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Form **990-PF**
Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

THE FROG ROCK FOUNDATION

A Employer identification number

13-4127228

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

PO BOX 865

City or town, state, and ZIP code

CHAPPAQUA, NY 10514

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end
of year (from Part II, col (c), line
16) ▶ \$ 14,372,994.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	13,200.			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	14,236.	14,236.		ATCH 1
4 Dividends and interest from securities	314,022.	313,969.	0.	ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-340,371.			
b Gross sales price for all assets on line 6a 8,670,916.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,087.	328,205.	0.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	13,500.	0.	0.	13,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 3	39,900.	29,925.	0.	9,975.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	5,507.	5,507.	0.	0.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	66,179.	63,256.	0.	2,923.
24 Total operating and administrative expenses. Add lines 13 through 23	125,086.	98,688.	0.	26,398.
25 Contributions, gifts, grants paid	786,000.			786,000.
26 Total expenses and disbursements. Add lines 24 and 25	911,086.	98,688.	0.	812,398.
27 Subtract line 26 from line 12	-909,999.			
a Excess of revenue over expenses and disbursements		229,517.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			0.	

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 4

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,932,377.	1,544,545.	1,544,545.
	2 Savings and temporary cash investments STMT 8 (A) 8 (B)	653,511.	528,319.	507,585.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule) **	108,373.	43,554.	45,966.
	b Investments - corporate stock (attach schedule) ATCH 7.	8,909,405.	9,571,986.	10,293,843.
	c Investments - corporate bonds (attach schedule) ATCH 8.	3,141,938.	2,127,201.	1,981,055.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	14,745,604.	13,815,605.	14,372,994.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	14,745,604.	13,815,605.		
30 Total net assets or fund balances (see page 17 of the instructions)	14,745,604.	13,815,605.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	14,745,604.	13,815,605.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,745,604.
2 Enter amount from Part I, line 27a	2	-909,999.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	13,835,605.
5 Decreases not included in line 2 (itemize) ▶ ATTACHMENT 9	5	20,000.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,815,605.

** ATCH 6

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-340,371.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	756,770.	12,810,893.	0.059072
2008	620,620.	16,154,427.	0.038418
2007	1,008,635.	19,533,906.	0.051635
2006	614,464.	17,604,027.	0.034905
2005	645,268.	8,031,344.	0.080344
2 Total of line 1, column (d)			2 0.264374
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.052875
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 13,628,100.
5 Multiply line 4 by line 3			5 720,586.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,295.
7 Add lines 5 and 6			7 722,881.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 812,398.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	2,295.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	2,295.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,295.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 14,847.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	14,847.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	12,552.
11 Enter the amount of line 10 to be Credited to 2011 estimated tax 12,552. Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>WWW.FROGROCKFOUNDATION.ORG</u>				
14	The books are in care of <u>JANET INSKEEP BENTON</u> Telephone no <u></u>			
Located at <u>P.O.BOX 865 CHAPPAQUA, NY</u> ZIP + 4 <u>10514</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years <u></u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐ N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **5b** N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		13,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,406,092.
b	Average of monthly cash balances	1b	1,429,543.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	13,835,635.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,835,635.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	207,535.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,628,100.
6	Minimum investment return. Enter 5% of line 5	6	681,405.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	681,405.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,295.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,295.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	679,110.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	679,110.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	679,110.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	812,398.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	812,398.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2,295.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	810,103.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				679,110.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years 20 08 20 07 20 06				
3 Excess distributions carryover, if any, to 2010				
a From 2005 206,230.				
b From 2006				
c From 2007 64,402.				
d From 2008				
e From 2009 756,770.				
f Total of lines 3a through e	1,027,402.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 812,398.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) STMT 13 812,398.				
d Applied to 2010 distributable amount				
e Remaining amount distributed out of corpus 0.				
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	679,110.			679,110.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,160,690.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,160,690.			
10 Analysis of line 9				
a Excess from 2006 0.				
b Excess from 2007 0.				
c Excess from 2008 0.				
d Excess from 2009 348,292.				
e Excess from 2010 812,398.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JANET BENTON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 11

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT 11 (A) .

c Any submission deadlines

SEE ATTACHED STATEMENT 11 (A) .

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT 11 (A) .

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

THE FROG ROCK FOUNDATION

Employer identification number

13-4127228

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization THE FROG ROCK FOUNDATION

Employer identification number
13-4127228**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JANET INSKEEP BENTON 30 FROG ROCK ROAD ARMONK, NY 10504	\$ 6,700.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	JANET INSKEEP BENTON 30 FROG ROCK ROAD ARMONK, NY 10504	\$ 6,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					6,495.	
582,669.		SEE ATTACHED SCHEDULE I 582,005.				P	VARIOUS 664.	VARIOUS
113,490.		SEE ATTACHED SCHEDULE I 145,004.				P	VARIOUS -31,514.	VARIOUS
4,500,663.		SEE ATTACHED SCHEDULE II 4,384,738.				P	VARIOUS 115,925.	VARIOUS
3,461,201.		SEE ATTACHED SCHEDULE II 3,896,620.				P	VARIOUS -435,419.	VARIOUS
6,398.		SEE ATTACHED SCHEDULE III 2,920.				P	VARIOUS 3,478.	VARIOUS
TOTAL GAIN (LOSS)							<u>-340,371.</u>	

P05L234343-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B which is reported to the IRS it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net	
Short-term Gain/Loss			\$ 582,005.23	\$	582,668.78	\$	668.77	\$	(5.22)	\$ 663.55
Long-term Gain/Loss			145,003.98		113,489.50				(31,514.48)	(31,514.48)
Sub Total:			\$ 727,009.21	\$	696,158.28	\$	668.77	\$	(31,519.70)	\$ (30,850.93)
Total:			\$ 727,009.21	\$	696,158.28	\$	668.77	\$	(31,519.70)	\$ (30,850.93)

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLY BK MIDVALE UT US RT 00 2000% MAT 07/09/10 FIXED RATE CD	Redemption	170,000 000		04/06/10	07/09/10	170,000 00	170,000 00			Y
BEAL BANK TX US RT 00 1500% MAT 04/07/10 FIXED RATE CD	Redemption	240,000 000		12/29/09	04/07/10	240,000 00	240,000 00			Y
CAROLINA FIRST BK SC US RT 00 7500% MAT 03/01/10 FIXED RATE CD	Redemption	98,000 000		05/21/09	03/01/10	98,000 00	98,000 00			Y

Continued on page 10

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SCHEDULE I

P05L234344-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CBS CORP B/E 06 625% 051511 DTD051701 FC111501	Redemption	50,000 000	51,534 75	11/15/10	12/29/10	51,174 03	51,179 25	(5 22)		Y
DU PONT EI NEMOURS 06 500% 011528 DTD011398 CALL @ MW +12 5BP	Trade	20,000 000	22,860 45	05/19/10	09/22/10	23,494 75	22,825 98	668 77		Y
Total Short-Term Gain/Loss						582,668.78	582,005.23	663.55		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMERICAN GENL FIN CORP 06 000% 111514 DTD112707 FC051508	Trade	100,000 000		11/15/07	01/12/10	70,994 75	100,000 00	(29,005 25)	1	Y
BLYTH INDUSTRIES INC NTS 05 500% 110113 DTD102303 FC050104 MW + 20 BP	Trade	50,000 000		05/02/07	02/23/10	42,494 75	45,003 98	(2,509 23)	1	Y
Total Long-Term Gain/Loss						113,489 50	145,003.98	(31,514 48)		

Account Number JG 19426 1B
Your Financial Advisor or Contact
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2010 Consolidated Form 1099

P05G039284-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary and not capital gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss	\$ 4,384,737.76	\$ 4,500,663.25	\$ 222,598.62	\$ (106,673.13)	\$ 115,925.49
Long-term Gain/Loss	3,896,619.88	3,461,201.26	119,644.06	(555,062.68)	(435,418.62)
Sub Total:	\$ 8,281,357.64	\$ 7,961,864.51	\$ 342,242.68	\$ (661,735.81)	\$ (319,493.13)
Total:	\$ 8,281,357.64	\$ 7,961,864.51	\$ 342,242.68	\$ (661,735.81)	\$ (319,493.13)

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Quantity/ Face value	Activity Type	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC GLOBAL 06 000% 011512 DTD120601 FC011502 M W + 20BP B/E	25,000 000	Tender	26,437.50	12/29/09	08/24/10	26,062.50	25,994.18	68.32		
ALLIANCE BERNSTEIN INTL VALUE FUND A	112 646	Trade		12/28/09	01/15/10	1,587.18	1,510.58	76.60		Y
ALLIANCE BERNSTEIN INTERNATIONAL GROWTH FUND CLASS A	276 650	Trade		11/24/09	01/15/10	3,997.59	3,862.03	135.56		Y

THE FROG ROCK FOUNDATION
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLY BANK UT US RT 00 9500% MAT 09/17/10 FIXED RATE CD	Redemption	77,000 000	77,220 99	01/29/10	09/17/10	77,000 00	77,000 00			Y
ALLY BK MIDVALE UT US RT 00 4000% MAT 01/29/10 FIXED RATE CD	Redemption	35,000 000		09/01/09	01/29/10	35,000 00	34,972 00	28 00		Y
AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F	Trade	46 426		09/28/09	07/13/10	1,472 17	1,505 60	(33 43)		Y
	Trade	36 275		12/17/09	07/13/10	1,150 28	1,232 62	(82 34)		Y
	Trade	31 068		03/22/10	07/13/10	985 17	1,047 92	(62 75)		Y
	Trade	63 051		06/21/10	07/13/10	1,999 35	1,972 24	27 11		Y
	Sub-Total:	176.820				5,606.97	5,758.38	(151 41)		
ANADARKO PETROLEUM CORP	Trade	707 000		10/21/09	03/11/10	50,967 03	47,238 21	3,728 82		Y
	Trade	208 000		10/21/09	06/11/10	8,423 54	13,897 52	(5,473 98)		Y
	Trade	281 000		12/29/09	06/11/10	11,379 88	18,048 21	(6,668 33)		Y
	Trade	219 000		01/21/10	06/11/10	8,869 01	14,505 49	(5,636 48)		Y
	Trade	2,193 000		06/02/10	06/11/10	88,811 62	96,703 22	(7,891 60)		Y
	Sub-Total:	3,608.000				168,451.08	190,392.65	(21,941.57)		
ANALOG DEVICES INC	Trade	1,176 000		05/10/10	07/13/10	34,927 65	34,064 96	862 69		Y
ARCH CAPITAL GROUP LTD (BERMUDA)	Trade	1,100 000		04/22/10	09/27/10	90,490 76	83,509 32	6,981 44		Y
BK OF AMERICA NA NC US RT 01 5000% MAT 03/04/10 FIXED RATE CD	Redemption	25,000 000	25,037 50	01/12/10	03/04/10	25,000 00	25,000 00			Y
BLACKROCK BOND PORTFOLIO CLASS A	Trade	20 012		11/30/09	11/09/10	192 92	187 91	5 01		Y
	Trade	23 663		12/31/09	11/09/10	228 11	218 65	9 46		Y
	Trade	23 354		01/29/10	11/09/10	225 13	218 36	6 77		Y
	Trade	23 488		02/26/10	11/09/10	226 42	219 85	6 57		Y
	Trade	21 559		03/31/10	11/09/10	207 83	200 50	7 33		Y
	Trade	19 268		04/30/10	11/09/10	185 74	180 35	5 39		Y
	Trade	18 728		05/28/10	11/09/10	180 54	175 29	5 25		Y
	Trade	17 886		06/30/10	11/09/10	172 42	169 02	3 40		Y

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SCHEDULE II

THE FROG ROCK FOUNDATION
EIN:13-4127228

Account Number JG 19426 1B
Your Financial Advisor or Contact
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKROCK BOND PORTFOLIO CLASS A	Trade	17 480		07/30/10	11/09/10	168 51	166 58	1 93		Y
	Trade	15 699		08/31/10	11/09/10	151 34	151 34			Y
	Trade	14 575		09/30/10	11/09/10	140 50	140 65	(15)		Y
	Trade	12 161		10/29/10	11/09/10	117 23	117 48	(25)		Y
	Sub-Total:	227.873				2,196.69	2,145.98	50.71		
CAROLINA FIRST BK SC US RT 01 0000% MAT 05/06/10 FIXED RATE CD	Redemption	99,000 000		09/01/09	05/06/10	99,000 00	98,999 01	99		Y
CHESAPEAKE ENERGY CORP OKLA	Trade	1,265 000		10/21/09	03/11/10	32,480 99	37,087 90	(4,606 91)		Y
	Trade	407 000		12/29/09	03/11/10	10,450 41	10,938 94	(488 53)		Y
	Trade	311 000		01/21/10	03/11/10	7,985 44	8,774 01	(788 57)		Y
	Sub-Total:	1,983.000				50,916.84	56,800.85	(5,884.01)		
CLOROX CO CALL @ M/W + 04 200% 011510 DTD060305 FC071505 10BP	Redemption	50,000 000	50,827 50	05/21/09	01/15/10	50,000 00	50,000 00			Y
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION A	Trade	6,626 027		02/16/10	07/13/10	250,728 86	250,000 00	728 86		Y
	Trade	81 810		03/11/10	07/13/10	3,095 69	3,242 95	(147 26)		Y
	Sub-Total:	6,707.837				253,824.55	253,242.95	581.60		
CVS CAREMARK CORP	Trade	210 000		12/29/09	12/21/10	7,235 46	6,837 08	398 38		Y
	Trade	149 000		01/21/10	12/21/10	5,133 73	5,021 64	112 09		Y
	Trade	103 000		07/13/10	12/21/10	3,548 82	3,131 31	417 51		Y
	Sub-Total:	462.000				15,918.01	14,990.03	927.98		
DELL INC	Trade	10,017 000		09/21/10	11/15/10	137,932 53	126,994 60	10,937 93		Y
DEUTSCHE BANK AG REG SHS ORD EUR	Trade	2,000 000		05/17/10	06/16/10	122,879 88	121,501 00	1,378 88		Y
EQT CORP	Trade	1,015 000		10/21/09	07/09/10	37,596 10	46,980 62	(9,384 52)		Y
	Trade	307 000		12/29/09	07/09/10	11,371 43	13,835 57	(2,464 14)		Y
	Trade	248 000		01/21/10	07/09/10	9,186 04	10,964 39	(1,778 35)		Y
	Sub-Total:	1,570.000				58,153.57	71,780.58	(13,627.01)		

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SCHEDULE II

THE FROG ROCK FOUNDATION
EIN:13-4127228

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Your Financial Advisor or Contact
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FINANCIAL SECTOR SPDR	Trade	7,000 000		10/21/09	01/21/10	102,758 69	107,309 30	(4,550 61)		Y
TRUST ETF	Trade	580 000		10/21/09	07/09/10	8,404 06	8,891 34	(487 28)		Y
	Trade	2,368 000		12/29/09	07/09/10	34,311 74	34,262 59	49 15		Y
	Trade	1,839 000		01/21/10	07/09/10	26,646 66	27,649 37	(1,002 71)		Y
Sub-Total:		11,787,000				172,121.15	178,112.60	(5,991.45)		
GENL DYNAMICS CORP	Trade	735 000		10/21/09	07/09/10	44,651 96	49,954 28	(5,302 32)		Y
	Trade	215 000		12/29/09	07/09/10	13,061 46	14,759 21	(1,697 75)		Y
	Trade	181 000		01/21/10	07/09/10	10,995 92	12,612 99	(1,617 07)		Y
Sub-Total:		1,131,000				68,709.34	77,326.48	(8,617 14)		
GILEAD SCIENCES INC	Trade	251 000		12/29/09	12/21/10	9,319 47	10,905 20	(1,585 73)		Y
	Trade	189 000		01/21/10	12/21/10	7,017 45	8,766 20	(1,748 75)		Y
	Trade	134 000		07/13/10	12/21/10	4,975 34	4,706 93	268 41		Y
Sub-Total:		574,000				21,312.26	24,378.33	(3,066.07)		
GOLDMAN SACHS GROUP INC	Trade	319 000		03/11/10	04/30/10	47,454 53	55,074 82	(7,620 29)		Y
	Trade	414 000		07/01/10	07/13/10	57,898 58	54,706 48	3,192 10		Y
Sub-Total:		733,000				105,353.11	109,781.30	(4,428.19)		
HEALTH CARE SELECT SECTOR SPDR FUND	Trade	1,650 000		10/21/09	07/09/10	47,882 18	47,995 50	(113 32)		Y
	Trade	447 000		12/29/09	07/09/10	12,971 72	14,128 33	(1,156 61)		Y
	Trade	393 000		01/21/10	07/09/10	11,404 67	12,829 18	(1,424 51)		Y
Sub-Total:		2,490,000				72,258.57	74,953.01	(2,694.44)		
HEWLETT PACKARD CO	Trade	990 000		10/21/09	07/13/10	45,965 91	48,368 93	(2,403 02)		Y
INTEL CORP	Trade	2,720 000		10/21/09	05/10/10	61,049 37	54,908 94	6,140 43		Y
	Trade	797 000		12/29/09	05/10/10	17,888 36	16,201 42	1,686 94		Y
	Trade	322 000		01/21/10	05/10/10	7,227 17	6,836 87	390 30		Y
Sub-Total:		3,839,000				86,164.90	77,947.23	8,217.67		
ISHARES TRUST S&P 500 INDEX	Trade	2,000 000		02/05/10	04/22/10	241,637 70	213,897 64	27,740 06		Y
	Trade	1,000 000		02/05/10	05/13/10	116,618 57	106,948 82	9,669 75		Y
	Trade	2,000 000		02/05/10	07/13/10	219,162 29	213,897 64	5,264 65		Y
Sub-Total:		5,000,000				577,418.56	534,744.10	42,674.46		

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SCHEDULE II

THE FROG ROCK FOUNDATION
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES BARCLAYS TIPS BOND FUND	Trade	1,000 000		09/23/09	05/19/10	106,029 42	101,750 37	4,279 05		Y
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	Trade	19,531 250		04/28/09	04/14/10	228,515 63	200,000 00	28,515 63		Y
	Trade	468 750		11/03/09	04/14/10	5,484 38	5,390 63	93 75		Y
	Sub-Total:	20,000.000				234,000.01	205,390.63	28,609.38		
LOCKHEED MARTIN CORP	Trade	1,200 000		05/26/09	03/11/10	97,895 38	100,062 00	(2,166 62)		Y
	Trade	300 000		06/09/09	03/11/10	24,473 85	25,292 40	(818 55)		Y
	Trade	904 000		09/22/09	07/27/10	68,561 10	72,094 00	(3,532 90)		Y
	Sub-Total:	2,404.000				190,930.33	197,448.40	(6,518 07)		
MAINSTAY ICAP SELECT EQUITY FUND CLASS A	Trade	46 148		06/29/10	12/13/10	1,596 26	1,350 75	245 51		Y
	Trade	17 285		09/29/10	12/13/10	597 89	552 60	45 29		Y
	Trade	8,910 009		04/14/10	12/13/10	308,197 21	300,000 00	8,197 21		Y
	Sub-Total:	8,973.442				310,391.36	301,903.35	8,488 01		
MAINSTAY HIGH YIELD OPPORTUNITY CLASS A	Trade	98 608		04/30/10	10/11/10	1,179 35	1,166 53	12 82		Y
	Trade	121 213		05/28/10	10/11/10	1,449 71	1,358 80	90 91		Y
	Trade	125 209		06/29/10	10/11/10	1,497 50	1,398 58	98 92		Y
	Trade	177 303		07/30/10	10/11/10	2,120 54	2,042 53	78 01		Y
	Trade	104 966		08/31/10	10/11/10	1,255 39	1,201 86	53 53		Y
	Trade	96 382		09/29/10	10/11/10	1,152 73	1,131 52	21 21		Y
	Trade	21,132 713		04/15/10	10/11/10	252,747 25	249,999 99	2,747 26		Y
	Sub-Total:	21,856.394				261,402.47	258,299.81	3,102.66		
MARSH & MCLENNAN COS INC	Trade	515 000		12/29/09	12/10/10	13,881 59	11,432 23	2,449 36		Y
	Trade	378 000		01/21/10	12/10/10	10,188 82	8,243 05	1,945 77		Y
	Trade	321 000		07/13/10	12/10/10	8,652 41	7,386 20	1,266 21		Y
	Sub-Total:	1,214.000				32,722.82	27,061.48	5,661.34		
MICROSOFT CORP	Trade	1,355 000		10/21/09	07/13/10	33,864 26	35,999 64	(2,135 38)		Y
MONSANTO CO NEW	Trade	350 000		10/21/09	06/21/10	17,693 08	26,346 88	(8,653 80)		Y
	Trade	95 000		12/29/09	06/21/10	4,802 41	7,809 95	(3,007 54)		Y
	Trade	82 000		01/21/10	06/21/10	4,145 23	6,721 95	(2,576 72)		Y
	Sub-Total:	527.000				26,640.72	40,878.78	(14,238.06)		

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SCHEDULE II

THE FROG ROCK FOUNDATION
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOVARTIS AG SPON ADR	Trade	450 000		10/21/09	07/13/10	22,600 61	23,457 87	(857 26)		Y
POWERSHARES DB US DLR INDEX TRUST BULLISH FUND	Trade	11,318 000		12/18/09	01/22/10	260,876 58	261,618 22	(741 64)		Y
PRICE T ROWE GROUP INC	Trade	690 000		10/21/09	03/11/10	36,486 66	33,220 43	3,266 23		Y
	Trade	182 000		12/29/09	03/11/10	9,624 02	9,737 69	(113 67)		Y
	Trade	170 000		01/21/10	03/11/10	8,989 47	9,020 54	(31 07)		Y
	Sub-Total:	1,042,000				55,100.15	51,978.66	3,121.49		
RENAISSANCE HOLDINGS LTD	Trade	1,800 000		04/22/10	06/15/10	103,531 61	102,640 45	891 16		Y
	Trade	800 000		05/04/10	06/15/10	46,014 05	43,893 60	2,120 45		Y
	Sub-Total:	2,600,000				149,545.66	146,534.05	3,011.61		
SPDR S&P RETAIL ETF	Trade	1,800 000		10/21/09	07/09/10	66,406 64	65,912 00	494 64		Y
	Trade	535 000		12/29/09	07/09/10	19,737 53	19,431 20	306 33		Y
	Trade	436 000		01/21/10	07/09/10	16,085 16	15,706 68	378 48		Y
	Sub-Total:	2,771,000				102,229.33	101,049.88	1,179.45		
TRANSOCEAN LTD CHF	Trade	225 000		10/21/09	04/30/10	16,645 21	20,755 80	(4,110 59)		Y
	Trade	73 000		12/29/09	04/30/10	5,400 45	6,154 63	(754 18)		Y
	Trade	55 000		01/21/10	04/30/10	4,068 83	5,024 48	(955 65)		Y
	Sub-Total:	353,000				26,114.49	31,934.91	(5,820.42)		
UNION PACIFIC CORP	Trade	690 000		10/21/09	07/13/10	50,157 32	44,720 21	5,437 11		Y
	Trade	202 000		12/29/09	07/13/10	14,683 74	13,262 82	1,420 92		Y
	Sub-Total:	892,000				64,841.06	57,983.03	6,858.03		
VARIAN MEDICAL SYSTEMS INC	Trade	1,000 000		06/09/09	03/10/10	53,306 32	36,957 95	16,348 37		Y
	Trade	1,000 000		06/09/09	04/08/10	54,972 87	36,957 95	18,014 92		Y
	Trade	2,000 000		07/10/09	06/22/10	106,845 19	63,401 70	43,443 49		Y
	Sub-Total:	4,000,000				215,124.38	137,317 60	77,806 78		
Total Short-Term Gain/Loss						4,500,663.25	4,384,737.76	115,925.49		

THE FROG ROCK FOUNDATION
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Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC MW+20BP NTS 06 500% 060111 DTD052301 FC120101	Tender	5,000 000	5,492 20	06/15/05	08/03/10	5,243 75	5,076 45	167 30	1	Y
ALLIANCE BERNSTEIN INTL VALUE FUND A	Trade	8,782 430		02/26/08	01/15/10	123,744 44	181,269 36	(57,524 92)	1	Y
ALLIANCE BERNSTEIN INTERNATIONAL GROWTH FUND CLASS A	Trade	282 327		07/21/08	01/15/10	4,079 63	5,101 65	(1,022 02)		Y
	Trade	9,671 396		02/26/08	01/15/10	139,751 67	191,783 78	(52,032 11)	1	Y
Sub-Total:		9,953.723				143,831.30	196,885 43	(53,054.13)		
AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F	Trade	2,000 000		02/22/08	05/13/10	64,540 00	82,160 00	(17,620 00)	1	Y
	Trade	80 042		03/20/08	07/13/10	2,538 13	3,198 48	(660 35)		Y
	Trade	100 530		06/25/08	07/13/10	3,187 81	4,039 31	(851 50)		Y
	Trade	68 632		09/24/08	07/13/10	2,176 32	2,362 32	(186 00)		Y
	Trade	109 042		12/17/08	07/13/10	3,457 72	2,900 52	557 20		Y
	Trade	4,257 590		02/22/08	07/13/10	135,008 18	174,901 81	(39,893 63)	1	Y
	Trade	62 960		03/23/09	07/13/10	1,996 46	1,463 82	532 64		Y
	Trade	141 750		06/22/09	07/13/10	4,494 89	3,923 64	571 25		Y
Sub-Total:		6,820.546				217,399.51	274,949.90	(57,550.39)		
AOL TIME WARNER INC 06 875% 050112 DTD040802 FC110102 MW + 30BP	Tender	50,000 000	54,320 00	04/30/09	07/14/10	55,000 00	52,653 81	2,346 19		Y
AT&T CORP GLOBAL 06 500% 031529 DTD032699 FC091599 M-W +20 BP	Trade	50,000 000		03/19/08	10/06/10	55,124 50	49,442 75	5,681 75	1	Y
BLACKROCK BOND PORTFOLIO CLASS A	Trade	5 984		03/31/09	11/09/10	57 69	52 72	4 97		Y
	Trade	22 969		04/30/09	11/09/10	221 61	204 14	17 47		Y
	Trade	23 837		05/29/09	11/09/10	229 79	213 34	16 45		Y
	Trade	24 796		06/30/09	11/09/10	239 03	223 16	15 87		Y
	Trade	22 917		07/31/09	11/09/10	220 92	209 23	11 69		Y
	Trade	22 163		08/31/09	11/09/10	213 65	204 34	9 31		Y
	Trade	19 506		09/30/09	11/09/10	188 04	180 63	7 41		Y
	Trade	21 617		10/30/09	11/09/10	208 39	201 25	7 14		Y

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SCHEDULE II

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKROCK BOND PORTFOLIO CLASS A	Trade	5,681 818		03/18/09	11/09/10	54,772 73	50,000 00	4,772 73		Y
		Sub-Total:	5,945.627			56,351.85	51,488.81	4,863.04		
CABLEVISION SYSTEMS CORP 08 000% 041512 DTD041505 FC101505 CALL@MWW+50BP	Tender	50,000 000		01/10/08	04/27/10	52,250 00	48,505 25	3,744 75	1	
CBS CORP NEW 6 750% DUE 03/27/56 CALLABLE	Trade	2,000 000		03/20/07	01/21/10	43,420 76	50,000 00	(6,579 24)	1	Y
COCA COLA ENTERPRISES 08 000% 091522 DTD091592 FC031593 DEB NONCALLABLE	Trade	50,000 000	61,991 75	01/24/07	11/09/10	69,200 00	59,954 15	9,245 85	1	Y
COLGATE PALMOLIVE CO	Trade	1,000 000		11/27/07	07/13/10	83,340 31	78,611 50	4,728 81	1	Y
	Trade	800 000		03/06/08	07/13/10	66,672 24	60,789 44	5,882 80	1	Y
		Sub-Total:	1,800.000			150,012.55	139,400.94	10,611.61		
COMCAST CORP 6 6250% DUE 05/15/56 CALLABLE	Trade	1,000 000		05/03/07	10/06/10	26,119 55	25,000 00	1,119 55	1	Y
	Trade	1,000 000		05/03/07	11/04/10	25,608 56	25,000 00	608 56	1	Y
		Sub-Total:	2,000.000			51,728.11	50,000.00	1,728.11		
COMCAST CORP NEW SPECIAL CL A	Trade	2,277 000		11/01/06	03/10/10	38,032 25	61,767 42	(23,735 17)	1	Y
	Trade	373 000		11/27/06	03/10/10	6,230 14	9,785 70	(3,555 56)	1	Y
	Trade	1,350 000		02/12/07	03/10/10	22,548 76	35,665 84	(13,117 08)	1	Y
		Sub-Total:	4,000.000			66,811.15	107,218.96	(40,407.81)		
CONAGRA INC NTS MWW+30BP 07 875% 091510 DTD091800 FC031501	Redemption	50,000 000	52,815 00	03/12/09	09/15/10	50,000 00	50,000 00			Y
CONOCO FUNDING CO 06 350% 101511 DTD101101 FC041502 CALL@MWW+30BP	Redemption	4,000 000	4,435 96	12/01/04	08/03/10	4,276 06	4,085 91	190 15	1	Y
	Redemption	1,000 000	1,104 32	09/01/05	08/03/10	1,069 02	1,022 67	46 35	1	Y
		Sub-Total:	5,000.000			5,345.08	5,108.58	236.50		

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CVS CAREMARK CORP	Trade	605 000		10/21/09	12/21/10	20,845 02	23,056 55	(2,211 53)		Y
DELL INC	Trade	2,000 000		05/07/08	03/11/10	28,105 67	37,838 40	(9,732 73)	1	Y
	Trade	4,215 000		05/12/08	03/11/10	59,232 70	80,000 70	(20,768 00)	1	Y
	Trade	2,000 000		07/15/08	03/11/10	28,105 67	45,395 20	(17,289 53)	1	Y
	Sub-Total:	8,215,000				115,444.04	163,234.30	(47,790.26)		
FHR 3319 CC 05 5000	Redemption	1,000 000		06/13/07	01/15/10	1,000 00	970 10	29 90	1	Y
DUE 02/15/37	Redemption	9,000 000		06/13/07	03/15/10	9,000 00	8,730 95	269 05	1	Y
FACTOR 1 000000000000	Redemption	2,000 000		06/13/07	04/15/10	2,000 00	1,940 21	59 79	1	Y
	Redemption	2,000 000		06/13/07	05/17/10	2,000 00	1,940 21	59 79	1	Y
	Redemption	1,000 000		06/13/07	06/15/10	1,000 00	970 11	29 89	1	Y
	Redemption	2,000 000		06/13/07	07/15/10	2,000 00	1,940 21	59 79	1	Y
	Redemption	2,000 000		06/13/07	08/16/10	2,000 00	1,940 21	59 79	1	Y
	Redemption	3,000 000		06/13/07	09/15/10	3,000 00	2,910 32	89 68	1	Y
	Redemption	3,000 000		06/13/07	10/15/10	3,000 00	2,910 32	89 68	1	Y
	Sub-Total:	25,000,000				25,000.00	24,252.64	747.36		
FHR 3461 AA 05 5000	Redemption	1,000 000		06/02/08	01/15/10	1,000 00	1,002 50	(2 50)	1	Y
DUE 02/15/38	Redemption	2,000 000		06/02/08	02/16/10	2,000 00	2,005 00	(5 00)	1	Y
FACTOR 1 000000000000	Redemption	26,000 000		06/02/08	03/15/10	26,000 00	26,085 00	(85 00)	1	Y
	Redemption	5,000 000		06/02/08	04/15/10	5,000 00	5,012 50	(12 50)	1	Y
	Redemption	1,000 000		06/02/08	05/17/10	1,000 00	1,002 50	(2 50)	1	Y
	Redemption	2,000 000		06/02/08	06/15/10	2,000 00	2,005 00	(5 00)	1	Y
	Sub-Total:	37,000,000				37,000.00	37,092.50	(92.50)		
GILEAD SCIENCES INC	Trade	830 000		10/21/09	12/21/10	30,817 37	37,132 96	(6,315 59)		Y
GOLDMAN SACHS GROUP INC	Trade	500 000		06/04/08	01/21/10	80,295 23	86,402 60	(6,107 37)	1	Y
	Trade	300 000		07/15/08	01/21/10	48,177 14	48,920 40	(743 26)	1	Y
	Trade	200 000		07/15/08	04/30/10	29,752 05	32,613 60	(2,861 55)	1	Y
	Sub-Total:	1,000,000				158,224.42	167,936.60	(9,712.18)		
GOLDMAN SACHS GROUP INC 05 500% 111514 DTD11502 FC051503	Trade	100,000 000		11/14/07	04/21/10	105,539 00	101,108 76	4,430 24	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HALLIBURTON CO MW NTS 05 500% 101510 DTD101703 FC041504 B/E	Redemption	50,000 000	52,426 00	04/28/09	10/15/10	50,000 00	50,000 00			Y
HOME DEPOT INC NTS 04 625% 081510 DTD081105 FC021506 MW + 10BP	Redemption	50,000 000	51,862 00	04/28/09	08/15/10	50,000 00	50,000 00			Y
INTL BK RECON & DEVELOP 10 250% 012510 DTD012508 FC012509 MED TERM NTS	Redemption	50,000 000		05/27/08	01/25/10	28,231 04	30,108 46	(1,877 42)	1	Y
ISHARES INC MSCI HONG KONG INDEX FD	Trade	2,000 000		02/27/08	01/21/10	30,559 61	38,558 40	(7,998 79)	1	Y
ISHARES INC MSCI SOUTH KOREA INDEX FD	Trade Trade	500 000 500 000		05/21/08 05/22/08	01/21/10 01/21/10	25,030 18 25,030 18	29,234 40 28,806 50	(4,204 22) (3,776 32)	1 1	Y Y
	Sub-Total:	1,000.000				50,060.36	58,040.90	(7,980.54)		
ISHARES MSCI TAIWAN INVESTS	Trade Trade	4,000 000 2,000 000		02/04/08 02/22/08	01/21/10 01/21/10	51,439 35 25,719 67	57,080 00 29,718 40	(5,640 65) (3,998 73)	1 1	Y Y
	Sub-Total:	6,000.000				77,159.02	86,798.40	(9,639.38)		
ISHARES MSCI EAFE INDEX FUND	Trade Trade Trade	1,000 000 1,000 000 1,000 000		02/15/08 03/03/08 03/04/08	05/13/10 07/13/10 07/13/10	51,389 13 50,602 34 50,602 34	70,038 40 71,428 40 70,188 40	(18,649 27) (20,826 06) (19,586 06)	1 1 1	Y Y Y
	Sub-Total:	3,000.000				152,593.81	211,655.20	(59,061.39)		
KIMBERLY CLARK CORP 06 250% 071518 DTD072098 FC011599 MW T+10	Trade	45,000 000	48,731 70	01/18/07	11/09/10	55,428 30	47,727 41	7,700 89	1	Y
LABORATORY CORP AMER HLDGS NEW	Trade Trade Trade Trade Trade	1,000 000 1,000 000 500 000 500 000 1,000 000		07/30/07 08/01/07 08/15/07 08/16/07 10/23/07	04/22/10 04/29/10 08/17/10 08/17/10 09/27/10	80,801 63 78,710 36 38,114 96 38,114 96 76,223 91	74,062 00 72,263 00 37,548 00 36,426 00 75,420 00	6,739 63 6,447 36 566 96 1,688 96 803 91	1 1 1 1 1	Y Y Y Y Y
	Sub-Total:	4,000.000				311,965.82	295,719.00	16,246.82		

Continued on page 42

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SCHEDULE II

THE FROG ROCK FOUNDATION
EIN:13-4127228

Account Number JG 19426 1B Page 42 of 43 UBS Financial Services Inc.
Your Financial Advisor or Contact
JAMES P BUSTERUD
212-713-7600/800-225-2971
2010 Consolidated Form 1099

P05G039274-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LOCKHEED MARTIN CORP	Trade	900 000		06/09/09	07/27/10	68,257 74	75,877 20	(7,619 46)		Y
MARSH & MCLENNAN COS INC	Trade	1,540 000		10/21/09	12/10/10	41,510 00	38,742 55	2,767 45		Y
MONSANTO CO NEW	Trade	580 000		07/11/07	06/21/10	29,319 95	38,303 20	(8,983 25) 1		Y
MORGAN STANLEY CAP TR VI 6 450% DUE 04/15/67 CALLABLE	Trade	2,000 000		04/20/07	01/12/10	43,549 44	50,000 00	(6,450 56) 1		Y
MORGAN STANLEY MW+20BP 06 250% 080926 DTD080906 FC020907	Trade	100,000 000	101,291 25	01/15/08	05/19/10	100,847 00	101,195 61	(548 61) 1		Y
PHILIP MORRIS INTL INC	Trade	27 000		06/08/07	07/13/10	1,328 08	1,312 49	15 59 1		Y
	Trade	69 000		06/12/07	07/13/10	3,393 99	3,393 09	90 1		Y
	Trade	71 000		06/13/07	07/13/10	3,492 37	3,455 58	36 79 1		Y
	Sub-Total:	167,000				8,214.44	8,161.16	53.28		
PNC BANK NA NTS SER BKNT 06 875% 040118 DTD032508 FC100108	Trade	50,000 000	52,354 25	05/13/08	11/09/10	58,790 00	51,884 56	6,905 44 1		Y
RYANAIR HOLDINGS PLC SPON ADR	Trade	1,000 000		01/16/08	08/10/10	30,809 77	32,725 00	(1,915 23) 1		Y
	Trade	500 000		01/16/08	09/15/10	15,169 74	16,362 50	(1,192 76) 1		Y
	Trade	400 000		02/05/08	09/15/10	12,135 79	12,318 80	(183 01) 1		Y
	Sub-Total:	1,900,000				58,115.30	61,406.30	(3,291.00)		
SPDR S&P CHINA ETF	Trade	1,000 000		02/04/08	01/21/10	70,102 10	79,600 00	(9,497 90) 1		Y
TOYOTA MOTOR CRDT CORP 05 750% 021717 DTD021607 FC081707 CALLABLE NOTES	Redemption	50,000 000	50,630 25	04/04/07	08/17/10	50,000 00	50,452 23	(452 23) 1		Y
UNILEVER CAPITAL CORP 07 125% 110110 DTD102400 CALL @MW+20BP	Redemption	4,000 000	4,495 32	05/10/05	11/01/10	4,000 00	4,000 00		1	Y

Continued on page 43

2010 1099 / JG 19426 1B

SCHEDULE II

P05G039275-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNTD TECHNOLOGIES CORP 7 125% 111510 DTD111400 FC051501 NTS	Redemption	5,000 000	5,682 45	05/31/05	06/15/10	5,140 73	5,057 75	82 98	1	Y
US BANCORP DEL (NEW)	Trade	2,800 000		04/15/09	09/27/10	61,992 07	46,895 05	15,097 02		Y
	Trade	200 000		04/15/09	09/28/10	4,352 13	3,349 65	1,002 48		Y
	Trade	2,040 000		06/09/09	09/28/10	44,391 68	37,311 40	7,080 28		Y
	Sub-Total:	5,040,000				110,735.88	87,556.10	23,179.78		
VANGUARD INTL EQUITY INDEX VANGUARD EURO ETF	Trade	50 000		02/05/08	01/29/10	2,322 81	3,254 00	(931 19)	1	Y
	Trade	1,000 000		02/06/08	01/29/10	46,456 16	65,260 00	(18,803 84)	1	Y
	Trade	950 000		02/06/08	01/29/10	44,133 35	62,631 60	(18,498 25)	1	Y
	Trade	2,050 000		02/06/08	05/13/10	89,072 14	135,152 40	(46,080 26)	1	Y
	Trade	950 000		02/06/08	05/13/10	41,277 33	62,760 42	(21,483 09)	1	Y
	Trade	1,050 000		02/06/08	07/13/10	46,622 30	69,366 78	(22,744 48)	1	Y
	Trade	1,000 000		02/07/08	07/13/10	44,402 20	64,623 60	(20,221 40)	1	Y
	Sub-Total:	7,050,000				314,286.29	463,048.80	(148,762.51)		
VARIAN MEDICAL SYSTEMS INC	Trade	1,000 000		06/09/09	06/21/10	54,201 58	36,957 95	17,243 63		Y
Total Long-Term Gain/Loss						3,461,201.26	3,896,619.88	(435,418.62)		

THE FROG ROCK FOUNDATION
EIN:13-4127228

Supplemental Gain/Loss Schedule:

Security Description	Purchase Date	Date Sold	Sale Amount	Cost Basis	Gain/Loss
FHR 3374 DC 5 75 due 06/15/37	12/28/2007	1/15/2010	\$1,522 28	\$1,533.69	-\$11 41
FHR 3374 DC 5.75 due 06/15/37	12/28/2007	2/15/2010	\$598.39	\$602.88	-\$4 49
FHR 3374 DC 5 75 due 06/15/37	12/28/2007	3/15/2010	\$777 43	\$783 26	-\$5 83
Alcoa Inc MW+20BPS NTS 6 50% due 06/01/2011	5/23/2001	8/24/2010	\$500 00	\$0.00	\$500 00
Cablevision Systems Corp 8.0% due 04/15/2012	4/15/2005	4/27/2010	\$3,000 00	\$0.00	\$3,000 00
Total:			\$6,398 10	\$2,919.83	\$3,478.27

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS-INTEREST	14,236.	14,236.
TOTAL	<u>14,236.</u>	<u>14,236.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
UBS - INTEREST & DIVIDENDS	321,227.	321,174.	0.
UBS - OID FROM CD	11,966.	11,966.	0.
UBS - OID FROM BONDS	35,725.	35,725.	0.
LESS: AMORTIZATION OF BOND PREMIUM	-43,971.	-43,971.	0.
LESS: ACCRUED INTEREST PAID	-10,925.	-10,925.	0.
TOTAL	<u>314,022.</u>	<u>313,969.</u>	<u>0.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	39,900.	29,925.	0.	9,975.
TOTALS	<u>39,900.</u>	<u>29,925.</u>	<u>0.</u>	<u>9,975.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAX PAID	5,507.	5,507.	0.	0.
TOTALS	<u>5,507.</u>	<u>5,507.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	63,256.	63,256.	0.	0.
NYS FILING FEE	750.	0.	0.	750.
MISC ADMINISTRATIVE EXPENSE	2,173.	0.	0.	2,173.
TOTALS	66,179.	63,256.	0.	2,923.

THE FROG ROCK FOUNDATION

13-4127228

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GOVERNMENT SECURITIES	108,373.	43,554.	45,966.
US OBLIGATIONS TOTAL	<u>108,373.</u>	<u>43,554.</u>	<u>45,966.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS # 19426 (SEE STMT 8 (A))	8,909,405.	9,571,986.	10,293,843.
TOTALS	<u>8,909,405.</u>	<u>9,571,986.</u>	<u>10,293,843.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORPORATE BONDS - UBS#19426 (STMT 8 (A))	2,765,535.	919,453.	886,962.
CORPORATE BONDS - UBS#18245 (STMT 8 (B))	376,403.	1,207,748.	1,094,093.
TOTALS	<u>3,141,938.</u>	<u>2,127,201.</u>	<u>1,981,055.</u>

THE FROG ROCK FOUNDATION

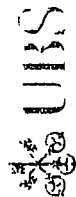
EIN:13-4127228

UBS - 19426 2010 Year End Summary of Market Value and Cost Basis

	12/31/2010 Basis	Stmnt 12/31/10 Market Value
Stocks		
Common Stock	\$6,401,135 42	\$6,800,092 25
Closed End Funds & Exchange Traded Products	\$657,058 24	\$737,317 55
Equities Mutual Fund	\$101,401 78	\$119,425 72
Other Mutual Funds	\$2,412,390 40	\$2,637,007 38
Total Equities.	\$9,571,985 84	\$10,293,842 90
Certificate of Deposits	\$302,701 49	\$297,873 00
Corporate Bonds		
Corporate Bonds	\$724,843 85	\$667,106 24
Fixed Income Mutual Funds	\$194,609 37	\$199,855 36
Total Corporate Bonds=	\$919,453 22	\$886,961 60
Government Securities	\$43,553 31	\$45,966 27
Plus Accrued Interest		\$12,064 95
Cash and money balances	\$1,481,886 55	\$1,481,886 55
Total Account.	\$12,319,580 41	\$13,018,595 27

THE FROG ROCK FOUNDATION

EIN:13-4127228



Portfolio Management Program
December 2010

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Trade date	Opening balance on Dec 1 (\$)	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
RMA MONEY MKT PORTFOLIO		1,260,941.88		1.48,856.55	1.00	0.01%		Nov 23 to Dec 26	34		

Equities

Common stock

Holding	Trade date	Opening balance on Dec 1 (\$)	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AETNA INC											
Symbol: AET Exchange: NYSE											
EAI: 576 Current yield: 0.13%											
	Oct 21, 09		1,040,000	26.017	27,057.99	30.510			31,730.40	4,672.41	LT
	Dec 29, 09		244,000	32.887	8,024.55	30.510			7,444.44	-580.11	LT
	Jan 21, 10		252,000	32.357	8,154.12	30.510			7,688.52	-465.60	ST
	Jul 13, 10		374,000	27.436	10,257.41	30.510			11,410.74	1,153.33	ST
Security total			1,910,000		53,494.07				58,274.10	4,780.03	
ANADARKO PETROLEUM CORP											
Symbol: APC Exchange: NYSE											
EAI: 575 Current yield: 0.47%											
	Jul 13, 10		763,000	48.256	36,819.95	76.160			58,110.08	21,290.13	ST
ANALOG DEVICES INC											
Symbol: ADI Exchange: NYSE											
EAI: 584 Current yield: 2.34%											
	May 10, 10		1,800,000	28.966	52,140.24	37.670			67,806.00	15,665.76	ST
ANHEUSER BUSCH INBEV SPON ADR											
Symbol: BUD Exchange: NYSE											
EAI: 426 Current yield: 0.68%											
	Jul 13, 10		1,104,000	53.432	58,989.18	57.090			63,027.36	4,038.18	ST
APACHE CORP											
Symbol: APA Exchange: NYSE											
EAI: 216 Current yield: 0.50%											
	Oct 21, 09		195,000	105.112	20,496.94	119.230			23,249.85	2,752.91	LT
	Dec 29, 09		57,000	105.477	6,012.19	119.230			6,796.11	783.92	LT

continued next page



STATEMENT 8(A)

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name:
JANET BENTON TTEE
Account number:
JG 19426 1B

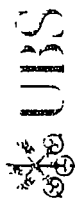
Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 21, 10	49 000	106 650	5,225 87	119 230	5,842 27	616 40	ST
	Jul 13, 10	59 000	86 315	5,092 63	119 230	7,034 57	1,941 94	ST
Security total		360 000		36,827 63		42,922 80	6,095 17	
APPLE INC								
Symbol AAPL Exchange OTC	Jan 21, 10	54 000	211 595	11,426 13	322 560	17,418 24	5,992 11	ST
	Jul 13, 10	377 000	248 454	93,667 33	322 560	121,605 12	27,937 79	ST
Security total		431 000		105,093 46		139,023 36	33,929 90	
APPLIED MATERIALS INC								
Symbol AMAT Exchange OTC	Oct 21, 09	3,095 000	13 347	41,310 51	14 050	43,484 75	2,174 24	LT
EAI \$1.498 Current yield 1.99%	Dec 29, 09	887 000	13 756	12,201 57	14 050	12,462 35	260 78	LT
	Jan 21, 10	733 000	14 002	10,263 95	14 050	10,298 65	34 70	ST
	Jul 13, 10	635 000	12 531	7,957 31	14 050	8,921 75	964 44	ST
Security total		5,350 000		71,733 34		75,167 50	3,434 16	
ARCH CAPITAL GROUP LTD (BERMUDA)								
Symbol ACGI Exchange OTC	Apr 22, 10	400 000	75 917	30,367 03	88 050	35,220 00	4,852 97	ST
	May 4, 10	400 000	74 971	29,988 60	88 050	35,220 00	5,231 40	ST
	Jun 15, 10	1,992 000	75 204	149 807 93	88 050	175,395 60	25,587 67	ST
Security total		2,792 000		210 163 56		245,835 60	35,672 04	
AT&T INC								
Symbol T Exchange NYSE	Oct 21, 09	2,000 000	26 017	52,035 00	29 380	58,760 00	6,725 00	LT
EAI \$6.123 Current yield 5.85%	Dec 29, 09	543 000	28 338	15 388 01	29 380	15,953 34	565 33	LT
	Jan 21, 10	491 000	25 853	12,694 04	29 380	14,425 58	1,731 54	ST
	Jul 13, 10	526 000	24 990	13 144 88	29 380	15,453 88	2,309 00	ST
Security total		3,560 000		93,261 93		104,592 80	11,330 87	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE	Mar 11, 10	2,962 000	17 186	50 907 34	13 340	39,513 08	-11,394 26	ST
EAI \$137 Current yield 0.30%	Jul 13, 10	459 000	15 515	7 121 67	13 340	6,123 06	-998 61	ST
Security total		3,421 000		58 029 01		45,636 14	-12,392 87	

continued next page

THE FROG ROCK FOUNDATION

EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor
JAMES P BUSTERUC
212-713-7800/800-225-297

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI \$321 Current yield: 0.90%	Jul 13, 10	668,000	44.313	29,601.50	53.180	35,524.24	5,922.74	ST
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC								
EAI \$546 Current yield: 0.74%	Jul 13, 10	1,705,000	37.111	63,275.40	43.550	74,252.75	10,977.35	ST
BROADRIDGE FINANCIAL SOLUTIONS INC								
Symbol BR Exchange NYSE								
EAI \$8,760 Current yield: 2.74%	Jun 2, 10	5,000,000	18.694	93,472.55	21.930	109,650.00	16,177.45	ST
	Jun 23, 10	3,000,000	19.616	58,850.40	21.930	65,790.00	6,939.60	ST
	Sep 27, 10	1,600,000	22.899	36,639.98	21.930	35,088.00	-1,551.98	ST
	Dec 20, 10	5,000,000	21.879	109,396.35	21.930	109,650.00	253.65	ST
Security total		14,600,000		298,359.28		320,178.00	21,818.72	
CELGENE CORP								
Symbol CELG Exchange OTC	Dec 21, 10	1,507,000	58.940	88,824.03	59.140	89,123.98	299.95	ST
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$2,563 Current yield: 3.16%	Oct 21, 09	505,000	77.918	39,348.84	91.250	46,081.25	6,732.41	LT
	Dec 29, 09	150,000	77.408	11,611.28	91.250	13,687.50	2,076.22	LT
	Jan 21, 10	125,000	77.984	9,748.00	91.250	11,406.25	1,658.25	ST
	Jul 13, 10	110,000	72.850	8,013.59	91.250	10,037.50	2,023.91	ST
Security total		890,000		68,721.71		81,212.50	12,490.79	
CHUBB CORP								
Symbol CB Exchange NYSE								
EAI \$1,378 Current yield: 2.48%	Jul 13, 10	931,000	52.412	48,796.33	59.640	55,524.84	6,728.51	ST
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
	Jun 20, 07	956,000	26.562	25,393.44	20.230	19,339.88	-6,053.56	LT
	Mar 13, 08	1,000,000	24.780	24,780.00	20.230	20,230.00	-4,550.00	LT
	Jan 21, 10	552,000	24.613	13,586.38	20.230	11,166.96	-2,419.42	ST
	Jul 13, 10	1,491,000	23.056	34,376.93	20.230	30,162.93	-4,214.00	ST
Security total		3,999,000		98,136.75		80,899.77	-17,236.98	

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STATEMENT 8(A)
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THE FROG ROCK FOUNDATION
EIN:13-4127228



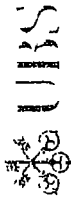
Account name: JANET BENTON TTEE
Account number JG 19426 18

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI \$1,595 Current yield 3.48%	Jan 21, 10	99,000	61.640	5,102.36	63.280	6,264.72	162.36	ST
	Jul 13, 10	626,000	64.815	40,574.36	63.280	39,613.28	-961.08	ST
Security total		725,000		45,676.72		45,878.00	-798.72	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$2,825 Current yield 2.68%	Sep 5, 07	200,000	53.670	10,734.00 ¹	65.770	13,154.00	2,420.00	LT
	Sep 20, 07	1,000,000	56.266	56,266.10 ¹	65.770	65,770.00	9,503.90	LT
	Jan 21, 10	225,000	55.482	12,483.51	65.770	14,798.25	2,314.74	ST
	Jul 13, 10	180,000	52.838	9,510.91	65.770	11,838.60	2,327.69	ST
Security total		1,605,000		88,994.52		105,560.85	16,566.33	
COMCAST CORP NEW SPECIAL CL A								
Symbol CMCSK Exchange OTC								
EAI \$8,564 Current yield 1.82%	Feb 12, 07	604,000	26.419	15,957.16 ¹	20.810	12,569.24	-3,387.92	LT
	Feb 23, 07	1,000,000	26.960	26,960.00 ¹	20.810	20,810.00	-6,150.00	LT
	Mar 13, 07	1,000,000	25.600	25,600.00 ¹	20.810	20,810.00	-4,790.00	LT
	May 10, 07	2,000,000	25.980	51,960.00 ¹	20.810	41,620.00	-10,340.00	LT
	Jun 1, 07	2,000,000	27.180	54,360.00 ¹	20.810	41,620.00	-12,740.00	LT
	Oct 4, 07	1,053,000	23.770	25,029.81 ¹	20.810	21,912.93	-3,116.88	LT
	Nov 9, 07	2,000,000	19.288	38,577.60 ¹	20.810	41,620.00	3,042.40	LT
	Nov 14, 07	1,000,000	19.450	19,450.00 ¹	20.810	20,810.00	1,360.00	LT
	Nov 19, 07	2,000,000	19.210	38,420.00 ¹	20.810	41,620.00	3,200.00	LT
	Feb 7, 08	3,000,000	17.297	51,891.90 ¹	20.810	62,430.00	10,538.10	LT
	Mar 6, 08	1,000,000	19.570	19,570.00 ¹	20.810	20,810.00	1,240.00	LT
	Jun 24, 08	3,000,000	18.868	56,606.10 ¹	20.810	62,430.00	5,823.90	LT
	Jun 27, 08	3,000,000	18.483	55,449.30 ¹	20.810	62,430.00	6,980.70	LT
Security total		22,657,000		473,831.87		471,492.17	-8,339.70	
CROSSTEX ENERGY INC								
Symbol XTXI Exchange OTC								
EAI \$1,605 Current yield 3.16%	Nov 1, 06	4,231,000	32.472	137,390.01 ¹	8.860	37,486.66	-99,903.35	LT
	Dec 29, 06	100,000	31.040	3,104.00 ¹	8.860	886.00	-2,218.00	LT
	Feb 15, 07	500,000	31.020	15,510.00 ¹	8.860	4,430.00	-11,080.00	LT

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THE FROG ROCK FOUNDATION
EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		5,731,000		186,035.81		50,776.66	-135,259.15	
CSA CORPORATION								
Symbol CSX Exchange NYSE								
EAI \$979 Current yield 1.61%	Jun 25, 07	300,000	28.918	8,675.40 ¹	8.860	2,658.00	-6,017.40	LT
	Nov 14, 07	600,000	35.594	21,356.40 ¹	8.860	5,316.00	-16,040.40	LT
Security total		5,731,000		186,035.81		50,776.66	-135,259.15	
EMC CORP MASS								
Symbol EMC Exchange NYSE								
EAI \$2,265 Current yield 2.41%	Jan 21, 10	140,000	46.468	6,505.56	64.610	9,045.40	2,539.84	ST
	Jul 13, 10	801,000	52.568	42,107.37	64.610	51,752.61	9,645.24	ST
Security total		941,000		48,612.93		60,798.01	12,185.08	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI \$2,265 Current yield 2.41%	Jul 13, 10	2,356,000	19.840	46,744.48	22.900	53,952.40	7,207.92	ST
Security total		1,287,000		103,155.20		94,105.44	-9,049.76	
FISERV INC								
Symbol FISV Exchange OTC								
EAI \$2,265 Current yield 2.41%	Oct 1, 07	762,000	92.500	70,485.00 ¹	73.120	55,717.44	-14,767.56	LT
	Jan 21, 10	181,000	67.778	12,267.82	73.120	13,234.72	966.90	ST
	Jul 13, 10	344,000	59.309	20,402.38	73.120	25,153.28	4,750.90	ST
Security total		1,287,000		103,155.20		94,105.44	-9,049.76	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI \$540 Current yield 0.83%	Jul 13, 10	2,711,000	46.949	127,281.15	58.560	158,756.16	31,475.01	ST
	Sep 27, 10	542,000	54.479	29,527.88	58.560	31,739.52	2,211.64	ST
	Nov 15, 10	186,000	55.453	10,314.26	58.560	10,892.16	577.90	ST
Security total		3,439,000		167,123.29		201,387.84	34,264.55	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC								
EAI \$540 Current yield 0.83%	Jul 1, 10	386,000	132.141	51,006.52	168.160	64,909.76	13,903.24	ST
	Apr 22, 10	300,000	547.789	164,336.88	593.970	178,191.00	13,854.12	ST
	May 14, 10	345,000	509.287	175,704.30	593.970	204,919.65	29,215.35	ST
Security total		645,000		340,041.18		383,110.65	43,069.47	
HALLIBURTON CO (HOLDING COMPANY)								
Symbol HAL Exchange NYSE								
EAI \$401 Current yield 0.88%	Oct 21, 09	595,000	31.368	18,664.26	40.830	24,293.85	5,629.59	LT
	Dec 29, 09	186,000	29.727	5,529.22	40.830	7,594.38	2,065.16	LT

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THE FROG ROCK FOUNDATION

EIN:13-4127228



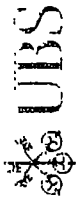
Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 21, 10	150 000	33 452	5 017 94	40 830	6,124 50	1,106 56	ST
	Jul 13, 10	183 000	27 876	5 101 40	40 830	7,471 89	2,370 49	ST
Security total		1,114 000		34,312 82		45,484 62	11,171 80	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI \$1,420 Current yield 0.76%								
	Oct 21, 09	560 000	48 857	27 360 20	42 100	23,576 00	-3,784 20	LT
	Dec 29, 09	425 000	52 747	22 417 69	42 100	17,892 50	-4,525 19	LT
	Jan 21, 10	383 000	51 962	19 901 64	42 100	16,124 30	-3,777 34	ST
	Aug 9, 10	1,000 000	42 699	42 699 61	42 100	42,100 00	-599 61	ST
	Nov 15, 10	2,071 000	42 919	88 887 18	42 100	87,189 10	-1,698 08	ST
Security total		4,439 000		201 266 32		186,881 90	-14,384 42	
INTERCONTINENTAL EXCHANGE INC								
Symbol ICE Exchange NYSE								
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI \$1,568 Current yield 1.77%								
	Oct 21, 09	340 000	122 972	41 810 65	146 760	49,898 40	8,087 75	LT
	Dec 29, 09	94 000	132 107	12 418 06	146 760	13,795 44	1,377 38	LT
	Jan 21, 10	85 000	129 979	11 048 29	146 760	12,474 60	1,426 31	ST
	Jul 13, 10	84 000	129 434	10 872 51	146 760	12,327 84	1,455 33	ST
Security total		603 000		76 149 51		88,496 28	12,346 77	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$2,251 Current yield 3.49%								
	Oct 21, 09	590 000	60 759	35 848 15	61 850	36,491 50	643 35	LT
	Dec 29, 09	163 000	65 057	10 604 37	61 850	10,081 55	-522 82	LT
	Jan 21, 10	143 000	64 878	9 277 66	61 850	8,844 55	-433 11	ST
	Jul 13, 10	146 000	60 789	8 875 32	61 850	9,030 10	154 78	ST
Security total		1,042 000		64 605 50		64,447 70	-157 80	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$287 Current yield 0.47%								
	Oct 21, 09	840 000	46 109	38 731 73	42 420	35,632 80	-3,098 93	LT
	Dec 29, 09	274 000	41 687	11 422 24	42 420	11,623 08	200 84	LT
	Jan 21, 10	211 000	42 093	8 881 78	42 420	8,950 62	68 84	ST
	Jul 13, 10	111 000	40 065	4 447 26	42 420	4,708 62	261 36	ST

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THE FROG ROCK FOUNDATION
EIN:13-4127228



Portfolio Management Program
December 2010

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your Financial Advisor
JAMES P. BUSTERUC
212-713-7800/800-225-2971

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,436,000		63,483.01		60,915.12	-2,567.89	
LABORATORY CORP AMER HLDGS NEW								
Symbol LH Exchange NYSE								
	Oct 23, 07	1,000,000	75.420	75,420.00	87.920	87,920.00	12,500.00	LT
	Oct 24, 07	1,000,000	75.500	75,500.00	87.920	87,920.00	12,420.00	LT
	Mar 13, 08	500,000	76.810	38,405.00	87.920	43,960.00	5,555.00	LT
	Jul 17, 08	500,000	68.500	34,250.00	87.920	43,960.00	9,710.00	LT
	May 11, 10	1,000,000	77.119	77,119.00	87.920	87,920.00	10,801.00	ST
Security total		4,000,000		300,694.00		351,680.00	50,986.00	
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$7,977 Current yield 4.29%	Sep 22, 09	696,000	79.750	55,506.00	69.910	48,657.36	-6,848.64	LT
	Jan 29, 10	1,000,000	75.038	75,038.50	69.910	69,910.00	-5,128.50	ST
	Sep 21, 10	963,000	71.638	68,988.32	69.910	67,323.33	-1,664.99	ST
Security total		2,659,000		199,532.82		185,890.69	-13,642.13	
MASTERCARD INC CL A								
Symbol MA Exchange NYSE								
EAI \$866 Current yield 0.27%	Jun 11, 10	630,000	203.717	128,342.06	224.110	141,189.30	12,847.24	ST
	Aug 17, 10	570,000	214.703	122,380.71	224.110	127,742.70	5,361.99	ST
	Dec 20, 10	244,000	221.100	53,948.40	224.110	54,682.84	734.44	ST
Security total		1,444,000		304,671.17		323,614.84	18,943.67	
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$2,457 Current yield 3.18%	Oct 21, 09	585,000	58.747	34,367.29	76.760	44,904.60	10,537.31	LT
	Dec 29, 09	159,000	63.758	10,137.60	76.760	12,204.84	2,067.24	LT
	Jan 21, 10	139,000	63.317	8,801.09	76.760	10,669.64	1,868.55	ST
	Jul 13, 10	124,000	70.659	8,761.75	76.760	9,518.24	756.49	ST
Security total		1,007,000		62,067.73		77,297.32	15,229.59	
MERC & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$3,546 Current yield 4.22%	Jul 13, 10	2,333,000	36.426	84,983.37	36.040	84,081.32	-902.05	ST
METLIFE INC								
Symbol MET Exchange NYSE								
EAI \$1,254 Current yield 1.66%	Dec 10, 10	1,695,000	43.810	74,257.95	44.440	75,325.80	1,067.85	ST



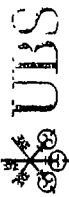
STATEMENT 8(A)

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BENTON TTEE
Account number: JG 19425 18

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$1,175 Current yield 2.29%	Oct 21, 09	780 000	26 568	20,723 04	27 910	21,769 80	1,046 76	LT
	Dec 29, 09	533 000	31 389	16,730 82	27 910	14,876 03	-1,854 79	LT
	Jan 21, 10	523 000	30 667	16,039 23	27 910	14,596 93	-1,442 30	ST
Security total		1,836 000		53,493 09		51,242 76	-2,250 33	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI \$2,634 Current yield 3.85%	Jul 13, 10	1,317 000	52 235	68,793 72	51 990	68,470 83	-322 89	ST
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$1,308 Current yield 2.81%	Oct 21, 09	435 000	52 128	22,675 94	58 950	25,643 25	2,967 31	LT
	Dec 29, 09	249 000	54 867	13,661 88	58 950	14,678 55	1,016 67	LT
	Jan 21, 10	107 000	54 162	5,795 36	58 950	6,307 65	512 29	ST
Security total		791 000		42,133 18		46,629 45	4,496 27	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI \$888 Current yield 1.55%	Oct 21, 09	330 000	83 513	27,559 42	98 100	32,373 00	4,813 58	LT
	Dec 29, 09	98 000	82 280	8,063 44	98 100	9,613 80	1,550 36	LT
	Jan 21, 10	82 000	77 980	6,394 36	98 100	8,044 20	1,649 84	ST
	Jul 13, 10	74 000	81 908	6,061 25	98 100	7,259 40	1,198 15	ST
Security total		584 000		48,078 47		57,290 40	9,211 93	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$2,797 Current yield 2.94%	Jun 13, 07	281 000	65 796	18,488 85 ¹	65 330	18,357 73	-131 12	LT
	Jun 20, 07	145 000	65 987	9,832 21 ¹	65 330	9,734 17	-98 04	LT
	Oct 1, 07	400 000	73 540	29,416.00 ¹	65 330	26,132 00	-3,284 00	LT
	Jan 21, 10	201 000	62 083	12,478 77	65 330	13,131 33	652 56	ST
	Jul 13, 10	426 000	63 999	27,263 57	65 330	27,830 58	567 01	ST
Security total		1,457 000		57,479 40		95,185 81	-2,293 59	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI \$2,542 Current yield 4.37%	Jun 13, 07	211 000	48 670	10,269 39 ¹	58 530	12,349 83	2,080 44	LT
	Jun 20, 07	142 000	48 457	6,880 98 ¹	58 530	8,311 26	1,430 28	LT

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THE FROG ROCK FOUNDATION
EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets • Equities • Common stock (continued)

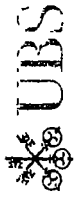
Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI \$1,224 Current yield 1.89%	Oct 1, 07	500 000	48 638	24,319 36 ¹	58 530	29,265 00	4,945 64	LT
	Jan 21, 10	140 000	48 853	6,839 53	58 530	8,194 20	1,354 67	ST
Security total		953 000		48,309 26		58,120 29	9,811 03	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$1,491 Current yield 2.99%	Oct 21, 09	390 000	83 826	32,692 34	95 470	37,233 30	4,540 96	LT
	Dec 29, 09	120 000	81 001	9,720 18	95 470	11,456 40	1,736 22	LT
	Jan 21, 10	94 000	81 544	7,665 20	95 470	8,974 18	1,308 98	ST
	Jul 13, 10	76 000	82 679	6,283 67	95 470	7,255 72	972 05	ST
Security total		680 000		56,361 39		64,919 60	8,558 21	
PUBLIC SERVICE ENTERPRISE GROUP INC								
Symbol PEG Exchange NYSE								
EAI \$1,967 Current yield 4.31%	Oct 21 09	440 000	58 077	25,554 10	64 330	28,305 20	2,751 10	LT
	Dec 29, 09	122 000	61 737	7,531 98	64 330	7,848 26	316 28	LT
	Jan 21 10	108 000	60 638	6,548 90	64 330	6,947 64	398 74	ST
	Jul 13, 10	104 000	62 608	6,511 23	64 330	6,690 32	179 09	ST
Security total		774 000		46,146 21		49,791 42	3,645 21	
RYANAIR HOLDINGS PLC SPON ADR								
Symbol RYAA Exchange OTC								
EAI \$14,677 Current yield 5.89%	Jul 13, 10	1,436 000	33 539	48,162 07	31 810	45,679 16	-2,482 91	ST
Security total		8,100 000		223,321 70		249,156 00	25,834 30	

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name
JANET BENTON TTEE
Account number
JG 19426 1B

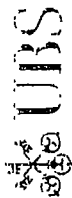
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$741 Current yield 1.01%	Mar 11, 10	802,000	63.548	50,965.66	83.500	66,967.00	16,001.34	ST
	Jul 13, 10	80,000	58.950	4,716.00	83.500	6,680.00	1,964.00	ST
Security total		882,000		55,681.66		73,647.00	17,965.34	
SIMON PPTY GROUP INC SRI								
Symbol SPG Exchange NYSE								
EAI \$1,853 Current yield 3.22%	Jul 13, 10	579,000	84.386	48,859.66	99.490	57,604.71	8,745.05	ST
SOWSTN ENERGY CO								
Symbol SWN Exchange NYSE								
	Oct 21, 09	375,000	49.615	18,605.63	37.430	14,036.25	-4,569.38	LT
	Dec 29, 09	111,000	49.787	5,526.41	37.430	4,154.73	-1,371.68	LT
	Jan 21, 10	93,000	47.885	4,453.34	37.430	3,480.99	-972.35	ST
	Jul 13, 10	96,000	38.389	3,685.35	37.430	3,593.28	-92.07	ST
Security total		675,000		32,270.73		25,265.25	-7,005.48	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$1,420 Current yield 1.28%	Oct 21, 09	1,175,000	51.595	60,624.13	52.130	61,252.75	628.62	LT
	Dec 29, 09	321,000	55.668	17,869.59	52.130	16,733.73	-1,135.86	LT
	Jan 21, 10	292,000	58.390	17,050.10	52.130	15,221.96	-1,828.14	ST
	Jul 13, 10	341,000	54.278	18,508.92	52.130	17,776.33	-732.59	ST
Security total		2,129,000		114,052.74		110,984.77	-3,067.97	
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE								
	Oct 21, 09	745,000	47.714	35,547.38	55.360	41,243.20	5,695.82	LT
	Dec 29, 09	217,000	48.537	10,532.64	55.360	12,013.12	1,480.48	LT
	Jan 21, 10	177,000	48.930	8,660.74	55.360	9,798.72	1,137.98	ST
	Jul 13, 10	197,000	49.111	9,674.93	55.360	10,905.92	1,230.99	ST
Security total		1,336,000		64,415.69		73,960.96	9,545.27	
TRANSOCEAN LTD CHF								
Symbol RIG Exchange NYSE								
	Jul 13, 10	395,000	52.617	20,783.89	69.510	27,456.45	6,672.56	ST
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI \$1,837 Current yield 2.58%	Oct 21, 09	725,000	48.704	35,310.84	55.710	40,389.75	5,078.91	LT

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THE FROG ROCK FOUNDATION

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December 2010

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JG 19426 18

Your Financial Advisor
JAMES P BUSTERUI
212-713-7800/800-225-297

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,276,000		62,904.69		71,085.96	8,181.27	
UNITD TECHNOLOGIES CORP								
Symbol: UTX Exchange NYSE								
EAI \$2,732 Current yield 2.16%								
	Dec 29, 09	209,000	49.947	10,439.03	55.710	11,643.39	1,204.36	LT
	Jan 21, 10	175,000	48.908	8,558.90	55.710	9,749.25	1,190.35	ST
	Jul 13, 10	167,000	51.472	8,595.92	55.710	9,303.57	707.65	ST
Security total		1,276,000		62,904.69		71,085.96	8,181.27	
US BANCORP DEL (NEW)								
Symbol: USB Exchange NYSE								
EAI \$1,792 Current yield 0.74%								
	Oct 1, 07	600,000	80.740	48,444.00	78.720	47,232.00	-1,212.00	LT
	Jan 21, 10	222,000	71.440	15,859.85	78.720	17,475.84	1,615.99	ST
	Jul 13, 10	785,000	67.774	53,202.59	78.720	61,795.20	8,592.61	ST
Security total		1,607,000		117,506.44		126,503.04	8,996.60	
VISA INC CL A								
Symbol: V Exchange NYSE								
EAI \$1,015 Current yield 0.85%								
	Jun 9, 09	960,000	18.289	17,558.30	26.970	25,891.20	8,332.90	LT
	Sep 23, 09	6,000,000	22.348	134,091.00	26.970	161,820.00	27,729.00	LT
	Oct 19, 09	2,000,000	23.707	47,415.00	26.970	53,940.00	6,525.00	LT
Security total		8,960,000		199,064.30		241,651.20	42,586.90	
WAL MART STORES INC								
Symbol: WMT Exchange NYSE								
EAI \$1,611 Current yield 2.24%								
	Jun 11, 10	1,692,000	75.944	128,497.67	70.380	119,082.96	-9,414.71	ST
Security total								
WALT DISNEY CO (HOLDING CO)								
Symbol: DIS Exchange NYSE								
EAI \$1,034 Current yield 1.07%								
	Oct 21, 09	760,000	51.787	39,358.50	53.930	40,986.80	1,628.30	LT
	Dec 29, 09	215,000	54.160	11,644.40	53.930	11,594.95	-49.45	LT
	Jan 21, 10	186,000	53.853	10,016.80	53.930	10,030.98	14.18	ST
	Jul 13, 10	170,000	50.323	8,554.95	53.930	9,168.10	613.15	ST
Security total		1,331,000		69,574.65		71,780.83	2,206.18	
DISNEY COM								
Symbol: DIS Exchange NYSE								
EAI \$1,034 Current yield 1.07%								
	Oct 21, 09	1,465,000	29.767	43,609.39	37.510	54,952.15	11,342.76	LT
	Dec 29, 09	397,000	32.377	12,853.67	37.510	14,891.47	2,037.80	LT
	Jan 21, 10	351,000	31.292	10,983.67	37.510	13,166.01	2,182.34	ST
	Jul 13, 10	373,000	34.272	12,783.54	37.510	13,991.23	1,207.69	ST
Security total								



STATEMENT 8(A)

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THE FROG ROCK FOUNDATION

EIN:13-4127228

Account name:
Account number:JANET BEN TTEE
JG 19426 18

Your assets • Equities • Common stock (continued)

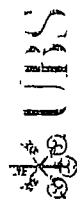
Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
2,586,000				\$0,230.27		97,000.86	16,770.59	
YUM! BRANDS INC								
Symbol: YUM Exchange: NYSE								
EAI \$1,401 Current yield 2.04%								
	Oct 21, 09	815,000	35.107	28,612.61	49.050	39,975.75	11,363.14	LT
	Dec 29, 09	240,000	35.357	8,485.80	49.050	11,772.00	3,286.20	LT
	Jan 21, 10	200,000	35.211	7,042.25	49.050	9,810.00	2,767.75	ST
	Jul 13, 10	146,000	41.330	6,034.25	49.050	7,161.30	1,127.05	ST
Security total								
1,401,000				\$0,174.91		68,719.05	18,544.14	
3M CO								
Symbol: MMM Exchange: NYSE								
EAI \$2,014 Current yield 2.43%								
	Jul 13, 10	959,000	83.976	\$0,533.85	86.300	82,761.70	2,227.85	ST
Total								
				\$6,401,135.42		\$6,800,092.25	\$398,956.83	
Total estimated annual income \$112,456								

† Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INDUSTRIAL SECTOR SPDR TRUST SHARES								
Symbol: XLJ Exchange: NYSE								
EAI \$3,924 Current yield 1.68%								
	Oct 21, 09	2,185,000	27.407	59,885.39	34.870	76,190.95	16,305.56	LT
	Dec 29, 09	625,000	28.278	17,674.06	34.870	21,793.75	4,119.69	LT
	Jan 21, 10	538,000	28.954	15,577.38	34.870	18,760.06	3,182.68	ST
	Jul 13, 10	3,348,000	29.066	97,315.65	34.870	116,744.76	19,429.11	ST
Security total								
6,696,000				190,452.48		233,489.52	43,037.04	
ISHARES TRUST S&P 500 INDEX								
Symbol: IVV Exchange: NYSE								
EAI \$2,237 Current yield 1.77%								
	Nov 4, 10	1,000,000	122.096	122,096.50	126.250	126,250.00	4,153.50	ST
ISHARES MSCI EMERGING MARKETS INDEX FUND								
Symbol: EEM Exchange: NYSE								
EAI \$3,553 Current yield 1.36%								
	Sep 8, 10	2,000,000	41.815	83,630.00	47.642	95,284.00	11,654.00	ST
	Sep 10, 10	1,500,000	42.239	63,358.80	47.642	71,463.00	8,104.20	ST

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Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 4, 10	2,000 000	48 388	96,777 30	47 642	95,284 00	-1,493 30	ST
		5,500 000		243,766 10		262,031 00	18,264 90	
TECHNOLOGY SECTOR SPDR TRUST								
SHS								
Symbol XLK Exchange NYSE	Oct 21, 09	2,575 000	21,487	55,330 31	25 190	64,864 25	9,533 94	LT
EAI \$1.482 Current yield 1.28%	Dec 29, 09	708 000	23 058	16,325 06	25 190	17,834 52	1,509 46	LT
	Jan 21, 10	530 000	22 828	14,381 96	25 190	15,869 70	1,487 74	ST
	Jul 13, 10	674 000	21 818	14,705 83	25 190	16,978 06	2,272 23	ST
Security total		4,587 000		100,743 16		115,546 53	14,803 37	
Total				\$657,058 24		\$737,317 05	\$80,258 81	
Total estimated annual income.			\$11,196					

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK LARGE CAP CORE FUND A									
Symbol MDRX	10,845 987	9 220	100,000 00	100,000 00	10 880	118,004 34	18,004 34		ST
Trade date Jun 29, 10									
Total reinvested	55 076	10 770		593,17	10 880	599 23	6 06		
EAI \$600 Current yield 0.51%									
Security total	10,901 063		100,000 00	100,593 17		118,603 56	18,010 40	18,603 57	

MAINSTAY ICAP SELECT

EQUITY FUND CLASS A

Symbol ICSRX

Total reinvested

EAI \$8 Current yield 0.97%

822 16

13 55

822 16

35 170

808 61

34 589

23 377

822 16

822 16

822 16

822 16

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Total			\$100,000.00	\$101,401.73		\$119,425.72	\$18,023.95	\$19,425.72	

Total estimated annual income: \$608

Fixed income

Certificates of deposit

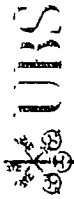
Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BMW BANK NA UT US RATE 02 2000% MAT 06/30/2011 FIXED RATE CD ACCRUED INTEREST \$14.47 CUSIP 05568PRA7								
EAI \$2,640 Current yield 2.18%	Jul 02, 09	240,000.000	100,000	240,000.00	100.820	241,968.00	1,968.00	LT
MERRILL LYNCH BK UT US RATE ZERO CPN MAT 06/30/2011 ZERO COUPON CD CUSIP 59020VWWF2								
Original cost basis \$50,000.00	Dec 19, 05	50,000.000	125,402	62,701.49	111.810	55,905.00	-6,796.49	LT
Total		\$290,000.000		\$302,701.49		\$297,873.00	-\$4,828.49	

Total accrued interest: \$14.47

Total estimated annual income: \$2,640

THE FROG ROCK FOUNDATION
EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor
JAMES P. BUSTERUE
212-713-7800/800-225-2971

Your assets • Fixed income (continued)

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TARGET CORP NTS								
20BP								
RATE 06 350% MATURES 01/15/11								
ACCRUED INTEREST \$117.12								
CUSIP 87612EAC0								
Moody A2 S&P A+								
EAL \$127 Current yield 6.34%								
Original cost basis \$4,470.84								
	Nov 03, 04	4,000,000	100,083	4,003,321	100,152	4,006,08	2,76	LT
DOW CHEMICAL CO								
GLOBAL NOTES								
RATE 06 125% MATURES 02/01/11								
ACCRUED INTEREST \$127.60								
CUSIP 260513BL6								
Moody Baa3 S&P BBB-								
EAL \$153 Current yield 6.10%								
Original cost basis \$4,416.84								
Original cost basis \$1,073.65								
Security total								
	Dec 15, 04	4,000,000	100,158	4,006,351	100,343	4,013,72	7,37	LT
	Jul 15, 05	1,000,000	100,124	1,001,24	100,343	1,003,43	2,19	LT
		5,000,000		5,007,59		5,017,15	9,56	
MCDONALDS CORP MW+20BP								
MTN								
RATE 06 000% MATURES 04/15/11								
ACCRUED INTEREST \$63.33								
CUSIP 58013MDM3								
Moody A2 S&P A								
EAL \$150 Current yield 5.91%								
Original cost basis: \$5,450.85								
	Jan 27, 05	5,000,000	100,472	5,023,611	101,579	5,078,95	55,34	LT

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THE FROG ROCK FOUNDATION

EIN:13-4127228



JANET BENTON TTEE
JG 19426 1B

Account name:
Account number

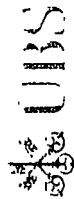
Your assets, Fixed income, Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HONEYWELL INTL INC								
RATE 06 125% MATURES 11/01/11								
ACCURED INTEREST \$51.04								
CUSIP 438516ANG								
Moody A2 S&P A								
EAI \$306 Current yield 5.85%								
Original cost basis \$5,504.00	Jun 06, 05	5,000,000	101,470	5,073,521	104,762	5,238,10	164,58	LT
KRAFT FOODS INC NTS								
RATE 05 625% MATURES 11/01/11								
ACCURED INTEREST \$46.87								
CUSIP 50075NAB0								
Moody Baa2 S&P BBB-								
EAI \$281 Current yield 5.41%								
Original cost basis \$5,285.15	Jan 06, 05	5,000,000	100,795	5,039,751	103,889	5,194,45	154,70	LT
CHUBB CORP MW +20BP NTS								
RATE 06 000% MATURES 11/15/11								
ACCURED INTEREST \$38.33								
CUSIP 17123ZAF8								
Moody A2 S&P A+								
EAI \$300 Current yield 5.73%								
Original cost basis \$5,361.40	Jul 08, 05	5,000,000	101,120	5,056,041	104,643	5,232,15	176,11	LT
CREDIT SUISSE FB USA INC								
CALL@MW+30BP								
RATE 06 500% MATURES 01/15/12								
ACCURED INTEREST \$1,498.61								
CUSIP 22541LAC7								
Moody Aa1 S&P A+								
EAI \$3,250 Current yield 6.15%								
Original cost basis \$53,611.75	Mar 09, 07	50,000,000	101,689	50,844,721	105,650	52,825,00	1,980,28	LT
KROGER CO MW+25BP NTS								
RATE 06 750% MATURES 04/15/12								
ACCURED INTEREST \$356.25								
CUSIP 501044CC3								
Moody Baa2 S&P BBB								
EAI \$1,688 Current yield 6.32%								
Original cost basis \$27,498.75	Dec 29, 09	25,000,000	105,706	26,426,58	106,753	26,688,25	261,67	LT

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor
JAMES P BUSTERUC
212-713-7800/800-225-3971

Your assets • Fixed income • Corporate bonds and notes (continued)

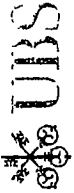
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COMCAST CORP SR SUB NOTE								
RATE 10 625% MATURES 07/15/12								
ACCURED INTEREST \$2,419 65								
CUSIP 200300A01								
Moody Baa2 S&P BBB								
EAL \$5,313 Current yield 9.38%								
Original cost basis \$56,111 25	Mar 03, 09	50,000 000	105 923	52,961 72	113,291	56,645 50	3,683 78	LT
BOTTLING GROUP LLC								
MWV F15BP								
RATE 04 625% MATURES 11/15/12								
ACCURED INTEREST \$295 48								
CUSIP 10138MAB1								
Moody Aa3 S&P A								
EAL \$2,313 Current yield 4.33%	Mar 09, 07	50,000 000	98 839	49,419 75 1	106 887	53,413 50	4,023 75	LT
J P MORGAN CHASE & CO								
NTS								
RATE 05 750% MATURES 01/02/13								
ACCURED INTEREST \$142 95								
CUSIP 46625HAT7								
Moody A1 S&P A								
EAL \$288 Current yield 5.31%								
Original cost basis \$5,327 90	Jan 25, 05	5,000 000	101 891	5,094 59 1	108 356	5,417 80	323 21	LT
CONS EDISON NTS								
OBP								
RATE 04 875% MATURES 02/01/13								
ACCURED INTEREST \$101 56								
CUSIP 209111EA7								
Moody A3 S&P A-								
EAL \$244 Current yield 4.55%	Nov 08, 04	5,000 000	100 411	5,020 59 1	107 083	5,354 15	333 56	LT
Original cost basis \$5,071 00								

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STATEMENT 8(A)

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Account name:
Account number:
JANET BENTON TTEE
JG 19426 18

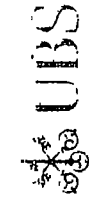
Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VERIZON VA INC MW+25BP								
NTS								
RATE 04 625% MATURES 03/15/13								
ACCRUED INTEREST \$68 09								
CUSIP 92345NAA8								
Moody Baa1 S&P A-								
EAI \$231 Current yield 4.38%	Feb 16, 05	5,000 000	98 261	4,913 05	105 617	5,280 85	367 80	LT
WYETH NTS								
MW +25BP								
RATE 05 500% MATURES 03/15/13								
ACCRUED INTEREST \$80 97								
CUSIP 983024AA8								
Moody A1 S&P AA								
EAI \$275 Current yield 5.03%	Feb 09, 05	5,000 000	101 679	5,083 99	109 338	5,466 90	382 91	LT
Original cost basis \$5,270 75								
SARA LEE CORP NOTES								
CALL@MMW+20BP								
RATE 03 875% MATURES 06/15/13								
ACCRUED INTEREST \$86 11								
CUSIP 803111AQ6								
Moody Baa1 S&P BBB								
EAI \$1,938 Current yield 3.70%	May 13, 08	50,000 000	95 035	47,517 75	104 606	52,303 00	4,785 25	LT
INTL BUSINESS MACH NTS								
OBP								
RATE 06 500% MATURES 10/15/13								
ACCRUED INTEREST \$686 11								
CUSIP 459200GNS								
Moody Aa3 S&P A+								
EAI \$3,250 Current yield 5.70%	Oct 31, 08	50,000 000	102 561	51,280 75	113 968	56,984 00	5,703 25	LT
Original cost basis \$52,152 75								
HOUSEHOLD FIN INTERNOTES								
ADJ RATE MATURES 12/10/13								
CUSIP 44181EZZ4								
Moody A3 S&P A								
EAI \$1,741 Current yield 3.44%	Oct 15, 07	50,000 000	96 209	43,104 75	101 122	50,561 00	2,456 25	LT

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor
JAMES P. BUSTERUI
212-713-7800/800-225-297

Your assets > Fixed income > Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GOLDMAN SACHS GROUP INC								
NTS								
RATE 05 125% MATURES 01/15/15								
ACCURED INTEREST \$1,063.43								
CUSIP 38141GEA8								
Moody A1 S&P A								
EAI \$2,306 Current yield 4.77%	Jan 09, 07	45,000,000	99,865	44,939.55 ¹	107,438	48,347.10	3,407.55	LT
JP MORGAN CHASE & CO								
NTS								
RATE 05 250% MATURES 05/01/15								
ACCURED INTEREST \$402.50								
CUSIP 46625HAX8								
Moody A1 S&P A								
EAI \$2,415 Current yield 4.92%	Nov 14, 07	46,000,000	97,696	44,940.35 ¹	106,651	49,059.46	4,119.11	LT
IRON MTN								
RATE 06 625% MATURES 01/01/16								
ACCURED INTEREST \$828.12								
CUSIP 462846AC0								
Moody B1 S&P B+								
EAI \$1,656 Current yield 6.60%	Jan 10, 07	25,000,000	98,771	24,692.75 ¹	100,375	25,093.75	401.00	LT
TIME WARNER INC								
CALL@MMW+30BP								
RATE 05 875% MATURES 11/15/16								
ACCURED INTEREST \$225.20								
CUSIP 887317AC9								
Moody Baa2 S&P BBB								
EAI \$1,763 Current yield 5.21%	Jan 10, 07	30,000,000	101,594	30,478.34 ¹	112,872	33,861.60	3,383.26	LT
Original cost basis \$30,725.25								
HALLIBURTON MED								
SER A MTN								
RATE 07 530% MATURES 05/12/17								
ACCURED INTEREST \$1,568.75								
CUSIP 40621PAB5								
Moody A2 S&P A								
EAI \$3,765 Current yield 6.36%	Jan 11, 07	50,000,000	110,229	55,114.87 ¹	118,480	59,240.00	4,125.13	LT
Original cost basis \$57,509.75								



STATEMENT 8(A)

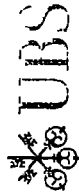
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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BEN TON TTEE
Account number: JG 19426 1B

Your assets > Fixed income > Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LEHMAN BROS HLDGS INC								
DEFAULTED								
RATE 05 875% MATURES 11/15/17								
CUSIP 52517PSZ5								
Moody: WR S&P Not rated								
Original cost basis \$52,062.25								
	Apr 18, 07	50,000.000	102.933	51,466.67	23.125	11,562.50	-39,904.17	LT
LEHMAN BROS HLDGS INC								
DEFAULTED								
RATE 07 000% MATURES 10/04/32								
CUSIP 52519FEU1								
Moody: WR S&P Not rated								
Original cost basis \$50,000.00								
	Sep 24, 07	50,000.000	100.000	50,000.00	23.125	11,562.50	-38,437.50	LT
GOLDMAN SACHS CAPITAL I								
CALL@MMW-20BP								
RATE 06 345% MATURES 02/15/34								
ACCURED INTEREST \$1,198.50								
CUSIP 38143VAA7								
Moody: A3 S&P BBB-								
EAI \$3,173 Current yield 6.66%								
	Sep 19, 07	50,000.000	94.678	47,339.25	95.285	47,642.50	303.25	LT
Total		\$720,000.000		\$724,843.85		\$687,106.24	-\$37,737.61	
Total accrued interest		\$11,496.57						
Total estimated annual income		\$36,926						

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

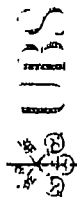
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
JP MORGAN STRATEGIC									
INCOME OPPORTUNITIES									
FUND CLASS A									
Symbol JSOAX									

continued next page



Portfolio Management Program
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets • Fixed income • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date Nov 3, 09		16,922.554	11.500	194,609.37	194,609.37	11.810	199,855.36	5,245.99	5,245.99	LT
EAI \$5.533 Current yield 2.77%										

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA BOND								
RATE 6.0000% MATURES 05/15/11								
ACCRUED INTEREST \$84.33								
CUSIP 31359MJH7								
EAI \$330 Current yield 5.88%								
Original cost basis \$12,262.46	Oct 21, 04	11,000.000	100.731	11,080.461	102.116	11,232.76	152.30	LT
FNMA								
RATE 6.1250% MATURES 03/15/12								
ACCRUED INTEREST \$342.65								
CUSIP 31359MIMQ3								
EAI \$1,164 Current yield 5.74%								
Original cost basis \$14,488.11	Feb 10, 05	13,000.000	102.190	13,284.701	106.708	13,872.041	587.34	LT
Original cost basis \$5,559.71	Jun 10, 05	5,000.000	102.230	5,111.511	106.708	5,335.40	223.89	LT
Original cost basis \$1,094.53	Aug 22, 05	1,000.000	101.945	1,019.451	106.708	1,067.08	47.63	LT
Security total		19,000.000		19,415.66		20,274.52	858.86	

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA								
RATE 4.6250% MATURES 10/15/14								
ACCRUED INTEREST \$126.93								
CUSIP 31359MWJ8								
EAI \$601 Current yield 4.16%								
Original cost basis \$13,124.29	Jul 20, 05	13,000.000	100.439	13,057.191	111.223	14,458.99	1,401.80	LT
Total		\$43,000.000		\$43,553.31		\$45,966.27	\$2,412.96	

Total accrued interest: \$553.91

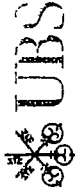
Total estimated annual income: \$2,095

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BEN"ON TTEE
Account number: JG 19426 ' 8

Your assets (continued)

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK GLOBAL									
ALLOCATION FUND INC A									
Symbol MDLOX									
Trade date Apr 8, 08	13,651.061	19,740	269,471.94	269,471.91	19,420	265,103.60	-4,368.34		LT
Trade date Apr 22, 08	13,547.216	19,920	269,860.54	269,860.51	19,420	263,086.93	-6,773.61		LT
Trade date Jun 20, 08	40,512.716	19,370	784,731.30	784,731.30	19,420	786,756.94	2,025.64		LT
Total reinvested	5,495.560	16,072		88,326.62	19,420	106,723.78	18,397.16		
EAI \$16.325 Current yield 1.15%									
Security total	73,206.553		1,324,063.78	1,412,390.40		1,421,671.25	9,280.85		97,607.47

PIMCO GLOBAL

MULTI-ASSET FUND

CLASS A

Symbol PGMAX

Trade date Apr 21, 09

EAI \$19.538 Current yield 1.61%

105,042.017	9,520	1,000,000.00	1,000,000.00	11,570	1,215,336.13	215,336.13		215,336.13	LT
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Total

Total estimated annual income: \$35,863

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

\$2,637,007.38

\$224,616.98

\$312,943.60

UBS 19426

	Quantity	12/31/2009 Basis	Stmt	12/31/2009 Stmt Value
Stocks				
Common Stock		\$	4,568,955 89	\$ 4,265,443 69
Equities Closed End Mutual Funds			\$1,283,025 54	\$ 1,080,517 40
Equities Mutual Mutual Fund			\$661,215 51	\$ 500,309 89
Hospitality Properties 7 0% \$25Par	2,000		\$0 00	\$ -
Other Mutual Funds			\$2,396,207 59	\$ 2,443,349 29
Total Equities:		\$	8,909,404.53	\$ 8,289,620.27

Bank of America 8 2% Perpetual

Total Common Stock:	\$ 8,909,404.53	\$ 8,289,620.27
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CDs				
JP Morgan Metal Index due 4/3/09	100,000	\$	-	
CD HSBC Bank USA Kd DJIA due 6/9/11	100,000	\$	-	
Merrill Lynch CD Idx Zero Coupon due 6/30/11	50,000	\$	59,926 13	\$ 55,175 00
Ally Bank Midvale UT US 01/29/10		\$	34,972 00	\$ 34,993 35
Beal Bank TX 0 2\$ 11/25/09				
Carolina First Bk SC US 1 00% due 5/6/10		\$	98,999 01	\$ 99,059 40
GE Money Bank UT 3 65% 10/9/09				
BMW Bank 2 2% due 6/30/11		\$	240,000 00	\$ 242,116 80
Total CDs:		\$	433,897.14	\$ 431,344.55

Corp Bonds

Citigroup Global Sr Nts 6 2% due 3/15/09	100,000	\$	-	
Merrill Lynch 4 125% due 1/15/09	5,000	\$	-	
United Health Group 3 75% due 2/10/09	5,000	\$	-	
Morgan Stanley Notes adj 3/30/09	300,000	\$	-	
GMAC Smartnotes 4 6% due 4/15/09	30,000	\$	-	
John Hancock Sig Notes	100,000	\$	-	
Wal-Mart Stores Global 6 875% due 8/10/09	5,000	\$	-	
CVS Corp NTS 4 0% 09/15/09				
DuPont De Nemours 6 875% 10/15/09		\$	-	
MS Currency Linked Bskt 0% due 10/30/09	25,000	\$	-	
Kraft Foods Nts 4 125% 11/12/09		\$	-	
Clorox Co 4 2% 01/15/2010	50,000	\$	50,050 92	\$ 50,045 50
Int'l Bank Rec & Dev Med Nts 10 25% due 1/25/10	50,000	\$	30,108 46	\$ 28,708 50
Textron Sr Nts 4 5% due 8/1/10	5,000	\$	-	
Home Depot 4 625% 08/15/10		\$	50,903 11	\$ 51,185 50
Conagra Inc 7 875% due 09/15/10		\$	51,347 29	\$ 52,341 50
Halliburton 5 5% 10/15/10		\$	51,322 79	\$ 52,034 50
Unilever Capital Global 7 125% due 11/1/10	4,000	\$	4,083 34	\$ 4,216 36
Comcast 5 45% 11/15/10		\$	-	
United Technologies 7 125% due 11/15/10	5,000	\$	5,119 80	\$ 5,276 25
Target Corp Nts 6 35% due 1/15/11	4,000	\$	4,087 50	\$ 4,223 84
Dow Chemical Global Notes 6 125% due 2/1/11	4,000	\$	5,097 39	\$ 5,212 35
McDonald's Corp 6% due 4/15/11	5,000	\$	5,103 46	\$ 5,298 25
Alcoa Inc 6 5% due 6/1/11	5,000	\$	5,129 24	\$ 5,272 30

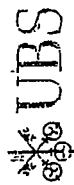
UBS 19426

		12/31/2009	Stmt	12/31/2009	Stmt
	Quantity	Basis		Value	
Conoco Funding Co 6 35% due 10/15/11	4,000	\$	5,159 84	\$	5,450 55
Honeywell Intl Inc 6 125% due 11/1/11	5,000	\$	5,158 50	\$	5,439 80
Kraft Food Inc Nts 5 625% due 11/1/11	5,000	\$	5,085 55	\$	5,310 30
Chubb Corp 6 0% due 11/15/11	5,000	\$	5,117 64	\$	5,371 65
Credit Suisse 6 5% due 1/15/12	50,000	\$	51,619 65	\$	54,409 50
Cablevision Systems Corp 8 0% due 4/15/12	50,000	\$	48,505 25	\$	52,875 00
AOL Time Warner Inc 6 875% 5/1/12	50,000	\$	53,411 99	\$	54,737 00
Comcast 10 625% 7/15/12	50,000	\$	54,736 13	\$	58,996 00
Bottling Group LLC 4 625% due 11/15/12	50,000	\$	49,419 75	\$	53,599 00
JP Morgan chase & Co 5 75% due 1/2/13	5,000	\$	5,138 60	\$	5,332 45
Con Edison Note 4 875% due 2/1/13	5,000	\$	5,029 78	\$	5,328 10
Venzon VA 4 625% due 3/15/2013	5,000	\$	4,913 05	\$	5,192 30
Wyeth Notes 5 5% due 3/15/13	5,000	\$	5,119 37	\$	5,436 45
Sara Lee 3 875% due 6/15/13	50,000	\$	47,517 75	\$	51,123 00
Int'l Business Mach Nts 6 5% due 10/15/13		\$	51,695 06	\$	57,138 00
Blyth Industres Inc 5 5% due 11/1/13	66,000	\$	59,405 25	\$	56,100 00
Household Financial Internotes Adj due 12/10/13	50,000	\$	48,104 75	\$	48,211 00
Amer Gen Fin Corp due 6 0% Due 11/15/14	100,000	\$	100,000 00	\$	68,405 00
Goldman Sachs Group 5 5% due 11/15/14	100,000	\$	101,177 10	\$	106,735 00
Goldman Sachs Group 5 125% due 1/15/15	45,000	\$	44,939 55	\$	47,283 75
JP Morgan Chase & Co Notes 5 25% due 5/1/15	46,000	\$	44,940 35	\$	47,872 20
Iron Mtn 6 625% due 1/1/16	25,000	\$	24,692 75	\$	24,500 00
Time warner Inc 5 875% due 11/15/16	30,000	\$	30,545 57	\$	32,383 00
Toyota Motor Credit 5 75% due 2/17/17	50,000	\$	50,487 86	\$	50,206 00
Halliburton Med Ser A 7 53% due 5/12/17	50,000	\$	55,767 67	\$	58,997 00
Leh Bro Hldgs Defaulted 5 875% due 11/15/17	50,000	\$	51,639 08	\$	9,750 00
PNC Bank Nts Ser 6 875% due 4/1/18	50,000	\$	52,056 05	\$	53,071 00
Kimberly Clark Corp 6 25% due 7/15/18	45,000	\$	47,974 53	\$	50,220 45
Morgan Stanley 9 45% due 9/26/22	2,000	\$	-		
Coca Cola Enterprises Deb 8 0% due 9/15/22	50,000	\$	60,459 39	\$	62,140 50
Morgan Stanley 6 25% due 8/9/26	100,000	\$	101,212 70	\$	103,405 00
AT&T Global 6 5% due 3/15/29	50,000	\$	49,442 75	\$	49,771 50
Citizens Communications Notes 9% 8/15/31	25,000	\$	27,928 00	\$	24,562 50
Lehman Bros Defaulted 7 0% due 10/4/32	50,000	\$	50,000 00	\$	9,750 00
Goldman Sachs Capital I 6 345% due 2/15/34	50,000	\$	47,339 25	\$	46,813 00
MS Nts 8 0% due 2/23/37	100,000	\$	92,395 96	\$	77,875 00
MS Nts lkd to Bskt Currency due 3/30/11	100,000	\$	-		
Corporate Bonds Total:				\$	1,717,608 15
Fixed Income Closed End Mutual Funds		\$	363,368 59	\$	365,119 44
Fixed Income Mutual Funds		\$	451,676 72	\$	481,509 75
Total =		\$	2,615,535.08	\$	2,564,237.34
Fixed Income Securities					
Morgan Stanley linked to SPX due 5/25/12	10,000				
Morgan Stanley due 6/26/17	4,000				
CBS Corp 6 75% due 3/27/56	2,000	\$	50,000 00	\$	42,180 00
Citigroup Capital XV 6 5% due 9/15/66	2,000				
Citigroup 6 35% due 3/15/67	2,000				
Comcast 6 625% due 5/15/56	2,000	\$	50,000 00	\$	48,360 00
Merrill Lynch Cap Trust 6 45% due 6/15/87	2,000				
Morgan Stanley Cap Tr VIII 6 45% due 4/15/67	2,000	\$	50,000 00	\$	42,900 00
Total =		\$	150,000.00	\$	133,440.00
Total Corporate Bonds & Fixed Income Securities:		\$	2,765,535.08	\$	2,697,677.34

UBS 19426

	Quantity	12/31/2009 Basis	Stmt	12/31/2009 Stmt Value
Government Securities:				
FHR 3319 CC 5 5% due 2/15/37	50,000	\$	24,252 62	\$ 24,644 00
FHR 3374	40,000	\$	2,919 83	\$ 2,886 14
GNR 2007-53 5.75% due 7/20/37	50,000	\$	-	
FHR 3461 AA 5 5% due 2/15/38	50,000	\$	37,092 50	\$ 36,834 24
GNR 2008-65 HB 5.75% due 2/20/38	50,000	\$	-	
US Treasury Note 6 0% due 8/15/09	15,000	\$	-	
US Treasury Note 6 0% due 8/15/09	4,000	\$	-	
FNMA Bond 6 0% mat 5/15/11	11,000	\$	11,291 36	\$ 11,776 93
FNMA 6 125% due 3/15/12	13,000	\$	13,510 40	\$ 14,328 47
	5,000	\$	5,199 94	\$ 5,510 95
	1,000	\$	1,034 83	\$ 1,102 19
FNMA 4 625% due 10/15/14	13,000	\$	13,070 76	\$ 14,084 72
FNMA Call NTS 5 25% due 11/7/2017	50,000	\$	-	
Singapore Gov't Bonds 2 375% due 10/1/09	40,000	\$	-	
Total =		\$	108,372.24	\$ 111,167.64

Total Account:	\$ 12,217,208.99	\$ 11,529,809.80
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Portfolio Management Program

December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	47,121.32				
RUBIA MONEY MKT PORTFOLIO	1,879,673.34	1,636,291.61	1.00	0.01%	Nov 23 to Dec 23	31
Total	51,879,673.34	51,683,412.93				

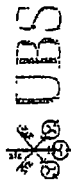
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (S)	Cost basis (S)	Price per share on Dec 31 (S)	Value on Dec 31 (S)	Unrealized gain or loss (S)	Holding period
AETNA INC								
Symbol: AET Exchange: NYSE								
Eql: S42 Current yield: 0.13%								
AT&T PETROLEUM CORP								
Symbol: APC Exchange: NYSE								
Eql: S329 Current yield: 0.58%								
APACHE CORP								
Symbol: APA Exchange: NYSE								
Eql: S117 Current yield: 0.58%								
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
Eql: S743 Current yield: 1.72%								
AT&T INC								
Symbol: T Exchange: NYSE								
Eql: S3,360 Current yield: 5.99%								
CHESapeake ENERGY CORP ONLA								
Symbol: CHK Exchange: NYSE								
Eql: S380 Current yield: 1.16%								



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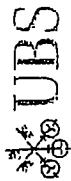


Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$1.374 Current yield 3.53%	Oct 21, 09	505 000	77 918	39,348.84	76 990	38,879.95	-468.89	ST
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
Security total	Jun 20, 07	956 000	26 562	25,393.44	23 940	22,886.64	-2,506.80	LT
COCA COLA CO COM	Mar 13, 08	1,000 000	24 780	24,780.00	23 940	23,940.00	-840.00	LT
Symbol KO Exchange NYSE								
EAI \$1.968 Current yield 2.88%		1 956 000		50,173.44		46,826.64	-3,346.80	
Security total	Sep 5, 07	200 000	53 670	10,734.00	57 000	11,400.00	666.00	LT
COLGATE PALMOLIVE CO	Sep 20, 07	1,000 000	56.266	56,266.10	57 000	57,000.00	733.90	LT
Symbol CL Exchange NYSE								
EAI \$3.168 Current yield 2.14%		1 200 000		67,000.10		68,400.00	1,399.90	
Security total	Nov 27, 07	1 000 000	78 611	78,611.50	82 150	82,150.00	3,538.50	LT
COMCAST CORP NEW SPECIAL CL A	Mar 6, 08	800 000	75 986	60,789.44	82 150	65,720.00	4,930.56	LT
Symbol CMCSK Exchange OTC								
EAI \$10.076 Current yield 2.36%		1 800 000		139,400.94		147,870.00	8,469.06	
Security total	Nov 1, 06	2,277 000	27 126	51,767.42	16 010	36,454.77	-25,312.65	LT
Nov 27, 06	373 000	26 235	9,785.70	16,010	5,971.73		-3,813.97	LT
Feb 12, 07	1,954 000	26 419	51,633.00	16 010	31,283.54		-20,339.46	LT
Feb 23, 07	1 000 000	26,960	26,960.00	16 010	16,010.00		-10,950.00	LT
Mar 13, 07	1 000 000	25 600	25,600.00	16 010	16,010.00		-9,590.00	LT
May 10, 07	2 000 000	25,980	51,960.00	16 010	32,020.00		-19,940.00	LT
Jun 1, 07	2 000 000	27 180	54,360.00	16 010	32,020.00		-22,340.00	LT
Oct 4, 07	1 053 000	23 770	25,029.81	16 010	16,858.53		-8,171.28	LT
Nov 9, 07	2 000 000	19 288	38,577.60	16 010	32,020.00		-6 557.60	LT
Nov 14, 07	1 000 000	19 450	19,450.00	16 010	15,010.00		-3,440.00	LT
Nov 19, 07	2,000 000	19 210	38,420.00	16 010	32,020.00		-6,400.00	LT
Feb 7, 08	3,000 000	17 297	51,891.90	16 010	38,030.00		-3,861.90	LT
Mar 6, 08	1 000 000	19 570	19,570.00	16 010	15,010.00		-3,560.00	LT
Jun 24, 08	3,000 000	18 868	56,506.10	16 010	38,030.00		-8,576.10	LT

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Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

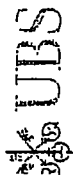
Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jun 27, 08	3,000,000	18.483	55,449.30	16.010	48,030.00	-7,419.30	LT
		26,657,000		587,050.83		426,778.57	-160,272.26	
CROSSTEX ENERGY INC								
Symbol: XTOL Exchange: OTC	Nov 1, 06	4,231,000	32.472	137,390.01	6.050	25,597.55	-111,792.46	LT
	Dec 29, 06	100,000	31.040	3,104.00	6.050	605.00	-2,499.00	LT
	Feb 15, 07	500,000	31.020	15,510.00	6.050	3,025.00	-12,485.00	LT
	Jun 25, 07	300,000	28.918	8,675.40	6.050	1,815.00	-6,860.40	LT
	Nov 14, 07	600,000	35.594	21,356.40	6.050	3,630.00	-17,726.40	LT
Security total		5,731,000		186,035.81		34,672.55	-151,363.26	
CVS CAREMARK CORP								
Symbol: CVS E Exchange: NYSE	Oct 21, 09	605,000	38.110	23,056.55	32.210	19,487.05	-3,569.50	ST
EAL: 5185 Current yield: 0.95%								
DELL INC								
Symbol: DELL Exchange: OTC	May 7, 08	2,000,000	18.919	37,838.40	14.360	28,720.00	-9,118.40	LT
	May 12, 08	4,215,000	18.980	80,000.70	14.360	60,527.40	-19,473.30	LT
	Jul 15, 08	2,000,000	22.697	45,395.20	14.360	28,720.00	-16,675.20	LT
Security total		8,215,000		163,234.30		117,967.40	-45,266.90	
EQT CORP								
Symbol: EQT Exchange: NYSE	Oct 21, 09	1,015,000	46.286	46,980.62	43.920	44,578.80	-2,401.82	ST
EAL: 5893 Current yield: 2.00%								
EXXON MOBIL CORP								
Symbol: XOM Exchange: NYSE	Oct 1, 07	762,000	92.500	70,485.00	68.190	51,960.78	-18,524.22	LT
EAL: 51,280 Current yield: 2.46%								
GENL DYNAMICS CORP								
Symbol: GD Exchange: NYSE	Oct 21, 09	735,000	67.965	49,954.28	68.170	50,104.95	150.67	ST
EAL: 51,117 Current yield: 2.23%								
GILEAD SCIENCES INC								
Symbol: GILD Exchange: OTC	Oct 21, 09	830,000	44.738	37,132.96	43.270	35,914.10	-1,218.86	ST
GOLDMAN SACHS GROUP INC								
Symbol: GS E Exchange: NYSE	Jun 4, 08	500,000	172.805	86,402.60	168.840	84,420.00	-1,982.60	LT
EAL: 51,400 Current yield: 0.83%	Jul 15, 08	500,000	163.068	81,534.00	168.840	84,420.00	2,886.00	LT
Security total		1,000,000		167,936.60		168,840.00	903.40	



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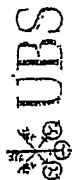


Account name: JANET BEHTON TTEE
Account number: JG 19426 18

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HALLIBURTON CO (HOLDING COMPANY)								
Symbol: HAL Exchange: NYSE								
EAL \$214 Current yield: 1.20%	Oct 21, 09	595 000	31 368	18,661.26	30 090	17,903.55	-760.71	ST
HEWLETT PACKARD CO								
Symbol: HPQ Exchange: NYSE								
EAL \$496 Current yield: 0.62%	Oct 21, 09	1,550 000	48 857	75,729.13	51 510	79,840.50	4,111.37	ST
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAL \$1,523 Current yield: 2.74%	Oct 21, 09	2,720 000	20 187	54,908.94	20 400	55,488.00	579.06	ST
INTEL BUSINESS MACH								
Symbol: IBM Exchange: NYSE								
EAL \$748 Current yield: 1.68%	Oct 21, 09	340 000	122 972	41,810.65	130 300	44,506.00	2,695.35	ST
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAL \$1,156 Current yield: 3.04%	Oct 21, 09	550 000	60 759	35,848.15	64 410	38,001.90	2,153.75	ST
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAL \$168 Current yield: 0.48%	Oct 21, 09	840 000	46 109	38,751.73	41 570	35,002.80	-3,728.93	ST
LABORATORY CORP AMER HLDGS NEW								
Symbol: LH Exchange: NYSE								
	Jul 30, 07	1,000 000	74 062	74 062.00	74 840	74,840.00	778.00	LT
	Aug 1, 07	1,000 000	72 263	72,263.00	74 840	74,840.00	2,577.00	LT
	Aug 15, 07	500 000	75 096	37,548.00	74 840	37,420.00	-128.00	LT
	Aug 16, 07	500 000	72 852	35,426.00	74 840	37,420.00	994.00	LT
	Oct 23, 07	2,000 000	75 420	150,840.00	74 840	149,680.00	-1,160.00	LT
	Oct 24, 07	1,000 000	75 500	75,500.00	74 840	74,840.00	-660.00	LT
	Mar 13, 08	500 000	76 810	38,405.00	74 840	37,420.00	-985.00	LT
	Jul 17, 08	500 000	68 500	34,250.00	74 840	37,420.00	3,170.00	LT
Security total		7,000,000		519,294.00		523,880.00	4,586.00	
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAL \$10,080 Current yield: 3.34%	May 26, 09	1,200 000	83 385	100,062.00	75 350	90,420.00	-9,642.00	ST
	Jun 9, 09	1,200 000	84 308	101,169.60	75 350	90,420.00	-10,749.60	ST
	Sep 22, 09	1,500 000	79 750	127 600.00	75 350	120,560.00	-7,040.00	ST
Security total		4,000,000		328,831.60		301,400.00	-27,431.60	

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Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

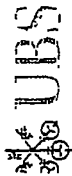
Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MARSH & MCLENNAN COS INC Symbol: MMC Exchange: NYSE EAL 51,232 Current yield: 3.62%	Oct 21, 09	1,540,000	25.157	38,742.55	22.080	34,003.20	-4,739.35	ST
MCDONALDS CORP Symbol: MCD Exchange: NYSE EAL 51,287 Current yield: 3.52%	Oct 21, 09	585,000	58.747	34,367.29	62.440	36,527.40	2,160.11	ST
MICROSOFT CORP Symbol: MSFT Exchange: OTC EAL 51,110 Current yield: 1.71%	Oct 21, 09	2,135,000	26.568	56,722.68	30.480	65,074.80	8,352.12	ST
MIDWINTER CO NEW Symbol: MION Exchange: NYSE EAL 5986 Current yield: 1.30%	Jul 11, 07 Oct 21, 09	580,000 350,000 930,000	66.040 75.276	38,303.20 26,346.88 64,650.08	81.750 81.750	47,415.00 28,612.50 76,027.50	9,111.80 2,265.62 11,377.42	LT ST
Security total								
NOVARTIS AG SPON ADR Symbol: NVS Exchange: NYSE EAL 51,287 Current yield: 2.67%	Oct 21, 09	885,000	52.128	46,133.81	54.430	48,170.55	2,036.74	ST
OCCIDENTAL PETROLEUM CORP Symbol: OXY Exchange: NYSE EAL 5436 Current yield: 1.62%	Oct 21, 09	330,000	83.513	27,559.42	81.350	26,845.50	-713.92	ST
PEPSICO INC Symbol: PEP Exchange: NYSE EAL 51,494 Current yield: 2.96%	Jun 13, 07 Jun 20, 07 Oct 1, 07	281,000 149,000 400,000 830,000	65.796 65.987 73.540	18,488.85 9,832.21 29,416.00 57,737.06	60.800 60.800 60.800	17,084.80 9,059.20 24,320.00 50,454.00	-1,404.05 -1,773.01 -5,096.00 -5,273.06	LT LT LT
Security total								
PHILIP MORRIS INTL INC Symbol: PM Exchange: NYSE EAL 52,366 Current yield: 4.81%	Jun 8, 07 Jun 12, 07 Jun 13, 07 Jun 20, 07 Oct 1, 07	27,000 69,000 282,000 142,000 500,000 1,020,000	48.610 49.175 48.670 48.457 48.638	1,312.49 3,393.09 13,724.97 6,880.98 24,319.35 49,630.89	48.190 48.190 48.190 48.190 48.190	1,301.13 3,325.11 13,589.53 6,842.98 24,095.00 49,153.80	-11.36 -57.98 -135.39 -38.00 -224.35 -477.09	LT LT LT LT LT
Security total								

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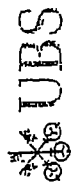


Account name: JANET BENTON TTEE
Account number: JG 19126 18

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRAXAIR INC								
Symbol: PX Exchange: NYSE								
EAI: 5624 Current yield 1.99%	Oct 21, 09	390 000	83.826	32,692.34	90.310	31,320.90	-1,371.44	ST
PRICE T ROWE GROUP INC								
Symbol: TROW Exchange: OTC								
EAI: 5690 Current yield 1.88%	Oct 21, 09	690 000	48.145	33,220.43	53.250	36,742.50	3,522.07	ST
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: 5774 Current yield 2.90%	Oct 21, 09	440 000	58.077	25,554.10	60.530	26,677.20	1,123.10	ST
RYANAIR HOLDINGS PLC SPON ADR								
Symbol: RYAAY Exchange: OTC								
	Jan 15, 08	1,500 000	32.725	49,087.50	26.810	40,215.00	-8,872.50	LT
	Feb 5, 08	1,000 000	30.797	30,797.00	26.810	26,810.00	-3,987.00	LT
	Feb 8, 08	1,000 000	30.709	30,709.00	26.810	26,810.00	-3,899.00	LT
	Feb 11, 08	1,000 000	31.154	31,154.00	26.810	26,810.00	-4,344.00	LT
	Mar 13, 08	500 000	23.000	14,500.00	26.810	13,405.00	-1,095.00	LT
	May 7, 08	1,000 000	27.088	27,088.50	26.810	26,810.00	-278.50	LT
	May 21, 08	1,000 000	25.210	25,210.00	26.810	26,810.00	1,600.00	LT
	May 22, 08	1,000 000	25.740	25,740.00	26.810	26,810.00	1,070.00	LT
	Jun 2, 08	2,000 000	25.221	50,442.00	26.810	53,620.00	3,178.00	LT
Security total		10,000 000		281,728.00		268,100.00	-16,628.00	
SOWSTN ENERGY CO								
Symbol: SWH Exchange: NYSE	Oct 21, 09	375 000	49.615	18,605.63	48.200	18,075.00	-530.63	ST
TEVA PHARMACEUTICALS LTD								
Symbol: TEVA Exchange: OTC								
EAI: 5566 Current yield 0.85%	Oct 21, 09	1,175 000	51.595	60,524.13	56.180	66,011.50	5,387.37	ST
THERMO FISHER SCIENTIFIC INC								
Symbol: TMO Exchange: NYSE	Oct 21, 09	745 000	47.714	35,547.38	47.690	35,529.05	-18.33	ST
TRANSOCEAN LTD								
Symbol: RIG Exchange: NYSE	Oct 21, 09	225 000	82.248	20,755.80	92.800	18,630.00	-2,125.80	ST
TRAVELERS COS INC/THE								
Symbol: TRV Exchange: NYSE	Oct 21, 09	725 000	48.704	35,310.84	49.860	36,148.50	837.66	ST
EAI: 5957 Current yield 2.65%								

continued next page



Portfolio Management Program
December 2009

Account name:
JANET BENTON TTEE

Account number:
JG 19426 1B

Your Financial Advisor
JAMES P BUSTERUD
212-713-7800/800-225-2971

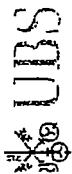
Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UHLIJI PACIFIC CORP								
Symbol UNP Exchange: NYSE								
EAI: 5745 Current yield: 1.69%	Oct 21, 09	690,000	64.811	44,720.21	63.900	44,091.00	-629.21	ST
UNITED TECHNOLOGIES CORP								
Symbol UTX Exchange: NYSE								
EAI: 5924 Current yield: 2.22%	Oct 1, 07	600,000	80.740	48,444.00	69.410	41,546.00	-6,798.00	LT
US BANCORP DEL (NEW)								
Symbol USB Exchange: NYSE								
EAI: 52,800 Current yield: 0.89%	Apr 15, 09	3,000,000	16.748	50,244.70	22.510	67,530.00	17,285.30	ST
	Jun 9, 09	3,000,000	18.289	54,869.70	22.510	67,530.00	12,660.30	ST
	Sep 23, 09	6,000,000	22.348	134,091.00	22.510	135,050.00	959.00	ST
	Oct 19, 09	2,000,000	23.707	47,415.00	22.510	45,020.00	-2,395.00	ST
Security total		14,000,000		286,620.40		315,140.00	28,519.60	
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange: NYSE								
	Jun 9, 09	3,000,000	36.957	110,873.85	46.850	140,550.00	29,676.15	ST
	Jul 10, 09	2,000,000	31.700	63,401.70	46.850	93,700.00	30,298.30	ST
Security total		5,000,000		174,275.55		234,250.00	59,974.45	
WAL MART STORES INC								
Symbol WMT Exchange: NYSE								
EAI: 5828 Current yield: 2.04%	Oct 21, 09	760,000	51.787	39,358.50	53.450	40,622.00	1,263.50	ST
WALT DISNEY CO (HOLDING CO)								
Symbol DIS Exchange: NYSE								
EAI: 5513 Current yield: 1.09%	Oct 21, 09	1,465,000	29.767	43,609.39	32.250	47,246.25	3,636.85	ST
YUKON BRANDS INC								
Symbol YUM Exchange: NYSE								
EAI: 5685 Current yield: 2.40%	Oct 21, 09	815,000	35.107	28,612.61	34.970	28,500.55	-112.06	ST
Total				\$4,568,955.89		\$4,265,443.69	-\$303,512.20	

Total estimated annual income: \$61,121

* Indicates cost basis information has been provided by a source other than UBS Financial Services





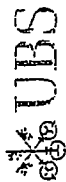
Account name: JANET BENTON TTEE
Account number: JG 19426 13

Your assets - Equities (continued)

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FINANCIAL SECTOR SPDR TRUST ETF								
Symbol: XLV Exchange: NYSE								
EAL \$1,584 Current yield: 1.45%	Oct 21, 09	7,580,000	15.329	115,200.54	14.400	109,152.00	-7,048.54	ST
HEALTH CARE SELECT SECTOR SPDR FUND								
Symbol: XLV Exchange: NYSE								
EAL \$1,132 Current yield: 2.21%	Oct 21, 09	1,550,000	29.088	47,995.50	31.070	51,265.50	3,270.00	ST
INDUSTRIAL SECTOR SPDR TRUST SHARES								
Symbol: XLV Exchange: NYSE								
EAL \$1,578 Current yield: 2.60%	Oct 21, 09	2,185,000	27.407	59,885.39	27.790	60,721.18	835.79	ST
ISHARES INC MSCI HONG KONG INDEX FD								
Symbol: EWH Exchange: NYSE								
EAL \$832 Current yield: 2.66%	Feb 27, 08	2,000,000	19.279	38,558.40	15.550	31,320.00	-7,238.40	LT
ISHARES INC MSCI SOUTH KOREA INDEX FD								
Symbol: EWH Exchange: NYSE								
EAL \$229 Current yield: 0.48%	May 21, 08	500,000	58.468	29,234.40	47.640	23,820.00	-5,414.40	LT
Security total	May 22, 08	500,000	57.613	28,806.50	47.640	23,820.00	-4,986.50	LT
		1,000,000		58,040.90		47,640.00	-10,400.90	
ISHARES MSCI TAIWAN INVESTS								
Symbol: EWT Exchange: NYSE								
EAL \$2,495 Current yield: 3.21%	Feb 4, 08	4,000,000	14.270	57,080.00	12.970	51,880.00	-5,200.00	LT
Security total	Feb 22, 08	2,000,000	14.859	29,718.40	12.970	25,940.00	-3,778.40	LT
		6,000,000		86,798.40		77,820.00	-8,978.40	
ISHARES MSCI EAFE INDEX FUND								
Symbol: EFA Exchange: NYSE								
EAL \$4,323 Current yield: 2.61%	Feb 15, 08	1,000,000	70.038	70,038.40	55.280	55,280.00	-14,758.40	LT
Security total	Mar 3, 08	1,000,000	71.428	71,428.40	55.280	55,280.00	-16,148.40	LT
	Mar 4, 08	1,000,000	70.188	70,188.40	55.280	55,280.00	-14,908.40	LT
		3,000,000		211,655.20		165,840.00	-45,815.20	

continued next page



Portfolio Management Program December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 18

Your Financial Advisor
JAMES P. BUSTERUD
212-71317800/800-225-2971

Your assets - Equities - Closed end mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SPDR S&P RETAIL ETF								
Symbol XRT Exchange NYSE								
EAI 52.160 Current yield 3.37%	Oct 21, 09	1,900,000	36.617	65,912.00	35.600	54,080.00	-11,832.00	ST
SPDR S&P CHINA ETF								
Symbol GXC Exchange NYSE								
EAI 5330 Current yield 0.46%	Feb 4, 08	1,000,000	79.600	79,600.00	71.850	71,850.00	-7,750.00	LT
TECHNOLOGY SECTOR SPDR TRUST								
SHS								
Symbol VUK Exchange NYSE								
EAI 5819 Current yield 1.39%	Oct 21, 09	2,575,000	21.487	55,330.31	22.930	59,044.75	3,714.44	ST
VAIGUARD INTL EQUITY INDEX								
VAIGUARD EURO ETF								
Symbol VGIK Exchange NYSE								
EAI 513.180 Current yield 3.94%	Feb 5, 08	50,000	65.080	3,254.00	48.480	2,424.00	-830.00	LT
	Feb 6, 08	3,000,000	65.928	197,784.00	48.480	145,440.00	-52,344.00	LT
	Feb 6, 08	2,000,000	66.063	132,127.20	48.480	96,960.00	-35,167.20	LT
	Feb 6, 08	1,000,000	65.260	65,260.00	48.480	48,480.00	-16,780.00	LT
	Feb 7, 08	1,000,000	64.623	64,623.60	48.480	48,480.00	-16,143.60	LT
Security total		7,050,000		463,048.80		341,784.00	-121,264.80	
Total				\$1,283,025.54		\$1,080,517.40	-\$202,508.14	
Total estimated annual income: \$28,963								

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
ALLIANCE BERNSTEIN INTL										
VALUE FUND A										
	Feb 26 08	8,782.450	20.640	181,259.36	181,269.36	13.650	119,880.16	-61,389.20		LT



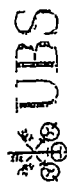


Account name: JANET BENYON TTEE
Account number: JG 9425 18

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	112 646	13 409		1 510 58	13 650	1 537 62	27 04		
EAI \$1,530 Current yield 1.26%									
Security total	8,895 076		181,269 35	182,779 94		121,417 78	-61,362 16	-59 851 68	
ALLIANCE BERNSTEIN									
INTERNATIONAL GROWTH									
FUND CLASS A									
Trade date Feb 26, 08	9,671 356	12 833	191,783 78	191 783 78	14 050	136,173 26	-55,610 52		LT
Total reinvested	558 977	15 035		8,963 68	14 080	7,870 40	-1,093 28		
EAI \$3,969 Current yield 2.76%									
Security total	10,230 373		191,783 78	200,747 46		144,043 65	-56,703 80	-47,740 12	
AMERICAN FUNDS CAPITAL									
WORLD GROWTH & INCOME									
FUND CLASS F									
Trade date Feb 22, 08	6,257 550	41 083	257,051 81	257,061 81	31 020	212,883 21	-44,178 60		LT
Total reinvested	645 557	31 945		20,626 30	31 020	21,365 25	1,338 95		
EAI \$5,550 Current yield 2.36%									
Security total	6,903 237		257,061 81	277,688 11		234 848 45	-42,839 65	-22,213 35	
Total			\$630,114.95	\$661,215.51		\$500,309.89	-\$160,905.61	-\$129,805.06	
Total estimated annual income: \$11,049									

* Indicates cost basis information has been provided by a source other than UBS Finance Services



Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-7971

Your assets (continued)

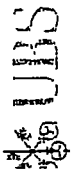
Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLY BK MIDVALE UT US RATE 00 4000% MAT 01/29/2010 FIXED RATE CD ACCRUED INTEREST \$58.68 CUSIP 02004N1B88 Ea1 570 Current yield 0.40%	Sep 01, 09	35,000,000	99,930	34,972.00	99,981	34,993.35	21.35	ST
CAROLINA FIRST BK SC US RATE 01 0000% MAT 05/06/2010 FIXED RATE CD ACCRUED INTEREST \$648.24 CUSIP 143876ZT0 Ea1 5990 Current yield 1.00%	Sep 01, 09	99,000,000	99,999	98,999.01	100,060	99,059.40	60.39	ST
BK of NY BANK NA UT US RATE 02 2000% MAT 06/30/2011 FIXED RATE CD CUSIP 05568PRA7 Ea1 55,280 Current yield 2.18%	Jul 02, 09	240,000,000	100,000	240,000.00	100,882	242,116.80	2,116.80	ST
MERRILL LYNCH BK UT US RATE ZERO CPN MAT 06/30/2011 ZERO COUPON CD CUSIP 55020WVWP2 Original cost basis, \$50,000.00	Dec 19, 05	50,000,000	119,852	59,925.13	110,350	55,175.00	-4,751.13	LT
Total		\$424,000,000		\$433,897.14		\$431,344.55	-\$2,552.59	
Total accrued interest: \$706.92								
Total estimated annual income: \$6,340								





Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets - Fixed income (continued)

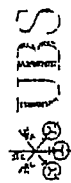
Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CLOROX CO CALL @ MW + 10BP								
RATE 04 200% MATURES 01/15/10								
ACCURED INTEREST \$968.33								
CUSIP 189054AM1								
Moody Baa2 S&P: BBB+								
EAI \$1,050 Current yield 4.20%								
Original cost basis \$50,827.50	May 21, 09	50,000.000	100.101	50,050.92	100.091	50,045.50	-5.42	ST
INTL BK RECON & DEVELOP								
MED TERM NTS								
RATE 10 250% MATURES 01/25/10								
ACCURED INTEREST \$4,783.33								
CUSIP 045941RH2								
Moody Aaa S&P: AAA								
EAI \$5,125 Current yield 17.85%	May 27, 08	50,000.000	50.215	30,108.45	57.117	28,708.50	-1,399.95	LT
HOME DEPOT INC NTS								
MW + 10BP								
RATE 04 625% MATURES 08/15/10								
ACCURED INTEREST \$873.61								
CUSIP 137076AM4								
Moody Baa1 S&P: BBB+								
EAI \$2,313 Current yield 4.52%								
Original cost basis \$51,862.00	Apr 28, 09	50,000.000	101.806	50,903.11	102.371	51,185.50	282.39	ST
COTIAGRA INC NTS MW + 30BP								
RATE 07 875% MATURES 09/15/10								
ACCURED INTEREST \$1,159.37								
CUSIP 205887AW2								
Moody Baa2 S&P: BBB								
EAI \$3,938 Current yield 7.52%								
Original cost basis \$52,815.00	Mar 12, 09	50,000.000	102.551	51,317.29	101.683	52,341.50	994.21	ST

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Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19436 18

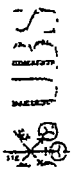
Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-325-2971

YOUR assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HALLIBURTON CO ANY MTS								
B/E								
RATE 05 500% MATURES 10/15/10								
ACCRUED INTEREST \$580.55								
CUSIP 406216AR2								
Moody: A2 S&P: A								
EAL \$2,750 Current yield 5.28%								
Original cost basis \$52,426.00								
	Apr 28, 09	50,000,000	102.645	51,322.79	104.069	52,034.50	711.71	ST
UNILEVER CAPITAL CORP								
GLOBAL								
RATE 07 125% MATURES 11/01/10								
ACCRUED INTEREST \$47.50								
CUSIP 904764AG2								
Moody: A1 S&P: A+								
EAL \$285 Current yield 6.76%								
Original cost basis \$4,495.32								
	May 10, 05	4,000,000	102.083	4,083.31	105.409	4,215.36	133.02	LT
UNIT TECHNOLOGIES CORP								
NTS								
RATE 07 125% MATURES 11/15/10								
CALLABLE								
ACCRUED INTEREST \$45.52								
CUSIP 913017BC2								
Moody: A2 S&P: A								
EAL \$356 Current yield 6.75%								
Original cost basis \$5,682.45								
	May 31, 05	5,000,000	102.396	5,119.80	105.525	5,276.25	156.45	LT
TARGET CORP NTS								
RATE 05 350% MATURES 01/15/11								
ACCRUED INTEREST \$117.12								
CUSIP 87612EAC0								
Moody: A2 S&P: A+								
EAL \$254 Current yield 6.01%								
Original cost basis \$4,470.84								
	Nov 03, 04	4,000,000	102.187	4,087.50	105.596	4,223.84	136.34	LT

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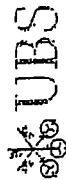


Account name: JANET BENTON TTEE
Account number: JG 19425 18

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DOW CHEMICAL CO								
GLOBAL NOTES								
RATE 06 125% MATURES 02/01/11								
ACCRUED INTEREST \$127.60								
CUSIP 260543BL6								
Moody Baa3 S&P BBB-								
EAI \$306 Current yield 5.88%								
Original cost basis \$4,416.84								
Original cost basis \$1,073.65								
Security total								
	Dec 15, 04	4,000.000	102.038	4,081.521	103.247	4,169.88	88.36	LT
	Jul 15, 05	1,000.000	101.587	1,015.87	103.247	1,042.47	26.60	LT
		5,000.000		5,097.39		5,212.35	114.96	
MCDONALDS CORP MW-20BP								
MTI								
RATE 06 000% MATURES 04/15/11								
ACCURED INTEREST \$63.33								
CUSIP 58013MDN13								
Moody A3 S&P A								
EAI \$300 Current yield 5.66%								
Original cost basis \$5,450.85								
	Jan 27, 05	5,000.000	102.069	5,103.461	105.955	5,298.25	194.79	LT
ALCOA INC MW-20BP NTS								
RATE 06 300% MATURES 06/01/11								
ACCURED INTEREST \$27.08								
CUSIP 013817AD3								
Moody Baa3 S&P BBB-								
EAI \$375 Current yield 6.16%								
Original cost basis \$5,492.20								
	Jun 15, 05	5,000.000	102.584	5,129.241	105.445	5,272.30	143.06	LT
CORIOLIS FUNDING CO								
FOREIGN SECURITY								
RATE 06 350% MATURES 10/15/11								
CALL@MMV+30BP								
ACCURED INTEREST \$67.02								
CUSIP 20825UAB0								
Moody A1 S&P A								
EAI \$318 Current yield 5.84%								
Original cost basis \$4,435.96								
Original cost basis \$1,104.32								
Security total								
	Dec 01, 04	4,000.000	103.161	4,126.471	108.796	4,351.84	225.37	LT
	Sep 01, 05	1,000.000	103.337	1,033.37	108.795	1,087.96	54.59	LT
		5,000.000		5,159.84		5,439.80	279.86	

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Portfolio Management Program
December 2009

Account name:
Account number:

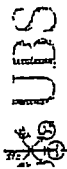
JANET BENTON TTEE
JG 19426 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HOJIEYVELL INTL INC RATE 06 125% MATURES 11/01/11 ACCURED INTEREST \$51.04 CUSIP 438516ANG Moody: A2 S&P: A EAI \$306 Current yield 5.62% Original cost basis: \$5,501.00	Jun 06, 05	5,000,000	103.170	5,158.50	109.011	5,450.55	292.05	LT
RAFT FOODS INC RTS RATE 05 625% MATURES 11/01/11 ACCURED INTEREST \$46.87 CUSIP 50075NA80 Moody: Baa2 S&P: BBB+ EAI \$281 Current yield: 5.30% Original cost basis: \$5,285.15	Jan 06, 05	5,000,000	101.711	5,085.55	106.206	5,310.30	224.75	LT
CHUBB CORP MW +20BP NTS RATE 06 000% MATURES 11/15/11 ACCURED INTEREST \$38.33 CUSIP 171232AF8 Moody: A2 S&P: A+ EAI \$300 Current yield 5.58% Original cost basis: \$5,361.40	Jul 08, 05	5,000,000	102.352	5,117.64	107.433	5,371.65	254.01	LT
CREDIT SUISSE FB USA INC CALL@MW+30BP RATE 06 500% MATURES 01/15/12 ACCURED INTEREST \$1,498.61 CUSIP 225411AC7 Moody: Aa1 S&P: A+ EAI \$3,250 Current yield 5.97% Original cost basis: \$53,611.75	Mar 09, 07	50,000,000	103.239	51,619.65	108.819	54,409.50	2,789.85	LT
QARLEVISION11 SYSTEMS CORP CALL@M.N+50BP RATE 08 000% MATURES 04/15/12 ACCURED INTEREST \$841.44 CUSIP 12586CAW9 Moody: B1 S&P: B+ EAI \$4,000 Current yield: 7.57%	Jan 10, 08	50,000,000	97.010	48,505.25	105.750	52,875.00	4,369.75	LT

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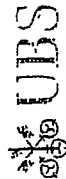


Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets, Fixed income, Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AOL TIME WARNER INC								
MW + 30BP								
RATE 06 875% MATURES 05/01/12								
ACCURED INTEREST \$572.91								
CUSIP 00184AAZ								
Moody: Baa2 S&P BBB								
EAL \$3,438 Current yield 6.28%								
Original cost basis \$54,320.00								
APR 30, 09		52,000.00	106.833	53,411.99	109.474	54,737.00	1,325.01	ST
COMCAST CORP SR SUB NOTE								
RATE 10 625% MATURES 07/15/12								
ACCURED INTEREST \$2,449.65								
CUSIP 200300AN1								
Moody: Baa2 S&P BBB								
EAL \$5,313 Current yield 9.00%								
Original cost basis \$56,111.25								
MAR 03, 09		50,000.00	109.472	54,736.13	117.992	58,996.00	4,259.87	ST
BOTTUNG GROUP LLC								
MW+15BP								
RATE 04 625% MATURES 11/15/12								
ACCURED INTEREST \$295.48								
CUSIP 10138NAB1								
Moody: Aa2 S&P A+								
EAL \$2,313 Current yield 4.31%								
MAR 09, 07		50,000.00	98.839	49,419.75	107.198	53,599.00	4,179.25	LT
J P MORGAN CHASE & CO								
NTS								
RATE 05 750% MATURES 01/02/13								
ACCURED INTEREST \$142.95								
CUSIP 16625HAT7								
Moody: A1 S&P A								
EAL \$288 Current yield 5.39%								
Original cost basis \$5,327.90								
JAN 25, 05		5,000.00	102.772	5,138.60	106.549	5,332.45	193.85	LT
COHS EDISON NTS								
RATE 04 875% MATURES 02/01/13								
ACCURED INTEREST \$101.56								
CUSIP 209111EA7								
Moody: A3 S&P A-								
EAL \$244 Current yield 4.57%								
Original cost basis \$5,071.00								
NOV 08, 04		5,000.00	100.595	5,029.78	105.562	5,328.10	298.32	LT

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Portfolio Management Program
December 2009

Account name:
Account number:

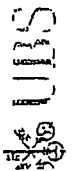
JANET BENTON TTEE
JG 19426 18

Your Financial Advisor:
JANES P. BUSTERUD
212-713-7800/800-225-2971

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VERIZON 1% IHC NIW+25BP IITS RATE 04 625% MATURES 03/15/13 ACCURED INTEREST \$68.09 CUSIP 92345NAA8 Moody Baa1 S&P A EAI 5231 Current yield 4.45%	Feb 16, 05	5,000,000	98.261	4,913.051	103.846	5,192.30	279.25	LT
WYETH NTS NIW+25BP RATE 05 500% MATURES 03/15/13 ACCURED INTEREST \$80.97 CUSIP 983021A-8 Moody A1 S&P A- EAI 5275 Current yield 5.06% Original cost basis: 55,270.75	Feb 09, 05	5,000,000	102.387	5,119.371	108.729	5,436.45	317.08	LT
SARA LEE CORP NOTES CALL@NIW+20BP RATE 03 875% MATURES 06/15/13 ACCURED INTEREST \$86.11 CUSIP 203111AQ6 Moody Baa1 S&P BBB+ EAI 51238 Current yield 3.79%	May 13, 08	50,000,000	95.035	47,517.751	102.246	51,123.00	3,505.25	LT
INTL BUSINESS MACH NTS RATE 05 500% MATURES 10/15/13 ACCURED INTEREST \$686.11 CUSIP 159200GN5 Moody A1 S&P A+ EAI 53,250 Current yield 5.69% Original cost basis: 552,152.75	Oct 31, 08	50,000,000	103.390	51,695.061	114.276	57,138.00	5,442.94	LT
BLUTH INDUSTRIES INC NTS NIW+20BP RATE 05 500% MATURES 11/01/13 ACCURED INTEREST \$805.00 CUSIP 09543PAB4 Moody B2 S&P B+ EAI 53,630 Current yield 6.47%	May 02, 07	66,000,000	90.007	59,405.251	85.000	56,100.00	3,305.25	LT

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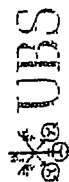


Account name JANET BENTON TTEE
Account number JG 19426 18

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Ticker	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HOUSEHOLD FIN INTERNOTES								
ADJ RATE MATURES 12/10/13								
CUSIP 44181EZZ4								
Moody A3 S&P A								
EAI \$2,365 Current yield 4.91%	Oct 15, 07	52,903.00	96,209	48,104.75	95.432	48,211.00	106.25	LT
AMERICAN GENL FIN CORP								
RATE 06 000% MATURES 11/15/14								
ACCURED INTEREST \$766.67								
CUSIP Q2639END2								
Moody B2 S&P BB+								
EAI \$6,000 Current yield 8.77%	Nov 15, 07	100,000.00	100,000	120,000.00	58.405	68,405.00	-31,595.00	LT
GOLDMAN SACHS GROUP INC								
RATE 05 500% MATURES 11/15/14								
ACCURED INTEREST \$702.78								
CUSIP 38141GCM4								
Moody A1 S&P A								
EAI \$5,500 Current yield 5.15%	Nov 14, 07	100,000.00	101,177	101,177.10	105.735	105,735.00	5,557.90	LT
Original cost basis \$101,606.25								
GOLDMAN SACHS GROUP INC								
NTS								
RATE 05 125% MATURES 01/15/15								
ACCURED INTEREST \$1,053.43								
CUSIP 38141GEA8								
Moody A1 S&P A								
EAI \$2,306 Current yield 4.88%	Jan 09, 07	5,000.00	99,855	11,333.55	105.075	47,283.75	2,344.20	LT
J P MORGAN CHASE & CO								
NTS								
RATE 05 250% MATURES 05/01/15								
ACCURED INTEREST \$402.50								
CUSIP 46625HAX8								
Moody A1 S&P A								
EAI \$2,115 Current yield 5.04%	Nov 14, 07	45,000.00	97,696	11,940.35	101.070	47,872.20	2,931.85	LT

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Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENITON TTEE
JG 19426 18

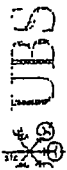
Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
IRON MITH								
RATE 06 625% MATURES 01/01/16								
ACCURED INTEREST \$828.12								
CUSIP 462846AC0								
bloody 82 S&P B+								
EAL \$1.696 Current yield 6.76%	Jan 10, 07	25,000,000	98.771	24,692.75	98.000	24,500.00	-192.75	LT
TIME WARNER INC								
CALL@NAVY F308P								
RATE 05 875% MATURES 11/15/16								
ACCURED INTEREST \$225.20								
CUSIP 887317AC9								
Moody Baa2 S&P BBB								
EAL \$1.763 Current yield 5.44%	Jan 10, 07	30,000,000	101.818	30,545.57	107.951	32,385.30	1,839.73	LT
Original cost basis: \$30,725.25								
TOYOTA MOTOR CREDIT CORP								
CALLABLE NOTES								
RATE 05 750% MATURES 02/17/17								
ACCURED INTEREST \$1,070.14								
CUSIP 892333PC46								
bloody Aa1 S&P AA								
EAL \$2.875 Current yield 5.73%	Apr 04, 07	50,000,000	100.975	50,487.86	100.412	50,206.00	-281.86	LT
Original cost basis: \$50,530.25								
HALLIBURTON INC								
S&P A A1								
RATE 07 530% MATURES 05/12/17								
ACCURED INTEREST \$1,568.75								
CUSIP 40621PAB5								
Moody A2 S&P A								
EAL \$3.765 Current yield 6.38%	Jan 11, 07	50,000,000	111.535	55,767.67	117.994	58,997.00	3,229.33	LT
Original cost basis: \$57,509.75								
LEHMAN BROS HLDGS INC								
DEFAULTED								
RATE 05 875% MATURES 11/15/17								
CUSIP 52517P325								
bloody W/R S&P Not rated								
Original cost basis: \$52,052.25	Apr 18, 07	50,000,000	103.278	51,639.08	19.500	9,750.00	-41,889.08	LT



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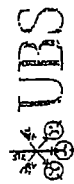


Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PNC BANK, NA NTS SER BKNT								
RATE 06 875% MATURES 04/01/18								
ACCURED INTEREST \$859.37								
CUSIP 693191AE8								
Moody: A2 S&P: A								
EAI \$3,438 Current yield 6.48%								
Original cost basis \$52,354.25	May 13, 05	50,000.000	103.112	52,065.051	105.142	53,071.00	1,014.95	LT
KIMBERLY CLARK CORP								
NEW T+10								
RATE 06 250% MATURES 07/15/18								
ACCURED INTEREST \$1,296.87								
CUSIP 494368ATO								
Moody: A2 S&P: A								
EAI \$2,813 Current yield 5.60%								
Original cost basis \$48,731.70	Jan 18, 07	45,000.000	106.610	47,974.531	111.601	50,220.45	2,245.92	LT
COCA COLA ENTERPRISES								
DEB NOTICALLABLE								
RATE 08 000% MATURES 09/15/22								
ACCURED INTEREST \$1,177.78								
CUSIP 181219AQ7								
Moody: A3 S&P: A								
EAI \$4,000 Current yield 6.44%								
Original cost basis \$61,991.75	Jan 24, 07	50,000.000	120.918	50,459.391	124.281	52,140.50	1,681.11	LT
MORGAN STANLEY MIV+Z08P								
RATE 06 250% MATURES 08/09/26								
ACCURED INTEREST \$2,465.28								
CUSIP 617468CY0								
Moody: A2 S&P: A								
EAI \$6,250 Current yield 6.04%								
Original cost basis \$101,291.25	Jan 15, 08	100,000.000	101.212	101,212.701	103.405	103,405.00	2,192.30	LT
AT&T CORP GLOBAL								
M+W +20 BP								
RATE 06 500% MATURES 03/15/29								
ACCURED INTEREST \$956.94								
CUSIP 001957AW9								
Moody: VwR S&P: A								
EAI \$3,250 Current yield 6.53%	Mar 19, 08	50,000.000	98.885	49,442.751	99.543	49,771.50	328.75	LT

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Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets • Fixed income • Corporate bonds and notes (continued)

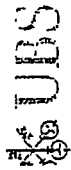
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CITIZENS CONJUNCTIONS NTS								
FLEX 150EP								
RATE 09 000% MATURES 08/15/31								
ACCURED INTEREST \$850.00								
CUSIP 174538A10								
Moody: Ba2 S&P: BB								
ECL 52.250 Current yield 9.16%								
Original cost basis: \$28,067.75								
Jan 10, 07		25,000.000	111.712	27,928.00 /	98.250	24,562.50	-3,365.50	LT
LEHMAN BROS HLDS IIIC								
DEFAULTED								
RATE 07 000% MATURES 10/04/32								
CUSIP 52519FEU1								
Moody: WFR S&P: Not rated								
Sep 24, 07		50,000.000	100.000	50,000.00 /	19.500	9,750.00	-40,250.00	LT
GOLDMAN SACHS CAPITAL I								
CALL@ 1W+20BP								
RATE 06 345% MATURES 02/15/34								
ACCURED INTEREST \$1,198.50								
CUSIP 38143VAA7								
Moody: A2 S&P: BBB								
Sep 19, 07		50,000.000	94.678	47,339.25 /	93.626	46,813.00	-1,526.25	LT
FIORELLI STANLEY NTS								
RATE 08 000% MATURES 02/23/37								
ACCURED INTEREST \$944.44								
CUSIP 617J5EUH2								
Moody: A2 S&P: A								
ECL 58.000 Current yield 10.27%								
Original cost basis: \$100,000.00								
Feb 14, 07		100,000.000	92.395	92,395.96 /	77.875	77,875.00	-14,520.96	LT
Total		\$1,800,000.000		\$1,800,489.77		\$1,717,608.15	-\$82,881.62	

Total accrued interest: \$32,705.25

Total estimated annual income: \$108,196

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services





Account name: JANET BENTON TTEE
Account number: JG 9426 1B

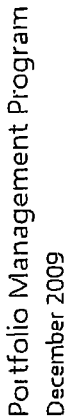
Your assets - Fixed income (continued)

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and is issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FHR 3319 CC								
RATE 05 5000% MATURES 02/15/37								
CURRENT PAR VALUE		25,000	97,000	24,252.62	98,576	24,544.00	391.38	LT
ACCURED INTEREST 311158								
CUSIP 31397HEP9								
EAI \$1,375 Current yield 5.58%	Jun 13, 07	25,000.00						
FHR 3374 DC								
RATE 05 7500% MATURES 06/15/37								
CURRENT PAR VALUE		2,898	100.750	2,919.83	99,391	2,886.14	-33.69	LT
ACCURED INTEREST \$13.88								
CUSIP 31397KLR7								
EAI \$167 Current yield 5.77%	Nov 28, 07	27,000.00						
FHR 3461 AA								
RATE 05 5000% MATURES 02/15/38								
CURRENT PAR VALUE		37,000	100.250	37,092.50	99,352	36,831.24	-258.26	LT
ACCURED INTEREST \$169.58								
CUSIP 31397TWU2								
EAI \$2,035 Current yield 5.52%	Jun 02, 08	37,000.00						
Total		\$102,000.00		564,264.95		564,364.38	\$99.43	
Total accrued interest:		\$298.04						
Total estimated annual income:		\$3,577						

¹ Indicates cost basis information has been provided by a source other than K&S Financial Services



Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your Financial Advisor
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets + Fixed income (continued)

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ISHARES BARCLAY'S TIPS BOND								
FUND								
Symbol TIP Exchange NYSE								
EA1 54.042 Current yield 3.89%	Sep 23, 09	1,000,000	101.750	101,750.37	103.900	103,900.00	2,149.63	ST
POY:ERSHARES DB US DLR II INDEX								
FUND								
Symbol UUP Exchange NYSE	Dec 18, 09	11,318,000	23.115	261,618.22	23.080	261,219.44	-398.78	ST
Total				\$363,368.59		\$365,119.44	\$1,750.85	

Total estimated annual income: \$4,042

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (5)	Value on Dec 31 (5)	Unrealized gain or loss (\$)	Investment return (5)	Holding period
BLACKROCK BOND PORTFOLIO									
CLASS A									
Trade date Mar 18, 09	5,681,818	8.800	50,000.00	50,000.00	9.260	52,613.63	2,613.63		ST
Total reinvested	183,821	9.121		1,676.72	9.260	1,702.18	25.46		
EAI \$2.452 Current yield 4.51%									
Security total	5,865,639		50,000.00	51,676.72		54,315.81	2,639.09	4,315.81	
JPMORGAN STRATEGIC									
INCOME OPPORTUNITIES									
FUND CLASS A									
Trade date Apr 28, 09	19,531,250	10.240	200,000.00	200,000.00	11.570	225,976.56	25,976.56		ST
Trade date Nov 3, 09	17,391,304	11.500	200,000.00	200,000.00	11.570	201,217.39	1,217.39		ST
EAI \$14.695 Current yield 3.44%									
Security total	36,922,554		400,000.00	400,000.00		427,193.94	27,193.95	27,193.95	
Total			\$450,000.00	\$451,676.72		\$481,509.75	\$29,833.04	\$31,509.75	

Total estimated annual income: \$17,147

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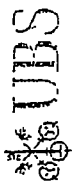
Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets • Fixed income (continued)

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CBS CORP NEW 6.750%								
DUE 03/27/56 CALLABLE								
Symbol: CPV Exchange NYSE								
EAI \$3.376 Current yield 8.00%	Mar 20, 07	2,000,000	25.000	50,000.00 ¹	21.090	42,180.00	-7,820.00	LT
COMCAST CORP 6.6250%								
DUE 05/15/56 CALLABLE								
Symbol: CCS Exchange NYSE								
EAI \$3.312 Current yield 6.85%	May 3, 07	2,000,000	25.000	50,000.00 ¹	21.180	48,360.00	-1,640.00	LT
MORGAN STANLEY CAP TR VIII 6.450%								
DUE 04/15/67 CALLABLE								
Symbol: MSK Exchange OTC								
EAI \$3.226 Current yield 7.52%	Apr 20, 07	2,000,000	25.000	50,000.00 ¹	21.150	42,900.00	-7,100.00	LT
Total				\$150,000.00		\$133,440.00	-\$16,560.00	
Total estimated annual income: \$9,914								

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services



Portfolio Management Program
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-215-2971

Your assets - Fixed income (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

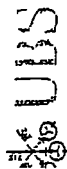
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FBI 1A BOHD								
RATE 6 0000% MATURES 05/15/11								
ACCRUED INTEREST \$84.33								
CUSIP 31359A1JH7								
EAL 5660 Current yield 5.60%								
Original cost basis \$12,262.46								
FBI 1A								
RATE 6 1250% MATURES 03/15/12								
ACCRUED INTEREST \$342.65								
CUSIP 31359A1MQ3								
EAL 51164 Current yield 5.56%								
Original cost basis \$14,488.11								
Original cost basis \$5,559.71								
Original cost basis \$1,094.53								
Security total								
		19,000,000	103,483	19,745.17	110,219	20,941.61	1,196.44	LT
FBI 1A								
RATE 4 6250% MATURES 10/15/14								
ACCRUED INTEREST \$126.93								
CUSIP 31359A1VJ8								
EAL 5601 Current yield 4.27%								
Original cost basis \$13,124.29								
Total		\$43,000,000	100,544	\$44,107.29	108,344	\$46,803.26	\$2,695.97	LT

Total accrued interest: \$553.91

Total estimated annual income: \$2,425

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services





Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets (continued)

Other

Mutual funds

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK GLOBAL ALLOCATION FUND INC A									
Trade date Apr 8, 08	13,551.051	19.743	269,471.94	269,471.94	17.890	241,217.48	-25,254.46		LT
Trade date Apr 22, 08	13,547.215	19.923	269,860.54	269,860.54	17.850	242,359.69	-27,500.85		LT
Trade date Jun 20, 08	40,512.715	19.370	784,731.30	784,731.30	17.850	724,772.49	-59,958.81		LT
Total reinvested	4,530.518	15.580	72,143.81	72,143.81	17.850	82,839.97	10,696.16		
EAI \$22.426 Current yield 1.73%									
Security total	72,341.511		1,324,063.78	1,396,207.59		1,294,189.63	-102,017.96	-29,874.15	
PIMCO GLOBAL MULTI-ASSET FUND CLASS A									
Trade date Apr 21, 09	105,042.217	9.520	1,000,000.00	1,000,000.00	10.940	1,149,159.66	149,159.66		ST
EAI \$18.109 Current yield 4.19%									
Total			\$2,324,063.78	\$2,396,207.59		\$2,443,349.29	\$47,141.70	\$119,285.51	
Total estimated annual income: \$70,535									

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services.

UBS Account JG-19428

	Cost	Market Value
Certificate of Deposits	\$ 433,897.14	\$ 431,344.56
Common Stock	\$ 8,909,404.53	\$ 8,289,620.27
Corporate Bonds & Fixed Income Securities	\$ 2,765,535.08	\$ 2,697,677.34
Government Securities	\$ 108,372.24	\$ 111,167.64
Total Accrued Interest		\$ 34,264
Total	\$ 12,217,208.99	\$ 11,564,074

THE FROG ROCK FOUNDATION

EIN:13-4127228

UBS - 18245 2010 Year End Summary of Market Value and Cost Basis

<u>Stocks</u>	12/31/2010 Cost Basis	12/31/2010 Market Value
CDs		
Bank of Baroda NY 0 4% Mat 3/3/11	98,000 00	97,992 16
CD HSBC Bank USA Kd DJIA due 6/9/11 *	127,617 28	111,720 00
Total CDs:	\$ 225,617.28	\$ 209,712.16

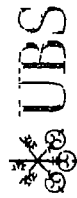
Corp Bonds		
MS Nts lkd to Bskt Currency due 3/30/11 *	114,237 54	101,500 00
Wells Fargo 5 3% due 8/26/11	20,652 91	20,614 00
Daimler Chrysler Hldg 5 75% 9/8/11	20,711 08	20,665 60
Amer Express Credit Corp 6 05% 9/15/11	20,750 59	20,481 20
Bank of America 7 125% Sub Notes 10/15/11	21,078 22	20,969 00
Citicorp 7 25% 10/15/11	52,615 03	51,991 50
Cigna Corp 6 375% due 10/15/11	20,962 99	20,861 60
Amer Intl Group 5 375% due 10/18/11	72,346 90	71,976 80
Con Nat Gas Co 6 25% due 11/1/11	52,496 97	52,114 50
Motorola Sr Nts 8 0% 11/1/11	29,686 73	29,488 48
PanCanadian Petroleum 6 3% due 11/1/11	26,117 88	26,133 25
Atlantic Richfield Co 8 375% due 11/15/11	26,738 56	26,304 75
Verizon 5 65% due 11/15/11	42,883 14	42,659 68
Alcoa 6 0% due 1/15/12	42,340 00	41,723 60
Verizon NJ 5 875% due 1/17/12	135,231 63	134,033 92
Genl Mills Inc 6 0% due 2/15/12	10,631 83	10,544 20
Southwest Airlines Co 6 5% Due 3/1/12	26,609 32	26,175 00
Blyth Industries Inc 5 5% due 11/1/13	14,401 27	15,680 00
Morgan Stanley 9 45% due 9/26/22 *	44,825 32	26,000 00
Boeing Co 7 25% due 6/15/25	24,259 33	24,264 20
Dell Computer 7 1% due 4/15/28	24,045 65	22,412 80
Deere & Co 6 55% due 10/1/28	24,043 52	23,485 60
Citizens Communications Notes 9% 8/15/31*	27,873 40	25,687 50
MS Nts 8 0% due 2/23/37*	89,443 04	72,000 00
Total Corp Bonds:	\$ 984,982.85	\$ 927,767.18

Fixed Income Securities		
Morgan Stanley linked to SPX due 5/25/12 *	100,000 00	97,650 00
Morgan Stanley due 6/26/17 *	122,765 01	68,676 00
Total Fixed Income Securities:	\$ 222,765.01	\$ 166,326.00

Total Corp Bonds & Fixed Income Securities	\$ 1,207,747.86	\$ 1,094,093.18
---	------------------------	------------------------

Total Portfolio: \$ 1,433,365.14 \$ 1,303,805.34

UBS - JG 18245 Summary	12/31/2010 Cost Basis	12/31/2010 Market Value
CDs	\$ 225,617 28	\$ 209,712 16
Corporate Bonds & Fixed Income Securities	\$ 1,207,747 86	\$ 1,094,093 18
Plus Accrued Interest		\$13,062 65
Cash/Cash Equivalent	\$62,658 09	\$62,658 09
Total	\$ 1,496,023 23	\$ 1,379,526 08



Resource Management Account
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 18245 18

Your Financial Advisor
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	27,604.82	62,658.09				

Cash alternatives

Structured Products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MORGAN STANLEY NTS LKD TO BSKT CUR RATE 00 0000% MATURES 03/30/11 Original cost basis \$100,000.00	Jun 23, 08	100,000.000	114.237	114,237.54 ¹	101.500	101,500.00	-12,737.54	LT

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BENTON TTEE
Account number: JG 18245 1B

Your assets (continued)

Equities

Structured products

There may be little or no secondary market for structured products. Prices are estimated. Values obtained from third parties or issuers and do not reflect adjustments taken to such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

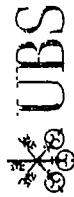
Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CD HSBC BANK USA DE								
LAD TO DJIA								
RATE 00 000% MATURES 06/09/11	Nov 16 05	100 000 000	127 617	127 617 281	111 720	111 720 00	-15 897 28	LT
Original cost basis \$100 000 00								
MORGAN STANLEY NTS								
8 000% 022337 DTD022307								
LAD TO INDEX								
ACCURED INTEREST \$844 44								
EAI \$8 000 Current yield 11 11%								
Original cost basis \$100 000 00	Feb 14 07	100 000 000	89 443	89 443 041	72 000	72 000 00	-17 443 04	LT
MORGAN STANLEY								
LINKED TO S&P 500 INDEX								
DUE 05/25/2012								
Exchange OTC								
Original cost basis \$100 000 00	Mar 23 07	10 000 000	10 000	100 000 001	9 755	97 650 00	-2 350 00	LT
MORGAN STANLEY								
5 4500%								
DUE 09/26/22								
Exchange OTC								
Original cost basis \$50 000 00	Jul 24 07	2 000 000	22 412	44 825 321	13 000	26 000 00	-18 825 32	LT
Total				\$361,885 64		\$307,370 00	-\$54,515 64	

Total estimated annual income: \$8,000

¹Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

THE FROG ROCK FOUNDATION

EIN:13-4127228



Resource Management Account
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 18245 18

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets (continued)

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BANK OF BARODA NY US								
RATE 00 4000% MAT 03/03/2011								
FIXED RATE CD								
ACCRUED INTEREST \$325.40								
CUSIP 060623555								
EAI \$392 Current yield 0.40%	Feb 22, 10	98,000.000	100.000	98,000.00	99.992	97,992.16	-7.84	ST

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

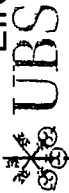
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WELLS FARGO & CO NEW								
RATE 05 300% MATURES 08/26/11								
ACCRUED INTEREST \$368.05								
CUSIP 949746NJ6								
Moody A1 S&P AA-								
EAI \$1,060 Current yield 5.14%								
Original cost basis \$21,113.05	Jul 12, 10	20,000.000	103.264	20,652.91	103.070	20,614.00	-38.91	ST
DAIMLERCHRYSLER NA HLDG								
NTS								
RATE 05 750% MATURES 09/08/11								
ACCRUED INTEREST \$360.97								
CUSIP 23383FBU8								
Moody A3 S&P B88+								
EAI \$1,150 Current yield 5.56%								
Original cost basis \$21,139.05	Jul 28, 10	20,000.000	103.555	20,711.08	103.328	20,665.60	-45.48	ST

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THE FROG ROCK FOUNDATION

EIN:13-4127228

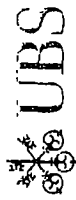


Account name: JANET BENTON TTEE
Account number: JG 18245 1B

Your assets - Fixed income - Corporate bonds and notes continued

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER EXPRESS CREDIT CORP								
NTS B/E								
RATE 06 050% MATURES 09/15/11								
ACCURED INTEREST \$356.27								
CUSIP 025862A24								
Moody: A- S&P: BBB+								
EAI \$1.210 Current yield 5.91%								
Original cost basis \$21.189.65								
JUL 28 10								
20,000.000								
103.752								
20,750.59								
102.406								
20,481.20								
-269.39								
ST								
JPMORGAN CHASE & CO								
SUB NOTES								
RATE 07 125% MATURES 10/15/11								
ACCURED INTEREST \$300.83								
CUSIP 066050C15								
Moody: A- S&P: A-								
EAI \$1.425 Current yield 6.80%								
Original cost basis \$21.483.45								
SEP 09 10								
20,000.000								
105.391								
21,078.22								
104.845								
20,969.00								
-109.22								
ST								
CITICORP SUB NOTES								
RATE 07 250% MATURES 10/15/11								
ACCURED INTEREST \$765.28								
CUSIP 173034G15								
Moody: Baa1 S&P: A-								
EAI \$3.625 Current yield 6.97%								
Original cost basis \$52.909.25								
NOV 23 10								
50,000.000								
105.230								
52,615.03								
103.983								
51,991.50								
-623.53								
ST								
CIGNA CORP MTN S&P: A++								
RATE 06 375% MATURES 10/15/11								
ACCURED INTEREST \$269.16								
CUSIP 125505B63								
Moody: Baa2 S&P: BBB								
EAI \$1.175 Current yield 6.11%								
Original cost basis \$21.925.25								
SEP 09 10								
20,000.000								
104.814								
20,962.99								
104.908								
20,861.60								
-101.39								
ST								
AMER INTL GROUP NTS B/E								
RATE 05 375% MATURES 10/15/11								
ACCURED INTEREST \$762.55								
CUSIP 022827Q87								
Moody: A3 S&P: A-								
EAI \$3.763 Current yield 5.23%								
Original cost basis \$22.607.85								
NOV 23 10								
20,000.000								
103.952								
22,346.90								
102.924								
21,976.20								
-370.70								
ST								

THE FROG ROCK FOUNDATION
EIN:13-4127228



Resource Management Account
December 2010

Account name:
Account number:

JANET BENTON TTEE
JG 18245 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets • Fixed income • Corporate bonds and notes (continued)

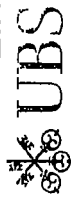
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CONS NAT GAS CO SR NTS								
MW+258P								
RATE 06 250% MATURES 11/01/11								
ACCRUED INTEREST \$520.83								
CUSIP 209615BX0								
Moody Baa2 S&P A-								
EAI \$3.125 Current yield 6.00%								
Original cost basis \$53,369.25								
	Sep 13, 10	50,000,000	104,993	52,496.97 ✓	104,229	52,114.50	-382.47	ST
MOTOROLA INC SR NTS B/E								
RATE 08 000% MATURES 11/01/11								
ACCRUED INTEREST \$373.33								
CUSIP 620076AX7								
Moody Baa3 S&P BB+								
EAI \$2.240 Current yield 7.60%								
Original cost basis \$29,928.01								
	Nov 15, 10	28,000,000	106,024	29,686.73 ✓	105,316	29,488.48	-198.25	ST
PANCANADIAN PETROLEUM								
FOREIGN SECURITY								
RATE 06 300% MATURES 11/01/11								
MW+308P								
CALLABLE								
ACCRUED INTEREST \$262.50								
ISIN US698900AF47								
Moody Baa2 S&P BB+								
EAI \$1.575 Current yield 6.03%								
Original cost basis \$26,656.00								
	Aug 03, 10	25,000,000	104,471	26,117.88 ✓	104,533	26,133.25	15.37	ST
ATLANTIC RICHFIELD CO								
MTN SER B B/E								
RATE 08.375% MATURES 11/15/11								
ACCRUED INTEREST \$267.53								
CUSIP 04882PBS7								
Moody A2 S&P A								
EAI \$2.094 Current yield 7.96%								
Original cost basis \$27,406.75								
	Aug 26, 10	25,000,000	106,954	26,738.56 ✓	105,219	26,304.75	-433.81	ST

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THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name
JANET BENTON TTEE
Account number
JG 18245 18

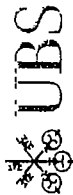
Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity \$	Purchase price \$	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VERIZON FUND GLOBAL NTS								
MW + 30BP								
RATE 05 000% MATURES 11/15/11								
ACCURED INTEREST \$295 99								
CUSIP 923441TAA6								
Moody Baa1 S&P A-								
EAI \$2 317 Current yield 5 43%								
Original cost basis \$43,146 68								
	Nov 12 10	11 000 000	104 553	-2 883 14	104 048	42 659 68	-223 46	ST
ALCOA INC GLOBAL								
MW + 20BP B/E								
RATE 06 000% MATURES 01/15/12								
ACCURED INTEREST \$1,106 66								
CUSIP 013817AF8								
Moody Baa3 S&P BBB-								
EAI \$2,400 Current yield 5 75%								
Original cost basis \$42 611 85								
	Nov 12 10	40 000 000	105 850	42 340 00	104 309	41,723 60	-616 40	ST
VERIZON NEW JERSEY INC								
MW + 30BP								
RATE 05 875% MATURES 01/17/12								
ACCURED INTEREST \$3,425 77								
CUSIP 92344UAA3								
Moody Baa1 S&P A-								
EAI \$7 520 Current yield 5 61%								
Original cost basis \$26,790 25								
Original cost basis \$42 519 65								
Original cost basis \$66,932 04								
Security total								
	Sep 02 10	25 000 000	105 510	26 377 62	104 714	26 178 50	-199 12	ST
	Nov 12 10	40 000 000	105 640	42 256 36	104 714	41,885 60	-370 76	ST
	Nov 22 10	63 000 000	105 710	66 597 65	104 714	65 969 82	-627 83	ST
		128 000 000		135 231 63		134 033 92	-1,197 71	
GENL MILLS INC MW + 20BP								
NTS								
RATE 06 000% MATURES 02/15/12								
ACCURED INTEREST \$226 66								
CUSIP 370334453								
Moody Baa1 S&P BBB+								
EAI \$600 Current yield 5 65%								
Original cost basis \$10 808 05								
	Sep 02 10	10 000 000	105 318	10 651 83	105 442	10 544 20	-87 63	ST

continued next page

THE FROG ROCK FOUNDATION

EIN:13-4127228



Resource Management Account
December 2010

Account name: JAMET BENTON TTEE
Account number: JG 18245 18

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

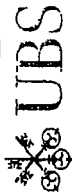
Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SOUTHWEST AIRLINES CO								
MW+258P NTS								
RATE 06 500% MATURES 03/01/12								
ACCURED INTEREST \$541 66								
CUSIP 844741AV0								
Moody: Baa3 S&P: BBB								
EAI \$1,625 Current yield 6 21%								
Original cost basis \$27,039 75	Sep 02, 10	25,000 000	106 437	26,609 32	104 700	26,175 00	-434 32	ST
BLYTH INDUSTRIES INC NTS								
MW + 20 BP								
RATE 05 500% MATURES 11/01/13								
ACCURED INTEREST \$146 66								
CUSIP 09643PAB4								
Moody: B3 S&P: B+								
EAI \$880 Current yield 5.61%	May 02, 07	16,000 000	90 007	14,401 27	98 000	15,680 00	1,278 73	LT
BOEING CO								
DEBS								
RATE 07 250% MATURES 06/15/25								
ACCURED INTEREST \$64 44								
CUSIP 097023AM7								
Moody: A2 S&P: A								
EAI \$1,450 Current yield 5 98%								
Original cost basis \$24,378 05	May 19, 10	20,000 000	121 296	24,259.33	121 321	24,264 20	4 87	ST
DELL COMPUTER CORP								
15BP								
RATE 07 100% MATURES 04/15/28								
ACCURED INTEREST \$299 77								
CUSIP 247025AE9								
Moody: A2 S&P: A-								
EAI \$1,420 Current yield 6 34%	May 19, 10	20,000.000	120 228	24,045 65	112 064	22,412 80	-1,632 85	ST
Original cost basis \$24,131 45								continued next page



THE FROG ROCK FOUNDATION

EIN:13-4127228



Account name: JANET BENTON TTEE
Account number: JG 18245 18

Your assets • Fixed income • Corporate bonds and notes continued.

Holding	Trade date	Total face value at maturity \$	Purchase price \$	Cost basis \$	Price on Dec 31 \$	Value on Dec 31 \$	Unrealized gain or loss \$	Holding period
DEERE & CO B/E								
RATE 06 550% MATURES 10/01/28								
ACCURED INTEREST \$37.50								
CUSIP 244199-1A5								
Moody A2 S&P A								
E-1 51 310 Current yield 5.58%								
Original cost basis \$24,129.85								
TRA 19 10		20,000.000	120.21	24,043.52	117.428	23,455.60	-557.92	ST
CITIZENS CORP BUNCTNS NTS								
PL -50BP								
RATE 09 000% MATURES 08/15/31								
ACCURED INTEREST \$850.00								
CUSIP 174538-J0								
Moody Baa2 S&P BB								
E-1 52 250 Current yield 8.75%								
Original cost basis \$28,067.75		25,000.000	111.453	27,873.401	102.750	25,687.50	-2,185.90	LT
Jan 10 07								
Total		\$693,000.000		\$736,476.95		\$728,267.18	-\$8,209.77	

Total accrued interest: \$11,892.81

Total estimated annual income: \$44,314

* indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

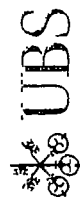
Other

Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken on such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you could be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share \$	Cost basis \$	Price per share on Dec 31 \$	Value on Dec 31 \$	Unrealized gain or loss \$	Holding period
MORGAN STANLEY								
Exchange OTC								
Original cost basis \$100,000.00								
Mar 23 07		4,000.000	30.591	122,365.011	17.169	68,676.00	-54,689.01	LT

* indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



Resource Management Account
December 2010

Account name: JANET BENTON TTEE
Account number: JG 18245 18

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	62,658.89	4.54%	62,658.89		
Cash alternatives	101,500.00	7.36%	114,237.54		-12,737.54
Equities	307,370.00		361,885.64	8,000.00	-54,515.64
Total accrued interest	844.44				
Total equities	308,214.44	22.34%	361,885.64	8,000.00	-54,515.64
Fixed income					
Certificates of deposits	97,992.16		98,000.00	392.00	-7.84
Corporate bonds and notes	728,267.18		736,476.95	44,314.00	-8,209.77
Total accrued interest	12,218.21				
Total fixed income	838,477.55	60.78%	834,476.95	44,706.00	-8,217.61
Other	68,676.00	4.98%	122,765.01		-54,089.01
Total	\$1,379,526.08	100.00%	\$1,496,023.23	\$52,706.00	-\$129,559.80

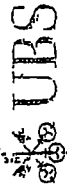
Account activity this month

Date	Activity	Description	Amount (\$)
Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/10	34.86
Dec 15	Interest	BOEING CO 07 250% 061525 DTD070793 FC121593 DEBS PAID ON 20000	725.00
Dec 29	Interest	CBS CORP B/E 06 625% 051511 DTD051701 FC111501	404.86
	Total taxable interest		\$1,164.72
	Total dividend and interest income		\$1,164.72
Check number	Date	Description	Amount (\$)
001353	Dec 10	ELISABETH C NAMAN	-88.06
001354	Dec 10	ELISABETH C NAMAN	-4,500.00
001355	Dec 14	EISNERAMPER LLP	-12,700.00
	Total checks		-\$17,288.06



UBS 18245

<u>Stocks</u>	Quantity	12/31/2009 Cost Basis	12/31/2009 Market Value
CDs			
CD HSBC Bank USA Kd DJIA due 6/9/11	100,000	\$ 121,613 90	\$ 110,050 00
Caroline First Bank SC 0 75% Mat 03/01/10	98,000	\$ 98,000 00	\$ 98,000 00
Total CDs.		\$ 219,613 90	\$ 208,050 00
Corp Bonds			
MS Nts lkd to Bskt Currency due 3/30/11	100,000	\$ 108,328 21	\$ 101,875 00
Morgan Stanley 9 45% due 9/26/22	2,000	\$ 46,459 61	\$ 28,080 00
Total =		\$ 154,787 82	\$ 129,955 00
Fixed Income Securities			
Morgan Stanley linked to SPX due 5/25/12	10,000	\$ 105,672 54	\$ 93,550 00
Morgan Stanley due 6/26/17	4,000	\$ 115,942 68	\$ 58,000 00
Total =		\$ 221,615 22	\$ 151,550 00
Total Corp Bonds & Fixed Income Securities		\$ 376,403 04	\$ 281,505 00
Total Portfolio.		\$ 596,016 94	\$ 489,555 00



Resource Management Account
December 2009

Account name:
Account number:

JANET BENTON TTEE
JG 18245 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	154,245.53	248,964				

Cash alternatives

Structured Products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MORGAN STANLEY NTS LKD TO BSKT CUR RATE 00 000% MATURES 03/30/11 Symbol: AZ Exchange: A	Jun 23, 08	100,000.000	108.328	108,328.21 ¹	101.875	101,875.00	-6,453.21	LT

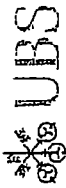
¹ Indicates cost basis information has been provided by a source other than UBS Financial Services.



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STATEMENT 8(B)

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Page 5 of 10



Account name: JANET BENTON TTEE
Account number: JG 18245 18

Your assets (continued)

Equities

Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CD HSBC BANK USA DE								
LKD TO DJIA								
RATE 00 000% MATURES 06/09/11								
Symbol: Aa3 Exchange AA	Nov 16, 05	100,000 000	121 613	121,613 90	110.050	110,050.00	-11,563 90	LT
MORGAN STANLEY								
LINKED TO S&P 500 INDEX								
DUE 05/25/2012								
Exchange: OTC	May 23, 07	10,000 000	10 000	105,672.54	9 355	93,550.00	-6,450 00	LT
MORGAN STANLEY								
9 4500%								
DUE 09/26/22								
Exchange: OTC	Jul 24, 07	2,000 000	23 225	46,459 61	14 040	28,080 00	-18,379 61	LT
Total				273,746.05		\$231,680.00	-\$36,393.51	

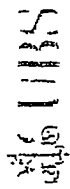
*Indicates cost basis information has been provided by a source other than UBS Financial Services

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CAROLINA FIRST BK SC US								
RATE 00 7500% MAT 03/01/2010								
FIXED RATE CD								
ACCURED INTEREST \$434.96								
CUSIP 143876A59								
EAI \$556 Current yield 0 75%	May 21, 09	98 000 000	100 000	98,000 00	100 000	98,000 00		ST



Resource Management Account
December 2009

Account name:
Account number:

JANET BENTON FTEE
IG 18245 1B

Your Financial Advisor:
JAMES P. BUSTARRIN
212-713-7800/800-225-2971

YOUR ASSETS (continued)

Other

Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EURO-STAR STAFFEY	May 23, 07	4,000,000	28.985	115,942.68 ¹	11,500	58,000.00	-57,942.68	LI
Exchange UTC								

¹Indicates cost basis information has been provided by a source other than UBS Financial Services

Stocks

	Quantity	12/31/2009 Cost Basis	12/31/2009 Market Value
Certificate of Deposits		\$ 219,613.90	\$ 208,050.00
Corporate Bonds & Fixed Income Securities		\$ 376,403.04	\$ 281,505.00
Total Accrued Interest			434.96
Total:		\$ 596,016.94	\$ 489,989.96

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR YEAR ADJUSTMENT	20,000.
TOTAL	<u>20,000.</u>

THE FROG ROCK FOUNDATION

13-4127228

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JANET INSKEEP BENTON 30 FROG ROCK ROAD ARMONK, NY 10504	PRESIDENT	0.	0.	0.
ELISABETH C. NAMAN 87 DEVOE ROAD CHAPPAQUA, NY 10514	TRUSTEE	13,500.	0.	0.
GRETCHEN REISON 251 HAMILTON ROAD CHAPPAQUA, NY 10514	TRUSTEE	0.	0.	0.
GRAND TOTALS		13,500.	0.	0.

• THE FROG ROCK FOUNDATION

13-4127228

ATTACHMENT 11

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE FROG ROCK FOUNDATION

P.O. BOX 865

CHAPPAQUA, NY 10514



THE FROG ROCK FOUNDATION

GRANT GUIDELINES

Areas of Giving

Since its inception, Frog Rock has supported organizations in Westchester County serving economically disadvantaged children. Initially, our grantmaking was broad in focus, providing funding in areas ranging from medical and mental health services to educational remediation and recreation programs. This open-ended grantmaking strategy enabled us to learn about the needs of the children we wish to help and introduced us to many wonderful service providers in the county.

In an effort to increase the impact of our grantmaking, Frog Rock's grant focus has narrowed. The Foundation continues to fund only programs serving economically disadvantaged children, but currently focuses its support in two specific areas:

- **Early learning and development** - Programs and services for young children, primarily ages 0 - 5, and their parents, which address social, emotional and cognitive development needs
- **Intellectual and personal enrichment** - Programs which utilize innovative tools and settings involving the arts, science and history to expand opportunities for learning and growth beyond academic basics

While we have historically funded programs in the area of Youth Development, further funding in this area has been suspended pending development of a more fully-informed grant strategy.

Frog Rock will consider grants of \$10,000 and higher, as well as multi-year grants. We support organizations that are tax-exempt under Section 501(c)(3) of the Internal Revenue Service Code and which are not classified as foundations under Section 509(a) of the Code.

The Frog Rock Foundation does not make grants to individuals. In addition, Frog Rock will not award grant support to organizations which, in their constitution, bylaws or practices, discriminate against a person or group on the basis of race, national origin, ethnicity, gender, disability, sexual orientation, political affiliation or religious belief, or which engage in promotion of any religious affiliation. (Grantees may target services to a particular population when the targeted groups require specialized programs to meet specific needs not shared by the general population.)

Grant Process

The grant process consists of four phases: Letter of Inquiry, Full Proposal and Due Diligence Review, Quarterly Grant Decision, and Evaluation and Assessment.

- **Letter of Inquiry** - All applicants are required to submit an initial letter of inquiry. It should be no more than two pages, with no attachments or other materials, and should include the following information:
 - Very brief description of organization's mission
 - Description of proposed project, the need it addresses, and the nature and size of the population to be served
 - Anticipated length of project
 - Expected outcomes and method of evaluation
 - Grant amount requested, total project budget, and other committed and projected funding sources
 - Names and phone numbers of two references
 - Email address of grant applicant

An inquiry's success in this initial screening depends on its consistency with grant guidelines, demonstration of a successful track record or a high likelihood of success, and the degree of fit with the Foundation's interests. We may ask to have an introductory meeting to become more familiar with the organization's programs and its management. Because the Foundation receives many more inquiries than can be funded, not all grants which meet our criteria will be pursued.

- **Full Proposal and Due Diligence Review** - Those organizations which pass the initial screening will be asked to submit a full proposal. We are likely to require additional

STATEMENT 11(A)

meetings and information at this point, and will usually make a site visit. These steps will help deepen our understanding of the proposal, as well as provide input for our due diligence review.

- **Quarterly Grant Decisions** - The final phase of pre-grant review takes place at the Foundation's quarterly grant decision meeting, where grant proposals are weighed against each other as well as against Foundation goals and resources.

- **Timetable**

Letter of Inquiry - Rolling submission, no deadline

Full Proposal - Submission of full proposal with all supporting documentation is due 6 weeks prior to each quarterly grant decision meeting.

<u>Full Proposal Submission Deadline</u>	<u>Decision Meeting</u>
February 1	March 15
May 1	June 15
September 1	October 15
November 1	December 15

Applicants will be notified of grant decisions by mail within two weeks of the decision meeting.

- **Evaluation and Assessment** - We require grantees to conduct a grant evaluation and report on the results. This report will identify accomplishments vs. objectives, and changes required to improve delivery. In most cases the Foundation will make at least one site visit during the grant period.

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THE FROG ROCK FOUNDATION

13-4127228

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WESTCHESTER JEWISH COMMUNITY SERVICES WHITE PLAINS, NY 10602	N/A PUBLIC	GENERAL SUPPORT	225,000
WESTCOP - WESTCHESTER COMM OPPORTUNITY PROGRAM WHITE PLAINS, NY 10602	N/A PUBLIC	GENERAL SUPPORT	25,000
HUDSON RIVER MUSEUM OF WESTCHESTER YONKERS, NY 10701	N/A PUBLIC	GENERAL SUPPORT	15,000
PARENTS PLACE 3 CARHART AVENUE WHITE PLAINS, NY 10605-1403	N/A PUBLIC	GENERAL SUPPORT	7,500
WESTCHESTER CHILDREN'S ASSOCIATION 845 NORTH BROADWAY WHITE PLAINS, NY 10603	N/A PUBLIC	GENERAL SUPPORT	50,000
HUDSON VALLEY SHAKESPEARE FESTIVAL, INC 155 MAIN STREET COLD SPRING, NY 10516	N/A PUBLIC	GENERAL SUPPORT	30,000

ATTACHMENT 12

THE FROG ROCK FOUNDATION

13-4127228

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PROBONO PARTNERSHIP 237 MAMARONECK AVENUE SUITE 300 WHITE PLAINS, NY 10605	N/A PUBLIC	GENERAL SUPPORT	50,000
THE GUIDANCE CENTER 70 GRAND STREET NEW ROCHELLE, NY 10801	N/A PUBLIC	GENERAL SUPPORT	216,000
LOIS BRONZ CHILDREN'S CENTER 30 MANHATTAN AVENUE WHITE PLAINS, NY 10607	N/A PUBLIC	GENERAL SUPPORT	15,000
JACOB BURNS FILM CENTER 364 MANVILLE ROAD PLEASANTVILLE, NY 10570	N/A PUBLIC	GENERAL SUPPORT	90,000
ANDRUS CHILDREN'S CENTER 1156 NORTH BORADWAY YONKERS, NY 10701	N/A PUBLIC	GENERAL SUPPORT	62,500
TOTAL CONTRIBUTIONS PAID			786,000

ATTACHMENT 12

THE FROG ROCK FOUNDATION

13-4127228

FORM 990 PF, PART XIII-DISTRIBUTION FROM CORPUS ELECTION

Pursuant to Regulation Section 54.4942(a)-3(c)(2)(iv), the Frog Rock Foundation hereby makes an election to treat the 2010 qualifying distribution as a distribution out of corpus in a designated prior taxable year (or years) under Regulation Section 54.4942(a)-3(d)(1)(iii).

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ X
 - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization THE FROG ROCK FOUNDATION	Employer identification number 1 3 4 1 2 7 2 2 8
	Number, street, and room or suite no. If a P.O. box, see instructions. PO BOX 865	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHAPPAQUA NY 10514	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **THE FROG ROCK FOUNDATION**

Telephone No. ►

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15/2011** to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 **10** or
- ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 15,647
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 15,647
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).**

Enter the Return code for the return that this application is for (file a separate application for each return)

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STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- 4 I request an additional 3-month extension of time until 11/15/2011.
- 5 For calendar year 2010, or other tax year beginning , 20, and ending , 20.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension Awaiting third party information necessary for filing a complete and accurate return

Signature and Verification

Signature _____ Title _____ Date _____

Form 8868 (Rev. 1-2011)