Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Name of foundation The Charles A Dana Foundation, Incorporated	**A** Employer identification number 06-6036761
Number and street (or P O box number if mail is not delivered to street address) 505 Fifth Avenue, 6th Floor — Room/suite	**B** Telephone number (see page 10 of the instructions) 212-223-4040
City or town, state, and ZIP code New York NY 10017	**C** If exemption application is pending, check here ▶ ☐ **D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 248,649,052 **J** Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	75,704	75,704		
	4	Dividends and interest from securities	1,784,376	1,784,376		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	4,799,388			
	b	Gross sales price for all assets on line 6a 45,569,241				
	7	Capital gain net income (from Part IV, line 2)		4,799,388		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule) Statement 1	5,250,210	6,195,441	0	
	12	**Total.** Add lines 1 through 11	11,909,678	12,854,909	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	639,542	129,637		509,905
	14	Other employee salaries and wages	1,584,284	66,938		1,517,346
	15	Pension plans, employee benefits	1,225,856	68,525		905,609
	16 a	Legal fees (attach schedule) Schedule 2	14,061	0	0	14,061
	b	Accounting fees (attach schedule) Schedule 3	55,068	11,482	0	43,586
	c	Other professional fees (attach schedule) Schedule 4	1,632,828	679,266	0	953,562
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) Schedule 5	0	0	0	0
	19	Depreciation (attach schedule) and depletion	270,304	0	0	
	20	Occupancy	1,137,332	146,445		1,168,468
	21	Travel, conferences, and meetings	107,108	10,710		96,398
	22	Printing and publications	280,813			280,813
	23	Other expenses (attach schedule) Schedule 6	501,138	127,573	0	210,593
	24	**Total operating and administrative expenses.** Add lines 13 through 23	7,448,334	1,240,576	0	5,700,341
	25	Contributions, gifts, grants paid	9,744,668			13,480,415
	26	**Total expenses and disbursements.** Add lines 24 and 25	17,193,002	1,240,576	0	19,180,756
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-5,283,324			
	b	**Net investment income** (if negative, enter -0-)		11,614,333		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

				Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		500	500	500
	2	Savings and temporary cash investments		7,849,563	3,584,459	3,584,459
	3	Accounts receivable ▶	31,305			
		Less allowance for doubtful accounts ▶	0	551,042	31,305	31,305
	4	Pledges receivable ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule) ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	0
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule) Statement 7		10,196,656	16,386,243	16,386,243
	b	Investments—corporate stock (attach schedule) Statement 7		74,641,310	63,895,743	63,895,743
	c	Investments—corporate bonds (attach schedule) Statement 7		2,874,589	0	0
	11	Investments—land, buildings, and equipment basis ▶	0			
		Less accumulated depreciation (attach schedule) ▶	0	0	0	0
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule) Statement 8		145,507,244	163,977,438	163,977,438
	14	Land, buildings, and equipment basis Schedule 9 ▶	3,135,981			
		Less accumulated depreciation (attach schedule) ▶	2,448,521	957,765	733,664	733,664
	15	Other assets (describe ▶ Prepaid Expenses)		113,235	39,700	39,700
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)		242,691,904	248,649,052	248,649,052
Liabilities	17	Accounts payable and accrued expenses		159,815	373,398	
	18	Grants payable		20,745,060	17,009,313	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe ▶ See Attached Statement)		2,764,396	3,256,153	
	23	**Total liabilities** (add lines 17 through 22)		23,669,271	20,638,864	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.**	▶ ☒			
	24	Unrestricted		219,022,633	228,010,188	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	▶ ☐			
	27	Capital stock, trust principal, or current funds			0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	**Total net assets or fund balances** (see page 17 of the instructions)		219,022,633	228,010,188	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)		242,691,904	248,649,052	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	219,022,633
2	Enter amount from Part I, line 27a	2	-5,283,324
3	Other increases not included in line 2 (itemize) ▶ Net Unrealized Appreciation in Investment Assets	3	14,804,765
4	Add lines 1, 2, and 3	4	228,544,074
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement 11	5	533,886
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	228,010,188

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Schedule			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	45,569,241	0	40,769,853	4,799,388
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	4,799,388
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,799,388
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	21,704,566	227,987,593	0 095201
2008	27,981,968	276,875,689	0.101063
2007	29,338,086	316,767,686	0 092617
2006	26,645,525	331,439,606	0 080393
2005	23,520,011	303,126,207	0 077591

2	**Total** of line 1, column (d)	2	0 446865
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.089373
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	233,715,618
5	Multiply line 4 by line 3	5	20,887,866
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	116,143
7	Add lines 5 and 6	7	21,004,009
8	Enter qualifying distributions from Part XII, line 4	8	19,180,756

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	232,287
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	232,287
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	232,287
6	Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a 179,389		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 60,000		
d	Backup withholding erroneously withheld	6d 18		
7	Total credits and payments Add lines 6a through 6d		7	239,407
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	7,120
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 7,120 **Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______________ (2) On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3	X	
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT, DC, MD, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) Statement 13	11	X	
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ www dana org

14 The books are in care of ▶ Burton M Mirsky Telephone no ▶ 212 223 4040

Located at ▶ 505 Fifth Avenue, 6th Floor New York NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	**6b**		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Statement 15 Attached	00	639,542	176,073	0
	.00	0	0	0
	00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Statement 16 Attached	00	398,765	170,374	0
	.00	0	0	0
	00	0	0	0
	.00	0	0	0
	.00	0	0	0
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Cambridge Assoc 100 Summer Street, Boston, MA 02110-2112	Investment Advice	132,423
Dr McKhann 3400 North Charles St, Baltimore, MD 21228	Health Program Consultant	225,000
Gartner Group 56 Top Gallant Road, Stamford, CT 06902	IT Consultants	126,742
Internet Computers 55 West 39th Street, New York, NY 10018	Computer Consultants	280,126
Silchester Intl Investors, Inc 780 Third Avenue, NY, NY 10017	Investment Management	148,460
Total number of others receiving over $50,000 for professional services ▶		4

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See Attached Statement 17 - Total Expenses - $5,337,341 12-1	2,143,007
2 12-2	1,266,036
3 12-3	1,124,616
4 12-4	803,682

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	122,786,475
b	Average of monthly cash balances	1b	18,803,934
c	Fair market value of all other assets (see page 25 of the instructions)	1c	95,684,330
d	**Total** (add lines 1a, b, and c)	1d	237,274,739
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	237,274,739
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	3,559,121
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	233,715,618
6	**Minimum investment return.** Enter 5% of line 5	6	11,685,781

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	11,685,781
2a	Tax on investment income for 2010 from Part VI, line 5	2a	232,287		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	122		
c	Add lines 2a and 2b			2c	232,409
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	11,453,372
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	11,453,372
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	11,453,372

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	19,180,756
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	19,180,756
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	19,180,756

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7					11,453,372
2	Undistributed income, if any, as of the end of 2010					
a	Enter amount for 2009 only				0	
b	Total for prior years 20____, 20____, 20____			0		
3	Excess distributions carryover, if any, to 2010					
a	From 2005	8,951,617				
b	From 2006	10,645,872				
c	From 2007	14,180,510				
d	From 2008	14,446,614				
e	From 2009	10,337,978				
f	**Total** of lines 3a through e		58,562,591			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 19,180,756					
a	Applied to 2009, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)		0			
d	Applied to 2010 distributable amount					11,453,372
e	Remaining amount distributed out of corpus		7,727,384			
5	Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		66,289,975			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions			0		
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)		8,951,617			
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		57,338,358			
10	Analysis of line 9					
a	Excess from 2006	10,645,872				
b	Excess from 2007	14,180,510				
c	Excess from 2008	14,446,614				
d	Excess from 2009	10,337,978				
e	Excess from 2010	7,727,384				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0			0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0			0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0			0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

See Attached Statement 18

b The form in which applications should be submitted and information and materials they should include

See Attached Statement 18

c Any submission deadlines

See Attached Statement 18

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Attached Statement 18

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Schedule 19				13,480,415
Total ▶ 3a				13,480,415
b *Approved for future payment*				
See attached Schedule 19				17,009,314
Total ▶ 3b				17,009,314

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	75,704	
4 Dividends and interest from securities			14	1,784,376	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				4,799,388	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Partnership Investments	900000	195,152	14	5,055,058	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		195,152		11,714,526	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 11,909,678

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee

11/10/11

Date

VP

Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

The Charles A. Dana Foundation , Incorporated
2010 Index for List of Attachments
EIN 06-6036761

Form **Description:**
Approved Extension Letter

Number	**Schedule/Statement:**	**Part**	**Line Number**
1	Other Income	I	11
1A	Other Income-UBTI	I	11
2	Legal Fees	I	16a
3	Accounting Fees	I	16b
4	Other Fees	I	16c
5	Depreciation	I	19
6	Other Expenses	I	23
7	Investment Summary	II	10
7A	Investment Holdings Summary- BNY Corporate Stocks	II	-
8	Investments-Other	II	13
9	Land Buildings and Equipment	II	14
10	Other Liabilities	II	22
11	Analysis of Changes in Net Assets	III	3,5
12	Summary Schedule of Capital Gains and Losses	IV	1a
12A to E	Statement - Bank of New York Gains (Losses)	IV	-
13	Statements Regarding Activities (Transfers to Controlled Corporations)	VII-A	11
14	Statement of Expenditure Responsibility	VII-B	5c
15	Compensation of Officers and Directors	VIII	1
15A	Statement Regarding Officers and Directors Receiving Compensation From a Related Entity	VIII	-
16	Compensation of Five Highest Paid Employees	VIII	2
16A	Statement Regarding Highest Paid Employees Receiving Compensation From a Related Entity	VIII	-
17	Direct Charitable Activities	IX-A	1-4
18	Grant Guidelines	XV	1-2
19	Grants and Contributions Paid During the Year or Approved for Future Payment	XV	3a-3b
20	Declaration of "conformed copy" of bylaw changes	VII-A	3
20A	Bylaws Changes	VII-A	3

Schedule 1

Line 11 (990-PF) - Other Income

		5,250,210	6,195,441	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Partnership Income (ordinary)	5,250,210		
2	Partnership Inc-Schedule 1A- per K-1's		6,390,593	
3	UBTI Adjustment Schedule 1A		-195,152	
4				
5				
6				
7				
8				
9				
10				

The Charles A Dana Foundation, Incorporated
Form 990PF
2010 Partnership/Trust Income
Part 1 Line 11

Schedule 1A

	Ein #	Column (a) Income Per Books	Column (b) Net Investment Income Net of UBTI	UBTI	Taxable Income Per K-1
Knightsbridge Venture Capital	20-1463725	-$156,706	-$145,886	-$201	-$146,087
Abbott Capital Fund II	04-3396222	-8,529	-3,912	2,014	-1,898
Abbott Capital Fund IV	36-7045759	346,096	203,596	31,322	234,918
Abbott Capital Fund V	20-2896577	71,127	-48,843	406	-48,437
Abbott Capital Fund VI	26-2293853	-43,063	-1,540	-1,521	-3,061
Sovereign New Millenium Fund	84-1460377	77,201	226,248	-1,013	225,235
Adamas Opportunities L.P.	04-3514360	168,900	410,499	77,754	488,253
Adamas Partners L.P.	04-3514358	282,400	199,043	2,086	201,129
Davidson Kempner	13-3597020	590,758	593,047	-454	592,593
TIFF Partners III L.P.	58-2426874	104,455	321,602	987	322,589
TIFF Partners IV L.P.	54-2007544	391,831	394,041	-719	393,322
Oak Hill Capital Partners Bermuda	52-2265365	55,865	56,264		56,264
Oak Hill Capital Partners	22-3624482	202,284	203,101		203,101
Silchester	36-7045759	1,102,642	1,102,642		1,102,642
Wellington Trust (Mutual Fund)	81-0624792	58,339	58,339		58,339
Wellington Strategic Real assets	90-6110063	1,100,701	1,088,376		1,088,376
TIFF Secondary Partners (TSP) TIFF I	56-2384583	84,106	88,375	-312	88,063
Hintz , Holman & Rubillard - Jubilee Tax Exempt	13-4036300	322,737	322,737		322,737
Commonfund Private Equity-VI	16-1720029	-63,365	-68,506	5,141	-63,365
Nyes Ledge Capital Fund	20-2705438	525,182	477,065	48,329	525,394
Aberdeen-Asia Pacific	36-7180580	227,150	394,435		394,435
Commonfund Private Equity- VII	20-8306306	-57,876	-53,676	-4,200	-57,876
Commonfund International Private Equity VI	20-8306365	-172,073	-172,064	-9	-172,073
Commonfund Capital Venture Partners VIII	11-3814030	-119,883	-119,837	-46	-119,883
Brandywine Intl Fixed	84-1667486	853,193	853,193		853,193
Adage Capital	04-3574590	228,701	228,701		228,701
Forester Capital-B	13-4079780	50,046	11,471	39,059	50,530
1607 Capital Partners	26-1761983	-523,789	-522,789		-522,789
Flag Real Asssets	20-8736989	79,221	80,837	-3,471	77,366
Evanston Weatherlow	n/a	215,677	0		0
Newport Asia	06-6036761	18,931	18,931		18,931
		$6,012,259	$6,195,490	$195,152	$6,390,642

Schedule 2

Line 16a (990-PF) - Legal Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		14,061	0	0	14,061
1	Epstein Becker	9,916			9,916
2	White and Case	702			702
3	Janklow & Ashley	3,443			3,443
4					
5					
6					
7					
8					
9					
10					

Schedule 3

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		55,068	11,482	0	43,586
1	O'Connor Davies et al	47,368	11,482		35,886
2	Preferred Pension	7,700			7,700
3					
4					
5					
6					
7					
8					
9					
10					

Schedule 4

Line 16c (990-PF) - Other Fees

		1,632,828	679,266	0	953,562
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Gartner Group	126,742			126,742
2	Internet Computers Inc	280,126	70,032		210,094
3	Dr Mckhann	225,000			225,000
4	Dr Asbury	125,000			125,000
5	Dr Blakemore	50,000			50,000
6	Dr Magistetti	35,000			35,000
7	Dr Steinman	50,000			50,000
8	Dr Jamisson	37,500			37,500
9	PWC Compensation	23,435	5,859		17,576
10	Dr Treisman	12,500			12,500
11	Dr Albert	25,000			25,000
12	Cambridge Assoc	132,423	132,423		
13	Silchester	148,460	148,460		
14	Wellington	116,303	116,303		
15	Aberdeen Global and Fixed Inc	67,613	67,613		
16	Eagle Management	83,084	83,084		
17	BrandyWine	42,568	42,568		
18	BNY	12,924	12,924		
19	Misc less than $7,000	39,150			39,150
20					
21					
22					
23					
24					
25					
26					
27					
28					

Schedule 5.

Amount of depreciat[illegible]cluded in cost of goods sold ____________

Line 19 (990-PF) - Depreciation and Depletion

	Description	Date Acquired	Method of Computation	Asset Life	Cost or Other Basis	Beginning Accumulated Depreciation	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
							270,304	0	0
1	Leasehold Improvements	various	various	various	3,182,185	2,178,216	270,304		
2						0			
3						0			
4						0			
5						0			
6						0			
7						0			
8						0			
9						0			
10						0			

Schedule 6

Line 23 (990-PF) - Other Expenses

		501,138	127,573	0	210,593
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	Insurance	79,667	39,833		39,834
3	Computers	224,653	56,163		168,490
4	Dues/Subscription	13,001	3,250		9,751
5	Postage/FedEx	9,967	2,492		7,475
6	Prof Devel	9,012			9,012
7	Office Expense	9,246	2,312		6,934
8	Miscellaneous	59,650	13,929		45,721
9	Accrual to Cash Adjustment				-162,972
10	Payroll Service	5,880	588		5,292
11	Telephone-Fax-Copier	90,062	9,006		81,056
12					

Charles A Dana Foundation
Form 990 PF-Part II
06-6036761
Year 2010

Statement 7

	Line	column a Book Value	column b Book Value	column c Fair Value
U.S. and state government obligations	10a			
Total US Treasuries		$10,196,656	$14,789,425	$14,789,425
Money Market Fund			1,596,818	1,596,818
Total Government Obligations		$10,196,656	$16,386,243	$16,386,243
Corporate Stock	10b			
Equities- See Attached		$9,450,091	$9,275,830	$9,275,830
Money Market Fund- See Statement 7A			591,394	591,394
Sub-total		$9,450,091	$9,867,224	$9,867,224
Mutual Funds :	10b			
Vanguard S & P 500 Index Fund		$11,207,105	$1,251,185	$1,251,185
Vanguard Emerging Mkts.-Index Fund		11,135,582	9,844,428	9,844,428
Vanguard Growth Fund		8,517,290	1,276,422	1,276,422
Vanguard Inflation Protection		8,093,456	7,622,787	7,622,787
Vanguard Devel Mkt Fund		3,851,158	2,313,247	2,313,247
Vanguard Total Bond Fund		22,112,276	15,539,819	15,539,819
PIMCO Developing Local Mkts Fund		0	7,121,347	7,121,347
Bridgeway Funds		0	9,042,514	9,042,514
State Street		274,352	16,770	16,770
Total Mutual funds		65,191,219	54,028,519	54,028,519
Total Corporate and Mutual Funds Stock		$74,641,310	$63,895,743	$63,895,743
Corporate Bonds	10c			
Bank Corporate Bonds				
Mortgage Pass- Through Certif.		$1,978,505	$0	$0
Automobile Loan Receivables		95,643	0	0
Pooled Funds		800,441	0	0
Total Corporate Bonds		$2,074,148	$0	$0

THE BANK OF NEW YORK MELLON.

Statement (7)A
1 of 3

TRDF38 F38F58312502 ANNUAL FINAL 165814
INVESTMENT DETAIL
31 DECEMBER 2010

2010-12-31 CYCLE A 00:00:07 RUN DATE: 14-JAN-
PAGE: 1
M1101

F38 F583125
CHARLES A DANA FOUNDATION

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
INVESTMENTS CASH EQUIVALENTS					
591,394.1100	DREYFUS INSTL RES MM FD HAM VAR RT 12/31/2049 DD 09/10/08	591,394.11	100.0000	591,394.11	0.00
TOTAL INVESTMENTS CASH EQUIVALENTS		591,394.11		591,394.11	0.00
INVESTMENTS EQUITY					
2,900.0000	WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	99,444.77	34.6300	100,427.00	982.23
8,800.0000	ALTERA CORP	179,046.56	35.5800	313,104.00	134,057.44
12,900.0000	AON CORP	469,428.70	46.0100	593,529.00	124,100.30
10,800.0000	WR BERKLEY CORP	261,057.78	27.3800	295,704.00	34,646.22
8,200.0000	COCA-COLA CO/THE	358,179.35	65.7700	539,314.00	181,134.65
28,650.0000	COMCAST CORP	507,940.77	20.8100	596,206.50	88,265.73
7,220.0000	DISCOVERY COMMUNICATIONS INC	88,869.40	36.6900	264,901.80	176,032.40
4,900.0000	ECOLAB INC	183,227.00	50.4200	247,058.00	63,831.00
4,600.0000	FIDELITY NATIONAL INFORMATION	85,229.26	27.3900	125,994.00	40,764.74
9,600.0000	KRAFT FOODS INC	282,704.92	31.5100	302,496.00	19,791.08
2,000.0000	L-3 COMMUNICATIONS HOLDINGS IN	90,989.40	70.4900	140,980.00	49,990.60

Statement 7A
2 of 3

THE BANK OF NEW YORK MELLON.

TRDF38 F38F58312502 ANNUAL FINAL 165814
INVESTMENT DETAIL
F38 F583125
CHARLES A DANA FOUNDATION
31 DECEMBER 2010

2010-12-31 CYCLE A 00:00:07 RUN DATE: 14-JAN-11
PAGE: 2
M1101

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
2,235.0000	LIBERTY GLOBAL INC	37,957.83	35.3800	79,074.30	41,116.47
13,399.0000	LIBERTY GLOBAL INC	208,927.83	33.8900	454,092.11	245,164.28
1,138.0000	LIBERTY MEDIA CORP - STARZ	19,750.80	66.4800	75,654.24	55,903.44
5,700.0000	LOEWS CORP	184,179.09	38.9100	221,787.00	37,607.91
1,700.0000	MCDONALD'S CORP	100,955.52	76.7600	130,492.00	29,536.48
18,000.0000	MICROSOFT CORP	468,090.00	27.9100	502,380.00	34,290.00
2,400.0000	NESTLE SA ADR	78,003.84	58.7380	140,971.20	62,967.36
7,200.0000	NEWFIELD EXPLORATION CO	125,773.92	72.1100	519,192.00	393,418.08
24,000.0000	NEWS CORP	154,604.25	14.5600	349,440.00	194,835.75
4,800.0000	NOBLE ENERGY INC	51,604.80	86.0800	413,184.00	361,579.20
5,300.0000	PRAXAIR INC	215,975.00	95.4700	505,991.00	290,016.00
10,000.0000	PROGRESSIVE CORP/THE	153,135.05	19.8700	198,700.00	45,564.95
2,400.0000	TEVA PHARMACEUTICAL INDUSTRIES ADR	111,118.80	52.1300	125,112.00	13,993.20
5,300.0000	THERMO FISHER SCIENTIFIC INC	190,902.94	55.3600	293,408.00	102,505.06
4,500.0000	3M CO	339,382.35	86.3000	388,350.00	48,967.65
7,880.0000	UNITEDHEALTH GROUP INC	279,067.52	36.1100	284,546.80	5,479.28

Statement 7A
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THE BANK OF NEW YORK MELLON.

TRDF38 F38F58312602 ANNUAL FINAL 165814
INVESTMENT DETAIL
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F38 F583125
CHARLES A DANA FOUNDATION
31 DECEMBER 2010

SHARES/ PAR VALUE	SECURITY DESCRIPTION	COST	PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS
10,400.0000	VODAFONE GROUP PLC ADR	238,301.44	26.4400	274,976.00	36,674.56
9,000.0000	WAL-MART STORES INC	431,973.66	53.9300	485,370.00	53,396.34
8,500.0000	WASTE MANAGEMENT INC	136,794.46	36.8700	313,395.00	176,600.54
TOTAL INVESTMENTS EQUITY		6,132,617.01		9,275,829.95	3,143,212.94
TOTAL INVESTMENT		6,724,011.12		9,867,224.06	3,143,212.94

The Charles A. Dana Foundation, Incorporated
Other Investments Schedule 8
Part II- Line13
2010

	Net Book Value 01-Jan	Net Book Value 31-Dec
Knightsbridge Venture Capital	$2,861,711	$3,538,263
Abbott Capital Fund II	1,027,378	859,684
Abbott Capital Fund IV	4,984,731	5,163,490
Abbott Capital Fund V	2,128,843	2,813,197
Abbott Capital Fund VI	192,036	402,240
Sovereign New Millenium Fund	935,576	547,162
Adamas Opportunities L.P.	6,032,715	6,400,919
Adamas Partners L.P.	5,456,258	5,967,351
Davidson Kempner	11,610,560	12,724,667
TIFF Partners III L.P.	1,622,827	1,395,097
TIFF Partners IV L.P.	2,842,798	2,718,509
Oak Hill Capital Partners Bermuda	494,264	420,951
Oak Hill Capital Partners	1,674,480	962,784
Silchester	15,377,361	13,177,635
Wellington Trust (Mutual Fund)	2,764,964	0
Wellington Real Strategic Assets	14,568,921	10,289,968
Black Bear Offshore Fund - Offshore	73,209	57,914
TIFF Secondary Partners (TSP) TIFF I	519,325	620,941
Hintz , Holman & Rubillard - Jubilee Tax Exempt	3,199,061	3,904,665
Greenlight CapitalOffshore	3,310,434	3,695,142
Commonfund Private Equity-VI	2,642,471	3,694,437
Nyes Ledge Capital Fund	3,094,241	0
Aberdeen-Asia Pacific	11,159,368	7,678,881
Commonfund Private Equity- VII	835,297	1,713,433
Commonfund International Private Equity VI	1,872,830	3,213,881
Commonfund Capital Venture Partners VIII	1,225,155	2,322,908
Brandywine Intl Fixed	9,079,118	9,709,854
Adage Capital	10,150,736	11,553,901
King Street Capital	6,027,146	6,355,422
Forester Capital-B	5,135,419	6,532,506
1607 Capital Partners	7,061,294	8,180,667
Flag Real Asssets	978,401	1,849,258
Chilton Global Natural Resources	4,568,316	11,021,897
Evanston Weatherlow	0	3,215,677
Newport Asia	0	6,095,762
Rounding to F/S	0	-2
Gold Bullion	0	5,178,377
Total Investments	$145,507,244	$163,977,438

Schedule 9

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

		3,135,981	2,178,216	2,448,521	957,765	733,664	733,664
	Item or Category	Cost or Other Basis	Accumulated Depreciation Beg. of Year	Accumulated Depreciation End of Year	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	Leasehold Improvements	3,135,981	2,178,216	2,448,521	957,765	733,664	733,664
2					0	0	
3					0	0	
4					0	0	
5					0	0	
6					0	0	
7					0	0	
8					0	0	
9					0	0	
10					0	0	
11					0	0	
12					0	0	
13					0	0	
14					0	0	
15					0	0	
16					0	0	
17					0	0	

Schedule 10

Part II, Line 22 (990-PF) - Other Liabilities

		2,764,396	3,256,153
	Description	Beginning Balance	Ending Balance
1			
2	Deferred Taxes Payable	310,000	625,000
3	Deferred Rent Payable	576,827	399,046
4	Postretirement Benefit Obligation	1,877,569	2,232,107
5			
6			
7			
8			
9			
10			

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Net Unrealized Appreciation in Investment Assets	1	14,804,765
2	Total	2	14,804,765

Line 5 - Decreases not included in Part III, Line 2

1	Excise Taxes- Current and Deferred	1	533,866
2	Adj	2	20
3	Total	3	533,886

The Charles A. Dana Foundation, Incorporated

Capital Gains and Losses Summary

Part IV- Line 1a

2010

Schedule 12

	Cost	Proceeds	Gain (Loss)
Bank of New York (see Schedule 12A)	$4,736,369	$5,391,780	$655,411
State Street	257,388	263,455	6,067
Nyes Ledge	3,351,384	3,352,505	1,121
Wellington Management	1,764,197	2,888,643	1,124,446
Vanguard Inst Index Fund	9,156,239	10,174,999	1,018,760
Vanguard Total Bond Fund	9,786,257	10,300,000	513,743
Vanguard Developed Markets Index Fund	1,229,252	1,700,000	470,748
Vanguard Emerging Markets Index Fund	1,883,389	3,000,000	1,116,611
Vanguard Growth Index Fund	7,707,496	7,475,000	-232,496
Vanguard Inflation-Protected	897,882	1,000,000	102,118
Misc Receipts	0	22,859	22,859
	$40,769,853	$45,569,241	$4,799,388

Schedule 12

THE BANK OF NEW YORK MELLON.

TRDF38 F38F68312402 ANNUAL FINAL 165814

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CHARLES A DANA FOUNDATION

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CORPORATE ACTIONS						
U.S. DOLLAR						
CD	06-DEC-10	EL PASO CORP EL PASO CORPORATION CLASS ACT PROCEEDS		940.16	0.00	940.16
CD	13-OCT-10	ENRON CORP COM ENRON CORP CLASS ACT PROCEEDS		1,249.87	0.00	1,249.87
CD	03-DEC-10	FOOTSTAR INC COM NOW CUSIP 344912209 FOOTSTAR, INC. CLASS ACT PROCEEDS		17.76	0.00	17.76
CD	15-OCT-10	RAYTHEON CO COM RAYTHEON COMPANY (SEC) CLASS ACT PROCEEDS		788.34	0.00	788.34
CD	06-MAY-10	TRANSACTION SYSTEMS ARCHITECTS NOW CUSIP 004498101 TRANSACTION SYSTEMS ARCHITECTS CLASS ACT PROCEEDS		24.03	0.00	24.03

Inv Grant - 12A	3020		3020
Eagle - 12B	3430075	2360971	1069104
Eagle - 12C	1529		1529
Aberdeen - 12D	1943946	2373412	<429466>
Aberdeen - 12E	13210		13210
MISC.		1986	<1986>
Total BNY	5391780	4736369	655411

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THE BANK OF NEW YORK MELLON. - Eagle

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F38 F583126
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
EQUITY						
U.S. DOLLAR						
S	26-JUL-10 29-JUL-10	WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	4,200.000-	134,404.44	123,727.44-	10,677.00
S	21-OCT-10 26-OCT-10	WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	3,100 000-	98,448.44	104,426.17-	5,977.73-
S	27-APR-10 27-APR-10 28-APR-10	ALLEGHANY CORP DEL	.840-	192.04	41.89-	150.15
S	04-AUG-10 09-AUG-10	ALLEGHANY CORP DEL	787.000-	244,076.01	62,165.03-	181,910.98
S	01-FEB-10 04-FEB-10	ALTERA CORP COM	2,200.000-	47,801.43	39,680.08-	8,121.35
S	04-AUG-10 09-AUG-10	ALTERA CORP COM	2,500 000-	69,449 67	45,081.00-	24,368.67

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THE BANK OF NEW YORK MELLON.

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CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
S	12-NOV-10 17-NOV-10	ALTERA CORP	3,100 000-	100,928 71	58,222.64-	42,706 07
S	01-FEB-10 04-FEB-10	AMERICAN EXPRESS CO COM	1,400 000-	53,311 88	60,811.10-	7,499 22-
S	17-MAR-10 22-MAR-10	AMERICAN EXPRESS CO COM	2,600 000-	102,650.19	109,591 25-	6,941 06-
S	10-MAY-10 13-MAY-10	AMERICAN EXPRESS CO COM	2,200 000-	94,485 66	83,601 32-	10,884 34
S	01-FEB-10 04-FEB-10	COCA COLA CO COM	1,300 000-	70,485 10	55,363 28-	15,121 82
S	04-AUG-10 09-AUG-10	COCA-COLA CO/THE	700.000-	38,438 73	28,515 15-	9,923 58
S	01-FEB-10 04-FEB-10	COMCAST CORP NEW CL A SPL	5,600 000-	85,517.07	108,889 10-	23,372 03-
S	04-AUG-10 09-AUG-10	COMCAST CORP	4,700 000-	85,021 55	90,518 87-	5,497 32-
S	01-FEB-10 04-FEB-10	DIRECTV COM CL A	2,000.000-	61,799.21	19,005 26-	42,793 95
S	07-APR-10 12-APR-10	DIRECTV COM CL A	9,381 000-	324,624.01	107,241 19-	217,382 82
S	22-SEP-10 27-SEP-10	DRESSER RAND GROUP INC COM	3,200 000-	119,594.45	78,400 00-	41,194 45

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CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
S	07-SEP-10 10-SEP-10	ENSCO PLC	2,600 000-	112,194 34	91,002 34-	21,192.00
S	04-AUG-10 09-AUG-10	LIBERTY GLOBAL INC COM SER A	1,700 000-	50,404 14	28,871 73-	21,532.41
S	01-FEB-10 04-FEB-10	LIBERTY MEDIA HLDG CORP INTERACTIVE COM SER A	17,229 000-	179,498 05	322,567 04-	143,068.99-
S	03-MAR-10 08-MAR-10	LIBERTY MEDIA HLDG CORP CAP COM SER A	2,845 000-	93,377 12	25,992 26-	67,384 86
S	01-FEB-10 04-FEB-10	MICROSOFT CORP COM	2,100 000-	59,408 24	56,741 16-	2,667 08
S	01-FEB-10 04-FEB-10	MILLIPORE CORP COM	1,000 000-	69,555 42	41,160 60-	28,394.82
S	01-MAR-10 04-MAR-10	MILLIPORE CORP COM	700 000-	73,404 42	28,812 42-	44,592 00
S	15-JUL-10 15-JUL-10	MILLIPORE CORP COM	1,800 000-	192,600 00	74,089 08-	118,510 92
S	17-JUN-10 22-JUN-10	MONSANTO CO	1,400 000-	70,195 23	95,787 30-	25,592 07-
S	04-AUG-10 09-AUG-10	NATIONAL INSTRS CORP COM	6,750 000-	215,913 99	134,802 18-	81,111 81
S	01-FEB-10 04-FEB-10	NEWFIELD EXPL CO COM	1,300 000-	66,515 21	22,709 18-	43,806.03

THE BANK OF NEW YORK MELLON.

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CHARLES A DANA FOUNDATION

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S	01-FEB-10 04-FEB-10	NOBLE ENERGY INC COM	600 000-	45,212 00	6,450 60-	38,761 40
S	04-AUG-10 09-AUG-10	PRAXAIR INC	1,300 000-	114,398 06	52,975 00-	61,423 06
S	21-JUL-10 26-JUL-10	UNITEDHEALTH GROUP INC	3,600 000-	111,086 81	78,365.83-	32,720 98
S	26-AUG-10 31-AUG-10	UNITEDHEALTH GROUP INC	1,400 000-	46,171 91	30,475 64-	14,696 27
S	01-FEB-10 04-FEB-10	WAL MART STORES INC COM	1,100.000-	58,739 25	47,512 52-	11,226 73
S	20-APR-10 23-APR-10	WASTE MGMT INC DEL COM	2,143 000-	75,099 08	30,722 48-	44,376 60
S	20-APR-10 23-APR-10	WASTE MGMT INC DEL COM	1,857 000-	65,063.16	26,622 32-	38,440 84
		Eagle		3430,075	2360,971	1069,104

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THE BANK OF NEW YORK MELLON.

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CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
CORPORATE ACTIONS						
U.S. DOLLAR						
MW	04-JAN-10	10,200 000- WILLIS GROUP HOLDINGS LTD SHS DEL TO G96666105		0 00	327,588 38-	
MM	04-JAN-10	10,200 000 WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY FROM G96655108		0.00	327,588.38	
DD	30-MAR-10	15 640 ALLEGHANY CORP DEL STOCK DIVIDEND 102% EX 30MAR, PAY 23APR, REC 01APR		0.00	0 00	
CD	05-JAN-10	UNITEDHEALTH GROUP INC COM SECURITIES LITIGATION PAYMENT		1,528 67	0 00	1,528.67

Eagle

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THE BANK OF NEW YORK MELLON. Aberdeen

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F38 F683118
CHARLES A DANA FOUNDATION

Aberdeen

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
FIXED INCOME SECURITIES						
U.S. DOLLAR						
S	27-JAN-10 01-FEB-10	AMERICAN HOME MTG TR 06-2 VA3 VAR RT 09/25/2036 DD 06/01/06	148,640.260-	137,120.65	148,630.76-	11,510.11-
S	14-JAN-10 20-JAN-10	BANC AMER COML 07-1 P/T CL A4 5.451% 01/15/2049 DD 02/01/07	30,000.000-	27,103.13	20,026.57-	7,076.56
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
S	14-JAN-10 20-JAN-10	BANC AMER COML 07 4 CL A-SB 5.706% 01/10/2017 DD 11/01/07	125,000.000-	120,568.41	125,688.24-	5,119.83-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						

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THE BANK OF NEW YORK MELLON.

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F38 F583118
CHARLES A DANA FOUNDATION

TRANSACTION REPORT
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TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
S	14-JAN-10 20-JAN-10	BANC AMER COML 07 5 CL A-4 5.492% 10/10/2017 DD 12/01/07	130,000.000-	112,815 63	116,994.92-	4,179.29-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
S	03-FEB-10 08-FEB-10 01-MAR-10	BEAR STEARNS ARM 07-4 CL 22A1 VAR RT 05/25/2037 DD 05/01/07	180,315.740-	131,855 88	160,040.47-	28,184.59-
PAYMENT PERIOD 01-FEB-10 TO 07-FEB-10						
S	19-FEB-10 24-FEB-10	CHASE MTG FIN P/T 07-A1 11M1 VAR RT 03/26/2037 DD 02/01/07	217,196.210-	171,687 41	211,626.03-	39,938 62-
PAYMENT PERIOD 01-FEB-10 TO 23-FEB-10						
S	14-JAN-10 20-JAN-10	COBALT CMBS COML 2007-C2 A-AB 5 416% 04/15/2047 DD 04/01/07	205,000.000-	187,863.28	206,019.99-	18,156 71-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
S	01-FEB-10 04-FEB-10	DEUTSCHE MTG 06-WFAR13R 144A VAR RT 06/26/2036 DD 08/01/06	290,000.000-	208,800 00	268,861.72-	60,061 72-
PAYMENT PERIOD 01-FEB-10 TO 03-FEB-10						
S	27-JAN-10 01-FEB-10	FIRST FRANKLIN 07 FFC CL A2A VAR RT 08/25/2027 DD 05/29/07	81,351.470-	24,405.44	82,372 69-	57,967.25-
PAYMENT PERIOD 25-JAN-10 TO 31-JAN-10						
S	28-APR-10 03-MAY-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	205,108.053-	154,920.68	199,104.12-	44,183.44-
PAYMENT PERIOD 01-MAY-10 TO 02-MAY-10						
S	14-JAN-10 20-JAN-10	GE COML MTG CORP 07-C1 CL A-4 VAR RT 02/10/2017 DD 05/01/07	80,000.000-	66,850 00	74,093.75-	7,243.75-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						

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THE BANK OF NEW YORK MELLON.

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F38 F583116
CHARLES A DANA FOUNDATION

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S	28-APR-10 03-MAY-10	G S M S C P/T 08-2R 1A1 144A 7 600% 09/25/2036 DD 07/25/08	125,722.810-	95,549 33	122,002 23-	26,452 90-
PAYMENT PERIOD 25-APR-10 TO 02-MAY-10						
S	14-JAN-10 20-JAN-10	J P MORGAN 07-LDP12 CL A-4 VAR RT 02/15/2051 DD 08/01/07	109,000 000-	97,044.06	101,587 15-	4,543 09-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
S	14-JAN-10 20-JAN-10	ML CFC COML MTG 07-4 P/T A-SB 5.745% 06/12/2050 DD 06/01/07	100,000.000-	92,640 63	100,549 40-	7,908.77-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
S	27-JAN-10 01-FEB-10	POPULAR ABS INC SER 2004-4 M-1 VAR RT 09/25/2034 DD 09/01/04	149,502 180-	44,850.65	146,739.93-	101,889 28-
S	27-JAN-10 01-FEB-10	RENAISSANCE H E 07-2 CL AF-1 VAR RT 06/25/2037 DD 06/01/07	71,277.990-	58,447.95	71,902.75-	13,454 80-
S	26-JAN-10 29-JAN-10	UPFC AUTO REC 07-A CL A-3 5.530% 07/15/2013 DD 08/14/07	88,067.354-	88,067.38	88,065.48-	1 90
PAYMENT PERIOD 15-JAN-10 TO 28-JAN-10						
S	14-JAN-10 20-JAN-10	WACHOVIA BK SER 07-C32 CL A-PB VAR RT 06/15/2049 DD 06/01/07	130,000.000-	123,357.81	129,107 81-	5,750 00-
PAYMENT PERIOD 01-JAN-10 TO 19-JAN-10						
			Aberdeen	1943946	2373412	429466

THE BANK OF NEW YORK MELLON.   Aberdeen

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TRDF38 F38F69311602 ANNUAL   FINAL   165814   2010-12-31 CYCLE A 00.00 07 RUN DATE 14-JAN-11
PAGE: 13
M25701

TRANSACTION REPORT
FOR THE PERIOD 01 JANUARY 2010 THROUGH 31 DECEMBER 2010

F38 F583118
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
PRINCIPAL PAYMENTS		(* INDICATES PENDING SETTLEMENT)				
FIXED INCOME SECURITIES						
U S. DOLLAR						
PD	01-JAN-10 01-JAN-10 25-JAN-10	AMERICAN HOME MTG TR 05-2 VA3 VAR RT 08/25/2035 DD 08/01/05	5,812 970-	5,812 97	5,812.60-	.37
PAYMENT PERIOD 01-JAN-10 TO 31-JAN-10						
PD	01-FEB-10 01-FEB-10 25-FEB-10	AMERICAN HOME MTG TR 05-2 VA3 VAR RT 08/25/2035 DD 08/01/05	5,281.630-	5,281.63	5,281.29-	34
PAYMENT PERIOD 01-FEB-10 TO 28-FEB-10						
PD	01-JAN-10 01-JAN-10 25-JAN-10	BEAR STEARNS ARM 07-4 CL 22A1 VAR RT 05/25/2037 DD 05/01/07	2,322 338-	2,322.34	2,061.21-	261.13
PAYMENT PERIOD 01-JAN-10 TO 31-JAN-10						
PD	01-FEB-10 01-FEB-10 25-FEB-10	BEAR STEARNS ARM 07-4 CL 22A1 VAR RT 05/25/2037 DD 05/01/07	1,188 780-	1,188.78	1,055.11-	133 67
PAYMENT PERIOD 01-FEB-10 TO 28-FEB-10						
PD	01-JAN-10 01-JAN-10 25-JAN-10	CHASE MTG FIN P/T 07-A1 11M1 VAR RT 03/25/2037 DD 02/01/07	2,529 780-	2,529 78	2,464.88-	64 90
PAYMENT PERIOD 01-JAN-10 TO 31-JAN-10						
PD	01-FEB-10 01-FEB-10 25-FEB-10	CHASE MTG FIN P/T 07-A1 11M1 VAR RT 03/25/2037 DD 02/01/07	1,933 490-	1,933 49	1,883.97-	49.52
PAYMENT PERIOD 01-FEB-10 TO 28-FEB-10						

Schedule 12 E
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THE BANK OF NEW YORK MELLON.

TRDF38 F38F58311602 ANNUAL FINAL 165814 2010-12-31 CYCLE A 00 00 07 RUN DATE 14-JAN-11
TRANSACTION REPORT
F38 F583116 FOR THE PERIOD 01 JANUARY 2010 THROUGH 31 DECEMBER 2010
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
PD	25-JAN-10 25-JAN-10	FIRST FRANKLIN 07 FFC CL A2A VAR RT 06/25/2027 DD 06/28/07	5,374 480-	5,374.48	5,441 95-	67.47-
PAYMENT PERIOD 25-JAN-10 TO 24-FEB-10						
PD	01-JAN-10 01-JAN-10 25-JAN-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	2,073.200-	2,073 20	2,012.46-	60.74
PAYMENT PERIOD 01-JAN-10 TO 31-JAN-10						
PD	01-FEB-10 01-FEB-10 25-FEB-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	964.200-	964 20	935 95-	28.25
PAYMENT PERIOD 01-FEB-10 TO 28-FEB-10						
PD	01-MAR-10 01-MAR-10 25-MAR-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	2,613.230-	2,613 23	2,536 67-	76 56
PAYMENT PERIOD 01-MAR-10 TO 31-MAR-10						
PD	01-APR-10 01-APR-10 26-APR-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	3,035.640-	3,035 64	2,946 71-	88 93
PAYMENT PERIOD 01-APR-10 TO 30-APR-10						
PD	01-MAY-10 01-MAY-10 03-MAY-10	FIRST HORIZON P/T 07-AR1 1A1 VAR RT 05/25/2037 DD 03/01/07	2,831 280-	2,831 28	2,748 48-	82 80
PAYMENT PERIOD 01-MAY-10 TO 31-MAY-10						
PD	25-JAN-10 25-JAN-10	G S M S C P/T 08-2R 1A1 144A 7 500% 09/25/2036 DD 07/25/08	1,571 740-	1,571 74	1,525 23-	46 51
PAYMENT PERIOD 25-JAN-10 TO 24-FEB-10						

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THE BANK OF NEW YORK MELLON.

TRDF38 F38F58311602 ANNUAL FINAL 165814 2010-12-31 CYCLE A 00 00 07 RUN DATE 14-JAN-11

M25701

TRANSACTION REPORT
FOR THE PERIOD 01 JANUARY 2010 THROUGH 31 DECEMBER 2010

F38 F583116
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
PD	25-FEB-10 25-FEB-10	G S M S C P/T 08-2R 1A1 144A 7.500% 09/25/2038 DD 07/25/08	1,874 870-	1,874.87	1,819 48-	55 49
PAYMENT PERIOD 25-FEB-10 TO 24-MAR-10						
PD	25-MAR-10 25-MAR-10	G S M S C P/T 08-2R 1A1 144A 7.500% 09/25/2036 DD 07/25/08	3,267 490-	3,267 49	3,170.79-	96 70
PAYMENT PERIOD 25-MAR-10 TO 24-APR-10						
PD	26-APR-10 25-APR-10 26-APR-10	G S M S C P/T 08-2R 1A1 144A 7.500% 09/25/2036 DD 07/25/08	1,726.540-	1,726.54	1,675 45-	51.09
PAYMENT PERIOD 25-APR-10 TO 24-MAY-10						
PD	01-JAN-10 01-JAN-10 25-JAN-10	RENAISSANCE H E 07-2 CL AF-1 VAR RT 06/25/2037 DD 06/01/07	1,676 639-	1,676.64	1,691 34-	14.70-
PAYMENT PERIOD 01-JAN-10 TO 31-JAN-10						
PD	01-FEB-10 01-FEB-10 25-FEB-10	RENAISSANCE H E 07-2 CL AF-1 VAR RT 06/25/2037 DD 06/01/07	687 070-	687.07	693 09-	6.02-
PAYMENT PERIOD 01-FEB-10 TO 28-FEB-10						
PD	15-JAN-10 15-JAN-10	UPFC AUTO REC 07-A CL A-3 5 530% 07/15/2013 DD 06/14/07	5,303 710-	5,303 71	5,303 60-	.11
PAYMENT PERIOD 15-JAN-10 TO 14-FEB-10						

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THE BANK OF NEW YORK MELLON.

TRDF38 F38F58311802 ANNUAL FINAL 165814
2010-12-31 CYCLE A 00 00 07 RUN DATE 14-JAN-11
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M25701

TRANSACTION REPORT
FOR THE PERIOD 01 JANUARY 2010 THROUGH 31 DECEMBER 2010

F38 F583116
CHARLES A DANA FOUNDATION

TRAN CODE	EFFECTIVE/ CONTRACTUAL/ SETTLEMENT DATE	SECURITY DESCRIPTION (LOCAL CURR/SETTLE CURR)	SHARES PAR VALUE	TRADE DATE BASE AMOUNT	INVESTMENT BASE COST	REALIZED GAIN/LOSS IN BASE CURRENCY
CORPORATE ACTIONS						
U.S. DOLLAR						
CD	07-MAY-10	MIRANT AMERICAS GENERATION, LL CLASS ACT PROCEEDS		4.78	0.00	4.78
CD	15-JUN-10	QWEST COMMUNICATIONS INTERNATI QWEST COMMUNICATIONS INTERNATI CLASS ACT PROCEEDS		692 78	0.00	692.78
CD	23-JUL-10	WORLDCOM INC-WORLDCOM GROUP WORLDCOM, INC CLASS ACT PROCEEDS		12,613 33	0.00	12,613 33
TOTAL CORPORATE ACTIONS U.S. DOLLAR			Aberdeen	13,210.80	0.00	13,210.80

THE CHARLES A. DANA FOUNDATION, INCOROPORATED
EIN 06-6036761

2010

Statement 13

Part VII-A, Line 11: Statement of Information Regarding Transfers to Controlled Entities

(A) Name of Controlled Entity:
Dana Alliance for Brain Initiatives, Inc
505 Fifth Avenue, 6th Fl
New York, New York 10017

(B) Employer EIN: 06-1360140

(C) Description of Transfer: Cash Grant paid during the year

(D) Amount of Transfer: $3,406,930

The Charles A. Dana Foundation, Incorporated **Statement 14**
ID No. 06-603671

Year 2010

PART VII-B
Question 5c

FORM 990-PF

STATEMENT on EXPENDITURE RESPONSIBILITY

1. Grantee's name and address:

Dana Alliance for Brain Initiatives, Inc.
505 Fifth Avenue, 6th Fl
New York, New York 10017

2. Date and amount of grant.

Grants totaling $ 3,406,930 were paid to Dana Alliance during 2010

3 Purpose of grant:

The purpose of the grants was to provide for the general support of Dana Alliance to enable it to carry out its charitable purposes.

4. As of December 31,2010 the entire grant had been expended by Dana Alliance.

5. To the knowledge of the taxpaper. no portion of the funds contributed by Dana Foundation have been diverted by Dana Alliance from the purposes of the grant.

6. Dana Alliance provided Dana Foundation with an Annual Report in June 2011

The purpose of this statement is to satisfy the report-making requirements of Section 4945 (h) (3) of the Internal Revenue Code of 1986, as amended, regarding taxpaper expenditure responsibility.

1 of 2

The Charles A. Dana Foundation, Incorporated
2010
Part VIII Line 1 **Statement 15**
List of Officers and Directors

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
Edward F. Rover 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Chm and Pres. President/Director Hours - 26	$299,550	$66,311	$0
Burton M.Mirsky 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Treasurer Hours- 26	177,491	62,612	0
Barbara E. Gill 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Vice President Hours- 3	23,120	9,764	0
Rosemary Shields 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Secretary Hours -26	85,575	34,548	0
LaSalle D. Lefall, Jr. MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	6,000	0	0
Steven E. Hyman, MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	6,300	0	0
Charles A. Dana III 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,300	0	0
Edward Bleier 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6585	0	0

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The Charles A. Dana Foundation, Incorporated
2010
Part VIII Line 1 **Statement 15**
List of Officers and Directors

Wallace Cook 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6300	0	0
Hildegarde Mahoney 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,333	2,838	0
Ann McLaughlin Korologos 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,494	0	0
Herbert J. Siegel 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	6,494	0	0
Peter Nadosy 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director 2 Hours	3,000	0	0
	Grand Total	$639,542	$176,073	$0

Dana Alliance for Brain Initiatives, Inc. **Statement 15A**

06-1360140

Year 2010

Compensation reported in the 2010 Form 990PF of The Dana Alliance For Brain Initiatives, Inc. for directors and officers who are also directors and officers of the The Charles A. Dana Foundation, Incorporated, is as follows:

Form 990-PF

List of Officers and Directors

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
Edward F. Rover 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Chm President/Director Hours - 9	$99,850	$22,104	$0
Burton M.Mirsky 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Treasurer Hours- 9	59,164	20,870	0
Barbara E. Gill 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Vice President Hours- 32	208,080	87,877	0
Rosemary Shields 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Secretary Hours -9	28,525	11,516	0
LaSalle D. Leffall,Jr. MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	3,000	0	0
Steven E. Hyman, MD 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Director Hours-2	3,000	0	0
	Totals	$401,619	$142,367	0

The Charles A. Dana Foundation, Incorporated

Statement 16

06-6036761

Year 2010

Form 990-PF

Part VIII, Line 2

List of Highest Paid Employees

Name and Address	Title/ Average Hours	Compensation	Employee Benefits	Expense Account
Janet Eibler 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Dir Arts Education 24 Hours	$111,415	$23,294	0
Mary Lucas 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Grants Manager 35 Hours	88,450	39,365	0
Nicky Penttila 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Web Editor 32 Hours	68,400	40,572	
Barbara Peterson 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Exec-Asst 26 Hours	68,250	29,825	0
Brigida Gay 505 Fifth Avenue 6th Floor New York, N.Y. 10017	Controller 32 Hours	62,250	37,318	0
Total		$398,765	$170,374	$0

The Charles A. Dana Foundation, Incorporated

Year 2010

EIN # 06-6036761

Statement 16A

Certain of the individuals listed on Part VIII , line 2 also perform services for DANA ALLIANCE FOR BRAIN INITIATIVES , INC. (Alliance), EIBN 06-1360140. Foundation exercises expenditure responsibility over Alliance. Compensation is paid by a common paymaster. Compensation information for these individuals for 2010 from Alliance is as follows:

Employee	Title/Hours	Comp	Benefits	Other
Barbara Peterson	Exec Asst/ 9	$22,750	$9,942	$0
Brigida Gay	Controller/8	20,750	12,439	0
Nicky Pentilla	Web Editor/3	7,800	4,308	0
		$51,300	$26,689	$0

Charles A. Dana Foundation, Inc
2010

Statement 17
Form 990-PF, Part IX-A
Summary of Four Largest Direct Charitable Activities: Total Expenses $ **5,337,341**

1. The Grants department administers the various programs that support research in science and health, through the specifically designed objectives established by the Foundation's Board of Directors. The Foundation's principal interest is in neuroscience.

   Expenses, $2,143,007

2. The Communications Department is a full-service news bureau that identifies media opportunities and facilitates news coverage of areas of interest to the Foundation. It provides a resource service for journalists seeking background and experts in the neurosciences. In 2010, the Communications Department: (1) published four briefing papers and four Q&A's, which were sent to select media; (2) sent tailored Brain Awareness Week pitches to science, health, feature, and education reporters; (3) wrote and distributed media advisories for the Staying Sharp series; (4) wrote media alerts about Dana programs; and (5) and maintained the online Expert Directory of Dana Alliance members, specifically for the media. The Communications Department has editorial responsibility for *Brain in the News* and *Cerebrum*. *Brain in the News* (BITN) and *Cerebrum* are published monthly. BITN is published in print and online and *Cerebrum* is an online-only publication. The News Office also acts as an in-house resource for science-based materials. The staff serves as editors for the web resource sections: BrainWeb, Brainy Kids Online, and Brain Resources for Seniors.

   Expenses, $1,266,036

3. The Dana Press publishes the "Report on Progress" and ebooks. The Dana Press provides editorial assistance for scientist/authors.

   Expenses, $1,124,616

4. The Dana Foundation continued to enhance its Website, which features weekly and often daily stories on brain science; blogs; a user-friendly search engine; grants information; subscription features, including RSS feeds; a Twitter account; and all Dana publications. The European Dana Alliance for the Brain Website provided users access to publications in multiple languages; links to international neuroscience research organizations; and up-to-date information about the brain and brain conferences and events around the world. The Brain Awareness Week Website offers partners and the general pubic access to the international calendar; free downloadable materials; educational resources; and current information.

Expenses, **$803,682**

Statement 18(-part 1)
1 of 2

THE
DANA
FOUNDATION

Grant Guidelines

Last Revised October 2010

The Dana Foundation, established in 1950 by the industrialist, philanthropist, and legislator Charles A. Dana, is a private philanthropic foundation with principal interests in science, health, and education. The Foundation's current area of research emphasis is in neuroscience. Selected proposals have the potential to improve human health or functioning. No applications are considered apart from these grant programs. Additional information on current grant programs is available on our Web site, www.dana.org.

GENERAL POLICIES

The Foundation:

1. Supports programs in science, health, and education through specifically defined objectives in each field.
2. Requires grantee institutions, in many cases, to share the cost of a project or raise matching funds.
3. Makes no grants directly to individuals.
4. Does not support annual operating costs of organizations, deficit reduction, capital campaigns, or individual sabbaticals.
5. Does not schedule meetings with applicants, other than by specific invitation initiated by the Foundation.

APPLICATION GUIDELINES

2of2

Neuroscience Grants

Brain and Immuno-imaging. Investigators use conventional or cellular and molecular imaging techniques to pilot-test novel clinical hypotheses on the brain or interactions between brain and immune cells. Requests for Proposals (RFPs) are sent yearly to deans of U.S. Medical Schools and other invited biomedical research institutions.

Neuroimmunology. The Neuroimmunology Program has been redesigned to focus on brain infections and cancers. Specifically, we are inviting studies of 1) immune-based therapies for primary brain tumors, and for metastases to the brain of other cancers; 2) immune responses to infections in the brain, including but not limited to viral encephalitis, meningitis, cerebral malaria, and prion diseases, and 3) how the immune system affects the brain and how the brain modifies immune function. RFPs are sent yearly U.S. Medical Schools and other invited biomedical research institutions.

Clinical Neuroscience Research. By invitation, translational researchers apply for support to test promising therapies from animal model research in a small number of patients with devastating, currently untreatable, brain diseases. Also supported are studies to develop ethical guidelines for clinical brain research, and prognostic data based on treatment outcomes in patients with severe brain injuries or disorders.

All other Neuroscience Grants are made solely by invitation. For information on Science and Health grants, please contact:

Grants Office, The Dana Foundation
745 Fifth Avenue, Suite 900
New York, New York 10151
(212) 223-4040

Education Grants

The Foundation has supported advances in education throughout its history. The Foundation's continuing interest in fostering innovations in K-12 education is maintained through grant support for the Dana Center for Education Innovation at the University of Texas in Austin. Other Foundation support for select education projects is internally generated or invited.

While the education grants program is designed to benefit schools and school systems throughout the country, Foundation grants ordinarily are not made directly to individual schools.

For information on Education grants, please contact:

Grants Office, The Dana Foundation
505 Fifth Avenue, 6th floor
New York, New York 10017
(212) 223-4040

Statement 18 (part 2)

1 of 2

FULL PROPOSAL FORMAT

Brain and Immuno-imaging Program

Deadline: Tuesday, May 24, 2011

Cover page: Institution name. Project title. Principal investigator(s) name(s), title, phone and fax numbers, and street and e-mail addresses. Grant administration contact person, address, and phone number. Please number all pages of the proposal *including* appendices. In addition, please indicate whether you are a new investigator (have not yet received an RO1), a newly independent investigator (received one RO1), an established investigator (two or more RO1's), or a senior investigator (professor). Please specify the total funding requested. A comprehensive and clear cover page is essential.

For proposals using conventional imaging of gray or white matter and measures of anatomical or physiological brain functioning: Studies should involve patient-oriented clinical research. Applicants conducting research in animal models or in human tissue, because the study cannot yet be safely and effectively conducted in humans, must demonstrate direct clinical relevance. **Promising career investigators who have not yet been awarded their first independent research grant (RO1 from the NIH or equivalent from another Federal agency) or have received only one such grant at the time of applying are eligible to apply for funding of up to $200,000 payable over three years. Those applicants with two or more RO1's are eligible to receive funding totaling up to $100,000 payable over three years.**

For proposals using cellular and molecular imaging of biochemical actions of specific brain cells, or their interactions with immune cells: These studies may involve human tissues or animal models, but must have direct clinical relevance to the human. Applicants for all cellular and molecular imaging studies can request **up to $200,000 total, payable over three years.**

Cover Letter: *If your invitation letter includes feedback or concerns to be addressed within your full proposal,* please briefly respond to the points <u>in a cover letter</u>, and indicate where in the proposal (page #) these points are fully addressed.

Abstract: An abstract of the project's aims and methods. Abstracts of funded grants will be included on the Foundation's Web site to inform scientists about the project. While the general public may read these, abstracts should be written for the scientific community. Please also send on electronic copy of your abstract to <u>amarin@dana.org</u>.

Introduction: A one-page summary of the research, written in lay language that can be easily understood by the general public. Lay Summaries of funded studies will be posted on the Foundation's Web site to inform the public. The summary should describe:

1) The question(s) are you investigating
2) How you will study the question(s), using imaging
3) The potential significance to human health

Section I: State your hypothesis(es) clearly and succinctly.

Section II: List up to three specific research aims.

Section III: Describe the methods to be used. If a new methodology is being proposed, please provide preliminary evidence of its use by those involved in the application. If a new technique or assay is being developed, please provide at least preliminary evidence of its feasibility and use, as well as evidence of your experience with this technology. *If you do not have preliminary evidence, we encourage you to reapply after feasibility has been demonstrated.* If your proposed study involves patients, please also provide evidence of the feasibility of your enrollment targets for patients and controls. This should include a retrospective review of the number of patients with the condition who have been seen at the proposed participating institution(s) within the projected time needed to complete the study; and, an indication of how you will recruit the requisite number of appropriate controls. If your proposed project involves a study of normal brain functioning, please indicate how you will recruit the necessary number of participants.

Section IV: Explain how the proposed research relates to ongoing activities of your laboratory and clinical work.

Section V: Describe the potential research significance and clinical applications of your research. **(Note: Sections I through V should be no longer than five pages total. You may use up to two more pages for references.)**

Appendix I: A one-page budget reflecting the expense categories and timeline of your research project. The budget should begin **October 1, 2011.** *(Note: The Foundation will not provide support for indirect costs; a maximum of ten percent of the grant award may be used to purchase equipment.)*

Appendix II: Principal Investigator(s) CVs using the NIH four-page format.

Appendix III: A list of all active grants and pending proposals by the applicant(s). *Please include an abstract, specifying the aims, for any existing or pending grants that potentially involve overlap between these sources of support and the proposed Dana project.*

Appendix IV: A letter from the appropriate university or medical school official (or research institute president if from an invited free-standing institute) endorsing the application on behalf of the institution. *(Note: A copy of the letter submitted with the preliminary application is sufficient.)*

Appendix V: A letter from the person responsible for ensuring that the imaging technology required for this study will be available during the proposed time frame.

Appendix VI: Please provide a copy of the transmittal letter seeking IRB approval if your research involves humans. Please note that IRB approval is not required at the time of full proposal submission, but notice of IRB approval is required prior to release of Foundation funds for any project involving humans.

Appendix VII: *Optional:* If high resolution photographs are vital to illustrate or support the methodology proposed, please enclose 10 glossy originals.

Please use Times New Roman 12 point or Arial 11 point font. Reviewers will not consider proposals provided in smaller font sizes. Please adhere to 1 inch margins. The proposal must have titled subheads corresponding to these format instructions.

Due: By noon on Tuesday, May 24, 2011. Please send 10 complete copies to the following address:

Attn: Angie Marin
The Dana Foundation
505 Fifth Ave., 6th Floor
New York, NY 10017

Statement 9

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Acadiana Arts Council Lafayette, LA Arts Education	31,000		$20,000	11,000
Alabama Institute for Education in Arts Montgomery, AL Arts Education	4,000		4,000	
American Association for the Advancement of Science Washington, DC Neuroscience Research	75,000	124,200	199,200	
Arizona Community for Foundation Phoenix, AZ Arts Education	5,000			5,000
Arts Alliance of Northern New Hampshire Littleton, NH Arts Education	41,250		22,500	18,750
ArtsBridge America Appleton, WI Arts Education	6,000			6,000
Arts Council of Greater Baton Rouge Baton Rouge, Louisiana Arts Education	22,000			22,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Arts Horizons, Inc.				
New York, NY				
Arts Education	20,625		20,625	
Baylor College of Medicine				
Houston, TX				
Brain and Immuno-imaging	230,000			230,000
Neuroimmunology	100,000			100,000
Baylor Institute of Immunology Research				
Dallas, TX				
Human Immunology	100,000		100,000	
Beth Israel Deaconess Medical Center				
Boston, MA				
Clinical Neuroscience Research	150,000			150,000
Borderlands Theatre				
Tuscon, AZ				
Arts Education	27,500	(11,500)	14,650	1,350
Boston University School of Medicine				
Boston, MA				
Human Immunology	100,000		100,000	
Neuroimmunology	100,000		100,000	

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* Equivalency determination made, all others are public charities

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Brigham and Women's Hospital				
Boston, MA				
Neuroimmunology	300,000		100,000	200,000
Carnegie Mellon University				
Pittsburgh, PA				
Brain and Immuno-imaging				
Neuroimmunology	150,000			150,000
Case Western University				
Cleveland, OH				
Brain and Immuno-imaging	50,000			50,000
Center for Arts Education				
New York, NY				
Arts Education	16,500		16,500	
Children's Hospital Medical Center				
Boston, MA				
Brain and Immuno-imaging		200,000	50,000	150,000
Human Immunology				
Children's Hospital of Philadelphia				
Philadelphia, PA				
Brain and Immuno-imaging	50,000			50,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Choral Arts Society of Washington				
Washington, DC				
Arts Education	6,000			6,000
Cincinnati Children's Hospital Medical Center				
Cincinnati, OH				
Human Immunology	200,000		200,000	
CityDance Ensemble				
Washington, DC				
Arts Education	20,625		16,875	3,750
City Lore, Inc.				
New York, NY				
Arts Education	22,000		22,000	
ClancyWorks Dance Company				
Washington, DC				
Arts Education	6,000		6,000	
Cold Spring Harbor Laboratory				
Cold Spring Harbor, NY				
Genes to Cognition Web site development				
Science Enrichment and Neuroscience Mentoring	666,667		333,333	333,334
Brain and Immuno-imaging	150,000			150,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Columbia University				
New York, NY				
Neuroscience-Related		100,000	100,000	
Columbia University College of Physicians & Surgeons				
New York, NY				
Brain and Immuno-imaging	300,000	200,000	100,000	400,000
Clinical Neuroscience Research	75,000		75,000	
Human Immunology	80,000		80,000	
Neuroimmunology		200,000	50,000	150,000
Community Word Project				
New York, NY				
Arts Education	3,000		3,000	
CoMotion Dance Project at the University of Montana				
Missoula, MT				
Arts Education	5,000		5,000	
Creative Arts Team, Inc				
New York, NY				
Arts Education	6,000			6,000
Curators of the University of Missouri (Missouri Folk Arts Program)				
Columbia, MO				
Arts Education	3,220	(170)	3,050	

* Equivalency determination made, all others are public charities

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Dana Alliance for Brain Initiatives, Inc.				
New York, NY [See statement on expenditure responsibility]				
Public education campaign on neuroscience research		3,406,930	3,406,930	
Dana Farber Cancer Institute				
Boston, MA				
Brain and Immuno-imaging				
Clinical and Translational Research	4,750,000			4,750,000
Human Immunology	144,000		72,000	72,000
DreamYard Project, Inc				
Bronx, NY				
Arts Education	4,300			4,300
Duke University School of Medicine				
Durham, NC				
Brain and Immuno-imaging	200,000			200,000
Neuroimmunology	200,000		100,000	100,000
Education Through Music				
New York, NY				
Arts Education	20,625			20,625
Emory University School of Medicine				
Atlanta, GA				
Clinical Neuroscience Research		300,000	150,000	150,000
Neuroimmunology	150,000			150,000

* Equivalency determination made, all others are public charities

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Empire State Partnership for Professional Development				
New York, NY				
Arts Education	8,250		8,250	
Epic Theatre Center				
New York, NY				
Arts Education	3,000		3,000	
Fairfield University				
Fairfield, CT				
Arts Education	5,000			5,000
Feinstein Institute for Medical Research				
Manhasset, NY				
Clinical Neuroscience Research				
Brain and Immuno-Imaging	100,000			100,000
Human Immunology	100,000			100,000
Fred Hutchinson Cancer Research Center				
Seattle, WA				
Human Immunology	100,000			100,000
George Washington University Medical Center				
Washington, D C				
Neuroimmunology	150,000			150,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Harvard Medical School				
Cambridge, MA				
Brain and Immuno-imaging		400,000	50,000	350,000
Human Immunology	95,000			95,000
Harvard University				
Cambridge, MA				
Arts & Cognition	362,000			362,000
The Hastings Center				
Briarcliff Manor, NY				
Neuroscience Conferences	100,000		100,000	
Heinrich-Heine University*				
Dusseldorf, Germany				
Human Immunology	100,000		100,000	
Howard University College of Medicine		250,000		250,000
Washington, D.C				
Clinical Neuroscience Research				
Imperial College London				
London, England				
Human Immunology	79,000		79,000	

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Institut de Transplantation et de Recherche en Transplantation*				
Nantes, France				
Human Immunology	40,000			40,000
Jazz House Kids, Inc				
New York, NY				
Arts Education	17,875			17,875
John F Kennedy Center for the Performing Arts				
Washington, DC				
Arts Education	6,000		6,000	
Johns Hopkins University				
Baltimore, MD				
Brain and Immuno-imaging				
Human Immunology	300,000		100,000	200,000
Neuroimmunology				
Neurological problems with bypass surgery				
Clinical Neuroscience Research	245,000	100,000	195,000	150,000
Junior Appalachian Musicians				
Sparta, NC	2,000		2,000	
Arts Education				

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* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Kentucky Shakespeare Festival				
Louisville, KY				
Arts Education	2,500		2,500	
Kids Excel El Paso				
El Paso, TX				
Arts Education	2,250		2,250	
King's College*				
London, England				
Human Immunology	100,000		100,000	
Lied Center for Performing Arts				
Lincoln, NB				
Arts Education	38,750		25,000	13,750
Lincoln Center Institute				
New York, NY				
Arts Education	16,500		13,500	3,000
Los Angeles County Arts Commission				
Los Angeles, CA				
Arts Education	27,500		27,500	
Louisiana Division of the Arts				
Baton Rouge, LA				
Arts Education	27,500		27,500	

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Manic Depressive Illness Foundation				
Washington, DC				
Neuroscience Research	37,000		37,000	
Mark DeGarmo & Dancers/Dynamic Forms, Inc				
New York, NY				
Arts Education	3,000		3,000	
Massachusetts General Hospital				
Boston, MA				
Brain and Immuno-imaging	200,000		50,000	150,000
Human Immunology	244,000		244,000	
Neuroimmunology				
Massachusetts Institute of Technology				
Cambridge, MA				
Brain and Immuno-imaging	100,000		100,000	
Human Immunology	300,000		100,000	200,000
Maui Academy of Performing Arts				
Wailuki, HI				
Arts Education	25,000		11,250	13,750
Mayo Clinic				
Rochester, MN				
Human Immunology	60,000			60,000
Brain and Immuno-imaging	150,000		50,000	100,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
M D Anderson Cancer Center				
Houston, TX				
Brain and Immuno-imaging				
Human Immunology	300,000		200,000	100,000
Neuroimmunology	150,000			150,000
Medical University of South Carolina				
Charlestown, SC				
Brain and Immuno-imaging		200,000	50,000	150,000
Memorial Sloan Kettering Cancer Center				
New York, NY				
Brain and Immuno-imaging	150,000			150,000
Mississippi Alliance for Arts Education				
Hattiesburg, MS				
Arts Education	27,500		25,000	2,500
National String Project Consortium				
Columbia, SC				
Arts Education	4,530		4,530	
Necker Institute* (U. of Paris)				
Paris, France				
Human Immunology	100,000		100,000	

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Neuroethics Society				
Philadelphia, PA				
Neuroethics Operating Expenses		100,000	100,000	
New 42nd Street, Inc.				
New York, NY				
Arts Education	22,000		22,000	
New York Hall of Science				
Queens, NY				
Dana Brain Educator Series	30,000	30,000	60,000	
New York Presbyterian Hospital Neurological Institute				
New York, NY				
Clinical Neuroscience Research	75,000			75,000
New York University School of Medicine				
New York, NY				
Brain and Immuno-imaging		200,000	50,000	150,000
Neuroimmunology	350,000	(454)	99,546	250,000
Human Immunology	100,000			100,000
Nonprofit Coordinating Committee				
New York, NY				
General support		25,000	25,000	

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Northern Prairie Performing Arts				
Fargo, ND				
Arts Education	5,000		5,000	
Northwestern University Feinberg School of Medicine				
Chicago, IL				
Brain and Immuno-imaging	50,000			50,000
Neuroimmunology				
Oklahoma A+ Schools				
Edmund,OK				
Arts Education	27,500			27,500
Oregon Health Sciences University School of Medicine				
Portland, OR				
Brain and Immuno-imaging	150,000		50,000	100,000
(Out)Laws and Justice				
Los Angeles, CA				
Arts Education	6,000		6,000	
Pasadena Conservatory of Music				
Pasadena, CA				
Arts Education	2,000			2,000
PEN Foundation (Therapy Works)				
Winchester, TN				
Arts Education	2,500	(2,500)		

* Equivalency determination made, all others are public charities

The Charles A Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Pennsylvania State University				
Hershey, PA				
Brain and Immuno-imaging	150,000			150,000
Pentacle				
New York, NY				
Arts Education	3,000		3,000	
ReseachIAmerica				
Alexandria, VA				
Neuroscience-Related		10,000	10,000	
Research Institute of Nationwide Children's Hospital				
Columbus, OH				
Human Immunology		16,955		16,955
Rockefeller University				
New York, NY				
Human Immunology				
NeuroImmunology		100,000	100,000	
Endowed Professorship				
St Jude's Children's Research Hospital				
Memphis, TN				
Brain and Immuno-imaging	100,000		100,000	

The Charles A. Dana Foundation, Inc
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Stanford University				
Stanford, CA				
Brain and Immuno-imaging	100,000			100,000
Human Immunology	100,000		100,000	
Neuroimmunology				
State of Wisconsin Department of Public Instruction				
Madison, WI				
Arts Education	5,000			5,000
Stitchting Federation of European Neuroscience Societies				
Berlin, Germany				
Neuroscience Programs	55,000	35,000	55,000	35,000
Technical University*				
Munich, Germany				
Neuroimmunology	100,000		100,000	
The Hebrew University of Jerusalem*				
Jerusalem, Israel				
Brain and immuno-imaging	50,000			50,000
Human Immunology				
The Music Center				
Los Angeles, CA				
Arts Education	6,500		6,500	

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The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
The Wistar Institute				
Philadelphia, PA				
Human Immunology	112,000	(56,649)	55,351	
Theatre Communiations Group, Inc				
New York, NY				
Arts Education	13,750		13,750	
University of North Carolina at Greensboro Excellence Foundation A+				
Brown's Summit, NC				
Arts Education	5,000		5,000	
Uniformed Services University of the Health Sciences				
Bethesda, MD				
Brain and Immuno-imaging	100,000			100,000
University of Alabama School of Medicine				
Birmingham, AL				
Human Immunology				
Brain and Immuno-imaging	150,000	200,000	150,000	200,000
University of California, Davis				
Davis, CA				
Brain and Immuno-Imaging	150,000		50,000	100,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of California, Irvine				
Irvine, CA				
Arts Education (ArtsBridge)	26,625		6,000	20,625
Human Immunology				
University of California, Los Angeles				
Los Angeles, CA				
Brain and Immuno-imaging				
Clinical Neuroscience Research	326,000			326,000
Neuroimmunology				
University of California, Riverside				
Riverside, CA				
Neuroimmunology	100,000			100,000
University of California, San Francisco				
San Francisco, CA				
Brain and Immuno-imaging				
Human Immunology	300,000		300,000	
University of Chicago				
Chicago, IL				
Human Immunology	200,000		100,000	100,000
Brain and Immuno-imaging	150,000			150,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Florida College of Medicine				
Gainesville, FL				
Brain and Immuno-imaging		200,000	50,000	150,000
University of Iowa				
Iowa City, IA				
Brain and Immuno-imaging	100,000	200,000	50,000	250,000
Human Immunology				
University of Maryland School of Medicine				
Baltimore, MD				
Brain and Immuno-imaging	150,000			150,000
University of Massachusetts Medical School				
Worchester, MA				
Brain and Immuno-imaging	150,000		50,000	100,000
University of Miami School of Medicine				
Miami, FL				
Brain and Immuno-imaging	50,000		50,000	
Human Immunology	120,000		60,000	60,000
University of Michigan Medical School				
Ann Arbor, MI				
Brain and Immuno-imaging	550,000		100,000	450,000
Neuroimmunology	100,000		100,000	

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Missouri -- Columbia School of Medicine				
Columbia, MO				
Brain and Immuno-imaging	150,000	(100,000)	50,000	
University of Nebraska				
Omaha, NB				
Human Immunology	100,000			100,000
University of North Carolina				
Chapel Hill, NC				
Brain and Immuno-imaging				
Neuroimmunology		200,000	50,000	150,000
University of Pennsylvania				
Philadelphia, PA				
Brain and Immuno-imaging	150,000	200,000	100,000	250,000
Clinical Neuroscience Research	150,000			150,000
Supporting Neuroethics	60,000		60,000	
Neuroimmunology		200,000	50,000	150,000
Human Immunology		56,649	56,649	
University of Rochester				
Rochester, NY				
Brain and Immuno-imaging	150,000		150,000	

* Equivalency determination made, all others are public charities

The Charles A Dana Foundation, Inc
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Tennessee				
Memphis, TN				
Human Immunology	100,000			100,000
University of Texas at Austin				
Austin, TX				
Dana Center for Educational Innovation	25,000	25,000	50,000	
Brain and Immuno-imaging	100,000		100,000	
University of Texas Southwestern Medical School				
Dallas, TX				
Human Immunology		(16,955)	-16,955	
University of Toledo				
Toledo, OH				
Brain and Immuno-imaging	100,000		100,000	
University of Virginia Health Science Center				
Charlottesville, VA				
Clinical Neuroscience Research	75,000		75,000	
Human Immunology	300,000		100,000	200,000
Brain and Immuno-imaging	127,469		127,469	
University of Washington School of Medicine				
Seattle, WA				
Brain and Immuno-imaging	50,000			50,000
Human Immunology				

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
University of Wisconsin				
Madison, WI				
Brain and Immuno-imaging	300,000			300,000
Utah Shakespearean Festival				
Cedar City, UT				
Arts Education	4,000			4,000
Utah State University				
Logan, UT				
Arts Education	5,000		5,000	
Van Wezel Performing Arts Hall				
Sarasota, FL				
Arts Education	16,500		16,500	
Vanderbilt University				
Nashville, TN				
Neuroimmunology	100,000			100,000
Brain and Immuno-imaging	200,000		50,000	150,000
Virginia Commonwealth University				
Richmond, VA				
Neuroimmunology	100,000			100,000
VSA Arts				
Washington, DC				
Arts Education	41,250			41,250

* Equivalency determination made, all others are public charities

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
VSA Arts of Rhode Island				
Pawtuckets, RI				
Arts Education	27,500		22,500	5,000
Wake Forest University Baptist Medical Center				
Winston-Salem, NC				
Brain and Immuno-imaging	100,000			100,000
Neuroimmunology	150,000		50,000	100,000
Washington University School of Medicine				
St Louis, MO				
Brain and Immuno-imaging	50,000			50,000
Neuroimmunology	100,000		100,000	
Weill Medical College of Cornell University				
New York, NY				
Brain and Immuno-imaging	50,000			50,000
Clinical Neuroscience Research	50,000			50,000
Human Immunology	100,000		100,000	
Human Immunology training	35,000			35,000
Neuroimmunology		200,000	50,000	150,000
Wolf Trap Foundation for the Performing Arts				
Vienna, VA				
Arts Education	7,000		7,000	

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The Charles A Dana Foundation, Inc
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Yale University School of Medicine				
New Haven, CT				
Brain and Immuno-imaging	350,000	200,000	200,000	350,000
Neuroimmunology	100,000	200,000	150,000	150,000
Young Audiences of Maryland				
Baltimore, MD				
Arts Education	6,000		6,000	
Young Audiences, Inc.				
New York, NY				
Arts Education	6,500		6,500	
Young Dancers in Repertory				
New York, NY				
Arts Education	16,500		13,500	3,000
Young Playwrights' Theatre				
Washington, DC				
Arts Education	11,000		11,000	
York University				
Toronto, Canada				
Brain and Immuno-Imaging		100,000		100,000

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation, Inc.
Summary of Appropriations and Payments in 2010

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Other Grants (Schedule attached)	368,000	2,017,500	$2,385,500	
Employee Match		36,070	$36,070	
Grants Refunds		(100,408)	-100,408	
TOTAL GRANTS	**20,745,061**	**9,744,668**	**$13,480,415**	**17,009,314**

* Equivalency determination made, all others are public charities

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
564 Park Avenue Preservation Foundation New York, NY		5,000	5,000	
Academy of Medical Sciences London, England		5,000	5,000	
Adult Congenital Heart Association Philadelphia, PA		5,000	5,000	
American College of Surgeons Foundation Chicago, IL		10,000	10,000	
American Committee for the Weizmann Institute of Science, Inc. New York, NY		15,000	15,000	
American Friends of Magen David Adom New York, NY		5,000	5,000	
American Legacy Foundation Washington, D C.		5,000	5,000	
American Red Cross New York, NY		50,000	50,000	
American Friends of Israel New York, NY		5,000	5,000	
Americans for Oxford, Inc. New York, NY		80,000	80,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Anderson Ranch Arts Center Snowmass Village, CO		10,000	10,000	
Autism Speaks New York, NY		10,000	10,000	
Barnard College New York, NY		50,000	50,000	
Basilica of the National Shrine of the Immaculate Conception Washington, DC	10,000		10,000	
Bath & Tennis Historic Building Preservation Foundation Palm Beach, FL		5,000	5,000	
Beaver Country Day School Chestnut Hill, MA		5,000	5,000	
Birmingham-Southern College Birmingham, AL		5,000	5,000	
Bishop John T. Walker School for Boys Washington, DC	10,000		10,000	
Blair Academy Blairstown, NJ		45,000	45,000	
Boys and Girls Clubs of America New York, NY		5,000	5,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Boys' Club of New York New York, NY		10,000	10,000	
Boys & Girls Club of Palm Beach County West Palm Beach, FL		10,000	10,000	
Breast Cancer Research Foundation, Inc New York, NY	5,000	135,000	140,000	
Brigham and Women's Hospital, Inc Boston, MA	2,000	20,000	22,000	
Cambridge in America New York, NY	25,000	25,000	50,000	
Cape Eleuthera Foundation, Inc. Princeton, NJ		20,000	20,000	
C-Change Washington, DC	10,000		10,000	
Charles River School Dover, MA		5,000	5,000	
Children's Defense Fund Washington D.C.		10,000	10,000	
Cold Spring Harbor Laboratory Cold Spring Harbor, NY		5,000	5,000	
College of Charleston Foundation Charleston, SC		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Columbia Business School New York, NY		5,000	5,000	
Columbia University College of Physicians & Surgeons New York, NY		10,000	10,000	
Cristo Rey Network Chicago, IL		5,000	5,000	
Crohn's and Colitis Foundation of America, Inc. New York, NY		5,000	5,000	
Darien EMS Post 53 Inc Darien, CT		5,000	5,000	
Dana-Farber Cancer Institute Boston, MA		60,000	60,000	
Dartmouth College Hanover, NH		5,000	5,000	
Elem/Youth in Distress in Israel New York, NY		10,000	10,000	
Fordham University New York, NY		5,000	5,000	
The Franklin and Eleanor Roosevelt Institute Hyde Park, NJ		5,000	5,000	
Freedom Institute, Inc. New York, NY	5,000		5,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Friends Academy Locust Valley, NY		7,500	7,500	
Friends of Nassau County Bailey Arboretum, Inc. Locust Valley, NJ		5,000	5,000	
Friends of Sellecks Woods Darien, CT		5,000	5,000	
Guild Hall of East Hampton East Hampton, NY		5,000	5,000	
Harlem Academy New York, NY		10,000	10,000	
Harvard Law School Cambridge, MA		5,000	5,000	
Herreshoff Marine Museum & America's Cup Hall of Fame Bristol, RI		15,000	15,000	
Historic Charleston Foundation Charleston, SC		15,000	15,000	
Hospice Foundation of Palm Beach County, Inc. Palm Beach, FL	10,000	5,000	15,000	
Hotchkiss School Lakeville, CT		5,000	5,000	
Howard University Washington, DC		25,000	25,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Howard University Cancer Center Washington, DC	10,000		10,000	
Howard University College of Medicine Washington, DC	10,000		10,000	
Howard University Hospital Washington, DC	25,000	30,000	55,000	
International Sephardic Education Foundation New York, NY		5,000	5,000	
Investigative Project on Terrorism Foundation Washington, D.C.		5,000	5,000	
Kieve-Wavus Education, Inc. Nobleboro, NE		15,000	15,000	
King's Academy West Palm Beach. FL	3,000		3,000	
LaSalle D. Leffall, Jr., M.D. Surgical Society Foundation Washington, D.C.		10,000	10,000	
Lincoln Center Theater New York, NY		5,000	5,000	
Lyford Cay Foundation, Inc. Lyford Cay, Nassau, Bahamas		20,000	20,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Lyford Cay Foundation School Lyford, Cay, Nassau, Bahamas		20,000	20,000	
Lymphoma Foundation New York, NY	5,000	5,000	10,000	
Martha Graham Center of Contemporary Dance New York, NY		5,000	5,000	
Massachusetts General Hospital Boston, MA	10,000	10,000	20,000	
Medical University of South Carolina, Children's Hospital Fund Charleston, SC		10,000	10,000	
Men Against Breast Cancer Falls Church, VA	5,000		5,000	
Meridian International Center Washington, D.C		5,000	5,000	
Metropolitan Club Washington, D.C.		5,000	5,000	
Museum of Modern Art New York, NY		5,000	5,000	
NAACP NY New York, NY		10,000	10,000	
National Gallery of Art Washington, DC		20,000	20,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
National Center on Addiction and Substance Abuse at Columbia University New York, NY		5,000	5,000	
National Sailing Hall of Fame Museum, Inc. Annapolis, MD		10,000	10,000	
New York Downtown Hospital New York, NY		5,000	5,000	
New York Philharmonic New York, NY		5,000	5,000	
New York Pops, Inc. New York, NY		5,000	5,000	
Northside Center for Child Development, Inc. New York, NY		5,000	5,000	
Norwalk Land Trust, Inc. Norwalk, CT	5,000	5,000	10,000	
Norwalk Grassroots Tennis, Inc. Norwalk, CT		5,000	5,000	
Paley Center for Media New York, NY		5,000	5,000	
Person to Person Darien, CT		5,000	5,000	
Preservation Foundation of Palm Beach, Inc. Palm Beach, FL	10,000	10,000	20,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
President and Fellows of Harvard College Cambridge, MA		30,000	30,000	
Protestant Episcopal Cathedral Foundation Washington, D.C.		10,000	10,000	
Psychological Clinical Science Accreditation Sytems Washington, D.C.		45,000	45,000	
Putnam-Indian Field School, Inc. Greenwich, CT		5,000	5,000	
Rand Corporation Santa Monica, CA		25,000	25,000	
Raymond K. Kravis Center for the Performing Arts West Palm Beach, FL	15,000		15,000	
Roaring Fork Conservancy Basalt, CO		20,000	20,000	
Ronald Reagan Presidential Foundation Simi Valley, CA		25,000	25,000	
Rowayton Arts Center, Inc Rowayton, CT		5,000	5,000	
Roxbury Latin School West Roxbury, MA	25,000	25,000	50,000	
Sail Newport Newport, RI		10,000	10,000	

The Charles A. Dana Foundation
Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Saint Andrew's School Boca Raton, FL	3,000		3,000	
Saint Luke's Parish Darien, CT		8,000	8,000	
St. Paul's School Concord, NH		5,000	5,000	
Sarah Lawrence College Bronxville, NY	10,000		10,000	
Seaman's Church Institute of Newport, RI Newport, RI		10,000	10,000	
SEED Foundation Washington, D.C		10,000	10,000	
Shake-a-Leg Inc. Newport, RI		15,000	15,000	
Skidmore College Saratoga Springs, NY		20,000	20,000	
Society of the Four Arts Palm Beach, FL	5,000	5,000	10,000	
South Carolina Maritime Heritage Foundation Charleston, SC		15,000	15,000	
St. John's Church Washington, D C		10,000	10,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
St. Thomas Choir School New York, NY		25,000	25,000	
The Susan G Komen Breast Cancer Foundation Dallas, TX		20,000	20,000	
Syracuse University Library Syracuse, NY		86,000	86,000	
Syracuse University, Newhouse School of Communications Syracuse, NY		55,000	55,000	
The Aspen Institute Washington, DC		35,000	35,000	
The Brearley School New York, NY		75,000	75,000	
The Cottage at Darien Darien, CT		6,000	6,000	
The Council on Foreign Relations New York, NY		15,000	15,000	
The Foundation Fighting Blindness (formerly Nat Retinitis Pigmentosa Fdtn.) Baltimore, MD		25,000	25,000	
The Green Vale School Old Bronxville, NY		10,000	10,000	
The Reading Team, Inc New York, NY	50,000	125,000	175,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
The Rockefeller University New York, NY		10,000	10,000	
The Winsor School Boston, MA	50,000	50,000	100,000	
United Hospital Fund New York, NY		5,000	5,000	
United Jewish Association/Federation of Jewish Philanthropies New York, NY		20,000	20,000	
University of Virginia Charlottesville, VA		5,000	5,000	
University of Virginia School of Law Charlottesville, VA		55,000	55,000	
Urban Glass Brooklyn, NY		10,000	10,000	
Washington National Cathedral Washington, D.C.		20,000	20,000	
Weill Cornell University Medical College New York, NY (Siegel)	50,000	5,000	55,000	
WETA Arlington, VA		5,000	5,000	
Wharton School of the University of Pennsylvania Philadelphia, PA		5,000	5,000	

The Charles A. Dana Foundation

Summary of "Other" Appropriations and Payments in 2010 (All are public charities, and all are for general support)

	Unpaid at Beginning of Year	Appropriated During Year	Paid During Year	Unpaid at End of Year
Women's Foreign Policy Group, Inc. Washington, D C.		5,000	5,000	
YMCA of Greater New York - Grosvenor House New York, NY		10,000	10,000	
Total Directors' Grants	**$368,000.00**	**$2,017,500.00**	**$2,385,500.00**	**$0.00**

THE CHARLES A. DANA FOUNDATION, INCOROPORATED
EIN 06-6036761

2010

Statement 20

Declaration of Conformed Copy of Bylaw Changes

The undersigned hereby declares that the attached is a conformed copy of the changes to Article VI (d) of the bylaws.

Burton M. Mirsky , Vice President

Statement 20A

DANA FOUNDATION BY-LAWS
AMENDMENT TO ARTICLE IV(d)
APPROVED BY THE DIRECTORS APRIL 20, 2011

At the December 9, 2010 directors meeting, Mr. Rover reported that a change in the By-laws would be considered at this meeting. Details were included in the notice for this meeting and in the Board Book. He noted the Foundation's By-laws state that the Board of Directors has the authority to accept that audited financial statements, based on the recommendation of the Audit Committee. At the December 8, 2010 Audit Committee meeting, management suggested that the By-laws be amended to give the Committee the responsibility of accepting the financials as part of their review process. The auditors agreed and thought this would be more efficient, especially since the directors will not be meeting in June 2011.

After discussion, and upon motion duly made and seconded, the directors voted to amend Article IV(d) of the Foundation's By-laws to read as follows:

The primary role of the Audit Committee is to assist the Board of Directors in fulfilling its fiduciary oversight responsibilities. The Audit Committee shall have authority to engage annually the appointment of independent auditors and, when circumstances warrant, to discharge such auditors; to review the Foundation's annual audited financial statements with management and the independent auditors, and to accept such statements; and to report on all such matters and make such further recommendations to the Board of Directors as may be appropriate.