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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning Dec 1, 2009, and ending Nov 30, 2010

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ALAN B. SLIFKA FOUNDATION INC		A Employer identification number 13-6192257
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 477 MADISON AVENUE 8 FLOOR		B Telephone number (see the instructions) (212) 303-9400
	City or town State ZIP code NEW YORK NY 10022-5802		C If exemption application is pending, check here <input type="checkbox"/>
			D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 30,640,784.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)	3,537,200.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	14,317.	14,317.		
4 Dividends and interest from securities	485,233.	460,516.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	59,232.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		47,640.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) See Line 11 Stmt	-63,123.	-63,123.		
12 Total. Add lines 1 through 11	4,032,859.	459,350.		
13 Compensation of officers, directors, trustees, etc	55,483.			55,483.
14 Other employee salaries and wages	26,884.			26,884.
15 Pension plans, employee benefits	10,476.			10,476.
16a Legal fees (attach schedule)	25,880.			25,880.
b Accounting fees (attach sch)	4,982.			4,982.
c Other prof fees (attach sch)	315,413.			315,413.
17 Interest	124.			
18 Taxes (attach schedule) (See Line 18 Stmt)	20,564.	2,818.		6,301.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	62,594.			62,594.
22 Printing and publications	248.			248.
23 Other expenses (attach schedule) See Line 23 Stmt	54,803.			5,459.
24 Total operating and administrative expenses. Add lines 13 through 23	577,451.	2,818.		513,720.
25 Contributions, gifts, grants paid	5,866,008.			5,891,008.
26 Total expenses and disbursements. Add lines 24 and 25	6,443,459.	2,818.		6,404,728.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-2,410,600.			
b Net investment income (if negative, enter -0-)		456,532.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	193,097.	221,425.	221,425.
	2 Savings and temporary cash investments	4,509,800.	3,276,733.	3,276,733.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)	22,122,144.	20,916,283.	27,142,626.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	26,825,041.	24,414,441.	30,640,784.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	26,825,041.	24,414,441.	
	30 Total net assets or fund balances (see the instructions)	26,825,041.	24,414,441.	
	31 Total liabilities and net assets/fund balances (see the instructions)	26,825,041.	24,414,441.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,825,041.
2 Enter amount from Part I, line 27a	2	-2,410,600.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	24,414,441.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	24,414,441.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a 12.59361 UNITS Halcyon Partners Offshore Ltd	P	05/30/06	01/01/10
b 133.111565 units halcyon structured opportunities offshore	P	02/02/05	01/01/10
c 6.95128 Units Halcyon Ossshore Asset Backed	P	01/08/07	01/01/10
d 10.59684 units Halcyon Offshore Fund ltd	P	01/09/07	01/01/10
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,197.		12,593.	1,604.
b 67,850.		133,073.	-65,223.
c 8,535.		6,960.	1,575.
d 12,052.		10,597.	1,455.
e See Columns (e) thru (h)		1,149,080.	119,821.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			1,604.
b			-65,223.
c			1,575.
d			1,455.
e See Columns (i) thru (l)			119,821.

2 Capital gain net income or (net capital loss). [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	59,232.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 []	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	6,592,929.	33,320,442.	0.197864
2007	6,285,483.	33,015,647.	0.190379
2006	9,269,350.	27,384,166.	0.338493
2005	4,619,625.	21,793,034.	0.211977
2004	5,711,826.	10,383,674.	0.550078

2 Total of line 1, column (d)	2	1.488791
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.297758
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	32,044,841.
5 Multiply line 4 by line 3	5	9,541,608.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,565.
7 Add lines 5 and 6	7	9,546,173.
8 Enter qualifying distributions from Part XII, line 4	8	6,404,728.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -- see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1 9,131.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 9,131.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 9,131.
6 Credits/Payments:		
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 12,617.	
b Exempt foreign organizations -- tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c 0.	
d Backup withholding erroneously withheld	6d 2.	
7 Total credits and payments Add lines 6a through 6d	7 12,619.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 3,488.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	

Part VII A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY - New York		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>THE TAXPAYER</u> Telephone no <u>(212) 303-9400</u> Located at <u>477 MADISON AVENUE - 8th FL., NEW YORK, NY</u> ZIP + 4 <u>10022-5802</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHIRA LEVIN 477 MADISON AVE NEW YORK, NY 10022	SECRETARY	2.00	0.	0.
RACHELLE MARKOWITZ 477 MADISON AVE.-8th FL NEW YORK, NY 10022	TREASURER	20.00	55,483.	5,475.
RIVA G. RIVTO 477 MADISON AVENUE-8th FL NEW YORK NY 10022	PRESIDENT	5.00	0.	0.
GARY GLADSTEIN 477 MADISON AVENUE NEW YORK NY 10022	BOARD MEMBER	1.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Michal Strisover 4 Amos Hanavi, Apt #5, Modiin, Israel	Research Qualifying Distributions	61,633.
SJS Consulting LLC - c/o Sara Silver 2221 14th Street, Boulder, CO 80302	Executive Director	189,997.
Josie Mendelson 614/10 Adam Street Talpiot 93782 Jerusalem, Israel	Research Qualifying Distributions	55,584.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	None

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Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	6,034,474.
c	Fair market value of all other assets (see instructions)	1c	26,498,359.
d	Total (add lines 1a, b, and c)	1d	32,532,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	32,532,833.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	487,992.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,044,841.
6	Minimum investment return. Enter 5% of line 5	6	1,602,242.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,602,242.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	9,131.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,131.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,593,111.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,593,111.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,593,111.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	6,404,728.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,404,728.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,404,728.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,593,111.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			0.	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	0.			
b From 2005	0.			
c From 2006	7,914,526.			
d From 2007	4,653,086.			
e From 2008	4,776,956.			
f Total of lines 3a through e	17,344,568.			
4 Qualifying distributions for 2009 from Part XII, line 4: \$	6,404,728.			
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus	6,404,728.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	23,749,296.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				1,593,111.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	23,749,296.			
10 Analysis of line 9:				
a Excess from 2005	0.			
b Excess from 2006	7,914,526.			
c Excess from 2007	4,653,086.			
d Excess from 2008	4,776,956.			
e Excess from 2009	6,404,728.			

BAA

Form 990-PF (2009)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(i)(3) or
- ☐
- 4942(i)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85%** of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities .

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a 'Assets' alternative test – enter:

- (1) Value of all assets . .

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ALAN B. SLIFKA

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

N/A

- b** The form in which applications should be submitted and information and materials they should include.

N/A

- c Any submission deadlines:**

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part VII Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULE ATTACHED	NONE	501 (C) 3	TO BE USED BY THE GRANTEES AS THEY SEE FIT TO FULFILL GRANTEES EXEMPT PURPOSE	5,866,008.
Total			3a	5,866,008.
b Approved for future payment		0	0	
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	9000		14	14,317.	
4 Dividends and interest from securities	9000	24,717.	14	460,516.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	9000		14	11,002.	
8 Gain or (loss) from sales of assets other than inventory	9000	11,592.	18	47,640.	
9 Net income or (loss) from special events			41	-74,125.	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PARTNERSHIP LOSSES			18		
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		36,309.		459,350.	
13 Total. Add line 12, columns (b), (d), and (e)					495,659.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2009

Name of the organization

ALAN B. SLIFKA FOUNDATION INC

Employer identification number

13-6192257

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule —

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules —

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

ALAN B. SLIFKA FOUNDATION INC

13-6192257

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALAN B. SLIFKA 477 MADISON AVENUE -8th FL NEW YORK NY 10022-5802	\$ 3,500,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	ESTATE OF SYLVIA SLIFKA 477 MADISON AVENUE - 8THfl NEW YORK NY 10022-5802	\$ 37,200.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

008708	12/01/09	American Cancer Society	\$10,000
008709	12/01/09	YWCA of Palm Beach County	\$350
008710	12/01/09	Bergen Catholic High School	\$1,000
008715	12/10/09	American Friends of the Israel Museum	\$200,000
008716	12/10/09	American Friends of the Israel Museum	\$7,500
008717	12/10/09	American Friends of Yitzchak Rabin Center	\$500
008718	12/10/09	Brandeis University	\$149,807
008719	12/10/09	Hebrew Union College	\$20,000
008720	12/10/09	Congregation B'nai Jeshurun	\$30,000
008721	12/10/09	Jewish Fund for Justice	\$750
008722	12/10/09	Friends of Chaim Sheba Medical Center	\$1,000
008723	12/10/09	The Tanenbaum Center for Interreligious Understanding	\$15,000
008724	12/10/09	Appleseed Foundation	\$250
008725	12/10/09	Blythedale Children's Hospital	\$200
008726	12/10/09	Bridgeton Chamber Music	\$250
008727	12/10/09	International Center of Photography	\$150
008728	12/10/09	Inner-City Scholarship Fund	\$250
008729	12/10/09	Interspecies Communication Inc	\$5,000
008730	12/10/09	Friends of Open House	\$250
008731	12/10/09	Planned Parenthood of NYC	\$250
008732	12/10/09	Prep For Prep	\$250
008734	12/10/09	Metropolitan Opera Association	\$3,000
008736	12/10/09	United Nations Association	\$250
008737	12/10/09	United in Harmony	\$1,000
008738	12/10/09	Lincoln Center Corporate Fund	\$1,000
008739	12/17/09	The American Jewish Committee	\$1,000
008740	12/17/09	American Associates of the Ben Gurion University	\$1,000
008741	12/17/09	The Elie Wiesel Foundation	\$4,000
008742	12/17/09	The Giraffe Project	\$500
008744	12/17/09	Museum of Modern Art	\$500
008746	12/22/09	Conference of Presidents Fund	\$8,000
120109	12/01/09	The Abraham Fund Initiatives	\$600,000
008748	01/07/10	Beit T'Shuvah	\$10,000
008749	01/07/10	Friends of Bezalel, Inc.	\$1,000
008750	01/07/10	Jewish Funders Network	\$25,000
008751	01/07/10	American Friends-Open University- Israel	\$1,000
008752	01/07/10	CODEPINK	\$500
008754	01/07/10	Montecito Community Foundation	\$250
008755	01/07/10	The Ojai Foundation	\$2,500
008756	01/07/10	Planned Parenthood Federation	\$250
008757	01/07/10	Santa Barbara Zoological Fdn.	\$500
008760	01/07/10	Harvard Westlake School	\$1,000
008762	01/14/10	William J Clinton Foundation	\$10,000
008763	01/14/10	American Jewish World Service	\$1,000
008764	01/14/10	Abraham Joshua Heschel School	\$1,000
008765	01/14/10	Israel Cancer Research Fund	\$200
008766	01/14/10	Jewish Guild for the Blind	\$1,000
008767	01/14/10	The Jewish Theological Seminary	\$1,000
008768	01/14/10	Cystic Fibrosis Foundation	\$2,000
008771	01/19/10	School of the Arts Foundation	\$250
008772	01/21/10	The American Friends of the Israel Museum	\$1,000
008773	01/21/10	The Solomon Schechter School of Manhattan	\$500
008774	01/21/10	Amer Friends of the Tel Aviv Museum of Art	\$500
008777	01/21/10	The Churchill Fund	\$500
008779	01/21/10	Women In Need, Inc.	\$250
008780	01/26/10	National Jewish Outreach Program	\$200
008781	01/26/10	Friends of Sheba Medical Center-Tel Hashomer	\$500
008782	01/26/10	The Abraham Fund Initiatives	\$42,500
008783	01/26/10	The Fresh Air Fund	\$200
008788	02/04/10	Albert Einstein College of Medicine	\$200
008789	02/04/10	Elem/Youth in Distress	\$5,000
008790	02/04/10	P'Tach	\$200

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

008791	02/04/10	Breast Cancer Foundation	\$750
008794	02/04/10	Henry Street Settlement	\$200
008795	02/04/10	Homeboy Industries	\$250
008796	02/04/10	The Perlman Music Program	\$500
008797	02/04/10	United Nations Association	\$200
008798	02/04/10	Yale University	\$30,000
008799	02/04/10	Young Concert Artists	\$250
008800	02/08/10	The Jewish Theological Seminary	\$5,000
008803	02/09/10	Friends of the IDF	\$250
008804	02/09/10	New Israel Fund	\$1,000
008805	02/09/10	Yeshivat Chovevei Torah	\$500
008806	02/09/10	City Harvest	\$100
008809	02/09/10	Social Venture Network	\$1,000
008812	02/18/10	Folksbiene Yiddish Theatre	\$250
008813	02/18/10	Hillel at UCLA	\$5,000
008814	02/18/10	Museum of Jewish Heritage	\$500
008815	02/18/10	Jewish Fund for Justice	\$500
008816	02/18/10	The Jewish Museum	\$5,000
008817	02/18/10	Jewish Women's Fdn of NY	\$250
008818	02/18/10	Meretz, U.S.A.	\$20,000
008819	02/18/10	New Israel Fund	\$15,000
008820	02/18/10	Lincoln Center for the Performing Arts	\$1,000
008821	02/18/10	The Metropolitan Museum of Art	\$400
008823	02/18/10	Volunteer Consulting Group, Inc	\$1,000
008825	03/04/10	DOROT	\$500
008826	03/04/10	Harold Grinspoon Foundation	\$500
008827	03/04/10	Simon Wiesenthal Center	\$200
008828	03/04/10	Yaddo	\$500
008829	03/04/10	Cardozo School of Law	\$250
008831	03/04/10	Group for the South Fork	\$1,000
008832	03/04/10	Human Rights Watch	\$200
008833	03/11/10	Columbia/Barnard Hillel	\$200
008834	03/11/10	Jewish Community Centers	\$2,500
008835	03/11/10	Jewish Funders Network	\$3,600
008836	03/11/10	Temple Israel of NYC	\$500
008837	03/11/10	Ackerman Institute for the Family	\$250
008839	03/11/10	Lower East Side Tenement Museum	\$250
008841	03/11/10	Heartshare Human Services of New York	\$500
008842	03/11/10	Publicolor	\$1,000
008843	03/11/10	Reading Reform Foundation	\$200
008844	03/11/10	Sesame Workshop	\$500
008845	03/11/10	Theatre For A New Audience	\$250
008846	03/11/10	Thirteen Associates	\$250
008850	03/18/10	American Jewish Joint Distribution Committee	\$4,500
008851	03/18/10	ALEPH Society Inc	\$250
008852	03/18/10	American Friends of the Hebrew University	\$1,000
008853	03/18/10	Jewish Community Relations Council of NY Inc	\$250
008854	03/18/10	Salute to Israel Parade/Israel Tribute Committee	\$100
008855	03/18/10	John Thomas Dye School	\$5,000
008856	03/18/10	Princess Grace Foundation-USA	\$800
008858	03/18/10	Scan New York Foundation	\$250
008859	03/25/10	The Doe Fund, Inc.	\$100
008860	03/25/10	Lubavitch of the East End, Inc	\$5,000
008861	03/25/10	The New York Board of Rabbis	\$500
008862	03/25/10	PEF Israel Endowment Funds Inc	\$800
008863	03/25/10	Museum of City of New York	\$500
008864	03/25/10	The New York Public Library	\$500
008865	03/25/10	New York Presbyterian Fund Inc	\$5,000
008866	03/25/10	Southern Poverty Law Center	\$750

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

008867	03/25/10	The Robin Hood Foundation	\$500
008868	03/25/10	Sarcoma Foundation of America	\$500
008877	04/01/10	International Sephardic Education Foundation	\$500
008878	04/01/10	The Israel Project	\$2,500
008879	04/01/10	Jewish Federation of Greater Santa Barbara	\$2,500
008880	04/01/10	Jewish Funders Network	\$25,000
008881	04/01/10	MAZON: A Jewish Response to Hunger	\$500
008882	04/01/10	North American Conference-Ethiopian Jewry	\$250
008883	04/01/10	The Rogosin Institute	\$5,000
008885	04/01/10	The Big Apple Circus	\$25,000
008886	04/01/10	The Brookings Institution	\$3,000
008887	04/01/10	The Churchill Fund	\$5,000
008888	04/01/10	Club of Madrid Foundation, Inc	\$451,000
008889	04/01/10	Guild Hall	\$750
008890	04/01/10	The Ojai Foundation	\$5,000
008891	04/01/10	Ronald McDonald House of Southern California	\$1,000
008899	04/15/10	Hand in Hand	\$400
008900	04/15/10	The Nature Conservancy	\$100
008901	04/15/10	Temple Israel of NYC	\$1,000
008902	04/15/10	All Our Kin, Inc.	\$500
008903	04/15/10	Animal Rescue Fund	\$500
008904	04/15/10	The Children's Storefront	\$250
008906	04/15/10	Samuel Waxman Cancer Research Foundation	\$5,000
008907	04/15/10	The Washington Institute	\$500
008908	04/22/10	American Jewish Joint Distribution Committee	\$10,000
008909	04/22/10	Abraham Joshua Heschel School	\$5,000
008910	04/22/10	Jewish Community High School	\$10,000
008911	04/22/10	Jewish Federation of North America	\$50,000
008912	04/22/10	Jewish Federation of North America	\$10,000
008913	04/22/10	Mechon Hadar	\$20,000
008914	04/22/10	North American Friends of Oranim, Inc	\$15,000
008915	04/22/10	New Israel Fund	\$5,000
008916	04/22/10	New Israel Fund	\$10,000
008917	04/22/10	American Friends of Nishmat	\$250
008918	04/22/10	Ohr Torah Stone Institutions	\$15,000
008919	04/22/10	PEF Israel Endowment Funds Inc	\$5,000
008920	04/22/10	PEF Israel Endowment Funds Inc	\$10,000
008921	04/22/10	PEF Israel Endowment Funds Inc	\$5,000
008922	04/22/10	PEF Israel Endowment Funds Inc	\$7,500
008923	04/22/10	Zionism 2000	\$2,500
008924	04/22/10	Children's Museum of the East End	\$100
008925	04/22/10	Earthville Network	\$7,000
008927	04/22/10	United Way of Santa Barbara	\$250
008928	04/22/10	PEF Israel Endowment Funds Inc	\$7,500
008929	04/22/10	PEF Israel Endowment Funds Inc	\$5,000
008931	04/22/10	Jewish Agency for Israel	\$10,000
008932	04/22/10	William J Clinton Foundation	\$19,000
008933	04/22/10	Ethical Culture Fieldston School	\$5,000
008934	04/22/10	Harvard Business School	\$2,500
008936	04/26/10	Yale University Joseph Slifka Center	\$1,000
008937	04/26/10	Anti-Defamation League	\$1,000
008938	04/26/10	Hospice of Palm Beach County	\$2,000
008939	04/26/10	Kravis Center for the Performing Arts	\$1,000
008940	04/26/10	Norton Museum of Arts	\$3,000
008941	04/26/10	Palm Beach Community Chest/United Way	\$1,000
008942	04/26/10	American Associates of the Royal Academy Trust	\$500
008944	04/29/10	Albert Einstein College of Medicine	\$200
008945	04/29/10	Avodah	\$500
008946	04/29/10	The Ciesla Foundation, Inc.	\$250

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

008947	04/29/10	Jewish Family Service/Family Resource Center	\$2,500
008948	04/29/10	Alliance for Children's Rights	\$1,000
008949	04/29/10	Animal Rescue Fund	\$500
008950	04/29/10	Fighting Chance, Inc.	\$250
008873	05/28/10	The Nature Conservancy	\$6,000
008953	05/04/10	The American Jewish Committee	\$1,000
008954	05/04/10	American Jewish Historical Society	\$500
008955	05/04/10	Hebrew College Rabbinical School	\$250
008956	05/04/10	The Abraham Fund Initiatives	\$909
008957	05/04/10	The Tanenbaum Center for Interreligious Understanding	\$1,000
008959	05/04/10	Northside Center for Childhood Development	\$250
008960	05/04/10	Public Interest Projects, Inc.	\$500
008961	05/04/10	Emanuel Center	\$250
008963	05/13/10	Jewish Center of the Hamptons	\$500
008964	05/13/10	Guild Hall	\$500
008967	05/27/10	Beit T'Shuvah	\$500
008968	05/27/10	Chai Lifeline	\$2,000
008969	05/27/10	Friends of Yad Sarah	\$500
008970	05/27/10	The Giraffe Project	\$100
008971	05/27/10	The Institute for Global Ethic	\$200
008972	05/27/10	Global Green USA	\$500
008973	05/27/10	Interfaith Center of NY	\$500
008974	05/27/10	New York Philharmonic	\$1,000
008975	05/27/10	Peconic Land Trust	\$200
008977	05/27/10	Metropolitan Opera Association	\$1,000
008978	06/01/10	Brandeis University	\$300,000
008979	06/01/10	CLAL	\$2,500
008980	06/01/10	Yale University	\$6,000
008983	06/08/10	University of Michigan	\$250
008984	06/08/10	The Abraham Fund Initiatives	\$5,000
008987	06/10/10	Hillel International	\$1,000
008988	06/10/10	Jewish Federation of Greater Los Angeles	\$800
008989	06/10/10	PEF Israel Endowment Funds Inc	\$500
008990	06/10/10	The Asia Society	\$65
008991	06/10/10	Circus Day Foundation	\$500
008992	06/10/10	Circus Smirkus	\$2,500
008993	06/10/10	Educators for Social Responsibility	\$250
008995	06/10/10	Montecito Community Foundation	\$250
008999	06/30/10	Hillel The Fdn for Jewish Campus Life	\$1,500
601101	06/01/10	The Abraham Fund Initiatives	\$600,000
008874	07/14/10	Kids Kicking Cancer	\$10,000
008875	07/15/10	Santa Barbara Zoological Fdn.	\$1,000
009000	07/01/10	American Friends of the Hebrew University	\$1,000
009001	07/01/10	Temple Israel of NYC	\$10,000
009004	07/01/10	Southampton Animal Shelter Fdn	\$500
009007	07/01/10	Yale School of Medicine	\$89,256
009008	07/06/10	Sesame Workshop	\$10,000
009010	07/08/10	Brandeis University	\$1,062,500
009011	07/08/10	C.A L M.	\$500
009012	07/08/10	Ellen's Run	\$500
009013	07/08/10	Friends of the High Line	\$250
009014	07/08/10	Planned Parenthood Hudson Peconic	\$200
009015	07/08/10	Princess Grace Foundation-USA	\$1,000
009020	07/21/10	B'nai Brith Foundation	\$100
009021	07/21/10	Jewish Fund for Justice	\$250
009022	07/21/10	Appleseed Foundation	\$100
009025	07/21/10	East Hampton Library	\$200
009026	07/21/10	HERE Arts Center	\$1,000
009027	07/21/10	New York Historical Society	\$250

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

009028	07/29/10	American Friends of Heschel Center for Environmental Learning & Leadership	\$10,000
009029	07/29/10	American Society University of Haifa	\$11,620
009030	07/29/10	American Society University of Haifa	\$30,000
009031	07/29/10	Beit T'Shuvah	\$30,000
009033	07/29/10	Chai Lifeline	\$2,500
009034	07/29/10	Friends of the Daniel Centers	\$2,000
009035	07/29/10	The Gesher Foundation	\$15,000
009036	07/29/10	The Hampton Synagogue	\$500
009037	07/29/10	HHREC	\$500
009038	07/29/10	Hillel of Greater Philadelphia	\$5,000
009039	07/29/10	Moving Traditions	\$7,500
009040	07/29/10	New Israel Fund	\$10,000
009041	07/29/10	New Israel Fund	\$10,000
009042	07/29/10	New Israel Fund	\$15,000
009043	07/29/10	American Pardes Fdn., Inc.	\$7,500
009044	07/29/10	PEF Israel Endowment Funds Inc	\$10,000
009045	07/29/10	PEF Israel Endowment Funds Inc	\$10,000
009047	07/29/10	PEF Israel Endowment Funds Inc	\$5,000
009048	07/29/10	American Friends of the Shalom Hartman Institute	\$20,000
009049	07/29/10	Yale University Joseph Slifka Center	\$10,000
009051	07/29/10	East Hampton Library	\$200
009053	07/29/10	Harvard Westlake School	\$100,000
009054	07/29/10	New York Center for Autism	\$500
009055	07/29/10	New York Open Center	\$5,000
009056	07/29/10	Rubin Museum of Art	\$2,000
009058	07/29/10	Teddy Bear Cancer Foundation	\$1,000
009059	07/29/10	The Washington Institute	\$1,000
009062	08/10/10	Elem/Youth in Distress	\$6,500
009063	08/10/10	Jewish Family Service/Family Resource Center	\$10,000
009064	08/10/10	Carnegie Hall Society, Inc.	\$1,000
009066	08/19/10	America-Israel Friendship Lg	\$10,000
009067	08/19/10	The Big Apple Circus	\$25,000
009068	08/19/10	Film Society of Lincoln Center	\$1,000
009069	08/23/10	New Israel Fund	\$10,000
009073	08/24/10	The Foundation Center	\$400
009075	08/24/10	Lincoln Center's Chairman's Council	\$2,500
009076	08/24/10	Phipps Community Development Corporation	\$200
009078	08/24/10	United Nations Association	\$100
009079	08/24/10	American Friends of Reuth	\$250
009080	09/02/10	Amenca-Israel Cultural Foundation, Inc.	\$250
009081	09/02/10	Beit T'Shuvah	\$375
009082	09/02/10	Congregation B'nai Jeshurun	\$25,000
009083	09/02/10	Jewish Big Brothers BigSisters	\$500
009084	09/02/10	UJA Federation of New York	\$160,000
009085	09/02/10	Santa Barbara Bowl Foundation	\$25,000
009087	09/02/10	Trinity College	\$15,000
009088	09/07/10	WIZO	\$200
009089	09/07/10	NYP/WCMC	\$5,000
009093	09/16/10	The Center for Jewish History	\$250
009094	09/16/10	Congregation Emanu-El of NYC	\$500
009095	09/16/10	Jewish National Fund	\$250
009096	09/16/10	The Cathedral Church of Saint John the Divine	\$500
009097	09/16/10	Heartbeats of the World, Inc.	\$250
009098	09/16/10	Human Rights First	\$250
009099	09/16/10	Museum of Modern Art	\$500
009100	09/16/10	United in Harmony	\$500
009101	09/28/10	The American-Israeli Cooperative Enterprise	\$1,000
009102	09/28/10	The HealthCare Chaplaincy	\$1,500
009103	09/28/10	Hebrew Union College	\$15,000

Alan B. Slifka Foundation, Inc
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

009104	09/28/10	Hebrew Home for the Aged at Riverdale Fdn., Inc.	\$500
009105	09/28/10	American Friends of the Israel Philharmonic Orchestra	\$1,000
009106	09/28/10	Salaam Shalom Educational Fdn	\$500
009107	09/28/10	Alliance for the Arts	\$250
008876	10/01/10	Human Rights First	\$10,000
009110	10/08/10	Congregation B'nai B'rith	\$5,000
009120	10/07/10	American Jewish Congress	\$10,000
009121	10/07/10	Moving Traditions	\$1,000
009122	10/07/10	New Israel Fund	\$7,500
009123	10/07/10	American Cancer Society	\$1,000
009124	10/07/10	Association of Hole in the Wall Camps	\$250
009125	10/07/10	The Enterprise Foundation	\$250
009127	10/07/10	The Interfaith Alliance	\$250
009128	10/07/10	Jazz at Lincoln Center	\$10,000
009129	10/07/10	Lenox Hill Hospital	\$250
009132	10/07/10	Yale University	\$600,000
009133	10/12/10	The Center for Jewish History	\$750
009134	10/12/10	American Pardes Fdn., Inc	\$1,000
009135	10/12/10	USC Shoah Foundations Inst.	\$500
009137	10/12/10	International Rescue Committee	\$100
009138	10/12/10	Mailman School of Public Health/Columbia University	\$500
009141	10/21/10	Defenders of the Historic Upper East Side	\$100
009143	10/21/10	Conference of Presidents Fund	\$5,000
009144	10/21/10	Int'l Society-Autism Research	\$25,000
009145	10/25/10	School of the Arts Foundation	\$2,500
009147	10/26/10	The Jewish Foundation	\$500
009148	10/26/10	Ohr Torah Stone Institutions	\$5,000
009149	10/26/10	The Big Apple Circus	\$10,000
009150	10/26/10	International Crisis Group	\$5,000
009151	10/26/10	New York Open Center	\$5,000
009152	10/26/10	Southern Poverty Law Center	\$250
009153	10/26/10	Samuel Waxman Cancer Research Foundation	\$5,000
009154	10/26/10	Yale University	\$20,000
009155	10/28/10	The American Jewish Committee	\$1,000
009156	11/02/10	Cystic Fibrosis Foundation	\$2,800
009157	11/02/10	Palm Beach Opera	\$1,000
009158	11/02/10	University of Michigan	\$150
009160	11/11/10	Beit T'Shuvah	\$10,000
009161	11/11/10	American Friends of the Hebrew University	\$500
009162	11/11/10	Abraham Joshua Heschel School	\$25,000
009163	11/11/10	Jewish Outreach Institute	\$500
009164	11/11/10	Jewish Funders Network	\$25,000
009166	11/11/10	Common Cause Education Fund	\$1,000
009168	11/11/10	The Dalton School	\$500
009169	11/11/10	The MacDowell Colony Inc	\$500
009170	11/11/10	The Metropolitan Museum of Art	\$2,500
009172	11/18/10	American Friends of the Yaakov Herzog Center for Jewish Studies	\$20,000
009173	11/18/10	Harold Grinspoon Foundation	\$10,000
009174	11/18/10	Institute for Jewish Spirituality	\$2,500
009175	11/18/10	Judaism and Democracy Action Alliance of North America	\$30,000
009176	11/18/10	Judaism and Democracy Action Alliance of North America	\$20,000
009177	11/18/10	Moishe Foundation	\$10,000
009178	11/18/10	PEF Israel Endowment Funds Inc	\$10,000
009179	11/18/10	PEF Israel Endowment Funds Inc	\$10,000
009180	11/18/10	PEF Israel Endowment Funds Inc	\$10,000
009181	11/18/10	PEF Israel Endowment Funds Inc	\$1,000
009182	11/18/10	RAVSAK-The Jewish Community Day School Network	\$20,000
009183	11/18/10	The Abraham Fund Initiatives	\$26,790
009184	11/18/10	Alliance for Children's Rights	\$1,000

Alan B. Slifka Foundation, Inc.
Schedule of Contributions
12/1/09-11/30/10
EIN#13-6192257

009185	11/18/10	The Curriculum Initiative	\$15,000
009186	11/18/10	Guild Hall	\$2,000
009187	11/18/10	Hand in Hand	\$7,500
009188	11/18/10	The Ladies Village Improvement Society of East Hampton	\$100
009189	11/18/10	Museum of Modern Art	\$500
009190	11/18/10	New York Center for Law & Justice, Inc.	\$1,000
009191	11/18/10	Santa Barbara Cottage Hospital	\$500
009192	11/18/10	Saint John's Health Center Fdn	\$1,000
009193	11/18/10	American Friends of Melitz	\$10,000
009194	11/18/10	Brandeis University	<u>\$21,336</u>

Total Contributions 12/1/09-11/30/10

\$5,891,008

Prior Year
Grants Returned \$25,000
Total Contributions \$5,866,008

PART 1 - LINE 1 - CONTRIBUTIONS RECEIVED

Alan B. Slifka	3,500,000
Estate of Sylvia Slifka	37,200

See Schedule B	
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TOTAL	<u>3,537,200</u>
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PART 1 - LINES 4,5,6 - COLUMN (a) and COLUMN (b)**DISTRIBUTION OF INCOME FROM PARTNERSHIPS & INVESTMENTS**

	PART 1 COL (a)	PART 1 COL (b)	FORM 990 T
LINE 4 - INTEREST & DIVIDENDS	<u>485,233</u>	<u>460,516</u>	24,717
LINES 6 & 7 - CAPITAL GAINS(NET)	<u>59,232</u>	<u>47,640</u>	11,592
TOTAL 990T			<u>36,309</u>

PART 1 - LINE 11 - OTHER INCOME(LOSS)

SLIFKA FAMILY BOND PARTNERSHIP	13-3425227	(1,938)
SLIFKA FAMILY MANAGERS FUND	13-3425995	9,934
HALCYON MULTIPLE STRATEGIES, LP	13-3547490	(26,911)
BASL FEEDER LLC	26-1569366	(89,272)
GLOBAL STRATEGIES EVENT DRIVEN FUND LP	13-3801023	<u>34,062</u>
TOTAL		<u>(74,125)</u>

PART 1 - LINE 16a - LEGAL FEES

REVIEW FOUNDATION ACTIVITIES, PREPARE VARIOUS DOCUMENTS TO OVERSEE THAT FOUNDATIONS MISSION IS CARRIED OUT AND EXEMPT PURPOSE IS FULFILLED.	<u>25,880</u>
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PART 1 - LINE 16b - ACCOUNTING FEES

REVIEW FOUNDATION TAX RETURNS AND FINANCIAL STATEMENTS TO COMPLY WITH RULES AND REGUALTIONS OF CHARITABLE ORGANIZATIONS	<u>4,982</u>
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PART 1 - LINE 16c - OTHER PROFESSIONAL FEES

REVIEW REQUEST FOR FOUNDATION FUNDS RE: WHETHER FOUNDATION CAN PROPERLY MAKE THEM AND TO CONSULT WHETHER THE FOUNDATION SHOULD MAKE THEM.	<u>315,413</u>
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PART 1 - LINE 18 - TAXES

FOREIGN INCOME TAX WITHHELD	2,818
FEDERAL EXCISE TAX - 990PF	11,445
FEDERAL INCOME TAX - 990T	0
NY STATE UNRELATED BUSINESS INCOME TAX	0
TOTAL	<u>14,263</u>

PART 1 - LINE 23 - OTHER EXPENSES

NY STATE ANNUAL FEE	750
NON-PROFIT ORGANIZATION DUES	736
FOUNDATION ADVERTISEMENT	125
MEALS RE: GRANTS	1,741
OFFICE EXPENSES	48,380
TELEPHONE	2,856
TOTAL	<u>54,588</u>

**PART IV - CAPITAL GAIN(LOSS) FOR TAX ON
INVESTMENT INCOME****DISTRIBUTION OF INCOME FROM PARTNERSHIPS**

	SHORT TERM	LONG TERM	TOTALS
SLIFKA FAMILY BOND PARTNERSHIP	5,169	(14,970)	
SLIFKA FAMILY MANAGERS FUND	22,448	15,746	
HALCYON MULTIPLE STRATEGIES, LP	140,561	(250,637)	
BASL FEEDER LLC	192,846	(25,714)	
GLOBAL STRATEGIES EVENT-DRIVEN FUND LP	47,389	(13,017)	
	<u>408,413</u>	<u>(288,592)</u>	119,821
LESS: AMOUNT ALLOCATED TO FORM 990T			<u>11,592</u>
NET PARTNERSHIP GAINS			108,229
LOSS ON SALE OF UNITS OF HALCYON STRUCTURED OPPORTUNITIES OFFSHORE LTD		(65,223)	
GAIN ON SALE OF OFFSHORE ASSET BACKED VALUE FUND		1,575	
GAIN ON SALE OF OFFSHORE FUND LIMITED		1,455	
GAIN ON SALE OF HALCYON PARTNERS OFFSHORE LTD		1,604	
NET OFFSHORE LOSSES			<u>(60,589)</u>
NET PART 1 - LINE 6 - COL(b)			<u>47,640</u>

PART II - BALANCE SHEET**LINE 13 - OTHER INVESTMENTS**

	BEGINNING OF YEAR	END OF YEAR	MARKET VALUE
SLIFKA FAMILY BOND PARTNERSHIP	457,537	0	0
SLIFKA FAMILY MANAGERS FUND	424,741	509,048	598,673
HALCYON MULTIPLE STRATEGIES, LP	2,415,783	2,534,653	2,806,779
HALCYON PARTNERS OFFSHORE LTD	4,012,593	4,014,198	5,063,592
GLOBAL STRATEGIES EVENT-DRIVEN FUND LP	1,029,645	0	0
HALCYON STRUCTURES OPPORTUNITIES OFFSHORE FUND LTD	355,095	222,022	125,068
HALCYON OFFSHORE ASSET BACKED VALUE FUND LTD	506,960	508,780	806,879
HALCYON OFFSHORE FUND LTD	10,010,597	10,022,809	11,565,516
BASL FEEDER LLC	2,909,193	3,104,773	6,176,119

TOTALS

22,122,144	20,916,283	27,142,626
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Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
SCHEDULE ATTACHED	-74,125.	-74,125.	
OFFSHORE DIVIDENDS	11,002.	11,002.	
Total	<u>-63,123.</u>	<u>-63,123.</u>	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
SCHEDULE ATTACHED	14,263.	2,818.		
PAYROLL TAXES	6,301.			6,301.
Total	<u>20,564.</u>	<u>2,818.</u>		<u>6,301.</u>

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
SCHEDULE ATTACHED	54,588.			5,459.
LATE PAYMENT PENALTY	215.			
Total	<u>54,803.</u>			<u>5,459.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
Gains Reorted on Part. K-1s		N/A	11/30/10
Therefore All Columns are not applicable			
withdrawal from Part.-Global Strategies	P	01/01/97	11/30/10

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			116,498.
1,152,403.		1,149,080.	3,323.
Total		<u>1,149,080.</u>	<u>119,821.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			116,498.
			3,323.
Total			119,821.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	ALAN B. SLIFKA FOUNDATION INC	13-6192257
	Number, street, and room or suite number. If a P.O. box, see instructions.	
	477 MADISON AVENUE, #8 FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK	NY 10022-5802

Check type of return to be filed (file a separate application for each return).

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► THE TAXPAYER

Telephone No. ► (212) 303-9400 FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Jul 15, 20 11, to file the exempt organization return for the organization named above.
The extension is for the organization's return for:

- ☐ calendar year 20__ or
- ☒ tax year beginning Dec 1, 20 09, and ending Nov 30, 20 10

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 11,000.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 12,617.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

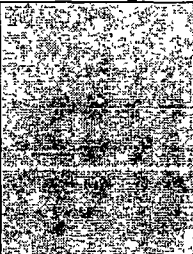
Form 8868 (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II - Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization		Employer identification number
	ALAN B. SLIFKA FOUNDATION INC		13-6192257
	Number, street, and room or suite number. If a P.O. box, see instructions		For IRS use only
	477 MADISON AVENUE, #8 FLOOR		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	NEW YORK NY 10022-5802		

Check type of return to be filed (File a separate application for each return)

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720 | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of THE TAXPAYER
Telephone No. (212) 303-9400 FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until Oct 17, 20 11.
- 5 For calendar year _____, or other tax year beginning Dec 1, 20 09, and ending Nov 30, 20 10.
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension. ALL INFORMATION FOR THE PROPER FILING OF THIS FORM IS STILL NOT AVAILABLE

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	11,000.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	12,617.
8c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

Date ▶

9/11/11