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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

A Employer identification number
06-6455317

Number and street (or P O box number if mail is not delivered to street address)
325 COLUMBIA TURNPIKE NO 202

Room/suite

B Telephone number (see page 10 of the instructions)
(973) 408-6700

City or town, state, and ZIP code
FLORHAM PARK, NJ 07932

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 3,887,266

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	100,522	100,522		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	73,805			
	b Gross sales price for all assets on line 6a <u>1,702,181</u>				
	7 Capital gain net income (from Part IV, line 2)		73,805		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 4,193	1,044		
	12 Total. Add lines 1 through 11	178,520	175,371		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	 5,700	0		5,700
	c Other professional fees (attach schedule)				
	17 Interest	39	39		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	 61	61		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 20,366	20,366		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	26,166	20,466		5,700
	25 Contributions, gifts, grants paid	69,420			69,420
	26 Total expenses and disbursements. Add lines 24 and 25	95,586	20,466		75,120
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	82,934			
	b Net investment income (if negative, enter -0-)		154,905		
	c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2010)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,573	11,064	11,064
	2	Savings and temporary cash investments	79,370	11,765	11,765
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		1,500	1,500
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,443,089	3,586,529	3,860,236
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	3,593	2,701	2,701	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,530,625	3,613,559	3,887,266	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,530,625	3,613,559	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	3,530,625	3,613,559	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,530,625	3,613,559		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 3,530,625
2	Enter amount from Part I, line 27a	2 82,934
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 3,613,559
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 3,613,559

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	GNMA PL 004050M 06 5000 DUE 11/20/37	P	2008-04-24	2010-02-09
b	UBS FINANCIAL SERVICES INC #11532 - SEE ATTACHED	P		
c	GNMA PL 004258M-RETURN OF PRINCIPAL	P	2010-12-31	2010-12-31
d	GNMA PL 004050M-RETURN OF PRINCIPAL	P	2010-12-31	2010-12-31
e	UBS FINANCIAL SERVICES INC #11532 - SEE ATTACHED	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 30,896		32,396	-1,500
b 704,243		673,671	30,572
c 288,033		288,033	0
d 1,222		1,222	0
e 677,787		633,054	44,733

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-1,500
b			30,572
c			0
d			0
e			44,733

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	73,805
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	328,179	3,356,444	0 097776
2008	151,625	4,577,194	0 033126
2007	133,800	4,728,066	0 028299
2006	227,150	4,924,316	0 046128
2005	585,930	5,117,650	0 114492

2	Total of line 1, column (d).	2	0 319821
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 063964
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	3,584,104
5	Multiply line 4 by line 3.	5	229,254
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,549
7	Add lines 5 and 6.	7	230,803
8	Enter qualifying distributions from Part XII, line 4.	8	75,120

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,098
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	3,098
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,098
6 Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	873
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	3,800
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	4,673
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,575
11 Enter the amount of line 10 to be Credited to 2011 estimated tax 1,575 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			Yes No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a	No
c Did the foundation file Form 1120-POL for this year?.		1b	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0		1c	No
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of UNTRACHT EARLY LLC Telephone no (973) 408-6700 Located at 325 COLUMBIA TPKE SUITE 202 FLORHAM PARK NJ ZIP+4 07932			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country.				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DENNIS J KEEGAN C/O UNTRACHT EARLY 325 COLUMBIA TPKE FLORHAM PARK, NJ 07932	TRUSTEE 2 00	0	0	0
KAREN S KEEGAN C/O UNTRACHT EARLY 325 COLUMBIA TPKE FLORHAM PARK, NJ 07932	TRUSTEE 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,466,538
b	Average of monthly cash balances.	1b	172,146
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,638,684
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,638,684
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	54,580
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,584,104
6	Minimum investment return. Enter 5% of line 5.	6	179,205

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	179,205
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	3,098
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,098
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	176,107
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	176,107
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	176,107

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	75,120
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	75,120
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	75,120
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				176,107
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2010				
a From 2005.				331,107
b From 2006.				
c From 2007.				
d From 2008.				
e From 2009.				162,009
f Total of lines 3a through e.	493,116			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 75,120				
a Applied to 2009, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2010 distributable amount.				75,120
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	100,987			100,987
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	392,129			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. . .		0		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			0	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).	230,120			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.	162,009			
10 Analysis of line 9				
a Excess from 2006. . . .				
b Excess from 2007. . . .				
c Excess from 2008. . . .				
d Excess from 2009. . . .				162,009
e Excess from 2010. . . .				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DENNIS J KEEGAN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	69,420
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2010)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

[illegible]

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge					
	Signature of officer or trustee			2011-11-04 Date	Title	
	Paid Preparer's Use Only	Preparer's Signature TRACEY B EARLY		Date 2011-11-04	Check if self-employed ☒	PTIN
		Firm's name UNTRACHT EARLY LLC			Firm's EIN	
		Firm's address 325 COLUMBIA TURNPIKE SUITE 202 FLORHAM PARK, NJ 07932			Phone no (973) 408-6700	

TY 2010 Accounting Fees Schedule

Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

EIN: 06-6455317

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,700	0		5,700

**TY 2010 Investments Corporate
Stock Schedule**

Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC
EIN: 06-6455317

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS FINANCIAL SERVICES-VARIOUS STOCKS	3,586,529	3,860,236

TY 2010 Other Assets Schedule

Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

EIN: 06-6455317

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM BROKER	3,593	2,701	2,701

TY 2010 Other Expenses Schedule

Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

EIN: 06-6455317

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursement s for Charit able Purposes
INVESTMENT ADVISORY FEES	20,366	20,366		0

TY 2010 Other Income Schedule**Name:** KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC**EIN:** 06-6455317

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
ORDINARY INCOME K-1 BLACKSTONE GROUP	1,044	1,044	1,044
BLACKSTONE GROUP LP-UBTI	11	0	11
FEDERAL TAX REFUND	3,138	0	3,138

TY 2010 Taxes Schedule

Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

EIN: 06-6455317

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	61	61		0

KDK Charitable Trust
Balance Sheet
As of December 31, 2010

	Dec 31, 10
ASSETS	
Current Assets	
Checking/Savings	
Cash-New Citibank-1228943050	11,064 09
Cash-UBS #07265 (NE 11532)	7,158 07
Cash - UBS # 07830 (NE 11544)	4,606 75
Total Checking/Savings	22,828 91
Other Current Assets	
Due from Dennis Keegan	1,500 00
Total Other Current Assets	1,500 00
Total Current Assets	24,328 91
Other Assets	
Due from Broker	2,701 49
Investment-UBS #07265 (NE11532)	
Blackstone Group LP	36,885 63
Investment-UBS #07265 (NE11532) - Other	2,538,058 74
Total Investment-UBS #07265 (NE11532)	2,574,944 37
Investment-UBS #07830 (NE11544)	1,011,555 62
Total Other Assets	3,589,201 48
TOTAL ASSETS	3,613,530.39
LIABILITIES & EQUITY	
Equity	
Trust Principle	3,530,595 69
Net Income	82,934 70
Total Equity	3,613,530 39
TOTAL LIABILITIES & EQUITY	3,613,530.39

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Accrual Basis

KDK Charitable Trust
Profit & Loss
January through December 2010

	Jan - Dec 10
Ordinary Income/Expense	
Income	
Dividend Income	47,376 19
Interest Income	54,920 84
Realized Gain (Loss)	
STCG	30,571 84
LTCG	43,233 20
Total Realized Gain (Loss)	73,805 04
Total Income	176,102 07
Expense	
Accrued Interest Paid	1,774 85
Federal Taxes	-3,137 58
Foreign Taxes	60 81
Grants Paid	69,420 00
Interest Expense	38 68
Investment fees	20,365 61
Professional Fees	
Accounting fees	5,700 00
Total Professional Fees	5,700 00
Total Expense	94,222 37
Net Ordinary Income	81,879 70
Other Income/Expense	
Other Income	
Income/Expense from K-1	
Partnership Taxable Income	1,055 00
Total Income/Expense from K-1	1,055 00
Total Other Income	1,055 00
Net Other Income	1,055 00
Net Income	82,934.70

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Accrual Basis

KDK Charitable Trust

Grants Paid

January through December 2010

Type	Date	Num	Name	Amount	Balance
American Red Cross					
Check	01/26/2010	415	American Red Cross	5,000 00	5,000 00
Total American Red Cross				5,000 00	5,000 00
Brunswick SchoolEndowment					
Check	07/29/2010	494	Brunswick SchoolEndowment	2,000 00	2,000 00
Total Brunswick SchoolEndowment				2,000 00	2,000 00
Community Foundation of South Puget Sound					
Check	07/01/2010	492	Community Foundation of South Puget Sound	200 00	200 00
Total Community Foundation of South Puget Sound				200 00	200 00
Cornell University					
Check	11/16/2010	499	Cornell University	1,000 00	1,000 00
Check	12/20/2010	500	Cornell University	20 00	1,020 00
Total Cornell University				1,020 00	1,020 00
Dartmouth College					
Check	09/14/2010	497	Dartmouth College	1,000 00	1,000 00
Total Dartmouth College				1,000 00	1,000 00
Family Center Incorporated					
Check	12/09/2010	501	Family Center Incorporated	500 00	500 00
Total Family Center Incorporated				500 00	500 00
Greenwich Chapter American Red Cross					
Check	08/09/2010	495	Greenwich Chapter American Red Cross	5,000 00	5,000 00
Check	12/16/2010	505	Greenwich Chapter American Red Cross	12,100 00	17,100 00
Total Greenwich Chapter American Red Cross				17,100 00	17,100 00
St. Pauls School					
Check	06/10/2010	493	St Pauls School	1,000 00	1,000 00
Total St Pauls School				1,000 00	1,000 00
UCLA - Anderson School					
Check	11/03/2010	498	UCLA - Anderson School	30,000 00	30,000 00
Total UCLA - Anderson School				30,000 00	30,000 00
United Way of Greenwich					
Check	01/28/2010	491	United Way of Greenwich	1,500 00	1,500 00
Check	08/24/2010	496	United Way of Greenwich	10,000 00	11,500 00
Total United Way of Greenwich				11,500 00	11,500 00
Brunswick School					
Check	12/17/2010	503	Brunswick School	50 00	50 00
Check	12/17/2010	504	Brunswick School	50 00	100 00
Total Brunswick School				100 00	100 00
TOTAL				69,420.00	69,420.00

KDK Charitable Trust Journal

January through December 2010

Num	Memo	Account	Debit	Credit
1	To record Jan 2010 activity	Interest receivable		7 46
	To record Jan 2010 activity	Cash - UBS # 07830 (NE 11544)	7 46	
	To record Jan 2010 activity	Interest Income		7,393 20
	To record Jan 2010 activity	Cash - UBS # 07830 (NE 11544)	10,978 42	
	return of principal	Investment-UBS #07830 (NE11544)		41,194 96
	To record Jan 2010 activity	Cash - UBS # 07830 (NE 11544)	41,194 96	
	To record Jan 2010 activity	Cash-New Citibank-1228943050	30,000 00	
	To record Jan 2010 activity	Cash - UBS # 07830 (NE 11544)		30,000 00
	To record Jan 2010 activity	Cash-UBS #07265 (NE 11532)	2,390 98	
	To record Jan 2010 activity	Dividend Income		2,390 98
	To record Jan 2010 activity	Investment fees	4,142 35	
	To record Jan 2010 activity	Cash-UBS #07265 (NE 11532)		4,142 35
	To record Jan 2010 activity	Interest receivable		3,585 22
			88,714 17	88,714 17
2	To record Feb activity	Cash-UBS #07265 (NE 11532)	1,772 29	
	To record Feb activity	Dividend Income		1,772 29
	To record Feb activity	Cash-UBS #07265 (NE 11532)	87,158 14	
	To record Feb activity	LTCG	1,509 18	
	To record Feb activity	STCG		11,724 61
	To record Feb activity	Investment-UBS #07265 (NE11532)		76,942 71
	To record Feb activity	Investment-UBS #07265 (NE11532)	85,462 41	
	To record Feb activity	Cash-UBS #07265 (NE 11532)		85,462 41
	To record Feb activity	Cash - UBS # 07830 (NE 11544)	2,700 59	
	To record Feb activity	Interest Income		3,424 96
	To record Feb activity	Interest Income		57 56
	To record Feb activity	Accrued Interest Paid	781 93	
	To record Feb activity	Cash - UBS # 07830 (NE 11544)	30,895 70	
	To record Feb activity	LTCG	1,500 33	
	To record Feb activity	Investment-UBS #07830 (NE11544)		32,396 03
	return of principal	Investment-UBS #07830 (NE11544)		2,188 91
	To record Feb activity	Cash - UBS # 07830 (NE 11544)	2,188 91	
	To record Feb activity	Investment-UBS #07830 (NE11544)	29,656 75	
	To record Feb activity	Cash - UBS # 07830 (NE 11544)		29,656 75
	To record Feb activity	Investment fees	26 00	
	To record Feb activity	Cash-New Citibank-1228943050		26 00
			243,652 23	243,652 23
3	To record Mar activity	Cash - UBS # 07830 (NE 11544)	5,853 02	
	To record Mar activity	Dividend Income		2,593 50
	return of principal	Cash - UBS # 07830 (NE 11544)	16,284 83	
	To record Mar activity	Investment-UBS #07830 (NE11544)		16,284 83
	To record Mar activity	Cash-UBS #07265 (NE 11532)	5,429 15	
	To record Mar activity	Dividend Income		4,779 95
	To record Mar activity	Blackstone Group LP		649 20
	To record Mar activity	Cash-UBS #07265 (NE 11532)	241,680 21	
	To record Mar activity	LTCG	10,555 44	
	To record Mar activity	STCG		29,974 73
	To record Mar activity	Investment-UBS #07265 (NE11532)		222,260 92
	To record Mar activity	Investment-UBS #07265 (NE11532)	242,673 53	
	To record Mar activity	Cash-UBS #07265 (NE 11532)		242,673 53
	To record Mar activity	Interest Income		3,259 52
			522,476 18	522,476 18

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KDK Charitable Trust Journal

January through December 2010

Num	Memo	Account	Debit	Credit
4	To record April activity	Cash - UBS # 07830 (NE 11544)	6,155 60	
	To record April activity	Dividend Income		1,975 00
	To record April activity	Cash - UBS # 07830 (NE 11544)	67,359 28	
	return of principal	Investment-UBS #07830 (NE11544)		67,359 28
	To record April activity	Cash-UBS #07265 (NE 11532)	1,279 55	
	To record April activity	Dividend Income		1,279 55
	To record April activity	Cash-UBS #07265 (NE 11532)	61,594 44	
	To record April activity	LTCG		3,147 33
	To record April activity	Investment-UBS #07265 (NE11532)		58,447 11
	To record April activity	Investment fees	4,296 96	
	To record April activity	Cash-UBS #07265 (NE 11532)		4,296 96
	To record April activity	Investment-UBS #07265 (NE11532)	69,966 60	
	To record April activity	Cash-UBS #07265 (NE 11532)		69,966 60
	To record April activity	Interest Income		4,180 60
			210,652 43	210,652 43
5	To record May activity	Cash-UBS #07265 (NE 11532)	2,108 44	
	To record May activity	Dividend Income		2,108 44
	To record May activity	Cash-UBS #07265 (NE 11532)	80,250 93	
	To record May activity	LTCG	1,270 25	
	To record May activity	STCG	1,226 00	
	To record May activity	Investment-UBS #07265 (NE11532)		82,747 18
	To record May activity	Interest Expense	18 72	
	To record May activity	Cash-UBS #07265 (NE 11532)		18 72
	To record May activity	Investment-UBS #07265 (NE11532)	76,111 81	
	To record May activity	Cash-UBS #07265 (NE 11532)		76,111 81
	To record May activity	Cash - UBS # 07830 (NE 11544)	2,920 68	
	To record May activity	Interest Income		2,920 68
	return of principal	Cash - UBS # 07830 (NE 11544)	989 23	
	To record May activity	Investment-UBS #07830 (NE11544)		989 23
6	refund per client	Federal Taxes		3,137 58
	To record May activity	Cash-New Citibank-1228943050	3,137 58	
			168,033 64	168,033 64
	To record June 2010 activity	Dividend Income		5,238 06
	To record June 2010 activity	Blackstone Group LP		216 40
	To record June 2010 activity	Cash-UBS #07265 (NE 11532)	5,454 46	
	To record June 2010 activity	Cash-UBS #07265 (NE 11532)	354,787 20	
	To record June 2010 activity	STCG	6,247 79	
	To record June 2010 activity	LTCG		21,830 36
	To record June 2010 activity	Investment-UBS #07265 (NE11532)		339,204 63
	To record June 2010 activity	Investment-UBS #07265 (NE11532)	360,753 33	
	To record June 2010 activity	Cash-UBS #07265 (NE 11532)		360,753 33
	To record June 2010 activity	Cash - UBS # 07830 (NE 11544)	2,924 27	
	To record June 2010 activity	Interest Income		2,924 27
7	To record June 2010 activity	Cash - UBS # 07830 (NE 11544)	14,418 14	
	Return of principal	Investment-UBS #07830 (NE11544)		14,418 14
			744,585 19	744,585 19
	To record July 2010 activity	Cash-UBS #07265 (NE 11532)	2,486 73	
	To record July 2010 activity	Dividend Income		2,486 73
	To record July 2010 activity	Cash-UBS #07265 (NE 11532)	97,472 90	
	To record July 2010 activity	Investment-UBS #07265 (NE11532)		70,325 63
	To record July 2010 activity	STCG		1,462 39
	To record July 2010 activity	LTCG		25,684 88
	To record July 2010 activity	Investment fees	3,785 65	
	To record July 2010 activity	Investment fees	36 00	
	To record July 2010 activity	Cash-UBS #07265 (NE 11532)		3,821 65
	To record July 2010 activity	Investment-UBS #07265 (NE11532)	101,897 91	
	To record July 2010 activity	Cash-UBS #07265 (NE 11532)		101,897 91
7	To record July 2010 activity	Cash - UBS # 07830 (NE 11544)	10,097 16	
	To record July 2010 activity	Interest Income		10,097 16
	To record July 2010 activity	Cash - UBS # 07830 (NE 11544)	860 27	
	Return of principal	Investment-UBS #07830 (NE11544)		860 27
			216,636 62	216,636 62

KDK Charitable Trust Journal

January through December 2010

Num	Memo	Account	Debit	Credit
8	To record August 2010 activity	Cash-UBS #07265 (NE 11532)	2,145 89	
	To record August 2010 activity	Dividend Income		1,929 49
	To record August 2010 activity	Blackstone Group LP		216 40
	To record August 2010 activity	Interest Expense	11 40	
	To record August 2010 activity	Cash-UBS #07265 (NE 11532)		47 74
	To record August 2010 activity	Cash-UBS #07265 (NE 11532)	100,000 00	
	To record August 2010 activity	Cash - UBS # 07830 (NE 11544)		100,000 00
	To record August 2010 activity	Investment-UBS #07265 (NE11532)	95,369 92	
	To record August 2010 activity	Cash-UBS #07265 (NE 11532)		95,369 92
	To record August 2010 activity	Foreign Taxes	36 34	
	To record August 2010 activity	Interest Income		2,862 30
	To record August 2010 activity	Accrued Interest Paid	568 75	
	To record August 2010 activity	Cash - UBS # 07830 (NE 11544)	2,293 55	
	To record August 2010 activity	Investment-UBS #07830 (NE11544)	47,240 45	
	Return of principal	Investment-UBS #07830 (NE11544)		26,036 90
	To record August 2010 activity	Cash - UBS # 07830 (NE 11544)		21,203 55
			247,666 30	247,666 30
9	To record Sept 2010 activity	Dividend Income		5,492 52
	To record Sept 2010 activity	Cash-UBS #07265 (NE 11532)	5,492 52	
	To record Sept 2010 activity	Cash-UBS #07265 (NE 11532)	39,181 21	
	To record Sept 2010 activity	Investment-UBS #07265 (NE11532)		27,708 47
	To record Sept 2010 activity	LTCG		3,296 90
	To record Sept 2010 activity	Investment-UBS #07265 (NE11532)	38,676 11	
	To record Sept 2010 activity	Cash-UBS #07265 (NE 11532)		38,676 11
	To record Sept 2010 activity	Dividend Income		2,593 50
	To record Sept 2010 activity	Cash - UBS # 07830 (NE 11544)	5,331 44	
	To record Sept 2010 activity	Cash - UBS # 07830 (NE 11544)	72,250 36	
	return of principal	Investment-UBS #07830 (NE11544)		72,250 36
	To record Sept 2010 activity	Open Short Positions		8,175 84
	To record Sept 2010 activity	Interest Income		2,737 94
			160,931 64	160,931 64
10	To record Oct 2010 activity	Dividend Income		1,975 00
	To record Oct 2010 activity	Accrued Interest Paid	424 17	
	To record Oct 2010 activity	Cash - UBS # 07830 (NE 11544)	3,001 24	
	To record Oct 2010 activity	Cash - UBS # 07830 (NE 11544)		50,000 00
	Wire transfer 10/5	Cash-New Citibank-1228943050	50,000 00	
	To record Oct 2010 activity	Investment-UBS #07830 (NE11544)	53,932 25	
	To record Oct 2010 activity	Investment-UBS #07830 (NE11544)	44,830 25	
	To record Oct 2010 activity	Cash - UBS # 07830 (NE 11544)		98,762 50
	To record Oct 2010 activity	Cash - UBS # 07830 (NE 11544)	51,415 32	
	return of principal	Investment-UBS #07830 (NE11544)		51,415 32
	To record Oct 2010 activity	Dividend Income		794 25
	To record Oct 2010 activity	Cash-UBS #07265 (NE 11532)	794 25	
	To record Oct 2010 activity	Investment fees	4,400 68	
	To record Oct 2010 activity	Cash-UBS #07265 (NE 11532)		4,400 68
	To record Oct 2010 activity	Cash-New Citibank-1228943050		1,500 00
	To record Oct 2010 activity	Due from Dennis Keegan	1,500 00	
	To record Oct 2010 activity	Interest Income		1,450 41
			210,298 16	210,298 16
11	To record Nov 2010 activity	Interest Income		4,194 63
	To record Nov 2010 activity	Cash - UBS # 07830 (NE 11544)	4,194 63	
	To record Nov 2010 activity	Cash - UBS # 07830 (NE 11544)		50,000 00
	To record Nov 2010 activity	Investment-UBS #07830 (NE11544)	50,000 00	
	return of principal	Investment-UBS #07830 (NE11544)		36,150 32
	To record Nov 2010 activity	Cash - UBS # 07830 (NE 11544)	36,150 32	
	To record Nov 2010 activity	Dividend Income		2,767 55
	To record Nov 2010 activity	Blackstone Group LP		216 40
	To record Nov 2010 activity	Cash-UBS #07265 (NE 11532)	2,983 95	
	To record Nov 2010 activity	Cash-UBS #07265 (NE 11532)	260,646 55	
	To record Nov 2010 activity	STCG	5,116 10	
	To record Nov 2010 activity	LTCG	1,228 02	
	To record Nov 2010 activity	Investment-UBS #07265 (NE11532)		273,305 55
	To record Nov 2010 activity	Cash-UBS #07265 (NE 11532)		262,383 68
	To record Nov 2010 activity	Investment-UBS #07265 (NE11532)	262,383 68	
	To record Nov 2010 activity	Interest Expense	8 56	

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KDK Charitable Trust
Journal
 January through December 2010

Num	Memo	Account	Debit	Credit
	To record Nov 2010 activity	Cash-UBS #07265 (NE 11532)		8 56
	To record Nov 2010 activity	Open Short Positions	6,314 88	
			629,026 69	629,026 69
12	To record Dec 2010 activity	Dividend Income		7,199 38
	To record Dec 2010 activity	Cash-UBS #07265 (NE 11532)	7,199 38	
	Transfer from #11544	Cash-UBS #07265 (NE 11532)	88,000 00	
	Transfer to #11532	Cash - UBS # 07830 (NE 11544)		88,000 00
	To record Dec 2010 activity	Cash-UBS #07265 (NE 11532)		24 47
	To record Dec 2010 activity	Foreign Taxes	24 47	
	To record Dec 2010 activity	Cash-UBS #07265 (NE 11532)		254,298 76
	To record Dec 2010 activity	Investment-UBS #07265 (NE11532)	254,298 76	
	To record Dec 2010 activity	Cash-UBS #07265 (NE 11532)	159,258 13	
	To record Dec 2010 activity	Investment-UBS #07265 (NE11532)		155,782 14
	To record Dec 2010 activity	Interest Income		3,690 57
	To record Dec 2010 activity	Cash - UBS # 07830 (NE 11544)	3,690 57	
	To record Dec 2010 activity	Cash - UBS # 07830 (NE 11544)	649 33	
	To record Dec 2010 activity	Investment-UBS #07830 (NE11544)		649 33
	To record Dec 2010 activity	Open Short Positions	1,860 96	
	To record Dec 2010 activity	LTCG		5,336 95
			514,981 60	514,981 60
13	To adjust accounts to match the 2010 1099	Investment fees	3,663 88	
	To adjust accounts to match the 2010 1099	Due from Broker	2,271 23	
	settlement date 1/20/11	Interest Income		2,271 23
	To adjust accounts to match the 2010 1099	Due from Broker	652 42	
	settlement date 1/20/11	Investment-UBS #07830 (NE11544)		652 42
	To adjust accounts to match the 2010 1099	Due from Broker		222 16
	To adjust accounts to match the 2010 1099	Investment fees	14 09	
	To adjust accounts to match the 2010 1099	Interest Income		3,455 81
			6,601 62	6,601 62
14	To record K-1 for the year	Blackstone Group LP	1,055 00	
	To record K-1 for the year	Partnership Taxable Income		1,055 00
			1,055 00	1,055 00
TOTAL			3,965,311.47	3,965,311.47

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Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
Cash-New Citibank-1228943050							4,572 51
Check	01/26/2010	415	American Red Cross		Grants Paid	-5,000 00	-427 49
Check	01/28/2010	491	United Way of Green		Grants Paid	-1,500 00	-1,927 49
General Journal	01/31/2010	1		To record Jan	Interest receiva	30,000 00	28,072 51
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-26 00	28,046 51
General Journal	05/31/2010	5		To record May	Cash-UBS #07	3,137 58	31,184 09
Check	06/10/2010	493	St Pauls School		Grants Paid	-1,000 00	30,184 09
Check	07/01/2010	492	Community Foundati		Grants Paid	-200 00	29,984 09
Check	07/29/2010	494	Brunswick SchoolEn		Grants Paid	-2,000 00	27,984 09
Check	08/09/2010	495	Greenwich Chapter A		Grants Paid	-5,000 00	22,984 09
Check	08/24/2010	496	United Way of Green		Grants Paid	-10,000 00	12,984 09
Check	09/14/2010	497	Dartmouth College		Grants Paid	-1,000 00	11,984 09
General Journal	10/31/2010	10		Wire transfer	Dividend Income	50,000 00	61,984 09
General Journal	10/31/2010	10		To record Oct	Dividend Income	-1,500 00	60,484 09
Check	11/03/2010	498	UCLA - Anderson Sc		Grants Paid	-30,000 00	30,484 09
Check	11/16/2010	499	Cornell University		Grants Paid	-1,000 00	29,484 09
Check	12/09/2010	501	Family Center Incorp		Grants Paid	-500 00	28,984 09
Check	12/09/2010	502	Untracht Early & Ass		Accounting fees	-5,700 00	23,284 09
Check	12/16/2010	505	Greenwich Chapter A		Grants Paid	-12,100 00	11,184 09
Check	12/17/2010	503	Brunswick School		Grants Paid	-50 00	11,134 09
Check	12/17/2010	504	Brunswick School		Grants Paid	-50 00	11,084 09
Check	12/20/2010	500	Cornell University		Grants Paid	-20 00	11,064 09
Total Cash-New Citibank-1228943050						6,491 58	11,064 09
Cash-UBS #07265 (NE 11532)							1,945 96
General Journal	01/31/2010	1		To record Jan	Interest receiva	2,390 98	4,336 94
General Journal	01/31/2010	1		To record Jan	Interest receiva	-4,142 35	194 59
General Journal	02/28/2010	2		To record Feb	-SPLIT-	1,772 29	1,966 88
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	87,158 14	89,125 02
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-85,462 41	3,662 61
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	5,429 15	9,091 76
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	241,680 21	250,771 97
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-242,673 53	8,098 44
General Journal	04/30/2010	4		To record Apri	Cash - UBS # 0	1,279 55	9,377 99
General Journal	04/30/2010	4		To record Apri	Cash - UBS # 0	61,594 44	70,972 43
General Journal	04/30/2010	4		To record Apri	Cash - UBS # 0	-4,296 96	66,675 47
General Journal	04/30/2010	4		To record Apri	Cash - UBS # 0	-69,966 60	-3,291 13
General Journal	05/31/2010	5		To record May	-SPLIT-	2,108 44	-1,182 69
General Journal	05/31/2010	5		To record May	Cash-UBS #07	80,250 93	79,068 24
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-18 72	79,049 52
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-76,111 81	2,937 71
General Journal	06/30/2010	6		To record Jun	Dividend Income	5,454 46	8,392 17
General Journal	06/30/2010	6		To record Jun	Dividend Income	354,787 20	363,179 37
General Journal	06/30/2010	6		To record Jun	Dividend Income	-360,753 33	2,426 04
General Journal	07/31/2010	7		To record July	-SPLIT-	2,486 73	4,912 77
General Journal	07/31/2010	7		To record July	Cash-UBS #07	97,472 90	102,385 67
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-3,821 65	98,564 02
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-101,897 91	-3,333 89
General Journal	08/31/2010	8		To record Aug	-SPLIT-	2,145 89	-1,188 00
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-47 74	-1,235 74
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	100,000 00	98,764 26
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-95,369 92	3,394 34
General Journal	09/30/2010	9		To record Sep	Dividend Income	5,492 52	8,886 86
General Journal	09/30/2010	9		To record Sep	Dividend Income	39,181 21	48,068 07
General Journal	09/30/2010	9		To record Sep	Dividend Income	-38,676 11	9,391 96
General Journal	10/31/2010	10		To record Oct	Dividend Income	794 25	10,186 21
General Journal	10/31/2010	10		To record Oct	Dividend Income	-4,400 68	5,785 53
General Journal	11/30/2010	11		To record Nov	Interest Income	2,983 95	8,769 48
General Journal	11/30/2010	11		To record Nov	Interest Income	260,646 55	269,416 03
General Journal	11/30/2010	11		To record Nov	Interest Income	-262,383 68	7,032 35
General Journal	11/30/2010	11		To record Nov	Interest Income	-8 56	7,023 79
General Journal	12/31/2010	12		To record Dec	Dividend Income	7,199 38	14,223 17
General Journal	12/31/2010	12		Transfer from	Dividend Income	88,000 00	102,223 17
General Journal	12/31/2010	12		To record Dec	Dividend Income	-24 47	102,198 70
General Journal	12/31/2010	12		To record Dec	Dividend Income	-254,298 76	-152,100 06
General Journal	12/31/2010	12		To record Dec	Dividend Income	159,258 13	7,158 07
Total Cash-UBS #07265 (NE 11532)						5,212 11	7,158 07
Cash - Bank of America							0 00
Total Cash - Bank of America							0 00
Cash - BOA-Nations Cash Reserve							0 00
Total Cash - BOA-Nations Cash Reserve							0 00
Cash - Citibank							0 00
Total Cash - Citibank							0 00

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Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
Cash - UBS # 07830 (NE 11544)							77,424 27
General Journal	01/31/2010	1		To record Jan	Interest receiva	7 46	77,431 73
General Journal	01/31/2010	1		To record Jan	Interest receiva	10,978 42	88,410 15
General Journal	01/31/2010	1		To record Jan	Interest receiva	41,194 96	129,605 11
General Journal	01/31/2010	1		To record Jan	Interest receiva	-30,000 00	99,605 11
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	2,700 59	102,305 70
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	30,895 70	133,201 40
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	2,188 91	135,390 31
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-29,656 75	105,733 56
General Journal	03/31/2010	3		To record Mar	-SPLIT-	5,853 02	111,586 58
General Journal	03/31/2010	3		return of princi	Cash - UBS # 0	16,284 83	127,871 41
General Journal	04/30/2010	4		To record Apri	-SPLIT-	6,155 60	134,027 01
General Journal	04/30/2010	4		To record Apri	Cash - UBS # 0	67,359 28	201,386 29
General Journal	05/31/2010	5		To record May	Cash-UBS #07	2,920 68	204,306 97
General Journal	05/31/2010	5		return of princi	Cash-UBS #07	989 23	205,296 20
General Journal	06/30/2010	6		To record Jun	Dividend Income	2,924 27	208,220 47
General Journal	06/30/2010	6		To record Jun	Dividend Income	14,418 14	222,638 61
General Journal	07/31/2010	7		To record July	Cash-UBS #07	10,097 16	232,735 77
General Journal	07/31/2010	7		To record July	Cash-UBS #07	860 27	233,596 04
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-100,000 00	133,596 04
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	2,293 55	135,889 59
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-21,203 55	114,686 04
General Journal	09/30/2010	9		To record Sep	Dividend Income	5,331 44	120,017 48
General Journal	09/30/2010	9		To record Sep	Dividend Income	72,250 36	192,267 84
General Journal	10/31/2010	10		To record Oct	Dividend Income	3,001 24	195,269 08
General Journal	10/31/2010	10		To record Oct	Dividend Income	-50,000 00	145,269 08
General Journal	10/31/2010	10		To record Oct	Dividend Income	-98,762 50	46,506 58
General Journal	10/31/2010	10		To record Oct	Dividend Income	51,415 32	97,921 90
General Journal	11/30/2010	11		To record Nov	Interest Income	4,194 63	102,116 53
General Journal	11/30/2010	11		To record Nov	Interest Income	-50,000 00	52,116 53
General Journal	11/30/2010	11		To record Nov	Interest Income	36,150 32	88,266 85
General Journal	12/31/2010	12		Transfer to #1	Dividend Income	-88,000 00	266 85
General Journal	12/31/2010	12		To record Dec	Dividend Income	3,690 57	3,957 42
General Journal	12/31/2010	12		To record Dec	Dividend Income	649 33	4,606 75
Total Cash - UBS # 07830 (NE 11544)						-72,817 52	4,606 75
Dividend Receivable							0 00
Total Dividend Receivable							0 00
Due from Dennis Keegan							0 00
General Journal	10/31/2010	10		To record Oct	Dividend Income	1,500 00	1,500 00
Total Due from Dennis Keegan						1,500 00	1,500 00
Interest receivable							3,592 68
General Journal	01/31/2010	1		To record Jan	-SPLIT-	-7 46	3,585 22
General Journal	01/31/2010	1		To record Jan	Interest receiva	-3,585 22	0 00
Total Interest receivable						-3,592 68	0 00
Transfers							0 00
Total Transfers							0 00
Due from Broker							0 00
General Journal	12/31/2010	13		To adjust acc	Investment fees	2,271 23	2,271 23
General Journal	12/31/2010	13		To adjust acc	Investment fees	652 42	2,923 65
General Journal	12/31/2010	13		To adjust acc	Investment fees	-222 16	2,701 49
Total Due from Broker						2,701 49	2,701 49
Investment-UBS #07265 (NE11532)							2,294,318 05
Blackstone Group LP							37,129 03
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-649 20	36,479 83
General Journal	06/30/2010	6		To record Jun	Dividend Income	-216 40	36,263 43
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-216 40	36,047 03
General Journal	11/30/2010	11		To record Nov	Interest Income	-216 40	35,830 63
General Journal	12/31/2010	14		To record K-1	Partnership Tax	1,055 00	36,885 63
Total Blackstone Group LP						-243 40	36,885 63

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Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
Investment-UBS #07265 (NE11532) - Other							2,257,189 02
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-76,942 71	2,180,246 31
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	85,462 41	2,265,708 72
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-222,260 92	2,043,447 80
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	242,673 53	2,286,121 33
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	-58,447 11	2,227,674 22
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	69,966 60	2,297,640 82
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-82,747 18	2,214,893 64
General Journal	05/31/2010	5		To record May	Cash-UBS #07	76,111 81	2,291,005 45
General Journal	06/30/2010	6		To record Jun	Dividend Income	-339,204 63	1,951,800 82
General Journal	06/30/2010	6		To record Jun	Dividend Income	360,753 33	2,312,554 15
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-70,325 63	2,242,228 52
General Journal	07/31/2010	7		To record July	Cash-UBS #07	101,897 91	2,344,126 43
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	95,369 92	2,439,496 35
General Journal	09/30/2010	9		To record Sep	Dividend Income	-27,708 47	2,411,787 88
General Journal	09/30/2010	9		To record Sep	Dividend Income	38,676 11	2,450,463 99
General Journal	11/30/2010	11		To record Nov	Interest Income	-273,305 55	2,177,158 44
General Journal	11/30/2010	11		To record Nov	Interest Income	262,383 68	2,439,542 12
General Journal	12/31/2010	12		To record Dec	Dividend Income	254,298 76	2,693,840 88
General Journal	12/31/2010	12		To record Dec	Dividend Income	-155,782 14	2,538,058 74
Total Investment-UBS #07265 (NE11532) - Other						280,869 72	2,538,058 74
Total Investment-UBS #07265 (NE11532)						280,626 32	2,574,944 37
Investment-UBS #07830 (NE11544)							1,148,742 22
General Journal	01/31/2010	1		return of princi	Interest receive	-41,194 96	1,107,547 26
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-32,396 03	1,075,151 23
General Journal	02/28/2010	2		return of princi	Cash-UBS #07	-2,188 91	1,072,962 32
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	29,656 75	1,102,619 07
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-16,284 83	1,086,334 24
General Journal	04/30/2010	4		return of princi	Cash - UBS # 0	-67,359 28	1,018,974 96
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-989 23	1,017,985 73
General Journal	06/30/2010	6		Return of prin	Dividend Income	-14,418 14	1,003,567 59
General Journal	07/31/2010	7		Return of prin	Cash-UBS #07	-860 27	1,002,707 32
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	47,240 45	1,049,947 77
General Journal	08/31/2010	8		Return of prin	Cash-UBS #07	-26,036 90	1,023,910 87
General Journal	09/30/2010	9		return of princi	Dividend Income	-72,250 36	951,660 51
General Journal	10/31/2010	10		To record Oct	Dividend Income	53,932 25	1,005,592 76
General Journal	10/31/2010	10		To record Oct	Dividend Income	44,830 25	1,050,423 01
General Journal	10/31/2010	10		return of princi	Dividend Income	-51,415 32	999,007 69
General Journal	11/30/2010	11		To record Nov	Interest Income	50,000 00	1,049,007 69
General Journal	11/30/2010	11		return of princi	Interest Income	-36,150 32	1,012,857 37
General Journal	12/31/2010	12		To record Dec	Dividend Income	-649 33	1,012,208 04
General Journal	12/31/2010	13		settlement dat	Investment fees	-652 42	1,011,555 62
Total Investment-UBS #07830 (NE11544)						-137,186 60	1,011,555 62
Investment - US Treasury/Corp							0 00
Total Investment - US Treasury/Corp							0 00
Investment in Auspex Offshore							0 00
Total Investment in Auspex Offshore							0 00
Investments - Bank of America							0 00
Total Investments - Bank of America							0 00
Open Short Positions							0 00
General Journal	09/30/2010	9		To record Sep	Dividend Income	-8,175 84	-8,175 84
General Journal	11/30/2010	11		To record Nov	Interest Income	6,314 88	-1,860 96
General Journal	12/31/2010	12		To record Dec	Dividend Income	1,860 96	0 00
Total Open Short Positions						0 00	0 00
amrx							0 00
Total amrx							0 00
Due to Broker							0 00
Total Due to Broker							0 00
Payroll Liabilities							0 00
Total Payroll Liabilities							0 00
Opening Bal Equity							0 00
Total Opening Bal Equity							0 00
Principal Contribution							0 00
Total Principal Contribution							0 00

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Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
Trust Principle							-3,530,595 69
Total Trust Principle							-3,530,595 69
Contribution received							0 00
Total Contribution received							0 00
Dividend Income							0 00
Foreign Dividends							0 00
Total Foreign Dividends							0 00
Dividend Income - Other							0 00
General Journal	01/31/2010	1		To record Jan	Interest receiva	-2,390 98	-2,390 98
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-1,772 29	-4,163 27
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-2,593 50	-6,756 77
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-4,779 95	-11,536 72
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	-1,975 00	-13,511 72
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	-1,279 55	-14,791 27
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-2,108 44	-16,899 71
General Journal	06/30/2010	6		To record Jun	-SPLIT-	-5,238 06	-22,137 77
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-2,486 73	-24,624 50
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-1,929 49	-26,553 99
General Journal	09/30/2010	9		To record Sep	-SPLIT-	-5,492 52	-32,046 51
General Journal	09/30/2010	9		To record Sep	Dividend Income	-2,593 50	-34,640 01
General Journal	10/31/2010	10		To record Oct	-SPLIT-	-1,975 00	-36,615 01
General Journal	10/31/2010	10		To record Oct	Dividend Income	-794 25	-37,409 26
General Journal	11/30/2010	11		To record Nov	Interest Income	-2,767 55	-40,176 81
General Journal	12/31/2010	12		To record Dec	-SPLIT-	-7,199 38	-47,376 19
Total Dividend Income - Other						-47,376 19	-47,376 19
Total Dividend Income						-47,376 19	-47,376 19
Interest Income							0 00
General Journal	01/31/2010	1		To record Jan	Interest receiva	-7,393 20	-7,393 20
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-3,424 96	-10,818 16
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-57 56	-10,875 72
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-3,259 52	-14,135 24
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	-4,180 60	-18,315 84
General Journal	05/31/2010	5		To record May	Cash-UBS #07	-2,920 68	-21,236 52
General Journal	06/30/2010	6		To record Jun	Dividend Income	-2,924 27	-24,160 79
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-10,097 16	-34,257 95
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	-2,862 30	-37,120 25
General Journal	09/30/2010	9		To record Sep	Dividend Income	-2,737 94	-39,858 19
General Journal	10/31/2010	10		To record Oct	Dividend Income	-1,450 41	-41,308 60
General Journal	11/30/2010	11		To record Nov	-SPLIT-	-4,194 63	-45,503 23
General Journal	12/31/2010	12		To record Dec	Dividend Income	-3,690 57	-49,193 80
General Journal	12/31/2010	13		settlement dat	Investment fees	-2,271 23	-51,465 03
General Journal	12/31/2010	13		To adjust acc	Investment fees	-3,455 81	-54,920 84
Total Interest Income						-54,920 84	-54,920 84
Interest Income - Non-Taxable							0 00
Total Interest Income - Non-Taxable							0 00
Interest Income - US							0 00
Total Interest Income - US							0 00
Nontaxable distribution							0 00
Total Nontaxable distribution							0 00
Other Income							0 00
Total Other Income							0 00
Realized Gain (Loss)							0 00
STCG							0 00
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	-11,724 61	-11,724 61
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	-29,974 73	-41,699 34
General Journal	05/31/2010	5		To record May	Cash-UBS #07	1,226 00	-40,473 34
General Journal	06/30/2010	6		To record Jun	Dividend Income	6,247 79	-34,225 55
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-1,462 39	-35,687 94
General Journal	11/30/2010	11		To record Nov	Interest Income	5,116 10	-30,571 84
Total STCG						-30,571 84	-30,571 84

3 28 PM

10/31/11

Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
LTCG							0 00
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	1,509 18	1,509 18
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	1,500 33	3,009 51
General Journal	03/31/2010	3		To record Mar	Cash - UBS # 0	10,555 44	13,564 95
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	-3,147 33	10,417 62
General Journal	05/31/2010	5		To record May	Cash-UBS #07	1,270 25	11,687 87
General Journal	06/30/2010	6		To record Jun	Dividend Income	-21,830 36	-10,142 49
General Journal	07/31/2010	7		To record July	Cash-UBS #07	-25,684 88	-35,827 37
General Journal	09/30/2010	9		To record Sep	Dividend Income	-3,296 90	-39,124 27
General Journal	11/30/2010	11		To record Nov	Interest Income	1,228 02	-37,896 25
General Journal	12/31/2010	12		To record Dec	Dividend Income	-5,336 95	-43,233 20
Total LTCG						-43,233 20	-43,233 20
Realized Gain (Loss) - Other							0 00
Total Realized Gain (Loss) - Other							0 00
Total Realized Gain (Loss)						-73,805 04	-73,805 04
Accrued Interest Paid							0 00
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	781 93	781 93
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	568 75	1,350 68
General Journal	10/31/2010	10		To record Oct	Dividend Income	424 17	1,774 85
Total Accrued Interest Paid						1,774 85	1,774 85
Backup W/H UBS-07830							0 00
Total Backup W/H UBS-07830							0 00
Bank Charges							0 00
Total Bank Charges							0 00
Federal Taxes							0 00
General Journal	05/31/2010	5		refund per client	Cash-UBS #07	-3,137 58	-3,137 58
Total Federal Taxes						-3,137 58	-3,137 58
Foreign Taxes							0 00
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	36 34	36 34
General Journal	12/31/2010	12		To record Dec	Dividend Income	24 47	60 81
Total Foreign Taxes						60 81	60 81
Gifts							0 00
Total Gifts							0 00
Grants Paid							0 00
Check	01/26/2010	415	American Red Cross		Cash-New Citib	5,000 00	5,000 00
Check	01/28/2010	491	United Way of Green		Cash-New Citib	1,500 00	6,500 00
Check	06/10/2010	493	St Pauls School		Cash-New Citib	1,000 00	7,500 00
Check	07/01/2010	492	Community Foundati		Cash-New Citib	200 00	7,700 00
Check	07/29/2010	494	Brunswick SchoolEn		Cash-New Citib	2,000 00	9,700 00
Check	08/09/2010	495	Greenwich Chapter A		Cash-New Citib	5,000 00	14,700 00
Check	08/24/2010	496	United Way of Green		Cash-New Citib	10,000 00	24,700 00
Check	09/14/2010	497	Dartmouth College		Cash-New Citib	1,000 00	25,700 00
Check	11/03/2010	498	UCLA - Anderson Sc		Cash-New Citib	30,000 00	55,700 00
Check	11/16/2010	499	Cornell University		Cash-New Citib	1,000 00	56,700 00
Check	12/09/2010	501	Family Center Incorp		Cash-New Citib	500 00	57,200 00
Check	12/16/2010	505	Greenwich Chapter A		Cash-New Citib	12,100 00	69,300 00
Check	12/17/2010	503	Brunswick School		Cash-New Citib	50 00	69,350 00
Check	12/17/2010	504	Brunswick School		Cash-New Citib	50 00	69,400 00
Check	12/20/2010	500	Cornell University		Cash-New Citib	20 00	69,420 00
Total Grants Paid						69,420 00	69,420 00
Interest Expense							0 00
General Journal	05/31/2010	5		To record May	Cash-UBS #07	18 72	18 72
General Journal	08/31/2010	8		To record Aug	Cash-UBS #07	11 40	30 12
General Journal	11/30/2010	11		To record Nov	Interest Income	8 56	38 68
Total Interest Expense						38 68	38 68
Investment fees							0 00
General Journal	01/31/2010	1		To record Jan	Interest receiva	4,142 35	4,142 35
General Journal	02/28/2010	2		To record Feb	Cash-UBS #07	26 00	4,168 35
General Journal	04/30/2010	4		To record Apr	Cash - UBS # 0	4,296 96	8,465 31
General Journal	07/31/2010	7		To record July	Cash-UBS #07	3,785 65	12,250 96
General Journal	07/31/2010	7		To record July	Cash-UBS #07	36 00	12,286 96
General Journal	10/31/2010	10		To record Oct	Dividend Income	4,400 68	16,687 64
General Journal	12/31/2010	13		To adjust acc	-SPLIT-	3,663 88	20,351 52
General Journal	12/31/2010	13		To adjust acc	Investment fees	14 09	20,365 61
Total Investment fees						20,365 61	20,365 61

3 28 PM

10/31/11

Accrual Basis

KDK Charitable Trust

General Ledger

As of December 31, 2010

Type	Date	Num	Name	Memo	Split	Amount	Balance
Margin Interest							0 00
Total Margin Interest							0 00
Miscellaneous							0 00
Total Miscellaneous							0 00
Payroll Expenses							0 00
Total Payroll Expenses							0 00
Professional Fees							0 00
Accounting fees							0 00
Check	12/09/2010	502	Untracht Early & Ass		Cash-New Citib	5,700 00	5,700 00
Total Accounting fees						5,700 00	5,700 00
Professional Fees - Other							0 00
Total Professional Fees - Other							0 00
Total Professional Fees						5,700 00	5,700 00
Reconciliation Discrepancies							0 00
Total Reconciliation Discrepancies							0 00
Income/Expense from K-1							0 00
Book/tax differences							0 00
Total Book/tax differences							0 00
Partnership Taxable Income							0 00
General Journal	12/31/2010	14		To record K-1	Blackstone Gro	-1,055 00	-1,055 00
Total Partnership Taxable Income						-1,055 00	-1,055 00
Income/Expense from K-1 - Other							0 00
Total Income/Expense from K-1 - Other							0 00
Total Income/Expense from K-1						-1,055 00	-1,055 00
Loans and Exchange							0 00
Total Loans and Exchange							0 00
Withdrawal							0 00
Total Withdrawal							0 00
No acct							0 00
Total no acct							0 00
TOTAL						0 00	0 00

Additional Data

Software ID:
Software Version:
EIN: 06-6455317
Name: KDK CHARITABLE TRUST CO UNTRACHT EARLY LLC

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON,DC 20006	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
CORNELL UNIVERSITY DAY HALL MAILROOM CORNELL UNIVERSIT ITHACA,NY 14853	NONE	PUBLIC	GENERAL UNRESTRICTED	1,020
DARTMOUTH COLLEGE DARTMOUTH COLLEGE HANOVER,NH 03755	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
FAMILY CENTER INCORPORATED 366 SOMERVILLE AVENUE SOMERVILLE,MA 02143	NONE	PUBLIC	GENERAL UNRESTRICTED	500
GREENWICH CHAPTER AMERICAN RED CROSS 99 INDIAN FIELD ROAD GREENWICH,CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	17,100
ST PAUL'S SCHOOL 325 PLEASANT STREET CONCORD,NH 03301	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
UCLA FOUNDATION 10920 WILSHIRE BLVD LOS ANGELES,CA 90024	NONE	PUBLIC	GENERAL UNRESTRICTED	30,000
UNITED WAY OF GREENWICH 1 LAFAYETTE COURT GREENWICH,CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	11,500
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH,CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	100
COMMUNITY FOUNDATION OF SOUTH PUGET SOUND 111 MARKET STREET NE SUITE 375 OLYMPIA,WA 98501	NONE	PUBLIC	GENERAL UNRESTRICTED	200
BRUNSWICK SCHOOL ENDOWMENT 100 MAHER AVENUE GREENWICH,CT 06830	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000
Total  3a				69,420

TAX DEDUCTIBLE ITEM ☐

Track Your Expenses

- | | | |
|---|--|---|
| ☐ Auto/Travel | ☐ Education | ☐ Medical/Dental |
| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

00076-4
One Thousand Five Hundred and No/100

BAL.
FOR'D

ITEM
AMOUNT

BALANCE
F.T.

DEPOSIT

FOR'D

For enhanced security your account number has been blocked out on this copy

Memo

NOT NEGOTIABLE

TAX DEDUCTIBLE ITEM ☐

492

✓ Track Your Expenses...

- | | | |
|---|--|---|
| ☐ Auto/Travel | ☐ Education | ☐ Medical/Dental |
| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

MAY 20 2010

The Community Foundation of South Dakota
Two Hundred And No/100

BAL FORD	
ITEM AMOUNT	200
BALANCE	
DEPOSIT	
FORD	

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Memo

ADMINISTRATIVE

NOT NEGOTIABLE

Pay to the order of

USA RECOMMENDED

Pay to the order of
Pay to the order of
Pay to the order of

1000.00
1000.00
1000.00

- ☐ Auto/Travel
- ☐ Business
- ☐ Charities
- ☐ Clothing
- ☐ Dependent Care
- ☐ Education
- ☐ Entertainment
- ☐ Food
- ☐ Home
- ☐ Insurance
- ☐ Medical/Dental
- ☐ Savings
- ☐ Taxes
- ☐ Utilities
- ☐ Other

185

Track Your Expenses

TAX DEDUCTIBLE ITEM

493

ONE THOUSAND 4/10/00

For enhanced security your account
number has been blocked out on this copy

BAL. FOR'D	
ITEM AMOUNT	1,000
BALANCE	
DEPOSIT	
FOR'D	

Memo



NOT NEGOTIABLE

☐ Automobile ☐ Insurance ☐ Other
☐ Charitable ☐ Living ☐ Other
☐ Clothing ☐ Food ☐ Other
☐ Entertainment ☐ Savings ☐ Other
☐ Medical/Dental ☐ Taxes ☐ Other
☐ Utilities ☐ Other
☐ Other

703

TAX DEDUCTIBLE ITEM ☒

494

✓ Track Your Expenses

- ☐ Auto/Travel
- ☐ Business
- ☐ Charities
- ☐ Clothing
- ☐ Dependent Care
- ☐ Education
- ☐ Entertainment
- ☐ Food
- ☐ Home
- ☐ Insurance
- ☐ Medical/Dental
- ☐ Savings
- ☐ Taxes
- ☐ Utilities
- ☐ Other

1000 7 HAWKINS AVE
 NEW YORK, NY 10001

For enhanced security your account number has been blocked out on this copy

BAL.
 FOR'D
 ITEM
 AMOUNT
 BALANCE
 DEPOSIT
 FOR'D

Memo

1000 7 HAWKINS AVE

NOT NEGOTIABLE

NOT NEGOTIABLE

UNLESS THE BANK DIRECTS ONE OF THE FOLLOWING
 TO THE FOLLOWING BANK OF AMERICA

DATE	
AMOUNT	
TO ORDER OF	
FOR DEPOSIT ONLY	
DATE	

- ☐ Automobile
- ☐ Education
- ☐ Medical/Dental
- ☐ Business
- ☐ Entertainment
- ☐ Savings
- ☐ Charities
- ☐ Food
- ☐ Taxes
- ☐ Clothing
- ☐ Home
- ☐ Utilities
- ☐ Dependent Care
- ☐ Insurance
- ☐ Other

495

TAX DEDUCTIBLE ITEM

Track Your Expenses

American Red Cross
Five Thousand And No/100
Community Clipping

BAL. FOR'D	
ITEM AMOUNT	\$,000
BALANCE	
DEPOSIT	
FOR'D	

For enhanced security your account number has been blocked out on this copy

Memo

Ball

NOT NEGOTIABLE

2014-12-10

[illegible]

TAX DEDUCTIBLE ITEM

✓ Track Your Expenses

- 8-10-10

BAL.	
FOR'D	
ITEM	
AMOUNT	10.000
BALANCE	
DEPOSIT	
FOR'D	

For enhanced security your account number has been blocked out on this copy

Annual gift

NOT NEGOTIABLE

PROCEED WITH CARE TO AVOID CHARGES
FOR EXCESSIVE USE OF THE CARD

DATE 10/28/10
AMOUNT \$100.00
CARD NO. 1234 5678 9010 1112
EXP. DATE 12/31/11
CITY STATE ZIP
NEW YORK NY 10001

DATE	
TIME	
EXT. NO.	
TERMINAL	10001
POS. NO.	
EXT.	

788

TAX DEDUCTIBLE ITEM ☐

497

✓ Track Your Expenses

- | | | |
|---|--|---|
| ☐ Auto/Travel | ☐ Education | ☐ Medical/Dental |
| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

08-28-10

DAIRYMAN FOLLY FRIENDS OF MONTANA
ONE THOUSAND AND NO/10

BAL. FORD	
MEM. AMOUNT	1.00
BALANCE	
DEPOSIT	
FORD	

For enhanced security your account number has been blocked out on this copy

Memo

NOT NEGOTIABLE

[illegible]

✓ Track Your Expenses

498

- ☐ Auto/Travel ☐ Education ☐ Medical/Dental
☐ Business ☐ Entertainment ☐ Savings
☐ Charities ☐ Food ☐ Taxes
☐ Clothing ☐ Home ☐ Utilities
☐ Dependent Care ☐ Insurance ☐ Other

10-16-16

☐ Dependent Care ☐ Insurance ☐ Other

Weld Anderson
Thirty Attn: J. Housh

For enhanced security your account number has been blocked out on this copy

BAL FOR'D	
ITEM AMOUNT	30.00
BALANCE	
DEPOSIT	
FOR'D	

Memo

NOT NEGOTIABLE

UNIVERSITY BANK

UNIVERSITY BANK
1000 UNIVERSITY AVENUE
ANN ARBOR, MI 48106-1000

THANK YOU
UNIVERSITY BANK

- ☐ Auto/Travel ☐ Education ☐ Medical/Dental
☐ Business ☐ Entertainment ☐ Savings
☐ Charities ☐ Food ☐ Taxes
☐ Clothing ☐ Home ☐ Utilities
☐ Dependent Care ☐ Insurance ☐ Other

DATE	
DEPOSIT	
BALANCE	
FOR D	

499

Track Your Expenses

- ☐ Auto/Travel ☐ Education ☐ Medical/Dental
☐ Business ☐ Entertainment ☐ Savings
☐ Charities ☐ Food ☐ Taxes
☐ Clothing ☐ Home ☐ Utilities
☐ Dependent Care ☐ Insurance ☐ Other

COVER UNIVERSITY BANK

ONE THOUSAND AND NO/100

BAL. FOR D	
ITEM AMOUNT	<i>1000</i>
BALANCE	
DEPOSIT	
FOR D	

For enhanced security your account number has been blocked out on this copy

Memo

Request to CASH

NOT NEGOTIABLE

MEMO TO THE CASHIER

NOT NEGOTIABLE

UNITED STATES DEPARTMENT OF THE TREASURY
FOR DEPOSIT ONLY - NO CASH

ONE THOUSAND AND NO/100
CORONA UNIVERSITY

- Track Your Expenses
- | | | |
|---|--|---|
| ☐ Auto/Travel | ☐ Education | ☐ Medical/Dental |
| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

DATE	
RECEIVED	
SIGNATURE	
INITIALS	

738

500

CORONA UNIVERSITY
TWENTY AND NO/100

For enhanced security your account number has been blocked out on this copy

BAL. FOR D.	
ITEM AMOUNT	20
BALANCE	
DEPOSIT	
FOR D.	

Memo

KRISTEL KALAP

NOT NEGOTIABLE

NOT NEGOTIABLE

Name

PAULINE KAY

ACCOUNT HAS BEEN BLOCKED OUT ON THE OTHER
FOR YOUR OWN SECURITY AND SECURITY

10/11/11

1000

COVER

- | | | |
|---|--|---|
| ☐ Automobile | ☐ Education | ☐ Medical/Dental |
| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

Track Your Expenses

200

501

FAMILY CENTER
FIVE HUNDRED AND NO/100

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number has been blocked out on this copy.

Memo

BAL FOR'D	
ITEM AMOUNT	500
BALANCE	
DEPOSIT	
FOR'D	

NOT NEGOTIABLE

DR. JAMES H. HARRIS, JR., M.D., F.R.C.P. (LOND.)
F.R.C.P. (LOND.)

[illegible]

SEARCHED
SERIAL
INDEXED
FILED
JAN 12 1964
FBI - MEMPHIS

204

502

✓ Track Your Expenses

- Personal Expenses**

 - ☐ Auto/Travel
 - ☐ Business
 - ☐ Charities
 - ☐ Clothing
 - ☒ Dependent Care
 - ☐ Education
 - ☐ Entertainment
 - ☐ Food
 - ☐ Home
 - ☐ Insurance
 - ☐ Medical/Dental
 - ☐ Savings
 - ☐ Taxes
 - ☐ Utilities
 - ☐ Other

12-05-10

☐ Independent Contractor
☒ Contractor
☐ Insurance
☐ Other

FIVE THOUSAND SEVEN HUNDRED AND NO/100

For enhanced security, your account number has been blocked out on this copy

BAL FOR'D	
ITEM AMOUNT	5700
BALANCE 60	
DEPOSIT	
1 FOR'D	

Memo

- TAX AREA

NOT NEGOTIABLE

[The following section contains extremely faint, illegible text, likely bleed-through from the reverse side of the page.]

[Handwritten signature]

1. The first part of the document is a list of names and dates, which appears to be a record of some kind. The names are written in a cursive script, and the dates are in a more formal, printed style. The list is organized into two columns, with names on the left and dates on the right.

SECRET	
FROM:	7-1000
SUBJECT:	

15-02-10

1003

503

- 12-05-10

BRUNSWICK PRIVATE FUND

Fully And 10/10

BAL	
FOR'D	
ITEM	50
AMOUNT	
BALANCE	
DEPOSIT	
FOR'D	

NOT NEGOTIABLE

WEL WELTHIMERS

ORDER 1. 100 1000 1000000 100 100 1000000
100 1000000 1000000 1000000

112 10/10 10/10
10/10 10/10 10/10

- ☐ Automobile ☐ Auto ☐ Auto
☐ Clothing ☐ Home ☐ Home
☐ Clothing ☐ Home ☐ Home
☐ Clothing ☐ Home ☐ Home
☐ Clothing ☐ Home ☐ Home

BACK 100 1000000

STATE	
DEPOSIT	
DATE	
10/10/10	20
10/10/10	

150000

200

504

Track Your Expenses

- ☐ Auto/Travel ☐ Education ☐ Medical/Dental
☐ Business ☐ Entertainment ☐ Savings
☐ Charities ☐ Food ☐ Taxes
☐ Clothing ☐ Home ☐ Utilities
☐ Dependents Care ☐ Insurance ☐ Other

Bourswick Annual fund
Ft. 10/10 10/10

For enhanced security your account
number has been blocked out on this copy

Memo

SAAN KAKGAN

BAL.	
FORD	
ITEM	
AMOUNT	50
BALANCE	
DEPOSIT	
FORD	

NOT NEGOTIABLE



NOT NEGOTIABLE

Number 123 456 789 010 on the back
for security purposes only

Pay to the order of

- ☐ Auto/Travel ☐ Education ☐ Medical/Dental
☐ Business ☐ Entertainment ☐ Savings
☐ Charities ☐ Food ☐ Taxes
☐ Clothing ☐ Home ☐ Utilities
☐ Dependent Care ☐ Insurance ☐ Other

Track Your Expenses

12-14-10

Greenwood Charter American Rd
Twelve Thousand Four Hundred

For enhanced security your account
number has been blocked out on this copy

BAL.	
FORD	
TOTAL	12,100
AMOUNT	
BALANCE	
DEPOSIT	
FORD	

Memo



NOT NEGOTIABLE

NOT NEGOTIABLE

Amount per check ordered on 02/12/11
for \$1,000.00 (one thousand and 00/100)

DATE
DEPOSIT
BALANCE
DEPOSIT
DATE
BY

- ☐ Airfare ☐ Car ☐ Gas
☐ Lodging ☐ Food ☐ Insurance
☐ Medical/Dental ☐ Savings
☐ Entertainment ☐ Taxes
☐ Charities ☐ Home ☐ Utilities
☐ Clothing ☐ Insurance ☐ Other

BACK YOUR EXPENSES

500

- ☐ Airfare ☐ Car ☐ Gas
☐ Lodging ☐ Food ☐ Insurance
☐ Medical/Dental ☐ Savings
☐ Entertainment ☐ Taxes
☐ Charities ☐ Home ☐ Utilities
☐ Clothing ☐ Insurance ☐ Other

St. Paul's School Nursing Fund
First Hundred And no/100

For enhanced security your account
number has been blocked out on this copy

DATE
DEPOSIT
BALANCE
DEPOSIT
DATE
BY

DATE	
DEPOSIT	500
BALANCE	
DEPOSIT	
DATE	
BY	

Memo

NOT NEGOTIABLE

1. The first part of the document is a list of names and addresses, which appears to be a directory or a list of subscribers. The names are written in a cursive script, and the addresses are listed below them.



姓名	王德林
性别	男
年龄	45
民族	汉族
籍贯	山东烟台
职业	教师
学历	本科
学位	无
职称	中学一级教师
工作单位	烟台市第一中学
联系电话	0535-2222222
电子邮箱	wdl@163.com
身份证号	370602196001010001
银行卡号	62284801010101010101
其他信息	无

- | | | |
|-----------------------|-----------------------|-----------------------|
| 1. <u>Deception</u> | 2. <u>Deception</u> | 3. <u>Deception</u> |
| 4. <u>Deception</u> | 5. <u>Deception</u> | 6. <u>Deception</u> |
| 7. <u>Deception</u> | 8. <u>Deception</u> | 9. <u>Deception</u> |
| 10. <u>Deception</u> | 11. <u>Deception</u> | 12. <u>Deception</u> |
| 13. <u>Deception</u> | 14. <u>Deception</u> | 15. <u>Deception</u> |
| 16. <u>Deception</u> | 17. <u>Deception</u> | 18. <u>Deception</u> |
| 19. <u>Deception</u> | 20. <u>Deception</u> | 21. <u>Deception</u> |
| 22. <u>Deception</u> | 23. <u>Deception</u> | 24. <u>Deception</u> |
| 25. <u>Deception</u> | 26. <u>Deception</u> | 27. <u>Deception</u> |
| 28. <u>Deception</u> | 29. <u>Deception</u> | 30. <u>Deception</u> |
| 31. <u>Deception</u> | 32. <u>Deception</u> | 33. <u>Deception</u> |
| 34. <u>Deception</u> | 35. <u>Deception</u> | 36. <u>Deception</u> |
| 37. <u>Deception</u> | 38. <u>Deception</u> | 39. <u>Deception</u> |
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| ☐ Business | ☐ Entertainment | ☐ Savings |
| ☐ Charities | ☐ Food | ☐ Taxes |
| ☐ Clothing | ☐ Home | ☐ Utilities |
| ☐ Dependent Care | ☐ Insurance | ☐ Other |

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BALANCE	
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For enhanced security your account number has been blocked out on this copy

Memo

NOT NEGOTIABLE



Account Number: NE 11544 CH

Your Financial Advisor or Contact:

CARLTON HIGBIE

Phone:

212-821-2700/800-543-0802

Reporting for:

KDK CHARITABLE TRUST

DENNIS J KEEGAN

KAREN S KEEGAN TTEES

2010 Consolidated Form 1099

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KDK CHARITABLE TRUST

DENNIS J KEEGAN

KAREN S KEEGAN TTEES

22 LAKEVIEW DRIVE

RIVERSIDE CT 06878-1111



|||||

What was corrected on the forms

Account Name or TIN Changed	
Income Reclassified	
Income Added, Modified, Deleted	X
Gross Proceeds Added, Modified, Deleted	
Cost Basis Adjustments	

Action Required

Please review this **corrected 2010 Consolidated Form 1099** and notify your tax advisor of potential changes, if necessary. This corrected form may reflect new information reported to us from third parties, including the issuers of securities that you own. The "What was corrected on the forms" section (at left) highlights specific reasons for the new form.

This form replaces any prior Form 1099 you have received. We will report this corrected information to the Internal Revenue Service. Except for changes to Tax Identification Numbers or deleted entries, items with an asterisk (*) have been updated.

If you use tax preparation software to complete your tax return, you may need to import your account information again to reflect the updated information.

Our web site, www.ubs.com/1099information, provides access to supplemental tax information you may find useful when filing your income tax return.

We value our relationship with you and we sincerely apologize for any inconvenience this correction may cause. If you have any questions, please contact your Financial Advisor.

This corrected form contains supplemental information on REMICs (real estate mortgage investment conduits), CMOs (collateralized mortgage obligations), CDOs (collateralized debt obligations) and/or WHMTs (widely held mortgage trusts), which require special tax reporting. We have prepared a guidebook to assist you in reporting income from these products on your federal income tax return. Please visit our website, www.ubs.com/REMICinformation, for a copy of the guide. Please note this guide is not intended to serve as specific tax advice.

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Account Number: NE 11544 CH

Your Financial Advisor or Contact:
CARLTON HIGBIE

Phone: 212-821-2700/800-543-0802

UBS Financial Services Inc.
2010 Consolidated Form 1099

Corrected Details

CUSIP number	Security description
36202EWT2	GNMA PL 004258M 05 0000
36202EQB8	GNMA PL 004050M 06.5000

Reason for Correction

Issuer supplied WHMT information in mid-March; a corrected 1099 is required.
Issuer supplied WHMT information in mid-March, a corrected 1099 is required.

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Important information about your Consolidated IRS Form 1099

This document reflects tax reporting information UBS Financial Services Inc. (UBS) provides to you and to the Internal Revenue Service (IRS) on Forms 1099-DIV, 1099-OID, 1099-INT, 1099-MISC and 1099-B.

Your consolidated Form 1099 includes only those forms applicable to your specific tax reporting situation.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, UBS has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Nominees. You are considered a "nominee recipient" if your federal identification number is shown on any of the following Forms 1099, and the form includes amounts belonging to another individual or entity. You must provide the IRS and the other individuals or entities with a breakdown of each of their taxable earnings. Please consult your tax advisor.

For your reference, line-by-line explanations and instructions for the five types of 1099 documents that comprise this consolidated Form 1099 follow.

Form 1099-DIV – Dividends and distributions

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b. Shows the portion of the amount on Line 1a that may be eligible for the 15% or zero capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Line 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on Line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on Lines 2c–2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on Line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Line 2b. Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet–Line 19 in the Schedule D instructions (Form 1040).

Line 2c. Shows the portion of the amount on Line 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet–Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Line 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 1a.

Line 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Line 7. "Various." This refers to Line 6 for taxes withheld from dividend payments from various foreign countries or U.S. possessions.

Lines 8 and 9. Shows cash and noncash liquidation distributions. These amounts are not included on Line 1a.

Form 1099-OID – Original issue discount

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub 550, Investment Income and Expenses, for more information. Discounted securities reported here include only long-term securities (issues with a fixed maturity date exceeding one year from the date of issue).

Line 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount on Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID.

OID from collateralized debt obligations (CDOs) and collateralized mortgage obligations (CMOs) issued after 1986 is also reported here. However, because issuers of real estate mortgage investment conduits (REMICs), CMOs and CDOs (which, for tax reporting purposes, include non-REMIC CMOs) are generally unable to supply the necessary tax reporting information until mid-March, this information will be included in a corrected consolidated Form 1099 which will be provided, along with a supplemental statement, to affected clients in mid-March 2011.

Line 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub 550 for reporting instructions. If there is an amount on both Lines 2 and 6, the amount on Line 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Line 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. See the detail summary for Form 1099-OID for a listing of each OID obligation. This includes the description of the OID obligation, the Committee on Uniform Security Identification Procedures number (CUSIP number), face amount, days held (if applicable) and amount. The description may include the coupon rate and year of maturity.

Line 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on Line 1.

Line 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 2.

Important information about your Consolidated IRS Form 1099 (continued)

Form 1099-INT – Interest income

Line 1. Shows taxable interest paid to you during the calendar year. This does not include interest shown on Line 3. May also show the total amount of the credits from clean renewable energy bonds, Gulf tax credit bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, Midwestern tax credit bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2011 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included on Line 1.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 1.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Line 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See Line 4.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on Line 8. See the Instructions for Form 6251.

Line 10. See the detail summary for Form 1099-INT for a listing of CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid and reported on Line 8. If blank, no CUSIP number was issued for the bond(s).

Form 1099-MISC – Miscellaneous income

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact your Financial Advisor immediately so we can issue a corrected form.

Lines 1 and 2. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C or C-EZ (Form 1040) if you provided significant services to the tenant, sold real estate as a business, rented personal property as a business, or you and your spouse elected to be treated as a qualified joint venture. Report royalties from oil, gas, or mineral properties on Schedule E (Form 1040). However, report payments for a working interest as explained in the Line 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received, the additional compensation UBS has paid to gross up substitute payments in lieu of qualified dividends, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C, C-EZ, or F (Form 1040).

Line 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by UBS on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040.

Form 1099-B – Proceeds from broker and barter exchange transactions

Brokers must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Line 1a. Shows the trade date of the transaction. As this is an aggregate report, there may be numerous transactions. See the detail summary of Form 1099-B for the trade dates of sales or exchanges for individual securities.

Line 1b. See the detail summary of Form 1099-B for CUSIP numbers of individual securities.

Line 2. This amount shows the aggregate gross proceeds, less commissions and other selling expenses, if any, from transactions involving stocks, bonds and other debt obligations, commodities, and forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a change in control or substantial change in capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This line does not include proceeds from regulated futures contracts. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. But, for changes in control or substantial changes in capital structure, see Line 12.

Line 4. This amount shows any backup withholding, which is the amount of federal income tax withheld (28%) if either:

- o You did not certify your TIN or SSN with a signed Form W-9
 - o You were sent a B-Notice and did not respond in accordance with its instructions
 - o UBS was instructed by the IRS to withhold because of payee underreporting (mandatory withholding)
- Include this amount on your income tax return as tax paid to the IRS.

Line 5. Shows the number of shares of the corporation's stock that you held which were exchanged in the change in control or substantial change in capital structure.

Line 6. Shows the class or classes of the corporation's stock that were exchanged in the change in control or substantial change in capital structure.

Line 7. See the detail summary of Form 1099 for a description of the item for which the proceeds are being reported.

Regulated Futures Contracts:

Line 8. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2010.

Line 9. Shows any year-end adjustment to the profit or (loss) shown on Line 8 due to open contracts on December 31, 2009.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2010. These are considered sold as of that date. This will become an adjustment reported on Line 9 in 2011.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2010 Form 6781.

Neither UBS Financial Services Inc., UBS International Inc. nor their employees or representatives provide legal or tax advice. We recommend that you discuss these documents with your legal or tax advisor.



UBS Financial Services Inc.
299 PARK AVENUE
11TH & 26TH FLOORS
NEW YORK NY 10171-0002
Account Number NE 11544 CH
Tax Identification Number 06-6455317

Corrected 03/10/11 Page 1 of 6

IMPORTANT NOTICE:

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

UBS Financial Services Inc., 1000 Harbor Boulevard,
Weehawken, NJ 07086-6790 (Federal ID 13-2638166)

UBS Financial Services Inc.

2010 Consolidated Form 1099

Your Financial Advisor or Contact

CARLTON HIGBIE

C12L032069-X

212-821-2700/800-543-0802

Form 1099-DIV Dividends and Distributions (OMB No 1545-0110)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	\$ 9,137.00
1b	Qualified dividends	9,137.00
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nondividend distributions	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-INT Interest Income (OMB No 1545-0112)

Line#	Category	Amount
1	Interest income (not included on line 3)	\$ 54,925.15
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses (included on line 1)	3,677.97
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Tax-exempt interest	0.00
9	Specified private activity bond interest (included on line 8)	0.00
10	Tax-exempt bond CUSIP number	Various

KDK CHARITABLE TRUST
DENNIS J KEEGAN
KAREN S KEEGAN TTEES
22 LAKEVIEW DRIVE
RIVERSIDE CT 06878-1111

Only the forms applicable to your account are shown.
Forms which are not applicable and therefore not shown
include: Form 1099-OLD, Form 1099-MISC.

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No 1545-0715)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)\$	320,151.01
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details
8	Profit or (loss) realized on futures contracts for 2010	0.00
9	Unrealized profit or (loss) on open contracts - 12/31/2009	0.00
10	Total Unrealized profit or (loss) on open contracts - 12/31/2010	0.00
11	Aggregate profit or (loss) (from lines 8, 9 and 10)	0.00

Account Number NE 11544 CH
Your Financial Advisor or Contact
CARLTON HIGBIE
212-821-2700/800-543-0802

Page 2 of 6

UBS Financial Services Inc.
2010 Consolidated Form 1099

Corrected 03/10/11

C12L032070-X

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
J P MORGAN CHASE & CO 07.900% 042949 DTD042308 FC103008 NTS B/E	46625HHA1	Qualified Dividend		04/30/10	1,975.00
		Qualified Dividend		10/31/10	1,975.00
		Sub-Total:		\$	3,950.00
WELLS FARGO & CO NTS 07.980% 022849 DTD020808 FC091508	949746PM7	Qualified Dividend		03/15/10	2,593.50
		Qualified Dividend		09/15/10	2,593.50
		Sub-Total:		\$	5,187.00
Total dividend activity (less foreign tax)					\$ 9,137.00
Total Line 1b Qualified dividends					\$ 9,137.00

Interest Income Details Reported on Form 1099-INT

In addition to amounts reported in Box 3, income from certain Federal Agency obligations reported in Box 1 may be exempt from state taxes. Please consult your tax advisor concerning your specific tax situation.

Security Description	Cusip	Activity Description	Payment Date	Amount
FPL GROUP CPTL INC 06.350% 100166 DTD091906 CALL@MW T + 25BP BE	302570AW6		04/01/10 10/01/10	984.25 984.25
		Sub-Total:	\$	1,968.50
GNMA PL 004258M 05.0000 DUE 10/20/38 FACTOR 0.466614760000	36202EWT2		12/31/10	\$ 36,204.65 **
GNMA PL 004050M 06.5000 DUE 11/20/37 FACTOR 0.245678570000	36202EQB8	Accrued interest	02/09/10 12/31/10	61.41 ** 173.84 **
		Sub-Total:	\$	235.25
PANHANDLE EASTN PIPE 08.125% 060119 DTD060209 CALL@MW + 50BP LINE CO NTS	698455AC6		12/01/10	\$ 1,625.00
REPUBLIC OF BRAZIL B/E 10.250% 011028 DTD021407 FC071007	105756BN9		01/10/10 07/10/10	7,390.26 7,230.94
		Sub-Total:	\$	14,621.20
UBS BANK USA DEPOSIT ACCOUNT	90499A932		01/07/10 02/04/10	2.94 14.87

Continued on page 3

**Indicates corrected item.

Corrected

2010 1099 / NE 11544 CH



Account Number NE 11544 CH
 Your Financial Advisor or Contact
 CARLTON HIGBIE
 212-821-2700/800-543-0802

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/10/11

C12L032071-X

Interest Income Details Reported on Form 1099-INT - Continued

Security Description	Cusip	Activity Description	Payment Date	Amount
UBS BANK USA DEPOSIT ACCOUNT	90499A932		03/04/10	17.06
			04/07/10	21.74
			05/06/10	26.74
			06/04/10	34.45
			07/07/10	36.47
			08/05/10	36.14
			09/07/10	20.27
			10/06/10	24.53
			11/04/10	17.23
			12/06/10	13.80
			12/31/10	4.31
			Sub-Total:	\$ 270.55

Total Line 1 Interest income (less line 3) **\$ 54,925.15**

GNMA PL 004258M 05.0000 DUE 10/20/38 FACTOR 0.466614760000	36202EWT2	12/31/10	\$	3,663.88 **
GNMA PL 004050M 06.5000 DUE 11/20/37 FACTOR 0.245678570000	36202EQB8	12/31/10	\$	14.09 **

Total Line 5 Investment expenses **\$ 3,677.97**

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/31/10	Return of Principal		GNMA PL 004258M 05.0000 DUE 10/20/38 FACTOR 0.466614760000		36202EWT2	288,033.41	.00	.00 **
12/31/10	Return of Principal		GNMA PL 004050M 06.5000 DUE 11/20/37 FACTOR 0.245678570000		36202EQB8	1,221.90	.00	.00 **

Continued on page 4

**Indicates corrected item.

Corrected

2010 1099 / NE 11544 CH

Account Number NE 11544 CH
 Your Financial Advisor or Contact
 CARLTON HIGBIE
 212-821-2700/800-543-0802

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/10/11

C12LD32072-X

Proceeds from Broker Transactions Details Reported on Form 1099-B - Continued

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/09/10	Sell	80,000.000	GNMA PL 004050M 06.5000 DUE 11/20/37 FACTOR 0.245678570000		36202EQB8	30,895.70	61.41	.00 **
Total						\$ 320,151.01	\$ 61.41	\$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.

* Applicable to Tax-exempt Original Issue Discount information only

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Accrued Interest Paid - Taxable Interest	FPL GROUP CPTL INC 06.350% 100166 DTD091906 CALL@MW T+ 25BP BE	302570AW6			02/19/10		781.93
	PANHANDLE EASTN PIPE 08.125% 060119 DTD060209 CALL@MW+ 50BP LINE CO NTS	698455AC6			07/30/10		568.75
	WACHOVIA CAPITAL B/E 05.800% 031542 DTD020106 FC031506	92978AAA0			10/06/10		217.50
	WILLIS GROUP N AMER 06.200% 032817 DTD032807 FC092807 MW+ 25BP	970648AD3			10/19/10		206.67
Total Accrued Interest Paid - Taxable Interest							\$ 1,774.85

**Indicates corrected item.

Corrected

2010 1099 / NE 11544 CH



Account Number NE 11544
 Your Financial Advisor or Contact
 CARLTON HIGBIE
 212-821-2700/800-543-0802

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 03/10/11

C12L032073-X

Supplemental Information for Widely Held Mortgage Trust -- WHMTs

UBS Financial Services Inc. is required by the IRS to provide you with the following information, supplied by the issuer(s), for each Widely Held Mortgage Trust you held in 2010

Items of income, deduction, and credit and any other information shown on this statement must be taken into account in computing your taxable income and credits on your income tax return "Sale/Delivery" in the activity column represents both sells and deliveries "Buy/Receive" in the activity column represents both purchases and receives The enclosed brochure has been prepared to assist you in the interpretation of this information

Description	Cusip number	Record or Trade Date	Payment or Settlement Date	Activity	Principal Balance	Quantity or Position	Principal Paid or Proceeds	Interest	Expense	Income Adjustment	Market Discount Fraction
GNMA PL 004258M 05.0000	36202EWT2	01/31/10	02/20/10	Distribution	804.91454	.00	967.01	3,546.43	299.94	.00	0.00836650
DUE 10/20/38	36202EWT2	02/28/10	03/20/10	Distribution	803.91556	.00	16,284.83	3,623.13	380.67	.00	0.00840540
FACTOR 0.466614760000	36202EWT2	03/31/10	04/20/10	Distribution	787.09239	.00	67,359.28	3,661.28	486.67	.00	0.00000000
	36202EWT2	04/30/10	05/20/10	Distribution	717.50636	.00	989.23	3,214.55	320.61	.00	0.00860580
	36202EWT2	05/31/10	06/20/10	Distribution	716.48443	.00	14,418.14	3,190.47	300.65	.00	0.00864460
	36202EWT2	06/30/10	07/20/10	Distribution	701.58966	.00	860.27	3,095.90	266.15	.00	0.00867140
	36202EWT2	07/31/10	08/20/10	Distribution	700.70095	.00	26,036.90	3,185.90	359.74	.00	0.00883600
	36202EWT2	08/31/10	09/20/10	Distribution	673.80333	.00	72,250.36	3,029.81	312.14	.00	0.00927550
	36202EWT2	09/30/10	10/20/10	Distribution	599.16453	.00	51,415.32	2,708.61	291.98	.00	0.00894560
	36202EWT2	10/31/10	11/20/10	Distribution	546.04953	.00	36,150.32	2,428.66	226.26	.00	0.00000000
	36202EWT2	11/30/10	12/20/10	Distribution	508.70416	.00	649.33	2,248.68	196.91	.00	0.00000000
	36202EWT2	12/31/10	01/20/11	Distribution	508.03336	.00	652.42	2,271.23	222.16	.00	0.00000000
Total Reported to the IRS							\$ 288,033.41	\$ 36,204.65	\$ 3,663.88		
							on 1099-B		on 1099-INT		
GNMA PL 004050M 06.5000	36202EQB8	01/31/10	02/20/10	Distribution	377.53570	.00	1,221.90	173.84	10.24	.00	0.01722080
DUE 11/20/37	36202EQB8	02/09/10	02/12/10	Sale/Delivery	362.26196	80,000.00	30,895.70	61.41	3.85	.00	0.01718830
FACTOR 0.245678570000											
Total Reported to the IRS							\$ 32,117.60	\$ 235.25	\$ 14.09		
							on 1099-B		on 1099-INT		

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2010 1099 / NE 11544 CH

Account Number NE 11544 CH
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UBS Financial Services Inc.
2010 Consolidated Form 1099

Corrected 03/10/11

C12L032074-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net Gain/Loss
Long-term Gain/Loss:	\$	30,355.55	\$	30,895.70	\$	540.15	\$		\$ 540.15
Sub Total:	\$	30,355.55	\$	30,895.70	\$	540.15	\$		\$ 540.15
Total:	\$	30,355.55	\$	30,895.70	\$	540.15	\$		\$ 540.15

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GNMA PL 004050M 06.5000 DUE 11/20/37 FACTOR 0.245678570000	Trade	80,000.000		04/24/08	02/09/10	30,895.70	30,355.55	540.15		
Total Long-Term Gain/Loss						30,895.70	30,355.55	540.15		

LTCL
30,895.70 +
32,396.03 -

-1,500.33 *

Corrected

2010 1099 / NE 11544 CH



Account Number: NE 11532 CH

Your Financial Advisor or Contact:

CARLTON HIGBIE

Phone:

212-821-2700/800-543-0802

Reporting for:

KDK CHARITABLE TRUST

DENNIS J KEEGAN

KAREN S KEEGAN TTEES

2010 Consolidated Form 1099

00001964 02 MB 0 507 02 TR 00016 BDEAA081 000000 edg

KDK CHARITABLE TRUST

DENNIS J KEEGAN

KAREN S KEEGAN TTEES

22 LAKEVIEW DRIVE

RIVERSIDE CT 06878-1111



|||||

What's new this year:

Our web site, www.ubs.com/1099information, provides access to supplemental tax information you may find useful when filing your income tax return.

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Important information about your Consolidated IRS Form 1099

This document reflects tax reporting information UBS Financial Services Inc. (UBS) provides to you and to the Internal Revenue Service (IRS) on Forms 1099-DIV, 1099-OID, 1099-INT, 1099-MISC and 1099-B.

Your consolidated Form 1099 includes only those forms applicable to your specific tax reporting situation.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, UBS has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Nominees. You are considered a "nominee recipient" if your federal identification number is shown on any of the following Forms 1099, and the form includes amounts belonging to another individual or entity. You must provide the IRS and the other individuals or entities with a breakdown of each of their taxable earnings. Please consult your tax advisor.

For your reference, line-by-line explanations and instructions for the five types of 1099 documents that comprise this consolidated Form 1099 follow.

Form 1099-DIV – Dividends and distributions

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b. Shows the portion of the amount on Line 1a that may be eligible for the 15% or zero capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Line 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on Line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on Lines 2c–2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on Line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Line 2b. Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

Line 2c. Shows the portion of the amount on Line 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 23% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 23% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, *Investment Income and Expenses*.

Line 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See Form W-9, *Request for Taxpayer Identification Number and Certification*, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 1a.

Line 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Line 7. "Various." This refers to Line 6 for taxes withheld from dividend payments from various foreign countries or U.S. possessions.

Lines 8 and 9. Shows cash and noncash liquidation distributions. These amounts are not included on Line 1a.

Form 1099-OID – Original issue discount

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550, *Investment Income and Expenses*, for more information. Discounted securities reported here include only long-term securities (issues with a fixed maturity date exceeding one year from the date of issue).

Line 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount on Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, *Guide to Original Issue Discount (OID) Instruments*, for details on how to figure the correct OID.

OID from collateralized debt obligations (CDOs) and collateralized mortgage obligations (CMOs) issued after 1986 is also reported here. However, because issuers of real estate mortgage investment conduits (REMICs), CMOs and CDOs (which, for tax reporting purposes, include non-REMIC CMOs) are generally unable to supply the necessary tax reporting information until mid-March, this information will be included in a corrected consolidated Form 1099 which will be provided, along with a supplemental statement, to affected clients in mid-March 2011.

Line 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount on both Lines 2 and 5, the amount on Line 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Line 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, *Request for Taxpayer Identification Number and Certification*, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. See the detail summary for Form 1099-OID for a listing of each OID obligation. This includes the description of the OID obligation, the Committee on Uniform Security Identification Procedures number (CUSIP number), face amount, days held (if applicable) and amount. The description may include the coupon rate and year of maturity.

Line 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on Line 1.

Line 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 2.

Important information about your Consolidated IRS Form 1099 (continued)

Form 1099-INT – Interest income

Line 1. Shows taxable interest paid to you during the calendar year. This does not include interest shown on Line 3. May also show the total amount of the credits from clean renewable energy bonds, Gulf tax credit bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, Midwestern tax credit bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2011 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included on Line 1.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 1.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Line 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on Line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See Line 4.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on Line 8. See the Instructions for Form 6251.

Line 10. See the detail summary for Form 1099-INT for a listing of CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid and reported on Line 8. If blank, no CUSIP number was issued for the bond(s).

Form 1099-MISC – Miscellaneous income

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact your Financial Advisor immediately so we can issue a corrected form.

Lines 1 and 2. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C or C-EZ (Form 1040) if you provided significant services to the tenant, sold real estate as a business, rented personal property as a business, or you and your spouse elected to be treated as a qualified joint venture. Report royalties from oil, gas, or mineral properties on Schedule E (Form 1040). However, report payments for a working interest as explained in the Line 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received, the additional compensation UBS has paid to gross up substitute payments in lieu of qualified dividends, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C, C-EZ, or F (Form 1040).

Line 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by UBS on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040.

Form 1099-B – Proceeds from broker and barter exchange transactions

Brokers must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Line 1a. Shows the trade date of the transaction. As this is an aggregate report, there may be numerous transactions. See the detail summary of Form 1099-B for the trade dates of sales or exchanges for individual securities.

Line 1b. See the detail summary of Form 1099-B for CUSIP numbers of individual securities.

Line 2. This amount shows the aggregate gross proceeds, less commissions and other selling expenses, if any, from transactions involving stocks, bonds and other debt obligations, commodities, and forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a change in control or substantial change in capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This Line does not include proceeds from regulated futures contracts. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. But, for changes in control or substantial changes in capital structure, see Line 12.

Line 4. This amount shows any backup withholding, which is the amount of federal income tax withheld (28%) if either:

- o You did not certify your TIN or SSN with a signed Form W-9.
- o You were sent a B-Notice and did not respond in accordance with its instructions.
- o UBS was instructed by the IRS to withhold because of payee underreporting (mandatory withholding). Include this amount on your income tax return as tax paid to the IRS.

Line 5. Shows the number of shares of the corporation's stock that you held which were exchanged in the change in control or substantial change in capital structure.

Line 6. Shows the class or classes of the corporation's stock that were exchanged in the change in control or substantial change in capital structure.

Line 7. See the detail summary of Form 1099 for a description of the item for which the proceeds are being reported.

Regulated Futures Contracts:

Line 8. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2010.

Line 9. Shows any year-end adjustment to the profit or (loss) shown on Line 8 due to open contracts on December 31, 2009.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2010. These are considered sold as of that date. This will become an adjustment reported on Line 9 in 2011.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2010 Form 6781.

Neither UBS Financial Services Inc., UBS International Inc. nor their employees or representatives provide legal or tax advice. We recommend that you discuss these documents with your legal or tax advisor.



UBS Financial Services Inc.
299 PARK AVENUE
11TH & 26TH FLOORS
NEW YORK NY 10171-0002

Account Number NE 11532 CH

Tax Identification Number 06-6455317

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IMPORTANT NOTICE:

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

UBS Financial Services Inc., 1000 Harbor Boulevard,
Weehawken, NJ 07086-6790 (Federal ID 13-2638166)

UBS Financial Services Inc.

2010 Consolidated Form 1099

Your Financial Advisor or Contact

CARLTON HIGBIE

P08L064953-X

212-821-2700/800-543-0802

Form 1099-DIV Dividends and Distributions (OMB No 1545-0110)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	\$ 38,239 17
1b	Qualified dividends	38,238 68
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0 00
2b	Unrecaptured section 1250 gain	0 00
2c	Section 1202 gain	0 00
2d	28% rate gain (Collectibles)	0 00
3	Nondividend distributions	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	60.81
7	Foreign country or U S possession	
8	Liquidation distributions - cash	0 00
9	Liquidation distributions - non-cash (fair market value)	0.00

KDK CHARITABLE TRUST
DENNIS J KEEGAN
KAREN S KEEGAN TTEES
22 LAKEVIEW DRIVE
RIVERSIDE CT 06878-1111

Only the forms applicable to your account are shown
Forms which are not applicable and therefore not shown
include. Form 1099-OID, Form 1099-INT, Form 1099-MISC

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No 1545-0715)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)\$	1,373,853 87
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details
8	Profit or (loss) realized on futures contracts for 2010	0 00
9	Unrealized profit or (loss) on open contracts - 12/31/2009	0 00
10	Total Unrealized profit or (loss) on open contracts - 12/31/2010	0.00
11	Aggregate profit or (loss) (from lines 8, 9 and 10)	0 00

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Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
ABB LTD SPON ADR	000375204	Qualified Foreign Div	07/12/10	07/22/10	\$ 1,742.10
ABBOTT LABS	002824100	Qualified Dividend	01/13/10	02/15/10	453.20
		Qualified Dividend	04/13/10	05/15/10	602.36
		Qualified Dividend	07/13/10	08/15/10	602.36
		Qualified Dividend	10/13/10	11/15/10	706.20
		Sub-Total:			\$ 2,364.12
ACCENTURE PLC IRELAND CL A	G1151C101	Qualified Foreign Div	04/14/10	05/14/10	487.88
		Qualified Foreign Div	10/13/10	11/15/10	585.45
		Sub-Total:			\$ 1,073.33
ANALOG DEVICES INC	032654105	Qualified Dividend	08/25/10	09/15/10	262.02
		Qualified Dividend	12/01/10	12/22/10	262.02
		Sub-Total:			\$ 524.04
APACHE CORP	037411105	Qualified Dividend	10/20/10	11/22/10	\$ 100.50
APPLIED MATERIALS INC	038222105	Qualified Dividend	02/22/10	03/17/10	175.92
		Qualified Dividend	05/24/10	06/16/10	205.24
		Qualified Dividend	08/23/10	09/15/10	205.24
		Sub-Total:			\$ 586.40
AUTOLIV INC \$0.20	052800109	Qualified Dividend	11/02/10	12/09/10	\$ 232.75
BANK OF NEW YORK MELLON CORP	064058100	Qualified Dividend	01/28/10	02/09/10	83.61
		Qualified Dividend	04/28/10	05/11/10	83.61
		Qualified Dividend	07/28/10	08/10/10	83.61
		Qualified Dividend	10/27/10	11/09/10	83.61
		Sub-Total:			\$ 334.44
BANK OF AMER CORP	060505104	Qualified Dividend	06/02/10	06/25/10	23.80
		Qualified Dividend	09/01/10	09/24/10	33.91
		Qualified Dividend	12/01/10	12/24/10	40.09
		Sub-Total:			\$ 97.80

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Dividends and Distributions Details Reported on Form 1099-DIV - Continued

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
BAXTER INTL INC	071813109	Qualified Dividend	06/08/10	07/01/10	223.30
		Qualified Dividend	09/08/10	10/01/10	288.55
		Sub-Total:		\$	511.85
CSX CORPORATION	126408103	Qualified Dividend	02/24/10	03/15/10	264.00
		Qualified Dividend	05/26/10	06/15/10	264.00
		Sub-Total:		\$	528.00
CVS CAREMARK CORP	126650100	Qualified Dividend	01/20/10	02/02/10	171.85
		Qualified Dividend	04/21/10	05/04/10	171.85
		Sub-Total:		\$	343.70
DANAHER CORP	235851102	Qualified Dividend	12/29/09	01/29/10	40.96
		Qualified Dividend	03/24/10	04/30/10	32.96
		Sub-Total:		\$	73.92
ENERGY SECTOR SPDR TRUST SHARES	81369Y506	Qualified Dividend	12/17/10	12/29/10	\$ 536.03
ENTERGY CORP NEW	29364G103	Qualified Dividend	02/09/10	03/01/10	\$ 578.25
EXXON MOBIL CORP	30231G102	Qualified Dividend	11/09/10	12/10/10	\$ 499.40
FLUOR CORP NEW	343412102	Qualified Dividend	12/02/09	01/05/10	127.13
		Qualified Dividend	03/03/10	04/02/10	127.13
		Qualified Dividend	06/02/10	07/02/10	127.13
		Qualified Dividend	09/01/10	10/04/10	127.13
		Sub-Total:		\$	508.52
GENL MILLS INC	370334104	Qualified Dividend	01/07/10	02/01/10	362.60
		Qualified Dividend	10/06/10	11/01/10	343.56
		Sub-Total:		\$	706.16
GOLDMAN SACHS GROUP INC	38141G104	Qualified Dividend	02/26/10	03/30/10	129.15
		Qualified Dividend	05/27/10	06/29/10	129.15
		Qualified Dividend	08/30/10	09/29/10	129.15
		Qualified Dividend	11/30/10	12/30/10	129.15
		Sub-Total:		\$	516.60

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Dividends and Distributions Details Reported on Form 1099-DIV - Continued

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
HELMERICH & PAYNE INC	423452101	Qualified Dividend	02/10/10	03/01/10	\$ 28.95
HEWLETT PACKARD CO	428236103	Qualified Dividend	12/14/09	01/06/10	223.20
		Qualified Dividend	03/15/10	04/07/10	223.20
		Qualified Dividend	06/14/10	07/07/10	223.20
		Qualified Dividend	09/13/10	10/06/10	183.20
		Qualified Dividend	12/13/10	12/30/10	127.20
		Sub-Total:			\$ 980.00
INTEL CORP	458140100	Qualified Dividend	02/03/10	03/01/10	481.95
		Qualified Dividend	05/05/10	06/01/10	481.95
		Qualified Dividend	08/04/10	09/01/10	481.95
		Qualified Dividend	11/03/10	12/01/10	481.95
		Sub-Total:			\$ 1,927.80
JOHNSON & JOHNSON COM	478160104	Qualified Dividend	02/19/10	03/09/10	663.95
		Qualified Dividend	05/27/10	06/15/10	731.70
		Qualified Dividend	08/27/10	09/14/10	669.06
		Qualified Dividend	11/26/10	12/14/10	441.18
		Sub-Total:			\$ 2,505.89
JPMORGAN CHASE & CO	46625H100	Qualified Dividend	07/01/10	07/31/10	77.00
		Qualified Dividend	10/04/10	10/31/10	77.00
		Sub-Total:			\$ 154.00
MCDONALDS CORP	580135101	Qualified Dividend	05/27/10	06/15/10	557.70
		Qualified Dividend	08/30/10	09/16/10	557.70
		Qualified Dividend	11/29/10	12/15/10	618.54
		Sub-Total:			\$ 1,733.94
MICROSOFT CORP	594918104	Qualified Dividend	02/16/10	03/11/10	417.43
		Qualified Dividend	05/18/10	06/10/10	464.23
		Qualified Dividend	08/17/10	09/09/10	629.20
		Qualified Dividend	11/16/10	12/09/10	774.40
		Sub-Total:			\$ 2,285.26
MOLSON COORS BREWING CO CL B	60871R209	Qualified Dividend	02/24/10	03/15/10	378.72
		Qualified Dividend	05/26/10	06/15/10	441.84

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Dividends and Distributions Details Reported on Form 1099-DIV - Continued

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
MOLSON COORS BREWING CO CL B	60871R209	Qualified Dividend	08/27/10	09/15/10	441.84
		Qualified Dividend	11/26/10	12/15/10	441.84
		Sub-Total:			\$ 1,704.24
NORTHROP GRUMMAN CORP	666807102	Qualified Dividend	02/25/10	03/13/10	\$ 528.90
OCCIDENTAL PETROLEUM CRP	674599105	Qualified Dividend	12/08/09	01/15/10	148.50
		Qualified Dividend	03/08/10	04/15/10	148.50
		Qualified Dividend	06/08/10	07/15/10	171.00
		Qualified Dividend	09/08/10	10/15/10	195.32
		Sub-Total:			\$ 663.32
ORACLE CORP	68389X105	Qualified Dividend	01/14/10	02/09/10	156.75
		Qualified Dividend	04/12/10	05/05/10	166.75
		Qualified Dividend	07/12/10	08/04/10	166.75
		Qualified Dividend	10/04/10	11/03/10	176.95
		Sub-Total:			\$ 667.20
PEPSICO INC	713448108	Qualified Dividend	06/02/10	06/30/10	423.36
		Qualified Dividend	09/01/10	09/30/10	423.36
		Sub-Total:			\$ 846.72
PG & E CORP (HOLDING COMPANY)	69331C108	Qualified Dividend	12/29/09	01/15/10	\$ 533.40
PPL CORP	69351T106	Qualified Dividend	12/08/09	01/01/10	\$ 300.15
PRAXAIR INC	74005P104	Qualified Dividend	09/02/10	09/15/10	144.00
		Qualified Dividend	12/03/10	12/15/10	144.00
		Sub-Total:			\$ 288.00
PROCTER & GAMBLE CO	742718109	Qualified Dividend	01/20/10	02/16/10	544.28
		Qualified Dividend	04/28/10	05/17/10	595.99
		Qualified Dividend	07/21/10	08/16/10	595.99
		Qualified Dividend	10/20/10	11/15/10	694.27
		Sub-Total:			\$ 2,430.53
PRUDENTIAL FINANCIAL INC	744320102	Qualified Dividend	11/19/10	12/17/10	\$ 1,032.70

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Dividends and Distributions Details Reported on Form 1099-DIV - Continued

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
QUALCOMM INC	747525103	Qualified Dividend	02/24/10	03/26/10	282.71
		Qualified Dividend	05/26/10	06/25/10	315.97
		Qualified Dividend	08/25/10	09/24/10	315.97
		Qualified Dividend	11/22/10	12/22/10	315.97
		Sub-Total:			\$ 1,230.62
RAYTHEON CO NEW	755111507	Qualified Dividend	04/01/10	04/29/10	\$ 398.63
RMA MONEY MKT PORTFOLIO	90348L105	Dividend		03/24/10	.01
		Dividend		04/23/10	.04
		Dividend		06/23/10	.02
		Dividend		08/24/10	.06
		Dividend		09/23/10	.02
		Dividend		10/22/10	.05
		Dividend		11/22/10	.01
		Short Term Cap Gain		12/09/10	.13
		Dividend		12/23/10	.15
		Sub-Total:			\$.49
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	881624209	Qualified Foreign Div	08/02/10	08/26/10	403.72
		Foreign Tax Paid		08/26/10	(36.34)
		Qualified Foreign Div	11/08/10	12/06/10	271.87
		Foreign Tax Paid		12/06/10	(24.47)
		Sub-Total:			\$ 614.78
UNTD TECHNOLOGIES CORP	913017109	Qualified Dividend	02/17/10	03/10/10	537.63
		Qualified Dividend	05/12/10	06/10/10	537.63
		Qualified Dividend	08/18/10	09/10/10	537.63
		Qualified Dividend	11/17/10	12/10/10	537.63
		Sub-Total:			\$ 2,150.52
WAL MART STORES INC	931142103	Qualified Dividend	12/09/09	01/04/10	314.47
		Qualified Dividend	03/10/10	04/05/10	349.09
		Qualified Dividend	05/12/10	06/01/10	349.09
		Qualified Dividend	08/11/10	09/07/10	349.09
		Sub-Total:			\$ 1,361.74

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Dividends and Distributions Details Reported on Form 1099-DIV - Continued

Security Description	Cusip	Activity Description	Ex Date	Payment Date	Amount
WALT DISNEY CO (HOLDING CO) DISNEY COM	254687106	Qualified Dividend	12/10/09	01/19/10	\$ 703.15
3M CO	88579Y101	Qualified Dividend	02/17/10	03/12/10	312.38
		Qualified Dividend	05/19/10	06/12/10	312.38
		Qualified Dividend	08/18/10	09/12/10	312.38
		Qualified Dividend	11/17/10	12/12/10	312.38
		Sub-Total:			\$ 1,249.52
Total dividend activity (less foreign tax)					\$ 38,178.36
Total Line 1a Ordinary dividends (less lines 1b and 5)					\$.49
Total Line 1b Qualified dividends					\$ 38,238.68
Total Line 6 Foreign tax paid					\$ (60.81)

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
07/23/10	Sell	340.000	ABB LTD SPON ADR		000375204	6,726.27	00	00
11/05/10	Sell	385.000	ABBOTT LABS		002824100	19,627.27	.00	00
11/04/10	Sell	1,591.000	AETNA INC		00817Y108	49,084.07	00	.00
05/12/10	Sell	518.000	ALLIANT TECHSYSTEMS INC		018804104	40,840.30	.00	00
05/11/10	Sell	698.000	AMGEN INC		031162100	39,410.63	00	.00
06/01/10	Sell	780.000	APOLLO GROUP INC CL A		037604105	40,916.54	00	00
09/08/10	Sell	2,932.000	APPLIED MATERIALS INC		038222105	31,005.37	00	00
11/09/10	Sell	180.000	BAXTER INTL INC		071813109	9,343.93	00	00
06/04/10	Sell	1,506.000	COGNIZANT TECH SOLUTIONS CRP		192446102	75,663.47	.00	.00

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Proceeds from Broker Transactions Details Reported on Form 1099-B - Continued

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
06/04/10	Sell	1,100.000	CSX CORPORATION		126408103	54,861.02	00	00
06/08/10	Sell	1,964.000	CVS CAREMARK CORP		126650100	60,824.13	.00	.00
02/05/10	Sell	200.000	DANAHER CORP		235851102	14,073.32	00	.00
05/28/10	Sell	824.000	DANAHER CORP			66,120.35	00	.00
Sub-Total:		1,024.000				\$ 80,193.67	\$ 0.00	\$ 0.00
07/22/10	Sell	3,040.000	EMC CORP MASS		268648102	60,501.82	.00	.00
04/19/10	Sell	771.000	ENTERGY CORP NEW		29364G103	61,594.44	00	.00
03/04/10	Sell	740.000	GENL MILLS INC		370334104	53,479.12	00	.00
03/04/10	Sell	579.000	HELMERICH & PAYNE INC		423452101	23,846.09	00	00
07/23/10	Sell	500.000	HEWLETT PACKARD CO		428236103	22,980.41	.00	00
11/29/10	Sell	700.000	HEWLETT PACKARD CO			29,610.91	.00	.00
Sub-Total:		1,200.000				\$ 52,591.32	\$ 0.00	\$ 0.00
11/29/10	Sell	3,060.000	INTEL CORP		458140100	64,978.00	00	00
07/23/10	Sell	127.000	JOHNSON & JOHNSON COM		478160104	7,264.40	.00	.00
11/05/10	Sell	422.000	JOHNSON & JOHNSON COM			27,281.81	.00	00
Sub-Total:		549.000				\$ 34,546.21	\$ 0.00	\$ 0.00
11/05/10	Sell	1,543.000	MEDCO HEALTH SOLUTIONS INC		58405U102	91,251.47	00	00
02/05/10	Sell	2,390.000	NORTHEAST UTILITIES		664397106	60,043.20	00	00
03/05/10	Sell	1,230.000	NORTHROP GRUMMAN CORP		666807102	78,588.30	00	.00
02/05/10	Sell	315.000	PG & E CORP (HOLDING COMPANY)		69331C108	13,041.62	00	00
03/04/10	Sell	955.000	PG & E CORP (HOLDING COMPANY)			40,323.09	.00	00
Sub-Total:		1,270.000				\$ 53,364.71	\$ 0.00	\$ 0.00

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Proceeds from Broker Transactions Details Reported on Form 1099-B - Continued

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/04/10	Sell	870 000	PPL CORP		69351T106	24,960 98	00	.00
06/22/10	Sell	1,063 000	RAYTHEON CO NEW		755111507	56,401 69	.00	00
03/04/10	Sell	484.000	SOWSTN ENERGY CO		845467109	20,482.63	00	00
11/05/10	Sell	770.000	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR		881624209	39,434 50	.00	00
11/09/10	Sell	60.000	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR			3,034 74	.00	.00
Sub-Total:		830.000				\$ 42,469.24	\$ 0.00	\$ 0.00
11/09/10	Sell	417 000	THERMO FISHER SCIENTIFIC INC		883556102	21,588.76	00	.00
12/06/10	Sell	88 000	UNTD TECHNOLOGIES CORP		913017109	6,920 20	00	00
12/07/10	Sell	1,100.000	WAL MART STORES INC		931142103	57,749 02	00	00
Total						\$ 1,373,853.87	\$ 0.00	\$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.

* Applicable to Tax-exempt Original Issue Discount information only

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Limited Partnership	BLACKSTONE GROUP LP/THE	09253U108			03/31/10		649 20
Dividends	UNIT REPSTG LTD				05/31/10		216 40
	PARTNERSHIP INT				08/31/10		216 40
					11/30/10		216.40
Sub-Total:							\$ 1,298.40
Total Limited Partnership Dividends							\$ 1,298.40

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Other Income Details Not Reported to the Internal Revenue Service - Continued

Interest Income Details Not Reported to the Internal Revenue Service							
Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Loan Interest	LOAN INTEREST CHARGED				05/22/10		18.72
					08/22/10		11.40
					11/22/10		8.56
						Sub-Total:	\$ 38.68
Total Loan Interest							\$ 38.68
Program Fees and Related Services	MANAGED ACCOUNT FEE				12/31/10		16,625.64
Total Program Fees and Related Services							\$ 16,625.64



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2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk () indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.*

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss	\$ 673,670.84	\$ 704,242.68	\$ 65,820.64	\$ (35,248.80)	\$ 30,571.84
Long-term Gain/Loss	633,053.50	677,786.96	76,731.25	(31,997.79)	44,733.46
Sub Total:	\$ 1,306,724.34	\$ 1,382,029.64	\$ 142,551.89	\$ (67,246.59)	\$ 75,305.30
Total:	\$ 1,306,724.34	\$ 1,382,029.64	\$ 142,551.89	\$ (67,246.59)	\$ 75,305.30

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABB LTD SPON ADR	Trade	340 000		12/24/09	07/23/10	6,726.27	6,455.58	270.69		Y
AETNA INC	Trade	1,591.000		02/05/10	11/04/10	49,084.07	46,944.94	2,139.13		Y
AMGEN INC	Trade	638 000		09/11/09	05/11/10	36,022.90	37,365.43	(1,342.53)		Y
	Trade	60 000		10/27/09	05/11/10	3,387.73	3,271.20	116.53		Y
Sub-Total:		698.000				39,410.63	40,636.63	(1,226.00)		
APOLLO GROUP INC CL A	Trade	780 000		09/11/09	06/01/10	40,916.54	51,692.55	(10,776.01)		Y
BAXTER INTL INC	Trade	180 000		05/11/10	11/09/10	9,343.93	8,245.17	1,098.76		Y

Continued on page 12

2010 1099 / NE 11532 CH

000019641 00019/08

Account Number NE 11532 CH
Your Financial Advisor or Contact
CARLTON HIGBIE
212-821-2700/800-543-0802

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UBS Financial Services Inc.
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL AETNA INC DUE 01/22/11 31.000 004DG2	Shortcover	(15.000)		11/04/10	09/10/10	2,564.95	2,385.00	179.95		
CALL MEDCO HEALTH SOLUTI DUE 01/22/11 47.500 2801T5	Shortcover	(15.000)		11/05/10	09/07/10	3,749.93	18,137.00	(14,387.07)		
COGNIZANT TECH SOLUTIONS CRP	Trade	98.000		10/27/09	06/04/10	4,923.65	3,925.88	997.77		Y
CSX CORPORATION	Trade	1,100.000		10/27/09	06/04/10	54,861.02	46,715.35	8,145.67		Y
CVS CAREMARK CORP	Trade	60.000		10/27/09	06/08/10	1,858.17	2,168.78	(310.61)		Y
GENL MILLS INC	Trade	740.000		10/27/09	03/04/10	53,479.12	48,569.99	4,909.13		Y
HEWLETT PACKARD CO	Trade	500.000		07/30/09	07/23/10	22,980.41	21,408.18	1,572.23		Y
JOHNSON & JOHNSON COM	Trade	127.000		10/27/09	07/23/10	7,264.40	7,644.93	(380.53)		Y
MEDCO HEALTH SOLUTIONS INC	Trade	1,050.000		06/08/10	11/05/10	62,095.95	60,872.48	1,223.47		Y
	Trade	493.000		08/10/10	11/05/10	29,155.52	22,751.77	6,403.75		Y
Sub-Total:		1,543.000				91,251.47	83,624.25	7,627.22		
NORTHEAST UTILITIES	Trade	1,000.000		03/12/09	02/05/10	25,122.68	20,159.00	4,963.68		Y
	Trade	1,390.000		05/04/09	02/05/10	34,920.52	29,946.48	4,974.04		Y
Sub-Total:		2,390.000				60,043.20	50,105.48	9,937.72		
NORTHROP GRUMMAN CORP	Trade	1,230.000		08/06/09	03/05/10	78,588.30	57,750.96	20,837.34		Y
PG & E CORP (HOLDING COMPANY)	Trade	315.000		03/10/09	02/05/10	13,041.62	11,254.73	1,786.89		Y
	Trade	955.000		03/10/09	03/04/10	40,323.09	34,121.48	6,201.61		Y
Sub-Total:		1,270.000				53,364.71	45,376.21	7,988.50		
PPL CORP	Trade	870.000		05/04/09	03/04/10	24,960.98	26,934.33	(1,973.35)		Y
RAYTHEON CO NEW	Trade	1,063.000		03/05/10	06/22/10	56,401.69	60,706.30	(4,304.61)		Y

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UBS Financial Services Inc.

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TEVA PHARMACEUTICALS IND	Trade	770 000		06/04/10	11/05/10	39,434.50	41,045 02	(1,610 52)		Y
LTD ISRAEL ADR	Trade	60 000		06/04/10	11/09/10	3,034.74	3,198 31	(163.57)		Y
Sub-Total:		830.000				42,469.24	44,243.33	(1,774.09)		
Total Short-Term Gain/Loss						704,242.68	673,670.84	30,571.84		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	385 000		10/13/08	11/05/10	19,627 27	20,716 85	(1,089 58)		Y
ALLIANT TECHSYSTEMS INC	Trade	518.000		10/14/08	05/12/10	40,840 30	42,110 55	(1,270 25)		Y
APPLIED MATERIALS INC	Trade	2,932.000		03/11/09	09/08/10	31,005.37	27,708 47	3,296 90		Y
COGNIZANT TECH SOLUTIONS CRP	Trade	542.000		03/24/08	06/04/10	27,230 81	16,753.22	10,477 59		Y
	Trade	500 000		04/17/08	06/04/10	25,120.67	14,672 50	10,448.17		Y
	Trade	366 000		09/24/08	06/04/10	18,388 33	8,914.95	9,473 38		Y
Sub-Total:		1,408.000				70,739.81	40,340.67	30,399.14		
CVS CAREMARK CORP	Trade	657 000		03/24/08	06/08/10	20,346.97	26,916 63	(6,569 66)		Y
	Trade	600 000		04/17/08	06/08/10	18,581 71	24,136 20	(5,554 49)		Y
	Trade	317 000		09/24/08	06/08/10	9,817 34	10,457 83	(640 49)		Y
	Trade	330 000		11/05/08	06/08/10	10,219 94	10,487 39	(267 45)		Y
Sub-Total:		1,904.000				58,965.96	71,998.05	(13,032.09)		
DANAHER CORP	Trade	200 000		03/24/08	02/05/10	14,073 32	15,582 50	(1,509 18)		Y
	Trade	217 000		03/24/08	05/28/10	17,412 76	16,907 01	505 75		Y
	Trade	474.000		04/17/08	05/28/10	38,035.25	35,317 27	2,717 98		Y
	Trade	133 000		09/24/08	05/28/10	10,672.34	9,432 76	1,239 58		Y
Sub-Total:		1,024.000				80,193.67	77,239.54	2,954.13		
EMC CORP MASS	Trade	2,800 000		03/19/09	07/22/10	55,725 36	31,889 20	23,836 16		Y
	Trade	240 000		05/27/09	07/22/10	4,776 46	2,927 74	1,848 72		Y
Sub-Total:		3,040.000				60,501.82	34,816.94	25,684.88		

Account Number NE 11532 CH
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UBS Financial Services Inc.
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ENTERGY CORP NEW	Trade	466.000		10/30/08	04/19/10	37,228.29	38,212.00	(983.71)		Y
	Trade	75.000		03/11/09	04/19/10	5,991.68	4,625.25	1,366.43		Y
	Trade	230.000		03/19/09	04/19/10	18,374.48	15,609.87	2,764.61		Y
	Sub-Total:	771.000				61,594.45	58,447.12	3,147.33		
HELMERICH & PAYNE INC	Trade	579.000		08/26/08	03/04/10	23,846.09	32,675.58	(8,829.49)		Y
HEWLETT PACKARD CO	Trade	700.000		07/30/09	11/29/10	29,610.91	29,971.45	(360.54)		Y
INTEL CORP	Trade	3,060.000		10/27/09	11/29/10	64,978.00	60,673.68	4,304.32		Y
JOHNSON & JOHNSON COM	Trade	422.000		10/27/09	11/05/10	27,281.81	25,402.84	1,878.97		Y
SOWSTN ENERGY CO	Trade	484.000		07/03/08	03/04/10	20,482.63	22,208.58	(1,725.95)		Y
THERMO FISHER SCIENTIFIC INC	Trade	417.000		07/03/08	11/09/10	21,588.76	23,606.17	(2,017.41)		Y
UNTD TECHNOLOGIES CORP	Trade	88.000		10/27/09	12/06/10	6,920.20	5,666.10	1,254.10		Y
WAL MART STORES INC	Trade	332.000		03/24/08	12/07/10	17,991.36	17,844.67	146.69		
	Trade	313.000		04/17/08	12/07/10	16,961.73	17,686.32	(724.59)		
	Trade	68.000		09/24/08	12/07/10	3,684.97	3,998.40	(313.43)		
	Trade	73.000		10/30/08	12/07/10	3,955.92	4,073.40	(117.48)		
	Trade	69.000		11/05/08	12/07/10	3,739.17	3,763.26	(24.09)		
	Trade	95.000		03/11/09	12/07/10	5,148.13	4,504.69	643.44		
	Trade	150.000		05/27/09	12/07/10	8,128.63	7,600.17	528.46		
	Sub-Total:	1,100.000				59,609.91	59,470.91	139.00		
Total Long-Term Gain/Loss						677,786.96	633,053.50	44,733.46		

Amy Wisnosky

From: Dennis Keegan <djkeegan@aol.com>
Sent: 10/31/2011 1:59 PM
To: Amy Wisnosky
Subject: Re: KDK 2010
Attachments: image004.jpg

Sorry, correction. They were both mistakes and a \$1500 deposit was made by check in January to make the correction.

Dennis

-----Original Message-----

From: Amy Wisnosky <awisnosky@untracht.com>
To: Dennis Keegan <djkeegan@aol.com>
Sent: Mon, Oct 31, 2011 4:41 am
Subject: RE: KDK 2010

Dennis,

Thank you for the below. The \$1,000 was a withdrawal from an ATM machine, not a deposit. Please let me know where the money went.

Amy

Amy Wisnosky
Tax Supervisor
Untracht Early LLC
325 Columbia Turnpike, Suite 202
Florham Park, NJ 07932
Direct Dial: 973 805 7117
Direct Fax: 973 845 7317
www.untracht.com



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From: Dennis Keegan [<mailto:djkeegan@aol.com>]
Sent: 10/30/2011 3:36 PM
To: Amy Wisnosky
Subject: Re: KDK 2010

Sorry it is so late.

Check 492 Community Foundation of South Puget Sound

Check 494 Brunswick school endowment

Withdrawal of \$500 mistake the \$1,000 deposit corrected that and a previous withdrawal

I think the deposit of 3137 58 was a tax refund but I can't find the receipt

Dennis

-----Original Message-----

From Amy Wisnosky <awisnosky@untracht.com>

To [djkeegan <djkeegan@aol.com>](mailto:djkeegan@aol.com)

Sent Wed, Jun 1, 2011 4 29 am

Subject FW KDK 2010

Dennis,

Hope all is well Have you had a chance to look up the below for KDK?

Thank you,
Amy

Amy Wisnosky

Tax Supervisor

Untracht Early LLC

325 Columbia Turnpike, Suite 202

Florham Park, NJ 07932

Direct Dial 973 805 7117

Direct Fax 973 845 7317

www.untracht.com



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From: Amy Wisnosky

Sent: 05/02/2011 12 15 PM

To: djkeegan@aol.com

Subject: KDK 2010

Dennis,

Hope all is well I have the following questions regarding KDK for 2010

On 10/29/10 there was a transfer to checking of \$1,000 and a cash withdrawal of \$500 – I need to know what the cash withdrawal was for and where the \$1,000 went

On 5/19/10 there was a deposit of \$3,137 58 (what is this from)

I was unable to read check stub #492 and 494 I need to know who they were made out to

Thank you,

Amy

Amy Wisnosky

Tax Supervisor

Untracht Early LLC

325 Columbia Turnpike, Suite 202

Florham Park, NJ 07932

Direct Dial 973 805 7117

Direct Fax 973 845 7317

www.untracht.com



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=

KDK CHARITABLE TRUST
DENNIS J KEEGAN
KAREN S KEEGAN TTEES
22 LAKEVIEW DRIVE
RIVERSIDE, CT 06878

Dear Unitholder:

The Blackstone Group L.P. (BX) is pleased to enclose your final 2010 tax information. This replaces the preliminary tax information issued earlier this year. We are also enclosing for your general guidance the IRS 2010 Partner's Instructions for Schedule K-1 and a Summary of Frequently Asked Questions. We recommend that you consider discussing this information with your personal tax advisor.

As a publicly traded partnership, BX is generally not subject to income tax. However, our Unitholders may be subject to federal, state and city income tax reporting in jurisdictions where they are resident and where BX has operations. In addition, Unitholders who are not resident in the U.S. may be subject to U.S. tax reporting and U.S. withholding tax.

The enclosed information includes an Ownership Schedule and if applicable, a Sales Schedule. This information can be used to help you calculate any gain or loss on transfers or other dispositions of units made during 2010. We encourage you to review the information contained in these Schedules to ensure that there are no errors or inconsistencies. If any inconsistencies are noted, please contact our Tax Package Support Service at 1-866-709-8107 or access the BX website at www.Blackstone.com and follow the link under the UnitHolders/Tax Information caption for further instructions.

Sincerely,

The Blackstone Group L.P.

**Schedule K-1
(Form 1065)**

Department of the Treasury
Internal Revenue Service

2010

For calendar year 2010, or tax
year beginning _____, 2010
ending _____, 20

**Partner's Share of Income, Deductions,
Credits, etc.**

► See back of form and separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number
20-8875684

B Partnership's name, address, city, state, and ZIP code
THE BLACKSTONE GROUP LP
345 PARK AVENUE
NEW YORK, NY 10154

C IRS Center where partnership filed return
OGDEN

D ☒ Check if this is a publicly traded partnership (PTP)

Part II Information About the Partner

E Partner's identifying number
066-45-5317

F Partner's name, address, city, state, and ZIP code
KDK CHARITABLE TRUST
DENNIS J KEEGAN
KAREN S KEEGAN TTEES
22 LAKEVIEW DRIVE
RIVERSIDE, CT 06878

G ☐ General partner or LLC member-manager ☒ Limited partner or other LLC member

H ☒ Domestic partner ☐ Foreign partner

I What type of entity is this partner? Trust

J Partner's share of profit, loss, and capital (see instructions)

	Beginning	Ending
Profit	0.000699 %	0.000527 %
Loss	0.000699 %	0.000527 %
Capital	0.000688 %	0.000527 %

K Partner's share of liabilities at year end

Nonrecourse	\$	273
Qualified nonrecourse financing	\$	497
Recourse	\$	

L Partner's capital account analysis

Beginning capital account	\$	37,127
Capital contributed during the year	\$	0
Current year increase (decrease)	\$	1,055
Withdrawals & distributions	\$ (	1,298)
Ending capital account	\$	36,884

☒ Tax basis ☐ GAAP ☐ Section 704(b) book
☐ Other (explain)

M Did the partner contribute property with a built-in gain or loss?
☐ Yes ☒ No
If "Yes", attach statement (see instructions)

☐ Final K-1 ☐ Amended K-1

651110
OMB No 1545-0099

**Part III Partner's Share of Current Year Income,
Deductions, Credits, and Other Items**

1 Ordinary business income (loss)	0	15 Credits	
2 Net rental real estate income (loss)	10		
3 Other net rental income (loss)	0	16 Foreign transactions	
4 Guaranteed payments		A	VARIOUS
5 Interest income	725	B	1,312
6a Ordinary dividends	46	C	406
6b Qualified dividends	25	D	53
7 Royalties	0	F	0
8 Net short-term capital gain (loss)	18	G	26
9a Net long-term capital gain (loss)	286	*	STMT
9b Collectibles (28%) gain (loss)		17 Alternative minimum tax (AMT) items	
9c Unrecaptured section 1250 gain	0	A	-14
10 Net section 1231 gain (loss)	-5	B	0
11 Other income (loss)	STMT	18 Tax-exempt income and nondeductible expenses	
*		C	0
12 Section 179 deduction		19 Distributions	
13 Other deductions	0	A	1,298
A		20 Other information	
H	39	A	788
*	STMT	B	25
14 Self-employment earnings (loss)		N	16
		X*	2

*See attached statement for additional information.

For IRS Use Only

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows

	<i>Report on</i>
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (j)

2. Net rental real estate income (loss) See the Partner's Instructions

3. Other net rental income (loss)

Net income	Schedule E, line 28, column (g)
Net loss	See the Partner's Instructions

4. Guaranteed payments

5. Interest income Schedule E, line 28, column (j)

6a. Ordinary dividends Form 1040, line 9a

6b. Qualified dividends Form 1040, line 9b

7. Royalties Schedule E, line 4

8. Net short-term capital gain (loss) Schedule D, line 5, column (f)

9a. Net long-term capital gain (loss) Schedule D, line 12, column (f)

9b. Collectibles (28%) gain (loss) 28% Rate Gain Worksheet, line 4 (Schedule D instructions)

9c. Unrecaptured section 1250 gain See the Partner's Instructions

10. Net section 1231 gain (loss) See the Partner's Instructions

11. Other income (loss)

Code	
A Other portfolio income (loss)	See the Partner's Instructions
B Involuntary conversions	See the Partner's Instructions
C Sec 1256 contracts & straddles	Form 6781, line 1
D Mining exploration costs recapture	See Pub 535
E Cancellation of debt	Form 1040, line 21 or Form 982
F Other income (loss)	See the Partner's Instructions

12. Section 179 deduction See the Partner's Instructions

13. Other deductions

A Cash contributions (50%)	} See the Partner's Instructions
B Cash contributions (30%)	
C Noncash contributions (50%)	
D Noncash contributions (30%)	
E Capital gain property to a 50% organization (30%)	
F Capital gain property (20%)	
G Contributions (100%)	
H Investment interest expense	Form 4952, line 1
I Deductions—royalty income	Schedule E, line 18
J Section 59(e)(2) expenditures	See the Partner's Instructions
K Deductions—portfolio (2% floor)	Schedule A, line 23
L Deductions—portfolio (other)	Schedule A, line 28
M Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N Educational assistance benefits	See the Partner's Instructions
O Dependent care benefits	Form 2441, line 12
P Preproductive period expenses	See the Partner's Instructions
Q Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R Pensions and IRAs	See the Partner's Instructions
S Reforestation expense deduction	See the Partner's Instructions
T Domestic production activities information	See Form 8903 instructions
U Qualified production activities income	Form 8903, line 7b
V Employer's Form W-2 wages	Form 8903, line 17
W Other deductions	See the Partner's Instructions

14. Self-employment earnings (loss)

Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE

A Net earnings (loss) from self-employment	Schedule SE, Section A or B
B Gross farming or fishing income	See the Partner's Instructions
C Gross non-farm income	See the Partner's Instructions

15. Credits

A Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions
C Low-income housing credit (section 42(j)(5)) from post-2007 buildings	Form 8586, line 11
D Low-income housing credit (other) from post-2007 buildings	Form 8586, line 11
E Qualified rehabilitation expenditures (rental real estate)	} See the Partner's Instructions
F Other rental real estate credits	
G Other rental credits	
H Undistributed capital gains credit	Form 1040, line 71, check box a
I Alcohol and cellulosic biofuel fuels credit	Form 6478, line 8
J Work opportunity credit	Form 5884, line 3

Code		Report on
K	Disabled access credit	See the Partner's Instructions
L	Empowerment zone and renewal community employment credit	Form 8844, line 3
M	Credit for increasing research activities	See the Partner's Instructions
N	Credit for employer social security and Medicare taxes	Form 8846, line 5
O	Backup withholding	Form 1040, line 61
P	Other credits	See the Partner's Instructions
Foreign transactions		
A	Name of country or U S possession	} Form 1116, Part I
B	Gross income from all sources	
C	Gross income sourced at partner level	
<i>Foreign gross income sourced at partnership level</i>		
D	Passive category	} Form 1116, Part I
E	General category	
F	Other	
<i>Deductions allocated and apportioned at partner level</i>		
G	Interest expense	Form 1116, Part I
H	Other	Form 1116, Part I
<i>Deductions allocated and apportioned at partnership level to foreign source income</i>		
I	Passive category	} Form 1116, Part I
J	General category	
K	Other	
<i>Other information</i>		
L	Total foreign taxes paid	Form 1116, Part II
M	Total foreign taxes accrued	Form 1116, Part II
N	Reduction in taxes available for credit	Form 1116, line 12
O	Foreign trading gross receipts	Form 8873
P	Extraterritorial income exclusion	Form 8873
Q	Other foreign transactions	See the Partner's Instructions
Alternative minimum tax (AMT) items		
A	Post-1986 depreciation adjustment	} See the Partner's Instructions and the Instructions for Form 6251
B	Adjusted gain or loss	
C	Depletion (other than oil & gas)	
D	Oil, gas, & geothermal—gross income	
E	Oil, gas, & geothermal—deductions	
F	Other AMT items	
Tax-exempt income and nondeductible expenses		
A	Tax-exempt interest income	Form 1040, line 8b
B	Other tax-exempt income	See the Partner's Instructions
C	Nondeductible expenses	See the Partner's Instructions
Distributions		
A	Cash and marketable securities	} See the Partner's Instructions
B	Distribution subject to section 737	
C	Other property	
Other information		
A	Investment income	Form 4952, line 4a
B	Investment expenses	Form 4952, line 5
C	Fuel tax credit information	Form 4136
D	Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E	Basis of energy property	See the Partner's Instructions
F	Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G	Recapture of low-income housing credit (other)	Form 8611, line 8
H	Recapture of investment credit	See Form 4255
I	Recapture of other credits	See the Partner's Instructions
J	Look-back interest—completed long-term contracts	See Form 8697
K	Look-back interest—income forecast method	See Form 8866
L	Dispositions of property with section 179 deductions	} See the Partner's Instructions
M	Recapture of section 179 deduction	
N	Interest expense for corporate partners	
O	Section 453(l)(3) information	
P	Section 453A(c) information	
Q	Section 1260(b) information	
R	Interest allocable to production expenditures	
S	CCF nonqualified withdrawals	
T	Depletion information—oil and gas	
U	Amortization of reforestation costs	
V	Unrelated business taxable income	
W	Precontribution gain (loss)	
X	Section 108(i) information	
Y	Other information	

Partner's Name KDK CHARITABLE TRUST

Partnership Name The Blackstone Group L P

Partner ID No . 066-45-5317

Partnership ID No . 20-8875684

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION - PAGE 1

11A1	Other Income (Loss)	14
11A2	Section 987 Gain (Loss)	0
11A3	Swap Income (Loss)	0
11A4	Section 1291 Gain	3
11C	Sec. 1256 Contracts & Straddles	0
11E	Cancellation of Debt	0
11F1	Interest Income	2
11F2	Dividend Income	0
11F3	Long Term Capital Gain (Loss) on Sale of Partnership	9
11F4	Short Term Capital Gain (Loss)	7
11F5	Long Term Capital Gain (Loss)	6
11F6	Section 475 (f) Ordinary Gain (Loss)	5
11F7	Section 988 Foreign Currency Gain (Loss)	0
11F8	Other Income (Loss)	0
11F9	Other Trade or Business Expense	-2
11F10	Liquidation of Partnership Interest	-9
11F11	Section 731 (a) Adjustment	0
11F12	Subpart F Income	6
13I	Deductions Royalty Income	0
13K	Deductions Portfolio (2% floor)	25
13W1	Other Deductions	2
16H1	Other Partnership Level Deductions	96
16I1	Passive Foreign Deductions	14
16J1	Foreign General Limitations Deductions	2
16M1	Total Foreign Taxes Accrued	0
20X1	Deferred Section 108(i) Cancellation of Debt (COD) Income	2

Partner's Name: KDK CHARITABLE TRUST

Partnership Name: The Blackstone Group L.P.

Partner ID No.: 066-45-5317

Partnership ID No.: 20-8875684

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION - PAGE 2

- Your share of U S Treasury Income included on Lines 1, 5, 6A, and 11 is \$ 0
- Your share of cost to carry U.S. government obligations included on Lines 1, 11 and 13 is \$ 0
- The amount on Line 13H is derived from 2 activities:
 - The amount derived from trade or business activities is \$ 3
 - The amount derived from investment activities is \$ 36
- 0.313% of the total Investment Interest Expense shown on Line 13H relates to a debt financed distribution.
- If you are a tax exempt entity, your share of Unrelated Business Taxable Income is reported below:
 - Ordinary Income/(Loss) \$ 14
 - Rental Income/(Loss) \$ 17
 - Short Term Capital Gain/(Loss) \$ 0
 - Long Term Capital Gain/(Loss) \$ 0
 - Other Income/(Loss) \$-20
- Your share of dividends from domestic corporations eligible for the 70% Dividends Received Deduction included on Lines 1, 6A and 11 is \$ 9
- Your share of U S. effectively connected income ("ECI") is as follows:
 - Ordinary Income/(Loss) \$ 0
 - Net Rental Income /(Loss) \$ 11
 - Long Term Capital Gain/(Loss) \$ 0
 - Section 1231 Gain/(Loss) \$ -3
 - Other Income/(Loss) \$ 0
- If you are a foreign person, you may have received IRS Form 1042-S, Foreign Persons U.S. Source Income Subject to Withholding. Please follow the instructions for that form.
- The Blackstone Group L P. indirectly owns an interest in a passive foreign investment company ("PFIC") for which a qualified electing fund ("QEF") election was not made. In 2010, a distribution was received from the PFIC that is treated as an excess distribution pursuant to IRC Section 1291. The following information is provided to assist you in the completion of Form 8621.

Name of PFIC: Blackstone Special Funding (Luxembourg) S A R.L.
Address of PFIC: 19 Rue de Bitbourg, Luxembourg L1273, Luxembourg
EIN: 98-0581091
Tax Year: 01/01/2010 – 12/31/2010

Form 8621, Part IV.

Line 10a Current Year Distributions*	\$4
Line 10b Prior Year Distributions	\$0
Line 11b Holding Period	05/28/2008-12/31/2010

*Please note this amount is also included in Box 6a of Schedule K-1 as a component of ordinary dividends.

You should consult your tax advisor to determine the proper treatment of the above items.

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION – PAGE 3**Line 16 Foreign Transactions:**

Line 16 codes I and J Your share of deductions attributable to foreign source income (excluding interest expense) is reported on Line 16 codes I and J. This amount and the calculated deductible amount of investment interest expense shown on Line 13 code H (see note relating to Line 20A and Line 20B below) attributable to foreign source income should be considered for foreign tax credit limitation purposes. The proper treatment of these amounts depends on several factors, including whether you are a U.S. individual or a corporate partner.

Line 20 Other Information Codes A and B – Information Relating to Investment Income and Expenses:

In addition to the amounts included on Line 20A and Line 20B, the product of the following line items multiplied by the applicable percentages may be included in your calculation of the investment interest expense limitation.

Line 1		330.29%
Line 11C		128.56%
Line 11F		30.90%
Line 13W		91.82%

Line 20X - IRC Section 108(i) Deferred Income Information:

Refer to your 2010 Schedule K-1 Supplemental Information - Page 1.

Line 20X1 - Your share of deferred Section 108(i) cancellation of debt (COD) income that has not been included in income in the current or prior tax years.

Passive Foreign Investment Company ("PFIC") Information – IRC Section 1295:

The Blackstone Group L.P. has made timely IRC Section 1295 Qualified Electing Fund ("QEF") elections with respect to its investments in various PFICs. Your share of the ordinary earnings and net capital gains from these PFICs is included within this Schedule K-1.

Passive Foreign Investment Company ("PFIC") Information – IRC Section 1296:

The Blackstone Group L.P. has made a timely mark-to-market election with respect to its underlying PFICs. Your distributive share of any resulting income from making this election is included in your Schedule K-1, and no further reporting by you is required.

You should consult your tax advisor to determine the proper treatment of the above items.

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION - PAGE 4

**Line 11A4 Section 1291 Gain
Passive Foreign Investment Company ("PFIC") Information - IRC Section 1291**

The gains included on Line 11A denoted as Section 1291 Gain relate to PFICs that are not considered Qualifying Electing Funds. As a result of this classification, there are additional reporting requirements upon their disposition. This gain should be reported on Form 8621, Part IV, Line 10F. The top of Form 8621 Page 1 should also be completed.

Unit holder to calculate the amount for each Section 1291 Fund required to be reported on Form 8621, Part IV, Line 10F multiply the Section 1291 Gain reported on Line 11A4 of Schedule K-1 by the Gain Percentage for each Section 1291 Fund listed below.

Section 1291 Fund	Holding Period	Gain Percentage	Section 1291 Fund	Holding Period	Gain Percentage
Canyon Value Realization Fund	11/1/2010 - 12/30/2010	0.07880%	Indus Asia Pacific Fund Ltd.	07/01/2009 - 06/30/2010	0.26159%
D.E. Shaw Composite International	12/01/2010 - 12/01/2010	1.02199%	JNV Overseas Fund Ltd.	07/31/2007 - 09/30/2010	2.40255%
DBGM Offshore Ltd.	10/01/2010 - 10/01/2010	0.00258%	Karos Catastrophe Fund Ltd.	06/01/2009 - 12/31/2010	0.00181%
Goldentree Offshore Fund Ltd.	12/01/2010 - 12/01/2010	0.16098%	King Street Capital Ltd.	04/30/2007 - 12/31/2010	0.69037%
Goldman Sachs Investment Partners Side Pocket	12/01/2010 - 12/01/2010	0.00356%	Level Radu Fund, Ltd.	12/31/2006 - 06/29/2010	0.16545%
GSO Special Situations Overseas Class S	12/01/2010 - 12/01/2010	0.00025%	Lubben Fund Ltd.	07/01/2009 - 06/30/2010	0.00944%
Healthcor Hybrid Offshore Ltd.	11/01/2010 - 11/01/2010	0.00073%	Luminus Energy Partners Ltd.	07/01/2009 - 10/01/2010	0.10064%
Healthcor Offshore Fund Ltd.	12/31/2010 - 12/31/2010	3.67228%	Manner - Treadwell Credit Strategies Ltd.	04/01/2008 - 06/30/2010	0.11321%
Karos Catastrophe Fund Ltd.	12/01/2010 - 12/01/2010	0.00453%	Manner Treadwell OS Co.	04/01/2008 - 12/31/2010	1.87619%
Oak Hill Credit Alpha Fund	12/31/2010 - 12/31/2010	1.38088%	M&P Credit Offshore Ltd.	Various - 05/02/2010	0.98538%
Old Lane Cayman HFF LP	11/01/2010 - 11/01/2010	0.31619%	Montrea Global Opportunities Fund	12/03/2007 - 07/29/2010	0.50042%
Oxam Quant Fund Ltd.	12/31/2010 - 12/31/2010	2.36026%	Nepiula Karos OS	06/01/2009 - 08/31/2010	1.35621%
Silverpoint Capital Offshore Class H-311	12/31/2010 - 12/31/2010	6.13139%	Oak Hill Credit Alpha Fund (Offshore) Ltd.	Various - 04/20/2010	1.59732%
ASM Asia Recovery Fund Ltd.	06/30/2010 - 02/01/2010	0.19823%	Outman Offshore Fund Ltd.	06/01/2007 - 07/30/2010	0.04223%
ASM Asia Recovery OS	01/29/2010 - 01/02/2007	0.04797%	One East Partners International, Ltd.	04/30/2007 - 09/30/2010	0.15891%
Bayview Opportunity Offshore LP	1-2-2007 - 12/31/2010	2.94623%	One Hill Intermediate Fund Ltd.	03/01/2008 - 12/31/2010	1.24410%
Black River Community Multi-Strategy Fund Ltd.	Various - 04/22/2010	0.03202%	OxAM Quant Fund Ltd.	11/02/2009 - 05/28/2010	0.03614%
Blenheim Global Markets Fund Ltd.	06/29/2007 - 12/31/2010	7.95448%	OZ Asia Overseas Fund, Ltd.	04/30/2007 - 11/30/2010	0.00057%
Blenheim Macro OS	07/01/2009 - 12/31/2010	0.57245%	Pennant Offshore Partners Fund Ltd.	07/01/2009 - 01/29/2010	0.02958%
Bluebird Ltd.	Various - 04/04/2010	0.15189%	Pentwater Event Ltd.	09/01/2008 - 02/28/2010	0.20908%
Brian Howard Fund Ltd.	03/03/2008 - 12/31/2010	0.38883%	Pershing Square International Ltd.	01/04/2010 - 12/31/2010	11.35584%
Brookside Cayman PA Ltd.	07/31/2007 - 06/30/2010	3.22104%	Pharo Macro Fund Ltd.	07/15/2009 - 12/31/2010	0.06225%
Cadian Fund Offshore Class A Oct 2007 BSB	07/01/2009 - 03/31/2010	0.18318%	Phibro Offshore Commodities Fund II Ltd.	02/01/2008 - 12/31/2010	0.40035%
Capula Global Relative Value Fund Ltd.	06/29/2007 - 12/31/2010	0.52617%	Rohatyn Group Global Opportunity S	06/29/2007 - 08/31/2010	0.00423%
Caspian Select OS - Class A Initial Series	08/01/2008 - 12/31/2010	1.45980%	SAC Capital International Ltd.	10/01/2007 - 03/31/2010	4.50215%
Centerbridge Credit Partners Offshore Ltd.	11/01/2007 - 12/31/2010	0.89110%	SAC Multi-Strategy Fund Ltd.	06/01/2007 - 11/30/2010	0.23177%
Cevian Capital OS	Various - 09/30/2010	0.11515%	Sansai Capital Ltd.	09/29/2007 - 03/31/2010	0.02674%
COMAC Global Macro Fund Limited	06/29/2007 - 12/31/2010	2.35220%	Sansai Capital Special Opportunity Fund Ltd.	05/01/2009 - 03/31/2010	0.01696%
D.E. Shaw Composite International Class B	01/01/2006 - 12/31/2010	1.56414%	Senator Fund OS	10/01/2009 - 01/29/2010	0.58292%
D.E. Shaw Composite International Fund	07/31/2007 - 05/04/2010	0.36013%	Silver Point OS	Various - 5/1/2010	0.04543%
Davidson Kempner International, Ltd. - Class C 01/2008	04/30/2007 - 12/31/2010	1.35231%	Spanmaker Global Strategic Fund Ltd.	06/01/2007 - 09/30/2010	0.39201%
Diamondback Offshore Fund, Ltd.	01/01/2006 - 12/31/2010	4.34507%	SRS Partners Ltd.	01/04/2010 - 07/01/2010	0.00044%
Drawbridge Global Macro Fund Ltd. - DPGM OS	Various - 04/25/2010	0.08793%	Swiftcurrent Offshore Ltd.	07/31/2007 - 03/30/2010	0.81400%
Elliot International Ltd.	10/01/2008 - 06/29/2010	2.49258%	The Canyon Value Realization Fund (Cayman) Ltd.	Various - 05/03/2010	0.64944%
Emanence Fund Ltd. SHS - A - Series 15	07/01/2009 - 12/31/2010	0.16440%	Tiger Asia Overseas Fund Ltd.	03/01/2007 - 06/30/2010	0.20234%
Farallon Capital Offshore Investors Inc. - Class A Series AR	07/02/2007 - 10/29/2010	0.02693%	Touradj Global Resources Offshore Fund Ltd.	07/01/2010 - 12/31/2010	0.14236%
Farallon Sidecar Feeder Ltd.	11/20/2006 - 12/23/2010	0.14816%	Tree Lane Asia Fund Ltd.	04/30/2007 - 06/30/2010	0.49501%
Geosphere Fund Ltd Class A Sub-Class 2 Series 07	07/01/2009 - 12/31/2010	0.26291%	Two Sigma Compass Cayman Fund	01/01/2010 - 12/01/2010	1.52230%
Glenhill Capital Overseas Partners Ltd. - A 226	07/31/2007 - 06/30/2010	0.79927%	Two Sigma Eclipse OS	07/01/2009 - 04/12/2010	0.40427%
Glenview Capital Partners (Cay Side Pocket)	01/01/2006 - 10/01/2010	0.01503%	Wayzata Recovery Ltd.	04/30/2007 - 09/30/2010	0.06116%
Goldentree Offshore Fund, Ltd.	04/30/2007 - 11/30/2010	1.03155%	WCG Offshore Fund Ltd.	10/01/2009 - 12/31/2010	0.43696%
Goldman Sachs Investment Partners Offshore LP	Various - 05/05/2010	0.05827%	Western Assets Credit Protection Fund, Ltd.	02/02/2009 - 09/16/2010	0.12971%
GSO Special Situations Overseas Fund Ltd.	04/30/2007 - 05/28/2010	0.02477%	Woodbine Capital Fund Ltd.	11/02/2008 - 12/31/2010	0.13159%
Haulanger Class PE Holdings (Cayman) Ltd.	04/30/2007 - 11/30/2010	0.20906%	Woodbourne Daybird OS	Various - 04/03/2010	0.02299%
Healthcor Hybrid Offshore Ltd.	07/01/2007 - 04/30/2010	9.66257%	Zais SerVeris OS	09/30/2008 - 07/31/2010	0.02892%
Healthcor Offshore Ltd.	Various - 04/19/2010	0.92891%	ZM Zais Matrix Wrap OS	03/03/2008 - 12/30/2010	5.83081%

You should consult your tax advisor to determine proper treatment of the above items.

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION – PAGE 5**Disclosure Pursuant to IRS Notice 2006-16, Section 3.02:**

As a result of its indirect investment in the partnerships listed below, The Blackstone Group L.P. is considered to have participated in one or more transactions described in IRS Notice 2002-35. The partnerships listed below have advised us that they will comply with their obligation to disclose this information by filing a protective Form 8886 (Reportable Transaction Disclosure Statement).

In accordance with IRS Notice 2006-16, you should not be required to file a disclosure statement provided your only exposure to these investments arises from your interest in The Blackstone Group L.P.

<u>Partnership Name:</u>	<u>Tax ID#</u>
Ore Hill Concentrated Credit Hub Fund Ltd.	98-0544393
Tiger Asia Fund L.P.	13-4143959
Lone Cypress Ltd.	98-0184601
Lone Kauri Ltd.	98-0371241
Tudor Futures Fund	22-2550389
Caspian Select Credit Master Fund L.P.	98-0557242
Blackstone Capital Fund Ltd	98-0451309
Blackstone Capital Partners IV L.P.	13-4195762
Blackstone Capital Partners IV-A L.P.	06-1641813
Blackstone Family Investment Partners IV-A L.P.	13-4186504
Blackstone FC Capital Partners IV L.P.	20-1081818
Blackstone FC Capital Partners IV-A L.P.	20-1081845
Blackstone FC Communications Partners L.P.	20-1081866
Blackstone Communications FCC LLC	26-1259811
Blackstone Family FCC LLC	26-1259266

Protective Disclosure Statements:

Further to the above, The Blackstone Group L.P. through its indirect investment in various underlying partnerships has been provided protective disclosure information related to IRC Section 165 losses exceeding a \$2,000,000 threshold, if any, and/or IRC Section 988 losses exceeding a \$50,000 threshold, if any. The Blackstone Group L.P. will file IRS Form 8886 reporting the foregoing protective disclosures. If you believe that you have an IRC Section 165 loss or an IRC Section 988 loss exceeding these thresholds, the additional information required to file Form 8886 can be obtained by contacting Tax Package Support.

Contributions to Various Foreign Corporations:

The Blackstone Group L.P. indirectly owns interests in various foreign corporations. Pursuant to IRC Section 6038B, a contribution of property or cash by The Blackstone Group L.P. to a foreign corporation is deemed to be made by its underlying partners. As a result, if you are a U.S. domestic partner and are deemed to have contributed \$100,000 or more to a foreign corporation you may be required to include IRS Form 926 with your tax return. If you believe you have a filing requirement the additional information needed to complete the Form can be obtained by contacting Tax Package Support.

You should consult your tax advisor to determine the proper treatment of the above items.

Year Ended December 31, 2010

2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION – PAGE 6**Information for State Filing Purposes**

The Blackstone Group L P may receive state sourced income from its investment portfolio. You may be required to file an income tax return with some of those states. Each of the states is identified below by its two-letter postal designation with YC identifying New York City. For each state we have reported the state sourced income by type in columns 1 through 4 below. Column 1 relates to Line 1 of the Schedule K-1. Column 2 relates to Line 2 of the Schedule K-1. Column 3 relates to Line 10 and Column 4 relates to Line 11 of the Schedule K-1. Differences between state and federal amounts are due to rounding and variations between federal and state income tax laws.

Generally all states where The Blackstone Group L P receives income are shown even though not all states impose an income tax.

The Blackstone Group L P has not attempted to determine for each unitholder whether resident or nonresident state tax returns should be filed. We recommend that you consult your personal tax advisor on this matter.

If you need the state business apportionment factors, this information can be obtained by contacting Tax Package Support.

State	(1) Ordinary Business Income (Loss) Line 1	(2) Net Rental Real Estate Income (Loss) Line 2	(3) Net Section 1231 Gain (Loss) Line 10	(4) Other Income/(Loss) Line 11
CA	0	4	-3	0
MA	0	3	0	0
NY	0	1	0	0

2010 OWNERSHIP SCHEDULE**TRANSACTION****BROKER OR
CERTIFICATE NUMBER****UNITS****DESCRIPTION****DATE**

This Schedule contains a summary of your unit ownership history in The Blackstone Group L.P. from your original acquisition of Blackstone units to December 31, 2010.

This information came directly from the transfer agent's records if you are a registered holder. Ownership information for street name owners (units held in a brokerage or nominee account) was provided from your broker's or nominee's records.

Please note that this schedule is not proof of ownership.

If your records do not agree with the information reported on this Ownership Schedule, then the amounts reported on the following schedules may not be correct. In such an event, please make the necessary corrections directly onto this schedule, including any necessary explanations. Sign where indicated, enter daytime phone number, and mail to:

The Blackstone Group L.P.
Tax Package Support
P.O. Box 799060
Dallas, TX 75379-9060

We will send you corrected tax information if warranted by the nature of the corrections. If your Schedule K-1 is changed, you may need to file a Form 8082-Notice of Inconsistent Treatment or Administrative Adjustment Request with your tax return.

If you have any questions, please call our Tax Package support service at 1-866-709-8107, or visit the 2010 Tax Reporting site established for The Blackstone Group L.P. Unitholders by accessing www.Blackstone.com.

**FEDERAL INCOME TAX FORMS AND
REQUIRED STATEMENTS**

The schedules included in this Individualized Income Tax Reporting Package contain references to the appropriate federal forms to be used in preparing your 2010 Federal Individual Income tax return. You should obtain the following federal income tax forms before beginning the preparation of your return. You may find, however, that some of these forms may not be required for your particular situation.

Form 1040 Schedule B - Interest and Ordinary Dividends

Form 1040 Schedule D - Capital Gains and Losses

Form 1040 Schedule E - Supplemental Income and Loss

Form 4797 Sales of Business Property

Form 4368 Application for Automatic Extension of Time To File U.S. Individual Income Tax Return

Form 4952 Investment Interest Expense Deduction

Form 6251 Alternative Minimum Tax - Individuals

Form 8082 Notice of Inconsistent Treatment or Administrative Adjustment Request (AAR)

Form 8621 Return by a Shareholder of a Passive Foreign Investment Company or a Qualified Electing Fund

AC BUY	4/21/2008	BROKER-221	1,224 0000
AC BUY	4/22/2008	BROKER-221	940 0000

The information reported on this schedule, as corrected, accurately and completely presents my ownership history through December 31, 2010. By signing this schedule, I authorize the Partnership to make the corrections as indicated above to my Schedule K-1.

Signed _____

Daytime Phone Number _____

2,164 0000

2010 INCOME TAX REPORTING PACKAGE FREQUENTLY ASKED QUESTIONS

INTRODUCTION

Any advice included in this written communication is not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency

IMPORTANT: The amount of your taxable income will not correspond to the amount of cash distributed to you during the year.

If you have questions about the information reported, please contact our Tax Package Support at 866-709-8107 Monday through Friday, 8 AM to 5 PM, Central Time.

The Blackstone Group L P ("BX") is a publicly traded partnership. We are generally not subject to federal or state income tax. Instead, each partner or unitholder is required to report an allocable share of our items of income, gain, loss, deduction or tax credit in the unitholder's income tax return. We have provided a Schedule K-1 to assist you in reporting your allocable share of partnership items in your federal income tax return. If you have any questions regarding how this information should be reported in your return, please contact your tax advisor.

IMPORTANT NOTICE

The enclosed information is provided for your general guidance. This information is not intended to be tax advice. The tax information discussed in this booklet and reflected on the schedules provided to you is based on existing federal and state laws and regulations. Before undertaking any tax filing, we suggest you consult your tax advisor.

FREQUENTLY ASKED QUESTIONS AND ANSWERS

Q Why do I receive a Schedule K-1 rather than a Form 1099?

A Form 1099 is generally used to report interest and dividend income. Partnerships are required to file a Schedule K-1, which separately reports many different items of income, gain, loss, deduction and credit.

Q Are the cash distributions I received from BX taxable?

A You are required to report in your tax return only those items of income, gain, loss, deduction or tax credit as reflected on your Schedule K-1. In general, cash distributions received from us are not taxable. However, if you receive a cash distribution that exceeds your tax basis, you must recognize the excess as additional income. You should consult your tax advisor for proper reporting of such income.

Q Why is the amount of cash I received different than the amount of income I must report on my income tax return?

A A portion of cash you receive is a return of capital. The amount you are required to include in your income tax return is your share of our income and related items, allocated based on the number of units you owned during 2010 and reported on your Schedule K-1.

Q If I sell my BX units, how is my tax basis determined for computing gain or loss?

A Generally, your tax basis is the amount you paid for the units (including commissions), adjusted as follows:

- Increased by the cumulative amount of income and gain reported to you on Schedule K-1,
 - Reduced by the cumulative amount of loss, deduction and credit reported to you on the Schedule K-1 and
 - Reduced, but not below zero, by the cumulative amount of cash distributions you received from us.
- If you sold units in 2010, please see the Sales Schedule included with your tax package for assistance with these calculations.

Q Does the Schedule K-1 show my tax basis in the units I own?

A No. However, the Ending Capital Account amount under Partner's Capital Account Analysis in the Schedule K-1 may provide an approximation of your ending tax basis for all units owned at December 31. The Ending Capital Account amount includes your original cost of units, as reported to us by your broker, and other adjustments affecting tax basis. However, brokers do not always report original cost to us, or the original cost reported may be incorrect. When brokers do not report original cost to us, an average price for the month in which you purchased units is assumed to be the cost. This assumption, or incorrect reporting by the broker, can cause this Ending Capital Account amount to be different than your actual tax basis at December 31.

Q What is Unrelated Business Taxable Income (UBTI)?

A UBTI is relevant for a tax-exempt organization (including IRAs, Keogh and other qualified retirement plans). It generally represents the distributive share of gross income and allowable deductions of a publicly traded partnership which is considered to be unrelated to the regular activities of the tax-exempt organization and therefore includable in taxable income. UBTI may be offset by a \$1,000 annual deduction.

Q What federal tax return is used to report UBTI?

A Tax-exempt organizations (including IRAs, Keogh and other qualified retirement plans) are required to file Form 990-T if they have gross income from an unrelated trade or business of \$1,000 or more. Gross income is gross revenue minus the cost of goods sold. See 2010 SCHEDULE K-1 SUPPLEMENTAL INFORMATION for your share of BX's unrelated business taxable income.

Q Is BX subject to the Tax Shelter Regulations?

A Yes. BX is subject to the rules regarding reportable transactions under the Tax Shelter Regulations. Unitholders should consult their tax advisors concerning any possible disclosure obligation with respect to the disposition of their interests in BX.

Q If I am a non U.S. unitholder am I required to file a U.S. income tax return?

A Yes. Non U.S. unitholders are generally required to file a U.S. income tax return reporting their allocable share of Effectively Connected Income. You should consult your tax advisor for additional guidance on this issue.

Q. What if I received a Form 1042-S, Foreign Person's U.S. Source Income Subject to Withholding?

A You may have received Form 1042-S reporting U.S. tax withheld on certain U.S. source income that was included on your Schedule K-1. You should consult your tax advisor for additional guidance on this issue.

Q Do I have to file any elections under the Passive Foreign Investment Company ("PFIC") rules?

A Generally, no. BX, where appropriate, has made a timely IRC Section 1295 Qualified Electing Fund election with respect to its investments in PFICs. Also, where appropriate, BX has made a timely mark-to-market election with respect to its underlying PFICs. In accordance with recent IRS guidelines, information associated with making these elections has not been provided to our unitholders. You should consult your tax advisor for additional guidance on this issue.

Q What should I do if the information on my Ownership Schedule is incorrect?

A Corrections may be made in one of several ways. You may write corrections directly on to the Ownership Schedule and return it to Tax Package Support at The Blackstone Group L.P. Attention: Tax Package Support, P.O. Box 799060, Dallas, TX 75379-9060. In addition, you may call our Tax Package Support at (866) 709-8107 or submit corrections via our website at www.blackstone.com. After receiving the corrections, we will update our records and if warranted send you corrected tax information. If your Schedule K-1 is changed, you may need to file IRS Form 8082, Notice of Inconsistent Treatment or Administrative Adjustment Request. You should consult your Tax Advisor for additional guidance on this issue.

Q Am I required to file tax returns for the states in which BX has operations or receives income?

A Unitholders may be required to file tax returns in the states in which BX conducts business, owns property interests or receives income. You should consult your tax advisor for additional guidance on this issue.

IMPORTANT NOTICE: The information in the enclosed tax package is provided by the Partnership to assist you in preparing your personal tax return. The information reflects the application of various assumptions and conventions, as disclosed by the Partnership to you in various SEC filings and other offering documents. It is anticipated that the Partnership may provide disclosures of certain of these assumptions and conventions in the preparation of the Partnership return as warranted to the Internal Revenue Service and/or other taxing authorities. We suggest that you refer to the appropriate federal and state income tax laws, instructions, and SEC filings and other offering documents, and that you consult your tax advisor with any questions. You should discuss with your tax advisor whether the treatment of any items in the enclosed tax package may subject you and/or your tax advisor to a penalty imposed by a taxing authority and the need to adequately disclose any items in order to avoid such penalty.