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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning DEC 1, 2009, and ending NOV 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation A J SCHWARTZE COMMUNITY FOUNDATION		A Employer identification number 43-1092255
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 111 EAST MILLER		B Telephone number 573-634-1291
	City or town, state, and ZIP code JEFFERSON CITY, MO 65101		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 41,399,438. (Part I, column (d) must be on cash basis)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,074.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	293.	293.		STATEMENT 1
	4 Dividends and interest from securities	1,012,449.	1,012,449.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	398,266.			
	b Gross sales price for all assets on line 6a	1,868,176.			
	7 Capital gain net income (from Part IV, line 2)		398,266.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	2,360.	2,360.		STATEMENT 3	
12 Total. Add lines 1 through 11	1,415,442.	1,413,368.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	176,769.	157,967.		18,802.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	27,180.	21,744.		5,436.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	21,285.	4,656.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	378.	0.		0.
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	225,612.	184,367.		24,238.
25 Contributions, gifts, grants paid	1,667,168.			1,667,168.	
26 Total expenses and disbursements. Add lines 24 and 25	1,892,780.	184,367.		1,691,406.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<477,338.>				
b Net investment income (if negative, enter -0-)		1,229,001.			
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			199,215.	788,995.	788,995.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations \$TMT 6			1,882,151.	1,983,629.	2,041,440.
	b Investments - corporate stock \$TMT 7			33,456,809.	32,285,604.	38,247,103.
	c Investments - corporate bonds \$TMT 8			286,800.	289,409.	321,900.
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other					
	14 Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)			35,824,975.	35,347,637.	41,399,438.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			35,824,975.	35,347,637.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.					
Net Assets or Fund Balances	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			35,824,975.	35,347,637.	
	31 Total liabilities and net assets/fund balances			35,824,975.	35,347,637.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,824,975.
2 Enter amount from Part I, line 27a	2	<477,338.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	35,347,637.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,347,637.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,812,096.		1,469,910.	342,186.
b 56,080.			56,080.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			342,186.
b			56,080.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	398,266.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,120,652.	33,788,259.	.062763
2007	2,388,710.	43,362,506.	.055087
2006	2,228,011.	48,943,437.	.045522
2005	2,078,713.	44,419,663.	.046797
2004	2,010,373.	42,226,004.	.047610

2 Total of line 1, column (d)	2	.257779
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051556
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	38,792,633.
5 Multiply line 4 by line 3	5	1,999,993.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,290.
7 Add lines 5 and 6	7	2,012,283.
8 Enter qualifying distributions from Part XII, line 4	8	1,691,406.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	24,580.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	24,580.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	24,580.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 30,682.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	30,682.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,102.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 6,102. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>CENTRAL TRUST & INVESTMENT COMPANY</u> Telephone no. ► <u>(573) 634-1224</u> Located at ► <u>111 E. MILLER ST., JEFFERSON CITY, MO</u> ZIP+4 ► <u>65101</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 10**☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		176,769.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	38,768,866.
b	Average of monthly cash balances	1b	593,408.
c	Fair market value of all other assets	1c	21,110.
d	Total (add lines 1a, b, and c)	1d	39,383,384.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	39,383,384.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	590,751.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,792,633.
6	Minimum investment return. Enter 5% of line 5	6	1,939,632.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,939,632.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	24,580.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	24,580.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,915,052.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,915,052.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,915,052.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,691,406.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,691,406.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,691,406.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,915,052.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			1,664,126.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,691,406.				
a Applied to 2008, but not more than line 2a			1,664,126.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	2,074.			
d Applied to 2009 distributable amount				25,206.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,074.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				1,889,846.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	2,074.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

** SEE STATEMENT 11

Form 990-PF (2009)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	293.		
4 Dividends and interest from securities			14	1,012,449.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	398,266.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a SECURITIES SETTLEMENT			01	2,360.		
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		1,413,368.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	1,413,368.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CASH EQUIVALENTS	293.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	293.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
SECURITIES - DIVIDENDS	574,256.	56,080.	518,176.
SECURITIES - INTEREST	494,273.	0.	494,273.
TOTAL TO FM 990-PF, PART I, LN 4	1,068,529.	56,080.	1,012,449.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES SETTLEMENT	2,360.	2,360.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,360.	2,360.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & TAX PREPARATION FEES	27,180.	21,744.		5,436.
TO FORM 990-PF, PG 1, LN 16B	27,180.	21,744.		5,436.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	16,629.	0.		0.
EXCISE TAXES	4,656.	4,656.		0.
TO FORM 990-PF, PG 1, LN 18	21,285.	4,656.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	X		1,983,629.	2,041,440.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,983,629.	2,041,440.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,983,629.	2,041,440.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	32,285,604.	38,247,103.
TOTAL TO FORM 990-PF, PART II, LINE 10B	32,285,604.	38,247,103.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	289,409.	321,900.
TOTAL TO FORM 990-PF, PART II, LINE 10C	289,409.	321,900.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GARY BOES C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 0.00	0.	0.	0.
EMIL SCHWARTZ C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DIRECTOR, DISTR COMMITTEE 0.50	1,250.	0.	0.
ELIZABETH SESTAK C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 0.00	0.	0.	0.
FRED WIEBERG C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 0.00	0.	0.	0.
JULIE WIEBERG C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 0.00	0.	0.	0.
CHARLES SCHWARTZ C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE, CHAIRMAN 0.00	0.	0.	0.
CENTRAL TRUST BANK C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	TRUSTEE 15.00	175,519.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		176,769.	0.	0.

FORM -990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 10

GRANTEE'S NAME

SEE ATTACHMENT C

GRANTEE'S ADDRESS

GRANT AMOUNT

DATE OF GRANT

AMOUNT EXPENDED

PURPOSE OF GRANT

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 11

PURSUANT TO IRC SEC 4942(H)(2) AND REG 53.4942(A)-3(D)(2), THE A.J. SCHWARTZE COMMUNITY FOUNDATION HEREBY ELECTS TO TREAT \$2,074 OF CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.

ELECTION PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV)

PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE A.J. SCHWARTZE COMMUNITY FOUNDATION ELECTS TO TREAT AS A CURRENT CORPUS DISTRIBUTION THE FOLLOWING UNUSED DISTRIBUTION THAT HAS BEEN TREATED AS A DISTRIBUTION OUT OF CORPUS: \$2,074

SIGNED


Central Trust & Investment Company

DATE

4-13-11

TITLE

EVP

990-PF PG 9

STATEMENT 11

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MICHAEL W. PRENGER, TRUST OFFICER, C/O CENTRAL TRUST & INVESTMENT CO
111 E. MILLER ST.
JEFFERSON CITY, MO 65101

TELEPHONE NUMBER

573-634-1224

FORM AND CONTENT OF APPLICATIONS

A DETAILED DESCRIPTION OF: THE ORGANIZATION, PROGRAM, USE OF FUNDS; WHO AND WHAT GEOGRAPHICAL AREA WILL BENEFIT; TOTAL AMOUNT REQUIRED AND REQUESTED; OTHER SOURCES OF SUPPORT; MEMBERS OF BOARD; IRS LETTER RE: TAX-EXEMPTION

ANY SUBMISSION DEADLINES

THE BOARD MEETS IN MARCH OF EACH YEAR TO SELECT DISTRIBUTION RECIPIENTS. APPLICATIONS MUST BE RECEIVED PRIOR TO SUCH MEETING TO BE CONSIDERED.

RESTRICTIONS AND LIMITATIONS ON AWARDS

FUNDS ARE AVAILABLE EXCLUSIVELY FOR CHARITABLE, RELIGIOUS, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES PRIMARILY TO PROMOTE THE WELL-BEING OF INHABITANTS OF WESTPHALIA, MISSOURI, AND ITS VICINITY INCLUDING OSAGE COUNTY. NO PART OF THE TRUST SHALL INURE TO THE BENEFIT OF ANY INDIVIDUAL.

A. J. Schwartze Community Foundation

EIN: 43-1092255

2009 Form 990-PF: Attachment A

Part II, Page 2

Asset Detail

Description			Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Cash And Equivalents						
GOLDMAN SACHS GOVERNMENT FD #465			788,996.58 788,996.58	1.00	729.17 60.76	0.09
CASH			0.00			
* Total Cash And Equivalents			788,996.58 788,996.58		729.17 60.76	0.09
Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AT&T INC	T	3,325.000	92,401.75 105,917.55	27.79 31.85	5,586.00	6.05
ALCOA INC COMMON	AA	3,000.000	39,375.00 92,460.00	13.13 30.82	360.00	0.91
APACHE CORP	APA	4,000.000	430,560.00 127,073.63	107.64 31.77	2,400.00	0.56
APPLE COMPUTER INC	AAPL	1,000.000	311,150.00 65,000.00	311.15 65.00		
ARCHER DANIELS MIDLAND CO	ADM	3,000.000	86,970.00 97,229.70	28.99 32.41	1,800.00 450.00	2.07
AUTOMATIC DATA PROCESSING INC	ADP	3,000.000	133,710.00 114,432.41	44.57 38.14	4,320.00	3.23
BP PLC ADR	BP	8,000.000	320,000.00 325,406.67	40.00 40.68		
BARRICK GOLD CORP	ABX	3,000.000	154,950.00 118,171.20	51.65 39.39	1,440.00 360.00	0.93
BHP BILLITON LTD ADR	BHP	1,000.000	82,400.00 50,660.00	82.40 50.66	1,740.00	2.11
CISCO SYSTEMS INC	CSCO	6,700.000	128,372.00 156,110.00	19.16 23.30		

Account Statement

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Account Number: 1145702833

December 01, 2009 To November 30, 2010

Asset Detail (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
CITRIX SYSTEMS INC	CTXS	5,000.000	332,150.00 167,695.50	66.43 33.54		
CONOCOPHILLIPS	COP	3,600.000	216,612.00 73,440.00	60.17 20.40	7,920.00 1,980.00	3.66
CONSTELLATION ENERGY GROUP INC	CEG	1,000.000	28,360.00 39,954.00	28.36 39.95	960.00	3.39
CORNING INC	GLW	2,000.000	35,320.00 45,640.00	17.66 22.82	400.00 100.00	1.13
DFA EMERGING MARKETS VALUE PORT	DFEVX	103,860.948	3,644,480.67 1,700,000.00	35.09 16.37	40,298.05	1.11
DFA INTL SMALL CAP VALUE PORT	DISVX	108,065.264	1,696,624.64 1,550,000.00	15.70 14.34	23,342.10	1.38
DFA US SMALL CAP VALUE PORT	DFSVX	53,584.047	1,259,225.10 1,350,000.00	23.50 25.19	4,501.06	0.36
DANAHER CORP	DHR	2,000.000	86,500.00 45,263.50	43.25 22.63	160.00	0.18
DIAGEO PLC SPONS ADR	DEO	1,525.000	109,281.50 70,942.24	71.66 46.52	3,605.10	3.30
DFA INTL VALUE PORT	DFIVX	124,141.216	2,097,986.55 1,850,000.00	16.90 14.90	47,546.09	2.27
EMC CORP MASS	EMC	14,800.000	318,052.00 198,091.84	21.49 13.38		
EMERSON ELECTRIC CO	EMR	8,600.000	473,602.00 204,449.00	55.07 23.77	11,868.00 2,967.00	2.51
EXPRESS SCRIPTS INC CL A	ESRX	7,400.000	385,466.00 234,647.71	52.09 31.71		
EXXON MOBIL CORP	XOM	7,632.000	530,881.92 142,132.90	69.56 18.62	13,432.32 3,358.08	2.53
FISERV INC	FISV	3,000.000	165,900.00 108,257.58	55.30 36.09		
FLUOR CORP	FLR	4,100.000	237,103.00 238,315.98	57.83 58.13	2,050.00	0.86
FORTUNE BRANDS INC	FO	500.000	29,545.00 35,628.91	59.09 71.26	380.00 95.00	1.29
FREEPORT MCMORAN COPPER & GOLD CL B	FCX	2,200.000	222,904.00 223,148.64	101.32 101.43	2,640.00	1.18
GENERAL DYNAMICS CORP	GD	2,000.000	132,180.00 66,237.42	66.09 33.12	3,360.00	2.54
GENERAL ELECTRIC CO	GE	14,000.000	221,620.00 483,445.63	15.83 34.53	6,720.00	3.03

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Account Number: 1145702833

December 01, 2009 To November 30, 2010

Asset Detail (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
GILEAD SCIENCES INC	GILD	3,000 000	109,500 00 113,910.00	36 50 37 97		
HALLIBURTON CO	HAL	4,750.000	179,740 00 216,735 37	37 84 45 63	1,710.00	0.95
HELMERICH & PAYNE	HP	1,925 000	87,318.00 107,663 14	45.36 55 93	462 00 115 50	0.53
HONEYWELL INTERNATIONAL INC	HON	6,000.000	298,260.00 111,289.87	49.71 18.55	7,260.00 1,815.00	2.43
INTEL CORP	INTC	3,000.000	63,472.50 63,000.00	21.16 21 00	1,890 00 472 50	2 98
INTERNATIONAL BUSINESS MACHINES CORP	IBM	2,000.000	282,920 00 155,512 50	141.46 77 76	5,200 00 1,300 00	1 84
ISHARES MSCI CANADA INDEX FUND	EWC	2,000 000	58,660 00 48,867.80	29 33 24 43	842 00	1 44
IBB/ISHARES S & P SMALLCAP 600 INDEX FD	IJR	13,200 000	844,140.00 738,422 88	63 95 55 94	7,603 20	0.90
JACOBS ENGINEERING GROUP INC	JEC	3,000 000	115,500.00 223,670 70	38 50 74.56		
JOHNSON & JOHNSON	JNJ	5,000.000	307,750.00 52,265.62	61 55 10.45	10,800 00 2,700 00	3.51
JOHNSON CONTROLS INC	JCI	6,000 000	218,640 00 43,425.00	36.44 7 24	3,840 00	1.76
LOWES COMPANIES INC	LOW	12,000 000	272,400.00 56,700.00	22.70 4 73	5,280.00	1.94
MEMC ELECTRONIC MATERIALS INC	WFR	1,000.000	11,570.00 54,669 60	11 57 54 67		
MARATHON OIL CORP	MRO	2,575 000	86,185 25 108,639.25	33 47 42 19	2,575 00 643 75	2 99
MARKET VECTORS GOLD MINERS ETF	GDV	4,900.000	291,550.00 229,271 00	59.50 46 79	543.90	0 19
MCDONALDS CORP	MCD	3,000.000	234,900.00 145,323.00	78.30 48.44	7,320.00 1,830.00	3.12
MEDTRONIC INC	MDT	2,000.000	67,060.00 105,933.80	33.53 52.97	1,800.00	2.68
MICROSOFT CORP	MSFT	13,000.000	328,347 50 373,048.25	25.26 28 70	8,320 00 2,080 00	2.53
NATIONAL OILWELL INC	NOV	3,700 000	226,773 00 221,393.00	61 29 59 84	1,628 00	0 72
NOKIA CORP SPONS ADR	NOK	8,000 000	73,840.00 140,935.50	9.23 17 62	2,808 00	3 80

Account Statement

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Account Number: 1145702833

December 01, 2009 To November 30, 2010

Asset Detail (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
OMNICOM GROUP	OMC	2,000.000	90,880.00 78,895.00	45.44 39.45	1,600.00	1.76
ORACLE CORP	ORCL	4,000.000	108,180.00 78,237.60	27.05 19.56	800.00	0.74
PEABODY ENERGY CORP	BTU	3,525.000	207,305.25 225,212.25	58.81 63.89	1,198.50	0.58
PEPSICO INC	PEP	4,000.000	258,520.00 182,366.75	64.63 45.59	7,680.00	2.97
PHILIP MORRIS INTL	PM	2,075.000	118,046.75 70,131.89	56.89 33.80	5,312.00	4.50
POTASH CORP SASK INC	POT	543.000	78,056.25 109,329.52	143.75 201.34		
PRAXAIR INC	PX	1,000.000	92,050.00 41,697.00	92.05 41.70	1,800.00	1.96
PROCTER & GAMBLE CO	PG	8,000.000	488,560.00 356,716.80	61.07 44.59	15,416.00	3.16
STANDARD & POORS DEPOSITORY REC S&P 500 ETF TR	SPY	25,500.000	3,021,558.75 2,139,541.60	118.49 83.90	56,202.00	1.86
SPDR GOLD TRUST	GLD	3,150.000	426,573.00 294,048.20	135.42 93.35		
SPDR INTERNATIONAL REAL ESTATE ETF	RWX	3,000.000	113,730.00 176,059.20	37.91 58.69	3,921.00	3.45
STANDARD & POORS MID-CAP 400 DEPOSITORY RECEIPTS REPRESENTING SPDR S&P MIDCAP 400 ETF TR	MDY	1,110.000	172,038.90 158,927.80	154.99 143.18	1,704.96	0.99
SCHLUMBERGER LTD	SLB	3,025.000	233,953.50 232,063.78	77.34 76.72	2,541.00 635.25	1.09
STATE STREET CORP	STT	1,000.000	43,200.00 52,460.00	43.20 52.46	40.00	0.09
STRYKER CORP	SYK	1,000.000	50,090.00 44,145.50	50.09 44.15	600.00	1.20
SUNCOR ENERGY INC	SU	3,825.000	128,558.25 228,889.15	33.61 59.84	1,526.18	1.19
SYMANTEC CORP	SYMC	2,000.000	33,595.00 36,000.00	16.80 18.00		
TARGET CORP	TGT	5,000.000	284,700.00 73,890.62	56.94 14.78	5,000.00 1,250.00	1.76
TEXAS INSTRUMENTS INC	TXN	3,000.000	95,400.00 90,570.00	31.80 30.19	1,560.00	1.64
TEXTRON INC	TXT	6,000.000	134,160.00 330,552.12	22.36 55.09	480.00	0.36

Account Statement

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Account Number: 1145702833

December 01, 2009 To November 30, 2010

Asset Detail (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
TOTAL S A SPONS ADR	TOT	2,975.000	145,090.75 135,013.55	48.77 45.38	7,386.93 3,888.46	5.09
UNITED TECHNOLOGIES CORP	UTX	5,800.000	436,566.00 134,031.62	75.27 23.11	9,860.00 2,465.00	2.26
UNITEDHEALTH GROUP INC	UNH	4,900.000	178,948.00 232,485.32	36.52 47.45	2,450.00	1.37
VALERO ENERGY CORP	VLO	3,275.000	63,797.00 137,887.81	19.48 42.10	655.00 163.75	1.03
VANGUARD INTERNATIONAL EXPLORER FD INV SHRS	VINEX	15,273.259	233,833.60 200,000.00	15.31 13.09	3,085.20	1.32
VANGUARD WINDSOR II FD ADM SHRS	VWNAX	46,060.904	1,975,552.17 2,344,500.00	42.89 50.90	42,606.34	2.16
VERIZON COMMUNICATIONS	VZ	4,000.000	128,040.00 131,726.20	32.01 32.93	7,800.00	6.09
WAL MART STORES INC	WMT	6,000.000	324,540.00 361,140.00	54.09 60.19	7,260.00	2.24
WALGREEN CO	WAG	9,000.000	313,650.00 267,093.49	34.85 29.68	6,300.00 1,575.00	2.01
INGERSOLL RAND PLC	IR	3,000.000	123,000.00 105,066.47	41.00 35.02	840.00	0.68
NABORS INDUSTRIES LTD	NBR	11,000.000	242,990.00 334,488.60	22.09 30.41		
FOSTER WHEELER AG	FWLT	3,400.000	95,200.00 226,802.78	28.00 66.71		
NOBLE CORP	NE	6,000.000	203,520.00 206,668.76	33.92 34.44	2,088.00	1.03
* Total Equities			28,806,092.55 23,207,067.67		454,423.93 30,244.29	1.58

Account Statement

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Account Number: 1145702833
December 01, 2009 To November 30, 2010

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
ANN ARBOR MI BAB DTD 08/19/09 6 1% 05/01/2030-2019	Aa1	250,000.000	256,007.50 250,000.00	102.40 100.00	15,250.00 1,228.47	5.96 5.74
COLUMBIA MO BAB DTD 9/1/09 5 9% 03/01/2031-2019	NR	335,000.000	336,363.45 335,000.00	100.41 100.00	19,765.00 4,886.35	5.88 5.84
FEDERAL HOME LOAN MORTGAGE CORP DTD 11/15/2004 4.5% 11/15/2011-2006	Aaa	200,000.000	207,704.00 199,272.00	103.85 99.64	9,000.00 375.00	4.33 0.43
FEDERAL HOME LOAN MORTGAGE CORP 3/21/01 5 875% 03/21/2011	Aa2	450,000.000	456,610.00 442,539.03	101.47 98.34	26,437.50 5,067.19	5.79 1.06
FLORIDA ST DEPT ENVIR PROTN ORES V BAB DTD 1/28/10 6 206% 07/01/2022-2019	Aa3	100,000.000	105,440.00 101,428.31	105.44 101.43	6,206.00 2,568.59	5.89 5.40
GENERAL ELECTRIC CAPITAL CORP DTD 10/19/07 5 25% 10/19/2012	Aa2	300,000.000	321,900.00 289,408.88	107.30 96.47	15,750.00 1,793.75	4.89 1.32
OHIO STATE DEV ASSIST BAB DTD 6/18/09 6.47% 10/01/2026-2019	Aa2	250,000.000	265,787.50 254,217.25	106.32 101.69	16,175.00 2,650.90	6.09 5.55
PIMCO TOTAL RETURN FD INST CL		275,085.009	3,160,726.75 2,946,771.04	11.49 10.71	97,930.26 8,160.86	3.10
PIMCO HIGH YIELD FD INSTL CL		30,738.801	282,796.97 300,000.00	9.20 9.76	21,886.03 1,823.84	7.74
PIMCO EMERGING MARKETS BOND FD INSTL CL		92,936.803	1,038,104.09 1,000,000.00	11.17 10.76	50,557.62 4,213.14	4.87
PIERCE CNTY WA BAB DTD 4/27/10 5.5% 08/01/2029-2020	Aa2	300,000.000	303,264.00 300,000.00	101.09 100.00	16,500.00 5,454.17	5.44 5.35

Account Statement

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Account Number: 1145702833

December 01, 2009 To November 30, 2010

Asset Detail (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
PIMCO FOREIGN BOND FD (UNHEDGED) INSTL CL		174,367.916	1,877,942.46 2,000,000.00	10.77 11.47	45,684.39 3,807.03	2.43
STANFORD UNIV DTD 04/29/09 4.75% 05/01/2019	Aaa	100,000.000	110,263.00 101,172.10	110.26 101.17	4,750.00 382.64	4.31 3.34
VANGUARD TOTAL BOND MARKET INDEX FD INSTL SHRS		100,603.622	1,086,519.12 1,000,000.00	10.80 9.94	38,833.00 3,236.08	3.57
VANGUARD HIGH YIELD CORP FD ADM SHRS		22,665.039	128,284.12 157,068.72	5.66 6.93	9,859.29 821.61	7.69
VANGUARD LONG TERM INVEST GRADE FD ADM SHRS		38,659.793	365,721.64 300,000.00	9.46 7.76	20,760.31 1,730.03	5.68
VANGUARD GNMA FD ADM SHRS		96,711.799	1,070,599.61 1,000,000.00	11.07 10.34	35,009.67 2,917.47	3.27
VANGUARD INTERM TERM INVESTMENT GRADE FD ADM SHRS		41,818.843	430,315.89 374,696.83	10.29 8.96	20,365.78 1,697.15	4.73
* Total Fixed Income			11,804,350.60 11,351,574.16		470,719.85 52,814.27	3.99
Grand Total Assets			41,399,439.73 35,347,638.41		925,872.95 83,119.32	2.24

RECIPIENT	ADDRESS	STATUS	PURPOSE	AMOUNT
American Legion Maries Valley #317	Freeburg, MO	Community Organization	General	\$10,000
Argyle/Koeltztown Fire Department	Argyle, MO	Community Organization	General	\$15,000
Argyle/Koeltztown Park Board	Argyle, MO	Community Organization	General	\$5,000
Assumption Catholic Church	Momson, MO	Religious Organization	General	\$5,000
Big Brothers Big Sisters-Missouri Valley	Jefferson City, MO	Chantable Organization	General	\$3,000
Boy Scout Troop 76	Westphalia, MO	Chantable Organization	General	\$3,000
Carmelite Monastery	Jefferson City, MO	Chantable Organization	General	\$20,000
Catholic Newman Center	Rolla, MO	Religious Organization	General	\$15,000
Comm-Unity Ambulance Service	Meta, MO	Community Organization	General	\$2,500
Fatima High - Project Graduation	Westphalia, MO	Community Organization	General	\$1,500
Fraternal Order of Eagles #4340	Vienna, MO	Community Organization	General	\$10,000
Freeburg Lions - Swim Program	Freeburg, MO	Community Organization	General	\$1,500
Freeburg Lions Club	Freeburg, MO	Community Organization	General	\$10,000
Freeburg/Rich Fountain Fire Department	Freeburg, MO	Community Organization	General	\$11,000
Friends of the Missouri State Archives	Jefferson City, MO	Chantable Organization	General	\$5,000
Girl Scout Troop 801	Westphalia, MO	Chantable Organization	General	\$3,000
Good Shepherd Food Pantry	Linn, MO	Chantable Organization	General	\$35,000
Helias Foundation	Jefferson City, MO	Chantable Organization	General	\$10,000
Holy Family Church	Freeburg, MO	Religious Organization	General	\$15,000
Holy Family School	Freeburg, MO	Religious School	General	\$75,000
Immaculate Conception Church	Loose Creek, MO	Religious Organization	General	\$15,000
Immaculate Conception School	Loose Creek, MO	Religious School	General	\$75,000
Jefferson City Area United Way	Jefferson City, MO	Chantable Organization	General	\$10,000
Knights of Columbia, Vienna	Vienna, MO	Chantable Organization	General	\$25,000
Knights of Columbus-Westphalia	Westphalia, MO	Community Organization	General	\$35,000
Knights of Columbus, Argyle	Westphalia, MO	Chantable Organization	General	\$10,000
Knights of Columbus, Linn	Linn, MO	Chantable Organization	General	\$10,000
Knights of Columbus, Rich Fountain	Rich Fountain, MO	Chantable Organization	General	\$10,000
Knights of Columbus, St. Thomas/Meta	St Thomas, MO	Chantable Organization	General	\$10,000
Knights of Columbus, Wardsville/Osage Bend	Wardsville, MO	Chantable Organization	General	\$50,000
Koeltztown/Argyle Swim Prog	Koeltztown, MO	Community Organization	General	\$1,700
Linn Boys & Cub Scouts-Troop #17	Linn, MO	Community Organization	General	\$1,500
Linn High School Project Graduation	Linn, MO	Community Organization	General	\$1,500
Linn Lions Club Swim Program	Linn, MO	Community Organization	General	\$13,000
Loose Creek Community Club	Loose Creek, MO	Community Organization	General	\$10,000
Meta Civic League - Swim Prog	Meta, MO	Community Organization	General	\$1,500
Meta Community Park	Meta, MO	Community Organization	General	\$8,000
Meta Fire & Rescue	Meta, MO	Chantable Organization	General	\$5,000
Missouri River Regional Library	Linn, MO	Public Library	General	\$6,000
Missouri School of Religion	Jefferson City, MO	Religious School	General	\$10,000
Momson Fire Department	Momson, MO	Community Organization	General	\$25,000
Most Pure Heart of Mary Church	Chamois, MO	Religious Organization	General	\$15,000
Osage Community Players	Linn, MO	Community Organization	General	\$6,000
Osage County Cancer Society	Linn, MO	Chantable Organization	General	\$7,000
Osage County Community Center	Linn, MO	Community Organization	General	\$10,000
Osage County Community Center Linn	Linn, MO	Community Organization	General	\$4,000
Osage County Historical Society	Linn, MO	Community Organization	General	\$5,000
Osage County Senior Services	Linn, MO	Community Organization	General	\$3,000
Our Lady Of Help	Frankenstein, MO	Religious Organization	General	\$15,000
Our Lady Queen of Peace Center	Jefferson City, MO	Religious Organization	General	\$10,000
Rape & Abuse Crisis Center	Jefferson City, MO	Chantable Organization	General	\$5,000
Red Cross	Jefferson City, MO	Chantable Organization	General	\$14,228
Sacred Heart Church	Rich Fountain, MO	Religious Organization	General	\$50,000
Sacred Heart School	Rich Fountain, MO	Religious School	General	\$75,000
Samaritan Center	Jefferson City, MO	Community Organization	General	\$18,000
School Sisters of Notre Dame	St Louis, MO	Religious Organization	General	\$10,000
Special Learning Center	Jefferson City, MO	Educational	General	\$3,400
St Alexander Catholic Church	Belle, MO	Religious Organization	General	\$10,000
St Aloysius Church	Argyle, MO	Religious Organization	General	\$5,000
St Anthony Church	Folk, MO	Religious Organization	General	\$15,000
St Boniface Church	Koeltztown, MO	Religious Organization	General	\$15,000
St. Cecelia Church	Meta, MO	Religious Organization	General	\$15,000
St Elizabeth Park Board	Elizabeth, MO	Public Organization	General	\$4,000
St George Church	Linn, MO	Religious Organization	General	\$15,000
St George School	Linn, MO	Religious School	General	\$75,000
St Joseph Church	Westphalia, MO	Religious Organization	General	\$15,000
St Joseph Parish	Westphalia, MO	Religious Organization	Panish Picnic	\$3,042
St Joseph School	Westphalia, MO	Religious School	General	\$12,800
St Joseph School	Westphalia, MO	Religious School	General	\$75,000
St Louis Church	Westphalia, MO	Religious School	General	\$10,000
St Mary's Foundation	Bonnets Mill, MO	Chantable Organization	General	\$25,000
St Mary's School	Jefferson City, MO	Chantable Organization	General	\$75,000
St Patrick Church	Bonnets Mill, MO	Religious School	General	\$50,000
St. Thomas Church	Rolla, MO	Religious Organization	General	\$15,000
St Thomas Park Board	St. Thomas, MO	Religious Organization	General	\$4,000
St Thomas Swim Program	St Thomas, MO	Community Organization	General	\$1,500
St Thomas the Apostle School	St Thomas, MO	Religious School	General	\$75,000
VFW Post #8045	Argyle, MO	Community Organization	General	\$6,000
Vienna Fire Department	Vienna, MO	Community Organization	General	\$25,000
Visitation Inter-Panish School	Vienna, MO	Religious School	General	\$75,000

A. J. SCHWARTZE COMMUNITY FOUNDATION
EIN 43-1092255
FYE 11-30-10

FORM 990PF ATTACHMENT B
PART XV, LINE 3(A)

<u>RECIPIENT</u>	<u>ADDRESS</u>	<u>STATUS</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
Vitae Caring Foundation	Jefferson City, MO	Charitable Organization	General	\$10,000
Vogelweid Learning Center	Jefferson City, MO	Educational	General	\$25,000
Volunteer Firefighters of Argyle	Argyle, MO	Community Organization	General	\$5,000
Volunteer Firefighters of Freeburg	Freeburg, MO	Community Organization	General	\$5,000
Volunteer Firefighters of Linn	Linn, MO	Community Organization	General	\$5,000
Volunteer Firefighters of Mornson	Mornson, MO	Community Organization	General	\$5,000
Volunteer Firefighters of Vienna	Vienna, MO	Community Organization	General	\$5,000
Westphalia Fire Department	Westphalia, MO	Community Organization	General	\$100,000
Westphalia Lions - Swim Program	Westphalia, MO	Community Organization	General	\$1,500
Westphalia Lions Club	Westphalia, MO	Community Organization	General	\$20,000
				<u>\$1,667,168</u>

ATTACHMENT C

A.J. Schwartz Foundation EIN 43-1092255									
Expenditure Reponsibility Statement									
Form 990-PF, Part VII-B, Line 5 c 2009									
Ending 11/30/2010									
Grantee's Name	Street	City, State, Zip	Grant Amount	Amount Expended	Grant Date MIDY	Dates of Reports by Grantee	Check if no known Diversion by Grantee	Purpose of Grant	
Comm-Unity Ambulance Service, Meta	PO Box 34	Meta, MO 65058	\$2,500.00	\$2,500.00	3/17/10	1/17/11	X	updating billing/records system & education	
Fire Dept - Argyle / Koeltztown	223 Third Street	Argyle, MO 65001	\$15,000.00	\$15,000.00	3/17/10	1/17/11	X	papers, radios, portables, repeater	
Fire Dept - Freeburg / Rich Fountain	204 N Hwy 63	Freeburg, MO 65035	\$11,000.00	\$11,000.00	3/17/10	1/17/11	X	general support	
Fire Dept - Morrison	524 Hwy 100	Morrison, MO 65061	\$25,000.00	\$25,000.00	3/17/10	1/17/11	X	building debt reduction	
Fire Dept - Vienna	PO Box 388	Vienna, MO 65058	\$25,000.00	\$25,000.00	3/17/10	1/17/11	X	equipment debt reduction	
Fire Dept - Westphalia	PO Box 55	Westphalia, MO 65085	\$100,000.00	\$100,000.00	3/17/10	1/17/11	X	Rescue Pumper & general support	
Firefighters- Argyle	223 Third Street	Argyle, MO 65001	\$5,000.00	\$5,000.00	4/20/10	1/17/11	X	bunker gear, first responder kit	
Firefighters- Chamols	1919 County Rd 435	Chamols, MO 65024	\$5,000.00	\$5,000.00	4/16/10	2/17/11	X	gear, radio, repairs	
Firefighters- Freeburg	204 N Hwy 63	Freeburg, MO 65035	\$5,000.00	\$5,000.00	3/30/10	1/17/11	X	gear, misc equipment	
Firefighters- Linn	PO Box 634	Linn, MO 65051	\$5,000.00	\$5,000.00	3/31/10	1/28/11	X	equipment	
Firefighters- Meta Fire & Rescue	PO Box 87	Meta, MO 65058	\$5,000.00	\$5,000.00	3/17/10	2/10/11	X	remodel training facility	
Firefighters- Morrison	524 Hwy 100	Morrison, MO 65061	\$5,000.00	\$5,000.00	4/1/10	1/17/11	X	automated electronic defibrillator	
Firefighters- Westphalia	PO Box 388	Westphalia, MO 65085	\$5,000.00	\$5,000.00	4/20/10	1/18/11	X	equipment for new ladder truck	
Fatima High - Project Graduation	PO Box 55	Westphalia, MO 65085	\$5,000.00	\$5,000.00	3/17/10	1/17/11	X	Project Graduation	
Linn High School Project Graduation	74 East Crossroads Ln	Argyle, MO 65001	\$1,500.00	\$1,500.00	3/17/10	2/3/11	X	Project Graduation	
Big Brothers Big Sisters	1136 Hwy 100	Linn, MO 65051	\$1,500.00	\$1,500.00	3/17/10	1/18/11	X	background check for staff and volunteers	
Boy Scouts, Troop 76	PO Box 104176	Jefferson City, MO 65110	\$3,000.00	\$3,000.00	3/17/10	2/28/11	X	scout activities & outings	
Girl Scouts - Osage County	PO Box 131	Westphalia, MO 65085	\$3,000.00	\$3,000.00	3/17/10	2/28/11	X	scout activities & outings	
Koeltztown/Argyle - Swim Program	48 County Rd 600A	Loose Creek, MO 65054	\$3,000.00	\$3,000.00	3/17/10	1/15/11	X	swim program	
Linn Boys & Cub Scouts #17	209 Scott Dr	Koeltztown, MO 65048	\$1,700.00	\$1,700.00	3/17/10	1/15/11	X	Trailer detail, 100th Year Celebration, plant tree, rent	
Lions Club / Linn - Swim Program	63 Ridgeway Ln	Linn, MO 65051	\$13,000.00	\$13,000.00	3/17/10	1/18/11	X	swim program & pool cover	
Lions Club/Freeburg - Swim Program	PO Box 75	Freeburg, MO 65035	\$1,500.00	\$1,500.00	3/17/10	1/20/11	X	swim program	
Lions Club/Westphalia - Swim Program	98 Windy Ridge Ln	Westphalia, MO 65085	\$1,500.00	\$1,500.00	3/26/10	1/24/11	X	swim program	
Meta Citizens Civic League - Swim Program	302 N Linn St	Meta, MO 65058	\$1,500.00	\$1,500.00	3/17/10	1/14/11	X	swim program	
St. Thomas - Swim Program	2222 Upper Bottom Rd	St. Thomas, MO 65078	\$1,500.00	\$1,500.00	3/17/10	3/17/11	X	swim program	
American Legion #317 - Freeburg	204 N Hwy 63	Freeburg, MO 65035	\$10,000.00	\$10,000.00	3/17/10	1/18/11	X	renovation & repairs	
Argyle Park Board, St. Albans	185 Walnut St	Argyle, MO 65001	\$5,000.00	\$5,000.00	3/17/10	1/29/11	X	build & equip playground	
Fraternal Order of Eagles / Aerle 4340 - Vies	PO Box 194	Vienna, MO 65052	\$10,000.00	\$10,000.00	3/17/10	2/14/11	X	renovations & repairs	
Knights of Columbus - Argyle	PO Box 61	Argyle, MO 65001	\$10,000.00	\$10,000.00	3/17/10	1/18/11	X	renovations & repairs	
Knights of Columbus - Linn	1041 CR 821	Linn, MO 65051	\$10,000.00	\$10,000.00	3/17/10	2/17/11	X	concrete parking lot	
Knights of Columbus - Rich Fountain	1918 Highway U	Linn, MO 65051	\$10,000.00	\$10,000.00	3/17/10	1/17/11	X	ballpark grandstands	
Knights of Columbus - St. Thomas / Meta	16810 Rt. B	Meta, MO 65058	\$25,000.00	\$25,000.00	3/17/10	1/16/11	X	renovate kitchen	
Knights of Columbus - Vienna	PO Box 444	Vienna, MO 65052	\$35,000.00	\$35,000.00	3/17/10	2/6/11	X	debt reduction	
Knights of Columbus - Westphalia	757 County Rd 512	Westphalia, MO 65085	\$10,000.00	\$10,000.00	3/17/10	2/12/11	X	playground equipment	
Lions Club / Freeburg	PO Box 75	Freeburg, MO 65035	\$20,000.00	\$20,000.00	3/17/10	2/12/11	X	building addition & parking lot	
Lions Club / Westphalia	98 Windy Ridge Lane	Westphalia, MO 65085	\$20,000.00	\$20,000.00	3/17/10	1/24/11	X	debt reduction & storm water drainage	
Loose Creek Community Club	PO Box 65	Loose Creek, MO 65054	\$10,000.00	\$10,000.00	3/17/10	1/24/11	X	capital improvement projects or debt reduction	
Meta Community Park (Ball Park)	8 Brook Hollow Rd	Meta, MO 65058	\$4,000.00	\$4,000.00	3/17/10	1/27/11	X	various repairs	
St. Elizabeth Park Board	615 Hwy 52	St. Elizabeth, MO 65075	\$4,000.00	\$4,000.00	3/17/10	2/28/11	X	concrete bleachers	
St. Thomas Park Board Assn	14129 Lower Bottom Rd	St. Thomas, MO 65076	\$4,000.00	\$4,000.00	3/17/10	1/18/11	X	bailing cage & top soil	
VFW Post #8045 - Argyle	30921 Hwy 63N	Vienna, MO 65052	\$4,000.00	\$4,000.00	3/17/10	1/29/11	X	general operating	
Knights of Columbus - Wardsville/Osage B4	3408 Rt M	Jefferson City, MO 65101	\$50,000.00	\$50,000.00	3/17/10	1/15/11	X	land purchase for building construction	