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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA

A Employer identification number
13-3212724

Number and street (or P O box number if mail is not delivered to street address)
10 Rockefeller Plaza 3rd Floor

Room/suite

B Telephone number (see page 10 of the instructions)
(212) 549-5500

City or town, state, and ZIP code
NEW YORK, NY 10020

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 39,694,580

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	826,287	826,287		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,901,637			
	b Gross sales price for all assets on line 6a <u>12,313,265</u>				
	7 Capital gain net income (from Part IV , line 2)		1,901,637		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 66,800	20,181		
	12 Total. Add lines 1 through 11	2,794,724	2,748,105		
	13 Compensation of officers, directors, trustees, etc	208,804			208,804
	14 Other employee salaries and wages	300,825			300,825
	15 Pension plans, employee benefits	179,162			179,162
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	 69,213	33,963		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	116,694			116,694
	21 Travel, conferences, and meetings	157,338			157,338
	22 Printing and publications				
	23 Other expenses (attach schedule).	 507,745	152,984		350,010
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	1,539,781	186,947		1,312,833
	25 Contributions, gifts, grants paid	3,470,713			3,470,713
	26 Total expenses and disbursements. Add lines 24 and 25	5,010,494	186,947		4,783,546
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,215,770			
	b Net investment income (if negative, enter -0-)		2,561,158		
	c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2010)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,230,712	1,246,232	1,246,232
	3	Accounts receivable ▶ <u>168,995</u>			
		Less allowance for doubtful accounts ▶ _____	185,941	168,995	168,995
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,658,360	 1,986,011	2,271,150
	b	Investments—corporate stock (attach schedule)	18,325,992	 17,116,755	21,658,529
	c	Investments—corporate bonds (attach schedule).	7,126,446	 5,954,574	6,320,037
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	5,654,705	 5,476,556	8,029,637	
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	34,182,156	31,949,123	39,694,580	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	34,182,156	31,949,123	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0		
	30	Total net assets or fund balances (see page 17 of the instructions)	34,182,156	31,949,123	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	34,182,156	31,949,123	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	34,182,156
2	Enter amount from Part I, line 27a	2	-2,215,770
3	Other increases not included in line 2 (itemize) ▶  _____	3	1,486
4	Add lines 1, 2, and 3	4	31,967,872
5	Decreases not included in line 2 (itemize) ▶  _____	5	18,749
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	31,949,123

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,901,637
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	4,869,699	36,487,035	0 133464
2008	5,361,898	49,108,515	0 109185
2007	5,332,082	58,169,334	0 091665
2006	4,675,874	55,679,599	0 083978
2005	4,407,075	53,215,943	0 082815

2	Total of line 1, column (d).	2	0 501107
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 100221
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	38,108,809
5	Multiply line 4 by line 3.	5	3,819,303
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	25,612
7	Add lines 5 and 6.	7	3,844,915
8	Enter qualifying distributions from Part XII, line 4.	8	4,783,546

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1aExempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	25,612
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3Add lines 1 and 2.		3	25,612
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	25,612
6Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	50,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7Total credits and payments Add lines 6a through 6d.		7	50,000
8Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	24,388
11Enter the amount of line 10 to be Credited to 2011 estimated tax 24,388 Refunded		11	

Part VII-AStatements Regarding Activities			
1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒			YesNo
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a1b	NoNo
cDid the foundation file Form 1120-POL for this year?.		1c	No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2	No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒		3	No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	Yes
bIf "Yes," has it filed a tax return on Form 990-T for this year?.		4b	Yes
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. ☒		5	No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ NY			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9	No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ROCKEFELLER TRUST COMPANYNA Telephone no (212) 549-5544 Located at 10 ROCKEFELLER PL 3RD FL New York NY ZIP+4 10020			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country.				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

Yes

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD S LANIER	TRUSTEE	30,000		
6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	6 0			
ELIZABETH J MCCORMACK	TRUSTEE	30,000		
6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	2 0			
DONAL C OBRIEN JR	TRUSTEE	30,000		
C/O ROCKEFELLER TRUST COMPANY NA NEW YORK, NY 10020	1 0			
JENNIFER P GOODALE	EXECUTIVE DIRECTOR	118,804	23,451	
6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	20 0			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA LANCIERS	PROGRAM OFFICER	66,562	22,938	
6 WEST 48TH STREET 12TH FL NEW YORK, NY 10036	40 0			
CARRIE E THOMPSON	ASSOCIATE DIRECTOR	114,361	34,413	
6 WEST 48TH STREET 12TH FL NEW YORK, NY 10036	40 0			

Total

number of other employees paid over \$50,000.

2

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		1

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	37,220,917
b	Average of monthly cash balances.	1b	1,468,229
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	38,689,146
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	38,689,146
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	580,337
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	38,108,809
6	Minimum investment return. Enter 5% of line 5.	6	1,905,440

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,905,440
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	25,612
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	25,612
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,879,828
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,879,828
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,879,828

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,783,546
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,783,546
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	25,612
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,757,934
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				1,879,828
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only.				
b	Total for prior years 2008 , 2007 , 2006				
3	Excess distributions carryover, if any, to 2010				
a	From 2005.				1,821,656
b	From 2006.				2,009,978
c	From 2007.				2,530,109
d	From 2008.				2,922,614
e	From 2009.				3,059,885
f	Total of lines 3a through e.	12,344,242			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 4,783,546				
a	Applied to 2009, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2010 distributable amount.				1,879,828
e	Remaining amount distributed out of corpus	2,903,718			
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,247,960			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	1,821,656			
9	Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	13,426,304			
10	Analysis of line 9				
a	Excess from 2006.				2,009,978
b	Excess from 2007.				2,530,109
c	Excess from 2008.				2,922,614
d	Excess from 2009.				3,059,885
e	Excess from 2010.				2,903,718

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

JENNIFER GOODALEEXECUTIVE DIRECTOR
TMU -6 WEST 48TH STREET 12TH FLOOR
NEW YORK,NY 10036
(212) 843-0243

b

The form in which applications should be submitted and information and materials they should include

BY INITIAL LETTER OF INQUIRY

c

Any submission deadlines

6 MOS PRIOR TO DATE OF ACTIVITY FOR WHICH SUPPORT IS SOUGHT

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE TRUST'S PROGRAMS SUPPORT EXCHANGES BETWEEN THE UNITED STATES, THE FORMER SOVIET UNION, AND EASTERN AND CENTRAL EUROPE IN THE ARTS AND ENVIRONMENTAL CONSERVATION

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED LIST see attached list New YORK, NY 10020		501C3	GENERAL	3,470,713
Total			3a	3,470,713
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	826,287	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.	900003		18	66,800	
8 Gain or (loss) from sales of assets other than inventory	900003	-112	18	1,901,749	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		-112		2,794,836	
13 Total. Add line 12, columns (b), (d), and (e).			13		2,794,724

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2011-11-09

Date

Title

Paid Preparer's Use Only

Preparer's Signature

Susan E Leitner CPA

Firm's name

Rockefeller Trust Co NA

10 Rockefeller Plz 3rd FL

Firm's address

New York, NY 10020

Date

Check if self-employed

PTIN

Firm's EIN

Phone no (212) 549-5544

Form 990-PF (2010)

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
230 Sh Advance Auto Parts, Inc	11 04 2009	04 28 2010	10,455 62	8,814 91	1,640 71
30 Sh Advance Auto Parts, Inc	11 04 2009	05 26 2010	1,515 50	1,149 77	365 73
120 Sh AerCap Holdings NV	05 03 2010	05 26 2010	1,317 58	1,717 30	-399 72
770 Sh AerCap Holdings NV	05 03 2010	11 26 2010	10,260 15	11,019 32	-759 17
130 Sh Alexion Pharmaceuticals, Inc	10 16 2009	04 28 2010	7,066 68	5,980 49	1,086 19
20 Sh Alexion Pharmaceuticals, Inc	10 16 2009	05 26 2010	994 78	920 08	74 70
60 Sh Allstate Corporation	04 28 2010	05 26 2010	1,802 37	2,040 34	-237 97
510 Sh Allstate Corporation	04 28 2010	11 26 2010	15,003 94	17,342 91	-2,338 97
60 Sh Ameriprise Financial, Inc	10 01 2009	04 28 2010	2,724 55	2,231 46	493 09
410 Sh Ameriprise Financial, Inc	10 02 2009	04 28 2010	18,617 79	15,132 73	3,485 06
50 Sh Ameriprise Financial, Inc	10 02 2009	05 26 2010	1,966 47	1,845 45	121 02
250 Sh Amgen Inc	10 22 2009	04 28 2010	14,472 25	14,189 60	282 65
30 Sh Amgen Inc	10 22 2009	05 26 2010	1,560 87	1,702 75	-141 88
240 Sh Anadarko Petroleum Corporation	10 16 2009	04 28 2010	16,972 51	15,970 87	1,001 64
40 Sh Anadarko Petroleum Corporation	10 16 2009	05 26 2010	2,158 36	2,661 81	-503 45
510 Sh Anadarko Petroleum Corporation	04 30 2010	11 26 2010	32,292 65	32,776 37	-483 72
510 Sh Anglo American PLC	06 11 2009	04 28 2010	21,734 89	15,219 68	6,515 21
60 Sh Anglo American PLC	06 11 2009	05 26 2010	2,249 62	1,790 55	459 07
10 Sh Apache Corporation	06 01 2009	05 26 2010	897 43	858 39	39 04
250 Sh Apache Corporation	06 17 2010	11 26 2010	26,627 05	24,259 05	2,368 00
10 Sh Apple Inc	04 27 2010	05 26 2010	2,512 96	2,625 43	-112 47
100 Sh Apple Inc	04 27 2010	11 26 2010	31,360 47	26,254 32	5,106 15
5400 Sh ARM Holding plc	06 10 2009	02 09 2010	16,891 17	9,577 58	7,313 59
2490 Sh ARM Holding plc	06 11 2009	02 09 2010	7,788 71	4,439 00	3,349 71
8180 Sh ARM Holding plc	06 09 2009	02 10 2010	25,205 86	14,471 99	10,733 87
10230 Sh ARM Holding plc	06 10 2009	02 10 2010	31,522 74	18,144 18	13,378 56
4000 Sh ARM Holding plc	06 09 2009	02 11 2010	12,450 56	7,076 76	5,373 80
2710 Sh ARM Holding plc	06 09 2009	04 28 2010	10,308 66	4,794 51	5,514 15
300 Sh ARM Holding plc	06 09 2009	05 26 2010	1,033 94	530 76	503 18
530 Sh Avery Dennison Corp	07 29 2009	04 28 2010	22,058 22	15,708 56	6,349 66
60 Sh Avery Dennison Corp	07 29 2009	05 26 2010	2,021 97	1,778 33	243 64
400 Sh Baker Hughes Incorporated	06 14 2010	11 26 2010	19,911 66	17,138 96	2,772 70
1300 Sh Bank of America Corporation	08 28 2009	04 28 2010	22,931 61	23,378 29	-446 68
140 Sh Bank of America Corporation	08 28 2009	05 26 2010	2,210 56	2,517 66	-307 10
980 Sh Bank of Cyprus Public	03 08 2010	04 28 2010	5,453 47	6,529 29	-1,075 82
110 Sh Bank of Cyprus Public	03 08 2010	05 26 2010	520 10	732 88	-212 78
1110 Sh Bank of Cyprus Public	03 08 2010	06 07 2010	4,161 69	7,395 43	-3,233 74
390 Sh Bank of Cyprus Public	03 05 2010	06 08 2010	1,422 68	2,530 00	-1,107 32
1830 Sh Bank of Cyprus Public	03 08 2010	06 08 2010	6,675 63	12,192 46	-5,516 83
2220 Sh Bank of Cyprus Public	03 05 2010	06 09 2010	8,415 89	14,401 56	-5,985 67
1780 Sh Bank of Cyprus Public	03 05 2010	06 10 2010	6,920 26	11,547 20	-4,626 94

Run Date 10 31 2011 03 38 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
440 Sh Bank of Cyprus Public	03 09 2010	06 10 2010	1,710 63	2,831 42	-1,120 79
1390 Sh Bank of Cyprus Public	03 04 2010	06 11 2010	5,600 90	8,758 81	-3,157 91
830 Sh Bank of Cyprus Public	03 09 2010	06 11 2010	3,344 42	5,341 08	-1,996 66
1960 Sh Bank of Cyprus Public	03 04 2010	06 14 2010	8,378 87	12,350 55	-3,971 68
2340 Sh Bank of Cyprus Public	03 03 2010	06 15 2010	9,974 07	14,703 14	-4,729 07
130 Sh Bank of Cyprus Public	03 04 2010	06 15 2010	554 12	819 17	-265 05
1310 Sh Bank of Cyprus Public	03 03 2010	06 16 2010	5,640 35	8,231 25	-2,590 90
550 Sh Bank of Cyprus Public	03 03 2010	06 17 2010	2,372 08	3,455 87	-1,083 79
130 Sh Bank of Cyprus Public	03 03 2010	06 18 2010	575 13	816 84	-241 71
100 Sh BNP Paribas	05 08 2009	04 28 2010	6,740 14	6,408 75	331 39
120 Sh BNP Paribas	07 01 2009	04 28 2010	8,088 16	8,041 81	46 35
40 Sh BNP Paribas	05 12 2010	05 26 2010	2,242 43	2,673 63	-431 20
60 Sh BP PLC - Spons ADR	06 01 2009	05 26 2010	2,566 76	3,052 25	-485 49
420 Sh BP PLC - Spons ADR	06 01 2009	05 28 2010	18,097 75	21,365 78	-3,268 03
540 Sh BP PLC - Spons ADR	07 01 2009	05 28 2010	23,268 53	26,217 00	-2,948 47
550 Sh Capital One Financial Corp	08 07 2009	04 28 2010	24,056 59	18,943 37	5,113 22
60 Sh Capital One Financial Corp	08 07 2009	05 26 2010	2,475 17	2,066 55	408 62
460 Sh CareFusion Corporation	09 02 2009	04 28 2010	12,254 19	8,564 74	3,689 45
50 Sh CareFusion Corporation	09 02 2009	05 26 2010	1,250 12	930 95	319 17
930 Sh CareFusion Corporation	11 04 2010	11 26 2010	21,426 84	22,670 70	-1,243 86
490 Sh Carlsberg A S	09 11 2009	01 13 2010	37,589 82	36,975 80	614 02
100 Sh Carlsberg A S	09 10 2009	01 14 2010	7,663 75	7,473 70	190 05
80 Sh Carlsberg A S	09 11 2009	01 14 2010	6,131 00	6,036 86	94 14
250 Sh Carlsberg A S	09 14 2009	01 14 2010	19,159 36	18,767 40	391 96
490 Sh Carlsberg A S	09 15 2009	01 14 2010	37,552 35	36,621 51	930 84
400 Sh Carlsberg A S	09 10 2009	01 15 2010	30,427 41	29,894 80	532 61
10 Sh Carlsberg A S	09 09 2009	01 19 2010	761 97	734 00	27 97
490 Sh Carlsberg A S	09 10 2009	01 19 2010	37,336 49	36,621 13	715 36
490 Sh Carlsberg A S	09 09 2009	01 20 2010	36,565 48	35,966 03	599 45
290 Sh Chevron Corporation	07 01 2009	04 28 2010	23,362 00	19,514 10	3,847 90
1000 Sh China Agri-Industries Holdings	05 04 2010	05 26 2010	1,051 23	1,333 60	-282 37
3000 Sh China High Speed Transmission	03 19 2010	04 28 2010	6,976 96	6,598 04	378 92
3000 Sh China High Speed Transmission	03 19 2010	11 26 2010	5,821 98	6,598 03	-776 05
120 Sh China Mobile (Hong Kong) Limit	07 01 2009	04 28 2010	6,004 70	6,116 96	-112 26
510 Sh Chunghwa Telecom Ltd ADR	04 23 2010	04 28 2010	9,919 33	10,063 83	-144 50
50 Sh Chunghwa Telecom Ltd ADR	04 23 2010	05 26 2010	958 60	986 65	-28 05
70 Sh Chunghwa Telecom Ltd ADR	07 01 2010	11 26 2010	1,693 93	1,386 67	307 26
270 Sh Chunghwa Telecom Ltd ADR	07 07 2010	11 26 2010	6,533 72	5,568 78	964 94
260 Sh Chunghwa Telecom Ltd ADR	07 08 2010	11 26 2010	6,291 74	5,371 63	920 11
250 Sh CIT Group, Inc	03 15 2010	04 28 2010	10,009 83	9,190 42	819 41
30 Sh CIT Group, Inc	03 15 2010	05 26 2010	1,104 58	1,102 85	1 73

Run Date 10 31 2011 03 38 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
270 Sh CIT Group, Inc	03 15 2010	11 26 2010	10,827 97	9,925 66	902 31
330 Sh Comerica Incorporated	03 16 2010	04 28 2010	13,958 76	12,516 14	1,442 62
40 Sh Comerica Incorporated	03 16 2010	05 26 2010	1,537 45	1,517 11	20 34
370 Sh Comerica Incorporated	03 16 2010	11 26 2010	13,446 87	14,033 25	-586 38
430 Sh Community Health Care	04 20 2010	04 28 2010	17,285 70	17,897 29	-611 59
40 Sh Community Health Care	04 20 2010	05 26 2010	1,541 17	1,664 86	-123 69
450 Sh Community Health Care	04 20 2010	11 26 2010	14,483 27	18,729 72	-4,246 45
370 Sh Compagnie Financiere Richemont	12 18 2009	04 28 2010	13,728 88	12,155 68	1,573 20
50 Sh Compagnie Financiere Richemont	12 18 2009	05 26 2010	1,686 14	1,642 66	43 48
230 Sh Compagnie Financiere Richemont	12 16 2009	07 01 2010	7,942 49	7,509 50	432 99
980 Sh Compagnie Financiere Richemont	12 17 2009	07 01 2010	33,841 94	32,003 12	1,838 82
770 Sh Compagnie Financiere Richemont	12 18 2009	07 01 2010	26,590 10	25,296 97	1,293 13
140 Sh Compagnie Financiere Richemont	12 14 2009	07 02 2010	4,760 28	4,520 89	239 39
990 Sh Compagnie Financiere Richemont	12 16 2009	07 02 2010	33,661 98	32,323 50	1,338 48
10 Sh Compagnie Financiere Richemont	12 11 2009	07 06 2010	362 89	317 14	45 75
1120 Sh Compagnie Financiere Richemont	12 14 2009	07 06 2010	40,643 35	36,167 12	4,476 23
2250 Sh Compagnie Financiere Richemont	12 11 2009	07 07 2010	80,517 19	71,355 70	9,161 49
140 Sh Continental Resources, Inc	07 07 2009	04 28 2010	6,501 49	3,308 83	3,192 66
130 Sh Continental Resources, Inc	07 08 2009	04 28 2010	6,037 09	3,065 11	2,971 98
40 Sh Continental Resources, Inc	07 08 2009	05 26 2010	1,795 57	943 11	852 46
780 Sh Ericsson (LM) Tel - Sp ADR	09 16 2009	02 09 2010	7,748 19	8,420 96	-672 77
1220 Sh Ericsson (LM) Tel - Sp ADR	09 17 2009	02 09 2010	12,118 96	12,948 47	-829 51
2430 Sh Ericsson (LM) Tel - Sp ADR	09 21 2009	02 09 2010	24,138 58	25,718 88	-1,580 30
1220 Sh Ericsson (LM) Tel - Sp ADR	09 22 2009	02 09 2010	12,118 96	13,144 65	-1,025 69
1830 Sh Ericsson (LM) Tel - Sp ADR	09 23 2009	02 09 2010	18,178 44	19,605 89	-1,427 45
4040 Sh Ericsson (LM) Tel - Sp ADR	09 24 2009	02 09 2010	40,131 64	42,184 06	-2,052 42
220 Sh FedEx Corporation	02 09 2010	04 28 2010	19,922 86	17,210 38	2,712 48
30 Sh FedEx Corporation	02 09 2010	05 26 2010	2,465 06	2,346 87	118 19
240 Sh FedEx Corporation	02 09 2010	11 26 2010	21,011 64	18,774 96	2,236 68
8100 Sh Galaxy Entertainment Group Ltd	10 13 2010	11 26 2010	7,943 44	7,296 96	646 48
125000 Sh General Elec 7 375% 1 19 10	03 05 2009	01 19 2010	125,000 00	125,000 00	0 00
130 Sh Genzyme Corporation	07 01 2009	04 20 2010	6,936 86	7,256 60	-319 74
210 Sh Genzyme Corporation	07 22 2009	04 21 2010	11,474 50	10,895 89	578 61
180 Sh Genzyme Corporation	07 22 2009	04 28 2010	9,534 43	9,339 34	195 09
30 Sh Genzyme Corporation	07 22 2009	05 26 2010	1,538 37	1,556 56	-18 19
10 Sh Google Inc - Class A	05 10 2010	05 26 2010	4,872 32	5,174 79	-302 47
1080 Sh Hertz Global Holdings Inc	04 15 2010	04 28 2010	15,465 34	13,351 61	2,113 73
130 Sh Hertz Global Holdings Inc	04 15 2010	05 26 2010	1,411 78	1,607 14	-195 36
1180 Sh Hertz Global Holdings Inc	04 15 2010	11 26 2010	14,325 78	14,587 87	-262 09
500 Sh Hewlett-Packard Company	09 27 2010	11 26 2010	21,509 64	20,764 00	745 64
30 Sh Human Genome Sciences Inc	05 18 2010	05 26 2010	742 25	759 27	-17 02

Run Date 10 31 2011 03 38 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
260 Sh Human Genome Sciences Inc	05 18 2010	11 26 2010	6,447 89	6,580 34	-132 45
70 Sh Hyundai Mobis	04 21 2010	04 28 2010	10,531 53	10,364 38	167 15
10 Sh Hyundai Mobis	04 21 2010	05 27 2010	1,509 03	1,480 63	28 40
80 Sh Hyundai Mobis	04 21 2010	11 26 2010	18,795 54	11,845 01	6,950 53
130 Sh Int'l Business Machines Corp	07 01 2009	03 15 2010	16,569 46	13,696 80	2,872 66
860 Sh InterContinental Hotels Group	05 12 2009	04 28 2010	14,026 92	9,020 74	5,006 18
200 Sh Intertek Group plc	12 14 2009	04 28 2010	4,459 25	4,020 74	438 51
70 Sh Intertek Group plc	12 30 2009	04 28 2010	1,560 74	1,411 09	149 65
70 Sh Intertek Group plc	12 31 2009	04 28 2010	1,560 74	1,426 52	134 22
270 Sh Intertek Group plc	01 04 2010	04 28 2010	6,020 00	5,509 42	510 58
70 Sh Intertek Group plc	12 14 2009	05 26 2010	1,372 87	1,407 26	-34 39
220 Sh Intertek Group plc	12 14 2009	10 29 2010	6,540 41	4,422 82	2,117 59
1030 Sh Intertek Group plc	12 16 2009	10 29 2010	30,621 00	20,612 84	10,008 16
140 Sh Intertek Group plc	12 15 2009	11 26 2010	4,035 18	2,795 89	1,239 29
460 Sh Intertek Group plc	12 16 2009	11 26 2010	13,258 43	9,205 73	4,052 70
23050 Sh Intesa Sanpaolo	05 08 2009	01 19 2010	101,970 70	80,234 55	21,736 15
19370 Sh Intesa Sanpaolo	05 08 2009	01 20 2010	83,491 09	67,424 86	16,066 23
6830 Sh Intesa Sanpaolo	05 08 2009	01 21 2010	29,211 39	23,774 49	5,436 90
11320 Sh Intesa Sanpaolo	05 11 2009	01 21 2010	48,414 79	38,434 87	9,979 92
12330 Sh Intesa Sanpaolo	05 12 2009	01 21 2010	52,734 48	42,574 96	10,159 52
940 Sh Intesa Sanpaolo	05 11 2009	01 22 2010	3,870 18	3,191 59	678 59
4050 Sh Intesa Sanpaolo	07 01 2009	01 22 2010	16,674 72	13,237 39	3,437 33
5500 Sh Intesa Sanpaolo - RNC	01 19 2010	04 28 2010	15,016 30	19,128 57	-4,112 27
790 Sh Intesa Sanpaolo - RNC	01 19 2010	05 26 2010	1,528 52	2,747 56	-1,219 04
8810 Sh Intesa Sanpaolo - RNC	01 19 2010	11 26 2010	20,451 14	30,640 49	-10,189 35
14320 Sh Intesa Sanpaolo - RNC	01 19 2010	12 07 2010	33,955 89	49,803 84	-15,847 95
7200 Sh Intesa Sanpaolo - RNC	01 20 2010	12 07 2010	17,072 80	24,456 40	-7,383 60
3590 Sh Intesa Sanpaolo - RNC	01 20 2010	12 08 2010	8,542 12	12,194 23	-3,652 11
1790 Sh Intesa Sanpaolo - RNC	01 20 2010	12 09 2010	4,438 21	6,080 13	-1,641 92
2980 Sh Intesa Sanpaolo - RNC	01 20 2010	12 10 2010	7,331 53	10,122 24	-2,790 71
150 Sh Lab Corp of America Holdings	05 08 2009	04 28 2010	11,609 81	9,821 92	1,787 89
130 Sh Lab Corp of America Holdings	06 01 2009	05 18 2010	10,042 69	8,053 45	1,989 24
1490 Sh Microsoft Corp	06 14 2010	11 26 2010	37,502 66	38,381 21	-878 55
430 Sh Morgan Stanley	08 07 2009	04 28 2010	12,994 38	13,541 73	-547 35
40 Sh Morgan Stanley	08 07 2009	05 26 2010	1,069 47	1,259 70	-190 23
510 Sh Nestle S A Registered Shares	06 02 2009	04 21 2010	24,704 09	18,819 30	5,884 79
290 Sh Nobel Biocare Holding AG	02 03 2010	04 28 2010	6,689 71	8,957 14	-2,267 43
30 Sh Nobel Biocare Holding AG	02 03 2010	05 26 2010	542 42	926 60	-384 18
1060 Sh Nobel Biocare Holding AG	02 03 2010	10 29 2010	17,478 47	32,739 90	-15,261 43
1520 Sh Nobel Biocare Holding AG	02 02 2010	11 01 2010	24,733 15	46,825 67	-22,092 52
870 Sh Nobel Biocare Holding AG	02 03 2010	11 01 2010	14,156 47	26,871 42	-12,714 95

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Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
300 Sh Nobel Biocare Holding AG	02/02/2010	11/02/2010	4,857.90	9,241.91	-4,384.01
1270 Sh Nobel Biocare Holding AG	02/04/2010	11/02/2010	20,565.12	38,610.51	-18,045.39
580 Sh Noble Energy, Inc	07/29/2009	03/15/2010	42,367.19	32,556.33	9,810.86
1220 Sh Noble Energy, Inc	07/30/2009	03/15/2010	89,117.18	72,910.99	16,206.19
710 Sh Noble Energy, Inc	07/29/2009	03/16/2010	52,287.43	39,853.43	12,434.00
470 Sh NYSE Euronext	12/23/2009	04/28/2010	15,467.43	12,118.53	3,348.90
40 Sh NYSE Euronext	12/23/2009	05/26/2010	1,128.38	1,031.36	97.02
510 Sh NYSE Euronext	12/23/2009	11/26/2010	14,310.36	13,149.89	1,160.47
20 Sh Oceaneering International, Inc	05/18/2010	05/26/2010	1,075.03	1,137.58	-62.55
110 Sh Oceaneering International, Inc	05/18/2010	11/26/2010	7,713.07	6,256.69	1,456.38
800 Sh OGX Petroleo E Gas Participaoe	12/29/2009	04/28/2010	7,972.28	7,950.05	22.23
100 Sh OGX Petroleo E Gas Participaoe	12/29/2009	05/26/2010	870.82	993.76	-122.94
200 Sh OGX Petroleo E Gas Participaoe	12/30/2009	11/26/2010	2,378.21	1,943.61	434.60
800 Sh OGX Petroleo E Gas Participaoe	12/29/2009	11/26/2010	9,512.85	7,950.04	1,562.81
1220 Sh Pfizer Inc	11/01/2010	11/26/2010	20,215.06	21,669.27	-1,454.21
30 Sh Philippine Long Dist Tele Co	09/20/2010	11/26/2010	1,625.76	1,734.09	-108.33
90 Sh Philippine Long Dist Tele Co	09/21/2010	11/26/2010	4,877.29	5,271.01	-393.72
460 Sh Qualcomm Inc	02/09/2010	04/28/2010	17,580.90	17,447.06	133.84
40 Sh Qualcomm Inc	02/09/2010	05/26/2010	1,436.38	1,517.14	-80.76
490 Sh Qualcomm Inc	02/09/2010	11/26/2010	23,397.10	18,584.92	4,812.18
350 Sh Rogers Communications -CI B	07/02/2009	05/10/2010	12,274.75	9,134.23	3,140.52
750 Sh SKF AB - B shs	02/03/2010	04/28/2010	15,088.46	12,058.26	3,030.20
80 Sh SKF AB - B shs	02/03/2010	05/26/2010	1,348.10	1,286.21	61.89
810 Sh SKF AB - B shs	02/03/2010	11/26/2010	21,394.55	13,022.92	8,371.63
390 Sh State Street Corp	10/29/2009	04/28/2010	16,839.91	16,968.35	-128.44
50 Sh State Street Corp	10/29/2009	05/26/2010	1,947.97	2,175.43	-227.46
500 Sh Sumeo Corporation	01/15/2010	04/28/2010	10,559.02	10,687.41	-128.39
500 Sh Sumeo Corporation	01/15/2010	11/26/2010	8,125.29	10,687.42	-2,562.13
930 Sh SwedBank AB	12/03/2009	04/28/2010	9,351.67	9,849.07	-497.40
110 Sh SwedBank AB	12/03/2009	05/26/2010	960.25	1,164.94	-204.69
1040 Sh SwedBank AB	12/03/2009	11/26/2010	13,291.88	11,014.01	2,277.87
140 Sh The Travelers Companies, Inc	07/01/2009	04/28/2010	7,120.52	5,742.80	1,377.72
360 Sh Thermo Fisher Scientific Inc	08/26/2010	11/26/2010	18,507.28	15,651.04	2,856.24
230 Sh Toronto-Dominion Bank	12/02/2009	04/28/2010	17,157.71	14,833.09	2,324.62
30 Sh Toronto-Dominion Bank	12/02/2009	05/26/2010	2,059.46	1,934.75	124.71
260 Sh Toronto-Dominion Bank	12/02/2009	11/26/2010	19,049.38	16,767.84	2,281.54
290 Sh Tullow Oil plc	10/16/2009	04/28/2010	5,147.12	5,932.84	-785.72
30 Sh Tullow Oil plc	10/16/2009	05/26/2010	458.74	613.74	-155.00
200000 Sh US Treasury N B 3 5% 5 15 20	08/12/2010	10/22/2010	216,726.56	213,401.99	3,324.57
370 Sh Vodafone Group PLC - SP ADR	04/29/2009	04/28/2010	8,080.66	6,883.59	1,197.07
360 Sh Vodafone Group PLC - SP ADR	06/01/2009	04/28/2010	7,862.27	6,943.21	919.06

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Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
120 Sh Vodafone Group PLC - SP ADR	05 10 2010	05 26 2010	2,325 56	2,513 33	-187 77
1190 Sh Wellpoint Inc	08 06 2009	02 09 2010	72,681 54	60,099 16	12,582 38
1490 Sh Wellpoint Inc	07 20 2009	04 20 2010	89,320 11	74,198 57	15,121 54
430 Sh Wellpoint Inc	08 06 2009	04 20 2010	25,776 95	21,716 51	4,060 44
220 Sh Wellpoint Inc	07 20 2009	04 28 2010	12,220 79	10,955 49	1,265 30
20 Sh Wellpoint Inc	07 20 2009	05 26 2010	1,027 98	995 95	32 03
320 Sh Wellpoint Inc	11 04 2010	11 26 2010	18,450 89	17,897 28	553 61
3700 Sh Wynn Macau, Limited	10 11 2010	11 26 2010	7,520 39	7,605 48	-85 09
300 Sh Yum! Brands, Inc	07 01 2009	04 28 2010	12,839 78	10,445 79	2,393 99
180 Sh Zimmer Holdings, Inc	03 31 2009	02 04 2010	10,116 12	6,580 80	3,535 32
340 Sh Zimmer Holdings, Inc	06 01 2009	02 04 2010	19,108 24	15,379 56	3,728 68
360 Sh Zimmer Holdings, Inc	07 01 2009	02 04 2010	20,232 25	15,274 33	4,957 92
Regular Gain/Loss Totals:					179,225.11
Account Totals:			3,383,007 03	3,203,781 92	179,225 11

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From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
172000 Sh 5500 Fund (LP)	11 30 1999	05 03 2010	172,000 00	172,000 00	0 00
233750 Sh 5500 Fund (LP)	11 30 1999	12 01 2010	233,750 00	233,750 00	0 00
250 Sh Advance Auto Parts, Inc	11 04 2009	11 26 2010	16,762 21	9,581 43	7,180 78
140 Sh Alexion Pharmaceuticals, Inc	10 16 2009	11 26 2010	10,569 82	6,440 53	4,129 29
760 Sh Ameriprise Financial, Inc	10 02 2009	11 26 2010	39,242 01	28,050 92	11,191 09
1260 Sh Ameriprise Financial, Inc	09 30 2009	11 26 2010	65,059 13	46,162 37	18,896 76
1110 Sh Amgen Inc	03 13 2009	11 03 2010	63,630 01	56,090 74	7,539 27
130 Sh Amgen Inc	07 01 2009	11 03 2010	7,452 16	6,939 40	512 76
1370 Sh Amgen Inc	10 22 2009	11 03 2010	78,534 33	77,759 01	775 32
120 Sh Amgen Inc	03 13 2009	11 26 2010	6,419 89	6,063 86	356 03
390 Sh Amgen Inc	03 13 2009	12 02 2010	20,879 08	19,707 56	1,171 52
1100 Sh Amgen Inc	03 13 2009	12 03 2010	59,084 40	55,585 42	3,498 98
60 Sh Amgen Inc	03 31 2009	12 03 2010	3,222 79	3,028 80	193 99
140 Sh Amgen Inc	06 01 2009	12 03 2010	7,519 83	7,032 00	487 83
1910 Sh Anglo American PLC	06 11 2009	07 02 2010	66,968 10	56,999 20	9,968 90
1270 Sh Anglo American PLC	06 11 2009	07 06 2010	45,064 05	37,899 99	7,164 06
640 Sh Anglo American PLC	06 11 2009	07 07 2010	22,440 15	19,099 21	3,340 94
5000 Sh Anglo American PLC	06 11 2009	07 20 2010	178,279 68	149,212 56	29,067 12
620 Sh Annaly Mortgage Management Inc	04 18 2008	04 28 2010	10,577 02	10,596 61	-19 59
70 Sh Annaly Mortgage Management Inc	04 18 2008	05 26 2010	1,157 78	1,196 39	-38 61
680 Sh Annaly Mortgage Management Inc	04 18 2008	11 26 2010	12,246 59	11,622 08	624 51
150 Sh Apache Corporation	08 14 2007	04 28 2010	15,964 22	12,099 48	3,864 74
19080 Sh ARM Holding plc	06 05 2009	06 11 2010	79,201 39	33,150 63	46,050 76
4720 Sh ARM Holding plc	06 08 2009	06 11 2010	19,592 80	8,226 87	11,365 93
2680 Sh ARM Holding plc	06 09 2009	06 11 2010	11,124 72	4,741 43	6,383 29
5190 Sh ARM Holding plc	06 04 2009	06 14 2010	22,399 04	8,845 24	13,553 80
3370 Sh ARM Holding plc	06 05 2009	06 14 2010	14,544 27	5,855 22	8,689 05
10750 Sh ARM Holding plc	06 04 2009	06 15 2010	46,331 73	18,321 07	28,010 66
580 Sh Avery Dennison Corp	07 29 2009	11 26 2010	22,055 17	17,190 50	4,864 67
1430 Sh Bank of America Corporation	08 28 2009	11 26 2010	15,929 93	25,716 12	-9,786 19
340 Sh BioMarin Pharmaceutical Inc	10 03 2008	04 28 2010	7,945 66	8,744 80	-799 14
40 Sh BioMarin Pharmaceutical Inc	10 03 2008	05 26 2010	746 79	1,028 80	-282 01
380 Sh BioMarin Pharmaceutical Inc	10 03 2008	11 26 2010	10,347 22	9,773 60	573 62
360 Sh BNP Paribas	05 08 2009	11 26 2010	23,532 10	23,071 51	460 59
838 9655 Sh Boskalis Westminster - CVA	05 16 2007	02 02 2010	30,342 21	29,672 51	669 70
111 0345 Sh Boskalis Westminster - CVA	05 25 2007	02 02 2010	4,015 70	3,934 05	81 65
258 9655 Sh Boskalis Westminster - CVA	05 15 2007	02 03 2010	9,354 42	9,046 16	308 26
151 0345 Sh Boskalis Westminster - CVA	05 16 2007	02 03 2010	5,455 71	5,341 79	113 92
330 Sh Boskalis Westminster - CVA	05 21 2007	02 03 2010	11,920 35	11,649 40	270 95
150 Sh Boskalis Westminster - CVA	05 15 2007	02 04 2010	5,297 14	5,239 79	57 35
330 Sh Boskalis Westminster - CVA	05 15 2007	04 28 2010	14,156 05	11,527 53	2,628 52

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From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
40 Sh Boskalis Westminster - CVA	05 15 2007	05 26 2010	1,439 84	1,397 28	42 56
360 Sh Boskalis Westminster - CVA	05 15 2007	11 26 2010	15,976 54	12,575 47	3,401 07
325000 Sh Bottling Group LLC Notes, 5 0%	03 31 2004	04 26 2010	354,250 00	330,800 01	23,449 99
90 Sh BP PLC - Spons ADR	11 12 2001	04 28 2010	5,144 31	4,374 46	769 85
470 Sh BP PLC - Spons ADR	04 01 2004	04 28 2010	26,864 74	23,915 67	2,949 07
6910 Sh BP PLC - Spons ADR	11 12 2001	05 28 2010	297,751 01	335,861 24	-38,110 23
1500 Sh BP PLC - Spons ADR	11 04 2002	05 28 2010	64,634 80	59,235 00	5,399 80
260 Sh BP PLC - Spons ADR	03 31 2009	05 28 2010	11,203 37	10,457 20	746 17
1130 Sh Capital One Financial Corp	08 10 2009	08 24 2010	42,405 36	38,839 34	3,566 02
3490 Sh Capital One Financial Corp	05 29 2009	08 25 2010	128,799 41	82,043 96	46,755 45
150 Sh Capital One Financial Corp	08 10 2009	08 25 2010	5,535 79	5,155 67	380 12
4560 Sh Capital One Financial Corp	05 29 2009	10 07 2010	179,301 64	107,197 85	72,103 79
210 Sh Capital One Financial Corp	07 01 2009	10 07 2010	8,257 31	4,664 10	3,593 21
5050 Sh Centrica plc	12 23 2008	04 28 2010	22,933 93	19,444 83	3,489 10
80 Sh Centrica plc	12 23 2008	05 26 2010	307 14	308 04	-0 90
500 Sh Centrica plc	12 30 2008	05 26 2010	1,919 64	1,916 19	3 45
1530 Sh Centrica plc	12 29 2008	11 26 2010	7,695 22	5,816 91	1,878 31
4080 Sh Centrica plc	12 30 2008	11 26 2010	20,520 58	15,636 11	4,884 47
10 Sh Chevron Corporation	07 02 2003	04 28 2010	805 59	359 90	445 69
40 Sh Chevron Corporation	07 02 2003	05 26 2010	2,921 95	1,439 60	1,482 35
330 Sh Chevron Corporation	07 02 2003	11 26 2010	27,171 73	11,876 70	15,295 03
100 Sh China Mobile (Hong Kong) Limit	03 31 2009	04 28 2010	5,003 91	4,357 00	646 91
30 Sh China Mobile (Hong Kong) Limit	10 29 2008	05 26 2010	1,402 63	1,222 87	179 76
250 Sh China Mobile (Hong Kong) Limit	10 29 2008	11 26 2010	12,542 28	10,190 57	2,351 71
680 Sh Cisco Systems, Inc	03 18 2005	04 28 2010	18,407 29	12,174 72	6,232 57
600 Sh Cisco Systems, Inc	03 21 2005	04 28 2010	16,241 72	10,767 84	5,473 88
140 Sh Cisco Systems, Inc	03 18 2005	05 26 2010	3,294 14	2,506 56	787 58
1120 Sh Cisco Systems, Inc	06 01 2009	11 26 2010	21,794 83	21,358 18	436 65
290 Sh Cisco Systems, Inc	07 01 2009	11 26 2010	5,643 31	5,479 69	163 62
1510 Sh Comcast Corporation - CL A	12 10 2007	04 28 2010	28,644 21	27,840 93	803 28
9790 Sh Comcast Corporation - CL A	12 10 2007	05 03 2010	197,638 15	180,505 07	17,133 08
110 Sh Comcast Corporation - CL A	12 10 2007	05 26 2010	1,989 87	2,028 15	-38 28
1060 Sh Comcast Corporation - CL A	12 10 2007	11 26 2010	21,422 23	19,543 96	1,878 27
300 Sh Continental Resources, Inc	07 08 2009	11 26 2010	16,052 30	7,073 34	8,978 96
370 Sh Covidien Limited	11 25 2008	04 28 2010	17,423 00	13,704 95	3,718 05
50 Sh Covidien Limited	11 25 2008	05 26 2010	2,124 96	1,852 02	272 94
410 Sh Covidien Limited	11 25 2008	11 26 2010	17,391 90	15,186 56	2,205 34
320 Sh Credit Suisse Group	06 20 2005	04 28 2010	14,692 93	12,799 34	1,893 59
50 Sh Credit Suisse Group	06 20 2005	05 26 2010	1,937 52	1,999 90	-62 38
360 Sh Credit Suisse Group	06 20 2005	11 26 2010	13,893 10	14,399 26	-506 16
950 Sh Genzyme Corporation	03 13 2009	04 20 2010	50,692 48	53,548 18	-2,855 70

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From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
980 Sh Genzyme Corporation	03 16 2009	04 20 2010	52,293 29	55,631 66	-3,338 37
330 Sh Genzyme Corporation	03 13 2009	04 21 2010	18,031 36	18,600 94	-569 58
310 Sh Genzyme Corporation	07 22 2009	08 10 2010	20,951 12	16,084 41	4,866 71
1290 Sh Genzyme Corporation	07 22 2009	08 12 2010	84,897 59	66,931 90	17,965 69
30 Sh Genzyme Corporation	07 22 2009	10 27 2010	2,163 26	1,556 56	606 70
720 Sh Genzyme Corporation	08 06 2009	10 27 2010	51,918 32	35,318 02	16,600 30
750 Sh Genzyme Corporation	08 07 2009	10 27 2010	54,081 58	36,054 97	18,026 61
157 14 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	01 01 2010	157 14	158 46	-1 32
158 06 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	02 01 2010	158 06	159 37	-1 31
158 97 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	03 01 2010	158 97	160 28	-1 31
159 91 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	04 01 2010	159 91	161 21	-1 30
161 88 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	05 01 2010	161 88	163 19	-1 31
162 88 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	06 01 2010	162 88	164 18	-1 30
163 83 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	07 01 2010	163 83	165 12	-1 29
164 79 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	08 01 2010	164 79	166 07	-1 28
165 75 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	09 01 2010	165 75	167 02	-1 27
799 85 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	10 01 2010	799 85	805 91	-6 06
61 79 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	11 01 2010	61 79	62 25	-0 46
62 05 Sh GNMA Pool #550414, 6 500% o.	04 30 2001	12 01 2010	62 05	62 50	-0 45
80 Sh Goldman Sachs Group	03 13 2009	04 28 2010	12,557 38	7,816 48	4,740 90
10 Sh Goldman Sachs Group	03 13 2009	05 26 2010	1,434 88	977 06	457 82
90 Sh Goldman Sachs Group	03 13 2009	11 26 2010	14,263 85	8,793 54	5,470 31
50 Sh Google Inc - Class A	11 05 2007	04 28 2010	26,598 05	35,867 13	-9,269 08
60 Sh Google Inc - Class A	11 05 2007	11 26 2010	35,373 60	43,040 56	-7,666 96
100000 Sh Hewlett-Pack Co 5 25% 3 1 12	02 22 2007	04 26 2010	106,832 00	99,971 50	6,860 50
4160 Sh Hologic, Inc	08 17 2007	03 12 2010	77,498 99	102,632 19	-25,133 20
470 Sh Hologic, Inc	08 21 2007	03 12 2010	8,755 89	11,516 11	-2,760 22
660 Sh Hologic, Inc	08 21 2007	04 28 2010	11,926 00	16,171 55	-4,245 55
70 Sh Hologic, Inc	08 21 2007	05 26 2010	1,052 08	1,715 16	-663 08
680 Sh Hologic, Inc	08 21 2007	11 03 2010	10,846 50	16,661 60	-5,815 10
2330 Sh Hologic, Inc	05 01 2008	11 03 2010	37,165 20	53,249 82	-16,084 62
450 Sh Hologic, Inc	05 02 2008	11 03 2010	7,177 83	10,315 94	-3,138 11
3080 Sh Hologic, Inc	05 01 2008	11 04 2010	48,822 72	70,390 32	-21,567 60
630 Sh Hologic, Inc	05 05 2008	11 04 2010	9,986 46	13,950 78	-3,964 32
350 Sh Hologic, Inc	05 09 2008	11 04 2010	5,548 04	7,898 94	-2,350 90
270 Sh Hologic, Inc	07 01 2008	11 04 2010	4,279 91	5,853 79	-1,573 88
690 Sh Hologic, Inc	03 31 2009	11 04 2010	10,937 56	9,098 13	1,839 43
1260 Sh Hologic, Inc	06 01 2009	11 04 2010	19,972 93	16,383 91	3,589 02
1400 Sh Hologic, Inc	07 01 2009	11 04 2010	22,192 14	20,006 00	2,186 14
1290 Sh Honeywell Inc	12 20 2005	04 13 2010	58,809 20	49,382 23	9,426 97
570 Sh Honeywell Inc	12 20 2005	04 14 2010	26,245 03	21,820 06	4,424 97

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Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
730 Sh Honeywell Inc	12 20 2005	04 15 2010	33,739 15	27,944 99	5,794 16
490 Sh Honeywell Inc	12 19 2005	04 28 2010	23,137 40	18,571 29	4,566 11
80 Sh Honeywell Inc	12 20 2005	04 28 2010	3,777 54	3,062 46	715 08
70 Sh Honeywell Inc	12 19 2005	05 26 2010	2,980 55	2,653 04	327 51
620 Sh Honeywell Inc	12 19 2005	11 26 2010	30,912 67	23,498 37	7,414 30
1050 Sh Int'l Business Machines Corp	07 18 2006	03 15 2010	133,830 25	77,444 96	56,385 29
930 Sh Int'l Business Machines Corp	07 17 2006	04 27 2010	120,302 67	68,430 79	51,871 88
2920 Sh Int'l Business Machines Corp	07 18 2006	04 27 2010	377,724 52	215,370 73	162,353 79
150 Sh Int'l Business Machines Corp	03 31 2009	04 27 2010	19,403 66	14,374 50	5,029 16
330 Sh Intel Corp	12 19 2008	04 28 2010	7,705 36	4,830 80	2,874 56
280 Sh Intel Corp	03 31 2009	04 28 2010	6,537 89	4,226 40	2,311 49
70 Sh Intel Corp	12 19 2008	05 26 2010	1,493 07	1,024 72	468 35
230 Sh Intel Corp	12 19 2008	11 26 2010	4,873 34	3,366 92	1,506 42
450 Sh Intel Corp	06 01 2009	11 26 2010	9,534 80	7,231 19	2,303 61
100 Sh InterContinental Hotels Group	05 12 2009	05 26 2010	1,543 71	1,048 92	494 79
960 Sh InterContinental Hotels Group	05 12 2009	11 26 2010	17,262 88	10,069 67	7,193 21
700 Sh Johnson Matthey PLC	11 02 2006	04 28 2010	18,100 01	19,249 07	-1,149 06
70 Sh Johnson Matthey PLC	11 02 2006	05 26 2010	1,493 56	1,924 91	-431 35
630 Sh Johnson Matthey PLC	11 02 2006	11 26 2010	18,426 24	17,324 16	1,102 08
430 Sh Lab Corp of America Holdings	05 07 2009	05 18 2010	33,218 15	27,881 11	5,337 04
2000 Sh Lab Corp of America Holdings	05 08 2009	05 18 2010	154,502 98	130,959 00	23,543 98
100 Sh Mastercard Inc - Class A	09 30 2008	04 28 2010	25,606 56	17,895 18	7,711 38
20 Sh Mastercard Inc - Class A	09 30 2008	05 26 2010	4,221 13	3,579 04	642 09
110 Sh Mastercard Inc - Class A	09 30 2008	11 26 2010	25,958 46	19,684 70	6,273 76
430 Sh Microsoft Corp	05 18 2004	03 17 2010	12,746 37	11,138 63	1,607 74
1300 Sh Microsoft Corp	08 07 2007	03 17 2010	38,535 54	38,315 02	220 52
370 Sh Microsoft Corp	02 06 2008	03 17 2010	10,967 81	10,760 23	207 58
1100 Sh Microsoft Corp	07 01 2008	03 17 2010	32,606 99	30,023 73	2,583 26
1060 Sh Microsoft Corp	05 18 2004	04 28 2010	32,700 45	27,458 03	5,242 42
130 Sh Microsoft Corp	05 18 2004	05 26 2010	3,415 04	3,367 49	47 55
470 Sh Morgan Stanley	08 07 2009	11 26 2010	11,665 20	14,801 43	-3,136 23
4290 Sh Nestle S A Registered Shares	12 16 2008	04 20 2010	209,383 82	158,437 23	50,946 59
3510 Sh Nestle S A Registered Shares	12 16 2008	04 21 2010	170,022 25	129,630 46	40,391 79
270 Sh Nestle S A Registered Shares	03 31 2009	04 21 2010	13,078 63	9,001 91	4,076 72
320 Sh Newmont Mining Corporation	12 01 2006	04 28 2010	17,074 91	15,014 66	2,060 25
50 Sh Newmont Mining Corporation	12 01 2006	05 26 2010	2,706 45	2,346 04	360 41
190 Sh Newmont Mining Corporation	12 01 2006	11 26 2010	11,187 01	8,914 95	2,272 06
170 Sh Newmont Mining Corporation	06 01 2009	11 26 2010	10,009 43	8,260 21	1,749 22
590 Sh Novartis AG - ADR	02 01 2006	04 28 2010	30,148 49	32,821 28	-2,672 79
70 Sh Novartis AG - ADR	02 01 2006	05 26 2010	3,109 44	3,894 05	-784 61
260 Sh Novartis AG - ADR	02 01 2006	11 26 2010	14,234 76	14,463 62	-228 86

Run Date 10 31 2011 03 38 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
390 Sh Novartis AG - ADR	08/09/2007	11/26/2010	21,352.13	21,579.99	-227.86
500000 Sh Pepeo Holdings Inc	10/11/2005	07/02/2010	556,730.00	511,296.61	45,433.39
430 Sh PepsiCo, Inc	12/17/2008	04/28/2010	27,571.13	23,437.92	4,133.21
40 Sh PepsiCo, Inc	12/17/2008	05/26/2010	2,515.16	2,180.27	334.89
470 Sh PepsiCo, Inc	12/17/2008	11/26/2010	30,192.29	25,618.20	4,574.09
1101 Sh Republic Services Inc Cl A	04/13/2007	02/02/2010	29,637.99	30,465.44	-827.45
3630 Sh Republic Services Inc Cl A	04/16/2007	02/02/2010	97,716.54	101,963.43	-4,246.89
419 Sh Republic Services Inc Cl A	04/18/2007	02/02/2010	11,279.13	11,833.73	-554.60
1990 Sh Republic Services Inc Cl A	04/13/2007	04/13/2010	59,397.31	55,064.69	4,332.62
890 Sh Republic Services Inc Cl A	04/13/2007	04/14/2010	26,547.00	24,626.93	1,920.07
1121 Sh Republic Services Inc Cl A	04/12/2007	04/15/2010	34,237.34	30,725.27	3,512.07
19 Sh Republic Services Inc Cl A	04/13/2007	04/15/2010	580.29	525.74	54.55
550 Sh Republic Services Inc Cl A	04/12/2007	04/28/2010	16,373.23	15,074.84	1,298.39
60 Sh Republic Services Inc Cl A	04/12/2007	05/26/2010	1,712.97	1,644.53	68.44
590 Sh Republic Services Inc Cl A	04/12/2007	11/26/2010	16,673.11	16,171.19	501.92
730 Sh Republic Services Inc Cl A	04/10/2007	12/02/2010	21,085.62	19,930.83	1,154.79
990 Sh Republic Services Inc Cl A	04/12/2007	12/02/2010	28,595.57	27,134.71	1,460.86
390 Sh Republic Services Inc Cl A	04/10/2007	12/03/2010	11,161.49	10,647.97	513.52
1490 Sh Republic Services Inc Cl A	04/11/2007	12/03/2010	42,642.63	40,673.43	1,969.20
360 Sh Rogers Communications -Cl B	10/30/2008	04/28/2010	12,961.81	10,101.48	2,860.33
1200 Sh Rogers Communications -Cl B	10/29/2008	05/07/2010	41,418.69	31,641.50	9,777.19
1700 Sh Rogers Communications -Cl B	10/30/2008	05/07/2010	58,676.47	47,701.43	10,975.04
2960 Sh Rogers Communications -Cl B	10/29/2008	05/10/2010	103,809.30	78,049.05	25,760.25
170 Sh Rogers Communications -Cl B	03/31/2009	05/10/2010	5,962.02	4,048.65	1,913.37
210 Sh Royal Dutch Shell PLC-ADR A	01/05/2009	04/28/2010	13,135.28	11,536.43	1,598.85
30 Sh Royal Dutch Shell PLC-ADR A	01/05/2009	05/26/2010	1,542.27	1,648.06	-105.79
370 Sh Royal Dutch Shell PLC-ADR A	01/05/2009	11/26/2010	22,928.51	20,326.10	2,602.41
100 Sh Schneider Electric SA	04/04/2003	04/28/2010	11,140.56	4,700.73	6,439.83
60 Sh Schneider Electric SA	03/31/2009	04/28/2010	6,684.34	3,918.53	2,765.81
20 Sh Schneider Electric SA	04/04/2003	05/26/2010	1,919.63	940.15	979.48
30 Sh Schneider Electric SA	06/01/2009	11/26/2010	4,284.90	2,283.99	2,000.91
150 Sh Schneider Electric SA	07/01/2009	11/26/2010	21,424.47	11,703.83	9,720.64
900 Sh Smiths Group plc	08/18/2004	04/28/2010	15,106.82	17,289.57	-2,182.75
100 Sh Smiths Group plc	08/18/2004	05/26/2010	1,481.61	1,921.06	-439.45
1000 Sh Smiths Group plc	08/18/2004	11/26/2010	18,121.99	19,210.63	-1,088.64
440 Sh State Street Corp	10/07/2008	11/26/2010	19,069.28	19,293.96	-224.68
2250 Sh The Travelers Companies, Inc	03/13/2009	04/27/2010	114,280.97	83,887.20	30,393.77
110 Sh The Travelers Companies, Inc	03/31/2009	04/27/2010	5,587.07	4,328.50	1,258.57
2450 Sh The Travelers Companies, Inc	03/13/2009	04/28/2010	124,609.05	91,343.84	33,265.21
130000 Sh Time Warner Nt. 4 15 11	02/18/2004	03/11/2010	138,612.50	132,909.43	5,703.07
320 Sh Tullow Oil plc	10/16/2009	11/26/2010	5,924.91	6,546.58	-621.67

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Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
100000 Sh U S Treas Infl Indx Bnd	11 09 2007	01 15 2010	128,530 00	100,000 00	28,530 00
60000 Sh US Treasury N B. 4 25%	10 08 2008	03 29 2010	64,640 63	62,701 48	1,939 15
50000 Sh US Treasury N B. 4 25%	10 08 2008	04 26 2010	53,900 39	52,221 54	1,678 85
1300 Sh Vodafone Group PLC - SP ADR	04 29 2009	11 26 2010	33,630 43	24,185 59	9,444 84
520 Sh Wal-Mart Stores, Inc	07 19 2002	04 28 2010	28,069 13	24,571 46	3,497 67
1390 Sh Wal-Mart Stores, Inc	07 19 2002	05 11 2010	73,124 30	65,681 39	7,442 91
550 Sh Wal-Mart Stores, Inc	07 19 2002	05 12 2010	28,688 61	25,989 04	2,699 57
40 Sh Wal-Mart Stores, Inc	07 19 2002	05 26 2010	2,017 36	1,890 11	127 25
460 Sh Wal-Mart Stores, Inc	07 01 2009	11 26 2010	24,687 78	22,305 40	2,382 38
180 Sh Walgreen Co	02 03 2009	04 28 2010	6,283 69	4,823 69	1,460 00
50 Sh Walgreen Co	03 16 2009	04 28 2010	1,745 47	1,212 06	533 41
350 Sh Walgreen Co	03 31 2009	04 28 2010	12,218 29	9,138 11	3,080 18
60 Sh Walgreen Co	03 16 2009	05 26 2010	1,973 37	1,454 48	518 89
1190 Sh Walgreen Co	03 16 2009	08 24 2010	33,345 38	28,847 15	4,498 23
1270 Sh Walgreen Co	12 01 2008	08 25 2010	34,971 91	30,249 87	4,722 04
2550 Sh Walgreen Co	03 13 2009	08 25 2010	70,219 18	60,854 22	9,364 96
40 Sh Walgreen Co	03 16 2009	08 25 2010	1,101 48	969 65	131 83
300 Sh Walgreen Co	12 01 2008	10 27 2010	10,125 73	7,145 64	2,980 09
1821 Sh Walgreen Co	12 02 2008	10 27 2010	61,463 16	43,057 00	18,406 16
2929 Sh Walgreen Co	12 02 2008	10 29 2010	99,323 64	69,255 33	30,068 31
1590 Sh Wells Fargo Company	03 13 2009	03 15 2010	46,933 50	22,376 39	24,557 11
630 Sh Wells Fargo Company	03 16 2009	04 28 2010	20,165 96	9,217 84	10,948 12
70 Sh Wells Fargo Company	03 16 2009	05 26 2010	2,006 17	1,024 21	981 96
380 Sh Wells Fargo Company	03 16 2009	11 26 2010	10,195 23	5,559 97	4,635 26
300 Sh Wells Fargo Company	03 20 2009	11 26 2010	8,048 86	4,288 02	3,760 84
70000 Sh Yum! Brands 6 25% 4 15 16	10 18 2006	05 25 2010	79,001 30	71,033 77	7,967 53
70 Sh Yum! Brands, Inc	10 09 2008	04 28 2010	2,995 95	1,993 58	1,002 37
220 Sh Yum! Brands, Inc	03 31 2009	04 28 2010	9,415 84	6,116 00	3,299 84
1300 Sh Yum! Brands, Inc	10 10 2008	05 11 2010	54,627 16	33,980 97	20,646 19
50 Sh Yum! Brands, Inc	03 31 2009	05 11 2010	2,101 04	1,390 00	711 04
760 Sh Yum! Brands, Inc	02 13 2006	05 12 2010	31,860 41	19,007 49	12,852 92
560 Sh Yum! Brands, Inc	10 10 2008	05 12 2010	23,476 09	14,637 96	8,838 13
50 Sh Yum! Brands, Inc	02 13 2006	05 26 2010	2,013 97	1,250 49	763 48
480 Sh Yum! Brands, Inc	02 13 2006	11 26 2010	24,095 59	12,004 73	12,090 86
1980 Sh Zimmer Holdings, Inc	01 08 2008	02 02 2010	114,476 40	136,246 38	-21,769 98
360 Sh Zimmer Holdings, Inc	09 22 2008	02 02 2010	20,813 89	24,438 78	-3,624 89
160 Sh Zimmer Holdings, Inc	09 23 2008	02 02 2010	9,250 62	10,835 14	-1,584 52
20 Sh Zimmer Holdings, Inc	07 01 2008	02 03 2010	1,147 38	1,349 56	-202 18
1190 Sh Zimmer Holdings, Inc	09 23 2008	02 03 2010	68,268 95	80,586 32	-12,317 37
300 Sh Zimmer Holdings, Inc	09 24 2008	02 03 2010	17,210 66	20,273 43	-3,062 77
150 Sh Zimmer Holdings, Inc	07 01 2008	02 04 2010	8,430 10	10,121 68	-1,691 58

Run Date 10 31 2011 03 38 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Regular Gain/Loss Totals:					1,284,891.24
Account Totals:			8,841,335.49	7,556,444.25	1,284,891.24

Last Page of Report

Run Date 10/31/2011 03:38 PM

Additional Data

Software ID:

Software Version:

EIN: 13-3212724

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
A	CAPITAL GAIN DISTRIBUTIONS	P		
B	SEE ATTACHED - S/T	P		
C	SEE ATTACHED - L/T	P		
D	GAIN ON LITIGATION	P		
E	F/X LOSS	P		
F	5500 FUND	P		
G	NEW CENTURY HOLDINGS XI	P		
H	ROCKEFELLER ACCESS FUND 06-11	P		
I	ROCKEFELLER ALT STRATEGIES QP	P		
J	ROCKEFELLER GA INVESTOR 2006	P		
K	VENTURE OPPORTUNITES FUND LP	P		
L	VENROCK PARTNERS V LP	P		
M	5500 FUND	P		
N	NEW CENTURY HOLDINGS XI	P		
O	ROCKFELLER ACCESS FUND 06-11	P		
P	ROCKEFELLER ALT STRATEGIES QP	P		
Q	ROCKEFELLER GA INVESTOR 2006	P		
R	VENTURE OPPORTUNITIES FUND LP	P		
S	VENROCK PARTNERS V , LP	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
A	1,592			1,592
B	3,383,007		3,203,782	179,225
C	8,435,585		7,150,694	1,284,891
D	36,296			36,296
E			2,820	-2,820
F	15,018			15,018
G	11			11
H	2,911			2,911
I			14,251	-14,251
J	4,635			4,635
K	6,847			6,847
L			56	-56
M	195,348			195,348
N	10			10
O	5,549			5,549
P			37,859	-37,859
Q	140,234			140,234
R	86,222			86,222
S			2,166	-2,166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
A				1,592
B				179,225
C				1,284,891
D				36,296
E				-2,820
F				15,018
G				11
H				2,911
I				-14,251
J				4,635
K				6,847
L				-56
M				195,348
N				10
O				5,549
P				-37,859
Q				140,234
R				86,222
S				-2,166

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2010 Depreciation Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA
EIN: 13-3212724

**TY 2010 Investments Corporate
Bonds Schedule**

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA
EIN: 13-3212724

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED LIST	5,954,574	6,320,037

**TY 2010 Investments Corporate
Stock Schedule**

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA
EIN: 13-3212724

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	17,116,755	21,658,529

TY 2010 Investments Government Obligations Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA

EIN: 13-3212724

**US Government Securities - End of
Year Book Value:**

1,986,011

**US Government Securities - End of
Year Fair Market Value:**

2,271,150

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2010 Investments - Other Schedule**Name:** TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5500 FUND		1,375,760	3,158,862
ROCKEFELLER ALTERNATIVE STRATE		113,397	156,511
ROCKEFELLER VENTURE OPPORTUNIT		1,253,173	1,766,872
NEW CENTURY HOLDINGS XI, LP		712,951	702,457
ROCKEFELLER ACCESS FUND 06-II		318,260	343,014
ROCKEFELLER GA INVESTORS 2006		1,577,995	1,750,450
VENROCK PARTNERS V, LP		125,020	151,471

TY 2010 Land, Etc. Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
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TY 2010 Other Decreases Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Amount
ORIGINAL ISSUE DISCOUNT - TAX/BOOK DIFF	18,749

TY 2010 Other Expenses Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCKEFELLER TRUST COMPANY	220,604	78,982		141,622
UTILITIES	6,784			6,784
OFFICE SUPPLIES	19,687			19,687
STORAGE	1,791			1,791
TELEPHONE, FAX & POSTAGE	11,639			11,639
MEMBERSHIP, BOOKS, PERIODICALS	2,079			2,079
INSURANCE EXPENSE	11,009			11,009
FEES AND LICENSES	1,696			1,696
ANNUAL REPORT	11,079			11,079
COMPUTER EXPENSE	29,389			29,389
PANTRY/DUP/MAINTENANCE	3,183			3,183
PAYROLL SERVICES	2,689			2,689
CONSTRUCTION/REPAIRS/FURNITURE	7,112			7,112
CONSULTING FEES	100,143			100,143
SECURITY	108			108
5500 FUND	21,816	21,816		
NEW CENTURY HOLDINGS XI, LP	254	254		
ROCKEFELLER ACCESS FUND 06-11	9,390	9,128		
ROCKEFELLER ALT STRATEGIES QP	14,108	9,619		
ROCKEFELLER GA INVESTOR 2006	29,337	29,337		
VENROCK PARTNERS V	3,848	3,848		

TY 2010 Other Income Schedule**Name:** TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	46,619		
VENTURE OPPORTUNITIES FUND	20,181	20,181	

TY 2010 Other Increases Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Amount
DIVIDENDS-TAX/BOOK TIMING DIFFERENCE	1,486

TY 2010 Taxes Schedule**Name:** TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON SECURITIES	33,963	33,963		
NYC CORP TAX	250			
FEDERAL TAX PAYMENT	35,000			

Trust for Mutual Understanding (1060)**EIN 13-3212724****Balance Sheet****December 31, 2009**

Security Name	Cost	Market Value
Cash	1,230,711 57	1,230,711 57
	1,230,711.57	1,230,711.57
<u>Receivables</u>		
Dividends Receivable	29,980 77	29,980 77
Interest Receivable	108,646 76	108,646 76
MM Sweep Dividends Receivable	63 53	63 53
Advances Receivable - From Partnership	32,250 00	32,250 00
Payroll	15,000 00	15,000 00
	185,941.06	185,941.06
<u>Corporate Stock</u>		
Advanced Auto Parts, Inc	162,088 39	171,230 40
Alexion Pharmaceuticals, Inc	108,363 26	116,191 60
Ameriprise Financial, Inc	316,439 01	335,404 80
Amgen, Inc	248,099 14	265,879 00
Anadarko Petroleum Corporation	311,407 56	292,749 80
Anglo American PLC	280,221 19	411,082 60
Annaly Mortgage Management, Inc	190,995 09	197,616 50
Apache Corporation	214,571 63	277,527 30
ARM Holding plc	138,175 24	226,729 92
Avery Dennison Corp	286,522 79	356,142 40
Bank of America Corporation	414,978 96	360,235 20
BioMarin Pharmaceutical Inc	142,373 84	120,572 10
BNP Paribas	263,750 99	329,630 27
Boskalis Westminster - CVA	249,973 21	304,268 35
BP PLC - Spons ADR	484,478 60	594,192 50
Capital One Financial Corp	258,910 84	389,151 00
CareFusion Corporation	153,285 86	212,835 10
Carlsberg A/S	209,091 23	207,317 43
Centrica plc	352,452 35	425,837 56
Chevron Corporation	201,200 76	429,604 20
China Mobile (Hong Kong) Limited Sp ADR	171,077 42	193,148 80
Cisco Systems, Inc	413,061 55	565,702 20
Comcast Corporation - CL A	450,568 84	471,911 40
Compagnie Financiere Richemont AG	223,292 28	232,149 26
Continental Resources, Inc	119,311 61	217,023 40
Covidien Limited	247,130 03	331,877 70
Credit Suisse Group	241,226 36	301,628 05
Ericsson (LM) Tel - Sp ADR	122,022 91	105,868 80
FedEx Corporation	118,310 94	184,424 50
Genzyme Corporation	312,775 03	289,649 10
Goldman Sachs Group, Inc	148,513 12	256,636 80
Google Inc - Class A	576,663 97	588,981 00
Hologic, Inc	355,844 24	239,250 00
Honeywell Inc	479,932 01	505,680 00
Int'l Business Machines Corp	389,317 78	678,062 00
Intel Corp	165,846 51	230,928 00
InterContinental Hotels Group PLC	164,772 83	230,730 72
Intertek Group plc	216,409 06	224,146 95
Intesa Sanpaolo	268,872 71	352,018 68
Johnson Matthey PLC	283,048 53	262,563 10
Laboratory Corp of America Holdings	176,715 48	202,816 40
Mastercard Inc - Class A	326,262 20	478,682 60
Microsoft Corp	553,325 64	688,543 20
Morgan Stanley	247,530 26	232,656 00
Nestle SA	315,888 90	416,653 93
Newmont Mining Corporation	282,695 30	286,698 60
Noble Energy, Inc	145,320 75	178,762 20
Novartis AG - ADR	578,359 10	593,287 00
NYSE Euronext	217,540 77	215,050 00
OGX Petroleo E Gas Participacoes SA	161,946 00	169,705 14
Pepsico, Inc	412,775 70	477,280 00

Trust for Mutual Understanding (1060)**EIN 13-3212724****Balance Sheet****December 31, 2009**

Security Name	Cost	Market Value
Republic Services Inc Cl A	512,721 17	538,456 20
Rogers Communications -Cl B	180,676 34	210,168 93
Royal Dutch Shell PLC-ADR A	214,461 97	238,636 70
Schneider Electric SA	148,970 06	347,305 99
Smiths Group plc	278,996 94	273,784 09
State Street Corp	272,110 56	318,712 80
SwedBank AB	181,302 01	172,625 03
The Travelers Companies, Inc	185,302 34	246,807 00
Toronto-Dominion Bank	280,614 40	273,459 20
Tullow Oil plc	108,944 35	113,166 73
Vodafone Group PLC - SP ADR	250,814 58	311,022 30
Wal-Mart Stores, Inc	451,508 59	518,999 50
Walgreen Co	257,007 20	394,372 80
Wellpoint Inc	349,239 60	414,441 90
Wells Fargo Company	183,960 30	351,409 80
Yum! Brands, Inc	274,537 79	378,025 70
Zimmer Holdings, Inc	321,085 98	297,914 40
	18,325,991.95	21,826,022.63

Agency, Corporate, Mortgage Backed, Preferred Bonds

Avon Products 6 50% due 03/01/19	49,410 48	55,878 90
Bottling Group LLC Notes, 5 000% Due 11/15/13	331,270 56	351,416 98
ConocoPhillips 5 20% Due 5/15/18	200,533 98	209,794 00
CSX Corp Debentures 5 750% Due 03/15/13	249,593 94	270,451 50
CSX Corp Debentures 6 250% Due 4/1/15	99,953 39	110,145 10
CVS Corp, 5 75% Due 08/15/2011	51,370 84	53,243 75
Deluxe Corp, 5 125% Due 10/01/2014	122,693 80	112,812 50
Dominion Resources, 6 400% Due on 06/15/2018	99,600 71	109,232 00
Emerson Electric Co 4 875% Due on 10/15/19	126,368 77	127,772 25
Fed Farm Credit Bank 5 00% Due 2/23/23	125,000 00	119,882 81
Federal Farm Credit FFCB 3 625% Due 11/16/16	200,000 00	195,125 00
General Dynamics Corp Notes, 4 250% Due 05/15/13	496,881 18	527,130 00
General Elec Cap Corp 5 72% Due 8/22/2011	400,000 00	406,767 20
General Electric Cap Corp 6 05% Pfd Due 02/06/47, Callable 02/06/12	200,000 00	193,280 00
General Electric Capital Corp , 7 375% Due on 01/19/10	125,000 00	125,303 38
General Mills Inc Notes, 6 00% Due 02/15/12	249,174 24	261,281 59
GNMA Pool #550414, 6 500%, Due 04/15/16	7,138 20	7,614 96
Harsco Corp 5 75% Due 5/15/2018	99,907 08	98,431 20
Hewlett-Pack Co 5 25% Due 3/1/12	99,967 76	107,281 10
Hewlett-Packard Co Float% Due 3/1/2012	199,997 34	199,983 20
HSBC Finance Corp , 5 00% Due 3/15/12	130,000 00	132,313 48
Hudson United Bank Sub Notes Ser BKNT , 7 000% Due 05/15/12	615,459 99	632,065 97
IBM Corp, 6 5% Due 10/15/2013	202,105 68	228,552 00
Kellogg Co Sen Notes, 4 250% Due 03/06/13	509,557 41	524,152 50
Nassau Cnty, NY Build America Bonds Direct Pay 5 375% Due 10/01/25	97,693 38	93,754 55
Nova Scotia Province Notes , 5 750% Due 02/27/12	207,155 32	214,345 60
Old Domin Elec , 6 25% Due 06/01/2011	511,404 68	526,761 00
Pacific Gas & Electric 8 25% Due 10/15/18	98,474 50	122,104 80
Pepco Holdings Inc , 6 45% Due 08/15/2012	513,823 44	536,635 00
Statoil 5 125% Due 04/30/2014	249,284 35	271,422 75
Time Warner Inc Notes, 6 750% Due 04/15/11	133,410 61	137,735 13
Westar Energy 6 10% Pfd 5/15/47 Series Callable 05/15/2012 @ 25 00	50,000 00	50,090 00
Yum! Brands Inc, 6 25% 6 25 % Due 4/15/16	274,212 89	289,937 88
	7,126,444.52	7,402,698.08

US Government Bonds

U S Treas Infl Index Notes, 3 000%, Due 07/15/12	771,513 04	969,478 92
U S Treasury Inflation Index Bonds 4 250%, Due 01/15/10	106,636 47	128,635 58
U S Treasury Infl IX N/B, 2 3750%, Due 01/15/27	518,512 48	564,019 55
U S Treasury N/B, 4 25% Due 08/15/15	261,698 45	267,578 00
	1,658,360.44	1,929,712.05

Trust for Mutual Understanding (1060)**EIN 13-3212724****Balance Sheet****December 31, 2009**

Security Name	Cost	Market Value
<u>Partnerships</u>		
5500 Fund (LP)	1,592,960 00	2,683,911 35
Rockefeller Alternative Strategies Fund QP(LP)	421,867 00	397,016 68
New Century Holdings XI, LP (LP)	713,184 00	575,724 00
Rockefeller Access Fund 06-II, LLC (LP)	231,190 00	227,398 54
Rockefeller GA Investors 2006, LP (LP)	1,232,600 00	1,258,457 37
Rockefeller Venture Opportunities Fund, (LP)	1,367,814 00	1,778,347 57
Venrock Partners V, LP (LP)	95,090 00	91,334 50
	<u>5,654,705.00</u>	<u>7,012,190.01</u>
Grand Totals	<u>34,182,154.54</u>	<u>39,587,275.40</u>

Trust for Mutual Understanding
 EIN: 13-3212724
 Balance Sheet
 December 31, 2010

Security Name	Cost (USD)	Market Value (USD)
Cash	1,246,232.21	1,246,232.21
Total - Cash	1,246,232.21	1,246,232.21
Cash - Payroll Account	15,000.00	15,000.00
Advances Receivable	32,250.00	32,250.00
Dividends Receivable - MM Sweeps	127.10	127.10
Accrued Dividends Receivable	33,927.58	33,927.58
Accrued Interest Receivable	87,690.58	87,690.58
Total - Receivables	168,995.26	168,995.26
Human Genome Sciences Inc.	95,921.11	90,543.10
Republic Services Inc. Cl A	126,238.44	150,793.00
Bank of America Corporation	363,366.89	280,807.00
Apple Inc.	359,844.47	449,971.20
Intel Corp.	145,166.48	209,458.80
Thermo Fisher Scientific Inc.	228,607.56	292,300.80
State Street Corp.	233,672.82	298,429.60
Pfizer Inc.	313,148.92	313,078.80
Pepsico, Inc	361,539.31	451,430.30
Wal-Mart Stores, Inc.	311,071.19	364,027.50
Avery Dennison Corp.	251,845.40	363,700.60
Microsoft Corp.	529,039.73	613,182.70
Qualcomm Inc.	272,938.77	357,812.70
Baker Hughes Incorporated	247,839.45	337,874.70
Vodafone Group PLC - SP ADR	372,820.45	505,532.80
FedEx Corporation	226,266.96	333,905.90
Cisco Systems, Inc.	360,774.56	420,784.00
Credit Suisse Group	212,027.86	216,619.68
Wells Fargo Company	141,493.87	311,449.50
BNP Paribas	343,702.33	339,793.13
Morgan Stanley	217,927.40	188,293.20
Honeywell Inc.	332,999.57	481,098.00
Allstate Corporation	251,303.99	236,868.40
Anadarko Petroleum Corporation	458,338.48	575,008.00
Novartis AG - ADR	505,600.16	565,330.50
Goldman Sachs Group	130,926.04	225,334.40
Regeneron Pharmaceuticals	101,734.71	111,950.30
Apache Corporation	304,818.21	433,401.05
Schneider Electric SA	125,422.83	390,657.49
Smiths Group plc	240,575.68	286,928.34
Annaly Mortgage Management Inc	167,580.01	179,558.40
Chevron Corporation	168,010.46	448,037.50
Comerica Incorporated	204,196.89	230,208.00

Trust for Mutual Understanding
 EIN: 13-3212724
 Balance Sheet
 December 31, 2010

Security Name	Cost (USD)	Market Value (USD)
BioMarin Pharmaceutical Inc.	122,826.64	152,154.50
Centrica plc	309,330.27	428,679.87
SKF AB - B shs	190,343.26	338,577.84
Community Health Care	275,545.74	247,763.10
Newmont Mining Corporation	248,159.44	327,421.90
Hewlett-Packard Company	307,307.20	311,540.00
Yum! Brands, Inc.	173,710.78	344,331.00
Alexion Pharmaceuticals, Inc.	95,022.16	168,349.50
Johnson Matthey PLC	244,550.39	294,192.36
Comcast Corporation - CL A	220,650.73	340,974.40
Advance Auto Parts, Inc.	142,542.28	246,078.00
China Mobile (Hong Kong) Limit	149,190.02	181,609.20
Google Inc - Class A	556,665.17	567,241.35
Oceaneering International, Inc	94,419.14	122,225.80
Wellpoint Inc.	233,847.18	267,242.00
InterContinental Hotels Group	144,633.50	274,012.31
Toronto-Dominion Bank	247,078.72	285,350.40
Royal Dutch Shell PLC-ADR A	289,812.08	361,947.60
Ameriprise Financial, Inc.	223,016.08	351,055.00
Intertek Group plc	171,106.17	244,555.43
Mastercard Inc - Class A	285,103.28	367,540.40
Korea Electric Power Corp.	302,742.21	324,645.34
SwedBank AB	159,273.99	213,192.92
Tullow Oil plc	95,851.19	93,384.01
Sumco Corporation	156,169.64	111,559.09
Hertz Global Holdings Inc.	207,532.51	251,256.60
AerCap Holdings NV	158,049.42	160,403.20
NYSE Euronext	191,240.99	224,250.40
Boskalis Westminster - CVA	159,589.23	252,396.67
Intesa Sanpaolo - RNC	296,580.19	238,407.87
Continental Resources, Inc.	104,921.22	261,882.50
Covidien Limited	216,386.50	278,526.00
China High Speed Transmission	101,362.44	72,794.63
OGX Petroleo E Gas Participaoe	143,108.54	185,542.17
CareFusion Corporation	275,641.80	350,805.00
CIT Group, Inc.	148,517.27	190,284.00
Chunghwa Telecom Ltd ADR	171,613.18	221,112.50
Hyundai Mobis	163,655.20	278,258.00
China Agri-Industries Holdings	160,642.33	144,094.47
Philippine Long Dist Tele Co	95,548.38	99,641.70
Galaxy Entertainment Group Ltd	104,304.05	134,937.90

Trust for Mutual Understanding
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 Balance Sheet
 December 31, 2010

Security Name	Cost (USD)	Market Value (USD)
Wynn Macau, Limited	110,642.36	123,108.19
MSCI Inc. - A	161,761.00	171,034.40
Total - Corporate Stock	17,116,754.87	21,658,528.91
GE Cap Corp. 6.05% Pfd. 2/6/47	200,000.00	204,876.00
Westar Energy 6.10% Pfd	50,000.00	50,380.00
GNMA Pool #550414, 6.500%,	4,735.89	5,136.67
General Dynamics Corp Nt, 4.25	497,751.84	537,395.50
Hudson United Bank Sub Notes S	605,909.99	637,613.60
Nova Scotia Province Notes	203,911.22	211,495.40
General Mills, 6.00% 02/15/12	245,875.51	255,169.64
Deluxe Corp 5.15% 10/1/14	123,126.93	123,437.50
Old Domin Elec.	503,413.50	511,166.00
Statoil	247,655.97	275,378.25
CVS Corp, 5.75%	50,534.43	51,550.65
General Elec Cap Corp 5.72%	400,000.00	411,122.80
Yum! Brands 6.25% 4/15/16	202,695.66	226,136.80
Hewlett-Packard Float% 3/1/12	200,000.00	200,194.40
CSX Corp Debt 5.75% 3/15/13	249,709.02	272,583.75
FFCB 5.00% 2/23/23	125,000.00	132,080.38
HSBC Finance Corp. 5% 3/15/12	130,000.00	133,302.65
CSX Corp Debt 6.250% 4/1/15	99,960.24	113,642.80
ConocoPhillip 5.20% 5/15/18	200,481.18	221,232.40
Harsco 5.75% 5/15/2018	99,915.53	109,537.10
CVS Caremark 5.75% 06/01/17	134,118.89	139,081.25
IBM Corp, 6.5% Due 10/15/13	201,594.21	227,936.20
Pac Gas & Elec 8.25% 10/15/18	98,596.14	129,925.30
Emerson Elect 4.875% 10/15/19	126,254.83	134,843.63
Avon Products 6.50% due 3/1/19	49,458.51	58,128.80
Dominion Res, 6.4% Due 6/15/18	99,635.75	116,345.50
Kellogg Sen Nt, 4.25% 3/6/13	506,661.02	530,698.50
FFCB 3.625% 11/16/16	200,000.00	203,925.40
NY Nassau BAB 5.375% 10/01/25	97,578.07	95,720.10
Total - Fixed Income	5,954,574.33	6,320,036.97
U.S. Treas Infl Index Notes, 3	769,569.01	969,002.25
US Treasury N/B, 4.25%	145,471.83	155,017.24
US Treasury Infl 2.375%1/15/27	517,245.06	602,612.05
US Treasury N/B 4.25% 11/15/17	228,108.05	220,484.38
US Treas Infl 2.125% 01/15/19	107,935.45	112,718.83
US Treasury N/B 2.125% 5/31/15	217,681.81	211,315.52
Total - US Government Bonds	1,986,011.21	2,271,150.27
Venrock Partners V, LP (LP)	125,020.00	151,470.68

Trust for Mutual Understanding

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Balance Sheet

December 31, 2010

Security Name	Cost (USD)	Market Value (USD)
New Century Holdings XI, LP (LP)	712,951.00	702,457.00
Rockefeller Vent. Opport. Fund (LP)	1,253,173.00	1,766,871.57
Rockefeller Access Fund 06-II (LP)	318,260.00	343,014.42
Rockefeller GA Investors 2006 (LP)	1,577,995.00	1,750,449.54
5500 Fund (LP)	1,375,760.00	3,158,862.28
Rockefeller Alt. Strat. QP (LP)	113,397.00	156,510.53
Total - Partnerships	5,476,556.00	8,029,636.02
Grand Total	31,949,123.88	39,694,579.64

Rockefeller Trust Company, N.A.
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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable				
1	18th Street Arts Complex (18TH) 1639 18th Street Santa Monica, CA, 90404-3807	(6610) Contributions - Cash 50% Char	06/07/2010	20,000 00
2	3-Legged Dog (3-LEGGED) 80 Greenwich Street New York NY, 10006	(6610) Contributions - Cash 50% Char	08/13/2010	2,000 00
3	Academy of Natural Sciences (101) Philadelphia, PA,	(6610) Contributions - Cash 50% Char	12/13/2010	35,000 00
4	Aleut International Association (ALEUT) 333 W 4th Ave , Ste 301 Anchorage, AK, 99501	(6610) Contributions - Cash 50% Char	06/07/2010	10,000 00
5	Alvin Ailey Dance Foundation, Inc. (AADFI) 211 West 61st Street, 3rd Floor New York, NY, 10133-0136	(6610) Contributions - Cash 50% Char	12/09/2010	50,000 00
6	American Ballet Theatre (AMBALLET) 890 Broadway, 3rd Floor New York, NY, 10003	(6610) Contributions - Cash 50% Char	12/09/2010	25,000 00
7	American Dance Festival, Inc. (400) Durham, NC	(6610) Contributions - Cash 50% Char	06/23/2010	2,500 00
		(6610) Contributions - Cash 50% Char	06/23/2010	25,000 00
		American Dance Festival, Inc. (400) Sub Total:		27,500 00
8	American Littoral Society (AMLITSOC) 18 Hartshorne Drive, Suite #1 Highlands, NJ, 07732	(6610) Contributions - Cash 50% Char	07/13/2010	3,500 00
9	American Museum of Natural History (468) Central Park West at 79th Street New York, NY, 10024	(6610) Contributions - Cash 50% Char	06/23/2010	15,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
10	American Repertory Theatre (AMERREPT) Loeb Drama Center 64 Brattle Street Cambridge, MA, 02138	(6610) Contributions - Cash 50% Char	12/09/2010	20,000 00
11	Apex Art C P (AACCP)	(6610) Contributions - Cash 50% Char	12/09/2010	4,500 00
12	Arden 2 (AR2) 350 East 17th Street Suite 112 Costa Mesa, CA, 92627	(6610) Contributions - Cash 50% Char	06/14/2010	10,000 00
13	Art in General (706)	(6610) Contributions - Cash 50% Char	06/07/2010	50,000 00
14	Artspot Productions (ARTP) 3017 Short Street New Orleans, LA, 70125	(6610) Contributions - Cash 50% Char	06/07/2010	20,000 00
15	ASTI USA INC (ASTI USA) 243-245 State St P O Box 510 New London, CT, 06320	(6610) Contributions - Cash 50% Char	05/18/2010	5,000 00
16	Bank Information Center (BANKINFO) 733 15th Street, NW Suite 1126 Washington, DC, 20005	(6610) Contributions - Cash 50% Char	12/13/2010	25,000 00
17	Basement Films (BSMT FLM) P O. Box 7669 Albuquerque, NM, 87194	(6610) Contributions - Cash 50% Char	06/07/2010	2,000 00
18	Blacksmith Institute (BLKSMITH) 2014 Fifth Ave. New York, NY, 10035	(6610) Contributions - Cash 50% Char	06/07/2010	20,000 00
19	Boston Cyberarts (BCYB) 9 Myrtle Street Jamaica Plain, MA, 02130	(6610) Contributions - Cash 50% Char	12/09/2010	4,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
20	Boulder County Arts Alliance (BOULDER) 2590 Walnut Street, #9 Boulder, CO, 80302-5700	(6610) Contributions - Cash 50% Char	12/09/2010	30,000 00
21	Brooklyn Botanic Garden (BKBOTANI) 1000 Washington Avenue Brooklyn, NY, 11225-1099	(6610) Contributions - Cash 50% Char	12/13/2010	6,000 00
22	Byrd Hoffman Watermill Foundation (BHWF)	(6610) Contributions - Cash 50% Char	07/26/2010	20,000 00
23	California EAR Unit (CALEAR) 29654 Driver Avenue Castaic, CA, 91384	(6610) Contributions - Cash 50% Char	12/09/2010	4,000 00
24	California Institute of the Arts (CAIA) 24700 McBe Parkway Valencia, CA, 91355	(6610) Contributions - Cash 50% Char	07/19/2010	40,000 00
25	California Waterfowl Association (CAWATERF) 4630 Northgate Boulevard Suite 150 Sacramento, CA, 95834	(6610) Contributions - Cash 50% Char	12/13/2010	10,000 00
26	CEC Artslink (1687) New York, NY,	(6610) Contributions - Cash 50% Char	01/20/2010	100,000 00
		(6610) Contributions - Cash 50% Char	02/18/2010	-10,905 00
		(6610) Contributions - Cash 50% Char	02/24/2010	4,000 00
		(6610) Contributions - Cash 50% Char	03/04/2010	9,000 00
		(6610) Contributions - Cash 50% Char	06/07/2010	12,000 00
		(6610) Contributions - Cash 50% Char	06/07/2010	35,000.00
		(6610) Contributions - Cash 50% Char	06/07/2010	15,000 00
		(6610) Contributions - Cash 50% Char	06/14/2010	6,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	35,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	35,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	25,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	35,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	17,000 00
CEC Artslink (1687) Sub Total				317,095 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
27	Center for International Theatre Development (CITD)			
		(6610) Contributions - Cash 50% Char	01/20/2010	90,000 00
		(6610) Contributions - Cash 50% Char	12/09/2010	60,000.00
	Center for International Theatre Development (CITD) Sub Total:			150,000 00
28	Center for Traditional Music and Dance (CTRFORDC) 32 Broadway, Ste 1314 New York, NY, 10004			
		(6610) Contributions - Cash 50% Char	06/07/2010	10,000 00
29	Charlestown Working Theater (CWT) 442 Bunker Hill Street Charlestown, MA, 02129			
		(6610) Contributions - Cash 50% Char	06/23/2010	15,000.00
30	Circuit Network (CIRCUIT) 499 Alabama Street Ste 203 San Francisco, CA, 94110			
		(6610) Contributions - Cash 50% Char	06/14/2010	22,000 00
31	COJECO (COJECO) 261 Madison Avenue, Suite 1504 New York, NY, 10016			
		(6610) Contributions - Cash 50% Char	12/09/2010	10,000 00
32	Columbia University (2250) New York, NY, 10032			
		(6610) Contributions - Cash 50% Char	03/29/2010	6,000 00
33	Complexions-A Concept in Dance (COMPLEXI) 7 World Trade Center 250 Greenwich Street, 41st Floor New York, NY, 10007			
		(6610) Contributions - Cash 50% Char	04/22/2010	20,000.00
34	Creative Time (CREATI) 59 East 4th Street, 6E New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	09/30/2010	5,000.00
35	Cunningham Dance Foundation (CDFOUND) 55 Bethune Str New York, NY, 10014			
		(6610) Contributions - Cash 50% Char	12/09/2010	40,000 00

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(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
36	Dance Theater Workshop (2670) New York, NY			
		(6610) Contributions - Cash 50% Char	02/08/2010	95,000 00
37	Dance/USA (DACNEUSA) 1111 16th Street NW Ste 300 Washington, DC, 20036			
		(6610) Contributions - Cash 50% Char	06/14/2010	15,000.00
		(6610) Contributions - Cash 50% Char	12/09/2010	15,000 00
		Dance/USA (DACNEUSA) Sub Total		30,000 00
38	Denver Zoological Foundation (DENVER) 2900 E 23rd Street Avenue Denver, CO, 80205			
		(6610) Contributions - Cash 50% Char	12/13/2010	24,000 00
39	Double Edge Theatre (050701K)			
		(6610) Contributions - Cash 50% Char	06/07/2010	20,000 00
40	DOVA, Inc / Doug Varone and Dancers (DOVA, IN) 260 W Broadway, Ste. 4 New York, NY, 10013			
		(6610) Contributions - Cash 50% Char	02/24/2010	30,000 00
41	Earth Day Network (3117)			
		(6610) Contributions - Cash 50% Char	06/07/2010	12,500 00
42	Earth Island Institute (3123) San Francisco, CA			
		(6610) Contributions - Cash 50% Char	05/04/2010	2,500 00
		(6610) Contributions - Cash 50% Char	06/07/2010	25,000.00
		(6610) Contributions - Cash 50% Char	06/14/2010	40,000 00
		(6610) Contributions - Cash 50% Char	12/13/2010	20,000 00
		Earth Island Institute (3123) Sub Total		87,500 00
43	EarthCorps (EARTHCOR) 7400 Sand Point Way, NE, Bldg 30 Seattle, WA, 98115			
		(6610) Contributions - Cash 50% Char	12/13/2010	20,000.00

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(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
44	Electronic Music Foundation Ltd (ELECTM) 116 North Lake Avenue Albany, NY, 12206	(6610) Contributions - Cash 50% Char	06/14/2010	45,000 00
45	Enviromental Law Alliance Worldwide (ENVIROME) 1877 Garden Avenue Eugene, OR, 97403	(6610) Contributions - Cash 50% Char	12/13/2010	20,000.00
46	Eugene O'Neill Memorial Theater Center Inc. (EO) 305 Great Neck Road Waterford, CT, 06385	(6610) Contributions - Cash 50% Char	06/07/2010	12,000.00
47	Every house has a door (EHHAD) 1144 N Hoyne Avenue Chicago, IL, 60622	(6610) Contributions - Cash 50% Char	12/09/2010	20,000.00
48	Fiji Theater Co, Inc dba Ping Chong & Co (FIJITHEA) 47 Great Jones Street, 6th Floor New York, NY, 10012	(6610) Contributions - Cash 50% Char	12/09/2010	10,000.00
49	Foundation for a Civil Society (3740) 419 East 57th Street Suite 14A New York, NY, 10022	(6610) Contributions - Cash 50% Char	05/11/2010	150,000.00
50	Foundation for Contemporary Arts (ARTFOUND) 820 Greenwich street New York, NY, 10014	(6610) Contributions - Cash 50% Char	12/09/2010	6,000 00
51	Fourth World Laboratory for International Theater (FOURTH W) 660 Riverside Drive, Suite 4J New York, NY, 10031	(6610) Contributions - Cash 50% Char	02/18/2010	-797.48
52	Fourthworld Theatre Projects Inc (FOURTHWD) 3310 Lafayette Ave Austin, TX, 78722	(6610) Contributions - Cash 50% Char	06/07/2010	12,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
53	Freshwaters Illustrated (FRESHWAT) P O Box 921 Corvallis, OR, 97339	(6610) Contributions - Cash 50% Char	12/13/2010	15,000.00
54	Government Accountability Project, Inc (4257) 810 First Street, NE Suite 630 Washington, DC, 20002-3633	(6610) Contributions - Cash 50% Char	10/27/2010	35,000 00
55	Headlands Center for the Arts (HEADC) 944 Fort Barry Sausalito, CA, 94063	(6610) Contributions - Cash 50% Char	06/23/2010	20,000 00
56	Holocaust Memorial Foundation of Illinois (HOLOMUSE) 9603 Woods Dr Skokie, IL, 60077	(6610) Contributions - Cash 50% Char	06/07/2010	12,000 00
57	Independent Curators International (CURATORS)	(6610) Contributions - Cash 50% Char	08/03/2010	12,000 00
58	International Crane Foundation (4987)	(6610) Contributions - Cash 50% Char	12/13/2010	25,000 00
59	International Studio and Curatorial Program (INTERSTU)	(6610) Contributions - Cash 50% Char	06/07/2010	30,000 00
60	Inuit Circumpolar Conference Alaska (INUIT CI) 3000 C Street, N 201 Anchorage, AK, 99503	(6610) Contributions - Cash 50% Char	06/07/2010	25,000 00
61	Joseph Brodsky Memorial Fellowship Fund (JOBRDSKY)	(6610) Contributions - Cash 50% Char	12/09/2010	25,000 00
62	Jump-Start Performance Co (JUMP-STA) 108 Blue Star San Antonio, TX, 78204	(6610) Contributions - Cash 50% Char	12/09/2010	30,000 00
63	Kitka, Inc (KIIN) 1201 Martin Luther King Jr Way Oakland, CA, 94612	(6610) Contributions - Cash 50% Char	06/07/2010	35,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
64	La Mama E T C (LA MAMA)	(6610) Contributions - Cash 50% Char	06/07/2010	30,000 00
65	Lark Play Development Center (LARK PLA) 939 Eighth Avenue, Suite 301 New York, NY, 10019	(6610) Contributions - Cash 50% Char	06/14/2010	30,000 00
66	Lincoln Center for the Performing Arts, Inc (5907)	(6610) Contributions - Cash 50% Char	06/14/2010	40,000 00
67	Location One (LOCATION)	(6610) Contributions - Cash 50% Char	06/07/2010	25,000 00
68	Los Angeles Philharmonic Association (LAPHILAS) 151 South Grand Avenue Los Angeles, CA, 90012-3034	(6610) Contributions - Cash 50% Char	12/09/2010	25,000 00
69	Mid Atlantic Arts Foundation (MA ARTS) 201 North Charles Street, Suite 401 Baltimore, MD, 21201	(6610) Contributions - Cash 50% Char	08/03/2010	30,000 00
70	Millennium Film Workshop (MFW) 66 East 4th Street New York, NY, 10003	(6610) Contributions - Cash 50% Char	12/10/2010	12,000 00
71	Movement Research (MOVEMENT) P O. Box 49 Old Chelsea Sta New York, NY, 10113	(6610) Contributions - Cash 50% Char	06/23/2010	25,000 00
72	MSQ Enterprises, Inc (MSQ ENTE) RFD 1 Laurelton Road Mount Kisco, NY, 10549	(6610) Contributions - Cash 50% Char	12/21/2010	15,000 00
73	New Dance Alliance (NDALLIAN) 182 Duane Street New York, NY, 10013	(6610) Contributions - Cash 50% Char	02/23/2010	1,200 00
		(6610) Contributions - Cash 50% Char	12/10/2010	10,000 00
	New Dance Alliance (NDALLIAN) Sub Total:			11,200 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
74	New Gallery Concert Series (NEW GALL) 57-5 Brookside Avenue Jamaica Plain, MA, 02130	(6610) Contributions - Cash 50% Char	08/03/2010	4,000 00
75	New Mexico State University (NMSU)	(6610) Contributions - Cash 50% Char	01/21/2010	-23 96
		(6610) Contributions - Cash 50% Char	03/04/2010	6,000 00
		New Mexico State University (NMSU) Sub Total.		5,976 04
76	New York University (7228) General P O Box 30826 New York, NY, 10087-0826	(6610) Contributions - Cash 50% Char	03/24/2010	-2,792 70
77	Northeast Document Conservation Center (7318) 100 Brickstone Square Andover, MA, 01810-1494	(6610) Contributions - Cash 50% Char	12/10/2010	12,500 00
78	Northern Forum (NORTHFRM)	(6610) Contributions - Cash 50% Char	06/14/2010	15,000 00
79	Northern Rockies Conservation Cooperative (NROCKCC) P O Box 2705 Jackson, WY, 83001-2705	(6610) Contributions - Cash 50% Char	06/07/2010	18,000 00
80	On The Boards (ONTHEBRD) The Behnke Center for Contemp Performance 100 West Roy Street Seattle, WA, 98109-1515	(6610) Contributions - Cash 50% Char	12/13/2010	30,000 00
81	Other Minds (OTHER MI) 333 Valencia Street, Suite 303 San Francisco, CA, 94103	(6610) Contributions - Cash 50% Char	12/10/2010	3,000 00
82	Pacific Enviroment (PACENVIR) 251 Kearny Street, Second Floor San Francisco, CA, 94108	(6610) Contributions - Cash 50% Char	12/13/2010	29,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
83	Pacific Environment (PACIFICE) 251 Kearny Street 2nd Floor San Francisco, CA, 94108			
		(6610) Contributions - Cash 50% Char	09/24/2010	10,000 00
		(6610) Contributions - Cash 50% Char	10/08/2010	40,000 00
		(6610) Contributions - Cash 50% Char	11/03/2010	25,000.00
		Pacific Environment (PACIFICE) Sub Total		75,000 00
84	Pacific Environment and Resources Center (7558) Sausalito, CA			
		(6610) Contributions - Cash 50% Char	06/14/2010	110,000 00
85	Peregrine Arts (PERARTS) 2920 Cambridge Street Philadelphia, PA, 19130			
		(6610) Contributions - Cash 50% Char	12/13/2010	1,800 00
86	Performance Space 122 (PERFORMA)			
		(6610) Contributions - Cash 50% Char	10/13/2010	35,000 00
		(6610) Contributions - Cash 50% Char	11/08/2010	-15,000 00
		Performance Space 122 (PERFORMA) Sub Total.		20,000 00
87	Performance Zone, Inc (P ZONE) C/o The Field 161 6th Avenue New York, NY, 10013			
		(6610) Contributions - Cash 50% Char	12/21/2010	20,000 00
88	Philharmonic Symphony Society of New York, Inc (7831)			
		(6610) Contributions - Cash 50% Char	03/04/2010	15,000 00
89	Pig Iron Theatre Company (PIG IRON)			
		(6610) Contributions - Cash 50% Char	06/07/2010	30,000 00
90	Portland Institute of Contemporary Art (PORT ART) 224 NW 13th Ave , Ste 305 Portland, OR, 97209			
		(6610) Contributions - Cash 50% Char	06/07/2010	20,000.00
91	Printed Matter Inc. (PRINTED) 195 Tenth Ave New York, NY, 10011			
		(6610) Contributions - Cash 50% Char	02/24/2010	8,500.00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
92	Project for Public Spaces, Inc (PROJEC) 700 Broadway, 4th floor New York, NY, 10003	(6610) Contributions - Cash 50% Char	06/23/2010	18,000 00
93	Quebec-Labrador Foundation/Atlantic Center for the (QLABRAD) 55 South Main Street Ipswich, MA, 01938	(6610) Contributions - Cash 50% Char	12/13/2010	40,000 00
94	Ramapo College of New Jersey (RAMAPO C) 505 Ramapo Valley Road Mahwah, NJ, 07430-1680	(6610) Contributions - Cash 50% Char	12/13/2010	50,000 00
95	San Francisco International Arts Festival (SAN FRAN) 870 Market Street, Suite 1256 San Francisco, CA, 94102	(6610) Contributions - Cash 50% Char	06/07/2010	40,000 00
		(6610) Contributions - Cash 50% Char	07/16/2010	1,000 00
	San Francisco International Arts Festival (SAN FRAN) Sub Total			41,000 00
96	San Francisco Museum of Modern Art (8697)	(6610) Contributions - Cash 50% Char	12/13/2010	5,000 00
97	Seagull Films International (SEAGULL) 526 West 111th Street, Suite 2CC New York, NY, 10025	(6610) Contributions - Cash 50% Char	07/12/2010	20,000 00
		(6610) Contributions - Cash 50% Char	09/24/2010	-12,500 00
		(6610) Contributions - Cash 50% Char	09/30/2010	4,500 00
	Seagull Films International (SEAGULL) Sub Total			12,000 00
98	Seven Stages, Inc (8856) Atlanta, GA,	(6610) Contributions - Cash 50% Char	06/07/2010	30,000 00
99	Slought Foundation (SLOUGHT) 4017 Walnut Street Philadelphia, PA, 19104	(6610) Contributions - Cash 50% Char	06/07/2010	8,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
100	Smithsonian Institution (8981) 900 South Jefferson Drive, SW Washington, DC, 20560	(6610) Contributions - Cash 50% Char	08/03/2010	13,000 00
101	So Percussion (SOPER) 233 Norman Avenue #309 Washington, DC, 11222	(6610) Contributions - Cash 50% Char	06/14/2010	10,000.00
102	Sospeso Ltd (SOSPESO) 116 Pinehurst Avenue, A61 New York, NY, 10033	(6610) Contributions - Cash 50% Char	12/09/2010	15,000 00
103	South East European Film Festival (SOUTH E) 7119 W Sunset Blvd Unit 306 Los Angeles, CA, 90046	(6610) Contributions - Cash 50% Char	02/24/2010	6,500 00
104	Provisions Learning Project 1875 Connecticut Avenue NW Suite 1100 Washington DC. 20009	(6610) Contributions - Cash 50% 12/10/2010	12/10/2010	15,000.00
105	Tahoe-Baikal Institute (9442)	(6610) Contributions - Cash 50% Char	12/13/2010	35,000.00
106	Textile Society of America (TEXTILE) P O Box 193 Middletown, DE, 19709	(6610) Contributions - Cash 50% Char	06/07/2010	12,000 00
107	The Arts Council of Mongolia US, Inc (ACOM) 2025 23rd Avenue East Seattle, WA, 98112	(6610) Contributions - Cash 50% Char	08/24/2010	-805 00
108	The Catholic University of America (CATHUNIV)	(6610) Contributions - Cash 50% Char	03/04/2010	10,000 00
109	The Drama League of New York (DRAMALG) 520 Eighth Ave, 3rd Fl., Ste. 320 New York, NY, 10018	(6610) Contributions - Cash 50% Char	06/07/2010	7,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
110	The Fund for Arts and Culture (TFFAAC) 2016 N. Westmoreland Street Arlington, VA, 22213	(6610) Contributions - Cash 50% Char	12/13/2010	15,000 00
111	The Fund for Arts and Culture in Central and East (THE FUND)	(6610) Contributions - Cash 50% Char	04/20/2010	8,000 00
112	The Green Mountain Club (GREEN MT) 4711 Waterbury-Stowe Rd Waterbury Center, VT, 05677	(6610) Contributions - Cash 50% Char	02/24/2010	7,000 00
113	The Metropolitan Museum of Art (9940) 1000 5th Avenue New York, NY, 10028	(6610) Contributions - Cash 50% Char	01/19/2010	6,000.00
		(6610) Contributions - Cash 50% Char	06/07/2010	25,000 00
		(6610) Contributions - Cash 50% Char	06/07/2010	35,000 00
		(6610) Contributions - Cash 50% Char	12/10/2010	34,000 00
		The Metropolitan Museum of Art (9940) Sub Total		100,000.00
114	The Museum of Fine Arts, Houston (FA HOUST) 1001 Bissonnet P O Box 6826 Houston, TX, 77265-6826	(6610) Contributions - Cash 50% Char	12/10/2010	10,000 00
115	The Museum of Modern Art (C8894) 11 West 53 Street New York, NY, 10019	(6610) Contributions - Cash 50% Char	06/07/2010	35,000 00
116	The Nature Conservancy (THE NATU) Membership Department PO Box 17223 Baltimore, MD, 21298-9987	(6610) Contributions - Cash 50% Char	12/13/2010	50,000 00
117	The Peregrine Fund (10032)	(6610) Contributions - Cash 50% Char	06/07/2010	20,000 00
118	The Public Theater (10059)	(6610) Contributions - Cash 50% Char	10/18/2010	35,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
119	The Renaissance Society (THE RENA) 5811 S Ellis Ave Chicago, IL, 60637	(6610) Contributions - Cash 50% Char	03/04/2010	10,000.00
120	The Tributary Fund (THE TRIB) P O Box 608 Bozeman, MT, 59771	(6610) Contributions - Cash 50% Char	12/13/2010	25,000.00
121	The Wild Salmon Center (10203)	(6610) Contributions - Cash 50% Char	06/07/2010	35,000 00
122	The Wilma Theater (WILMATHR) 265 S. Broad St Philadelphia, PA, 19107	(6610) Contributions - Cash 50% Char	06/07/2010	12,000 00
123	The Wooster Group (TWG) P O Box 654 Canal Street Station New York, NY, 10013	(6610) Contributions - Cash 50% Char	03/04/2010	25,000 00
124	Trout Conservancy (TROUT CO) P.O Box 8871 Missoula, MT, 59807	(6610) Contributions - Cash 50% Char	12/13/2010	10,000.00
125	U S-Ukraine Foundation (US-UK) 1701 K Street NW, Suite 903 Washington, DC, 2006	(6610) Contributions - Cash 50% Char	01/21/2010	-1,963 15
126	Wax Factory Inc (WAX) 51 MacDougal St, Ste 302 New York, NY, 10012	(6610) Contributions - Cash 50% Char	06/23/2010	30,000 00
127	Wildlife Conservation Society (11149) 2300 Southern Boulevard Bronx, NY, 10460	(6610) Contributions - Cash 50% Char	02/24/2010	25,000 00
		(6610) Contributions - Cash 50% Char	12/13/2010	25,000 00
		Wildlife Conservation Society (11149) Sub Total:		50,000 00
128	World Music Institute (11346)	(6610) Contributions - Cash 50% Char	06/07/2010	15,000 00

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From: 01/01/2010 To: 12/31/2010

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
129	World Wildlife Fund (11359) 1250 - 24th Street, NW c/o Michael Bauer Washington, DC, 20037	(6610) Contributions - Cash 50% Char	12/13/2010	30,000 00
130	Yale Repertory Theatre Associates, Inc (YALE REP) 222 York Street P O. Box 208244 New Haven, CT, 06520	(6610) Contributions - Cash 50% Char	10/27/2010	15,000 00
131	Young at Heart Chorus, Inc. (YOUNG AT) Memorial Hall 240 Main Street Northampton, MA, 01060	(6610) Contributions - Cash 50% Char	12/13/2010	20,000 00
132	Young Jean Lee's Theater Company (YNGJEAN) 138 Oxford St , Ste. 1D Brooklyn, NY, 11217	(6610) Contributions - Cash 50% Char	06/28/2010	20,000 00
50% Charitable Sub Total:				<u>3,470,712.71</u>
Grand Total :				<u>3,470,712.71</u>

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From: 01/01/2010 To: 12/31/2010

SUMMARY

Account Number	Description	Total
50% Charitable		
6610	Contributions - Cash 50% Char	<u>3,470,712.71</u>
	Sub-Total :	<u>3,470,712.71</u>
	Grand Total :	

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JPMorgan Liquidity Funds - US Dollar Liquidity Fund
PFIC Annual Information Statement

- (1) This Information Statement applies to the taxable period of the JPMorgan Liquidity Funds - US Dollar Liquidity Fund (the "Fund") beginning on December 1, 2009 and ending on November 30, 2010 (the "Period")
- (2) A Shareholder of the Institutional (dist) shares may compute *his* or *her* pro rata share of the ordinary earnings and net capital gain of the Fund attributable to these Institutional (dist) shares for the Period, as follows:

Ordinary Earnings:	See Attachment
Net Capital Gain:	None

- (3) The amount of cash and fair market value of other property distributed or deemed distributed by the Fund to a Shareholder of the Institutional (dist) shares during the Period may be computed as follows:

Cash	See Attachment
Fair Market Value of Property:	None

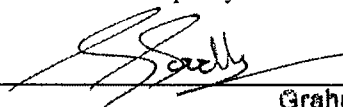
- (4) The Fund will permit a Shareholder to inspect and copy the Fund's permanent books of account, records, and such other documents as may be maintained by the Fund that are necessary to establish that PFIC ordinary earnings and net capital gain, as provided in Section 1293(e) of the Internal Revenue Code, are computed in accordance with U.S. income tax principles, and to verify these amounts and the Shareholder's pro rata share thereof.

JPMorgan Asset Management (Europe) S.à.r.l. as
management company for JPMorgan Liquidity Funds -
US Dollar Liquidity Fund

Date: 24/02/2011

By: _____

Title: _____


Graham A Goodhew

JPMorgan Asset Management (Europe) S.à.r.l.
Conducting Person

Additional Information

The following additional information is supplied to enable you to complete Form 8621 (Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund).

Name of Passive Foreign Investment Company:	JPMorgan US Dollar Liquidity Fund
Address:	JPMorgan Liquidity Funds European Bank & Business Centre 6, route de Treves, L-2633 Senningerberg Grand Duchy of Luxembourg
Employer Identification Number:	None
Country of Incorporation:	Luxembourg
Year of Incorporation:	December 9, 1986

On November 30, 2010, JPMorgan Liquidity Funds - US Dollar Liquidity Fund has 63,628,944,039.426 Institutional (dist) shares outstanding.