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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation DAVE RICKEY & DAUGHTERS FOUNDATION		A Employer identification number 11-6574096
Number and street (or P O box number if mail is not delivered to street address) 15629 BOULDER MOUNTAIN ROAD	Room/suite	B Telephone number (615) 377-4600
City or town, state, and ZIP code POWAY, CA 92064		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,532,807.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,308.	6,308.		STATEMENT 1
	4 Dividends and interest from securities	293,401.	293,401.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	627,796.			
	b Gross sales price for all assets on line 6a	8,235,472.			
	7 Capital gain net income (from Part IV, line 2)		627,796.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	84,753.	0.		STATEMENT 3	
12 Total. Add lines 1 through 11	1,012,258.	927,505.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	48,672.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	20,000.	0.		20,000.
	b Accounting fees STMT 5	8,100.	0.		8,100.
	c Other professional fees STMT 6	117,874.	117,874.		0.
	17 Interest				
	18 Taxes STMT 7	10,700.	0.		10,699.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 8	120.	0.		120.
	24 Total operating and administrative expenses. Add lines 13 through 23	205,466.	117,874.		38,919.
	25 Contributions, gifts, grants paid	900,000.			900,000.
26 Total expenses and disbursements. Add lines 24 and 25	1,105,466.	117,874.		938,919.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<93,208.>				
b Net investment income (if negative, enter -0-)		809,631.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	1,467,617.	600,123.	600,123.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other STMT 9	9,223,616.	10,006,741.	11,876,309.		
14 Land, buildings, and equipment: basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ ARTWORK)	56,375.	56,375.	56,375.		
16 Total assets (to be completed by all filers)	10,747,608.	10,663,239.	12,532,807.		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	10,747,608.	10,663,239.		
	30 Total net assets or fund balances	10,747,608.	10,663,239.		
	31 Total liabilities and net assets/fund balances	10,747,608.	10,663,239.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,747,608.
2 Enter amount from Part I, line 27a	2	<93,208.>
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS	3	8,839.
4 Add lines 1, 2, and 3	4	10,663,239.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,663,239.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 8,235,472.		7,607,676.	627,796.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			627,796.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	627,796.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,787,119.	11,345,103.	.157523
2008	3,778,477.	16,329,856.	.231385
2007	1,373,762.	21,748,865.	.063165
2006	1,063,683.	20,695,669.	.051396
2005	1,420,526.	19,327,199.	.073499

2 Total of line 1, column (d)	2	.576968
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.115394
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	11,781,677.
5 Multiply line 4 by line 3	5	1,359,535.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,096.
7 Add lines 5 and 6	7	1,367,631.
8 Enter qualifying distributions from Part XII, line 4	8	938,919.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	16,193.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	16,193.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	16,193.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	26,105.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	26,105.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,912.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>LATTIMORE, BLACK, MORGAN, & CAIN PC</u> Telephone no. ► <u>(615) 377-4600</u> Located at ► <u>PO BOX 1869 5250 VIRGINIA WAY, BRENTWOOD, TN</u> ZIP+4 ► <u>37024-1869</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	<u>N/A</u>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID M. RICKEY 15629 BOULDER MOUNTAIN ROAD POWAY, CA 92064	PRESIDENT/DIRECTOR 5.00	27,612.	0.	0.
BRENDA SJODIN RICKEY 15629 BOULDER MOUNTAIN ROAD POWAY, CA 92064	DIRECTOR 5.00	21,060.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,837,121.
b	Average of monthly cash balances	1b	1,123,972.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,961,093.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,961,093.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	179,416.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,781,677.
6	Minimum investment return. Enter 5% of line 5	6	589,084.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	589,084.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	16,193.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,193.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	572,891.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	572,891.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	572,891.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	938,919.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	938,919.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	938,919.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				572,891.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	470,512.			
b From 2006	53,544.			
c From 2007	319,165.			
d From 2008	2,970,656.			
e From 2009	1,224,742.			
f Total of lines 3a through e	5,038,619.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$	938,919.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				572,891.
e Remaining amount distributed out of corpus	366,028.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	5,404,647.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	470,512.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	4,934,135.			
10 Analysis of line 9:				
a Excess from 2006	53,544.			
b Excess from 2007	319,165.			
c Excess from 2008	2,970,656.			
d Excess from 2009	1,224,742.			
e Excess from 2010	366,028.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(i)(3) or 4942(i)(5)

		Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2	a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
	b 85% of line 2a					
	c Qualifying distributions from Part XII, line 4 for each year listed					
	d Amounts included in line 2c not used directly for active conduct of exempt activities					
	e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
	a "Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
	c "Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BRENDA AND DAVE RICKEY FOUNDATION 15629 BOULDER MOUNTAIN ROAD POWAY, CA 920642145	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	825,000.
DIOCESE OF SAN DIEGO 3888 PADUCAH DRIVE SAN DIEGO, CA 92117	NONE	CHURCH	FUNDS FOR DISCRETIONARY USE	75,000.
Total				900,000.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | |
|--|---|--|-----|--------------|--|--------------|--|--------------|--|--------------|--|--------------|--|--------------|--|--------------|--|--------------|--|-----------|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td><td>Yes</td></tr> <tr> <td>1a(1)</td><td></td></tr> <tr> <td>1a(2)</td><td></td></tr> <tr> <td>1b(1)</td><td></td></tr> <tr> <td>1b(2)</td><td></td></tr> <tr> <td>1b(3)</td><td></td></tr> <tr> <td>1b(4)</td><td></td></tr> <tr> <td>1b(5)</td><td></td></tr> <tr> <td>1b(6)</td><td></td></tr> <tr> <td>1c</td><td></td></tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

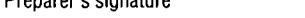
- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here:  Date: 11-12-11 Title: PRESIDENT

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	BRUCE A. BECK		11/9/11		
	Firm's name ▶ LATTIMORE BLACK MORGAN & CAIN, P.C.				Firm's EIN ▶
	Firm's address ▶ P.O. BOX 1869 BRENTWOOD, TN 37024-1869				Phone no. (615) 377-4600

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY 2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL GAINS								
ALLSTATE CORP DEL COM	1545.0000	05/19/09	11/01/10			46,661.30	41,511.21	5,150.09
ALEXANDRIA REAL EST EQTS	170.0000	06/04/09	11/04/10			12,452.52	6,764.82	5,687.70
	70.0000	07/22/09	11/04/10			5,127.52	2,701.25	2,426.27
	480.0000	07/22/09	11/10/10			34,667.31	18,522.87	16,144.44
		Security Subtotal				52,247.35	27,988.94	24,258.41
CMS ENERGY CORP	1350.0000	11/14/08	01/14/10			21,310.56	14,847.84	6,462.72
	150.0000	11/14/08	01/15/10			2,324.97	1,649.76	675.21
	1040.0000	11/14/08	04/16/10			16,338.22	11,438.34	4,899.88
		Security Subtotal				39,973.75	27,935.94	12,037.81
COLGATE PALMOLIVE	230.0000	04/23/08	01/08/10			18,632.97	17,594.41	1,038.56
	40.0000	10/23/08	01/08/10			3,240.52	2,366.06	874.46
	375.0000	10/23/08	01/14/10			30,142.68	22,181.85	7,960.83
	135.0000	10/23/08	01/22/10			10,767.55	7,985.47	2,782.08
		Security Subtotal				62,783.72	50,127.79	12,655.93
GENUINE PARTS CO	590.0000	10/16/08	11/04/10			27,653.77	19,315.95	8,337.82
	580.0000	10/23/08	11/04/10			27,185.07	19,911.40	7,273.67
		Security Subtotal				54,838.84	39,227.35	15,611.49
HSBC HLDG PLC SP ADR	28.0000	04/03/09	05/26/10			1,237.02	909.61	327.41
	62.0000	04/03/09	08/03/10			3,292.69	2,014.16	1,278.53
	415.0000	04/14/09	08/03/10			22,039.83	14,620.45	7,419.38
		Security Subtotal				26,569.54	17,544.22	9,025.32
NORTHEAST UTILITIES COM	46.0000	03/17/09	05/26/10			1,181.72	966.11	215.61
PAYCHEX INC	1000.0000	10/23/08	06/18/10			28,428.83	25,057.80	3,371.03
THOMSON REUTERS CORP	600.0000	02/25/09	08/17/10			21,501.53	14,863.62	6,637.91
	195.0000	02/25/09	08/17/10			6,988.01	4,918.54	2,069.47
	415.0000	02/25/09	09/22/10			15,684.24	10,467.67	5,216.57
	190.0000	02/27/09	09/22/10			7,180.74	4,682.63	2,498.11
		Security Subtotal				51,354.52	34,932.46	16,422.06
UNILEVER NV NY REG SHS	740.0000	10/17/08	03/01/10			22,384.34	18,896.42	3,487.92
	675.0000	10/23/08	03/01/10			20,418.16	16,413.57	4,004.59
		Security Subtotal				42,802.50	35,309.99	7,492.51
		Long Term Capital Gains Subtotal				406,842.07	300,601.81	106,240.26



Account No.
6YJ-04330

Taxpayer No.
11-6574096

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DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL LOSSES								
BCE INC	31,0000	09/23/08	05/26/10			879.15	1,092.23	(213.08)
ENERPLUS RES TR UT NEW	101,0000	02/29/08	05/26/10			2,105.80	4,322.79	(2,216.99)
PAYCHEX INC	340,0000	04/23/08	05/18/10			9,665.79	12,382.29	(2,716.50)
SPECTRA ENERGY CORP	61,0000	04/23/08	05/26/10			1,185.82	1,529.75	(343.93)
WINDSTREAM CORP	78,0000	02/29/08	05/26/10			822.11	918.84	(96.73)
Long Term Capital Losses Subtotal							20,245.90	(5,587.23)
NET LONG TERM CAPITAL GAIN (LOSS)								
						421,500.74	320,847.71	100,653.03
OTHER TRANSACTIONS								
ENERGY TRANSFER PTNRS LP	34,0000	10/20/08	05/26/10			1,467.76	1,246.47	N/C
Other Transactions Subtotal							898.47	569.29
Total L/T						422,968.50	321,746.18	101,222.32



Account No.
6YJ-04324

Taxpayer No.
11-6574096

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DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI GERMANY	384.0000	10/29/09	10/12/10		8,716.92	8,466.74	250.18
	638.0000	07/13/10	10/12/10		14,482.81	12,982.66	1,500.15
	140.0000	09/24/10	10/12/10		3,178.05	3,076.77	101.28
		Security Subtotal			26,377.78	24,526.17	1,851.61
ISHARES MSCI HONG KONG	810.0000	10/12/10	12/09/10		15,527.44	15,339.86	187.58
ISHARES MSCI NETHERLANDS	351.0000	02/19/10	10/12/10		7,268.74	6,743.62	525.12
	410.0000	09/24/10	10/12/10		8,490.55	8,301.52	189.03
		Security Subtotal			15,759.29	15,045.14	714.15
ISHARES MSCI ITALY INDEX	1163.0000	09/24/10	10/12/10		20,137.70	19,384.77	752.93
ISHARES MSCI AUSTRIA	143.0000	02/09/10	09/24/10		2,778.78	2,558.36	120.42
	130.0000	03/10/10	09/24/10		2,526.17	2,459.13	67.04
	11.0000	05/11/10	09/24/10		213.75	199.96	13.79
		Security Subtotal			5,518.70	5,317.45	201.25
ISHARES MSCI JAPAN INDEX	1450.0000	09/24/10	10/12/10		14,659.25	14,307.73	351.52
	80.0000	11/08/10	12/08/10		842.60	827.20	15.40
	40.0000	11/08/10	12/10/10		423.25	413.60	9.65
		Security Subtotal			15,925.10	15,548.53	376.57
ISHARES MSCI SPAIN INDEX	610.0000	09/24/10	10/12/10		25,582.97	25,101.25	481.72
ISHARES MSCI FRANCE INDEX	17.0000	02/09/10	10/12/10		420.84	398.65	22.19
	342.0000	02/19/10	10/12/10		8,466.45	8,060.56	405.89
	4420.0000	09/24/10	10/12/10		109,420.11	106,465.87	2,954.24
		Security Subtotal			118,307.40	114,925.08	3,382.32
ISHARES MSCI TAIWAN	240.0000	09/24/10	12/08/10		3,537.92	3,210.77	327.15
	210.0000	11/08/10	12/08/10		3,095.69	3,009.30	86.39
		Security Subtotal			6,633.61	6,220.07	413.54
ISHARES MSCI SINGAPORE	48.0000	02/03/10	09/24/10		633.64	525.06	108.58
	30.0000	03/10/10	09/24/10		396.02	342.60	53.42
		Security Subtotal			1,029.66	867.66	162.00
ISHARES MSCI UNITED	1083.0000	02/09/10	10/12/10		18,204.92	16,396.62	1,808.30
	2175.0000	02/19/10	10/12/10		36,561.13	33,571.13	2,990.00
	1141.0000	05/13/10	10/12/10		19,179.89	17,089.99	2,089.99
	645.0000	07/13/10	10/12/10		10,842.27	9,590.83	1,251.44
		Security Subtotal			84,788.21	76,648.48	8,139.73

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI SOUTH KOREA	250.0000 60.0000	09/24/10 11/08/10	12/10/10 12/10/10			14,484.79 3,476.35	13,166.85 3,414.60	1,317.94 61.75
		Security Subtotal				17,961.14	16,581.45	1,379.69
POWERSHARES ETF TR	90.0000 70.0000 310.0000	11/08/10 10/12/10 10/12/10	12/08/10 12/08/10 12/13/10			1,195.59 929.90 4,197.33	1,152.90 855.40 3,788.20	42.69 74.50 409.13
		Security Subtotal				6,322.82	5,796.50	526.32
ISHARES BARCLAY 7-10 YR	30.0000 475.0000	03/10/10 03/10/10	10/12/10 12/15/10			2,996.05 44,252.10	2,702.04 42,782.30	294.01 1,469.80
		Security Subtotal				47,248.15	45,484.34	1,763.81
ISHARES IBOX \$	222.0000 48.0000 32.0000 10.0000 452.0000 800.0000 80.0000	05/13/10 03/10/10 05/13/10 09/24/10 03/10/10 03/10/10 12/08/10	07/13/10 10/12/10 10/12/10 10/12/10 12/15/10 12/15/10 12/15/10			23,989.53 5,431.11 3,620.74 1,131.48 48,659.23 86,122.55 8,612.25	23,560.79 5,066.27 3,396.15 1,121.60 47,707.38 84,438.00 8,600.00	428.74 364.84 224.59 9.88 951.85 1,684.55 12.25
		Security Subtotal				177,566.89	173,890.19	3,676.70
ISHARES BARCLYS 1-3 YEAR	772.0000 70.0000 938.0000 255.0000	01/04/10 03/10/10 01/04/10 03/10/10	02/19/10 10/12/10 12/15/10 12/15/10			64,384.44 5,908.60 78,709.06 21,397.46	64,139.15 5,842.02 77,930.73 21,281.64	245.29 66.58 778.33 115.82
		Security Subtotal				170,399.56	169,193.54	1,206.02
ISHARES MSCI SOUTH AFRCA	80.0000 120.0000 20.0000	09/24/10 09/24/10 11/08/10	10/12/10 12/10/10 12/10/10			5,526.31 8,612.25 1,435.38	5,281.15 7,921.73 1,426.80	245.16 690.52 8.58
		Security Subtotal				15,573.94	14,629.68	944.26
ISHARES BARCLAYS TIPS BO	50.0000 675.0000	03/10/10 03/10/10	10/12/10 12/15/10			5,558.41 71,759.39	5,192.47 70,098.35	365.94 1,661.04
		Security Subtotal				77,317.80	75,290.82	2,026.98
VANGUARD FINANCIALS ETF	1080.0000 140.0000	10/12/10 11/08/10	12/10/10 12/10/10			35,003.72 4,537.52	32,830.27 4,466.70	2,173.45 70.82
		Security Subtotal				39,541.24	37,296.97	2,244.27

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD CONSUMER	20.0000	11/08/10	12/08/10		1,474.98	1,470.40	4.58
	250.0000	10/12/10	12/13/10		18,544.69	17,920.00	624.69
	70.0000	11/08/10	12/13/10		5,192.51	5,146.40	46.11
		Security Subtotal			25,212.18	24,536.80	675.38
VANGUARD CONSUMER	60.0000	10/12/10	12/08/10		3,630.94	3,319.80	311.14
	90.0000	11/08/10	12/08/10		5,446.41	5,274.90	171.51
	250.0000	10/12/10	12/10/10		15,231.42	13,832.50	1,398.92
		Security Subtotal			24,308.77	22,427.20	1,881.57
VANGUARD MATERIALS ETF	10.0000	10/12/10	12/08/10		804.08	739.00	65.08
	20.0000	11/08/10	12/08/10		1,608.15	1,579.60	28.55
	160.0000	10/12/10	12/13/10		13,114.53	11,824.00	1,290.53
		Security Subtotal			15,526.76	14,142.60	1,384.16
VANGUARD INFORMATION	100.0000	10/12/10	12/08/10		6,131.44	5,652.00	479.44
	390.0000	10/12/10	12/13/10		24,205.80	22,042.80	2,163.00
	1260.0000	10/12/10	12/20/10		77,917.58	71,215.20	6,702.38
	1360.0000	12/15/10	12/20/10		84,101.52	83,712.62	388.90
		Security Subtotal			192,356.34	182,622.62	9,733.72
VANGUARD HEALTH CARE ETF	385.0000	10/06/09	02/19/10		21,095.73	19,604.08	1,491.65
	390.0000	10/12/10	12/09/10		21,675.83	21,489.43	186.40
	20.0000	10/12/10	12/13/10		1,128.78	1,102.02	26.76
		Security Subtotal			43,900.34	42,195.53	1,704.81
VANGUARD TELECOMM SRVCS	140.0000	10/29/09	09/24/10		8,727.45	7,264.42	1,463.03
	10.0000	11/08/10	12/08/10		648.99	645.70	3.29
	120.0000	10/12/10	12/13/10		7,946.27	7,554.00	392.27
	10.0000	11/08/10	12/13/10		662.19	645.70	16.49
		Security Subtotal			17,984.90	16,109.82	1,875.08
VANGUARD ENERGY ETF	70.0000	10/12/10	12/08/10		6,766.79	6,019.79	747.00
	50.0000	11/08/10	12/08/10		4,833.42	4,580.00	253.42
	80.0000	10/12/10	12/13/10		7,893.47	6,879.76	1,013.71
		Security Subtotal			19,493.68	17,479.55	2,014.13
VANGUARD INDUSTRIAL ETF	20.0000	10/12/10	12/08/10		1,274.68	1,186.19	88.49
	60.0000	11/08/10	12/08/10		3,824.05	3,717.60	106.45
	210.0000	10/12/10	12/13/10		13,593.99	12,455.02	1,138.97
		Security Subtotal			18,692.72	17,358.81	1,333.91



Account No.
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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD REIT ETF	259.0000	05/29/09	02/19/10			11,419.19	8,268.91	3,150.28
	106.0000	03/10/10	07/13/10			5,211.07	5,035.78	175.29
	1000.0000	10/12/10	12/20/10			54,220.98	53,827.70	393.28
	20.0000	12/09/10	12/20/10			1,084.42	1,077.90	6.52
	130.0000	12/15/10	12/20/10			7,048.73	6,970.37	78.36
		Security Subtotal				78,984.39	75,180.66	3,803.73
ISHARES FTSE XINHUA HK	200.0000	09/24/10	10/12/10			8,925.89	8,555.48	370.41
	1010.0000	09/24/10	12/09/10			43,651.46	43,205.17	446.29
		Security Subtotal				52,577.35	51,760.65	816.70
VANGUARD EUROPEAN ETF	40.0000	02/19/10	10/12/10			2,011.57	1,813.46	198.11
VANGUARD PACIFIC ETF	30.0000	11/08/10	12/13/10			1,745.67	1,720.08	25.59
POWERSHARES WATER	90.0000	10/12/10	12/08/10			1,691.41	1,544.06	147.35
	100.0000	11/08/10	12/08/10			1,879.35	1,803.69	75.66
	340.0000	10/12/10	12/10/10			6,501.13	5,833.11	668.02
		Security Subtotal				10,071.89	9,180.86	891.03
SPDR BARCLY CPITAL INTL	50.0000	03/10/10	10/12/10			3,080.95	2,799.87	281.08
	485.0000	03/10/10	12/15/10			27,872.48	27,158.69	713.79
	60.0000	12/08/10	12/15/10			3,448.14	3,436.20	11.94
		Security Subtotal				34,401.57	33,394.76	1,006.81
POWERSHARES GLOBAL	60.0000	03/10/10	10/12/10			1,696.77	1,565.89	130.88
	690.0000	03/10/10	12/15/10			18,437.59	18,007.76	429.83
		Security Subtotal				20,134.36	19,573.65	560.71
ISHARES BARCLAYS AGENCY	330.0000	03/10/10	07/13/10			36,235.37	35,769.59	465.78
	40.0000	03/10/10	10/12/10			4,467.12	4,335.71	131.41
	745.0000	03/10/10	12/15/10			81,409.24	80,752.56	656.68
		Security Subtotal				122,111.73	120,857.86	1,253.87
ISHARES LEHMAN 3-7 YR	80.0000	07/13/10	10/12/10			9,508.64	9,168.89	339.75
	805.0000	03/10/10	12/15/10			92,229.62	89,944.26	2,285.36
		Security Subtotal				101,738.26	99,113.15	2,625.11
ISHARES BARCLAYS MBS BON	160.0000	03/16/10	10/12/10			17,545.80	17,212.30	333.50
	10.0000	09/24/10	10/12/10			1,096.61	1,089.90	6.71
		Security Subtotal				18,642.41	18,302.20	340.21
S&P US PFD STK INDEX FD	10.0000	03/10/10	10/12/10			397.69	387.97	9.72



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES IBOX\$ HIGH YIEL	60.0000	03/10/10	10/12/10		5,394.51	5,292.58	101.93
	540.0000	03/10/10	12/15/10		48,234.79	47,633.18	601.61
	225.0000	07/13/10	12/15/10		20,097.83	19,614.92	482.91
					<u>73,727.13</u>	<u>72,540.68</u>	<u>1,186.45</u>
MARKET VECTORS RUSSIA	50.0000	09/24/10	10/12/10		1,700.47	1,601.37	99.10
	30.0000	09/24/10	12/08/10		1,098.43	960.82	137.61
	60.0000	11/08/10	12/08/10		2,196.86	2,128.80	68.06
	250.0000	09/24/10	12/10/10		9,192.79	8,006.85	1,185.94
					<u>14,188.55</u>	<u>12,697.84</u>	<u>1,490.71</u>
SPDR INDEX SHS FDS	65.0000	02/19/10	03/10/10		2,533.02	2,404.96	128.06
ISHARES INC	90.0000	03/10/10	09/24/10		6,540.19	5,128.20	1,411.99
ISHARES TR	10.0000	07/13/10	10/12/10		1,130.38	1,051.18	79.20
	129.0000	07/13/10	12/15/10		13,788.68	13,560.29	228.39
					<u>14,919.06</u>	<u>14,611.47</u>	<u>307.59</u>
POWERSHARES GLOBAL	430.0000	08/18/09	03/10/10		6,026.46	5,695.60	330.86
SPDR DB INTL GOV INFL	20.0000	03/10/10	10/12/10		1,204.98	1,093.00	111.98
	250.0000	03/10/10	12/15/10		14,372.26	13,662.50	709.76
	10.0000	12/08/10	12/15/10		574.89	573.60	1.29
					<u>16,152.13</u>	<u>15,329.10</u>	<u>823.03</u>
ISHARES MSCI ISRAEL INDE	194.0000	07/13/10	09/24/10		10,694.50	9,596.21	1,098.29
ISHARES MSCI BRAZIL FREE	140.0000	09/24/10	10/12/10		11,056.00	10,456.24	599.76
	160.0000	09/24/10	12/09/10		12,040.09	11,949.98	90.11
					<u>23,096.09</u>	<u>22,406.22</u>	<u>689.87</u>
KAYNE ANDERSON MLP	70.0000	10/12/10	12/08/10		2,015.35	1,844.37	170.98
	80.0000	11/08/10	12/08/10		2,303.26	2,187.20	116.06
	120.0000	10/12/10	12/13/10		3,461.22	3,161.77	299.45
					<u>7,779.83</u>	<u>7,193.34</u>	<u>586.49</u>
MANAGERS BOND FUND	221.0000	03/10/10	10/12/10		5,818.93	5,487.43	331.50
	19.0000	03/10/10	10/12/10		500.27	471.77	28.50
	23.0000	03/10/10	12/15/10		581.67	571.09	10.58
	19.0000	03/10/10	12/15/10		480.51	471.77	8.74
	120.0000	03/10/10	12/15/10		3,034.80	2,979.60	55.20
	135.0000	03/10/10	12/15/10		3,414.15	3,352.05	62.10
	2479.0000	03/10/10	12/15/10		62,693.91	61,553.56	1,140.35



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MANAGERS BOND FUND							
PIMCO COMM RR STR CL P	11.0000	03/26/10	12/15/10		278.18	274.01	4.17
	11.0000	05/26/10	12/15/10		278.19	275.66	2.53
TCW TOTAL RETURN BOND I	10.0000	06/28/10	12/15/10		252.90	250.40	2.50
	1.0000	07/28/10	12/15/10		25.29	25.04	0.25
		Security Subtotal			77,358.80	75,712.38	1,646.42
	518.0000	03/10/10	12/20/10		4,729.35	4,195.80	533.55
	1753.0000	10/08/09	08/31/10		18,055.91	17,757.89	298.02
	.8180	06/18/10	08/31/10		8.43	8.28	0.15
		Security Subtotal			18,064.34	17,766.17	298.17
ABDN EQUITY LONG SHORT A	2.0000	09/15/09	03/10/10		22.16	21.83	0.33
	3734.0000	09/15/09	03/10/10		41,372.72	40,775.29	597.43
	2.0000	09/25/09	03/10/10		22.16	21.50	0.66
	2324.0000	09/25/09	03/10/10		25,749.91	24,982.99	766.92
	1.0000	09/30/09	03/10/10		11.07	10.80	0.27
	625.0000	10/08/09	03/10/10		6,925.02	6,781.24	143.78
	1298.0000	10/08/09	03/10/10		14,381.84	14,083.30	298.54
		Security Subtotal			88,484.88	86,676.95	1,807.93
	60.0000	08/31/10	12/15/10		657.00	655.20	1.80
	5791.0000	08/31/10	12/15/10		63,411.45	63,237.72	173.73
	774.0000	08/31/10	12/15/10		8,475.30	8,452.08	23.22
		Security Subtotal			72,543.75	72,345.00	198.75
	2.0000	08/12/09	03/10/10		20.42	19.45	0.97
	894.0000	08/12/09	03/10/10		9,127.74	8,698.61	429.13
	3096.0000	08/12/09	03/10/10		31,610.16	30,124.09	1,486.07
	1303.0000	09/15/09	03/10/10		13,303.63	12,756.37	547.26
	488.0000	09/15/09	03/10/10		4,982.48	4,777.51	204.97
	488.0000	02/19/10	11/22/10		5,104.48	4,997.11	107.37
	1.0000	02/24/10	11/22/10		10.45	10.24	0.21
	1.0000	09/24/10	11/22/10		10.45	10.45	0.00
	1599.0000	09/24/10	11/22/10		16,725.55	16,565.64	159.91
	1.0000	11/08/10	11/22/10		10.46	10.28	0.18
	.0540	11/08/10	11/22/10		0.67	0.66	0.01
	286.0000	11/08/10	11/22/10		2,991.57	2,931.50	60.07
		Security Subtotal			83,898.06	80,901.91	2,996.15



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CALAMOS MKT NTRL INC I	460.0000	09/15/09	02/19/10			5,248.60	5,144.99	103.61
	2777.0000	09/15/09	03/10/10			32,102.12	31,060.11	1,042.01
	411.0000	09/15/09	03/10/10			4,751.16	4,596.95	154.21
	14.0000	09/17/09	03/10/10			161.84	157.64	4.20
	2181.0000	09/25/09	03/10/10			25,212.35	24,354.46	857.89
	1.0000	09/30/09	03/10/10			11.56	11.30	0.26
	1751.0000	10/08/09	03/10/10			20,241.57	19,704.08	537.49
	39.0000	12/17/09	10/12/10			456.68	446.94	9.74
	1.0000	12/18/09	10/12/10			11.71	11.49	0.22
	492.0000	01/15/10	10/12/10			5,761.33	5,687.52	73.81
	574.0000	01/15/10	10/12/10			6,721.54	6,635.44	86.10
		Security Subtotal				100,680.46	97,810.92	2,869.54
PIMCO INVESTMENT GRADE	258.0000	03/10/10	07/13/10			2,899.92	2,874.12	25.80
	814.0000	03/10/10	07/13/10			9,149.36	9,067.96	81.40
	1162.0000	03/10/10	10/12/10			13,920.76	12,944.68	976.08
	76.0000	03/10/10	10/12/10			910.48	846.64	63.84
		Security Subtotal				26,880.52	25,733.40	1,147.12
ABERDEEN EQUITY LONG	2.0000	01/15/10	12/09/10			23.22	22.38	0.84
	2922.0000	01/15/10	12/09/10			33,245.92	32,693.11	552.81
	1.0000	01/21/10	12/09/10			11.37	10.98	0.39
	1.0000	09/24/10	12/09/10			11.37	11.17	0.20
	.8940	11/08/10	12/10/10			10.38	10.32	0.06
		Security Subtotal				33,302.26	32,747.96	554.30
		Short Term Capital Gains Subtotal				2,544,922.41	2,453,835.77	91,086.64
SHORT TERM CAPITAL LOSSES								
ELEMENTS ROGERS AGRIC TR	80.0000	11/08/10	12/08/10			800.71	810.40	(9.69)
ISHARES MSCI AUSTRALIA	170.0000	11/08/10	12/10/10			4,284.98	4,405.55	(120.57)
ISHARES MSCI GERMANY	30.0000	11/08/10	12/08/10			718.34	729.90	(11.56)
ISHARES MSCI HONG KONG	70.0000	11/08/10	12/09/10			1,411.88	1,411.90	(0.02)
	20.0000	12/08/10	12/09/10			383.39	383.95	(0.56)
		Security Subtotal				1,725.27	1,795.85	(70.58)
ISHARES MSCI NETHERLANDS	10.0000	11/08/10	12/08/10			202.39	212.80	(10.41)
ISHARES MSCI ITALY INDEX	482.0000	10/29/09	10/12/10			8,345.98	9,716.64	(1,370.66)

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI FRANCE INDX	395.0000 912.0000	10/06/09 10/29/09	03/10/10 10/12/10		9,870.92 22,577.18	10,185.94 23,410.68	(315.02) (833.50)
		Security Subtotal			32,448.10	33,596.62	(1,148.52)
ISHARES MSCI TAIWAN	1240.0000	09/24/10	10/12/10		16,568.48	16,568.97	(20.49)
ISHARES MSCI UNITED	10.0000	11/08/10	12/08/10		172.23	176.70	(4.47)
POWERSHARES ETF TR	20.0000	02/19/10	09/24/10		235.99	236.38	(0.39)
ISHARES BARCLAY 7-10 YR	10.0000 40.0000 10.0000	11/08/10 12/08/10 12/09/10	12/15/10 12/15/10 12/15/10		931.62 3,726.50 931.62	996.50 3,748.00 942.40	(64.88) (21.50) (10.78)
		Security Subtotal			5,589.74	5,686.90	(97.16)
ISHARES IBOXX \$	50.0000	11/08/10	12/15/10		5,382.66	5,648.50	(265.84)
ISHARES BARCLYS 1-3 YEAR	40.0000 40.0000	11/08/10 12/08/10	12/15/10 12/15/10		3,356.46 3,356.46	3,375.20 3,357.60	(18.74) (1.14)
		Security Subtotal			6,712.92	6,732.80	(19.88)
ISHARES MSCI SOUTH AFRCA	10.0000	11/08/10	12/08/10		710.89	713.40	(2.51)
ISHARES BARCLAYS TIPS BO	20.0000 40.0000 10.0000	11/08/10 12/08/10 12/09/10	12/15/10 12/15/10 12/15/10		2,126.20 4,252.41 1,063.10	2,236.20 4,278.00 1,067.10	(110.00) (25.59) (4.00)
		Security Subtotal			7,441.71	7,581.30	(139.59)
VANGUARD FINANCIALS ETF	495.0000 90.0000	10/06/09 11/08/10	09/24/10 12/08/10		14,761.59 2,843.05	14,813.62 2,871.45	(52.03) (28.40)
		Security Subtotal			17,604.64	17,685.07	(80.43)
VANGUARD UTILITIES ETF	75.0000 150.0000 20.0000	01/08/10 10/12/10 11/08/10	03/10/10 12/09/10 12/09/10		4,752.69 9,916.33 1,322.18	4,841.24 10,054.32 1,354.40	(88.55) (137.99) (32.22)
		Security Subtotal			15,991.20	16,249.96	(258.76)
VANGUARD INFORMATION	488.0000 190.0000	05/13/10 11/08/10	09/24/10 12/08/10		27,187.87 11,649.73	27,508.56 11,660.30	(320.69) (10.57)
		Security Subtotal			38,837.60	39,168.86	(331.26)
VANGUARD HEALTH CARE ETF	110.0000 40.0000	11/08/10 12/08/10	12/09/10 12/09/10		6,113.70 2,223.16	6,154.50 2,225.20	(40.80) (2.04)
		Security Subtotal			8,336.86	8,379.70	(42.84)
VANGUARD ENERGY ETF	75.0000	03/10/10	09/24/10		6,120.32	6,393.75	(273.43)

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD REIT ETF	70.0000	11/08/10	12/20/10			3,795.47	3,985.10	(189.63)
	20.0000	12/08/10	12/20/10			1,084.42	1,087.57	(3.15)
		Security Subtotal				4,879.89	5,072.67	(192.78)
ISHARES FTSE XINHUA HK	385.0000	08/12/09	03/10/10			16,004.94	16,023.47	(18.53)
	130.0000	11/08/10	12/09/10			5,618.51	6,220.10	(601.59)
	160.0000	12/08/10	12/09/10			6,915.08	6,927.71	(12.63)
		Security Subtotal				28,538.53	29,171.28	(632.75)
VANGUARD EUROPEAN ETF	210.0000	11/08/10	12/09/10			10,536.65	10,977.12	(440.47)
	40.0000	12/08/10	12/09/10			2,006.99	2,012.73	(5.74)
		Security Subtotal				12,543.64	12,989.85	(446.21)
VANGUARD PACIFIC ETF	40.0000	11/08/10	12/08/10			2,275.34	2,293.44	(18.10)
SPDR BARCLY CPTAL INTL	10.0000	11/08/10	12/09/10			569.79	612.90	(43.11)
POWERSHARES GLOBAL	20.0000	11/08/10	12/15/10			534.42	570.00	(35.58)
	50.0000	12/08/10	12/15/10			1,336.06	1,352.00	(15.94)
	10.0000	12/09/10	12/15/10			267.21	270.90	(3.69)
		Security Subtotal				2,137.69	2,192.90	(55.21)
ISHARES BARCLAYS AGENCY	30.0000	11/08/10	12/15/10			3,278.22	3,347.70	(69.48)
	20.0000	12/08/10	12/15/10			2,185.48	2,194.80	(9.32)
		Security Subtotal				5,463.70	5,542.50	(78.80)
ISHARES LEHMAN 3-7 YR	369.0000	07/13/10	12/15/10			42,276.69	42,291.49	(14.80)
	40.0000	11/08/10	12/15/10			4,582.84	4,766.00	(183.16)
	70.0000	12/08/10	12/15/10			8,019.96	8,046.64	(26.68)
		Security Subtotal				54,879.49	55,104.13	(224.64)
ISHARES BARCLAYS MBS BON	10.0000	11/08/10	12/09/10			1,055.48	1,099.34	(43.86)
	500.0000	03/10/10	12/15/10			52,435.11	53,685.00	(1,249.89)
	1000.0000	03/10/10	12/15/10			104,870.23	107,370.00	(2,499.77)
	500.0000	03/11/10	12/15/10			52,435.11	53,691.00	(1,255.89)
	493.0000	03/16/10	12/15/10			51,701.03	53,035.42	(1,334.39)
	90.0000	11/08/10	12/15/10			9,438.32	9,894.06	(455.74)
	160.0000	12/08/10	12/15/10			16,779.24	16,826.62	(47.38)
		Security Subtotal				288,714.52	295,601.44	(6,886.92)



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S&P US PFD STK INDEX FD	10.0000	11/08/10	12/09/10		389.99	396.80	(6.81)
	180.0000	03/10/10	12/15/10		6,978.48	6,983.54	(5.06)
	10.0000	12/08/10	12/15/10		387.69	390.60	(2.91)
		Security Subtotal			7,756.16	7,770.94	(14.78)
ISHARES IBOX\$ HIGH YIEL	30.0000	11/08/10	12/15/10		2,679.71	2,746.80	(67.09)
	20.0000	12/08/10	12/15/10		1,786.47	1,789.60	(3.13)
		Security Subtotal			4,466.18	4,536.40	(70.22)
ISHARES TR	10.0000	11/08/10	12/15/10		1,068.89	1,133.30	(64.41)
POWERSHARES GLOBAL	660.0000	10/12/10	12/09/10		9,404.84	9,589.80	(184.96)
POWERSHARES INDIA ETF TR	170.0000	09/24/10	12/09/10		4,054.38	4,324.80	(270.42)
	180.0000	10/12/10	12/09/10		4,292.88	4,645.30	(352.42)
	100.0000	11/08/10	12/09/10		2,384.93	2,624.00	(239.07)
		Security Subtotal			10,732.19	11,594.10	(861.91)
SPDR DB INTL GOV INFL	10.0000	11/08/10	12/15/10		574.89	605.50	(30.61)
ISHARES S&P/CIT 1-3 INT	500.0000	08/20/09	03/10/10		50,873.80	52,161.84	(1,288.04)
	495.0000	08/20/09	03/11/10		50,266.07	51,640.24	(1,374.17)
		Security Subtotal			101,139.87	103,802.08	(2,662.21)
ISHARES MSCI BRAZIL FREE	60.0000	11/08/10	12/09/10		4,515.03	4,831.20	(316.17)
	100.0000	12/08/10	12/09/10		7,525.05	7,606.99	(81.94)
		Security Subtotal			12,040.08	12,438.19	(398.11)
MANAGERS BOND FUND	11.0000	04/28/10	12/15/10		278.19	278.30	(0.11)
	11.0000	07/28/10	12/15/10		278.19	278.84	(0.65)
	1.0000	08/27/10	12/15/10		25.29	25.68	(0.39)
	10.0000	08/27/10	12/15/10		252.90	259.10	(6.20)
	19.0000	09/24/10	12/15/10		480.51	494.76	(14.25)
	1.0000	09/28/10	12/15/10		25.29	26.04	(0.75)
	10.0000	09/28/10	12/15/10		252.90	260.70	(7.80)
	10.0000	10/27/10	12/15/10		252.90	260.79	(7.89)
	1.0000	11/08/10	12/15/10		25.29	26.15	(0.86)
	120.0000	11/08/10	12/15/10		3,034.80	3,157.20	(122.40)
	11.0000	11/26/10	12/15/10		278.19	283.14	(4.95)
	1.0000	12/09/10	12/15/10		25.29	26.06	(0.77)
	6860	12/09/10	12/15/10		17.35	17.52	(0.17)
	135 0000	12/09/10	12/15/10		3,414.15	3,447.90	(33.74)
		Security Subtotal			8,641.25	8,842.18	(200.93)



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DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MGRS AMG FQ GLBL ALT A	2049.0000	07/13/10	11/22/10		21,432.55	21,616.95	(184.40)
PIMCO INVESTMENT GRADE	693.0000	03/10/10	12/15/10		7,158.69	7,720.02	(561.33)
	67.0000	07/30/10	12/15/10		692.11	769.16	(77.05)
	12148.0000	03/10/10	12/15/10		125,488.84	135,328.72	(9,839.88)
	48.0000	03/31/10	12/15/10		495.83	536.64	(40.81)
	1.0000	04/01/10	12/15/10		10.32	11.17	(0.85)
	73.0000	04/30/10	12/15/10		754.09	826.36	(72.27)
	67.0000	05/28/10	12/15/10		692.11	743.70	(51.59)
	1.0000	06/01/10	12/15/10		10.32	11.10	(0.78)
	1.0000	06/30/10	12/15/10		10.33	11.16	(0.83)
	67.0000	06/30/10	12/15/10		692.11	753.75	(61.64)
	1.0000	08/31/10	12/15/10		10.33	11.50	(1.17)
	60.0000	08/31/10	12/15/10		619.80	703.19	(83.39)
	76.0000	09/24/10	12/15/10		785.08	893.00	(107.92)
	1.0000	09/30/10	12/15/10		10.33	11.75	(1.42)
	60.0000	09/30/10	12/15/10		619.80	711.00	(91.20)
	1.0000	10/29/10	12/15/10		10.33	11.92	(1.59)
	57.0000	10/29/10	12/15/10		588.81	680.58	(91.77)
	1.0000	11/08/10	12/15/10		10.33	12.00	(1.67)
	558.0000	11/08/10	12/15/10		5,764.17	6,701.58	(937.41)
	4.0000	11/30/10	12/15/10		41.31	48.04	(6.73)
	58.0000	11/30/10	12/15/10		599.14	676.28	(77.14)
	1.0000	12/08/10	12/15/10		10.33	11.64	(1.31)
	933.0000	12/08/10	12/15/10		9,637.89	9,731.19	(93.30)
	518.0000	12/08/10	12/15/10		5,350.94	5,402.74	(51.80)
	1.0000	12/09/10	12/15/10		10.33	10.43	(0.10)
	.1140	12/09/10	12/15/10		1.18	1.19	(0.01)
	55.0000	12/09/10	12/15/10		568.16	574.20	(6.04)
	562.0000	12/09/10	12/15/10		5,805.46	5,867.28	(61.82)
	76.0000	12/09/10	12/15/10		785.08	793.44	(8.36)
		Security Subtotal			167,233.55	179,564.73	(12,331.18)
ABERDEEN EQUITY LONG	1402.0000	09/24/10	12/09/10		15,951.70	15,954.76	(3.06)
	2924.0000	09/24/10	12/09/10		33,268.69	33,275.12	(6.43)
	356.0000	11/08/10	12/09/10		4,050.50	4,126.04	(75.54)
		Security Subtotal			53,270.89	53,355.92	(85.03)
NET SHORT TERM CAPITAL GAIN (LOSS)		Short Term Capital Losses Subtotal			975,994.94	1,005,941.25	(29,946.31)
					3,520,917.35	3,459,777.02	61,140.33

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL GAINS								
ELEMENTS ROGERS AGRIC TR	1346.0000	07/10/09	09/24/10			12,010.97	9,422.00	2,588.97
	1406.0000	07/17/09	09/24/10			12,546.37	10,064.71	2,481.66
	788.0000	09/15/09	09/24/10			7,031.68	5,484.24	1,547.44
	150.0000	09/15/09	10/12/10			1,403.88	1,043.96	359.92
		Security Subtotal				32,992.90	26,014.91	6,977.99
VANGUARD TOTAL STK MKT	103.0000	10/27/08	02/19/10			5,801.96	4,441.39	1,360.57
	114.0000	10/27/08	03/10/10			6,688.52	4,915.72	1,772.80
	1326.0000	10/27/08	03/10/10			77,798.09	56,633.46	21,164.63
	300.0000	10/27/08	09/24/10			17,533.11	12,813.00	4,720.11
	310.0000	10/27/08	10/12/10			18,504.46	13,240.10	5,264.36
	10.0000	10/27/08	12/08/10			634.51	427.10	207.41
		Security Subtotal				126,960.65	92,470.77	34,489.88
ISHARES MSCI AUSTRALIA	163.0000	10/27/08	02/11/10			3,499.67	2,120.70	1,378.97
	645.0000	10/27/08	03/10/10			15,364.99	8,423.69	6,941.30
	130.0000	10/27/08	09/24/10			3,115.15	1,697.80	1,417.35
	630.0000	10/29/09	12/10/10			15,879.64	14,408.10	1,471.54
		Security Subtotal				37,859.45	26,658.37	11,201.08
ISHARES MSCI CDA INDX FD	40.0000	10/27/08	03/10/10			1,095.67	657.07	438.60
	380.0000	10/27/08	09/24/10			10,542.01	6,242.18	4,299.83
	175.0000	07/21/09	09/24/10			4,854.88	4,019.70	835.18
	585.0000	08/12/09	09/24/10			16,229.15	13,797.87	2,431.28
	260.0000	10/27/08	12/09/10			7,867.47	4,270.97	3,596.50
		Security Subtotal				40,589.18	28,987.79	11,601.39
ISHARES MSCI SWITZERLAND	95.0000	08/01/08	10/12/10			2,254.82	2,228.13	26.69
	1452.0000	10/27/08	10/12/10			34,463.22	24,052.96	10,410.26
	97.0000	07/17/09	10/12/10			2,302.29	1,772.18	530.11
	1118.0000	10/06/09	10/12/10			26,535.73	24,236.67	2,299.06
		Security Subtotal				65,556.06	52,289.94	13,266.12
ISHARES MSCI SWEDEN	30.0000	02/04/08	10/12/10			877.78	853.29	24.49
ISHARES MSCI GERMANY	1090.0000	10/27/08	10/12/10			24,743.34	16,934.46	7,808.88
	123.0000	07/17/09	10/12/10			2,792.14	2,297.12	495.02
	575.0000	08/12/09	10/12/10			13,052.68	11,647.89	1,404.79
	880.0000	10/06/09	10/12/10			19,976.28	19,528.87	447.41
		Security Subtotal				60,564.44	50,408.34	10,156.10

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI HONG KONG	174.0000	08/12/08	09/24/10			3,123.70	2,853.43	270.27
	956.0000	12/23/08	09/24/10			17,162.40	9,646.04	7,516.36
	50.0000	12/23/08	12/09/10			958.48	504.50	453.98
		Security Subtotal				21,244.58	13,003.97	8,240.61
ISHARES MSCI NETHERLANDS	75.0000	05/04/08	03/10/10			1,527.01	1,363.40	163.61
	235.0000	05/04/08	10/12/10			4,866.54	4,271.99	594.55
	544.0000	10/27/08	10/12/10			11,265.51	7,259.14	4,006.37
		Security Subtotal				17,659.06	12,894.53	4,764.53
ISHARES MSCI JAPAN INDEX	9538.0000	10/27/08	10/12/10			96,427.55	76,399.38	20,028.17
	1005.0000	07/17/09	10/12/10			10,160.38	9,296.25	864.13
	1635.0000	08/12/09	10/12/10			16,529.57	16,333.65	195.92
	120.0000	10/27/08	12/09/10			1,266.46	961.20	305.26
	680.0000	10/27/08	12/10/10			7,195.37	5,446.80	1,748.57
		Security Subtotal				131,579.33	108,437.28	23,142.05
ISHARES MSCI FRANCE INDEX	1328.0000	10/27/08	10/12/10			32,875.54	23,839.72	9,035.82
	182.0000	07/17/09	10/12/10			4,505.53	3,834.74	670.79
	790.0000	08/12/09	10/12/10			19,556.99	18,312.20	1,244.79
		Security Subtotal				56,938.06	45,986.66	10,951.40
ISHARES MSCI TAIWAN	580.0000	10/27/08	03/10/10			7,222.07	4,344.14	2,877.93
ISHARES MSCI SINGAPORE	40.0000	04/15/08	09/24/10			528.03	525.35	2.68
	20.0000	04/15/08	09/24/10			264.01	262.67	1.34
	54.0000	06/12/08	09/24/10			712.85	690.02	22.83
	107.0000	06/12/08	09/24/10			1,412.50	1,367.26	45.24
	356.0000	06/12/08	09/24/10			4,699.51	4,549.00	150.51
	54.0000	06/17/08	09/24/10			712.85	694.25	18.60
	180.0000	06/17/08	09/24/10			2,376.16	2,314.17	61.99
	420.0000	06/17/08	09/24/10			5,544.37	5,399.73	144.64
		Security Subtotal				16,250.28	15,802.45	447.83
ISHARES MSCI UNITED	1887.0000	10/27/08	10/12/10			31,719.93	21,469.72	10,250.21
	550.0000	12/23/08	10/12/10			9,245.34	6,364.49	2,880.85
	1390.0000	10/06/09	10/12/10			23,365.51	21,425.60	1,939.91
		Security Subtotal				64,330.78	49,259.81	15,070.97
ISHARES MSCI MEXICO FREE	80.0000	10/27/08	03/10/10			4,086.35	2,043.92	2,042.43
	215.0000	10/27/08	09/24/10			11,412.68	5,493.06	5,919.62
		Security Subtotal				15,499.03	7,536.98	7,962.05

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI SOUTH KOREA	34.0000	08/11/08	10/12/10			1,843.11	1,689.12	153.99
	26.0000	08/12/08	10/12/10			1,409.44	1,284.59	124.85
	40.0000	08/12/08	12/08/10			2,267.16	1,976.30	290.86
	114.0000	08/12/08	12/09/10			6,570.12	5,632.44	937.68
	157.0000	08/12/08	12/09/10			9,048.33	7,668.81	1,379.52
		Security Subtotal				21,138.16	18,251.26	2,886.90
POWERSHARES ETF TR	1430.0000	01/21/09	03/10/10			17,909.09	14,867.71	3,041.38
	1030.0000	01/21/09	05/13/10			14,236.94	10,708.91	3,528.03
	90.0000	01/21/09	12/09/10			1,197.88	935.73	262.15
		Security Subtotal				33,343.91	26,512.35	6,831.56
ISHARES MSCI SOUTH AFRCA	60.0000	08/11/08	03/10/10			3,509.36	3,203.45	305.91
	30.0000	08/11/08	12/09/10			2,143.16	1,601.73	541.43
		Security Subtotal				5,652.52	4,805.18	847.34
VANGUARD FINANCIALS ETF	925.0000	12/23/08	03/10/10			29,064.98	22,731.14	6,333.84
	322.0000	12/23/08	07/13/10			9,817.71	7,912.89	1,904.82
	495.0000	09/15/09	09/24/10			14,761.59	14,584.98	176.61
	230.0000	12/23/08	12/09/10			7,340.84	5,652.07	1,688.77
		Security Subtotal				60,985.12	50,881.08	10,104.04
VANGUARD CONSUMER	154.0000	12/23/08	02/19/10			10,347.13	8,615.71	1,731.42
	205.0000	12/23/08	03/10/10			13,992.18	11,468.97	2,523.21
	530.0000	12/23/08	09/24/10			37,650.56	29,651.49	7,999.07
	90.0000	12/23/08	12/09/10			6,657.19	5,035.16	1,622.03
		Security Subtotal				68,647.06	54,771.33	13,875.73
VANGUARD CONSUMER	255.0000	12/23/08	03/10/10			12,965.42	7,820.59	5,144.83
	317.0000	12/23/08	05/13/10			17,209.77	9,722.08	7,487.69
	90.0000	12/23/08	12/09/10			5,457.51	2,760.21	2,697.30
		Security Subtotal				35,632.70	20,302.88	15,329.82
VANGUARD UTILITIES ETF	144.0000	12/23/08	09/24/10			9,565.21	8,372.16	1,193.05
	196.0000	04/20/09	09/24/10			13,019.32	10,482.84	2,536.48
		Security Subtotal				22,584.53	18,855.00	3,729.53
VANGUARD MATERIALS ETF	75.0000	12/23/08	03/10/10			5,169.68	3,237.58	1,932.10
	147.0000	12/23/08	09/24/10			10,549.91	6,345.67	4,204.24
	3.0000	07/17/09	09/24/10			215.30	161.58	53.72
	20.0000	12/23/08	12/09/10			1,602.37	863.36	739.01
		Security Subtotal				17,537.26	10,608.19	6,929.07

DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD INFORMATION	241.0000	12/23/08	01/08/10			13,339.11	7,950.42	5,388.69
	625.0000	12/23/08	03/10/10			34,613.75	20,618.31	13,995.44
	233.0000	12/23/08	09/24/10			12,981.10	7,686.51	5,294.59
	519.0000	12/23/08	09/24/10			28,914.97	17,121.45	11,793.52
	190.0000	12/23/08	12/09/10			11,681.15	6,267.97	5,413.18
		Security Subtotal				107,530.08	59,644.66	47,885.42
VANGUARD HEALTH CARE ETF	21.0000	12/23/08	02/19/10			1,150.68	933.39	217.29
	295.0000	12/23/08	03/10/10			16,527.99	13,111.95	3,416.04
	640.0000	12/23/08	09/24/10			34,914.23	28,446.28	6,467.95
		Security Subtotal				52,592.90	42,491.62	10,101.28
VANGUARD TELECOMM SRVCS	30.0000	12/23/08	03/10/10			1,644.88	1,281.90	362.98
	20.0000	12/23/08	09/24/10			1,246.78	854.61	392.17
	20.0000	10/29/09	12/09/10			1,305.98	1,037.77	268.21
		Security Subtotal				4,197.64	3,174.28	1,023.36
VANGUARD ENERGY ETF	175.0000	09/15/09	09/24/10			14,280.74	13,892.20	388.54
	50.0000	12/23/08	12/09/10			4,812.92	3,137.50	1,675.42
		Security Subtotal				19,093.66	17,029.70	2,063.96
VANGUARD INDUSTRIAL ETF	370.0000	12/23/08	09/24/10			21,366.88	14,959.10	6,407.78
	60.0000	12/23/08	12/09/10			3,829.14	2,425.80	1,403.34
		Security Subtotal				25,196.02	17,384.90	7,811.12
VANGUARD REIT ETF	501.0000	05/29/09	07/13/10			24,629.69	15,995.08	8,634.61
VANGUARD EUROPEAN ETF	940.0000	10/27/08	03/10/10			44,669.36	33,396.32	11,273.04
	256.0000	10/27/08	07/13/10			11,435.56	9,095.17	2,340.39
	24.0000	10/27/08	09/24/10			1,178.49	852.67	325.82
	222.0000	10/27/08	09/24/10			10,901.06	7,887.22	3,013.84
	374.0000	10/27/08	09/24/10			18,364.85	13,287.47	5,077.38
		Security Subtotal				86,549.32	64,518.85	22,030.47
VANGUARD PACIFIC ETF	690.0000	10/27/08	03/10/10			36,892.45	25,397.86	11,494.59
	200.0000	10/27/08	07/13/10			10,065.83	7,361.70	2,704.13
	61.0000	10/27/08	07/13/10			3,070.08	2,245.32	824.76
	10.0000	10/27/08	10/12/10			553.01	368.09	184.92
	88.0000	10/27/08	10/12/10			4,866.54	3,239.15	1,627.39
	162.0000	07/21/09	10/12/10			8,958.83	7,665.48	1,293.35
		Security Subtotal				64,406.74	46,277.60	18,129.14

DAVE RICKEY & DAUGHTERS

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
POWERSHARES WATER	905.0000	01/21/09	03/10/10			15,594.13	11,457.48	4,136.65
	230.0000	01/21/09	09/24/10			3,775.67	2,911.85	863.82
	100.0000	01/21/09	12/09/10			1,874.33	1,266.02	608.31
		Security Subtotal				21,244.13	15,635.35	5,608.78
MARKET VECTORS RUSSIA	25.0000	10/27/08	03/10/10			827.74	308.75	518.99
	5.0000	10/27/08	03/10/10			165.55	61.05	104.50
	60.0000	10/27/08	12/09/10			2,193.56	732.60	1,460.96
		Security Subtotal				3,186.85	1,102.40	2,084.45
POWERSHARES GLOBAL	535.0000	08/18/09	09/24/10			7,732.01	7,086.40	645.61
	275.0000	09/03/09	09/24/10			3,974.40	3,503.34	471.06
		Security Subtotal				11,706.41	10,589.74	1,116.67
POWERSHARES INDIA ETF TR	265.0000	10/27/08	03/10/10			5,857.12	2,795.74	3,061.38
ISHARES MSCI TURKEY INDE	8.0000	07/02/08	03/10/10			423.11	339.11	84.00
	12.0000	07/09/08	03/10/10			634.68	546.70	87.98
	12.0000	07/09/08	09/24/10			808.55	546.71	261.84
		Security Subtotal				1,866.34	1,432.52	433.82
ISHARES MSCI BRAZIL FREE	180.0000	12/23/08	03/10/10			13,242.75	5,925.60	7,317.15
KAYNE ANDERSON MLP	217.0000	10/16/08	02/19/10			5,154.42	4,506.59	647.83
	385.0000	10/16/08	03/10/10			9,916.24	7,995.58	1,920.66
	565.0000	10/27/08	03/10/10			14,552.41	10,622.84	3,929.57
	10.0000	10/27/08	04/01/10			262.09	188.01	74.08
	240.0000	10/27/08	09/24/10			6,272.43	4,512.36	1,760.07
	80.0000	10/27/08	12/09/10			2,310.36	1,504.12	806.24
		Security Subtotal				38,467.95	29,329.50	9,138.45
PIMCO COMM RR STR CL P	2280.0000	05/07/09	09/24/10			18,627.60	15,747.62	2,879.98
	1953.0000	05/07/09	09/24/10			15,956.01	13,489.08	2,466.93
	2610.0000	05/07/09	09/24/10			21,323.70	18,026.89	3,296.81
	77.0000	06/18/09	09/24/10			629.09	562.11	66.98
	3.0000	06/18/09	10/12/10			26.06	21.90	4.16
	1.0000	06/19/09	10/12/10			8.88	7.15	1.73
	1624.0000	07/17/09	10/12/10			14,112.58	11,449.19	2,663.39
	221.0000	07/17/09	12/08/10			1,977.95	1,558.05	419.90
	108.0000	07/17/09	12/20/10			986.04	761.40	224.64
	143.0000	09/18/09	12/20/10			1,305.59	1,106.82	198.77



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PIMCO COMM RR STR CL P							
	1.0000	09/21/09	12/20/10		9.13	7.48	1.65
	260.0000	10/08/09	12/20/10		2,373.80	2,054.00	319.80
	4891.0000	10/08/09	12/20/10		44,654.82	38,638.90	6,015.92
	174.0000	12/09/09	12/20/10		1,588.62	1,400.70	187.92
		Security Subtotal			123,579.67	104,831.29	18,748.38
TCW TOTAL RETURN BOND I							
	5344.0000	10/13/08	01/04/10		53,012.47	49,966.41	3,046.06
	6126.0000	10/17/08	01/04/10		60,769.93	57,339.36	3,430.57
	1753.0000	10/17/08	03/10/10		17,459.88	16,408.07	1,051.81
	895.0000	10/17/08	03/10/10		8,914.20	8,377.20	537.00
	1321.0000	10/17/08	07/13/10		13,394.94	12,364.56	1,030.38
	6089.0000	10/17/08	08/31/10		62,716.70	56,993.05	5,723.65
	147.0000	11/03/08	08/31/10		1,514.09	1,358.28	155.81
	147.0000	11/28/08	08/31/10		1,514.10	1,365.63	148.47
	1.0000	12/01/08	08/31/10		10.30	9.28	1.02
	251.0000	12/19/08	08/31/10		2,585.30	2,311.71	273.59
	1791.0000	12/23/08	08/31/10		18,447.30	16,441.38	2,005.92
	183.0000	01/30/09	08/31/10		1,884.90	1,672.62	212.28
	1.0000	02/02/09	08/31/10		10.30	9.17	1.13
		Security Subtotal			242,234.41	224,616.72	17,617.69
FKLN FLT RT DLY ACC ADV							
	5701.0000	11/03/08	03/10/10		51,251.99	43,723.05	7,528.94
	6855.0000	11/03/08	07/13/10		60,940.95	52,573.50	8,367.45
		Security Subtotal			112,192.94	96,296.55	15,896.39
MGRS AMG FQ GLBL ALT A							
	454.0000	09/15/09	10/12/10		4,530.92	4,444.66	86.26
	701.0000	09/15/09	10/12/10		6,995.98	6,862.80	133.18
	1.0000	09/18/09	10/12/10		9.98	9.83	0.15
	897.0000	09/25/09	10/12/10		8,952.06	8,952.06	0.00
	2737.0000	10/08/09	11/22/10		28,629.01	27,397.36	1,231.65
		Security Subtotal			49,117.95	47,666.71	1,451.24
CALAMOS MKT NTRL INC I							
	3666.0000	10/08/09	10/12/10		42,928.86	41,253.68	1,675.18
LORD ABBT BOND DEB FD F							
	29798.0000	11/03/08	03/10/10		222,293.08	176,990.01	45,303.07
ABERDEEN EQUITY LONG							
	2434.0000	10/08/09	10/12/10		27,747.60	26,645.46	1,102.14
	1250.0000	10/08/09	12/09/10		14,512.50	13,683.99	828.51
		Security Subtotal			42,260.10	40,329.45	1,930.65



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LAZRD EMRG MKT PTF INSTL	911.0000	01/21/09	03/10/10			16,762.40	9,028.01	7,734.39
	577.0000	01/21/09	09/24/10			11,816.96	5,718.07	6,098.89
	188.0000	01/21/09	10/12/10			4,047.64	1,863.08	2,184.56
	2.0000	01/21/09	12/09/10			42.80	19.82	22.98
	Security Subtotal					32,669.80	16,628.98	16,040.82
Long Term Capital Gains Subtotal						2,302,689.32	1,821,877.43	480,811.89
LONG TERM CAPITAL LOSSES								
ISHARES MSCI AUSTRALIA	215.0000	04/15/08	02/11/10			4,616.12	5,746.96	(1,130.84)
	450.0000	08/01/08	02/11/10			9,661.64	10,916.19	(1,254.55)
Security Subtotal						14,277.76	16,663.15	(2,385.39)
ISHARES MSCI CDA INDX FD	240.0000	08/01/08	03/10/10			6,574.00	7,446.96	(872.96)
	660.0000	08/12/08	03/10/10			18,078.49	19,250.88	(1,172.39)
Security Subtotal						24,652.49	26,697.84	(2,045.35)
ISHARES MSCI SWITZERLAND	585.0000	04/15/08	03/10/10			13,216.03	14,935.06	(1,719.03)
	225.0000	08/01/08	03/10/10			5,083.10	5,277.15	(194.05)
Security Subtotal						18,299.13	20,212.21	(1,913.08)
ISHARES MSCI SWEDEN	60.0000	01/07/08	03/10/10			1,527.13	1,759.70	(232.57)
	150.0000	02/04/08	03/10/10			3,817.85	4,266.41	(448.56)
	60.0000	04/15/08	03/10/10			1,527.14	1,821.60	(294.46)
	460.0000	04/15/08	10/12/10			13,459.14	13,965.60	(506.46)
Security Subtotal						20,331.26	21,813.31	(1,482.05)
ISHARES MSCI GERMANY	500.0000	04/15/08	03/10/10			10,645.86	15,837.65	(5,191.79)
	40.0000	04/15/08	10/12/10			908.01	1,267.02	(359.01)
	210.0000	08/12/08	10/12/10			4,767.07	5,957.28	(1,190.21)
	Security Subtotal						23,061.95	(6,741.01)
ISHARES MSCI HONG KONG	140.0000	11/06/07	02/19/10			2,112.65	3,137.86	(1,025.21)
	11.0000	03/04/08	02/19/10			165.99	200.52	(34.53)
	600.0000	05/06/08	02/19/10			9,054.25	12,009.18	(2,954.93)
	179.0000	03/04/08	03/10/10			2,889.18	3,263.03	(373.85)
	110.0000	04/15/08	03/10/10			1,775.48	1,998.16	(222.68)
	226.0000	08/12/08	03/10/10			3,647.79	3,706.17	(58.38)
Security Subtotal						19,645.34	24,314.92	(4,669.58)



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ISHARES MSCI NETHERLANDS	30.0000	04/15/08	03/10/10			610.80	863.37	(252.57)
	150.0000	04/15/08	03/10/10			3,054.02	4,316.87	(1,262.85)
						3,664.82	5,180.24	(1,515.42)
ISHARES MSCI ITALY INDEX	240.0000	05/06/08	03/10/10			4,361.60	7,624.80	(3,263.20)
	65.0000	02/04/08	10/12/10			1,125.49	1,981.86	(856.37)
	365.0000	04/15/08	10/12/10			6,320.08	11,166.77	(4,846.69)
	195.0000	04/15/08	10/12/10			3,376.50	5,965.81	(2,589.31)
	470.0000	05/06/08	10/12/10			8,138.19	14,931.90	(6,793.71)
						23,321.86	41,671.14	(18,349.28)
ISHARES MSCI JAPAN INDEX	1275.0000	03/04/08	02/11/10			12,533.34	15,542.26	(3,008.92)
	1063.0000	04/15/08	02/11/10			10,449.38	13,298.13	(2,848.75)
	1855.0000	04/15/08	02/19/10			18,048.92	23,206.04	(5,157.12)
	552.0000	04/15/08	03/10/10			5,586.23	6,905.52	(1,319.29)
	75.0000	04/15/08	03/10/10			759.00	938.26	(179.26)
	3668.0000	08/01/08	03/10/10			37,120.06	43,502.47	(6,382.41)
	930.0000	08/01/08	07/13/10			8,927.85	11,029.81	(2,101.96)
	902.0000	08/01/08	10/12/10			9,119.07	10,697.72	(1,578.65)
						102,543.85	125,120.21	(22,576.36)
ISHARES MSCI SPAIN INDEX	40.0000	06/13/07	03/10/10			1,739.14	2,288.80	(549.66)
	100.0000	04/15/08	03/10/10			4,347.84	6,213.00	(1,865.16)
	100.0000	06/13/07	10/12/10			4,193.92	5,722.01	(1,528.09)
	280.0000	02/04/08	10/12/10			11,743.01	16,234.40	(4,491.39)
	80.0000	04/15/08	10/12/10			3,355.14	4,970.40	(1,615.26)
	270.0000	08/12/08	10/12/10			11,323.61	14,124.78	(2,801.17)
						36,702.66	49,553.39	(12,850.73)
ISHARES MSCI FRANCE INDX	420.0000	04/15/08	03/10/10			10,495.67	14,901.60	(4,405.93)
	149.0000	10/06/09	10/12/10			3,688.60	3,842.30	(153.70)
						14,184.27	18,743.90	(4,559.63)
ISHARES MSCI UNITED	240.0000	05/04/05	03/10/10			3,806.64	4,384.80	(578.16)
	370.0000	05/04/05	03/10/10			5,868.57	6,759.89	(891.32)
	312.0000	05/04/05	03/10/10			4,948.63	5,700.25	(751.62)
	1068.0000	05/04/05	03/10/10			16,939.55	19,512.35	(2,572.80)
	472.0000	05/04/05	03/10/10			7,486.39	8,623.45	(1,137.06)
	38.0000	05/04/05	03/10/10			602.72	694.25	(91.53)
	960.0000	05/04/05	09/24/10			15,937.26	17,539.20	(1,601.94)
	1450.0000	05/05/05	09/24/10			24,071.92	26,506.01	(2,434.09)
	400.0000	05/05/05	10/12/10			6,723.88	7,312.00	(588.12)
	70.0000	06/10/05	10/12/10			1,176.69	1,252.60	(75.91)



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI UNITED							
	60.0000	06/10/05	10/12/10		1,008.58	1,073.65	(65.07)
	1720.0000	06/10/05	10/12/10		28,912.71	30,770.80	(1,858.09)
	161.0000	06/10/05	10/12/10		2,706.37	2,880.29	(173.92)
	299.0000	06/10/05	10/12/10		5,026.11	5,349.11	(323.00)
	939.0000	08/12/08	10/12/10		15,784.32	18,515.20	(2,730.88)
		Security Subtotal			141,000.34	156,873.85	(15,873.51)
ISHARES MSCI SOUTH KOREA							
	86.0000	08/11/08	03/10/10		4,235.60	4,272.44	(36.84)
	30.0000	08/11/08	03/10/10		1,477.53	1,490.38	(12.85)
	10.0000	08/11/08	03/10/10		492.51	496.79	(4.28)
	44.0000	08/11/08	03/10/10		2,167.05	2,185.90	(18.85)
		Security Subtotal			8,372.69	8,445.51	(72.82)
ISHARES FTSE XINHUA HK							
	10.0000	05/07/08	03/10/10		415.71	527.63	(111.92)
	387.0000	09/25/09	10/12/10		3,862.25	3,862.26	(0.01)
	1662.0000	10/08/09	10/12/10		16,586.77	16,636.62	(49.85)
		Security Subtotal			20,449.02	20,498.88	(49.86)
Long Term Capital Losses Subtotal							
					464,182.14	559,378.13	(95,195.99)
NET LONG TERM CAPITAL GAIN (LOSS)							
				✓	2,766,871.46	2,381,255.56	385,615.90
OTHER TRANSACTIONS							
CALAMOS MKT NTRL INC I	1.0000	01/12/10	10/12/10		11.71	0.00	11.71
Other Transactions Subtotal							
				S/T	11.71		11.71
TOTAL CAPITAL GAINS AND LOSSES							
					6,287,800.52	5,841,032.58	446,756.23
TOTAL REPORTABLE GROSS PROCEEDS							
					6,287,800.52		
DIFFERENCE							
					0.00		0.00



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Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for those securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount (OID) obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (Reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of those items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer nor tax advisor. Please see your tax advisor for more information.

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS							
AK STEEL HOLDING CO	143.0000	12/11/09	03/23/10		3,327.57	2,931.50	396.07
	127.0000	12/11/09	03/26/10		2,972.38	2,603.50	368.88
					6,299.95	5,535.00	764.95
AGNICO EAGLE MINES LTD	75.0000	12/17/09	01/20/10		4,159.85	4,097.70	62.15
ALCOA INC	122.0000	07/09/10	07/27/10		1,366.38	1,327.16	39.22
ALPHA NATURAL RESOURCES	32.0000	01/04/10	12/30/10		1,947.17	1,445.87	501.30
AMN ELEC POWER CO	164.0000	09/18/09	01/07/10		5,752.97	5,248.20	504.77
ANGLO AMERN PLC ADR	44.0000	08/03/09	07/08/10		803.34	746.68	56.66
	104.0000	08/06/09	07/08/10		1,898.82	1,695.20	203.62
					2,702.16	2,441.88	260.28
ARCHER DANIELS MIDLD	53.0000	03/17/10	08/12/10		1,614.95	1,535.94	79.01
	121.0000	03/17/10	09/09/10		3,840.48	3,506.58	333.90
	28.0000	03/17/10	09/30/10		906.36	811.44	94.92
	61.0000	03/23/10	09/30/10		1,974.59	1,777.54	197.05
	41.0000	03/23/10	10/11/10		1,326.73	1,194.74	131.99
	31.0000	04/05/10	10/11/10		1,003.14	901.40	101.74
	23.0000	04/12/10	10/11/10		744.27	647.22	97.05
	41.0000	08/02/10	10/11/10		1,326.75	1,146.75	180.00
					12,737.27	11,521.61	1,215.66



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BARRICK GOLD CORPORATION	116.0000	04/09/10	05/07/10			5,015.42	4,814.00	201.42
	31.0000	04/09/10	07/08/10			1,309.08	1,286.50	22.58
	36.0000	04/23/10	07/08/10			1,520.24	1,456.42	63.82
	115.0000	04/23/10	07/09/10			5,020.89	4,652.45	368.44
		Security Subtotal				12,865.63	12,209.37	656.26
BUNGE LIMITED	17.0000	11/04/09	04/01/10			1,061.19	1,005.12	56.07
	37.0000	11/04/09	04/06/10			2,264.95	2,187.64	77.31
	10.0000	11/17/09	04/06/10			612.15	592.00	20.15
		Security Subtotal				3,938.29	3,784.76	153.53
BUCYRUS INTL INC NEW	53.0000	05/06/10	11/18/10			4,753.49	2,805.77	1,947.72
	22.0000	10/22/10	11/18/10			1,973.15	1,521.31	451.84
		Security Subtotal				6,726.64	4,327.08	2,399.56
BRF BRASIL FOODS SA ADR	88.0000	12/23/09	01/19/10			4,704.67	4,483.44	221.23
CONSTELLATION ENERGY GP	8.0000	08/21/09	01/07/10			277.59	242.14	35.45
	75.0000	08/25/09	01/07/10			2,602.43	2,352.96	249.47
	76.0000	08/28/09	01/07/10			2,637.14	2,379.81	257.33
		Security Subtotal				5,517.16	4,974.97	542.25
CNOOC LTD ADR	7.0000	03/24/10	07/14/10			1,153.79	1,119.53	34.26
	30.0000	03/24/10	08/17/10			5,025.28	4,797.98	227.30
	30.0000	04/14/10	09/13/10			5,669.90	5,367.60	302.30
	2.0000	07/26/10	09/13/10			378.00	334.06	43.94
		Security Subtotal				12,226.97	11,619.17	607.80
CHEVRON CORP	18.0000	04/14/10	12/17/10			1,595.00	1,443.24	151.76
	37.0000	04/14/10	12/20/10			3,305.89	2,966.66	339.23
		Security Subtotal				4,900.89	4,409.90	490.99
CAMERON INTL CORP	2.0000	05/04/10	09/20/10			80.97	78.28	2.69
	70.0000	05/05/10	09/20/10			2,834.09	2,751.00	83.09
		Security Subtotal				2,915.06	2,829.28	85.78
CME GROUP INC	22.0000	09/28/10	11/10/10			6,439.32	5,850.32	589.41
	23.0000	09/30/10	11/12/10			5,623.89	6,048.86	575.03
		Security Subtotal				13,063.62	11,899.18	1,164.44



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COSAN LTD SHS A	105.0000	09/21/09	03/02/10		956.54	838.94	117.60
	223.0000	09/21/09	03/16/10		2,096.17	1,781.78	314.39
	17.0000	09/24/09	07/12/10		178.49	128.99	49.50
	106.0000	09/24/09	07/13/10		1,114.56	804.27	310.29
		Security Subtotal			4,345.76	3,553.98	791.78
CHESAPEAKE ENERGY OKLA	30.0000	06/03/10	12/30/10		784.20	728.06	56.14
DEVON ENERGY CORP NEW	62.0000	05/14/09	01/08/10		4,699.29	3,868.75	830.54
	12.0000	07/01/09	01/08/10		909.55	674.56	234.99
	6.0000	07/01/09	01/13/10		447.46	337.28	110.18
	28.0000	09/16/09	01/13/10		2,088.17	2,017.96	70.21
	48.0000	10/15/09	01/13/10		3,579.73	3,406.08	173.65
		Security Subtotal			11,724.20	10,304.63	1,419.57
EXXON MOBIL CORP COM	50.0000	07/29/10	09/24/10		3,094.45	3,076.50	67.95
	10.0000	07/29/10	10/29/10		663.88	605.30	58.58
	39.0000	07/30/10	10/29/10		2,589.18	2,338.02	251.16
	7.0000	07/30/10	11/19/10		491.81	419.65	72.16
	68.0000	08/02/10	11/19/10		4,777.50	4,167.04	610.56
	17.0000	08/03/10	11/19/10		1,194.40	1,059.91	134.49
	27.0000	08/03/10	12/13/10		1,950.71	1,683.38	267.33
	66.0000	08/09/10	12/13/10		4,768.43	4,123.68	644.75
		Security Subtotal			19,530.46	17,423.48	2,106.98
EL PASO CORPORATION	216.0000	12/16/09	09/28/10		2,624.47	2,158.08	466.39
	73.0000	12/16/09	10/06/10		921.23	729.35	191.88
	220.0000	03/03/10	10/06/10		2,776.33	2,505.49	270.84
	178.0000	03/16/10	10/06/10		2,246.31	1,981.14	265.17
	8.0000	04/12/10	10/06/10		100.96	91.20	9.76
		Security Subtotal			8,669.30	7,465.26	1,204.04
FREEPRT-MCMRAN CPR & GLD	13.0000	11/17/09	10/18/10		1,253.71	1,091.22	162.49
	9.0000	12/03/09	10/18/10		867.96	766.36	101.60
	13.0000	12/14/09	10/18/10		1,253.71	1,008.73	244.98
	16.0000	01/27/10	10/18/10		1,543.05	1,148.16	394.89
		Security Subtotal			4,918.43	4,014.47	903.96



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FOREST OIL CORP NEW	121.0000	05/20/09	01/11/10			3,172.92	2,262.70	910.22
	6.0000	05/20/09	01/14/10			161.51	112.20	49.31
	99.0000	05/21/09	01/14/10			2,665.01	1,719.53	945.48
	36.0000	05/21/09	01/19/10			969.10	625.29	343.81
	57.0000	06/25/09	01/19/10			1,534.43	860.70	673.73
	45.0000	06/25/09	02/05/10			1,079.33	679.50	399.83
	144.0000	07/24/09	02/05/10			3,453.88	2,389.18	1,064.70
	34.0000	11/23/09	02/05/10			815.51	621.69	193.82
		Security Subtotal				13,857.69	9,270.79	4,586.90
FOSTER WHEELER AG	71.0000	05/01/09	03/02/10			1,738.13	1,533.48	204.65
	161.0000	08/04/09	03/03/10			4,033.00	3,729.81	303.19
		Security Subtotal				5,771.13	5,263.29	507.84
JPMORGAN ALERIAN MLP	83.0000	06/25/10	07/29/10			2,695.93	2,534.69	161.24
	34.0000	07/12/10	07/29/10			1,104.36	1,085.28	19.08
		Security Subtotal				3,800.29	3,619.97	180.32
GREAT BASIN GOLD LTD	215.0000	10/13/09	08/09/10			389.14	356.94	32.20
HORSEHEAD HLDG CORP	99.0000	07/29/09	04/22/10			1,207.78	1,004.40	203.38
	174.0000	07/29/09	04/23/10			2,122.99	1,765.31	357.68
	105.0000	02/04/10	10/15/10			1,150.30	905.94	244.36
	281.0000	02/04/10	11/05/10			3,189.30	2,424.47	764.83
	286.0000	02/04/10	11/08/10			3,302.35	2,467.61	834.74
		Security Subtotal				10,972.72	8,567.73	2,404.99
JSC MMC NORILSK NCKL ADR	161.0000	07/09/10	07/26/10			2,704.75	2,519.65	185.10
	514.0000	10/11/10	12/21/10			11,981.14	9,249.22	2,731.92
		Security Subtotal				14,685.89	11,768.87	2,917.02
MONSANTO CO NEW DEL COM	36.0000	06/08/10	08/27/10			2,023.89	1,797.68	226.21
NEWFIELD EXPL CO COM	53.0000	08/17/10	11/04/10			3,348.25	2,717.39	630.86
	37.0000	08/17/10	12/16/10			2,657.65	1,897.04	760.61
		Security Subtotal				6,005.90	4,614.43	1,391.47
PETROHAWK ENERGY CORP	106.0000	09/30/09	01/07/10			2,742.15	2,573.74	168.41
	53.0000	11/23/09	01/07/10			1,371.08	1,154.15	216.93
		Security Subtotal				4,113.23	3,727.89	385.34

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PETROLEO BRAS VTG SPD ADR	136.0000	01/27/10	04/14/10		5,998.86	5,553.44	445.42
	37.0000	05/28/10	08/03/10		1,397.83	1,298.98	98.85
	33.0000	06/25/10	08/03/10		1,246.73	1,184.37	62.36
		Security Subtotal			8,643.42	8,036.79	606.63
PEABODY ENERGY CORP COM	116.0000	08/17/10	09/22/10		5,728.90	5,460.12	268.78
	7.0000	09/10/10	09/22/10		345.72	323.96	21.76
	28.0000	09/10/10	10/26/10		1,463.54	1,295.84	167.70
	65.0000	09/10/10	10/29/10		3,412.44	3,008.20	404.24
		Security Subtotal			10,950.60	10,088.12	862.48
RIO TINTO PLC SPNSRD ADR	5.0000	04/28/09	04/08/10		1,188.94	627.22	561.72
	3.0000	04/28/09	04/28/10		657.55	376.34	281.21
	7.0000	07/13/09	04/28/10		1,534.31	890.45	643.86
	11.0000	12/08/09	10/06/10		679.13	556.63	122.50
	13.0000	12/08/09	10/11/10		811.35	657.83	153.52
	64.0000	12/29/09	10/11/10		3,994.34	3,511.24	483.10
	20.0000	12/30/09	10/11/10		1,248.23	1,076.80	171.43
	48.0000	07/08/10	10/11/10		2,995.76	2,242.32	753.44
	6.0000	07/08/10	10/21/10		391.37	280.29	111.08
		Security Subtotal			13,500.98	10,219.12	3,281.86
REPSOL YPF S A SPND ADR	123.0000	02/05/10	07/27/10		2,942.17	2,683.82	258.35
	103.0000	02/05/10	10/01/10		2,809.38	2,247.43	561.95
		Security Subtotal			5,751.55	4,931.25	820.30
ROYAL GOLD INC COM	26.0000	06/24/09	01/11/10		1,297.06	1,124.09	172.97
	34.0000	08/06/09	01/11/10		1,696.16	1,436.54	259.62
		Security Subtotal			2,993.22	2,560.63	432.59
SCHLUMBERGER LTD	34.0000	03/11/09	02/25/10		2,045.41	1,329.12	716.29
	4.0000	04/14/09	03/24/10		249.09	178.60	70.49
	26.0000	06/25/09	03/24/10		1,619.12	1,426.88	192.24
		Security Subtotal			3,913.62	2,934.60	979.02
SYNGENTA AG ADR	51.0000	10/11/10	11/23/10		2,871.25	2,740.51	130.74



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MECHEL OAO ADR	266.0000	01/13/10	04/06/10		8,087.51	5,062.06	2,025.45
	26.0000	02/05/10	04/06/10		790.51	521.38	269.13
	40.0000	02/05/10	04/12/10		1,211.97	802.14	409.83
	42.0000	02/08/10	04/12/10		1,272.58	828.17	444.41
	17.0000	02/26/10	04/12/10		515.10	383.35	131.75
	101.0000	02/26/10	04/14/10		3,137.99	2,277.55	860.44
		Security Subtotal			15,015.66	10,874.65	4,141.01
SILVER WHEATON CORP	49.0000	04/28/09	03/02/10		760.96	376.49	384.47
	170.0000	06/18/09	03/02/10		2,640.09	1,461.67	1,178.42
	78.0000	06/18/09	05/03/10		1,538.98	670.65	868.33
	293.0000	06/18/09	05/06/10		5,307.11	2,519.25	2,787.86
		Security Subtotal			10,247.14	5,028.06	5,219.08
SOUTHERN COPPER CORP	38.0000	05/20/09	04/20/10		1,245.62	781.07	464.55
	92.0000	05/20/09	04/21/10		2,879.61	1,891.02	988.59
	33.0000	05/20/09	04/22/10		1,024.09	678.31	345.78
	50.0000	05/21/09	04/22/10		1,551.65	972.50	579.15
	12.0000	05/26/09	04/22/10		372.40	235.80	136.60
	69.0000	03/02/10	07/26/10		2,208.06	2,070.00	138.06
	54.0000	03/05/10	07/26/10		1,728.04	1,671.30	56.74
	118.0000	07/07/10	07/26/10		3,776.11	3,389.98	386.13
	48.0000	07/07/10	09/21/10		1,599.30	1,378.98	220.32
	119.0000	07/08/10	09/21/10		3,964.95	3,470.49	494.46
		Security Subtotal			20,349.83	16,539.45	3,810.38
STERLITE INDUSTRIES	92.0000	06/18/09	02/04/10		1,437.02	1,130.88	306.14
	120.0000	06/23/09	02/04/10		1,874.37	1,389.84	484.53
		Security Subtotal			3,311.39	2,520.72	790.67
TECK RESOURCES LTD CLS B	70.0000	07/27/09	04/08/10		3,105.36	1,746.23	1,359.13
	72.0000	07/27/09	04/20/10		3,099.54	1,796.13	1,303.41
	5.0000	01/27/10	04/20/10		215.25	170.59	44.66
	13.0000	01/27/10	08/09/10		458.76	443.57	15.19
		Security Subtotal			6,878.91	4,156.52	2,722.39
TENARIS S A ADR	39.0000	07/30/09	03/31/10		1,681.16	1,142.15	539.01
	5.0000	07/30/09	03/31/10		216.04	146.43	69.61
	64.0000	02/25/10	03/31/10		2,765.40	2,629.05	136.35
		Security Subtotal			4,662.60	3,917.63	744.97



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TERRA INDUSTRIES INC COM	91.0000	01/15/10	02/16/10		3,671.80	2,983.89	687.91
	79.0000	01/26/10	02/16/10		3,187.61	2,603.05	584.56
		Security Subtotal			6,859.41	5,586.94	1,272.47
TEEKAY CORP	130.0000	04/01/10	09/27/10		3,561.93	3,045.48	516.45
	54.0000	07/08/10	09/27/10		1,479.58	1,431.14	48.44
		Security Subtotal			5,041.51	4,476.62	564.89
UNITED STS STL CORP NEW	17.0000	09/09/09	08/18/10		845.91	752.42	93.49
	86.0000	11/18/09	10/11/10		3,985.08	3,693.85	291.23
		Security Subtotal			4,830.99	4,446.27	384.72
VALERO ENERGY CORP NEW	149.0000	07/27/10	08/09/10		2,713.24	2,661.97	51.27
WALTER ENERGY INC	5.0000	10/30/09	08/09/10		388.34	302.10	86.24
WORLD FUEL SERVICES CRP	111.0000	09/16/10	12/08/10		3,563.44	2,797.20	766.24
	30.0000	09/17/10	12/08/10		963.10	742.39	220.71
	83.0000	09/17/10	12/15/10		2,661.13	2,053.94	607.19
	22.0000	09/20/10	12/15/10		705.37	552.93	152.44
		Security Subtotal			7,893.04	6,146.46	1,746.58
WEATHERFORD INTL LTD.	112.0000	12/01/09	12/01/10		2,383.04	1,931.72	451.32
	61.0000	05/02/10	12/01/10		1,297.91	822.96	474.95
		Security Subtotal			3,680.95	2,754.68	926.27
WESTERN COAL CORP SHS	1075.0000	09/22/10	11/19/10		11,302.36	5,396.50	5,905.86
	55.0000	09/22/10	12/01/10		558.24	276.10	282.14
	235.0000	10/26/10	12/01/10		2,385.21	1,602.70	782.51
	16.0000	10/29/10	12/01/10		162.40	107.84	54.56
	625.0000	10/29/10	12/03/10		7,099.88	4,212.50	2,887.38
		Security Subtotal			21,508.09	11,595.64	9,912.45
XTO ENERGY INC	15.0000	01/09/09	01/06/10		714.29	566.55	147.74
	38.0000	01/09/09	01/06/10		1,818.25	1,435.26	382.99
	43.0000	01/23/09	01/06/10		2,057.49	1,537.60	519.89
	46.0000	06/10/09	01/06/10		2,201.05	1,928.32	272.73
		Security Subtotal			6,791.08	5,467.73	1,323.35
WILLIAMS COMPANIES DEL	60.0000	06/15/10	10/13/10		1,285.79	1,263.57	22.22
		Short Term Capital Gains Subtotal			383,493.52	313,882.01	69,611.51

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SHORT TERM CAPITAL LOSSES								
AGNICO EAGLE MINES LTD	27.0000	12/17/09	01/26/10			1,424.76	1,475.18	(50.42)
	26.0000	12/30/09	01/26/10			1,372.01	1,396.53	(24.52)
		Security Subtotal				2,796.77	2,871.71	(74.94)
ALCOA INC	223.0000	06/21/10	07/27/10			2,497.55	2,669.31	(171.76)
ARCELORMITTAL SA	181.0000	11/18/09	07/07/10			5,089.97	7,017.80	(1,927.83)
ARCHER DANIELS MIDLD	72.0000	11/23/09	06/08/10			1,772.65	2,296.80	(524.15)
	16.0000	11/23/09	08/10/10			486.71	510.40	(23.69)
	73.0000	11/25/09	08/10/10			2,220.66	2,292.93	(72.27)
	16.0000	11/25/09	08/12/10			487.52	502.56	(15.04)
	72.0000	12/01/09	08/12/10			2,193.88	2,293.92	(100.04)
	47.0000	01/13/10	08/12/10			1,432.12	1,433.85	(1.73)
		Security Subtotal				8,593.54	9,330.46	(736.92)
BUNGE LIMITED	23.0000	09/14/09	03/08/10			1,451.28	1,466.24	(14.96)
	72.0000	09/14/09	03/17/10			4,427.91	4,590.01	(162.10)
	26.0000	10/19/09	03/17/10			1,598.97	1,776.32	(177.35)
	4.0000	10/19/09	04/01/10			249.68	273.28	(23.60)
	28.0000	12/30/09	04/06/10			1,714.02	1,790.73	(76.71)
	12.0000	11/30/09	04/06/10			734.58	737.40	(2.82)
		Security Subtotal				10,176.44	10,633.98	(457.54)
BHP BILLITON LTD ADR	10.0000	03/05/10	08/09/10			758.36	779.70	(21.34)
	5.0000	03/11/10	08/09/10			379.19	390.92	(11.73)
	18.0000	03/11/10	08/10/10			1,313.98	1,407.29	(93.31)
		Security Subtotal				2,451.53	2,577.91	(126.38)
BRF BRASIL FOODS SA ADR	28.0000	12/23/09	02/09/10			1,361.60	1,426.55	(64.95)
	114.0000	12/23/09	02/09/10			5,543.66	5,689.31	(145.65)
		Security Subtotal				6,905.26	7,115.86	(210.60)
COMPANHIA ENERG DE ADR	180.0000	01/20/10	02/08/10			2,896.36	2,989.80	(93.44)
CONSOL ENERGY INC COM	7.0000	09/17/09	09/10/10			244.77	327.48	(82.71)
	30.0000	05/05/10	09/10/10			1,049.06	1,210.50	(161.44)
		Security Subtotal				1,293.83	1,537.98	(244.15)
CNOOC LTD ADR	1.0000	04/14/10	08/17/10			167.51	178.92	(11.41)



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CHEVRON CORP	37.0000	10/21/09	02/09/10		2,626.96	2,889.24	(262.28)
	35.0000	11/16/09	02/09/10		2,484.97	2,749.60	(264.63)
	3.0000	11/23/09	02/09/10		213.00	236.18	(23.18)
	65.0000	11/23/09	02/11/10		4,593.24	5,117.25	(524.01)
	3.0000	12/01/09	02/11/10		212.00	237.83	(25.83)
	5.0000	04/14/10	08/09/10		395.59	400.90	(5.31)
		Security Subtotal			10,525.76	11,631.00	(1,105.24)
CONOCOPHILLIPS	109.0000	04/14/10	08/31/10		5,713.70	6,151.56	(437.86)
CAMERON INTL CORP	69.0000	05/04/10	09/17/10		2,662.52	2,700.80	(38.28)
CHESAPEAKE ENERGY OKLA	104.0000	08/19/09	05/25/10		2,149.64	2,353.04	(203.40)
	21.0000	09/23/09	05/25/10		434.07	605.22	(171.15)
		Security Subtotal			2,583.77	2,958.26	(374.55)
DRYSHIPS INC	127.0000	12/22/09	08/09/10		629.91	751.84	(121.93)
ENTERGY CORP NEW	32.0000	04/05/10	05/25/10		2,295.29	2,590.89	(295.60)
	6.0000	04/05/10	05/26/10		438.34	485.80	(47.46)
	34.0000	04/28/10	05/26/10		2,483.94	2,752.52	(268.58)
		Security Subtotal			5,217.57	5,829.21	(611.64)
EOG RESOURCES INC	61.0000	10/06/10	11/04/10		5,381.89	6,021.31	(639.42)
	36.0000	10/28/10	11/04/10		3,176.21	3,404.80	(228.59)
		Security Subtotal			8,558.10	9,426.11	(868.01)
FIRSTENERGY CORP	73.0000	04/06/10	05/17/10		2,655.71	2,897.49	(241.78)
	5.0000	04/06/10	05/21/10		172.56	198.46	(25.90)
	81.0000	04/20/10	05/21/10		2,795.60	3,044.79	(249.19)
		Security Subtotal			5,623.87	6,140.74	(516.87)
FREEMPT-MCMRAN CPR & GLD	12.0000	10/23/09	05/12/10		872.27	1,009.20	(136.93)
	6.0000	10/23/09	06/11/10		384.37	504.60	(120.23)
	20.0000	10/26/09	06/11/10		1,281.23	1,659.20	(377.97)
	16.0000	10/27/09	06/11/10		1,024.98	1,256.64	(231.66)
	14.0000	11/11/09	06/11/10		896.86	1,181.11	(284.25)
	15.0000	11/17/09	06/11/10		960.94	1,259.09	(298.15)
		Security Subtotal			5,420.65	6,869.84	(1,449.19)
FOSTER WHEELER AG	12.0000	06/10/09	03/02/10		293.77	337.69	(43.92)
	61.0000	06/10/09	03/03/10		1,528.03	1,716.61	(188.58)
	11.0000	10/14/09	03/03/10		275.55	347.87	(72.32)
		Security Subtotal			2,097.35	2,402.17	(304.82)

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GOODRICH PETROLEUM NEW								
	92.0000	05/28/09	02/22/10			1,885.95	2,360.29	(474.34)
	11.0000	06/11/09	02/22/10			225.50	327.74	(102.24)
	66.0000	06/11/09	04/07/10			1,138.68	1,966.50	(827.82)
	97.0000	07/24/09	04/07/10			1,673.52	2,552.07	(878.55)
	34.0000	08/18/09	04/07/10			586.60	797.30	(210.70)
	3.0000	09/02/09	04/07/10			51.76	65.10	(13.34)
	20.0000	09/02/09	07/13/10			260.04	434.05	(174.01)
	49.0000	09/23/09	07/13/10			637.11	1,226.47	(589.36)
	8.0000	11/23/09	07/13/10			104.01	178.32	(74.31)
	100.0000	03/25/10	07/13/10			1,300.24	1,567.76	(267.52)
	20.0000	03/26/10	07/13/10			260.05	313.39	(53.34)
	155.0000	03/26/10	08/02/10			1,993.92	2,428.79	(434.87)
		Security Subtotal				10,117.38	14,217.78	(4,100.40)
KENNAMETAL INC								
	91.0000	05/07/10	08/12/10			2,405.86	2,628.52	(222.66)
	91.0000	05/17/10	08/12/10			2,405.87	2,583.99	(178.12)
	7.0000	06/25/10	08/12/10			185.07	185.36	(0.29)
		Security Subtotal				4,996.80	5,397.87	(401.07)
MONSANTO CO NEW DEL COM								
	47.0000	01/06/10	05/10/10			2,796.45	4,063.14	(1,266.69)
	24.0000	01/06/10	07/23/10			1,390.54	2,074.81	(684.27)
	1.0000	01/07/10	07/23/10			57.94	85.80	(27.86)
	58.0000	01/07/10	07/30/10			3,347.58	4,976.66	(1,629.08)
	12.0000	01/07/10	08/02/10			711.58	1,029.65	(318.07)
	33.0000	02/09/10	08/02/10			1,956.87	2,524.34	(567.47)
	47.0000	02/09/10	08/05/10			2,863.04	3,595.28	(732.24)
	9.0000	02/09/10	08/27/10			505.97	688.46	(182.49)
		Security Subtotal				13,629.97	19,038.14	(5,408.17)
PETROHAWK ENERGY CORP								
	101.0000	10/14/09	01/07/10			2,612.80	2,700.73	(87.93)
PROGRESS ENERGY INC								
	77.0000	04/05/10	05/07/10			3,008.20	3,078.19	(69.99)
	73.0000	04/22/10	05/07/10			2,851.93	2,857.95	(6.02)
		Security Subtotal				5,860.13	5,936.14	(76.01)
POSCO SPN ADR								
	25.0000	03/23/10	05/10/10			2,658.31	2,959.04	(300.73)
	30.0000	03/26/10	05/10/10			3,189.98	3,492.21	(302.23)
	6.0000	04/01/10	05/10/10			638.00	715.32	(77.32)
		Security Subtotal				6,486.29	7,166.57	(680.28)

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
RANGE RESOURCES CORP DEL	91.0000	01/06/10	01/27/10			4,359.95	4,841.20	(481.25)
	25.0000	01/06/10	01/27/10			1,197.80	1,331.22	(133.42)
	92.0000	01/06/10	02/01/10			4,382.86	4,898.89	(516.03)
		Security Subtotal				9,940.61	11,071.31	(1,130.70)
RRI ENERGY INC	350.0000	10/12/09	03/12/10			1,594.30	2,449.99	(855.69)
	37.0000	10/12/09	03/17/10			160.94	259.01	(98.07)
	213.0000	10/16/09	03/17/10			926.54	1,484.61	(558.07)
	277.0000	10/22/09	03/17/10			1,204.93	1,745.10	(540.17)
	256.0000	01/20/10	03/17/10			1,113.60	1,344.00	(230.40)
		Security Subtotal				5,000.31	7,282.71	(2,282.40)
ROYAL GOLD INC COM	25.0000	11/11/09	01/11/10			1,247.18	1,286.82	(39.64)
	5.0000	11/24/09	01/11/10			249.44	267.55	(18.11)
		Security Subtotal				1,496.62	1,554.37	(57.75)
SCHNITZER STEEL INDS A	31.0000	02/02/10	02/05/10			1,301.98	1,374.53	(72.55)
SCHLUMBERGER LTD	44.0000	10/19/09	03/24/10			2,740.07	3,073.78	(333.71)
	8.0000	12/24/09	03/24/10			498.20	523.96	(25.76)
	10.0000	12/24/09	03/26/10			613.51	654.97	(41.46)
	37.0000	01/08/10	03/26/10			2,270.00	2,640.02	(370.02)
		Security Subtotal				6,121.78	6,892.73	(770.95)
SOUTHERN COPPER CORP	28.0000	11/18/09	06/07/10			753.59	1,002.96	(249.37)
	29.0000	12/03/09	06/07/10			780.51	1,058.38	(277.87)
	8.0000	12/14/09	06/07/10			215.32	264.72	(49.40)
	25.0000	12/14/09	06/08/10			678.21	827.27	(149.06)
	19.0000	01/05/10	06/08/10			515.44	650.75	(135.31)
	22.0000	02/22/10	06/08/10			596.83	669.53	(72.70)
	51.0000	03/02/10	06/08/10			1,383.57	1,530.00	(146.43)
		Security Subtotal				4,923.47	6,003.61	(1,080.14)
STERLITE INDUSTRIES	67.0000	10/07/09	02/04/10			1,046.53	1,130.97	(84.44)
	93.0000	10/27/09	02/04/10			1,452.65	1,534.50	(81.85)
		Security Subtotal				2,499.18	2,665.47	(166.29)
THOMPSON CREEK METALS CO	442.0000	03/17/10	07/08/10			3,981.64	6,019.02	(2,037.38)
	230.0000	04/20/10	07/08/10			2,071.90	3,077.79	(1,005.89)
		Security Subtotal				6,053.54	9,096.81	(3,043.27)

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TRANSOCEAN LTD	17.0000	12/24/09	06/21/10			921.94	1,441.61	(519.67)
	7.0000	12/24/09	07/07/10			344.33	593.61	(249.28)
	4.0000	03/05/10	07/07/10			196.76	334.56	(137.80)
	38.0000	05/25/10	07/07/10			1,869.24	2,161.91	(292.67)
		Security Subtotal				3,332.27	4,531.69	(1,199.42)
WILLIAMS COMPANIES DEL	129.0000	04/01/10	10/13/10			2,764.43	3,017.31	(252.88)
	123.0000	04/22/10	10/13/10			2,635.86	2,907.72	(271.86)
		Security Subtotal				5,400.29	5,925.03	(524.74)
		Short Term Capital Losses Subtotal				181,675.32	212,640.75	(30,965.43)
NET SHORT TERM CAPITAL GAIN (LOSS)						565,168.84	526,522.76	38,646.08
LONG TERM CAPITAL GAINS								
AGRIUM INC	8.0000	05/17/07	08/09/10			538.23	306.27	231.96
	25.0000	05/17/07	08/18/10			1,726.72	957.10	769.62
	10.0000	08/02/07	08/18/10			690.69	415.47	275.22
		Security Subtotal				2,955.64	1,678.84	1,276.80
ANGLO AMERN PLC ADR	366.0000	06/24/09	07/08/10			6,682.34	5,300.93	1,381.41
APACHE CORP	63.0000	02/09/07	06/03/10			5,579.44	4,445.52	1,133.92
	15.0000	11/04/08	06/03/10			1,328.45	1,244.10	84.35
		Security Subtotal				6,907.89	5,689.62	1,218.27
BUCYRUS INTL INC NEW	25.0000	11/04/08	03/01/10			1,594.35	646.26	948.09
	84.0000	01/26/09	03/01/10			5,357.05	1,330.55	4,026.50
	10.0000	01/26/09	11/15/10			897.28	158.41	738.87
	23.0000	04/14/09	11/15/10			2,063.75	418.83	1,644.92
	40.0000	09/14/09	11/15/10			3,589.15	1,289.20	2,299.95
	33.0000	09/14/09	11/18/10			2,959.71	1,063.59	1,896.12
		Security Subtotal				16,461.29	4,906.84	11,554.45
BHP BILLITON LTD ADR	38.0000	01/28/09	04/20/10			3,035.51	1,587.26	1,448.25
	21.0000	01/28/09	04/28/10			1,587.57	877.18	710.39
	13.0000	02/09/09	04/28/10			982.79	593.76	389.03
	13.0000	02/09/09	05/12/10			885.54	593.76	291.78
	2.0000	02/27/09	05/12/10			136.24	74.31	61.93
	12.0000	02/27/09	07/13/10			810.47	445.92	364.55
	14.0000	02/27/09	07/16/10			929.28	520.25	409.03
	5.0000	03/10/09	07/16/10			331.88	197.40	134.48

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Security Description	ADR	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BHP BILLITON LTD	ADR	5.0000	03/27/09	07/16/10			331.89	231.40	100.49
		25.0000	04/28/09	07/16/10			1,659.45	1,133.79	525.66
		6.0000	06/25/09	07/16/10			398.27	326.58	71.69
		1.0000	06/25/09	08/09/10			75.83	54.43	21.40
			Security Subtotal				11,164.72	6,636.04	4,528.68
CONSOL ENERGY INC	COM	59.0000	03/28/07	03/17/10			2,953.97	2,299.64	654.33
		10.0000	11/04/08	08/17/10			364.28	352.00	12.28
		14.0000	02/04/09	08/17/10			510.00	418.46	91.54
		12.0000	02/25/09	08/17/10			437.14	320.76	116.38
		35.0000	07/30/09	08/17/10			1,275.01	1,205.54	69.47
		113.0000	07/30/09	09/10/10			3,951.39	3,892.17	59.22
			Security Subtotal				9,491.79	8,488.57	1,003.22
CF INDS HLDGS INC		8.0000	08/08/07	03/02/10			824.55	450.38	374.17
		10.0000	08/09/07	08/17/10			911.86	562.98	348.88
		13.0000	11/04/08	08/17/10			1,185.42	856.83	328.59
		10.0000	02/06/09	08/17/10			911.87	557.96	353.91
		6.0000	02/06/09	09/13/10			584.92	334.78	250.14
		11.0000	02/09/09	09/13/10			1,072.38	598.77	473.61
		21.0000	02/09/09	09/16/10			2,091.98	1,143.12	948.86
		11.0000	05/11/09	09/16/10			1,095.81	827.22	268.59
			Security Subtotal				8,678.79	5,332.04	3,346.75
CLIFFS NATURAL RESOURCES		34.0000	11/04/08	04/01/10			2,471.19	1,105.34	1,365.85
		32.0000	11/04/08	04/05/10			2,341.16	1,040.31	1,300.85
		9.0000	11/04/08	08/09/10			565.28	292.58	272.70
			Security Subtotal				5,377.63	2,438.23	2,939.40
CHESAPEAKE ENERGY OKLA		59.0000	12/02/09	12/30/10			1,542.26	1,381.78	160.48
EL PASO CORPORATION		253.0000	08/14/09	09/24/10			3,109.99	2,428.80	681.19
		13.0000	08/19/09	09/24/10			159.81	121.29	38.52
		247.0000	08/19/09	09/28/10			3,001.11	2,304.51	696.60
			Security Subtotal				6,270.97	4,854.60	1,416.37
FREEMT-MCMRAN CPR & GLD		8.0000	11/04/08	04/28/10			611.25	247.60	363.65
		28.0000	03/18/09	05/12/10			2,035.28	1,058.12	977.16
			Security Subtotal				2,646.53	1,305.72	1,340.81
HESS CORP		14.0000	12/16/08	12/20/10			1,050.48	692.39	358.09

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HORSEHEAD HLDG CORP	174.0000	07/29/09	10/08/10		1,766.33	1,765.33	1.00
	22.0000	07/29/09	10/15/10		241.01	223.20	17.81
	16.0000	08/07/09	10/15/10		175.28	160.20	15.08
		Security Subtotal			2,182.62	2,148.73	33.89
MOSAIC CO	13.0000	11/04/08	08/17/10		707.94	532.09	175.85
	4.0000	11/18/08	08/17/10		217.83	127.64	90.19
	31.0000	11/18/08	08/17/10		1,730.58	989.21	741.37
	22.0000	11/26/08	08/17/10		1,228.16	645.35	582.81
	6.0000	11/26/08	08/18/10		348.54	176.01	172.53
	19.0000	02/06/09	08/18/10		1,103.74	827.46	276.28
	11.0000	02/06/09	08/23/10		656.36	479.05	177.31
	22.0000	04/08/09	08/23/10		1,312.75	914.94	397.81
	24.0000	04/08/09	09/08/10		1,436.72	998.11	438.61
	15.0000	05/18/09	09/08/10		897.95	816.86	81.09
		Security Subtotal			9,640.57	6,506.72	3,133.85
NATIONAL-OILWELL VARCO	69.0000	11/04/08	05/05/10		2,985.23	2,214.21	771.02
OCCIDENTAL PETE CORP CAL	11.0000	10/04/07	08/04/10		867.00	727.14	139.86
	3.0000	11/04/08	08/04/10		236.45	165.42	71.03
	12.0000	02/02/09	08/04/10		945.84	646.80	299.04
	21.0000	02/02/09	08/09/10		1,617.90	1,131.90	486.00
	9.0000	05/12/09	08/09/10		693.39	557.92	135.47
		Security Subtotal			4,360.58	3,229.18	1,131.40
POTASH CORP SASKATCHEWAN	1.0000	04/26/07	09/20/10		148.83	62.70	86.13
	2.0000	09/07/07	09/20/10		297.68	174.63	123.05
	10.0000	09/07/07	09/30/10		1,441.57	873.16	568.41
	8.0000	11/04/08	09/30/10		1,153.27	714.64	438.63
	19.0000	11/04/08	10/21/10		2,697.19	1,697.27	999.92
	2.0000	11/25/08	10/21/10		283.91	116.86	167.05
	19.0000	11/25/08	10/21/10		2,697.20	1,178.95	1,518.25
		Security Subtotal			8,719.65	4,818.21	3,901.44
RIO TINTO PLC SPNSRD ADR	1.0000	02/27/09	04/08/10		237.78	79.64	158.14
	10.0000	03/03/09	04/08/10		2,377.86	698.46	1,679.40
	1.0000	04/07/09	04/08/10		237.78	115.38	122.40
	20.0000	07/13/09	09/08/10		1,071.78	636.05	435.73
	32.0000	07/13/09	10/06/10		1,975.55	1,017.67	957.88
		Security Subtotal			5,900.85	2,547.20	3,353.65

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SCHLUMBERGER LTD	9.0000	03/11/09	03/24/10		560.46	351.83	208.63
	45.0000	03/12/09	03/24/10		2,802.32	1,710.00	1,092.32
	42.0000	03/13/09	03/24/10		2,615.50	1,644.30	971.20
		Security Subtotal			5,978.28	3,706.13	2,272.15
SOUTHERN COPPER CORP	137.0000	04/14/09	04/20/10		4,490.78	2,914.52	1,576.26
	52.0000	05/26/09	06/07/10		1,399.53	1,021.80	377.73
		Security Subtotal			5,890.31	3,936.32	1,953.99
TENARIS S A ADR	30.0000	11/04/08	03/16/10		1,338.54	662.70	675.84
	22.0000	11/04/08	03/24/10		958.04	485.98	472.06
	22.0000	12/12/08	03/24/10		958.04	438.68	519.36
	14.0000	03/10/09	03/24/10		609.66	238.00	371.66
	10.0000	03/16/09	03/24/10		435.47	188.54	246.93
	63.0000	03/17/09	03/24/10		2,743.53	1,180.44	1,563.09
	27.0000	03/17/09	03/31/10		1,163.88	505.91	657.97
		Security Subtotal			8,207.16	3,700.25	4,506.91
UNITED STS STL CORP NEW	32.0000	11/04/08	07/23/10		1,510.69	1,268.80	241.89
	40.0000	11/04/08	08/18/10		1,990.36	1,586.00	404.36
	23.0000	09/09/09	10/11/10		1,055.77	1,017.98	47.79
		Security Subtotal			4,556.82	3,872.78	684.04
VALE SA	103.0000	11/04/08	03/30/10		3,303.15	1,485.25	1,817.90
	44.0000	11/04/08	09/29/10		1,354.74	634.49	720.25
	105.0000	01/08/09	11/08/10		3,537.64	1,441.65	2,095.99
		Security Subtotal			8,195.53	3,561.39	4,634.14
WALTER ENERGY INC	25.0000	10/30/09	11/03/10		2,238.71	1,510.49	728.22
	33.0000	10/30/09	11/09/10		3,272.25	1,993.85	1,278.40
		Security Subtotal			5,510.96	3,504.34	2,006.62
WEATHERFORD INTL LTD.	36.0000	11/04/08	09/16/10		587.52	586.08	1.44
	332.0000	11/04/08	10/26/10		5,687.09	5,404.96	282.13
	44.0000	11/04/08	11/08/10		837.74	716.32	121.42
	7.0000	12/03/08	11/08/10		133.27	72.17	61.10
	30.0000	02/25/09	11/08/10		571.19	289.16	282.03
	84.0000	10/08/09	12/01/10		1,787.28	1,653.10	134.18
		Security Subtotal			9,604.09	8,721.79	882.30



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2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
XTO ENERGY INC	41.0000	11/04/08	01/06/10		1,952.36	1,500.61	451.75
	41.0000	11/17/08	01/06/10		1,952.37	1,369.35	583.02
Security Subtotal					3,904.73	2,869.96	1,034.77
Long Term Capital Gains Subtotal					164,877.65	104,042.81	60,834.84
LONG TERM CAPITAL LOSSES							
APACHE CORP	7.0000	07/10/08	06/03/10		619.93	851.02	(231.09)
CONSOL ENERGY INC COM	23.0000	03/28/07	08/17/10		837.85	896.48	(58.63)
	8.0000	02/05/08	08/17/10		291.42	590.28	(298.86)
	18.0000	03/05/08	08/17/10		655.71	1,344.22	(688.51)
	3.0000	07/10/08	08/17/10		109.28	284.77	(175.49)
Security Subtotal					1,894.26	3,115.75	(1,221.49)
CLIFFS NATURAL RESOURCES	54.0000	07/07/08	02/26/10		2,986.70	5,357.82	(2,371.12)
	46.0000	07/07/08	03/03/10		2,655.66	4,564.08	(1,907.42)
	24.0000	07/07/08	03/26/10		1,691.74	2,381.25	(689.51)
	11.0000	07/07/08	04/01/10		799.49	1,091.42	(291.93)
Security Subtotal					8,134.59	13,394.57	(5,259.98)
CHESAPEAKE ENERGY OKLA	59.0000	09/23/09	12/30/10		1,542.25	1,700.38	(158.13)
FREEMPT-MCMRAN CPR & GLD	11.0000	02/08/08	04/08/10		931.29	998.79	(67.50)
	23.0000	02/20/08	04/08/10		1,947.27	2,227.87	(280.60)
	27.0000	02/20/08	04/28/10		2,062.96	2,615.34	(552.38)
Security Subtotal					4,941.52	5,842.00	(900.48)
FOSTER WHEELER AG	54.0000	03/28/07	03/02/10		1,321.94	1,555.67	(233.73)
	24.0000	05/31/07	03/02/10		587.52	1,241.04	(653.52)
	18.0000	09/07/07	03/02/10		440.64	1,041.78	(601.14)
	7.0000	04/04/08	03/02/10		171.36	437.50	(266.14)
	3.0000	06/03/08	03/02/10		73.44	233.17	(159.73)
	13.0000	11/04/08	03/02/10		318.24	380.90	(62.66)
Security Subtotal					2,913.14	4,890.06	(1,976.92)
HORSEHEAD HLDG CORP	111.0000	09/25/09	10/15/10		1,216.01	1,270.83	(54.82)
	72.0000	09/28/09	10/15/10		788.76	823.05	(34.29)
	90.0000	10/02/09	10/15/10		985.96	1,005.36	(19.40)
	60.0000	10/06/09	10/15/10		657.31	694.09	(36.78)
Security Subtotal					3,648.04	3,793.33	(145.29)



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DAVE RICKEY & DAUGHTERS

2010 ANNUAL STATEMENT SUMMARY

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MOSAIC CO	27.0000	11/15/07	08/02/10		1,314.63	1,687.96	(373.33)
	27.0000	11/15/07	08/17/10		1,470.31	1,687.96	(217.65)
	6.0000	03/03/08	08/17/10		326.73	664.41	(337.68)
		Security Subtotal			3,111.67	4,040.33	(928.66)
OCCIDENTAL PETE CORP CAL	8.0000	07/10/08	08/04/10		630.55	665.23	(34.68)
	2.0000	07/11/08	08/04/10		157.63	167.31	(9.68)
		Security Subtotal			788.18	832.54	(44.36)
TENARIS S A ADR	25.0000	03/18/08	03/05/10		1,089.98	1,207.51	(117.53)
	10.0000	05/12/08	03/05/10		436.00	547.58	(111.58)
	1.0000	05/12/08	03/16/10		44.61	54.76	(10.15)
		Security Subtotal			1,570.59	1,809.85	(239.26)
TRANSOCEAN LTD	18.0000	12/12/06	05/27/10		1,073.86	1,465.86	(392.00)
	7.0000	04/20/07	05/27/10		417.61	573.93	(156.32)
	16.0000	12/28/07	05/27/10		954.55	2,347.65	(1,393.10)
	2.0000	12/28/07	06/15/10		95.45	293.46	(198.01)
	5.0000	12/31/07	06/15/10		238.64	575.98	(337.34)
	18.0000	11/04/08	06/15/10		859.15	1,454.40	(595.25)
	2.0000	11/04/08	06/16/10		94.79	161.60	(66.81)
	24.0000	12/12/08	06/16/10		1,137.53	1,328.40	(190.87)
	17.0000	12/12/08	06/17/10		833.49	940.95	(107.46)
	11.0000	12/16/08	06/17/10		539.33	625.02	(85.69)
	25.0000	12/16/08	06/18/10		1,334.48	1,420.50	(86.02)
	31.0000	12/16/08	06/21/10		1,681.15	1,761.42	(80.27)
	3.0000	12/17/08	06/21/10		162.69	167.46	(4.77)
	1.0000	01/29/09	06/21/10		54.23	55.09	(0.86)
	2.0000	02/25/09	06/21/10		108.46	117.50	(9.04)
		Security Subtotal			9,585.41	13,289.22	(3,703.81)
WEATHERFORD INTL LTD	28.0000	12/28/07	08/09/10		466.85	994.07	(527.22)
	82.0000	12/28/07	09/16/10		1,338.24	2,911.21	(1,572.97)
	20.0000	12/31/07	09/16/10		326.40	689.43	(363.03)
	21.0000	10/08/09	11/08/10		399.85	413.27	(13.42)
		Security Subtotal			2,531.34	5,007.98	(2,476.64)
		Long Term Capital Losses Subtotal			41,280.92	58,567.03	(17,286.11)
NET LONG TERM CAPITAL GAIN (LOSS)					206,158.57	162,609.84	43,548.73

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM NUSTAR ENERGY K-1	P	VARIOUS	VARIOUS
b	FROM ONEOK PARTNERS K-1	P	VARIOUS	VARIOUS
c	SEE ATTACHED MERRILL LYNCH (6YJ-04330)	P	VARIOUS	VARIOUS
d	SEE ATTACHED MERRILL LYNCH (6YJ-04330)	P	VARIOUS	VARIOUS
e	SEE ATTACHED MERRILL LYNCH (6YJ-04324)	P	VARIOUS	VARIOUS
f	SEE ATTACHED MERRILL LYNCH (6YJ-04324)	P	VARIOUS	VARIOUS
g	SEE ATTACHED MERRILL LYNCH (6YJ-04327)	P	VARIOUS	VARIOUS
h	SEE ATTACHED MERRILL LYNCH (6YJ-04327)	P	VARIOUS	VARIOUS
i	CATHY FINANCIAL GDR - CASH IN LIEU	P	VARIOUS	03/08/10
j	1,314.5774 SHS SELECTINVEST ARV LTD. (ML 6YJ-0432	P	06/30/09	01/01/10
k	2,333.7954 SHS SELECTINVEST ARV LTD. (ML 6YJ-0432	P	06/30/09	07/01/10
l	1,796.0344 SHS SELECTINVEST ARV LTD. (ML 6YJ-0432	P	06/30/09	11/01/10
m	CAPITAL GAINS DIVIDENDS			
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4.			4.
b 39.			39.
c 181,335.		211,324.	<29,989.>
d 422,969.		321,746.	101,223.
e 3,520,929.		3,459,777.	61,152.
f 2,766,871.		2,381,256.	385,615.
g 565,169.		526,523.	38,646.
h 206,159.		162,610.	43,549.
i 3.			3.
j 139,281.		131,458.	7,823.
k 237,954.		233,379.	4,575.
l 189,177.		179,603.	9,574.
m 5,582.			5,582.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4.
b			39.
c			<29,989.>
d			101,223.
e			61,152.
f			385,615.
g			38,646.
h			43,549.
i			3.
j			7,823.
k			4,575.
l			9,574.
m			5,582.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

627,796.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FROM ENERGY TRANSFER PARTNERS K-1	29.
FROM ENTERPRISE PRODUCTS PARTNERS K-1	62.
FROM KINDER MORGAN ENERGY PARTNERS K-1	262.
FROM NUSTAR ENERGY K-1	18.
FROM ONEOK PARTNERS K-1	1.
FROM PLAINS ALL AMERICAN PIPELINE K-1	2.
MERRILL LYNCH (6YJ-04323)	4,568.
MERRILL LYNCH (6YJ-04324)	768.
MERRILL LYNCH (6YJ-04324)	438.
MERRILL LYNCH (6YJ-04327)	30.
MERRILL LYNCH (6YJ-04328)	2.
MERRILL LYNCH (6YJ-04330)	128.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6,308.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FROM ENERGY TRANSFER PARTNERS K-1	33.	0.	33.
FROM KINDER MORGAN ENERGY PARTNERS K-1	105.	0.	105.
FROM NUSTAR ENERGY K-1	18.	0.	18.
FROM PLAINS ALL AMERICAN PIPELINE K-1	10.	0.	10.
MERRILL LYNCH (6YJ-04324)	212,171.	5,456.	206,715.
MERRILL LYNCH (6YJ-04327)	7,387.	0.	7,387.
MERRILL LYNCH (6YJ-04330)	79,258.	126.	79,132.
SMITH BARNEY (561-90230-12 524)	1.	0.	1.
TOTAL TO FM 990-PF, PART I, LN 4	298,983.	5,582.	293,401.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM ONEOK PARTNERS K-1	<2,970.>	0.	
FROM ENERGY TRANSFER PARTNERS K-1	<5,962.>	0.	
FROM KINDER MORGAN ENERGY PARTNERS K-1	<12,839.>	0.	
FROM ENTERPRISE PRODUCTS PARTNERS K-1	<6,516.>	0.	
FROM ML SYSTEMATIC MOMENTUM K-1	115,769.	0.	
FROM PLAINS ALL AMERICAN PIPELINE K-1	<864.>	0.	
FROM NUSTAR ENERGY K-1	<944.>	0.	
FROM IVP CIPHEROPTICS, LLC K-1	<921.>	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	84,753.	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	20,000.	0.		20,000.
TO FM 990-PF, PG 1, LN 16A	20,000.	0.		20,000.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,100.	0.		8,100.
TO FORM 990-PF, PG 1, LN 16B	8,100.	0.		8,100.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	117,874.	117,874.		0.
TO FORM 990-PF, PG 1, LN 16C	117,874.	117,874.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX	10.	0.		10.
FOREIGN TAX PAID	10,690.	0.		10,689.
TO FORM 990-PF, PG 1, LN 18	10,700.	0.		10,699.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	120.	0.		120.
TO FORM 990-PF, PG 1, LN 23	120.	0.		120.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SMITH BARNEY SECURITIES	FMV	0.	0.
MERRILL LYNCH SECURITIES	FMV	9,790,164.	11,659,732.
ADVANCED EQUITIES INVESTMENTS	FMV	147,498.	147,498.
AEI INVESTMENTS	FMV	35,000.	35,000.
IVP CIPHEROPTICS, LLC	FMV	14,079.	14,079.
ECOATM	FMV	20,000.	20,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,006,741.	11,876,309.