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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

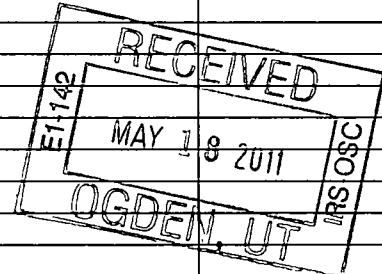
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation LUCIUS N. LITTAUER FOUNDATION, INC.		A Employer identification number 13-1688027
Number and street (or P O box number if mail is not delivered to street address) 60 EAST 42ND STREET	Room/suite 4600	B Telephone number 212-697-2677
City or town, state, and ZIP code NEW YORK, NY 10165		C If exemption application is pending, check here ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 40,823,826.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		9,135.	9,135.		STATEMENT 1
4 Dividends and interest from securities		914,897.	914,897.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<964,523.>			
b Gross sales price for all assets on line 6a		4,995,857.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		<40,491.>	924,032.		
13 Compensation of officers, directors, trustees, etc		117,694.	39,227.		78,467.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		20,747.	6,916.		13,831.
16a Legal fees					
b Accounting fees STMT 3		61,462.	15,366.		46,096.
c Other professional fees STMT 4		15,668.	15,668.		0.
17 Interest					
18 Taxes STMT 5		31,414.	4,325.		6,649.
19 Depreciation and depletion		300.	0.		
20 Occupancy		33,607.	0.		0.
21 Travel, conferences, and meetings		1,982.	0.		0.
22 Printing and publications					
23 Other expenses STMT 6		42,528.	0.		42,527.
24 Total operating and administrative expenses Add lines 13 through 23		325,402.	81,502.		187,570.
25 Contributions, gifts, grants paid		1,862,220.			1,862,220.
26 Total expenses and disbursements. Add lines 24 and 25		2,187,622.	81,502.		2,049,790.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<2,228,113.>			
b Net investment income (if negative, enter -0-)			842,530.		
c Adjusted net income (if negative enter -0-)				N/A	



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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	98,650.	103,009.	103,009.	
	2 Savings and temporary cash investments	7,645,384.	8,767,007.	8,767,007.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations STMT 7	9,212,961.	7,311,785.	7,878,060.	
	b Investments - corporate stock STMT 8	14,521,057.	13,068,440.	24,072,152.	
	c Investments - corporate bonds				
Assets	11 Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment: basis ▶ 4,971.				
	Less: accumulated depreciation ▶ 4,796.	475.	175.	175.	
	15 Other assets (describe ▶ STATEMENT 9)	3,425.	3,423.	3,423.	
	16 Total assets (to be completed by all filers)	31,481,952.	29,253,839.	40,823,826.	
	Liabilities	17 Accounts payable and accrued expenses			
		18 Grants payable			
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	20,952,398.	20,952,398.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	10,529,554.	8,301,441.		
	30 Total net assets or fund balances	31,481,952.	29,253,839.		
	31 Total liabilities and net assets/fund balances	31,481,952.	29,253,839.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,481,952.
2 Enter amount from Part I, line 27a	2	<2,228,113.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	29,253,839.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	29,253,839.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT C	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,995,857.		5,960,380.	<964,523.>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<964,523.>
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 <964,523.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	2,063,501.	36,095,083.	.057168
2008	2,807,666.	41,250,390.	.068064
2007	2,799,781.	45,682,051.	.061288
2006	2,339,441.	43,442,098.	.053852
2005	2,002,203.	42,343,499.	.047285

2 Total of line 1, column (d)	2 .287657
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .057531
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4 38,119,344.
5 Multiply line 4 by line 3	5 2,193,044.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 8,425.
7 Add lines 5 and 6	7 2,201,469.
8 Enter qualifying distributions from Part XII, line 4	8 2,049,790.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	16,851.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	16,851.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	16,851.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	25,559.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	25,559.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,707.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ 8,707. Refunded ☐ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THOMAS H. ENGELHARDT, CPA</u> Telephone no. ► <u>212-503-8800</u> Located at ► <u>60 EAST 42ND STREET SUITE NO. 4600, NY, NY</u> ZIP+4 ► <u>10165</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		117,694.	20,747.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	30,877,203.
b	Average of monthly cash balances	1b	7,819,041.
c	Fair market value of all other assets	1c	3,598.
d	Total (add lines 1a, b, and c)	1d	38,699,842.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	38,699,842.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	580,498.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,119,344.
6	Minimum investment return. Enter 5% of line 5	6	1,905,967.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,905,967.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	16,851.
b	Income tax for 2010 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,851.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,889,116.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,889,116.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,889,116.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,049,790.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,049,790.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,049,790.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,889,116.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	56,499.			
c From 2007	582,582.			
d From 2008	806,490.			
e From 2009	309,853.			
f Total of lines 3a through e	1,755,424.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 2,049,790.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,889,116.
e Remaining amount distributed out of corpus	160,674.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,916,098.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,916,098.			
10 Analysis of line 9:				
a Excess from 2006	56,499.			
b Excess from 2007	582,582.			
c Excess from 2008	806,490.			
d Excess from 2009	309,853.			
e Excess from 2010	160,674.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED STATEMENT B				1,862,220.
Total			▶ 3a	1,862,220.
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

THOMAS H.
ENGELHARDT

Preparer's signature

Thomas Cyllard

Date

5/16/01

Check ☐
self-employed

PTIN

P00360933

Firm's name ► **MARKS PANETH & SHRON LLP**

Firm's EIN ▶

Firm's address ► NEW YORK, NY 10017

Phone no.	212 503-8800
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Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
7	COMPUTER	083004	SL	5.00	16	2,871.			2,871.	2,871.		0.
8	OFFICE FURNITURE	080204	SL	7.00	16	2,100.			2,100.	1,625.		300.
	* TOTAL 990-PF PG 1 DEPR					4,971.		0.	4,971.	4,496.	0.	300.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN CHASE	9,135.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	9,135.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN CHASE	842,397.	0.	842,397.
U.S. TREASURY BILLS	72,500.	0.	72,500.
TOTAL TO FM 990-PF, PART I, LN 4	914,897.	0.	914,897.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	61,462.	15,366.		46,096.
TO FORM 990-PF, PG 1, LN 16B	61,462.	15,366.		46,096.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	15,668.	15,668.		0.
TO FORM 990-PF, PG 1, LN 16C	15,668.	15,668.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EMPLOYMENT TAXES	8,849.	2,950.		5,899.	
FEDERAL EXCISE TAX	20,440.	0.		0.	
FOREIGN TAXES WITHHELD	1,375.	1,375.		0.	
NYS ANNUAL FILING FEE	750.	0.		750.	
TO FORM 990-PF, PG 1, LN 18	31,414.	4,325.		6,649.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BOOKS & PERIODICALS	390.	0.		390.	
DUES & MEMBERSHIPS	550.	0.		550.	
INSURANCE	8,034.	0.		8,034.	
OFFICE SUPPLIES & EXPENSE	3,868.	0.		3,868.	
OFFICE MAINTENANCE	25,469.	0.		25,469.	
POSTAGE & DELIVERY	1,149.	0.		1,148.	
TELEPHONE & FACSIMILE	3,068.	0.		3,068.	
TO FORM 990-PF, PG 1, LN 23	42,528.	0.		42,527.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
SEE STATEMENT A ATTACHED	X		7,311,785.	7,878,060.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			7,311,785.	7,878,060.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			7,311,785.	7,878,060.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	12,181,618.	23,079,047.
JP MORGAN SELECT SMALL CAPS	886,822.	993,105.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,068,440.	24,072,152.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	3,182.	3,180.	3,180.
PAYROLL TAXES	243.	243.	243.
TO FORM 990-PF, PART II, LINE 15	3,425.	3,423.	3,423.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND- AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM LEE FROST 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	PRESIDENT 30.00	117,694.	20,747.	0.
HENRY A. LOWET 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
CHARLES BERLIN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
BERTHOLD BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 2.00	0.	0.	0.
GEORGE HARRIS 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
PETER J. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	VICE PRESIDENT 0.50	0.	0.	0.
MARK A. BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 2.00	0.	0.	0.
ROBERT D. FROST 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 3.00	0.	0.	0.
NOAH B. PERLMAN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	SECRETARY 1.00	0.	0.	0.
GEULA R. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	TREASURER, CHAIR OF AUDIT 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		117,694.	20,747.	0.

STATEMENT C
REALIZED GAINS AND LOSSES
CIUS N. LITTAUER FOUNDATION,
TAX ID #13-1688027
Chase Manhattan Private Bank
Account # 04000197
12/31/10

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or Loss</u>	
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u>	<u>Long Term</u>
09/30/09	01/11/10	3000	RESEARCH IN MOTION LIMITED CMN	207,691.93	192,720.31	(14,971.62)	
10/31/08	01/22/10	3000	CLIFFS NATURAL RESOURCES INC	79,733.75	129,235.43		49,501.68
12/03/08	01/22/10	2000	CLIFFS NATURAL RESOURCES INC	39,192.67	86,156.95		46,964.28
03/10/09	01/22/10	3000	CLIFFS NATURAL RESOURCES INC	42,147.32	129,235.44	87,088.12	
06/23/09	02/12/10	10000	MICROSOFT CORP	237,619.16	274,358.67	36,739.51	
02/02/10	02/23/10	3000	GILEAD SCIENCES INC COM	146,534.98	141,424.90	(5,110.08)	
01/29/10	02/23/10	3000	GILEAD SCIENCES INC COM	146,444.52	141,424.90	(5,019.62)	
07/17/09	03/15/10	25000	BOSTON SCIENTIFIC CORP COM	258,005.63	157,701.61	(100,304.02)	
05/25/10	05/27/10	5000	VANGUARD TOTAL STOCK MARKET ETF	270,618.09	278,125.19	7,507.10	
10/15/96	06/08/10	4516	ANADARKO PETROLEUM CORP	130,444.66	189,272.02		58,827.36
01/01/70	06/08/10	514	ANADARKO PETROLEUM CORP	7,907.69	21,542.47		13,634.78
06/08/10	06/16/10	5000	CLIFFS NATURAL RESOURCES INC	250,427.84	285,709.07	35,281.23	
06/29/10	06/29/10	5000	CLIFFS NATURAL RESOURCES INC	252,742.61	244,895.85	(7,846.76)	
03/21/05	07/07/10	5000	TEJON RANCH CO RIGHT 06/14/10	-	-		-
02/15/08	07/07/10	2600	TEJON RANCH CO RIGHT 06/14/10	-	-		-
10/31/33	07/16/10	1192	BP PLC ADR	57,037.20	44,274.13		(12,763.07)
01/01/70	07/16/10	2452	BP PLC ADR	64,609.00	91,073.97		26,464.97
01/01/70	07/16/10	120	BP PLC ADR	269.15	4,457.13		4,187.98
06/02/10	07/16/10	3000	SPDR TRUST SER 1	328,184.34	325,114.94	(3,069.40)	
04/13/09	07/16/10	2000	SPDR TRUST SER 1	172,052.33	216,743.29		44,690.96
05/25/94	07/22/10	1	FRONTIER COMMUNICATIONS CORP COM	8.28	4.72		(3.56)
01/01/70	08/05/10	2000	COLGATE PALMOLIVE CO COM	6,609.07	152,680.96		146,071.89
01/11/10	10/05/10	5000	POWERSHARES QQQ TRUST UNIT SER 1	232,841.33	246,375.01	13,533.68	
10/06/06	10/05/10	5000	POWERSHARES QQQ TRUST UNIT SER 1	207,951.30	246,375.02		38,423.72
08/05/10	11/02/10	12000	MARKET VECTORS AGRIBUSINESS	524,255.43	608,655.07	84,399.64	
01/01/04	11/16/10	10000	BANK OF AMERICA CORP CMN	380,970.69	117,775.28		(263,195.41)
01/05/05	11/16/10	4000	CITIGROUP INC CMN	195,619.32	16,684.51		(178,934.81)
06/01/02	11/16/10	2926	CITIGROUP INC CMN	119,357.25	12,204.72		(107,152.53)
11/09/07	11/16/10	10000	CITIGROUP INC. CMN	332,526.88	41,711.28		(290,815.60)
01/01/70	11/16/10	3074	CITIGROUP INC CMN	50,393.32	12,822.05		(37,571.27)
03/10/09	11/16/10	5000	CITIGROUP INC. CMN	7,400.00	20,855.64		13,455.64
01/01/70	11/16/10	12000	MOTORS LIQUIDATION COMPANY	242,134.00	3,187.94		(238,946.06)
05/25/94	11/16/10	294	FRONTIER COMMUNICATIONS CORP COM	3,716.02	2,581.79		(1,134.23)
01/01/70	11/16/10	2214	FRONTIER COMMUNICATIONS CORP COM	6,231.62	19,442.43		13,210.81
12/25/06	11/16/10	2000	MERITAGE HOMES CORP COM	93,992.57	39,988.56		(54,004.01)
03/28/06	11/16/10	5000	ST JOE CORP	309,335.56	90,931.83		(218,403.73)
03/14/08	11/16/10	5000	ST JOE CORP	203,994.52	90,931.83		(113,062.69)
12/07/06	11/16/10	1194	WELLS FARGO & CO DEL COM	336,224.35	32,284.54		(303,939.81)
01/01/70	12/20/10	4000	EXXON MOBIL CORPORATION CMN	15,155.47	286,897.75		271,742.28
TOTAL GAINS						264,549.28	727,176.35
TOTAL LOSSES						(136,321.50)	(1,819,926.78)
				5,960,379.85	4,995,857.20	128,227.78	(1,092,750.43)

TOTAL REALIZED GAIN / (LOSS) (964,522.66)

STATEMENT A
UNREALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
Chase Manhattan Private Bank
Account # 04000197
12/31/10

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
CASH AND EQUIVALENTS						
	CASH			8,767,007.03		8,767,007.03
COMMON STOCKS						
03/20/07	8,000	ABBOTT LABORATORIES	54.58	436,666.21	47.91	383,280.00
09/29/31	6,995	ALLSTATE CORP COM	21.47	150,174.00	31.88	223,000.60
02/10/09	4,000	AMAZON.COM INC	67.33	269,304.20	180	720,000.00
06/23/09	2,000	APPLE, INC CMN	134.84	269,674.31	322.56	645,120.00
01/01/70	22,275	AT&T INC COM	6.06	134,978.48	29.38	654,439.51
03/04/10	5,000	BAXTER INTERNATIONAL INC CMN	59.35	296,766.67	50.62	253,100.00
01/01/70	13,000	BP PLC ADR	2.24	29,157.85	44.17	574,210.00
01/01/70	9,000	CHEVRON CORP	6.61	59,514.80	91.25	821,293.80
01/01/70	12,000	CIGNA CORP	1.73	20,740.74	36.66	439,920.00
03/10/09	20,000	CITIGROUP INC CMN	1.48	29,600.00	4.73	94,600.00
02/01/04	10,000	COHU INC	22.71	227,100.00	16.58	165,800.00
01/01/70	10,000	COLGATE PALMOLIVE CO COM	3.3	33,045.36	80.37	803,700.00
11/18/45	16,000	CORNING INC COM	5	80,035.82	19.32	309,120.00
06/16/10	5,000	COVIDIEN LIMITED	41.68	208,376.82	45.66	228,300.00
02/12/10	8,000	CUBIC CORP	32.74	261,920.63	47.15	377,200.00
01/01/70	3,741	DAIMLERCHRYSLER AG	21.08	78,857.00	67.58	252,816.78
11/16/03	15,000	DEVON ENERGY CORP NEW COM	41.68	625,184.15	78.51	1,177,650.00
01/01/70	9,000	DOW CHEM CO COM	1.69	15,217.00	34.14	307,260.00
01/01/70	11,754	DPL INC	1.63	19,159.00	25.71	302,195.34
12/04/35	12,800	DU PONT E I DE NEMOURS COM	36.93	472,668.51	49.88	638,464.00
01/01/70	17,000	EXXON MOBIL CORPORATION CMN	3.79	64,410.74	73.12	1,243,040.00
01/01/70	4,000	FIRSTENERGY CORP CMN	0	-	37.02	148,080.00
01/01/70	6,500	INTL BUSINESS MACHINES CORP CMN	14.22	92,430.62	146.76	953,940.00
01/01/70	15,000	JPMORGAN CHASE & CO CMN	12.4	185,991.02	42.42	636,300.00
10/22/09	10,000	MARKET VECTORS ETF TR GOLD MINERS ETF	47.18	471,803.21	61.47	614,700.00
07/16/10	5,000	MIDCAP SPDR TRUST UNIT SER 1	135.8	679,018.01	164.68	823,400.00
01/01/70	4,000	NORFOLK SOUTHERN CORP	31.79	127,178.00	62.82	251,280.00
01/01/70	6,000	P G & E CORPORATION CMN	23.89	143,366.40	47.84	287,040.00
03/23/06	22,000	PHARM HLDRS	75.53	1,661,633.43	64.95	1,428,900.00
10/06/06	20,000	POWERSHARES QQQ TRUST UNIT SER 1	41.59	831,805.18	54.46	1,089,200.00
01/01/70	5,000	PROCTER & GAMBLE COMPANY (THE) CMN	1.32	6,590.17	64.33	321,650.00
10/05/10	8,000	ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG	62.14	497,118.00	66.78	534,240.00
02/07/07	8,500	SEMICONDUCTOR HOLDERS TRUST	33.28	282,860.40	32.53	276,505.00
03/01/03	26,500	SPDR TRUST SER 1	78.94	2,092,007.09	125.75	3,332,375.00
12/20/07	12,500	STARBUCKS CORP	20.63	257,899.13	32.13	401,625.00
12/20/10	8,000	SUNCOR ENERGY INC	36.57	292,577.45	38.29	306,320.00
03/21/05	7,600	TEJON RANCH CO.	42.9	326,038.20	27.55	209,380.00
01/01/70	10,451	VERIZON COMMUNICATIONS	14.13	147,699.23	35.78	373,936.78
11/11/08	5,000	WAL MART STORES INC CMN	55.22	276,122.61	53.93	269,650.00
01/01/70	8,748	XCEL ENERGY INC	3.08	26,927.00	23.55	206,015.40
				12,181,617.44		23,079,047.21
MUTUAL FUNDS						
10/25/01	855.061	**JP MORGAN SELECT SMALL CAP EQUITY FUND	37.29	31,885.59	36.48	31,484.47
02/13/08	11000	ISHARES TR RUSSELL 2000 GROWTH INDX FND	77.72	854,936.72	87.42	961,620.00
				886,822.31		993,104.47

STATEMENT A
UNREALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
Chase Manhattan Private Bank
Account # 04000197
12/31/10

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
GNMA - POOLED						
01/01/70	4,097.280	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 01/15/20: 7 000% Due 01-15-26	98.48	4,034.81	114.43	4,688.52
01/01/70	7,980.600	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/20: 7.000% Due 03-20-27	1.34	106.79	114.01	9,098.52
01/01/70	96,092.190	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 05/15/20: 7 000% Due 05-15-28	119.71	115,030.78	114.57	110,092.82
11/15/01	136,399.680	GNMA II POOL#003160 DD 11/01/01 DUE 11/20/31 6 000% Due 11-20-31	112.75	153,789.36	110.33	150,493.86
03/17/03	277,595.240	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/20: 5.500% Due 03-20-33	114.18	316,970.10	108.31	300,668.96
06/24/03	235,224.886	GNMA II POOL#003375 DD04/01/03 5.500% Due 04-20-33	117.54	276,474.52	108.31	254,776.78
08/21/03	446,271.810	GNMA II POOL#003414 5.000% Due 07-20-33	101.68	453,772.32	107	477,528.69
01/20/04	312,562.960	GNMA II POOL#003499 DD 01/01/04 DUE 01/20/34 5.000% Due 01-20-34	96.88	302,796.07	106.89	334,107.92
05/18/04	302,939.230	GNMA II POOL#003544 DD 04/01/04 5.500% Due 04-20-34	102.53	310,595.45	108.15	327,634.84
12/15/04	402,540.550	GNMA II POOL# 003651 DUE 12/20/2034 5.000% Due 12-20-34	100.7	405,352.69	106.89	430,287.67
04/18/05	429,163.140	GNMA II POOL# 3701 DUE 04/20/2035 5 000% Due 04-20-35	97.34	419,631.89	106.75	458,148.80
01/08/09	610,858.677	GNMA POOL # 781929 DUE 06/15/2035 6.000% Due 06-15-35	107.5	656,702.05	110.15	672,842.51
01/01/06	803,931.190	GNMA 2 POOL 3724 DUE 06/20/2035 5.500% Due 06-20-35	101.32	814,556.26	108.13	869,314.91
12/16/05	854,128.320	GNMA II POOL# 003796 DUE 12/20/2035 5 500% Due 12-20-35	100.26	856,315.51	108.13	923,594.58
06/21/06	35,145.900	GNMA POOL #653615 5 500% Due 05-15-36	45.76	16,082.40	109.17	38,369.13
05/17/07	723,997.350	GNMA II POOL# 003974 DUE 04/20/2037 5 000% Due 04-20-37	93.62	677,825.45	106.39	770,231.82
02/11/09	440,949.300	GNMA POOL #688143 DUE 12/15/38 6 000% Due 12-15-38	108.52	478,514.39	110.1	485,476.36
				6,258,550.84		6,617,356.69
UNITED STATES TREASURY NOTES						
01/01/70	1000000	US TREASURY BONDS DUE 05/15/2016 7 250% Due 05-15-16	105.32	1,053,234.00	126.07	1,260,703.11
				7,311,784.84		7,878,059.80
TOTAL PORTFOLIO				<u>29,147,231.62</u>		<u>40,717,218.51</u>

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-10 to 12-31-10

If recipient is an individual, show any relationship to any foundation manager or substantial contributor

NAME	ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE	AMOUNT
92nd Street Y	1395 Lexington Avenue, New York, NY 10128	501 (c) (3)	Matching gift - Stephanie and Noah Perlman	\$ 500
92nd Street Y	1395 Lexington Avenue, New York, NY 10128	501 (c) (3)	Support of the Resource Center for Jewish Diversity, 2010-2011	10,000
92nd Street Y	1395 Lexington Avenue, New York, NY 10128	501 (c) (3)	Matching gift - Stephanie & Noah Perlman	750
Abraham Joshua Heschel School	270 West 89th Street, New York, NY 10024	501 (c) (3)	Matching gift - Robert & Navah Frost	1,000
Abraham Joshua Heschel School	270 West 89th Street, New York, NY 10024	501 (c) (3)	Matching gift - Geula & Joshua Solomon	1,200
Albert Einstein School of Medicine Yeshiva University	1300 Morris Park Avenue, Mazer Bldg., Room 719, New York, NY 10461	501 (c) (3)	Support of research in Aging and Alzheimer's diseases	25,000
Alliance for Justice	Eleven Dupont Circle NW, 2nd Fl., Washington, DC 20036	501 (c) (3)	Support of programs	2,500
American Academic Association for Israel	27 Chadwick Road, Great Neck, NY 10023	501 (c) (3)	Support of the June '10 visit of academics to Israel	5,000
American Academic Association for Israel	27 Chadwick Road, Great Neck, NY 10023	501 (c) (3)	Support of Academic Study visits to Israel in January and June 2011	10,000
American Ballroom Theater Co	25 West 31st Street, 4th Fl., NY NY 10001	501 (c) (3)	Support of programs (during 2009/10, 60 instructors target 35,000 children in approx 240 schools)	7,500
American Federation for Aging Research	55 West 39th Street, 16th Fl., New York, NY 10018	501 (c) (3)	Support of programs	5,000
American Friends of the Hebrew University	One Battery Park Plaza, 25th Floor, NY NY 10004-1405	501 (c) (3)	Support of the International Center for University Teaching of Jewish Civilization, Hebrew University, for library support at the "Dr. Moshe Carmilly" Institute for Hebrew and Jewish History at "Babes Bolyai" University, Cluj-Napoca, Rom	2,500
American Friends of the Israel Museum	500 Fifth Avenue, Suite 2540, NY NY 10110	501 (c) (3)	Support of the Lucius N. Littaer Foundation Periodicals Endowment Fund	5,000
American Friends of the Israel Philharmonic Orchestra	122 East 42nd Street, Suite 4807, New York, NY 20268	501 (c) (3)	Support for the AFIPO newsletter, "Passover To Music," and electronic communications	15,000
American Friends of the Medem Library, Inc	115 East 89th Street, Suite 5A, NY NY 10128	501 (c) (3)	Support of the programs of the Paris Yiddish Center-Medem Library	5,000
American Friends of the Tel Aviv Museum of Art	36 West 44th Street, Suite 1209, NY NY 10036 20 East 46th Street, 15th floor, New York, NY 10017	501 (c) (3)	Support of museum programs	5,000
American Israel Cultural Foundation	711 Third Avenue, 10th Floor, NY NY 10017	501 (c) (3)	Support of the publication of the annual newsletter	5,000
American Jewish Joint Distribution Committee	c/o Herbert Neuman 122 East 42nd Street, 30th Fl., New York, NY 10168	501 (c) (3)	Support of the programs of the International Center for University Teaching of Jewish Civilization	25,000
American Jewish Joint Distribution Committee	45 West 36th Street, New York, NY 10018	501 (c) (3)	Support for the "International Center for University Teaching of Jewish Culture at the Hebrew University"	10,000
American Jewish World Service	29 West 9th Road, Broad Channel, NY 11693	501 (c) (3)	Support of the AJWS programs to help fight poverty, hunger and disease around the world	25,000
American Littoral Society	Central Park West at 79th Street New York, NY 10024-5192	501 (c) (3)	Support for the Beach Cleanup for 2010	2,000
American Museum of Natural History	ASPNI Director 28 Arrandale Avenue, Great Neck, NY 10024	501 (c) (3)	Support of programming for astronomy and earth and planetary sciences	10,000
American Society for the Protection of Nature in Israel	P O 5000, Amherst, MA 01002-5000	501 (c) (3)	Support for the programs of A S P N I	5,000
Amherst College	605 Third Avenue, NY NY 20258-3560	501 (c) (3)	Support of the publication of "Return to Centro Historico A Mexican Jew Revisits His Roots"	5,000
Anti-Defamation League	Cultural Education Center, Suite 9C49 Albany, NY 12230	501 (c) (3)	Support of ADL programs	5,000
Archives Partnership Trust		501 (c) (3)	Support of educational programming	25,000

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From 1-1-10 to 12-31-10

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NAME	ADDRESS	relationship to any foundation manager or substantial contributor	Foundation status of recipient	PURPOSE	AMOUNT
Archivists Round Table of Metropolitan New York	P O Box 151 New York, NY 10274	None	501 (c) (3)	Support of programs	1,000
Artthritis Foundation/NY Chapter	122 East 42nd Street, NY NY 10168-1898	None	501 (c) (3)	Support of programs	\$ 10,000
Asian American Legal Defense and Education Fund	99 Hudson Street, 12 Fl, NY NY 10013	None	501 (c) (3)	Support of programs of the AALDEF	2,500
Association for Jewish Studies	15 West 16th Street, New York, NY 10011	None	501 (c) (3)	Support (\$5,000) of the travel of non-tenured faculty participation in the AJS annual conference, support of the AJS's journal, "AJS Review" (\$5,000)	10,000
Association of Jewish Libraries	Brandeis University, Mailstop 045, Waltham, MA 02453-2728	None	501 (c) (3)	Support of travel funds for librarians outside the United States who will attend the Annual Association of Jewish Libraries Annual Convention, Seattle, WA July 4-7, 2010	5,000
AVODAH - The Jewish Service Corps	45 West 36th Street, 8th Floor, New York, NY 10018	None	501 (c) (3)	Support of the programs of AVODAH The Jewish Service Corps	25,000
Barnard College Fund	Barnard College, Columbia University, 3009 Broadway, NY NY 10027-6191	None	501 (c) (3)	Matching gift - Dr. Stephan F. Brumberg in memory of Pamela B. Brumberg, '64	125
Battery Park City Parks Conservancy	2 South End Avenue, New York, NY 10280	None	501 (c) (3)	Support of the "BPCP's Marine Education Program"	5,000
Beth Israel Medical Center	245 Fifth Avenue at 28th Street New York, NY 10016	None	501 (c) (3)	Support of project "Spirit of Chaplaincy, Voices of Hope and Courage"	10,000
Big Brothers/Big Sisters of Fulton County Blue Card, Inc	110-112 North Main Street, Gloversville, NY 12078	None	501 (c) (3)	Support of programs	10,000
Brandeis University	171 Madison Avenue, NY NY 10016	None	501 (c) (3)	Support of financial assistance to Holocaust survivors	25,000
Brearely School Annual Fund	Mailstop 045 Waltham, MA 02454-9110	None	501 (c) (3)	Support of the publication of Volume 23 of "POLIN Studies in Polish Jewry"	7,500
Brearely School Annual Fund	610 East 83rd Street, NY NY 10130-02142	None	501 (c) (3)	Matching gift - Noah and Stephanie Perlman	625
Brearely School Annual Fund	610 East 83rd Street, New York, NY 10028	None	501 (c) (3)	Support of scholarship program	2,500
Brearely School Annual Fund	610 East 83rd Street, New York, NY 10130-0442	None	501 (c) (3)	Matching gift - Navah Perlman Frost '88	500
Brearely School Annual Fund	610 East 83rd Street, New York, NY 10028	None	501 (c) (3)	Matching gift - Peter J. Solomon Family Fund	1,000
Brearely School Annual Fund	610 East 83rd Street, New York, NY 10028	None	501 (c) (3)	Matching gift - Noah B. and Stephanie L. Perlman	625
Brooklyn Botanic Garden	1000 Washington Avenue, Brooklyn, NY 11225	None	501 (c) (3)	Support of a) the Garden Apprenticeship Program (\$10,000), b) Project Green Reach (\$10,000)	20,000
Brooklyn Hospital Foundation	121 DeKalb Avenue, Brooklyn, NY 11201	None	501 (c) (3)	Support of the hospital's palliative care programs	25,000
Brooklyn Hospital Foundation	121 DeKalb Avenue Brooklyn, NY 11201	None	501 (c) (3)	Matching gift - George Harris, Esq.	5,000
Brown College Annual Fund	Box 1877, Providence, RI 02912-9962	None	501 (c) (3)	Matching gift - Navah Perlman Frost'92	500
Brown University Sports Foundation	Box 1925, Providence, RI 02912	None	501 (c) (3)	Matching gift - Mark A. Bilski	1,000
Campbell Special School	1784 Fairview Road, Glenmoore, PA 19343	None	501 (c) (3)	Support of the programs for children with special needs	3,000
Caring Community	20 Washington Square North, NY NY 10011	None	501 (c) (3)	Support of the programs of The Caring Community	2,500
Central Park Conservancy	14 East 60th Street, New York, NY 10022	None	501 (c) (3)	Support of Education and Youth Development Programs	15,000
Child Center of New York	60-02 Queens Blvd., Woodside, NY 11277	None	501 (c) (3)	Support of Confident Parenting Program	10,000
Children's Storefront	70 East 129th Street, New York, NY 10029	None	501 (c) (3)	Support of the school library of The Children's Storefront	5,000
City Bar Justice Center	42 West 44th Street, New York, NY 10036	None	501 (c) (3)	Support of the pro bono project to address areas of unmet civil and legal needs and help to low-income clients	25,000
City Harvest	575 Eighth Avenue, 4th Floor, NY NY 10018	None	501 (c) (3)	Support of City Harvest programs	5,000
COJECO (Council of Jewish Emigre Community Organizations)	261 Madison Ave., Suite 1504, NY NY 10016	None	501 (c) (3)	Support of Project Geshet - informal Jewish education and outreach initiative in the Russian-speaking Jewish community in New York	10,000

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NAME	ADDRESS	Foundation status of recipient	PURPOSE	AMOUNT
Collegiate School Annual Fund	260 West 78th Street, NY NY 10132-0180	None	Matching gift - Noah B. Perlman	1,500
Collegiate School Annual Fund	260 West 78th Street, NY NY 10132-0180	501 (c) (3)	Matching gift - Robert D. Frost '88	500
Collegiate School Annual Fund	260 West 78th Street, NY NY 10024-9680	501 (c) (3)	Matching gift - Noah Perlman	2,500
		501 (c) (3)	Support of graduates with funding and loan repayment assistance to support their pursuit of government and public interest opportunities \$60,000 to be paid in 2010 and \$60,000 to be paid in 2011	
Columbia Law School	Dept. of Alumni Relations, Jerome Greene Hall, 435 West 116th Street, Box A-2, New York, NY 10027	None	Support of the CSSR-TCHCC program to create a new curriculum that would educate caregiver staff and the pre-med interns around the issues of palliative care of the dying	\$ 60,000
Columbia University	The Earth Institute, Hogan Hall, Level A, Mail Code 3277, 2910 Broadway, New York, NY 10025	501 (c) (3)	Support of Dr. Stephanie Neuman's Comparative Defense Studies Program at the Saltzman Institute of War & Peace Studies	6,000
Columbia University	420 West 108th Street, Room 1328A, New York, NY 10027	501 (c) (3)	Support of the Re-training Program for Immigrant Engineers	15,000
Columbia University Graduate School of Business	33 West 60th Street, 7th floor, NY, NY 10023	501 (c) (3)	Support of this summer's Cornell Hillel and American Joint Distribution Committee (JDC) to Ukraine	250
Cooper Union	Development, External Affairs, 30 Cooper Square, New York, NY 10003-7120	501 (c) (3)	Support of the Association's programs	15,000
Cornell University Hillel	G-34 Anabel Taylor Hall, Ithaca, NY 14853	501 (c) (3)	General support (\$5020 for grant), (\$500 for administrative expenses)	10,000
Correctional Association of New York	2090 Adam Clayton Powell, Jr. Blvd., Suite 200 New York, NY 10027-4990	501 (c) (3)	Support of programs of CENYC	3,000
Council On Foundations	POB 75661, Baltimore, MD 21275-5661	501 (c) (3)	Support of Davis Center's project on the Contemporary Russian-speaking Jewish Diaspora	5,020
Council on the Environment of New York City	51 Chambers Street, Room 228, NY NY 10007	501 (c) (3)	Support of Programs	2,000
Davis Center for Russian & Eurasian Studies at Harvard University	1730 Cambridge Street, Harvard University Cambridge, MA 02138	501 (c) (3)	Support of the Teleconferencing Programs - "University Without Walls," "To Your Health," and "Russian University Without Walls"	20,000
Disability Rights International	1156 15th Street NW, Suite 1001, Washington, DC 20005	501 (c) (3)	Support of the programs for children and their families dealing with life-threatening illnesses	10,000
DOROT, Inc	17 West 85th Street, New York, NY 10024	501 (c) (3)	Support of "Project Help" which offers substance abuse and rehabilitation services to members of the Russian-speaking community	15,000
Double H Ranch	97 Hidden Valley Road, Lake Luzerne, NY 12846	501 (c) (3)	Support of Citizenship Program for Russian-speaking Jewish immigrants from the FSU	20,000
Easter Seals New York	40 West 37th Street, Suite 503, NY NY 10018	501 (c) (3)	Support of law enforcement outreach programs	20,000
Educational Alliance	197 East Broadway, New York, NY 10002	501 (c) (3)	Support of school's programs	2,000
F E G S (Federation of Employee Guidance Service, Inc)	315 Hudson Street, New York, NY 10013	501 (c) (3)	Support of the Foundation's Doctoral Dissertation Fellowship in Jewish Studies	10,000
Federal Drug Agents Foundation	North Shore Plaza, 380 North Broadway, 2/FI, Jericho, NY 11753	501 (c) (3)		
Fiorella H. LaGuardia High School of Music & Art and Performing Arts	Ansania Station, P O Box 231485, NY NY 10023-0025	501 (c) (3)		
Foundation for Jewish Culture	330 Seventh Avenue, 21st Floor, New York, NY 10001	501 (c) (3)		

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NAME	ADDRESS	If recipient is an individual, show any relationship to any foundation manager or substantial contributor		recipient	Foundation status of	PURPOSE	AMOUNT
		substantial contributor	recipient				
Foundation for the Jewish Historical Museum in Amsterdam, Inc	44 South Bagley Avenue, Suite 304 Port Washington, NY 11050	None	None	501 (c) (3)		Support of programs	10,000
Frankel Institute for Advanced Judaic Studies	202 South Thayer Street, Suite 2111, Ann Arbor, MI 48194-1608	None	None	501 (c) (3)		Support to offset the expense of transcription for the book, "The Jewish Masculine Mystique: Interfaith Romance and Fatherhood in American Life"	1,500
Friends of the Jewish Chapel	326 First Street, Suite 22, Annapolis MD 21403	None	None	501 (c) (3)		Support of the Friends of the Jewish Chapel Program Endowment, U S Naval Academy	1,000
Friends of Van Cortland Park	124 Gale Place #GR4, Bronx, NY 10463	None	None	501 (c) (3)		Support of the free environmental education programs for school and community groups	5,000
Friends of Yad L'kashish/Lifeline for the Old	P O Box 494, Englewood, NJ 07631	None	None	501 (c) (3)		Renewed support for Jerusalem's elderly and disabled	4,000
Friends of Yad L'kashish/Lifeline for the Old	P O Box 494 Englewood, NJ 07631	None	None	501 (c) (3)		Support of programs for Jerusalem's elderly & disabled	15,000
Fulton County Historical Society	P O Box 711, 207 Kingsboro Avenue, Gloversville, NY 12098	None	None	501 (c) (3)			
Genesco Foundation	College Circle, Genesco, NY 14454-9978	None	None	501 (c) (3)		Support of the display of members of the Armed Forces	5,000
George Washington Medical Center	2150 Pennsylvania Avenue, NW, Suite 2B417, Washington, DC 20037	None	None	501 (c) (3)		Matching gift - George Harris, Esq	2,000
Global Rights	1200 18th Street, NW, Washington, DC 20036	None	None	501 (c) (3)		Support of the book, "Divine Science: Judaism, Copernicus, and the Future of Science & Religion," Jeremy Brown, MD	5,000
Guiding Eyes For the Blind	611 Granite Springs Road, Yorktown Heights, NY 10598	None	None	501 (c) (3)		Support of the worldwide program of seeking justices for victims of human rights abuse	5,000
Hampshire College	Amherst, MA 01002-3359	None	None	501 (c) (3)		Support of "Guiding Eyes Sights in College Program" to encourage blind adolescents to pursue higher education	10,000
Harvard College Fund	124 Mount Auburn Street, Cambridge, MA 02138-5795	None	None	501 (c) (3)		Support of the Littauer Judaica Book Fund	5,000
Harvard College Fund	124 Mount Auburn Street Cambridge, MA 02138-5795	None	None	501 (c) (3)		Matching gift - Noah & Stephanie Perlman	500
Hazon	125 Maiden Lane, Suite 8B, New York, NY 10038	None	None	501 (c) (3)		Matching gift - Robert D. Frost 92	500
Healthcare Chaplaincy	315 East 62nd Street, 4th Floor, NY NY 10065	None	None	501 (c) (3)		Support of JFEN "Jewish Food Education Network "	5,000
Hebrew Free Loan Society	675 Third Avenue, Suite 1905, New York, NY 10017	None	None	501 (c) (3)		Support of spiritual care in palliative care	10,000
Hebrew Immigrant Aid Society	333 Seventh Avenue, New York, NY 10001-5004	None	None	501 (c) (3)		Support of the programs of the Hebrew Free Loan Society	10,000
Hebrew Senior Life	1200 Centre Street, Boston, MA 02131	None	None	501 (c) (3)		Support of the HIAS Scholarship Program	10,000
Humanity in Action	25 West 45th Street, 6th floor, New York, NY 10036	None	None	501 (c) (3)		Support of the Clinical Pastoral Education Program	10,000
Institute for the Advancement of Education in Jaffa, Inc	The Jaffa Institute, 11 Pahad Yitzhak St., P O B 8216, Jaffa 61081, Israel	None	None	501 (c) (3)		Support of HIA programs that focus on treatment of minorities	3,500
International Assoc of Women Judges	1850 M Street, NW, Suite 350, Washington, DC 20036	None	None	501 (c) (3)		Support of the Jaffa Institute's "Building Better Bridges" after school educational enrichment program	10,000
International Senior Lawyers Project	31 West 52nd Street, New York, NY 10019	None	None	501 (c) (3)		Support of YAWJ's worldwide program to advance women's equal rights access to justice, gender equality, and judicial leadership	10,000
Israel Guide Dog Center for the Blind	732 S Settlers Circle, Warrington, PA 18976	None	None	501 (c) (3)		Support of projects to promote the rule of law, human rights, and equal economic opportunity	5,000
Ithaca College	953 Danby Road, Ithaca, NY 14850	None	None	501 (c) (3)		Support of the programs of the Center	5,000
Jewish Braille Institute of America (JBI)	110 East 30th Street, NY NY 10016	None	None	501 (c) (3)		Support of the Lucius N. Littauer Book Fund	10,000
						Support of programs that assist the "blind and visually impaired" among immigrant populations	15,000

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Jewish Child Care Association of New York Jewish Community Center of Fulton County, Inc	120 Wall Street, New York, NY 10005 28 East Fulton Street, Gloversville, NY 12078	None None	Support of programs for Bukharan Jewish emigre's through the Family Day Care Program	10,000
Jewish Family Service	199 Scoles Avenue, Clifton, NJ 07012 1779 Massachusetts, NW, Suite 515, Washington, DC 20036	None None	Support of the programs of the Center Support of the programming of Jewish Family Services of Greater Passaic-Clifton (NJ)	10,000 15,000
Jewish Institute for National Security Affairs Jewish Museum of Maryland	15 Lloyd Street, Baltimore, MD 21202 114 West 26th Street, Suite 1004, New York, NY 10001	None None	Support of the Law Enforcement Exchange Program (LEEP) Support of the publication of "American Jewish Foodways"	\$ 25,000 10,000
Jewish Student Press Service	330 Seventh Avenue, 17th floor, New York, NY 10001	None	Support of "New Voices" and related programs	7,500
Jewish Telegraphic Agency (JTA)	Kripke 603, New York, NY 10027-4649	None	Support of programs of JTA	10,000
Jewish Theological Seminary of America	3080 Broadway New York, NY 10027	None	Support of the publication by Brill Publishers of a book tentatively titled, "Jews/Theater/Performance in an Intertextual World"	8,000
Jewish Theological Seminary of America KIVUNIM New Directions	300 Central Park West # 1212, NY NY 10024	None None	Defray copy editing expenses of the book "Language Learners' Guide to Academic Hebrew," published by Brill	3,000 25,000
Kneseth Israel Synagogue	34 East Fulton Street, Gloversville, NY 12078	None	Support of programs of Kivunim Support of the programs of the George J. Dorfman School of Jewish Education	3,000
Learning Leaders Legal Aid Society	80 Maiden Lane, 11 Fl, NY NY 10038-4729 199 Water Street, New York, NY 10038	None None	Renewed support of educational and parental involvement in programs in New York City public schools Support of the Civil Practice Program	25,000 10,000
Legal Information for Families Today Lower East Side Tenement Museum	300 Broadway, Suite 400, New York, NY 20013 91 Orchard Street, NY NY 10002	None None	Support of programs of LIFT that "enhance access to justice by providing legal information, community education and compassionate guidance"	5,000 10,000
Macula Foundation, Inc	Manhattan Eye, Ear & Throat Hospital East 64th Street, New York, NY 10065	None None	Support of the museum's general programs Support of the research and teaching programs of The Macula Foundation, Inc., particularly the identification of genetic factors related to macular diseases	5,000
Manhattan Theatre Club	311 West 43rd Street, 8/FI, NY NY 10036	None	Support of the CORE Program, an in-school education program for high school students	25,000
Manhattan Theatre Club Manlius Pebble Hill School	311 West 43rd Street, 8/FI, NY NY 10036 5300 Jamesville Road, Dewitt, NY 13214	None None	In honor of Peter J. Solomon. The grant is at the "sponsor" level Matching gift - George Harris, Esq	25,000 500
Me'ah National Initiative	520 Eighth Avenue, 15th Floor, New York, NY 10018-6507	None	Support of programs of Me'ah-National Initiative which offers intensive, two-year adult Hebrew learning experiences that engage in an intelligent, sophisticated conversation with core Jewish texts Support of the English translation of Prof. Marta F. Topel's book, "Jewish Orthodoxy and It's Discontents Religious Dissidence in Contemporary Israel," published by University Press of America, Inc	10,000
Memorial Foundation for Jewish Culture Memorial Sloan-Kettering Cancer Center	50 Broadway, 34th Floor, New York, NY 10004 1275 York Avenue, New York, NY 10065 1156 15th Street NW, Suite 1001, Washington, DC 20005	None None	Support of Palliative Care Service Support of MDRI worldwide programs	6,000 10,000 10,000

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Mental Health Association of Westchester	2269 Saw Mill River Road, Building 1A, Elmsford, NY 10523-3832	None	501 (c) (3)	Support of MHA's seniors and education programs	10,000
Metropolitan Opera Guild	The Lincoln Center Plaza, New York, NY 10023	None	501 (c) (3)	Support of the Guild's education programs	10,000
Minkwon Center for Community Action	136-19 41st Avenue, 3rd Floor, Flushing, NY 11355	None	501 (c) (3)	Support of programs that enable critically needed advocacy, organizing, and legal and social services to Korean community members	5,000
Montefiore Medical Center	3544 Jerome Avenue, Bronx, NY 10467	None	501 (c) (3)	Support of the program to create an English and Spanish resource guide for Bronx family caregivers	\$ 5,375
Mountain School	151 Mountain School Road, Vershire, VT 05079	None	501 (c) (3)	Matching gift - Abigail Solomon	200
Museum at Eldridge Street	12 Eldridge Street, New York, NY 10002	None	501 (c) (3)	Support of programs	5,000
Museum of the City of New York	1220 Fifth Avenue, New York, NY 10029	None	501 (c) (3)	Support of museum programs	10,000
Nathan Luttauer Foundation, Inc	99 East State Street, Gloversville, NY 12078	None	501 (c) (3)	Support of the Luttauer Family of Health Services	25,000
National Association of Professors of Hebrew	1346 Van Hise Hall, 1220 Linder Drive, University of Wisconsin, Madison, WI 53706	None	501 (c) (3)	Support of the publication of the 2011 (No 52) volume of Hebrew Studies	5,000
National Kidney Foundation of Greater New York	30 East 33rd Street, New York, NY 10016	None	501 (c) (3)	Support of programs in preventing kidney disease via public education and screening campaigns	25,000
Nature Conservancy	4245 N Fairfax Drive, Suite 100, Arlington, VA 22203	None	501 (c) (3)	Support of the Conservancy programs	5,000
Neuropathy Association	60 East 42nd Street, Suite 942, NY, NY 10165	None	501 (c) (3)	Support of programs	5,000
New York Botanical Garden	Bronx, NY 10458-5126	None	501 (c) (3)	Support of the Professional Development Program for Teachers	20,000
New York Hall of Science	47-01 111th Street, Queens, NY 11368-2950	None	501 (c) (3)	Support of teacher professional development initiation	10,000
New York Public Library	Fifth Ave & 42nd Street New York, NY 10018	None	501 (c) (3)	Support of the Dorot Jewish Division of the New York Public Library	20,000
New York Silver School of Social Work	1 Washington Square North, New York, NY 10003	None	501 (c) (3)	Support of the School's first Palliative Care & End-of-Life Care (PELC) conference	25,000
New York University School of Medicine	530 First Avenue, New York, NY 10016	None	501 (c) (3)	Support of cancer research at the George Lipkin Laboratory at New York University School of Medicine	10,000
New York University School of Medicine North American Conference on Ethiopian Jewry (NACOEJ)	550 First Avenue, Suite 4D, NY NY 10016-6481	None	501 (c) (3)	Support of the costs in filming of and editing Palliative Care Real-Time Interviews of the Palliative Care Team in direct contact with patients and families	15,000
New York University School of Medicine North American Conference on Ethiopian Jewry (NACOEJ)	132 Nassau Street, Suite 412, NY NY 10038	None	501 (c) (3)	Support of programs in Israel for Ethiopian Jews	5,000
Northwestern University	132 Nassau Street, Suite 412, NY NY 10038	None	501 (c) (3)	Support of programs in Israel for Ethiopian Jews	5,000
NYU Hematology Research Fund	Crown Hall Crowe J-163, 1860 Campus Drive, Evanston, IL 60208	None	501 (c) (3)	Support of the Ukrainian publication of "The Anti-Imperial Choice The Making of the Ukrainian Jew"	3,000
Outward Bound	160 East 34th Street, NY NY 100016	None	501 (c) (3)	Support of programs of the research fund	5,000
Oxford University Press	29-46 Northern Boulevard, Long Island City, NY 11101	None	Charitable	Support of programs to provide students from New York City's most underserved communities with the academic leadership and social skills that will enable them to succeed in school, college, careers and in all aspects of life	25,000
P E F Israel Endowment Funds, Inc	The Hebrew University of Jerusalem, Mt Scopus, Jerusalem 91905, Israel	None	501 (c) (3)	Support of "Studies in Contemporary Jewry"	3,000
	317 Madison Avenue, Suite 607, NY NY 10017	None		Support for the Inter-religious Coordinating Council in Israel	15,000

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-10 to 12-31-10

NAME	ADDRESS	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	PURPOSE	AMOUNT
P E F Israel Endowment Funds, Inc	317 Madison Avenue, Suite 607, NY NY 10017	None	501 (c) (3)	Support of Kern-Kita-Jerusalem-Aid for Russian Immigrants	10,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue, Suite 607, NY NY 10017	None	501 (c) (3)	Support for programs of Dental Volunteers for Israel	5,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue, Suite 607, NY NY 10017	None	501 (c) (3)	Support of programs of Center for the Advancement of the Blind, Safed, Israel	3,000
Philanthropy New York	79 Fifth Avenue, 4th floor, New York, NY 10003	None	501 (c) (3)	Support of membership dues (\$650 in membership dues & \$2,650 as a grant)	3,300
Prospect Park Alliance	95 Prospect Park West Brooklyn, NY 11215	None	501 (c) (3)	Support of educational programming at the Prospect Park Alliance	10,000
Queens College Libraries	65-30 Kissena Blvd., Flushing NY 11367-1597	None	501 (c) (3)	Support of the library acquisitions in the field of Jewish Studies	10,000
Queens Library Foundation	89-11 Merrick Boulevard, Jamaica, NY 11432	None	501 (c) (3)	Support of the Library's New American Program and International Resource Center	15,000
Reach Out and Read of Greater New York, Inc	30 East 33rd Street, 6 Fl., NY NY 10016	None	501 (c) (3)	Support of programs	5,000
Reading Reform Foundation of New York	333 West 57th Street, Suit 1L, NY NY 10019	None	501 (c) (3)	Support of the Reading Reform Foundation's programs	10,000
Recording for the Blind & Dyslexic (RFB&D)	20 Rozel Road, Princeton, NJ 08540	None	501 (c) (3)	Support of the programs of RFB&D	5,000
Scenic Hudson, Inc	One Civic Center Plaza, Suite 200, Poughkeepsie, NY 12601-3152	None	501 (c) (3)	Support of Scenic Hudson's Environmental Education and Park Programs	5,000
Selfhelp Community Services, Inc	520 Eighth Avenue, New York, NY 10018	None	501 (c) (3)	Support for Holocaust survivors, elderly men, women and families at risk	5,000
Senior Citizen Services Center of Gloversville and Fulton County, Inc	53 Church Street, Gloversville, NY 12078	None	501 (c) (3)	Support of programs and services which benefit the Senior Community of Gloversville, NY	3,000
Sharsheret	1086 Teaneck Road, Suite3A, Teaneck, NJ 07686	None	501 (c) (3)	Support of young Jewish women facing breast and ovarian cancer	10,000
Southern Jewish Historical Society	2517 Hartford Drive, Ellenwood, GA 30294	None	501 (c) (3)	Support of the publication "Southern Jewish History Journal of the Southern Jewish Historical Society"	5,000
Stanford University Press	1450 Page Mill Road, Palo Alto, CA 94304	None	Charitable	Support of publication of "Sanctuary In the Wilderness A Critical Introduction to American Hebrew Poetry," Alan Mintz	5,000
Story Corps	80 Hanson Place, 2nd Floor, Brooklyn, NY 11217	None	501 (c) (3)	Support of programs which provide Americans of every stripe the opportunity to record a conversation with a loved one and to discover the richness in the stories all around us which will leave a legacy for future generations	10,000
Student Partnership Worldwide	1413 K Street NW, 5th Fl., Washington, DC 20005	None	501 (c) (3)	Support of the programs of SPW to address health, education and environmental issues which affect young people, and the lives of their families, communities and countries	10,000
To the Top With Laptops, Inc	60 East 42nd Street, Suite 1320, NY, NY 10165	None	501 (c) (3)	Support of the programs to provide laptop computers and printers to scholarship college-bound students	5,000
Tufts University	P O Box 3306, Boston, MA 02241-3306	None	501 (c) (3)	Matching gift - Peter J Solomon Family Foundation	1,000
UCLA Regents	Dept of Near Eastern Languages and Culture, Hershey Hall, Los Angeles, CA 90095-1511	None	501 (c) (3)	Support of Volume IV of "Hador, The Hebrew Annual of America"	8,000
UJA Federation of New York	130 East 59th Street New York, NY 10022	None	501 (c) (3)	Support of programs in Greater New York and Israel	100,000
UJA Federation of New York	130 East 59th Street, New York, NY 10022	None	501 (c) (3)	Support of the Jeanette Solomon Cultural Arts Fund	25,000
United Hospital Fund	350 Fifth Avenue, 23 Fl., NY NY 10118-2300	None	501 (c) (3)	Support of the programs of the United Hospital Fund	10,000

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-10 to 12-31-10

If recipient is an individual, show any relationship to any foundation manager or substantial contributor

NAME	ADDRESS	Foundation status of recipient	PURPOSE	AMOUNT
United Way of Fulton County	110-112 North Main Street, P O Box 911 Gloversville, NY 23078	None	Support of the Community Campaign for 2010-2011	4,000
University of North Carolina (Chapel Hill)	Davis Library, UNC-CB #3900, Chapel Hill, NC 27514-8890	501 (c) (3)	Matching gift - Henry A. Lowet, Esq	500
University of Pennsylvania	3405 Woodland Walk, Philadelphia, PA 19104-6208	501 (c) (3)	Support of their publication, "Diaspora and Zion Jewish Artists and Modernity," Larry Silver and Samantha Baskind	4,000
University of Pennsylvania	208 College Hall, Philadelphia, PA 19104-6379	501 (c) (3)	Support of the biography of "Maxim M. Vinaver, Russian-Jewish Leader (1862-1920)," V. E. Kelnar	6,000
University of Texas (Austin)	1 University Station, Austin, TX 78712-9362	501 (c) (3)	Support of the book, "Dancing Jewish Jewish Identity in American and Postmodern Dance," Rebecca Rossen	\$ 10,000
University Press of New England	Suite 250, One Court Street Lebanon, NH 03766-1312	501 (c) (3)	Support of publication of - "German Cities, Jewish Memories The Story of Worms," Dr. Nils Roemer - "Untold Tales of the Hasidim Crisis and Discontent in the History of Hasidism," Dr David Assaf - "The 'Bendy' Boys and the Makin	15,000
University Press of New England	Suite 250 One Court Street, Lebanon, NH 03766-1358	501 (c) (3)	Support of publications 1) "Forsaken The menstruant in Medieval Jewish Mysticism," F. Koren - \$3,000 2) "Sexual Violence Against Women During the Holocaust," edited by S. Hedgepeth & R. Saidel - \$3,000 3) "Promised Lands Jewish American	12,000
Vanderbilt University	256 Macopin Road, West Milford, NJ 07480 140 Buttrick Hall, 390 24th Avenue South, Nashville, TN 37240	501 (c) (3)	Support of programs	5,000
Vassar College	Box 725, 124 Raymond Avenue, Poughkeepsie, NY 12604-0014	501 (c) (3)	Support of the publication, "A Partnership Culture Economic Life and Communal Identity in Medieval Jewish Egypt"	5,000
W F Albright Institute of Archaeological Research	26 Salah ed-Din Street, P O Box 19096, Jerusalem 91190, Israel	501 (c) (3)	Matching gift - Mark A. Bliski	1,500
Wave Hill	675 West 252nd Street, Bronx, NY 10471	501 (c) (3)	Support to enable the Institute to continue to employ a part-time librarian who is responsible for library acquisition	5,000
Williams College Alumni Fund	75 Park Street, Williamsburg, MA 01207-2141	501 (c) (3)	Support of the Forest Project Summer Collaborative (FPSC), a paid environmental internship for Bronx teens	15,000
Williams College Alumni Fund	75 Park Street, Williamsburg, MA 01207-2141	501 (c) (3)	Matching gift - Dr. Stephan Brumberg '62	250
Williams College Alumni Fund	75 Park Street, Williamsburg, MA 01207	501 (c) (3)	Matching gift - The Peter J. Solomon Family Foundation	500
Yale Law School	P O Box 803, New Haven, CT 06503-0803	501 (c) (3)	Matching gift - The Peter J. Solomon Family Foundation and Abigail Solomon	500
Yale University Press	P O Box 209040, New Haven, CT 06520-9040	501 (c) (3)	Matching gift - Henry A. Lowet, Esq '57	500
Yeshiva University	500 West 185th Street, New York, NY 10033	501 (c) (3)	Support of the publication of "Building After Auschwitz Jewish Architecture and Memory Since the Holocaust," Gavriel Rosenfeld	5,000
Young Women's Leadership Network	322 Eighth Avenue, 14th Floor, NY NY 10001	501 (c) (3)	Support of the Jewish Studies Program of the Yeshiva University Libraries	15,000
Zamir Choral Foundation	475 Riverside Drive, Suite 1948, NY NY 10125	501 (c) (3)	Support of the College Board Initiative programs which offers low-income public school students college guidance services	50,000
			Support of the programs of Zamir Choral Foundation	10,000

1,862,220

Form CHAR500	Annual Filing for Charitable Organizations New York State Department of Law (Office of the Attorney General) Charities Bureau - Registration Section 120 Broadway New York, NY 10271 http://www.charitiesnys.com	2010 Open to Public Inspection
This form used for Article 7-A, EPTL and dual filers (replaces forms CHAR 497, CHAR 010 and CHAR 006)		

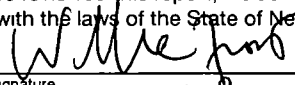
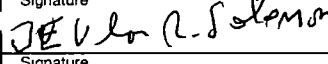
1. General Information

a For the fiscal year beginning (mm/dd/yyyy) 01/01/2010 and ending (mm/dd/yyyy) 12/31/2010

b Check if applicable for NYS: ☐ Address change ☐ Name change ☐ Initial filing ☐ Final filing ☐ Amended filing ☐ NY registration pending	c Name of organization LUCIUS N. LITTAUER FOUNDATION, INC. Number and street (or P.O. box if mail not delivered to street address) Room/suite 60 EAST 42ND STREET 4600 City or town, state or country and ZIP + 4 NEW YORK, NY 10165	d. Fed employer ID no. (EIN) 13-1688027 e NY State registration no 00-72-71 f. Telephone number 212 697-2677 g Email
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2. Certification - Two Signatures Required

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report

a President or Authorized Officer	 Signature	William Lee Frost Printed Name	President Title	12/12/2011 Date
b Chief Financial Officer or Treas.	 Signature	J. E. Solomon Printed Name	Treasurer Title	12/17/2011 Date

3. Annual Report Exemption Information

a **Article 7-A** annual report exemption (Article 7-A registrants and dual registrants)
 Check ☐ if total contributions from NY State (including residents, foundations, corporations, government agencies, etc) did not exceed \$25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during this fiscal year

NOTE: An organization may claim this exemption if no PFR or FRC was used and either 1) it received an allocation from a federated fund, United Way or incorporated community appeal and contributions from other sources did not exceed \$25,000 or 2) it received all or substantially all of its contributions from one government agency to which it submitted an annual report similar to that required by Article 7-A

b **EPTL** annual report exemption (EPTL registrants and dual registrants)
 Check ☐ if gross receipts did not exceed \$25,000 and assets (market value) did not exceed \$25,000 at any time during this fiscal year

For EPTL or Article 7-A registrants claiming the annual report exemption under the one law under which they are registered and for dual registrants claiming the annual report exemptions under both laws, simply complete part 1 (General Information), part 2 (Certification) and part 3 (Annual Report Exemption Information) above.
Do not submit a fee, do not complete the following schedules and do not submit any attachments to this form

4. Article 7-A Schedules

If you did **not** check the Article 7-A annual report exemption above, complete the following for this fiscal year

a Did the organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? ☐ Yes* ☐ No
 * If "Yes", complete Schedule 4a.

b Did the organization receive government contributions (grants)? ☐ Yes* ☐ No
 * If "Yes", complete Schedule 4b

5. Fee Submitted: See last page for summary of fee requirements

Indicate the filing fee(s) you are submitting along with this form	
a Article 7-A filing fee	\$ _____
b EPTL filing fee	\$ <u>750.</u>
c Total fee	\$ <u>750.</u>

Submit only one check or money order for the total fee, payable to "NYS Department of Law"

6. Attachments - For organizations that are not claiming annual report exemptions under both laws, see last page for required attachments ➡ ➡ ➡

5. Fee Instructions

The filing fee depends on the organization's Registration Type. For details on Registration Type and filing fees, see the Instructions for Form CHAR500

Organization's Registration Type Fee Instructions

- **Article 7-A** Calculate the Article 7-A filing fee using the table in **part a** below. The EPTL filing fee is \$0.
- **EPTL** Calculate the EPTL filing fee using the table in **part b** below. The Article 7-A filing fee is \$0
- **Dual** Calculate both the Article 7-A and EPTL filing fees using the tables in **parts a and b** below. Add the Article 7-A and EPTL filing fees together to calculate the total fee. Submit a single check or money order for the total fee

a) Article 7-A filing fee

Total Support & Revenue	Article 7-A Fee
more than \$250,000	\$25
up to \$250,000 *	\$10

* Any organization that contracted with or used the services of a professional fund raiser (PFR) or fund raising counsel (FRC) during the reporting period must pay an Article 7-A filing fee of \$25, regardless of total support and revenue.

b) EPTL filing fee

Net Worth at End of Year	EPTL Fee
Less than \$50,000	\$25
\$50,000 or more, but less than \$250,000	\$50
\$250,000 or more, but less than \$1,000,000	\$100
\$1,000,000 or more, but less than \$10,000,000	\$250
\$10,000,000 or more, but less than \$50,000,000	\$750
\$50,000,000 or more	\$1500

6. Attachments - Document Attachment Check-List

Check the boxes for the documents you are attaching.

For All FilersFiling Fee

☒ Single check or money order payable to "NYS Department of Law"

Copies of Internal Revenue Service Forms

☐ IRS Form 990	☐ IRS Form 990-EZ	☒ IRS Form 990-PF
☐ All required schedules (including Schedule B)	☐ All required schedules (including Schedule B)	☐ All required schedules (including Schedule B)
☐ IRS Form 990-T	☐ IRS Form 990-T	☐ IRS Form 990-T

Additional Article 7-A Document Attachment RequirementIndependent Accountant's Report

☐ Audit Report (total support & revenue more than \$250,000)

☐ Review Report (total support & revenue \$100,001 to \$250,000)

☐ No Accountant's Report Required (total support & revenue not more than \$100,000)