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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning DEC 1, 2009, and ending NOV 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation 36 FOUNDATION, INC.		A Employer identification number 36-4201500
	Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O EWA, 150 S. WACKER 410		B Telephone number 312-419-9204
	City or town, state, and ZIP code CHICAGO, IL 60606		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,135,789.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	0.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	58.	58.		STATEMENT 1
	4 Dividends and interest from securities	18,896.	18,896.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,426.			
	b Gross sales price for all assets on line 6a	515,763.			
	7 Capital gain net income (from Part IV, line 2)		22,426.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	41,380.	41,380.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	760.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	760.	0.		0.
	25 Contributions, gifts, grants paid	261,500.			261,500.
26 Total expenses and disbursements. Add lines 24 and 25	262,260.	0.		261,500.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<220,880.>				
b Net investment income (if negative, enter -0-)		41,380.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	19,019.	17,310.	17,310.
	2 Savings and temporary cash investments	313,857.	30,932.	30,932.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	932,657.	997,697.	1,087,547.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	1,265,533.	1,045,939.	1,135,789.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,265,533.	1,045,939.	
	30 Total net assets or fund balances	1,265,533.	1,045,939.	
31 Total liabilities and net assets/fund balances	1,265,533.	1,045,939.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,265,533.
2 Enter amount from Part I, line 27a	2	<220,880.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	1,286.
4 Add lines 1, 2, and 3	4	1,045,939.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,045,939.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)			VARIOUS	VARIOUS
c MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)			VARIOUS	VARIOUS
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 352,885.		367,974.	<15,089.>
c			
d 162,878.		125,363.	37,515.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			<15,089.>
c			
d			37,515.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	22,426.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	167,416.	1,313,905.	.127419
2007	104,300.	1,663,743.	.062690
2006	92,650.	1,513,841.	.061202
2005	69,000.	1,339,728.	.051503
2004	278,570.	1,235,591.	.225455

2 Total of line 1, column (d)	2	.528269
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.105654
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,145,691.
5 Multiply line 4 by line 3	5	121,047.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	414.
7 Add lines 5 and 6	7	121,461.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	261,500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	414.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	414.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	414.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		414.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JOHN D. MABIE Telephone no. ► 312-419-9204
 Located at ► 150 S. WACKER, SUITE 410, CHICAGO, IL ZIP+4 ► 60606

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN D. MABIE 150 S. WACKER, SUITE 410 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.
MARTHA G. MABIE 150 S. WACKER, SUITE 410 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,000,349.
b	Average of monthly cash balances	1b	162,789.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,163,138.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,163,138.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,447.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,145,691.
6	Minimum investment return. Enter 5% of line 5	6	57,285.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	57,285.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	414.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	414.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	56,871.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	56,871.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	56,871.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	261,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	261,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	414.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	261,086.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				56,871.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	221,940.			
b From 2005	5,559.			
c From 2006	23,485.			
d From 2007	22,605.			
e From 2008	102,089.			
f Total of lines 3a through e	375,678.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	261,500.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				56,871.
e Remaining amount distributed out of corpus	204,629.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	580,307.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	221,940.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	358,367.			
10 Analysis of line 9:				
a Excess from 2005	5,559.			
b Excess from 2006	23,485.			
c Excess from 2007	22,605.			
d Excess from 2008	102,089.			
e Excess from 2009	204,629.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 6				
Total			▶ 3a	261,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						58.
4 Dividends and interest from securities						18,896.
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						22,426.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		41,380.
13 Total. Add line 12, columns (b), (d), and (e)					13	41,380.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

PRESIDENT

➤ **Title**

Preparer's
signature

Date

Check if self- employed	
-------------------------------	--

Preparer's identifying number

Firm's name (or yours if self-employed),
address, and ZIP code

EVERGREEN WEALTH ADVISORS
150 S. WACKER #410
CHICAGO, IL 60606

EIN ▶ 20-8096688

Phone no. 312-419-9204

Form **990-PF** (2009)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
HARRIS BANK WINNETKA	0.
WILLIAM BLAIR MONEY MARKET	58.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	58.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WILLIAM BLAIR	18,896.	0.	18,896.
TOTAL TO FM 990-PF, PART I, LN 4	18,896.	0.	18,896.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.	0.		0.
BANK FEES	0.	0.		0.
SECRETARY OF STATE FILING FEE	10.	0.		0.
CUSTODIAN FEES	735.	0.		0.
TO FORM 990-PF, PG 1, LN 23	760.	0.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
UNREALIZED ASSET APPREC./DEPRECIATION	1,286.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,286.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS SECURITIES	997,697.	1,087,547.
TOTAL TO FORM 990-PF, PART II, LINE 10B	997,697.	1,087,547.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	6
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ART RESOURCES IN TEACHING 11 E. ADAMS ST, SUITE 1600 CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	5,000.
ART INSTITUTE OF CHICAGO 111 S. MICHIGAN AVENUE CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
BRAIN RESEARCH FOUNDATION 120 S. LASALLE #1300 CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	22,000.
BUEHLER CENTER ON AGING 750 NORTH LAKE SHORE DRIVE CHICAGO, IL 606113152	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
BOYS AND GIRLS CLUB OF CHICAGO 550 W. VAN BUREN STREET CHICAGO, IL 60607	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
BOYS AND GIRLS CLUB OF MARTIN COUNTY 11500 S.E. LARES AVE HOBE SOUND, FL 33475	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.

CANCER RESEARCH FOUNDATION 135 S LA SALLE ST # 3708 CHICAGO, IL 606034101	N/A CHARITABLE	OTHER PUBLIC CHARITY	
CHICAGO BOTANIC GARDEN 1000 LAKE COOK ROAD GLENCOE, IL 60022	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
CHICAGO CHILD CARE SOCIETY 5467 S. UNIVERSITY AVENUE CHICAGO, IL 606153016	N/A CHARITABLE	OTHER PUBLIC CHARITY	
CHICAGO COMMUNITY TRUST 111 E. WACKER DR., STE. 1400 CHICAGO, IL 60601	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S. MICHIGAN #1100 CHICAGO, IL 60604	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
CHICAGO FOUNDATION FOR EDUCATION 400 N. MICHIGAN AVE., STE. 720 CHICAGO, IL 60611	N/A CHARITABLE	OTHER PUBLIC CHARITY	6,000.
CHICAGO HIGH SCHOOL FOR THE ARTS 3200 S. CALUMET AVENUE, CHICAGO, IL 60616	N/A CHARITABLE	OTHER PUBLIC CHARITY	20,000.
CHICAGO HISTORICAL SOCIETY 1601 NORTH CLARK STREET CHICAGO, IL 60610	N/A CHARITABLE	OTHER PUBLIC CHARITY	
CHICAGO HUMANITIES FESTIVAL 500 N. DEARBORN , SUITE 825 CHICAGO, IL 60654	N/A CHARITABLE	OTHER PUBLIC CHARITY	
CHICAGO SHAKESPEARE THEATER SUITE 825 CHICAGO, IL 60611	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.

GOLDEN APPLE FOUNDATION 8 SOUTH MICHIGAN AVE., STE. 700 CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	2,000.
GREEN MOUNTAIN COLLEGE ONE BRENNAN CIRCLE POULTNEY, VT 05764	N/A CHARITABLE	OTHER PUBLIC CHARITY	
HADLEY SCHOOL FOR THE BLIND 700 ELM STREET WINNETKA, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	5,000.
HOBE SOUND COMMUNITY CHEST P.O. BOX 511 HOBE SOUND, FL 33475	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
HORIZON HOSPICE 833 W. CHICAGO AVENUE CHICAGO, IL 60622	N/A CHARITABLE	OTHER PUBLIC CHARITY	5,000.
HOMAN SQUARE POWER HOUSE 3517 W. ARTHINGTON ST CHICAGO, IL 60624	N/A CHARITABLE	OTHER PUBLIC CHARITY	20,000.
HOWARD AREA COMMUNITY CENTER 7646 N. PAULINA CHICAGO, IL 60626	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
HYDE PARK ART CENTER 5020 SOUTH CORNELL AVENUE CHICAGO, IL 606153016	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
JUPITER MEDICAL CENTER FOUNDATION 1210 SOUTH OLD DIXIE HIGHWAY JUPITER, FL 33458	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
KENILWORTH UNION CHURCH KENILWORTH DRIVE KENILWORTH, IL 60092	N/A CHARITABLE	OTHER PUBLIC CHARITY	14,000.

36 FOUNDATION, INC.

36-4201500

LINCOLN PARK ZOO - P.O. BOX 14903 / CANNON DRIVE AT FULLERTON PARKWAY CHICAGO, IL 60614	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
LOBLOLLYPOP FOUNDATION 7407 SE HILL HOBE SOUND, FL 33455	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
MARINE CORPS- LAW ENFORCEMENT FOUNDATION 10 ROCKEFELLER PLAZA NEW YORK, NY 10020	N/A CHARITABLE	OTHER PUBLIC CHARITY	
MMETROPOLITAN FAMILY SERVICES ONE NORTH DEARBORN, 10TH FLOOR CHICAGO, IL 60602	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
MILLENIUM PARK 201 EAST RANDOLPH STREET CHICAGO, IL 60602	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
MUSIC INSTITUTE OF CHICAGO 300 GREEN BAY RD WINNETKA, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,500.
NORTH SHORE SENIOR CENTER 161 NORTHFIELD ROAD NORTHFIELD, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
NORTHWESTERN UNIVERSITY 750 N. LAKE SHORE DRIVE CHICAGO, IL 60611	N/A CHARITABLE	OTHER PUBLIC CHARITY	6,000.
OLD ELM SCHOLARS FOUNDATION 800 OLD ELM RD HIGHLAND PARK, IL 60035	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.

36 FOUNDATION, INC.

36-4201500

PATHWAYS 150 N MICHIGAN AVE # 2100 CHICAGO, IL 60601	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
PERSPECTIVES CHARTER SCHOOL 601 S. LASALLE CHICAGO, IL 60605	N/A CHARITABLE	OTHER PUBLIC CHARITY	2,000.
POSSE FOUNDATION 111 W. JACKSON CHICAGO, IL 60604	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
PRESBYTERIAN FRONTIER FELLOWSHIP 7132 PORTLAND AVENUE RICHFIELD, MN 55423	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
RENAISSANCE SCHOOLS FUND 21 SOUTH CLARK STREET CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
ROLAND PARK COUNTRY SCHOOL 5204 ROLAND AVENUE BALTIMORE, MD 21210	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
SANTA FOR THE VERY POOR P.O. BOX 2136 CHICAGO, IL 60696	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
SOUTHWEST CHICAGO PADS 3121 N. 71ST STREET CHICAGO, IL 60629	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
STENN FUND OVARIAN CANCER 420 EAST SUPERIOR STREET CHICAGO, IL 60611	N/A CHARITABLE	OTHER PUBLIC CHARITY	

THE FIELD MUSEUM 1400 S. LAKE SHORE DRIVE CHICAGO, IL 60605	N/A CHARITABLE	OTHER PUBLIC CHARITY	
THRESHOLDS 1700 MORSE AVE. CHICAGO, IL 60626	N/A CHARITABLE	OTHER PUBLIC CHARITY	2,000.
UNITED WAY OF MARTIN COUNTY 10 S.E. CENTRAL PARKWAY STUART, FL 34994	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,500.
UNIVERSITY OF CHICAGO 5801 S. ELLIS AVENUE ADM 601 CHICAGO, IL 60637	N/A CHARITABLE	OTHER PUBLIC CHARITY	9,000.
UNIVERSITY OF CHICAGO HOSPITAL 5841 SOUTH MARYLAND AVENUE CHICAGO, IL 60637	N/A CHARITABLE	OTHER PUBLIC CHARITY	5,000.
WBEZ 848 EAST GRAND AVE CHICAGO, IL 60611	N/A CHARITABLE	OTHER PUBLIC CHARITY	3,000.
WILLIAMS COLLEGE PO BOX 231 WILLIAMSTOWN, MA 01267	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
WINGS- WOMEN IN NEED GROWING STRONGER P.O. BOX 95615 PALATINE, IL 60095	N/A CHARITABLE	OTHER PUBLIC CHARITY	10,000.
WINNETKA COMMUNITY HOUSE 620 LINCOLN AVENUE WINNETKA, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	500.
WINNETKA-NORTHFIELD UNITED WAY 620 LINCOLN AVENUE #202 WINNETKA, IL 60093	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.

36 FOUNDATION, INC.

36-4201500

WTTW CHANNEL 11
5400 N. ST. LOUIS CHICAGO, IL
60625

N/A
CHARITABLE

OTHER
PUBLIC
CHARITY

15,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

261,500.

MID-CONTINENT CAPITAL, L.L.C.
REALIZED GAINS AND LOSSES
078 - 36 Foundation, Inc.
From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss	
				Basis	Proceeds	Short Term	Long Term
04-05-00	01-28-10	700	Johnson & Johnson	26,799.28	44,581.94		17,782.66
11-23-09	01-28-10	1,000	Metlife Inc	34,462.10	35,668.54	1,206.44	
05-20-09	01-28-10	500	Metlife Inc	16,229.65	17,834.27	1,604.62	
09-09-09	03-30-10	500	Abbott Labs	23,245.00	26,444.60	3,199.60	
05-08-09	04-06-10	500	Abbott Labs	22,575.50	26,364.60	3,789.10	
11-23-09	04-07-10	500	Exxon Mobil Corp	37,975.50	33,699.48	-4,276.02	
09-09-09	04-07-10	1,000	Neutral Tandem Inc	26,080.00	16,135.52	-9,944.48	
09-09-09	04-08-10	1,000	Wellpoint	52,942.00	61,558.95	8,616.95	
05-04-06	04-22-10	1,000	Gilead Sciences Inc	27,928.80	41,249.30		13,320.50
03-30-10	04-26-10	300	Boeing Co	22,199.97	22,409.65	209.68	
05-20-09	04-27-10	200	DeVry Inc	9,001.60	13,353.79	4,352.19	
09-09-09	05-11-10	500	Neutral Tandem Inc	13,040.00	6,439.94	-6,600.06	
11-23-09	05-11-10	1,000	Neutral Tandem Inc	24,032.00	12,879.88	-11,152.12	
03-29-10	05-11-10	500	Neutral Tandem Inc	8,804.95	6,439.94	-2,365.01	
05-20-09	09-27-10	500	JPMorgan Chase	17,970.00	19,604.71		1,634.71
05-07-09	09-27-10	200	Pepsico	9,886.00	13,301.79		3,415.79
03-14-07	09-27-10	500	Cisco Systems Inc	12,840.00	11,034.86		-1,805.14
02-19-04	09-27-10	500	Cisco Systems Inc	11,859.99	11,034.86		-825.13
09-15-05	09-27-10	1,000	Cisco Systems Inc	18,077.95	22,069.73		3,991.78
03-29-10	09-27-10	1,000	Ford Motor	13,569.96	12,429.78	-1,140.18	
11-23-09	09-27-10	200	McDonalds Corp	12,791.60	14,989.76	2,198.16	
03-30-10	11-11-10	200	Boeing Co	14,799.98	13,210.69	-1,589.29	

MID-CONTINENT CAPITAL, L.L.C.

REALIZED GAINS AND LOSSES

078 - 36 Foundation, Inc.

From 12-01-09 Through 11-30-10

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
04-06-10	11-11-10	500	Boeing Co	36,224.95	33,026.71	-3,198.24	
TOTAL GAINS						25,176.74	40,145.44
TOTAL LOSSES						-40,265.40	-2,630.27
				493,336.79	515,763.29	-15,088.66	37,515.16
TOTAL REALIZED GAIN/LOSS				22,426.50			
NO CAPITAL GAINS DISTRIBUTIONS							

Form **4720**Department of the Treasury
Internal Revenue Service**Return of Certain Excise Taxes Under Chapters
41 and 42 of the Internal Revenue Code**(Sections 170(f)(10), 664(c)(2), 4911, 4912, 4941, 4942, 4943, 4944, 4945, 4955, 4958, 4965, 4966, and 4967)
▶ See separate instructions.

OMB No. 1545-0052

2009For calendar year 2009 or other tax year beginning **DEC 1**, 2009, and ending **NOV 30**, 2010.

Name of organization or entity

Employer identification number

36 FOUNDATION, INC.**36-4201500**

Number, street, and room or suite no. (or P.O. box if mail is not delivered to street address)

C/O EWA, 150 S. WACKER, NO. 410

Check box for type of annual return:

☐ Form 990 ☐ Form 990-EZ

City or town, state, and ZIP code

CHICAGO, IL 60606☒ Form 990-PF☐ Form 5227**A** Is the organization a foreign private foundation within the meaning of section 4948(b)?**Yes** **No**☐ ☒ **X****B** Has corrective action been taken on any taxable event that resulted in Chapter 42 taxes being reported on this form? (Enter "N/A" if not applicable)**N/A**

If "Yes," attach a detailed description and documentation of the corrective action taken and, if applicable, enter the fair market value of any property recovered as a

result of the correction ▶ \$. If "No," (i.e., any uncorrected acts, or transactions), attach an explanation (see page 4 of the instructions).

Part I Taxes on Organization (Sections 170(f)(10), 664(c)(2), 4911(a), 4912(a), 4942(a), 4943(a), 4944(a)(1), 4945(a)(1), 4955(a)(1), 4965(a)(1), and 4966(a)(1))

1	Tax on undistributed income - Schedule B, line 4	1	
2	Tax on excess business holdings - Schedule C, line 7	2	
3	Tax on investments that jeopardize charitable purpose - Schedule D, Part I, column (e)	3	
4	Tax on taxable expenditures - Schedule E, Part I, column (g)	4	
5	Tax on political expenditures - Schedule F, Part I, column (e)	5	
6	Tax on excess lobbying expenditures - Schedule G, line 4	6	
7	Tax on disqualifying lobbying expenditures - Schedule H, Part I, column (e)	7	
8	Tax on premiums paid on personal benefit contracts	8	
9	Tax on being a party to prohibited tax shelter transactions - Schedule J, Part I, column (h)	9	
10	Tax on taxable distributions - Schedule K, Part I, column (f)	10	
11	Tax on charitable remainder trust's unrelated business taxable income. Attach schedule	11	
12	Total (add lines 1 - 11)	12	

Part II-A Taxes on Managers, Self-Dealers, Disqualified Persons, Donors, Donor Advisors, and Related Persons

(Sections 4912(b), 4941(a), 4944(a)(2), 4945(a)(2), 4955(a)(2), 4958(a), 4965(a)(2), 4966(a)(2), and 4967(a))

(a) Name and address of person subject to tax		(b) Taxpayer identification number		
a				
b				
c				
d				
	(c) Tax on self-dealing - Schedule A, Part II, col. (d), and Part III, col. (d)	(d) Tax on investments that jeopardize charitable purpose - Schedule D, Part II, col. (d)	(e) Tax on taxable expenditures - Schedule E, Part II, col. (d)	(f) Tax on political expenditures - Schedule F, Part II, col. (d)
a				
b				
c				
d				
Total				
	(g) Tax on disqualifying lobbying expenditures - Sch H, Part II, col. (d)	(h) Tax on excess benefit transactions - Sch I, Part II, col. (d), and Part III, col. (d)	(i) Tax on being a party to prohibited tax shelter transactions - Schedule J, Part II, col. (d)	(j) Tax on taxable distributions - Schedule K, Part II, col. (d)
a				
b				
c				
d				
Total				
	(k) Tax on prohibited benefits - Sch L, Part II, col. (d) and Part III, col. (d)	(l) Total - Add cols. (c) through (k)		
a				
b				
c				
d				
Total				

924061
12-09-09

LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 4720 (2009)

12330121 796142 36FND

2009.05000 36 FOUNDATION, INC.

36FND__1

Part II-B Summary of Taxes (See **Tax Payments** on page 3 of the instructions.)

1	Enter the taxes listed in Part II-A, column (I), that apply to managers, self-dealers, disqualified persons, donors, donor advisors, and related persons who sign this form. If all sign, enter the total amount from Part II-A, column (I)	1	
2	Total tax. Add Part I, line 12, and Part II-B, line 1. (Make check(s) or money order(s) payable to the United States Treasury.)	2	

SCHEDULE A - Initial Taxes on Self-Dealing (Section 4941)**Part I Acts of Self-Dealing and Tax Computation**

(a) Act number	(b) Date of act	(c) Description of act	(d) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the act	(e) Amount involved in act	(f) Initial tax on self-dealing (10% of col. (e))	(g) Tax on foundation managers (if applicable) (lesser of \$20,000 or 5% of col. (e))
1						
2						
3						
4						
5						

Part II Summary of Tax Liability of Self-Dealers and Proration of Payments

(a) Names of self-dealers liable for tax	(b) Act no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Self-dealer's total tax liability (add amounts in col. (c)) (see page 6 of the instructions)

Part III Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Act no. from Part I, col. (a)	(c) Tax from Part I, col. (g), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 6 of the instructions)

SCHEDULE B - Initial Tax on Undistributed Income (Section 4942)

1	Undistributed income for years before 2008 (from Form 990-PF for 2009, Part XIII, line 6d)	1	
2	Undistributed income for 2008 (from Form 990-PF for 2009, Part XIII, line 6e)	2	
3	Total undistributed income at end of current tax year beginning in 2009 and subject to tax under section 4942 (add lines 1 and 2)	3	
4	Tax. Enter 30% of line 3 here and on page 1, Part I, line 1	4	

SCHEDULE C - Initial Tax on Excess Business Holdings (Section 4943)**Business Holdings and Computation of Tax**

If you have taxable excess holdings in more than one business enterprise, attach a separate schedule for each enterprise. Refer to the instructions on page 7 for each line item before making any entries.

Name and address of business enterprise

Employer identification number

Form of enterprise (corporation, partnership, trust, joint venture, sole proprietorship, etc.)

	(a) Voting stock (profits interest or beneficial interest)	(b) Value	(c) Nonvoting stock (capital interest)
1 Foundation holdings in business enterprise	1		
2 Permitted holdings in business enterprise	2		
3 Value of excess holdings in business enterprise	3		
4 Value of excess holdings disposed of within 90 days; or, other value of excess holdings not subject to section 4943 tax (attach explanation)	4		
5 Taxable excess holdings in business enterprise - line 3 minus line 4	5		
6 Tax - Enter 10% of line 5	6		
7 Total tax - Add amounts on line 6, columns (a), (b), and (c); enter total here and on page 1, Part I, line 2	7		

SCHEDULE D - Initial Taxes on Investments That Jeopardize Charitable Purpose (Section 4944)**Part I Investments and Tax Computation**

(a) Investment number	(b) Date of investment	(c) Description of investment	(d) Amount of investment	(e) Initial tax on foundation (10% of col. (d))	(f) Initial tax on foundation managers (if applicable) - (lesser of \$10,000 or 10% of col. (d))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 3					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Investment no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 10 of the instructions)

SCHEDULE E - Initial Taxes on Taxable Expenditures (Section 4945)

Part I Expenditures and Computation of Tax				
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Name and address of recipient	(e) Description of expenditure and purposes for which made
1				
2				
3				
4				
5				
(f) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the expenditure			(g) Initial tax imposed on foundation (20% of col. (b))	(h) Initial tax imposed on foundation managers (if applicable) (lesser of \$10,000 or 5% of col. (b))
Total - column (g). Enter here and on page 1, Part I, line 4				
Total - column (h). Enter total (or prorated amount) here and in Part II, column (c), below				

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments			
(a) Names of foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (h), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 10 of the instructions)

SCHEDULE F - Initial Taxes on Political Expenditures (Section 4955)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of political expenditure	(e) Initial tax imposed on organization or foundation (10% of col. (b))	(f) Initial tax imposed on managers (if applicable) (lesser of \$5,000 or 2½% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 5					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Organization Managers or Foundation Managers and Proration of Payments			
(a) Names of organization managers or foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 11 of the instructions)

SCHEDULE G - Tax on Excess Lobbying Expenditures (Section 4911)

1	Excess of grassroots expenditures over grassroots nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1h). (See page 11 of the instructions before making entry.)	1	
2	Excess of lobbying expenditures over lobbying nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1i). (See page 11 of the instructions before making entry.)	2	
3	Taxable lobbying expenditures - enter the larger of line 1 or line 2	3	
4	Tax - Enter 25% of line 3 here and on page 1, Part I, line 6	4	

SCHEDULE H - Taxes on Disqualifying Lobbying Expenditures (Section 4912)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of lobbying expenditures	(e) Tax imposed on organization (5% of col. (b))	(f) Tax imposed on organization managers (if applicable) (5% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 7					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Organization Managers and Proration of Payments			
(a) Names of organization managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 11 of the instructions)

SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958)

Part I Excess Benefit Transactions and Tax Computation		
(a) Transaction number	(b) Date of transaction	(c) Description of transaction
1		
2		
3		
4		
5		
(d) Amount of excess benefit	(e) Initial tax on disqualified persons (25% of col. (d))	(f) Tax on organization managers (if applicable) (lesser of \$20,000 or 10% of col. (d))

Form 4720 (2009)

Part II	Summary of Tax Liability of Disqualified Persons and Proration of Payments
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Part III	Summary of Tax Liability of 501(c)(3) & (4) Organization Managers and Proration of Payments
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SCHEDULE J - Taxes on Being a Party to Prohibited Tax Shelter Transactions (Section 4965)**Total** - column (h). Enter here and on page 1, Part I, line 9

SCHEDULE L - Taxes on Prohibited Benefits Distributed From Donor Advised Funds (Section 4967).

See page 14 of the instructions.

Part I Prohibited Benefits and Tax Computation		
(a) Item number	(b) Date of prohibited benefit	(c) Description of benefit
1		
2		
3		
4		
5		

(d) Amount of prohibited benefit	(e) Tax on prohibited benefit (125% of col. (d)) (see instructions)	(f) Tax on fund managers (if applicable) (lesser of 10% of col. (d) or \$10,000) (see instructions)

Part II Summary of Tax Liability of Donors, Donor Advisors, Related Persons and Proration of Payments			
(a) Names of donors, donor advisor, or related persons liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (e) or prorated amount	(d) Donor, donor advisor, or related persons total tax liability (add amounts in col. (c)) (see instructions)

Part III Tax Liability of Fund Managers and Proration of Payments			
(a) Names of fund managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f) or prorated amount	(d) Fund managers total tax liability (add amounts in col. (c)) (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign
Here

Signature of officer or trustee PRESIDENT Title Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person Date

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person Date

Paid
Preparer's
Use Only

Preparer's signature <u></u>	Date <u></u>	Check if self-employed <input type="checkbox"/>	Preparer's SSN or PTIN <u>P00234390</u>
Firm's name (or yours if self-employed), address, and ZIP code <u>EVERGREEN WEALTH ADVISORS</u> <u>150 S. WACKER #410</u> <u>CHICAGO, IL 60606</u>	EIN <u>20-8096688</u>		Phone no. <u>312-419-9204</u>