Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , **and ending**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
EUGENE V. AND CLARE E. THAW
CHARITABLE TRUST

A Employer identification number 13-3081491

Number and street (or P O box number if mail is not delivered to street address) Room/suite
553 CANYON ROAD

B Telephone number 505-982-7023

City or town, state, and ZIP code
SANTA FE, NM 87501-2719

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 33,762,685.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	154,738.	154,738.		STATEMENT 1
	4 Dividends and interest from securities	248,443.	248,443.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	185,003.			
	b Gross sales price for all assets on line 6a 13,627,103.				
	7 Capital gain net income (from Part IV, line 2)		185,003.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	292,692.	331,352.		STATEMENT 3
	12 **Total** Add lines 1 through 11	880,876.	919,536.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	256,462.	31,150.		225,312.
	15 Pension plans, employee benefits	11,193.	0.		11,193.
	16a Legal fees STMT 4	1,050.	105.		945.
	b Accounting fees STMT 5	27,994.	2,800.		25,194.
	c Other professional fees STMT 6	259,587.	258,287.		1,300.
	17 Interest				
	18 Taxes STMT 7	29,292.	10,470.		18,822.
	19 Depreciation and depletion	18,649.	0.		
	20 Occupancy	7,600.	760.		6,840.
	21 Travel, conferences, and meetings	1,924.	192.		1,732.
	22 Printing and publications				
	23 Other expenses STMT 8	80,800.	8,006.		72,794.
	24 **Total operating and administrative expenses** Add lines 13 through 23	694,551.	311,770.		364,132.
	25 Contributions, gifts, grants paid	3,918,188.			3,918,188.
	26 **Total expenses and disbursements** Add lines 24 and 25	4,612,739.	311,770.		4,282,320.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,731,863.			
	b **Net investment income** (if negative, enter -0-)		607,766.		
	c **Adjusted net income** (if negative enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	251,914.	114,752.	114,752.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S. and state government obligations STMT 10	2,111,612.	456,414.	456,414.
	b Investments - corporate stock STMT 11	9,603,650.	6,221,312.	6,221,312.
	c Investments - corporate bonds STMT 12	3,784,337.	9,201,553.	9,201,553.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 13	18,264,372.	17,221,866.	17,221,866.
	14 Land, buildings, and equipment: basis ▶ 829,472.			
	Less accumulated depreciation ▶ 298,984.	549,137.	530,488.	530,488.
	15 Other assets (describe ▶ ARTWORK INVENTORY)	354,600.	16,300.	16,300.
	16 Total assets (to be completed by all filers)	34,919,622.	33,762,685.	33,762,685.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 14)	7,432.	814.	
	23 Total liabilities (add lines 17 through 22)	7,432.	814.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted	34,912,190.	33,761,871.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	34,912,190.	33,761,871.	
	31 **Total liabilities and net assets/fund balances**	34,919,622.	33,762,685.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,912,190.
2	Enter amount from Part I, line 27a	2	-3,731,863.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	2,581,544.
4	Add lines 1, 2, and 3	4	33,761,871.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	33,761,871.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	13,627,103.		13,442,100.	185,003.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				185,003.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	185,003.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	3,338,838.	32,444,227.	.102910
2008	4,609,270.	38,749,650.	.118950
2007	4,699,966.	48,288,692.	.097331
2006	3,796,031.	42,928,621.	.088427
2005	3,638,438.	42,306,848.	.086001

2 **Total** of line 1, column (d)	2	.493619
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.098724
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	28,222,093.
5 Multiply line 4 by line 3	5	2,786,198.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,078.
7 Add lines 5 and 6	7	2,792,276.
8 Enter qualifying distributions from Part XII, line 4	8	4,282,320.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	6,078.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	6,078.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	6,078.
6 Credits/Payments:				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	6,117.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	1,500.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	7,617.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9 **Tax due**. If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,539.
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 1,539. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NM			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RICCI & COMPANY LLC Telephone no. ▶ 505-338-0800
Located at ▶ 6200 UPTOWN BLVD NE, SUITE 400, ALBUQUERQUE, NM ZIP+4 ▶ 87110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ OTHER COUNTRY			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CYNTHIA L. ARCHER SANTA FE, NM	GRANT MANAGER 40.00	69,849.	3,392.	0.
SHERRY A. THOMPSON MILLER SANTA FE, NM	EXEC. DIRECTOR 40.00	64,313.	3,216.	0.
KATHLEEN FLANAGAN SANTA FE, NM, MANAGER	INVESTMENT MANAGER 40.00	62,300.	4,585.	0.
SUSAN HERTER SANTA FE, NM	DIRECTOR EMERITUS 40.00	60,000.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
CONSULTING SERVICES GROUP - 6075 POPLAR AVENUE SUITE 700, MEMPHIS, TN 38119	PORTFOLIO MGMT FEES	57,960.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	27,584,434.
b	Average of monthly cash balances	1b	1,067,437.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	28,651,871.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	28,651,871.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	429,778.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,222,093.
6	**Minimum investment return** Enter 5% of line 5	6	1,411,105.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,411,105.
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a: 6,078.		
b	Income tax for 2010 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	6,078.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,405,027.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,405,027.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,405,027.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,282,320.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,282,320.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	6,078.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	4,276,242.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,405,027.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2010.				
a From 2005 1,603,158.				
b From 2006 1,717,280.				
c From 2007 2,500,851.				
d From 2008 2,178,715.				
e From 2009 1,719,982.				
f **Total** of lines 3a through e	9,719,986.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 4,282,320.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,405,027.
e Remaining amount distributed out of corpus	2,877,293.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,597,279.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	1,603,158.			
9 **Excess distributions carryover to 2011** Subtract lines 7 and 8 from line 6a	10,994,121.			
10 Analysis of line 9				
a Excess from 2006 1,717,280.				
b Excess from 2007 2,500,851.				
c Excess from 2008 2,178,715.				
d Excess from 2009 1,719,982.				
e Excess from 2010 2,877,293.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT A	VARIOUS INDEPENDENT		SEE ATTACHMENT A	3,579,888.
FENIMORE ART MUSEUM PO BOX 800 / 5798 STATE HIGHWAY 80 COOPERSTOWN, NY 13326	INDEPENDENT		ART PRESERVATION	12,000.
MORGAN LIBRARY AND MUSEUM 225 MADISON AVENUE NEW YORK, NY 10016	INDEPENDENT		ART PRESERVATION	325,000.
QUIVIRA COALITION 1413 SECOND STREET SANTA FE, NM 87505	INDEPENDENT		ART PRESERVATION	1,300.
Total			▶ 3a	3,918,188.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

023611 12-07-10

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	154,738.	
4 Dividends and interest from securities			14	248,443.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	331,352.	
8 Gain or (loss) from sales of assets other than inventory			18	185,003.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a FORM 990-T UBTI	110000	-38,660.			
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-38,660.		919,536.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 880,876.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

023621 12-07-10

Form 990-PF (2010)

13520922 132225 40356 2010.04030 EUGENE V. AND CLARE E. THAW 40356__1

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: Oct 11 2011 Title: President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CURT MCGILL	[signature]	9-22-11		

Firm's name ▶ RICCI & COMPANY, LLC — Firm's EIN ▶

Firm's address ▶ 6200 UPTOWN BLVD NE STE 400
ALBUQUERQUE, NM 87110 — Phone no. 505-338-0800

Payments Made - by Program Area - 2010 Distributions

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Check Number
ANIMAL RIGHTS AND OTHER CAUSES				
Animal Protection of New Mexico *General support [2010]* $40,000.00	1526.01 1998294	4/14/2010	$40,000.00	6842
Animal Protection of New Mexico *Towards equine protection program development [2010]* $15,000.00	1609 1998315	7/13/2010	$15,000.00	6928
Baker Institute for Animal Health, Cornell University *Seminar fund [5 yrs· 2010-2014]* $25,000.00	305.02 1998326	7/13/2010	$5,000.00	6929
Dixon Animal Protection Society *General support [2010]* $5,000.00	1615 1998324	7/13/2010	$5,000.00	6930
Española Valley Humane Society *Towards spay and neuter program [2010]* $25,000.00	1366.02 1998325	7/13/2010	$25,000.00	6931
Heart and Soul Animal Sanctuary *Towards costs of food and veterinary care [2010]* $5,000.00	1443.02 1998323	7/13/2010	$5,000.00	6932
Horse Shelter *One-for-two challenge grant towards vet care, medical supplies, feed and supplements for equine care [2010]* $15,000.00	1380.02 1998295	4/14/2010	$15,000.00	6843

Monero Mustangs *Towards two applications of contraceptive vaccine for wild mustang mares* $2,680.00	1610 1998317	6/14/2010	$2,680.00	6911
New Mexico Community Foundation *For the "Equine Protection" fund [2010]* $10,000.00	1608 1998314	7/13/2010	$10,000.00	6933
Pecos People for Animal Welfare Society (Pecos Paws) *Towards low-cost spay an neuter services [2010]* $5,000.00	1614 1998322	7/13/2010	$5,000.00	6934
Santa Fe Animal Shelter *General support [2 yrs· 2009-2010]* $300,000.00	1529 1998243	10/8/2010	$100,000.00	7023
Santa Fe Raptor Center *General support [2010]* $10,000.00	1613 1998321	7/13/2010	$10,000.00	6935
The Wildlife Center *General support [2010]* $20,000.00	1539.01 1998336	10/8/2010	$20,000.00	7024
	Total ANIMAL RIGHTS AND OTHER CAUSES		$257,680.00	

ENVIRONMENT & CULTURAL PRESERVATION

New Mexico Community Foundation *For the Michael S. Currier Award for Environmental Service [2010]* $35,000.00	1367.01 1998313	7/13/2010	$20,000.00	6936
New Mexico Community Foundation *For the Michael S Currier Award for Environmental Service [2010]* $35,000.00	1367.01 1998313	10/8/2010	$15,000.00	7025

New Mexico Environmental Law Center *Towards oil and gas drilling issues in Mora and San Miguel counties [2010]* $11,000.00	1603 1998316	7/13/2010	$11,000.00	6947
New Mexico Wildlife Federation *Towards the 'Buckman Environmental and Recreational Restoration plan" [2010]* $30,000.00	1392.01 1998319	7/13/2010	$30,000.00	6937
Panthera Foundation *Towards the "Teton Cougar" project [2009]* $50,000.00	1543 1998254	1/25/2010	$20,000.00	6785
Quivira Coalition *Director's discretionary fund [2010]* $30,000.00	1595 1998309	4/14/2010	$30,000.00	6846
Santa Fe Institute *For salary support of a senior research scientist [5 yrs. 2010-2014]* $1,250,000.00	1562 1998282	4/14/2010	$125,000.00	6847
Santa Fe Institute *For salary support of a senior research scientist [5 yrs 2010-2014]* $1,250,000.00	1562 1998282	10/8/2010	$125,000.00	7026
Wildlands Network *Towards the "private lands" program [2010]* $10,000.00	1655 1998343	10/8/2010	$10,000.00	7027
Total ENVIRONMENT & CULTURAL PRESERVATION			$386,000.00	

THE ARTS

AAMC Foundation (Association of Art Museum Curators) *General support [3 yrs. 2008-2011]* $200,000.00	1516 1998234	10/8/2010	$50,000.00	7028

Burlington Magazine Foundation, Inc. *Towards the endowment fund [2007-2010]* $45,000.00	1353 1998108	10/14/2010	$15,000.00	7039
Fine Arts for Children *General support [2010-2011]* $10,000.00	1548.01 1998342	10/8/2010	$10,000.00	7029
Georgia O'Keeffe Museum *Challenge grant towards the museum's endowment campaign [5 yrs: 2006-2010]* $500,000.00	1244 1998036	1/25/2010	$100,000.00	6786
International Foundation for Art Research *General support [2010]* $5,000.00	1594 1998308	7/13/2010	$5,000.00	6938
Khyentse Foundation *Towards publication and free distribution of the trilingual Thangka Handling Guide [2010]* $38,210.84	1627 1998330	5/6/2010	$38,210.84	6879
Menil Foundation, Inc. *General support [2010]* $10,000.00	1343 03 1998296	4/14/2010	$10,000 00	6848
Metropolitan Museum of Art *Annual support of Friends of the Arts of Africa, Oceania, and the Americas [3 yrs. - 2008-2010]* $12,000.00	552.02 1998228	10/8/2010	$4,000.00	7031
Metropolitan Opera *Annual support of the President's Circle [2009-2011]* $75,000.00	652.03 1998266	10/8/2010	$25,000.00	7032
Morgan Library & Museum *Towards the library's Capital Campaign [2005-2010]* $3,510,507.95	862 1997778	1/25/2010	$1,000,000.00	6787

Morgan Library & Museum *Annual support of the Director's Roundtable [5 yrs 2009-13]* $125,000.00	1593 1998306	7/13/2010	$25,000.00	6939
Morgan Library & Museum *Towards planning and start-up of the Drawings Institute at the Morgan Library and Museum* $250,000.00	1635 1998334	7/13/2010	$250,000.00	6940
Museum of New Mexico Foundation *Towards the Scholar-in-Residence program at the New Mexico History Museum [2010-11]* $75,000.00	1567.01 1998292	10/8/2010	$60,000.00	7033
Museum of New Mexico Foundation *Towards the Helmo Hernandez lecture on Cuban contemporary art at the New Mexico Museum of Art* $2,500.00	1676 1998349	11/1/2010	$2,500.00	7051
National Dance Institute of New Mexico *Towards scholarships for advanced students to attend additional training* $25,000.00	1611 1998318	7/13/2010	$25,000.00	6941
National Gallery of Art *Towards annual exhibitions of drawings and works on paper [5 yrs: 2006-2010]* $125,000.00	1122 1997955	4/14/2010	$25,000.00	6849
New York State Historical Association *Towards the Otsego Institute conference on Native American art [2010]* $25,137.00	1604 1998310	4/14/2010	$25,137.00	6851
New York State Historical Association *Towards transportation and installation of the Totem Pole at Otsego Institute [2010]* $31,860.00	1605 1998311	4/14/2010	$31,860.00	6852

New York University Institute of Fine Arts *For general support of the Eugene Thaw Professor of Paper Conservation [3 yrs. 2009-2011]* $150,000.00	1549 1998267	1/25/2010	$50,000.00	6789
New York University Institute of Fine Arts *To establish the "Eugene Thaw Professorship of Paper Conservation [4 yrs 2008-2011]* $1,850,000.00	1416 1998152	1/25/2010	$450,000.00	6788
Santa Fe Chamber Music Festival *Towards the 2010 Artist in Residence mezzo-soprano Susan Graham* $20,000.00	1638 1998335	10/8/2010	$20,000.00	7034
Santa Fe Pro Musica *Towards performances of Benjamin Britten's "Serenade" and "Les Illuminations" [2009-2010 season]* $50,000 00	1501 1998223	4/14/2010	$25,000.00	6853
Santa Fe Pro Musica *Towards multi-concert performances of Beethoven [2010-2011]* $50,000.00	1560 1998280	10/8/2010	$25,000.00	7035
School for the Arts - NM *General support [4 yrs 2010-2013]* $700,000.00	1625 1998329	4/14/2010	$100,000.00	6855
School for the Arts - NM *General support [4 yrs: 2010-2013]* $700,000.00	1625 1998329	12/6/2010	$100,000 00	7084
Smithsonian American Art Museum *Towards the museum's building restoration [2006-2010]* $50,000.00	1202 1998005	7/13/2010	$10,000.00	6942

Studio in a School *General support [2010]* $10,000.00	1424.02 1998307	7/13/2010	$10,000.00		6943
Wheelwright Museum of the American Indian *Director's discretionary fund [2010]* $50,000.00	1612 1998320	7/13/2010	$50,000.00		6944
		Total THE ARTS	$2,541,707.84		

TRUSTEES' DISCRETIONARY FUND

University of Virginia Art Museum *Towards the exhibition "Man Ray African Art and the Modernist Lens" [William Acquavella fund] [2010]* $20,000.00	1618 1998327	4/14/2010	$20,000.00	Trustee Fund	6844
China Institute *General Support [Clare E Thaw fund] [2010]* $20,000.00	1628 1998331	7/13/2010	$20,000.00	Trustee Fund	6945
Fine Arts for Children *General support [Jeffrey Fornaciari fund] [2010-2011]* $1,500.00	1643 1998338	10/8/2010	$1,500 00	Trustee Fund	7030
Freer Gallery of Art/Arthur M. Sackler Gallery *General support (2010) [PattyTang fund]* $10,000.00	1660 1998344	10/6/2010	$10,000.00	Trustee Fund	7020
IMPACT Personal Safety *General support [Jeffrey Fornaciari fund] [2010-2011]* $1,500.00	1644 1998339	10/8/2010	$1,500.00	Trustee Fund	7036
Museum of Chinese in America *General support [2010] [Patty Tang fund]* $10,000.00	1661 1998345	10/6/2010	$10,000.00	Trustee Fund	7021

Santa Fe Youth Symphony Association *General support [Jeffrey Fornaciari fund] [2010-2011]* $1,500 00	1645 1998340	10/8/2010	$1,500.00	Trustee Fund	7037
		Total TRUSTEES' DISCRETIONARY FUND	$64,500.00		

Wind River Ranch Foundation

Wind River Ranch Foundation *General support [2010]* $330,000.00	1581 1998291	1/6/2010	$82,500.00		6770
Wind River Ranch Foundation *General support [2010]* $330,000.00	1581 1998291	4/1/2010	$27,500.00		6837
Wind River Ranch Foundation *General support [2010]* $330,000.00	1581 1998291	4/14/2010	$55,000.00		6845
Wind River Ranch Foundation *General support [2010]* $330,000.00	1581 1998291	7/13/2010	$82,500.00		6946
Wind River Ranch Foundation *General support [2010]* $330,000.00	1581 1998291	10/8/2010	$82,500.00		
		Total Wind River Ranch Foundation	$330,000.00		
		Grand Total	$3,579,887.84		

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method First In First Out [FIFO]

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ADOBE SYSTEMS INC: ADBE	200.0000	09/14/09	03/29/10	$7,125.12	$7,034.49	$90.63
ADOBE SYSTEMS INC: ADBE	850.0000	09/14/09	04/15/10	$29,200.97	$29,896.56	($695.59)
Security Subtotal				**$36,326.09**	**$36,931.05**	**($604.96)**
BANK OF AMERICA CORP: BAC	1,300.0000	04/30/09	03/29/10	$23,276 40	$11,899.34	$11,377.06
BANK OF AMERICA CORP: BAC	250.0000	05/05/09	03/29/10	$4,476.23	$2,751.86	$1,724.37
BANK OF AMERICA CORP: BAC	900 0000	10/14/09	09/09/10	$12,143.84	$16,543.11	($4,399.27)
BANK OF AMERICA CORP: BAC	150.0000	10/14/09	09/20/10	$2,028.53	$2,757.18	($728.65)
BANK OF AMERICA CORP: BAC	700.0000	02/01/10	09/20/10	$9,466 49	$10,746.51	($1,280 02)
BANK OF AMERICA CORP: BAC	500.0000	02/01/10	09/30/10	$6,547.42	$7,676 08	($1,128 66)
BANK OF AMERICA CORP: BAC	500.0000	02/17/10	09/30/10	$6,547.41	$7,805 15	($1,257.74)
BANK OF AMERICA CORP: BAC	900.0000	02/17/10	10/14/10	$11,385.88	$14,049.26	($2,663 38)
BANK OF AMERICA CORP: BAC	1,450.0000	03/17/10	10/14/10	$18,343.92	$24,923 14	($6,579.22)
Security Subtotal				**$94,216.12**	**$99,151.63**	**($4,935.51)**

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BAXTER INTERNATIONAL INC: BAX	700.0000	12/11/09	03/10/10	$40,976.08	$40,848.70	$127.38
Security Subtotal				**$40,976.08**	**$40,848.70**	**$127.38**
BB&T CORPORATION: BBT	350.0000	03/11/10	03/29/10	$11,211.86	$10,612 36	$599 50
BB&T CORPORATION: BBT	1,000.0000	03/11/10	06/30/10	$26,904.27	$30,321 03	($3,416 76)
BB&T CORPORATION: BBT	550.0000	03/18/10	06/30/10	$14,797.35	$17,755 64	($2,958.29)
Security Subtotal				**$52,913.48**	**$58,689.03**	**($5,775.55)**
BOEING CO: BA	450.0000	01/06/10	03/29/10	$33,319.96	$26,922.86	$6,397 10
BOEING CO: BA	250.0000	01/06/10	07/15/10	$16,097 96	$14,957.15	$1,140.81
BOEING CO: BA	250.0000	01/27/10	07/15/10	$16,097 96	$15,152 55	$945 41
BOEING CO: BA	50.0000	02/09/10	07/15/10	$3,219.59	$3,005 70	$213 89
BOEING CO: BA	250.0000	02/09/10	11/12/10	$15,861.29	$15,028 51	$832 78
BOEING CO: BA	400.0000	02/22/10	11/12/10	$25,378 06	$25,557.35	($179.29)
Security Subtotal				**$109,974.82**	**$100,624.12**	**$9,350.70**
CAPITAL ONE FINANCIAL CP: COF	450.0000	04/13/09	01/25/10	$16,453.87	$8,214 82	$8,239.05

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CAPITAL ONE FINANCIAL CP: COF	200.0000	04/13/09	03/29/10	$8,505 10	$3,651.03	$4,854 07
Security Subtotal				**$24,958.97**	**$11,865.85**	**$13,093.12**
CARNIVAL CORP NEW FPAIRED STK SPECIAL VTG: CCL	850.0000	04/26/10	06/29/10	$25,579.27	$36,808.43	($11,229 16)
Security Subtotal				**$25,579.27**	**$36,808.43**	**($11,229.16)**
CISCO SYSTEMS INC: CSCO	850.0000	11/25/09	11/12/10	$17,113.25	$20,210.05	($3,096 80)
CISCO SYSTEMS INC: CSCO	350.0000	12/04/09	11/12/10	$7,046.63	$8,469.60	($1,422.97)
CISCO SYSTEMS INC: CSCO	650.0000	12/04/09	11/16/10	$12,640.53	$15,729.25	($3,088.72)
CISCO SYSTEMS INC: CSCO	950.0000	12/18/09	11/16/10	$18,474 63	$22,156.40	($3,681.77)
Security Subtotal				**$55,275.04**	**$66,565.30**	**($11,290.26)**
CITIGROUP INC: C	6,750.0000	04/08/10	05/14/10	$26,504.60	$30,095 30	($3,590 70)
CITIGROUP INC: C	950.0000	04/08/10	05/18/10	$3,551.87	$4,235 63	($683.76)
CITIGROUP INC: C	7,000 0000	04/14/10	05/18/10	$26,171 67	$33,827.35	($7,655.68)
Security Subtotal				**$56,228.14**	**$68,158.28**	**($11,930.14)**
CUMMINS INC: CMI	200.0000	03/02/10	03/29/10	$12,513.03	$11,873.74	$639.29

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Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CUMMINS INC: CMI	200.0000	03/02/10	06/03/10	$13,909 81	$11,873.73	$2,036.08
CUMMINS INC: CMI	250.0000	03/02/10	07/15/10	$18,251.09	$14,842 17	$3,408.92
CUMMINS INC: CMI	400.0000	03/09/10	08/24/10	$30,179 49	$24,226.95	$5,952.54
Security Subtotal				**$74,853.42**	**$62,816.59**	**$12,036.83**
DIRECTV CL A CLASS A: DTV	500.0000	11/11/09	03/29/10	$16,681 26	$14,742.97	$1,938.29
Security Subtotal				**$16,681.26**	**$14,742.97**	**$1,938.29**
DOW CHEMICAL COMPANY: DOW	1,300.0000	08/03/09	02/05/10	$34,418 12	$28,619 62	$5,798.50
DOW CHEMICAL COMPANY: DOW	300.0000	08/03/09	03/29/10	$8,901.77	$6,604 53	$2,297 24
DOW CHEMICAL COMPANY: DOW	200.0000	09/10/09	03/29/10	$5,934 52	$4,537.23	$1,397.29
DOW CHEMICAL COMPANY: DOW	1,000.0000	09/10/09	08/04/10	$25,197.72	$22,686.12	$2,511.60
Security Subtotal				**$74,452.13**	**$62,447.50**	**$12,004.63**
EXPRESS SCRIPTS INC: ESRX	250.0000	04/20/09	03/29/10	$25,501.11	$14,681.45	$10,819.66
EXPRESS SCRIPTS INC: ESRX	200.0000	04/20/09	04/14/10	$20,066.85	$11,745.16	$8,321.69
EXPRESS SCRIPTS INC: ESRX	50.0000	04/20/09	04/15/10	$5,032 58	$2,936.29	$2,096.29

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EXPRESS SCRIPTS INC: ESRX	250.0000	04/28/09	04/15/10	$25,162.88	$15,356.95	$9,805 93
Security Subtotal				**$75,763.42**	**$44,719.85**	**$31,043.57**
FEDEX CORPORATION: FDX	450.0000	12/15/09	02/05/10	$34,072.04	$41,289.93	($7,217.89)
Security Subtotal				**$34,072.04**	**$41,289.93**	**($7,217.89)**
FORD MOTOR COMPANY NEW: F	1,250.0000	02/25/10	08/25/10	$13,953.44	$14,761.94	($808 50)
Security Subtotal				**$13,953.44**	**$14,761.94**	**($808.50)**
FREEPORT MCMORAN COPPER: FCX	250.0000	01/29/09	01/25/10	$18,786.76	$6,726.48	$12,060.28
FREEPORT MCMORAN COPPER: FCX	250.0000	01/29/09	01/26/10	$18,247.21	$6,726.49	$11,520 72
FREEPORT MCMORAN COPPER: FCX	350.0000	09/11/09	02/05/10	$23,618 43	$24,680.21	($1,061.78)
Security Subtotal				**$60,652.40**	**$38,133.18**	**$22,519.22**
GENERAL DYNAMICS CORP: GD	100.0000	10/20/09	03/29/10	$7,847.11	$6,734.12	$1,112.99
GENERAL DYNAMICS CORP: GD	400.0000	10/20/09	06/03/10	$27,202 43	$26,936.47	$265 96
GENERAL DYNAMICS CORP: GD	50.0000	10/20/09	06/07/10	$3,205.87	$3,367.06	($161.19)
GENERAL DYNAMICS CORP: GD	300.0000	11/25/09	06/07/10	$19,235 21	$20,228.29	($993.08)

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GENERAL DYNAMICS CORP: GD	250.0000	12/11/09	06/07/10	$16,029 34	$17,259.13	($1,229 79)
GENERAL DYNAMICS CORP: GD	300.0000	12/15/09	06/07/10	$19,235.21	$21,081.07	($1,845 86)
Security Subtotal				**$92,755.17**	**$95,606.14**	**($2,850.97)**
GENERAL ELECTRIC COMPANY: GE	950.0000	03/16/10	03/29/10	$17,414 70	$17,119 09	$295.61
GENERAL ELECTRIC COMPANY: GE	1,650.0000	03/16/10	05/21/10	$26,882.13	$29,733.16	($2,851.03)
GENERAL ELECTRIC COMPANY: GE	850.0000	03/16/10	05/24/10	$13,687.17	$15,317.08	($1,629 91)
GENERAL ELECTRIC COMPANY: GE	950.0000	03/18/10	05/24/10	$15,297 43	$17,277.79	($1,980 36)
GENERAL ELECTRIC COMPANY: GE	50.0000	03/18/10	06/01/10	$812 26	$909.36	($97.10)
GENERAL ELECTRIC COMPANY: GE	1,050.0000	03/24/10	06/01/10	$17,057 43	$19,718.71	($2,661.28)
GENERAL ELECTRIC COMPANY: GE	1,650.0000	04/15/10	06/01/10	$26,804.53	$32,237 25	($5,432 72)
Security Subtotal				**$117,955.65**	**$132,312.44**	**($14,356.79)**
GILEAD SCIENCES INC: GILD	400 0000	04/07/09	03/29/10	$18,402.97	$19,111 91	($708 94)
GILEAD SCIENCES INC: GILD	300.0000	07/22/09	03/29/10	$13,802.23	$14,169.97	($367 74)

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GILEAD SCIENCES INC: GILD	600.0000	09/08/09	07/16/10	$19,253.02	$28,020.31	($8,767.29)
GILEAD SCIENCES INC: GILD	400.0000	02/10/10	07/16/10	$12,835.35	$18,405.11	($5,569.76)
GILEAD SCIENCES INC: GILD	400.0000	03/03/10	07/16/10	$12,835.34	$18,992.99	($6,157.65)
Security Subtotal				**$77,128.91**	**$98,700.29**	**($21,571.38)**
GOLDMAN SACHS GROUP INC: GS	100.0000	03/05/10	05/07/10	$14,305.89	$16,665.82	($2,359.93)
Security Subtotal				**$14,305.89**	**$16,665.82**	**($2,359.93)**
JPMORGAN CHASE & CO: JPM	800.0000	03/11/09	01/21/10	$32,721.19	$15,612.55	$17,108 64
Security Subtotal				**$32,721.19**	**$15,612.55**	**$17,108.64**
KOHLS CORP: KSS	250 0000	03/26/09	01/21/10	$12,991 33	$10,737.04	$2,254 29
KOHLS CORP: KSS	150.0000	03/26/09	03/11/10	$7,931.95	$6,442 23	$1,489.72
KOHLS CORP: KSS	300.0000	03/27/09	03/11/10	$15,863.91	$12,912.97	$2,950.94
KOHLS CORP: KSS	300.0000	10/09/09	03/11/10	$15,863 91	$17,952 40	($2,088 49)
Security Subtotal				**$52,651.10**	**$48,044.64**	**$4,606.46**

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MEDCOHEALTH SOLUTIONS: MHS	250.0000	07/16/10	10/22/10	$12,767 87	$14,210 38	($1,442 51)
Security Subtotal				**$12,767.87**	**$14,210.38**	**($1,442.51)**
MICROSOFT CORP: MSFT	300.0000	03/23/09	03/18/10	$8,877 83	$5,329 41	$3,548.42
Security Subtotal				**$8,877.83**	**$5,329.41**	**$3,548.42**
NETFLIX INC: NFLX	200.0000	09/22/10	12/14/10	$35,739 09	$30,681 69	$5,057.40
Security Subtotal				**$35,739.09**	**$30,681.69**	**$5,057.40**
ORACLE CORPORATION. ORCL	750.0000	09/09/09	03/29/10	$19,131 47	$16,792.07	$2,339.40
Security Subtotal				**$19,131.47**	**$16,792.07**	**$2,339.40**
PFIZER INCORPORATED· PFE	2,100.0000	11/25/09	03/03/10	$36,404.84	$38,780 83	($2,375 99)
PFIZER INCORPORATED: PFE	950.0000	01/19/10	03/03/10	$16,468.86	$18,999 36	($2,530.50)
PFIZER INCORPORATED: PFE	950.0000	01/20/10	03/03/10	$16,468 86	$18,982.83	($2,513.97)
Security Subtotal				**$69,342.56**	**$76,763.02**	**($7,420.46)**
PNC FINL SERVICES GP INC: PNC	700.0000	03/12/10	08/24/10	$35,470.79	$41,126.04	($5,655 25)
Security Subtotal				**$35,470.79**	**$41,126.04**	**($5,655.25)**

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PRAXAIR INC: PX	400.0000	04/29/09	01/27/10	$30,413 14	$28,660.87	$1,752.27
Security Subtotal				**$30,413.14**	**$28,660.87**	**$1,752.27**
PRECISION CASTPARTS CORP: PCP	100.0000	11/18/09	03/29/10	$12,698.13	$10,608.11	$2,090.02
PRECISION CASTPARTS CORP: PCP	150.0000	11/18/09	08/10/10	$18,282.07	$15,912.16	$2,369.91
PRECISION CASTPARTS CORP: PCP	100.0000	11/18/09	08/27/10	$11,487.33	$10,608.11	$879.22
PRECISION CASTPARTS CORP: PCP	50.0000	12/07/09	08/27/10	$5,743.66	$5,565.30	$178.36
Security Subtotal				**$48,211.19**	**$42,693.68**	**$5,517.51**
SALESFORCE COM: CRM	100.0000	12/29/09	03/29/10	$7,465.92	$7,455.87	$10.05
SALESFORCE COM: CRM	150.0000	12/29/09	08/12/10	$14,582.34	$11,183.81	$3,398 53
Security Subtotal				**$22,048.26**	**$18,639.68**	**$3,408.58**
STAPLES INC: SPLS	1,500.0000	06/09/09	03/03/10	$33,525.22	$31,125.89	$2,399 33
STAPLES INC: SPLS	100.0000	06/09/09	03/10/10	$2,292 26	$2,075.06	$217.20
STAPLES INC: SPLS	700.0000	08/12/09	03/10/10	$16,045.81	$15,783 24	$262 57

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STAPLES INC: SPLS	850.0000	02/09/10	03/10/10	$19,484 19	$20,333.39	($849 20)
Security Subtotal				**$71,347.48**	**$69,317.58**	**$2,029.90**
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10. TEVA	200.0000	02/23/10	03/29/10	$12,775.03	$11,938.54	$836.49
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10: TEVA	400.0000	02/23/10	12/02/10	$20,046 47	$23,877.07	($3,830 60)
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10· TEVA	50 0000	02/23/10	12/21/10	$2,542 18	$2,984.63	($442 45)
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10: TEVA	300.0000	03/02/10	12/21/10	$15,253.10	$18,416 65	($3,163 55)
TEVA PHARM INDS LTD ADRFSPONSORED ADR 1 ADR REP 10: TEVA	300 0000	03/22/10	12/21/10	$15,253.11	$19,318.63	($4,065 52)
Security Subtotal				**$65,869.89**	**$76,535.52**	**($10,665.63)**
TIME WARNER CABLE: TWC	550.0000	08/03/10	09/17/10	$28,316.51	$32,407 25	($4,090 74)
Security Subtotal				**$28,316.51**	**$32,407.25**	**($4,090.74)**
TRANSOCEAN INC NEW F: RIG	50.0000	04/02/09	02/24/10	$3,990.91	$3,147 99	$842 92
TRANSOCEAN INC NEW F: RIG	250.0000	04/09/09	02/24/10	$19,954.56	$16,582 78	$3,371.78

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TRANSOCEAN INC NEW	F: RIG	200.0000	04/30/09	02/24/10	$15,963.65	$13,922.59	$2,041.06
TRANSOCEAN INC NEW	F: RIG	250.0000	05/04/09	02/24/10	$19,954.56	$18,400.84	$1,553.72
TRANSOCEAN INC NEW	F: RIG	100.0000	05/04/09	03/29/10	$8,326.68	$7,360.34	$966.34
TRANSOCEAN INC NEW	F: RIG	100.0000	05/26/09	03/29/10	$8,326.68	$7,422.72	$903.96
TRANSOCEAN INC NEW	F: RIG	100.0000	05/26/09	05/20/10	$6,031 18	$7,422.71	($1,391 53)
TRANSOCEAN INC NEW	F: RIG	200.0000	10/08/09	05/20/10	$12,062.37	$17,896 91	($5,834.54)
TRANSOCEAN INC NEW	F: RIG	200.0000	10/16/09	05/20/10	$12,062.37	$18,230.39	($6,168 02)
TRANSOCEAN INC NEW	F: RIG	250.0000	11/25/09	05/20/10	$15,077 96	$21,364.90	($6,286.94)
Security Subtotal					**$121,750.92**	**$131,752.17**	**($10,001.25)**
UNITED PARCEL SERVICE B CLASS B: UPS		250.0000	04/28/10	07/16/10	$14,878 37	$17,044.10	($2,165.73)
Security Subtotal					**$14,878.37**	**$17,044.10**	**($2,165.73)**
VALE SA ADR 1 ADR REP 1: VALE	FSPONSORED ADR	550.0000	02/26/09	01/26/10	$14,871.92	$7,380.93	$7,490 99
VALE SA ADR 1 ADR REP 1: VALE	FSPONSORED ADR	850.0000	03/11/09	01/26/10	$22,983.88	$11,593 77	$11,390.11

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VALE SA ADR 1 ADR REP 1: VALE	FSPONSORED ADR	1,000.0000	04/16/10	07/15/10	$24,703.95	$33,713.85	($9,009.90)
VALE SA ADR 1 ADR REP 1: VALE	FSPONSORED ADR	100.0000	04/27/10	07/15/10	$2,470.39	$3,077.70	($607.31)
Security Subtotal					**$65,030.14**	**$55,766.25**	**$9,263.89**
VISA INC CL A	CLASS A: V	200.0000	04/30/09	03/29/10	$18,101.94	$13,505.40	$4,596.54
VISA INC CL A	CLASS A: V	100.0000	11/10/09	08/25/10	$7,098.98	$8,128.73	($1,029 75)
VISA INC CL A	CLASS A: V	150.0000	11/10/09	09/10/10	$10,143.95	$12,193.10	($2,049.15)
VISA INC CL A	CLASS A: V	250.0000	06/10/10	12/20/10	$16,995.79	$19,288.80	($2,293.01)
VISA INC CL A	CLASS A: V	200.0000	07/09/10	12/20/10	$13,596 63	$15,454.97	($1,858.34)
Security Subtotal					**$65,937.29**	**$68,571.00**	**($2,633.71)**
3M COMPANY: MMM		250 0000	10/08/09	03/29/10	$20,325 95	$18,574.18	$1,751.77
3M COMPANY: MMM		200.0000	10/08/09	06/03/10	$15,573.62	$14,859.34	$714 28
3M COMPANY: MMM		50.0000	10/08/09	06/07/10	$3,775 81	$3,714.83	$60 98
3M COMPANY: MMM		250.0000	10/20/09	06/07/10	$18,879.06	$19,132.70	($253 64)

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
3M COMPANY: MMM	100.0000	10/23/09	06/07/10	$7,551.62	$7,781.07	($229.45)
3M COMPANY: MMM	300.0000	12/14/09	11/08/10	$25,721.36	$24,528 67	$1,192.69
Security Subtotal				**$91,827.42**	**$88,590.79**	**$3,236.63**
Total Short-Term				**$2,041,354.25**	**$2,020,377.73**	**$20,976.52**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AMERN TOWER CORP CLASS A: AMT	500.0000	06/01/07	03/29/10	$21,286.19	$21,487.84	($201 65)
AMERN TOWER CORP CLASS A: AMT	300.0000	06/01/07	04/16/10	$12,460.03	$12,892.71	($432.68)
Security Subtotal				**$33,746.22**	**$34,380.55**	**($634.33)**
APPLE INC: AAPL	150.0000	08/12/08	01/27/10	$30,738.60	$26,700 55	$4,038.05
APPLE INC: AAPL	50.0000	12/02/08	01/27/10	$10,246.20	$4,437.20	$5,809 00
APPLE INC: AAPL	100.0000	12/02/08	03/16/10	$22,400.63	$8,874 39	$13,526 24
APPLE INC: AAPL	50.0000	12/02/08	03/29/10	$11,643.42	$4,437.20	$7,206 22

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPLE INC: AAPL	100.0000	12/03/08	03/29/10	$23,286.83	$9,377 55	$13,909.28
Security Subtotal				**$98,315.68**	**$53,826.89**	**$44,488.79**
BANK OF AMERICA CORP: BAC	850.0000	05/05/09	07/01/10	$11,715.98	$9,356.32	$2,359.66
BANK OF AMERICA CORP: BAC	150.0000	06/17/09	07/01/10	$2,067.53	$1,865.01	$202 52
BANK OF AMERICA CORP: BAC	1,100.0000	06/17/09	07/19/10	$14,776.56	$13,676.73	$1,099 83
BANK OF AMERICA CORP: BAC	150.0000	06/17/09	09/09/10	$2,023.97	$1,865 01	$158.96
Security Subtotal				**$30,584.04**	**$26,763.07**	**$3,820.97**
CAPITAL ONE FINANCIAL CP: COF	350.0000	04/13/09	10/15/10	$13,156 75	$6,389 31	$6,767 44
Security Subtotal				**$13,156.75**	**$6,389.31**	**$6,767.44**
CELGENE CORP: CELG	100.0000	02/23/09	03/29/10	$6,223 04	$5,273.03	$950 01
CELGENE CORP. CELG	550.0000	04/06/09	12/07/10	$31,563.60	$23,107.41	$8,456 19
Security Subtotal				**$37,786.64**	**$28,380.44**	**$9,406.20**
CISCO SYSTEMS INC: CSCO	450.0000	12/01/08	03/29/10	$11,944.52	$6,833.18	$5,111 34
CISCO SYSTEMS INC: CSCO	800.0000	12/08/08	03/29/10	$21,234.71	$13,559 54	$7,675.17

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CISCO SYSTEMS INC: CSCO	550.0000	12/08/08	09/22/10	$11,850.09	$9,322.19	$2,527.90
CISCO SYSTEMS INC: CSCO	200.0000	03/10/09	09/22/10	$4,309.12	$2,916.19	$1,392.93
CISCO SYSTEMS INC: CSCO	700.0000	03/10/09	11/11/10	$14,498.39	$10,206.66	$4,291.73
CISCO SYSTEMS INC: CSCO	650.0000	03/23/09	11/11/10	$13,462.79	$10,793.17	$2,669.62
CISCO SYSTEMS INC: CSCO	350.0000	04/02/09	11/11/10	$7,249.19	$6,423.34	$825.85
CISCO SYSTEMS INC: CSCO	450.0000	04/02/09	11/12/10	$9,059.96	$8,258.57	$801.39
Security Subtotal				**$93,608.77**	**$68,312.84**	**$25,295.93**
DIRECTV CL A CLASS A: DTV	450.0000	11/11/09	12/07/10	$17,815.84	$13,268.67	$4,547.17
Security Subtotal				**$17,815.84**	**$13,268.67**	**$4,547.17**
DISNEY WALT CO: DIS	150.0000	11/24/08	03/29/10	$5,268 93	$3,248.63	$2,020.30
DISNEY WALT CO: DIS	450.0000	12/08/08	03/29/10	$15,806.78	$11,150.52	$4,656 26
DISNEY WALT CO: DIS	650.0000	12/08/08	06/30/10	$20,962.47	$16,106.31	$4,856.16
DISNEY WALT CO: DIS	50.0000	03/10/09	06/30/10	$1,612.50	$825.62	$786.88

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DISNEY WALT CO: DIS	500.0000	03/10/09	08/25/10	$15,858.93	$8,256 25	$7,602.68
Security Subtotal				**$59,509.61**	**$39,587.33**	**$19,922.28**
FREEPORT MCMORAN COPPER. FCX	150.0000	01/29/09	02/05/10	$10,122.19	$4,035.89	$6,086.30
Security Subtotal				**$10,122.19**	**$4,035.89**	**$6,086.30**
GOLDMAN SACHS GROUP INC: GS	200.0000	01/02/09	03/29/10	$34,530.08	$17,361.50	$17,168.58
GOLDMAN SACHS GROUP INC: GS	50.0000	01/06/09	03/29/10	$8,632.52	$4,566.07	$4,066.45
GOLDMAN SACHS GROUP INC: GS	450.0000	01/06/09	04/30/10	$66,541.05	$41,094.58	$25,446.47
GOLDMAN SACHS GROUP INC: GS	250.0000	03/24/09	05/07/10	$35,764.73	$28,497.83	$7,266 90
Security Subtotal				**$145,468.38**	**$91,519.98**	**$53,948.40**
GOOGLE INC CLASS A: GOOG	79.0000	08/06/08	03/16/10	$44,665.52	$37,803.47	$6,862 05
GOOGLE INC CLASS A: GOOG	26.0000	08/06/08	03/24/10	$14,123.26	$12,441 65	$1,681.61
GOOGLE INC CLASS A: GOOG	35.0000	10/14/08	03/24/10	$19,012 08	$12,952 33	$6,059.75
GOOGLE INC CLASS A: GOOG	50.0000	10/14/08	03/29/10	$28,096.07	$18,503 34	$9,592.73
GOOGLE INC CLASS A: GOOG	38.0000	10/14/08	04/26/10	$20,254.98	$14,062.53	$6,192.45

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GOOGLE INC CLASS A: GOOG	11.0000	01/23/09	04/26/10	$5,863.29	$3,559.04	$2,304.25
GOOGLE INC CLASS A: GOOG	32.0000	01/23/09	04/28/10	$16,896.36	$10,353.58	$6,542 78
Security Subtotal				**$148,911.56**	**$109,675.94**	**$39,235.62**
HEWLETT-PACKARD COMPANY: HPQ	650.0000	05/01/08	01/28/10	$31,019.89	$31,068.37	($48.48)
HEWLETT-PACKARD COMPANY: HPQ	50.0000	10/14/08	01/28/10	$2,386.14	$2,061.07	$325.07
HEWLETT-PACKARD COMPANY: HPQ	550.0000	10/14/08	03/29/10	$29,207.10	$22,671.80	$6,535.30
HEWLETT-PACKARD COMPANY: HPQ	400.0000	10/14/08	09/08/10	$15,355.51	$16,488.58	($1,133.07)
HEWLETT-PACKARD COMPANY: HPQ	250.0000	11/26/08	09/08/10	$9,597.20	$8,695.85	$901.35
HEWLETT-PACKARD COMPANY: HPQ	300.0000	11/26/08	12/01/10	$12,777.07	$10,435.01	$2,342.06
Security Subtotal				**$100,342.91**	**$91,420.68**	**$8,922.23**
INTL BUSINESS MACHINES: IBM	200.0000	07/09/08	01/28/10	$24,737.33	$24,614.07	$123 26
INTL BUSINESS MACHINES: IBM	250.0000	10/13/08	03/17/10	$31,908.37	$22,860.05	$9,048.32
INTL BUSINESS MACHINES: IBM	200.0000	10/14/08	03/29/10	$25,781.01	$19,234.65	$6,546.36

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
INTL BUSINESS MACHINES: IBM	100.0000	10/14/08	04/21/10	$12,856.83	$9,617.32	$3,239.51
INTL BUSINESS MACHINES: IBM	50.0000	11/25/08	04/21/10	$6,428.42	$4,117.18	$2,311.24
INTL BUSINESS MACHINES: IBM	50.0000	11/25/08	04/22/10	$6,425 16	$4,117 19	$2,307.97
Security Subtotal				**$108,137.12**	**$84,560.46**	**$23,576.66**
JPMORGAN CHASE & CO: JPM	350.0000	03/11/09	03/29/10	$15,657 31	$6,830.49	$8,826.82
JPMORGAN CHASE & CO· JPM	150.0000	03/11/09	10/14/10	$5,759.42	$2,927.35	$2,832 07
JPMORGAN CHASE & CO: JPM	550.0000	03/12/09	10/14/10	$21,117 87	$11,852 10	$9,265 77
JPMORGAN CHASE & CO: JPM	150.0000	03/18/09	10/14/10	$5,759 42	$3,738 14	$2,021.28
JPMORGAN CHASE & CO: JPM	350 0000	03/18/09	10/15/10	$13,119.33	$8,722 33	$4,397.00
JPMORGAN CHASE & CO· JPM	300.0000	03/18/09	11/02/10	$11,119.36	$7,476.27	$3,643.09
Security Subtotal				**$72,532.71**	**$41,546.68**	**$30,986.03**
MEDCOHEALTH SOLUTIONS: MHS	200.0000	06/26/08	03/29/10	$12,969 02	$9,323.03	$3,645 99
MEDCOHEALTH SOLUTIONS: MHS	50.0000	07/09/08	03/29/10	$3,242.25	$2,424.96	$817 29

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MEDCOHEALTH SOLUTIONS: MHS	250.0000	07/09/08	04/14/10	$15,496.48	$12,124.78	$3,371.70
MEDCOHEALTH SOLUTIONS: MHS	200.0000	07/09/08	04/15/10	$12,503.21	$9,699.83	$2,803 38
MEDCOHEALTH SOLUTIONS: MHS	350.0000	07/09/08	08/24/10	$15,576.94	$16,974 69	($1,397 75)
MEDCOHEALTH SOLUTIONS: MHS	100.0000	03/10/09	08/24/10	$4,450.56	$3,888.44	$562.12
MEDCOHEALTH SOLUTIONS: MHS	400.0000	03/10/09	10/22/10	$20,428 59	$15,553.76	$4,874.83
Security Subtotal				**$84,667.05**	**$69,989.49**	**$14,677.56**
MERCK & CO INC NEW: MRK	100.0000	03/10/09	03/29/10	$3,787.08	$2,192.53	$1,594 55
MERCK & CO INC NEW: MRK	100.0000	03/10/09	04/14/10	$3,604.70	$2,192 53	$1,412 17
MERCK & CO INC NEW: MRK	600.0000	03/12/09	04/14/10	$21,628.18	$14,215.27	$7,412.91
MERCK & CO INC NEW: MRK	50.0000	03/13/09	04/14/10	$1,802.34	$1,332.71	$469.63
MERCK & CO INC NEW: MRK	1,400.0000	03/13/09	04/15/10	$50,950.88	$37,315.84	$13,635 04
Security Subtotal				**$81,773.18**	**$57,248.88**	**$24,524.30**
MICROSOFT CORP: MSFT	600.0000	03/10/09	03/18/10	$17,755.67	$9,681.36	$8,074 31

2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MICROSOFT CORP: MSFT	500.0000	03/23/09	03/29/10	$14,817.78	$8,882 34	$5,935 44
MICROSOFT CORP: MSFT	100.0000	03/26/09	03/29/10	$2,963.56	$1,845.19	$1,118.37
MICROSOFT CORP: MSFT	650.0000	03/26/09	05/07/10	$18,268 08	$11,993.76	$6,274.32
MICROSOFT CORP: MSFT	1,500.0000	04/01/09	05/07/10	$42,157.11	$28,739 20	$13,417.91
MICROSOFT CORP: MSFT	750.0000	05/06/09	05/07/10	$21,078.55	$14,836.38	$6,242.17
Security Subtotal				**$117,040.75**	**$75,978.23**	**$41,062.52**
OCCIDENTAL PETE CORP: OXY	300.0000	01/06/09	03/29/10	$25,262.14	$18,594.95	$6,667.19
OCCIDENTAL PETE CORP: OXY	200.0000	01/06/09	08/25/10	$14,569.82	$12,396.64	$2,173.18
OCCIDENTAL PETE CORP: OXY	150.0000	03/30/09	08/25/10	$10,927 36	$8,380.38	$2,546 98
OCCIDENTAL PETE CORP: OXY	50.0000	04/02/09	08/25/10	$3,642.45	$3,061 67	$580 78
Security Subtotal				**$54,401.77**	**$42,433.64**	**$11,968.13**
PHILIP MORRIS INTL INC: PM	150.0000	07/09/08	03/29/10	$7,874.45	$8,042.83	($168 38)
PHILIP MORRIS INTL INC: PM	50.0000	10/13/08	03/29/10	$2,624 82	$2,148.90	$475 92

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PHILIP MORRIS INTL INC: PM	300.0000	10/13/08	04/22/10	$15,345.90	$12,893.42	$2,452.48
PHILIP MORRIS INTL INC: PM	200.0000	10/13/08	04/27/10	$9,667.06	$8,595 62	$1,071.44
PHILIP MORRIS INTL INC: PM	450.0000	11/24/08	04/27/10	$21,750.89	$18,313 69	$3,437.20
Security Subtotal				**$57,263.12**	**$49,994.46**	**$7,268.66**
UNION PACIFIC CORP: UNP	250.0000	12/01/08	03/29/10	$18,343.54	$11,799.94	$6,543.60
UNION PACIFIC CORP: UNP	100.0000	03/11/09	03/29/10	$7,337.42	$3,672.90	$3,664.52
UNION PACIFIC CORP: UNP	400.0000	03/11/09	07/15/10	$28,866.76	$14,691 60	$14,175.16
Security Subtotal				**$54,547.72**	**$30,164.44**	**$24,383.28**
UNITED TECHNOLOGIES CORP: UTX	300.0000	08/06/08	03/29/10	$22,271.97	$19,630.56	$2,641.41
UNITED TECHNOLOGIES CORP: UTX	100.0000	08/06/08	06/07/10	$6,376.33	$6,543 52	($167.19)
UNITED TECHNOLOGIES CORP: UTX	300.0000	10/17/08	06/07/10	$19,129.00	$15,479.33	$3,649 67
Security Subtotal				**$47,777.30**	**$41,653.41**	**$6,123.89**
VISA INC CL A CLASS A: V	300.0000	04/30/09	08/12/10	$21,819.74	$20,258 10	$1,561.64
VISA INC CL A CLASS A: V	100.0000	05/06/09	08/12/10	$7,273.25	$6,708.23	$565.02

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2010 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

Long-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VISA INC CL A	CLASS A: V	100 0000	05/06/09	08/25/10	$7,098 98	$6,708 22	$390 76
Security Subtotal					**$36,191.97**	**$33,674.55**	**$2,517.42**
3M COMPANY: MMM		150.0000	10/23/09	11/08/10	$12,860 68	$11,671.61	$1,189.07
Security Subtotal					**$12,860.68**	**$11,671.61**	**$1,189.07**
Total Long-Term					**$1,516,561.96**	**$1,106,477.44**	**$410,084.52**
Total Realized Gain or (Loss)					**$3,557,916.21**	**$3,126,855.17**	**$431,061.04**

Schwab has provided realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	154,738.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	154,738.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS FROM SECURITIES	415,891.	167,448.	248,443.
TOTAL TO FM 990-PF, PART I, LN 4	415,891.	167,448.	248,443.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	331,352.	331,352.	
FORM 990-T UBTI	-38,660.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	292,692.	331,352.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	1,050.	105.		945.
TO FM 990-PF, PG 1, LN 16A	1,050.	105.		945.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	27,994.	2,800.		25,194.
TO FORM 990-PF, PG 1, LN 16B	27,994.	2,800.		25,194.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	258,287.	258,287.		0.
OTHER CONSULTING FEES	1,300.	0.		1,300.
TO FORM 990-PF, PG 1, LN 16C	259,587.	258,287.		1,300.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	7,355.	7,355.		0.
PAYROLL TAXES	21,937.	3,115.		18,822.
TO FORM 990-PF, PG 1, LN 18	29,292.	10,470.		18,822.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CLEANING	7,422.	742.		6,680.
COMPUTER SOFTWARE	722.	0.		722.
INSURANCE	47,809.	4,781.		43,028.
INTERNET	1,533.	153.		1,380.
DUES AND SUBSCRIPTIONS	4,974.	497.		4,477.

PARKING	2,160.	216.		1,944.
MISCELLANEOUS	400.	40.		360.
OFFICE SUPPLIES	2,541.	253.		2,288.
PEST CONTROL	541.	54.		487.
PUBLICATIONS	1,374.	137.		1,237.
POSTAGE	4,348.	435.		3,913.
REPAIRS	6,627.	663.		5,964.
SECURITY	349.	35.		314.
TO FORM 990-PF, PG 1, LN 23	80,800.	8,006.		72,794.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
CHANGE IN UNREALIZED GAINS & LOSSES	2,581,544.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,581,544.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
C SCHWAB MM #4246	X		456,414.	456,414.
CREDIT SWISSE	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS			456,414.	456,414.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			456,414.	456,414.

FORM 990-PF CORPORATE STOCK STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CREDIT SUISSE	0.	0.
C SCHWAB ATALANTA 0894	3,384,023.	3,384,023.
C SCHWAB ADVISORY 2980	2,837,289.	2,837,289.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,221,312.	6,221,312.

FORM 990-PF CORPORATE BONDS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HARBOR BONDS FUNDS PIMCO	1,719,155.	1,719,155.
C SCHWAB SMH #1347	1,811,821.	1,811,821.
C SCHWAB SNOW CAPITAL #3773	3,468,777.	3,468,777.
C SCHWAB WENTWORTH HAUSER #983	2,201,800.	2,201,800.
TOTAL TO FORM 990-PF, PART II, LINE 10C	9,201,553.	9,201,553.

FORM 990-PF OTHER INVESTMENTS STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BESSEMER TRUST 167 & 165	FMV	0.	0.
JP MORGAN TIGER GLOBAL	FMV	2,018,475.	2,018,475.
THORNBURG	FMV	4,976,006.	4,976,006.
INVESTMENT IN WINDRIVER INC	FMV	3,185,643.	3,185,643.
ASHMORE INVESTMENTS	FMV	2,805,001.	2,805,001.
TITAN INVESTMENTS	FMV	2,524,198.	2,524,198.
IRONWOOD INVESTMENTS	FMV	1,712,543.	1,712,543.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,221,866.	17,221,866.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	912.	814.
FEDERAL EXCISE TAXES PAYABLE	6,520.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	7,432.	814.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
EUGENE VICTOR THAW PO BOX 2422 SANTA FE, NM 87501	PRESIDENT 10.00	0.	0.	0.
CLARE E. THAW PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
PATRICIA TANG PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
WILLIAM ACQUAVELLA PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
JEFFEREY L. FORNACIARI PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THORNBURG INTERNATIONAL VALUE CL I	P	VARIOUS	03/29/10
b	ADC TELECOMM INC VAR2013NOTE	P	10/22/09	05/19/10
c	AMERICAN CASINO 11%14NOTES	P	02/25/10	09/09/10
d	AMERICAN CASINO 11%14NOTES	P	03/05/10	09/09/10
e	AMERICAN RAILCAR 7.5%14NOTES	P	10/09/09	09/09/10
f	BRIGHAM EXPLORE 9.625% 14CO GUARANT	P	01/14/10	09/27/10
g	CIT GROUP INC NEW: CIT	P	07/16/09	07/13/10
h	CIT GROUP INC NEW: CIT	P	07/17/09	07/13/10
i	CIT GROUP INC NEW: CIT	P	08/26/09	07/13/10
j	CIT GROUP INC 7%13NOTES	P	05/06/09	03/10/10
k	CIT GROUP INC 7%13NOTES	P	07/16/09	03/10/10
l	CIT GROUP INC 7%13NOTES	P	07/17/09	03/10/10
m	CIT GROUP INC 7%13NOTES	P	08/26/09	03/10/10
n	CIT GROUP INC 7%14NOTES	P	05/06/09	03/12/10
o	CIT GROUP INC 7%14NOTES	P	07/16/09	03/12/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	600,000.		757,735.	-157,735.
b	4,394.		4,025.	369.
c	32,957.		30,333.	2,624.
d	2,908.		2,769.	139.
e	26,438.		24,690.	1,748.
f	3,066.		3,093.	-27.
g	4,307.		3,134.	1,173.
h	5,212.		3,966.	1,246.
i	2,493.		1,896.	597.
j	1,216.		1,037.	179.
k	1,283.		806.	477.
l	1,553.		1,017.	536.
m	743.		486.	257.
n	1,795.		1,549.	246.
o	1,895.		1,197.	698.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-157,735.
b				369.
c				2,624.
d				139.
e				1,748.
f				-27.
g				1,173.
h				1,246.
i				597.
j				179.
k				477.
l				536.
m				257.
n				246.
o				698.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CIT GROUP INC 7%14NOTES	P	07/17/09	03/12/10
b	CIT GROUP INC 7%14NOTES	P	08/26/09	03/12/10
c	CIT GROUP INC 7%15NOTES	P	05/06/09	03/15/10
d	CIT GROUP INC 7%15NOTES	P	07/16/09	03/15/10
e	CIT GROUP INC 7%15NOTES	P	07/17/09	03/15/10
f	CIT GROUP INC 7%15NOTES	P	08/26/09	03/15/10
g	CIT GROUP INC 7%16NOTES	P	05/06/09	03/12/10
h	CIT GROUP INC 7%16NOTES	P	07/16/09	03/12/10
i	CIT GROUP INC 7%16NOTES	P	07/17/09	03/12/10
j	CIT GROUP INC 7%16NOTES	P	08/26/09	03/12/10
k	CRICKET COMM 9.375% 14NOTES	P	01/13/10	11/19/10
l	ENERGY CONVERS 3% 13CVT BOND	P	09/24/09	09/09/10
m	ENERGY CONVERS 3% 13CVT BOND	P	10/06/09	09/09/10
n	ENERGY CONVERS 3% 13CVT BOND	P	11/10/09	09/09/10
o	ENERGY CONVERS 3% 13CVT BOND	P	11/24/09	09/09/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,293.		1,512.	781.
b	1,097.		723.	374.
c	1,755.		1,545.	210.
d	1,853.		1,190.	663.
e	2,243.		1,504.	739.
f	1,073.		719.	354.
g	2,889.		2,570.	319.
h	3,050.		1,975.	1,075.
i	3,692.		2,496.	1,196.
j	1,766.		1,194.	572.
k	10,505.		10,194.	311.
l	3,247.		4,017.	-770.
m	6,495.		7,899.	-1,404.
n	1,948.		2,021.	-73.
o	18,185.		19,655.	-1,470.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				781.
b				374.
c				210.
d				663.
e				739.
f				354.
g				319.
h				1,075.
i				1,196.
j				572.
k				311.
l				-770.
m				-1,404.
n				-73.
o				-1,470.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FORD MOTOR CRED 8.125%20BONDS	P	05/24/10	09/09/10
b	FORD MOTOR CRED 8.125%20BONDS	P	06/07/10	09/09/10
c	FORD MOTOR CREDIT 7%13NOTES	P	03/30/09	02/16/10
d	GENL MTRS ACCEPT 8%31 BONDS	P	11/04/09	09/09/10
e	GLOBAL INDUS LTD 2.75%27CVT BD	P	05/07/10	09/09/10
f	HARRAHS OPER 11.25% 17NOTES	P	02/19/10	09/09/10
g	HARRAHS OPER 11.25% 17NOTES	P	03/05/10	09/09/10
h	HOVNANIAN ENT 10.625% 16NOTES	P	03/02/10	09/09/10
i	HOVNANIAN ENT 10.625% 16NOTES	P	06/17/10	09/09/10
j	HOVNANIAN ENT 10.625% 16NOTES	P	06/30/10	09/09/10
k	METROPCS WIRELES 9.25%14NOTES	P	12/04/09	09/09/10
l	METROPCS WIRELES 9.25%14NOTES	P	03/23/10	09/09/10
m	MGM MIRAGE INC 7.625%17SR NOTES	P	11/06/09	11/01/10
n	MGM MIRAGE INC 7.625%17SR NOTES	P	09/08/10	11/01/10
o	NEKTAR THERAPEUT 3.25%12SUB NT CONV	P	03/11/09	03/03/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,442.		3,995.	447.
b	9,995.		9,059.	936.
c	19,460.		14,578.	4,882.
d	35,958.		32,259.	3,699.
e	34,805.		34,999.	-194.
f	36,697.		35,884.	813.
g	3,238.		3,180.	58.
h	2,892.		3,173.	-281.
i	13,498.		14,232.	-734.
j	11,570.		12,007.	-437.
k	54,068.		52,315.	1,753.
l	3,119.		3,102.	17.
m	44,301.		39,640.	4,661.
n	5,316.		4,918.	398.
o	51,302.		39,102.	12,200.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				447.
b				936.
c				4,882.
d				3,699.
e				-194.
f				813.
g				58.
h				-281.
i				-734.
j				-437.
k				1,753.
l				17.
m				4,661.
n				398.
o				12,200.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEKTAR THERAPEUT 3.25%12SUB NT CONV	P	05/21/09	03/03/10
b	NIL HOLDINGS 3.125% 12NOTES	P	12/10/09	05/04/10
c	STONERIDGE INC 11.5%12SR NT	P	09/21/09	09/09/10
d	TRUMP ENTMT	P	VARIOUS	10/21/10
e	AFFYMETRIX INC 3.5%38CVT BOND	P	07/16/08	09/09/10
f	AFFYMETRIX INC 3.5%38CVT BOND	P	02/03/09	09/09/10
g	AFFYMETRIX INC 3.5%38CVT BOND	P	08/26/09	09/09/10
h	AMERICAN RAILCAR 7.5%14NOTES	P	06/09/09	09/09/10
i	AMERICAN REAL 7.125%13ESTATE	P	07/07/08	01/15/10
j	AMKOR TECHNOLOGY 9.25%16BONDS	P	07/28/08	06/22/10
k	AMKOR TECHNOLOGY 9.25%16BONDS	P	10/21/08	06/22/10
l	AVANTINE RENEW EGY 10%17*IN	P	05/19/08	01/08/10
m	AVANTINE RENEW EGY 10%17*IN	P	09/29/08	01/08/10
n	BRIGHAM EXPLORE 9.625% 14CO GUARANT	P	07/16/08	09/27/10
o	BRIGHAM EXPLORE 9.625% 14CO GUARANT	P	08/26/09	09/27/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,583.		10,162.	2,421.
b	68,375.		67,436.	939.
c	4,950.		4,716.	234.
d	203.		203.	0.
e	69,358.		61,068.	8,290.
f	2,774.		1,620.	1,154.
g	31,442.		26,928.	4,514.
h	3,917.		3,642.	275.
i	66,990.		62,702.	4,288.
j	65,100.		59,607.	5,493.
k	7,350.		4,829.	2,521.
l	77,851.		64,861.	12,990.
m	2,624.		1,705.	919.
n	62,333.		60,263.	2,070.
o	6,131.		5,495.	636.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				2,421.
b				939.
c				234.
d				0.
e				8,290.
f				1,154.
g				4,514.
h				275.
i				4,288.
j				5,493.
k				2,521.
l				12,990.
m				919.
n				2,070.
o				636.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CIT GROUP INC NEW: CIT	P	06/30/08	07/13/10
b	CIT GROUP INC NEW: CIT	P	11/17/08	07/13/10
c	CIT GROUP INC NEW: CIT	P	03/09/09	07/13/10
d	CIT GROUP INC NEW: CIT	P	05/06/09	07/13/10
e	CAPMARK FINL 7.875%12*	P	06/04/08	09/09/10
f	CAPMARK FINL 7.875%12*	P	07/09/08	09/09/10
g	CAPMARK FINL 7.875%12*	P	05/26/09	09/09/10
h	CIT GROUP INC 7%13NOTES	P	06/30/08	03/10/10
i	CIT GROUP INC 7%13NOTES	P	11/17/08	03/10/10
j	CIT GROUP INC 7%13NOTES	P	03/09/09	03/10/10
k	CIT GROUP INC 7%14NOTES	P	06/30/08	03/12/10
l	CIT GROUP INC 7%14NOTES	P	11/17/08	03/12/10
m	CIT GROUP INC 7%14NOTES	P	03/09/09	03/12/10
n	CIT GROUP INC 7%15NOTES	P	06/30/08	03/15/10
o	CIT GROUP INC 7%15NOTES	P	11/17/08	03/15/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	16,754.		17,430.	-676.
b	680.		642.	38.
c	2,947.		2,697.	250.
d	4,080.		4,117.	-37.
e	44,166.		112,392.	-68,226.
f	8,217.		17,764.	-9,547.
g	16,092.		14,211.	1,881.
h	4,998.		4,384.	614.
i	203.		162.	41.
j	878.		684.	194.
k	7,379.		6,554.	825.
l	299.		242.	57.
m	1,296.		1,020.	276.
n	7,215.		6,540.	675.
o	293.		242.	51.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-676.
b				38.
c				250.
d				-37.
e				-68,226.
f				-9,547.
g				1,881.
h				614.
i				41.
j				194.
k				825.
l				57.
m				276.
n				675.
o				51.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CIT GROUP INC 7%15NOTES	P	03/09/09	03/15/10
b	CIT GROUP INC 7%16NOTES	P	06/30/08	03/12/10
c	CIT GROUP INC 7%16NOTES	P	11/17/08	03/12/10
d	CIT GROUP INC 7%16NOTES	P	03/09/09	03/12/10
e	CIT GROUP INC 7%17NOTES	P	06/30/08	09/09/10
f	CIT GROUP INC 7%17NOTES	P	11/17/08	09/09/10
g	CIT GROUP INC 7%17NOTES	P	03/09/09	09/09/10
h	CIT GROUP INC 7%17NOTES	P	05/06/09	09/09/10
i	CIT GROUP INC 7%17NOTES	P	07/16/09	09/09/10
j	CRICKET COMM 9.375% 14NOTES	P	06/24/08	09/09/10
k	CRICKET COMM 9.375% 14NOTES	P	06/24/08	11/19/10
l	DUNE ENERGY INC 10.5%12	P	07/02/08	01/05/10
m	FORD MOTOR CREDIT 7% 13NOTES	P	10/14/08	02/16/10
n	FORD MOTOR CREDIT 7% 13NOTES	P	12/12/08	02/16/10
o	H&E EQUIPMENT 8.375%16COMP	P	06/27/08	09/14/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,268.		1,016.	252.
b	11,877.		10,882.	995.
c	482.		402.	80.
d	2,087.		1,689.	398.
e	17,517.		15,375.	2,142.
f	710.		570.	140.
g	3,077.		2,399.	678.
h	4,261.		3,636.	625.
i	3,859.		2,429.	1,430.
j	34,655.		33,279.	1,376.
k	76,687.		71,507.	5,180.
l	48,275.		65,255.	-16,980.
m	9,730.		4,836.	4,894.
n	2,919.		1,696.	1,223.
o	71,424.		65,778.	5,646.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				252.
b				995.
c				80.
d				398.
e				2,142.
f				140.
g				678.
h				625.
i				1,430.
j				1,376.
k				5,180.
l				-16,980.
m				4,894.
n				1,223.
o				5,646.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTL LEASE FIN 5.625%13NOTES	P	05/15/09	09/09/10
b	INTL LEASE FIN 5.625% 13NOTES	P	05/27/09	09/09/10
c	INTL LEASE FIN 5.625% 13NOTES	P	05/27/09	10/21/10
d	LEVEL 3 FING INC 9.25%14COMPANY	P	06/26/08	09/09/10
e	MIL HOMES INC 6.875%12CO GUARANT	P	07/17/08	03/02/10
f	METROPCS WIRELES 9.25%14NOTES	P	07/28/08	09/09/10
g	METROPCS WIRELES 9.25%14NOTES	P	08/12/09	09/09/10
h	MGM MIRAGE INC 7.625%17SR NOTES	P	07/09/08	09/09/10
i	MGM MIRAGE INC 7.625%17SR NOTES	P	07/09/08	11/01/10
j	MGM MIRAGE INC 7.625%17SR NOTES	P	07/21/09	11/01/10
k	NEKTAR THERAPEUT 3.25%12SUB NT	P	12/16/08	03/03/10
l	PHI INC 7.125%13CO GUARAN	P	11/19/08	09/23/10
m	ROYAL CARIB CRUS 7.0%13FNOTES	P	08/11/09	08/12/10
n	SPRINT CAP CORP 8.75%32NT	P	08/06/09	09/09/10
o	SPRINT CAP CORP 8.75%32NT	P	08/11/09	09/09/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,735.		42,145.	8,590.
b	940.		850.	90.
c	33,871.		29,082.	4,789.
d	22,475.		23,419.	-944.
e	66,360.		63,161.	3,199.
f	47,830.		45,100.	2,730.
g	11,438.		11,012.	426.
h	28,150.		29,897.	-1,747.
i	34,555.		33,402.	1,153.
j	11,518.		9,166.	2,352.
k	6,776.		4,810.	1,966.
l	10,071.		7,462.	2,609.
m	67,213.		60,803.	6,410.
n	11,933.		10,608.	1,325.
o	31,822.		28,121.	3,701.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				8,590.
b				90.
c				4,789.
d				-944.
e				3,199.
f				2,730.
g				426.
h				-1,747.
i				1,153.
j				2,352.
k				1,966.
l				2,609.
m				6,410.
n				1,325.
o				3,701.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	US CONCRETE INC 8.375%14SR	P	07/07/08	02/24/10
b	CHARLES SCHWAB #6230-0894 (ATTACHEMENT B)	P	VARIOUS	VARIOUS
c	CHARLES SCHWAB #6230-0894 (ATTACHEMENT B)	P	VARIOUS	VARIOUS
d	240 ENCORE WIRE CORP	P	02/29/08	12/16/10
e	440 WINN DIXIE STORES INC	P	10/31/07	12/16/10
f	170 CAPITOL FEDERAL FINANCIAL	P	10/31/07	12/07/10
g	110 CAPITOL FEDERAL FINANCIAL	P	05/27/09	12/07/10
h	400 WINN DIXIE STORES INC	P	10/31/07	12/07/10
i	260 CAPITOL FEDERAL FINANCIAL	P	10/31/07	11/23/10
j	720 WINN DIXIE STORES INC	P	10/31/07	11/23/10
k	150 WINN DIXIE STORES INC	P	06/04/07	11/23/10
l	150 WINN DIXIE STORES INC	P	06/04/07	11/19/10
m	700 WINN DIXIE STORES INC	P	06/04/07	11/19/10
n	240 CAPITOL FEDERAL FINANCIAL	P	10/31/07	11/17/10
o	630 COMMERCIAL METALS CO	P	11/25/08	11/10/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	37,328.		61,140.	-23,812.
b	2,041,354.		2,020,378.	20,976.
c	1,516,562.		1,106,477.	410,085.
d	6,006.		4,089.	1,917.
e	3,018.		10,257.	-7,239.
f	4,054.		5,628.	-1,574.
g	2,623.		4,549.	-1,926.
h	2,783.		9,324.	-6,541.
i	6,071.		8,608.	-2,537.
j	4,874.		16,784.	-11,910.
k	1,015.		4,812.	-3,797.
l	1,046.		4,812.	-3,766.
m	4,883.		22,458.	-17,575.
n	5,558.		7,946.	-2,388.
o	8,922.		5,573.	3,349.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-23,812.
b				20,976.
c				410,085.
d				1,917.
e				-7,239.
f				-1,574.
g				-1,926.
h				-6,541.
i				-2,537.
j				-11,910.
k				-3,797.
l				-3,766.
m				-17,575.
n				-2,388.
o				3,349.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	250 COMMERCIAL METALS CO	P	04/28/09	11/10/10
b	220 ALLEGHENY TECHNOLOGIES INC	P	09/01/09	11/19/10
c	240 ALLEGHENY TECHNOLOGIES INC	P	09/01/09	11/05/10
d	320 COMMERCIAL METALS CO	P	11/05/08	11/05/10
e	390 COMMERCIAL METALS CO	P	11/11/08	11/05/10
f	160 COMMERCIAL METALS CO	P	11/25/08	11/05/10
g	120 AMERCO INC	P	12/15/08	11/04/10
h	10 AMERCO INC	P	11/07/08	11/04/10
i	1,130 WINN DIXIE STORES INC	P	06/01/07	11/04/10
j	330 COMMERCIAL METALS CO	P	10/28/08	10/28/10
k	230 COMMERCIAL METALS CO	P	10/29/08	10/28/10
l	330 COMMERCIAL METALS CO	P	10/30/08	10/28/10
m	440 COMMERCIAL METALS CO	P	11/04/08	10/28/10
n	150 COMMERCIAL METALS CO	P	11/05/08	10/28/10
o	400 CAPITOL FEDERAL FINANCIAL	P	10/31/07	10/19/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,540.		3,583.	-43.
b	11,549.		6,469.	5,080.
c	13,426.		7,057.	6,369.
d	4,651.		3,802.	849.
e	5,668.		4,085.	1,583.
f	2,325.		1,415.	910.
g	10,898.		4,300.	6,598.
h	908.		428.	480.
i	7,939.		36,234.	-28,295.
j	4,533.		3,040.	1,493.
k	3,159.		2,404.	755.
l	4,533.		3,410.	1,123.
m	6,044.		5,416.	628.
n	2,060.		1,782.	278.
o	9,860.		13,243.	-3,383.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-43.
b				5,080.
c				6,369.
d				849.
e				1,583.
f				910.
g				6,598.
h				480.
i				-28,295.
j				1,493.
k				755.
l				1,123.
m				628.
n				278.
o				-3,383.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	200 CAPITOL FEDERAL FINANCIAL	P	10/31/07	09/24/10
b	?120 CASEYS GEN STORES INC	P	04/01/09	09/23/10
c	120 CASEYS GEN STORES INC	P	04/01/09	09/20/10
d	180 CASEYS GEN STORES INC	P	03/24/09	09/15/10
e	70 CASEYS GEN STORES INC	P	04/01/09	09/15/10
f	40 AMERCO INC	P	10/21/08	09/13/10
g	80 AMERCO TNC	P	11/07/08	09/13/10
h	80 ONEOK INC	P	04/23/08	09/07/10
i	120 AMERICREDIT CORP	P	06/25/09	08/11/10
j	380 AMERICREDIT CORP	P	06/29/10	08/11/10
k	530 AMERICREDIT CORP	P	06/25/09	08/09/10
l	510 AMELICREDIT CORP	P	06/25/09	08/05/10
m	410 AMERICREDIT CORP	P	06/11/09	08/03/10
n	60 AMERICREDIT CORP	P	06/25/09	08/03/10
o	550 AMERICREDIT CORP	P	06/10/09	08/02/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,824.		6,621.	-1,797.
b	5,126.		3,148.	1,978.
c	5,216.		3,148.	2,068.
d	7,925.		4,777.	3,148.
e	3,082.		1,836.	1,246.
f	3,244.		1,461.	1,783.
g	6,487.		3,427.	3,060.
h	3,507.		3,939.	-432.
i	2,888.		1,518.	1,370.
j	9,145.		7,091.	2,054.
k	12,759.		6,704.	6,055.
l	12,281.		6,451.	5,830.
m	9,871.		5,671.	4,200.
n	1,445.		759.	686.
o	13,257.		7,608.	5,649.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-1,797.
b				1,978.
c				2,068.
d				3,148.
e				1,246.
f				1,783.
g				3,060.
h				-432.
i				1,370.
j				2,054.
k				6,055.
l				5,830.
m				4,200.
n				686.
o				5,649.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	480 AMERICREDIT CORP	P	06/04/09	07/30/10
b	50 AMERICREDIT CORP	P	06/10/09	07/30/10
c	480 A D C TELECOMMUNICATIONS	P	11/17/08	07/28/10
d	180 A D C TELECOMMUNICATIONS	P	11/12/08	07/27/10
e	300 A D C TELECOMMUNICATIONS	P	11/17/08	07/27/10
f	30 GRANITE CONSTR INC	P	09/23/08	07/27/10
g	210 GRANITE CONSTR INC	P	05/27/09	07/27/10
h	30 ALLEGHENY TECHNOLOGIES INC	P	12/09/08	07/22/10
i	260 ALLEGHENY TECHNOLOGIES INC	P	08/28/09	07/22/10
j	10 ALLEGHENY TECHNOLOGIES INC	P	09/01/09	07/22/10
k	190 ALLEGHENY TECHNOLOGIES INC	P	12/09/08	07/16/10
l	530 A D C TELECOMMUNICATIONS	P	11/12/08	07/14/10
m	230 A D C TELECOMMUNICATIONS	P	10/29/08	07/13/10
n	720 AD C TELECOMMUNICATIONS	P	10/30/08	07/13/10
o	?400 A D C TELECOMMUNICATIONS	P	10/31/08	07/13/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,569.		6,522.	5,047.
b	1,205.		692.	513.
c	6,081.		2,820.	3,261.
d	2,280.		1,127.	1,153.
e	3,800.		1,762.	2,038.
f	733.		1,123.	-390.
g	5,132.		7,310.	-2,178.
h	1,491.		763.	728.
i	12,926.		8,223.	4,703.
j	497.		294.	203.
k	8,584.		4,833.	3,751.
l	6,609.		3,317.	3,292.
m	2,873.		1,332.	1,541.
n	8,993.		4,433.	4,560.
o	4,996.		2,541.	2,455.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				5,047.
b				513.
c				3,261.
d				1,153.
e				2,038.
f				-390.
g				-2,178.
h				728.
i				4,703.
j				203.
k				3,751.
l				3,292.
m				1,541.
n				4,560.
o				2,455.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,530 A D C TELECOMMUNICATIONS	P	11/05/08	07/13/10
b	720 A D C TELECOMMUNICATIONS	P	11/06/08	07/13/10
c	710 A D C TELECOMMUNICATIONS	P	11/12/08	07/13/10
d	200 A D C TELECOMMUNICATIONS	P	11/12/08	07/13/10
e	160 GRANITE CONSTR INC	P	08/04/08	07/08/10
f	70 GRANITE CONSTR INC	P	09/23/08	07/08/10
g	250 SOTHEBYS	P	08/11/09	07/07/10
h	230 GRANITE CONSTR INC	P	08/04/08	07/02/10
i	830 REGIONS FINANCIAL CORP	P	03/01/10	06/30/10
j	200 SOTHEBYS	P	08/11/09	06/30/10
k	720 REGIONS FINANCIAL CORP	P	10/20/09	06/29/10
l	160 REGIONS FINANCIAL CORP	P	03/01/10	06/29/10
m	380 SOTHEBYS	P	08/11/09	06/29/10
n	30 REGIONS FINANCIAL CORP	P	09/30/09	06/28/10
o	660 REGIONS FINANCIAL CORP	P	10/20/09	06/28/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,109.		10,682.	8,427.
b	8,993.		4,633.	4,360.
c	8,868.		4,444.	4,424.
d	2,498.		1,252.	1,246.
e	3,837.		4,953.	-1,116.
f	1,678.		2,620.	-942.
g	5,889.		3,808.	2,081.
h	5,199.		7,119.	-1,920.
i	5,551.		5,535.	16.
j	4,592.		3,047.	1,545.
k	4,852.		4,280.	572.
l	1,078.		1,067.	11.
m	8,624.		5,789.	2,835.
n	211.		188.	23.
o	4,646.		3,923.	723.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				8,427.
b				4,360.
c				4,424.
d				1,246.
e				-1,116.
f				-942.
g				2,081.
h				-1,920.
i				16.
j				1,545.
k				572.
l				11.
m				2,835.
n				23.
o				723.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 |

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 | 3 |

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	130 GRANITE CONSTR INC	P	08/04/08	06/24/10
b	430 REGIONS FINANCIAL CORP	P	09/23/09	06/24/10
c	490 REGIONS FINANCIAL CORP	P	09/30/09	06/24/10
d	100 SOTHEBYS	P	12/09/08	06/24/10
e	150 SOTHEBYS	P	08/11/09	06/24/10
f	90 REGIONS FINANCIAL CORP	P	09/21/09	06/18/10
g	1,900 REGIONS FINANCIAL CORP	P	09/22/09	06/18/10
h	1,290 REGIONS FINANCIAL CORP	P	09/23/09	06/18/10
i	72.263 CENTURYLINK INC.	P	10/31/07	05/13/10
j	0.338 CENTURYLINK INC.	P	10/31/07	05/13/10
k	0.150 CENTURYLINK INC.	P	09/11/08	05/13/10
l	301.250 CENTULYLINK INC.	P	09/11/08	05/13/10
m	?250 UMB FINANCIAL CORP	P	06/04/07	05/12/10
n	410 CENTURY LINK INC.	P	10/31/07	05/06/10
o	210 CENTURY LINK INC.	P	10/31/07	05/04/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,302.		4,024.	-722.
b	2,956.		2,897.	59.
c	3,369.		3,071.	298.
d	2,588.		1,000.	1,588.
e	3,882.		2,285.	1,597.
f	637.		588.	49.
g	13,453.		12,939.	514.
h	9,134.		8,690.	444.
i	2,482.		2,818.	-336.
j	12.		13.	-1.
k	5.		5.	0.
l	10,346.		9,838.	508.
m	10,702.		9,832.	870.
n	13,715.		15,987.	-2,272.
o	7,141.		8,188.	-1,047.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-722.
b				59.
c				298.
d				1,588.
e				1,597.
f				49.
g				514.
h				444.
i				-336.
j				-1.
k				0.
l				508.
m				870.
n				-2,272.
o				-1,047.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	220 CENTULYLINK INC.	P	10/31/07	04/30/10
b	400 CENTULYLINK INC.	P	10/31/07	04/27/10
c	340 SOTHEBYS	P	12/09/08	04/27/10
d	196.700 CENTLLLYLINK INC.	P	06/04/07	04/26/10
e	153.300 CENTULYLINK INC.	P	10/31/07	04/26/10
f	170 CENTLLLYLINK INC.	P	06/04/07	04/23/10
g	170 SOTHEBYS	P	12/09/08	04/21/10
h	120 OVERSEAS SHIPHOLDING GROUP	P	10/31/07	03/30/10
i	190 OVERSEAS SHIPHOLDING GROUP	P	03/13/09	03/30/10
j	250 ALLEGHENY TECHNOLOGIES INC	P	11/25/08	03/29/10
k	160 ALLEGHENY TECHNOLOGIES INC	P	11/25/08	03/29/10
l	20 ALLEGHENY TECHNOLOGIES INC	P	12/09/08	03/29/10
m	300 AMERCO INC	P	10/21/08	03/29/10
n	1,020 AMERICREDIT CORP	P	05/29/09	03/29/10
o	270 AMERICREDIT CORP	P	06/04/09	03/29/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,545.		8,578.	-1,033.
b	13,492.		15,597.	-2,105.
c	12,218.		3,400.	8,818.
d	6,685.		9,234.	-2,549.
e	5,210.		5,978.	-768.
f	5,792.		7,981.	-2,189.
g	6,434.		1,700.	4,734.
h	4,747.		8,923.	-4,176.
i	7,516.		4,045.	3,471.
j	13,845.		4,807.	9,038.
k	8,883.		3,077.	5,806.
l	1,110.		509.	601.
m	16,482.		10,961.	5,521.
n	24,110.		12,559.	11,551.
o	6,382.		3,669.	2,713.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				-1,033.
b				-2,105.
c				8,818.
d				-2,549.
e				-768.
f				-2,189.
g				4,734.
h				-4,176.
i				3,471.
j				9,038.
k				5,806.
l				601.
m				5,521.
n				11,551.
o				2,713.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	80 AMERON INC	P	10/29/08	03/29/10
b	650 ASSISTED LIVING CONCPT NEV	P	04/18/08	03/29/10
c	80 ASSISTED LIVING CONCPT NEV	P	06/09/08	03/29/10
d	830 AVNET INC	P	10/23/08	03/29/10
e	200 CASEYS GEN STORES INC	P	03/24/09	03/29/10
f	103.700 CENTULYLINK INC.	P	06/01/07	03/29/10
g	866.300 CENTURYLINK INC.	P	06/04/07	03/29/10
h	210 CNX GAS CORP	P	10/31/07	03/29/10
i	210 CNX GAS CORP	P	10/31/07	03/29/10
j	1,350 DENBURY RESOURCES INC	P	11/26/08	03/29/10
k	?30 DENBURY RESOURCES INC	P	12/03/08	03/29/10
l	410 ENCORE WIRE CORP	P	02/08/08	03/29/10
m	70 ENCORE WIRE CORP	P	02/29/08	03/29/10
n	810 FIFTH THIRD BANCORP	P	12/03/09	03/29/10
o	1,150 FOOT LOCKER INC	P	06/17/09	03/29/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,408.		3,307.	2,101.
b	21,366.		22,879.	-1,513.
c	2,630.		2,476.	154.
d	24,667.		13,573.	11,094.
e	6,284.		5,307.	977.
f	3,651.		4,847.	-1,196.
g	30,502.		40,668.	-10,166.
h	7,963.		6,651.	1,312.
i	7,960.		6,651.	1,309.
j	21,829.		12,642.	9,187.
k	485.		271.	214.
l	8,658.		6,548.	2,110.
m	1,478.		1,193.	285.
n	10,805.		8,548.	2,257.
o	17,468.		12,229.	5,239.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				2,101.
b				-1,513.
c				154.
d				11,094.
e				977.
f				-1,196.
g				-10,166.
h				1,312.
i				1,309.
j				9,187.
k				214.
l				2,110.
m				285.
n				2,257.
o				5,239.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,140 FOOT LOCKER INC	P	06/22/09	03/29/10
b	190 FOOT LOCKER INC	P	06/30/09	03/29/10
c	190 GRANITE CONSTR INC	P	08/04/08	03/29/10
d	570 HUDSON CITY BANCORP INC	P	06/01/07	03/29/10
e	2,420 INVESTORS BANCORP INC	P	06/01/07	03/29/10
f	640 INVESTORS BANCORP INC	P	06/04/07	03/29/10
g	780 LEUCADIA NATIONAL CORP	P	06/01/07	03/29/10
h	940 MENS WEARHOUSE INC	P	09/04/08	03/29/10
i	280 MOLEX INC CI A	P	01/27/09	03/29/10
j	880 ONEOK INC	P	04/17/08	03/29/10
k	250 ONEOKLNC	P	04/23/08	03/29/10
l	50 OVERSEAS SHIPHOLDING GROUP	P	06/29/07	03/29/10
m	140 OVERSEAS SHIPHOLDING GROUP	P	07/19/07	03/29/10
n	480 OVERSEAS SHIPHOLDING GROUP	P	08/30/07	03/29/10
o	550 OVERSEAS SHIPHOLDING GROUP	P	10/31/07	03/29/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,317.		12,157.	5,160.
b	2,886.		1,999.	887.
c	5,770.		5,881.	-111.
d	7,981.		7,530.	451.
e	32,242.		34,058.	-1,816.
f	8,527.		9,054.	-527.
g	19,765.		27,876.	-8,111.
h	22,685.		21,308.	1,377.
i	4,985.		3,529.	1,456.
j	40,455.		43,138.	-2,683.
k	11,493.		12,309.	-816.
l	1,994.		4,082.	-2,088.
m	5,583.		12,543.	-6,960.
n	19,143.		33,984.	-14,841.
o	21,934.		40,898.	-18,964.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a				5,160.
b				887.
c				-111.
d				451.
e				-1,816.
f				-527.
g				-8,111.
h				1,377.
i				1,456.
j				-2,683.
k				-816.
l				-2,088.
m				-6,960.
n				-14,841.
o				-18,964.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	320 PLUM CREEK TIMBER CO INC	P	06/01/07	03/29/10
b	290 PLUM CREEK TIMBER CO INC	P	06/04/07	03/29/10
c	110 RANGE RES CORP	P	03/01/10	03/29/10
d	600 RAYMOND JAMES FINANCIAL INC	P	08/18/09	03/29/10
e	40 RAYMOND JAMES FINANCIAL INC	P	08/28/09	03/29/10
f	1,950 REGIONS FINANCIAL CORP	P	09/21/09	03/29/10
g	390 SL GREEN RLTY CORP	P	05/02/08	03/29/10
h	110 SL GREEN RLTY CORP	P	05/05/08	03/29/10
i	?500 SOTHEBYS	P	12/09/08	03/29/10
j	2,070 TELLABSINC	P	09/10/09	03/29/10
k	1,350 TELLABSINC	P	09/18/09	03/29/10
l	940 TRINITY INDS INC	P	07/18/08	03/29/10
m	190 TRINITY INDS INC	P	07/22/08	03/29/10
n	310 UMB FINANCIAL CORP	P	06/01/07	03/29/10
o	20 UMB FINANCIAL CORP	P	06/04/07	03/29/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,307.		13,328.	-1,021.
b	11,153.		12,155.	-1,002.
c	5,156.		5,618.	-462.
d	16,436.		13,249.	3,187.
e	1,096.		928.	168.
f	14,941.		12,743.	2,198.
g	21,647.		38,778.	-17,131.
h	6,106.		10,883.	-4,777.
i	15,955.		5,000.	10,955.
j	15,769.		14,332.	1,437.
k	10,284.		9,310.	974.
l	19,226.		32,247.	-13,021.
m	3,886.		6,884.	-2,998.
n	12,555.		12,245.	310.
o	810.		787.	23.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-1,021.
b				-1,002.
c				-462.
d				3,187.
e				168.
f				2,198.
g				-17,131.
h				-4,777.
i				10,955.
j				1,437.
k				974.
l				-13,021.
m				-2,998.
n				310.
o				23.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	90 VAIL RESORTS INC	P	06/01/07	03/29/10
b	600 VAIL RESORTS INC	P	06/04/07	03/29/10
c	70 VAIL RESOJIS INC	P	10/15/07	03/29/10
d	90 WHITE MOUNTAINS INSURANCE	P	06/01/07	03/29/10
e	130 ALLEGHENY TECHNOLOGIES INC	P	11/25/08	03/26/10
f	120 CNX GAS CORP	P	06/04/07	03/26/10
g	310 CNX GAS CORP	P	10/31/07	03/26/10
h	20 OVERSEAS SHIPHOLDING GROUP	P	06/27/07	03/24/10
i	140 OVERSEAS SHIPHOLDING GROUP	P	06/29/07	03/24/10
j	700 CNX GAS CORP	P	06/04/07	03/23/10
k	180 CNX GAS CORP	P	06/01/07	03/22/10
l	580 CNX GAS CORP	P	06/04/07	03/22/10
m	210 SOTHEBYS	P	11/24/08	03/17/10
n	110 SOTHEBYS	P	12/09/08	03/17/10
o	190 SOTHEBYS	P	11/24/08	03/16/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,672.		5,376.	-1,704.
b	24,479.		35,791.	-11,312.
c	2,856.		4,417.	-1,561.
d	31,745.		54,481.	-22,736.
e	6,910.		2,500.	4,410.
f	4,551.		3,599.	952.
g	11,757.		9,818.	1,939.
h	847.		1,619.	-772.
i	5,929.		11,430.	-5,501.
j	26,453.		20,993.	5,460.
k	6,815.		5,304.	1,511.
l	21,959.		17,394.	4,565.
m	6,447.		1,826.	4,621.
n	3,377.		1,100.	2,277.
o	5,767.		1,652.	4,115.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-1,704.
b				-11,312.
c				-1,561.
d				-22,736.
e				4,410.
f				952.
g				1,939.
h				-772.
i				-5,501.
j				5,460.
k				1,511.
l				4,565.
m				4,621.
n				2,277.
o				4,115.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	0.250 DENBURY RESOURCES INC	P	12/03/08	03/12/10
b	0.223 DELLBURY RESOURCES INC	P	01/16/09	03/12/10
c	0.219 DENBURY RESOURCES INC	P	08/25/09	03/12/10
d	350 OVERSEAS SHIPHOLDING GROUP	P	06/27/07	03/12/10
e	110 SOTHEBYS	P	11/18/08	03/11/10
f	160 SOTHEBYS	P	11/24/08	03/11/10
g	?130 ALLEGHENY TECHNOLOGIES INC	P	11/25/08	03/02/10
h	70 ALLEGHENY TECHNOLOGIES INC	P	11/24/08	02/22/10
i	10 ALLEGHENY TECHNOLOGIES INC	P	11/25/08	02/22/10
j	270 ALLEGHENY TECHNOLOGIES INC	P	11/24/08	02/16/10
k	220 ALLEGHENY TECHNOLOGIES INC	P	11/19/08	02/04/10
l	10 ALLEGHENY TECHNOLOGIES INC	P	11/24/08	02/04/10
m	550 TFS FINANCIAL CORP	P	10/31/07	02/04/10
n	680 TFS FINANCIAL CORP	P	10/31/07	01/29/10
o	490 SOTHEBYS	P	11/18/08	01/28/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4.		2.	2.
b	3.		2.	1.
c	3.		2.	1.
d	15,733.		28,332.	-12,599.
e	3,319.		1,000.	2,319.
f	4,827.		1,391.	3,436.
g	6,057.		2,500.	3,557.
h	3,229.		1,319.	1,910.
i	461.		192.	269.
j	11,859.		5,087.	6,772.
k	8,864.		3,833.	5,031.
l	403.		188.	215.
m	6,968.		7,005.	-37.
n	8,710.		8,660.	50.
o	11,395.		4,456.	6,939.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				2.
b				1.
c				1.
d				-12,599.
e				2,319.
f				3,436.
g				3,557.
h				1,910.
i				269.
j				6,772.
k				5,031.
l				215.
m				-37.
n				50.
o				6,939.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,020 TFS FINANCIAL CORP	P	06/04/07	01/26/10
b	190 TFS FINANCIAL CORP	P	10/31/07	01/26/10
c	160 BRINKS HOME SEC HLDGS INC	P	03/04/09	01/20/10
d	420 BRINKS HOME SEC HLDGS INC	P	04/06/09	01/20/10
e	110 BRINKS HOME SEC H1DGS INC	P	04/23/09	01/20/10
f	110 BRINKS HOME SEC HLDGS INC	P	04/24/09	01/20/10
g	140 BRINKS HOME SEC HLDGS INC	P	05/05/09	01/20/10
h	200 BRINKS HOME SEC HLDGS INC	P	02/02/09	01/19/10
i	15 BRINKS HOME SEC H1DGS INC	P	02/23/09	01/19/10
j	95 BRINKS HOME SEC HLDGS INC	P	02/23/09	01/19/10
k	120 BRINKS HOME SEC HLDGS INC	P	03/04/09	01/19/10
l	200 BRINKS HOME SEC HLDGS INC	P	02/02/09	01/15/10
m	150 BRINKS HOME SEC H1DGS INC	P	02/02/09	01/15/10
n	440 TFS FINANCIAL CORP	P	06/04/07	01/13/10
o	BAE SYSTEMS PLC ADR	P	04/01/10	12/07/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	13,118.		12,375.	743.
b	2,444.		2,420.	24.
c	6,628.		3,246.	3,382.
d	17,399.		9,826.	7,573.
e	4,557.		2,648.	1,909.
f	4,557.		2,983.	1,574.
g	5,800.		3,685.	2,115.
h	8,322.		4,662.	3,660.
i	624.		312.	312.
j	3,929.		1,976.	1,953.
k	4,963.		2,435.	2,528.
l	6,279.		4,662.	1,617.
m	4,709.		3,497.	1,212.
n	5,492.		5,338.	154.
o	8,067.		9,181.	-1,114.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				743.
b				24.
c				3,382.
d				7,573.
e				1,909.
f				1,574.
g				2,115.
h				3,660.
i				312.
j				1,953.
k				2,528.
l				1,617.
m				1,212.
n				154.
o				-1,114.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BAE SYSTEMS PLC ADR	P	04/05/10	12/07/10
b	R W E AG SPON ADR	P	04/01/10	12/07/10
c	R W E AG SPON ADR	P	04/05/10	12/07/10
d	DISNEY WALT CO	P	11/19/08	03/03/10
e	5TH AVE VALUE CR OFF LTD	P	VARIOUS	VARIOUS
f	AGILENT TECHNOLOGIES INC: A	P	04/08/10	10/28/10
g	AMERN EAGLE OUTFITRS NEW: AEO	P	04/08/10	05/07/10
h	ANGLO AMERN PLC	P	04/08/09	04/08/10
i	B O K FINANCIAL CP NEW: BOKF	P	03/26/10	04/08/10
j	BANK OF AMERICA CORP: BAC	P	04/08/10	10/15/10
k	BOEING CO: BA	P	04/08/10	10/28/10
l	C B RICHARD ELLIS GROUP: CBG	P	02/10/10	04/08/10
m	COMMUNITY HEALTH SYSTEMS: CYH	P	04/08/10	12/13/10
n	DE LA RUE PLC NEW ORD	P	VARIOUS	04/08/10
o	DU PONT E I DE NEMOUR&CO: DO	P	04/08/10	10/28/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18,655.		21,305.	-2,650.
b	4,804.		6,751.	-1,947.
c	11,209.		15,811.	-4,602.
d	23,862.		15,392.	8,470.
e	1,898,520.		2,000,000.	-101,480.
f	3,814.		3,739.	75.
g	86,027.		94,959.	-8,932.
h	9,449.		4,073.	5,376.
i	16,129.		16,208.	-79.
j	61,072.		94,826.	-33,754.
k	2,124.		2,168.	-44.
l	40,165.		29,693.	10,472.
m	21,690.		24,616.	-2,926.
n	53,023.		58,729.	-5,706.
o	21,190.		17,555.	3,635.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-2,650.
b				-1,947.
c				-4,602.
d				8,470.
e				-101,480.
f				75.
g				-8,932.
h				5,376.
i				-79.
j				-33,754.
k				-44.
l				10,472.
m				-2,926.
n				-5,706.
o				3,635.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EATON CORPORATION: ETN	P	VARIOUS	VARIOUS
b	GENZYME CORPORATION: GENZ	P	04/24/09	04/08/10
c	GREENLIGHT CAPITAL RE	P	03/17/10	04/08/10
d	GRUPO TELEVISA SA	P	10/28/09	04/08/10
e	HERCULES OFFSHORE INC: HERO	P	04/08/10	05/12/10
f	ICICI BANK LTD ADR 1 ADR REP 2: IBN	P	06/16/09	04/08/10
g	JARDEN CORP: JAH	P	03/09/10	04/08/10
h	LENDER PROCESSING SVCS: LPS	P	VARIOUS	04/08/10
i	MARKET VECTORS ETF TRUSTS NETWORK	P	VARIOUS	04/08/10
j	NUCOR CORP: NUE	P	04/08/10	09/16/10
k	OAO GAZPROM SPON ADR	P	08/31/07	04/08/10
l	OMNICARE INC: OCR	P	04/08/10	08/05/10
m	PATTERSON UTI ENERGY INC: PTEN	P	04/08/10	11/04/10
n	TRANSOCEAN INC NEW	P	04/08/10	06/01/10
o	UNUM GROUP: UNM	P	04/08/10	04/27/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	72,971.		56,216.	16,755.
b	49,372.		50,705.	-1,333.
c	8,418.		8,227.	191.
d	76,347.		72,269.	4,078.
e	35,096.		46,648.	-11,552.
f	39,336.		27,716.	11,620.
g	23,877.		24,426.	-549.
h	90,236.		97,307.	-7,071.
i	82,046.		65,517.	16,529.
j	27,850.		33,892.	-6,042.
k	77,771.		139,188.	-61,417.
l	74,278.		95,272.	-20,994.
m	34,673.		24,977.	9,696.
n	56,993.		94,542.	-37,549.
o	92,436.		94,990.	-2,554.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				16,755.
b				-1,333.
c				191.
d				4,078.
e				-11,552.
f				11,620.
g				-549.
h				-7,071.
i				16,529.
j				-6,042.
k				-61,417.
l				-20,994.
m				9,696.
n				-37,549.
o				-2,554.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

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05-01-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VORNADO REALTY TRUST REIT: VNO	P	VARIOUS	04/08/10
b	WESCO INTERNATIONAL INC: WCC	P	04/08/10	12/07/10
c	ALLEGHENY ENERGY INC: AYE	P	09/25/05	04/08/10
d	ANGLO AMERN PLC AOS	P	04/07/09	04/08/10
e	BEIJING CAP INTL ORO F: BJCHF	P	VARIOUS	04/08/10
f	BERKSHIRE HATHAWAY B NEWCLASS B: BRKB	P	09/25/05	04/08/10
g	BHP BILLITON LTD ADR	P	07/30/08	04/08/10
h	BROOKFIELD ASSET MGMT FD VTG SHS CL A: BAM	P	09/25/05	04/08/10
i	CARNIVAL CORP NEW	P	09/08/08	04/08/10
j	CENOVUS ENERGY INC F:CVE	P	02/26/08	04/08/10
k	CHINA LIFE INS CO ADR	P	03/23/09	04/08/10
l	CHINA UNICOM HK ADR	P	03/23/09	04/08/10
m	CME GROUP INC CL A	P	03/04/09	04/08/10
n	CNOOC LIMITED ADR	P	09/29/05	04/08/10
o	DISNEY WALT CO: DIS	P	11/19/08	04/08/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	849.		583.	266.
b	92,936.		69,110.	23,826.
c	59,546.		79,649.	-20,103.
d	120,767.		65,711.	55,056.
e	56,831.		156,149.	-99,318.
f	146,840.		100,455.	46,385.
g	21,509.		20,312.	1,197.
h	191,807.		166,236.	25,571.
i	108,765.		113,096.	-4,331.
j	66,516.		86,300.	-19,784.
k	84,446.		55,264.	29,182.
l	54,469.		50,945.	3,524.
m	84,544.		50,905.	33,639.
n	80,893.		33,867.	47,026.
o	51,310.		29,558.	21,752.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				266.
b				23,826.
c				-20,103.
d				55,056.
e				-99,318.
f				46,385.
g				1,197.
h				25,571.
i				-4,331.
j				-19,784.
k				29,182.
l				3,524.
m				33,639.
n				47,026.
o				21,752.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 |

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } | 3 |

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EL PASO CORPORATION: EP	P	09/29/05	04/08/10
b	ENCANA CORPORATION F: ECA	P	02/26/08	04/08/10
c	FANNIE MAE: FNM	P	02/12/08	04/08/10
d	HENDERSON LAND DEV ORD F: HLDVF	P	09/26/08	04/08/10
e	HONG KONG EXCH NEW ORD F: HKXCF	P	VARIOUS	04/08/10
f	HUANENG PWR INTL ADR	P	09/29/05	04/08/10
g	IGIGI BANK L TO AOR	P	07/12/07	04/08/10
h	IMPERIAL OIL L TO F:IMO	P	11/21/05	04/08/10
i	LEGG MASON INC: LM	P	11/19/07	04/08/10
j	LEUCADIA NATIONAL CORP: LUK	P	09/29/05	04/08/10
k	LONDON STK EXCH NEW ORDF: LDNXF	P	01/03/06	04/08/10
l	LONDON STK EXCH NEW ORDF: LDNXF	P	05/21/07	04/08/10
m	MARKET VECTORS ETF TRUSTS NETWORK	P	03/13/09	04/08/10
n	MASTERCARDINC:MA	P	VARIOUS	04/08/10
o	N V ENERGY INC: NVE	P	09/29/05	04/08/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	55,664.		68,964.	-13,300.
b	75,253.		91,638.	-16,385.
c	2,086.		59,601.	-57,515.
d	103,491.		72,585.	30,906.
e	133,191.		38,927.	94,264.
f	80,968.		105,688.	-24,720.
g	43,466.		52,000.	-8,534.
h	145,207.		130,356.	14,851.
i	71,903.		167,524.	-95,621.
j	72,011.		60,608.	11,403.
k	70,127.		82,811.	-12,684.
l	13,103.		31,356.	-18,253.
m	18,551.		10,526.	8,025.
n	76,573.		65,303.	11,270.
o	107,940.		130,952.	-23,012.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-13,300.
b				-16,385.
c				-57,515.
d				30,906.
e				94,264.
f				-24,720.
g				-8,534.
h				14,851.
i				-95,621.
j				11,403.
k				-12,684.
l				-18,253.
m				8,025.
n				11,270.
o				-23,012.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NASDAQ OMX GROUP INC: NDAQ	P	VARIOUS	04/08/10
b	NYSE EURONEXT N V: NYX	P	VARIOUS	04/08/10
c	PHILIP MORRIS INTL INC: PM	P	01/23/09	04/08/10
d	RIO TINTO PLC SPON ADR	P	08/04/08	04/08/10
e	RRI ENERGY INC: RRI	P	VARIOUS	04/08/10
f	TIME WARNER INC NEW: TWX	P	09/03/08	04/08/10
g	VORNADO REALTY TRUST REIT: VNO	P	03/24/09	04/08/10
h	WESTERNZAGROS RES LTD F: WZGRF	P	09/29/05	04/08/10
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	86,676.		116,452.	-29,776.
b	87,573.		197,946.	-110,373.
c	132,824.		104,239.	28,585.
d	11,928.		19,051.	-7,123.
e	47,312.		113,063.	-65,751.
f	98,501.		105,137.	-6,636.
g	52,167.		24,743.	27,424.
h	3,330.		8,853.	-5,523.
i	167,448.			167,448.
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-29,776.
b				-110,373.
c				28,585.
d				-7,123.
e				-65,751.
f				-6,636.
g				27,424.
h				-5,523.
i				167,448.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	185,003.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

023591
05-01-10

Form 8868 (Rev. January 2011) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization	Employer identification number
File by the due date for filing your return See instructions	EUGENE V. AND CLARE E. THAW CHARITABLE TRUST	13-3081491
	Number, street, and room or suite no. If a P O box, see instructions. 553 CANYON ROAD	
	City, town or post office, state, and ZIP code For a foreign address, see instructions SANTA FE, NM 87501-2719	

Enter the Return code for the return that this application is for (file a separate application for each return) [0][4]

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ RICCI & COMPANY LLC 6200 UPTOWN BLVD NE, SUITE 400 - ALBUQUERQUE, NM 87110

  Telephone No ▶ 505-338-0800 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2011 , to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ [X] calendar year 2010 or

▶ [ ] tax year beginning ______ , and ending ______

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 8,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 8,000.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print

File by the extended due date for filing your return See instructions

Name of exempt organization
EUGENE V. AND CLARE E. THAW
CHARITABLE TRUST

Employer identification number
13-3081491

Number, street, and room or suite no If a P O box, see instructions
553 CANYON ROAD

City, town or post office, state, and ZIP code. For a foreign address, see instructions
SANTA FE, NM 87501-2719

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ RICCI & COMPANY LLC 6200 UPTOWN BLVD NE, SUITE 400 - ALBUQUERQUE, NM 87110

  Telephone No ▶ 505-338-0800 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2011.

5 For calendar year 2010 , or other tax year beginning ____ , and ending ____

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME NEEDED TO ACCUMULATE INCOMPLETE DATA IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 8,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 8,000.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ CPA Date ▶ 8-10-11

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01-24-11