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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning **12/01/09**, and ending **11/30/10**

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation FAY SHWAYDER FOUNDATION		A Employer identification number 84-6041358
	Number and street (or P O box number if mail is not delivered to street address) 24 SHAMAN	Room/suite	B Telephone number (see page 10 of the instructions) 505-983-0084
	City or town, state, and ZIP code SANTA FE NM 87506		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,800,781	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The

total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	26,296			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	25,015	25,015		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	28,792			
b Gross sales price for all assets on line 6a 399,301				
7 Capital gain net income (from Part IV, line 2)		28,792		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns & allowances				
b Less. Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	80,103	53,807	0	
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 1	3,782	2,837		945
c Other professional fees (attach schedule) STMT 2	5,137	5,137		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att sch)				
24 Total operating and administrative expenses. Add lines 13 through 23	8,919	7,974		945
25 Contributions, gifts, grants paid	29,546			29,546
26 Total expenses and disbursements. Add lines 24 and 25	38,465	7,974	0	30,491
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	41,638			
b Net investment income (if negative, enter -0-)		45,833		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		23,212	15,136	15,136
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (att. schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶				
		Less: accumulated depreciation (attach sch.) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule) SEE STATEMENT 3		946,726	996,438	1,063,145
	14	Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation (attach sch.) ▶				
	15	Other assets (describe ▶ SEE STATEMENT 4)		1,722,500	1,722,500	1,722,500
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		2,692,438	2,734,074	2,800,781
Liabilities	17	Accounts payable and accrued expenses		500	498	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		500	498	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		2,691,938	2,733,576	
	30	Total net assets or fund balances (see page 17 of the instructions)		2,691,938	2,733,576	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		2,692,438	2,734,074	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,691,938
2	Enter amount from Part I, line 27a	2	41,638
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,733,576
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,733,576

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ESSENTIAL ADVISORS - SEE ATTACHED	P	VARIOUS	VARIOUS
b	ESSTENITAL ADV MUTUAL FUNDS			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 398,950		370,509	28,441
b 351			351
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			28,441
b			351
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	28,792
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	28,441

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,941	847,403	0.004651
2007	315,747	1,148,491	0.274923
2006	6,409	1,176,484	0.005448
2005	31,475	1,071,647	0.029371
2004	1,131,551	1,575,236	0.718337

2 Total of line 1, column (d)	2	1.032730
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.206546
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,007,237
5 Multiply line 4 by line 3	5	208,041
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	458
7 Add lines 5 and 6	7	208,499
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	30,491

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	917
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2	3	917
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	917
6 Credits/Payments.		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	906
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	906
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	11
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► SUSAN DEJONG 24 SHAMAN Located at ► SANTE FE, NM	Telephone no. ► ZIP+4 ► 87506		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN DEJONG 24 SHAMAN SANTA FE NM 87506	PRESIDENT 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4 N/A	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions. 3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	998,211
b	Average of monthly cash balances	1b	24,365
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,022,576
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,022,576
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	15,339
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,007,237
6	Minimum investment return. Enter 5% of line 5	6	50,362

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	50,362
2a	Tax on investment income for 2009 from Part VI, line 5	2a	917
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	917
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	49,445
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	49,445
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	49,445

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	30,491
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,491
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,491

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				49,445
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				954,629
b From 2005				
c From 2006				
d From 2007				258,792
e From 2008				
f Total of lines 3a through e	1,213,421			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 30,491				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				30,491
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	18,954			18,954
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,194,467			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	935,675			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	258,792			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	258,792			
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
SUSAN DEJONG
24 SHAMAN SANTE FE NM 87501

b The form in which applications should be submitted and information and materials they should include.
NONE SPECIFIED

c Any submission deadlines:
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year WALKER ART CENTER 1750 HENNEPIN AVE MINNEAPOLIS MN 55403 SANTA FE CONCERT ASSOC 321 WEST SAN FRANCISCO #1 SANTA FE NM 87501 SANTA FE OPERA P.O. BOX 2408 SANTA FE NM 87504 SITE SANTA FE 1606 PASEO PERALTA SANTA FE NM 87501 SANTA FE CHAMBER MUSIC FE P.O. BOX 2227 SANTA FE NM 87504 SANTA FE COMMUNITY FOUNDA 516 ALTO ST. SANTA FE NM 87501			PROMOTE EXPRESSION OF ARTIST SUPPORT WORLD CLASS MUSIC SUPPORT SANTA FE OPERA SUPPORT CUTURAL ARTS ORGAINIZATION SUPPORT CHAMBER MUSIC IN SANTA FE PROMOTE A HEALTHY COMMUNITY	 150 500 600 1,000 1,000 26,296
Total			► 3a	29,546
b Approved for future payment N/A				
Total			► 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>		Yes	No
1a(1)			X
1a(2)			X
1b(1)			X
1b(2)			X
1b(3)			X
1b(4)			X
1b(5)			X
1b(6)			X
1c			X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRESIDENT

Title

Sign Here

**Paid
Preparer's
Use Only**

Preparer's
signature

Date _____

Check if
self-employed ►

Preparer's identifying
number (see **Signature** on
page 30 of the instructions)
P00294953

Firm's name (or yours if self-employed), address, and ZIP code

STRICKLIN & ASSOCIATES, P.C.

1540 EMERSON ST

DENVER, CO 80218-1450

EIN ▶

Phone no **303-832-6112**

Federal Statements

84-6041358

FYE: 11/30/2010

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEES	\$ 3,782	\$ 2,837	\$	\$ 945
TOTAL	\$ 3,782	\$ 2,837	\$ 0	\$ 945

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	\$ 5,137	\$ 5,137	\$	\$
TOTAL	\$ 5,137	\$ 5,137	\$ 0	\$ 0

Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL EXCISE TAX	\$	\$	\$	\$
TOTAL	\$ 0	\$ 0	\$ 0	\$ 0

Federal Statements**Statement 3 - Form 990-PF, Part II, Line 13 - Other Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
STOCK AND BOND PORTFOLIO	\$ 946,726	\$ 996,438	MARKET	\$ 1,063,145
TOTAL	<u>\$ 946,726</u>	<u>\$ 996,438</u>		<u>\$ 1,063,145</u>

Statement 4 - Form 990-PF, Part II, Line 15 - Other Assets

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
MUSICAL INSTRUMENTS LOANED TO UNIVER	\$ 1,722,500	\$ 1,722,500	\$ 1,722,500
TOTAL	<u>\$ 1,722,500</u>	<u>\$ 1,722,500</u>	<u>\$ 1,722,500</u>

Federal Statements**Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

Name of Manager	Amount
NONE	\$
TOTAL	\$ 0

Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

Name of Manager	Amount
NONE	\$
TOTAL	\$ 0

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
NONE SPECIFIED

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
NONE



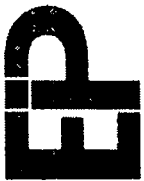
Essential Investment Partners

Essential Investment Partners LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG

951

From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-06-05	12-03-09	1,732.435	Royce Total Return	20,469		18,000		-2,469
11-24-08	12-10-09	2,103.049	Artio Intl Equity Fd II Cl A	20,000		25,447		5,447
12-30-08	12-10-09	70.988	Artio Intl Equity Fd II Cl A	691		859	168	
05-06-05	12-30-09	923.881	Royce Total Return	10,916		10,089		-827
06-13-05	12-30-09	11.234	Royce Total Return	137		123		-14
09-12-05	12-30-09	14.147	Royce Total Return	183		154		-29
12-05-05	12-30-09	12.611	Royce Total Return	161		138		-23
12-05-05	12-30-09	19.962	Royce Total Return	255		218		-37
12-05-05	12-30-09	176.849	Royce Total Return	2,257		1,931		-325
03-13-06	12-30-09	10.885	Royce Total Return	144		119		-25
06-12-06	12-30-09	18.531	Royce Total Return	241		202		-39
09-12-06	12-30-09	8.945	Royce Total Return	117		98		-19
12-06-06	12-30-09	7.556	Royce Total Return	105		83		-22
12-06-06	12-30-09	1.228	Royce Total Return	17		13		-4
12-06-06	12-30-09	108.416	Royce Total Return	1,500		1,184		-317
03-12-07	12-30-09	8.774	Royce Total Return	122		96		-26
06-13-07	12-30-09	10.301	Royce Total Return	153		112		-40
09-11-07	12-30-09	10.910	Royce Total Return	153		119		-34
12-07-07	12-30-09	8.164	Royce Total Return	108		89		-19
12-07-07	12-30-09	22.299	Royce Total Return	294		244		-51
12-07-07	12-30-09	210.118	Royce Total Return	2,771		2,294		-477
03-11-08	12-30-09	12.419	Royce Total Return	149		136		-14
06-10-08	12-30-09	11.660	Royce Total Return	150		127		-22
09-09-08	12-30-09	14.087	Royce Total Return	167		154		-13
12-09-08	12-30-09	36.167	Royce Total Return	297		395		98
12-09-08	12-30-09	1.674	Royce Total Return	14		18		5
03-11-09	12-30-09	20.349	Royce Total Return	136		222	86	
06-10-09	12-30-09	12.959	Royce Total Return	119		142	22	
09-09-09	12-30-09	10.188	Royce Total Return	103		111	8	
12-09-09	12-30-09	5.105	Royce Total Return	53		56	2	
07-30-09	01-12-10	34	Vanan Medical Systems, Inc.	1,166		1,625	459	
11-24-08	01-14-10	1,774.623	Harbor Bond Fund Inst Cl	20,024		21,771		1,747
12-19-08	01-14-10	16.037	Harbor Bond Fund Inst Cl	180		197		17
12-19-08	01-14-10	37.891	Harbor Bond Fund Inst Cl	425		465		40
12-19-08	01-14-10	29.309	Harbor Bond Fund Inst Cl	329		360		31
01-14-09	01-14-10	177.971	Harbor Bond Fund Inst Cl	2,048		2,183	136	



Essential Investment Partners

Essential Investment Partners LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG

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From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-13-06	02-01-10	46	Automatic Data Processing Inc	2,008		1,877		-131
02-04-09	02-01-10	29	General Mills, Inc	1,731		2,051	320	
03-19-09	02-01-10	3	General Mills, Inc.	143		212	69	
07-27-05	02-01-10	45	Johnson & Johnson	2,899		2,834		-65
07-20-07	03-03-10	28	United Technologies Corporation	2,128		1,942		-186
07-27-05	03-19-10	23	FactSet Research Systems Inc.	831		1,705		873
12-09-08	03-19-10	11	FactSet Research Systems Inc.	430		815		385
11-16-07	03-19-10	38	Nike Inc	2,370		2,790		420
12-23-05	04-13-10	61	Cognizant Technology Solutions Corp-CIA	1,566		3,273		1,708
01-14-09	06-18-10	4,169,855	Harbor Bond Fund Inst Cl	47,976		52,726		4,749
03-30-09	06-18-10	56,050	Harbor Bond Fund Inst Cl	632		709		76
06-29-09	06-18-10	60,504	Harbor Bond Fund Inst Cl	717		765	48	
09-29-09	06-18-10	64,464	Harbor Bond Fund Inst Cl	798		815	17	
12-18-09	06-18-10	95,446	Harbor Bond Fund Inst Cl	1,168		1,207	39	
12-18-09	06-18-10	69,842	Harbor Bond Fund Inst Cl	855		883	28	
12-18-09	06-18-10	23,799	Harbor Bond Fund Inst Cl	291		301	10	
03-30-10	06-18-10	17,448	Harbor Bond Fund Inst Cl	216		221	5	
07-27-05	07-07-10	91	Brown-Forman Corporation CIB	4,242		5,355		1,114
12-13-06	07-13-10	95	Automatic Data Processing Inc	4,147		3,943		-205
12-09-08	07-13-10	48	Automatic Data Processing Inc.	1,896		1,992		96
07-20-07	07-15-10	54	United Technologies Corporation	4,103		3,662		-441
07-27-05	07-22-10	89	Sysco Corporation	3,188		2,717		-472
12-19-05	07-22-10	42	Sysco Corporation	1,374		1,282		-93
12-09-08	07-22-10	32	Sysco Corporation	722		977		254
12-23-05	08-09-10	35	Cognizant Technology Solutions Corp-CIA	898		2,138		1,239
03-19-09	08-18-10	62	General Mills, Inc.	1,478		2,194		716



Essential Investment Partners

Essential Investment Partners LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG

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From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-24-09	08-18-10	102	General Mills, Inc.	2,566		3,609		1,043
05-06-09	08-18-10	76	General Mills, Inc.	1,990		2,689		699
12-09-08	09-14-10	32	FactSet Research Systems Inc.	1,251		2,673		1,422
12-18-09	09-14-10	32	FactSet Research Systems Inc.	2,071		2,673	602	
04-10-08	09-16-10	3,000,000	Aquila Three Peaks High Inc Fd	28,634		27,755		-879
07-18-07	09-16-10	1,565,357	Loomis Sayles Bond Fund	22,807		22,025		-783
07-26-07	09-16-10	24,705	Loomis Sayles Bond Fund	357		348		-10
08-28-07	09-16-10	25,337	Loomis Sayles Bond Fund	362		356		-6
09-25-07	09-16-10	25,917	Loomis Sayles Bond Fund	378		365		-14
10-30-07	09-16-10	25,281	Loomis Sayles Bond Fund	379		356		-23
11-27-07	09-16-10	26,638	Loomis Sayles Bond Fund	391		375		-16
12-14-07	09-16-10	57,677	Loomis Sayles Bond Fund	828		812		-17
01-29-08	09-16-10	20,856	Loomis Sayles Bond Fund	303		293		-10
02-26-08	09-16-10	26,583	Loomis Sayles Bond Fund	382		374		-8
03-25-08	09-16-10	32,703	Loomis Sayles Bond Fund	461		460		-1
04-28-08	09-16-10	29,712	Loomis Sayles Bond Fund	425		418		-7
05-27-08	09-16-10	31,238	Loomis Sayles Bond Fund	448		440		-8
06-24-08	09-16-10	32,994	Loomis Sayles Bond Fund	463		464		2
07-29-08	09-16-10	34,339	Loomis Sayles Bond Fund	467		483		16
08-12-08	09-16-10	540,663	Loomis Sayles Bond Fund	7,304		7,607		303
11-16-07	09-20-10	28	Nike Inc.	1,746		2,193		446
10-10-08	09-20-10	45	Nike Inc.	2,277		3,524		1,247
12-09-08	09-20-10	28	Nike Inc.	1,490		2,193		703
08-29-08	09-20-10	6,351	PIMCO Total Return Fund	68		73		5
09-30-08	09-20-10	10,030	PIMCO Total Return Fund	103		115		12
10-31-08	09-20-10	11,862	PIMCO Total Return Fund	120		136		16
11-20-08	09-20-10	1,955,034	PIMCO Total Return Fund	20,024		22,462		2,438
11-28-08	09-20-10	13,379	PIMCO Total Return Fund	138		154		16
12-10-08	09-20-10	142,499	PIMCO Total Return Fund	1,411		1,637		226
12-10-08	09-20-10	81,167	PIMCO Total Return Fund	804		933		129
12-31-08	09-20-10	22,688	PIMCO Total Return Fund	230		261		31
01-30-09	09-20-10	24,340	PIMCO Total Return Fund	247		280		33
02-27-09	09-20-10	2,680	PIMCO Total Return Fund	27		31		4



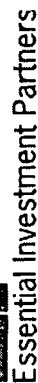
Essential Investment Partners

Essential Investment Partners LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG

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From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-27-09	09-20-10	2 643	PIMCO Total Return Fund	26		30		4
03-31-09	09-20-10	24,998	PIMCO Total Return Fund	253		287		34
04-30-09	09-20-10	2 329	PIMCO Total Return Fund	24		27		3
09-08-08	10-05-10	15	Praxair, Inc	1,266		1,364		98
09-23-08	10-05-10	23	Praxair, Inc	1,857		2,092		235
12-09-08	10-05-10	17	Praxair, Inc	977		1,546		569
09-16-10	10-06-10	2,635 000	First Trust/Four Corners Sr Floating Inc	35,766		36,008	242	
05-27-09	10-14-10	73	Apollo Group, Inc	4,278		2,766		-1,512
08-18-09	10-14-10	33	Apollo Group, Inc	2,121		1,251		-870
09-11-09	10-14-10	28	Apollo Group, Inc	1,856		1,061		-795
11-18-09	10-14-10	27	Apollo Group, Inc	1,527		1,023		
10-10-08	10-22-10	5	Waters Corporation	236		362	-504	126
10-23-08	10-22-10	20	Waters Corporation	813		1,447		634
10-29-08	10-22-10	22	Waters Corporation	881		1,592		711
12-09-08	10-22-10	31	Waters Corporation	1,265		2,243		978
07-27-05	11-09-10	59	Ametek Inc	1,605		3,304		1,698
07-27-05	11-09-10	57	Brown-Forman Corporation	2,657		3,563		906
12-09-08	11-09-10	37	Brown-Forman Corporation	1,874		2,313		438
07-20-07	11-09-10	6	United Technologies Corporation	456		458		2
12-09-08	11-09-10	32	United Technologies Corporation	1,549		2,444		896
04-28-09	11-09-10	40	United Technologies Corporation	1,937		3,055		1,119
10-25-10	11-26-10	1,072,000	DWS High Income Opportunities	15,761		16,199	438	
09-16-10	11-26-10	1,504 000	DWS Global High Income Fd	11,737		12,985	1,248	
09-16-10	11-30-10	496,000	DWS Global High Income Fd	3,871		4,009	138	
TOTAL GAINS							4,085	36,254
TOTAL LOSSES							-504	-11,396
TOTAL REALIZED GAIN/LOSS				370,509	0	398,950	3,582	24,859
						28,440		



Essential Investment Partners LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG

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From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Short Term	Long Term	Gain Or Loss
CAPITAL GAIN DISTRIBUTIONS									
	12-09-09		PIMCO Total Return Fund				214		59
	12-09-09		PIMCO Total Return Fund				351		
	12-16-09		IVA Intl Fd Cl A				855		
	12-18-09		Harbor Bond Fund Inst Cl						291
	12-18-09		Harbor Bond Fund Inst Cl						
TOTAL DISTRIBUTIONS			1,770				1,420	351	25,209
TOTAL GAIN/LOSS			30,211				5,001		

CAPITAL GAIN DISTRIBUTIONS

12-09-09	PIMCO Total Return Fund	214	59
12-09-09	PIMCO Total Return Fund		
12-16-09	IVA Intl Fd Cl A	351	
12-18-09	Harbor Bond Fund Inst Cl	855	
12-18-09	Harbor Bond Fund Inst Cl		291
	TOTAL DISTRIBUTIONS	1,770	351
	TOTAL GAIN/LOSS	5,001	25,209

Form **8868**
(Rev. January 2011)**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete ☐

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization FAY SHWAYDER FOUNDATION	Employer identification number 84-6041358
	Number, street, and room or suite no. If a P.O. box, see instructions 24 SHAMAN	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SANTA FE NM 87506	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ►
Telephone No. ► FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until _____, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year _____ or
 ► ☐ tax year beginning _____, and ending _____

- 2 If this tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.
DAA

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Page 2

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions	Name of exempt organization	Employer identification number
	FAY SHWAYDER FOUNDATION	84-6041358
	Number, street, and room or suite no. If a P.O. box, see instructions	
	24 SHAMAN	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	SANTA FE NM 87506	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ SUSAN DEJONG

Telephone No ▶

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is

for the whole group, check this box ☐If it is for part of the group, check this box ☐

and attach a

list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 10/15/11

5 For calendar year, or other tax year beginning 12/01/09, and ending 11/30/10

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUESTED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	906
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	906
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

Date ▶ 07/12/11

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