



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 12/01, 2009, and ending 11/30, 2010

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.DEA FAMILY FOUNDATION
1520 W CANAL CT STE 220
LITTLETON, CO 80120

A Employer identification number

20-8005948

B Telephone number (see the instructions)

303-297-2142

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 1,692,928.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

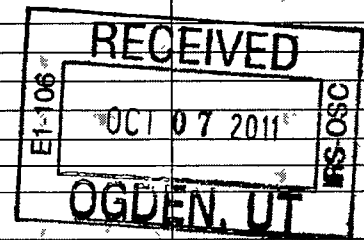
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc. received (att sch)				
	2	Cl ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	11,249.	11,249.		
	4	Dividends and interest from securities	24,508.	24,508.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	-37,369.			
	b	Gross sales price for all assets on line 6a	449,710.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold					
c	Gross profit/(loss) (att sch)					
11	Other income (attach schedule)					
	SEE STATEMENT 1	-13,151.	-13,151.			
12	Total. Add lines 1 through 11	-14,763.	22,606.	0.		
ADMINISTRATIVE AND OPERATING EXPENSES	13	Compensation of officers, directors, trustees, etc	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) SEE ST 2	12,943.			6,471.
	c	Other prof fees (attach sch) SEE ST 3	11,784.	11,784.		
	17	Interest				
	18	Taxes (attach schedule)(see instr) SEE STM 4	1,291.	291.		
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) SEE STATEMENT 5	111.			91.
	24	Total operating and administrative expenses. Add lines 13 through 23	26,129.	12,075.		6,562.
	25	Contributions, gifts, grants paid PART XV	58,000.			58,000.
26	Total expenses and disbursements. Add lines 24 and 25	84,129.	12,075.	0.	64,562.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-98,892.				
b	Net investment income (if negative, enter -0-)		10,531.			
c	Adjusted net income (if negative, enter -0-)			0.		



25

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	202,989.	54,331.	54,331.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,548,039.	1,597,805.	1,638,597.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	1,751,028.	1,652,136.	1,692,928.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒			
	24 Unrestricted	1,751,028.	1,652,136.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	1,751,028.	1,652,136.	
31 Total liabilities and net assets/fund balances (see the instructions)	1,751,028.	1,652,136.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,751,028.
2 Enter amount from Part I, line 27a	2	-98,892.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,652,136.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,652,136.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 6				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-37,369.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	145,046.	1,604,378.	0.090406
2007	77,879.	1,912,144.	0.040729
2006			
2005			
2004			

2 Total of line 1, column (d)	2	0.131135
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.065568
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,648,133.
5 Multiply line 4 by line 3	5	108,065.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	105.
7 Add lines 5 and 6	7	108,170.
8 Enter qualifying distributions from Part XII, line 4	8	64,562.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	211.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	211.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	211.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	1,539.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,539.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,328.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 1,328. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) CO		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2009)

Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>SPRINKLE & ASSOCIATES LLC</u> Telephone no. <u>303-297-2121</u> Located at <u>1520 W CANAL CT STE 220 LITTLETON CO</u> ZIP + 4 <u>80120</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

BAA

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER A DEA 1520 W CANAL CT STE 220 LITTLETON, CO 80120	PRESIDENT/CH 1.00	0.	0.	0.
CATHY CARPENTER DEA 1520 W CANAL CT STE 220 LITTLETON, CO 80120	SECRETARY/TR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	1,559,049.
b Average of monthly cash balances	1b	114,182.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	1,673,231.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,673,231.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	25,098.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,648,133.
6 Minimum investment return. Enter 5% of line 5	6	82,407.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	82,407.
2a Tax on investment income for 2009 from Part VI, line 5	2a	211.	
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c		211.
3 Distributable amount before adjustments Subtract line 2c from line 1	3		82,196.
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		82,196.
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7		82,196.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	64,562.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	64,562.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	64,562.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				82,196.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			28,951.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 64,562.				
a Applied to 2008, but not more than line 2a			28,951.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				35,611.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				46,585.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- | | | | | | |
|--|----------|---------------|----------|----------|-----------|
| 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling | | | | | |
| b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5) | | | | | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a 'Assets' alternative test — enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test — enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
- SEE STATEMENT 7
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
- NONE
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**
- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number of the person to whom applications should be addressed
- b** The form in which applications should be submitted and information and materials they should include
- c** Any submission deadlines.
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 8				
Total			3a	58,000.
b Approved for future payment				None
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	11,249.		
4 Dividends and interest from securities			14	24,508.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	-37,369.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a P/SHIP K-1 OTHER REVENUE			18	-13,151.		
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				-14,763.		
13 Total. Add line 12, columns (b), (d), and (e)				13	-14,763.	

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

DEA FAMILY FOUNDATION

20-8005948

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
P/SHIP K-1 OTHER REVENUE	\$ -13,151.	\$ -13,151.	
TOTAL	\$ -13,151.	\$ -13,151.	0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SPRINKLE & ASSOCIATES LLC	\$ 12,943.			\$ 6,471.
TOTAL	\$ 12,943.	\$ 0.	\$ 0.	\$ 6,471.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH BANK & INVESTMT FEES	\$ 408.	\$ 408.		
MERRILL LYNCH INVESTMENT MGMT FEES	11,376.	11,376.		
TOTAL	\$ 11,784.	\$ 11,784.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	\$ 291.	\$ 291.		
FYE 11/30/09 EXTENSION PAYMENT	1,000.			
TOTAL	\$ 1,291.	\$ 291.	\$ 0.	\$ 0.

DEA FAMILY FOUNDATION

20-8005948

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT FEES	\$ 20.			
BANK FEES - FIRSTBANK	91.			\$ 91.
TOTAL	\$ 111.	\$ 0.	\$ 0.	\$ 91.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	INTL RECTIFIER SECURITY LITIGATION STLMTL	PURCHASED	VARIOUS	9/15/2010
2	CAPITAL GAIN DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS
3	ML SYSTEMATIC MOMENTUM FUTURESACCESS K-1	PURCHASED	12/31/2009	12/31/2009
4	MERRILL LYNCH - SEE STMT D-1	PURCHASED	VARIOUS	VARIOUS
5	MERRILL LYNCH - SEE STMT D-2	PURCHASED	VARIOUS	VARIOUS
6	MERRILL LYNCH - SEE STMT D-3	PURCHASED	VARIOUS	VARIOUS
7	MERRILL LYNCH - SEE STMT D-4	PURCHASED	VARIOUS	VARIOUS
8	POLICY SETTLEMENT	PURCHASED	VARIOUS	9/14/2010
9	FRONTIER COMMUNICATIONS CASH IN LIEU	PURCHASED	VARIOUS	7/16/2010

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	48.		0.	48.				\$ 48.
2	381.		0.	381.				381.
3	0.		16,484.	-16,484.				-16,484.
4	244,962.		269,368.	-24,406.				-24,406.
5	47,348.		51,206.	-3,858.				-3,858.
6	18,139.		22,165.	-4,026.				-4,026.
7	138,792.		127,856.	10,936.				10,936.
8	36.		0.	36.				36.
9	4.		0.	4.				4.
							TOTAL	\$ -37,369.

STATEMENT 7
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

PETER A DEA
 CATHY CARPENTER DEA

DEA FAMILY FOUNDATION

20-8005948

STATEMENT 8
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
CRESTED BUTTE MUSIC FEST P O BOX 2117 CRESTED BUTTE, CO 81224		501C 3	PUBLIC CHARITY	\$ 2,000.
DENVER MUSEUM NATURE & SCI 2001 COLORADO BLVD DENVER, CO 80205		501C 3	PUBLIC CHARITY	15,000.
NTL WESTERN STOCK SHOW 4655 HUMBOLDT ST DENVER, CO 80216		501C 3	PUBLIC CHARITY	10,000.
MISS RODEO AMERICA SCHOLARSHIP FDN 10436 CARRIAGE CLUB DR LONE TREE, CO 80124		501C 3	PUBLIC CHARITY	1,000.
COLORADO STATE UNIV FDN 601 HOWES ST FORT COLLINS, CO 80523		501C 3	PUBLIC CHARITY	13,000.
DENVER SCHOLARSHIP FDN-THRU COMM FIRST 303 E 17TH AVE #200 DENVER, CO 80203		501C 3	PUBLIC CHARITY	10,000.
NEBRASKA HS RODEO ASSN 11602 W WALKER RD NORTH PLATTE, NE 69101		501C 3	PUBLIC CHARITY	500.
AMERICAN GEOLOGIC INST FDN 10777 WESTHEIMER STE 250 HOUSTON, TX 77042		501C 3	PUBLIC CHARITY	2,500.
ADAPTIVE SPORTS CTR GUNNISON 10 CRESTED BUTTE WAY CRESTED BUTTE, CO 81225		501C 3	PUBLIC CHARITY	2,000.
COMMUNITY FDN OF BOULDER 1123 SPRUCE ST BOULDER, CO 80302		501C 3	PUBLIC CHARITY	1,000.
AMERICAN GEOLOGICAL INST 4220 KING ST ALEXANDRIA, VA 22302		501C 3	PUBLIC CHARITY	1,000.

TOTAL \$ 58,000.

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6,884.6820	COLUMBIA MAR 21 CNTRY C	07/20/07	04/01/10	80,000.00	106,643.72	(26,643.72) LT
11,178.3230	OPPEN INTL BD FD C	07/20/07	04/01/10	71,876.61	70,311.65	1,564.96 LT
14.0000	OPPEN INTL BD FD C	07/31/07	04/01/10	90.01	87.36	2.65 LT
79.0000	OPPEN INTL BD FD C	08/31/07	04/01/10	507.97	487.43	20.54 LT
1.0000	OPPEN INTL BD FD C	09/04/07	04/01/10	6.42	6.17	0.25 LT
59.0000	OPPEN INTL BD FD C	09/28/07	04/01/10	379.37	377.01	2.36 LT
1.0000	OPPEN INTL BD FD C	10/01/07	04/01/10	6.42	6.40	0.02 LT
64.0000	OPPEN INTL BD FD C	10/31/07	04/01/10	411.52	418.56	(7.04) LT
1.0000	OPPEN INTL BD FD C	11/01/07	04/01/10	6.43	6.53	(0.10) LT
67.0000	OPPEN INTL BD FD C	11/30/07	04/01/10	430.81	442.20	(11.39) LT
772.0000	OPPEN INTL BD FD C	12/28/07	04/01/10	4,963.96	4,902.20	61.76 LT
96.0000	OPPEN INTL BD FD C	01/04/08	04/01/10	617.28	609.60	7.68 LT
65.0000	OPPEN INTL BD FD C	01/31/08	04/01/10	417.95	425.10	(7.15) LT
1.0000	OPPEN INTL BD FD C	02/01/08	04/01/10	6.43	6.53	(0.10) LT
64.0000	OPPEN INTL BD FD C	02/29/08	04/01/10	411.52	426.24	(14.72) LT
64.0000	OPPEN INTL BD FD C	03/31/08	04/01/10	411.52	430.72	(19.20) LT
67.0000	OPPEN INTL BD FD C	04/30/08	04/01/10	430.81	442.87	(12.06) LT
1.0000	OPPEN INTL BD FD C	05/01/08	04/01/10	6.43	6.57	(0.14) LT
74.0000	OPPEN INTL BD FD C	05/30/08	04/01/10	475.82	483.22	(7.40) LT
1.0000	OPPEN INTL BD FD C	06/02/08	04/01/10	6.43	6.53	(0.10) LT
69.0000	OPPEN INTL BD FD C	06/30/08	04/01/10	443.67	445.05	(1.38) LT
74.0000	OPPEN INTL BD FD C	07/31/08	04/01/10	475.82	478.04	(2.22) LT
75.0000	OPPEN INTL BD FD C	08/29/08	04/01/10	482.25	471.75	10.50 LT
1.0000	OPPEN INTL BD FD C	09/02/08	04/01/10	6.43	6.24	0.19 LT
71.0000	OPPEN INTL BD FD C	09/30/08	04/01/10	456.53	421.74	34.79 LT
1.0000	OPPEN INTL BD FD C	10/01/08	04/01/10	6.43	5.94	0.49 LT
50.0000	OPPEN INTL BD FD C	10/31/08	04/01/10	321.50	276.50	45.00 LT
34.0000	OPPEN INTL BD FD C	11/28/08	04/01/10	218.62	192.44	26.18 LT
48.0000	OPPEN INTL BD FD C	12/30/08	04/01/10	308.64	283.20	25.44 LT
256.0000	OPPEN INTL BD FD C	12/30/08	04/01/10	1,646.08	1,510.40	135.68 LT
50.0000	OPPEN INTL BD FD C	12/30/08	04/01/10	321.50	295.00	26.50 LT
2.0000	OPPEN INTL BD FD C	12/31/08	04/01/10	12.86	11.80	1.06 LT
1.0000	OPPEN INTL BD FD C	12/31/08	04/01/10	6.43	5.90	0.53 LT
20.0000	OPPEN INTL BD FD C	01/30/09	04/01/10	128.60	113.60	15.00 LT
23.0000	OPPEN INTL BD FD C	02/27/09	04/01/10	147.89	125.12	22.77 LT
1.0000	OPPEN INTL BD FD C	03/02/09	04/01/10	6.43	5.43	1.00 LT
39.0000	OPPEN INTL BD FD C	03/31/09	04/01/10	250.77	218.01	32.76 LT
40.0000	OPPEN INTL BD FD C	04/30/09	04/01/10	257.20	228.40	28.80 ST
36.0000	OPPEN INTL BD FD C	05/29/09	04/01/10	231.48	217.44	14.04 ST

Stmnt D-1
1/2

PLEASE SEE REVERSE SIDE

EMA Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1.0000	OPPEN INTL BD FD C	06/01/09	04/01/10	6.43	6.04	0.39 ST
38.0000	OPPEN INTL BD FD C	06/30/09	04/01/10	244.34	229.14	15.20 ST
1.0000	OPPEN INTL BD FD C	07/01/09	04/01/10	6.43	6.06	0.37 ST
39.0000	OPPEN INTL BD FD C	07/31/09	04/01/10	250.77	241.41	9.36 ST
35.0000	OPPEN INTL BD FD C	08/31/09	04/01/10	225.05	220.15	4.90 ST
34.0000	OPPEN INTL BD FD C	09/30/09	04/01/10	218.62	221.68	(3.06) ST
1.0000	OPPEN INTL BD FD C	10/01/09	04/01/10	6.43	6.49	(0.06) ST
37.0000	OPPEN INTL BD FD C	10/30/09	04/01/10	237.91	241.24	(3.33) ST
32.0000	OPPEN INTL BD FD C	11/30/09	04/01/10	205.76	213.44	(7.68) ST
1.0000	OPPEN INTL BD FD C	12/01/09	04/01/10	6.43	6.72	(0.29) ST
100.0000	OPPEN INTL BD FD C	12/30/09	04/01/10	643.01	637.00	6.01 ST
37.0000	OPPEN INTL BD FD C	12/30/09	04/01/10	237.91	235.69	2.22 ST
1.0000	OPPEN INTL BD FD C	12/31/09	04/01/10	6.43	6.37	0.06 ST
37.0000	OPPEN INTL BD FD C	01/29/10	04/01/10	237.92	233.84	4.08 ST
35.0000	OPPEN INTL BD FD C	02/26/10	04/01/10	225.05	222.25	2.80 ST
1.0000	OPPEN INTL BD FD C	03/01/10	04/01/10	6.45	6.36	0.09 ST
9.0000	PIMCO TOTAL RTN FD CL C	07/02/09	04/01/10	99.27	94.42	4.85 ST
6.791.0000	PIMCO TOTAL RTN FD CL C	11/16/09	04/01/10	74,904.73	74,700.99	203.74 ST

Total

244,961.78

269,367.90

(24,406.12)

PLEASE SEE REVERSE SIDE

Page 19 of 20
Statement Period Year Ending 11/30/10
Account No. 431-04040

stmt D-1
2/2

x 0001091

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
36.0000	CVS CAREMARK CORP	02/06/09	11/30/09	1,116.74	1,047.96	68.78 ST
38.0000	AMER EXPRESS COMPANY	11/12/09	01/26/10	1,478.99	1,496.06	(17.07) ST
8.0000	BOSTON PPTYS INC	11/12/09	01/06/10	547.59	515.43	32.16 ST
38.0000	CAPITAL ONE FINL	11/12/09	01/06/10	1,548.03	1,456.17	91.86 ST
27.0000	EMERSON ELEC CO	11/12/09	01/22/10	1,158.18	1,114.02	44.16 ST
15.0000	XTO ENERGY INC	11/12/09	01/06/10	718.32	641.70	76.62 ST
20.0000	XTO ENERGY INC	11/12/09	01/15/10	944.95	855.60	89.35 ST
41.0000	AT&T INC	12/12/08	02/01/10	1,041.43	1,139.59	(98.16) LT
78.0000	APPLIED MATERIAL INC	09/09/09	02/01/10	967.92	1,065.56	(97.64) ST
22.0000	AT&T INC	12/12/08	03/05/10	548.13	611.48	(63.35) LT
6.0000	GENL DYNAMICS CORP COM	10/19/09	02/26/10	432.96	412.27	20.69 ST
5.0000	NIKE INC CL B	04/23/09	03/05/10	341.58	273.47	68.11 ST
32.0000	TOTAL S.A. SP ADR	11/12/09	02/26/10	1,768.25	1,972.47	(204.22) ST
17.0000	TOTAL S.A. SP ADR	11/12/09	03/12/10	994.79	1,047.89	(53.10) ST
30.0000	VERIZON COMMUNICATNS COM	02/06/09	03/05/10	875.82	956.33	(80.51) LT
17.0000	ACE LIMITED	11/12/09	03/22/10	889.92	871.76	18.16 ST
8.0000	ANADARKO PETE CORP	11/12/09	04/21/10	575.91	498.23	77.68 ST
126.0000	BOSTON SCIENTIFIC CORP	02/06/09	04/21/10	907.87	1,189.44	(281.57) LT
64.0000	BRISTOL-MYERS SQUIBB CO	11/12/09	04/21/10	1,601.88	1,480.32	121.56 ST
22.0000	CHEVRON CORP	01/05/09	04/21/10	1,790.86	1,708.07	82.79 LT

PLEASE SEE REVERSE SIDE

Page
41 of 44

Statement Period
Year Ending 11/30/10

Account No.
431-04041

Stmnt D-2 x
1/3

00011133

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5.0000	EXXON MOBIL CORP COM	02/03/09	04/21/10	343.39	389.26	(45.87) LT
2.0000	HESS CORP	03/24/09	04/21/10	128.80	130.68	(1.88) LT
44.0000	INVESCO LTD	11/12/09	04/13/10	975.04	1,035.76	(60.72) ST
3.0000	OCCIDENTAL PETE CORP CAL	11/12/09	04/21/10	255.78	244.44	11.34 ST
18.0000	BAXTER INTERNTL INC	04/21/10	05/19/10	765.04	1,056.00	(290.96) ST
52.0000	SOUTHERN COMPANY	10/19/09	05/03/10	1,801.58	1,718.60	82.98 ST
3.0000	SOUTHERN COMPANY	10/19/09	05/04/10	103.26	99.15	4.11 ST
10.0000	ANADARKO PETE CORP	11/12/09	06/01/10	444.22	622.80	(178.58) ST
52.0000	ANADARKO PETE CORP	11/12/09	06/14/10	2,169.94	3,238.57	(1,068.63) ST
18.0000	CHEVRON CORP	01/05/09	06/21/10	1,381.67	1,397.52	(15.85) LT
10.0000	CHEVRON CORP	02/03/09	06/21/10	767.60	712.12	55.48 LT
10.0000	CHEVRON CORP	11/12/09	06/21/10	767.61	771.10	(3.49) ST
33.0000	HALLIBURTON COMPANY	10/26/09	06/11/10	802.39	1,017.95	(215.56) ST
36.0000	HALLIBURTON COMPANY	11/10/09	06/11/10	875.34	1,131.41	(256.07) ST
21.0000	HALLIBURTON COMPANY	11/10/09	06/14/10	511.30	660.00	(148.70) ST
22.0000	HALLIBURTON COMPANY	01/15/10	06/14/10	535.64	743.95	(208.31) ST
12.0000	HALLIBURTON COMPANY	03/12/10	06/14/10	292.18	375.06	(82.88) ST
5.0000	MCDONALDS CORP COM	04/09/09	06/21/10	350.84	283.77	67.07 LT
1.0000	NIKE INC CL B	04/23/09	06/11/10	72.27	54.70	17.57 LT
28.0000	NIKE INC CL B	11/12/09	06/11/10	2,023.68	1,787.80	235.88 ST
4.0000	STAPLES INC	08/25/09	06/21/10	87.94	87.85	0.09 ST
27.0000	STAPLES INC	11/12/09	06/21/10	593.62	597.44	(3.82) ST
21.0000	TRANSOCEAN LTD	11/12/09	06/01/10	1,082.97	1,827.00	(744.03) ST
5.0000	TRANSOCEAN LTD	02/26/10	06/01/10	257.85	397.06	(139.21) ST
4.0000	TRANSOCEAN LTD	03/12/10	06/01/10	342.85	342.85	(136.56) ST
30.0000	WASTE MANAGEMENT INC NEW	11/12/09	06/01/10	206.29	958.20	58.42 ST
20.0000	BEST BUY CO INC	11/12/09	06/21/10	1,016.62	835.00	(136.79) ST
15.0000	FRONTIER COMMUNICATIONS	02/06/09	07/14/10	698.21	123.53	(15.12) LT
10.0000	UNITED TECHS CORP COM	11/12/09	07/14/10	108.41	665.40	15.69 ST
9.0000	BEST BUY CO INC	11/12/09	08/24/10	681.09	375.75	(89.42) ST
4.0000	CONOCOPHILLIPS	04/21/10	08/13/10	286.33	220.83	(6.75) ST
9.0000	CATERPILLAR INC DEL	11/10/09	08/24/10	587.12	539.36	47.76 ST
54.0000	CORNING INC	02/01/10	07/28/10	950.19	980.81	(30.62) ST
15.0000	EXXON MOBIL CORP COM	02/03/09	08/13/10	903.02	1,167.80	(264.78) LT
42.0000	ERICSSON LM TEL CL B ADR	02/03/09	08/24/10	415.64	436.70	(21.06) ST
2.0000	FREEMPT-MCMRAN CPR & GLD	09/17/09	08/24/10	134.21	142.71	(8.50) ST
1.0000	FREEMPT-MCMRAN CPR & GLD	11/12/09	08/24/10	67.11	80.63	(13.52) ST
5.0000	GENL DYNAMICS CORP COM	10/19/09	08/13/10	304.96	343.57	(38.61) ST
13.0000	HESS CORP	03/24/09	08/13/10	688.79	849.44	(160.65) LT
21.0000	INTEL CORP	09/09/09	08/24/10	389.14	414.97	(25.83) ST

stmt D-2
2/3

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	PACCAR INC	10/26/09	08/24/10	286.89	278.78	8.11 ST
7.0000	UNITED TECHS CORP	11/12/09	08/13/10	495.61	465.78	29.83 ST
9.0000	BEST BUY CO INC	11/12/09	09/13/10	309.00	375.75	(66.75) ST
4.0000	MCDONALDS CORP	04/09/09	10/14/10	306.95	227.01	79.94 LT
9.0000	MCDONALDS CORP	04/23/09	10/14/10	690.64	492.17	198.47 LT
1.0000	MCDONALDS CORP	08/25/09	10/14/10	76.74	56.30	20.44 LT
23.0000	HEWLETT PACKARD CO	11/12/09	11/23/10	1,001.26	1,140.80	(139.54) LT

Total 47,348.38 51,206.74 <3858.36>

Stmnt D-2
3/3

PLEASE SEE REVERSE SIDE
Page 43 of 44
Statement Period Year Ending 11/30/10
Account No. 431-04041

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
8.0000	SHIRE PLC-ADR	07/23/07	01/20/10	491.74	610.08	(118.34) LT
9.0000	ENI S P A SPONSORED ADR	07/23/07	02/19/10	410.68	682.20	(271.52) LT
1.0000	MERCK KGAA	04/01/08	02/23/10	26.50	41.49	(14.99) LT
14.0000	MERCK KGAA	10/29/08	02/23/10	371.18	391.59	(20.41) LT
2.0000	MERCK KGAA	02/20/09	02/23/10	53.02	53.14	(0.12) LT
7.0000	TOTAL S.A. SP ADR	07/23/07	02/19/10	402.11	581.55	(179.44) LT
4.0000	BAYER AG SP ADR	07/23/07	02/26/10	264.47	302.28	(37.81) LT
46.0000	COCA COLA AMATIL SPD ADR	11/13/09	03/10/10	941.86	901.14	40.72 ST
20.0000	CRH PLC ADR	07/23/07	03/04/10	461.10	934.91	(473.81) LT
9.0000	CRH PLC ADR	01/14/08	03/04/10	207.50	303.80	(96.30) LT
36.0000	NIDEC CORPORATION ADR	11/13/09	03/10/10	898.21	763.56	134.65 ST
4.0000	SHIRE PLC-ADR	07/23/07	03/10/10	262.77	305.04	(42.27) LT
2.0000	BP PLC SPON ADR	07/23/07	04/27/10	115.25	148.05	(32.80) LT
3.0000	BP PLC SPON ADR	07/23/07	04/27/10	172.89	209.76	(36.87) LT
2.0000	BP PLC SPON ADR	02/19/08	04/27/10	115.26	133.92	(18.66) LT
8.0000	BUNZL PLC ADR	07/23/07	04/26/10	470.50	566.00	(95.50) LT
20.0000	BAE SYS PLC SPN ADR	05/28/09	04/13/10	458.91	450.89	8.02 ST
16.0000	BAE SYS PLC SPN ADR	06/04/09	04/13/10	367.14	350.52	16.62 ST
3.0000	ENI S P A SPONSORED ADR	07/23/07	04/06/10	141.52	227.40	(85.88) LT
8.0000	ENI S P A SPONSORED ADR	01/14/08	04/06/10	377.41	594.00	(216.59) LT

Stmnt D-3
1/3

PLEASE SEE REVERSE SIDE

Page 38 of 41
Statement Period Year Ending 11/30/10
Account No. 431-04042

X 00011174

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12.0000	ENI S P A SPONSORED ADR	11/13/09	04/06/10	566.12	616.56	(50.44) ST
10.0000	INFOSYS TECH LTD ADR	07/23/07	04/13/10	622.31	539.90	82.41 LT
2.0000	INFOSYS TECH LTD ADR	01/14/08	04/13/10	124.45	81.02	43.43 LT
6.0000	NESTLE S A REP RG SH ADR	01/14/08	04/06/10	301.78	270.12	31.66 LT
4.0000	NESTLE S A REP RG SH ADR	02/29/08	04/06/10	201.18	193.27	7.91 LT
21.0000	NOKIA CORP SPON ADR	10/10/08	04/22/10	269.50	322.77	(53.27) LT
2.0000	NOKIA CORP SPON ADR	10/10/08	04/27/10	24.37	30.75	(6.38) LT
19.0000	NOKIA CORP SPON ADR	10/29/08	04/27/10	231.55	307.61	(76.06) LT
20.0000	NOKIA CORP SPON ADR	01/28/10	04/27/10	243.74	279.66	(35.92) ST
23.0000	NOKIA CORP SPON ADR	02/03/10	04/27/10	280.31	330.65	(50.34) ST
4.0000	NOVO NORDISK A S ADR	01/14/08	04/08/10	317.43	261.71	55.72 LT
7.0000	BP PLC SPON ADR	02/19/08	04/29/10	368.41	468.75	(100.34) LT
9.0000	BP PLC SPON ADR	07/23/08	04/29/10	473.67	564.80	(91.13) LT
9.0000	MERCK KGAA	02/20/09	04/08/10	238.27	239.14	(0.87) LT
9.0000	MERCK KGAA	04/30/09	04/08/10	238.28	273.97	(35.69) ST
19.0000	VIMPEL COMM SP ADR	07/23/07	05/07/10	261.79	422.23	(160.44) LT
15.0000	VIMPEL COMM SP ADR	01/14/08	05/07/10	206.68	616.95	(410.27) LT
6.0000	TEVA PHARMACTCL INDS ADR	01/14/08	05/11/10	353.41	285.36	68.05 LT
3.0000	TELEFONICA SA SPAIN ADR	01/31/08	05/13/10	176.28	257.99	(81.71) LT
5.0000	TELEFONICA SA SPAIN ADR	02/19/08	05/13/10	293.81	421.70	(127.89) LT
18.0000	TALISMAN ENERGY INC COM	09/09/09	06/23/10	297.70	307.36	(9.66) ST
3.0000	INFOSYS TECH LTD ADR	01/14/08	07/13/10	177.78	121.53	56.25 LT
10.0000	SYNGENTA AG ADR	01/14/08	07/08/10	439.72	553.71	(113.99) LT
23.0000	VODAFONE GROU PLC SP ADR	06/11/08	07/01/10	479.75	695.41	(215.66) LT
17.0000	BAYERISCHE MOTORENWERKE	01/28/10	08/04/10	320.90	235.69	85.21 ST
5.0000	TOTAL S.A. SP ADR	07/23/07	08/10/10	261.51	415.41	(153.90) LT
3.0000	TOTAL S.A. SP ADR	01/14/08	08/10/10	156.91	255.15	(98.24) LT
2.0000	TELEFONICA SA SPAIN ADR	02/19/08	08/10/10	139.28	168.69	(29.41) LT
5.0000	TELEFONICA SA SPAIN ADR	03/26/08	08/10/10	348.23	438.46	(90.23) LT
2.0000	CNOOC LTD ADR	01/14/08	10/12/10	419.78	353.60	66.18 LT
1.0000	CNOOC LTD ADR	11/13/09	10/12/10	209.89	162.57	47.32 ST
7.0000	HUTCHISON WHAMPOA ADR	07/23/07	10/13/10	340.83	390.26	(49.43) LT
43.0000	PETROLEUM GEO SVS SP ADR	07/23/07	09/29/10	469.83	1,128.76	(658.93) LT
18.0000	PETROLEUM GEO SVS SP ADR	01/14/08	09/29/10	196.68	472.32	(275.64) LT
4.0000	BAYER AG SP ADR	07/23/07	10/27/10	301.75	302.29	(0.54) LT
1.0000	BAYER AG SP ADR	01/14/08	10/27/10	75.44	94.70	(19.26) LT

PLEASE SEE REVERSE SIDE

Page

39 of 41

Statement Period

Year Ending 11/30/10

Account No.

431-04042

Stmnt D-3
2/3

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4.0000	BAYER AG SP ADR	01/14/08	11/22/10	310.15	378.80	(68.65) LT
7.0000	SYNGENTA AG ADR	11/13/09	10/27/10	389.72	354.27	35.45 ST
Total					18,139.21	22,165.21
						(4,026.00)

Stmnt D-3
3/3

PLEASE SEE REVERSE SIDE
Page 40 of 41
Statement Period Year Ending 11/30/10
Account No. 431-04042

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,000.0000	BK OF AMER CORP 6 25% 2012	09/14/07	12/15/09	2,153.66	2,038.42	115.24 LT
1,000.0000	BK OF AMER CORP 6 25% 2012	10/20/08	12/15/09	1,076.83	999.40	77.43 LT
2,000.0000	FNMA PAD0205 06%2039 COR	12/21/09	01/11/10	1,919.34	1,926.08	-6.74
4,000.0000	FNMA P889299 06%2038 COR	04/07/08	01/11/10	3,451.05	3,326.09	124.96
1,000.0000	UNITEDHEALTH GROU 5 37% 16	08/02/07	02/09/10	1,069.02	970.47	98.55 LT
1,000.0000	FEDERATED DEPT ST 6 62% 11	10/14/09	03/24/10	1,046.25	1,013.15	33.10 ST
1,000.0000	FNMA P985720 05 50%2038 COR	08/13/09	03/19/10	723.51	711.54	11.97
2,000.0000	FNMA PAA8429 05%2039 COR	08/14/09	03/19/10	1,981.23	1,952.51	28.72
1,000.0000	FHLMC J1 0517 05%2024	08/21/09	03/19/10	1,035.27	1,014.11	21.16
14,000.0000	CITIBANK/DEUSTC CMO 2006	02/10/09	03/16/10	14,372.97	10,632.34	3,740.63 LT
13,000.0000	COMM CMO 2006	08/02/07	03/16/10	13,607.34	12,967.50	639.84 LT
1,000.0000	FNMA P867439 05%2036 COR	01/12/09	-03/19/10	619.41	615.73	3.68
22,000.0000	FNMA P904079 05%2036 COR	08/20/07	03/19/10	13,876.73	12,602.32	1,274.41
6,000.0000	FNMA P928040 05 50%2022 COR	08/28/07	03/19/10	2,423.57	2,259.10	164.47
18,000.0000	FNMA P899509 05 50%2037 COR	08/10/07	03/19/10	11,627.94	10,650.93	977.01
1,000.0000	FNMA P938289 05 50%2037 COR	02/10/09	03/19/10	624.98	606.34	18.64
3,000.0000	FNMA P938289 05 50%2037 COR	03/09/09	03/19/10	1,874.96	1,826.50	48.46
1,000.0000	FNMA P938289 05 50%2037 COR	04/07/09	03/19/10	624.98	615.01	9.97
4,000.0000	FNMA P938289 05 50%2037 COR	06/26/09	03/19/10	2,499.96	2,448.99	50.97

PLEASE SEE REVERSE SIDE

Page 49 of 52
Statement Period Year Ending 11/30/10
Account No. 431-04044

x 00011232

Sheet D-4
1/3

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,000.0000	FNMA P896880 05 50%2022 COR	02/24/10	03/19/10	630.33	631.98	-1.65
16,000.0000	FHLMC G1 2769 05%2022	09/25/07	03/19/10	8,199.43	7,558.04	641.39
1,000.0000	FHLMC J0 6841 05%2023	12/18/08	03/19/10	568.32	549.85	18.47
1,000.0000	FNMA P972541 06%2038 COR	09/10/09	03/19/10	653.50	649.77	3.73
1,000.0000	FNMA P968169 05 50%2038 COR	12/22/08	03/19/10	597.06	579.51	17.55
1,000.0000	FHLMC J0 7091 05%2023	02/20/08	03/19/10	776.11	727.49	48.62
1,000.0000	FNMA P948037 05 50%2022 COR	01/15/08	03/19/10	486.15	464.86	21.29
1,000.0000	FNMA P959514 05 50%2037 COR	01/29/08	03/19/10	673.58	645.03	28.55
1,000.0000	FNMA P959514 05 50%2037 COR	05/07/08	03/19/10	673.58	640.65	32.93
1,000.0000	FHLMC J0 7634 05%2023	04/17/08	03/19/10	765.72	721.02	44.70
1,000.0000	FNMA P973575 05 50%2038 COR	12/30/08	03/19/10	699.64	679.39	20.25
1,000.0000	FNMA P963081 05%2038 COR	06/19/08	03/19/10	788.55	722.16	66.39
1,000.0000	FNMA P963081 05%2038 COR	07/14/08	03/19/10	788.55	732.88	55.67
1,000.0000	FNMA P983889 05 50%2023 COR	05/20/08	03/19/10	795.83	760.23	35.60
9,000.0000	FNMA P889299 06%2038 COR	04/07/08	03/19/10	7,523.05	7,243.23	279.82
1,000.0000	FNMA P889749 05 50%2038 COR	09/08/09	03/19/10	730.50	721.43	9.07
3,000.0000	FNMA P889749 05 50%2038 COR	02/10/10	03/19/10	2,191.50	2,201.85	-10.35
3,000.0000	FHLMC G1 3206 05% 2023	06/16/09	03/19/10	1,757.00	1,709.71	47.29
1,000.0000	FHLMC J0 8266 05%2023	06/23/08	03/19/10	733.04	676.12	56.92
1,000.0000	FNMA P992161 05 50%2023 COR	10/21/09	03/19/10	423.84	402.12	21.72
3,000.0000	FNMA PAA0712 05%2039 COR	02/11/09	03/19/10	1,824.73	1,798.83	25.90
1,000.0000	FNMA PAA0712 05%2039 COR	02/25/09	03/19/10	608.24	596.77	11.47
2,000.0000	FNMA PAA0712 05%2039 COR	03/12/09	03/19/10	1,216.49	1,200.68	15.81
1,000.0000	FNMA PAA0712 05%2039 COR	04/08/09	03/19/10	608.25	605.82	2.43
2,000.0000	FNMA P930175 05 50%2038 COR	02/24/09	03/19/10	1,296.48	1,260.48	36.00
1,000.0000	FNMA PAA4434 05%2039 COR	05/27/09	03/19/10	902.27	886.89	15.38
1,000.0000	FNMA P977171 06%2038 COR	05/11/09	03/19/10	738.31	728.26	10.05
1,000.0000	FNMA P977171 06%2038 COR	05/26/09	03/19/10	738.32	726.53	11.79
2,000.0000	FNMA P995428 05 50%2023 COR	02/25/09	03/19/10	1,368.80	1,330.84	37.96
6,000.0000	FNMA P995529 05 50%2022 COR	10/27/09	03/19/10	3,984.52	3,953.12	31.40
1,000.0000	FHLMC G1 8304 05%2024	04/15/09	03/19/10	759.11	746.41	12.70
4,000.0000	US TSY 3.125% MAY 15 2019	07/17/09	04/12/10	3,808.42	3,830.02	-21.60 ST
1,000.0000	US TSY 3.125% MAY 15 2019	07/22/09	04/12/10	952.11	965.32	-13.21 ST
1,000.0000	XTO ENERGY INC 6.10% 2036	08/02/07	07/27/10	1,210.15	960.00	250.15 LT
1,000.0000	US TSY 2.000% SEP 30 2010	10/01/09	09/30/10	1,000.00	1,000.00	0.00
2,000.0000	US TSY 2.375% MAR 31 2016	04/07/09	09/10/10	2,063.44	1,985.70	77.74 LT
2,000.0000	HOME DEPOT INC 5.87% 2036	09/27/07	10/07/10	2,123.12	1,704.63	418.49 LT
3,000.0000	HOME DEPOT INC 5.87% 2036	08/07/08	10/07/10	3,184.68	2,353.44	831.24 LT

PLEASE SEE REVERSE SIDE

Page 50 of 52 Statement Period Year Ending 11/30/10 Account No. 431-04044

00011233

stmt 8-4
2/3

EMASM Fiscal Statement

DEA FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3,000.0000	CONOCOPHILLIPS 4.75% 2014	02/02/09	10/20/10	3,339.90	3,028.27	311.63 LT
<i>Total</i>						10,935.76

PLEASE SEE REVERSE SIDE
 Page 51 of 52
 Statement Period Year Ending 11/30/10
 Account No. 431-04044

X 00011234

stmt D-4
3/3