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Form 990-PF

Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

OMB No. 1545-0052

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements Internal Revenue Service For calendar year 2009, or tax year beginning 12/1/2009 . and ending 11/30/2010 Initial return Initial return of a former public charity ☐ Final return G Check all that apply Amended return Address change □ Name change Use the IRS Employer identification number Name of foundation label. John C Griswold Foundation 13-2978937 Otherwise. Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (see page 10 of the instructions) print c/o Foundation Source, 501 Silverside Road 123 (800) 839-1754 or type. City or town, state, and ZIP code C If exemption application is pending, check here See Specific Instructions. Wilmington 19809-1377 D 1. Foreign organizations, check here Section 501(c)(3) exempt private foundation H Check type of organization 2. Foreign organizations meeting the 85% test Other taxable private foundation Section 4947(a)(1) nonexempt charitable trust check here and attach computation If private foundation status was terminated Fair market value of all assets at end J Accounting method X Cash Accrual under section 507(b)(1)(A), check here of year (from Part II, col (c), Other (specify) F If the foundation is in a 60-month termination line 16) ▶ \$ (Part I, column (d) must be on cash basis) under section 507(b)(1)(B), check here 12,620,181 (d) Disbursements Part I Analysis of Revenue and Expenses (The total of (a) Revenue and (b) Net investment (c) Adjusted net for charitable amounts in columns (b), (c), and (d) may not necessarily equal expenses per purposes income income the amounts in column (a) (see page 11 of the instructions)) books (cash basis only) Contributions, gifts, grants, etc., received (attach schedule) 2.181 Check ► X if the foundation is not required to attach Sch B 3 Interest on savings and temporary cash investments Dividends and interest from securities 339,317 337,302 5 a Gross rents **b** Net rental income or (loss) Revenue 6 a Net gain or (loss) from sale of assets not on line 10 87.582 **b** Gross sales price for all assets on line 6a ___2,684,963 Capital gain net income (from Part IV, line 2) 87,582 Net short-term capital gain Income modifications 10 a Gross sales less returns and allowances b Less Cost of goods sold (N) c Gross profit or (loss) (attach schedule) 68 68 Other income (attach schedule) 12 Total. Add lines 1 through 11 429,148 424,952 13 Expenses Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits 3.450 16 a Legal fees (attach schedule) 3,450 **b** Accounting fees (attach schedule) Operating and Administrative c Other professional fees (attach schedule) 122,690 122.690 17 Interest 18 6,915 815 19 Depreciation (att S Occupancy Travel, confer 20 ences land meetings 011 -SE 21 22,422 22,422 22 Printing and publications 23 Other expenses (at 47,160 344 46,816 Total operating and admirit 24 Add lines 13 through 23 202,637 123,849 72.688 25 Contributions, gifts, grants paid 729,252 729,252 26 Total expenses and disbursements. Add lines 24 and 25 931,889 123,849 801,940 Subtract line 26 from line 12 -502,741 a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) 301,103

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. (HTA)

c Adjusted net income (if negative, enter -0-)

Form 990-PF (2009)

| Pai | rt II | Balance Sheets Attached schedules and amounts in the description column | Beginning of year | End | of year |
|--------------------|-------|---|---------------------|----------------|-----------------------|
| | | should be for end-of-year amounts only (See instructions) | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 149,576 | 52,061 | 52,061 |
| | 3 | Accounts receivable | | | |
| | | Less allowance for doubtful accounts | | | |
| | 4 | Pledges receivable | | | |
| | | Less allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | - |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | | disqualified persons (attach schedule) (see page 15 of the | | | |
| | | instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) | | | |
| Ŋ | | Less allowance for doubtful accounts | | | |
| šet | 8 | Inventories for sale or use | - | ·- | |
| Assets | 9 | Prepaid expenses and deferred charges | | | |
| • | | Investments—U S and state government obligations (attach schedule) | 1,090,802 | 1,059,908 | 1,186,794 |
| | _ | nivestments—corporate stock (attach schedule) | 7,308,885 | 6,904,701 | |
| | | C Investments—corporate bonds (attach schedule) | 2,709,308 | 2,739,208 | |
| | 11 | Investments—land, buildings, and equipment basis | 2,1.00,000 | 2,100,200 | 2,000,110 |
| | • | Less accumulated depreciation (attach schedule) | | | l |
| | 12 | Investments—mortgage loans | | | · |
| | 13 | Investments—other (attach schedule) | 6,162 | 6,114 | 8,236 |
| | 14 | · · · · · · · · · · · · · · · · · · · | 0,102 | 0,112 | 0,230 |
| | 14 | | | | |
| | 45 | Less accumulated depreciation (attach schedule) | | | |
| | 15 | Other assets (describe) | | | |
| | 16 | Total assets (to be completed by all filers—see the instructions. Also, see page 1, item l) | 44 004 700 | 40 704 000 | 10.000.404 |
| | 47 | | 11,264,733 | 10,761,992 | 12,620,181 |
| | 17 | Accounts payable and accrued expenses | | | - |
| S | 18 | Grants payable | | <u></u> | 4 |
| Liabilities | 19 | Deferred revenue | | | 4 |
| ΞĠ | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | 4 |
| ∟ia | 21 | Mortgages and other notes payable (attach schedule) | | | 4 |
| _ | 22 | Other liabilities (describe) | | | 4 ! |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | (|] |
| | | Foundations that follow SFAS 117, check here | | | |
| es | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| alances | 24 | Unrestricted | | | |
| ala | 25 | Temporanly restricted . | | | |
| m | 26 | Permanently restricted | | | |
| nd | | Foundations that do not follow SFAS 117, check here | | | |
| Fu | | and complete lines 27 through 31. | | | |
| Net Assets or Fund | 27 | Capital stock, trust principal, or current funds | | | |
| ţ | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| se | 29 | Retained earnings, accumulated income, endowment, or other funds | 11,264,733 | 10,761,992 | 2 |
| AS | 30 | Total net assets or fund balances (see page 17 of the | ··· | | |
| et / | | instructions) | 11,264,733 | 10,761,992 | 2 |
| Ž | 31 | Total liabilities and net assets/fund balances (see page 17 | | | |
| | _ | of the instructions) | 11,264,733 | 10,761,992 | 2 |
| Pai | t III | Analysis of Changes in Net Assets or Fund Balances | | | |
| 1 | Total | net assets or fund balances at beginning of year-Part II, column (a), line | 30 (must agree with | | |
| | end-c | of-year figure reported on prior year's return) | - | 1 | 11,264,733 |
| | | amount from Part I, line 27a | | 2 | -502,741 |
| | | | | | 0 |
| | | ines 1, 2, and 3 | | 4 | 10,761,992 |
| | | and | | <u>-</u> | 0 |
| | | net assets or fund balances at end of year (line 4 minus line 5)—Part II, c | | 6 | 10,761,992 |
| | | , | | | 10,701,032 |

| (a) List and describe the kil | Losses for Tax on Investor nd(s) of property sold (e.g., real estate, or common stock, 200 shs MLC Co.) | nent Inco | me (b) How acquired P—Purchase D—Donation | (c) Date a | | (d) Date sold (mo , day, yr) |
|--|---|----------------|---|-------------|--|----------------------------------|
| 1a Publicly-traded Securities | | | D Bondion | | | |
| b | | | | | | |
| С | | | | <u> </u> | | |
| d | | | <u> </u> | | _ | |
| <u>e</u> | | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | | or other basis ense of sale | | | or (loss) minus (g) |
| a 2,684,963 | | | 2,597,381 | | | 87,582 |
| b | | | | | | |
| c d | | | | | | ····· |
| e | | | | | | |
| | g gain in column (h) and owned by | the foundati | on on 12/31/69 | | Gaine (Col | (h) gain minus |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Exce | ss of col (ı) | | (k), but not | less than -0-) or om col (h)) |
| a | ac of 1210 free | | , ₍₎ , , any | | 200000 (11 | om our (m) |
| b | | | | | | |
| С | | | | | | |
| d | | | | • | | |
| е | | | | | | |
| 2 Capital gain net income or (ne | et capital loss) $\begin{cases} If gain, also \\ If (loss), ent \end{cases}$ | | | 2 | | 87,582 |
| 3 Net short-term capital gain or | - • | | | | | |
| | e 8, column (c) (see pages 13 | and 17 of t | he } | | | |
| instructions) If (loss), enter -0 | | | J | 3 | | |
| Part V Qualification Under | r Section 4940(e) for Redu | ced Tax c | on Net Investme | ent Incom | ie | |
| (For optional use by domestic private) | vate foundations subject to the | section 494 | 40(a) tax on net ir | vestment i | ncome) | |
| If section 4940(d)(2) applies, leav | e this part blank | | | | | |
| Was the foundation liable for the | section 4942 tax on the distribu | utable amou | unt of any year in | the base p | eriod? | Yes X No |
| If "Yes," the foundation does not o | qualify under section 4940(e) I | Do not com | plete this part | | | |
| 1 Enter the appropriate amour | nt in each column for each year | r, see page | 18 of the instruct | ions before | making | any entries |
| (a) | (b) | 1 | (c) | | | (d) |
| Base period years Calendar year (or tax year beginning in) | Adjusted qualifying distributions | Net value | (c) of nonchantable-use a | ssets | | ribution ratio |
| 2008 | 856,41 | 3 | 11,269 | 921 | (COI (B) G | livided by col. (c)) 0.075991 |
| 2007 | 803,82 | | 13,633 | | | 0 058961 |
| 2006 | 981,45 | _ | 14,982 | | | 0 065508 |
| 2005 | 912,77 | | 13,957 | ,079 | | 0 065398 |
| 2004 | 804,92 | 23 | 13,424 | ,297 | | 0 059960 |
| 2 Total of line 4 column (d) | | | | | | 0.225040 |
| 2 Total of line 1, column (d)3 Average distribution ratio for t | bo E year base period divide | the total or | ling 2 by E. or by | . 2 | | 0 325818 |
| | dation has been in existence if | | | 3 | | 0 065164 |
| 4 Enter the net value of noncha | ritable-use assets for 2009 from | m Part X, III | ne 5 | 4 | | 12,509,839 |
| 5 Multiply line 4 by line 3 | | | | 5 | | 815,191 |
| 6 Enter 1% of net investment in | come (1% of Part I, line 27b) | | | 6 | <u> </u> | 3,011 |
| 7 Add lines 5 and 6 | | | | 7 | | 818,202 |
| 8 Enter qualifying distributions f | from Part XII. line 4 | | | 8 | | 801,940 |
| | than line 7, check the box in Pa | art VI, line 1 | b, and complete | | ing a 1% | |

General (or designate) of each state as required by General Instruction G? If "No," attach explanation

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing

If "Yes," complete Part XIV

their names and addresses

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)

or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)?

8b

9

| Form | 990-PF (2009) | 8937 | P | age 5 |
|------|--|--|------|--------------|
| Par | t VII-A Statements Regarding Activities (continued) | _ | | |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the | | | |
| | meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | _ <u>X</u> _ |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before | | | |
| | August 17, 2008? | 12 | | <u> </u> |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | _X_ | |
| | Website address | | | |
| 14 | The books are in care of ► c/o Foundation Source Telephone no ► | | 839- | 1/54 |
| 4.5 | Located at ► 501 Silverside Road, Suite 123 Wilmington DE ZIP+4 ► 19809-1377 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year • 15 | | Þ | اا |
| - | | <u> </u> | | |
| Pa | rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| _ | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | | ŀ | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) | | ł | İ |
| | a disqualified person? Yes X No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No | | ŀ | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No | | ŀ | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available | | | |
| | for the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" | | | |
| | If the foundation agreed to make a grant to or to employ the official for a period | | | 1 |
| _ | after termination of government service, if terminating within 90 days) | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | X |
| _ | Organizations relying on a current notice regarding disaster assistance check here | | j | |
| С | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 4- | | |
| 2 | | 1c | | <u>X</u> |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | ĺ | ł |
| а | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d | | | } |
| u | and 6e, Part XIII) for tax year(s) beginning before 2009? | | | |
| | If "Yes," list the years ≥ 20 | | | 1 |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | |] |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) | | | 1 |
| | to all years listed, answer "No" and attach statement—see page 20 of the instructions) | 2b | N/A | |
| C | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | | |
| | ▶ 20 | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business | | | |
| | enterprise at any time during the year? | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation | | | |
| | or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved | | | |
| | by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) | | - | Ì |
| | the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine | <u> </u> | | |
| 4 | if the foundation had excess business holdings in 2009) | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| þ | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable | | | |

| Forn | 1 990-F | F (2009) | John C | Griswold Foundation | n | | | | | | | 13 | 3-297 | 8937 | F | age 6 |
|------|--------------|-----------|-------------------------------|--|-----------|---|------|----------------------------------|---------|--|-----------|---------------|-------|-------------|-------------------|--------|
| Pa | rt VI | -B Sta | atements | Regarding Activi | ties f | or Which Forn | n 4 | 720 May Be R | equir | ed (cont | ınued |) | | | | |
| 5a | (1) | Carry on | propagand | undation pay or incur a la, or otherwise attemp ne of any specific publi | t to infl | luence legislation (| • | | | | Yes | X | No * | | | |
| | | on, direc | tly or indire | ctly, any voter registrat | ion driv | ve? | | | | | Yes | X | No | | | |
| | (3) | Provide a | a grant to a | n individual for travel, s | tudy, c | or other similar pur | pos | es? | | | Yes | X | No | | | |
| | (4) | | _ | n organization other than , (2), or (3), or section 4 | | | | | | | Yes | X | No | | | |
| | (5) | | | oose other than religiou es, or for the prevention | | | | • | | | Yes | X | No | | | |
| b | | | | 5a(1)–(5), did any of the | | | | | | | | | | | | |
| | | | | 945 or in a current notic | _ | - | | | of the | instruction | s)? | $\overline{}$ | | _5b | N/A | |
| | _ | | | a current notice regardi uestion 5a(4), does the | - | | | | | | • | • Щ | | | | |
| · | tax b | ecause it | maintained | expenditure responsible to required by Regulation | ility for | the grant? | | inom the | | | Yes | | No | | | ; |
| 6a | | | | the year, receive any | | | ٠, | pay | | | | | | | ı | |
| | prem | iums on a | personal b | enefit contract? | | • | • | | | | Yes | X | No | | | |
| b | | | ition, during file Form 88 | the year, pay premiun 370 | ns, dıre | ectly or indirectly, o | n a | personal benefit | contrac | ct? | | | | 6b | | X |
| 7a | At an | y tıme du | ring the tax | year, was the foundati | on a p | arty to a prohibited | tax | shelter transaction | on? | | Yes | X | No | | | |
| | _ | - | | receive any proceeds of | | <u>-</u> | | | | | | | | 7b | N/A | |
| Р | art V | | | on About Officer | s, Dir | ectors, Truste | es | , Foundation | Mana | agers, H | ighly | Paic | I Em | ploy | ees, | |
| _ | 1 :-4 | | and Cont | | -l - 4! | | 1 41 | | | | 00 - 6 | | | | | |
| _1_ | LIST | all oπice | ers, airect | tors, trustees, foun | | Title, and average | | eir compensat c) Compensation | | ee page (d) Contribu | | ine ir | | | | |
| | | (a) | Name and ad | Idress | ` ` | hours per week evoted to position | | f not paid, enter -0-) | en | nployee ber deferred co | efit plan | | | | se acco owance | |
| SEI | <u> AT</u> | ACHME | NT | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | _ |
| | | | | | · | | | | | | | | | | | |
| 2 | | | on of five er "NONE. | highest-paid empl | oyees | (other than the | ose | included on li | ne 1— | -see pag | e 23 o | f the | instr | uctio | ns). | |
| (a |) Name | and addre | ss of each er | nployee paid more than \$5 | 0,000 | (b) Title, and avera hours per week devoted to position | | (c) Compensation | on : | (d) Contr employe plans and compe | e benefi | it | | | se acco owance | |
| NO | <u>NE</u> | | | | | | | | | • | | | | | | |
| | | | | | | | | | | | | | | • | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| Tot | ai nu | mber of | other emp | loyees paid over \$50 | ,000 | | | | | | | | | ▶ | | |
| | | | | · | | | | | | | | | Fo | m 99 | 0-PF | (2009) |

| Part VIII Information About Officers, Directors, Trustee | es, Foundation Managers, Highly Pai | d Employees, |
|---|---|------------------|
| and Contractors (continued) 3 Five highest-paid independent contractors for professional services | /acc none 22 of the instructions) If none and | "NONE " |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| UBS Financial Services | (b) Type of Scivice | (c) Compensation |
| 8044 Montgomery Road, Cincinnati, OH 45236 | Investment Management Services | 103,340 |
| | investment wanagement est vices | 103,540 |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | S | • |
| Part IX-A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct chantable activities during the tax year. Include reletithe number of organizations and other beneficiaries served, conferences convened, resea | | Expenses |
| 1 | ·- | |
| | | |
| *************************************** | | |
| 2 | | |
| | | |
| | | |
| 3 | | |
| | | |
| | | |
| 4 | | |
| | | |
| Part IX-B Summary of Program-Related Investments (see p | age 24 of the instructions) | |
| Describe the two largest program-related investments made by the foundation during the ta | ax year on lines 1 and 2 | Amount |
| 1 | | |
| | | |
| | | |
| 2 | | |
| | | |
| All other program-related investments. See page 24 of the instructions | | |
| 3 | | |
| | | 0 |
| Total. Add lines 1 through 3 | > | 0 |

| Pa | Minimum Investment Return (All domestic foundations must complete this part. Foreign f | oundat | ions, |
|------|---|----------|------------|
| | see page 24 of the instructions.) | | |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes | | |
| а | Average monthly fair market value of securities | 1a | 12,491,785 |
| b | Average of monthly cash balances | 1b | 208,559 |
| C | Fair market value of all other assets (see page 24 of the instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 12,700,344 |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | _2 | |
| 3 | Subtract line 2 from line 1d | 3 | 12,700,344 |
| 4 | Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 | | |
| | of the instructions) | 4 | 190,505 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 12,509,839 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 625,492 |
| Pai | t XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private or | perating | |
| | foundations and certain foreign organizations check here And do not complete this part) | | |
| 1 | Mınımum investment return from Part X, line 6 | 1 | 625,492 |
| 2a | Tax on investment income for 2009 from Part VI, line 5 2a 6,022 | | |
| b | Income tax for 2009 (This does not include the tax from Part VI) | | |
| C | Add lines 2a and 2b | 2c | 6,022 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 619,470 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0 |
| 5 | Add lines 3 and 4 | 5 | 619,470 |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, | | |
| | line 1 | 7 | 619,470 |
| Par | XII Qualifying Distributions (see page 25 of the instructions) | | |
| r aı | All Qualifying Distributions (see page 25 of the instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| а | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 801,940 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| а | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 801,940 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | |
| | income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 801,940 |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found qualifies for the section 4940(e) reduction of tax in those years | ation | |

| ran | Undistributed incon | ne (see page 25 of t | ne instructions) | | | |
|-----|------------------------------------|---|------------------|--------------------|---------------|---------------------------------------|
| | | | (a) | (b) | (c) | (d) |
| 1 | Distributable amount for 2009 from | om Part XI, | Corpus | Years pnor to 2008 | 2008 | 2009 |
| | line 7 | | | | | 619,470 |
| 2 | Undistributed income, if any, as | of the end of 2009 | | | | |
| а | Enter amount for 2008 only | | | | 0 | |
| b | Total for prior years 20, | 20, 20 | | 0 | | |
| 3 | Excess distributions carryover, i | f any, to 2009 | | | | |
| а | From 2004 | 150,678 | | | | |
| b | From 2005 | 22 <u>1,146</u> | | | | |
| С | From 2006 | 261,956 | | | | |
| d | From 2007 | 127,311 | | | | |
| е | From 2008 | 297,407 | | · | | |
| f | Total of lines 3a through e | | 1,058,498 | | | |
| 4 | Qualifying distributions for 2009 | from Part | | | | |
| | XII, line 4 ► \$ | 801,940 | | | | |
| а | | nan line 2a . | | | 0 | |
| b | Applied to undistributed income | of prior years | | | | |
| | (Election required—see page 26 | 6 of the instructions) | | 0 | | |
| С | Treated as distributions out of c | · | | | | |
| | required—see page 26 of the in | structions) | | | | |
| d | Applied to 2009 distributable am | ′ ' | | | | 619,470 |
| е | | | 182,470 | | | · · · · · · · · · · · · · · · · · · · |
| 5 | Excess distributions carryover a | | 0 | | | 0 |
| | (If an amount appears in columi | ' ' | | | | |
| | same amount must be shown in | | | | | |
| 6 | Enter the net total of each col | lumn as | | | | |
| | indicated below: | | | | | |
| а | Corpus Add lines 3f, 4c, and 4e | Subtract line 5 | 1,240,968 | | | |
| b | Prior years' undistributed incom | e Subtract | | | | |
| | line 4b from line 2b | | | 0 | | |
| С | Enter the amount of prior years' | undistributed | | | | |
| | income for which a notice of def | | | : | | |
| | been issued, or on which the se | · | | | | |
| | tax has been previously assess | • • • | | | ١. | |
| d | Subtract line 6c from line 6b Ta | | | | | |
| | amount—see page 27 of the ins | | | 0 | | |
| e | Undistributed income for 2008 | | | | | |
| _ | 4a from line 2a Taxable amoun | | | | | , |
| | instructions | 000 pago 127 01 1110 | | | 0 | |
| f | | Subtract | | | | |
| | lines 4d and 5 from line 1. This | | | | | |
| | be distributed in 2010 | | | | | l o |
| 7 | Amounts treated as distributions | s out of | | | | |
| | corpus to satisfy requirements if | | | | | |
| | section 170(b)(1)(F) or 4942(g)(| | | | | |
| | the instructions) | (0) (000 00 | | | | |
| 8 | Excess distributions carryover fi | rom 2004 | | - J | <u></u> | · |
| | not applied on line 5 or line 7 (s | | | | | |
| | instructions) | pg: -: | 150,678 | | | |
| 9 | Excess distributions carryove | er to 2010. | | | _ | |
| - | Subtract lines 7 and 8 from line | | 1,090,290 | | | |
| 10 | Analysis of line 9 | | .,000,000 | | | |
| | Excess from 2005 | 221,146 | | 1 | | ļ |
| h | Excess from 2006 | 261,956 | | ! | | |
| ~ | Excess from 2007 | 127,311 | | | | |
| 4 | Excess from 2008 | 297,407 | | | | |
| 9 | Excess from 2009 | 182,470 | | | | |

| Form | 990-PF (2009) John C Griswold Foundation | <u>1</u> | | | 13-2978 | 937 Page 10 |
|----------------|---|--|------------------------|-----------------------|---------------------------------------|--------------------|
| Par | t XIV Private Operating Foundations (s | ee page 27 of the | ne instructions a | nd Part VII-A, qı | uestion 9) | N/A |
| 1 a | If the foundation has received a ruling or determination foundation, and the ruling is effective for 2009, ent | | | • | | - |
| b | Check box to indicate whether the foundation is a | private operating for | undation described ii | n section | 4942(J)(3) or | 4942(j)(5) |
| 2 a | Enter the lesser of the adjusted net | Tax year | | Prior 3 years | | |
| | income from Part I or the minimum investment return from Part X for each | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| | year listed | | | | | |
| b | 85% of line 2a | | | | | |
| С | Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| е | Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 a | Complete 3a, b, or c for the alternative test relied upon "Assets" alternative test—enter | | | | | |
| | (1) Value of all assets | | | | | |
| | (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| С | "Support" alternative test—enter | | | | | |
| | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | : | | |
| | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| | (3) Largest amount of support from an exempt organization | | | | | |
| | (4) Gross investment income | | | | | |
| Pa | rt XV Supplementary Information (Cor | mplete this part | only if the fou | ndation had \$5 | ,000 or more in | |
| | assets at any time during the ye | ar—see page 2 | 8 of the instruc | tions.) | | |
| 1 | Information Regarding Foundation Manag | | | | | |
| а | List any managers of the foundation who have cor | | | • | the foundation | |
| | before the close of any tax year (but only if they ha | ive contributed more | e than \$5,000) (See | section 507(a)(2)) | | |
| <u>ио</u> | | ar mara of the star | uk of a sorporation /s | | | |
| _ | List any managers of the foundation who own 10% ownership of a partnership or other entity) of which | | | | ortion of the | |
| <u>NO</u> 2 | NE Information Regarding Contribution, Gran | t Gift Loan Sch | nolarship etc. Pr | rourame: | | |
| _ | Check here ► X if the foundation only makes unsolicited requests for funds. If the foundation may under other conditions, complete items 2a, b, c, ar | contributions to pre akes gifts, grants, et | selected charitable | organizations and d | | ons |
| а | The name, address, and telephone number of the | person to whom ap | plications should be | addressed | | · · |
| b | The form in which applications should be submitte | d and information ai | nd materials they sh | ould include | · · · · · · · · · · · · · · · · · · · | |
| С | Any submission deadlines | | | | | |
| d | Any restrictions or limitations on awards, such as t factors | by geographical area | as, charitable fields, | kinds of institutions | , or other | |

Part XV Supplementary Information (continued)

| Name and address (home or business) | 3 Grants and Contributions Paid During the Y | | r Future Pa | yment | |
|--|--|----------------------------|----------------|-------------------------|---------|
| a Paid during the year AIDS ASSISTANCE PROGRAM 1276 N PALM CANYON PALM SPRINGS CA 92262 AIDS SERVICE FDN OF GREATER KANSAS CTY PO BOX 32192 KANSAS CITY MO 64171 ALLIANCE FOR CHILDREN AND FAMILIES INC 17700 W LAKE PARK DR MILWAUKEE WI 53224 AMIGOS DE BOLSA CHICA PO BOX 33193 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 9872 HAMILTON AVE HUNTINGTON BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 18 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI HUSLEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI USUBE MA SSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK N/A 509(a)(1) General & Unrestricted 10,000 10,000 10,000 10,000 11,00 | | any foundation manager | status of | · · · · · · | Amount |
| AIDS ASSISTANCE PROGRAM 1276 N PALM CANYON PALM SPRINGS CA 92262 AIDS SERVICE FDN OF GREATER KANSAS CTY PO BOX 32192 KANSAS CITY MO 64171 ALLIANCE FOR CHILDREN AND FAMILIES INC 11700 W LAKE PARK DR MILWAUKEE WI 53224 AMIGOS DE BOLSA CHICA PO BOX 1563 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 972 HAMILTON AVE HUNTINGTON BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 105 W 4TH ST CINCINNATI OH 45214 CINCINNATI HALBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OF PRA ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 CINCINNATI SYMPHONY ORCHESTRA N/A 509(a)(1) General & Unrestricted 20,000 | | or substantial contributor | Тестрісті | | |
| 1276 N PALM CANYON PALM SPRINGS CA 92262 AIDS SERVICE FDN OF GREATER KANSAS CTY PO BOX 32192 KANSAS CITY MO 64171 ALLIANCE FOR CHILDREN AND FAMILIES INC 11700 W LAKE PARK DR MILWAUKEE W 53224 AMIGOS DE BOLSA CHICA PO BOX 1563 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 972 HAMILTON AVE HUNTINGTON BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH IS 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1055 CENTRAL PKWY CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 Total See Attached Statement ▶ 3a 729,255 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 5,000 509(a)(1) General & Unrestricted 5,000 509(a)(1) General & Unrestricted 5,000 509(a)(1) General & Unrestricted 11,250 General & Unrestricted 11,250 General & Unrestricted 15,000 509(a)(1) Casa Hogar Belen Orphanage General & Unrestricted 15,000 General & Unrestricted 15,000 Term Reserve Program 2,500 Casa Hogar Belen Orphanage Orphanage General & Unrestricted 15,000 General & Unrestricted 15,000 General & Unrestricted 15,000 General & Unrestricted 20,000 CINCINNATI OPERA ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 CINCINNATI SYMPHONY ORCHESTRA N/A 509(a)(1) General & Unrestricted 20,000 | | ALIA | E00(a)(1) | Conoral & Unrestructed | 0.000 |
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| PO BOX 32192 KANSAS CITY MO 64171 ALLIANCE FOR CHILDREN AND FAMILIES INC 11700 W LAKE PARK DR MILWAUKEE WI 53224 AMIGOS DE BOLSA CHICA PO BOX 1563 HUNTINGTON BEACH CA 92647 PO BOX 1563 HUNTINGTON BEACH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 3032 DEL DOISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS VABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 105 W 4TH ST CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 6TH ST CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK N/A 509(a)(1) Ceneral & Unrestricted 10,000 25 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 104 S09(a)(1) Ceneral & Unrestricted 10,000 25 General & Unrestricted 10,000 25 General & Unrestricted 10,000 26 General & Unrestricted 10,000 27 CINCINNATI OPERA ASSOCIATION 104 S09(a)(1) Ceneral & Unrestricted 10,000 27 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 107 Ceneral & Unrestricted 10,000 107 Ceneral & Unrestricted 10 Ce | | N/A | 509(a)(1) | General & Unrestricted | 5 000 |
| ALLIANCE FOR CHILDREN AND FAMILIES INC 11700 W LAKE PARK DR MILWAUKEE WI 53224 AMIGOS DE BOLSA CHICA PO BOX 1563 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 9872 HAMILTON AVE HUNTINGTN BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 3032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 18 SWABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45202 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 105 W 5637 CINCINNATI OH 45206 CINCINNATI OPERA ASSOCIATION 105 W 509 (a)(1) 105 W 509 (a)(1) 105 W 509 (a)(1) 105 W 609 (a)(1) 107 W 609 (a)(1) 109 W 609 (a)(1) 1 | | | | | 0,000 |
| 11700 W LAKE PARK DR MILWAUKEE WI 53224 AMIGOS DE BOLSA CHICA PO BOX 1563 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 9872 HAMILTON AVE HUNTINGTN BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI CHAMBER ORCHESTRA INC 153 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK N/A 509(a)(1) General & Unrestricted 10,000 1243 ELM ST MUSIC HALL CINCINNATI OH 45206 CINCINNATI CHAMBER ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 20,000 107,0 | | N/A | 509(a)(2) | WIBO Replication | 10,000 |
| PO BOX 1563 HUNTINGTON BEACH CA 92647 BEACH CITIES COMMUNITY CHURCH INC 9872 HAMILTON AVE HUNTINGTN BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 9,063 99(a)(1) General & Unrestricted 15,000 15,0 | 11700 W LAKE PARK DR MILWAUKEE WI 53224 | | | Project | |
| BEACH CITIES COMMUNITY CHURCH INC 9872 HAMILTON AVE HUNTINGTN BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 9,063 509(a)(1) General & Unrestricted 15,000 15,000 15,000 15,000 16,000 17 Terr Reserve Program 17 Casa Hogar Belen 18 Casa Hogar Belen 19 | | N/A | 509(a)(1) | General & Unrestricted | 11,250 |
| 9872 HAMILTON AVE HUNTINGTN BCH CA 92646 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 30232 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 3,000 General & Unrestricted 20,000 | | | | | |
| BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 15,000 1 | | N/A | 509(a)(1) | General & Unrestricted | 9,063 |
| 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC CINCINNATI BALLET COMPANY INC CINCINNATI CHAMBER ORCHESTRA INC CINCINNATI CHAMBER ORCHESTRA INC CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) EONS Program 2,500 309(a)(1) Casa Hogar Belen Orphanage N/A 509(a)(1) General & Unrestricted 15,000 309(a)(1) General & Unrestricted 25,000 General & Unrestricted 3,000 General & Unrestricted 3,000 General & Unrestricted 3,000 Total See Attached Statement ■ 3a 729,252 | | NI/A | E00(a)(1) | General & Unrestricted | 15 000 |
| BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC CINCINNATI CHAMBER ORCHESTRA INC CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45202 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) Tern Reserve Program 2,500 309(a)(1) Casa Hogar Belen Orphanage 070(a) 509(a)(1) Casa Hogar Belen Orphanage 070(a) 509(a)(1) Tern Reserve Program 2,500 2,500 Casa Hogar Belen Orphanage 070(a) 509(a)(1) New Works Program 25,000 General & Unrestricted 20,000 General & Unrestricted 25,000 General & Unrestricted 25,000 General & Unrestricted 25,000 General & Unrestricted 25,000 General & Unrestricted 20,000 | | IN/A | 509(a)(1) | General & Officsincled | 15,000 |
| 3842 WARNER AVE HUNTINGTON BCH CA 92649 BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 3032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI WISEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) Tern Reserve Program 2,500 Casa Hogar Belen Orphanage 0 Pophanage 0 Pophanage 15,000 General & Unrestricted 15,000 15,000 15,000 16,000 17,000 18,000 19, | | N/A | 509(a)(1) | EONS Program | 2.500 |
| BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BCH CA 92649 CAPISTRANO VALLEY CHURCH 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) Tern Reserve Program 2,500 Casa Hogar Belen Orphanage 15,000 Pophanage 15,000 Total See Attached Statement N/A 509(a)(1) Casa Hogar Belen Orphanage 15,000 Casa Hogar Belen Orphanage 15,000 Pophanage 15,000 Total See Attached Statement N/A 509(a)(1) Casa Hogar Belen Orphanage 15,000 Pophanage 15,000 Total See Attached Statement N/A 509(a)(1) Casa Hogar Belen Orphanage 15,000 Pophanage 15,000 Total See Attached Statement N/A 509(a)(1) Casa Hogar Belen Orphanage 15,000 Pophanage 1 | | | | | 2,000 |
| CAPISTRANO VALLEY CHURCH N/A 509(a)(1) Casa Hogar Belen 5,625 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 N/A 509(a)(1) Casa Hogar Belen 5,625 CHICAGO YOUTH CENTERS N/A 509(a)(1) General & Unrestricted 15,000 218 S WABASH AVE, STE 600 CHICAGO IL 60604 N/A 509(a)(1) New Works Program 25,000 CINCINNATI BALLET COMPANY INC N/A 509(a)(1) New Works Program 25,000 1555 CENTRAL PKWY CINCINNATI OH 45214 N/A 509(a)(2) General & Unrestricted 2,000 105 W 4TH ST CINCINNATI OH 45202 N/A 509(a)(1) General & Unrestricted 10,000 953 EDEN PARK DR CINCINNATI OH 45202 N/A 509(a)(1) General & Unrestricted 25,000 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 N/A 509(a)(1) General & Unrestricted 3,000 PO BOX 6537 CINCINNATI OH 45206 N/A 509(a)(1) General & Unrestricted 20,000 CINCINNATI SYMPHONY ORCHESTRA N/A 509(a)(1) General & Unrestricted 20,000 Total See Attached Statement N/A 509(a)(1) For all Norestricted 20,000< | | N/A | 509(a)(1) | Tern Reserve Program | 2,500 |
| 32032 DEL OBISPO ST SJ CAPISTRANO CA 92675 CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 10,000 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 15,000 16,000 17,000 18,000 19,000 19,000 10,000 10,000 11,000 11,000 11,000 12,000 11,000 12,000 | 3842 WARNER AVE HUNTINGTON BCH CA 92649 | | 1 | | |
| CHICAGO YOUTH CENTERS 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 10,000 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 15,000 15,000 16,000 17,000 17,000 18,000 19,0 | | N/A | 509(a)(1) | | 5,625 |
| 218 S WABASH AVE, STE 600 CHICAGO IL 60604 CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 10,000 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) New Works Program 25,000 General & Unrestricted 2,000 10,00 | | | 500()(4) | , · • | 45.000 |
| CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) New Works Program 25,000 General & Unrestricted 10,000 | | N/A | 509(a)(1) | General & Unrestricted | 15,000 |
| 1555 CENTRAL PKWY CINCINNATI OH 45214 CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(2) General & Unrestricted 2,000 10,00 | | N/A | 509(2)(1) | New Works Program | 25,000 |
| CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 2,000 10,000 General & Unrestricted 25,000 10, | | IVA | 309(a)(1) | INCW VIOLES I TOGISHI | 25,000 |
| 105 W 4TH ST CINCINNATI OH 45202 CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 25,000 6eneral & Unrestricted 3,000 509(a)(1) General & Unrestricted 20,000 Total See Attached Statement | | N/A | 509(a)(2) | General & Unrestricted | 2,000 |
| 953 EDEN PARK DR CINCINNATI OH 45202 CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 3,000 509(a)(1) General & Unrestricted 20,000 Total See Attached Statement | | | ` ` ` ` ` | | ŕ |
| CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 3,000 509(a)(1) General & Unrestricted 25,000 509(a)(1) Formula See Attached Statement N/A 509(a)(1) Formula See Attached Statement | | N/A | 509(a)(1) | General & Unrestricted | 10,000 |
| 1243 ELM ST MUSIC HALL CINCINNATI OH 45202 CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 20,000 | | | | | |
| CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 20,000 509(a)(1) Formula See Attached Statement | | N/A | 509(a)(1) | General & Unrestricted | 25,000 |
| PO BOX 6537 CINCINNATI OH 45206 CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 20,000 | | N/A | 500(2)(1) | General & Unrestricted | 3 000 |
| CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement N/A 509(a)(1) General & Unrestricted 20,000 | | IN/A | 309(a)(1) | General & Officstricted | 3,000 |
| 1241 ELM ST CINCINNATI OH 45202 Total See Attached Statement ▶ 3a 729,252 | | l _{N/A} | 509(a)(1) | General & Unrestricted | 20.000 |
| | | | | | , |
| | | | İ | | |
| b Approved for future payment | | , | | ▶ 3a | 729,252 |
| | b Approved for future payment | | | | |
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| Total ▶ 3b (| Total | <u> </u> | <u> </u> | | 0 |

13-2978937

| Fon | m 990-PF (| (2009) John C. Gris | swold Founda | tion | | | | 13-2978 | 027 | Pan | e 13 |
|------------|---|---|--|--|---|---------------------------------|--|-------------------------------|--|----------------|-----------------------|
| | rt XVII | , John O Ons | arding Tran | | nsactions an | d Rel | ationships With | | | | |
| 1 | ın secti | organization directly ion 501(c) of the Code zations? | or indirectly e | | | | | | | Yes | No |
| | (1) Ca (2) Oti | ers from the reporting shares | foundation to | a nonchantable exe | mpt organizatio | on of: | | | 1a(1) 1a(2) | | X |
| | (1) Sa (2) Pu (3) Re (4) Re (5) Los (6) Pe | les of assets to a nonc rchases of assets fron intal of facilities, equip imbursement arranger ans or loan guarantee rformance of services g of facilities, equipme | n a noncharita ment, or othe ments s or membersh | able exempt organizer assets | ation | | | | 1b(1) 1b(2) 1b(3) 1b(4) 1b(5) 1b(6) | | X X X X X |
| d | If the a value o | nswer to any of the ab of the goods, other ass on any transaction or st | pove is "Yes," sets, or service haring arrange | complete the followes given by the reportered in column to the column to | ing schedule. Corting foundation mn (d) the valu | Column n If the ne of the | n (b) should always e foundation receive ne goods, other ass | ed less than ets, or servi | ir mari fair ma ces rec | arket eived | l. |
| (e) N// | Line no | (b) Amount involved | (c) Name | of nonchantable exempt of | rganization | (d) Des | cription of transfers, trans | ections, and si | anng an | angem | ents |
| | | | | | | | | | | | |
| | describ | oundation directly or in the din section 501(c) on "complete the following." | of the Code (o | ated with, or related ther than section 50 | to, one or more 1(c)(3)) or in se | tax-e | xempt organizations 527? | D | ∕es 💢 | No | |
| | | (a) Name of organization | | (b) Type o | organization | | (c) Descripti | on of relations | пр | | |
| <u>N//</u> | Α | ···· | | | | | | | | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and

belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

4/1/2011

Sign Here Signature of officer or trustee Date Paid Preparer's Use Only Check if Preparer's

Preparer's identifying number (see Signature on seif-employed ▶ page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code FOUNDATION SOURCE 55 WALLS DRIVE, FAIRFIELD, CT 06824

Jeffrey D. Haskell

signature

EIN > Phone no. (800) 839-1754

John C. Griswold Foundation

Form 990-PF, Part I, Line 11 - Other Income

| | TOTAL: | 89 | 89 |
|--|--------|-------------|----------------|
| | | | |
| | | (a) | (Q) |
| | | Revenue per | Net Investment |
| | | Books | Income |
| 1 LAZARD LTD K-1 Pass-Through Share of Partnership Income/(Loss) | | 89 | 89 |
| 2 | | | |
| 3 | | | |
| 7 | | | |
| 9 | | | |
| 9 | | | |
| 7 | | • | |
| 8 | | | |
| 6 | | | |
| 10 | | | |
| | | | |

John C Griswold Foundation

Form 990-PF, Part I, Line 16a - Legal Fees

| L | | TOTAL: | 3,450 | 0 | 3 | O ₁ |
|----|---|--------|-------------------------------------|---------------------------|---|--|
| | | Exp | (a) Expenses per Net Inv Books Inc | (b) Net Investment Income | ٩ | Adjusted Net Charitable Income Purpose |
| - | General governance matters and counseling | : | 3,450 | 0 | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 2 | | | | | | |
| 9 | | | | | | |
| 7 | : | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |

John C. Griswold Foundation

Form 990-PF, Part I, Line 16c - Other Professional Fees

| | TOTAL: | 122,690 | 122,690 | 0 | | ା |
|----------------------------------|--------|----------------------------|---------------------------|-------------------------------|------------------------------|---|
| | | (a) Expenses per Net Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purpose | |
| 1 Investment Management Services | | 122,690 | 122,690 | 1 | | 0 |
| 2 | : | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 9 | | | | | | |
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| 8 | | | | | | |
| 6 | | | | | | |
| 04 | | | | | | |

John C Griswold Foundation

Form 990-PF, Part I, Line 18 - Taxes

| | 27 | TOTAL: 6,915 | 815 | 0 | | اه |
|----|------------------------|------------------------------|---------------------------|-------------------------------|------------------------------|----|
| | | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purpose | |
| - | Estimated tax for 2010 | 6,100 | 0 | | | o |
| 7 | Foreign Tax Paid | 815 | 815 | |) | 0 |
| က | | | | | | |
| 4 | | | | | | í |
| 2 | | | | | | |
| 9 | | | | | | i |
| 7 | | | | | | ı |
| 8 | | | | | | - |
| 9 | | | | | | |
| 10 | | | | | | |

John C. Griswold Foundation

Form 990-PF, Part I, Line 23 - Other Expenses

| | TOTAL: | 47,160 | 344 | 0 | 46,816 |
|-------------------------------|--------|--------------|---------------|--------------|------------|
| | | (a) | (q) | (၁) | (p) |
| *** | | Expenses per | et Investment | Adjusted Net | Charitable |
| | | Books | Income | Income | Purpose |
| 1 Administrative Fees | | 46,066 | 0 | 0 | 46,066 |
| 2 Bank Charge | | 343 | 343 | | 0 |
| 3 K-1 pass-through LAZARD LTD | | 1 | 1 | | 0 |
| 4 State or local filing fees | | 750 | 0 | | 750 |
| 9 | | | | | |
| 9 | | | | | |
| 1 | | | | | |
| 8 | | | | | |
| 6 | | | | | |
| 10 | | | | | |
| | | | | | |

Form 990-PF, Part II, Line 10a - Investments: US & State Government Obligations

| | 101 | TOTAL: | 1,050,307 | 1,059,908 | 1,186,794 |
|---|--|--------|-----------|-----------------------|---|
| L | | | | Line 10a - Column (b) | Line 10a - Column (b) Line 10a - Column (c) |
| | Description/Symbol/CUSIP Number | _ | Shares | Book Value | Fair Market Value |
| Ľ | 1 FHLB - 5 250% - 06/18/2014 (3133X7FK5) | | 150,000 | 153,945 | 171,861 |
| 7 | 2 FNMA - 5.250% - 09/15/2016 (31359MW41) | | 200,000 | 201,435 | 235,326 |
| က | 3 FNMA - 5 125% - 04/15/2011 (31359MM26) | | 200,000 | 200,023 | 203,634 |
| 4 | 4 FNMA - 5 375% - 06/12/2017 (31398ADM1) | | 125,000 | 127,532 | 147,926 |
| က | 5 MASS RRB SER 2005-1 CL A-3 - 4 130% - 09/15/2013 (575800AD9) | | 25,307 | 24,689 | 25,727 |
| 9 | 6 JUS TREAS NOTE - 4 250% - 08/15/2015 (UST081515) | | 200,000 | 201,347 | 226,656 |
| 7 | 7 US TREAS NOTE - 4.750% - 08/15/2017 (912828HA1) | | 150,000 | 150,937 | 175,664 |
| 8 | | | | | |
| ന | | | | | |
| 2 | 0 | | | | |

John C. Griswold Foundation

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

| | Description/Symbol/CUSIP Number | Shares | Book Value | Fair Market Value |
|----|---|--------|------------|-------------------|
| 1 | ABBOTT LABS (ABT) | 2,000 | | |
| 7 | ACCENTURE PLC (ACN) | 1,800 | | |
| က | ADVANCE AUTO PARTS INC (AAP) | 240 | | |
| 4 | AETNA INC (AET) | 248 | 6,224 | |
| 2 | AGILENT TECHNOLOGIES INC (A) | 1,875 | | 65,663 |
| 9 | | 3,935 | 98,150 | 51,667 |
| 7 | ALLIANCE DATA SYSTEM CORP (ADS) | 340 | 13,557 | 21,447 |
| 8 | ALLSTATE CORP (ALL) | 2,080 | 41,812 | 60,549 |
| ြ | ALTERA CORPORATION (ALTR) | 670 | 13,454 | 23,510 |
| 10 | AMERICAN EXPRESS CO (AXP) | 2,000 | 91,031 | 86,440 |
| 11 | AMERICAN TOWER CORP CL A (AMT) | 371 | 13,517 | 18,761 |
| 12 | AMETEK INC (AME) | 326 | | |
| 13 | AMGEN INC (AMGN) | 2,545 | 101,331 | 134,096 |
| 14 | AMPHENOL CORPORATION (APH) | 237 | 10,698 | 11,857 |
| 15 | ANSYS INC (ANSS) | 380 | 11,617 | 18,430 |
| 16 | APACHE CORPORATION (APA) | 800 | | |
| 17 | APPLE INC (AAPL) | 900 | 23,160 | 186,690 |
| 18 | ARCHER DANIELS MDLND (ADM) | 805 | 23,207 | 23,337 |
| 19 | | 120 | 10,426 | |
| 20 | AVAGO TECHNOLOGIES LIMITED (AVGO) | 524 | | |
| 21 | AVERY DENNISON CORP (AVY) | 1,740 | 67,020 | 65,320 |
| 22 | BANCORPSOUTH INC (BXS) | 1,180 | 16,026 | 15,163 |
| 23 | BANK NEW YORK MELLON CORP COM (BK) | 2,150 | | |
| 24 | BANK OF AMERICA CORP (BAC) | 2,000 | 35,970 | |
| 25 | BED BATH & BEYOND INC. (BBBY) | 320 | 8,083 | 13,997 |
| 26 | BERKLEY W R CP (WRB) | 188 | | 5,018 |
| 27 | BEST BUY INC (BBY) | 1,500 | 79,232 | 64,080 |
| 28 | | 925 | | |
| 29 | BROWN FORMAN CORP CL B (BF-B) | 206 | | |
| 30 | C H ROBINSON WORLDWIDE INC (CHRW) | 150 | | 11,057 |
| 31 | CATALYST HEALTH SOLUTIONS, INC (CHSI) | 289 | | 12,404 |
| 32 | CELANESE CORPORATION (CE) | 375 | | |
| 33 | CEPHALON, INC (CEPH) | 225 | 16,883 | 14,285 |
| 34 | CHECK POINT SOFTWARE TECHNOLOGIES LTD (CHKP) | 344 | 9,944 | 14,747 |
| 35 | CHESAPEAKE ENERGY CORPORATION (CHK) | 1,980 | | 41,818 |
| 36 | CHURCH & DWIGHT COMMON (CHD) | 190 | | 12,398 |
| 37 | CISCO SYSTEMS INC (CSCO) | 7,690 | 67,591 | 147,340 |
| 38 | COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION (CTSH) | 637 | 15,342 | 41,392 |
| 39 | COMMUNITY HEALTH (CYH) | 2,635 | 91,777 | 83,951 |
| 40 | CONCHO RESOURCES INC (CXO) | 190 | 9,189 | 15,723 |
| 41 | CONOCOPHILLIPS (COP) | 810 | 54,263 | 48,738 |
| 42 | CVS CAREMARK CORP. (CVS) | 2,000 | 73,956 | 62,000 |
| 43 | DANAHER CORP (DHR) | 1,000 | 37,931 | 43,250 |
| ; | | 000 | 1 | |

John C. Griswold Foundation

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

| | | 200 | | |
|----|--|--------|-------------------------------------|--|
| | Description/Symbol/CUSIP Number | Shares | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
| 45 | DAVITA INC COMMON (DVA) | 06 | | |
| 46 | DICK'S SPORTING GDS (DKS) | 520 | 10,285 | 17,789 |
| 47 | DIGITAL RLTY TR INC (DLR) | 209 | 10,078 | 10,977 |
| 48 | DISCOVERY COMMUNICATIONS, INC - SERIES C COMMON ST (DISCK) | 320 | 11,449 | 12,429 |
| 49 | DOLLAR TREE INC (DLTR) | 328 | 7,819 | |
| 50 | DOVER CORP (DOV) | 330 | 13,744 | |
| 51 | DU PONT DE NEMOURS (DD) | 1,390 | | |
| 52 | EATON CORP (ETN) | 572 | 40,242 | 55,141 |
| 53 | ECOLAB INC (ECL) | 1,400 | 43,776 | 66,934 |
| 54 | EMC CORP-MASS (EMC) | 4,000 | 76,338 | 85,960 |
| 55 | EMERGENCY MED SVCS (EMS) | 202 | 959'6 | |
| 26 | EMERSON ELECTRIC CO (EMR) | 2,000 | 48,947 | 110,140 |
| 57 | EQUINIX, INC. (EQIX) | 115 | 666'6 | 8,924 |
| 28 | EXPRESS SCRIPTS INC. (ESRX) | 2,600 | 17,631 | 135,434 |
| 23 | EXXON MOBIL CORP (XOM) | 1,800 | | 125,208 |
| 9 | FIDELITY NATIONAL INFORMATION SERVICES INC (FIS) | 294 | 6,784 | 606'2 |
| 5 | FISERV INC (FISV) | 510 | | |
| 62 | FLUOR CORP (FLR) | 155 | 7,730 | 8,964 |
| 63 | GAMESTOP CORP (GME) | 483 | 11,338 | 9,621 |
| 2 | GAP INC (GPS) | 2,725 | 50,413 | 58,206 |
| 65 | GEN DYNAMICS CP (GD) | 002 | 42,452 | 46,263 |
| 99 | GENERAL ELECTRIC CO (GE) | 7,865 | 138,632 | 1 |
| 29 | GLOBAL PAYMENTS INC (GPN) | 256 | 8,490 | 10,639 |
| 89 | GOOGLE INC CL A (GOOG) | 125 | | |
| 69 | GRAINGER W W INC. (GWW) | 165 | | 20,613 |
| 2 | H J HEINZ COMPANY (HNZ) | 1,064 | 47,039 | 51,359 |
| 12 | HANSEN NATURAL CORPORATION (HANS) | 440 | 13,728 | 23,417 |
| 22 | | 2,760 | | |
| 23 | HEWLETT PACKARD CO (HPQ) | 2,500 | 80,323 | 104,825 |
| 74 | HUMANA INC (HUM) | 205 | 8,688 | 11,488 |
| 75 | INTERCNTNTLEXCHANGE (ICE) | 103 | 9,617 | 11,608 |
| 9/ | INTERNATIONAL BUSINESS MACHINES (IBM) | 300 | 39,444 | 42,438 |
| 77 | INTERNATIONAL PAPER CO (IP) | 935 | | |
| 78 | INTUITIVE SURGICAL, INC (ISRG) | 99 | 7,562 | 14,576 |
| 79 | ISHARES MSCI EMERGING MARKETS INDEX FD (EEM) | 5,100 | 165,010 | 228,378 |
| 8 | ISHARES MSCI PACIFIC EX-JAPAN (EPP) | 12,000 | 394,251 | 533,880 |
| 81 | ISHARES MSCI-HONG KONG (EWH) | 11,000 | 134,605 | |
| 82 | ISHARES TRUST MSCI EAFE INDEX FUND (EFA) | 13,500 | 749,825 | 732,510 |
| 83 | JONES LANG LASALLE (JLL) | 134 | 4,272 | 10,696 |
| 84 | JP MORGAN ALERIAN ET (AMJ) | 3,500 | 104,505 | 125,125 |
| 85 | JP MORGAN CHASE & CO (JPM) | 3,265 | | 122,111 |
| 98 | JUNIPER NETWORKS (JNPR) | 580 | | |
| 87 | KANSAS CITY SOUTHERN (KSU) | 301 | 10,906 | 14,249 |
| ١ | | | | |

John C Griswold Foundation

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

| | Description/Symbol/CUSIP Number | Shares | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|-----|--|--------|-------------------------------------|--|
| 88 | LABORATORY CORP AMER HLDGS (LH) | 270 | | |
| 89 | LANDSTAR SYSTEM (LSTR) | 205 | | |
| 90 | LENDER PROCESSING SERVICES INC (LPS) | 161 | 5,493 | 4,954 |
| 91 | LIBERTY GLOBAL INC (LBTYA) | 390 | 11,942 | 13,751 |
| 92 | LIFEPOINT HOSPITALS, INC (LPNT) | 1,810 | 54,289 | 65,558 |
| 93 | LINCARE HOLDINGS, INC. (LNCR) | 495 | 14,553 | 12,746 |
| 94 | LINEAR TECHNOLOGY CORP (LLTC) | 380 | | 12,388 |
| 95 | LOWES COMPANIES INC. (LOW) | 5,215 | 107,647 | 118,381 |
| 96 | LUBRIZOL CP (LZ) | 113 | 19/101 | 11,815 |
| 97 | MACY'S INC (M) | 2,795 | 51,182 | 71,776 |
| 86 | MARATHON OIL CORP COM (MRO) | 1,980 | 88,816 | 66,271 |
| 66 | MARRIOTT INTERNATIONAL INC. CL A (MAR) | 444 | 14,187 | 17,409 |
| 100 | MCKESSON CORP (MCK) | 205 | 7,921 | 13,100 |
| 101 | MEDTRONIC INC (MDT) | 2,640 | 70,457 | 88,519 |
| 102 | MERCK & CO INC (MRK) | 1,700 | 61,518 | |
| 103 | METLIFE INC. (MET) | 1,550 | | 59,133 |
| 104 | MICROCHIP TECHNOLOGY INC. (MCHP) | 830 | 20,315 | 27,896 |
| 105 | MICROSOFT CORPORATION (MSFT) | 2,500 | 0.770 | 63,150 |
| 106 | MOHAWK INDS INC (MHK) | 159 | 12,163 | |
| 107 | MOLEX INCORPORATED (MOLX) | 521 | 10,211 | 10,837 |
| 108 | MSC INDUSTRIAL DRCT (MSM) | 145 | 7,634 | 8,720 |
| 109 | MSCI INC (MSCI) | 174 | 4,052 | 5,926 |
| 110 | NALCO CHEMICAL (NLC) | 660 | 12,270 | 119,417 |
| 111 | NIKE INC-CL B (NKE) | 550 | 38,324 | 47,372 |
| 112 | NOKIA (NOK) | 3,150 | 40,876 | 29,075 |
| 113 | ORACLE CORP (ORCL) | 3,200 | 29,29 | 095'98 |
| 114 | PANERA BREAD CO. CL. A (PNRA) | 127 | 10,224 | 12,730 |
| 115 | PATTERSON-UTI ENERGY INC (PTEN) | 3,525 | 83,962 | |
| 116 | PEABODY ENERGY CORP (BTU) | 279 | 9955 | |
| 117 | PEPSICO INC (PEP) | 2,000 | 762,98 | 129,260 |
| 118 | PETSMART INC. COM (PETM) | 440 | 12,209 | 16,658 |
| 119 | PFIZER INC. (PFE) | 6,545 | 132,932 | 106,684 |
| 120 | PG & E CORP (PCG) | 1,500 | 63,852 | 366,07 |
| 121 | PNC FINANCIAL GROUP INC (PNC) | 1,045 | | |
| 122 | PRAXAIR INC (PX) | 500 | | 46,025 |
| 123 | PRECISION CASTPARTS (PCP) | 105 | 8,394 | 14,497 |
| 124 | PRICELINE COM INCORPORATED (PCLN) | 93 | 6,378 | 36,647 |
| 125 | PROCTER GAMBLE CO (PG) | 1,800 | 32,069 | 109,926 |
| 126 | PRUDENTIAL FINCL INC (PRU) | 1,355 | 59,595 | 68,671 |
| 127 | QEP RESOURCES INC (QEP) | 300 | 6,724 | 10,539 |
| 128 | | 1,100 | 24,115 | 22,253 |
| 129 | RIVERBED TECHNOLOGY, INC (RVBD) | 544 | 7,812 | 18,458 |
| 8 | ROBERT HALF INTL INC (RHI) | 364 | 10,229 | 10,090 |
| | | | | |

John C. Griswold Foundation

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

| | | TOTAL: | 6,904,701 | 8,464,647 |
|-----|---------------------------------------|--------|-------------------------------------|--|
| | Description/Sympholic Number | Shares | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
| 131 | ROCKWOOD HOLDINGS INC (ROC) | 516 | 10,872 | |
| 132 | ROPER INDUSTRIES NEW CON | 170 | 7,448 | |
| 133 | SAFEWAY INC (SWY) | 1,905 | 39,823 | 43,796 |
| 134 | SCHLUMBERGER LTD (SLB) | 1,500 | 43,035 | 116,010 |
| 135 | SHIRE PHARMACEUTICALS, INC (SHPGY.PK) | 314 | 14,720 | 22,087 |
| 136 | | 553 | 11,787 | 14,074 |
| 137 | SOUTHWESTERN ENERGY (S) | 1,998 | 57,388 | 72,328 |
| 138 | | 510 | 12,623 | 19,732 |
| 139 | | 206 | 2,861 | 3,504 |
| 140 | STERICYCLE INC (SRCL) | 201 | 4,362 | 14,854 |
| 141 | STRYKER CORPORATION (SYK) | 1,500 | 64,845 | 75,135 |
| 142 | | 292 | 13,390 | 17,032 |
| 143 | TARGET CORPORATION (TGT) | 2,200 | 118,767 | 125,268 |
| 144 | TEMPUR PEDIC INTERNATIONAL INC (TPX) | 232 | 6,967 | 8,146 |
| 145 | TEREX CORP (TEX) | 2,850 | 62,540 | 69,198 |
| 146 | TEXAS INSTRUMENTS INC. (TXN) | 2,455 | 43,939 | 78,069 |
| 147 | TJX COMPANIES INC (TJX) | 740 | 17,901 | 33,751 |
| 148 | TRIUMPH GROUP INC (TGI) | 137 | 10,595 | 11,526 |
| 149 | 149 UNITED TECHNOLOGIES CORP (UTX) | 1,400 | 86,118 | 105,378 |
| 150 | 150 UNITEDHEALTH GROUP INC (UNH) | 2,195 | 59,303 | 80,161 |
| 151 | URS CORP (URS) | 204 | 9,060 | 8,066 |
| 152 | | 250 | 41,457 | 45,584 |
| 153 | VALERO ENERGY CORP (VLO) | 3,260 | 65,187 | 63,505 |
| 154 | | 295 | 9,379 | 11,470 |
| 155 | WELLS FARGO & CO (WFC) | 5,140 | 157,753 | 139,859 |
| 156 | WESCO INTL INC (WCC) | 945 | 20,449 | 45,095 |
| 157 | WESTERN UNION CO (WU) | 290 | 13,422 | 10,408 |
| 158 | 158 WHITING PETROLEUM CORP (WLL) | 96 | 8,808 | 10,565 |

Form 990-PF, Part II, Line 10c - Investments: Corporate Bonds

| | | TOTAL: | 2,739,208 | 2,908,443 |
|--------------|---|---------|-----------------------|-----------------------|
| L | | | Line 10c - Column (b) | Line 10c - Column (c) |
| | Description/Symbol/CUSIP Number | Shares | Book Value | Fair Market Value |
| _ | 1 ABBOTT LABS NOTE - 5 600% - 11/30/2017 (002819AB6) | 100,000 | 105,737 | 117,521 |
| 7 | 2 BERKSHIRE HATHAWAY FIN CORP 5 0% 08/15/2013 (084664BG5) | 100,000 | 107,475 | 110,251 |
| က | 3 BERKSHIRE HATHAWAY FIN CORP 5.4% 05/15/2018 (084664BE0) | 20,000 | 53,564 | 55,628 |
| 4 | 4 CATERPILLAR INC NOTES - 5 700% - 08/15/2016 (149123BM2) | 150,000 | 151,165 | 178,181 |
| S. | 5 CHASE ISS TR SER 2005-A7 - 4 550% - 03/15/2013 (161571AQ7) | 150,000 | 147,015 | 150,705 |
| 9 | 6 CHEVRON CORP - 3 95% - 03/03/2014 (166751AH0) | 150,000 | 154,383 | 162,269 |
| _ | 7 CISCO SYSTEMS INC - 5 000% - 02/22/2016 (17275RAC6) | 150,000 | 156,693 | 174,935 |
| <u> </u> | 8 CITIGROUP INC NOTES - 6 000% - 02/21/2012 (172967BJ9) | 200,000 | 208,650 | 210,414 |
| 6 | 9 GE CAP CORP - 6 125% - 02/22/2011 (36962GWB6) | 150,000 | 150,020 | 151,913 |
| = | 10 GENERAL ELEC CO 5 25% DUE 12-06-2017 BEO (369604BC6) | 25,000 | 26,406 | 27,504 |
| ÷ | 11 GOLDMAN SACHS - 5 000% - 01/15/2011 (38141GEF7) | 100,000 | 669'96 | 100,345 |
| - | 12 GOLDMAN SACHS GRP INC - 5 125% - 01/15/2015 (38141GEA8) | 20,000 | 52,911 | 53,800 |
| - | 13 JP MORGAN CHASE - 4.500% - 01/15/2012 (46625HCA6) | 100,000 | 86,738 | 103,999 |
| - | 14 KEYBANK NA SR NTS FDIC TLGP 3.20000% 06/15/2012GTD (49328CAA3) | 75,000 | 78,341 | 78,127 |
| = | 15 MERRILL LYNCH & CO NOTES - 5 000% - 01/15/2015 (59018YUW9) | 200,000 | 192,254 | 208,432 |
| = | 16 MORGAN STANLEY NT - 6 600% - 04/01/2012 (617446HC6) | 100,000 | 105,512 | 106,982 |
| - | 17 NATIONAL RURAL UTIL CORP - 4.750% - 03/01/2014 (637423DC6) | 100,000 | 101,626 | 110,042 |
| 7 | 18 ORACLE CORP - 5 25% - 01/15/2016 (68402LAC8) | 75,000 | 73,759 | 85,934 |
| - | 19 ORACLE CORP NOTES 04 95000% 04/15/2013 (68389XAD7) | 100,000 | 108,359 | 109,648 |
| 7 | 20 PG&E ENERGY REC FD 2005-1 A4 - 4 370% - 06/25/2014 (693401AD3) | 185,698 | 179,422 | 190,887 |
| Ä | 21 UNITED PARCEL SRVC NOTE 05 50000% 01/15/2018 CALL (911312AH9) | 200,000 | 210,284 | 233,718 |
| 7 | 22 WACHOVIA CORP - 5 500% - 05/01/2013 (92976WBJ4) | 100,000 | 100,715 | 109,448 |
| 121 | 23 WELLS FARGO & CO - 6.375% - 08/01/2011 (949746CE9) | 75,000 | 78,540 | 092'22 |

John C. Griswold Foundation

Form 990-PF, Part II, Line 13 - Investments - Other

| ב | TOTAL: 6,114 | 8,236 |
|--------------------|----------------------|---|
| | Line 13 - Column (b) | Line 13 - Column (b) Line 13 - Column (c) |
| Asset Description | Book Value | Fair Market Value |
| 1 LAZARD LTD (LAZ) | 6,114 | 8,236 |
| 2 | | |
| 3 | | |
| 7 | | |
| 9 | | |
| 9 | | |
| | | |
| 8 | | |
| 6 | | |
| 01 | | |

Form 990-PF, Part VIII, Line 1 - Compensation of Officers, Directors, Trustees and Foundation Managers

| | | | | | | L | TOTAL: | 0 | 0 | 0 |
|----|--------------------------|---|------------|-------|-------------|--|-------------|------|----------|--------------------|
| | Name | Street | Cıty | State | Zıp Code | Title | Avg. Hrs | Comp | Benefits | Expense Account |
| - | Jacqueline G Moore | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Chairman Emeritus | - | 0 | 0 | 0. |
| 7 | 2 Allyson Griswold Dayak | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | President * / Trustee * Removed 9/21/10 | - | 0 | 0 | 0 |
| က | John G Earls | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | President / Vice President */ Trustee *Removed 9/21/10 | | 0 | 0 | 0 |
| 4 | 4 Jeffrey W Earls | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Trustee | ~ | 0 | 0 | 0 |
| ιo | David Earls | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Secretary / Trustee | | 0 | 0 | 0 |
| 9 | James R Donnelley | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Treasurer / Trustee | _ | 0 | O | 0 |
| ^ | Christopher B Earls | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Trustee | | 0 | 0 | 0 |
| ∞ | D Ross Griswold Jr | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Trustee | - | 0 | 0 | 0 |
| 6 | Michael G Earls | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Trustee | 1 | 0 | 0 | 0 |
| 10 | 10 Mark Griswold | c/o Foundation Source 501 Silverside Road Suite 123 | Wilmington | DE | 19809 | Vice President / Secretary */ Trustee *Removed 9/21/10 | ~ | 0 | 0 | 0 |

| Name | | | | | - | | |
|--|--|--------------------------|---|--|-------------------------------------|---|-------------|
| CLINICA VERDE | | | | Per | son | X Business | |
| Street 109 CAMINO VISTA | | | | | | | |
| City | | State | Zip code | For | eign Cou | intry | |
| ST HELENA | | CA | 94574 | | | | |
| Relationship | Foundation Status | | | | | | |
| N/A | 509(a)(1) | | | | | | |
| Purpose of grant/contribution General & Unrestricted | | | | | | Amount | 12.000 |
| | | | | | | | 12,000 |
| Name | | | | | | | |
| COMMUNITY SCHOOL INC | | | | Per | son [| X Business | |
| Street | | | | | | | |
| PO BOX 2118 | | | I | | | | |
| City | | | Zip code | For | eign Cou | intry | |
| SUN VALLEY Relationship | Foundation Status | ID | 83353 | | | | |
| N/A | 509(a)(1) | | | | | | |
| Purpose of grant/contribution | 1000(4)(1) | | | | | Amount | |
| Griswold Scholarship | | | | | | | 17,000 |
| | | | . | | | sira de la | |
| Name | | | | | _ | | |
| CRAIG BOND CHORAL SOCIETY | | | | Per | son | X Business | |
| Street | | | | | | | |
| PO BOX 164 City | | State | Zip code | For | eign Cou | intry | |
| SAINT HELENA | | CA | 94574 | 0 | eigii oou | iii y | |
| Relationship | Foundation Status | • | 10.07. | <u> </u> | | | |
| N/A | 509(a)(1) | | | | | | |
| Purpose of grant/contribution | | | | | | Amount | |
| General & Unrestricted | | | | | | | |
| | and according to the state of t | mi sou itali iam mulii d | un teat sam our agent until de durer his breed in | and their time time time to a country to | an in the same and based consistent | the land and be at least to the Test health | 500 |
| | ESPIESUL SELEC | | | | | | 500 |
| Name | | | | | | | 500 |
| Name FAIRFIELD THEATRE COMPANY INC | | | | Per | son_ | X Business | |
| Name FAIRFIELD THEATRE COMPANY INC Street | | | | Per | son_ | X Business | 500 |
| Name FAIRFIELD THEATRE COMPANY INC | | State | Zip code | | son [| | 500 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD | | State CT | Zip code 06824 | | | | |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship | Foundation Status | | | | | | 500 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A | Foundation Status 509(a)(2) | | | | | intry | |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution | | | | | | | |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted | 509(a)(2) | СТ | | | eign Cou | Amount | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted | | СТ | | | eign Cou | intry | |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted | 509(a)(2) | СТ | | For | reign Cou | Amount | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street | 509(a)(2) | СТ | | For | reign Cou | Amount | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE | 509(a)(2) | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City | 509(a)(2) | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI | 509(a)(2) | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship | 509(a)(2) Foundation Status | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A | 509(a)(2) | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A Purpose of grant/contribution | 509(a)(2) Foundation Status | CT | 06824 | For | reign Cou | Amount X Business | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A | Foundation Status 509(a)(1) | State OH | Zip code 45206 | For Per | reign Cou | Amount X Business Intry | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A Purpose of grant/contribution General & Unrestricted | Foundation Status 509(a)(1) | State OH | Zip code 45206 | For Per | reign Cou | Amount X Business Intry Amount | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A Purpose of grant/contribution General & Unrestricted | Foundation Status 509(a)(1) | State OH | Zip code 45206 | For Per | reign Cou | Amount X Business Intry | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A Purpose of grant/contribution General & Unrestricted Purpose of grant/contribution General & Unrestricted Name FRIENDS OF POWELL GARDENS INC Street | Foundation Status 509(a)(1) | State OH | Zip code 45206 | For Per | reign Cou | Amount X Business Intry Amount | 2,250 |
| Name FAIRFIELD THEATRE COMPANY INC Street 70 SANFORD ST City FAIRFIELD Relationship N/A Purpose of grant/contribution General & Unrestricted Name FIRST STEP HOME INC Street 2203 FULTON AVE City CINCINNATI Relationship N/A Purpose of grant/contribution General & Unrestricted Name FRIENDS OF POWELL GARDENS INC Street 1609 NW US HWY 50 | Foundation Status 509(a)(1) | State OH | Zip code 45206 | For For Per | reign Cou | Amount X Business Intry Amount X Business | 2,250 |
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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Name LINTON INCORPORATED | | | | Person | XBusiness | . |
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| Street | | | | 1 1. 0.000 | 1 // | |
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| OKATIE Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship | 509(a)(1) | State | 29909 Zip code | Persor | Amount X Business | |
| OKATIE Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A | 509(a)(1) | State | 29909 Zip code | Persor | Amount X Business n Country | |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition | 509(a)(1) | State | 29909 Zip code | Persor | Amount X Business | |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution | 509(a)(1) | State IL | 29909 Zip code 62522 | Persor | Amount X Business n Country | |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name | Foundation Status 509(a)(1) | State IL | 29909 Zip code 62522 | Persor | Amount X Business n Country Amount | 10,000 |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name MILLIKIN UNIVERSITY | Foundation Status 509(a)(1) | State IL | 29909 Zip code 62522 | Persor | Amount X Business n Country Amount | 10,000 |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name MILLIKIN UNIVERSITY Street | Foundation Status 509(a)(1) | State IL | 29909 Zip code 62522 | Persor | Amount X Business n Country Amount | 10,000 |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City City Contribution | Foundation Status 509(a)(1) | State IL State | 29909 | Persor | Amount X Business n Country Amount | 10,000 |
| OKATIE Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR City DECATUR City DECATUR CITY STREET CITY STREET CITY DECATUR | Foundation Status 509(a)(1) | State IL State | Zip code 62522 | Persor | Amount X Business Country Amount X Business | 10,000 |
| Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Mame MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of Grant/contribution Griswold Center Addition City DECATUR Relationship Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship | Foundation Status 509(a)(1) Foundation Status | State IL State | 29909 | Persor | Amount X Business Country Amount X Business | 10,000 |
| OKATIE Relationship N/A Purpose of grant/contribution David Soliday's Rice Project Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR Relationship N/A Purpose of grant/contribution Griswold Center Addition Name MILLIKIN UNIVERSITY Street 1184 W MAIN ST City DECATUR City DECATUR City DECATUR CITY STREET CITY STREET CITY DECATUR | Foundation Status 509(a)(1) | State IL State | 29909 | Persor | Amount X Business Country Amount X Business | 10,000 |

John C Griswold Foundation 13-2978937 Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year Recipient(s) paid during the year

| Name MILLIKIN UNIVERSITY | | | | Person | Тх | Business |
|--|--|--------------------|--|---|------------------|----------|
| Street | | | | 1 1 2 2 2 2 3 | | |
| 1184 W MAIN ST | | | | | | |
| City | | State | Zip code | Foreign C | Count | ry |
| DECATUR | | IL | 62522 | | | |
| Relationship N/A | Foundation Status 509(a)(1) | | | | | |
| Purpose of grant/contribution | | | | | | Amount |
| Project Confirm The Campaign for Entre | epreneurship Division | | | | | 25,000 |
| | | | | | | |
| Name MOUNT VERNON LADIES ASSOCIATI | ON OF THE UNION | | | Person | Х | Business |
| Street | | | | | | |
| GEORGE WASHINGTON ESTATE | | 04-4- | I=: | le: | 4 | |
| City | | | Zip code | Foreign C | ount | ry |
| MOUNT VERNON Relationship | Foundation Status | VA | 22121 | | | |
| N/A | 509(a)(2) | | | | | |
| Purpose of grant/contribution | | | | | | Amount |
| General & Unrestricted | | | | | | 9,000 |
| | | | | | | |
| Name MY STUFF BAGS FOUNDATION | | | | Person | ✓ | Business |
| Street | | | - | 1 11 613011 | ^ | |
| 5347 STERLING CENTER DR | | | | | | |
| City | | State | Zip code | Foreign C | ount | ry |
| WESTLAKE VLG | İ | CA | 91361 | | | · |
| Relationship | Foundation Status | | | | | |
| N/A Purpose of grant/contribution |]509(a)(1) | | | | | Amount |
| General & Unrestricted | | | | | | 9,063 |
| MENTER CONFIDENCE | | | | | | |
| Name | 121 136 117 117 117 117 117 117 117 117 117 | J 445 AM 164 AM AM | | # 25 | # 4111 mm 1110 H | W |
| NAPA VALLEY VINE TRAIL COALITIO | N | | | Person | Х | Business |
| Street | | | | | | |
| 702 TRANCAS ST | | | - | | | |
| City | | | Zip code | Foreign C | Count | ry |
| NAPA Palatianahin | Foundation Status | CA | 94558 | i | | |
| Relationship N/A | 509(a)(1) | | | | | |
| Purpose of grant/contribution | | | | | | Amount |
| General & Unrestricted | | | to the lens to the tenners of the total of the last of the last of | 10 days 2 20 parts (12 to to the limit of the continues the | | 1,000 |
| MATERIAL SERVICE NAME | | | | | | |
| NORTHERN NEW MEXICO RADIO FO | UNDATION | | | Person | Γx | Business |
| Street | ONDATION | | | 1 1 013011 | ^ | Dusiness |
| 6401 S RICHARDS AVE | | | | | | |
| City | | State | Zip code | Foreign 0 | Count | try |
| SANTA FE | | NM | 87508 | | | |
| Relationship | Foundation Status | | | | | |
| N/A | 509(a)(1) | | <u> </u> | <u></u> | | <u> </u> |
| Purpose of grant/contribution | | | | | | Amount |
| General & Unrestricted | | | | | 1984 | |
| Name | | - 401 HM WII HM | | | | |
| POSITIVE DIRECTIONS-THE CENTER | R FOR PREVENTION | AND F | RECOVERY | Person | Х | Business |
| Street | | | | | | |
| 420 POST RD W | | | _ | | | |
| City | | | Zip code | Foreign (| Count | try |
| WESTPORT | Të | СТ | 06880 | | | |
| Relationship | Foundation Status | | | | | |
| N/A Purpose of grant/contribution | | | . | | | Amount |
| General & Unrestricted | | | | | | 1,000 |
| General & Unitestricted | | | | | | 1,000 |

| Name | | | | | | | Dualassa | |
|---|---|------------------|-------------------------------------|--------------|-------------------|---------|--|--------|
| PROSPECT HOUSE INC Street | | | | <u> P </u> | erson | X | Business | |
| 682 HAWTHORNE AVE | | | | | | | | |
| City | | | Zip code | F | oreign C | ountr | у | - |
| CINCINNATI | IE 1 - 4' 04 - 4 | ОН | 45205 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A Purpose of grant/contribution | [509(a)(2) | | | - | | L | Amount | |
| General & Unrestricted | | | | | | | · · · · · · · · · · · · · · · · · · · | 35,250 |
| | | | | 1386 | | 1848 | | |
| Name | 014-1011 | | | | | | | |
| QUALITY DEER MANAGEMENT ASSO Street | CIATION | | | l JP | erson | [X] | Business | |
| PO BOX 160 | | | | | | | | |
| City | | State | Zip code | F | oreign C | Country | у | |
| BOGART | _ | GA | 30622 | | | | | *** |
| Relationship | Foundation Status | | | | | | | |
| N/A Purpose of grant/contribution | [509(a)(2) | | | | | - 1 | Amount | |
| General & Unrestricted | | | | | | ľ | Aillouill | 2,000 |
| | | | | | | | | |
| Name | | | | | | | | |
| SALT LAKE CITY BICYCLE COLLECTI | <u>VE</u> | | | P | erson | X | Business | |
| Street | | | | | | | | |
| 2312 S W TEMPLE City | | State | Zip code | F | oreign C | Countr | v | |
| SALT LAKE CTY | | UT | 84115 | [] | J. J. J. | | , | |
| Relationship | Foundation Status | - | | | | | | |
| N/A | 509(a)(1) | | | | | | | |
| Purpose of grant/contribution General & Unrestricted | | | | | | - 1 | Amount | 0.500 |
| | | | | | | L | | |
| | | | | | | | | 2,500 |
| Name | | | | | | | | 2,500 |
| Name SIMPLY SMILES INC | | | | P | erson | X | Business | 2,500 |
| Name SIMPLY SMILES INC Street | | | | P | erson | X | Business | 2,500 |
| Name SIMPLY SMILES INC Street 1771 POST RD E | | State | Zip code | | | | | 2,500 |
| Name SIMPLY SMILES INC Street | | State CT | Zip code 06880 | | erson oreign C | | | 2,500 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City | Foundation Status | | | | | | | 2,500 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A | Foundation Status 509(a)(1) | | | | | Country | у | 2,500 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution | | | | | | Country | | |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted | | | | | | Country | у | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution | | | | | | Country | у | |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH | 509(a)(1) | CT | 06880 | F | | Countr | у | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street | 509(a)(1) | CT | 06880 | F | oreign C | Countr | y Amount | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 | 509(a)(1) | URCH | 06880 OF CHRIST | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City | 509(a)(1) | CT URCH | 06880 OF CHRIST Zip code | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 | 509(a)(1) | URCH | 06880 OF CHRIST | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A | URCH - UNITED CH | CT URCH | 06880 OF CHRIST Zip code | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution | URCH - UNITED CH | CT URCH | 06880 OF CHRIST Zip code | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted | URCH - UNITED CH Foundation Status 509(a)(1) | CT URCH | OF CHRIST Zip code 06890 | F F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted | URCH - UNITED CH | CT URCH | 06880 OF CHRIST Zip code | F F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted | URCH - UNITED CH Foundation Status 509(a)(1) | CT URCH | OF CHRIST Zip code 06890 | F | oreign C | Countr | y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street | URCH - UNITED CH Foundation Status 509(a)(1) | CT URCH | OF CHRIST Zip code 06890 | F | oreign C | Countr | y Amount Business y | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street 1343 SPRING ST | URCH - UNITED CH Foundation Status 509(a)(1) | URCH CT | OF CHRIST Zip code 06890 | F P | erson erson | Countr | y Amount Business y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street 1343 SPRING ST City | URCH - UNITED CH Foundation Status 509(a)(1) | CT URCH State CT | OF CHRIST Zip code 06890 Zip code | F P | oreign C | Countr | y Amount Business y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street 1343 SPRING ST | URCH - UNITED CH Foundation Status 509(a)(1) | URCH CT | OF CHRIST Zip code 06890 | F P | erson erson | Countr | y Amount Business y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street 1343 SPRING ST City ST HELENA Relationship N/A Relationship N/A | Foundation Status 509(a)(1) | URCH CT | OF CHRIST Zip code 06890 Zip code | F P | erson erson | Countr | y Amount Business y Amount Business | 10,000 |
| Name SIMPLY SMILES INC Street 1771 POST RD E City WESTPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name SOUTHPORT CONGREGATIONAL CH Street P O BOX 366 City SOUTHPORT Relationship N/A Purpose of grant/contribution General & Unrestricted Name ST HELENA MONTESSORI SCHOOL I Street 1343 SPRING ST City ST HELENA Relationship | Foundation Status Foundation Status Foundation Status | URCH CT | OF CHRIST Zip code 06890 Zip code | F P | erson erson | Countr | y Amount Business y Amount Business | 10,000 |

| Name | | | | | | [<u>v</u>]= | | |
|---|--|-------------------|--------------------------------|---------|--------|------------------------------------|---------------------------------|--------------------|
| ST HELENA MONTESSORI SCHOOL Street | INC | | | Per | son | I X IE | Business | |
| 1343 SPRING ST | | | | | | | | |
| City | | State | Zip code | For | eign C | ountry | ' | |
| ST HELENA | | CA | 94574 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A Purpose of grant/contribution | 509(a)(1) | | | | | I.A | mount | |
| Building Fund | | | | | | | | 50,000 |
| | | | | deese e | | | | |
| Name | 11.0 | | | | | | | |
| ST HELENA MONTESSORI SCHOOL Street | INC | | | Per | son | XE | Business | |
| 1343 SPRING ST | | | | | | | | |
| City | | State | Zip code | For | eign C | ountry | , | |
| ST HELENA | | CA | 94574 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A Purpose of grant/contribution | [509(a)(1) | | | | | la la | Amount | |
| Annual Fund Campaign | | | | | | | Milouit | 12,125 |
| | | | | | | | | |
| Name | | | | | | | | MANAGEM SIGNAL THE |
| ST_MARY'S CHURCH | | | | Per | son | X E | Business | |
| Street | | | | | | | | |
| 2853 ERIE AVE City | | State | Zip code | For | eian C | ountry | , | |
| CINCINNATI | | | 45208 | | J.J | , | | |
| Relationship | Foundation Status | | | | | | | |
| N/A | 509(a)(1) | | | | | 1. | | |
| Purpose of grant/contribution | | | | | | | Amount | 4 = 0.0 |
| | | | | | | | | 1 500 |
| General & Unrestricted | | | | | | | | 1,500 |
| Name | | | | | | | | 1,500 |
| Name STEPHEN C MCCULLAGH FUND | | | | Per | son | XE | Business | 1,500 |
| Name STEPHEN C MCCULLAGH FUND Street | | | | Per | son | XE | Business | 1,500 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 | | State | Zin code | | | | | 1,500 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City | | State CA | Zip code 94574 | | | XE | | |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 | Foundation Status | CA | | | | | | 1,500 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A | Foundation Status 509(a)(1) | CA | | | | Country | , | |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution | | CA | | | | Country | | |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted | 509(a)(1) | CA | 94574 | For | eign C | Country | Amount | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution | 509(a)(1) | CA | 94574 | For | eign C | Country | Amount | |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA | 509(a)(1) | CA | 94574 | For | eign (| Country | Amount | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street | 509(a)(1) | CA | 94574 | For | eign (| Country | Amount | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE | 509(a)(1) | CA | 94574 | For | eign C | Country | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City | 509(a)(1) | CA State | 94574 | For | eign C | Country | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE | 509(a)(1) | CA State CA | 94574 | For | eign C | Country | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A | 509(a)(1) | CA State CA | 94574 | For | eign C | A X E | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution | 509(a)(1) | CA State CA | 94574 | For | eign C | A X E | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted | Foundation Status 509(a)(1) | CA State CA | 94574 | For | eign C | A X E | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution | Foundation Status 509(a)(1) | CA State CA | 94574 | For | eign C | A X E | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted | Foundation Status 509(a)(1) | CA State CA | 94574 | For | eign C | Country | Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted | Foundation Status 509(a)(1) | CA State CA | 94574 | For For | eign C | Country | Amount Business Amount | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted Name TAFT MUSEUM OF ART Street 316 PIKE ST | Foundation Status 509(a)(1) | State CA | 94574 Zip code 91356 | For Per | eign C | Country | Amount Business Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted Name TAFT MUSEUM OF ART Street 316 PIKE ST City | Foundation Status 509(a)(1) | State CA | 94574 | For Per | eign C | Country | Amount Business Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted Name TAFT MUSEUM OF ART Street 316 PIKE ST | Foundation Status 509(a)(1) | State CA State OH | 94574 Zip code 91356 | For Per | eign C | Country | Amount Business Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted Name TAFT MUSEUM OF ART Street 316 PIKE ST City CINCINNATI Relationship N/A | Foundation Status 509(a)(1) | State CA State OH | 94574 | For Per | eign C | Country A Country X E Country | Amount Business Amount Business | 4,000 |
| Name STEPHEN C MCCULLAGH FUND Street 1478 RAILROAD AVE STE 1 City ST HELENA Relationship N/A Purpose of grant/contribution General & Unrestricted Name STUDENTS RUN AMERICA Street 5252 CREBS AVE City TARZANA Relationship N/A Purpose of grant/contribution General & Unrestricted NAME TAFT MUSEUM OF ART Street 316 PIKE ST City CINCINNATI Relationship | Foundation Status 509(a)(1) Foundation Status | State CA State OH | 94574 | For Per | eign C | Country A Country X E Country | Amount Business Amount Business | 4,000 |

| Form 990-PF, Part XV, Line | <u> 3a - Grants and</u> | Con | <u>tributions</u> | Paid | l During | the | Year | |
|---|--|-------------------|-------------------|--|----------------|------------|------------------|---------------|
| Recipient(s) paid during the year | | | | | | | | |
| Name | | | | | | | | |
| THE CONNECTICUT AUDUBON SOCI | ETY INCORPORATE | D | | | Person | X | Business | |
| Street | | | | | | | | |
| 2325 BURR ST | | | 1 | | I= | | | |
| City | | | Zip code | | Foreign 0 | Count | ry | |
| FAIRFIELD Relationship | Foundation Status | СТ | 06824 | | <u> </u> | | | |
| N/A | 509(a)(1) | | | | | | | |
| Purpose of grant/contribution | Ισοσία/(1/ | | | | | | Amount | |
| General & Unrestricted | | | | | | | | 15,000 |
| | | | | | | | | |
| Name | | | | _ | 1 | <u> </u> | 1 ₋ . | |
| THERAPEUTIC RIDING CENTER OF F Street | IUNTINGTON BEAC | HINC | | | Person | <u> </u> | Business | |
| PO BOX 2298 | | | | | | | | |
| City | | State | Zip code | | Foreign C | Count | rv | |
| HUNTINGTN BCH | | CA | 92647 | | | | • | |
| Relationship | Foundation Status | | | | | | | |
| N/A | 509(a)(2) | | | | | | T | |
| Purpose of grant/contribution | | | | | | | Amount | 0.000 |
| General & Unrestricted | | inane: | | | | a ra majar | | 9,063 |
| | | | | | | | | |
| UNITY SCHOOL OF CHRISTIANITY | | | | | Person | Тх | Business | |
| Street | | | | | | | | |
| 1901 NW BLUE PKWY | | | | | | | | |
| City | | | Zip code | | Foreign (| Count | ry | |
| UNITY VILLAGE | l= | MO | 64065 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A Purpose of grant/contribution | [509(a)(1) | | | | | | Amount | |
| General & Unrestricted | | | | | | | Amount | 5,000 |
| | | | | | | | | |
| Name | | | | | - | | _ | |
| UNIVERSITY OF CALIFORNIA IRVINE | FOUNDATION | | | | Person | <u> </u> | Business | |
| Street | | | | | | | | |
| 4199 CAMPUS DR STE 400 City | , | State | Zip code | | Foreign (| Count | | |
| IRVINE | | CA | 92612 | | Foreign | Journ | ı y | |
| Relationship | Foundation Status | | 102012 | | | | | _ |
| N/A | 509(a)(2) | | | | | | | |
| Purpose of grant/contribution | | | | | | | Amount | |
| Orthopedic Biomechanics Lab in the De | | dic Surg | ery | | | | | 15,000 |
| | | | | | | | | 46-5-5-56 |
| Name UNIVERSITY OF CINCINNATI FOUND. | ATION | | | | Person | ΓV | Business | |
| Street | ATION | | | | <u> reison</u> | | Dusilless | |
| PO BOX 19970 | | | | | | | | |
| City | | State | Zip code | | Foreign (| Count | ry | |
| CINCINNATI | = | ОН | 45219 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A | 509(a)(1) | | | | | | T | |
| Purpose of grant/contribution Waddell Clinic for Multiple Sclerosis | | | | | | | Amount | 50,000 |
| | | | | angai | | | | 50,000 |
| Name | (### [1223 ECJ 2011 E.] 2014 ### ### [124 ECJ 2011 E.] | III III P4111 III | | ## ################################### | | | | Ment Jer |
| UNIVERSITY OF CINCINNATI FOUND | ATION | | | | Person | Х | Business | |
| Street | | | | | | | <u> </u> | |
| PO BOX 19970 | | | I=. | | 1_ | | | |
| City | | | Zip code | | Foreign (| Count | ry | |
| CINCINNATI | Enundation Status | OH | 45219 | | | | | |
| Relationship N/A | Foundation Status 509(a)(1) | | | | | | | |
| Purpose of grant/contribution | <u> Ιουσίαλί Ι</u> | | | | | | Amount | |
| Christopher Earls Family Endowment Fi | und | | | | | | | 7,250 |

0

John C Griswold Foundation 13-2978937 Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Recipient(s) paid during the year | <u>Ja - Orants and</u> | 1 0011 | ti ibutions | ı aıu | During | 1 1116 | ı caı | |
|---|---------------------------------------|-----------------------|---------------------------------------|------------------------|-------------|-------------------------|-------------|-------------|
| | | | | | | | | |
| Name | DALLA | | | _ | ln | <u> </u> |]p | |
| UNIVERSITY OF SOUTHERN CALIFOR Street | TINIA | | | | Person | | Business | |
| HERITAGE HALL 203A | | | | | | | | |
| City | | State | Zip code | _ | Foreign (| Count | 'D' | |
| LOS ANGELES | | CA | 90089 | | Oreign | Count | ı y | |
| Relationship | Foundation Status | | 100000 | | | | | |
| N/A | 509(a)(2) | | | | | | | |
| Purpose of grant/contribution | | | | | | | Amount | - |
| General & Unrestricted | | | | | | | | 10,000 |
| | | | | | | | | |
| Name | | | | | 1_ | | ı | |
| VOCAL ARTS ENSEMBLE OF CINCINI | NAII | | | | Person | <u> X</u> | Business | |
| Street PO BOX 8404 | | | | | | | | |
| City | • | State | Zip code | | Foreign (| Count | T / | |
| CINCINNATI | | OH | 45208 | | l oreign (| Count | ıy | |
| Relationship | Foundation Status | 0., | 10200 | | | - | | |
| N/A | 509(a)(2) | | | | | | | |
| Purpose of grant/contribution | · · · · · · · · · · · · · · · · · · · | | | | | | Amount | |
| General & Unrestricted | | | · · · · · · · · · · · · · · · · · · · | | | | | 7,500 |
| | | | | | | | | |
| Name | | | | <u></u> | 1- | <u> </u> | 1 | |
| WE CARE ANIMAL RESCUE INC Street | | | At | | Person | X | Business | |
| 1345 CHARTER OAK AVE | | | | | | | | |
| City | | State | Zip code | | Foreign (| Count | rv | |
| ST HELENA | | CA | 94574 | | 3 | | - , | |
| Relationship | Foundation Status | | | | • | | | |
| N/A | 509(a)(1) | | | | | | | |
| Purpose of grant/contribution | | | | | | | Amount | |
| General & Unrestricted | | uf mei mal hos caud b | | il tavat issa seat mat | | and have start store in | | 500 |
| Name | | | | | | | | |
| WETLANDS AND WILDLIFE CARE CEI | NTER | | | | Person | □ | Business | |
| Street | NI LIX | | | | reison | | Dusilless | |
| 21900 PACIFIC COAST HWY | | | | | | | | |
| City | | State | Zip code | | Foreign (| Count | rv | |
| HUNTINGTN BCH | , | | 92646 | | | | • | |
| Relationship | Foundation Status | | | | | | | |
| N/A | 509(a)(1) | | | | | | , | |
| Purpose of grant/contribution | | | | | | | Amount | |
| New equipment and repairs of existing e | quipment | | | | | | | 15,000 |
| Name | | | | | | | | |
| WORKSHOP IN BUSINESS OPPORTU | NITIES INC | | | | Person | ĺΥ | Business | |
| Street | 111120 1110 | | | | 1 0/30/ | 1.^ | Dusiness | |
| 245 W 17TH ST FL 11 | | | | | | | | |
| City | | State | Zip code | | Foreign (| Count | ry | |
| NEW YORK | | NY | 10011 | | | | | |
| Relationship | Foundation Status | | | | | | | |
| N/A | [509(a)(2) | | | | | | | |
| Purpose of grant/contribution | | | | | | | Amount | 4 000 |
| WIBO Replication Program | | da sijanj | | 7000 | | | | 1,000 |
| | | | | | | | | |
| | | | | | Person | <u> </u> | Business | |
| Street | · · · · · · · · · · · · · · · · · · · | | | | | | 1 | |
| | | | | | | | | |
| City | | State | Zip code | | Foreign (| Count | ry | |
| Dalationakin | F | | L | | | | | |
| Relationship | Foundation Status | | | | | | | |
| Purpose of grant/contribution | I | | | | | | Amount | |