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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

(77)

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

12/1/2009

, and ending

11/30/2010

Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Use the IRS label
Otherwise, print or type.
See Specific Instructions.

Name of foundation

THE GRIFFITH FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

BNY Mellon, N A - P O Box 185

Room/suite

City or town, state, and ZIP code

Pittsburgh

PA

15230-0185

A Employer identification number

13-7436765

B Telephone number (see page 10 of the instructions)

(212)848-2061

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,445,685

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	0	0		
4 Dividends and interest from securities	108,734	108,734		
5 a Gross rents				
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	62,457			
b Gross sales price for all assets on line 6a	946,393			
7 Capital gain net income (from Part IV, line 2)		62,457		
8 Net short-term capital gain			0	
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0			
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	0	0	0	
12 Total. Add lines 1 through 11	171,191	171,191	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	0	0	0	0
b Accounting fees (attach schedule)	0	0	0	0
c Other professional fees (attach schedule)	1,280	0	0	1,280
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	349	349	0	0
19 Depreciation (attach schedule) and depletion	0	0	0	
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	26,752	26,752	0	0
24 Total operating and administrative expenses. Add lines 13 through 23	28,381	27,101	0	1,280
25 Contributions, gifts, grants paid	185,000			185,000
26 Total expenses and disbursements Add lines 24 and 25	213,381	27,101	0	186,280
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-42,190			
b Net investment income (if negative, enter -0-)		144,090		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2009)

(HTA)

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Form 990-PF (2009) THE GRIFFITH FOUNDATION

13-7436765

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	191,300	14,300	14,300		
	2	Savings and temporary cash investments	48,691	33,208	33,208		
	3	Accounts receivable ▶	0				
		Less allowance for doubtful accounts ▶	0	0	0		
	4	Pledges receivable ▶	0				
		Less allowance for doubtful accounts ▶	0	0	0		
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0		
	7	Other notes and loans receivable (attach schedule) ▶	0				
		Less allowance for doubtful accounts ▶	0	0	0		
	8	Inventories for sale or use		0			
	9	Prepaid expenses and deferred charges		0			
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0		
	b	Investments—corporate stock (attach schedule)	2,885,201	1,565,512	2,019,674		
	c	Investments—corporate bonds (attach schedule)	0	1,289,982	1,378,503		
	Liabilities	11	Investments—land, buildings, and equipment basis ▶	0			
		Less accumulated depreciation (attach schedule) ▶	0	0	0		
12		Investments—mortgage loans					
13		Investments—other (attach schedule)	0	0	0		
14		Land, buildings, and equipment basis ▶	0				
		Less accumulated depreciation (attach schedule) ▶	0	0	0		
15		Other assets (describe ▶)	0	0	0		
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,945,192	2,903,002	3,445,685		
17		Accounts payable and accrued expenses		0			
18		Grants payable					
Net Assets or Fund Balances	19	Deferred revenue		0			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0			
	21	Mortgages and other notes payable (attach schedule)	0	0			
	22	Other liabilities (describe ▶)	0	0			
	23	Total liabilities (add lines 17 through 22)	0	0			
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐					
	24	Unrestricted					
25	Temporarily restricted						
26	Permanently restricted						
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒						
27	Capital stock, trust principal, or current funds	2,945,192	2,903,002				
28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see page 17 of the instructions)	2,945,192	2,903,002				
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,945,192	2,903,002				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,945,192
2	Enter amount from Part I, line 27a	2	-42,190
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,903,002
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,903,002

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	62,457
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	188,910	3,211,811	0.058817
2007	206,373	33,573,296	0.006147
2006	36,060	3,713,942	0.009709
2005	21,780	1,696,374	0.012839
2004	200	235,223	0.000850

2 Total of line 1, column (d)	2	0.088362
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.017672
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,335,539
5 Multiply line 4 by line 3	5	58,946
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,441
7 Add lines 5 and 6	7	60,387
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	186,280

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	1,441
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,441
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,441
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,952
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,952
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,511
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 1,441 Refunded ☐	11	1,070

Part VII-A Statements Regarding Activities

1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1b			X
c	Did the foundation file Form 1120-POL for this year?		X
1c			X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ NY, REG# 21-54-04		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ BNY Mellon, N A	Telephone no ▶ (212)848-2061		
Located at ▶ P O Box 185 Pittsburgh PA		ZIP+4 ▶ 15230-0185		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes	☒ No
If "Yes," list the years ▶ 20 _____, 20 _____, 20 _____, 20 _____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b**

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000☐

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	NONE

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3	0
Total. Add lines 1 through 3	▶ NONE

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,314,109
b	Average of monthly cash balances	1b	72,225
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,386,334
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,386,334
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	50,795
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,335,539
6	Minimum investment return. Enter 5% of line 5	6	166,777

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	166,777
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,441
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,441
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	165,336
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	165,336
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	165,336

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	186,280
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	186,280
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,441
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	184,839

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				165,336
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			87,261	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	NONE			
b From 2005	NONE			
c From 2006	NONE			
d From 2007	NONE			
e From 2008	NONE			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 186,280				
a Applied to 2008, but not more than line 2a			87,261	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				99,019
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				66,317
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	0			
d Excess from 2008	0			
e Excess from 2009	0			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling



b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
0				0
0	0	0	0	0
0				0
				0
0	0	0	0	0
0	0	0	0	0
				0
0				0
				0
				0
				0
				0

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	185,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1	Program service revenue					
a			0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
f			0		0	0
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	108,734	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	62,457	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a		0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
12	Subtotal. Add columns (b), (d), and (e)		0		171,191	0
13	Total. Add line 12, columns (b), (d), and (e)				13	171,191

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Line 16c (990-PF) - Other Fees

		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREPARATION FEES	1,280			1,280
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

		349	349	349	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
1	Real estate tax not included in line 20					
2	FOREIGN TAX PAID	349	349			
3						
4						
5						
6						
7						
8						
9						
10						

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	NY AG FEES	250	250		
3	ADR FEES	20	20		
4	INVESTMENT FEES	26,482	26,482		
5					
6					
7					
8					
9					
10					

Part II, Line 3 (990-PF) - Accounts Receivable

			Accounts Receivable	Allowance for Doubtful Accounts	Fair Market Value
1	2008 6 CKS OUTSTANDING	Beginning	1		
2		Ending	2		
3		Beginning	3	0	
4		Ending	4		
5		Beginning	5		
6		Ending	6		
7		Beginning	7		
8		Ending	8		
9		Beginning	9		
10		Ending	10		
11	Total beginning year amounts	Ending	11	-180,000	
12	Total end of year amounts		12	0	
13	Total fair market value		13	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	3,952
2 First quarter estimated tax payment	2	0
3 Second quarter estimated tax payment	3	0
4 Third quarter estimated tax payment	4	0
5 Fourth quarter estimated tax payment	5	0
6 Other payments	6	0
7 Total	7	3,952

THE GRIFFITH FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name LAFAYETTE COLLEGE				☐ Person	☒ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status PUBLIC			
Purpose of grant/contribution GENERAL/OPERATIONAL					Amount 100,000

Name QUEEN ANNA'S CONSERVATION FUND				☐ Person	☒ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status PUBLIC			
Purpose of grant/contribution GENERAL/OPERATIONAL					Amount 20,000

Name CHESAPEAKE BAY MARITIME MUSEUM				☐ Person	☒ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status PUBLIC			
Purpose of grant/contribution GENERAL/OPERATIONAL					Amount 40,000

Name THE ALS ASSOCIATION				☐ Person	☒ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status PUBLIC			
Purpose of grant/contribution GENERAL/OPERATIONAL					Amount 25,000

Name				☐ Person	☐ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status			
Purpose of grant/contribution					Amount 0

Name				☐ Person	☐ Business
Street					
City		State	Zip code	Foreign Country	
Relationship		Foundation Status			
Purpose of grant/contribution					Amount 0



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Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return.

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
GILEAD SCIENCES INC	375558103	230	01/27/09	12/03/09	\$10,676.32	\$11,053.34	\$-377.02
MERCK & CO INC	58933Y105	0.33	03/13/09	12/08/09	\$12.11	\$9.05	\$3.06
STATE ST CORP COM	857477103	270	05/21/09	12/10/09	\$10,970.12	\$11,476.03	\$-505.91
AOL INC	00184X105	0.27	01/09/09	12/29/09	\$6.29	\$4.76	\$1.53
Total short term gain/loss: Report on Form 1040, Schedule D, line 1, Column d, e and f					\$21,664.84	\$22,543.18	\$-878.34

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	159.1	08/08/07	12/15/09	\$159.10	\$159.07	\$0.03
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	808.38	05/11/07	12/15/09	\$808.38	\$809.58	\$-1.20
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	322.38	03/12/07	12/15/09	\$322.38	\$329.03	\$-6.65
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	483.57	12/13/06	12/15/09	\$483.57	\$494.00	\$-10.43



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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	132 87	10/17/06	12/15/09	\$132 87	\$134 64	\$-1 77
FED'L HOME LOAN MTGE CORP GRP # G1196	3128M1C23	498 52	12/14/06	12/15/09	\$498 52	\$501 98	\$-3 46
FED'L HOME LOAN MTGE CORP GRP # G080	3128MJAD2	302 7	10/16/06	12/15/09	\$302 70	\$303 74	\$-1 04
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	234 69	01/11/08	12/15/09	\$234 69	\$239 49	\$-4 80
FISHER SCIENTIFIC INTL INC	338032AZ8	5000	03/06/07	12/17/09	\$5,168 75	\$5,214 05	\$-45 30
FISHER SCIENTIFIC INTL INC	338032AZ8	5000	10/17/06	12/17/09	\$5,168 75	\$5,100 00	\$68 75
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	747 48	10/09/07	12/25/09	\$747 48	\$732 88	\$14 60
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	288 76	03/09/07	12/25/09	\$288 76	\$293 84	\$-5 08
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	433 14	07/18/08	12/25/09	\$433 14	\$433 28	\$-0 14
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	233 51	10/20/06	12/25/09	\$233 51	\$234 67	\$-1 16
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	136 69	10/10/07	12/25/09	\$136 69	\$136 60	\$0 09
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	96 82	08/12/08	12/25/09	\$96 82	\$96 97	\$-0 15
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	232 5	10/13/06	12/25/09	\$232 50	\$232 64	\$-0 14
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	140 91	03/31/08	12/25/09	\$140 91	\$142 45	\$-1 54
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	386 72	03/09/07	12/25/09	\$386 72	\$393 43	\$-6 71
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	589 56	05/16/07	12/25/09	\$589 56	\$582 53	\$7 03
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	164 06	02/09/07	12/25/09	\$164 06	\$164 08	\$-0 02
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	329 11	01/18/07	12/25/09	\$329 11	\$331 99	\$-2 88
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	256 54	03/13/07	12/25/09	\$256 54	\$255 50	\$1 04
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	311 75	05/13/08	12/25/09	\$311 75	\$311 07	\$0 68
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	347 17	05/15/07	12/25/09	\$347 17	\$344 97	\$2 20
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	181 77	10/09/07	12/25/09	\$181 77	\$178 27	\$3 50
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	269 51	10/11/06	12/25/09	\$269 51	\$266 99	\$2 52
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	208 06	06/24/08	12/25/09	\$208 06	\$202 33	\$5 73
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	338 11	12/12/06	12/25/09	\$338 11	\$336 35	\$1 76



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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	109 67	10/24/06	12/25/09	\$109 67	\$113 54	\$-3 87
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	150 8	01/10/07	12/25/09	\$150 80	\$153 68	\$-2 88
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	789 46	05/11/07	12/31/09	\$789 46	\$790 63	\$-1 17
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	146 78	01/10/07	12/31/09	\$146 78	\$149 58	\$-2 80
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	383 61	10/16/06	12/31/09	\$383 61	\$384 93	\$-1 32
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	699 69	12/14/06	12/31/09	\$699 69	\$704 54	\$-4 85
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	223 31	08/08/07	12/31/09	\$223 31	\$223 32	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # E0096	3129AKB61	177 97	10/17/06	12/31/09	\$177 97	\$180 33	\$-2 36
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	256 58	12/13/06	12/31/09	\$256 58	\$262 11	\$-5 53
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	171 05	03/12/07	12/31/09	\$171 05	\$174 58	\$-3 53
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	387 5	12/12/06	12/31/09	\$387 50	\$385 75	\$1 75
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	238 46	06/24/08	12/31/09	\$238 46	\$232 23	\$6 23
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	344 8	10/11/06	12/31/09	\$344 80	\$341 97	\$2 83
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	232 54	10/09/07	12/31/09	\$232 54	\$228 37	\$4 17
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	365 25	05/15/07	12/31/09	\$365 25	\$362 94	\$2 31
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	327 98	05/13/08	12/31/09	\$327 98	\$327 26	\$0 72
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	302 99	03/13/07	12/31/09	\$302 99	\$301 76	\$1 23
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	433 08	01/18/07	12/31/09	\$433 08	\$436 87	\$-3 79
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	391 3	02/09/07	12/31/09	\$391 30	\$391 36	\$-0 06
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	392 62	05/16/07	12/31/09	\$392 62	\$388 33	\$4 29
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	497 79	10/09/07	12/31/09	\$497 79	\$488 73	\$9 06
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	465 54	03/09/07	12/31/09	\$465 54	\$473 73	\$-8 19
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	698 31	07/18/08	12/31/09	\$698 31	\$698 53	\$-0 22
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	409 02	10/20/06	12/31/09	\$409 02	\$411 06	\$-2 04
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	239 43	10/10/07	12/31/09	\$239 43	\$239 26	\$0 17



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THE GRIFFITH FOUNDATION

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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	169 59	08/12/08	12/31/09	\$169 59	\$169 85	\$-0 26
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	345 55	10/13/06	12/31/09	\$345 55	\$345 76	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	209 43	03/31/08	12/31/09	\$209 43	\$211 72	\$-2 29
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	490 36	03/09/07	12/31/09	\$490 36	\$498 87	\$-8 51
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	106 75	10/24/06	12/31/09	\$106 75	\$110 52	\$-3 77
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	229 2	01/11/08	12/31/09	\$229 20	\$233 89	\$-4 69
Total long term gain/loss.	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$29,358 29	\$29,372 42	\$-14 13



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Forms 1099 for 2010

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return.

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
AOL INC	00184X105	13 64	01/27/09	01/04/10	\$321 64	\$223 48	\$98 16
AOL INC	00184X105	16 36	01/09/09	01/04/10	\$385 77	\$285 36	\$100 41
SEMPRA ENERGY	816851109	180	01/27/09	01/04/10	\$10,098 33	\$8,066 64	\$2,031 69
SEMPRA ENERGY	816851109	230	01/09/09	01/04/10	\$12,903 43	\$10,082 58	\$2,820 85
JUNIPER NETWORKS INC	48203R104	80	01/27/09	01/06/10	\$2,091 19	\$1,409 39	\$681 80
ACCENTURE PLC IRELAND SHS CL A	G1151C101	180	01/09/09	01/06/10	\$7,630 09	\$6,087 13	\$1,542 96
ACCENTURE PLC IRELAND SHS CL A	G1151C101	140	01/27/09	01/06/10	\$5,934 52	\$4,504 84	\$1,429 68
JUNIPER NETWORKS INC	48203R104	200	02/23/09	01/06/10	\$5,227 96	\$2,966 00	\$2,261 96
VERTEX PHARMACEUTICALS INC	92532F100	110	01/27/09	01/08/10	\$4,475 89	\$3,454 88	\$1,021 01
CVS CORP	126650100	380	01/27/09	01/08/10	\$12,882 09	\$10,441 37	\$2,440 72
VERTEX PHARMACEUTICALS INC	92532F100	60	01/09/09	01/08/10	\$2,441 40	\$1,805 19	\$636 21
FIRST HORIZON NATL CORP	320517105	343 87	01/27/09	01/19/10	\$4,662 99	\$2,874 03	\$1,788 96
APPLE COMPUTER INC COM	037833100	20	06/05/09	01/21/10	\$4,151 35	\$2,891 20	\$1,260 15
QUALCOMM INC	747525103	230	01/06/10	04/23/10	\$8,740 16	\$11,088 14	\$-2,347 98



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Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
HOME DEPOT INC USD 0 05	437076102	170	08/28/09	05/20/10	\$5,627 02	\$4,671 29	\$955 73
HOME DEPOT INC USD 0 05	437076102	190	09/11/09	05/20/10	\$6,289 03	\$5,143 02	\$1,146 01
HOME DEPOT INC USD 0 05	437076102	240	09/11/09	05/27/10	\$8,244 22	\$6,496 44	\$1,747 78
TIME WARNER INC	887317303	240	01/08/10	07/01/10	\$6,904 73	\$6,827 81	\$76 92
GAP INC	364760108	610	01/08/10	07/06/10	\$11,791 16	\$12,425 27	\$-634 11
HEWLETT PACKARD COMPANY	428236103	480	08/21/09	08/11/10	\$19,704 06	\$21,297 60	\$-1,593 54
HEWLETT PACKARD COMPANY	428236103	100	09/03/09	08/11/10	\$4,105 01	\$4,430 82	\$-325 81
EMC CORP(MASS) USD 0 01	268648102	180	04/23/10	09/30/10	\$3,666 61	\$3,571 06	\$95 55
Total short term gain/loss	Report on Form 1040, Schedule D, line 1, Column d, e and f				\$148,278 65	\$131,043 54	\$17,235 11

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	789 46	05/11/07	01/15/10	\$789 46	\$790 63	\$-1 17
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	256 58	12/13/06	01/15/10	\$256 58	\$262 11	\$-5 53
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	383 61	10/16/06	01/15/10	\$383 61	\$384 93	\$-1 32
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	171 05	03/12/07	01/15/10	\$171 05	\$174 58	\$-3 53
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	229 2	01/11/08	01/15/10	\$229 20	\$233 89	\$-4 69
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	177 97	10/17/06	01/15/10	\$177 97	\$180 33	\$-2 36
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	223 31	08/08/07	01/15/10	\$223 31	\$223 32	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	699 69	12/14/06	01/15/10	\$699 69	\$704 54	\$-4 85
FIRST HORIZON NAT'L CORP	320517105	417 13	01/09/09	01/19/10	\$5,656 42	\$3,858 64	\$1,797 78
APPLE COMPUTER INC COM	037833100	20	01/09/09	01/21/10	\$4,151 35	\$1,824 40	\$2,326 95
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	465 54	03/09/07	01/25/10	\$465 54	\$473 73	\$-8 19
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	698 31	07/18/08	01/25/10	\$698 31	\$698 53	\$-0 22



BNY MELLON
WEALTH MANAGEMENT

THE GRIFFITH FOUNDATION

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	409 02	10/20/06	01/25/10	\$409 02	\$411 06	\$-2 04
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	239 43	10/10/07	01/25/10	\$239 43	\$239 26	\$0 17
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	169 59	08/12/08	01/25/10	\$169 59	\$169 85	\$-0 26
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	345 55	10/13/06	01/25/10	\$345 55	\$345 76	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	209 43	03/31/08	01/25/10	\$209 43	\$211 72	\$-2 29
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	490 36	03/09/07	01/25/10	\$490 36	\$498 87	\$-8 51
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	106 75	10/24/06	01/25/10	\$106 75	\$110 52	\$-3 77
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	146 78	01/10/07	01/25/10	\$146 78	\$149 58	\$-2 80
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	497 79	10/09/07	01/25/10	\$497 79	\$488 73	\$9 06
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	392 62	05/16/07	01/25/10	\$392 62	\$388 33	\$4 29
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	391 3	02/09/07	01/25/10	\$391 30	\$391 36	\$-0 06
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	302 99	03/13/07	01/25/10	\$302 99	\$301 76	\$1 23
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	327 98	05/13/08	01/25/10	\$327 98	\$327 26	\$0 72
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	365 25	05/15/07	01/25/10	\$365 25	\$362 94	\$2 31
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	232 54	10/09/07	01/25/10	\$232 54	\$228 37	\$4 17
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	344 8	10/11/06	01/25/10	\$344 80	\$341 97	\$2 83
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	238 46	06/24/08	01/25/10	\$238 46	\$232 23	\$6 23
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	387 5	12/12/06	01/25/10	\$387 50	\$385 75	\$1 75
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	433 08	01/18/07	01/25/10	\$433 08	\$436 87	\$-3 79
TEEKAY SHIPPING CORP	879006AC8	10000	12/13/06	01/26/10	\$10,600 00	\$10,750 00	\$-150 00
FIRST HORIZON NAT'L CORP	320517105	0 23	01/27/09	01/28/10	\$2 98	\$1 91	\$1 07
AMGEN INC	031162100	90	01/09/09	02/12/10	\$5,043 71	\$5,253 30	\$-209 59
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	864 7	05/11/07	02/15/10	\$864 70	\$865 99	\$-1 29
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	239 03	03/12/07	02/15/10	\$239 03	\$243 96	\$-4 93
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	254 09	10/16/06	02/15/10	\$254 09	\$254 96	\$-0 87



BNY MELLON
WEALTH MANAGEMENT

THE GRIFFITH FOUNDATION

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	191 09	10/17/06	02/15/10	\$191 09	\$193 63	\$-2 54
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	170	08/08/07	02/15/10	\$170 00	\$170 01	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	532 66	12/14/06	02/15/10	\$532 66	\$536 35	\$-3 69
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	251 04	01/11/08	02/15/10	\$251 04	\$256 18	\$-5 14
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	358 54	12/13/06	02/15/10	\$358 54	\$366 27	\$-7 73
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	374 81	05/16/07	02/25/10	\$374 81	\$371 08	\$3 73
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	475 21	10/09/07	02/25/10	\$475 21	\$467 17	\$8 04
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	342 32	03/09/07	02/25/10	\$342 32	\$348 35	\$-6 03
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	513 48	07/18/08	02/25/10	\$513 48	\$513 64	\$-0 16
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	457 98	10/20/06	02/25/10	\$457 98	\$460 26	\$-2 28
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	268 08	10/10/07	02/25/10	\$268 08	\$267 89	\$0 19
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	189 89	08/12/08	02/25/10	\$189 89	\$190 19	\$-0 30
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	615 15	10/13/06	02/25/10	\$615 15	\$615 53	\$-0 38
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	372 82	03/31/08	02/25/10	\$372 82	\$376 90	\$-4 08
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	310 32	03/09/07	02/25/10	\$310 32	\$315 70	\$-5 38
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	87 58	10/24/06	02/25/10	\$87 58	\$90 67	\$-3 09
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	314 38	02/09/07	02/25/10	\$314 38	\$314 43	\$-0 05
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	371 2	01/18/07	02/25/10	\$371 20	\$374 45	\$-3 25
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	230 69	03/13/07	02/25/10	\$230 69	\$229 75	\$0 94
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	120 42	01/10/07	02/25/10	\$120 42	\$122 72	\$-2 30
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	360 64	05/15/07	02/25/10	\$360 64	\$358 36	\$2 28
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	180 02	10/09/07	02/25/10	\$180 02	\$177 02	\$3 00
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	266 93	10/11/06	02/25/10	\$266 93	\$265 03	\$1 90
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	201 08	06/24/08	02/25/10	\$201 08	\$196 10	\$4 98
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	326 75	12/12/06	02/25/10	\$326 75	\$325 48	\$1 27



BNY MELLON
WEALTH MANAGEMENT

THE GRIFFITH FOUNDATION

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	FEDERAL NAT'L MTGE ASSN	POOL #	73502	Cusip (Box 1b)	31402QSK8	Units (Box 5)	323 84	Acquisition Date	05/13/08	Sale date (Box 1a)	02/25/10	Gross proceeds less commissions (Box 2)	\$323 84	Tax cost	\$323 13	Net gain or loss	\$0 71
CISCO SYS INC				17275R102		250		01/09/09		03/03/10		\$6,167 42		\$4,219 32		\$1,948 10	
ROYAL CARIBBEAN CRUISES LTD SR				780153AL6		5000		05/23/07		03/10/10		\$5,200 00		\$5,462 50		\$-262 50	
SMITHFIELD FOODS INC				832248AM0		10000		01/04/07		03/10/10		\$10,055 80		\$10,195 00		\$-139 20	
WESTINGHOUSE AIR BRAKE				960386AG5		5000		11/14/06		03/10/10		\$5,013 00		\$4,975 00		\$38 00	
ROYAL CARIBBEAN CRUISES LTD SR				780153AL6		5000		03/01/07		03/10/10		\$5,200 00		\$5,530 00		\$-330 00	
ROYAL CARIBBEAN CRUISES LTD SR				780153AL6		5000		10/11/06		03/10/10		\$5,200 00		\$5,438 85		\$-238 85	
CASE EQUIP CORP NT 7 25% 1/15/16				14743RAB9		15000		08/03/07		03/10/10		\$15,166 50		\$14,925 00		\$241 50	
CHESAPEAKE ENERGY CORP				165167BE6		5000		03/12/07		03/10/10		\$5,056 00		\$5,075 00		\$-19 00	
CHESAPEAKE ENERGY CORP				165167BE6		5000		11/01/06		03/10/10		\$5,056 00		\$4,987 50		\$68 50	
BRIGGS & STRATTON CORP SR NT				109043AF6		5000		03/14/07		03/10/10		\$5,261 90		\$5,393 75		\$-131 85	
BRIGGS & STRATTON CORP SR NT				109043AF6		5000		10/17/06		03/10/10		\$5,261 90		\$5,462 50		\$-200 60	
AUTONATION INC DEL				05329WAH5		15000		02/20/07		03/10/10		\$15,210 00		\$15,393 75		\$-183 75	
DEAN FOODS CO				242361AB9		5000		12/12/06		03/10/10		\$4,787 50		\$5,012 50		\$-225 00	
DEAN FOODS CO				242361AB9		5000		06/25/07		03/10/10		\$4,787 50		\$4,849 31		\$-61 81	
HERTZ CORP				428040BZ1		15000		05/23/08		03/10/10		\$15,432 00		\$15,131 25		\$300 75	
MCKESSON CORP NEW				581557AV7		25000		03/08/07		03/10/10		\$26,585 00		\$25,276 25		\$1,308 75	
MCKESSON CORP NEW				581557AV7		5000		05/21/07		03/10/10		\$5,317 00		\$4,929 00		\$388 00	
MOHEGAN TRIBAL GAMING AUTH				608328AR1		15000		05/09/07		03/10/10		\$10,545 00		\$15,056 25		\$-4,511 25	
MOHEGAN TRIBAL GAMING AUTH				608328AR1		5000		02/13/08		03/10/10		\$3,515 00		\$4,493 03		\$-978 03	
FREEPORT-MCMORAN COPPER & GOLD				35671DAS4		15000		05/11/07		03/10/10		\$16,747 50		\$16,462 50		\$285 00	
YUM BRANDS INC				988498AC5		15000		01/03/08		03/10/10		\$16,363 20		\$15,264 90		\$1,098 30	
TESORO CORP				881609AS0		20000		05/21/08		03/10/10		\$18,952 00		\$18,909 33		\$42 67	
KANSAS CITY SOUTHN RY CO				485188AG1		20000		05/29/08		03/10/10		\$20,502 00		\$20,125 00		\$377 00	
INTERNATIONAL PAPER CO				460146CB7		15000		06/05/08		03/10/10		\$16,962 30		\$15,118 05		\$1,844 25	



BNY MELLON
WEALTH MANAGEMENT

THE GRIFFITH FOUNDATION

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
SMITHFIELD FOODS INC	832248AM0	5000	10/20/06	03/10/10	\$5,027 90	\$5,050 00	\$-22 10
HOST MARRIOTT L P	44108EAS7	10000	12/12/06	03/10/10	\$10,216 50	\$10,312 50	\$-96 00
MGM MIRAGE	552953AD3	5000	10/19/06	03/10/10	\$5,054 00	\$5,325 00	\$-271 00
MGM MIRAGE	552953AD3	5000	03/15/07	03/10/10	\$5,054 00	\$5,362 50	\$-308 50
MGM MIRAGE	552953AD3	5000	05/14/07	03/10/10	\$5,054 00	\$5,400 00	\$-346 00
L-3 COMMUNICATIONS CORP	502413AQ0	10000	03/16/07	03/10/10	\$10,175 00	\$9,900 00	\$275 00
L-3 COMMUNICATIONS CORP	502413AQ0	5000	10/24/07	03/10/10	\$5,087 50	\$4,921 41	\$166 09
CORRECTIONS CORP AMER NEW	22025YAJ9	10000	04/18/07	03/10/10	\$10,162 50	\$10,225 00	\$-62 50
CORRECTIONS CORP AMER NEW	22025YAJ9	5000	06/11/07	03/10/10	\$5,081 25	\$5,012 00	\$69 25
EMBARQ CORP	29078EAB1	5000	11/01/06	03/10/10	\$5,442 90	\$5,136 45	\$306 45
EMBARQ CORP	29078EAB1	5000	12/27/06	03/10/10	\$5,442 90	\$5,140 50	\$302 40
EMBARQ CORP	29078EAB1	5000	05/16/07	03/10/10	\$5,442 90	\$5,175 55	\$267 35
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	3143 87	03/12/07	03/15/10	\$3,143 87	\$3,208 72	\$-64 85
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	4715 8	12/13/06	03/15/10	\$4,715 80	\$4,817 50	\$-101 70
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	265 85	10/17/06	03/15/10	\$265 85	\$269 38	\$-3 53
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	331 38	08/08/07	03/15/10	\$331 38	\$331 40	\$-0 02
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	1038 34	12/14/06	03/15/10	\$1,038 34	\$1,045 54	\$-7 20
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	764 62	10/16/06	03/15/10	\$764 62	\$767 25	\$-2 63
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	351 25	01/11/08	03/15/10	\$351 25	\$358 44	\$-7 19
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	1209 85	05/11/07	03/15/10	\$1,209 85	\$1,211 65	\$-1 80
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	139 17	01/10/07	03/25/10	\$139 17	\$141 83	\$-2 66
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	285 37	12/12/06	03/25/10	\$285 37	\$284 43	\$0 94
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	175 61	06/24/08	03/25/10	\$175 61	\$171 47	\$4 14
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	252 11	10/11/06	03/25/10	\$252 11	\$250 55	\$1 56
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	170 03	10/09/07	03/25/10	\$170 03	\$167 38	\$2 65



BNY MELLON
WEALTH MANAGEMENT

THE GRIFFITH FOUNDATION

2010 Form 1099

Account Number 10581428980

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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	362 18	05/15/07	03/25/10	\$362 18	\$359 89	\$2 29
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	325 22	05/13/08	03/25/10	\$325 22	\$324 51	\$0 71
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	221 2	03/13/07	03/25/10	\$221 20	\$220 30	\$0 90
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	360 16	01/18/07	03/25/10	\$360 16	\$363 31	\$-3 15
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	442 06	02/09/07	03/25/10	\$442 06	\$442 12	\$-0 06
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	411 03	05/16/07	03/25/10	\$411 03	\$407 28	\$3 75
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	521 13	10/09/07	03/25/10	\$521 13	\$512 90	\$8 23
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	331 81	03/09/07	03/25/10	\$331 81	\$337 65	\$-5 84
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	497 72	07/18/08	03/25/10	\$497 72	\$497 88	\$-0 16
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	328 28	10/20/06	03/25/10	\$328 28	\$329 92	\$-1 64
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	192 16	10/10/07	03/25/10	\$192 16	\$192 03	\$0 13
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	136 12	08/12/08	03/25/10	\$136 12	\$136 33	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	278 59	10/13/06	03/25/10	\$278 59	\$278 76	\$-0 17
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	168 84	03/31/08	03/25/10	\$168 84	\$170 69	\$-1 85
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	482 93	03/09/07	03/25/10	\$482 93	\$491 31	\$-8 38
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	101 21	10/24/06	03/25/10	\$101 21	\$104 78	\$-3 57
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	245 8	01/11/08	04/15/10	\$245 80	\$250 83	\$-5 03
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	846 63	05/11/07	04/15/10	\$846 63	\$847 89	\$-1 26
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	639 21	03/12/07	04/15/10	\$639 21	\$652 40	\$-13 19
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	958 81	12/13/06	04/15/10	\$958 81	\$979 49	\$-20 68
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	186 98	10/17/06	04/15/10	\$186 98	\$189 46	\$-2 48
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	191 78	08/08/07	04/15/10	\$191 78	\$191 79	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	600 92	12/14/06	04/15/10	\$600 92	\$605 09	\$-4 17
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	226 76	10/16/06	04/15/10	\$226 76	\$227 54	\$-0 78
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	410 41	03/09/07	04/25/10	\$410 41	\$417 63	\$-7 22



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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	615 61	07/18/08	04/25/10	\$615 61	\$615 80	\$-0 19
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	461	10/20/06	04/25/10	\$461 00	\$463 30	\$-2 30
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	269 86	10/10/07	04/25/10	\$269 86	\$269 67	\$0 19
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	191 15	08/12/08	04/25/10	\$191 15	\$191 45	\$-0 30
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	522 8	10/13/06	04/25/10	\$522 80	\$523 12	\$-0 32
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	316 85	03/31/08	04/25/10	\$316 85	\$320 32	\$-3 47
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	406 38	03/09/07	04/25/10	\$406 38	\$413 43	\$-7 05
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	678 51	10/24/06	04/25/10	\$678 51	\$702 47	\$-23 96
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	932 95	01/10/07	04/25/10	\$932 95	\$950 77	\$-17 82
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	324 21	12/12/06	04/25/10	\$324 21	\$323 33	\$0 88
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	199 51	06/24/08	04/25/10	\$199 51	\$195 04	\$4 47
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	395 9	10/09/07	04/25/10	\$395 90	\$390 08	\$5 82
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	312 26	05/16/07	04/25/10	\$312 26	\$309 67	\$2 59
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	575 15	02/09/07	04/25/10	\$575 15	\$575 23	\$-0 08
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	521 37	01/18/07	04/25/10	\$521 37	\$525 93	\$-4 56
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	263 5	03/13/07	04/25/10	\$263 50	\$262 43	\$1 07
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	293 77	05/13/08	04/25/10	\$293 77	\$293 13	\$0 64
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	277 15	10/11/06	04/25/10	\$277 15	\$275 70	\$1 45
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	186 91	10/09/07	04/25/10	\$186 91	\$184 20	\$2 71
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	327 16	05/15/07	04/25/10	\$327 16	\$325 09	\$2 07
COCA COLA ENTERPRISES INC COM	191219104	290	01/09/09	05/06/10	\$7,525 40	\$3,595 30	\$3,930 10
COCA COLA ENTERPRISES INC COM	191219104	240	01/27/09	05/06/10	\$6,227 92	\$2,936 95	\$3,290 97
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	176 14	08/08/07	05/15/10	\$176 14	\$176 15	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	551 9	12/14/06	05/15/10	\$551 90	\$555 73	\$-3 83
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	179 03	01/11/08	05/15/10	\$179 03	\$182 70	\$-3 67



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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	391 65	03/12/07	05/15/10	\$391 65	\$399 73	\$-8 08
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	616 65	05/11/07	05/15/10	\$616 65	\$617 57	\$-0 92
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	217 72	10/17/06	05/15/10	\$217 72	\$220 61	\$-2 89
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	587 48	12/13/06	05/15/10	\$587 48	\$600 15	\$-12 67
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	367 61	10/16/06	05/15/10	\$367 61	\$368 88	\$-1 27
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	184 82	01/10/07	05/25/10	\$184 82	\$188 35	\$-3 53
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	134 42	10/24/06	05/25/10	\$134 42	\$139 17	\$-4 75
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	532 82	03/09/07	05/25/10	\$532 82	\$542 06	\$-9 24
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	136 81	08/12/08	05/25/10	\$136 81	\$137 02	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	193 44	10/13/06	05/25/10	\$193 44	\$193 56	\$-0 12
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	117 24	03/31/08	05/25/10	\$117 24	\$118 52	\$-1 28
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	331 73	12/12/06	05/25/10	\$331 73	\$331 02	\$0 71
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	204 14	06/24/08	05/25/10	\$204 14	\$199 81	\$4 33
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	269 41	10/11/06	05/25/10	\$269 41	\$268 27	\$1 14
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	181 69	10/09/07	05/25/10	\$181 69	\$179 26	\$2 43
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	456 55	05/15/07	05/25/10	\$456 55	\$453 66	\$2 89
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	409 96	05/13/08	05/25/10	\$409 96	\$409 06	\$0 90
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	257 37	03/13/07	05/25/10	\$257 37	\$256 33	\$1 04
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	1481 9	01/18/07	05/25/10	\$1,481 90	\$1,494 87	\$-12 97
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	322 74	02/09/07	05/25/10	\$322 74	\$322 79	\$-0 05
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	410 73	05/16/07	05/25/10	\$410 73	\$407 68	\$3 05
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	520 74	10/09/07	05/25/10	\$520 74	\$513 68	\$7 06
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	1103 55	03/09/07	05/25/10	\$1,103 55	\$1,122 97	\$-19 42
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	1655 33	07/18/08	05/25/10	\$1,655 33	\$1,655 85	\$-0 52
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	329 95	10/20/06	05/25/10	\$329 95	\$331 59	\$-1 64



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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	193 14	10/10/07	05/25/10	\$193 14	\$193 01	\$0 13
HOME DEPOT INC USD 0 05	437076102	10	01/09/09	05/27/10	\$343 51	\$239 88	\$103 63
FEDERAL NAT'L MTG ASSN	31398ADM1	40000	03/26/08	06/09/10	\$45,888 80	\$43,774 84	\$2,113 96
XTO ENERGY INC	98385X106	250	01/09/09	06/11/10	\$10,849 85	\$9,458 65	\$1,391 20
XTO ENERGY INC	98385X106	220	01/27/09	06/11/10	\$9,547 86	\$8,039 00	\$1,508 86
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	237 85	01/11/08	06/15/10	\$237 85	\$242 72	\$-4 87
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	819 26	05/11/07	06/15/10	\$819 26	\$820 48	\$-1 22
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	238 54	10/16/06	06/15/10	\$238 54	\$239 36	\$-0 82
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	471 18	12/14/06	06/15/10	\$471 18	\$474 45	\$-3 27
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	150 38	08/08/07	06/15/10	\$150 38	\$150 39	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	225 63	10/17/06	06/15/10	\$225 63	\$228 63	\$-3 00
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	160 52	12/13/06	06/15/10	\$160 52	\$163 98	\$-3 46
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	107 01	03/12/07	06/15/10	\$107 01	\$109 22	\$-2 21
FEDEX CORPORATION	31428X106	80	01/09/09	06/16/10	\$6,427 91	\$4,896 00	\$1,531 91
FEDEX CORPORATION	31428X106	60	01/27/09	06/16/10	\$4,820 93	\$3,250 20	\$1,570 73
PARKER HANNIFIN CORP	701094104	110	01/09/09	06/18/10	\$6,734 08	\$4,659 60	\$2,064 48
PARKER HANNIFIN CORP	701094104	90	01/27/09	06/18/10	\$5,509 71	\$3,568 50	\$1,941 21
VERTEX PHARMACEUTICALS INC	92532F100	170	03/05/09	06/21/10	\$6,261 08	\$4,718 93	\$1,542 15
VERTEX PHARMACEUTICALS INC	92532F100	60	01/09/09	06/21/10	\$2,209 79	\$1,805 19	\$404 60
CVS CORP.	126650100	20	01/27/09	06/21/10	\$640 20	\$549 55	\$90 65
CVS CORP.	126650100	210	01/09/09	06/21/10	\$6,722 08	\$5,451 03	\$1,271 05
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	111 03	10/24/06	06/25/10	\$111 03	\$114 95	\$-3 92
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	368 68	03/09/07	06/25/10	\$368 68	\$375 08	\$-6 40
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	287 95	03/31/08	06/25/10	\$287 95	\$291 10	\$-3 15
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	475 12	10/13/06	06/25/10	\$475 12	\$475 41	\$-0 29



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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	277 31	08/12/08	06/25/10	\$277 31	\$277 74	\$-0 43
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	391 5	10/10/07	06/25/10	\$391 50	\$391 23	\$0 27
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	668 81	10/20/06	06/25/10	\$668 81	\$672 14	\$-3 33
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	473 73	07/18/08	06/25/10	\$473 73	\$473 88	\$-0 15
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	315 82	03/09/07	06/25/10	\$315 82	\$321 38	\$-5 56
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	1080 97	10/09/07	06/25/10	\$1,080 97	\$1,068 23	\$12 74
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	852 6	05/16/07	06/25/10	\$852 60	\$847 41	\$5 19
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	1138 18	02/09/07	06/25/10	\$1,138 18	\$1,138 34	\$-0 16
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	417 31	01/18/07	06/25/10	\$417 31	\$420 96	\$-3 65
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	503 97	03/13/07	06/25/10	\$503 97	\$501 92	\$2 05
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	711 95	05/13/08	06/25/10	\$711 95	\$710 39	\$1 56
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	792 85	05/15/07	06/25/10	\$792 85	\$787 83	\$5 02
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	333 63	10/09/07	06/25/10	\$333 63	\$329 75	\$3 88
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	494 69	10/11/06	06/25/10	\$494 69	\$493 33	\$1 36
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	359 74	06/24/08	06/25/10	\$359 74	\$352 79	\$6 95
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	152 67	01/10/07	06/25/10	\$152 67	\$155 59	\$-2 92
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	584 58	12/12/06	06/25/10	\$584 58	\$583 85	\$0 73
TIME WARNER INC	887317303	50	01/09/09	07/01/10	\$1,438 49	\$1,083 74	\$354 75
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	543 49	05/11/07	07/15/10	\$543 49	\$544 30	\$-0 81
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	157 79	01/11/08	07/15/10	\$157 79	\$161 02	\$-3 23
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	265 09	10/16/06	07/15/10	\$265 09	\$266 00	\$-0 91
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	577 25	12/14/06	07/15/10	\$577 25	\$581 25	\$-4 00
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	184 23	08/08/07	07/15/10	\$184 23	\$184 24	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	136 15	10/17/06	07/15/10	\$136 15	\$137 96	\$-1 81
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	222 46	12/13/06	07/15/10	\$222 46	\$227 26	\$-4 80



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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	148 31	03/12/07	07/15/10	\$148 31	\$151 37	\$-3 06
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	350 2	12/12/06	07/25/10	\$350 20	\$350 13	\$0 07
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	129 97	01/10/07	07/25/10	\$129 97	\$132 45	\$-2 48
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	299 99	10/11/06	07/25/10	\$299 99	\$299 70	\$0 29
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	202 32	10/09/07	07/25/10	\$202 32	\$200 39	\$1 93
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	440 17	05/15/07	07/25/10	\$440 17	\$437 38	\$2 79
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	395 26	05/13/08	07/25/10	\$395 26	\$394 40	\$0 86
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	244 25	03/13/07	07/25/10	\$244 25	\$243 26	\$0 99
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	378 11	01/18/07	07/25/10	\$378 11	\$381 42	\$-3 31
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	391 4	02/09/07	07/25/10	\$391 40	\$391 46	\$-0 06
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	383 24	05/16/07	07/25/10	\$383 24	\$381 51	\$1 73
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	485 89	10/09/07	07/25/10	\$485 89	\$481 20	\$4 69
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	305 13	03/09/07	07/25/10	\$305 13	\$310 50	\$-5 37
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	457 69	07/18/08	07/25/10	\$457 69	\$457 83	\$-0 14
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	377 43	10/20/06	07/25/10	\$377 43	\$379 31	\$-1 88
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	220 94	10/10/07	07/25/10	\$220 94	\$220 79	\$0 15
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	156 5	08/12/08	07/25/10	\$156 50	\$156 74	\$-0 24
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	634 83	10/13/06	07/25/10	\$634 83	\$635 22	\$-0 39
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	384 74	03/31/08	07/25/10	\$384 74	\$388 95	\$-4 21
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	462 82	03/09/07	07/25/10	\$462 82	\$470 85	\$-8 03
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	94 52	10/24/06	07/25/10	\$94 52	\$97 86	\$-3 34
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	215 51	06/24/08	07/25/10	\$215 51	\$211 84	\$3 67
CONOCO FUNDING CO 6 35 15OCT2011	20825UAB0	5000	10/18/06	08/03/10	\$5,345 08	\$5,229 20	\$115 88
CONOCO FUNDING CO 6 35 15OCT2011	20825UAB0	5000	10/23/07	08/03/10	\$5,345 08	\$5,277 35	\$67 73
CONOCO FUNDING CO 6 35 15OCT2011	20825UAB0	5000	12/14/06	08/03/10	\$5,345 08	\$5,270 10	\$74 98



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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
TARGET CORP	87612E106	90	06/10/09	08/09/10	\$4,778 92	\$3,726 90	\$1,052 02
AMGEN INC	031162100	130	04/24/09	08/10/10	\$7,279 91	\$6,355 47	\$924 44
AMGEN INC	031162100	80	01/27/09	08/10/10	\$4,479 94	\$4,268 00	\$211 94
VISA INC	92826C839	110	02/02/09	08/12/10	\$8,011 34	\$5,343 80	\$2,667 54
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	196 01	03/12/07	08/15/10	\$196 01	\$200 05	\$-4 04
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	294 01	12/13/06	08/15/10	\$294 01	\$300 35	\$-6 34
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	164 3	10/17/06	08/15/10	\$164 30	\$166 48	\$-2 18
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	150 87	08/08/07	08/15/10	\$150 87	\$150 88	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	273 95	10/16/06	08/15/10	\$273 95	\$274 89	\$-0 94
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	187 94	01/11/08	08/15/10	\$187 94	\$191 79	\$-3 85
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	647 34	05/11/07	08/15/10	\$647 34	\$648 30	\$-0 96
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	472 74	12/14/06	08/15/10	\$472 74	\$476 02	\$-3 28
CVS CORP	126650100	130	01/09/09	08/17/10	\$3,742 63	\$3,374 45	\$368 18
FIRST UN NATL BK N C CHARLOTTE M/T/N	33738MAE1	5000	11/20/06	08/18/10	\$5,000 00	\$5,446 35	\$-446 35
FIRST UN NATL BK N C CHARLOTTE M/T/N	33738MAE1	5000	01/17/07	08/18/10	\$5,000 00	\$5,415 30	\$-415 30
FIRST UN NATL BK N C CHARLOTTE M/T/N	33738MAE1	15000	05/08/07	08/18/10	\$15,000 00	\$16,201 35	\$-1,201 35
EXELON CORP	30161N101	150	01/09/09	08/24/10	\$6,032 91	\$8,198 62	\$-2,165 71
EXELON CORP	30161N101	160	01/27/09	08/24/10	\$6,435 11	\$8,923 80	\$-2,488 69
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	348 3	10/11/06	08/25/10	\$348 30	\$348 60	\$-0 30
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	421 17	12/12/06	08/25/10	\$421 17	\$421 54	\$-0 37
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	421 95	05/15/07	08/25/10	\$421 95	\$419 28	\$2 67
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	378 89	05/13/08	08/25/10	\$378 89	\$378 06	\$0 83
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	271 27	03/13/07	08/25/10	\$271 27	\$270 17	\$1 10
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	346 31	01/18/07	08/25/10	\$346 31	\$349 34	\$-3 03
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	297 95	02/09/07	08/25/10	\$297 95	\$297 99	\$-0 04



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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	361 72	05/16/07	08/25/10	\$361 72	\$360 68	\$1 04
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	458 61	10/09/07	08/25/10	\$458 61	\$455 19	\$3 42
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	334 77	03/09/07	08/25/10	\$334 77	\$340 66	\$-5 89
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	502 16	07/18/08	08/25/10	\$502 16	\$502 32	\$-0 16
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	239 6	10/20/06	08/25/10	\$239 60	\$240 79	\$-1 19
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	140 26	10/10/07	08/25/10	\$140 26	\$140 16	\$0 10
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	99 35	08/12/08	08/25/10	\$99 35	\$99 51	\$-0 16
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	341 51	10/13/06	08/25/10	\$341 51	\$341 72	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	206 98	03/31/08	08/25/10	\$206 98	\$209 25	\$-2 27
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	465 31	03/09/07	08/25/10	\$465 31	\$473 38	\$-8 07
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	112 94	10/24/06	08/25/10	\$112 94	\$116 93	\$-3 99
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	155 29	01/10/07	08/25/10	\$155 29	\$158 26	\$-2 97
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	259 18	06/24/08	08/25/10	\$259 18	\$255 37	\$3 81
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	234 9	10/09/07	08/25/10	\$234 90	\$233 16	\$1 74
TYCO INTERNATIONAL LTD	H89128104	160	01/09/09	09/02/10	\$6,164 72	\$3,780 76	\$2,383 96
CUMMINS ENGINE INC	231021106	50	05/01/09	09/07/10	\$4,061 49	\$1,699 89	\$2,361 60
KBR INC	48242W106	150	01/09/09	09/07/10	\$3,649 44	\$2,602 20	\$1,047 24
KBR INC	48242W106	290	01/27/09	09/07/10	\$7,055 58	\$4,412 29	\$2,643 29
BLACKROCK INC CL A	09247X101	50	04/24/09	09/13/10	\$7,587 87	\$7,391 91	\$195 96
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	954 75	12/13/06	09/15/10	\$954 75	\$975 34	\$-20 59
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	636 5	03/12/07	09/15/10	\$636 50	\$649 63	\$-13 13
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	223 19	10/17/06	09/15/10	\$223 19	\$226 16	\$-2 97
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	159 88	08/08/07	09/15/10	\$159 88	\$159 89	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	332 4	10/16/06	09/15/10	\$332 40	\$333 54	\$-1 14
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	209 93	01/11/08	09/15/10	\$209 93	\$214 23	\$-4 30



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This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	723 11	05/11/07	09/15/10	\$723 11	\$724 18	\$-1 07
FED'L HOME LOAN MTGE CORP GRP # G1198	3128M1C23	500 96	12/14/06	09/15/10	\$500 96	\$504 43	\$-3 47
AUTOLIV INC COM	052800109	80	06/10/09	09/17/10	\$4,893 51	\$2,518 40	\$2,375 11
MERCK & CO INC	58933Y105	180	03/13/09	09/17/10	\$6,519 58	\$4,921 20	\$1,598 38
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	467 32	10/11/06	09/25/10	\$467 32	\$467 72	\$-0 40
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	365 42	06/24/08	09/25/10	\$365 42	\$360 93	\$4 49
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	593 8	12/12/06	09/25/10	\$593 80	\$594 32	\$-0 52
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	315 17	10/09/07	09/25/10	\$315 17	\$313 54	\$1 63
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	486 35	05/15/07	09/25/10	\$486 35	\$483 27	\$3 08
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	436 72	05/13/08	09/25/10	\$436 72	\$435 77	\$0 95
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	358 66	03/13/07	09/25/10	\$358 66	\$357 20	\$1 46
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	424 18	01/18/07	09/25/10	\$424 18	\$427 89	\$-3 71
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	378 13	02/09/07	09/25/10	\$378 13	\$378 18	\$-0 05
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	654 08	05/16/07	09/25/10	\$654 08	\$653 27	\$0 81
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	829 28	10/09/07	09/25/10	\$829 28	\$824 91	\$4 37
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	409 39	03/09/07	09/25/10	\$409 39	\$416 60	\$-7 21
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	614 08	07/18/08	09/25/10	\$614 08	\$614 27	\$-0 19
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	352 51	10/20/06	09/25/10	\$352 51	\$354 27	\$-1 76
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	206 34	10/10/07	09/25/10	\$206 34	\$206 20	\$0 14
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	146 16	08/12/08	09/25/10	\$146 16	\$146 39	\$-0 23
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	419 96	10/13/06	09/25/10	\$419 96	\$420 22	\$-0 26
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	254 52	03/31/08	09/25/10	\$254 52	\$257 31	\$-2 79
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	432 53	03/09/07	09/25/10	\$432 53	\$440 03	\$-7 50
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	123 53	10/24/06	09/25/10	\$123 53	\$127 89	\$-4 36
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	169 86	01/10/07	09/25/10	\$169 86	\$173 10	\$-3 24



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Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
EMC CORP(MASS) USD 0 01	268648102	590	07/29/09	09/30/10	\$12,018.33	\$8,962.04	\$3,056.29
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	695.23	12/14/06	10/15/10	\$695.23	\$700.05	\$-4.82
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	452.3	10/16/06	10/15/10	\$452.30	\$453.86	\$-1.56
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	245.68	01/11/08	10/15/10	\$245.68	\$250.71	\$-5.03
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	846.22	05/11/07	10/15/10	\$846.22	\$847.48	\$-1.26
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	361.76	03/12/07	10/15/10	\$361.76	\$369.22	\$-7.46
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	542.64	12/13/06	10/15/10	\$542.64	\$554.34	\$-11.70
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	170.19	10/17/06	10/15/10	\$170.19	\$172.45	\$-2.26
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	221.88	08/08/07	10/15/10	\$221.88	\$221.89	\$-0.01
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	248.11	02/09/07	10/25/10	\$248.11	\$248.15	\$-0.04
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	707.46	05/16/07	10/25/10	\$707.46	\$707.70	\$-0.24
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	896.96	10/09/07	10/25/10	\$896.96	\$894.13	\$2.83
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	377.92	03/09/07	10/25/10	\$377.92	\$384.57	\$-6.65
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	566.88	07/18/08	10/25/10	\$566.88	\$567.06	\$-0.18
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	319.67	10/20/06	10/25/10	\$319.67	\$321.26	\$-1.59
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	187.12	10/10/07	10/25/10	\$187.12	\$186.99	\$0.13
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	132.54	08/12/08	10/25/10	\$132.54	\$132.75	\$-0.21
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	582.88	10/13/06	10/25/10	\$582.88	\$583.24	\$-0.36
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	353.26	03/31/08	10/25/10	\$353.26	\$357.13	\$-3.87
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJJK5	401.72	03/09/07	10/25/10	\$401.72	\$408.69	\$-6.97
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	72.81	10/24/06	10/25/10	\$72.81	\$75.38	\$-2.57
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	392.7	01/18/07	10/25/10	\$392.70	\$396.14	\$-3.44
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	100.12	01/10/07	10/25/10	\$100.12	\$102.03	\$-1.91
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	434.18	05/13/08	10/25/10	\$434.18	\$433.23	\$0.95
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	483.52	05/15/07	10/25/10	\$483.52	\$480.46	\$3.06



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Long term gains and losses

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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	342 85	10/09/07	10/25/10	\$342 85	\$341 82	\$1 03
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	508 37	10/11/06	10/25/10	\$508 37	\$508 81	\$-0 44
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	402 51	06/24/08	10/25/10	\$402 51	\$398 52	\$3 99
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	654 08	12/12/06	10/25/10	\$654 08	\$654 65	\$-0 57
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	393 14	03/13/07	10/25/10	\$393 14	\$391 54	\$1 60
CISCO SYS INC	17275R102	20	01/27/09	11/12/10	\$411 99	\$331 56	\$80 43
CISCO SYS INC	17275R102	410	01/09/09	11/12/10	\$8,445 86	\$6,919 69	\$1,526 17
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	657 62	05/11/07	11/15/10	\$657 62	\$658 60	\$-0 98
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	52 28	03/12/07	11/15/10	\$52 28	\$53 36	\$-1 08
FED'L HOME LOAN MTGE CORP GRP # G08C	3128MJAD2	306 91	10/16/06	11/15/10	\$306 91	\$307 97	\$-1 06
FED'L HOME LOAN MTGE CORP GRP # G1231	3128M1PA1	190 92	01/11/08	11/15/10	\$190 92	\$194 83	\$-3 91
FED'L HOME LOAN MTGE CORP GRP # A484	3128K9LT9	78 42	12/13/06	11/15/10	\$78 42	\$80 11	\$-1 69
FED'L HOME LOAN MTGE CORP GRP # E0096	31294KB61	179 31	10/17/06	11/15/10	\$179 31	\$181 69	\$-2 38
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	173 33	08/08/07	11/15/10	\$173 33	\$173 34	\$-0 01
FED'L HOME LOAN MTGE CORP GRP # G119E	3128M1C23	543 09	12/14/06	11/15/10	\$543 09	\$546 86	\$-3 77
WHIRLPOOL CORPORATION COM	963320106	140	08/28/09	11/22/10	\$10,642 71	\$9,055 82	\$1,586 89
LIMITED INC	532716107	80	10/05/09	11/22/10	\$2,642 45	\$1,373 42	\$1,269 03
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	316 78	10/13/06	11/25/10	\$316 78	\$316 97	\$-0 19
FEDERAL NAT'L MTGE ASSN POOL # 70455	31401CWN9	191 99	03/31/08	11/25/10	\$191 99	\$194 09	\$-2 10
FEDERAL NAT'L MTGE ASSN POOL # 54576	31385JJK5	507 32	03/09/07	11/25/10	\$507 32	\$516 12	\$-8 80
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	72 99	10/24/06	11/25/10	\$72 99	\$75 57	\$-2 58
FEDERAL NAT'L MTGE ASSN POOL # 73548	31402RCY3	100 36	01/10/07	11/25/10	\$100 36	\$102 28	\$-1 92
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	673 47	12/12/06	11/25/10	\$673 47	\$674 06	\$-0 59
FEDERAL NAT'L MTGE ASSN POOL # 72523	31402CVZ2	414 44	06/24/08	11/25/10	\$414 44	\$410 50	\$3 94
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	546 3	10/11/06	11/25/10	\$546 30	\$546 77	\$-0 47



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Long term gains and losses

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Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	136 66	08/12/08	11/25/10	\$136 66	\$136 87	\$-0 21
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	192 93	10/10/07	11/25/10	\$192 93	\$192 80	\$0 13
FEDERAL NAT'L MTGE ASSN POOL # 74512	31403CYT2	329 59	10/20/06	11/25/10	\$329 59	\$331 23	\$-1 64
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	559 65	07/18/08	11/25/10	\$559 65	\$559 82	\$-0 17
FEDERAL NAT'L MTGE ASSN POOL # 73506	31402QTS0	373 1	03/09/07	11/25/10	\$373 10	\$379 67	\$-6 57
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	904 94	10/09/07	11/25/10	\$904 94	\$902 20	\$2 74
FEDERAL NAT'L MTGE ASSN POOL # 25518	31371LNE5	713 76	05/16/07	11/25/10	\$713 76	\$714 00	\$-0 24
FEDERAL NAT'L MTGE ASSN POOL # 84534	31408AEN6	333 32	02/09/07	11/25/10	\$333 32	\$333 37	\$-0 05
FEDERAL NAT'L MTGE ASSN POOL # 72570	31402DGM6	408 62	01/18/07	11/25/10	\$408 62	\$412 20	\$-3 58
FEDERAL NAT'L MTGE ASSN POOL # 72542	31402C4H2	374 56	03/13/07	11/25/10	\$374 56	\$373 04	\$1 52
FEDERAL NAT'L MTGE ASSN POOL # 72520	31402CU67	368 44	10/09/07	11/25/10	\$368 44	\$367 38	\$1 06
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	702 95	05/15/07	11/25/10	\$702 95	\$698 50	\$4 45
FEDERAL NAT'L MTGE ASSN POOL # 73502	31402QSK8	631 22	05/13/08	11/25/10	\$631 22	\$629 84	\$1 38
Total long term gain/loss:	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$757,211 40	\$711,118 65	\$46,092 75

TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
10581428980	THE GRIFFITH FO	H89128104	TYCO INTERNATIONAL LTD	410	15,534 90	8,176 18
10581428980	THE GRIFFITH FO	00206R102	AT & T INC	835	23,204 65	31,392 09
10581428980	THE GRIFFITH FO	018581108	ALLIANCE DATA SYS CORP	210	13,246 80	9,158 16
10581428980	THE GRIFFITH FO	01988P108	ALLSCRIPTS HEALTHCARE SOLUTI	510	8,950 50	8,710 07
10581428980	THE GRIFFITH FO	025816109	AMERICAN EXPRESS CO	420	18,152 40	17,661 60
10581428980	THE GRIFFITH FO	03076C106	AMERIPRISE FINANCIAL INC	240	12,441 60	4,724 95
10581428980	THE GRIFFITH FO	032346108	AMYLIN PHARMACEUTICALS INC	750	9,615 00	13,598 80
10581428980	THE GRIFFITH FO	032511107	ANADARKO PETROLEUM CORP	150	9,624 00	6,236 88
10581428980	THE GRIFFITH FO	037411105	APACHE CORP	250	26,910 00	19,703 10
10581428980	THE GRIFFITH FO	037833100	APPLE INC	160	49,784 00	18,096 00
10581428980	THE GRIFFITH FO	052800109	AUTOLIV INC	200	14,684 00	5,193 26
10581428980	THE GRIFFITH FO	05569M509	BNY MELLON MID CAP STK FD CL	21,282 96	243,264 28	141,473 24
10581428980	THE GRIFFITH FO	05569M806	BNY MELLON SMALL CAP STK FD	10,448 39	113,991 98	70,138 78
10581428980	THE GRIFFITH FO	060505DH4	BANK OF AMERICA CORP 6 0%	25,000 00	26,251 25	25,489 45
10581428980	THE GRIFFITH FO	060505104	BANK OF AMERICA CORP	2,280 00	24,966 00	30,838 08
10581428980	THE GRIFFITH FO	06739FGF2	BARCLAYS BANK PLC 5 0%	100,000 00	107,163 00	102,725 00
10581428980	THE GRIFFITH FO	125509109	CIGNA CORP	250	9,202 50	6,324 55
10581428980	THE GRIFFITH FO	126650100	CVS CAREMARK CORP	150	4,650 00	3,893 60
10581428980	THE GRIFFITH FO	14040H105	CAPITAL ONE FINANCIAL CORP	540	20,104 20	21,745 05
10581428980	THE GRIFFITH FO	143658300	CARNIVAL CORP	270	11,153 70	8,407 53
10581428980	THE GRIFFITH FO	149123101	CATERPILLAR INC	180	15,228 00	11,570 30
10581428980	THE GRIFFITH FO	171232101	CHUBB CORP	250	14,252 50	11,345 18
10581428980	THE GRIFFITH FO	17275R102	CISCO SYSTEMS INC	670	12,837 20	11,107 26
10581428980	THE GRIFFITH FO	172967EM9	CITIGROUP INC 6 125%	50,000 00	54,564 00	53,786 50
10581428980	THE GRIFFITH FO	191216AK6	COCA-COLA CO 5 35%	100,000 00	116,447 00	109,590 00
10581428980	THE GRIFFITH FO	20030NAC5	COMCAST CORP 7 05%	15,000 00	17,093 10	16,267 10
10581428980	THE GRIFFITH FO	200340107	COMERICA INC	370	13,501 30	13,345 20
10581428980	THE GRIFFITH FO	20825C104	CONOCOPHILLIPS	370	22,262 90	18,586 40
10581428980	THE GRIFFITH FO	209111ET6	CONS EDISON CO OF NY 5.85%	30,000 00	35,058 90	30,336 60
10581428980	THE GRIFFITH FO	231021106	CUMMINS INC	230	22,337 60	7,819 47
10581428980	THE GRIFFITH FO	235851102	DANAHER CORP	240	10,380 00	6,679 60
10581428980	THE GRIFFITH FO	244217BG9	DEERE JOHN CAP CORP NT 7 0%	15,000 00	16,201 95	16,153 80
10581428980	THE GRIFFITH FO	263534109	DU PONT (E I) DE NEMOURS	210	9,867 90	5,573 40
10581428980	THE GRIFFITH FO	268648102	E M C CORP MASS	530	11,389 70	7,287 45
10581428980	THE GRIFFITH FO	29266R108	ENERGIZER HLDGS INC	150	10,558 50	10,293 00
10581428980	THE GRIFFITH FO	30231G102	EXXON MOBIL CORP	575	39,997 00	42,653 84
10581428980	THE GRIFFITH FO	3128K9LT9	FHLMC GOLD A48438 6 5% 5/1/	24,651 79	27,400 96	25,174 16
10581428980	THE GRIFFITH FO	3128MJAD2	FHLMC GOLD G08003 6 0%	13,146 45	14,401 41	13,191 70
10581428980	THE GRIFFITH FO	3128M1C23	FHLMC GOLD G11989 5 5% 4/1/	20,033 95	21,768 49	20,139 63
10581428980	THE GRIFFITH FO	3128M1PA1	FHLMC GOLD G12317 5 5%	23,231 57	25,199 52	23,365 34
10581428980	THE GRIFFITH FO	31294KB61	FHLMC GOLD E00961 6% 4/1/20	6,145 26	6,652 24	6,226 93
10581428980	THE GRIFFITH FO	31359MJH7	FED NATL MTG ASSN 6 0%	18,000 00	18,478 08	18,878 76
10581428980	THE GRIFFITH FO	31371LNE5	FNMA POOL # 255189 5 0%	49,763 20	52,962 97	49,686 56
10581428980	THE GRIFFITH FO	31385JJK5	FNMA POOL # 545766 6% 7/1/	15,424 23	16,892 46	15,691 81
10581428980	THE GRIFFITH FO	31401CWN9	FNMA POOL # 704553 5 5% 5/	21,330 49	23,089 40	21,426 74

10581428980	THE GRIFFITH FO	31402CU67	FNMA POOL # 725205	5 0%	27,202 14	28,985 24	27,184 67
10581428980	THE GRIFFITH FO	31402CVZ2	FNMA POOL # 725232	5 0%	30,970 49	33,000 61	30,875 11
10581428980	THE GRIFFITH FO	31402C4H2	FNMA POOL # 725424	5 5%	11,767 95	12,738 34	11,720 20
10581428980	THE GRIFFITH FO	31402DGM6	FNMA POOL # 725704	6 0%	18,091 47	19,951 27	18,249 85
10581428980	THE GRIFFITH FO	31402QSK8	FNMA POOL # 735022	5 5%	31,053 29	33,575 13	30,917 65
10581428980	THE GRIFFITH FO	31402QTS0	FNMA POOL # 735061	6 0%	33,207 85	36,466 20	33,447 86
10581428980	THE GRIFFITH FO	31402RCY3	FNMA POOL # 735487	6 5% 4/	11,350 54	12,813 17	11,644 79
10581428980	THE GRIFFITH FO	31403CYT2	FNMA POOL # 745122	5 5%	30,397 00	33,157 05	30,476 40
10581428980	THE GRIFFITH FO	31408AEN6	FNMA POOL # 845341	5 5%	13,413 85	14,477 97	13,415.78
10581428980	THE GRIFFITH FO	345370860	FORD MOTOR CO		800	12,752 00	13,015 20
10581428980	THE GRIFFITH FO	354613101	FRANKLIN RES INC		100	11,409 00	5,127 65
10581428980	THE GRIFFITH FO	369604AY9	GENERAL ELECTRIC	5 0%	45,000 00	48,392.55	44,657 11
10581428980	THE GRIFFITH FO	369604103	GENERAL ELECTRIC COMPANY		1,335 00	21,133 05	49,456 08
10581428980	THE GRIFFITH FO	38141GCU6	GOLDMAN SACHS GROUP	6 125%	30,000 00	31,513 80	30,641 35
10581428980	THE GRIFFITH FO	38259P508	GOOGLE INC - CL A		50	27,785 50	22,299 58
10581428980	THE GRIFFITH FO	40429CCS9	HSBC FINANCE CORP	5 0%	100,000 00	108,239 00	104,110 00
10581428980	THE GRIFFITH FO	406216101	HALLIBURTON CO		360	13,622 40	6,771 17
10581428980	THE GRIFFITH FO	42809H107	HESS CORP		380	26,619 00	22,282 40
10581428980	THE GRIFFITH FO	437076102	HOME DEPOT INC		340	10,271.40	7,861 72
10581428980	THE GRIFFITH FO	438516106	HONEYWELL INTL INC		355	17,647 05	17,486 20
10581428980	THE GRIFFITH FO	441060100	HOSPIRA INC		310	17,440 60	7,831 94
10581428980	THE GRIFFITH FO	444903108	HUMAN GENOME SCIENCES INC		550	13,491 50	15,158 62
10581428980	THE GRIFFITH FO	45666Q102	INFORMATICA CORP		240	9,907 20	6,988 78
10581428980	THE GRIFFITH FO	459200101	INTERNATIONAL BUSINESS MACHI		217	30,696 82	21,933 40
10581428980	THE GRIFFITH FO	46625HGN4	JP MORGAN CHASE & CO	6 125%	25,000 00	27,888 50	25,413 75
10581428980	THE GRIFFITH FO	46625H100	JPMORGAN CHASE & CO		740	27,676 00	18,523 13
10581428980	THE GRIFFITH FO	501044CG4	KROGER CO	6 4%	20,000 00	23,368 60	21,025 20
10581428980	THE GRIFFITH FO	532716107	LIMITED BRANDS INC		690	23,232 30	11,845 71
10581428980	THE GRIFFITH FO	58155Q103	MCKESSON CORPORATION		320	20,448 00	17,877 41
10581428980	THE GRIFFITH FO	585055106	MEDTRONIC INC		280	9,388 40	9,276 16
10581428980	THE GRIFFITH FO	58933Y105	MERCK & CO INC		556	19,165 32	10,524 05
10581428980	THE GRIFFITH FO	59018YJ69	MERRILL LYNCH & CO	6 4%	25,000 00	26,800 00	24,952 00
10581428980	THE GRIFFITH FO	594918104	MICROSOFT CORPORATION		960	24,247 20	29,048 21
10581428980	THE GRIFFITH FO	617446448	MORGAN STANLEY		480	11,740 80	13,008 54
10581428980	THE GRIFFITH FO	61748AAE6	MORGAN STANLEY GLOB	4 75%	35,000 00	36,363 95	34,007 55
10581428980	THE GRIFFITH FO	620076109	MOTOROLA INC		1,050 00	8,043 00	8,168 79
10581428980	THE GRIFFITH FO	651229106	NEWELL RUBBERMAID INC		680	11,403 60	11,362.52
10581428980	THE GRIFFITH FO	65248E203	NEWS CORP INC		1,550 00	23,622 00	13,439 57
10581428980	THE GRIFFITH FO	65339F101	NEXTERA ENERGY INC		230	11,642 60	12,511 98
10581428980	THE GRIFFITH FO	655664100	NORDSTROM INC		340	14,552 00	11,152 71
10581428980	THE GRIFFITH FO	655844108	NORFOLK SOUTHERN CORP		280	16,847 60	13,368 46
10581428980	THE GRIFFITH FO	674599105	OCCIDENTAL PETROLEUM CORP		400	35,268 00	28,831 15
10581428980	THE GRIFFITH FO	681919106	OMNICOM GROUP INC		320	14,540 80	8,697 56
10581428980	THE GRIFFITH FO	68389X105	ORACLE CORP		1,130 00	30,560 85	22,275 34
10581428980	THE GRIFFITH FO	713448108	PEPSICO INC		365	23,589 95	24,261 60
10581428980	THE GRIFFITH FO	717081103	PFIZER INC		2,030 00	33,089 00	42,998 11
10581428980	THE GRIFFITH FO	718172109	PHILIP MORRIS INTERNAT-WII		430	24,462 70	18,069 52
10581428980	THE GRIFFITH FO	74005P104	PRAXAIR INC		140	12,887 00	8,468 93
10581428980	THE GRIFFITH FO	742718109	PROCTER & GAMBLE CO		290	17,710 30	16,936 19
10581428980	THE GRIFFITH FO	744573106	PUBLIC SVC ENTERPRISE GROUP		850	26,205 50	27,220 45
10581428980	THE GRIFFITH FO	747525103	QUALCOMM INC		510	23,878 20	21,650 32

10581428980	THE GRIFFITH FO	7551111507	RAYTHEON CO	160	7,400 00	8,049 60
10581428980	THE GRIFFITH FO	77531QAK4	ROGERS WIRELESS INC 7 25%	15,000 00	16,781 55	15,933.45
10581428980	THE GRIFFITH FO	808513105	SCHWAB CHARLES CORP NEW	410	6,162.30	6,133 83
10581428980	THE GRIFFITH FO	822582AH5	SHELL INTERNATIONAL 3 25%	100,000 00	105,165 00	100,239 00
10581428980	THE GRIFFITH FO	86271F818	DREYFUS PREM STRAT GLBL ST-I	29,205 84	384,056 84	280,485 96
10581428980	THE GRIFFITH FO	87612E106	TARGET CORP	410	23,345 40	16,526 16
10581428980	THE GRIFFITH FO	883203101	TEXTRON INC	600	13,416 00	9,423 10
10581428980	THE GRIFFITH FO	883556102	THERMO FISHER SCIENTIFIC INC	410	20,852 60	16,383 33
10581428980	THE GRIFFITH FO	887317AC9	TIME WARNER INC 5 875%	20,000 00	23,037 60	18,929 33
10581428980	THE GRIFFITH FO	887317303	TIME WARNER INC	283	8,345 67	5,938 27
10581428980	THE GRIFFITH FO	88732J207	TIME WARNER CABLE INC	1	61 54	28 69
10581428980	THE GRIFFITH FO	904767704	UNILEVER PLC ADR	690	19,292 40	19,751 00
10581428980	THE GRIFFITH FO	912810FB9	U S TREASURY NOTE 6 125%	33,000 00	43,395 00	37,617 90
10581428980	THE GRIFFITH FO	91912E105	VALE SA-SP ADR	560	17,740 80	7,793 43
10581428980	THE GRIFFITH FO	92343VAM6	VERIZON COMMUNICATIONS 6 1%	30,000 00	35,184.60	30,231 30
10581428980	THE GRIFFITH FO	931142BF9	WAL MART STORES 7 55%	25,000 00	32,440 25	30,478 15
10581428980	THE GRIFFITH FO	949746101	WELLS FARGO & COMPANY	1,100 00	29,931 00	27,537 31
10581428980	THE GRIFFITH FO	949748AF4	WELLS FARGO BK NA NT 6 45%	15,000 00	15,142 65	15,682 25
10581428980	THE GRIFFITH FO	991061052	CRA (BNY MELLON, N A , MEMBE	33,208 81	33,208 81	33,208 81
TOTAL FOR ACCT#10581428980				3,431,385 87		2,888,702 39
BEGINNING 2009 CHECK BOOK				199,300 00		199,300 00
CHECK # 1014				-100,000 00		-100,000 00
CHECK # 1015				-25,000 00		-25,000 00
CHECK # 1016				-40,000 00		-40,000 00
CHECK # 1017				-20,000 00		-20,000 00
ENDING TOTAL FOR A/C 10581428980				3,445,685 87		2,903,002 39