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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2010

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ALFRED P. SLOAN FOUNDATION

A Employer identification number
13-1623877

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
630 FIFTH AVENUE | 2550

B Telephone number (see page 10 of the instructions)
(212) 649-1649

City or town, state, and ZIP code
NEW YORK, NY 10111

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,703,820,396.

J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) HYBRID ACCRUAL (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13,901,144.	22,884,570.		
	4 Dividends and interest from securities	1,804,125.	11,275,511.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	61,978,391.			
	b Gross sales price for all assets on line 6a 1,257,396,401.				
	7 Capital gain net income (from Part IV, line 2)		63,270,183.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	452,979.	12,802,638.		ATCH 1
	12 Total. Add lines 1 through 11	78,136,639.	110,232,902.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	2,996,174.	1,518,273.		1,460,336.
	14 Other employee salaries and wages	3,318,219.	936,480.		2,372,280.
	15 Pension plans, employee benefits	2,950,473.	1,141,607.		1,806,951.
	16a Legal fees (attach schedule) ATCH 2	326,505.	150,138.	0.	176,367.
	b Accounting fees (attach schedule) ATCH 3	100,985.	43,485.	0.	26,500.
	c Other professional fees (attach schedule) *	2,930,550.	2,608,204.		296,000.
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	1,859,000.	579,602.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	1,701,092.	264,181.		1,434,243.
	21 Travel, conferences, and meetings	527,003.	54,390.		526,703.
	22 Printing and publications	37,952.	9,170.		88,295.
	23 Other expenses (attach schedule) ATCH 6	1,072,835.	13,833,232.		1,432,683.
	24 Total operating and administrative expenses. Add lines 13 through 23	17,820,788.	21,138,762.	0.	9,620,358.
	25 Contributions, gifts, grants paid	62,012,814.			73,270,482.
	26 Total expenses and disbursements Add lines 24 and 25	79,833,602.	21,138,762.	0.	82,890,840.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,696,963.			
	b Net investment income (if negative, enter -0-)		89,094,140.		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	750.	750.	750.
	2 Savings and temporary cash investments	52,907,950.	51,813,467.	51,813,467.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 7	110,234,426.	105,591,850.	123,631,651.
	c Investments - corporate bonds (attach schedule) ATCH 8	122,162,834.	157,350,016.	155,745,826.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 9	1,013,332,449.	971,249,912.	1,372,628,702.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,298,638,409.	1,286,005,995.	1,703,820,396.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	70,582,710.	58,598,501.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 10)	7,580,225.	9,620,977.	
	23 **Total liabilities** (add lines 17 through 22)	78,162,935.	68,219,478.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	1,220,475,474.	1,217,786,517.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	1,220,475,474.	1,217,786,517.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,298,638,409.	1,286,005,995.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,220,475,474.
2	Enter amount from Part I, line 27a	2	-1,696,963.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,218,778,511.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 11	5	991,994.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,217,786,517.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	63,270,183.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	77,998,228.	1,471,290,040.	0.053013
2008	82,001,137.	1,729,493,738.	0.047413
2007	80,399,468.	1,856,951,720.	0.043296
2006	73,158,157.	1,641,875,841.	0.044558
2005	67,436,332.	1,501,133,917.	0.044924

2	**Total** of line 1, column (d)	2	0.233204
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046641
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,614,406,033.
5	Multiply line 4 by line 3	5	75,297,512.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	890,941.
7	Add lines 5 and 6	7	76,188,453.
8	Enter qualifying distributions from Part XII, line 4	8	82,890,840.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	890,941.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	890,941.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	890,941.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 2,723,930.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	2,723,930.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,832,989.
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 1,832,989. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ 0. (2) On foundation managers ▶$ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶$ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CA, DE, NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.SLOAN.ORG

14 The books are in care of ▶ CHRISTOPHER SIA Telephone no. ▶ 212-649-1649

Located at ▶ 630 5TH AVENUE NEW YORK, NY ZIP + 4 ▶ 10111-0242

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☒ Yes	☐ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☒ Yes	☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?			5b	X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATTACHMENT 12	☒ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		2,890,638.	542,253.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		1,378,646.	378,635.	0.

Total number of other employees paid over $50,000 ▶ 31

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MARSICO CAPITAL DENVER, CO 80202	INVESTMENT MGMT FEE	432,349.
PZENA INVESTMENT MANAGEMENT LLC NEW YORK, NY 10036	INVESTMENT MGMT FEE	393,003.
SILCHESTER INTERNATIONAL INVESTORS NEW YORK, NY 10017	INVESTMENT MGMT FEE	373,231.
DALTON DISTRESSED MORTGAGE LOS ANGELES, CA 90025	INVESTMENT MGMT FEE	346,097.
COLCHESTER GLOBAL NEW YORK, NY 10022	INVESTMENT MGMT FEE	292,411.
Total number of others receiving over $50,000 for professional services ▶		13

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SCIENCE, TECH., ENGINEERING & MATHEMATICS RESEARCH & EDU. SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	379,119.
2 PUBLIC UNDERSTANDING OF SCIENCE AND TECHNOLOGY SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	101,136.
3 ECONOMIC PERFORMANCE AND QUALITY OF LIFE SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	71,699.
4 SELECT PROJECTS SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	13,146.

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	1,637,865,847.
b	Average of monthly cash balances	1b	1,125,049.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,638,990,896.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,638,990,896.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	24,584,863.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,614,406,033.
6	**Minimum investment return.** Enter 5% of line 5	6	80,720,302.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	80,720,302.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	890,941.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	890,941.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	79,829,361.
4	Recoveries of amounts treated as qualifying distributions			4	451,470.
5	Add lines 3 and 4			5	80,280,831.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	80,280,831.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	82,890,840.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	82,890,840.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	890,941.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	81,999,899.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				80,280,831.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			31,971,577.	
b Total for prior years 20 08 ,20 07 ,20 06				
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 82,890,840.				
a Applied to 2009, but not more than line 2a			31,971,577.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				50,919,263.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				29,361,568.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 15

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 15

c Any submission deadlines

SEE ATTACHMENT 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE ATTACHMENT 15

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 16				
Total ▶ 3a				73,270,482.
b *Approved for future payment*				
ATTACHMENT 17				
Total ▶ 3b				42,204,971.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	13,901,144.	
4 Dividends and interest from securities			14	1,804,125.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	61,978,391.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ROYALTIES			01	1,509.	
c GRANT REFUNDS			01	451,470.	
d					
e					
12 Subtotal Add columns (b), (d), and (e)				78,136,639.	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	78,136,639.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)	X	
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1A(1)			SEE ATTACHMENT 16

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Christopher Sua — Signature of officer or trustee | 11/4/11 — Date | ▶ Treasurer — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Daniel Romano	[signature]	11/2/11		P00504182

Firm's name ▶ GRANT THORNTON LLP — Firm's EIN ▶ 36-6055558

Firm's address ▶ 666 THIRD AVENUE NEW YORK, NY 10017-4011 — Phone no 212-542-9609

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED SCHEDULE					VAR 63270183.	VAR
TOTAL GAIN(LOSS)		.....					63270183.	

Alfred P. Sloan Foundation EIN: 13-1623877

Summary of Realized Gains/Losses for 2010

4410-000 P/L-Primary Cash Account	46,848.66
4500-315 P/L - Bain Capital V-B Partners	(2,864,135.17)
4500-317 P/L - Marsico Capital Management	6,825,310.12
4500-319 P/L - Pzena Investment Management	3,545,661.60
4500-322 P/L - Cap Guard Int'l EAFE	455 46
4500-323 P/L - Silchester International	856,755.38
4500-334 P/L - Short Term Cash	88,054.07
4500-335 P/L - OZ Overseas Fund Ltd.	323.71
4500-336 P/L - Distributions Portfolio	534,313.34
4500-342 P/L - Dodge and Cox	7,532,797.79
4500-343 P/L - Berkshire Capital VI	324,868.00
4500-346 P/L - AG Capital Recovery Partners	273,169.00
4500-352 P/L - Colchester Global Investments	2,885,316.02
4500-359 P/L - CCG Golden Gate II	1,851,278.91
4500-368 P/L - Satellite Overseas Fund	753,795.74
4500-372 P/L - Keywise China Fund	(1,628,299.74)
4500-373 P/L - Ignition Venture III	577,926.83
4500-376 P/L - Highline Capital	3,153,913.00
4500-379 P/L - Golden Gate Cap II	536,278.05
4500-380 P/L - Berkshire Fund VII	129,174.00
4500-381 P/L - Summit Venture VII - A	427,943.35
4500-385 P/L - Deccan Value	629,885.18
4500-386 P/L - AG Super Fund	1,001,482.84
4500-387 P/L - Farallon Capital	4,050,122.83
4500-388 P/L - Wyper Capital	(776,071.92)
4500-389 P/L - Dalton Distressed Mortgage	4,057,591.72
4500-390 P/L - AG Capital Recovery Partners II	385,739.00
4500-394 P/L - Bain Capital Fund VI	1,568,051.00
4500-395 P/L - Bain Capital Fund VI - B	1,755,319.85
4500-396 P/L - BCM Capital Partners	(2,536,274.75)
4500-397 P/L - Bain Capital Fund VII	1,346,511.63
4500-398 P/L - CCG Golden Gate II - A	560,002.84
4500-400 P/L - Gaoling Federer, Ltd.	729,198.97
4500-401 P/L-General Catalyst V	(689,807.70)
4500-402 P/L - General Catalyst V Supp	(210,873 43)
4500-403 P/L - Firelake Strat Fund LP	5,977,806.89
4500-404 P/L - Brookside Cap Fund LP	13,763,777.19
4500-405 P/L-Spinnaker Global	1,205,244.67
4500-406 P/L - Regiment Special Situation III	360,138.93
4500-407 P/L - Numeric Fund I	(5,631,686.16)
4500-408 P/L-Wellington TIPS & TRYS	681,172.72
4500-409 P/L-Highfields Cap IV	4,130,412.00
4500-410 P/L - Centerbridge Credit	2,404,040.50
4500-411 P/L- Privatvarde	104,423.00
4500-412 P/L - Baupost Value IV	1,260,434.72
Total - Part I, line 6a	**61,978,391**
Plus: Gains reported on K-1s not reflected on books	46,769,655.00
Less: Unrealized Gains included for book purposes	(45,332,178.00)
Less: Capital gain reported on 990-T	(145,685.00)
Total - Part I, line 7	**63,270,183**

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR SCIENCE, TECH., ENGINEERING & MATHEMATICS RESEARCH & EDU.
PART IX-A, LINE 1

THE FOUNDATION BELIEVES THAT A CAREFULLY REASONED AND SYSTEMATIC UNDERSTANDING OF THE FORCES OF NATURE AND SOCIETY, WHEN APPLIED INVENTIVELY AND WISELY, CAN LEAD TO A BETTER WORLD FOR ALL. GRANTMAKING INITIATIVES IN STEM RESEARCH SEEK TO EXPAND THAT UNDERSTANDING BY FUNDING ORIGINAL, HIGH-QUALITY RESEARCH IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS. ALSO FUNDED THROUGH THIS AREA ARE PROGRAMS THAT EXAMINE THE ETHICAL AND SOCIETAL RISKS ASSOCIATED WITH THE PURSUIT OF SCIENTIFIC KNOWLEDGE AND REGULATORY MEASURES DESIGNED TO MITIGATE THOSE RISKS.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR PUBLIC UNDERSTANDING OF SCIENCE AND TECHNOLOGY
PART IX-A, LINE 2

GRANTMAKING IN THIS PROGRAM AIMS TO ENHANCE PEOPLE'S LIVES BY PROVIDING A BETTER UNDERSTANDING OF THE INCREASINGLY SCIENTIFIC AND TECHNOLOGICAL ENVIRONMENT IN WHICH WE LIVE, AND TO CONVEY SOME OF THE CHALLENGES AND REWARDS OF THE SCIENTIFIC AND TECHNOLOGICAL ENTERPRISE AND OF THE LIVES OF THE MEN AND WOMEN WHO UNDERTAKE IT. THE PROGRAM SUPPORTS THE USE OF BOOKS, FILM, RADIO, TELEVISION, THEATER, AND OTHER EFFORTS TO REACH A WIDE GENERAL AUDIENCE.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR ECONOMIC PERFORMANCE AND QUALITY OF LIFE
PART IX-A, LINE 3

THE ALFRED P. SLOAN FOUNDATION BELIEVES THAT A THEORY-BASED, EMPIRICALLY-TESTED UNDERSTANDING OF THE U.S. ECONOMY AND THE U.S. WORKFORCE IS ESSENTIAL TO IMPROVING THE LIVES OF ALL AMERICANS. IN THIS PROGRAM AREA, THE FOUNDATION FUNDS GRANTS TO SUPPORT HIGH-QUALITY RESEARCH AND PROGRAMS TO ENHANCE U.S. ECONOMIC PERFORMANCE AND THE QUALITY OF AMERICAN LIFE.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR SELECT PROJECTS
PART IX-A, LINE 4

THE ALFRED P. SLOAN FOUNDATION RECOGNIZES THAT THERE ARE SELECT OPPORTUNITIES OUTSIDE OF SCIENCE, EDUCATION, AND ECONOMICS IN WHICH IT CAN CREATE AN IMPORTANT BENEFIT TO SOCIETY. ITS SELECT PROJECTS PROGRAM AREA LOOKS FOR UNIQUE OPPORTUNITIES WHERE FOUNDATION FUNDS PROMISE TO ADVANCE A SIGNIFICANT NATIONAL OR INTERNATIONAL INTEREST.

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GRANT REFUNDS:		
FELLOWSHIP PROGRAMS	105,093.	
OTHER THAN FELLOWSHIP PROGRAMS	253,993.	
RECV'D WITH GRANT TRANSFERS	92,384.	
ROYALTY INC: BOOKS, VIDEOS, SOFTWARE	1,509.	
INCOME FROM LP INVESTMENTS NOT INCLUDED ABOVE		12,802,638.
TOTALS	452,979.	12,802,638.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
HARRIS ROTHENBERG	975.	0.		975.
PATTERSON BELKNAP	181,797.	39,273.		142,524.
DELAWARE SECRETARY OF STATE	250.	90.		160.
SHEARMAN & STERLING	61,942.	24,694.		37,248.
SIMPSON THACHER	85,779.	85,779.		0.
CSC UNITED STATES CORP	828.	298.		530.
FRANKLIN, WEINRIB, RUDELL	-5,075.	0.		-5,075.
NY STATE DIVISION OF CORP	9.	4.		5.
TOTALS	326,505.	150,138.	0.	176,367.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
GRANT THORNTON LLP	47,985.	16,985.		0.
KPMG	53,000.	26,500.		26,500.
TOTALS	100,985.	43,485.	0.	26,500.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
MELLON CUSTODY FEE	209,361.	209,361.	
COLCHESTER GLOBAL INVESTORS	292,411.	292,411.	
DALTON DISTRESSED MORTGAGE	346,097.	346,097.	
DODGE AND COX	238,047.	238,047.	
MARSICO CAPITAL MANAGEMENT	432,349.	432,349.	
PZENA INVESTMENT MANAGEMENT	393,003.	393,003.	
SILCHESTER INTERNATIONAL	373,231.	373,231.	
CAMBRIDGE ASSOCIATES	46,000.	46,000.	
BLOOMBERG LP	27,603.	27,603.	
DAVIS, MENDEL & REGENSTEIN	15,000.	15,000.	
MSCI	1,000.	1,000.	
BURGISS GROUP	12,970.	12,970.	
ROCKEFELLER UNIVERSITY	296,000.	0.	296,000.
CAPITAL GUARDIAN	9.	9.	
NUMERIC VALUE FUND	39,700.	39,700.	
PRIMARY CASH	134,916.	134,916.	
REGIMENT SPECIAL SITUATION FUN	11,345.	11,345.	
WELLINGTON TIPS AND TREASURYS	19,482.	19,482.	
BACKSTOP SOLUTIONS GROUP, LLC	35,650.	35,650.	
CHECKFUNDMANAGER LLC	650.	650.	
EDGAR ONLINE	797.	797.	
NEW YORK STOCK EXCHANGE	1,574.	1,574.	
ROPES & GRAY LLP	3,355.	3,355.	
ALLOCATED TO UBI		-26,346.	
TOTALS	2,930,550.	2,608,204.	296,000.

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PROVISION FOR EXCISE TAX	1,859,000.	579,602.
TOTALS	1,859,000.	579,602.

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
HONORARIA	128,390.	8,240.	588,476.
PROGRAM OUTREACH	125,790.	0.	125,790.
OTHER PROGRAM EXPENSES	45,133.	4,645.	185,101.
COMPUTER SYSTEM SUPPORT	187,983.	67,674.	120,309.
TELEPHONE & POSTAGE	127,201.	45,452.	81,749.
BOOKS AND SUBSCRIPTIONS	26,496.	2,616.	23,880.
INSURANCE	93,764.	33,755.	60,009.
UTILITIES	14,485.	5,004.	9,481.
SUPPLIES AND SERVICES	232,993.	85,274.	147,719.
FURNITURE AND EQUIPMENT	1,196.	430.	765.
PLACEMENT FEES	89,404.	0.	89,404.
EXPENSES OF LTD PARTNERSHIPS	0.	13,580,142.	0.
TOTALS	1,072,835.	13,833,232.	1,432,683.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DOMESTIC EQUITIES	105,591,850.	123,631,651.
TOTALS	105,591,850.	123,631,651.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FIXED INCOME	157,350,016.	155,745,826.
TOTALS	157,350,016.	155,745,826.

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ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
REAL ESTATE	58,109,924.	46,146,661.
PRIVATE EQUITY	253,094,291.	300,848,051.
GLOBAL SOVEREIGN BOND FUND	33,305,502.	51,561,233.
DOMESTIC EQUITY	64,256,814.	110,367,971.
LONG/SHORT EQUITY	93,548,102.	185,868,882.
INTERNATIONAL EQUITY	113,041,894.	218,972,240.
INDEPENDENT RETURN	355,893,385.	458,863,664.
TOTALS	971,249,912.	1,372,628,702.

ATTACHMENT 10

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
ACCRUED POST RETIREMENT BENEFIT OBLIGATION	8,441,537.
DEFERRED COMPENSATION	566,296.
ACCRUED EXPENSES PAYABLE	49,620.
ACCRUED EXCISE TAX	563,524.
TOTALS	9,620,977.

ATTACHMENT 11

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
AMOUNTS NOT YET RECOGNIZED AS A COMPONENT OF NET PERIODIC BENEFIT COST	991,994.
TOTAL	991,994.

ATTACHMENT 12

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ARIUS ASSOCIATION
GRANTEE'S ADDRESS: TAFERNSTRASSE 11 5405
CITY, STATE & ZIP: BADEN
FOREIGN COUNTRY: SWITZERLAND
GRANT DATE: 03/18/2009
GRANT AMOUNT: 20,000.
GRANT PURPOSE: TO FUND PROMOTION OF MULTINATIONAL HIGH LEVEL WASTE REPOSITORIES OUTSIDE EUROPE
AMOUNT EXPENDED: 20,000.
ANY DIVERSION? NO
DATES OF REPORTS: 6/11/2010
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: CONGRESSIONAL QUARTERLY INC
GRANTEE'S ADDRESS: 1255 22ND STREET N.W.
CITY, STATE & ZIP: WASHINTON, DC 20037
GRANT DATE: 03/06/2008
GRANT AMOUNT: 277,275.
GRANT PURPOSE: TO ENABLE GOVERNING MAGAZINE TO CONTINUE A MULTI-MEDIA PUBLISHING PROGRAM ON PERFORMANCE MEASUREMENT
AMOUNT EXPENDED: 277,275.
ANY DIVERSION? NO
DATES OF REPORTS: 6/30/09, 7/30/10
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: ARIUS ASSOCIATION
GRANTEE'S ADDRESS: TAFERNSTRASSE 11 5405
CITY, STATE & ZIP: BADEN
FOREIGN COUNTRY: SWITZERLAND
GRANT DATE: 05/26/2010
GRANT AMOUNT: 73,128.
GRANT PURPOSE: TO FUND PROMOTION OF MULTINATIONAL HIGH-LEVEL WASTE REPOSITORIES OUTSIDE OF EUROPE
AMOUNT EXPENDED: 66,937.
ANY DIVERSION? NO
DATES OF REPORTS: 12/22/10
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: ECLIPSE TV PRODUCTION
GRANTEE'S ADDRESS: 100 HUGUENOT AVE APT 6A
CITY, STATE & ZIP: ENGLEWOOD, NJ 30305-1533
GRANT DATE: 11/16/2009
GRANT AMOUNT: 20,000.
GRANT PURPOSE: TO ESTABLISH A VIDEO AND AUDIO ARCHIVE ON THE ORIGINS AND EARLY OPERATIONS OF EOL FOR HIST,EDUC,PO
AMOUNT EXPENDED: 20,000.
ANY DIVERSION? NO

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ATTACHMENT 12 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

DATES OF REPORTS: 7/6/2010, 6/17/2010
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: ECLIPSE TV PRODUCTION
GRANTEE'S ADDRESS: 100 HUGUENOT AVEN, APT 6
CITY, STATE & ZIP: ENGLEWOOD, NJ 07631
GRANT DATE: 07/14/2008
GRANT AMOUNT: 110,000.
GRANT PURPOSE: TO PREPARE VIDEO FOOTAGE OF THE CENSUS OF MARINE LIFE FOR CURRENT AND ARCHIVAL USES
AMOUNT EXPENDED: 110,000.
ANY DIVERSION? NO
DATES OF REPORTS: 6/22/09, 7/6/09, 4/5/11, 2/18/11
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: ASSOC. OF METROPOLITAN WATER AGENCIES
GRANTEE'S ADDRESS: 1620 I STREET, NW SUITE 500
CITY, STATE & ZIP: WASHINGTON, DC 20006-4027
GRANT DATE: 09/08/2010
GRANT AMOUNT: 125,000.
GRANT PURPOSE: TO CONDUCT A CASE STUDY OF THE MAY 1, 2010 CATASTROPHIC WATER MAIN BREAK IN BOSTON
AMOUNT EXPENDED: 125,000.
ANY DIVERSION? NO
DATES OF REPORTS: 4/29/11
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: UNIVERSIDAD DE CONCEPCION
GRANTEE'S ADDRESS: CAMPUS UNIVERSITARIO
CITY, STATE & ZIP: P.O. BOX 160C
FOREIGN PROVINCE: CONCEPCION
FOREIGN COUNTRY: CHILE
GRANT DATE: 06/18/2010
GRANT AMOUNT: 50,000.
GRANT PURPOSE: TO COMPLETE AND DISSEMINATE WORK OF THE CENSUS OF MARINE LIFE IN CHILE.
AMOUNT EXPENDED: 50,000.
ANY DIVERSION? NO
DATES OF REPORTS: 1/3/2011
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: GALATEE FILMS
GRANTEE'S ADDRESS: 41 AVENUE 75017
CITY, STATE & ZIP: PARIS
FOREIGN COUNTRY: FRANCE

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ATTACHMENT 12 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT DATE: 10/07/2008
GRANT AMOUNT: 2,700,000.
GRANT PURPOSE: TO COMPLETE THE PROD. OF THE DOC. FILM OCEANS PUBLIC AFF. WITH CENS. OF MARINE LIFE AND ADV LEGACY
AMOUNT EXPENDED: 2,700,000.
ANY DIVERSION? NO
DATES OF REPORTS: 6/9/10, 1/26/10, 9/29/10
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: LANDCARE RESEARCH NEW ZEALAND LIMITED
GRANTEE'S ADDRESS: P.O. BOX 40 7640
CITY, STATE & ZIP: LINCOLN
FOREIGN PROVINCE: CANTERBURY
FOREIGN COUNTRY: NEW ZEALAND
GRANT DATE: 07/12/2010
GRANT AMOUNT: 116,260.
GRANT PURPOSE: TO IDENTIFY & DISSEMINATE LESSONS LEARNED ABOUT THE ORG & CONDUCT OF INTERNATL SCIENTIFIC PROGS
AMOUNT EXPENDED: 116,260.
ANY DIVERSION? NO
DATES OF REPORTS: NONE DUE IN 2010
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: MONGOLIAN AMERICAN SCIENTIFIC RESEARCH
GRANTEE'S ADDRESS: CHINGELTEI DIST
CITY, STATE & ZIP: BAGA TOIRUU 3/9
FOREIGN PROVINCE: ULAANBAATAR
FOREIGN COUNTRY: MONGOLIA
GRANT DATE: 10/27/2010
GRANT AMOUNT: 75,000.
GRANT PURPOSE: TO FUND A CONFERENCE ON FRESH & SPENT FUEL-MANAGEMENT AND REGIONAL NUCLEAR COOPERATION.
AMOUNT EXPENDED: 0.
ANY DIVERSION? NO
DATES OF REPORTS: NONE DUE IN 2010
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: NEW ENGLAND STATES GOVERNMENT FINANCES
GRANTEE'S ADDRESS: 145 TAUNTON AVENUE
CITY, STATE & ZIP: EAST PROVIDENCE, RI 02914
GRANT DATE: 09/10/2007
GRANT AMOUNT: 150,000.
GRANT PURPOSE: TO HELP LAUNCH THE NEW ENGLAND STATES PERFORMANCE MEASUREMENT PILOT
AMOUNT EXPENDED: 150,000.
ANY DIVERSION? NO
DATES OF REPORTS: 4/8/09, 4/9/09,5/7/10, 9/16/11

CONT'D ON NEXT PAGE

ATTACHMENT 12 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

VERIFICATION DATE:
RESULTS OF VERIFICATION:

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 13

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL L. JOSKOW C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/PRESIDENT 40.00	673,875.	76,891.	0.
WILLIAM B. PETERSEN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP/CHIEF INVESTMENT OFFICER 40.00	660,465.	95,284.	0.
CHRISTOPHER T. SIA C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TREASURER/CHIEF TECH OFFICER 40.00	195,000.	88,835.	0.
FRED A. SCHNUR C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP/SECRETARY (THRU 6/30/10) 40.00	405,623.	46,754.	0.
STEPHEN L. BROWN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/CHAIRMAN 2.00	28,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
WILLIAM E. HOGLUND C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	12,750.	0.	0.
SANDRA O. MOOSE C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0.	0.
HAROLD T. SHAPIRO C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/CHAIRMAN EMERITUS 2.00	16,750.	0.	0.
FREEMAN A. HRABOWSKI III C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	24,250.	0.	0.
MARTA TIENDA C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
FREDERICK HENDERSON C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	20,000.	0.	0.
SHEILA E. WIDNALL C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	18,000.	0.	0.
GAIL M. PESYNA C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP OF HR & PROGRAM MANAGEMENT 40.00	269,800.	79,759.	0.
DORON WEBER C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT OF PROGRAMS 40.00	274,375.	98,109.	0.
JESSE AUSUBEL C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT OF PROGRAMS 40.00	0.	0.	0.

JESSE AUSUBEL IS AN EMPLOYEE OF ROCKEFELLER UNIVERSITY. THE FOUNDATION CONTRACTS WITH ROCKEFELLER UNIVERSITY FOR HIS SERVICES AS VICE PRESIDENT OF PROGRAMS. PAYMENTS FOR A PORTION OF HIS TIME TOTALING $296,000 WERE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MADE TO ROCKEFELLER UNIVERSITY DURING THE YEAR, WHICH REPRESENTS A PORTION OF HIS SALARY, NON FACULTY SALARIES, BENEFITS, OFFICE EXPENSES AND UNIVERSITY OVERHEAD.				
RICHARD BERNSTEIN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	24,250.	0.	0.
MARY SCHMIDT CAMPBELL C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	20,000.	0.	0.
PETER S. KIM C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	20,000.	0.	0.
ROBERT LITTERMAN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	21,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JAMES A. POTERBA C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0.	0.
LEISLE LIN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP FINANCE/BOARD SECRETARY 40.00	137,500.	56,621.	0.
	GRAND TOTALS	2,890,638.	542,253.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOSEPH S. BOHRER C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	414,483.	61,237.	0.
DANIEL L. GOROFF C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	255,875.	61,271.	0.
KATHLEEN E. CHRISTENSEN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	254,400.	95,722.	0.
JENNIFER HELLER C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	239,138.	70,809.	0.
PAULA OLSIEWSKI C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	214,750.	89,596.	0.
	TOTAL COMPENSATION	1,378,646.	378,635.	0.

ALFRED P. SLOAN FOUNDATION
13-1623877

ATTACHMENT 15

2010
PART XV,2

For Information regarding areas of interest, grants, fellowships, and projects, see Foundation website: www.sloan org

1. Grants

(a) (addressed)

Mr. Paul L. Joskow, President
Alfred P. Sloan Foundation
630 Fifth Avenue, Suite 2550
New York, New York 10111
Telephone (212) 649-1649

(b) (form)

No standard application form is required. Proposals should include information about the applicant, the work the applicant proposes to do, the cost and duration of the work, and the tax status of the organization that would administer the grant They should not exceed 20 double-spaced pages Proposals by e-mail are accepted

(c) (submission deadline)

None

(d) (restrictions or limitations)

The Foundation's main interests are in science and technology; standard of living and economic performance; education and careers in science and technology and selected national issues. The Foundation's activities do not extend to the humanities, religion, the creative or performing arts, or to medical research or health care. Grants are not made for endowments or for buildings or equipment, and are only occasionally made for general support or for activities outside the United States Applications can be made at any time for support of activities related to the range of interests indicated above. – Grants of $125,000 or less are made throughout the year by the Officers of the Foundation

2. Fellowships

A. The Alfred P Sloan Research Fellowships seek to stimulate fundamental research by early-career scientists and scholars of outstanding promise. These two-year fellowships are awarded yearly to 118 researchers in recognition of distinguished performance and a unique potential to make substantial contributions to their field

(a) (addressed)

Alfred P Sloan Research Fellowships
Ms. Erica Stella
Alfred P Sloan Foundation
630 Fifth Avenue, Suite 2550
New York, New York 10111-0242
Telephone: (212) 649-1649

(b) (form)

Candidates are nominated by department heads or other senior scholars. Direct applications are not accepted. Nomination forms are available on request addressed to (a) above or online at www sloan.org/fellowships In addition to this form, the nominator should submit a letter describing the candidate's qualifications and must see that the Foundation receives three supporting letters directly from other scholars, preferably not at the same institution. A CV, a list of the candidate's publications, a one-page statement as well as one copy of each of no more than two representative publications should accompany the nomination form and letter

(c) (submission deadline)

Nominations are due by September 15 for those awards which begin the following September.

(d) (restrictions or limitations)

Candidates for Sloan Research Fellowships may be no more than six years from completion of their Ph.D as of the year of nomination, unless special circumstances such

as military service, a change of field or child rearing are involved or unless they have held a faculty appointment for less than two years. They are required to hold the Ph D (or equivalent) in chemistry, physics, mathematics, economics, computer science, neuroscience, or computational and evolutionary molecular biology or in a related interdisciplinary field They must be members of the regular faculty of a college or university in the United States or Canada

2010
FORM 990-PF
ATTACHMENT 16 & 17

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorizated During Year	Paid During Year	Approved for Future Payment
Advocates for Children of New York, Inc. 151 West 30th Street 5th Floor New York, NY 10001 *To generate and disseminate information for parents about New York City schools* $200,000.00 2010	170 (b) (1) (A) (vi)	$ 200,000.00	$200,000.00	$0.00
University of Alaska, Anchorage 3211 Providence Drive Anchorage, AK 99508 *To launch and fund the first three years of an American Indian Graduate Program at the University of Alaska, Anchorage and the University of Alaska, Fairbanks* $240,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$80,000.00	$0.00
University of Alaska P.O. Box 757880 Fairbanks, AK 99775-7880 *To complete the near-shore field project for the first Census of Marine Life* $1,000,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$550,000.00	$0.00
University of Alaska P.O. Box 757880 Fairbanks, AK 99775-7880 *To complete and disseminate the results of the Arctic project of the Census of Marine Life* $550,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$350,000.00	$0.00
American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138 *To identify and promote measures that will limit the security and proliferation risks inherent in the global expansion of nuclear power* $302,009.00 2009	170 (b) (1) (A) (vi)	$0 00	$0.00	$141,000.00
American Chemical Society 1155 Sixteenth Street, NW Washington, DC 20036 *To fund for three years an award recognizing a distinguished minority chemist while the American Chemical Society raises funds to endow the award* $18,000.00 2010	509 (a) (2)	$18,000.00	$0.00	$18,000.00
American Council of Learned Societies 633 Third Avenue, 8th Floor	170 (b) (1) (A) (vi)	$750,000.00	$750,000 00	$0 00

New York, NY 10017-6795 *To ensure the editorial integrity and timely completion of the definitive print edition of "The Correspondence of Charles Darwin" and the publication of all 15,000 letters on the Web* $750,000.00 2010				
American Council on Education One Dupont Circle, NW Suite 800 Washington, DC 20036-1193 *To support an Invitational Conference and Awards Program on the Culminating Stage of Faculty Careers in Higher Education* $589,294.00 2010	170 (b) (1) (A) (vi)	$589,294.00	$240,373.00	$348,921.00
American Council on Education One Dupont Circle, NW Suite 800 Washington, DC 20036-1193 *To conduct a fourth round of the Alfred P. Sloan Awards for Faculty Career Flexibility with a focus on Schools of Medicine and to continue to disseminate best practices and lessons learned from the winning institutions throughout higher education* $394,290.00 2010	170 (b) (1) (A) (vi)	$394,290.00	$0.00	$394,290.00
American Film Institute Inc. 2021 North Western Avenue Los Angeles, CA 90027 *For screenwriting and production of science and technology films by the top film students* $270,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$90,000.00	$180,000.00
American Museum of Natural History Central Park West at 79th St. New York, NY 10024 *To foster applications of DNA barcoding for conserving biodiversity, reducing consumer fraud, and protecting human health* $800,000.00 2010	170 (b) (1) (A) (vi)	$800,000.00	$350,000.00	$450,000.00
American Museum of the Moving Image 36-01 35th Avenue Astoria, NY 11106 *To showcase award-winning student films and to maintain a go-to site for all Sloan film and television projects and for all Sloan Film Program participants* $239,631.00 2010	170 (b) (1) (A) (vi)	$239,631 00	$79,205.00	$160,426.00
American Society of Mechanical Engineers Three Park Avenue New York, NY 10016-5990	509 (a) (2)	$0 00	$274,041.00	$0.00

To develop guidance to assure medical, industrial, and academic nuclear facilities' use of radioactive materials is adequately secure and resilient to man-made and natural hazards $374,041.00 2009				
Arizona Board of Regents, The University of Arizona PO Box 3308 Tucson, AZ 85722-3308 *To fund, for an additional three years, the recruitment and retention portion of the Sloan Indigenous Graduate Partnership at the University of Arizona* $144,540.00 2010	170 (b) (1) (A) (ii)	$144,540.00	$64,240.00	$80,300.00
Association of Public and Land-Grant Universities 1307 New York Avenue, N.W. Suite 400 Washington, DC 20005-4722 *To launch a project that will result in enhanced access and success of minority males in STEM disciplines at APLU-member institutions* $179,017.00 2010	509 (a) (2)	$179,017.00	$179,017.00	$0.00
Astrophysical Research Consortium Seattle, WA 98195 *To initiate three new spectroscopic surveys that will contribute to our understanding of dark energy and increase our knowledge of the Milky Way and planets outside of the solar system* $7,000,000 00 2007	170 (b) (1) (A) (vi)	$0.00	$1,834,000.00	$0.00
Babson College Babson Park, MA 02457-0310 *Support to continue Sloan-C surveys of online education* $312,000 00 2007	170 (b) (1) (A) (ii)	$0.00	$80,000.00	$0.00
Board of Control For Southern Regional Education 592 10th Street, NW Atlanta, GA 30318-5790 *To fund continued participation by Sloan Scholars and associated faculty in the annual Compact for Faculty Diversity's Institute on Teaching and Mentoring and the 2010 Conference of Directors of Sloan Minority Programs* $598,851.00 2009	509 (a) (2)	$0.00	$257,385.00	$192,372.00
Boston College Trustees 140 Commonwealth Avenue Chestnut Hill, MA 02467 *To support a three-year renewal of the*	170 (b) (1) (A) (ii)	$0.00	$1,087,163 00	$0.00

Center on Aging and Work/Workplace Flexibility $3,561,685.00 2008				
The Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036 *To commission papers, hold conferences, and publish a series of research articles on financial markets and institutions in the journal. Brookings Papers on Economic Activity* $406,495.00 2008	170 (b) (1) (A) (vi)	$0.00	$139,055.00	$142,904.00
The Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036 *For an annual and independent forum that will identify, analyze, discuss, and promote options for international monetary reform* $605,347.00 2009	170 (b) (1) (A) (vi)	$0.00	$151,337 00	$454,010.00
The Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036 *To develop estimates of how the decision by American workers to retire later impacts public budgets and the economy* $576,793.00 2010	170 (b) (1) (A) (vi)	$576,793.00	$372,754.00	$204,039 00
Business-Higher Education Forum 2025 M Street Nw No 800 Washington, DC 20036-0000 *To build understanding, awareness, and support for the Professional Science Master's degree among corporate and university leaders as a key component of STEM graduate education to meet workforce demand* $57,972.00 2010	170 (b) (1) (A) (vi)	$57,972.00	$57,972 00	$0.00
California State University Foundation 401 Golden Shore Long Beach, CA 90802 *To provide assistance to numerous Heads of University Systems with respect to adoption of Systemwide PSM initiatives* $50,000.00 2010	170 (b) (1) (A) (iv)	$50,000.00	$50,000.00	$0.00
California State University Foundation 401 Golden Shore Long Beach, CA 90802 *To assist members of the National Association of System Heads (NASH) to increase the numbers and success of Professional Science Master's (PSM) programs throughout the U.S* $125,000.00 2010	170 (b) (1) (A) (iv)	$125,000.00	$125,000.00	$0.00

California State University East Bay Foundation, Inc. 25976 Carlos Bee Blvd. Hayward, CA 94542 *To develop and pilot a model for analyzing the benefits, costs, and return on investment of PSM degree programs* $45,000.00 2010	509 (a) (2)	$45,000.00	$45,000.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *To support research on the policies adopted by various countries to sustain the growth of employment and productivity on behalf of their citizens.* $500,000.00 2007	170 (b) (1) (A) (ii)	$0.00	$125,000.00	$75,000.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *To improve understanding of copyright economics in the digital age* $333,500.00 2009	170 (b) (1) (A) (ii)	$0.00	$166,750.00	$166,750.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *To co-sponsor, with the American Council on Education, a working conference on aligning federal agency policies and university policies regarding career formation and family formation* $9,936.00 2010	170 (b) (1) (A) (ii)	$9,936.00	$9,936.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *For a Sloan Industry Studies Fellowship for Meredith Fowlie* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *To support research on aging, work, and retirement among late-career faculty at the University of California* $398,498.00 2010	170 (b) (1) (A) (ii)	$398,498.00	$210,536.00	$187,962.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *To study energy efficiency gains and participation rates associated with the Federal Weatherization Assistance Program through randomized-control trials using data from Michigan* $244,343.00	170 (b) (1) (A) (ii)	$244,343.00	$186,843.00	$57,500.00

2010 **Regents of The University of California at Berkeley** Berkeley, CA 94720 *To investigate the processes and sources responsible for indoor microbial communities and indoor air quality* $1,451,191.00 2010	170 (b) (1) (A) (ii)	$1,451,191.00	$611,324 00	$839,867.00
The Regents of the University of California, Davis Davis, CA 95616 *Develop a West Coast research network and program on science and engineering workers* $439,210.00 2007	170 (b) (1) (A) (vi)	$0.00	$0.00	$299,405.00
The Regents of the University of California, Davis Davis, CA 95616 *To establish and support the Microbiomes of Built Environments Network (microBEnet)* $1,371,214 00 2010	170 (b) (1) (A) (vi)	$1,371,214.00	$221,095.00	$1,150,119.00
University of California, Riverside 900 University Avenue Riverside, CA 92521 *To provide tools and a data archive for analyzing sequence data of microbial communities in the indoor environment* $750,000.00 2010	170 (b) (1) (A) (ii)	$750,000.00	$0.00	$750,000.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *Support the renewal of the Center on the Everyday Lives of Families* $3,929,167.00 2007	170 (b) (1) (A) (ii)	$0.00	$350,350.00	$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *For screenwriting and production of science and technology films by top film students* $309,750.00 2009	170 (b) (1) (A) (ii)	$0.00	$103,250.00	$103,250.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *To test how choice architecture can affect how consumers make intertemporal tradeoffs* $70,385.00 2010	170 (b) (1) (A) (ii)	$70,385.00	$70,385 00	$0.00

The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *To help design and build a pioneering mass spectrometer for the Deep Carbon Observatory to trace the provenance of tiny volumes of methane and other gaseous species in natural environments* $800,000.00 2010	170 (b) (1) (A) (ii)	$800,000.00	$800,000.00	$0.00
The Regents of the University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093 *To complete, integrate, and disseminate the results of the reefs field project of the first Census of Marine Life* $500,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$400,000.00	$0.00
The Regents of the University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093 *To complete, integrate, and disseminate the results of the seamounts field project of the first Census of Marine Life* $500,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$400,000.00	$0.00
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036 *To support the Carnegie Endowment's project to develop a voluntary code of conduct for nuclear reactor vendors* $250,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$140,000.00	$0.00
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036 *To provide further support to the Carnegie Endowment's Project to develop a voluntary Code of Conduct for nuclear power plant vendors* $250,000.00 2010	170 (b) (1) (A) (vi)	$250,000.00	$250,000.00	$0.00
Carnegie Institution of Washington 1530 P Street, N.W. Washington, DC 20005 *To launch a decade-long effort to understand Earth's deep carbon cycle through an international Deep Carbon Observatory* $4,000,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$2,000,000.00	$0.00
Carnegie Institution of Washington 1530 P Street, N.W.	170 (b) (1) (A) (ii)	$900,000.00	$900,000.00	$0.00

Washington, DC 20005 *To encourage development of scientific instruments for the International Deep Carbon Observatory* $900,000.00 2010				
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890 *Support for a final three years of the Carnegie Mellon Electricity Industry Center* $375,000.00 2007	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890 *To stimulate top film students to write screenplays about science and technology and to help them find jobs in the film industry.* $271,296.00 2007	170 (b) (1) (A) (ii)	$0.00	$90,430.00	$0.00
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890 *To initiate research on the industrial organization of credit rating agencies* $149,776.00 2009	170 (b) (1) (A) (ii)	$0.00	$69,776.00	$0.00
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890 *To encourage top film students to write screenplays about science and technology and to help their careers* $284,360.00 2010	170 (b) (1) (A) (ii)	$284,360.00	$90,838.00	$193,522.00
Catticus Corporation 2600 Tenth Street Berkeley, CA 94710 *To support a pilot effort to produce and distribute short web videos based on new scientific papers* $250,000.00 2010	170 (b) (1) (A) (vi)	$250,000.00	$250,000.00	$0.00
Center for a New American Security, Inc. 1301 Pennsylvania Avenue, Suite 403 Washington, DC 20004 *To further examine Aum Shinrikyo's attempts to use anthrax to attack Tokyo* $259,206.00 2009	170 (b) (1) (A) (vi)	$0.00	$155,206.00	$54,000.00
Center for Biosecurity - UPMC 621 E. Pratt Street, Suite 210 Baltimore, MD 21202-3143 *To document and synthesize major achievements in biosecurity of the past decade and help chart the direction of*	170 (b) (1) (A) (iii)	$450,000.00	$450,000.00	$0.00

future efforts $450,000 00 2010				
University of Central Florida 4000 Central Florida Boulevard Orlando, FL 32816 *A planning grant for Florida statewide initiative for Professional Science Master's Programs* $86,250.00 2009	170 (b) (1) (A) (ii)	$0.00	$36,250.00	$0.00
University of Central Florida 4000 Central Florida Boulevard Orlando, FL 32816 *For implementation of a statewide initiative in Florida for Professional Science Master's Programs* $146,050.00 2010	170 (b) (1) (A) (ii)	$146,050.00	$146,050.00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *To provide tools and a data archive for analyzing sequence data of microbial communities in the indoor environment* $1,094,203.00 2010	170 (b) (1) (A) (ii)	$1,094,203.00	$750,000.00	$344,203.00
Cold Spring Harbor Laboratory Post Office Box 534 Cold Spring Harbor, NY 11724-0534 *To support a pilot project for DNA barcoding experiments by New York City high school students* $300,000.00 2010	170 (b) (1) (A) (vi)	$300,000.00	$0.00	$300,000.00
The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *To provide renewed support to catalog the indoor microbial world* $1,045,106.00 2008	170 (b) (1) (A) (ii)	$0 00	$803,850 00	$0.00
The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *To study how and why consumers give up on making complex financial decisions* $122,263.00 2010	170 (b) (1) (A) (ii)	$122,263.00	$122,263.00	$0.00
The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *To understand corporate attitudes towards e-Learning* $10,000.00 2010	170 (b) (1) (A) (ii)	$10,000.00	$0.00	$10,000.00

The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *To provide tools and a data archive for analyzing sequence data of microbial communities in the indoor environment* $450,000.00 2010	170 (b) (1) (A) (ii)	$450,000.00	$0.00	$450,000.00
The Trustees of Columbia University in the City of New York New York, NY 10027 *To perform experiments on how consumers' characteristics affect their annuity decisions* $85,682.00 2010	170 (b) (1) (A) (ii)	$85,682.00	$85,682.00	$0.00
The Trustees of Columbia University in the City of New York New York, NY 10027 *To write screenplays and produce short films about science and technology* $221,837.00 2010	170 (b) (1) (A) (ii)	$221,837.00	$73,946.00	$147,891.00
Committee on Capital Markets Regulation, Inc. 1557 Massachusetts Avenue Cambridge, MA 02138 *To investigate the role of market discipline in regulating the risks taken by financial institutions* $120,200.00 2009	170 (b) (1) (A) (vi)	$0.00	$120,200.00	$0.00
Universidad de Concepcion Oceanographic Center for Eastern South Pacific Campus Universitario P.O. Box 160C Concepcion Chile *To complete and disseminate work of the Census of Marine Life in Chile* $50,000.00 2010	foreign equivalent of 501 (c) (3)	$50,000.00	$50,000.00	$0.00
University of Connecticut 352 Mansfield Road Storrs, CT 06269-2048 *To complete, integrate, and disseminate the results of the global zooplankton field project of the first Census of Marine Life* $500,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$410,000.00	$0.00
Consortium for Ocean Leadership Inc. 1201 New York Avenue, NW, Suite 400 Washington, DC 20005 *To ensure an effective synthesis phase of the Census of Marine Life research program in the U S*	170 (b) (1) (A) (vi)	$0.00	$50,000.00	$0.00

$500,000.00 2009				
Consortium for Ocean Leadership Inc. 1201 New York Avenue, NW, Suite 400 Washington, DC 20005 *To support the activities associated with the culmination of the first Census of Marine Life in October 2010* $788,804.00 2009	170 (b) (1) (A) (vi)	$0.00	$278,804.00	$0.00
Consortium for Ocean Leadership Inc. 1201 New York Avenue, NW, Suite 400 Washington, DC 20005 *To disseminate and assure the legacies of the Census of Marine Life* $900,000.00 2010	170 (b) (1) (A) (vi)	$900,000.00	$500,000.00	$400,000.00
Coolidge Corner Theatre Foundation Inc 290 Harvard Street Brookline, MA 02446 *To support screenings and discussion of science films at art house theaters across the country* $150,318.00 2010	170 (b) (1) (A) (vi)	$150,318.00	$150,318.00	$0 00
Cornell University Ithaca, NY 14853 *Econometric research to increase understanding of low retention and completion rates nationally among entering freshman who intend to major in science, technology, engineering, and mathematical fields* $331,000.00 2007	170 (b) (1) (A) (ii)	$0.00	$100,000.00	$0 00
Cornell University Ithaca, NY 14853 *To develop, test, and propagate innovative Bayesian methods for estimating and regulating risks taken on by financial institutions* $183,809.00 2009	170 (b) (1) (A) (ii)	$0.00	$91,535.00	$92,274.00
Corporate Voices for Working Families Mail Stop 1899 L Street NW, Suite 250 Washington, DC 20036 *To encourage companies to engage around Corporate Voices' revised statement of support for workplace flexibility, and convince C-Suite business leaders to sign the statement* $19,750.00 2010	170 (b) (1) (A) (vi)	$19,750.00	$19,750.00	$0 00
Council of Graduate Schools One Dupont Circle, NW Suite 230 Washington, DC 20036-1173 *To support the final phase of national*	170 (b) (1) (A) (vi)	$0.00	$660,934.00	$0 00

initiative to institutionalize and promote the PSM degree as a regular feature of American graduate education $1,422,153.00 2008				
Council of Graduate Schools One Dupont Circle, NW Suite 230 Washington, DC 20036-1173 *For development of a process for Professional Science Master's affiliation* $124,106.00 2009	170 (b) (1) (A) (vi)	$0.00	$124,106.00	$0.00
Council of Graduate Schools One Dupont Circle, NW Suite 230 Washington, DC 20036-1173 *To launch a project focusing on completion and attrition in STEM Master's Programs* $658,687.00 2010	170 (b) (1) (A) (vi)	$658,687.00	$353,466.00	$305,221.00
Council of Graduate Schools One Dupont Circle, NW Suite 230 Washington, DC 20036-1173 *To continue and complete the work of the Council of Graduate Schools to institutionalize and promote the Professional Science Master's (PSM) as a regular feature of graduate education* $492,635.00 2010	170 (b) (1) (A) (vi)	$492,635.00	$0.00	$492,635.00
Council on Foreign Relations, Inc. 58 East 68th Street New York, NY 10065 *To conduct a program of research and publication on energy security, especially related to oil* $1,198,506.00 2010	170 (b) (1) (A) (vi)	$1,198,506.00	$0.00	$1,198,506 00
CUNY Graduate Center Foundation, Inc. 33 West 42nd Street, Room 1801 New York, NY 10036 *Assess Effectiveness and Impact of Sloan Anytime/Anyplace Education Program* $125,000.00 2010	170 (b) (1) (A) (vi)	$125,000.00	$0.00	$125,000.00
Dalhousie University Halifax, NS B3H 4H6 Canada *For the concluding phase of the Future of Marine Animal Populations project of the Census of Marine Life* $1,017,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$117,000.00	$0.00
Dartmouth College Hanover, NH 03755 *To create and study network models of systemic risk in banking and finance*	170 (b) (1) (A) (ii)	$119,591.00	$65,258.00	$54,333 00

$119,591 00 2010				
University of D.C. Foundation 4200 Connecticut Ave , NW Intelsat Building, 4M-200 Washington, DC 20008 *For the implementation of Professional Science Master's Degree Programs at the HBCU Mid-Atlantic PSM Alliance* $247,250.00 2009	170 (b) (1) (A) (iv)	$0.00	$100,000.00	$72,250.00
Duke University Durham, NC 27708 *To produce compelling, intuitive, accurate, and consistent mapping and visualization products for the Census of Marine Life* $419,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$419,000.00	$0.00
Duke University Durham, NC 27708 *To investigate how consumers process complex financial data and decisions* $63,249 00 2010	170 (b) (1) (A) (ii)	$63,249.00	$63,249.00	$0.00
Emory University Atlanta, GA 30322 *To support the final renewal grant for the Center on Myth and Ritual in American Life* $1,787,353.00 2007	170 (b) (1) (A) (ii)	$0.00	$804,042.00	$0.00
Ensemble Studio Theatre, Inc. 549 West 52nd Street New York, NY 10019 *To commission, develop, produce and disseminate new science plays in New York and across the country* $1,701,000 00 2010	170 (b) (1) (A) (vi)	$1,701,000.00	$567,000.00	$1,134,000.00
Families and Work Institute, Inc. 267 Fifth Avenue - 2nd Floor New York, NY 10016 *Provide a final grant for When Work Works, a grassroots organization that works with local businesses to increase voluntary adoption of workplace flexibility practices* $2,838,785.00 2009	170 (b) (1) (A) (vi)	$0.00	$2,138,785.00	$0.00
Film Independent, Inc. 9911 W. Pico Boulevard, 11th Floor Los Angeles, CA 90035 *To develop three science and technology films through the production process* $156,000.00 2009	509 (a) (2)	$0.00	$78,000.00	$0.00
Foundation Center 79 Fifth Avenue New York, NY 10003	170 (b) (1) (A) (vi)	$0.00	$65,000.00	$0.00

For renewal of three year grant for operational support $195,000.00 2008				
Fund for Public Health in New York, Inc. New York, NY *To improve NYC's Department of Health and Mental Hygiene's syndromic surveillance systems* $1,250,058.00 2010	170 (b) (1) (A) (vi)	$1,250,058.00	$0 00	$1,250,058.00
Fund For The City Of New York Inc 121 Avenue of the Americas 6th Floor New York, NY 10013 *Support for Sloan Awards for Excellence in Teaching Science and Mathematics in New York City Public High Schools.* $1,360,750.00 2008	170 (b) (1) (A) (vi)	$0.00	$260,000.00	$663,750.00
Fund For The City Of New York Inc 121 Avenue of the Americas 6th Floor New York, NY 10013 *To continue support for the Sloan Public Service Awards* $450,000.00 2008	170 (b) (1) (A) (vi)	$0.00	$150,000.00	$150,000.00
Georgetown University 37th & O Street, NW Washington, DC 20057 *Support for program of policy-relevant research, conferences, policy briefings, and publications focused on temporary worker programs in the United States* $600,000.00 2007	170 (b) (1) (A) (ii)	$0.00	$167,324 00	$0.00
Georgetown University 37th & O Street, NW Washington, DC 20057 *To support a final grant to Workplace Flexibility 2010* $2,589,612.00 2009	170 (b) (1) (A) (ii)	$0 00	$1,291,056.00	$0.00
Georgetown University 37th & O Street, NW Washington, DC 20057 *To support a finale conference to mark the achievements of the Workplace, Work Force, and Working Families Program* $341,435.00 2009	170 (b) (1) (A) (ii)	$0.00	$341,435.00	$0.00
Georgetown University 37th & O Street, NW Washington, DC 20057 *To support a program of research, reports, expert roundtables, and public briefings on best practices related to current and future management of both temporary and*	170 (b) (1) (A) (ii)	$231,551.00	$90,000.00	$141,551.00

permanent programs for immigration of skilled workers to the U.S. $231,551.00 2010				
Greater Washington Educational Telecommunications Association Inc. 2775 South Quincy Street Arlington, VA 22206 *For on-air and online coverage of economic and financial literacy on The PBS NewsHour* $1,500,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$750,000.00	$0.00
University of Guelph Guelph, ON N1G 2W1 Canada *To grow the library of DNA barcodes for marine species, to create a new view of the relatedness of all forms of marine life, and to create genetic fingerprints of different marine ecosystems* $298,450.00 2009	foreign equivalent of 501 (c) (3)	$0.00	$298,450.00	$0.00
Hamptons International Film Festival 3 Newtown Mews East Hampton, NY 11937 *To commission and highlight science and technology films and to develop S&T screenplays* $487,000.00 2008	170 (b) (1) (A) (vi)	$0.00	$75,000 00	$0.00
Hamptons International Film Festival 3 Newtown Mews East Hampton, NY 11937 *To commission and spotlight science and technology films and to develop science and technology screenplays* $527,456.00 2010	170 (b) (1) (A) (vi)	$527,456.00	$147,977.00	$379,479.00
President and Fellows of Harvard College Cambridge, MA 02138 *Support to develop a new architecture for the U S. National Accounts* $500,000.00 2006	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Support research and a book on the economic history of career and family for college-educated women* $221,575.00 2009	170 (b) (1) (A) (ii)	$0.00	$221,575 00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *To study behavioral factors that influence consumers' energy utilization and efficiency choices* $293,299.00	170 (b) (1) (A) (ii)	$293,299.00	$200,000.00	$93,299.00

2010 **President and Fellows of Harvard College** Cambridge, MA 02138 *To produce a publicly available database that links 2010 census results with election returns at the precinct level* $235,278.00	170 (b) (1) (A) (ii)	$235,278.00	$80,000.00	$155,278.00
2010 **President and Fellows of Harvard College** Cambridge, MA 02138 *To study how risk databases and choice engines can improve consumers' financial decisions* $34,951.00	170 (b) (1) (A) (ii)	$34,951.00	$34,951.00	$0.00
2010 **Hastings Center Inc** 21 Malcolm Gordon Road Garrison, NY 10524 *To investigate ethical issues in synthetic biology* $512,365.00	170 (b) (1) (A) (vi)	$0.00	$242,145.00	$0.00
2008 **University of Hawaii** Office of Research Services Sakamaki D200, 2530 Dole Street Honolulu, HI 96822 *To complete the abyssal plains field project for the first Census of Marine Life* $725,000.00	170 (b) (1) (A) (ii)	$0.00	$75,000.00	$0.00
2008 **ICPO-INTERPOL** 200, Quai Charles de Gaulle 69006 Lyon, France *To provide renewed support for the development of INTERPOL's capacity to counter bioterrorism by raising awareness, developing police training programs and strengthening law enforcement* $1,850,000.00	int'l org designated by executive order	$0.00	$650,000.00	$400,000.00
2008 **University of Illinois at Springfield** One University Plaza Springfield, IL 62703-5407 *Support for development and implementation of 40 courses within three Local Area-ALN (Blended) degree programs and one certificate program* $200,000.00	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
2007 **University of Illinois at Springfield** One University Plaza Springfield, IL 62703-5407 *Final grant to support online collaboration among smaller universities* $115,000.00	170 (b) (1) (A) (ii)	$0.00	$65,000.00	$0.00
2009 **The Board of Trustees of the University of Illinois**	170 (b) (1) (A) (ii)	$0.00	$100,000.00	$0.00

601 South Morgan Street Chicago, IL 60607 *Support for UIC to initiate a strong effort aimed at providing mixed online/classroom (blended) full degree programs for Chicago area local students* $383,000.00 2008				
The Board of Trustees of the University of Illinois 601 East John Street Champaign, IL 61820 *Implementation of a centrally-administered, campus-wide, sustainable Professional Science Master's Program* $330,336.00 2007	170 (b) (1) (A) (ii)	$0.00	$113,336.00	$0.00
IODP Management International, Inc. 1001 Connecticut Avenue, NW, Suite 504 Washington, DC 20036 *To explore the value and feasibility of reaching pristine mantle rock as part of the field program of the Deep Carbon Observatory* $142,785 00 2010	170 (b) (1) (A) (vi)	$142,785.00	$142,785.00	$0.00
International Union for Conservation of Nature and Natural Resources - US 1630 Connecticut Avenue, NW Suite 300 Washington, DC 20009 *To enable use of Census of Marine Life information in support of global ocean policy processes* $90,000.00 2010	170 (b) (1) (A) (vi)	$90,000.00	$90,000.00	$0.00
International City County Management Association 777 North Capitol Street, NE - Suite 500 Washington, DC 20002-4201 *To support a program to encourage local governments to adopt Citizen Relationship Management systems, including 311 and online equivalents.* $486,145.00 2008	509 (a) (2)	$0 00	$72,941.00	$0.00
John Hopkins University Baltimore, MD 21218-2688 *For research on "Occupational Labor Shortages Concepts, Causes, Consequences and Cures"* $290,439 00 2006	170 (b) (1) (A) (ii)	$0.00	$145,000.00	$0.00
LA Theatre Works 681 Venice Boulevard Venice, CA 90291 *To record four new science plays, including two new Sloan-commissioned*	170 (b) (1) (A) (vi)	$266,239 00	$133,119.00	$133,120.00

plays for broadcast on public radio and for distribution to schools, libraries, and online retail partners $266,239.00 2010				
The Levy Economics Institute at Bard College Annandale-on-Hudson, NY 12504-5000 *Partial support for a collaborative international effort to develop comparable measures of economic well-being in five industrialized countries including the United States* $332,450.00 2008	170 (b) (1) (A) (ii)	$0.00	$111,450.00	$0.00
Louisiana State University Baton Rouge, LA 70803 *To further the explorations and conduct the synthesis phase of the continental margins project of the Census of Marine Life* $1,230,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$230,000.00	$0.00
Lyrasis 3000 Market Street, Suite 200 Philadelphia, PA 19104-2801 *For continued digitization of member collections and development of a self-sustaining regional scanning center* $750,000.00 2010	509 (a) (2)	$750,000.00	$250,000.00	$500,000.00
Manhattan Theatre Club 311 West 43rd Street, 8th Floor New York, NY 10036 *To commission nine science and technology plays over three years and to support readings, workshops and stage productions of science and technology plays* $500,000.00 2003	509 (a) (2)	$0.00	$0.00	$200,000.00
Manhattan Theatre Club 311 West 43rd Street, 8th Floor New York, NY 10036 *To commission, develop, and produce science and technology plays* $500,000.00 2009	509 (a) (2)	$0.00	$100,000.00	$200,000.00
Centre for Marine Biodiversity Society Bedford Institute of Oceanography P O. Box 1006 (Mail Station B606) Dartmouth, Nova Scotia B2Y 4A2 CANADA *To carry out the synthesis phase of the Gulf of Maine area program of the Census of Marine Life* $375,000 00 2008	foreign equivalent of 501 (c) (3)	$0.00	$75,000.00	$0.00
Marine Biological Laboratory	170 (b) (1)	$0.00	$199,926.00	$0.00

Woods Hole, MA 02543 *To test and develop the rare biosphere as a field of study* $1,215,100.00 2008	(A) (vi)			
Marine Biological Laboratory Woods Hole, MA 02543 *To complete, integrate, and disseminate the results of the microbial field project of the first Census of Marine Life* $450,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$450,000.00	$0.00
Marine Biological Laboratory Woods Hole, MA 02543 *To provide tools and a data archive for analyzing sequence data of microbial communities in the indoor environment* $459,918 00 2010	170 (b) (1) (A) (vi)	$459,918.00	$0.00	$459,918.00
University of Maryland, Baltimore County 5401 Wilkens Avenue Baltimore, MD 21228-5398 *To define a program and obtain funding for a minority focused, undergraduate program in mathematics, statistics, and economics* $14,240.00 2010	170 (b) (1) (A) (ii)	$14,240.00	$14,240.00	$0.00
University of Maryland, College Park College Park, MD 20742 *To create and launch an International Financial Crisis Database that provides open access information about many countries, many centuries, and many variables* $323,115.00 2009	170 (b) (1) (A) (iii)	$0.00	$99,278.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *To partially fund an MIT study on the Future of Solar Energy* $400,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$200,000.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *To examine the effects of globalization on U.S domestic regulatory policies, compliance, and economics in biopharmaceutical product manufacturing* $250,000.00 2010	170 (b) (1) (A) (ii)	$250,000 00	$177,000.00	$73,000.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *To create a new executive-level course designed to promote the safe and responsible use of nuclear power*	170 (b) (1) (A) (ii)	$500,000.00	$0.00	$500,000.00

worldwide $500,000 00 2010				
University of Massachusetts, Lowell One University Avenue Lowell, MA 01854 *Support for development of a University of Massachusetts system-wide Professional Science Master's (PSM) degree initiative* $124,200.00 2009	170 (b) (1) (A) (ii)	$0.00	$62,000.00	$31,000.00
University of Massachusetts, Lowell One University Avenue Lowell, MA 01854 *To launch and sustain a significant number of successful Professional Science Master's (PSM) programs over the long term* $124,200.00 2010	170 (b) (1) (A) (ii)	$124,200.00	$124,200.00	$0.00
Memorial University of Newfoundland St. John's, Newfoundland A1C 5S7 Canada *To conduct activities integrating and summarizing findings from all component programs of the Census of Marine Life* $1,200,000.00 2008	foreign equivalent of 501 (c) (3)	$0.00	$200,000.00	$0.00
The Regents of the University of Michigan Ann Arbor, MI 48109-1274 *Democratizing access to the American Community Survey* $128,694.00 2009	170 (b) (1) (A) (ii)	$0.00	$42,504.00	$43,674 00
Minnesota State Colleges and Universities Foundation Wells Fargo Place 30 Seventh Street East, Suite 350 Saint Paul, MN 55101 *To support a planning project for the regional PSM initiative in Minnesota, North Dakota, and Wisconsin* $99,802.00 2010	170 (b) (1) (A) (iv)	$99,802.00	$50,000.00	$49,802.00
The Regents of the University of Minnesota 200 Oak Street SE Minneapolis, MN 55455 *To provide full documentation and a comprehensive review of all aspects of pandemic influenza vaccine preparedness and response, and a blueprint for future pandemic influenza vaccine preparedness* $498,319.00 2009	170 (b) (1) (A) (ii)	$0.00	$198,319.00	$0 00
Montana Tech of the University of Montana 1300 West Park Street Butte, MT 59701-8997 *To continue and grow the American Indian*	170 (b) (1) (A) (ii)	$0 00	$23,050.00	$0.00

Graduate Program at Montana Tech $64,650.00 2007				
University of Montana Missoula, MT 59812 *To continue and grow the American Indian Graduate Program at the University of Montana, Missoula* $145,550.00 2007	170 (b) (1) (A) (iv)	$0 00	$50,397.00	$0 00
National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 *Co-funding for a project on The Impact of Copyright Policy on Innovation in the Digital Era* $250,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$125,000.00	$0 00
National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 *To provide partial support for "Trends in Science and Technology Relevant to the Biological Weapons Convention An International Workshop"* $150,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$150,000.00	$0.00
National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 *As partial support for project to assess the health of U S research universities* $300,000.00 2010	170 (b) (1) (A) (vi)	$300,000.00	$300,000 00	$0.00
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To fund the Minority Ph D Program and the American Indian Program from July 1, 2007 through June 30, 2008* $4,024,421.00 2008	170 (b) (1) (A) (vi)	$0.00	$1,624,419.00	$0.00
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To enable the National Action Council for Minorities in Engineering to continue administering the Sloan Minority Ph.D Program and American Indian Graduate Program for an additional three years* $456,400.00 2008	170 (b) (1) (A) (vi)	$0.00	$156,300.00	$0.00
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To fund new obligations in the Minority*	170 (b) (1) (A) (vi)	$0.00	$1,784,981.00	$2,115,019.00

Ph D. Program and the American Indian Graduate Program from July 1, 2008 through June 30, 2009 $3,900,000.00 2008				
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To fund new obligations in the Minority Ph.D Program and the Sloan Indigenous Graduate Program from July 1, 2009, through June 30, 2010* $4,518,400.00 2009	170 (b) (1) (A) (vi)	$0.00	$2,060,600.00	$1,247,325.00
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To fund new obligations incurred in the Sloan Minority Ph D. Program and the Sloan Indigenous Graduate Partnership Program from July 1, 2010 through July 1, 2011* $4,050,463.00 2010	170 (b) (1) (A) (vi)	$4,050,463.00	$1,350,000.00	$2,700,463 00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To support the Science and Engineering Workforce and Policy Center* $1,086,200.00 2005	170 (b) (1) (A) (vi)	$0.00	$0.00	$540,000 00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *Research on Market Institutions and Financial Market Risk* $588,800 00 2008	170 (b) (1) (A) (vi)	$0.00	$353,395.00	$0.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To promote research on the economics of household finance* $107,410.00 2009	170 (b) (1) (A) (vi)	$0 00	$107,410 00	$0.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To stimulate new academic research on global aspects of the financial crisis and "Great Recession"* $349,324.00 2009	170 (b) (1) (A) (vi)	$0.00	$349,324 00	$0 00

National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To foster multilateral cooperation in trade, financial regulation, and macroeconomic policy by organizing a forum for leading academics and officials* $274,965.00 2010	170 (b) (1) (A) (vi)	$274,965.00	$24,965.00	$250,000.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To convene conferences on the measurement of systemic risk and liquidity* $115,690.00 2010	170 (b) (1) (A) (vi)	$115,690.00	$56,120.00	$59,570.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To support Summer Institutes run by the National Bureau of Economic Research* $767,280.00 2010	170 (b) (1) (A) (vi)	$767,280.00	$253,000.00	$514,280.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To initiate and organize research on the economics of digital information* $999,155.00 2010	170 (b) (1) (A) (vi)	$999,155.00	$300,902.00	$698,253 00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue Cambridge, MA 02138-5398 *To support research on the barriers to working longer and how to facilitate work at older ages* $644,920.00 2010	170 (b) (1) (A) (vi)	$644,920 00	$313,835.00	$331,085.00
National Center for Civic Innovation, Inc. c/o Fund for the City of New York 121 Avenue of the Americas, 6th Floor New York, NY 10013 *To sustain and continue advancing the field of citizen-informed and citizen-based performance measurement and reporting* $2,942,880 00 2008	170 (b) (1) (A) (vi)	$0.00	$1,030,151.00	$0.00
National Conference of State Legislatures 7700 East First Place Denver, CO 80230 *The PSM Degree and the STEM Workforce· The Role of State Legislatures* $183,132 00 2008	170 (c) (1)	$0.00	$47,132.00	$0.00

National Geographic Society Tax Department 1145 17th Street, NW Washington, DC 20036-4688 *To complete production of a feature film and an IMAX film about the evolutionary history of the universe, with major educational outreach* $1,000,000.00 2010	509 (a) (2)	$1,000,000.00	$0.00	$1,000,000.00
National Opinion Research Center 1155 East 60th Street Chicago, IL 60637 *To conduct an inventory and assessment of the products of the Workplace, Workforce and Working Families Program* $124,500.00 2010	170 (b) (1) (A) (vi)	$124,500.00	$124,500.00	$0.00
New America Foundation 1899 L St. NW 4th Floor Washington, DC 20036 *Final grant to the New America Foundation supporting Workplace Flexibility 2010* $250,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$80,000.00	$90,000.00
New England States Government Finance Officers Association 145 Taunton Avenue East Providence, RI 02914 *To help launch the New England States Performance Measurement Pilot Project* $150,000.00 2007	501 (c) (6)	$0.00	$52,300.00	$0.00
University of New Hampshire Durham, NH 03824 *To lift public understanding and secure the legacies of the historical portion of the Census of Marine Life* $624,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$374,000.00	$0.00
The New School for Social Research 66 West 12th Street New York, NY 10011 *To generate and disseminate information for parents about New York City schools* $950,000.00 2010	170 (b) (1) (A) (ii)	$950,000.00	$800,000 00	$150,000.00
New Venture Fund 734 15th Street, NW Suite 600 Washington, DC 20005-1029 *To study experimentally the market for retail financial advice* $117,640.00 2010	170 (b) (1) (A) (vi)	$117,640 00	$117,640.00	$0.00
New York Botanical Garden Bronx, NY 10458-5126 *To foster applications of DNA barcoding*	170 (b) (1) (A) (vi)	$800,000.00	$350,000.00	$450,000 00

for conserving biodiversity, reducing consumer fraud, and protecting human health $800,000.00 2010				
New York University 70 Washington Square North New York, NY 10012-1019 *For a production grant to a film school graduate for a first feature film that deals with science and technology* $382,000.00 2006	170 (b) (1) (A) (ii)	$0.00	$127,000.00	$0.00
New York University 70 Washington Square North New York, NY 10012-1019 *For screenwriting and production of science and technology films by top film students* $429,450.00 2009	170 (b) (1) (A) (ii)	$0 00	$153,150.00	$251,300.00
New York University 70 Washington Square North New York, NY 10012-1019 *For a Sloan Industry Studies Fellowship for Natasha Iskander* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000 00	$45,000.00	$0.00
New York University 70 Washington Square North New York, NY 10012-1019 *To establish a Center for Mathematical Talent to work with students from NYC schools* $708,468.00 2010	170 (b) (1) (A) (ii)	$708,468.00	$224,561.00	$483,907.00
North Carolina State University Raleigh, NC 27695 *To expand and make sustainable over the long term the University of North Carolina system-wide Professional Science Master's initiative* $198,778.00 2010	170 (b) (1) (A) (ii)	$198,778.00	$0.00	$198,778.00
Northwestern University 633 Clark Street Evanston, IL 60208 *For a Sloan Industry Studies Fellowship for Paul M Leonardi* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0.00
University of Notre Dame Notre Dame, IN 46556 *To conduct a case study of the influx of mathematicians from Eastern Europe to the U S in the 1990s to gain insight into effects of high-skill immigration* $295,876.00 2010	170 (b) (1) (A) (ii)	$295,876.00	$180,349.00	$115,527.00

Office for Oregon Health Policy Research Salem, OR *To study the impact of health insurance coverage on the health and the quality of healthcare for low income adults using the Oregon Health Insurance Lottery as a natural experiment* $1,000,000.00 2008	501(c)(6)	$0.00	$50,000.00	$0.00
Open Knowledge Commons Inc. c/o Boston Public Library 700 Boylston Street Boston, MA 02116 *To create the first phase of a universal open digital library on the history of medicine from the collections of five leading institutions* $1,528,170.00 2009	170 (b) (1) (A) (vi)	$0.00	$66,525.00	$697,560.00
Open Knowledge Commons Inc. c/o Boston Public Library 700 Boylston Street Boston, MA 02116 *To support the Open Knowledge Commons in uniting the library community and the public behind the implementation of a universal digital library* $330,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$165,000.00	$165,000.00
Oregon State University 2082 Cordley Hall Corvallis, OR 97331-2902 *Support for implementation of Oregon's statewide strategy for growth in Professional Science Master's (PSM) degree programs* $185,840.00 2009	170 (b) (1) (A) (ii)	$0.00	$92,920.00	$27,110.00
Oregon State University 2082 Cordley Hall Corvallis, OR 97331-2902 *To help the Deep Carbon Observatory begin characterizing diversity of deep life in continental and marine environments using DNA sequencing technology* $700,000.00 2010	170 (b) (1) (A) (ii)	$700,000 00	$350,000.00	$350,000.00
University of Oregon Eugene, OR 97403-1226 *To fund the Center for Microbial Ecology of Indoor Environments* $1,800,000 00 2010	170 (b) (1) (A) (ii)	$1,800,000.00	$500,000.00	$1,300,000.00
Pennsylvania State System of Higher Education 2986 North Second Street Harrisburg, PA 17110 *To create a system-wide, regionally-focused Professional Science*		$50,000.00	$50,000.00	$0.00

Master's (PSM) degree program to meet work force demand in high-technology sectors $50,000.00 2010				
The Pennsylvania State University University Park, PA 16802 *For a Sloan Industry Studies Fellowship for Mark Anner* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0 00
The Pennsylvania State University University Park, PA 16802 *For a Sloan Industries Fellowship for Forrest Briscoe* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *To develop theoretically and empirically sound frameworks for guiding regulatory policy in the financial sector* $733,318.00 2008	170 (b) (1) (A) (ii)	$0.00	$486,367.00	$0 00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *For a Sloan Industry Studies Fellowship for Matthew Bidwell* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *To devise a research program on choice engines that help consumers make better insurance decisions* $35,000.00 2010	170 (b) (1) (A) (ii)	$35,000.00	$35,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *To support a final grant to the Sloan Work & Family Research Network to ensure its long term sustainability* $990,735.00 2010	170 (b) (1) (A) (ii)	$990,735.00	$376,419.00	$614,316 00
Peter G. Peterson Institute for International Economics 1750 Massachusetts Avenue, NW Washington, DC 20036-1903 *To analyze empirical lessons that countries can learn from the financial crisis about how to restructure their financial sectors*	170 (b) (1) (A) (vi)	$245,000.00	$91,000.00	$154,000.00

$245,000.00 2010				
Pioneer Institute Inc. 85 Devonshire Street, 8th Floor Boston, MA 02109 *To support the Benchmarking Initiative Component of the Pioneer Institute's Middle Cities initiative* $100,000.00 2007	170 (b) (1) (A) (vi)	$0.00	$15,000.00	$0.00
UPMC 621 East Pratt Street Suite 210 Baltimore, MD 21202 *To educate the US government officials on Biosecurity issues* $750,000.00 2008	170 (b) (1) (A) (iii)	$0.00	$250,000.00	$0.00
University of Pittsburgh 350 Thackeray Hall Pittsburgh, PA 15213 *To provide final financial support for Industry Studies Annual Conferences* $300,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$85,000.00	$0.00
University of Pittsburgh 350 Thackeray Hall Pittsburgh, PA 15213 *For final support to establish the Industry Studies Association as a self-sustaining, independent organization* $339,740.00 2009	170 (b) (1) (A) (ii)	$0.00	$173,290.00	$0.00
Playwrights Horizons 416 West 42nd Street New York, NY 10036-6809 *To commission new science plays with a possible production grant* $178,500.00 2005	509 (a) (2)	$0.00	$0.00	$100,000.00
Polytechnic Institute of New York University Six MetroTech Center Brooklyn, NY 11201 *Support for workshops to engage corporations in Sloan-C* $65,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$65,000.00	$0.00
Population Reference Bureau, Inc. 1875 Connecticut Avenue, NW Suite 520 Washington, DC 20009-5728 *Develop and make widely available new cross-sectional and longitudinal data from the American Community Survey on the location and migration of the science and engineering workforce at the level of cities, counties and metropolitan areas* $383,000.00	170 (b) (1) (A) (vi)	$0.00	$113,000.00	$102,000.00

2008 **Public Library of Science** 185 Berry Street Suite 1300 San Francisco, CA 94107 *To develop on-line hubs as a mechanism for organizing scientific content after it is published* $400,000.00 2010	509 (a) (2)	$400,000.00	$400,000.00	$0.00
Public Media Lab 5508 Surrey Street Chevy Chase, MD 20815 *To produce and broadcast a one-hour PBS documentary "Admiral Rickover and the Nuclear Navy"* $797,836.00 2010	170 (b) (1) (A) (vi)	$797,836.00	$398,918.00	$398,918.00
Purdue University West Lafayette, IN 47907 *To fund for an additional year the recruitment and retention portion of the Sloan Indigenous Graduate Partnership at Purdue University* $84,831.00 2009	170 (b) (1) (A) (ii)	$0.00	$84,831.00	$0.00
Purdue University West Lafayette, IN 47907 *To fund the recruitment and retention portion of the Sloan Indigenous Graduate Partnership Program at Purdue University for an additional three years* $153,000.00 2010	170 (b) (1) (A) (ii)	$153,000.00	$0.00	$153,000.00
Resources For The Future Inc 1616 P Street, NW Washington, DC 20036-1400 *To ensure that appropriate economic data will be collected and distributed concerning new policies for regulating Green House Gas emissions* $202,264.00 2009	170 (b) (1) (A) (vi)	$0.00	$167,072.00	$0.00
University of Rhode Island 70 Lower College Road Kingston, RI 02882 *To communicate globally the accomplishments of the decade of discovery of the Census of Marine Life* $737,662.00 2009	170 (b) (1) (A) (vi)	$0.00	$287,662.00	$0.00
Rutgers, The State University of New Jersey New Brunswick, NJ 08901-1281 *To support the database system (OBIS) of the Census of Marine Life for its synthesis phase and its transition to independent status* $950,000.00	170 (b) (1) (A) (ii)	$0.00	$200,000 00	$0.00

2008				
Rutgers, The State University of New Jersey New Brunswick, NJ 08901-1281 *To support the continued growth of the Public Performance Measurement and Reporting Network* $450,306.00 2009	170 (b) (1) (A) (ii)	$0.00	$190,443.00	$0 00
The Science Festival Foundation 230 West 41 Street Suite 1600 New York, NY 10036 *To support the third World Science Festival and to develop a strategic business plan for the future* $600,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$280,000.00	$0.00
The Science Festival Foundation 230 West 41 Street Suite 1600 New York, NY 10036 *To support the fourth World Science Festival and to begin implementing the Strategic Plan and Business Development Initiative* $600,000.00 2010	170 (b) (1) (A) (vi)	$600,000.00	$600,000.00	$0.00
Science Friday Initiative Inc 140 Grove Street, Suite 3i Stamford, CT 06901 *To support Science Friday and its science-and-arts strand on air, online, and on-demand* $630,000.00 2010	170 (b) (1) (A) (vi)	$630,000.00	$210,000.00	$420,000.00
Scientific Committee on Antarctic Research (SCAR) Lensfield Road Cambridge CB2-1ER ENGLAND *To complete, integrate, and disseminate the results of the Antarctic field project of the first Census of Marine Life* $500,000.00 2009	foreign equivalent of 501 (c) (3)	$0.00	$350,000.00	$0.00
Scientific Committee on Oceanic Research University of Delaware Robinson Hall Newark, DE 19716 *To help the Census of Marine Life synthesize and disseminate its technological achievements and to share lessons of the Census with other major international ocean research programs* $267,000.00 2008	509 (a) (2)	$0 00	$57,000.00	$0.00
Sloan Consortium Inc	170 (b) (1)	$0.00	$1,000,000.00	$1,900,000.00

P O. Box 1238 Newburyport, MA 01950 *For support to transition Sloan-C into a self-sustaining society for online education* $4,000,000 00 2008	(A) (vi)			
Sloan Projects LLC 2 East 12th Street Suite 3 New York, NY 10003 *Co-production of a feature film and television broadcast about screen siren and unheralded inventor Hedy Lamarr (Program Related Investment)* $2,500,000.00 2008		-$2,500,000.00	$0.00	$ 0.00
Smithsonian Institution Washington, DC 20013-7012 *To grow the "Encyclopedia of Life," an online encyclopedia with a web page for every species* $2,500,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$500,000.00	$0.00
Smithsonian Institution Washington, DC 20013-7012 *As a final grant to operate the Consortium for the Barcode of Life (CoBL) to advance rapid, inexpensive identification of species of animals, plants, and fungi using minimal DNA sequences* $1,718,000.00 2010	170 (b) (1) (A) (ii)	$1,718,000.00	$800,000.00	$918,000.00
Smithsonian Institution Washington, DC 20013-7012 *To foster integration of species information from the Census of Marine Life in open access channels including the Encyclopedia of Life and Public Library of Science* $20,000 00 2010	170 (b) (1) (A) (ii)	$20,000.00	$20,000.00	$0.00
University of Southampton Empress Dock Southhampton S014 3ZH England *To complete the field project for the first Census of Marine Life concerned with life around deep seafloor vents and seeps* $684,000.00 2009	foreign equivalent of 501 (c) (3)	$0 00	$324,000.00	$0.00
University of Southern California University Park Los Angeles, CA 90089 *For screenwriting and production of science and technology films by top film students* $325,611 00 2009	170 (b) (1) (A) (ii)	$0.00	$118,537.00	$187,074.00

University of Southern Maine 96 Falmouth Street Portland, Maine 04101-9300 *To carry out the synthesis phase of the Gulf of Maine Area program of the Census of Marine Life* $1,000,000.00 2008	170 (b) (1) (A) (ii)	$0.00	$200,000.00	$0.00
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *To complete, integrate, and disseminate the results of the top predators field project of the first Census of Marine Life* $450,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$450,000.00	$0.00
Research Foundation of State University of New York Oswego, NY 13126 *To sustain the Professional Science Master's initiative in the State University of New York* $350,000.00 2010	170 (b) (1) (A) (vi)	$350,000.00	$190,000.00	$160,000.00
Sundance Institute 8530 Wilshire Boulevard, 3rd Floor Beverly Hills, CA 90211 *To support a program of S&T films, film panels and film fellowships at Sundance* $487,000.00 2008	170 (b) (1) (A) (vi)	$0.00	$243,500.00	$0.00
Sundance Institute 8530 Wilshire Boulevard, 3rd Floor Beverly Hills, CA 90211 *To support a program of science and technology films, film panels, and film fellowships at Sundance* $750,000.00 2010	170 (b) (1) (A) (vi)	$750,000.00	$250,000.00	$500,000.00
Swarthmore College 500 College Avenue Swarthmore, PA 19081 *To launch a multi-campus project to improve understanding of undergraduate student migration into and out of science, engineering, and mathematics disciplines* $313,029.00 2009	170 (b) (1) (A) (ii)	$0.00	$131,120.00	$181,909.00
Syracuse University Syracuse, NY 13244 *For support to determine global distribution of wages and labor in iPod manufacture This is the remaining balance of a grant previously made to the University of California, Irvine* $54,595.84 2009	170 (b) (1) (A) (ii)	$0.00	$54,595.84	$0.00
Texas AgriLife Research 2147 TAMU	170 (b) (1) (A) (i)	$124,287 00	$60,700 00	$63,587.00

College Station, TX 77843-2147 *To expand and institutionalize an excellent support program for graduate students in the College of Agriculture and Life Sciences and the College of Engineering, primarily those from underrepresented populations* $124,287.00 2010				
University of Texas, Austin Austin, TX 78712 *To determine essential baseline IE knowledge of experts and identify decision-makers* $42,433.00 2010	170 (b) (1) (A) (ii)	$42,433.00	$42,433.00	$0.00
University of Texas, Austin Austin, TX 78712 *To support the Symposium on Microbiomes of Built Environments at Indoor Air 2011* $63,778.00 ,2010	170 (b) (1) (A) (ii)	$63,778.00	$63,778 00	$0.00
Thurgood Marshall College Fund 80 Maiden Lane, Suite 2204 New York, NY 10038 *To include Thurgood Marshall College Fund campuses in the STEM migration project led by Swarthmore College* $299,992.00 2010	170 (b) (1) (A) (vi)	$299,992.00	$142,184.00	$157,808.00
Tribeca Film Institute Inc. 375 Greenwich Street New York, NY 10013 *To develop new science and technology feature films for production and to showcase science and technology films and hold panels at the Tribeca Film Festival* $700,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$150,000.00	$150,000.00
Tribeca Film Institute Inc. 375 Greenwich Street New York, NY 10013 *To create a two-year pilot to establish an annual Sloan Grand Jury Prize for the Best Student Science Screenplay and to develop this script through the Tribeca/Sloan Filmmaker Fund* $192,784.00 2010	170 (b) (1) (A) (vi)	$192,784.00	$98,225.00	$94,559.00
Urban Institute 2100 M Street, NW Washington, DC 20037 *To assess disincentives in state and local defined benefit pension plans for working longer* $416,230.00 2010	509 (a) (2)	$416,230.00	$208,115.00	$208,115 00
Vancouver Aquarium Marine Science Centre	509 (a) (2)	$0.00	$550,000 00	$0.00

P.O. Box 3232 Vancouver, BC V6B 3X8 Canada *To complete the continental shelf field project for the first Census of Marine Life* $1,000,000.00 2008				
J. Craig Venter Institute 9704 Medical Center Drive Rockville, MD 20850 *To study microbial genomics in the indoor environment using the first robotic pipeline dedicated to high throughput DNA amplification from single cells* $2,525,828.00 2007	170 (b) (1) (A) (vi)	$0.00	$0.00	$661,237.00
J. Craig Venter Institute 9704 Medical Center Drive Rockville, MD 20850 *To examine societal concerns in synthetic genomics* $595,749.00 2008	170 (b) (1) (A) (vi)	$0.00	$449,015.00	$146,734.00
Virginia Institute of Marine Science at the College of William and Mary P O. Box 1346 Route 1208 Greate Road Gloucester Point, VA 23062-1346 *To complete and disseminate the results of the Mid-Atlantic ridge project of the Census of Marine Life* $600,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$400,000.00	$0.00
Washington State University Pullman, WA 99164 *To support planning the design of a system-wide Professional Science Master's (PSM) program* $69,357.00 2010	170 (b) (1) (A) (ii)	$69,357.00	$69,357.00	$0.00
University of Washington Seattle, WA 98195 *To improve the retention and graduation rates for undergraduate engineering students.* $354,390.00 2006	170 (b) (1) (A) (ii)	$0 00	$33,791.00	$0.00
WGBH Educational Foundation One Guest Street Boston, MA 02135 *To research and produce four documentaries about the role of science and technology in history for The American Experience* $2,500,000.00 2009	170 (b) (1) (A) (vi)	$0 00	$850,000 00	$0.00
WGBH Educational Foundation	170 (b) (1)	$100,000.00	$100,000.00	$0.00

One Guest Street Boston, MA 02135 *To develop a screenplay about physicist Lise Meitner for a financing package leading to a theatrical feature film and/or a television broadcast* $100,000 00 2010	(A) (vi)			
WGBH Educational Foundation One Guest Street Boston, MA 02135 *To produce 12 scientist profiles for prime time broadcast on Nova scienceNow and to produce and promote 32 additional scientist profiles for the award-winning "The Secret Life of Scientists and Engineers" Web site* $1,700,000.00 2010	170 (b) (1) (A) (vi)	$1,700,000.00	$0.00	$1,700,000.00
Wikimedia Foundation P.O. Box 78350 San Francisco, CA 94107-8350 *To develop Wikimedia's organizational capacity and improve the quality of its flagship Wikipedia along with ancillary educational services* $3,000,000.00 2008	170 (b) (1) (A) (vi)	$0.00	$1,000,000.00	$0.00
WNYC Public Radio 160 Varick Street New York, NY 10013 *For the production and distribution of RadioLab, an innovative science-themed show on public radio* $225,000.00 2009	170 (b) (1) (A) (vi)	$0.00	$225,000.00	$0.00
WNYC Public Radio 160 Varick Street New York, NY 10013 *For production and enhanced distribution of Radiolab, an innovative, popular, science-themed show on public radio* $827,700.00 2010	170 (b) (1) (A) (vi)	$827,700.00	$282,815.00	$544,885.00
WNYC Public Radio 160 Varick Street New York, NY 10013 *To make science and technology a regular, integral feature on Studio 360* $750,000.00 2010	170 (b) (1) (A) (vi)	$750,000.00	$250,000.00	$500,000.00
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 *To identify and start to address risks associated with synthetic biology*	170 (b) (1) (A) (vi)	$0.00	$275,000 00	$0.00

$525,000.00 2008				
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 *To ensure safety in the do-it-yourself biology movement* $74,000.00 2010	170 (b) (1) (A) (vi)	$74,000.00	$74,000.00	$0.00
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 *To enable CrisisCommons to support the CrisisCamp community and advance innovation for crisis management using social media and new technologies* $1,251,200.00 2010	170 (b) (1) (A) (vi)	$1,251,200.00	$751,200.00	$500,000.00
Yale University New Haven, CT 06520 *To explore the microbial ecology of the built environment* $595,000.00 2007	170 (b) (1) (A) (ii)	$0.00	$225,608.00	$0.00
Yale University New Haven, CT 06520 *To support a workshop on the challenges of microbial sampling in the indoor environment, co-sponsored by the National Institute of Standards and Technology (NIST)* $62,675.00 2010	170 (b) (1) (A) (ii)	$62,675.00	$62,675.00	$0.00
Trustee Grants Total		$48,909,754.00	$63,624,336.84	$41,445,198.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorizated During Year	Paid During Year	Approved for Future Payment
American Chemical Society 1155 Sixteenth Street, NW Washington, DC 20036 *To fund a survey of graduate student life and career prospects in the chemical sciences* $63,000.00 2010	509 (a) (2)	$63,000.00	$0.00	$63,000.00
American Indian College Fund 8333 Greenwood Blvd Denver, CO 80221 *To increase the number of faculty at Tribal Colleges and Universities possessing a Ph D in mathematics, natural science, or engineering* $100,000.00	170 (b) (1) (A) (vi)	$100,000.00	$50,000.00	$50,000.00

2010 **American Physical Society** 1 Physics Ellipse College Park, MD 20740 *To fund the Edward A Bouchet Lectureship Award for three years while the American Physical Society raises endowment funding for it* $18,000.00 2010	509 (a) (2)	$18,000.00	$6,000.00	$12,000.00
Arius Association Tafernstrasse 11 Baden CH-5405 Switzerland *To determine the feasibility of establishing multinational working groups that will explore the creation of regional nuclear waste repositories outside of Europe* $73,128.00 2010	Foreign non 501 (c) 3	$73,128.00	$73,128.00	$0.00
The Aspen Institute 271 Madison Avenue, Suite 606 New York, NY 10016 *To help tie off the Foundation's Biosecurity program by providing seed funding for the Bipartisan WMD Terrorism Research Center* $70,000.00 2010	509 (a) (2)	$70,000.00	$70,000.00	$0.00
Association Of American Colleges And Universities 1818 R Street NW Washington, DC 20009 *To convene a board of scholarly advisors who will prepare and disseminate planning papers on the future of Project Kaleidoscope's work on STEM education* $20,000.00 2009	170 (b) (1) (A) (vi	$0.00	$20,000.00	$0.00
Association of Metropolitan Water Agencies 1620 I Street NW, Suite 500 Washington, DC 20006-4027 *To conduct a case study of the May 1, 2010 catastrophic water main break in Boston* $125,000.00 2010	501 (c) (6)	$125,000.00	$125,000.00	$0 00
Bibliotheca Alexandrina P.O. Box 138 Chatby, Alexandria 21526 Egypt *As partial support for the scientific component of a major conference seeking improved U S.-Muslim cooperation* $20,000.00 2010	Foreign equivalent of 501 (c) 3	$20,000.00	$20,000.00	$0.00
Biobricks Foundation Incorporated 955 Massachusetts Avenue, #330	170 (b) (1) (A) (vi	$65,000.00	$65,000 00	$0.00

Cambridge, MA 02139 *To engage the SynBio research community on societal issues by creating an improved Web site* $65,000.00 2010				
Brigham Young University Provo, UT 84602 *For an analytic review of research on aging and work in the academy* $39,926.00 2010	170 (b) (1) (A) (ii)	$39,926.00	$39,926.00	$0 00
University of California, Hastings 200 McAllister Street San Francisco, CA 94102 *To create master's degree programs in science and law via collaboration between the University of California, Hastings School of Law and the University of California, San Francisco* $45,000.00 2010	170 (b) (1) (A) (ii)	$45,000.00	$45,000.00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *To support a two-day workshop on data visualization, imaging, and repositories for the Indoor Environment Program* $35,186.00 2010	170 (b) (1) (A) (ii)	$35,186.00	$35,186.00	$0.00
Research Foundation of the City University of New York 230 West 41st Street New York, NY 10036 *To develop PSM programs that would provide a quality professionally-targeted education to significant numbers of talented students in STEM fields, particularly from underrepresented groups, and to enhance the economic impact of CUNY by responding to the w* $85,045.00 2010	170 (b) (1) (A) (vi	$85,045.00	$85,045.00	$0.00
Clean Air Task Force, Inc. 18 Tremont Street, Suite 530 Boston, MA 02108 *To plan a full scale study of US energy efficiency potential on the building and industrial sector* $10,000.00 2010	509 (a) (2)	$10,000.00	$10,000.00	$0.00
Cold Spring Harbor Laboratory Post Office Box 534 Cold Spring Harbor, NY 11724-0534 *To provide partial support for a New Faculty Investigator Conference* $20,000.00 2010	170 (b) (1) (A) (vi	$20,000.00	$20,000.00	$0.00
The Trustees of Columbia University in the	170 (b) (1) (A) (ii)	$124,338.00	$124,338.00	$0.00

City of New York New York, NY 10027 *To document and build on the history of the Science Honors program* $124,338.00 2010				
Community Indicators Consortium Incorporated Box 8222 Lynn, MA 01904 *To promote citizen-based and citizen-informed government performance measurement and reporting by creating stronger linkages between community indicator projects and government performance measurement* $120,400.00 2008	170 (b) (1) (A) (vi	$0.00	$59,400 00	$0 00
Consortium for Mathematics and Its Applications (COMAP) Inc. 57 Bedford Street Suite 210 Lexington, MA 02173 *To survey, inform, and plan improvements in education about finance and decision making* $19,580.00 2009	170 (b) (1) (A) (vi	$0.00	$19,580.00	$0.00
Eclipse TV Production 100 Huguenot Ave, Apt 6A Englewood, NJ 07631 *To prepare video footage of the Census of Marine Life for current and archival uses* $110,000.00 2008	For Profit Entity	$0.00	$20,000.00	$0.00
Families and Work Institute, Inc. 267 Fifth Avenue - 2nd Floor New York, NY 10016 *To support research reports on workplace flexibility to be released nationwide* $50,000.00 2010	170 (b) (1) (A) (vi	$50,000.00	$50,000.00	$0.00
Georgetown University 37th & O Street, NW Washington, DC 20057 *To determine the feasibility of a new self-supporting organization directed to significantly increasing workplace flexibility in the United States* $124,900.00 2010	170 (b) (1) (A) (ii)	$124,900.00	$124,900 00	$0.00
GuideStar USA, Inc. 4801 Courthouse Street, Suite 220 Williamsburg, VA 23188 *To support completion of the IRS Form 990 Project* $5,000 00 2010	170 (b) (1) (A) (vi	$5,000.00	$5,000.00	$0.00
President and Fellows of Harvard College	170 (b) (1) (A) (ii)	$19,575 00	$19,575.00	$0 00

Cambridge, MA 02138 *To study career choice in terms of the benefits to society and tax costs to individuals* $19,575.00 2010				
President and Fellows of Harvard College Cambridge, MA 02138 *To hold a two-day conference on creating a National Digital Library* $36,288.00 2010	170 (b) (1) (A) (ii)	$36,288.00	$36,288.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *To build on the momentum from the Radcliffe conference and develop the Digital Public Library of America through a series of workshops and meetings* $125,000.00 2010	170 (b) (1) (A) (ii)	$125,000.00	$0.00	$125,000.00
President and Fellows of Harvard College Cambridge, MA 02138 *To advance understanding of market-based approaches to environmental protection by examining the legacy of the Clean Air Act Amendments of 1990 by means of a two day workshop and report* $89,570.00 2010	170 (b) (1) (A) (ii)	$89,570.00	$0.00	$89,570 00
Industry Studies Association Pittsburgh, PA 15260 *To support and institutionalize a working relationship between INFORMS and the Industry Studies Association through the award of an Industry Studies Best Paper Prize at INFORMS annual conferences* $13,150.00 2009	170 (b) (1) (A) (vi	$0.00	$6,575.00	$6,575.00
Institute for Operations Research and the Management Sciences 7240 Parkway Drive, Suite 300 Hanover, MD 21076 *To support and institutionalize a working relationship between INFORMS and the Industry Studies Association through formal academic panels at INFORMS Practitioner conferences* $84,378.00 2009	509 (a) (2)	$0.00	$42,189.00	$42,189 00
Labor Project for Working Families 2521 Channing Way #5555 Berkeley, CA 94720 *To document and disseminate lessons learned in implementing paid leave at the state level and flexible work arrangements in unionized workplaces* $19,647 00 2010	170 (b) (1) (A) (vi	$19,647.00	$19,647 00	$0.00
Landcare Research New Zealand Limited	Foreign For Profit	$116,260 00	$116,260.00	$0.00

PO Box 40 Gerald Street Lincoln 7640 Canterbury New Zealand *To identify and disseminate lessons learned about the organization and conduct of international scientific programs from the Census of Marine Life* $116,260.00 2010				
University of Maryland, College Park College Park, MD 20742 *To hold a workshop on "Frameworks for Systemic Risk Monitoring"* $20,000.00 2010	170 (b) (1) (A) (iii	$20,000.00	$20,000 00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *To prepare and post a comprehensive data base for studying the effects of rent control* $20,000 00 2009	170 (b) (1) (A) (ii)	$0.00	$20,000.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *To investigate gender gaps and achievement gaps across schools among high-achieving mathematics students* $108,425.00 2010	170 (b) (1) (A) (ii)	$108,425.00	$108,425.00	$0 00
The Regents of the University of Michigan Ann Arbor, MI 48109-1274 *Support for beginning a Longitudinal Study of Early-Career Scientists' Professional Accomplishments and Personal Lives* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
The Regents of the University of Michigan Ann Arbor, MI 48109-1274 *Research on effects of highly-skilled immigrants on US science and engineering labor markets* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
Curators of The University of Missouri 105 Jesse Hall Columbia, MO 65211 *For the Committee of Concerned Journalists to host an inaugural meeting and produce a white paper on shortcomings in media coverage of the recession and to suggest ways for greater depth of coverage for financial issues* $25,000 00 2010	170 (b) (1) (A) (iv	$25,000.00	$25,000.00	$0 00
Mongolian American Scientific Research Center	Foreign non - 501 (c) (3)	$75,000 00	$0.00	$75,000.00

Chingeltei District, Baga toiruu 3/9, 1st khoroo Codem (G-Mobile) Office Building, Suite 308 Ulaanbaatar Mongolia *To fund a conference on fresh and spent fuel management and regional nuclear cooperation in North East Asia* $75,000.00 2010				
Montana Tech of the University of Montana 1300 West Park Street Butte, MT 59701-8997 *To fund for an additional three years the recruitment and retention portion of the Sloan Indigenous Graduate Partnership at Montana Tech* $41,489.00 2010	170 (b) (1) (A) (ii)	$41,489.00	$0.00	$41,489.00
University of Montana Missoula, MT 59812 *To fund an additional three years of the non-scholarship component of the Sloan Indigenous Graduate Partnership at the University of Montana* $87,300.00 2010	170 (b) (1) (A) (iv	$87,300.00	$0.00	$87,300.00
National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 *To support a planning meeting of delegates and staff from the science and engineering academies of the U.S., U.K., and China to organize three symposia on synthetic biology* $23,585.00 2009	170 (b) (1) (A) (vi	$0.00	$23,585.00	$0.00
National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 *To develop a web site for Science and Entertainment Exchange* $45,000 00 2009	170 (b) (1) (A) (vi	$0.00	$45,000.00	$0.00
National Action Council for Minorities in Engineering, Inc. 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 *To provide controlled online access to National Action Council for Minorities in Engineering's (NACME) Sloan Scholar database* $50,536.00 2009	170 (b) (1) (A) (vi	$0 00	$50,536.00	$0.00
National Bureau of Economic Research, Inc. 1050 Massachusetts Avenue	170 (b) (1) (A) (vi	$0 00	$20,000 00	$0.00

Cambridge, MA 02138-5398 *To develop a research program and data survey on the economics of the copyright system* $20,000 00 2009				
National Opinion Research Center 1155 East 60th Street Chicago, IL 60637 *To prepare an excellent proposal for a comprehensive, retrospective evaluation of nine minority or diversity scholarship programs of eight foundations and government agencies* $63,767.00 2010	170 (b) (1) (A) (vi	$63,767.00	$63,767.00	$0.00
National Opinion Research Center 1155 East 60th Street Chicago, IL 60637 *To complete preparation of an excellent proposal for a comprehensive, retrospective evaluation of nine minority or diversity scholarship programs of eight foundations and government agencies* $52,650.00 2010	170 (b) (1) (A) (vi	$52,650.00	$0.00	$52,650.00
National Postdoctoral Association 1200 New York Avenue, NW Suite 635 Washington, DC 20005 *To develop a self-sustaining online resource to help prospective graduate students and postdocs find programs suited to their educational goals, drawing primarily upon pending the NRC assessment of graduate programs and on other relevant data sources* $125,000.00 2010	170 (b) (1) (A) (vi	$125,000.00	$100,000.00	$25,000.00
Research Foundation of the State University of New York / Neil D. Levin Institute SUNY Global Center 116 East 55th Street NY 10022 *On behalf of the Neil D Levine Institute for support for Innovate New York· Media and Communications* $80,000.00 2010	170 (b) (1) (A) (vi	$80,000.00	$40,000.00	$40,000.00
North Carolina Agricultural and Technical State University 1601 East Market Street Suite 305, Dowdy Administration Building Greensboro, NC 27411 *To enable graduate students in North Carolina A&T's Industrial and Systems Engineering Department who have come from HBCUs to have a summer research*	170 (b) (1) (A) (ii)	$50,000.00	$0.00	$50,000.00

experience at a majority university or government laboratory $50,000.00 2010				
University of Oregon Eugene, OR 97403-1226 *To conduct a case study of a health care facility examining the role of natural vs. mechanical ventilation on indoor air microbial diversity and abundance* $119,948.00 2009	170 (b) (1) (A) (ii)	$0.00	$119,948.00	$0.00
Public Technology, Inc. 1301 Pennsylvania Avenue Suite 830 Washington, DC 20004 *To promote the use of Web 2 0 tools to encourage government transparency and citizen engagement* $56,523.00 2010	170 (b) (1) (A) (vi	$56,523 00	$56,523.00	$0.00
Regis University 3333 Regis Boulevard, A-20 Denver, CO 80221-1099 *Support to create two blended Master's Degree Programs in the Denver region* $125,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$85,000.00	$0.00
The Science Festival Foundation 230 West 41 Street Suite 1600 New York, NY 10036 *To develop an educational outreach initiative for the World Science Festival* $45,000.00 2009	170 (b) (1) (A) (vi	$0 00	$45,000.00	$0.00
The Science Festival Foundation 230 West 41 Street Suite 1600 New York, NY 10036 *To support Icarus at the Edge of Time, a new multimedia performance piece that dramatizes ideas about time, black holes and relativity* $50,000.00 2010	170 (b) (1) (A) (vi	$50,000.00	$50,000.00	$0.00
Scientific Committee on Oceanic Research University of Delaware Robinson Hall Newark, DE 19716 *To explore the value and feasibility of a global experiment in which human additions of noise to the oceans would be vastly reduced for several hours* $63,625.00 2009	509 (a) (2)	$0.00	$63,625.00	$0.00
South Shore Educational Collaborative 90 Industrial Park Road Hingham, MA 02043	170 (b) (1) (A) (ii)	$60,000.00	$60,000 00	$0.00

To add new features to the Career Cornerstone Web site $60,000.00 2010				
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *To study the effects of socioeconomic status, institutional selectivity, major, and unemployment and salary trends on engineering students' post-graduation plans* $44,575.00 2010	170 (b) (1) (A) (ii)	$44,575.00	$44,575.00	$0.00
Syracuse University Syracuse, NY 13244 *Planning support to develop an approach to assessing points of value-capture in land-based, electricity-generating wind-mills* $20,000.00 2009	170 (b) (1) (A) (ii)	$0 00	$20,000.00	$0.00
Texas A & M University-Corpus Christi Foundation Inc 6300 Ocean Drive Corpus Christi, TX 78412-5869 *To create tools to lift the value of open access databases about the marine biodiversity of the Gulf of Mexico* $100,000.00 2010	170 (b) (1) (A) (vi	$100,000.00	$100,000.00	$0.00
University of Texas, Austin Austin, TX 78712 *To explore the opportunities and obstacles to the growth of natural gas as a primary energy source* $125,000.00 2010	170 (b) (1) (A) (ii)	$125,000.00	$125,000.00	$0.00
University of Texas, Austin Austin, TX 78712 *To investigate the microbial communities of retail stores* $124,158.00 2010	170 (b) (1) (A) (ii)	$124,158.00	$124,158 00	$0.00
Thurgood Marshall College Fund 80 Maiden Lane, Suite 2204 New York, NY 10038 *To plan the launching of a program through which Thurgood Marshall College Fund universities would collect and analyze data on student retention and migration in STEM disciplines* $34,750.00 2009	170 (b) (1) (A) (vi	$0.00	$34,750.00	$0.00
University of Washington Seattle, WA 98195 *To partially fund a meeting of engineering deans who participated in the Project to Assess Climate in Engineering*	170 (b) (1) (A) (ii)	$6,800.00	$6,800.00	$0 00

$6,800.00 2010				
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 *To support infrastructure and business planning activities of CrisisCommons* $124,968.00 2010	170 (b) (1) (A) (vi	$124,968.00	$124,968.00	$0.00
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 *To provide partial support for a workshop on societal issues arising from synthetic biology, co-sponsored by the U S Department of Energy* $19,799.00 2010	170 (b) (1) (A) (vi	$19,799.00	$19,799.00	$0.00
Yale University New Haven, CT 06520 *To provide partial support for the 2010 Sloan-Swartz Meeting on Computational Neuroscience* $25,047.00 2010	170 (b) (1) (A) (ii)	$25,047.00	$25,047.00	$0.00
Research Foundation of the City University of New York / York College New York, NY *To deepen understanding of the lineages and molecular biology of a family of venomous marine snails of interest for neuronal function and pharmaceutical potential* $124,218.00 2010	170 (b) (1) (A) (vi	$124,218.00	$124,218.00	$0.00
Officer Grants Total		$3,069,582.00	$3,153,761.00	$759,773.00

Sloan Research Fellowships (SRF)

Recipient and/or Purpose	Tax Status	Authorizated During Year	Paid During Year	Approved for Future Payment
Boston College Trustees 140 Commonwealth Avenue Chestnut Hill, MA 02467 *Alfred P. Sloan Research Fellowship for Dr Sara J. Cordes in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
University of British Columbia Vancouver, BC V6T 1Z4 Canada *Alfred P. Sloan Research Fellowship for Dr Omer Angel in Mathematics* $50,000.00 2010	Foreign equivalent of 501 (c) 3	$50,000.00	$50,000.00	$0.00

University of British Columbia Vancouver, BC V6T 1Z4 Canada *Alfred P Sloan Research Fellowship for Dr. Joshua A. Folk in Physics* $50,000.00 2010	Foreign equivalent of 501 (c) 3	$50,000.00	$50,000.00	$0.00
Brown University Providence, RI 02912 *Alfred P. Sloan Research Fellowship for Dr. Benjamin J Raphael in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
California Institute of Technology Pasadena, CA 91125 *Alfred P. Sloan Research Fellowship for Dr Thomas F Miller, III in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0 00
California Institute of Technology Pasadena, CA 91125 *Alfred P. Sloan Research Fellowship for Dr. Joel A Tropp in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P. Sloan Research Fellowship for Dr Thomas L Griffiths in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P. Sloan Research Fellowship for Dr Amy E Herr in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P Sloan Research Fellowship for Dr. Ali Javey in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P. Sloan Research Fellowship for Dr Ulrike Malmendier in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0 00
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P Sloan Research Fellowship for*	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00

Dr Holger Mueller in Physics $50,000.00 2010				
Regents of The University of California at Berkeley Berkeley, CA 94720 *Alfred P Sloan Research Fellowship for Dr. Adam Szeidl in Economics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Irvine Irvine, CA 92717 *Alfred P. Sloan Research Fellowship for Dr Christopher D. Vanderwal in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Irvine Irvine, CA 92717 *Alfred P Sloan Research Fellowship for Dr Daniel Whiteson in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *Alfred P. Sloan Research Fellowship fr Dr. Steven R. Furlanetto in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *Alfred P. Sloan Research Fellowship for Dr. Yu Huang in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *Alfred P. Sloan Research Fellowship for Dr. Rupak Majumdar in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 *Alfred P Sloan Research Fellowship for Dr Monica Visan in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
The Regents of the University of California, Los Angeles	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00

405 Hilgard Avenue Los Angeles, CA 90095 *Alfred P Sloan Research Fellowship for Dr Xinshu Grance Xiao in Computational and Evolutionary Molecular Biology* $50,000.00 2010				
The Regents of the University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093 *Alfred P Sloan Research Fellowship for Dr. Alison L. Coil in Physics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093 *Alfred P Sloan Research Fellowship for Dr F. Akif Tezcan in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Santa Barbara Santa Barbara, CA 93106 *Alfred P Sloan Research Fellowship for Dr. Trevor W Hayton in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of California, Santa Cruz Santa Cruz, CA 95064 *Alfred P. Sloan Research Fellowship for Dr Jonathan Fortney in Physics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890 *Alfred P Sloan Research Fellowship for Dr. Jason I Hong in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *Alfred P Sloan Research Fellowship for Dr Mathias Drton in Mathematics* $50,000 00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000 00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *Alfred P. Sloan Research Fellowship for Dr Matthew Gentzkow in Economics* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
The University of Chicago	170 (b) (1)	$0.00	$50,000 00	$0.00

5801 South Ellis Avenue Chicago, IL 60637 *Alfred P. Sloan Research Fellowship for Dr. Luis E. Silvestre in Mathematics* $50,000.00 2009	(A) (ii)			
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *Alfred P. Sloan Research Fellowship for Dr Dmitri Talapin in Chemistry* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *Alfred P. Sloan Research Fellowship for Dr David J Freedman in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 *Alfred P Sloan Research Fellowship for Dr. Leslie Osborne in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Cincinnati Cincinnati, OH 45221 *Alfred P. Sloan Research Fellowship for Dr Chiou-Fen Chuang in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *Alfred P Sloan Research Fellowship for Dr Amy E Palmer in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Regents of the University of Colorado 3100 Marine Street, Room 479 572 UCB Boulder, CO 80309-0572 *Alfred P Sloan Research Fellowship for Dr J Mathias Weber in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Columbia University in the City of New York New York, NY 10027 *Alfred P Sloan Research Fellowship for Dr Eitan Grinspun in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Columbia University in the City of New York New York, NY 10027	170 (b) (1) (A) (ii)	$50,000 00	$50,000.00	$0.00

Alfred P. Sloan Research Fellowship for Dr Navin Kartik in Economics $50,000.00 2010				
The Trustees of Columbia University in the City of New York New York, NY 10027 *Alfred P Sloan Research Fellowship for Dr. Tristan Lambert in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000 00	$50,000 00	$0.00
The Trustees of Columbia University in the City of New York New York, NY 10027 *Alfred P. Sloan Research Fellowship for Dr Scott A. Snyder in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Columbia University in the City of New York New York, NY 10027 *Alfred P. Sloan Research Fellowship for Dr. Tanya Zelevinsky in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Cornell University Ithaca, NY 14853 *Alfred P. Sloan Research Fellowship for Dr. David S Bindel in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Cornell University Ithaca, NY 14853 *Alfred P. Sloan Research Fellowship for Dr. Jiwoong Park in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Duke University Durham, NC 27708 *Alfred P. Sloan Research Fellowship for Dr Cagla Eroglu in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Duke University Durham, NC 27708 *Alfred P Sloan Research Fellowship for Dr Chay T Kuo in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Georgia Tech Research Corporation Atlanta, GA 30332 *Alfred P. Sloan Research Fellowship for Dr C. Karen Liu in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Georgia Tech Research Corporation Atlanta, GA 30332 *Alfred P. Sloan Research Fellowship for Dr Maria G Westdickenberg in*	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00

Mathematics $50,000.00 2010				
Georgia Tech Research Corporation Atlanta, GA 30332 *Transfer of Alfred P Sloan Research Fellowship for Dr Dan Margalit in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowship for Dr. Sanjeep R Datta in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowhip for Dr. Peter J Park in Compuational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowship for Dr. Emmanuel Farhi in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowship for Dr Jennifer E Hoffman in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowship for Dr Marco Loncar in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P Sloan Research Fellowship for Dr Alkes L Price in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
President and Fellows of Harvard College Cambridge, MA 02138 *Alfred P. Sloan Research Fellowship for Dr Tobias Ritter in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Board of Trustees of the University of Illinois 601 South Morgan Street Chicago, IL 60607 *Alfred P Sloan Research Fellowship for*	170 (b) (1) (A) (ii)	$0 00	$50,000.00	$0.00

Dr Alina Marian in Mathematics $50,000.00 2009				
The Board of Trustees of the University of Illinois 601 East John Street Champaign, IL 61820 *Alfred P Sloan Research Fellowship for Dr. Yann Robert Chemla in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Board of Trustees of the University of Illinois 601 East John Street Champaign, IL 61820 *Alfred P. Sloan Research Fellowship for Dr. Karrie Kahalios in Computer Science* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Indiana University Bloomington, IN 47405 *Alfred P. Sloan Research Fellowship for Dr. Matthew Hahn in Computational and Evolutionary Molecular Biology* $50,000.00 2010	509 (a) (2)	$50,000 00	$50,000.00	$0.00
Iowa State University Ames, IA 50011 *Alfred P. Sloan Research Fellowship for Dr. Aaron D Sadow in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Iowa Iowa City, IA 52242-1316 *Alfred P. Sloan Research Fellowship for Dr. Xiaoyi Zhang in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Maryland, College Park College Park, MD 20742 *Alfred P. Sloan Research Fellowship for Dr Patrick Kanold in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (iii)	$50,000.00	$50,000.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P. Sloan Research Fellowship for Dr. Mikhail Golosov in Economics* $7,616.16 2008	170 (b) (1) (A) (ii)	$0.00	-$ 0.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P Sloan Research Fellowship for Dr. Arnaud Costinot in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0 00
Massachusetts Institute of Technology	170 (b) (1)	$50,000 00	$50,000.00	$0.00

77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P. Sloan Research Fellowship for Dr Constantinos Daskalakis in Computer Science* $50,000.00 2010	(A) (ii)			
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P. Sloan Research Fellowship for Dr Jeffrey C. Grossman in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P Sloan Research Fellowship for Dr. Jonathan Kelner in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 *Alfred P. Sloan Research Fellowship for Dr Nickolai Zeldovich in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Board of Trustees of the University of Massachusetts at Amherst 140 HIcks Way Amherst, MA 01003 *Alfred P. Sloan Research Fellowship for Dr. Alexei Oblomkov in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Royal Institution for the Advancement of Learning - McGill University 845 Sherbrooke Street West Suite 531 Montreal, QC H3A 2T5 Canada *Alfred P Sloan Research Fellowship for Dr. Matt Dobbs in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Medical College of Georgia Research Institute, Inc. CJ-3301 Augusta, GA 30912-4810 *Alfred P Sloan Research Fellowship for Dr He Cui in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (vi)	$50,000 00	$50,000 00	$0.00
The Regents of the University of Michigan Ann Arbor, MI 48109-1274 *Alfred P. Sloan Research Fellowship for Dr. Asim Beg in Neuroscience*	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00

$50,000.00 2010				
The Regents of the University of Michigan Ann Arbor, MI 48109-1274 *Alfred P Sloan Research Fellowship for* *Dr Aaron E Leanhardt in Physics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0 00
The Regents of the University of Minnesota 200 Oak Street SE Minneapolis, MN 55455 *Alfred P Sloan Research Fellowship for* *Dr Christy L Haynes in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
The Regents of the University of Minnesota 200 Oak Street SE Minneapolis, MN 55455 *Alfred P. Sloan Research Fellowship for* *Dr. Tyler Lawson in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
New York University 70 Washington Square North New York, NY 10012-1019 *Alfred P. Sloan Research Fellowship for* *Dr. Mark Tygert in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Northwestern University 633 Clark Street Evanston, IL 60208 *Alfred P. Sloan Research Fellowship for* *Dr Francesco Calegari in Mathematics* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
Northwestern University 633 Clark Street Evanston, IL 60208 *Alfred P. Sloan Research Fellowship for* *Dr. Brian Odom in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Northwestern University 633 Clark Street Evanston, IL 60208 *Alfred P. Sloan Research Fellowship for* *Dr. Giorgio E. Primiceri in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Ohio State University 1960 Kenny Road Columbus, OH 43210 *Alfred P. Sloan Research Fellowship for* *Dr. Janet Best in Mathematics* $50,000.00 2010	170 (b) (1) (A) (vi)	$50,000.00	$50,000 00	$0.00
Ohio State University 1960 Kenny Road	170 (b) (1) (A) (vi)	$50,000.00	$50,000.00	$0.00

Columbus, OH 43210 *Alfred P. Sloan Research Fellowship for* *Dr John M. Herbert in Chemistry* $50,000.00 2010				
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *Alfred P. Sloan Research Fellowship for* *Dr. Iwan Barankay in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000 00	$50,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *Alfred P. Sloan Research Fellowship for* *Dr. Justin Khoury in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *Alfred P. Sloan Research Fellowship for* *Dr Elliot Lipeles in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *Alfred P. Sloan Research Fellowship for* *Dr. Nicole Rust in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Trustees of the University of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 *Alfred P. Sloan Research Fellowship for* *Dr Ben Taskar in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
University of Pittsburgh 350 Thackeray Hall Pittsburgh, PA 15213 *Alfred P. Sloan Research Fellowship for* *Dr. Brent Doiron in Neuroscience* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0 00
University of Pittsburgh 350 Thackeray Hall Pittsburgh, PA 15213 *Alfred P Sloan Research Fellowship for* *Dr Gurudev Dutt in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00

The Trustees of Princeton University Princeton, NJ 08544 *Alfred P. Sloan Research Fellowship for Dr. Alexandre Mas in Economics* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000 00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P. Sloan Research Fellowship for Dr. David M. Blei in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P Sloan Research Fellowship for Dr. Christopher P. Herzog in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P Sloan Research Fellowship for Dr Adam C. Maloof in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P Sloan Research Fellowship for Dr Celeste M. Nelson in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P Sloan Research Fellowship for Dr Yael Niv in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P Sloan Research Fellowship for Dr Yuliy V Sannikov in Economics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Trustees of Princeton University Princeton, NJ 08544 *Alfred P. Sloan Research Fellowship for Dr Amit Singer in Mathematics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000 00	$50,000.00	$0.00
Purdue University West Lafayette, IN 47907 *Alfred P Sloan Research Fellowship for Dr. Svitlana Mayboroda in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Research Foundation of the City University of New York / Lehman College 250 Bedford Park Blvd W. Shuster Hall 318	170 (b) (1) (A) (vi)	$50,000.00	$50,000.00	$0.00

Bronx, NY 10468 *Alfred P Sloan Research Fellowship for Dr. Jason Behrstock in Mathematics* $50,000.00 2010				
Rice University 6100 Main Street Houston, TX 77251 *Alfred P Sloan Research Fellowship for Dr. Alexander Bufetov in Mathematics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00
Rice University 6100 Main Street Houston, TX 77251 *Alfred P. Sloan Research Fellowship for Dr Adilet Imambekov in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Rice University 6100 Main Street Houston, TX 77251 *Alfred P Sloan Research Fellowship for Dr Luah K Nakhleh in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Simon Fraser University 8888 University Drive Burnaby, BC V5A 1S6 Canada *Alfred P Sloan Research Fellowship for Dr Matthew DeVos in Mathematics* $50,000.00 2010	Foreign equivalent of 501 (c) 3	$50,000 00	$50,000.00	$0.00
University of Southern California University Park Los Angeles, CA 90089 *Alfred P. Sloan Research Fellowship for Dr. Frank Alber in Computational and Evolutionary Molecular Biology* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
University of Southern California University Park Los Angeles, CA 90089 *Alfred P Sloan Research Fellowship for Dr. David Kempe in Computer Science* $50,000.00 2009	170 (b) (1) (A) (ii)	$0.00	$50,000.00	$0.00
Southern Methodist University Dallas, TX 75275 *Alfred P Sloan Research Fellowship for Dr. Brent S Sumerlin in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305	170 (b) (1) (A) (ii)	$50,000.00	$50,000 00	$0.00

Alfred P Sloan Research Fellowship for Dr Yi Cui in Chemistry $50,000.00 2010				
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *Alfred P. Sloan Research Fellowship for Dr. Hunter Fraser in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *Alfred P Sloan Research Fellowship for Dr. Xiao-Liang Qi in Physics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *Alfred P Sloan Research Fellowship for Dr Amin Saberi in Computer Science* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Board of Trustees of the Leland Stanford Junior University Stanford, CA 94305 *Alfred P. Sloan Research Fellowship for Dr Jacob G Wacker in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
The Research Foundation of State University of New York / Stony Brook Stony Brook, NY 11794 *Alfred P. Sloan Research Fellowship for Dr Radu Laza in Mathematics* $50,000.00 2010	170 (b) (1) (A) (vi)	$50,000.00	$50,000.00	$0.00
The Research Foundation of State University of New York / Stony Brook Stony Brook, NY 11794 *Alfred P Sloan Research Fellowship for Dr. Raanan Schul in Mathematics* $50,000 00 2010	170 (b) (1) (A) (vi)	$50,000.00	$50,000.00	$0.00
University of Texas, Austin Austin, TX 78712 *Alfred P Sloan Research Fellowship for Dr. Brent Waters in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0 00
University of Toronto Toronto, ON M5S 1A1 Canada *Alfred P Sloan Research Fellowship for Dr Spyros Alexakis in Mathematics* $50,000.00	Foreign equivalent of 501 (c) 3	$50,000 00	$50,000.00	$0.00

2010 **University of Toronto** Toronto, ON M5S 1A1 Canada *Alfred P. Sloan Research Fellowship for Dr Michael Brudno in Computational and Evolutionary Molecular Biology* $50,000.00 2010	Foreign equivalent of 501 (c) 3	$50,000.00	$50,000 00	$0.00
University of Toronto Toronto, ON M5S 1A1 Canada *Alfred P Sloan Research Fellowship for Dr. Larry Guth in Mathematics* $50,000.00 2010	Foreign equivalent of 501 (c) 3	$50,000.00	$50,000.00	$0.00
University of Toronto Toronto, ON M5S 1A1 Canada *Alfred P Sloan Research Fellowship for Dr. Dvira Segal in Chemistry* $50,000.00 2010	Foreign Educational Inst.	$50,000 00	$50,000.00	$0.00
University of Toronto Toronto, ON M5S 1A1 Canada *Alfred P. Sloan Research Fellowship for Dr. Balázs Szegedy in Mathematics* $50,000.00 2010	Foreign Educational Inst.	$50,000.00	$50,000 00	$0.00
Trustees of Tufts University Medford, MA 02155 *Alfred P Sloan Research Fellowship for Dr. Dan Margalit in Mathematics* $0.00 2009	170 (b) (1) (A) (ii)	$0.00	-$ 0 00	$0.00
University of Florida Gainesville, FL 32611 *Alfred P. Sloan Research Fellowship for Dr Adam S Veige in Chemistry* $50,000.00 2010	170 (b) (1) (A) (vi)	$50,000.00	$50,000.00	$0.00
University of Kansas Center for Research, Inc. 2385 Irving Hill Road Lawrence, KS 66045-7563 *Alfred P Sloan Research Fellowship for Dr. John Karanicolas in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (iv)	$50,000.00	$50,000.00	$0 00
University of North Carolina, Chapel Hill Chapel Hill, NC 27514 *Alfred P Sloan Research Fellowship for Dr Derek Chiang in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Vanderbilt University	170 (b) (1)	$50,000.00	$50,000 00	$0.00

Nashville, TN 37240 *Alfred P. Sloan Research Fellowship for Dr. Andreas A. Berlind in Physics* $50,000.00 2010	(A) (ii)			
Vanderbilt University Nashville, TN 37240 *Alfred P. Sloan Research Fellowship for Dr. Marylyn D Ritchie in Computational and Evolutionary Molecular Biology* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Virginia 914 Emmet St., N Charlottesville, VA 22903 *Alfred P. Sloan Research Fellowship for Dr. Christopher Deppmann in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Washington University in St. Louis One Brookings Drive St. Louis, MO 63130-4899 *Alfred P. Sloan Research Fellowship for Dr. Daniel Kerscensteiner in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Washington Seattle, WA 98195 *Alfred P. Sloan Research Fellowship for Dr. Luis Ceze in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Washington Seattle, WA 98195 *Alfred P Sloan Research Fellowship for Dr Max Lieblich in Mathematics* $50,000 00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Washington Seattle, WA 98195 *Alfred P. Sloan Research Fellowship for Dr Christine K. Luscombe in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Wisconsin, Madison 500 Lincoln Drive Madison, WI 53706-1380 *Alfred P. Sloan Research Fellowship for Dr. Douglas B Weibel in Chemistry* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
University of Wisconsin, Madison 500 Lincoln Drive Madison, WI 53706-1380 *Alfred P. Sloan Research Fellowship for Dr. Li Zhang in Computer Science* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00

Yale University New Haven, CT 06520 *Alfred P Sloan Research Fellowship for Dr. Daniel A Colón-Ramos in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000 00	$50,000.00	$0.00
Yale University New Haven, CT 06520 *Alfred P Sloan Research Fellowship for Dr Marla Geha in Physics* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Yale University New Haven, CT 06520 *Transfer of Alfred P Sloan Research Fellowship for Dr. Mikhail Golosov in Economics* $42,383.84 2010	170 (b) (1) (A) (ii)	$42,383.84	$42,383.84	$0.00
York University North York, ON M3J 1P3 Canada *Alfred P Sloan Research Fellowship for Dr R. Shayna Rosenbaum in Neuroscience* $50,000.00 2010	170 (b) (1) (A) (ii)	$50,000.00	$50,000.00	$0.00
Sloan Research Fellowships Total		$5,992,383.84	$6,492,383.84	$ 0.00
Grand Total			**$73,270,481.68**	**$42,204,971.00**