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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

Name of foundation NORTHEAST UTILITIES FOUNDATION, INC. C/O SHIRLEY M. PAYNE		A Employer identification number 06-1527290
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 270	Room/suite	B Telephone number 860-665-2333
City or town, state, and ZIP code HARTFORD, CT 06141-0270		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 24,249,286.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		472.	472.		STATEMENT 1
4 Dividends and interest from securities		255,611.	255,611.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-376,475.			
b Gross sales price for all assets on line 6a		3,926,991.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales price for all assets on line 6a					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		17,723.	0.	17,723.	STATEMENT 3
12 Total. Add lines 1 through 11		1,897,331.	256,083.	17,723.	
13 Compensation of officers, directors, trustees, etc.		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 4		13,578.	9,940.	0.	0.
17 Interest					
18 Taxes STMT 5		10,453.	10,453.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		6,566.	0.	0.	6,566.
24 Total operating and administrative expenses. Add lines 13 through 23		30,597.	20,393.	0.	6,566.
25 Contributions, gifts, grants paid		2,139,631.			2,222,687.
26 Total expenses and disbursements. Add lines 24 and 25		2,170,228.	20,393.	0.	2,229,253.
27 Subtract line 26 from line 12		-272,897.			
a Excess of revenue over expenses and disbursements			235,690.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				17,723.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash - non-interest-bearing						
	2 Savings and temporary cash investments			259,037.	428,694.	428,694.	
	3 Accounts receivable ▶						
	Less allowance for doubtful accounts ▶						
	4 Pledges receivable ▶ 1,000,000.						
	Less allowance for doubtful accounts ▶				1,000,000.	1,000,000.	
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable ▶						
	Less allowance for doubtful accounts ▶						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U S and state government obligations						
	b Investments - corporate stock						
	c Investments - corporate bonds						
	11 Investments - land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
	12 Investments - mortgage loans						
	13 Investments - other STMT 7			21,866,404.	20,362,471.	22,820,592.	
	14 Land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
	15 Other assets (describe ▶)						
	16 Total assets (to be completed by all filers)			22,125,441.	21,791,165.	24,249,286.	
	Liabilities	17 Accounts payable and accrued expenses			8.		
		18 Grants payable			2,038,250.	1,944,670.	
19 Deferred revenue							
20 Loans from officers, directors, trustees, and other disqualified persons							
21 Mortgages and other notes payable							
22 Other liabilities (describe ▶)							
23 Total liabilities (add lines 17 through 22)				2,038,258.	1,944,670.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted			20,087,183.	19,846,495.		
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>						
	and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
28 Paid-in or capital surplus, or land, bldg, and equipment fund							
29 Retained earnings, accumulated income, endowment, or other funds							
30 Total net assets or fund balances			20,087,183.	19,846,495.			
31 Total liabilities and net assets/fund balances			22,125,441.	21,791,165.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,087,183.
2 Enter amount from Part I, line 27a	2	-272,897.
3 Other increases not included in line 2 (itemize) ▶ BOOK/TAX GAIN/LOSS DIFFERENCE	3	32,209.
4 Add lines 1, 2, and 3	4	19,846,495.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	19,846,495.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,926,991.		4,303,466.	-376,475.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-376,475.

2 Capital gain net income or (net capital loss)	<div> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	-376,475.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	<div> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,202,776.	20,209,890.	.059514
2008	1,103,335.	23,032,557.	.047903
2007	946,985.	27,547,725.	.034376
2006	1,204,565.	14,151,133.	.085121
2005	1,382,973.	884,201.	1.564093

2 Total of line 1, column (d)	2	1.791007
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.358201
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	22,659,211.
5 Multiply line 4 by line 3	5	8,116,552.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,357.
7 Add lines 5 and 6	7	8,118,909.
8 Enter qualifying distributions from Part XII, line 4	8	2,229,253.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

NORTHEAST UTILITIES FOUNDATION, INC.

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C/O SHIRLEY M. PAYNE

06-1527290

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	4,714.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	4,714.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
3 Add lines 1 and 2		5	4,714.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	10,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,286.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NORTHEASTUTILITIESFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>JAY S. BUTH</u> Telephone no ► <u>860-728-4670</u> Located at ► <u>56 PROSPECT STREET, HARTFORD, CT</u> ZIP+4 ► <u>06103-2818</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <u>N/A</u> , <u>N/A</u> , <u>N/A</u> , <u>N/A</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
 (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 OPERATION FUEL - CIVIC AND COMMUNITY GRANT	625,000.
2 NEIGHBOR HELPING NEIGHBOR FUND IN NEW HAMPSHIRE - CIVIC AND COMMUNITY GRANT	225,000.
3 SALVATION ARMY MASSACHUSETTS DIVISION - CIVIC AND COMMUNITY GRANT	150,000.
4 CONNECTICUT CENTER FOR SCIENCE AND EXPLORATION - CIVIC AND COMMUNITY GRANT	125,000.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,160,409.
b	Average of monthly cash balances	1b	343,866.
c	Fair market value of all other assets	1c	500,000.
d	Total (add lines 1a, b, and c)	1d	23,004,275.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,004,275.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	345,064.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,659,211.
6	Minimum investment return. Enter 5% of line 5	6	1,132,961.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,132,961.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,714.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,714.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,128,247.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,128,247.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,128,247.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,229,253.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,229,253.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,229,253.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,128,247.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	1,339,311.			
b From 2006	498,007.			
c From 2007				
d From 2008				
e From 2009	198,071.			
f Total of lines 3a through e	2,035,389.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	2,229,253.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,128,247.
e Remaining amount distributed out of corpus	1,101,006.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,136,395.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	1,339,311.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,797,084.			
10 Analysis of line 9				
a Excess from 2006	498,007.			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	198,071.			
e Excess from 2010	1,101,006.			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section . . . ☐ 4942(j)(3) or ☐ 4942(j)(5)

Tax year	Prior 3 years			(e) Total
(a) 2010	(b) 2009	(c) 2008	(d) 2007	

(4) Gross investment income

1 Information Regarding Foundation Managers:

NONE

NONE

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 10	SEE STATEMENT 10	SEE STATEMENT 10	SEE STATEMENT 10	2222687.
b Approved for future payment SEE STATEMENT 11	SEE STATEMENT 11	SEE STATEMENT 11	SEE STATEMENT 11	1944670.
Total			3a	2222687.
			3b	1944670.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	472.	
4 Dividends and interest from securities			14	255,611.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	-376,475.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PRIOR YEAR REFUND					17,723.
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		-120,392.	17,723.
13 Total. Add line 12, columns (b), (d), and (e)				13 -102,669.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

N/A

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

NORTHEAST UTILITIES FOUNDATION, INC.
C/O SHIRLEY M. PAYNE

Employer identification number

06-1527290

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization
 NORTHEAST UTILITIES FOUNDATION, INC.
 C/O SHIRLEY M. PAYNE

Employer identification number

06-1527290

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	NORTHEAST UTILITIES 1 FEDERAL STREET STE 111-4 SPRINGFIELD, MA 01105	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	THE CONNECTICUT LIGHT AND POWER COMPANY 107 SELDEN STREET BERLIN, CT 06037	\$ 622,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	WESTERN MASSACHUSETTS ELECTRIC COMPANY 1 FEDERAL STREET STE 111-4 SPRINGFIELD, MA 01105	\$ 61,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	PUBLIC SERVICE COMPANY OF NEW HAMPSHIRE 780 NORTH COMMERCIAL STREET MANCHESTER, NH 03101	\$ 238,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	YANKEE GAS SERVICES COMPANY 107 SELDEN STREET BERLIN, CT 06037	\$ 79,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

NORTHEAST UTILITIES FOUNDATION, INC.
C/O SHIRLEY M. PAYNE

Employer identification number

06-1527290

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization NORTHEAST UTILITIES FOUNDATION, INC. C/O SHIRLEY M. PAYNE	Employer identification number 06-1527290
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a CAPITAL GAINS DIVIDENDS		P	VARIOUS	VARIOUS
b COLUMBIA FDS SER TR LARGE CAP CORE		P	12/10/09	04/09/10
c COLUMBIA FDS SER TR LARGE CAP CORE		P	06/17/09	04/09/10
d COLUMBIA SMALL CAP VALUE FUND		P	07/17/09	04/09/10
e COLUMBIA SMALL CAP VALUE FUND		P	12/07/09	04/09/10
f COLUMBIA INCOME FD CL Z		P	05/31/09	04/09/10
g COLUMBIA INCOME FD CL Z		P	06/30/09	04/09/10
h COLUMBIA INCOME FD CL Z		P	09/30/09	04/09/10
i COLUMBIA INCOME FD CL Z		P	12/02/09	04/09/10
j COLUMBIA INCOME FD CL Z		P	10/31/09	04/09/10
k COLUMBIA INCOME FD CL Z		P	12/31/09	04/09/10
l COLUMBIA INCOME FD CL Z		P	11/30/09	04/09/10
m COLUMBIA INCOME FD CL Z		P	03/31/10	04/09/10
n ISHARES TR MSCI EMERGING MKTS		P	04/09/10	05/25/10
o COLUMBIA SMALL CAP VALUE FUND		P	07/17/09	07/08/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 22,094.			22,094.
b 21,680.		20,007.	1,673.
c 14,062.		10,874.	3,188.
d 91,140.		68,505.	22,635.
e 8,860.		7,655.	1,205.
f 2,125.		1,845.	280.
g 2,151.		1,926.	225.
h 1,310.		1,274.	36.
i 1,075.		1,054.	21.
j 1,330.		1,306.	24.
k 1,349.		1,328.	21.
l 1,336.		1,318.	18.
m 1,027.		1,025.	2.
n 162,691.		200,664.	-37,973.
o 25,000.		20,877.	4,123.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			22,094.
b			1,673.
c			3,188.
d			22,635.
e			1,205.
f			280.
g			225.
h			36.
i			21.
j			24.
k			21.
l			18.
m			2.
n			-37,973.
o			4,123.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	PIMCO TOTAL RETURN FUND	P	08/31/09	07/14/10
b	PIMCO TOTAL RETURN FUND	P	12/31/09	07/14/10
c	PIMCO TOTAL RETURN FUND	P	07/31/09	07/14/10
d	PIMCO TOTAL RETURN FUND	P	12/09/09	07/14/10
e	PIMCO TOTAL RETURN FUND	P	12/09/09	07/14/10
f	PIMCO TOTAL RETURN FUND	P	03/31/10	07/14/10
g	PIMCO TOTAL RETURN FUND	P	11/30/09	07/14/10
h	PIMCO TOTAL RETURN FUND	P	02/28/10	07/14/10
i	PIMCO TOTAL RETURN FUND	P	01/31/10	07/14/10
j	PIMCO TOTAL RETURN FUND	P	10/31/09	07/14/10
k	PIMCO TOTAL RETURN FUND	P	06/30/10	07/14/10
l	PIMCO TOTAL RETURN FUND	P	04/30/10	07/14/10
m	PIMCO TOTAL RETURN FUND	P	05/31/10	07/14/10
n	PIMCO TOTAL RETURN FUND	P	09/30/09	07/14/10
o	VANGUARD INT'L EQUITY INDEX FD	P	05/25/10	07/15/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,757.		5,502.	255.
b 3,840.		3,677.	163.
c 5,944.		5,601.	343.
d 9,444.		9,117.	327.
e 2,627.		2,536.	91.
f 2,996.		2,932.	64.
g 3,977.		3,892.	85.
h 2,859.		2,786.	73.
i 2,903.		2,821.	82.
j 4,953.		4,804.	149.
k 3,110.		3,104.	6.
l 3,068.		3,027.	41.
m 2,818.		2,773.	45.
n 5,401.		5,229.	172.
o 669,085.		589,560.	79,525.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			255.
b			163.
c			343.
d			327.
e			91.
f			64.
g			85.
h			73.
i			82.
j			149.
k			6.
l			41.
m			45.
n			172.
o			79,525.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COLUMBIA FDS SER TR LARGE CAP CORE	P	07/15/10	08/05/10
b	COLUMBIA LARGE CAP ENHANCED CORE	P	06/17/10	08/05/10
c	COLUMBIA LARGE CAP ENHANCED CORE	P	07/15/10	08/05/10
d	COLUMBIA FDS SER TR LARGE CAP CORE	P	06/17/10	08/05/10
e	COLUMBIA SMALL CAP VALUE FUND	P	07/15/10	08/05/10
f	COLUMBIA ACORN TR INTL CL Z	P	04/30/07	01/15/10
g	COLUMBIA INCOME FD CL Z	P	04/12/07	02/16/10
h	COLUMBIA INCOME FD CL Z	P	04/23/07	02/16/10
i	COLUMBIA INCOME FD CL Z	P	04/12/07	02/16/10
j	COLUMBIA INCOME FD CL Z	P	04/12/07	02/16/10
k	COLUMBIA FDS SER TR LARGE CAP CORE	P	02/02/09	04/09/10
l	COLUMBIA ACORN TR SELECT CL Z	P	04/30/07	04/09/10
m	COLUMBIA INCOME FD CL Z	P	06/07/07	04/09/10
n	COLUMBIA ACORN TR INTL CL Z	P	04/30/07	04/09/10
o	COLUMBIA INCOME FD CL Z	P	03/23/07	04/09/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	21,174.	20,593.	581.
b	4,112.	4,078.	34.
c	20,888.	20,354.	534.
d	3,826.	3,826.	0.
e	51,769.	50,000.	1,769.
f	80,000.	99,695.	-19,695.
g	1,161.	1,161.	0.
h	1,834.	1,901.	-67.
i	45,715.	47,126.	-1,411.
j	1,290.	1,290.	0.
k	14,259.	10,087.	4,172.
l	100,000.	112,981.	-12,981.
m	7,765.	7,667.	98.
n	200,000.	241,797.	-41,797.
o	379.	379.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			581.
b			34.
c			534.
d			0.
e			1,769.
f			-19,695.
g			0.
h			-67.
i			-1,411.
j			0.
k			4,172.
l			-12,981.
m			98.
n			-41,797.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COLUMBIA INCOME FD CL Z	P	03/23/07	04/09/10
b	COLUMBIA INCOME FD CL Z	P	06/30/08	04/09/10
c	COLUMBIA INCOME FD CL Z	P	04/12/07	04/09/10
d	COLUMBIA INCOME FD CL Z	P	04/24/07	04/09/10
e	COLUMBIA INCOME FD CL Z	P	03/27/07	04/09/10
f	ISHARES TR MSCI EMERGING MKTS	P	04/30/07	05/25/10
g	COLUMBIA FDS SER TR LARGE CAP CORE	P	02/02/09	06/01/10
h	COLUMBIA INCOME FD CL Z	P	04/13/07	07/08/10
i	PIMCO TOTAL RETURN FUND	P	02/03/09	07/14/10
j	PIMCO TOTAL RETURN FUND	P	06/30/09	07/14/10
k	PIMCO TOTAL RETURN FUND	P	05/31/09	07/14/10
l	PIMCO TOTAL RETURN FUND	P	04/30/09	07/14/10
m	COLUMBIA ACORN TR INTL CL Z	P	04/30/07	07/15/10
n	COLUMBIA FDS SER TR MULTI-ADVISOR	P	04/30/07	08/05/10
o	COLUMBIA FDS SER TR MULTI-ADVISOR	P	04/30/07	08/05/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,792.	1,803.	-11.
b	12,473.	11,637.	836.
c	181,269.	183,538.	-2,269.
d	1,182.	1,209.	-27.
e	1,313.	1,347.	-34.
f	424,872.	489,110.	-64,238.
g	50,000.	39,568.	10,432.
h	376.	386.	-10.
i	452,921.	407,549.	45,372.
j	5,730.	5,309.	421.
k	5,752.	5,319.	433.
l	5,897.	5,343.	554.
m	150,000.	192,527.	-42,527.
n	80,000.	137,645.	-57,645.
o	250,000.	410,938.	-160,938.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-11.
b			836.
c			-2,269.
d			-27.
e			-34.
f			-64,238.
g			10,432.
h			-10.
i			45,372.
j			421.
k			433.
l			554.
m			-42,527.
n			-57,645.
o			-160,938.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COLUMBIA ACORN TR SELECT CL Z	P	04/30/07	08/05/10
b	COLUMBIA SMALL CAP VALUE FUND	P	07/17/09	08/05/10
c	COLUMBIA ACORN TR INTL CL Z	P	04/30/07	08/05/10
d	COLUMBIA ACORN TR INTL CL Z	P	04/30/07	10/22/10
e	COLUMBIA FDS SER TR MULT-ADVISOR	P	04/30/07	12/07/10
f	COLUMBIA FDS SER TR MULT-ADVISOR	P	04/30/07	12/07/10
g	COLUMBIA FDS SER TR MULT-ADVISOR	P	04/30/07	12/22/10
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 75,000.		75,000.	0.
b 8,230.		6,502.	1,728.
c 150,000.		185,306.	-35,306.
d 180,000.		203,514.	-23,514.
e 155,951.		235,913.	-79,962.
f 44,049.		44,049.	0.
g 50,000.		50,000.	0.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			0.
b			1,728.
c			-35,306.
d			-23,514.
e			-79,962.
f			0.
g			0.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-376,475.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BLACKROCK PROVIDENT INST. FUNDS	472.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	472.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF AMERICA COMBINED ACCOUNT	255,611.	0.	255,611.
TOTAL TO FM 990-PF, PART I, LN 4	255,611.	0.	255,611.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PRIOR YEAR REFUND	17,723.	0.	17,723.
TOTAL TO FORM 990-PF, PART I, LINE 11	17,723.	0.	17,723.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNT MANAGEMENT FEES	13,578.	9,940.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	13,578.	9,940.	0.	0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	10,453.	10,453.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	10,453.	10,453.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
GRANT EXPENSES	6,566.	0.	0.	6,566.	
TO FORM 990-PF, PG 1, LN 23	6,566.	0.	0.	6,566.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
BANK OF AMERICA MUTUAL FUNDS	COST	20,362,471.	22,820,592.	
TOTAL TO FORM 990-PF, PART II, LINE 13		20,362,471.	22,820,592.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 8
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES W. SHIVERY 56 PROSPECT STREET HARTFORD, CT 06103	CHAIRMAN 2.00	0.	0.	0.
SHIRLEY M. PAYNE 107 SELDEN STREET BERLIN, CT 06037	SECRETARY, PRESIDENT & 15.00	0.	0.	0.
O. KAY COMENDUL 56 PROSPECT STREET HARTFORD, CT 06103	ASSISTANT SECRETARY 2.00	0.	0.	0.
DAVID R. MCHALE 56 PROSPECT STREET HARTFORD, CT 06103	TREASURER 2.00	0.	0.	0.
GREGORY B. BUTLER 56 PROSPECT STREET HARTFORD, CT 06103	DIRECTOR 2.00	0.	0.	0.
JEFFREY D. BUTLER 107 SELDEN STREET BERLIN, CT 06037	DIRECTOR 2.00	0.	0.	0.
PETER J. CLARKE 1 FEDERAL STREET, STE 111-4 SPRINGFIELD, MA 01105	DIRECTOR 2.00	0.	0.	0.
JEAN M. LAVECCHIA 107 SELDEN STREET BERLIN, CT 06037	DIRECTOR 2.00	0.	0.	0.
GARY A. LONG 780 NORTH COMMERCIAL STREET MANCHESTER, CT 03101	DIRECTOR 2.00	0.	0.	0.
LEON J. OLIVER 107 SELDEN STREET BERLIN, CT 06037	DIRECTOR 2.00	0.	0.	0.
RODNEY O. POWELL 107 SELDEN STREET BERLIN, CT 06037	DIRECTOR 2.00	0.	0.	0.

NORTHEAST UTILITIES FOUNDATION, INC. C/O

06-1527290

MARIE T. VAN LULING
56 PROSPECT STREET
HARTFORD, CT 06103

DIRECTOR
2.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LINDSAY PARKE
107 SELDEN STREET
BERLIN, CT 06037

TELEPHONE NUMBER

(860) 665-3306

NAME OF GRANT PROGRAM

ECONOMIC, COMMUNITY & WORKFORCE DEVELOPMENT, AND
ENVIRONMENTAL LEADERSHIP

FORM AND CONTENT OF APPLICATIONS

A WRITTEN FOUNDATION REQUEST FOR FUNDING PLUS ALL REQUIRED ATTACHMENTS (SEE
ATTACHMENT)

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHMENT - ECONOMIC AND COMMUNITY DEVELOPMENT, NEW HAMPSHIRE,
MASSACHUSETTS AND CONNECTICUT

Northeast Utilities Foundation
2010 990 PF
EIN 06-1527290
Statement # 10

Operation Fuel	\$ 625,000
Neighbor Helping Neighbor Fund in New Hampshire	225,000
Salvation Army Massachusetts Division	150,000
CT Center for Science & Exploration	125,000
CT Land Trust Alliance	100,000
For Inspiration & Recognition of Science & Technology	100,000
Scholarships Awarded	100,000
Stepping Stones Museum for Children	100,000
University of Connecticut	100,000
Northern Forest Center	75,000
Matching Education Gift Program	71,006
Regional Employment Board of Hampden County	59,000
Naugatuck Valley Community College Grant 1	55,000
SoundWaters	52,000
Achievement First	50,000
United Way Matching	45,284
New Hampshire Climate Action Plan	35,000
Berkshire Community College Grant	28,397
Greater Hartford Arts Council	25,000
SAMA-Spanish American Merchants Association	25,000
University of New Haven	25,000
Junior Achievement of Southwest New England	20,000
Greater Hartford Chamber of Commerce Fdn (Grad!CT)	20,000
Naugatuck Valley Community College Grant 2	12,000
	<u>\$ 2,222,687</u>

Northeast Utilities Foundation
2010 990 PF
EIN: 06-1527290
Statement # 11

CT Center for Science & Exploration	\$ 625,000
University of Connecticut	400,000
Sons & Daughters Scholarships Awarded	250,000
For Inspiration & Recognition of Science & Technology	200,000
Berkshire Community College Grant	172,670
Stepping Stones Museum for Children	100,000
Achievement First	50,000
Greater Hartford Chamber of Commerce Fdn (Grad!CT)	40,000
Junior Achievement of Southwest New England	40,000
Naugatuck Valley Community College Grant 2	32,000
University of New Haven	25,000
Naugatuck Valley Community College Grant 1	10,000
	<u>\$ 1,944,670</u>

Northeast Utilities Foundation
2010 990 PF
EIN: 06-1527290
Statement # 12

List of Substantial Contributors

Northeast Utilities
One Federal Street, Ste 111-4
Springfield, MA 01105

The Connecticut Light and Power Company
107 Selden Street
Berlin, CT 06037

Public Service Company of New Hampshire
780 North Commercial Street
Manchester, CT 03101

Yankee Gas Services Company
107 Selden Street
Berlin, CT 06037



Northeast Utilities Foundation

Grant Application Process

Contact Us



The Energy To Make a Difference

How We Do It

► Do I Qualify?

► Featured Partner

Foundation News

The NU Foundation has awarded a three-year, \$175,000 grant to Project Opening Doors to support student competitiveness and achievement in Advanced Placement programs for college

[View More >](#)

How the Foundation Carries Out its Grant Making

The Foundation supports larger initiatives that touch one or more of the states in NU's service territory, with a specific focus on economic and community development, workforce development, and the environment. The Foundation carries out its grant making in one of two ways:

We request proposals from organizations with which we have been in contact

We send out occasional requests for proposals that address a specific issue or area of interest

[Who We Are](#) | [What We Fund](#) | [How We Fund](#) | [News and Press](#) | [Contact Information](#)

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Focus Areas for Northeast Utilities Foundation Grants

The Northeast Utilities Foundation invests almost exclusively in projects that address the issues of economic and community development, workforce development, and environmental stewardship

A more focused grant making approach allows the Foundation to make targeted investments in projects that improve the quality of life in the communities where our customers and employees are located

The NU Foundation will invest primarily in projects that address the following issues.

Economic & Community Development

Special emphasis on

- New job creation
- Small business development
- Smart growth

Workforce Development

Special emphasis on:

- Training and education

Environmental Leadership & Stewardship

Special emphasis on:

- Protecting, preserving, or improving the environment: natural habitats and biological diversity
- Renewable energy
- Energy efficiency

When evaluating potential partners, we take into account the following criteria:

- Objectives that fit within our priority areas
- Potential impact on the community
- A focus on benefits to our region
- Potential for employee participation
- Demonstrated leadership and effectiveness



Northeast Utilities Foundation

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The Energy To Make a Difference

How We Do It

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Who Does Not Qualify For A Grant

Foundation investments are not provided to

Individuals

Corporations that do not qualify as charitable organizations as defined by the Internal Revenue Code

Projects benefiting limited groups (religious, fraternal, political)

Private foundations or endowments

Reducing or eliminating a pre-existing debt

Athletic outings

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Where to Submit Proposals

All inquiries and proposals for the Foundation should be submitted to the appropriate state representative, as follows

In Connecticut

Lindsay Parke

Community Relations

Connecticut Light & Power

P O Box 270

Hartford, CT 06141-0270

Telephone (860) 665-3306

Fax (860) 665-5755

[Website](#)

[Email](#)

In Western Massachusetts

Edgar Alejandro

Economic and Community Development

Western Massachusetts Electric Company

P O Box 2010

West Springfield, MA 01090-2010

Telephone (413) 785-5871

Fax (413) 787-9289, ext 2289

[Website](#)

[Email](#)

In New Hampshire

Paulette Faggiano

Communications & Public Affairs Manager

Public Service of New Hampshire

P O Box 330

Manchester, NH 03105-0330

Telephone (603) 634-3386

Fax (603) 634-2367

[Website](#)

[Email](#)



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Contact Information

For more information on the Northeast Utilities Foundation, please contact:

Shirley M. Payne
President, Executive Director
Northeast Utilities Foundation
P O Box 270
Hartford, CT 06141-0270
Voicemail (888) 682-4639 (toll free)
(860) 665-2333 (local)
[Email](#)

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Local Community Investments

At the local level, the companies owned and operated by Northeast Utilities in Connecticut, western Massachusetts, and New Hampshire also provide grants to nonprofit organizations working within their service territories. The decision-making for these local grants and sponsorships is driven by the leadership of the local operating companies.

For more information about grants available through NU's local operating companies, please contact your local administrator

In Connecticut

Lindsay Parke

Community Relations

Connecticut Light & Power

P.O. Box 270

Hartford, CT 06141-0270

Telephone (860) 665-3306

Fax (860) 665-5755

[Website](#)

[Email](#)

In Western Massachusetts

Edgar Alejandro

Economic and Community Development

Western Massachusetts Electric Company

P.O. Box 2010

West Springfield, MA 01090-2010

Telephone (413) 785-5871

Fax (413) 787-9289, ext. 2289

[Website](#)

[Email](#)

In New Hampshire

Paulette Faggiano

Communications & Public Affairs Manager

Public Service of New Hampshire

P.O. Box 330

Manchester, NH 03105-0330

Telephone (603) 634-3386

Fax (603) 634-2367

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization NORTHEAST UTILITIES FOUNDATION, INC. C/O SHIRLEY M. PAYNE	Employer identification number 06-1527290
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 270	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HARTFORD, CT 06141-0270	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JAY S. BUTH

- The books are in the care of **► 56 PROSPECT STREET - HARTFORD, CT 06103-2818**

Telephone No. **► 860-728-4670**

FAX No. **►**

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) **_____**. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011.**

5 For calendar year **2010**, or other tax year beginning **_____**, and ending **_____**.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension **_____**

ADDITIONAL TIME NEEDED TO PREPARE A MORE THOUGHTFUL AND ACCURATE RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 4,714.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 10,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **►**

Title **► PRES., EXECUTIVE DIRECTOR** Date **►**

Form 8868 (Rev. 1-2011)