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Form **990-PF**  
Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>R T VANDERBILT TRUST</b>		A Employer identification number <b>06-6040981</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1525 WEST WT HARRIS BLVD</b>		B Telephone number (see page 10 of the instructions) <b>(336) 747-8186</b>
City or town, state, and ZIP code <b>CHARLOTTE, NC 28288-1114</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>8,808,587.</b> J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))				
	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	221,816.	221,816.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	220,055.			
b Gross sales price for all assets on line 6a	1,706,023.			
7 Capital gain net income (from Part IV, line 2)		220,055.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	8,374.			STMT 4
12 Total. Add lines 1 through 11	450,245.	441,871.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	61,054.	61,054.		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	3,407.	2,507.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 7	10,623.			10,623.
24 Total operating and administrative expenses. Add lines 13 through 23	75,084.	63,561.	NONE	10,623.
25 Contributions, gifts, grants paid	508,900.			508,900.
26 Total expenses and disbursements. Add lines 24 and 25	583,984.	63,561.	NONE	519,523.
27 Subtract line 26 from line 12	-133,739.			
a Excess of revenue over expenses and disbursements		378,310.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .	NONE			
	2	Savings and temporary cash investments . . . . .	105,497.	80,520.	80,520.	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE	NONE		
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U.S. and state government obligations (attach schedule) . . . . .	NONE			
	b	Investments - corporate stock (attach schedule) . STMT 8 . . . . .	NONE	1,991,212.	2,973,372.	
	c	Investments - corporate bonds (attach schedule) . STMT 10 . . . . .	NONE	4,151,395.	4,690,598.	
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶	NONE			
	12	Investments - mortgage loans . . . . .	NONE			
	13	Investments - other (attach schedule) . . . . . STMT 11 . . . . .	6,515,381.	285,962.	1,064,097.	
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶) . . . . .	NONE				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	6,620,878.	6,509,089.	8,808,587.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .	6,620,878.	6,509,089.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	NONE			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	6,620,878.	6,509,089.		
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	6,620,878.	6,509,089.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	6,620,878.
2	Enter amount from Part I, line 27a . . . . .	2	-133,739.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 12 . . . . .	3	21,950.
4	Add lines 1, 2, and 3 . . . . .	4	6,509,089.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	6,509,089.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV DETAIL					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	220,055.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	398,465.	6,544,339.	0.06088697422
2008	499,346.	8,902,778.	0.05608878487
2007	460,161.	10,177,982.	0.04521141814
2006	408,091.	8,671,466.	0.04706136194
2005	455,602.	8,487,005.	0.05368230607
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.26293084524
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.05258616905
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .			<b>4</b> 8,560,484.
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 450,163.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 3,783.
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 453,946.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			<b>8</b> 519,523

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of ruling letter if necessary - see instructions)		1	3,783.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	3,783.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,783.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	2,772.	
b Exempt foreign organizations-tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,123.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,895.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	112.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax	11	112. Refunded	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) STMT 13		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>WELLS FARGO BANK, NA</u> Telephone no <u>(336) 747-8186</u> Located at <u>1W 4TH STREET, WINSTON SALEM, NC</u> ZIP + 4 <u>27101</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <u></u>				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here . . . . .		<input type="checkbox"/>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions.) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

X

6b

X

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,488,090.
b	Average of monthly cash balances	1b	202,757.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	8,690,847.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	8,690,847.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	130,363.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,560,484.
6	Minimum investment return. Enter 5% of line 5	6	428,024.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	428,024.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,783.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,783.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	424,241.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	424,241.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	424,241.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	519,523.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	519,523.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	3,783.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	515,740.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				424,241.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only . . . . .			506,879.	
b Total for prior years 20 <u>08</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
3 Excess distributions carryover, if any, to 2010:				
a From 2005 . . . . .	NONE			
b From 2006 . . . . .	NONE			
c From 2007 . . . . .	NONE			
d From 2008 . . . . .	NONE			
e From 2009 . . . . .	NONE			
f Total of lines 3a through e . . . . .	NONE			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>519,523.</u>				
a Applied to 2009, but not more than line 2a . . . . .			506,879.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
d Applied to 2010 distributable amount . . . . .				12,644.
e Remaining amount distributed out of corpus . . . . .	NONE			
5 Excess distributions carryover applied to 2010 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				411,597.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
10 Analysis of line 9.				
a Excess from 2006 . . . . .	NONE			
b Excess from 2007 . . . . .	NONE			
c Excess from 2008 . . . . .	NONE			
d Excess from 2009 . . . . .	NONE			
e Excess from 2010 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE STATEMENT 16				
<b>Total</b> . . . . .			▶ <b>3a</b>	508,900.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

## Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
---------------	--

[illegible]


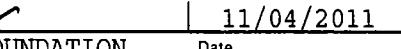
## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1)	Cash . . . . .	<b>1a(1)</b>		X
(2)	Other assets . . . . .	<b>1a(2)</b>		X
<b>b</b>	Other transactions.			
(1)	Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>		X
(2)	Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>		X
(3)	Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>		X
(4)	Reimbursement arrangements . . . . .	<b>1b(4)</b>		X
(5)	Loans or loan guarantees . . . . .	<b>1b(5)</b>		X
(6)	Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>		X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	<b>1c</b>		X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee <b>VANDERBILT FOUNDATION</b>		Date <b>11/04/2011</b>		Title <b>TRUSTEE</b>
Paid Preparer Use Only	Print/Type preparer's name <b>KAREN D. JILES</b>		Preparer's signature 		Date <b>11/04/2011</b>
			Check <input type="checkbox"/> if self-employed		PTIN <b>P01042979</b>
	Firm's name <b>WELLS FARGO BANK, N.A.</b>				Firm's EIN <b>94-3080771</b>
	Firm's address <b>1525 W W.T. HARRIS BLVD. D1114-044</b> <b>CHARLOTTE, NC 28288-1161</b>				Phone no. <b>704-590-8610</b>

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					50,539.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					26,104.	
207,500.00		23340.832 PIMCO FDS PAC INVT MGMT SER HI PROPERTY TYPE: SECURITIES 170,984.00					06/16/2009 36,516.00	01/29/2010
23,798.00		440. ANADARKO PETE CORP COM W/RIGHTS ATT PROPERTY TYPE: SECURITIES 10,360.00					05/14/2003 13,438.00	05/20/2010
29,437.00		1145. ARCHER DANIELS MIDLAND CO COM PROPERTY TYPE: SECURITIES 31,363.00					05/29/2009 -1,926.00	05/20/2010
34,443.00		825. BAXTER INTL INC COM W/RIGHTS ATTACH PROPERTY TYPE: SECURITIES 56,966.00					08/19/2008 -22,523.00	05/20/2010
10,005.00		750. DISCOVER FINANCIAL SERVICES PROPERTY TYPE: SECURITIES 12,282.00					09/22/2004 -2,277.00	05/20/2010
29,837.00		750. EXELON CORP COM PROPERTY TYPE: SECURITIES 37,591.00					11/14/2005 -7,754.00	05/20/2010
41,390.00		300. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 49,806.00					12/11/2009 -8,416.00	05/20/2010
13,192.00		205. PEPSICO INC COM PROPERTY TYPE: SECURITIES 9,154.00					12/04/2000 4,038.00	05/20/2010
40,040.00		2600. PFIZER INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 47,918.00					12/11/2009 -7,878.00	05/20/2010
200,000.00		19065.777 PIMCO FDS PAC INVT MGMT SER LO PROPERTY TYPE: SECURITIES 194,097.00					12/09/2009 5,903.00	07/07/2010

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
56,063.00		1600. AGILENT TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 47,520.00				12/11/2009 8,543.00	11/16/2010
43,136.00		800. AMGEN INC COM W/RTS ATTACHED EXP 03 PROPERTY TYPE: SECURITIES 45,661.00				05/03/2004 -2,525.00	11/16/2010
26,116.00		1150. CA INC PROPERTY TYPE: SECURITIES 22,908.00				05/20/2010 3,208.00	11/16/2010
52,415.00		500. FLOWERVE CORP COM PROPERTY TYPE: SECURITIES 48,015.00				12/11/2009 4,400.00	11/16/2010
58,558.00		1550. VIACOM INC NEW PROPERTY TYPE: SECURITIES 48,159.00				12/11/2009 10,399.00	11/16/2010
45,217.00		1250. NOBLE CORPORATION PROPERTY TYPE: SECURITIES 50,500.00				12/11/2009 -5,283.00	11/16/2010
200,000.00		14461.316 ARTIO TOTAL RETURN BD FD CL I PROPERTY TYPE: SECURITIES 188,720.00				08/22/2006 11,280.00	12/09/2010
300,000.00		31479.538 PIMCO TOTAL RETURN III-INSTL # PROPERTY TYPE: SECURITIES 286,807.00				06/16/2009 13,193.00	12/09/2010
100,000.00		10493.179 PIMCO TOTAL RETURN III-INSTL # PROPERTY TYPE: SECURITIES 95,383.00				04/12/2006 4,617.00	12/09/2010
118,233.00		108. RT VANDERBILT 9525000757 PROPERTY TYPE: SECURITIES 31,774.00				08/15/1951 86,459.00	12/31/2010



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN(LOSS) .....							----- 220,055. =====	

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
AFLAC INC COM	1,140.	1,140.
AMERICAN EXPRESS CO COM	324.	324.
AMERIPRISE FINANCIAL INC	306.	306.
ANADARKO PETE CORP COM W/RIGHTS ATTACHED	40.	40.
AON CORP COM	351.	351.
ARCHER DANIELS MIDLAND CO COM	344.	344.
ARTIO TOTAL RETURN BD FD CL I #1524	15,963.	15,963.
BAXTER INTL INC COM W/RIGHTS ATTACHED EX	479.	479.
BECTON DICKINSON & CO COM	244.	244.
BRISTOL-MYERS SQUIBB CO COM	2,234.	2,234.
CA INC	92.	92.
CARDINAL HLTH INC COM	410.	410.
CENTURYTEL INC COM	4,330.	4,330.
CHEVRONTXACO CORP COM	1,534.	1,534.
CHUBB CORP COM	1,657.	1,657.
COLGATE-PALMOLIVE CO COM	1,421.	1,421.
CREDIT SUISSE COMM RT ST-CO #2156	11,424.	11,424.
DEVON ENERGY CORP NEW COM	333.	333.
DISCOVER FINANCIAL SERVICES	30.	30.
DREYFUS/THE BOSTON CA S/C - I #6944	1,816.	1,816.
EVERGREEN INTERNATIONAL GROWTH FUND CL I	3,732.	3,732.
EVERGREEN INST MONEY MARKET FUND CL I (F	5.	5.
EXELON CORP COM	788.	788.
FLOWERVE CORP COM	570.	570.
GAP INC COM	570.	570.
GENERAL MILLS INC COM W/RTS EXP 02/01/20	1,197.	1,197.
GOLDMAN SACHS GRP INC COM	105.	105.
HEWLETT-PACKARD CO COM	1,080.	1,080.
ING REAL ESTATE FUND CL-I #2190	5,248.	5,248.
INTEL CORP COM	1,260.	1,260.
INTL BUSINESS MACHINES CORP COM	3,250.	3,250.
JP MORGAN CHASE & CO COM	248.	248.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
 =====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
JOHNSON & JOHNSON COM	4,220.	4,220.
KELLOGG CO COM	2,090.	2,090.
LAUDUS MONDRIAN INTL FI-INST #2940	3,983.	3,983.
MCDONALDS CORP COM	904.	904.
MICROSOFT CORP COM	1,430.	1,430.
NIKE INC CL B COM	3,166.	3,166.
OCCIDENTAL PETE CORP COM	724.	724.
PIMCO FDS PAC INVT MGMT SER LOW DURATION	11,814.	11,814.
PIMCO FDS PAC INVT MGMT SER HIGH YLD FD	18,122.	18,122.
PIMCO TOTAL RETURN III-INSTL #105	24,428.	24,428.
PIMCO EMERG MKTS BD-INST #137	4,888.	4,888.
PNC FINL SVCS GROUP INC COM W/RIGHTS ATT	75.	75.
PEPSICO INC COM	185.	185.
PFIZER INC COM W/RTS ATTACHED EXP 10/15/	936.	936.
PRAXAIR INC COM	1,260.	1,260.
PROCTER & GAMBLE CO COM	3,017.	3,017.
ROSS STORES INC COM	624.	624.
SSGA EMERGING MARKETS FD S #1510	11,384.	11,384.
SEALED AIR CORP NEW COM	418.	418.
STATE STR CORP COM	42.	42.
TEXAS INSTRS INC COM	882.	882.
3M CO COM	1,260.	1,260.
UNITED TECHNOLOGIES CORP COM	3,740.	3,740.
UNITEDHEALTH GRP INC COM	100.	100.
UNUMPROVIDENT CORP COM	199.	199.
VANGUARD BD INDEX FD INC SHORT TERM BOND	1,926.	1,926.
VANGUARD S/T BD IND SIGNAL #1349	310.	310.
VANGUARD INFLAT-PROT SECS-ADM #5119	1,805.	1,805.
VANGUARD INFLAT PROTECT SEC FD #119	1,524.	1,524.
VIACOM INC NEW	465.	465.
WELLS FARGO ADV S/T H/Y-ADM #3769	1,354.	1,354.
WELLS FARGO ADV INTL EQ FD INS #4117	1,399.	1,399.
WELLS FARGO ADV S/T H/Y-ADV #3017	8,568.	8,568.
DML890 5892 11/04/2011 11:15:37		

R T VANDERBILT TRUST

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
WELLS FARGO ULT ST INC-INS	454.	454.
ACE LIMITED	1,088.	1,088.
NOBLE CORPORATION	1,109.	1,109.
RT VANDERBILT COMPANY, INC.	38,772.	38,772.
EVERGREEN INST MMKT I CL #495	452.	452.
WF ADV HERITAGE MM FD-INSTL #3106	174.	174.
-----	-----	-----
TOTAL	221,816.	221,816.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
US REFUND	8,374.
	-----
TOTALS	8,374.
	=====

R T VANDERBILT TRUST

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FORM 990PF, PART I - OTHER PROFESSIONAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
CUSTODIAN & MANAGEMENT FEES (A INVESTMENT MGMT FEES-SUBJECT T	54,269. 6,785.	54,269. 6,785.
TOTALS	61,054.	61,054.
	=====	=====

R T VANDERBILT TRUST

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FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL ESTIMATES - PRINCIPAL	900.	
FOREIGN TAXES ON QUALIFIED FOR	1,988.	1,988.
FOREIGN TAXES ON NONQUALIFIED	519.	519.
	-----	-----
TOTALS	3,407.	2,507.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART I - OTHER EXPENSES

=====

REVENUE  
AND  
EXPENSES  
PER BOOKS  
-----

CHARITABLE  
PURPOSES  
-----

DESCRIPTION  
-----

GRANT ADMIN FEE

10,623.

10,623.

TOTALS

10,623.

10,623.



R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
364760108 GAP INC	26,136.	32,767.
580135101 MCDONALDS CORP	24,692.	30,704.
654106103 NIKE INC	51,380.	187,924.
778296103 ROSS STORES	37,060.	61,669.
194162103 COLGATE PALMOLIVE	45,661.	56,259.
370334104 GENERAL MILLS	37,341.	40,573.
487836108 KELLOGG CO	57,620.	68,447.
742718109 PROCTOR & GAMBLE	71,121.	102,928.
166764100 CHEVRON CORP	35,708.	49,275.
171798101 CIMAREX ENERGY	51,647.	57,545.
25179M103 DEVON ENERGY CORP	12,038.	40,825.
30231G102 EXXON MOBIL CORP	51,614.	54,840.
674599105 OCCIDENTAL PETE CORP	33,884.	50,031.
001055102 AFLAC INC	46,470.	56,430.
025816109 AMERICAN EXPRESS	35,667.	38,628.
03076C106 AMERIPRISE FINL	33,390.	48,918.
037389103 AON CORP	20,779.	26,916.
171232101 CHUBB CORP	44,573.	67,691.
46625H100 JPMORGAN CHASE & CO	45,285.	52,601.
693475105 PNC FINANCIAL SVC	23,168.	22,770.
857477103 STATE STREET CORP	48,456.	48,889.
91529Y106 UNUM GROUP	23,257.	26,037.
110122108 BRISTOL MYERS SQUIBB	37,535.	46,208.
14149Y108 CARDINAL HEALTH INC	35,102.	40,226.
478160104 JOHNSON & JOHNSON	24,881.	123,700.
58405U102 MEDCO HEALTH SOLUTION	50,099.	52,080.
91324P102 UNITEDHEALTH GROUP	27,968.	28,888.
94973V107 WELLPOINT INC	15,942.	25,018.
369604103 GENERAL ELECTRIC	47,430.	54,870.

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
502424104 L-3 COMMUNICATIONS	52,451.	52,868.
913017109 UNITED TECHNOLOGIES	82,760.	173,184.
88579Y101 3M CO	48,888.	51,780.
17275R102 CISCO SYSTEMS INC	23,186.	19,724.
428236103 HEWLETT PACKARD	55,902.	113,670.
458140100 INTEL CORP	27,637.	42,060.
459200101 INTERNATIONAL BUS	98,891.	190,788.
594918104 MICROSOFT CORP	67,103.	72,566.
882508104 TEXAS INSTRUMENTS	46,260.	58,500.
74005P104 PRAXAIR INC	34,438.	66,829.
81211K100 SEALED AIR CORP	23,182.	27,995.
156700106 CENTURLINK INC	45,231.	68,932.
H0023R105 ACE LIMITED	47,379.	52,913.
22544R305 CREDIT SUISSE COMMOD	121,000.	160,076.
44981V706 ING RE FUNDING	121,000.	227,830.
	-----	-----
TOTALS	1,991,212.	2,973,372.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - CORPORATE BONDS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
04315J209 ARTIO TOTAL RTN BD	211,280.	217,108.
51855Q655 LAUDUS MONDRAIN	279,024.	302,995.
693390841 PIMCO HIGH YIELD	155,016.	218,102.
693390304 PIMCO LOW DURATION	472,501.	489,415.
693390866 PIMCO TOTAL RTN FD	417,810.	439,873.
922031737 VANGUARD INFLATION	125,000.	134,791.
921937850 VANGUARD SHORT TERM	100,000.	102,427.
94975P843 WELLS FARGO ADVTG	207,500.	210,301.
949917744 WELLS FARGO ADVTG	450,000.	450,000.
693391559 PIMCO EMERGING MKT	200,000.	206,512.
26203E836 DREYFUS/THE BOSTON	100,000.	159,704.
26203E851 DREYFUS/THE BOSTON	466,000.	401,346.
38142Y401 GOLDMAN SACHS GRWTH	276,000.	414,346.
784924425 SSGA EMERGING MKTS	382,768.	504,066.
94984B348 WELLS FARGO ADVTG	308,496.	439,612.
	-----	-----
TOTALS	4,151,395.	4,690,598.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
RT VANDERBILT SHARES	C	285,962.	1,064,097.
		-----	-----
TOTALS		285,962.	1,064,097.
		=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

ADJUST FOR CHANGE OF RECORD KEEPING FROM BOOK TO COST.

21,950.

TOTAL

-----  
21,950.  
=====

R T VANDERBILT TRUST

06-6040981

-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

CT

STATEMENT 13

XD576 2 000

DML890 5892 11/04/2011 11:15:37

41 -

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT  
=====

NAME:

See attached

STATEMENT 14

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

R. T. Vanderbilt

ADDRESS:

30 Winfield St.,  
Norwalk, CT

TITLE:

Co-Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

P. Vanderbilt

ADDRESS:

30 Winfield St.,  
Norwalk, CT

TITLE:

Co-Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

STATEMENT 15



R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:

See Attached

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL PURPOSES

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITIES

AMOUNT OF GRANT PAID ..... 508,900.

TOTAL GRANTS PAID:

508,900.

=====

STATEMENT 16

149 BENEFICIARY DISTRIBUTIONS  
SEE ATTACHED - 99-9999999

04/05/10	CHARITABLE CONTRIBUTION FIRST PRESBYTERIAN CHURCH NURSERY SC HOOO CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: FIRST PRESBYTERIAN CHURCH NURSERY SCHOOL TR TRAN#-000000000000006 TR CD=755 REG=0 PORT=INC	-1000.00	-1000.00	1004000010 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CAMPING AND EDUCATION FOUNDATION TR TRAN#-EA032356000001 TR CD=078 REG=0 PORT=PRIN	-5000.00	-5000.00	1011000027 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: PORT WILLIAMS FOUNDATION TR TRAN#-EA032374000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1011000028 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: PORT WILLIAMS FOUNDATION TR TRAN#-EA032380000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1011000029 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ST. LUKE'S CHURCH TR TRAN#-EA032383000001 TR CD=078 REG=0 PORT=PRIN	-3000.00	-3000.00	1011000030 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: WAYNFLETE SCHOOL ANNUAL FUND TR TRAN#-EA032390000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1011000031 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: UNIVERSITY OF NOTRE DAME ANNUAL FUND TR TRAN#-EA032392000001 TR CD=078 REG=0 PORT=PRIN	-2000.00	-2000.00	1011000032 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999	-1500.00	-1500.00	1011000033 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-999999 (CONTINUED)				
	PAID TO: WAYSIDE EVENING SOUP KITCHEN TR TRAN#-EA032397000001 TR CD=078 REG=0 PORT=PRIN				
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: W. G. MALLET SCHOOL TR TRAN#-EA032399000001 TR CD=078 REG=0 PORT=PRIN	-4000.00		-4000.00 1011000034 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: BROWN UNIVERSITY ANNUAL FUND TR TRAN#-EA032401000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1011000035 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MAINE MEDICAL CENTER TR TRAN#-EA032404000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1011000036 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MAINE AUDUBON SOCIETY TR TRAN#-EA032406000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1011000037 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: HOSPICE OF SOUTHERN MAINE TR TRAN#-EA032408000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1011000038 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CAPE ELIZABETH LAND TRUST TR TRAN#-EA032413000001 TR CD=078 REG=0 PORT=PRIN	-500.00		-500.00 1011000039 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: UNIVERSITY OF MAINE AT FARMINGTON TR TRAN#-EA032416000001 TR CD=078 REG=0 PORT=PRIN	-500.00		-500.00 1011000040 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: SEA EDUCATION ASSOCIATION ANNUAL FUN TR TRAN#-EA032417000001 TR CD=078 REG=0 PORT=PRIN	-500.00		-500.00 1011000041 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
11/17/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: NEWTON HISTORICAL SOCIETY TR TRAN#-EA032420000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1011000042 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: PLANNED PARENTHOOD INT'L ASSISTANCE TR TRAN#-EA006203000001 TR CD=078 REG=0 PORT=PRIN	-25000.00		-25000.00 1012000006 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999	-17400.00		-17400.00 1012000007 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
	PAID TO: PLANNED PARENTHOOD WORLD POPULATION TR TRAN#-EA006204000001 TR CD=078 REG=0 PORT=PRIN				
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: YALE UNIVERSITY, ANNUAL GIVING TR TRAN#-EA006206000001 TR CD=078 REG=0 PORT=PRIN	-10000.00		-10000.00 1012000008 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CHOATE ROSEMARY HALL TR TRAN#-EA006208000001 TR CD=078 REG=0 PORT=PRIN	-2500.00		-2500.00 1012000009 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: THE BERKSHIRE SCHOOL TR TRAN#-EA006209000001 TR CD=078 REG=0 PORT=PRIN	-2500.00		-2500.00 1012000010 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: TRUSTEES OF SMITH COLLEGE TR TRAN#-EA006212000001 TR CD=078 REG=0 PORT=PRIN	-10000.00		-10000.00 1012000011 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CONNECTICUT AUDUBON SOCIETY TR TRAN#-EA006214000001 TR CD=078 REG=0 PORT=PRIN	-50000.00		-50000.00 1012000012 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CONNECTICUT AUDUBON SOCIETY TR TRAN#-EA006218000001 TR CD=078 REG=0 PORT=PRIN	-50000.00		-50000.00 1012000013 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CENTRAL ASIA INSTITUTE TR TRAN#-EA006221000001 TR CD=078 REG=0 PORT=PRIN	-20000.00		-20000.00 1012000014 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: AMERICAN FRIENDS OF WIGMORE HALL TR TRAN#-EA006225000001 TR CD=078 REG=0 PORT=PRIN	-20000.00		-20000.00 1012000015 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: NATURAL RESOURCE DEFENSE COUNCIL TR TRAN#-EA006227000001 TR CD=078 REG=0 PORT=PRIN	-20000.00		-20000.00 1012000016 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/02/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: FORDERVEREIN PAUL GUENTHER-SCHULE TR TRAN#-EA006229000001 TR CD=078 REG=0 PORT=PRIN	-25000.00		-25000.00 1012000017 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/06/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999	-25000.00		-25000.00 1012000026 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	

TAX  
CODE/DATE TRANSACTIONS

TAXABLE

INCOME

PRINCIPAL

EDIT  
NUMBER149 BENEFICIARY DISTRIBUTIONS (CONTINUED)  
SEE ATTACHED - 99-9999999 (CONTINUED)

## PAID TO:

PARENTHOOD FEDERATION OF AMERICA

TR TRAN# SX000052000001 TR CD=078 REG=0 PORT=PRIN

12/06/10 CASH DISBURSEMENT -17400.00 -17400.00 1012000027  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

PLANNED PARENTHOOD FEDERATION  
OF AMERICA

TR TRAN# SX000052000002 TR CD=078 REG=0 PORT=PRIN

12/06/10 CASH DISBURSEMENT -25000.00 -25000.00 1012000028  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

PLANNED PARENTHOOD FEDERATION  
OF AMERICA

TR TRAN# SX000052000003 TR CD=078 REG=0 PORT=PRIN

12/06/10 REVERSAL - NEGATES PREVIOUS ENTRY 17400.00 17400.00 1012000029  
CASH DISBURSEMENT BENE DISTRIBUTION WITH NO SSN  
CHARITABLE CASH CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
2010 CHARITABLE CONTRIBUTION  
FOR: 99-9999999

## PAID TO:

PLANNED PARENTHOOD WORLD POPULATION

TR TRAN# OT000037000016 TR CD=078 REG=0 PORT=PRIN

12/06/10 REVERSAL - NEGATES PREVIOUS ENTRY 25000.00 25000.00 1012000030  
CASH DISBURSEMENT BENE DISTRIBUTION WITH NO SSN  
CHARITABLE CASH CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
2010 CHARITABLE CONTRIBUTION  
FOR: 99-9999999

## PAID TO:

PLANNED PARENTHOOD INT'L ASSISTANCE

TR TRAN# OT000037000017 TR CD=078 REG=0 PORT=PRIN

12/06/10 REVERSAL - NEGATES PREVIOUS ENTRY 25000.00 25000.00 1012000031  
CASH DISBURSEMENT BENE DISTRIBUTION WITH NO SSN  
CHARITABLE CASH CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
2010 CHARITABLE CONTRIBUTION  
FOR: 99-9999999

## PAID TO:

PARENTHOOD FEDERATION OF AMERICA

TR TRAN# OT000039000001 TR CD=078 REG=0 PORT=PRIN

12/07/10 CASH DISBURSEMENT -500.00 -500.00 1012000035  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

GETTYSBURG COLLEGE

TR TRAN# EA014003000001 TR CD=078 REG=0 PORT=PRIN

12/07/10 CASH DISBURSEMENT -500.00 -500.00 1012000036  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

GETTYSBURG COLLEGE

TR TRAN# EA014005000001 TR CD=078 REG=0 PORT=PRIN

12/07/10 CASH DISBURSEMENT -2000.00 -2000.00 1012000037  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

GETTYSBURG COLLEGE

TR TRAN# EA014007000001 TR CD=078 REG=0 PORT=PRIN

12/07/10 CASH DISBURSEMENT -1000.00 -1000.00 1012000038  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

THE GREATER UNIVERSITY FUND  
UNIVERSITY OF DENVER

TR TRAN# EA014011000001 TR CD=078 REG=0 PORT=PRIN

12/07/10 CASH DISBURSEMENT -1500.00 -1500.00 1012000039  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999

## PAID TO:

ASPCA

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
	TR TRAN# EA014012000001 TR CD=078 REG=0 PORT=PRIN				
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MAMANASCO LAKE IMPROVEMENT FUND, INC TR TRAN# EA014015000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000040	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CT PUBLIC BROADCASTING, INC TR TRAN# EA014016000001 TR CD=078 REG=0 PORT=PRIN	-2000.00	-2000.00	1012000041	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: RIDGEFIELD PLAYHOUSE TR TRAN# EA014020000001 TR CD=078 REG=0 PORT=PRIN	-2000.00	-2000.00	1012000042	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ST. JOSEPH'S INDIAN SCHOOL TR TRAN# EA014021000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000043	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: VISITING NURSE ASSOC OF RIDGEFIELD TR TRAN# EA014022000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000044	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: THE RIDGEFIELD LIBRARY ASSOCIATION TR TRAN# EA014025000001 TR CD=078 REG=0 PORT=PRIN	-2500.00	-2500.00	1012000045	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ST. LUKE'S FOUNDATION TR TRAN# EA014026000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000046	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MEALS ON WHEELS TR TRAN# EA014028000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000047	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: FOUNDERS HALL TR TRAN# EA014029000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000048	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: TOWN OF RIDGEFIELD TR TRAN# EA014032000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000049	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
12/07/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: TOWN OF RIDGEFIELD	-2000.00	-2000.00	1012000050	BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-999999 (CONTINUED)				
TR TRAN#=EA014033000001 TR CD=078 REG=0 PORT=PRIN				
12/07/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: BEST FRIENDS ANIMAL SOCIETY TR TRAN#=EA014034000001 TR CD=078 REG=0 PORT=PRIN	-3000.00	-3000.00	1012000051 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/07/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: AUDUBON CENTER IN GREENWICH TR TRAN#=EA014037000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000052 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/07/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: RIDGEFIELD OPERATION FOR ANIMAL RESCUE TR TRAN#=EA014039000001 TR CD=078 REG=0 PORT=PRIN	-1500.00	-1500.00	1012000053 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/07/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MYSTIC SEAPORT MUSEUM, INC. TR TRAN#=EA014099000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000054 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: BETHEL VOLUNTEER FIRE DEPARTMENT TR TRAN#=EA023377000001 TR CD=078 REG=0 PORT=PRIN	-600.00	-600.00	1012000065 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: NARCOTIC ENFORCEMENT OFFICERS ASSOC TR TRAN#=EA023379000001 TR CD=078 REG=0 PORT=PRIN	-400.00	-400.00	1012000066 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ANGELS COMMUNITY CLINIC TR TRAN#=EA023380000001 TR CD=078 REG=0 PORT=PRIN	-500.00	-500.00	1012000067 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ROLLINS COLLEGE TR TRAN#=EA023382000001 TR CD=078 REG=0 PORT=PRIN	-6000.00	-6000.00	1012000068 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION-CAPITAL CAMPAIGN FOR: 99-9999999 PAID TO: GREENWICH COUNTRY DAY SCHOOL TR TRAN#=EA023384000001 TR CD=078 REG=0 PORT=PRIN	-10000.00	-10000.00	1012000069 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION-ANNUAL FUND FOR: 99-9999999 PAID TO: GREENWICH COUNTRY DAY SCHOOL TR TRAN#=EA023386000001 TR CD=078 REG=0 PORT=PRIN	-4000.00	-4000.00	1012000070 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO:	-5000.00	-5000.00	1012000071 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	

TAX  
CODE/DATE TRANSACTIONS

TAXABLE

INCOME

PRINCIPAL

EDIT  
NUMBER

149 BENEFICIARY DISTRIBUTIONS (CONTINUED)  
SEE ATTACHED - 99-9999999 (CONTINUED)

GREENWICH ACADEMY ANNUAL GIVING  
TR TRAN#-EA023388000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -3000.00 -3000.00 1012000072  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

BRUNSWICK SCHOOL  
TR TRAN#-EA023392000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -4000.00 -4000.00 1012000073  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

MIDDLE PATENT CEMETERY  
TR TRAN#-EA023394000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -1000.00 -1000.00 1012000074  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

ASPEN THEATRE IN THE PARK  
TR TRAN#-EA023396000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -2000.00 -2000.00 1012000075  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

AMOGERONE VOLUNTEER FIRE CO. #1  
TR TRAN#-EA023398000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -1500.00 -1500.00 1012000076  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

CALL-A-RIDE OF GREENWICH  
TR TRAN#-EA023402000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -3000.00 -3000.00 1012000077  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

THE HOLE IN THE WALL GANG  
TR TRAN#-EA023404000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -5000.00 -5000.00 1012000078  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

GREENWICH EMERGENCY MEDICAL SERVICES  
TR TRAN#-EA023406000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -3500.00 -3500.00 1012000079  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

THE SALVATION ARMY  
SOUTHERN NEW ENGLAD DIVISION  
TR TRAN#-EA023408000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -6000.00 -6000.00 1012000080  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

ARIZONA-SONORA DESERT MUSEUM  
TR TRAN#-EA023409000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -3000.00 -3000.00 1012000081  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999  
PAID TO:

ABILIS, INC.  
TR TRAN#-EA023412000001 TR CD=078 REG=0 PORT=PRIN

12/10/10 CASH DISBURSEMENT -2000.00 -2000.00 1012000082  
CHARITABLE CASH CONTRIBUTION BENE DISTRIBUTION WITH NO SSN  
2010 CHARITABLE CONTRIBUTION \*\*CHANGED\*\* 05/04/11 KDJ  
FOR: 99-9999999



TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
	PAID TO: SPECIAL OLYMPICS CONNECTICUT TR TRAN#-EA023413000001 TR CD=078 REG=0 PORT=PRIN				
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: HYDE HALL INC. TR TRAN#-EA023416000001 TR CD=078 REG=0 PORT=PRIN	-7000.00	-7000.00	1012000083 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: WILLA CATHER FOUNDATION TR TRAN#-EA023418000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000084 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: SILVER SHIELD ASSOCIATION TR TRAN#-EA023420000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000085 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION-EDUCATION FOR: 99-9999999 PAID TO: METROPOLITAN MUSEUM OF ART TR TRAN#-EA023421000001 TR CD=078 REG=0 PORT=PRIN	-15000.00	-15000.00	1012000086 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: GREENWICH HOSPITAL TR TRAN#-EA023422000001 TR CD=078 REG=0 PORT=PRIN	-6000.00	-6000.00	1012000087 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: HISTORICAL SOCIETY OF THE TOWN OF GREENWICH, INC. TR TRAN#-EA023423000001 TR CD=078 REG=0 PORT=PRIN	-75000.00	-75000.00	1012000088 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: AUDUBON CENTER IN GREENWICH TR TRAN#-EA023424000001 TR CD=078 REG=0 PORT=PRIN	-5000.00	-5000.00	1012000089 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: AMERICAN UNIVERSITY OF PARIS TR TRAN#-EA023425000001 TR CD=078 REG=0 PORT=PRIN	-5000.00	-5000.00	1012000090 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: ALL SOULS UNITARIAN CHURCH TR TRAN#-EA023426000001 TR CD=078 REG=0 PORT=PRIN	-1000.00	-1000.00	1012000091 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: PATHWAYS INC. TR TRAN#-EA023428000001 TR CD=078 REG=0 PORT=PRIN	-1500.00	-1500.00	1012000092 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10	CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION	-1000.00	-1000.00	1012000093 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
FOR: 99-9999999 PAID TO: FAMILY CENTERS TR TRAN#-EA023429000001 TR CD=078 REG=0 PORT=PRIN				
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: MAYO CLINIC TR TRAN#-EA023430000001 TR CD=078 REG=0 PORT=PRIN	-10000.00		-10000.00 1012000094 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: SANTA CRUZ ART LEAGUE TR TRAN#-EA023432000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1012000095 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: YMCA TR TRAN#-EA023433000001 TR CD=078 REG=0 PORT=PRIN	-2000.00		-2000.00 1012000096 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: CHILDREN OF TANZANIA TR TRAN#-EA023434000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1012000097 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: PARK AVENUE ARMORY TR TRAN#-EA023437000001 TR CD=078 REG=0 PORT=PRIN	-3500.00		-3500.00 1012000098 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: COLONIAL WILLIAMSBURG FOUNDATION TR TRAN#-EA023438000001 TR CD=078 REG=0 PORT=PRIN	-1000.00		-1000.00 1012000099 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: THE MARITIME AQUARIUM AT NORWALK TR TRAN#-EA023439000001 TR CD=078 REG=0 PORT=PRIN	-3000.00		-3000.00 1012000100 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/10/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: STEPPING STONES MUSEUM FOR CHILDREN TR TRAN#-EA023440000001 TR CD=078 REG=0 PORT=PRIN	-500.00		-500.00 1012000101 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/14/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: THE ALS ASSOC, DEVELOPMENT DEPT. TR TRAN#-EA030354000001 TR CD=078 REG=0 PORT=PRIN	-500.00		-500.00 1012000113 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/14/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: TREKTOPS CHAMBER MUSIC SOCIETY TR TRAN#-EA030356000001 TR CD=078 REG=0 PORT=PRIN	-2000.00		-2000.00 1012000114 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	
12/14/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION	-1000.00		-1000.00 1012000115 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ	

ACCT 5892  
PREP 533 ADMN 8960 INV:4518

WELLS FARGO BANK, N.A.  
TAX TRANSACTION DETAIL

PAGE 35  
RUN 10/10/2011  
08 14:13 AM

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
FOR: 99-9999999 PAID TO: PLANNED PARENTHOOD FEDERATION OF AMERICA TR TRAN#-EA030358000001 TR CD=078 REG=0 PORT-PRIN				
12/14/10 CASH DISBURSEMENT CHARITABLE CASH CONTRIBUTION 2010 CHARITABLE CONTRIBUTION FOR: 99-9999999 PAID TO: GARDEN EDUCATION OF GREENWICH (MONTGOMERY PINETUM) TR TRAN#-EA030359000001 TR CD=078 REG=0 PORT-PRIN	-1000.00		-1000.00	1012000116 BENE DISTRIBUTION WITH NO SSN **CHANGED** 05/04/11 KDJ
TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS	-508900.00	-1000.00	-507900.00	

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for  
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust

R T VANDERBILT TRUST

Employer identification number

06-6040981

**Note:** Form 5227 filers need to complete *only* Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			50,539.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	45,466.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ►	<b>5</b>	96,005.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			26,104.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	97,946.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ►	<b>12</b>	124,050.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>13</b> Net short-term gain or (loss) . . . . .	<b>13</b>		96,005.
<b>14</b> Net long-term gain or (loss):			
a Total for year . . . . .	<b>14a</b>		124,050.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . .	<b>14b</b>		
c 28% rate gain . . . . .	<b>14c</b>		
<b>15</b> Total net gain or (loss). Combine lines 13 and 14a . . . . . ▶	<b>15</b>		220,055.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b> Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of:	<b>16</b>	( )
a The loss on line 15, column (3) or		
b \$3,000 . . . . .		

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if.

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . .	<b>17</b>		
<b>18</b> Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . .	<b>19</b>		
<b>20</b> Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b> If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	<b>21</b>		
<b>22</b> Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b> Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b> Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .	<b>24</b>		
<b>25</b> Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>		
<b>26</b> Subtract line 25 from line 24 . . . . .	<b>26</b>		
<b>27</b> Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b> Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b> Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b> Multiply line 29 by 15% (.15) . . . . .	<b>30</b>		
<b>31</b> Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>		
<b>32</b> Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b> Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>		
<b>34</b> Tax on all taxable income. Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>		

Schedule D (Form 1041) 2010

Department of the Treasury  
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2010

06-6040981

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

Schedule D-1 (Form 1041) 2010

Employer identification number

06-6040981

[illegible]

<b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .	97,946.00
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Schedule D-1 (Form 1041) 2010

## FEDERAL CAPITAL GAIN DIVIDENDS

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## SHORT-TERM CAPITAL GAIN DIVIDENDS

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ARTIO TOTAL RETURN BD FD CL I #1524	2,997.00
LAUDUS MONDRIAN INTL FI-INST #2940	182.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	7,348.00
PIMCO TOTAL RETURN III-INSTL #105	39,847.00
VANGUARD BD INDEX FD INC SHORT TERM BOND INDE	29.00
VANGUARD S/T BD IND SIGNAL #1349	136.00

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TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS

50,539.00

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## LONG-TERM CAPITAL GAIN DIVIDENDS

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## 15% RATE CAPITAL GAIN DIVIDENDS

AOL TIME WARNER INC COM	2,267.00
ARTIO TOTAL RETURN BD FD CL I #1524	67.00
ENRON CORP COM	4,738.00
GOLDMAN SACHS GROWTH OPPORTUNITIES INSTITUTI	3,703.00
LAUDUS MONDRIAN INTL FI-INST #2940	67.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	1,465.00
PIMCO TOTAL RETURN III-INSTL #105	8,532.00
TENET HEALTHCARE CORP COM W/RIGHTS ATTACHED E	19.00
UNITEDHEALTH GRP INC COM	4,481.00
VANGUARD BD INDEX FD INC SHORT TERM BOND INDE	39.00
VANGUARD S/T BD IND SIGNAL #1349	252.00
WORLDCOM INC-WORLDCOM GROUP - WORLDCOM GRP CO	474.00

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TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

26,104.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

26,104.00

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Form **8868**

(Rev. January 2011)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Type or  
print**File by the  
due date for  
filing your  
return. See  
instructions

Name of exempt organization

R T VANDERBILT TRUST

Employer identification number

06-6040981

Number, street, and room or suite no. If a P.O. box, see instructions

1525 WEST WT HARRIS BLVD

City, town or post office, state, and ZIP code. For a foreign address, see instructions

CHARLOTTE, NC 28288-5709

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► WELLS FARGO BANK, NA

Telephone No. ► (336) 747-8186

FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ **X** calendar year 2010 or
- ☐ tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$	3,895.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$	2,772.
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$	1,123.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)JSA  
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1 S

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**.
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

<b>Type or print</b> File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	R T VANDERBILT TRUST	06-6040981
	Number, street, and room or suite no. If a P.O. box, see instructions	
	1525 WEST WT HARRIS BLVD	
City, town or post office, state, and ZIP code. For a foreign address, see instructions		
CHARLOTTE, NC 28288-1114		

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12


**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **WELLS FARGO BANK, NA**  
Telephone No. **(336) 747-8186** FAX No.
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until 11/15, 20 11.
- 5 For calendar year 2010, or other tax year beginning       , 20       , and ending       , 20       .
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension ADDITIONAL INFORMATION IS NEEDED TO COMPLETE ACCURATE TAX RETURN.

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b> \$	3,895.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b> \$	3,895.
<b>c</b> <b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b> \$	

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title Trust Officer Date 8/12/11

Form 8868 (Rev 1-2011)

WELLS FARGO BANK, N.A.

1525 W W.T. HARRIS BLVD. D1114-044

CHARLOTTE, NC 28288-1161