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Form 990-PF

**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

OMB No. 1545-0052

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2009

For calendar year 2009, or tax year beginning **DEC 1, 2009**, and ending **NOV 30, 2010**

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.		Name of foundation <b>RICHARD AND PEGGY GREENFIELD FOUNDATION</b> Number and street (or P.O. box number if mail is not delivered to street address) <b>211 ATLANTIC AVENUE</b>		Room/Suite	A Employer identification number <b>65-0627104</b>	
					B Telephone number <b>516-653-9921</b>	
					C If exemption application is pending, check here ► <input type="checkbox"/> D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
					E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
H Check type of organization:		<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____				
► \$ <b>2,803,018.</b> (Part I, column (d) must be on cash basis.)						
Revenue		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
1 Contributions, gifts, grants, etc., received		500,000.				
2 Check ► <input type="checkbox"/> If the foundation is not required to attach Sch B						
3 Interest on savings and temporary cash investments		26,720.	26,720.			
4 Dividends and interest from securities		87,649.	87,649.			
5a Gross rents						
b Net rental income or (loss)						
6a Net gain or (loss) from sale of assets not on line 10						
b Gross sales price for all assets on line 6a		246,594.				
7 Capital gain net income (from Part IV, line 2)			24,977.			
8 Net short-term capital gain				24,977.		
9 Income modifications						
10a Gross sales less returns and allowances						
b Less cost of goods sold						
c Gross profit or (loss)						
11 Other income		2,160.	2,160.			
12 Total. Add lines 1 through 11		641,506.	141,506.			
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.	
14 Other employee salaries and wages						
15 Pension plans, employee benefits						
16a Legal fees						
b Accounting fees		STMT 4	3,300.	3,300.	0.	
c Other professional fees						
17 Interest						
18 Taxes		STMT 5	2,099.	2,099.	0.	
19 Depreciation and depletion						
20 Occupancy						
21 Travel, conferences, and meetings						
22 Printing and publications						
23 Other expenses		STMT 6	2,331.	0.	0.	
24 Total operating and administrative expenses. Add lines 13 through 23			7,730.	5,399.	0.	
25 Contributions, gifts, grants paid			145,343.		145,343.	
26 Total expenses and disbursements			153,073.	5,399.	145,343.	
27 Subtract line 26 from line 12:			488,433.	136,107.	N/A	
a Excess of revenue over expenses and disbursements						
b Net investment income (if negative, enter -0-)						
c Adjusted net income (if negative, enter -0-)						

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Balance Sheets		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
1	Cash - non-interest-bearing	12,906.	4,871.	4,871.	
2	Savings and temporary cash investments	190,000.	190,000.	191,645.	
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations				
b	Investments - corporate stock	STMT 7	165,770.	133,532.	172,334.
c	Investments - corporate bonds	STMT 8	288,483.	295,410.	342,244.
11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 9	1,411,313.	1,933,092.	2,091,924.
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers)		2,068,472.	2,556,905.	2,803,018.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)		0.	0.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		2,068,472.	2,556,905.	
30	Total net assets or fund balances		2,068,472.	2,556,905.	
31	Total liabilities and net assets/fund balances		2,068,472.	2,556,905.	

## Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,068,472.
2	Enter amount from Part I, line 27a	2	488,433.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	2,556,905.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,556,905.

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## Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VANGUARD - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b	MORGAN STANLEY - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
c	MORGAN STANLEY - SEE ATTACHED STMT	P		
d	CAPITAL GAINS DIVIDENDS			
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 163,508.		153,016.	10,492.
b 98.			98.
c 75,429.		68,601.	6,828.
d 7,559.			7,559.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,492.
b			98.
c			6,828.
d			7,559.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	24,977.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 .....	{	3	N/A

## Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	141,810.	2,027,240.	.069952
2007	114,924.	1,700,110.	.067598
2006	45,010.	1,767,572.	.025464
2005	73,910.	1,632,632.	.045270
2004	133,622.	1,547,381.	.086354

2 Total of line 1, column (d) 2 .294638

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .058928

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 4 2,351,069.

5 Multiply line 4 by line 3 5 138,544.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 1,361.

7 Add lines 5 and 6 7 139,905.

8 Enter qualifying distributions from Part XII, line 4 8 145,343.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI Instructions

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## Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2009 estimated tax payments and 2008 overpayment credited to 2009

6a 1,600.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2010 estimated tax ►

239.

Refunded ►

11

1,600.

239.

0.

## Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes  No 

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

1b 

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c 

1c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2  X

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a 

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b 

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

6 

a By language in the governing instrument, or

b By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

FL 

FL

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b 

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV

9 

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10 

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## Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  11  X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?  12  X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A  13  X

14 The books are in care of ► RICHARD D. GREENFIELD Telephone no. ► 561-653-9921  
Located at ► 211 ATLANTIC AVENUE, PALM BEACH, FL ZIP+4 ► 33480

15 Section 4847(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15  N/A

## Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes  Nob If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?  N/A

1b

Organizations relying on a current notice regarding disaster assistance check here ►

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?  N/A

1c

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(l)(3) or 4942(j)(5)):

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?  Yes  No

2a

If "Yes," list the years ►

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)  N/A

2b

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  Nob If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)  N/A

3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a  X

4b

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b  X

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## Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

N/A

7b

## Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD D. GREENFIELD 211 ATLANTIC AVE. PALM BEACH, FL 33480	PRESIDENT 1.00	0.	0.	0.
MARGUERITE G. GREENFIELD 211 ATLANTIC AVE. PALM BEACH, FL 33480	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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## Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

NONE

Total number of others receiving over \$50,000 for professional services ► 0

## Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

## Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3 ►

0.

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**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 2,371,274.
b	Average of monthly cash balances	1b 15,598.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 2,386,872.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 2,386,872.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 35,803.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 2,351,069.
6	Minimum investment return. Enter 5% of line 5	6 117,553.

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 117,553.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 1,361.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 1,361.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 116,192.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 116,192.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 116,192.

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 2b	1a 145,343.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 145,343.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 1,361.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 143,982.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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## Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7 ..				116,192.
2 Undistributed Income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only ..				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 58,527.				
b From 2005				
c From 2006				
d From 2007 31,560.				
e From 2008 42,022.				
f Total of lines 3a through e 132,109.				
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 145,343.			0.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				116,192.
e Remaining amount distributed out of corpus	29,151.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	161,260.			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed Income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	58,527.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	102,733.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007 31,560.				
d Excess from 2008 42,022.				
e Excess from 2009 29,151.				

**Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

## 1 Information Regarding Foundation Managers:

a. List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (See instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

\* The name, address, and telephone number of the person to whom applications should be addressed:

b. The form in which applications should be submitted and information and materials they should include:

**6 Any submission deadlines:**

**d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors;**

Form 990-PF (2009)

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

Page 11

## Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> SEE SCHEDULE ATTACHED	NONE	PUBLIC CHARITY	TO FURTHER THE CHARITABLE PURPOSE	145,343.
<b>Total</b>				► 3a 145,343.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>				► 3b 0.

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**Form 990-PF (2008)**

RICHARD AND PEGGY GREENFIELD FOUNDATION

654

### **Analysis of Income-Producing Activities**

**Enter gross amounts unless otherwise indicated.**

		Unrelated business income		Excluded by section 512, 613, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount		
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		14		26,720.	
5 Net rental income or (loss) from real estate:		14		87,649.	
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory		18		2,160.	
9 Net income or (loss) from special events		18		24,977.	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		141,506.	
13 Total. Add line 12, columns (b), (d), and (e)					0.
(See worksheet in line 17 instructions for specific definitions.)					

### **Relationship of Activities to the Accomplishment of Exempt Purposes**

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

**2009**

Name of the organization

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION65-0627104

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

## Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page 1 of 1 of Part I

Name of organization

Employer identification number

**RICHARD AND PEGGY GREENFIELD FOUNDATION****65-0627104****Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<u>RICHARD D. GREENFIELD</u> <u>211 ATLANTIC AVE.</u> <u>PALM BEACH, FL 33480</u>	\$ <u>500,000.</u>	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
		\$ _____	(Complete Part II if there is a noncash contribution.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Page of of Part II

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

## Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see Instructions)	(d) Date received
		\$ _____	_____

**Schedule B (Form 990, 990-EZ, or 990-PF) (2009)**

Name of organization

Page \_\_\_\_\_ of \_\_\_\_\_ of Part III

**Employer identification number**

## RICHARD AND PEGGY GREENFIELD FOUNDATION

**Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See Instructions.) ► \$**

## RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN STANLEY	26,720.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	26,720.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY	12,924.	0.	12,924.
VANGUARD	82,284.	7,559.	74,725.
TOTAL TO FM 990-PF, PART I, LN 4	95,208.	7,559.	87,649.

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY - NATURAL RES PTRS LP	2,160.	2,160.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,160.	2,160.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	3,300.	3,300.		0.
TO FORM 990-PF, PG 1, LN 16B	3,300.	3,300.		0.

## RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	2,038.	2,038.		0.
FL TAX	61.	61.		0.

TO FORM 990-PF, PG 1, LN 18 2,099. 2,099.

FORM 990-PF

OTHER EXPENSES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY ACCOUNT FEES	2,331.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,331.	0.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #093538	42,367.	50,254.
PREFERRED STOCK	91,165.	122,080.
TOTAL TO FORM 990-PF, PART II, LINE 10B	133,532.	172,334.

FORM 990-PF

CORPORATE BONDS

STATEMENT

8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #093538	295,410.	342,244.
TOTAL TO FORM 990-PF, PART II, LINE 10C	295,410.	342,244.

## RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD INTER. TERM INV. GRADE	COST	1,331,194.	1,460,804.
VANGUARD SHORT TERM INV. GR	COST	503,393.	502,920.
NATURAL RESOURCE PARTNERS LP	COST	20,205.	30,410.
KINDER MORGAN MGMT. LLC	COST	54,801.	66,294.
ENBRIDGE ENERGY MGMT LLC	COST	23,499.	31,496.
<b>TOTAL TO FORM 990-PF, PART II, LINE 13</b>		<b>1,933,092.</b>	<b>2,091,924.</b>

65-0627104

**Greenfield Foundation Fy 2010 Cash Flow**  
 12/1/2009 through 11/30/2010

4/5/2011

Date	Account	Num	Description	Memo	Category	Amount
12/14/2009	FDN-Morgan ... 321		Artmy Art Ce... 1700 Parker Ave, WPB 33401		Charity:Donation	-100.00
12/18/2009	FDN - Vangua... 1158		Planned Pare... 2300 N. Florida Mango Road, WPB 33401		Charity:Donation	-5,000.00
12/18/2009	FDN - Vangua... 1062		Morse Lite Fo... 4847 Fred Gladstone Dr, WPB 33417		Charity:Donation	-500.00
1/19/2010	FDN - Vangua... 1157		CAP Compre... 2390 South Congress Ave, WPB 33406		Charity:Donation	-500.00
2/2/2010	FDN - Vangua... 1158		Legal Aid Soc... 423 Fern St #220 WPBeach FL 33401		Charity:Donation	-5,000.00
2/2/2010	FDN - Vangua... 1120		Fine Arts Mus... 50 Hagiwara Tea Garden, SF 94121 Curator... Charity:Donation		Charity:Donation	-5,000.00
2/4/2010	FDN-Morgan ... 322		Norton Sculpt... 253 Bandalone, WPB, FL 33401 family me... Charity:Donation		Charity:Donation	-175.00
2/22/2010	FDN-Morgan ... 325		Town Of Palm... 44 Cocoonut, Palm Beach FL 33480		Charity:Donation	-100.00
3/4/2010	FDN - Vangua... 1084		Ann Norton S... 253 Bandalone Place, WPB, FL 33401		Charity:Donation	-1,000.00
3/8/2010	FDN-Morgan ... 328		211 Palm Bea... P. O. Box 35881 Lantana, FL 33465		Charity:Donation	-833.00
3/15/2010	FDN-Morgan ... 327		Commonwealth... 585 Market Street, San Francisco, CA 94105		Charity:Donation	-155.00
3/12/2010	FDN - Vangua... 1159		Wounded Wa... 7020 AC Skinner Parkway, Suite 100, Jackie... Charity:Donation		Charity:Donation	-250.00
3/18/2010	FDN-Morgan ... 328		S. F. MOMA 151 Third St, San Francisco Ca 94103 b ... Charity:Donation		Charity:Donation	-250.00
3/21/2010	FDN - Vangua... 1160		S. F. MOMA 151 Third St, SF 94103		Charity:Donation	-1,000.00
3/18/2010	FDN-Morgan ... 117		Calf. Film Inst... 1001 Loelens Place, San Rafael, CA 94901		Charity:Donation	-200.00
3/11/2010	FDN-Morgan ... 324		Palm Beach D... 322 Banyan, West Palm Beach FL 33401		Charity:Donation	-700.00
4/30/2010	FDN - Vangua... 1122		S.F. Museum ... 151 Third St, SF 94103		Charity:Donation	-10,000.00
4/30/2010	FDN - Vangua... 1123		Contemporary... 736 Mission St, San Francisco 94103		Charity:Donation	-1,000.00
5/4/2010	FDN-Morgan ... 118		World Affairs ... 312 Sutter St S.F. 94108 5/30/2010 Senior ...		Charity:Donation	-85.00
5/19/2010	FDN - Vangua... 1162		Planned Pare... 2300 N. Florida Mango Road, WPB, (PP of ...		Charity:Donation	-50,000.00
6/17/2010	FDN-Morgan ... 147		Asian Art Mus... 200 Larkin St, San Francisco, CA 94102 ...		Charity:Donation	-75.00
6/25/2010	FDN - Vangua... 1124		COFAM 50 Hagiwara Tea Garden Dr, SF 94118 De... Charity:Donation		Charity:Donation	-750.00
7/1/2010	FDN - Vangua... 1125		Marin Food B... 75 Digital Drive, Novato CA 94949		Charity:Donation	-500.00
7/27/2010	FDN-Morgan ... 148		Lark Theater 649 Magnolia, Larkspur, CA 94939 silver m... Charity:Donation		Charity:Donation	-150.00
8/24/2010	FDN-Morgan ... 149		Contemporary... 738 Mission St SF 94103		Charity:Donation	-200.00
9/1/2010	FDN - Vangua... 1127		The Society O... 2 Four Arts Plaza, PB FL 33480		Charity:Donation	-1,200.00
9/14/2010	FDN - Vangua... 1128		National Galle... 4th St NW, Washington DC		Charity:Donation	-15,000.00
10/7/2010	FDN - Vangua... 1129		Habitat For H... 1225A South Military Trail, WPB 33415		Charity:Donation	-5,000.00
10/7/2010	FDN - Vangua... 1131		S. F. MOMA 151 Third St, SF Directors Circle		Charity:Donation	-15,000.00
10/21/2010	FDN-Morgan ... 179		E26 LA SPARC Bodg. 885 W. Veneta Blvd, Venice ...		Charity:Donation	-500.00
11/1/2010	FDN - Vangua... 1133		American Fri... 61 Londonderry Ct, Greenwich CT 06830		Charity:Donation	-10,000.00
11/11/2010	FDN - Vangua... 1134		Town Of Palm... 44 Cocoonut, Palm Beach 33480		Charity:Donation	-10,000.00
11/30/2010	FDN-Morgan ... 150		Artmy Art Ce... 1700 Parker Ave, WPB 33401		Charity:Donation	-100.00
2/3/2010	FDN-Morgan ... 323		Artmy Art Ce... 1700 Parker Ave, WPB 33401		Charity:Donation	-180.00
3/21/2010	FDN-Morgan ... 329		Bucks Co. Am... 312 W Maple Ave, Morrisville PA 19067		Charity:Donation	-30.00
12/10/2009	FDN - Vangua... 1081		211 Palm Bea... P. O. Box 35881 Lantana, FL 33465		Charity:Donation	-5,000.00

Tax  
Other

Total \$145,353

# AVERAGE COST SUMMARY

Single Category Method

# Vanguard

P.O. BOX 2800 · VALLEY FORGE, PA 19482-2800

2010 Tax Year

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65-0627104

RICHARD AND PEGGY GREENFIELD  
FOUNDATION  
211 ATLANTIC AVE  
PALM BEACH FL 33480

1-800-345-1344 C MITCHELL  
www.vanguard.com Website

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This Summary is not a tax document and information is not reported to the IRS. Vanguard provides cost basis information using the Average Cost Single Category method. You may want to consult a tax advisor to determine if this method is appropriate for you. Once you select the Average Cost Single Category method, you are not permitted to change without IRS approval. See IRS Publication 564, Mutual Fund Distributions, and IRS Publication 550, Investment Income and Expenses, for more information.

Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Fund/Account number: 0571/88015143479		
					Total gain or (loss)	Disallowed loss amount	Total gain or loss amount (Allowable loss)
Short-term	01/14	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	01/14	33.333	325.00	311.46	13.54	0.00	13.54
Short-term	01/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	01/29	51.020	500.00	476.82	23.18	0.00	23.18
Short-term	02/08	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	02/08	509.684	5,000.00	4,763.35	236.65	0.00	236.65
Short-term	02/12	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	02/12	513.347	5,000.00	4,797.59	202.41	0.00	202.41
Short-term	03/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/09	101.833	1,000.00	951.89	48.11	0.00	48.11
Short-term	03/26	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/26	102.041	1,000.00	953.84	46.16	0.00	46.16
Short-term	03/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/29	44.877	438.00	419.56	18.44	0.00	18.44
Short-term	03/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/29	163.934	1,600.00	1,532.64	67.36	0.00	67.36
Short-term	04/20	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	04/20	25.355	250.00	237.09	12.91	0.00	12.91
Short-term	05/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/10	101.317	1,000.00	947.65	52.35	0.00	52.35
Short-term	05/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/11	334.347	3,300.00	3,127.25	172.75	0.00	172.75
Short-term	05/12	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/12	1,014.199	10,000.00	9,486.11	513.89	0.00	513.89
Short-term	05/28	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/28	5,070.994	50,000.00	47,441.18	2,558.82	0.00	2,558.82
Short-term	07/06	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	07/06	74.850	750.00	700.45	49.55	0.00	49.55
Short-term	07/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	07/09	50.050	500.00	468.37	31.63	0.00	31.63
Short-term	09/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	09/09	117.302	1,200.00	1,098.57	101.43	0.00	101.43

For your convenience, you may also access average cost information on-line for both realized and unrealized gains and losses for your eligible Vanguard non-retirement accounts at [www.vanguard.com](http://www.vanguard.com)



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## AVERAGE COST SUMMARY

The Category Method

Vanguard

P.O. BOX 2600 · VALLEY FORGE, PA 19482-2600

2010 Tax Year

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65-0627104

RICHARD AND PEGGY GREENFIELD

FOUNDATION

211 ATLANTIC AVE  
PALM BEACH FL 334801-800-345-1344 C MITCHELL  
www.vanguard.com Website

## INTER-TERM INVEST-GR ADM

Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Fund/Account number: 0571/88015143479		
					Total gain or (loss)	Disallowed	Total gain or loss amount (Allowable loss)
Short-term	09/22	.000	60.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	09/22	1,447.876	15,000.00	13,559.79	1,440.21	0.00	1,440.21
Short-term	10/20	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/20	478.469	5,000.00	4,482.92	517.08	0.00	517.08
Short-term	11/04	.000	90.00	\$0.00	90.00	\$0.00	\$0.00
Long-term	11/04	1,427.212	15,000.00	13,377.97	1,622.03	0.00	1,622.03
Short-term	11/18	.000	90.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	11/18	972.763	10,000.00	9,118.19	881.81	0.00	881.81
Short-term	11/29	.000	90.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	11/29	971.817	10,000.00	9,109.33	890.67	0.00	890.67
Short-term	12/06	.000	90.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/06	97.656	1,000.00	915.72	84.28	0.00	84.28
Short-term	12/16	.000	90.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/16	49.900	500.00	467.91	32.09	0.00	32.09
Short-term	12/20	.000	90.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/20	496.032	5,000.00	4,651.29	348.71	0.00	348.71

## Account Summary

Short-term various	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term various	14,250.208	143,363.00	133,396.94	9,966.06	0.00	9,966.06

46500 ->      153,016  
136,863      from net  
51 netting      990.56  
26,645  
1635.08      104.91.52 gain

For your convenience, you may also access average cost information on-line for both realized and unrealized gains and losses for your eligible Vanguard non-retirement accounts at [www.vanguard.com](http://www.vanguard.com).

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Vanguard®

2009 Calendar Year

Page 7 of 7

## AVERAGE COST SUMMARY

Single Category Method

Richard And Peggy Greenfield  
Foundation

(800) 345-1344 - Christopher J. Mitchell  
ext. 7412 Registered Representative

Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares						Account number: 88015143479	
Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Total gain or (loss)	Disallowable loss amount	Total gain or (allowable loss)
Short-term	10/15	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/15	104.384	1,000.00	974.83	25.17	0.00	25.17
Short-term	10/26	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/26	2,087.683	20,000.00	19,496.66	503.34	0.00	503.34
Short-term	12/04	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/04	103.093	1,000.00	963.13	36.87	0.00	36.87
Short-term	12/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/09	153.846	1,500.00	1,437.28	82.72	0.00	82.72
Short-term	12/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/09	34.872	340.00	325.78	14.22	0.00	14.22
Short-term	12/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/10	154.162	1,500.00	1,440.23	59.77	0.00	59.77
Short-term	12/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/10	513.875	5,000.00	4,800.77	199.23	0.00	199.23
Short-term	12/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/11	31.411	305.00	293.45	11.55	0.00	11.55
Short-term	12/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/11	514.933	5,000.00	4,810.88	189.34	0.00	189.34
Short-term	12/14	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/14	514.933	5,000.00	4,810.66	189.34	0.00	189.34
Short-term	12/18	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/18	153.848	1,500.00	1,437.28	62.72	0.00	62.72
Short-term	12/24	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/24	519.211	5,000.00	4,850.62	149.38	0.00	149.38
Short-term	12/30	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/30	51.867	500.00	484.58	15.42	0.00	15.42
<b>Account Summary</b>							
Short-term	various	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	various	15,326.913	141,698.86	143,146.18	(1,447.32)	2,792.81	1,345.49

12/04 26,645 - 990.56

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**CLIENT STATEMENT** | For the Period November 1-30, 2010  
**Activity**

Morgan Stanley  
 SmithBarney

GREENFIELD FOUNDATION  
 211 ATLANTIC AVENUE

Choice Select Active Assets Account  
 626-093538-406

**SECURITY ACTIVITY**  
**CORPORATE ACTIONS**

Date	Activity Type	Description	Comments	Quantity
11/12	Stock Dividend	ENBRIDGE ENERGY MGMT LLC		8.000
11/12	Stock Dividend	KINDER MORGAN MGMT LLC		16.000

**REALIZED GAIN/(LOSS) DETAIL**

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ENBRIDGE ENERGY MGMT LLC	05/24/10	08/13/10		\$12,87 <del>*</del>	\$0.00	\$12,87	Cash in Lieu
	05/24/10	11/12/10		55.53 <del>*</del>	0.00	55.53	Cash in Lieu
KAYNE ANDERSON MLP INV CO	09/27/04	05/08/10	500,000	13,126.27	11,679.65	1,446.62	
	09/27/04	05/18/10	300,000	7,814.86	7,007.09	807.77	
	09/27/04	05/08/10	200,000	5,209.91	4,671.39	538.52	
	09/27/04	05/24/10	500,000	12,681.28	11,674.58	1,006.70	
	09/27/04	05/24/10	443,000	11,236.73	10,343.68	893.05	
	09/27/04	05/24/10	57,000	1,445.50	1,330.90	114.60	
	12/23/04	05/24/10	500,000	12,679.78	11,608.69	1,071.09	
	12/23/04	05/24/10	443,000	11,234.33	10,285.29	949.04	
KINDER MORGAN MGMT LLC	05/18/10	08/13/10		19.53 <del>*</del>	0.00	19.53	Cash in Lieu
	05/18/10	11/12/10		10.30 <del>*</del>	0.00	10.30	Cash in Lieu
<b>Net Realized Gain/(Loss) This Period</b>				<b>\$65.83</b>	<b>\$0.00</b>	<b>\$65.83</b>	
<b>Net Realized Gain/(Loss) Year to Date</b>				<b>\$75,526.99</b>	<b>\$58,601.27</b>	<b>\$16,925.72</b>	

Gain/(loss) information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/(loss) reporting. From January through September, realized gain/(loss) information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/(loss) detail will be displayed for trades settling through the last business day of the year.

**\$ 98 ST**  
 75,429 LT 6,8101 6,828 LT

**COPIES OF THIS STATEMENT HAVE ALSO BEEN SENT TO:**

RICHARD & PEGGY GREENFIELD