USD 2 125% DUE 11-30-2014 REG	12/18/2009	03/09/2010	149,272.94	149,098.16	174.78	0.00
60000.0000	912828LY4	UNITED STATES TREAS NTS DTD 11/15/2009 3.375% DUE 11-15-2019 REG	01/13/2010	03/23/2010	58,577.10	58,133.58	443.52	0.00
75000.0000	459200GR6	INTL BUSINESS 2 1% DUE 05-06-2013	11/04/2009	05/10/2010	75,850.50	74,949.00	901.50	0.00
90000.0000	912828LZ1	UNITED STATES TREAS NTS USD 2 125% DUE 11-30-2014 REG	12/18/2009	06/02/2010	90,762.59	89,458.89	1,303.70	0.00
100000.0000	912828MB3	UNITED STATES TREAS NTS NT 1 125% DUE 12-15-2012 REG	12/18/2009	06/15/2010	100,405.92	99,469.09	936.83	0.00
70000.0000	912828MZ0	UNITED STATES TREAS NTS NT 2 5% DUE 04-30-2015 REG	05/10/2010	06/17/2010	71,725.16	70,782.26	942.90	0.00
45000.0000	912828MZ0	UNITED STATES TREAS NTS NT 2.5% DUE 04-30-2015 REG	VARIOUS	07/08/2010	46,529.15	45,459.14	1,070.01	0.00

This is important tax information and is being furnished to you.

2010 Tax Information Statement

Page 7 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
60000.0000	9311142CS0	WAL-MART STORES 5.625% DUE 04-01-2040	03/24/2010	07/08/2010	64,365.00	59,493.00	4,872.00	0.00
75000.0000	822582AJ1	SHELL INTL FIN B V 4.3% DUE 09-22-2019	09/15/2009	07/20/2010	77,734.50	74,740.50	2,994.00	0.00
75000.0000	913017BS7	UTD TECHNOLOGIES NT 5 7 DUE 04-15-2040	02/23/2010	08/10/2010	84,015.00	74,829.00	9,186.00	0.00
35000.0000	912828MZ0	UNITED STATES TREAS NTS NT 2 5% DUE 04-30-2015 REG	05/12/2010	09/08/2010	36,829.18	35,352.85	1,476.33	0.00
5000.0000	912828NL0	US TREAS NTS 1.875 DUE 06-30-2015 06-30-2015 REG	07/08/2010	09/08/2010	5,110.53	5,014.67	95.86	0.00
25000.0000	912828NL0	US TREAS NTS 1.875 DUE 06-30-2015 06-30-2015 REG	07/08/2010	09/08/2010	25,556.56	25,073.32	483.24	0.00
45000.0000	912828NC0	UNITED STATES TREAS NTS DTD 05-17-2010 1.375 DUE 05-15-2013	05/18/2010	09/09/2010	45,775.04	45,153.08	621.96	0.00
60000.0000	912828LY4	UNITED STATES TREAS NTS DTD 11/15/2009 3.375% DUE 11-15-2019 REG	01/13/2010	09/13/2010	47,592.59	43,658.71	3,933.88	0.00
80000.0000	912828NC0	UNITED STATES TREAS NTS DTD 05-17-2010 1.375 DUE 05-15-2013	05/18/2010	09/16/2010	81,477.86	80,272.14	1,205.72	0.00
40000.0000	912828LY4	UNITED STATES TREAS NTS DTD 11/15/2009 3.375% DUE 11-15-2019 REG	01/13/2010	09/22/2010	43,054.53	38,810.41	4,244.12	0.00
75000.0000	912828KH2	US TREAS NTS DTD 00224 .875 DUE 03-31-2011 REG	09/22/2010	10/07/2010	75,251.70	75,258.06	-6.36	0.00
75000.0000	713448BN7	PEPSICO INC 4 5% DUE 01-15-2020	01/11/2010	10/19/2010	82,969.50	74,748.75	8,220.75	0.00
65000.0000	89233P4C7	TOYOTA MOTOR CREDIT CORP 4 5 DUE 06-17-2020	06/14/2010	10/25/2010	72,122.05	64,746.50	7,375.55	0.00

This is important tax information and is being furnished to you.

2010 Tax Information Statement

Recipient's Name and Address: Ref: TMA
THE ROSENTHAL FAMILY FOUNDATION
C/O ARNOLD PAPERNO

Account Number: 26-88559
Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
60000 0000	718172AH2	PHILIP MORRIS INTL 4 5% DUE 03-26-2020	03/23/2010	11/12/2010	64,929.61	59,230.20	5,699.41	0.00
50000 0000	2515A0U76	DEUTSCHE BK AG 3.45% DUE 03-30-2015	03/23/2010	11/22/2010	52,266.50	49,888.50	2,378.00	0.00
45000 0000	428236BC6	HEWLETT PACKARD CO 2 125% DUE 09-13-2015	09/08/2010	11/30/2010	45,064.34	44,949.15	115.19	0.00
40000 0000	91159HGY0	U S BANCORP MEDIUM TERM NTS-BOOK ENTRY BEO 1.375% DUE 09-13-2013/08-13-2013	09/08/2010	12/01/2010	39,972.01	39,948.40	23.61	0.00
75000 0000	931142CU5	WAL-MART STORES 3.625% DUE 07-08-2020	06/30/2010	12/01/2010	74,397.75	74,931.00	-533.25	0.00
70000 0000	912828NLO	US TREAS NTS 1 875 DUE 06-30-2015 06-30-2015 REG	07/08/2010	12/02/2010	71,098.99	70,205.31	893.68	0.00
70000 0000	912828NCO	UNITED STATES TREAS NTS DTD 05-17-2010 1 375 DUE 05-15-2013	05/18/2010	12/03/2010	71,276.72	70,238.13	1,038.59	0.00
30000 0000	912828MB3	UNITED STATES TREAS NTS NT 1.125% DUE 12-15-2012 REG	12/18/2009	12/06/2010	126,679.27	124,336.36	2,342.91	0.00
Total Short Term Sales						2,316,769.84	62,789.69	0.00
Long Term 15% Sales						61,705.08	3,655.20	0.00
60000 0000	31359MTG8	FNMA NT 4.625 10-15-2013	12/06/2007	01/06/2010	65,360.28	128,467.50	7,682.50	0.00
125000 0000	10138MAGO	BOTTLING GROUP LLC 5 5% DUE 04-01-2016 BEO 04-01-2016 5 5% DUE 04-01-2016 8EO	12/06/2007	01/11/2010	136,150.00	135,120.46	10,528.34	0.00
132000 0000	548661CM7	LOWES COS INC 5 6% DUE 09-15-2012	VARIOUS	01/13/2010	145,648.80			

7000000001

2010 Tax Information Statement

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Ref: TMA

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
81.8700	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	01/25/2010	81.87	82.69	-0.82	0.00
59.7800	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIAA-2 VAR RATE 04-25-2033 REG	04/25/2007	01/25/2010	59.78	59.81	-0.03	0.00
60000.0000	035229DC4	ANHEUSER BUSCH COS 6.45% DUE 09-01-2037 BEO	02/12/2008	01/27/2010	63,978.00	64,941.60	-963.60	0.00
155000.0000	22541LBH5	CREDIT SUISSE FIRST BOSTON USA INC NT 4 875% DUE 08-15-2010	VARIOUS	01/27/2010	158,503.00	156,555.60	1,947.40	0.00
50000.0000	24422EQR3	DEERE JOHN CAP CORP MEDIUM TERM NTS-BOOKENTRY SR NT 5 35% DUE 04-03-2018	03/31/2008	01/27/2010	53,375.00	49,847.00	3,528.00	0.00
500000.0000	31359MF40	FNMA PREASSIGN 00507 4.5 02-15-2011	VARIOUS	01/27/2010	520,321.00	502,163.30	18,157.70	0.00
125000.0000	341081EZ6	FL PWR & LT CO 5 55% DUE 11-01-2017 BEO	12/06/2007	01/27/2010	134,855.00	129,908.75	4,946.25	0.00
25000.0000	369604AY9	GENERAL ELEC CO 5% DUE 02-01-2013	01/31/2005	01/27/2010	26,658.00	25,814.50	843.50	0.00
50000.0000	38141GEU4	GOLDMAN SACHS GROUP INC FOR FUTURE EQUITY GOLDMAN SACHS GP 5 625 DUE 011517	VARIOUS	01/27/2010	51,044.50	50,029.10	1,015.40	0.00
50000.0000	45974VA99	INTL LEASE FIN CORP- MEDIUM TER TRANCHE # TR 00584 5 4 DUE 02-15-2012	VARIOUS	01/27/2010	42,500.00	50,751.74	-8,251.74	0.00
25000.0000	59018YN56	MERRILL LYNCH & CO INC MEDIUM TERM NTS BTRANCHE # TR 00676 6 15 DUE 04-25-2013	04/22/2008	01/27/2010	26,988.00	24,967.00	2,021.00	0.00

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2010 Tax Information Statement

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Ref: TMA

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
75000.0000	637432KT1	NATL RURAL UTILS 5.45% DUE 02-01-2018 TR BD 5 45 DUE 02-01-2018/01-23-2008 REG	01/15/2008	01/27/2010	79,113.75	74,832.75	4,281.00	0.00
100000.0000	74254PVP4	PRIN LIFE INC FUNDINGS TRS SECD TRANCHE # TR 00470 5.3 DUE 12-14-2012	12/07/2007	01/27/2010	107,170.00	99,922.00	7,248.00	0.00
75000.0000	742718DL0	PROCTER & GAMBLE CO NT 4 6% DUE 01-15-2014/12-18-2008	12/15/2008	01/27/2010	80,716.50	74,983.50	5,733.00	0.00
30000.0000	74432QAC9	PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKENTRY BD 5.75% DUE 07-15-2033 REG	12/16/2004	01/27/2010	28,270.80	30,082.20	-1,811.40	0.00
250000.0000	912810EX2	UNITED STATES TREAS BDS DTD 08/15/1996 6.75% DUE 08-15-2026 REG	VARIOUS	01/27/2010	322,655.25	319,000.93	3,654.32	0.00
56000.0000	913017BM0	UTD TECHNOLOGIES 5 375% DUE 12-15-2017 CORP 5 375 DUE 12-15-2017/12-07-2007 BEO	12/04/2007	01/27/2010	60,322.64	55,891.92	4,430.72	0.00
3 7000	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	02/16/2010	3 70	3 87	-0 17	0.00
6 2300	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	02/16/2010	6.23	6.30	-0.07	0.00
5.3100	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	02/16/2010	5.31	5 93	-0 62	0.00
99 3300	36225A6A3	GNMA POOL #780865 SER 2017 9 5% DUE 11-15-2017 REG	02/15/2001	02/16/2010	99 33	107 71	-8 38	0 00
85 2000	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	02/16/2010	85.20	90 26	-5.06	0 00

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2010 Tax Information Statement

Page 11 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
352 7100	362225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	02/16/2010	352.71	372.99	-20.28	0.00
190 0200	362225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	02/16/2010	190.02	205.64	-15.62	0.00
125000.0000	14912L2W0	CATERPILLAR FINL 5 05% DUE 12-01-2010 N# TR 00789 5 05 DUE 12-01-2010 REG	VARIOUS	02/19/2010	129,226.25	125,713.72	3,512.53	0.00
200000 0000	31359MTG8	FNMA NT 4.625 10-15-2013	VARIOUS	02/19/2010	218,021.60	204,490.64	13,530.96	0.00
125000.0000	341081EZ6	FL PWR & LT CO 5.55% DUE 11-01-2017 BEO	VARIOUS	02/19/2010	135,066.25	126,679.55	8,386.70	0.00
350000 0000	912828HA1	UNITED STATES TREAS NTS 4 75 DUE 08-15-2017 BEO	VARIOUS	02/19/2010	382,346.26	354,148.28	28,197.98	0.00
34 3400	36202DM32	GNMAII POOL #003078 SER 2031 5 5% DUE 05-20-2031 REG	04/25/2007	02/22/2010	34.34	34.20	0.14	0.00
82.7800	36202KHJ7	GNMAII POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	02/22/2010	82.78	83.71	-0.93	0.00
13.0500	36225CKZ8	GNMAII POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	02/22/2010	13.05	12.86	0.19	0.00
75000.0000	913017BM0	UTD TECHNOLOGIES 5 375% DUE 12-15-2017 CORP 5 375 DUE 12-15-2017/12-07-2007 BEO	VARIOUS	02/23/2010	81,112.50	74,826.75	6,285.75	0.00
5 2200	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	02/25/2010	5.22	5.27	-0.05	0.00
52.7300	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	02/25/2010	52.73	52.75	-0.02	0.00

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2010 Tax Information Statement

Page 12 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
39.7600	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	02/25/2010	39.76	41.04	-1.28	0.00
204.4000	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	02/25/2010	204.40	211.12	-6.72	0.00
64.4100	31387YYV3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	02/25/2010	64.41	65.60	-1.19	0.00
510.9800	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	02/25/2010	510.98	506.17	4.81	0.00
3.7300	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	03/15/2010	3.73	3.90	-0.17	0.00
6.8300	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	03/15/2010	6.83	6.91	-0.08	0.00
5.3500	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	03/15/2010	5.35	5.98	-0.63	0.00
59.8800	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	03/15/2010	59.88	64.93	-5.05	0.00
100.8600	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	03/15/2010	100.86	106.85	-5.99	0.00
280.0000	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	03/15/2010	280.00	296.10	-16.10	0.00
138.1900	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	03/15/2010	138.19	149.55	-11.36	0.00
36.4700	36202DM32	GNMAII POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	03/22/2010	36.47	36.32	0.15	0.00
82.5400	36202KHJ7	GNMAII POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	03/22/2010	82.54	83.47	-0.93	0.00

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2010 Tax Information Statement

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
46.9500	36225CKZ8	GNMFI POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	03/22/2010	46.95	46.28	0.67	0.00
146000 0000	912828FW5	UNITED STATES TREAS NTS DTD 00141 4.625%DUE 10-31-2011 REG	11/02/2006	03/24/2010	154,788.03	146,490.47	8,297.56	0.00
120 6400	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU 1-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	03/25/2010	120.64	121.85	-1.21	0.00
23 5100	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	03/25/2010	23.51	23.52	-0.01	0.00
22 8300	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	03/25/2010	22.83	23.57	-0.74	0.00
125.1700	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	03/25/2010	125.17	129.29	-4.12	0.00
138 9400	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	03/25/2010	138.94	141.51	-2.57	0.00
858 5800	31401COZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	03/25/2010	858.58	850.50	8.08	0.00
3 7600	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	04/15/2010	3.76	3.93	-0.17	0.00
6.3200	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	04/15/2010	6.32	6.39	-0.07	0.00
5 4100	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	04/15/2010	5.41	6.04	-0.63	0.00
58.1400	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	04/15/2010	58.14	63.05	-4.91	0.00

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THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
117 9800	36225ACX6	GNMA POOL #780086 SER 2017 8 5% DUE 11-15-2017 REG	03/07/2001	04/15/2010	117 98	124 99	-7.01	0.00
358 9800	36225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	04/15/2010	358 98	379.62	-20 64	0 00
186 0700	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	04/15/2010	186 07	201 36	-15.29	0 00
38.0800	36202DM32	GNMAII POOL #003078 SER 2031 5 5% DUE 05-20-2031 REG	04/25/2007	04/20/2010	38 08	37.92	0 16	0 00
84.5800	36202KHJ7	GNMAII POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	04/20/2010	84.58	85.53	-0 95	0 00
12.8200	36225CKZ8	GNMAII POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	04/20/2010	12.82	12.64	0.18	0 00
5.7600	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASS THRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	04/26/2010	5 76	5 82	-0.06	0.00
31 5600	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	04/26/2010	31 56	31.57	-0.01	0 00
105 7600	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	04/26/2010	105 76	109 17	-3.41	0 00
352.2800	31385HXT4	FNMA POOL #545290 7 5% DUE 10-01-2016 REG	04/25/2007	04/26/2010	352.28	363.87	-11 59	0 00
63.8900	31387YYV3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	04/26/2010	63.89	65.07	-1.18	0 00
2110 0000	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	04/26/2010	2,110.00	2,090 15	19.85	0 00

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2010 Tax Information Statement

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100000.0000	22541LBH5	CREDIT SUISSE FIRST BOSTON USA INC NT 4.875% DUE 08-15-2010	VARIOUS	05/05/2010	101,056.00	99,863.08	1,192.92	0.00
75000.0000	369604AY9	GENERAL ELEC CO 5% DUE 02-01-2013	VARIOUS	05/12/2010	80,388.00	75,138.66	5,249.34	0.00
3.7900	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	05/17/2010	3.79	3.96	-0.17	0.00
6.3700	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	05/17/2010	6.37	6.44	-0.07	0.00
5.4500	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	05/17/2010	5.45	6.09	-0.64	0.00
58.2600	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	05/17/2010	58.26	63.18	-4.92	0.00
74.2700	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	05/17/2010	74.27	78.68	-4.41	0.00
128.8200	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	05/17/2010	128.82	136.23	-7.41	0.00
139.1000	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	05/17/2010	139.10	150.53	-11.43	0.00
300000.0000	912828GR5	UNITED STATES TREAS NTS DTD 00160 4.5% DUE 05-15-2010 REG	02/25/2008	05/17/2010	300,000.00	315,667.97	-15,667.97	0.00
200000.0000	912810EX2	UNITED STATES TREAS BDS DTD 08/15/1996 6.75% DUE 08-15-2026 REG	VARIOUS	05/18/2010	266,585.14	250,990.11	15,595.03	0.00
38.0600	36202DM32	GNMA POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	05/20/2010	38.06	37.90	0.16	0.00
86.5200	36202KHJ7	GNMA POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	05/20/2010	86.52	87.49	-0.97	0.00

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2010 Tax Information Statement

Page 16 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
45.1700	36225CKZ8	GNMAII POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	05/20/2010	45.17	44.52	0.65	0.00
5.8000	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	01/21/2004	05/25/2010	5.80	5.86	-0.06	0.00
58.5600	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	05/25/2010	58.56	58.59	-0.03	0.00
60.7500	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	05/25/2010	60.75	62.71	-1.96	0.00
147.6200	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	05/25/2010	147.62	152.48	-4.86	0.00
240.1800	31387YYV3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	05/25/2010	240.18	244.63	-4.45	0.00
893.5300	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	05/25/2010	893.53	885.12	8.41	0.00
130.0000	0000 912828FW5	UNITED STATES TREAS NTS DTD 00141 4 625%DUE 10-31-2011 REG	11/02/2006	05/27/2010	137,357.77	130,436.72	6,921.05	0.00
3.8200	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	06/15/2010	3.82	3.99	-0.17	0.00
6.9600	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	06/15/2010	6.96	7.04	-0.08	0.00
5.5000	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	06/15/2010	5.50	6.14	-0.64	0.00
169.1400	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	06/15/2010	169.14	183.41	-14.27	0.00

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2010 Tax Information Statement

Page 17 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

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92.1400	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	06/15/2010	92.14	97.61	-5.47	0.00
139.0300	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	06/15/2010	139.03	147.03	-8.00	0.00
138.3800	36225BEF6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	06/15/2010	138.38	149.75	-11.37	0.00
65000.0000	912828HA1	UNITED STATES TREAS NTS 4.75 DUE 08-15-2017 BEQ	08/27/2007	06/15/2010	73,825.52	65,761.71	8,063.81	0.00
35.5000	36202DM32	GNMA POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	06/21/2010	35.50	35.35	0.15	0.00
381.0300	36202KHJ7	GNMA POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	06/21/2010	381.03	385.32	-4.29	0.00
67.7100	36225CKZ8	GNMA POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	06/21/2010	67.71	66.74	0.97	0.00
7.5900	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	06/25/2010	7.59	7.67	-0.08	0.00
86.5400	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIAA-2 VAR RATE 04-25-2033 REG	04/25/2007	06/25/2010	86.54	86.58	-0.04	0.00
46.6000	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	06/25/2010	46.60	48.10	-1.50	0.00
120.8800	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	06/25/2010	120.88	124.86	-3.98	0.00
205.8600	31387YYV3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	06/25/2010	205.86	209.67	-3.81	0.00

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2010 Tax Information Statement

Page 18 of 37
 Recipient's Name and Address: Ref: TMA
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
517.0300	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	06/25/2010	517.03	512.16	4.87	0.00
100000.0000	742718DL0	PROCTER & GAMBLE CO NT 4 6% DUE 01-15-2014/12-18-2008	12/15/2008	06/28/2010	109,197.10	99,978.00	9,219.10	0.00
52000.0000	58013MEB6	MCDONALDS CORP 5.8% DUE 10-15-2017 TRANCHE # TR 00098 5 8 10-15-2017 BEO	VARIOUS	07/08/2010	60,447.92	53,447.88	7,000.04	0.00
120000.0000	92857WAF7	VODAFONE GROUP PLC 5% DUE 12-16-2013/12-15-2013 BEO	VARIOUS	07/08/2010	129,426.00	120,237.54	9,188.46	0.00
3.8500	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	07/15/2010	3.85	4.02	-0.17	0.00
6.4600	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	07/15/2010	6.46	6.53	-0.07	0.00
5.5400	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	07/15/2010	5.54	6.19	-0.65	0.00
59.4100	36225A6A3	GNMA POOL #780865 SER 2017 9 5% DUE 11-15-2017 REG	02/15/2001	07/15/2010	59.41	64.42	-5.01	0.00
81.9000	36225ACX6	GNMA POOL #780086 SER 2017 8 5% DUE 11-15-2017 REG	03/07/2001	07/15/2010	81.90	86.76	-4.86	0.00
113.5500	36225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	07/15/2010	113.55	120.08	-6.53	0.00
193.6000	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	07/15/2010	193.60	209.51	-15.91	0.00
36.1800	36202DM32	GNMAII POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	07/20/2010	36.18	36.03	0.15	0.00

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2010 Tax Information Statement

Page 19 of 37
 Recipient's Name and Address: Ref: TMA
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
83 2400	36202KHJ7	GNMAIL POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	07/20/2010	83.24	84.18	-0.94	0.00
72.3500	36225CKZ8	GNMAIL POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	07/20/2010	72.35	71.31	1.04	0.00
64 3400	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIIA-2 VAR RATE 04-25-2033 REG	04/25/2007	07/26/2010	64.34	64.37	-0.03	0.00
60.9700	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	07/26/2010	60.97	62.94	-1.97	0.00
173.6300	31385HXT4	FNMA POOL #545290 7 5% DUE 10-01-2016 REG	04/25/2007	07/26/2010	173.63	179.34	-5.71	0.00
100 0900	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	07/26/2010	100.09	101.94	-1.85	0.00
26 1200	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	07/26/2010	26.12	25.87	0.25	0.00
3 8800	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	08/16/2010	3.88	4.05	-0.17	0.00
6 5100	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	08/16/2010	6.51	6.58	-0.07	0.00
5.5900	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	08/16/2010	5.59	6.24	-0.65	0.00
127.2700	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	08/16/2010	127.27	138.01	-10.74	0.00
98.4100	36225ACX6	GNMA POOL #780886 SER 2017 8 5% DUE 11-15-2017 REG	03/07/2001	08/16/2010	98.41	104.25	-5.84	0.00
179 5200	36225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	08/16/2010	179.52	189.84	-10.32	0.00

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2010 Tax Information Statement

Page 20 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
125.0800	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	08/16/2010	125.08	135.36	-10.28	0.00
80000.0000	74367FAH1	PROTECTIVE LIFE SECD TRS SECD MEDIUM TERM TN 4.85 DUE 08-16-2010 BEO	VARIOUS	08/16/2010	80,000.00	80,005.50	-5.50	0.00
35.7600	36202DM32	GNMAI POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	08/20/2010	35.76	35.61	0.15	0.00
84.1200	36202KHJ7	GNMAI POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	08/20/2010	84.12	85.07	-0.95	0.00
13.0600	36225CKZ8	GNMAI POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	08/20/2010	13.06	12.87	0.19	0.00
102.2600	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASS THRU 1-1-A-3 VAR DUE 04-25-2034 REG	01/21/2004	08/25/2010	102.26	103.28	-1.02	0.00
114.9100	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	08/25/2010	114.91	114.96	-0.05	0.00
20.3000	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	08/25/2010	20.30	20.96	-0.66	0.00
158.1400	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	08/25/2010	158.14	163.34	-5.20	0.00
126.3400	31387YYV3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	08/25/2010	126.34	128.68	-2.34	0.00
31.0200	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	08/25/2010	31.02	30.73	0.29	0.00
50000.0000	912810EX2	UNITED STATES TREAS BDS DTD 08/15/1996 6.75% DUE 08-15-2026 REG	04/25/2007	08/27/2010	72,236.13	61,546.90	10,689.23	0.00

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2010 Tax Information Statement

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Ref: TMA

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100000 0000	912828LV0	UNITED STATES TREAS NTS DTD 00260 1% DUE 08-31-2011 REG	VARIOUS	09/09/2010	100,687.17	100,134.12	553.05	0.00
50000 0000	441812KD5	HSBC FINANCE CORP 4 75% DUE 07-15-2013 BEO	12/16/2004	09/14/2010	53,215.00	49,807.00	3,408.00	0.00
100000 0000	74254PVP4	PRIN LIFE INC FUNDINGS TRS SECD TRANCHE # TR 00470 5 3 DUE 12-14-2012	12/07/2007	09/14/2010	108,450.00	99,922.00	8,528.00	0.00
3 9100	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	09/15/2010	3.91	4.09	-0.18	0.00
6.5600	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	09/15/2010	6.56	6.63	-0.07	0.00
5.6400	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	09/15/2010	5.64	6.30	-0.66	0.00
58.5600	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	09/15/2010	58.56	63.50	-4.94	0.00
79.1300	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	09/15/2010	79.13	83.83	-4.70	0.00
182.7100	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	09/15/2010	182.71	193.22	-10.51	0.00
123 0300	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	09/15/2010	123.03	133.14	-10.11	0.00
75000 0000	670346AGO	NUCOR CORP 5.75% DUE 12-01-2017 12-01-2017/12-03-2007 BEO	10/29/2008	09/16/2010	87,153.00	66,483.50	20,669.50	0.00
35 3100	36202DM32	GNMA II POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	09/20/2010	35.31	35.16	0.15	0.00

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2010 Tax Information Statement

Page 22 of 37
 Recipient's Name and Address: Ref: TMA
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
356 7300	36202KHJ7	GNMAI POOL #0083333 SER 2018 ADJ RT 03-20-2018	04/25/2007	09/20/2010	356 73	360 75	-4.02	0 00
88.8100	36225CKZ8	GNMAI POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	09/20/2010	88.81	87.53	1 28	0 00
120000 0000	912810EX2	UNITED STATES TREAS BDS DTD 08/15/1996 6 75% DUE 08-15-2026 REG	04/25/2007	09/23/2010	173,676.08	147,712.56	25,963 52	0 00
5.6500	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	01/21/2004	09/27/2010	5 65	5 71	-0.06	0 00
29.0400	31371HYB8	FNMA POOL #252806 7 5% DUE 10-01-2029 REG	01/03/2002	09/27/2010	29 04	29.98	-0.94	0.00
166 4500	31385HX74	FNMA POOL #545290 7 5% DUE 10-01-2016 REG	04/25/2007	09/27/2010	166.45	171.93	-5.48	0 00
61.1400	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	09/27/2010	61.14	62 27	-1.13	0.00
945 8300	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	09/27/2010	945 83	936.93	8 90	0 00
3 9400	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	10/15/2010	3 94	4 12	-0.18	0 00
6 6000	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	10/15/2010	6 60	6 67	-0.07	0 00
5 6900	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	10/15/2010	5.69	6 36	-0.67	0.00
57.9400	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	10/15/2010	57.94	62.83	-4.89	0 00

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2010 Tax Information Statement

Page 23 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
114.6100	36225ACX6	GNMA POOL #780086 SER 2017 8 5% DUE 11-15-2017 REG	03/07/2001	10/15/2010	114.61	121.42	-6.81	0.00
150.3000	36225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	10/15/2010	150.30	158.94	-8.64	0.00
232.4900	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	10/15/2010	232.49	251.60	-19.11	0.00
75000.0000	45974VA99	INTL LEASE FIN CORP. MEDIUM TER TRANCHE # TR 00584 5 4 DUE 02-15-2012	11/06/2006	10/19/2010	75,375.00	74,864.25	510.75	0.00
655.0500	36202DM32	GNMA POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	10/20/2010	655.05	652.29	2.76	0.00
79.1400	36202KHJ7	GNMA POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	10/20/2010	79.14	80.03	-0.89	0.00
67.9900	36225CKZ8	GNMA POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	10/20/2010	67.99	67.01	0.98	0.00
212.4400	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	10/25/2010	212.44	214.56	-2.12	0.00
4.8500	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIAA-2 VAR RATE 04-25-2033 REG	04/25/2007	10/25/2010	4.85	4.85	0.00	0.00
84.3700	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	10/25/2010	84.37	87.09	-2.72	0.00
108.0000	31385HXT4	FNMA POOL #545290 7 5% DUE 10-01-2016 REG	04/25/2007	10/25/2010	108.00	111.55	-3.55	0.00
62.7100	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	10/25/2010	62.71	63.87	-1.16	0.00

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2010 Tax Information Statement

Page 24 of 37
 Recipient's Name and Address: Ref: TMA
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
983.9100	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	10/25/2010	983.91	974.65	9.26	0.00
100000.0000	912828LV0	UNITED STATES TREAS NTS DTD 00260 1% DUE08-31-2011 REG	08/26/2009	10/25/2010	100,667.63	99,890.96	776.67	0.00
31000.0000	713448BJ6	PEPSICO INC 7.9% DUE 11-01-2018 11-01-2018/10-24-2008 BEO	10/22/2008	11/02/2010	41,640.44	32,503.19	9,137.25	0.00
9000.0000	713448BJ6	PEPSICO INC 7.9% DUE 11-01-2018 11-01-2018/10-24-2008 BEO	10/22/2008	11/04/2010	12,137.77	9,436.41	2,701.36	0.00
3.9700	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	11/15/2010	3.97	4.15	-0.18	0.00
6.6500	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	11/15/2010	6.65	6.72	-0.07	0.00
5.7400	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	11/15/2010	5.74	6.41	-0.67	0.00
89.3800	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	11/15/2010	89.38	96.92	-7.54	0.00
115.7300	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	11/15/2010	115.73	122.60	-6.87	0.00
114.2500	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	11/15/2010	114.25	120.82	-6.57	0.00
131.2400	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	11/15/2010	131.24	142.03	-10.79	0.00
60000.0000	035229DC4	ANHEUSER BUSCH COS 6.45% DUE 09-01-2037 6.45% DUE 09-01-2037 BEQ	02/12/2008	11/19/2010	68,578.20	64,941.60	3,636.60	0.00
36.9900	36202DM32	GNMAII POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	11/22/2010	36.99	36.83	0.16	0.00

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2010 Tax Information Statement

Page 25 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
79.8700	36202KHJ7	GNMAIL POOL #0083333 SER 2018 ADJ RT 03-20-2018	04/25/2007	11/22/2010	79.87	80.77	-0.90	0.00
13.6900	36225CKZ8	GNMAIL POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	11/22/2010	13.69	13.49	0.20	0.00
4.9600	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	11/26/2010	4.96	5.01	-0.05	0.00
6.8500	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIA-2 VAR RATE 04-25-2033 REG	04/25/2007	11/26/2010	6.85	6.85	0.00	0.00
39.0100	31371HYB8	FNMA POOL #252806 7 5% DUE 10-01-2029 REG	01/03/2002	11/26/2010	39.01	40.27	-1.26	0.00
105.1700	31385HXT4	FNMA POOL #545290 7 5% DUE 10-01-2016 REG	04/25/2007	11/26/2010	105.17	108.63	-3.46	0.00
101.6700	31387YY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	11/26/2010	101.67	103.55	-1.88	0.00
18.0100	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	11/26/2010	18.01	17.84	0.17	0.00
75000.0000	637432KT1	NATL RURAL UTILS 5.45% DUE 02-01-2018 TR BD 5.45 DUE 02-01-2018/01-23-2008 REG	01/15/2008	12/01/2010	84,179.25	74,832.75	9,346.50	0.00
200000.0000	912828LV0	UNITED STATES TREAS NTS DTD 00260 1% DUE 08-31-2011 REG	08/26/2009	12/08/2010	201,100.89	199,781.92	1,318.97	0.00
4.0000	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	12/15/2010	4.00	4.18	-0.18	0.00
7.2500	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	12/15/2010	7.25	7.33	-0.08	0.00

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2010 Tax Information Statement

Page 26 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5 7900	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	12/15/2010	5 79	6 47	-0.68	0 00
59.2500	36225A6A3	GNMA POOL #780865 SER 2017 9.5% DUE 11-15-2017 REG	02/15/2001	12/15/2010	59.25	64 25	-5.00	0 00
88.2000	36225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	12/15/2010	88.20	93.44	-5 24	0.00
136 6300	36225ATD2	GNMA POOL #780548 SER 2017 8.5% DUE 12-15-2017 REG	02/15/2001	12/15/2010	136.63	144.49	-7 86	0 00
204.8900	36225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	12/15/2010	204.89	221.73	-16.84	0 00
75000 0000	263534BZ1	DU PONT E I DE 4 625% DUE 01-15-2020	11/04/2009	12/20/2010	78,405 00	74,688 75	3,716.25	0 00
34.5000	36202DM32	GNMA POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	12/20/2010	34.50	34 35	0 15	0 00
82.6300	36202KHJ7	GNMA POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	12/20/2010	82.63	83.56	-0.93	0.00
14.1200	36225CKZ8	GNMA POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	12/20/2010	14.12	13 92	0 20	0 00
75000.0000	68389XAH8	ORACLE CORP 6.125% DUE 07-08-2039	07/07/2009	12/20/2010	82,215 00	75,846 75	6,368.25	0 00
75000.0000	92343VAM6	VERIZON 6 1% DUE 04-15-2018 04-15-2018 BEO	04/03/2008	12/20/2010	84,742.50	76,443.00	8,299 50	0 00
4 8400	07384MG22	CMO BEAR STEARNS ARM TR 2004-1 MTG PASSTHRU I-1-A-3 VAR DUE 04-25-2034 REG	07/01/2004	12/27/2010	4.84	4.89	-0.05	0 00
47.5500	161546FM3	CHASE FDG MTG LN 2003-3 ASSET BKD CTF CLIAA-2 VAR RATE 04-25-2033 REG	04/25/2007	12/27/2010	47.55	47 57	-0.02	0.00

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2010 Tax Information Statement

Page 27 of 37
 Recipient's Name and Address:
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
31.9500	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	12/27/2010	31.95	32.98	-1.03	0.00
128.7900	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	12/27/2010	128.79	133.03	-4.24	0.00
61.1500	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	12/27/2010	61.15	62.28	-1.13	0.00
18.0000	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	12/27/2010	18.00	17.83	0.17	0.00
16.6900	31371HYB8	FNMA POOL #252806 7.5% DUE 10-01-2029 REG	01/03/2002	12/31/2010	16.69	17.23	-0.54	0.00
102.2600	31385HXT4	FNMA POOL #545290 7.5% DUE 10-01-2016 REG	04/25/2007	12/31/2010	102.26	105.62	-3.36	0.00
120.3900	31387YYY3	FNMA POOL #598427 6% DUE 02-01-2017 REG	04/25/2007	12/31/2010	120.39	122.62	-2.23	0.00
1018.8600	31401CQZ9	FNMA POOL #704372 ADJ RT DUE 05-01-2033 REG	04/25/2007	12/31/2010	1,018.86	1,009.27	9.59	0.00
1370.5200	36202DM32	GNMAI POOL #003078 SER 2031 5.5% DUE 05-20-2031 REG	04/25/2007	12/31/2010	1,370.52	1,364.75	5.77	0.00
240.7200	36202KHJ7	GNMAI POOL #008333 SER 2018 ADJ RT 03-20-2018	04/25/2007	12/31/2010	240.72	243.43	-2.71	0.00
4.0400	36207K6H8	GNMA POOL #434772 SER 2030 9% DUE 06-15-2030 REG	02/27/2001	12/31/2010	4.04	4.22	-0.18	0.00
6.7500	36211STW7	GNMA POOL #521765 SER 2030 8% DUE 01-15-2030 REG	01/21/2000	12/31/2010	6.75	6.82	-0.07	0.00
5.8400	36220PSF0	GNMA POOL #284118 10% 06-15-2020 BEO	04/25/2007	12/31/2010	5.84	6.52	-0.68	0.00

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2010 Tax Information Statement

Page 28 of 37
 Recipient's Name and Address: Ref: TMA
 THE ROSENTHAL FAMILY FOUNDATION
 C/O ARNOLD PAPERNO

Account Number: 26-88559
 Recipient's Tax ID Number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
95 6400	362225A6A3	GNMA POOL #780865 SER 2017 9 5% DUE 11-15-2017 REG	02/15/2001	12/31/2010	95.64	103 71	-8 07	0.00
104 0000	362225ACX6	GNMA POOL #780086 SER 2017 8.5% DUE 11-15-2017 REG	03/07/2001	12/31/2010	104.00	110 18	-6 18	0.00
131 7400	362225ATD2	GNMA POOL #780548 SER 2017 8 5% DUE 12-15-2017 REG	02/15/2001	12/31/2010	131 74	139 32	-7 58	0 00
138 0500	362225BFZ6	GNMA POOL #781084 SER 2017 9% DUE 12-15-2017 REG	05/02/2001	12/31/2010	138 05	149 40	-11 35	0 00
14 2000	362225CKZ8	GNMA II POOL #080311 SER 2029 ADJ RT 08-20-2029	08/23/1999	12/31/2010	14 20	14.00	0.20	0 00
Total Long Term 15% Sales						5,990,897.91	298,744.93	0.00

7/24/19



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

BRANDES

ACCOUNT

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 1 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
Capital Change Receipts/Disbursements												
Rights/Warrants												
01/19/2010		UNICREDIT SPA EURO 50		-2,991 00		450 78	0 00	0 00	450 78	0 00	0 00	450 78
01/19/2010		(SUB RIGHTS 29/01/2010)		40 84								
7/23/2008				-2,991 00		450 78	0 00	0 00	450 78	0 00	0 00	450 78
		Rights/Warrants				450 78	0 00	0 00	450 78	0 00	0 00	450 78
		Total Capital Change Receipts/Disbursements				450 78	0 00	0 00	450 78	0 00	0 00	450 78
Foreign Exchange Buy												
Cash												
01/12/2010		Foreign Exchange JPY		0 00		4,390 42	4,399 11	0 00	0 00	-8 69	-8 69	0 00
01/15/2010				11 69								
01/19/2010		Foreign Exchange USD		0 00		4,452 87	4,452 87	0 00	0 00	0 00	0 00	0 00
01/22/2010				16 85								
01/19/2010		Foreign Exchange USD		0 00		9,473 73	9,473 73	0 00	0 00	0 00	0 00	0 00
01/22/2010				20 25								
01/19/2010		Foreign Exchange USD		0 00		25,374 53	25,374 53	0 00	0 00	0 00	0 00	0 00
01/22/2010				11 73								
9/2010		Foreign Exchange USD		0 00		9,258 42	9,258 42	0 00	0 00	0 00	0 00	0 00
11/22/2010				5 34								
01/19/2010		Foreign Exchange USD		0 00		6,289 09	6,289 09	0 00	0 00	0 00	0 00	0 00
01/22/2010				20 49								
01/19/2010		Foreign Exchange USD		0 00		21,606 24	21,606 24	0 00	0 00	0 00	0 00	0 00
01/22/2010				4 44								
01/19/2010		Foreign Exchange USD		0 00		29,924 16	29,924 16	0 00	0 00	0 00	0 00	0 00
01/21/2010				8 61								
01/19/2010		Foreign Exchange USD		0 00		23,956 17	23,956 17	0 00	0 00	0 00	0 00	0 00
01/22/2010				49 07								

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 2 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	21.57	13,391.49	13,391.49	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	13.44	16,833.71	16,833.71	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	61.08	23,634.16	23,634.16	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	17.34	23,416.13	23,416.13	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	8.61	55,417.75	55,417.75	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	17.97	39,526.26	39,526.26	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	25.51	12,520.30	12,520.30	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	11.97	13,561.55	13,561.55	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	49.07	77,969.29	77,969.29	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	5.34	11,018.35	11,018.35	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	17.34	449.52	449.52	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/22/2010	Foreign Exchange USD		0.00	13.58	41,797.43	41,797.43	0.00	0.00	0.00	0.00	0.00
01/20/2010	01/22/2010	Foreign Exchange USD		0.00	15.87	441.40	441.40	0.00	0.00	0.00	0.00	0.00
Cash						464,702.97	464,711.66	0.00	0.00	-8.69	-8.69	0.00

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Northern Trust

Capital Gains

01 JAN 10 - 31 DEC 10

Account Number
Account NameRFD01
ROSENTHAL FDN -
BRANDES

Page 3 of 35

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
Total Foreign Exchange Buy												
						484,702.97	464,711.66	0.00	0.00	-8.69	-8.69	0.00
Foreign Exchange Sale												
Cash												
01/19/2010	Foreign Exchange EUR			0.00	0.00	-9,319.24	-9,473.73	0.00	0.00	154.49	154.49	0.00
01/22/2010				20.25								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-9,107.44	-9,258.42	0.00	0.00	150.98	150.98	0.00
01/22/2010				5.34								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-29,562.88	-29,924.16	0.00	0.00	361.28	361.28	0.00
01/21/2010				8.61								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-16,630.47	-16,833.71	0.00	0.00	203.24	203.24	0.00
01/21/2010				13.44								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-12,316.13	-12,520.30	0.00	0.00	204.17	204.17	0.00
01/22/2010				25.51								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-76,697.81	-77,969.29	0.00	0.00	1,271.48	1,271.48	0.00
01/22/2010				49.07								
01/19/2010	Foreign Exchange EUR			0.00	0.00	-41,115.82	-41,797.43	0.00	0.00	681.61	681.61	0.00
01/22/2010				13.58								
01/20/2010	Foreign Exchange EUR			0.00	0.00	-440.46	-441.40	0.00	0.00	0.94	0.94	0.00
01/22/2010				15.87								
01/19/2010	Foreign Exchange GBP			0.00	0.00	-25,099.86	-25,374.53	0.00	0.00	274.67	274.67	0.00
01/22/2010				11.73								
01/19/2010	Foreign Exchange GBP			0.00	0.00	-6,221.02	-6,289.09	0.00	0.00	68.07	68.07	0.00
01/22/2010				20.49								
01/19/2010	Foreign Exchange GBP			0.00	0.00	-23,696.86	-23,956.17	0.00	0.00	259.31	259.31	0.00
01/22/2010				49.07								
01/19/2010	Foreign Exchange GBP			0.00	0.00	-54,817.89	-55,417.75	0.00	0.00	599.86	599.86	0.00
01/22/2010				8.61								

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Northern Trust

Capital Gains

01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 4 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	Foreign Exchange GBP		0.00	0.00	-13,414.75	-13,561.55	0.00	0.00	146.80	146.80	0.00
01/22/2010			11.97								
01/19/2010	Foreign Exchange GBP		0.00	0.00	-10,899.09	-11,018.35	0.00	0.00	119.26	119.26	0.00
01/22/2010			5.34								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-4,450.43	-4,452.87	0.00	0.00	2.44	2.44	0.00
01/22/2010			16.85								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-21,594.40	-21,606.24	0.00	0.00	11.84	11.84	0.00
01/22/2010			4.44								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-13,384.15	-13,381.49	0.00	0.00	7.34	7.34	0.00
01/22/2010			21.57								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-23,821.21	-23,634.16	0.00	0.00	12.95	12.95	0.00
01/22/2010			61.08								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-23,403.29	-23,416.13	0.00	0.00	12.84	12.84	0.00
01/22/2010			17.34								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-39,504.59	-39,526.26	0.00	0.00	21.67	21.67	0.00
01/22/2010			17.97								
01/19/2010	Foreign Exchange JPY		0.00	0.00	-449.28	-449.52	0.00	0.00	0.24	0.24	0.00
01/22/2010			17.34								
01/12/2010	Foreign Exchange USD		0.00	0.00	-4,399.11	-4,399.11	0.00	0.00	0.00	0.00	0.00
01/15/2010			11.69								
Cash					-460,146.18	-464,711.66	0.00	0.00	4,565.48	4,565.48	0.00
Total Foreign Exchange Sale					-460,146.18	-464,711.66	0.00	0.00	4,565.48	4,565.48	0.00
Gain/Loss on Cash Disbursements											
Cash											
01/21/2010	CURRENCY EURO		-11,781.71		16,630.47	-16,947.40	0.00	0.00	-316.93	-316.93	0.00
01/21/2010			15.87								
01/21/2010	CURRENCY EURO		-20,943.56		29,562.88	-30,126.27	0.00	0.00	-563.39	-563.39	0.00
01/21/2010			52.70								

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 5 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/22/2010	CURRENCY EURO		-6,630.55	25.51	9,319.24	-9,537.72	0.00	0.00	-218.48	-218.48	0.00
01/22/2010	CURRENCY EURO		-8,762.81	20.51	12,316.13	-12,604.87	0.00	0.00	-288.74	-288.74	0.00
01/22/2010	CURRENCY EURO		-6,479.86	28.71	9,107.44	-9,320.95	0.00	0.00	-213.51	-213.51	0.00
01/22/2010	CURRENCY EURO		-54,569.77	49.07	76,697.81	-78,495.89	0.00	0.00	-1,798.08	-1,798.08	0.00
01/22/2010	CURRENCY EURO		-29,253.52	13.58	41,115.82	-42,079.73	0.00	0.00	-963.91	-963.91	0.00
01/22/2010	CURRENCY EURO		-313.38	17.97	440.46	-450.78	0.00	0.00	-10.32	-10.32	0.00
01/15/2010	CURRENCY JAPANESE YEN		400,011.00	28.55	-4,390.42	4,351.26	0.00	0.00	-39.16	-39.16	0.00
01/22/2010	CURRENCY JAPANESE YEN		-405,879.00	21.57	4,450.43	-4,468.80	0.00	0.00	-18.37	-18.37	0.00
01/22/2010	CURRENCY JAPANESE YEN		-1,969,409.00	8.61	21,594.40	-21,683.56	0.00	0.00	-89.16	-89.16	0.00
01/22/2010	CURRENCY JAPANESE YEN		-2,134,380.00	52.70	23,403.29	-23,499.92	0.00	0.00	-96.63	-96.63	0.00
01/22/2010	CURRENCY JAPANESE YEN		-3,602,819.00	17.40	39,504.59	-39,667.70	0.00	0.00	-163.11	-163.11	0.00
01/22/2010	CURRENCY JAPANESE YEN		-40,974.00	21.57	449.28	-451.13	0.00	0.00	-1.85	-1.85	0.00
01/22/2010	CURRENCY JAPANESE YEN		-1,220,634.00	25.51	13,384.15	-13,439.41	0.00	0.00	-55.26	-55.26	0.00

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 6 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/22/2010	CURRENCY JAPANESE YEN		-2,154,254.00	20.51	23,621.21	-23,718.73	0.00	0.00	-97.52	-97.52	0.00
01/22/2010	CURRENCY U.K. STERLING		-15,517.69	25.51	25,099.86	-25,349.70	0.00	0.00	-249.84	-249.84	0.00
01/22/2010	CURRENCY U.K. STERLING		-3,846.07	15.87	6,221.02	-6,282.94	0.00	0.00	-61.92	-61.92	0.00
01/22/2010	CURRENCY U.K. STERLING		-33,890.50	36.88	54,817.89	-55,363.52	0.00	0.00	-545.63	-545.63	0.00
01/22/2010	CURRENCY U.K. STERLING		-6,738.23	21.57	10,899.09	-11,007.57	0.00	0.00	-108.48	-108.48	0.00
01/22/2010	CURRENCY U.K. STERLING		-14,650.30	22.35	23,696.86	-23,932.73	0.00	0.00	-235.87	-235.87	0.00
01/22/2010	CURRENCY U.K. STERLING		-8,293.51	13.22	13,414.75	-13,548.28	0.00	0.00	-133.53	-133.53	0.00
01/22/2010	Cash				451,356.65	-457,626.34	0.00	0.00	-6,269.69	-6,269.69	0.00
Total Gain/Loss on Cash Disbursements											
Sales											
Common Stock											
01/15/2010	#REORG SUMITOMO MITSUI		-5,070.00	3.32	16,827.13	-42,911.31	0.00	-26,084.18	0.00	0.00	-26,084.18
01/21/2010	REVERSE STK										
	SPLITSUMITOMO MITSUI										
	FINL GROUP INC SPONSRD										
	AD										
5/22/2007			-2,660.00		8,828.44	-24,113.43	0.00	-15,284.99	0.00	0.00	-15,284.99
8/20/2007			-1,340.00		4,447.40	-10,557.06	0.00	-6,109.66	0.00	0.00	-6,109.66
8/22/2007			-1,070.00		3,551.29	-8,240.82	0.00	-4,689.53	0.00	0.00	-4,689.53

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 7 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain / Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	#REORG SUMITOMO MITSUI REVERSE STK SPLITSUMITOMO MITSUI FINL GROUP INC SPONSRD AD	8/22/2007	-8,000.00 3 24	-280.00	25,891.90	-59,145.74	0.00	-33,253.84	0.00	0.00	-33,253.84
						906.22	-2,156.48	0.00	-1,250.26	0.00	0.00	-1,250.26
							-59,145.74	0.00	-33,253.84	0.00	0.00	-33,253.84
							-11,310.75	0.00	-6,520.75	0.00	0.00	-6,520.75
							-59,145.74	0.00	-33,253.84	0.00	0.00	-33,253.84
							-18,089.16	0.00	-10,224.50	0.00	0.00	-10,224.50
							-59,145.74	0.00	-33,253.84	0.00	0.00	-33,253.84
							-27,589.35	0.00	-15,258.33	0.00	0.00	-15,258.33
							-31,111.14	0.00	-24,047.88	0.00	0.00	-24,047.88
							-31,111.14	0.00	-24,047.88	0.00	0.00	-24,047.88
							-43,878.39	0.00	-12,742.73	0.00	0.00	-12,742.73
							-18,432.32	0.00	-6,457.07	0.00	0.00	-6,457.07
							-43,878.39	0.00	-12,742.73	0.00	0.00	-12,742.73
							-25,446.07	0.00	-6,285.66	0.00	0.00	-6,285.66
							-813.98	0.00	-467.84	104.99	0.00	-362.85
							-813.98	0.00	-467.84	104.99	0.00	-362.85
							-23.42	0.00	-13.73	0.00	0.00	-13.73
							-8.43	0.00	-5.56	0.00	0.00	-5.56

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 8 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	ADR ACOM CO LTD		-2.00		9.69	-23.42	0.00	-13.73	0.00	0.00	-13.73
01/21/2010	SPONSORED ADR		4.85								
5/1/2006			-0.23		1.11	-3.38	0.00	-2.27	0.00	0.00	-2.27
8/9/2006			-0.27		1.31	-3.07	0.00	-1.76	0.00	0.00	-1.76
10/13/2006			-0.29		1.42	-2.85	0.00	-1.43	0.00	0.00	-1.43
5/9/2007			-0.62		2.98	-5.69	0.00	-2.71	0.00	0.00	-2.71
01/15/2010	ADR AEGON N V NY		-4.893 00		33,872.93	-72,207.48	0.00	-38,334.55	0.00	0.00	-38,334.55
01/21/2010	REGISTRY SHS SHS		6.92								
8/24/2004			-2,294.22		15,882.27	-24,697.28	0.00	-8,815.01	0.00	0.00	-8,815.01
5/19/2005			-81.78		566.14	-757.93	0.00	-191.79	0.00	0.00	-191.79
7/19/2007			-709.34		4,910.57	-72,207.48	0.00	-38,334.55	0.00	0.00	-38,334.55
9/14/2007			-65.66		454.55	-1,263.72	0.00	-809.17	0.00	0.00	-809.17
12/21/2007			-670.00		4,638.23	-11,490.50	0.00	-6,852.27	0.00	0.00	-6,852.27
2/5/2008			-760.00		5,261.28	-11,032.16	0.00	-5,770.88	0.00	0.00	-5,770.88

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 9 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR AEGON N V NY REGISTRY SHS SHS	5/23/2008	-4,893.00 6.92	-72,207.48	33,872.93	-72,207.48	0.00	-38,334.55	0.00	0.00	-38,334.55
				-137.23	-4,528.53	950.01	-72,207.48	0.00	-3,578.52	0.00	0.00	-3,578.52
			9/15/2008	-174.77	-4,719.00	1,209.88	-4,719.00	0.00	-3,509.12	0.00	0.00	-3,509.12
01/15/2010	01/21/2010	ADR AKZO NOBEL N V SPONSORED ADR	10/28/2002	-740.00 62.90	-20,741.15	46,531.44	-20,741.15	0.00	25,790.29	0.00	0.00	25,790.29
				-200.00	-5,798.48	12,576.07	-5,798.48	0.00	6,777.59	0.00	0.00	6,777.59
			4/4/2003	-280.00	-6,186.26	17,606.49	-6,186.26	0.00	11,420.23	0.00	0.00	11,420.23
			12/19/2003	-70.00	-2,489.62	4,401.62	-2,489.62	0.00	1,912.00	0.00	0.00	1,912.00
			10/24/2008	-190.00	-6,266.79	11,947.26	-6,266.79	0.00	5,680.47	0.00	0.00	5,680.47
01/15/2010	6/28/2002	ADR ALCATEL-LUCENT		-8,100.00 3.71	-92,015.26	33,750.44	-92,015.26	0.00	-58,264.82	0.00	0.00	-58,264.82
				-170.00	-1,197.96	630.51	-1,197.96	0.00	-567.45	0.00	0.00	-567.45
			9/25/2002	-1,850.00	-4,742.85	6,861.35	-4,742.85	0.00	2,118.50	0.00	0.00	2,118.50
			6/21/2004	-780.00	-10,910.56	2,892.89	-10,910.56	0.00	-8,017.67	0.00	0.00	-8,017.67
			9/28/2004	-1,780.00	-20,484.24	6,601.73	-20,484.24	0.00	-13,882.51	0.00	0.00	-13,882.51

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 10 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR ALCATEL-LUCENT	6/29/2005	-9,100.00	3.71	33,750.44	-92,015.26	0.00	-58,264.82	0.00	0.00	-58,264.82
				-690.00		2,559.10	-7,714.34	0.00	-5,155.24	0.00	0.00	-5,155.24
			8/10/2005	-650.00		2,410.74	-8,115.84	0.00	-5,705.10	0.00	0.00	-5,705.10
							-92,015.26	0.00	-58,264.82	0.00	0.00	-58,264.82
			4/18/2007	-1,370.00		5,081.12	-17,316.80	0.00	-12,235.68	0.00	0.00	-12,235.68
							-92,015.26	0.00	-58,264.82	0.00	0.00	-58,264.82
			8/2/2007	-1,810.00		6,713.00	-21,532.67	0.00	-14,819.67	0.00	0.00	-14,819.67
01/15/2010	01/21/2010	ADR ASTRAZENECA PLC	5/9/2007	-1,440.00	48.75	70,174.58	-69,907.20	0.00	267.38	0.00	0.00	267.38
		SPONSORED ADR UNITED KINGDOM		-10.00		487.32	-539.89	0.00	-52.57	0.00	0.00	-52.57
			5/15/2007	-270.00		13,157.74	-14,558.43	0.00	-1,400.69	0.00	0.00	-1,400.69
							-69,907.20	0.00	267.38	0.00	0.00	267.38
			6/18/2007	-310.00		15,107.02	-16,122.11	0.00	-1,015.09	0.00	0.00	-1,015.09
							-69,907.20	0.00	267.38	0.00	0.00	267.38
			8/14/2007	-590.00		28,752.09	-27,880.39	0.00	871.70	0.00	0.00	871.70
							-69,907.20	0.00	267.38	0.00	0.00	267.38
			2/4/2008	-260.00		12,670.41	-10,806.38	0.00	1,864.03	0.00	0.00	1,864.03
01/15/2010	01/21/2010	ADR BARCLAYS PLC	11/9/2007	-850.00	20.25	17,206.10	-36,604.66	0.00	-19,398.56	0.00	0.00	-19,398.56
		AMERICAN DEPOSITARY RECEIPT		-850.00		17,206.10	-36,604.66	0.00	-19,398.56	0.00	0.00	-19,398.56

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 11 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR BASF AKTIENGESELLSCHAFT - LEVEL I	5/13/2009	-180.00 59.73	-180.00	10,748.03	-7,180.59	3,567.44	0.00	0.00	3,567.44	0.00
01/19/2010	01/22/2010	ADR BASF AKTIENGESELLSCHAFT - LEVEL I	1/16/2009	-600.00 61.08	-600.00	36,636.54	-20,840.48	9,661.64	6,134.42	0.00	9,661.64	6,134.42
01/15/2010	01/21/2010	ADR BASF AKTIENGESELLSCHAFT - LEVEL I	1/16/2009	-210.00	-210.00	12,822.79	-6,688.37	0.00	6,134.42	0.00	0.00	6,134.42
01/15/2010	01/21/2010	ADR BRASIL TELECOM S A SPONSORED ADR REPSTG COM	11/16/2009	-433.00 25.51	-433.00	11,043.71	-13,559.52	-2,515.81	0.00	0.00	-2,515.81	0.00
01/15/2010	01/21/2010	ADR BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR	1/3/2006	-300.00 37.29	-300.00	11,183.49	-10,560.15	0.00	623.34	0.00	0.00	623.34
01/19/2010	01/22/2010	ADR BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR	1/3/2006	-830.00 36.88	-830.00	30,603.24	-29,216.42	0.00	1,386.82	0.00	0.00	1,386.82
01/15/2010	01/21/2010	ADR CANON INC ADR REPSTG 5 SHS	11/6/2008	-1,310.00 41.87	-1,310.00	54,829.01	-45,767.48	3,527.51	5,534.02	0.00	3,527.51	5,534.02
01/15/2010	01/21/2010	ADR CANON INC ADR REPSTG 5 SHS	11/6/2008	-900.00	-900.00	37,668.79	-32,134.77	0.00	5,534.02	0.00	0.00	5,534.02

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 12 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR CANON INC ADR REPSTG 5 SHS	5/14/2009	-1,310.00 41.87	-410.00	54,829.01 17,160.22	-45,767.48 -13,632.71	3,527.51 3,527.51	5,534.02 0.00	0.00 0.00	3,527.51 3,527.51	5,534.02 0.00
01/15/2010	01/21/2010	ADR CEMEX SAB DE CV	10/30/2009	-2,489.00 11.73	-410.00	29,185.83 17,471.63	-27,019.65 -16,007.37	2,166.18 1,464.26	0.00 0.00	0.00 0.00	2,166.18 1,464.26	0.00 0.00
01/15/2010	01/21/2010	ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG COMI	11/27/2009	-2,840.00 20.49	-999.00	58,173.12 39,123.47	-23,947.90 -16,712.50	0.00 0.00	34,225.22 22,410.97	0.00 0.00	0.00 0.00	34,225.22 22,410.97
01/15/2010	01/21/2010	ADR DAI NIPPON PRTG LTD JAPAN SPONSORED ADR	5/10/2001	-420.00 13.63	-930.00	5,722.80 5,722.80	-6,933.84 -6,933.84	0.00 0.00	-1,211.04 -1,211.04	0.00 0.00	0.00 0.00	-1,211.04 -1,211.04
01/15/2010	01/22/2010	ADR DAI NIPPON PRTG LTD JAPAN SPONSORED ADR	12/16/2004	-1,100.00 13.58	-40.00	14,933.33 14,390.30	-16,321.02 -15,660.65	0.00 0.00	-1,387.69 -1,270.35	0.00 0.00	0.00 0.00	-1,387.69 -1,270.35
01/15/2010	01/21/2010	ADR DEUTSCHE TELEKOM AG SPONSORED ADR	5/24/2006	-6,050.00 14.40	-40.00	87,112.11 7,919.28	-98,496.78 -8,594.80	0.00 0.00	-11,384.67 -675.52	0.00 0.00	0.00 0.00	-11,384.67 -675.52
01/15/2010	01/21/2010	ADR DAI NIPPON PRTG LTD JAPAN SPONSORED ADR	6/25/2002	-1,050.00	-1,050.00	15,118.63	-9,412.10	0.00	5,706.53	0.00	0.00	5,706.53

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 13 of 35

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	ADR DEUTSCHE TELEKOM			-6,050.00		87,112.11	-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
01/21/2010	AG SPONSORED ADR			14.40								
11/10/2004				-480.00		6,911.37	-9,320.59	0.00	-2,409.22	0.00	0.00	-2,409.22
							-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
4/6/2005				-370.00		5,327.52	-7,300.73	0.00	-1,973.21	0.00	0.00	-1,973.21
							-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
8/24/2005				-440.00		6,335.43	-8,423.98	0.00	-2,088.55	0.00	0.00	-2,088.55
							-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
12/19/2005				-850.00		12,238.89	-14,091.73	0.00	-1,852.84	0.00	0.00	-1,852.84
							-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
4/21/2006				-610.00		8,783.21	-10,283.99	0.00	-1,500.78	0.00	0.00	-1,500.78
							-98,496.78	0.00	-11,384.67	0.00	0.00	-11,384.67
6/27/2007				-1,700.00		24,477.78	-31,068.86	0.00	-6,591.08	0.00	0.00	-6,591.08
5/20/2010	ADR ENI S P A SPONSORED			-1,080.00		56,972.15	-50,682.65	6,289.50	0.00	0.00	6,289.50	0.00
6/21/2010	ADR			52.77								
5/29/2009				-160.00		8,440.32	-7,709.04	731.28	0.00	0.00	731.28	0.00
							-50,682.65	6,289.50	0.00	0.00	6,289.50	0.00
6/16/2009				-150.00		7,912.80	-7,311.00	601.80	0.00	0.00	601.80	0.00
							-50,682.65	6,289.50	0.00	0.00	6,289.50	0.00
7/13/2009				-350.00		18,463.20	-15,350.48	3,112.72	0.00	0.00	3,112.72	0.00
							-50,682.65	6,289.50	0.00	0.00	6,289.50	0.00
8/13/2009				-230.00		12,132.95	-10,640.56	1,492.39	0.00	0.00	1,492.39	0.00

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 14 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/15/2010	ADR ENI S P A SPONSORED		-1,080.00		56,972.15	-50,682.65	6,289.50	0.00	0.00	6,289.50	0.00
	01/21/2010	ADR		52.77								
	12/30/2009			-190.00		10,022.88	-9,671.57	351.31	0.00	0.00	351.31	0.00
01/15/2010	01/15/2010	ADR ERICSSON L M TEL CO		-4,710.00		47,594.26	-65,123.57	0.00	-17,529.31	0.00	0.00	-17,529.31
	01/21/2010	ADR CL B SEK 10 NEW		10.11								
	10/19/2007	ERICSSON L M TEL CO ADR		-870.00		8,791.30	-12,814.14	0.00	-4,022.84	0.00	0.00	-4,022.84
							-65,123.57	0.00	-17,529.31	0.00	0.00	-17,529.31
	10/26/2007			-1,340.00		13,540.61	-19,729.89	0.00	-6,189.28	0.00	0.00	-6,189.28
							-65,123.57	0.00	-17,529.31	0.00	0.00	-17,529.31
	11/13/2007			-1,200.00		12,125.93	-17,349.00	0.00	-5,223.07	0.00	0.00	-5,223.07
							-65,123.57	0.00	-17,529.31	0.00	0.00	-17,529.31
	11/27/2007			-1,300.00		13,136.42	-15,230.54	0.00	-2,094.12	0.00	0.00	-2,094.12
01/15/2010	01/15/2010	ADR FRANCE TELECOM		-2,057.00		50,566.22	-52,311.93	81.75	-1,827.46	0.00	81.75	-1,827.46
				24.59								
	6/22/2005			-639.15		15,711.91	-17,467.46	0.00	-1,755.55	0.00	0.00	-1,755.55
							-52,311.93	81.75	-1,827.46	0.00	81.75	-1,827.46
	11/2/2005			-650.00		15,978.63	-17,106.05	0.00	-1,127.42	0.00	0.00	-1,127.42
							-52,311.93	81.75	-1,827.46	0.00	81.75	-1,827.46
	4/26/2006			-730.00		17,945.23	-16,889.72	0.00	1,055.51	0.00	0.00	1,055.51
							-52,311.93	81.75	-1,827.46	0.00	81.75	-1,827.46
	7/20/2009			-37.85		930.45	-848.70	0.00	0.00	0.00	0.00	0.00
01/19/2010	01/19/2010	ADR FUJI HEAVY INDS LTD		-760.00		36,772.49	-34,166.78	0.00	2,605.71	0.00	0.00	2,605.71
	01/22/2010	ADR ISIN #US359562063		48.40								
	8/8/2005			-400.00		19,353.94	-16,717.80	0.00	2,636.14	0.00	0.00	2,636.14

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Capital Gains

Account Number	Account Name
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**RFD01
ROSENTHAL FDN -
BRANDES**

Page 15 of 35

Trade Date	Settlement Date	Security Description	Long	Shares/Par	Proceeds	Cost	Short Term Realized	Long Term Realized	Realized Gain	Short Term Realized	Long Term Realized
		Acquisition Date		Price			Gain/Loss - Market	Gain/Loss - Market	/Loss - Translation	Gain/Loss Total	Gain/Loss - Total
01/19/2010	01/22/2010	ADR FUJI HEAVY INDS LTD ADR ISIN #US3595562063		-760 00 48 40	36,772 49	-34,166 78	0 00	2,605 71	0 00	0 00	2,605 71
		7/2/2007		-360 00	17,418 55	-17,448 98	0 00	-30 43	0 00	0 00	-30 43
01/15/2010	01/20/2010	ADR FUJIFILM HLDGS CORP ADR 2 ORD ADR		-590 00 32 70	19,286 96	-19,226 35	0 00	60 61	0 00	0 00	60 61
		7/15/2005		-330 00	10,787 62	-10,715 69	0 00	71 93	0 00	0 00	71 93
		9/9/2005		-260 00	8,499 34	-8,510 66	0 00	-11 32	0 00	0 00	-11 32
01/15/2010	01/21/2010	ADR GLAXOSMITHKLINE PLC SPONSORED ADR		-1,650 00 40 84	67,360 97	-77,762 52	0 00	-10,401 55	0 00	0 00	-10,401 55
		1/26/2004		-130 00	5,307 23	-5,804 28	0 00	-497 05	0 00	0 00	-497 05
		3/15/2004		-190 00	7,756 72	-7,604 79	0 00	151 93	0 00	0 00	151 93
		4/7/2004		-190 00	7,756 71	-7,872 57	0 00	-115 86	0 00	0 00	-115 86
		7/22/2004		-250 00	10,206 21	-9,955 80	0 00	250 41	0 00	0 00	250 41
		12/8/2006		-280 00	11,430 95	-14,701 79	0 00	-3,270 84	0 00	0 00	-3,270 84
		6/6/2007		-250 00	10,206 21	-12,892 58	0 00	-2,686 37	0 00	0 00	-2,686 37
		7/25/2007		-360 00	14,696 94	-18,930 71	0 00	-4,233 77	0 00	0 00	-4,233 77

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 16 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	ADR HENKEL AG & CO KGAA SPONSORED ADR REPSTG PFD SHS	4/15/2009	-500.00 53.39	-500.00	26,886.65	-13,187.55	13,499.10	0.00	0.00	13,499.10	0.00
01/19/2010	01/22/2010	ADR HITACHI LTD AMERICAN DEPOSITARY RECEIPT FOR 10 COMMON STOCK	10/9/2001	-550.00 32.28	-550.00	17,750.42	-27,594.51	0.00	-9,844.09	0.00	0.00	-9,844.09
01/19/2010	01/22/2010	ADR HONDA MTR LTD ADR REPRESENTING 2 ORDSHS	10/24/2008	-500.00 36.76	-500.00	21,680.49	-12,593.43	0.00	9,087.06	0.00	0.00	9,087.06
01/19/2010	01/22/2010	ADR J SAINSBURY PLC SPONSORED ADR NEW	5/15/2008	-1,670.00 21.44	-1,670.00	35,793.60	-41,144.49	194.16	-5,545.05	0.00	194.16	-5,545.05
01/19/2010	01/22/2010	ADR KINGFISHER PLC SPONSORED ADR PAR 15.5/7 PENCE	9/14/2007	-500.00 7.25	-500.00	3,623.86	-3,908.65	0.00	-284.79	0.00	0.00	-284.79
01/19/2010	01/22/2010	ADR KINGFISHER PLC SPONSORED ADR PAR 15.5/7 PENCE	9/14/2007	-500.00 7.45	-500.00	3,623.86	-3,908.65	0.00	-284.79	0.00	0.00	-284.79
01/19/2010	01/22/2010	ADR KINGFISHER PLC SPONSORED ADR PAR 15.5/7 PENCE	9/14/2007	-6,600.00 7.45	-6,600.00	49,159.90	-45,551.17	0.00	3,608.73	0.00	0.00	3,608.73
01/19/2010	01/22/2010	ADR KINGFISHER PLC SPONSORED ADR PAR 15.5/7 PENCE	9/14/2007	-1,440.00 7.45	-1,440.00	10,725.80	-11,256.91	0.00	-531.11	0.00	0.00	-531.11
01/19/2010	01/22/2010	ADR KINGFISHER PLC SPONSORED ADR PAR 15.5/7 PENCE	9/14/2007	-1,730.00 7.45	-1,730.00	12,885.85	-12,670.17	0.00	215.68	0.00	0.00	215.68

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 17 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	ADR KINGFISHER PLC		-6,600.00		49,159.90	-45,551.17	0.00	3,608.73	0.00	0.00	3,608.73
01/22/2010	SPONSORED ADR PAR 15 5/7 PENCE	12/14/2007	-3,430.00	7.45	25,548.25	-21,624.09	0.00	3,924.16	0.00	0.00	3,924.16
01/15/2010	ADR KONINKLIJKE AHOLD NV		-972.00		12,845.53	-2,341.41	0.00	10,504.12	0.00	0.00	10,504.12
01/20/2010	SPONSORED ADR 2007		13.22								
3/17/2003			-596.00		7,876.48	-269.81	0.00	7,606.67	0.00	0.00	7,606.67
						-2,341.41	0.00	10,504.12	0.00	0.00	10,504.12
7/26/2004			-376.00		4,969.05	-2,071.60	0.00	2,897.45	0.00	0.00	2,897.45
01/19/2010	ADR KONINKLIJKE AHOLD NV		-2,300.00		30,154.60	-1,041.21	0.00	29,113.39	0.00	0.00	29,113.39
01/22/2010	SPONSORED ADR 2007		13.11								
3/17/2003			-2,300.00		30,154.60	-1,041.21	0.00	29,113.39	0.00	0.00	29,113.39
01/15/2010	ADR KOREA ELEC PWR		-2,260.00		35,860.18	-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
01/21/2010	CORP SPONSORED ADR ISIN #US006311063	2/22/2000	15.87		1,443.93	-1,224.12	0.00	219.81	0.00	0.00	219.81
			-91.00			-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
2/23/2000			-154.00		2,443.57	-2,070.19	0.00	373.38	0.00	0.00	373.38
						-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
2/23/2000			-95.00		1,507.39	-1,274.43	0.00	232.96	0.00	0.00	232.96
						-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
1/31/2001			-1,130.00		17,930.09	-12,017.89	0.00	5,912.20	0.00	0.00	5,912.20
						-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
6/17/2004			-284.00		4,506.33	-2,564.38	0.00	1,941.95	0.00	0.00	1,941.95
						-23,676.78	0.00	12,183.40	0.00	0.00	12,183.40
6/18/2004			-506.00		8,028.87	-4,525.77	0.00	3,503.10	0.00	0.00	3,503.10

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 18 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR KT CORP SPONSORED ADR		-700.00	13.988 62	-13,947.99	0.00	0.00	40.63	0.00	0.00	40.63
	01/21/2010			19.99								
	9/18/2003			-700.00	13.988 62	-13,947.99	0.00	0.00	40.63	0.00	0.00	40.63
01/15/2010	01/21/2010	ADR MARKS & SPENCER GROUP P L C SPONSORED ADR		-4,716.00	54,028.46	-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	01/21/2010			11.46								
	4/3/2002			-786.00	9,004.75	-8,751.48	0.00	0.00	253.27	0.00	0.00	253.27
						-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	9/24/2003			-630.00	7,217.54	-6,756.81	0.00	0.00	460.73	0.00	0.00	460.73
						-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	11/19/2003			-630.00	7,217.54	-6,009.72	0.00	0.00	1,207.82	0.00	0.00	1,207.82
						-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	12/12/2003			-600.00	6,873.85	-5,877.16	0.00	0.00	996.69	0.00	0.00	996.69
						-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	3/17/2008			-920.00	10,539.90	-13,269.34	0.00	0.00	-2,729.44	0.00	0.00	-2,729.44
						-51,608.26	0.00	0.00	2,420.20	0.00	0.00	2,420.20
	7/10/2008			-1,150.00	13,174.88	-10,943.75	0.00	0.00	2,231.13	0.00	0.00	2,231.13
01/15/2010	01/21/2010	ADR MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR		-8,280.00	45,467.82	-81,445.31	0.00	0.00	-35,977.49	0.00	0.00	-35,977.49
	01/21/2010			5.49								
	8/21/2001			-990.00	5,436.37	-8,155.62	0.00	0.00	-2,719.25	0.00	0.00	-2,719.25
						-81,445.31	0.00	0.00	-35,977.49	0.00	0.00	-35,977.49
	2/7/2002			-1,480.00	8,127.10	-8,589.77	0.00	0.00	-462.67	0.00	0.00	-462.67
						-81,445.31	0.00	0.00	-35,977.49	0.00	0.00	-35,977.49
	4/6/2005			-360.00	1,976.86	-3,142.87	0.00	0.00	-1,166.01	0.00	0.00	-1,166.01

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 19 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	11/21/2006	-8,280.00	5.49	45,467.82	-81,445.31	0.00	-35,977.49	0.00	0.00	-35,977.49
				-320.00		1,757.21	-3,767.81	0.00	-2,010.60	0.00	0.00	-2,010.60
							-81,445.31	0.00	-35,977.49	0.00	0.00	-35,977.49
			3/22/2007	-1,520.00		8,346.75	-17,622.12	0.00	-9,275.37	0.00	0.00	-9,275.37
							-81,445.31	0.00	-35,977.49	0.00	0.00	-35,977.49
			4/18/2007	-1,310.00		7,193.58	-14,649.08	0.00	-7,455.50	0.00	0.00	-7,455.50
							-81,445.31	0.00	-35,977.49	0.00	0.00	-35,977.49
			7/25/2007	-2,300.00		12,629.95	-25,518.04	0.00	-12,888.09	0.00	0.00	-12,888.09
01/15/2010	01/21/2010	ADR MIZUHO FINL GROUP INC SPONSORED ADR	4/27/2007	-7,340.00	4.17	30,607.04	-86,362.61	0.00	-55,755.57	0.00	0.00	-55,755.57
				-1,840.00		7,672.61	-22,345.14	0.00	-14,672.53	0.00	0.00	-14,672.53
							-86,362.61	0.00	-55,755.57	0.00	0.00	-55,755.57
			8/10/2007	-2,630.00		10,966.83	-31,418.24	0.00	-20,451.41	0.00	0.00	-20,451.41
							-86,362.61	0.00	-55,755.57	0.00	0.00	-55,755.57
			8/15/2007	-1,300.00		5,420.86	-15,106.13	0.00	-9,685.27	0.00	0.00	-9,685.27
							-86,362.61	0.00	-55,755.57	0.00	0.00	-55,755.57
			11/1/2007	-1,570.00		6,546.74	-17,493.10	0.00	-10,946.36	0.00	0.00	-10,946.36
01/15/2010	01/21/2010	ADR NIPPON TELEG & TEL CORP SPONSORED ADR	1/9/2002	-3,390.00	21.94	74,358.76	-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
				-350.00		7,677.16	-5,640.89	0.00	2,036.28	0.00	0.00	2,036.28
							-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
			4/8/2002	-440.00		9,651.28	-8,759.96	0.00	891.32	0.00	0.00	891.32

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 20 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR NIPPON TELEG & TEL CORP SPONSORED ADR	5/12/2004	-3,390.00 21.94	-500.00	74,358.76	-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
						10,967.37	-11,510.10	0.00	-542.73	0.00	0.00	-542.73
							-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
			2/14/2006	-580.00		12,722.15	-12,639.07	0.00	83.08	0.00	0.00	83.08
							-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
			7/25/2007	-940.00		20,618.65	-20,968.58	0.00	-349.93	0.00	0.00	-349.93
							-71,685.42	0.00	2,673.34	0.00	0.00	2,673.34
			8/10/2007	-580.00		12,722.15	-12,166.83	0.00	555.32	0.00	0.00	555.32
01/15/2010	01/21/2010	ADR NISSAN MTR LTD SPONSORED ADR		-650.00 17.34		11,267.47	-5,986.11	0.00	5,281.36	0.00	0.00	5,281.36
			11/4/2008	-650.00		11,267.47	-5,986.11	0.00	5,281.36	0.00	0.00	5,281.36
01/19/2010	01/22/2010	ADR NISSAN MTR LTD SPONSORED ADR		-700.00 16.85		11,791.31	-6,446.58	0.00	5,344.73	0.00	0.00	5,344.73
			11/4/2008	-700.00		11,791.31	-6,446.58	0.00	5,344.73	0.00	0.00	5,344.73
01/15/2010	1/2010	ADR NOKIA CORP SPONSORED ADR		-3,780.00 13.20		49,880.39	-57,508.66	150.53	-7,778.80	0.00	150.53	-7,778.80
			10/3/2008	-1,440.00		19,002.05	-25,741.44	0.00	-6,739.39	0.00	0.00	-6,739.39
							-57,508.66	150.53	-7,778.80	0.00	150.53	-7,778.80
			10/24/2008	-640.00		8,445.36	-9,406.27	0.00	-960.91	0.00	0.00	-960.91
							-57,508.66	150.53	-7,778.80	0.00	150.53	-7,778.80
			12/3/2008	-870.00		11,480.41	-11,558.91	0.00	-78.50	0.00	0.00	-78.50
							-57,508.66	150.53	-7,778.80	0.00	150.53	-7,778.80
			7/29/2009	-830.00		10,952.57	-10,802.04	150.53	0.00	0.00	150.53	0.00

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 21 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
	01/15/2010	ADR PORTUGAL TELECOM		-2,900.00		33,886.33	-27,362.67	0.00	6,523.66	0.00	0.00	6,523.66
	01/21/2010	SGPS S A SPONSORED ADR		11.69								
	8/28/2000			-907.00		10,598.24	-9,572.93	0.00	1,025.31	0.00	0.00	1,025.31
							-27,362.67	0.00	6,523.66	0.00	0.00	6,523.66
	12/4/2000			-1,033.00		12,070.54	-8,344.11	0.00	3,726.43	0.00	0.00	3,726.43
							-27,362.67	0.00	6,523.66	0.00	0.00	6,523.66
	2/3/2006			-960.00		11,217.55	-9,445.63	0.00	1,771.92	0.00	0.00	1,771.92
	01/19/2010	ADR PORTUGAL TELECOM		-1,211.00		14,081.46	-9,781.91	0.00	4,299.55	0.00	0.00	4,299.55
	01/22/2010	SGPS S A SPONSORED ADR		11.63								
	12/4/2000			-1,211.00		14,081.46	-9,781.91	0.00	4,299.55	0.00	0.00	4,299.55
	01/19/2010	ADR PROMISE CO LTD ADR		-4,760.00		25,394.27	-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	01/22/2010			5.34								
	3/3/2006			-460.00		2,454.07	-14,376.61	0.00	-11,922.54	0.00	0.00	-11,922.54
							-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	5/8/2006			-990.00		5,281.58	-31,370.03	0.00	-26,088.45	0.00	0.00	-26,088.45
							-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	7/28/2006			-530.00		2,827.51	-11,765.42	0.00	-8,937.91	0.00	0.00	-8,937.91
							-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	10/13/2006			-640.00		3,414.36	-11,607.23	0.00	-8,192.87	0.00	0.00	-8,192.87
							-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	4/25/2007			-1,160.00		6,188.52	-20,134.58	0.00	-13,946.06	0.00	0.00	-13,946.06
							-103,615.48	0.00	-78,221.21	0.00	0.00	-78,221.21
	8/13/2007			-980.00		5,228.23	-14,361.61	0.00	-9,133.38	0.00	0.00	-9,133.38

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*Generated by Northern Trust on 02 Mar 11



Capital Gains

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 22 of 35

Trade Date	Security Description Long	Shares/Par	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	ADR ROYAL BK SCOTLAND	-16.00	191.45	-3,275.99	0.00	-3,084.54	0.00	0.00	-3,084.54
01/21/2010	GROUP PLC SPONSORED	11.97							
01/21/2010	ADR REPSTG 20 ORD SH	-15.60	186.66	-3,275.99	0.00	-3,089.33	0.00	0.00	-3,089.33
	10/17/2001			-3,275.99	0.00	-3,084.54	0.00	0.00	-3,084.54
	9/10/2008	-0.40	4.79	0.00	0.00	4.79	0.00	0.00	4.79
01/15/2010	ADR SANOFI-AVENTIS	-2,500.00	102,262.01	-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
01/21/2010	SPONSORED ADR	40.92							
	12/31/2004	-420.00	17,180.02	-16,838.14	0.00	341.88	0.00	0.00	341.88
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	2/28/2005	-90.00	3,681.43	-3,621.75	0.00	59.68	0.00	0.00	59.68
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	11/2/2005	-430.00	17,589.07	-17,073.32	0.00	515.75	0.00	0.00	515.75
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	10/5/2006	-100.00	4,090.48	-4,450.00	0.00	-359.52	0.00	0.00	-359.52
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	11/22/2006	-470.00	19,225.26	-20,362.19	0.00	-1,136.93	0.00	0.00	-1,136.93
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	2/22/2007	-550.00	22,497.64	-24,082.80	0.00	-1,585.16	0.00	0.00	-1,585.16
				-103,591.76	0.00	-1,329.75	0.00	0.00	-1,329.75
	8/17/2007	-440.00	17,998.11	-17,163.56	0.00	834.55	0.00	0.00	834.55
01/19/2010	ADR SEIKO EPSON CORP	-1,220.00	10,501.28	-14,288.40	0.00	-3,787.12	0.00	0.00	-3,787.12
01/22/2010	UNSPONSORED ADR ADR	8.61							
	9/26/2007	-1,220.00	10,501.28	-14,288.40	0.00	-3,787.12	0.00	0.00	-3,787.12

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 23 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010		ADR SK TELECOM LTD		-2,610 00		47,028.97	-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
01/21/2010		SPONSORED ADR		18.02								
	8/3/2005			-800 00		14,415 01	-17,402.80	0.00	-2,987.79	0.00	0.00	-2,987.79
							-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
	8/24/2005			-321 00		5,784.03	-6,725.01	0.00	-940.98	0.00	0.00	-940.98
							-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
	8/25/2005			-39 00		702.73	-833.06	0.00	-130.33	0.00	0.00	-130.33
							-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
	11/11/2005			-410 00		7,387.69	-8,321.03	0.00	-933.34	0.00	0.00	-933.34
							-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
	8/7/2006			-480 00		8,649.00	-10,404.91	0.00	-1,755.91	0.00	0.00	-1,755.91
							-56,524.31	0.00	-9,495.34	0.00	0.00	-9,495.34
	9/19/2006			-560 00		10,090.51	-12,837.50	0.00	-2,746.99	0.00	0.00	-2,746.99
							-45,461.93	0.00	2,077.98	0.00	0.00	2,077.98
5/2010		ADR SONY CORP AMERN SH		-1,430.00		47,539.91	-45,461.93	0.00	2,077.98	0.00	0.00	2,077.98
21/2010		NEW		33.26								
	7/6/2005			-50 00		1,662.23	-1,734.01	0.00	-71.78	0.00	0.00	-71.78
							-45,461.93	0.00	2,077.98	0.00	0.00	2,077.98
	10/14/2005			-520 00		17,287.24	-17,202.90	0.00	84.34	0.00	0.00	84.34
							-45,461.93	0.00	2,077.98	0.00	0.00	2,077.98
	4/11/2008			-430 00		14,295.22	-17,695.96	0.00	-3,400.74	0.00	0.00	-3,400.74
							-45,461.93	0.00	2,077.98	0.00	0.00	2,077.98
	10/29/2008			-430 00		14,295.22	-8,829.06	0.00	5,466.16	0.00	0.00	5,466.16

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 24 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	1/31/2005	-5,290.00 8 88	46,969.49	-80,395.27	0.00	0.00	-33,425.78	0.00	0.00	-33,425.78
01/15/2010	01/21/2010	SHS-N Y REGISTRY	1/31/2005	-620.00	5,504.93	-10,398.33	0.00	0.00	-4,893.40	0.00	0.00	-4,893.40
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	7/6/2005	-500.00	4,439.46	-8,032.30	0.00	0.00	-3,592.84	0.00	0.00	-3,592.84
01/15/2010	01/21/2010	SHS-N Y REGISTRY	7/6/2005	-500.00	4,439.46	-8,032.30	0.00	0.00	-3,592.84	0.00	0.00	-3,592.84
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	8/24/2005	-1,020.00	9,056.50	-17,055.22	0.00	0.00	-7,998.72	0.00	0.00	-7,998.72
01/15/2010	01/21/2010	SHS-N Y REGISTRY	8/24/2005	-1,020.00	9,056.50	-17,055.22	0.00	0.00	-7,998.72	0.00	0.00	-7,998.72
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	6/28/2006	-680.00	6,037.67	-10,550.34	0.00	0.00	-4,512.67	0.00	0.00	-4,512.67
01/15/2010	01/21/2010	SHS-N Y REGISTRY	6/28/2006	-680.00	6,037.67	-10,550.34	0.00	0.00	-4,512.67	0.00	0.00	-4,512.67
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	8/4/2006	-1,060.00	9,411.65	-16,093.66	0.00	0.00	-6,682.01	0.00	0.00	-6,682.01
01/15/2010	01/21/2010	SHS-N Y REGISTRY	8/4/2006	-1,060.00	9,411.65	-16,093.66	0.00	0.00	-6,682.01	0.00	0.00	-6,682.01
01/15/2010	01/21/2010	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	2/27/2008	-1,410.00	12,519.28	-18,265.42	0.00	0.00	-5,746.14	0.00	0.00	-5,746.14
01/15/2010	01/21/2010	SHS-N Y REGISTRY	2/27/2008	-1,410.00	12,519.28	-18,265.42	0.00	0.00	-5,746.14	0.00	0.00	-5,746.14
01/15/2010	01/21/2010	ADR SWISS REINS CO	4/23/2009	-690.00 49 07	33,847.71	-15,332.28	18,515.43	0.00	0.00	0.00	18,515.43	0.00
01/15/2010	01/21/2010	SHS-N Y REGISTRY	4/23/2009	-690.00	33,847.71	-15,332.28	18,515.43	0.00	0.00	0.00	18,515.43	0.00
01/15/2010	01/21/2010	ADR TDK CORP.	4/9/2008	-580.00 64 89	37,624.43	-35,721.77	0.00	0.00	1,902.66	0.00	0.00	1,902.66
01/15/2010	01/21/2010	SHS-N Y REGISTRY	4/9/2008	-580.00	37,624.43	-35,721.77	0.00	0.00	1,902.66	0.00	0.00	1,902.66
01/15/2010	01/21/2010	ADR TELECOM ARGENTINA S	4/10/2008	-160.00	10,379.15	-8,975.39	0.00	0.00	403.76	0.00	0.00	403.76
01/15/2010	01/21/2010	A SPONSORED ADR REPSTG	4/10/2008	-160.00	10,379.15	-8,975.39	0.00	0.00	403.76	0.00	0.00	403.76
01/15/2010	01/21/2010	CL B SHS	11/8/2000	-20.00	359.23	-355.80	0.00	0.00	3.43	0.00	0.00	3.43
01/15/2010	01/21/2010	SHS-N Y REGISTRY	11/8/2000	-20.00	359.23	-355.80	0.00	0.00	3.43	0.00	0.00	3.43

^ Complete cost information not available

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 25 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	01/21/2010	ADR TELECOM ARGENTINA S A SPONSORED ADR REPSTG CL B SHS	11/13/2001	-1,000 00 17 97 -980 00	-1,000 00 17 97 -980 00	17,961 38 17,602 15	-7,046 75 -6,690 95	0 00 0 00	10,914 63 10,911 20	0 00 0 00	0 00 0 00	10,914 63 10,911 20
01/15/2010	01/21/2010	ADR TELECOM ARGENTINA S A SPONSORED ADR REPSTG CL B SHS	11/13/2001	-1,270 00 17 40 -1,270 00	-1,270 00 17 40 -1,270 00	22,091 72 22,091 72	-8,670 93 -8,670 93	0 00 0 00	13,420 79 13,420 79	0 00 0 00	0 00 0 00	13,420 79 13,420 79
01/15/2010	01/21/2010	ADR TELECOM CORP NEW ZEALAND LTD SPONSORED ADR	9/29/1999	-1,237 00 9 25 -853 00	-1,237 00 9 25 -853 00	11,433 72 7,884 38	-24,836 06 -19,148 86	0 00 0 00	-13,402 34 -11,264 48	0 00 0 00	0 00 0 00	-13,402 34 -11,264 48
01/15/2010	01/21/2010	ADR TELECOM ITALIA S P A NEW SPONSORED ADR REPSTG ORD SHS	9/29/1999	-384 00 -3,221 00 14 60 -561 00	-384 00 -3,221 00 14 60 -561 00	3,549 34 47,003 84 8,186 64	-5,887 20 -82,178 61 -15,115 55	0 00 0 00	-2,137 86 -35,174 77 -6,928 91	0 00 0 00	0 00 0 00	-2,137 86 -35,174 77 -6,928 91
12/21/2000	12/21/2000			-110 00	-110 00	1,605 22	-3,700 33	0 00	-2,095 11	0 00	0 00	-2,095 11
12/4/2003	12/4/2003			-230 00	-230 00	3,356 37	-6,817 20	0 00	-3,460 83	0 00	0 00	-3,460 83
8/17/2005	8/17/2005			-390 00	-390 00	5,691 25	-12,622 19	0 00	-6,930 94	0 00	0 00	-6,930 94
1/20/2006	1/20/2006			-570 00	-570 00	8,317 97	-16,233 43	0 00	-7,915 46	0 00	0 00	-7,915 46
5/5/2008	5/5/2008			-530 00	-530 00	7,734 25	-11,275 17	0 00	-3,540 92	0 00	0 00	-3,540 92

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Northern Trust

Capital Gains

01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 26 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	ADR TELECOM ITALIA S P A		-3,221 00		47,003 84	-82,178 61	0 00	-35,174 77	0 00	0 00	-35,174 77
01/21/2010	NEW SPONSORED ADR REPSTG ORD SHS	6/19/2008	14 60								
			-830 00		12,112 14	-16,414 74	0 00	-4,302 60	0 00	0 00	-4,302 60
01/15/2010	ADR TELEFONICA S A		-440 00		34,780 92	-11,543 90	0 00	23,237 02	0 00	0 00	23,237 02
01/21/2010	SPONSORED		79 07								
		10/9/2001	-396 92		31,375 55	-11,543 90	0 00	19,831 65	0 00	0 00	19,831 65
						-11,543 90	0 00	23,237 02	0 00	0 00	23,237 02
6/16/2005			-43 08		3,405 37	0 00	0 00	3,405 37	0 00	0 00	3,405 37
01/15/2010	ADR TELEFONOS DE		-1,600 00		27,000 51	-10,180 07	0 00	16,820 44	0 00	0 00	16,820 44
01/21/2010	MEXICO S A SPONSORED ADR REPSTG SH ORD L	9/29/1999	16 88								
			-1,600 00		27,000 51	-10,180 07	0 00	16,820 44	0 00	0 00	16,820 44
01/15/2010	ADR TIM PARTICIPACOE S		-105 00		3,048 25	-2,045 52	0 00	1,002 73	0 00	0 00	1,002 73
01/21/2010	A SPONSORED ADR REPSTG PFD		29 04								
		4/25/2001	-50 00		1,451 55	-997 55	0 00	554 00	0 00	0 00	554 00
						-2,045 52	0 00	1,002 73	0 00	0 00	1,002 73
4/25/2001			-55 00		1,596 70	-1,147 97	0 00	448 73	0 00	0 00	448 73
9/20/2010	ADR TOKIO MARINE HLDGS		-1,395 00		40,040 15	-32,731 81	0 00	7,308 34	0 00	0 00	7,308 34
9/22/2010	INC ADR COM STK		28 71								
		11/13/2003	-725 00		20,809 40	-17,260 42	0 00	3,548 98	0 00	0 00	3,548 98
						-32,731 81	0 00	7,308 34	0 00	0 00	7,308 34
11/19/2003			-600 00		17,221 57	-12,981 17	0 00	4,240 40	0 00	0 00	4,240 40
11/28/2007			-70 00		2,009 18	-2,490 22	0 00	-481 04	0 00	0 00	-481 04
01/15/2010	ADR TOYOTA MTR CORP		-260 00		23,595 42	-20,329 57	3,265 85	0 00	0 00	3,265 85	0 00
01/21/2010	SPONSORED ADR		90 78								
		11/16/2009	-130 00		11,787 71	-10,280 04	1,517 67	0 00	0 00	1,517 67	0 00

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 27 of 35

Trade Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010	ADR TOYOTA MTR CORP		-260.00		23,595.42	-20,329.57	3,265.85	0.00	0.00	3,265.85	0.00
01/21/2010	SPONSORED ADR		90.78								
	11/20/2009		-130.00		11,797.71	-10,049.53	1,748.18	0.00	0.00	1,748.18	0.00
01/15/2010	ADR UNILEVER N V NEW		-2,080.00		66,718.43	-40,647.04	5,724.00	20,347.39	0.00	5,724.00	20,347.39
01/21/2010	YORK SHS NEW		32.09								
	10/31/2003		-990.00		31,755.40	-19,323.08	0.00	12,432.32	0.00	0.00	12,432.32
						-40,647.04	5,724.00	20,347.39	0.00	5,724.00	20,347.39
	9/23/2004		-630.00		20,207.99	-12,292.92	0.00	7,915.07	0.00	0.00	7,915.07
						-40,647.04	5,724.00	20,347.39	0.00	5,724.00	20,347.39
	2/18/2009		-460.00		14,755.04	-9,031.04	0.00	0.00	0.00	5,724.00	0.00
01/15/2010	ADR UPM KYMMENE CORP		-250.00		2,899.09	-3,026.08	0.00	-126.99	0.00	0.00	-126.99
01/21/2010	SPONSORED ISIN		11.60								
	US9154361094		-250.00		2,899.09	-3,026.08	0.00	-126.99	0.00	0.00	-126.99
	12/17/2008										
01/19/2010	ADR UPM KYMMENE CORP		-340.00		3,932.57	-4,115.46	0.00	-182.89	0.00	0.00	-182.89
01/22/2010	SPONSORED ISIN		11.57								
	US9154361094		-340.00		3,932.57	-4,115.46	0.00	-182.89	0.00	0.00	-182.89
	12/17/2008										
01/15/2010	ADR WOLTERS KLUWER N V		-1,573.00		35,239.90	-15,629.18	177.62	19,433.10	0.00	177.62	19,433.10
01/21/2010	SPONSORED ADR		22.41								
	3/12/2003		-1,499.00		33,582.08	-14,148.98	0.00	19,433.10	0.00	0.00	19,433.10
						-15,629.18	177.62	19,433.10	0.00	177.62	19,433.10
	5/11/2009		-74.00		1,657.82	-1,480.20	177.62	0.00	0.00	177.62	0.00
01/19/2010	BARCLAYS ORD GBP0 25		-2,150.00		11,007.57	-10,712.95	452.28	0.00	-157.66	294.62	0.00
01/22/2010			5.12								
	12/4/2009		-2,150.00		11,007.57	-10,712.95	452.28	0.00	-157.66	294.62	0.00
01/19/2010	BAYERISCHE MOTOREN		-648.00		30,126.27	-20,628.50	0.00	8,373.28	1,124.49	0.00	9,497.77
01/21/2010	WERKE AG EUR1		46.51								
	8/15/2008		-92.00		4,277.19	-4,041.97	0.00	374.40	-139.18	0.00	235.22

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*Generated by Northern Trust on 02 Mar 11



Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 28 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
	01/19/2010	BAYERISCHE MOTOREN		-648.00		30,126.27	-20,628.50	0.00	8,373.28	1,124.49	0.00	9,497.77
	01/21/2010	WERKE AG EUR1		46.51								
	8/18/2008			-61.00		2,835.96	-2,616.16	0.00	274.13	-54.33	0.00	219.80
							-20,628.50	0.00	8,373.28	1,124.49	0.00	9,497.77
	8/19/2008			-85.00		3,951.75	-3,585.32	0.00	443.96	-77.53	0.00	366.43
							-20,628.50	0.00	8,373.28	1,124.49	0.00	9,497.77
	11/3/2008			-410.00		19,061.37	-10,385.05	0.00	7,280.79	1,395.53	0.00	8,676.32
	01/19/2010	BP ORD USD0 25		-2,330.00		23,932.73	-18,095.32	5,856.07	0.00	-18.66	5,837.41	0.00
	01/22/2010			10.28								
	6/25/2009			-1,280.00		13,147.59	-10,061.16	3,179.79	0.00	-93.36	3,086.43	0.00
							-18,095.32	5,856.07	0.00	-18.66	5,837.41	0.00
	7/8/2009			-1,050.00		10,785.14	-8,034.16	2,676.28	0.00	74.70	2,750.98	0.00
	01/19/2010	CARREFOUR EUR2 50		-1,490.00		78,495.89	-67,881.14	3,286.15	1,226.40	6,102.20	2,600.86	8,013.89
	01/22/2010			52.70								
	10/13/2004			-260.00		13,697.27	-11,198.57	0.00	609.37	1,889.33	0.00	2,498.70
							-67,881.14	3,286.15	1,226.40	6,102.20	2,600.86	8,013.89
	10/18/2004			-228.00		12,011.46	-9,824.30	0.00	689.29	1,497.87	0.00	2,187.16
							-67,881.14	3,286.15	1,226.40	6,102.20	2,600.86	8,013.89
	10/20/2004			-222.00		11,695.36	-9,691.76	0.00	558.06	1,445.54	0.00	2,003.60
							-67,881.14	3,286.15	1,226.40	6,102.20	2,600.86	8,013.89
	11/2/2007			-230.00		12,116.81	-13,104.13	0.00	-2,506.08	1,518.76	0.00	-987.32
							-67,881.14	3,286.15	1,226.40	6,102.20	2,600.86	8,013.89
	11/7/2007			-10.00		526.82	-572.59	0.00	-110.16	64.39	0.00	-45.77

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 29 of 35

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	CARREFOUR EUR2 50			-1,490 00		78,495 89	-67,881 14	3,286 15	1,226 40	6,102 20	2,600 86	8,013 89
01/22/2010				52 70								
				-180 00		9,482 72	-7,125 20	0 00	1,985 92	371 60	0 00	2,357 52
							-67,881 14	3,286 15	1,226 40	6,102 20	2,600 86	8,013 89
				-163 00		8,587 13	-7,477 25	1,420 28	0 00	-310 40	1,109 88	0 00
							-67,881 14	3,286 15	1,226 40	6,102 20	2,600 86	8,013 89
				-197 00		10,378 32	-8,887 34	1,865 87	0 00	-374 89	1,490 98	0 00
01/19/2010	DAI NIPPON PRINTING CO			-1,000 00		13,439 41	-14,744 77	0 00	-5,430 49	4,125 13	0 00	-1,305 36
01/22/2010	NPV			13 44								
				-1,000 00		13,439 41	-14,744 77	0 00	-5,430 49	4,125 13	0 00	-1,305 36
01/19/2010	DAIICHI SANKYO COMPANY			-1,100 00		23,718 73	-22,771 76	0 00	-5,294 09	6,241 06	0 00	946 97
01/22/2010	LIMITED NPV			21 57								
				-300 00		6,468 74	-6,326 44	0 00	-1,516 88	1,659 18	0 00	142 30
							-22,771 76	0 00	-5,294 09	6,241 06	0 00	946 97
				-800 00		17,249 99	-16,445 32	0 00	-3,777 21	4,581 88	0 00	804 67
01/19/2010	DEUTSCHE BK AG COM STK			-180 00		12,570 87	-12,894 57	-323 70	0 00	0 00	-323 70	0 00
01/21/2010	ISIN DE0005140008 SDL			69 86								
	505964X											
				-180 00		12,570 87	-12,894 57	-323 70	0 00	0 00	-323 70	0 00
01/19/2010	DEUTSCHE POST AG			-850 00		16,947 40	-19,860 42	0 00	-2,213 69	-699 33	0 00	-2,913 02
01/21/2010	NPV(REGD)			19 94								
				-850 00		16,947 40	-19,860 42	0 00	-2,213 69	-699 33	0 00	-2,913 02
01/15/2010	FLEXTRONICS			-3,880 00		27,291 53	-16,804 67	0 00	10,486 86	0 00	0 00	10,486 86
01/21/2010	INTERNATIONAL LTD COM			7 04								
	STK											
				-3,880 00		27,291 53	-16,804 67	0 00	10,486 86	0 00	0 00	10,486 86
01/19/2010	FRANCE TELECOM EUR4			-512 00		12,604 86	-15,000 74	18 67	-3,395 81	981 26	24 94	-2,420 82
01/22/2010				24 63								
				-500 00		12,309 43	-14,730 25	0 00	-3,395 81	974 99	0 00	-2,420 82

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Northern Trust

Capital Gains

01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 30 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Pnce	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	FRANCE TELECOM EUR4		-512 00		12,604 86	-15,000 74	18 67	-3,395 81	981 26	24 94	-2,420 82
			6/30/2009	-12 00		295 43	-270 49	18 67	0 00	6 27	24 94	0 00
01/15/2010	1/2010	GDR SWISSCOM SPONSORED ADR		-780 00		29,474 77	-20,824 16	0 00	8,650 61	0 00	0 00	8,650 61
			9/29/1999	37 80			-8,950 63	0 00	2,519 47	0 00	0 00	2,519 47
				-330 00		12,470 10	-20,824 16	0 00	8,650 61	0 00	0 00	8,650 61
			12/20/2000	-450 00		17,004 67	-10,873 53	0 00	6,131 14	0 00	0 00	6,131 14
01/19/2010	01/22/2010	INTESA SANPAOLO S P A EURO S2		-9,470 00		42,079 73	-66,395 36	0 00	-26,468 19	2,152 56	0 00	-24,315 63
			6/15/2007	4 44			-22,199 37	0 00	-10,967 61	1,787 63	0 00	-9,179 98
				-2,930 00		13,019 39	-66,395 36	0 00	-26,468 19	2,152 56	0 00	-24,315 63
			7/6/2007	-3,830 00		17,018 52	-28,563 25	0 00	-13,193 48	1,648 75	0 00	-11,544 73
							-66,395 36	0 00	-26,468 19	2,152 56	0 00	-24,315 63
			7/25/2008	-2,710 00		12,041 82	-15,632 74	0 00	-2,307 10	-1,283 82	0 00	-3,590 92
01/19/2010	2/2010	ITV ORD GBP0 10		-26,857 00		25,348 70	-44,092 56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
				0 94			-8,096 95	0 00	-3,552 31	-581 30	0 00	-4,133 61
			10/10/2005	-4,199 00		3,963 34	-44,092 56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
							-2,648 52	0 00	-1,159 74	-171 02	0 00	-1,330 76
			10/12/2005	-1,394 00		1,315 76	-44,092 56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
							-2,940 74	0 00	-1,277 65	-198 19	0 00	-1,475 84
			10/13/2005	-1,552 00		1,464 90	-44,092 56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
							-989 45	0 00	-428 84	-65 08	0 00	-493 92
			10/14/2005	-525 00		495 53						

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name
RFD01
ROSENTHAL FDN -
BRANDES

Page 31 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	ITV ORD GBP0 10		-26,857 00	0.94	25,349 70	-44,092.56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
			11/9/2005	-3,990 00		3,766 07	-7,454 69	0 00	-3,236.19	-452 43	0 00	-3,888 62
							-44,092 56	0 00	-14,264 07	-4,478.79	0 00	-18,742.86
			11/23/2005	-1,758.00		1,659.34	-3,353 36	0 00	-1,541 48	-152 54	0 00	-1,694 02
							-44,092 56	0 00	-14,264 07	-4,478.79	0 00	-18,742 86
			12/19/2005	-1,969 00		1,858.49	-3,880 47	0 00	-1,718 38	-303 60	0 00	-2,021 98
							-44,092.56	0 00	-14,264 07	-4,478 79	0 00	-18,742 86
			4/24/2008	-5,597 00		5,282 88	-7,190 73	0 00	-648 93	-1,258.92	0 00	-1,907.95
							-44,092 56	0 00	-14,264 07	-4,478.79	0 00	-18,742 86
			4/25/2008	-5,873 00		5,543 39	-7,539 65	0 00	-700 55	-1,295 71	0 00	-1,996 26
01/15/2010	01/21/2010	MAGNA INTERNATIONAL INC COMMON STOCK		-630 00	57 91	36,474 65	-44,361 38	0 00	-7,886 73	0 00	0 00	-7,886 73
			9/8/2006	-250 00		14,474 07	-17,633 40	0 00	-3,159 33	0 00	0 00	-3,159 33
							-44,361 38	0 00	-7,886.73	0 00	0 00	-7,886 73
			9/11/2006	-380.00		22,000 58	-26,727 98	0 00	-4,727 40	0 00	0 00	-4,727 40
01/19/2010	01/22/2010	MS&AD INSURANCE GROUP HOLDINGS, INC NPV		-870 00	27 02	23,499 92	-34,799 09	0 00	-21,157 86	9,858 69	0 00	-11,299 17
			8/30/2006	-300.00		8,103 42	-11,884 71	0 00	-7,193 93	3,412 64	0 00	-3,781 29
							-34,799 09	0 00	-21,157 86	9,858 69	0 00	-11,299 17
			9/25/2006	-570 00		15,396 50	-22,914 38	0 00	-13,963 93	6,446 05	0 00	-7,517 88
01/19/2010	01/22/2010	NATIXIS EUR1 6(POST SUBDV)		-1,800 00	5 18	9,320 96	-37,665 30	0 00	-28,914 93	570 59	0 00	-28,344 34
			9/10/2007	-520 00		2,692 72	-10,670 18	0 00	-8,458 90	481 44	0 00	-7,977 46

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 32 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	NATIXIS EUR1 6(POST SUBDV)	9/11/2007	-1,800.00 5 18	9,320.96	-37,665.30	0.00	0.00	-28,914.93	570.59	0.00	-28,344.34
				-540.00	2,796.29	-11,250.98	0.00	0.00	-8,937.61	482.92	0.00	-8,454.69
			12/5/2007	-740.00	3,831.95	-15,744.14	0.00	0.00	-11,518.42	-393.77	0.00	-11,912.19
01/19/2010	01/22/2010	NEC CORP NPV		-14,300.00 2 77	39,667.70	-69,608.16	0.00	0.00	-35,527.63	5,587.17	0.00	-29,940.46
			12/20/2006	-5,080.00	14,091.74	-24,693.37	0.00	0.00	-11,993.73	1,392.10	0.00	-10,601.63
			5/22/2007	-6,220.00	17,254.06	-32,421.75	0.00	0.00	-16,995.50	1,827.81	0.00	-15,167.69
			2/21/2008	-3,000.00	8,321.90	-12,493.04	0.00	0.00	-6,538.40	2,367.26	0.00	-4,171.14
01/19/2010	01/22/2010	ROYAL BANK OF SCOTLAND GROUP PLC ORD GBP0 25		-10,342.00 0 61	6,282.94	-76,937.75	0.00	0.00	-55,912.64	-14,742.17	0.00	-70,654.81
			11/21/2007	-1,311.97	797.04	-10,649.06	0.00	0.00	-7,628.96	-2,223.06	0.00	-9,852.02
			11/26/2007	-1,199.22	728.55	-10,015.03	0.00	0.00	-7,234.72	-2,051.76	0.00	-9,286.48
			12/12/2007	-3,136.42	1,905.43	-29,416.36	0.00	0.00	-21,662.86	-5,848.07	0.00	-27,510.93
			2/4/2008	-1,619.46	983.85	-12,804.45	0.00	0.00	-9,641.38	-2,179.22	0.00	-11,820.60
			5/30/2008	-3,074.93	1,868.07	-14,052.85	0.00	0.00	-9,744.72	-2,440.06	0.00	-12,184.78

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 33 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	SEVEN & I HOLDINGS CO LTD NPV		-200.00	22 35	4,468 80	-4,351 26	64.61	0 00	52 93	117 54	0 00
			1/12/2010	-200 00		4,468 80	-4,351 26	64 61	0 00	52 93	117 54	0 00
01/19/2010	01/22/2010	TAKEDA PHARMACEUTICAL CO LTD NPV		-500.00	43 38	21,683 56	-25,322 76	0 00	-8,069 46	4,430 26	0 00	-3,639 20
			7/7/2008	-500 00		21,683 56	-25,322 76	0 00	-8,069 46	4,430 26	0 00	-3,639 20
01/15/2010	01/21/2010	TYCO ELECTRONICS LTD F		-1,372 00	25 14	34,480 33	-46,789 92	0 00	-12,309 59	0 00	0 00	-12,309 59
			2/14/2006	-147 50		3,706 89	-4,272 24	0 00	-565 35	0 00	0 00	-565 35
							-46,789 92	0 00	-12,309 59	0 00	0 00	-12,309 59
			2/16/2006	-254 50		6,395 95	-7,417 41	0 00	-1,021 46	0 00	0 00	-1,021 46
							-46,789 92	0 00	-12,309 59	0 00	0 00	-12,309 59
			7/27/2007	-388 00		9,751 00	-14,310 95	0 00	-4,559 95	0 00	0 00	-4,559 95
							-46,789 92	0 00	-12,309 59	0 00	0 00	-12,309 59
			7/30/2007	-512 00		12,867 29	-18,294 58	0 00	-5,427 29	0 00	0 00	-5,427 29
							-46,789 92	0 00	-12,309 59	0 00	0 00	-12,309 59
			7/31/2007	-70 00		1,759 20	-2,494 74	0 00	-735 54	0 00	0 00	-735 54
01/19/2010	01/22/2010	UNICREDIT SPA EURO 50		-2,991 00	3 18	9,537 72	-16,032 90	0 00	-5,025 18	-1,470 00	0 00	-6,495 18
			7/23/2008	-2,991 00		9,537 72	-16,032 90	0 00	-5,025 18	-1,470 00	0 00	-6,495 18
01/19/2010	01/22/2010	VODAFONE GROUP ORD USD0 11428571		-6,100 00	2 22	13,548 28	-12,031 97	1,632 65	0 00	-116 34	1,516 31	0 00
			7/28/2009	-6,100 00		13,548 28	-12,031 97	1,632 65	0 00	-116 34	1,516 31	0 00
01/19/2010	01/22/2010	WM MORRISON SUPERMARKETS ORD GBPO 10		-11,275 00	4 61	55,363 52	-37,886 93	0 00	21,222 08	-3,745 49	0 00	17,476 59
			7/23/2004	-4,130 00		20,279 50	-13,617 30	0 00	8,144 51	-1,482 31	0 00	6,662 20

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 34 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain / Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/19/2010	01/22/2010	WM MORRISON SUPERMARKETS ORD		-11,275 00	4 91	55,363 52	-37,886 93	0 00	21,222 08	-3,745 49	0 00	17,476 59
		GBPO 10		-2,680 00		13,159 58	-9,314 72	0 00	4,688 07	-843 21	0 00	3,844 86
		10/4/2004					-37,886 93	0 00	21,222 08	-3,745 49	0 00	17,476 59
		4/6/2005		-1,590 00		7,807 36	-5,860 91	0 00	2,706 19	-759 74	0 00	1,946 45
		9/30/2005		-1,868 00		9,172 42	-37,886 93	0 00	21,222 08	-3,745 49	0 00	17,476 59
		10/3/2005		-518 00		2,543 53	-1,631 39	0 00	1,037 09	-124 95	0 00	912 14
		10/4/2005		-489 00		2,401 13	-1,552 65	0 00	855 43	-106 95	0 00	848 48
		Common Stock				2,776,255 20 ✓	-3,133,432 21	74,424 54	-447,504 44	15,902 89 ✓	73,505 79	-430,682 80
		Preferred Stock										
01/15/2010	01/21/2010	ADR TELE NORTE LESTE PARTICIPACOE S A SPONSORED ADR REPSTG		-550 00	19 97	10,980 06	-8,525 80	0 00	2,454 26	0 00	0 00	2,454 26
		PFD PREFERENCE SH		-550 00		10,980 06	-8,525 80	0 00	2,454 26	0 00	0 00	2,454 26
01/15/2010	01/21/2010	ADR VIVO PARTICIPACOE S A SPONSORED ADRREPSTG		-185 00	28 55	5,279 25	-3,386 29	0 00	1,892 96	0 00	0 00	1,892 96
		PFD NEW		-55 00		1,569 51	-1,103 13	0 00	466 38	0 00	0 00	466 38
		4/25/2001					-3,386 29	0 00	1,892 96	0 00	0 00	1,892 96
		4/25/2001		-19 25		549 33	-358 01	0 00	191 32	0 00	0 00	191 32
		4/25/2001		-43 84		1,251 04	-749 10	0 00	501 94	0 00	0 00	501 94

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Northern Trust

Capital Gains
01 JAN 10 - 31 DEC 10

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 35 of 35

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
01/15/2010		#REORG/ADR VIVO STOCK		-185.00		5,279.25	-3,386.29	0.00	1,892.96	0.00	0.00	1,892.96
01/21/2010		MERGER ADR TELECOMUNICACOES DE SAO PAULO S A - TE		28.55								
		4/25/2001		-4.48		127.84	-100.96	0.00	26.88	0.00	0.00	26.88
5/2010		ADR TELE NORTE LESTE PARTICIPACOES S A		-550.00		10,980.06	-8,525.80	0.00	2,454.26	0.00	0.00	2,454.26
01/21/2010		SPONSORED ADR REPSTG PFD PREFERENCE SH		19.97								
		4/25/2001		-550.00		10,980.06	-8,525.80	0.00	2,454.26	0.00	0.00	2,454.26
		16,259.31					-11,912.09	0.00	4,347.22	0.00	0.00	4,347.22
		Preferred Stock										
		Total Sales				2,792,514.51	-3,145,344.30	74,424.54	-443,157.22	15,902.89	73,505.79	-426,335.58
		Report Total:				3,248,878.73	-3,602,970.64	74,424.54	-442,706.44	14,189.99	71,792.89	-425,884.80
		Total Short Term Gain Proceeds				336,669.87						
		Total Short Term Loss Proceeds				26,845.11						
		Total Long Term Gain Proceeds				1,220,377.71						
		Total Long Term Loss Proceeds				1,209,072.60						
		Total Undetermined Tax Lot Proceeds				455,913.44						
		Additional Short Term Proceeds from zero G/L Transactions				0.00						
		Additional Long Term Proceeds from zero G/L Transactions				0.00						
		Short Term Proceeds due to REIT Re-Allocation				0.00						
		Long Term Proceeds due to REIT Re-Allocation				0.00						
		Total Short Term Proceeds				363,514.98						
		Total Long Term Proceeds				2,429,450.31						
		Total S/T translation gain/loss								-2,631.65		
		Total L/T translation gain/loss								16,821.64		
		Total realized gains						78,131.14	357,908.84	48,605.43	81,777.87	366,733.24
		Total realized losses						-3,706.60	-800,615.28	-34,415.44	-9,984.98	-792,618.04
		Total realized gain/loss						74,424.54	-442,706.44	14,189.99	71,792.89	-425,884.80

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36-7460983

COMMON TRUST FUND

[illegible]

36-7460983

Fv20**Totals**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Capital Gains Dividends			
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	872.		872.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			872.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	108,932.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
CHECKING ACCOUNT	46.
Total to Form 990-PF, Part I, line 3, Column A	46.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
CAPELLA DIVIDEND	2,554.	0.	2,554.
DIVIDEND INCOME	125,335.	872.	124,463.
INTEREST INCOME	307,975.	0.	307,975.
JAMRICK DIVIDEND	672.	0.	672.
THROUGH BERNSTEIN INVESTMENT MANAGEMENT	10,151.	0.	10,151.
THROUGH CERBERUS ASIA PARTNERS, L.P.	327.	0.	327.
THROUGH FLAG PRIVATE EQUITY III, L.P.	2,010.	0.	2,010.
THROUGH FLAG VENTURE PARTNERS VI, L.P.	960.	0.	960.
THROUGH JUBILEE TAX EXEMPT PARTNERS, L.P.	5,977.	0.	5,977.
THROUGH NEXT GENERATION PARTNERS V, L.P.	272.	0.	272.
THROUGH NORTHERN TRUST COMPANY AGILITY	2,446.	0.	2,446.
THROUGH NORTHERN TRUST COMPANY BRANDES	3,147.	0.	3,147.
THROUGH NORTHERN TRUST COMPANY INDEX ACCOUNT	552.	0.	552.
THROUGH NORTHERN TRUST COMPANY RHJ	131,175.	0.	131,175.
THROUGH TECHNOLOGY CROSSOVER VENTURES II,III,V & VI LPs	11,485.	0.	11,485.
Total to Fm 990-PF, Part I, ln 4	605,038.	872.	604,166.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
THROUGH NORTHERN TRUST COMPANY	91.	91.	
MUNICIPAL BOND INTEREST	-8,837.	0.	
THROUGH CERBERUS ASIA PARTNERS, L.P.	-15,599.	-15,599.	
THROUGH FLAG PRIVATE EQUITY III, L.P.	-375.	-375.	
THROUGH FLAG VENTURE PARTNERS VI, L.P.	576.	576.	
THROUGH NEXT GENERATION PARTNERS V, L.P.	2,597.	2,597.	
THROUGH TIFF PARTNERS II, LLC	-406.	-406.	
THROUGH UMPQUA INVESTMENT COMPANY, LLC	-58.	-58.	
THROUGH TECHNOLOGY CROSSOVER VENTURES v	-22.	-22.	
THROUGH WELLINGTON TRUST COMPANY NA	7,421.	7,421.	
Total to Form 990-PF, Part I, line 11	-14,612.	-5,775.	

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
TAX PREPARATION/PLANNING FEES	20,000.	20,000.		0.
ACCOUNTING/RECORD KEEPING	40,000.	0.		40,000.
To Form 990-PF, Pg 1, ln 16b	60,000.	20,000.		40,000.

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
PORTFOLIO MANAGEMENT/ADMINISTRATION FEES	198,413.	198,413.		0.	
GRANT & ADMINISTRATION EXPENSES	80,000.	0.		80,000.	
CAMBRIDGE ASSOCIATES FEES	49,066.	49,066.		0.	
To Form 990-PF, Pg 1, ln 16c	327,479.	247,479.		80,000.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FOREIGN WITHHOLDING TAXES	8,671.	8,671.		0.	
To Form 990-PF, Pg 1, ln 18	8,671.	8,671.		0.	

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FILING FEES	750.	0.		750.	
MISCELLANEOUS	92.	0.		92.	
To Form 990-PF, Pg 1, ln 23	842.	0.		842.	

Form 990-PF	U.S. and State/City Government Obligations	Statement	8
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
U.S. GOVERNMENT BONDS	X		2,490,724.	2,509,280.
U.S. GOVERNMENT MORTGAGE BACKED SECURITIES	X		85,123.	80,884.
Total U.S. Government Obligations			2,575,847.	2,590,164.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			2,575,847.	2,590,164.

Form 990-PF	Corporate Stock	Statement	9
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Description	Book Value	Fair Market Value
BRANDES PORTFOLIO	0.	0.
AGILITY PARTNERS PORTFOLIO	0.	0.
Total to Form 990-PF, Part II, line 10b	0.	0.

Form 990-PF	Corporate Bonds	Statement	10
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Description	Book Value	Fair Market Value
U.S. GOVERNMENT AGENCY BONDS	1,272,875.	1,308,457.
CORPORATE BONDS	1,859,770.	1,874,669.
COMMERCIAL MORTGAGE-BACKED SECURITIES	83,799.	83,585.
ASSET BACKED SECURITIES	1,788.	1,551.
NON-GOVERNMENT BACKED CMOS	2,311.	1,979.
Total to Form 990-PF, Part II, line 10c	3,220,543.	3,270,241.

Form 990-PF

Other Investments

Statement 11

Description	Valuation Method	Book Value	Fair Market Value
TECHNOLOGY CROSSOVER VENTURE II LP	COST	92,291.	0.
TIFF PARTNERS II	COST	180,486.	19,897.
CERBERUS INTL LTD	COST	69,182.	72,462.
UMPQUA INVESTMENT COMPANY, LLC	COST	0.	0.
CERBERUS ASIA PARTNERS, LTD	COST	330,545.	325,668.
TECHNOLOGY CROSSOVER VENTURE III LP	COST	90,471.	16,855.
TECHNOLOGY CROSSOVER VENTURE V LP	COST	450,778.	332,901.
FIR TREE INTERNATIONAL VALUE FUND	COST	300,000.	535,793.
SIRIOS OVERSEAS FUND	COST	300,000.	459,038.
OZ OVERSEAS FUND II	COST	98,734.	57,100.
CONTRARIAN OFFSHORE FUND	COST	250,000.	451,618.
DAVIDSON KEMPNER INTERNATIONAL FUND	COST	250,000.	447,895.
JUBILEE TAX EXEMPT PARTNERS, L.P.	COST	332,889.	534,057.
LONGLEAF PARTNERS FUND	COST	0.	0.
NORTHERN TRUST RUSSELL 100 INDEX FUND	COST	0.	0.
NEXT GENERATION PARTNERS V, L.P.	COST	390,000.	276,980.
VIKING GLOBAL EQUITIES III	COST	300,000.	588,071.
FLAG PRIVATE EQUITY III, L.P.	COST	278,000.	239,025.
FLAG VENTURE PARTNERS VI, L.P.	COST	357,000.	292,412.
TECHNOLOGY CROSSOVER VENTURE VI LP	COST	281,940.	201,740.
GREENLIGHT MASTERS OFFSHORE	COST	2,900,000.	3,225,133.
GRUSS GLOBAL INVESTORS	COST	700,000.	722,258.
WELLINGTON TRUST COMPANY NA	COST	1,192,825.	1,458,189.
COLCHESTER GLOBAL BOND FUND	COST	2,500,000.	2,638,951.
LEXINGTON CAPITAL PARTNERS	COST	35,980.	33,709.
ISHARES MCSI ACWI INDEX FUND	COST	6,380,478.	6,528,143.
PERRY PARTNERS INTERNATIONAL	COST	43,809.	31,701.
Total to Form 990-PF, Part II, line 13		18,105,408.	19,489,596.

Form 990-PF

List of Substantial Contributors
Part VII-A, Line 10

Statement 12

<u>Name of Contributor</u>	<u>Address</u>
HINDA G. ROSENTHAL CHARITABLE LEAD ANNUITY TRUST	C/O AA&M ,INC., 2777 SUMMER STREET, SUITE 208B STAMFORD, CT 06905
HINDA G. ROSENTHAL CHARITABLE LEAD ANNUITY TRUST 2	C/O AA&M ,INC., 2777 SUMMER STREET, SUITE 208B STAMFORD, CT 06905

Form 990-PF

Part VIII - List of Officers, Directors Trustees and Foundation Managers

Statement 13

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
NANCY STEPHENS ROSENTHAL 255 N. SALT AIR, LOS ANGELES, CA 90049	PRESIDENT & TRUSTEE 30.00	10,000.	0.	0.
JAMIE R. WOLF 812 N. FOOTHILL RD, BEVERLY HILLS, CA 90210	SECRETARY & TRUSTEE 20.00	10,000.	0.	0.
RICK ROSENTHAL 255 N. SALT AIR, LOS ANGELES, CA 90049	TREASURER & TRUSTEE 10.00	10,000.	0.	0.
NOAH ROSENTHAL 2015 NICHOLS CANYON ROAD, LOS ANGELES, CA 90046	TRUSTEE 10.00	10,000.	0.	0.
DAVID M. WOLF 812 N. FOOTHILL RD, BEVERLY HILLS, CA 90210	TRUSTEE 10.00	10,000.	0.	0.

KATE WOLF TRUSTEE
 580 CRANE BOULEVARD, LOS ANGELES,
 CA 90065 10.00

10,000. 0. 0.

Totals included on 990-PF, Page 6, Part VIII

60,000. 0. 0.

	9/28/11			
	THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT. PAID</u>	
1	AFRICAN MILLENIUM FOUNDATION		750.00	
2	AFRICA SCHOOLHOUSE		750 00	
3	ALLIANCE FOR CHILDREN'S RIGHTS		1,000 00	
4	ALZHEIMER'S ASSOCIATION OF LA		500.00	
5	AMERICAN CANCER SOCIETY		1,000 00	
6	AMER/ ACADEMY OF ARTS & LETTERS		22,000 00	
7	AMERICAN ASSOC. FOR CANCER RESEARCH INC		15,744 00	
8	AMERICAN FILM INSTITUTE-FADIMAN		30,000.00	
9	AMERICANS FOR THE ARTS		40,000.00	
10	AMERICAN FRIENDS OF PARENTS CIRCLE		4,500 00	
11	AMERICAN MUSEUM OF NATURAL HISTORY		400.00	
12	AMERICARES		1,000 00	
13	AMERICAN RED CROSS		500 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
14	AMNESTY INTERNATIONAL U S.A.		1,000 00	
15	ASCAP FDN/CHILDREN WILL LISTEN		2,000.00	
16	AUSTIN COMMUNITY FOUNDATION		2,500 00	
17	AUTISM SPEAKS (FR· CURE AUTISM NOW)		5,000 00	
18	BARD COLLEGE		5,000.00	
19	BET TZEDEK LEGAL SERVICES		1,000 00	
20	BILL FOUNDATION		5,000.00	
21	BRAVE NEW FOUNDATION(BRAVE NEW FILMS)		5,000 00	
22	BRENTWOOD VILLAGE TREE FDN		750.00	
23	BROOKLYN ACADEMY OF MUSIC		1,000 00	
24	CA LEAGUE OF CONSERVATION VOTERS EDU FUND		3,000 00	
25	CANTEEN ARTS		4,000 00	
26	CEMAR		5,000 00	
27	CENTER FOR EARLY EDUCATION		1,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
28	CHOATE ROSEMARY HALL FOUNDATION		2,500 00	
29	CHRYSLIS (Los Angeles, CA)		5,000 00	
30	CLASSIC STAGE COMPANY		1,500.00	
31	CODEPINK		3,000 00	
32	COMMITTEE TO BRIDGE THE GAP		1,000 00	
33	COMMUNITY MEDIA PROJECT		7,500 00	
34	COMMUNITY PARTNERS FBO COLLEGE MATCH		2,000 00	
35	CONNECTICUT COLLEGE		1,000 00	
36	COURAGE CAMPAIGN INSTITUTE		8,000 00	
37	DEFENDERS OF WILDLIFE		10,000 00	
38	DILLON HENRY FOUNDATION		1,000 00	
39	ELLA BAKER CENTER		5,000 00	
40	ENVIRONMENT CALIFORNIA		1,000 00	
41	FAIRFIELD COUNTY MEDICAL ASSOCIATION		6,132 74	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
42	FESTIVAL OF NEW AMERICAN MUSIC		2,500 00	
43	FIRE STATION 19/BRENTWOOD		1,000 00	
44	FISH OF STAMFORD, INC		500 00	
45	FRIENDS OF ROBINSON GARDENS		11,500 00	
46	FRIENDS OF THE SABAN FREE CLINIC		10,000 00	
47	GARDEN SCHOOL FOUNDATION		1,000 00	
48	GABRIELLA AXELRAD EDUCATION FDN		2,500.00	
49	GET LIT/FBO MAGIC POETRY BUS		750.00	
50	GETTING OUT & STAYING OUT		500 00	
50	GIRAFFE PROJECT		2,500 00	
51	GLOBAL FAIRNESS INITIATIVE		500 00	
52	GRTR WEST HOLLYWD FD COAL		8,000 00	
53	GUERNICA MAGAZINE		500 00	
54	GUGGENHEIM MUSEUM		1,750 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
55	HAMILTON GALLERIES		1,000.00	
56	HAMMER MUSEUM		2,000 00	
57	HEAL THE BAY		1,000 00	
58	HIDDEN HARVEST		3,500 00	
59	HIGH COUNTRY NEWS RESEARCH FUND		500.00	
60	HUMAN RIGHTS CAMPAIGN		5,000.00	
61	HUMAN RIGHTS WATCH		2,500 00	
62	IFP FISCAL SPONSORSHIP		52,500 00	
63	INDIE GOGO(GIRLS IN THE BAND)		2,500.00	
64	INSIGHT LA		20,000.00	
65	INSTITUTE FOR AMERICA'S FUTURE		500 00	
66	INTERNATIONAL RESCUE COMM		10,000 00	
67	JACARANDA MUSIC AT THE EDGE		1,000 00	
68	JEWISH MUSEUM		1,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
69	KATAHDIN FOUNDATION		50,000 00	
69	KAYNE ERAS CENTER		10,000 00	
70	KCET-CHANNEL 28 (Los Angeles, CA)		3,000 00	
71	KCRW-FM PUBLIC RADIO		1,500 00	
72	KJAZZ 99 (name change from KLON RADIO)		1,000.00	
73	KPCC FM		2,500 00	
74	LAANE		1,200 00	
75	LANGE FOUNDATION		11,000 00	
76	LEAGUE OF CONSERVATION VOTERS FD - EDUC FD		5,000 00	
77	LIBERTY HILL FOUNDATION (Santa Monica, CA)		56,334 50	
78	LIBRARY FDN OF LOS ANGELES		2,700 00	
79	LINCOLN CENTER FOR THE PERFORMING ARTS, INC		2,500 00	
80	LINCOLN CENTER THEATER VIVIAN BEAUMONT THREATRE, INC		Bank ck	
81	LONG RIDGE FIRE COMPANY (Stamford)		500 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
82	LOS ANGELES CONSERVANCY		2,500 00	
83	L A CHILD DEVELOPMENT CENTER		500 00	
84	LA COUNTY ARTS COMMISSION		10,000 00	
85	LA COUNTY MUSEUM OF ART		16,000 00	
86	MADRE		500 00	
87	MANHATTAN THEATRE CLUB		6,000 00	
88	METROPOLITAN MUSEUM OF ART (New York)		1,200 00	
89	MOTHER JONES INVESTIGATIVE FUND		40,000 00	
90	MUSEUM OF MODERN ART (New York)		1,500.00	
91	MVP BASKETBALL CAMP		10,000 00	
92	NARAL FOUNDATION		7,500 00	
93	NARRATIVE MAGAZINE		1,500.00	
94	NATIONAL LAWYER'S GUILD FDN(AETA DEFENSE FUND)		500 00	
95	NATIONAL NONPROFIT VOTER ENGAGEMENT		2,000.00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
96	NATIONAL PARTNERSHIP FOR WOMEN & FAMILY		80,000.00	
97	NATIONAL TRUST FOR HISTORIC PRESERVATION		1,000.00	
98	NATIONAL URBAN LEAGUE		5,000 00	
99	NATURAL RESOURCES DEFENSE COUNCIL		10,000.00	
100	NEIGHBORHOOD MUSIC SCHOOL ASSOCIATION		1,000 00	
101	NEW CANAAN COUNTRY SCHOOL		5,000 00	
101	NEW MUSEUM OF CONTEMPORARY ART, NY		400 00	
102	NEW ROADS SCHOOL		1,100 00	
103	NEW VENTURE FUND(Arabella Philanthropic Investment Advisors)		5,000 00	
104	NEW YORK PUBLIC LIBRARY		1,500 00	
105	NMAI/ NAT. MUSE. AMER INDIAN/SMITHSONIAN		1,000 00	
106	OCEAN PARK COMMUNITY,CA		500 00	
107	OJAI FOUNDATION		2,500 00	
108	OPERATION USA		1,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
109	OPERATION USA/HAITI		5,000 00	
110	OUTWARD BOUND USA		2,500.00	
111	PARTNERS IN HEALTH/HAITI		6,000 00	
112	PEGASUS THERAPEUTIC RIDING INC		500 00	
113	PEN CENTER USA		320,000 00	
114	PEOPLE FOR THE AMERICAN WAY		20,000.00	
115	PET SMART CHARITIES		3,000 00	
116	PETS ARE WONDERFUL (PAWS)		1,000 00	
117	PHOTO BASED ART INC(BLIND SPOT)		1,500 00	
118	PITZER COLLEGE		13,500.00	
119	PLANNED PARENTHOOD LOS ANGELES		8,500 00	
120	PLAYWRIGHTS HORIZONS, INC.		15,000 00	
121	PLOUGHSHARES FUND		5,000 00	
122	POETS AND WRITERS, INC.		1,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
123	RADCLIFFE COLLEGE		1,000 00	
124	REAL MEDICINE FOUNDATION		2,000 00	
125	REAL NEWS PROJECT		26,000 00	
126	REPRISE		1,500 00	
127	RICHLAND COUNTY FOUNDATION		500 00	
128	ROGUE MACHINE THEATER		1,000 00	
129	RYMAN ARTS		1,000.00	
130	SALK INSTITUTE,THE		1,000 00	
131	SAN FRANCISCO CAMERAWORK		700 00	
132	SANTA MONICA-MALIBU EDUCATION FDN		700.00	
133	SMM0A (Santa Monica Museum of Art)		1,000 00	
134	SHELTER PARTNERSHIP (Los Angeles)		7,500 00	
135	SILVERMINE GUILD ARTS CENTER		1,500 00	
136	SOUTHERN POVERTY LAW CENTER		10,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
137	STAMFORD ART ASSOCIATION		1,000.00	
138	STAMFORD EMERGENCY MEDICAL SERVICES		500 00	
139	STAMFORD FIRE FIGHTERS BURN FOUNDATION		500 00	
140	STAMFORD POLICE ASSOCIATION, INC		500 00	
141	TEACH FOR AMERICA		5,000.00	
142	THE ALLIANCE FOR CLIMATE PROTECTION		10,000.00	
143	THEATRE INSITE FOR WORDTHEATRE		1,000.00	
144	THE AMERICAN CINEMATHEQUE		2,500 00	
145	THE CIVILIANS (He/She/He)		1,500 00	
146	THE GOOD DOG FOUNDATION		1,000 00	
147	THE MAGNUM FOUNDATION		30,000 00	
148	THE NATION ASSOCIATES		1,000 00	
149	THE WOMEN'S MEDIA CENTER		2,500 00	
150	THREEPENNY REVIEW		5,000 00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
151	TIDES CENTER-(TRIBES IN TRANSITION)		850 00	
152	TIKKUN MAGAZINE AND NSP		1,000 00	
153	TREE PEOPLE		5,000.00	
154	TRICKLE UP		2,500 00	
155	TURNELLE ATOLL TRUST		5,000.00	
156	UNITED FRIENDS OF CHILDREN		1,000 00	
157	UC RIVERSIDE FOUNDATION		20,000.00	
158	UNIV OF CA PRESS FOUNDATION		10,000.00	
159	UCLA FOUNDATION#810360 - FRIENDS OF ENGLISH-CAROLYN SEE		750 00	
160	UCLA/MED CTR L RIGLER CTR HEALTH SCIENCES		1,000 00	
161	USA FOR UNHCR		1,000.00	
162	UNIVERSITY OF MANITOBA/FINAN AIDS & AWARDS		3,050 20	
163	VENICE FAMILY CLINIC		5,000 00	
164	VIOLENCE POLICY CENTER		3,000.00	

	9/28/11 THE ROSENTHAL FAMILY FOUNDATION			
	YEAR OF 2010		2010	
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>	
165	VIRGINIA AVENUE PROJECT		3,500 00	
166	VISITING NURSE & HOSPICE CARE OF WE CT		5,000.00	
167	WHITNEY MUSEUM OF AMERICAN ART		135 00	
168	WITNESS		1,000.00	
169	WOMEN'S CAMPAIGN INTERNATIONAL		2,000.00	
170	WOMEN'S VOICES WOMEN'S VOTE		10,000 00	
171	WRIGHT FOUNDATION		500.00	
	YEAR END TOTALS		1,323,646.44	