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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning **DEC 1, 2009**, and ending **NOV 30, 2010**
 G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation RICHARD AND PEGGY GREENFIELD FOUNDATION		A Employer identification number 65-0627104
	Number and street (or P.O. box number if mail is not delivered to street address) 211 ATLANTIC AVENUE		B Telephone number 516-653-9921
	City or town, state, and ZIP code PALM BEACH, FL 33480		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,803,018.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	500,000.			
	2 Check <input type="checkbox"/> If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	26,720.	26,720.		
	4 Dividends and interest from securities	87,649.	87,649.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	24,977.			
	b Gross sales price for all assets on line 6a	246,594.			
	7 Capital gain net income (from Part IV, line 2)		24,977.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	2,160.	2,160.		
	12 Total. Add lines 1 through 11	641,506.	141,506.		
	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	3,300.	3,300.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	2,099.	2,099.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	2,331.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	7,730.	5,399.		0.
	25 Contributions, gifts, grants paid	145,343.			145,343.
	26 Total expenses and disbursements. Add lines 24 and 25	153,073.	5,399.		145,343.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	488,433.			
	b Net investment income (if negative, enter -0-)		136,107.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	12,906.	4,871.	4,871.	
	2 Savings and temporary cash investments	190,000.	190,000.	191,645.	
	3 Accounts receivable				
	Less: allowance for doubtful accounts				
	4 Pledges receivable				
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable				
	Less: allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 7 165,770.	133,532.	172,334.	
	c Investments - corporate bonds	STMT 8 288,483.	295,410.	342,244.	
11 Investments - land, buildings, and equipment basis					
Less: accumulated depreciation					
12 Investments - mortgage loans					
13 Investments - other	STMT 9 1,411,313.	1,933,092.	2,091,924.		
14 Land, buildings, and equipment: basis					
Less: accumulated depreciation					
15 Other assets (describe)					
16 Total assets (to be completed by all filers)	2,068,472.	2,556,905.	2,803,018.		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31	<input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	2,068,472.	2,556,905.		
30 Total net assets or fund balances	2,068,472.	2,556,905.			
31 Total liabilities and net assets/fund balances	2,068,472.	2,556,905.			

Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,068,472.
2 Enter amount from Part I, line 27a	2	488,433.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	2,556,905.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,556,905.

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Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VANGUARD - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b MORGAN STANLEY - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
c MORGAN STANLEY - SEE ATTACHED STMT	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 163,508.		153,016.	10,492.
b 98.			98.
c 75,429.		68,601.	6,828.
d 7,559.			7,559.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			10,492.
b			98.
c			6,828.
d			7,559.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	24,977.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	141,810.	2,027,240.	.069952
2007	114,924.	1,700,110.	.067598
2006	45,010.	1,767,572.	.025464
2005	73,910.	1,632,632.	.045270
2004	133,622.	1,547,381.	.086354

2 Total of line 1, column (d)	2	.294638
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058928
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,351,069.
5 Multiply line 4 by line 3	5	138,544.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,361.
7 Add lines 5 and 6	7	139,905.
8 Enter qualifying distributions from Part XII, line 4	8	145,343.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

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Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 1,361.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 1,361.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 1,361.
6 Credits/Payments:		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 1,600.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 1,600.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 239.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 239. Refunded <input type="checkbox"/> 0.	11 0.	

Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► RICHARD D. GREENFIELD	Telephone no. ► 561-653-9921		
Located at ► 211 ATLANTIC AVENUE, PALM BEACH, FL		ZIP+4 ► 33480		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD D. GREENFIELD 211 ATLANTIC AVE. PALM BEACH, FL 33480	PRESIDENT 1.00	0.	0.	0.
MARGUERITE G. GREENFIELD 211 ATLANTIC AVE. PALM BEACH, FL 33480	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services.

0

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	
2		
3	All other program-related investments See instructions	
Total. Add lines 1 through 3		0.

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Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,371,274.
b	Average of monthly cash balances	1b	15,598.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,386,872.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,386,872.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,803.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,351,069.
6	Minimum investment return. Enter 5% of line 5	6	117,553.

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	117,553.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,361.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,361.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	116,192.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	116,192.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	116,192.

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 28	1a	145,343.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	145,343.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,361.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	143,982.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				116,192.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	58,527.			
b From 2005				
c From 2006				
d From 2007	31,560.			
e From 2008	42,022.			
f Total of lines 3a through e	132,109.			
4 Qualifying distributions for 2009 from Part XI, line 4: ▶ \$	145,343.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				116,192.
e Remaining amount distributed out of corpus	29,151.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	161,260.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	58,527.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	102,733.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	31,560.			
d Excess from 2008	42,022.			
e Excess from 2009	29,151.			

N/A

- b Check box to indicate whether the foundation is a private operating foundation described in section

4942(I)(3) or ☐ 4942(I)(5)

- b 85% of line 2a

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- a "Assets" alternative test - enter:
- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- ### 1 Information Regarding Foundation Managers:

1. List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a. The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2009)

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

Page 11

Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED	NONE	PUBLIC CHARITY	TO FURTHER THE CHARITABLE PURPOSE	145,343.
Total			▶ 3a	145,343.
b Approved for future payment NONE				
Total			▶ 3b	0.

RICHARD AND PEGGY GREENFIELD FOUNDATION

Analysis of Income-Producing Activities

65

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512 513 or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount			
1 Program service revenue:						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	26,720.		
5 Net rental income or (loss) from real estate:			14	87,649.		
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			18	2,160.		
8 Gain or (loss) from sales of assets other than inventory			18	24,977.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a						
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)		0.		141,506.	0.	
13 Total. Add line 12, columns (b), (d), and (e)					141,506.	

(See worksheet in line 13 instructions to verify calculations.)

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2009

Name of the organization

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION**65-0627104**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page 1 of 1 of Part I

Name of organization

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	RICHARD D. GREENFIELD 211 ATLANTIC AVE. PALM BEACH, FL 33480	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page of of Part II

Name of organization

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page of of Part III

Name of organization

Employer identification number

RICHARD AND PEGGY GREENFIELD FOUNDATION**65-0627104**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN STANLEY	26,720.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	26,720.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY	12,924.	0.	12,924.
VANGUARD	82,284.	7,559.	74,725.
TOTAL TO FM 990-PF, PART I, LN 4	95,208.	7,559.	87,649.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY - NATURAL RES PTRS LP	2,160.	2,160.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,160.	2,160.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	3,300.	3,300.		0.
TO FORM 990-PF, PG 1, LN 16B	3,300.	3,300.		0.

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	2,038.	2,038.		0.
FL TAX	61.	61.		0.
TO FORM 990-PF, PG 1, LN 18	2,099.	2,099.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY ACCOUNT FEES	2,331.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,331.	0.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #093538	42,367.	50,254.
PREFERRED STOCK	91,165.	122,080.
TOTAL TO FORM 990-PF, PART II, LINE 10B	133,532.	172,334.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #093538	295,410.	342,244.
TOTAL TO FORM 990-PF, PART II, LINE 10C	295,410.	342,244.

RICHARD AND PEGGY GREENFIELD FOUNDATION

65-0627104

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD INTER. TERM INV. GRADE	COST	1,331,194.	1,460,804.
VANGUARD SHORT TERM INV. GR	COST	503,393.	502,920.
NATURAL RESOURCE PARTNERS LP	COST	20,205.	30,410.
KINDER MORGAN MGMT. LLC	COST	54,801.	66,294.
ENBRIDGE ENERGY MGMT LLC	COST	23,499.	31,496.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,933,092.	2,091,924.

65-0627104

Greenfield Foundation Fy 2010 Cash Flow

12/1/2009 through 11/30/2010

4/5/2011

Page 2

Date	Account	Num	Description	Memo	Category	Amount
12/14/2009	FDN-Morgan ...	321	Armory Art Co...	1700 Parker Ave, WPB 33401	Charity:Donation	-100.00
12/18/2009	FDN - Vangua...	1156	Planned Pare...	2300 N. Florida Mango Road, WPB, (PP of ...	Charity:Donation	-5,000.00
12/18/2009	FDN - Vangua...	1062	Morse Life Fo...	4847 Fred Gladstone Dr, WPB 33417	Charity:Donation	-500.00
1/19/2010	FDN - Vangua...	1157	CAP Compre...	2330 South Congress Ave, WPB 33406	Charity:Donation	-500.00
2/2/2010	FDN - Vangua...	1158	Legal Aid Soci...	423 Fern St #200 WPBBeach FL 33401	Charity:Donation	-5,000.00
2/2/2010	FDN - Vangua...	1120	Fine Arts Mus...	50 Hagiwara Tea Garden, SF 94121Curator...	Charity:Donation	-5,000.00
2/4/2010	FDN-Morgan ...	322	Norton Sculpt...	253 Barcelona, WPB, FL 33401 family me...	Charity:Donation	-175.00
2/22/2010	FDN-Morgan ...	325	Town Of Palm...	44 Coconut, Palm Beach FL 33480	Charity:Donation	-100.00
3/4/2010	FDN - Vangua...	1064	Ann Norton S...	253 Barcelona Place, WPB, FL 33401	Charity:Donation	-1,000.00
3/8/2010	FDN-Morgan ...	328	211 Palm Bea...	P. O. Box 3588, Lantana, FL 33465	Charity:Donation	-833.00
3/15/2010	FDN-Morgan ...	327	Commonwealt...	585 Market Street, San Francisco, CA 94105	Charity:Donation	-155.00
3/12/2010	FDN - Vangua...	1159	Wounded Wa...	7020 AC Skinner Parkway, Suite 100, Jacks...	Charity:Donation	-250.00
3/19/2010	FDN-Morgan ...	328	S. F. MoMA	151 Third St, San Francisco Ca 94103 b...	Charity:Donation	-250.00
3/21/2010	FDN - Vangua...	1160	S. F. MoMA	151 Third St, SF 94103	Charity:Donation	-1,000.00
3/18/2010	FDN-Morgan ...	117	Calif. Film Inst...	1001 Lotters Place, San Rafael, CA 94901	Charity:Donation	-200.00
3/11/2010	FDN - Vangua...	324	Palm Beach D...	322 Banyan, West Palm Beach FL 33401	Charity:Donation	-700.00
4/30/2010	FDN - Vangua...	1122	S.F. Museum ...	151 Third St, SF 94103	Charity:Donation	-10,000.00
4/30/2010	FDN - Vangua...	1123	Contemporary...	736 Mission St, San Francisco 94103	Charity:Donation	-1,000.00
5/4/2010	FDN-Morgan ...	118	World Affairs ...	312 Sutter St S.F. 94108 530/2010 Senior ...	Charity:Donation	-65.00
5/19/2010	FDN - Vangua...	1162	Planned Pare...	2300 N. Florida Mango Road, WPB, (PP of ...	Charity:Donation	-50,000.00
6/17/2010	FDN-Morgan ...	147	Asian Art Mus...	200 Larkin St, San Francisco, CA 94102 ...	Charity:Donation	-75.00
6/25/2010	FDN - Vangua...	1124	COFAM	50 Hagiwara Tea Garden Dr, SF 94118 De...	Charity:Donation	-750.00
7/1/2010	FDN - Vangua...	1125	Marin Food B...	75 Digital Drive, Novato CA 94949	Charity:Donation	-500.00
7/27/2010	FDN-Morgan ...	148	Laik Theater	549 Magnolia, Larkspur, CA 94939 silver m...	Charity:Donation	-150.00
8/24/2010	FDN-Morgan ...	149	Contemporary...	736 Mission St, SF 94103	Charity:Donation	-200.00
9/1/2010	FDN - Vangua...	1127	The Society O...	2 Four Arts Plaza, PB FL 33480	Charity:Donation	-1,200.00
9/14/2010	FDN - Vangua...	1128	National Galle...	4th St NW, Washington DC	Charity:Donation	-15,000.00
10/7/2010	FDN - Vangua...	1129	Habitat For H...	1225A South Military Trail, WPB 33415	Charity:Donation	-5,000.00
10/7/2010	FDN - Vangua...	1131	S. F. MoMA	151 Third St, SF Directors Circle	Charity:Donation	-15,000.00
10/21/2010	FDN-Morgan ...	178	826 LA	SPARC Bldg, 685 W. Verica Blvd, Venice ...	Charity:Donation	-500.00
11/11/2010	FDN - Vangua...	1133	American Frie...	61 Londonderry Ct, Greenwich CT 06830	Charity:Donation	-10,000.00
11/11/2010	FDN - Vangua...	1134	Town Of Palm...	44 Coconut, Palm Beach 33480	Charity:Donation	-10,000.00
11/30/2010	FDN-Morgan ...	150	Armory Art Ce...	1700 Parker Ave, WPB 33401	Charity:Donation	-100.00
2/3/2010	FDN-Morgan ...	323	Armory Art Ce...	1700 Parker Ave, WPB 33401	Charity:Donation	-180.00
3/21/2010	FDN-Morgan ...	329	Bucks Co. Am...	312 W Maple Ave, Morrisville PA 19067	Charity:Donation	-30.00
12/10/2009	FDN - Vangua...	1061	211 Palm Bea...	P. O. Box 3588, Lantana, FL 33465	Charity:Donation	-5,000.00

Tax

Other

Total \$145,353

AVERAGE COST SUMMARY

Single Category Method

Vanguard

P.O. BOX 2800 · VALLEY FORGE, PA 19482-2800

2010 Tax Year

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65-0627104

RICHARD AND PEGGY GREENFIELD
FOUNDATION
211 ATLANTIC AVE
PALM BEACH FL 33480

1-800-345-1344 C MITCHELL
www.vanguard.com Website

DUPLICATE COPY

This Summary is not a tax document and information is not reported to the IRS. Vanguard provides cost basis information using the Average Cost Single Category method. You may want to consult a tax advisor to determine if this method is appropriate for you. Once you select the Average Cost Single Category method, you are not permitted to change without IRS approval. See IRS Publication 564, Mutual Fund Distributions, and IRS Publication 550, Investment Income and Expenses, for more information.

INTER-TERM INVEST-GR ADM

Fund/Account number: 0571/88015143479

Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Total gain or (loss)	Disallowed loss amount	Total gain or loss (Allowable loss)
Short-term	01/14	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	01/14	33.333	325.00	311.46	13.54	0.00	13.54
Short-term	01/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	01/29	51.020	500.00	476.82	23.18	0.00	23.18
Short-term	02/08	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	02/08	509.684	5,000.00	4,763.35	236.65	0.00	236.65
Short-term	02/12	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	02/12	513.347	5,000.00	4,797.59	202.41	0.00	202.41
Short-term	03/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/09	101.833	1,000.00	951.89	48.11	0.00	48.11
Short-term	03/26	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/26	102.041	1,000.00	953.84	46.16	0.00	46.16
Short-term	03/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/29	44.877	438.00	419.56	18.44	0.00	18.44
Short-term	03/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	03/29	163.934	1,600.00	1,532.64	67.36	0.00	67.36
Short-term	04/20	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	04/20	25.355	250.00	237.09	12.91	0.00	12.91
Short-term	05/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/10	101.317	1,000.00	947.65	52.35	0.00	52.35
Short-term	05/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/11	334.347	3,300.00	3,127.25	172.75	0.00	172.75
Short-term	05/12	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/12	1,014.199	10,000.00	9,486.11	513.89	0.00	513.89
Short-term	05/28	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	05/28	5,070.994	50,000.00	47,441.18	2,558.82	0.00	2,558.82
Short-term	07/06	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	07/06	74.850	750.00	700.45	49.55	0.00	49.55
Short-term	07/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	07/09	50.050	500.00	468.37	31.63	0.00	31.63
Short-term	09/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	09/09	117.302	1,200.00	1,098.57	101.43	0.00	101.43

For your convenience, you may also access average cost information on-line for both realized and unrealized gains and losses for your eligible Vanguard non-retirement accounts at www.vanguard.com

1223

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4-5

00086575

X



RAGE COST SUMMARY

Category Method

Vanguard

P.O. BOX 2600 · VALLEY FORGE, PA 19482-2600

2010 Tax Year

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65-0627104

RICHARD AND PEGGY GREENFIELD
FOUNDATION
211 ATLANTIC AVE
PALM BEACH FL 33480

1-800-345-1344 C MITCHELL
www.vanguard.com Website

INTER-TERM INVEST-GR ADM

Fund/Account number: 0571/88015143479

Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Total gain or (loss)	Disallowed loss amount	Total gain or (loss) (Allowable loss)
Short-term	09/22	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	09/22	1,447.876	15,000.00	13,559.79	1,440.21	0.00	1,440.21
Short-term	10/20	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/20	478.469	5,000.00	4,492.92	517.08	0.00	517.08
Short-term	11/04	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	11/04	1,427.212	15,000.00	13,377.97	1,622.03	0.00	1,622.03
Short-term	11/18	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	11/18	972.763	10,000.00	9,118.19	881.81	0.00	881.81
Short-term	11/29	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	11/29	971.817	10,000.00	9,109.33	890.67	0.00	890.67
Short-term	12/06	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/06	97.656	1,000.00	915.72	84.28	0.00	84.28
Short-term	12/16	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/16	49.900	500.00	467.91	32.09	0.00	32.09
Short-term	12/20	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/20	496.032	5,000.00	4,651.29	348.71	0.00	348.71

Account Summary

Short-term	various	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	various	14,250.208	143,363.00	133,396.94	9,966.06	0.00	9,966.06

$\begin{aligned} & \leq 6,500.00 \\ & 136,863 \\ & \text{Selling} \quad 26,645 \\ & \hline & 16,350.8 \end{aligned}$

$\begin{aligned} & 153,016 \\ & \text{from net} \\ & 98 \\ & \hline & 104,91.52 \text{ gain} \end{aligned}$

$\begin{aligned} & \leq 465.09 \\ & 950.98 \\ & \hline & 990.56 \end{aligned}$

For your convenience, you may also access average cost information on-line for both realized and unrealized gains and losses for your eligible Vanguard non-retirement accounts at www.vanguard.com.

65-0627104



Vanguard

2009 Calendar Year

Page 7 of 7

AVERAGE COST SUMMARY

Single Category Method

Richard And Peggy Greenfield
Foundation(800) 345-1344 - Christopher J. Mitchell
ext. 7412 Registered Representative

Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares

Account number: 88015143479

Holding period	Date sold	Shares redeemed	Redemption proceeds	Average cost basis	Total gain or (loss)	Disallowed loss amount	Total gain or (Allowable loss)
Short-term	10/15	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/15	104.384	1,000.00	974.83	25.17	0.00	25.17
Short-term	10/26	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	10/26	2,087.693	20,000.00	19,496.66	503.34	0.00	503.34
Short-term	12/04	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/04	103.093	1,000.00	963.13	36.87	0.00	36.87
Short-term	12/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/09	153.846	1,500.00	1,437.28	62.72	0.00	62.72
Short-term	12/09	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/09	34.872	340.00	325.78	14.22	0.00	14.22
Short-term	12/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/10	154.162	1,500.00	1,440.23	59.77	0.00	59.77
Short-term	12/10	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/10	513.875	5,000.00	4,800.77	199.23	0.00	199.23
Short-term	12/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/11	31.411	305.00	293.45	11.55	0.00	11.55
Short-term	12/11	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/11	514.933	5,000.00	4,810.86	189.34	0.00	189.34
Short-term	12/14	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/14	514.933	5,000.00	4,810.66	189.34	0.00	189.34
Short-term	12/18	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/18	153.848	1,500.00	1,437.28	62.72	0.00	62.72
Short-term	12/24	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/24	519.211	5,000.00	4,850.62	149.38	0.00	149.38
Short-term	12/30	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	12/30	51.887	500.00	484.58	15.42	0.00	15.42

Account Summary

Short-term	various	.000	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Long-term	various	15,326.913	141,698.86	143,146.18	(1,447.32)	2,792.81	1,345.49

12/04 26,645 -

990.56

For your convenience, you may also access average cost information on-line for both realized and unrealized gains and losses for your eligible Vanguard non-retirement accounts at www.vanguard.com.

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Morgan Stanley Smith Barney

65-0627104

CLIENT STATEMENT | For the Period November 1-30, 2010

Choice Select Active Assets Account
626-093538-406GREENFIELD FOUNDATION
211 ATLANTIC AVENUE

Activity

SECURITY ACTIVITY CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
11/12	Stock Dividend	ENBRIDGE ENERGY MGMT LLC		8.000
11/12	Stock Dividend	KINDER MORGAN MGMT LLC		18.000

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ENBRIDGE ENERGY MGMT LLC	05/24/10	09/13/10	500.000	\$12.87	\$0.00	\$12.87	Cash in Lieu
	05/24/10	11/12/10	500.000	55.53	0.00	55.53	Cash in Lieu
KAYNE ANDERSON MLP INVT CO	09/27/04	05/18/10	500.000	13,126.27	11,679.65	1,446.62	
	09/27/04	05/18/10	300.000	7,814.85	7,007.09	807.77	
	09/27/04	05/18/10	200.000	5,209.91	4,671.39	538.52	
	09/27/04	05/24/10	500.000	12,681.28	11,674.58	1,006.70	
	09/27/04	05/24/10	443.000	11,236.73	10,343.68	893.05	
	09/27/04	05/24/10	57.000	1,445.50	1,330.90	114.60	
	12/23/04	05/24/10	500.000	12,679.78	11,608.69	1,071.09	
	12/23/04	05/24/10	443.000	11,234.33	10,285.29	949.04	
KINDER MORGAN MGMT LLC	05/18/10	08/13/10	19.53	19.53	0.00	19.53	Cash in Lieu
	05/18/10	11/12/10	10.30	10.30	0.00	10.30	Cash in Lieu
Net Realized Gain/(Loss) This Period				\$65.83	\$0.00	\$65.83	
Net Realized Gain/(Loss) Year to Date				\$75,526.99	\$58,601.27	\$16,925.72	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

COPIES OF THIS STATEMENT HAVE ALSO BEEN SENT TO:

RICHARD & PEGGY GREENFIELD

2 * 98 Short Term 0 \$98 ST

75429 LT 681001 6,828 LT