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990

Form

Department of the Treasury  
Internal Revenue Service

## Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2010

Open to Public  
Inspection

► The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2010 calendar year, or tax year beginning

and ending

B Check if applicable

 Address change  
 Name change  
 Initial return  
 Terminated return  
 Amended return  
 Application pending

C Name of organization

NORWALK SEAPORT ASSOCIATION, INC.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

132 WATER STREET

Room/suite

City or town, state or country, and ZIP + 4

SOUTH NORWALK, CT 06854

D Employer identification number

06-0986800

E Telephone number

203-838-9444

G Gross receipts \$ 1,345,334.

H(a) Is this a group return for affiliates?  Yes  NoH(b) Are all affiliates included?  Yes  No  
If "No," attach a list. (see instructions)

H(c) Group exemption number ►

I Tax-exempt status:  501(c)(3)  501(c)( ) (insert no.)  4947(a)(1) or  527

J Website: ► WWW.SEAPORT.ORG

K Form of organization:  Corporation  Trust  Association  Other ►

L Year of formation: 1978 M State of legal domicile: CT

## Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <b>THE PURPOSE OF THE NORWALK SEAPORT ASSOCIATION IS TO FOSTER PUBLIC AWARENESS OF OUR MARINE</b>
	2 Check this box ► <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3 Number of voting members of the governing body (Part VI, line 1a) 3 27
	4 Number of independent voting members of the governing body (Part VI, line 1b) 4 25
	5 Total number of individuals employed in calendar year 2010 (Part V, line 2a) 5 25
	6 Total number of volunteers (estimate if necessary) 6 1500
	7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 0.
Revenue	b Net unrelated business taxable income from Form 990-T, line 34 7b 0.
	8 Contributions and grants (Part VIII, line 1h) 750,699. 297,368.
	9 Program service revenue (Part VIII, line 2g) 691,524. 812,647.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 10,153. 4,014.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) <20,117. > <102,157. >
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 1,432,259. 1,011,872.
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 0. 0.
Expenses	14 Benefits paid to or for members (Part IX, column (A), line 4) 0. 0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 284,301. 186,906.
	16a Professional fundraising fees (Part IX, column (A), line 11a) 0. 0.
	b Total fundraising expenses (Part IX, column (D), line 25) 36,584. 36,584.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24) 955,940. 972,456.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 1,240,241. 1,159,362.
	19 Revenue less expenses. Subtract line 18 from line 12 192,018. <147,490. >
Net Assets or Fund Balances	Beginning of Current Year 1,078,967.
	End of Year 827,382.
	20 Total assets (Part X, line 16) 546,600. 442,505.
	21 Total liabilities (Part X, line 26) 532,367. 384,877.
22 Net assets or fund balances. Subtract line 21 from line 20 OGDEN, UT	

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

► Signature of officer

7/12/2011

► JERRY TONI, TREASURER

Type or print name and title

Paid	Print/Type preparer's name CHRISTINE GONZALEZ	Preparer's signature Christine Gonzalez	Date 7/18/11	Check if self-employed <input type="checkbox"/>	PTIN
Preparer	Firm's name BLUM, SHAPIRO & COMPANY, P.C.		Firm's EIN ►		
Use Only	Firm's address 2 ENTERPRISE DRIVE SHELTON, CT 06484-4640		Phone no. 203 944-2100		

May the IRS discuss this return with the preparer shown above? (see instructions)

 Yes  No

032001 02-22-11 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2010)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

9-17 (4)

**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response to any question in this Part III  X1 Briefly describe the organization's mission:

**THE PURPOSE OF THE NORWALK SEAPORT ASSOCIATION IS TO FOSTER PUBLIC AWARENESS OF OUR MARINE ENVIRONMENT AND MARITIME HERITAGE THROUGH EDUCATION AND PRESERVATION.**

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses.

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code: \_\_\_\_\_) (Expenses \$ 176,635. including grants of \$ \_\_\_\_\_) (Revenue \$ 0.)

**THE SHEFFIELD ISLAND LIGHTHOUSE AND ADJACENT KEEPER'S COTTAGE ARE LISTED ON THE NATIONAL REGISTER OF HISTORIC PLACES. THE ASSOCIATION OWNS AND MAINTAINS THE LIGHTHOUSE AND COTTAGE AS A TOURIST ATTRACTION. CURRENTLY THERE IS A MAJOR RESTORATION PROJECT UNDERWAY WHICH WILL INCLUDE STABILIZATION AND EXTERIOR MAINTENANCE OF BOTH BUILDINGS.**

4b (Code: \_\_\_\_\_) (Expenses \$ 101,101. including grants of \$ \_\_\_\_\_) (Revenue \$ 7,839.)

**THE GOAL OF MARITIME EDUCATION PROGRAMS IS TO "CONNECT PEOPLE WITH NATURE". THE PROGRAMS FOCUS ON THE NORWALK ISLANDS, THE WILDLIFE THAT DEPEND UPON THE ISLANDS FOR SURVIVAL AND THE IMPORTANCE OF CONSERVING THE NATURAL ENVIRONMENT. THE PROGRAMS ALSO PROVIDE THE OPPORTUNITY FOR YOUTH AND ADULTS TO "GET OUTSIDE" WITH THE GOAL OF IMPROVING HEALTH AND FITNESS AND BUILDING AN APPRECIATION FOR THE NATURAL WORLD.**

4c (Code: \_\_\_\_\_) (Expenses \$ 803,894. including grants of \$ \_\_\_\_\_) (Revenue \$ 804,808.)

**OYSTER FESTIVAL-A TWO AND HALF DAY FESTIVAL STAGED AS AN EDUCATION AND COMMUNITY EVENT. THE PURPOSE OF THE EVENT IS TO BUILD AWARENESS, APPRECIATION AND ADVOCACY FOR THE CONSERVATION OF THE NORWALK ISLANDS AND NORWALK HARBOR. OVER 35,000 PEOPLE ATTEND THE EVENT.**

4d Other program services. (Describe in Schedule O.)

(Expenses \$ \_\_\_\_\_ including grants of \$ \_\_\_\_\_) (Revenue \$ \_\_\_\_\_)

4e Total program service expenses ► 1,081,630.

## Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3 X	
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4 X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5 X	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6 X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7 X	
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8 X	
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9 X	
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10 X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. <ul style="list-style-type: none"> <li>a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i></li> <li>b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i></li> <li>c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i></li> <li>d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i></li> <li>e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i></li> <li>f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i></li> </ul>	11a X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	11b X	
12b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>	11c X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	11d X	
14a Did the organization maintain an office, employees, or agents outside of the United States? <ul style="list-style-type: none"> <li>b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV</i></li> </ul>	11e X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	11f X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>	12a X	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	12b X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	13 X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	14a X	
20a Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>	14b X	
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	15 X	
	16 X	
	17 X	
	18 X	
	19 X	
20a	20b	

## Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25	24a	X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26	X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27	X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a	X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b	X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c	X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35	X
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	X

Form 990 (2010)

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V 

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	14
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	25
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. (see instructions)</i>	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: ►  See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.	5a	X
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5b	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5c	
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	6a	X
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6b	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	7a	X
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>	7b	X
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7c	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7d	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7e	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7f	X
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7g	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7h	X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	8	X
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	9a	X
8	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	9b	X
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the organization make any taxable distributions under section 4966?		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state?	13a	
	<i>Note. See the instructions for additional information the organization must report on Schedule O.</i>		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

### Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	27
b	Enter the number of voting members included in line 1a, above, who are independent	1b	25
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	<input checked="" type="checkbox"/>
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	<input checked="" type="checkbox"/>
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	<input checked="" type="checkbox"/>
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	<input checked="" type="checkbox"/>
6	Does the organization have members or stockholders?	6	<input checked="" type="checkbox"/>
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	<input checked="" type="checkbox"/>
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	<input checked="" type="checkbox"/>
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:	8a	<input checked="" type="checkbox"/>
a	The governing body?	8b	<input checked="" type="checkbox"/>
b	Each committee with authority to act on behalf of the governing body?	9	<input checked="" type="checkbox"/>
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		

### Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No	
10a	Does the organization have local chapters, branches, or affiliates?	10a	<input checked="" type="checkbox"/>
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	<input checked="" type="checkbox"/>
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.	12a	<input checked="" type="checkbox"/>
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12b	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12c	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	13	<input checked="" type="checkbox"/>
13	Does the organization have a written whistleblower policy?	14	<input checked="" type="checkbox"/>
14	Does the organization have a written document retention and destruction policy?	15a	<input checked="" type="checkbox"/>
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	15b	<input checked="" type="checkbox"/>
a	The organization's CEO, Executive Director, or top management official	16a	<input checked="" type="checkbox"/>
b	Other officers or key employees of the organization	16b	
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

### Section C. Disclosure

17	List the states with which a copy of this Form 990 is required to be filed ►CT
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
	<input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ►
	<b>TOM SHRUM, PRESIDENT - 203-838-9444</b>
	<b>132 WATER STREET, SOUTH NORWALK, CT 06854</b>

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

 Check if Schedule O contains a response to any question in this Part VII 
**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee"
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees, officers; key employees; highest compensated employees; and former such persons.

 Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)				(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations	
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former		
JOHN LINDGREN				X				0.	0.
<u>PRESIDENT</u>								0.	0.
TOM SHRUM				X				0.	0.
<u>VICE PRESIDENT</u>								0.	0.
DONNA RIDDELL				X				0.	0.
<u>SECRETARY</u>								0.	0.
JERRY TONI				X				0.	0.
<u>TREASURER</u>				X				0.	0.
ANNE GRIESMER				X				0.	0.
<u>TREASURER</u>								0.	0.
CHRIS BACCO				X				0.	0.
<u>DIRECTOR</u>								0.	0.
BRENDA CATUGNO				X				0.	0.
<u>DIRECTOR</u>								0.	0.
JIM EROTOPoulos				X				0.	0.
<u>DIRECTOR</u>								0.	0.
FRED GAGLIARDI				X				0.	0.
<u>DIRECTOR</u>								0.	0.
PATTY KOLKMEYER				X				0.	0.
<u>DIRECTOR</u>								0.	0.
URBAN MULVEHILL				X				0.	0.
<u>DIRECTOR</u>								0.	0.
BARRY NATALE				X				0.	0.
<u>DIRECTOR</u>								0.	0.
ART PETRONE				X				0.	0.
<u>DIRECTOR</u>								0.	0.
MARK ROMAN				X				0.	0.
<u>DIRECTOR</u>								0.	0.
STEPHEN SABOL				X				0.	0.
<u>DIRECTOR</u>								0.	0.
PAULINE SCHLEGEL				X				0.	0.
<u>DIRECTOR</u>								0.	0.
NORMA ZUKIN				X				0.	0.
<u>DIRECTOR</u>								0.	0.

## Part VII

## Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
CAROL PETE							0.	0.	0.
<u>DIRECTOR</u>		X							
CHARLES KRIEWALD							0.	0.	0.
<u>DIRECTOR</u>		X							
RICHARD MCQUAID							0.	0.	0.
<u>DIRECTOR</u>		X							
LOUIS SALAMONE							0.	0.	0.
<u>DIRECTOR</u>		X							
EDMUND SCHMIDT							0.	0.	0.
<u>DIRECTOR</u>		X							
JOHN BOVE							0.	0.	0.
<u>DIRECTOR</u>		X							
RAY COOKE							0.	0.	0.
<u>DIRECTOR</u>		X							
PATRICIA FALES							0.	0.	0.
<u>DIRECTOR</u>		X							
ANDY GARFUNKEL							0.	0.	0.
<u>DIRECTOR</u>		X							
<b>1b Sub-total</b>							0.	0.	0.
<b>c Total from continuation sheets to Part VII, Section A</b>							0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>							0.	0.	0.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ► 0

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	3	X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4	X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person	5	X

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. **NONE**

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 0

SEE PART VII, SECTION A CONTINUATION SHEETS

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

Total to Part VII, Section A, line 1c

## **Part VIII Statement of Revenue**

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts					
1 a Federated campaigns	1a				
b Membership dues	1b	7,203.			
c Fundraising events	1c	3,324.			
d Related organizations	1d				
e Government grants (contributions)	1e				
f All other contributions, gifts, grants, and similar amounts not included above	1f	286,841.			
g Noncash contributions included in lines 1a-1f \$		173,600.			
h Total. Add lines 1a-1f		► 297,368.			
Program Service Revenue					
2 a OYSTER FESTIVAL	Business Code				
b MARINE EDUCATION	900099	804,808.	804,808.		
c	900099	7,839.	7,839.		
d					
e					
f All other program service revenue					
g Total. Add lines 2a-2f		► 812,647.			
Other Revenue					
3 Investment income (including dividends, interest, and other similar amounts)		► 4,014.			4,014.
4 Income from investment of tax-exempt bond proceeds		►			
5 Royalties		►			
6 a Gross Rents	(i) Real	(ii) Personal			
b Less: rental expenses					
c Rental income or (loss)					
d Net rental income or (loss)		►			
7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
b Less: cost or other basis and sales expenses					
c Gain or (loss)					
d Net gain or (loss)		►			
8 a Gross income from fundraising events (not including \$ 3,324. of contributions reported on line 1c). See Part IV, line 18	a 231,305.				
b Less: direct expenses	b 333,462.				
c Net income or (loss) from fundraising events		► <102,157.►			<102,157.►
9 a Gross income from gaming activities. See Part IV, line 19	a				
b Less: direct expenses	b				
c Net income or (loss) from gaming activities		►			
10 a Gross sales of inventory, less returns and allowances	a				
b Less: cost of goods sold	b				
c Net income or (loss) from sales of inventory		►			
Miscellaneous Revenue	Business Code				
11 a					
b					
c					
d All other revenue					
e Total. Add lines 11a-11d		►			
12 Total revenue. See instructions.		► 1,011,872.	812,647.	0.	<98,143.►

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	167,104.	135,605.	19,065.	12,434.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits	19,802.	14,170.	3,362.	2,270.
10 Payroll taxes				
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	10,358.	5,620.	1,928.	2,810.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	29,302.	24,767.	2,721.	1,814.
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest	19,309.	16,203.		3,106.
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	32,369.	26,974.	3,597.	1,798.
23 Insurance	77,523.	72,108.	3,559.	1,856.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a <b>OPERATING COSTS &amp; SUPPLIES</b>	290,246.	287,255.	351.	2,640.
b <b>PUBLIC RELATIONS</b>	206,546.	203,381.	0.	3,165.
c <b>SUBCONTRACTORS</b>	100,038.	97,228.	2,810.	0.
d <b>PUBLIC SAFETY EXPENSE</b>	74,175.	74,175.	0.	0.
e <b>UTILITIES</b>	65,214.	61,904.	1,986.	1,324.
f All other expenses	67,376.	62,240.	1,769.	3,367.
25 Total functional expenses. Add lines 1 through 24f	1,159,362.	1,081,630.	41,148.	36,584.
26 Joint costs. Check here ► <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

## Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	169,610.	1	122,809.
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	4,998.	4	2,964.
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	23,266.	9	20,413.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	1,118,547.		
	b Less: accumulated depreciation	470,717.	10c	647,830.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11	434,928.	12	26,450.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	6,916.	15	6,916.
	16 Total assets. Add lines 1 through 15 (must equal line 34)	1,078,967.	16	827,382.
Liabilities	17 Accounts payable and accrued expenses	96,118.	17	7,166.
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	450,482.	23	435,339.
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	546,600.	26	442,505.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	521,041.	27	373,551.
	28 Temporarily restricted net assets	11,326.	28	11,326.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	532,367.	33	384,877.
	34 Total liabilities and net assets/fund balances	1,078,967.	34	827,382.

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI 

1 Total revenue (must equal Part VIII, column (A), line 12)	1	1,011,872.
2 Total expenses (must equal Part IX, column (A), line 25)	2	1,159,362.
3 Revenue less expenses. Subtract line 2 from line 1	3	<147,490.>
4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	532,367.
5 Other changes in net assets or fund balances (explain in Schedule O)	5	
6 Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	384,877.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII 

1 Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?  
 b Were the organization's financial statements audited by an independent accountant?  
 c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?  
 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?  
 b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		<input checked="" type="checkbox"/>
2b	<input checked="" type="checkbox"/>	
2c	<input checked="" type="checkbox"/>	
3a		<input checked="" type="checkbox"/>
3b		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

## Public Charity Status and Public Support

OMB No 1545-0047

**2010**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

---

**Name of the organization**

**Employer identification number**

06-0986800

## NORWALK SEAPORT ASSOCIATION, INC.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

**4947(a)(1) nonexempt charitable trust**

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

**Part I. Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).

2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)

3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).

4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_

5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)

6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).

7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)

8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)

9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)

10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).

11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a  Type I      b  Type II      c  Type III - Functionally integrated      d  Type III - Other

e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii) A family member of a person described in (i) above?

(iii) A 35% controlled entity of a person described in (i) or (ii) above?

h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ**

**Schedule A (Form 990 or 990-EZ) 2010**

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						► <input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2009 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
b 33 1/3% support test - 2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
17a 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
b 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	► <input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	► <input type="checkbox"/>	

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	72,986.	69,853.	315,361.	750,699.	297,368.	1506267.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	1213089.	1303024.	1302831.	930,677.	1043952.	5793573.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	1286075.	1372877.	1618192.	1681376.	1341320.	7299840.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0.
c Add lines 7a and 7b						0.
8 Public support (Subtract line 7c from line 6)						7299840.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6	1286075.	1372877.	1618192.	1681376.	1341320.	7299840.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,984.	1,973.	2,584.	10,153.	4,014.	21,708.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	2,984.	1,973.	2,584.	10,153.	4,014.	21,708.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12)	1289059.	1374850.	1620776.	1691529.	1345334.	7321548.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ►

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f))	15	99.70	%
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	99.69	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f))	17	.30	%
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	.31	%

19a 33 1/3% support tests - 2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ►

b 33 1/3% support tests - 2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ►

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**SCHEDULE D**  
(Form 990)Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**

► Complete if the organization answered "Yes," to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11, or 12.

► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

**2010**Open to Public  
Inspection

Name of the organization

NORWALK SEAPORT ASSOCIATION, INC.Employer identification number  
**06-0986800****Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  Yes  No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  Yes  No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).	<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) <input type="checkbox"/> Preservation of an historically important land area
	<input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure
	<input type="checkbox"/> Preservation of open space
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.	
a Total number of conservation easements	<b>Held at the End of the Tax Year</b>
2a	
2b	
2c	
2d	
b Total acreage restricted by conservation easements	
c Number of conservation easements on a certified historic structure included in (a)	
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►	
4 Number of states where property subject to conservation easement is located ►	
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ►	<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►	
7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$	
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.	

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.	► \$ _____
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:	
(i) Revenues included in Form 990, Part VIII, line 1	► \$ _____
(ii) Assets included in Form 990, Part X	► \$ _____
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:	
a Revenues included in Form 990, Part VIII, line 1	► \$ _____
b Assets included in Form 990, Part X	► \$ _____

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a  Public exhibition  
 b  Scholarly research  
 c  Preservation for future generations

d  Loan or exchange programs  
 e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

c Beginning balance  
 d Additions during the year  
 e Distributions during the year  
 f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21?

b If "Yes," explain the arrangement in Part XIV.

	Amount
1c	
1d	
1e	
1f	

Yes  No

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

1a Beginning of year balance  
 b Contributions  
 c Net investment earnings, gains, and losses  
 d Grants or scholarships  
 e Other expenditures for facilities and programs  
 f Administrative expenses  
 g End of year balance

(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ► \_\_\_\_\_ %  
 b Permanent endowment ► \_\_\_\_\_ %  
 c Term endowment ► \_\_\_\_\_ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization

by:

(i) unrelated organizations  
 (ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

Yes	No
3a(i)	
3a(ii)	
3b	

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	177,202.			177,202.
b Buildings	646,791.		257,304.	389,487.
c Leasehold improvements	280,730.		202,270.	78,460.
d Equipment	13,824.		11,143.	2,681.
e Other				
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				647,830.

Schedule D (Form 990) 2010

**Part VII Investments - Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
<b>Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ►</b>		

**Part VIII Investments - Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ►</b>		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
<b>Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ►</b>	

**Part X Other Liabilities.** See Form 990, Part X, line 25.

(a) Description of liability	(b) Amount
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
<b>Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ►</b>	

**2.** FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

1 Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,011,872.
2 Total expenses (Form 990, Part IX, column (A), line 25)	2	1,159,362.
3 Excess or (deficit) for the year. Subtract line 2 from line 1	3	<147,490. >
4 Net unrealized gains (losses) on investments	4	
5 Donated services and use of facilities	5	
6 Investment expenses	6	
7 Prior period adjustments	7	
8 Other (Describe in Part XIV.)	8	
9 Total adjustments (net). Add lines 4 through 8	9	0.
10 Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	<147,490. >

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1 Total revenue, gains, and other support per audited financial statements	1	1,345,334.
2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a Net unrealized gains on investments	2a	
b Donated services and use of facilities	2b	173,600.
c Recoveries of prior year grants	2c	
d Other (Describe in Part XIV.)	2d	159,862.
e Add lines 2a through 2d	2e	333,462.
3 Subtract line 2e from line 1	3	1,011,872.
4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b Other (Describe in Part XIV.)	4b	
c Add lines 4a and 4b	4c	0.
5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	1,011,872.

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1 Total expenses and losses per audited financial statements	1	1,492,824.
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a Donated services and use of facilities	2a	
b Prior year adjustments	2b	
c Other losses	2c	
d Other (Describe in Part XIV.)	2d	333,462.
e Add lines 2a through 2d	2e	333,462.
3 Subtract line 2e from line 1	3	1,159,362.
4 Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b Other (Describe in Part XIV.)	4b	
c Add lines 4a and 4b	4c	0.
5 Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,159,362.

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART XII, LINE 2D - OTHER ADJUSTMENTS:****DIRECT FUNDRAISING EXPENSE -OYSTER FESTIVAL & OTHER SPECIAL**

EVENTS	333,462.
DONATED SERVICES EXPENSED IN SPECIAL EVENTS EXPENSE	-173,600.
<b>TOTAL TO SCHEDULE D, PART XII, LINE 2D</b>	<b>159,862.</b>

**PART XIII, LINE 2D - OTHER ADJUSTMENTS:**

032054  
12-20-10

Schedule D (Form 990) 2010

**Part XIV Supplemental Information (continued)**

**DIRECT FUNDRAISING EXPENSE - OYSTER FESTIVAL & OTHER SPECIAL**

**EVENTS** 333,462.

Department of the Treasury  
Internal Revenue Service

**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,  
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.**  
► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

Name of the organization

**Employer identification number**

# **NORWALK SEAPORT ASSOCIATION, INC.**

**Part I** **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this section.

**Part I** required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

**2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

Yes       No

**b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

**Total**

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 OYSTER FESTIVAL & O (event type)	(b) Event #2 (event type)	(c) Other events NONE (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	234,629.			234,629.
	2 Less: Charitable contributions	3,324.			3,324.
	3 Gross income (line 1 minus line 2)	231,305.			231,305.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	333,462.			333,462.
	10 Direct expense summary. Add lines 4 through 9 in column (d)				► ( 333,462. )
	11 Net income summary. Combine line 3, column (d), and line 10				► <102,157. >

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				► ( )
	8 Net gaming income summary. Combine line 1, column d, and line 7				►

9 Enter the state(s) in which the organization operates gaming activities: \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_

11 Does the organization operate gaming activities with nonmembers?  Yes  No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

13. Indicate the percentage of gaming activity operated in:

14. Enter the name and address of the person who prepares the organization's gaming/special events books and records.

Name ►

Address ►

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_ .

**c** If "Yes," enter name and address of the third party:

Name ► \_\_\_\_\_

Address ►

## 16 Gaming manager information:

Name ► \_\_\_\_\_

Gaming manager compensation ► \$ \_\_\_\_\_

\_\_\_\_\_

Director/officer       Employee       Independent contractor

## 17 Mandatory distributions:

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

**b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year. ► \$

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

**SCHEDULE L**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

## **Transactions With Interested Persons**

- Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
- Attach to Form 990 or Form 990-EZ. ► See separate instructions

OMB No 1545-0047

2010

## Open To Public Inspection

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**Name of the organization**

**Employer identification number**  
**06-0986800**

## **NORWALK SEAPORT ASSOCIATION, INC.**

## Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-FZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

► \$  
► \$

**Part II      Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a.

**Total**

**Part III Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

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LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule I (Form 990 or 990-EZ) 2010

## NORWALK SEAPORT ASSOCIATION, INC.

06-0986800

Schedule L (Form 990 or 990-EZ) 2010

Page 2

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
MARK SCHLEGEL	SPOUSE OF PAULINE S	4,950.	HE IS HIRED	X	
MARK ROMAN	CURRENT TRUSTEE AND	1,500.	HIS COMPANY	X	

**Part V Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule L (see instructions).

**SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:****(A) NAME OF PERSON: MARK SCHLEGEL****(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:**

SPOUSE OF PAULINE SCHLEGEL WHO IS A CURRENT TRUSTEE/DIRECTOR

**(C) AMOUNT OF TRANSACTION \$ 4,950.****(D) DESCRIPTION OF TRANSACTION: HE IS HIRED AS AN INDEPENDENT CONTRACTOR**TO OPERATE THE FERRY DURING THE SUMMER SEASON. HE IS A LICENSED CAPTAIN  
AND IS PAID \$20 PER HOUR.**(E) SHARING OF ORGANIZATION REVENUES? = NO****(A) NAME OF PERSON: MARK ROMAN****(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:**

CURRENT TRUSTEE AND IS THE OWNER OF STARDUST PRODUCTIONS

**(C) AMOUNT OF TRANSACTION \$ 1,500.****(D) DESCRIPTION OF TRANSACTION: HIS COMPANY SUPPLIED MATERIAL AND**

SERVICES FOR THE OYSTER FESTIVAL.

**(E) SHARING OF ORGANIZATION REVENUES? = NO**

**SCHEDULE M**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

OMB No. 1545-0047

**2010**

Open to Public  
Inspection

Name of the organization

**NORWALK SEAPORT ASSOCIATION, INC.**

Employer identification number

**06-0986800**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded				
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ( <u>PRODUCTS &amp; SE</u> )	X	0	173,600.	FAIR VALUE
26 Other ► ( _____ )				
27 Other ► ( _____ )				
28 Other ► ( _____ )				

29 Number of Forms 8283 received by the organization during the tax year for contributions  
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

**29**

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? .....

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31		X
32a		X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2010)

032141  
12-23-10

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
► Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2010**

Open to Public  
Inspection

Name of the organization

**NORWALK SEAPORT ASSOCIATION, INC.**

Employer identification number  
**06-0986800**

### FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

ENVIRONMENT AND MARITIME HERITAGE THROUGH EDUCATION AND PRESERVATION.

THE NORWALK SEAPORT ASSOCIATION OWNS THE SHEFFIELD ISLAND LIGHTHOUSE,  
LISTED ON THE NATIONAL REGISTER OF HISTORIC PLACES. A MAJOR GOAL IS  
THE RESTORATION AND PRESERVATION OF THIS HISTORIC SITE.

### FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE NORWALK SEAPORT ASSOCIATION OWNS THE SHEFFIELD ISLAND LIGHTHOUSE,  
LISTED ON THE NATIONAL REGISTER OF HISTORIC PLACES. A MAJOR GOAL IS  
THE RESTORATION AND PRESERVATION OF THIS HISTORIC SITE.

FORM 990, PART VI, SECTION A, LINE 6: THE ORGANIZATION IS INCORPORATED AS  
A NON-STOCK CORPORATION IN THE STATE OF CONNECTICUT.

FORM 990, PART VI, SECTION A, LINE 7A: ELECTION OF MEMBERS TO THE  
GOVERNING BODY ARE AS FOLLOWS:

SECTION 7.1 NOMINATING COMMITTEE - ON OR BEFORE SEPTEMBER 30 OF EACH YEAR,  
THE PRESIDENT SHALL APPOINT A NOMINATING COMMITTEE CONSISTING OF SEVEN  
MEMBERS OF THE NSA. THE PRESIDENT MAY BE A MEMBER OF THE NOMINATING  
COMMITTEE. OF THE SEVEN MEMBERS, FIVE SHALL BE MEMBERS OF THE BOARD AND  
TWO SHALL BE NON-BOARD MEMBERS DRAWN FROM THE GENERAL MEMBERSHIP OF THE  
NSA. THE COMMITTEE SHALL SELECT A CHAIRPERSON. NO ONE ON THE NOMINATING  
COMMITTEE MAY BE NOMINATED TO THE SLATE OF OFFICERS PROPOSED BY THE  
NOMINATING COMMITTEE, EXCEPT THE CURRENT PRESIDENT.

Name of the organization

NORWALK SEAPORT ASSOCIATION, INC.

Employer identification number

06-0986800

SECTION 7.2 CANDIDATES - NO LATER THAN THE SCHEDULED OCTOBER MEETING OF THE BOARD, THE NOMINATING COMMITTEE SHALL PRESENT IN EXECUTIVE SESSION THE NAMES OF THOSE TRUSTEES, IF ANY, WHOSE TERMS SHALL NEXT EXPIRE AND WHO ARE NOT PROPOSED FOR RE-NOMINATION, AND THE NUMBER OF VACANCIES TO BE FILLED.

NO LATER THAN THE SCHEDULED NOVEMBER BOARD MEETING, THE COMMITTEE SHALL PRESENT A SLATE OF CANDIDATES CONSISTING OF ONE CANDIDATE FOR EACH ONE-TWO- AND THREE-YEAR TRUSTEE TERM TO BE FILLED, AND ONE CANDIDATE FOR EACH ELECTIVE OFFICE.

PERSONS NOMINATED TO FILL A TRUSTEE POSITION SHALL BE NOMINATED FOR A ONE-TWO- OR THREE-YEAR TERM, AS THE CASE MAY BE, SO AS TO MAINTAIN AS NEARLY AS POSSIBLE THE POLICY OF HAVING ONE-THIRD OF THE TRUSTEESHIPS EXPIRE EACH YEAR. IN THE CASE OF A NOMINEE WHO HAS NOT PREVIOUSLY SERVED AS A TRUSTEE OF THE NSA, THE NOMINATION SHALL BE FOR A ONE-YEAR TERM.

THE COMMITTEE SHALL CONFIRM TO THE BOARD THAT ALL CANDIDATES ARE ELIGIBLE TO SERVE, IF ELECTED, OR HAVE BEEN ADVISED OF THE ELIGIBILITY REQUIREMENTS, AND THAT EACH HAS BEEN CONTACTED PERSONALLY BY A MEMBER OF THE NOMINATING COMMITTEE AND HAS EXPRESSED HIS OR HER WILLINGNESS TO ACCEPT THE NOMINATION AND, IF ELECTED, TO SERVE.

SECTION 7.3 ELIGIBILITY - ONLY NSA MEMBERS SHALL BE ELIGIBLE TO SERVE ON THE BOARD OF TRUSTEES, AND ONLY TRUSTEES SHALL BE ELIGIBLE TO SERVE AS OFFICERS. AS A PREREQUISITE TO SERVE AS A TRUSTEE, EACH INDIVIDUAL MUST MEET THE ELIGIBILITY REQUIREMENTS AS OF THE FIRST REGULAR MEETING OF THE BOARD FOLLOWING THEIR ELECTION OR APPOINTMENT.

Name of the organization

NORWALK SEAPORT ASSOCIATION, INC.

Employer identification number

06-0986800

SECTION 7.4 BALLOT PREPARATION AND DISTRIBUTION - UPON RECEIPT OF THE REPORT OF THE NOMINATING COMMITTEE, THE EXECUTIVE DIRECTOR OR, IN THE DIRECTOR'S ABSENCE, THE CHAIRPERSON OF THE NOMINATING COMMITTEE, SHALL HAVE A BALLOT PRINTED WITH BLANK SPACES PROVIDED IN WHICH ANY MEMBER MAY WRITE IN THE NAMES OF OTHER PERSONS FOR ELECTION TO THE BOARD OF TRUSTEES AND TO ELECTIVE OFFICE. THE BALLOT SHALL ALSO STATE THAT ANY NAME ON THAT PRINTED BALLOT MAY BE CROSSED OFF SHOULD THE MEMBER NOT WISH TO VOTE FOR ONE OR MORE SPECIFIC NOMINEES. ONLY ONE BALLOT SHALL BE PROVIDED TO EACH INDIVIDUAL, FAMILY OR OTHER MEMBERSHIP CATEGORY. A BALLOT SHALL BE MAILED TO EACH NSA MEMBER AT LEAST 14 DAYS IN ADVANCE OF THE ANNUAL MEETING, WITH A RETURN ENVELOPE ENCLOSED.

SECTION 7.5 VOTING - BALLOTS FOR TRUSTEES AND OFFICERS SHALL BE RETURNED TO THE NSA OFFICE OR BROUGHT TO THE ANNUAL MEETING AND TURNED IN TO THE SECRETARY PRIOR TO THE CONCLUSION OF VOTING.

THE CANDIDATE IN EACH ONE- TWO- AND THREE-YEAR TERM FOR TRUSTEE, RECEIVING THE HIGHEST NUMBER OF AFFIRMATIVE VOTES IN THAT CATEGORY, SHALL BE ELECTED TO THE BOARD FOR SUCH TERM. THE CANDIDATE FOR EACH ELECTIVE OFFICE THAT RECEIVES THE HIGHEST NUMBER OF VOTES FOR SUCH OFFICE SHALL BE ELECTED TO SUCH OFFICE, PROVIDED SUCH PERSON IS A TRUSTEE OR IS SIMULTANEOUSLY ELECTED AS A TRUSTEE.

SECTION 7.6 TAKING OFFICE - THE NEWLY ELECTED TRUSTEES AND OFFICERS SHALL TAKE OFFICE AS OF THE FIRST DAY OF THE MONTH FOLLOWING THEIR ELECTION.

FORM 990, PART VI, SECTION B, LINE 11: THE FORM 990 IS PROVIDED TO ALL

032212  
01-24-11

Schedule O (Form 990 or 990-EZ) (2010)

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BOARD OF TRUSTEES. THERE IS ALSO A SUMMARY STATEMENT/REPORT PRESENTED BY THE EXECUTIVE DIRECTOR OR TREASURER TO THE FINANCE COMMITTEE AND THE BOARD.

FORM 990, PART VI, SECTION B, LINE 15: THE EXECUTIVE COMMITTEE WORKS WITH THE EXECUTIVE DIRECTOR OR PRESIDENT ON IMPLEMENTING GOALS AND OBJECTIVES AND COMPENSATION LEVELS FOR ALL EMPLOYEES. THE BOARD OF DIRECTORS IS RESPONSIBLE FOR DETERMINING AND REVIEWING THE COMPENSATION OF THE EXECUTIVE DIRECTOR.

ARTICLE VI - EXECUTIVE DIRECTOR OR PRESIDENT

SECTION 6.1 OFFICE - THE BOARD AT ITS DISCRETION MAY EMPLOY AN EXECUTIVE DIRECTOR TO ACT AS THE CHIEF OPERATING OFFICER OF THE NSA. SUCH EMPLOYMENT SHALL REQUIRE APPROVAL BY A VOTE OF THREE-QUARTERS OF THE ENTIRE BOARD OF TRUSTEES THEN IN OFFICE AND NOT ON LEAVE OF ABSENCE. IN THE ABSENCE OF EMPLOYMENT OF AN EXECUTIVE DIRECTOR, THE PRESIDENT OF THE NSA SHALL ALSO BE THE CHIEF OPERATING OFFICER.

SECTION 6.2 DUTIES - THE EXECUTIVE DIRECTOR SHALL:

- REPORT DIRECTLY TO THE BOARD;
- BE RESPONSIBLE FOR THE OVERALL MANAGEMENT OF NSA OPERATIONS;
- BE RESPONSIBLE FOR CONDUCTING THE OFFICIAL CORRESPONDENCE OF THE NSA;
- PRESERVE ALL BOOKS, DOCUMENTS, RECORDS, COMMUNICATIONS AND ARCHIVES;
- BE RESPONSIBLE FOR PRESERVING BOOKS OF ACCOUNT AND FOR MAINTAINING ACCURATE RECORDS OF THE ACTIVITIES OF THE NSA;
- RECEIVE AND DEPOSIT ALL MONIES IN THE NAME OF THE NSA, AND UPON APPROVAL OF THE ANNUAL BUDGET MAKE SUCH DISBURSEMENTS AS ARE PROVIDED FOR IN THE BUDGET WITHOUT FURTHER APPROVAL OF THE BOARD, SUBJECT TO THE REQUIREMENT

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FOR JOINT EXECUTION OF ALL CHECKS OVER \$750;

- BE RESPONSIBLE FOR THE DIRECTION AND SUPERVISION OF ALL STAFF;

- ATTEND MEETINGS OF THE BOARD AND OF THE EXECUTIVE COMMITTEE;

- ATTEND COMMITTEE MEETINGS WHEN REQUESTED BY ITS CHAIRPERSON OR WHEN THE EXECUTIVE DIRECTOR DEEMS IT APPROPRIATE.

SECTION 6.3 TERM - THE EXECUTIVE DIRECTOR MAY BE DISMISSED WITH OR WITHOUT CAUSE BY A VOTE OF THREE-QUARTERS OF THE MEMBERS OF THE ENTIRE BOARD OF TRUSTEES THEN IN OFFICE AND NOT ON LEAVE OF ABSENCE.

SECTION 6.4 COMPENSATION - THE EXECUTIVE DIRECTOR SHALL BE PAID SUCH COMPENSATION AS THE BOARD DETERMINES.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

FORM 990 PART XI - FINANCIAL STATEMENTS AND REPORTING  
THE ORGANIZATION'S FINANCE AND EXECUTIVE COMMITTEE ASSUMES  
RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT AND THE SELECTION OF AN  
INDEPENDENT ACCOUNTANT.

Application for Extension of Time To File an  
Exempt Organization Return

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box  ► **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

**Do not complete Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only  ► 

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print  File by the due date for filing your return. See instructions	Name of exempt organization  <b>NORWALK SEAPORT ASSOCIATION, INC.</b>	Employer identification number  <b>06-0986800</b>
	Number, street, and room or suite no. If a P.O. box, see instructions.  <b>132 WATER STREET</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.  <b>SOUTH NORWALK, CT 06854</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 1**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**TOM SHRUM, PRESIDENT**

- The books are in the care of ► **132 WATER STREET - SOUTH NORWALK, CT 06854**

Telephone No. ► **203-838-9444** FAX No. ► 

- If the organization does not have an office or place of business in the United States, check this box  ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box ►  If it is for part of the group, check this box ►  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until

**AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

►  calendar year **2010** or►  tax year beginning  and ending 2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ <b>0.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ <b>0.</b>
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ <b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)