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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning 12/01, 2009, and ending 11/30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                         |                                                                                  |            |                                                                               |
|-------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type. See Specific Instructions. | Name of foundation<br><b>THE ARR TRUST D CHARITABLE TRUST</b>                    |            | A Employer identification number<br><b>36-6944752</b>                         |
|                                                                         | Number and street (or P O box number if mail is not delivered to street address) | Room/suite | B Telephone number (see page 10 of the instructions)<br><b>(312) 923-1628</b> |
|                                                                         | BANK OF AMERICA, NA 231 S LASALLE ST                                             |            |                                                                               |
|                                                                         | City or town, state, and ZIP code<br><b>CHICAGO, IL 60697</b>                    |            |                                                                               |

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 4,156,588.

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here . . . . . ☐  
D 1 Foreign organizations, check here . . . . . ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . ☐

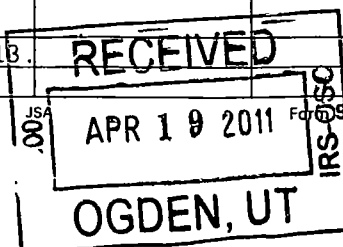
| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).) |                                                                                            | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1                                                                                                                                                                                  | Contributions, gifts, grants, etc., received (attach schedule)                             |                                    |                           |                         |                                                             |
| 2                                                                                                                                                                                  | Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . . |                                    |                           |                         |                                                             |
| 3                                                                                                                                                                                  | Interest on savings and temporary cash investments                                         |                                    |                           |                         |                                                             |
| 4                                                                                                                                                                                  | Dividends and interest from securities . . . . .                                           | 104,670.                           | 104,453.                  |                         | STMT-1                                                      |
| 5a                                                                                                                                                                                 | Gross rents . . . . .                                                                      |                                    |                           |                         |                                                             |
| b                                                                                                                                                                                  | Net rental income or (loss) . . . . .                                                      |                                    |                           |                         |                                                             |
| 6a                                                                                                                                                                                 | Net gain or (loss) from sale of assets not on line 10                                      | -5,149.                            |                           |                         |                                                             |
| b                                                                                                                                                                                  | Gross sales price for all assets on line 6a <u>575,813.</u>                                |                                    |                           |                         |                                                             |
| 7                                                                                                                                                                                  | Capital gain net income (from Part IV, line 2) . . . . .                                   |                                    |                           |                         |                                                             |
| 8                                                                                                                                                                                  | Net short-term capital gain . . . . .                                                      |                                    |                           |                         |                                                             |
| 9                                                                                                                                                                                  | Income modifications . . . . .                                                             |                                    |                           |                         |                                                             |
| 10a                                                                                                                                                                                | Gross sales less returns and allowances . . . . .                                          |                                    |                           |                         |                                                             |
| b                                                                                                                                                                                  | Less: Cost of goods sold . . . . .                                                         |                                    |                           |                         |                                                             |
| c                                                                                                                                                                                  | Gross profit or (loss) (attach schedule) . . . . .                                         |                                    |                           |                         |                                                             |
| 11                                                                                                                                                                                 | Other income (attach schedule) . . . . .                                                   |                                    |                           |                         |                                                             |
| 12                                                                                                                                                                                 | Total. Add lines 1 through 11 . . . . .                                                    | 99,521.                            | 104,453.                  |                         |                                                             |
| 13                                                                                                                                                                                 | Compensation of officers, directors, trustees, etc. . . . .                                | 23,535.                            | 14,121.                   |                         | 9,414.                                                      |
| 14                                                                                                                                                                                 | Other employee salaries and wages . . . . .                                                |                                    | NONE                      | NONE                    |                                                             |
| 15                                                                                                                                                                                 | Pension plans, employee benefits . . . . .                                                 |                                    | NONE                      | NONE                    |                                                             |
| 16a                                                                                                                                                                                | Legal fees (attach schedule) . . . . .                                                     |                                    |                           |                         |                                                             |
| b                                                                                                                                                                                  | Accounting fees (attach schedule) . . . . .                                                |                                    |                           |                         |                                                             |
| c                                                                                                                                                                                  | Other professional fees (attach schedule) . . . . .                                        |                                    |                           |                         |                                                             |
| 17                                                                                                                                                                                 | Interest . . . . .                                                                         |                                    |                           |                         |                                                             |
| 18                                                                                                                                                                                 | Taxes (attach schedule) (see page 14 of the instructions) STMT-2                           | 2,151.                             | 1,201.                    |                         |                                                             |
| 19                                                                                                                                                                                 | Depreciation (attach schedule) and depletion . . . . .                                     |                                    |                           |                         |                                                             |
| 20                                                                                                                                                                                 | Occupancy . . . . .                                                                        |                                    |                           |                         |                                                             |
| 21                                                                                                                                                                                 | Travel, conferences, and meetings . . . . .                                                |                                    | NONE                      | NONE                    |                                                             |
| 22                                                                                                                                                                                 | Printing and publications . . . . .                                                        |                                    | NONE                      | NONE                    |                                                             |
| 23                                                                                                                                                                                 | Other expenses (attach schedule) STMT-3                                                    | 923.                               | 18.                       |                         | 905.                                                        |
| 24                                                                                                                                                                                 | Total operating and administrative expenses. Add lines 13 through 23 . . . . .             | 26,609.                            | 15,340.                   | NONE                    | 10,319.                                                     |
| 25                                                                                                                                                                                 | Contributions, gifts, grants paid . . . . .                                                | 85,931.                            |                           |                         | 85,931.                                                     |
| 26                                                                                                                                                                                 | Total expenses and disbursements. Add lines 24 and 25 . . . . .                            | 112,540.                           | 15,340.                   | NONE                    | 96,250.                                                     |
| 27                                                                                                                                                                                 | Subtract line 26 from line 12 . . . . .                                                    |                                    |                           |                         |                                                             |
| a                                                                                                                                                                                  | Excess of revenue over expenses and disbursements . . . . .                                | -13,019.                           |                           |                         |                                                             |
| b                                                                                                                                                                                  | Net investment income (if negative, enter -0-) . . . . .                                   |                                    | 89,113.                   |                         |                                                             |
| c                                                                                                                                                                                  | Adjusted net income (if negative, enter -0-) . . . . .                                     |                                    |                           |                         |                                                             |

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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| Part II Balance Sheets      |                                                                                       | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                     |                                                     |            |            |
|-----------------------------|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|------------|------------|
|                             |                                                                                       | Beginning of year<br>(a) Book Value                                                                                                    | End of year<br>(b) Book Value (c) Fair Market Value |            |            |
| Assets                      | 1                                                                                     | Cash - non-interest-bearing                                                                                                            |                                                     |            |            |
|                             | 2                                                                                     | Savings and temporary cash investments                                                                                                 | 85,149.                                             | 49,337.    | 49,337.    |
|                             | 3                                                                                     | Accounts receivable                                                                                                                    |                                                     |            |            |
|                             |                                                                                       | Less: allowance for doubtful accounts                                                                                                  |                                                     |            |            |
|                             | 4                                                                                     | Pledges receivable                                                                                                                     |                                                     |            |            |
|                             |                                                                                       | Less: allowance for doubtful accounts                                                                                                  |                                                     |            |            |
|                             | 5                                                                                     | Grants receivable                                                                                                                      |                                                     |            |            |
|                             | 6                                                                                     | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) |                                                     |            |            |
|                             | 7                                                                                     | Other notes and loans receivable (attach schedule)                                                                                     |                                                     |            |            |
|                             |                                                                                       | Less: allowance for doubtful accounts                                                                                                  | NONE                                                |            |            |
|                             | 8                                                                                     | Inventories for sale or use                                                                                                            |                                                     |            |            |
|                             | 9                                                                                     | Prepaid expenses and deferred charges                                                                                                  |                                                     |            |            |
|                             | 10 a                                                                                  | Investments - U.S. and state government obligations (attach schedule)                                                                  |                                                     |            |            |
|                             | b                                                                                     | Investments - corporate stock (attach schedule)                                                                                        | 3,734,092.                                          | 3,756,192. | 4,107,251. |
|                             | c                                                                                     | Investments - corporate bonds (attach schedule)                                                                                        |                                                     |            |            |
|                             | Liabilities                                                                           | 11                                                                                                                                     | Investments - land, buildings, and equipment basis  |            |            |
|                             |                                                                                       | Less: accumulated depreciation (attach schedule)                                                                                       |                                                     |            |            |
| 12                          |                                                                                       | Investments - mortgage loans                                                                                                           |                                                     |            |            |
| 13                          |                                                                                       | Investments - other (attach schedule)                                                                                                  |                                                     |            |            |
| 14                          |                                                                                       | Land, buildings, and equipment basis                                                                                                   |                                                     |            |            |
|                             |                                                                                       | Less: accumulated depreciation (attach schedule)                                                                                       |                                                     |            |            |
| 15                          |                                                                                       | Other assets (describe)                                                                                                                |                                                     |            |            |
| 16                          |                                                                                       | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                                          | 3,819,241.                                          | 3,805,529. | 4,156,588. |
| 17                          |                                                                                       | Accounts payable and accrued expenses                                                                                                  |                                                     |            |            |
| 18                          |                                                                                       | Grants payable                                                                                                                         |                                                     |            |            |
| 19                          | Deferred revenue                                                                      |                                                                                                                                        |                                                     |            |            |
| 20                          | Loans from officers, directors, trustees, and other disqualified persons              |                                                                                                                                        |                                                     |            |            |
| 21                          | Mortgages and other notes payable (attach schedule)                                   |                                                                                                                                        |                                                     |            |            |
| 22                          | Other liabilities (describe)                                                          |                                                                                                                                        |                                                     |            |            |
| 23                          | Total liabilities (add lines 17 through 22)                                           |                                                                                                                                        |                                                     |            |            |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here                                          |                                                                                                                                        |                                                     |            |            |
|                             | and complete lines 24 through 26 and lines 30 and 31.                                 |                                                                                                                                        |                                                     |            |            |
|                             | 24                                                                                    | Unrestricted                                                                                                                           |                                                     |            |            |
|                             | 25                                                                                    | Temporarily restricted                                                                                                                 |                                                     |            |            |
|                             | 26                                                                                    | Permanently restricted                                                                                                                 |                                                     |            |            |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. |                                                                                                                                        |                                                     |            |            |
|                             | 27                                                                                    | Capital stock, trust principal, or current funds                                                                                       | 3,819,241.                                          | 3,805,529. |            |
|                             | 28                                                                                    | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                          |                                                     |            |            |
|                             | 29                                                                                    | Retained earnings, accumulated income, endowment, or other funds                                                                       |                                                     |            |            |
|                             | 30                                                                                    | Total net assets or fund balances (see page 17 of the instructions)                                                                    | 3,819,241.                                          | 3,805,529. |            |
| 31                          | Total liabilities and net assets/fund balances (see page 17 of the instructions)      | 3,819,241.                                                                                                                             | 3,805,529.                                          |            |            |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                            |   |            |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,819,241. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | -13,019.   |
| 3 | Other increases not included in line 2 (itemize)                                                                                                           | 3 |            |
| 4 | Add lines 1, 2, and 3                                                                                                                                      | 4 | 3,806,222. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                 | 5 | 693.       |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 3,805,529. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)              |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                             | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a SEE PART IV DETAIL</b>                                                                                                                    |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>b</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>c</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>d</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>e</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| (e) Gross sales price                                                                                                                           | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                             |                                      |                                  |
| <b>a</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>b</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>c</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>d</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>e</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69</b>                                              |                                            |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)) |                                      |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                          |                                      |                                  |
| <b>a</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>b</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>c</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>d</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>e</b>                                                                                                                                        |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>2 Capital gain net income or (net capital loss) . . . . .</b>                                                                                |                                            |                                                 | <b>2</b>                                                                                 | <b>-5,149.</b>                       |                                  |
| { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7                                                               |                                            |                                                 |                                                                                          |                                      |                                  |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>                                                          |                                            |                                                 | <b>3</b>                                                                                 |                                      |                                  |
| If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8. . . . . |                                            |                                                 |                                                                                          |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                            | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2008                                                                                                                                                                                            | 100,362.                                 | 3,387,179.                                   | 0.02962996641                                             |
| 2007                                                                                                                                                                                            |                                          |                                              |                                                           |
| 2006                                                                                                                                                                                            |                                          |                                              |                                                           |
| 2005                                                                                                                                                                                            |                                          |                                              |                                                           |
| 2004                                                                                                                                                                                            |                                          |                                              |                                                           |
| <b>2 Total of line 1, column (d) . . . . .</b>                                                                                                                                                  |                                          |                                              | <b>2</b> 0.02962996641                                    |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .</b> |                                          |                                              | <b>3</b> 0.02962996641                                    |
| <b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .</b>                                                                                                 |                                          |                                              | <b>4</b> 3,874,424.                                       |
| <b>5 Multiply line 4 by line 3 . . . . .</b>                                                                                                                                                    |                                          |                                              | <b>5</b> 114,799.                                         |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .</b>                                                                                                                   |                                          |                                              | <b>6</b> 891.                                             |
| <b>7 Add lines 5 and 6 . . . . .</b>                                                                                                                                                            |                                          |                                              | <b>7</b> 115,690.                                         |
| <b>8 Enter qualifying distributions from Part XII, line 4 . . . . .</b>                                                                                                                         |                                          |                                              | <b>8</b> 96,250.                                          |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|                                                                                                                                                                                                                                                |    |              |        |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions) |    |              |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                              |    | 1            | 1,782. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                                      |    |              |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                    |    | 2            |        |
| 3 Add lines 1 and 2                                                                                                                                                                                                                            |    | 3            | 1,782. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                  |    | 4            | NONE   |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                                      |    | 5            | 1,782. |
| 6 Credits/Payments                                                                                                                                                                                                                             |    |              |        |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009                                                                                                                                                                            | 6a | 1,851.       |        |
| b Exempt foreign organizations-tax withheld at source                                                                                                                                                                                          | 6b | NONE         |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                          | 6c | NONE         |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                                      | 6d |              |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                          | 7  | 1,851.       |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                            | 8  |              |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                                | 9  |              |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                                   | 10 | 69.          |        |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax                                                                                                                                                                           | 11 | 69. Refunded |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                                 |     | X   |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X   |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                  |     | X   |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation. \$ (2) On foundation managers. \$                                                                                                                                                                                        |     |     |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$                                                                                                                                                                                                              |     |     |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                          | 2   | X   |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                            | 3   | X   |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                          | 4a  | X   |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                      | 4b  | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    | 5   | X   |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                     | 6   | X   |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                                     | 7   | X   |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) STMT 5                                                                                                                                                                                                                             |     |     |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                           | 8b  | X   |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV                                                                                       | 9   | X   |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                   | 10  | X   |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                              |                                                                                                                                                                                                        |    |   |   |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11                                                                           | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 |   | X |
| 12                                                                           | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                  | 12 |   | X |
| 13                                                                           | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                    | 13 | X |   |
| Website address <b>NONE</b>                                                  |                                                                                                                                                                                                        |    |   |   |
| 14                                                                           | The books are in care of <b>BANK OF AMERICA, N.A.</b> Telephone no. <b>(312) 923-1658</b>                                                                                                              |    |   |   |
| Located at <b>231 SOUTH LASALLE STREET, CHICAGO, IL</b> ZIP + 4 <b>60697</b> |                                                                                                                                                                                                        |    |   |   |
| 15                                                                           | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                    | 15 |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                     | No                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                            |                                         |                                        |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?                                                                                                                                                                                                                                                            |                                         | X                                      |
| Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                                                                                                                                                                                                                                               |                                         |                                        |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        |                                         | X                                      |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                         |                                        |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| If "Yes," list the years                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                         |                                        |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)                                                                                                                                                                        | 2b                                      | N/A                                    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.                                                                                                                                                                                                                                                                                                                                                                               |                                         |                                        |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | 3b                                      | N/A                                    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               | 4a                                      | X                                      |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              | 4b                                      | X                                      |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 6      |                                                           | 23,535.                                   | -0-                                                                   | -0-                                   |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           | NONE             | NONE                                                                  | NONE                                  |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐ NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     | NONE             |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . **NONE****Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 NONE                                                                                                                                                                                                                                                |          |
| 2                                                                                                                                                                                                                                                     |          |
| 3                                                                                                                                                                                                                                                     |          |
| 4                                                                                                                                                                                                                                                     |          |

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 NONE                                                                                                           |        |
| 2                                                                                                                |        |
| All other program-related investments See page 24 of the instructions                                            |        |
| 3 NONE                                                                                                           |        |
| <b>Total.</b> Add lines 1 through 3 . . . . .                                                                    |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                          |           |            |
|----------|--------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |           |            |
| <b>a</b> | Average monthly fair market value of securities                                                                          | <b>1a</b> | 3,861,995. |
| <b>b</b> | Average of monthly cash balances                                                                                         | <b>1b</b> | 71,430.    |
| <b>c</b> | Fair market value of all other assets (see page 24 of the instructions)                                                  | <b>1c</b> | NONE       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                    | <b>1d</b> | 3,933,425. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                     | <b>2</b>  | NONE       |
| <b>3</b> | Subtract line 2 from line 1d                                                                                             | <b>3</b>  | 3,933,425. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 59,001.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4              | <b>5</b>  | 3,874,424. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                     | <b>6</b>  | 193,721.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |          |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 193,721. |
| <b>2a</b> | Tax on investment income for 2009 from Part VI, line 5                                                    | <b>2a</b> | 1,782.   |
| <b>2b</b> | Income tax for 2009. (This does not include the tax from Part VI.)                                        | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 1,782.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 191,939. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | NONE     |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 191,939. |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  | NONE     |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 191,939. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |         |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                          |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | <b>1a</b> | 96,250. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                                  | <b>1b</b> |         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  | NONE    |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                                |           |         |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> | NONE    |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> | NONE    |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 96,250. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | N/A     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 96,250. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 191,939.    |
| <b>2</b> Undistributed income, if any, as of the end of 2009.                                                                                                                               |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only . . . . .                                                                                                                                               |               |                            | 67,148.     |             |
| <b>b</b> Total for prior years 20____, 20____, 20____ . . . . .                                                                                                                             |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009:                                                                                                                                   |               |                            |             |             |
| <b>a</b> From 2004 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| <b>b</b> From 2005 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| <b>c</b> From 2006 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| <b>d</b> From 2007 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| <b>e</b> From 2008 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | NONE          |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 96,250.                                                                                                               |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a . . . . .                                                                                                                               |               |                            | 67,148.     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               | NONE                       |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             | NONE          |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount . . . . .                                                                                                                                     |               |                            |             | 29,102.     |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               | NONE          |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                     | NONE          |                            |             | NONE        |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .                                                                                                                        | NONE          |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               | NONE                       |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .                                                                                          |               | NONE                       |             |             |
| <b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .                                                           |               |                            |             |             |
| <b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010. . . . .                                                               |               |                            |             | 162,837.    |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   | NONE          |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               | NONE          |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | NONE          |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                               |               |                            |             |             |
| <b>a</b> Excess from 2005 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| <b>b</b> Excess from 2006 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| <b>c</b> Excess from 2007 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| <b>d</b> Excess from 2008 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| <b>e</b> Excess from 2009 . . . . .                                                                                                                                                         | NONE          |                            |             |             |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

|                                                                                                                                                                   | Tax year | Prior 3 years |          |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                   | (a) 2009 | (b) 2008      | (c) 2007 | (d) 2006 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                 |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                            |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                          |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                        |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                          |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                             |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                       |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                        |          |               |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                      |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                            | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount         |
|------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------------|
| Name and address (home or business)                  |                                                                                                           |                                |                                  |                |
| <b>a Paid during the year</b><br><br>SEE STATEMENT 7 |                                                                                                           |                                |                                  |                |
| <b>Total . . . . .</b>                               |                                                                                                           |                                | <b>▶ 3a</b>                      | <b>85,931.</b> |
| <b>b Approved for future payment</b>                 |                                                                                                           |                                |                                  |                |
| <b>Total . . . . .</b>                               |                                                                                                           |                                | <b>▶ 3b</b>                      |                |

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## Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

|                      |                                                                                                                                                                                                                                                                  |
|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Line No.</b><br>▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                 |  | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----|----|
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                            |  |     |    |
| (1) Cash                                                                                                                                                                                                                                                                                                                                                                                              |  |     | X  |
| (2) Other assets                                                                                                                                                                                                                                                                                                                                                                                      |  |     | X  |
| <b>b</b> Other transactions                                                                                                                                                                                                                                                                                                                                                                           |  |     |    |
| (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                            |  |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                      |  |     | X  |
| (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                                  |  |     | X  |
| (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                                        |  |     | X  |
| (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                          |  |     | X  |
| (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                                |  |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |  |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |  |     |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b If "Yes," complete the following schedule.**

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee BAN

BANK OF AMERICA, TRUSTEE

04/01/11

SR. V.P.

|                                                                |                                 |                      |                          |                                                 |                                                                              |           |
|----------------------------------------------------------------|---------------------------------|----------------------|--------------------------|-------------------------------------------------|------------------------------------------------------------------------------|-----------|
| Sign Here                                                      | Signature of officer or trustee |                      | BANK OF AMERICA, TRUSTEE |                                                 | Date                                                                         | Title     |
|                                                                | Paid<br>Preparer's<br>Use Only  | Preparer's signature | Date                     | Check if self-employed <input type="checkbox"/> | Preparer's identifying number (See Signature on page 30 of the instructions) |           |
| Firm's name (or yours if self-employed), address, and ZIP code |                                 |                      |                          |                                                 | EIN                                                                          | Phone no. |

**THE ARR TRUST D CHARITABLE TRUST**

**36-6944752**

**Balance Sheet**

**11/30/2010**

| <b>Cusip</b> | <b>Asset</b>                    | <b>Units</b> | <b>Basis</b> | <b>Market Value</b> |
|--------------|---------------------------------|--------------|--------------|---------------------|
| 00206R102    | AT&T INC                        | 335 000      | 11,348.51    | 9,309 65            |
| 002824100    | ABBOTT LABS                     | 105 000      | 5,697.03     | 4,883 55            |
| 009158106    | AIR PRODS & CHEMS INC           | 65 000       | 4,577 43     | 5,604 30            |
| 02209S103    | ALTRIA GROUP INC                | 150.000      | 2,459 85     | 3,600 00            |
| 025537101    | AMERICAN ELEC PWR INC           | 194 000      | 6,077 67     | 6,906 40            |
| 025816109    | AMERICAN EXPRESS CO             | 81 000       | 2,996 04     | 3,500 82            |
| 032654105    | ANALOG DEVICES INC              | 79 000       | 1,948 69     | 2,809 24            |
| 037411808    | APACHE CORP                     | 74.000       | 3,911.48     | 4,493.28            |
| 053015103    | AUTOMATIC DATA PROCESSING INC   | 108 000      | 4,125 83     | 4,813 56            |
| 054303102    | AVON PRODS INC                  | 51 000       | 1,430.05     | 1,456 56            |
| 101121101    | BOSTON PROPERTIES INC           | 50 000       | 5,695.03     | 4,190 00            |
| 166764100    | CHEVRON CORP                    | 105.000      | 8,168 43     | 8,501 85            |
| 172967416    | CITIGROUP INC                   | 30 000       | 3,289 41     | 3,739 80            |
| 194162103    | COLGATE PALMOLIVE CO            | 30.000       | 1,982 40     | 2,296 50            |
| 197199813    | COLUMBIA ACORN INTERNATIONAL    | 4445 825     | 150,000 00   | 171,164.26          |
| 19765H347    | COLUMBIA LARGE CAP ENHANCED     | 82479 707    | 834,694 64   | 949,341 43          |
| 19765H362    | COLUMBIA SHORT TERM BOND FUND   | 41288 363    | 400,910 01   | 411,644 98          |
| 19765H453    | COLUMBIA TOTAL RETURN BOND FUND | 60216 229    | 598,783 55   | 604,570.94          |
| 19765H586    | COLUMBIA INTERNATIONAL VALUE    | 7016 702     | 106,000 00   | 95,076 31           |
| 19765H636    | COLUMBIA MARSICO INTERNATIONAL  | 13494.894    | 190,000 00   | 154,651 49          |
| 19765H727    | COLUMBIA CONVERTIBLE SECURITIES | 7668 645     | 105,980 68   | 109,354 88          |
| 19765J764    | COLUMBIA SMALL CAP VALUE FUND   | 15581 558    | 165,000 00   | 198,820 68          |
| 19765P232    | COLUMBIA MID CAP GROWTH FUND    | 14941 064    | 242,943 42   | 375,170.12          |
| 25243Q205    | DIAGEO PLC                      | 109 000      | 8,283 56     | 7,810 94            |
| 260003108    | DOVER CORP                      | 60.000       | 2,021.73     | 3,288 60            |
| 268648AK8    | EMC CORP                        | 3000 000     | 3,740 77     | 4,110.00            |
| 29358Q109    | ENSCO PLC                       | 71 000       | 3,201 65     | 3,365.40            |
| 30231G102    | EXXON MOBIL CORP                | 106 000      | 6,910.64     | 7,373.36            |
| 344849104    | FOOT LOCKER INC                 | 243 000      | 2,853 32     | 4,585 41            |
| 345395206    | FORD MTR CO CAP TR II           | 67 000       | 3,144 50     | 3,440 45            |
| 35671D857    | FREEPORT-MCMORAN COPPER &       | 20 000       | 1,733 34     | 2,026 40            |
| 369550108    | GENERAL DYNAMICS CORP           | 66 000       | 4,905 23     | 4,361 94            |
| 370334104    | GENERAL MLS INC                 | 136 000      | 4,030 44     | 4,804.88            |
| 37045V209    | GENERAL MTRS CO                 | 33 000       | 1,650 99     | 1,673.10            |

**THE ARR TRUST D CHARITABLE TRUST**

**36-6944752**

**Balance Sheet**

**11/30/2010**

| <u>Cusip</u> | <u>Asset</u>                     | <u>Units</u> | <u>Basis</u> | <u>Market Value</u> |
|--------------|----------------------------------|--------------|--------------|---------------------|
| 372460105    | GENUINE PARTS CO                 | 119 000      | 3,896 81     | 5,728 66            |
| 37733W105    | GLAXOSMITHKLINE PLC              | 79 000       | 3,192 53     | 3,024 12            |
| 382388106    | GOODRICH CORP                    | 108 000      | 4,999 27     | 9,263 16            |
| 423074103    | HEINZ H J CO                     | 75.000       | 3,217.57     | 3,620 25            |
| 437076102    | HOME DEPOT INC                   | 215 000      | 4,599 08     | 6,495 15            |
| 452308109    | ILLINOIS TOOL WKS INC            | 163.000      | 8,009.99     | 7,763.69            |
| 458140100    | INTEL CORP                       | 166 000      | 2,389 35     | 3,512 15            |
| 459200101    | INTERNATIONAL BUSINESS MACHS     | 64.000       | 5,614.85     | 9,053 44            |
| 464287234    | ISHARES MSCI EMERGING MKTS       | 6500.000     | 268,089 00   | 291,070 00          |
| 464287614    | ISHARES RUSSELL 1000 GROWTH      | 2700.000     | 136,136 50   | 147,150 00          |
| 464288513    | ISHARES IBOXX \$ HIGH YIELD CORP | 450 000      | 40,457 25    | 40,045.50           |
| 46625H100    | J P MORGAN CHASE & CO            | 215 000      | 9,106 07     | 8,041 00            |
| 478160104    | JOHNSON & JOHNSON                | 160 000      | 10,838 33    | 9,848 00            |
| 50075N104    | KRAFT FOODS INC                  | 103.000      | 2,708 97     | 3,115 75            |
| 580135101    | MCDONALDS CORP                   | 51 000       | 2,812 26     | 3,993 30            |
| 58933Y105    | MERCK & CO INC                   | 118 000      | 4,286 45     | 4,067 46            |
| 59156R108    | METLIFE INC                      | 107.000      | 5,964 76     | 4,082 05            |
| 594918104    | MICROSOFT CORP                   | 166 000      | 4,888 14     | 4,192.75            |
| 617446448    | MORGAN STANLEY                   | 97 000       | 2,279 14     | 2,372 62            |
| 63934EAL2    | NAVISTAR INTL CORP NEW           | 1000 000     | 1,131 45     | 1,233 75            |
| 65339F101    | NEXTERA ENERGY INC               | 59 000       | 3,119.68     | 2,986.58            |
| 655664100    | NORDSTROM INC                    | 65.000       | 1,711 38     | 2,782 00            |
| 674599105    | OCCIDENTAL PETE CORP DEL         | 122 000      | 5,576 55     | 10,756.74           |
| 69331C108    | PG&E CORP                        | 104 000      | 3,938 56     | 4,880 72            |
| 693475105    | PNC FINL SVCS GROUP INC          | 64.000       | 4,614 25     | 3,446 40            |
| 693506107    | PPG INDS INC                     | 37.000       | 2,188 72     | 2,884 52            |
| 695156109    | PACKAGING CORP AMER              | 102.000      | 1,460 90     | 2,616 30            |
| 701094104    | PARKER HANNIFIN CORP             | 44 000       | 1,898 35     | 3,530.12            |
| 712704105    | PEOPLES UTD FINL INC             | 226 000      | 3,140 43     | 2,800 14            |
| 717081103    | PFIZER INC                       | 304 000      | 4,378.93     | 4,955 20            |
| 718172109    | PHILIP MORRIS INTL INC           | 120 000      | 5,687.51     | 6,826 80            |
| 722005667    | PIMCO COMMODITY REALRETURN       | 26499.970    | 215,000.00   | 229,224 74          |
| 729251108    | PLUM CREEK TIMBER CO INC         | 75.000       | 3,047.21     | 2,703 00            |
| 74144T108    | PRICE T ROWE GROUP INC           | 47 000       | 1,163 21     | 2,741 51            |

**THE ARR TRUST D CHARITABLE TRUST**

**36-6944752**

**Balance Sheet**

**11/30/2010**

| <b>Cusip</b> | <b>Asset</b>               | <b>Units</b>  | <b>Basis</b>        | <b>Market Value</b> |
|--------------|----------------------------|---------------|---------------------|---------------------|
| 816851109    | SEMPRA ENERGY              | 73 000        | 4,088 80            | 3,656 57            |
| 87612E106    | TARGET CORP                | 75.000        | 3,924 31            | 4,270 50            |
| 902973304    | US BANCORP DEL             | 345 000       | 11,736 53           | 8,204 10            |
| 912909AE8    | UNITED STS STL CORP        | 1000 000      | 1,335 42            | 1,667 50            |
| 913017109    | UNITED TECHNOLOGIES CORP   | 115.000       | 7,578 08            | 8,656.05            |
| 918204108    | V F CORP                   | 34 000        | 2,757 90            | 2,817 92            |
| 922908553    | VANGUARD REIT              | 280 000       | 15,219 40           | 14,991.20           |
| 92343V104    | VERIZON COMMUNICATIONS INC | 214 000       | 7,273.42            | 6,850 14            |
| 949746101    | WELLS FARGO & CO           | 155 000       | 5,283.45            | 4,217 55            |
| 969457100    | WILLIAMS COS INC DEL       | 213 000       | 2,511 60            | 4,858 53            |
| 98389B100    | XCEL ENERGY INC            | 299.000       | 6,429 50            | 7,026.50            |
| G98290102    | XL GROUP PLC               | 169 000       | 2,678 29            | 3,322.54            |
| H8912P106    | TYCO ELECTRONICS LTD       | 104 000       | 3,335 71            | 3,163 68            |
|              | Cash/Cash Equivalent       | 49336 740     | 49,336 74           | 49,336 74           |
|              |                            | <b>Totals</b> | <b>3,805,528 92</b> | <b>4,156,588.15</b> |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                  |                    |                           |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                          | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
|                                        |                                | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS                                       |                    |                           |                              | 1,160.                |            |
| 1,524.00                               |                                | 61. AT&T INC<br>PROPERTY TYPE: SECURITIES<br>2,481.00                        |                    |                           |                              | 07/06/2007<br>-957.00 | 02/22/2010 |
| 343.00                                 |                                | 5. AIR PRODS & CHEMS INC COM INC.<br>PROPERTY TYPE: SECURITIES<br>426.00     |                    |                           |                              | 09/11/2008<br>-83.00  | 02/09/2010 |
| 137.00                                 |                                | 2. AIR PRODS & CHEMS INC COM INC.<br>PROPERTY TYPE: SECURITIES<br>170.00     |                    |                           |                              | 09/11/2008<br>-33.00  | 02/10/2010 |
| 1,575.00                               |                                | 23. AIR PRODS & CHEMS INC COM INC.<br>PROPERTY TYPE: SECURITIES<br>1,959.00  |                    |                           |                              | 09/11/2008<br>-384.00 | 02/11/2010 |
| 342.00                                 |                                | 5. AIR PRODS & CHEMS INC COM INC.<br>PROPERTY TYPE: SECURITIES<br>392.00     |                    |                           |                              | 02/26/2007<br>-50.00  | 02/11/2010 |
| 68.00                                  |                                | 1. AIR PRODS & CHEMS INC COM INC.<br>PROPERTY TYPE: SECURITIES<br>78.00      |                    |                           |                              | 02/26/2007<br>-10.00  | 02/11/2010 |
| 596.00                                 |                                | 47. AMERICAN EAGLE OUTFITTERS NEW COM<br>PROPERTY TYPE: SECURITIES<br>655.00 |                    |                           |                              | 05/07/2009<br>-59.00  | 05/27/2010 |
| 845.00                                 |                                | 25. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,078.00              |                    |                           |                              | 09/11/2008<br>-233.00 | 04/08/2010 |
| 1,014.00                               |                                | 30. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,129.00              |                    |                           |                              | 05/29/2007<br>-115.00 | 04/08/2010 |
| 68.00                                  |                                | 2. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>73.00                  |                    |                           |                              | 07/31/2007<br>-5.00   | 04/08/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                        |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|--------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                          | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 101.00                                       |                                       | 3. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>109.00       |                          |                                 |                                    |              | 07/31/2007<br>-8.00   | 04/08/2010 |
| 493.00                                       |                                       | 15. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>543.00      |                          |                                 |                                    |              | 07/31/2007<br>-50.00  | 04/27/2010 |
| 263.00                                       |                                       | 8. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>269.00       |                          |                                 |                                    |              | 01/25/2007<br>-6.00   | 04/27/2010 |
| 748.00                                       |                                       | 23. AVON PRODS INC COM<br>PROPERTY TYPE: SECURITIES<br>774.00      |                          |                                 |                                    |              | 01/25/2007<br>-26.00  | 04/28/2010 |
| 1,647.00                                     |                                       | 31. BP AMOCO PLC<br>PROPERTY TYPE: SECURITIES<br>1,972.00          |                          |                                 |                                    |              | 01/25/2007<br>-325.00 | 04/29/2010 |
| 107.00                                       |                                       | 2. BP AMOCO PLC<br>PROPERTY TYPE: SECURITIES<br>127.00             |                          |                                 |                                    |              | 01/25/2007<br>-20.00  | 04/29/2010 |
| 107.00                                       |                                       | 2. BP AMOCO PLC<br>PROPERTY TYPE: SECURITIES<br>127.00             |                          |                                 |                                    |              | 01/25/2007<br>-20.00  | 04/29/2010 |
| 804.00                                       |                                       | 15. BP AMOCO PLC<br>PROPERTY TYPE: SECURITIES<br>790.00            |                          |                                 |                                    |              | 09/11/2008<br>14.00   | 04/29/2010 |
| 1,823.00                                     |                                       | 25. CHEVRONTXACO CORP COM<br>PROPERTY TYPE: SECURITIES<br>2,502.00 |                          |                                 |                                    |              | 06/06/2008<br>-679.00 | 07/23/2010 |
| 1,823.00                                     |                                       | 25. CHEVRONTXACO CORP COM<br>PROPERTY TYPE: SECURITIES<br>2,497.00 |                          |                                 |                                    |              | 06/05/2008<br>-674.00 | 07/23/2010 |
| 73.00                                        |                                       | 1. CHEVRONTXACO CORP COM<br>PROPERTY TYPE: SECURITIES<br>81.00     |                          |                                 |                                    |              | 01/11/2010<br>-8.00   | 07/23/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                         |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                                 | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 1,091.00                               |                                | 14. COLGATE PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES<br>1,095.00               |                    |                           |                              | 09/11/2008<br>-4.00     | 07/29/2010 |
| 3,505.00                               |                                | 45. COLGATE PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES<br>2,974.00               |                    |                           |                              | 01/25/2007<br>531.00    | 07/29/2010 |
| 20,000.00                              |                                | 2028.398 COLUMBIA TOTAL RETURN BOND FUND<br>PROPERTY TYPE: SECURITIES<br>20,240.00  |                    |                           |                              | 03/31/1990<br>-240.00   | 04/09/2010 |
| 50,000.00                              |                                | 4970.179 COLUMBIA TOTAL RETURN BOND FUND<br>PROPERTY TYPE: SECURITIES<br>49,593.00  |                    |                           |                              | 03/31/1990<br>407.00    | 08/16/2010 |
| 60,000.00                              |                                | 5934.718 COLUMBIA TOTAL RETURN BOND FUND<br>PROPERTY TYPE: SECURITIES<br>59,218.00  |                    |                           |                              | 03/31/1990<br>782.00    | 10/15/2010 |
| 215,000.00                             |                                | 15797.208 COLUMBIA CONVERTIBLE SECURITIE<br>PROPERTY TYPE: SECURITIES<br>218,317.00 |                    |                           |                              | 02/03/2003<br>-3,317.00 | 04/09/2010 |
| 46,000.00                              |                                | 3506.098 COLUMBIA CONVERTIBLE SECURITIES<br>PROPERTY TYPE: SECURITIES<br>48,454.00  |                    |                           |                              | 02/03/2003<br>-2,454.00 | 08/16/2010 |
| 50,000.00                              |                                | 8517.888 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES<br>50,667.00   |                    |                           |                              | 12/21/2006<br>-667.00   | 04/09/2010 |
| 51,225.00                              |                                | 8288.835 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES<br>49,304.00   |                    |                           |                              | 12/21/2006<br>1,921.00  | 10/15/2010 |
| 499.00                                 |                                | 6. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>441.00                      |                    |                           |                              | 02/18/2009<br>58.00     | 12/22/2009 |
| 583.00                                 |                                | 7. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>515.00                      |                    |                           |                              | 02/18/2009<br>68.00     | 12/22/2009 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                       |                    |                           |                              | Date acquired        | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------|--------------------|---------------------------|------------------------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)       |            |
| 663.00                                 |                                | 8. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>588.00    |                    |                           |                              | 02/18/2009<br>75.00  | 12/23/2009 |
| 166.00                                 |                                | 2. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>147.00    |                    |                           |                              | 02/18/2009<br>19.00  | 12/23/2009 |
| 166.00                                 |                                | 2. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>147.00    |                    |                           |                              | 02/18/2009<br>19.00  | 12/23/2009 |
| 351.00                                 |                                | 4.206 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>309.00 |                    |                           |                              | 02/18/2009<br>42.00  | 12/24/2009 |
| 233.00                                 |                                | 2.794 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>205.00 |                    |                           |                              | 02/18/2009<br>28.00  | 12/24/2009 |
| 83.00                                  |                                | 1. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>74.00     |                    |                           |                              | 02/18/2009<br>9.00   | 12/28/2009 |
| 250.00                                 |                                | 3. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>221.00    |                    |                           |                              | 02/18/2009<br>29.00  | 12/29/2009 |
| 416.00                                 |                                | 5. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>368.00    |                    |                           |                              | 02/18/2009<br>48.00  | 12/30/2009 |
| 658.00                                 |                                | 8. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>588.00    |                    |                           |                              | 02/18/2009<br>70.00  | 12/31/2009 |
| 256.00                                 |                                | 5. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>338.00       |                    |                           |                              | 05/30/2008<br>-82.00 | 01/12/2010 |
| 51.00                                  |                                | 1. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>68.00        |                    |                           |                              | 05/30/2008<br>-17.00 | 01/12/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                           |                              | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)         |            |
| 51.00                                  |                                | 1. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>67.00                        |                    |                           |                              | 06/03/2008<br>-16.00   | 01/12/2010 |
| 459.00                                 |                                | 9. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>600.00                       |                    |                           |                              | 06/03/2008<br>-141.00  | 01/13/2010 |
| 153.00                                 |                                | 3. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>200.00                       |                    |                           |                              | 06/02/2008<br>-47.00   | 01/13/2010 |
| 155.00                                 |                                | 3. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>200.00                       |                    |                           |                              | 06/02/2008<br>-45.00   | 01/13/2010 |
| 800.00                                 |                                | 16. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>1,065.00                    |                    |                           |                              | 06/02/2008<br>-265.00  | 01/14/2010 |
| 150.00                                 |                                | 3. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>163.00                       |                    |                           |                              | 09/11/2008<br>-13.00   | 01/14/2010 |
| 601.00                                 |                                | 12. FPL GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>654.00                      |                    |                           |                              | 09/11/2008<br>-53.00   | 01/14/2010 |
| 1,196.00                               |                                | 14. FREEPORT-MCMORAN COPPER & GOLD INC C<br>PROPERTY TYPE: SECURITIES<br>523.00   |                    |                           |                              | 03/05/2009<br>673.00   | 04/08/2010 |
| 2,985.00                               |                                | 39. FREEPORT-MCMORAN COPPER & GOLD INC C<br>PROPERTY TYPE: SECURITIES<br>1,457.00 |                    |                           |                              | 03/05/2009<br>1,528.00 | 04/28/2010 |
| 40.00                                  |                                | .574 FREEPORT-MCMORAN COPPER & GOLD INC<br>PROPERTY TYPE: SECURITIES<br>54.00     |                    |                           |                              | 08/22/2007<br>-14.00   | 05/21/2010 |
| 3.00                                   |                                | .368 FRONTIER COMMUNICATIONS CORP COM<br>PROPERTY TYPE: SECURITIES<br>4.00        |                    |                           |                              | 05/01/2008<br>-1.00    | 08/04/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                    |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                            | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 40.00                                  |                                | 5.393 FRONTIER COMMUNICATIONS CORP COM<br>PROPERTY TYPE: SECURITIES<br>55.00   |                    |                           |                              | 05/01/2008<br>-15.00    | 08/06/2010 |
| 87.00                                  |                                | 11.607 FRONTIER COMMUNICATIONS CORP COM<br>PROPERTY TYPE: SECURITIES<br>113.00 |                    |                           |                              | 01/25/2007<br>-26.00    | 08/06/2010 |
| 111.00                                 |                                | 14.797 FRONTIER COMMUNICATIONS CORP COM<br>PROPERTY TYPE: SECURITIES<br>145.00 |                    |                           |                              | 01/25/2007<br>-34.00    | 08/06/2010 |
| 144.00                                 |                                | 19.203 FRONTIER COMMUNICATIONS CORP COM<br>PROPERTY TYPE: SECURITIES<br>173.00 |                    |                           |                              | 09/11/2008<br>-29.00    | 08/06/2010 |
| 1,027.00                               |                                | 30. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,018.00               |                    |                           |                              | 09/11/2008<br>9.00      | 07/29/2010 |
| 2,362.00                               |                                | 69. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES<br>2,232.00               |                    |                           |                              | 10/08/2009<br>130.00    | 07/29/2010 |
| 34.00                                  |                                | 1. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES<br>32.00                   |                    |                           |                              | 10/08/2009<br>2.00      | 07/30/2010 |
| 891.00                                 |                                | 26. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES<br>771.00                 |                    |                           |                              | 10/16/2007<br>120.00    | 07/30/2010 |
| 411.00                                 |                                | 12. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES<br>356.00                 |                    |                           |                              | 10/16/2007<br>55.00     | 07/30/2010 |
| 3,094.00                               |                                | 95. MARATHON OIL CORP COM<br>PROPERTY TYPE: SECURITIES<br>4,190.00             |                    |                           |                              | 01/25/2007<br>-1,096.00 | 01/11/2010 |
| 1,303.00                               |                                | 40. MARATHON OIL CORP COM<br>PROPERTY TYPE: SECURITIES<br>1,697.00             |                    |                           |                              | 09/11/2008<br>-394.00   | 01/11/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                               |                    |                           |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                       | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
| 768.00                                 |                                | 35. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,127.00 |                    |                           |                              | 09/11/2008<br>-359.00 | 12/03/2009 |
| 571.00                                 |                                | 26. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>763.00   |                    |                           |                              | 07/09/2008<br>-192.00 | 12/03/2009 |
| 1,149.00                               |                                | 54. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,585.00 |                    |                           |                              | 07/09/2008<br>-436.00 | 01/05/2010 |
| 319.00                                 |                                | 15. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>395.00   |                    |                           |                              | 10/10/2008<br>-76.00  | 01/05/2010 |
| 873.00                                 |                                | 40. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>1,054.00 |                    |                           |                              | 10/10/2008<br>-181.00 | 01/06/2010 |
| 437.00                                 |                                | 20. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>520.00   |                    |                           |                              | 10/10/2008<br>-83.00  | 01/06/2010 |
| 262.00                                 |                                | 12. MARSH & MCLENNAN COS INC COM<br>PROPERTY TYPE: SECURITIES<br>290.00   |                    |                           |                              | 12/02/2008<br>-28.00  | 01/06/2010 |
| 811.00                                 |                                | 11. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES<br>640.00             |                    |                           |                              | 10/29/2008<br>171.00  | 09/09/2010 |
| 295.00                                 |                                | 4. MCDONALDS CORP COM<br>PROPERTY TYPE: SECURITIES<br>231.00              |                    |                           |                              | 10/21/2008<br>64.00   | 09/09/2010 |
| 10.00                                  |                                | .255 MERCK & CO INC NEW COM<br>PROPERTY TYPE: SECURITIES<br>10.00         |                    |                           |                              | 11/09/2007            | 12/24/2009 |
| 131.00                                 |                                | 2. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>168.00             |                    |                           |                              | 03/23/2009<br>-37.00  | 04/22/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                   |                    |                           |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                           | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
| 196.00                                 |                                | 3. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>250.00 |                    |                           |                              | 03/23/2009<br>-54.00  | 04/22/2010 |
| 262.00                                 |                                | 4. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>331.00 |                    |                           |                              | 12/03/2009<br>-69.00  | 04/22/2010 |
| 261.00                                 |                                | 4. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>331.00 |                    |                           |                              | 12/03/2009<br>-70.00  | 04/23/2010 |
| 97.00                                  |                                | 2. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>165.00 |                    |                           |                              | 12/03/2009<br>-68.00  | 05/27/2010 |
| 97.00                                  |                                | 2. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>161.00 |                    |                           |                              | 10/10/2008<br>-64.00  | 05/27/2010 |
| 390.00                                 |                                | 8. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>644.00 |                    |                           |                              | 10/10/2008<br>-254.00 | 05/27/2010 |
| 246.00                                 |                                | 5. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>403.00 |                    |                           |                              | 10/10/2008<br>-157.00 | 05/27/2010 |
| 98.00                                  |                                | 2. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>146.00 |                    |                           |                              | 12/02/2008<br>-48.00  | 05/27/2010 |
| 295.00                                 |                                | 6. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>437.00 |                    |                           |                              | 12/02/2008<br>-142.00 | 05/27/2010 |
| 40.00                                  |                                | 1. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>23.00    |                    |                           |                              | 05/07/2009<br>17.00   | 05/14/2010 |
| 1,227.00                               |                                | 31. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>723.00  |                    |                           |                              | 05/07/2009<br>504.00  | 05/14/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                           |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                             | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 79.00                                        |                                       | 2. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>45.00            |                          |                                 |                                    |              | 04/13/2009<br>34.00  | 05/14/2010 |
| 158.00                                       |                                       | 4. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>89.00            |                          |                                 |                                    |              | 04/17/2009<br>69.00  | 05/14/2010 |
| 193.00                                       |                                       | 5. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>111.00           |                          |                                 |                                    |              | 04/17/2009<br>82.00  | 05/17/2010 |
| 657.00                                       |                                       | 17. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>375.00          |                          |                                 |                                    |              | 04/17/2009<br>282.00 | 05/17/2010 |
| 116.00                                       |                                       | 3. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>66.00            |                          |                                 |                                    |              | 04/29/2009<br>50.00  | 05/17/2010 |
| 618.00                                       |                                       | 16. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>352.00          |                          |                                 |                                    |              | 04/29/2009<br>266.00 | 05/17/2010 |
| 893.00                                       |                                       | 23. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES<br>506.00          |                          |                                 |                                    |              | 04/29/2009<br>387.00 | 05/17/2010 |
| 303.00                                       |                                       | 4. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES<br>275.00    |                          |                                 |                                    |              | 09/11/2008<br>28.00  | 08/06/2010 |
| 152.00                                       |                                       | 2. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES<br>137.00    |                          |                                 |                                    |              | 09/11/2008<br>15.00  | 08/06/2010 |
| 1,374.00                                     |                                       | 18. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES<br>1,236.00 |                          |                                 |                                    |              | 09/11/2008<br>138.00 | 08/06/2010 |
| 1,145.00                                     |                                       | 15. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES<br>741.00   |                          |                                 |                                    |              | 10/13/2008<br>404.00 | 08/06/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                           |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
| 885.00                                 |                                | 20. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>830.00                              |                    |                           |                              | 11/06/2009<br>55.00   | 09/10/2010 |
| 44.00                                  |                                | 1. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>41.00                                |                    |                           |                              | 11/06/2009<br>3.00    | 09/10/2010 |
| 44.00                                  |                                | 1. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>38.00                                |                    |                           |                              | 07/13/2009<br>6.00    | 09/10/2010 |
| 224.00                                 |                                | 5. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>190.00                               |                    |                           |                              | 07/13/2009<br>34.00   | 09/10/2010 |
| 131.00                                 |                                | 3. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>114.00                               |                    |                           |                              | 07/13/2009<br>17.00   | 09/13/2010 |
| 480.00                                 |                                | 11. PG&E CORP<br>PROPERTY TYPE: SECURITIES<br>417.00                              |                    |                           |                              | 07/14/2009<br>63.00   | 09/13/2010 |
| 1,736.00                               |                                | 69. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>2,043.00                      |                    |                           |                              | 02/22/2010<br>-307.00 | 04/29/2010 |
| 679.00                                 |                                | 27. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>799.00                        |                    |                           |                              | 02/22/2010<br>-120.00 | 04/29/2010 |
| 230.00                                 |                                | 9. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>266.00                         |                    |                           |                              | 02/22/2010<br>-36.00  | 04/29/2010 |
| 1,974.00                               |                                | 2000. PEABODY ENERGY CORP CONV JR SUB DE<br>PROPERTY TYPE: SECURITIES<br>2,238.00 |                    |                           |                              | 09/12/2008<br>-264.00 | 05/17/2010 |
| 1,974.00                               |                                | 2000. PEABODY ENERGY CORP CONV JR SUB DE<br>PROPERTY TYPE: SECURITIES<br>1,960.00 |                    |                           |                              | 01/29/2007<br>14.00   | 05/17/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                       |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                         | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 1,410.00                                     |                                       | 29. PHILIP MORRIS INTL INC COM<br>PROPERTY TYPE: SECURITIES<br>1,570.00           |                          |                                 |                                    |              | 09/11/2008<br>-160.00 | 04/27/2010 |
| 500.00                                       |                                       | 14. PLUM CREEK TIMBER CO INC<br>PROPERTY TYPE: SECURITIES<br>671.00               |                          |                                 |                                    |              | 09/11/2008<br>-171.00 | 11/23/2010 |
| 751.00                                       |                                       | 21. PLUM CREEK TIMBER CO INC<br>PROPERTY TYPE: SECURITIES<br>1,006.00             |                          |                                 |                                    |              | 09/11/2008<br>-255.00 | 11/23/2010 |
| 358.00                                       |                                       | 10. PLUM CREEK TIMBER CO INC<br>PROPERTY TYPE: SECURITIES<br>411.00               |                          |                                 |                                    |              | 01/25/2007<br>-53.00  | 11/23/2010 |
| 624.00                                       |                                       | 11. TOTAL S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>736.00                |                          |                                 |                                    |              | 01/25/2007<br>-112.00 | 02/23/2010 |
| 3,358.00                                     |                                       | 59. TOTAL S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>3,947.00              |                          |                                 |                                    |              | 01/25/2007<br>-589.00 | 02/23/2010 |
| 1,708.00                                     |                                       | 30. TOTAL S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>1,868.00              |                          |                                 |                                    |              | 09/11/2008<br>-160.00 | 02/23/2010 |
| 1,025.00                                     |                                       | 18. TOTAL S A SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>893.00                |                          |                                 |                                    |              | 12/02/2008<br>132.00  | 02/23/2010 |
| 2,166.00                                     |                                       | 1000. UNITED STS STL CORP CONV NEW SR UN<br>PROPERTY TYPE: SECURITIES<br>1,335.00 |                          |                                 |                                    |              | 07/16/2009<br>831.00  | 04/08/2010 |
| 405.00                                       |                                       | 14. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>595.00                 |                          |                                 |                                    |              | 09/17/2007<br>-190.00 | 02/22/2010 |
| 898.00                                       |                                       | 31. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>1,311.00               |                          |                                 |                                    |              | 09/14/2007<br>-413.00 | 02/22/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                          |                    |                           |                              | Date acquired             | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------|--------------------|---------------------------|------------------------------|---------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                  | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)            |            |
| 29.00                                  |                                | 1. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>39.00      |                    |                           |                              | 05/01/2008<br>-10.00      | 02/22/2010 |
| 1,028.00                               |                                | 20. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES<br>1,238.00 |                    |                           |                              | 09/11/2008<br>-210.00     | 08/06/2010 |
| 514.00                                 |                                | 10. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES<br>530.00   |                    |                           |                              | 11/13/2008<br>-16.00      | 08/06/2010 |
| 514.00                                 |                                | 10. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES<br>503.00   |                    |                           |                              | 02/12/2008<br>11.00       | 08/06/2010 |
| 1,284.00                               |                                | 25. WAL MART STORES INC COM<br>PROPERTY TYPE: SECURITIES<br>1,220.00 |                    |                           |                              | 02/08/2008<br>64.00       | 08/06/2010 |
| 1,772.00                               |                                | 78. WILLIAMS COS INC<br>PROPERTY TYPE: SECURITIES<br>1,085.00        |                    |                           |                              | 04/17/2009<br>687.00      | 03/26/2010 |
| 909.00                                 |                                | 40. WILLIAMS COS INC<br>PROPERTY TYPE: SECURITIES<br>512.00          |                    |                           |                              | 04/09/2009<br>397.00      | 03/26/2010 |
| 682.00                                 |                                | 30. WILLIAMS COS INC<br>PROPERTY TYPE: SECURITIES<br>354.00          |                    |                           |                              | 03/20/2009<br>328.00      | 03/26/2010 |
| TOTAL GAIN (LOSS) .....                |                                |                                                                      |                    |                           |                              | -----<br>-5,149.<br>===== |            |

THE ARR TRUST D CHARITABLE TRUST

36-6944752

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

| DESCRIPTION<br>-----                   | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|----------------------------------------|--------------------------------------------------|--------------------------------------|
| US GOVERNMENT INT REPORTED AS NONQUAL. |                                                  |                                      |
| FOREIGN DIVIDENDS                      | 5,150.                                           | 5,150.                               |
| NONDIVIDEND DISTRIBUTIONS              | 9,407.                                           | 9,407.                               |
| DOMESTIC DIVIDENDS                     | 217.                                             |                                      |
| OTHER INTEREST                         | 25,389.                                          | 25,389.                              |
| NONQUALIFIED FOREIGN DIVIDENDS         | 272.                                             | 272.                                 |
| NONQUALIFIED DOMESTIC DIVIDENDS        | 664.                                             | 664.                                 |
|                                        | 63,571.                                          | 63,571.                              |
|                                        | -----                                            | -----                                |
| TOTAL                                  | 104,670.                                         | 104,453.                             |
|                                        | =====                                            | =====                                |

FORM 990PF, PART I - TAXES  
=====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|--------------------------------|--------------------------------------------------|--------------------------------------|
| FOREIGN TAXES                  | 30.                                              | 30.                                  |
| ESTIMATED TAX PAID             | 950.                                             |                                      |
| FOREIGN TAXES ON QUALIFIED FOR | 1,012.                                           | 1,012.                               |
| FOREIGN TAXES ON NONQUALIFIED  | 159.                                             | 159.                                 |
|                                | -----                                            | -----                                |
| TOTALS                         | 2,151.                                           | 1,201.                               |
|                                | =====                                            | =====                                |

THE ARR TRUST D CHARITABLE TRUST

36-6944752

FORM 990PF, PART I - OTHER EXPENSES  
=====

| DESCRIPTION<br>-----     | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|--------------------------|--------------------------------------------------|--------------------------------------|---------------------------------|
| ILL ATTY GENL FILING FEE | 15.                                              |                                      | 15.                             |
| FEDERAL FILING FEE       | 18.                                              | 18.                                  |                                 |
| B OF A TAX PREP FEE      | 890.                                             |                                      | 890.                            |
|                          | -----                                            | -----                                | -----                           |
| TOTALS                   | 923.                                             | 18.                                  | 905.                            |
|                          | =====                                            | =====                                | =====                           |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

. ROC TIMING ADJUSTMENTS

693.

TOTAL

-----  
693.  
=====

THE ARR TRUST D CHARITABLE TRUST

36-6944752

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STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

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STATEMENT 5

XD576 2 000

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33 -

THE ARR TRUST D CHARITABLE TRUST

36-6944752

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

BANK OF AMERICA, N.A

ADDRESS:

231 S LASALLE ST.

CHICAGO, IL 60697

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 23,535.

TOTAL COMPENSATION:

23,535.

=====

STATEMENT 6

THE ARR TRUST D CHARITABLE TRUST

36-6944752

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

SISTERS OF CHARITY OF THE  
BLESSED VIRGIN MARY

ADDRESS:

1100 CARMEL DR  
DUBUQUE, IA 52003

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CHARITABLE PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 85,931.

TOTAL GRANTS PAID:

85,931.

=====

STATEMENT 7

2010

## Tax Return Transmittal

Form 990PF

Batch 1697

State US

Filing Date 04/08/2011

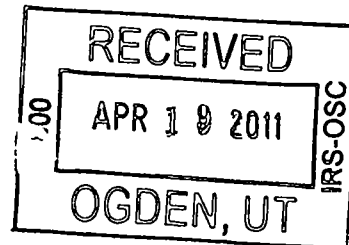
| B/R/O/Account | Title | EIN | F | Acct | Liability | Check | Balance/(Over) |
|---------------|-------|-----|---|------|-----------|-------|----------------|
|---------------|-------|-----|---|------|-----------|-------|----------------|

Bank: 72

|                   |                                  |            |    |     |   |  |   |
|-------------------|----------------------------------|------------|----|-----|---|--|---|
| 72 01 101 3101064 | THE ARR TRUST D CHARITABLE TRUST | 36-6944752 | 11 | 570 | 0 |  | 0 |
|-------------------|----------------------------------|------------|----|-----|---|--|---|

Bank 72 Total 0

Grand Total 0

4-7-11  
(S)

Page Total 0

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