Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , **and ending**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: The Harman Cain Family Foundation

A Employer identification number: 04-3340178

Number and street (or P O box number if mail is not delivered to street address): 397 South Street
Room/suite:

B Telephone number: (781) 449-4189

City or town, state, and ZIP code: Needham, MA 02492

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,293,384.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received			N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	18,523.	18,523.		Statement 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	158,778.			
b	Gross sales price for all assets on line 6a 641,160.				
7	Capital gain net income (from Part IV, line 2)		158,778.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	12,182.	12,182.		Statement 2
12	**Total.** Add lines 1 through 11	189,483.	189,483.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees Stmt 3	5,653.	5,653.		0.
17	Interest				
18	Taxes Stmt 4	940.	940.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses Stmt 5	35.	35.		0.
24	**Total operating and administrative expenses.** Add lines 13 through 23	6,628.	6,628.		0.
25	Contributions, gifts, grants paid	92,050.			92,050.
26	**Total expenses and disbursements** Add lines 24 and 25	98,678.	6,628.		92,050.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	90,805.			
b	**Net investment income** (if negative, enter -0-)		182,855.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

914
18

		Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		1.	1.
	2	Savings and temporary cash investments	44,767.	96,304.	96,304.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock Stmt 6	271,637.	295,298.	349,115.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 7	335,928.	354,454.	847,184.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶ Estimated Taxes)	0.	780.	780.
	16	**Total assets** (to be completed by all filers)	652,332.	746,837.	1,293,384.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ Statement 8)	0.	3,700.	
	23	**Total liabilities** (add lines 17 through 22)	0.	3,700.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	652,332.	652,332.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	90,805.	
	30	**Total net assets or fund balances**	652,332.	743,137.	
	31	**Total liabilities and net assets/fund balances**	652,332.	746,837.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	652,332.
2	Enter amount from Part I, line 27a	2	90,805.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	743,137.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	743,137.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS Financial. Statement 11.	P		
b	Capital Gains Dividends			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	640,603.		482,382.	158,221.
b	557.			557.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				158,221.
b				557.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 158,778.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	64,050.	1,025,982.	.062428
2008	55,300.	1,223,794.	.045187
2007	87,550.	1,533,498.	.057092
2006	56,747.	996,351.	.056955
2005	46,405.	915,957.	.050663

2	Total of line 1, column (d)	2	.272325
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054465
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,171,620.
5	Multiply line 4 by line 3	5	63,812.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,829.
7	Add lines 5 and 6	7	65,641.
8	Enter qualifying distributions from Part XII, line 4	8	92,050.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1,829.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,829.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,829.
6 Credits/Payments:				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	780.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	780.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,049.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2010)

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Barbara L. Harman Telephone no. ▶ (781) 449-4189

Located at ▶ 397 South Street, Needham, MA ZIP+4 ▶ 02492

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Form **990-PF** (2010)

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Barbara L. Harman 397 South Street Needham, MA 02492	Trustee 0.00	0.	0.	0.
William E. Cain 397 South Street Needham, MA 02492	Trustee 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2010)

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.			
a Average monthly fair market value of securities		1a	1,141,612.
b Average of monthly cash balances		1b	47,850.
c Fair market value of all other assets		1c	
d **Total** (add lines 1a, b, and c)		1d	1,189,462.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	1,189,462.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	17,842.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,171,620.
6 **Minimum investment return.** Enter 5% of line 5		6	58,581.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	58,581.
2a Tax on investment income for 2010 from Part VI, line 5	2a 1,829.		
b Income tax for 2010. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	1,829.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	56,752.
4 Recoveries of amounts treated as qualifying distributions		4	0.
5 Add lines 3 and 4		5	56,752.
6 Deduction from distributable amount (see instructions)		6	0.
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	56,752.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,050.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,050.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,829.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	90,221.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				56,752.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005 1,261.				
b From 2006 7,923.				
c From 2007 12,418.				
d From 2008				
e From 2009 13,396.				
f **Total** of lines 3a through e	34,998.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 92,050.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				56,752.
e Remaining amount distributed out of corpus	35,298.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	70,296.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	1,261.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	69,035.			
10 Analysis of line 9:				
a Excess from 2006 7,923.				
b Excess from 2007 12,418.				
c Excess from 2008				
d Excess from 2009 13,396.				
e Excess from 2010 35,298.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

See Statement 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 10				
Total			▶ **3a**	92,050.
b *Approved for future payment*				
None				
Total			▶ **3b**	0.

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Form **990-PF** (2010)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					18,523.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					12,182.
8 Gain or (loss) from sales of assets other than inventory					158,778.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	189,483.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 189,483.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 6/2/11 | Title Trustee

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Donald W. Murray		04/27/11		

Firm's name ▶ Fedele and Murray, P.C. | Firm's EIN ▶

Firm's address ▶ 17 Walpole Street
Norwood, MA 02062-3318 | Phone no. (781) 551-5900

Form **990-PF** (2010)

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03-04-11

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
UBS Financial	19,074.	557.	18,517.
UBS Financial	6.	0.	6.
Total to Fm 990-PF, Part I, ln 4	19,080.	557.	18,523.

Form 990-PF Other Income Statement 2

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Sumitomo Mitsui legal settlement	2,182.	2,182.	
Recoverable loan from Actors' Shakespeare Project	10,000.	10,000.	
Total to Form 990-PF, Part I, line 11	12,182.	12,182.	

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
UBS Financial	5,653.	5,653.		0.
To Form 990-PF, Pg 1, ln 16c	5,653.	5,653.		0.

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign taxes withheld	285.	285.		0.
United States Treasury, excise taxes	655.	655.		0.
To Form 990-PF, Pg 1, ln 18	940.	940.		0.

Form 990-PF Other Expenses Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Annual filing fee. MA	35.	35.		0.
To Form 990-PF, Pg 1, ln 23	35.	35.		0.

Form 990-PF Corporate Stock Statement 6

Description	Book Value	Fair Market Value
Equities	295,298.	349,115.
Total to Form 990-PF, Part II, line 10b	295,298.	349,115.

Form 990-PF Other Investments Statement 7

Description	Valuation Method	Book Value	Fair Market Value
Other Investments	COST	0.	0.
Fixed income	COST	249,003.	262,150.
Alternative strategies	COST	79,003.	90,303.
Broad commodities	COST	23,236.	31,731.
Equities	COST	3,212.	463,000.
Total to Form 990-PF, Part II, line 13		354,454.	847,184.

Form 990-PF Other Liabilities Statement 8

Description	BOY Amount	EOY Amount
Adjustment for checks not cleared	0.	3,700.
Total to Form 990-PF, Part II, line 22	0.	3,700.

Form 990-PF Part XV - Line 1a List of Foundation Managers Statement 9

Name of Manager

Barbara L. Harman
William E. Cain

Form 990-PF Grants and Contributions Paid During the Year Statement 10

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
Actors Shakespeare Project PO Box 400986 Cambridge, MA 02140	None Charitable	501(c)(3) organizati	38,500.
Celebrity Series of Boston 20 Park Plaza Boston, MA 02116	None Charitable	501(c)(3) organizati	1,250.
Forum Theatre PO Box 24572 Washington, DC 20043	None Charitable	501(c)(3) organizati	10,000.
Guggenheim Museum 1071 Fifth Avenue New York, NY 10128	None Charitable	501(c)(3) organizati	1,000.
Life Pieces to Masterpieces 5002 Hayes Street NE Washington, DC 20019	None Charitable	501(c)(3) organizati	1,000.
National Gallery of Art 2000B South Club Drive Landover, MD 20785	None Charitable	501(c)(3) organizati	4,000.

Raw Art Works 37 Central Square Lynn, MA 01901	None Charitable	501(c)(3) organizati	5,000.
The Theatre Offensive 29 Elm Street #2 Cambridge, MA 02139	None Charitable	501(c)(3) organizati	3,000.
The ThreatreLab School of the Dramatic Arts 733 8th Street NW Washington, DC 20001	None Charitable	501(c)(3) organizati	1,000.
Batonga Foundation 2000 Massachusetts Avenue NW Washington, DC 20036	None Charitable	501(c)(3) organizati	500.
Collegiate Directions 4833 Rugby Avenue, Suite 301 Bethesda, MD 20814	None Charitable	501(c)(3) organizati	500.
The Point Foundation PO Box 804687 Chicago , IL 60680-4250	None Charitable	501(c)(3) organizati	3,000.
Septima Clark PCS 2501 Martin Luther King Jr. Avenye SE Washington, DC 20020	None Charitable	501(c)(3) organizati	500.
Skidmore College 816 N Broadway Saratoga Springs, NY 12866	None Charitable	501(c)(3) organizati	3,000.
St. John's Prep 72 Spring Street Danvers, MA 01923	None Charitable	501(c)(3) organizati	1,000.
AmericaShare 15 West 26th Street, 11th Floor New York, NY 10010	None Charitable	501(c)(3) organizati	1,300.

Dana-Farber Cancer Institute 10 Brookline Place West Brookline, MA 02445-7226	None	Charitable	501(c)(3) organizati	1,000.
The Michael J. Fox Foundation for Parkinson's Research Church Street Station PO Box 780 New York, NY 10008-0780	None	Charitable	501(c)(3) organizati	1,000.
Catalogue for Philanthropy: Greater Washington 1889 L Street NW, Suite 900 Washington, DC 20036	None	Charitable	501(c)(3) organizati	12,500.
The Barker Foundation 7979 Old Georgetown Road Bethesda, MD 20814	None	Charitable	501(c)(3) organizati	500.
Cakes for Cause 629 North Street Frederick, MA 21701	None	Charitable	501(c)(3) organizati	500.
Hope House DC PO Box 60682 Washington, DC 20039	None	Charitable	501(c)(3) organizati	500.
KEEN Greater DC PO Box 341590 Bethesda, MD 20827	None	Charitable	501(c)(3) organizati	500.
Legal Council for the Elderly 601 E Street NW Washington, DC 20049	None	Charitable	501(c)(3) organizati	500.
Safe Shores: The DC Children's Advocacy Center 529 O Street NW Washington, DC 20001	None	Charitable	501(c)(3) organizati	500.
Total to Form 990-PF, Part XV, line 3a				92,050.

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ABB LTD SPON ADR	45	03/03/09	506.17	11/08/10	965.38	459.21	L
ABB LTD SPON ADR	11	07/29/09	190.69	11/08/10	235.98	45.29	L
ABB LTD SPON ADR	7	01/11/10	143.84	11/08/10	150.17	6.33	S
ABB LTD SPON ADR	7	02/22/10	140.27	11/08/10	150.17	9.9	S
ABB LTD SPON ADR	6	07/20/10	108.6	11/08/10	128.72	20.12	S
ABBOTT LABS	6	02/19/09	326.91	03/04/10	324.77	-2.14	L
ABBOTT LABS	3	05/05/09	130.87	03/08/10	162.54	31.67	S
ABBOTT LABS	4	05/05/09	174.49	03/05/10	217.21	42.72	S
ABBOTT LABS	2	05/05/09	87.24	03/04/10	108.26	21.02	S
ABBOTT LABS	1	05/07/09	43.98	03/08/10	54.18	10.2	S
ABBOTT LABS	3	05/07/09	131.93	03/18/10	161.02	29.09	S
ABBOTT LABS	6	09/09/09	277.03	03/18/10	322.04	45.01	S
ABBOTT LABS	8	09/09/09	369.37	03/22/10	428.93	59.56	S
ABBOTT LABS	1	09/10/09	46.72	03/22/10	53.62	6.9	S
ABBOTT LABS	3	09/10/09	140.16	03/26/10	157.53	17.37	S
ABBOTT LABS	5	09/10/09	233.6	03/25/10	268.54	34.94	S
ABBOTT LABS	5	09/14/09	235.18	03/29/10	265.27	30.09	S
ABBOTT LABS	1	09/14/09	47.04	03/26/10	52.51	5.47	S
ABBOTT LABS	4	02/02/10	216.79	03/30/10	210.99	-5.8	S
ABBOTT LABS	5	02/02/10	270.99	03/29/10	265.27	-5.72	S
ABBOTT LABS	6	02/04/10	323.92	03/30/10	316.48	-7.44	S
ABBOTT LABS	10	02/23/10	538.59	03/31/10	523.84	-14.75	S
ACCIONA S.A. EUR	6	01/22/09	675.07	11/26/10	416.55	-258.52	L
ACCIONA S.A. EUR	1	02/22/10	112.37	11/26/10	69.42	-42.95	S
ACTELION LTD ALLSCHWIL CHF	1	10/24/08	46.16	01/14/10	55.14	8.98	L
ADOBE SYSTEMS INC	11	10/16/09	393	02/16/10	346.55	-46.45	S
ADOBE SYSTEMS INC	2	11/03/09	66.07	02/18/10	66.43	0.36	S
ADOBE SYSTEMS INC	6	11/03/09	198.22	02/16/10	189.03	-9.19	S
ADOBE SYSTEMS INC	6	11/04/09	206.34	03/09/10	211.43	5.09	S
ADOBE SYSTEMS INC	4	11/23/09	145.51	03/09/10	140.96	-4.55	S
ADOBE SYSTEMS INC	1	11/23/09	36.38	03/19/10	35.08	-1.3	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ADOBE SYSTEMS INC	7	11/23/09	254.64	03/19/10	242.63	-12.01	S
ADOBE SYSTEMS INC	7	01/19/10	258.34	03/19/10	245.59	-12.75	S
ADOBE SYSTEMS INC	5	01/20/10	181.62	03/23/10	174.84	-6.78	S
ADOBE SYSTEMS INC	2	02/23/10	66.78	05/06/10	66.61	-0.17	S
ADOBE SYSTEMS INC	3	02/23/10	100.17	03/23/10	104.9	4.73	S
AIR LIQUIDE ADR FRANCE ADR	0.4	10/24/08	5.8	07/02/10	8.13	2.33	L
ALASKA AIR GROUP INC	2	09/23/09	54.01	02/18/10	70.81	16.8	S
ALLERGAN INC	5	12/04/09	295.04	01/13/10	298.19	3.15	S
ALLIANZ NFJ INTL VALUE FUND CLASS A	658.44	10/23/08	8,790.19	07/16/10	11,660.99	2,870.80	L
ALLIED WORLD ASSURN HOLDINGS LTD ***MERGER EFF : 12/2010***	3	07/01/09	123.31	02/18/10	138.48	15.17	S
ALLIED WORLD ASSURN HOLDINGS LTD ***MERGER EFF : 12/2010***	3	07/08/09	121.26	03/23/10	133.78	12.52	S
ALLIED WORLD ASSURN HOLDINGS LTD ***MERGER EFF : 12/2010***	3	07/09/09	122.56	03/29/10	133.13	10.57	S
ALLIED WORLD ASSURN HOLDINGS LTD ***MERGER EFF : 12/2010***	3	07/10/09	122.06	04/05/10	133.11	11.05	S
ALLIED WORLD ASSURN HOLDINGS LTD ***MERGER EFF : 12/2010***	3	07/13/09	123.97	04/12/10	134.36	10.39	S
ALLSTATE CORP	12	09/28/10	377.15	11/03/10	360.64	-16.51	S
ALSTOM SHS PROV REGROUPMENT ORD EUR	4	10/24/08	180	05/07/10	204.14	24.14	L
ALSTOM SHS PROV REGROUPMENT ORD EUR	4	11/25/08	222.03	07/29/10	203.13	-18.9	L
ALSTOM SHS PROV REGROUPMENT ORD EUR	1	11/25/08	55.51	05/07/10	51.04	-4.47	L
ALSTOM SHS PROV REGROUPMENT ORD EUR	4	05/06/09	255.65	07/29/10	203.13	-52.52	L
ALSTOM SHS PROV REGROUPMENT ORD EUR	3	07/29/09	200.97	07/29/10	152.35	-48.62	S
ALSTOM SHS PROV REGROUPMENT ORD EUR	2	01/12/10	151.8	07/29/10	101.56	-50.24	S
ALSTOM SHS PROV REGROUPMENT ORD EUR	3	02/22/10	196.74	07/29/10	152.35	-44.39	S
ALSTOM SHS PROV REGROUPMENT ORD EUR	2	07/20/10	92.71	07/29/10	101.56	8.85	S
AMAZON.COM INC	1	05/19/09	76.74	02/18/10	117.83	41.09	S
AMAZON.COM INC	2	06/08/09	171.37	02/18/10	235.66	64.29	S
AMAZON.COM INC	2	06/12/09	165.3	02/18/10	235.66	70.36	S
AMAZON.COM INC	3	07/10/09	233.06	02/18/10	353.49	120.43	S
AMAZON.COM INC	2	07/10/09	155.38	07/07/10	221.06	65.68	S
AMAZON.COM INC	1	10/23/09	116.21	07/07/10	110.53	-5.68	S
AMAZON.COM INC	3	10/23/09	348.62	07/16/10	359.41	10.79	S
AMAZON.COM INC	1	11/02/09	117.44	07/16/10	119.8	2.36	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
AMAZON.COM INC	6	11/02/09	704.66	07/19/10	704.53	-0.13	S
AMAZON.COM INC	11	11/11/09	1,422.19	07/19/10	1,291.65	-130.54	S
AMAZON.COM INC	3	11/11/09	387.87	07/20/10	355.97	-31.9	S
AMAZON.COM INC	7	11/12/09	918.43	07/20/10	830.61	-87.82	S
AMAZON.COM INC	5	11/13/09	653.69	07/20/10	593.29	-60.4	S
AMAZON.COM INC	5	11/19/09	644.68	07/26/10	586.35	-58.33	S
AMAZON.COM INC	1	12/04/09	141.64	07/30/10	116.44	-25.2	S
AMAZON.COM INC	3	12/04/09	424.92	07/26/10	351.81	-73.11	S
AMAZON.COM INC	4	03/01/10	495.86	07/30/10	465.75	-30.11	S
AMAZON.COM INC	4	03/02/10	503.47	07/30/10	465.75	-37.72	S
AMAZON.COM INC	2	03/02/10	252.16	07/30/10	232.88	-19.28	S
AMAZON.COM INC	2	07/14/10	245.97	07/30/10	232.88	-13.09	S
AMER EXPRESS CO	9	05/06/09	240.55	05/07/10	371.67	131.12	L
AMER EXPRESS CO	2	05/06/09	53.46	05/14/10	80.76	27.3	L
AMER EXPRESS CO	5	08/27/09	170.23	05/14/10	201.89	31.66	S
AMER EXPRESS CO	8	10/15/09	279.56	05/14/10	323.03	43.47	S
AMER EXPRESS CO	3	11/13/09	120.47	05/14/10	121.14	0.67	S
AMER EXPRESS CO	6	11/13/09	240.93	07/09/10	253.75	12.82	S
AMER EXPRESS CO	8	02/01/10	305.1	07/09/10	338.33	33.23	S
AMER EXPRESS CO	5	02/23/10	189.75	07/09/10	211.46	21.71	S
AMER GREETINGS A	1	10/15/09	23.67	12/07/10	21.83	-1.84	L
AMER GREETINGS A	8	10/15/09	189.32	12/03/10	169.08	-20.24	L
AMER GREETINGS A	2	10/15/09	47.33	02/18/10	38.33	-9	S
AMER GREETINGS A	4	07/21/10	76.5	12/07/10	87.33	10.83	S
AMER TOWER CORP CL A	8	09/09/09	273.58	03/26/10	331.2	57.62	S
AMER TOWER CORP CL A	1	09/09/09	34.2	01/13/10	43.93	9.73	S
AMER TOWER CORP CL A	1	09/09/09	34.2	07/19/10	43.91	9.71	S
AMER TOWER CORP CL A	6	09/14/09	209.77	07/19/10	263.47	53.7	S
AMER TOWER CORP CL A	7	09/16/09	248.76	07/19/10	307.38	58.62	S
AMER TOWER CORP CL A	5	10/06/09	186.47	07/19/10	219.56	33.09	S
AMER TOWER CORP CL A	8	10/12/09	300.68	07/19/10	351.29	50.61	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
AMER TOWER CORP CL A	5	02/03/10	214.04	07/19/10	219.56	5.52	S
AMER TOWER CORP CL A	5	02/23/10	213.05	07/19/10	219.56	6.51	S
AMER TOWER CORP CL A	14	08/12/10	642.42	10/20/10	703.59	61.17	S
AMER TOWER CORP CL A	5	09/08/10	246.2	10/20/10	251.28	5.08	S
AMERICAN FUNDS BOND FUND OF AMERICA CLASS F	2,641.48	10/23/08	28,844.96	02/18/10	31,380.78	2,535.82	L
AMERIPRISE FINANCIAL INC	2	09/03/09	56.7	02/18/10	79.44	22.74	S
AMERIPRISE FINANCIAL INC	6	09/10/09	179.4	02/18/10	238.33	58.93	S
AMERIPRISE FINANCIAL INC	7	10/06/09	256.44	02/18/10	278.05	21.61	S
AMERIPRISE FINANCIAL INC	5	10/07/09	181.24	02/18/10	198.61	17.37	S
AMGEN INC	7	03/31/10	419.93	11/03/10	400.83	-19.1	S
AMGEN INC	3	04/06/10	180.33	11/03/10	171.78	-8.55	S
AMGEN INC	8	04/26/10	470.4	11/03/10	458.09	-12.31	S
AMGEN INC	6	04/29/10	352.19	11/03/10	343.57	-8.62	S
AMGEN INC	2	06/23/10	111.28	11/03/10	114.52	3.24	S
AMGEN INC	8	06/28/10	445.35	11/05/10	440.97	-4.38	S
AMGEN INC	6	07/19/10	318.84	11/05/10	330.73	11.89	S
AMGEN INC	7	07/21/10	368.97	11/05/10	385.85	16.88	S
AMGEN INC	11	07/21/10	579.81	11/10/10	602.04	22.23	S
AMP LTD ADR	17	08/24/09	349.96	09/08/10	312.87	-37.09	L
AMP LTD ADR	1	08/24/09	20.59	01/14/10	23.69	3.1	S
AMPHENOL CORP NEW CL A	3	11/12/09	128.41	02/18/10	126.05	-2.36	S
ANADARKO PETROLEUM CORP	10	07/13/10	482.89	07/19/10	453.91	-28.98	S
ANADARKO PETROLEUM CORP	11	07/14/10	519.33	07/19/10	499.3	-20.03	S
ANGLO AMERN PLC NEW ADR	33	08/24/09	556.47	02/09/10	611.49	55.02	S
APACHE CORP	7	06/24/09	507.28	01/13/10	746.4	239.12	S
APACHE CORP	4	06/25/09	293.56	02/18/10	412.81	119.25	S
APACHE CORP	5	06/25/09	366.95	01/13/10	533.14	166.19	S
APACHE CORP	2	06/25/09	146.78	06/29/10	168.96	22.18	L
APACHE CORP	3	06/25/09	220.17	06/28/10	264.96	44.79	L
APACHE CORP	2	06/25/09	146.78	06/25/10	177.6	30.82	S
APOLLO GROUP INC CL A	2	04/13/09	120.38	02/01/10	119.94	-0.44	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
APOLLO GROUP INC CL A	3	04/14/09	180.59	02/01/10	179.91	-0.68	S
APOLLO GROUP INC CL A	3	04/22/09	188.76	02/02/10	181.65	-7.11	S
APOLLO GROUP INC CL A	3	04/22/09	188.76	02/01/10	179.91	-8.85	S
APOLLO GROUP INC CL A	2	04/29/09	123.61	02/12/10	117.73	-5.88	S
APOLLO GROUP INC CL A	3	04/29/09	185.41	02/03/10	181.16	-4.25	S
APOLLO GROUP INC CL A	2	04/29/09	123.61	02/02/10	121.1	-2.51	S
APPLE INC	17	05/27/09	2,274.87	07/19/10	4,126.29	1,851.42	L
APPLE INC	1	05/27/09	133.82	01/13/10	208.87	75.05	S
APPLE INC	3	10/01/09	550.13	07/19/10	728.17	178.04	S
APPLE INC	1	01/27/10	206.67	07/19/10	242.72	36.05	S
APPLE INC	5	02/23/10	983.2	07/19/10	1,213.61	230.41	S
APPLE INC	1	07/14/10	253.15	07/19/10	242.72	-10.43	S
ARCELORMITTAL SA NY REG	19	05/07/09	518.8	10/15/10	658.14	139.34	L
ARCELORMITTAL SA NY REG	4	10/15/09	160.19	10/15/10	138.55	-21.64	S
ARCELORMITTAL SA NY REG	4	02/22/10	158.23	10/15/10	138.55	-19.68	S
ARCELORMITTAL SA NY REG	4	07/29/10	123.2	10/15/10	138.55	15.35	S
ARCELORMITTAL SA NY REG	2	08/03/10	65.49	10/15/10	69.28	3.79	S
ARCELORMITTAL SA NY REG	5	09/07/10	158.06	10/15/10	173.19	15.13	S
ARCHER DANIELS MIDLAND CO	13	12/08/09	396.83	02/18/10	389.86	-6.97	S
ARCHER DANIELS MIDLAND CO	19	12/16/09	597.27	02/18/10	569.8	-27.47	S
ARROW ELECTRONICS INC	3	02/01/10	80.83	02/18/10	86.27	5.44	S
AT&T INC	85	02/01/10	2,158.41	04/29/10	2,229.84	71.43	S
AT&T INC	28	02/01/10	711.01	02/18/10	706.43	-4.58	S
AT&T INC	10	02/02/10	257.3	04/29/10	262.33	5.03	S
AT&T INC	18	02/02/10	463.13	05/03/10	473.83	10.7	S
AT&T INC	6	04/19/10	157.45	05/03/10	157.94	0.49	S
AXIS CAPITAL HOLDINGS LTD SHS	3	02/12/10	89.68	03/18/10	95.19	5.51	S
AXIS CAPITAL HOLDINGS LTD SHS	1	02/12/10	29.89	04/05/10	31.3	1.41	S
AXIS CAPITAL HOLDINGS LTD SHS	5	02/18/10	154.6	04/05/10	156.51	1.91	S
AXIS CAPITAL HOLDINGS LTD SHS	2	02/18/10	61.84	04/19/10	62.1	0.26	S
AXIS CAPITAL HOLDINGS LTD SHS	4	02/18/10	123.68	04/12/10	124.7	1.02	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
BAE SYSTEMS PLC SPON ADR	1	10/24/08	20.3	01/14/10	24.02	3.72	L
BAE SYSTEMS PLC SPON ADR	22	10/24/08	446.6	10/18/10	513.69	67.09	L
BAE SYSTEMS PLC SPON ADR	16	03/04/09	316.56	10/18/10	373.59	57.03	L
BAE SYSTEMS PLC SPON ADR	4	03/23/09	79.53	10/18/10	93.4	13.87	L
BAE SYSTEMS PLC SPON ADR	5	02/08/10	108.1	10/18/10	116.75	8.65	S
BAE SYSTEMS PLC SPON ADR	6	02/22/10	138.24	10/18/10	140.1	1.86	S
BAE SYSTEMS PLC SPON ADR	6	07/20/10	114.78	10/18/10	140.1	25.32	S
BAIDU INC ADS REPSNTG CL A ORD SHS	20	09/24/09	776.68	07/19/10	1,422.92	646.24	S
BAIDU INC ADS REPSNTG CL A ORD SHS	10	10/28/09	393.3	07/19/10	711.46	318.16	S
BAIDU INC ADS REPSNTG CL A ORD SHS	10	11/05/09	396.55	07/19/10	711.46	314.91	S
BAIDU INC ADS REPSNTG CL A ORD SHS	10	11/06/09	402.9	07/19/10	711.46	308.56	S
BALL CORP	2	06/29/09	89.77	05/10/10	102.31	12.54	S
BANCO SANTANDER BRASIL ADS	11	10/07/09	142.88	10/05/10	160.18	17.3	S
BANCO SANTANDER BRASIL ADS	1	10/07/09	12.99	01/14/10	13.42	0.43	S
BANCO SANTANDER BRASIL ADS	8	10/08/09	105.2	10/05/10	116.5	11.3	S
BANCO SANTANDER BRASIL ADS	2	10/15/09	26.68	10/05/10	29.12	2.44	S
BANCO SANTANDER BRASIL ADS	9	09/24/09	498.74	07/19/10	676.64	177.9	S
BANCO SANTANDER BRASIL ADS	1	09/24/09	55.42	01/14/10	68.52	13.1	S
BANCO SANTANDER BRASIL ADS	2	09/24/09	110.83	01/08/10	138.96	28.13	S
BANCO SANTANDER BRASIL ADS	2	05/05/10	125.92	07/19/10	150.36	24.44	S
BANK OF AMER CORP	30	06/02/10	470.69	06/28/10	461.53	-9.16	S
BANK OF AMER CORP	15	06/11/10	233.02	06/28/10	230.77	-2.25	S
BANK OF AMER CORP	63	06/15/10	979.6	06/28/10	969.22	-10.38	S
BANK OF NEW YORK MELLON CORP	4	01/20/10	123.58	07/30/10	100.21	-23.37	S
BANK OF NEW YORK MELLON CORP	7	01/20/10	216.26	02/18/10	193.4	-22.86	S
BANK OF NEW YORK MELLON CORP	13	02/01/10	385.43	07/30/10	325.69	-59.74	S
BANK OF NEW YORK MELLON CORP	5	02/02/10	146.04	07/30/10	125.26	-20.78	S
BANK OF NEW YORK MELLON CORP	8	02/03/10	230.1	07/30/10	200.42	-29.68	S
BANK OF NEW YORK MELLON CORP	4	04/29/10	127.95	07/30/10	100.21	-27.74	S
BANK OF NEW YORK MELLON CORP	16	07/21/10	410.69	07/30/10	400.84	-9.85	S
BANK SARASIN & CIE REG B CHF	1	11/26/08	22.57	01/14/10	37.73	15.16	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
BAXTER INTL INC	7	04/29/10	337.8	06/15/10	294.25	-43.55	S
BAYER A G SPON ADR	1	10/24/08	51.87	01/14/10	79.2	27.33	L
BELLE INTL HLDGS LTD ADR	3	11/17/09	175.68	08/17/10	250.4	74.72	S
BELLE INTL HLDGS LTD ADR	10	11/17/09	585.6	03/11/10	582.84	-2.76	S
BELLE INTL HLDGS LTD ADR	2	12/15/09	129.8	08/17/10	166.93	37.13	S
BG GROUP PLC SPON ADR	1	10/24/08	55.9	05/06/10	80.07	24.17	L
BG GROUP PLC SPON ADR	1	10/24/08	55.9	01/14/10	99.38	43.48	L
BG GROUP PLC SPON ADR	3	03/23/09	241.26	07/13/10	246.63	5.37	L
BG GROUP PLC SPON ADR	3	03/23/09	241.26	05/06/10	240.21	-1.05	L
BG GROUP PLC SPON ADR	2	09/14/09	186	07/13/10	164.42	-21.58	S
BG GROUP PLC SPON ADR	3	09/23/09	272.91	07/13/10	246.63	-26.28	S
BG GROUP PLC SPON ADR	2	02/22/10	182.76	07/13/10	164.42	-18.34	S
BHP BILLITON LTD SPON ADR	10	04/15/10	817.7	06/25/10	669.58	-148.12	S
BHP BILLITON PLC NEW SPON ADR	1	03/12/09	35.7	01/13/10	66.85	31.15	S
BHP BILLITON PLC NEW SPON ADR	14	03/13/09	536.27	07/19/10	773.63	237.36	L
BHP BILLITON PLC NEW SPON ADR	5	04/21/09	203.02	07/19/10	276.29	73.27	L
BHP BILLITON PLC NEW SPON ADR	5	04/23/09	205.66	07/19/10	276.29	70.63	L
BHP BILLITON PLC NEW SPON ADR	4	05/06/09	188.34	07/19/10	221.04	32.7	L
BHP BILLITON PLC NEW SPON ADR	2	08/06/09	105.9	07/19/10	110.52	4.62	S
BHP BILLITON PLC NEW SPON ADR	4	08/10/09	205.91	07/19/10	221.04	15.13	S
BHP BILLITON PLC NEW SPON ADR	3	02/02/10	187.73	07/19/10	165.78	-21.95	S
BHP BILLITON PLC NEW SPON ADR	3	02/04/10	174.18	07/19/10	165.78	-8.4	S
BHP BILLITON PLC NEW SPON ADR	5	02/23/10	306.22	07/19/10	276.29	-29.93	S
BHP BILLITON PLC NEW SPON ADR	4	03/05/10	268.24	07/19/10	221.04	-47.2	S
BHP BILLITON PLC NEW SPON ADR	5	03/08/10	337.73	07/19/10	276.29	-61.44	S
BHP BILLITON PLC NEW SPON ADR	4	05/24/10	210.59	07/19/10	221.04	10.45	S
BILFINGER BERGER SE ADR	8	09/11/09	108.33	07/09/10	85.15	-23.18	S
BILFINGER BERGER SE ADR	1	09/11/09	13.54	01/14/10	16.26	2.72	S
BILFINGER BERGER SE ADR	41	09/14/09	545.49	07/09/10	436.38	-109.11	S
BILFINGER BERGER SE ADR	10	02/22/10	138.8	07/09/10	106.43	-32.37	S
BIOGEN IDEC INC	3	06/29/10	146.03	07/30/10	167.15	21.12	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
BIOGEN IDEC INC	3	07/01/10	139.77	07/30/10	167.15	27.38	S
BIOGEN IDEC INC	2	07/02/10	98.66	07/30/10	111.43	12.77	S
BIOGEN IDEC INC	2	07/09/10	102.53	07/30/10	111.43	8.9	S
BIOGEN IDEC INC	6	07/19/10	314	07/30/10	334.3	20.3	S
BIOGEN IDEC INC	2	07/21/10	107.48	07/30/10	111.43	3.95	S
BIOGEN IDEC INC	4	07/21/10	214.96	08/05/10	227.69	12.73	S
BNP PARIBAS SA ADR	1	10/01/09	39.15	01/14/10	42.18	3.03	S
BNP PARIBAS SA ADR	5	10/01/09	195.76	04/23/10	174.53	-21.23	S
BNP PARIBAS SA ADR	6	10/01/09	234.92	04/22/10	211.2	-23.72	S
BNP PARIBAS SA ADR	15	10/01/09	587.29	11/26/10	479.63	-107.66	L
BNP PARIBAS SA ADR	2	01/04/10	82.5	11/26/10	63.95	-18.55	S
BNP PARIBAS SA ADR	5	02/22/10	184.45	11/26/10	159.88	-24.57	S
BNP PARIBAS SA ADR	3	05/20/10	83.92	11/26/10	95.93	12.01	S
BOWLEVEN PLC GBP	26	02/04/10	46.91	11/09/10	112.24	65.33	S
BOWLEVEN PLC GBP	37	02/04/10	66.76	09/20/10	98.73	31.97	S
BOWLEVEN PLC GBP	39	02/04/10	70.36	09/14/10	97.9	27.54	S
BOWLEVEN PLC GBP	101	02/05/10	175.16	11/09/10	436	260.84	S
BOWLEVEN PLC GBP	18	02/08/10	31.8	12/22/10	102.12	70.32	S
BOWLEVEN PLC GBP	17	02/08/10	30.03	12/21/10	99.11	69.08	S
BOWLEVEN PLC GBP	12	02/08/10	21.2	11/09/10	51.8	30.6	S
BP PLC SPON ADR	17	05/05/10	877.72	09/07/10	634.25	-243.47	S
BP PLC SPON ADR	15	07/07/10	492.32	09/07/10	559.64	67.32	S
BRISTOW GROUP INC	3	02/18/10	106.14	03/23/10	117.65	11.51	S
BRISTOW GROUP INC	3	02/18/10	106.14	03/18/10	117.31	11.17	S
BRITISH AMER TOBACCO PLC GB SPON ADR	7	10/24/08	328.51	03/15/10	467.5	138.99	L
BRITISH AMER TOBACCO PLC GB SPON ADR	3	10/24/08	140.79	02/03/10	198.9	58.11	L
BRITISH AMER TOBACCO PLC GB SPON ADR	1	10/24/08	46.93	01/14/10	65.79	18.86	L
BUNGE LIMITED	3	04/06/10	183.53	08/06/10	164.77	-18.76	S
BUNGE LIMITED	1	04/29/10	54.18	08/12/10	54.11	-0.07	S
BUNGE LIMITED	1	04/29/10	54.18	08/06/10	54.92	0.74	S
BUNGE LIMITED	3	07/21/10	160.64	08/12/10	162.32	1.68	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
BUNZL PLC ORD GBP	8	07/10/09	68.36	04/21/10	93.72	25.36	S
BUNZL PLC ORD GBP	1	07/10/09	8.55	01/14/10	10.54	1.99	S
C H ROBINSON WORLDWIDE INC NEW	5	10/05/10	354.04	12/31/10	398.96	44.92	S
CA INC	2	06/29/09	34.87	02/18/10	44.92	10.05	S
CA INC	2	07/01/09	35.08	02/18/10	44.92	9.84	S
CANON INC ADR JAPAN SPON ADR	9	10/09/09	345.69	10/06/10	422.76	77.07	S
CANON INC ADR JAPAN SPON ADR	5	10/09/09	192.05	04/20/10	230.43	38.38	S
CARNIVAL CORP NEW (PAIRED STOCK)	10	09/23/09	334.99	12/16/10	424.71	89.72	L
CARNIVAL CORP NEW (PAIRED STOCK)	9	09/23/09	301.49	04/23/10	382.23	80.74	S
CENTRICA PLC NEW 2004 SPON ADR	40	08/27/09	630.14	05/27/10	626.69	-3.45	S
CENTRICA PLC NEW 2004 SPON ADR	1	08/27/09	15.75	01/14/10	18.52	2.77	S
CENTRICA PLC NEW 2004 SPON ADR	7	02/22/10	119.63	05/27/10	109.67	-9.96	S
CHESAPEAKE ENERGY CORP OKLA	29	09/23/09	834.22	02/18/10	796.61	-37.61	S
CHESAPEAKE ENERGY CORP OKLA	2	09/23/09	57.53	10/20/10	44.33	-13.2	L
CHESAPEAKE ENERGY CORP OKLA	10	09/24/09	277.64	10/20/10	221.67	-55.97	L
CHESAPEAKE ENERGY CORP OKLA	9	10/06/09	250.24	10/25/10	191.06	-59.18	L
CHESAPEAKE ENERGY CORP OKLA	8	10/06/09	222.43	10/20/10	177.34	-45.09	L
CHESAPEAKE ENERGY CORP OKLA	14	10/07/09	385.73	10/25/10	297.21	-88.52	L
CHESAPEAKE ENERGY CORP OKLA	9	10/12/09	260.56	10/25/10	191.06	-69.5	L
CHESAPEAKE ENERGY CORP OKLA	6	10/12/09	173.71	10/26/10	126.77	-46.94	L
CHESAPEAKE ENERGY CORP OKLA	11	10/15/09	316.51	10/26/10	232.41	-84.1	L
CHESAPEAKE ENERGY CORP OKLA	15	10/19/09	434.39	10/26/10	316.92	-117.47	L
CHESAPEAKE ENERGY CORP OKLA	2	10/21/09	57.96	10/26/10	42.26	-15.7	L
CHESAPEAKE ENERGY CORP OKLA	5	10/21/09	144.9	11/11/10	115.51	-29.39	L
CHESAPEAKE ENERGY CORP OKLA	18	10/28/09	451.51	11/11/10	415.82	-35.69	L
CHESAPEAKE ENERGY CORP OKLA	7	11/11/09	179.5	11/11/10	161.71	-17.79	S
CHESAPEAKE ENERGY CORP OKLA	7	11/12/09	177.08	11/12/10	158.79	-18.29	S
CHESAPEAKE ENERGY CORP OKLA	14	11/18/09	343.39	11/12/10	317.58	-25.81	S
CHESAPEAKE ENERGY CORP OKLA	4	01/20/10	110.9	11/12/10	90.74	-20.16	S
CHEVRON CORP	5	02/04/09	362.57	10/15/10	416.96	54.39	L
CHEVRON CORP	18	02/04/09	1,305.27	02/18/10	1,322.09	16.82	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
CHEVRON CORP	12	02/09/09	890.4	10/27/10	1,002.25	111.85	L
CHEVRON CORP	7	02/09/09	519.4	10/15/10	583.75	64.35	L
CHILDRENS PLACE RETAIL STORES INC	1	12/04/09	27.24	07/20/10	43.73	16.49	S
CHILDRENS PLACE RETAIL STORES INC	2	12/04/09	54.48	07/16/10	89.59	35.11	S
CHILDRENS PLACE RETAIL STORES INC	2	12/04/09	54.48	07/01/10	88.78	34.3	S
CHILDRENS PLACE RETAIL STORES INC	2	12/04/09	54.48	06/29/10	88.79	34.31	S
CHILDRENS PLACE RETAIL STORES INC	2	12/04/09	54.48	04/21/10	93.78	39.3	S
CHILDRENS PLACE RETAIL STORES INC	2	12/04/09	54.48	02/18/10	70.37	15.89	S
CHINA SHENHUA ENERGY CO LTD ADR	14	02/01/10	592.73	07/22/10	530.99	-61.74	S
CHINA SHENHUA ENERGY CO LTD ADR	3	02/22/10	127.95	07/22/10	113.78	-14.17	S
CHUBB CORP	2	10/24/08	91.88	02/18/10	102.02	10.14	L
CHUBB CORP	8	10/24/08	367.52	02/12/10	385.03	17.51	L
CHUBB CORP	4	07/21/09	162.75	02/18/10	204.04	41.29	S
CHUBB CORP	9	07/29/09	407.41	02/18/10	459.09	51.68	S
CHUBB CORP	4	07/31/09	184.73	02/18/10	204.04	19.31	S
CHUBB CORP	4	09/22/09	196.81	02/18/10	204.04	7.23	S
CHUBB CORP	6	10/06/09	302.13	02/18/10	306.06	3.93	S
CHUBB CORP	4	10/07/09	202.15	02/18/10	204.04	1.89	S
CHUBB CORP	11	10/08/09	561.75	02/18/10	561.11	-0.64	S
CHUBB CORP	4	07/19/10	205.88	08/12/10	210.39	4.51	S
CHUBB CORP	3	07/19/10	154.41	08/13/10	159.56	5.15	S
CHUBB CORP	3	07/21/10	157.59	09/14/10	170.27	12.68	S
CHUBB CORP	3	07/21/10	157.59	09/15/10	171.45	13.86	S
CIGNA CORP	1	07/10/09	24.29	02/18/10	33.24	8.95	S
CIGNA CORP	4	11/11/09	124.48	03/29/10	145.32	20.84	S
CIGNA CORP	2	11/11/09	62.24	02/18/10	66.47	4.23	S
CIGNA CORP	5	11/12/09	153.1	03/29/10	181.65	28.55	S
CIGNA CORP	4	12/04/09	132.35	03/29/10	145.32	12.97	S
CISCO SYSTEMS INC	27	02/08/10	639.37	07/19/10	616.14	-23.23	S
CISCO SYSTEMS INC	9	02/11/10	212.77	07/19/10	205.38	-7.39	S
CISCO SYSTEMS INC	5	02/23/10	119.8	07/19/10	114.1	-5.7	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
CISCO SYSTEMS INC	10	03/04/10	247.96	07/19/10	228.2	-19.76	S
CISCO SYSTEMS INC	30	03/05/10	752.63	07/19/10	684.6	-68.03	S
CISCO SYSTEMS INC	20	03/08/10	512.17	07/19/10	456.4	-55.77	S
CISCO SYSTEMS INC	18	03/09/10	469.88	07/19/10	410.76	-59.12	S
CISCO SYSTEMS INC	17	05/24/10	398.86	07/19/10	387.94	-10.92	S
CISCO SYSTEMS INC	6	07/14/10	142.98	07/19/10	136.92	-6.06	S
CITIGROUP INC	54	04/28/10	241.91	05/13/10	222.19	-19.72	S
CITIGROUP INC	134	05/06/10	545.42	05/13/10	551.35	5.93	S
CITIGROUP INC	71	05/06/10	288.99	07/13/10	302.73	13.74	S
CMS ENERGY CORP	3	10/24/08	29.46	08/12/10	51.01	21.55	L
CMS ENERGY CORP	6	10/24/08	58.92	07/20/10	95.23	36.31	L
CMS ENERGY CORP	6	10/24/08	58.92	02/18/10	90.61	31.69	L
CMS ENERGY CORP	9	12/17/08	87.22	08/12/10	153.02	65.8	L
CMS ENERGY CORP	7	12/17/08	67.83	08/13/10	121.62	53.79	L
CMS ENERGY CORP	2	07/21/10	31.94	08/13/10	34.75	2.81	S
CMS ENERGY CORP	2	07/21/10	31.94	08/25/10	34.71	2.77	S
CMS ENERGY CORP	8	07/21/10	127.76	08/20/10	134.96	7.2	S
CNA FINANCIAL CORP PAR VALUE - 2.50 USD	5	01/13/10	121.17	10/26/10	140.53	19.36	S
CNA FINANCIAL CORP PAR VALUE - 2.50 USD	6	01/13/10	145.4	10/25/10	168.36	22.96	S
CNA FINANCIAL CORP PAR VALUE - 2.50 USD	3	01/13/10	72.7	02/18/10	73.49	0.79	S
CNA FINANCIAL CORP PAR VALUE - 2.50 USD	1	07/21/10	27.17	10/26/10	28.11	0.94	S
CNA FINANCIAL CORP PAR VALUE - 2.50 USD	4	07/21/10	108.68	10/27/10	111.1	2.42	S
COCA COLA CO COM	14	12/04/09	802.1	02/12/10	755.24	-46.86	S
COCA COLA CO COM	10	12/04/09	572.93	02/08/10	528.92	-44.01	S
COLGATE PALMOLIVE CO	2	10/15/09	157.1	01/13/10	161.83	4.73	S
COLGATE PALMOLIVE CO	3	10/21/09	240.04	01/13/10	242.75	2.71	S
COMERICA INC	3	04/03/09	55.97	01/20/10	100.78	44.81	S
COMERICA INC	2	04/16/09	36.71	01/20/10	67.19	30.48	S
COMERICA INC	2	04/16/09	36.71	03/04/10	71.53	34.82	S
COMERICA INC	3	04/16/09	55.07	02/18/10	104	48.93	S
COMERICA INC	3	04/24/09	61.84	03/10/10	109.03	47.19	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
COMERICA INC	1	04/24/09	20.61	03/04/10	35.77	15.16	S
COMERICA INC	2	04/24/09	41.22	03/12/10	74.01	32.79	S
COMERICA INC	1	05/07/09	24.21	03/12/10	37.01	12.8	S
COMERICA INC	1	05/07/09	24.21	03/23/10	37.84	13.63	S
COMERICA INC	3	05/07/09	72.63	03/18/10	113.41	40.78	S
CONOCOPHILLIPS	9	07/01/09	381.52	02/18/10	440.1	58.58	S
CONOCOPHILLIPS	16	07/08/09	625.74	02/18/10	782.4	156.66	S
CONOCOPHILLIPS	4	07/08/09	156.43	07/15/10	208.83	52.4	L
CONOCOPHILLIPS	3	07/08/09	117.33	07/02/10	146.23	28.9	S
CONOCOPHILLIPS	11	07/08/09	430.2	07/01/10	532.44	102.24	S
CONOCOPHILLIPS	8	07/09/09	325.48	07/15/10	417.66	92.18	L
CONOCOPHILLIPS	15	07/09/09	610.28	11/03/10	883.99	273.71	L
CONOCOPHILLIPS	7	07/17/09	297.87	11/03/10	412.53	114.66	L
CONOCOPHILLIPS	4	07/21/09	173.58	11/03/10	235.73	62.15	L
CONOCOPHILLIPS	4	07/31/09	172.44	11/03/10	235.73	63.29	L
CONOCOPHILLIPS	17	08/13/09	746.11	11/05/10	1,048.04	301.93	L
CONOCOPHILLIPS	22	08/13/09	965.56	11/03/10	1,296.52	330.96	L
CONOCOPHILLIPS	5	12/15/09	255.07	11/05/10	308.25	53.18	S
CONOCOPHILLIPS	21	07/21/10	1,108.97	11/05/10	1,294.64	185.67	S
CONOCOPHILLIPS	13	07/21/10	686.5	11/12/10	807.49	120.99	S
CONOCOPHILLIPS	10	07/21/10	528.08	11/10/10	630.54	102.46	S
CONSTELLATION ENERGY GROUP INC (HOLDING CO)	4	12/08/09	132.43	02/18/10	135.52	3.09	S
CORNING INC	13	09/17/09	204.55	02/18/10	233.61	29.06	S
CORNING INC	34	09/23/09	533.81	10/27/10	624.51	90.7	L
CORNING INC	22	09/23/09	345.41	02/18/10	395.33	49.92	S
CORNING INC	17	09/28/09	255.92	11/05/10	318.91	62.99	L
CORNING INC	22	09/28/09	331.19	10/27/10	404.09	72.9	L
CORNING INC	28	09/29/09	421.29	11/05/10	525.26	103.97	L
CORNING INC	38	10/19/09	588.6	11/05/10	712.85	124.25	L
CORNING INC	2	05/13/10	37.44	11/10/10	37.39	-0.05	S
CORNING INC	21	05/13/10	393.12	11/05/10	393.94	0.82	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
CORNING INC	15	06/03/10	253.17	11/10/10	280.45	27.28	S
CORNING INC	27	07/21/10	454.09	11/10/10	504.8	50.71	S
CORNING INC	26	07/21/10	437.27	11/12/10	480.04	42.77	S
CORNING INC	34	07/21/10	571.81	11/11/10	630.46	58.65	S
CORNING INC	10	07/21/10	168.18	11/15/10	183.4	15.22	S
CORNING INC	10	09/01/10	159.28	11/15/10	183.4	24.12	S
CORNING INC	9	09/07/10	151.27	11/18/10	159.28	8.01	S
CORNING INC	11	09/14/10	194.02	11/18/10	194.67	0.65	S
CORNING INC	1	09/14/10	17.64	11/26/10	18.02	0.38	S
CORNING INC	14	09/14/10	246.94	11/22/10	251.39	4.45	S
CREDIT SUISSE GROUP SPON ADR	6	08/27/09	305.56	10/05/10	265.79	-39.77	L
CREDIT SUISSE GROUP SPON ADR	5	08/27/09	254.63	07/27/10	224.81	-29.82	S
CREDIT SUISSE GROUP SPON ADR	5	08/27/09	254.63	04/20/10	257.02	2.39	S
CREDIT SUISSE GROUP SPON ADR	3	10/20/09	176.17	10/05/10	132.9	-43.27	S
CREDIT SUISSE GROUP SPON ADR	3	10/20/09	176.17	12/17/10	116.16	-60.01	L
CREDIT SUISSE GROUP SPON ADR	3	02/22/10	133.94	12/17/10	116.16	-17.78	S
CREDIT SUISSE GROUP SPON ADR	3	03/05/10	143.64	12/17/10	116.16	-27.48	S
CREDIT SUISSE GROUP SPON ADR	7	05/25/10	265.55	12/17/10	271.05	5.5	S
CREDIT SUISSE GROUP SPON ADR	3	07/08/10	125.46	12/17/10	116.16	-9.3	S
CREDIT SUISSE GROUP SPON ADR	3	07/20/10	122.46	12/17/10	116.16	-6.3	S
CREDIT SUISSE GROUP SPON ADR	15	09/03/10	697.53	12/17/10	580.82	-116.71	S
CREE INC	4	04/22/10	319.22	05/21/10	265.33	-53.89	S
CREE INC	3	04/22/10	239.42	05/21/10	198.09	-41.33	S
CREE INC	3	05/03/10	226.4	05/21/10	198.99	-27.41	S
CROWN CASTLE INTL CORP	6	01/29/10	223.66	07/19/10	216.68	-6.98	S
CROWN CASTLE INTL CORP	6	02/10/10	213.78	07/19/10	216.68	2.9	S
CUMMINS INC	3	07/14/10	223.57	07/19/10	206.82	-16.75	S
CUMMINS INC	4	07/15/10	295.26	07/19/10	275.75	-19.51	S
CVS CAREMARK CORP	11	09/24/09	390.28	02/18/10	376.19	-14.09	S
CVS CAREMARK CORP	1	10/06/09	34.25	02/18/10	34.2	-0.05	S
DANAHER CORP	6	12/04/09	218.11	07/19/10	223.02	4.91	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
DANAHER CORP	1	12/04/09	72.7	02/18/10	75.31	2.61	S
DANAHER CORP	6	03/10/10	233.35	07/19/10	223.02	-10.33	S
DANAHER CORP	8	03/11/10	310.57	07/19/10	297.35	-13.22	S
DANAHER CORP	12	04/22/10	498.44	07/19/10	446.03	-52.41	S
DANAHER CORP	12	05/03/10	513.02	07/19/10	446.03	-66.99	S
DANAHER CORP	6	05/11/10	252.65	07/19/10	223.02	-29.63	S
DANAHER CORP	2	05/12/10	86.82	07/19/10	74.34	-12.48	S
DELL INC	11	07/15/09	134.6	02/24/10	147.14	12.54	S
DELL INC	11	07/15/09	134.6	02/18/10	157.52	22.92	S
DELL INC	13	07/21/09	172.35	02/24/10	173.9	1.55	S
DELL INC	16	12/04/09	217.28	02/24/10	214.03	-3.25	S
DELL INC	5	12/04/09	67.9	02/25/10	65.84	-2.06	S
DEUTSCHE TELEKOM AG DE SPON ADR	10	10/24/08	135.79	07/08/10	125.37	-10.42	L
DEUTSCHE TELEKOM AG DE SPON ADR	2	10/24/08	27.16	01/14/10	29.31	2.15	L
DEUTSCHE TELEKOM AG DE SPON ADR	21	10/31/08	313.55	07/08/10	263.28	-50.27	L
DEUTSCHE TELEKOM AG DE SPON ADR	12	03/02/09	140.73	07/08/10	150.44	9.71	L
DEUTSCHE TELEKOM AG DE SPON ADR	19	03/02/09	222.82	07/30/10	254.51	31.69	L
DEUTSCHE TELEKOM AG DE SPON ADR	10	08/26/09	133.5	07/30/10	133.96	0.46	S
DEUTSCHE TELEKOM AG DE SPON ADR	15	08/26/09	200.26	08/05/10	200.57	0.31	S
DEUTSCHE TELEKOM AG DE SPON ADR	20	02/05/10	251.4	08/05/10	267.43	16.03	S
DEUTSCHE TELEKOM AG DE SPON ADR	20	02/22/10	262.57	08/05/10	267.43	4.86	S
DEUTSCHE TELEKOM AG DE SPON ADR	6	07/20/10	77.09	08/05/10	80.23	3.14	S
DEVON ENERGY CORP NEW	6	03/18/10	392.08	08/20/10	372.43	-19.65	S
DEVON ENERGY CORP NEW	3	03/23/10	194.68	08/20/10	186.21	-8.47	S
DEVON ENERGY CORP NEW	4	07/21/10	254.36	08/20/10	248.29	-6.07	S
DEVRY INC DEL	2	11/11/09	107.18	09/01/10	79.18	-28	S
DEVRY INC DEL	1	11/11/09	53.59	02/18/10	61.38	7.79	S
DEVRY INC DEL	3	11/13/09	159.25	09/01/10	118.78	-40.47	S
DEVRY INC DEL	3	07/21/10	156.6	09/08/10	121.65	-34.95	S
DIAMOND HILL LONG-SHORT FUND CLASS A	1,458.54	10/23/08	20,594.51	02/18/10	23,847.04	3,252.53	L
DIAMOND HILL LONG-SHORT FUND CLASS A	83.18	10/23/08	1,174.54	01/14/10	1,388.32	213.78	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
DISCOVERY COMMUNICATIONS INC CL A	4	08/27/10	151.77	12/30/10	166.55	14.78	S
DOW CHEMICAL	7	06/09/09	122.89	05/10/10	191.92	69.03	S
DOW CHEMICAL	3	06/09/09	52.67	01/13/10	91.61	38.94	S
DOW CHEMICAL	15	07/07/09	222.32	05/10/10	411.25	188.93	S
DOW CHEMICAL	1	07/08/09	14.98	05/10/10	27.42	12.44	S
DOW CHEMICAL	14	07/08/09	209.79	07/19/10	348.34	138.55	L
DOW CHEMICAL	26	07/30/09	561.05	07/19/10	646.92	85.87	S
DOW CHEMICAL	19	08/06/09	437.96	07/19/10	472.75	34.79	S
DOW CHEMICAL	13	09/17/09	340.03	07/19/10	323.46	-16.57	S
DOW CHEMICAL	5	09/23/09	133.67	07/19/10	124.41	-9.26	S
DOW CHEMICAL	17	12/02/09	483.94	07/19/10	422.99	-60.95	S
DOW CHEMICAL	42	12/28/09	1,189.33	07/19/10	1,045.03	-144.3	S
DOW CHEMICAL	17	02/23/10	491.13	07/19/10	422.99	-68.14	S
DOW CHEMICAL	3	02/23/10	86.67	09/15/10	78.44	-8.23	S
DOW CHEMICAL	1	07/21/10	25.71	09/15/10	26.15	0.44	S
E ON AG SPON ADR	5	05/27/10	151.51	08/26/10	139.96	-11.55	S
E ON AG SPON ADR	13	05/27/10	393.94	08/25/10	362.89	-31.05	S
E ON AG SPON ADR	11	05/28/10	336.79	08/26/10	307.92	-28.87	S
E ON AG SPON ADR	2	07/20/10	56.08	08/26/10	55.99	-0.09	S
EDISON INTL	2	08/31/09	66.88	02/18/10	67.31	0.43	S
EDISON INTL	1	09/22/09	34.54	02/18/10	33.66	-0.88	S
EDISON INTL	5	09/22/09	172.69	11/11/10	189.82	17.13	L
EDISON INTL	2	10/28/09	64.83	11/11/10	75.93	11.1	L
EDISON INTL	4	10/28/09	129.66	11/12/10	150.16	20.5	L
EDISON INTL	1	07/21/10	32.77	11/12/10	37.54	4.77	S
EDISON INTL	5	07/21/10	163.85	11/15/10	189.09	25.24	S
EFG EUROBANK ERGASIAS S A ADR	152	02/01/10	681.36	05/11/10	498.42	-182.94	S
EFG EUROBANK ERGASIAS S A ADR	28	02/22/10	113.82	05/11/10	91.81	-22.01	S
EMC CORP MASS	13	01/14/10	233.89	07/19/10	262.86	28.97	S
EMC CORP MASS	12	01/15/10	215.81	07/19/10	242.64	26.83	S
EMC CORP MASS	13	01/19/10	235.99	07/19/10	262.86	26.87	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
EMC CORP MASS	13	01/20/10	231.89	07/19/10	262.86	30.97	S
EMC CORP MASS	11	01/26/10	194.81	07/19/10	222.42	27.61	S
EMC CORP MASS	12	01/26/10	205.8	07/19/10	242.64	36.84	S
EMC CORP MASS	10	02/23/10	171.49	07/19/10	202.2	30.71	S
EMC CORP MASS	14	03/24/10	263.78	07/19/10	283.08	19.3	S
EMC CORP MASS	14	05/25/10	245.18	07/19/10	283.08	37.9	S
EMERSON ELECTRIC CO	6	12/07/09	253.46	12/20/10	347.67	94.21	L
EMERSON ELECTRIC CO	1	12/07/09	42.24	02/18/10	47.64	5.4	S
EMERSON ELECTRIC CO	2	07/21/10	94.72	12/20/10	115.89	21.17	S
EMERSON ELECTRIC CO	7	09/15/10	349.62	12/20/10	405.62	56	S
EMERSON ELECTRIC CO	2	09/27/10	106.69	12/21/10	116.41	9.72	S
EMERSON ELECTRIC CO	8	09/27/10	426.75	12/20/10	463.56	36.81	S
EMERSON ELECTRIC CO	10	10/01/10	534.52	12/21/10	582.05	47.53	S
EMERSON ELECTRIC CO	3	11/15/10	166.82	12/23/10	172.8	5.98	S
EMERSON ELECTRIC CO	1	11/18/10	55.26	12/28/10	56.94	1.68	S
EMERSON ELECTRIC CO	3	11/18/10	165.78	12/23/10	172.8	7.02	S
ENDURANCE SPECIALTY HOLDINGS LTD ORD	3	02/24/10	115.51	04/14/10	114.72	-0.79	S
ENDURANCE SPECIALTY HOLDINGS LTD ORD	3	02/24/10	115.51	04/12/10	112.38	-3.13	S
ENTERGY CORP NEW	2	09/17/09	159.5	02/18/10	156.33	-3.17	S
ENTERGY CORP NEW	1	01/08/10	79.51	08/12/10	77.94	-1.57	S
ENTERGY CORP NEW	2	01/08/10	159.03	07/20/10	154.98	-4.05	S
ENTERGY CORP NEW	3	01/13/10	246.38	08/12/10	233.83	-12.55	S
ENTERGY CORP NEW	2	07/21/10	155.63	08/13/10	157.39	1.76	S
ENTERGY CORP NEW	1	07/21/10	77.82	08/20/10	76.64	-1.18	S
EOG RESOURCES INC	1	05/07/09	75.61	03/31/10	93.77	18.16	S
EOG RESOURCES INC	3	05/07/09	226.84	03/19/10	273.66	46.82	S
EOG RESOURCES INC	6	11/16/09	541.57	07/19/10	607.19	65.62	S
EOG RESOURCES INC	2	12/21/09	189.1	07/19/10	202.4	13.3	S
EOG RESOURCES INC	2	12/23/09	197.92	07/19/10	202.4	4.48	S
EOG RESOURCES INC	1	12/28/09	99.85	07/19/10	101.2	1.35	S
EOG RESOURCES INC	3	12/29/09	299.98	07/19/10	303.59	3.61	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
EOG RESOURCES INC	3	01/08/10	293.66	07/19/10	303.59	9.93	S
EOG RESOURCES INC	5	02/23/10	450.4	07/19/10	505.99	55.59	S
EOG RESOURCES INC	6	03/10/10	589.46	07/19/10	607.19	17.73	S
EOG RESOURCES INC	3	05/11/10	314.67	07/19/10	303.59	-11.08	S
EOG RESOURCES INC	1	05/12/10	106.91	07/19/10	101.2	-5.71	S
EVEREST RE GROUP LTD BERMUDA	1	08/31/09	83.82	01/13/10	85.65	1.83	S
EVEREST RE GROUP LTD BERMUDA	2	09/29/09	177.58	01/13/10	171.3	-6.28	S
EVEREST RE GROUP LTD BERMUDA	3	10/08/09	276.96	01/13/10	256.95	-20.01	S
EXELON CORP	6	12/23/09	295.68	02/18/10	266.57	-29.11	S
EXELON CORP	3	12/23/09	147.84	08/13/10	124.36	-23.48	S
EXELON CORP	5	12/28/09	245.95	08/13/10	207.27	-38.68	S
EXELON CORP	5	12/29/09	246.92	08/13/10	207.27	-39.65	S
EXELON CORP	2	01/06/10	96.56	08/13/10	82.91	-13.65	S
EXELON CORP	3	01/06/10	144.84	09/07/10	126.19	-18.65	S
EXELON CORP	8	01/20/10	386.15	09/07/10	336.5	-49.65	S
EXELON CORP	3	07/21/10	127.38	09/08/10	125.73	-1.65	S
EXELON CORP	9	07/21/10	382.14	09/07/10	378.57	-3.57	S
EXPRESS SCRIPTS INC	1	11/24/09	88.12	02/24/10	88.15	0.03	S
EXPRESS SCRIPTS INC	2	11/24/09	176.24	02/18/10	179.75	3.51	S
EXPRESS SCRIPTS INC	4	12/04/09	353.25	02/24/10	352.62	-0.63	S
EXPRESS SCRIPTS INC	2	12/04/09	176.62	03/10/10	197.08	20.46	S
EXXON MOBIL CORP	11	06/29/09	772.48	01/20/10	748.64	-23.84	S
EXXON MOBIL CORP	2	06/29/09	140.45	01/19/10	138.18	-2.27	S
EXXON MOBIL CORP	5	06/29/09	351.13	02/02/10	334.19	-16.94	S
EXXON MOBIL CORP	24	06/29/09	1,685.40	02/01/10	1,581.15	-104.25	S
EXXON MOBIL CORP	7	06/29/09	491.58	01/21/10	474.52	-17.06	S
EXXON MOBIL CORP	5	03/17/10	337.52	04/06/10	340.99	3.47	S
EXXON MOBIL CORP	11	03/18/10	740.21	04/19/10	747.01	6.8	S
EXXON MOBIL CORP	7	03/18/10	471.04	04/06/10	477.39	6.35	S
EXXON MOBIL CORP	9	03/23/10	600.88	04/26/10	624.26	23.38	S
EXXON MOBIL CORP	4	03/23/10	267.06	04/19/10	271.64	4.58	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
EXXON MOBIL CORP	2	03/31/10	133.96	04/28/10	137.88	3.92	S
EXXON MOBIL CORP	3	03/31/10	200.94	04/26/10	208.09	7.15	S
EXXON MOBIL CORP	2	04/12/10	137.59	05/03/10	134.52	-3.07	S
EXXON MOBIL CORP	10	04/12/10	687.95	04/28/10	689.38	1.43	S
FAMILYMART CO LTD ADR	8	09/14/09	249.17	12/20/10	277.08	27.91	L
FAST RETAILING CO LTD ADR	18	05/19/10	263.08	11/29/10	289.73	26.65	S
FEDEX CORP	6	03/18/10	536.23	06/17/10	467.02	-69.21	S
FEDEX CORP	5	03/22/10	452	07/07/10	354.58	-97.42	S
FEDEX CORP	1	03/23/10	90.74	07/07/10	70.92	-19.82	S
FEDEX CORP	3	03/23/10	272.21	07/19/10	222.12	-50.09	S
FEDEX CORP	3	03/23/10	272.21	07/09/10	219.52	-52.69	S
FEDEX CORP	5	03/26/10	454.44	07/19/10	370.21	-84.23	S
FEDEX CORP	6	03/29/10	553.7	07/19/10	444.25	-109.45	S
FEDEX CORP	6	03/31/10	556.88	07/19/10	444.25	-112.63	S
FIFTH THIRD BANCORP	28	11/22/10	336.21	12/17/10	394.84	58.63	S
FIFTH THIRD BANCORP	30	11/29/10	358.66	12/17/10	423.05	64.39	S
FIFTH THIRD BANCORP	23	12/01/10	277.96	12/17/10	324.34	46.38	S
FISERV INC	2	02/24/10	94.96	05/10/10	104.23	9.27	S
FORD MOTOR CO COM NEW	39	01/15/10	452.98	03/18/10	534.49	81.51	S
FORD MOTOR CO COM NEW	5	02/23/10	57.1	03/18/10	68.52	11.42	S
FREEPORT-MCMORAN COPPER & GOLD INC	4	07/20/10	252.76	10/20/10	381.72	128.96	S
FREEPORT-MCMORAN COPPER & GOLD INC	14	07/26/10	997.23	10/20/10	1,336.03	338.8	S
FREEPORT-MCMORAN COPPER & GOLD INC	4	10/11/10	383.27	10/20/10	381.72	-1.55	S
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	4	10/24/08	170.34	06/14/10	212.28	41.94	L
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	1	10/24/08	42.58	02/04/10	50.96	8.38	L
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	2	10/24/08	85.17	02/03/10	102.88	17.71	L
FRESH DEL MONTE PRODUCE INC	1	09/03/09	23.02	02/18/10	20.14	-2.88	S
FRESH DEL MONTE PRODUCE INC	2	09/24/09	45.78	02/18/10	40.28	-5.5	S
FRESH DEL MONTE PRODUCE INC	5	09/24/09	114.46	03/04/10	96.49	-17.97	S
FRESH DEL MONTE PRODUCE INC	5	10/12/09	120.88	03/12/10	101.94	-18.94	S
FRESH DEL MONTE PRODUCE INC	3	10/12/09	72.53	03/18/10	62.24	-10.29	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
GAP INC	15	11/11/10	305.48	12/15/10	315	9.52	S
GENL DYNAMICS CORP	1	10/23/08	54.88	01/13/10	71.32	16.44	L
GENL DYNAMICS CORP	6	10/23/08	329.3	07/19/10	350.03	20.73	L
GENL DYNAMICS CORP	1	10/23/08	54.88	06/04/10	65.39	10.51	L
GENL DYNAMICS CORP	9	01/09/09	522.38	07/19/10	525.05	2.67	L
GENL DYNAMICS CORP	6	03/10/09	221.73	07/19/10	350.03	128.3	L
GENL DYNAMICS CORP	6	03/11/09	222.99	07/19/10	350.03	127.04	L
GENL DYNAMICS CORP	4	03/12/09	148.91	07/19/10	233.36	84.45	L
GENL DYNAMICS CORP	3	03/13/09	113.15	07/19/10	175.02	61.87	L
GENL DYNAMICS CORP	7	08/06/09	394.51	07/19/10	408.37	13.86	S
GENL DYNAMICS CORP	5	02/23/10	359.09	07/19/10	291.69	-67.4	S
GENWORTH FINANCIAL INC CL A	7	05/03/10	117.63	07/30/10	93.99	-23.64	S
GENWORTH FINANCIAL INC CL A	9	05/06/10	132.82	07/30/10	120.85	-11.97	S
GENWORTH FINANCIAL INC CL A	4	05/10/10	64.49	08/05/10	52.68	-11.81	S
GENWORTH FINANCIAL INC CL A	2	05/10/10	32.25	07/30/10	26.85	-5.4	S
GENWORTH FINANCIAL INC CL A	6	05/20/10	84.41	08/05/10	79.02	-5.39	S
GENWORTH FINANCIAL INC CL A	7	05/26/10	106.99	08/05/10	92.19	-14.8	S
GENWORTH FINANCIAL INC CL A	18	07/21/10	260.99	08/05/10	237.07	-23.92	S
GILEAD SCIENCES INC	18	01/26/09	879.8	03/18/10	853.33	-26.47	L
GILEAD SCIENCES INC	19	01/26/09	928.68	02/18/10	938.83	10.15	L
GILEAD SCIENCES INC	1	01/26/09	48.88	01/13/10	45.6	-3.28	S
GILEAD SCIENCES INC	5	01/27/09	240.63	04/12/10	229.63	-11	L
GILEAD SCIENCES INC	4	01/27/09	192.5	03/25/10	185.5	-7	L
GILEAD SCIENCES INC	4	01/27/09	192.5	03/24/10	188.88	-3.62	L
GILEAD SCIENCES INC	8	01/27/09	385	03/23/10	381.43	-3.57	L
GILEAD SCIENCES INC	6	01/27/09	288.75	03/18/10	284.44	-4.31	L
GILEAD SCIENCES INC	3	01/28/09	150.87	04/12/10	137.78	-13.09	L
GILEAD SCIENCES INC	9	01/30/09	459.72	04/22/10	365.8	-93.92	L
GILEAD SCIENCES INC	8	01/30/09	408.64	04/12/10	367.4	-41.24	L
GILEAD SCIENCES INC	3	10/22/09	134.25	04/22/10	123.77	-10.48	S
GILEAD SCIENCES INC	15	10/22/09	671.24	04/22/10	609.66	-61.58	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
GILEAD SCIENCES INC	12	10/23/09	529.24	04/22/10	495.09	-34.15	S
GILEAD SCIENCES INC	8	11/13/09	377.19	04/22/10	330.06	-47.13	S
GILEAD SCIENCES INC	5	12/04/09	234.14	05/03/10	199.39	-34.75	S
GILEAD SCIENCES INC	2	12/07/09	93.64	05/03/10	79.75	-13.89	S
GILEAD SCIENCES INC	3	12/07/09	140.45	05/06/10	119.78	-20.67	S
GILEAD SCIENCES INC	5	02/23/10	237.05	05/06/10	199.63	-37.42	S
GLAXO SMITHKLINE PLC ADR	1	10/24/08	35.22	01/14/10	41.91	6.69	L
GLAXO SMITHKLINE PLC ADR	4	10/24/08	140.86	09/13/10	158.12	17.26	L
GLAXO SMITHKLINE PLC ADR	6	10/24/08	211.3	09/07/10	232.31	21.01	L
GOLD FIELDS LTD SPON ADR	63	10/24/08	326.94	09/28/10	948.81	621.87	L
GOLD FIELDS LTD SPON ADR	3	10/24/08	15.57	01/14/10	40.07	24.5	L
GOLD FIELDS LTD SPON ADR	24	10/27/09	327.16	09/28/10	361.45	34.29	S
GOLD FIELDS LTD SPON ADR	15	02/22/10	175.18	09/28/10	225.91	50.73	S
GOLD FIELDS LTD SPON ADR	4	07/20/10	50.15	09/28/10	60.24	10.09	S
GOLDMAN SACHS GROUP INC	4	04/14/09	492.8	02/18/10	622.35	129.55	S
GOLDMAN SACHS GROUP INC	4	06/29/09	594.3	02/18/10	622.35	28.05	S
GOLDMAN SACHS GROUP INC	8	06/29/09	1,188.61	04/16/10	1,289.61	101	S
GOLDMAN SACHS GROUP INC	2	06/29/09	297.15	03/23/10	349.23	52.08	S
GOLDMAN SACHS GROUP INC	6	07/01/09	892.15	05/10/10	853.34	-38.81	S
GOLDMAN SACHS GROUP INC	7	07/01/09	1,040.84	05/06/10	1,003.38	-37.46	S
GOLDMAN SACHS GROUP INC	2	07/08/09	277.71	06/10/10	263.85	-13.86	S
GOLDMAN SACHS GROUP INC	5	07/09/09	716.25	06/10/10	659.62	-56.63	S
GOLDMAN SACHS GROUP INC	5	07/09/09	716.25	07/30/10	764.91	48.66	L
GOLDMAN SACHS GROUP INC	3	07/09/09	429.75	07/19/10	435.24	5.49	L
GOLDMAN SACHS GROUP INC	6	07/13/09	879.21	07/30/10	917.89	38.68	L
GOLDMAN SACHS GROUP INC	3	12/07/09	497.87	07/30/10	458.95	-38.92	S
GOLDMAN SACHS GROUP INC	4	01/29/10	609.95	07/30/10	611.93	1.98	S
GOLDMAN SACHS GROUP INC	3	05/12/10	439.71	08/10/10	462.07	22.36	S
GOLDMAN SACHS GROUP INC	1	05/12/10	146.57	08/12/10	149.62	3.05	S
GOLDMAN SACHS GROUP INC	2	05/25/10	285.53	08/12/10	299.24	13.71	S
GOLDMAN SACHS GROUP INC	3	07/14/10	413.97	08/12/10	448.85	34.88	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
GOLDMAN SACHS GROUP INC	10	07/21/10	1,501.40	08/12/10	1,496.18	-5.22	S
GOOGLE INC CL A	1	10/30/08	361.8	01/08/10	601.45	239.65	L
GOOGLE INC CL A	1	10/31/08	358.23	01/08/10	601.45	243.22	L
GOOGLE INC CL A	1	01/29/09	341.61	02/08/10	539.97	198.36	L
GOOGLE INC CL A	1	01/29/09	341.61	01/12/10	593.26	251.65	S
GOOGLE INC CL A	1	02/09/09	378.85	04/29/10	528.25	149.4	L
GOOGLE INC CL A	1	02/18/09	350	07/19/10	460.96	110.96	L
GOOGLE INC CL A	2	08/27/09	932.17	07/19/10	921.92	-10.25	S
GOOGLE INC CL A	1	12/04/09	593.79	07/19/10	460.96	-132.83	S
GOOGLE INC CL A	2	03/24/10	1,101.25	07/19/10	921.92	-179.33	S
HARMAN INTL INDS INC NEW	2,220.00	01/01/01	533.53	11/05/10	88,838.99	88,305.46	L
HARMAN INTL INDS INC NEW	775	08/10/07	170.50	11/05/10	31,013.63	30,843.13	L
HARTFORD FINCL SERVICES GROUP INC	9	01/13/10	250.62	05/06/10	235.85	-14.77	S
HARTFORD FINCL SERVICES GROUP INC	2	01/13/10	55.69	05/03/10	57.73	2.04	S
HARTFORD FINCL SERVICES GROUP INC	6	01/13/10	164.94	05/03/10	173.19	8.25	S
HARTFORD FINCL SERVICES GROUP INC	8	02/01/10	194.58	05/10/10	218.18	23.6	S
HARTFORD FINCL SERVICES GROUP INC	1	02/08/10	23.76	05/20/10	23.98	0.22	S
HARTFORD FINCL SERVICES GROUP INC	4	02/08/10	95.06	05/13/10	108.87	13.81	S
HARTFORD FINCL SERVICES GROUP INC	1	02/08/10	23.76	05/10/10	27.27	3.51	S
HARTFORD FINCL SERVICES GROUP INC	6	02/12/10	137.88	05/20/10	143.9	6.02	S
HARTFORD FINCL SERVICES GROUP INC	9	02/18/10	216.96	05/26/10	223.62	6.66	S
HARTFORD FINCL SERVICES GROUP INC	1	02/18/10	24.11	05/20/10	23.98	-0.13	S
HARTFORD FINCL SERVICES GROUP INC	1	02/25/10	23.98	06/07/10	23.32	-0.66	S
HARTFORD FINCL SERVICES GROUP INC	3	02/25/10	71.94	05/26/10	74.54	2.6	S
HESS CORP	4	02/24/10	237.16	03/31/10	249.74	12.58	S
HESS CORP	3	03/10/10	183.4	04/05/10	192.62	9.22	S
HESS CORP	1	03/10/10	61.13	03/31/10	62.43	1.3	S
HESS CORP	2	03/12/10	122.38	04/09/10	129.9	7.52	S
HESS CORP	1	03/12/10	61.19	04/05/10	64.21	3.02	S
HESS CORP	4	05/03/10	256.02	08/31/10	202.25	-53.77	S
HESS CORP	4	05/06/10	232.76	08/31/10	202.25	-30.51	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
HESS CORP	5	05/10/10	294	08/31/10	252.81	-41.19	S
HESS CORP	4	05/13/10	233.62	08/31/10	202.25	-31.37	S
HESS CORP	3	05/20/10	157.77	08/31/10	151.69	-6.08	S
HEWLETT PACKARD CO	5	08/11/09	217.4	02/18/10	253.56	36.16	S
HEWLETT PACKARD CO	5	08/17/09	215.85	09/07/10	200.21	-15.64	L
HEWLETT PACKARD CO	3	11/12/09	149.71	09/07/10	120.13	-29.58	S
HEWLETT PACKARD CO	6	11/13/09	298.37	09/07/10	240.25	-58.12	S
HEWLETT PACKARD CO	4	12/07/09	198.63	09/07/10	160.17	-38.46	S
HEWLETT PACKARD CO	7	07/21/10	322.07	09/07/10	280.29	-41.78	S
HOME DEPOT INC	1	05/05/10	35.42	07/13/10	28.78	-6.64	S
HOME DEPOT INC	14	05/05/10	495.82	07/09/10	394.79	-101.03	S
HOME DEPOT INC	16	05/10/10	561.14	07/13/10	460.41	-100.73	S
HOME DEPOT INC	5	05/10/10	175.36	07/15/10	140.03	-35.33	S
HOME DEPOT INC	3	05/11/10	107.57	07/15/10	84.02	-23.55	S
HOME DEPOT INC	20	05/11/10	717.14	07/19/10	538.83	-178.31	S
HOME DEPOT INC	15	05/25/10	498.56	07/19/10	404.12	-94.44	S
HONDA MOTOR CO ADR JAPAN ADR	4	07/29/09	123.08	07/07/10	117.1	-5.98	S
HONDA MOTOR CO ADR JAPAN ADR	4	07/29/09	123.08	03/15/10	145.2	22.12	S
HONDA MOTOR CO ADR JAPAN ADR	4	07/29/09	123.08	01/15/10	148.25	25.17	S
HONDA MOTOR CO ADR JAPAN ADR	6	07/29/09	184.62	01/14/10	220.28	35.66	S
HONDA MOTOR CO ADR JAPAN ADR	6	08/06/09	195.44	07/07/10	175.66	-19.78	S
HONDA MOTOR CO ADR JAPAN ADR	12	10/09/09	366.42	08/06/10	403.55	37.13	S
HONDA MOTOR CO ADR JAPAN ADR	6	10/13/09	186.73	08/06/10	201.77	15.04	S
HONDA MOTOR CO ADR JAPAN ADR	6	02/22/10	208	08/06/10	201.77	-6.23	S
HSBC HOLDINGS PLC NEW GB SPON ADR	13	05/05/09	506.64	02/02/10	709.77	203.13	S
HSBC HOLDINGS PLC NEW GB SPON ADR	1	05/05/09	38.97	02/03/10	54.42	15.45	S
HSBC HOLDINGS PLC NEW GB SPON ADR	3	05/07/09	125.75	02/04/10	157.71	31.96	S
HSBC HOLDINGS PLC NEW GB SPON ADR	3	05/07/09	125.75	02/05/10	152.75	27	S
HSBC HOLDINGS PLC NEW GB SPON ADR	5	08/28/09	275.62	02/05/10	254.58	-21.04	S
HSBC HOLDINGS PLC NEW GB SPON ADR	4	08/28/09	220.5	02/16/10	209.69	-10.81	S
HSBC HOLDINGS PLC NEW GB SPON ADR	4	08/28/09	220.5	02/11/10	204.82	-15.68	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
HSBC HOLDINGS PLC NEW GB SPON ADR	10	09/01/09	530.36	10/06/10	526.01	-4.35	L
HSBC HOLDINGS PLC NEW GB SPON ADR	3	09/01/09	159.11	03/10/10	158.52	-0.59	S
HSBC HOLDINGS PLC NEW GB SPON ADR	9	11/27/09	528.3	10/06/10	473.41	-54.89	S
HSBC HOLDINGS PLC NEW GB SPON ADR	3	02/22/10	162.69	10/06/10	157.8	-4.89	S
HSBC HOLDINGS PLC NEW GB SPON ADR	2	07/20/10	95.38	10/06/10	105.2	9.82	S
ICAP PLC SPON ADR	1	10/24/08	10.13	01/14/10	14.25	4.12	L
IHS INC CL A	1	06/29/09	49.89	02/18/10	51.56	1.67	S
IHS INC CL A	3	10/12/09	158.77	03/10/10	165.98	7.21	S
ILLINOIS TOOL WORKS INC	5	04/22/10	253.97	10/01/10	236.59	-17.38	S
ILLINOIS TOOL WORKS INC	3	04/29/10	156.23	10/01/10	141.96	-14.27	S
ILLINOIS TOOL WORKS INC	6	06/09/10	263.65	10/01/10	283.91	20.26	S
ILLINOIS TOOL WORKS INC	5	06/24/10	217.65	10/04/10	233.57	15.92	S
ILLINOIS TOOL WORKS INC	2	06/24/10	87.06	10/01/10	94.64	7.58	S
ILLINOIS TOOL WORKS INC	4	06/28/10	174.97	10/04/10	186.85	11.88	S
ILLINOIS TOOL WORKS INC	4	07/19/10	170.07	10/04/10	186.85	16.78	S
ILLINOIS TOOL WORKS INC	2	07/19/10	85.04	10/05/10	96.14	11.1	S
ILLINOIS TOOL WORKS INC	7	07/21/10	297.36	10/05/10	336.49	39.13	S
ILLINOIS TOOL WORKS INC	1	07/21/10	42.48	10/11/10	48.34	5.86	S
ILLINOIS TOOL WORKS INC	6	07/21/10	254.88	10/06/10	288.94	34.06	S
ILLINOIS TOOL WORKS INC	4	09/15/10	187.31	10/12/10	190.24	2.93	S
ILLINOIS TOOL WORKS INC	4	09/15/10	187.31	10/11/10	193.38	6.07	S
INGRAM MICRO INC	6	11/09/09	112.21	02/18/10	110.63	-1.58	S
INGRAM MICRO INC	3	11/12/09	56.42	02/18/10	55.32	-1.1	S
INTL BUSINESS MACH	9	06/29/09	952.43	02/18/10	1,150.12	197.69	S
INTL BUSINESS MACH	3	06/29/09	317.48	02/16/10	374.19	56.71	S
INTL BUSINESS MACH	4	06/29/09	423.3	01/29/10	495.77	72.47	S
INTL BUSINESS MACH	8	06/29/09	846.61	01/28/10	996.25	149.64	S
INTL BUSINESS MACH	8	07/01/09	846.13	03/09/10	1,004.27	158.14	S
INTL BUSINESS MACH	4	07/01/09	423.06	03/08/10	508.47	85.41	S
INTL BUSINESS MACH	2	07/01/09	211.53	03/05/10	254.37	42.84	S
INTL BUSINESS MACH	1	07/01/09	105.77	02/18/10	127.79	22.02	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
INTUITIVE SURGICAL INC NEW	1	11/11/09	272.04	02/18/10	343.76	71.72	S
INTUITIVE SURGICAL INC NEW	1	11/12/09	270.06	09/14/10	284.23	14.17	S
INTUITIVE SURGICAL INC NEW	1	11/13/09	274.15	10/01/10	289.38	15.23	S
INTUITIVE SURGICAL INC NEW	1	12/07/09	291.06	10/04/10	282.48	-8.58	S
INTUITIVE SURGICAL INC NEW	1	07/21/10	322.39	10/06/10	291.13	-31.26	S
INTUITIVE SURGICAL INC NEW	1	07/21/10	322.39	10/05/10	287.83	-34.56	S
ISHARES INC MSCI JAPAN INDEX FD	53	10/24/08	440.96	04/27/10	550.41	109.45	L
ISHARES INC MSCI JAPAN INDEX FD	143	10/24/08	1,189.76	01/29/10	1,418.43	228.67	L
ISHARES INC MSCI JAPAN INDEX FD	11	10/24/08	91.52	01/14/10	114.61	23.09	L
ISHARES INC MSCI JAPAN INDEX FD	119	12/04/09	1,192.45	04/27/10	1,235.84	43.39	S
ISHARES INC MSCI JAPAN INDEX FD	41	12/04/09	410.84	07/07/10	393.78	-17.06	S
ISHARES INC MSCI JAPAN INDEX FD	33	02/22/10	325.71	07/07/10	316.94	-8.77	S
ITT EDUCATIONAL SERVICES INC	1	10/27/08	76.97	02/18/10	103.25	26.28	L
ITT EDUCATIONAL SERVICES INC	2	10/31/08	174.61	02/18/10	206.5	31.89	L
ITT EDUCATIONAL SERVICES INC	2	11/04/08	176.13	02/18/10	206.5	30.37	L
ITT EDUCATIONAL SERVICES INC	2	11/21/08	144.98	10/01/10	139.95	-5.03	L
ITT EDUCATIONAL SERVICES INC	1	11/25/08	86.6	10/04/10	68.23	-18.37	L
ITT EDUCATIONAL SERVICES INC	1	11/25/08	86.6	10/01/10	69.98	-16.62	L
ITT EDUCATIONAL SERVICES INC	2	11/26/08	176.69	10/04/10	136.45	-40.24	L
ITT EDUCATIONAL SERVICES INC	2	12/05/08	174.38	10/05/10	136.95	-37.43	L
ITT EDUCATIONAL SERVICES INC	1	12/10/08	88.13	10/11/10	68.29	-19.84	L
ITT EDUCATIONAL SERVICES INC	1	12/10/08	88.13	10/05/10	68.48	-19.65	L
ITT EDUCATIONAL SERVICES INC	1	12/17/08	86.85	10/12/10	66.61	-20.24	L
ITT EDUCATIONAL SERVICES INC	2	12/17/08	173.7	10/11/10	136.59	-37.11	L
ITT EDUCATIONAL SERVICES INC	2	01/22/09	259.52	10/15/10	113.47	-146.05	L
ITT EDUCATIONAL SERVICES INC	2	01/22/09	259.52	10/12/10	133.22	-126.3	L
ITT EDUCATIONAL SERVICES INC	1	02/13/09	123.25	10/15/10	56.73	-66.52	L
ITT EDUCATIONAL SERVICES INC	3	03/13/09	309.72	10/20/10	176.63	-133.09	L
ITT EDUCATIONAL SERVICES INC	2	07/21/10	179.81	11/10/10	119.37	-60.44	S
ITT EDUCATIONAL SERVICES INC	3	07/21/10	269.72	11/05/10	179.56	-90.16	S
ITT EDUCATIONAL SERVICES INC	3	07/21/10	269.72	11/03/10	194	-75.72	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ITT EDUCATIONAL SERVICES INC	3	07/21/10	269.72	10/27/10	186.85	-82.87	S
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	430.02	10/23/08	6,437.44	07/16/10	6,764.26	326.82	L
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	121.85	10/23/08	1,824.14	01/14/10	2,393.19	569.05	L
J CREW GROUP INC **MERGER EFF: 03/2011**	2	11/11/09	86.07	06/29/10	73.51	-12.56	S
J CREW GROUP INC **MERGER EFF: 03/2011**	1	11/11/09	43.03	02/18/10	40.67	-2.36	S
J CREW GROUP INC **MERGER EFF: 03/2011**	3	11/13/09	129.54	07/15/10	104.29	-25.25	S
J CREW GROUP INC **MERGER EFF: 03/2011**	1	11/13/09	43.18	06/29/10	36.76	-6.42	S
JARDINE MATHESON HD-UNSP ADR	9	10/24/08	155.25	04/21/10	322.97	167.72	L
JOHNSON & JOHNSON COM	7	11/12/09	428.68	03/25/10	453.18	24.5	S
JOHNSON & JOHNSON COM	4	11/12/09	244.94	04/22/10	257.54	12.6	S
JOHNSON & JOHNSON COM	4	11/16/09	249.12	04/22/10	257.54	8.42	S
JOHNSON & JOHNSON COM	4	11/16/09	247.96	06/02/10	236.99	-10.97	S
JOHNSON & JOHNSON COM	3	11/16/09	186.84	06/02/10	177.75	-9.09	S
JOHNSON & JOHNSON COM	8	11/20/09	499.65	07/19/10	475.85	-23.8	S
JOHNSON & JOHNSON COM	5	12/01/09	317.54	07/19/10	297.4	-20.14	S
JOHNSON & JOHNSON COM	5	02/23/10	317.25	07/19/10	297.4	-19.85	S
JOHNSON & JOHNSON COM	7	06/01/10	413.9	07/19/10	416.37	2.47	S
JOS A BANK CLOTHIERS INC	2	11/25/08	36.73	02/09/10	80.3	43.57	L
JOS A BANK CLOTHIERS INC	3	11/25/08	55.1	02/08/10	120.14	65.04	L
JPMORGAN CHASE & CO	1	04/13/09	32.01	01/13/10	44.29	12.28	S
JPMORGAN CHASE & CO	7	07/13/09	238.64	01/13/10	310.03	71.39	S
JPMORGAN CHASE & CO	17	10/09/09	773.19	01/13/10	752.92	-20.27	S
JPMORGAN CHASE & CO	6	10/12/09	275.3	01/13/10	265.74	-9.56	S
JPMORGAN CHASE & CO	4	10/12/09	183.54	01/19/10	173.76	-9.78	S
JPMORGAN CHASE & CO	2	10/15/09	93.86	01/19/10	86.88	-6.98	S
JPMORGAN CHASE & CO	21	10/15/09	985.49	01/20/10	905.4	-80.09	S
JPMORGAN CHASE & CO	24	10/19/09	1,103.97	01/20/10	1,034.74	-69.23	S
JPMORGAN CHASE & CO	58	10/19/09	2,667.94	02/01/10	2,304.44	-363.5	S
JPMORGAN CHASE & CO	9	10/21/09	413.93	02/01/10	357.59	-56.34	S
JPMORGAN CHASE & CO	7	10/21/09	321.94	06/04/10	268.01	-53.93	S
JPMORGAN CHASE & CO	17	10/21/09	781.86	04/19/10	766.57	-15.29	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
JPMORGAN CHASE & CO	8	10/21/09	367.93	07/19/10	306.81	-61.12	S
JPMORGAN CHASE & CO	6	10/28/09	258.52	07/19/10	230.11	-28.41	S
JPMORGAN CHASE & CO	16	12/16/09	663.27	07/19/10	613.62	-49.65	S
JPMORGAN CHASE & CO	9	12/18/09	363.75	07/19/10	345.16	-18.59	S
JPMORGAN CHASE & CO	4	01/13/10	176.52	07/19/10	153.4	-23.12	S
JPMORGAN CHASE & CO	10	02/23/10	401.77	07/19/10	383.51	-18.26	S
JPMORGAN CHASE & CO	12	03/05/10	511.12	07/19/10	460.21	-50.91	S
JPMORGAN CHASE & CO	15	07/19/10	576.73	09/24/10	594.15	17.42	S
KIMBERLY CLARK CORP	5	12/04/09	332.8	02/08/10	297.3	-35.5	S
KIMBERLY CLARK CORP	2	03/03/10	120.43	05/10/10	125.72	5.29	S
KIMBERLY CLARK CORP	3	03/10/10	178.77	05/10/10	188.58	9.81	S
KIMBERLY CLARK CORP	2	03/24/10	125.96	05/10/10	125.72	-0.24	S
KIMBERLY CLARK CORP	1	03/24/10	62.98	05/20/10	61.76	-1.22	S
KIMBERLY CLARK CORP	3	04/05/10	187.84	05/20/10	185.29	-2.55	S
KIMBERLY CLARK CORP	3	04/19/10	186.04	05/24/10	183.98	-2.06	S
KIMBERLY CLARK CORP	1	04/19/10	62.01	05/20/10	61.76	-0.25	S
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	8	10/24/08	81.28	06/14/10	102.88	21.6	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	10	03/03/09	105.94	06/14/10	128.6	22.66	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	1	03/04/09	10.57	06/15/10	12.76	2.19	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	9	03/04/09	95.16	06/14/10	115.74	20.58	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	15	03/04/09	158.61	08/04/10	196.51	37.9	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	8	03/04/09	84.59	08/03/10	105.45	20.86	L
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	12	02/19/10	146.94	08/04/10	157.21	10.27	S
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	10	02/22/10	122.1	08/04/10	131.01	8.91	S
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	9	05/21/10	113.43	08/04/10	117.91	4.48	S
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	5	07/20/10	65	08/04/10	65.5	0.5	S
KROGER COMPANY	2	04/26/10	47.38	08/06/10	44.07	-3.31	S
KROGER COMPANY	8	04/26/10	189.5	05/20/10	173.86	-15.64	S
KROGER COMPANY	1	04/29/10	22.55	08/11/10	22.15	-0.4	S
KROGER COMPANY	5	04/29/10	112.73	08/06/10	110.16	-2.57	S
KROGER COMPANY	4	07/21/10	82.27	08/11/10	88.59	6.32	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
L-3 COMMUNICATIONS HOLDINGS CORP	2	03/12/09	117.55	02/08/10	170.47	52.92	S
L-3 COMMUNICATIONS HOLDINGS CORP	2	03/13/09	119.88	02/12/10	173.42	53.54	S
L-3 COMMUNICATIONS HOLDINGS CORP	4	07/15/09	276.34	02/18/10	359.23	82.89	S
LAUDER ESTEE COS CL A	8	07/15/10	516.84	07/19/10	504.85	-11.99	S
LILLY ELI & CO	9	03/29/10	319.01	10/25/10	317.17	-1.84	S
LILLY ELI & CO	7	03/31/10	253.71	10/25/10	246.69	-7.02	S
LILLY ELI & CO	6	04/05/10	220.23	10/25/10	211.45	-8.78	S
LILLY ELI & CO	5	04/13/10	184.27	10/25/10	176.21	-8.06	S
LILLY ELI & CO	1	04/19/10	36.47	10/25/10	35.24	-1.23	S
LILLY ELI & CO	5	04/19/10	182.34	10/26/10	174.41	-7.93	S
LILLY ELI & CO	10	07/21/10	350.7	10/26/10	348.83	-1.87	S
LILLY ELI & CO	1	07/21/10	35.07	11/01/10	35.18	0.11	S
LILLY ELI & CO	6	07/21/10	210.42	10/27/10	209.32	-1.1	S
LINCOLN NATL CORP IND	12	12/04/09	278.64	11/05/10	302.58	23.94	S
LINCOLN NATL CORP IND	5	12/04/09	116.1	02/18/10	127.66	11.56	S
LINCOLN NATL CORP IND	4	12/04/09	92.88	11/10/10	97.36	4.48	S
LINCOLN NATL CORP IND	3	12/31/09	74.96	11/10/10	73.02	-1.94	S
LINEAR TECHNOLOGY CORP (DELAWARE)	2	08/06/09	54.36	12/21/10	69.64	15.28	L
LINEAR TECHNOLOGY CORP (DELAWARE)	2	08/06/09	54.36	02/18/10	55.49	1.13	S
LINEAR TECHNOLOGY CORP (DELAWARE)	5	08/17/09	130.43	12/21/10	174.09	43.66	L
LINEAR TECHNOLOGY CORP (DELAWARE)	1	08/17/09	26.09	12/23/10	34.61	8.52	L
LINEAR TECHNOLOGY CORP (DELAWARE)	4	07/21/10	120.6	12/23/10	138.43	17.83	S
LINEAR TECHNOLOGY CORP (DELAWARE)	2	07/21/10	60.3	12/28/10	69.39	9.09	S
LLOYDS BANKING GROUP PLC SPON ADR	25	07/28/10	107.61	11/26/10	96.81	-10.8	S
LORILLARD INC	1	12/28/09	79.12	10/01/10	79.92	0.8	S
LORILLARD INC	2	12/28/09	158.24	02/18/10	152.13	-6.11	S
LORILLARD INC	3	01/13/10	234.07	10/01/10	239.76	5.69	S
LORILLARD INC	1	01/20/10	75.63	10/01/10	79.92	4.29	S
LORILLARD INC	1	01/20/10	75.63	10/04/10	78.99	3.36	S
LORILLARD INC	2	07/21/10	150.04	10/04/10	157.97	7.93	S
LORILLARD INC	1	07/21/10	75.02	10/05/10	79.51	4.49	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
MAN WAH HOLDINGS LTD HKD	400	05/27/10	344.04	11/11/10	728.26	384.22	S
MARATHON OIL CORP	2	01/20/10	63.88	02/03/10	60.65	-3.23	S
MARATHON OIL CORP	5	01/20/10	159.7	02/02/10	152.42	-7.28	S
MARATHON OIL CORP	8	01/20/10	255.52	02/01/10	242.25	-13.27	S
MARATHON OIL CORP	5	03/10/10	156.7	04/19/10	159.18	2.48	S
MARATHON OIL CORP	3	03/23/10	94.91	04/19/10	95.51	0.6	S
MARATHON OIL CORP	1	04/05/10	32.49	04/22/10	32.03	-0.46	S
MARATHON OIL CORP	5	04/05/10	162.43	04/21/10	161.85	-0.58	S
MASTERCARD INC CL A	2	10/23/08	268.88	04/21/10	519.5	250.62	L
MASTERCARD INC CL A	3	10/23/08	403.32	02/18/10	665.39	262.07	L
MASTERCARD INC CL A	1	01/28/09	133.92	05/05/10	242.81	108.89	L
MASTERCARD INC CL A	1	01/28/09	133.92	05/03/10	245.86	111.94	L
MASTERCARD INC CL A	1	01/28/09	133.92	04/29/10	256.5	122.58	L
MASTERCARD INC CL A	1	11/05/09	225.99	05/05/10	242.81	16.82	S
MASTERCARD INC CL A	1	11/05/09	225.99	05/07/10	226.48	0.49	S
MASTERCARD INC CL A	1	11/11/09	237.57	05/07/10	226.48	-11.09	S
MASTERCARD INC CL A	1	11/11/09	237.57	05/19/10	210.21	-27.36	S
MASTERCARD INC CL A	2	11/11/09	475.14	05/11/10	448.21	-26.93	S
MASTERCARD INC CL A	1	11/12/09	240.69	05/20/10	201.32	-39.37	S
MASTERCARD INC CL A	1	11/13/09	234.33	05/20/10	201.32	-33.01	S
MASTERCARD INC CL A	2	11/13/09	468.66	05/20/10	416.61	-52.05	S
MASTERCARD INC CL A	2	11/19/09	466.14	05/20/10	416.61	-49.53	S
MASTERCARD INC CL A	1	11/19/09	229.42	06/01/10	201.98	-27.44	S
MASTERCARD INC CL A	1	11/19/09	229.42	05/27/10	208.01	-21.41	S
MASTERCARD INC CL A	1	11/19/09	233.07	05/27/10	208.01	-25.06	S
MASTERCARD INC CL A	1	12/02/09	243.24	06/01/10	201.98	-41.26	S
MASTERCARD INC CL A	1	12/02/09	243.24	06/07/10	203.82	-39.42	S
MASTERCARD INC CL A	1	12/02/09	243.24	06/03/10	202.1	-41.14	S
MASTERCARD INC CL A	1	03/04/10	231.19	06/11/10	204.09	-27.1	S
MASTERCARD INC CL A	1	03/04/10	231.19	06/09/10	196.02	-35.17	S
MASTERCARD INC CL A	1	03/04/10	231.19	06/15/10	204.75	-26.44	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
MASTERCARD INC CL A	1	11/05/10	255.39	12/16/10	248.93	-6.46	S
MASTERCARD INC CL A	4	11/05/10	1,021.57	12/17/10	885.66	-135.91	S
MBIA INC	17	11/24/10	173.21	12/28/10	160.85	-12.36	S
MBIA INC	19	11/24/10	193.59	12/23/10	188.15	-5.44	S
MCDONALDS CORP	6	10/23/08	319.78	01/12/10	374.23	54.45	L
MCDONALDS CORP	3	10/23/08	159.89	01/04/10	187.64	27.75	L
MCDONALDS CORP	51	10/23/08	2,718.14	07/19/10	3,546.57	828.43	L
MCDONALDS CORP	1	10/23/08	53.3	01/13/10	62.76	9.46	L
MCDONALDS CORP	5	02/23/10	324.69	07/19/10	347.7	23.01	S
MCDONALDS CORP	4	07/09/10	276.58	07/19/10	278.16	1.58	S
MCGRAW HILL COMPANIES INC	8	07/16/10	238.43	10/11/10	276.05	37.62	S
MCGRAW HILL COMPANIES INC	6	07/21/10	177.23	10/11/10	207.04	29.81	S
MEDCO HEALTH SOLUTIONS INC	5	08/06/09	259.64	02/18/10	321.84	62.2	S
MEDCO HEALTH SOLUTIONS INC	8	08/07/09	419.61	02/18/10	514.95	95.34	S
MEDCO HEALTH SOLUTIONS INC	2	08/07/09	104.9	07/20/10	109.23	4.33	S
MEDCO HEALTH SOLUTIONS INC	4	08/12/09	210.86	07/20/10	218.46	7.6	S
MEDCO HEALTH SOLUTIONS INC	1	08/12/09	52.71	11/10/10	59.34	6.63	L
MEDCO HEALTH SOLUTIONS INC	3	08/12/09	158.14	07/30/10	143.94	-14.2	S
MEDCO HEALTH SOLUTIONS INC	7	08/13/09	368.16	11/10/10	415.36	47.2	L
MEDCO HEALTH SOLUTIONS INC	3	08/17/09	163.4	11/10/10	178.01	14.61	L
MEDCO HEALTH SOLUTIONS INC	4	08/17/09	217.86	11/11/10	239.96	22.1	L
MEDCO HEALTH SOLUTIONS INC	12	09/10/09	653.57	11/11/10	719.88	66.31	L
MEDCO HEALTH SOLUTIONS INC	7	09/17/09	384.17	11/12/10	416.65	32.48	L
MEDCO HEALTH SOLUTIONS INC	1	09/17/09	54.88	11/11/10	59.99	5.11	L
MEDCO HEALTH SOLUTIONS INC	4	10/28/09	226.15	11/12/10	238.08	11.93	L
MEDCO HEALTH SOLUTIONS INC	2	12/04/09	129.32	11/12/10	119.04	-10.28	S
MEDCO HEALTH SOLUTIONS INC	1	12/04/09	64.66	11/15/10	59.51	-5.15	S
MEDCO HEALTH SOLUTIONS INC	9	07/21/10	492.3	11/15/10	535.6	43.3	S
MEDCO HEALTH SOLUTIONS INC	3	07/21/10	164.1	11/22/10	182.34	18.24	S
MEDCO HEALTH SOLUTIONS INC	10	07/21/10	547	11/18/10	601.03	54.03	S
MEDCO HEALTH SOLUTIONS INC	4	10/01/10	208.73	11/22/10	243.12	34.39	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
MEDCO HEALTH SOLUTIONS INC	1	10/06/10	52.74	11/22/10	60.78	8.04	S
MEDCO HEALTH SOLUTIONS INC	5	10/06/10	263.69	11/29/10	300.59	36.9	S
MERCK & CO INC NEW COM	12	09/09/09	378.38	03/09/10	443.79	65.41	S
MERCK & CO INC NEW COM	1	09/11/09	32.45	03/09/10	36.98	4.53	S
MERCK & CO INC NEW COM	9	09/11/09	292.05	04/22/10	306.74	14.69	S
MERCK & CO INC NEW COM	1	09/11/09	32.45	03/10/10	36.9	4.45	S
MERCK & CO INC NEW COM	3	09/11/09	97.35	07/19/10	107.55	10.2	S
MERCK & CO INC NEW COM	7	09/14/09	228.7	07/19/10	250.95	22.25	S
MERCK & CO INC NEW COM	7	09/15/09	229.64	07/19/10	250.95	21.31	S
MERCK & CO INC NEW COM	9	10/20/09	304.23	07/19/10	322.64	18.41	S
MERCK & CO INC NEW COM	7	11/27/09	255.5	07/19/10	250.95	-4.55	S
MERCK & CO INC NEW COM	4	12/01/09	147.7	07/19/10	143.4	-4.3	S
MERCK & CO INC NEW COM	10	02/23/10	366.3	07/19/10	358.49	-7.81	S
METLIFE INC	9	09/28/09	348.19	02/18/10	314.3	-33.89	S
METLIFE INC	17	09/29/09	655.03	02/18/10	593.68	-61.35	S
METLIFE INC	3	10/06/09	114.67	06/25/10	119.45	4.78	S
METLIFE INC	16	10/06/09	611.56	08/27/10	594.4	-17.16	S
METLIFE INC	4	10/06/09	152.89	07/01/10	147.32	-5.57	S
METLIFE INC	19	10/07/09	710.11	11/15/10	757.76	47.65	L
METLIFE INC	7	10/12/09	265.77	11/15/10	279.17	13.4	L
METLIFE INC	3	10/19/09	114.14	11/18/10	117.64	3.5	L
METLIFE INC	6	10/19/09	228.29	11/15/10	239.29	11	L
METLIFE INC	8	12/22/09	285.76	11/18/10	313.72	27.96	S
METLIFE INC	8	12/31/09	284.46	11/18/10	313.72	29.26	S
METLIFE INC	7	01/08/10	265.26	11/18/10	274.5	9.24	S
METLIFE INC	3	01/13/10	113.54	11/18/10	117.64	4.1	S
METLIFE INC	17	01/13/10	643.4	11/22/10	655.11	11.71	S
METLIFE INC	4	03/10/10	166.73	11/22/10	154.14	-12.59	S
METLIFE INC	2	03/18/10	85.51	11/29/10	75.18	-10.33	S
METLIFE INC	3	03/18/10	128.27	11/22/10	115.61	-12.66	S
METLIFE INC	5	03/23/10	209.78	11/29/10	187.96	-21.82	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
METLIFE INC	3	03/29/10	128.65	11/29/10	112.77	-15.88	S
METLIFE INC	25	07/21/10	955.15	12/03/10	996.32	41.17	S
METLIFE INC	12	07/21/10	458.47	11/29/10	451.1	-7.37	S
METLIFE INC	2	07/21/10	76.41	12/10/10	87.6	11.19	S
METLIFE INC	6	07/21/10	229.24	12/07/10	246.83	17.59	S
METLIFE INC	14	07/21/10	534.88	12/06/10	569.35	34.47	S
MICRON TECHNOLOGY INC	18	12/29/09	185.43	01/06/10	201.37	15.94	S
MICRON TECHNOLOGY INC	20	01/20/10	197.52	11/03/10	159.61	-37.91	S
MICRON TECHNOLOGY INC	19	02/01/10	172.01	11/03/10	151.63	-20.38	S
MICRON TECHNOLOGY INC	5	02/01/10	45.27	11/05/10	43.23	-2.04	S
MICRON TECHNOLOGY INC	25	02/02/10	234.34	11/05/10	216.16	-18.18	S
MICRON TECHNOLOGY INC	5	02/08/10	44.9	11/10/10	39.3	-5.6	S
MICRON TECHNOLOGY INC	10	02/08/10	89.8	11/05/10	86.47	-3.33	S
MICRON TECHNOLOGY INC	3	02/12/10	25.39	11/11/10	23.44	-1.95	S
MICRON TECHNOLOGY INC	15	02/12/10	126.94	11/10/10	117.89	-9.05	S
MICRON TECHNOLOGY INC	11	02/24/10	100.04	11/11/10	85.95	-14.09	S
MICRON TECHNOLOGY INC	10	02/25/10	88.5	11/11/10	78.13	-10.37	S
MICRON TECHNOLOGY INC	9	04/12/10	97.88	11/11/10	70.32	-27.56	S
MICRON TECHNOLOGY INC	9	04/13/10	96.85	11/12/10	69.26	-27.59	S
MICRON TECHNOLOGY INC	11	04/19/10	116.82	11/12/10	84.65	-32.17	S
MICRON TECHNOLOGY INC	6	07/21/10	50.22	11/12/10	46.17	-4.05	S
MICRON TECHNOLOGY INC	22	07/21/10	184.14	11/18/10	159.1	-25.04	S
MICRON TECHNOLOGY INC	20	07/21/10	167.4	11/15/10	151.25	-16.15	S
MICRON TECHNOLOGY INC	15	07/21/10	125.55	11/29/10	113.11	-12.44	S
MICRON TECHNOLOGY INC	21	07/21/10	175.77	11/22/10	154.16	-21.61	S
MICROSOFT CORP	4	11/12/09	117.45	02/18/10	115.73	-1.72	S
MICROSOFT CORP	6	11/13/09	177.88	02/18/10	173.59	-4.29	S
MICROSOFT CORP	5	12/04/09	151.69	02/18/10	144.66	-7.03	S
MICROSOFT CORP	6	12/07/09	179.38	02/18/10	173.59	-5.79	S
MILLICOM INTL CELLULAR SA NEW	1	10/24/08	27.47	10/05/10	98.75	71.28	L
MILLICOM INTL CELLULAR SA NEW	2	10/24/08	54.95	09/08/10	197.02	142.07	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
MILLICOM INTL CELLULAR SA NEW	2	10/24/08	54.95	09/01/10	188.23	133.28	L
MILLICOM INTL CELLULAR SA NEW	3	10/24/08	82.42	05/06/10	248.63	166.21	L
MILLICOM INTL CELLULAR SA NEW	3	10/24/08	82.42	04/21/10	275.74	193.32	L
MILLICOM INTL CELLULAR SA NEW	4	10/24/08	109.9	03/18/10	354.58	244.68	L
MILLICOM INTL CELLULAR SA NEW	3	01/04/10	233.97	10/05/10	296.24	62.27	S
MILLICOM INTL CELLULAR SA NEW	3	02/22/10	251.36	10/05/10	296.24	44.88	S
MILLICOM INTL CELLULAR SA NEW	1	07/20/10	85.12	10/05/10	98.75	13.63	S
MIRANT CORP NEW **MERGER EFF: 12/2010**	1	07/27/09	18.78	01/08/10	15.98	-2.8	S
MITSUBISHI CORP SPONS ADR ADR	7	10/24/08	216.65	05/07/10	309.64	92.99	L
MITSUBISHI CORP SPONS ADR ADR	6	10/24/08	185.7	02/09/10	285.77	100.07	L
MITSUBISHI CORP SPONS ADR ADR	1	10/24/08	30.95	01/14/10	54.5	23.55	L
MOLSON COORS BREWING CO CL B	2	01/20/10	87.22	06/09/10	86.56	-0.66	S
MOLSON COORS BREWING CO CL B	1	01/20/10	43.61	02/18/10	40.69	-2.92	S
MOLSON COORS BREWING CO CL B	3	01/28/10	128.85	06/09/10	129.83	0.98	S
MONSANTO CO NEW	10	05/27/10	484.28	07/19/10	560.75	76.47	S
MONSANTO CO NEW	10	05/28/10	508.27	07/19/10	560.75	52.48	S
MONSANTO CO NEW	9	06/11/10	458.99	07/19/10	504.68	45.69	S
MONSANTO CO NEW	6	07/01/10	275.29	07/19/10	336.45	61.16	S
MONSANTO CO NEW	5	07/02/10	232.35	07/19/10	280.38	48.03	S
MONTPELIER RE HOLDINGS LTD	1	07/17/09	14.25	03/18/10	17.23	2.98	S
MONTPELIER RE HOLDINGS LTD	3	07/17/09	42.76	02/18/10	53.96	11.2	S
MONTPELIER RE HOLDINGS LTD	4	07/21/09	57.95	03/23/10	68.16	10.21	S
MONTPELIER RE HOLDINGS LTD	5	07/21/09	72.44	03/18/10	86.15	13.71	S
MORGAN STANLEY	7	11/09/09	237.11	02/18/10	190.28	-46.83	S
MORGAN STANLEY	7	11/11/09	235.9	02/18/10	190.28	-45.62	S
MORGAN STANLEY	15	11/11/09	505.51	03/31/10	438.47	-67.04	S
MORGAN STANLEY	1	11/12/09	33.39	03/31/10	29.23	-4.16	S
MORGAN STANLEY	6	11/12/09	200.33	04/12/10	185.58	-14.75	S
MORGAN STANLEY	7	11/13/09	230.55	04/12/10	216.51	-14.04	S
MORGAN STANLEY	5	11/19/09	162.24	04/13/10	152.44	-9.8	S
MORGAN STANLEY	13	11/19/09	421.83	04/12/10	402.1	-19.73	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
MORGAN STANLEY	7	11/19/09	227.14	04/14/10	214.49	-12.65	S
MORGAN STANLEY	13	04/28/10	393.39	10/01/10	325.92	-67.47	S
MORGAN STANLEY	4	04/29/10	125.63	10/01/10	100.28	-25.35	S
MORGAN STANLEY	7	07/21/10	192.36	10/01/10	175.49	-16.87	S
MORGAN STANLEY	17	08/10/10	462.9	10/01/10	426.2	-36.7	S
MURPHY OIL CORP	2	10/12/09	123.78	02/01/10	104.2	-19.58	S
MURPHY OIL CORP	5	10/19/09	321.8	02/01/10	260.5	-61.3	S
MURPHY OIL CORP	5	10/19/09	321.8	02/02/10	264.78	-57.02	S
MURPHY OIL CORP	1	12/04/09	56.49	02/02/10	52.96	-3.53	S
MURPHY OIL CORP	3	12/04/09	169.47	02/18/10	158.94	-10.53	S
MURPHY OIL CORP	6	12/04/09	338.94	02/12/10	304.97	-33.97	S
MURPHY OIL CORP	7	12/04/09	395.43	02/08/10	352.56	-42.87	S
MURPHY OIL CORP	3	01/13/10	171.34	02/18/10	158.94	-12.4	S
MURPHY OIL CORP	4	01/13/10	229.48	02/18/10	211.92	-17.56	S
MURPHY OIL CORP	3	01/19/10	169.96	02/18/10	158.94	-11.02	S
MURPHY OIL CORP	3	01/20/10	167.08	02/18/10	158.94	-8.14	S
MURPHY OIL CORP	3	01/21/10	162.14	02/18/10	158.94	-3.2	S
MURPHY OIL CORP	4	06/25/10	210.67	07/26/10	222.18	11.51	S
MURPHY OIL CORP	3	06/28/10	155.6	07/26/10	166.63	11.03	S
MURPHY OIL CORP	2	06/28/10	103.73	07/30/10	109.18	5.45	S
MURPHY OIL CORP	4	06/29/10	200.02	07/30/10	218.37	18.35	S
MURPHY OIL CORP	4	07/01/10	196.55	08/11/10	215.01	18.46	S
MURPHY OIL CORP	2	07/21/10	102.02	08/11/10	107.51	5.49	S
MURPHY OIL CORP	3	07/21/10	153.03	08/13/10	164.05	11.02	S
MURPHY OIL CORP	4	07/21/10	204.04	08/12/10	213.15	9.11	S
MURPHY OIL CORP	2	07/21/10	102.02	10/05/10	124.2	22.18	S
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	1	10/24/08	37.1	01/14/10	48.39	11.29	L
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	6	10/24/08	222.6	09/08/10	319.68	97.08	L
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	10	10/24/08	371	07/29/10	495.61	124.61	L
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	3	10/24/08	111.3	02/03/10	144.14	32.84	L
NETAPP INC	6	11/19/09	184.73	12/20/10	331.77	147.04	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent. Term
NETAPP INC	4	11/19/09	123.15	02/18/10	126.31	3.16	S
NETAPP INC	7	12/04/09	226.56	12/21/10	387.99	161.43	L
NETAPP INC	5	12/04/09	161.83	12/20/10	276.48	114.65	L
NETAPP INC	1	07/21/10	40.14	12/23/10	54.8	14.66	S
NETAPP INC	5	07/21/10	200.69	12/21/10	277.13	76.44	S
NETAPP INC	9	11/05/10	497.62	12/23/10	493.23	-4.39	S
NETAPP INC	4	11/10/10	227.03	12/28/10	218.65	-8.38	S
NETFLIX.COM INC	1	11/23/09	60.43	02/18/10	66.16	5.73	S
NEWCREST MINING LTD SPON AUSTRALIA ADR	1	10/24/08	10.75	01/14/10	33.65	22.9	L
NEWFIELD EXPLORATION COS	5	04/19/10	259.8	06/09/10	262.67	2.87	S
NIKE INC CL B	14	10/23/08	683.34	07/19/10	961.22	277.88	L
NIKE INC CL B	3	11/26/08	158.9	07/19/10	205.98	47.08	L
NIKE INC CL B	5	11/26/08	259.3	07/19/10	343.29	83.99	L
NIKE INC CL B	6	12/08/08	339.78	07/19/10	411.95	72.17	L
NIKE INC CL B	6	12/09/08	322.87	07/19/10	411.95	89.08	L
NIKE INC CL B	4	02/03/10	255.73	07/19/10	274.63	18.9	S
NIKE INC CL B	2	02/04/10	124.67	07/19/10	137.32	12.65	S
NIKE INC CL B	5	02/23/10	320.12	07/19/10	343.29	23.17	S
NINTENDO LTD ADR NEW JAPAN ADR	11	03/05/09	382.86	12/07/10	391.34	8.48	L
NINTENDO LTD ADR NEW JAPAN ADR	6	03/05/09	208.83	12/06/10	214.66	5.83	L
NINTENDO LTD ADR NEW JAPAN ADR	1	03/05/09	34.81	01/14/10	35.24	0.43	S
NIPPON STEEL CORP UNSPON ADR	14	10/16/09	559.46	04/21/10	524.07	-35.39	S
NIPPON TELEG & TEL CORP SPON ADR	6	12/04/08	147.08	02/10/10	130.61	-16.47	L
NIPPON TELEG & TEL CORP SPON ADR	1	12/04/08	24.51	01/14/10	21.73	-2.78	L
NIPPON TELEG & TEL CORP SPON ADR	2	02/24/09	43.94	02/10/10	43.54	-0.4	S
NIPPON TELEG & TEL CORP SPON ADR	3	02/24/09	65.91	02/11/10	65.33	-0.58	S
NIPPON TELEG & TEL CORP SPON ADR	11	02/25/09	236.72	02/11/10	239.53	2.81	S
NIPPON TELEG & TEL CORP SPON ADR	9	09/02/09	198.31	02/11/10	195.98	-2.33	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	2	10/24/08	18.11	01/14/10	34.95	16.84	L
NISSAN MTR LTD SPONS ADR JAPAN ADR	21	10/24/08	190.13	04/05/10	366.38	176.25	L
NISSAN MTR LTD SPONS ADR JAPAN ADR	41	03/03/09	248.31	04/05/10	715.32	467.01	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
NISSAN MTR LTD SPONS ADR JAPAN ADR	9	12/03/09	144.81	04/05/10	157.02	12.21	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	11	02/22/10	181.02	04/05/10	191.91	10.89	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	15	07/07/10	218.3	12/02/10	296.58	78.28	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	10	07/07/10	145.54	11/24/10	188.09	42.55	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	12	07/07/10	174.64	11/23/10	224.38	49.74	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	14	07/07/10	203.75	11/22/10	268.04	64.29	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	4	07/20/10	55.96	12/02/10	79.09	23.13	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	19	08/06/10	293.64	12/02/10	375.67	82.03	S
NISSAN MTR LTD SPONS ADR JAPAN ADR	8	08/09/10	123.86	12/02/10	158.18	34.32	S
NOBLE CORP CHF	5	11/02/09	208.14	03/18/10	211.49	3.35	S
NOBLE CORP CHF	1	11/02/09	41.63	02/18/10	42.56	0.93	S
NOMURA HOLDINGS INC NEW SPON ADR	35	10/24/08	383.47	11/29/10	201.65	-181.82	L
NOMURA HOLDINGS INC NEW SPON ADR	35	10/24/08	383.47	11/26/10	198.31	-185.16	L
NOMURA HOLDINGS INC NEW SPON ADR	4	10/24/08	43.83	01/14/10	33.87	-9.96	L
NOMURA HOLDINGS INC NEW SPON ADR	23	06/11/09	210.95	11/29/10	132.52	-78.43	L
NOMURA HOLDINGS INC NEW SPON ADR	22	07/28/09	188.29	11/29/10	126.75	-61.54	L
NOMURA HOLDINGS INC NEW SPON ADR	36	10/01/09	211.08	11/29/10	207.42	-3.66	L
NORDSTROM INC	6	11/16/09	210.19	07/19/10	188.05	-22.14	S
NORDSTROM INC	7	11/20/09	235.9	07/19/10	219.39	-16.51	S
NORDSTROM INC	5	02/02/10	180.52	07/19/10	156.71	-23.81	S
NORDSTROM INC	5	02/23/10	179.6	07/19/10	156.71	-22.89	S
NORDSTROM INC	3	04/30/10	124.17	07/19/10	94.02	-30.15	S
NORDSTROM INC	8	06/25/10	284.32	07/19/10	250.73	-33.59	S
NORFOLK STHN CORP	1	06/05/09	41	01/13/10	53.82	12.82	S
NORFOLK STHN CORP	3	06/05/09	122.99	07/02/10	152.69	29.7	L
NORFOLK STHN CORP	5	06/05/09	204.99	07/01/10	265.77	60.78	L
NORFOLK STHN CORP	5	06/08/09	202.7	07/07/10	255.32	52.62	L
NORFOLK STHN CORP	5	06/08/09	202.7	07/06/10	257.41	54.71	L
NORFOLK STHN CORP	6	06/09/09	246.44	07/08/10	311.28	64.84	L
NORFOLK STHN CORP	4	06/09/09	164.29	07/07/10	204.26	39.97	L
NORFOLK STHN CORP	7	06/11/09	286.5	07/08/10	363.16	76.66	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
NORFOLK STHN CORP	5	02/23/10	252.24	07/08/10	259.4	7.16	S
NORTHROP GRUMMAN CORP	3	07/27/09	129.82	01/20/10	173.72	43.9	S
NORTHROP GRUMMAN CORP	3	07/29/09	133.71	02/18/10	184.25	50.54	S
NORTHROP GRUMMAN CORP	2	07/29/09	89.14	01/20/10	115.81	26.67	S
NOVARTIS AG SPON ADR	2	10/24/08	93.2	09/09/10	107.19	13.99	L
NOVARTIS AG SPON ADR	5	10/24/08	232.99	09/07/10	266.66	33.67	L
NOVARTIS AG SPON ADR	12	10/24/08	559.17	03/15/10	655.61	96.44	L
NOVARTIS AG SPON ADR	1	10/24/08	46.6	01/14/10	53.54	6.94	L
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	3	10/24/08	47.84	01/14/10	44.84	-3	L
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	27	10/24/08	430.54	03/11/10	410.54	-20	L
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	32	10/24/08	510.27	03/10/10	487.32	-22.95	L
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	5	07/13/09	76.04	07/08/10	79.37	3.33	S
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	1	07/13/09	15.21	03/11/10	15.21	0	S
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	16	07/13/09	243.33	07/29/10	254.83	11.5	L
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	12	09/09/09	184.72	07/29/10	191.12	6.4	S
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON	16	02/22/10	244.46	07/29/10	254.83	10.37	S
OMNICARE INC	5	03/03/09	110.54	02/18/10	129.94	19.4	S
OMNICARE INC	3	03/10/09	72.72	08/12/10	60.54	-12.18	L
OMNICARE INC	1	03/10/09	24.24	02/18/10	25.99	1.75	S
OMNICARE INC	4	03/11/09	95.48	08/12/10	80.72	-14.76	L
OMNICARE INC	5	03/12/09	119.06	08/13/10	101.21	-17.85	L
OMNICARE INC	5	04/23/09	129.64	08/27/10	100.16	-29.48	L
OMNICARE INC	2	04/23/09	51.86	08/13/10	40.48	-11.38	L
OMNICARE INC	4	05/14/09	109.64	09/01/10	79.34	-30.3	L
OMNICARE INC	2	05/14/09	54.82	08/27/10	40.06	-14.76	L
OMNICARE INC	7	07/21/10	177.82	09/14/10	150.31	-27.51	S
OMNICARE INC	3	07/21/10	76.21	09/01/10	59.51	-16.7	S
OMNICARE INC	3	07/21/10	76.21	09/15/10	64.58	-11.63	S
OPPENHEIMER INTL BOND FD CL A	4,574.94	10/23/08	25,528.19	02/18/10	28,959.40	3,431.21	L
ORACLE CORP	5	03/03/10	123.11	06/23/10	114.04	-9.07	S
ORACLE CORP	12	04/06/10	306.1	06/24/10	268.77	-37.33	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ORACLE CORP	9	04/19/10	234.7	06/24/10	201.58	-33.12	S
ORACLE CORP	5	04/29/10	129.58	06/24/10	111.99	-17.59	S
OVERSEAS SHIPHOLDING GRP	1	05/07/09	36.06	02/18/10	44.93	8.87	S
OVERSEAS SHIPHOLDING GRP	1	05/07/09	36.06	04/21/10	49	12.94	S
OVERSEAS SHIPHOLDING GRP	1	05/19/09	33.96	04/21/10	49	15.04	S
OVERSEAS SHIPHOLDING GRP	1	05/19/09	33.96	05/10/10	43.13	9.17	S
OVERSEAS SHIPHOLDING GRP	2	05/19/09	67.92	05/06/10	88.13	20.21	S
OWENS ILLINOIS INC NEW	2	06/29/09	58.3	02/02/10	55.66	-2.64	S
OWENS ILLINOIS INC NEW	2	07/08/09	52.77	02/02/10	55.66	2.89	S
OWENS ILLINOIS INC NEW	3	07/08/09	79.16	02/08/10	79.99	0.83	S
PANASONIC CORP SPON ADR	10	10/20/09	144.72	07/01/10	124.46	-20.26	S
PANASONIC CORP SPON ADR	16	10/20/09	231.55	06/25/10	207.44	-24.11	S
PANASONIC CORP SPON ADR	22	10/20/09	318.39	03/05/10	314.42	-3.97	S
PANASONIC CORP SPON ADR	2	10/20/09	28.94	01/14/10	34.1	5.16	S
PANASONIC CORP SPON ADR	6	10/29/09	83.61	07/01/10	74.67	-8.94	S
PANASONIC CORP SPON ADR	9	10/30/09	128	07/01/10	112.01	-15.99	S
PANASONIC CORP SPON ADR	1	12/03/09	13.69	07/01/10	12.45	-1.24	S
PANASONIC CORP SPON ADR	15	01/19/10	251.66	07/01/10	186.69	-64.97	S
PANASONIC CORP SPON ADR	13	02/22/10	185.08	07/01/10	161.79	-23.29	S
PARTNERRE LTD ORD	1	06/29/09	64.92	02/18/10	78.89	13.97	S
PARTNERRE LTD ORD	1	07/01/09	65.44	02/24/10	78.86	13.42	S
PARTNERRE LTD ORD	1	07/01/09	65.44	02/18/10	78.89	13.45	S
PARTNERRE LTD ORD	1	01/13/10	73.78	03/10/10	78.03	4.25	S
PARTNERRE LTD ORD	2	01/13/10	147.56	03/04/10	157.65	10.09	S
PARTNERRE LTD ORD	2	01/13/10	147.56	02/25/10	157.45	9.89	S
PARTNERRE LTD ORD	2	01/13/10	147.56	02/24/10	157.72	10.16	S
PARTNERRE LTD ORD	1	01/13/10	73.82	03/12/10	78.23	4.41	S
PARTNERRE LTD ORD	1	01/13/10	73.82	03/10/10	78.03	4.21	S
PENN NATL GAMING INC	4	09/17/09	109.23	02/01/10	108.51	-0.72	S
PENN NATL GAMING INC	5	10/21/09	144.58	02/08/10	116	-28.58	S
PENN NATL GAMING INC	1	10/21/09	28.92	02/01/10	27.13	-1.79	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
PEPSICO INC	11	11/11/09	688.07	01/13/10	682.81	-5.26	S
PEPSICO INC	4	11/19/09	247.28	01/13/10	248.29	1.01	S
PETROLEO BRASILEIRO SA SPON ADR	13	10/24/08	278.19	01/04/10	632.62	354.43	L
PETROLEO BRASILEIRO SA SPON ADR	1	10/24/08	21.4	01/13/10	45.73	24.33	L
PETROLEO BRASILEIRO SA SPON ADR	8	10/24/08	171.2	01/05/10	387.69	216.49	L
PETROLEO BRASILEIRO SA SPON ADR	1	10/24/08	21.4	02/08/10	38.46	17.06	L
PETROLEO BRASILEIRO SA SPON ADR	9	12/18/08	218.17	02/08/10	346.12	127.95	L
PETROLEO BRASILEIRO SA SPON ADR	6	12/18/08	145.45	02/09/10	237.45	92	L
PETROLEO BRASILEIRO SA SPON ADR	5	01/29/09	132.14	02/11/10	200.09	67.95	L
PETROLEO BRASILEIRO SA SPON ADR	7	01/30/09	187.71	02/11/10	280.13	92.42	L
PETROLEO BRASILEIRO SA SPON ADR	1	04/13/09	35.84	02/11/10	40.02	4.18	S
PETROLEO BRASILEIRO SA SPON ADR	3	05/04/09	111.89	02/11/10	120.47	8.58	S
PETROLEO BRASILEIRO SA SPON ADR	8	05/04/09	298.36	02/11/10	320.15	21.79	S
PETROLEO BRASILEIRO SA SPON ADR	11	05/06/09	427.36	02/11/10	441.73	14.37	S
PHILIP MORRIS INTL INC	6	07/01/09	266.69	02/18/10	301.01	34.32	S
PLAINS EXPLORATION & PRODUCTION CO	1	12/16/09	27	02/01/10	34.06	7.06	S
PLAINS EXPLORATION & PRODUCTION CO	4	12/16/09	108.02	01/20/10	131.95	23.93	S
PLAINS EXPLORATION & PRODUCTION CO	2	12/17/09	54.02	02/02/10	69.51	15.49	S
PLAINS EXPLORATION & PRODUCTION CO	3	12/17/09	81.02	02/01/10	102.18	21.16	S
PLATINUM UNDERWRITERS HOLDINGS LTD	2	07/27/09	66.28	03/29/10	73.89	7.61	S
PLATINUM UNDERWRITERS HOLDINGS LTD	1	07/27/09	33.14	02/18/10	35.96	2.82	S
PLATINUM UNDERWRITERS HOLDINGS LTD	3	07/29/09	99.75	04/05/10	110.74	10.99	S
PLATINUM UNDERWRITERS HOLDINGS LTD	1	07/29/09	33.25	03/29/10	36.95	3.7	S
PNC FINANCIAL SERVICES GROUP	16	02/03/10	870.36	07/19/10	912	41.64	S
PNC FINANCIAL SERVICES GROUP	14	03/25/10	863.29	07/19/10	798	-65.29	S
PNC FINANCIAL SERVICES GROUP	11	04/22/10	749.58	07/19/10	627	-122.58	S
PNC FINANCIAL SERVICES GROUP	3	05/03/10	203.51	07/19/10	171	-32.51	S
PNC FINANCIAL SERVICES GROUP	4	05/24/10	243.91	07/19/10	228	-15.91	S
PNC FINANCIAL SERVICES GROUP	2	07/15/10	121.57	07/19/10	114	-7.57	S
PNC FINANCIAL SERVICES GROUP	5	09/23/10	259.78	09/27/10	261.05	1.27	S
POLO RALPH LAUREN CORP	3	02/03/10	235.38	05/21/10	255.17	19.79	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
POTASH CORP SASK INC CANADA CAD	2	03/10/09	145.15	07/02/10	171.41	26.26	L
POTASH CORP SASK INC CANADA CAD	2	03/10/09	145.15	07/01/10	169.63	24.48	L
POTASH CORP SASK INC CANADA CAD	1	05/19/09	113.97	07/02/10	85.71	-28.26	L
POTASH CORP SASK INC CANADA CAD	3	05/26/09	340.39	07/07/10	256.01	-84.38	L
POTASH CORP SASK INC CANADA CAD	2	09/22/09	189.43	08/17/10	287.99	98.56	S
POTASH CORP SASK INC CANADA CAD	5	09/22/09	473.56	07/07/10	432.48	-41.08	S
POTASH CORP SASK INC CANADA CAD	1	11/18/09	113.8	08/17/10	144	30.2	S
POTASH CORP SASK INC CANADA CAD	1	12/31/09	109.75	08/17/10	144	34.25	S
POTASH CORP SASK INC CANADA CAD	2	02/01/10	205.07	09/01/10	296.22	91.15	S
POTASH CORP SASK INC CANADA CAD	2	02/01/10	205.07	08/17/10	287.99	82.92	S
PPG INDUSTRIES INC	1	08/27/09	55.05	07/19/10	62.19	7.14	S
PPG INDUSTRIES INC	4	08/28/09	223.62	07/19/10	248.77	25.15	S
PPG INDUSTRIES INC	5	08/31/09	276.45	07/19/10	310.96	34.51	S
PPG INDUSTRIES INC	4	09/01/09	217.36	07/19/10	248.77	31.41	S
PPG INDUSTRIES INC	4	09/09/09	225.53	07/19/10	248.77	23.24	S
PPG INDUSTRIES INC	5	02/23/10	307.07	07/19/10	310.96	3.89	S
PPG INDUSTRIES INC	2	03/25/10	130.18	07/19/10	124.39	-5.79	S
PPG INDUSTRIES INC	3	03/26/10	197.86	07/19/10	186.58	-11.28	S
PPG INDUSTRIES INC	1	07/09/10	64.53	07/19/10	62.19	-2.34	S
PPL CORP	3	07/29/09	99.26	02/01/10	88.6	-10.66	S
PPL CORP	4	07/30/09	136.14	02/01/10	118.13	-18.01	S
PPL CORP	3	08/13/09	88.1	02/01/10	88.6	0.5	S
PPL CORP	3	08/13/09	88.1	02/08/10	86.91	-1.19	S
PPL CORP	4	12/04/09	126.79	02/08/10	115.87	-10.92	S
PRAXAIR INC	22	10/23/08	1,218.87	07/19/10	1,770.48	551.61	L
PRAXAIR INC	5	02/23/10	381.55	07/19/10	402.38	20.83	S
PRAXAIR INC	3	07/09/10	242.69	07/19/10	241.43	-1.26	S
PREMIER OIL PLC SHS GBP	21	01/05/10	409.18	08/23/10	504.28	95.1	S
PREMIER OIL PLC SHS GBP	6	01/05/10	116.91	05/07/10	98.76	-18.15	S
PREMIER OIL PLC SHS GBP	1	01/05/10	19.48	08/02/10	23.76	4.28	S
PREMIER OIL PLC SHS GBP	2	01/05/10	38.97	07/29/10	46.84	7.87	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
PREMIER OIL PLC SHS GBP	5	01/05/10	97.42	07/13/10	107.76	10.34	S
PREMIER OIL PLC SHS GBP	2	01/05/10	38.97	07/12/10	42.72	3.75	S
PREMIER OIL PLC SHS GBP	8	02/22/10	139.46	08/23/10	192.11	52.65	S
PREMIER OIL PLC SHS GBP	4	05/27/10	68.27	08/23/10	96.05	27.78	S
PRICELINE.COM INC NEW	1	07/29/09	122.47	02/18/10	233.69	111.22	S
PRICELINE.COM INC NEW	2	07/29/09	244.94	07/01/10	353.75	108.81	S
PRICELINE.COM INC NEW	1	11/11/09	196.84	07/01/10	176.88	-19.96	S
PRICELINE.COM INC NEW	2	11/13/09	395.8	07/02/10	353.36	-42.44	S
PRICELINE.COM INC NEW	1	11/19/09	204.92	07/07/10	188.35	-16.57	S
PRICELINE.COM INC NEW	1	12/04/09	225.17	07/09/10	202.83	-22.34	S
PRICELINE.COM INC NEW	2	12/09/09	429.93	07/19/10	438.05	8.12	S
PRICELINE.COM INC NEW	1	12/16/09	222.02	07/19/10	219.03	-2.99	S
PRICELINE.COM INC NEW	3	04/13/10	771.2	07/19/10	657.08	-114.12	S
PRICELINE.COM INC NEW	3	05/11/10	659.75	07/19/10	657.08	-2.67	S
PRINCIPAL FINANCIAL GROUP INC	6	05/10/10	180.16	10/20/10	161.29	-18.87	S
PRINCIPAL FINANCIAL GROUP INC	4	05/20/10	108.01	10/20/10	107.53	-0.48	S
PRINCIPAL FINANCIAL GROUP INC	2	05/26/10	52.68	10/20/10	53.76	1.08	S
PRINCIPAL FINANCIAL GROUP INC	2	05/26/10	52.68	10/25/10	54.51	1.83	S
PRINCIPAL FINANCIAL GROUP INC	5	06/25/10	124.71	10/25/10	136.28	11.57	S
PRINCIPAL FINANCIAL GROUP INC	2	07/01/10	45.71	10/26/10	54.27	8.56	S
PRINCIPAL FINANCIAL GROUP INC	4	07/01/10	91.42	10/25/10	109.03	17.61	S
PRINCIPAL FINANCIAL GROUP INC	4	07/02/10	92.23	10/26/10	108.54	16.31	S
PRINCIPAL FINANCIAL GROUP INC	2	07/21/10	49.1	10/26/10	54.27	5.17	S
PRINCIPAL FINANCIAL GROUP INC	8	07/21/10	196.4	11/03/10	221.26	24.86	S
PRINCIPAL FINANCIAL GROUP INC	6	07/21/10	147.3	10/27/10	161.15	13.85	S
PROCTER & GAMBLE CO	11	08/13/09	573.87	01/06/10	668.56	94.69	S
PROCTER & GAMBLE CO	1	08/13/09	52.17	01/13/10	61.64	9.47	S
PROCTER & GAMBLE CO	6	08/13/09	313.02	01/08/10	361.1	48.08	S
PRUDENTIAL FINANCIAL INC	4	10/12/09	203.15	01/08/10	212.48	9.33	S
PRUDENTIAL FINANCIAL INC	9	10/15/09	469.6	01/08/10	478.09	8.49	S
PRUDENTIAL FINANCIAL INC	1	10/15/09	52.18	01/13/10	53.63	1.45	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
PRUDENTIAL FINANCIAL INC	9	10/19/09	470.46	01/13/10	482.71	12.25	S
PRUDENTIAL FINANCIAL INC	1	10/19/09	52.27	01/13/10	53.66	1.39	S
PRUDENTIAL FINANCIAL INC	9	10/21/09	461.34	01/13/10	482.96	21.62	S
PRUDENTIAL FINANCIAL INC	5	11/02/09	229.54	01/13/10	268.31	38.77	S
PRUDENTIAL FINANCIAL INC	5	11/13/09	239.99	01/13/10	268.31	28.32	S
PRUDENTIAL FINANCIAL INC	2	11/13/09	96	02/01/10	102.84	6.84	S
PRUDENTIAL FINANCIAL INC	2	11/19/09	96.93	02/01/10	102.84	5.91	S
PRUDENTIAL FINANCIAL INC	3	11/19/09	145.4	10/27/10	160.7	15.3	S
PRUDENTIAL FINANCIAL INC	1	11/19/09	48.47	02/18/10	51.02	2.55	S
PRUDENTIAL FINANCIAL INC	1	04/12/10	63.69	10/27/10	53.57	-10.12	S
PUBLIC SERVICE ENTERPRSE GROUP INC	2	03/12/09	47.62	02/18/10	61.9	14.28	S
PUBLIC SERVICE ENTERPRSE GROUP INC	2	04/01/09	59.02	02/18/10	61.9	2.88	S
QUALCOMM INC	16	10/23/08	538.88	01/28/10	649.78	110.9	L
QUALCOMM INC	1	10/23/08	33.68	01/13/10	48.81	15.13	L
QUALCOMM INC	8	10/23/08	269.44	01/28/10	331.29	61.85	L
QUALCOMM INC	3	10/30/08	118.28	01/28/10	124.24	5.96	L
QUALCOMM INC	9	10/31/08	348.53	01/29/10	347.73	-0.8	L
QUALCOMM INC	12	04/02/09	496.27	01/29/10	463.65	-32.62	S
QUALCOMM INC	7	04/02/09	289.49	01/29/10	280.22	-9.27	S
QUALCOMM INC	10	09/08/09	459.65	01/29/10	400.31	-59.34	S
QUALCOMM INC	5	09/09/09	232.12	01/29/10	200.15	-31.97	S
QUEST DIAGNOSTICS INC	3	05/03/10	170.53	05/26/10	155.89	-14.64	S
QUEST DIAGNOSTICS INC	1	05/03/10	56.84	06/02/10	52.22	-4.62	S
QUEST DIAGNOSTICS INC	2	05/03/10	113.69	06/01/10	103.7	-9.99	S
RAYTHEON CO NEW	4	09/29/09	192.59	02/18/10	221.95	29.36	S
RAYTHEON CO NEW	6	10/06/09	278.57	11/24/10	281.88	3.31	L
RAYTHEON CO NEW	1	10/06/09	46.43	02/18/10	55.49	9.06	S
RAYTHEON CO NEW	9	10/12/09	412.51	11/24/10	422.82	10.31	L
RAYTHEON CO NEW	1	10/28/09	46.15	11/24/10	46.98	0.83	L
RAYTHEON CO NEW	3	10/28/09	138.44	11/29/10	138.44	0	L
RAYTHEON CO NEW	8	07/21/10	387.24	11/29/10	369.16	-18.08	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
RAYTHEON CO NEW	2	07/21/10	96.81	12/02/10	95.35	-1.46	S
RED HAT INC	1	04/24/09	18.08	02/24/10	28.26	10.18	S
RED HAT INC	3	04/24/09	54.24	02/18/10	87.29	33.05	S
RED HAT INC	3	11/13/09	84.44	03/04/10	89.53	5.09	S
RED HAT INC	3	11/13/09	84.44	02/24/10	84.78	0.34	S
RED HAT INC	4	11/19/09	110.67	03/10/10	121.13	10.46	S
RED HAT INC	1	11/19/09	27.67	03/04/10	29.84	2.17	S
RED HAT INC	1	11/19/09	27.67	03/19/10	30.17	2.5	S
REINSURANCE GROUP AMERINC NEW	2	09/28/09	90.34	02/18/10	93.6	3.26	S
REINSURANCE GROUP AMERINC NEW	4	10/06/09	181.18	02/18/10	187.19	6.01	S
RENAISSANCERE HOLDINGS LTD	1	07/31/09	50.39	02/18/10	54.29	3.9	S
RENAISSANCERE HOLDINGS LTD	3	09/23/09	160.05	02/18/10	162.87	2.82	S
RENAISSANCERE HOLDINGS LTD	3	10/07/09	170	02/18/10	162.87	-7.13	S
RENAISSANCERE HOLDINGS LTD	3	08/12/10	167.49	11/10/10	183.83	16.34	S
RESEARCH IN MOTION LTD CAD	9	02/16/10	627.91	04/05/10	605.24	-22.67	S
ROCHE HLDG LTD SPONS ADR SWITZ ADR	8	10/24/08	287.16	09/07/10	281.43	-5.73	L
ROCHE HLDG LTD SPONS ADR SWITZ ADR	1	10/24/08	35.9	01/14/10	44.62	8.72	L
ROCHE HLDG LTD SPONS ADR SWITZ ADR	2	03/03/09	53.94	09/07/10	70.36	16.42	L
ROWAN COMPANIES INC	5	02/01/10	110.53	02/12/10	115.98	5.45	S
ROWAN COMPANIES INC	1	02/02/10	22.61	02/12/10	23.2	0.59	S
ROWAN COMPANIES INC	5	02/02/10	113.05	02/18/10	123.06	10.01	S
ROWAN COMPANIES INC	3	03/29/10	82.93	04/21/10	95.27	12.34	S
ROWAN COMPANIES INC	1	03/29/10	27.64	09/13/10	30.28	2.64	S
ROWAN COMPANIES INC	4	03/31/10	115.88	09/13/10	121.12	5.24	S
ROWAN COMPANIES INC	1	05/20/10	23.05	09/14/10	29.74	6.69	S
ROWAN COMPANIES INC	3	05/20/10	69.16	09/13/10	90.84	21.68	S
ROWAN COMPANIES INC	4	05/26/10	99	09/14/10	118.96	19.96	S
ROWAN COMPANIES INC	1	06/09/10	23.55	09/14/10	29.74	6.19	S
ROWAN COMPANIES INC	4	06/09/10	94.19	09/15/10	120.46	26.27	S
ROWAN COMPANIES INC	1	07/21/10	24.68	09/15/10	30.11	5.43	S
ROWAN COMPANIES INC	5	07/21/10	123.4	09/27/10	148.68	25.28	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ROWAN COMPANIES INC	5	07/21/10	123.4	09/23/10	147.56	24.16	S
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	4	07/01/10	93.35	08/06/10	116.99	23.64	S
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	4	07/02/10	94.07	08/06/10	116.99	22.92	S
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	4	07/13/10	99.47	08/06/10	116.99	17.52	S
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	16	07/19/10	374.82	08/06/10	467.94	93.12	S
ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD	6	07/21/10	148.06	08/06/10	175.48	27.42	S
SAFEWAY INC	2	01/21/10	46.05	02/18/10	46.81	0.76	S
SALESFORCE.COM INC	2	03/04/10	140.35	04/12/10	161.29	20.94	S
SANDISK CORP	4	02/24/10	113.2	03/03/10	128.83	15.63	S
SANDISK CORP	4	02/25/10	111.92	03/03/10	128.83	16.91	S
SANDISK CORP	8	09/23/10	287.12	12/01/10	370.47	83.35	S
SANDISK CORP	4	10/04/10	144.15	12/01/10	185.24	41.09	S
SEARS HOLDINGS CORP	3	10/06/09	200.88	01/13/10	308.46	107.58	S
SEARS HOLDINGS CORP	4	10/07/09	270.46	01/13/10	411.27	140.81	S
SEARS HOLDINGS CORP	3	10/08/09	205.8	01/13/10	308.46	102.66	S
SEARS HOLDINGS CORP	3	10/12/09	207.78	01/13/10	308.46	100.68	S
SEARS HOLDINGS CORP	3	10/15/09	216.28	01/13/10	308.46	92.18	S
SEARS HOLDINGS CORP	3	10/21/09	212.91	01/13/10	308.46	95.55	S
SEARS HOLDINGS CORP	3	10/28/09	208.65	01/13/10	309.99	101.34	S
SECOM LTD ADR JAPAN ADR	6	10/24/08	438.9	07/22/10	561.44	122.54	L
SECOM LTD ADR JAPAN ADR	2	10/24/08	146.3	03/30/10	174.24	27.94	L
SECOM LTD ADR JAPAN ADR	2	08/10/09	172.87	07/22/10	187.15	14.28	S
SECOM LTD ADR JAPAN ADR	1	02/22/10	91	07/22/10	93.57	2.57	S
SEMPRA ENERGY	7	10/24/08	262.01	02/18/10	337.32	75.31	L
SEMPRA ENERGY	7	10/24/08	262.01	05/26/10	318.12	56.11	L
SEMPRA ENERGY	8	10/24/08	299.44	05/10/10	377.88	78.44	L
SEMPRA ENERGY	3	10/31/08	126.48	06/03/10	138.24	11.76	L
SEMPRA ENERGY	1	10/31/08	42.16	05/26/10	45.45	3.29	L
SEMPRA ENERGY	4	11/04/08	175.98	06/03/10	184.31	8.33	L
SEMPRA ENERGY	5	12/17/08	207.76	08/06/10	258.04	50.28	L
SEMPRA ENERGY	1	03/29/10	50.4	08/12/10	51.4	1	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
SEMPRA ENERGY	5	03/29/10	252	08/06/10	258.04	6.04	S
SEMPRA ENERGY	3	04/12/10	151.56	08/12/10	154.2	2.64	S
SEMPRA ENERGY	3	04/13/10	150.38	08/12/10	154.2	3.82	S
SEMPRA ENERGY	1	04/14/10	50.15	08/13/10	51.37	1.22	S
SEMPRA ENERGY	2	04/14/10	100.29	08/12/10	102.8	2.51	S
SEMPRA ENERGY	2	04/19/10	98.82	08/13/10	102.73	3.91	S
SEMPRA ENERGY	2	04/26/10	100.81	08/13/10	102.73	1.92	S
SEMPRA ENERGY	5	07/21/10	248.5	08/20/10	252.15	3.65	S
SEMPRA ENERGY	1	07/21/10	49.7	08/13/10	51.37	1.67	S
SHERWIN WILLIAMS CO	4	09/23/10	301.02	11/18/10	291.45	-9.57	S
SHERWIN WILLIAMS CO	4	09/27/10	302.81	11/18/10	291.45	-11.36	S
SHERWIN WILLIAMS CO	3	09/28/10	229.59	11/22/10	222.24	-7.35	S
SHERWIN WILLIAMS CO	2	10/01/10	148.02	11/22/10	148.16	0.14	S
SHERWIN WILLIAMS CO	2	10/04/10	147.14	11/29/10	146.81	-0.33	S
SHERWIN WILLIAMS CO	1	10/05/10	74.3	12/03/10	76.62	2.32	S
SHERWIN WILLIAMS CO	1	10/05/10	74.3	11/29/10	73.41	-0.89	S
SKECHERS USA INC CL A	6	09/07/10	164.05	10/04/10	132.4	-31.65	S
SKECHERS USA INC CL A	7	09/07/10	191.39	10/01/10	157.5	-33.89	S
SMITH & NEPHEW PLC NEW SPON ADR	15	10/24/08	581.43	09/13/10	644.63	63.2	L
SMITH & NEPHEW PLC NEW SPON ADR	1	10/24/08	38.76	01/14/10	53.01	14.25	L
SMITH & NEPHEW PLC NEW SPON ADR	3	02/22/10	154.71	09/13/10	128.93	-25.78	S
SMITH & NEPHEW PLC NEW SPON ADR	1	07/20/10	44.5	09/13/10	42.98	-1.52	S
SMITHFIELD FOODS INC	5	05/03/10	95.31	09/01/10	81.84	-13.47	S
SMITHFIELD FOODS INC	4	06/11/10	67.57	09/01/10	65.47	-2.1	S
SONY CORP ADR NEW JAPAN ADR	1	12/23/09	29.34	01/14/10	33.71	4.37	S
SOUTHERN COPPER CORP	7	01/06/10	250.83	03/23/10	221.45	-29.38	S
SOUTHERN COPPER CORP	3	01/06/10	107.5	02/18/10	92.33	-15.17	S
SOUTHERN COPPER CORP	4	01/13/10	136.23	03/23/10	126.55	-9.68	S
SPDR DOW JONES REIT ETF	89	10/23/08	3,558.09	07/16/10	4,645.72	1,087.63	L
SPDR DOW JONES REIT ETF	90	10/23/08	3,598.07	02/18/10	4,319.94	721.87	L
SPDR DOW JONES REIT ETF	15	10/23/08	599.68	01/14/10	739.49	139.81	L

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
SPDR SER TRUST BARCLAYS CAPITAL HIGH YIELD ETF	54	02/22/10	2,098.98	07/16/10	2,093.03	-5.95	S
SPX CORPORATION	1	08/13/09	58.04	02/18/10	59.35	1.31	S
SPX CORPORATION	2	08/17/09	109.23	05/03/10	140.53	31.3	S
SPX CORPORATION	1	08/17/09	54.61	05/06/10	65.31	10.7	S
SPX CORPORATION	1	09/10/09	60.35	05/06/10	65.31	4.96	S
SPX CORPORATION	2	09/10/09	120.7	05/10/10	131.02	10.32	S
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	19	10/23/08	361.11	07/19/10	817.36	456.25	L
STATE STREET CORP	14	02/01/10	612.88	03/29/10	647.26	34.38	S
STATE STREET CORP	4	02/01/10	175.11	02/18/10	180.76	5.65	S
STATE STREET CORP	2	02/24/10	90.4	03/31/10	90.89	0.49	S
STATE STREET CORP	12	04/22/10	522.94	07/30/10	466.27	-56.67	S
STATE STREET CORP	4	04/28/10	173.86	07/30/10	155.42	-18.44	S
STATE STREET CORP	3	07/19/10	110.57	07/30/10	116.57	6	S
STATE STREET CORP	10	07/21/10	391.4	07/30/10	388.56	-2.84	S
STHN UNION COS NEW	4	06/09/09	68.81	01/08/10	91.72	22.91	S
STHN UNION COS NEW	2	07/17/09	37.64	01/08/10	45.86	8.22	S
STHN UNION COS NEW	2	07/17/09	37.64	04/12/10	52.44	14.8	S
STHN UNION COS NEW	3	07/17/09	56.46	02/18/10	69.5	13.04	S
STHN UNION COS NEW	4	09/17/09	84.66	04/19/10	102.95	18.29	S
STHN UNION COS NEW	2	09/17/09	42.33	04/12/10	52.44	10.11	S
STHN UNION COS NEW	2	09/17/09	42.33	04/21/10	52.6	10.27	S
STRABAG AG EUR	2	10/24/08	490.3	01/27/10	409.43	-80.87	L
STRABAG AG EUR	1	09/18/09	257.85	01/27/10	204.72	-53.13	S
STRAITS ASIA RES LTD ADR	11	07/28/10	339.43	12/17/10	410.65	71.22	S
STRAYER EDUCATION INC	1	11/12/08	214.57	02/18/10	216.77	2.2	L
STRAYER EDUCATION INC	1	11/13/09	194.07	02/18/10	216.77	22.7	S
STRAYER EDUCATION INC	1	08/12/10	210.15	10/27/10	128.07	-82.08	S
STRAYER EDUCATION INC	1	10/05/10	169.77	10/27/10	128.07	-41.7	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	16	09/29/09	53.6	01/14/10	50.39	-3.21	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	42	09/29/09	140.7	06/09/10	117.3	-23.4	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	30	09/29/09	100.5	06/08/10	84.59	-15.91	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	5	09/30/09	17.28	06/09/10	13.96	-3.32	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	43	10/09/09	163.66	06/09/10	120.09	-43.57	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	5	10/09/09	19.36	08/13/10	14.84	-4.52	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	8	10/09/09	30.45	08/13/10	23.75	-6.7	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	102	10/12/09	393.26	08/16/10	297.45	-95.81	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	54	10/12/09	208.2	08/13/10	160.31	-47.89	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	5	10/20/09	17.82	08/16/10	14.58	-3.24	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	46	10/21/09	163.57	08/16/10	134.14	-29.43	S
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	19	01/14/10	60.59	08/16/10	55.41	-5.18	S
SYMANTEC CORP	6	07/31/09	90.67	02/18/10	102.35	11.68	S
SYMANTEC CORP	4	07/31/09	60.44	07/30/10	51.67	-8.77	S
SYMANTEC CORP	9	08/06/09	136.53	07/30/10	116.26	-20.27	S
SYMANTEC CORP	5	09/15/09	78.53	08/06/10	64.91	-13.62	S
SYMANTEC CORP	5	09/15/09	78.53	07/30/10	64.59	-13.94	S
SYMANTEC CORP	12	07/21/10	177.96	08/06/10	155.79	-22.17	S
SYNGENTA AG SPON ADR	1	10/24/08	29.76	01/14/10	55.1	25.34	L
SYNNEX CORP	2	09/28/09	61.94	02/18/10	58.25	-3.69	S
TELE NORTE LESTE PARTICIPACOES S A ADR	1	10/24/08	10.85	01/14/10	20.77	9.92	L
TESCO PLC SPONS ADR UNITED KINGDOM	33	10/24/08	497.7	06/22/10	558.81	61.11	L
TESCO PLC SPONS ADR UNITED KINGDOM	1	10/24/08	15.08	01/14/10	20.58	5.5	L
TESCO PLC SPONS ADR UNITED KINGDOM	6	02/22/10	118.2	06/22/10	101.6	-16.6	S
THE DIRECTV GROUP CL A	7	06/30/09	173.32	02/18/10	229.12	55.8	S
THE DIRECTV GROUP CL A	4	07/01/09	99.57	07/19/10	141.7	42.13	L
THE DIRECTV GROUP CL A	4	07/01/09	99.57	02/18/10	130.93	31.36	S
THE DIRECTV GROUP CL A	21	02/01/10	659.07	07/19/10	743.91	84.84	S
THE DIRECTV GROUP CL A	1	02/08/10	30.42	07/19/10	35.42	5	S
TIFFANY & CO NEW	5	01/12/10	231.19	07/19/10	193.8	-37.39	S
TIFFANY & CO NEW	5	01/14/10	227.97	07/19/10	193.8	-34.17	S
TIFFANY & CO NEW	3	01/22/10	123.59	07/19/10	116.28	-7.31	S
TIFFANY & CO NEW	3	01/26/10	125.15	07/19/10	116.28	-8.87	S
TIFFANY & CO NEW	4	03/22/10	186.5	07/19/10	155.04	-31.46	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
TIFFANY & CO NEW	2	07/14/10	82	07/19/10	77.52	-4.48	S
TJX COS INC NEW	6	05/25/10	265.46	07/19/10	247.67	-17.79	S
TJX COS INC NEW	5	05/27/10	227.91	07/19/10	206.4	-21.51	S
TJX COS INC NEW	10	06/10/10	460.83	07/19/10	412.79	-48.04	S
TJX COS INC NEW	23	06/18/10	1,066.58	07/19/10	949.42	-117.16	S
TOSHIBA CORP ADR	12	07/23/09	294.01	05/26/10	352.65	58.64	S
TOSHIBA CORP ADR	1	07/23/09	24.5	01/14/10	35.04	10.54	S
TOSHIBA CORP ADR	2	07/28/09	50.41	08/25/10	57.21	6.8	L
TOSHIBA CORP ADR	6	07/28/09	151.24	08/16/10	171.56	20.32	L
TOSHIBA CORP ADR	5	07/31/09	132.71	08/25/10	143.01	10.3	L
TRANSOCEAN LTD CHF	4	02/09/09	242.98	04/12/10	343.45	100.47	L
TRANSOCEAN LTD CHF	1	02/09/09	61.48	04/21/10	89.94	28.46	L
TRANSOCEAN LTD CHF	3	02/09/09	184.44	04/12/10	257.59	73.15	L
TRANSOCEAN LTD CHF	5	02/10/09	293.93	04/30/10	356.55	62.62	L
TRANSOCEAN LTD CHF	6	02/10/09	352.72	04/21/10	539.67	186.95	L
TRANSOCEAN LTD CHF	11	02/13/09	669.56	04/30/10	801.25	131.69	L
TRANSOCEAN LTD CHF	1	02/13/09	60.87	04/30/10	71.31	10.44	L
TRANSOCEAN LTD CHF	2	02/20/09	117.89	05/03/10	143.07	25.18	L
TRANSOCEAN LTD CHF	5	02/20/09	294.73	04/30/10	364.21	69.48	L
TRANSOCEAN LTD CHF	6	02/27/09	358.38	05/03/10	429.22	70.84	L
TRANSOCEAN LTD CHF	2	11/23/09	169.88	05/03/10	143.07	-26.81	S
TRANSOCEAN LTD CHF	5	02/23/10	421.8	05/03/10	357.68	-64.12	S
TRANSOCEAN LTD CHF	5	04/20/10	460.47	12/16/10	345.75	-114.72	S
TRAVELERS COS INC/THE	1	01/30/09	38.96	05/10/10	50.09	11.13	L
TRAVELERS COS INC/THE	4	02/04/09	157.08	05/10/10	200.36	43.28	L
ULTRA ELECTRONICS HLDGS PLC ADR	8	04/16/09	71.41	02/16/10	80.55	9.14	S
ULTRA ELECTRONICS HLDGS PLC ADR	4	04/16/09	35.71	02/17/10	40.46	4.75	S
ULTRA ELECTRONICS HLDGS PLC ADR	23	04/17/09	204.37	02/17/10	232.66	28.29	S
ULTRA ELECTRONICS HLDGS PLC ADR	2	04/17/09	17.77	02/18/10	20.22	2.45	S
ULTRA ELECTRONICS HLDGS PLC ADR	12	04/20/09	103.38	02/18/10	121.34	17.96	S
ULTRA ELECTRONICS HLDGS PLC ADR	9	04/20/09	77.54	02/19/10	89.12	11.58	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
ULTRA PETROLEUM CORP (CANADA) ORD CAD	2	12/04/09	94.6	04/05/10	96.69	2.09	S
ULTRA PETROLEUM CORP (CANADA) ORD CAD	5	12/04/09	236.5	03/31/10	234.96	-1.54	S
ULTRA PETROLEUM CORP (CANADA) ORD CAD	1	12/04/09	47.3	02/18/10	48.75	1.45	S
ULTRA PETROLEUM CORP (CANADA) ORD CAD	1	12/16/09	50.87	04/05/10	48.34	-2.53	S
ULTRA PETROLEUM CORP (CANADA) ORD CAD	2	12/16/09	101.74	04/12/10	98.13	-3.61	S
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	1	10/24/08	23.36	01/14/10	32.33	8.97	L
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	13	10/24/08	303.64	02/05/10	371.95	68.31	L
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	8	10/24/08	186.86	02/03/10	250.15	63.29	L
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	15	06/05/09	370.47	02/05/10	429.17	58.7	S
UNION PACIFIC CORP	3	01/22/09	123.8	04/14/10	230.99	107.19	L
UNION PACIFIC CORP	1	01/22/09	41.27	01/13/10	67.49	26.22	S
UNION PACIFIC CORP	3	02/20/09	120.17	07/19/10	203.58	83.41	L
UNION PACIFIC CORP	5	02/20/09	200.29	04/14/10	384.99	184.7	L
UNION PACIFIC CORP	3	02/24/09	117.32	07/19/10	203.58	86.26	L
UNION PACIFIC CORP	6	02/27/09	229.33	07/19/10	407.16	177.83	L
UNION PACIFIC CORP	9	03/10/09	320.36	07/19/10	610.74	290.38	L
UNION PACIFIC CORP	11	03/11/09	404.19	07/19/10	746.46	342.27	L
UNION PACIFIC CORP	10	03/12/09	358.64	07/19/10	678.6	319.96	L
UNION PACIFIC CORP	5	04/03/09	230.12	07/19/10	339.3	109.18	L
UNION PACIFIC CORP	10	02/23/10	662.2	07/19/10	678.6	16.4	S
UNIT CORP	1	05/26/10	39.24	06/09/10	43.17	3.93	S
UNIT CORP	2	05/26/10	78.48	06/07/10	86.46	7.98	S
UNITED PARCEL SERVICE INC CL B	1	01/20/10	60.94	02/18/10	57.08	-3.86	S
UNITEDHEALTH GROUP INC	4	07/15/09	98.89	02/18/10	130.88	31.99	S
UNITEDHEALTH GROUP INC	8	07/21/09	202.64	02/18/10	261.75	59.11	S
UNIVERSAL CORP VA	2	11/11/09	91.01	06/11/10	80.28	-10.73	S
UNIVERSAL CORP VA	2	11/11/09	91.01	02/18/10	106.45	15.44	S
UNIVERSAL CORP VA	3	11/13/09	136.45	06/25/10	117.17	-19.28	S
UNIVERSAL CORP VA	1	11/13/09	45.48	06/11/10	40.14	-5.34	S
UNTD TECHNOLOGIES CORP	5	01/13/10	360.37	03/17/10	361.06	0.69	S
UNTD TECHNOLOGIES CORP	1	01/13/10	72.07	02/18/10	68.1	-3.97	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
US BANCORP DEL (NEW)	1	03/20/09	13.81	01/13/10	24.7	10.89	S
US BANCORP DEL (NEW)	17	03/20/09	234.81	07/19/10	386.08	151.27	L
US BANCORP DEL (NEW)	33	03/25/09	525.77	07/19/10	749.45	223.68	L
US BANCORP DEL (NEW)	16	04/03/09	246.72	07/19/10	363.37	116.65	L
US BANCORP DEL (NEW)	8	11/11/09	195.34	07/19/10	181.68	-13.66	S
US BANCORP DEL (NEW)	10	02/23/10	243.29	07/19/10	227.11	-16.18	S
US BANCORP DEL (NEW)	22	04/21/10	619.32	07/19/10	499.63	-119.69	S
US BANCORP DEL (NEW)	15	04/22/10	409.09	07/19/10	340.66	-68.43	S
US BANCORP DEL (NEW)	14	07/09/10	331.37	07/19/10	317.95	-13.42	S
VALEANT PHARMACEUTICALS *MERGER EFF 09/2010*	1	07/01/09	25.83	06/28/10	53.13	27.3	S
VALEANT PHARMACEUTICALS *MERGER EFF 09/2010*	2	07/01/09	51.65	06/25/10	103.67	52.02	S
VALEANT PHARMACEUTICALS *MERGER EFF 09/2010*	1	07/01/09	25.83	02/18/10	36.67	10.84	S
VISA INC CL A	1	05/28/09	66.15	04/19/10	93.18	27.03	S
VISA INC CL A	1	05/28/09	66.15	01/13/10	86.59	20.44	S
VISA INC CL A	1	05/29/09	67.04	05/04/10	88.39	21.35	S
VISA INC CL A	5	05/29/09	335.2	04/19/10	465.89	130.69	S
VISA INC CL A	6	06/01/09	400.23	05/14/10	461.23	61	S
VISA INC CL A	3	06/01/09	200.11	05/04/10	265.17	65.06	S
VISA INC CL A	2	06/02/09	133.71	05/19/10	146.12	12.41	S
VISA INC CL A	4	06/02/09	267.41	05/14/10	307.48	40.07	S
VISA INC CL A	3	06/18/09	182.85	05/19/10	219.19	36.34	S
VISA INC CL A	1	12/02/09	82.98	05/19/10	73.06	-9.92	S
VISA INC CL A	3	12/02/09	248.95	05/20/10	222.38	-26.57	S
VISA INC CL A	11	02/08/10	916.5	05/20/10	815.4	-101.1	S
VISA INC CL A	2	02/08/10	166.64	09/15/10	137.64	-29	S
VISA INC CL A	8	02/12/10	671.54	09/15/10	550.57	-120.97	S
VISA INC CL A	6	02/18/10	521.88	09/15/10	412.93	-108.95	S
VISA INC CL A	1	02/23/10	86.26	09/15/10	68.82	-17.44	S
VISA INC CL A	4	02/23/10	345.04	09/23/10	284.28	-60.76	S
VISA INC CL A	6	04/21/10	559.68	09/23/10	426.43	-133.25	S
VISA INC CL A	3	05/03/10	268.38	09/23/10	213.21	-55.17	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
VISA INC CL A	2	06/03/10	143.7	09/23/10	142.14	-1.56	S
VISA INC CL A	2	06/07/10	146.5	09/23/10	142.14	-4.36	S
VISA INC CL A	2	06/09/10	146.74	09/27/10	146.64	-0.1	S
VISA INC CL A	4	06/24/10	308.27	09/27/10	293.28	-14.99	S
VISA INC CL A	4	06/28/10	303.38	09/27/10	293.28	-10.1	S
VISA INC CL A	3	07/19/10	214.62	09/28/10	219.78	5.16	S
VISA INC CL A	4	07/19/10	286.16	09/27/10	293.28	7.12	S
VISA INC CL A	11	07/21/10	802.23	10/01/10	807.06	4.83	S
VISA INC CL A	8	07/21/10	583.44	09/28/10	586.09	2.65	S
VISA INC CL A	1	07/21/10	72.93	10/05/10	73.98	1.05	S
VISA INC CL A	5	07/21/10	364.65	10/04/10	366.74	2.09	S
VISHAY PRECISION GROUP INC COM	1	04/21/10	15.56	07/08/10	11.99	-3.57	S
VISHAY PRECISION GROUP INC COM	0.29	04/21/10	4.44	07/07/10	3.25	-1.19	S
VODAFONE GROUP PLC NEW SPON ADR	2	10/24/08	32.38	01/14/10	44.81	12.43	L
VODAFONE GROUP PLC NEW SPON ADR	17	10/24/08	275.21	05/26/10	329.05	53.84	L
VODAFONE GROUP PLC NEW SPON ADR	27	10/24/08	437.1	05/21/10	508.96	71.86	L
VODAFONE GROUP PLC NEW SPON ADR	8	10/24/08	129.51	10/05/10	205.98	76.47	L
VODAFONE GROUP PLC NEW SPON ADR	3	03/03/09	49.72	10/05/10	77.24	27.52	L
VODAFONE GROUP PLC NEW SPON ADR	9	03/03/09	149.17	12/07/10	235.25	86.08	L
WAL MART STORES INC	41	10/20/10	2,200.52	11/22/10	2,224.88	24.36	S
WAL MART STORES INC	8	10/27/10	430.85	11/22/10	434.12	3.27	S
WAL MART STORES INC	16	11/05/10	880.24	11/22/10	868.25	-11.99	S
WAL MART STORES INC	5	11/12/10	270.16	11/22/10	271.33	1.17	S
WAL MART STORES INC	6	11/15/10	325.08	11/22/10	325.59	0.51	S
WALGREEN CO	26	11/18/10	896.34	12/07/10	944.37	48.03	S
WALGREEN CO	22	11/22/10	773.11	12/13/10	800.18	27.07	S
WALGREEN CO	1	11/22/10	35.14	12/07/10	36.32	1.18	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	15	04/12/10	539.66	07/19/10	496.44	-43.22	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	15	04/20/10	548.46	07/19/10	496.44	-52.02	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	15	04/21/10	548.5	07/19/10	496.44	-52.06	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	15	04/22/10	548.81	07/19/10	496.44	-52.37	S

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
WALT DISNEY CO (HOLDING CO) DISNEY COM	7	05/04/10	256.41	07/19/10	231.67	-24.74	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	4	05/10/10	141.33	07/19/10	132.39	-8.94	S
WALT DISNEY CO (HOLDING CO) DISNEY COM	13	05/25/10	419.81	07/19/10	430.25	10.44	S
WELLPOINT INC	6	06/29/09	308.85	02/18/10	357.05	48.2	S
WELLS FARGO & CO NEW	7	05/04/09	162.14	07/19/10	180.71	18.57	L
WELLS FARGO & CO NEW	1	05/04/09	23.16	01/13/10	28.47	5.31	S
WELLS FARGO & CO NEW	27	05/05/09	619.58	07/19/10	697.02	77.44	L
WELLS FARGO & CO NEW	57	05/08/09	1,534.89	07/19/10	1,471.48	-63.41	L
WELLS FARGO & CO NEW	13	08/06/09	366.73	07/19/10	335.6	-31.13	S
WELLS FARGO & CO NEW	17	10/01/09	466.24	07/19/10	438.86	-27.38	S
WELLS FARGO & CO NEW	15	02/23/10	410.88	07/19/10	387.23	-23.65	S
WELLS FARGO & CO NEW	4	03/05/10	115.59	07/19/10	103.26	-12.33	S
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	1	12/30/08	21.9	07/19/10	26.72	4.82	L
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	4	12/31/08	87.79	07/19/10	106.88	19.09	L
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	5	03/18/09	81.25	07/19/10	133.6	52.35	L
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	15	08/28/09	367.52	07/19/10	400.79	33.27	S
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	5	02/23/10	133.55	07/19/10	133.6	0.05	S
WHIRLPOOL CORP	2	12/16/09	154.97	02/18/10	169.39	14.42	S
WSTN DIGITAL CORP	1	06/25/10	31.48	07/13/10	32	0.52	S
WSTN DIGITAL CORP	3	06/25/10	94.43	07/01/10	89.6	-4.83	S
WSTN DIGITAL CORP	3	06/28/10	94.83	07/13/10	96	1.17	S
WYNN RESORTS LTD	6	07/14/10	495.38	07/19/10	465.98	-29.4	S
XL CAPITAL LTD CL A *NAME CHANGE EFF: 07/20*	13	11/02/09	216.89	05/26/10	226.61	9.72	S
XL CAPITAL LTD CL A *NAME CHANGE EFF: 07/20*	4	11/12/09	71.48	05/26/10	69.72	-1.76	S
XL GROUP PLC SHS	5	11/12/09	89.35	07/02/10	79.52	-9.83	S
XL GROUP PLC SHS	1	02/12/10	17.35	07/02/10	15.91	-1.44	S
YAHOO INC	1	09/28/09	17.38	01/13/10	16.84	-0.54	S
YAHOO INC	7	09/28/09	121.69	03/02/10	110.57	-11.12	S
YAHOO INC	14	09/29/09	243.73	03/02/10	221.15	-22.58	S
YAHOO INC	27	09/30/09	469.49	03/04/10	423.44	-46.05	S
YAHOO INC	5	02/23/10	76	03/04/10	78.41	2.41	S

The Harman Cain Family Foundation

Statement 11

Security	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	cent Term
YAHOO JAPAN CORP ADR	6	07/29/09	684.64	03/31/10	725.89	41.25	S
YAHOO JAPAN CORP ADR	1	10/28/09	105.47	03/31/10	120.98	15.51	S
YAHOO JAPAN CORP ADR	1	02/22/10	116.85	03/31/10	120.98	4.13	S
YAHOO JAPAN CORP ADR	7	10/07/10	837.11	12/08/10	871.41	34.3	S
3M CO	1	11/11/09	77.92	02/18/10	80.91	2.99	S
3M CO	6	11/11/09	467.52	06/15/10	474.16	6.64	S
TOTAL REALIZED GAIN/(LOSS):			482,382.44		640,602.85	158,220.41	

Statement 12

The Harman Cain Family Foundation
Listing of Assets

Statement 12

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	16 08	6 50				
RMA MONEY MKT PORTFOLIO	10,847 86	12,226 99	1 00	0 01%	Nov 23 to Dec 26	34
Total	**$10,863.94**	**$12,233.49**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ACTELION LTD ALLSCHWIL CHF								
Symbol ALIOF Exchange OTC	Oct 24, 08	17 000	46 160	784 72	52 170	886 89	102 17	LT
	Feb 22, 10	4 000	51 165	204 66	52 170	208 68	4 02	ST
Security total		21 000		989 38		1,095 57	106 19	
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI $11 Current yield 2 17%	Nov 29, 10	9 000	51 913	467 22	56 430	507 87	40 65	ST
AGILENT TECHNOLOGIES INC								
Symbol A Exchange NYSE	Oct 20, 10	12 000	34 633	415 60	41 430	497 16	81 56	ST
	Oct 25, 10	11 000	35 008	385 09	41 430	455 73	70 64	ST
	Nov 5, 10	9 000	36 386	327 48	41 430	372 87	45 39	ST
	Nov 15, 10	8 000	35 931	287 45	41 430	331 44	43 99	ST
Security total		40 000		1,415 62		1,657 20	241 58	
AIR LIQUIDE ADR FRANCE ADR								
Symbol AIQUY Exchange OTC	Oct 24, 08	23 000	14 516	333 88	25 550	587 65	253 77	LT
	Jul 30, 09	9 000	20 305	182 75	25 550	229 95	47 20	LT
	Feb 22, 10	6 000	19 185	115 11	25 550	153 30	38 19	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		38 000		631 74		970 90	339 16	
ALASKA AIR GROUP INC								
Symbol ALK Exchange NYSE	Sep 23, 09	4 000	27 007	108 03	56 690	226 76	118 73	LT
	Sep 24, 09	6 000	26 041	156 25	56 690	340 14	183 89	LT
	Jul 21, 10	5 000	48 612	243 06	56 690	283 45	40 39	ST
Security total		15 000		507 34		850 35	343 01	
AMADEUS IT HLDG SA EUR								
Symbol AMADF Exchange OTC	Jun 29, 10	44 000	15 682	690 04	21 250	935 00	244 96	ST
AMER TOWER CORP CL A								
Symbol AMT Exchange NYSE	Nov 12, 10	13 000	52 287	679 74	51 640	671 32	-8 42	ST
	Nov 15, 10	11 000	51 556	567 12	51 640	568 04	0 92	ST
	Nov 18, 10	8 000	51 602	412 82	51 640	413 12	0 30	ST
	Nov 22, 10	8 000	51 346	410 78	51 640	413 12	2 34	ST
	Nov 29, 10	6 000	50 755	304 53	51 640	309 84	5 31	ST
Security total		46 000		2,374 99		2,375 44	0 45	
AMERICAN FINANCIAL GROUP INC (HOLDING CO)								
Symbol AFG Exchange NYSE								
EAI $5 Current yield 1 94%	Mar 29, 10	4 000	28 473	113 89	32 290	129 16	15 27	ST
	Jul 21, 10	4 000	29 040	116 16	32 290	129 16	13 00	ST
Security total		8 000		230 05		258 32	28 27	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI $89 Current yield 1 26%	Oct 12, 09	14 000	36 901	516 62	57 550	805 70	289 08	LT
	Oct 15, 09	5 000	37 012	185 06	57 550	287 75	102 69	LT
	Oct 19, 09	12 000	36 556	438 67	57 550	690 60	251 93	LT
	Oct 21, 09	11 000	36 403	400 44	57 550	633 05	232 61	LT
	Oct 28, 09	11 000	35 613	391 74	57 550	633 05	241 31	LT
	Nov 2, 09	8 000	34 888	279 10	57 550	460 40	181 30	LT
	Nov 9, 09	7 000	38 467	269 27	57 550	402 85	133 58	LT
	Nov 13, 09	6 000	39 156	234 94	57 550	345 30	110 36	LT
	Nov 19, 09	6 000	37 462	224 77	57 550	345 30	120 53	LT
	Jul 21, 10	43 000	38 170	1,641 31	57 550	2,474 65	833 34	ST

continued next page

Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		123 000		4,581 92		7,078 65	2,496 73	
AMP LTD ADR Symbol AMLTY Exchange OTC EAI $54 Current yield 5 38%	Aug 24, 09	17 000	20 540	349 18	21 350	362 95	13 77	LT
	Aug 24, 09	2 000	20 585	41 17	21 350	42 70	1 53	LT
	Sep 30, 09	4 000	22 783	91 13	21 350	85 40	-5 73	LT
	Feb 22, 10	7 000	23 000	161 00	21 350	149 45	-11 55	ST
	Apr 21, 10	13 000	23 854	310 11	21 350	277 55	-32 56	ST
	Jul 20, 10	4 000	18 800	75 20	21 350	85 40	10 20	ST
Security total		47 000		1,027 79		1,003 45	-24 34	
AMPHENOL CORP NEW CL A Symbol APH Exchange NYSE EAI $1 Current yield 0 11%	Nov 12, 09	2 000	42 803	85 61	52 780	105 56	19 95	LT
	Dec 23, 09	4 000	45 662	182 65	52 780	211 12	28 47	LT
	Dec 31, 09	3 000	46 837	140 51	52 780	158 34	17 83	ST
	Jan 13, 10	3 000	44 250	132 75	52 780	158 34	25 59	ST
	Jul 21, 10	6 000	42 530	255 18	52 780	316 68	61 50	ST
Security total		18 000		796 70		950 04	153 34	
ANGLO AMERN PLC NEW ADR Symbol AAUKY Exchange OTC EAI $11 Current yield 0 39%	Aug 24, 09	26 000	16 862	438 43	26 110	678 86	240 43	LT
	Sep 9, 09	23 000	16 719	384 54	26 110	600 53	215 99	LT
	Sep 22, 09	29 000	16 831	488 10	26 110	757 19	269 09	LT
	Feb 22, 10	11 000	18 950	208 45	26 110	287 21	78 76	ST
	Jul 20, 10	6 000	17 887	107 33	26 110	156 66	49 33	ST
	Aug 3, 10	7 000	20 658	144 61	26 110	182 77	38 16	ST
	Nov 9, 10	7 000	24 083	168 59	26 110	182 77	14 18	ST
Security total		109 000		1,940 05		2,845 99	905 94	
ANHEUSER BUSCH INBEV SPON ADR Symbol BUD Exchange· NYSE EAI $9 Current yield 0 69%	May 6, 10	19 000	45 820	870 59	57 090	1,084 71	214 12	ST
	May 19, 10	2 000	48 053	96 11	57 090	114 18	18 07	ST
	Jul 20, 10	2 000	51 950	103 90	57 090	114 18	10 28	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		23 000		1,070 60		1,313 07	242 47	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI $7 Current yield 0 49%	Jun 25, 09	1 000	73 390	73 39	119 230	119 23	45 84	LT
	Jul 9, 09	8 000	68 422	547 38	119 230	953 84	406 46	LT
	Jul 21, 10	3 000	87 820	263 46	119 230	357 69	94 23	ST
Security total		12 000		884 23		1,430 76	546 53	
ARCHER DANIELS MIDLAND CO								
Symbol ADM Exchange NYSE								
EAI $151 Current yield 1 99%	Dec 16, 09	3 000	31 435	94 31	30 080	90 24	-4 07	LT
	Dec 17, 09	19 000	30 848	586 13	30 080	571 52	-14 61	LT
	Dec 18, 09	17 000	30 454	517 73	30 080	511 36	-6 37	LT
	Dec 23, 09	17 000	31 338	532 76	30 080	511 36	-21 40	LT
	Dec 28, 09	17 000	31 569	536 68	30 080	511 36	-25 32	LT
	Dec 29, 09	16 000	31 655	506 48	30 080	481 28	-25 20	LT
	Dec 30, 09	12 000	31 664	379 97	30 080	360 96	-19 01	LT
	Dec 31, 09	9 000	31 569	284 13	30 080	270 72	-13 41	ST
	Jan 6, 10	9 000	31 558	284 03	30 080	270 72	-13 31	ST
	Jan 8, 10	5 000	30 675	153 38	30 080	150 40	-2 98	ST
	Jan 13, 10	11 000	30 538	335 93	30 080	330 88	-5 05	ST
	Jan 13, 10	4 000	30 640	122 56	30 080	120 32	-2 24	ST
	Jan 20, 10	12 000	30 942	371 31	30 080	360 96	-10 35	ST
	Jan 21, 10	4 000	30 523	122 09	30 080	120 32	-1 77	ST
	Feb 2, 10	4 000	30 995	123 98	30 080	120 32	-3 66	ST
	Jul 21, 10	83 000	27 180	2,255 94	30 080	2,496 64	240 70	ST
	Oct 4, 10	10 000	31 518	315 18	30 080	300 80	-14 38	ST
Security total		252 000		7,522 59		7,580 16	57 57	
ARROW ELECTRONICS INC								
Symbol ARW Exchange NYSE	Feb 1, 10	2 000	26 943	53 89	34 250	68 50	14 61	ST
	Feb 3, 10	5 000	27 607	138 04	34 250	171 25	33 21	ST
	Feb 8, 10	5 000	26 721	133 61	34 250	171 25	37 64	ST
	Mar 29, 10	5 000	29 397	146 99	34 250	171 25	24 26	ST
	Jun 3, 10	4 000	26 610	106 44	34 250	137 00	30 56	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 28, 10	4 000	24 384	97 54	34 250	137 00	39 46	ST
	Jul 21, 10	18 000	22 840	411 12	34 250	616 50	205 38	ST
Security total		43 000		1,087 63		1,472 75	385 12	
ASSOCIATED BRITISH FOODS PLC NEW ADR Symbol ASBFY Exchange OTC EAI $21 Current yield 1 75%	Sep 14, 10	48 000	16 454	789 79	18 750	900 00	110 21	ST
	Dec 21, 10	16 000	18 031	288 50	18 750	300 00	11 50	ST
Security total		64 000		1,078 29		1,200 00	121 71	
ASSURANT INC Symbol AIZ Exchange NYSE EAI $28 Current yield 1 69%	May 3, 10	3 000	36 589	109 77	38 520	115 56	5 79	ST
	May 10, 10	3 000	36 168	108 50	38 520	115 56	7 06	ST
	May 13, 10	3 000	37 321	111 96	38 520	115 56	3 60	ST
	May 26, 10	3 000	34 580	103 74	38 520	115 56	11 82	ST
	Jul 19, 10	13 000	36 240	471 12	38 520	500 76	29 64	ST
	Jul 21, 10	18 000	37 630	677 34	38 520	693 36	16 02	ST
Security total		43 000		1,582 43		1,656 36	73 93	
AT&T INC Symbol T Exchange NYSE EAI $31 Current yield 5 86%	Dec 28, 10	18 000	29 302	527 44	29 380	528 84	1 40	ST
ATLAS AIR WORLDWIDE HOLDINGS INC NEW Symbol AAWW Exchange OTC	Aug 13, 10	3 000	45 778	137 34	55 830	167 49	30 15	ST
	Sep 1, 10	4 000	45 192	180 77	55 830	223 32	42 55	ST
	Oct 5, 10	3 000	50 157	150 47	55 830	167 49	17 02	ST
Security total		10 000		468 58		558 30	89 72	
AUTODESK INC Symbol ADSK Exchange OTC	Oct 26, 10	7 000	35 585	249 10	38 200	267 40	18 30	ST
	Nov 18, 10	7 000	36 484	255 39	38 200	267 40	12 01	ST
Security total		14 000		504 49		534 80	30 31	
AUTOZONE INC Symbol AZO Exchange NYSE	Jul 17, 09	1 000	157 193	157 19	272 590	272 59	115 40	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 12, 09	2 000	145 301	290 60	272 590	545 18	254 58	LT
	Jul 21, 10	1 000	206 530	206 53	272 590	272 59	66 06	ST
Security total		4 000		654 32		1,090 36	436 04	
AVNET INC								
Symbol AVT Exchange NYSE	Feb 1, 10	5 000	26 803	134 02	33 030	165 15	31 13	ST
	Feb 2, 10	5 000	27 010	135 05	33 030	165 15	30 10	ST
	Feb 24, 10	4 000	27 443	109 77	33 030	132 12	22 35	ST
	Mar 29, 10	4 000	29 660	118 64	33 030	132 12	13 48	ST
	Jun 28, 10	4 000	26 110	104 44	33 030	132 12	27 68	ST
	Jul 21, 10	16 000	25 000	400 00	33 030	528 48	128 48	ST
Security total		38 000		1,001 92		1,255 14	253 22	
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI $25 Current yield 1 88%	Oct 15, 09	36 000	13 338	480 20	13 600	489 60	9 40	LT
	Oct 19, 09	8 000	13 813	110 51	13 600	108 80	-1 71	LT
	Dec 4, 09	32 000	13 793	441 40	13 600	435 20	-6 20	LT
	Feb 22, 10	16 000	12 240	195 84	13 600	217 60	21 76	ST
	Jul 20, 10	6 000	12 040	72 24	13 600	81 60	9 36	ST
Security total		98 000		1,300 19		1,332 80	32 61	
BANK OF AYUDHYA PCL NVDR THB								
Symbol BKAHF Exchange OTC	Jul 17, 09	1,900 000	0 482	917 32	0 850	1,615 00	697 68	LT
	Feb 22, 10	400 000	0 610	244 00	0 850	340 00	96 00	ST
	Mar 17, 10	400 000	0 678	271 32	0 850	340 00	68 68	ST
	Jul 20, 10	400 000	0 630	252 00	0 850	340 00	88 00	ST
	Jul 23, 10	200 000	0 650	130 18	0 850	170 00	39 82	ST
Security total		3,300 000		1,814 82		2,805 00	990 18	
BANK SARASIN & CIE REG B CHF								
Symbol BKSNF Exchange OTC	Nov 26, 08	24 000	22 567	541 61	45 750	1,098 00	556 39	LT
	Feb 22, 10	4 000	34 784	139 14	45 750	183 00	43 86	ST
	Jul 20, 10	2 000	39 081	78 16	45 750	91 50	13 34	ST
Security total		30 000		758 91		1,372 50	613 59	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI $11 Current yield 0 94%	Apr 7, 09	12 000	29 424	353 10	53 180	638 16	285 06	LT
	Nov 6, 09	4 000	41 759	167 04	53 180	212 72	45 68	LT
	Feb 22, 10	3 000	38 568	115 71	53 180	159 54	43 83	ST
	Aug 19, 10	3 000	44 982	134 95	53 180	159 54	24 59	ST
Security total		22 000		770 80		1,169 96	399 16	
BAXTER INTL INC								
Symbol BAX Exchange NYSE								
EAI $27 Current yield 2 42%	Sep 7, 10	22 000	44 317	974 99	50 620	1,113 64	138 65	ST
BAYER A G SPON ADR								
Symbol BAYRY Exchange OTC								
EAI $68 Current yield 3 71%	Oct 24, 08	7 000	51 870	363 09	73 360	513 52	150 43	LT
	Oct 29, 08	7 000	53 718	376 03	73 360	513 52	137 49	LT
	Nov 9, 09	1 000	73 195	73 20	73 360	73 36	0 16	LT
	Feb 9, 10	5 000	66 584	332 92	73 360	366 80	33 88	ST
	Feb 22, 10	3 000	69 050	207 15	73 360	220 08	12 93	ST
	Jul 20, 10	2 000	58 230	116 46	73 360	146 72	30 26	ST
Security total		25 000		1,468 85		1,834 00	365 15	
BELLE INTL HLDGS LTD ADR								
Symbol BELLY Exchange OTC								
EAI $6 Current yield 0 79%	Dec 15, 09	6 000	64 898	389 39	84 516	507 09	117 70	LT
	Feb 22, 10	3 000	57 850	173 55	84 516	253 55	80 00	ST
Security total		9 000		562 94		760 64	197 70	
BG GROUP PLC SPON ADR								
Symbol BRGYY Exchange OTC								
EAI $11 Current yield 0 98%	Aug 23, 10	9 000	85 066	765 60	102 000	918 00	152 40	ST
	Nov 3, 10	2 000	100 107	200 22	102 000	204 00	3 78	ST
Security total		11 000		965 82		1,122 00	156 18	
BHP BILLITON LTD SPON ADR								
Symbol BHP Exchange NYSE								
EAI $68 Current yield 1 88%	Apr 15, 10	18 000	81 770	1,471 86	92 920	1,672 56	200 70	ST
	Jul 29, 10	8 000	72 260	578 08	92 920	743 36	165 28	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 3, 10	1 000	74 951	74 95	92 920	92 92	17 97	ST
	Sep 7, 10	8 000	69 499	556 00	92 920	743 36	187 36	ST
	Oct 6, 10	2 000	79 940	159 88	92 920	185 84	25 96	ST
	Nov 9, 10	2 000	92 152	184 30	92 920	185 84	1 54	ST
Security total		39 000		3,025 07		3,623 88	598 81	
BNP PARIBAS SA ADR Symbol BNPQY Exchange OTC EAI $25 Current yield 2 17%	May 20, 10	7 000	27 974	195 82	31 950	223 65	27 83	ST
	Jul 9, 10	9 000	31 295	281 66	31 950	287 55	5 89	ST
	Jul 20, 10	2 000	30 050	60 10	31 950	63 90	3 80	ST
	Jul 28, 10	7 000	35 580	249 06	31 950	223 65	-25 41	ST
	Sep 3, 10	11 000	34 450	378 95	31 950	351 45	-27 50	ST
Security total		36 000		1,165 59		1,150 20	-15 39	
BOWLEVEN PLC GBP Symbol BWLVF Exchange OTC	Feb 8, 10	36 000	1 766	63 60	5 933	213 59	149 99	ST
	Feb 9, 10	49 000	1 790	87 71	5 933	290 72	203 01	ST
	Feb 10, 10	21 000	1 824	38 32	5 933	124 59	86 27	ST
	Feb 22, 10	62 000	1 857	115 17	5 933	367 84	252 67	ST
	Jul 20, 10	24 000	2 273	54 57	5 933	142 39	87 82	ST
Security total		192 000		359 37		1,139 13	779 76	
BRISTOL MYERS SQUIBB CO Symbol BMY Exchange NYSE EAI $195 Current yield 4 98%	Jun 28, 10	11 000	25 561	281 18	26 480	291 28	10 10	ST
	Jul 1, 10	8 000	24 547	196 38	26 480	211 84	15 46	ST
	Jul 2, 10	7 000	24 885	174 20	26 480	185 36	11 16	ST
	Jul 7, 10	15 000	25 650	384 75	26 480	397 20	12 45	ST
	Jul 21, 10	20 000	24 898	497 96	26 480	529 60	31 64	ST
	Aug 6, 10	48 000	26 248	1,259 92	26 480	1,271 04	11 12	ST
	Aug 12, 10	31 000	26 279	814 66	26 480	820 88	6 22	ST
	Oct 4, 10	8 000	26 719	213 75	26 480	211 84	-1 91	ST
Security total		148 000		3,822 80		3,919 04	96 24	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $70 Current yield 4 10%	Oct 24, 08	5 000	46 930	234 65	77 700	388 50	153 85	LT
	Jan 26, 09	7 000	52 600	368 20	77 700	543 90	175 70	LT
	Mar 5, 09	6 000	47 290	283 74	77 700	466 20	182 46	LT
	Feb 22, 10	4 000	68 230	272 92	77 700	310 80	37 88	ST
Security total		22 000		1,159 51		1,709 40	549 89	
BUNZL PLC ORD GBP Symbol BZLFF Exchange OTC EAI $23 Current yield 3 05%	Jul 10, 09	56 000	8 545	478 53	11 257	630 38	151 85	LT
	Feb 22, 10	11 000	10 546	116 01	11 257	123 83	7 82	ST
Security total		67 000		594 54		754 21	159 67	
C H ROBINSON WORLDWIDE INC NEW Symbol CHRW Exchange OTC EAI $6 Current yield 1 50%	Oct 5, 10	5 000	70 807	354 04	80 190	400 95	46 91	ST
CA INC Symbol CA Exchange OTC EAI $4 Current yield 0 61%	Jul 1, 09	6 000	17 538	105 23	24 440	146 64	41 41	LT
	Jul 10, 09	10 000	16 453	164 54	24 440	244 40	79 86	LT
	Jul 21, 10	11 000	19 070	209 77	24 440	268 84	59 07	ST
Security total		27 000		479 54		659 88	180 34	
CABOT CORP Symbol CBT Exchange NYSE EAI $9 Current yield 1 84%	Jul 1, 10	4 000	23 243	92 97	37 650	150 60	57 63	ST
	Jul 16, 10	4 000	25 474	101 90	37 650	150 60	48 70	ST
	Jul 21, 10	5 000	26 250	131 25	37 650	188 25	57 00	ST
Security total		13 000		326 12		489 45	163 33	
CANON INC ADR JAPAN SPON ADR Symbol CAJ Exchange NYSE EAI $21 Current yield 2 27%	Oct 9, 09	5 000	38,410	192 05	51 340	256 70	64 65	LT
	Feb 18, 10	6 000	41 244	247 46	51 340	308 04	60 58	ST
	Feb 22, 10	3 000	42 038	126 12	51 340	154 02	27 90	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 20, 10	2 000	38 139	76 28	51 340	102 68	26 40	ST
	Jul 28, 10	2 000	42 011	84 02	51 340	102 68	18 66	ST
Security total		18 000		725 93		924 12	198 19	
CANON SALES JPY								
Symbol CAMJF Exchange OTC	Apr 28, 10	100 000	16 148	1,614 86	14 360	1,436 00	-178 86	ST
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI $20 Current yield 0 47%	May 3, 10	8 000	45 576	364 61	42 560	340 48	-24 13	ST
	Jul 21, 10	3 000	41 480	124 44	42 560	127 68	3 24	ST
	Jul 30, 10	12 000	42 029	504 36	42 560	510 72	6 36	ST
	Aug 12, 10	25 000	39 075	976 89	42 560	1,064 00	87 11	ST
	Aug 13, 10	14 000	38 985	545 80	42 560	595 84	50 04	ST
	Sep 15, 10	6 000	38 548	231 29	42 560	255 36	24 07	ST
	Sep 23, 10	5 000	37 709	188 55	42 560	212 80	24 25	ST
	Oct 5, 10	4 000	39 260	157 04	42 560	170 24	13 20	ST
	Oct 25, 10	7 000	38 847	271 93	42 560	297 92	25 99	ST
	Nov 5, 10	8 000	40 350	322 80	42 560	340 48	17 68	ST
	Nov 12, 10	7 000	39 286	275 00	42 560	297 92	22 92	ST
Security total		99 000		3,962 71		4,213 44	250 73	
CARNIVAL CORP NEW (PAIRED STOCK)								
Symbol CCL Exchange NYSE								
EAI $8 Current yield 0 83%	Feb 2, 10	5 000	34 562	172 81	46 110	230 55	57 74	ST
	Feb 22, 10	5 000	34 228	171 14	46 110	230 55	59 41	ST
	Feb 26, 10	5 000	35 635	178 18	46 110	230 55	52 37	ST
	May 21, 10	3 000	35 900	107 70	46 110	138 33	30 63	ST
	Jul 20, 10	3 000	31 128	93 38	46 110	138 33	44 95	ST
Security total		21 000		723 21		968 31	245 10	
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI $48 Current yield 1 90%	Oct 1, 10	5 000	78 366	391 83	93 660	468 30	76 47	ST
	Nov 5, 10	11 000	83 359	916 95	93 660	1,030 26	113 31	ST
	Nov 11, 10	3 000	82 199	246 60	93 660	280 98	34 38	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 12, 10	4 000	82 324	329 30	93 660	374 64	45 34	ST
	Nov 22, 10	4 000	83 409	333 64	93 660	374 64	41 00	ST
Security total		27 000		2,218 32		2,528 82	310 50	
CELGENE CORP								
Symbol CELG Exchange OTC	Nov 3, 10	13 000	62 231	809 01	59 140	768 82	-40 19	ST
	Nov 11, 10	4 000	61 099	244 40	59 140	236 56	-7 84	ST
	Nov 12, 10	3 000	60 167	180 50	59 140	177 42	-3 08	ST
Security total		20 000		1,233 91		1,182 80	-51 11	
CHESAPEAKE ENERGY CORP OKLA Symbol CHK Exchange NYSE								
EAI $45 Current yield 1 16%	Jan 20, 10	5 000	27 725	138 63	25 910	129 55	-9 08	ST
	Feb 1, 10	22 000	25 501	561 04	25 910	570 02	8 98	ST
	Feb 2, 10	9 000	25 981	233 83	25 910	233 19	-0 64	ST
	Jul 21, 10	87 000	21 620	1,880 94	25 910	2,254 17	373 23	ST
	Dec 3, 10	27 000	21 971	593 22	25 910	699 57	106 35	ST
Security total		150 000		3,407 66		3,886 50	478 84	
CHEVRON CORP Symbol CVX Exchange NYSE								
EAI $259 Current yield 3 15%	Feb 9, 09	1 000	74 200	74 20	91 250	91 25	17 05	LT
	Apr 14, 09	12 000	67 157	805 88	91 250	1,095 00	289 12	LT
	Aug 25, 09	5 000	71 029	355 15	91 250	456 25	101 10	LT
	Dec 15, 09	3 000	77 533	232 60	91 250	273 75	41 15	LT
	Dec 16, 09	24 000	78 234	1,877 63	91 250	2,190 00	312 37	LT
	Jul 21, 10	45 000	73 140	3,291 30	91 250	4,106 25	814 95	ST
Security total		90 000		6,636 76		8,212 50	1,575 74	
CHIPOTLE MEXICAN GRILL INC CL A								
Symbol CMG Exchange NYSE	Oct 27, 10	1 000	211 927	211 93	212 660	212 66	0 73	ST
	Nov 3, 10	1 000	217 170	217 17	212 660	212 66	-4 51	ST
	Nov 5, 10	1 000	226 635	226 64	212 660	212 66	-13 98	ST
	Nov 11, 10	1 000	237 027	237 03	212 660	212 66	-24 37	ST
	Nov 12, 10	1 000	239 390	239 39	212 660	212 66	-26 73	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		5 000		1,132 16		1,063 30	-68 86	
CHUBB CORP								
Symbol CB Exchange NYSE								
EAI $112 Current yield 2 47%	Jul 21, 10	2 000	52 530	105 06	59 640	119 28	14 22	ST
	Oct 25, 10	10 000	58 387	583 88	59 640	596 40	12 52	ST
	Oct 26, 10	9 000	58 550	526 95	59 640	536 76	9 81	ST
	Oct 27, 10	8 000	57 936	463 49	59 640	477 12	13 63	ST
	Nov 3, 10	10 000	58 746	587 46	59 640	596 40	8 94	ST
	Nov 5, 10	5 000	59 310	296 55	59 640	298 20	1 65	ST
	Nov 10, 10	6 000	58 875	353 25	59 640	357 84	4 59	ST
	Nov 11, 10	9 000	58 827	529 45	59 640	536 76	7 31	ST
	Nov 15, 10	3 000	58 588	175 77	59 640	178 92	3 15	ST
	Dec 13, 10	14 000	59 400	831 61	59 640	834 96	3 35	ST
Security total		76 000		4,453 47		4,532 64	79 17	
CITIGROUP INC								
Symbol C Exchange NYSE	May 6, 10	172 000	4 070	700 09	4 730	813 56	113 47	ST
	May 10, 10	439 000	4 237	1,860 26	4 730	2,076 47	216 21	ST
	Jul 20, 10	75 000	4 009	300 68	4 730	354 75	54 07	ST
	Jul 21, 10	357 000	4 050	1,445 85	4 730	1,688 61	242 76	ST
	Jul 30, 10	973 000	4 098	3,987 65	4 730	4,602 29	614 64	ST
	Sep 24, 10	154 000	3 864	595 12	4 730	728 42	133 30	ST
Security total		2,170 000		8,889 65		10,264 10	1,374 45	
COACH INC								
Symbol COH Exchange NYSE								
EAI $4 Current yield 1 03%	Nov 15, 10	7 000	52 419	366 93	55 310	387 17	20 24	ST
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $12 Current yield 2 61%	Nov 15, 10	4 000	62 931	251 73	65 770	263 08	11 35	ST
	Nov 22, 10	3 000	63 996	191 99	65 770	197 31	5 32	ST
Security total		7 000		443 72		460 39	16 67	
COMPANHIA SIDERURGICA NAC ADR								
Symbol SID Exchange NYSE								
EAI $28 Current yield 3 50%	Nov 2, 10	48 000	17 421	836 23	16 670	800 16	-36 07	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CONOCOPHILLIPS Symbol COP Exchange NYSE EAI $59 Current yield 3 21%	Dec 15, 10	5 000	65 349	326 75	68 100	340 50	13 75	ST
	Dec 17, 10	17 000	64 933	1,103 87	68 100	1,157 70	53 83	ST
	Dec 23, 10	5 000	66 947	334 74	68 100	340 50	5 76	ST
Security total		27 000		1,765 36		1,838 70	73 34	
CONSTELLATION ENERGY GROUP INC (HOLDING CO) Symbol CEG Exchange NYSE EAI $26 Current yield 3 14%	Dec 9, 09	4 000	33 003	132 01	30 630	122 52	-9 49	LT
	Dec 30, 09	4 000	35 630	142 52	30 630	122 52	-20 00	LT
	Jan 8, 10	4 000	33 860	135 44	30 630	122 52	-12 92	ST
	Jan 13, 10	4 000	34 772	139 09	30 630	122 52	-16 57	ST
	Jul 21, 10	11 000	33 668	370 35	30 630	336 93	-33 42	ST
Security total		27 000		919 41		827 01	-92 40	
CVS CAREMARK CORP Symbol CVS Exchange NYSE EAI $27 Current yield 1 01%	Oct 6, 09	9 000	34 247	308 23	34 770	312 93	4 70	LT
	Nov 11, 09	30 000	29 949	898 49	34 770	1,043 10	144 61	LT
	Nov 12, 09	10 000	29 703	297 04	34 770	347 70	50 66	LT
	Jul 21, 10	28 000	30 409	851 46	34 770	973 56	122 10	ST
Security total		77 000		2,355 22		2,677 29	322 07	
DANAHER CORP Symbol DHR Exchange NYSE EAI $1 Current yield 0 16%	May 12, 10	2 000	43 407	86 82	47 170	94 34	7 52	ST
	Jul 14, 10	4 000	37 975	151 90	47 170	188 68	36.78	ST
	Jul 21, 10	2 000	38 198	76 40	47 170	94 34	17 94	ST
	Nov 22, 10	5 000	43 538	217 69	47 170	235 85	18 16	ST
Security total		13 000		532 81		613 21	80 40	
DBS GROUP HLDGS LTD SPON ADR Symbol DBSDY Exchange OTC EAI $44 Current yield 3 63%	Dec 17, 10	27 000	43 825	1,183 28	44 940	1,213 38	30 10	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DENTSPLY INTL INC								
Symbol XRAY Exchange· OTC								
EAI $2 Current yield 0 59%	Dec 21, 10	5 000	34 624	173 12	34 170	170 85	-2 27	ST
	Dec 23, 10	5 000	34 621	173 11	34 170	170 85	-2 26	ST
Security total		10 000		346 23		341 70	-4 53	
DEVON ENERGY CORP NEW								
Symbol DVN Exchange· NYSE								
EAI $16 Current yield 0 82%	Sep 13, 10	4 000	61 946	247 79	78 510	314 04	66 25	ST
	Nov 10, 10	16 000	71 466	1,143 46	78 510	1,256 16	112 70	ST
	Nov 29, 10	5 000	70 325	351 63	78 510	392 55	40 92	ST
Security total		25 000		1,742 88		1,962 75	219 87	
DISCOVER FINANCIAL SERVICES								
Symbol DFS Exchange NYSE								
EAI $9 Current yield 0 43%	Sep 23, 10	20 000	16 578	331 57	18 530	370 60	39 03	ST
	Sep 27, 10	17 000	16 636	282 83	18 530	315 01	32 18	ST
	Sep 28, 10	12 000	16 410	196 92	18 530	222 36	25 44	ST
	Oct 1, 10	13 000	16 569	215 40	18 530	240 89	25 49	ST
	Oct 4, 10	9 000	16 223	146 01	18 530	166 77	20 76	ST
	Oct 11, 10	14 000	16 890	236 46	18 530	259 42	22 96	ST
	Oct 12, 10	13 000	16 993	220 91	18 530	240 89	19 98	ST
	Dec 20, 10	14 000	18 206	254 89	18 530	259 42	4 53	ST
Security total		112 000		1,884 99		2,075 36	190 37	
DISCOVERY COMMUNICATIONS INC								
CL A								
Symbol DISCA Exchange OTC	Aug 27, 10	4 000	37 943	151 77	41 700	166 80	15 03	ST
	Aug 27, 10	3 000	37 943	113 83	41 700	125 10	11 27	ST
	Oct 6, 10	5 000	43 559	217 80	41 700	208 50	-9 30	ST
	Nov 12, 10	4 000	40 773	163 09	41 700	166 80	3 71	ST
Security total		16 000		646 49		667 20	20 71	
DNB NOR ASA SPON ADR								
Symbol DNHBY Exchange OTC	Sep 8, 10	6 000	117 606	705 64	140 903	845 41	139 77	ST
DON QUIJOTE CO LTD ADR								
Symbol DQJCY Exchange OTC	Nov 24, 10	10 000	87 732	877 33	91 474	914 74	37 41	ST

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DR PEPPER SNAPPLE GROUP INC								
Symbol DPS Exchange NYSE								
EAI $26 Current yield 2 84%	Oct 11, 10	8 000	34 905	279 24	35 160	281 28	2 04	ST
	Oct 12, 10	5 000	34 778	173 89	35 160	175 80	1 91	ST
	Nov 18, 10	7 000	37 133	259 93	35 160	246 12	-13 81	ST
	Nov 22, 10	6 000	38 181	229 09	35 160	210 96	-18 13	ST
Security total		26 000		942 15		914 16	-27 99	
ENERGY DEV CORP ADR								
Symbol EGDCY Exchange OTC	Dec 8, 10	34 000	13 842	470 66	13 600	462 40	-8 26	ST
	Dec 9, 10	17 000	13 892	236 17	13 600	231 20	-4 97	ST
	Dec 10, 10	14 000	13 911	194 76	13 600	190 40	-4 36	ST
Security total		65 000		901 59		884 00	-17 59	
ENTERGY CORP NEW								
Symbol ETR Exchange NYSE								
EAI $40 Current yield 4 71%	Oct 1, 10	6 000	77 233	463 40	70 830	424 98	-38 42	ST
	Nov 11, 10	3 000	73 334	220 00	70 830	212 49	-7 51	ST
	Nov 22, 10	3 000	72 818	218 46	70 830	212 49	-5 97	ST
Security total		12 000		901 86		849 96	-51 90	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $236 Current yield 2 41%	May 24, 10	3 000	60 652	181 96	73 120	219 36	37 40	ST
	May 26, 10	5 000	59 704	298 52	73 120	365 60	67 08	ST
	May 27, 10	7 000	60 835	425 85	73 120	511 84	85 99	ST
	Jun 9, 10	3 000	61 159	183 48	73 120	219 36	35 88	ST
	Jul 21, 10	11 000	58 770	646 47	73 120	804 32	157 85	ST
	Aug 11, 10	5 000	60 509	302 55	73 120	365 60	63 05	ST
	Aug 20, 10	10 000	58 766	587 66	73 120	731 20	143 54	ST
	Oct 15, 10	17 000	65 103	1,106 77	73 120	1,243 04	136 27	ST
	Oct 20, 10	7 000	66 319	464 23	73 120	511 84	47 61	ST
	Oct 25, 10	10 000	66 548	665 49	73 120	731 20	65 71	ST
	Oct 27, 10	31 000	65 277	2,023 61	73 120	2,266 72	243 11	ST
	Nov 3, 10	25 000	67 901	1,697 53	73 120	1,828 00	130 47	ST

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		134 000		8,584 12		9,798 08	1,213 96	
FAIRCHILD SEMICONDUCTOR INTL INC								
Symbol FCS Exchange NYSE	Apr 21, 10	8 000	11 908	95 27	15 610	124 88	29 61	ST
	Apr 22, 10	8 000	11 671	93 37	15 610	124 88	31 51	ST
	Jul 21, 10	13 000	9 760	126 88	15 610	202 93	76 05	ST
Security total		29 000		315 52		452 69	137 17	
FAMILYMART CO LTD ADR								
Symbol FYRTY Exchange OTC								
EAI $25 Current yield 1 94%	Sep 15, 09	15 000	30 909	463 64	37 880	568 20	104 56	LT
	Sep 16, 09	12 000	31 108	373 31	37 880	454 56	81 25	LT
	Feb 22, 10	5 000	31 930	159 65	37 880	189 40	29 75	ST
	Jul 20, 10	2 000	36 150	72 30	37 880	75 76	3 46	ST
Security total		34 000		1,068 90		1,287 92	219 02	
FAST RETAILING CO LTD ADR								
Symbol FRCOY Exchange OTC								
EAI $12 Current yield 1 37%	May 19, 10	30 000	14 615	438 47	15 910	477 30	38 83	ST
	Jun 15, 10	13 000	15 055	195 72	15 910	206 83	11 11	ST
	Jul 20, 10	5 000	14 640	73 20	15 910	79 55	6 35	ST
	Oct 7, 10	7 000	15 105	105 74	15 910	111 37	5 63	ST
Security total		55 000		813 13		875 05	61 92	
FISERV INC								
Symbol FISV Exchange OTC	Feb 24, 10	3 000	47 480	142 44	58 560	175 68	33 24	ST
	Jul 21, 10	3 000	45 780	137 34	58 560	175 68	38 34	ST
Security total		6 000		279 78		351 36	71 58	
FORD MOTOR CO COM NEW								
Symbol· F Exchange NYSE	Aug 20, 10	39 000	11 733	457 59	16 790	654 81	197 22	ST
	Aug 27, 10	41 000	11 616	476 26	16 790	688 39	212 13	ST
	Sep 2, 10	12 000	11 656	139 88	16 790	201 48	61 60	ST
	Sep 7, 10	15 000	11 997	179 96	16 790	251 85	71 89	ST
Security total		107 000		1,253 69		1,796 53	542 84	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR								
Symbol FMS Exchange NYSE								
EAI $8 Current yield 0 92%	Oct 24, 08	10 000	42 584	425 84	57 690	576 90	151 06	LT
	Nov 4, 09	1 000	50 220	50 22	57 690	57 69	7 47	LT
	Feb 22, 10	3 000	50 933	152 80	57 690	173 07	20 27	ST
	Feb 22, 10	1 000	51 072	51 07	57 690	57 69	6 62	ST
Security total		15 000		679 93		865 35	185 42	
FUJI MACH MFG CO LTD JPY								
Symbol FMMFF Exchange OTC								
EAI $43 Current yield 2 15%	May 28, 09	100 000	12 916	1,291 60	19 990	1,999 00	707 40	LT
F5 NETWORKS INC								
Symbol FFIV Exchange OTC	Jun 7, 10	2 000	68 677	137 36	130 160	260 32	122 96	ST
	Jun 28, 10	3 000	73 588	220 77	130 160	390 48	169 71	ST
	Jul 13, 10	2 000	77 251	154 50	130 160	260 32	105 82	ST
	Jul 21, 10	6 000	75 745	454 47	130 160	780 96	326 49	ST
	Nov 22, 10	2 000	127 497	254 99	130 160	260.32	5 33	ST
Security total		15 000		1,222 09		1,952 40	730 31	
GENL CABLE CORP								
Symbol BGC Exchange NYSE	Jul 13, 10	4 000	26 986	107 95	35 090	140 36	32 41	ST
	Jul 16, 10	4 000	26 048	104 19	35 090	140 36	36.17	ST
	Jul 19, 10	5 000	25 633	128 17	35 090	175 45	47 28	ST
	Jul 21, 10	6 000	25 780	154 68	35 090	210 54	55 86	ST
Security total		19 000		494 99		666 71	171 72	
GERRY WEBER INTL AG EUR								
Symbol GRYIF Exchange OTC								
EAI $28 Current yield 3 02%	Nov 29, 10	6 000	45 636	273 82	48 800	292 80	18 98	ST
	Nov 30, 10	9 000	46 073	414 66	48 800	439 20	24 54	ST
	Dec 1, 10	4 000	45 635	182 54	48 800	195 20	12 66	ST
Security total		19 000		871 02		927 20	56 18	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI $88 Current yield 5 10%	Oct 24, 08	6 000	35 216	211 30	39 220	235 32	24 02	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 4, 08	3 000	34 487	103 46	39 220	117 66	14 20	LT
	Mar 5, 09	17 000	28 557	485 47	39 220	666 74	181 27	LT
	Feb 22, 10	7 000	37 487	262 41	39 220	274 54	12 13	ST
	Jun 14, 10	2 000	35 448	70 90	39 220	78 44	7 54	ST
	Jul 20, 10	4 000	35 926	143 71	39 220	156 88	13 17	ST
	Nov 29, 10	5 000	38 496	192 48	39 220	196 10	3 62	ST
Security total		44 000		1,469 73		1,725 68	255 95	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $34 Current yield 0 84%	Dec 1, 10	2 000	157 890	315 78	168 160	336 32	20 54	ST
	Dec 3, 10	3 000	161 620	484 86	168 160	504 48	19 62	ST
	Dec 6, 10	3 000	162 348	487 04	168 160	504 48	17 44	ST
	Dec 7, 10	3 000	164 097	492 29	168 160	504 48	12 19	ST
	Dec 16, 10	2 000	164 050	328 10	168 160	336 32	8 22	ST
	Dec 17, 10	4 000	163 598	654 40	168 160	672 64	18 24	ST
	Dec 20, 10	5 000	165 417	827 09	168 160	840 80	13 71	ST
	Dec 21, 10	2 000	168 310	336 62	168 160	336 32	-0 30	ST
Security total		24 000		3,926 18		4,035 84	109 66	
GOME ELECTRICAL APPLIANCES								
HLDGS LTD ADR								
Symbol GMELY Exchange OTC	Jun 22, 10	21 000	34 804	730 90	36 019	756 39	25 49	ST
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI $15 Current yield 2 21%	Jul 7, 10	3 000	42 275	126 83	45 300	135 90	9 07	ST
	Jul 9, 10	2 000	42 750	85 50	45 300	90 60	5 10	ST
	Jul 13, 10	2 000	44 091	88 18	45 300	90 60	2 42	ST
	Jul 16, 10	2 000	43 647	87 29	45 300	90 60	3 31	ST
	Jul 21, 10	6 000	44 340	266 04	45 300	271 80	5 76	ST
Security total		15 000		653 84		679 50	25 66	
HESS CORP								
Symbol HES Exchange NYSE								
EAI $26 Current yield 0 52%	May 20, 10	4 000	52 589	210 36	76 540	306 16	95 80	ST
	Jul 1, 10	7 000	49 379	345 66	76 540	535 78	190 12	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 2, 10	3 000	50 007	150 02	76 540	229 62	79 60	ST
	Jul 15, 10	10 000	52 844	528 45	76 540	765 40	236 95	ST
	Jul 19, 10	18 000	51 660	929 88	76 540	1,377 72	447 84	ST
	Jul 21, 10	23 000	52 500	1,207 50	76 540	1,760 42	552 92	ST
Security total		65 000		3,371 87		4,975 10	1,603 23	
HONGKONG LAND HLDGS LTD ADR Symbol HNGKY Exchange OTC EAI $33 Current yield 2 87%	Aug 3, 10	28 000	26 962	754 95	35 950	1,006 60	251 65	ST
	Sep 9, 10	4 000	28 519	114 08	35 950	143 80	29 72	ST
Security total		32 000		869 03		1,150 40	281 37	
HUMANA INC Symbol HUM Exchange NYSE	Nov 22, 10	7 000	58 056	406 40	54 740	383 18	-23 22	ST
	Dec 7, 10	6 000	56 300	337 81	54 740	328 44	-9 37	ST
	Dec 17, 10	4 000	54 958	219 83	54 740	218 96	-0 87	ST
Security total		17 000		964 04		930 58	-33 46	
HYPERMARCAS S A SPON ADR Symbol HYPMY Exchange OTC	Aug 6, 10	85 000	13 226	1,124 22	14 200	1,207 00	82 78	ST
	Dec 20, 10	14 000	14 090	197 26	14 200	198 80	1 54	ST
Security total		99 000		1,321 48		1,405 80	84 32	
ICAP PLC SPON ADR Symbol IAPLY Exchange OTC EAI $48 Current yield 3 10%	Oct 24, 08	60 000	10 130	607 80	16 640	998 40	390 60	LT
	Feb 22, 10	10 000	10 550	105 50	16 640	166 40	60 90	ST
	Jul 20, 10	4 000	12 090	48 36	16 640	66 56	18 20	ST
	Dec 1, 10	19 000	15 549	295 45	16 640	316 16	20 71	ST
Security total		93 000		1,057 11		1,547 52	490 41	
ILLINOIS TOOL WORKS INC Symbol ITW Exchange NYSE EAI $14 Current yield 2 62%	Oct 26, 10	10 000	46 540	465 40	53 400	534 00	68 60	ST
ILLUMINA INC Symbol ILMN Exchange OTC	Nov 3, 10	6 000	55 902	335 42	63 340	380 04	44 62	ST
	Nov 10, 10	3 000	56 616	169 85	63 340	190 02	20 17	ST
	Nov 12, 10	3 000	56 620	169 86	63 340	190 02	20 16	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 18, 10	3 000	58 914	176 74	63 340	190 02	13 28	ST
Security total		15 000		851 87		950 10	98 23	
IMAGINATION TECH GROUP PLC ORD								
GBP								
Symbol IGNMF Exchange OTC	Nov 26, 10	12 000	5 332	63 99	5 645	67 74	3 75	ST
	Nov 29, 10	49 000	5 346	262 00	5 645	276 60	14 60	ST
	Nov 30, 10	68 000	5 516	375 09	5 645	383 86	8 77	ST
	Dec 1, 10	37 000	5 780	213 87	5 645	208 86	-5 01	ST
	Dec 1, 10	1 000	5 820	5 82	5 645	5 64	-0 18	ST
Security total		167 000		920 77		942 71	21 93	
INFORMATICA CORP								
Symbol INFA Exchange OTC	Sep 15, 10	5 000	35 050	175 25	44 030	220 15	44 90	ST
	Oct 4, 10	4 000	37 410	149 64	44 030	176 12	26 48	ST
Security total		9 000		324 89		396 27	71 38	
INGRAM MICRO INC								
Symbol IM Exchange NYSE	Nov 12, 09	5 000	18 805	94 03	19 090	95 45	1 42	LT
	Nov 19, 09	8 000	17 879	143 03	19 090	152 72	9 69	LT
	Dec 4, 09	24 000	17 284	414 82	19 090	458 16	43 34	LT
	Jul 21, 10	17 000	15 960	271 32	19 090	324 53	53 21	ST
Security total		54 000		923 20		1,030 86	107 66	
INPEX CORP ADR								
Symbol IPXHY Exchange OTC								
EAI $15 Current yield 1 02%	Jun 15, 09	7 000	81 273	568 91	58 700	410 90	-158 01	LT
	Jun 25, 09	4 000	76 073	304 29	58 700	234 80	-69 49	LT
	Dec 3, 09	3 000	78 150	234 45	58 700	176 10	-58 35	LT
	Feb 22, 10	2 000	75 400	150 80	58 700	117 40	-33 40	ST
	Jul 23, 10	6 000	46 021	276 13	58 700	352 20	76 07	ST
	Nov 9, 10	3 000	53 630	160 89	58 700	176 10	15 21	ST
Security total		25 000		1,695 47		1,467 50	-227 97	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $69 Current yield 3 01%	Aug 31, 10	56 000	17 820	997 92	21 030	1,177 68	179 76	ST
	Sep 15, 10	17 000	18 656	317 16	21 030	357 51	40 35	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 1, 10	22 000	19 320	425 04	21 030	462 66	37 62	ST
	Oct 4, 10	14 000	18 828	263 60	21 030	294 42	30 82	ST
Security total		109 000		2,003 72		2,292 27	288 55	
INTL BUSINESS MACH Symbol IBM Exchange NYSE EAI· $159 Current yield 1 78%	Jul 1, 09	5 000	105 765	528 83	146 760	733 80	204 97	LT
	Jul 8, 09	24 000	100 319	2,407 67	146 760	3,522 24	1,114 57	LT
	Aug 7, 09	1 000	119 187	119 19	146 760	146 76	27 57	LT
	Aug 27, 09	9 000	119 386	1,074 48	146 760	1,320 84	246 36	LT
	Jul 21, 10	22 000	125 990	2,771 78	146 760	3,228 72	456 94	ST
Security total		61 000		6,901 95		8,952 36	2,050 41	
ITT CORP Symbol ITT Exchange NYSE EAI $6 Current yield 1 92%	Oct 1, 10	6 000	47 009	282 06	52 110	312 66	30 60	ST
JARDINE MATHESON HD-UNSP ADR ADR Symbol JMHLY Exchange OTC EAI $36 Current yield 2 54%	Oct 24, 08	24 000	17 250	414 00	44 260	1,062 24	648 24	LT
	Feb 22, 10	6 000	29 600	177 60	44 260	265 56	87 96	ST
	Jul 20, 10	2 000	40 230	80 46	44 260	88 52	8 06	ST
Security total		32 000		672 06		1,416 32	744 26	
JOHNSON CONTROLS INC Symbol JCI Exchange NYSE EAI $8 Current yield 1 75%	Apr 21, 10	8 000	34 452	275 62	38 200	305 60	29 98	ST
	Jul 21, 10	4 000	28 888	115 55	38 200	152 80	37 25	ST
Security total		12 000		391 17		458 40	67 23	
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $19 Current yield 3 41%	Dec 23, 10	9 000	62 339	561 06	61 850	556 65	-4 41	ST
JPMORGAN CHASE & CO Symbol JPM Exchange NYSE EAI $39 Current yield 0 47%	Jul 19, 10	11 000	38 448	422 93	42 420	466 62	43 69	ST
	Jul 20, 10	44 000	39 122	1,721 37	42 420	1,866 48	145 11	ST

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 21, 10	35 000	39 500	1,382 50	42 420	1,484 70	102 20	ST
	Jul 30, 10	104 000	40 438	4,205 65	42 420	4,411 68	206 03	ST
Security total		194 000		7,732 45		8,229 48	497 03	
K PLUS S AG SPON ADR Symbol KPLUY Exchange OTC EAI· $3 Current yield 0 20%	Aug 18, 10	27 000	28 595	772 08	38 050	1,027 35	255 27	ST
	Dec 8, 10	12 000	36 273	435 29	38 050	456 60	21 31	ST
Security total		39 000		1,207 37		1,483 95	276 58	
KIRIN HOLDINGS LTD SPON ADR Symbol KNBWY Exchange OTC EAI $20 Current yield 1 48%	Jul 14, 09	21 000	15 354	322 45	14 090	295 89	-26 56	LT
	Aug 25, 09	23 000	14 596	335 71	14 090	324 07	-11 64	LT
	Jan 4, 10	14 000	16 300	228 20	14 090	197 26	-30 94	ST
	Feb 22, 10	7 000	14 240	99 68	14 090	98 63	-1 05	ST
	Jul 20, 10	7 000	12 700	88 90	14 090	98 63	9 73	ST
	Oct 1, 10	24 000	14 277	342 67	14 090	338 16	-4 51	ST
Security total		96 000		1,417 61		1,352 64	-64 97	
KROGER COMPANY Symbol· KR Exchange NYSE EAI $8 Current yield 1 88%	Dec 7, 10	19 000	20 766	394 57	22 360	424 84	30 27	ST
L-3 COMMUNICATIONS HOLDINGS CORP Symbol LLL Exchange NYSE EAI $8 Current yield 2 27%	Aug 11, 10	2 000	73 069	146 14	70 490	140 98	-5 16	ST
	Aug 12, 10	3 000	70 887	212 66	70 490	211.47	-1 19	ST
Security total		5 000		358 80		352 45	-6 35	
LAUDER ESTEE COS CL A Symbol EL Exchange NYSE EAI $3 Current yield 0 93%	Nov 22, 10	4 000	75 257	301 03	80 700	322 80	21 77	ST
LILLY ELI & CO Symbol LLY Exchange NYSE EAI $53 Current yield 5 60%	Dec 20, 10	21 000	35 116	737 45	35 040	735 84	-1 61	ST
	Dec 23, 10	6 000	35 246	211 48	35 040	210 24	-1 24	ST
Security total		27 000		948 93		946 08	-2 85	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LINCOLN NATL CORP IND Symbol LNC Exchange NYSE EAI $2 Current yield 0 72%	Dec 31, 09	2 000	24 986	49 97	27 810	55 62	5 65	ST
	Jul 21, 10	8 000	23 690	189 52	27 810	222 48	32 96	ST
Security total		10 000		239 49		278 10	38 61	
LLOYDS BANKING GROUP PLC SPON ADR Symbol LYG Exchange NYSE	Jul 28, 10	207 000	4 304	890 97	4 110	850 77	-40 20	ST
	Jul 29, 10	6 000	4 353	26 12	4 110	24 66	-1 46	ST
Security total		213 000		917 09		875 43	-41 66	
LONZA GROUP AG CHF Symbol LZAGF Exchange OTC EAI $15 Current yield 2 35%	Oct 24, 08	7 000	99 050	693 35	79 950	559 65	-133 70	LT
	Feb 22, 10	1 000	74 118	74 12	79 950	79 95	5 83	ST
Security total		8 000		767 47		639 60	-127 87	
LOREAL CO ADR FRANCE ADR Symbol LRLCY Exchange OTC EAI $11 Current yield 1 14%	Feb 11, 10	17 000	20 749	352 75	22 460	381 82	29 07	ST
	Feb 16, 10	17 000	20 167	342 85	22 460	381 82	38 97	ST
	Feb 22, 10	6 000	20 550	123 30	22 460	134 76	11 46	ST
	Jul 20, 10	3 000	20 890	62 67	22 460	67 38	4 71	ST
Security total		43 000		881 57		965 78	84 21	
LOWES COMPANIES INC Symbol LOW Exchange NYSE EAI $16 Current yield 1 72%	Dec 21, 10	37 000	25 766	953 35	25 080	927 96	-25 39	ST
MACARTHUR COAL LTD ADR Symbol MACDY Exchange OTC EAI $10 Current yield 1 08%	Aug 25, 10	35 000	20 107	703 76	26 460	926 10	222 34	ST
MAKITA CORP ADR JAPAN ADR Symbol MKTAY Exchange OTC EAI $16 Current yield 1 22%	Jun 17, 10	24 000	29 146	699 51	40 980	983 52	284 01	ST
	Nov 12, 10	8 000	36 874	295 00	40 980	327 84	32 84	ST
Security total		32 000		994 51		1,311 36	316 85	

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MAN WAH HOLDINGS LTD HKD								
Symbol MAWHF Exchange OTC	May 27, 10	400 000	0 860	344 04	1 623	649 20	305 16	ST
	Nov 23, 10	400 000	1 682	672 80	1 623	649 20	-23 60	ST
Security total		800 000		1,016 84		1,298 40	281 56	
MARATHON OIL CORP								
Symbol· MRO Exchange NYSE								
EAI $36 Current yield 2 70%	Oct 26, 10	11 000	35 769	393 46	37 030	407 33	13 87	ST
	Nov 3, 10	7 000	32 640	228 48	37 030	259 21	30 73	ST
	Nov 5, 10	5 000	33 670	168 35	37 030	185 15	16 80	ST
	Nov 12, 10	7 000	33 965	237 76	37 030	259 21	21 45	ST
	Nov 22, 10	6 000	34 164	204 99	37 030	222 18	17 19	ST
Security total		36 000		1,233 04		1,333 08	100 04	
MARRIOTT INTL INC NEW CL A								
Symbol MAR Exchange NYSE								
EAI $5 Current yield 0 93%	Nov 22, 10	13 000	38 817	504 62	41 540	540 02	35 40	ST
MBIA INC								
Symbol MBI Exchange NYSE	Nov 24, 10	2 000	10 189	20 38	11 990	23 98	3 60	ST
MINDRAY MEDICAL INTL LTD SPON ADR								
Symbol MR Exchange NYSE								
EAI $4 Current yield 0 56%	Sep 10, 10	27 000	29 391	793 58	26 400	712 80	-80 78	ST
MITSUBISHI CORP SPONS ADR ADR								
Symbol MSBHY Exchange OTC								
EAI $29 Current yield 1 81%	Oct 24, 08	3 000	30 950	92 85	53 550	160 65	67 80	LT
	Jun 2, 09	3 000	40 444	121 33	53 550	160 65	39 32	LT
	Oct 14, 09	5 000	43 353	216 77	53 550	267 75	50 98	LT
	Dec 7, 09	5 000	49 847	249 24	53 550	267 75	18 51	LT
	Feb 22, 10	3 000	50 000	150 00	53 550	160 65	10 65	ST
	May 26, 10	5 000	44 373	221 87	53 550	267 75	45 88	ST
	Jul 20, 10	3 000	42 890	128 67	53 550	160 65	31 98	ST
	Sep 29, 10	3 000	48 111	144 33	53 550	160 65	16 32	ST
Security total		30 000		1,325 06		1,606 50	281 44	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MONTPELIER RE HOLDINGS LTD								
Symbol MRH Exchange NYSE								
EAI $7 Current yield 2 07%	Nov 22, 10	9 000	19 890	179 01	19 940	179 46	0 45	ST
	Nov 29, 10	8 000	19 631	157 05	19 940	159 52	2 47	ST
Security total		17 000		336 06		338 98	2 92	
MTN GROUP LTD SPON ADR								
Symbol MTNOY Exchange OTC								
EAI $20 Current yield 2 10%	Mar 19, 10	46 000	16 997	781 86	20 660	950 36	168 50	ST
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI $40 Current yield 1 51%	Oct 24, 08	22 000	37 100	816 20	58 820	1,294 04	477 84	LT
	Jun 5, 09	3 000	35 931	107 80	58 820	176 46	68 66	LT
	Jul 8, 09	3 000	37 856	113 57	58 820	176 46	62 89	LT
	Feb 19, 10	3 000	48 786	146 36	58 820	176 46	30 10	ST
	Feb 22, 10	10 000	48 920	489 20	58 820	588 20	99 00	ST
	Jul 20, 10	4 000	50 050	200 20	58 820	235 28	35 08	ST
Security total		45 000		1,873 33		2,646 90	773 57	
NETAPP INC								
Symbol NTAP Exchange OTC	Nov 11, 10	5 000	54 821	274 11	54 960	274 80	0 69	ST
NETFLIX COM INC								
Symbol NFLX Exchange OTC	Nov 23, 09	1 000	60 425	60 43	175 700	175 70	115 27	LT
	Dec 4, 09	5 000	56 730	283 65	175 700	878 50	594 85	LT
	Jul 21, 10	4 000	121 240	484 96	175 700	702 80	217 84	ST
Security total		10 000		829 04		1,757 00	927 96	
NEW WORLD DEVELOPMENT LTD SPON								
ADR (HONG KONG)								
Symbol NDVLY Exchange OTC								
EAI $15 Current yield 2 25%	Jun 3, 09	141 000	4 182	589 69	3 670	517 47	-72 22	LT
	Jan 14, 10	1 000	3 780	3 78	3 670	3 67	-0 11	ST
	Feb 22, 10	24 000	3 500	84 00	3 670	88 08	4 08	ST
	Jul 20, 10	16 000	3 310	52 96	3 670	58 72	5 76	ST
Security total		182 000		730 43		667 94	-62 49	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NEWCREST MINING LTD SPON AUSTRALIA ADR								
Symbol NCMGY Exchange OTC								
EAI $11 Current yield 0 51%	Oct 24, 08	8 000	10 750	86 00	41 650	333 20	247 20	LT
	Dec 1, 08	9 000	16 584	149 26	41 650	374 85	225 59	LT
	Feb 3, 09	5 000	19 250	96 25	41 650	208 25	112 00	LT
	Feb 4, 09	12 000	18 969	227 63	41 650	499 80	272 17	LT
	Mar 3, 09	5 000	19 920	99 60	41 650	208 25	108 65	LT
	Mar 4, 09	3 000	19 328	57 99	41 650	124 95	66 96	LT
	Feb 22, 10	5 000	29 850	149 25	41 650	208 25	59 00	ST
	Jul 20, 10	5 000	29 194	145 97	41 650	208 25	62 28	ST
Security total		52 000		1,011 95		2,165 80	1,153 85	
NINTENDO LTD ADR NEW JAPAN ADR								
Symbol NTDOY Exchange OTC								
EAI $28 Current yield 2 75%	Mar 5, 09	4 000	34 805	139 22	36 330	145 32	6 10	LT
	Jun 18, 09	2 000	33 708	67 42	36 330	72 66	5 24	LT
	Jun 29, 09	5 000	34 944	174 72	36 330	181 65	6 93	LT
	Feb 22, 10	4 000	33 310	133 24	36 330	145 32	12 08	ST
	Mar 2, 10	1 000	36 148	36 15	36 330	36 33	0 18	ST
	Mar 3, 10	8 000	36 529	292 23	36 330	290 64	-1 59	ST
	Jul 20, 10	4 000	34 642	138 57	36 330	145 32	6 75	ST
Security total		28 000		981 55		1,017 24	35 69	
NIPPON STEEL CORP UNSPON ADR								
Symbol NISTY Exchange OTC								
EAI $3 Current yield 0 33%	Oct 16, 09	4 000	39 961	159 85	36 003	144 01	-15 84	LT
	Dec 4, 09	5 000	39 620	198 10	36 003	180 01	-18 09	LT
	Feb 19, 10	5 000	35 937	179 69	36 003	180 01	0 32	ST
	Feb 22, 10	6 000	36 500	219 00	36 003	216 02	-2 98	ST
	Oct 7, 10	5 000	33 866	169 33	36 003	180 01	10 68	ST
Security total		25 000		925 97		900 07	-25 91	
NOMURA HOLDINGS INC NEW SPON ADR								
Symbol NMR Exchange NYSE								
EAI $19 Current yield 1 31%	Oct 1, 09	1 000	5 863	5 86	6 380	6 38	0 52	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 19, 09	10 000	6 450	64 50	6 380	63 80	-0 70	LT
	Nov 20, 09	56 000	6 680	374 09	6 380	357 28	-16 81	LT
	Dec 3, 09	78 000	7 607	593 39	6 380	497 64	-95 75	LT
	Dec 3, 09	1 000	7 639	7 64	6 380	6 38	-1 26	LT
	Feb 22, 10	51 000	7 153	364 80	6 380	325 38	-39 42	ST
	Jul 20, 10	30 000	5 399	161 98	6 380	191 40	29 42	ST
Security total		227 000		1,572 26		1,448 26	-124 00	
NORTHROP GRUMMAN CORP								
Symbol NOC Exchange NYSE								
EAI $34 Current yield 2 92%	Jul 29, 09	1 000	44 569	44 57	64 780	64 78	20 21	LT
	Jul 30, 09	4 000	45 815	183 26	64 780	259 12	75 86	LT
	Aug 17, 09	4 000	46 646	186 59	64 780	259 12	72 53	LT
	Sep 15, 09	4 000	49 468	197 87	64 780	259 12	61 25	LT
	Jul 21, 10	5 000	56 300	281 50	64 780	323 90	42 40	ST
Security total		18 000		893 79		1,166 04	272 25	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $60 Current yield 2 83%	Oct 24, 08	14 000	46 597	652 37	58 950	825 30	172 93	LT
	Mar 5, 09	5 000	34 583	172 92	58 950	294 75	121 83	LT
	Oct 15, 09	6 000	51 637	309 82	58 950	353 70	43 88	LT
	Feb 22, 10	8 000	54 778	438 23	58 950	471 60	33 37	ST
	Jul 20, 10	3 000	49 259	147 78	58 950	176 85	29 07	ST
Security total		36 000		1,721 12		2,122 20	401 08	
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI $12 Current yield 0 63%	Oct 20, 10	14 000	29 057	406 80	31 300	438 20	31 40	ST
	Nov 5, 10	11 000	29 357	322 93	31 300	344 30	21 37	ST
	Nov 12, 10	18 000	28 579	514 43	31 300	563 40	48 97	ST
	Nov 22, 10	18 000	28 000	504 00	31 300	563 40	59 40	ST
Security total		61 000		1,748 16		1,909 30	161 14	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PETROLEO BRASILEIRO SA SPON								
ADR								
Symbol PBR Exchange NYSE								
EAI $5 Current yield 0 43%	Sep 7, 10	22 000	36 589	804 96	37 840	832 48	27 52	ST
	Sep 21, 10	9 000	35 340	318 07	37 840	340 56	22 49	ST
Security total		31 000		1,123 03		1,173 04	50 01	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $184 Current yield 4 37%	Jul 1, 09	6 000	44 448	266 69	58 530	351 18	84 49	LT
	Jul 9, 09	4 000	42 808	171 23	58 530	234 12	62 89	LT
	Jul 10, 09	6 000	42 597	255 59	58 530	351 18	95 59	LT
	Jul 17, 09	4 000	43 878	175 51	58 530	234 12	58 61	LT
	Jul 30, 09	5 000	47 321	236 61	58 530	292 65	56 04	LT
	Apr 6, 10	3 000	52 863	158 59	58 530	175 59	17 00	ST
	Apr 13, 10	3 000	52 370	157 11	58 530	175 59	18 48	ST
	Apr 19, 10	7 000	51 126	357 88	58 530	409 71	51 83	ST
	Apr 29, 10	13 000	49 682	645 88	58 530	760 89	115 01	ST
	Jul 21, 10	21 000	50 400	1,058 40	58 530	1,229 13	170 73	ST
Security total		72 000		3,483 49		4,214 16	730 67	
PLATINUM UNDERWRITERS HOLDINGS								
LTD								
Symbol PTP Exchange NYSE								
EAI $5 Current yield 0 74%	Jul 19, 10	8 000	37 410	299 28	44 970	359 76	60 48	ST
	Jul 21, 10	7 000	39 420	275 94	44 970	314 79	38 85	ST
Security total		15 000		575 22		674 55	99 33	
POTASH CORP SASK INC CANADA								
CAD								
Symbol POT Exchange NYSE	Feb 1, 10	1 000	102 535	102 54	154 830	154 83	52 29	ST
	Feb 22, 10	1 000	113 731	113 73	154 830	154 83	41 10	ST
	Jul 16, 10	3 000	97 323	291 97	154 830	464 49	172 52	ST
	Aug 2, 10	1 000	108 358	108 36	154 830	154 83	46 47	ST
	Aug 5, 10	4 000	114 545	458 18	154 830	619 32	161 14	ST
Security total		10 000		1,074 78		1,548 30	473 52	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI $2 Current yield 2 38%	Jul 9, 10	1 000	64 528	64 53	84 070	84 07	19 54	ST
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC	Aug 6, 10	2 000	295 405	590 81	399 550	799 10	208 29	ST
	Aug 12, 10	1 000	294 417	294 42	399 550	399 55	105 13	ST
	Aug 13, 10	1 000	297 559	297 56	399 550	399 55	101 99	ST
	Oct 1, 10	1 000	338 512	338 51	399 550	399 55	61 04	ST
Security total		5 000		1,521 30		1,997 75	476 45	
PROTECTIVE LIFE CORP								
Symbol PL Exchange NYSE								
EAI $12 Current yield 2 15%	Nov 15, 10	7 000	24 233	169 63	26 640	186 48	16 85	ST
	Nov 18, 10	7 000	24 118	168 83	26 640	186 48	17 65	ST
	Nov 22, 10	7 000	23 732	166 13	26 640	186 48	20 35	ST
Security total		21 000		504 59		559 44	54 85	
PRUDENTIAL FINANCIAL INC								
Symbol PRU Exchange NYSE								
EAI $24 Current yield 1 95%	Apr 12, 10	5 000	63 687	318 44	58 710	293 55	-24 89	ST
	May 10, 10	2 000	62 058	124 12	58 710	117 42	-6 70	ST
	May 26, 10	5 000	56 490	282 45	58 710	293 55	11 10	ST
	Jul 21, 10	9 000	54 060	486 54	58 710	528 39	41 85	ST
Security total		21 000		1,211 55		1,232 91	21 36	
PTT PUB CO LTD THB								
Symbol· PUTRF Exchange OTC								
EAI $31 Current yield 2 95%	Sep 8, 10	100 000	9 314	931 48	10 500	1,050 00	118 52	ST
PUBLIC SERVICE ENTERPRSE GROUP INC								
Symbol PEG Exchange NYSE								
EAI $40 Current yield 4 34%	Apr 1, 09	10 000	29 510	295 10	31 810	318 10	23 00	LT
	Oct 29, 09	8 000	30 108	240 87	31 810	254 48	13 61	LT
	Jul 21, 10	11 000	33 388	367 27	31 810	349 91	-17 36	ST
Security total		29 000		903 24		922 49	19 25	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
QBE INSURANCE GROUP LTD ORD AUD								
Symbol QBEIF Exchange OTC								
EAI $93 Current yield 7 57%	Oct 24, 08	44 000	15 830	696 52	18 604	818 57	122 05	LT
	Jun 16, 09	7 000	15 459	108 22	18 604	130 23	22 01	LT
	Feb 22, 10	7 000	21 650	151 55	18 604	130 23	-21 32	ST
	Jul 20, 10	3 000	15 740	47 22	18 604	55 81	8.59	ST
	Sep 8, 10	5 000	16 150	80 75	18 604	93 02	12 27	ST
Security total		66 000		1,084 26		1,227 86	143 60	
QUEST DIAGNOSTICS INC								
Symbol DGX Exchange NYSE								
EAI $4 Current yield 0 74%	Nov 18, 10	5 000	51 192	255 96	53 970	269 85	13 89	ST
	Nov 22, 10	5 000	50 469	252 35	53 970	269 85	17 50	ST
Security total		10 000		508.31		539 70	31 39	
RED HAT INC								
Symbol RHT Exchange NYSE	Sep 27, 10	7 000	40 977	286 84	45 650	319 55	32 71	ST
	Oct 1, 10	6 000	41 115	246 69	45 650	273 90	27 21	ST
Security total		13 000		533 53		593 45	59 92	
RENAISSANCERE HOLDINGS LTD								
Symbol RNR Exchange NYSE								
EAI $12 Current yield 1 57%	Aug 13, 10	3 000	56 070	168 21	63 690	191 07	22 86	ST
	Sep 14, 10	3 000	58 942	176 83	63 690	191 07	14 24	ST
	Sep 15, 10	3 000	59 275	177 83	63 690	191 07	13 24	ST
	Oct 20, 10	3 000	60 305	180 92	63 690	191 07	10 15	ST
Security total		12 000		703 79		764 28	60 49	
REYNOLDS AMERN INC (HOLDING CO)								
Symbol RAI Exchange NYSE								
EAI $35 Current yield 5 96%	Apr 13, 10	6 000	27 111	162 67	32 620	195 72	33 05	ST
	Apr 14, 10	6 000	27 063	162 38	32 620	195 72	33 34	ST
	Jul 21, 10	6 000	28 190	169 14	32 620	195 72	26 58	ST
Security total		18 000		494 19		587 16	92 97	
RIVERBED TECHNOLOGY INC								
Symbol RVBD Exchange OTC	Nov 3, 10	8 000	29 241	233 93	35 170	281 36	47 43	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 26, 10	5 000	34 671	173 36	35 170	175 85	2 49	ST
Security total		13 000		407 29		457 21	49 92	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol RHHBY Exchange OTC EAI $87 Current yield 3 17%	Mar 3, 09	3 000	26 971	80 91	36 650	109 95	29 04	LT
	Mar 4, 09	3 000	27 516	82 55	36 650	109 95	27 40	LT
	Mar 12, 09	11 000	30 344	333 79	36 650	403 15	69 36	LT
	Mar 12, 09	5 000	30 421	152 11	36 650	183 25	31 14	LT
	Mar 20, 09	18 000	32 388	582 99	36 650	659 70	76 71	LT
	Mar 23, 09	2 000	32 348	64 70	36 650	73 30	8 60	LT
	Jul 8, 09	4 000	34 418	137 67	36 650	146 60	8 93	LT
	Feb 22, 10	10 000	42 240	422 40	36 650	366 50	-55 90	ST
	Mar 15, 10	2 000	41 053	82 11	36 650	73 30	-8 81	ST
	Mar 16, 10	7 000	41 497	290 48	36 650	256 55	-33 93	ST
	Jul 19, 10	5 000	34 272	171 36	36 650	183 25	11 89	ST
	Jul 20, 10	5 000	34 087	170 44	36 650	183 25	12 81	ST
Security total		75 000		2,571 51		2,748 75	177 24	
ROCKWELL AUTOMATION INC NEW Symbol ROK Exchange NYSE EAI $7 Current yield 1 95%	Nov 22, 10	5 000	66 262	331 31	71 710	358 55	27 24	ST
ROSSI RESIDENCIAL S A BRL Symbol RSRZY Exchange OTC EAI· $10 Current yield 1 09%	Sep 30, 09	89 000	4 048	360 28	4 420	393 38	33 10	LT
	Oct 1, 09	75 000	3 871	290 33	4 420	331 50	41 17	LT
	Feb 22, 10	24 000	4 070	97 68	4 420	106 08	8 40	ST
	Jul 20, 10	20 000	4 196	83 94	4 420	88 40	4 46	ST
Security total		208 000		832 23		919 36	87 13	
ROVI CORP COM Symbol ROVI Exchange OTC	Aug 12, 10	5 000	41 835	209 18	62 010	310 05	100 87	ST
	Aug 13, 10	4 000	42 029	168 12	62 010	248 04	79 92	ST
	Oct 1, 10	4 000	50 067	200 27	62 010	248 04	47 77	ST
Security total		13 000		577 57		806 13	228 56	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SAFEWAY INC								
Symbol SWY Exchange NYSE								
EAI $16 Current yield 2 16%	Jan 21, 10	6 000	23 025	138 15	22 490	134.94	-3 21	ST
	Apr 5, 10	9 000	25 524	229 72	22 490	202 41	-27 31	ST
	Apr 19, 10	7 000	26 138	182 97	22 490	157 43	-25 54	ST
	Jul 21, 10	11 000	20 458	225 04	22 490	247 39	22 35	ST
Security total		33 000		775 88		742 17	-33 71	
SANDS CHINA LTD								
Exchange OTC	Mar 11, 10	400 000	1 418	567 52	2 197	878 80	311 28	ST
	Mar 12, 10	400 000	1 420	568 20	2 197	878 80	310 60	ST
Security total		800 000		1,135 72		1,757 60	621 88	
SANTOS LTD ORD ORD AUD								
Symbol STOSF Exchange OTC								
EAI $46 Current yield 3 16%	May 20, 09	52 000	11 322	588 75	13 479	700 91	112 16	LT
	Jun 19, 09	16 000	11 983	191 73	13 479	215 66	23 93	LT
	Feb 22, 10	10 000	12 250	122 50	13 479	134 79	12 29	ST
	Jul 20, 10	4 000	12 300	49 20	13 479	53 92	4 72	ST
	Dec 20, 10	26 000	13 511	351 30	13 479	350 45	-0 85	ST
Security total		108 000		1,303 48		1,455 73	152 25	
SARA LEE CORP								
Symbol SLE Exchange NYSE								
EAI $28 Current yield 2 67%	Oct 20, 10	19 000	14 552	276 49	17 510	332 69	56 20	ST
	Oct 25, 10	15 000	14 539	218 09	17 510	262 65	44 56	ST
	Nov 11, 10	16 000	15 354	245 67	17 510	280 16	34 49	ST
	Nov 22, 10	10 000	15 259	152 59	17 510	175 10	22 51	ST
Security total		60 000		892 84		1,050 60	157 76	
SEARS HOLDINGS CORP								
Symbol SHLD Exchange OTC	Aug 5, 10	3 000	70 974	212 92	73 750	221 25	8 33	ST
	Aug 6, 10	4 000	71 300	285 20	73 750	295 00	9 80	ST
	Oct 1, 10	3 000	71 303	213 91	73 750	221 25	7 34	ST
	Oct 4, 10	3 000	68 852	206 56	73 750	221 25	14 69	ST
	Oct 5, 10	3 000	72 214	216 64	73 750	221 25	4 61	ST
Security total		16 000		1,135 23		1,180 00	44 77	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SMITHFIELD FOODS INC								
Symbol SFD Exchange NYSE	Jun 11, 10	2 000	16 893	33 79	20 630	41 26	7 47	ST
	Jun 25, 10	6 000	15 114	90 69	20 630	123 78	33 09	ST
	Jul 21, 10	10 000	14 160	141 60	20 630	206 30	64 70	ST
	Dec 20, 10	8 000	20 613	164 91	20 630	165 04	0 13	ST
	Dec 21, 10	8 000	20 802	166 42	20 630	165 04	-1 38	ST
Security total		34.000		597 41		701 42	104 01	
SOFTBANK CORP ADR								
Symbol SFTBY Exchange OTC	Oct 18, 10	3 000	337 685	1,013 06	349 440	1,048 32	35 26	ST
SONY CORP ADR NEW JAPAN ADR								
Symbol SNE Exchange NYSE								
EAI $12 Current yield 0 71%	Dec 23, 09	4 000	29 342	117 37	35 710	142 84	25 47	LT
	Dec 24, 09	22 000	29 257	643 67	35 710	785 62	141 95	LT
	Jan 12, 10	4 000	31 586	126 35	35 710	142 84	16 49	ST
	Feb 22, 10	5 000	34 728	173 64	35 710	178 55	4 91	ST
	May 11, 10	4 000	33 802	135 21	35 710	142 84	7 63	ST
	Jul 20, 10	3 000	26 796	80 39	35 710	107 13	26 74	ST
	Dec 1, 10	5 000	36 521	182 61	35 710	178 55	-4 06	ST
Security total		47 000		1,459 24		1,678 37	219 13	
STANDARD CHARTERED PLC								
Symbol SCBFF Exchange OTC								
EAI $23 Current yield 2 50%	Dec 22, 08	2 000	11 350	22 70	27 015	54 03	31 33	LT
	Dec 24, 08	25 000	5 858	146 45	27 015	675 38	528 93	LT
	Feb 22, 10	4 000	24 074	96 30	27 015	108 06	11 76	ST
	Nov 9, 10	3 000	20 673	62 02	27 015	81 05	19 03	ST
Security total		34 000		327 47		918 51	591 05	
STARWOOD HOTELS & RESORTS								
WORLDWIDE INC NEW								
Symbol HOT Exchange NYSE								
EAI $8 Current yield 0 51%	Aug 12, 10	9 000	46 723	420 51	60 780	547 02	126 51	ST
	Aug 13, 10	5 000	46 897	234 49	60 780	303 90	69 41	ST
	Sep 1, 10	3 000	47 796	143 39	60 780	182 34	38 95	ST
	Sep 7, 10	4 000	49 796	199 19	60 780	243 12	43 93	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 4, 10	5 000	52 246	261 23	60 780	303 90	42 67	ST
Security total		26 000		1,258 81		1,580 28	321 47	
STRAITS ASIA RES LTD ADR								
Symbol SSGDY Exchange OTC								
EAI $10 Current yield 0 88%	Jul 28, 10	15 000	30 857	462 86	39 330	589 95	127 09	ST
	Oct 7, 10	1 000	35 998	36 00	39 330	39 33	3 33	ST
	Nov 9, 10	13 000	39 367	511 78	39 330	511 29	-0 49	ST
Security total		29 000		1,010 64		1,140 57	129 93	
SUMCO CORP ADR								
Symbol SUOPY Exchange OTC	Jun 28, 10	20 000	35 002	700 05	28 850	577 00	-123 05	ST
	Nov 29, 10	8 000	32 442	259 54	28 850	230 80	-28 74	ST
Security total		28 000		959 59		807 80	-151 79	
SUMITOMO MITSUI FINANCIAL SPON ADR								
Symbol SMFG Exchange NYSE								
EAI $65 Current yield 2 45%	Jan 14, 10	13 000	6 377	82 91	7 110	92 43	9 52	ST
	Feb 22, 10	68 000	6 265	426 07	7 110	483 48	57 41	ST
	Mar 29, 10	23 000	6 575	151 23	7 110	163 53	12 30	ST
	Mar 30, 10	10 000	7 050	70 50	7 110	71 10	0 60	ST
	Jul 20, 10	23 000	5 580	128 34	7 110	163 53	35 19	ST
	Jul 27, 10	18 000	5 897	106 16	7 110	127 98	21 82	ST
	Jul 28, 10	15 000	6 008	90 13	7 110	106 65	16 52	ST
	Oct 6, 10	203 000	5 927	1,203 19	7 110	1,443 33	240 14	ST
Security total		373 000		2,258 53		2,652 03	393 50	
SYMANTEC CORP								
Symbol SYMC Exchange OTC	Nov 11, 10	18 000	16 981	305 67	16 740	301 32	-4 35	ST
SYNGENTA AG SPON ADR								
Symbol SYT Exchange NYSE								
EAI $24 Current yield 1 51%	Oct 24, 08	13 000	29 760	386 88	58 780	764 14	377 26	LT
	Feb 22, 10	2 000	52 799	105 60	58 780	117 56	11 96	ST
	Jul 8, 10	6 000	43 410	260 46	58 780	352 68	92 22	ST
	Jul 20, 10	1 000	45 400	45 40	58 780	58 78	13 38	ST
	Sep 14, 10	5 000	50 476	252 38	58 780	293 90	41 52	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		27 000		1,050 72		1,587 06	536 34	
SYNNEX CORP								
Symbol SNX Exchange NYSE	Sep 28, 09	3 000	30 971	92 91	31 200	93 60	0 69	LT
	Sep 29, 09	5 000	30 891	154 46	31 200	156 00	1 54	LT
	Jul 21, 10	4 000	25 760	103 04	31 200	124 80	21 76	ST
Security total		12 000		350 41		374 40	23 99	
TECH DATA CORP								
Symbol TECD Exchange OTC	Mar 4, 10	3 000	42 261	126 78	44 020	132 06	5 28	ST
	Mar 12, 10	3 000	43 129	129 39	44 020	132 06	2 67	ST
	Mar 29, 10	3 000	42 667	128 00	44 020	132 06	4 06	ST
	Apr 19, 10	3 000	43 058	129 18	44 020	132 06	2 88	ST
	Jul 21, 10	7 000	38 379	268 66	44 020	308 14	39 48	ST
Security total		19 000		782 01		836 38	54 37	
TELE NORTE LESTE PARTICIPACOES S A ADR Symbol TNE Exchange NYSE								
EAI $53 Current yield 7 84%	Oct 24, 08	24 000	10 846	260 32	14 700	352 80	92 48	LT
	Jul 17, 09	15 000	14 561	218 42	14 700	220 50	2 08	LT
	Feb 22, 10	7 000	17 927	125 49	14 700	102 90	-22 59	ST
Security total		46 000		604 23		676 20	71 97	
TELEFONICA S A SPON ADR Symbol TEF Exchange NYSE								
EAI $75 Current yield 6 09%	Jul 30, 10	14 000	68 272	955 81	68 420	957 88	2 07	ST
	Aug 5, 10	4 000	70 163	280 65	68 420	273 68	-6 97	ST
Security total		18 000		1,236 46		1,231 56	-4 90	
THALES SPONS ORD EUR Symbol THLEF Exchange OTC								
EAI $17 Current yield 1 86%	Oct 24, 08	15 000	40 130	601 95	35 128	526 92	-75 03	LT
	Mar 23, 09	7 000	39 195	274 37	35 128	245 89	-28 48	LT
	Feb 22, 10	4 000	39 940	159 76	35 128	140 51	-19 25	ST
Security total		26 000		1,036 08		913 32	-122 76	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC	Feb 8, 10	29 000	30 424	882 32	39 930	1,157 97	275 65	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 12, 10	21 000	30 670	644 07	39 930	838 53	194 46	ST
	Feb 24, 10	7 000	33 553	234 87	39 930	279 51	44.64	ST
	Apr 20, 10	15 000	36 243	543 66	39 930	598 95	55 29	ST
	Apr 29, 10	7 000	36 527	255 70	39 930	279 51	23 81	ST
	Apr 30, 10	8 000	36 587	292 70	39 930	319 44	26 74	ST
	May 24, 10	1 000	36 989	36 99	39 930	39 93	2 94	ST
	Jun 30, 10	9 000	34 306	308 76	39 930	359 37	50 61	ST
	Jul 7, 10	12 000	34 868	418 42	39 930	479 16	60 74	ST
	Jul 19, 10	9 000	35 490	319 41	39 930	359 37	39 96	ST
	Jul 21, 10	37 000	35 909	1,328 65	39 930	1,477 41	148.76	ST
Security total		155 000		5,265 55		6,189 15	923 60	
TORCHMARK CORP Symbol TMK Exchange NYSE EAI $20 Current yield 1 05%	Aug 5, 10	9 000	53 176	478 59	59 740	537 66	59 07	ST
	Nov 11, 10	4 000	58 732	234 93	59 740	238 96	4 03	ST
	Nov 15, 10	4 000	58 957	235 83	59 740	238 96	3 13	ST
	Nov 18, 10	3 000	59 133	177 40	59 740	179 22	1 82	ST
	Nov 22, 10	3 000	58 406	175 22	59 740	179 22	4 00	ST
	Nov 29, 10	3 000	57 917	173 75	59 740	179 22	5 47	ST
	Dec 3, 10	3 000	60 498	181 49	59 740	179 22	-2 27	ST
	Dec 7, 10	3 000	61 500	184 50	59 740	179 22	-5 28	ST
Security total		32 000		1,841 71		1,911 68	69 97	
TOSHIBA CORP ADR Symbol TOSYY Exchange OTC EAI $4 Current yield 0 34%	Jul 31, 09	9 000	26 542	238 89	32 220	289 98	51 09	LT
	Oct 22, 09	3 000	35 987	107 96	32 220	96 66	-11 30	LT
	Feb 22, 10	7 000	30 300	212 10	32 220	225 54	13 44	ST
	Jul 15, 10	5 000	31 544	157 72	32 220	161 10	3 38	ST
	Jul 20, 10	2 000	31 275	62 55	32 220	64 44	1 89	ST
	Dec 3, 10	11 000	32 143	353 58	32 220	354 42	0 84	ST
Security total		37 000		1,132 80		1,192 14	59 34	

continued next page

Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TOTAL S A FRANCE SPON ADR Symbol TOT Exchange NYSE EAI $77 Current yield 4 64%	Jan 28, 09	19 000	52 772	1,002 67	53 480	1,016 12	13 45	LT
	Feb 18, 09	4 000	49 442	197 77	53 480	213 92	16 15	LT
	Dec 21, 09	2 000	62 660	125 32	53 480	106 96	-18 36	LT
	Feb 22, 10	4 000	58 188	232 75	53 480	213 92	-18 83	ST
	Jul 20, 10	2 000	48 380	96 76	53 480	106 96	10 20	ST
Security total		31 000		1,655 27		1,657 88	2 61	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR Symbol TM Exchange NYSE EAI $39 Current yield 1 21%	Jan 14, 10	11 000	91 046	1,001 51	78 630	864 93	-136 58	ST
	Jan 29, 10	2 000	77 229	154 46	78 630	157 26	2 80	ST
	Feb 4, 10	3 000	71 801	215 41	78 630	235 89	20 48	ST
	Feb 22, 10	3 000	73 328	219 98	78 630	235 89	15 91	ST
	Mar 15, 10	3 000	77 948	233 84	78 630	235 89	2 05	ST
	Mar 16, 10	3 000	78 861	236 58	78 630	235 89	-0 69	ST
	Mar 29, 10	5 000	80 886	404 43	78 630	393 15	-11 28	ST
	Jul 20, 10	2 000	69 810	139 62	78 630	157 26	17 64	ST
	Nov 22, 10	5 000	77 963	389 82	78 630	393 15	3 33	ST
	Dec 2, 10	4 000	79 045	316 18	78 630	314 52	-1 66	ST
Security total		41 000		3,311 83		3,223 83	-88 00	
TRANSOCEAN LTD CHF Symbol RIG Exchange NYSE	Apr 20, 10	4 000	92 093	368 37	69 510	278 04	-90 33	ST
	Jul 12, 10	5 000	53 419	267 10	69 510	347 55	80 45	ST
	Sep 10, 10	1 000	58 610	58 61	69 510	69 51	10 90	ST
	Sep 29, 10	2 000	65 649	131 30	69 510	139 02	7 72	ST
Security total		12 000		825 38		834 12	8 74	
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI $161 Current yield 2 58%	Oct 28, 09	18 000	51 091	919 64	55 710	1,002 78	83 14	LT
	Nov 2, 09	20 000	50 339	1,006 79	55 710	1,114 20	107 41	LT
	Nov 9, 09	4 000	53 014	212 06	55 710	222 84	10 78	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 11, 09	7 000	54 123	378 86	55 710	389 97	11 11	LT
	Nov 13, 09	6 000	53 347	320 08	55 710	334 26	14 18	LT
	Nov 19, 09	6 000	52 823	316 94	55 710	334 26	17 32	LT
	Dec 23, 09	3 000	49 137	147 41	55 710	167 13	19 72	LT
	Jan 8, 10	9 000	48 311	434 81	55 710	501 39	66 58	ST
	Feb 18, 10	1 000	52 760	52 76	55 710	55 71	2 95	ST
	Jul 21, 10	38 000	50 390	1,914 82	55 710	2,116 98	202 16	ST
Security total		112 000		5,704 17		6,239 52	535 35	
TURKCELL ILETISIM HIZMETLERI A S NEW ADR Symbol TKC Exchange NYSE EAI $31 Current yield 3 48%	Nov 8, 10	45 000	19 338	870 21	17 130	770 85	-99 36	ST
	Dec 8, 10	7 000	16 914	118 40	17 130	119 91	1 51	ST
Security total		52 000		988 61		890 76	-97 85	
UNITED PARCEL SERVICE INC CL B Symbol UPS Exchange NYSE EAI $11 Current yield 2 53%	Jan 20, 10	4 000	60 935	243 74	72 580	290 32	46 58	ST
	Jul 21, 10	2 000	60 450	120 90	72 580	145 16	24 26	ST
Security total		6 000		364 64		435 48	70 84	
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI $34 Current yield 1 38%	Jul 21, 09	20 000	25 330	506 61	36 110	722 20	215 59	LT
	Jul 27, 09	8 000	27 437	219 50	36 110	288 88	69 38	LT
	Jul 31, 09	6 000	28 129	168 77	36 110	216 66	47 89	LT
	Sep 10, 09	11 000	28 390	312 30	36 110	397 21	84 91	LT
	Jul 21, 10	23 000	30 898	710 65	36 110	830 53	119 88	ST
Security total		68 000		1,917 83		2,455 48	537 65	
VALERO ENERGY CORP NEW Symbol VLO Exchange NYSE EAI $25 Current yield 0 85%	Jul 15, 10	9 000	17 587	158 29	23 120	208 08	49 79	ST
	Jul 19, 10	13 000	16 970	220 61	23 120	300 56	79 95	ST
	Jul 20, 10	9 000	17 099	153 90	23 120	208 08	54 18	ST
	Jul 21, 10	28 000	17 285	484 01	23 120	647 36	163 35	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 26, 10	22 000	17 506	385 14	23 120	508 64	123 50	ST
	Aug 12, 10	14 000	17 059	238 84	23 120	323 68	84 84	ST
	Aug 13, 10	10 000	17 075	170 75	23 120	231 20	60 45	ST
	Aug 20, 10	12 000	16 433	197 21	23 120	277 44	80 23	ST
	Sep 14, 10	10 000	17 434	174 34	23 120	231 20	56 86	ST
Security total		127 000		2,183 09		2,936 24	753 15	
VISA INC CL A Symbol V Exchange· NYSE EAI $34 Current yield 0 86%	Nov 3, 10	20 000	78 635	1,572 72	70 380	1,407 60	-165 12	ST
	Nov 5, 10	17 000	79 654	1,354 13	70 380	1,196 46	-157 67	ST
	Nov 10, 10	14 000	78 831	1,103 64	70 380	985 32	-118 32	ST
	Nov 24, 10	5 000	75 520	377 60	70 380	351 90	-25 70	ST
Security total		56 000		4,408 09		3,941 28	-466 81	
VISHAY INTERTECHNOLOGY Symbol VSH Exchange NYSE	Apr 21, 10	9 000	9 593	86 34	14 680	132 12	45 78	ST
	Apr 22, 10	9 000	9 501	85.51	14 680	132 12	46 61	ST
	Jul 21, 10	10 000	8 430	84 30	14 680	146 80	62 50	ST
	Nov 29, 10	11 000	14 332	157 65	14 680	161 48	3 83	ST
Security total		39 000		413 80		572 52	158 72	
VMWARE INC CL A Symbol VMW Exchange NYSE	Jul 1, 10	3 000	61 826	185 48	88 910	266 73	81 25	ST
	Jul 21, 10	3 000	73 419	220 26	88 910	266 73	46 47	ST
	Nov 22, 10	2 000	81 044	162 09	88 910	177 82	15 73	ST
Security total		8 000		567 83		711 28	143 45	
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange NYSE EAI $65 Current yield 4 92%	Mar 3, 09	10 000	16 574	165 75	26 440	264 40	98 65	LT
	Mar 23, 09	4 000	17 500	70 00	26 440	105 76	35 76	LT
	Sep 8, 09	6 000	22 649	135 90	26 440	158 64	22 74	LT
	Dec 2, 09	1 000	23 993	23 99	26 440	26 44	2 45	LT
	Dec 3, 09	7 000	23 367	163 57	26 440	185 08	21 51	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 22, 10	16 000	22 010	352 16	26 440	423 04	70 88	ST
	Jul 20, 10	6 000	21 875	131 26	26 440	158 64	27 38	ST
Security total		50 000		1,042 63		1,322 00	279 37	
WASH POST CO CL B Symbol WPO Exchange NYSE EAI $9 Current yield 2 05%	Nov 10, 10	1 000	386 392	386 39	439 500	439 50	53 11	ST
WELLPOINT INC Symbol WLP Exchange NYSE	Jun 29, 09	1 000	51 475	51 48	56 860	56 86	5 38	LT
	Jul 1, 09	9 000	51 884	466 96	56 860	511 74	44 78	LT
	Jul 8, 09	9 000	50 197	451 78	56 860	511 74	59 96	LT
	Jul 10, 09	6 000	49 758	298 55	56 860	341 16	42 61	LT
	Jul 21, 10	14 000	52 100	729 40	56 860	796 04	66 64	ST
Security total		39 000		1,998 17		2,217 54	219 37	
WHIRLPOOL CORP Symbol WHR Exchange NYSE EAI $19 Current yield 1 94%	Dec 16, 09	1 000	77 485	77 49	88 830	88 83	11 34	LT
	Dec 17, 09	2 000	78 130	156 26	88 830	177 66	21 40	LT
	Dec 23, 09	2 000	82 472	164 95	88 830	177 66	12 71	LT
	Jan 20, 10	2 000	81 448	162 90	88 830	177 66	14 76	ST
	Jul 21, 10	4 000	85 956	343 82	88 830	355 32	11 50	ST
Security total		11 000		905 42		977 13	71 71	
WHITE ENERGY CO LTD AUD Symbol· WECFF Exchange OTC	Nov 11, 09	40 000	2 500	100 00	3 526	141 04	41 04	LT
	Nov 12, 09	65 000	2 611	169 77	3 526	229 19	59 42	LT
	Nov 13, 09	30 000	2 578	77 34	3 526	105 78	28 44	LT
	Nov 16, 09	20 000	2 598	51 98	3 526	70 52	18 54	LT
	Nov 17, 09	20 000	2 578	51 57	3 526	70 52	18 95	LT
	Nov 18, 09	25 000	2 549	63 75	3 526	88 15	24 40	LT
	Nov 19, 09	10 000	2 561	25 62	3 526	35 26	9 64	LT
	Nov 20, 09	25 000	2 548	63 72	3 526	88 15	24 43	LT
	Nov 23, 09	37 000	2 530	93 63	3 526	130 46	36 83	LT
	Feb 22, 10	45 000	2 090	94 05	3 526	158 67	64 62	ST
Security total		317 000		791 43		1,117 74	326 31	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WORLEYPARSONS LTD ADR								
Symbol WYGPY Exchange OTC								
EAI $22 Current yield 2 42%	Dec 8, 10	33 000	26 432	872 27	27 550	909 15	36 88	ST
XL GROUP PLC SHS								
Symbol XL Exchange NYSE								
EAI $9 Current yield 1 79%	Feb 12, 10	7 000	17 347	121 43	21 820	152 74	31 31	ST
	Feb 18, 10	1 000	18 370	18 37	21 820	21 82	3 45	ST
	Mar 4, 10	7 000	19 005	133 04	21 820	152 74	19 70	ST
	Jul 21, 10	8 000	17 548	140 38	21 820	174 56	34 18	ST
Security total		23 000		413 22		501 86	88 64	
YAMANA GOLD INC CAD								
Symbol AUY Exchange NYSE								
EAI $18 Current yield 0 96%	Sep 28, 10	146 000	11 425	1,668 18	12 800	1,868 80	200 62	ST
ZIMMER HOLDINGS INC								
Symbol ZMH Exchange NYSE	Apr 29, 10	6 000	61 518	369 11	53 680	322 08	-47 03	ST
	May 3, 10	2 000	61 345	122 69	53 680	107 36	-15 33	ST
	May 13, 10	2 000	60 997	121 99	53 680	107 36	-14 63	ST
	Jul 21, 10	6 000	52 868	317 21	53 680	322 08	4 87	ST
Security total		16 000		931 00		858 88	-72 12	
ZURICH FINANCIAL SVCS SPON ADR								
Symbol ZFSVY Exchange OTC								
EAI $69 Current yield 4 76%	Oct 24, 08	22 000	16 100	354 20	25 890	569 58	215 38	LT
	Nov 3, 08	19 000	20 430	388 19	25 890	491 91	103 72	LT
	Feb 22, 10	4 000	23 710	94 84	25 890	103 56	8 72	ST
	May 26, 10	7 000	19 545	136 82	25 890	181 23	44 41	ST
	Jul 20, 10	4 000	22 650	90 60	25 890	103 56	12 96	ST
Security total		56 000		1,064 65		1,449 84	385 19	
Total				**$267,498.38**		**$311,343.16**	**$43,844.77**	

Total estimated annual income: $4,797

Your assets ▸ **Equities** (continued)

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SPDR DOW JONES REIT ETF								
Symbol RWR Exchange NYSE								
EAI $477 Current yield 2 94%	Oct 23, 08	243 000	39 978	9,714 78	61 020	14,827 86	5,113 08	LT
	Dec 23, 08	1 000	38 130	38 13	61 020	61 02	22 89	LT
	Oct 22, 09	15 000	45 550	683 25	61 020	915 30	232 05	LT
	Jan 5, 10	4 000	48 787	195 15	61 020	244 08	48 93	ST
	Jul 20, 10	3 000	53 349	160 05	61 020	183 06	23 01	ST
Security total		266 000		10,791 36		16,231 32	5,439 96	

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
ALLIANZ NFJ INTL									
VALUE FUND CLASS A									
Symbol AFJAX									
Trade date Oct 23, 08	419 720	13 350	5,603 26	5,603 26	20 720	8,696 60	3,093 34		LT
Trade date Oct 22, 09	12 190	18 870	230 03	230 03	20 720	252 58	22 55		LT
Trade date Feb 22, 10	556 069	18 410	10,237 23	10,237 23	20 720	11,521 75	1,284 52		ST
Trade date Jul 20, 10	24 719	17 930	443 21	443 21	20 720	512 18	68 97		ST
Total reinvested	26 902	18 396		494 91	20 720	557 41	62 50		
EAI $329 Current yield 1 53%									
Security total	1,039 600		16,513 73	17,008 64		21,540 51	4,531 88	5,026 79	

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SPDR SER TRUST BARCLAYS								
CAPITAL HIGH YIELD ETF								
Symbol· JNK Exchange NYSE								
EAI $2,156 Current yield 9 34%	Feb 22, 10	552 000	38 870	21,456 24	39 710	21,919 92	463 68	ST
	May 17, 10	15 000	38 190	572 85	39 710	595 65	22 80	ST
	Nov 11, 10	14 000	40 280	563 92	39 710	555 94	-7 98	ST
Security total		581 000		22,593 01		23,071 51	478 50	

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
AMERICAN FUNDS BOND									
FUND OF AMERICA CLASS F									
Symbol BFAFX									
Trade date Oct 23, 08	7,821 775	10 920	85,413 78	85,413 78	12 190	95,347 43	9,933 65		LT
Trade date Oct 22, 09	319 384	11 800	3,768 73	3,768 73	12 190	3,893 29	124 56		LT
Trade date Jul 29, 10	1,526 939	12 270	18,735 54	18,735 54	12 190	18,613 38	-122 16		ST
Total reinvested	390 722	12 137		4,742 49	12 190	4,762 90	20 41		
EAI $4,627 Current yield 3 77%									
Security total	10,058 820		107,918 05	112,660 54		122,617 01	9,956 46	14,698 95	
OPPENHEIMER INTL BOND FD CL A									
Symbol OIBAX									
Trade date Oct 23, 08	1,040 850	5 580	5,807 94	5,807 94	6 560	6,827 97	1,020 03		LT
Trade date Dec 3, 09	3,737 144	6 720	25,113 61	25,113 61	6 560	24,515 66	-597 95		LT
Trade date Jul 20, 10	1,369 929	6 380	8,740 15	8,740 15	6 560	8,986 73	246 58		ST

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Total reinvested	823 506	6 231		5,131 46	6 560	5,402 20	270 74		
EAI $1,882 Current yield 4 12%									
Security total	6,971 429		39,661 70	44,793 16		45,732 57	939 40	6,070 86	
PUTNAM DIVERSIFIED									
INCOME TRUST CLASS A									
Symbol PDINX									
Trade date Feb 22, 10	6,837 673	7 880	53,880 86	53,880 86	8 100	55,385 15	1,504 29		ST
Trade date Jul 20, 10	1,258 458	7 940	9,992 16	9,992 16	8 100	10,193 51	201 35		ST
Total reinvested	635.878	7 994		5,083 47	8 100	5,150 61	67 14		
EAI $6,863 Current yield 9 70%									
Security total	8,732 009		63,873 02	68,956 49		70,729 27	1,772 78	6,856 25	
Total			**$211,452.77**	**$226,410.19**		**$239,078.85**	**$12,668.64**	**$27,626.08**	

Total estimated annual income: $13,372

Alternative strategies

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
DIAMOND HILL LONG-SHORT									
FUND CLASS A									
Symbol DIAMX									
Trade date Oct 23, 08	4,854 246	14 120	68,541 95	68,541 95	16 260	78,930 04	10,388 09		LT
Trade date Jul 20, 10	590 860	15 160	8,957 44	8,957 44	16 260	9,607 38	649 94		ST
Total reinvested	108 558	13 846		1,503 18	16 260	1,765 15	261 97		
Security total	5,553 664		77,499 39	79,002 57		90,302 57	11,300 00	12,803 18	

Your assets (continued)

Broad commodities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
IVY GLOBAL NATURAL									
RESOURCES FUND CLASS A									
Symbol IGNAX									
Trade date Oct 23, 08	1,091 325	14 970	16,337 14	16,337 14	21 610	23,583 53	7,246 39		LT
Trade date Oct 22, 09	255 803	18 600	4,757 94	4,757 94	21 610	5,527 90	769 96		LT
Trade date Feb 22, 10	100 754	17 950	1,808 54	1,808 54	21 610	2,177 29	368 75		ST
Trade date Jul 20, 10	20 445	16 240	332 02	332 02	21 610	441 82	109 80		ST
Security total	1,468 327		23,235 64	23,235 64		31,730 54	8,494 90	8,494 90	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**12,233.49**	**1.64%**	**12,233.49**		
Equities	Common stock	311,343 16		267,498 38	4,797 00	43,844 77
	Closed end funds & Exchange traded products	16,231 32		10,791 36	477 00	5,439 96
	Mutual funds	21,540 51		17,008 64	329 00	4,531 88
	Total equities	**349,114.99**	**46.83%**	**295,298.38**	**5,603.00**	**53,816.61**
Fixed income	Closed end funds & Exchange traded products	23,071 51		22,593 01	2,156 00	478 50
	Mutual funds	239,078 85		226,410 19	13,372 00	12,668 64
	Total fixed income	**262,150.36**	**35.16%**	**249,003.20**	**15,528 00**	**13,147.14**
Alternative strategies	**Mutual funds**	**90,302.57**	**12.11%**	**79,002.57**		**11,300.00**
Broad commodities	**Mutual funds**	**31,730.54**	**4.26%**	**23,235.64**		**8,494.90**
Total		**$745,531.95**	**100.00%**	**$658,773.28**	**$21,131.00**	**$86,758.65**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	114,365 78	84,070 73	1 00	0 01%	Nov 23 to Dec 26	34

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HARMAN INTL INDS INC NEW								
Symbol HAR Exchange NYSE	Jan 1, 01	2,220 000	0 320	713.19[1]	46 300	102,786 00	102,072 81	LT
	Jan 1, 01	7,780 000	0 321	2,498 94[1]	46.300	360,214 00	357,715 06	LT
Security total		10,000.000		3,212 13		463,000.00	459,787 87	

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**84,070.73**	**15.37%**	**84,070.73**		
Equities	**Common stock**	**463,000.00**	**84.63%**	**3,212.13**		**459,787.87**
Total		**$547,070.73**	**100.00%**	**$87,282.86**		**$459,787.87**

Office Use Only: **Fiscal Year**

The Commonwealth of Massachusetts
OFFICE OF THE ATTORNEY GENERAL
NON-PROFIT ORGANIZATIONS/PUBLIC CHARITIES DIVISION
ONE ASHBURTON PLACE
BOSTON, MASSACHUSETTS 02108

(617) 727-2200, ext. 2101
www.mass.gov/ago/charities

Form PC

COPY

Check all items attached (if applicable)
- ☐ Schedule A-1
- ☐ Schedule A-2
- ☐ Schedule RO
- ☐ Probate Account
- ☒ Copy of IRS Return
- ☐ Audited Financial Statements/Review
- ☒ Filing Fee
- ☐ Amended Articles/ By-Laws

Report for the Fiscal Period: 01/01/10 **to** 12/31/10

Attorney General's Account #: __________

Federal ID #: 04-3340178

When did the organization first engage in charitable work in Massachusetts? 12/24/1996

Has the organization applied for or been granted IRS tax exempt status? ☒ Yes ☐ No

If yes, date of application **OR** date of determination letter: __________

IRS Exemption under 501(c): 3

If exempt under 501(c), are contributions to the organization tax deductible as charitable contributions? ☒ Yes ☐ No

Organization Data

Name: The Harman Cain Family Foundation

Mailing Address 397 South Street

City. Needham State MA ZIP. 02492

Phone Number (781) 449-4189 Fax Number: __________

Email. __________ Website __________

In the table below, please enter the appropriate codes from the corresponding tables found in the instructions
Enter **up to 2** codes from Table 3 for your organization's main purpose(s)

Category	Code	Category	Code
County (Table 1)	11	Organization Purpose Code 1	1
Type of Organization (Table 2)	26	Organization Purpose Code 2	21

Please check box if final return prior to dissolution: ☐

Office Use Only: **Payment Received**

The Harman Cain Family Foundation 04-3340178

All questions must be completed in their entirety whether or not similar questions are answered in an attached federal form. See instructions and definition section for guidance

1. On what date was the organization created? 12/24/1996

2 Where was the organization created? Needham, MA

3 What is the form of organization? *(check one)*

Corporation	☐	Testamentary Trust	☐
Unincorporated Association	☐	Inter Vivos Trust	☒

Other (please describe) ____________________

4. Was your organization related to any other organization(s) during the reporting year (see definition of "Related Organization")? *If yes, please complete the Schedule RO on pages 13 and 14.* ☐ Yes ☒ No

5. Enter your summary of financial data

	Financial Data	Amounts
A.	Contributions, gifts, grants, and similar amounts received	
B	Gross support and revenue	30,705.
C.	Program services and similar amounts paid out	92,050.
D.	Fundraising expenses	
E	Management and general expenses	
F.	Payments to affiliates	
G	Total expenses	98,678.
H	Net assets or fund balances at the end of the year	743,137.

6. List the total compensation you provided to your five highest paid employees:

	Name/Title	Hrs/ Week	Salary and Other Income	Benefit Plans	Other Compensation
1.	NONE				
2.					
3					
4					
5.					

7 Was any compensation provided to any of the individuals listed in question 6 above which was not quantified in your response to 6? *If yes, please provide explanation (attach separate sheet)* ☐ Yes ☒ No

The Harman Cain Family Foundation 04-3340178

8 List the name, amount of compensation paid, and the nature of services rendered by each of the organization's five highest paid consultants providing professional services (e.g attorneys, architects, accountants, management companies, investment advisors, professional solicitors, professional fundraising counsel).

	Name/Title	Amount of Compensation	Type(s) of Service
1.	UBS Financial	5,653.	Investment management
2.			
3.			
4.			
5			

9. Bank(s) in which the organization's funds are deposited *(include bank addresses and phone numbers)*

Bank	Address	Phone Number
UBS Financial	1501 K Street NW Washington, DC 20005	(202) 942-2800

10 What is the organization's accounting method? ☒ Cash ☐ Accrual

☐ Other *(specify)* ____________

11. If organization's mailing address is a P O Box, list the organization's full street address:

Address ____________

City ____________ State ____________ ZIP Code. ____________

12. Contact Person Name: Barbara L. Harman

Street Address 397 South Street

City Needham State MA ZIP Code. 02492

Phone Number (781) 441-4189

13 During the fiscal year reported here, did your organization solicit contributions or have funds solicited on its behalf? ☐ Yes ☒ No

14 At any time during the fiscal year following the year reported here, will your organization, or others acting on its behalf, solicit contributions? ☐ Yes ☒ No

If you answered yes to Question 13 or 14, you must complete Schedule A-1 and/or Schedule A-2 unless you are exempt from the solicitation certificate requirement.

15 If you are claiming an exemption from the solicitation certificate requirement, please indicate by checking the box to the right to identify which exemption applies to your organization

a religious organization	☐
an organization which (a) does not raise more than $5,000 during a calendar year OR does not receive contributions from more than ten persons during a calendar year, AND (b) carries out all of its activities, including fundraising, through unpaid volunteers *(The conditions at both (a) and (b) must be met for your organization to qualify for this exemption.)*	☐

16. Attach a list of names, addresses (street and/or mailing), and telephone numbers of other offices/chapters/branches/affiliates.

17. Attach a list of names, titles, and addresses (street and/or mailing) of officers, directors, trustees, and the principal salaried executives of organization
Statement 1

18. Attach a list of names, titles, and addresses (street and/or mailing) of any individual(s) authorized to sign checks, and any individual(s) responsible for. custody of funds, distribution of funds; fundraising, and custody of financial records.

19 Has this organization or any of its officers, directors, employees or fundraisers solicited funds in any other state? ☐ Yes ☒ No

If you attach list of states where solicitation was conducted, including registered agency, dates of registration, registration numbers, any other names under which the organization was/is registered, and the dates and type (mail, telephone, door to door, special events, etc.) of the solicitation conducted

FORM PC Officers, Directors, Trustees and Executives Statement 1

Name and Address	Title
Barbara L. Harman 397 South Street Needham, MA 02492	Trustee

Name and Address	Title
William E. Cain 397 South Street Needham, MA 02492	Trustee

20. Has this organization or any of its officers, directors, or employees.
If yes, please attach an explanation.

(a) Been enjoined or otherwise prohibited by a government agency/court from operating or soliciting contributions?	☐ Yes	☒ No
(b) Ever been refused registration or had its registration or tax exemption denied, suspended, modified or revoked by a governmental agency?	☐ Yes	☒ No
(c) Been the subject of a proceeding regarding any solicitation or registration?	☐ Yes	☒ No
(d) Entered into a voluntary agreement of compliance or consent judgment with any government agency or in a case before a court or administrative agency?	☐ Yes	☒ No

21. Have any restrictions been removed during the year from donor-restricted funds? *If yes, please attach an explanation*	☐ Yes	☒ No
22. Have donor-restricted funds been loaned to unrestricted funds? *If yes, please attach an explanation*	☐ Yes	☒ No

23 This question involves "Termination of Employment or Changes of Control Compensatory Arrangements" with certain "Related Parties" (*see instructions and definition sections*). Report only if payments made or promised to any individual are in excess of four months salary or $100,000, whichever dollar amount is less.

(a) Did you make actual payments or otherwise transfer value under such an arrangement to any individual described in Related Party definition, sections (a) or (b), which payments are not reported in Question 6 or 7 above?	☐ Yes	☒ No
(b) Do you have an agreement with any individual described in Related Party definition, sections (a) or (b), containing such an agreement?	☐ Yes	☒ No

If you answered **yes** *for Question 23(a) or 23(b) above, please attach an explanation identifying the individual(s) involved, stating the amount of any payments made or value transferred, and describing the terms of each agreement*

24 This question applies to related party transactions, which include transactions with officers, directors, trustees, certain employees, relative, and organizations they own or control. Please consult the instructions and definition sections for the definition of a "Related Party" and "Indebtedness" before answering. Note that transactions involving related parties must be reported even when there is no accounting recognition (e.g. in-kind gifts, waiver of interest not otherwise reported)

If the answer to any part of Question 24 is **yes**, *attach a schedule stating the name and address of the related party, the nature of the transaction, the value or the amounts involved in the transaction, and the procedure followed in authorizing the transaction.*

	During the year:		
A.	Has your organization sold or transferred assets to or purchased assets from or exchanged assets with a related party?	☐ Yes	☒ No
B.	Has your organization leased assets to or leased assets from a related party?	☐ Yes	☒ No
C	Has your organization been indebted to a related party?	☐ Yes	☒ No
D.	Has your organization allowed a related party to be indebted to it?	☐ Yes	☒ No
E.	Has your organization made or held an investment in a related party?	☐ Yes	☒ No
F.	Has your organization furnished goods, services, or facilities to a related party?	☐ Yes	☒ No
G.	Has your organization acquired goods, services, or facilities from a related party who received compensation or other value in return?	☐ Yes	☒ No
H	Has your organization paid or became obligated to pay wages, salary, or other compensation to a related party?	☐ Yes	☒ No
I.	Has your organization transferred income or assets to or for use by a related party?	☐ Yes	☒ No
J	Was your organization a party to any transaction in which any of its officers, directors, or trustees has a material financial interest, or did any officer, director, or trustee receive anything of value not reported as compensation?	☐ Yes	☒ No
K	Has your organization invested in any corporate stock of a company in which any officer, director, or trustee owns more than 10% of the outstanding shares?	☐ Yes	☒ No
L	Is any property of the organization held in the name of or commingled with the property of any other person or organization?	☐ Yes	☒ No
M.	Did your organization make a grant award or contribution to any other organization in which any of this organization's officers, directors, or trustees has a relationship?	☐ Yes	☒ No

Signature Required

Under penalty of perjury, I declare that the information furnished in this report, including all attachments, is true and correct to the best of my knowledge.

Signature: ______ Date. ______

Printed Name ______

Title: Trustee

Name of Preparer Fedele and Murray, P.C.

Address 17 Walpole Street

City Norwood State MA ZIP Code 02062-3318

Phone Number (781) 551-5900