



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeMAX KADE FOUNDATION, INC.
6 EAST 87TH STREET FL-5
NEW YORK, NY 10128-0505

A Employer identification number

13-5658082

B Telephone number (see the instructions)

646-672-4354

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 89,946,644.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)				
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	1,921,130.	1,921,130.	1,921,130.	
4 Dividends and interest from securities	943,478.	943,478.	943,478.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	466,638.	STATEMENT 1		
b Gross sales price for all assets on line 6a	20,806,030.			
7 Capital gain net income (from Part IV, line 2)		456,009.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) SEE STATEMENT 2	74,662.		74,662.	
12 Total. Add lines 1 through 11	3,405,908.	3,320,617.	2,939,270.	
13 Compensation of officers directors trustees, etc	198,920.			198,920.
14 Other employee salaries and wages	143,224.			143,224.
15 Pension plans, employee benefits	68,710.			68,710.
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 3	28,360.			28,360.
c Other prof fees (attach sch) SEE ST 4	1,197.	1,197.	1,197.	
17 Interest	59,469.	59,469.	59,469.	
18 Taxes (attach schedule (see instr)) SEE STM 5	93,445.			93,445.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	6,559.			6,559.
22 Printing and publications	40.			40.
23 Other expenses (attach schedule) SEE STATEMENT 6	52,290.			52,290.
24 Total operating and administrative expenses. Add lines 13 through 23	652,214.	60,666.	60,666.	591,548.
25 Contributions, gifts, grants paid PART XV	3,624,070.			3,624,070.
26 Total expenses and disbursements. Add lines 24 and 25	4,276,284.	60,666.	60,666.	4,215,618.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-870,376.			
b Net investment income (if negative, enter -0)		3,259,951.		
c Adjusted net income (if negative, enter -0)			2,878,604.	

614

28

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing	272.	165.	165.
	2	Savings and temporary cash investments	5,975,724.	5,947,183.	5,947,183.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	72,222.	7,023.	7,023.
	10a	Investments — U.S. and state government obligations (attach schedule) STATEMENT 7	22,925,325.	17,861,478.	17,830,212.
	b	Investments — corporate stock (attach schedule) STATEMENT 8	21,338,489.	20,633,282.	32,995,385.
	c	Investments — corporate bonds (attach schedule) STATEMENT 9	15,996,382.	17,607,410.	18,522,404.
	11	Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments — mortgage loans				
13	Investments — other (attach schedule) STATEMENT 10	9,328,600.	12,709,613.	14,644,272.	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	75,637,014.	74,766,154.	89,946,644.	
LIABILITIES	17	Accounts payable and accrued expenses	484.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	484.	0.	
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	75,636,530.	74,766,154.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see the instructions)	75,636,530.	74,766,154.	
	31	Total liabilities and net assets/fund balances (see the instructions)	75,637,014.	74,766,154.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	75,636,530.
2	Enter amount from Part I, line 27a	2	-870,376.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	74,766,154.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	74,766,154.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 11				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	456,009.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	<div><div></div></div>	3	-392,922.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	3,844,480.	81,632,695.	0.047095
2008	4,791,658.	88,819,533.	0.053948
2007	5,472,730.	94,782,412.	0.057740
2006	4,750,125.	90,658,139.	0.052396
2005	5,027,456.	89,764,397.	0.056007

2 Total of line 1, column (d)	2	0.267186
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053437
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	86,090,718.
5 Multiply line 4 by line 3	5	4,600,430.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	32,600.
7 Add lines 5 and 6	7	4,633,030.
8 Enter qualifying distributions from Part XII, line 4	8	4,215,618.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

BAA

Form 990-PF (2010)

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)		1	65,199.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	65,199.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	65,199.
6 Credits/Payments			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a 72,222.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	72,222.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,023.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax 7,023. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MAX KADE FOUNDATION, INC.</u> Telephone no <u>646-672-4354</u> Located at <u>6 EAST 87TH STREET NEW YORK CITY NY</u> ZIP + 4 <u>10128-0505</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

BAA

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		198,920.	40,203.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KARIN A. GERBAVSITS 50-32 245TH STREET DOUGLASTON, NY 11362	ADMINISTRATOR 40	82,907.	16,502.	0.
KATHLEEN L. PENDLETON 72-37 CYPRESS HILLS STREET GLENDALE, NY 11385	BOOKKEEPER 40	60,317.	12,005.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	78,989,099.
b	Average of monthly cash balances	1b	8,412,645.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	87,401,744.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	87,401,744.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,311,026.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	86,090,718.
6	Minimum investment return. Enter 5% of line 5	6	4,304,536.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,304,536.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	65,199.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	65,199.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,239,337.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,239,337.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,239,337.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	4,215,618.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,215,618.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,215,618.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				4,239,337.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	445,081.			
b From 2006	320,771.			
c From 2007	912,333.			
d From 2008	410,519.			
e From 2009				
f Total of lines 3a through e	2,088,704.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 4,215,618.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				4,215,618.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	23,719.			23,719.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,064,985.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	421,362.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,643,623.			
10 Analysis of line 9				
a Excess from 2006	320,771.			
b Excess from 2007	912,333.			
c Excess from 2008	410,519.			
d Excess from 2009				
e Excess from 2010				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULE ATTACHED PAID	NONE	PUBLIC		3,624,070.
Total				3a 3,624,070.
b Approved for future payment SCHEDULE ATTACHED FUTURE PAYMENTS	NONE	PUBLIC		2,566,254.
Total				3b 2,566,254.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,921,130.	
4 Dividends and interest from securities			14	943,478.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	10,629.	18	456,009.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a K-1 INVESTMENT INCOME			14	12,734.	
b OTHER INVESTMENT INCOME	525990	31,969.	14		
c REFUND-PRIOR YEAR GRANTS			14	29,959.	
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		42,598.		3,363,310.	
13 Total. Add line 12, columns (b), (d), and (e)				13	3,405,908.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 1
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	UBTI CAPITAL GAIN & 1256	
DATE ACQUIRED:	VARIOUS	
HOW ACQUIRED:	PURCHASE	
DATE SOLD:	VARIOUS	
TO WHOM SOLD:		
GROSS SALES PRICE:	10,629.	
COST OR OTHER BASIS:	0.	
BASIS METHOD:	COST	
		GAIN (LOSS) 10,629.
	NET GAIN (LOSS) REPORTED IN PART IV.....	456,009.
	TOTAL NET GAIN (LOSS) FROM NON-INVENTORY SALES.....	\$ 466,638.

STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
K-1 INVESTMENT INCOME	\$ 12,734.		
OTHER INVESTMENT INCOME	31,969.		
REFUND-PRIOR YEAR GRANTS	29,959.		
TOTAL	\$ 74,662.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	\$ 28,360.			\$ 28,360.
TOTAL	\$ 28,360.	\$ 0.	\$ 0.	\$ 28,360.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	\$ 1,197.	\$ 1,197.	\$ 1,197.	
TOTAL	\$ 1,197.	\$ 1,197.	\$ 1,197.	\$ 0.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	\$ 65,199.			\$ 65,199.
FOREIGN TAXES	6,894.			6,894.
PAYROLL TAXES	21,352.			21,352.
TOTAL	\$ 93,445.	\$ 0.	\$ 0.	\$ 93,445.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE & BLUE CROSS	\$ 38,143.			\$ 38,143.
NY STATE FILING FEE	1,500.			1,500.
OFFICE EXPENSE	6,478.			6,478.
OFFICE SUPPLIES & EQUIPMENT	3,375.			3,375.
POSTAGE & TELEPHONE	2,794.			2,794.
TOTAL	\$ 52,290.	\$ 0.	\$ 0.	\$ 52,290.

STATEMENT 7
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FNMA 545412 5.5%	COST	\$ 104,865.	\$ 75,420.
GOVT NATL MTG ASSN 003222 6%	COST	104,682.	73,773.
GOVT NATL MTG 003245 6%	COST	135,519.	98,683.
FNR 2002-73.5%	COST	491,990.	533,677.
FHR 2519 5%	COST	89,783.	97,229.
GOVT NATL MTG ASSN 1475M 7%	COST	70,962.	69,858.
GOVT NATL MTG ASSN 2053 6.5%	COST	19,737.	15,198.
GOVT NATL MTG ASSN 2135 6.5%	COST	71,765.	59,712.
GOVT NATL MTG ASSN 2565 7.5%	COST	74,550.	39,190.
GOVT NATL MTG ASSN 780770 6%	COST	97,678.	69,661.
GOVT NATL MTG ASSN 2590 6.5%	COST	68,736.	37,694.
GOVT NATL MTG ASSN 2657 6%	COST	41,350.	32,869.
GOVT NATL MTG ASSN 2671M 6%	COST	254,122.	243,120.
GOVT NATL MTG ASSN 2687 6%	COST	132,345.	117,308.
GOVT NATL MTG ASSN 49506 6%	COST	53,459.	32,832.
GOVT NATL MTG ASSN 506600 6%	COST	102,092.	77,311.
GOVT NATL MTG ASSN 2824 7%	COST	52,012.	29,215.
GOVT NATL MTG ASSN 781124 7%	COST	168,160.	136,404.
GOVT NATL MTG ASSN 543988 6.5%	COST	38,784.	49,355.
GOVT NATL MTG ASSN 781478X 7.5% 946121	COST	364,421.	337,374.
GOVT NATL MTG ASSN 577733 5.5%	COST	190,245.	173,965.
GNR 2002-75 5%	COST	105,626.	104,242.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

<u>U.S. GOVERNMENT OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FFCB 5.24%	COST	\$ 996,603.	\$ 1,060,490.
GOVT NATL MTG ASSN 3899M 6%	COST	330,604.	330,497.
GOVT NATL MTG ASSN 3930M 5/5%	COST	421,731.	431,392.
GNMA 4048M 5.5%	COST	496,484.	518,043.
GNMA 4028M 6%	COST	336,443.	344,511.
GNMA 3953M 5.5%	COST	424,098.	451,451.
US TREASURY NOTE 5.125%	COST	1,003,731.	1,152,110.
GNMA 672615X	COST	577,137.	589,024.
GNMA 683724X	COST	888,737.	921,618.
GNMA 0001709M	COST	21,775.	17,073.
GNMA 530593	COST	84,970.	131,081.
GNMA 781987X	COST	184,812.	188,396.
GNMA 3850M	COST	477,638.	521,485.
GNMA 004223M	COST	403,809.	398,900.
GNMA 004292M	COST	429,873.	428,295.
TVA	COST	1,083,817.	1,111,990.
GNMA 615240	COST	255,483.	252,691.
GNMA 0003625	COST	161,768.	146,949.
GNMA 4243	COST	700,684.	722,122.
GNMA 4310	COST	228,789.	205,695.
GNMA 4518	COST	960,927.	960,175.
GNMA 004369M	COST	571,702.	568,246.
FNMA CALL NTS 2.5	COST	757,318.	723,360.
GNR 2010-90	COST	205,555.	195,824.
GNMA 004713	COST	448,488.	431,375.
FFCB CALL BOND 3.8	COST	626,843.	612,138.
		<u>\$ 15,912,702.</u>	<u>\$ 15,919,021.</u>
<u>STATE/MUNICIPAL OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MARICOPA CNTY AZ	COST	516,255.	513,975.
CALIFORNIA ST	COST	257,643.	258,665.
GRANITE SCHUT	COST	344,405.	346,528.
NORTH ST PAUL MAPLEWOOD	COST	830,473.	792,023.
		<u>\$ 1,948,776.</u>	<u>\$ 1,911,191.</u>
	TOTAL	<u><u>\$ 17,861,478.</u></u>	<u><u>\$ 17,830,212.</u></u>

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
AIR PRODUCT & CHEMICALS	COST	\$ 127,400.	\$ 727,600.
ANADARKO PETE CORP	COST	18,271.	761,600.
BP PLC SPONSORED ADR	COST	231,729.	331,275.
BERKSHIRE HATHAWAY INC	COST	1,215.	1,361,870.
COLGATE PALMOLIVE CO	COST	531,380.	803,700.
CORN PRODUCTS INTL	COST	196,225.	460,000.
EXXON MOBILE CORP	COST	152,688.	1,462,400.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INTL BUSINESS MACHINE	COST	\$ 177,151.	\$ 880,560.
ITT INDUSTRIES INC.	COST	485,068.	781,650.
JOHNSON & JOHNSON	COST	684,391.	773,125.
LILLY ELI CORP	COST	145,882.	350,400.
MERCK & CO INC.	COST	29,062.	540,600.
MICROSOFT CORP	COST	674,028.	697,750.
MORGAN STANLEY	COST	320,660.	272,100.
NESTLE SA	COST	435,266.	1,102,875.
PFIZER INC.	COST	439,121.	437,750.
PROCTER & GAMBLE	COST	296,085.	771,960.
STAPLES INC.	COST	376,225.	455,400.
SYSCO CORP	COST	175,250.	588,000.
TEVA PHARMACEUTICAL IND	COST	303,152.	521,300.
3M CO	COST	633,913.	863,000.
UGI CORP NEW	COST	562,825.	789,500.
CONOCO PHILLIPS	COST	496,575.	681,000.
ORACLE CORP	COST	300,497.	626,000.
EMC CORP MASS	COST	309,030.	458,000.
MORNING STAR, INC	COST	473,948.	530,800.
UNTD TECHNOLOGIES CORP	COST	795,444.	984,000.
BRISTOL MYERS SQUIBB CO	COST	235,345.	264,800.
GENERAL ELECTRIC CORP	COST	397,050.	640,150.
HESS CORP	COST	844,671.	1,148,100.
ABB LTD SPON ADR	COST	276,122.	336,750.
AMER ELECTRIC POWER CO	COST	311,802.	359,800.
COHEN STEERS INC	COST	301,696.	391,500.
FRANKLIN RESOURCES	COST	314,499.	556,050.
GENUINE PARTS	COST	370,005.	513,400.
INTEL CORP	COST	248,759.	262,875.
INTL PAPER	COST	394,047.	408,600.
JP MORGAN CHASE	COST	578,517.	636,300.
MEAD JOHNSON NUTRITION CO	COST	293,338.	466,875.
METLIFE	COST	358,715.	555,500.
SCHLUMBERGER LTD NETHERLANDS	COST	467,998.	626,250.
SPECTRA ENERGY	COST	168,408.	249,900.
SUNCOR ENERGY	COST	392,504.	574,350.
WALT DISNEY	COST	202,804.	281,325.
DEUTSCHE BK AG 5/3/12	COST	250,000.	270,750.
UBS AG PERF SEC V10 12/31/12	COST	150,000.	136,500.
AMERIPRISE FINANCIAL INC.	COST	283,655.	287,750.
BANK OF NEW YORK MELLON CORP	COST	302,384.	302,000.
BANK OF NOVA SCOTIA CANADA	COST	500,936.	572,000.
CONS ENERGY INC	COST	378,878.	389,920.
CSX CORPORATION	COST	320,296.	323,050.
LVMH MOET HENNESSY LOUIS NEW	COST	210,930.	331,500.
MCDONALDS CORP	COST	518,603.	575,700.
PEPSICO	COST	492,063.	489,975.
RIO TINTO PLC SPON ADR	COST	246,784.	358,300.
TEXAS INSTRUMENTS	COST	298,626.	406,250.
TOYOTA MOTOR CORP NEW JAPAN	COST	351,293.	393,150.
VERIZON COMMUNICATIONS INC	COST	275,532.	357,800.
BARCLAYS BANK - PREFERRED	COST	524,541.	514,000.
TOTAL		\$ 20,633,282.	\$ 32,995,385.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 9
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CON ED NYS	COST	\$ 785,781.	\$ 800,348.
VERIZON COMMUNICATIONS INC NTS	COST	1,031,925.	1,087,290.
CON EDISON NY	COST	524,995.	574,430.
VERIZON COMMUNICATIONS NTS	COST	1,047,235.	1,135,920.
INTERNATIONAL BUSINESS MACH NTS	COST	1,021,055.	1,147,750.
DUPONT DE NEMOURS NTS	COST	1,019,215.	1,065,790.
CON EDISON NTS	COST	257,858.	267,708.
WYETH CALL	COST	1,012,505.	1,131,270.
INTL BUS MACH	COST	780,770.	954,720.
WASHINGTON MUTUAL FIN SR	COST	336,212.	357,308.
WELLS FARGO	COST	493,684.	510,875.
CONS NAT GAS CO	COST	526,946.	521,145.
WACOVIA CORP	COST	497,566.	544,100.
DUKE ENERGY CORP	COST	313,757.	334,155.
VODAFONE GROUP	COST	499,300.	546,190.
METLIFE	COST	516,602.	579,980.
MORGAN STANLEY	COST	238,342.	266,620.
CVS CAREMARK	COST	543,755.	556,325.
PETRO CANADA NTS	COST	1,099,395.	1,136,160.
FPL GROUP	COST	843,545.	831,278.
HSBC FIN CORP NTS	COST	100,336.	100,101.
BANK OF AMERICA CORP NTS	COST	102,955.	102,796.
PENNSYLVANIA ELEC CO NTS	COST	809,068.	792,128.
ANHEUSER BUSCH COS NTS	COST	820,918.	834,143.
MARATHON OIL CORP	COST	419,107.	404,331.
HEWLETT PACKARD CO	COST	553,755.	563,365.
CHUBB CORP NTS	COST	553,985.	555,535.
DELL INC NTS	COST	856,843.	820,643.
TOTAL		\$ 17,607,410.	\$ 18,522,404.

STATEMENT 10
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ENTERPRISE PRODUCTS PARTNERS	COST	\$ 316,423.	\$ 832,200.
UBS EQUITY OPPORTUNITY FUND	COST	1,005,839.	985,246.
UBS EVENT & EQUITY FUND	COST	933,585.	913,992.
UBS CARLYLE REALTY PARTNERS FUND	COST	378,440.	414,500.
VINTAGE 07 SERIES AIP ASIA FUND LLC	COST	400,647.	429,042.
SPDR GOLD TRUST	COST	506,233.	1,040,400.
UNTS FT GREATER CHINA SERIES 18	COST	199,217.	281,054.
UNTS FT GREATER CHINA SERIES 19	COST	199,349.	229,186.
NUSTAR ENERGY	COST	456,128.	694,800.
CORP PROPERTY ASSOCS	COST	200,701.	236,140.
MARKET VECTORS GOOLD MINER	COST	141,453.	153,675.
VANGUARD INTL FSTE ALL WORLD	COST	105,183.	119,325.
VANGUARD INTL EQUITY	COST	219,948.	240,730.
UTILITIES SECTOR SPDR	COST	54,074.	57,979.
POWER SHARES DB MUTLI	COST	166,836.	161,750.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
ISHARES TRUST RUSSELL	COST	\$ 277,857.	\$ 312,960.
ISHARES MSCI UNTIED KINGDOMK	COST	62,764.	69,480.
ISHARES MSCI CANADA	COST	190,072.	217,000.
ISHARES TRUST DOW JONES	COST	428,059.	450,660.
ISHARES IBOX HIGH YIELD	COST	572,999.	677,175.
ISHARES IBOX INV GRA	COST	154,697.	162,660.
ISHARES TRUST S P GLOBAL	COST	172,323.	184,520.
LORD ABBETT SHORT DURATION FUND	COST	1,371,941.	1,477,293.
HSBC USA INC YON-CPW WFT	COST	99,981.	100,879.
HSBC USA INC YON-CP CBS	COST	99,990.	100,546.
HSBC USA INC YON-CP MON	COST	99,981.	100,790.
HSBC USA INC YON-CP AA	COST	99,991.	100,267.
UBS AG YON-CP IP	COST	49,976.	49,976.
UBS AG YON-CP BTU	COST	49,999.	49,999.
UBS AG YON-CP JCI	COST	99,999.	100,000.
DEUTSCHE BANK AG YON-CP HAL	COST	49,967.	49,967.
UBS AG AOS-CP APC	COST	50,000.	50,000.
BARCLAYS ROS-CP DJUBS COMM	COST	250,000.	276,500.
JP MORGAN CHASE CD BRIC	COST	100,000.	97,050.
BARCLAYS DBL SHORT LEV 1/31/11	COST	249,318.	205,651.
BARCLAYS DBL SHORT LEV 2/28/11	COST	299,592.	248,521.
ETF GUGGENHEIM BRIC (CLAYMORE)	COST	238,137.	369,120.
IPATH S&P 500 VIX MID TERM	COST	185,181.	164,400.
ISHARES INC MSCI AUSTRALIA	COST	103,482.	101,760.
ISHARES INC MSCI GERMANY	COST	61,835.	59,850.
ISHARES MSCI EAFE INDEX FUND	COST	167,297.	174,660.
POWERSHARES GLOBAL WATER	COST	140,711.	150,075.
SPDR S&P BRIC 40 ETF	COST	305,831.	342,875.
VANGUARD SECTOR INDEX FD	COST	110,389.	112,500.
ISHARES MSCI TAIWAN	COST	38,188.	39,050.
TOTAL OTHER INVESTMENTS		<u>\$ 11,464,613.</u>	<u>\$ 13,386,203.</u>

OTHER SECURITIES

COUPON CERTIFICATES OF DEPOSIT	COST	1,245,000.	1,258,069.
TOTAL OTHER SECURITIES		<u>\$ 1,245,000.</u>	<u>\$ 1,258,069.</u>
TOTAL		<u>\$ 12,709,613.</u>	<u>\$ 14,644,272.</u>

STATEMENT 11
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	FED HOME LOAN BK 3.875	PURCHASED	4/04/2008	1/15/2010
2	SCHLUMBERG LTD	PURCHASED	3/26/2009	1/15/2010
3	BC RTN OPTMZ SEC-PP	PURCHASED	7/28/2008	1/29/2010
4	CHINA MOBILE LTD	PURCHASED	VARIOUS	1/26/2010
5	FFCB BOND 2.75	PURCHASED	5/12/2009	1/29/2010
6	HESS COTP NTS	PURCHASED	VARIOUS	2/22/2010

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
7	UBS RTN OPTMZ SEC-PP	PURCHASED	8/26/2008	2/26/2010
8	MILLIPORE CORP	PURCHASED	2/03/2005	2/23/2010
9	FNMA CALL NTS 5.0	PURCHASED	8/20/2009	2/26/2009
10	WALT DISNEY CO (HOLDING CO)	PURCHASED	7/23/2009	3/08/2010
11	FHLB CALL BOND 3.4	PURCHASED	7/13/2009	3/11/2010
12	EXXON MOBILE CORP	PURCHASED	VARIOUS	3/16/2010
13	INTERNATIONAL BUSINESS MACHINES	PURCHASED	VARIOUS	3/16/2010
14	MILLIPORE CORP	PURCHASED	2/03/2008	3/16/2010
15	ROYAL KPN N.V. SPON ADR	PURCHASED	VARIOUS	3/16/2010
16	TELEFONICA S A SPON ADR	PURCHASED	12/24/2009	3/16/2010
17	3M CO	PURCHASED	VARIOUS	3/16/2010
18	FPL GROUP INC.	PURCHASED	3/26/2009	4/06/2010
19	UBS GLOBAL ALLOCATION	PURCHASED	VARIOUS	4/06/2010
20	AT INC 4.95	PURCHASED	12/14/2007	4/13/2010
21	ISHARES MSCI TAIWAN	PURCHASED	11/23/2009	4/14/2010
22	ANADARKO FIN GLOBAL 6.75	PURCHASED	12/05/2008	4/16/2010
23	FNMA CALL NTS 3.10	PURCHASED	8/14/2009	4/29/2010
24	AUTOMATIC DATA PROCESSING INC.	PURCHASED	2/01/2006	5/11/2010
25	HSBC HOLDINGS PLC NEW	PURCHASED	12/24/2009	5/19/2010
26	BLACKSTONE GROUP	PURCHASED	4/06/2010	5/26/2010
27	ISHARES INC MSCI SOUTH KOREA	PURCHASED	11/23/2009	5/26/2010
28	DB 100% PPN ASIAN CURR BSKT	PURCHASED	5/27/2008	5/28/2010
29	JP MORGAN CHASE CAP XXIX	PURCHASED	3/25/2010	6/03/2010
30	BIOMERIE INC	PURCHASED	VARIOUS	6/08/2010
31	ING GROEP N V NL	PURCHASED	VARIOUS	6/16/2010
32	FRONTIER COMMUNICATIONS CORP	PURCHASED	3/03/2010	11/10/2010
33	ISARES DOW JONES US AEROSPA	PURCHASED	5/02/2010	7/07/2010
34	SECTOR SPDR TRUST SHS	PURCHASED	VARIOUS	7/07/2010
35	MILLIPORE CORP	PURCHASED	2/03/2005	7/15/2010
36	CVS CAREMARK CORP	PURCHASED	VARIOUS	7/15/2010
37	NORTHROP GRUMMAN CORP	PURCHASED	6/09/2009	7/20/2010
38	FHLB BOND 3.75	PURCHASED	7/28/2009	8/06/2010
39	CALL MEAD JOHNSON NUTRIT	PURCHASED	8/23/2010	4/06/2010
40	PETROLEO BRASILEIRO SA	PURCHASED	VARIOUS	8/20/2010
41	DB 100% PPN ASIAN CURR VA	PURCHASED	8/26/2008	8/31/2010
42	DYNAVIX INC	PURCHASED	7/27/2010	9/08/2010
43	HEWLETT PACKARD CO	PURCHASED	9/19/2008	9/08/2010
44	MORNINGSTAR INC	PURCHASED	VARIOUS	9/08/2010
45	DYNAVIX INC	PURCHASED	7/27/2010	9/09/2010
46	SPDR LEHMAN INTL TREAS BOND	PURCHASED	5/28/2010	9/27/2010
47	MONSANTO CO MW+50 BP NTS	PURCHASED	8/06/2003	9/28/2010
48	HUNTINGTON NATL BK	PURCHASED	9/23/2010	10/15/2010
49	MORGAN STANLEY BK	PURCHASED	9/24/2010	10/22/2010
50	UNITED STATES OIL FUND	PURCHASED	8/11/2009	10/20/2010
51	ALLY BANK	PURCHASED	9/24/2010	11/05/2010
52	ANADARKO PETROLEUM CORP	PURCHASED	5/08/2002	11/08/2010
53	US TSY INFL PROT NT 2%	PURCHASED	11/07/2007	11/08/2010
54	BERKSHIRE HATHAWAY INC NEW	PURCHASED	5/08/2002	11/08/2010
55	BANK OF AMERICA	PURCHASED	2/22/2010	11/08/2010
56	BRISTOL MYERS SQUIBB CO	PURCHASED	10/17/2008	11/08/2010
57	UBS GLOBAL ALLOCATION	PURCHASED	VARIOUS	11/08/2010
58	HEWLETT PACKARD CO	PURCHASED	VARIOUS	11/08/2010
59	BANK OF AMERICA CORP	PURCHASED	VARIOUS	11/23/2010
60	ISHARES TRUST S&P 500	PURCHASED	5/28/2010	12/03/2010
61	ANADARKO PETROLEUM CORP	PURCHASED	5/08/2002	12/03/2010
62	FNMA NTS 1.85	PURCHASED	9/08/2010	12/10/2010

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
63	STRYKER CORP	PURCHASED	VARIOUS	12/15/2010
64	AIR PRODUCTS & CHEMICALS	PURCHASED	5/08/2002	12/28/2010
65	GNMA 579583	PURCHASED	12/13/2002	10/15/2010
66	GNMA 552845	PURCHASED	11/21/2006	11/15/2010
67	EAST WEST BK	PURCHASED	9/15/2010	10/21/2010
68	MERCHANTS BK	PURCHASED	9/15/2010	11/30/2010
69	UNITED CENT BK	PURCHASED	9/16/2010	12/22/2010
70	CAPITAL ONE BK	PURCHASED	5/22/2003	5/28/2010
71	DISCOVER BK	PURCHASED	3/11/2008	3/19/2010
72	INDEPENDENT BK	PURCHASED	4/08/2003	4/16/2010
73	MIDFIRST BK	PURCHASED	2/25/2003	3/05/2010
74	WASHINGTON MUT BK	PURCHASED	3/11/2008	3/19/2010
75	CORP PROPERTY G/L	PURCHASED	VARIOUS	VARIOUS
76	CAPITAL GAIN (LOSS)	PURCHASED	VARIOUS	VARIOUS
77	SHORT OPTIION INCOME	PURCHASED	VARIOUS	VARIOUS
78	LTCGL K1	PURCHASED	1/01/2007	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1000000.		1032345.	-32,345.				\$ -32,345.
2	298,467.		234,085.	64,382.				64,382.
3	173,869.		250,000.	-76,131.				-76,131.
4	357,680.		383,525.	-25,845.				-25,845.
5	993,502.		1007005.	-13,503.				-13,503.
6	297,252.		283,155.	14,097.				14,097.
7	188,000.		250,000.	-62,000.				-62,000.
8	222,776.		111,786.	110,990.				110,990.
9	500,000.		503,518.	-3,518.				-3,518.
10	82,348.		67,601.	14,747.				14,747.
11	1000000.		1018595.	-18,595.				-18,595.
12	164,973.		19,025.	145,948.				145,948.
13	192,024.		44,310.	147,714.				147,714.
14	210,155.		89,429.	120,726.				120,726.
15	237,741.		253,912.	-16,171.				-16,171.
16	365,838.		428,522.	-62,684.				-62,684.
17	162,275.		128,905.	33,370.				33,370.
18	242,799.		260,318.	-17,519.				-17,519.
19	500,000.		553,220.	-53,220.				-53,220.
20	1068125.		1007905.	60,220.				60,220.
21	51,842.		50,895.	947.				947.
22	783,932.		753,372.	30,560.				30,560.
23	750,000.		752,498.	-2,498.				-2,498.
24	423,014.		400,201.	22,813.				22,813.
25	346,128.		417,523.	-71,395.				-71,395.
26	108,668.		148,189.	-39,521.				-39,521.
27	46,521.		51,912.	-5,391.				-5,391.
28	250,000.		250,000.	0.				0.
29	235,491.		250,000.	-14,509.				-14,509.
30	350,251.		381,830.	-31,579.				-31,579.
31	207,730.		249,171.	-41,441.				-41,441.
32	21,597.		18,573.	3,024.				3,024.
33	39,081.		43,575.	-4,494.				-4,494.
34	56,770.		65,219.	-8,449.				-8,449.
35	321,000.		134,143.	186,857.				186,857.

STATEMENT 11 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	(E) GROSS	(F) DEPREC.	(G) COST	(H) GAIN	(I) FMV	(J) ADJ. BAS.	(K) EXCESS	(L) GAIN
ITEM	SALES	ALLOWED	BASIS	(LOSS)	12/31/69	12/31/69	(I) - (J)	(LOSS)
36	451,498.		446,506.	4,992.				\$ 4,992.
37	555,108.		485,845.	69,263.				69,263.
38	1000000.		997,005.	2,995.				2,995.
39	5,752.		0.	5,752.				5,752.
40	441,527.		490,794.	-49,267.				-49,267.
41	258,673.		250,000.	8,673.				8,673.
42	117,165.		197,940.	-80,775.				-80,775.
43	97,018.		123,101.	-26,083.				-26,083.
44	199,872.		343,282.	-143,410.				-143,410.
45	25,239.		43,450.	-18,211.				-18,211.
46	59,382.		53,865.	5,517.				5,517.
47	553,744.		561,505.	-7,761.				-7,761.
48	25,000.		25,025.	-25.				-25.
49	80,000.		80,201.	-201.				-201.
50	43,763.		46,453.	-2,690.				-2,690.
51	60,000.		60,045.	-45.				-45.
52	160,996.		5,150.	155,846.				155,846.
53	632,641.		543,257.	89,384.				89,384.
54	164,292.		143.	164,149.				164,149.
55	61,994.		81,652.	-19,658.				-19,658.
56	65,319.		46,235.	19,084.				19,084.
57	221,391.		164,066.	57,325.				57,325.
58	439,187.		455,006.	-15,819.				-15,819.
59	164,913.		255,459.	-90,546.				-90,546.
60	61,350.		55,364.	5,986.				5,986.
61	172,278.		5,150.	167,128.				167,128.
62	491,495.		502,005.	-10,510.				-10,510.
63	533,014.		536,397.	-3,383.				-3,383.
64	181,281.		5,480.	175,801.				175,801.
65	0.		37,005.	-37,005.				-37,005.
66	0.		6,508.	-6,508.				-6,508.
67	240,000.		240,000.	0.				0.
68	240,000.		240,000.	0.				0.
69	240,000.		240,000.	0.				0.
70	100,000.		100,000.	0.				0.
71	95,000.		95,000.	0.				0.
72	97,000.		97,000.	0.				0.
73	97,000.		97,000.	0.				0.
74	95,000.		95,000.	0.				0.
75	3,816.		0.	3,816.				3,816.
76	13,844.		0.	13,844.				13,844.
77	0.		15,682.	-15,682.				-15,682.
78	0.		321,554.	-321,554.				-321,554.
							TOTAL	\$ 456,009.

MAX KADE FOUNDATION, INC.

13-5658082

STATEMENT 12
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
GUENTER BLOBEL 6 EAST 87TH STREET NEW YORK, NY 10128	DIRECTOR 0	\$ 0.	\$ 0.	\$ 0.
BERTELINE BAIER DALE 6 EAST 87TH STREET NEW YORK, NY 10128	SECRETARY 0	0.	0.	0.
FRITZ KADE, JR. MD 6 EAST 87TH STREET NEW YORK, NY 10128	DIRECTOR 0	0.	0.	0.
LYA FRIEDRICH PFEIFER 6 EAST 87TH STREET NEW YORK, NY 10128	PRES. & TREAS. 40.00	198,920.	40,203.	0.
REINHARD AUGUSTIN 6 EAST 87TH STREET NEW YORK, NY 10128	DIRECTOR 0	0.	0.	0.
TOTAL		\$ 198,920.	\$ 40,203.	\$ 0.

STATEMENT 13
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	MAX KADE FOUNDATION
NAME:	LYA FRIEDRICH PFEIFER
CARE OF:	6 EAST 87TH STREET
STREET ADDRESS:	NEW YORK, NY 10128-0505
CITY, STATE, ZIP CODE:	646-672-4354
TELEPHONE:	WRITTEN APPLICATIONS SHOULD SET FORTH OBJECTIVES AND METHODS FOR IMPLEMENTATION, QUALIFICATIONS OF THE INSTITUTION, ACADEMIC QUALIFICATIONS OF PERSONS INVOLVED AND THE ESTIMATED COST
FORM AND CONTENT:	WRITTEN APPLICATIONS ARE ACCEPTED AT ANY REASONABLE TIME
SUBMISSION DEADLINES:	PROPOSALS ARE ONLY ACCEPTED FROM PUBLICLY SUPPORTED CHARITABLE ORGANIZATIONS AND NO GRANTS ARE MADE TO INDIVIDUALS.
RESTRICTIONS ON AWARDS:	

STATEMENT -SCHEDULE TO PART XV, LINE 3A**MAX KADE FOUNDATION, INC.**

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
---	-----------------

The following grants, listed according to field or title, were made in 2010. The name and address of the recipient institutions and a brief description of the project or the purpose of said grants follows the title. The amounts listed are the grant payments made during the calendar year ended December 31, 2010.

Grants are generally awarded only to U.S. colleges, universities, research institutions and other educational organizations.
No grants are made to individuals.

**I. GRANTS MADE TO EDUCATIONAL INSTITUTIONS TOWARD SUPPORT OF
POSTDOCTORAL RESEARCH EXCHANGE PROGRAMS**

The Postdoctoral Research Exchange Program offers assistance to American universities and qualified research institutions for postdoctoral exchange research projects. The participating universities determine the research project, designate the project director responsible for training and research, and select the research scholars. Visiting scholars are nominated by their home institutions and are screened by the respective Academy of Sciences. The final selection and acceptance, however, is left to the decision of the sponsoring U.S. university or research institution.

Participating institutions receiving the grants are characterized by highest quality of research activity and a strong faculty, committed to training and research in medicine or in the natural and physical sciences. Research scholars admitted by said institutions must hold doctoral degrees in the discipline in which they intend to conduct research.

Baptist Hospital of Miami, Inc., Miami, FL	\$ 49,000.00
Research and training in the field of medicine, focusing on the study of cardiovascular imaging, by Dr. Gudrun Feuchtnr, under the direction of Dr. Ricardo C. Cury, by the Department of Radiology	
University of California Regents, Los Angeles, CA	\$ 38,300.00
Research and training in the field of medicine, focusing on the study of ovarian cancer, by Dr. Maik Ihnen, under the direction of Dr. Gottfried Konecny, by the Department of Hematology-Oncology	
University of California, San Diego, San Diego, CA	\$ 56,000.00
Research and training in the field of medicine, focusing on the study of human milk oligosaccharides on intestinal glycosaminoglycans, by Dr. Evelyn Jantscher Krenn, under the direction of Dr. Lars Bode, by the Department of Pediatrics	
Harvard Medical School, Boston, MA	\$ 64,400.00
Research and training in the field of Medicine, focusing on the study of immunology, by Dr. Georg Stary, under the direction of Dr. Ulrich von Andrian, by the Department of Pathology	
Harvard University, Cambridge, MA	\$ 38,300.00
Research and training in the field of chemistry, focusing on the study of the synthesis of the tetracycline class of antibiotics, by Dr. Daniel Rost, under the direction of Dr. David Evans, by the Department of Chemistry	
The Hospital for Special Surgery, New York, NY	\$ 61,600.00
Research and training in the field of medicine, focusing on the study of osteoclast development and function, by Dr. Nikolaus Binder, under the direction of Dr. Steven R. Goldring, by the Department of Bone Research	
Hospital for Special Surgery, New York, NY	\$ 16,800.00
Research and training in the field of medicine, focusing on the study of computer assisted surgery, by Dr. Musa Citak, under the direction of Dr. Andrew Pearle, by the Department of Orthopedic Surgery	

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
Massachusetts Institute of Technology, Cambridge, MA Research and training in the field of Education/Media Studies, focusing on the study of re-learning patterns through digital games, by Dr Konstantin Mitgutsch, under the direction of Dr William Uricchio, by the Department of Media Studies	\$ 56,000 00
Memorial Sloan-Kettering Cancer Center, New York, NY Research and training in the field of medicine, focusing on the study of melanocytic tumors of uncertain malignant potential, by Dr Thomas Wiesner, under the direction of Dr Boris Bastian, by the Department of Human Oncology and Pathogenesis	\$ 49,000 00
Mount Sinai School of Medicine, New York, NY Research and training in the field of medicine, focusing on the study of HIV-AIDS disease, by Dr Andrea Kunz, under the direction of Dr Viviana Simon, by the Department of Infectious Diseases	\$ 32,100 00
The Scripps Research Institute, La Jolla, CA Research and training in the field of biology, focusing on the study of inflammatory cells and their products mediating tumor dissemination, by Dr Bernhard Schweighofer, under the direction of Dr James Quigley, by the Department of Cell Biology	\$ 26,250 00
The Wistar Institute of Anatomy & Biology, Philadelphia, PA Research and training in the field of medicine, focusing on the study of melanoma research, by Dr Clemens Krepler, under the direction of Dr Meenhard Herlyn, by the Department of Molecular and Cellular Oncogenesis	\$ 61,600 00
Yale University, New Haven, CT Research and training in the field of chemistry, focusing on the study of stem cells for cell therapy of muscular dystrophies, by Dr Marisa Karow, under the direction of Dr Michele Calos, by the Department of Genetics	\$ 15,499 96
	<hr/> \$ 564,849 96

II. GRANTS MADE TO EDUCATIONAL INSTITUTIONS IN SUPPORT OF VISITING FACULTY EXCHANGE PROGRAMS

In view of the conviction that exceptional students must be exposed to distinguished minds to stimulate and inspire them, and in the belief that the exchange of scholars is not only essential to the advancement of knowledge, but it is also one of the most promising roads for better understanding, the Foundation made limited funds available for "Distinguished Visiting Professorships" in the field of foreign language and literature

These grants are made to encourage the recipient institutions to enlist some outstanding scholars, to enrich the intellectual development of the university and to make possible a special effort in a particular field. The selection of the scholars is left to the independent judgment of the university. These awards are granted on a challenge basis to supplement the cost so as to provide incentive for enlisting the interest and active support for the institutions in question.

ALLEGHENY COLLEGE, Meadville, PA In support of its Writer-in-Residence Program-Uwe Kolbe	\$ 12,000 00
AMERICAN FRIENDS OF BUCERIUS LAW SCHOOL, INC, Baltimore, MD In support of its Visiting Professorship Program-Andre Fiebig	\$ 13,500 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
BOWLING GREEN STATE UNIVERSITY, Bowling Green, OH In support of its Writer-in-Residence Program-Dieter Sperl	\$ 12,000 00
BROWN UNIVERSITY, Providence, RI In support of its Visiting Professorship Program-Bettina Brandl-Risi	\$ 14,000 00
UNIVERSITY OF CALIFORNIA REGENTS , Berkeley, CA In support of its Visiting Professorship Program-Hans-Thies Lehmann	\$ 10,000 00
UNIVERSITY OF CALIFORNIA REGENTS, Davis, CA In support of its Visiting Professorship Program-Helmut Schneider	\$ 12,000 00
UNIVERSITY OF CALIFORNIA REGENTS, Santa Barbara, CA In support of its Visiting Professorship Program-Bernhard Siegert	\$ 16,000 00
UNIVERSITY OF CINCINNATI, Cincinnati, OH In support of its Visiting Professorship Program-Doris Bachmann-Medick	\$ 12,000 00
UNIVERSITY OF CINCINNATI, Cincinnati, OH In support of its Visiting Professorship Program-Petra Renneke	\$ 14,600 00
COLGATE UNIVERSITY, Hamilton, NY In support of a Max Kade Teaching Fellowship-Carolin Ludi	\$ 13,000 00
COLUMBIA UNIVERSITY, New York, NY In support of its Visiting Professorship Program-Gunter Oesterle	\$ 12,000 00
DARTMOUTH COLLEGE, Hanover, NH In support of its Visiting Professorship Program-Thea Dorn	\$ 12,000 00
DICKINSON COLLEGE, Carlisle, PA In support of its Writer-in-Residence Program-Alissa Walser	\$ 16,000 00
GEORGETOWN UNIVERSITY, Washington, DC In support of its Visiting Professorship Program-Birgit Dahlke	\$ 14,000 00
UNIVERSITY OF ILLINOIS, Urbana-Champaign, IL In support of its visiting Professorship Program-Andrea Geier	\$ 8,000 00
INDIANA UNIVERSITY, Bloomington, IN In support of its Visiting Professorship Program-Joseph Vogl	\$ 9,500 00
THE UNIVERSITY OF IOWA, Iowa City, IA In support of its Writer-in-Residence Program-Anja Kampmann	\$ 12,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS In support of its visiting Professorship Program-Jan Dirk Mueller	\$ 12,000 00
LAFAYETTE COLLEGE, Easton, PA In support of its Writer-in-Residence Program-Gabriele Petricek	\$ 6,720 00
MIAMI UNIVERSITY, Oxford, OH In support of its Writer-in-Residence Program-Hans Michael Speier	\$ 56,000 00
MIDDLEBURY COLLEGE, Middlebury, VT In support of its Visiting Professors (4) for German Summer Program	\$ 30,000 00
THE UNIVERSITY OF NEW MEXICO, Albuquerque, NM In support of the German Summer School (8 profs , 17 students)	\$ 5,600 00
NEW YORK UNIVERSITY, New York, NY In support of its Writer-in-Residence Program-Carl Christian Elze	

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
UNIVERSITY OF NOTRE DAME, Notre Dame, IN In support of its Visiting Professorship Program-Dirk Oschmann	\$ 14,000 00
OBERLIN COLLEGE, Oberlin, OH In support of its Writer-in-Residence Program-Esther Discherheit	\$ 12,000 00
THE OHIO STATE UNIVERSITY, Columbus, OH In support of its Visiting Professorship Program-Christoph Menke	\$ 10,000 00
PORTLAND STATE UNIVERSITY, Portland, OR In support of its Deutsche Sommerschule am Pazifik (7 profs, 16 lecturers)	\$ 48,000 00
UNIVERSITY OF RHODE ISLAND, Providence, RI In support of its Deutsche Sommerschule am Atlantik (2 profs, 15 students)	\$ 15,000 00
RUTGERS UNIVERSITY FOUNDATION, New Brunswick, NJ In support of its Writer-in-Residence Program-Andrea Grill	\$ 12,000 00
VANDERBILT UNIVERSITY, Nashville, TN In support of its Visiting Professorship Program-Barbara Wahlster	\$ 14,000 00
UNIVERSITY OF VIRGINIA, Charlottesville, VA In support of its Visiting Professorship Program-Anne Reulecke	\$ 10,000 00
UNIVERSITY OF WASHINGTON, Seattle, WA In support of its Visiting Professorship Program-Claudia Oehlschlaeger	\$ 8,000 00
WASHINGTON UNIVERSITY, St Louis, MO In support of its Writer/Critic in Residence Program-Gruenbein/Finger	\$ 19,500 00
UNIVERSITY OF WISCONSIN, Madison, WI In support of its Visiting Professorship program-Monika Schmitz-Emans	\$ 10,000 00
	<hr/>
	\$ 507,420 00

III. GRANTS MADE TO EDUCATIONAL INSTITUTIONS FOR THE TRAINING OF LANGUAGE TEACHERS AND GRADUATE STUDY ABROAD AND INTERNATIONAL CONFERENCES

This program seeks to offer assistance to American universities and colleges in their effort to increase the competence of prospective academic experience abroad. The concept of study at a foreign university is the most hopeful means of approaching efficiency in a foreign language and culture.

The grants are awarded to the universities. This selection of qualified graduate candidates is left to the independent judgment of the participating universities.

ALLEGHENY COLLEGE, Meadville, PA In support of its student exchange program with Tuebingen and Cologne (7 travel grants)	\$ 7,000 00
ALLEGHENY COLLEGE, Meadville, PA In support of its student exchange program with Tuebingen (3 travel grants)	\$ 3,000 00
THE AMERICAN AUSTRIAN FOUNDATION, INC, New York, NY In support of its exchange program for 22 US medical students to study in Austria \$1,600 each	\$ 35,200 00
THE AMERICAN AUSTRIAN FOUNDATION, INC, New York, NY In support of its program for 8 Austrian medical students doing clerkships in the USA during 2010	\$ 14,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
THE UNIVERSITY OF ARIZONA, Tucson, AZ In support of two Graduate Fellowships @ \$14,000 each	\$ 28,000 00
MARTINA ARROYO FOUNDATION, INC , New York, NY In support of its 2010 Prelude to Performance program	\$ 15,000 00
BARD COLLEGE, Annandale-on-Hudson, NY In support of travel grants for students in study abroad program in Berlin (3 students)	\$ 3,140 00
BATES COLLEGE, Lewiston, ME In support of a Max Kade award for best article in Modern Austrian Literature	\$ 1,500 00
BOWLING GREEN STATE UNIVERSITY FOUNDATION, INC , Bowling Green, OH In support of its Summer in Salzburg program (3 students @ \$500 each)	\$ 1,500 00
BRANDEIS UNIVERSITY, Waltham, MA In support of travel grants for summer study program in Germany (8 students)	\$ 8,000 00
UNIVERSITY OF CALIFORNIA, Berkeley, CA In support of its Germanic Linguistics Roundtable Conference	\$ 3,200 00
REGENTS OF THE UNIVERSITY OF CALIFORNIA, Davis, CA In support of its Graduate Fellowship program in German Studies	\$ 14,000 00
UNIVERSITY OF CALIFORNIA REGENTS, Berkeley, CA In support of its Graduate Fellowship program in German Studies (3 @ \$14,000 each)	\$ 42,000 00
UNIVERSITY OF CALIFORNIA REGENTS, Berkeley, CA In support of travel grants for summer study in Germany program (14 students)	\$ 14,000 00
UNIVERSITY OF CALIFORNIA REGENTS, Santa Barbara, CA In support of its Graduate Fellowship in German Studies program	\$ 14,000 00
CARNEGIE MELLON UNIVERSITY, Pittsburgh, PA In support of travel grants for summer study program at Goethe Institutes in Germany (3 @ \$1,200 each)	\$ 3,600 00
UNIVERSITY OF CINCINNATI, Cincinnati, OH In support of its Graduate Fellowship program in German Studies (2 @ \$14,000 each)	\$ 28,000 00
UNIVERSITY OF COLORADO FOUNDATION, INC , Boulder, CO In support of its Graduate Fellowship program in German Studies (2 @ \$13,000 each)	\$ 26,000 00
UNIVERSITY OF COLORADO FOUNDATION, INC , Boulder, CO In support of its study abroad program in Berlin and Regensburg, Germany (10 students @ \$1,200 each)	\$ 12,000 00
THE COLORADO COLLEGE, Colorado Springs, CO In support of its study abroad program-4 students @ \$1,500 each - full year abroad in Regensburg and Goettingen	\$ 6,000 00
THE COLORADO COLLEGE, Colorado Springs, CO In support of its study abroad program in Lueneburg-5 students @ \$500 each -spring semester	\$ 2,500 00
COLUMBIA UNIVERSITY, New York, NY In support of its summer study abroad program in Berlin (10 students)	\$ 10,000 00
CONCORDIA COLLEGE, Moorhead, MN In support of its German summer credit program for high-school students (4 @ \$2,500 each)	\$ 10,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
DUKE UNIVERSITY, Durham, NC In support of its Max Kade prize for best article published in the German Quarterly in 2009	\$ 1,500 00
ELYSIUM-BETWEEN TWO CONTINENTS, INC , New York, NY In support of its summer program for young singers to study German operas in Bernried, Germany	\$ 25,000 00
EMORY UNIVERSITY, Atlanta, GA In support of its summer study abroad program in Vienna (4 students)	\$ 14,000 00
FLORIDA STATE UNIVERSITY FOUNDATION, Tallahassee, FL In support of its student exchange program with Studentenwerk Dresden 2010 - 20 students	\$ 10,000 00
FRIENDS OF THE ATLANTIK-BRUECKE EV, FOUNDATION, INC , New York, NY In support of its 2009-2010 high school student exchange program between the US and Germany	\$ 19,960 00
FRIENDS OF GOETHE NEW YORK, INC , New York, NY In support of the cultural programs of the Goethe Institute	\$ 10,000 00
GEORGETOWN UNIVERSITY, Washington, DC In support of its transatlantic student symposium on "Federalism and Regionalism in the US, Canada & Europe"	\$ 10,000 00
GERMAN-AMERICAN COMMITTEE OF GREATER NEW YORK, INC , New York, NY In support of the 2010 German American Steuben Parade celebrating German history and culture	\$ 5,000 00
GERMAN-AMERICAN PARTNERSHIP PROGRAM INC , New York, NY In support of travel for 29 high-school students in GAPP Exchange programs in Germany in summer 2010	\$ 20,000 00
GERMANISTIC SOCIETY OF AMERICA, INC , QUADRILLE ACT , New York, NY In support of its scholarship fund for graduate study abroad	\$ 12,000 00
THE HUNTER COLLEGE FOUNDATION, INC , New York, NY In support of its summer study abroad program in Kassel, Germany (15 students)	\$ 15,000 00
THE HUNTER COLLEGE FOUNDATION, INC , New York, NY In support of a lecture in German Literature	\$ 500 00
UNIVERSITY OF ILLINOIS, Chicago, IL In support of its Graduate Fellowship program in German Studies (3 @ \$15,000 each)	\$ 45,000 00
UNIVERSITY OF ILLINOIS, Chicago, IL In support of travel grants for study abroad program in Berlin (8 students)	\$ 8,000 00
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN, Urbana, IL In support of its Graduate Fellowship program in German Studies	\$ 13,000 00
INDIANA UNIVERSITY, Bloomington, IN In support of its Graduate Fellowship program in German Studies (5 @ \$16,000 each) 1st half	\$ 40,000 00
INDIANA UNIVERSITY, Bloomington, IN In support of its Graduate Fellowship program in German Studies (5 @ \$16,000 each) 2nd half	\$ 40,000 00
INDIANA UNIVERSITY, Indianapolis, IN In support of its Graduate Fellowship program in German Studies (2 @ \$10,000 each)	\$ 20,000 00
THE UNIVERSITY OF IOWA, Iowa City, IA In support of its study abroad program in Germany (5 students)	\$ 5,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS In support of its conference on exile studies	\$ 1,250 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS In support of travel grants for summer study in Eutin, Germany (3 participants)	\$ 3,000 00

MAX KADE FOUNDATION, INC.

I D NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS In support of its Graduate Fellowship in German Studies program (3 @ \$14,000 each)	\$ 42,000 00
UNIVERSITY OF KENTUCKY, Lexington, KY In support of a Graduate Fellowship in German Studies	\$ 15,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY In support of its cultural programs, specifically the German Carneval Ball	\$ 1,500 00
LIEDERKRANZ FOUNDATION, INC , New York, NY In support of its first prize scholarship award in voice competition	\$ 8,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY In support of its cultural activities, specifically its 163rd anniversary chorus concert	\$ 2,600 00
LOYOLA COLLEGE, Baltimore, MD In support of a German Literature Seminar in Berlin	\$ 7,000 00
LOYOLA COLLEGE, Baltimore, MD In support of summer study abroad program in Berlin	\$ 1,000 00
UNIVERSITY OF MASSACHUSETTS, Amherst, MA In support of a visiting faculty research fellow in German Studies	\$ 10,000 00
MASSACHUSETTS INSTITUTE OF TECHNOLOGY, Cambridge, MA In support of a seminar on Germany Today which will prepare students travelling to Germany	\$ 5,000 00
THE METROPOLITAN OPERA ASSOCIATION, INC , New York, NY In support of its Lindemann Young Artist development program for the study of German during 2010/11	\$ 150,000 00
MIAMI UNIVERSITY, Oxford, OH In support of its study abroad program in Germany (12 travel grants of \$500 each)	\$ 6,000 00
MICHIGAN STATE UNIVERSITY, East Lansing, MI In support of its Graduate Fellowship program in German Studies (2 @ \$14,000 each)	\$ 28,000 00
MIDDLEBURY COLLEGE, Middlebury, VT In support of its summer language program in German for gifted singers, pianists and master class teachers	\$ 21,000 00
MIDDLEBURY COLLEGE, Middlebury, VT In support of a special cultural event and lecture during German Summer School program	\$ 6,000 00
UNIVERSITY OF MINNESOTA, Minneapolis, MN In support of its Graduate Fellowship program in German Studies	\$ 18,000 00
UNIVERSITY OF MINNESOTA, Minneapolis, MN In support of travel grants for study in Germany (4 students)	\$ 4,000 00
UNIVERSITY OF MINNESOTA, Minneapolis, MN In support of its Study Abroad program in Wittenberg, Germany (6 high school teachers @ \$2,500 each)	\$ 15,000 00
MONTEREY INSTITUTE OF INTERNATIONAL STUDIES, Monterey, CA In support of its Graduate Fellowship program in German Studies	\$ 14,000 00
NEW YORK FOUNDATION FOR THE ARTS, INC , New York, NY In support of the study, training and performance of Wagner program	\$ 25,000 00
NEW YORK UNIVERSITY, New York, NY In support of its exchange program with Berlin-Spring in New York 2010 (10 Berlin students @ \$4,000 each)	\$ 40,000 00
UNIVERSITY OF NORTH DAKOTA, Grand Forks, ND In support of travel grants for summer study program in Vienna (11 students @ \$1,000 each)	\$ 11,000 00
THE OHIO STATE UNIVERSITY, Columbus, OH In support of travel grants for 24 students in Dresden Summer Study program	\$ 24,000 00
PATERSON DIOCESE, Clifton, NJ In support of a Christmas concert at St John the Baptist Cathedral	\$ 4,000 00
UNIVERSITY OF PENNSYLVANIA, Philadelphia, PA In support of its Graduate Fellowship program in German Studies (3 @ \$15,000 each)	\$ 45,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
PRINCETON UNIVERSITY, Princeton, NJ In support of one Graduate Fellowship in German Studies-teaching assistant to director of summer work program	\$ 13,000 00
PRINCETON UNIVERSITY, Princeton, NJ In support of travel fellowships for 21 undergraduates in summer work program in Germany	\$ 21,000 00
PRINCETON UNIVERSITY, Princeton, NJ In support of travel/tuition awards (27 @ \$1,750 each) for summer study at goethe Institute in Munich	\$ 47,250 00
THE RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK, Stony Brook, NY In support of its Graduate Fellowship in philosophy exchange program in Germany (3 @ \$13,500 each)	\$ 40,500 00
THE UNIVERSITY OF RHODE ISLAND FOUNDATION, Kingston, RI In support of its Graduate Fellowship in Dual-Degree program (3 @ \$12,000 each)	\$ 36,000 00
RIPON COLLEGE, Ripon, WI In support of travel grants for study abroad program in Bonn (3 students)	\$ 3,000 00
RUTGERS UNIVERSITY FOUNDATION, New Brunswick, NJ In support of its Graduate Fellowship program in German Studies (2 @ \$15,000 each)	\$ 30,000 00
RUTGERS UNIVERSITY FOUNDATION, New Brunswick, NJ In support of a Christmas concert at St John the Baptist Cathedral	\$ 21,600 00
THE UNIVERSITY OF TEXAS, Austin, TX In support of its Graduate Fellowship program in German Studies	\$ 14,000 00
VALPARAISO UNIVERSITY, Valparaiso, IN In support of its Graduate Fellowship program for a law student from Tuebingen	\$ 17,500 00
VALPARAISO UNIVERSITY, Valparaiso, IN In support of its Exchange Program with Tuebingen (3 fellowships @ \$4,000 each and 4 fellowships at \$2,000 each)	\$ 20,000 00
VANDERBILT UNIVERSITY, Nashville, TN In support of its Graduate Fellowships-5 year program Year 2 (7 @ \$7,500 each)	\$ 52,500 00
UNIVERSITY OF WASHINGTON, Seattle, WA In support of its Graduate Fellowship program in German Studies (3 @ \$15,000 each)	\$ 45,000 00
UNIVERSITY OF WASHINGTON, Seattle, WA In support of travel grants for students in exchange program with Tuebingen (5 students)	\$ 5,000 00
WASHINGTON UNIVERSITY, St Louis, MO In support of a symposium on German Literature	\$ 20,000 00
WASHINGTON UNIVERSITY, St Louis, MO In support of its Summer Dissertation program in contemporary German literature	\$ 3,500 00
WASHINGTON UNIVERSITY, St Louis, MO In support of its Graduate Research Assistant	\$ 15,000 00
WAYNE STATE UNIVERSITY, Detroit, MI In support of its Junior Year in Munich Program (18 students)	\$ 25,000 00
REGENTS OF THE UNIVERSITY OF WISCONSIN, Madison, WI In support of its Academic Year in Freiburg program (27 students)	\$ 25,000 00
THE UNIVERSITY OF WISCONSIN MILWAUKEE FOUNDATION, Milwaukee, WI In support of a Germanic Linguistics Annual Conference	\$ 4,000 00
WYCKOFF FAMILY YMCA, Wyckoff, NJ In support of its cultural activities including theater, visual and performing arts	\$ 20,000 00
YALE UNIVERSITY, New Haven, CT In support of its Graduate Exchange program with Berlin (2 students)	\$ 25,000 00
	<u>\$ 1,626,800 00</u>

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
---	-----------------

IV. GRANTS MADE TO EDUCATIONAL INSTITUTIONS FOR EQUIPMENT OF MAX KADE LANGUAGE CENTERS AND FOR OTHER CHARITABLE ACTIVITIES

These grants are closely related to the program of language study and the effort to increase the competence of language teachers. In order to strengthen quality and effectiveness of teaching and learning of foreign languages, the Foundation has established, at various areas of the U S , language centers (Max Kade Houses) at qualified universities and colleges. The facilities made available usually include meeting and lecture rooms, lounges, sleeping quarters, as well as a library.

The following grants were made for equipment of said language centers and other charitable activities to the institutions named hereinafter:

ALLEGHENY COLLEGE, Meadville, PA	\$ 6,000 00
BELMONT UNIVERSITY, Nashville, TN	\$ 6,000 00
CASE WESTERN RESERVE UNIVERSITY, Cleveland, OH	\$ 6,000 00
THE UNIVERSITY OF CHICAGO, Chicago, IL	\$ 5,000 00
UNIVERSITY OF CINCINNATI, Cincinnati, OH	\$ 6,000 00
COLGATE UNIVERSITY, Hamilton, NY	\$ 6,000 00
UNIVERSITY OF COLORADO FOUNDATION, INC , Boulder, CO	\$ 5,000 00
THE COLORADO COLLEGE, Colorado Springs, CO	\$ 6,000 00
COLUMBIA UNIVERSITY, New York, NY	\$ 5,000 00
CON RUHR, New York, NY	\$ 200,000 00
CONCORDIA COLLEGE, Moorhead, MN	\$ 6,000 00
CORNELL UNIVERSITY, Ithaca, NY	\$ 5,000 00
DARTMOUTH COLLEGE, Hanover, NH	\$ 6,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
DICKINSON COLLEGE, Carlisle, PA	\$ 6,000 00
GERMAN SOCIETY OF THE CITY OF NEW YORK, New York, NY In support of its social service and welfare program	\$ 25,000 00
GERMAN-AMERICAN SCHOOL ASSOCIATION, New York, NY	\$ 30,000 00
UNIVERSITY OF ILLINOIS, Chicago, IL	\$ 1,000 00
INDIANA UNIVERSITY, Indianapolis, IN	\$ 6,000 00
JOHNS HOPKINS UNIVERSITY, Baltimore, MD	\$ 200,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS	\$ 6,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, Lawrence, KS For the renovation and furnishing of an annex building of the Max Kade Center for Turner Archives	\$ 150,000 00
UNIVERSITY OF KENTUCKY, Lexington, KY	\$ 6,000 00
LAFAYETTE COLLEGE, Easton, PA	\$ 5,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY	\$ 25,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY	\$ 25,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY	\$ 25,000 00
LIEDERKRANZ FOUNDATION, INC , New York, NY	\$ 35,000 00
UNIVERSITY OF MASSACHUSETTS, Amherst, MA	\$ 6,000 00
THE UNIVERSITY OF MICHIGAN, Ann Arbor, MI	\$ 6,000 00
MIDDLEBURY COLLEGE, Middlebury, VT	\$ 6,000 00
MILLERSVILLE UNIVERSITY, Millersville, PA	\$ 5,000 00
MONTEREY INSTITUTE OF INTERNATIONAL STUDIES, Monterey, CA	\$ 6,000 00
NEW YORK UNIVERSITY, New York, NY	\$ 20,000 00
OBERLIN COLLEGE, Oberlin, OH	\$ 6,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2010

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
THE OHIO STATE UNIVERSITY, Columbus, OH	\$ 6,000 00
UNIVERSITY OF PENNSYLVANIA, Philadelphia, PA	\$ 6,000 00
THE PENNSYLVANIA STATE UNIVERSITY, University Park, PA	\$ 6,000 00
UNIVERSITY OF RHODE ISLAND, Kingston, RI	\$ 5,000 00
UNIVERSITY OF SOUTHERN CALIFORNIA, Los Angeles, CA	\$ 6,000 00
VALPARAISO UNIVERSITY, Valparaiso, IN	\$ 6,000 00
VANDERBILT UNIVERSITY, Nashville, TN	\$ 6,000 00
UNIVERSITY OF VIRGINIA, Charlottesville, VA	\$ 6,000 00
UNIVERSITY OF WISCONSIN FOUNDATION, Madison, WI	\$ 6,000 00
	<u>\$ 921,000 00</u>

V. MISCELLANEOUS GRANTS

AMERICAN MUSEUM OF NATURAL HISTORY, New York, NY	\$ 2,000 00
EV LUTHERAN CHURCH OF ST PAUL, New York, NY	\$ 2,000 00
	<u>\$ 4,000 00</u>

TOTAL GRANTS MADE IN 2010	<u>\$ 3,624,069.96</u>
----------------------------------	-------------------------------

STATEMENT- SCHEDULE TO PART XV, LINE 3B

MAX KADE FOUNDATION, INC.

I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT

as of December 31, 2010

Grantee and Field	Amount
GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS TOWARD SUPPORT OF POSTDOCTORAL RESEARCH EXCHANGE PROGRAMS	
The Trustees of Boston University (Christian Fillafer), Boston, MA	56,000.00
President & Fellows of Harvard College, dba Harvard School of Public Health (Klaus Prettnner), Cambridge, MA	49,000.00
Harvard University (Andrea Korenjak), Cambridge, MA	49,000.00
Harvard University (Julia Puaschunder), Cambridge, MA	49,000.00
Hospital for Special Surgery (Nikolaus Binder), New York, NY	28,550.00
Johns Hopkins University (Peter Rainer), Baltimore, MD	49,000.00
Massachusetts General Hospital (Igor Theurl), Boston, MA	56,000.00
The Trustees of Princeton University (Florian Libisch), Princeton, NJ	49,000.00
The Wistar Institute of Anatomy & Biology (Clemens Krepler), Philadelphia, PA	<u>28,550.00</u>
	\$ 414,100.00

**GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS
IN SUPPORT OF VISITING FACULTY EXCHANGE PROGRAMS**

University of California Regents, Berkeley, CA	12,000.00
Georgetown University, Washington, DC	18,000.00
University of Illinois, Chicago, IL	14,000.00
Indiana University, Bloomington, IN	8,000.00
Kansas University Endowment Association, Lawrence, KS	12,000.00
The University of New Mexico, Albuquerque, NM	30,000.00
New York University, New York, NY	5,600.00
University of Notre Dame, Notre Dame, IN	14,000.00
University of Virginia, Charlottesville, VA	10,000.00
University of Washington, Seattle, WA	8,000.00
Washington University, St. Louis, MO	<u>19,500.00</u>
	\$ 151,100.00

MAX KADE FOUNDATION, INC.

I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT

as of December 31, 2010

Grantee and Field	Amount
GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS FOR THE TRAINING OF LANGUAGE TEACHERS AND GRADUATE STUDY ABROAD AND INTERNATIONAL CONFERENCES	
American Center for Musical Arts, Inc., New York, NY	\$ 20,000.00
Bard College, Annandale-on-Hudson, NY	2,400.00
University of California Regents, Berkeley, CA	15,000.00
University of California Regents, Berkeley, CA	48,000.00
University of California Regents, Berkeley, CA	15,000.00
Carnegie Mellon University, Pittsburgh, PA	10,800.00
Case Western Reserve University, Cleveland, OH	16,000.00
Columbia University, New York, NY	10,000.00
Elysium-Between Two Continents, Inc., New York, NY	30,000.00
Emory University, Atlanta, GA	16,000.00
Friends of the Atlantik-Bruecke e.V. Foundation, Inc., New York, NY	20,000.00
Georgetown University, Washington, DC	10,000.00
German American Partnership Program, Inc., New York, NY	20,000.00
Hunter College Foundation, Inc., New York, NY	20,000.00
Hunter College Foundation Inc., New York, NY	500.00
University of Illinois, Chicago, IL	45,000.00
University of Illinois, Chicago, IL	8,000.00
University of Illinois at Urbana-Champaign, Urbana, IL	13,000.00
Liederkrantz Foundation, Inc., New York, NY	8,000.00
Massachusetts Institute of Technology, Cambridge, MA	5,000.00
New York University, New York, NY	32,000.00
Vanderbilt University, Nashville, TN	5,000.00
Yale University, New Haven, CT	<u>16,354.00</u>
	\$ 386,054.00

MAX KADE FOUNDATION, INC.

I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT
as of December 31, 2010

Grantee and Field	Amount
-------------------	--------

**GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS FOR
EQUIPMENT OF MAX KADE LANGUAGE CENTERS
AND FOR OTHER CHARITABLE ACTIVITIES**

Belmont University, Nashville, TN	\$ 20,000.00
The Colorado College, Colorado Springs, CO	85,000.00
ConRuhr, New York, NY	200,000.00
Heidelberg University Association, Inc., New York, NY	600,000.00
Johns Hopkins University, Baltimore, MD	200,000.00
Philipps-University Marburg, Hessen	450,000.00
Liederkrantz Foundation, Inc., New York, NY	50,000.00
New York University, New York, NY	<u>10,000.00</u>
	\$1,615,000.00

MISCELLANEOUS GRANTS

None	-0-
------	-----

**Total grants approved for
future payment as of 12/31/10: \$ 2,566,254.00**

Form **8868**

(Rev January 2011)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	MAX KADE FOUNDATION, INC.	13-5658082
	Number, street, and room or suite number. If a P.O. box, see instructions.	
	6 EAST 87TH STREET FL-5	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10128-0505	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MAX KADE FOUNDATION, INC.

Telephone No. ► 646-672-4354

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 20 10 or
 ► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	60,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	72,222.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of exempt organization	Employer identification number
	MAX KADE FOUNDATION, INC.	13-5658082
File by the extended due date for filing the return. See instructions.	Number, street, and room or suite number. If a P.O. box, see instructions.	
	CFO PROFESSIONAL SERVICES INC. 271 ROUTE 46 WEST SUITE D-102-209	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	FAIRFIELD, NJ 07004	

Enter the Return code for the return that this application is for (file a separate application for each return)... **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of. **MAX KADE FOUNDATION, INC.**
Telephone No. **646-672-4354** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

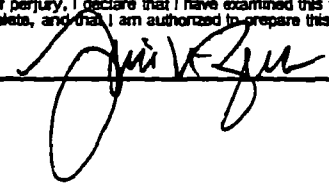
- 4 I request an additional 3-month extension of time until 11/15, 20 11.
- 5 For calendar year 2010, or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension: TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	60,000.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	72,222.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CPA** Date **7/5/11**

FIFZDS02L 11/15/10

Form 8868 (Rev 1-2011)