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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning 12-01-2009 , and ending 11-30-2010

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE OWENOKÉ FOUNDATION CO AVERY ROCKEFELLER III PRESIDENT		A Employer identification number 22-3483048	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 22 SQUIRREL HILL ROAD		B Telephone number (see page 10 of the instructions) (860) 573-9683	
	City or town, state, and ZIP code WEST HARTFORD, CT 061071003		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 9,045,680		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	41	41		
	4 Dividends and interest from securities.	54,885	54,885		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	370,210			
	b Gross sales price for all assets on line 6a _____ 2,068,062				
	7 Capital gain net income (from Part IV , line 2)		370,210		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-9,018	1,354		
	12 Total. Add lines 1 through 11	416,118	426,490		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	10,750	5,375		5,375
	c Other professional fees (attach schedule)	53,605	53,605		0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	18,035	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	283	0		278
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	82,673	58,980		5,653
	25 Contributions, gifts, grants paid	400,000			400,000
	26 Total expenses and disbursements. Add lines 24 and 25	482,673	58,980		405,653
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-66,555			
	b Net investment income (if negative, enter -0-)		367,510		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	22,086	24,941	24,941
	2	Savings and temporary cash investments	1,796,176	1,775,068	1,775,068
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	4,725,669	4,768,194	5,847,299
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,490,147	1,399,320	1,398,372
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,034,078	7,967,523	9,045,680	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	8,034,078	7,967,523	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	8,034,078	7,967,523	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	8,034,078	7,967,523	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 8,034,078
2	Enter amount from Part I, line 27a	2 -66,555
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 7,967,523
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 7,967,523

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	370,210
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	405,461	7,647,410	0 053019
2007	506,034	10,010,157	0 050552
2006	588,451	10,964,935	0 053667
2005	494,494	10,034,093	0 049281
2004	451,381	9,874,532	0 045712

2	Total of line 1, column (d).	2	0 252231
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050446
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	8,617,288
5	Multiply line 4 by line 3.	5	434,708
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,675
7	Add lines 5 and 6.	7	438,383
8	Enter qualifying distributions from Part XII, line 4.	8	405,653

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	7,350
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	7,350
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	7,350
6		Credits/Payments			
a		2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,316	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	2,316
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	5,034
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2010 estimated tax ☐	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of AVERY ROCKEFELLER III Telephone no (860) 573-9683 Located at 22 SQUIRREL HILL ROAD WEST HARTFORD CT ZIP+4 061071003			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . .	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☒	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AVERY ROCKEFELLER III	PRESIDENT AND DIRECTOR 0 00	0	0	0
22 SQUIRREL HILL ROAD WEST HARTFORD, CT 06107				
MARY RUNESTAD	SECRETARY AND DIRECTOR 0 00	0	0	0
716 PONUS RIDGE ROAD NEW CANAAN, CT 06840				
MONICA ROCKEFELLER	DIRECTOR 0 00	0	0	0
22 SQUIRREL HILL ROAD WEST HARTFORD, CT 06107				
RODNEY RUNESTAD	DIRECTOR 0 00	0	0	0
716 PONUS RIDGE ROAD NEW CANAAN, CT 06840				

[illegible]

Total number of other employees paid over \$50,000.	0
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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,731,403
b	Average of monthly cash balances.	1b	2,017,113
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	8,748,516
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,748,516
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	131,228
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,617,288
6	Minimum investment return. Enter 5% of line 5.	6	430,864

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	430,864
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	7,350
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	1,853
c	Add lines 2a and 2b.	2c	9,203
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	421,661
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	421,661
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	421,661

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	405,653
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	405,653
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	405,653
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1		Distributable amount for 2009 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2008			
a		Enter amount for 2008 only. 0			
b		Total for prior years 20____, 20____, 20____ 0			
3		Excess distributions carryover, if any, to 2009			
a		From 2004.			
b		From 2005. 23,446			
c		From 2006. 68,063			
d		From 2007. 7,878			
e		From 2008. 41,494			
f		Total of lines 3a through e. 140,881			
4		Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 405,653			
a		Applied to 2008, but not more than line 2a 0			
b		Applied to undistributed income of prior years (Election required—see page 26 of the instructions) 0			
c		Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . 0			
d		Applied to 2009 distributable amount. 405,653			
e		Remaining amount distributed out of corpus 0			
5		Excess distributions carryover applied to 2009 16,008			
		(If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 124,873			
b		Prior years' undistributed income Subtract line 4b from line 2b. 0			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. 0			
d		Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . 0			
e		Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions 0			
f		Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) 0			
8		Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) 0			
9		Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 124,873			
10		Analysis of line 9			
a		Excess from 2005. 7,438			
b		Excess from 2006. 68,063			
c		Excess from 2007. 7,878			
d		Excess from 2008. 41,494			
e		Excess from 2009.			

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	400,000
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
*****			2011-04-11	*****
Signature of officer or trustee			Date	Title

Paid Preparer's Use Only	Preparer's Signature KRISTINE MCCARTHY CPA	Date	Check if self-employed ☒	Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code	NUSSBAUM YATES BERG KLEIN & WOLPOW LLP 445 BROAD HOLLOW ROAD STE 319 MELVILLE, NY 11747		EIN
			Phone no (631) 845-5252	

Additional Data

Software ID: 09000028

Software Version: 2009.05070

EIN: 22-3483048

Name: THE OWENOKÉ FOUNDATION
CO AVERY ROCKEFELLER III PRESIDENT

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PAROCK LP K-1	P		
LOBLOBBY PARTNERS LP K-1	P		
HSBC	P		
ACCENTURE LTD	P	2007-03-29	2010-02-03
ADOBE SYS INC	P	2007-03-07	2010-05-07
ALTERA INC	P	2008-06-25	2010-01-21
COGNIZANT TECHNOLOGY SOLUTION	P	2008-05-02	2009-12-10
COGNIZANT TECHNOLOGY SOLUTION	P	2008-05-02	2010-09-13
COVANCE INC	P	2005-06-17	2010-10-25
FTI CONSULTING INC	P	2009-05-22	2010-10-28
FTI CONSULTING INC	P	2009-05-28	2010-10-28
GOODRICH CORP	P	2007-08-21	2010-08-18
INFORMATICA CORP	P	2009-08-14	2010-09-13
JOHNSON & JOHNSON	P	2008-10-24	2010-05-07
MILLIPORE CORP	P	2009-09-06	2010-07-14
MILLIPORE CORP	P	2006-10-12	2010-07-14
PEPSICO INC	P	2006-06-06	2010-02-03
RESMED INC	P	2006-04-06	2010-09-13
SYBASE INC	P	2009-11-02	2010-02-03
XTO ENERGY INC	P	2003-05-27	2010-01-12
XTO ENERGY INC	P	2003-07-21	2010-01-12
XTO ENERGY INC	D	2004-05-25	2010-01-12
XTO ENERGY INC	D	2005-06-03	2010-01-12
BEAVER VALLEY TWO RHO INC	D		2010-12-31
PERRY ONE INC	D		2010-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16			16
		64,501	-64,501
135			135
50,567		44,935	5,632
164,526		195,683	-31,157
199,703		210,835	-11,132
83,460		62,763	20,697
82,725		42,943	39,782
143,106		141,348	1,758
36,298		52,044	-15,746
30,853		44,216	-13,363
35,680		29,538	6,142
55,786		29,213	26,573
116,204		114,832	1,372
267,220		160,298	106,922
53,444		31,289	22,155
150,223		152,677	-2,454
182,849		121,845	61,004
112,797		109,530	3,267
137,572		29,693	107,879
49,813		9,676	40,137
71,776		23,850	47,926
39,908		21,004	18,904
764		1,513	-749
2,637		3,626	-989

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			-64,501
			135
			5,632
			-31,157
			-11,132
			20,697
			39,782
			1,758
			-15,746
			-13,363
			6,142
			26,573
			1,372
			106,922
			22,155
			-2,454
			61,004
			3,267
			107,879
			40,137
			47,926
			18,904
			-749
			-989

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AmeriCares88 Hamilton Avenue Stamford, CT 06902			GENERAL SUPPORT	35,000
Connecticut Children's Medical Center 60 Hartland Street 4th floor East Hartford, CT 06108			GENERAL SUPPORT	25,000
Duke University2127 Campus Drive Durham, NC 27708			GENERAL SUPPORT	5,000
DUNCASTER40 LOEFFLER ROAD BLOOMfield, CT 06002			GENERAL SUPPORT	5,000
Family Re-Entry Inc9 Mott Avenue Suite 104 Norwalk, CT 06850			GENERAL SUPPORT	10,000
Food Bank of Lower Fairfield County 461 Glenbrook Road Stamford, CT 06906			GENERAL SUPPORT	17,000
Foodshare475 Woodland Avenue Bloomfield, CT 06002			GENERAL SUPPORT	22,000
Hands on Hartford330 Main Street 3rd floor Hartford, CT 06106			GENERAL SUPPORT	20,000
Kingswood-Oxford School170 Kingswood Road West Hartford, CT 06119			GENERAL SUPPORT	30,000
Literacy Volunteers of Greater Hartford30 Arbor Street Hartford, CT 06106			GENERAL SUPPORT	25,000
Lutheran Social Services of New England888 Worcester Street Suite 160 Wellesley, MA 02482			GENERAL SUPPORT	10,000
Mark Twain House & Museum351 Farmington Avenue Hartford, CT 06105			GENERAL SUPPORT	5,000
New Canaan Group Home162 South Avenue New Canaan, CT 06840			GENERAL SUPPORT	20,000
Piper Theatre Productions332 5th Street 5F Brooklyn, NY 11213			GENERAL SUPPORT	2,000
Planned Parenthood of Connecticut 345 Whitney Avenue New Haven, CT 06511			GENERAL SUPPORT	13,000
Total  3a				400,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Save the Children54 Wilton Road Westport, CT 06881			GENERAL SUPPORT	17,000
St Luke's LifeWorks141 Franklin Street Stamford, CT 06901			GENERAL SUPPORT	15,000
St Michael's Lutheran Church5 Oenoke Ridge Road New Canaan, CT 06840			GENERAL SUPPORT	10,000
St Olaf College1520 St Olaf Street Northfield, MN 55057			GENERAL SUPPORT	5,000
Team Tobat19 Rogers Place New Britain, CT 06051			GENERAL SUPPORT	25,000
The Adirondack Council103 Hand Avenue Elizabethtown, NY 12932			GENERAL SUPPORT	30,000
The Bridge Family Center Inc1022 Farmington Avenue West Hartford, CT 06107			GENERAL SUPPORT	15,000
THE MAGIC JOHNSON FOUNDATION INC9100 Wilshire Blvd Suite 700 East Beverly Hills, CA 90212			GENERAL SUPPORT	2,000
The Scott Carter FoundationPO Box 700448 Tulsa, OK 74170			GENERAL SUPPORT	7,000
The V Foundation for Cancer Research 100 Towerview Court Cary, NC 27513			GENERAL SUPPORT	20,000
Town Players of New CanaanPO Box 201 New Canaan, CT 06840			GENERAL SUPPORT	5,000
Wake Forest UniversityPO Box 7227 WinstonSalem, NC 27109			GENERAL SUPPORT	5,000
Total  3a				400,000

TY 2009 Accounting Fees Schedule

Name: THE OWENOKÉ FOUNDATION

CO AVERY ROCKEFELLER III PRESIDENT

EIN: 22-3483048

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	10,750	5,375		5,375

TY 2009 Investments Corporate
Stock Schedule

Name: THE OWENOKE FOUNDATION
CO AVERY ROCKEFELLER III PRESIDENT
EIN: 22-3483048

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABB Ltd	173,494	130,196
Accenture Ltd	146,712	173,280
Adobe Sys Inc	0	0
AECOM TECHNOLOGY CORP	113,581	123,648
Altera Inc	0	0
Amphenol Corp	124,766	177,606
Ansys Inc	96,425	157,625
Aqua America Inc	195,286	183,175
Bank of America	209,914	91,980
BARD CR	119,691	118,790
BE AEROSPACE INC	123,568	138,450
BJ WHOLESALE CLUB	100,493	137,430
Brown Forman Corp	126,791	143,074
Cerner Corp	89,513	131,790
Chevron Corp	51,161	169,875
Choice Holtels Intl Inc	94,035	135,962
COACH INC	111,409	172,447
Cognizant Technology Solution	75,977	149,454
Covance Inc.	0	0
Devon Energy Corp	101,756	211,710
DRESSER-RAND	125,103	124,221
Fiserv Inc	130,107	221,200
FLIR SYSTEMS INC	95,139	95,176
Flowserve Corp	110,532	113,370
FTI Consulting Inc	0	0
Genzyme Corp.	94,673	142,440
Goodrich Corp	159,507	231,579
HCC Insurance Holdings Inc.	56,441	63,461
Informatica Corp	76,511	170,280
Itron Inc	90,712	85,155

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JACOBS ENGR GROUP INC	103,507	104,912
Johnson & Johnson	0	0
LINCOLN ELEC	114,125	137,193
McCormick & Co Inc	119,115	202,446
Medco Health Solution	154,452	196,224
Millipore Corp	0	0
Pepsico Inc	0	0
Resmed Inc	96,711	141,379
ROVI CORP	122,867	171,027
SAPIENT CORP	116,914	133,019
SCHULMBERGER LTD	242,723	270,690
SCRIPPS	109,743	133,744
SPX Corporation	171,103	98,520
Sybase Inc.	0	0
Sysco Corp	123,281	130,590
The Hershey Company	106,550	163,800
Thermo Fisher Scientific Inc	193,806	170,381
XTO Energy Inc	0	0

TY 2009 Investments - Other Schedule**Name:** THE OWENOKÉ FOUNDATION

CO AVERY ROCKEFELLER III PRESIDENT

EIN: 22-3483048

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Loblolly Partners, LP	AT COST	1,377,994	1,377,046
Stock of non-public companies	AT COST	21,326	21,326
Parock LP	AT COST	0	0

TY 2009 Other Expenses Schedule

Name: THE OWENKE FOUNDATION

CO AVERY ROCKEFELLER III PRESIDENT

EIN: 22-3483048

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	278	0		278
PENALTIES	5	0		0

TY 2009 Other Income Schedule**Name:** THE OWENOKÉ FOUNDATION

CO AVERY ROCKEFELLER III PRESIDENT

EIN: 22-3483048

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PAROCK LP K-1 UBT	10,367	1,354	10,367
LOBLOLLY PARTNERS LP K-1	-19,385		-19,385

TY 2009 Other Professional Fees Schedule

Name: THE OWENOKÉ FOUNDATION
CO AVERY ROCKEFELLER III PRESIDENT
EIN: 22-3483048

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	53,605	53,605		0

TY 2009 Taxes Schedule

Name: THE OWENOKÉ FOUNDATION
CO AVERY ROCKEFELLER III PRESIDENT
EIN: 22-3483048

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL UBT	18,035	0		0