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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE ETTINGER FOUNDATION, INC.		A Employer identification number 06-6038938
Number and street (or P.O. box number if mail is not delivered to street address) C/O ALLISON & GODFREY 35 CHURCH LANE	Room/suite	B Telephone number 203-227-7470
City or town, state, and ZIP code WESTPORT, CT 06880		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 18740784. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		249.	249.		Statement 1
4 Dividends and interest from securities		333223.	333219.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		217194.			
b Gross sales price for all assets on line 6a		7768909.			
7 Capital gain net income (from Part IV, line 2)			217194.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		41274.	41274.		Statement 3
12 Total. Add lines 1 through 11		591940.	591936.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees Stmt 4		196387.	139703.		56684.
17 Interest		710.	710.		0.
18 Taxes Stmt 5		-16464.	-10636.		55.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 6		75053.	70433.		4542.
24 Total operating and administrative expenses. Add lines 13 through 23		255686.	200210.		61281.
25 Contributions, gifts, grants paid		1162760.			1162760.
26 Total expenses and disbursements. Add lines 24 and 25		1418446.	200210.		1224041.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-826506.			
b Net investment income (if negative, enter -0-)			391726.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	-117667.	106739.	106739.
	2	Savings and temporary cash investments	937653.	497113.	497113.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock Stmt 7	11221223.	9688119.	11073910.
	c	Investments - corporate bonds Stmt 8	1135988.	1725130.	1728318.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 9	4654946.	4893800.	5327895.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶ Statement 10)	8731.	6809.	6809.
	16	Total assets (to be completed by all filers)	17840874.	16917710.	18740784.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ Statement 11)	135248.	38590.	
	23	Total liabilities (add lines 17 through 22)	135248.	38590.	
Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	17705626.	16879120.	
	30	Total net assets or fund balances	17705626.	16879120.	
	31	Total liabilities and net assets/fund balances	17840874.	16917710.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17705626.
2	Enter amount from Part I, line 27a	2	-826506.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	16879120.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16879120.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 7768909.		7690441.	217194.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			217194.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	217194.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1321754.	16101711.	.082088
2008	1765623.	21655524.	.081532
2007	1650997.	28663136.	.057600
2006	1587999.	27051388.	.058703
2005	1598723.	26107999.	.061235

2 Total of line 1, column (d)	2	.341158
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.068232
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	17407786.
5 Multiply line 4 by line 3	5	1187768.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3917.
7 Add lines 5 and 6	7	1191685.
8 Enter qualifying distributions from Part XII, line 4	8	1224041.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3917.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3917.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3917.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	6499.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6499.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2582.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input checked="" type="checkbox"/> 2582. Refunded <input checked="" type="checkbox"/> 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> DE, CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12. Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14. The books are in care of ▶ Allison & Godfrey Telephone no. ▶ 203-227-7470 Located at ▶ 35 Church Lane, Westport, CT ZIP+4 ▶ 06880			
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16. At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b	
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a. At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?N/A
▶ ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000▶ **0**

Form 990-PF (2010)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
State Street Bank One Lincoln Street, Boston, MA 02111-2900	Investment Custody Fees	54026.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16651663.
b	Average of monthly cash balances	1b	1021216.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	17672879.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	17672879.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	265093.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17407786.
6	Minimum investment return. Enter 5% of line 5	6	870389.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	870389.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3917.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3917.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	866472.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	866472.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	866472.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1224041.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1224041.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3917.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1220124.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				866472.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	188570.			
c From 2007	305522.			
d From 2008	687281.			
e From 2009	515170.			
f Total of lines 3a through e	1696543.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 1224041.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				866472.
e Remaining amount distributed out of corpus	357569.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2054112.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	2054112.			
10 Analysis of line 9:				
a Excess from 2006	188570.			
b Excess from 2007	305522.			
c Excess from 2008	687281.			
d Excess from 2009	515170.			
e Excess from 2010	357569.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b** 85% of line 2a

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(1)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See Attached Schedule Of Grants	N/A	509 (a)	See Schedule 11	1162750.
		(1) Public Charities		
PJ Cleantech K1-Contributions				10.
Total			▶ 3a	1162760.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	249.		
4 Dividends and interest from securities			14	333223.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	41274.		
8 Gain or (loss) from sales of assets other than inventory			18	217194.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		591940.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	591940.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee Date Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN
	David L Godfrey	<i>David L Godfrey</i>	11/8/11		
	Firm's name ▶ Allison & Godfrey			Firm's EIN ▶	
	Firm's address ▶ 35 Church Lane Westport, CT 06880			Phone no. 203-227-7470	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Investment Security Sales		P		12/31/10
b From K1: Gen IM Global Eq Fd		P		12/31/10
c From K1: Northsky Cleantech - ST		P		12/31/10
d From K1: Northsky Cleantech - LT		P		12/31/10
e From K1: Northsky Cleantech - Sec 1231		P		12/31/10
f Litigation Settlements		P		12/31/10
g Capital Gains Dividends				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7754394.		7690441.	63953.
b			149803.
c			-556.
d			-10506.
e			-15.
f 8325.			8325.
g 6190.			6190.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			63953.
b			149803.
c			-556.
d			-10506.
e			-15.
f			8325.
g			6190.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	217194.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Interest - Money Market	249.
Total to Form 990-PF, Part I, line 3, Column A	249.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Dividends - AII LP K-1	21707.	0.	21707.
Dividends - Gen IM Glob Eq Fd K1	40267.	0.	40267.
Dividends - Northsky Cleantech K-1	30.	0.	30.
Dividends - Securities	221965.	6190.	215775.
Interest - Bonds	53232.	0.	53232.
Interest - Gen IM Global Eq Fd K-1	15.	0.	15.
Interest - Northsky Cleantech K-1	2193.	0.	2193.
Tax exempt Int - Northsky Cleantech	4.	0.	4.
Total to Fm 990-PF, Part I, ln 4	339413.	6190.	333223.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Currency Gain/Loss	-459.	-459.	
Northsky Cleantech Ord Inc K-1	-3682.	-3682.	
Gen IM Global Eq K1 -	-18638.	-18638.	
Sec 988 Inc - Gen IM Glob Eq K1	343.	343.	
Gain from Sec 1291 Fd - AII	12663.	12663.	
Gain from Sec 1291 Fd - AII	51047.	51047.	
Total to Form 990-PF, Part I, line 11	41274.	41274.	

Form 990-PF	Other Professional Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Gunn Godfrey & Allison - Legal/Admin Fees	31000.	10333.		20667.	
Investment Management Custodial Fees - State Street	87027.	87027.		0.	
Consulting Fees - Investments	54026.	18009.		36017.	
	24334.	24334.		0.	
To Form 990-PF, Pg 1, ln 16c	196387.	139703.		56684.	

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign Taxes Refunds	-15346.	-15346.		0.	
Northsky Cleantech K1-Foreign Taxes	3.	3.		0.	
Gen IM Glob Eq K1-Foreign Taxes	4707.	4707.		0.	
Fed Excise Taxes	-5883.	0.		0.	
DE Stat Rep Fee	55.	0.		55.	
To Form 990-PF, Pg 1, ln 18	-16464.	-10636.		55.	

Form 990-PF	Other Expenses			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Portfolio Expense - AII K-1 Port Expense - Gen IM Glob Eq Fd K1	16377.	16377.		0.	
Port Exp - PJ Cleantech K-1 PJ cleantech K1-Other Deductions	22997.	22997.		0.	
PJ Cleantech K-1 -Sec 59(e)(2)	30929.	30929.		0.	
	109.	109.		0.	
	21.	21.		0.	

PJ Cleantech K-1 -Non Ded Expense	78.	0.	0.
Dues	3800.	0.	3800.
Office Exp	536.	0.	536.
Bank Service Charges	206.	0.	206.
To Form 990-PF, Pg 1, ln 23	75053.	70433.	4542.

Form 990-PF	Corporate Stock	Statement	7
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Description	Book Value	Fair Market Value
Corporate Stock - Statement 7A For Detail	9688119.	11073910.
Total to Form 990-PF, Part II, line 10b	9688119.	11073910.

Form 990-PF	Corporate Bonds	Statement	8
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Description	Book Value	Fair Market Value
Corporate Bonds - Statement 8A For Detail	1725130.	1728318.
Total to Form 990-PF, Part II, line 10c	1725130.	1728318.

Form 990-PF	Other Investments	Statement	9
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Description	Valuation Method	Book Value	Fair Market Value
AII Investments LP	COST	2150606.	2157095.
Northsky Cleantech Fund	COST	555385.	778270.
Generation Capital IM	COST	2187809.	2392530.
Total to Form 990-PF, Part II, line 13		4893800.	5327895.

Form 990-PF	Other Assets		Statement 10
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Unsettled Investment Sales	8731.	6809.	6809.
To Form 990-PF, Part II, line 15	8731.	6809.	6809.

Form 990-PF	Other Liabilities		Statement 11
Description	BOY Amount	EOY Amount	
Unsettled Investment Purchases	135248.	38590.	
Total to Form 990-PF, Part II, line 22	135248.	38590.	

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 12
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
Elaine P. Hapgood 1030 Waterway Lane Delray Beach, FL 33483	Director/President 0.00	0.	0.	0.
Lynn P. Babicka 360 Island Creek Drive Vero Beach, FL 32963	Director 0.00	0.	0.	0.
John P. Powers 200 Alder Lane Boulder, CO 80304	Director/VP 0.00	0.	0.	0.
Heidi Ettinger 245 Waverly Place New York, NY 10014	Director 0.00	0.	0.	0.
Diane M. Allison 35 Church Lane Westport, CT 06880	Secretary 0.00	0.	0.	0.

THE ETTINGER FOUNDATION, INC.

06-6038938

David L. Godfrey 35 Church Lane Westport, CT 06880	Treasurer 0.00	0.	0.	0.
Barbara P Ettinger 62 Bingham Mills Road Germantown, NY 12526	Director/VP 0.00	0.	0.	0.
Wendy P. Ettinger 1 Lexington Avenue, Apt 11B New York, NY 10010	Director 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF	Part XV - Line 1a List of Foundation Managers	Statement 13
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Name of Manager

Elaine P. Hapgood
Lynn P. Babicka
John P. Powers
Heidi Ettinger
Barbara P Ettinger
Wendy P. Ettinger

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2010

Description	Shares	Ending Cost	Ending FMV
<u>HELD BY STATE STREET BANK:</u>			
Alex Brown:			
Aaron Inc Common Stock USD 5	1,436	24,772 04	29,280 04
American Express Co	1,492	42,814.70	64,036 64
American Tower Corp	1,035	32,862 54	53,447 40
Artio Global Investors Inc	1,824	48,622 18	26,904 00
Bank of America Corp	4,000	56,546 99	53,360 00
Bank New York Mellon Corp	1,440	54,874 52	43,488 00
Berkshire Hathaway Inc Del Cl B	1,337	99,189.36	107,107 07
Canadian Natl Railway Co	1,185	14,985 69	78,766 95
Canadian Natl Res Ltd	590	16,163 20	26,207 80
Carmax Inc	1,340	19,744 94	42,719 20
Costco Whsl Corp New	570	25,245 04	41,159 70
Diamond Offshore Drilling Common Stock USD 01	740	45,177 41	49,483 80
Disney Walt Co	2,325	44,959 33	87,210 75
Franklin Res Inc	510	46,312 36	56,717 10
General Motors Co Common Stock	627	21,663 67	23,111 22
Goldman Sachs Group Inc	215	31,297 61	36,154 40
Google Inc	187	93,894 53	111,072 39
Intl Business Machines Corp	135	17,303 75	19,812 60
Intuitive Surgical Inc Common Stock	85	22,093 61	21,908 75
Johnson & Johnson	855	55,139 41	52,881 75
Kinder Morgan Mgmt LLC Shs	469	104,267 33	31,366 72
Kraft Foods Inc	2,058	44,297 26	64,847 58
Lender Processing Services	870	33,286 17	25,682 40
Lowes Co Inc	1,660	48,524 92	41,632 80
Mastercard Inc Cl A	517	44,601 79	115,864 87
Medtronic Inc	765	26,612 02	28,373 85
Merck & Co Inc	1,635	47,042 96	58,925 40
Microsoft Corp	2,445	73,182 10	68,264 40
Occidental Pete Corp	655	41,750 04	64,255 50
Pepsico Inc	360	17,194 53	20,578 95
Pfizer Inc Common Stock USD 05	2,440	43,185 81	42,724 40
Qualcomm Inc Common Stock	1,375	51,831 63	68,048 75
Scripps Networks Interactive	885	26,171 79	45,798 75
Sherwin Williams Co	565	35,141 80	47,318 75
TJX Cos Inc New	985	25,176 99	43,724 15
Time Warner Cable Common Stock USD 01	800	47,764 92	52,824 00
Total SA Spon ADR	900	44,222 57	48,132 00
United Technologies Inc New	620	34,269 22	48,806 40
Wal Mart Stores Inc	700	35,946 32	37,751 00
Wellpoint Inc	1,050	38,267 69	59,703 00
Wells Fargo & Co New	2,718	71,708 26	84,230 82
Transocean Ltd Common Stock	575	38,569 77	39,968 25
Millicom Cellular SA Common Stock	505	38,872 99	48,278 00
		<u>1,825,551 76</u>	<u>2,211,930 30</u>

Brandes:

Aegon N V Adr	5,947	69,028 76	36,455 11
Akzo Nobel N V	270	8,905 44	16,775 10
Alcatel Lucent Spon ADR	5,480	15,179 60	16,220 80
Astellas Pharma Inc ADR	780	25,327 51	29,312 40
Astrazeneca PLC	770	35,684 76	35,566 30
Banco Do Brasil SA Spon ADR	980	17,640 00	19,110 00
Barclays PLC	770	16,203 43	12,720 40
Canon Inc	560	16,346 51	28,750 40
Carrefour SA	4,410	31,716 99	36,955 80

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2010

Description	Shares	Ending Cost	Ending FMV
Cemex S A B De CC ADR	3,719	31,674 32	39,830 49
Dai Nippon Printing ADR	1,680	27,347 21	23,016 00
Daichi Sankyo Co Unspn ADR	1,370	24,477 38	29,866 00
Deutsche Telekom AG Sponsored ADR	3,490	57,389 98	44,672 00
ENI S P A Sponsored ADR	1,310	62,567 92	57,299 40
Ericsson L M Tel Co	2,240	24,786 83	25,827 20
France Telecom Sponsored ADR	1,886	44,385 53	39,756 88
Fujifilm Hldgs Corp ADR	1,280	42,281 60	45,747 20
Glaxosmithkline Plc Sponsored ADR	920	51,332 11	36,082 40
H Lundbeck A/S Unspn ADR	1,540	24,233 01	29,414 00
Honda Motor Co Ltd Spons ADR	730	24,480 91	28,835 00
Intesa Sanpaolo S P A	1,530	33,035 41	24,816 60
Italcementi S P A	1,590	22,490 07	13,530 90
J Sainsbury PLC	1,490	32,249 05	34,806 40
Kingsfisher PLC	2,940	23,523 82	23,990 40
Koninklijke Ahold SP ADR	3,138	26,736 17	41,390 22
MS+AD Insurance ADR	2,760	56,717 41	34,693 20
Marks & Spencer Group P L C Sponsored ADR	2,200	23,074 45	25,300 00
Mitsubishi Ufj Finl Group Inc Sponsored ADR	4,650	54,868 36	25,156 50
Mizuho Finl Group Inc	6,330	43,923 13	23,737 50
Nippon Teleg & Tel Corp Sponsored ADR	2,510	63,044 66	57,579 40
Nissan Mtr Ltd	790	7,275 43	14,978 40
Nokia Corp	2,590	33,786 51	26,728 80
Petroleo Brasileiro Spon ADR	770	30,191 62	26,310 90
Portugal Telecom Sgps SA	2,260	29,629 73	25,899 60
Promise Co Ltd ADR	2,100	30,645 65	5,817 00
Sanofi Aventis	1,110	48,541 72	35,775 30
Seiko Epson Corp ADR	3,400	43,888 35	30,770 00
Seven + I Holdings Unspn	730	34,787 65	38,960 10
SK Telecom Ltd Sponsored ADR	990	23,975 13	18,443 70
Sony Corp New Amer Depository Shs	790	31,559 87	28,210 90
ST Microelectronics N V Shs-N Y Registry	2,500	42,466 50	26,100 00
Sumitomo Mitsui Finl Group Inc ADR	2,510	34,882 66	17,846 10
Swiss Reinsurance Co	700	34,278 96	37,604 00
Swisscom AG Spon ADR	610	26,108 00	26,870 50
TDK Corp ADR	270	15,263 52	18,546 30
Takeda Pharmaceutical	890	21,436 72	21,671 50
Telecom Italia S P A New Sponsored ADR Repstg Ord Shs	4,670	100,612 79	60,429 80
Telefonica De Espana S A Sponsored ADR	214	12,204 95	14,641 88
Telefonos De Mexico S A De Cv ADR Series L Sh	1,380	10,898 41	22,273 20
Tokio Marine Hldgs (formerly Millea Hldgs Inc ADR)	1,095	52,381 29	32,412 00
Toyota Mtr Co	500	36,524 75	39,315 00
Unilever PLC ADR	1,156	26,039 85	35,697 28
Vodafone Group PLC ADR	1,060	22,930 24	28,015 80
Wolseley PLC	7,500	59,740 50	24,022 50
Wolters Kluwer N V Sponsored ADR	812	35,241 71	17,977 68
Deutsche Bank AG	420	25,938 41	21,861 00
TE Connectivity Common Stock	1,300	46,020 00	46,020 00
UBS AG Reg Common	1,200	17,781 27	19,764 00
Flextronics Intl Ltd Common Stock	1,330	12,508 65	10,440 50
		<u>1,978,193 17</u>	<u>1,710,617 74</u>

Cardinal:

ACI Worldwide Inc	900	16,748 31	24,183 00
Acxiom Corp	1,000	14,393 01	17,150 00
AFC Enterprises Inc	800	12,307 33	11,120 00
Affiliated Managers Group	520	36,651 16	51,594 40
American Eagle Outfitters Inc	1,000	13,457 04	14,630 00
American Public Education Common Stock	200	7,293 66	7,448 00
Ascena Retail Group Inc	500	12,238 08	13,210 00

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2010

Description	Shares	Ending Cost	Ending FMV
Atlas Air Worldwide Hldgs Inc	700	18,146 40	39,081 00
B + G Foods Inc	1,550	11,881 23	21,281 50
Brnks Co	600	14,214 78	16,128 00
Broadridge Finl Solutions Inc	500	8,939 77	10,965 00
Cash Amer Invts Inc	630	21,015 52	23,265 90
CBIZ Inc	3,500	24,606 01	21,840 00
CapitalSource Inc	1,800	9,355 48	12,780 00
Chemed Corp New	600	23,483 04	38,106 00
Convergys Corp	2,000	28,999 06	26,340 00
Cypress Sharpridge Inv REIT	1,900	24,931 04	24,529 00
DG Fastchannel Inc	400	9,377 53	11,552 00
Dolan Media Co	1,900	16,274 94	26,448 00
Donnelley R R & Sons Co	1,950	28,001 11	34,066 50
Entertainment Pptys Tr	500	16,152 46	23,125 00
Fuller H B Co	600	12,851 47	12,312 00
Global Cash Access Hldgs Inc	3,200	38,592 29	10,208 00
Government Properties Income REIT	800	19,032 18	21,432 00
Graftech International Ltd Common Stock	1,300	18,972 34	25,792 00
Hatteras Finl Corp	350	10,109 28	10,594 50
Hercules Technology Growth	2,300	22,122 93	23,828 00
IAC/Interactive Data Corp	500	14,348 20	14,350 00
Insituform Technologies Cl A	600	14,342 30	15,906 00
Interdigital Inc Common Stock	400	16,034 12	16,656 00
J2 Global Communications	1,350	29,866 91	39,082 50
Kaman Corp	1,300	37,555 75	37,791 00
KAR Auction Services Inc	1,800	23,568 07	24,840 00
Liquidity Svcs Inc	520	6,273 29	7,306 00
MDC Partners Inc Cl A Sub Vtg Shs	1,400	11,292 66	24,178 00
Medical Properties Trust Inc REIT	1,400	13,767 33	15,162 00
Nelnet Inc Cl A	2,100	43,513 51	49,749 00
Northwest Banshares Inc MD	1,800	19,804 43	21,168 00
Oasis Petroleum Inc	400	11,038 40	10,848 00
Oceaneering Intl Inc	50	2,001 15	3,681 50
Progress Software Corp	800	20,533 10	33,856 00
Purecycle Corp New	4,700	27,130 53	16,685 00
Ralcorp Hldgs Inc New	300	18,397 70	19,503 00
SRS Labs Inc	800	4,997 62	7,048 00
Silgan Hldgs Inc	1,280	26,607 67	45,836 80
Six Flags Entertainment Corp	300	17,015 97	16,320 00
Speedway Motorsports Inc	1,400	48,940 73	21,448 00
Stage Stores Inc New	1,125	21,567 32	19,507 50
Starwood Property Trust Inc REIT Co	600	12,946 32	12,888 00
Teleflex Inc Common Stock	450	25,476 94	24,214 50
Venoco Inc	300	4,569 62	5,535 00
Virgin Media Inc	1,100	14,203 97	29,964 00
Wendys/Arbys Group Inc	1,583	19,305 96	7,313 46
West Pharmaceutical Svcs Inc	400	14,778 57	16,480 00
World Fuel Services Corp	900	23,631 43	32,544 00
Helen of Troy Ltd Common Stock	400	10,152 08	11,896 00
		<u>1,043,809 10</u>	<u>1,174,767 06</u>

Transamerica:

Amazon com Inc	996	60,019 14	179,280 00
Apple Inc	501	62,477 72	161,602 56
ARM Holdings PLC Spons ADR	2,485	45,255 45	51,563 75
Borg Warner Inc	1,930	63,946 60	139,654 80
C H Robinson Worldwide Inc	1,260	80,266 05	101,039 40
Caterpillar Inc	378	21,623 90	35,403 48
Deere & Co	705	54,587 11	58,550 25

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2010

Description	Shares	Ending Cost	Ending FMV
Walt Disney Co	2,981	78,792 04	111,817 31
Emerson Electric Co Com	2,025	74,266 21	115,769 25
Expeditors Intl Wa Inc	2,061	80,616 99	112,530 60
Google Inc	147	64,215 38	87,313 59
Illumina Inc Common Stock	1,525	66,354 45	96,593 50
Intuit Inc	2,107	75,197 64	103,875 10
Intuitive Surgical Inc	321	96,143 75	82,737 75
Johnson Controls Inc	2,976	49,389 67	113,683 20
LVMH Moet Hennessy Unsp ADR	860	26,970 80	28,509 00
Marrriott International Cl A	2,520	88,300 64	104,680 80
Paccar Inc	611	16,029 71	35,083 62
Precision Castparts Corp Common Stock	590	81,121 44	82,133 90
Price, T Rowe Group Inc Com	1,682	78,930 33	108,556 28
Priceline Com Inc	362	70,883 32	144,637 10
Qualcomm Inc	2,159	85,369 77	106,848 91
Salesforce com Inc	680	65,729 64	89,760 00
Schwab Charles Corp New Stock	5,108	80,574 19	87,397 88
Suntrust Banks Inc	3,552	84,972 90	104,819 52
TEVA Pharmaceutical Inds Ltd	848	47,636 24	44,206 24
Wells Fargo & Co Com	3,944	95,303 73	122,224 56
Yum Brands Inc	2,315	87,215 29	113,550 75
Core Laboratories NV	795	68,604 05	70,794 75
		<u>1,950,794 15</u>	<u>2,794,617 85</u>

Wentworth, Hauser & Violich

ABB Ltd	1,455	34,492 14	32,664 75
Agrium Inc	1,550	68,443 24	142,212 50
Air Products & Chemicals Inc	360	29,656 58	32,742 00
Axa SA	425	14,060 85	7,076 25
BASF AG	660	39,404 35	52,773 60
Baker Hughes Inc	1,130	47,608 28	64,602 10
Brookfield Asset Mgmt Inc	750	13,094 70	16,645 00
Canadian Natl Ry Co	1,100	58,151 30	73,117 00
Canadian Pac Railway	1,175	57,546 47	76,151 75
Diageo PLC	800	58,065 17	59,464 00
Danone Spons ADR Receipt	1,630	19,881 35	20,619 50
Fanning International Inc Common Stock	125	3,435 29	3,430 00
Manulife Finl Corp	825	24,960 60	14,173 50
Nexen Inc	2,700	55,392 99	61,830 00
Petroleo Brasileiro SA ADR	1,945	75,322 93	73,598 80
Potash Corp Sask Inc	830	81,740 34	128,508 90
Praxair Inc	470	39,143 08	44,870 90
Suncor Energy Inc	1,710	69,702 34	65,475 90
Tenaris S A	1,340	54,100 60	65,633 20
Unilever NV	1,925	62,177 10	60,445 00
Yara Intl ASA	660	21,861 73	38,280 00
Cooper Industries	850	38,546 23	49,546 50
Ingersoll Rand PLC	865	33,929 60	40,732 85
Nabors Industries Ltd Common Stock	2,310	50,965 39	54,192 60
Partnerre Ltd	410	32,197 53	32,943 50
Weatherford Intl Ltd	2,850	67,364 00	64,980 00
Foster Wheeler AG Common Stock	600	17,566 95	20,712 00
Noble Corp Common Stock	1,650	72,464 69	59,020 50
Transocean Ltd common Stock	736	78,940 97	51,159 36
UBS AG Reg Common	390	11,027 24	6,423 30
CNH Global NV Common Stock	775	25,234 96	36,998 50
Core Laboratories NV	625	36,056 27	55,656 25
		<u>1,392,535 26</u>	<u>1,606,680 01</u>

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2010

Description	Shares	Ending Cost	Ending FMV
Winslow Green Solutions Fund			
BioExx Specialty Proteins Common Stock	8,288	15,504 18	19,601 27
Newalta Corporation	1,290	10,857 00	15,436 12
Pure Technologies Ltd	2,293	10,206 53	10,961 35
Waterfurnace Renewable Energy Com	570	13,868 33	14,209 13
Acuity Brands Inc	188	6,446 78	10,841 96
American Superconductor Corp	605	17,097 15	17,296 95
Applied Micro Circuits Corp	391	3,766 66	4,175 88
Chicago Bridge & Iron Co N V	323	6,649 83	10,626 70
Cree Inc	91	6,069 57	5,995 99
First Solar Inc	123	13,615 44	16,007 22
GLV Inc A Common Stock	492	3,717 79	3,745 79
Green Mountain Coffee Roasters	407	11,197 35	13,374 02
Horsehead Hldg Corp	1,574	17,682 44	20,524 96
Jamba Inc Common Stock	5,686	13,910 90	12,907 22
Lennox Intl Inc	223	9,495 10	10,545 67
Lindsay Corp Common	121	8,086 31	7,191 03
NCI Building Systems Inc	555	6,235 29	7,764 45
Nalco Hldg Co	541	11,401 26	17,279 54
Pentair Inc	321	10,715 31	11,719 71
Powersecure International Common	477	4,830 30	3,711 06
Prologis REIT Sh Ben Int	1,056	13,543 20	15,248 64
Quanex Bldg Prods Corp	491	7,813 03	9,314 27
Rockwood Holdings Inc	92	3,505 47	3,599 04
Rubicon Holdings Inc	339	5,995 95	7,146 12
Schnitzer Steel Ind	313	14,743 33	20,780 07
Smith (A O) Corp Common	381	13,780 66	14,508 48
Sunopta Inc	1,406	5,159 39	10,994 92
Trimble Navigation Ltd	50	2,023 84	1,996 50
Valmont Inds Inc	95	7,387 55	8,429 35
Volterra Semiconductor Corp	516	10,642 26	11,950 56
Wabtec	262	9,854 32	13,857 18
Westport Innovations Inc	469	4,943 21	8,685 88
Whole Foods Market Inc	179	6,489 30	9,055 61
		<u>307,235 03</u>	<u>369,482 64</u>
Total Stock Held State Street Bank		<u>8,498,118 47</u>	<u>9,868,095 60</u>
<u>HELD BY RBC Wealth Management:</u>			
Pax World High Yield Bd Fd Indiv Class	76,576 471	590,000 00	592,701 89
Templeton Global Bond Fd Adv Cl	45,214 770	600,000 00	613,112 28
Total Stock Held RBC Wealth Management		<u>1,190,000.00</u>	<u>1,205,814 17</u>
Total Corporate Stock		<u>9,688,118 47</u>	<u>11,073,909 77</u>

(2)

Ettinger Foundation
Investments - Corporate Bonds
Statement 8A
2010

Description	Units	Ending Cost	Ending FMV
J.A. Glynn & Co.:			
Alcoa Inc 8/20 Fixed 6 15	52,000	52,946 76	53,398 80
American Express Co	39,000	43,959 30	43,961 19
Icahn Enterprises	40,000	41,550 00	40,000 00
Arrows Electric Inc	40,000	43,987 50	45,055 60
Bear Stearns & Co Inc	39,000	43,035 05	42,851 25
Bellsouth Corp NT	40,000	44,246 96	44,000 80
Blackrock Inc 12/14 Fixed 3 5	42,000	44,677 50	43,554 84
Clorox Co	39,000	44,955 72	43,513 86
Dell Inc 9/15 Fixed 2 3	45,000	44,955 00	43,864 65
Donnelley R R & Sons Sr Nt	52,000	54,051 85	53,146 08
GATX Corp 05/15 Fixed 4 75	42,000	43,938 36	43,964 76
Genworth Finl Inc NT	51,000	52,651 35	52,861 50
Goldman Sachs Group Inc	40,000	43,015 19	43,258 00
Hewlett Packard Co	39,000	44,864 45	43,942 47
Home Depot Inc 3/16 Fixed 5.4	40,000	43,961 82	44,824 40
International Lease Fin Corp	37,000	34,333 17	37,416 25
Level 3 Communications Inc	45,000	42,778 13	44,662 50
Masco Corp 10/16 Fixed 6 125	53,000	53,418 75	54,164 41
Medtronic Inc	43,000	44,861 80	44,125 74
Merrill Lynch & Co Inc	41,000	42,298 64	42,946 27
Metlife Inc 02/21 Fixed 4 75	43,000	44,510 83	43,903 86
Morgan Stanley 07/20 Var	45,000	45,019 49	44,754 30
Nasdaq OMX Group 01/15 Fixed 4	43,000	44,022 87	43,766 69
Nisource Fin Corp Gtd NT	5,000	5,500 00	5,252 45
Nisource Finance Corp Gtd NT	8,000	7,090 00	8,868 40
Nisource Finance Corp Gtd NT	32,000	37,360 00	37,024 00
Owens Corning Inc 6/19 Fixed 9 0	38,000	44,074 00	44,581 22
Pitney Bowes Inc Sr Nt	41,000	43,851 92	43,082 39
Prudential Finl Inc 12/17 Fixed 6	40,000	41,600 00	44,404 00
Qwest Corp NT	50,000	53,635 00	54,250 00
Regions Bank Birmingham AL	52,000	52,568 31	53,560 00
SLM CorpTranche Tr	54,000	54,053 76	54,470 88
Schering Plough Corp	39,000	43,521 70	43,321 20
Simon Ppty Group LP Nt	40,000	45,714 80	44,439 60
Sprint Cap Corp 03/12 8 375	41,000	43,378 21	43,357 50
Target Corp 07/20 Fixed 3 875	42,000	43,200 38	41,817 72
Tesoro Corporation Sr Nt Ser B	42,000	43,265 00	43,890 00
Tyco International Finan 10/15 Fixed 3 375	42,000	43,742 52	42,918 54
Wachovia Corp New	40,000	41,619 04	41,459 60
Zurich Reins Centre Hldgs Inc	42,000	42,915 00	43,682 52
Total Corporate Bonds		1,725,130 13	1,728,318 24

**Ettinger Foundation
Schedule of Grant Payments
2010**

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Christian P Ettinger				
Tides Foundation	None	509 (a) (1)	Chicken & Egg Film Fund	10,000
INFORM, Inc.	None	509 (a) (1)	General Support	5,000
Enormous Changes, Inc.	None	509 (a) (1)	The Little School	6,000
The Free History Project	None	509 (a) (1)	Utopia Project	3,000
Threshold Foundation	None	509 (a) (1)	General Support	5,000
New America Foundation	None	509 (a) (1)	General Support	5,000
Face the Future Foundation	None	509 (a) (1)	General Support	1,000
Taxpayers For Common Sense	None	509 (a) (1)	General Support	2,000
Sarah Lawrence College	None	509 (a) (1)	General Support	5,000
Peace Education Fund	None	509 (a) (1)	Peace Action West	5,000
Center for Civic Policy	None	509 (a) (1)	For OLE Education Fund	10,000
USAction Education Fund	None	509 (a) (1)	Civic Engagement/Health Care	20,000
Prison Policy Initiative, Inc.	None	509 (a) (1)	General Support	7,887
State Voices	None	509 (a) (1)	General Support	10,000
People for the American Way Foundation	None	509 (a) (1)	Youth Leadership Development	5,000
Media Matters for America	None	509 (a) (1)	General Support	5,000
Partnership for Immigrant Leadership and Public Campaign	None	509 (a) (1)	MIV Campaign	10,000
	None	509 (a) (1)	General Support	2,000
Demos A Network for Ideas and Action, Ltd.	None	509 (a) (1)	Work on National Voter Registration Act	4,613
				121,500
Barbara P. Ettinger				
Scribe Video Center Inc	None	509 (a) (1)	Shored Up	10,000
Columbia-Greene Hospital Foundation	None	509 (a) (1)	General Support-Columbia Memorial Hospital	10,000
English Speaking Union of the United States	None	509 (a) (1)	2010 National Shakespeare Project	5,000
Columbia-Greene Hospital Foundation	None	509 (a) (1)	Annual Ball	5,000
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	20,000
BARD COLLEGE	None	509 (a) (1)	Richard B. Fisher Center for the Performing Arts Gala Event	3,000
Town of Germantown	None	509 (a) (1)	Germantown Tricentennial Celebration	5,000
Historic Hudson, Inc.	None	509 (a) (1)	Valley Alliance Project	10,000
Friends of Clermont	None	509 (a) (1)	General Support	5,000
Olana Partnership	None	509 (a) (1)	General Support	5,000
Gateway School	None	509 (a) (1)	Special Programs	2,500
Seed Savers Exchange	None	509 (a) (1)	General Support	10,000
Grist Magazine, Inc.	None	509 (a) (1)	General Support	1,000
Grist Magazine, Inc.	None	509 (a) (1)	General Support	6,250
Historic Hudson, Inc.	None	509 (a) (1)	For the Gifford Project	1,000
				98,750
Matthew Ettinger				
Girls, Inc.	None	509 (a) (1)	General Support	5,000
New Group, Inc.	None	509 (a) (1)	Production of 'The Kid'	2,500
VeraMax House, Inc.	None	509 (a) (1)	General Support	5,000
The Prentice School	None	509 (a) (1)	General Support	4,000
COLAGE	None	509 (a) (1)	General Support	2,000
				18,500
Wendy W P Ettinger				
The School for Ethics and Global Leadership	None	509 (a) (1)	General Support	10,000
Kids of NYU	None	509 (a) (1)	General Support	1,000
Inwood House	None	509 (a) (1)	General Support	2,000
Pathways, Inc.	None	509 (a) (1)	General Support	10,000
Tides Foundation	None	509 (a) (1)	Chicken & Egg Film Fund	25,000
Arts Engine, Inc.	None	509 (a) (1)	Africa Film Project	7,500
Mapendo, Inc.	None	509 (a) (1)	General Support	15,000
Summer Search	None	509 (a) (1)	General Support	2,000
Working Films, Inc.	None	509 (a) (1)	To Support Residencies	15,000
Mount Sinai Medical Center Foundation, Inc.	None	509 (a) (1)	For Jonathan Babicka's IHO Lynn P. Babicka	1,000
Friends of the Gateway School, Inc.	None	509 (a) (1)	General Support	3,500
Chicken & Egg Film Fund, Inc.	None	509 (a) (1)	Chicken & Egg Film Fund	25,000
Jazz Foundation of America, Inc.	None	509 (a) (1)	General Support	1,000
Colleges of the Seneca Hobart and William Smith Colleges	None	509 (a) (1)	General Support	1,500
Oceana, Inc.	None	509 (a) (1)	General Support	1,200
Witness, Inc.	None	509 (a) (1)	General Support	1,000
United States Artists, Inc.	None	509 (a) (1)	For the 'Cooked Community Asset Mapping Project'	3,800
				125,500

Ettinger Foundation
Schedule of Grant Payments
2010

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Ronene Anderson				
The Fountain Valley School of Colorado	None	509 (a) (1)	General Support	8,100
Whittier College	None	509 (a) (1)	Richard P. Ettinger Scholarship	4,000
Universal Technical Institute Foundation	None	509 (a) (1)	For the NASCAR Foundation for the Brienne Davis Scholarship	1,500
Wake Forest University Baptist Medical Center	None	509 (a) (1)	General Support	1,450
The Prentice School	None	509 (a) (1)	General Support	2,000
Jimmie Johnson Foundation	None	509 (a) (1)	Toolbox for Education Program	1,450
				18,500
Jonathan Babicka				
Ronald McDonald House of South Florida, Inc.	None	509 (a) (1)	General Support	13,500
Bravery Beads Foundation, Inc.	None	509 (a) (1)	General Support	5,000
Best Buddies	None	509 (a) (1)	General Support	1,000
American Cancer Society, Inc.	None	509 (a) (1)	Fundraising efforts by Christopher D. Hepler for	1,000
Women's Fund of Dade County, Inc.	None	509 (a) (1)	For South Florida Reproductive Alliance	1,250
National Latina Institute for Reproductive Health	None	509 (a) (1)	For MI-LOLA - Miami International-Latinas Organizing for	1,250
Jose's Hands, Inc.	None	509 (a) (1)	General Support	3,000
				26,000
Barbara Hapgood				
North Carolina Community Foundation	None	509 (a) (1)	North Carolina Network of Grantmakers	10,000
Environmental Defense Fund, Incorporated	None	509 (a) (1)	General Support	2,000
American Heart Association, Inc.	None	509 (a) (1)	Go Red For Women' Campaign	5,000
Planned Parenthood Texas Capital Region, Inc.	None	509 (a) (1)	General Support	5,000
North Carolina Community Foundation	None	509 (a) (1)	NCNG	4,000
				26,000
Lynn Babicka				
Vero Beach Museum of Art, Inc.	None	509 (a) (1)	General Support	5,000
Dollars for Scholars of Indian River County	None	509 (a) (1)	General Support	3,000
Riverside Theatre, Inc.	None	509 (a) (1)	General Support	4,000
Bergen Save the Watershed Action Network	None	509 (a) (1)	General Support	5,000
Institute for Local Self-Reliance	None	509 (a) (1)	Continuance of Work in Indian River County	5,000
Vantage Health System, Inc.	None	509 (a) (1)	General Support	1,000
Children's Home Society of Florida, Inc.	None	509 (a) (1)	Transition Center	500
Humane Society of Vero Beach	None	509 (a) (1)	General Support	500
Harvest Food and Outreach Center	None	509 (a) (1)	In recognition of wonderful work of Austin Hunt	5,000
Mental Health Association in Indian River	None	509 (a) (1)	Annual Fund	1,000
National Mental Health Association, Inc.	None	509 (a) (1)	Research	7,500
AmeriCares	None	509 (a) (1)	Haiti Earthquake Disaster Relief	10,000
McKee Botanical Garden, Inc.	None	509 (a) (1)	General Support	1,000
Center for Biological Diversity	None	509 (a) (1)	Earth Day Overpopulation Fund	2,000
Visiting Nurse Association & Hospice Foundation	None	509 (a) (1)	General Support	1,000
Earthjustice	None	509 (a) (1)	For Work in Florida	10,000
Pelican Island Audubon Society, Inc.	None	509 (a) (1)	Sustainable Indian River, Inc. to complete the 'sustainable built'	1,000
Partnership Project, Inc.	None	509 (a) (1)	SaveOurEnvironment.org to help rally Floridians	2,000
University of Florida Foundation, Inc.	None	509 (a) (1)	Neurology/Endocrinology	7,500
Care Plus Foundation, Inc.	None	509 (a) (1)	General Support	1,000
Southern Vermont Arts Center, Inc.	None	509 (a) (1)	General Support	500
Natural Resources Defense Council, Inc.	None	509 (a) (1)	General Support	10,000
Planned Parenthood Texas Capital Region, Inc.	None	509 (a) (1)	Contraception and Abortion Services	5,000
Earthjustice	None	509 (a) (1)	Save the Gulf and Prevent the Next Oil Spill	1,000
Planned Parenthood of Northern New England, Inc.	None	509 (a) (1)	The Laura Fund	10,000
Women's Club of Haworth	None	509 (a) (1)	Scholarships	500
Earth Action Network Inc.	None	509 (a) (1)	Publicity, Reproduction and dissemination of E Magazine's	10,000
Planned Parenthood of Arkansas and Eastern Oklahoma, Inc.	None	509 (a) (1)	Abortion Services	2,500
				112,500
Deborah WP Ettinger				
Judicial Watch, Inc.	None	509 (a) (1)	General Support	10,000
The Heritage Foundation	None	509 (a) (1)	General Support	15,000
San Jose Police Foundation	None	509 (a) (1)	General Support	5,000
Cato Institute	None	509 (a) (1)	General Support	5,000
United Service Organizations, Inc.	None	509 (a) (1)	Wounded Warrior Program and Operation Enduring Care	18,000
Stanford Hospital and Clinics	None	509 (a) (1)	Stanford Patient Services	10,000
				63,000
Elaine Hapgood				
Planned Parenthood Health Systems Inc.	None	509 (a) (1)	Fund For Choice-Family Planning/Abortion Services/Ultrasound	12,500
First Presbyterian Church	None	509 (a) (1)	General Support	12,000
Bobby Fund, Inc.	None	509 (a) (1)	General Support	3,500

Ettinger Foundation
Schedule of Grant Payments
2010

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Florida Association of Planned Parenthood Haven, Inc	None	509 (a) (1)	Protect & Enhance Access to Reproductive Health Care	15,000
Hospice By The Sea, Inc.	None	509 (a) (1)	General Support	1,500
Population Connection	None	509 (a) (1)	General Support	1,000
United Way of Greenwich	None	509 (a) (1)	General Support	1,500
United Way of Palm Beach County, Inc.	None	509 (a) (1)	General Support	1,000
Boy Scouts of America Council	None	509 (a) (1)	General Support - Gulf Stream	1,000
Jane's Due Process Inc	None	509 (a) (1)	Legal Assistance to Minors for Reproductive Choice	3,000
Planned Parenthood of Maryland, Inc.	None	509 (a) (1)	General Support	5,000
IPAS	None	509 (a) (1)	Policy Work in the United States to Support Safe Abortion	10,000
Planned Parenthood of South Florida and Treasure Coast	None	509 (a) (1)	La Promesa Campaign	15,000
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	17,000
Planned Parenthood of South Florida and Treasure Coast	None	509 (a) (1)	General Support	10,000
Chicago Women's Health Center, Inc	None	509 (a) (1)	Family Planning and/or Abortion Services	2,000
Feminist Women's Health Center, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	2,000
Options Fund, Inc	None	509 (a) (1)	Family Planning/Abortion Services	3,000
Planned Parenthood of Illinois	None	509 (a) (1)	Family Planning/Abortion Services	5,000
Planned Parenthood of Greater Northern Ne	None	509 (a) (1)	Family Planning/Abortion Services	2,000
Planned Parenthood of Middle and East Ten	None	509 (a) (1)	Family Planning/Abortion Services	3,000
Planned Parenthood of Northern New Englan	None	509 (a) (1)	The Laura Fund	10,000
Planned Parenthood of Northwest Ohio, Inc	None	509 (a) (1)	Family Planning/Abortion Services	4,500
Pro-Choice Resources	None	509 (a) (1)	Hershey Abortion Assistance Fund	5,000
Womens Medical Fund Inc	None	509 (a) (1)	Family Planning/Abortion Services	3,000
Planned Parenthood of the Southern Finger	None	509 (a) (1)	Family Planning/Abortion Services	5,000
Women Have Options, Inc	None	509 (a) (1)	Family Planning/Abortion Services	4,000
Womens Health Rights Coalition	None	509 (a) (1)	ACCESS - Women in Need Fund	3,000
Womens Organization for Medical Emergency	None	509 (a) (1)	Family Planning/Abortion Services	3,000
Womens Reproductive Rights Assistance Pro	None	509 (a) (1)	Family Planning/Abortion Services	8,000
Blue Mountain Clinic, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	2,000
Chicago Abortion Fund	None	509 (a) (1)	Family Planning/Abortion Services	10,000
Women's Emergency Network, Inc	None	509 (a) (1)	Family Planning/Abortion Services	5,000
Planned Parenthood League of Massachusetts	None	509 (a) (1)	Family Planning/Abortion Services	5,000
Planned Parenthood of Southwest and Centr	None	509 (a) (1)	The Roe Fund - Family Planning/Abortion Services	10,000
West Virginia Free Incorporated	None	509 (a) (1)	Family Planning/Abortion Services	2,000
Women's Health Project, Inc	None	509 (a) (1)	Family Planning/Abortion Services at the Emma Goldman Clin	7,000
Freedom Fund, Inc	None	509 (a) (1)	Family Planning/Abortion Services	5,000
Sexuality Information and Education Counc	None	509 (a) (1)	Planning Grant for Fifth Annual State Summit in D C (May, 201	5,000
Answer	None	509 (a) (1)	On Line Professional Development Initiatives for Florida Educu	5,000
Planned Parenthood of South Florida and Treasure Coast	None	509 (a) (1)	General Support	15,000
				243,500
Heidi Ettinger				
New York University	None	509 (a) (1)	Rosie the Riveter Project	10,000
Ballet Hispanico of New York	None	509 (a) (1)	Scholarship Fund	3,000
Hudson Highlands Land Trust, Inc.	None	509 (a) (1)	General Support	3,000
Hudson Valley Shakespeare Festival, Inc	None	509 (a) (1)	General Support	2,500
The Flea Theater, Inc	None	509 (a) (1)	General Support	5,000
Playwrights Horizons, Inc	None	509 (a) (1)	General Support	5,000
New York Shakespeare Festival	None	509 (a) (1)	General Support	7,500
York Preparatory School Foundation	None	509 (a) (1)	York Preparatory School Scholarship Fund	7,500
Friends of the Gateway School, Inc.	None	509 (a) (1)	General Support	2,500
Second Stage Theatre, Inc.	None	509 (a) (1)	General Support	22,500
Citymeals-on-Wheels	None	509 (a) (1)	General Support	7,000
York Preparatory School Foundation	None	509 (a) (1)	NY Preparatory Scholarship Fund	5,000
New Group, Inc	None	509 (a) (1)	General Support	10,000
Hudson Valley Shakespeare Festival, Inc	None	509 (a) (1)	General Support	5,000
Hudson Highlands Nature Museum	None	509 (a) (1)	General Support	5,000
Epic Theatre Center, Inc	None	509 (a) (1)	General Support	10,000
Denison University	None	509 (a) (1)	William O. Brasmer Scholarship	5,000
The New York Glaucoma Research Institute	None	509 (a) (1)	General Support	10,000
				125,500
Lauren Zuskin				
Jemicy School, Inc.	None	509 (a) (1)	Scholarship for Dajah Corkran	19,505
Planned Parenthood of Maryland, Inc	None	509 (a) (1)	General Support	3,248
Special Olympics Maryland, Inc	None	509 (a) (1)	General Support	1,623
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	1,624
				26,000
James Bohart				
Austin Lyric Opera	None	509 (a) (1)	General Support	7,000
Ecology Action of Texas, Inc	None	509 (a) (1)	General Support	2,000

**Ettinger Foundation
Schedule of Grant Payments
2010**

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Breakthrough	None	509 (a) (1)	General Support	3,500
Westcave Preserve Corporation	None	509 (a) (1)	General Support	5,000
Saint Stephens Episcopal School	None	509 (a) (1)	David Paschall Scholarship Fund	5,000
Planned Parenthood Texas Capital Region, Inc.	None	509 (a) (1)	Funding for their Mission	1,000
University of Texas Foundation, Number 1	None	509 (a) (1)	McCombs School of Business	1,000
Public Citizen Foundation, Inc	None	509 (a) (1)	Public Citizen - Texas	1,500
				26,000
John Powers				
Western Conservation Foundation	None	509 (a) (1)	General Support	40,000
Caribbean Conservation Corporation, Inc.	None	509 (a) (1)	Sea Turtle Conservancy International Matching Grant Program	10,000
Sustainable Markets Foundation	None	509 (a) (1)	Investigation of BP Oil Release	1,000
Sustainable Markets Foundation	None	509 (a) (1)	Investigation of BP Oil Release	1,000
Aspen Community Foundation	None	509 (a) (1)	Third Generation Fund	30,000
Aspen Community Foundation	None	509 (a) (1)	Third Generation Fund	15,000
Air Traffic Control Education Fund, Inc.	None	509 (a) (1)	Matching Funds New Orleans Fifth Anniversary Album	1,000
Sustainable Markets Foundation	None	509 (a) (1)	Investigation of BP Oil Release	2,500
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	12,000
				112,500
Shelley Babicka				
Louisiana SPCA	None	509 (a) (1)	General Support	2,000
International Primate Protection League	None	509 (a) (1)	General Support	2,500
Humane Society of the United States	None	509 (a) (1)	General Support	1,500
Heart of the Valley Humane Society, Inc.	None	509 (a) (1)	Heart of the Valley Animal Shelter	1,200
World Wildlife Fund, Inc	None	509 (a) (1)	General Support	1,000
American Humane Association	None	509 (a) (1)	General Support	1,000
Colorado Rocky Mountain School	None	509 (a) (1)	General Support	1,500
Society for the Prevention of Cruelty to Animals of Westchester	None	509 (a) (1)	General Support	5,300
Coastal Pet Rescue, Inc	None	509 (a) (1)	General Support	1,000
Carolina Pet Rescue	None	509 (a) (1)	General Support	1,000
Www.Pilotsnpaws.Org Incorporated	None	509 (a) (1)	General Support	1,000
				19,000
TOTAL GRANTS 2010				\$ 1,162,750