



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation KLEIN FAMILY FOUNDATION C/O ABRAHAM N. KLEIN		A Employer identification number 13-4092608
Number and street (or P O box number if mail is not delivered to street address) C/O PARK TERRACE CARE CENTER 109-40 SAULTELL AVE		B Telephone number (see page 10 of the instructions) () -
City or town, state, and ZIP code CORONA, NY 11368		C If exemption application is pending, check here ☐ D 1 Foreign organizations check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 43,537,245.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	2,480,000.			
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,633,956.	1,498,280.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	455,661.			
b Gross sales price for all assets on line 6a	5,691,668.			
7 Capital gain net income (from Part IV, line 2)		455,661.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,124.	1,124.		ATCH 2
12 Total. Add lines 1 through 11	4,570,741.	1,955,065.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	3,500.	1,750.	0.	1,750.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	59,529.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	104,676.	17,095.		750.
24 Total operating and administrative expenses. Add lines 13 through 23	167,705.	18,845.	0.	2,500.
25 Contributions, gifts, grants paid	1,129,064.			1,129,064.
26 Total expenses and disbursements. Add lines 24 and 25	1,296,769.	18,845.	0.	1,131,564.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	3,273,972.			
b Net investment income (if negative, enter -0-)		1,936,220.		
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 3

Form 990-PF (2010)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	2,916,351.	2,209,490.	2,209,490.	
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) **	14,088,090.	19,600,748.	19,690,012.	
	b	Investments - corporate stock (attach schedule) ATCH 6	18,594,058.	17,613,870.	17,551,746.	
	c	Investments - corporate bonds (attach schedule) ATCH 7	4,354,232.	3,880,325.	3,827,813.	
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule)				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 8	334,370.	234,917.	234,917.	
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule)				
15	Other assets (describe ▶ ATCH 9)	1,544.	23,267.	23,267.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	40,288,645.	43,562,617.	43,537,245.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	40,288,645.	43,562,617.		
30	Total net assets or fund balances (see page 17 of the instructions)	40,288,645.	43,562,617.			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	40,288,645.	43,562,617.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,288,645.
2	Enter amount from Part I, line 27a	2	3,273,972.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	43,562,617.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	43,562,617.

**ATCH 5

Form 990-PF (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	455,661.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	897,260.	31,317,392.	0.028651
2008	1,127,590.	28,033,245.	0.040223
2007	1,769,468.	22,766,974.	0.077721
2006	673,350.	17,239,553.	0.039058
2005	743,344.	13,250,715.	0.056098
2 Total of line 1, column (d)			2 0.241751
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.048350
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 40,564,266.
5 Multiply line 4 by line 3			5 1,961,282.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 19,362.
7 Add lines 5 and 6			7 1,980,644.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 1,131,564.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	38,724.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	38,724.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	38,724.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	44,722.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	31,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	75,722.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	36,998.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ 36,998. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. ATTACHMENT 10		X

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ FAIRVIEW NURSING CARE CENTER Telephone no ☐ (718) 263-4600			
	Located at ☐ 69-70 GRAND CENTRAL PARKWAY FLUSHING, NY ZIP + 4 ☐ 11375			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,276,773.
b	Average of monthly cash balances	1b	620,580.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	284,643.
d	Total (add lines 1a, b, and c)	1d	41,181,996.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	41,181,996.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	617,730.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	40,564,266.
6	Minimum investment return. Enter 5% of line 5	6	2,028,213.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,028,213.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	38,724.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	38,724.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,989,489.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,989,489.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,989,489.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,131,564.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,131,564.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,131,564.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,989,489.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			697,264.	
b Total for prior years 20 08, 20 07, 20 06				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				0.
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 1,131,564.				
a Applied to 2009, but not more than line 2a			697,264.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				434,300.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				1,555,189.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010	0.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2010

(b) 2009

(c) 2008

(d) 2007

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

ABRAHAM KLEIN, SARA DINA KLEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 12				
Total ▶ 3a				1,129,064.
b Approved for future payment				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 29 to verify calculations)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

KLEIN FAMILY FOUNDATION
C/O ABRAHAM N. KLEIN

Employer identification number

13-4092608

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230	\$ 215,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	NORTHERN MANHATTAN NURSING 116 EAST 125TH STREET NEW YORK, NY 10035	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	FAIRVIEW NURSING CENTER INC 69-70 GRAND CENTRAL PARKWAY FOREST HILL, NY 11375	\$ 1,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	BEACH TERRACE CARE CENTER 640 WEST BROADWAY LONG BEACH, NY 11561	\$ 40,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	GRANDELL REHABILITATION 645 WEST BROADWAY LONG BEACH, NY 11561	\$ 350,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	QUEENS NASSAU NURSING HOME 520 BEACH 19TH STREET FAR ROCKAWAY, NY 11691	\$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	PARK TERRACE CARE CENTER 59-20 VAN DOREN STREET REGO PARK, NY 11368	\$ 82,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
8	OCEANSIDE CARE CENTER 2914 LINCOLN AVENUE OCEANSIDE, NY 11572	\$ 82,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
9	MANHATTAN NURSING HOME REALTY INC 116 EAST 125TH STREET NEW YORK, NY 10035	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
10	CHANA BRAUNER 614 AVENUE J BROOKLYN, NY 11230	\$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					24,180.	
66,006.		BNP PARIBAS LINKED TO GS GLOBAL 99,250.					06/05/2008 -33,244.	06/21/2010
656,387.		WELLS FARGO #0357 - ST (SEE ATTACHMENT D 638,507.					01/02/2010 17,880.	12/31/2010
4,569,190.		WELLS FARGO #0357 - LT (SEE ATTACHMENT D 4,195,272.					01/02/2009 373,918.	12/31/2010
365,470.		WELLS FARGO #3558 - LT (SEE ATTACHMENT D 294,738.					01/02/2009 70,732.	12/31/2010
1,929.		-5 CALL INTL BUSINESS MACH					07/14/2010 1,929.	08/21/2010
3,964.		-40 CALL EMC CORP					03/09/2010 3,964.	04/17/2010
1,369.		-5 CALL INTL BUSINESS MACH					09/13/2010 1,369.	10/16/2010
1,209.		-5 CALL INTL BUSINESS MACH					12/01/2009 1,209.	01/16/2010
1,964.		-20 CALL MICROSOFT CORP					12/03/2009 1,964.	01/16/2010
		LONGVIEW K-1 8,240.					-8,240.	
TOTAL GAIN (LOSS)							<u>455,661.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
LIPPER CONVERTIBLES LP	10.	10.
LONGVIEW	11,670.	11,670.
GOLDMAN SACHS	1,634.	1,634.
WELLS FARGO I	1,350,796.	1,215,120.
WELLS FARGO II	252,973.	252,973.
WELLS FARGO I - BOND DISCOUNT	16,873.	16,873.
TOTAL	<u>1,633,956.</u>	<u>1,498,280.</u>

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NONDIVIDEND DISTRIBUTIONS	841.	841.
ROYALTIES	283.	283.
TOTALS	1,124.	1,124.

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL TAXES PAID	45,000.
FOREIGN TAXES PAID	12,787.
TAX EXPENSE FROM INVESTMENT	1,742.
TOTALS	<u>59,529.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS FILING FEE	750.		750.
LONGVIEW K-1 PORTFOLIO EXP	5,510.	5,510.	
LIPPER K-1 PORTFOLIO EXP	10,835.	10,835.	
BANK CHARGES	750.	750.	
BOOK/TAX DIFFERENCES	86,831.		
TOTALS	104,676.	17,095.	750.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 5

DESCRIPTION

<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
------------------------------	-----------------------

WF I - MUNI BONDS - ATT. 5.1	2,813,747.	2,987,928.
WF I-TAXABLE MUNI BDS-ATT. 5.2	16,787,001.	16,702,084.

US OBLIGATIONS TOTAL	<u>19,600,748.</u>	<u>19,690,012.</u>
----------------------	--------------------	--------------------

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PACIFIC BELL DEBENTURE CPN 6.625% DUE 10/15/34 DTD 10/15/93 FC 04/15/94 CALL 10/15/13 @ 101.120 Moody A2, S&P A- CUSIP 694032AX1 Acquired 02/09/06 L	0.44	125,000	102.25	127,812.50	101.3400	126,675.00	-1,137.50	1,748.26	8,281.25	6.53
GENERAL ELEC CAP CORP SENIOR NOTES CPN 5.875% DUE 01/14/38 DTD 01/14/08 FC 07/14/08 Moody AA2, S&P AA+ CUSIP 36962G3P7 Acquired 08/22/08 L	0.72	200,000	92.10	184,210.00	104.6640	209,328.00	25,118.00	5,450.69	11,750.00	5.61
Total Corporate Bonds	13.16	3,888,000		\$3,880,325.08 \$3,873,319.26		\$3,827,812.77	-\$52,512.31	\$38,692.12	\$225,288.75	5.89

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP^ PARTIAL CALL 34073N-Q6-0 B/E GNMA/FNMA/FHLMC CPN 5.150% DUE 01/01/11 DTD 08/17/06 FC 01/01/07 Moody AA1, S&P AA+ CUSIP 340769900 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/07/09 L	0.22	65,000	81.87 81.40	53,220.64 52,910.00	100.5260	65,341.90	12,121.26	N/A	N/A	N/A

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER. 1594-0357

**Fixed Income Securities
Municipal Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PHOENIX AZ & PIMA CNT ^A PARTIAL CALL 71881L-AD-0 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 01/01/11 DTD 03/20/07 FC 05/01/07 Moody AAA, S&P NR CUSIP 718825920 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/26/09 L	0.01	2,100	84.14 83.69	1,766.97 1,757.56	100.6730	2,114.13	347.16	N/A	N/A	N/A
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 0.000% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/02/11 @ 100.000 Moody A1, S&P NR CUSIP 649845FT6 Acquired 07/29/08 L	2.49	800,000	100.00	800,000.00	90.6000	724,800.00	-75,200.00	N/A	N/A	N/A
NEW YORK ST HSG FIN AGY MLTI FMLY HSG RVKENSICO TERRACE APTS A B/E AMT CPN 4.750% DUE 08/15/26 DTD 11/01/06 FC 02/15/07 CALL 08/15/16 @ 100.000 Moody AA1, S&P NR CUSIP 64986EAH6 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/14/09 L	2.20	685,000	86.08 85.08	589,651.52 582,825.40	93.4440	640,091.40	50,439.88	12,291.94	32,537.50	5.08



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities
Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED		
									ANNUAL INCOME	ANNUAL YIELD (%)	
NEW YORK ST MTG AGY RV											
SR 101 B/E LT AMT											
CPN 5.400% DUE 04/01/32											
DTD 06/01/02 FC 10/01/02											
CALL 10/01/11 @ 100.000											
Moody AA1 , S&P NR											
CUSIP 64988PQF6											
SUBJECT TO ALTERNATIVE MINIMUM TAX	0.57	170,000	92.51	157,268.44	97.7670	166,203.90	8,935.46	2,295.00	9,180.00	5.52	
Acquired 01/30/09 L			92.18	156,711.10							
MISSISSIPPI HM CORP											
SINGLE FMLY REV SER B 2											
B/E GUAR FNMA AMT											
CPN 6.450% DUE 12/01/33											
DTD 06/25/02 FC 12/01/02											
CALL 05/01/12 @ 105.000											
Moody AAA , S&P NR											
CUSIP 60535MVX4											
SUBJECT TO ALTERNATIVE MINIMUM TAX	0.54	150,000	97.37	146,062.50	105.2050	157,807.50	11,745.00	806.25	9,675.00	6.13	
Acquired 12/18/08 L											
FLORIDA HSG FIN CORP REV											
HOMEOWNER MTG SER 4 AMT											
B/E GNMA/FNMA/FHLMC											
CPN 5.150% DUE 07/01/37											
DTD 08/17/06 FC 01/01/07											
CALL 01/01/16 @ 100.000											
Moody AA1 , S&P AA+											
CUSIP 34073NQ60											
SUBJECT TO ALTERNATIVE MINIMUM TAX		315,000	81.87	257,915.43		316,656.90	58,741.47				
Acquired 01/07/09 L			81.40	256,410.00							
Acquired 01/21/09 L		575,000	86.25	495,937.50		578,024.50	82,087.00				
Acquired 03/03/09 L		290,000	94.50	274,050.00		291,525.40	17,475.40				
Total	4.08	1,180,000		\$1,027,902.93	100.5260	\$1,186,206.80	\$158,303.87	\$30,385.00	\$60,770.00	5.12	
				\$1,026,397.50							

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

**Fixed Income Securities
Municipal Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 08/01/38 DTD 03/20/07 FC 05/01/07 CALL 03/01/17 @ 102.750 Moody AAA S&P NR CUSIP 71881LAD0 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/26/09 L	0.16	45,059	84.05 83.69	37,874.30 37,711.16	100.6730	45,362.24	7,487.94	197.13	2,365.59	5.21
Total Municipal Bonds	10.27	3,097,159		\$2,813,747.30 \$2,804,375.22		\$2,987,927.87	\$174,180.57	\$45,975.32	\$114,528.09	3.83

* Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CALIFORNIA ST VAR PURP G/O UNLTD B/E TAXABLE CPN 5.750% DUE 03/01/17 DTD 04/01/10 FC 09/01/10 Moody A1 S&P A- CUSIP 13063BFG0 Acquired 11/15/10 S	0.63	175,000	107.92	188,863.50	105.1590	184,028.25	-4,835.25	3,354.17	10,062.50	5.46
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 S EE 452151 SER 1 BUILD CPN 5.163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A1 S&P A+ CUSIP 452152BG5 Acquired 10/25/10 S	0.77	230,000	103.57	238,222.50	97.9480	225,280.40	-12,942.10	4,947.88	11,874.90	5.27

Attachment 5.1

(4/4)

01 PMK3 PNAO

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 08/01/38 DTD 03/20/07 FC 05/01/07 CALL 03/01/17 @ 102 750 Moody AAA, S&P NR CUSIP 71881LAD0 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/26/09 L	0.16	45,059	84.05 83.69	37,874.30 37,711.16	100.6730	45,362.24	7,487.94	197.13	2,365.59	5.21
Total Municipal Bonds	10.27	3,097,159		\$2,813,747.30 \$2,804,375.22		\$2,987,927.87	\$174,180.57	\$45,975.32	\$114,528.09	3.83

* Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CALIFORNIA ST VAR PURP G/O UNLTD B/E TAXABLE CPN 5.750% DUE 03/01/17 DTD 04/01/10 FC 09/01/10 Moody A1, S&P A- CUSIP 13063BFQ0 Acquired 11/15/10 S	0.63	175,000	107.92	188,863.50	105.1590	184,028.25	-4,835.25	3,354.17	10,062.50	5.46
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 S EE 452151 SER 1 BUILD CPN 5.163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A1, S&P A+ CUSIP 452152BG5 Acquired 10/25/10 S	0.77	230,000	103.57	238,222.50	97.9480	225,280.40	-12,942.10	4,947.88	11,874.90	5.27

Attachment 5.2

(1/23)

2011 PINK3 PNAO

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
YPSILANTI MICHIGAN REF TAXABLE-LTD TAX-CAP IMPT G/O LTD B/E OID AMBAC CPN 5.800% DUE 05/01/18 DTD 06/08/06 FC 11/01/06 CALL 05/01/16 @ 100.000 Moody A1, S&P A CUSIP 987830KN5 Acquired 10/05/09 L Acquired 10/06/09 L	1.09	315,000	103.01 103.01	206,020.00 118,461.50	100.6780	201,356.00 115,779.70	-4,664.00 -2,681.80	\$3,045.00	\$18,270.00
Total				\$324,481.50		\$317,135.70	-\$7,345.80		5.76
ILLINOIS ST TXBL-PENSION G/O UNLTD B/E OID CPN 4.350% DUE 06/01/18 DTD 06/12/03 FC 12/01/03 Moody A1, S&P A+ CUSIP 452151LD3 Acquired 09/14/10 S	0.49	150,000	98.75	148,125.00	95.9190	143,878.50	-4,246.50	543.75	6,525.00
FLORIDA ST DPT ENVMTL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 5.306% DUE 07/01/18 DTD 01/28/10 FC 07/01/10 Moody AA3, S&P AA- CUSIP 34160WTW4 Acquired 01/08/10 S	0.34	100,000	101.30	101,300.00	99.3720	99,372.00	-1,928.00	2,653.00	5,306.00
MASON CNTY WA PUB HOSP DIST #001 SR B BABS DRCT PMT G/O LTD B/E TAXABLE CPN 4.227% DUE 12/01/18 DTD 10/14/10 FC 06/01/11 Moody NR, S&P A CUSIP 575158GK0 Acquired 09/15/10 S	0.41	125,000	101.25	126,562.50	96.2850	120,356.25	-6,206.25	1,130.14	5,283.75
									4.39

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
ILLINOIS ST SER 2010 3 BUILD AMER BDS G/O UT B/E TAXABLE CPN 5.547% DUE 04/01/19 DTD 04/26/10 FC 10/01/10 Moody A1, S&P A+ CUSIP 452152FK2 Acquired 09/21/10 S	0.68	200,000	105.27	210,552.00	98.3500	196,700.00	-13,852.00	2,773.50	11,094.00	5.64
ALLEN PARK MI SER A G/O LTD B/E TXBL CPN 6.625% DUE 05/01/19 DTD 11/12/09 FC 05/01/10 Moody NR, S&P BBB CUSIP 018015MV6 Acquired 11/02/09 L	0.62	175,000	103.05	180,337.50	102.7220	179,763.50	-574.00	1,932.29	11,593.75	6.44
SAN FRANCISCO CA CITY & CNTY REDEV FING AUTH TAX ALLOC REV TXBL B/E OID A CPN 8.000% DUE 08/01/19 DTD 09/03/09 FC 02/01/10 Moody A1, S&P A CUSIP 78771PP33 Acquired 08/19/09 L	0.75	200,000	101.07	202,140.00	108.6730	217,346.00	15,206.00	6,666.67	16,000.00	7.36
OHIO ST REVITALIZATION PROJ BUILD AMER BDS B B/E TAXABLE CPN 5.181% DUE 10/01/19 DTD 02/18/10 FC 10/01/10 Moody AA3, S&P AA- CUSIP 677521BW5 Acquired 02/11/10 S	0.35	100,000	101.00	101,000.00	102.5450	102,545.00	1,545.00	1,295.25	5,181.00	5.05

Attachment 5.2

(3/23)

001 PNK3 PNAC

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
MICHIGAN ST G/O UNLTD B/E OID CPN 6.850% DUE 11/01/19 DTD 04/14/09 FC 11/01/09 Moody AA2, S&P AA- CUSIP 5946103R6 Acquired 01/07/10 S Acquired 01/12/10 S Acquired 09/17/10 S	250,000 185,000 250,000	111.82 111.72 116.70	279,562.50 206,691.25 291,750.00	277,625.00 205,442.50 277,625.00	-1,937.50 -1,248.75 -14,125.00					
Total	2.62	685,000		\$778,003.75	111.0500	\$760,692.50	-\$17,311.25	\$7,820.42	\$46,922.50	6.17
METROPOLITAN TRANSN AUTH NY REV TRANSN SER C 1 BAB ISSUER SUBSIDY TXBL CPN 5.119% DUE 11/15/19 DTD 07/07/10 FC 11/15/10 Moody A2, S&P A CUSIP 59259YDG1 Acquired 10/29/10 S Acquired 10/29/10 S	250,000 150,000	103.70 103.70	259,272.50 155,563.50	244,395.00 146,637.00	-14,877.50 -8,926.50					
Total	1.34	400,000		\$414,836.00	97.7580	\$391,032.00	-\$23,804.00	\$2,616.38	\$20,476.00	5.24
ROSEMONT IL TAXABLE CORP PURP SER C G/O B/E TXBL CPN 4.870% DUE 12/01/19 DTD 11/18/10 FC 06/01/11 Moody AA3, S&P A CUSIP 777543RK7 Acquired 11/10/10 S	100,000	101.15	101,150.00	96,010.00	-5,140.00					
Total	0.33	100,000		\$101,150.00	96.0100	\$96,010.00	-\$5,140.00	\$581.69	\$4,870.00	5.07
FLORIDA ST DPT ENVMNTL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 5.756% DUE 07/01/20 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 34160WTY0 Acquired 01/08/10 S	150,000	101.55	152,325.00	154,528.50	2,203.50					
Total	0.53	150,000		\$152,325.00	103.0190	\$154,528.50	\$2,203.50	\$4,317.00	\$8,634.00	5.58

Attachment 5.2

(4/23)

001 PNK3 PNAO

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DICKINSON CNTY KS USD NO 487 TXBL BABS DIRECT PMT TO ISSUER G/O B/E CPN 5.600% DUE 09/01/20 DTD 02/01/10 FC 03/01/11 Moody NR, S&P A CUSIP 253180AL7 Acquired 01/09/10 S	0.36	100,000	102.97	102,975.00	104.3920	104,392.00	1,417.00	5,133.33	5,600.00	5.36
FLORIDA ST GOVERNMENTAL UTIL AUTH UTIL REV TXBL RFDG ALOHA BLD AMCA BDS CPN 5.022% DUE 10/01/20 DTD 10/13/10 FC 04/01/11 Moody A2, S&P NR CUSIP 34281PLB7 Acquired 10/04/10 S	0.65	200,000	101.25	202,500.00	94.5440	189,088.00	-13,412.00	2,176.20	10,044.00	5.31
CAROL STREAM IL PK DIST RFDG TAXABLE SER C G/O B/E AGM TXBL CPN 4.400% DUE 11/01/20 DTD 10/15/10 FC 05/01/11 Moody AA3, S&P NR CUSIP 143735RE0 Acquired 09/29/10 S	0.40	125,000	101.39	126,737.50	93.6030	117,003.75	-9,733.75	1,161.11	5,500.00	4.70
KENTUCKY ST PPTY & BLDGS COMM REV TAXABLE PROJ NO 99 SER C BABS B/E CPN 4.403% DUE 11/01/20 DTD 11/17/10 FC 05/01/11 Moody AA2, S&P A+ CUSIP 49151E7A5 Acquired 10/27/10 S Acquired 10/28/10 S	0.78	240,000	101.42 101.42	101,425.00 141,995.00	95.1020	95,102.00 133,142.80	-6,323.00 -8,852.20	\$1,291.55	\$10,567.20	4.63
Total				\$243,420.00	95.1020	\$228,244.80	-\$15,175.20			

Attachment 5.2

(5/23)

001 PMK1 PMK1



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT TAXABLE PLEDGED CPN 5.000% DUE 12/01/20 DTD 12/09/10 FC 06/01/11 Moody AA2, S&P AA CUSIP 6499057D2 Acquired 12/06/10 S	0.34	100,000	99.72	99,725.00	98.8310	98,831.00	-894.00	305.56	5,000.00	5.05
BAYONNE NJ RFDG G/O B/E ASSURED GUAR OID B/Q CPN 6.250% DUE 06/01/21 DTD 05/07/09 FC 12/01/09 Moody AA2, S&P AA+ CUSIP 072887ZQ8 Acquired 12/31/09 L	0.85	215,000	108.05	232,307.50	114.4210	246,005.15	13,697.65	1,119.79	13,437.50	5.46
FLORIDA ST BRD ED LOTTERY REV B BUILD AMER BDS ISSUER SUBSIDY TXBL CPN 5.541% DUE 07/01/21 DTD 03/11/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A1, S&P AAA CUSIP 341507ZJ3 Acquired 10/19/10 S	0.35	100,000	107.47	107,475.00	101.7690	101,769.00	-5,706.00	2,770.50	5,541.00	5.44
ILLINOIS ST SER 5 BUILD AMER BDS G/O UT B/E OID TAXABLE CPN 6.200% DUE 07/01/21 DTD 07/21/10 FC 01/01/11 Moody A1, S&P A+ CUSIP 452152GR6 Acquired 07/16/10 S	0.85	250,000	101.57	253,937.50	99.4140	248,535.00	-5,402.50	6,888.89	15,500.00	6.23

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CHIPPEWA MI WTR SUPPLY &SWR DISP BUILD AMER BDS G/O LTD B/E OID TAXABLE CPN 5.400% DUE 10/01/21 DTD 02/03/10 FC 04/01/10 CALL 04/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 169682EG2 Acquired 01/25/10 S	0.62	180,000	101.11	182,005.20	100.4250	180,765.00	-1,240.20	2,430.00	9,720.00	5.37
WINNEBAGO BOONE ETC CNTY IL CCD SER B BUILD AMER BDS B/O UNLTD B/E TXBL CPN 5.410% DUE 01/01/22 DTD 12/22/09 FC 07/01/10 CALL 01/01/17 @ 100.000 Moody NR, S&P AA CUSIP 974433GH1 Acquired 12/29/09 L	1.14	325,000	99.80	324,350.00	102.2070	332,172.75	7,822.75	8,791.25	17,582.50	5.29
ITHACA CITY NY PUB IMPT SER B G/O UNLTD B/E TXBL CPN 6.000% DUE 01/15/22 DTD 01/15/10 FC 01/15/11 CALL 01/15/19 @ 100.000 Moody AA2, S&P NR CUSIP 465650QQ5 Acquired 12/31/09 L	1.11	300,000	104.87	314,613.00	107.7850	323,355.00	8,742.00	17,300.00	18,000.00	5.56
FLORIDA ST DPT ENVMTNL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 6.206% DUE 07/01/22 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 34160WU40 Acquired 01/08/10 S	0.52	150,000	101.60	152,400.00	101.6890	152,533.50	133.50	4,654.50	9,309.00	6.10

Attachment 5.2

(7/23)

P11 PNH3 PNAC



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.388% DUE 10/01/22 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody AA3, S&P AA+ CUSIP 34440KBF8 Acquired 11/16/09 L	0.59	150,000 15,000 165,000	100.85 100.85	151,275.00 15,127.50 \$166,402.50	104.6780	157,017.00 15,701.70 \$172,718.70	5,742.00 574.20 \$6,316.20	\$2,635.05	\$10,540.20	6.10
Total										
JERSEY CITY NJ TAXABLE GEN IMPT SER B G/O BIE TXBL CPN 5.875% DUE 11/01/22 DTD 11/16/10 FC 05/01/11 CALL 11/01/20 @ 100.000 Moody WR, S&P NR CUSIP 476576CT8 Acquired 11/08/10 S	0.33	100,000	103.35	103,350.00	96.1600	96,160.00	-7,190.00	734.38	5,875.00	6.10
ALTOONA PA WTR AUTH SWR REV TAXABLE BUILD AMER BONDS SER B AGM CPN 5.434% DUE 12/01/22 DTD 02/04/10 FC 06/01/10 CALL 12/01/20 @ 100.000 Moody AA3, S&P AA+ CUSIP 02202PAN6 Acquired 01/21/10 S	0.70	200,000	101.55	203,100.00	102.2570	204,514.00	1,414.00	905.67	10,868.00	5.31

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK NY SER D 1 BUILD AMER BDS G/O B/E TXBL										
CPN 5 199% DUE 12/01/22										
DTD 12/17/09 FC 06/01/10										
Moody AA2, S&P AA										
CUSIP 64966HTT7										
Acquired 12/28/09 L		350,000	98.42	344,487.50		346,888.50	2,401.00			
Acquired 12/30/09 L		105,000	98.55	103,477.50		104,066.55	589.05			
Acquired 12/31/09 L		175,000	98.67	172,681.25		173,444.25	763.00			
Total	2.15	630,000		\$620,646.25	99.1110	\$624,399.30	\$3,753.05	\$2,729.48	\$32,753.70	5.25
NY MUN BD BK AGY RECOVERY ACT SUB SER A2 REV B/E TAXABLE										
CPN 5 161% DUE 12/15/22										
DTD 12/30/09 FC 06/15/10										
CALL 12/15/19 @ 100.000										
Moody NR, S&P AA+										
CUSIP 64988VBY8										
Acquired 12/28/09 L		360,000	99.45	358,020.00	102.8940	370,418.40	12,398.40	825.76	18,579.60	5.01
OGLE CNTY IL CMNTY UNIT SCH DIST NO 226 TAXABLE SER A BABS G/O B/E										
CPN 5 898% DUE 01/01/23										
DTD 12/30/09 FC 07/01/10										
CALL 01/01/20 @ 100.000										
Moody AA3, S&P A+										
CUSIP 676822EM7										
Acquired 12/23/09 L		250,000	101.60	254,000.00	101.5200	253,800.00	-200.00	7,372.50	14,745.00	5.80
ITHACA CITY NY PUB IMPT SER B G/O UNLTD B/E TXBL										
CPN 6 000% DUE 01/15/23										
DTD 01/15/10 FC 01/15/11										
CALL 01/15/19 @ 100.000										
Moody AA2, S&P NR										
CUSIP 465650QR3										
Acquired 12/31/09 L		315,000	103.79	326,960.55	106.0080	333,925.20	6,964.65	18,165.00	18,900.00	5.65

Attachment 5.2

(9/23)

-01 PNR3 PNAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
GIG HARBOR WA W&S REV TAXABLE BUILD AMER BDS BIE TXBL CPN 5.403% DUE 04/01/23 DTD 03/30/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 37517HBR0 Acquired 03/12/10 S	0.59	170,000	102.65	174,505.00	101.0280	171,747.60	-2,757.40	2,296.28	9,185.10	5.34
GRAND HAVEN MI CAP IMPT G/O LTD BIE TXBL CPN 5.125% DUE 04/01/23 DTD 10/21/09 FC 04/01/10 CALL 04/01/19 @ 100.000 Moody NR, S&P AA CUSIP 385532HAT Acquired 10/06/09 L	0.80	235,000	99.27	233,300.95	98.9620	232,560.70	-740.25	3,010.94	12,043.75	5.17
ONEIDA CNTY NY PUB IMPT SER B G/O UNLTD BIE ASSURED GUAR CPN 6.500% DUE 04/15/23 DTD 04/15/09 FC 04/15/10 Moody AA3, S&P AA+ CUSIP 6824543V3 Acquired 10/27/09 L Acquired 10/28/09 L		150,000 100,000	109.30 109.30	163,950.00 109,300.00		158,203.50 105,469.00	-5,746.50 -3,831.00			
Total	0.91	250,000		\$273,250.00	105.4690	\$263,672.50	-\$9,577.50	\$3,430.56	\$16,250.00	6.16
ADRIAN MI BUILD AMER BD B DIRECT PAYMENT G/O LTD BIE TXBL CPN 5.650% DUE 05/01/23 DTD 11/16/09 FC 05/01/10 CALL 05/01/19 @ 100.000 Moody NR, S&P AA+ CUSIP 007248DM6 Acquired 10/16/09 L	0.58	165,000	101.45	167,392.50	102.0550	168,390.75	998.25	1,553.75	9,322.50	5.53

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCURED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA ST DPT ENV/MNTL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 6 306% DUE 07/01/23 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100 000 Moody AA3, S&P AA- CUSIP 34160WUB8 Acquired 01/08/10 S Acquired 01/11/10 S Acquired 08/25/10 S	1.21	340,000	101 60 101 60 109 35	152,400 00 66,040 00 136,687 50	103.8330	\$353,032.20	3,349.50 1,451.45 -6,896.25		\$21,440.40	6.07
Total				\$355,127.50			-\$2,095.30	\$10,720.20		
SAN BERNARDINO CNTY CA PENSION OBLG RFDG REV B/E AGM TXBL CPN 6 020% DUE 08/01/23 DTD 04/16/08 FC 08/01/08 Moody AA3, S&P AA+ CUSIP 796839BP2 Acquired 10/20/09 L	0.49	145,000	102.20	148,190.00	99.2350	143,890.75	-4,299.25	3,637.08	8,729.00	6.06
POTTAWATOMIE CNTY KS USD NO 320 TXBL SER B BABS DIRECT PMT TO ISSUER CPN 5.900% DUE 09/01/23 DTD 12/15/09 FC 03/01/11 CALL 09/01/19 @ 100 000 Moody NR, S&P A+ CUSIP 737823DD3 Acquired 12/16/09 L	0.53	150,000	102.67	154,012.50	102.9970	154,495.50	483.00	8,899.17	8,850.00	5.72

Attachment 5.2

(11/23)

001 PNK3 PNAO

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SCRANTON PA PENSION FDG SR C AMBAC B/E OID @99.275 5.56 LT TXBL CPN 5.500% DUE 09/01/23 DTD 08/01/03 FC 09/01/03 CALL 09/01/13 @ 100.000 Moody WR, S&P BBB- CUSIP 810759NN6 Acquired 07/08/10 S	0.27	100,000	81.74 81.30	81,746.14 81,300.00	79.5620	79,562.00	-2,184.14	1,833.33	5,500.00	6.91
BYRON FOREST IL PRESERV DIST TAXABLE SER B BUILD AMER BDS G/O B/E TXBL CPN 6.125% DUE 01/01/24 DTD 02/01/10 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR, S&P A+ CUSIP 124538CP2 Acquired 01/20/10 S	0.70	200,000	103.21	206,422.00	102.1850	204,370.00	-2,052.00	11,229.17	12,250.00	5.99
DALLAS TX STEP-UP-TXBL- PENSION-SER C G/O LTD B/E MBIA CPN 5.500% DUE 02/15/24 DTD 02/16/05 FC 02/15/06 CALL 02/15/11 @ 100.000 Moody AA1, S&P AA+ CUSIP 235218MB4 Acquired 01/21/05 L	0.69	200,000	100.00	200,000.00	99.9960	199,992.00	-8.00	4,155.56	11,000.00	5.50
LANSING MI BLDG AUTH REFDG TAXABLE G/O LTD B/E TXBL CPN 5.800% DUE 06/01/24 DTD 10/08/09 FC 06/01/10 CALL 06/01/19 @ 100.000 Moody AA2, S&P AA CUSIP 516393KA2 Acquired 10/05/09 L	0.60	170,000	102.75	174,675.00	102.6720	174,542.40	-132.60	821.67	9,860.00	5.64

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DOUGLAS CNTY NE SCH DIST NO 010 TAXABLE SER A BUILD AMER BDS G/O CPN 5.546% DUE 06/15/24 DTD 01/28/10 FC 06/15/10 CALL 06/15/20 @ 100 000 Moody NR , S&P AA- CUSIP 259309VH2 Acquired 01/14/10 S	0.36	100,000	101.55	101,550.00	103.6140	103,614.00	2,064.00	246.49	5,546.00	5.35
SAN FRANCISCO CA CITY & CNTY REDV A FINGATH TAX ALLOC REV TXBL OID B/E CPN 8.250% DUE 08/01/24 DTD 09/03/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody A1 , S&P A CUSIP 79771PP41 Acquired 09/11/09 L	0.38	100,000	107.31	107,314.00	109.9160	109,916.00	2,602.00	3,437.50	8,250.00	7.50
HONOLULU HI CITY & CNTY SER E BUILD AMER BNDS G/O B/E TXBL CPN 5.780% DUE 09/01/24 DTD 11/19/09 FC 09/01/10 CALL 09/01/19 @ 100 000 Moody AA1 , S&P NR CUSIP 438670VA6 Acquired 11/06/09 L	0.77	215,000	101.50	218,225.00	104.5650	224,814.75	6,589.75	4,142.33	12,427.00	5.52
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.638% DUE 10/01/24 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100 000 Moody AA3 , S&P AA+ CUSIP 34440KBH4 Acquired 11/19/09 L	0.38	105,000	101.12	106,181.25	105.1150	110,370.75	4,189.50	1,742.48	6,969.90	6.31

Attachment 5.2

(13/23)

-301 PNC3 PNAO

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK NY SER D 1										
BUILD AMER BDS G/O UNLTD										
BIE TXBL										
CPN 5 399% DUE 12/01/24										
DTD 12/17/09 FC 06/01/10										
Moody AA2, S&P AA										
CUSIP 64966HTY6										
Acquired 12/21/09 L		200,000	99.75	199,502.00		194,260.00	-5,242.00			
Acquired 12/30/09 L		250,000	98.20	245,500.00		242,825.00	-2,675.00			
Acquired 01/13/10 S		175,000	100.05	175,087.50		169,977.50	-5,110.00			
Total	2.09	625,000		\$620,089.50	97.1300	\$607,062.50	-\$13,027.00	\$2,811.98	\$33,743.75	5.56
DELL RAPIDS S/D NO 493										
BUILD AMER BDS DRCT PAY										
G/O UT B/E TAXABLE A 2										
CPN 5 654% DUE 01/15/25										
DTD 04/13/10 FC 07/15/11										
CALL 01/15/20 @ 100.000										
Moody NR, S&P AA-										
CUSIP 247029DH1										
Acquired 03/10/10 S	0.52	145,000	101.55	147,247.50	103.6030	150,224.35	2,976.85	5,875.45	8,198.30	5.45
NEW HAVEN CT TAXABLE SER										
A 2 BUILD AMER G/O TXBL										
CPN 6 500% DUE 02/01/25										
DTD 02/12/10 FC 08/01/10										
CALL 02/01/20 @ 100.000										
Moody A1, S&P A-										
CUSIP 645020ZY7										
Acquired 02/05/10 S	0.88	250,000	103.20	258,000.00	102.2620	255,655.00	-2,345.00	6,770.83	16,250.00	6.35
UNION CNTY NJ IMPT AUTH										
REV TAXABLE RFDG GTD LSE										
LINDEN BIE TXBL										
CPN 6 650% DUE 03/01/25										
DTD 09/01/10 FC 03/01/11										
Moody AAA, S&P AA-										
CUSIP 906347SQ3										
Acquired 08/19/10 S	0.35	100,000	110.80	110,800.00	102.5950	102,595.00	-8,205.00	2,216.67	6,650.00	6.48

Attachment 5.2

(14/23)
001 PINK3 PNAO

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
GIG HARBOR WA W&S REV TAXABLE BUILD AMER BDS B/E TXBL CPN 5.703% DUE 04/01/25 DTD 03/30/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 37517HBT6 Acquired 03/15/10 S	0.47	135,000	102.85	138,847.50	102.0280	137,737.80	-1,109.70	1,924.76	7,699.05	5.58
DECATUR GA SCH SYS CTF PARTN SCH BRDS ASSN TXBL BABS DRCT PAY RV ASSURED CPN 5.550% DUE 05/01/25 DTD 01/21/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3, S&P AA+ CUSIP 243124AN4 Acquired 01/14/10 S	0.68	190,000	101.70	193,230.00	103.6770	196,986.30	3,756.30	1,757.50	10,545.00	5.35
CIRCLEVILLE OH CITY SID TXBL FACS CONS & IMP SER A (DRCT PYMT) GIO UNLTD CPN 5.650% DUE 11/01/25 DTD 08/11/10 FC 05/01/11 Moody AA2, S&P NR CUSIP 172720FM1 Acquired 08/04/10 S	0.51	150,000	101.80	152,700.00	98.7080	148,062.00	-4,638.00	3,295.83	8,475.00	5.72
TOLEDO OH TAXABLE STR IMPT GIO LTD BIE ASSURED GUAR TXBL CPN 6.300% DUE 12/01/25 DTD 10/15/09 FC 06/01/10 CALL 12/01/19 @ 100.000 Moody AA3, S&P AA+ CUSIP 889278PQ4 Acquired 10/15/09 L	0.83	235,000	103.50	243,225.00	102.8060	241,594.10	-1,630.90	1,233.75	14,805.00	6.12

Attachment 5.2

(15/23)

-001 PNK3 PNAC

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)	
HAMPTON VA TAXABLE PUB										
IMPT SER B G/O TXBL										
CPN 5.329% DUE 01/15/26										
DTD 03/11/10 FC 07/15/10										
Moody AA1, S&P AA+										
CUSIP 409558Y56										
Acquired 03/09/10 S	0.59	165,000	101.72	167,851.25	103.2330	170,334.45	2,483.20	4,054.48	8,792.85	5.16
VIRGINIA CLLG BLDG AUTH										
EDL FACS REV REF TXBL										
CENTURY CLG F-2 BABS										
CPN 5.400% DUE 02/01/26										
DTD 12/17/09 FC 08/01/10										
CALL 02/01/20 @ 100.000										
Moody AA1, S&P AA+										
CUSIP 927781TN4										
Acquired 01/05/10 S	0.83	235,000	99.05	232,767.50	102.3010	240,407.35	7,639.85	5,287.50	12,690.00	5.27
GRAND HAVEN MI CAP IMPT										
G/O LTD BIE TXBL										
CPN 5.400% DUE 04/01/26										
DTD 10/21/09 FC 04/01/10										
CALL 04/01/19 @ 100.000										
Moody NR, S&P AA										
CUSIP 385532HD1										
Acquired 10/06/09 L	0.57	165,000	99.95	164,917.50	100.0570	165,094.05	176.55	2,227.50	8,910.00	5.39
DE WITT MI PUB SCH BLDG										
& SITE BUILD AMER BDS										
DRCT PMT G/O UT B/E TXBL										
CPN 6.100% DUE 05/01/26										
DTD 12/22/09 FC 11/01/10										
CALL 05/01/19 @ 100.000										
Moody NR, S&P AA-										
CUSIP 242109QNG										
Acquired 12/11/09 L		100,000	101.30	101,300.00		98,621.00	-2,679.00			
Acquired 12/15/09 L		15,000	101.30	15,195.00		14,793.15	-401.85			
Total	0.39	115,000		\$116,495.00	98.6210	\$113,414.15	-\$3,080.85	\$1,169.17	\$7,015.00	6.19

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PORT ST LUCIE FL STRMWTR UTIL RV SR B RECOVERY ZN ECON DV TXBL BDS ASSURED CPN 6 516% DUE 05/01/26 DTD 01/15/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3, S&P AA+ CUSIP 735351CJ5 Acquired 01/08/10 S	0.35	100,000	101.80	101,800.00	103.2040	103,204.00	1,404.00	1,086.00	6,516.00	6.31
MARICOPA CNTY AZ SD #066 ROOSEVELT ELEM TXBL IMPT PJ 2007 G/O UNTLD AGC CPN 6.243% DUE 07/01/26 DTD 08/03/10 FC 01/01/11 Moody AA3, S&P AA+ CUSIP 567337QV5 Acquired 08/09/10 S	0.35	100,000	104.92	104,925.00	102.8500	102,850.00	-2,075.00	2,566.57	6,243.00	6.07
MORGANTOWN WV COMB UTIL SYS REV SR A BLD AMR BDS B/E TXBL ASSURED GUAR CPN 5 500% DUE 12/01/26 DTD 01/28/10 FC 06/01/10 CALL 12/01/20 @ 100.000 Moody NR, S&P AA+ CUSIP 61759CAQ1 Acquired 01/25/10 S	0.35	100,000	100.50	100,500.00	101.5990	101,599.00	1,099.00	458.33	5,500.00	5.41
OAKLAND MI INTER S/O BLDG&SITE QUAL CONSTR BD G/O LTD B/E TAXABLE CPN 6 250% DUE 05/01/27 DTD 06/02/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR, S&P AA+ CUSIP 673475CB3 Acquired 07/09/10 S	0.50	145,000	101.67	147,428.75	100.1290	145,187.05	-2,241.70	1,510.42	9,062.50	6.24

Attachment 5.2

(17/23)

P01 PNK3 PN40

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
COOK CNTY IL S/D NO 104 ARGO SUMMIT TXBL SER A G/O LTD OID ASSURED GUAR CPN 5.900% DUE 12/01/27 DTD 10/01/09 FC 12/01/09 CALL 12/01/19 @ 100.000 Moody NR, S&P AA+ CUSIP 214471MU5 Acquired 09/27/09 L	0.68	200,000	100.95	201,900.00	99.2130	198,426.00	-3,474.00	983.33	11,800.00	5.94
CHAMPAIGN CNTY IL CMNTY USD #116 URBANA ALT REV SOURCE SR A B/E TAXABLE CPN 6.600% DUE 01/01/28 DTD 12/14/10 FC 07/01/11 CALL 01/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 158285GU4 Acquired 12/03/10 S	0.43	130,000	101.67	132,177.50	96.8300	125,879.00	-6,298.50	405.17	8,580.00	6.81
QUINCY IL SER C RECOVERY ZNE BLD AMR BDS ECON DEV G/O UT TXBL ASSURED GUAR CPN 6.050% DUE 01/01/28 DTD 12/15/09 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR, S&P AA+ CUSIP 748437KA7 Acquired 11/25/09 L	0.37	110,000	101.55	111,705.00	99.0590	108,964.90	-2,740.10	6,950.78	6,655.00	6.10
NY MUN BD BK AGY RECOVERY ACT SUB SER C 2 BUILD REV B/E TAXABLE CPN 6.879% DUE 02/15/28 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100.000 Moody NR, S&P A CUSIP 64988VCC5 Acquired 01/06/10 S	0.60	170,000	101.75	172,975.00	102.7750	174,717.50	1,742.50	4,417.85	11,694.30	6.69

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PHILADELPHIA PA AUTH IND DEV PENSN FDG RETIREMENT SYA AGM TAXABLE OY=6 36 CPN 6.350% DUE 04/15/28 DTD 01/15/99 FC 04/15/99 Moody AA3, S&P AA+ CUSIP 71781LAM1 Acquired 09/21/09 L	0.50	150,000	101.02	151,537.50	96.5980	144,897.00	-6,640.50	2,010.83	9,525.00	6.57
SOUTH CRRCTNL ENTITY FAC PDA WA BUILD AMR BD B DRCT PMT RV B/E OID TXBL CPN 6.416% DUE 01/01/29 DTD 11/04/09 FC 01/01/10 CALL 01/01/20 @ 100.000 Moody AA3, S&P AA CUSIP 83748CAN6 Acquired 12/02/09 L	0.52	150,000	102.62	153,937.50	100.5830	150,874.50	-3,063.00	4,812.00	9,624.00	6.37
BLUE SPRINGS MO SER B BUILD AMER BDS ISSUER SUBSIDY G/O B/E TXBL CPN 5.890% DUE 03/01/29 DTD 12/01/09 FC 03/01/10 CALL 03/01/18 @ 100.000 Moody NR, S&P AA CUSIP 096045FU8 Acquired 11/30/09 L	0.35	100,000	101.55	101,550.00	102.7520	102,752.00	1,202.00	1,963.33	5,890.00	5.73
AVONDALE AZ PJS OF 1998& 2007 G/O UT B/E B/Q TXBL CPN 7.800% DUE 07/01/29 DTD 07/21/09 FC 01/01/10 CALL 07/01/19 @ 100.000 Moody AA3, S&P A+ CUSIP 054314DX4 Acquired 07/08/09 L	0.38	100,000	103.37	103,370.00	109.4540	109,454.00	6,084.00	3,900.00	7,800.00	7.12

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
MIAMI DADE CNTY FL TRAN SYS SALES SURTAX RV SR B BLD AMER BDS DRCT TXBL CPN 6 710% DUE 07/01/29 DTD 09/24/09 FC 01/01/10 CALL 07/01/19 @ 100 000 Moody AA3, S&P AA CUSIP 59334PCN5 Acquired 09/21/09 L	0.34	100,000	103.05	103,050.00	100.2560	100,256.00	-2,794.00	3,355.00	6,710.00	6.69
BONITA CA USD ELCTN 2008 A 1 BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE CPN 6 800% DUE 08/01/29 DTD 09/23/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody NR, S&P AA- CUSIP 098203RQ7 Acquired 09/24/09 L Acquired 09/24/09 L		140,000 100,000	103.25 103.25	144,550.00 103,250.00		143,736.60 102,669.00	-813.40 -581.00			
Total	0.85	240,000		\$247,800.00	102.6690	\$246,405.60	-\$1,394.40	\$6,800.00	\$16,320.00	6.62
EL MONTE CA S/D ELEC 08 SR A 1 BLD AMR BDS DRCT PMT G/O UT TXBL ASSURED CPN 7 125% DUE 08/01/29 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100 000 Moody AA3, S&P AA+ CUSIP 283309HH8 Acquired 09/30/09 L	0.36	100,000	106.45	106,455.00	103.3910	103,391.00	-3,064.00	2,968.75	7,125.00	6.89
SANTA MONICA MALIBU UNI SCH DIST CA ELCTN 2006 B 1 BLD G/O B/E TXBL CPN 7 406% DUE 08/01/29 DTD 08/05/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA1, S&P AA CUSIP 802498JK2 Acquired 07/27/09 L	0.37	100,000	101.75	101,750.00	108.4110	108,411.00	6,661.00	3,085.83	7,406.00	6.83

Attachment 5.2

(20/23)
001 PNK3 PNAO

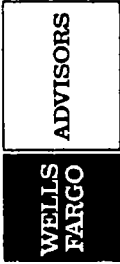
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PUEBLO CNTY CO CTF PARTN TAXABLE SER A BUILD AMER REV B/E OID ASSURED GTY CPN 6 600% DUE 09/15/29 DTD 12/29/09 FC 03/15/10 Moody AA3, S&P AA+ CUSIP 744829BU5 Acquired 12/18/09 L	0.72	200,000	98.36	196,728.00	105.0880	210,176.00	13,448.00	3,886.67	13,200.00	6.28
CALIFORNIA ST FOR PREVIOUS ISSUES SEE 13063A TAXABLE G/O B/E CPN 7.700% DUE 11/01/30 DTD 12/01/10 FC 05/01/11 CALL 11/01/20 @ 100.000 Moody A1, S&P A+ CUSIP 13063BJB9 Acquired 12/13/10 S	1.41	400,000	102.40	409,600.00	102.7570	411,028.00	1,428.00	2,566.67	30,800.00	7.49
ILLINOIS ST TXBL G/O UNLTD B/E OY=5 1% CPN 5.100% DUE 06/01/33 DTD 06/12/03 FC 12/01/03 Moody A1, S&P A+ CUSIP 452151LF8 Acquired 12/13/10 S		200,000	77.27	154,542.99		150,500.00	-4,042.99			
Acquired 12/29/10 S		255,000	77.25	154,500.00		191,887.50	-1,408.97			
			75.80	193,296.47						
			75.80	193,290.00						
Total	1.18	455,000		\$347,839.46	75.2500	\$342,387.50	-\$5,451.96	\$1,933.75	\$23,205.00	6.78
				\$347,790.00						



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
WEST CONTRA COSTA CA UNI SCH DIST ELECTION 2005 SER C 2 G/O BIE TXBL CPN 8 460% DUE 08/01/34 DTD 08/26/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA3, S&P A CUSIP 952347VB5 Acquired 09/02/09 L	0.47	125,000	105.12	131,406.25	108.9550	136,193.75	4,787.50	4,406.25	10,575.00	7.76
CORONA NORCO CA USD BUILD AMER BDS D DIRECT PMT G/O UNLTD B/E TXBL CPN 7.343% DUE 08/01/35 DTD 12/17/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA2, S&P AA+ CUSIP 219764LM7 Acquired 12/03/09 L	0.73	200,000	101.85	203,700.00	105.4710	210,942.00	7,242.00	6,119.17	14,686.00	6.96
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O BIE TXBL CPN 7.400% DUE 10/01/35 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100 000 Moody A1, S&P A+ CUSIP 63165TFX8 Acquired 12/20/10 S	0.35	100,000	102.30	102,300.00	102.1400	102,140.00	-160.00	308.33	7,400.00	7.24
WATERBURY CT PENSION G/O BIE TXBL CPN 7.089% DUE 12/01/38 DTD 09/17/09 FC 12/01/09 Moody NR, S&P A- CUSIP 941247N20 Acquired 09/08/09 L	0.34	100,000	101.05	101,050.00	97.7100	97,710.00	-3,340.00	590.75	7,089.00	7.25

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SAN BERNARDINO CA CCD ELEC OF 2008 SER C BUILD AMER BDS G/O LTD TXBL CPN 7.430% DUE 08/01/39 DTD 06/18/09 FC 02/01/10 Moody AA3, S&P AA- CUSIP 796720GJ3 Acquired 07/22/09 L	0.56	150,000	105.01	157,522.50	108.8240	163,236.00	5,713.50	4,643.75	11,145.00	6.82
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O B/E TXBL CPN 8.100% DUE 08/01/44 DTD 08/04/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA2, S&P AA- CUSIP 630361297 Acquired 07/28/09 L	0.38	100,000	103.10	103,107.00	109.5840	109,564.00	6,457.00	3,375.00	8,100.00	7.39
Total Taxable Municipal Bonds	57.43	16,490,000		\$16,787,001.30 \$16,786,505.70		\$16,702,083.90	-\$84,917.40	\$305,954.12	\$984,204.50	5.89
Total Fixed Income Securities	80.86			\$23,481,073.68 \$23,464,200.18		\$23,517,824.54	\$36,750.86	\$390,621.56	\$1,324,021.34	5.63

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN MULTI-STRATEGY INCOME AND GROWTH FUND JPC - HELD IN MARGIN Acquired 12/27/05 L Acquired 12/27/05 L Acquired 12/27/05 L		3,400 500 1,500	11.90 11.91 11.91	38,652.84 5,689.20 17,067.69		28,390.00 4,175.00 12,525.00	-10,262.84 -1,514.20 -4,542.69		

Attachment 5.2

(23/23)

001 PNM3 PNAO

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GOLDMAN SACHS - GLOBAL EQUITY		993,334.
GOLDMAN SACHS - HEDGE FUNDS	1,000,000.	575,824.
GOLDMAN SACHS - PRIVATE EQUITY	527,064.	207,392.
WF I - STOCK - CITIGROUP INC	150,000.	134,534.
WF I - MUTUAL FUNDS - ATT. 6.1	179,652.	3,095,713.
WF I - PREFERRED - ATT. 6.2	3,590,952.	3,352,984.
WF II -STOCK,OPTIONS -ATT. 6.3	3,741,018.	9,191,965.
WFII - MUTUAL FUNDS - ATT. 6.4	8,425,184.	
TOTALS	<u>17,613,870.</u>	<u>17,551,746.</u>

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
SAN BERNARDINO CA CCD ELEC OF 2008 SER C BUILD AMER BDS G/O LTD TXBL CPN 7.430% DUE 08/01/39 DTD 06/18/09 FC 02/01/10 Moody AA3, S&P AA- CUSIP 796720GJ3 Acquired 07/22/09 L	0.56	150,000	105.01	157,522.50	108,824.0	163,236.00	5,713.50	4,643.75	11,145.00 6.82
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O BIE TXBL CPN 8.100% DUE 08/01/44 DTD 08/04/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA2, S&P AA- CUSIP 630361Z97 Acquired 07/28/09 L	0.38	100,000	103.10	103,107.00	109,564.0	109,564.00	6,457.00	3,375.00	8,100.00 7.39
Total Taxable Municipal Bonds	57.43	16,490,000		\$16,787,001.30 \$16,786,505.70		\$16,702,083.90	-\$84,917.40	\$305,954.12	\$984,204.50 5.89
Total Fixed Income Securities	80.86			\$23,481,073.68 \$23,464,200.18		\$23,517,824.54	\$36,750.86	\$390,621.56	\$1,324,021.34 5.63

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

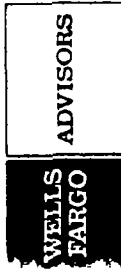
Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN MULTI-STRATEGY INCOME AND GROWTH FUND JPC - HELD IN MARGIN Acquired 12/27/05 L Acquired 12/27/05 L Acquired 12/27/05 L		3,400 500 1,500	11.90 11.91 11.91	38,652.84 5,689.20 17,067.69		28,390.00 4,175.00 12,525.00	-10,262.84 -1,514.20 -4,542.69		

Attachment 6.1

(1/2)

001 PNM3 PNAO

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/27/05 L		2,100	11.92	23,915.76		17,535.00	-6,380.76		
Total	0.22	7,500		\$85,325.49	8.3500	\$62,625.00	-\$22,700.49	\$5,100.00	8.14
WESTERN ASSET HIGH INC									
OPPORTUNITY FUND INC									
HIO - HELD IN MARGIN									
On Reinvestment									
Acquired UNKNOWN S		168	6.81	1,144.83		1,021.44	-123.39		
Acquired 12/19/00 L		10,000	8.32	83,276.00		60,799.90	-22,476.10		
Reinvestments L		587 37500	5.58	3,281.45		3,571.27	289.82		
Reinvestments S		1,071 79800	6.18	6,624.42		6,516.60	-107.82		
Total	0.25	11,827.17300		\$94,326.70	6.0800	\$71,909.21	-\$22,417.49	\$6,386.67	8.88
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Total Closed End Mutual Funds	0.46			\$179,652.19		\$134,534.21	-\$45,117.98	\$11,486.67	8.54
Total Mutual Funds	0.46			\$179,652.19		\$134,534.21	-\$45,117.98	\$11,486.67	8.54

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GRP 7.70% DUE 12/18/2062 CALL STARTING 12/18/12 AVF - HELD IN MARGIN Acquired 09/24/08 L	0.34	4,000	6.19	24,768.80	24.4000	97,600.00	72,831.20	7,700.00	7.88
AMERICAN INTNL GRP 6.45% JR SUB NTS DUE 06/15/77 CALL STARTING 6/15/12 AFF - HELD IN MARGIN Acquired 05/31/07 L									
BAC CAP TRUST X 6.25% DUE 3/29/2055 CALL START 03/29/2011 BAC'B - HELD IN MARGIN Acquired 03/21/06 L	0.59	8,000	25.00	200,000.00	21.5700	172,560.00	-27,440.00	12,896.00	7.47
	0.45	6,000	25.00	150,000.00	22.0000	132,000.00	-18,000.00	9,378.00	7.10

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

**WELLS
FARGO**

ADVISORS

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/27/05 L		2,100	11.92	23,915.76		17,535.00	-6,380.76		
Total	0.22	7,500		\$85,325.49	8.3500	\$62,625.00	-\$22,700.49	\$5,100.00	8.14
WESTERN ASSET HIGH INC									
OPPORTUNITY FUND INC									
HIO - HELD IN MARGIN									
On Reinvestment		168	6.81	1,144.83		1,021.44	-123.39		
Acquired UNKNOWN S		10,000	8.32	83,276.00		60,799.90	-22,476.10		
Acquired 12/19/00 L		587	5.58	3,281.45		3,571.27	289.82		
Reinvestments L		1,071.79800	6.18	6,624.42		6,516.60	-107.82		
Total	0.25	11,827.17300		\$94,326.70	6.0800	\$71,909.21	-\$22,417.49	\$6,386.67	8.88
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$84,420.83			
						-\$12,511.62			
Total Closed End Mutual Funds	0.46			\$179,652.19		\$134,534.21	-\$45,117.98	\$11,486.67	8.54
Total Mutual Funds	0.46			\$179,652.19		\$134,534.21	-\$45,117.98	\$11,486.67	8.54

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GRP 7.70% DUE 12/18/2062									
CALL STARTING 12/18/12									
AVF - HELD IN MARGIN									
Acquired 09/24/08 L	0.34	4,000	6.19	24,768.80	24.4000	97,600.00	72,831.20	7,700.00	7.88
AMERICAN INTNL GRP 6.45% JR SUB NTS DUE 06/15/77									
CALL STARTING 6/15/12									
AFF - HELD IN MARGIN									
Acquired 05/31/07 L	0.59	8,000	25.00	200,000.00	21.5700	172,560.00	-27,440.00	12,896.00	7.47
BAC CAP TRUST X 6.25% DUE 3/29/2055									
CALL START 03/29/2011									
BAC'B - HELD IN MARGIN									
Acquired 03/21/06 L	0.45	6,000	25.00	150,000.00	22.0000	132,000.00	-18,000.00	9,378.00	7.10

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BARCLAY BANK PLC 7.75% NON CUM PERPETUAL PFD CALL STARTING 3/15/2013 BCS'C	0.44	5,000	25.00	125,000.00	25.4200	127,100.00	2,100.00	9,690.00	7.62
Acquired 11/30/07 L									
CBS CORP 6.75% SR NOTE DUE 3/27/2056 CALL STARTING 3/27/2012 CPV - HELD IN MARGIN	0.35	4,000	25.00	100,000.00	25.2700	101,080.00	1,080.00	6,752.00	6.67
Acquired 03/20/07 L									
CITIGROUP CAP XV 6.50% DUE 9/15/66 CALL STARTING 9/15/11 C'U - HELD IN MARGIN	0.32	4,000	25.00	100,000.00	23.1500	92,600.00	-7,400.00	6,500.00	7.01
Acquired 09/07/06 L									
CITIGROUP CAP XVI 6.45% DUE 12/31/2066 CALL STARTING 12/31/2011 C'W - HELD IN MARGIN	0.31	4,000	25.00	100,000.00	22.9000	91,600.00	-8,400.00	6,448.00	7.03
Acquired 11/15/06 L									
CITIGROUP CAPITAL X 6.1% TRUPS DUE 9/30/33 CALLABLE 9/30/08 C'R - HELD IN MARGIN	0.31	4,000	25.00	100,000.00	22.2100	88,840.00	-11,160.00	6,100.00	6.86
Acquired 09/05/03 L									
FIFTH THIRD CAP V 7.25% DUE 08/15/67 CALL STARTING 08/15/12 FTB'A - HELD IN MARGIN	0.34	4,000	25.00	100,000.00	24.8800	99,520.00	-480.00	7,252.00	7.28
Acquired 08/01/07 L									
GOLDMAN SACHS GRP 6.20% NON-CUM PERP PFD CALL STARTING 11/10/10 GSB - HELD IN MARGIN	0.33	4,000	25.00	100,000.00	24.1500	96,600.00	-3,400.00	6,200.00	6.41
Acquired 10/21/05 L									
HSBC FINANCE 6.875% PFD DUE 1/30/33 CALLABLE 1/30/08 @ 25.00 HTB - HELD IN MARGIN	0.14	1,600	25.00	40,000.00	25.3800	40,608.00	608.00	2,750.40	6.77
Acquired 01/22/03 L									

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HSBC HOLDING PLC 8 125% NON-CUM PERP PFD CALL STARTING 4/15/2013 HCS - HELD IN MARGIN Acquired 04/02/08 L	0.55	6,000	25.00	150,000.00	26.8300	160,980.00	10,980.00	12,186.00	7.56
JP MORGAN CHASE 8 625% NON-CUM PERPETUAL MAT CALLABLE START 09/01/13 JPM1 - HELD IN MARGIN Acquired 08/14/08 L	0.57	6,000	25.00	150,000.00	27.5500	165,300.00	15,300.00	12,937.80	7.82
LEHMAN BROS HLDG 7 95% NON-CUM PERP PFD CALL STARTING 2/15/2013 LEHJQ - HELD IN MARGIN Acquired 02/05/08 L Acquired 02/05/08 L		10,000 5,000	25.00 25.00	250,000.00 125,000.00		110.00 55.00	-249,890.00 -124,945.00		
Total	0.00	15,000		\$375,000.00	0.0110	\$165.00	-\$374,835.00	N/A	N/A
MERRILL LYNCH 6 45% CAP TR DUE 12/15/2066 CALL STARTING 12/15/11 MERK - HELD IN MARGIN Acquired 12/07/06 L	0.31	4,000	25.00	100,000.00	22.2000	88,800.00	-11,200.00	6,450.00	7.26
MERRILL LYNCH 6 45% PFD CAP TR II DUE 06/15/62 VARIABLE/CALLABLE MERM - HELD IN MARGIN Acquired 04/25/07 L Acquired 10/30/07 L		6,000 3,000	25.00 22.68	150,000.00 68,040.00		132,600.00 66,300.00	-17,400.00 -1,740.00		
Total	0.68	9,000		\$218,040.00	22.1000	\$198,900.00	-\$19,140.00	\$14,508.00	7.29
MERRILL LYNCH 7 375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MERP - HELD IN MARGIN Acquired 08/15/07 L	0.34	4,000	25.00	100,000.00	25.0800	100,320.00	320.00	7,376.00	7.35
MORGAN STANLEY 6 60% TR PFD CAP VI DUE 2/1/46 CALL STARTING 2/1/11 MSJ - HELD IN MARGIN Acquired 01/19/06 L	0.33	4,000	25.00	100,000.00	23.7000	94,800.00	-5,200.00	6,600.00	6.96

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MORGAN STANLEY 6.45% PFD CAP TRUST VIII 4/15/2067 CALL STARTING 7/15/12 MSK - HELD IN MARGIN Acquired 04/19/07 L	0.65	8,000	25.00	200,000.00	23.4500	187,600.00	-12,400.00	12,900.00	6.87
NATIONAL CITY CAP 6.625% DUE 11/15/2066 CALL STARTING 11/15/11 NCC'A - HELD IN MARGIN Acquired 10/27/06 L	0.43	5,000	25.00	125,000.00	24.9800	124,900.00	-100.00	8,280.00	6.62
PRUDENTIAL PLC 6.50% PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10 PUK'A - HELD IN MARGIN Acquired 07/12/05 L	0.34	4,000	25.00	100,000.00	24.6600	98,640.00	-1,360.00	6,500.00	6.58
RBS CAP FDG TR V 5.9% PFD GTD TR SECS RBS'E - HELD IN MARGIN Acquired 06/26/03 L Acquired 07/30/07 L Acquired 10/30/07 L		5,000 2,000 3,000	25.00 22.00 21.38	125,000.00 44,003.00 64,140.00		64,000.00 25,600.00 38,400.00	-61,000.00 -18,403.00 -25,740.00		
Total	0.44	10,000		\$233,143.00	12.8000	\$128,000.00	-\$105,143.00	\$14,750.00	11.52
ROYAL BK SCOTLAND 5.75% SER L NON CUM PFD CALLABLE 9/30/09 RBS'L - HELD IN MARGIN Acquired 06/16/03 L	0.24	4,000	25.00	100,000.00	17.4300	69,720.00	-30,280.00	5,752.00	8.25
WACHOVIA 7.85% PFD CUM CAP TR X 12/1/2067 CALL STARTING 12/15/2012 WB'D - HELD IN MARGIN Acquired 11/14/07 L	0.36	4,000	25.00	100,000.00	26.3500	105,400.00	5,400.00	7,850.00	7.44
WELLS FARGO & CO 8% PFD CALLABLE 12/15/17 SERIES J WFC'J - HELD IN MARGIN Acquired 12/18/07 L	0.75	8,000	25.00	200,000.00	27.1900	217,520.00	17,520.00	16,000.00	7.35

Attachment 6.2

(4/5)

-011 PNM3 PNAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER. 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO CAP 7.875% CAP XII DUE 3/15/68 CALL STARTING 3/15/2013 BWF - HELD IN MARGIN Acquired 03/05/08 L		0.74	8,000	25.00	200,000.00	26.8200	214,560.00	14,560.00	15,749.60	7.34
Total Preferreds/Fixed Rate Cap Securities		10.64			\$3,590,951.80		\$3,095,713.00	-\$495,238.80	\$229,505.80	7.41

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor

DESCRIPTION	AS OF VALUE DATE	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	12/31	1,823,688.73	12/31
WELLS FARGO BANK SOUTH CENTRAL, N.A.	12/31	250,000.00	12/31
WELLS FARGO BANK NORTHWEST, N.A.	12/31	250,000.00	12/31
Total Bank Deposits		\$2,323,688.73	

Activity detail by date

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			189,572.17
12/01	Cash	INTEREST		ALTOONA PA WTR AUTH SWR REV TAXABLE BUILD AMER BONDS SER B AGM CPN 5.434% DUE 12/01/22 DTD 02/04/10 FC 06/01/10 120110 200,000 CUSIP 02202PAN6		5,434.00	

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Additional information

	THIS PERIOD 0 00	THIS YEAR 365,470.20	Foreign withholding	THIS PERIOD 0 00	THIS YEAR -87 93
Gross proceeds					

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over \$250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0 05	0 10	6,320.04	6 32
Interest Period 12/01/10 - 12/31/10				
Total Cash and Sweep Balances	0.05		\$6,320.04	\$6.32

* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable)

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ALCATEL-LUCENT ADR									
ALU									
Acquired 08/30/00 L		44,48540	172 52	7,671.52		131.68	-7,539.84		
Acquired 09/19/00 L		214,62260	141 15	30,281.26		635.28	-29,645.98		
Acquired 11/21/03 L		585,33450	15 68	9,175.84		1,732.58	-7,443.26		
Acquired 12/08/05 L		975,55750	14 37	14,018.64		2,887.66	-11,130.98		
Total	0.04	1,820		\$61,147.26	2,9600	\$5,387.20	-\$55,760.06	N/A	N/A
AMERICAN INTL GROUP INC									
AIG									
Acquired 10/25/04 L		200	1,112 00	222,610 00		11,524 00	-211,086 00		
Acquired 11/21/07 L		25	1,034 20	25,880 00		1,440 50	-24,439 50		
Acquired 01/22/08 L		100	1,003 00	100,393 00		5,762 00	-94,631 00		

Attachment 6.3

(1/7)

001 PINK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/11/08 L		325	42.60	13,909.35	57.6200	18,726.50	4,817.15		
Total	0.30	650		\$362,792.35	57.6200	\$37,453.00	-\$325,339.35	N/A	N/A
AOL INC									
AOL									
Acquired 12/19/00 L		30	74.45	2,245.11		715.12	-1,529.99		
Acquired 12/26/00 L		6	67.27	405.75		143.03	-262.72		
Acquired 11/21/03 L		36	26.34	953.35		858.15	-95.20		
Acquired 11/16/04 L		114	29.41	3,369.91		2,717.47	-652.44		
Total	0.04	187		\$6,974.12	23.7100	\$4,433.77	-\$2,540.35	N/A	N/A
CITIGROUP INC									
C									
Acquired 10/22/07 L		1,000	42.32	42,360.00		4,730.00	-37,630.00		
Acquired 10/22/07 L		2,010	42.25	85,018.98		9,507.30	-75,511.68		
Acquired 01/22/08 L		5,000	23.90	119,650.00		23,650.00	-96,000.00		
Acquired 08/12/09 L		9,600	3.94	37,858.56		45,408.00	7,549.44		
Acquired 08/12/09 L		400	3.93	1,577.08		1,892.00	314.92		
Total	0.68	18,010		\$286,464.62	4.7300	\$85,187.30	-\$201,277.32	N/A	N/A
FINANCIAL SELECT ET									
SECTOR SPDR									
XLF									
On Reinvestment									
Acquired 01/22/08 L		15,000	24.91	373,887.00		239,249.85	-134,637.15		
Acquired 03/06/08 L		5,000	24.30	121,584.00		79,750.00	-41,834.00		
Acquired 06/27/08 L		5,000	20.80	104,050.00		79,750.00	-24,300.00		
Acquired 10/24/08 L		3,500	14.00	49,000.00		55,825.00	6,825.00		
Acquired 11/18/08 L		3,250	11.80	38,366.58		51,837.50	13,470.92		
Acquired 01/30/09 L		5,000	9.65	48,290.00		79,750.00	31,460.00		
Acquired 10/29/09 L		10,000	14.46	144,636.00		159,500.10	14,864.10		
Reinvestments L		472,59700	13.59	6,426.44		7,537.94	1,111.50		
Reinvestments S		488,27000	15.18	7,415.96		7,787.93	371.97		
Total	6.06	47,710.86700		\$893,655.98	15.9500	\$760,988.32	-\$132,667.66	\$7,442.89	0.98
GENERAL ELECTRIC COMPANY									
GE									
Acquired 10/22/08 L		1,300	19.11	24,843.00		23,777.00	-1,066.00		
Acquired 11/11/08 L		1,000	17.87	17,878.00		18,290.00	412.00		
Acquired 09/08/10 S		3,059	15.74	48,170.07		55,949.11	7,779.04		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER. 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/08/10 S		941	15.75	14,820.75	18.2900	17,210.89	2,390.14		
Total	0.92	6,300		\$105,711.82	18.2900	\$115,227.00	\$9,515.18	\$3,528.00	3.06
HEWLETT-PACKARD COMPANY									
HPQ		2,000	31.00	62,200.00		84,199.98	21,999.98		
Acquired 12/26/00 L		4,11400	38.89	160.00		173.21	13.21		
Reinvestments L									
Total	0.67	2,004.11400		\$62,360.00	42.1000	\$84,373.19	\$22,013.19	\$641.31	0.76
HOVNANIAN ENTERPRISES									
INC CL A									
HOV		5,000	4.75	23,900.00	4.0900	20,450.00	-3,450.00	N/A	N/A
Acquired 07/07/08 L	0.16								
INTEL CORP									
INTC		1,000	23.38	23,430.00	21.0300	21,030.00	-2,400.00	630.00	2.99
Acquired 12/30/04 L	0.17								
ISHARES FTSE CHINA 25									
INDEX FUND									
FXI		1,100	22.09	24,299.44		47,398.99	23,099.55		
On Reinvestment		15,14900	39.96	605.41		652.77	47.36		
Acquired 10/24/08 L		16,92700	41.49	702.38		729.39	27.01		
Reinvestments L									
Reinvestments S									
Total	0.39	1,132.07600		\$25,607.23	43.0900	\$48,781.15	\$23,173.92	\$710.94	1.46
ISHARES MSCI BRAZIL									
INDEX									
EWZ		800	30.27	24,220.80		61,919.99	37,699.19		
On Reinvestment		29,72600	70.73	2,102.70		2,300.80	198.10		
Acquired 10/24/08 L		30,25200	74.97	2,268.19		2,341.50	73.31		
Reinvestments L									
Reinvestments S									
Total	0.53	859.97600		\$28,591.69	77.4000	\$66,562.29	\$37,970.60	\$2,413.95	3.63
ISHARES TR - RUSSELL									
2000 INDEX FD									
IWM		2,600	76.22	198,006.04		203,423.97	5,417.93		
On Reinvestment		1,000	45.83	45,838.00		78,240.01	32,402.01		
Acquired 03/14/07 L		600	40.98	24,588.00		46,944.01	22,356.01		
Acquired 10/27/08 L									
Acquired 11/20/08 L									

Attachment 6.3

(3/7)

001 PNK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L		42 86100	56 96	2,441 52		3,353 44	911 92		
Reinvestments S		54 17400	70 32	3,809 65		4,238 58	428 93		
Total	2.68	4,297.03500		\$274,683.21	78.2400	\$336,200.01	\$61,516.80	\$3,841 54	1.14
JPMORGAN CHASE & CO									
JPM									
Acquired 08/30/00 L		185	53 45	9,970 00		7,847 70	-2,122 30		
Acquired 09/19/00 L		849	46 97	39,976 00		36,014 58	-3,961 42		
Acquired 09/07/07 L		1,000	43 33	43,389 00		42,420 00	-969 00		
Acquired 01/08/08 L		1,000	39 62	39,660 00		42,420 00	2,760 00		
Acquired 01/22/08 L		1,000	38 88	38,928 50		42,420 00	3,491 50		
Total	1.36	4,034		\$171,923.50	42.4200	\$171,122.28	-\$801.22	\$806.80	0.47
MERIDIAN INTERSTATE									
BANCORP									
EBSB									
Acquired 01/15/08 L	1.00	10,602	10 00	106,020 00	11.7900	124,997 58	18,977 58	N/A	N/A
MICROSOFT CORP									
MSFT									
Acquired 12/19/00 L		1,000	23.53	23,527 04		27,910 00	4,382 96		
Acquired 11/11/08 L		1,000	21.19	21,198 00		27,910 00	6,712 00		
Total	0.44	2,000		\$44,725.04	27.9100	\$55,820.00	\$11,094.96	\$1,280.00	2.29
NORTEL NETWORKS CORP									
NRTLQ									
Acquired 12/30/04 L		1,242	35.31	43,842 60	0.0138	17 13	-43,825 47	N/A	N/A
PEPSICO INCORPORATED									
PEP									
Acquired 01/27/06 L		300	56.00	16,815 00		19,599 00	2,784 00		
Acquired 07/22/08 L		2,700	66.13	178,596 36		176,390 97	-2,205 39		
Reinvestments L		24 48800	55.12	1,350 00		1,599.83	249.83		
Total	1.57	3,024.48800		\$196,761.36	65.3300	\$197,589.80	\$828.44	\$5,807.01	2.94
POWERSHARES QQQ ET									
SERIES 1									
QQQQ									
On Reinvestment									
Acquired 10/24/08 L		1,625	29 50	47,937 50		88,497 48	40,559 98		
Acquired 11/19/08 L		1,000	27 00	27,000 00		54,460 00	27,460 00		
Reinvestments L		9 87400	43.20	426 57		537 74	111 17		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		18 78800	50 71	952 81		1,023 20	70 39		
Total	1.15	2,653,66200		\$76,316.88	54.4600	\$144,518.42	\$68,201.54	\$957.97	0.66
PROSHARES TR ET ULTRA FINANCIALS NEW NON-TRADITIONAL UYG									
On Reinvestment									
Acquired 01/30/09 L		1,500	35 10	52,650.00		99,569 99	46,919 99		
Reinvestments L		6 56347	47 77	313 59		435 68	122 09		
Reinvestments S		1 74473	67 63	118 01		115 82	-2 19		
Total	0.80	1,508,30820		\$53,081.60	66.3800	\$100,121.49	\$47,039.89	\$117.64	0.12
PULTE GROUP INC PHM									
Acquired 10/19/07 L		4,000	13 73	55,083 20		30,080 00	-25,003 20		
Acquired 11/21/07 L		4,000	10 09	40,515 20		30,080 00	-10,435 20		
Acquired 07/11/08 L		1,600	8 42	13,520 00		12,032 00	-1,488 00		
Acquired 07/15/09 L		2,400	8 42	20,280 00		18,048 00	-2,232 00		
Total	0.72	12,000		\$129,398.40	7.5200	\$90,240.00	-\$39,158.40	N/A	N/A
SINOPEC SHANGHAI SPONS ADR SHI									
Acquired 10/25/07 L		100	81 25	8,130.00		5,157.00	-2,973 00		
Acquired 10/25/07 L		900	81 35	73,266 12		46,413 00	-26,853 12		
Acquired 01/22/08 L		1,000	43 06	43,100 00		51,570 00	8,470 00		
Total	0.82	2,000		\$124,496.12	51.5700	\$103,140.00	-\$21,356.12	\$792.00	0.77
SPDR DOW JONES INDL ET AVERAGE ETF TR DIA									
On Reinvestment									
Acquired 10/24/08 L		600	83 00	49,800.00		69,377 99	19,577 99		
Acquired 10/27/08 L		600	82 54	49,526 40		69,378 00	19,851 60		
Acquired 11/20/08 L		325	76 00	24,700 00		37,579 76	12,879 76		
Reinvestments L		21 56794	95 65	2,063 16		2,493 90	430 74		
Reinvestments S		38 80706	107 03	4,153 69		4,487 26	333 57		
Total	1.46	1,585,37500		\$130,243.25	115.6300	\$183,316.91	\$53,073.66	\$3,993.55	2.18

Attachment 6.3

(5/7)

201 PNK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
SPDR S&P MIDCAP 400 ET									
TRUST SERIES N									
MDY									
On Reinvestment									
Acquired 10/24/08 L		500	90.25	45,125.00		82,340.00	37,215.00		
Acquired 10/27/08 L		500	88.62	44,311.00		82,340.00	38,029.00		
Acquired 11/20/08 L		300	80.00	24,000.00		49,403.99	25,403.99		
Reinvestments L		8,63600	118.01	1,019.20		1,422.18	402.98		
Reinvestments S		9,44600	145.51	1,374.52		1,555.57	181.05		
Total	1.73	1,318.08200		\$115,829.72	164.6800	\$217,061.74	\$101,232.02	\$1,981.07	0.91
SPDR S&P 500 TRUST ET									
SPY									
On Reinvestment									
Acquired 11/20/08 L		325	79.00	25,675.00		40,868.74	15,193.74		
Reinvestments L		3,26900	102.31	334.48		411.08	76.60		
Reinvestments S		6,39500	113.96	728.82		804.17	75.35		
Total	0.34	334.66400		\$26,738.30	125.7500	\$42,083.99	\$15,345.69	\$758.68	1.80
TIME WARNER CABLE									
TWC									
Acquired 12/19/00 L		83,54840	130.51	10,888.63		5,516.70	-5,371.93		
Acquired 12/26/00 L		16,70970	117.93	1,967.88		1,103.34	-864.54		
Acquired 11/21/03 L		100,25810	46.18	4,623.71		6,620.04	1,996.33		
Acquired 11/16/04 L		317,48380	51.55	16,343.83		20,963.46	4,619.63		
Total	0.27	518		\$33,824.05	66.0300	\$34,203.54	\$379.49	\$828.80	2.42
TIME WARNER INC NEW									
TWX									
Acquired 12/19/00 L		333,22580	92.54	30,829.92		10,719.87	-20,110.05		
Acquired 12/26/00 L		66,64520	83.62	5,571.81		2,143.98	-3,427.83		
Acquired 11/21/03 L		399,87100	32.74	13,091.51		12,863.85	-227.66		
Acquired 11/16/04 L		1,266,25800	36.55	46,275.71		40,735.52	-5,540.19		
Total	0.53	2,066		\$95,768.95	32.1700	\$66,463.22	-\$29,305.73	\$1,756.10	2.64
TOLL BROTHERS									
TOL									
Acquired 10/19/07 L		2,500	20.60	51,600.00		47,500.00	-4,100.00		
Acquired 11/21/07 L		2,500	18.88	47,296.50		47,500.00	203.50		
Total	0.76	5,000		\$98,896.50	19.0000	\$95,000.00	-\$3,896.50	N/A	N/A

Attachment 6.3

(6/7)

001 PNK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

STOCKS and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
UNITED TECHNOLOGIES CORP									
UTX	0.94	1,500	66.99	100,545.00	78.7200	118,080.00	17,535.00	2,550.00	2.15
VODAFONE GROUP PLC									
SPONSORED ADR NEW									
VOD	0.18	875	42.61	37,288.00	26.4400	23,135.00	-14,153.00	1,141.00	4.93
Acquired 12/19/00 L									
Total Stocks and ETFs	26.71			\$3,741,017.55		\$3,352,984.33	\$-388,033.22	\$41,979.25	1.25
Total Stocks, options & ETFs	26.71			\$3,741,017.55		\$3,352,984.33	\$-388,033.22	\$41,979.25	1.25

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total Return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL INCOME BLD									
CL A									
CAIBX									
On Reinvestment									
Acquired 01/24/05 L		3,838.77200	52.10	200,000.00		191,593.07	-8,406.93		
Acquired 06/22/05 L		0.00400	52.74	0.21		0.20	-0.01		
Acquired 09/27/05 L		0.00400	53.94	0.21		0.20	-0.01		
Acquired 12/23/05 L		0.00600	52.93	0.31		0.30	-0.01		
Acquired 12/23/05 L		0.00200	52.93	0.10		0.10	0.00		
Acquired 12/27/05 L		0.00400	52.93	0.21		0.20	-0.01		
Acquired 06/21/06 L		0.00400	54.31	0.21		0.20	-0.01		
Acquired 09/21/06 L		0.00400	58.11	0.23		0.20	-0.03		
Acquired 12/26/06 L		0.00900	60.76	0.54		0.45	-0.09		
Acquired 12/27/06 L		0.00400	60.76	0.24		0.20	-0.04		
Acquired 03/22/07 L		0.00400	61.41	0.24		0.20	-0.04		
Acquired 09/25/07 L		0.00400	65.85	0.26		0.20	-0.06		
Acquired 11/15/07 L		1,527.18400	65.48	100,000.00		76,221.75	-23,778.25		
Acquired 12/26/07 L		0.00400	61.61	0.24		0.20	-0.04		
Acquired 12/26/07 L		0.01900	61.61	1.17		0.95	-0.22		

Attachment 6.3

(7/7)

31 PMK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
UNITED TECHNOLOGIES CORP									
UTX	0.94	1,500	66.99	100,545.00	78.7200	118,080.00	17,535.00	2,550.00	2.15
Acquired 01/22/08 L									
VODAFONE GROUP PLC									
SPONSORED ADR NEW									
VOD	0.18	875	42.61	37,288.00	26.4400	23,135.00	-14,153.00	1,141.00	4.93
Acquired 12/19/00 L									
Total Stocks and ETFs	26.71			\$3,741,017.55		\$3,352,984.33	-\$388,033.22	\$41,979.25	1.25
Total Stocks, options & ETFs	26.71			\$3,741,017.55		\$3,352,984.33	-\$388,033.22	\$41,979.25	1.25

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL INCOME BLDG									
CL A									
CAIBX									
On Reinvestment									
Acquired 01/24/05 L		3,838.77200	52.10	200,000.00		191,593.07	-8,406.93		
Acquired 06/22/05 L		0.00400	52.74	0.21		0.20	-0.01		
Acquired 09/27/05 L		0.00400	53.94	0.21		0.20	-0.01		
Acquired 12/23/05 L		0.00500	52.93	0.31		0.30	-0.01		
Acquired 12/23/05 L		0.00200	52.93	0.10		0.10	0.00		
Acquired 12/27/05 L		0.00400	52.93	0.21		0.20	-0.01		
Acquired 06/21/06 L		0.00400	54.31	0.21		0.20	-0.01		
Acquired 09/21/06 L		0.00400	58.11	0.23		0.20	-0.03		
Acquired 12/26/06 L		0.00900	60.76	0.54		0.45	-0.09		
Acquired 12/27/06 L		0.00400	60.76	0.24		0.20	-0.04		
Acquired 03/22/07 L		0.00400	61.41	0.24		0.20	-0.04		
Acquired 09/25/07 L		0.00400	65.85	0.26		0.20	-0.06		
Acquired 11/15/07 L		1,527.18400	65.48	100,000.00		76,221.75	-23,778.25		
Acquired 12/26/07 L		0.00400	61.61	0.24		0.20	-0.04		
Acquired 12/26/07 L		0.01900	61.61	1.17		0.95	-0.22		

Attachment 6.4

(1/7)

01 PNR3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds Continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/27/07 L		0.00400	61.61	0.24		0.20	-0.04		
Acquired 01/08/08 L		1.625	75200	100,000.00		81,141.30	-18,858.70		
Acquired 06/23/08 L		0.00500	57.07	0.28		0.25	-0.03		
Acquired 09/26/08 L		0.00500	52.28	0.26		0.25	-0.01		
Acquired 12/29/08 L		0.00600	40.69	0.24		0.30	0.06		
Acquired 12/30/08 L		0.00700	40.69	0.28		0.35	0.07		
Acquired 03/23/09 L		0.00600	37.17	0.22		0.30	0.08		
Reinvestments L		1.370	29900	72,087.05		68,391.64	-3,695.41		
Reinvestments S		356	47600	17,074.25		17,791.71	717.46		
Total	3.47	8,718.58800		\$489,166.99	49.9100	\$435,144.72	-\$54,022.27	\$15,475.49	3.56
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$400,005.69			
						\$35,139.03			
CAPITAL WORLD GROWTH & INCOME FD INC CLASS A									
CWGIX									
On Reinvestment									
Acquired 02/15/06 L		5,485.46700	37.60	206,253.56		195,940.83	-10,312.73		
Acquired 03/13/07 L		2,430.72400	41.14	100,000.00		86,825.46	-13,174.54		
Acquired 10/19/07 L		2,069.53600	48.32	100,000.00		73,923.83	-26,076.17		
Acquired 11/15/07 L		5,226.84500	47.83	250,000.00		186,702.90	-63,297.10		
Acquired 01/08/08 L		2,292.00100	43.63	100,000.00		81,870.28	-18,129.72		
Reinvestments L		3,142.51600	38.27	120,292.84		112,250.70	-8,042.14		
Reinvestments S		570.66000	32.87	18,762.71		20,383.99	1,621.28		
Total	6.04	21,217.74900		\$895,309.11	35.7200	\$757,897.99	-\$137,411.12	\$16,974.19	2.24
Client Investment (Excluding Reinvestments)						\$756,253.56			
Gain/Loss on Client Investment (Including Reinvestments)						\$1,644.43			
COLUMBIA ACORN SELECT									
FUND CLASS A									
LTFAX									
On Reinvestment									
Acquired 07/26/07 L		3,405.99500	29.36	100,000.00		95,163.47	-4,836.53		
Acquired 08/14/07 L		889.36300	28.11	25,000.00		24,848.80	-151.20		
Acquired 08/16/07 L		1,379.69100	27.18	37,500.00		38,548.57	1,048.57		
Acquired 01/08/08 L		3,850.59700	25.97	100,000.00		107,585.68	7,585.68		
Reinvestments L		349.20400	26.52	9,262.31		9,756.78	494.47		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.20	9,874.85000		\$271,762.31	27.9400	\$275,903.30	\$4,140.99	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$262,500.00			
						\$13,403.30			
FIDELITY									
ADVISOR NEW INSIGHTS FD									
CLASS A									
FNIAX									
On Reinvestment									
Acquired 01/17/06 L		5,668.93400	17.64	100,000.00		113,151.87	13,151.87		
Acquired 01/20/06 L		5,790.38800	17.27	100,000.00		115,576.14	15,576.14		
Acquired 02/23/06 L		5,750.43100	17.39	100,000.00		114,778.60	14,778.60		
Acquired 12/29/06 L		5,443.65800	18.37	100,000.00		108,655.41	8,655.41		
Acquired 01/22/08 L		7,820.64700	19.18	150,000.00		156,100.11	6,100.11		
Acquired 06/03/08 L		4,873.29400	20.52	100,000.00		97,271.00	-2,729.00		
Reinvestments L		766.04900	20.60	15,781.91		15,290.34	-491.57		
Reinvestments S		90.26000	17.21	1,553.79		1,801.60	247.81		
Total	5.76	36,203.66100		\$667,335.70	19.9600	\$722,625.07	\$55,289.37	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$650,000.00			
						\$72,625.07			
Client Investment (Including Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
IVY FDS INC									
ASSET STRATEGY FD CL A									
WASAX									
On Reinvestment									
Acquired 06/03/08 L		3,668.37900	27.26	100,000.00		89,545.09	-10,454.91		
Acquired 06/18/08 L		3,657.64400	27.34	100,000.00		89,283.09	-10,716.91		
Reinvestments L		1,045.23600	17.70	18,505.55		25,514.24	7,008.69		
Reinvestments S		15.89900	24.22	385.08		388.10	3.02		
Total	1.63	8,387.15800		\$218,890.63	24.4100	\$204,730.52	-\$14,160.11	\$385.80	0.19
Client Investment (Excluding Reinvestments)									
						\$200,000.00			
						\$4,730.52			
Client Investment (Including Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
MUTUAL SER FD INC									
EUROPEAN FD CLASS Z									
MEURX									
On Reinvestment									
Acquired 12/19/00 L		62,213.69100	15.20	945,648.10		1,335,727.95	390,079.85		
Acquired 12/21/00 L		11,270.32900	15.17	170,970.89		241,973.85	71,002.96		

Attachment 6.4

(3/7)

-001 PNK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds Continued									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/26/01 L		40 77300	15 86	646 66		875 40	228 74		
Acquired 06/26/01 L		194 59800	15 86	3,086 32		4,178 02	1,091 70		
Acquired 12/18/01 L		1,622 66200	14 12	22,911 99		34,838 55	11,926 56		
Acquired 05/21/10 S		5,128 20500	19 50	100,040 00		110,102 61	10,062 61		
Reinvestments L		30,820 91400	22 02	678,831 79		661,725 01	-17,106 78		
Reinvestments S		3,499 01000	21 10	73,835 56		75,123 81	1,288 25		
Total	19.64	114,790.18200		\$1,995,971.31	21.4700	\$2,464,545.20	\$468,573.89	\$75,531.93	3.06
Client Investment (Excluding Reinvestments)									
						\$1,243,303 96			
Gain/Loss on Client Investment (Including Reinvestments)						\$1,221,241 24			

MUTUAL SER FD INC
GLBL DISCOVERY FUND CL Z

CREDIT ADVISORY: FUND CLOSURE									
MIDISX									
On Reinvestment									
Acquired 12/19/00 L	33,484.57500	18.55	621,138.87	988,799.16	367,660.29				
Acquired 06/27/01 L	201.74000	19.77	3,988.40	5,957.39	1,968.99				
Acquired 06/27/01 L	207.52700	19.77	4,102.81	6,128.28	2,025.47				
Acquired 06/27/01 L	304.05700	19.77	6,011.21	8,978.81	2,967.60				
Acquired 12/18/01 L	2,086.44500	17.66	36,846.62	61,612.76	24,766.14				
Reinvestments L	11,510.28000	25.07	288,670.16	339,898.81	51,228.65				
Reinvestments S	928.17900	29.30	27,197.37	27,409.16	211.79				
Total	11.46	48,722.80300	\$987,955.44	\$1,438,784.37	\$450,828.93				
Client Investment (Excluding Reinvestments)									
				\$672,087.91					
Gain/Loss on Client Investment (Including Reinvestments)				\$766,696.46					

DAVIS FINANCIAL FUND

CRAVIST INTERNATIONAL LTD									
CL A									
RPFGX									
On Reinvestment									
Acquired 01/04/08 L		6,375.92500	39.21	250,000.00		202,499.31	-47,500.69		
Acquired 01/08/08 L		3,888.02500	38.58	150,000.00		123,483.67	-26,516.33		
Acquired 06/03/08 L		2,748.00800	36.39	100,000.00		87,276.73	-12,723.27		
Acquired 06/03/08 L		10,992.03100	36.39	400,000.00		349,106.90	-50,893.10		
Reinvestments L		2,977.60100	17.45	51,970.88		94,568.67	42,597.79		
Reinvestments S		199.31100	30.72	6,124.82		6,330.13	205.31		
Total	6.88	27,180.90100		\$958,095.70	31.7600	\$863,265.41	-\$94,830.29		
Client Investment (Excluding Reinvestments)									
						\$900,000.00			
Gain/Loss on Client Investment (Including Reinvestments)							-\$36,734.59		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DAVIS NEW YORK VENTURE									
FUND CL A									
NYVTX									
On Reinvestment									
Acquired 01/17/06 L		2,890.17300	34.60	100,000.00		99,248.51	-751.49		
Acquired 01/20/06 L		2,931.69200	34.11	100,000.00		100,674.30	674.30		
Acquired 02/23/06 L		2,900.23200	34.48	100,000.00		99,593.97	-406.03		
Acquired 12/29/06 L		2,596.05400	38.52	100,000.00		89,148.49	-10,851.51		
Acquired 01/09/07 L		1,309.58600	38.18	50,000.00		44,971.18	-5,028.82		
Acquired 01/11/08 L		5,265.92900	37.98	200,000.00		180,832.00	-19,168.00		
Reinvestments L		611.56300	29.03	17,754.36		21,001.10	3,246.74		
Reinvestments S		211.44000	32.81	6,939.46		7,260.86	321.40		
Total	5.12	18,716.66900		\$674,693.82	34.3400	\$642,730.41	-\$31,963.41	\$7,018.75	1.09
Client Investment (Excluding Reinvestments)									
						\$650,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						-\$7,269.59			
OPPENHEIMER DEVELOPING									
MKTS FDS CLASS A									
ODMAX									
On Reinvestment									
Acquired 01/17/06 L		2,617.80100	38.20	100,000.00		95,471.18	-4,528.82		
Acquired 01/20/06 L		2,636.43600	37.93	100,000.00		96,150.82	-3,849.18		
Reinvestments L		5,250.37500	22.88	120,144.56		191,481.20	71,336.64		
Reinvestments S		14.49800	35.40	513.36		528.74	15.38		
Total	3.06	10,519.11000		\$320,657.92	36.4700	\$383,631.94	\$62,974.02	\$515.43	0.13
Client Investment (Excluding Reinvestments)									
						\$200,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$183,631.94			
OPPENHEIMER INTL SMALL									
CO FD CL A									
OSMAX									
On Reinvestment									
Acquired 07/10/07 L		1,420.85800	35.19	50,000.00		35,024.14	-14,975.86		
Acquired 08/16/07 L		897.02200	27.87	25,000.00		22,111.59	-2,888.41		
Acquired 10/19/07 L		2,874.38900	34.79	100,000.00		70,853.69	-29,146.31		
Acquired 11/15/07 L		2,975.30500	33.61	100,000.00		73,341.27	-26,658.73		
Acquired 12/17/07 L		1,928.26800	25.93	50,000.00		47,531.81	-2,468.19		
Acquired 01/04/08 L		1,884.65900	26.53	50,000.00		46,456.84	-3,543.16		
Acquired 01/22/08 L		3,488.37200	21.50	75,000.00		85,988.37	10,988.37		
Acquired 08/12/08 L		2,941.17600	17.00	50,000.00		72,499.99	22,499.99		

Attachment 6.4

(5/7)

001 PNK3 PNAO

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L		2,521	52000	62,668.32		62,155.47	-512.85		
Reinvestments S		1,901	46700	45,273.94		46,871.16	1,597.22		
Total	4.48	22,833.03600		\$607,942.26	24.6500	\$562,834.33	-\$45,107.93	\$49,387.85	8.77
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$500,000.00			
						\$62,834.33			

ROYCE FUND
VALUE PLUS FUND
SERVICE CLASS
RYVPX

On Reinvestment
Acquired 09/08/10 S
Reinvestments S

17,873 10100
46 24200

11 19 200,050.00
609.47

239,856.84 39,806.84
620.74 11.27

Total 1.92 17,919.34300 13.4200 \$240,477.58 \$39,818.11 \$609.25 0.25

Client Investment (Excluding Reinvestments)

Gain/Loss on Client Investment (Including Reinvestments)

\$200,050.00
\$40,427.58

\$8,992,570.84 \$704,130.18

Total Open End Mutual Funds 71.65 \$8,992,570.84 \$704,130.18 \$199,792.02 2.22

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THE INDIA FUND INC									
IFN									
On Reinvestment									
Acquired 03/05/07 L		1,250	36.10	45,125.00		43,887.49	-1,237.51		
Acquired 01/30/09 L		3,000	17.89	53,697.90		105,330.00	51,632.10		
Acquired 08/21/09 L		1,416	N/A##	37,410.72		49,715.76	12,305.04		
Reinvestments S		13 13200	38.83	509.94		461.07	-48.87		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	1.59	5,679.13200		\$136,743.56	35.1100	\$199,394.32	\$62,650.76	\$511.12	0.26
Client Investment (Excluding Reinvestments)									
						\$136,233.62			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$63,160.70			
Total Closed End Mutual Funds	1.59			\$136,743.56		\$199,394.32	\$62,650.76	\$511.12	0.26
Total Mutual Funds	73.24			\$8,425,184.22		\$9,191,965.16	\$766,780.94	\$200,303.14	2.18

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	6,319.57	12/31
Total Bank Deposits	\$6,319.57	

Activity detail by date

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			4,398.01
12/01	Cash	DIVIDEND		INTEL CORP 120110 1.000		157.50	4,555.51
12/02	Cash	DIVIDEND		DAVIS FINANCIAL FUND CL A		6,124.82	
12/02	Cash	DIVIDEND		120210 27,180.90100		6,939.46	
12/02	Cash	REINVEST DIV	199.31100	DAVIS NEW YORK VENTURE FUND CL A 120210 18,716.66900	30 7300	-6,124.82	
				DAVIS FINANCIAL FUND CL A			

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WF I -CORPORATE BONDS-ATT. 7.1	3,880,325.	3,827,813.
TOTALS	<u>3,880,325.</u>	<u>3,827,813.</u>



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CITIGROUP INC C - HELD IN MARGIN Acquired 01/18/08 L	0.71	43,846	3.42	149,999.52	4.7300	207,391.58	57,392.06	N/A	N/A
Total Stocks and ETFs	0.71			\$149,999.52		\$207,391.58	\$57,392.06		
Total Stocks, options & ETFs	0.71			\$149,999.52		\$207,391.58	\$57,392.06		

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROS HOLDINGS INC GLOBAL NOTES CPN 6.625% DUE 01/18/12 DTD 01/10/02 FC 07/18/02 Moody NR, S&P NR CUSIP 52517PSC6 Acquired 05/22/02 L	0.02	25,000	102.23	25,564.00	21.5010	5,375.25	-20,188.75	N/A	N/A
GENERAL ELEC CAP CORP STEP BOND NOTES CALLABLE CPN 5.250% DUE 04/15/13 DTD 04/15/03 FC 05/15/03 CALL 02/15/11 @ 100.000 Moody AA2, S&P AA+ CUSIP 36962GB45 Acquired 01/03/05 L		55,000	99.92	54,957.65		55,271.15	313.50		
Acquired 01/13/05 L		115,000	99.95	114,942.50		115,566.95	624.45		
Acquired 02/01/05 L		125,000	99.96	124,950.00		125,616.25	666.25		
Acquired 02/23/05 L		47,000	99.94	46,972.74		47,231.71	258.97		
Acquired 05/31/05 L		10,000	100.15	10,015.00		10,049.30	34.30		
Acquired 07/26/05 L		100,000	99.92	99,925.00		100,493.00	568.00		
Acquired 08/04/05 L		25,000	100.08	25,020.50		25,123.25	102.75		

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

**Fixed Income Securities
Corporate Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/05/05 L		55,000	100.08	55,045.10		55,271.15	226.05			
Acquired 08/08/05 L		20,000	100.08	20,016.40		20,098.60	82.20			
Acquired 11/21/05 L		85,000	98.78	83,963.00		85,419.05	1,456.05			
Acquired 01/19/06 L		20,000	98.80	19,760.00		20,098.60	338.60			
Acquired 02/28/06 L		25,000	99.50	24,875.00		25,123.25	248.25			
Acquired 03/07/06 L		20,000	99.11	19,822.00		20,098.60	276.60			
Total	2.43	702,000		\$700,264.89	100.4930	\$705,460.86	\$5,195.97	\$1,638.00	\$36,855.00	5.22
NEXTEL COMMUNICATIONS										
SERIES E										
CALLABLE										
CPN 6.875% DUE 10/31/13										
DTD 04/30/05 FC 10/31/05										
CALL 10/31/11 @ 100.000										
Moody BA2, S&P BB-										
CUSIP 65332VBH5										
Acquired 10/06/05 L	0.45	130,000	108.37	140,887.50	100.0000	130,000.00	-10,887.50	1,489.58	8,937.50	6.87
GENERAL ELEC CAP CORP										
GLOBAL STEP CPN NOTES										
SEMI ANNUAL PAY - CALLABLE										
CPN 6.000% DUE 05/15/15										
DTD 05/15/03 FC 11/15/03										
CALL 05/15/11 @ 100.000										
Moody AA2, S&P AA+										
CUSIP 36962GB86										
Acquired 04/29/03 L		100,000	100.00	100,000.00		100,122.00	122.00			
Acquired 10/21/04 L		110,000	100.36	110,403.70		110,134.20	-269.50			
Acquired 12/03/04 L		100,000	100.14	100,146.00		100,122.00	-24.00			
Acquired 01/04/05 L		155,000	100.08	155,124.00		155,189.10	65.10			
Acquired 01/13/05 L		125,000	100.02	125,027.50		125,152.50	125.00			
Acquired 02/16/05 L		59,000	99.78	58,871.97		59,071.98	200.01			
Acquired 05/11/05 L		10,000	98.67	9,867.00		10,012.20	145.20			
Acquired 07/21/05 L		15,000	98.53	14,779.50		15,018.30	238.80			
Acquired 10/17/06 L		37,000	98.89	36,589.30		37,045.14	455.84			
Acquired 12/28/06 L		46,000	99.27	45,664.20		46,056.12	391.92			
Acquired 02/21/07 L		145,000	99.62	144,456.25		145,176.90	720.65			
Acquired 04/24/07 L		12,000	100.00	12,000.72		12,014.54	13.92			
Total	3.15	914,000		\$912,930.14	100.1220	\$915,115.08	\$2,184.94	\$7,007.33	\$54,840.00	5.99

Attachment 7.1

(2/7)

201 PINK PMAO



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
HSBC FINANCE CORP										
NOTES										
CPN 5 500% DUE 01/19/16										
DTD 01/19/06 FC 07/19/06										
Moody A3 , S&P A										
CUSIP 40429CFN7										
Acquired 10/24/08 L	0.63	170,000	88.20 84.08	149,948.62 142,942.80	107.7460	183,168.20	33,219.58	4,207.50	9,350.00	5.10
LASALLE FUNDING LLC										
STEP CPN LASALLE NOTES										
CALLABLE SEMI ANNUAL PAY										
CPN 5 250% DUE 03/15/16										
DTD 03/08/04 FC 09/15/04										
CALL 03/15/11 @ 100.000										
Moody A2 , S&P A										
CUSIP 51803XEQ8										
Acquired 02/04/05 L		50,000	100.00	50,000.00		46,838.00	-3,162.00			
Acquired 02/22/05 L		30,000	99.97	29,991.00		28,102.80	-1,888.20			
Total	0.26	80,000		\$79,991.00	93.6760	\$74,940.80	-\$5,050.20	\$1,236.67	\$4,200.00	5.60
GENERAL ELEC CAP CORP										
STEP CPN GLOBAL NOTES										
SEMI ANNUAL PAY - CALLABLE										
CPN 7 000% DUE 05/09/18										
DTD 05/09/03 FC 11/09/03										
CALL 05/09/11 @ 100.000										
Moody AA2 , S&P AA+										
CUSIP 36962GB60										
Acquired 01/04/05 L		20,000	98.75	19,751.80		19,924.00	172.20			
Acquired 01/26/05 L		10,000	99.25	9,925.80		9,962.00	36.20			
Acquired 03/15/05 L		41,000	98.42	40,352.20		40,844.20	492.00			
Acquired 04/11/05 L		20,000	98.19	19,638.00		19,924.00	286.00			
Acquired 08/04/05 L		4,000	98.66	3,946.40		3,984.80	38.40			
Acquired 08/05/05 L		15,000	98.62	14,793.00		14,943.00	150.00			
Acquired 09/23/05 L		10,000	98.94	9,894.00		9,962.00	68.00			
Total	0.41	120,000		\$118,301.20	99.6200	\$119,544.00	\$1,242.80	\$1,213.33	\$8,400.00	7.03

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED		
									ANNUAL INCOME	ANNUAL YIELD (%)	
GENERAL ELEC CAP CORP											
MEDIUM TERM NOTES SER A											
CALLABLE											
CPN 6.350% DUE 05/12/18											
DTD 05/12/06 FC 11/12/06											
CALL 05/12/11 @ 100.000											
Moody AA2 , S&P AA+											
CUSIP 36962GW91											
Acquired 05/04/06 L											
0.34	100,000	100.00	100,000.00	100.2530	100,253.00	253.00	864.31	6,350.00	6.33		
GENERAL ELEC CAP CORP											
STEP CPN BOND											
MONTHLY PAY - CALLABLE											
CPN 5.500% DUE 12/18/18											
DTD 12/18/03 FC 01/18/04											
CALL 01/18/11 @ 100.000											
Moody AA2 , S&P AA+											
CUSIP 36962GE67											
Acquired 10/14/04 L											
Acquired 11/03/04 L											
0.59	176,000	101.50	51,768.06	97.6710	49,812.21	-1,955.85					
	125,000	100.93	126,166.25		122,088.75	-4,077.50					
Total			\$177,934.31		\$171,900.96	-\$6,033.35	\$349.56	\$9,680.00	5.63		
GENERAL ELEC CAP CORP											
STEP UP NOTES											
CALLABLE											
CPN 5.000% DUE 02/13/19											
DTD 02/13/04 FC 08/13/04											
CALL 02/13/11 @ 100.000											
Moody AA2 , S&P AA+											
CUSIP 36962GF74											
Acquired 10/25/04 L											
Acquired 01/07/05 L											
Acquired 01/18/05 L											
Acquired 01/18/05 L											
Acquired 01/28/05 L											
1.12	326,000	100.99	100,996.00	99.8720	99,872.00	-1,124.00					
	100,000	100.40	100,437.00		99,872.00	-565.00					
	25,000	100.00	25,001.75		24,968.00	-33.75					
	65,000	100.40	65,264.55		64,916.80	-347.75					
	36,000	100.39	36,142.92		35,953.92	-189.00					
Total			\$327,842.22		\$325,582.72	-\$2,259.50	\$6,248.33	\$16,300.00	5.01		

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER 1594-0357

**Fixed Income Securities
Corporate Bonds continued**

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)	
CHES & POT TEL MD										
DEB										
CALLABLE 5/01/13 @100										
CPN 7 150% DUE 05/01/23										
DTD 05/01/93 FC 11/01/93										
CALL 05/01/13 @ 100 000										
Moody BAA1 , S&P A-										
CUSIP 165069AS4		100,000	105.25	105,250.00		100,539.00	-4,711.00			
Acquired 01/06/06 L		30,000	105.25	31,575.00		30,161.70	-1,413.30			
Total	0.45	130,000		\$136,825.00	100.5390	\$130,700.70	-\$6,124.30	\$1,549.17	\$9,295.00	7.11
LEHMAN BROTHERS HOLDINGS										
LEHMAN NOTES										
MONTHLY PAY - CALLABLE										
CPN 6 100% DUE 08/12/23										
DTD 08/12/03 FC 09/12/03										
Moody NR , S&P NR										
CUSIP 52519FBN0										
Acquired 11/23/04 L	0.03	50,000	103.52	51,760.50	20.1550	10,077.50	-41,683.00	N/A	N/A	N/A
GENERAL ELEC CAP CORP										
STEP CPN MED TERM NOTES										
SERIES A/CALLABLE										
CPN 5 500% DUE 04/30/24										
DTD 04/30/04 FC 10/30/04										
CALL 04/30/11 @ 100 000										
Moody AA2 , S&P AA+										
CUSIP 36962GH98		50,000	101.00	50,505.00		48,609.00	-1,896.00			
Acquired 12/07/04 L		10,000	100.88	10,088.20		9,721.80	-366.40			
Acquired 12/31/04 L		43,000	98.50	42,355.00		41,803.74	-551.26			
Acquired 04/12/05 L		18,000	100.00	18,000.00		17,499.24	-500.76			
Acquired 06/13/05 L		54,000	99.25	53,595.00		52,497.72	-1,097.28			
Acquired 08/09/05 L		25,000	96.00	24,000.00		24,304.50	304.50			
Acquired 02/28/06 L		50,000	97.00	48,500.00		48,609.00	109.00			
Acquired 04/09/07 L										
Total	0.84	250,000		\$247,043.20	97.2180	\$243,045.00	-\$3,998.20	\$2,291.67	\$13,750.00	5.66

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
GENERAL ELEC CAP CORP STEP COUPON MEDIUM TERM NOTES SERIES A CALLABLE CPN 5.250% DUE 11/05/24 DTD 11/05/04 FC 05/05/05 CALL 05/05/11 @ 100.000 Moody AA2, S&P AA+										
CUSIP 36962GL93 Acquired 01/24/05 L		50,000	98.31	49,159.50		50,031.00	871.50			
Acquired 02/15/07 L		25,000	95.05	23,762.50		25,015.50	1,253.00			
Total	0.26	75,000		\$72,922.00	100.0620	\$75,046.50	\$2,124.50	\$612.50	\$3,937.50	5.25
REPUBLIC NY CAPITAL I NOTES CALLABLE CPN 7.750% DUE 11/15/26 DTD 05/15/97 FC 11/15/97 CALL 11/15/11 @ 101.830 Moody A3, S&P A-										
CUSIP 760715AB8 Acquired 02/21/07 L		240,000	103.79	249,100.80	98.2880	235,891.20	-13,209.60	2,376.67	18,600.00	7.88
BANK OF AMERICA INTERNOTES CALLABLE/SEMI-ANNUAL PAY CPN 6.750% DUE 05/15/27 DTD 05/16/02 FC 11/15/02 CALL 05/15/11 @ 100.000 Moody A3, S&P A-										
CUSIP 06050XFS7 Acquired 08/12/03 L		35,000	103.35	36,173.20	100.2160	35,075.60	-1,097.60	301.88	2,362.50	6.73
SLM CORP EDNOTES MONTHLY PAY - CALLABLE CPN 6.000% DUE 12/15/28 DTD 09/11/03 FC 10/15/03 Moody BA1, S&P BBB- CUSIP 78490FFV0 Acquired 03/17/04 L										
	0.11	40,000	102.03	40,814.00	76.5810	30,632.40	-10,181.60	106.67	2,400.00	7.83

Attachment 7.1

(6/7)

501 PMS PNAO

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2010
ACCOUNT NUMBER: 1594-0357Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PACIFIC BELL DEBENTURE EXTRAORDINARY CALL CPN 6.625% DUE 10/15/34 DTD 10/15/93 FC 04/15/94 CALL 10/15/13 @ 101.120 Moody A2, S&P A- CUSIP 694032AX1 Acquired 02/09/06 L	0.44	125,000	102.25	127,812.50	101.3400	126,675.00	-1,137.50	1,748.26	8,281.25	6.53
GENERAL ELEC CAP CORP SENIOR NOTES CPN 5.875% DUE 01/14/38 DTD 01/14/08 FC 07/14/08 Moody AA2, S&P AA+ CUSIP 36962G3P7 Acquired 08/22/08 L	0.72	200,000	92.10	184,210.00	104.6640	209,328.00	25,118.00	5,450.69	11,750.00	5.61
Total Corporate Bonds	13.16	3,888,000		\$3,880,325.08 \$3,873,319.26		\$3,827,812.77	-\$52,512.31	\$38,692.12	\$225,288.75	5.89

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP ^A PARTIAL CALL 34073N-Q6-0 B/E GNMA/FNMA/FHLMC CPN 5.150% DUE 01/01/11 DTD 08/17/06 FC 01/01/07 Moody AA1, S&P AA+ CUSIP 340769900 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/07/09 L	0.22	65,000	81.87 81.40	53,220.64 52,910.00	100.5260	65,341.90	12,121.26	N/A	N/A	N/A

Attachment 7.1

(7/7)

001 PINK3 PNAO

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LIPPER CONVERTIBLES	10,746.	10,746.
LONGVIEW FUND	224,171.	224,171.
TOTALS	<u>234,917.</u>	<u>234,917.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DIVIDENDS RECEIVABLE	1,800.	1,800.
CAP GAINS DISTR RECEIVABLE	21,467.	21,467.
TOTALS	<u>23,267.</u>	<u>23,267.</u>

KLEIN FAMILY FOUNDATION

13-4092608

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230		215,000.
TOTAL CONTRIBUTION AMOUNTS		<u>215,000.</u>

ATTACHMENT 10

KLEIN FAMILY FOUNDATION

13-4092608

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ABRAHAM KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0.	0.	0.
SARA DINAH KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHMENT 12.1

NONE

TAX EXEMPT

CHARITABLE

1,129,064.

TOTAL CONTRIBUTIONS PAID

1,129,064.

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS - 2010

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status
Afula Educational Center	1274 - 49th Street Brooklyn, New York 11219	180 00	None	Charitable	Tax Exempt
Agudas Yachad	2 Rechov Hachartzis Ashdod, Israel	1,500 00	None	Charitable	Tax Exempt
Agudath Israel of America	42 Broadway, 14th Floor New York, New York 10004	500 00	None	Charitable	Tax Exempt
Ahavas Chesed (International Medical & Emergency Lifeline)	720 Lefferts Avenue Brooklyn, New York 11203	500 00	None	Charitable	Tax Exempt
Ahavas Chesed (International Medical & Emergency Lifeline)	12 Dunhill Lane Monsey, New York 10952	360 00	None	Charitable	Tax Exempt
Ahavas Sholem	PMG 227 Brooklyn, New York 11230	3,000 00	None	Charitable	Tax Exempt
Ahavas Sholom		1,800 00	None	Charitable	Tax Exempt
Ahavas Sholom	4609 Bay Parkway Brooklyn, New York 11230	5,000 00	None	Charitable	Tax Exempt
Alei Siach	11 Zichron Yaakov Street Jerusalem, Israel	250 00	None	Charitable	Tax Exempt
American Friends of Ashdod Mercaz	5014 16th Avenue Brooklyn, New York 11204	500 00	None	Charitable	Tax Exempt
American Friends of Kollei Zichron Yosef	506 East 7th Street Brooklyn, New York 11218	2,500 00	None	Charitable	Tax Exempt
American Friends of Kollei Zichron Yosef	506 East 7th Street Brooklyn, New York 11218	2,500 00	None	Charitable	Tax Exempt
American Friends of Levush Malchus	1352 East 23rd Street Brooklyn, New York 11210	500 00	None	Charitable	Tax Exempt
American Friends of Tiferes Moshe Bezael	1368 44th Street Brooklyn, New York 11219	16,000 00	None	Charitable	Tax Exempt
American Friends of Tiferes Moshe Bezael	1368 44th Street Brooklyn, New York 11219	8,000 00	None	Charitable	Tax Exempt
American Friends of Tiferes Moshe Bezael	1368 44th Street Brooklyn, New York 11219	180,000 00	None	Charitable	Tax Exempt
American Friends of Tiferes Moshe Bezael	1368 44th Street Brooklyn, New York 11219	3,800 00	None	Charitable	Tax Exempt
American Friends of Tiferes Moshe Bezael	1368 44th Street Brooklyn, New York 11219	16,500 00	None	Charitable	Tax Exempt
Ar Sabichabura	Hamacabi Jerusalem, Israel	5,000 00	None	Charitable	Tax Exempt
Bais Varda	Eliezerov 6 Jerusalem, Israel	3,600 00	None	Charitable	Tax Exempt
Bais Yaakov D'Chassidei Gur	1975 51st Street Brooklyn, New York 11204	1,800 00	None	Charitable	Tax Exempt
Bais Yaakov D'Chassidei Gur	1975 51st Street Brooklyn, New York 11204	1,500 00	None	Charitable	Tax Exempt
Bais Yaakov of Ger		250 00	None	Charitable	Tax Exempt
Beit Hachasidim Betshemesh		7,500 00	None	Charitable	Tax Exempt
Beth Hatalmud	2127 82nd Street Brooklyn, New York 11214	250 00	None	Charitable	Tax Exempt
Biyala Yeshiva	1405 59th Street Brooklyn, New York 11219	1,800 00	None	Charitable	Tax Exempt
Biyala Yeshiva	1405 59th Street Brooklyn, New York 11219	1,800 00	None	Charitable	Tax Exempt
Biyala Yeshiva	1405 59th Street Brooklyn, New York 11219	1,800 00	None	Charitable	Tax Exempt
Bonei Alam	1755 46th Street Brooklyn, New York 11204	1,000 00	None	Charitable	Tax Exempt
Business Halacha Institute	441 West End Avenue New York, New York 10024	15,000 00	None	Charitable	Tax Exempt
Chaim V'Chesed, Inc	169 Hewes Street Brooklyn, New York 11211	5,000 00	None	Charitable	Tax Exempt
Chevra Tzadaka Vchesed	673 Bedford Ave Brooklyn, New York 11211	360 00	None	Charitable	Tax Exempt
Chofetz Chaim Heritage Foundation	361 Spook Rock Road 9921	180 00	None	Charitable	Tax Exempt
Congregation Adas Yosef	1825 59th Street Brooklyn, New York 11204	1,800 00	None	Charitable	Tax Exempt
Congregation Bais Aharon		500 00	None	Charitable	Tax Exempt
Congregation Bais Avrohom	Rechov Salant 17 Jerusalem, Israel	180 00	None	Charitable	Tax Exempt
Congregation Be'er Avraham Dchassidei Slonim	2 Phyllis Terrace Monsey, New York 10852	250 00	None	Charitable	Tax Exempt
Congregation Beth Mordechai Semihaly	5820 21st Avenue Brooklyn, New York 11204	1,000 00	None	Charitable	Tax Exempt
Congregation Beth Schmuel	1633 59th Street Brooklyn, New York 11204	1,800 00	None	Charitable	Tax Exempt
Congregation Meohr Yisroel	1821 48th Street Brooklyn, New York 11219	1,000 00	None	Charitable	Tax Exempt
Congregation Meohr Yisroel	1821 48th Street Brooklyn, New York 11219	2,800 00	None	Charitable	Tax Exempt
Congregation Meohr Yisroel	1821 48th Street Brooklyn, New York 11219	2,500 00	None	Charitable	Tax Exempt
Congregation Orach Chaim	1567 E 9th Street Brooklyn, New York 11230	500 00	None	Charitable	Tax Exempt
Congregation Pnei Menachem	4533 18th Avenue Brooklyn, New York 11204	5,000 00	None	Charitable	Tax Exempt
Congregation Rachmistrivka	1164 45th Street Brooklyn, New York 11219	500 00	None	Charitable	Tax Exempt
Congregation Sfas Emes	1337 42nd Street Brooklyn, New York	1,000 00	None	Charitable	Tax Exempt
Congregation Toch-Haifia	1400 51st Street Brooklyn, New York 11219	2,500 00	None	Charitable	Tax Exempt
Congregation Torah Tefila	1876 50th Street Brooklyn, New York	250 00	None	Charitable	Tax Exempt
Congregation Torah Temimah	555 Ocean Parkway Brooklyn, New York 11218	5,000 00	None	Charitable	Tax Exempt
Congregation Zichron Avroham	1401 55th Street Brooklyn, New York	1,400 00	None	Charitable	Tax Exempt
Congregation Zichron Meir Tzvi	1415 59th Street Brooklyn, New York	1,800 00	None	Charitable	Tax Exempt
Congregation Zichron Meir Tzvi	1415 49th Street Brooklyn, New York	1,294 00	None	Charitable	Tax Exempt
Congregation Ziv Yisroel	4910 16th Avenue Brooklyn, New York 11204	360 00	None	Charitable	Tax Exempt
Crowns & Colitis Foundation of America	P O Box 96063 Washington DC 20090	655 00	None	Charitable	Tax Exempt
Darchei Simcha	Rechov Ben Yair 58/17 Arad, Israel	500 00	None	Charitable	Tax Exempt
Friends of Bais Yisroel	4802 - 19th Avenue Brooklyn, New York 11204	1,000 00	None	Charitable	Tax Exempt
Friends of Binyan Haolam	1303-53rd Street Brooklyn, New York 11219	500 00	None	Charitable	Tax Exempt
Friends of Harim (Kollei Bnei Ephraim)	1310 48th Street Brooklyn, New York 11219	500 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	12,500 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	8,700 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	100 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	100,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	250 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	3,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	3,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	500 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	18,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	2,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	1,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Goor	1310 48th Street Brooklyn, New York 11219	5,000 00	None	Charitable	Tax Exempt
Galead Institute	Rechov Amos 4 Bnei Brak, Israel	3,000 00	None	Charitable	Tax Exempt
Galead Institute	Rechov Amos 4 Bnei Brak, Israel	5,000 00	None	Charitable	Tax Exempt
Gemach Zichron Raizel	112 Webster Avenue Brooklyn, New York	500 00	None	Charitable	Tax Exempt
Halochot Haaretz	3661 Ashdod, Israel	500 00	None	Charitable	Tax Exempt
Ichud Mosdos Gur (The United Torah Institutions of Gur in Israel)	Rechov Yonah 7 Jerusalem, Israel	80,000 00	None	Charitable	Tax Exempt
Ish Al Diglov	199 Lee Avenue #246 Brooklyn, New York 11211	500 00	None	Charitable	Tax Exempt
Ish Al Diglov	199 Lee Avenue #246 Brooklyn, New York 11211	500 00	None	Charitable	Tax Exempt

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS - 2010

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status
Kahal Kiryat Sefer	1303 53rd Street	250 00	None	Charitable	Tax Exempt
Keren Hatzolas Doros	5014 16th Avenue	1,800 00	None	Charitable	Tax Exempt
Keren Lma'an Arad	5014 16th Avenue, Ste 266	125,000 00	None	Charitable	Tax Exempt
Keren Yehoshua	1847 48th Street	1,800 00	None	Charitable	Tax Exempt
Keren Zichron Rosh	1421 E 7th Street	360 00	None	Charitable	Tax Exempt
Kolel Aveichim Mitzvyanim Jerusalem	Rechov Ralbach	3,900 00	None	Charitable	Tax Exempt
Kolel Aveichim Mitzvyanim Jerusalem	Meltzer Street	25,000 00	None	Charitable	Tax Exempt
Kolel Aveichim Mitzvyanim Jerusalem	Meltzer Street	1,000 00	None	Charitable	Tax Exempt
Kolel Avreichim D'Chassidai Gur	1573 51st Street	1,000 00	None	Charitable	Tax Exempt
Kolel Avreichim D'Chassidai Gur	1573 51st Street	7,000 00	None	Charitable	Tax Exempt
Kolel Avreichim D'Chassidai Gur	1573 51st Street	7,000 00	None	Charitable	Tax Exempt
Kolel Avreichim D'Chassidai Gur	1573 51st Street	7,000 00	None	Charitable	Tax Exempt
Kolel Avreichim D'Chassidai Gur	1573 51st Street	5,000 00	None	Charitable	Tax Exempt
Kolel Avreichim Ger Yerusalayim	2 Ralbach Street	16,000 00	None	Charitable	Tax Exempt
Kolel Avreichim Ger Yerusalayim	2 Ralbach Street	16,000 00	None	Charitable	Tax Exempt
Kolel Chasedai Gur	1573 51st Street	7,000 00	None	Charitable	Tax Exempt
Kolel L'Avrachim Mitzuyanm D'Chasedai Gur	Rechov Ralbach	12,000 00	None	Charitable	Tax Exempt
Kolel L'Avrachim Mitzuyanm D'Chasedai Gur	Rechov Ralbach	12,000 00	None	Charitable	Tax Exempt
Kupat Chesed	Rechov Bal Haness 30	500 00	None	Charitable	Tax Exempt
Kupat Eizer Nisuin "Bais Yisroel"	1274 49th Street, #169	5,000 00	None	Charitable	Tax Exempt
Kupat Eizer Nisuin "Bais Yisroel"	1274 49th Street, #169	5,000 00	None	Charitable	Tax Exempt
Kupath Rambahn Kolel Polen	1349 49th Street	500 00	None	Charitable	Tax Exempt
Kupath Rambahn Kolel Polen	1349 49th Street	1,800 00	None	Charitable	Tax Exempt
Kupath Rambahn Kolel Polen	1349 49th Street	1,800 00	None	Charitable	Tax Exempt
Kupath Rambahn Kolel Polen	1349 49th Street	36,100 00	None	Charitable	Tax Exempt
Leizerovitz K R SERV USA		1,000 00	None	Charitable	Tax Exempt
Lev Avraham	1331 E 34th Street	360 00	None	Charitable	Tax Exempt
Lezenga		1,800 00	None	Charitable	Tax Exempt
L'Man Acher	4429 18th Avenue	360 00	None	Charitable	Tax Exempt
Lshmor Chukosov	18 Rechov Hismin	500 00	None	Charitable	Tax Exempt
Machon L'Chosen Mispal	3673 Bedford Avenue	9,000 00	None	Charitable	Tax Exempt
Machon Lehoraha "Shiras David" Yerushalayim	12 Michal St	10,000 00	None	Charitable	Tax Exempt
Mercatz Hatorah Vhachinuch	P O Box 13059	2,500 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel	5407 16th Avenue	250 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel	5407 16th Avenue	5,000 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel	5407 16th Avenue	500 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel	5407 16th Avenue	5,000 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel	5407 16th Avenue	5,000 00	None	Charitable	Tax Exempt
Mesivta Bais Yisroel		165 00	None	Charitable	Tax Exempt
Mifal Chesed, Inc	1933-50th Street	1,800 00	None	Charitable	Tax Exempt
Mifal Chesed, Inc	1933-50th Street	250 00	None	Charitable	Tax Exempt
Mifal Chesed, Inc		25,000 00	None	Charitable	Tax Exempt
Mosdos Opole	5014 16th Avenue	1,000 00	None	Charitable	Tax Exempt
Mosdos Pnei Menachem	86 Chazon Ish Street	5,000 00	None	Charitable	Tax Exempt
Mosdos Strikiv	Rechov Meltzer 23	1,800 00	None	Charitable	Tax Exempt
Mosdos Tzemach Tzadek	Zachai	250 00	None	Charitable	Tax Exempt
Nachlas Yaakov		5,000 00	None	Charitable	Tax Exempt
Strettner Bais Hamedrash of Ocean Parkway	4609 Bay Parkway	1,800 00	None	Charitable	Tax Exempt
Strettner Bais Hamedrash of Ocean Parkway	4609 Bay Parkway	1,800 00	None	Charitable	Tax Exempt
Talmud Torah Chasedai Gur	Rechov Yermeyah	5,000 00	None	Charitable	Tax Exempt
Talmud Torah Derech Emes	Rechov Miselas Yisnm 5	360 00	None	Charitable	Tax Exempt
Talmud Torah Pnei Menachem	P O Box 210	2,500 00	None	Charitable	Tax Exempt
Tohoras Hemispacha	Rechov Ezras Torah 10	1,000 00	None	Charitable	Tax Exempt
Tomchei Torah	1715 51st Street	360 00	None	Charitable	Tax Exempt
Torah Academy of Brooklyn	2066 East Ninth Street	500 00	None	Charitable	Tax Exempt
Torah Academy of Brooklyn	2066 East Ninth Street	500 00	None	Charitable	Tax Exempt
Torah Communications Network of Israel	11 Sdei Chemed Street	200 00	None	Charitable	Tax Exempt
Torah Education Center	128 Parkville Avenue	1,000 00	None	Charitable	Tax Exempt
Tzedakah V'Chesed	1329 44th Street	100 00	None	Charitable	Tax Exempt
Tzidakah Ephraim		20,750 00	None	Charitable	Tax Exempt
United Lubavitcher Yeshivoh	841 Ocean Parkway	250 00	None	Charitable	Tax Exempt
World Hope Inc	1310 48th Street	46,500 00	None	Charitable	Tax Exempt
World Hope Inc	1310 48th Street	20,750 00	None	Charitable	Tax Exempt
Yachad	Rechov Moseh Levi 14/52	1,300 00	None	Charitable	Tax Exempt
Yachad	Rechov Moseh Levi 14/52	250 00	None	Charitable	Tax Exempt
Yad Eliezar	42 Rechov Yichskel	500 00	None	Charitable	Tax Exempt
Yeshiva Avnei Nezer YESHIVA KTANA OF PASSAIC	1826 51 Street	1,000 00	None	Charitable	Tax Exempt
Yeshiva Derech Chaim	1573 39th Street	100 00	None	Charitable	Tax Exempt
Yeshiva Derech Hatalmud	978 East 22nd Street	100 00	None	Charitable	Tax Exempt
Yeshiva Gevotha		1,000 00	None	Charitable	Tax Exempt
Yeshiva Gevotha		3,600 00	None	Charitable	Tax Exempt
Yeshiva Gevotha	5108 18th Avenue	3,600 00	None	Charitable	Tax Exempt
Yeshiva Gevotha	5108 18th Avenue	3,600 00	None	Charitable	Tax Exempt
Yeshiva Gevotha D'Chasedai Gur (replaces check 1180)		3,600 00	None	Charitable	Tax Exempt
Yeshiva Hagaoon M Tschben	12 Hanna Street	2,500 00	None	Charitable	Tax Exempt
Yeshiva Harbotzas Torah Zichron Schneur	1049 East 15th Street	1,000 00	None	Charitable	Tax Exempt
Yeshiva Imrei Emeth	3822 15th Avenue	1,250 00	None	Charitable	Tax Exempt

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS - 2010

Recipient Name	Recipient Address		Amount	Relationship	Purpose	Status
Yeshiva Karlin Stolin			100 00	None	Charitable	Tax Exempt
Yeshiva Ktona of Pasaic			5,000 00	None	Charitable	Tax Exempt
Yeshiva Michon Tifferes Bachurim	17 Cameo Ridge Road	Monsey, New York	150 00	None	Charitable	Tax Exempt
Yeshiva Nezer Yisroel	25 Oranim St , P O B 90	Rishon L'tzion, Israel	1,500 00	None	Charitable	Tax Exempt
Yeshiva Nezer Yisroel	25 Oranim St , P O B 90	Rishon Litzion, Israel	2,500 00	None	Charitable	Tax Exempt
Yeshiva Noam Hatorah	1362 54th Street	Brooklyn, New York 11219	1,000 00	None	Charitable	Tax Exempt
Yeshiva of South Fallsburg	84 Laurel Park Road	South Fallsburg, New York	180 00	None	Charitable	Tax Exempt
Yeshiva Shen Mismual	1901 50th Street	Brooklyn, New York	150 00	None	Charitable	Tax Exempt
Yeshiva Tiferes Naftoli	3059 Englishtown Road	08831	360 00	None	Charitable	Tax Exempt
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn, New York 11204	360 00	None	Charitable	Tax Exempt
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn, New York 11204	6,000 00	None	Charitable	Tax Exempt
Yeshivah Sfias Emes			5,000 00	None	Charitable	Tax Exempt
Yeshivah Torah Vodaath and Mesivta	425 East 9th Street	Brooklyn, New York 11218	7,500 00	None	Charitable	Tax Exempt
Yeshivas Mir		Jerusalem, Israel	180 00	None	Charitable	Tax Exempt
Yeshivas Novominsk Kol Yehuda	1690 60th Street	Brooklyn, New York 11204	10,000 00	None	Charitable	Tax Exempt
Yeshivath Beth Israel Tifereth Menachem of Alexander			7,500 00	None	Charitable	Tax Exempt
Yeshvah Gevocha	5108 18th Avenue	Brooklyn, New York 11204	3,600 00	None	Charitable	Tax Exempt
Zechar Avrohom			500 00	None	Charitable	Tax Exempt
Zera Berach Institutions	Hashlishi	Safed, Israel	500 00	None	Charitable	Tax Exempt
			1,129,064 00			

KLEIN FAMILY FOUNDATION

13-4092608

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 13

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	RELATED OR EXEMPT FUNCTION INCOME	
				AMOUNT	
BOOK/TAX DIFFERENCES					
NONDIVIDEND DISTRIBUTIONS				841.	
ROYALTIES				283.	
TOTALS				1,124.	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	20,075.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	20,075.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	411,406.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	24,180.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	435,586.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		20,075.
14	Net long-term gain or (loss):			
a	Total for year	14a		435,586.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		24,180.
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		455,661.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	
a	The loss on line 15, column (3) or	
b	\$3,000	16 ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25	
26	Subtract line 25 from line 24.	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2010

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

OMB No 1545-0092

2010

Name of estate or trust

KLEIN FAMILY FOUNDATION

Employer identification number

13-4092608

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	20,075.
---	---------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 1 000

4700SV F482 11/10/2011 4:16:39 PM V 10-8.2

Realized Gain/Loss

As of Date: 2-11-11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Important Realized Gain/Loss Information

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a tax advisor as to any additional reporting requirements or adjustments. NO COST BASIS OR REALIZED GAIN/LOSS INFORMATION IS PROVIDED TO THE IRS. NOR IS THIS INFORMATION VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING SCHEDULE D OF YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

- * Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount) when possible for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different.
- * The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security
- * Short sales are reportable on Form 1099-B before the position is closed
- * Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form
- * Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B

Realized Gain/Loss Summary		THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term		17,620.92	-110.00	17,510.92
Long term		380,244.35	-11,080.11	369,164.24
Total - Realized Gain/Loss		\$397,865.27	-\$11,190.11	\$386,675.16

Realized Gain/Loss Detail for Year

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
BUCKEYE OH TOB SETTLEMENT FING AUTH ASSET BKD SR TURBO SER A-2 RV B/E OID CPN 5 125% DUE 06/01/24 DTD 10/29/07 FC 06/01/08 CALL 06/01/17 @ 100 000	150,000.00000	85.1156 84.8750	08/12/09	01/26/10	137,287.50	127,673.41 127,312.50	9,614.09

Realized Gain/Loss

Page 1 of 33

As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Short Term		Continued							
DESCRIPTION	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS		
SAN BERNARDINO CNTY CA PENSION OBLG RFDG REV BIE AGM TXBL CPN 6 020% DUE 08/01/10 DTD 04/16/08 FC 08/01/08	5 000 00000	102 2000	10/20/09	08/02/10	5,000 00	5 110 00	-110.00		
Total - Short Term					\$656,386.80	\$638,875.88	\$17,510.92		
						\$638,506.75	17,880.00		

Long Term							
DESCRIPTION	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
BEAR STEARNS CO INC INCOME NOTES SEMI-ANNUAL PAY CALLABLE CPN 6 260% DUE 06/15/29 DTD 06/04/04 FC 12/15/04 CALL 02/15/10 @ 100 000	75 000 00000	104 8800	05/22/04	02/16/10	75 000 00	75 661 50	-3 661 50
BEAR STEARNS CO INC INCOME NOTES CALLABLE SEMI-ANNUAL PAY CPN 7 400% DUE 02/15/18 DTD 02/22/08 FC 08/15/08 CALL 08/15/10 @ 100 000	250 000 00000	100 0000	02/19/08	02/16/10	250 000 00	250 000 00	0 00
CALIFORNIA ST VAR PURP G/O BIE TXBL CPN 6 200% DUE 10/01/19 DTD 10/15/09 FC 04/01/10	375 000 00000 175 000 00000 550 000 00000	101 8350 101 1460	10/09/09 10/15/09	12/13/10 12/13/10	385 020 00 179 676 00 564 696 00	381 881 25 177 005 50 558 886 75	3 138 75 2 670 50 5 809 25
Subtotal							

D - 1.1
(3/3)

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	411,406.
--	----------

Realized Gain/Loss

Page 1 of 33

As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Short Term		Continued							
DESCRIPTION	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS		
SAN BERNARDINO CNTY CA PENSION OBLG RFDG REV BIE AGM TXBL CPN 6 020% DUE 08/01/10 DTD 04/16/08 FC 08/01/08	5.000 00000	102 2000	10/20/09	08/02/10	5,000 00 \$656,366.80	5 110.00 \$638,875.88 \$638,506.75	-110.00 \$17,510.92		
Total - Short Term									
Long Term									
DESCRIPTION	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS		
BEAR STEARNS CO INC INCOME NOTES SEMI-ANNUAL PAY CALLABLE CPN 6 260% DUE 06/15/29 DTD 06/04/04 FC 12/15/04 CALL 02/15/10 @ 100 000	75.000 00000	104 8800	05/22/04	02/16/10	75 000 00	78,661 50	-3 661 50		
BEAR STEARNS CO INC INCOME NOTES CALLABLE SEMI-ANNUAL PAY CPN 7 400% DUE 02/15/18 DTD 02/22/08 FC 08/15/08 CALL 08/15/10 @ 100 000	250 000 00000	100 0000	02/19/08	02 16/10	250 000 00	250 000 00	0 00		
CALIFORNIA ST VAR PURP G/O BIE TXBL CPN 6 200% DUE 10/01/19 DTD 10/15/09 FC 04/01/10	375 000.00000 175.000 00000 550.000.00000	101.8350 101 1460	10/09/09 10/15/09	12/13/10 12/13/10	385,020.00 179,676 00 564,696.00	381,881 25 177,005 50 558,886.75	3,138 75 2 670.50 5,809.25		
Subtotal									

D - 1.2
(1/10)

Realized Gain/Loss

Page 13 of 13

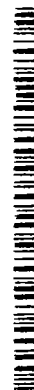
As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9088702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
CLARK CNTY NV FLOOD CTL								
SR B BUILD AMER BDS DRCT								
PAY G/O LTD B/E TXBL								
CPN 7 250% DUE 11/01/38								
DTD 06/23/09 FC 11/01/09								
CALL 11/01/19 @ 100.000		155 000 000000	103.0000	07/27/09	09/17/10	164,479.70	159,650.00	4,829.70
FIRST FLA GOVTL FING								
COMM REV TAXABLE-REF-								
SER B OY=8 10%								
CPN 8 000% DUE 07/01/28								
DTD 06/15/00 FC 01/01/01								
PRE 07/01/10 @ 101.000		10 000 000000	111.6700	09/15/09	07/01/10	10,100.00	11,167.00	-1,067.00
FLORIDA HSG FIN CORP RE.								
HOMEOWNER MTG SER 4 AMT								
B/E GNMA/FNMA/FHLMC								
CPN 5 150% DUE 04/01/10								
DTD 08/17/06 FC 01/01/07		15 000 000000	77.1342 76.7400	10/28/08	04/01/10	15,000.00	11,570.14 11,511.00	3,429.56
FLORIDA HSG FIN CORP REV								
HOMEOWNER MTG SER 4 AMT								
B/E GNMA/FNMA/FHLMC								
CPN 5 150% DUE 07/01/10								
DTD 08/17/06 FC 01/01/07		105 000 000000	77.2049 76.7400	10/28/08	07/01/10	105,000.00	81,065.16 80,577.00	23,934.84
		45 000 000000	77.2033 76.7400	10/30/08	07/01/10	45,000.00	34,741.51 34,533.00	10,258.49
Subtotal		150,000.000000				150,000.00	115,806.67 115,110.00	34,193.33

D - 1.2
(2/10)

001 PNAO



Realized Gain/Loss

Page 20 of 33

02/19

As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN LOSS
DESCRIPTION							
FLORIDA HSG FIN CORP REV							
HOMEOWNER MTG SER 4 AMT							
B/E GNMA/FNMA/FHLMC							
CPN 5 150% DUE 01/01/10							
DTD 08/17/06 FC 01/01/07		80,000.00000	77.0659 76.7400	10/28/08 01/04/10	80,000.00	61,652.76 61,352.00	18,347.24
FLORIDA HSG FIN CORP REV							
HOMEOWNER MTG SER 4 AMT							
B/E GNMA/FNMA/FHLMC							
CPN 5 150% DUE 08/01/10							
DTD 08/17/06 FC 01/01/07		105,000.00000	77.2285 76.7400	10/30/08 08/02/10	105,000.00	81,099.98 80,577.00	23,910.02
		45,000.00000	81.7736 81.4000	01/07/09 08/02/10	45,000.00	36,798.13 36,630.00	8,201.87
Subtotal		150,000.00000			150,000.00	117,888.11 117,207.00	32,111.89
FLORIDA HSG FIN CORP REV							
HOMEOWNER MTG SER 4 AMT							
B/E GNMA/FNMA/FHLMC							
CPN 5 150% DUE 10/01/10							
DTD 08/17/06 FC 01/01/07		75,000.00000	81.8149 81.4000	01/07/09 10/01/10	75,000.00	61,361.19 61,050.00	13,638.81
GENERAL ELEC CAP CORP							
MEDIUM TERM NOTES							
CPN 6 750% DUE 03/15/32							
DTD 03/20/02 FC 09/15/02		125,000.00000	104.2700	08.15/08 09/08/10	138,218.75	130,337.50	7,881.25
GENL AMERICAN INV 5.95%							
SER B CUMULATIVE PFD							
CALLABLE 9/24/08		2,450.00000	25.0000	09/17/03 10/05/10	61,716.90	61,250.00	466.90
		800.00000	25.0000	09/17/03 10/05/10	20,208.45	20,000.00	208.45
		400.00000	25.0000	09/17/03 10/05/10	10,084.22	10,000.00	84.22
		200.00000	25.0000	09/17/03 10/05/10	5,044.11	5,000.00	44.11

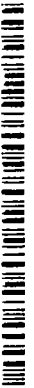
Realized Gain/Loss

As of Date 2.11.11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term Description	Continued	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
HUNTINGTON PK CA PENSION OBLIG B/E AMBAC CPN 5.75% DUE 08-01-25 DTD 04/05/07 FC 08/01/07 CALL 08/01/17 @ 100.000		100.000000	25.0000	09/17/03	10/05/10	2,524.05	2,500.00	24.05
		50.000000	25.0000	09/17/03	10/05/10	1,263.03	1,250.00	13.03
	Subtotal	4,000.000000				100,840.76	100,000.00	840.76
JP MORGAN CHASE 5.875% CAP XI DUE 6/15/33 CALLABLE 6/15/08		150.00000000	85.4911 84.9400	08/25/09	09/08/10	136,635.00	128,236.76 127,410.00	838.24
		85.00000000	85.5338 84.9900	08/31/09	09/08/10	77,409.50	72,703.77 72,241.50	4,705.73
	Subtotal	235.00000000				214,014.50	200,940.55 199,651.50	13,073.95
JP MORGAN CHASE 6.25% CAP XII DUE 10/15/33 CALLABLE 10/15/08		3,000.000000	25.0000	06/06/03	09/28/10	74,253.30	75,000.00	-706.70
		1,000.000000	25.0000	06/06/03	09/28/10	24,764.43	25,000.00	-235.57
		1,800.000000	21.6600	10/30/07	09/28/10	44,576.00	38,988.00	5,588.00
JP MORGAN CHASE 6.25% CAP XII DUE 10/15/33 CALLABLE 10/15/08		900.000000	21.6600	10/30/07	09/28/10	22,296.99	19,494.00	2,802.99
		300.000000	21.6600	10/30/07	09/28/10	7,435.34	6,498.00	937.34
	Subtotal	7,000.000000				173,366.06	164,980.00	8,386.06
JP MORGAN CHASE 6.25% CAP XII DUE 10/15/33 CALLABLE 10/15/08		2,800.000000	25.0000	09/26/03	11/04/10	70,072.31	70,000.00	72.31
		1,200.000000	25.0000	09/26/03	11/04/10	30,054.99	30,000.00	54.99
	Subtotal	4,000.000000				100,127.30	100,000.00	127.30

D - 1.2
(4/10)



001 Pt PNAO

Realized Gain/Loss

Page 28 of 55

As of Date: 2/11/11

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN, LOSS
MISSISSIPPI HM CORP								
SINGLE FMLY REV SER B 2								
BIE GUAR FNMA AMT								
CPN 6 450% DUE 08/01/10								
DTD 06/25/02 FC 12/01/02		10.000 00000	97.3700	12/18/08	08/02/10	10,000.00	9,737.50	262.50
MISSISSIPPI HM CORP								
SINGLE FMLY REV SER B 2								
BIE GUAR FNMA AMT								
CPN 6 450% DUE 11/01/10								
DTD 06/25/02 FC 12/01/02		5.000 00000	97.3700	12/18/08	11/01/10	5,000.00	4,868.75	131.25
MISSISSIPPI HM CORP								
SINGLE FMLY REV SER B 2								
BIE GUAR FNMA AMT								
CPN 6 450% DUE 05/01/10								
DTD 06/25/02 FC 12/01/02		5.000 00000	97.3700	12/18/08	05/03/10	5,000.00	4,868.75	131.25
MISSISSIPPI HM CORP								
SINGLE FMLY REV SER B 2								
BIE GUAR FNMA AMT								
CPN 6 450% DUE 06/01/10								
DTD 06/25/02 FC 12/01/02		5.000 00000	97.3700	12/18/08	06/01/10	5,000.00	4,868.75	131.25
MISSISSIPPI HM CORP								
SINGLE FMLY REV SER B 2								
BIE GUAR FNMA AMT								
CPN 6 450% DUE 12/01/10								
DTD 06/25/02 FC 12/01/02		5.000 00000	97.3700	12/18/08	12/01/10	5,000.00	4,868.75	131.25
NEW YORK NY CITY TRANSN								
FIN AUTH REV TXBL-FUTURE								
TAX SEC-SER C OLD MBIA								
CPN 6 375% DUE 05/01/11								
DTD 05/05/98 FC 11/01/98								
PRE 06/28/10 @ 100.000		10.000 00000	104.3000	06/05/02	06/28/10	10,000.00	10,435.10	-435.10

D - 1.2
 (5/10)

001 00000 00000

Realized Gain/Loss

As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term Description	Quantity	Adj Price Orig Price	Date Acquired	Close Date	Proceeds	Adj Cost Orig Cost	Gain/Loss
NEW YORK ST HSG FIN AGY REV MLTI FAMILY HSG SER B B/E GUAR PHAS AMT CPN 5.300% DUE 11/15/39 DTD 12/01/98 FC 05/15/99 CALL 11/15/10 @ 100.000	600.000.000000	78.4500	12/19/08	07/15/10	595.050.00	470.700.00	124.350.00
NEW YORK ST MTG AGY RV SR 101 B/E LT AMT DTD 06/01/02 FC 10/01/02	10.000.000000	92.3817 92.1800	01/30/09	04/01/10	10.000.00	9.238.17 9.218.50	761.85
NEW YORK ST MTG AGY RV SR 101 B/E LT AMT DTD 06/01/02 FC 10/01/02	5.000.000000	92.4870 92.1800	01/30/09	10/01/10	5.000.00	4.623.55 4.609.15	376.65
PAINE WEBBER GROUP INC SR NOTES SERIES C CPN 6.640% DUE 04/14/10 DTD 04/14/98 FC 09/01/98	21.000.000000	104.3200	06/10/02	04/14/10	21.000.00	21.513.86	-513.86
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 03/01/10 DTD 03/20/07 FC 05/01/07	1.658.000000	83.8866 83.6900	02/26/09	03/01/10	1.655.00	1.390.84 1.387.63	267.16
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 04/01/10 DTD 03/20/07 FC 05/01/07	17.187.000000	83.9028 83.6900	02/26/09	04/01/10	17.187.00	14.420.56 14.384.51	2.766.62

D - 1.2
(6/10)
001 PNAO PNAO



Realized Gain/Loss

Page 30 of 35

As of Date: 2/11/11

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
DESCRIPTION								
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5 250% DUE 05/01/10								
DTD 03/20/07 FC 05/01/07		3,414.00000	83 9206 83 6900	02/26/09	05/03/10	3,414.00	2 855 03 2,857.28	548 95
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5 250% DUE 06/01/10								
DTD 03/20/07 FC 05/01/07		4,456.00000	83 9360 83 6900	02/26/09	06/01/10	4,456.00	3,740.19 3,729.36	715 81
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5 250% DUE 07/01/10								
DTD 03/20/07 FC 05/01/07		2,752.00000	83 9527 83 6900	02/26/09	07/01/10	2,752.00	2,310.38 2,303.23	441 62
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5 250% DUE 08/01/10								
DTD 03/20/07 FC 05/01/07		4,487.00000	83 9703 83 6900	02/26/09	08/02/10	4,487.00	3,767.75 3,755.31	719.25
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5 250% DUE 09/01/10								
DTD 03/20/07 FC 05/01/07		1,100.00000	83 9872 83 6900	02/26/09	09/01/10	1,100.00	923.86 920.63	176 14

D - 1.2
(7/10)

Realized Gain/Loss

Page 3 of 33

As of Date 2/11/11

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Long Term	Continue	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 10/01/10 DTD 03/20/07 FC 05/01/07		2 546 00000	84 0039 83 6900	02/26/09 10/01/10	2,546 00	2 138 74 2 130 63	407 26
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 11/01/10 DTD 03/20/07 FC 05/01/07		2,167 00000	84 0207 83 6900	02/26/09 11/01/10	2,167 00	1 820 73 1 813 63	346 27
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 12/01/10 DTD 03/20/07 FC 05/01/07		1,317.00000	84 0375 83 6900	02/26/09 12/01/10	1 317 00	1,106 78 1,102 24	210 22
ROCHESTER NY HSG AUTH MTG REV GNMA COLL ANDREWS TERRACE APTS B/E CPN 4 700% DUE 12/20/38 DTD 12/29/06 FC 06/20/07 CALL 12/20/16 @ 100 000		300,000 00000	71 6773 71 3100	12/19/08 03/01/10	277,230 00	215 032 05 213,945 00	62,197 55
ROCHESTER NY HSG AUTH MTG RV GNMA COLL-ANDREWS TERRACE APTS AMT B/E CPN 4 800% DUE 12/20/48 DTD 12/29/06 FC 06/20/07 CALL 12/20/16 @ 100 000		250,000 00000	88 6439 88 5500	02/27/09 03/08/10	233,560 00	221,605 90 221,375 00	11 890 10

D - 1.2
 (8/10)
 PNAO



Realized Gain/Loss

Page 32 of 55

As of Date: 2/11/11

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Long Term	Continued	DESCRIPTION	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
		TEXAS ST UNIV SYS REV							
		TAXABLE-REF-FING SYS B/E							
		AGM							
		CPN 6 460% DUE 03/15/10							
		DTD 08/01/98 FC 03/15/99							
		CALL 03/14/10 @ 100.000	45.000.00000	107.5600	08/01/02	03/15/10	45,000.00	48,407.50	-3,407.50
		USB CAPITAL 6 35% DUE 12/29/2065 CALL STARTING 12/29/10	2,599.00000 601.00000 600.00000 200.00000 4,000.00000	25.0000 25.0000 25.0000 25.0000	12/21/05 12/21/05 12/21/05 12/21/05	11/08/10 11/08/10 11/08/10 11/08/10	65,340.35 15,121.50 15,090.34 5,036.11 100,588.30	64,975.00 15,025.00 15,000.00 5,000.00 100,000.00	365.35 96.50 90.34 36.11 588.30
		Subtotal							
		USB CAPITAL 6 50% DUE 04/12/2066 CALL STARTING 04/12/11	3,900.00000 100.00000 4,000.00000	25.0000 25.0000	04/05/06 04/05/06	12/20/10 12/20/10	98,243.24 2,520.06 100,763.30	97,500.00 2,500.00 100,000.00	743.24 20.06 763.30
		Subtotal							
		WACHOVIA 6 3/5% PFD CAP TR IX DUE 6/1/2067 CALL STARTING 6/15/12	3,200.00000 400.00000 400.00000 3,600.00000 400.00000 2,000.00000 10,000.00000	25.0000 25.0000 25.0000 25.0000 25.0000 23.1600	05/01/07 05/01/07 05/01/07 05/01/07 05/01/07 07/30/07	11/08/10 11/08/10 11/08/10 11/11/10 11/11/10 11/11/10	80,129.84 10,024.23 10,020.23 89,653.24 9,961.43 49,807.15 249,596.12	80,000.00 10,000.00 10,000.00 90,000.00 10,000.00 46,320.00 246,320.00	129.84 24.23 20.23 -346.76 -38.57 3,487.15 3,276.12
		Subtotal							

D - 1.2
 (9/10)

Realized Gain/Loss

As of Date: 2/11/11

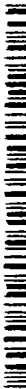
Account Number: 1594-0357
 Command Account Number: 9060702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
DESCRIPTION								
WELLS FARGO CAP XI 6 25%		2 200 00000	25 0000	05 16 07	10 25 10	55 863 21	55 000 00	663 21
ENHANCED TRUPS 6/15 2067		2 000 00000	25 0000	05 16 07	10 25 10	50 764 74	50 000 00	764 74
CALL STARTING 6/15/12		500 00000	25 0000	05 16 07	10 25 10	12 701 18	12 500 00	201 18
		200 00000	25 0000	05 16 07	10 25 10	5 062 47	5 000 00	62 47
		100 00000	25 0000	05 16 07	10 25 10	2 543 23	2 500 00	43 23
		1 000 00000	25 0000	05 16 07	11 16 10	24 942 37	25 000 00	-57 63
		3 300 00000	25 0000	05 16 07	11 16 10	82 505 65	82 500 00	-50 15
		400 00000	25 0000	05 16 07	11 16 10	9 966 95	10 000 00	-11 05
		200 00000	25 0000	05 16 07	11 16 10	4 992 47	5 000 00	-7 53
		100 00000	25 0000	05 16 07	11 16 10	2 499 23	2 500 00	-0 77
		2 000 00000	22 6500	07 30 07	11 22 10	50 424 94	45 300 00	5 124 94
		3 000 00000	23 2800	10 30 07	11 22 10	75 637 43	69 846 00	5 791 43
Subtotal		15 000 00000				377 750 07	365 146 00	12 604 07
WESTAR ENERGY 6 10% FGIC								
INSURED DUE 5/15/2047		1 400 00000	25 0000	05 11 07	10 19 10	37 436 76	35 000 00	2 436 76
CALLABLE START 05/15/12		571 00000	25 0000	05 11 07	10 19 10	15 297 40	14 275 00	1 022 40
		500 00000	25 0000	05 11 07	10 19 10	13 380 27	12 500 00	880 27
		4 629 00000	25 0000	05 11 07	10 20 10	121 941 93	115 725 00	6 216 93
		700 00000	25 0000	05 11 07	10 20 10	18 461 13	17 500 00	961 13
		200 00000	25 0000	05 11 07	10 20 10	5 270 61	5 000 00	270 61
Subtotal		8 000 00000				211 788 10	200 000 00	11 788 10
Total - Long Term						\$4 569 189 96	\$4 200 025 72	\$369 164 24
							\$4 195 271 53	\$373 918 43

D - 1.2

(10/10)

001 PNAO



Realized Gain/Loss

Page 14 of 19

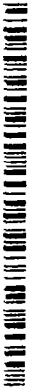
As of Date: 2/11/11

Account Number: 6180-3558
Command Account Number: 9087460358
KLEIN FAMILY FOUNDATION #2

Realized Gain/Loss Detail for Year

Long Term DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ACJ COST ORIG COST	GAIN LOSS
EMC CORP MASS	4,000.00000	12.6200	05/30/06	04/16/10	71,958.78	50,707.20	21,251.58
INTERNATIONAL BUSINESS MACHINE CORP	500.00000	89.2400	04/10/02	01/15/10	64,963.17	44,670.00	20,293.17
	500.00000	89.2400	04/10/02	10/15/10	64,962.90	44,670.00	20,292.90
Subtotal	1,000.00000				129,926.07	89,340.00	40,586.07
MERIDIAN INTERSTATE BANCORP	5,000.00000	10.0000	01/15/08	08/09/10	55,213.06	50,000.00	5,213.06
	1,096.00000	10.0000	01/15/08	08/10/10	12,104.96	10,960.00	1,144.96
	100.00000	10.0000	01/15/08	08/10/10	1,106.57	1,000.00	106.57
	100.00000	10.0000	01/15/08	08/10/10	1,106.47	1,000.00	106.47
	100.00000	10.0000	01/15/08	08/10/10	1,105.47	1,000.00	105.47
	2,000.00000	10.0000	01/15/08	08/10/10	22.15	20.00	2.15
	3,000.00000	10.0000	01/15/08	08/20/10	32,963.44	30,000.00	2,963.44
Subtotal	9,398.00000				103,622.12	93,980.00	9,642.12
MICROSOFT CORP	284.00000	35.2500	08/30/00	01/15/10	8,514.77	10,012.00	-1,497.23
	1,200.00000	32.1300	09/19/00	01/15/10	35,977.94	38,559.00	-2,581.06
	516.00000	23.5300	12/19/00	01/15/10	15,470.52	12,139.96	3,330.56
Subtotal	2,000.00000				59,963.23	60,710.96	-747.73
Total - Long Term					\$365,470.20	\$294,738.16	\$70,732.04

D - 1.3
(1/1)



001, P, PNAO