Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 12/01 , 2009, and ending 11/30, 20 10

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE EUGENE GARFIELD FOUNDATION 0706-03-4/5

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
GLENMEDE TRUST CO 1650 MARKET ST, STE 1200

City or town, state, and ZIP code
PHILADELPHIA, PA 19103-7391

A Employer identification number
23-2553258

B Telephone number (see page 10 of the instructions)
(215) 419-6000

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,988,299.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ______________________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	94.	94.	N/A	
	4 Dividends and interest from securities	98,549.	97,830.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	35,385.			
	b Gross sales price for all assets on line 6a 1,967,832.				
	7 Capital gain net income (from Part IV, line 2)		35,385.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3,468.	96.		STMT 1
	12 **Total.** Add lines 1 through 11	137,496.	133,405.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,200.	NONE	NONE	2,200.
	c Other professional fees (attach schedule)	14,040.	13,938.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 2	140.	140.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	16,380.	14,078.	NONE	2,200.
	25 Contributions, gifts, grants paid	263,500.			263,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	279,880.	14,078.	NONE	265,700.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-142,384.			
	b **Net investment income** (if negative, enter -0-)		119,327.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	4.	300.	300.
2	Savings and temporary cash investments	116,724.	39,019.	39,019.
3	Accounts receivable ▶ UNSETTLED TRADE			
	Less: allowance for doubtful accounts ▶		95,563.	95,563.
4	Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	3,545,598.	3,385,073.	3,853,417.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,662,326.	3,519,955.	3,988,299.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	3,651,014.	3,506,172.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	11,312.	13,783.	
30	**Total net assets or fund balances** (see page 17 of the instructions)	3,662,326.	3,519,955.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,662,326.	3,519,955.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,662,326.
2	Enter amount from Part I, line 27a	2	-142,384.
3	Other increases not included in line 2 (itemize) ▶ ROUNDING	3	13.
4	Add lines 1, 2, and 3	4	3,519,955.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,519,955.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	SEE PART IV DETAIL	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,967,832.		1,932,447.	35,385.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				35,385.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 35,385.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8. } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? .... ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	379,508.	3,576,345.	0.10611616049
2007	253,200.	4,795,943.	0.05279462245
2006	275,400.	5,480,133.	0.05025425478
2005	240,600.	5,028,962.	0.04784287493
2004	234,795.	4,817,181.	0.04874116210

2	**Total** of line 1, column (d)	2	0.30574907475
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.06114981495
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,822,377.
5	Multiply line 4 by line 3	5	233,738.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,193.
7	Add lines 5 and 6	7	234,931.
8	Enter qualifying distributions from Part XII, line 4	8	265,700.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,193.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,193.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,193.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 1,108.		
b	Exempt foreign organizations-tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	1,108.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	85.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ -0- (2) On foundation managers ▶$ -0-			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ -0-			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ PENNSYLVANIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE GLENMEDE TRUST COMPANY, N.A. Telephone no ▶ (215)419-6000

Located at ▶ 1650 MARKET ST STE 1200, PHILADELPHIA, PA ZIP + 4 ▶ 19103-7391

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 3		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,808,648.
b	Average of monthly cash balances	1b	71,938.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	3,880,586.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,880,586.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	58,209.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,822,377.
6	**Minimum investment return.** Enter 5% of line 5	6	191,119.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	191,119.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,193.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,193.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	189,926.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	189,926.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	189,926.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	265,700.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	265,700.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,193.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	264,507.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				189,926.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			NONE	
b	Total for prior years 20____,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 ...... 31,647.				
b	From 2005 ...... NONE				
c	From 2006 ...... 10,544.				
d	From 2007 ...... 15,128.				
e	From 2008 ...... 202,075.				
f	**Total** of lines 3a through e	259,394.			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 265,700.				
a	Applied to 2008, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d	Applied to 2009 distributable amount				189,926.
e	Remaining amount distributed out of corpus	75,774.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	335,168.			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	31,647.			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	303,521.			
10	Analysis of line 9				
a	Excess from 2005 ... NONE				
b	Excess from 2006 ... 10,544.				
c	Excess from 2007 ... 15,128.				
d	Excess from 2008 ... 202,075.				
e	Excess from 2009 ... 75,774.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DR. EUGENE GARFIELD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 4

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 5				263,500.
Total . . . . ▶ **3a**				263,500.
b *Approved for future payment*				
N/A				
Total . . . . ▶ **3b**				-0-

23-2553258

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	94.	
4 Dividends and interest from securities			14	98,549.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	35,385.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b 11/08 TAX REFUND $3,372.				3,468.	
c INTEREST ON REFUND 96.					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				137,496.	

13 Total Add line 12, columns (b), (d), and (e) . . . 13 137,496.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] 2-1-11 President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature [signature]	Date 1/25/11	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET, SUITE 1200 PHILADELPHIA, PA 19103-7391	EIN ▶ 32-0274136 Phone no 215-419-6000

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2010

ATTACHMENTS PAGE 1 FORM 990-PF
PART I ANALYSIS OF REVENUE AND EXPENSES

		COLUMN A	COLUMN B	COLUMN D
REVENUE:				
LINE 3	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS			
	- TAXABLE MONEY MARKET INTEREST	94	94	
LINE 4	DIVIDENDS AND INTEREST FROM SECURITIES			
	- DIVIDENDS AND TAXABLE INTEREST	97,830	97,830	
	- TAX EXEMPT INTEREST	719	0	
		98,549	97,830	
LINE 11	OTHER INCOME			
	- 11/30/2008 TAX REFUND	3,372	0	
	- IINTEREST ON TAX REFUND	96	96	
		3,468	96	
EXPENSES:				
LINE 16b	ACCOUNTING FEES			
	- THE GLENMEDE TRUST COMPANY, N.A. 11/30/2009 TAX PREPARATION FEE	2,200	0	2,200
LINE 16c	OTHER PROFESSIONAL FEES			
	- THE GLENMEDE TRUST COMPANY, N.A.			
	INVESTMENT MANAGEMENT FEE - TAXABLE	13,938	13,938	0
	INVESTMENT MANAGEMENT FEE - TAX EXEMPT	102	0	0
		14,040	13,938	0
LINE 23	OTHER EXPENSES			
	- MISCELLANEOUS CLASS ACTION SETTLEMENT FEE	140	140	0

STATEMENT 1 AND 2

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash & Reserves									
40494	GLENMEDE FUND INC-GOVT CASH PORTFOLIO		1.00	40,494	1.00	40,494		66	0.16
300+	Cash		1.00	300	1.00	300		0	0.00
Cash & Reserves Total				40,794		40,794		66	0.16
Fixed Income									
U S Government Obligations									
40000	US TREASURY N/B DTD 6/30/2007 4.875% 6/30/2012	Aaa	1.00	39,834	107.09	42,836	3,002	1,950	4.55
50000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014	Aaa	0.99	49,250	113.22	56,610	7,360	2,375	4.20
10000	US TREASURY N/B DTD 6/30/2009 2.625% 6/30/2014	Aaa	1.02	10,243	105.94	10,594	351	263	2.48
45000	US TREASURY N/B DTD 8/15/2007 4.75% 8/15/2017	Aaa	1.10	49,676	117.10	52,696	3,020	2,138	4.06
	Total			149,003		162,736	13,732	6,726	4.13
U S Government Agencies									
50000	FFCB DTD 2/13/2008 3.3% 2/13/2012	Aaa	1.00	49,970	103.34	51,672	1,702	1,650	3.19
40000	FHLB DTD 1/17/2008 3.375% 2/27/2013	Aaa	1.05	41,916	105.97	42,388	472	1,350	3.18

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
50000	FHLB DTD 7/23/2003 5% 7/23/2013	Aaa	0.98	48,994	110.97	55,485	6,491	2,500	4.51
50000	FNMA DTD 3/25/2004 4.125% 4/15/2014	Aaa	1.03	51,340	110.19	55,094	3,754	2,063	3.74
40000	FHLB DTD 5/3/2006 5.375% 5/18/2016	Aaa	1.16	46,508	118.38	47,350	842	2,150	4.54
10000	FHLB DTD 10/19/2007 5% 11/17/2017	Aaa	1.13	11,345	116.78	11,678	333	500	4.28
	Total			250,073		263,667	13,594	10,213	3.87
Corporate Bonds - Industrial									
50000	BOTTLING GROUP LLC DTD 5/15/2003 4.625% 11/15/2012	Aa3	0.98	49,233	107.02	53,510	4,277	2,313	4.32
50000	HEWLETT-PACKARD CO DTD 12/5/2008 6.125% 3/1/2014	A2	1.13	56,353	114.23	57,116	763	3,063	5.36
50000	UNITED TECHNOLOGIES CORP DTD 4/29/2005 4.875% 5/1/2015	A2	1.10	54,841	113.07	56,537	1,696	2,438	4.31
50000	JOHNSON & JOHNSON DTD 8/16/2007 5.55% 8/15/2017	Aaa	1.11	55,714	118.90	59,448	3,734	2,775	4.67
35000	IBM CORP DTD 11/1/1989 8.375% 11/1/2019	A1	1.38	48,403	138.06	48,321	82-	2,931	6.07

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
	Total			264,544		274,932	10,387	13,520	4.92	
Corporate Bonds - Finance										
50000	CITIGROUP INC FDIC DTD 12/9/2008 2.875% 12/9/2011	Aaa	1.03	51,646	102.48	51,239	407-	1,438	2.81	
50000	JPMORGAN CHASE & CO DTD 10/4/2005 5.15% 10/1/2015	A1	1.05	52,718	108.10	54,052	1,334	2,575	4.76	
50000	GOLDMAN SACHS GROUP INC DTD 1/18/2008 5.95% 1/18/2018	A1	1.07	53,343	108.52	54,258	915	2,975	5.48	
50000	HONEYWELL INTERNATIONAL DTD 2/29/2008 5.3% 3/1/2018	A2	1.07	53,604	114.75	57,375	3,771	2,650	4.62	
50000	ORACLE CORP DTD 4/9/2008 5.75% 4/15/2018	A2	1.10	55,003	116.33	58,164	3,161	2,875	4.94	
	Total			266,314		275,088	8,774	12,513	4.55	
Other Taxable Bond Mutual Funds										
6970+	PAYDEN HIGH INCOME FUND		7.17	50,000	7.18	50,047	47	3,673	7.34	#
3704+	TEMPLETON GLOBAL BOND FD-AD		13.50	50,000	13.43	49,747	253-	2,252	4.53	#
5191+	TIAA CREF HIGH YIELD FUND - IN		9.63	50,000	9.72	50,453	453	3,722	7.38	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
4554+	LEGG MASON BRANDYWINE GLOBAL OPPS BOND DTD 6/1/2009		10.98	50,000	10.86	49,457	543-	2,218	4.48	#
	Total			200,000		199,704	296-	11,865	5.94	
Fixed Income Total				1,129,934		1,176,127	46,191	54,837	4.66	
Equities										
Basic Industries										
590	EMERSON ELECTRIC CO.		34.89	20,588	55.07	32,491	11,903	814	2.51	#
160	W W GRAINGER INC		98.91	15,826	124.93	19,989	4,163	346	1.73	
655	HONEYWELL INTERNATIONAL INC		33.50	21,940	49.71	32,560	10,620	793	2.43	#
450	J. B. HUNT		35.51	15,978	36.50	16,425	447	216	1.32	
575	ILLINOIS TOOL WORKS		47.35	27,227	47.63	27,387	160	782	2.86	#
1335	MCDERMOTT INTERNATIONAL INC.		10.95	14,623	18.33	24,471	9,848	0	0.00	#
403	NUCOR CORP.		64.31	25,917	37.74	15,209	10,707-	580	3.82	#
205	PRAXAIR INC.		90.56	18,565	92.05	18,870	305	369	1.96	#
255	PRECISION CASTPARTS CORP		81.20	20,706	138.07	35,208	14,502	31	0.09	#
290	SIGMA-ALDRICH CORP.		60.79	17,630	63.22	18,334	704	186	1.01	#
	Total			199,000		240,944	41,945	4,117	1.71	
Consumer Discretionary										
785	COACH INC		19.07	14,973	56.54	44,384	29,411	471	1.06	#
346	ITT EDUCATIONAL SERVICES INC		77.73	26,893	58.49	20,238	6,656-	0	0.00	#
820	MCGRAW HILL INC.		28.30	23,210	34.49	28,282	5,072	771	2.73	#

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
545	NORDSTROM INC.		33.18	18,083	42.80	23,326	5,243	436	1.87	#
475	OMNICOM GROUP		45.40	21,563	45.44	21,584	21	380	1.76	
405	YUM BRANDS INC		42.50	17,211	50.08	20,282	3,072	405	2.00	#
	Total			121,933		158,096	36,162	2,463	1.56	
Consumer Staples										
380	COLGATE PALMOLIVE CO.		60.90	23,141	76.55	29,089	5,948	806	2.77	#
425	ENERGIZER HOLDINGS INC		87.45	37,165	70.39	29,916	7,249-	0	0.00	#
510	KELLOGG CO.		52.31	26,679	49.23	25,107	1,572-	826	3.29	#
685	PHILIP MORRIS INTERNATIONAL		59.50	40,759	56.89	38,970	1,789-	1,754	4.50	#
650	SYSCO CORP		27.32	17,756	29.02	18,863	1,107	676	3.58	#
	Total			145,500		141,945	3,555-	4,062	2.86	
Energy										
400	CHEVRON CORP		86.87	34,749	80.97	32,388	2,361-	1,152	3.56	#
260	EOG RESOURCES INC		97.94	25,465	88.95	23,127	2,338-	161	0.70	#
320	EXXON MOBIL CORPORATION		12.47	3,990	69.56	22,259	18,269	563	2.53	
485	HALLIBURTON CO.		31.73	15,387	37.84	18,352	2,965	175	0.95	
575	SCHLUMBERGER LTD.		65.29	37,543	77.34	44,471	6,928	483	1.09	#
	Total			117,134		140,597	23,463	2,534	1.80	
Financials										
625	AMERICAN EXPRESS CO.		31.64	19,776	43.22	27,013	7,236	450	1.67	#
1790	CHARLES SCHWAB CORP.		17.12	30,642	15.03	26,904	3,738-	430	1.60	#
210	FRANKLIN RESOURCES INC.		98.84	20,756	114.09	23,959	3,203	185	0.77	#
135	GOLDMAN SACHS GROUP INC		160.01	21,601	156.14	21,079	522-	189	0.90	#
914	JPMORGAN CHASE & CO		36.86	33,692	37.40	34,184	491	183	0.53	#
1395	PROGRESSIVE CORP OHIO		19.57	27,303	20.34	28,374	1,072	225	0.79	#

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
980	SEI INVESTMENTS CO		19.64	19,252	22.58	22,128	2,876	196	0.89	#
660	US BANCORP		17.94	11,839	23.78	15,695	3,856	132	0.84	#
	Total			184,861		199,336	14,473	1,990	1.00	
Health Care										
640	ABBOTT LABORATORIES		51.52	32,973	46.51	29,766	3,207-	1,126	3.78	#
570	BAXTER INTL. INC.		55.96	31,895	48.55	27,674	4,221-	707	2.55	#
300	LABORATORY CORP OF AMERICA HOLDINGS		73.38	22,014	82.03	24,609	2,595	0	0.00	#
545	VARIAN MEDICAL SYSTEMS INC		45.35	24,714	65.83	35,877	11,163	0	0.00	#
480	WATERS CORP		57.39	27,548	76.87	36,898	9,349	0	0.00	#
	Total			139,144		154,824	15,680	1,833	1.18	
Technology										
490	ACCENTURE PLC		25.55	12,520	43.32	21,227	8,707	441	2.08	
545	AMPHENOL CORP-CL A		47.17	25,707	50.03	27,266	1,559	33	0.12	#
180	APPLE INC.		46.18	8,313	311.15	56,007	47,694	0	0.00	
1440	CISCO SYSTEMS		5.47	7,880	19.16	27,590	19,710	0	0.00	#
1860	INTEL CORP.		22.57	41,976	21.16	39,353	2,623-	1,172	2.98	
570	MICROCHIP TECHNOLOGY INC.		28.29	16,127	33.61	19,158	3,031	787	4.11	
1500	MICROSOFT CORP.		20.25	30,375	25.26	37,886	7,511	960	2.53	#
1445	ORACLE CORP		13.74	19,854	27.05	39,080	19,226	289	0.74	
	Total			162,752		267,567	104,816	3,682	1.38	
Other Assets										
250	BHP LIMITED - SPONS ADR		45.35	11,337	82.40	20,600	9,263	435	2.11	#
570	COOPER INDUSTRIES PLC CL A		39.86	22,720	54.50	31,065	8,345	616	1.98	#

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
	Total			34,057		51,665	17,608	1,051	2.03
U S Mutual Funds									
1667+	BUFFALO SMALL CAP FUND		25.50	42,499	24.26	40,432	2,067-	45	0.11 #
1886+	GLENMEDE FUND INC - ADVISOR SMALL CAP EQUITY PORTFOLIO		16.98	32,039	15.29	28,843	3,196-	28	0.10 *#
1775+	GLENMEDE FUND INC SECURED OPTIONS		11.27	20,000	11.71	20,781	781	0	0.00 *
2783+	HARBOR SMALL CAP VALUE - INSTI		11.69	32,530	18.42	51,258	18,728	198	0.39 #
688+	ROYCE SPECIAL EQUITY FUND -IN		14.54	10,000	19.78	13,604	3,604	59	0.43
	Total			137,068		154,918	17,850	330	0.21
International Mutual Funds									
4000	ISHARES MSCI EAFE INDEX FUND		41.09	164,348	54.26	217,040	52,692	5,416	2.50
1175	ISHARES MSCI EMERGING MKT IN		22.07	25,932	44.78	52,617	26,684	701	1.33
2501+	MATTHEWS PACIFIC TIGER FD - IS		19.99	50,000	22.49	56,253	6,253	0	0.00
5679+	THORNBURG INTL VALUE FD-I		22.48	127,647	26.93	152,944	25,297	1,443	0.94 #
6997+	TWEEDY BROWNE GLOBAL VALUE FUND		21.44	150,000	22.74	159,123	9,123	2,323	1.46 #
1000	VANGUARD EMERGING MARKET ETF		39.71	39,710	45.54	45,540	5,830	545	1.20

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/10
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield	
	Total			557,637		683,517	125,879	10,428	1.53	
Equities Total				1,799,086		2,193,409	394,321	32,490	1.48	
Other										
Alternative Assets										
13531+	ABSOLUTE STRATEGIES FUND-I		10.50	142,107	10.84	146,679	4,572	1,191	0.81	#
6994+	THIRD AVENUE REAL ESTATE VAL		21.14	147,832	22.60	158,074	10,241	1,819	1.15	#
3656+	VAN ECK GLOBAL HARD ASSETS -I		44.75	163,642	48.99	179,128	15,486	0	0.00	#
	Total			453,581		483,881	30,300	3,010	0.62	
Other Total				453,581		483,881	30,300	3,010	0.62	
-1475	Cash Payable			1,475-		1,475-		0	0.00	
96207	Cash Receivable			96,207		96,207		0	0.00	

COMBINED STATEMENT OF ASSETS
THE EUGENE GARFIELD FOUNDATION
11/30/2010
0706-03-4/5

Shares/Par	Description of Assets	Bond Rtg	Unit Tax Cost	Tax Cost	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Grand Total				3,518,127		3,988,943	470,816	90,403	2.27
ADJUSTMENTS:									
OTHER									
	ITT EDUCATIONAL SERVICES INC DISALLOWED LOSS - WASH SALES ADJ. COST BASIS (PAGE 4)			**627**		**0**	**-627**		
	EOG RESOURCES INC DISALLOWED LOSS - WASH SALES ADJ. COST BASIS (PAGE 5)			**1,845**		**0**	**-1,845**		
	CASH RECEIVABLE ADJUST ACCRUED INTEREST (PAGE 8)			**-644**		**-644**	**0**		
ADJUSTED GRAND TOTAL				**3,519,955**		**3,988,299**	**468,344**		

* AS A RESULT OF CAPITAL GAIN DISTRIBUTIONS, THE TAX COST COLUMN DOES NOT REFLECT ADJUSTED INVESTMENT.
# INDICATES MULTIPLE TAX LOTS
\+ FRACTIONAL SHARE EXISTS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,404.00		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					1,404.	
		65. INTERNATIONAL BUSINESS MACHINES CORP PROPERTY TYPE: SECURITIES					03/11/2008	12/15/2009
8,325.00		7,468.00					857.00	
		20. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	12/21/2009
875.00		978.00					-103.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	12/21/2009
1,979.00		462.00					1,517.00	
		10. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					10/14/2008	12/21/2009
407.00		416.00					-9.00	
		20. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	12/21/2009
1,449.00		916.00					533.00	
		50. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					01/18/2008	12/21/2009
1,179.00		1,218.00					-39.00	
		50. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	12/21/2009
1,854.00		1,029.00					825.00	
		20. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					09/09/2009	12/21/2009
845.00		777.00					68.00	
		20. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	12/21/2009
1,242.00		1,751.00					-509.00	
		20. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/18/2008	12/21/2009
613.00		774.00					-161.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/18/2008	12/21/2009
2,138.00		2,039.00					99.00	
		10. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					11/13/2008	12/21/2009
431.00		452.00					-21.00	
		10. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					09/11/2009	12/21/2009
1,657.00		1,767.00					-110.00	
		40. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					05/16/2008	12/21/2009
2,078.00		1,895.00					183.00	
		110. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	12/21/2009
10,110.00		10,282.00					-172.00	
		20. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					10/27/2005	12/21/2009
837.00		725.00					112.00	
		10. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					01/07/2008	12/21/2009
642.00		668.00					-26.00	
		10. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	12/21/2009
521.00		555.00					-34.00	
		30. LAM RESEARCH CORP PROPERTY TYPE: SECURITIES					02/20/2007	12/21/2009
1,171.00		1,328.00					-157.00	
		60. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					08/13/2008	12/21/2009
1,404.00		2,208.00					-804.00	
		10. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	12/21/2009
340.00		283.00					57.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					12/15/2009	12/21/2009
3,046.00		3,010.00					36.00	
		20. NORDSTROM INC. PROPERTY TYPE: SECURITIES					11/13/2009	12/21/2009
735.00		663.00					72.00	
		20. NORTHERN TRUST CORP PROPERTY TYPE: SECURITIES					05/04/2009	12/21/2009
1,012.00		1,093.00					-81.00	
		50. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	12/21/2009
2,222.00		3,238.00					-1,016.00	
		30. OMNICOM GROUP PROPERTY TYPE: SECURITIES					11/05/2007	12/21/2009
1,165.00		1,497.00					-332.00	
		150. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	12/21/2009
3,654.00		2,061.00					1,593.00	
		30. PATTERSON-UTI ENERGY INC PROPERTY TYPE: SECURITIES					04/03/2008	12/21/2009
466.00		810.00					-344.00	
		10. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					09/24/2009	12/21/2009
1,135.00		999.00					136.00	
		20. SYSCO CORP PROPERTY TYPE: SECURITIES					12/07/2009	12/21/2009
556.00		570.00					-14.00	
		30. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	12/21/2009
687.00		936.00					-249.00	
		10. UNIT CORP PROPERTY TYPE: SECURITIES					03/06/2008	12/21/2009
428.00		558.00					-130.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					05/28/2009	12/21/2009
1,195.00		1,538.00					-343.00	
		10. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	12/21/2009
405.00		256.00					149.00	
		10. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	12/21/2009
425.00		399.00					26.00	
		20. ACE LTD PROPERTY TYPE: SECURITIES					03/20/2007	12/21/2009
974.00		1,126.00					-152.00	
		50000. DE KALB CNTY GA WTR & SEW REV DTD PROPERTY TYPE: SECURITIES					03/06/2008	01/07/2010
52,210.00		53,392.00					-1,182.00	
		220. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	01/08/2010
9,816.00		10,755.00					-939.00	
		130. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					09/25/2008	01/08/2010
7,692.00		8,636.00					-944.00	
		100. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	01/08/2010
8,009.00		4,581.00					3,428.00	
		110. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	01/08/2010
8,693.00		10,899.00					-2,206.00	
		380. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					01/18/2008	01/08/2010
9,245.00		8,620.00					625.00	
		180. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	01/08/2010
6,649.00		3,703.00					2,946.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		230. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					03/10/2005	01/08/2010
18,643.00		11,915.00					6,728.00	
		180. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	01/08/2010
12,508.00		2,245.00					10,263.00	
		180. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/18/2008	01/08/2010
5,929.00		6,965.00					-1,036.00	
		60. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	01/08/2010
6,382.00		6,115.00					267.00	
		140. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					11/13/2008	01/08/2010
6,280.00		6,333.00					-53.00	
		230. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					05/16/2008	01/08/2010
12,068.00		10,898.00					1,170.00	
		23. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					09/24/2009	01/08/2010
1,207.00		1,079.00					128.00	
		80. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	01/08/2010
8,077.00		7,478.00					599.00	
		345. ITT INDUSTRIES INC PROPERTY TYPE: SECURITIES					10/29/2007	01/08/2010
17,139.00		22,890.00					-5,751.00	
		70. INTERNATIONAL BUSINESS MACHINES CORP PROPERTY TYPE: SECURITIES					03/11/2008	01/08/2010
9,075.00		8,043.00					1,032.00	
		140. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	01/08/2010
7,439.00		7,772.00					-333.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	01/08/2010
5,181.00		5,276.00					-95.00	
		630. LAM RESEARCH CORP PROPERTY TYPE: SECURITIES					02/20/2007	01/08/2010
25,010.00		27,880.00					-2,870.00	
		250. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					08/13/2008	01/08/2010
6,467.00		8,365.00					-1,898.00	
		370. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	01/08/2010
14,449.00		17,722.00					-3,273.00	
		160. PEPSICO INC. PROPERTY TYPE: SECURITIES					04/27/2006	01/08/2010
9,670.00		9,173.00					497.00	
		340. SYSCO CORP PROPERTY TYPE: SECURITIES					12/07/2009	01/08/2010
9,462.00		9,448.00					14.00	
		255. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	01/08/2010
6,117.00		7,956.00					-1,839.00	
		640. UNIT CORP PROPERTY TYPE: SECURITIES					03/06/2008	01/08/2010
30,801.00		35,572.00					-4,771.00	
		110. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	01/08/2010
5,181.00		5,499.00					-318.00	
		130. ACE LTD PROPERTY TYPE: SECURITIES					03/20/2007	01/15/2010
6,265.00		7,322.00					-1,057.00	
		14. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/07/2008	03/19/2010
380.00		540.00					-160.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		56. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/07/2008	03/19/2010
1,517.00		2,159.00					-642.00	
		99. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					09/24/2009	03/23/2010
5,242.00		4,645.00					597.00	
		71. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					10/14/2005	03/23/2010
3,757.00		1,936.00					1,821.00	
		118. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					09/24/2009	03/23/2010
6,243.00		5,536.00					707.00	
		612. HEWLETT PACKARD CORP. PROPERTY TYPE: SECURITIES					10/14/2005	03/23/2010
32,290.00		16,691.00					15,599.00	
		460. CAMPBELL SOUP CO. PROPERTY TYPE: SECURITIES					01/08/2010	03/24/2010
16,184.00		15,079.00					1,105.00	
		190. APACHE CORP PROPERTY TYPE: SECURITIES					01/08/2010	04/07/2010
20,097.00		20,087.00					10.00	
		42. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					05/28/2009	04/07/2010
2,064.00		1,577.00					487.00	
		510. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					10/14/2008	04/07/2010
25,061.00		21,096.00					3,965.00	
		233. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					05/28/2009	04/07/2010
11,423.00		8,710.00					2,713.00	
		64. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					03/19/2009	04/07/2010
3,138.00		2,139.00					999.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		126. BAKER HUGHES INC. PROPERTY TYPE: SECURITIES					03/19/2009	04/07/2010
6,179.00		4,212.00					1,967.00	
		45. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	04/07/2010
3,640.00		2,061.00					1,579.00	
		70. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	04/07/2010
2,845.00		1,440.00					1,405.00	
		535. NORTHERN TRUST CORP PROPERTY TYPE: SECURITIES					05/21/2009	04/07/2010
30,584.00		28,668.00					1,916.00	
		1650. PATTERSON-UTI ENERGY INC PROPERTY TYPE: SECURITIES					04/03/2008	04/07/2010
23,642.00		44,552.00					-20,910.00	
		110. PATTERSON-UTI ENERGY INC PROPERTY TYPE: SECURITIES					10/28/2009	04/07/2010
1,576.00		1,720.00					-144.00	
		105. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	04/07/2010
7,065.00		6,033.00					1,032.00	
		213. ACE LTD PROPERTY TYPE: SECURITIES					03/20/2007	04/07/2010
11,377.00		11,997.00					-620.00	
		315. ACE LTD PROPERTY TYPE: SECURITIES					03/20/2007	04/07/2010
16,830.00		17,741.00					-911.00	
		50000. SHELL INTERNATIONAL FIN DTD 9/22/ PROPERTY TYPE: SECURITIES					11/17/2009	06/23/2010
50,756.00		50,789.00					-33.00	
		40000. PROCTER & GAMBLE CO DTD 12/18/200 PROPERTY TYPE: SECURITIES					11/12/2009	06/29/2010
43,679.00		43,302.00					377.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/21/2009	07/12/2010
3,338.00		3,606.00					-268.00	
		90. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					09/09/2009	07/12/2010
3,854.00		3,041.00					813.00	
		70. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	07/12/2010
2,821.00		3,422.00					-601.00	
		20. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	07/12/2010
5,152.00		924.00					4,228.00	
		40. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	07/12/2010
1,749.00		2,653.00					-904.00	
		30. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	07/12/2010
1,983.00		1,374.00					609.00	
		40. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	07/12/2010
2,872.00		3,963.00					-1,091.00	
		180. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					06/22/2006	07/12/2010
4,088.00		2,617.00					1,471.00	
		110. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	07/12/2010
3,942.00		2,263.00					1,679.00	
		20. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					03/10/2005	07/12/2010
1,650.00		1,036.00					614.00	
		20. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
2,110.00		2,085.00					25.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		70. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					09/09/2009	07/12/2010
3,214.00		2,640.00					574.00	
		50. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	07/12/2010
2,605.00		4,377.00					-1,772.00	
		40. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	07/12/2010
2,352.00		499.00					1,853.00	
		60. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/07/2008	07/12/2010
1,795.00		2,314.00					-519.00	
		20. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	07/12/2010
1,824.00		2,038.00					-214.00	
		50. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					11/13/2008	07/12/2010
1,718.00		2,262.00					-544.00	
		20. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					09/09/2009	07/12/2010
2,736.00		3,366.00					-630.00	
		10. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
1,368.00		1,766.00					-398.00	
		30. W W GRAINGER INC PROPERTY TYPE: SECURITIES					01/08/2010	07/12/2010
3,098.00		2,967.00					131.00	
		80. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
2,254.00		2,538.00					-284.00	
		80. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	07/12/2010
3,584.00		4,086.00					-502.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		80. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/08/2009	07/12/2010
3,263.00		2,718.00					545.00	
		40. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	07/12/2010
3,328.00		3,739.00					-411.00	
		40. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					03/19/2010	07/12/2010
1,712.00		1,888.00					-176.00	
		230. INTEL CORP. PROPERTY TYPE: SECURITIES					03/23/2010	07/12/2010
4,710.00		5,191.00					-481.00	
		120. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
4,685.00		5,466.00					-781.00	
		70. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					01/07/2008	07/12/2010
4,219.00		4,675.00					-456.00	
		60. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	07/12/2010
3,095.00		3,331.00					-236.00	
		40. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	07/12/2010
2,982.00		3,015.00					-33.00	
		50. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	07/12/2010
2,055.00		1,898.00					157.00	
		140. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	07/12/2010
3,391.00		3,481.00					-90.00	
		100. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	07/12/2010
2,963.00		2,831.00					132.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		180. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					12/15/2009	07/12/2010
4,439.00		5,418.00					-979.00	
		70. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					01/08/2010	07/12/2010
2,054.00		1,981.00					73.00	
		50. NORDSTROM INC. PROPERTY TYPE: SECURITIES					11/13/2009	07/12/2010
1,700.00		1,657.00					43.00	
		50. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	07/12/2010
1,933.00		3,238.00					-1,305.00	
		60. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	07/12/2010
2,085.00		2,724.00					-639.00	
		180. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	07/12/2010
4,176.00		2,473.00					1,703.00	
		50. PAYCHEX INC PROPERTY TYPE: SECURITIES					01/08/2010	07/12/2010
1,297.00		1,553.00					-256.00	
		30. PEPSICO INC. PROPERTY TYPE: SECURITIES					04/27/2006	07/12/2010
1,904.00		1,720.00					184.00	
		30. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/27/2009	07/12/2010
3,250.00		2,872.00					378.00	
		140. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
2,775.00		2,737.00					38.00	
		120. SEI CORP. PROPERTY TYPE: SECURITIES					01/15/2010	07/12/2010
2,600.00		2,365.00					235.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
3,499.00		3,970.00					-471.00	
		140. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	07/12/2010
1,991.00		2,727.00					-736.00	
		80. SYSCO CORP PROPERTY TYPE: SECURITIES					10/21/2009	07/12/2010
2,355.00		2,201.00					154.00	
		80. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	07/12/2010
1,904.00		2,496.00					-592.00	
		120. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					05/28/2009	07/12/2010
2,131.00		2,636.00					-505.00	
		70. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	07/12/2010
3,730.00		3,499.00					231.00	
		50. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	07/12/2010
3,177.00		2,873.00					304.00	
		80. ACCENTURE PLC PROPERTY TYPE: SECURITIES					01/08/2010	07/12/2010
3,149.00		3,434.00					-285.00	
		60. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	07/12/2010
2,717.00		2,392.00					325.00	
		3816.794 ARTIO INTERNATIONAL EQ FD II PROPERTY TYPE: SECURITIES					11/02/2009	07/13/2010
41,794.00		45,000.00					-3,206.00	
		3032.521 ARTIO INTERNATIONAL EQ FD II PROPERTY TYPE: SECURITIES					06/24/2009	07/13/2010
33,206.00		30,447.00					2,759.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1841.244 THORNBURG INTL VALUE FD-I PROPERTY TYPE: SECURITIES					11/02/2009	07/13/2010
44,982.00		45,000.00					-18.00	
		1228.752 THORNBURG INTL VALUE FD-I PROPERTY TYPE: SECURITIES					06/24/2009	07/13/2010
30,018.00		25,853.00					4,165.00	
		25. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					11/13/2008	07/20/2010
818.00		1,131.00					-313.00	
		110. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					03/03/2009	07/21/2010
3,625.00		4,957.00					-1,332.00	
		120. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					03/03/2009	07/22/2010
4,031.00		5,378.00					-1,347.00	
		220. GILEAD SCIENCES INC. PROPERTY TYPE: SECURITIES					03/03/2009	07/23/2010
7,338.00		9,860.00					-2,522.00	
		540. PAYCHEX INC PROPERTY TYPE: SECURITIES					01/08/2010	07/26/2010
14,430.00		16,767.00					-2,337.00	
		160. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	07/29/2010
7,149.00		8,173.00					-1,024.00	
		.5 BABCOCK & WILCOX COMPANY PROPERTY TYPE: SECURITIES					11/21/2006	08/02/2010
12.00		12.00						
		5. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					01/08/2010	08/20/2010
185.00		222.00					-37.00	
		165. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					04/07/2010	08/20/2010
6,100.00		7,516.00					-1,416.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		40. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					09/09/2009	08/25/2010
5,768.00		6,632.00					-864.00	
		5931.622 ARTIO INTERNATIONAL EQ FD II PROPERTY TYPE: SECURITIES					06/24/2009	08/26/2010
63,765.00		59,553.00					4,212.00	
		475.059 BUFFALO SMALL CAP FUND PROPERTY TYPE: SECURITIES					03/24/2005	08/26/2010
10,000.00		12,466.00					-2,466.00	
		815.661 GLENMEDE FUND INC-ADVISOR SMALL PROPERTY TYPE: SECURITIES					03/24/2005	08/26/2010
10,000.00		15,424.00					-5,424.00	
		25. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					08/27/2009	08/26/2010
3,586.00		4,136.00					-550.00	
		20. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					08/18/2009	08/26/2010
2,869.00		3,210.00					-341.00	
		638.978 HARBOR FD SMALL CAP VALUE FD PROPERTY TYPE: SECURITIES					04/02/2009	08/26/2010
10,000.00		7,470.00					2,530.00	
		360. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					05/28/2009	08/26/2010
5,645.00		7,860.00					-2,215.00	
		225. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					05/27/2009	08/27/2010
3,508.00		4,863.00					-1,355.00	
		220. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					05/27/2009	08/27/2010
3,457.00		4,755.00					-1,298.00	
		440. VALERO ENERGY CORP PROPERTY TYPE: SECURITIES					06/03/2009	08/27/2010
7,003.00		8,597.00					-1,594.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		367. BABCOCK & WILCOX COMPANY PROPERTY TYPE: SECURITIES					12/22/2006	09/14/2010
8,058.00		9,067.00					-1,009.00	
		50000. IBM CORP DTD 11/27/2002 4.75% 11/ PROPERTY TYPE: SECURITIES					03/10/2005	09/15/2010
54,341.00		50,189.00					4,152.00	
		80000. FHLB DTD 7/21/2006 5.375% 8/19/20 PROPERTY TYPE: SECURITIES					11/04/2009	09/23/2010
83,640.00		86,220.00					-2,580.00	
		60000. FHLB DTD 1/17/2008 3.375% 2/27/20 PROPERTY TYPE: SECURITIES					01/26/2010	09/23/2010
63,881.00		63,055.00					826.00	
		50000. US TREASURY N/B DTD 5/10/2010 3.5 PROPERTY TYPE: SECURITIES					06/23/2010	09/23/2010
54,111.00		51,676.00					2,435.00	
		144. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/07/2008	09/24/2010
3,888.00		5,553.00					-1,665.00	
		71. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/07/2008	09/24/2010
1,917.00		2,738.00					-821.00	
		1483.679 GLENMEDE FUND INC-ADVISOR SMALL PROPERTY TYPE: SECURITIES					03/24/2005	09/24/2010
20,000.00		28,056.00					-8,056.00	
		75. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	09/24/2010
4,532.00		3,749.00					783.00	
		37. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	10/07/2010
1,415.00		1,404.00					11.00	
		44. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	10/07/2010
1,676.00		1,670.00					6.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		202. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	10/07/2010
7,659.00		7,666.00					-7.00	
		25. BABCOCK & WILCOX COMPANY PROPERTY TYPE: SECURITIES					12/22/2006	10/08/2010
579.00		612.00					-33.00	
		315. BABCOCK & WILCOX COMPANY PROPERTY TYPE: SECURITIES					03/05/2009	10/08/2010
7,260.00		5,868.00					1,392.00	
		25. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	10/08/2010
1,601.00		312.00					1,289.00	
		66. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					01/07/2008	10/08/2010
4,169.00		4,408.00					-239.00	
		80. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					01/08/2010	10/08/2010
5,059.00		5,111.00					-52.00	
		534. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					01/25/2008	10/08/2010
33,771.00		34,992.00					-1,221.00	
		15. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					07/21/2010	10/08/2010
949.00		857.00					92.00	
		38. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	10/08/2010
1,439.00		1,442.00					-3.00	
		229. LANDSTAR SYS INC. PROPERTY TYPE: SECURITIES					05/04/2009	10/08/2010
8,697.00		8,659.00					38.00	
		20. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/27/2009	10/13/2010
2,646.00		1,915.00					731.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		40. ACCENTURE PLC PROPERTY TYPE: SECURITIES					01/08/2010	10/13/2010
1,810.00		1,717.00					93.00	
		110. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	10/13/2010
4,977.00		2,811.00					2,166.00	
		213. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/11/2008	10/22/2010
5,653.00		8,184.00					-2,531.00	
		207. FLIR SYSTEMS INC PROPERTY TYPE: SECURITIES					08/19/2008	10/22/2010
5,493.00		7,895.00					-2,402.00	
		90. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	10/28/2010
4,418.00		1,852.00					2,566.00	
		160. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	10/28/2010
4,966.00		5,076.00					-110.00	
		100. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					09/09/2009	11/09/2010
4,336.00		3,294.00					1,042.00	
		170. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	11/09/2010
8,248.00		8,683.00					-435.00	
		250. PEPSICO INC. PROPERTY TYPE: SECURITIES					04/27/2006	11/11/2010
16,178.00		14,333.00					1,845.00	
		80. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/21/2009	11/15/2010
3,883.00		4,122.00					-239.00	
		30. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/08/2010	11/15/2010
1,456.00		1,583.00					-127.00	

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		110. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/20/2009	11/15/2010
4,751.00		3,531.00					1,220.00	
		100. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	11/15/2010
5,070.00		4,888.00					182.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	11/15/2010
3,080.00		462.00					2,618.00	
		20. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					10/22/2010	11/15/2010
6,160.00		6,170.00					-10.00	
		100. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	11/15/2010
5,131.00		6,628.00					-1,497.00	
		40. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	11/15/2010
3,543.00		1,832.00					1,711.00	
		70. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	11/15/2010
5,996.00		6,936.00					-940.00	
		260. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	11/15/2010
5,205.00		1,423.00					3,782.00	
		140. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	11/15/2010
7,378.00		2,880.00					4,498.00	
		70. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					07/29/2010	11/15/2010
5,503.00		5,487.00					16.00	
		40. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					04/07/2010	11/15/2010
3,702.00		4,170.00					-468.00	

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		100. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/27/2009	11/15/2010
5,587.00		3,741.00					1,846.00	
		70. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	11/15/2010
4,943.00		6,127.00					-1,184.00	
		60. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	11/15/2010
4,246.00		748.00					3,498.00	
		5. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	11/15/2010
598.00		510.00					88.00	
		35. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					10/28/2010	11/15/2010
4,183.00		3,964.00					219.00	
		30. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					08/18/2009	11/15/2010
5,021.00		4,816.00					205.00	
		40. W W GRAINGER INC PROPERTY TYPE: SECURITIES					01/08/2010	11/15/2010
5,078.00		3,956.00					1,122.00	
		90. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	11/15/2010
3,260.00		2,855.00					405.00	
		70. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	11/15/2010
3,380.00		3,576.00					-196.00	
		110. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/08/2009	11/15/2010
5,272.00		3,737.00					1,535.00	
		10. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					09/14/2010	11/15/2010
479.00		436.00					43.00	

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		80. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	11/15/2010
2,961.00		2,840.00					121.00	
		15. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	11/15/2010
922.00		1,399.00					-477.00	
		45. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	11/15/2010
2,767.00		4,201.00					-1,434.00	
		100. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					11/09/2010	11/15/2010
4,810.00		4,836.00					-26.00	
		320. INTEL CORP. PROPERTY TYPE: SECURITIES					03/23/2010	11/15/2010
6,838.00		7,222.00					-384.00	
		160. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					01/08/2010	11/15/2010
6,483.00		7,118.00					-635.00	
		90. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	11/15/2010
4,404.00		4,996.00					-592.00	
		50. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	11/15/2010
4,140.00		3,766.00					374.00	
		30. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	11/15/2010
521.00		374.00					147.00	
		200. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					08/27/2010	11/15/2010
3,476.00		2,594.00					882.00	
		140. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	11/15/2010
5,144.00		3,963.00					1,181.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					12/15/2009	11/15/2010
1,571.00		1,806.00					-235.00	
		200. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	11/15/2010
5,236.00		4,060.00					1,176.00	
		100. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					01/08/2010	11/15/2010
3,365.00		2,829.00					536.00	
		100. NORDSTROM INC. PROPERTY TYPE: SECURITIES					10/28/2010	11/15/2010
4,159.00		3,785.00					374.00	
		70. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	11/15/2010
2,803.00		4,533.00					-1,730.00	
		80. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	11/15/2010
3,654.00		3,632.00					22.00	
		250. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	11/15/2010
7,142.00		3,435.00					3,707.00	
		100. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/11/2010	11/15/2010
5,985.00		5,968.00					17.00	
		40. PRAXAIR INC. PROPERTY TYPE: SECURITIES					10/08/2010	11/15/2010
3,686.00		3,626.00					60.00	
		50. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/27/2009	11/15/2010
6,637.00		4,787.00					1,850.00	
		76. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					04/07/2010	11/15/2010
1,611.00		1,486.00					125.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		144. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					08/26/2010	11/15/2010
3,053.00		2,815.00					238.00	
		170. SEI CORP. PROPERTY TYPE: SECURITIES					01/15/2010	11/15/2010
3,931.00		3,346.00					585.00	
		100. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	11/15/2010
7,441.00		6,617.00					824.00	
		310. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	11/15/2010
4,737.00		6,039.00					-1,302.00	
		50. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/22/2010	11/15/2010
3,228.00		3,107.00					121.00	
		110. SYSCO CORP PROPERTY TYPE: SECURITIES					10/21/2009	11/15/2010
3,175.00		3,027.00					148.00	
		110. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	11/15/2010
2,760.00		3,432.00					-672.00	
		90. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	11/15/2010
5,793.00		4,499.00					1,294.00	
		80. WATERS CORP PROPERTY TYPE: SECURITIES					10/08/2010	11/15/2010
6,136.00		5,671.00					465.00	
		70. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/27/2010	11/15/2010
3,577.00		2,978.00					599.00	
		90. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	11/15/2010
3,972.00		2,300.00					1,672.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	11/15/2010
531.00		399.00					132.00	
		90. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/22/2010	11/15/2010
4,780.00		4,247.00					533.00	
		269. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	11/17/2010
12,804.00		13,740.00					-936.00	
		146. H J HEINZ CO. PROPERTY TYPE: SECURITIES					10/03/2008	11/17/2010
6,957.00		7,447.00					-490.00	
		80. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	11/23/2010
1,536.00		438.00					1,098.00	
		285. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					11/18/2010	11/23/2010
5,472.00		5,617.00					-145.00	
		40. W W GRAINGER INC PROPERTY TYPE: SECURITIES					01/08/2010	11/23/2010
4,978.00		3,956.00					1,022.00	
		20. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					10/21/2009	11/30/2010
923.00		1,030.00					-107.00	
		20. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					08/20/2009	11/30/2010
855.00		636.00					219.00	
		20. AMPHENOL CORP-CL A PROPERTY TYPE: SECURITIES					06/03/2008	11/30/2010
998.00		978.00					20.00	
		10. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					08/22/2005	11/30/2010
3,129.00		462.00					2,667.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. BAXTER INTL. INC. PROPERTY TYPE: SECURITIES					10/01/2008	11/30/2010
977.00		1,324.00					-347.00	
		10. BHP LIMITED - SPONS ADR PROPERTY TYPE: SECURITIES					03/19/2009	11/30/2010
820.00		458.00					362.00	
		20. CHEVRON CORP PROPERTY TYPE: SECURITIES					05/29/2008	11/30/2010
1,622.00		1,982.00					-360.00	
		50. CISCO SYSTEMS PROPERTY TYPE: SECURITIES					04/17/1997	11/30/2010
961.00		274.00					687.00	
		30. COACH INC PROPERTY TYPE: SECURITIES					10/14/2008	11/30/2010
1,697.00		617.00					1,080.00	
		10. COLGATE PALMOLIVE CO. PROPERTY TYPE: SECURITIES					07/29/2010	11/30/2010
766.00		784.00					-18.00	
		10. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					04/07/2010	11/30/2010
884.00		1,042.00					-158.00	
		20. EMERSON ELECTRIC CO. PROPERTY TYPE: SECURITIES					08/27/2009	11/30/2010
1,095.00		748.00					347.00	
		20. ENERGIZER HOLDINGS INC -W/I PROPERTY TYPE: SECURITIES					02/20/2007	11/30/2010
1,389.00		1,751.00					-362.00	
		10. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					08/03/1992	11/30/2010
690.00		125.00					565.00	
		10. FRANKLIN RESOURCES INC. PROPERTY TYPE: SECURITIES					06/19/2008	11/30/2010
1,136.00		1,019.00					117.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					08/18/2009	11/30/2010
1,573.00		1,605.00					-32.00	
		10. W W GRAINGER INC PROPERTY TYPE: SECURITIES					01/08/2010	11/30/2010
1,248.00		989.00					259.00	
		20. HALLIBURTON CO. PROPERTY TYPE: SECURITIES					04/07/2010	11/30/2010
745.00		635.00					110.00	
		30. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					05/08/2009	11/30/2010
1,481.00		1,018.00					463.00	
		20. J. B. HUNT PROPERTY TYPE: SECURITIES					10/07/2010	11/30/2010
728.00		710.00					18.00	
		10. ITT EDUCATIONAL SERVICES INC PROPERTY TYPE: SECURITIES					10/28/2009	11/30/2010
598.00		933.00					-335.00	
		20. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					11/05/2010	11/30/2010
953.00		965.00					-12.00	
		70. INTEL CORP. PROPERTY TYPE: SECURITIES					03/23/2010	11/30/2010
1,481.00		1,580.00					-99.00	
		30. JP MORGAN CHASE & CO PROPERTY TYPE: SECURITIES					01/08/2010	11/30/2010
1,121.00		1,335.00					-214.00	
		20. KELLOGG CO. PROPERTY TYPE: SECURITIES					09/16/2008	11/30/2010
980.00		1,110.00					-130.00	
		10. LABORATORY CORP OF AMERICAN HLDGS PROPERTY TYPE: SECURITIES					04/28/2008	11/30/2010
811.00		753.00					58.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50. MCDERMOTT INTERNATIONAL INC. PROPERTY TYPE: SECURITIES					11/21/2006	11/30/2010
902.00		623.00					279.00	
		5. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					10/14/2008	11/30/2010
172.00		142.00					30.00	
		25. MCGRAW HILL INC. PROPERTY TYPE: SECURITIES					11/18/2010	11/30/2010
860.00		879.00					-19.00	
		60. MICROSOFT CORP. PROPERTY TYPE: SECURITIES					05/04/2009	11/30/2010
1,519.00		1,218.00					301.00	
		20. MICROCHIP TECHNOLOGY INC. PROPERTY TYPE: SECURITIES					01/08/2010	11/30/2010
675.00		566.00					109.00	
		20. NORDSTROM INC. PROPERTY TYPE: SECURITIES					10/22/2010	11/30/2010
856.00		732.00					124.00	
		20. NUCOR CORP. PROPERTY TYPE: SECURITIES					02/20/2007	11/30/2010
750.00		1,295.00					-545.00	
		20. OMNICOM GROUP PROPERTY TYPE: SECURITIES					01/07/2008	11/30/2010
913.00		908.00					5.00	
		50. ORACLE SYSTEMS PROPERTY TYPE: SECURITIES					03/07/2005	11/30/2010
1,348.00		687.00					661.00	
		30. PHILIP MORRIS INTERNATIONAL PROPERTY TYPE: SECURITIES					11/11/2010	11/30/2010
1,712.00		1,790.00					-78.00	
		10. PRAXAIR INC. PROPERTY TYPE: SECURITIES					10/08/2010	11/30/2010
911.00		906.00					5.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. PRECISION CASTPARTS CORP PROPERTY TYPE: SECURITIES					08/27/2009	11/30/2010
1,364.00		957.00					407.00	
		50. PROGRESSIVE CORP OHIO PROPERTY TYPE: SECURITIES					11/19/2010	11/30/2010
1,017.00		1,039.00					-22.00	
		40. SEI CORP. PROPERTY TYPE: SECURITIES					01/15/2010	11/30/2010
906.00		787.00					119.00	
		20. SCHLUMBERGER LTD. PROPERTY TYPE: SECURITIES					04/07/2010	11/30/2010
1,512.00		1,323.00					189.00	
		70. CHARLES SCHWAB CORP. PROPERTY TYPE: SECURITIES					04/07/2010	11/30/2010
1,044.00		1,364.00					-320.00	
		10. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					10/22/2010	11/30/2010
628.00		621.00					7.00	
		20. SYSCO CORP PROPERTY TYPE: SECURITIES					10/21/2009	11/30/2010
576.00		550.00					26.00	
		30. U S BANCORP PROPERTY TYPE: SECURITIES					11/02/2007	11/30/2010
718.00		936.00					-218.00	
		30000. US TREASURY N/B DTD 8/15/2001 5% PROPERTY TYPE: SECURITIES					11/04/2009	11/30/2010
31,005.00		32,268.00					-1,263.00	
		10000. US TREASURY N/B DTD 6/30/2007 4.8 PROPERTY TYPE: SECURITIES					07/10/2007	11/30/2010
10,708.00		9,959.00					749.00	
		20. VARIAN MEDICAL SYSTEMS INC PROPERTY TYPE: SECURITIES					12/07/2007	11/30/2010
1,307.00		1,000.00					307.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. WATERS CORP PROPERTY TYPE: SECURITIES					02/14/2007	11/30/2010
1,542.00		1,149.00					393.00	
		20. YUM BRANDS INC PROPERTY TYPE: SECURITIES					08/27/2010	11/30/2010
999.00		851.00					148.00	
		20. ACCENTURE PLC PROPERTY TYPE: SECURITIES					03/07/2005	11/30/2010
865.00		511.00					354.00	
		20. COOPER INDUSTRIES PLC CL A PROPERTY TYPE: SECURITIES					10/21/2009	11/30/2010
1,091.00		797.00					294.00	
TOTAL GAIN(LOSS)							35,385.	

SUMMARY OF GAIN/LOSS

1,967,832.00		1,932,447.00	-0-	-0-	-0-	35,385.

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2010

ATTACHMENTS PAGE 6 FORM 990-PF
PART VIII LIST OF OFFICERS, DIRECTORS, TRUSTEES, ETC.

NAME AND ADDRESS	TITLE	AVERAGE HOURS PER WEEK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCT
1. EUGENE GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	PRESIDENT/ TREASURER/ CHAIRMAN	AS NEEDED	-0-	-0-	-0-
2. JOSHUA GARFIELD C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	VICE PRESIDENT/ SECRETARY	AS NEEDED	-0-	-0-	-0-
3. ROBERT S. BRAMSON C/O THE GLENMEDE TRUST COMPANY, N.A. 1650 MARKET STREET SUITE 1200 PHILADELPHIA, PA 19103-7391	DIRECTOR	AS NEEDED	-0-	-0-	-0-
TOTAL			-0-	-0-	-0-

THE EUGENE GARFIELD FOUNDATION
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E.I.N. 23-2553258
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PART XV, ATTACHMENTS PAGE 10, FORM 990-PF

2a NAME, ADDRESS AND PHONE FOR APPLICATIONS
EUGENE GARFIELD
C/O THE GLENMEDE TRUST COMPANY, N.A.
1650 MARKET STREET, SUITE 1200
PHILADELPHIA, PA 19103
215-419-6000

2b THE FORM IN WHICH APPLICATIONS SHOULD BE SUBMITTED, ETC.,
IN WRITING, WITH NAME, ADDRESS & PURPOSE OF ORGANIZATION

2c NONE

2d NONE

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2010

PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

1	ACLU FOUNDATION NEW YORK, NY FOR GENERAL PURPOSES	25,000.00
2	BETA PHI MU FLORIDA STATE UNIVERSITY TALLAHASSEE, FL 4 SCHOLARSHIPS FOR 2010	12,000.00
3	CHEMICAL HERITAGE FOUNDATION PHILADELPHIA, PA FOR THE HERDEGEN FELLOWSHIP IN THE HISTORY OF SCIENTIFIC INFORMATION	50,000.00
4	CROHN'S & COLITIES FOUNDATION TREVOSE, PA FOR GENERAL PURPOSES	1,000.00
5	ELDERNET OF LOWER MERION FOR GENERAL PURPOSES	1,000.00
6	INTERNATIONAL HOUSE PHILADELPHIA, PA FOR THE CHILDREN'S PROGRAM	1,000.00

STATEMENT 5

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
E.I.N. 23-2553258
TAX YEAR ENDING 11/30/2010

PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

7	KIMMEL CENTER FOR THE PERFORMING ARTS PHILADELPHIA, PA FOR THE SOCIETY OF FOUNDERS WALL	30,000.00
8	MONELL CHEMICAL SENSES CENTER PHILADELPHIA, PA TO BE ALLOCATED TO THE MONELL ANNUAL FUND	1,000.00
9	NEW HORIZONS SENIOR CENTER NARBERTH, PA FOR GENERAL PURPOSES	1,500.00
10	OPERA COMPANY OF PHILADELPHIA PHILADELPHIA, PA FOR THE SOUNDS OF LEARNING PROGRAM	15,000.00
11	PROJECT H.O.M.E. PHILADELPHIA, PA FOR GENERAL PURPOSES	15,000.00
12	RESEARCH AMERICA ALEXANDRIA, VA FOR THE EUGENE GARFIELD ECONOMIC IMPACT AWARD FOR 2009	15,000.00

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
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PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

13	SAFE IN HUNTERDON FLEMINGTON, NJ FOR GENERAL PURPOSES	500.00
14	ST. JOHN'S UNIVERSITY DIVISION OF LIBRARY & INFORMATION SCIENCE QUEENS, NY TO PARTIALLY FUND PROFESSOR BELLA HASS WEINBERG'S RESEARCH	300.00
15	THE AMERICAN PHILOSOPHICAL SOCIETY PHILADELPHIA, PA FOR THE ANNUAL FUND	1,500.00
16	THE AMERICAN PHILOSOPHICAL SOCIETY PHILADELPHIA, PA FOR THE LIBRARY TECHNOLOGY ENDOWMENT FUND	25,000.00
17	THE CENTER FOR ACADEMIC AND SOCIAL ADVANCEMENT (CASA) LA JOLLA, CA FOR GENERAL PURPOSES	10,000.00
18	THE PHILADELPHIA ORCHESTERA PHILADELPHIA, PA FOR THE ENDOWMENT FUND	2,500.00

THE EUGENE GARFIELD FOUNDATION
ACCOUNT #0706-03-4/5
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PART XV, FORM 990-PF, PAGE 11
GRANTS AND CONTRIBUTIONS -PAID-

19	THE UNIVERSITY OF PENNSYLVANIA PHILADELPHIA, PA FOR THE EUGENE GARFIELD LIBRARY PROGRAM FUND	50,000.00
20	UNIVERSITY OF PITTSBURGH GRADUATE SCHOOL OF PUBLIC HEALTH PITTSBURGH, PA FOR GENERAL PURPOSES	5,000.00
21	UNIVERSITY OF TENNESSEE SCHOOL OF INFORMATION SCIENCES TO BE ALLOCATED TO LAZEBROW LECTURES	1,200.00
	TOTAL CONTRIBUTIONS -PAID- THROUGH 11/30/2010	263,500.00