EXTENSION GRANTED TO NOVEMBER 15, 2011

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

Name of foundation: THE LANIE AND ETHEL FOUNDATION

A Employer identification number: 13-4133103

Number and street (or P O box number if mail is not delivered to street address): 250 W 57TH STREET — Room/suite: 1928

B Telephone number: 212-696-4050

City or town, state, and ZIP code: NEW YORK, NY 10107-0011

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,698,978.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	174,675.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	65.	65.		STATEMENT 2
	4 Dividends and interest from securities	32,023.	32,023.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	7,275.			STATEMENT 1
	b Gross sales price for all assets on line 6a 184,385.				
	7 Capital gain net income (from Part IV, line 2)		11,732.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	2,413.	2,413.		STATEMENT 4
	12 Total. Add lines 1 through 11	216,451.	46,233.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	16,056.	8,028.		8,028.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	8,743.	4,372.		4,371.
	c Other professional fees				
	17 Interest	4.	4.		0.
	18 Taxes STMT 6	<3,347.>	248.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,914.	957.		957.
	22 Printing and publications				
	23 Other expenses STMT 7	11,036.	10,883.		153.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	34,406.	24,492.		13,509.
	25 Contributions, gifts, grants paid	171,760.			171,760.
	26 **Total expenses and disbursements.** Add lines 24 and 25	206,166.	24,492.		185,269.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	10,285.			
	b **Net investment income** (if negative, enter -0-)		21,741.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	48,800.	33,878.	33,878.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 8	0.	74,563.	74,563.
	c Investments - corporate bonds STMT 9	311,493.	329,562.	329,562.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	1,187,374.	1,260,975.	1,260,975.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	1,547,667.	1,698,978.	1,698,978.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	1,547,667.	1,698,978.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	1,547,667.	1,698,978.	
	31 **Total liabilities and net assets/fund balances**	1,547,667.	1,698,978.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,547,667.
2 Enter amount from Part I, line 27a	2	10,285.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	141,026.
4 Add lines 1, 2, and 3	4	1,698,978.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,698,978.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	184,385.		183,460.	11,732.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				11,732.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 11,732.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	172,586.	1,410,061.	.122396
2008	177,163.	1,623,099.	.109151
2007	202,054.	1,494,345.	.135212
2006	126,760.	977,835.	.129633
2005	91,419.	115,137.	.794002

2 Total of line 1, column (d)	2	1.290394
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.258079
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,563,948.
5 Multiply line 4 by line 3	5	403,622.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	217.
7 Add lines 5 and 6	7	403,839.
8 Enter qualifying distributions from Part XII, line 4	8	185,269.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			1	435.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	435.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	435.
6 Credits/Payments				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a			
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	150.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	150.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 11 ▶			9	285.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶	Refunded ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year?	7	X	
If "Yes," complete Part II, col. (c), and Part XV.			
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE LANIE & ETHEL FOUNDATION Telephone no ▶212-696-4052
Located at ▶250 W 57TH STREET, STE 1928, NEW YORK, NY ZIP+4 ▶10107

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		16,056.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION DID NOT ENGAGE IN DIRECT CHARITABLE ACTIVITIES DURING THE YEAR	0.
2 THE FOUNDATION MADE GRANTS, CONTRIBUTIONS AND OTHER GIFTS TO QUALIFIED ORGANIZATIONS	0.
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,545,618.
b	Average of monthly cash balances		1b	42,146.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,587,764.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,587,764.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	23,816.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,563,948.
6	**Minimum investment return.** Enter 5% of line 5		6	78,197.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	78,197.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 435.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	435.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	77,762.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	77,762.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	77,762.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	185,269.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	185,269.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	185,269.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					77,762.
2 Undistributed income, if any, as of the end of 2010					
a Enter amount for 2009 only				0.	
b Total for prior years ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2010					
a From 2005	87,506.				
b From 2006	85,323.				
c From 2007	134,825.				
d From 2008	96,027.				
e From 2009	102,083.				
f **Total** of lines 3a through e		505,764.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $	185,269.				
a Applied to 2009, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2010 distributable amount					77,762.
e Remaining amount distributed out of corpus		107,507.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		613,271.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7		87,506.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		525,765.			
10 Analysis of line 9					
a Excess from 2006	85,323.				
b Excess from 2007	134,825.				
c Excess from 2008	96,027.				
d Excess from 2009	102,083.				
e Excess from 2010	107,507.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE ATTACHED SCHEDULE				
Total			▶ 3a	171,760.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a SEE STATEMENT 15					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	65.	
4 Dividends and interest from securities			14	32,023.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	7,275.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		41,776.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 41,776.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

023621
12-07-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes [X] No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] 11/8/11 Trustee

Signature of officer or trustee Date Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Carol F. Gourley	Carol F. Gourley CPA	11/01/11		

Firm's name ▶ JAMES D. MILLER & CO. LLP — Firm's EIN ▶

Firm's address ▶ 350 FIFTH AVE STE 4601 NEW YORK, NY 10118-4601 — Phone no (212)268-9888

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization	Employer identification number
THE LANIE AND ETHEL FOUNDATION	13-4133103

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year. ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE LANIE AND ETHEL FOUNDATION	13-4133103

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THURMOND J. SMITHGALL 101 WEST 97TH STREET, APT 25C NEW YORK, NY 10024	$ 76,560.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	THURMOND J. SMITHGALL 101 WEST 97TH STREET, APT 25C NEW YORK, NY 10024	$ 98,115.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
THE LANIE AND ETHEL FOUNDATION	13-4133103

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	1,588 SHS ISHARES RUSSELL 2000 INDEX FUND	$ 98,115.	05/25/10
		$	
		$	
		$	
		$	
		$	

Name of organization

THE LANIE AND ETHEL FOUNDATION

Employer identification number

13-4133103

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

THE LANIE AND ETHEL FOUNDATION
EIN: 13-4133103
FISCAL YEAR ENDED December 31, 2010
FORM 990-PF

PART XV

3) GRANTS & CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

RECIPIENT Name and address	Foundation status of Recipient	Purpose of grant or contribution	Amount
(a) Paid during the year:			
AMERICAN SYMPHONY ORCHESTRA 263 West 38^{th} St. New York, NY 10018	C	For general operating support.	$25,000
CARNEGIE HALL 888 Seventh Avenue New York, New York 10019-3210	C	For support of the Music Blueprint Model School.	7,500
FRIENDS OF GOETHE **Colony Square, Plaza Level** **1197 Peachtree St.NE** **Atlanta, GA 30361**	C	**For general operating support.**	**5,000**
FRIENDS OF BAYREUTH Festpielhugel 6 95445 Bayreuth, Germany	C	For general operating support.	45,560

RECIPIENT Name and address	Foundation status of Recipient	Purpose of grant or contribution	Amount
GEORGE LONDON FOUNDATION FOR SINGERS **460 West 49th St.** New York, NY 10019	C	To fund media distribution, for radio and internet broadcasts, as well as website update and maintenance.	$13,200
THE HUDSON REVIEW **684 Park Avenue** **New York, NY 10021**	C	For the Writers in The Schools Program.	7,500
LA OPERA **135 North Grand Avenue** **Los Angeles, CA 90012**	C	To underwrite ***Recovered Voices*** **production.**	25,000
MANNES COLLEGE OF MUSIC **150 West 85th Street** **New York, NY 10024**	C	For support of **the Regina Resnik Master Artist Residency.**	10,000
MARINE ENVIRONMENTAL RESEARCH INSTITUTE P.O. Box 1652 55 Main Street Blue Hill, ME 04614	C	For MERI's Oceans Campaign.	5,000
MARTINA ARROYO FOUNDATION **PO Box 2015, Radio City Station** **New York, NY 10101**	C	For support of their **Prelude to Performance Program.**	$3,000

RECIPIENT Name and address	Foundation status of Recipient	Purpose of grant or contribution	Amount
NEW ENGLAND CONSERVATORY 290 Huntington Avenue Boston, MA 02115	C	For support of their Community Performances and Partnerships Program.	$20,000
NEW YORK GRAND OPERA 250 West 54th St, Suite 1807 New York, NY 10019	C	For support of the 2010 Summer Season in Central Park.	5,000
Total			**$171,760**

Gesellschaft der Freunde von Bayreuth e, V. Bayreuth
Overview of assets on December 31th, 2010

Assets

	December 12, 2010		December 12, 2009	
	EUR	EUR	EUR	EUR
A. Fixed Assets				
Financial assets		25.000,00		25.000,00
shareholdings		0,00		0,002.
		25.000,00		25.000,00
B. Circulating assets				
I. other assets	1.324,43		2.079,61	
II. Cash balance and bank assets	8.048.460,24	8.049.784,67	7.732.816,28	7.734.895,89
		8.049.784,67		7734895,89
		8.049.784,67		7.734.895,89

Passiva

	December 12, 2010		December 12, 2009	
	EUR	EUR	EUR	EUR
A.Net assets				
1Club funds				
1. balance carried forward	7.540.000,33		7.164.996,73	
carry forwards	255.763,01	7.795.763.34	375003,60	7.540.000,33
	7.795.763,34			7.540.000,33
B.Revenue reserves				
Reserves as per § 58 n° A0		238.630,00		191.000,00
		238.630,00		191.000,00
C.liabilities				
I. accounts payable trade	37.594,61		25.262,78	
II.other liabilities	2.796,72	40.391,33	3.632,78	28.895,56
		40.391,33		28.895,56
		8.049.784,67		7.734.895,89

Revenues and expenditures
for the period from January, 1st until December 31st, 2010

	2010		2009	
	EUR	EUR	EUR	EUR
1. revenues		3.239.148,01		3.101.676,89
2. personal expenses				
a) wages and salaries	-155.623,51		-128.932,67	
b) social expenses	-34.193,86	-189817,37	-27.803,51	-156.736,18
3. Depreciation on assets and liabilities of current assets		-99.999,00		0,00
4. statuary expenses		-2.608.313,14		-2.406.324,67
5. other operating expenses		-184.288,38		-349.236,39
6. other interest and similar income		146.662,89		276.623,95
7. annual result		303393,01		456.003,60
8.allocation to retained earnings		-47.630,00		-91.000,00
9. carry forwards		255.763,01		375.003,60

Auditor's Certificate

Bayreuth, April 5th, 2011

Gesellschaft der Freunde von Bayreuth e.V., Bayreuth

Vermögensübersicht zum 31. Dezember 2010

Aktiva

	31 12 2010 EUR	31 12 2010 EUR	31 12 2009 EUR	31 12 2009 EUR
A. Anlagevermögen				
Finanzanlagen				
1 Anteile an verbundenen Unternehmen		25 000 00		25.000,00
2 Beteiligungen		0 00		0,00
		25.000,00		25 000,00
B. Umlaufvermögen				
I. sonstige Vermögensgegenstände	1.324,43		2.079,61	
II. Kassenbestand und Guthaben bei Kreditinstituten	8 048 460,24	8 049.784,67	7.732.816,28	7.734.895,89
		8 049 784,67		7.734.895,89
		8 074.784,67		7.759 895,89

Passiva

	31 12 2010 EUR	31 12 2010 EUR	31 12 2009 EUR	31 12 2009 EUR
A. Eigenkapital				
I. Vereinsvermögen				
1 Vortrag	7 540.000,33		7.164.996 73	
2 Ergebnisvortrag	255 763,01	7 795 763,34	375 003,60	7.540 000,33
		7.795 763,34		7.540 000 33
B. Gewinnrücklage				
Rücklage gem. § 58 Nr. 71 AO		238 630,00		191 000,00
		238.630,00		191 000 00
C. Verbindlichkeiten				
I Verbindlichkeiten aus Lieferungen und Leistungen	37.594,61		25 262,78	
II Sonstige Verbindlichkeiten	2 796,72	40.391,33	3 632,78	28 895,56
		40 391,33		28 895,56
		8.074 784 67		7 759 895,89

"Bescheinigung des Abschlussprüfers

Wir haben die Jahresrechnung – bestehend aus Vermögensübersicht und Einnahmen- und Ausgabenrechnung - unter Einbeziehung der Buchführung der Gesellschaft der Freunde von Bayreuth e V. für das Geschäftsjahr vom 1 Januar bis 31 Dezember 2010 geprüft. Die Buchführung und die Aufstellung der Jahresrechnung nach den gesetzlichen Vorschriften und ihre Auslegung durch die IDW Stellungnahme zur Rechnungslegung: Rechnungslegung von Vereinen (IDW RS HFA 14) liegen in der Verantwortung der gesetzlichen Vertreter des Vereins Unsere Aufgabe ist es, auf der Grundlage der von uns durchgeführten Prüfung eine Beurteilung über die Jahresrechnung unter Zugrundelegung der Buchführung abzugeben.

Wir haben unsere Prüfung unter Beachtung des IDW Prüfungsstandards: Prüfung von Vereinen (IDW PS 750) vorgenommen. Danach ist die Prüfung so zu planen und durchzuführen, dass Unrichtigkeiten und Verstöße, die sich auf die Darstellung der Jahresrechnung wesentlich auswirken, mit hinreichender Sicherheit erkannt werden. Bei der Festlegung der Prüfungshandlungen werden die Kenntnisse über die Tätigkeit und über das wirtschaftliche und rechtliche Umfeld des Vereins sowie die Erwartungen über mögliche Fehler berücksichtigt Im Rahmen der Prüfung werden die Wirksamkeit des rechnungslegungsbezogenen internen Kontrollsystems sowie Nachweise für die Angaben in Buchführung und Jahresrechnung überwiegend auf der Basis von Stichproben beurteilt Die Prüfung umfasst die Beurteilung der angewandten Grundsätze zur Rechnungslegung und der wesentlichen Einschätzungen der gesetzlichen Vertreter
Wir sind der Auffassung, dass unsere Prüfung eine hinreichend sichere Grundlage für unsere Beurteilung bildet. Nach unserer Beurteilung aufgrund der bei der Prüfung gewonnenen Erkenntnisse entspricht die Jahresrechnung den gesetzlichen Vorschriften und ihrer Auslegung durch die IDW RS HFA 14.

München, 5 April 2011

Ott&Partner
Wirtschaftsprüfungsgesellschaft
Steuerberatungsgesellschaft

A. Relle

Andreas Richlich
Wirtschaftsprüfer

Christian Kloso
Wirtschaftsprüfer

OTT & PARTNER WIRTSCHAFTSPRÜFUNGSGESELLSCHAFT STEUERBERATUNGSGESELLSCHAFT
Wirtschafts-prüfungs-gesellschaft
SIEGEL
MÜNCHEN

Einnahmen- und Ausgabenrechnung für die Zeit vom 1. Januar bis 31. Dezember 2010

	2010 EUR	2010 EUR	2009 EUR	2009 EUR
1. Einnahmen		3.239.148,01		3 101.676,89
2. Personalaufwand				
a) Löhne und Gehälter	-155 623,51		-128.932,67	
b) Soziale Abgaben	-34 193,86	-189.817,37	-27.803,51	-156 736,18
3 Abschreibungen auf Vermögensgegenstände des Umlaufvermögens		-93.999,00		0,00
4 satzungsgemäße Aufwendungen		-2 608.313,14		-2.408 324 07
5 sonstige betriebliche Aufwendungen		-184 288,38		-349.230 39
6. sonstige Zinsen und ähnliche Erträge		140.662,89		278 623,95
7 Jahresüberschuss		303.393,01		466 003 60
8 Einstellung in die Gewinnrücklagen		-47.630,00		-91 000 00
9 Ergebnisvortrag		255.763,01		375.003,60

Bayreuth den 5 April 2011

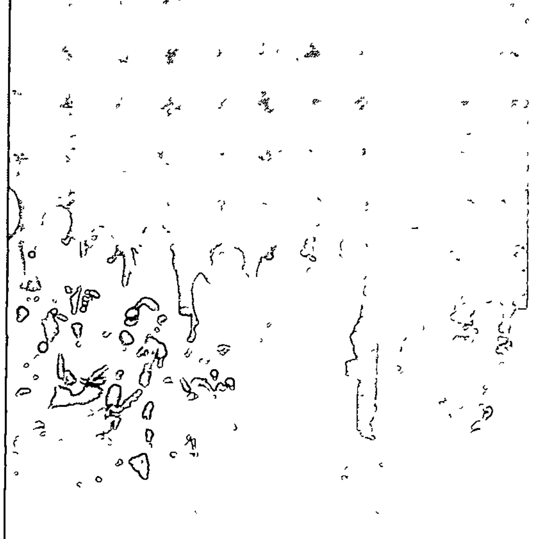
Der Vorstand.

Dr Georg Frhr. von Waldenfels Dr h c Stephan Götzl Dr Wolfgang Wagner

GESELLSCHAFT DER FREUNDE VON BAYREUTH e.V.

JAHRESBERICHT
ANNUAL REPORT
RAPPORT ANNUEL

2010



WWW.FREUNDE-BAYREUTH.ORG

10

GESELLSCHAFT DER FREUNDE VON BAYREUTH e.V.

KONTAKT • *CONTACT*

Gesellschaft der Freunde von Bayreuth e. V.
Geschäftsführung • Ina Besser-Eichler

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Fax + 49 (0) 921 • 130 90
www.freunde-bayreuth.org
kontakt@freunde-bayreuth.org

den Künstlern
Tribute to the Performers
Hommage aux artistes

Anläßlich der 100. Bayreuther Festspiele möchten wir Sie herzlich am Premierenvortag zu einem Fest einladen. Es steht unter dem Motto »Tribute den Künstlern der Bayreuther Festspiele«. An diesem Nachmittag wollen wir Ihnen die Erfolgsgeschichte der Bayreuther Festspiele wie auch unserer Gesellschaft präsentieren. Dieser Erfolg ist den Leistungen der Künstler und Mitarbeiter hinter den Kulissen zuzuschreiben, die wir mit diesem Fest ehren wollen.

Ob Sänger, Musiker, Bühnenarbeiter, Regisseur, Dirigent, Chorist oder Mitarbeiter in den Werkstätten; ob in aktuellen Produktionen oder schon lange »im Ruhestand« – allen gilt unser Dankeschön, und jeder sei eingeladen, mit dem Publikum der Bayreuther Festspiele und unseren Mitgliedern einen Nachmittag voller Erinnerungen und Gespräche zu verbringen.

Bitte merken Sie sich den 24. Juli 2011 ab 14 Uhr vor. Wir werden Sie mit Musik, Bildern und Interviews von und mit Künstlern aus Vergangenheit und Gegenwart der Festspiele überraschen, und auch für Ihr leibliches Wohl wird gesorgt werden. Das detaillierte Programm werden wir Ihnen mit unserer »Post aus Bayreuth« und in unseren »Briefen an Freunde« mitteilen.

On the occasion of the 100th Bayreuth Festival, we should like to extend a cordial invitation to a special celebration on the day before the première. Its theme is a "Tribute to the Performers at the Bayreuth Festival". That afternoon, we intend to review the success story of the Bayreuth Festival and also that of our Society. That success is due to the achievements of the performers and staff behind the scenes, all of whom we wish to honour with this celebration.

Whether they are singers, musicians, stage hands, producers, conductors, members of the chorus or craftsmen in the workshops; whether in current productions or long since "retired" – our thanks go to all of them, and everyone is invited to spend an afternoon with the audience of the Bayreuth Festival and our Members, an afternoon full of memories and conversations.

Please make a note in your diary: 24th July, 2011, beginning at 2 p.m. We shall surprise you with music, scenes and interviews by and with performers past and present at the Festival - and refreshments will also be provided. We shall be sending you the detailed programme with our "Post from Bayreuth" and in our "Letters to our Friends".

Pour cette 100e édition du Festival de Bayreuth, nous souhaitons vous réunir pour un après-midi avant-première avec pour mot d'ordre « hommage aux artistes du festival ». Lors de cet après-midi, nous allons vous présenter les grandes réussites du festival – et de la Société des amis. Ces succès sont redevable avant tout aux artistes et au personnel en coulisse, l'occasion donc de leur rendre hommage.

Chanteurs, musiciens, techniciens de plateau, metteurs en scène, chefs d'orchestre, choristes ou personnel des ateliers, issus des productions en cours ou bien à la retraite depuis longtemps, c'est vers eux que vont nos remerciements et tous sont cordialement invités à passer un après-midi plein de souvenirs et de discussions, avec le public du Festival et les Amis.

Veuillez pour cela noter la date du 24 juillet 2011 à partir de 14 h pour un programme de surprises, de la musique, des photos, des entretiens avec des artistes du festival présents et passés. Bien sûr, les plaisirs du palais seront de la partie. Vous trouverez le programme détaillé dans notre « Post aus Bayreuth » ainsi qu'au fil de nos « Lettres aux amis ».

2010 & 2011 Almanach

This year saw the publication of the 2010 Almanach, the first of its kind, which appeared in time for the Bayreuth Festival. It consists of 252 pages, including 75 pages of photographic material, and contains a number of interviews and portraits of artists involved in this year's productions (Jonas Kaufmann, Annette Dasch, Andris Nelsons, Hans Neuenfels and Michaela Kaune among others), an obituary for Wolfgang Wagner, explanatory remarks by the dramaturges on the "Ring" (Prof. Norbert Abels) and "Parsifal" (Prof. Meier-Dörzenbach) and articles on Richard Wagner and the Festival. In addition, we have included portraits of some of our members. All the articles have been translated into English The 2010 Almanach can be ordered from the Society's office at the price of € 25 (plus postage and packing).
The preparations for the "2011 Almanach" have already begun. We shall be presenting more interviews with artists, articles about the productions as well as other interesting subjects from the world of Wagner and opera, and also portraits of members. Next year, we shall again be having the articles for the Almanach translated into English, and this time also into French. In our "Letters to our Friends" (page 28) we intend to keep you posted on the other subjects and to give you a first preview.

Dear Friends of Bayreuth,

Today's 2010 Annual Report includes a review of the 99th Bayreuth Festival, a report of our Annual General Meeting on 27th July, 2010, and our events during the Summer, and a first preview of the next Festival season.

The farewell to Wolfgang Wagner, the Director of the Festival for so many years, who died in Bayreuth on 21st March, 2010, was the major item of sad news long before the Festival. We shall continue to work together actively and energetically to preserve his life's work and achievement, the "Bayreuth Festival", an endeavour so close to our hearts as Friends.

We should therefore like to thank you most warmly for your support and generous donations. In 2009, we received more than 1 million euros in annual subscriptions and more than 1.9 million euros in donations; together with joining fees and other receipts, we collected € 3.3 million. In 2009, we transferred € 691,000 to the Festival management for the operating costs and € 1.68 million for investments.

The Festival management has planned a volume of 7,5 million euros for investments extending as far as the 2013/14 season. These include urgent renovation work on the Festspielhaus and also the investments in technology and new buildings.

For the next season we have once more prepared some events for you: there will again be some lectures as an introduction to the productions, the new series of events "Friends meet Friends" will begin on 29th July, 2011, and we are already working on the "2011 Almanach".

We hope you enjoy reading this report and remain

With cordial greetings and best wishes from Bayreuth for the Christmas season.

Chers Amis de Bayreuth,

Ce nouveau rapport annuel pour l'année 2010 passe en revue les événements du 99e Festival de Bayreuth, détaillant le déroulement de notre Assemblée générale du 27 juillet 2010 ainsi que nos activités de l'été, avant de jeter un œil sur les manifestations de la prochaine saison.

Les adieux à Wolfgang Wagner, qui fut de longues années le directeur de notre festival, et qui s'est éteint le 21 mars 2010 à Bayreuth, a été une bien triste nouvelle avant le début de la saison. Son œuvre et sa contribution, toute sa vie durant, au Festival de Bayreuth, nous tient à cœur et nous souhaitons nous engager, nous, Amis de Bayreuth, ensemble, plus avant et par des actions concrètes, dans le soutien au festival.

C'est pourquoi nous vous remercions chaleureusement pour votre soutien et votre générosité. En 2009, nous avons enregistré plus d'un million d'euros de cotisations annuelles et plus de 1,9 millions d'euros de dons ; en ajoutant droits d'entrées et autres recettes, nous avons réuni en tout quelque 3,3 millions d'euros. Pour 2009, nous avons contribué au fonctionnement du festival à hauteur de 691.000 €, tandis que nous nous engagions à hauteur de 1,68 million d'euros pour les investissements.

A l'horizon 2013-14, ce sont quelque 7,5 millions d'euros qui ont été prévus par la direction du festival pour assurer l'investissement, entre autres pour la rénovation, urgente, du Festspielhaus, mais aussi pour des investissements techniques et la construction de nouveaux bâtiments.

Mais revenons à 2011 : nous préparons les manifestations de la saison à venir, où nous vous proposons à nouveau des conférences d'introductions aux mises en scènes ainsi qu'une nouveauté : « les Amis à la rencontre des Amis » à partir du 29 juillet. Nous travaillons également à la réalisation de l'Almanach 2011, avec une version française.

Nous vous souhaitons une bonne lecture et demeurons, à Bayreuth,

Avec nos meilleures salutations et nos vœux les plus sincères pour cette fin d'année.

At this point, we should like once again to commemorate Dr. Wolfgang Wagner, who died in Bayreuth on 21st March, 2010, having served for so many years as the highly esteemed Director of our Festival.

OLFGANG WAGNER *was born on 30th August, 1919, the third child of the Festival Director and composer Siegfried Wagner and his wife Winifred.*

He studied stage design and production in Munich with Emil Preetorius and began his artistic career in 1941 at the Festival and at the Prussian State Opera in Berlin.

After the end of the war, he joined his brother Wieland in preparing for the new beginning of the Bayreuth Festival, and the two of them also played a key role in founding the Society of Friends of Bayreuth. In 1951, the Bayreuth Festival reopened under the direction of Wieland and Wolfgang Wagner.

After Wieland's death, Wolfgang assumed sole responsibility for the Festival in 1966. Wolfgang Wagner devoted his whole life to his grandfather's work and the Bayreuth Festival. During his time as Director of the Festival, more than 1,800 performances were staged, including more than a dozen of his own productions. Under his management, the Festival was opened up for external producers, whose productions sometimes caused a global sensation - such as the social criticism behind Götz Friedrich's interpretation of "Tannhäuser" in 1972, Harry Kupfer's psychological reading of the "Dutchman" in 1978, Patrice Chéreau's "Centenary Ring" or "Parsifal" through the eyes of Christoph Schlingensief, who died in August this year.

More than 1,500 guests attended the memorial ceremony for Wolfgang Wagner in the Festspielhaus on 11th April, 2010, including Angela Merkel, the German Chancellor, Horst Seehofer, the Bavarian Minister President, Bernd Neumann, the State Minister for Culture, and many singers and others who had shared the stages of his life. Christian Thielemann, who gave a farewell address on behalf of the performers, emphasised Wagner's vast knowledge of the works of Richard Wagner, his human warmth and kindness. He told the mourners how Wagner had created an incomparable family working atmosphere on the "Grüner Hügel". Thielemann described Wagner as "our best assistent", whose advice, impulses, insights into the work at hand and criticism had a decisive influence on how productions of Richard Wagner's works were prepared.

Wieland Wagner, Hans Knappertsbusch und Wolfgang Wagner.

On 27th October, 2010, the urn with his ashes was interred in Bayreuth cemetery in the presence of his immediate family.

"Death may have taken Wolfgang Wagner, the man, from us, but his life's work in Bayreuth will remain," said Horst Seehofer.

We shall always honour the memory of Wolfgang Wagner.

Wolfgang Wagner

Annual General Meeting 2010

Agenda

1 Opening remarks by the Chairman of the Board of Trustees
2 Report by the Chairman of the Board of Management
3 Financial Report
4 Amendments to the Statutes
5 Formal approval of the Board of Trustees
6 New election to the Board of Trustees
7 Report by the Festival Directors
8. Any other business

THE Chairman of the Board of Trustees, Dr. Heribert Johann, welcomed the Members, the Board of Trustees and the Board of Management to the 61st Annual General Meeting.

Dr. Johann informed the Annual General Meeting that the press officer of the Festival had just informed him that the two Festival Directors were ill and would not be able to attend. A number of signs of displeasure on this subject were heard at the Annual General Meeting. Various speakers pointed out that a report was expected of the Festival management, as had been Wolfgang Wagner's practice in the past.

Dr. Johann paid tribute to the outstanding achievements of Dr. Wolfgang Wagner, who died in Bayreuth on 21st March, 2010, having served for so many years as the highly esteemed Director of our Festival. His remarks focused not only on Wolfgang Wagner's tireless dedication to the Bayreuth Festival and the works of Richard Wagner, but also on his impressive personality. Our Society will always honour the memory of Wolfgang Wagner.

Dr. Johann reported on the change in the Board of Management: Dr. Peter Gloystein had resigned with effect from 31st December 2009, followed by Prof. h. c. Hans-Ludwig Grüschow and Ulrich Andreas Vogt as of 26th June 2010. The Board of Trustees appointed Dr. h.c. Stephan Götzl and Dr. Wolfgang Wagner as new members of the Board of Management. Dr. Georg von Waldenfels remains a member of the Board of Management and has accepted the office of Chairman.

Dr. von Waldenfels gave a report on the 2009 financial year and announced the following figures:

Membership trends

TOTAL MEMBERSHIP ON 1st JANUARY, 2009	5,125 members
New members 2009	218 members (2008: 204)
Resignations and deaths	241 members (2008: 234)
TOTAL MEMBERSHIP ON 31st DECEMBER, 2009	5,102 members (+14 inactive memberships)
"Young Friends of Bayreuth"	
TOTAL ON 1st JANUARY, 2009	170
New members	72
Resignations and deaths	4 (of these, 2 transfers to full membership)
TOTAL ON 31st DECEMBER, 2009	238 Young Members
Total membership on 31st December, 2009	**5,354 MEMBERS**
The number of members from abroad is approximately 25 per cent.	

Dr. von Waldenfels thanked those who had been members of the Society for a long time, singling out Grett Tittlbach for a particular mention, because she had been member of our Society since 1950.

FRIENDS FOR 50 YEARS!

Margherita Azzi-Visentini, Berne
Doris Braunwarth-Knöbel, Bensheim
Otto Burkhardt, Bayreuth
Ingrid Gaertner, Lübeck
Hans-Joachim Janta, Lohmar
Joseph Kufner, Pullach
Hildegard Müller, Geltendorf
Edith Kretschek-Arlt, Vienna
Henry Lamotte, Bremen
Messrs. Renault-Müller, Würzburg
Messrs. Thüga AG, Munich
Pierre Vogelweith, Handschuheim
Ingo Wälzholz-Junius, Konstanz
Messrs. C.D. Wälzholz, Hagen
Karin Warnecke, Domersleben
Anita Wolf, Munich

Ticket situation for the Festival

3,881 members applied for tickets for the 2010 Bayreuth Festival. (2008 = 3,840 applications and 2007 = 4,091 applications). 890 members received refusals for the 2010 Festival.

Since the Society of Friends of Bayreuth continues to take back Festival tickets when there is a good reason, many members still have a chance to obtain tickets via the waiting list.

Events

IN addition, Dr. von Waldenfels reported on the Summer's events and extended cordial invitations to the social evening on 31st July with the Bayreuth-Festival-Violinquartett, the introductory lectures on 17th August, (Henry Arnold on the "Lohengrin" production) and 25th August (Prof. Abels on the concept behind the "Ring") and the special guided tour of Haus Wahnfried on 24th August.

DR. von Waldenfels reported that the 2009 Annual Accounts had received an unqualified certificate from the auditors, Süddeutsche Treuhand.

He explained the Report of the Board of Management, which was sent to the members in June with the "Post from Bayreuth". The payments to the Bayreuth Festival could be broken down as follows in 2009:

Payments to the Bayreuth Festival

Contribution to Festival operations in 2009	391,000.00 €
First instalment for Festival operations in 2010	300,000.00 €
Investments	1,680,881.29 €
TOTAL	**2,371,881.29 €**
Other expenditure in 2009 in accordance with the Statutes	
Richard Wagner Scholarship Foundation	6,000.00 €
2009 Symposium	28,443.38 €

On behalf of the Board of Management and the Board of Trustees Dr. von Waldenfels thanked the members for total revenues of € 3.3 million in membership fees, donations and joining fees in the 2009 financial year. A particular mention should be made of the large private donations from Wolfgang Steubing from Frankfurt on Main (€ 50,000), James Thurmond Smithgall from New York (€ 40,000), David E. Dodge from Scottsdale in Arizona (€ 30,000), Madeleine Schickedanz and Leo Hert from Fürth (€ 23,000) and two donors who wish to remain anonymous (€ 20,000 and 25,000).

As of a minimum donation of € 4,000 for private individuals and € 8,000 for companies, it is possible for donors to be mentioned by name as sponsors in the Almanach of the Society of Friends and in the programme of the Bayreuth Festival listing the performers and staff.

The assets of the "Friends of Bayreuth" foundation amounted to € 139,778.64 on 31st December 2009 and are invested in savings certificates.

2010 Financial Year

IN the 2010 financial year, € 2.1 million had already been received by 15th July, 2010. The Festival management has already been promised support amounting to € 2.5 million for 2010.

The Festival management would like to use these funds for, among other things, the modernisation of the fire detection system, renovation work on the Festspielhaus and investments in the technical equipment, a new ticketing system and other software.

A) § 3 • Membership

Any individual or legal entity may become a member by applying to the Board of Management for membership (Applicant). Individuals who have not yet come of age shall require the signature of a parent or guardian for the application. The decision on admission to the "Society of Friends of Bayreuth" shall be made by the Board of Management.

VOTE:
1 abstention,
1 No
› The amendment to § 3 of the Statutes is adopted.

B) § 7 • Duties of the Annual General Meeting

The motion is to add the following point to paragraph 2: e) Formal approval of the Board of Trustees

VOTE:
0 abstentions,
0 Noes
› The amendment to § 7 of the Statutes is adopted unanimously.

Dr. Johann explained that in future, a "Report by the Board of Trustees" would be included on the agenda for the Annual General Meeting.

C) § 8 • paragraph 3 Board of Trustees

The Board of Trustees shall be elected for a term of office of five years. If a member is newly elected to the Board of Trustees during that term of office, his election shall apply until the end of that term. Re-election shall be admissible.

VOTE:
0 abstentions,
0 Noes
› The amendment to § 8 paragraph 3 of the Statutes is adopted unanimously.

Amendments to the Statutes

ENCLOSED with the invitation to the Annual General Meeting, the members were sent motions on various amendments to the Statutes.

The Annual General Meeting voted on the following three motions for amendments to the Statutes:

The current Statutes can be downloaded from the Society of Friends' website at www.freunde-bayreuth.org or ordered from the office.

Formal approval of the Board of Trustees

DR. Johann moved that the actions of the Board of Trustees be approved. He read out the names of the members of the Board of Trustees and requested that the vote on appproval of their actions be taken en bloc. The work of the Board of Trustees was approved by the Annual General Meeting with 0 dissenting votes and 7 abstentions.

New election to the Board of Trustees

AT the suggestion of the honorary member Dr. Ewald Hilger, Dr. Johann proposed Prof. Dr. Dieter Vogel from Düsseldorf for election as a new member of the Board of Trustees.

A number of members objected that Professor Vogel was not present at the Annual General Meeting to introduce himself. The demand was expressed that in future, anyone standing for election should be present at the Annual General Meeting.

Dr. Johann explained that as of the following year, proposals for new elections to the Board of Trustees would be presented briefly in the invitation to the Annual General Meeting.

Prof. Dr. Dieter Vogel was elected as a member of the Board of Trustees for the remainder of the term of office (until 2014) with 6 dissenting votes and 43 abstentions.

Any other business

DR. von Waldenfels thanked Dieter Peters from Hamburg, who had given the Society of Friends of Bayreuth three original letters from Richard Wagner and a letter from Cosima Wagner. The letters have been passed on to the Richard-Wagner-Museum (Haus Wahnfried) as a permanent loan. Mr. Peters would like to appeal to other members to follow his example so that such valuable documents are preserved permanently.

This season is the first time that one of our Society's scholarship holders has been at the Bayreuth Festival in the context of the scholarship programme of the Richard Wagner Scholarship Foundation. The young singer Pauline Taumalolo was chosen in a joint selection with the Richard Wagner Society of Hawaii.

Dr. Johann closed the 61st Annual General Meeting of the Society of Friends of Bayreuth at 1.10 p.m.

From dead wood sprouts fresh green

AS in all Wagner's canonical works, "Tannhäuser" is concerned both with central questions of humanity and with intimate contradictions of private life at the same time. Wagner projects the existential conflict in which his and our (love) lives find themselves into a mediaeval world in order to place everything in perspective for himself and his audience. Today, we need to keep our eyes open for new forms of art and life so that we can find a framework for ourselves, because the early Romantic reconstruction of the Middle Ages in the Nazarene style has had its day aesthetically.

The new Middle Ages, as proclaimed by the Dutch artist Joep van Lieshout in his drafts for towns and workshops and for alternative habitats, might provide such a framework for us today. In van Lieshout's expansive works, dedicated to the total work of art and to overcoming the dichotomy between art and life, we find, as in Wagner, that the contradictory endeavours of art are allowed to blossom and flourish, and they in turn are founded in the contradictory endeavours of human existence.

What is celebrated in the "Tannhäuser" festival is not an historical contingent conflict between a sexual morality that is too narrow and obsessive and the intolerable pressure that results, which is then released in a seemingly boundless instinctive sexuality, but rather it is one Wagner's first attempts at confronting the two fundamental and mutually exclusive ways of creating harmony, happiness and unity: the frenzy of Dionysus and the dream of Apollo. It is a model of contradiction that governs art and life, and which Nietzsche later expanded on in his book dedicated to Wagner "The Birth of Tragedy from the Spirit of Music".

Venus, who in "Tannhäuser" is intended to embody the Dionysian frenzy, is at the same time a personification of the heathen goddess of fertility "Dame Holda". This demonstrates the determination with which even the younger Wagner arranged his conflict tableaux. The amoral and frenzied is simultaneously the place of fertility and reproduction, the very essence of coming into existence and passing away. The problem with this isolated Dionysian model lies in the danger it poses for the individual creature, which can easily fall by the wayside amid all the reckless living. the Dionysian existence as a way of life is "barbaric", and according to Nietzsche, those who espouse it change into "tigers and monkeys" and ultimately only yearn for one thing: death. The vitality that finds expression here is, however, the precondition for all love, all development and all fertility, and, at the same time, its moral indifference and irresponsibility is the cause of what in religious contexts is referred to as sin. That, however, would mean that life without sin is impossible. Models for this sinful vitality can be found in the Greek pantheon, which is referred to at length in the 1st scene of act one (Leda, Europa).

On the other hand, the asocial and sinful side of all that lives also marks the limits of the Dionysian, because the experience of Dionysian states is only possible for subjects, and subjects are bound to other subjects, so that they only exist as social creatures, they need social competence, i.e. the capacity for social and purposive action, or precisely those qualities whose absence characterises the Dionysian.

The subject is bound to form, the law and morality. The Apollonian in art reflects this subjective aspect, it dreams of harmonious order, of a world free of contradiction and excess, of a clear and serene way of life, free from danger, in which everyone is always in complete agreement and where it is possible to counter every danger. All that, of course, is only possible as long as the Dionysian is kept in check. This is the second sphere of art. The Apollonian seen in isolation appears as the Wartburg, whose icon is Mary, the pure "almighty Virgin".

The disjunction between the Dionysian and Apollonian was developed by Nietzsche under the influence of Wagner, his "mystagogue in all questions of art and life", and his tragedy book is a means of giving expression to a theory that he first encountered in Wagner and initially projected into Greek tragedy, and then found brought to perfection by Wagner: in tragedy and in Wagner, the Dionysian and Apollonian come together, even though they are mutually exclusive in every respect.

That is paradoxical and results from the realisation that, despite their opposing natures, they shape each other and that their relationship is one of mutual interdependence.

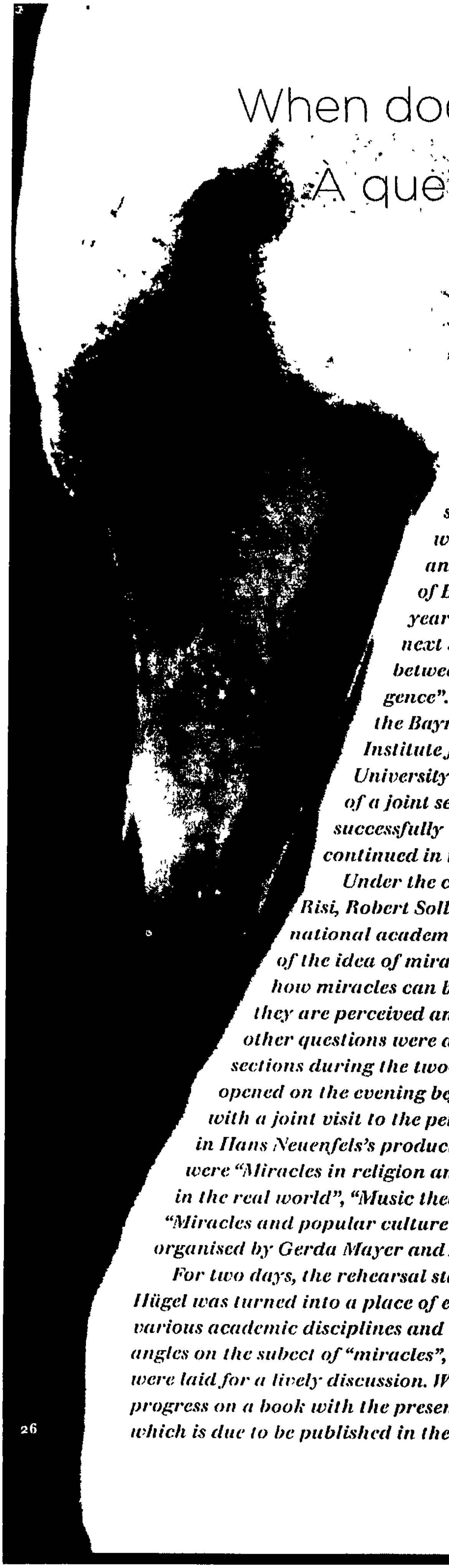
This relationship is unavoidable. When the Dionysian seals itself off from the Apollonian, it is unconscious, and when the Apollonian raises itself above the Dionysian, it is dead. Only in this (self-) contradiction is conscious life possible.

Venus and Mary embody the two sides of the contradiction and are in this respect pure projections of the other world (goddesses). If they are regarded as finite beings, however, which is at least possible in the case of the jealous Venus, the contradiction even becomes apparent in them too. Elisabeth and Wolfram likewise have to go through the conflict between Dionysian and Apollonian desires. Sin and penance, hypocrisy and lies are the means by which the contradiction can apparently be overcome. The game of art celebrates it and familiarises mankind with it. Tannhäuser can always only devote himself to one extreme, either Dionysus or Apollo, he refuses the self-contradiction and is therefore doomed to fail. There is only one who is free of all contradictions: the pure, unspoilt child, the shepherd boy.

There are two wise truths behind this model of existential contradiction according to Nietzsche: the first is the wisdom of Silenus, Dionysus's reflective companion: the best thing for you would never to have been born, the second-best thing would be to die soon. And the wisdom which Nietzsche ascribes to the "Homeric man": the second-worst thing is that we all have to die some time, but the worst thing is to have to die soon. The first culminates in "sweet death", e.g. in the arms of one's lover, the second requires "a hard life": finding harmony by renunciation. Sebastian Baumgarten's production will attempt to explore the great and inevitable conflicts in this material and to liberate this opera from the bonds of the 19th century.

Carl Hegemann

Carl Hegemann is dramaturge for the new production of **TANNHÄUSER** 2011



When does the next swan leave?

Symposium • 4 - 5 August 2010

À quelle heure, le prochain cygne ?

Symposium • 4 - 5 août 2010

FOR the third time, the Free University of Berlin organised an academic symposium in co-operation with the Bayreuth Festival and the Society of Friends of Bayreuth e. V., the title this year being "When does the next swan leave? – Miracles between Strategy and Emergence". The co-operation between the Bayreuth Festival and the Institute for Drama at the Free University of Berlin in the context of a joint series of conferences began successfully in 2007 and is to be continued in the years to come.

Under the chairmanship of Clemens Risi, Robert Sollich and Anna Toewe, international academics discussed the origins of the idea of miracles and the question of how miracles can be shown on stage, how they are perceived and their effects. These and other questions were discussed in four general sections during the two-day symposium, which opened on the evening before the conference itself with a joint visit to the performance of "Lohengrin" in Hans Neuenfels's production. The subjects covered were "Miracles in religion and politics", "Miracles in the real world", "Music theatre miracles" and "Miracles and popular culture". The event was organised by Gerda Mayer and Anna Toewe.

For two days, the rehearsal stage IV on the Grüner Hügel was turned into a place of encounter for the various academic disciplines and their respective specific angles on the subect of "miracles", so that the foundations were laid for a lively discussion. Work is currently in progress on a book with the presentations and results, which is due to be published in the Summer of 2011.

POUR la troisième fois déjà, l'Université libre de Berlin organisait cette année un symposium en coopération avec le Festival de Bayreuth et la Société des amis, avec pour titre cette fois : « À quelle heure, le prochain cygne ? Le miracle, entre stratégie et émergence ». Cette coopération entre le Festival de Bayreuth et la faculté d'étude théâtrales de l'Université libre de Berlin, initiée avec succès en 2007 dans le cadre d'un programme commun de séminaires, doit se poursuivre au fil des prochaines années.

C'est sous la direction de Clemens Risi, Robert Sollich et Anna Toewe qu'eurent lieu les débats réunissant des chercheurs et des chercheuses de différents pays sur l'origine de la notion de miracle ainsi que sur la question de sa mise en scène, de sa réception et de ses effets. Ces interrogations ont figuré parmi les sujets de ce symposium étalé sur deux journées, inaugurées par la représentation en soirée de Lohengrin *dans la mise en scène de Hans Neuenfels. Quatre sections ont été approfondies – « Le miracle en religion et en politique » ; « Le miracle de ce monde » ; « Le miracle du théâtre lyrique » ainsi que « Miracle et culture populaire » – pour ces débats organisés par Gerda Mayer et Anna Toewe.*

Pendant ces deux jours, c'est la scène de répétition IV, sur la Colline verte, qui a offert le cadre à ces différentes disciplines de recherche et à leurs regards spécifiques sur le thème du miracle, assurant ainsi une ambiance propice à des échanges les plus fructueux. Une publication est en travail, proposant les différentes contributions et résultats du symposium. Parution : été 2011.

Social Evening

On 31st July, 2010, a social evening was held, for which invitations had been sent out as in all "Ring" years. Many members and guests accepted the invitation and spent a delightful evening together.

A particular highlight was the concert by the Bayreuth-Festival-Violinquartett. Bernhard Hartog, Michael Franzel, Ulf Klausenitzer and Kiichiro Mamine have played in the Festival orchestra for many years and founded the quartet in the Summer of 2005 in order to explore the works of Richard Wagner and rare works of chamber music, and also pieces by contemporary composers.

In addition, we should also like to take this opportunity to thank Lilo Heuberger most warmly for donating three opera glasses to be auctioned. Many of our members will know her, because Lilo Heuberger has been in charge of hiring out opera glasses in the Festspielhaus for the last 52 years. She was able to sort out the right glasses for members of the audience depending on their seats and how short-sighted they were. This is the first year in which members of the audience have had to manage without her services and bring their own opera glasses with them.

At our social evening, there was therefore an opportunity to bid for those opera glasses, which have seen many things in their lives.

The proceeds from the auction were divided up between the events for our "Young Friends of Bayreuth" and the charity "People in Need". In line with Wolfgang Wagner's wishes, his family requested donations to that charity, for which more than €48,000 have been received in the meantime.

In the next two years, no social evenings will be held, because there will be no "Ring" in the programme. However, in order to enable our members to meet in these years too, we shall be issuing invitations for 24th July, 2011, to a celebration on the occasion of the 100th Bayreuth Festival and of course also to our new series of events "Friends meet Friends".

Friends meet Friends

Next year, we intend to organise a new series of events for our members. Meeting interesting people, "having a look behind the scenes" and getting to know one another: that will be the focus of "Friends meet Friends".
We shall be offering you three different dates when you can attend a talk, after which there will be an opportunity to chat and get to know other members.
Please make a note of the following date in your diary:

- **29th July, 2011: Prof. Dr. Udo Bermbach will be talking about the Bayreuth Festival idea.**
- **In August: Chordirektor Eberhard Friedrich will speak about his job as conductor of the Bayreuther Festspielchor.**
- **The place and attendance formalities will be announced in the "Post aus Bayreuth".**

News from Bayreuth

Supplementing our "Post from Bayreuth", we intend in future to send you a number of bulletins in the course of the year to keep you up to date with news from the Grüner Hügel and what goes on around it. In the "Letters to our Friends", which we are planning to send to our members by electronic mail as of the beginning of 2011, you will be able to find out more about the latest developments in Bayreuth, such as the preparations in the Festspielhaus, the progress made in the renovation of Haus Wahnfried or the planned construction of a new rehearsal stage, and also current projects outside Bayreuth, such as the opportunities for our Young Members to attend dress rehearsals in Stuttgart or Berlin. In order to allow our Members to gain an insight into the early years of our Society, we propose to open up our Society's archives every now and then. Anyone who would like to receive our "Letters to our Friends", is requested to send an e-mail to the address kontakt@freunde-bayreuth.org with the reference "Briefe" and specifying the language desired (German, English or French). It is intended to send out the first letter at the beginning of March 2011.

Vorträge

An dieser Stelle sei noch einmal den Dramaturgen Henry Arnold und Norbert Abels für ihre Einführungsvorträge in die Inszenierungen von *Lohengrin* und des *Ring des Nibelungen* gedankt.

Am 17. August 2010 gab Henry Arnold anhand wichtiger Symbole und Bilder Einblicke in die Gedankenwelt Hans Neuenfels' und die neue *Lohengrin*-Inszenierung. Diese stütze sich allein auf den der Oper immanenten Ideengehalt mit den zentralen Aspekten »Liebe« und deren »Scheitern«, »Zweifel« vs. »Frageverbot« sowie »Identität«.

Neuenfels' Inszenierung zeige die Welt als in sich geschlossenes Experiment, das durch Lohengrins Auf- und Eintauchen ausgelöst würde. Die Ausstattung Reinhard von der Thannens mit dem Bühnenbild als Labor manifestiere die Deutung dieser Weltsicht. Die dem Experiment innewohnenden Gesetze bestimmen das Handeln der Figuren, die sich diesen Regeln jedoch freiwillig unterwerfen würden. So liege das Scheitern ihrer Liebe von vornherein in Elsa und Lohengrin selbst begründet.

Mögliche Individualität und Identität sind bei Neuenfels den Hauptfiguren vorbehalten. Der Chor, in den vieldiskutierten Rattenkostümen, zeige *»das Kollektiv, die symbolische Umsetzung des Bildes des Zustandes der Nichtindividualität sowie des Zustandes des Daseins bzw. dessen Endlichkeit.«* Die Transformation des Kollektivs in gesellschaftliche Kostüme und der damit verbundene Versuch einer Individuation mißlingt, das Volk wird zum Spielball der Manipulation, folgt blind dem neuen Helden und ist am Ende wieder nur uniformiertes Kollektiv. Was bleibe, sei *»das unversöhnliche Gesetz des Grals, das kein Verzeihen kennt. Der Mensch müsse zurück in die Gegebenheit seiner endlichen, diesseitigen und eng begrenzten Existenz.«* Die ebenfalls stark diskutierte Darstellung des Gottfried zeige das Ende der Alten Welt mit all ihrer Kreatürlichkeit und Endlichkeit sowie das Entstehen einer Neuen durch die Geburt eines neuen Wesens.

PROFESSOR Norbert Abels berichtete mit viel Begeisterung am 25. August 2010 über die Arbeit mit Tankred Dorst am *Ring des Nibelungen*.

So stünden hinter der Erscheinung einer archaischen Götter-, Riesen- und Heldenwelt höchst zeitgemäße Fragen, Situationen und Konflikte: Familienzwänge, Tabuverletzungen, die pathologische Gier nach Machterhalt und Geld oder krankhafte Liebes- und Todessucht.

Er erläuterte unter anderem die Entstehung der Figur des »kleinen Hans«, ein Kind, das als einziges die Götterwelt erahnt, sowie die Gestaltung des Bühnenbildes als Megapolis, an deren Randplätzen die Götter sich aufhalten.

Professor Abels gab auch Einblicke in Ideen, die in der Inszenierung nicht verwirklicht werden konnten. Zum Beispiel spielte man mit der Idee, die *Walküre* mit einem Gewitter beginnen zu lassen, was aber Wolfgang Wagner strikt untersagte. Mit einem Schmunzeln erzählte Professor Abels, daß man das reale Gewitter im Sommer 2006, das sogar die Vorstellung verspätet anfangen ließ, als »transzendentale Genugtuung« empfunden habe.

Zum Nach- und Weiterlesen seien folgende Texte empfohlen:

TANKRED DORST: ***Die Fußspur der Götter: Auf der Suche nach Wagners Ring.*** **Verlag Süddeutsche Zeitung, 2006.**
NORBERT ABELS: ***Anmerkungen zu Tankred Dorsts Bayreuther Ring*** **In:** ***Almanach der Gesellschaft der Freunde von Bayreuth*****, 2010.**

In Erinnerung an diesen Vormittag an der Universität Bayreuth blieb vor allem die Begeisterung und Liebe von Professor Abels für das Werk Richard Wagners, die Arbeit in Bayreuth, für Festspielleiter Wolfgang Wagner und die Mitarbeiter der Festspiele.

Siegfried: Inszenierung Tankred Dorst.
Lance Ryan (Siegfried), Albert Dohmen (Wotan).
Bayreuth, 2010.

Lectures

WE should like to take this opportunity once again to thank the dramaturges Henry Arnold and Norbert Abels for their introductory lectures to the productions of "Lohengrin" and the "Ring des Nibelungen".

ON 17th August, 2010, Henry Arnold gave us an insight into Hans Neuenfels's world of ideas and the new "Lohengrin" production by considering some important symbols and images. He explained how it was based on the ideas inherent in the opera, with the central aspects of 'love' and its 'failure', 'doubt' versus 'the forbidden question' and 'identity'.

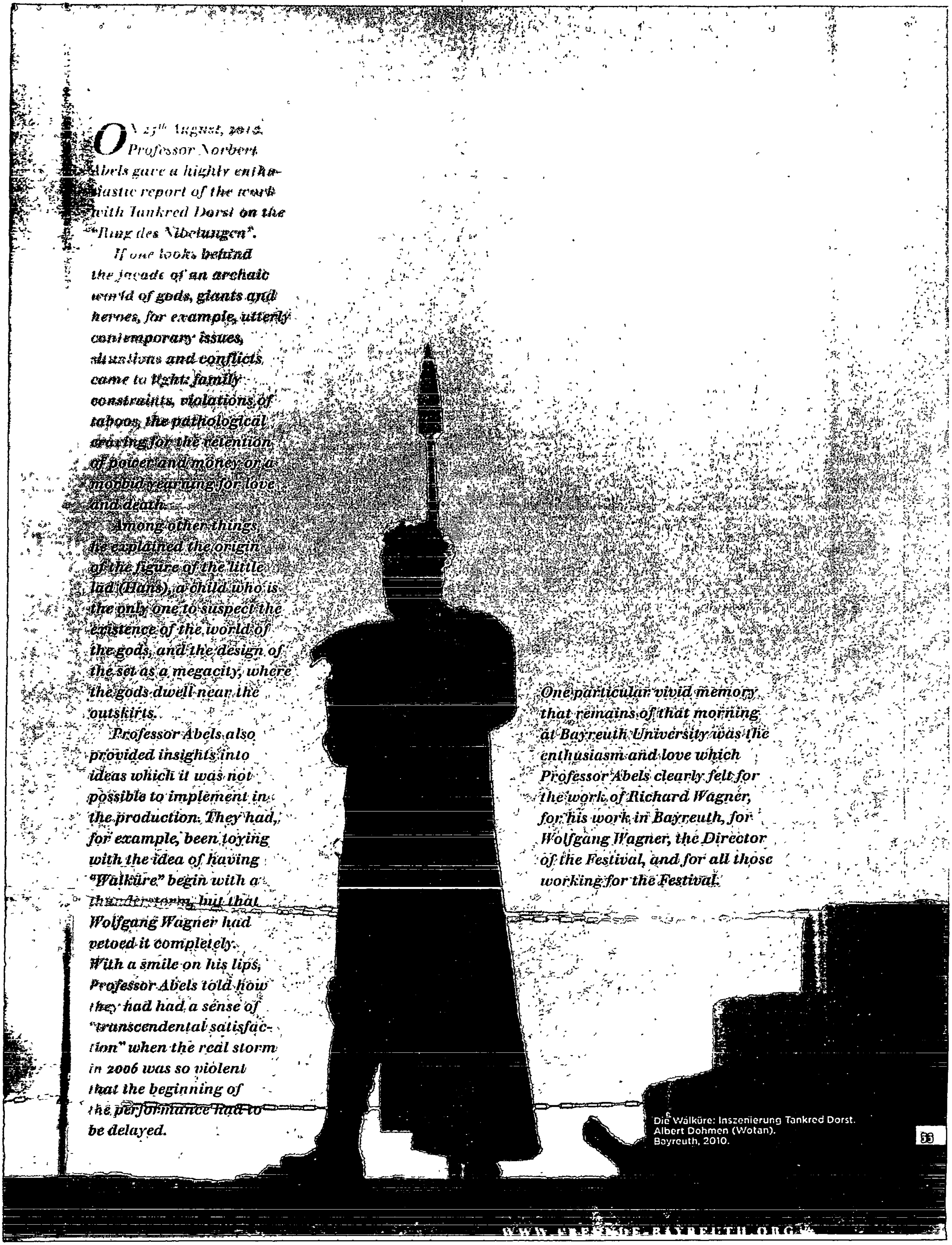
Neuenfels's production shows the world as a self-contained experiment, which is triggered by Lohengrin's appearance and involvement. Reinhard von der Thannen's sets, in which the stage becomes a laboratory, are an expression of his interpretation of this view of the world. The rules governing the experiment determine the actions of the characters, who nevertheless submit voluntarily to those rules. As a result, the failure of their love is due to Elsa and Lohengrin themselves.

Any possible individualism and identity are reserved to the main characters in Neuenfels. The chorus, in their rat costumes that have given rise to so many discussions, demonstrates "the collective, the symbolic transposition of the image of the condition of non-individuality and the condition of existence, or its finiteness." The transformation of the collective into society costumes and the concomitant attempt at individuation fails, the populace finds itself prey to manipulation, blindly follows the new hero and ends up yet again as nothing more than a uniformed collective. What remains is "the inexorable law of the Grail, which knows no forgiveness. Mankind must return to the circumstances of its finite existence in this world and remain within its own narrow confines." The representation of Gottfried, which was likewise the subject of much discussion, shows the end of the Old World with all its creatureliness and finiteness, and the emergence of a New one through the birth of a new being.

For further reading, the following may be recommended:

TANKRED DORST: *Die Fußspur der Götter: Auf der Suche nach Wagners Ring.* Verlag Süddeutsche Zeitung, 2006.

NORBERT ABELS: *What will humankind be like? Thoughts on tankred Dorst's Bayreuth "Ring" In: Almanach of the Society of Friends of Bayreuth, 2010.*



ON 25th August, 2012, Professor Norbert Abels gave a highly enthusiastic report of the work with Tankred Dorst on the "Ring des Nibelungen".

If one looks behind the facade of an archaic world of gods, giants and heroes, for example, utterly contemporary issues, situations and conflicts came to light: family constraints, violations of taboos, the pathological craving for the retention of power and money, or a morbid yearning for love and death.

Among other things, he explained the origin of the figure of the little lad (Hans), a child who is the only one to suspect the existence of the world of the gods, and the design of the set as a megacity, where the gods dwell near the outskirts.

Professor Abels also provided insights into ideas which it was not possible to implement in the production. They had, for example, been toying with the idea of having "Walküre" begin with a thunderstorm, but that Wolfgang Wagner had vetoed it completely. With a smile on his lips, Professor Abels told how they had had a sense of "transcendental satisfaction" when the real storm in 2006 was so violent that the beginning of the performance had to be delayed.

One particular vivid memory that remains of that morning at Bayreuth University was the enthusiasm and love which Professor Abels clearly felt for the work of Richard Wagner, for his work in Bayreuth, for Wolfgang Wagner, the Director of the Festival, and for all those working for the Festival.

Die Walküre: Inszenierung Tankred Dorst. Albert Dohmen (Wotan). Bayreuth, 2010.

AN dieser Stelle möchten wir nicht versäumen, Dieter Peters aus Hamburg zu danken, daß er unserer Gesellschaft in diesem Sommer drei Originalbriefe Richard Wagners aus den Jahren 1835, 1871 und 1878 und einen Brief Cosima Wagners aus dem Jahr 1899 geschenkt hat.

Zum Anlaß nahm Herr Peters seinen 50. Besuch der Bayreuther Festspiele und sein 48jähriges Jubiläum als Mitglied der Gesellschaft der Freunde von Bayreuth. Er möchte das Geschenk mit dem Appell an andere Mitglieder verbinden, wichtige Erinnerungsstücke aus Leben und Wirken Richard Wagners in gleicher Weise der Öffentlichkeit zugänglich zu machen. Alle Briefe haben wir an das Richard-Wagner-Museum Bayreuth als Dauerleihgabe übergeben.

Abgebildet ist Richard Wagners Brief vom 22. November 1871 an Franz Mrazek, seinen ehemaligen Diener.

Dear Franz,

Be a good fellow and try to find out discreetly – without anyone noticing – when the King is returning to Munich. I should like to know the precise details, but no one must find out that it is important to me. I am sure you will be able to handle it; it is not usually kept secret anyway, of course; I should just like to know more precisely and somewhat longer in advance. I look forward to hearing from you soon, therefore. Please give my regards to your wife. All those here who know you also send their best wishes!

Your Richard Wagner

Lucerne 22nd Nov. 1871

Cher Franz,

Soyez-donc pour une fois plein de ressources et sagacités et tâchez discrètement de savoir – sans que personne ne puisse en avoir vent – quand le roi sera de retour à Munich. Je tiens à être précisément informé sans que personne ne sache à quel point cela m'est important. Vous saurez parfaitement vous acquitter de la tâche, ce n'est pas là chose que l'on tient secrète, mais je souhaite être informé longtemps à l'avance. J'attends donc de vos nouvelles au plus vite. Saluez bien votre épouse de ma part et tous ceux ici qui vous connaissent vous adressent leurs meilleures salutations !

Votre Richard Wagner

Lucerne, le 22 Nov. 1871

THIS Summer, Dieter Peters from Hamburg gave our Society three original letters from Richard Wagner dating from 1835, 1871 and 1878 and a letter from Cosima Wagners from 1899, and we should like to take this opportunity to thank him.

The occasion was the 50^{th} time that Mr. Peters had attended the Bayreuth Festival and his 48^{th} anniversary as a member of the Society of Friends of Bayreuth. He would like to combine the gift with an appeal to other members to make important souvenirs from the life and work of Richard Wagner available to the public in a similar way. We have passed on all the letters to the Bayreuth Richard-Wagner-Museum as a permanent loan.

We have reproduced Richard Wagner's letter of 22^{nd} November, 1871, addressed to Franz Mrazek, his former servant.

***

À cet endroit, nous ne saurions omettre de remercier Dieter Peters, de Hambourg, pour nous avoir fait don cet été de trois lettres manuscrites de la main de Richard Wagner, datées respectivement et 1835, 1871 et 1878, ainsi que d'une lettre de Cosima Wagner, de 1899.

C'est à l'occasion de sa 50^{e} visite au Festival de Bayreuth que M. Peters, membre de la Société des amis de Bayreuth depuis 48 ans, a effectué cette donation, au travers de laquelle il souhaite motiver les membres de la Société à rendre mêmement public l'accès à des témoignages de la vie et de l'œuvre de Richard Wagner. Les lettres ont toutes été mises à disposition du Musée Richard Wagner de Bayreuth en tant que prêt permanent.

La reproduction ci-contre montre la lettre de Richard Wagner à Franz Mrazek, son ancien valet, en date du 22 novembre 1871.

Young Friends of Bayreuth
Jeunes amis de Bayreuth

THE group of Young Friends of Bayreuth, which has by now grown to 266 members, continues to attract strong support with its special offers for Wagner enthusiasts aged less than 35. The highlights of this year's activities were the opportunities to attend the dress rehearsals for "Siegfried" and "Götterdämmerung" on the Grüner Hügel, which were enthusiastically received. A guided tour of the Richard-Wagner-Museum likewise met with great interest, where the Director, Dr. Sven Friedrich, gave the Young Members a talk in which he explained the history and concept behind the exhibition in Haus Wahnfried.

Even outside the Festival season and away from Bayreuth, there was also a lively exchange on the occasion of highly regarded new productions of Wagner's works: on the initiative of the group of spokesmen, several members attended the dress rehearsal of "Parsifal" at the Staatsoper in Stuttgart at the end of March (producer: Calixto Bieito, conductor: Manfred Honeck) and the dress rehearsal of "Die Walküre" at the Staatsoper in Hanover in May (producer: Barrie Kosky, conductor: Wolfgang Bozic).

New possibilities and opportunities for contacts with young music enthusiasts have opened up thanks to the co-operation that began this Spring with the "Apollos", the youth organisation of the "Friends and Sponsors of the Staatsoper Unter den Linden" in Berlin, whose members were welcomed by the Society of Friends in July to watch the dress rehearsal of "Siegfried" in the Festspielhaus.

In return, some Young Friends travelled to the German capital in mid-October, where they attended the eagerly awaited dress rehearsal of the new "Rheingold" production by Guy Cassiers, conducted by Daniel Barenboim.

Following two meetings of the group of spokesmen, it is intended to do more now to expand the range of events and to give the numerous young Wagner enthusiasts even more opportunities to experience music theatre together.

LE succès se poursuit pour le cercle des Jeunes amis de Bayreuth, élargi entre temps à 266 membres et dont les activités sont réservées aux membres de moins de 35 ans. La visite des répétitions générales de Siegfried *et du* Crépuscule des dieux, *accueillies avec enthousiasme, est restée un moment fort de cette année sur la Colline verte. De même, la visite du Musée Richard Wagner sous la conduite de Sven Friedrich, son directeur, détaillant l'histoire et le concept de l'exposition dans la Villa Wahnfried, a rencontré un vif intérêt.*

Toutefois, en dehors du festival et ailleurs qu'à Bayreuth ont également eu lieu de nombreux échanges lors de nouvelles productions des œuvres de Wagner : à l'initiative du groupe des porte-paroles, de nombreux Jeunes amis ont pu assister fin mars à la répétition générale de Parsifal *à l'Opéra de Stuttgart (mise en scène : Calixto Bieito, direction musicale : Manfred Honeck) et, en mai, à la répétition générale de* La Walkyrie *à l'Opéra national de Hanovre (mise en scène : Barrie Kosky ; direction musicale : Wolfgang Bozic).*

Depuis le printemps sont proposées de nouvelles possibilités de contact entre jeunes mélomanes grâce à une coopération avec Apollos, la section jeune public de la Société des amis de l'Opéra national de Berlin (Staatsoper), dont les membres furent accueillis en juillet dernier par la Société des amis de Bayreuth pour la répétition générale de Siegfried *au Festspielhaus.*

En retour, des Jeunes amis de Bayreuth ont pu se rendre mi-octobre à Berlin pour une impressionnante répétition générale de la production tant attendue de L'Or du Rhin, *une nouvelle mise en scène de Guy Cassier sous la direction musicale de Daniel Barenboim.*

Ce qui ressort désormais des deux réunions du groupe des portes paroles est que nous comptons élargir la palette pour offrir aux jeunes wagneromanes encore plus d'occasions de vivre ensemble des moments d'opéra.

Martin R. Handschuh

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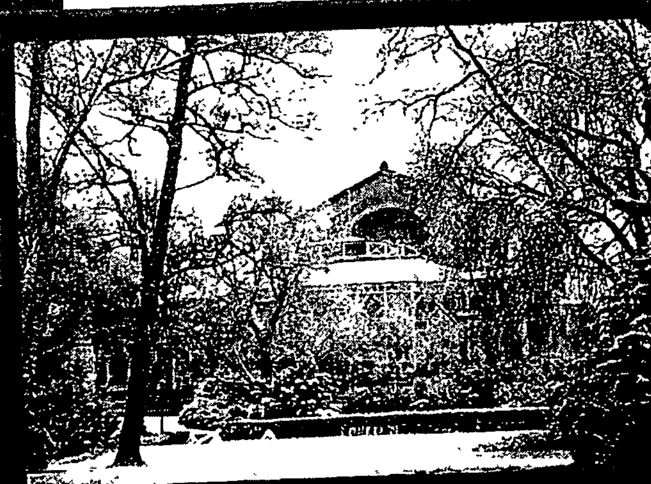
100 Bayreuther Festspiele

Tribute den Künstlern 24. Juli 2011
Tribute to the Performers 24th July 2011
Hommage aux artistes 24 juillet 2011

Freunde treffen Freunde • ab 29. Juli 2011 • S. 27
Friends meet Friends • from 29th July, 2011 • p. 28
A la rencontre des Amis • à partir du 29 juillet 2011 • p. 29

Neuigkeiten aus Bayreuth • eine zu bestellende Elektropost • S. 27
News from Bayreuth • an electronic mail to suscribe • p. 28
Nouvelles de Bayreuth • un courriel a commander • p. 29



*Wir wünschen allen Mitgliedern
ein frohes, gesegnetes Weihnachtsfest
und ein gutes Neues Jahr.*

*We are wishing all members
a merry christmas and
a happy new year.*

*Nous souhaitons à tous nos adhérents
une très heureuse fête de Noël
et une très bonne année.*

Vorstand & Geschäftsstelle

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	50,000 ANNUAL REVIEW NOTES LINKED TO S&P 500 INDE	P	01/26/07	02/11/10
b	100,000 STANFORD UNIVERSITY 4 3/4% DUE 5/1/19	P	05/07/09	02/09/10
c	320 SHS ISHARES RUSSELL 2000 INDEX FUND	D	07/30/09	07/16/10
d	285 SHS ISHARES RUSSELL 2000 INDEX FUND	D	07/30/09	10/19/10
e	FROM JPMORGAN GLOBAL ACCESS PORTFOLIOS LLC K-1	P	VARIOUS	VARIOUS
f	FROM JPMORGAN GLOBAL ACCESS PORTFOLIOS LLC K-1	P	VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	41,281.		50,000.	<8,719.>
b	102,700.		99,817.	2,883.
c	20,355.		17,795.	2,560.
d	20,049.		15,848.	4,201.
e				3,812.
f				6,995.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<8,719.>
b				2,883.
c				2,560.
d				4,201.
e				3,812.
f				6,995.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	11,732.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

023591
05-01-10

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
50,000 ANNUAL REVIEW NOTES LINKED TO S&P 500 INDEX	PURCHASED	01/26/07	02/11/10

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
41,281.	50,000.	0.	0.	<8,719.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
100,000 STANFORD UNIVERSITY 4 3/4% DUE 5/1/19	PURCHASED	05/07/09	02/09/10

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
102,700.	99,817.	0.	0.	2,883.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
320 SHS ISHARES RUSSELL 2000 INDEX FUND	DONATED	07/30/09	07/16/10

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
20,355.	20,152.	0.	0.	203.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
285 SHS ISHARES RUSSELL 2000 INDEX FUND	DONATED	07/30/09	10/19/10

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
20,049.	17,948.	0.	0.	2,101.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
FROM JPMORGAN GLOBAL ACCESS PORTFOLIOS LLC K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	3,812.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
FROM JPMORGAN GLOBAL ACCESS PORTFOLIOS LLC K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	6,995.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	7,275.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
JPMORGAN	17.
US TREASURY	48.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	65.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FROM JPMORGAN GLOBAL ACCESS PORTFOLIOS LLC K-1	14,683.	0.	14,683.
JPMORGAN - DIVIDENDS	856.	0.	856.
JPMORGAN - INT ON BONDS	16,484.	0.	16,484.
TOTAL TO FM 990-PF, PART I, LN 4	32,023.	0.	32,023.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CURRENCY GAIN/LOSS	109.	109.	
ORDINARY INCOME ON DISPOSITION OF COATUE OFFSHORE FUND, LTD.	1.	1.	
ORDINARY INCOME ON DISPOSITION OF D.E. SHAW COMPOSITE INTERNATIONAL FUND	78.	78.	
ORDINARY INCOME ON DISPOSITION OF GOLDENTREE OFFSHORE FUND, LTD	1.	1.	
ORDINARY INCOME ON DISPOSITION OF HB MULTI-STRATEGY HOLDINGS, LTD.	55.	55.	
ORDINARY INCOME ON DISPOSITION OF HIGHBRIDGE STATISTICAL OPPORTUNITIES FUND	134.	134.	
ORDINARY INCOME ON DISPOSITION OF HIGHBRIDGE QUANTITATIVE COMMODITIES FUND	11.	11.	
ORDINARY INCOME ON DISPOSITION OF JPMORGAN BLACKROCK OPPORTUNISTIC RMBS LTD	2,024.	2,024.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,413.	2,413.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX PREP FEES	8,743.	4,372.		4,371.
TO FORM 990-PF, PG 1, LN 16B	8,743.	4,372.		4,371.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES (FROM K-1)	248.	248.		0.
FEDERAL TAX REFUNDS	<3,595.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	<3,347.>	248.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	125.		125.
MISCELLANEOUS	57.	29.		28.
PORTFOLIO DEDUCTIONS (FROM K-1)	10,729.	10,729.		0.
TO FORM 990-PF, PG 1, LN 23	11,036.	10,883.		153.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ISHARES RUSSELL 2000 INDEX FUND	74,563.	74,563.
TOTAL TO FORM 990-PF, PART II, LINE 10B	74,563.	74,563.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KRAFT FOODS INC. NOTES 5 5/8% DUE 11/1/11	103,889.	103,889.
STANFORD UNIVERSITY 4 3/4% DUE 5/1/19	0.	0.
JOHNS HOPKINS UNIVERSITY NOTES 5 1/4% DUE 7/1/19	109,676.	109,676.
BEAR STEARNS LLC MEDIUM TERM FLOATING RATE NOTE DUE 12/7/12	115,997.	115,997.
TOTAL TO FORM 990-PF, PART II, LINE 10C	329,562.	329,562.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ANNUAL REVIEW NOTES LINKED TO THE S&P 500 INDEX	FMV	0.	0.
JPM GLOBAL ACCESS PORTFOLIOS, LLC	FMV	1,260,975.	1,260,975.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,260,975.	1,260,975.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THURMOND SMITHGALL 250 W 57TH STREET STE 1928 NEW YORK, NY 10107	TRUSTEE 1.00	0.	0.	0.
JOHN ROBERTS 250 W 57TH STREET STE 1928 NEW YORK, NY 10107	TRUSTEE 2.50	16,056.	0.	0.
ALFRED F. HUBAY 250 W 57TH STREET STE 1928 NEW YORK, NY 10107	TRUSTEE 0.25	0.	0.	0.
KATHERINE GILL 250 W 57TH STREET STE 1928 NEW YORK, NY 10107	TRUSTEE 0.25	0.	0.	0.
DANIEL TUCKER 250 W 57TH STREET STE 1928 NEW YORK, NY 10107	TRUSTEE 0.25	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		16,056.	0.	0.

FORM 990-PF PROGRAM SERVICE REVENUE STATEMENT 15

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC-TION INCOME
CURRENCY GAIN/LOSS			14	109.	
ORDINARY INCOME ON DISPOSITION OF COATUE OFFSHORE FUND, LTD.			14	1.	
ORDINARY INCOME ON DISPOSITION OF D.E. SHAW COMPOSITE INTERNATIONAL			14	78.	
ORDINARY INCOME ON DISPOSITION OF GOLDENTREE OFFSHORE FUND, LTD			14	1.	
ORDINARY INCOME ON DISPOSITION OF HB MULTI-STRATEGY HOLDINGS,			14	55.	

ORDINARY INCOME ON DISPOSITION OF HIGHBRIDGE STATISTICAL OPPORTUNITIES		14	134.	
ORDINARY INCOME ON DISPOSITION OF HIGHBRIDGE QUANTITATIVE COMMODITIES		14	11.	
ORDINARY INCOME ON DISPOSITION OF JPMORGAN BLACKROCK OPPORTUNISTIC		14	2,024.	
TOTAL TO FORM 990-PF, PG 11, LINE 1			2,413.	