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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 12/01 , 2009, and ending 11/30 , 2010**G** Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.The Gumbo Foundation
347 E. Palace Ave.
Santa Fe, NM 87501**A** Employer identification number

04-3727883

B Telephone number (see the instructions)**C** If exemption application is pending, check here ☐**D** 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) **J** Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
\$ 4,643,489. (Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)	224,836.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	31,795.	31,795.	N/A	
4 Dividends and interest from securities	53,815.	53,815.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	157,330.			
b Gross sales price for all assets on line 6a	2,060,711.			
7 Capital gain net income (from Part IV, line 2)		157,330.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	467,776.	242,940.		
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 1	3,684.	3,684.		
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule)(see instr) See Stm 2	3.			
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 3	23,592.			23,592.
24 Total operating and administrative expenses. Add lines 13 through 23	27,279.	3,684.		23,592.
25 Contributions, gifts, grants paid Page XV	237,900.			237,900.
26 Total expenses and disbursements. Add lines 24 and 25	265,179.	3,684.		261,492.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	202,597.			
b Net investment income (if negative, enter -0-)		239,256.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	40,166.		
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	4,400,299.	4,516,165.	4,515,592.
	14 Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe See Statement 4)		127,897.	127,897.
	16 Total assets (to be completed by all filers — see instructions Also, see page 1, item I)	4,440,465.	4,644,062.	4,643,489.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
NET ASSETS OR FUND BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>			
	24 Unrestricted	4,440,465.	4,644,062.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	4,440,465.	4,644,062.	
	31 Total liabilities and net assets/fund balances (see the instructions)	4,440,465.	4,644,062.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,440,465.
2 Enter amount from Part I, line 27a	2	202,597.
3 Other increases not included in line 2 (itemize) See Statement 5	3	1,000.
4 Add lines 1, 2, and 3	4	4,644,062.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	4,644,062.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See attached statement 7		P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,060,711.		1,903,381.	157,330.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any		
a			157,330.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2 157,330.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 </div>		3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	224,187.	4,182,799.	0.053597
2007	233,751.	4,814,057.	0.048556
2006	227,213.	5,097,849.	0.044570
2005	215,525.	4,565,728.	0.047205
2004	202,898.	4,058,261.	0.049996
2 Total of line 1, column (d)		2	0.243924
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.048785
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		4	4,479,865.
5 Multiply line 4 by line 3		5	218,550.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	2,393.
7 Add lines 5 and 6		7	220,943.
8 Enter qualifying distributions from Part XII, line 4		8	261,492.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)		1	2,393.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,393.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,393.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	716.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	716.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,677.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11		
Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NM		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Paul B. Schmidt, Sec/Treas</u> Telephone no <u>(505) 984-8944</u> Located at <u>347 E. Palace Ave. Santa Fe NM</u> ZIP + 4 <u>87501</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	<u>N/A</u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services. 0**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	4,382,362.
b Average of monthly cash balances	1b	37,827.
c Fair market value of all other assets (see instructions)	1c	127,897.
d Total (add lines 1a, b, and c)	1d	4,548,086.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	4,548,086.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	68,221.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,479,865.
6 Minimum investment return. Enter 5% of line 5	6	223,993.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	223,993.
2a Tax on investment income for 2009 from Part VI, line 5	2a	2,393.
b Income tax for 2009 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	2,393.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	221,600.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	221,600.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	221,600.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	261,492.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	261,492.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,393.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	259,099.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				221,600.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			181,480.	
b Total for prior years. 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 261,492.				
a Applied to 2008, but not more than line 2a			181,480.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				80,012.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				141,588.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed b 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c 3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test — enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed c 'Support' alternative test — enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Statement 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Attached Statement 8		None		237,900.
Total				237,900.
<i>b Approved for future payment</i>				
Total				

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2009

Name of the organization

The Gumbo Foundation

Employer identification number

04-3727883

Organization type (check one).

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

The Gumbo Foundation

04-3727883

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Elise W. Schmidt 347 E. Palace Ave. Santa Fe, NM 87501	\$ 224,836	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

The Gumbo Foundation

Employer identification number

04-3727883

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	American Tower Corporation and Apple, Inc.		
		\$ 224,836.	12/29/09
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

The Gumbo Foundation

Employer identification number

04-3727883

Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry)

For organizations completing Part III, enter total of exclusively religious, charitable, etc, contributions of \$1,000 or less for the year (Enter this information once - see instructions).

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

The Gumbo Foundation

04-3727883

Statement 6
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Elise W. Schmidt 347 E. Palace Ave. Santa Fe, NM 87501	President 3.00	\$ 0.	\$ 0.	\$ 0.
Paul B. Schmidt 347 E. Palace Ave. Santa Fe, NM 87501	Secretary/Treas 5.00	0.	0.	0.
Tracey Elise Hogan 347 E. Palace Ave. Santa Fe, NM 87501	Vice President 1.00	0.	0.	0.
Mary Emily Hogan 347 E. Palace Ave. Santa Fe, NM 87501	Vice President 1.00	0.	0.	0.
William Hobson Hogan 347 E. Palace Ave. Santa Fe, NM 87501	Vice President 1.00	0.	0.	0.
Total		\$ 0.	\$ 0.	\$ 0.

Statement 7
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

Elise W. Schmidt
 Tracey Elise Hogan
 Mary Emily Hogan
 William Hobson Hogan

2009

Federal Statements

Page 1

Client GUMBO

The Gumbo Foundation

04-3727883

3/09/11

10 52AM

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax compliance & bookkeeping	\$ 3,684.	\$ 3,684.		
Total	<u>\$ 3,684.</u>	<u>\$ 3,684.</u>		<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Taxes	\$ 3.			
Total	<u>\$ 3.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment fees	\$ 23,592.			\$ 23,592.
Total	<u>\$ 23,592.</u>	<u>\$ 0.</u>		<u>\$ 23,592.</u>

Statement 4
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Pending security transactions	\$ 127,897.	\$ 127,897.
Total	<u>\$ 127,897.</u>	<u>\$ 127,897.</u>

Statement 5
Form 990-PF, Part III, Line 3
Other Increases

Void prior year check		\$ 1,000.
Total		<u>\$ 1,000.</u>

The Gumbo Foundation - Account 09543-4
Realized Gains and Losses
12/1/09 - 11/30/10

Description	Trade Date	Date Sold / Cov	Proceeds (Base Cost	Gain/Loss	
APPLE, INC. CMN	15-Jan-09	31-Dec-09	18,798.49	7,244.28	11,554.21
APPLE, INC. CMN	15-Jun-09	31-Dec-09	9,716.07	6,249.84	3,466.23
APPLE, INC. CMN	15-Jun-09	31-Dec-09	1,056.10	678.23	377.86
APPLE, INC. CMN	25-Jun-09	31-Dec-09	10,138.51	6,685.96	3,452.55
APPLE, INC. CMN	1-Jul-09	31-Dec-09	11,194.60	7,627.20	3,567.40
APPLE, INC. CMN	7-Aug-09	31-Dec-09	7,392.66	5,798.63	1,594.03
APPLE, INC. CMN	15-Jan-09	31-Dec-09	51,748.65	20,086.28	31,662.37
AMERICAN TOWER CORPORAT	28-Jun-05	31-Dec-09	4,074.64	1,940.49	2,134.16
AMERICAN TOWER CORPORAT	5-Aug-05	31-Dec-09	27,655.57	14,622.90	13,032.67
AMERICAN TOWER CORPORAT	21-Oct-05	31-Dec-09	7,195.65	3,905.98	3,289.67
AMERICAN TOWER CORPORAT	21-Oct-05	31-Dec-09	14,998.16	8,078.20	6,919.96
AMERICAN TOWER CORPORAT	19-May-06	31-Dec-09	14,347.95	9,974.76	4,373.19
AMERICAN TOWER CORPORAT	8-Jun-06	31-Dec-09	8,062.60	5,490.79	2,571.80
AMERICAN TOWER CORPORAT	5-Apr-06	31-Dec-09	16,298.58	11,303.46	4,995.12
AMERICAN TOWER CORPORAT	24-May-06	31-Dec-09	3,381.09	2,339.17	1,041.92
AMERICAN TOWER CORPORAT	8-Jun-06	31-Dec-09	1,040.34	714.6	325.74
AMERICAN TOWER CORPORAT	28-Jun-06	31-Dec-09	1,170.38	797.27	373.11
AMERICAN TOWER CORPORAT	24-May-06	31-Dec-09	520.17	359.97	160.2
AMERICAN TOWER CORPORAT	14-Jan-08	31-Dec-09	13,741.09	12,598.75	1,142.34
AMERICAN TOWER CORPORAT	24-Jan-08	31-Dec-09	2,470.80	2,101.76	369.04
AMERICAN TOWER CORPORAT	24-Jan-08	31-Dec-09	1,387.11	1,149.76	237.35
THE GOLDMAN SACHS GROUP,	1-Aug-08	16-Feb-10	260,636.80	275,000.00	-14,363.20
THE GOLDMAN SACHS GROUP,	1-Aug-08	16-Feb-10	500,000.00	500,000.00	0
BNP PARIBAS LNKD TO BSKT O	15-Mar-10	8-Oct-10	51,823.00	48,000.00	3,823.00
			1,038,848.99	952,748.28	86,100.71

The Gumbo Foundation - Account 10313-9

Realized Gains and Losses

12/1/09 - 11/30/10

Description

	Trade Date	Date Sold / Cov	Proceeds (Base)	Cost (Base)	Gain/Loss
AFLAC INCORPORATED CMN					
AFLAC INCORPORATED CMN	7-May-09	13-Aug-10	4,311.94	3,003.19	1,308.75
AMGEN INC. CMN	7-May-09	19-Nov-10	5,152.22	3,170.04	1,982.18
APACHE CORP. CMN	3-Sep-10	11-Nov-10	12,999.60	12,559.84	439.76
APACHE CORP. CMN	9-Oct-09	25-Feb-10	4,337.48	4,302.45	35.04
APACHE CORP. CMN	9-Oct-09	3-Mar-10	4,240.93	4,002.27	238.66
APACHE CORP. CMN	9-Oct-09	4-Mar-10	6,591.10	6,303.58	287.52
BOEING COMPANY CMN	18-Feb-10	4-Mar-10	2,092.41	2,044.66	47.75
BOEING COMPANY CMN	25-Jul-08	28-Dec-09	1,044.97	1,204.18	-159.21
BOEING COMPANY CMN	3-Sep-08	22-Feb-10	384.75	395.431	-10.68
BOEING COMPANY CMN	25-Jul-08	22-Feb-10	3,270.40	3,232.27	38.12
BOEING COMPANY CMN	3-Sep-08	16-Mar-10	4,732.51	4,547.45	185.06
BOEING COMPANY CMN	4-Sep-08	1-Jul-10	2,485.15	2,588.87	-103.72
BOEING COMPANY CMN	3-Sep-08	1-Jul-10	62.13	65.905	-3.78
BANK OF AMERICA CORP CMN	13-Oct-08	1-Jul-10	310.64	225.572	85.07
BAXTER INTERNATIONAL INC C	13-Apr-09	28-Dec-09	806.1	579.344	226.76
BAXTER INTERNATIONAL INC C	16-Jan-08	27-Oct-10	961.76	1,225.08	-263.31
BAXTER INTERNATIONAL INC C	26-May-06	27-Oct-10	2,277.86	1,724.40	553.46
BED BATH & BEYOND INC. CMI	11-Jan-08	27-Oct-10	3,796.44	4,775.08	-978.64
BED BATH & BEYOND INC. CMI	5-Apr-10	17-Jun-10	2,161.06	2,295.86	-134.8
BED BATH & BEYOND INC. CMI	6-Apr-10	17-Jun-10	2,923.79	3,083.76	-159.97
FRANKLIN RESOURCES INC CM	6-Apr-10	2-Jul-10	6,907.94	8,536.20	-1,628.26
FRANKLIN RESOURCES INC CM	19-Nov-08	15-Dec-09	1,153.16	558.726	594.43
FRANKLIN RESOURCES INC CM	21-Jan-09	16-Dec-09	1,451.63	740.002	711.63
FRANKLIN RESOURCES INC CM	19-Nov-08	16-Dec-09	1,555.32	761.9	793.42
BIOGEN IDEC INC. CMN	21-Jan-09	5-Jan-10	4,122.63	2,008.58	2,114.05
BIOGEN IDEC INC. CMN	25-Feb-09	9-Feb-10	3,671.29	3,489.75	181.54
BIOGEN IDEC INC. CMN	25-Feb-09	30-Jul-10	3,890.66	3,592.39	298.27
BIOGEN IDEC INC. CMN	25-Feb-09	19-Nov-10	908.75	718.479	190.27
BIOGEN IDEC INC. CMN	2-Jul-09	19-Nov-10	649.11	453.4	195.71
BIOGEN IDEC INC. CMN	4-Aug-09	19-Nov-10	908.75	678.249	230.5
THE BANK OF NY MELLON COR	3-Aug-09	19-Nov-10	2,206.96	1,636.40	570.56
BP P.L.C. SPONSORED ADR CM	22-Oct-09	19-Nov-10	4,732.81	4,950.82	-218.01
BP P.L.C. SPONSORED ADR CM	5-Nov-09	4-Feb-10	3,233.15	3,533.43	-300.28
BP P.L.C. SPONSORED ADR CM	5-Nov-09	8-Feb-10	2,698.54	3,003.41	-304.88
BP P.L.C. SPONSORED ADR CM	19-Nov-09	8-Feb-10	264.56	291.92	-27.36
BP P.L.C. SPONSORED ADR CM	3-Dec-09	11-Feb-10	3,515.33	3,781.28	-265.95
CBS CORPORATION CMN CLAS	19-Nov-09	11-Feb-10	3,731.66	4,028.49	-296.83
CBS CORPORATION CMN CLAS	8-Mar-10	1-Jul-10	4,589.87	5,295.51	-705.64
CBS CORPORATION CMN CLAS	8-Mar-10	26-Aug-10	3,746.52	4,030.47	-283.95
CBS CORPORATION CMN CLAS	8-Mar-10	27-Aug-10	3,891.62	4,177.57	-285.95

CBS CORPORATION CMN CLAS	8-Apr-10	27-Aug-10	3,782.00	4,107.25	-325.25
CLIFFS NATURAL RESOURCES II	9-Apr-10	27-Aug-10	1,151.04	1,266.89	-115.85
CLIFFS NATURAL RESOURCES II	29-Jan-10	16-Mar-10	5,403.07	3,303.83	2,099.24
CLIFFS NATURAL RESOURCES II	29-Jan-10	30-Apr-10	11,950.13	7,453.77	4,496.36
COVIDIEN PLC CMN	18-Feb-10	30-Apr-10	2,971.38	2,249.86	721.52
COVIDIEN PLC CMN	28-Oct-09	24-May-10	10,193.55	10,326.83	-133.28
CISCO SYSTEMS, INC. CMN	18-Feb-10	24-May-10	1,545.74	1,877.95	-332.21
CISCO SYSTEMS, INC. CMN	19-Aug-09	28-Dec-09	839.62	745.962	93.66
CISCO SYSTEMS, INC. CMN	19-Aug-09	29-Apr-10	5,273.41	4,092.13	1,181.28
CISCO SYSTEMS, INC. CMN	1-Oct-09	19-Nov-10	5,944.17	7,071.45	-1,127.28
CISCO SYSTEMS, INC. CMN	19-Aug-09	19-Nov-10	3,382.70	3,687.18	-304.48
CISCO SYSTEMS, INC. CMN	30-Sep-09	19-Nov-10	4,203.94	5,100.23	-896.29
CHEVRON CORPORATION CMN	18-Feb-10	19-Nov-10	3,108.96	3,814.04	-705.08
CHEVRON CORPORATION CMA	29-Oct-09	23-Dec-09	6,652.82	6,715.17	-62.35
CHEVRON CORPORATION CMN	29-Oct-09	15-Jan-10	3,859.56	3,826.09	33.48
DEL MONTE FOODS COMPANY	4-Dec-09	15-Jan-10	3,623.26	3,616.06	7.2
DEL MONTE FOODS COMPANY	8-Oct-10	19-Nov-10	2,476.83	1,943.91	532.92
DEL MONTE FOODS COMPANY	11-Oct-10	19-Nov-10	7,292.88	5,766.98	1,525.90
DEL MONTE FOODS COMPANY	12-Oct-10	19-Nov-10	481.6	379.158	102.45
DEL MONTE FOODS COMPANY	12-Oct-10	26-Nov-10	3,189.61	2,302.03	887.58
DEL MONTE FOODS COMPANY	18-Oct-10	26-Nov-10	8,161.66	6,515.11	1,646.55
DOW CHEMICAL CO CMN	15-Oct-10	26-Nov-10	8,217.95	6,558.11	1,659.84
DOW CHEMICAL CO CMN	11-May-09	28-Dec-09	964.55	582.424	382.13
DOW CHEMICAL CO CMN	21-May-09	7-Jan-10	7,974.90	4,430.12	3,544.78
DOW CHEMICAL CO CMN	11-May-09	7-Jan-10	620.61	342.602	278.01
DOW CHEMICAL CO CMN	21-May-09	7-May-10	1,251.62	827.415	424.21
DOW CHEMICAL CO CMN	18-Feb-10	7-May-10	2,633.62	2,909.65	-276.03
DOW CHEMICAL CO CMN	2-Jul-09	7-May-10	2,216.41	1,323.81	892.6
DOW CHEMICAL CO CMN	11-Sep-09	7-May-10	6,101.66	5,448.88	652.78
DIRECTV CMN	9-Oct-09	7-May-10	6,388.49	6,397.54	-9.05
DIRECTV CMN	8-Oct-09	4-Mar-10	9,148.44	7,287.09	1,861.35
DIRECTV CMN	20-Nov-09	4-Mar-10	4,591.35	4,242.74	348.61
EMERSON ELECTRIC CO. CMN	18-Feb-10	4-Mar-10	2,021.56	1,886.82	134.74
EOG RESOURCES INC CMN	21-Apr-09	27-Sep-10	5,073.05	3,023.58	2,049.48
EOG RESOURCES INC CMN	21-Oct-08	29-Dec-09	2,692.94	1,972.58	720.36
EOG RESOURCES INC CMN	26-Jun-08	29-Dec-09	398.95	507.948	-108.99
EOG RESOURCES INC CMN	21-Oct-08	30-Dec-09	3,068.47	2,264.81	803.66
EOG RESOURCES INC CMN	18-Feb-09	5-Jan-10	1,178.49	679.306	499.18
EOG RESOURCES INC CMN	21-Oct-08	5-Jan-10	2,062.35	1,534.23	528.13
EOG RESOURCES INC CMN	18-Feb-09	27-Jan-10	3,207.54	1,981.31	1,226.23
EOG RESOURCES INC CMN	20-Jul-09	14-Jun-10	3,708.43	2,422.52	1,285.91
EOG RESOURCES INC CMN	18-Feb-09	14-Jun-10	1,090.72	553.5	537.22
EOG RESOURCES INC CMN	18-Feb-09	14-Jun-10	327.22	169.826	157.39
EOG RESOURCES INC CMN	2-Jul-09	14-Jun-10	3,272.15	1,940.19	1,331.96
EOG RESOURCES INC CMN	11-Feb-10	30-Jun-10	3,696.95	3,344.72	352.23
EOG RESOURCES INC CMN	18-Feb-10	30-Jun-10	2,597.86	2,435.89	161.97
EOG RESOURCES INC CMN	20-Jul-09	30-Jun-10	99.92	71.251	28.67

EOG RESOURCES INC CMN	12-Oct-09	30-Jun-10	3,896.79	3,538.28	358.51
ENTERGY CORPORATION CMN	10-May-10	30-Jun-10	5,195.72	5,508.64	-312.92
FIRSTENERGY CORP CMN	25-May-04	28-Sep-10	4,936.65	3,392.00	1,544.65
FIRSTENERGY CORP CMN	26-Mar-07	28-Dec-09	850.47	1,190.09	-339.62
FIRSTENERGY CORP CMN	26-Mar-07	26-Feb-10	7,011.68	11,966.98	-4,955.30
FIRSTENERGY CORP CMN	26-Mar-07	20-Apr-10	4,680.68	8,198.37	-3,517.69
FIRSTENERGY CORP CMN	27-Mar-07	20-Apr-10	2,076.11	3,650.06	-1,573.95
FIRSTENERGY CORP CMN	2-Jul-09	20-Apr-10	566.21	580.2	-13.99
FIRSTENERGY CORP CMN	18-Feb-09	20-Apr-10	566.21	719.4	-153.19
GENERAL ELECTRIC CO CMN	18-Feb-10	20-Apr-10	2,680.06	2,749.29	-69.23
GENERAL MILLS INC CMN	23-Dec-09	21-Jun-10	5,741.12	5,435.57	305.55
GENERAL MILLS INC CMN	12-Dec-08	6-Jan-10	1,061.90	907.8	154.1
GENERAL MILLS INC CMN	15-Dec-08	6-Jan-10	5,521.90	4,702.58	819.32
HALLIBURTON COMPANY CMN	9-Feb-10	15-Jun-10	6,423.98	5,836.53	587.45
HALLIBURTON COMPANY CMN	10-Sep-09	3-Dec-09	4,211.10	3,776.61	434.49
HALLIBURTON COMPANY CMN	10-Sep-09	20-Jan-10	4,329.59	3,339.86	989.73
HALLIBURTON COMPANY CMN	10-Sep-09	26-Jan-10	61.43	51.383	10.05
HALLIBURTON COMPANY CMN	14-Sep-09	26-Jan-10	2,856.70	2,428.62	428.08
HALLIBURTON COMPANY CMN	1-Oct-09	24-Feb-10	2,635.21	2,351.73	283.48
HALLIBURTON COMPANY CMN	14-Sep-09	24-Feb-10	1,317.60	1,149.02	168.58
HALLIBURTON COMPANY CMN	20-Oct-09	23-Jun-10	4,377.90	5,243.47	-865.58
HALLIBURTON COMPANY CMN	1-Oct-09	23-Jun-10	1,004.34	1,042.24	-37.9
HALLIBURTON COMPANY CMN	16-Oct-09	23-Jun-10	2,678.24	3,197.22	-518.98
HALLIBURTON COMPANY CMN	29-Apr-10	12-Aug-10	1,187.39	1,392.71	-205.32
HALLIBURTON COMPANY CMN	20-Oct-09	12-Aug-10	763.32	832.787	-69.46
HALLIBURTON COMPANY CMN	18-Feb-10	12-Aug-10	2,205.16	2,430.48	-225.32
HALLIBURTON COMPANY CMN	29-Apr-10	28-Sep-10	3,897.43	3,979.18	-81.75
HESS CORPORATION CMN	27-May-10	28-Sep-10	584.61	480.551	104.06
HESS CORPORATION CMN	23-Dec-09	7-May-10	4,985.41	5,155.85	-170.44
HESS CORPORATION CMN	4-Jan-10	10-May-10	3,114.78	3,345.59	-230.81
HESS CORPORATION CMN	23-Dec-09	10-May-10	2,233.24	2,251.98	-18.74
HESS CORPORATION CMN	5-Jan-10	10-May-10	3,408.63	3,674.34	-265.71
HESS CORPORATION CMN	27-Jan-10	10-May-10	3,643.71	3,604.57	39.14
HONEYWELL INTL INC CMN	18-Feb-10	10-May-10	2,938.47	3,046.84	-108.37
HONEYWELL INTL INC CMN	18-Sep-08	28-Dec-09	913.99	1,001.18	-87.19
HEWLETT-PACKARD CO. CMN	18-Sep-08	19-Nov-10	4,593.79	4,048.26	545.53
HEWLETT-PACKARD CO. CMN	17-Apr-07	28-Dec-09	52.55	40.927	11.62
HEWLETT-PACKARD CO. CMN	18-Apr-07	28-Dec-09	788.22	613.05	175.17
HEWLETT-PACKARD CO. CMN	18-Apr-07	22-Mar-10	2,102.27	1,634.80	467.47
HEWLETT-PACKARD CO. CMN	9-Jan-08	22-Mar-10	3,941.76	3,272.21	669.56
HEWLETT-PACKARD CO. CMN	14-Jan-08	22-Mar-10	1,313.92	1,165.42	148.5
HEWLETT-PACKARD CO. CMN	14-Jan-08	21-Apr-10	267.69	233.083	34.61
HEWLETT-PACKARD CO. CMN	11-Feb-08	21-Apr-10	3,480.00	2,756.23	723.77
HEWLETT-PACKARD CO. CMN	7-Apr-09	21-Apr-10	1,231.38	761.76	469.62
HEWLETT-PACKARD CO. CMN	7-Apr-09	28-Apr-10	7,414.84	4,603.68	2,811.16
HEWLETT-PACKARD CO. CMN	18-Feb-10	28-Apr-10	4,000.81	3,771.00	229.81
JOHNSON & JOHNSON CMN	2-Jul-09	28-Apr-10	2,133.76	1,516.25	617.52

JOHNSON & JOHNSON CMN	22-Jan-08	23-Feb-10	2,090.98	2,169.33	-78.35
JOHNSON & JOHNSON CMN	16-Aug-07	23-Feb-10	2,154.35	2,084.61	69.74
JOHNSON & JOHNSON CMN	17-Dec-07	23-Feb-10	5,069.05	5,412.47	-343.42
JOHNSON & JOHNSON CMN	27-Oct-08	8-Apr-10	4,604.55	4,405.54	199.01
JOHNSON & JOHNSON CMN	22-Jan-08	8-Apr-10	5,966.46	6,047.84	-81.38
JOHNSON & JOHNSON CMN	2-Jul-09	8-Apr-10	648.53	564	84.53
JOHNSON & JOHNSON CMN	18-Feb-09	8-Apr-10	972.79	833.55	139.24
JPMORGAN CHASE & CO CMN	18-Feb-10	8-Apr-10	3,566.91	3,528.84	38.07
KRAFT FOODS INC. CMN CLASS	31-Oct-07	28-Dec-09	1,291.42	1,466.23	-174.8
KRAFT FOODS INC. CMN CLASS	9-Feb-10	30-Apr-10	2,010.17	1,939.91	70.26
KRAFT FOODS INC. CMN CLASS	9-Feb-10	3-May-10	2,580.08	2,490.03	90.05
KRAFT FOODS INC. CMN CLASS	18-Feb-10	4-May-10	266.6	258.03	8.57
KRAFT FOODS INC. CMN CLASS	16-Feb-10	4-May-10	3,969.32	3,842.93	126.39
KRAFT FOODS INC. CMN CLASS	9-Feb-10	4-May-10	2,784.45	2,721.67	62.78
KRAFT FOODS INC. CMN CLASS	18-Feb-10	5-May-10	1,659.28	1,605.52	53.76
KRAFT FOODS INC. CMN CLASS	26 Feb 10	5-May-10	325.93	312.505	13.42
KRAFT FOODS INC. CMN CLASS	26-Feb-10	11-May-10	2,706.51	2,528.45	178.06
THE MOSAIC COMPANY CMN	26-Feb-10	12-May-10	4,360.52	4,090.98	269.54
THE MOSAIC COMPANY CMN	8-Jan-10	29-Jan-10	3,658.10	4,519.59	-861.49
NEWFIELD EXPLORATION CO. (11-Jan-10	29-Jan-10	4,034.66	5,049.05	-1,014.39
NEWFIELD EXPLORATION CO. (28-Sep-09	5-May-10	3,998.41	3,056.62	941.79
ORACLE CORPORATION CMN	28-Sep-09	27-May-10	3,809.63	3,182.23	627.4
ORACLE CORPORATION CMN	28-Dec-09	18-Aug-10	4,386.82	4,752.63	-365.81
ORACLE CORPORATION CMN	28-Dec-09	22-Oct-10	13,603.31	11,694.95	1,908.36
OCCIDENTAL PETROLEUM COR	18-Feb-10	22-Oct-10	3,531.07	2,949.06	582.01
OCCIDENTAL PETROLEUM COR	25-Jun-09	28-Jul-10	3,951.97	3,257.25	694.72
OCCIDENTAL PETROLEUM COR	25-Jun-09	21-Oct-10	709.35	586.306	123.04
RANGE RESOURCES CORPORA	26-Jun-09	21-Oct-10	4,256.07	3,492.79	763.28
RANGE RESOURCES CORPORA	19-Jan-10	25-Jun-10	1,654.45	1,999.11	-344.66
SPRINT NEXTEL CORPORATION	15-Jan-10	25-Jun-10	1,131.99	1,368.94	-236.95
SPRINT NEXTEL CORPORATION	13-Aug-07	23-Jul-10	1,102.38	4,593.22	-3,490.84
SPRINT NEXTEL CORPORATION	26-Feb-07	23-Jul-10	670.81	2,775.19	-2,104.38
SPRINT NEXTEL CORPORATION	10-Jan-08	23-Jul-10	1,313.47	3,599.79	-2,286.32
SPRINT NEXTEL CORPORATION	24-Apr-08	23-Jul-10	3,400.94	5,720.83	-2,319.89
SCHLUMBERGER LTD CMN	6-May-08	23-Jul-10	426.88	788.706	-361.83
SCHLUMBERGER LTD CMN	4-Mar-10	27-May-10	8,078.50	8,514.77	-436.27
SLM CORPORATION CMN	24-Feb-10	27-May-10	8,494.30	8,666.56	-172.26
STAPLES, INC. CMN	11-Mar-08	28-Dec-09	762.44	1,189.32	-426.88
STAPLES, INC. CMN	23-Apr-09	5-Jan-10	3,523.43	3,021.71	501.72
STAPLES, INC. CMN	18-Feb-10	3-Sep-10	1,919.17	2,513.49	-594.32
STAPLES, INC. CMN	5-Aug-09	3-Sep-10	3,268.29	3,616.59	-348.3
STAPLES, INC. CMN	1-Jun-09	3-Sep-10	4,028.35	4,599.66	-571.31
STAPLES, INC. CMN	23-Apr-09	3-Sep-10	3,021.26	3,336.47	-315.21
SUNTRUST BANKS INC \$1.00 P/	2-Jul-09	3-Sep-10	1,330.12	1,352.40	-22.28
SUNTRUST BANKS INC \$1.00 P/	3-Feb-10	18-Jun-10	5,159.61	4,633.22	526.39
SUNTRUST BANKS INC \$1 00 P/	2-Feb-10	18-Jun-10	2,828.62	2,615.27	213.35
STATE STREET CORPORATION (18-Feb-10	18-Jun-10	1,361.93	1,164.80	197.13

STATE STREET CORPORATION (19-May-09	1-Feb-10	8,787.58	8,745.55	42.04
TARGET CORPORATION CMN	2-Jul-09	1-Feb-10	1,530.18	1,661.06	-130.88
TARGET CORPORATION CMN	14-Oct-09	9-Feb-10	4,572.62	4,730.16	-157.54
TARGET CORPORATION CMN	14-Oct-09	24-Mar-10	1,013.70	966.377	47.32
TARGET CORPORATION CMN	15-Oct-09	24-Mar-10	7,682.76	7,300.97	381.78
TARGET CORPORATION CMN	18-Feb-10	24-Mar-10	2,187.45	2,063.68	123.77
TJX COMPANIES INC (NEW) CM	17-Dec-09	24-Mar-10	4,054.79	3,612.31	442.48
TJX COMPANIES INC (NEW) CM	7-Apr-09	17-Dec-09	1,653.39	1,160.25	493.14
TJX COMPANIES INC (NEW) CM	1-Jun-09	17-Dec-09	5,254.10	4,392.29	861.81
TJX COMPANIES INC (NEW) CM	2-Jun-09	17-Dec-09	4,445.78	3,726.04	719.74
THE TRAVELERS COMPANIES, I	2-Jul-09	17-Dec-09	2,204.52	1,827.37	377.15
THE TRAVELERS COMPANIES, I	29-Nov-07	28-Dec-09	447.37	467.263	-19.89
THE TRAVELERS COMPANIES, I	20-Nov-07	28-Dec-09	347.96	359.053	-11.1
THE TRAVELERS COMPANIES, I	29-Nov-07	8-Mar-10	6,236.06	6,022.50	213.56
UNILEVER N.V. NY SHS (NEW) /	31-Jan-08	19-Nov-10	5,760.63	4,914.00	846.63
UNILEVER N.V. NY SHS (NEW) /	22 Jan 08	16-Dec-09	4,293.74	4,247.83	45.91
UNILEVER N.V. NY SHS (NEW) /	22-Jan-08	17-Dec-09	1,555.70	1,564.99	-9.29
UNILEVER N.V. NY SHS (NEW) /	23-Jan-08	16-Feb-10	390.08	393.255	-3.18
UNILEVER N.V. NY SHS (NEW) /	22-Jan-08	16-Feb-10	3,390.66	3,609.06	-218.41
UNILEVER N.V. NY SHS (NEW) /	23-Jan-08	26-Feb-10	2,470.06	2,480.54	-10.47
UNILEVER N.V. NY SHS (NEW) /	18-Feb-09	26-Feb-10	1,054.30	682.15	372.14
UNILEVER N.V. NY SHS (NEW) /	11-Feb-08	26-Feb-10	1,204.91	1,226.16	-21.25
UNILEVER N.V. NY SHS (NEW) /	2-Jul-09	26-Feb-10	1,807.36	1,449.62	357.74
VIACOM INC. CMN CLASS B	18-Feb-10	26-Feb-10	1,114.54	1,123.32	-8.78
VIACOM INC. CMN CLASS B	15-Oct-08	2-Dec-09	3,721.02	2,293.12	1,427.90
VIACOM INC. CMN CLASS B	15-Oct-08	23-Dec-09	4,452.16	2,792.43	1,659.73
VIACOM INC. CMN CLASS B	11-Sep-09	24-Dec-09	2,896.26	2,571.64	324.62
VIACOM INC. CMN CLASS B	15-Oct-08	24-Dec-09	620.63	388.351	232.28
VIACOM INC. CMN CLASS B	2-Jul-09	24-Dec-09	1,329.92	966.56	363.36
VIACOM INC. CMN CLASS B	11-Sep-09	28-Dec-09	1,303.41	1,154.62	148.79
WELLPOINT, INC. CMN	28-Oct-09	28-Dec-09	3,140.02	2,927.31	212.71
WAL MART STORES INC CMN	28-Oct-08	28-Dec-09	1,121.73	738.58	383.15
WAL MART STORES INC CMN	27-Oct-09	21-Jun-10	4,294.50	4,189.28	105.22
WAL MART STORES INC CMN	27-Oct-09	8-Oct-10	1,083.21	997.448	85.76
WAL MART STORES INC CMN	27-Oct-09	11-Oct-10	2,183.69	1,994.90	188.8
WAL MART STORES INC CMN	18-Feb-10	12-Oct-10	1,241.31	1,222.22	19.09
WAL MART STORES INC CMN	27-Oct-09	12-Oct-10	1,511.16	1,396.43	114.74
W.R. BERKLEY CORPORATION (30-Apr-10	12-Oct-10	5,019.22	5,019.54	-0.32
W.R. BERKLEY CORPORATION (8-Apr-09	28-Sep-10	2,658.01	2,335.70	322.31
W.R. BERKLEY CORPORATION (9-Apr-09	28-Sep-10	4,376.33	3,901.83	474.5
W.R. BERKLEY CORPORATION (2-Jul-09	29-Sep-10	1,317.09	1,079.78	237.31
W.R. BERKLEY CORPORATION (15-Jun-09	29-Sep-10	5,295.24	4,426.61	868.63
W.R. BERKLEY CORPORATION (9-Apr-09	29-Sep-10	1,505.24	1,340.50	164.74
W.R. BERKLEY CORPORATION (2-Jul-09	30-Sep-10	162.19	132.218	29.97
	18-Feb-10	30-Sep-10	2,243.62	2,097.71	145.91
			710,126.74	673,071.14	37,055.60

The Gumbo Foundation - Account 10314-7

Realized Gains and Losses

12/1/09 - 11/30/10

Description	Trade Date	Date Sold	Proceeds	Cost	Gain/Loss
APPLE, INC. CMN	15-Jan-09	21-Jul-10	8,288.32	2,594.22	5,694.10
ALTERA CORP CMN	1-Mar-10	30-Sep-10	15,395.48	12,608.79	2,786.69
AMERICAN TOWER CORPORAT	28-Jun-05	4-Oct-10	509.6	206.497	303.11
AMERICAN TOWER CORPORAT	5-Aug-05	4-Oct-10	4,688.36	2,103.72	2,584.64
AVON PRODUCTS INC. CMN	7-May-10	12-Oct-10	3,131.69	2,635.67	496.02
BAXTER INTERNATIONAL INC C	11-Jan-08	15-Oct-10	2,308.94	2,987.28	-678.34
BAXTER INTERNATIONAL INC C	24-Jan-08	15-Oct-10	2,898.45	3,680.48	-782.02
BIOGEN IDEC INC. CMN	31-Dec-09	6-Jul-10	4,159.19	4,554.01	-394.82
BIOGEN IDEC INC. CMN	31-Dec-09	5-Nov-10	7,629.16	6,589.93	1,039.24
BIOGEN IDEC INC. CMN	4-Jan-10	5-Nov-10	3,845.60	3,335.58	510.02
BIOGEN IDEC INC. CMN	18-Feb-10	5-Nov-10	2,915.21	2,637.72	277.49
BROADCOM CORP CL-A CMN C	9-Jun-09	4-Jun-10	7,682.62	6,240.98	1,441.64
BROADCOM CORP CL-A CMN C	9-Jun-09	21-Jun-10	3,583.94	2,764.64	819.3
BROADCOM CORP CL-A CMN C	24-Jul-09	21-Jun-10	2,412.95	1,792.73	620.22
BROADCOM CORP CL-A CMN C	24-Jul-09	2-Aug-10	2,368.72	1,713.64	655.08
CB RICHARD ELLIS GROUP, INC	19-Sep-08	25-Mar-10	3,984.54	4,085.59	-101.05
CB RICHARD ELLIS GROUP, INC	19-Sep-08	7-Apr-10	3,058.43	2,772.89	285.54
CB RICHARD ELLIS GROUP, INC	19-Sep-08	14-Oct-10	4,710.13	3,584.11	1,126.02
CME GROUP INC. CMN CLASS /	27-Aug-08	7-Jan-10	2,387.19	2,283.20	103.99
CME GROUP INC. CMN CLASS /	22-Apr-08	7-Jan-10	2,046.16	2,870.86	-824.7
EOG RESOURCES INC CMN	13-Oct-09	21-Dec-09	7,100.86	6,692.98	407.88
EQUINIX INC CMN	28-Jul-08	19-Jan-10	205.17	180.64	24.53
EQUINIX INC CMN	25-Jul-08	19-Jan-10	5,231.95	4,810.03	421.92
EQUINIX INC CMN	28-Jul-08	6-Oct-10	3,586.67	4,606.32	-1,019.65
EXPRESS SCRIPTS COMMON CI	8-Jun-09	2-Dec-09	4,965.99	3,650.67	1,315.32
EXPRESS SCRIPTS COMMON CI	8-Jun-09	15-Dec-09	3,722.48	2,689.96	1,032.52
EXPRESS SCRIPTS COMMON CI	8-Jun-09	17-Dec-09	1,021.54	768.561	252.97
EXPRESS SCRIPTS COMMON CI	9-Jun-09	17-Dec-09	4,767.16	3,577.30	1,189.86
EXPRESS SCRIPTS COMMON CI	9-Jun-09	13-May-10	1,456.59	894.326	562.27
EXPRESS SCRIPTS COMMON CI	2-Jul-09	13-May-10	1,040.42	664.49	375.93
EXPRESS SCRIPTS COMMON CI	29-Jul-09	13-May-10	104.04	71.468	32.57
EXPRESS SCRIPTS COMMON CI	21-Aug-09	26-Jul-10	4,648.88	3,815.05	833.84
EXPRESS SCRIPTS COMMON CI	18-Feb-10	26-Jul-10	2,324.44	2,409.82	-85.38
EXPRESS SCRIPTS COMMON CI	29-Jul-09	26-Jul-10	6,198.51	5,145.68	1,052.83
HALLIBURTON COMPANY CMN	21-Dec-09	4-Nov-10	4,963.82	4,642.93	320.89
JOHNSON & JOHNSON CMN	2-Oct-08	12-Apr-10	1,170.13	1,221.30	-51.16
LOWES COMPANIES INC CMN	20-Aug-07	23-Dec-09	982.85	1,202.17	-219.32
LOWES COMPANIES INC CMN	22-Aug-07	23-Dec-09	2,667.73	3,378.43	-710.69
LOWES COMPANIES INC CMN	22-Aug-07	12-Apr-10	457.61	533.435	-75.82
LOWES COMPANIES INC CMN	25-Sep-07	12-Apr-10	813.54	927.568	-114.03
MARRIOTT INTERNATIONAL, IN	27-Feb-09	5-Feb-10	4,952.18	2,656.58	2,295.60

MARRIOTT INTERNATIONAL, IN	12-Mar-09	5-Feb-10	5,263.32	3,030.62	2,232.70
MARRIOTT INTERNATIONAL, IN	2-Jul-09	5-Feb-10	907.47	716.45	191.02
MORGAN STANLEY CMN	25-Jun-09	15-Dec-09	13,512.79	12,502.98	1,009.81
MORGAN STANLEY CMN	2-Jul-09	15-Dec-09	749.05	684.75	64.3
MICROSOFT CORPORATION CMN	29-Mar-05	12-Apr-10	3,975.05	3,170.20	804.85
MICROSOFT CORPORATION CMN	29-Mar-05	3-Sep-10	3,982.10	3,968.80	13.3
MICROSOFT CORPORATION CMN	22-Jul-05	3-Sep-10	2,573.80	2,734.80	-161
ORACLE CORPORATION CMN	21-Apr-09	15-Jan-10	2,794.21	2,160.87	633.34
ORACLE CORPORATION CMN	21-Apr-09	28-Jun-10	4,486.45	3,873.99	612.46
OCCIDENTAL PETROLEUM COR	5-Oct-09	2-Jun-10	1,612.71	1,510.43	102.28
PEOPLES UNITED FINANCIAL IN	5-Aug-09	7-Apr-10	5,477.36	5,853.75	-376.39
PEOPLES UNITED FINANCIAL IN	6-Aug-09	7-Apr-10	1,190.73	1,265.21	-74.48
PEOPLES UNITED FINANCIAL IN	11-Aug-09	7-Apr-10	2,317.96	2,457.93	-139.98
PEOPLES UNITED FINANCIAL IN	22-Feb-10	7-Apr-10	1,619.39	1,601.40	17.99
PROCTER & GAMBLE COMPAN	11-Feb-09	14-Apr-10	2,390.72	1,952.65	438.07
PROCTER & GAMBLE COMPAN	11-Feb-09	22-Jun-10	5,506.54	4,624.71	881.84
PROCTER & GAMBLE COMPAN	12-Mar-09	4-Aug-10	421.22	316.013	105.21
PROCTER & GAMBLE COMPAN	24-Feb-09	4-Aug-10	2,467.14	2,024.79	442.36
PROCTER & GAMBLE COMPAN	11-Feb-09	4-Aug-10	782.26	668.013	114.25
QUALCOMM INC CMN	4-Apr-06	4-Jan-10	896.54	984.39	-87.85
QUALCOMM INC CMN	24-Apr-07	4-Jan-10	4,813.00	4,456.37	356.63
CHARLES SCHWAB CORPORATI	25-May-04	14-Apr-10	386.86	184	202.86
CHARLES SCHWAB CORPORATI	29-Mar-05	14-Apr-10	2,321.16	1,226.40	1,094.76
SCHLUMBERGER LTD CMN	24-Oct-05	15-Jan-10	2,336.58	1,338.38	998.2
SCHLUMBERGER LTD CMN	4-Apr-06	15-Jan-10	283.22	257.885	25.34
ST JUDE MEDICAL INC CMN	22-Dec-08	14-Apr-10	1,731.42	1,323.57	407.85
SUNCOR ENERGY INC. CMN	19-May-06	15-Jan-10	2,985.85	3,150.64	-164.8
SUNCOR ENERGY INC. CMN	19-Sep-06	15-Jan-10	1,618.83	1,567.72	51.12
SUNCOR ENERGY INC. CMN	19-Sep-06	24-Feb-10	1,024.36	1,219.33	-194.97
SUNCOR ENERGY INC. CMN	25-Jan-08	24-Feb-10	2,458.47	3,862.87	-1,404.40
SUNCOR ENERGY INC. CMN	2-Jul-09	24-Feb-10	585.35	580.36	4.99
SUNCOR ENERGY INC. CMN	5-Apr-07	24-Feb-10	2,458.47	3,291.19	-832.72
SUNCOR ENERGY INC. CMN	7-Jul-08	24-Feb-10	2,956.02	5,759.32	-2,803.30
SUNCOR ENERGY INC. CMN	18-Feb-10	24-Feb-10	1,668.25	1,719.12	-50.87
TEVA PHARMACEUTICAL IND L	11-Jul-08	7-Jan-10	3,848.35	2,852.46	995.89
TARGET CORPORATION CMN	13-Mar-08	7-Jan-10	1,053.68	1,049.41	4.27
TARGET CORPORATION CMN	8-Feb-08	7-Jan-10	3,261.39	3,421.52	-160.13
TARGET CORPORATION CMN	5-Feb-08	7-Jan-10	2,107.36	2,262.96	-155.6
TARGET CORPORATION CMN	13-Mar-08	12-Apr-10	1,228.20	1,099.38	128.82
TARGET CORPORATION CMN	13-Mar-08	2-Jun-10	1,074.62	999.436	75.18
TARGET CORPORATION CMN	21-Aug-08	2-Aug-10	6,611.57	6,432.35	179.22
TARGET CORPORATION CMN	13-Mar-08	2-Aug-10	723.14	699.606	23.54
TARGET CORPORATION CMN	2-Jul-09	2-Aug-10	1,033.06	750.8	282.26
TARGET CORPORATION CMN	25-Mar-08	2-Aug-10	4,390.50	4,542.15	-151.65
TARGET CORPORATION CMN	18-Feb-10	2-Aug-10	2,582.64	2,516.68	65.96
THERMO FISHER SCIENTIFIC IN	13-Oct-08	2-Jun-10	1,576.78	1,398.44	178.34
THERMO FISHER SCIENTIFIC IN	13-Oct-08	28-Jun-10	922.34	811.997	110.35

THERMO FISHER SCIENTIFIC IN	4-May-09	28-Jun-10	3,945.58	2,860.20	1,085.38
VISA INC. CMN CLASS A	19-Mar-08	4-Jan-10	4,069.60	2,745.29	1,324.31
VISA INC. CMN CLASS A	19-Mar-08	5-Feb-10	6,726.45	4,893.78	1,832.67
VISA INC. CMN CLASS A	24-Apr-09	9-Feb-10	5,164.73	3,723.36	1,441.37
VISA INC. CMN CLASS A	19-Mar-08	9-Feb-10	1,332.84	954.885	377.95
VISA INC. CMN CLASS A	2-Jul-09	9-Feb-10	416.51	305.62	110.89
WESTERN UNION COMPANY (T	20-Jul-07	12-Apr-10	1,449.42	1,733.37	-283.94
WESTERN UNION COMPANY (T	25-Sep-07	12-Apr-10	3,684.68	4,439.91	-755.23
WESTERN UNION COMPANY (T	25-Sep-07	3-May-10	2,986.56	3,450.92	-464.37
WESTERN UNION COMPANY (T	12-Oct-07	3-May-10	3,733.19	4,122.71	-389.52
WESTERN UNION COMPANY (T	12-Oct-07	4-May-10	2,662.86	3,016.62	-353.76
WESTERN UNION COMPANY (T	2-Jul-09	4-May-10	1,508.95	1,351.02	157.93
WESTERN UNION COMPANY (T	18-Feb-10	4-May-10	2,698.37	2,504.96	193.41
			311,735.29	277,561.97	34,173.32

Total all three accounts	\$2,060,711	\$1,903,381	\$157,330
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THE GUMBO FOUNDATION
2010 DISTRIBUTIONS
For F.Y 2009

(For Period December 1, 2008-November 30, 2009)

Acupuncturists Without Borders
37 Kelly Drive
Sandia Park, NM 87047

Veteran's Assistance \$ 3,000.00

Adaptive Learning Center
2509 Post Oak Tritt Road
Marietta, GA 30062

Child Development \$ 5,000.00

Agape Corner
1402 Holloway St.
Durham, NC 27703

Child Welfare \$ 1,750.00

American Cancer Society
P.O. Box 22718
Oklahoma City, OK 73123-1718

Medical Research \$ 1,000.00

American Red Cross
P.O. Box 400218
Des Moines, IA 50340-2018

Disaster Relief \$ 5,000.00

AOA Air Safety Foundation
421 Aviation Way
Frederick, MD 21701-4798

Aviation Safety \$ 5,000.00

ASPCA
American Society For
the Prevention of Cruelty to Animals
Foundation & Corporate Support
520 Eighth Ave., 7th Floor
New York, NY 10018

Animal Welfare \$ 3,000.00

Atlanta Pet Rescue & Adoption
720 14th Street, NW
Atlanta, GA 30318

Animal Welfare \$ 3,000.00

Basset Hound Rescue of Georgia
Att: Rosemary Glennie
135 Nina Celeste Lane
Fayetteville, GA 30215

Animal Rescue \$ 3,000.00

Best Friends Animal Society 5001 Angel Canyon Road Kanab, Utah 84741	Animal Welfare	\$ 3,000.00
Brady Center to Prevent Gun Violence 1225 Eye Street, NW, Suite 1100 Washington, DC 20005	Violence Prevention	\$ 7,000.00
The Brees Dream Foundation 1360 East 9 th Street, Suite 100 Cleveland, OH 44114	Community Charities	\$ 5,000.00
Camp Sunshine 1850 Clairmont Rd. Decatur, GA 30033-3405	Children's Cancer	\$ 3,000.00
The Carter Center One Copenhill 453 Freedom Parkway Atlanta, GA 30307	Human Rights	\$ 3,000.00
Create Your Dreams King Plow Arts Center, Studio T-108 887 West Marietta Street, NW Atlanta, GA 30318	Art Education	\$5,000.00
Duke University Eye Center P.O. Box 3802 Durham, NC 27710	Health Care	\$ 3,000.00
Duke University Heart Center Box 90581 Durham, NC 27708	Health Care	\$ 1,000.00
Durham Academy Development Office 3601 Ridge Road Durham, NC 27705	Education	\$ 1,750.00
Durham Rescue Mission Rescue Missions Ministries, Inc. P.O. Box 11858 Durham, NC 27703-0858	Homeless Assistance	\$ 2,000.00
The Elephant Sanctuary P.O. Box 393 Hohenwald, TN 38462-0393	Elephant Rescue	\$ 3,000.00

Emory University 201 Dowman Drive Atlanta, GA 30322	Education	\$ 2,500.00
Equality Now 259 West 57 th St., Suite 1527 New York, NY 10107	Women's Rights	\$ 3,000.00
Espanola Valley Humane Society 108 Hamm Parkway Espanola, NM 87532	Animal Welfare	\$ 3,000.00
Feeding America 35 East Wacker Drive, Suite 2000 Chicago, IL 60601	Food Assistance	\$10,000.00
First Presbyterian Preschool 1328 Peachtree Street, NE Atlanta, GA 30309	Education	\$ 5,000.00
Georgia Tech Foundation, Inc. Kathy Fuller, Development Office 760 Spring Street, NW, Suite 400 Atlanta, GA 30308	Education	\$ 1,000.00
Grand Canyon Association P.O. Box 399 Grand Canyon, AZ 86023	National Park	\$10,000.00
Guiding Eyes for the Blind 611 Granite Springs Road Yorktown Heights, NY 10598	Seeing Eye Dogs	\$ 3,000.00
Habitat for Humanity, Santa Fe 2414 Cerrillos Rd. Santa Fe, NM 87505	Housing Assistance	\$ 3,000.00
Heart & Soul Animal Sanctuary 369 Montezuma Ave., Box 130 Santa Fe, NM 87501	Animal Welfare	\$ 3,000.00
Heifer International 1 World Avenue Little Rock, AR 72202	Ending Hunger	\$ 4,000.00

The Horse Shelter, Inc. 100 AB Old Cash Ranch Rd. Cerrillos, NM 87010	Animal Welfare	\$ 3,000.00
Humane Society of the United States 2100 L Street, NW Washington, DC 20037	Animal Welfare	\$ 3,000.00
Kidzu Children's Museum 105 East Franklin Street Chapel Hill, NC 27514	Museum	\$ 1,000.00
Kindred Spirits Animal Sanctuary 3749-A State Road 14 Santa Fe, NM 87508	Animal Welfare	\$ 3,000.00
La Familia Medical Center P.O. Box 5395 Santa Fe, NM 87502	Medical Assistance	\$ 3,000.00
Morris Animal Foundation 10200 East Girard, Suite B430 Denver, CO 80231	Animal Health Studies	\$ 3,000.00
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	Environment Protection	\$10,000.00
NEADS Canines for Combat Veterans P.O. Box 213 West Boylston, MA 01583	Assistance Dogs	\$ 6,000.00
Payton's Play It Forward Foundation 6928 Medallion Drive Plano, TX 75024	Community Assistance	\$ 5,000.00
Planned Parenthood Federation of America, Inc. 434 West 33rd Street New York, NY 10001	Education	\$ 4,000.00
Preservation Resource Center 923 Tchoupitoulas Street New Orleans, LA 70130	Neighborhood Preservation	\$ 4,000.00

The Rose of Athens Theater
P.O. Box 626
Athens, GA 30603

Community Theater \$ 5,000.00

St. Jude's Research Hospital
501 St. Jude Place
Memphis, TN 38105-1942

Child Medicine \$ 1,000.00

Salvation Army
615 Slaters Lane
Alexandria, VA 22313

Disaster Assistance \$ 5,000.00

Santa Fe Animal Shelter
& Humane Society
100 Caja del Rio Road
Santa Fe, NM 87507

Animal Welfare \$ 3,000.00

Save Our Cemeteries
P.O. Box 58105
New Orleans, LA 70158

Cemetery
Preservation \$ 1,000.00

Shriners Hospitals For
Children
Office of Development
2900 Rocky Point Drive
Tampa, FL 33607

Medical \$ 5,000.00

Southwest Collie Rescue
52 Estrada Calabasa
Santa Fe, NM 87506

Animal Welfare \$ 3,000.00

Steadman Hawkins
Research Foundation
181 West Meadow Drive, Suite 1000
Vail, CO 81657

Medical Research \$26,400.00

Susan G. Komen for the Cure
P.O. Box 96216
Washington, DC 20090-6216

Cancer Research \$ 3,000.00

Thurston Arthritis
Research Center
The Medical Foundation of North Carolina
880 Martin Luther King Jr. Blvd.
Chapel Hill, NC 27514

Medical Research \$10,000.00

University of North Carolina @ Chapel Hill Kenan/Flagler Business School Campus Box 3490, McColl Building Chapel Hill, NC 27599-3490	Education	\$ 1,000.00
Vipassana Hawai'i (Mettadana Project) P.O. Box 551681 Kapa'au, HI 96755	Health Care, Education, Cultural Preservation	\$10,000.00
WUGA Public Radio University of Georgia 1197 South Lumpkin Street Athens, GA 30602	Public Radio	\$ 5,000.00
WUNC Public Radio 120 Friday Center Drive Chapel Hill, NC 27517	Public Radio	\$ 250.00
WUNC-TV Customer Care P.O. Box 900002 Raleigh, NC 27675-9000	Public Television	\$ 250.00
	Total	<u>\$237,900.00</u>