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**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

**2009**

Department of the Treasury  
Internal Revenue Service

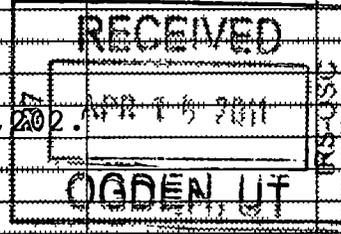
**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning **DEC 1, 2009**, and ending **NOV 30, 2010**

**G** Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

<b>Use the IRS label.</b>	Name of foundation <b>THE NEUBERGER BERMAN FOUNDATION</b>	<b>A</b> Employer identification number <b>31-1736689</b>
<b>Otherwise, print or type.</b>	Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>605 THIRD AVENUE</b>	<b>B</b> Telephone number <b>212-476-5779</b>
<b>See Specific Instructions.</b>	City or town, state, and ZIP code <b>NEW YORK, NY 10158</b>	<b>C</b> If exemption application is pending, check here <input type="checkbox"/> <b>D</b> 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization	<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>14,077,829.</b>	<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	272,161.	272,161.		STATEMENT 1
<b>Revenue</b>	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a	17,568,631.			
	7 Capital gain net income (from Part IV, line 2)		938,202.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	<71.>	<71.>		STATEMENT 2
	12 <b>Total.</b> Add lines 1 through 11	1,210,292.	1,210,292.		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	4,824.	4,824.		0.
	b Accounting fees	114,971.	57,486.		43,843.
	c Other professional fees	15,046.	638.		0.
	17 Interest	5,523.	5,523.		0.
	18 Taxes	21,334.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				700.
	22 Printing and publications				
	23 Other expenses	251,855.	72,773.		180,624.
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	413,553.	141,244.		225,167.
	25 Contributions, gifts, grants paid	996,234.			3,715,700.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,409,787.	141,244.		3,940,867.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	<199,495.>				
b <b>Net investment income</b> (if negative, enter -0-)		1,069,048.			
c <b>Adjusted net income</b> (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,308,720.	819,635.	819,635.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	50,000.	7,000.	7,000.
	10a Investments - U S and state government obligations	9,590,836.		
	b Investments - corporate stock STMT 8	0.	162,424.	162,424.
	c Investments - corporate bonds STMT 9	2,573,969.	9,220,282.	9,220,282.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	4,193,414.	3,849,598.	3,849,598.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 11)	110,934.	18,890.	18,890.	
16 Total assets (to be completed by all filers)	17,827,873.	14,077,829.	14,077,829.	
Liabilities	17 Accounts payable and accrued expenses	222,938.	236,264.	
	18 Grants payable	4,424,045.	1,704,579.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 12)	25,000.	5,000.	
23 Total liabilities (add lines 17 through 22)	4,671,983.	1,945,843.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	13,155,890.	12,131,986.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	13,155,890.	12,131,986.		
31 Total liabilities and net assets/fund balances	17,827,873.	14,077,829.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,155,890.
2 Enter amount from Part I, line 27a	2	<199,495.>
3 Other increases not included in line 2 (itemize) ▶ DEFERRED TAX ASSET	3	20,000.
4 Add lines 1, 2, and 3	4	12,976,395.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	844,409.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,131,986.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 17,568,631.		16,630,429.	938,202.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			938,202.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	938,202.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	6,495,296.	20,842,971.	.311630
2007	3,491,975.	23,905,356.	.146075
2006	12,480,475.	22,617,491.	.551806
2005	11,448,165.	20,669,344.	.553872
2004	7,931,706.	12,184,642.	.650959

2 Total of line 1, column (d)	2	2.214342
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.442868
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	14,976,371.
5 Multiply line 4 by line 3	5	6,632,555.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,690.
7 Add lines 5 and 6	7	6,643,245.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	3,940,867.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		
3	Add lines 1 and 2	1	21,381.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	3	21,381.
6	<b>Credits/Payments</b>	4	0.
6a	2009 estimated tax payments and 2008 overpayment credited to 2009	5	21,381.
6b	Exempt foreign organizations - tax withheld at source		
6c	Tax paid with application for extension of time to file (Form 8868)		
6d	Backup withholding erroneously withheld		
7	Total credits and payments Add lines 6a through 6d	6a	30,000.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	6b	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	6c	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	6d	
11	Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 8,619. Refunded <input type="checkbox"/>	7	30,000.
		8	
		9	
		10	8,619.
		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14	The books are in care of ▶ ELIZABETH CRIBBS, VP & TREASURER Telephone no ▶ 212-476-5779 Located at ▶ 605 THIRD AVENUE, NEW YORK, NY ZIP+4 ▶ 10158		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions ) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
 N/A 7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MARCUM, LLP 10 MELVILLE PARD ROAD, MELVILLE, NY 11747	ACCOUNTING	88,303.

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	13,170,622.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	1,656,803.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	377,013.
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	15,204,438.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	15,204,438.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	228,067.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	14,976,371.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	748,819.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	748,819.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	21,381.
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	21,381.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	727,438.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	727,438.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	727,438.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	3,940,867.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,940,867.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	3,940,867.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				727,438.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	7,613,504.			
b From 2005	11,550,500.			
c From 2006	12,480,475.			
d From 2007	3,491,975.			
e From 2008	5,457,445.			
f Total of lines 3a through e	40,593,899.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 3,940,867.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				727,438.
e Remaining amount distributed out of corpus	3,213,429.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	43,807,328.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	7,613,504.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	36,193,824.			
10 Analysis of line 9				
a Excess from 2005	11,550,500.			
b Excess from 2006	12,480,475.			
c Excess from 2007	3,491,975.			
d Excess from 2008	5,457,445.			
e Excess from 2009	3,213,429.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c					
Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors





Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code...
a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets
b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation...

Table with 2 columns: Yes, No. Rows include 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), and 1c.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Content includes 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Content includes 'N/A'.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature and identification section. Includes fields for Signature of officer or trustee, Date, Title, Preparer's signature, Date, Check if self-employed, Preparer's identifying number, Firm's name (CONNOR DAVIES MUNNS & DOBBINS, LLP), address (60 EAST 42ND STREET, NEW YORK, NY 10165), and Phone no (212-286-2600).

THE NEUBERGER BERMAN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
a INVESTMENT IN LBL OPP LP (K-1)	P	VARIOUS	VARIOUS
b INVESTMENT IN GOV'T BONDS	P	VARIOUS	VARIOUS
c INVESTMENT IN STRATEGIC COMM	P	VARIOUS	VARIOUS
d INVESTMENT IN LB DIV. ARB - SPV	P	VARIOUS	VARIOUS
e INVESTMENT IN MARKETABLE SECURITIES	P	VARIOUS	VARIOUS
f INVESTMENT IN ISHARES TRUST RUSSELL 1000 VALUE IN	P	VARIOUS	VARIOUS
g INVESTMENT IN CORPORATE BONDS	P	VARIOUS	VARIOUS
h PROCEEDS FROM SETTLEMENTS	P	VARIOUS	VARIOUS
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,001.		77,468.	<74,467.>
b 6,656,952.		6,553,672.	103,280.
c 492,306.		567,488.	<75,182.>
d 24,053.		21,581.	2,472.
e 3,717.		3,508.	209.
f 2,388,075.		1,667,887.	720,188.
g 7,999,724.		7,738,825.	260,899.
h 803.			803.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<74,467.>
b			103,280.
c			<75,182.>
d			2,472.
e			209.
f			720,188.
g			260,899.
h			803.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	938,202.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A



FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	638.	638.		0.
OTHER	14,408.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	15,046.	638.		0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	21,216.	0.		0.
DE FRANCHISE TAX	118.	0.		0.
TO FORM 990-PF, PG 1, LN 18	21,334.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NEW YORK FILING FEES	775.	0.		0.
PORTFOLIO DEDUCTIONS	136.	136.		0.
SOFTWARE	15,133.	0.		0.
MISCELLANEOUS	186.	12.		0.
ALLOCATED SALARIES & BENEFITS	235,625.	72,625.		179,249.
SUBSCRIPTION FEES	0.	0.		1,375.
TO FORM 990-PF, PG 1, LN 23	251,855.	72,773.		180,624.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN EQUITIES	162,424.	162,424.
TOTAL TO FORM 990-PF, PART II, LINE 10B	162,424.	162,424.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT CORPORATE BONDS	9,220,282.	9,220,282.
TOTAL TO FORM 990-PF, PART II, LINE 10C	9,220,282.	9,220,282.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN UBS MUTUAL FUNDS	FMV	3,819,836.	3,819,836.
INVESTMENT IN UBS LB DIVERSIFIED ARB	FMV	29,762.	29,762.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,849,598.	3,849,598.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	79,249.	18,890.	18,890.
DUE FROM BROKER	31,685.	0.	0.
TO FORM 990-PF, PART II, LINE 15	110,934.	18,890.	18,890.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	12
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
CURRENT FEDERAL EXCISE TAX PAYABLE	25,000.	5,000.	
TOTAL TO FORM 990-PF, PART II, LINE 22	25,000.	5,000.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FRANCINE S. KITTREDGE 605 THIRD AVENUE NEW YORK, NY 10158	PRESIDENT 40.00	0.	0.	0.
ELIZABETH R. CRIBBS 605 THIRD AVENUE NEW YORK, NY 10158	VP, TREASURER 40.00	0.	0.	0.
KYLE Y. RIDAUGHT 605 THIRD AVENUE NEW YORK, NY 10158	SECRETARY 1.00	0.	0.	0.
INGRID S. DYOTT 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0.	0.	0.
THEODORE P. JANULIS 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0.	0.	0.
DAVID PEDOWITZ 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0.	0.	0.
JUDITH M. VALE 605 THIRD AVENUE NEW YORK, NY 10158	DIRECTOR 1.00	0.	0.	0.
MELISSA PAPINI 605 THIRD AVENUE NEW YORK, NY 10158	ASST. SEC./EX-OFFICIO 40.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 14

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ADVOCATES FOR CHILDREN 151 WEST 30TH STREET NEW YORK, NY 10001	EDUCATION PROGRAMS TO REMOVE BARRIERS IN NYC PUBLIC SCHOOLS	PUBLIC CHARITY	15,000.
APOLLO THEATER FOUNDATION 253 W 125TH STREET NEW YORK, NY 10027	SUPPORT THE LEHMAN BROTHERS EDUCATION FUND	PUBLIC CHARITY	200,000.
ASSOCIATION TO BENEFIT CHILDREN 419 EAST 86TH STREET NEW YORK, NY 10028	HELPS THE HOMELESS AND/OR POOR FAMILIES, AND FAMILIES AFFECTED BY AIDS	PUBLIC CHARITY	150,000.
BABY BUGGY 306 WEST 27TH STREET NEW YORK, NY 10018	EDUCATION PROGRAMS TO REMOVE BARRIERS IN NYC PUBLIC SCHOOLS	PUBLIC CHARITY	15,000.
BEGINNING WITH CHILDREN FOUNDATION 575 LEXINGTON AVENUE NEW YORK, NY 10022	EDUCATION FOR YOUNGER CHILDREN	PUBLIC CHARITY	15,000.
BRONXWORKS 60 EAST TREMONT AVENUE BRONX, NY 10453	SAFE PASSAGE PROGRAM, WHICH HELPS STUDENTS FROM LOW-PERFORMING PUBLIC SCHOOL	PUBLIC CHARITY	5,000.
CAMPAIGN FOR FEMALE EDUCATION 221 MAIN STREET, SUITE 1300 SAN FRANCISCO, CA 94105	SUPPORT SECONDARY EDUCATION OF 660 GIRLS	PUBLIC CHARITY	103,000.
CANCER INSTITUTE OF NEW JERSEY 120 ALBANY STREET NEW BRUNSWICK, NJ 08901	CANCER RESEARCH	PUBLIC CHARITY	20,000.

CHILDREN'S HEALTH FUND 215 WEST 125TH STREET NEW YORK, NY 10027	HEALTH PROMOTION LEARNING LAB	PUBLIC CHARITY	15,000.
CITIZEN'S COMMITTEE FOR THE CHILDREN OF NEW YORK 105 EAST 22ND STREET NEW YORK, NY 10010	OUTREACH & EDUCATIONAL PROGRAMS	PUBLIC CHARITY	15,000.
COLD SPRING HARBOR LAB PO BOX 100 COLD SPRING HARBOR, NY 11724	CANCER RESEARCH	PUBLIC CHARITY	20,000.
COLUMBIA UNIVERSITY COLLEGE OF MEDICINE 475 RIVERSIDE DRIVE NEW YORK, NY 10115	CANCER RESEARCH	PUBLIC CHARITY	20,000.
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION 625 JAMAICA AVENUE BROOKLYN, NY 11218	THE STUDENT SUCCESS CENTER, A SCHOOL-BASED, PEER-LED PROGRAM THAT PROVIDES	PUBLIC CHARITY	10,000.
DOCTORS WITHOUT BORDERS 333 SEVENT AVENUE NEW YORK, NY 10001	DISEASTER RELIEF FUND-HAITI EARTHQUAKE	PUBLIC CHARITY	60,000.
ENACT 630 NINTH AVENUE NEW YORK, NY 10036	PROGRAMS THAT USE DRAMA THERAPY TO ADDRESS ISSUES SUCH AS PEER PRESSURE AND	PUBLIC CHARITY	10,000.
FIVER FOUNDATION 519 8TH AVENUE NEW YORK, NY 10018	COMPREHENSIVE YOUTH-DEVELOPMENT PROGRAM THAT COMBINES A SUMMER CAMP WITH YEA	PUBLIC CHARITY	10,000.
FRIENDS OF NEUBERGER MUSEUM 735 ANDERSON HILL ROAD PURCHASE, NY 10577	SCHOLARSHIP PROGRAM FOR UNDERSERVED YOUTH	PUBLIC CHARITY	20,000.
GIRLS QUEST 150 WEST 30TH STREET NEW YORK, NY 10001	SUMMER AND YEAR-ROUND EXPERIENTIAL LEARNING AND MENTORING FOR UNDERSERVED GI	PUBLIC CHARITY	10,000.

GODDARD RIVERSIDE 593 COLUMBUS AVENUE NEW YORK, NY 10024	THE YOUTH CONNECTIONS PROGRAM PROVIDES A RANGE OF EDUCATIONAL SUPPORT SERVIC	PUBLIC CHARITY	10,000.
HANDY (HELPING ABUSED NEGLECTED DISADVANTAGED YOUTH) 501 NE 8TH STREET FT. LAUDERDALE, FL 33304	THE LIFE PROGRAM HELPS YOUTH AND YOUNG ADULTS DEVELOP ACADEMIC, LIFE AND EMP	PUBLIC CHARITY	10,000.
HARLEM CHILDREN'S ZONE 347 WEST 36TH STREET NEW YORK, NY 10018	SPONSORSHIP FOR EXPANSION	PUBLIC CHARITY	250,000.
HIGHBRIDGE VOICES 1360 MERRIAM AVENUE BRONX, NY 10452	AFTER-SCHOOL PERFORMING ARTS AND ACADEMIC PROGRAM FOR UNDERSERVED YOUTH IN T	PUBLIC CHARITY	10,000.
IMENTOR 40 EXCHANGE PLACE NEW YORK, NY 10005	MENTORING PROGRAM THAT PROVIDES YOUTH FROM LOW-INCOME FAMILIES WITH ONLINE A	PUBLIC CHARITY	10,000.
LAWRENCE HALL YOUTH SERVICES 4833 N. FRANCISCO AVENUE CHICAGO, IL 60625	THERAPEUTIC DAY SCHOOL	PUBLIC CHARITY	15,000.
MAYOR'S FUND TO ADVANCE NEW YORK CITY ONE CENTRE STREET NEW YORK, NY 10007	SUMMER SERVICE PROGRAM 2010	PUBLIC CHARITY	25,000.
MEMORIAL SLOAN - KETTERING 1275 YORK AVENUE NEW YORK, NY 10021	TRAINING YOUTH IN THE COMMUNITY	PUBLIC CHARITY	20,000.
MILLENNIUM PROMISE 432 PARK AVENUE SOUTH NEW YORK, NY 10016	SUPPORT OF THE MBAMA TANZANIA MILLENNIUM VILLAGE	PUBLIC CHARITY	300,000.

MOUNT SINAI ADOLESCENT HEALTH CENTER ONE GUSTAVE L. LEVY PLACE NEW YORK, NY 10029	MENTAL HEALTH AND COUNSELING PROGRAMS AIMED AT TREATING MENTAL HEALTH PROBLE	PUBLIC CHARITY	10,000.
MOUNT SINAI MEDICAL CENTER ONE GUSTAVE L. LEVY PLACE NEW YORK, NY 10029	CANCER RESEARCH	PUBLIC CHARITY	20,000.
NEW YORK CITY MISSION SOCIETY 105 EAST 22ND STREET NEW YORK, NY 10010	LEARNING-TO-WORK PROGRAM, AIMED AT HELPING STUDENTS IN DANGER OF DROPPING OU	PUBLIC CHARITY	10,000.
NYU CANCER CENTER 550 FIRST AVENUE NEW YORK, NY 10016	CANCER RESEARCH	PUBLIC CHARITY	20,000.
NEW YORKERS FOR CHILDREN 450 SEVENTH AVENUE NEW YORK, NY 10123	ANNUAL EDUCATIONAL CONFERENCE, WHICH HELPS STUDENTS IN FOSTER CARE PLAN FOR	PUBLIC CHARITY	8,000.
NORTHERN MANHATTAN IMPROVEMENT CORPORATION 76 WADSWORTH AVENUE NEW YORK, NY 10033	PROGRAM TO CREATE A CULTURE OF READING IN THE HOMES OF UNDERSERVED FAMILIES	PUBLIC CHARITY	10,000.
NORTHSIDE CENTER FOR CHILD DEVELOPMENT 1301 FIFTH AVENUE NEW YORK, NY 10029	EDUCATION PROGRAMS	PUBLIC CHARITY	15,000.
OPPORTUNITIES FOR A BETTER TOMORROW 783 FOURTH AVENUE BROOKLYN, NY 11232	JOB-TRAINING PROGRAM	PUBLIC CHARITY	15,000.
PARTNERS PROGRAM AT ST. JOHN'S UNIVERSITY 8000 UTOPIA PARKWAY QUEENS, NY 11439	HELPING FAMILIES WITH MENTAL HEALTH FOLLOWING A CRISIS	PUBLIC CHARITY	15,000.

PER SCHOLAS 804 EAST 138TH STREET BRONX, NY 10454	PROVISION OF FREE COMPUTERS AND TRAINING TO LOW-INCOME FAMILIES	PUBLIC CHARITY	10,000.
PUBLICOLOR 149 MADISON AVENUE NEW YORK, NY 10016	NEXT STEPS PROGRAM-TUTORING	PUBLIC CHARITY	15,000.
READ FOUNDATION 80 MAIDEN LANE NEW YORK, NY 10038	ONE-ON-ONE READING INSTRUCTION FOR AT-RISK CHILDREN	PUBLIC CHARITY	10,000.
ROBIN HOOD FOUNDATION 826 BROADWAY, 7TH FLOOR NEW YORK, NY 10003	EARLY CHILDHOOD AND YOUTH	PUBLIC CHARITY	1000000.
ROOSEVELT HOSPITAL -CONTINUUM HEALTH PARTNERS 555 WEST 57 STREET, 18TH FLOOR NEW YORK, NY 10019	EMERGENCY DEPARTMENT REDESIGN	PUBLIC CHARITY	50,000.
ROUNABOUT THEATRE COMPANY 231 WEST 39TH STREET NEW YORK, NY 10018	ARTS EDUCATION PROGRAM FOR UNDERSERVED MIDDLE AND HIGH SCHOOL STUDENTS	PUBLIC CHARITY	10,000.
SAFE HORIZON 2 LAFAYETTE STREET NEW YORK, NY 10007	STREETWORK PROJECT, OUTREACH AND CRITICAL SERVICES FOR HOMELESS YOUTH	PUBLIC CHARITY	10,000.
SCENARIOS USA 80 HANSON PLACE BROOKLYN, NY 11217	PROGRAM THAT USES WRITING AND FILMMAKING TO FOSTER YOUTH LEADERSHIP FOR STUD	PUBLIC CHARITY	10,000.
SOS CHILDREN'S VILLAGE 1200 G. STREET, NW - SUITE 550 WASHINGTON, DC 20005	CONSTRUCTION OF MEDICAL CENTER IN MALAWI	PUBLIC CHARITY	100,000.
SOS CHILDREN'S VILLAGES 1001 CONNECTICUT AVENUE NW WASHINGTON, DC 20036	EMERGENCY RELIEF FOLLOWING THE JANUARY, 2010 EARTHQUAKE IN HAITI	PUBLIC CHARITY	10,000.

STUDENT ADVOCACY 3 WEST MAIN STREET ELMSFORD, NY 10523	PROVISION OF ADVOCATES TO HELP UNDER-SERVED FAMILIES CONNECT TO APPROPRIATE	PUBLIC CHARITY	10,000.
SUMMER SEARCH 90 BROAD STREET NEW YORK, NY 10004	MENTORING, EXPERIENTIAL EDUCATION SUMMER PROGRAMS AND COLLEGE COUNSELING AND	PUBLIC CHARITY	10,000.
UNION SETTLEMENT ASSOCIATION 237 EAST 104TH STREET NEW YORK, NY 10029	CREATING EDUCATION OPPORTUNITIES IN EAST HARLEM	PUBLIC CHARITY	25,000.
UNIVERSITY OF CALIFORNIA, LOS ANGELES MEDICAL CENTER - DRC GRANT - 10920 WILSHIRE BLVD LOS ANGELES, CA 90024	DEVELOPMENT OF IMMUNOTHERAPY TREATMENTS FOR CANCER - DRC GRANT	PUBLIC CHARITY	20,000.
UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, COMPREHENSIVE CANCER CENTER - DRC - 220 MONTGOMERY ST SAN FRANCISCO, CA 94101	RESEARCH INTO THE IMPACT OF NICOTINE USE ON CHEMOTHERAPY TREATMENT FOR PROST	PUBLIC CHARITY	20,000.
UNIVERSITY OF CHICAGO CANCER RESEARCH CENTER - DRC GRANT 5801 S ELLIS AVENUE CHICAGO, IL 60637	EARLY DETECTION OF PANCREATIC CANCER BY MOLECULAR MRI - DRC GRANT	PUBLIC CHARITY	20,000.
UNIVERSITY OF TEXAS, MD ANDERSON CANCER CENTER - DRC GRANT P.O. BOX 301437 HOUSTON, TX 77230	DEVELOPING NEW THERAPIES TO TREAT MICROMETASTASES - DRC GRANT	PUBLIC CHARITY	20,000.
VOGEL ALCOVE 7557 RAMBLER ROAD DALLAS, TX 75231	CHILDCAREAND EARLY EDUCATION SERVICES FOR HOMELESS FAMILIES IN DALLAS	PUBLIC CHARITY	10,000.
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK, NY 10021	LUNG CANCER RESEARCH	PUBLIC CHARITY	857,200.

THE NEUBERGER BERMAN FOUNDATION

31-1736689

YM&YWHA OF WASHINGTON HEIGHTS &  
INWOOD  
54 NAGLE AVENUE NEW YORK, NY  
10040

THE NEUBERGER BERMAN  
SAT PREP PROGRAM FOR  
UNDER-SERVED STUDENTS

PUBLIC  
CHARITY

12,500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

3,715,700.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
APPROVED FOR FUTURE PAYMENT

STATEMENT 15

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
APOLLO THEATER FOUNDATION 253 W 125TH STREET NEW YORK, NY 10027	SUPPORT THE LEHMAN BROTHERS EDUCATION FUND	PUBLIC CHARITY	200,000.
M.S. SWAMINATHAN RESEARCH FOUNDATION - THIRD CROSS STREET TARAMANI INSTITUTIONAL AREA TARAMANI, CHENNAI , TARAMANI, CHENNAI , INDIA	HELPS THE HOMELESS AND/OR POOR FAMILIES, AND FAMILIES AFFECTED BY AIDS	US EQUIVALENC DETERMINAT	16,000.
MILLENNIUM PROMISE 432 PARK AVENUE SOUTH NEW YORK, NY 10016	SUPPORT OF THE MBAMA TANZANIA MILLENNIUM VILLAGE	PUBLIC CHARITY	600,000.
ROOSEVELT HOSPITAL -CONTINUUM HEALTH PARTNERS 555 WEST 57 STREET, 18TH FLOOR NEW YORK, NY 10019	EMERGENCY DEPARTMENT REDESIGN	PUBLIC CHARITY	50,000.
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK, NY 10021	LUNG CANCER RESEARCH	PUBLIC CHARITY	856,800.
TOTAL TO FORM 990-PF, PART XV, LINE 3B			<u>1,722,800.</u>