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## Return of Private Foundation

OMB No 1545-0052

2010

Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

|                                                                                                                                                                                                                                                  |                                                                                                                                           |                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE F.B. HERON FOUNDATION</b>                                                                                                                                                                                           |                                                                                                                                           | A Employer identification number<br><b>13-3647019</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>100 BROADWAY, 17TH FLOOR</b>                                                                                                                             | Room/suite                                                                                                                                | B Telephone number<br><b>(212) 404-1800</b>                                                                                                                                  |
| City or town, state, and ZIP code<br><b>NEW YORK, NY 10005</b>                                                                                                                                                                                   |                                                                                                                                           | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                                                                           | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year<br>(from Part II, col. (c), line 16)<br><b>\$ 253,689,763.</b> (Part I, column (d) must be on cash basis.)                                                                                      | J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
|                                                                                                                                                                                                                                                  |                                                                                                                                           | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                     |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B                                                     |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                               |  | 8,147.                             | 8,147.                    |                         | Statement 2                                                 |
| 4 Dividends and interest from securities                                                                                                           |  | 6,112,896.                         | 6,220,638.                |                         | Statement 3                                                 |
| 5a Gross rents                                                                                                                                     |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                      |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                           |  | 1,386,281.                         |                           |                         | Statement 1                                                 |
| b Gross sales price for all assets on line 6a <b>90,941,600.</b>                                                                                   |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                   |  |                                    | 1,106,919.                |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                      |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                             |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    |  | 16,753,122.                        | 0.                        |                         | Statement 4                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                   |  | 24,260,446.                        | 7,335,704.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                                                                                             |  | 386,139.                           | 63,115.                   |                         | 323,024.                                                    |
| 14 Other employee salaries and wages                                                                                                               |  | 1,010,432.                         | 211,840.                  |                         | 818,592.                                                    |
| 15 Pension plans, employee benefits                                                                                                                |  | 349,194.                           | 67,265.                   |                         | 275,149.                                                    |
| 16a Legal fees Stmt 5                                                                                                                              |  | 79,847.                            | 23,735.                   |                         | 55,270.                                                     |
| b Accounting fees Stmt 6                                                                                                                           |  | 55,625.                            | 18,913.                   |                         | 20,080.                                                     |
| c Other professional fees Stmt 7                                                                                                                   |  | 791,459.                           | 520,429.                  |                         | 223,792.                                                    |
| 17 Interest                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 18 Taxes Stmt 8                                                                                                                                    |  | 130,000.                           | 0.                        |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                      |  | 26,855.                            | 4,565.                    |                         |                                                             |
| 20 Occupancy                                                                                                                                       |  | 371,179.                           | 63,100.                   |                         | 220,780.                                                    |
| 21 Travel, conferences, and meetings                                                                                                               |  | 104,297.                           | 19,659.                   |                         | 83,850.                                                     |
| 22 Printing and publications                                                                                                                       |  |                                    |                           |                         |                                                             |
| 23 Other expenses Stmt 9                                                                                                                           |  | 98,953.                            | 41,531.                   |                         | 51,416.                                                     |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            |  | 3,403,980.                         | 1,034,152.                |                         | 2,071,953.                                                  |
| 25 Contributions, gifts, grants paid                                                                                                               |  | 8,237,575.                         |                           |                         | 8,540,075.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           |  | 11,641,555.                        | 1,034,152.                |                         | 10,612,028.                                                 |
| 27 Subtract line 26 from line 12                                                                                                                   |  | 12,618,891.                        |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                |  |                                    | 6,301,552.                |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |  |                                    |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |  |                                    |                           | N/A                     |                                                             |

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914

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

|                                                          |                                                                                                                                          | Beginning of year   | End of year         |                       |
|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|
|                                                          |                                                                                                                                          | (a) Book Value      | (b) Book Value      | (c) Fair Market Value |
| <b>Assets</b>                                            | 1 Cash - non-interest-bearing                                                                                                            | 201.                | 200.                | 200.                  |
|                                                          | 2 Savings and temporary cash investments                                                                                                 | 1,928,134.          | 2,812,610.          | 2,812,610.            |
|                                                          | 3 Accounts receivable ▶ 525,391.                                                                                                         |                     |                     |                       |
|                                                          | Less allowance for doubtful accounts ▶                                                                                                   | 583,805.            | 525,391.            | 525,391.              |
|                                                          | 4 Pledges receivable ▶                                                                                                                   |                     |                     |                       |
|                                                          | Less allowance for doubtful accounts ▶                                                                                                   |                     |                     |                       |
|                                                          | 5 Grants receivable                                                                                                                      |                     |                     |                       |
|                                                          | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                     |                     |                     |                       |
|                                                          | 7 Other notes and loans receivable ▶                                                                                                     |                     |                     |                       |
|                                                          | Less allowance for doubtful accounts ▶                                                                                                   |                     |                     |                       |
|                                                          | 8 Inventories for sale or use                                                                                                            |                     |                     |                       |
|                                                          | 9 Prepaid expenses and deferred charges                                                                                                  | 50,188.             | 28,036.             | 28,036.               |
|                                                          | 10a Investments - U.S. and state government obligations Stmt 10                                                                          | 14,609,219.         | 48,531,265.         | 48,531,265.           |
|                                                          | b Investments - corporate stock Stmt 11                                                                                                  | 136,794,013.        | 156,725,662.        | 156,725,662.          |
|                                                          | c Investments - corporate bonds Stmt 12                                                                                                  | 53,612,181.         | 15,340,807.         | 15,340,807.           |
| 11 Investments - land, buildings, and equipment basis ▶  |                                                                                                                                          |                     |                     |                       |
| Less accumulated depreciation ▶                          |                                                                                                                                          |                     |                     |                       |
| 12 Investments - mortgage loans                          |                                                                                                                                          |                     |                     |                       |
| 13 Investments - other Stmt 13                           | 12,970,345.                                                                                                                              | 12,745,535.         | 12,745,535.         |                       |
| 14 Land, buildings, and equipment basis ▶ 649,477.       |                                                                                                                                          |                     |                     |                       |
| Less accumulated depreciation Stmt 14 ▶ 640,399.         | 35,933.                                                                                                                                  | 9,078.              | 9,078.              |                       |
| 15 Other assets (describe ▶ Statement 15)                | 20,292,742.                                                                                                                              | 16,971,179.         | 16,971,179.         |                       |
| <b>16 Total assets (to be completed by all filers)</b>   | <b>240,876,761.</b>                                                                                                                      | <b>253,689,763.</b> | <b>253,689,763.</b> |                       |
| <b>Liabilities</b>                                       | 17 Accounts payable and accrued expenses                                                                                                 | 165,251.            | 226,412.            |                       |
|                                                          | 18 Grants payable                                                                                                                        | 3,332,500.          | 3,205,000.          |                       |
|                                                          | 19 Deferred revenue                                                                                                                      |                     |                     |                       |
|                                                          | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                     |                     |                       |
|                                                          | 21 Mortgages and other notes payable                                                                                                     |                     |                     |                       |
|                                                          | 22 Other liabilities (describe ▶ Statement 16)                                                                                           | 0.                  | 260,450.            |                       |
| <b>23 Total liabilities (add lines 17 through 22)</b>    | <b>3,497,751.</b>                                                                                                                        | <b>3,691,862.</b>   |                     |                       |
| <b>Net Assets or Fund Balances</b>                       | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                     |                     |                       |
|                                                          | 24 Unrestricted                                                                                                                          | 237,379,010.        | 249,997,901.        |                       |
|                                                          | 25 Temporarily restricted                                                                                                                |                     |                     |                       |
|                                                          | 26 Permanently restricted                                                                                                                |                     |                     |                       |
|                                                          | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                     |                     |                       |
|                                                          | 27 Capital stock, trust principal, or current funds                                                                                      |                     |                     |                       |
|                                                          | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                                                                         |                     |                     |                       |
|                                                          | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      |                     |                     |                       |
| <b>30 Total net assets or fund balances</b>              | <b>237,379,010.</b>                                                                                                                      | <b>249,997,901.</b> |                     |                       |
| <b>31 Total liabilities and net assets/fund balances</b> | <b>240,876,761.</b>                                                                                                                      | <b>253,689,763.</b> |                     |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 237,379,010. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | 12,618,891.  |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                         | 3 | 0.           |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 249,997,901. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                               | 5 | 0.           |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 249,997,901. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) |                                            | (b) How acquired<br>P - Purchase<br>D - Donation                                                      | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.)                                                                |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------------------------------------------|
| 1a                                                                                                                                 |                                            |                                                                                                       |                                              |                                                                                                 |
| b See Attached Statement                                                                                                           |                                            |                                                                                                       |                                              |                                                                                                 |
| c                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| d                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| e                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| (e) Gross sales price                                                                                                              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                                       | (h) Gain or (loss)<br>(e) plus (f) minus (g) |                                                                                                 |
| a                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| b                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| c                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| d                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| e 90,941,600.                                                                                                                      |                                            | 89,834,681.                                                                                           | 1,106,919.                                   |                                                                                                 |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                        |                                            |                                                                                                       |                                              | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
| (i) FMV as of 12/31/69                                                                                                             | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any                                                       |                                              |                                                                                                 |
| a                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| b                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| c                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| d                                                                                                                                  |                                            |                                                                                                       |                                              |                                                                                                 |
| e                                                                                                                                  |                                            |                                                                                                       | 1,106,919.                                   |                                                                                                 |
| 2 Capital gain net income or (net capital loss)                                                                                    |                                            | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7       }             |                                              | 2 1,106,919.                                                                                    |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)                                                     |                                            | { If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8       } |                                              | 3 N/A                                                                                           |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year beginning in)                                                                                                              | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2009                                                                                                                                                                           | 18,333,660.                           | 203,446,916.                              | .090115                                                  |
| 2008                                                                                                                                                                           | 19,692,453.                           | 256,759,533.                              | .076696                                                  |
| 2007                                                                                                                                                                           | 11,730,721.                           | 292,815,513.                              | .040062                                                  |
| 2006                                                                                                                                                                           | 15,380,735.                           | 269,887,361.                              | .056989                                                  |
| 2005                                                                                                                                                                           | 16,838,464.                           | 253,642,942.                              | .066386                                                  |
| 2 Total of line 1, column (d)                                                                                                                                                  |                                       |                                           | 2 .330248                                                |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years |                                       |                                           | 3 .066050                                                |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5                                                                                                 |                                       |                                           | 4 219,747,335.                                           |
| 5 Multiply line 4 by line 3                                                                                                                                                    |                                       |                                           | 5 14,514,311.                                            |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   |                                       |                                           | 6 63,016.                                                |
| 7 Add lines 5 and 6                                                                                                                                                            |                                       |                                           | 7 14,577,327.                                            |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         |                                       |                                           | 8 12,908,133.                                            |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                      |    |          |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) |    | 1        | 126,031. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                    |    |          |          |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                            |    | 2        | 0.       |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 3        | 126,031. |
| 3 Add lines 1 and 2                                                                                                                                                                                                                  |    | 4        | 0.       |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                        |    | 5        | 126,031. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                            |    |          |          |
| 6 Credits/Payments                                                                                                                                                                                                                   |    |          |          |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010                                                                                                                                                                  | 6a | 171,152. |          |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                              | 6b |          |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                | 6c |          |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                            | 6d |          |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                | 7  | 171,152. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                       | 8  | 291.     |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                      | 9  |          |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                         | 10 | 44,830.  |          |
| 11 Enter the amount of line 10 to be credited to 2011 estimated tax                                                                                                                                                                  | 11 | 0.       |          |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                           | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                   |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                    |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.                                                                                                                                                                     |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                           |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                              |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                            |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                        |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                     |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?      | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                   | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY                                                                                                                                                                                                         |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                             | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                    |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                     |     | X  |

N/A

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**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                             |    |     |         |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                                     |    |     | X       |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                                                                                                                                                       |    |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>WWW.HERONFDN.ORG</u>                                                                                                                                                                            |    | X   |         |
| 14 | The books are in care of ► <u>The Foundation</u> Telephone no ► <u>(212) 404-1800</u><br>Located at ► <u>100 BROADWAY, 17TH FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10005</u>                                                                                                                                                                    |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                                         | 15 | N/A |         |
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                          |                                                                     | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                                                                         |                                                                     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |                                                                     |    |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                        | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here                                                                                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                               |                                                                     | X  |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| See Statement 17     |                                                           | 386,139.                                  | 29,909.                                                               | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE SCHEDULE ATTACHED #19                                     | 0.00                                                      | 788,200.         | 179,578.                                                              | 0.                                    |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

▶ 3

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                      | (b) Type of service | (c) Compensation |
|----------------------------------------------------------------------------------|---------------------|------------------|
| CAMBRIDGE ASSOCIATES<br>100 SUMMER STREET, BOSTON, MA 02110                      | INVESTMENT ADVISORY | 165,355.         |
| BLACKROCK GLOBAL INVESTORS - ONE<br>INTERNATIONAL PLACE - 45TH FLOOR, BOSTON, MA | INVESTMENT ADVISORY | 149,419.         |
| EXPLORE<br>5 ROKEBY COURT, KENSINGTON, MD 20895                                  | EXECUTIVE SEARCH    | 84,768.          |
| COMMUNITY CAPITAL MANAGEMENT<br>2500 WESTON ROAD, WESTON, FL 33331               | INVESTMENT ADVISORY | 75,700.          |
| MILBANK TWEED HADLEY MCCLOY LLP<br>ONE CHASE MANHATTAN PLAZA, NEW YORK, NY 10005 | LEGAL               | 60,963.          |
| Total number of others receiving over \$50,000 for professional services         |                     | 2                |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                                                                                                                                                           | Expenses |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 PROVIDING ASSISTANCE TO OTHER CHARITABLE ORGANIZATIONS THRU BOARD SERVICE, CONVENING CONFERENCES AND MEETINGS AND PROVIDING DIRECT TECHNICAL ASSISTANCE | 45,745.  |
| 2                                                                                                                                                         |          |
| 3                                                                                                                                                         |          |
| 4                                                                                                                                                         |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                        | Amount     |
|--------------------------------------------------------|------------|
| 1 SEE SCHEDULE ATTACHED #20                            | 2,296,105. |
| 2                                                      |            |
| All other program-related investments See instructions |            |
| 3                                                      |            |
| Total. Add lines 1 through 3                           | 2,296,105. |

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |              |
|---|------------------------------------------------------------------------------------------------------------|----|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |              |
| a | Average monthly fair market value of securities                                                            | 1a | 220,837,004. |
| b | Average of monthly cash balances                                                                           | 1b | 1,658,480.   |
| c | Fair market value of all other assets                                                                      | 1c | 598,257.     |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 223,093,741. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.           |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.           |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 223,093,741. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | 4  | 3,346,406.   |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5  | 219,747,335. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 10,987,367.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|    |                                                                                                    |    |             |
|----|----------------------------------------------------------------------------------------------------|----|-------------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 10,987,367. |
| 2a | Tax on investment income for 2010 from Part VI, line 5                                             | 2a | 126,031.    |
| b  | Income tax for 2010 (This does not include the tax from Part VI)                                   | 2b |             |
| c  | Add lines 2a and 2b                                                                                | 2c | 126,031.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 10,861,336. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 4,045,168.  |
| 5  | Add lines 3 and 4                                                                                  | 5  | 14,906,504. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.          |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 14,906,504. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |             |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                         |    |             |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 10,612,028. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 2,296,105.  |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |             |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                               |    |             |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |             |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |             |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 12,908,133. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.          |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 12,908,133. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2010 from Part XI, line 7                                                                                                                       |               |                            |             | 14,906,504. |
| <b>2</b> Undistributed income, if any, as of the end of 2010                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2009 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2010                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2005                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2006                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2007                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2008                                                                                                                                                                | 4,371,656.    |                            |             |             |
| <b>e</b> From 2009                                                                                                                                                                | 5,637,192.    |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 10,008,848.   |                            |             |             |
| <b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 12,908,133.                                                                                                 |               |                            |             |             |
| <b>a</b> Applied to 2009, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2010 distributable amount                                                                                                                                     |               |                            |             | 12,908,133. |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 1,998,371.    |                            |             | 1,998,371.  |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 8,010,477.    |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions                                                                                                          |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr                                                                                   |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011                                                                |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a                                                                                              | 8,010,477.    |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2006                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2007                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2008                                                                                                                                                         | 2,373,285.    |                            |             |             |
| <b>d</b> Excess from 2009                                                                                                                                                         | 5,637,192.    |                            |             |             |
| <b>e</b> Excess from 2010                                                                                                                                                         |               |                            |             |             |



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div>              | <div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div> | <div>Foundation status of recipient</div> | <div>Purpose of grant or contribution</div> | <div>Amount</div> |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------|-------------------|
| <div><b>a</b> Paid during the year</div> <div>SEE ATTACHED LIST #21</div>        |                                                                                                                      |                                           |                                             | 8,540,075.        |
| <div><b>b</b> Approved for future payment</div> <div>SEE ATTACHED LIST #21</div> |                                                                                                                      |                                           |                                             | 3,205,000.        |
| <div><b>Total</b></div>                                                          |                                                                                                                      |                                           | <div>▶ <b>3a</b></div>                      | 8,540,075.        |
|                                                                                  |                                                                                                                      |                                           | <div>▶ <b>3b</b></div>                      | 3,205,000.        |



## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |     |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |     |    |
|   | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|   | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| b | Other transactions                                                                                                                                                                                                                                                                                                                                                                           |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|   | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|   | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|   | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

| b. If Yes, complete the following schedule |                          |                                 |
|--------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                   | (b) Type of organization | (c) Description of relationship |
| N/A                                        |                          |                                 |
|                                            |                          |                                 |
|                                            |                          |                                 |
|                                            |                          |                                 |
|                                            |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

|      |
|------|
| PTIN |
|------|

Kevin Sunkel

K M P S

OCT 25 2017

Firm's name ► Owen J Flanagan & Co

Firm's EIN ▶

Firm's address ► 60 East 42nd Street  
New York, NY 10165

Phone no 212-682-2783

Form **990-PF** (2010)

## THE F.B. HERON FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co |                             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 | PUBLICLY TRADED SECURITIES  | P                                                |                                      |                                  |
| b                                                                                                                                  | BLACKROCK PASS-THRU GAINS   |                                                  |                                      |                                  |
| c                                                                                                                                  | BLACKROCK FUNDS             | P                                                |                                      |                                  |
| d                                                                                                                                  | CLASS ACTIONS               | P                                                |                                      |                                  |
| e                                                                                                                                  | URBAN AMERICA PARTNERSHIP   |                                                  |                                      |                                  |
| f                                                                                                                                  | BAY AREA EQUITY PARTNERSHIP | P                                                |                                      |                                  |
| g                                                                                                                                  | BAY AREA SMART GROWTH       | P                                                |                                      |                                  |
| h                                                                                                                                  | ICV                         | P                                                |                                      |                                  |
| i                                                                                                                                  | YUCAIPA                     |                                                  |                                      |                                  |
| j                                                                                                                                  | CA SMART GROWTH             |                                                  |                                      |                                  |
| k                                                                                                                                  | HUNTINGTON                  | P                                                |                                      |                                  |
| l                                                                                                                                  | PARTNERSHIP K-1 DIFFERENCES |                                                  |                                      |                                  |
| m                                                                                                                                  |                             |                                                  |                                      |                                  |
| n                                                                                                                                  |                             |                                                  |                                      |                                  |
| o                                                                                                                                  |                             |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 55,076,125.         |                                            | 49,230,183.                                     | 5,845,942.                                   |
| b                     |                                            | 3,313,107.                                      | -3,313,107.                                  |
| c 35,484,048.         |                                            | 36,415,854.                                     | -931,806.                                    |
| d 18,498.             |                                            |                                                 | 18,498.                                      |
| e                     |                                            | 277,800.                                        | -277,800.                                    |
| f 58,821.             |                                            |                                                 | 58,821.                                      |
| g 167,991.            |                                            |                                                 | 167,991.                                     |
| h 13,532.             |                                            |                                                 | 13,532.                                      |
| i                     |                                            | 279,490.                                        | -279,490.                                    |
| j                     |                                            | 38,885.                                         | -38,885.                                     |
| k 122,585.            |                                            |                                                 | 122,585.                                     |
| l                     |                                            | 279,362.                                        | -279,362.                                    |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Losses (from col (h))<br>Gains (excess of col (h) gain over col (k),<br>but not less than "-0-") |
|------------------------|--------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------|
| a                      |                                      |                                               | 5,845,942.                                                                                           |
| b                      |                                      |                                               | -3,313,107.                                                                                          |
| c                      |                                      |                                               | -931,806.                                                                                            |
| d                      |                                      |                                               | 18,498.                                                                                              |
| e                      |                                      |                                               | -277,800.                                                                                            |
| f                      |                                      |                                               | 58,821.                                                                                              |
| g                      |                                      |                                               | 167,991.                                                                                             |
| h                      |                                      |                                               | 13,532.                                                                                              |
| i                      |                                      |                                               | -279,490.                                                                                            |
| j                      |                                      |                                               | -38,885.                                                                                             |
| k                      |                                      |                                               | 122,585.                                                                                             |
| l                      |                                      |                                               | -279,362.                                                                                            |
| m                      |                                      |                                               |                                                                                                      |
| n                      |                                      |                                               |                                                                                                      |
| o                      |                                      |                                               |                                                                                                      |

|                                                                                                                                                                                   |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                             | 2 | 1,106,919. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A        |

## 2010 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

| Asset No                 | Description            | Date Acquired | Method | Life | Line No | Unadjusted Cost Or Basis | Bus % Excl | * Reduction In Basis | Basis For Depreciation | Accumulated Depreciation | Current Sec 179 | Current Year Deduction |
|--------------------------|------------------------|---------------|--------|------|---------|--------------------------|------------|----------------------|------------------------|--------------------------|-----------------|------------------------|
| 1                        | LEASEHOLD IMPROVEMENTS | 050100        |        | .000 | 16      | 446,255.                 |            |                      | 446,255.               | 423,938.                 |                 | 22,317.                |
| 2                        | FURNITURE              | 050100        |        | .000 | 16      | 120,581.                 |            |                      | 120,581.               | 120,581.                 |                 | 0.                     |
| 3                        | EQUIPMENT              | 050100        |        | .000 | 16      | 59,948.                  |            |                      | 59,948.                | 59,948.                  |                 | 0.                     |
| 4                        | EQUIPMENT              | 063008        |        | .000 | 16      | 22,693.                  |            |                      | 22,693.                | 9,077.                   |                 | 4,538.                 |
| * Total 990-PF Pg 1 Depr |                        |               |        |      |         | 649,477.                 |            | 0.                   | 649,477.               | 613,544.                 | 0.              | 26,855.                |

028102  
05-01-10

(D) - Asset disposed

\* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

Form 990-PF                      Gain or (Loss) from Sale of Assets                      Statement      1

| (a)<br>Description of Property |                               | Manner<br>Acquired        | Date<br>Acquired | Date Sold           |
|--------------------------------|-------------------------------|---------------------------|------------------|---------------------|
| PUBLICLY TRADED SECURITIES     |                               | Purchased                 |                  |                     |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.   | (f)<br>Gain or Loss |
| 55,076,125.                    | 49,230,183.                   | 0.                        | 0.               | 5,845,942.          |

| (a)<br>Description of Property |                               | Manner<br>Acquired        | Date<br>Acquired | Date Sold           |
|--------------------------------|-------------------------------|---------------------------|------------------|---------------------|
| BLACKROCK PASS-THRU GAINS      |                               | Purchased                 |                  |                     |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.   | (f)<br>Gain or Loss |
| 0.                             | 3,313,107.                    | 0.                        | 0.               | -3,313,107.         |

| (a)<br>Description of Property |                               | Manner<br>Acquired        | Date<br>Acquired | Date Sold           |
|--------------------------------|-------------------------------|---------------------------|------------------|---------------------|
| BLACKROCK FUNDS                |                               | Purchased                 |                  |                     |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.   | (f)<br>Gain or Loss |
| 35,484,048.                    | 36,415,854.                   | 0.                        | 0.               | -931,806.           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| CLASS ACTIONS                  |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 18,498.                        | 0.                            | 0.                        | 0.                 | 18,498.             |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| URBAN AMERICA PARTNERSHIP      |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 0.                             | 277,800.                      | 0.                        | 0.                 | -277,800.           |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| BAY AREA EQUITY PARTNERSHIP    |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 58,821.                        | 0.                            | 0.                        | 0.                 | 58,821.             |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| BAY AREA SMART GROWTH          |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 167,991.                       | 0.                            | 0.                        | 0.                 | 167,991.            |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| ICV                            |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 13,532.                        | 0.                            | 0.                        | 0.                 | 13,532.             |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| YUCAIPA                        |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 0.                             | 279,490.                      | 0.                        | 0.                 | -279,490.           |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| CA SMART GROWTH                |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 0.                             | 38,885.                       | 0.                        | 0.                 | -38,885.            |           |

| (a)<br>Description of Property |                               |                           | Manner<br>Acquired | Date<br>Acquired    | Date Sold |
|--------------------------------|-------------------------------|---------------------------|--------------------|---------------------|-----------|
| HUNTINGTON                     |                               |                           | Purchased          |                     |           |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec.     | (f)<br>Gain or Loss |           |
| 122,585.                       | 0.                            | 0.                        | 0.                 | 122,585.            |           |

| (a)<br>Description of Property | Manner<br>Acquired            | Date<br>Acquired          | Date Sold      |                     |
|--------------------------------|-------------------------------|---------------------------|----------------|---------------------|
| PARTNERSHIP K-1 DIFFERENCES    | Purchased                     |                           |                |                     |
| (b)<br>Gross<br>Sales Price    | (c)<br>Cost or<br>Other Basis | (d)<br>Expense of<br>Sale | (e)<br>Deprec. | (f)<br>Gain or Loss |
| 0.                             | 0.                            | 0.                        | 0.             | 0.                  |

Capital Gains Dividends from Part IV 0.

Total to Form 990-PF, Part I, line 6a 1,386,281.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 2

| Source                                         | Amount |
|------------------------------------------------|--------|
| INTEREST ON CHECKING                           | 1,236. |
| MONEY MARKET                                   | 6,911. |
| Total to Form 990-PF, Part I, line 3, Column A | 8,147. |

Form 990-PF Dividends and Interest from Securities Statement 3

| Source                           | Gross Amount | Capital Gains<br>Dividends | Column (A)<br>Amount |
|----------------------------------|--------------|----------------------------|----------------------|
| INT AND DIV ON SECURITIES        | 6,607,883.   | 0.                         | 6,607,883.           |
| PARTNERSHIP                      | -494,987.    | 0.                         | -494,987.            |
| PARTNERSHIP BOOK TAX DIFF        | 0.           | 0.                         | 0.                   |
| Total to Fm 990-PF, Part I, ln 4 | 6,112,896.   | 0.                         | 6,112,896.           |

| Form 990-PF                           | Other Income                | Statement                         | 4                             |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Description                           | (a)<br>Revenue<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income |
| CHANGE IN UNREALIZED APPRECIATION     | 18,500,622.                 | 0.                                |                               |
| PRI RESERVE FOR LOSS                  | -1,747,500.                 | 0.                                |                               |
| Total to Form 990-PF, Part I, line 11 | 16,753,122.                 | 0.                                |                               |

| Form 990-PF                | Legal Fees                   |                                   |                               | Statement 5                   |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| MILBANK TWEED - LEGAL      | 60,963.                      | 17,503.                           |                               | 42,618.                       |
| THE WAGNER LAW GROUP       | 18,884.                      | 6,232.                            |                               | 12,652.                       |
| To Fm 990-PF, Pg 1, ln 16a | 79,847.                      | 23,735.                           |                               | 55,270.                       |

| Form 990-PF                          | Accounting Fees              |                                   |                               | Statement 6                   |
|--------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                          | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| OWEN J FLANAGAN & CO -<br>ACCOUNTING | 25,200.                      | 8,568.                            |                               | 0.                            |
| O'CONNOR DAVIES ET AL -<br>AUDIT     | 30,425.                      | 10,345.                           |                               | 20,080.                       |
| To Form 990-PF, Pg 1, ln 16b         | 55,625.                      | 18,913.                           |                               | 20,080.                       |

| Form 990-PF                  | Other Professional Fees      |                                   |                               | Statement 7                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                  | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| AXA ROSENBERG - INV          | 21,159.                      | 21,159.                           |                               | 0.                            |
| FRANCIE ZIMMERMAN            | 40,517.                      | 2,026.                            |                               | 28,991.                       |
| PACHYDERM CONSULTING         | 27,129.                      | 4,612.                            |                               | 22,517.                       |
| BLACKROCK INV ADVISORY       | 149,419.                     | 149,419.                          |                               | 0.                            |
| CALVERT FOUNDATION           | 21,177.                      | 0.                                |                               | 29,465.                       |
| OTHER                        | 60.                          | 0.                                |                               | 60.                           |
| CCM - INVESTMENT ADVISORY    | 75,700.                      | 75,700.                           |                               | 0.                            |
| RETIREMENT ALLIANCE -        |                              |                                   |                               |                               |
| PENSION FEES                 | 4,500.                       | 765.                              |                               | 3,735.                        |
| STEVEN M. GODEKE - SOCIAL    |                              |                                   |                               |                               |
| INV                          | 0.                           | 0.                                |                               | 2,250.                        |
| VOYAGER - INV ADV.           | 45,905.                      | 45,905.                           |                               | 0.                            |
| STATE STREET - INV ADV       | 56,445.                      | 56,445.                           |                               | 0.                            |
| PENSION SPECIALIST           | 5,930.                       | 1,008.                            |                               | 4,922.                        |
| RISK METRICS GROUP           | -20,000.                     | -10,000.                          |                               | -10,000.                      |
| CAMBRIDGE ASSOC. - INV ADV   | 165,355.                     | 165,355.                          |                               | 0.                            |
| HIGHLINE FINANCIAL           | 2,835.                       | 2,835.                            |                               | 0.                            |
| S CURNOW - CONSULTING        | 45,659.                      | 0.                                |                               | 38,044.                       |
| EXPLORE - CONSULTING         | 84,769.                      | 0.                                |                               | 52,441.                       |
| COMMUNITY INVESTING -        |                              |                                   |                               |                               |
| CONSULTING                   | 9,241.                       | 0.                                |                               | 9,241.                        |
| URBAN ADVISORS               | 50,459.                      | 0.                                |                               | 42,126.                       |
| NATIONAL COMMUNITY           | 5,200.                       | 5,200.                            |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 16c | 791,459.                     | 520,429.                          |                               | 223,792.                      |

| Form 990-PF                 | Taxes                        |                                   |                               | Statement 8                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| FEDERAL EXCISE TAX          | 130,000.                     | 0.                                |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 18 | 130,000.                     | 0.                                |                               | 0.                            |

| Form 990-PF                       | Other Expenses               |                                   |                               | Statement                     | 9       |
|-----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---------|
| Description                       | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |         |
| CUSTODY FEES                      | 30,151.                      | 30,151.                           |                               |                               | 0.      |
| EQUIPMENT AND EQUIPMENT<br>REPAIR | 16,109.                      | 2,738.                            |                               |                               | 8,207.  |
| OFFICE EXPENSES                   | 17,805.                      | 3,027.                            |                               |                               | 13,936. |
| INSURANCE                         | 26,047.                      | 4,428.                            |                               |                               | 21,619. |
| PAYROLL AND BENEFIT<br>PROCESSING | 3,970.                       | 675.                              |                               |                               | 3,295.  |
| DISABILITY REIMBURSEMENT          | -1,904.                      | -381.                             |                               |                               | -1,523. |
| FILING FEES                       | 1,525.                       | 0.                                |                               |                               | 1,525.  |
| DUES                              | 5,250.                       | 893.                              |                               |                               | 4,357.  |
| To Form 990-PF, Pg 1, ln 23       | 98,953.                      | 41,531.                           |                               |                               | 51,416. |

| Form 990-PF                                      | U.S. and State/City Government Obligations |                |                            | Statement                  | 10 |
|--------------------------------------------------|--------------------------------------------|----------------|----------------------------|----------------------------|----|
| Description                                      | U.S.<br>Gov't                              | Other<br>Gov't | Book Value                 | Fair Market<br>Value       |    |
| BARCLAYS TIPS FUND                               | X                                          |                | 0.                         | 0.                         |    |
| BLACKROCK LT GOVT/CREDIT BOND FUND               | X                                          |                | 12,536,974.                | 12,536,974.                |    |
| US GOVERNMENT<br>MUNICIPALS                      | X                                          | X              | 17,177,765.<br>18,816,526. | 17,177,765.<br>18,816,526. |    |
| Total U.S. Government Obligations                |                                            |                | 29,714,739.                | 29,714,739.                |    |
| Total State and Municipal Government Obligations |                                            |                | 18,816,526.                | 18,816,526.                |    |
| Total to Form 990-PF, Part II, line 10a          |                                            |                | 48,531,265.                | 48,531,265.                |    |

| Form 990-PF                      | Corporate Stock |  | Statement            | 11 |
|----------------------------------|-----------------|--|----------------------|----|
| Description                      | Book Value      |  | Fair Market<br>Value |    |
| BLACKROCK EMERGING MARKETS       | 14,346,265.     |  | 14,346,265.          |    |
| AXA ROSENBERG SM MID CAP         | 0.              |  | 0.                   |    |
| BLACKROCK EAFE EQUITY INDEX FUND | 43,759,730.     |  | 43,759,730.          |    |
| STATE STREET GLOBAL ADVISORS     | 23,774,676.     |  | 23,774,676.          |    |
| BLACKROCK EQUITY INDEX FUND      | 48,632,805.     |  | 48,632,805.          |    |
| BLACKROCK EXTENDED EQUITY MARKET | 25,500,445.     |  | 25,500,445.          |    |

|                                         |              |              |
|-----------------------------------------|--------------|--------------|
| TSLA STOCK                              | 711,741.     | 711,741.     |
| Total to Form 990-PF, Part II, line 10b | 156,725,662. | 156,725,662. |

|             |                 |              |
|-------------|-----------------|--------------|
| Form 990-PF | Corporate Bonds | Statement 12 |
|-------------|-----------------|--------------|

| Description                             | Book Value  | Fair Market Value |
|-----------------------------------------|-------------|-------------------|
| BLACKROCK INT TERM CREDIT BOND FUND     | 13,424,455. | 13,424,455.       |
| CORPORATE ISSUES                        | 1,916,352.  | 1,916,352.        |
| Total to Form 990-PF, Part II, line 10c | 15,340,807. | 15,340,807.       |

|             |                   |              |
|-------------|-------------------|--------------|
| Form 990-PF | Other Investments | Statement 13 |
|-------------|-------------------|--------------|

| Description                            | Valuation Method | Book Value  | Fair Market Value |
|----------------------------------------|------------------|-------------|-------------------|
| MISSION RELATED INSURED DEPOSITS       | COST             | 5,400,000.  | 5,400,000.        |
| MISSION RELATED LIMITED PARTNERSHIPS   | COST             | 7,345,535.  | 7,345,535.        |
| Total to Form 990-PF, Part II, line 13 |                  | 12,745,535. | 12,745,535.       |

|             |                                                |              |
|-------------|------------------------------------------------|--------------|
| Form 990-PF | Depreciation of Assets Not Held for Investment | Statement 14 |
|-------------|------------------------------------------------|--------------|

| Description                        | Cost or Other Basis | Accumulated Depreciation | Book Value |
|------------------------------------|---------------------|--------------------------|------------|
| LEASEHOLD IMPROVEMENTS             | 446,255.            | 446,255.                 | 0.         |
| FURNITURE                          | 120,581.            | 120,581.                 | 0.         |
| EQUIPMENT                          | 59,948.             | 59,948.                  | 0.         |
| EQUIPMENT                          | 22,693.             | 13,615.                  | 9,078.     |
| Total To Fm 990-PF, Part II, ln 14 | 649,477.            | 640,399.                 | 9,078.     |

| Form 990-PF                      | Other Assets                  |                           | Statement 15         |
|----------------------------------|-------------------------------|---------------------------|----------------------|
| Description                      | Beginning of<br>Yr Book Value | End of Year<br>Book Value | Fair Market<br>Value |
| PROGRAM RELATED INVESTMENTS #20  | 20,292,742.                   | 16,971,179.               | 16,971,179.          |
| To Form 990-PF, Part II, line 15 | 20,292,742.                   | 16,971,179.               | 16,971,179.          |

| Form 990-PF                            | Other Liabilities |            | Statement 16 |
|----------------------------------------|-------------------|------------|--------------|
| Description                            | BOY Amount        | EOY Amount |              |
| DEFERRED RENT                          | 0.                | 104,313.   |              |
| DEFERRED FEDERAL EXCISE TAX            | 0.                | 156,137.   |              |
| Total to Form 990-PF, Part II, line 22 | 0.                | 260,450.   |              |

| Form 990-PF | Part VIII - List of Officers, Directors<br>Trustees and Foundation Managers | Statement 17 |
|-------------|-----------------------------------------------------------------------------|--------------|
|-------------|-----------------------------------------------------------------------------|--------------|

| Name and Address                                                     | Title and<br>Avg Hrs/Wk              | Compensation | Employee<br>Ben Plan Expense<br>Contrib Account |    |
|----------------------------------------------------------------------|--------------------------------------|--------------|-------------------------------------------------|----|
| SHARON B KING<br>C/O F.B. HERON FOUNDATION<br>NEW YORK, NY 10005     | PRESIDENT & DIRECTOR<br>40.00        | 48,370.      | 4,413.                                          | 0. |
| MARY JO MULLAN<br>C/O F.B. HERON FOUNDATION<br>NEW YORK, NY 10005    | SECRETARY/TREASURER<br>40.00         | 136,470.     | 25,496.                                         | 0. |
| BUZZ SCHMIDT<br>C/O F.B. HERON FOUNDATION<br>NEW YORK, NY 10005      | CHAIRMAN<br>3.00                     | 0.           | 0.                                              | 0. |
| WILLIAM MC CALPIN<br>C/O F.B. HERON FOUNDATION<br>NEW YORK, NY 10005 | DIRECTOR (ACTING PRESIDENT)<br>40.00 | 201,299.     | 0.                                              | 0. |
| JAMES JOSEPH<br>C/O F.B. HERON FOUNDATION<br>NEW YORK, NY 10005      | DIRECTOR<br>2.00                     | 0.           | 0.                                              | 0. |

THE F.B. HERON FOUNDATION

13-3647019

JOHN OTTERLEI  
C/O F.B. HERON FOUNDATION  
NEW YORK, NY 10005

DIRECTOR  
2.00

0. 0. 0.

Totals included on 990-PF, Page 6, Part VIII

386,139. 29,909. 0.

Form 990-PF

Grant Application Submission Information  
Part XV, Lines 2a through 2d

Statement 18

Name and Address of Person to Whom Applications Should be SubmittedJOHN WEILER, THE F.B. HERON FOUNDATION  
100 BROADWAY, 17TH FLOOR  
NEW YORK, NY 10005Telephone Number

212-404-1800

Form and Content of Applications2-3 PAGES WRITTEN, PER APPLICATION PROCEDURES AVAILABLE AT WWW.HERONFDN.ORG  
OR BY CALLING (212) 404-1800Any Submission Deadlines

NONE

Restrictions and Limitations on Awards

PER GUIDELINES

**F. B. Heron Foundation**  
**Part VIII - 2 Compensation of five highest paid employees**  
**December 31, 2010**

| <u>Name and Address</u>               | <u>Title</u><br><u>Ave Hours Per Week</u> | <u>Compensation</u> | <u>Contributions to</u><br><u>Employee</u><br><u>Benefit Plans</u> | <u>Expense</u><br><u>Allowance</u> |
|---------------------------------------|-------------------------------------------|---------------------|--------------------------------------------------------------------|------------------------------------|
| Luther M Ragin Jr<br>New York, NY     | VP Investments<br>40                      | \$315,000           | \$42,156                                                           | \$0                                |
| John C Weiler<br>New York, NY         | Senior Program Officer<br>40              | \$164,000           | \$53,396                                                           | \$0                                |
| Kathleen Starr<br>New York, NY        | Director of Administration<br>40          | \$130,000           | \$38,379                                                           | \$0                                |
| Dana Bezerra-Pancrazi<br>New York, NY | Program Officer<br>40                     | \$108,800           | \$35,003                                                           | \$0                                |
| James Metzinger<br>New York, NY       | Program Officer<br>21                     | \$70,400            | \$10,644                                                           | \$0                                |

# PRI Lead Schedule, FY 2010

| Organization Legal Name                                                                         | PRI Activity FY 2010 |                               |               | Interest Activity FY 2010        |                        |                               | Interest Due at 12/31/2009 | Interest FY 2010     |                     | Interest Due at 12/31/2010 |
|-------------------------------------------------------------------------------------------------|----------------------|-------------------------------|---------------|----------------------------------|------------------------|-------------------------------|----------------------------|----------------------|---------------------|----------------------------|
|                                                                                                 | PRI Approved FY 2010 | PRI Outstanding at 12/31/2009 | PRI Paid 2010 | Prepayment Repayments Rec'd 2010 | Other Adjustments 2010 | PRI Outstanding at 12/31/2010 |                            | Interest Earned 2010 | Interest Rec'd 2010 |                            |
| Adena Ventures LP                                                                               | -                    | 322,976                       | -             | -                                | -                      | 322,976                       | 4,375                      | 17,500               | (17,500)            | 4,375                      |
| Affordable Homes of South Texas/McAllen Affordable Homes Inc                                    | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | -                    | -                   | -                          |
| Affordable Housing Resources Inc <sup>1</sup>                                                   | -                    | 200,000                       | -             | (100,000)                        | (100,000)              | -                             | 3,000                      | 9,000                | (12,000)            | -                          |
| Boston Community Loan Fund, Inc - Senior Loan                                                   | -                    | 400,000                       | -             | (400,000)                        | -                      | -                             | 1,500                      | 4,500                | (6,000)             | -                          |
| Boston Community Loan Fund Inc - Subordinated Loan                                              | -                    | 150,000                       | -             | (150,000)                        | -                      | -                             | -                          | 3,500                | -                   | 3,500                      |
| Boston Community Loan Fund Inc                                                                  | 750,000              | -                             | 750,000       | -                                | -                      | 750,000                       | 5,000                      | 19,500               | (20,000)            | 4,500                      |
| Bridge Housing Corporation                                                                      | -                    | 500,000                       | -             | (50,000)                         | -                      | 450,000                       | 3,750                      | 15,000               | (15,000)            | 3,750                      |
| Calvert Social Investment Foundation Inc                                                        | -                    | 500,000                       | -             | (400,000)                        | -                      | 100,000                       | 3,000                      | 8,250                | (11,250)            | -                          |
| Capital Enterprises Inc                                                                         | -                    | 400,000                       | -             | -                                | -                      | 400,000                       | 5,650                      | 22,500               | (22,500)            | 5,650                      |
| Community Loan Fund of New Jersey - Senior Loan                                                 | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | 46,105               | (46,105)            | -                          |
| Community Reinvestment Fund Inc - Senior Loan <sup>2</sup>                                      | -                    | 1,114,188                     | -             | -                                | 46,105                 | 1,160,293                     | 2,650                      | 10,625               | (10,625)            | 2,650                      |
| Enterprise Corporation of the Delta                                                             | -                    | 250,000                       | -             | (350,000)                        | -                      | -                             | 2,625                      | 7,125                | (9,750)             | -                          |
| Federation of Appalachian Housing Enterprises Inc                                               | -                    | 350,000                       | -             | -                                | -                      | 350,000                       | -                          | 3,144                | -                   | 3,144                      |
| Federation of Appalachian Housing Enterprises Inc                                               | 500,000              | -                             | 500,000       | -                                | -                      | 500,000                       | 2,145                      | -                    | -                   | 2,145                      |
| Habitat for Humanity International Inc <sup>3</sup>                                             | -                    | 143,000                       | -             | (107,831)                        | -                      | 35,169                        | 9,275                      | 38,528               | (39,728)            | 8,075                      |
| Habitat for Humanity International Inc (closing 1)                                              | -                    | 834,612                       | -             | (185,261)                        | -                      | 649,351                       | 21,000                     | 102,163              | (102,163)           | 21,000                     |
| Habitat for Humanity International Inc (closing 2)                                              | -                    | 2,139,200                     | -             | -                                | -                      | 2,139,200                     | -                          | 3,445                | -                   | 3,445                      |
| Habitat for Humanity International Inc (closing 3)                                              | -                    | -                             | 500,000       | -                                | -                      | 500,000                       | 3,750                      | 22,500               | (20,625)            | 5,625                      |
| Housing Assistance Council                                                                      | -                    | 750,000                       | -             | (500,000)                        | -                      | 250,000                       | 3,750                      | 11,250               | (15,000)            | -                          |
| Jacobs Center for Nonprofit Innovation                                                          | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | 2,800                      | 11,271               | (11,271)            | 2,800                      |
| Latino Community Credit Union                                                                   | -                    | 250,000                       | -             | (500,000)                        | -                      | -                             | 1,250                      | 3,750                | (5,000)             | -                          |
| Low Income Investment Fund                                                                      | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | 6,250                      | 25,000               | (25,000)            | -                          |
| Maritus Ventures LP                                                                             | -                    | 1,000,000                     | -             | -                                | -                      | 1,000,000                     | -                          | -                    | -                   | -                          |
| National Federation of Community Development Credit Unions Inc - Native American Bancorporation | -                    | 300,000                       | -             | -                                | -                      | 300,000                       | 3,333                      | 18,164               | (18,329)            | 4,109                      |
| New Hampshire Community Loan Fund Inc                                                           | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | 3,309                      | 8,124                | (11,433)            | (0)                        |
| New York Acquisition Fund LLC                                                                   | -                    | 441,268                       | -             | (441,268)                        | -                      | -                             | 4,975                      | 20,187               | (20,218)            | 4,894                      |
| Non Profit Finance Fund                                                                         | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | -                    | -                   | -                          |
| Northwest Louisiana Community Development Fund (Equity)                                         | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | -                    | -                   | -                          |
| Opportunities Credit Union (aka Vermont Development CU)                                         | -                    | 250,000                       | -             | -                                | -                      | 250,000                       | 2,813                      | 11,258               | (11,250)            | 2,821                      |
| Opportunity Finance Network/National Community Capital Association                              | -                    | 450,000                       | -             | (50,000)                         | -                      | 400,000                       | 3,375                      | 12,375               | (12,750)            | 3,000                      |
| Pacific Community Ventures (Equity)                                                             | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | -                    | -                   | -                          |
| Penn Venture Partners LP (Equity)                                                               | -                    | 250,000                       | -             | (500,000)                        | -                      | -                             | 3,750                      | 3,750                | (7,500)             | -                          |
| The Reinvestment Fund Inc                                                                       | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | 4,375                | -                   | 4,375                      |
| The Reinvestment Fund Inc                                                                       | 500,000              | -                             | 500,000       | -                                | -                      | 500,000                       | 4,375                      | 17,500               | (17,500)            | 4,375                      |
| Rural Community Assistance Corporation                                                          | -                    | 500,000                       | -             | (100,000)                        | -                      | 400,000                       | 2,500                      | 8,500                | (9,000)             | 2,000                      |
| Self-Help Enterprises                                                                           | -                    | 1,000,000                     | -             | -                                | -                      | 1,000,000                     | 7,500                      | 30,000               | (30,000)            | 7,500                      |
| Self-Help Ventures Fund                                                                         | -                    | 897,500                       | -             | -                                | (897,500)              | -                             | -                          | -                    | -                   | -                          |
| ShoreBank Corporation <sup>4</sup>                                                              | -                    | 1,000,000                     | -             | (25,808)                         | (1,000,000)            | -                             | -                          | -                    | -                   | -                          |
| Shorebank <sup>5</sup>                                                                          | -                    | 500,000                       | -             | -                                | -                      | 500,000                       | -                          | -                    | -                   | -                          |
| SJF Ventures II LP                                                                              | -                    | 400,000                       | -             | -                                | -                      | 400,000                       | -                          | -                    | -                   | -                          |
| The Southern Appalachian Fund LP                                                                | -                    | -                             | -             | -                                | -                      | -                             | -                          | -                    | -                   | -                          |
|                                                                                                 | \$ 1,750,000         | \$ 20,892,742                 | \$ 2,250,000  | \$ (3,870,168)                   | \$ (2,051,395)         | \$ 17,221,178                 | \$ 117,700                 | \$ 519,960           | \$ (527,557)        | \$ 110,103                 |

\* Affordable Housing remaining \$100M balance within off  
 \* CDF amortization due reinvested back into PRI principal  
 \* Greytown went into default in 2008. Write-off of balance due did not happen until 2011  
 \* Foreclosures went into default in 2010. No repayments rec'd. Full amounts written off in 2010

This is FY PRI's  
 Principal amount  
 balance @ FYE  
 2009

This is FY PRI's  
 Principal amount  
 balance @ FYE  
 2010

This is FY PRI's  
 Principal amount  
 balance @ FYE  
 2011

This is FY PRI's  
 Principal amount  
 balance @ FYE  
 2012

STMT #20

# Schedule of Appropriations and Payments

All Grants Approved or Paid in Fiscal Year 2010, or Payable at FYE

Fiscal Year 2010

12/31/2010

| Recipient and Grant Info                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>AAFE Community Development Fund, Inc.</b><br>108 Norfolk Street<br>New York, NY 10002<br>501 (c) 3<br>23-month \$130,000 General Support grant<br>Approved on Docket II - 2010 | -                         | 130,000                 | -               | 65,000              | 65,000                 |
| <b>Abyssinian Development Corporation</b><br>4 West 125th Street<br>New York, NY 10027<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket I-a - 2009  | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>Affordable Homes of South Texas, Inc.</b><br>1420 Erie Avenue<br>McAllen, TX 78501<br>501 (c) 3<br>One-year \$15,000 General Support grant<br>Approved on Docket III - 2010    | -                         | 15,000                  | -               | 15,000              | -                      |
| <b>Affordable Homes of South Texas, Inc.</b><br>1420 Erie Avenue<br>McAllen, TX 78501<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket III - 2009   | 75,000                    | -                       | -               | 75,000              | -                      |

STMT #21

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| alt. Consulting<br>P.O. Box 9858<br>Pine Bluff, AR 71611<br>501 (c) 3<br><i>Two-year \$150,000 General Support grant<br/>Approved on Docket III - 2010</i>                                                                                                | -                         | 150,000                 | -               | 75,000              | 75,000                 |
| Appalachian Center for Economic Networks, Inc.<br>94 Columbus Road<br>Athens, OH 45701<br>501 (c) 3<br><i>23-month \$60,000 General Support grant<br/>Approved on Docket II - 2008</i>                                                                    | 60,000                    | -                       | (60,000)        | -                   | -                      |
| Avenue Community Development Corporation<br>2505 Washington, Suite 499<br>Houston, TX 77007<br>501 (c) 3<br><i>23-month \$70,000 General Support grant<br/>Approved on Docket III - 2009</i>                                                              | 35,000                    | -                       | -               | 35,000              | -                      |
| * Black Umbrellas<br>2nd Floor, The Business Place Building<br>7 Anton Anreith Arcade<br>Foresore 8001<br>Cape Town, South Africa 8001<br><i>Expenditure Responsibility<br/>Two-year \$50,000 Project Support grant<br/>Approved on Docket III - 2010</i> | -                         | 50,000                  | -               | 25,000              | 25,000                 |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                       | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Brandeis University</b><br>PO Box 549110, MSO35<br>Waltham, MA 02454<br>501 (c) 3<br><i>One-year \$75,000 General Support grant<br/>Approved on Docket III - 2010</i>                                       | -                         | 75,000                  | -               | 75,000              | -                      |
| <b>The Brookings Institution</b><br>1775 Massachusetts Ave. N.W.<br>Washington, DC 20036-2103<br>501 (c) 3<br><i>0-month \$25,000 Project Support grant<br/>Approved on Docket II - 2010</i>                   | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>The Brookings Institution</b><br>1775 Massachusetts Ave. N.W.<br>Washington, DC 20036-2103<br>501 (c) 3<br><i>23-month \$150,000 Project Support grant<br/>Approved on Docket II-b - 2009</i>               | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>The Center for Leadership Innovation, Inc.</b><br>3300 N. Ridge Road, Suite 100<br>Ellicott City, MD 21043<br>501 (c) 3<br><i>One-year \$50,000 General Support grant<br/>Approved on Docket III - 2010</i> | -                         | 50,000                  | -               | 50,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Center for New York City Neighborhoods</b><br>Michael Hickey, Executive Director<br>74 Trinity Place, Suite 1302<br>New York, NY 10006<br>501 (c) 3<br>10-month \$75,000 General Support grant<br>Approved on Docket II - 2010 | -                         | 75,000                  | -               | 75,000              | -                      |
| <b>Center for Public Policy Priorities</b><br>900 Lydia Street<br>Austin, TX 78702<br>501 (c) 3<br>11-month \$50,000 Project Support grant<br>Approved on Docket I - 2010                                                         | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>Center for Responsible Lending</b><br>302 W. Main St.<br>Durham, NC 27701<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I - 2009                                                              | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Chicanos Por La Causa, Inc.</b><br>1112 East Buckeye Road<br>Phoenix, AZ 85034-4043<br>501 (c) 3<br>0-month \$25,000 General Support grant<br>Approved on Docket II - 2010                                                     | -                         | 25,000                  | -               | 25,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                   | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Chicanos Por La Causa, Inc.</b><br>1112 East Buckeye Road<br>Phoenix, AZ 85034-4043<br>501 (c) 3<br>23-month \$200,000 General Support grant<br>Approved on Docket II - 2009                            | 100,000                   | -                       | -               | 100,000             | -                      |
| <b>City First Enterprises, Inc.</b><br>1432 U St NW<br>Washington, DC 20009<br>501 (c) 3<br>11-month \$50,000 Project Support grant<br>Approved on Docket I - 2010                                         | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>Coastal Enterprises, Inc.</b><br>P.O. Box 268<br>Wiscasset, ME 04578-0268<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket I-a - 2009                                     | 125,000                   | -                       | -               | 125,000             | -                      |
| <b>Community Development Corporation of Brownsville, Inc.</b><br>901 East Levee Street<br>Brownsville, TX 78520<br>501 (c) 3<br>1-month \$15,000 General Support grant<br>Approved on Docket I-EX 3 - 2010 | -                         | 15,000                  | -               | 15,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                   | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Community Development Corporation of Brownsville, Inc.</b>                                                                                              |                           |                         |                 |                     |                        |
| 901 East Levee Street<br>Brownsville, TX 78520<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I - 2009                     | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Community Development Corporation of Long Island</b>                                                                                                    |                           |                         |                 |                     |                        |
| 2100 Middle Country Road, Suite 300<br>Centereach, NY 11720-3576<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket III - 2009 | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>The Community Reinvestment Association of North Carolina</b>                                                                                            |                           |                         |                 |                     |                        |
| PO Box 1929<br>Durham, NC 27702<br>501 (c) 3<br>11-month \$75,000 General Support grant<br>Approved on Docket I - 2010                                     | -                         | 75,000                  | -               | 75,000              | -                      |
| <b>Consumer Credit Counseling Service of Greater Atlanta</b>                                                                                               |                           |                         |                 |                     |                        |
| 270 Peachtree Street, NW<br>Suite 1800<br>Atlanta, GA 30303<br>501 (c) 3<br>23-month \$200,000 Project Support grant<br>Approved on Docket I - 2009        | 100,000                   | -                       | -               | 100,000             | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                               | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Consumer Credit Counseling Service of Greater Atlanta</b><br>270 Peachtree Street, NW<br>Suite 1800<br>Atlanta, GA 30303<br>501 (c) 3<br>1-month \$25,000 Project Support grant<br>Approved on Docket I-EX 3 - 2010 | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Consumer Federation of America</b><br>1620 I Street, NW, Suite 200<br>Washington, DC 20006<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket III-a - 2009                              | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Corporation for Enterprise Development</b><br>1200 G Street, NW Suite 400<br>Washington, DC 20002<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket III - 2009                         | 125,000                   | -                       | -               | 125,000             | -                      |
| <b>Covenant Community Capital Corporation</b><br>PO Box 15398<br>Houston, TX 77220<br>23-month \$25,000 General Support grant<br>Approved on Docket I - 2008                                                           | 25,000                    | -                       | (25,000)        | -                   | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Demos: A Network for Ideas and Action, Ltd.</b><br>220 Fifth Avenue, 5th Fl.<br>New York, NY 10001<br>501 (c) 3<br>23-month \$80,000 Project Support grant<br>Approved on Docket II - 2009                           | 40,000                    | -                       | -               | 40,000              | -                      |
| <b>* Development Action Group</b><br>101 Lower Main Road, Observatory<br>Cape Town, South Africa 7925<br><b>Expenditure Responsibility</b><br>One-year \$50,000 Project Support grant<br>Approved on Docket II-a - 2010 | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>The Disability Fund, Inc.</b><br>201 I.U. Willets Road<br>Albertson, NY 11507-1599<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket II - 2009                                          | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Earned Asset Resource Network, Inc.</b><br>235 Montgomery Street, Suite 470<br>San Francisco, CA 94104<br>501 (c) 3<br>Two-year \$250,000 General Support grant<br>Approved on Docket III - 2010                     | -                         | 250,000                 | -               | 125,000             | 125,000                |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                    | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Easter Seals-Central Texas, Inc.</b><br>1611 Headway Circle, Building 2<br>Austin, TX 78754<br>501 (c) 3<br><i>One-year \$35,000 Project Support grant<br/>Approved on Docket III-a - 2010</i>           | -                         | 35,000                  | -               | 35,000              | -                      |
| <b>Federation of Appalachian Housing Enterprises, Inc.</b><br>PO Box 908<br>Berea, KY 40403<br>501 (c) 3<br><i>11-month \$25,000 General Support grant<br/>Approved on Docket I-c - 2010</i>                | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Federation of Appalachian Housing Enterprises, Inc.</b><br>PO Box 908<br>Berea, KY 40403<br>501 (c) 3<br><i>23-month \$200,000 General Support grant<br/>Approved on Docket I-a - 2009</i>               | 100,000                   | -                       | -               | 100,000             | -                      |
| <b>The Financial Innovations Center, Inc.</b><br>2230 S. Michigan Avenue<br>Suite 200<br>Chicago, IL 60616<br>501 (c) 3<br><i>One-year \$25,000 General Support grant<br/>Approved on Docket III - 2010</i> | -                         | 25,000                  | -               | 25,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                             | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>The Financial Innovations Center, Inc.</b><br>2230 S. Michigan Avenue<br>Suite 200<br>Chicago, IL 60616<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket III - 2009 | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>First Nations Development Institute</b><br>351 Coffman Street, Suite 200<br>Longmont, CO 80501<br>501 (c) 3<br>23-month \$200,000 General Support grant<br>Approved on Docket I - 2010            | -                         | 200,000                 | -               | 100,000             | 100,000                |
| <b>First Nations Oweesta Corporation</b><br>351 Coffman Street, Suite 200<br>Longmont, CO 80501<br>501 (c) 3<br>1-month \$10,000 General Support grant<br>Approved on Docket I-EX 3 - 2010           | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>First Nations Oweesta Corporation</b><br>351 Coffman Street, Suite 200<br>Longmont, CO 80501<br>501 (c) 3<br>23-month \$130,000 General Support grant<br>Approved on Docket I - 2009              | 65,000                    | -                       | -               | 65,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                      | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Grantmakers for Effective Organizations</b><br>1725 DeSales St., NW, Suite 404<br>Washington, DC 20036<br>501 (c) 3<br><i>Two-year \$100,000 General Support grant<br/>Approved on Docket III-a - 2010</i> | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Greater Minnesota Housing Fund</b><br>332 Minnesota Street<br>Suite 1201 East<br>St. Paul, MN 55101<br>501 (c) 3<br><i>23-month \$200,000 General Support grant<br/>Approved on Docket III - 2009</i>      | 100,000                   | -                       | -               | 100,000             | -                      |
| <b>Gulf Coast Housing Partnership</b><br>1614B Oretha Castle Haley Boulevard<br>New Orleans, LA 70113<br>501 (c) 3<br><i>23-month \$150,000 General Support grant<br/>Approved on Docket III-a - 2009</i>     | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>Hope Enterprise Corporation</b><br>4 Old River Place, Suite A<br>Jackson, MS 39202-3434<br>501 (c) 3<br><i>One-year \$125,000 General Support grant<br/>Approved on Docket III-a - 2010</i>                | -                         | 125,000                 | -               | 125,000             | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                   | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Housing Assistance Council</b><br>1025 Vermont Avenue, NW, Suite 606<br>Washington, DC 20005<br>501 (c) 3<br>1-month \$25,000 General Support grant<br>Approved on Docket I-EX 3 - 2010 | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Housing Assistance Council</b><br>1025 Vermont Avenue, NW, Suite 606<br>Washington, DC 20005<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket I - 2009    | 125,000                   | -                       | -               | 125,000             | -                      |
| <b>The Housing Partnership Network</b><br>160 State Street, 5th Floor<br>Boston, MA 02109<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket III - 2009        | 125,000                   | -                       | -               | 125,000             | -                      |
| <b>Indian Land Tenure Foundation</b><br>151 County Road B2, East<br>Little Canada, MN 55117-1523<br>501 (c) 3<br>11-month \$50,000 Project Support grant<br>Approved on Docket I-c - 2010  | -                         | 50,000                  | -               | 50,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Inner City Christian Federation</b><br>920 Cherry Street SE<br>Grand Rapids, MI 49506<br>501 (c) 3<br><i>Two-year \$120,000 General Support grant<br/>Approved on Docket III-a - 2010</i>                                                      | -                         | 120,000                 | -               | 60,000              | 60,000                 |
| <b>Kent State University Foundation, Inc./Ohio Employee<br/>Ownership Center</b><br>325 Lincoln Street<br>Kent State University<br>Kent, OH 44242<br>501 (c) 3<br><i>23-month \$150,000 General Support grant<br/>Approved on Docket I - 2009</i> | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>* The Kuyasa Fund</b><br>3, Wrensch Road, Observatory<br>Cape Town, South Africa<br><i>Expenditure Responsibility</i><br><i>23-month \$300,000 Project Support grant<br/>Approved on Docket II - 2009</i>                                      | 150,000                   | -                       | -               | 150,000             | -                      |
| <b>Lawyers Alliance for New York</b><br>171 Madison Ave, 6th Floor<br>New York, NY 10016<br>501 (c) 3<br><i>Two-year \$100,000 General Support grant<br/>Approved on Docket III - 2010</i>                                                        | -                         | 100,000                 | -               | 50,000              | 50,000                 |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                     | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Local Initiatives Support Corporation</b><br>119 South Theobald Street, Suite 109<br>Greenville, MS 38701-4161<br>501 (c) 3<br>23-month \$150,000 Project Support grant<br>Approved on Docket II - 2010                   | -                         | 150,000                 | -               | 75,000              | 75,000                 |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b><br>4354 S. Sherwood Forest Boulevard<br>Suite 200<br>Baton Rouge, LA 70816<br>501 (c) 3<br>11-month \$50,000 Project Support grant<br>Approved on Docket I-EX.4 - 2010   | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b><br>4354 S. Sherwood Forest Boulevard<br>Suite 200<br>Baton Rouge, LA 70816<br>501 (c) 3<br>10-month \$75,000 General Support grant<br>Approved on Docket II-EX 2 - 2010  | -                         | 75,000                  | -               | 75,000              | -                      |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b><br>4354 S. Sherwood Forest Boulevard<br>Suite 200<br>Baton Rouge, LA 70816<br>501 (c) 3<br>One-year \$75,000 General Support grant<br>Approved on Docket III-EX 1 - 2010 | -                         | 75,000                  | -               | 75,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                   | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Low Income Investment Fund</b><br>100 Pine Street, Suite 1800<br>San Francisco, CA 94111<br>501 (c) 3<br><i>Two-year \$250,000 General Support grant<br/>Approved on Docket III-a - 2010</i>            | -                         | 250,000                 | -               | 125,000             | 125,000                |
| <b>Marketumbrella.org</b><br>200 Broadway Avenue, Suite 107<br>New Orleans, LA 70118<br>501 (c) 3<br><i>23-month \$150,000 General Support grant<br/>Approved on Docket III - 2009</i>                     | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>The Mayor's Fund to Advance New York City</b><br>One Centre Street, 23rd Floor<br>New York, NY 10007<br>501 (c) 3<br><i>Two-year \$100,000 Project Support grant<br/>Approved on Docket II-a - 2010</i> | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Metro IAF, Inc.</b><br>c/o Queens Citizens Organization<br>85-18 61st Road<br>Reno Park, NY 11374<br>501 (c) 3<br><i>23-month \$180,000 General Support grant<br/>Approved on Docket III - 2009</i>     | 90,000                    | -                       | -               | 90,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Metropolitan Planning Council</b><br>140 South Dearborn, Suite 1400<br>Chicago, IL 60603<br>501 (c) 3<br>23-month \$300,000 Project Support grant<br>Approved on Docket I-b - 2010                                     | -                         | 300,000                 | -               | 150,000             | 150,000                |
| <b>Mountain Association for Community Economic Development</b><br>433 Chestnut Street<br>Berea, KY 40403<br>501 (c) 3<br>Two-year \$200,000 General Support grant<br>Approved on Docket II-a - 2010                       | -                         | 200,000                 | -               | 100,000             | 100,000                |
| <b>National Alliance of Community Economic Development Associations</b><br>1020 16th Street, NW, Suite 305<br>Washington, DC 20036<br>501 (c) 3<br>23-month \$60,000 General Support grant<br>Approved on Docket I - 2010 | -                         | 60,000                  | -               | 30,000              | 30,000                 |
| <b>National American Indian Housing Council</b><br>900 2nd Street NE, Suite 107<br>Washington, DC 20002<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I - 2009                           | 50,000                    | -                       | -               | 50,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                 | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>National Committee for Responsive Philanthropy</b><br>1331 H Street NW, Suite 200<br>Washington, DC 20005<br>501 (c) 3<br>23-month \$50,000 General Support grant<br>Approved on Docket II - 2009                     | 25,000                    | -                       | -               | 25,000              | -                      |
| <b>National Community Reinvestment Coalition, Inc.</b><br>727 15th Street, NW, Suite 900<br>Washington, DC 20005<br>501 (c) 3<br>23-month \$300,000 General Support grant<br>Approved on Docket III-a - 2009             | 150,000                   | -                       | -               | 150,000             | -                      |
| <b>National Community Stabilization Trust</b><br>910 17th St NW STE 1030<br>Washington., DC 20006<br>501 (c) 3<br>23-month \$300,000 General Support grant<br>Approved on Docket I-c - 2010                              | -                         | 300,000                 | -               | 150,000             | 150,000                |
| <b>National Federation of Community Development Credit Unions, Inc.</b><br>39 Broadway, Suite 2140<br>New York, NY 10006-3003<br>501 (c) 3<br>One-year \$75,000 Project Support grant<br>Approved on Docket III-a - 2010 | -                         | 75,000                  | -               | 75,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>National Federation of Community Development Credit Unions, Inc.</b><br>39 Broadway, Suite 2140<br>New York, NY 10006-3003<br>501 (c) 3<br>11-month \$50,000 Project Support grant<br>Approved on Docket I-EX 5 - 2010 | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>National Federation of Community Development Credit Unions, Inc.</b><br>39 Broadway, Suite 2140<br>New York, NY 10006-3003<br>501 (c) 3<br>Two-year \$100,000 General Support grant<br>Approved on Docket II-a - 2010  | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Native American Community Development Corporation</b><br>PO Box 3029<br>Browning, MT 59417<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I-a - 2009                                   | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Neighborhood Development Center, Inc.</b><br>663 University Avenue, Suite 200<br>St. Paul, MN 55104-4802<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket III-EX - 2009                  | 75,000                    | -                       | -               | 75,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Neighborhood Economic Development Advocacy Project</b>                                                                                               |                           |                         |                 |                     |                        |
| 176 Grand Street, Suite 300<br>New York, NY 10013<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket II - 2009              | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Neighborhood Economic Development Advocacy Project</b>                                                                                               |                           |                         |                 |                     |                        |
| 176 Grand Street, Suite 300<br>New York, NY 10013<br>501 (c) 3<br>1-month \$25,000 General Support grant<br>Approved on Docket II-a - 2010              | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Neighborhood Funders Group, Inc.</b>                                                                                                                 |                           |                         |                 |                     |                        |
| 1301 Connecticut Avenue N.W., Suite 500<br>Washington, DC 20036<br>501 (c) 3<br>23-month \$40,000 General Support grant<br>Approved on Docket II - 2009 | 20,000                    | -                       | -               | 20,000              | -                      |
| <b>Neighborhood Housing Services of New York City, Inc.</b>                                                                                             |                           |                         |                 |                     |                        |
| 307 West 36th Street, 12th Floor<br>New York, NY 10018<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket I - 2009          | 125,000                   | -                       | -               | 125,000             | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                               | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Neighborhood Housing Services of San Antonio</b><br>851 Steves Ave<br>San Antonio, TX 78210<br>501 (c) 3<br><i>One-year \$30,000 General Support grant<br/>Approved on Docket II-a - 2010</i>                                       | -                         | 30,000                  | -               | 30,000              | -                      |
| <b>Neighborhood Reinvestment Corporation</b><br>dba NeighborWorks® America<br>1325 G Street, NW, Suite 800<br>Washington, DC 20005<br>501 (c) 3<br><i>Two-year \$100,000 Project Support grant<br/>Approved on Docket II-a - 2010</i>  | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Neighborhood Reinvestment Corporation</b><br>dba NeighborWorks® America<br>1325 G Street, NW, Suite 800<br>Washington, DC 20005<br>501 (c) 3<br><i>23-month \$300,000 General Support grant<br/>Approved on Docket II-EX - 2009</i> | 150,000                   | -                       | -               | 150,000             | -                      |
| <b>Neighborhood Reinvestment Corporation</b><br>dba NeighborWorks® America<br>1325 G Street, NW, Suite 800<br>Washington, DC 20005<br>501 (c) 3<br><i>Two-year \$200,000 Project Support grant<br/>Approved on Docket III-a - 2010</i> | -                         | 200,000                 | -               | 100,000             | 100,000                |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                                           | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>New America Foundation</b><br>1899 L Street NW, Suite 400<br>Washington, DC 20036<br>501 (c) 3<br>23-month \$150,000 Project Support grant<br>Approved on Docket II - 2010                                                                      | -                         | 150,000                 | -               | 75,000              | 75,000                 |
| <b>New Hampshire Community Loan Fund, Inc.</b><br>#7 Wall Street<br>Concord, NH 03301<br>501 (c) 3<br>23-month \$250,000 General Support grant<br>Approved on Docket II - 2010                                                                     | -                         | 250,000                 | -               | 125,000             | 125,000                |
| <b>New York University/Furman Center for Real Estate and Urban Policy</b><br>New York University<br>139 MacDougal Street, 2nd Floor<br>New York, NY 10012<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket II - 2009 | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Nonprofit Finance Fund</b><br>70 West 36th Street, 11th Floor<br>New York, NY 10018-8007<br>501 (c) 3<br>11-month \$100,000 General Support grant<br>Approved on Docket I - 2010                                                                | -                         | 100,000                 | -               | 100,000             | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>North Carolina Community Development Initiative, Inc.</b><br>P.O. Box 98148<br>Raleigh, NC 27624<br>501 (c) 3<br><i>Two-year \$250,000 General Support grant<br/>Approved on Docket III - 2010</i>                     | -                         | 250,000                 | -               | 125,000             | 125,000                |
| <b>Oakland Livingston Human Service Agency</b><br>196 Cesar E. Chavez Avenue<br>PO Box 430598<br>Pontiac, MI 48343-0598<br>501 (c) 3<br><i>Two-year \$100,000 General Support grant<br/>Approved on Docket III - 2010</i> | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Paraprofessional Healthcare Institute, Inc.</b><br>349 East 149th Street, 10th Floor<br>Bronx, NY 10451<br>501 (c) 3<br><i>Two-year \$250,000 General Support grant<br/>Approved on Docket III - 2010</i>              | -                         | 250,000                 | -               | 125,000             | 125,000                |
| <b>PeopleFund</b><br>2921 E. 17th Street<br>Austin, TX 78702<br>501 (c) 3<br><i>Two-year \$100,000 General Support grant<br/>Approved on Docket III - 2010</i>                                                            | -                         | 100,000                 | -               | 50,000              | 50,000                 |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Philanthropy New York</b><br>79 Fifth Avenue, 4th Floor<br>New York, NY 10003-3076<br>501 (c) 3<br><i>Two-year \$30,000 Project Support grant<br/>Approved on Docket III-EX 1 - 2010</i>                                                                               | -                         | 30,000                  | -               | 15,000              | 15,000                 |
| <b>Philanthropy Northwest</b><br>2101 Fourth Avenue, Suite 650<br>Seattle, WA 98121<br>501 (c) 3<br><i>One-year \$5,000 Project Support grant<br/>Approved on Docket III-EX 1 - 2010</i>                                                                                  | -                         | 5,000                   | -               | 5,000               | -                      |
| <b>President &amp; Fellows of Harvard College</b><br>Office of Sponsored Programs<br>1350 Massachusetts Avenue, Holyoke 600<br>Cambridge, MA 02138<br>501 (c) 3<br><i>17-month \$100,000 Project Support grant<br/>Approved on Docket I - 2010</i>                        | -                         | 100,000                 | -               | 100,000             | -                      |
| <b>President &amp; Fellows of Harvard College</b><br>The Harvard Project on American Indian Economic<br>Development<br>79 John F. Kennedy Street<br>Cambridge, MA 02138<br>501 (c) 3<br><i>23-month \$60,000 Project Support grant<br/>Approved on Docket II-a - 2009</i> | 30,000                    | -                       | -               | 30,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                               | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>The Progress Fund</b><br>425 West Pittsburgh Street<br>Greensburg, PA 15601<br>501 (c) 3<br><i>Two-year \$120,000 General Support grant<br/>Approved on Docket III - 2010</i>                                       | -                         | 120,000                 | -               | -                   | 120,000                |
| <b>Proyecto Azteca</b><br>PO Box 27<br>San Juan, TX 78589<br>501 (c) 3<br><i>Two-year \$130,000 General Support grant<br/>Approved on Docket II-a - 2010</i>                                                           | -                         | 130,000                 | -               | 65,000              | 65,000                 |
| <b>Quitman County Development Organization, Inc.</b><br>P.O. Box 386<br>201 Humphrey Street<br>Marks, MS 38646-0386<br>501 (c) 3<br><i>One-year \$75,000 General Support grant<br/>Approved on Docket III-a - 2008</i> | 37,500                    | -                       | -               | 37,500              | -                      |
| <b>RAISE Texas</b><br>1811 West 38th Street<br>Austin, TX 78731<br>501 (c) 3<br><i>Two-year \$70,000 General Support grant<br/>Approved on Docket II-b - 2010</i>                                                      | -                         | 70,000                  | -               | 35,000              | 35,000                 |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                         | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>The Reinvestment Fund, Inc.</b><br>1700 Market St., 19th Fl<br>Philadelphia, PA 19103<br>501 (c) 3<br><i>Two-year \$300,000 General Support grant<br/>Approved on Docket II-EX 3 - 2010</i>                                   | -                         | 300,000                 | -               | 150,000             | 150,000                |
| <b>ROC USA LLC</b><br>7 Wall Street<br>Concord, NH 03301<br>501 (c) 3<br><i>11-month \$50,000 General Support grant<br/>Approved on Docket I-b - 2010</i>                                                                        | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>Rural Advancement Foundation International - USA</b><br>274 Pittsboro Elementary Road<br>PO Box 640<br>Pittsboro, NC 27312<br>501 (c) 3<br><i>Two-year \$130,000 General Support grant<br/>Approved on Docket II-a - 2010</i> | -                         | 130,000                 | -               | 65,000              | 65,000                 |
| <b>Salzburg Global Seminar</b><br>P. O. Box 886<br>Middlebury, VT 05753<br>501 (c) 3<br><i>37-month \$250,000 General Support grant<br/>Approved on Docket II-b - 2008</i>                                                       | 200,000                   | -                       | -               | 100,000             | 100,000                |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                 | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>San Antonio Alternative Housing Corporation</b><br>1215 S. Trinity Street<br>San Antonio, TX 78207<br>501 (c) 3<br><i>Two-year \$100,000 General Support grant<br/>Approved on Docket II-a - 2010</i> | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Self-Help Enterprises</b><br>8445 W. Elowin Court<br>P O. Box 6520<br>Visalia, CA 93290-6520<br>501 (c) 3<br><i>Two-year \$200,000 General Support grant<br/>Approved on Docket III - 2010</i>        | -                         | 200,000                 | -               | 100,000             | 100,000                |
| <b>Shorebank Enterprise Detroit</b><br>14533 Mack Avenue<br>Detroit, MI 48205<br>501 (c) 3<br><i>One-year \$50,000 General Support grant<br/>Approved on Docket III-a - 2010</i>                         | -                         | 50,000                  | -               | 50,000              | -                      |
| <b>Shorebank Enterprise Group Pacific</b><br>P. O. Box 826<br>203 Howerton Way, SE<br>Ilwaco, WA 98624<br>501 (c) 3<br><i>11-month \$125,000 General Support grant<br/>Approved on Docket II - 2010</i>  | -                         | 125,000                 | -               | 125,000             | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                       | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Southern Bancorp Capital Partners</b><br>PO Box 2507<br>Helena-West Helena, AR 72390<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket III - 2009              | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>Southern Good Faith Fund</b><br>1400 West. Markham, Suite 400<br>Little Rock, AR 72201<br>501 (c) 3<br>23-month \$80,000 General Support grant<br>Approved on Docket II - 2009              | 40,000                    | -                       | -               | 40,000              | -                      |
| <b>Southern Mutual Help Association, Inc.</b><br>3602 Old Jeanerette Road<br>New Iberia, LA 70563<br>501 (c) 3<br>11-month \$75,000 General Support grant<br>Approved on Docket I-EX 8 - 2010  | -                         | 75,000                  | -               | 75,000              | -                      |
| <b>Southern Mutual Help Association, Inc.</b><br>3602 Old Jeanerette Road<br>New Iberia, LA 70563<br>501 (c) 3<br>10-month \$25,000 General Support grant<br>Approved on Docket II-EX 2 - 2010 | -                         | 25,000                  | -               | 25,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Southern Mutual Help Association, Inc.</b><br>3602 Old Jeanerette Road<br>New Iberia, LA 70563<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket II - 2009                            | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>The State of the USA</b><br>Suite 900<br>1899 L St., NW<br>Washington, DC 20036<br>501 (c) 3<br>23-month \$500,000 General Support grant<br>Approved on Docket I-EX 6 - 2010                                       | -                         | 500,000                 | -               | 250,000             | 250,000                |
| <b>Sustainable Jobs Development Corporation</b><br>200 North Mangum St., Suite 203<br>Durham, NC 27701<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I - 2010                        | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Texas Association of Community Development Corporations</b><br>2200 E. Martin Luther King, Jr. Blvd.<br>Austin, TX 78702<br>501 (c) 3<br>Two-year \$50,000 General Support grant<br>Approved on Docket II-a - 2010 | -                         | 50,000                  | -               | 25,000              | 25,000                 |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                             | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Texas Rio Grande Legal Aid, Inc.</b><br>4920 IH35<br>Austin, TX 78751<br>501 (c) 3<br>23-month \$100,000 Project Support grant<br>Approved on Docket II - 2010                                                                    | -                         | 100,000                 | -               | 50,000              | 50,000                 |
| <b>Texas State Affordable Housing Corporation</b><br>P.O. Box 12637<br>Austin, TX 78711-2637<br>501 (c) 3<br>23-month \$100,000 General Support grant<br>Approved on Docket I - 2009                                                 | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>* Thembani International Guarantee Fund</b><br>Hatfield Plaza, South Tower<br>Hatfield<br>Pretoria, South Africa<br><b>Expenditure Responsibility</b><br>One-year \$50,000 Project Support grant<br>Approved on Docket III - 2010 | -                         | 50,000                  | -               | -                   | 50,000                 |
| <b>University of Maryland Foundation, Inc/The Democracy Collaborative</b><br>3300 Metzerott Road<br>Adelphi, MD 20783<br>501 (c) 3<br>One-year \$50,000 Project Support grant<br>Approved on Docket II-a - 2010                      | -                         | 50,000                  | -               | 50,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                 | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>University of Southern Mississippi</b><br>Box 5163<br>Hattiesburg, MS 39406-5163<br>501 (c) 3<br>23-month \$100,000 Project Support grant<br>Approved on Docket III - 2009                                            | 50,000                    | -                       | -               | 50,000              | -                      |
| <b>Washington Interfaith Network</b><br>1226 Vermont Avenue, NW<br>Washington, DC 20005<br>501 (c) 3<br>One-year \$25,000 General Support grant<br>Approved on Docket III - 2010                                         | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Washington Interfaith Network</b><br>1226 Vermont Avenue, NW<br>Washington, DC 20005<br>501 (c) 3<br>23-month \$150,000 General Support grant<br>Approved on Docket III - 2009                                        | 75,000                    | -                       | -               | 75,000              | -                      |
| <b>Washington University</b><br>Center for Social Development<br>Campus Box 1196<br>One Brookings Drive<br>St. Louis, MO 63130<br>501 (c) 3<br>Two-year \$200,000 Project Support grant<br>Approved on Docket III - 2010 | -                         | 200,000                 | -               | 100,000             | 100,000                |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Woodstock Institute</b><br>29 E. Madison, Suite 1710<br>Chicago, IL 60602-4566<br>501 (c) 3<br>23-month \$200,000 General Support grant<br>Approved on Docket I-a - 2009               | 100,000                   | -                       | -               | 100,000             | -                      |
| <b>World Institute on Disability</b><br>3075 Adeline Street, Suite 280<br>Oakland, CA 94703-2545<br>501 (c) 3<br>One-year \$25,000 Project Support grant<br>Approved on Docket III - 2010 | -                         | 25,000                  | -               | 25,000              | -                      |
| <b>Wyclef Jean Foundation, Inc.</b><br>320 West 46th Street<br>New York, NY 10108<br>501 (c) 3<br>11-month \$25,000 Project Support grant<br>Approved on Docket I-EX 1 - 2010             | -                         | 25,000                  | -               | 25,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                        | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Council on Foundations, Inc.</b><br>2121 Crystal Drive, Suite 700<br>Arlington, VA 22201<br>501 (c) 3<br><i>One-year \$35,000 General Support grant<br/>Approved in 2010</i> | -                         | 35,000                  | -               | 35,000              | -                      |
| <b>The Foundation Center</b><br>79 Fifth Avenue<br>New York, NY 10003-3076<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i>                   | -                         | 5,000                   | -               | 5,000               | -                      |
| <b>GuideStar USA, Inc.</b><br>4801 Courthouse St. Suite 220<br>Williamsburg, VA 23188<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i>       | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Independent Sector</b><br>1602 L Street NW<br>Suite 900<br>Washington, DC 20036<br>501 (c) 3<br><i>One-year \$11,000 General Support grant<br/>Approved in 2010</i>          | -                         | 11,000                  | -               | 11,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                       | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Nonprofit Coordinating Committee of New York, Inc.</b><br>1350 Broadway-Suite 1801<br>New York, NY 10018-7802<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>           Approved in 2010</i> | -                         | 2,000                   | -               | 2,000               | -                      |
| <b>Philanthropy New York</b><br>79 Fifth Avenue, 4th Floor<br>New York, NY 10003-3076<br>501 (c) 3<br><i>One-year \$9,850 General Support grant<br/>           Approved in 2010</i>                            | -                         | 9,850                   | -               | 9,850               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                 | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>American Academy of Dramatic Arts</b><br>120 Madison Avenue<br>New York, NY 10016<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                                  | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Bank Street College of Education</b><br>610 West 112 Street<br>New York, NY 10025-1120<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                             | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>California Polytechnic State University Alumni Association</b><br>Alumni House Cal Poly<br>San Luis Obispo, CA 93407<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i> | -                         | 500                     | -               | 500                 | -                      |
| <b>Child Care and Learning Center, Inc.</b><br>PO Box 520<br>Washington, VA 22747<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                                     | -                         | 1,000                   | -               | 1,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                            | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Grants Managers Network</b>                      |                           |                         |                 |                     |                        |
| Michelle Greanias                                   | -                         | 1,000                   | -               | 1,000               | -                      |
| Executive Director                                  |                           |                         |                 |                     |                        |
| Grants Managers Network                             |                           |                         |                 |                     |                        |
| 1101 14th Street, NW                                |                           |                         |                 |                     |                        |
| Suite 420                                           |                           |                         |                 |                     |                        |
| Washington, DC 20005                                |                           |                         |                 |                     |                        |
| 501 (c) 3                                           |                           |                         |                 |                     |                        |
| One-year \$1,000 General Support grant              |                           |                         |                 |                     |                        |
| Approved in 2010                                    |                           |                         |                 |                     |                        |
| <b>Juan Pablo Duarte Foundation</b>                 |                           |                         |                 |                     |                        |
| 427 FT. Washington Avenue, BS-A                     | -                         | 1,000                   | -               | 1,000               | -                      |
| New York, NY 10033                                  |                           |                         |                 |                     |                        |
| 501 (c) 3                                           |                           |                         |                 |                     |                        |
| One-year \$1,000 General Support grant              |                           |                         |                 |                     |                        |
| Approved in 2010                                    |                           |                         |                 |                     |                        |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b> |                           |                         |                 |                     |                        |
| 4354 S. Sherwood Forest Boulevard                   | -                         | 1,000                   | -               | 1,000               | -                      |
| Suite 200                                           |                           |                         |                 |                     |                        |
| Baton Rouge, LA 70816                               |                           |                         |                 |                     |                        |
| 501 (c) 3                                           |                           |                         |                 |                     |                        |
| One-year \$1,000 General Support grant              |                           |                         |                 |                     |                        |
| Approved in 2010                                    |                           |                         |                 |                     |                        |
| <b>MDC, Inc.</b>                                    |                           |                         |                 |                     |                        |
| Post Office Box 17268                               | -                         | 1,000                   | -               | 1,000               | -                      |
| Chapel Hill, NC 27516-7268                          |                           |                         |                 |                     |                        |
| 501 (c) 3                                           |                           |                         |                 |                     |                        |
| One-year \$1,000 General Support grant              |                           |                         |                 |                     |                        |
| Approved in 2010                                    |                           |                         |                 |                     |                        |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Northern Piedmont Community Foundation</b><br>PO Box 182<br>Warrenton, VA 20188<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i> | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Pro Kids Golf Academy, Inc.</b><br>4085 52nd Street<br>San Diego, CA 92105<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>        | -                         | 500                     | -               | 500                 | -                      |
| <b>Room for ALL, Inc.</b><br>26 Railroad Avenue, #341<br>Babylon, NY 11702<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>         | -                         | 1,000                   | -               | 1,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Emma Willard School</b><br>285 Pawling Avenue<br>Troy, NY 12180<br>501 (c) 3<br><i>One-year \$4,500 General Support grant<br/>Approved in 2010</i>                                 | -                         | 4,500                   | -               | 4,500               | -                      |
| <b>Greens Farms Academy, Inc.</b><br>35 Beachside Avenue<br>P.O. Box 998<br>Greens Farms, CT 06838<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i> | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Greens Farms Academy, Inc.</b><br>35 Beachside Avenue<br>P.O. Box 998<br>Greens Farms, CT 06838<br>501 (c) 3<br><i>One-year \$400 General Support grant<br/>Approved in 2010</i>   | -                         | 400                     | -               | 400                 | -                      |
| <b>Grumman Hill Montessori Association</b><br>34 Whipple Road<br>Wilton, CT 06897<br>501 (c) 3<br><i>One-year \$250 General Support grant<br/>Approved in 2010</i>                    | -                         | 250                     | -               | 250                 | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Legal Services of Eastern Missouri, Inc.</b><br>4232 Forest Park Avenue<br>St. Louis, MO 63108<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                              | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Lemmoore Union High School District Foundation for ECUA Excellence</b><br>101 E Bush Street<br>Lemoore, CA 93245<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>              | -                         | 500                     | -               | 500                 | -                      |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b><br>4354 S. Sherwood Forest Boulevard<br>Suite 200<br>Baton Rouge, LA 70816<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i> | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Community Funds, Inc.</b><br>909 Third Avenue<br>New York, NY 10022<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i>                                                         | -                         | 5,000                   | -               | 5,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                    | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Planned Parenthood of Central North Carolina, Inc.</b><br>P.O. Box 3258<br>Chapel Hill, NC 27515-3258<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i> | -                         | 1,500                   | -               | 1,500               | -                      |
| <b>The Rappahannock Non-Profit Center</b><br>PO Box 21<br>Sperryville, VA 22740<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>                            | -                         | 500                     | -               | 500                 | -                      |
| <b>Sacred Heart University</b><br>5151 Park Avenue<br>Fairfield, CT 06432-1000<br>501 (c) 3<br><i>One-year \$250 General Support grant<br/>Approved in 2010</i>                             | -                         | 250                     | -               | 250                 | -                      |
| <b>Southern Mutual Help Association, Inc.</b><br>3602 Old Jeanerette Road<br>New Iberia, LA 70563<br>501 (c) 3<br><i>One-year \$75 General Support grant<br/>Approved in 2010</i>           | -                         | 75                      | -               | 75                  | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                             | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Trinity International University</b><br>2065 Hale Day Rd.<br>Deerfield, IL 60015<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i>               | -                         | 5,000                   | -               | 5,000               | -                      |
| <b>Williams College</b><br>75 Park Street<br>Williamstown, MA 01267<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                               | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Wilton Library Association, Incorporated</b><br>137 Old Ridgefield Road<br>Wilton, CT 06897-3000<br>501 (c) 3<br><i>One-year \$250 General Support grant<br/>Approved in 2010</i> | -                         | 250                     | -               | 250                 | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>American Academy of Dramatic Arts</b><br>120 Madison Avenue<br>New York, NY 10016<br>501 (c) 3<br><i>One-year \$4,000 General Support grant<br/>Approved in 2010</i>               | -                         | 4,000                   | -               | 4,000               | -                      |
| <b>American National Red Cross</b><br>431 18th Street, NW, W309J<br>Washington, DC 20006<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>           | -                         | 1,500                   | -               | 1,500               | -                      |
| <b>American Red Cross in Greater New York</b><br>520 West 49th Street<br>New York, NY 10019<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>          | -                         | 500                     | -               | 500                 | -                      |
| <b>Assistance League of Minneapolis - St. Paul</b><br>6416 Penn Avenue S<br>Richfield, MN 55423-1141<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i> | -                         | 500                     | -               | 500                 | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                           | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Bennett College</b><br>900 E. Washington Street<br>Greensboro, NC 27401<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                      | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Cape Eleuthera Foundation, Inc.</b><br>c/o ISS<br>PO Box 5910<br>Princeton, NJ 0854308648<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i>    | -                         | 5,000                   | -               | 5,000               | -                      |
| <b>Center for Urban Community Services, Inc.</b><br>198 East 121st Street<br>New York, NY 10035<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i> | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Community Voices Heard Inc.</b><br>115 East 106th Dtreet, 3rd Floor<br>New York, NY 10029<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>    | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                       | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Community Foundation of New Jersey</b><br>PO Box 338<br>Knox Hill Road<br>Morristown, NJ 07963-0338<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i>     | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Community Foundation of Southeastern Massachusetts, Inc.</b><br>63 Union Street<br>New Bedford, MA 02740<br>501 (c) 3<br><i>One-year \$8,000 General Support grant<br/>Approved in 2010</i> | -                         | 8,000                   | -               | 8,000               | -                      |
| <b>Doctors Without Borders USA, Inc.</b><br>PO Box 5030<br>Hagerstown, MD 21741<br>501 (c) 3<br><i>One-year \$7,500 General Support grant<br/>Approved in 2010</i>                             | -                         | 7,500                   | -               | 7,500               | -                      |
| <b>Doctors Without Borders USA, Inc.</b><br>PO Box 5030<br>Hagerstown, MD 21741<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>                             | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                            | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Doctors Without Borders USA, Inc.</b><br>PO Box 5030<br>Hagerstown, MD 21741<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i> | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Doctors Without Borders USA, Inc.</b><br>PO Box 5030<br>Hagerstown, MD 21741<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i> | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Doctors Without Borders USA, Inc.</b><br>PO Box 5030<br>Hagerstown, MD 21741<br>501 (c) 3<br><i>One-year \$1,300 General Support grant<br/>Approved in 2010</i>  | -                         | 1,300                   | -               | 1,300               | -                      |
| <b>The Donna Dennis Foundation</b><br>39-53 59th Street<br>Woodside, NY 11377<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>    | -                         | 2,000                   | -               | 2,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>FONKOZE USA</b><br>50 F Street, NW, Suite 810<br>Washington, DC 20001<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                           | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Germantown Friends School</b><br>31 West Coulter Street<br>Philadelphia, PA 19144<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>               | -                         | 2,000                   | -               | 2,000               | -                      |
| <b>Greater Minneapolis Crisis Nursery</b><br>5400 Glenwood Avenue<br>Golden Valley, MN 55422<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>         | -                         | 500                     | -               | 500                 | -                      |
| <b>Greens Farms Academy, Inc.</b><br>35 Beachside Avenue<br>P.O. Box 998<br>Greens Farms, CT 06838<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i> | -                         | 5,000                   | -               | 5,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                               | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Habitat for Humanity International, Inc.</b><br>270 Peachtree Street NW, Suite 1300<br>Atlanta, GA 30303-1263<br><i>One-year \$6,000 General Support grant<br/>Approved in 2010</i> | -                         | 6,000                   | -               | 6,000               | -                      |
| <b>HALEFO Inc.</b><br>PO Box 250-566<br>Brooklyn, NY 11225-0566<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>                                     | -                         | 2,000                   | -               | 2,000               | -                      |
| <b>Hooker County Community Foundation Inc.</b><br>PO Box 66<br>Mullen, NE 69152<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                     | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Housing Works, Inc.</b><br>57 Willoughby Street<br>Brooklyn, NY 11201<br>501 (c) 3<br><i>One-year \$6,000 General Support grant<br/>Approved in 2010</i>                            | -                         | 6,000                   | -               | 6,000               | -                      |
| <b>Housing Works, Inc.</b><br>57 Willoughby Street<br>Brooklyn, NY 11201<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                            | -                         | 1,000                   | -               | 1,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                                                            | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Indiana University Foundation</b><br>PO Box 660245<br>Indianapolis, IN 46266-0245<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>                                                                             | -                         | 2,000                   | -               | 2,000               | -                      |
| <b>Indiana University Foundation</b><br>PO Box 660245<br>Indianapolis, IN 46266-0245<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>                                                                             | -                         | 2,000                   | -               | 2,000               | -                      |
| <b>Indiana University Foundation</b><br>PO Box 660245<br>Indianapolis, IN 46266-0245<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                                                                             | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Inter-Religious Foundation for Community Organization, Inc.</b><br>Haitian Women for Haitian Refugees<br>208 Parkside Avenue, 2nd Floor<br>Brooklyn, NY 11226<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i> | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Jenkins Penn Haitian Relief Organization</b><br>1801 W. Olympic Blvd., File 1410<br>Pasadena, CA 91199-1410<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                 | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Just Food, Inc.</b><br>1155 Avenue of the Americans<br>3rd Floor<br>New York, NY 10036<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                                      | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Louisiana Disaster Recovery Foundation, Inc.</b><br>4354 S. Sherwood Forest Boulevard<br>Suite 200<br>Baton Rouge, LA 70816<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i> | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>MADRE, Inc.</b><br>121 West 27th Street, Room 301<br>New York, NY 10001<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>                                                     | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                  | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Make the Road by Walking</b><br>301 Grove Street<br>Brooklyn, NY 11237<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>              | -                         | 1,500                   | -               | 1,500               | -                      |
| <b>MDC, Inc.</b><br>Post Office Box 17268<br>Chapel Hill, NC 27516-7268<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>                | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Mount Sinai Hospital</b><br>One Gustave Levy Place<br>New York, NY 10029<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i>           | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>National Center for Fathering, Inc.</b><br>P.O. Box 413888<br>Kansas City, KS 64141<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i> | -                         | 1,000                   | -               | 1,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                              | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Community Funds, Inc.</b><br>909 Third Avenue<br>New York, NY 10022<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>           Approved in 2010</i> | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Community Funds, Inc.</b><br>909 Third Avenue<br>New York, NY 10022<br>501 (c) 3<br><i>One-year \$8,000 General Support grant<br/>           Approved in 2010</i>  | -                         | 8,000                   | -               | 8,000               | -                      |
| <b>Community Funds, Inc.</b><br>909 Third Avenue<br>New York, NY 10022<br>501 (c) 3<br><i>One-year \$8,000 General Support grant<br/>           Approved in 2010</i>  | -                         | 8,000                   | -               | 8,000               | -                      |
| <b>Community Funds, Inc.</b><br>909 Third Avenue<br>New York, NY 10022<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>           Approved in 2010</i> | -                         | 10,000                  | -               | 10,000              | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                    | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>North Star Fund</b><br>520 Eighth Avenue, #2203<br>New York, NY 10018<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                                 | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Northern Manhattan Coalition for Immigrant Rights</b><br>665 West 182nd Street<br>New York, NY 10033<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i> | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Nuci Phillips Memorial Foundation Inc.</b><br>396 Ononee Street<br>Athens, GA 30601<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                   | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Partners in Health a Nonprofit Corporation</b><br>PO Box 845578<br>Boston, MA 02284-5578<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>              | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                    | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Philadelphia Yearly Meeting of Friends</b><br>1500 Orthodox Street<br>Philadelphia, PA 19124<br>501 (c) 3<br><i>One-year \$10,000 General Support grant<br/>Approved in 2010</i>         | -                         | 10,000                  | -               | 10,000              | -                      |
| <b>Phillips Exeter Academy</b><br>20 Main Street<br>Exeter, NH 03833<br>501 (c) 3<br><i>One-year \$1,000 General Support grant<br/>Approved in 2010</i>                                     | -                         | 1,000                   | -               | 1,000               | -                      |
| <b>Planned Parenthood of Central North Carolina, Inc.</b><br>P.O. Box 3258<br>Chapel Hill, NC 27515-3258<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i> | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Project Medishare for Haiti, Inc.</b><br>PO Box 381208<br>Miami, FL 33238-1208<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>                        | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                                        | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Search Ministries, Inc./Search Ministries Minnesota</b><br>7400 Metro Blvd. Suite 2010<br>Edina, MN 55439<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i> | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>St. Joseph's Home of Springfield</b><br>3306 S 6th St. Rd<br>Springfield, IL 62703<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i>                          | -                         | 500                     | -               | 500                 | -                      |
| <b>Sunnyside Community Services, Inc.</b><br>43-31 39th Atreet<br>LIC, NY 11104<br>501 (c) 3<br><i>One-year \$5,000 General Support grant<br/>Approved in 2010</i>                              | -                         | 5,000                   | -               | 5,000               | -                      |
| <b>United Way of New York City</b><br>2 Park Avenue<br>New York, NY 10016-1503<br>501 (c) 3<br><i>One-year \$2,000 General Support grant<br/>Approved in 2010</i>                               | -                         | 2,000                   | -               | 2,000               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                                                          | Beginning Balance<br>2010 | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Urban Ventures Leadership Foundation</b><br>3041 Fourth Avenue South<br>Minneapolis, MN 55408<br>501 (c) 3<br><i>One-year \$500 General Support grant<br/>Approved in 2010</i> | -                         | 500                     | -               | 500                 | -                      |
| <b>William J. Clinton Foundation</b><br>610 President Clinton Avenue<br>Little Rock, AR 72201<br>501 (c) 3<br><i>One-year \$2,700 General Support grant<br/>Approved in 2010</i>  | -                         | 2,700                   | -               | 2,700               | -                      |
| <b>Wyclef Jean Foundation, Inc.</b><br>320 West 46th Street<br>New York, NY 10108<br>501 (c) 3<br><i>One-year \$2,500 General Support grant<br/>Approved in 2010</i>              | -                         | 2,500                   | -               | 2,500               | -                      |
| <b>Young Life</b><br>8700 West 36th Street<br>St. Louis Park, MN 55426<br>501 (c) 3<br><i>One-year \$1,500 General Support grant<br/>Approved in 2010</i>                         | -                         | 1,500                   | -               | 1,500               | -                      |

# Fiscal Year 2010

| Recipient and Grant Info                                                                                                                          | Beginning Balance<br>2010             | Newly Allocated<br>2010 | Amended<br>2010 | Amount Paid<br>2010 | Ending Balance<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------|-----------------|---------------------|------------------------|
| <b>Young Life</b><br>8700 West 36th Street<br>St. Louis Park, MN 55426<br>501 (c) 3<br>One-year \$1,500 General Support grant<br>Approved in 2010 | -                                     | 1,500                   | -               | 1,500               | -                      |
| <b>Young Life</b><br>910 Elliot Avenue South<br>Minneapolis, MN 55404<br>501 (c) 3<br>One-year \$1,000 General Support grant<br>Approved in 2010  | -                                     | 1,000                   | -               | 1,000               | -                      |
| <b>Grand Total</b><br>(213 items)                                                                                                                 | \$ 3,617,500<br><i>↑ 5% from 2009</i> | \$ 8,212,575            | (\$ 85,000)     | \$ 8,540,075        | \$ 3,205,000           |
| <i>Appalachian Ctr for<br/>Economic Networks<br/>amendment executed<br/>in 2009</i>                                                               | (60,000)                              |                         | 60,000          |                     |                        |
| <i>Covenant Community Capital<br/>Corp - treated as Conditional<br/>in 2009</i>                                                                   | (25,000)                              |                         | 25,000          |                     |                        |
| <i>Salzburg Global Seminar</i>                                                                                                                    | (200,000)                             | 200,000                 |                 |                     |                        |
|                                                                                                                                                   | <u>3,332,500</u>                      | <u>8412,575</u>         | <u>-</u>        | <u>8,540,075</u>    | <u>3,205,000</u>       |

## F.B. Heron Foundation

### 2010 Expenditure Responsibility under Reg. 53-4945-5(d)

Data as of April 01, 2011

Page 1 of 2

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1 Organization name and address

Black Umbrellas  
2nd Floor, The Business Place Building  
7 Anton Anreith Arcade  
Cape Town, South Africa 8001

2. Grant's date and amount:

A grant of \$50,000.00 was approved on 11/5/2010  
A payment of \$25,000.00 was made on 12/18/2010

3. Purpose of the grant:

For the provision of full support services to small businesses with owners from disadvantaged communities

4. Grant amount spent :

The Grant has been expended by the grantee as payments have been made.

5. Any part of the grant diverted:

No portions of the funds have been diverted from the purpose of the grant.

6. Date of report(s) received in 2010, if any:

An Interim Report was received on 8/3/2010  
A site visit was conducted in Apr, 2010  
A site visit was conducted in Nov, 2010

7. Date and results of any verification of the grantees's reports undertaken by or at the direction of the grantor foundation:

N/A

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1 Organization name and address

Development Action Group  
101 Lower Main Road, Observatory

Cape Town, South Africa 7925

2. Grant's date and amount:

A grant of \$50,000.00 was approved on 7/23/2010  
A payment of \$50,000.00 was made on 10/6/2010

3. Purpose of the grant:

For the People's Housing Process project in Khayelitsha.

4. Grant amount spent :

The Grant has been expended by the grantee as payments have been made.

5. Any part of the grant diverted:

No portions of the funds have been diverted from the purpose of the grant.

6. Date of report(s) received in 2010, if any:

A Final Report was received on 2/5/2010  
A site visit was conducted in Apr, 2010  
A site visit was conducted in Nov, 2010

7. Date and results of any verification of the grantees's reports undertaken by or at the direction of the grantor foundation:

N/A

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STMT # 22

## **F.B. Heron Foundation**

2010 Expenditure Responsibility under Reg. 53-4945-5(d)

Data as of April 01, 2011

Page 2 of 2

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1. Organization name and address

The Kuyasa Fund  
3, Wrensch Road, Observatory

*Cape Town, South Africa*

2. Grant's date and amount:

A grant of \$300,000.00 was approved on 7/2/2009

A payment of \$150,000.00 was made on 9/1/2010

3. Purpose of the grant:

Project support for micro-loans to low-income households for home improvements.

4. Grant amount spent :

The Grant has been expended by the grantee as payments have been made.

5. Any part of the grant diverted:

No portions of the funds have been diverted from the purpose of the grant.

6. Date of report(s) received in 2010, if any:

An Interim Report was received on 3/12/2010

A site visit was conducted in Nov, 2010

A site visit was conducted in Apr, 2010

7. Date and results of any verification of the grantees's reports undertaken by or at the direction of the grantor foundation:

N/A

---

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|                                                                         |                                                                                          |                                |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------|
| Type or print                                                           | Name of exempt organization                                                              | Employer identification number |
|                                                                         | THE F.B. HERON FOUNDATION                                                                | 13-3647019                     |
| File by the extended due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions.                   |                                |
|                                                                         | 100 BROADWAY, 17TH FLOOR                                                                 |                                |
|                                                                         | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |                                |
|                                                                         | NEW YORK, NY 10005                                                                       |                                |

Enter the Return code for the return that this application is for (file a separate application for each return) .... **04**

| Application Is For                       | Return Code | Application Is For | Return Code |
|------------------------------------------|-------------|--------------------|-------------|
| Form 990                                 | 01          |                    |             |
| Form 990-BL                              | 02          | Form 1041-A        | 08          |
| Form 990-EZ                              | 03          | Form 4720          | 09          |
| Form 990-PF                              | 04          | Form 5227          | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069          | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870          | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**The Foundation**

• The books are in the care of **100 BROADWAY, 17TH FLOOR - NEW YORK, NY 10005**

Telephone No. **(212) 404-1800**

FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **November 15, 2011.**

5 For calendar year **2010**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

**THE FOUNDATION IS STILL AWAITING FINAL K-1'S ON SOME INVESTMENTS**

|                                                                                                                                                                                                                                     |    |    |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|----------|
| 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | 8a | \$ | 160,000. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ | 171,152. |
| c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                    | 8c | \$ | 0.       |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **[Signature]** Title **CA** Date **8/11/11**

Form 8868 (Rev. 1-2011)