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Form **990-PF**Department of the Treasury
Internal Revenue Service (77)**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 12/1/2009, and ending 11/30/2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation John C. Griswold Foundation		A Employer identification number 13-2978937
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (800) 839-1754
	c/o Foundation Source, 501 Silverside Road 123		
	City or town, state, and ZIP code Wilmington DE 19809-1377		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) $\rightarrow$ \$ 12,620,181		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	2,181			
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	339,317	337,302		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	87,582			
b Gross sales price for all assets on line 6a 2,684,963				
7 Capital gain net income (from Part IV, line 2)		87,582		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	68	68		
12 Total. Add lines 1 through 11	429,148	424,952		
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	3,450			3,450
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	122,690	122,690		
17 Interest				
18 Taxes (attach schedule) (see page 1 of the instructions)	6,915	815		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	22,422			22,422
22 Printing and publications				
23 Other expenses (attach schedule)	47,160	344		46,816
24 Total operating and administrative expenses. Add lines 13 through 23	202,637	123,849		72,688
25 Contributions, gifts, grants paid	729,252			729,252
26 Total expenses and disbursements. Add lines 24 and 25	931,889	123,849		801,940
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-502,741			
b Net investment income (if negative, enter -0-)		301,103		
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

(HTA)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	149,576	52,061	52,061
	3 Accounts receivable ☐ Less allowance for doubtful accounts ☐			
	4 Pledges receivable ☐ Less allowance for doubtful accounts ☐			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ☐ Less allowance for doubtful accounts ☐			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	1,090,802	1,059,908	1,186,794
	b Investments—corporate stock (attach schedule)	7,308,885	6,904,701	8,464,647
	c Investments—corporate bonds (attach schedule)	2,709,308	2,739,208	2,908,443
	11 Investments—land, buildings, and equipment basis ☐ Less accumulated depreciation (attach schedule) ☐			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	6,162	6,114	8,236
	14 Land, buildings, and equipment basis ☐ Less accumulated depreciation (attach schedule) ☐			
	15 Other assets (describe ☐)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,264,733	10,761,992	12,620,181
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ☐)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	11,264,733	10,761,992	
	30 Total net assets or fund balances (see page 17 of the instructions)	11,264,733	10,761,992	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	11,264,733	10,761,992	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,264,733
2 Enter amount from Part I, line 27a	2	-502,741
3 Other increases not included in line 2 (itemize) ☐	3	0
4 Add lines 1, 2, and 3	4	10,761,992
5 Decreases not included in line 2 (itemize) ☐	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,761,992

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly-traded Securities				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,684,963		2,597,381	87,582	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	87,582	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	856,413	11,269,921	0.075991
2007	803,821	13,633,185	0.058961
2006	981,458	14,982,245	0.065508
2005	912,770	13,957,079	0.065398
2004	804,923	13,424,297	0.059960
2 Total of line 1, column (d)			2 0.325818
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.065164
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 12,509,839
5 Multiply line 4 by line 3			5 815,191
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,011
7 Add lines 5 and 6			7 818,202
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 801,940

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	6,022	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0	
3 Add lines 1 and 2		3	6,022	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,022	
6 Credits/Payments				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	15,106		
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	0		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d	7	15,106		
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,084		
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 6,200 Refunded ☐	11	2,884		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ _____				
14	The books are in care of ☐ c/o Foundation Source Telephone no ☐ (800) 839-1754			
Located at ☐ 501 Silverside Road, Suite 123 Wilmington DE ZIP+4 ☐ 19809-1377				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15 ☐			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐ 20 _____, 20 _____, 20 _____, 20 _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐ 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here				5b N/A
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)		☐ Yes	☐ No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870				6b X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?				7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS Financial Services 8044 Montgomery Road, Cincinnati, OH 45236	Investment Management Services	103,340

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1		
2		
3	All other program-related investments. See page 24 of the instructions	
		0
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,491,785
b	Average of monthly cash balances	1b	208,559
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	12,700,344
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	12,700,344
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	190,505
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,509,839
6	Minimum investment return. Enter 5% of line 5	6	625,492

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	625,492
2a	Tax on investment income for 2009 from Part VI, line 5	2a	6,022
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,022
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	619,470
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	619,470
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	619,470

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	801,940
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	801,940
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	801,940

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				619,470
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	150,678			
b From 2005	221,146			
c From 2006	261,956			
d From 2007	127,311			
e From 2008	297,407			
f Total of lines 3a through e	1,058,498			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 801,940				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				619,470
e Remaining amount distributed out of corpus	182,470			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,240,968			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	150,678			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,090,290			
10 Analysis of line 9				
a Excess from 2005	221,146			
b Excess from 2006	261,956			
c Excess from 2007	127,311			
d Excess from 2008	297,407			
e Excess from 2009	182,470			

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	339,317	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	87,582	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a K-1 Pass-Through Income			14	68	
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		426,967	0
13	Total. Add line 12, columns (b), (d), and (e)				13 426,967	

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF, Part I, Line 11 - Other Income

TOTAL:		68	68
		(a) Revenue per Books	(b) Net Investment Income
1	LAZARD LTD K-1 Pass-Through Share of Partnership Income/(Loss)	68	68
2			
3			
4			
5			
6			
7			
8			
9			
10			

Form 990-PF, Part I, Line 16a - Legal Fees

TOTAL:					3,450	0	0	3,450
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	General governance matters and counseling	3,450	0		3,450			
2								
3								
4								
5								
6								
7								
8								
9								
10								

Form 990-PF, Part I, Line 16c - Other Professional Fees

TOTAL:					122,690	122,690	0	0
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Investment Management Services	122,690	122,690		0			
2								
3								
4								
5								
6								
7								
8								
9								
10								

Form 990-PF, Part I, Line 18 - Taxes

TOTAL:					6,915	815	0	0
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Estimated tax for 2010	6,100	0		0			
2	Foreign Tax Paid	815	815		0			
3								
4								
5								
6								
7								
8								
9								
10								

Form 990-PF, Part I, Line 23 - Other Expenses

TOTAL:					47,160	344	0	46,816
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Administrative Fees	46,066	0	0	46,066			
2	Bank Charge	343	343		0			
3	K-1 pass-through LAZARD LTD	1	1		0			
4	State or local filing fees	750	0		750			
5								
6								
7								
8								
9								
10								

Form 990-PF, Part II, Line 10a - Investments: US & State Government Obligations

		TOTAL:	1,050,307	1,059,908	1,186,794
	Description/Symbol/CUSIP Number	Shares	Line 10a - Column (b) Book Value	Line 10a - Column (c) Fair Market Value	
1	FHLB - 5.250% - 06/18/2014 (3133X7FK5)	150,000	153,945	171,861	
2	FNMA - 5.250% - 09/15/2016 (31359MMV41)	200,000	201,435	235,326	
3	FNMA - 5.125% - 04/15/2011 (31359MM26)	200,000	200,023	203,634	
4	FNMA - 5.375% - 06/12/2017 (31398ADM1)	125,000	127,532	147,926	
5	MASS RRB SER 2005-1 CL A-3 - 4.130% - 09/15/2013 (575800AD9)	25,307	24,689	25,727	
6	US TREAS NOTE - 4.250% - 08/15/2015 (UST081515)	200,000	201,347	226,656	
7	US TREAS NOTE - 4.750% - 08/15/2017 (912828HA1)	150,000	150,937	175,664	
8					
9					
10					

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:				6,904,701	8,464,647
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value	
1	ABBOTT LABS (ABT)	2,000	106,379	93,019	
2	ACCENTURE PLC (ACN)	1,800	60,071	77,975	
3	ADVANCE AUTO PARTS INC (AAP)	240	10,276	15,837	
4	AETNA INC (AET)	248	6,224	7,345	
5	AGILENT TECHNOLOGIES INC (A)	1,875	68,490	65,663	
6	ALCOA INC (AA)	3,935	98,150	51,667	
7	ALLIANCE DATA SYSTEM CORP (ADS)	340	13,557	21,447	
8	ALLSTATE CORP (ALL)	2,080	41,812	60,549	
9	ALTERA CORPORATION (ALTR)	670	13,454	23,510	
10	AMERICAN EXPRESS CO (AXP)	2,000	91,031	86,440	
11	AMERICAN TOWER CORP CL A (AMT)	371	13,517	18,761	
12	AMETEK INC (AME)	326	11,556	19,289	
13	AMGEN INC (AMGN)	2,545	101,331	134,096	
14	AMPHENOL CORPORATION (APH)	237	10,698	11,857	
15	ANSYS INC (ANSS)	380	11,617	18,430	
16	APACHE CORPORATION (APA)	800	36,232	86,112	
17	APPLE INC (AAPL)	600	23,160	186,690	
18	ARCHER DANIELS MDLND (ADM)	805	23,207	23,337	
19	AUTOZONE INC (AZO)	120	10,426	31,129	
20	AVAGO TECHNOLOGIES LIMITED (AVGO)	524	12,261	13,682	
21	AVERY DENNISON CORP (AVY)	1,740	67,020	65,320	
22	BANCORPSOUTH INC (BXS)	1,180	16,026	15,163	
23	BANK NEW YORK MELLON CORP COM (BK)	2,150	64,066	58,029	
24	BANK OF AMERICA CORP (BAC)	2,000	35,970	21,900	
25	BED BATH & BEYOND INC. (BBBY)	320	8,083	13,997	
26	BERKLEY W R CP (WRB)	188	4,147	5,018	
27	BEST BUY INC (BBY)	1,500	79,232	64,080	
28	BOEING CO (BA)	925	50,851	58,987	
29	BROWN FORMAN CORP CL B (BF-B)	206	11,293	13,477	
30	C H ROBINSON WORLDWIDE INC (CHRW)	150	3,788	11,057	
31	CATALYST HEALTH SOLUTIONS, INC (CHSI)	289	11,020	12,404	
32	CELANESE CORPORATION (CE)	375	12,076	13,875	
33	CEPHALON, INC (CEPH)	225	16,883	14,285	
34	CHECK POINT SOFTWARE TECHNOLOGIES LTD (CHKP)	344	9,944	14,747	
35	CHESAPEAKE ENERGY CORPORATION (CHK)	1,980	44,036	41,818	
36	CHURCH & DWIGHT COMMON (CHD)	190	11,260	12,398	
37	CISCO SYSTEMS INC (CSCO)	7,690	67,591	147,340	
38	COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION (CTSH)	637	15,342	41,392	
39	COMMUNITY HEALTH (CYH)	2,635	91,777	83,951	
40	CONCHO RESOURCES INC (CXO)	190	9,189	15,723	
41	CONOCOPHILLIPS (COP)	810	54,263	48,738	
42	CVS CAREMARK CORP. (CVS)	2,000	73,956	62,000	
43	DANAHER CORP (DHR)	1,000	37,931	43,250	
44	DARDEN RESTAURANTS INC. (DRI)	260	7,620	12,727	

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:				6,904,701	8,464,647
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value	
45	DAVITA INC COMMON (DVA)	90	4,722	6,543	
46	DICK'S SPORTING GDS (DKS)	520	10,285	17,789	
47	DIGITAL RLTY TR INC (DLR)	209	10,078	10,977	
48	DISCOVERY COMMUNICATIONS, INC - SERIES C COMMON ST (DISCK)	350	11,449	12,429	
49	DOLLAR TREE INC (DLTR)	328	7,819	18,024	
50	DOVER CORP (DOV)	330	13,744	18,087	
51	DU PONT DE NEMOURS (DD)	1,390	70,723	65,316	
52	EATON CORP (ETN)	572	40,242	55,141	
53	ECOLAB INC (ECL)	1,400	43,776	66,934	
54	EMC CORP-MASS (EMC)	4,000	76,338	85,960	
55	EMERGENCY MED SVCS (EMS)	202	9,656	10,007	
56	EMERSON ELECTRIC CO (EMR)	2,000	48,947	110,140	
57	EQUINIX, INC. (EQIX)	115	9,999	8,924	
58	EXPRESS SCRIPTS INC. (ESRX)	2,600	17,631	135,434	
59	EXXON MOBIL CORP (XOM)	1,800	34,004	125,208	
60	FIDELITY NATIONAL INFORMATION SERVICES INC (FIS)	294	6,784	7,909	
61	FISERV INC (FISV)	510	20,390	28,203	
62	FLUOR CORP (FLR)	155	7,730	8,964	
63	GAMESTOP CORP (GME)	483	11,338	9,621	
64	GAP INC (GPS)	2,725	50,413	58,206	
65	GEN DYNAMICS CP (GD)	700	42,452	46,263	
66	GENERAL ELECTRIC CO (GE)	7,865	138,632	124,503	
67	GLOBAL PAYMENTS INC (GPN)	256	8,490	10,639	
68	GOOGLE INC CL A (GOOG)	125	56,131	69,464	
69	GRAINGER W W INC. (GWW)	165	12,612	20,613	
70	H J HEINZ COMPANY (HNZ)	1,064	47,039	51,359	
71	HANSEN NATURAL CORPORATION (HANS)	440	13,728	23,417	
72	HEALTH NET INC (HNT)	2,760	117,526	74,520	
73	HEWLETT PACKARD CO (HPQ)	2,500	80,323	104,825	
74	HUMANA INC (HUM)	205	8,688	11,488	
75	INTERCNTLTXCHANGE (ICE)	103	9,617	11,608	
76	INTERNATIONAL BUSINESS MACHINES (IBM)	300	39,444	42,438	
77	INTERNATIONAL PAPER CO (IP)	935	23,447	23,347	
78	INTUITIVE SURGICAL, INC (ISRG)	56	7,562	14,576	
79	ISHARES MSCI EMERGING MARKETS INDEX FD (EEM)	5,100	165,010	228,378	
80	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	12,000	394,251	533,880	
81	ISHARES MSCI-HONG KONG (EWH)	11,000	134,605	207,790	
82	ISHARES TRUST MSCI EAFE INDEX FUND (EFA)	13,500	749,825	732,510	
83	JONES LANG LASALLE (JLL)	134	4,272	10,696	
84	JP MORGAN ALERIAN ET (AMJ)	3,500	104,505	125,125	
85	JP MORGAN CHASE & CO (JPM)	3,265	140,097	122,111	
86	JUNIPER NETWORKS (JNPR)	580	12,125	19,732	
87	KANSAS CITY SOUTHERN (KSU)	301	10,906	14,249	

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:				6,904,701	8,464,647
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value	
88	LABORATORY CORP AMER HLDGS (LH)	270	14,513		22,148
89	LANDSTAR SYSTEM (LSTR)	205	6,330		7,370
90	LENDER PROCESSING SERVICES INC (LPS)	161	5,493		4,954
91	LIBERTY GLOBAL INC (LBTYA)	390	11,942		13,751
92	LIFEPOINT HOSPITALS, INC (LPNT)	1,810	54,289		65,558
93	LINCARE HOLDINGS, INC. (LNCR)	495	14,553		12,746
94	LINEAR TECHNOLOGY CORP (LLTC)	380	13,830		12,388
95	LOWES COMPANIES INC. (LOW)	5,215	107,647		118,381
96	LUBRIZOL CP (LZ)	113	10,761		11,815
97	MACY'S INC (M)	2,795	51,182		71,776
98	MARATHON OIL CORP COM (MRO)	1,980	88,816		66,271
99	MARRIOTT INTERNATIONAL INC. CL A (MAR)	444	14,187		17,409
100	MCKESSON CORP (MCK)	205	7,921		13,100
101	MEDTRONIC INC (MDT)	2,640	70,457		88,519
102	MERCK & CO INC (MRK)	1,700	61,518		58,599
103	METLIFE INC. (MET)	1,550	45,036		59,133
104	MICROCHIP TECHNOLOGY INC. (MCHP)	830	20,315		27,896
105	MICROSOFT CORPORATION (MSFT)	2,500	60,770		63,150
106	MOHAWK INDS INC (MHK)	159	12,163		8,354
107	MOLEX INCORPORATED (MOLX)	521	10,211		10,837
108	MSC INDUSTRIAL DRCT (MSM)	145	7,634		8,720
109	MSCI INC (MSCI)	174	4,052		5,926
110	NALCO CHEMICAL (NLC)	660	12,270		19,417
111	NIKE INC-CL B (NKE)	550	38,324		47,372
112	NOKIA (NOK)	3,150	40,876		29,075
113	ORACLE CORP (ORCL)	3,200	67,557		86,560
114	PANERA BREAD CO. CL. A (PNRA)	127	10,224		12,730
115	PATTERSON-UTI ENERGY INC (PTEN)	3,525	83,962		69,443
116	PEABODY ENERGY CORP (BTU)	279	8,955		16,408
117	PEPSICO INC (PEP)	2,000	86,797		129,260
118	PETSMART INC. COM (PETM)	440	12,209		16,658
119	PFIZER INC. (PFE)	6,545	132,932		106,684
120	PG & E CORP (PCG)	1,500	63,852		70,395
121	PNC FINANCIAL GROUP INC (PNC)	1,045	47,557		56,273
122	PRAXAIR INC (PX)	500	41,283		46,025
123	PRECISION CASTPARTS (PCP)	105	8,394		14,497
124	PRICELINE COM INCORPORATED (PCLN)	93	6,378		36,647
125	PROCTER GAMBLE CO (PG)	1,800	32,069		109,926
126	PRUDENTIAL FINCL INC (PRU)	1,355	59,595		68,671
127	QEP RESOURCES INC (QEP)	300	6,724		10,539
128	REHAB CARE GROUP INC (RHB)	1,100	24,115		22,253
129	RIVERBED TECHNOLOGY, INC (RVBD)	544	7,812		18,458
130	ROBERT HALF INTL INC (RHI)	364	10,229		10,090

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:			6,904,701	8,464,647
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
131	ROCKWOOD HOLDINGS INC (ROC)	516	10,872	19,696
132	ROPER INDUSTRIES NEW COMMON (ROP)	170	7,448	12,310
133	SAFEWAY INC (SWY)	1,905	39,823	43,796
134	SCHLUMBERGER LTD (SLB)	1,500	43,035	116,010
135	SHIRE PHARMACEUTICALS, INC (SHPGY.PK)	314	14,720	22,087
136	SKYWORKS SOLUTIONS, INC (SWKS)	553	11,787	14,074
137	SOUTHWESTERN ENERGY (SWN)	1,998	57,388	72,328
138	ST JUDE MED INC. (STJ)	510	12,623	19,732
139	STEC INC (STEC)	206	2,861	3,504
140	STERICYCLE INC (SRCL)	201	4,362	14,854
141	STRYKER CORPORATION (SYK)	1,500	64,845	75,135
142	T. ROWE PRICE ASSOCIATES (TROW)	292	13,390	17,032
143	TARGET CORPORATION (TGT)	2,200	118,767	125,268
144	TEMPUR PEDIC INTERNATIONAL INC (TPX)	232	6,967	8,146
145	TEREX CORP (TEX)	2,850	62,540	69,198
146	TEXAS INSTRUMENTS INC. (TXN)	2,455	43,939	78,069
147	TJX COMPANIES INC (TJX)	740	17,901	33,751
148	TRIUMPH GROUP INC (TGI)	137	10,595	11,526
149	UNITED TECHNOLOGIES CORP (UTX)	1,400	86,118	105,378
150	UNITEDHEALTH GROUP INC (UNH)	2,195	59,303	80,161
151	URS CORP (URS)	204	9,060	8,066
152	V F CORP (VFC)	550	41,457	45,584
153	VALERO ENERGY CORP (VLO)	3,260	65,187	63,505
154	WEATHERFORD INTL (WFT)	562	9,379	11,470
155	WELLS FARGO & CO (WFC)	5,140	157,753	139,859
156	WESCO INTL INC (WCC)	945	20,449	45,095
157	WESTERN UNION CO (WU)	590	13,422	10,408
158	WHITING PETROLEUM CORP (WLL)	96	8,808	10,565

Form 990-PF, Part II, Line 10c - Investments: Corporate Bonds

		TOTAL:		2,739,208	2,908,443
	Description/Symbol/CUSIP Number	Shares	Line 10c - Column (b) Book Value	Line 10c - Column (c) Fair Market Value	
1	ABBOTT LABS NOTE - 5.600% - 11/30/2017 (002819AB6)	100,000	105,737	117,521	
2	BERKSHIRE HATHAWAY FIN CORP 5.0% 08/15/2013 (084664BG5)	100,000	107,475	110,251	
3	BERKSHIRE HATHAWAY FIN CORP 5.4% 05/15/2018 (084664BE0)	50,000	53,564	55,628	
4	CATERPILLAR INC NOTES - 5.700% - 08/15/2016 (149123BM2)	150,000	151,165	178,181	
5	CHASE ISS TR SER 2005-A7 - 4.550% - 03/15/2013 (161511AQ7)	150,000	147,015	150,705	
6	CHEVRON CORP - 3.95% - 03/03/2014 (166751AH0)	150,000	154,383	162,269	
7	CISCO SYSTEMS INC - 5.000% - 02/22/2016 (17275RAC6)	150,000	156,693	174,935	
8	CITIGROUP INC NOTES - 6.000% - 02/21/2012 (172967BJ9)	200,000	208,650	210,414	
9	GE CAP CORP - 6.125% - 02/22/2011 (36962GWB6)	150,000	150,020	151,913	
10	GENERAL ELEC CO 5.25% DUE 12-06-2017 BEO (369604BC6)	25,000	26,406	27,504	
11	GOLDMAN SACHS - 5.000% - 01/15/2011 (38141GEF7)	100,000	96,639	100,345	
12	GOLDMAN SACHS GRP INC - 5.125% - 01/15/2015 (38141GEA8)	50,000	52,911	53,800	
13	JP MORGAN CHASE - 4.500% - 01/15/2012 (46625HCA6)	100,000	99,738	103,999	
14	KEYBANK NA SR NTS FDIC TLGP 3.20000% 06/15/2012GTD (49328CAA3)	75,000	78,341	78,127	
15	MERRILL LYNCH & CO NOTES - 5.000% - 01/15/2015 (59018YUW9)	200,000	192,254	208,432	
16	MORGAN STANLEY NT - 6.600% - 04/01/2012 (617446HC6)	100,000	105,512	106,982	
17	NATIONAL RURAL UTIL CORP - 4.750% - 03/01/2014 (637423DC6)	100,000	101,626	110,042	
18	ORACLE CORP - 5.25% - 01/15/2016 (68402LAC8)	75,000	73,759	85,934	
19	ORACLE CORP NOTES 04.95000% 04/15/2013 (68389XAD7)	100,000	108,359	109,648	
20	PG&E ENERGY REC FD 2005-1 A4 - 4.370% - 06/25/2014 (693401AD3)	185,698	179,422	190,887	
21	UNITED PARCEL SRVC NOTE 05.50000% 01/15/2018 CALL (911312AH9)	200,000	210,284	233,718	
22	WACHOVIA CORP - 5.500% - 05/01/2013 (92976WBJ4)	100,000	100,715	109,448	
23	WELLS FARGO & CO - 6.375% - 08/01/2011 (949746CE9)	75,000	78,540	77,760	

Form 990-PF, Part II, Line 13 - Investments - Other

TOTAL:		Line 13 - Column (b) Book Value	Line 13 - Column (c) Fair Market Value
	Asset Description		
1	LAZARD LTD (LAZ)	6,114	8,236
2			
3			
4			
5			
6			
7			
8			
9			
10			

Form 990-PF, Part VIII, Line 1 - Compensation of Officers, Directors, Trustees and Foundation Managers

TOTAL:										0	0	0
	Name	Street	City	State	Zip Code	Title	Avg. Hrs	Comp	Benefits	Expense Account		
1	Jacqueline G Moore	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Chairman Emeritus	1	0	0	0		
2	Allyson Griswold Dayak	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	President * / Trustee * Removed 9/21/10	1	0	0	0		
3	John G Earls	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	President / Vice President */ Trustee *Removed 9/21/10	1	0	0	0		
4	Jeffrey W Earls	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Trustee	1	0	0	0		
5	David Earls	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Secretary / Trustee	1	0	0	0		
6	James R Donnelley	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Treasurer / Trustee	1	0	0	0		
7	Christopher B Earls	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Trustee	1	0	0	0		
8	D Ross Griswold Jr	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Trustee	1	0	0	0		
9	Michael G Earls	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Trustee	1	0	0	0		
10	Mark Griswold	c/o Foundation Source 501 Silverside Road Suite 123	Wilmington	DE	19809	Vice President / Secretary */ Trustee *Removed 9/21/10	1	0	0	0		

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CLINICA VERDE

☐ Person ☒ Business**Street**

109 CAMINO VISTA

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

12,000

Name

COMMUNITY SCHOOL INC

☐ Person ☒ Business**Street**

PO BOX 2118

City

SUN VALLEY

State

ID

Zip code

83353

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Griswold Scholarship

Amount

17,000

Name

CRAIG BOND CHORAL SOCIETY

☐ Person ☒ Business**Street**

PO BOX 164

City

SAINT HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

500

Name

FAIRFIELD THEATRE COMPANY INC

☐ Person ☒ Business**Street**

70 SANFORD ST

City

FAIRFIELD

State

CT

Zip code

06824

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

2,250

Name

FIRST STEP HOME INC

☐ Person ☒ Business**Street**

2203 FULTON AVE

City

CINCINNATI

State

OH

Zip code

45206

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

6,500

Name

FRIENDS OF POWELL GARDENS INC

☐ Person ☒ Business**Street**

1609 NW US HWY 50

City

KINGSVILLE

State

MO

Zip code

64061

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

25,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

FRIENDS OF SHIPLEY NATURE CENTER

☐ Person ☒ Business**Street**

17829 GOLDENWEST ST

City

HUNTINGTON BEACH

State

CA

Zip code

92647

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Wild Gardens Program

Amount

5,000

Name

FRIENDS OF THE ST HELENA PUBLIC LIBRARY

☐ Person ☒ Business**Street**

PO BOX 171

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Name

GRACE EPISCOPAL CHURCH

☐ Person ☒ Business**Street**

1314 SPRING ST

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

27,125

Name

GRACE FAMILY VINEYARDS FOUNDATION

☐ Person ☒ Business**Street**

1210 ROCKLAND DR

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,500

Name

HEART OF AMERICA SHAKESPEARE FESTIVAL

☒ Person ☒ Business**Street**

3619 BROADWAY

City

KANSAS CITY

State

MO

Zip code

64111

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

25,000

Name

JOHN L MAGRO FOUNDATION

☐ Person ☒ Business**Street**

PO BOX 43032

City

CINCINNATI

State

OH

Zip code

45243

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

20,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

LINTON INCORPORATED

☐ Person ☒ Business**Street**

1241 ELM ST CINCINNATI MUSIC HALL

City

CINCINNATI

State

OH

Zip code

45202

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

3,000

Name

LITERACY CENTER WEST

☐ Person ☒ Business**Street**

3208 WARSAW AVE

City

CINCINNATI

State

OH

Zip code

45205

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

2,000

Name

LITERACY CENTER WEST

☐ Person ☒ Business**Street**

3208 WARSAW AVE

City

CINCINNATI

State

OH

Zip code

45205

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Capital Campaign

Amount

40,000

Name

LOW COUNTRY INSTITUTE INC

☐ Person ☒ Business**Street**

40 MOBLEY OAKS LN

City

OKATIE

State

SC

Zip code

29909

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

David Soliday's Rice Project

Amount

10,000

Name

MILLIKIN UNIVERSITY

☐ Person ☒ Business**Street**

1184 W MAIN ST

City

DECATUR

State

IL

Zip code

62522

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Griswold Center Addition

Amount

10,000

Name

MILLIKIN UNIVERSITY

☐ Person ☒ Business**Street**

1184 W MAIN ST

City

DECATUR

State

IL

Zip code

62522

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Project Confirm

Amount

25,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MILLIKIN UNIVERSITY

☐ Person ☒ Business**Street**

1184 W MAIN ST

City

DECATUR

State

IL

Zip code

62522

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Project Confirm The Campaign for Entrepreneurship Division

Amount

25,000

Name

MOUNT VERNON LADIES ASSOCIATION OF THE UNION

☐ Person ☒ Business**Street**

GEORGE WASHINGTON ESTATE

City

MOUNT VERNON

State

VA

Zip code

22121

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

9,000

Name

MY STUFF BAGS FOUNDATION

☐ Person ☒ Business**Street**

5347 STERLING CENTER DR

City

WESTLAKE VLG

State

CA

Zip code

91361

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

9,063

Name

NAPA VALLEY VINE TRAIL COALITION

☐ Person ☒ Business**Street**

702 TRANCAS ST

City

NAPA

State

CA

Zip code

94558

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Name

NORTHERN NEW MEXICO RADIO FOUNDATION

☐ Person ☒ Business**Street**

6401 S RICHARDS AVE

City

SANTA FE

State

NM

Zip code

87508

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,250

Name

POSITIVE DIRECTIONS-THE CENTER FOR PREVENTION AND RECOVERY

☐ Person ☒ Business**Street**

420 POST RD W

City

WESTPORT

State

CT

Zip code

06880

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

PROSPECT HOUSE INC

☐ Person ☒ Business**Street**

682 HAWTHORNE AVE

City

CINCINNATI

State

OH

Zip code

45205

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

35,250

Name

QUALITY DEER MANAGEMENT ASSOCIATION

☐ Person ☒ Business**Street**

PO BOX 160

City

BOGART

State

GA

Zip code

30622

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

2,000

Name

SALT LAKE CITY BICYCLE COLLECTIVE

☐ Person ☒ Business**Street**

2312 S W TEMPLE

City

SALT LAKE CTY

State

UT

Zip code

84115

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

2,500

Name

SIMPLY SMILES INC

☐ Person ☒ Business**Street**

1771 POST RD E

City

WESTPORT

State

CT

Zip code

06880

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

10,000

Name

SOUTHPORT CONGREGATIONAL CHURCH - UNITED CHURCH OF CHRIST

☐ Person ☒ Business**Street**

P O BOX 366

City

SOUTHPORT

State

CT

Zip code

06890

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

12,000

Name

ST HELENA MONTESSORI SCHOOL INC

☐ Person ☒ Business**Street**

1343 SPRING ST

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

8,750

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ST HELENA MONTESSORI SCHOOL INC

☐ Person ☒ Business**Street**

1343 SPRING ST

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Building Fund

Amount

50,000

Name

ST HELENA MONTESSORI SCHOOL INC

☐ Person ☒ Business**Street**

1343 SPRING ST

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Annual Fund Campaign

Amount

12,125

Name

ST MARY'S CHURCH

☐ Person ☒ Business**Street**

2853 ERIE AVE

City

CINCINNATI

State

OH

Zip code

45208

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,500

Name

STEPHEN C MCCULLAGH FUND

☐ Person ☒ Business**Street**

1478 RAILROAD AVE STE 1

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

4,000

Name

STUDENTS RUN AMERICA

☐ Person ☒ Business**Street**

5252 CREBS AVE

City

TARZANA

State

CA

Zip code

91356

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

5,625

Name

TAFT MUSEUM OF ART

☐ Person ☒ Business**Street**

316 PIKE ST

City

CINCINNATI

State

OH

Zip code

45202

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

THE CONNECTICUT AUDUBON SOCIETY INCORPORATED

☐ Person ☒ Business**Street**

2325 BURR ST

City

FAIRFIELD

State

CT

Zip code

06824

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

15,000

Name

THERAPEUTIC RIDING CENTER OF HUNTINGTON BEACH INC

☐ Person ☒ Business**Street**

PO BOX 2298

City

HUNTINGTN BCH

State

CA

Zip code

92647

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

9,063

Name

UNITY SCHOOL OF CHRISTIANITY

☐ Person ☒ Business**Street**

1901 NW BLUE PKWY

City

UNITY VILLAGE

State

MO

Zip code

64065

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

5,000

Name

UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION

☐ Person ☒ Business**Street**

4199 CAMPUS DR STE 400

City

IRVINE

State

CA

Zip code

92612

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

Orthopedic Biomechanics Lab in the Department of Orthopedic Surgery

Amount

15,000

Name

UNIVERSITY OF CINCINNATI FOUNDATION

☐ Person ☒ Business**Street**

PO BOX 19970

City

CINCINNATI

State

OH

Zip code

45219

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Waddell Clinic for Multiple Sclerosis

Amount

50,000

Name

UNIVERSITY OF CINCINNATI FOUNDATION

☐ Person ☒ Business**Street**

PO BOX 19970

City

CINCINNATI

State

OH

Zip code

45219

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Christopher Earls Family Endowment Fund

Amount

7,250

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF SOUTHERN CALIFORNIA

☐ Person ☒ Business**Street**

HERITAGE HALL 203A

City

LOS ANGELES

State

CA

Zip code

90089

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

10,000

Name

VOCAL ARTS ENSEMBLE OF CINCINNATI

☐ Person ☒ Business**Street**

PO BOX 8404

City

CINCINNATI

State

OH

Zip code

45208

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

7,500

Name

WE CARE ANIMAL RESCUE INC

☐ Person ☒ Business**Street**

1345 CHARTER OAK AVE

City

ST HELENA

State

CA

Zip code

94574

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

500

Name

WETLANDS AND WILDLIFE CARE CENTER

☐ Person ☒ Business**Street**

21900 PACIFIC COAST HWY

City

HUNTINGTN BCH

State

CA

Zip code

92646

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

New equipment and repairs of existing equipment

Amount

15,000

Name

WORKSHOP IN BUSINESS OPPORTUNITIES INC

☐ Person ☒ Business**Street**

245 W 17TH ST FL 11

City

NEW YORK

State

NY

Zip code

10011

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

WIBO Replication Program

Amount

1,000

Name☐ Person ☐ Business**Street****City****State****Zip code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

0