Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation ALAN R. MILLER FOUNDATION C/O DAVID B. MILLER,	**A Employer identification number** 11-3635469
Number and street (or P O box number if mail is not delivered to street address) 57 DUCK POND ROAD — Room/suite	**B** Telephone number
City or town, state, and ZIP code GLEN COVE, NY 11542	**C** If exemption application is pending, check here ▶☐ **D** 1. Foreign organizations, check here ▶☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 525,630. — **J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,842.	13,842.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	46,390.			STATEMENT 1
	b Gross sales price for all assets on line 6a 252,180.				
	7 Capital gain net income (from Part IV, line 2)		50,875.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	60,232.	64,717.	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	4,400.	2,200.		2,200.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	229.	229.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	5,961.	5,736.	0.	225.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	10,590.	8,165.	0.	2,425.
	25 Contributions, gifts, grants paid	22,500.			22,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	33,090.	8,165.	0.	24,925.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	27,142.			
	b **Net investment income** (if negative, enter -0-)		56,552.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	14,958.	20,301.	20,301.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	365,303.	387,102.	505,329.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	380,261.	407,403.	525,630.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	474,779.	474,779.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-94,518.	-67,376.	
	30 **Total net assets or fund balances**	380,261.	407,403.	
	31 **Total liabilities and net assets/fund balances**	380,261.	407,403.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	380,261.
2	Enter amount from Part I, line 27a	2	27,142.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	407,403.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	407,403.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
b	SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
c	BOOK BASIS IN ACCESS OF TAX BASIS - 200			
d	CANADIAN NATURAL SHARES	P	VARIOUS	VARIOUS
e	WEYERHAEUSER CO - CIL	P	VARIOUS	09/09/10

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	84,977.		77,200.	7,777.
b	167,195.		124,105.	43,090.
c				
d				0.
e	8.			8.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				7,777.
b				43,090.
c				
d				0.
e				8.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	50,875.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	67,416.	414,655.	.162583
2008	37,890.	567,676.	.066746
2007	50,062.	531,819.	.094134
2006	42,563.	508,007.	.083784
2005	57,459.	478,943.	.119970

2	Total of line 1, column (d)	2	.527217
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.105443
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	458,374.
5	Multiply line 4 by line 3	5	48,332.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	566.
7	Add lines 5 and 6	7	48,898.
8	Enter qualifying distributions from Part XII, line 4	8	24,925.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,131.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,131.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,131.
6 Credits/Payments:				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,128.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,128.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	3.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	Refunded ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RSM MCGLADREY INC. Telephone no. ▶ 212 372-1513
Located at ▶ 1185 AVENUE OF THE AMERICAS ZIP+4 ▶ 10036-2602

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID B. MILLER 57 DUCK POND ROAD GLEN COVE, NY 11542	DIRECTOR 1.00	0.	0.	0.
ROSEMARY MILLER 57 DUCK POND ROAD GLEN COVE, NY 11542	DIRECTOR 1.00	0.	0.	0.
MARLYS MILLER 6331 JANE AVENUE NORTH BROOKLYN CTR, MN 55429	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	446,345.
b	Average of monthly cash balances	1b	18,453.
c	Fair market value of all other assets	1c	556.
d	**Total** (add lines 1a, b, and c)	1d	465,354.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	465,354.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,980.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	458,374.
6	**Minimum investment return.** Enter 5% of line 5	6	22,919.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	22,919.
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a: 1,131.		
b	Income tax for 2010. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,131.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,788.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	21,788.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,788.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,925.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,925.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	24,925.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				21,788.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005 34,614.				
b From 2006 18,237.				
c From 2007 25,767.				
d From 2008 9,522.				
e From 2009 46,691.				
f Total of lines 3a through e	134,831.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 24,925.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				21,788.
e Remaining amount distributed out of corpus	3,137.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	137,968.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	34,614.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	103,354.			
10 Analysis of line 9:				
a Excess from 2006 18,237.				
b Excess from 2007 25,767.				
c Excess from 2008 9,522.				
d Excess from 2009 46,691.				
e Excess from 2010 3,137.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
HARVARD COLLEGE FUND 124 MOUNT AUBURN ST CAMBRIDGE, MA 021385795		501(C)(3)	UNRESTRICTED GRANT FOR DONEE'S EXEMPT PURPOSE	20,000.
ABBOTT-NORTHWESTERN HOSPITAL 800 EAST 28TH STREET MINNEAPOLIS, MN 55407		501(C)(3)	UNRESTRICTED GRANT FOR DONEE'S EXEMPT PURPOSE	2,500.
Total			▶ 3a	22,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	13,842.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	46,390.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		60,232.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	60,232.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

023621 12-07-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 4-6-11 Title: Director

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS MARINACCI	[signature]	3/25/11		P00029721
Firm's name ▶ RSM MCGLADREY, INC.			Firm's EIN ▶ 41-1944416	
Firm's address ▶ 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036-2602			Phone no. 212-372-1000	

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE STATEMENT A ATTACHED	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
84,977.	77,200.	0.	0.	7,777.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE STATEMENT A ATTACHED	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
167,195.	124,105.	0.	0.	43,090.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BOOK BASIS IN ACCESS OF TAX BASIS - 200 CANADIAN NATURAL SHARES	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	4,485.	0.	0.	-4,485.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WEYERHAEUSER CO - CIL				PURCHASED	VARIOUS	09/09/10

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8.	0.	0.	0.	8.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	46,390.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
THRU NEUBERGER BERMAN	13,842.	0.	13,842.
TOTAL TO FM 990-PF, PART I, LN 4	13,842.	0.	13,842.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,400.	2,200.	0.	2,200.
TO FORM 990-PF, PG 1, LN 16B	4,400.	2,200.	0.	2,200.

FORM 990-PF | TAXES | STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	229.	229.	0.	0.
TO FORM 990-PF, PG 1, LN 18	229.	229.	0.	0.

FORM 990-PF | OTHER EXPENSES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	5,701.	5,701.	0.	0.
PUBLICATION FEE	125.	0.	0.	125.
NYS FEE	100.	0.	0.	100.
PROCESSING FEES	35.	35.	0.	0.
TO FORM 990-PF, PG 1, LN 23	5,961.	5,736.	0.	225.

FORM 990-PF | CORPORATE STOCK | STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
350 ALPHA NATURAL RESOURCES INC	12,932.	21,010.
1,000 APOLLO INVESTMENT CORP	10,623.	11,070.
200 CALFRAC WELL SERVICES LTD	4,452.	6,862.
200 CANADIAN NATURAL RESOURCES LTD	0.	0.
400 CENOVUS ENERGY INC	9,581.	13,296.
700 CISCO SYSTEMS INC	0.	0.
700 CISCO SYSTEMS INC	12,981.	14,161.
50 CNOOC LTD	8,437.	11,918.
200 CONSOL ENERGY INC	0.	0.
200 CONSOL ENERGY INC	0.	0.
250 CRESCENT POINT ENERGY CORP	10,218.	11,129.
1050 CYPRESS SEMICONDUCTOR CORP	9,728.	19,509.
250 DIAGEO PLC-SPONSORED ADR	0.	0.
500 E-HOUSE CHINA HLDG	9,575.	7,480.
300 EMC CORP-MASS	4,398.	6,870.
600 EMC CORP-MASS	0.	0.
2,500 FOSTERS GROUP LTD	12,793.	14,517.
175 FRANKLIN RESOURCES INC	17,097.	19,462.

200 GOLDCORP INC NEW	0.	0.
275 GOLDCORP INC NEW	11,425.	12,644.
20 GOOGLE INC CL A	0.	0.
25 GOOGLE INC CL A	11,827.	14,849.
300 ICONIX BRAND GROUP INC	4,926.	5,793.
500 ICONIX BRAND GROUP INC	0.	0.
700 INVESCO LTD	12,762.	16,842.
800 ISHARES INC MSCI JAPAN INDEX FD	0.	0.
400 ISHARES FTSE XINHUA HK CHINA	9,167.	17,236.
450 ISHARES TRUST S&P GLOBAL CLEAN ENERGY	0.	0.
250 JOHNSON & JOHNSON	16,122.	15,462.
200 KUBOTA CORP ADR	0.	0.
300 KUBOTA CORP ADR	12,642.	14,250.
550 MARSH & MCLENNAN	0.	0.
750 NEW YORK COMMUNITY BANCORP INC	9,750.	14,137.
1050 NEW YORK COMMUNITY BANCORP INC	0.	0.
350 NORFOLK SOUTHERN CORP	17,182.	21,987.
200 PEABODY ENERGY CORPORATION	10,298.	12,796.
300 PHILIP MORRIS INTERNATIONAL	12,648.	17,559.
50 POTASH CORP OF SASKATCHEWAN INC	3,807.	7,741.
150 POTASH CORP OF SASKATCHEWAN INC	0.	0.
350 RANGE RESOURCES CORP	13,834.	15,743.
550 REPUBLIC SERVICES INC CL A	0.	0.
450 SANOFI AVENTIS SPONSORED	15,411.	14,504.
150 SCHLUMBERGER LTD	6,078.	12,525.
250 SCHLUMBERGER LTD	0.	0.
250 SOCIEDAD QUIMICA MINERA	0.	0.
350 SOCIEDAD QUIMICA MINERA	8,992.	20,447.
150 SOUTHWESTERN ENERGY CO	4,866.	5,615.
300 SOUTHWESTERN ENERGY CO	0.	0.
200 SPDR GOLD TRUST	13,094.	27,744.
400 STATE STREET CORP	0.	0.
400 SYMANTEZ CORP	0.	0.
400 UNILEVER N V NEW YORK SHS NEW	0.	0.
500 UNILEVER N V NEW YORK SHS NEW	12,718.	15,700.
150 UNITED PARCEL SVC INC CL B	0.	0.
225 UNITED PARCEL SVC INC CL B	13,829.	16,331.
200 VALE S A SPONSORED ADR	0.	0.
100 VALMONT INDUSTRIES INC	7,989.	8,873.
650 VERISIGN INC	0.	0.
200 WEYERHAEUSER CO	0.	0.
489 WEYERHAEUSER CO	12,467.	9,257.
350 WISDOMTREE TR INDIA EARNINGS FD ETF	6,387.	9,237.
100 WYNN RESORTS LTD	6,151.	10,384.
200 WYNN RESORTS LTD	0.	0.
1,100 YASKAWA ELECTRIC CORP	9,915.	10,389.
600 YINGLI GREEN ENERGY HLDG CO LTD ARD	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	387,102.	505,329.

FORM 990-PF PART XV - LINE 1A STATEMENT 7

LIST OF FOUNDATION MANAGERS

NAME OF MANAGER
DAVID B. MILLER
ROSEMARY MILLER

EQUITIES

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
3/23/2009	03/09/2010	300.00	0.00	VRSN	VERISIGN INC	20.626	26.626	6,187.74	7,987.82	ST	1,800.08	0.00	6,187.74	0.00	0.00
5/05/2009	03/12/2010	200.00	0 00	CSCO	CISCO SYSTEMS INC	19 481	25.874	3,896 16	5,174 71	ST	1,278.55	0.00	3,896.16	0.00	0.00
1/06/2010	03/16/2010	400 00	0.00	AINV	APOLLO INVESTMENT CORPORATIO N	10.623	12.331	4,249 32	4,932.29	ST	682.97	0.00	4,249.32	0.00	0.00
2/07/2009	04/26/2010	300 00	0 00	NYB	NEW YORK COMMUNITY BANCORP INC	13.000	16.474	3,900.00	4,942.14	ST	1,042.14	0.00	3,900.00	0.00	0 00
1/11/2009	05/03/2010	50.00	0.00	WYNN	WYNN RESORTS LTD	66.084	90.953	3,304 22	4,547 59	ST	1,243 37	0.00	3,304.22	0.00	0 00
1/20/2010	05/11/2010	25 00	0.00	EQIX	EQUINIX INC NEW	103.246	98 770	2,581 14	2,469.21	ST	-111.93	0 00	2,581 14	0 00	0 00
9/02/2009	05/25/2010	300.00	0 00	YGE	YINGLI GREEN ENERGY HLDG CO LTD ADR	10 556	8 833	3,166.67	2,649 86	ST	-516 81	0.00	3,166 67	0 00	0.00
1/20/2010	05/26/2010	50 00	0.00	EQIX	EQUINIX INC NEW	103 246	92 792	5,162.29	4,639.52	ST	-522 77	0 00	5,162 29	0.00	0 00

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1/11/2009	05/26/2010	50 00	0.00	WYNN	WYNN RESORTS LTD	66.084	80.599	3,304.22	4,029.89	ST	725.67	0.00	3,304.22	0.00	0.00
9/02/2009	05/26/2010	300.00	0.00	YGE	YINGLI GREEN ENERGY HLDG CO LTD ADR	10.556	8 987	3,166.67	2,695.92	ST	-470.75	0.00	3,166.67	0.00	0.00
1/08/2010	06/04/2010	50 00	0.00	EOG	EOG RES INC	98 040	103 813	4,902.01	5,190.55	ST	288.54	0 00	4,902.01	0 00	0.00
8/03/2009	07/07/2010	800 00	0 00	EWJ	**ISHARES INC MSCI JAPAN INDEX FD (BOOK ENTRY)	10.048	9 627	8,038 48	7,701 54	ST	-336 94	0 00	8,038.48	0.00	0.00
4/20/2010	10/07/2010	200.00	0.00	BRF	MARKET VECTORS ETF TR BRAZIL SM CAP ETF	46 172	58.090	9,234.34	11,617 82	ST	2,383.48	0.00	9,234.34	0.00	0.00
1/08/2010	10/12/2010	25 00	0.00	CEO	***CNOOC LTD SPONSORED ADR	168.863	208.365	4,221.59	5,209.03	ST	987 44	0.00	4,221 59	0 00	0.00
1/08/2010	11/03/2010	50 00	0 00	EOG	EOG RES INC	98 040	87.445	4,902.01	4,372.17	ST	-529.84	0.00	4,902.01	0 00	0.00
3/12/2010	11/11/2010	400 00	0 00	SYMC	SYMANTEC CORP	17 458	17.043	6,983 07	6,817 24	ST	-165 83	0.00	6,983.07	0.00	0 00
ST - TERM TOTAL		3,500.00						77,199.93	84,977.30	ST	7,777.37	0.00	77,199.93	0.00	0.00
1/11/2002	01/05/2010	50.00	0.00	DEO	***DIAGEO PLC-SPONSORED ADR NEW REPSTG 4 ORD SHS	45.745	68 129	2,292.25	3,406.36	LT	0.00	1,114.11	2,292.25	0 00	0.00
2/26/2008	01/06/2010	550.00	0 00	MMC	MARSH & MCLENNAN COS INC	23.191	21.896	12,755.16	12,042.44	LT	0.00	-712.72	12,755 16	0.00	0 00
0/20/2008	01/07/2010	150.00	0 00	SWN	SOUTHWESTERN ENERGY CO	32.441	50 546	4,866 16	7,581.64	LT	0.00	2,715.48	4,866.16	0.00	0 00
6/30/2004	01/08/2010	100 00	0.00	CNQ	***CANADIAN NATURAL RESOURCES LTD	14.606	72 056	1,465 56	7,205.40	LT	0 00	5,739.84	1,465.56	0.00	0 00
0/21/2008	01/08/2010	100.00	0.00	CNQ	***CANADIAN NATURAL RESOURCES LTD	45 955	72.056	4,595 47	7,205 40	LT	0.00	2,609.93	4,595.47	0.00	0.00
1/12/2008	01/13/2010	450.00	0 00	ICLN	ISHARES TRUST S&P GLOBAL CLEAN ENERGY INDEX	18.672	23.346	8,402 58	10,505 43	LT	0 00	2,102.85	8,402.58	0.00	0.00

STATEMENT A
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1/11/2002	01/20/2010	200.00	0.00	DEO	***DIAGEO PLC-SPONSORED ADR NEW REPSTG 4 ORD SHS	45.745	70.138	9,169.00	14,027.48	LT	0.00	4,858.48	9,169.00	0.00	0.00
2/06/2009	03/12/2010	100.00	0 00	CSCO	CISCO SYSTEMS INC	16 946	25.874	1,694 56	2,587.35	LT	0.00	892.79	1,694 56	0.00	0.00
1/05/2009	04/20/2010	200 00	0 00	VALE	***VALE S A SPONSORED ADR	14.231	33.169	2,846 28	6,633.62	LT	0 00	3,787.34	2,846.28	0.00	0 00
1/06/2009	04/30/2010	200.00	0 00	CNX	CONSOL ENERGY INC	36 793	44 654	7,358.50	8,930.68	LT	0 00	1,572 18	7,358.50	0 00	0 00
3/23/2009	05/25/2010	50.00	0 00	VRSN	VERISIGN INC	20.626	26.170	1,031 29	1,308 50	LT	0.00	277.21	1,031.29	0.00	0.00
4/08/2009	05/25/2010	150.00	0 00	VRSN	VERISIGN INC	19 969	26.170	2,995.35	3,925.49	LT	0.00	930.14	2,995.35	0.00	0.00
4/08/2009	05/26/2010	150.00	0.00	VRSN	VERISIGN INC	19.969	26.412	2,995.35	3,961.78	LT	0.00	966 43	2,995.35	0.00	0.00
0/15/2008	06/17/2010	100.00	0.00	SLB	***SCHLUMBERGER LTD	63 812	60 980	6,381 18	6,097 91	LT	0.00	-283.27	6,381 18	0.00	0.00
2/22/2008	06/18/2010	200 00	0.00	CNX	CONSOL ENERGY INC	28 072	38.645	5,614 40	7,728.89	LT	0.00	2,114 49	5,614.40	0.00	-0.00
4/23/2009	07/26/2010	200.00	0.00	STT	STATE STREET CORP	35.514	39.630	7,102.85	7,925.91	LT	0.00	823 06	7,102.85	0.00	0.00
4/14/2009	07/26/2010	200.00	0.00	STT	STATE STREET CORP	36 493	39 630	7,298 52	7,925.91	LT	0.00	627 39	7,298 52	0 00	0.00
3/11/2009	08/17/2010	50.00	0.00	POT	***POTASH CORP OF SASKATCHEWAN INC	78 136	143.271	3,906 79	7,163.44	LT	0 00	3,256 65	3,906.79	0 00	0.00
3/11/2009	08/18/2010	50.00	0.00	POT	***POTASH CORP OF SASKATCHEWAN INC	78.136	147.073	3,906.80	7,353.52	LT	0.00	3,446.72	3,906.80	0 00	0.00
4/14/2008	09/27/2010	300.00	0.00	EMC	EMC CORP-MASS	14.660	21 627	4,398.00	6,488.11	LT	0.00	2,090.11	4,398.00	0 00	0 00
3/13/2009	10/18/2010	150 00	0.00	RSG	REPUBLIC SERVICES INC CL A	17.074	30.350	2,561.07	4,552.42	LT	0 00	1,991.35	2,561 07	0.00	0.00
1/05/2009	10/18/2010	400.00	0 00	RSG	REPUBLIC SERVICES INC CL A	25 430	30.350	10,171 92	12,139.79	LT	0.00	1,967 87	10,171.92	0 00	0.00
0/29/2009	11/11/2010	400 00	0 00	SYMC	SYMANTEC CORP	17.531	17.043	7,012.38	6,817.24	LT	0 00	-195.14	7,012.38	0 00	0 00
7/20/2009	11/22/2010	200 00	0 00	ICON	ICONIX BRAND GROUP INC	16 419	18.402	3,283 84	3,680 25	LT	0 00	396.41	3,283.84	0.00	0.00
LT - TERM TOTAL		4,800.00						124,105.26	167,194.96	LT	0.00	43,089.70	124,105.26	0.00	0.00

STATEMENT 1
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