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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation EMERALD FOUNDATION, INC. C/O SOLOMON BLUM HEYMANN		A Employer identification number 13-3912580
Number and street (or P.O. box number if mail is not delivered to street address) 40 WALL ST., 35TH FLR	Room/suite	B Telephone number 2122677600
City or town, state, and ZIP code NEW YORK, NY 10005		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 34,053,586.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	715,568.	715,568.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	400,792.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		415,001.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	1,116,360.	1,130,569.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,000.	0.		10,000.
	14 Other employee salaries and wages	159,389.	0.		159,389.
	15 Pension plans, employee benefits	45,636.	0.		45,636.
	16a Legal fees Stmt 2	240,000.	120,000.		120,000.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes Stmt 3	65,850.	4,055.		11,595.
	19 Depreciation and depletion				
	20 Occupancy	139,753.	0.		139,753.
	21 Travel, conferences, and meetings	1,351.	0.		1,351.
	22 Printing and publications				
	23 Other expenses Stmt 4	28,603.	1,246.		27,357.
	24 Total operating and administrative expenses. Add lines 13 through 23	690,582.	125,301.		515,081.
	25 Contributions, gifts, grants paid	1,600,000.			1,600,000.
26 Total expenses and disbursements Add lines 24 and 25	2,290,582.	125,301.		2,115,081.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,174,222.				
b Net investment income (if negative, enter -0-)		1,005,268.			
c Adjusted net income (if negative, enter -0-)			N/A		

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C/O SOLOMON BLUM HEYMANN

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	28,772.	33,395.	33,395.
	2	Savings and temporary cash investments	798,978.	939,866.	939,866.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations Stmt 5	9,626,586.	2,063,383.	2,138,088.
	b	Investments - corporate stock Stmt 6	12,366,284.	17,974,815.	21,605,779.
	c	Investments - corporate bonds Stmt 7	8,607,964.	9,242,903.	9,336,458.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	31,428,584.	30,254,362.	34,053,586.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
Net Assets or Fund Balances	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	31,428,584.	30,254,362.	
	30	Total net assets or fund balances	31,428,584.	30,254,362.	
	31	Total liabilities and net assets/fund balances	31,428,584.	30,254,362.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,428,584.
2	Enter amount from Part I, line 27a	2	-1,174,222.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	30,254,362.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	30,254,362.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See attached			
b Adjustment - See attached			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			400,792.
b			14,209.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			400,792.
b			14,209.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	415,001.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,937,740.	29,395,426.	.065920
2008	1,460,934.	21,509,883.	.067919
2007	1,313,799.	19,924,406.	.065939
2006	1,268,032.	19,104,077.	.066375
2005	1,125,033.	18,559,588.	.060617

2 Total of line 1, column (d)	2	.326770
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.065354
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	32,610,732.
5 Multiply line 4 by line 3	5	2,131,242.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,053.
7 Add lines 5 and 6	7	2,141,295.
8 Enter qualifying distributions from Part XII, line 4	8	2,115,081.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	20,105.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	20,105.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	20,105.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 23,672.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	23,672.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	3,567.
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax	3,567. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>SOLOMON BLUM HEYMANN, LLP</u> Telephone no. ► <u>212-267-7600</u> Located at ► <u>40 WALL STREET, 35TH FLR, NEW YORK, NY</u> ZIP+4 ► <u>10005</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARIANNE GERSCHEL	PRESIDENT			
780 THIRD AVENUE, 24TH FLR				
NEW YORK, NY 10017	15.00	0.	0.	0.
ANDREW W. HEYMANN	VP, DIRECTOR			
C/O SBHS, 40 WALL ST., 35TH FLR				
NEW YORK, NY 10005	8.00	0.	0.	0.
ROBERT A. LADISLAW	TREASURER, DIRECTOR			
C/O SBHS, 40 WALL ST., 35TH FLR				
NEW YORK, NY 10005	6.00	0.	0.	0.
DONNA R. KESSELMAN, M.D.	DIRECTOR			
101 EAST 78TH ST.				
NEW YORK, NY 10021	1.00	10,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Leonard J. Lamm	Exec. V.P.			
425 E. 58th St., New York, NY 10022	20.00	41,056.	16,085.	0.

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Solomon Blum Heymann LLP	Legal and accounting	240,000.
40 Wall St., 35th Flr, New York, NY 10005		

Total number of others receiving over \$50,000 for professional services

0

Total number of officers receiving over \$50,000 for professional services	
Part IX-A	Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
	All other program-related investments. See instructions.	
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	31,634,061.
b Average of monthly cash balances	1b	1,473,281.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	33,107,342.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	33,107,342.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	496,610.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,610,732.
6 Minimum investment return. Enter 5% of line 5	6	1,630,537.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,630,537.
2a Tax on investment income for 2010 from Part VI, line 5	2a	20,105.
b Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	20,105.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,610,432.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	1,610,432.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,610,432.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,115,081.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,115,081.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,115,081.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,610,432.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	215,589.			
b From 2006	332,042.			
c From 2007	357,077.			
d From 2008	398,566.			
e From 2009	536,497.			
f Total of lines 3a through e	1,839,771.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 2,115,081.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,610,432.
e Remaining amount distributed out of corpus	504,649.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,344,420.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	215,589.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	2,128,831.			
10 Analysis of line 9:				
a Excess from 2006	332,042.			
b Excess from 2007	357,077.			
c Excess from 2008	398,566.			
d Excess from 2009	536,497.			
e Excess from 2010	504,649.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

2 Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED				1,600,000.
Total			▶ 3a	1,600,000.
b Approved for future payment None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	715,568.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	415,001.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,130,569.	0.
13 Total. Add line 12, columns (b), (d), and (e)				1,130,569.	0.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

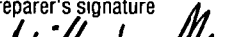
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	 Signature of officer or trustee		Date <u>4/29/11</u>	
Paid Preparer Use Only	Print/Type preparer's name <u>William L. Blum</u>		Preparer's signature 	
	Date <u>4/27/11</u>		Check ☐ if self-employed	
	PTIN		Firm's EIN <u>212-267-7600</u>	
	Firm's name <u>Solomon Blum Heymann, LLP</u> <u>40 Wall St., 35th Flr</u>		Firm's address <u>New York, NY 10005</u>	
Firm's address <u>New York, NY 10005</u>		Phone no. <u>212-267-7600</u>		

Form 990-PF	Dividends and Interest from Securities	Statement	1
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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Dividends and interest from securities	715,568.	0.	715,568.
Total to Fm 990-PF, Part I, ln 4	715,568.	0.	715,568.

Form 990-PF	Legal Fees	Statement	2
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL AND ACCOUNTING FEES	240,000.	120,000.		120,000.
To Fm 990-PF, Pg 1, ln 16a	240,000.	120,000.		120,000.

Form 990-PF	Taxes	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
PAYROLL TAXES	11,595.	0.		11,595.
FOREIGN TAXES	4,055.	4,055.		0.
FEDERAL EXCISE TAX	50,200.	0.		0.
To Form 990-PF, Pg 1, ln 18	65,850.	4,055.		11,595.

Form 990-PF	Other Expenses	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SUBSCRIPTIONS	194.	0.		194.
FILING FEES	771.	193.		578.
INSURANCE	6,261.	1,038.		5,223.
OFFICE SUPPLIES & EXPENSES	230.	0.		230.
SECURITIES ACCOUNT FEES	15.	15.		0.

TELEPHONE AND INTERNET	2,339.	0.	2,339.
POSTAGE AND DELIVERY	1,327.	0.	1,327.
COMPUTER SUPPLIES AND EXPENSES	5,257.	0.	5,257.
PAYROLL PROCESSING	665.	0.	665.
REPAIRS AND MAINTENANCE	2,478.	0.	2,478.
OFFICE FURNITURE	9,350.	0.	9,350.
2008 GRANT TO YALE UNIV.	-284.	0.	-284.
To Form 990-PF, Pg 1, ln 23	28,603.	1,246.	27,357.

Form 990-PF	U.S. and State/City Government Obligations	Statement	5
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
US GOVERNMENT OBLIGATIONS	X		2,063,383.	2,138,088.
Total U.S. Government Obligations			2,063,383.	2,138,088.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			2,063,383.	2,138,088.

Form 990-PF	Corporate Stock	Statement	6
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Description	Book Value	Fair Market Value
CORPORATE STOCK	17,974,815.	21,605,779.
Total to Form 990-PF, Part II, line 10b	17,974,815.	21,605,779.

Form 990-PF	Corporate Bonds	Statement	7
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Description	Book Value	Fair Market Value
CORPORATE BONDS AND BANK CD'S	9,242,903.	9,336,458.
Total to Form 990-PF, Part II, line 10c	9,242,903.	9,336,458.

Emerald Foundation, Inc.

Form 990-PF

Part IV, Line 1, Column h

Capital Gains

Adjustment for Contributed Securities Sold

	Number of Shares or Principal	Contribution Value	Donor's Adjusted Basis	Adjustment to Book Gain/Loss
4/20	500	14,925	12,188	2,738
7/8	1,000	37,406	31,515	5,891
11/5	1,500	51,300	45,720	5,580
				-
Total adjustment				14,209

2010

Date Acquired		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period			
			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
		Equities											
3/25/2010		Abbott Laboratories			1 500	81,608					1,500	81,608	
4/7/2010					1,000	53,168					1,000	53,168	
5/13/2010					1,000	50 005					1,000	50,005	167 685
11/1/2010		Acacia Research			1 000	27,709					1,000	27,709	25,940
12/30/2009		Accenture PLC	1 000	41 740							1 000	41,740	
12/31/2009			1,000	41 887							1 000	41,887	
1/7/2010					500	21,350					500	21,350	121,225
10/28/1999		Alcatel-Lucent (formerly Lucent)	19	4,942							19	4,942	
1/13/2000			20	4,732							20	4,732	
8/1/2000			20	3,939							20	3,939	
8/14/2000			20	3,412							20	3,412	
9/21/2000			20	3,112							20	3,112	
2001		Contributon	975	32,289							975	32,289	3,176
11/20/2009		Alcoa, Inc	2 000	27,829							2,000	27,829	
11/23/2009			1,000	13 376							1,000	13,376	
12/7/2009			1,000	13 467							1,000	13,467	
12/24/2009			1 000	15,787							1,000	15,787	
2/22/2010					1,000	13,851					1,000	13,851	
3/2/2010					1,500	20,159					1,500	20,159	
4/23/2010					1,000	14,033					1,000	14,033	
4/28/2010					1,500	21,270					1,500	21,270	
9/13/2010					2,000	22,738					2,000	22,738	184,680
1/2/2009		Allergan, Inc	1,000	37,496									
1/6/2009			500	20,414			1,500	94,069	7/27/2010	36,159	-	-	
10/9/2002		Alma Group Inc	1,500	26,258							1,500	26,258	
11/18/2002			1,000	17,186							1,000	17,186	
4/11/2003			1,000	13,514							1,000	13,514	
5/15/2003			1,500	21 821							1,500	21,821	
12/11/2008			1,000	15,778							1,000	15,778	
1/7/2009			1,000	15,382							1,000	15,382	
8/12/2009			3,000	53,947							3,000	53,947	246 200
6/11/2008		América Móvil (Exchange for Telmex) Telmex Internacional SAB De CV Ser L (Spin-off from Telefonos de Mexico)	932	19,592							932	19 592	53,441
7/9/2009		American Electric Power Co., Inc	1 000	29,229			1,000	31,189	5/27/2010	1,960	-	-	

Emerald Foundation, Inc.
Securities Schedule
2010

Date Acquired	Name of Security	Inventory Beginning of Period			Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period		
		No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
11/20/2007	American International Group Inc	50	57,399							50	57,399	
1/28/2008	(1:20 reverse split on 7/1/09)	25	26,900							25	26,900	
2/5/2008		25	27,666							25	27,666	
2/12/2008	Bequest	125	115,350							125	115,350	
2/22/2008		25	24,091							25	24,091	
10/8/2008		125	12,255							125	12,255	21,608
9/18/2009	Ascent Media Corporation Ser A	1,500	39,346			1,500	41,513	10/29/2010	2,166	-	-	
1/14/2004	AT&T, Inc	304	6,429							304	6,429	
11/21/2005		1,325	26,972							1,325	26,972	
11/22/2005		1,325	27,128							1,325	27,128	
11/29/2005		1,325	27,894							1,325	27,894	
1/12/2006		1,721	43,203							1,721	43,203	
2/12/2008	Bequest	1,500	56,265							1,500	56,265	
5/16/2008		1,000	40,046							1,000	40,046	
8/27/2008		1,500	47,410							1,500	47,410	
9/4/2009		1,000	26,437							1,000	26,437	
10/9/2009		1,500	40,896							1,500	40,896	
3/5/2010				2,500	66,746					2,500	66,746	
5/28/2010				2,500	60,616					2,500	60,616	
6/15/2010				1,500	38,739					1,500	38,739	
7/30/2010				1,000	26,696					1,000	26,696	587,600
12/10/2009	Astrazenca PLC	1,500	70,435							1,500	70,435	
12/18/2009		100	4,679							100	4,679	
12/24/2009		900	41,549							900	41,549	
1/14/2010				1,000	47,931					1,000	47,931	
2/8/2010				500	23,065					500	23,065	
2/5/2010				1,000	43,581					1,000	43,581	230,950
11/10/2010	Avery Dennison			1,500	57,370					1,500	57,370	63,510
4/7/2009	Bank of America Corp	3,500	26,937							3,500	26,937	46,690
3/12/2009	Berkshire Hathaway, Inc CL A	2	146,401							2	146,401	240,900

Emerald Foundation, Inc.
Securities Schedule

Securities Schedule 2010		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period			
Date Acquired			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
7/24/2007		BGC Partners, Inc. CL A (W/a Espeed, Inc.)	1 000	9,179									
8/29/2007			1,500	12,560								500	4,187
2/12/2008		Bequest	2,500	29,775								2 500	29,775
11/13/2008			2,500	9,475								2 500	9,475
1/8/2009			2,500	8,108								2 500	8,108
1/28/2009			1,000	2,636								1,000	2,636
2/12/2009			1 500	3,905								1,500	3,905
2/18/2009			2,500	6,349								2,500	6,349
3/12/2009			10,000	16,806								10,000	16,806
3/24/2009			500	1,326								500	1,326
3/24/2009			100	316								100	316
3/24/2009			400	1,157								400	1,157
3/31/2009			4,000	11,841								4 000	11,841
8/12/2009			5,000	23,845								5,000	23,845
11/6/2009			3,000	14,222								3,000	14,222
10/13/2009			2,000	10,592								2,000	10,592
12/23/2009			2,500	11 001								2,500	11,001
12/24/2009			2,500	11 327								2,500	11,327
2/5/2010					2,500	10 292						2,500	10 292
2/26/2010					1	54						1	54
3/1/2010					2,500	11,582						2,500	11,582
5/25/2010					1,999	12,286						1,999	12,286
6/15/2010					2,500	13 984						2,500	13 984
6/18/2010					2,500	14,565						2,500	14,565
7/27/2010					2,500	12,440						2,500	12,440
9/16/2010					2,500	14 416						2,500	14,416
10/8/2010					3,200	20,544						3,200	20,544
10/8/2010					5,000	33,206						5,000	33,206
10/14/2010					1,800	12,253						1,800	12,253
10/26/2010					2,500	17 964						2,500	17,964
12/16/2010					2,500	22,228						2,500	22,228
													623,250

[illegible]

Emerald Foundation, Inc.
Securities Schedule
2010

Securities Schedule 2010		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	No of Shares or Principal		Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No. of Shares or Principal	Cost or Acquisition Value	Market Value	
9/7/2007	1,000	CEMEX S A B DE C V Spon ADR	30,574			1,173				1,000	29,401		
9/20/2007	500		13,924			534				500	13,390		
1/30/2008	500		12,493			479				500	12,014		
2/1/2008	500		12,168			467				500	11,701		
2/5/2008	500		12,933			496				500	12,437		
2/15/2008	500		12,689			487				500	12,202		
4/23/2008	500		12,586			483				500	12,103		
6/5/2008	145	Stock Dividend	3,892			149				145	3,743		
6/9/2009	165	Stock Dividend	4,429			170				165	4,259		
6/9/2010		Stock Dividend		172	4,440					172	4,440	48,002	
12/14/2009	2,500	Cenovus Energy, Inc. (spin-off from Encana)	52,736							2,500	52,736		
10/18/2010				75,515						2,500	75,515		
10/25/2010				72,147						2,500	72,147		
10/28/2010				72,580				1/1/2010	(6,915)	2,500	72,580	332,400	
1/4/2008	1,000	Centerline Holding Co	6,915			1,000				-	-		
3/29/2010		Chesapeake Energy Corp		2,000	46,706					2,000	46,706		
4/29/2010				1,000	25,013					1,000	25,013		
6/2/2010				2,000	43,852					2,000	43,852		
6/17/2010				1,000	25,749					1,000	25,749		
7/9/2010				1,000	21,189					1,000	21,189		
7/14/2010				500	10,938					500	10,938		
10/14/2010				1,000	23,840					1,000	23,840	220,235	
12/22/2004	1,000	China Mobile Ltd ADR	17,233							1,000	17,233		
5/19/2008	500		43,066							500	43,066		
3/13/2009	1,000		42,309							1,000	42,309	124,050	
3/24/2009	500	China Petroleum and Chemical	28,539			500	37,475	2/11/2010	8,936	-	-		
7/30/2002	500	Chubb Corp. (Contribution)	14,925			1,000	51,941	4/20/2010		-	-		
1/2/2004	2,000		67,768			1,500	74,357	5/25/2010	43,605	-	-		
12/10/2009	301	CIT Group, Inc. (bankruptcy receipt)	10,339			301	9,265	2/5/2010	(1,074)	-	-		
12/14/2006	1,000	Citigroup, Inc.	53,249							1,000	53,249		
4/12/2007	500		26,196							500	26,196		
4/23/2007	1,000		53,673							1,000	53,673		
10/18/2007	1,000		46,783							1,000	46,783		
10/31/2007	500		21,450							500	21,450		
11/6/2007	1,000		39,070							1,000	39,070		
5/5/2010				5,000	23,015					5,000	23,015	70,950	
12/27/2010				5,000	24,346					5,000	24,346		

Emerald Foundation, Inc
Securities Schedule

Securities Schedule		Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
2001	Cons Edison Inc (Contribution)	1,500	51,300			2,000	100,495	11/10/2010	26,874	-	-	
2/17/2005		500	22,321							500	23,922	
9/24/2007		500	23,922							1,000	47,937	
9/27/2007		1,000	47,937							500	23,771	
11/1/2007		500	23,771							1,000	47,661	
11/7/2007	Bequest	1,000	47,661							2,000	85,820	247,850
2/12/2008		2,000	85,820									
12/16/2008	ConocoPhillips	1,000	54,556								-	
1/8/2009		1,000	55,891							-	0	
1/26/2009		500	23,924			2,500	140,958	4/20/2010	6,587	500	20,147	
3/3/2009		500	20,147							1,000	38,259	
3/13/2009		1,000	38,259							1,000	40,579	170,250
3/27/2009		1,000	40,579									
4/30/2008	Dell, Inc	1,000	19,516							1,000	19,516	
6/9/2008		1,000	23,699							1,000	23,699	
7/8/2008		500	11,762							500	11,762	
2/3/2010				1,500	20,227					1,500	20,227	
3/29/2010				1,000	15,271					1,000	15,271	
7/19/2010				2,500	34,836					2,500	34,836	
7/28/2010				1,500	20,389					1,500	20,389	
7/29/2010				1,000	14,026					1,000	14,026	
9/10/2010				1,000	12,642					1,000	12,642	
9/16/2010				1,500	18,695					1,500	18,695	
11/9/2010				1,000	14,818					1,000	14,818	
12/15/2010				1,500	21,257					1,500	21,257	
12/27/2010			1,000	13,748					1,000	13,748		
12/29/2010			1,500	21,109						1,500	21,109	237,125
10/18/2007	DirectTV Group, Inc	1,000	26,612			1,000	38,377	8/12/2010	11,765	-	-	
5/22/2009		1,000	24,727			1,000	41,121	9/23/2010	16,394	-	-	
11/20/2009		5,000	78,319			1,000	41,738	11/10/2010	26,074	4,000	62,655	159,720
	Exchange for Liberty Media Ent											
7/21/2005	Discovery Communications, Inc Ser A & Ser C (Spin-Off from Liberty Media Corporation)	500	6,115									
7/27/2005		500	7,052									
3/9/2006		1,000	13,583									
11/10/2006		500	7,024									
	(Ser C sold 11/10/2010, Ser A Remains)											
11/20/2008	Dow Chemical	500	10,745			1,250	44,747	11/10/2010	27,860	1,250	16,887	52,125
11/21/2008		500	10,704									
12/5/2008		500	9,380									
12/10/2008		1,000	19,090									
3/27/2009		2,500	22,512			2,500	77,270	4/20/2010	27,351	-	-	
4/16/2009		1,000	11,426							2,500	22,512	
4/22/2009		1,500	18,179							1,000	11,426	
5/5/2009		1,000	15,859							1,500	15,859	
8/6/2010				1,500	39,931					1,500	39,931	256,050

Emerald Foundation, Inc
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2010 Securities Schedule		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period		
Date Acquired	No of Shares or Principal		Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
8/9/2005	Dreamworks Animation SKG CL A	1,000	23,249							1,000	23,249	
8/10/2005		1,000	23,770							1,000	23,770	
8/11/2005		500	12,085							500	12,085	73,675
9/23/2008	Eaton Corp	1,000	66,623									
7/28/2010				1,000	76,527			3/23/2010	8,097		1,000	76,527
12/4/2007	eBay, Inc	1,000	33,790							1,000	33,790	
12/5/2007		1,000	34,122							1,000	34,122	
12/14/2007		500	17,243							500	17,243	
4/23/2008		500	16,233							500	16,233	
10/16/2009		1,000	25,418							1,000	25,418	
10/22/2009		1,000	25,326							1,000	25,326	139,150
7/28/2009	El Du Pont De Nemours & Co	1,500	45,153							1,500	45,153	
10/19/2009		1,000	34,670							1,000	34,670	
10/23/2009		2,000	69,001							2,000	69,001	
10/29/2009		500	17,247							500	17,247	
12/9/2009		1,000	32,918							1,000	32,918	
12/23/2009		1,000	32,409							1,000	32,409	
12/28/2009		500	16,533							500	16,533	
3/23/2010					37,562					1,000	37,562	
6/1/2010					54,343					1,500	54,343	498,800
1/26/2010	El Paso			5,000	56,348					5,000	56,348	
2/2/2010				1,000	10,962					1,000	10,962	
2/4/2010				1,500	15,805					1,500	15,805	
2/10/2010				2,500	25,087					2,500	25,087	
3/30/2010				2,500	28,287					2,500	28,287	
4/19/2010				2,500	29,010					2,500	29,010	
4/28/2010				2,500	30,220					2,500	30,220	240,800
7/14/2009	Eli Lilly & Co	1,500	50,137							1,500	50,137	
1/14/2010				1,000	35,738					1,000	35,738	
4/21/2010				1,500	55,742					1,500	55,742	
4/23/2010				1,000	37,038					1,000	37,038	
5/3/2010				1,500	52,952					1,500	52,952	
5/4/2010				1,000	35,333					1,000	35,333	
5/13/2010				1,500	53,836					1,500	53,836	
5/14/2010				1,000	35,662					1,000	35,662	
5/25/2010				1,000	33,741					1,000	33,741	
5/26/2010				1,000	33,261					1,000	33,261	
7/14/2010				500	17,755					500	17,755	438,000

2010		of Period		Other Acquisitions		Other Dispositions			of Period			
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
1/29/2009	Encanta Corp	500	11,845									
2/24/2009		500	10,443				1,000	30.135	7.847	-	-	
2/27/2009		500	9,725									
3/13/2009		500	9,991									
12/2/2009		500	13,994									
12/21/2009		1,000	31,071				2,500	76,474	11.693	-	-	
12/24/2009		1,000	31,567									
1/14/2010				500	17,920							
7/30/2010				1,000	31,260							
12/27/2010				2,000	57,236		2,500	70,605	(10,142)	2,000	57,236	58,240
2/22/2010	Exelon Corp			1,000	44,619						44,619	
3/5/2010				1,000	45,131						45,131	
3/19/2010				1,000	45,411						45,411	
4/29/2010				1,000	44,545						44,545	
5/4/2010				1,000	44,025						44,025	
5/20/2010				1,000	40,448						40,448	
6/15/2010				500	20,142						20,142	
6/17/2010				1,000	40,747						40,747	312,300
9/8/2008	Exxon Mobil Corp	1,000	78,800								78,800	
9/22/2008		1,000	79,353								79,353	
9/23/2008		500	39,201								39,201	
10/16/2008		500	32,797								32,797	
3/31/2009		1,000	71,323								71,323	
4/15/2009		1,000	70,519								70,519	
1/11/2010				1,000	70,362						70,362	
4/19/2010				1,000	69,245						69,245	
7/14/2010				500	29,747						29,747	548,400
4/1/2008	Fairpoint Communications Inc (Spin-off from Verizon)	37	290				37	1	(289)	-	-	
1/28/2010	Fluor Corp			1,500	70,897						70,897	
2/2/2010				1,000	46,769						46,769	
2/10/2010				1,000	44,468						44,468	231,910
7/17/2006	Ford Motor Co	1,000	7,095								7,095	
8/11/2006		2,000	15,156								15,156	
6/27/2007		1,000	9,384								9,384	
7/3/2007		1,000	9,799								9,799	
9/29/2010				2,500	31,827						31,827	
10/7/2010				2,500	32,681						32,681	
10/12/2010				2,500	33,916							

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Securities Schedule 2010		Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
12/31/2007	Fresenius Medical Care AG & Co	500	26,846							500	26,846	
1/10/2008		500	27,672							500	27,672	
2/5/2008		500	25,995							500	25,995	
11/25/2008		1,000	41,415							1,000	41,415	144,225
7/8/2010	Frontier Communications Corp (Spin-off from Verizon)			3,600	30,996			7/9/2010	(5,749)	-	-	
5/7/2009	Gap, Inc	1,000	16,564									
5/8/2009		1,000	17,122			2,000		7/16/2010	3,577	-	0	
4/17/2007	General Electric Co Bequest	2,000	70,431							2,000	70,431	
5/1/2007		500	18,319							500	18,319	
2/12/2008		2,000	68,740							2,000	68,740	
4/21/2008		500	16,344							500	16,344	
7/29/2008		1,000	30,283							1,000	30,283	
9/24/2008		1,500	39,540							1,500	39,540	
2/13/2009		1,500	19,074							1,500	19,074	
3/9/2009		1,000	6,538							1,000	6,538	
11/30/2009		2,500	40,738							2,500	40,738	
3/16/2010					2,500	41,625				2,500	41,625	
9/7/2010					1,000	15,316				1,000	15,316	
9/16/2010					1,500	24,707				1,500	24,707	
12/6/2010					2,500	40,984				2,500	40,984	
12/9/2010					2,000	34,043				2,000	34,043	
12/15/2010					2,000	35,133				2,000	35,133	
12/17/2010					1,000	18,105					1,000	18,105
11/23/2010	General Motors Company 4.75% Ser B Jr Pref Stock			500	25,000					500	25,000	
11/29/2010				500	25,318					500	25,318	54,110
4/25/2006	Motors Liquidation Company (Formerly General Motors)	1,000	21,769									
7/21/2008		1,500	15,269									
8/20/2008		500	6,051			3,000		12/15/2010	(42,755)	-	-	
7/24/2009	Glaxosmithkline PLC	1,500	57,599							1,500	57,599	
12/28/2009		1,000	42,705							1,000	42,705	98,050
11/19/2002	Grupo TMM SA (Contribution)	400	12,710							400	12,710	
11/26/2002		200	6,955							200	6,955	
4/1/2003		400	7,067							400	7,067	
11/10/2003		500	8,488							500	8,488	
9/16/2005		500	9,833							500	9,833	5,100

Emerald Foundation, Inc
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Date Acquired		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period			
			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
2010													
6/11/2004		Hawaiian Electric Inds Inc	2,500	57,050							2,500	57,050	
8/28/2007			1,000	21,618							1,000	21,618	
9/27/2007			1,500	32,727							1,500	32,727	
3/16/2009			2,000	26,670							2,000	26,670	
3/18/2009			2,000	27,501							2,000	27,501	
3/27/2009			1,000	14,278							1,000	14,278	227,900
12/31/2009		Heckmann Corporation	2,000	10,425							2,000	10,425	
1/4/2010					1,000	5,212					1,000	5,212	15,090
5/14/2009		Heinz	1,500	54,047							1,500	54,047	
5/22/2009			1,000	35,993							1,000	35,993	
7/31/2009			1,000	38,820							1,000	38,820	
10/7/2009			1,000	39,276							1,000	39,276	
10/15/2009			500	20,158							500	20,158	
1/22/2010			1,000		43,515						1,000	43,515	
2/1/2010				43,316						1,000	43,316		
2/5/2010				500	22,439					500	22,439		
3/5/2010				1,000	46,578					1,000	46,578		
6/1/2010				1,500	67,382					1,500	67,382	494,600	
9/20/2010		Home Depot			1,000	30,082					1,000	30,082	
9/20/2010					1,500	45,057					1,500	45,057	
9/21/2010					1,000	30,400					1,000	30,400	
9/23/2010					1,000	31,084					1,000	31,084	
10/1/2010				500	16,235						500	16,235	175,300
10/21/2010		HSBC Holdings PLC			1,500	79,391					1,500	79,391	
10/26/2010					1,000	53,251					1,000	53,251	
11/9/2010					1,000	56,869					1,000	56,869	178,640
11/20/2006		Idearc Inc (Spun-off from Verizon)	50								50	-	
10/31/2007			950	27,440							950	27,440	
11/15/2007			1,000	22,972							1,000	22,972	
1/16/2008			1,500	23,239							1,500	23,239	
1/22/2008			1,500	25,468							1,500	25,468	
10/8/2010		Shares Silver Tr			1,500	33,336		1,500	36,841	11/19/2010		-	

Emerald Foundation, Inc
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Emerald Foundation, Inc Securities Schedule 2010			Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
4/27/2004	JP Morgan Chase & Co	1,000	38,188							1,000	38,188		
7/1/2004	Exchange for Bank One Corp	3,300	97,202							3,300	97,202		
7/22/2004		700	25,597							700	25,597		
11/13/2007		500	21,819							500	21,819		
12/13/2007		1,000	48,226							1,000	48,226		
2/12/2008	Bequest	1,500	64,965							1,500	64,965		
5/30/2008		652	28,316							652	28,316		
2/11/2009		348	9,575							348	9,575		
3/13/2009		1,000	17,627							1,000	17,627		
3/19/2009		1,000	24,558							1,000	24,558		
3/26/2009		1,000	25,899							1,000	25,899		
4/7/2009		500	15,003							500	15,003	530,250	
12/7/2010	JP Morgan Chase Cap XXIX 6 70% Cnp Securities			1,500		39,080				1,500	39,080	38,310	
4/2/2007	Kraft Foods Inc CL A (Spin-off from Altria)	2,500	21,749							2,500	21,749		
4/24/2009		1,000	23,027							1,000	23,027		
5/7/2009		1,000	24,182							1,000	24,182		
5/11/2009		500	12,802							500	12,802		
7/7/2009		1,000	27,211							1,000	27,211		
7/17/2009		1,500	40,793							1,500	40,793		
9/11/2009		1,000	27,080							1,000	27,080		
6/1/2010				1,500		43,416				1,500	43,416	315,100	
5/6/2008	Legg Mason, Inc	1,000	62,290							1,000	62,290		
5/21/2008		500	28,897							500	28,897	54,405	
1/9/2002	Liberty Media Holdings Corp Interactive Ser A	250	5,746										
2/13/2002	(Re Liberty Media Corp)	250	5,074										
4/22/2002		125	2,392										
7/31/2002		375	3,975										
12/9/2002		40	367										
12/12/2002		1	9										
3/28/2003		209	3,300										
11/7/2006		750	16,860										
9/7/2007		500	9,881										
2/12/2009		1,500	5,481										
4/13/2009		1,000	3,593										
						2,500	40,378	4/21/2010	(7,226)	-	5,481	39,425	
										1,500	3,593		
										1,000			
5/11/2008	Liberty Media Holding Corp Capital Ser A (Spin-off)	250	2,661							-	-		
5/18/2006		250	3,020							-	-		
11/7/2006		500	6,687							-	-		
2/17/2009		1,000	5,579							1,000	5,579	62,560	
						250	9,242	4/12/2010	6,381	-	-		
						250	9,329	4/12/2010	6,309	-	-		
						300	11,195	4/12/2010	7,183	-	-		
						200	8,420	4/21/2010	5,745	-	-		

Emerald Foundation, Inc.
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Securities Schedule 2010		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	No of Shares or Principal		Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
8/23/2004	Libert Global Inc CL A (fka Liberty Media Intl)	301	1,275							301	1,275		
5/26/2005		199	8,654							199	8,654		
2/12/2009		500	7,634							500	7,634	35,380	
9/7/2005	Liberty Global, Inc Ser C	500	9,168							500	9,168		
2/12/2009		500	7,523							500	7,523	33,890	
11/20/2009	Liberty Media Corp Liberty Starz Ser A Exchange for Liberty Media Corp New Ent Ser A	500	12,249							500	12,249	33,240	
12/31/2004	Lucent Technologies, Inc Warrants Exp 12/10/07 (Received as a result of class action suit)	448								448	-		
3/8/2010	McKesson Corp			1,000	61,889	1,000	64,121	4/20/2010	2,232		-		
9/28/2010	MediaMind Technologies			500	7,639					500	7,639		
9/28/2010				1,000	15,193					1,000	15,193		
9/28/2010				1,500	22,423					1,500	22,423		
10/1/2010				1,000	13,823					1,000	13,823		
10/5/2010				800	11,186					800	11,186		
10/5/2010				1,000	14,205					1,000	14,205	79,460	
1/6/2004	Merck & Co (formerly Schering Plough)	500	23,291							500	23,291		
6/29/2004		865	16,132							865	16,132		
2/24/2005		1,000	30,296							1,000	30,296		
3/29/2005		500	16,400							500	16,400		
4/18/2005		1,000	35,625							1,000	35,625		
12/1/2005		1,000	30,101							1,000	30,101		
7/19/2006			1,000	37,424						1,000	37,424		
9/20/2007			1,442	34,586						1,442	34,586		
1/30/2008			288	5,403						288	5,403		
2/1/2008			288	5,853						288	5,853		
2/5/2008			288	5,681						288	5,681		
2/6/2008			288	6,018						288	6,018		
2/7/2008			577	12,246						577	12,246		
2/12/2008			1,153	25,179						1,153	25,179		
2/15/2008			288	6,392						288	6,392		
4/2/2008		288	4,898						288	4,898			
10/31/2008		1,000	29,152						1,000	29,152			
11/14/2008		1,000	28,356						1,000	28,356			
11/17/2008		1,000	27,118						1,000	27,118			
12/11/2008		500	13,789						500	13,789			
1/8/2009		577	10,205						577	10,205			
1/26/2009		500	13,768						500	13,768			
1/30/2009		1,000	29,021						1,000	29,021			
3/16/2009		1,000	22,628						1,000	22,628			
4/7/2009		1,000	27,421						1,000	27,421			
4/24/2009		500	11,973						500	11,973			
11/23/2009	Pfd Tender	7,095	255,775						7,095	255,775	934,806		

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Securities Schedule 2010		Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period				
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
3/29/2004	Microsoft Corp	500	39,185							500	39,185		
7/29/2004		1,000	28,881							1,000	28,881		
5/3/2006		1,000	24,685							1,000	24,685		
4/16/2009		1,000	19,900							1,000	19,900		
10/22/2009		1,500	40,112							1,500	40,112		
10/27/2009		1,000	26,758							1,000	26,758		
10/29/2009		1,000	29,388							1,000	29,388		
1/14/2010					500	15,628					500	15,628	
7/28/2010					1,500	39,328					1,500	39,328	
7/29/2010					1,000	26,414					1,000	26,414	
9/7/2010	Morgan Stanley India Investment Fund			1,500	36,214					1,500	36,214		
9/17/2010				1,000	25,414					1,000	25,414		
9/27/2010				1,500	37,176					1,500	37,176		
10/18/2010				1,000	25,391					1,000	25,391	418,650	
11/13/2009	Morgan Stanley India Investment Fund	1,500	34,262							1,500	34,262		
9/16/2010				1,000	26,906					1,000	26,906	64,225	
10/10/2001	Motorola	500	7,142							500	7,142		
4/22/2003		2,000	14,836							2,000	14,836		
10/26/2004		2,000	31,159							2,000	31,159		
11/1/2004		500	8,042							500	8,042		
10/27/2009		2,500	21,102							2,500	21,102		
10/29/2009		2,500	21,303							2,500	21,303	90,700	
7/12/2010	National Grid PLC New			1,000	39,426			11/10/2010	7,998		-		
7/13/2010				1,000	38,555					1,000	38,555		
7/14/2010				500	19,181					500	19,181		
8/3/2010				1,000	40,860					1,000	40,860		
8/13/2010				1,500	65,391					1,500	65,391	177,520	
11/24/2009	Navios Maritime Partners	1,500	21,930										
11/27/2009		1,000	14,813			1,000							
12/8/2009		1,000	14,775			2,500		3/11/2010	4,149		-		
2/8/2010					1,500	23,357	2,500		7/16/2010	2,750		-	
7/10/2006	Nestle SA	500	15,594							500	15,594		
9/20/2007		500	22,222			1,500				500	22,222		
7/3/2008		1,500	56,724							1,500	56,724	146,845	
12/21/2005	New York Community Bancorp	1,000	17,546										
7/10/2006		500	8,524			1,500		11/10/2010	(497)		-		
11/14/2008		1,000	13,965			1,500							
2/11/2009		1,000	13,001			1,500				500	6,500		
2/12/2009		1,000	13,004							1,000	13,004		
3/26/2009		2,000	21,862							2,000	21,862		
3/27/2009		1,000	11,960							1,000	11,960		
5/4/2009		1,000	12,185							1,000	12,185		
12/21/2009		1,500	20,811							1,500	20,811		
12/23/2009		1,000	14,299							1,000	14,299		
12/28/2009		1,500	21,771							1,500	21,771	179,075	

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Emerald Foundation Inc
Securities Schedule
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Securities Schedule		Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period			
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
2/7/2005	Pfizer, Inc	2,000	48,877							2,000	48,877		
2/9/2005		1,000	24,629							1,000	24,629		
2/10/2005		1,000	24,982							1,000	24,982		
2/17/2005		1,000	25,697							1,000	25,697		
2/15/2006		1,000	26,312							1,000	26,312		
6/29/2006		1,500	35,530							1,500	35,530		
8/22/2007		500	12,171							500	12,171		
2/5/2008		500	11,812							500	11,812		
2/12/2008		1,000	22,680							1,000	22,680		
4/22/2008		500	10,396							500	10,396		
5/21/2008		1,000	20,415							1,000	20,415		
5/22/2008		1,000	20,585							1,000	20,585		
6/4/2008		500	9,870							500	9,870		
10/16/2009		Wyeth Merger	2,955	51,757							2,955	51,757	
11/2/2009			2,045	35,754							2,045	35,754	
11/27/2009			1,000	19,012							1,000	19,012	
1/22/2010				1,500	30,086								
3/26/2010				1,000	17,799								
4/12/2010				1,000	17,374								
4/15/2010				2,000	35,160								
4/16/2010				1,000	17,465							437,750	
5/24/2010	Potash Corp of Saskatchewan			500	49,753								
5/25/2010				500	49,195								
6/1/2010				500	47,615								
6/2/2010				500	48,288								
6/14/2010				500	48,878	2,500	249,042	6/15/2010	5,314		-		
6/25/2010				500	49,297								
6/25/2010				500	49,594								
6/30/2010				500	47,991								
6/30/2010				500	48,099								
7/2/2010				500	44,675	2,500	244,674	7/20/2010	5,018		-	0	
7/21/2010				500	48,537								
7/22/2010				500	48,113								
7/23/2010				500	48,041	1,500	147,633	7/26/2010	2,943		-		
7/26/2010				500	48,340	500	49,954	8/3/2010	1,614		-		
8/20/2010				500	71,957	500	73,454	8/23/2010	1,497		-		
3/24/2009		Powershares Golden Dragon Halter USX China	1,500	21,926			1,500	33,622	2/17/2010	11,696		-	
10/19/2009	Proshares Ultrashort Barclays 20 + Year Treas	1,000	46,496							1,000	46,496		
10/26/2009		1,000	46,536							1,000	46,536		
12/23/2009		500	23,831							500	23,831		
4/12/2010					500	24,704	500	24,920	4/12/2010	216	-		
12/20/2010				1,000	40,371					1,000	40,371	129,640	
7/16/2010	Research in Motion Ltd			1,000	56,361								
7/20/2010				1,000	55,993								
7/21/2010				500	26,673	2,500	122,629	10/26/2010	(16,399)	-			

Emerald Foundation, Inc
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Securities Schedule		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period			
Date Acquired			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
1/14/2004	Royal Dutch Shell PLC CL A	1 000	49 342								1 000	49 342	
10/16/2008		500	24 372								500	24 372	
5/4/2009		1 000	46 760								1 000	46 760	166 950
1/7/2008	Schlumberger Ltd	500	22 809										
3/13/2009		500	19 395										
3/16/2009		500	19 911			1 500		93 522	10/8/2010	31 408	-		
5/26/2004	Senior Housing Properties Trust SBI	1 000	15 950								1 000	15 950	
2/22/2007		1 000	26 079								1 000	26 079	
11/18/2008		500	7 762								500	7 762	54 850
2/12/2010	Solar Capital Ltd			2 500	45 393						2 500	45 393	
2/17/2010				1 100	20 106						1 100	20 106	
2/17/2010				1 400	25 799						1 400	25 799	
2/26/2010				1 000	18 610						1 000	18 610	
3/10/2010				1 500	29 238						1 500	29 238	
4/9/2010				114	2 547						114	2 547	
5/11/2010				2 386	51 124						2 386	51 124	
5/20/2010				2 500	53 582						2 500	53 582	
5/24/2010				2 000	41 018						2 000	41 018	
5/25/2010				1 000	20 230						1 000	20 230	
5/26/2010				1 000	19 932						1 000	19 932	
5/27/2010				1 000	20 944						1 000	20 944	
6/2/2010				1 000	21 095						1 000	21 095	
6/17/2010				1 500	31 930						1 500	31 930	
7/12/2010				630	11 989						630	11 989	
7/15/2010				2 000	40 274						2 000	40 274	
7/16/2010				1 000	20 341						1 000	20 341	
7/22/2010				1 370	27 613						1 370	27 613	
10/13/2010	Dividend reinvestment			697	14 999					697	14 999	636 772	
8/15/2006	Sprint Nextel Corp Series 1 Common Stock	500	8 581								500	8 581	
8/21/2006		500	8 358								500	8 358	
1/23/2007		1 000	17 516								1 000	17 516	
1/25/2007		500	8 838								500	8 838	
1/29/2007		1 000	18 060								1 000	18 060	
2/20/2007		500	9 430								500	9 430	
4/17/2007		1 000	19 799								1 000	19 799	
8/22/2007		1 000	18 940								1 000	18 940	
2/1/2008		1 000	10 910								1 000	10 910	
2/5/2008		500	5 456								500	5 456	
1/14/2009		2 500	7 383								2 500	7 383	63 450
12/17/2010					5 000	22 638					5 000	22 638	63 450
4/1/2004	Telefonos de Mexico SA Stock Split	500	4 730							500	4 730		
5/31/2005		2 000	18 919							2 000	18 919	40 350	

Emerald Foundation, Inc
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Securities Schedule		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period				
Date Acquired			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
12/5/2002	Telephone and Data Systems	1,000	29,283								1,000	29,283	73,100	
12/26/2002		500	12,865								500	12,865		
4/13/2009		500	14,553								500	14,553		
12/5/2002	Telephone & Data Systems (Special Common)	1,000	27,857								1,000	27,857	63,040	
12/26/2002		500	12,239								500	12,239		
4/13/2009		500	13,071								500	13,071		
9/18/2006	Tnnny Industries, Inc	1,000	32,500								1,000	32,500	66,525	
12/31/2007		1,500	42,465								1,500	42,465		
7/3/2006	UBS AG	500	26,764								500	26,764	33,764	
7/27/2006		500	26,294								500	26,294		
4/28/2008	Stock Dividend (no basis adjustment)	50	-								50	-		
10/7/2008		1,000	19,556								1,000	19,556		
11/17/2009	Valero Energy Corp	1,000	17,504										57,800	
11/25/2009		1,000	16,786											
11/30/2009		1,000	16,442			2,500		43,480	10/8/2010	969	500	8,221		
12/3/2009		1,500	24,199								1,500	24,199		
12/8/2009		500	8,190								500	8,190		
7/31/2006	Verizon Communications Inc Bequest	1,000	33,238								1,000	31,177		
2/12/2008		1,000	37,406					2,061	7/8/2010		1,000	35,086		
5/16/2008		1,500	58,158					2,320	7/8/2010		1,500	54,551		
5/21/2008		500	19,739					3,607	7/8/2010		500	18,515		
5/22/2008		1,000	39,593					1,224	7/8/2010		1,000	37,138		
12/8/2009		1,000	33,165					2,455	7/8/2010		1,000	31,109		
12/21/2009		1,500	50,238					2,057	7/8/2010		1,500	47,123		
2/2/2010					1,000	30,056			3,116	7/8/2010		1,000		28,192
2/4/2010					1,500	44,902			1,864	7/8/2010		1,500		42,118
3/16/2010					2,000	60,208			2,785	7/8/2010		2,000		56,474
3/26/2010					500	15,669			3,734	7/8/2010		500		14,697
3/30/2010					2,500	77,423			972	7/8/2010		2,500		72,621
7/16/2010	Vodafone Group PLC New	1,000		1,000	27,109			4,802	7/8/2010		1,000	27,109	661,930	
8/5/2010				1,500	44,904						1,500	44,904		
12/6/2010					1,000	32,980					1,000	32,980		
8/23/2001	Vodafone Group PLC New	750	16,036								750	16,036	132,200	
9/10/2001		874	21,197								874	21,197		
4/2/2002		876	18,789								876	18,789		
6/2/2010				1,500	29,918						1,500	29,918		
7/16/2010				22,657	1,000						1,000	22,657		
11/15/2010	Warner Chilcott PLC			2,500	51,426						2,500	51,426	78,960	
11/18/2010				1,000	20,514						1,000	20,514		

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2010		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
		Waste Management Inc (Continuation)											
2001			2,000	61,980							2,000	61,980	
2/6/2002			200	5,028							200	5,028	
2/6/2002			200	5,103							200	5,103	
2/6/2002			100	2,530							100	2,530	
2/6/2002			200	5,068							200	5,068	
2/6/2002			100	2,539							100	2,539	
2/6/2002			200	5,088							200	5,088	
2/6/2002			200	5,068							200	5,068	
2/6/2002			100	2,514							100	2,514	
3/18/2003			1,500	30,875							1,500	30,875	184,350
		WebMD Health Corp											
2/1/2006			1,000	41,294									
4/2/2006			500	20,671									
8/2/2006			2,000	78,901									
8/8/2006			500	19,690									
10/22/2007			1,000	50,788									
10/24/2007			500	22,917									
12/4/2007			500	22,526									
12/12/2007			500	21,744									
2/12/2008			5,000	144,600									
2/15/2008			500	14,834									
2/27/2008			2,000	60,373									
3/3/2008			500	14,548									
3/18/2008			1,000	26,473									
3/26/2008			1,500	41,018									
3/27/2008			2,500	66,687									
4/22/2008			1,000	41,274									
4/23/2008			500	13,550									
4/29/2008			500	14,450									
4/30/2008			1,000	29,940									
7/17/2008			1,000	21,355									
1/21/2009			1,000	24,851									
4/20/2009			1,226	40,959									
9/23/2009			38,274	850,411									
10/27/2009		Merger with Health											
11/2/2009			1,000	34,820									
11/6/2009			1,500	51,449									
12/23/2009			1,000	38,114									
12/28/2009			1,000	38,647									
3/2/2010					500								
4/27/2010					1,000								
5/11/2010					1,000								
5/13/2010					1,500								
5/14/2010					1,500								
11/4/2010					1,421								
		Weight Watchers Intl. Inc											
4/22/2009			2,000	45,057									
5/1/2009			500	12,317									

Emerald Foundation, Inc
Securities Schedule

Date Acquired		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
3/25/2008		Wells Fargo & Co	1,000	32,208							1,000	32,208	
3/27/2008			1,000	33,558							1,000	33,558	
3/27/2008			1,000	32,492							1,000	32,492	
2/6/2009			1,000	19,736							1,000	19,736	
4/16/2009			1,000	19,092							1,000	19,092	154,950
6/14/2010		Wynn Resorts Ltd			1,000	80,200							
7/9/2010					1,000	77,085							
10/29/2010		Xerox Corp			1,000	11,646							
10/29/2010					1,500	17,636					1,000	11,646	
											1,500	17,636	28,800
Total Equities				12,366,285		8,570,264		3,289,233		327,499	745,045	17,974,815	21,605,779
Corporate Bonds & Bank CDs													
5/11/2009		Aetna, Inc Call@MW +20BP 6 0% 6/15/16	50,000	49,908							50,000	49,908	57,018
7/24/2009		Ally Bk Midvale Utah CD 0 75% 4/23/10	100,000	100,000			100,000	100,000	4/23/2010	-	-	-	
11/20/2009		Ally Bk Midvale Utah CD 0 95% 11/19/10	100,000	100,000			100,000	100,000	11/19/2010	-	-	-	
7/16/2010		Ally Bk Midvale Utah CD 0 8% 1/17/12			50,000	50,000					50,000	50,000	50,040
8/13/2010		Ally Bk Midvale Utah CD 2 25% 8/13/14			100,000	100,000					100,000	100,000	100,701
10/5/2006		Ancore Bank NA CD 5 0% 10/6/11	95,000	95,000			95,000	95,000	4/28/2010	-	-	-	
3/25/2009		Amer Exp BK UT CD 2 4% 3/25/11	95,000	95,000							95,000	95,000	95,403
11/18/2009		Amer Exp BK UT CD 0 25% 5/18/10	100,000	100,000			100,000	100,000	5/18/2010	-	-	-	
3/26/2009		American Express Credit 5 0% 12/02/10	50,000	50,180			50,000	50,000	12/2/2010	(180)	-	-	
6/30/2010		American Express Cent Bk CD 0 15% 9/30/10			100,000	100,000	100,000	100,000	9/30/2010		-	-	
2/6/2009		Arthur Sr BK SC 1 6% 2/2/10	50,000	49,986			50,000	50,000	2/2/2010	14	-	-	
11/21/2002		AT & T Broadband Corp 9 455% 11/15/22	43,000	44,391							43,000	44,391	59,494
4/6/2009		Bank One Corp Nts 5 25% 1/30/13	25,000	23,751							25,000	23,751	26,701
3/25/2009		Barco Bilbao PR CD 1 3% 3/25/10	95,000	95,000			95,000	95,000	3/25/2010	-	-	-	
7/8/2009		Bank of America NA NC CD 1 15% 10/8/10	50,000	50,000			50,000	50,000	10/8/2010	-	-	-	

Emerald Foundation, Inc
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Date Acquired		Name of Security	Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions			Inventory End of Period			
			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
4/9/2010		Bank of America NA Charlotte C/D 0.55% 4/8/11			150,000	150,000					150,000	150,000	150,033
4/7/2009		Bank of America Corp NTS 6.25% 4/15/12	50,000	49,962							50,000	49,962	52,667
7/16/2010		Bankers Bk Okla City 7/15/11 0.3%			100,000	100,000					100,000	100,000	99,896
9/29/2010		Bankers Bk Okla City 9/28/12 0.5%			100,000	100,000					100,000	100,000	99,955
2/26/2010		Bank Cleveland Tenn 2/25/11 0.3%			100,000	100,000					100,000	100,000	99,980
6/23/2010		Bank of Dolly Vietnam, GA 9/26/11 0.4%			150,000	150,000					150,000	150,000	150,009
2/17/2010		Bank Hampton RDS Chesapeake VA 0.3% 11/17/10			150,000	150,000			11/17/2010		-	-	
2/9/2010		Bank Iowa Altoona 0.75% 5/9/11			100,000	100,000					100,000	100,000	100,085
2/12/2010		Bank Romney West Va 0.2% 11/12/2010			150,000	150,000			11/12/2010		-	-	
7/29/2009		Barclays Bank Del CD 1.35% 1/31/11	100,000	100,000							100,000	100,000	100,058
12/23/2010		Barclays Bank PLC Sep Due 12/23/16			50,000	50,000					50,000	50,000	48,275
12/30/2008		Barnes Bkg Kaysville CD 4.0% 12/30/11	50,000	50,000			50,000	50,000	1/26/2010		-	-	
4/15/2009		Barnes Bkg Co CD 1.25% 7/15/10	50,000	50,000			50,000	50,000	1/26/2010		-	-	
8/19/2009		Beal Svgs Bk Las Vegas Nev 0.4% 2/17/10	100,000	100,000			100,000	100,000	2/17/2010		-	-	
3/3/2010		Beal Svgs Bk Las Vegas Nev 0.2% 9/1/10			150,000	150,000	150,000	150,000	9/3/2010		-	-	
12/2/2009		Beal Bk Plano Texas CD 9/1/10 0.45%	100,000	100,000			100,000	100,000	9/1/2010		-	-	
2/12/2008		BMW Bank CD 5.05% 3/15/11 Bequest	95,000	97,699							95,000	97,699	95,830
4/9/2011		BMW Bank CD 1.0% 10/7/11			100,000	100,000					100,000	100,000	100,330
7/8/2009		Branch B&T Co Winston CD 0.8% 4/8/10	95,000	95,000			95,000	95,000	4/8/2010		-	-	
4/9/2010		Capital Bank Little Rock Ark CD 0.25% 11/9/10			200,000	200,000					-	-	
3/15/2007		Capital One Bank CD 5.15% 8/13/10	100,000	99,677			200,000	200,000	11/9/2010		-	-	
3/25/2009		Capmark BK CD 3.0% 3/26/12	95,000	95,000			100,000	100,000	8/17/2010	323	-	-	97,513
2/12/2008		Carolina First Bank CD Inflation Index 11/26/13 Bequest	95,000	95,888							95,000	95,888	99,341
6/28/2010		Citizens St Bk CD Okemah 0.5% 9/28/11			100,000	100,000					100,000	100,000	100,003

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Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	
5/6/2009	Charter Bank NM 1 0% 5/6/10	99,000	99,000			99,000	99,000	1/27/2010			-		
5/7/2010	Charter Oak BK Napa 0 3% 2/7/11			100,000	100,000					100,000	100,000	99,987	
6/30/2010	CIT BK Salt Lake City, UT 1 7% 6/28/13			100,000	100,000					100,000	100,000	101,103	
12/10/2009	CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/13	3,501	4,153							3,501	4,153	3,571	
12/10/2009	CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/14	5,251	6,205							5,251	6,205	5,304	
12/10/2009	CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/15	5,251	5,979							5,251	5,979	5,264	
12/10/2009	CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/16	8,753	9,799							8,753	9,799	8,786	
12/10/2009	CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/17	12,254	13,524							12,254	13,524	12,285	
1/8/2010	Citigroup Inc 9/15/14 5 0%			100,000	98,500					100,000	98,500	103,448	
11/16/2010	Citigroup, Inc 11/16/23 4 25%			50,000	50,000					50,000	50,000	48,256	
12/30/2009	Columbus Bk & Tr CD 1 05% 5/31/11	100,000	100,000							100,000	100,000	100,220	
4/16/2010	Columbus Bk & Tr CD 0 95% 10/17/11			100,000	100,000					100,000	100,000	100,290	
11/19/2002	Comcast Cable Comm Hldgs 8 375% 3/15/13 Formerly AT & T Broadband	53,000	54,715							53,000	54,715	60,309	
7/24/2009	Community Bks Colo Cripple Crk 0 7% 4/26/10	100,000	100,000			100,000	100,000	4/26/2010	-	-	-		
5/14/2010	Compass Bk Birmingham Ala CD 0 25% 11/15/10			150,000	150,000	150,000	150,000	11/15/2010	-	-	-		
12/17/2009	Cmnty First Bk Ind Kokomo CD 1 0% 4/18/11	100,000	100,000							100,000	100,000	100,147	
7/8/2009	Compass Bank Birmingham AL CD 2 1% 7/8/11	71,000	71,000							71,000	71,000	71,568	
3/1/2010	Countrywide Finl Corp Mcd Term Nts 0 725% 5/7/12			98,500	98,500					98,500	98,500	98,740	
2001	CSX Corp 8 625% 5/15/22 (Contribution)	50,000	56,464							50,000	56,464	62,580	
5/4/2009	Darby Bk TR Ga CD 2 35% 7/5/11	75,000	75,000			75,000	75,000	11/17/2010	-	-	-		
11/12/2009	Discover Bank C'wd Del CD 1 85 % 11/14/2011	200,000	200,000							200,000	200,000	202,090	
4/17/2009	Dominion Resources, Inc 5 0% 3/15/13	50,000	50,922							50,000	50,922	53,712	
3/30/2009	Doral Bank Catano CD 1 5% 3/30/10	100,000	100,000			100,000	100,000	3/30/2010	-	-	-		

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		No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
1/8/2009	Encana Corp Notes 5 9% 12/01/17	25,000	22,618							25,000	22,618	28,433
12/4/2009	Enerbank USA CD 0 5% 9/7/10	150,000	150,000			150,000	150,000	9/7/2010	-	-	-	-
4/7/2010	Enerbank USA CD 0 5% 4/7/11			100,000	100,000					100,000	100,000	100,010
7/28/2010	Enterprise Bk & Tr CD 0 7% 1/27/12			100,000	100,000					100,000	100,000	100,014
2/26/2010	Federal Home Loan bank CD 8/26/14			100,000	99,950	100,000	100,000	5/26/2010	50	-	-	-
4/29/2010	Federal Home Loan Bank CD Step 10/29/13			100,000	99,900	100,000	100,000	7/29/2010	100	-	-	-
6/24/2010	Federal Home Loan Bank Step CPN 12/24/13			100,000	100,000	100,000	100,000	9/24/2010	-	-	-	-
12/10/2008	FirstBank PR CD 3 85% 12/10/10	50,000	50,000			50,000	50,000	12/10/2010	-	-	-	-
3/31/2010	First Southern Bk Carbondale ILL CD 0 2% 9/30/10			150,000	150,000	150,000	150,000	9/30/2010	-	-	-	-
12/23/2009	First Fed Svgs Bank CD 0 45% 12/23/10	100,000	100,000			100,000	100,000	12/23/2010	-	-	-	-
7/15/2009	First South Bank CD 1 35% 1/18/11	100,000	100,000							100,000	100,000	100,028
8/20/2009	First Chicago Bk & Tr III 0 75% 7/20/10	150,000	150,000			150,000	150,000	7/20/2010	-	-	-	-
8/31/2009	First Gen Bk Rowland Hts Ca CD 8/31/10 0 75%	100,000	100,000			100,000	100,000	8/31/2010	-	-	-	-
9/8/2009	First Cmnty Bk Elgin Ill CD 9/8/10 0 75%	100,000	100,000			100,000	100,000	9/8/2010	-	-	-	-
1/15/2010	First Ment Bk Akron Ohio 0 15% 8/16/2010			150,000	150,000	150,000	150,000	8/16/2010	-	-	-	-
7/6/2009	FHLB Step Due 7/6/12	100,000	100,000			100,000	100,000	7/6/2010	-	-	-	-
7/23/2009	FHLB Step Due 10/23/12	100,000	100,000			100,000	100,000	1/25/2010	-	-	-	-
9/29/2010	FHLB Step Due 9/29/15			100,000	100,000					100,000	100,000	98,372
4/16/2010	First Cap Bank Bennettsvills, SC CD 6/16/11 0 55%			100,000	100,000					100,000	100,000	100,022
4/14/2010	First Coml Bank Birmingham AL CD 10/14/11 0 9%			100,000	100,000					100,000	100,000	100,252
1/21/2010	Footills Bk & Tr 4/21/11 0 65%			100,000	100,000					100,000	100,000	100,048
3/17/2003	Ford Motor Credit Senior Nts 7 3/8% 10/15/31	2,000	42,772									
12/28/2009		500	10,240									
1/14/2010	Ford Motor Credit Company LLC 7 375% 10/15/31			1,000	21,901							
2/1/2010				1,500	31,176							
				5,000			125,000	12/23/2010	18,911	-	-	-

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		No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
2/1/2010	Ford Motor Credit Co Gbl Lndmk 7 375% 2/1/11			100,000	102,850					100,000	102,850	100,250
2/17/2010	Ford Motor Credit Co Gbl Lndmk 7 25% 10/25/11			50,000	50,100					50,000	50,100	51,750
3/10/2010	Ford Motor Credit Co Gbl Note 7 0% 10/1/13			50,000	51,000					50,000	51,000	53,625
2/8/2010	Ford Motor Credit Company LLC 7 6% 3/1/32			2,000	46,123	2,000	50,000	12/23/2010	3,877	-	-	-
3/31/2010	Franklin Templeton Bk & Tr CD 0 2% 10/29/10			150,000	150,000	150,000	150,000	10/29/2010	-	-	-	-
12/17/2008	Frontier Bk CD 3 5% 9/17/10	95,000	95,000			95,000	95,000	5/5/2010	-	-	-	-
11/20/2009	GE Money Bank CD 0 65% 8/20/10	150,000	150,000			150,000	150,000	8/20/2010	-	-	-	-
5/7/2010	GE Money Bank CD 0 3% 11/8/2010			100,000	100,000	100,000	100,000	11/8/2010	-	-	-	-
10/1/2010	GE Money Bank CD 0 3% 8/1/11			150,000	150,000					150,000	150,000	149,831
4/27/2010	General Elcc Cap Corp MTN BE V 0 378%			98,755	98,755					98,755	98,755	99,470
2001	Georgia Pacific Corp 7 7% 06/15/15 (Contribution)	100,000	96,616							100,000	96,616	114,500
5/13/2005	General Motors 6 25% CV Sr Debentures Due 7/15/33	2,000	39,736							2,000	39,736	16,700
9/29/2010	Greenville Fed Bk CD 9/29/11 0 2%			150,000	150,000					150,000	150,000	149,622
6/25/2010	Gold Coast Bk Chicago IL CD 1 0% 8/27/12			150,000	150,000					150,000	150,000	150,872
11/15/2010	Goldman Sachs Group Inc Step-Up 11/15/15			100,000	100,000					100,000	100,000	98,000
12/22/2010	Goldman Sachs Group Inc Step CPN 6/22/11			50,000	50,000					50,000	50,000	49,529
3/3/2010	Golf Svgs Bank CD 0 2% 12/3/2010			150,000	150,000	150,000	150,000	12/3/2010	-	-	-	-
12/11/2009	Guaranty Bk & Tr Co Denver CD 1 3% 6/10/11	100,000	100,000							100,000	100,000	100,342
12/10/2008	Hannu Bank Ca CD 3 1% 3/10/10	95,000	95,000			95,000	95,000	3/10/2010	-	-	-	-
4/6/2009	Hartford Fincl Services 5 25% 10/15/11	50,000	40,330							50,000	40,330	51,475
7/23/2010	Heartland Cmny Bk CD 4/25/11 0 25%			150,000	150,000					150,000	150,000	149,892
3/31/2010	Heritage Bank Olympia WA CD 0 25% 12/31/10			200,000	200,000	200,000	200,000	12/31/2010	-	-	-	-
2/19/2009	Homestreet Bank 1 5% 3/19/10	99,000	99,000			99,000	99,000	3/19/2010	-	-	-	-

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			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
12/31/2008		Hortzon Bank Wa CD 3 75% 6/30/11	99,000	99,000				99,000	1/13/2010	-	-	-	114,965
2001		Int'l Business Mach Corp 7 5% 6/15/13 (Contribution)	100,000	109,978							100,000	109,978	
1/22/2010		Investors Cmnty Bk WI CD 1 55% 7/23/12			100,000	100,000					100,000	100,000	101,095
6/9/2010		Investors Cmnty Bk WI CD 0 3% 9/9/11			150,000	150,000					150,000	150,000	149,835
3/12/2009		Irwin Union Bk & Tr 2 0% 9/13/10	95,000	95,000			95,000	95,000	9/13/2010	-	-	-	
2/26/2010		Islanders Bk Frnday HBR Wash C 2/25/11 0 3%			100,000	100,000					100,000	100,000	99,980
9/30/2009		JP Morgan Chase Bk Step Up CD 9/30/14	100,000	100,000			100,000	100,000	3/30/2010	-	-	-	
11/18/2009		JP Morgan Chase & Co GLBL SB H 9/1/15	50,250	50,250							50,250	50,250	49,866
10/19/2009		JP Morgan Chase & Co Sr Nt Due 6/13/11 1 052%	100,000	100,807							100,000	100,807	100,328
6/7/2010		JP Morgan Chase & Co GLBL Due 9/1/15 4 891%			100,250	100,250					100,000	100,250	99,731
2/12/2008		K Bank CD 5 05% 3/22/10 Bequest	95,000	96,910			95,000	95,000	3/22/2010	(1,910)	-	-	
12/29/2009		Lakeside Bk Chicago CD 0 65% 3/29/11	100,000	100,000							100,000	100,000	100,046
5/13/2010		Lakeside Bk Warsaw CD 0 85% 11/14/11			150,000	150,000					150,000	150,000	150,291
4/14/2010		Livingston St Bk Wis CD 0 45% 4/14/11			150,000	150,000					150,000	150,000	149,991
9/16/2009		Martin Business Bk Salt Lake CD 1 05% 1/18/11	100,000	100,000							100,000	100,000	100,019
5/24/2010		Medallion Bk Utah CD 0 25% 1/24/11			100,000	100,000					100,000	100,000	99,990
9/23/2009		Merck Bank S J Utah CD 1 0% 12/23/10	100,000	100,000			100,000	100,000	12/23/2010	-	-	-	
6/30/2010		Merck Bank S J Utah CD 0 35% 3/30/11			100,000	100,000					100,000	100,000	99,977
1/11/2010		Merrill Lynch & Co Inc 5/2/17 0 83%			100,000	87,460					100,000	87,460	88,000
4/16/2010		Metro City Bank Doraville GA CD 0 6 5/16/11			150,000	150,000					150,000	150,000	150,054
7/15/2009		Midfirst Bank OK CD 1 15% 10/15/10	100,000	100,000			100,000	100,000	10/15/2010	-	-	-	
12/11/2009		Millennium Bk Edwards Colo CD 0 85% 2/11/11	150,000	150,000							150,000	150,000	150,057
6/11/2010		Millennium Bk Edwards Colo CD 0 45% 8/11/11			100,000	100,000					100,000	100,000	100,000
12/30/2009		Monarch Bk Chesapeake Va 0 8% CD 3/30/11	100,000	100,000							100,000	100,000	100,082
10/29/2008		Morgan Stanley Bk UT 4 1% 4/29/10	50,000	50,000			50,000	50,000	4/29/2010	-	-	-	

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			No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
11/28/2008		Morgan Stanley Bk UT 5 0% 11/29/13	45,000	45,000							45,000	45,000	49,238
4/23/2010		Morgan Stanley NY Global Mkt Trd NT Ncr 1 Due 1/9/12 0.545%			100,000	99,204					100,000	99,204	99,920
6/16/2010		Morgan Stanley Group, Inc Call Notes 5 0% 6/11/25			100,000	99,000					100,000	99,000	99,300
7/27/2010		Morgan Stanley 4 0% 7/24/15			100,000	99,750					100,000	99,750	100,600
8/21/2009		National Bank SC Sumter CD 1 05% 10/21/10	150,000	150,000			150,000	150,000	10/21/2010	-	-	-	-
11/19/2008		NBT Bank NA CD 4 0% 5/19/10	95,000	95,000			95,000	95,000	5/19/2010	-	-	-	-
9/30/2009		New York Cmnty Bank Westbury 0 5% 4/30/10	200,000	200,000			200,000	200,000	4/30/2010	-	-	-	-
11/30/2009		Pacific Westm Bk CA CD 0 8% 1/31/2011	100,000	100,000							100,000	100,000	100,023
3/5/2010		Park Bank Madison Wis 0 3% 3/4/11			150,000	150,000					150,000	150,000	149,964
3/30/2010		Park Bank Madison Wis 0 25% 12/30/10			100,000	100,000					-	-	-
10/9/2009		Palm Desert Natl Bank CD 0 75% 7/9/2010	150,000	150,000			150,000	150,000	7/9/2010	-	-	-	-
9/4/2009		Patnot State Bk Fuquay-Vanna, Inc 0 2% 9/4/10	100,000	100,000			100,000	100,000	3/4/2010	-	-	-	-
3/31/2010		Peoples Bk Wis Hayward 0 6% 8/31/11			100,000	100,000					100,000	100,000	100,130
9/3/2010		Private Bk Minn MN CD 0 45% 12/5/11			200,000	200,000					200,000	200,000	199,622
2/11/2009		Quantum Natl BK GA CD 2/11/10	99,000	99,000			99,000	99,000	2/11/2010	-	-	-	-
12/29/2008		Republic Bk Inc Utah CD 0 25% 6/29/10	100,000	100,000			100,000	100,000	6/29/2010	-	-	-	-
4/14/2009		Sierra PAC Pwr Co B/E 5 45% 9/1/13	100,000	99,270							100,000	99,270	108,750
5/14/2010		SonaBank Natl Assn 0 5% 9/14/11			150,000	150,000					150,000	150,000	149,978
12/16/2009		Spmnt Nexel Corp 6 0% JD 12/1/16	50,000	44,250							50,000	44,250	48,500
12/24/2009		State Bank India Chicago IL CD 0 3% 6/24/10	100,000	100,000			100,000	100,000	6/24/2010	-	-	-	-
7/8/2009		State Bank India NY CD 0 6% 1/8/10	100,000	100,000			100,000	100,000	1/8/2010	-	-	-	-
6/30/2010		State Bank India, Chicago, IL CD 0 3% 11/30/10			150,000	150,000					150,000	150,000	150,000
9/11/2009		State Bank & Trust CD 1 2% 3/11/11	75,000	75,000							75,000	75,000	75,101
12/31/2009		Sterling Bank Lantana Fla CD 0 75% 12/30/10	192,000	192,000			192,000	192,000	7/28/2010	-	-	-	-
5/6/2009		Sunoco, Inc MW + 158P NTS 4 875% 10/15/14	25,000	23,396							25,000	23,396	26,056

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10/15/2009		Tomatobank Diam Bar Ca CD 0 45% 5/17/10	200 000	200,000			200,000	5/17/2010	-	-	-	
12/18/1998		Tyson Foods 7 0% 5/1/18	5 000	5,250			50 000	1/8/2010	-	5,000	5,250	5,350
7/8/2009		United Sec Bk Fresno Calif CD 0 5% 1/8/10	50,000	50,000			100,000	3/11/2010	-	-	-	
9/11/2009		United Sec Bk Fresno Calif CD 0 25% 8/11/10	100,000	100,000								
3/12/2010		United Sec Bk Fresno Calif CD 0 5% 3/11/11			100,000							
10/21/2010		United Sec Bk Fresno Calif CD 0 5% 3/11/11			125,080							
9/30/2009		United Cmnty Bk Bville GA CD 0 75% 6/30/10	150,000	150,000			150 000	6/30/2010	-	-	-	
12/14/2009		United States Steel Corp Sr NT 6/1/13 5 65%	50,000	49,875						50,000	49,875	51,875
11/17/2009		Valero Energy Due 4/1/14 4 75%	50,000	51,488						50,000	51,488	52,686
10/15/2010		Waggoner Natl Bk Vernon Tex			150,000					150,000	150 000	149,435
3/30/2010		Washington Tr Bk CD 0 15% 11/30/10			150 000		150,000	11/30/2010	-	-	-	
3/26/2009		Wells Fargo & Co New 6 375% 8/1/11	50,000	49,451						50,000	49 451	51,604
4/7/2009		Wells Fargo & Co 6 125% 4/18/12	50,000	52,522						50,000	52,522	53,072
12/10/2010		Wells Fargo Capital XIII 7 7% 12/29/49			50,000		52,250			50,000	52,250	51,688
2/19/2010		Western National Bk Phoenix Anz 0 4% 2/18/11			100,000		100,000	1/11/2010	-	-	-	99,994
1/9/2009		Westernbank PR CD 2 0% 1/11/10	100 000	100,000			100,000			100 000	100,000	100,091
6/29/2010		Wilmington Svgs Bk Ohio CD 12/29/11 0 55%			100,000			4/14/2010	-	-	-	
10/14/2009		Wilmington Tr Co Del CD 0 35% 4/14/10	100,000	100,000			100 000	7/14/2010	-	-	-	
10/14/2009		Wilmington Tr Co Del CD 0 60% 7/14/10	100,000	100,000			100,000			100,000	100,000	100,125
5/12/2010		Winchester Fed Bk KY CD 0 5% 9/30/11			100,000					100,000	100,000	
		Total Corporate Bonds & CDs		8,607,964	8,811,754		8,198,000		21 185		9,242,903	9,336,458

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		No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
	Governmental Securities											
3/17/2008	New York City Gen Oblig Ser A Due 8/1/26	200,000	200,000							200,000	200,000	200,000
3/20/2009	US Treasury Bill Due 1/14/10	500,000	498,047			500,000	500,000	1/14/2010	1,953	-	-	-
3/23/2009	US Treasury Bill Due 1/14/10	500,000	498,104			500,000	500,000	1/14/2010	1,896	-	-	-
3/24/2009		50,000	49,811			50,000	50,000	1/14/2010	189	-	-	-
3/24/2009	US Treasury Bill Due 2/11/10	500,000	497,562			500,000	500,000	2/11/2010	2,438	-	-	-
3/25/2009	US Treasury Bill Due 1/14/10	450,000	448,261			450,000	450,000	1/14/2010	1,739	-	-	-
4/2/2009	US Treasury Bill Due 3/11/10	500,000	497,504			500,000	500,000	3/11/2010	2,496	-	-	-
4/15/2009	US Treasury Bill Due 4/8/10	500,000	497,310			500,000	500,000	4/8/2010	2,690	-	-	-
4/23/2009	US Treasury Bill Due 4/8/10	500,000	497,648			500,000	500,000	4/8/2010	2,352	-	-	-
4/29/2009	US Treasury Bill Due 2/11/10	500,000	498,425			500,000	500,000	2/11/2010	1,575	-	-	-
8/13/2009	US Treasury Bill Due 5/6/10	500,000	498,855			500,000	500,000	5/6/2010	1,145	-	-	-
8/13/2009	US Treasury Bill Due 3/11/10	500,000	499,242			500,000	500,000	3/11/2010	758	-	-	-
8/25/2009	US Treasury Bill Due 5/6/10	500,000	498,959			500,000	500,000	5/6/2010	1,041	-	-	-
8/25/2009	US Treasury Bill Due 6/10/10	500,000	498,435			500,000	500,000	6/10/2010	1,565	-	-	-
8/28/2009	US Treasury Bill Due 6/10/10	500,000	498,729			500,000	500,000	6/10/2010	1,271	-	-	-
8/28/2009	US Treasury Bill Due 7/29/10	500,000	498,372			500,000	500,000	7/29/2010	1,628	-	-	-
10/29/2009	US Treasury Bill Due 4/29/10	1,000,000	999,191			1,000,000	1,000,000	4/29/2010	809	-	-	-
9/2/2010	US Treasury Bill Due 1/6/11			500,000	499,771					500,000	499,771	499,999

Emerald Foundation, Inc.
Securities Schedule
2010

Securities Schedule		Inventory Beginning of Period		Purchases or Other Acquisitions		Sales or Other Dispositions				Inventory End of Period		
Date Acquired	Name of Security	No of Shares or Principal	Cost or Acquisition Value	Number of Shares	Total Cost	Number of Shares	Selling Price	Date Sold	Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value
1/8/2007	US Treasury Note 5 0% 2/15/11	150 000	155,213							150,000	155,213	150 855
5/29/2002 3/26/2003	Treasury Infl Prot Note 3 375% Due 1/15/12	100 000 150 000	104 954 171,909							100,000 150 000	104,954 171,909	322,137
6/19/2002 6/21/2002	Treasury Infl Prot Note 4 25% Due 1/15/10 Treasury Infl Prot Note 4 25% Due 1/15/10	100,000 150,000	119,057 177,960	100,000 150,000			128,530 192,795	1/15/2010 1/15/2010	9,473 14,835		- -	
9/19/2002 12/27/2002	Treasury Infl Prot Note 3 0% Due 7/15/12	100,000 50,000	108,215 54,416							100,000 50,000	108,215 54,416	193,957
2/12/2008	Treasury Infl Prot Note 3 5% Due 1/15/11 Bequest	250,000	330,565							250,000	330,565	314,572
2/12/2008	Treasury Infl Prot Note 1 875% Due 7/15/13 Bequest	100 000	121,092							100,000	121,092	127 110
4/17/2008	Treasury Infl Prot Bond 2 0% Due 1/15/26	100 000	108,751							100,000	108,751	117,352
6/11/2010	Treasury Infl Prot Note 1 25% Due 4/15/14		107 010	100 000						100,000	107 010	108 814
6/11/2010	Treasury Infl Prot Note 0 5% Due 4/15/15		101 487	100 000						100,000	101,487	103 292
	Total Government Securities		9,626,587		708,267		8,321,325		49,854		2,063,383 2,063,383	2,138,088
	Total Securities		30,600,835		18,085,846 (18,085,845)	0	19,804,118 19,804,118		398,537		29,281,101 29,281,101	33,080,325
							Prior Period adjustment		-		-	
				1			Cash in Lieu		25			
							Securities Litigation Settlements		2,229			
							Total gain (loss)		400,792			

Emerald Foundation, Inc. 2010 Grants and Gifts Awarded

27 April 2011

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
Mount Sinai Medical Center, Inc.	Mount Sinai Medical Center, Inc.	One Gustave L. Levy Place New York, NY 10029	13-6271888	\$125,000.00	explore the roles of lymphangiogenesis, lymphatic function, and lymphatic production of inflammatory molecules in pancreatic islet inflammation, and in autoimmune and alloimmune diabetes	4/15/2010
Thomas Jefferson University	Thomas Jefferson University	1020 Walnut Street Philadelphia, PA 19107	23-1352651	\$50,000.00	establish the molecular mechanisms for regulating the activity of PARP-1, which is a key factor in the cellular response to DNA damage and a drug target for the treatment of cancer and inflammation	4/15/2010
Memorial Sloan-Kettering Cancer Center	Memorial Sloan-Kettering Cancer Center	1275 York Avenue New York, NY 10065	13-1924236	\$50,000.00	examine common genetic variation across the genome in a case-control study of people with and without pancreatic cancer in order to identify genetic risk factors for this disease	4/15/2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
McLean Hospital Corporation	McLean Hospital Corporation	115 Mill Street Belmont, MA 02478	04-2697981	\$125,000.00	identify and understand the genetic regulation and molecular mechanisms associated with the biological responses to stress, in order to design therapies for a variety of stress-related illnesses (e.g. immune dysfunction, cardiovascular illness, renal disease, mental illness)	4/15/2010
The Hospital for Special Surgery	The Hospital for Special Surgery	535 East 70th Street New York, NY 10021	13-1624135	\$50,000.00	elucidate the mechanisms underlying the roles of ADAMs, a family of cell surface metalloproteases, in rheumatoid arthritis, and to identify novel targets for treatment of this debilitating disease	4/15/2010
Children's Hospital Corporation	Children's Hospital Corporation	300 Longwood Avenue Boston, MA 02115	04-2774441	\$100,000.00	explore the role of a new class of small non-coding RNAs known as Piwi-interacting RNAs (piRNAs), as well as associated Piwi proteins, in mouse and human embryonic stem cell self-renewal and pluripotency	4/15/2010

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Samuel Waxman Cancer Research Foundation	Samuel Waxman Cancer Research Foundation	420 Lexington Avenue, Suite 825 New York, NY 10170	13-3020943	\$125,000.00	expand the collaboration between scientists of the Samuel Waxman Cancer Research Foundation and of the Shanghai Institute of Hematology with the goal of identifying new and more powerful drugs that can restore normal differentiation and cell growth to leukemia stem cells, the root cause of all acute leukemias	4/15/2010
Trudeau Institute, Inc.	Trudeau Institute, Inc.	154 Algonquin Avenue Saranac Lake, NY 12983	14-1401413	\$50,000.00	use available FDA-approved drugs for the pharmacological manipulation of T cell metabolism in order to improve vaccine efficacy by increasing T cell memory and the ensuing protective immunity	11/15/2010
Dana-Farber Cancer Institute, Inc.	Dana-Farber Cancer Institute, Inc.	44 Binney Street Boston, MA 02115	04-2263040	\$125,000.00	apply a cross-disciplinary approach to identify the mechanism(s) driving tumorigenesis at non-protein coding, cancer-causing risk variants discovered through genome wide association studies	11/15/2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
Trustees of Dartmouth College	Trustees of Dartmouth College	1 Rope Ferry Road Hanover, NH 03755	02-0222111	\$125,000.00	investigate the regulatory mechanisms of the Wnt signaling pathway, which is inappropriately activated in the vast majority of colorectal carcinomas, and to identify potential molecular targets for therapy against this disease	11/15/2010
Johns Hopkins University	Johns Hopkins University	615 N. Wolfe Street Baltimore, MD 21205	52-0595110	\$125,000.00	identify HIF-1 inhibitors that, either alone or in combination with other anti-cancer drugs, are effective in blocking breast tumor growth and metastasis	11/15/2010
The Multiple Sclerosis Research Center of New York, Inc.	The Multiple Sclerosis Research Center of New York, Inc.	521 West 57 Street, 4th Floor New York, NY 10019	25-1922851	\$50,000.00	conduct pre-clinical studies aimed at investigating the use of human MSC-NPs to promote repair and regeneration in a mouse model of multiple sclerosis	11/15/2010
Rockefeller University	Rockefeller University	1230 York Avenue New York, NY 10065	13-1624158	\$125,000.00	investigate how TGF-beta signaling controls skin stem cell behavior and how this becomes deregulated in the formation of squamous cell carcinoma	11/15/2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
Rockefeller University	Rockefeller University	1230 York Avenue New York, NY 10065	13-1624158	\$50,000.00	elucidate the mechanisms that regulate the expression of specific metastasis suppressor microRNAs in highly metastatic cells and in tumors of patients who ultimately develop metastases	11/15/2010
Salk Institute for Biological Studies	Salk Institute for Biological Studies	10010 North Torrey Pines Rd. La Jolla, CA 92037	95-2160097	\$50,000.00	reveal the mechanisms of regulatory T cell development and function, especially the role of the Foxp3 gene in controlling these mechanisms, in order to facilitate new therapeutic approaches for autoimmune diseases and inefficient tumor immunity	11/15/2010
Beth Israel Deaconess Medical Center, Inc.	Beth Israel Deaconess Medical Center, Inc.	330 Brookline Avenue Boston, MA 02215	04-2103881	\$50,000.00	investigate how defects in a major DNA repair pathway can lead to malignant transformation in order to identify and characterize cancer-specific genomic alterations	11/15/2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
The Wistar Institute of Anatomy and Biology	The Wistar Institute of Anatomy and Biology	3601 Spruce Street Philadelphia, PA 19104-4268	23-6434390	\$50,000.00	utilize a structural and biochemical approach to elucidate the molecular mechanism of telomerase, an enzyme which has been linked to both cancer and aging, in order to design effective anti-cancer therapies	11/15/2010
The Regents of the University of California	The Regents of the University of California	9500 Gilman Drive La Jolla, CA 92093	95-6006144	\$75,000.00	elucidate the molecular signaling stimulated by secreted phosphoprotein 1 (SPP1) that leads to cardiac injury in order to identify potential therapeutic targets for cardiovascular diseases	11/15/2010
The Regents of the University of California	The Regents of the University of California	9500 Gilman Drive La Jolla, CA 92093	95-6006144	\$50,000.00	generate and validate a relevant human cellular model to study Autism Spectrum Disorders, specifically focusing on Rett Syndrome, and to use this model to correlate gene mutations to neuronal phenotypes in this complex neurological disease	11/15/2010
Salk Institute for Biological Studies	Salk Institute for Biological Studies	10010 North Torrey Pines Rd. La Jolla, CA 92037	95-2160097	\$50,000.00	determine how the stem cell microenvironment or 'niche' regulates stem cell behavior and how this interaction changes during the aging process	11/15/2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Payee Tax ID	Request Paid Amount	Request Project Description	Request Disposition Date
Grand Totals (20 Items)						
				\$1,600,000.00		