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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning DEC 1, 2009, and ending NOV 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE ARTHUR AND EILEEN NEWMAN FAMILY FOUNDATION		A Employer identification number 16-1743917
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 895 PARK AVENUE 14A		B Telephone number 212-570-1999
	City or town, state, and ZIP code NEW YORK, NY 10075		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,668,206. (Part I, column (d) must be on cash basis)			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,676,558.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	304,531.	304,531.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-425,339.			STATEMENT 1
	b Gross sales price for all assets on line 6a	5,140,844.			
	7 Capital gain net income (from Part IV, line 2)		1,856,372.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	2,555,750.	2,160,903.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes	7,750.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	2,925.	2,800.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	10,675.	2,800.		0.
	25 Contributions, gifts, grants paid	388,000.			388,000.
26 Total expenses and disbursements. Add lines 24 and 25	398,675.	2,800.		388,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	2,157,075.				
b Net investment income (if negative, enter -0-)		2,158,103.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			263,530.	1,112,265.	1,112,265.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
11 Investments - land, buildings, and equipment basis ▶						
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other		STMT 7		6,094,669.	7,401,903.	7,555,941.
14 Land, buildings, and equipment basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers)				6,358,199.	8,514,168.	8,668,206.
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)				0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			6,358,199.	8,514,168.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds			0.	0.		
30 Total net assets or fund balances			6,358,199.	8,514,168.		
31 Total liabilities and net assets/fund balances			6,358,199.	8,514,168.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,358,199.
2 Enter amount from Part I, line 27a	2	2,157,075.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	9,286.
4 Add lines 1, 2, and 3	4	8,524,560.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	10,392.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,514,168.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 5,140,844.		3,284,472.	1,856,372.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,856,372.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,856,372.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	420,392.	5,332,015.	.078843
2007	332,896.	6,111,903.	.054467
2006	453,231.	6,239,206.	.072642
2005	0.	4,547,555.	.000000
2004			

2 Total of line 1, column (d)	2	.205952
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051488
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	6,780,522.
5 Multiply line 4 by line 3	5	349,116.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,581.
7 Add lines 5 and 6	7	370,697.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	388,000.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	21,581.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,581.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,581.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	54,965.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	54,965.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	55.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	33,329.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 33,329. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>EILEEN D. NEWMAN</u> Located at ► <u>895 PARK AVENUE, APT. 14A, NEW YORK, NY</u> Telephone no. ► <u>212-570-1999</u> ZIP+4 ► <u>10075</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****X****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EILEEN D. NEWMAN	TRUSTEE			
895 PARK AVENUE, APT. 14A				
NEW YORK, NY 10075	0.00	0.	0.	0.
SONDRA NEUSCHOTZ	TRUSTEE			
895 PARK AVENUE, APT. 14A				
NEW YORK, NY 10075	0.00	0.	0.	0.
ALLISON NEWMAN	TRUSTEE			
895 PARK AVENUE, APT. 14A				
NEW YORK, NY 10075	0.00	0.	0.	0.
DAVID NEWMAN	TRUSTEE			
895 PARK AVENUE, APT. 14A				
NEW YORK, NY 10075	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
---	---

Total. Add lines 1 through 3	0.
-------------------------------------	----

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,462,363.
b	Average of monthly cash balances	1b	421,416.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,883,779.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,883,779.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	103,257.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,780,522.
6	Minimum investment return. Enter 5% of line 5	6	339,026.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	339,026.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	21,581.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,581.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	317,445.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	317,445.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	317,445.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	388,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	388,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,581.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	366,419.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				317,445.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006	27,600.			
d From 2007	55,509.			
e From 2008	159,007.			
f Total of lines 3a through e	242,116.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 388,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				317,445.
e Remaining amount distributed out of corpus	70,555.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	312,671.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	312,671.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	27,600.			
c Excess from 2007	55,509.			
d Excess from 2008	159,007.			
e Excess from 2009	70,555.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.**

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

EILEEN D. NEWMAN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

EILEEN D. NEWMAN, 212-570-1999

895 PARK AVENUE, APT. 14A, NEW YORK, NY 10075

- b** The form in which applications should be submitted and information and materials they should include:

LETTER

- c Any submission deadlines:**

NONE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Total	▶ 3b	0.
-------	------	----

Part XVIII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
Sign Here	Signature of officer or trustee <u><i>Eileen Neuman</i></u>		Date <u><i>1-28-11</i></u>
	Title <u>TRUSTEE</u>		
Paid Preparer's Use Only	Preparer's signature <u><i>Jeffrey S. Richman</i></u>	Date <u><i>1/21/11</i></u>	Check if self-employed <input checked="" type="checkbox"/>
	Firm's name (or yours if self-employed), address, and ZIP code <u>JEFFREY S. RICHMAN, CPA</u> <u>315 EAST 80TH STREET, STE 3G</u> <u>NEW YORK, NY 10075-0656</u>	EIN <u>13-1584300</u>	Phone no. <u>212-691-1000</u>

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

2009

Name of the organization

THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION

Employer identification number

16-1743917

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION

Employer identification number

16-1743917

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ARTHUR B. NEWMAN 895 PARK AVENUE, APT. 14A NEW YORK, NY 10075	\$ 2,676,558.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

Part II **Noncash Property** (see instructions)[illegible]

Name of organization

Employer identification number

THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION

16-1743917

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

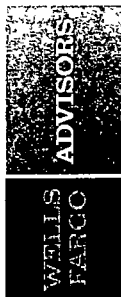
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	200K FHLB 4.375 DEB	P	04/25/06	03/17/10
b	28,982.756 SHS SIMON PROPERTY GROUP	D	VARIOUS	08/16/10
c	225K DEUTSCHE TELEKOM STEP % DEB	P	04/27/06	06/15/10
d	150K BELLSOUTH 7.875 DEB	P	12/10/07	11/16/10
e	150K DOW CHEM 7.375 DEB	P	12/12/07	11/16/10
f	110K JPMORGAN CHASE 6.55 DEB	P	07/03/08	11/16/10
g	175K WALGREEN 5.25 DEB	P	08/18/10	11/16/10
h	SEE ATTACHED (SHORT-TERM)	P	VARIOUS	VARIOUS
i	SEE ATTACHED (LONG-TERM)	P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 200,000.		195,927.	4,073.
b 2,610,291.		394,847.	2,215,444.
c 225,000.		246,335.	-21,335.
d 176,338.		177,375.	-1,037.
e 171,817.		167,929.	3,888.
f 103,685.		97,719.	5,966.
g 196,287.		206,091.	-9,804.
h 11,325.		11,001.	324.
i 1,446,101.		1,787,248.	-341,147.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,073.
b			2,215,444.
c			-21,335.
d			-1,037.
e			3,888.
f			5,966.
g			-9,804.
h			324.
i			-341,147.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,856,372.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A



THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION
ARTHUR B NEWMAN TTEE
U/A DTD 11/15/2005
MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	338.88	- 15.35	323.53	338.88	- 15.35	323.53
Long term	66,969.56	- 408,116.57	-341,147.01	66,969.56	- 408,116.57	-341,147.01
Total Realized Gain/Loss	\$ 67,308.44	-\$ 408,131.92	-\$340,823.48	\$ 67,308.44	-\$ 408,131.92	-\$340,823.48

Realized Gain/Loss Detail

Short term

SHORT TERM	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	ALLIANCE BERNSTEIN SMALL CAP VALUE ADV CL	34.7560	14.2800	12/28/09	03/01/10	520.77	496.32	24.45
	FIDELITY ADVISOR SER I LEVERAGED CO STOCK FD INSTL CL	16.6670	26.1500	09/15/09	03/01/10	478.84	435.85	42.99
		0.8700	26.1500	09/15/09	03/01/10	24.99	22.74	2.25
		5.4530	27.2300	12/14/09	03/01/10	156.67	148.49	8.18
	HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I	159.7000	14.4800	12/16/09	03/01/10	2,374.70	2,312.45	62.25
	OPPENHEIMER DEVL MKTS MARKETS FUNDS CL A	20.7160	28.5300	12/09/09	03/01/10	575.69	591.04	- 15.35
	OPPENHEIMER GLOBAL OPPORTUNITIES FD CL A	205.9400	25.7600	12/14/09	03/01/10	5,469.68	5,305.02	164.66
	PRUDENTIAL JENNISON SMALL COMPANY FUND INC CL Z	20.3660	15.9000	11/20/09	03/01/10	348.26	323.82	24.44
	PRUDENTIAL JENNISON NATURAL RESOURCES FD CL Z	30.3550	44.9800	11/25/09	03/01/10	1,375.03	1,365.37	9.66
	Total Short term					\$ 11,324.63	\$ 11,001.10	\$323.53

THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION
ARTHUR B NEWMAN TTEE
U/A DTD 11/15/2005
MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized Gain/Loss Detail continued

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
ALLIANCE BERNSTEIN SMALL CAP VALUE ADV CL	4,937.0000	0.0000	04/21/06	03/01/10	73,972.67	85,903.80	- 11,931.13
	45.0000	0.0000	12/19/06	03/01/10	674.24	744.30	- 70.06
	522.0000	0.0000	12/19/06	03/01/10	7,821.29	8,633.88	- 812.59
	54.0000	0.0000	12/19/06	03/01/10	809.10	893.16	- 84.06
	1.0000	0.0000	12/20/06	03/01/10	14.98	16.48	- 1.50
	460.0000	0.0000	10/11/07	03/01/10	6,892.33	8,418.00	- 1,525.67
	1.0000	0.0000	10/12/07	03/01/10	14.98	18.34	- 3.36
	130.0000	0.0000	12/26/07	03/01/10	1,947.83	2,043.60	- 95.77
	430.0000	0.0000	12/26/07	03/01/10	6,442.84	6,759.60	- 316.76
	33.0000	0.0000	12/26/07	03/01/10	494.45	518.76	- 24.31
	1.0000	0.0000	12/27/07	03/01/10	14.98	15.55	- 0.57
	93.0000	0.0000	12/26/08	03/01/10	1,393.46	874.20	519.26
FIDELITY ADVISOR SER I LEVERAGED CO STOCK FD INSTL CL	3,120.0000	0.0000	04/21/06	03/01/10	89,633.50	101,181.62	- 11,548.12
	46.0000	0.0000	10/18/06	03/01/10	1,321.52	1,459.12	- 137.60
	1.0000	0.0000	10/19/06	03/01/10	28.72	31.90	- 3.18
	17.0000	0.0000	12/18/06	03/01/10	488.38	574.94	- 86.56
	11.0000	0.0000	12/18/06	03/01/10	316.01	372.02	- 56.01
	1.0000	0.0000	12/19/06	03/01/10	28.72	33.49	- 4.77
	9.0000	0.0000	12/17/07	03/01/10	258.55	343.53	- 84.98
	48.0000	0.0000	12/17/07	03/01/10	1,378.98	1,832.16	- 453.18
	25.0000	0.0000	12/17/07	03/01/10	718.21	954.25	- 236.04
	1.0000	0.0000	12/18/07	03/01/10	28.72	37.82	- 9.10
	1.0000	0.0000	01/02/08	03/01/10	28.72	38.35	- 9.63
	19.0000	0.0000	01/14/08	03/01/10	545.84	672.60	- 126.76
	8.0000	0.0000	01/14/08	03/01/10	229.83	283.20	- 53.37
	1.0000	0.0000	01/15/08	03/01/10	28.72	35.03	- 6.31
	449.0000	0.0000	10/06/08	03/01/10	12,899.25	10,479.66	2,419.59
	29.0000	0.0000	12/15/08	03/01/10	833.13	484.30	348.83
	1.0000	0.0000	12/16/08	03/01/10	28.72	17.31	11.41
	3.0000	0.0000	12/31/08	03/01/10	86.18	51.18	35.00
HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I	8,259.0000	0.0000	04/21/06	03/01/10	122,808.48	215,807.69	- 92,999.21
	30.0000	0.0000	12/20/06	03/01/10	446.08	832.20	- 386.12





THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION
ARTHUR B NEWMAN TTEE
U/A DTD 11/15/2005
MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized Gain/Loss Detail continued

Long term

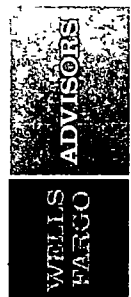
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
HARTFORD MUT FDS INC CAP APPREC FD CL A	222.0000	0.0000	12/20/06	03/01/10	3,301.06	6,158.28	-2,857.22
	92.0000	0.0000	12/20/06	03/01/10	1,368.00	2,552.08	-1,184.08
	1.0000	0.0000	12/21/06	03/01/10	14.86	27.71	-12.85
	528.0000	0.0000	04/16/07	03/01/10	7,851.18	15,048.00	-7,196.82
	1.0000	0.0000	04/17/07	03/01/10	14.86	28.54	-13.68
	857.0000	0.0000	10/11/07	03/01/10	12,743.30	24,244.52	-11,501.22
	1.0000	0.0000	10/12/07	03/01/10	14.86	28.36	-13.50
	30.0000	0.0000	12/14/07	03/01/10	446.08	657.60	-211.52
	805.0000	0.0000	12/14/07	03/01/10	11,970.08	17,645.60	-5,675.52
	236.0000	0.0000	12/14/07	03/01/10	3,509.24	5,173.12	-1,663.88
	1.0000	0.0000	12/17/07	03/01/10	14.86	21.32	-6.46
	1,664.0000	0.0000	04/08/08	03/01/10	24,743.13	33,113.59	-8,370.46
	1.0000	0.0000	04/09/08	03/01/10	14.86	19.63	-4.77
	501.0000	0.0000	10/06/08	03/01/10	7,449.70	7,039.04	410.66
	568.0000	0.0000	12/16/08	03/01/10	8,445.98	5,645.92	2,800.06
	541.0000	0.0000	12/16/08	03/01/10	8,044.50	5,377.54	2,666.96
	1.0000	0.0000	12/17/08	03/01/10	14.86	10.56	4.30
	5,570.0000	0.0000	04/21/06	03/01/10	169,769.79	216,951.51	-47,181.72
	175.0000	0.0000	11/15/06	03/01/10	5,333.88	6,454.00	-1,120.12
	311.0000	0.0000	11/15/06	03/01/10	9,479.06	11,469.68	-1,990.62
	21.0000	0.0000	12/26/06	03/01/10	640.06	796.95	-156.89
OPPENHEIMER DEVL MKTS MARKET'S FUNDS CL A	1.0000	0.0000	12/27/06	03/01/10	30.47	38.17	-7.70
	129.0000	0.0000	04/16/07	03/01/10	3,931.83	5,101.94	-1,170.11
	151.0000	0.0000	11/19/07	03/01/10	4,602.38	6,097.38	-1,495.00
	421.0000	0.0000	11/19/07	03/01/10	12,831.80	16,999.98	-4,168.18
	1.0000	0.0000	11/20/07	03/01/10	30.47	39.24	-8.77
	22.0000	0.0000	04/08/08	03/01/10	670.54	828.74	-158.20
	1.0000	0.0000	04/09/08	03/01/10	30.47	37.48	-7.01
	413.0000	0.0000	10/06/08	03/01/10	12,587.99	10,680.18	1,907.81
	109.0000	0.0000	12/24/08	03/01/10	3,322.26	2,259.57	1,062.69
	2,113.0000	0.0000	04/21/06	03/01/10	58,718.20	89,887.03	-31,168.83
	172.0000	0.0000	10/18/06	03/01/10	4,779.71	6,898.91	-2,119.20
	1.0000	0.0000	10/19/06	03/01/10	27.78	40.28	-12.50
	64.0000	0.0000	12/11/06	03/01/10	1,778.49	2,565.76	-787.27
	213.0000	0.0000	12/11/06	03/01/10	5,919.06	8,539.17	-2,620.11

THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION
ARTHUR B NEWMAN TTEE
U/A DTD 11/15/2005
MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized Gain/Loss Detail continued

Long term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		38.0000	0.0000	12/11/06	03/01/10	1,055.98	1,523.42	- 467.44
		1.0000	0.0000	12/12/06	03/01/10	27.78	39.47	- 11.69
		59.0000	0.0000	12/11/07	03/01/10	1,639.55	2,942.92	- 1,303.37
		272.0000	0.0000	12/11/07	03/01/10	7,558.61	13,567.36	- 6,008.75
		23.0000	0.0000	12/11/07	03/01/10	639.14	1,147.24	- 508.10
		1.0000	0.0000	12/12/07	03/01/10	27.78	49.47	- 21.69
		181.0000	0.0000	10/06/08	03/01/10	5,029.81	5,406.47	- 376.66
		58.0000	0.0000	10/06/08	03/01/10	1,611.76	1,732.46	- 120.70
		65.0000	0.0000	12/09/08	03/01/10	1,806.28	976.30	829.98
		1,705.0000	0.0000	12/09/08	03/01/10	47,380.34	25,609.10	21,771.24
		118.0000	0.0000	12/09/08	03/01/10	3,279.11	1,772.36	1,506.75
		2.0000	0.0000	12/10/08	03/01/10	55.57	31.06	24.51
		4,266.0000	0.0000	04/21/06	03/01/10	113,302.43	179,214.66	- 65,912.23
		86.0000	0.0000	10/18/06	03/01/10	2,284.10	3,534.60	- 1,250.50
		1.0000	0.0000	10/19/06	03/01/10	26.55	40.74	- 14.19
		354.0000	0.0000	12/05/06	03/01/10	9,402.03	13,076.76	- 3,674.73
		261.0000	0.0000	12/05/06	03/01/10	6,932.00	9,641.34	- 2,709.34
		14.0000	0.0000	12/05/06	03/01/10	371.83	517.16	- 145.33
		1.0000	0.0000	12/06/06	03/01/10	26.55	37.21	- 10.66
		314.0000	0.0000	04/16/07	03/01/10	8,339.65	11,850.35	- 3,510.70
		314.0000	0.0000	12/05/07	03/01/10	8,339.65	10,330.60	- 1,990.95
		353.0000	0.0000	12/05/07	03/01/10	9,375.47	11,613.70	- 2,238.23
		23.0000	0.0000	12/05/07	03/01/10	610.86	756.70	- 145.84
		1.0000	0.0000	12/06/07	03/01/10	26.55	34.18	- 7.63
		509.0000	0.0000	04/08/08	03/01/10	13,518.75	15,753.54	- 2,234.79
		173.0000	0.0000	10/06/08	03/01/10	4,594.78	3,818.10	776.68
		8.0000	0.0000	10/06/08	03/01/10	212.47	176.56	35.91
		6.0000	0.0000	10/06/08	03/01/10	159.35	132.42	26.93
		1.0000	0.0000	10/07/08	03/01/10	26.55	21.03	5.52
		1.0000	0.0000	10/07/08	03/01/10	26.55	21.03	5.52
		75.0000	0.0000	12/12/08	03/01/10	1,991.95	1,096.50	895.45
		1,396.0000	0.0000	12/12/08	03/01/10	37,077.00	20,409.52	16,667.48
		68.0000	0.0000	12/12/08	03/01/10	1,806.04	994.16	811.88
		1.0000	0.0000	12/15/08	03/01/10	26.55	14.68	11.87
		1.0000	0.0000	12/15/08	03/01/10	26.55	14.68	11.87





THE ARTHUR AND EILEEN NEWMAN
FAMILY FOUNDATION
ARTHUR B NEWMAN TTEE
U/A DTD 11/15/2005
MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized Gain/Loss Detail continued

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
PRUDENTIAL JENNISON 20/20 FOCUS FUND CL Z	13,762.0000	0.0000	04/21/06	03/01/10	203,948.85	227,623.53	- 23,674.68
	679.0000	0.0000	07/14/06	03/01/10	10,062.58	9,831.92	230.66
	53.0000	0.0000	07/14/06	03/01/10	785.44	767.44	18.00
	1.0000	0.0000	07/17/06	03/01/10	14.81	14.35	0.46
	426.0000	0.0000	12/08/06	03/01/10	6,313.19	6,982.14	- 668.95
	255.0000	0.0000	04/16/07	03/01/10	3,779.02	4,403.84	- 624.82
	298.0000	0.0000	05/11/07	03/01/10	4,416.27	4,881.24	- 464.97
	780.0000	0.0000	05/11/07	03/01/10	11,559.38	12,776.40	- 1,217.02
	1.0000	0.0000	05/14/07	03/01/10	14.81	16.49	- 1.68
	94.0000	0.0000	10/11/07	03/01/10	1,393.05	1,658.15	- 265.10
	1.0000	0.0000	10/12/07	03/01/10	14.81	17.79	- 2.98
	364.0000	0.0000	11/20/07	03/01/10	5,394.39	5,773.04	- 378.65
	534.0000	0.0000	11/20/07	03/01/10	7,913.76	8,469.24	- 555.48
	6.0000	0.0000	05/16/08	03/01/10	88.92	100.86	- 11.94
	1.0000	0.0000	05/16/08	03/01/10	14.82	16.81	- 1.99
	1.0000	0.0000	05/19/08	03/01/10	14.82	16.71	- 1.89
PRUDENTIAL JENNISON SMALL COMPANY FUND INC CL Z	4,556.0000	0.0000	04/21/06	03/01/10	77,903.82	99,867.52	- 21,963.70
	119.0000	0.0000	10/18/06	03/01/10	2,034.80	2,528.74	- 493.94
	86.0000	0.0000	11/22/06	03/01/10	1,470.52	1,811.16	- 340.64
	179.0000	0.0000	11/22/06	03/01/10	3,060.75	3,769.74	- 708.99
	1.0000	0.0000	11/24/06	03/01/10	17.09	21.12	- 4.03
	65.0000	0.0000	04/16/07	03/01/10	1,111.44	1,458.59	- 347.15
	62.0000	0.0000	10/11/07	03/01/10	1,060.15	1,489.85	- 429.70
	97.0000	0.0000	11/20/07	03/01/10	1,658.62	2,085.50	- 426.88
	111.0000	0.0000	11/20/07	03/01/10	1,898.01	2,386.50	- 488.49
	24.0000	0.0000	11/20/07	03/01/10	410.38	516.00	- 105.62
	2.0000	0.0000	11/21/07	03/01/10	34.19	42.50	- 8.31
	1.0000	0.0000	11/21/07	03/01/10	17.09	21.25	- 4.16
	162.0000	0.0000	04/08/08	03/01/10	2,770.07	3,324.24	- 554.17
	8.0000	0.0000	11/25/08	03/01/10	136.79	84.80	51.99
	494.0000	0.0000	11/25/08	03/01/10	8,447.03	5,236.40	3,210.63
	50.0000	0.0000	11/25/08	03/01/10	854.96	530.00	324.96
	2.0000	0.0000	11/26/08	03/01/10	34.19	22.32	11.87

THE ARTHUR AND EILEEN NEWMAN
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MARCH 1 - MARCH 31, 2010
ACCOUNT NUMBER: 5056-6769

Realized Gain/Loss Detail continued

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
PRUDENTIAL JENNISON NATURAL RESOURCES FD CL Z	754.0000	0.0000	04/21/06	03/01/10	34,154.14	39,841.37	- 5,687.23
	193.0000	0.0000	10/18/06	03/01/10	8,742.37	8,891.51	- 149.14
	1.0000	0.0000	10/19/06	03/01/10	45.29	47.31	- 2.02
	58.0000	0.0000	12/06/06	03/01/10	2,627.24	2,737.60	- 110.36
	114.0000	0.0000	12/06/06	03/01/10	5,163.89	5,380.80	- 216.91
	17.0000	0.0000	12/06/06	03/01/10	770.05	802.40	- 32.35
	1.0000	0.0000	12/07/06	03/01/10	45.29	46.89	- 1.60
	22.0000	0.0000	11/29/07	03/01/10	996.54	1,272.70	- 276.16
	40.0000	0.0000	11/29/07	03/01/10	1,811.89	2,314.00	- 502.11
	61.0000	0.0000	11/29/07	03/01/10	2,763.13	3,528.85	- 765.72
	1.0000	0.0000	11/30/07	03/01/10	45.29	57.88	- 12.59
	419.0000	0.0000	10/06/08	03/01/10	18,979.58	14,333.99	4,645.59
	1.0000	0.0000	10/07/08	03/01/10	45.29	31.82	13.47
	13.0000	0.0000	12/04/08	03/01/10	588.86	283.01	305.85
	53.0000	0.0000	12/04/08	03/01/10	2,400.76	1,153.81	1,246.95
	55.0000	0.0000	12/04/08	03/01/10	2,491.36	1,197.35	1,294.01
	1.0000	0.0000	12/05/08	03/01/10	45.29	21.81	23.48
	1.0000	0.0000	12/05/08	03/01/10	45.29	21.81	23.48
Total Long term					\$ 1,446,101.35	\$ 1,787,248.36	- \$341,147.01



FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
200K FHLB 4.375 DEB	PURCHASED	04/25/06	03/17/10

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200,000.	195,927.	0.	0.	4,073.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
28,982.756 SHS SIMON PROPERTY GROUP	DONATED	VARIOUS	08/16/10

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,610,291.	2,676,558.	0.	0.	-66,267.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
225K DEUTSCHE TELEKOM STEP % DEB	PURCHASED	04/27/06	06/15/10

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
225,000.	246,335.	0.	0.	-21,335.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
150K BELLSOUTH 7.875 DEB	176,338.	177,375.	0.	0.	-1,037.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
150K DOW CHEM 7.375 DEB	171,817.	167,929.	0.	0.	3,888.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
110K JPMORGAN CHASE 6.55 DEB	103,685.	97,719.	0.	0.	5,966.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
175K WALGREEN 5.25 DEB	196,287.	206,091.	0.	0.	-9,804.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
SEE ATTACHED (SHORT-TERM)						
	11,325.	11,001.	0.		0.	324.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
SEE ATTACHED (LONG-TERM)						
	1,446,101.	1,787,248.	0.		0.	-341,147.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	-425,339.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WELLS FARGO (6769)	89,261.	0.	89,261.
WELLS FARGO (6769) - ACC'D INT PAID	-22,686.	0.	-22,686.
WELLS FARGO (6905)	248,360.	0.	248,360.
WELLS FARGO (6905) - ACC'D INT PAID	-18,207.	0.	-18,207.
WELLS FARGO (6905) - ACC'D INT REC'D	7,803.	0.	7,803.
TOTAL TO FM 990-PF, PART I, LN 4	304,531.	0.	304,531.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	250.	0.		0.
FEDERAL TAXES	7,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,750.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	2,800.	2,800.		0.
PUBLISHING FEE	125.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,925.	2,800.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
PRIOR ACCRUED INTEREST PAID - UTILIZED IN CURRENT YEAR	9,286.
TOTAL TO FORM 990-PF, PART III, LINE 3	9,286.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
ACCRUED INTEREST PAID - NOT YET UTILIZED	10,392.
TOTAL TO FORM 990-PF, PART III, LINE 5	10,392.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BONDS	COST	7,084,219.	7,217,356.
MUTUAL FUNDS	COST	317,684.	338,585.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,401,903.	7,555,941.

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 8

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
92ND STREET Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	DONATION	EXEMPT	18,000.
AMERICAN JEWISH COMMITTEE POB 705 NEW YORK, NY 10150	DONATION	EXEMPT	60,000.
INMOTION 70 WEST 36TH ST - STE 903 NEW YORK, NY 10018	DONATION	EXEMPT	25,000.
MANHATTAN INSTITUTE 52 VANDERBUILT AVENUE NEW YORK, NY 10017	DONATION	EXEMPT	30,000.
NATIONAL FOUNDATION FOR FACIAL RECONSTRUCTION 317 EAST 34TH ST NEW YORK, NY 10016	DONATION	EXEMPT	60,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	DONATION	EXEMPT	50,000.

THE ARTHUR AND EILEEN NEWMAN FAMILY FOUN		16-1743917
POLICE ATHLETIC LEAGUE 34.5 EAST 12TH STREET NEW YORK, DONATION NY 10003	EXEMPT	10,000.
ROSIE'S BROADWAY KIDS 445 WEST 45TH STREET NEW YORK, DONATION NY 10036	EXEMPT	25,000.
THE HEWITT SCHOOL 45 EAST 75TH STREET NEW YORK, DONATION NY 10021	EXEMPT	5,000.
TINA L BROZMAN FOUNDATION 150 FEDERAL STREET BOSTON, MA DONATION 02110	EXEMPT	30,000.
UNIVERSITY AT ALBANY ALUMNI ASSOCIATION DONATION 1400 WASHINGTON AVENUE ALBANY, NY 12222	EXEMPT	5,000.
LINCOLN CENTER BUSINESS COUNCIL 70 LINCOLN CTR PLAZA NEW YORK, DONATION NY 10023	EXEMPT	10,000.
NOLS ANNUAL FUND 284 LINCOLN STREET LANDER, WY DONATION 82520	EXEMPT	20,000.
AUTISM FAMILY FOUNDATION 3557 COMMERCIAL DRIVE AKRON, OH DONATION 44333	EXEMPT	5,000.
SUMMER SEARCH 90 BROAD STREET, STE 202 NEW DONATION YORK, NY 10004	EXEMPT	10,000.
TOURETTE SYNDROME ASSOCIATION 42-40 BELL BLVD, STE 205 DONATION BAYSIDE, NY 11361	EXEMPT	25,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A		388,000.