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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2010

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>THE MARC HAAS FOUNDATION, INC.</b>		A Employer identification number <b>13-3073137</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>C/O WEISERMAZARS LLP, 135 W. 50TH STREE</b>		B Telephone number <b>212-375-6723</b>
City or town, state, and ZIP code <b>NEW YORK, NY 10020</b>		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>105,355,131.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		844.	844.		STATEMENT 1
4 Dividends and interest from securities		1,366,614.	1,366,614.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		4,565,829.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			4,565,829.		
8 Net short-term capital gain					
9 Income modifications:					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,275,206.	3,198,031.		STATEMENT 3
12 Total. Add lines 1 through 11		9,208,493.	9,131,318.		
13 Compensation of officers, directors, trustees, etc		300,000.	105,000.		195,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		<1,579.>	<247.>		<1,332.>
b Accounting fees STMT 5		56,337.	19,718.		36,619.
c Other professional fees					
17 Interest		18,253.	18,253.		0.
18 Taxes STMT 6		113,525.	113,525.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		1,370,747.	1,365,632.		1,500.
24 Total operating and administrative expenses. Add lines 13 through 23		1,857,283.	1,621,881.		231,787.
25 Contributions, gifts, grants paid		5,816,667.			5,816,667.
26 Total expenses and disbursements. Add lines 24 and 25		7,673,950.	1,621,881.		6,048,454.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,534,543.			
b Net investment income (if negative, enter -0-)			7,509,437.		
c Adjusted net income (if negative, enter -0-)				N/A	

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing		4,857,951.	200,833.	200,833.	
	2 Savings and temporary cash investments		87,669.	4,655,310.	4,655,310.	
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other <b>STMT 8</b>		68,543,018.	70,167,038.	100,498,988.	
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶					
	15 Other assets (describe ▶ )					
<b>Liabilities</b>	16 <b>Total assets</b> (to be completed by all filers)		73,488,638.	75,023,181.	105,355,131.	
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ )					
<b>Net Assets or Fund Balances</b>	23 <b>Total liabilities</b> (add lines 17 through 22)		0.	0.		
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted		73,488,638.	75,023,181.		
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 <b>Total net assets or fund balances</b>		73,488,638.	75,023,181.		
	31 <b>Total liabilities and net assets/fund balances</b>		73,488,638.	75,023,181.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	73,488,638.
2 Enter amount from Part I, line 27a	2	1,534,543.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	75,023,181.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	75,023,181.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FOREFRONT (CHARLES SCHWAB) NOMINEE			
b GLICKENHAUS NOMINEE			
c PASSTHRU FROM PARTNERSHIPS			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			2,104.
b			<61,436.>
c			4,625,161.
d			
e			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,104.
b			<61,436.>
c			4,625,161.
d			
e			

  

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;">           2         </div> </div>	4,565,829.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">           If gain, also enter in Part I, line 8, column (c).            If (loss), enter -0- in Part I, line 8         </div> <div style="margin-left: 10px;">           3         </div> </div>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	5,912,287.	91,349,491.	.064722
2008	5,782,152.	96,790,410.	.059739
2007	4,379,399.	95,884,229.	.045674
2006	3,255,192.	67,563,303.	.048180
2005	2,730,180.	49,288,236.	.055392

2 Total of line 1, column (d)	2	.273707
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054741
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	101,731,773.
5 Multiply line 4 by line 3	5	5,568,899.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	75,094.
7 Add lines 5 and 6	7	5,643,993.
8 Enter qualifying distributions from Part XII, line 4	8	6,048,454.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	75,094.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	75,094.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	75,094.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	158,564.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	158,564.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	83,470.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input checked="" type="checkbox"/> 83,470. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY, CA, MA, CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <b>N/A</b>				
14	The books are in care of <b>WEISERMAZARS LLP</b>	Telephone no.	<b>212-812-7000</b>	
Located at <b>135 WEST 50TH STREET, NEW YORK, NY</b>		ZIP+4	<b>10020</b>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	<b>N/A</b>	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years	<b>N/A</b>	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	<b>N/A</b>	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H. HAINES C/O MARC HAAS FOUNDATION	PRESIDENT/TREASURER	25.00	150,000.	0. 0.
STANLEY S. SHUMAN C/O MARC HAAS FOUNDATION	CHAIRMAN/SECRETARY	25.00	150,000.	0. 0.
JUDY E. TENNEY C/O MARC HAAS FOUNDATION	DIRECTOR	5.00	0.	0. 0.
SYDNEY R. SHUMAN C/O MARC HAAS FOUNDATION	DIRECTOR	5.00	0.	0. 0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WEISERMAZARS LLP 135 WEST 50TH STREET, NEW YORK, NY 10020	ACCOUNTING AND TAX	56,337.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	98,380,106.
b	Average of monthly cash balances	1b	4,900,882.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	103,280,988.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	103,280,988.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,549,215.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	101,731,773.
6	Minimum investment return. Enter 5% of line 5	6	5,086,589.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,086,589.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	75,094.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	75,094.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,011,495.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,011,495.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,011,495.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,048,454.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,048,454.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	75,094.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,973,360.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				5,011,495.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			163,881.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 6,048,454.				
a Applied to 2009, but not more than line 2a			163,881.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				5,011,495.
e Remaining amount distributed out of corpus	873,078.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	873,078.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	873,078.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010	873,078.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)







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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
BANK OF NEW YORK MELLON	844.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	844.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND PASS THRU FROM PTNRSHPS FOREFRONT (CHARLES SCHWAB)	189,793.	0.	189,793.
NOMINEE	3,893.	0.	3,893.
GLICKENHAUS & CO NOMINEE	27,423.	0.	27,423.
INTEREST PASS THRU FROM PTNRSHPS	1,144,810.	0.	1,144,810.
UBS FINANCIAL SERV NOMINEE	695.	0.	695.
TOTAL TO FM 990-PF, PART I, LN 4	1,366,614.	0.	1,366,614.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PORTFOLIO INCOME FROM K-1'S	202,350.	202,350.	
CANCELLATION OF DEBT FROM K-1'S	2,995,681.	2,995,681.	
TAX REFUND	77,175.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,275,206.	3,198,031.	

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FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PASS THRU FROM PARTNERSHIP RSM MCGLADREY INC	471. <2,050.>	471. <718.>		0. <1,332.>	
TO FM 990-PF, PG 1, LN 16A	<1,579.>	<247.>		<1,332.>	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WEISERMAZARS LLP	56,337.	19,718.		36,619.	
TO FORM 990-PF, PG 1, LN 16B	56,337.	19,718.		36,619.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE TAXES FROM K-1'S	24,101.	24,101.		0.	
STATE TAXES	37,037.	37,037.		0.	
FOREIGN TAXES	832.	832.		0.	
FOREIGN TAXES FROM K-1'S	51,555.	51,555.		0.	
TO FORM 990-PF, PG 1, LN 18	113,525.	113,525.		0.	



FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CUSTODY FEES	5,177.	5,177.			0.
INVESTMENT ADVISORY FEES FROM K-1'S	1,015,688.	1,015,688.			0.
NON DEDUCTIBLE EXPENSE FROM K-1'S	3,615.	0.			0.
MISCELLANEOUS EXPENSES	1,546.	1,546.			0.
PORTFOLIO DEDUCTIONS FROM K-1'S	343,221.	343,221.			0.
NYS DEPT OF LAW	1,500.	0.			1,500.
TO FORM 990-PF, PG 1, LN 23	1,370,747.	1,365,632.			1,500.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
BAUPOST PARTNERS	COST	56,827,378.	58,694,720.	
ELLIOT INTERNATIONAL	COST	3,021,791.	26,847,436.	
GLICKENHAUS & CO	COST	1,378,825.	1,338,334.	
UBS FINANCIAL SERVICES, INC.	COST	0.	0.	
ARCHSTONE OFFSHORE PARTNERS	COST	8,453,281.	13,078,533.	
MDM PARTNERS	COST	133,633.	143,273.	
WATERVIEW PARTNERS	COST	12,882.	12,955.	
FOREFRONT (CHARLES SCHWAB)	COST	339,248.	383,737.	
TOTAL TO FORM 990-PF, PART II, LINE 13		70,167,038.	100,498,988.	

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FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

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ROBERT H. HAINES, ESQ.  
C/O WEISERMAZARS 135 W 50TH ST  
NEW YORK, NY 10020

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TELEPHONE NUMBER

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212-812-7000

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FORM AND CONTENT OF APPLICATIONS

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APPLICATIONS SHOULD BE SUBMITTED IN WRITING, INDICATING THE CHARITABLE PURPOSE OF THE ORGANIZATION WITH SUPPORTING MATERIALS.

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ANY SUBMISSION DEADLINES

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NONE

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RESTRICTIONS AND LIMITATIONS ON AWARDS

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NONE

**Marc Haas Fdn**  
**Charitable Contribution List - Comparative 2010 / 2011**  
**as of 12/31/2010**

2010 Date	Name	Chk No:	2010 Amount
1/6	CIA Officers Memorial Foundation	2574	100,000
	USCAN Ctr for Creative and Perf Arts		
1/21	Medecins Sans Frontiers	2576	10,000
2/23	American Committee for the Weizman Institute of Science, Inc	3150	25,000
2/23	American Museum of Natural History - (1st of four payments – 2003)	3151	50,000
2/23	Autism Speaks	3152	25,000
2/23	Carnegie Hall Society, Inc	3153	150,000
2/23	Chess-in-the-Schools	3154	30,000
2/23	Citizens Committee for New York City	3155	10,000
2/23	City Year, Inc	3156	25,000
2/23	Committee to Protect Journalists	3157	10,000
2/23	Conservation International	3158	25,000
2/23	Council on Foreign Relations	3159	100,000
2/23	Council on Foreign Relations (1st of 5 payments - 2007)	3160	200,000
2/23	Eldridge Street Museum	3148	50,000
2/23	Harlem Educational Activities	3161	10,000
2/23	International Rescue Committee	3162	50,000
2/23	IBI International	3163	100,000
2/23	Lenox Hill Neighborhood House	3164	50,000
2/23	Municipal Art Society of New York	3165	25,000
2/23	National Public Radio Foundation	3167	25,000
2/23	New York City Partnership	3168	30,000
2/23	New York Law School, Center for New York City Law	3169	50,000
2/23	New York Presbyterian Hospital	3170	50,000
2/23	Ninety-Second Street Y	3171	25,000
2/23	Paley Center for Media (Formerly Museum of Television and Radio)	3172	100,000
2/23	Paley Center for Media (Formerly Museum of Television and Radio) (1st of three payments - 2008)	3173	166,667
2/23	Partnership for Public Service	3174	25,000
2/23	Prep for Prep	3175	25,000
2/23	Robin Hood Foundation	3176	25,000
2/23	Second Stage	3177	25,000
2/23	Sesame Workshop	3178	25,000
2/23	Steadman Hawkins Foundation	3179	50,000
2/23	Story Corps	3180	50,000
2/23	The Atlantic Council	3192	25,000
2/23	The Justice Project – Hugh L. Carey Legacy Award	3182	25,000
2/23	The Main Idea	3149	7,500

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### Charitable Contribution List - Comparative 2010 / 2011

**as of 12/31/2010**

2019 Date	Name	Chk No:	2010 Amount
2/23	The Metropolitan Museum of Art	3183	50,000
2/23	The Museum of Modern Art	3184	50,000
2/23	The New York Public Library	3185	50,000
2/23	The Spina Bifida Foundation	3186	10,000
2/23	The Whitney Museum of American Art	3187	25,000
2/23	Thirteen/WNET	3188	100,000
2/23	Trickle Up Program	3189	15,000
2/23	Wildlife Conservation Society	3190	25,000
2/23	WTC Memorial Foundation	3191	200,000
6/11	American Committee for the Weizman Institute of Science, Inc	3150V	(25,000)
6/11	American Committee for the Weizman Institute of Science, Inc	3242	25,000
6/11	Big Brothers & Big Sisters of NYC	3217	10,000
6/11	Boy Scouts of America	3218	25,000
6/11	Chelsea Piers Scholarship Fund	3219	10,000
6/11	Citizens Budget Committee	3220	25,000
6/11	Classroom Inc	3221	25,000
6/11	Cooke Center for Learning and Development	3215	10,000
6/11	Harlem Day Charter School	3222	25,000
6/11	Hole in the Wall Camp	3223	25,000
6/11	International Women's Health Coalition	3214	10,000
6/11	Lincoln Center Consolidated Corp Fund	3213	25,000
6/11	Manhattan Theatre Club, Inc	3224	25,000
6/11	MOUSE	3225	10,000
6/11	National Center on Addiction & Substance Abuse	3226	10,000
6/11	Neighborhood Coalition for Shelter, Inc	3216	5,000
6/11	New York Historical Society	3228	25,000
6/11	NYC Ballet	3227	10,000
6/11	NYC Mission Society	3229	10,000
6/11	OPUS 118 Harlem School of Music	3230	25,000
6/11	Partnership for Children's Rights (Formerly Legal Services for Children)	3231	50,000
6/11	PENCIL	3232	20,000
6/11	Planned Parenthood	3233	25,000
6/11	Prisoner's Legal Services of New York	3234	25,000
6/11	SCAN	3235	25,000
6/11	The Buoniconti Fund	3236	25,000
6/11	The New York Philharmonic	3237	10,000
6/11	The Public Theatre	3238	25,000

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**Marc Haas Fdn**  
**Charitable Contribution List - Comparative 2010 / 2011**  
**as of 12/31/2010**

2010 Date	Name	Chk No:	2010 Amount
6/11	The Rockefeller University	3239	200,000
6/11	The Rockefeller University	3240	100,000
6/11	U S Friends of the Hoedspruit Endangered Species Centre	3241	10,000
10/8	American Friends of the Royal Concertgebouw Orchestra	3251	10,000
10/8	American Israel Museum	3252	25,000
10/8	American Museum of the Moving Image	3253	10,000
10/8	Americorps	3254	25,000
10/8	Asia Society	3255	30,000
10/8	Brooklyn Academy of Music	3256	10,000
10/8	Caramoor Center for Music and the Arts	3257	25,000
10/8	Center for Educational Innovation	3258	25,000
10/8	Citizens Committee for Children	3259	10,000
10/8	Concord Coalition	3260	25,000
10/8	CURE	3261	10,000
10/8	Facing History and Ourselves	3250	100,000
10/8	Franklin Delano Roosevelt Memorial Fund - (1st of two payments - 2008)	3262	10,000
10/8	GAR Reichman Cancer Research Institute	3263	25,000
10/8	Global Fund for Children	3264	30,000
10/8	Harvard University/ Villa I Tatti	3265	10,000
10/8	Human Rights First (Formerly Lawyers' Committee for Human Rights)	3266	25,000
10/8	Inner City Scholarship Fund, Inc	3267	25,000
10/8	International Center of Photography	3268	15,000
10/8	Learning Leader	3269	10,000
10/8	Lenox Hill Hospital	3270	25,000
10/8	Lighthouse	3271	15,000
10/8	Literacy Partners	3272	25,000
10/8	Manhattan Institute for Policy Research	3273	25,000
10/8	Medecins Sans Frontiers	3274	25,000
10/8	Memorial Sloan Kettering Cancer Center – Evelyn Lauder Breast Center	3275	25,000
10/8	Metropolitan Opera Guild	3276	10,000
10/8	Montefiore Medical Center	3277	25,000
10/8	NAACP Legal Defense and Educational Fund, Inc	3278	10,000
10/8	National Multiple Sclerosis Society – New York City Chapter	3279	25,000
10/8	New Visions for Public Schools	3280	25,000
10/8	New York Botanical Gardens	3281	25,000
10/8	Northside Center for Development	3282	15,000
10/8	One to One, The National Mentoring Partnership (The Telemachan Council)	3283	25,000

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**Marc Haas Fdn**  
**Charitable Contribution List - Comparative 2010 / 2011**  
**as of 12/31/2010**

2010 Date	Name	Chk No.	2010 Amount
10/8	Paul Taylor Dance Foundation	3284	10,000
10/8	PEN	3285	20,000
10/8	Phoenix House	3286	25,000
10/8	Pierpont Morgan Library	3287	50,000
10/8	Public Art Fund, Inc	3288	10,000
10/8	Publicolor	3289	50,000
10/8	Saban Center for Middle East Policy (1st of two payments – 2004)	3290	12,500
10/8	Teach America	3291	25,000
10/8	The Baseball Hall of Fame	3292	10,000
10/8	The East Harlem School	3293	10,000
10/8	The Hospital for Special Surgery	3294	50,000
10/8	The Mayor's Fund to Advance New York City	3295	100,000
10/8	The Mount Sinai Medical Center	3296	50,000
10/8	The Paul Robert Carey Foundation	3297	25,000
10/8	The Playwrights Horizons, Inc	3298	15,000
10/8	The Solomon R. Guggenheim Museum	3299	25,000
10/8	The Wilderness Society	3301	10,000
10/8	United Hospital Fund	3302	25,000
10/8	United Nations Association	3303	25,000
10/8	United Neighborhood Houses	3304	10,000
10/8	Washington Institute for Near East Policy	3300	25,000
10/8	WNYC Radio	3305	30,000
10/8	Youth I N C	3306	25,000
12/14	Big Apple Circus	3340	25,000
12/14	Albert Einstein College of Medicine	3321	25,000
12/14	Citizens Union	3341	25,000
12/14	Cold Spring Laboratory	3355	30,000
12/14	Common Cause	3367	10,000
12/14	Council on Foreign Relations	3329 Replaced w/ Chk # 3375	40,000
12/14	Council of Foreign Relations	3336 Replaced w/ Chk # 3376	25,000
12/14	East Hampton Historical Society	3342	10,000
12/14	Endeavor	3343	25,000
12/14	Food Bank of New York City	3356	15,000
12/14	Global Kids, Inc	3344	25,000
12/14	Global Partnership for Afghanistan	3370	10,000
12/14	IFAR	3357	10,000
12/14	Institute for Civic Leadership	3358	10,000

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**Marc Haas Fdn**  
**Charitable Contribution List - Comparative 2010 / 2011**  
**as of 12/31/2010**

2010 Date	Name	Chk. No.	2010 Amount
12/14	Jazz at Lincoln Center	3368	10,000
12/14	Journey's in Film	3327	25,000
12/14	Kessler Foundation	3364	10,000
12/14	Lung Cancer Research Foundation	3337	10,000
12/14	Malara No More	3345	10,000
12/14	Memorial Sloan Kettering - c/o The Shuman Fund for GIST Research	3346	25,000
12/14	New York Landmark Conservancy	3347	10,000
12/14	New York Rugby Club	3359	10,000
12/14	New York University Medical Center	3348	25,000
12/14	NOLS	3330	5,000
12/14	NYC Fire Museum	3360	5,000
12/14	Park Avenue Armory	3371	10,000
12/14	Partners in Health	3372	25,000
12/14	PAVE Academy Charter School	3328	10,000
12/14	Ploughshares Fund	3338	10,000
12/14	Primary Stages	3322	10,000
12/14	Publicolor	3331	35,000
12/14	Reading Reform Foundation of New York	3323	10,000
12/14	Reel Fathers	3332	10,000
12/14	Roundabout Theatre Company	3324	10,000
12/14	Safe Horizons	3361	10,000
12/14	Sing for Hope	3369	25,000
12/14	Southern Poverty Law Center	3325	10,000
12/14	Story Corps	3333	35,000
12/14	Story Corps/Sound Portrait	3349	50,000
12/14	The Alex Foundation	3334	10,000
12/14	The Doe Fund	3365	25,000
12/14	The Hypertention Education Fdn , Inc	3353	10,000
12/14	The Mayor's Fund to Advance New York City (should go in June)	3350	100,000
12/14	The Museum of Modern Art	3366	25,000
12/14	The Rockefeller University	3339	50,000
12/14	The Rusk Institute of Rehabilitation Medicine	3352	10,000
12/14	W M Keck Center for Collaborative Neuroscience	3363	25,000
12/14	Westchester Community College Fund	3354	10,000
12/14	Wikipedia	3373	5,000
12/14	Wm J Clinton Presidential Fund (1st of five payments - 2004)	3351	75,000
12/14	WNYC - Campaign for Public Radio	3326	250,000

[illegible]

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2010 Date	Name	Chk No:	2010 Amount
12/14	Women for Women	3335	5,000
12/14	Yorkville Youth Athletic Association	3362	10,000
	<b>2010 TOTAL:</b>		<b>\$5,816.667</b>
	<u><b>Prior Year Voids / Replacement Checks:</b></u>		
2/23	New York University Medical Center Voiced Chk No 3123 dated 12/9/2009 and reissued Check No 3194 02/23/10	3194	25,000
2/23	Tannenbaum, Voided Chk No 3059 dated 10/30/09 & reissued Check No 3193 on 2/23/2010	3193	10,000
12/14	Malaria No More Voided Chk 3095 dated 12/09/2009 & reissued w/Chk No 3195 2/23/2010	3195	10,000
	<b>Total Voids/Adjustments</b>		<b>45,000</b>
	<b>Adj Total:</b>		<b>\$5,861.667</b>

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