Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply. [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: THE LARRY W MCCURDY FAMILY FOUNDATION

A Employer identification number: 06-6502822

Number and street (or P O box number if mail is not delivered to street address): 2 EVARTS LANE

Room/suite

B Telephone number (see page 10 of the instructions): (203) 245-4812

City or town, state, and ZIP code: MADISON, CT 06443

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,459,125.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,500.			
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	31,730.	31,730.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-105,394.			
	b Gross sales price for all assets on line 6a 464,360.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-71,164.	31,730.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	2,500.	1,250.	0.	1,250.
	c Other professional fees (attach schedule) *	10,039.	10,039.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	1,312.	1,312.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	13,851.	12,601.	0.	1,250.
	25 Contributions, gifts, grants paid	75,500.			75,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	89,351.	12,601.	0.	76,750.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-160,515.			
	b **Net investment income** (if negative, enter -0-)		19,129.		
	c **Adjusted net income** (if negative, enter -0-)				

SCANNED NOV 29 2011

18

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	66,532.	91,090.	91,090.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 5	1,305,486.	1,368,035.	1,368,035.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,372,018.	1,459,125.	1,459,125.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27 Capital stock, trust principal, or current funds	1,372,018.	1,459,125.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances (see page 17 of the instructions)	1,372,018.	1,459,125.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,372,018.	1,459,125.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,372,018.
2 Enter amount from Part I, line 27a	2	-160,515.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 6	3	247,622.
4 Add lines 1, 2, and 3	4	1,459,125.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,459,125.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	-105,394.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	72,963.	1,248,431.	0.058444
2008	96,225.	1,560,791.	0.061651
2007	111,648.	2,015,524.	0.055394
2006	67,000.	1,492,096.	0.044903
2005	69,591.	1,435,513.	0.048478

2	**Total** of line 1, column (d)	2	0.268870
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053774
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,394,337.
5	Multiply line 4 by line 3	5	74,979.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	191.
7	Add lines 5 and 6	7	75,170.
8	Enter qualifying distributions from Part XII, line 4	8	76,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	191.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	191.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	191.
6	Credits/Payments				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	2,438.		
b	Exempt foreign organizations-tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,438.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,247.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 2,247. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ __________ (2) On foundation managers ▶$ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		

Website address ▶ N/A

14 The books are in care of ▶ KIMBERLY MALCOLM Telephone no ▶ 203-245-4812

Located at ▶ 2 EVARTS LANE, MADISON, CT ZIP + 4 ▶ 06443

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	1,336,760.
b	Average of monthly cash balances	1b	78,811.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,415,571.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,415,571.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	21,234.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,394,337.
6	**Minimum investment return.** Enter 5% of line 5	6	69,717.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	69,717.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 191.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	191.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	69,526.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	69,526.
6	Deduction from distributable amount (see page 25 of the instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	69,526.

Part XII **Qualifying Distributions**(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	76,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	76,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	191.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	76,559.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				69,526.
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only				
b	Total for prior years 20 08 ,20 07 ,20 06				
3	Excess distributions carryover, if any, to 2010				
a	From 2005 ... 0.				
b	From 2006 ... 0.				
c	From 2007 ... 0.				
d	From 2008 ... 0.				
e	From 2009 ... 264.				
f	**Total** of lines 3a through e	264.			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 76,750.				
a	Applied to 2009, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2010 distributable amount				69,526.
e	Remaining amount distributed out of corpus	7,224.			
5	Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,488.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	*Subtract line 6c from line 6b* Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	0.			
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	7,488.			
10	Analysis of line 9				
a	Excess from 2006 ... 0.				
b	Excess from 2007 ... 0.				
c	Excess from 2008 ... 0.				
d	Excess from 2009 ... 264.				
e	Excess from 2010 ... 7,224.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

LARRY W MCCURDY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 8

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 9				
Total ▶ 3a				75,500.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	31,730.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-105,394.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-73,664.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 -73,664.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/14/11 | Title Trustee

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
WILLIAM R. FLEMING	Willi R Fleming	11/08/2011		P00368409

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | Firm's EIN ▶ 13-4008324

Firm's address ▶ 185 ASYLUM STREET, SUITE 2400 HARTFORD, CT 06103-3404 | Phone no 860-241-7000

THE LARRY W MCCURDY FAMILY FOUNDATION 06-6502822

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		UBS 45688 CGD PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							28.	
		UBS 45690 CGD PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							1.	
		UBS 45688 NON-DIV DISTR PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
							2.	
		UBS 45690 NON-DIV DISTR PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							34.	
		UBS 45688 S-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,275.		3,083.					-808.	
		UBS 45689 S-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,371.		1,735.					636.	
		UBS 45690 S-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
57,619.		52,352.					5,267.	
		UBS 45687 L-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
100,000.		138,575.					-38,575.	
		UBS 45688 L-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
100,769.		177,905.					-77,136.	
		UBS 45689 L-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
56,007.		60,122.					-4,115.	
		UBS 45690 L-T SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
145,319.		136,047.					9,272.	
TOTAL GAIN (LOSS)		....					-105,394.	

UBS Financial Services Inc.

2010 Consolidated Form 1099

P07L017869-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	$ 138,574.80	$ 100,000.00	$ 3,521.12	$ (42,095.92)	$ (38,574.80)
Sub Total:	**$ 138,574.80**	**$ 100,000.00**	**$ 3,521.12**	**$ (42,095.92)**	**$ (38,574.80)**
Total:	**$ 138,574.80**	**$ 100,000.00**	**$ 3,521.12**	**$ (42,095.92)**	**$ (38,574.80)**

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UBS DYNAMIC ALPHA FUND	Trade	131.012		Earnings	01/07/10	821.36	1,412.31	(590.95)		Y
CLASS A	Trade	.248		Earnings	01/07/10	1.55	2.87	(1.32)		Y
	Trade	20.486		12/19/07	01/07/10	128.43	208.34	(79.91)		Y
	Trade	1,412.808		12/19/07	01/07/10	8,857.38	14,368.26	(5,510.88)		Y
	Trade	2,689.228		12/18/08	01/07/10	16,859.69	13,338.57	3,521.12		Y
	Trade	3,721.537		12/08/05	01/07/10	23,331.59	40,158.39	(16,826.80)		Y
	Sub-Total:	**7,975.319**				**50,000.00**	**69,488.74**	**(19,488.74)**		
JBS GLOBAL ALLOCATION	Trade	4,241.379		01/31/05	01/07/10	40,416.10	55,355.25	(14,939.15)		Y
FUND CLASS A	Trade	1,005.762		08/19/05	01/07/10	9,583.90	13,730.81	(4,146.91)		Y
	Sub-Total:	**5,247.141**				**50,000.00**	**69,086.06**	**(19,086.06)**		
Total Long-Term Gain/Loss						**100,000.00**	**138,574.80**	**(38,574.80)**		

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/08/11

C12L014122-X

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses
** Applicable to Tax-exempt Original Issue Discount information only*

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Program Fees and Related Services	MANAGED ACCOUNT FEE				12/31/10		2,520.82
Total Program Fees and Related Services							**$ 2,520.82**

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 3,083.42	$ 2,274.63	$	$ (808.79)	$ (808.79)
Long-term Gain/Loss:	177,905.33	100,736.31	9,727.21	(86,896.23)	(77,169.02)
Unclassified Gain/Loss:		32.73 100,769			
Sub Total:	**$ 180,988.75**	**$ 103,010.94**	**$ 9,727.21**	**$ (87,705.02)**	**$ (77,977.81)**
Activity with Gain/Loss not calculated due to missing information:	$	$ 32.73	$	$	$
Total:	**$ 180,988.75**	**$ 103,043.67**	**$ 9,727.21**	**$ (87,705.02)**	**$ (77,977.81)**

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/08/11

C12L014123-X

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKROCK INC	Trade	7.000		04/06/10	07/13/10	1,104.64	1,415.01	(310.37)		Y
LENDER PROCESSING SERVICES INC	Trade	30.000		12/22/09	12/16/10	899.99	1,285.42	(385.43)		Y
	Trade	9.000		12/23/09	12/16/10	270.00	382.99	(112.99)		Y
	Sub-Total:	**39.000**				**1,169.99**	**1,668.41**	**(498.42)**		
Total Short-Term Gain/Loss						**2,274.63**	**3,083.42**	**(808.79)**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLEGHENY ENERGY INC	Trade	50.000		10/11/07	10/06/10	1,212.72	2,832.55	(1,619.83)		Y
MERGER EFF: 03/2011	Trade	103.000		10/11/07	10/14/10	2,507.32	5,835.04	(3,327.72)		Y
	Trade	41.000		10/11/07	10/27/10	945.62	2,322.69	(1,377.07)		Y
	Trade	22.000		10/11/07	10/28/10	506.85	1,246.32	(739.47)		Y
	Sub-Total:	**216.000**				**5,172.51**	**12,236.60**	**(7,064.09)**		
ANGLO AMERN PLC NEW ADR	Trade	4.000		08/20/08	09/10/10	77.16	104.57	(27.41)		Y
	Trade	3.000		08/21/08	09/10/10	57.87	80.80	(22.93)		Y
	Trade	20.000		11/04/08	09/10/10	385.82	265.25	120.57		Y
	Trade	1.000		11/04/08	09/23/10	19.85	13.26	6.59		Y
	Trade	33.000		11/05/08	09/23/10	655.03	409.48	245.55		Y
	Trade	54.000		11/05/08	09/24/10	1,080.79	670.06	410.73		Y
	Trade	71.000		11/05/08	10/06/10	1,538.85	881.01	657.84		Y
	Trade	19.000		11/05/08	10/25/10	443.16	235.76	207.40		Y
	Trade	2.000		11/05/08	10/26/10	46.29	24.82	21.47		Y
	Trade	48.000		11/06/08	10/26/10	1,111.02	521.09	589.93		Y
	Trade	34.000		11/08/08	11/12/10	827.50	369.10	458.40		Y
	Trade	39.000		04/15/09	11/12/10	949.20	413.08	536.12		Y
	Sub-Total:	**328.000**				**7,192.54**	**3,988.28**	**3,204.26**		
BERKSHIRE HATHAWAY INC NEW CL B	Trade	32.000		10/15/07	05/12/10	2,490.80	2,688.74	(197.94)		Y
BHP BILLITON LTD SPON ADR	Trade	23.000		07/31/08	07/02/10	1,433.50	1,724.64	(291.14)		Y

Continued on page 14

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/08/11

C12L014124-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
:ARNIVAL CORP NEW PAIRED STOCK)	Trade	36.000		09/02/08	08/31/10	1,123.09	1,425.69	(302.60)		Y
:HINA UNICOM HONG KONG .TD ADR	Trade	127.000		03/16/09	12/13/10	1,839.81	1,339.08	500.73		Y
	Trade	10.000		03/17/09	12/13/10	144.87	100.65	44.22		Y
	Trade	90.000		03/17/09	12/30/10	1,274.67	905.87	368.80		Y
	Sub-Total:	**227.000**				**3,259.35**	**2,345.60**	**913.75**		
:L PASO CORP	Trade	119.000		10/11/07	09/24/10	1,466.00	2,097.37	(631.37)		Y
	Trade	280.000		10/11/07	10/04/10	3,382.70	4,934.99	(1,552.29)		Y
	Sub-Total:	**399.000**				**4,848.70**	**7,032.36**	**(2,183.66)**		
NCANA CORP CAD	Trade	84.000		10/11/07	07/16/10	2,658.56	2,811.69	(153.13)		Y
	Trade	128.000		10/11/07	11/19/10	3,664.65	4,284.49	(619.84)1		Y
	Sub-Total:	**212.000**				**6,323.21**	**7,096.18**	**(772.97)**		
ANNIE MAE	Trade	181.000		03/04/08	10/05/10	50.71	4,578.45	(4,527.74)		Y
ENON ENERGY INC	Trade	226.000		10/11/07	12/15/10	807.91	6,279.93	(5,472.02)		Y
	Trade	336.000		04/13/09	12/15/10	1,201.14	1,504 81	(303.67)		Y
	Trade	106.000		04/14/09	12/15/10	378.93	472.33	(93.40)		Y
	Sub-Total:	**668.000**				**2,387.98**	**8,257.07**	**(5,869.09)**		
ENZYME CORP GEN DIV	Trade	77.000		05/14/09	09/21/10	5,439.95	4,616.93	823.02		Y
JANENG POWER INTL INC PONSORED ADR SER N SHS OR	Trade	196.000		10/11/07	04/19/10	4,583.93	10,253.59	(5,669.66)		Y
	Trade	36.000		10/11/07	05/27/10	779.23	1,883.31	(1,104.08)		Y
	Trade	76.000		10/11/07	05/28/10	1,664.33	3,975.88	(2,311.55)		Y
	Sub-Total:	**308.000**				**7,027.49**	**16,112.78**	**(9,085.29)**		
IPERIAL OIL LTD NEW ANADA CAD	Trade	35.000		10/11/07	12/17/10	1,316.61	1,707.30	(390.69)		Y
GG MASON INC	Trade	2.000		10/11/07	07/08/10	57.79	175.80	(118.01)		Y
	Trade	39.000		10/11/07	07/20/10	1,065.80	3,428.16	(2,362.36)		Y
	Trade	71.000		10/11/07	07/28/10	2,054.77	6,241.02	(4,186.25)		Y
	Trade	27.000		02/11/08	07/28/10	781.39	1,887.05	(1,105.66)		Y
	Trade	48.000		02/11/08	08/04/10	1,391.95	3,354.76	(1,962.81)		Y
	Sub-Total:	**187.000**				**5,351.70**	**15,086.79**	**(9,735.09)**		

Continued on page 15

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/08/11

C12L014125-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LONDON STOCK EXCHANGE GROUP PLC NEW GBP	Trade	159.000		11/13/07	07/07/10	1,361.81	5,986.13	(4,624.32)		Y
	Trade	254.000		11/13/07	07/08/10	2,249.08	9,562.74	(7,313.66)		Y
	Trade	76.000		11/13/07	07/09/10	685.61	2,861.29	(2,175.68)		Y
	Trade	41.000		11/13/07	07/12/10	364.98	1,543.59	(1,178.61)		Y
	Trade	132.000		11/13/07	07/13/10	1,201.79	4,969.62	(3,767.83)		Y
	Sub-Total:	**662.000**				**5,863.27**	**24,923.37**	**(19,060.10)**		
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	Trade	70.000		10/11/07	10/29/10	1,532.66	3,355.90	(1,823.24)		Y
	Trade	62.000		10/11/07	11/01/10	1,370.65	2,972.37	(1,601 72)		Y
	Trade	63.000		10/11/07	12/15/10	1,606.47	3,020.31	(1,413.84)		Y
	Trade	23.000		10/11/07	12/30/10	584.42	1,102.65	(518.23)		Y
	Trade	60.000		10/11/07	12/31/10	1,521.83	2,876.48	(1,354.65)		Y
	Sub-Total:	**278.000**				**6,616.03**	**13,327.71**	**(6,711.68)**		
PHILIP MORRIS INTL INC	Trade	72.000		01/22/09	07/07/10	3,359.18	2,940.93	418.25		Y
	Trade	26.000		01/22/09	08/10/10	1,371.26	1,062.00	309.26		Y
	Trade	27.000		01/22/09	08/18/10	1,425.56	1,102.85	322.71		Y
	Trade	51.000		01/22/09	08/27/10	2,600.46	2,083.16	517.30		Y
	Trade	51.000		01/22/09	09/08/10	2,741.55	2,083.16	658.39		Y
	Trade	26.000		01/22/09	09/15/10	1,441.11	1,062.00	379.11		Y
	Sub-Total:	**253.000**				**12,939.12**	**10,334.10**	**2,605.02**		
RIO TINTO PLC SPON ADR	Trade	20.000		08/05/08	07/07/10	923.29	1,800.56	(877.27)		Y
RRI ENERGY INC *NAME CHANGE:12/2010*	Trade	99.000		10/11/07	11/05/10	383.27	2,750.94	(2,367.67)		Y
	Trade	217.000		10/11/07	11/08/10	824.25	6,029.84	(5,205.59)		Y
	Sub-Total:	**316.000**				**1,207.52**	**8,780.78**	**(7,573.26)**		
STUDENT LN CORP *MERGER EFF: 01/2011*	Trade	69.000		10/11/07	05/12/10	1,838.61	13,147.11	(11,308.50)		Y
TIME WARNER CABLE INC	Trade	23.000		08/28/08	03/29/10	1,185.76	1,109.31	76.45		Y
	Trade	46.000		08/29/08	03/29/10	2,371.51	2,224.24	147.27		Y
	Trade	1.000		08/29/08	03/29/10	51.55	48.28	3.27		Y
	Sub-Total:	**70.000**				**3,608.82**	**3,381.83**	**226.99**		
TIME WARNER INC NEW	Trade	36.000		08/28/08	06/22/10	1,154.65	1,232.61	(77.96)		Y
	Trade	49.000		08/28/08	08/04/10	1,586.38	1,677.72	(91.34)		Y

Continued on page 16

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/08/11

C12L014126-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TIME WARNER INC NEW	Trade	10.000		08/28/08	08/17/10	311.84	342.39	(30.55) 1		Y
	Trade	32.000		08/29/08	08/17/10	997.90	1,098.43	(100.53)		Y
	Trade	87.000		08/29/08	08/20/10	2,620.85	2,986.36	(365.51) 1		Y
	Trade	66.000		08/29/08	09/01/10	2,036.62	2,265.51	(228.89) 1		Y
	Sub-Total:	280.000				8,708.24	9,603.02	(894.78)		
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	38.000		11/24/08	03/02/10	1,204.35	849.15	355.20		Y
	Trade	42.000		11/24/08	06/07/10	1,416.89	938.53	478.36		Y
	Trade	86.000		11/24/08	06/17/10	2,992.03	1,921.76	1,070.27		Y
	Sub-Total:	166.000				5,613.27	3,709.44	1,903.83		
Total Long-Term Gain/Loss						100,736.31	177,905.33	(77,169.02)		

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule" Broad-Based Index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RTS HENDERSON LAND DEVELOPMENT EXP 06/01/11	Trade	200.000			07/28/10	32.73		-Not Calculated-	Not calculated	Y
Total Unclassified Gain/Loss						32.73		32.73		

(77,136)

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

P07L017909-X

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses
** Applicable to Tax-exempt Original Issue Discount Information only*

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Program Fees and Related Services	MANAGED ACCOUNT FEE				12/31/10		2,438 79
Total Program Fees and Related Services							**$ 2,438.79**

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,735.05	$ 2,370.89	$ 635.84	$	$ 635.84
Long-term Gain/Loss:	60,121.98	56,007.21	9,582.06	(13,696.83)	(4,114.77)
Sub Total:	**$ 61,857.03**	**$ 58,378.10**	**$ 10,217.90**	**$ (13,696.83)**	**$ (3,478.93)**
Total:	**$ 61,857.03**	**$ 58,378.10**	**$ 10,217.90**	**$ (13,696.83)**	**$ (3,478.93)**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DAIMLER AG ORD EUR	*Trade*	40.000		02/19/10	09/20/10	2,370.89	1,735.05	635.84		Y
Total Short-Term Gain/Loss						**2,370.89**	**1,735.05**	**635.84**		

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

P07L017910-X

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AKZO NOBEL NV ADR	Trade	45.000		03/10/04	07/19/10	2,557.03	1,717.39	839.64		Y
	Trade	30.000		08/21/08	09/20/10	1,805.94	1,778.57	27.37		Y
	Sub-Total:	**75.000**				**4,362.97**	**3,495.96**	**867.01**		
ASTRAZENECA PLC SPON ADR	Trade	20.000		05/24/07	08/12/10	1,026.89	1,072.07	(45.18)		Y
BRISTOL MYERS SQUIBB CO	Trade	80.000		04/26/02	07/19/10	2,000.01	2,348.77	(348.76)		Y
	Trade	25.000		04/26/02	08/23/10	662.07	733.99	(71.92)		Y
	Trade	45.000		05/21/02	08/23/10	1,191.73	1,359.86	(168.13)		Y
	Sub-Total:	**150.000**				**3,853.81**	**4,442.62**	**(588.81)**		
ENTRAIS ELETRICAS BRAS A SPON ADR REP 50 COM :LETROBRAS)	Trade	60.000		04/10/01	01/12/10	1,307.98	578.99	728.99		Y
ISCO SYSTEMS INC	Trade	45.000		01/21/09	02/08/10	1,067.38	687.29	380.09		Y
	Trade	95.000		01/21/09	03/15/10	2,473.50	1,450.94	1,022.56		Y
	Sub-Total:	**140.000**				**3,540.88**	**2,138.23**	**1,402.65**		
ONTAX PARTICIPACOES SA PON ADR	Trade	90.000		09/06/05	03/08/10	249.00	54.00	195.00		Y
OW CHEMICAL	Trade	25.000		11/22/06	12/28/10	859.47	997.14	(137.67)		Y
J PONT DE NEMOURS	Trade	20.000		04/14/09	07/30/10	803.59	532.61	270.98		Y
	Trade	55.000		04/14/09	09/13/10	2,352.62	1,464.69	887.93		Y
	Sub-Total:	**75.000**				**3,156.21**	**1,997.30**	**1,158.91**		
AY INC	Trade	140.000		12/12/08	03/25/10	3,859.75	1,959.78	1,899.97		Y
TH THIRD BANCORP	Trade	50.000		12/28/05	06/14/10	679.75	1,922.86	(1,243.11)		Y
ONTIER COMMUNICATIONS RP	Cash in lieu	.605		10/28/05	07/02/10	4.39	6.13	(1.74)		Y
	Trade	1.000		04/10/01	07/07/10	7.16	12.92	(5.76)		Y
	Trade	14.000		07/15/05	07/07/10	100.20	122.71	(22.51)		Y
	Trade	18.000		10/28/05	07/07/10	128.83	143.53	(14.70)		Y
	Sub-Total:	**33.605**				**240.58**	**285.29**	**(44.71)**		

Continued on page 17

UBS Financial Services Inc.

2010 Consolidated Form 1099

P07L017911-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HITACHI LTD ADR NEW JAPAN	Trade	20.000		04/10/01	04/27/10	875.30	1,731.00	(855.70)		Y
	Trade	20.000		02/04/04	04/27/10	875.30	1,246.62	(371.32)		Y
	Sub-Total:	**40.000**				**1,750.60**	**2,977.62**	**(1,227.02)**		
HOME DEPOT INC	Trade	20.000		10/31/07	03/05/10	637.26	625.42	11.84		Y
	Trade	25.000		01/03/08	03/05/10	796.57	652.19	144.38		Y
	Trade	25.000		01/03/08	04/21/10	879.37	652.19	227.18		Y
	Trade	20.000		01/03/08	04/28/10	704.98	521.75	183.23		Y
	Trade	70.000		01/03/08	12/09/10	2,400.22	1,826.12	574.10		Y
	Sub-Total:	**160.000**				**5,418.40**	**4,277.67**	**1,140.73**		
NTEL CORP	Trade	35.000		06/14/06	03/31/10	780.98	622.21	158.77		Y
KT CORP SPON ADR	Trade	55.000		02/22/02	02/12/10	1,118.45	1,137.47	(19.02)		Y
	Trade	40.000		05/08/02	02/12/10	813.41	912.36	(98.95)		Y
	Sub-Total:	**95.000**				**1,931.86**	**2,049.83**	**(117.97)**		
. M ERICSSON TELEFON CO ;EK 10 NEW 2002 ADR	Trade	120.000		10/24/07	06/15/10	1,309.43	1,777.55	(468.12)		Y
MICRON TECHNOLOGY INC	Trade	100.000		08/17/04	01/05/10	1,114.06	1,118.54	(4.48)		Y
	Trade	75.000		02/14/07	01/05/10	835.54	928.32	(92.78)		Y
	Trade	165.000		02/14/07	01/11/10	1,840.56	2,042.30	(201.74)		Y
	Sub-Total:	**340.000**				**3,790.16**	**4,089.16**	**(299.00)**		
IOTOROLA INC **REVERSE PLIT EFF: 01/2011**	Trade	125.000		07/10/07	10/05/10	1,076.23	2,202.73	(1,126.50)		Y
	Trade	50.000		07/10/07	12/23/10	454.39	881.09	(426.70)		Y
	Trade	25.000		06/19/08	12/23/10	227.20	197.32	29.88		Y
	Sub-Total:	**200.000**				**1,757.82**	**3,281.14**	**(1,523.32)**		
OYAL BANK SCOTLAND SPON DR	Trade	1.000		10/05/07	04/16/10	15.06	233.56	(218.50)		Y
	Trade	17.000		11/02/07	04/16/10	255.96	3,499.27	(3,243.31)		Y
	Trade	16.000		03/28/08	04/16/10	240.90	2,018.61	(1,777.71)		Y
	Sub-Total:	**34.000**				**511.92**	**5,751.44**	**(5,239.52)**		
ANOFI-AVENTIS SA SPON DR	Trade	25.000		01/28/05	02/16/10	917.12	935.78	(18.66)		Y

Continued on page 18

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

P07L017812-X

ong-Term Gain/Loss - continued

is section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

curity Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ARA LEE CORP	Trade	65.000		06/01/06	03/02/10	898.47	961.58	(63.11)		Y
	Trade	115.000		06/01/06	04/15/10	1,629.52	1,701.25	(71.73) 1		Y
	Trade	80.000		06/01/06	06/14/10	1,199.17	1,183.48	15.69 1		Y
	Trade	10.000		06/01/06	07/12/10	142.95	147.93	(4.98) 1		Y
	Trade	60.000		04/26/07	07/12/10	857.67	987.00	(129.33)		Y
	Trade	120.000		04/26/07	07/27/10	1,808.60	1,974.00	(165.40)		Y
	Sub-Total:	**450.000**				**6,536.38**	**6,955.24**	**(418.86)**		
IMITOMO MITSUI IANCIAL SPON ADR	Cash in lieu	.500		09/14/07	11/01/10	2.90	6.98	(4.08)		Y
LEFONICA S A SPON ADR	Trade	15.000		05/10/01	10/26/10	1,196.50	666.96	529.54		Y
NET HEALTHCARE CORP	Trade	185.000		11/11/03	01/05/10	1,114.28	2,417.14	(1,302.86)		Y
	Trade	55.000		11/11/03	12/17/10	368.73	718.61	(349.88)		Y
	Trade	150.000		03/16/05	12/17/10	1,005.62	1,575.77	(570.15)		Y
	Sub-Total:	**390.000**				**2,488.63**	**4,711.52**	**(2,222.89)**		
(AS INSTRUMENTS	Trade	40.000		09/18/08	12/03/10	1,313.22	868.03	445.19		Y
ILEVER NV N Y SHS NEW THERLANDS SPON ADR	Trade	40.000		08/30/04	03/15/10	1,223.64	797.25	426.39		Y
RIZON COMMUNICATIONS	Trade	5.000		04/10/01	12/28/10	177.68	230.02	(52.34) 1		Y
	Trade	25.000		07/15/05	12/28/10	888.40	780.34	108.06		Y
	Sub-Total:	**30.000**				**1,066.08**	**1,010.36**	**55.72**		
ROX CORP	Trade	75.000		04/10/01	11/03/10	874.28	399.00	475.28		Y
l Long-Term Gain/Loss						**56,007.21**	**60,121.98**	**(4,114.77)**		

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 52,351.96	$ 57,618.98	$ 10,062.18	$ (4,795.16)	$ 5,267.02
Long-term Gain/Loss:	136,046.64	145,319.32	23,876.95	(14,604.27)	9,272.68
Sub Total:	$ 188,398.60	$ 202,938.30	$ 33,939.13	$ (19,399.43)	$ 14,539.70
Total:	$ 188,398.60	$ 202,938.30	$ 33,939.13	$ (19,399.43)	$ 14,539.70

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACACIA RESEARCH CORP	Trade	14.000		06/22/10	10/08/10	304.68	217.34	87.34		Y
ACACIA TECHNOLOGIES	Trade	10.000		06/22/10	12/08/10	290.96	155.24	135.72		Y
	Trade	11.000		06/23/10	12/08/10	320.05	163.89	156.16		Y
	Trade	6.000		06/24/10	12/08/10	174.57	91.31	83.26		Y
	Sub-Total:	**41.000**				**1,090.26**	**627.78**	**462.48**		
AKAMAI TECHNOLOGIES INC	Trade	26.000		12/17/09	07/16/10	1,140.11	656.69	483.42		Y
	Trade	31.000		12/17/09	11/19/10	1,526.20	782.98	743.22		Y
	Trade	2.000		04/16/10	11/19/10	98.46	67.48	30.98		Y
	Sub-Total:	**59.000**				**2,764.77**	**1,507.15**	**1,257.62**		
ALIGN TECHNOLOGY INC	Trade	46.000		04/06/10	11/30/10	783.76	871.01	(87.25)		Y

Continued on page 41

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALPHA NATURAL RESOURCES INC	Trade	17.000		03/31/09	03/18/10	856.70	302.38	554.32		Y
ARCHER DANIELS MIDLAND CO	Trade	22.000		01/08/10	09/08/10	701.21	675.75	25.46		Y
ASTRAZENECA PLC SPON ADR	Trade	9.000		01/11/10	07/01/10	422.07	429.39	(7.32)		Y
BP PLC SPON ADR	Trade	4.000		02/02/10	05/21/10	176.30	222.32	(46.02)		Y
	Trade	4.000		02/23/10	05/21/10	176.30	213.12	(36.82)		Y
	Trade	9.000		04/30/10	05/21/10	396.68	476.74	(80.06)		Y
	Trade	13.000		06/18/10	07/15/10	481.38	419.70	61.68		Y
	Trade	20.000		06/18/10	08/04/10	788.04	645.69	142.35		Y
	Trade	18.000		06/18/10	11/03/10	759.30	581.12	178.18		Y
	Trade	18.000		06/18/10	11/09/10	779.28	581.12	198.16		Y
	Trade	18.000		06/18/10	11/10/10	773.82	581.12	192.70		Y
	Sub-Total:	**104.000**				**4,331.10**	**3,720.93**	**610.17**		
BRIDGEPOINT EDUCATION INC	Trade	19.000		03/17/10	08/05/10	303.83	445.06	(141.43)		Y
	Trade	9.000		03/18/10	08/05/10	143.83	214.24	(70.41)		Y
	Trade	18.000		03/18/10	10/14/10	269.75	428.48	(158.73)		Y
	Trade	8.000		04/30/10	10/14/10	119.89	205.10	(85.21)		Y
	Trade	6.000		05/18/10	10/14/10	89.92	143.83	(53.91)		Y
	Sub-Total:	**60.000**				**927.02**	**1,436.71**	**(509.69)**		
CENTENE CORP	Trade	6.000		03/18/10	10/29/10	134.38	124.30	10.08		Y
	Trade	16.000		03/18/10	11/10/10	346.00	331.47	14.53		Y
	Sub-Total:	**22.000**				**480.38**	**455.77**	**24.61**		
CHIQUITA BRANDS INTL INC NEW	Trade	39.000		10/27/10	11/30/10	440.52	545.86	(105.34)		Y
COMMVAULT SYSTEMS INC	Trade	2.000		10/28/09	09/22/10	54.86	39.37	15.49		Y
COOPER INDUSTRIES PLC NEW CL A	Trade	10.000		09/16/09	03/10/10	474.65	378.42	96.23		Y
DECKERS OUTDOOR CORP	Trade	7.000		03/31/10	12/09/10	553.91	325.08	228.83		Y

Continued on page 42

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046754-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DEVON ENERGY CORP NEW	Trade	20.000		08/10/09	03/11/10	1,450.59	1,271.96	178.63		Y
DSW INC CL A	Trade	26.000		02/03/10	09/13/10	685.41	682.08	3.33		Y
EMBRAER AIRCRAFT CORP **NAME CHANGE: 12/2010** ADR	Trade	11.000		10/30/09	07/30/10	286.29	242.47	43.82		Y
ENERGYSOLUTIONS INC DEP SHS	Trade	53.000		03/28/09	02/19/10	333.19	458.36	(125.17)		Y
	Trade	29.000		05/08/09	02/19/10	182.31	254.83	(72.52)		Y
	Sub-Total:	**82.000**				**515.50**	**713.19**	**(197.69)**		
ENTERGY CORP NEW	Trade	3.000		02/25/09	01/08/10	238.95	206.80	32.15		Y
	Trade	18.000		02/26/09	01/08/10	1,433.69	1,232.61	201.08		Y
	Trade	12.000		04/08/09	01/08/10	955.80	811.34	144.46		Y
	Sub-Total:	**33.000**				**2,628.44**	**2,250.75**	**377.69**		
EV3 INC **MERGER EFF 08/2010**	Trade	21.000		11/25/09	06/07/10	467.08	272.01	195.07		Y
	Trade	18.000		11/30/09	06/07/10	400.35	227.55	172.80		Y
	Sub-Total:	**39.000**				**867.43**	**499.56**	**367.87**		
EXXON MOBIL CORP	Cash in lieu	.623		12/14/09	06/28/10	36.45	33.28	3.17		Y
FOSSIL INC	Trade	5.000		12/31/09	12/09/10	366.12	168.35	197.77		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	40.000		07/07/09	02/17/10	1,238.77	752.44	486.33		Y
HANCOCK HOLDING CO	Trade	9.000		01/19/10	08/20/10	252.21	406.83	(154.62)		Y
HARBIN ELEC INC	Trade	17.000		12/03/09	06/17/10	314.58	385.58	(71.00)		Y
	Trade	8.000		12/03/09	09/13/10	133.33	181.45	(48.12)		Y
	Trade	10.000		12/03/09	10/14/10	232.09	226.81	5.28		Y
	Trade	19.000		01/22/10	10/14/10	440.97	355.71	85.26		Y
	Sub-Total:	**54.000**				**1,120.97**	**1,149.55**	**(28.58)**		
HATTERAS FINANCIAL CORP REIT	Trade	7.000		04/08/09	03/02/10	182.76	170.89	11.87		Y

Continued on page 43

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046755-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HOSPIRA INC	Trade	10.000		04/29/09	03/09/10	531.12	325.97	205.15		Y
INLAND REAL ESTATE CORP NEW SBI	Trade	38.000		10/29/09	08/05/10	293.44	324.88	(31.44)		Y
	Trade	17.000		11/12/09	08/05/10	131.27	137.66	(6.39)		Y
	Sub-Total:	**55.000**				**424.71**	**462.54**	**(37.83)**		
INTEL CORP	Trade	56.000		09/21/09	09/15/10	1,043.74	1,090.40	(46 66)		Y
ISIS PHARMACEUTICALS INC	Trade	11.000		11/09/09	10/12/10	93.66	149.03	(55.37)		Y
J CREW GROUP INC	Trade	12.000		10/08/09	09/29/10	397.67	449.46	(51.79)		Y
JDA SOFTWARE GROUP INC	Trade	9.000		02/09/10	09/13/10	220.10	241.06	(20.96)		Y
JONES APPAREL GROUP INC *NAME CHANGE :10/2010*	Trade	9.000		06/10/10	09/22/10	166.01	168.20	(2.19)		Y
JONES GROUP INC COM	Trade	55.000		06/10/10	10/27/10	822.54	1,027.91	(205.37)		Y
MEDIVATION INC	Trade	4.000		09/11/09	03/18/10	47.87	102.85	(54.98)		Y
	Trade	16.000		09/14/09	03/18/10	191.50	411.01	(219.51)		Y
	Trade	6.000		10/27/09	03/18/10	71.81	158.77	(86.96)		Y
	Trade	3.000		11/09/09	03/18/10	35.91	85.02	(49.11)		Y
	Sub-Total:	**29.000**				**347.09**	**757.65**	**(410.56)**		
MICROSOFT CORP	Trade	44.000		05/12/09	02/05/10	1,232.86	876.02	356.84		Y
	Trade	21.000		07/24/09	05/25/10	538.61	487.90	50.71		Y
	Sub-Total:	**65.000**				**1,771.47**	**1,363.92**	**407.55**		
NATL-OILWELLVARCO INC	Trade	8.000		08/12/10	10/19/10	376.00	314.00	62.00		Y
	Trade	13.000		08/12/10	11/08/10	751.85	510.25	241.60		Y
	Sub-Total:	**21.000**				**1,127.85**	**824.25**	**303.60**		
NINTENDO LTD ADR NEW JAPAN ADR	Trade	10.000		08/03/09	03/25/10	412.18	339.50	72.68		Y
NORDSON CORP	Trade	10.000		03/12/10	12/14/10	876.94	696.03	180.91		Y
	Trade	1.000		03/15/10	12/14/10	87.69	69.92	17.77		Y
	Sub-Total:	**11.000**				**964.63**	**765.95**	**198.68**		

Continued on page 44

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046756-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOVARTIS AG SPON ADR	Trade	19.000		02/13/09	01/08/10	989.89	815.08	174.81		Y
	Trade	13.000		02/13/09	01/12/10	680.74	557.69	123.05		Y
	Trade	2.000		04/09/09	01/12/10	104.73	73.50	31.23		Y
	Trade	13.000		04/09/09	01/14/10	689.79	477.76	212.03		Y
	Trade	7.000		04/09/09	01/20/10	374.44	257.26	117.18		Y
	Trade	21.000		05/08/09	01/20/10	1,123.33	799.02	324.31		Y
	Sub-Total:	**75.000**				**3,962.92**	**2,980.31**	**982.61**		
NUTRI/SYSTEMS INC	Trade	28.000		11/05/09	03/02/10	450.62	609.28	(158.66)		Y
	Trade	9.000		12/17/09	03/02/10	144.84	283.35	(138.51)		Y
	Sub-Total:	**37.000**				**595.46**	**892.63**	**(297.17)**		
OFFICEMAX INC	Trade	17.000		05/03/10	08/13/10	189.46	323.50	(134.04)		Y
	Trade	28.000		05/03/10	09/13/10	339.70	532.83	(193.13)		Y
	Trade	29.000		05/03/10	10/12/10	448.12	551.86	(103.74)		Y
	Sub-Total:	**74.000**				**977.28**	**1,408.19**	**(430.91)**		
PACTIV CORP MERGER EFF: 11/2010	Trade	28.000		02/02/10	06/09/10	815.63	644.59	171.04		Y
	Trade	15.000		02/02/10	08/31/10	483.74	345.32	138.42		Y
	Trade	33.000		02/05/10	08/31/10	1,064.23	720.05	344.18		Y
	Trade	12.000		02/05/10	10/07/10	396.35	261.84	134.51		Y
	Trade	27.000		02/11/10	10/07/10	891.79	613.42	278.37		Y
	Sub-Total:	**115.000**				**3,651.74**	**2,585.22**	**1,066.52**		
ᵓIPER JAFFRAY COS	Trade	13.000		10/09/09	09/13/10	399.47	691.42	(291.95)		Y
	Trade	4.000		10/27/09	09/13/10	122.92	203.88	(80.96)		Y
	Sub-Total:	**17.000**				**522.39**	**895.30**	**(372.91)**		
ꝛALCORP HOLDINGS INC NEW	Trade	2.000		11/24/09	03/18/10	134.41	115.02	19.39		Y
ꝛEXAM PLC SPON ADR	Trade	31.000		10/27/09	06/04/10	722.56	704.73	17.83		Y
;ANDERSON FARMS INC	Trade	21.000		02/01/10	08/02/10	951.43	974.41	(22.98)		Y
HUFFLE MASTER INC	Trade	31.000		02/01/10	08/20/10	249.04	281.79	(32.75)		Y
	Trade	24.000		02/01/10	09/20/10	194.44	218.16	(23.72)		Y
	Trade	54.000		03/15/10	09/20/10	437.49	421.15	16.34		Y
	Sub-Total:	**109.000**				**880.97**	**921.10**	**(40.13)**		

Continued on page 45

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STEIN MART INC	Trade	21.000		08/31/09	03/17/10	190.33	263.42	(73.09)		Y
	Trade	18.000		09/14/09	03/17/10	163.14	232.83	(69.69)		Y
	Trade	21.000		12/17/09	03/17/10	190.33	221.00	(30.67)		Y
	Sub-Total:	**60.000**				**543.80**	**717.25**	**(173.45)**		
STEVEN MADDEN LTD	Cash in lieu	.500		10/30/09	05/03/10	19.75	13.58	6.17		Y
	Trade	22.000		10/30/09	08/10/10	720.99	597.37	123.62		Y
	Sub-Total:	**22.500**				**740.74**	**610.95**	**129.79**		
SVB FINANCIAL GROUP	Trade	15.000		10/29/09	09/13/10	626.49	646.14	(19.65)		Y
	Trade	9.000		06/22/10	09/13/10	375.89	407.17	(31.28)		Y
	Sub-Total:	**24.000**				**1,002.38**	**1,053.31**	**(50.93)**		
SYMANTEC CORP	Trade	68.000		09/11/09	07/29/10	889.64	1,082.41	(192.77)		Y
	Trade	87.000		09/11/09	08/19/10	1,136.97	1,384.85	(247.88)		Y
	Trade	90.000		09/18/09	08/19/10	1,176.18	1,411.25	(235.07)		Y
	Trade	20.000		07/06/10	08/19/10	261.37	283.29	(21.92)		Y
	Sub-Total:	**265.000**				**3,464.16**	**4,161.80**	**(697.64)**		
TALBOTS INC	Trade	63.000		07/27/10	10/28/10	600.99	724.50	(123.51)		Y
	Trade	24.000		10/12/10	10/28/10	228.95	247.28	(18.33)		Y
	Sub-Total:	**87.000**				**829.94**	**971.78**	**(141.84)**		
TARGACEPT INC	Trade	16.000		08/06/10	10/13/10	358.50	340.37	18.13		Y
	Trade	9.000		08/10/10	10/13/10	201.65	200.40	1.25		Y
	Trade	8.000		09/13/10	10/13/10	179.25	182.34	(3.09)		Y
	Sub-Total:	**33.000**				**739.40**	**723.11**	**16.29**		
TEMPUR-PEDIC INTL INC	Trade	11.000		04/17/09	01/29/10	280.12	118.17	161.95		Y
	Trade	26.000		04/17/09	02/01/10	660.64	279.32	381.32		Y
	Trade	5.000		04/17/09	02/25/10	138.70	53.71	84.99		Y
	Trade	10.000		04/20/09	02/25/10	277.40	102.10	175.30		Y
	Sub-Total:	**52.000**				**1,356.86**	**553.30**	**803.56**		
THE DIRECTV GROUP CL A	Trade	9.000		08/14/09	08/10/10	352.44	219.87	132.57		Y
TRUEBLUE INC	Trade	30.000		08/31/09	04/05/10	475.81	407.59	68.22		Y
	Trade	5.000		09/01/09	04/05/10	79.30	68.67	10.63		Y
	Sub-Total:	**35.000**				**555.11**	**476.26**	**78.85**		

Continued on page 46

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNION PACIFIC CORP	Trade	6.000		10/26/09	03/18/10	441.57	348.24	93.33		Y
	Trade	4.000		10/26/09	09/08/10	318.65	232.16	86.49		Y
	Sub-Total:	**10.000**				**760.22**	**580.40**	**179.82**		
VAIL RESORTS INC	Trade	14.000		10/28/10	12/23/10	744.87	572.29	172.58		Y
WEATHERFORD INTL LTD CHF	Trade	10.000		07/28/09	02/12/10	150.08	181.04	(30.96)		Y
	Trade	20.000		07/28/09	02/17/10	306.55	362.08	(55.53)		Y
	Trade	45.000		10/27/09	02/17/10	689.73	852.66	(162.93)		Y
	Trade	42.000		11/03/09	02/17/10	643.75	741.19	(97.44)		Y
	Trade	20.000		11/30/09	02/17/10	306.55	332.80	(26.25)		Y
	Sub-Total:	**137.000**				**2,096.66**	**2,469.77**	**(373.11)**		
WRIGHT EXPRESS CORP	Trade	6.000		05/08/09	01/19/10	198.32	142.17	56.15		Y
Total Short-Term Gain/Loss						**57,618.98**	**52,351.96**	**5,267.02**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACE LTD CHF	Trade	12.000		02/13/07	07/09/10	661.18	696.00	(34.82)		Y
ACTIVISION BLIZZARD INC	Trade	108.000		04/08/09	10/20/10	1,205.19	1,138.98	66.21		Y
	Trade	117.000		09/08/09	10/20/10	1,305.63	1,386.89	(81.26)		Y
	Sub-Total:	**225.000**				**2,510.82**	**2,525.87**	**(15.05)**		
AEGON NV ADR N Y SHS NETHERLANDS ADR	Trade	55.000		02/15/08	05/21/10	320.13	757.10	(436.97)		Y
	Trade	45.000		10/24/08	05/21/10	261.92	198.42	63.50		Y
	Trade	149.000		02/20/09	05/21/10	867.25	612.09	255.16		Y
	Sub-Total:	**249.000**				**1,449.30**	**1,567.61**	**(118.31)**		
ALCON INC CHF	Trade	7.000		02/13/07	03/22/10	1,140.50	902.51	237.99		Y
	Trade	9.000		02/13/07	04/16/10	1,437.53	1,160.37	277.16		Y
	Trade	3.000		02/13/07	05/05/10	454.19	386.79	67.40		Y
	Trade	7.000		03/15/07	05/05/10	1,059.78	906.07	153.71		Y
	Sub-Total:	**26.000**				**4,092.00**	**3,355.74**	**736.26**		

Continued on page 47

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046759-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matche 1099-E
ALNYLAM PHARMACEUTICALS INC	Trade	4.000		05/21/08	09/13/10	58.89	120.85	(63.96)		Y
	Trade	6.000		05/27/08	09/13/10	85.33	171.63	(86.30)		Y
	Trade	9.000		05/27/08	10/12/10	113.48	257.45	(143.97)		Y
	Trade	20.000		06/26/08	10/12/10	252.17	516.99	(264.82)		Y
	Sub-Total:	**39.000**				**507.87**	**1,066.92**	**(559.05)**		
ALPHA NATURAL RESOURCES INC	Trade	6.000		05/21/08	03/18/10	302.37	439.15	(136.78)		Y
	Trade	5.000		05/28/08	03/18/10	251.97	393.23	(141.26)		Y
	Sub-Total:	**11.000**				**554.34**	**832.38**	**(278.04)**		
ALTRIA GROUP INC	Trade	30.000		11/26/08	07/12/10	637.78	473.65	164.13		Y
	Trade	45.000		11/26/08	07/26/10	890.42	710.48	279.94		Y
	Trade	15.000		12/03/08	07/26/10	330.14	225.60	104.54		Y
	Trade	40.000		12/03/08	12/02/10	953.34	601.60	351.74		Y
	Trade	15.000		12/09/08	12/02/10	357.50	222.48	135.02		Y
	Trade	10.000		12/18/08	12/02/10	238.34	149 80	88.54		Y
	Trade	25.000		04/24/09	12/02/10	595.84	430.51	165.33		Y
	Trade	10.000		05/01/09	12/02/10	238.34	163.26	75.08		Y
	Trade	21.000		09/21/09	12/02/10	500.50	377.79	122.71		Y
	Sub-Total:	**211.000**				**4,842.20**	**3,355.17**	**1,487.03**		
ALUMINA LTD SPON ADR	Trade	40.000		02/14/07	10/11/10	320.28	917.52	(597.24)		Y
AMERIPRISE FINANCIAL INC	Trade	12.000		09/23/08	05/03/10	568.09	500.89	67.20		Y
	Trade	16.000		09/23/08	05/11/10	739.05	667.85	71.20		Y
	Trade	12.000		09/29/08	09/01/10	544.27	462.64	81.63		Y
	Sub-Total:	**40.000**				**1,851.41**	**1,631.38**	**220.03**		
ANADARKO PETROLEUM CORP	Trade	9.000		08/04/08	05/03/10	591.28	495.03	96.25		Y
	Trade	8.000		09/02/08	05/03/10	525.58	473.32	52.26		Y
	Trade	6.000		12/15/08	05/03/10	394.19	226.77	167.42		Y
	Trade	3.000		12/29/08	05/03/10	197.09	112.49	84.60		Y
	Trade	18.000		03/16/09	05/03/10	1,182.56	678.78	503.78		Y
	Sub-Total:	**44.000**				**2,890.70**	**1,986.39**	**904.31**		
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	6.000		03/04/08	05/28/10	250.91	219.05	31.86		Y
	Trade	14.000		03/19/08	05/28/10	585.45	469.18	116.27		Y
	Sub-Total:	**20.000**				**836.36**	**688.23**	**148.13**		

Continued on page 48

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046760-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
APOGEE ENTERPRISES INC	Trade	22.000		02/06/09	04/09/10	305.10	260.38	44.72		Y
	Trade	3.000		02/09/09	04/09/10	41.80	35.97	5.63		Y
	Trade	27.000		03/20/09	04/09/10	374.44	298.64	75.80		Y
	Sub-Total:	**52.000**				**721.14**	**594.99**	**126.15**		
ASPEN INSURANCE HOLDINGS LTD ORD	Trade	22.000		12/06/07	04/15/10	636.76	660.37	(23.61)		Y
	Trade	13.000		02/03/09	04/15/10	376.27	278.42	97.85		Y
	Sub-Total:	**35.000**				**1,013.03**	**938.79**	**74.24**		
BARD C R INC	Trade	4.000		02/13/07	04/16/10	342.99	324.24	18.75		Y
BARRICK GOLD CORP CAD	Trade	10.000		02/13/07	05/07/10	430.23	309.20	121.03		Y
	Trade	8.000		03/20/08	05/07/10	344.19	344.47	(.28)		Y
	Trade	6.000		03/20/08	12/06/10	327.57	258.35	69.22		Y
	Trade	5.000		04/30/08	12/06/10	272.98	190.87	82 11		Y
	Sub-Total:	**29.000**				**1,374.97**	**1,102.89**	**272.08**		
BIG LOTS INC	Trade	24.000		02/13/07	12/01/10	741.43	630.24	111.19		Y
3OSTON BEER CO INC CL A	Trade	5.000		03/31/08	03/16/10	245.58	238.70	6.88		Y
	Trade	4.000		04/01/08	03/16/10	196.47	185.80	10.67		Y
	Sub-Total:	**9.000**				**442.05**	**424.50**	**17.55**		
3P PLC SPON ADR	Trade	14.000		02/26/07	04/29/10	727.99	899.20	(171.21)		Y
	Trade	4.000		06/04/07	04/29/10	208.00	272.61	(64.61)		Y
	Trade	19.000		06/04/07	05/03/10	962.63	1,294.91	(332.28)		Y
	Trade	45.000		01/23/08	05/03/10	2,279.91	2,648.20	(368.29)		Y
	Trade	17.000		02/01/08	05/03/10	861.30	1,087.66	(226.36)		Y
	Trade	8.000		02/01/08	05/21/10	352.60	511.84	(159.24)		Y
	Trade	25.000		04/17/08	05/21/10	1,101.89	1,688.17	(586.28)		Y
	Trade	2.000		07/28/08	05/21/10	88.15	124.66	(36.51)		Y
	Trade	16.000		04/08/09	05/21/10	705.21	635.20	70.01		Y
	Sub-Total:	**150.000**				**7,287.68**	**9,162.45**	**(1,874.77)**		
UCKEYE TECHNOLOGIES INC	Trade	29.000		05/03/07	05/20/10	356.22	398.75	(42.53)		Y
	Trade	5.000		05/04/07	05/20/10	61.42	68.38	(6.96)		Y
	Sub-Total:	**34.000**				**417.64**	**467.13**	**(49.49)**		

Continued on page 49

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G048761-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BURLINGTON NTHN SANTA FE CORP**MERGER EFF 02/2010**	Tender	17.000		02/28/08	02/16/10	1,700.00	1,515.82	184.18		Y
	Tender	15.000		04/03/08	02/16/10	1,500.00	1,438.47	61.53		Y
	Sub-Total:	**32.000**				**3,200.00**	**2,954.29**	**245.71**		
CAMECO CORP CANADA CAD	Trade	22.000		08/11/08	12/01/10	821.86	700.31	121.55		Y
	Trade	12.000		09/10/08	12/01/10	448.29	291.00	157.29		Y
	Sub-Total:	**34.000**				**1,270.15**	**991.31**	**278.84**		
CBEYOND INC	Trade	6.000		02/13/07	02/03/10	75.59	173.94	(98.35)		Y
	Trade	7.000		04/05/07	02/04/10	87.88	214.61	(128 73)		Y
	Trade	9.000		04/05/07	02/04/10	112.99	273.59	(160.60)		Y
	Trade	2.000		04/17/07	02/04/10	25.11	68.86	(43.75)		Y
	Trade	9.000		04/17/07	02/05/10	112.65	309.89	(197.24)		Y
	Trade	9.000		04/18/07	02/05/10	112.65	308.88	(196.23)		Y
	Sub-Total:	**42.000**				**526.87**	**1,349.77**	**(822.90)**		
CENTENE CORP	Trade	27.000		08/26/09	10/29/10	604.71	483.97	120.74		Y
CHEVRON CORP	Trade	6.000		02/12/08	05/18/10	459.69	487.44	(27.75)		Y
	Trade	4 000		07/28/08	05/18/10	306.46	330.64	(24.18)		Y
	Trade	11.000		10/15/08	05/18/10	842.77	685.50	157.27		Y
	Trade	2.000		10/15/08	11/08/10	169.52	124.64	44.88		Y
	Trade	4.000		12/26/08	11/08/10	339.04	281.00	58.04		Y
	Trade	11.000		02/11/09	11/08/10	932.37	786.40	145.97		Y
	Sub-Total:	**38.000**				**3,049.85**	**2,695.62**	**354.23**		
COMMVAULT SYSTEMS INC	Trade	24.000		08/31/09	09/22/10	658.29	434.49	223.80		Y
	Trade	2.000		09/01/09	09/22/10	54.86	37.21	17.65		Y
	Sub-Total:	**26.000**				**713.15**	**471.70**	**241.45**		
COMSTOCK RESOURCES INC NEW	Trade	4.000		11/08/07	02/04/10	149.57	147.43	2.14		Y
	Trade	12.000		11/13/07	02/04/10	448.70	430.10	18.60		Y
	Sub-Total:	**16.000**				**598.27**	**577.53**	**20.74**		
CVS CAREMARK CORP	Trade	16.000		02/13/07	02/08/10	525.55	515.68	9.87		Y

Continued on page 50

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046762-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EMBRAER AIRCRAFT CORP **NAME CHANGE: 12/2010** ADR	Trade	17.000		10/30/09	12/07/10	506.25	374.72	131.53		Y
EMERGENCY MEDICAL SERVICES CORP CL A	Trade	9.000		10/23/08	06/17/10	501.78	269.90	231.88		Y
	Trade	7.000		10/28/08	06/17/10	390.27	196.86	193.41		Y
	Trade	4.000		02/27/09	06/17/10	223.01	124.08	98.93		Y
	Sub-Total:	**20.000**				**1,115.06**	**590.84**	**524.22**		
EXPEDITORS INTL WASH INC	Trade	11.000		02/13/07	09/10/10	477.37	467.47	9.90		Y
EXXON MOBIL CORP	Trade	11.000		08/28/08	11/08/10	768.37	798.61	(30.24)		Y
	Trade	14.000		09/02/08	11/08/10	977.92	946.53	31.39		Y
	Sub-Total:	**25.000**				**1,746.29**	**1,745.14**	**1.15**		
FUJI FILM HOLDINGS CORP ADR	Trade	42.000		02/13/07	03/08/10	1,354.88	1,838.89	(484.01)		Y
GEOEYE INC	Trade	1.000		12/30/08	08/06/10	32.31	17.88	14.43		Y
	Trade	13.000		12/31/08	08/06/10	420.00	247.48	172.52		Y
	Trade	4.000		01/13/09	08/06/10	129.23	70.90	58.33		Y
	Sub-Total:	**18.000**				**581.54**	**336.26**	**245.28**		
GILEAD SCIENCES INC	Trade	9.000		07/23/07	07/16/10	300.30	340.24	(39.94)		Y
GRAFTECH INTL LTD	Trade	29.000		08/07/08	11/10/10	544.39	564.82	(20.43)		Y
GUESS ? INC	Trade	7.000		02/13/07	06/10/10	242.20	261.06	(18.86)		Y
	Trade	15.000		02/13/07	09/20/10	576.87	559.41	17.46		Y
	Sub-Total:	**22.000**				**819.07**	**820.47**	**(1.40)**		
HALLIBURTON CO (HOLDING COMPANY)	Trade	4.000		10/15/08	02/12/10	119.98	73.40	46.58		Y
	Trade	47.000		11/06/08	02/12/10	1,409.81	844.45	565.36		Y
	Trade	3.000		11/06/08	02/17/10	92.91	53.90	39.01		Y
	Sub-Total:	**54.000**				**1,622.70**	**971.75**	**650.95**		
HANCOCK HOLDING CO	Trade	6.000		10/27/08	07/15/10	201.58	259.63	(58.05)		Y
	Trade	4.000		11/05/08	07/15/10	134.39	184.46	(50.07)		Y

Continued on page 51

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046763-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HANCOCK HOLDING CO	Trade	1.000		12/19/08	07/15/10	33.60	42.27	(8.67)		Y
	Trade	11.000		12/19/08	08/20/10	308.25	465.02	(156.77)		Y
	Sub-Total:	**22.000**				**677.82**	**951.38**	**(273.56)**		
HATTERAS FINANCIAL CORP REIT	Trade	15.000		12/26/08	02/19/10	380.48	390.08	(9.60)		Y
	Trade	7.000		01/07/09	02/19/10	177.56	190.04	(12.48)		Y
	Trade	4.000		01/07/09	03/02/10	104.43	108.59	(4.16)		Y
	Trade	5.000		01/08/09	03/02/10	130.54	136.18	(5.64)		Y
	Trade	10.000		01/30/09	03/02/10	261.08	253.98	7.10		Y
	Sub-Total:	**41.000**				**1,054.09**	**1,078.87**	**(24.78)**		
HESS CORP	Trade	9.000		10/22/09	11/08/10	634.69	544.95	89.74		Y
HONEYWELL INTL INC	Trade	8.000		07/08/09	12/09/10	410.70	235.48	175 22		Y
HOSPIRA INC	Trade	5.000		04/29/09	05/04/10	275.92	162.98	112.94		Y
	Trade	9.000		05/01/09	05/04/10	496.65	288.89	207.76		Y
	Trade	6.000		05/01/09	07/06/10	347.30	192.59	154.71		Y
	Trade	1.000		05/14/09	07/06/10	57.88	33.11	24.77		Y
	Sub-Total:	**21.000**				**1,177.75**	**677.57**	**500.18**		
ILLUMINA INC	Trade	2.000		07/31/07	01/05/10	60.34	45.98	14.36		Y
	Trade	10.000		07/31/07	01/05/10	301.72	229.96	71.76		Y
	Trade	12.000		08/02/07	01/05/10	362.06	279.00	83.06		Y
	Sub-Total:	**24.000**				**724.12**	**554.94**	**169.18**		
INGRAM MICRO INC	Trade	25.000		11/15/07	09/16/10	400.07	515.97	(115.90)		Y
	Trade	5.000		11/15/07	09/24/10	81.02	103.19	(22.17)		Y
	Trade	35.000		11/29/07	09/24/10	567.16	722.52	(155.36)		Y
	Trade	35.000		04/21/08	09/24/10	567.16	593.41	(26.25)		Y
	Trade	4.000		08/25/09	09/24/10	64.82	67.51	(2.69)		Y
	Trade	6.000		08/25/09	09/24/10	97.23	101.43	(4.20)		Y
	Sub-Total:	**110.000**				**1,777.46**	**2,104.03**	**(326.57)**		
INTEL CORP	Trade	5.000		04/10/01	04/20/10	120.39	123.25	(2.86)		Y
	Trade	121.000		04/10/01	07/06/10	2,369.41	2,982.64	(613.23)		Y
	Trade	12.000		04/10/01	07/07/10	234.01	295.80	(61.79)		Y
	Trade	15.000		01/14/08	07/07/10	292.51	346.80	(54.29)		Y

Continued on page 52

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046764-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTEL CORP	Trade	25.000		09/08/08	07/07/10	487.52	519.00	(31.48)		Y
	Trade	20.000		10/27/08	07/07/10	390.02	283.95	106.07		Y
	Trade	50.000		10/27/08	09/15/10	931.91	709.88	222.03		Y
	Trade	10.000		11/10/08	09/15/10	186.38	146.40	39.98		Y
	Trade	15.000		04/24/09	09/15/10	279.57	234.44	45.13		Y
	Trade	10.000		05/07/09	09/15/10	186.38	156.19	30.19		Y
	Sub-Total:	**283.000**				**5,478.10**	**5,798.35**	**(320.25)**		
INTERDIGITAL INC (PA)	Trade	19.000		12/22/08	11/10/10	667.30	498.22	169.08		Y
	Trade	2.000		12/22/08	11/12/10	66.97	52.44	14.53		Y
	Trade	18.000		01/05/09	11/12/10	602.69	504.88	97.81		Y
	Trade	3.000		03/30/09	11/12/10	100.45	78.99	21.46		Y
	Sub-Total:	**42.000**				**1,437.41**	**1,134.53**	**302.88**		
INTERPUBLIC GROUP OF COS INC	Trade	126.000		02/13/07	01/22/10	861.79	1,562.40	(700.61)		Y
	Trade	9.000		05/23/07	01/22/10	61.56	107.10	(45.54)		Y
	Trade	51.000		05/23/07	02/03/10	341.83	606.90	(265.07)		Y
	Trade	95.000		12/20/07	02/03/10	636.73	760.05	(123.32)		Y
	Sub-Total:	**281.000**				**1,801.91**	**3,036.45**	**(1,134.54)**		
INTUIT	Trade	21.000		03/28/07	08/05/10	849.86	568.96	280 90		Y
SIS PHARMACEUTICALS INC	Trade	26.000		11/11/08	09/13/10	214.87	398.03	(183.16)		Y
	Trade	11.000		05/21/09	09/13/10	90.91	146.76	(55.85)		Y
	Trade	4.000		05/21/09	10/12/10	34.06	53.37	(19.31)		Y
	Trade	12.000		07/21/09	10/12/10	102.17	194.19	(92.02)		Y
	Trade	15.000		07/31/09	10/12/10	127.71	276.34	(148.63)		Y
	Sub-Total:	**68.000**				**569.72**	**1,068.69**	**(498.97)**		
IT CORP	Trade	25.000		12/07/07	01/21/10	1,234.58	1,633.30	(398.72)		Y
/ANHOE MINES LTD CAD	Trade	51.000		02/13/07	07/21/10	860.51	513.57	346.94		Y
	Trade	6.000		02/13/07	09/24/10	140.10	60.42	79.68		Y
	Trade	7.000		01/10/08	09/24/10	163.46	74.24	89.22		Y
	Trade	18.000		01/10/08	11/09/10	471.89	190.91	280.98		Y
	Trade	30.000		03/19/08	11/09/10	786.48	302.97	483.51		Y
	Sub-Total:	**112.000**				**2,422.44**	**1,142.11**	**1,280.33**		

Continued on page 53

Your Financial Advisor or Contact
J MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

Corrected 02/27/11

UBS Financial Services Inc.

2010 Consolidated Form 1099

C12G046765-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
J CREW GROUP INC	Trade	11.000		10/08/09	10/12/10	387.97	412.00	(24.03)		Y
JDA SOFTWARE GROUP INC	Trade	14.000		07/29/09	08/06/10	330.51	289.49	41.02		Y
	Trade	13.000		07/29/09	09/13/10	317.93	268.82	49.11		Y
	Sub-Total:	**27.000**				**648.44**	**558.31**	**90.13**		
KBR INC	Trade	30.000		05/11/09	08/27/10	684.00	528.41	155.59		Y
KINROSS GOLD CORP NEW CAD	Trade	34.000		07/07/09	10/01/10	645.52	628.82	16.70		Y
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS	Trade	22.000		02/13/07	01/20/10	394.50	490.47	(95.97)		Y
MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	Trade	13.000		04/29/08	05/10/10	940.77	995.76	(54.99)		Y
	Trade	6.000		04/29/08	09/14/10	474.38	459.58	14.80		Y
	Trade	4.000		04/29/08	09/27/10	317.78	306.39	11.39		Y
	Trade	6.000		04/29/08	10/15/10	525.22	459.58	65.64		Y
	Trade	2.000		04/29/08	12/13/10	100.88	76.60	24.28		Y
	Trade	8.000		07/15/08	12/13/10	403.53	220.14	183.39		Y
	Sub-Total:	**39.000**				**2,762.56**	**2,518.05**	**244.51**		
MCMORAN EXPLORATION CO	Trade	28.000		07/24/08	04/21/10	359.11	647.92	(288.81)		Y
MICROSOFT CORP	Trade	45.000		05/12/09	05/25/10	1,154.17	895.93	258.24		Y
	Trade	25.000		05/22/09	05/25/10	641.20	503.00	138.20		Y
	Sub-Total:	**70.000**				**1,795.37**	**1,398.93**	**396.44**		
MONOLITHIC POWER SYSTEMS INC	Trade	9.000		09/26/07	02/03/10	177.50	224.96	(47.46)		Y
	Trade	18.000		10/04/07	02/03/10	354.99	429.48	(74.49)		Y
	Trade	12.000		09/26/08	02/03/10	236.66	223.21	13.45		Y
	Trade	8.000		09/29/08	02/03/10	157.77	139.08	18.69		Y
	Sub-Total:	**47.000**				**926.92**	**1,016.73**	**(89.81)**		
MULTI-FINELINE ELECTRONIX INC	Trade	16.000		07/23/08	02/02/10	373.09	437.36	(64.27)		Y
	Trade	13.000		08/04/08	02/02/10	303.14	343.95	(40.81)		Y
	Sub-Total:	**29.000**				**676.23**	**781.31**	**(105.08)**		

Continued on page 54

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G048788-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NAVIGATORS GROUP INC	Trade	5.000		10/31/08	01/08/10	223.39	247.32	(23.93)		Y
	Trade	5.000		12/08/08	01/08/10	223.39	271.09	(47.70)		Y
	Sub-Total:	**10.000**				**446.78**	**518.41**	**(71.63)**		
NETFLIX.COM INC	Trade	6.000		08/05/08	03/30/10	449.46	179.22	270.24		Y
	Trade	6.000		08/05/08	04/21/10	515.91	179.22	336.69		Y
	Trade	5.000		08/14/08	06/22/10	587.47	157.24	430.23		Y
	Trade	8.000		08/14/08	07/01/10	861.38	251.59	609.79		Y
	Sub-Total:	**25.000**				**2,414.22**	**767.27**	**1,646.95**		
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	13.000		02/14/07	10/28/10	498.06	226.24	271.82		Y
NEWS CORP INC DELA CL A	Trade	110.000		10/15/08	02/02/10	1,402.40	1,023.63	378.77		Y
	Trade	105.000		10/15/08	02/03/10	1,423.16	977.10	446.06		Y
	Sub-Total:	**215.000**				**2,825.56**	**2,000.73**	**824.83**		
NORFOLK STHN CORP	Trade	8.000		07/25/08	09/08/10	467.33	558.84	(91.51)		Y
OMNICOM GROUP INC	Trade	4.000		02/13/07	03/22/10	156.70	205.48	(48.78)		Y
PARKER HANNIFIN CORP	Trade	44.000		02/13/07	03/04/10	2,714.68	2,436.43	278.25		Y
	Trade	9.000		02/13/07	05/12/10	621.48	498.36	123.12		Y
	Trade	26.000		04/15/09	05/12/10	1,795.40	934.26	861.14		Y
	Sub-Total:	**79.000**				**5,131.56**	**3,869.05**	**1,262.51**		
PHH CORP NEW	Trade	24.000		06/25/09	10/14/10	474.64	427.05	47.59		Y
	Trade	10.000		06/26/09	10/14/10	197.77	179.90	17.87		Y
	Trade	26.000		09/14/09	10/14/10	514.20	548.80	(34.60)		Y
	Sub-Total:	**60.000**				**1,186.61**	**1,155.75**	**30.86**		
PHILIP MORRIS INTL INC	Trade	2.000		08/03/07	07/06/10	93.84	92.99	.85	1	Y
	Trade	5.000		04/10/08	07/06/10	234.59	245.18	(10.59)		Y
	Trade	1.000		04/22/08	07/06/10	46.92	50.24	(3.32)		Y
	Trade	14.000		04/22/08	08/09/10	734.99	703.36	31.63		Y
	Trade	14.000		05/20/08	08/09/10	734.99	751.83	(16.84)		Y
	Sub-Total:	**36.000**				**1,845.33**	**1,843.60**	**1.73**		

Continued on page 55

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
QUEST DIAGNOSTICS INC	Trade	32.000		02/13/07	08/27/10	1,428.13	1,674.56	(246.43)		Y
	Trade	17.000		12/19/07	08/27/10	758.69	895.73	(137.04)		Y
	Sub-Total:	**49.000**				**2,186.82**	**2,570.29**	**(383.47)**		
RACKSPACE HOSTING INC	Trade	20.000		04/22/09	10/06/10	480.74	167.09	313.65		Y
	Trade	16.000		04/30/09	10/06/10	384.59	151.04	233.55		Y
	Sub-Total:	**36.000**				**865.33**	**318.13**	**547.20**		
RAYTHEON CO NEW	Trade	13.000		06/11/07	01/28/10	678.90	728.80	(49.90)		Y
	Trade	22.000		07/31/07	01/28/10	1,148.90	1,223.50	(74.60)		Y
	Trade	7.000		12/12/07	01/28/10	365.56	444.08	(78.52)		Y
	Sub-Total:	**42.000**				**2,193.36**	**2,396.38**	**(203.02)**		
ROCK-TENN COMPANY CL A	Trade	15.000		05/05/09	09/23/10	723.21	579.77	143.44		Y
	Trade	1.000		07/29/09	09/23/10	48.21	45.01	3.20		Y
	Sub-Total:	**16.000**				**771.42**	**624.78**	**146.64**		
ROSETTA RESOURCES INC	Trade	11.000		05/28/09	08/06/10	251.30	92.99	158.31		Y
	Trade	12.000		05/28/09	10/12/10	292.37	101.44	190.93		Y
	Trade	10.000		05/28/09	11/10/10	318.26	84.53	233.73		Y
	Trade	7.000		06/10/09	11/10/10	222.79	68.36	154 43		Y
	Sub-Total:	**40.000**				**1,084.72**	**347.32**	**737.40**		
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	Trade	14.000		02/13/07	10/05/10	833 43	932.41	(98.98)		Y
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Trade	18.000		01/15/08	02/25/10	1,082.96	1,647.65	(564.69)		Y
	Trade	10.000		01/29/08	02/25/10	601.64	787.73	(186.09)		Y
	Sub-Total:	**28.000**				**1,684.60**	**2,435.38**	**(750.78)**		
SEGA SAMMY HLDG INC SPON ADR	Trade	239.000		03/05/07	10/29/10	953.97	1,480.03	(526.06)		Y
	Trade	121.000		03/07/07	10/29/10	482.97	771.24	(288.27)		Y
	Trade	114.000		03/14/07	10/29/10	455.03	704.61	(249.58)		Y
	Sub-Total:	**474.000**				**1,891.97**	**2,955.88**	**(1,063.91)**		
SEVEN & I HLDGS CO LTD ADR	Trade	4 000		12/24/08	05/12/10	202.26	252.17	(49.91)		Y
	Trade	10.000		01/07/09	05/12/10	505.64	590.18	(84.54)		Y
	Sub-Total:	**14.000**				**707.90**	**842.35**	**(134.45)**		

Continued on page 56

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046768-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SHISEIDO CO LTD SPONS ADR JAPAN	Trade	22.000		02/14/07	03/04/10	496.51	467.31	29.20		Y
	Trade	31.000		02/14/07	07/16/10	691.29	658.48	32.81		Y
	Sub-Total:	**53.000**				**1,187.80**	**1,125.79**	**62.01**		
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	23.000		10/20/08	08/10/10	272.67	260.41	12.26		Y
	Trade	14.000		01/16/09	08/10/10	165.98	118.45	47.53		Y
	Sub-Total:	**37.000**				**438.65**	**378.86**	**59.79**		
SOLUTIA INC NEW	Trade	51.000		08/06/09	10/12/10	882.80	498.72	384.08		Y
STANLEY BLACK & DECKER INC COM	Trade	6.000		02/13/07	05/12/10	374.84	339.96	34.88		Y
	Trade	14.000		03/07/07	05/12/10	874.62	785.06	89.56		Y
	Trade	19.000		10/11/07	05/12/10	1,186.98	1,092.91	94.07		Y
	Sub-Total:	**39.000**				**2,436.44**	**2,217.93**	**218.51**		
STANLEY WORKS **NAME CHANGE: 03/ 2010**	Trade	31.000		02/13/07	02/11/10	1,668.22	1,756.46	(88.24)		Y
STIFEL FINANCIAL CORP	Trade	15.000		09/03/08	05/03/10	882.88	620.78	262.10		Y
	Trade	10.000		09/29/08	05/03/10	588.59	456.74	131.85		Y
	Sub-Total:	**25.000**				**1,471.47**	**1,077.52**	**393.95**		
SWISSCOM AG SPON ADR	Trade	23.000		02/14/07	07/21/10	848.26	874.13	(25.87)		Y
TANGER FACTORY OUTLET CENTERS INC	Trade	18.000		02/13/07	03/15/10	773.87	769.68	4.19		Y
	Trade	16.000		02/13/07	03/16/10	695.74	684.16	11.58		Y
	Sub-Total:	**34.000**				**1,469.61**	**1,453.84**	**15.77**		
TARGET CORP	Trade	4.000		03/13/08	05/28/10	219.32	200.96	18.36		Y
	Trade	6.000		06/24/08	05/28/10	328.99	297.50	31.49		Y
	Sub-Total:	**10.000**				**548.31**	**498.46**	**49.85**		
TDK CORP ADR JAPAN ADR	Trade	5.000		10/23/08	05/06/10	324.24	164.98	159.26		Y
	Trade	18.000		12/12/08	05/06/10	1,167.27	599.06	568.21		Y
	Sub-Total:	**23.000**				**1,491.51**	**764.04**	**727.47**		
TECHNIP SA NEW SPON ADR	Trade	3.000		11/20/08	02/02/10	224.09	72.39	151.70		Y
	Trade	19.000		11/20/08	02/24/10	1,357.90	458.49	899.41		Y
	Sub-Total:	**22.000**				**1,581.99**	**530.88**	**1,051.11**		

Continued on page 57

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TEMPUR-PEDIC INTL INC	Trade	8.000		04/20/09	12/22/10	317.63	81.68	235.95		Y
THE DIRECTV GROUP CL A	Trade	8.000		10/09/08	05/06/10	292.23	171.91	120.32		Y
	Trade	14.000		10/09/08	08/10/10	548 25	300.85	247.40		Y
	Trade	51.000		10/20/08	08/10/10	1,997.18	1,048.50	948.68		Y
	Sub-Total:	**73.000**				**2,837.66**	**1,521.26**	**1,316.40**		
TOPPAN PRINTING LTD ADR	Trade	54.000		02/14/07	02/05/10	2,346.93	2,771.18	(424.25)		Y
	Trade	30.000		02/14/07	04/14/10	1,369.02	1,539.55	(170.53)		Y
	Trade	2.000		02/14/07	04/16/10	92.09	102.64	(10.55)		Y
	Sub-Total:	**86.000**				**3,808.04**	**4,413.37**	**(605.33)**		
UMB FINANCIAL CORP	Trade	10.000		08/30/07	02/25/10	384.31	434.91	(50.60)		Y
	Trade	10.000		09/20/07	02/25/10	384.31	450.54	(66.23)		Y
	Trade	10.000		10/01/07	02/25/10	384.31	434.99	(50.68)		Y
	Sub-Total:	**30.000**				**1,152.93**	**1,320.44**	**(167.51)**		
UNITED UTILITIES GROUP ADR	Trade	47.000		08/16/07	06/18/10	751 39	1,544.26	(792.87)		Y
	Trade	24.000		10/16/08	06/18/10	383.69	511.26	(127.57)		Y
	Trade	56.000		12/17/08	06/18/10	895.28	1,018.71	(123.43)		Y
	Sub-Total:	**127.000**				**2,030.36**	**3,074.23**	**(1,043.87)**		
VERIFONE SYSTEMS INC	Trade	17.000		09/16/09	09/22/10	479.53	251.55	227.98		Y
	Trade	6.000		09/16/09	12/01/10	214.12	88.78	125.34		Y
	Trade	21.000		09/23/09	12/01/10	749.41	318.57	430.84		Y
	Trade	3.000		10/09/09	12/01/10	107.06	42.40	64.66		Y
	Sub-Total:	**47.000**				**1,550.12**	**701.30**	**848.82**		
VODAFONE GROUP PLC NEW SPON ADR	Trade	17.000		02/13/07	11/02/10	468.70	496.57	(27.87)		Y
WASTE MGMT INC NEW	Trade	10.000		02/13/07	11/10/10	351.20	350.20	1.00		Y
	Trade	80.000		03/07/07	11/10/10	2,107.18	2,035.75	71.43		Y
	Trade	7.000		12/19/07	11/10/10	245.84	230.53	15.31		Y
	Sub-Total:	**77.000**				**2,704.22**	**2,616.48**	**87.74**		
WEATHERFORD INTL LTD CHF	Trade	10.000		10/17/08	02/12/10	150.08	139.68	10.40		Y
	Trade	30.000		12/11/08	02/12/10	450.23	327.94	122.29		Y

Continued on page 58

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G046770-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WEATHERFORD INTL LTD CHF	Trade	55.000		01/09/09	02/12/10	825.42	715.00	110.42		Y
	Sub-Total:	**95.000**				**1,425.73**	**1,182.62**	**243.11**		
WORLD FUEL SERVICES CORP	Trade	7.000		08/31/09	09/13/10	182.01	156.80	25.21		Y
	Trade	32.000		08/31/09	11/10/10	976.74	716.81	259.93		Y
	Sub-Total:	**39.000**				**1,158.75**	**873.61**	**285.14**		
WRIGHT EXPRESS CORP	Trade	5.000		05/08/09	10/12/10	182.94	118.48	64.46		Y
	Trade	7.000		05/08/09	11/10/10	309.21	165.87	143.34		Y
	Trade	1.000		05/15/09	11/10/10	44.17	22.85	21.32		Y
	Sub-Total:	**13.000**				**536.32**	**307.20**	**229.12**		
XTO ENERGY INC*MERGER EFF : 06/2010*	Trade	69.000		02/13/07	02/25/10	3,118.06	2,753.38	364.68		Y
	Trade	7.000		01/11/08	02/25/10	316.33	391.33	(75.00)		Y
	Trade	14.000		08/28/08	02/25/10	632.65	698.78	(66.13)		Y
	Sub-Total:	**90.000**				**4,067.04**	**3,843.49**	**223.55**		
Total Long-Term Gain/Loss						**145,319.32**	**136,046.64**	**9,272.68**		

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS 45690 DIV	8,864.	8,864.
UBS 45689 DIV	8,167.	8,167.
UBS 45688 DIV	4,732.	4,732.
UBS 45687 DIV	9,957.	9,957.
UBS 45199 DIV	10.	10.
TOTAL	31,730.	31,730.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PRICEWATERHOUSECOOPERS	2,500.	1,250.	0.	1,250.
TOTALS	2,500.	1,250.	0.	1,250.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS MANAGEMENT FEES 45688	2,521.	2,521.
UBS MANAGEMENT FEES 45689	2,439.	2,439.
UBS MANAGEMENT FEES 45690	5,079.	5,079.
TOTALS	10,039.	10,039.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES UBS 45690	386.	386.
FOREIGN TAXES UBS 45689	717.	717.
FOREIGN TAXES UBS 45688	209.	209.
TOTALS	1,312.	1,312.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UBS 45687	255,017.	255,017.
UBS 45688	319,021.	319,021.
UBS 45689	248,996.	248,996.
UBS 45690	545,001.	545,001.
TOTALS	1,368,035.	1,368,035.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
NET CHANGE IN UNREALIZED GAINS/LOSSESS	247,622.
TOTAL	247,622.

THE LARRY W MCCURDY FAMILY FOUNDATION 06-6502822

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LARRY W MCCURDY 116 RANDI DRIVE MADISON, CT 06443-2462	TRUSTEE	0.	0.	0.
KIMBERLY MALCOM 116 RANDI DRIVE MADISON, CT 06443-2462	TRUSTEE	0.	0.	0.
LAURIE SATTERWHITE 38 DEVERON MADISON, CT 06443	TRUSTEE	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

KIMBERLY MALCOM
2 EVARTS LANE
MADISON, CT 06443
203-245-4812

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CONNECTICUT HOSPICE 100 DOUBLE BEACH ROAD BRANFORD, CT 06405	N/A PUBLIC CHARITY	GENERAL FUND	7,500
SARAH ENDOWMENT FOUNDATION 246 GOOSE LANE #104 GUILFORD, CT 06437	N/A PUBLIC CHARITY	GENERAL FUND	10,000
CHRISTIAN COMMUNITY ACITON 168 DAVENPORT AVENUE NEW HAVEN, CT 06519	N/A PUBLIC CHARITY	GENERAL FUND	10,000.
THE AFTERMARKET FOUNDATION PO BOX 3889 TEQUESTA, FL 33469	N/A PUBLIC CHARITY	GENERAL FUND	8,000
NEW HAVEN SYMPHONY ORCHESTRA PO BOX 9718 NEW HAVEN, CT 06536	N/A PUBLIC CHARITY	GENERAL FUND	10,000
ST. MARTIN DE PORRES ACADEMY 208 COLUMBUS AVENUE NEW HAVEN, CT 06519	N/A PUBLIC CHARITY	GENERAL FUND	30,000
		TOTAL CONTRIBUTIONS PAID	75,500

Form **8868** (Rev January 2011) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization	**Employer identification number**
File by the due date for filing your return See instructions	THE LARRY W MCCURDY FAMILY FOUNDATION	06-6502822
	Number, street, and room or suite no If a P O box, see instructions	
	116 RANDI DRIVE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	MADISON, CT 06443-2462	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0 4]

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ KIMBERLY MALCOLM

Telephone No. ▶ 203 245-4812 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 11 , to file the exempt organization return for the organization named above The extension is for the organization's return for:

▶ [X] calendar year 20 10 or

▶ [ ] tax year beginning ______, 20 ___, and ending ______, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$ 2,438.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$ 2,438.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of exempt organization	Employer identification number
File by the extended due date for filing your return See instructions	THE LARRY W MCCURDY FAMILY FOUNDATION	06-6502822
	Number, street, and room or suite no If a P O box, see instructions: 116 RANDI DRIVE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions: MADISON, CT 06443-2462	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0][4]

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ KIMBERLY MALCOLM

  Telephone No. ▶ 203 245-4812 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15 , 20 11 .

5 For calendar year 2010 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___ .

6 If the tax year entered in line 5 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO GATHER THE INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**8a**	$ 2,438.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$ 2,438.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	**8c**	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶ 08/11/2011