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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning NOV 1, 2009, and ending OCT 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation MARGARET & JAMES A. ELKINS, JR		A Employer identification number 74-6051746
	Number and street (or P O box number if mail is not delivered to street address) 1001 FANNIN		B Telephone number 713-652-2020
	Room/suite 1001		
	City or town, state, and ZIP code HOUSTON, TX 77002		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 61,081,360.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1 Contributions, gifts, grants, etc., received	3,540,031.		N/A		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
	3 Interest on savings and temporary cash investments	280.	218.		STATEMENT 1	
	4 Dividends and interest from securities	1,361,498.	1,361,498.		STATEMENT 2	
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	-736,074.				
	b Gross sales price for all assets on line 6a	20,239,663.				
	7 Capital gain net income (from Part IV, line 2)		0.			
	8 Net short-term capital gain					
	9 Income modifications					
	10a Gross sales less returns and allowances					
b Less Cost of goods sold						
c Gross profit or (loss)						
11 Other income	425,060.	479,531.		STATEMENT 3		
12 Total. Add lines 1 through 11	4,590,795.	1,841,247.				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.	
	14 Other employee salaries and wages					
	15 Pension plans, employee benefits					
	16a Legal fees					
	b Accounting fees	STMT 4	20,610.	10,305.		0.
	c Other professional fees	STMT 5	207,741.	207,741.		0.
	17 Interest		2,413.	2,413.		0.
	18 Taxes	STMT 6	62,443.	42,987.		0.
	19 Depreciation and depletion		148,732.	148,732.		
	20 Occupancy					
	21 Travel, conferences, and meetings					
	22 Printing and publications					
	23 Other expenses	STMT 7	43,822.	43,399.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23		485,761.	455,577.		0.
	25 Contributions, gifts, grants paid		2,680,000.			2,680,000.
26 Total expenses and disbursements. Add lines 24 and 25		3,165,761.	455,577.		2,680,000.	
27 Subtract line 26 from line 12:						
a Excess of revenue over expenses and disbursements		1,425,034.				
b Net investment income (if negative, enter -0-)			1,385,670.			
c Adjusted net income (if negative, enter -0-)				N/A		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing		400.	400.
	2	Savings and temporary cash investments	816,752.	1,854,535.	1,854,535.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock	STMT 10 41,672,681.	51,293,392.	59,226,425.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	42,489,433.	53,148,327.	61,081,360.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	20,083,230.	20,083,230.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	22,406,203.	33,065,097.	STATEMENT 9	
30	Total net assets or fund balances	42,489,433.	53,148,327.		
31	Total liabilities and net assets/fund balances	42,489,433.	53,148,327.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	42,489,433.
2	Enter amount from Part I, line 27a	2	1,425,034.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	9,233,860.
4	Add lines 1, 2, and 3	4	53,148,327.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	53,148,327.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 20,239,663.		20,975,737.	-736,074.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-736,074.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-736,074.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,016,652.	50,134,054.	.060172
2007	2,918,483.	61,604,304.	.047375
2006	1,737,500.	59,810,312.	.029050
2005	1,420,000.	35,144,370.	.040405
2004	1,255,000.	29,179,830.	.043009

2 Total of line 1, column (d)	2	.220011
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044002
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	56,041,522.
5 Multiply line 4 by line 3	5	2,465,939.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,857.
7 Add lines 5 and 6	7	2,479,796.
8 Enter qualifying distributions from Part XII, line 4	8	2,680,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	13,857.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,857.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,857.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	35,270.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	35,270.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	21,413.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 21,413. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	

14 The books are in care of ► **HOUSTON TRUST COMPANY** Telephone no. ► **713-651-9400**
 Located at ► **1001 FANNIN ST, STE 700 HOUSTON, TX** ZIP+4 ► **77002**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES A. ELKINS, III 1001 FANNIN, STE. 700 HOUSTON, TEXAS	PRESIDENT			
	2.00	0.	0.	0.
MARGARET ELISE ELKINS JOSEPH 1001 FANNIN, STE. 700 HOUSTON, TEXAS	VICE PRESIDENT & SECRETARY			
	2.00	0.	0.	0.
LESLIE KEITH ELKINS SASSER 1001 FANNIN, STE. 700 HOUSTON, TEXAS	TREASURER			
	2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	55,397,549.
b	Average of monthly cash balances	1b	1,497,397.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	56,894,946.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	56,894,946.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	853,424.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	56,041,522.
6	Minimum investment return. Enter 5% of line 5	6	2,802,076.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,802,076.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	13,857.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,857.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,788,219.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,788,219.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,788,219.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,680,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,680,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	13,857.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,666,143.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

MARGARET & JAMES A. ELKINS, JR
FOUNDATION

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74-6051746

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,788,219.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			2,483,225.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 2,680,000.				
a Applied to 2008, but not more than line 2a			2,483,225.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				196,775.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				2,591,444.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- (4) Gross investment income

Form **990-PF** (2009)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT XV-1				2,680,000.
Total			▶ 3a	2,680,000.
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

923621
02-02-10

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

MARGARET & JAMES A. ELKINS, JR
FOUNDATION

Employer identification number

74-6051746

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

MARGARET & JAMES A. ELKINS, JR
FOUNDATION

Employer identification number

74-6051746

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ELKINS FAMILY CHARITABLE LEAD ANNUITY TRUST, EIN: 68-6245144 1001 FANNIN, SUITE 700 HOUSTON, TX 77002	\$ 1,018,561.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	ELKINS FAMILY CHARITABLE LEAD ANNUITY TRUST, EIN: 68-6245144 1001 FANNIN, SUITE 700 HOUSTON, TX 77002	\$ 2,521,470.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

MARGARET & JAMES A. ELKINS, JR
FOUNDATION

74-6051-746

Part II Noncash Property (see instructions)[illegible]

Name of organization

Employer identification number

MARGARET & JAMES A. ELKINS, JR
FOUNDATION

74-6051746

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
1	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
2	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
3	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
4	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>	<div style="border-bottom: 1px solid black; height: 15px;"></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>
	<div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div>

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT D-1	P	VARIOUS	VARIOUS
b	SEE STATEMENT D-1	P	VARIOUS	VARIOUS
c	SEE STATEMENT D-1	P	VARIOUS	VARIOUS
d	SEE STATEMENT D-1	D	VARIOUS	VARIOUS
e	CAPITAL LOSSES FROM PARTNERSHIP INVESTMENTS	P	VARIOUS	VARIOUS
f	CAPITAL LOSSES FROM PARTNERSHIP INVESTMENTS	P	VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,860,648.		7,860,648.	0.
b 580,213.		580,213.	0.
c 9,858,706.		11,106,121.	-1,247,415.
d 1,940,096.		1,422,141.	517,955.
e		559.	-559.
f		6,055.	-6,055.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			0.
c			-1,247,415.
d			517,955.
e			-559.
f			-6,055.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-736,074.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST	280.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	280.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	1,361,498.	0.	1,361,498.
TOTAL TO FM 990-PF, PART I, LN 4	1,361,498.	0.	1,361,498.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME FROM PARTNERSHIPS	263,538.	263,538.	
INCOME FROM PARTNERSHIPS	158,876.	213,347.	
MISC. - LITIGATION PROCEEDS	2,148.	2,148.	
FOREIGN INTEREST INCOME	320.	320.	
INTEREST INCOME FROM MUTUAL FUNDS	178.	178.	
TOTAL TO FORM 990-PF, PART I, LINE 11	425,060.	479,531.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	20,610.	10,305.		0.
TO FORM 990-PF, PG 1, LN 16B	20,610.	10,305.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	194,205.	194,205.		0.
INVESTMENT MANAGEMENT FEE	13,536.	13,536.		0.
TO FORM 990-PF, PG 1, LN 16C	207,741.	207,741.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	38,739.	38,739.		0.
TAXES	23,704.	4,248.		0.
TO FORM 990-PF, PG 1, LN 18	62,443.	42,987.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PORTFOLIO DEDUCTIONS FROM K-1'S	26,700.	26,700.		0.
OTHER EXPENSE FROM K-1'S	16,593.	16,170.		0.
ADR FEE	529.	529.		0.
TO FORM 990-PF, PG 1, LN 23	43,822.	43,399.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
OTHER ADJUSTMENTS		9,233,84.	
TOTAL TO FORM 990-PF, PART III, LINE 3		9,233,860.	

FORM 990-PF	OTHER FUNDS	STATEMENT	9
DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR	
ENDOWMENT FUND	22,406,203.	33,065,097.	
TOTAL TO FORM 990-PF, PART II, LINE 29	22,406,203.	33,065,097.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE STATEMENT I	51,293,392.	59,226,425.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	51,293,392.	59,226,425.	

STATEMENT XV-1

EIN: 74-6051746

James A., Jr. & Margaret Elkins Foundation

PART XV LINE 3A - GRANTS & CONTRIBUTIONS PAID

RECIPIENT NAME/ADDRESS	RELATION	STATUS	PURPOSE	AMOUNT
ASIA SOCIETY OF TEXAS 4605 POST OAK PLACE HOUSTON, TX 77027	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$100,000
BARBARA BUSH FOUNDATION FOR FAMILY LITERACY 1201 15TH STREET NW, SUITE 420 WASHINGTON, DC 20005	N/A	PUBLIC	CHARITABLE CELEBRATION OF READING EVENT	\$30,000
BUFFALO BAYOU PARTNERSHIP VINE STREET STUDIOS 1113 VINE STREET SUITE 200 HOUSTON, TX 77002	N/A	PUBLIC	CHARITABLE SUPPORT	\$25,000
CASA DE ESPERANZA P O BOX 75177 ST PAUL, MN 55175	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$10,000
CHRISTUS FDN OF HARRIS COUNTY P O BOX 1919 HOUSTON, TX 77251	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$20,000
COUNCIL ON ALCOHOL & DRUGS 303 JACKSON HILL ST HOUSTON, TX 77007	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$10,000
COVENANT HOUSE TEXAS 1111 LOVETT BLVD HOUSTON, TX 77006	N/A	PUBLIC	CHARITABLE SUPPORT	\$10,000
EPISCOPAL HIGH SCHOOL 4650 BISSENET ST BELLAIRE, TX 77401	N/A	PUBLIC	CHARITABLE SUPPORT	\$50,000
THE FORUM OF CIVICS FOUNDATION 2503 WESTHEIMER RD HOUSTON, TX 77098	N/A	PUBLIC	CHARITABLE ENDOWMENT CAMPAIGN	\$10,000
GLENWOOD CEMETERY HISTORIC PRESERVATION FOUNDATION 2525 WASHINGTON AVENUE HOUSTON, TX 77007-6020	N/A	PUBLIC	CHARITABLE SUPPORT IMPROVEMENTS	\$10,000
HARRIS COUNTY HOSPITAL DISTRICT 3601 N MACGREGOR WAY HOUSTON, TX 77004	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$60,000
HERMANN PARK CONSERVANCY HISTORIC CLUBHOUSE AT HERMANN PARK 6201-A HERMANN PARK DRIVE HOUSTON, TX 77030	N/A	PUBLIC	CHARITABLE SUPPORT IMPROVEMENTS	\$50,000
HOLLY HALL 8304 KNIGHT ROAD HOUSTON, TX 77054	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$20,000
HOPE STONE, INC 1210 WEST CLAY, SUITE 26 HOUSTON, TX 77019	N/A	PUBLIC	CHARITABLE SUPPORT	\$5,000

STATEMENT XV-1

EIN: 74-6051746

James A., Jr. & Margaret Elkins Foundation

PART XV LINE 3A - GRANTS & CONTRIBUTIONS PAID

RECIPIENT NAME/ADDRESS	RELATION	STATUS	PURPOSE	AMOUNT
HOUSTON BALLET 1921 WEST BELL HOUSTON, TX 77019	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$200,000
HOUSTON FOOD BANK THE HERZSTEIN CENTER 3811 EASTEX FWY HOUSTON, TX 77026	N/A	PUBLIC	CHARITABLE SUPPORT CAPITAL CAMPAIGN	\$100,000
HOUSTON GRAND OPERA 510 PRESTON ST HOUSTON, TX 77002	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$100,000
HOUSTON PARKS BOARD 2001 KIRBY DR #814 HOUSTON, TX 77019	N/A	PUBLIC	CHARITABLE IMPROVEMENTS AT WIESS PARK	\$100,000
HOUSTON SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 900 PORTWAY DR HOUSTON, TX 77024	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$100,000
HOUSTON SYMPHONY 615 LOUISIANA ST #102 HOUSTON, TX 77002	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$60,000
JULIA IDESON LIBRARY PRESERVATION 500 MCKINNEY HOUSTON, TX 77002	N/A	PUBLIC	CHARITABLE ARCHIVES & RENOVATION	\$50,000
M D ANDERSON CANCER CENTER 1515 HOLCOMBE BLVD HOUSTON, TX 77030	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$200,000
MEMORIAL PARK CONSERVANCY, INC P O BOX 131024 HOUSTON, TX 77219	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$50,000
THE MENNINGER CLINIC 2801 GESSNER DRIVE HOUSTON, TX 77080	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$50,000
THE METHODIST HOSPITAL 6565 FANNIN STREET HOUSTON, TX 77030	N/A	PUBLIC	CHARITABLE ADVANCE NEW ALZHEIMER INITIATIVES	\$200,000
THE MONARCH SCHOOL 2815 ROSEFIELD DRIVE HOUSTON, TX 77080	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$10,000
PLANNED PARENTHOOD OF HOUSTON & SOUTHEAST TEXAS, INC 3601 FANNIN STREET HOUSTON, TX 77004	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$25,000
PRINCETON UNIVERSITY PRINCETON, NJ 08544	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$400,000
RICE UNIVERSITY 6100 MAIN STREET HOUSTON, TX 77005	N/A	PUBLIC	EDUCATIONAL RENOVATION OF LABORATORIES	\$200,000

STATEMENT XV-1**EIN: 74-6051746****James A., Jr. & Margaret Elkins Foundation****PART XV LINE 3A - GRANTS & CONTRIBUTIONS PAID**

RECIPIENT NAME/ADDRESS	RELATION	STATUS	PURPOSE	AMOUNT
SALVATION ARMY P O BOX 269 ALEXANDRIA, VA 22313	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$50,000
TEXAS CHILDREN'S HOSPITAL P O BOX 300630 MC 4-4483 HOUSTON, TX 77230-0630	N/A	PUBLIC	CHARITABLE CAMPAIGN FUND	\$200,000
TOLERANCE BRIDGE PROJECT 2727 ALLEN PARKWAY, SUITE 1650 HOUSTON, TX 77019	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$50,000
UNITED WAY OF THE TEXAS GULF COAST 50 WAUGH DRIVE HOUSTON, TX 77007	N/A	PUBLIC	CHARITABLE CAPITAL CAMPAIGN	\$100,000
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER 7000 FANNIN, SUITE 1200 HOUSTON, TX 77030	N/A	PUBLIC	EDUCATIONAL CAMPAIGN FUND	\$25,000
TOTAL GRANTS AND CONTRIBUTIONS				<u>\$2,680,000</u>

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
COMMON STOCK -----						
4700	ABB LTD AMERICAN DEPOSITORY RECEIPT	25.86	20.69	97243.00	2227.80	2.29
8884	ABBOTT LABORATORIES	42.20	51.32	455926.88	15635.84	3.43
1200	ACE LIMITED	57.31	59.42	71304.00	1584.00	2.22
1700	AGRIUM INC	38.12	88.51	150467.00	187.00	0.12
42500	ALTRIA GROUP INC	14.62	25.42	1080243.75	64600.00	5.98
1100	AMERICAN EXPRESS CO	35.86	41.46	45606.00	792.00	1.74
995	AMERICAN FINL GROUP INC OHIO	21.15	30.58	30427.10	646.75	2.13
2250	AMERICAN WATER WORKS CO INC	19.64	23.88	53730.00	1980.00	3.69
1410	AMGEN INC	60.66	57.19	80637.90	0.00	0.00
2500	ANADARKO PETE CORP	42.97	61.57	153925.00	900.00	0.58
2775	ANGLO AMERICAN PLC AMERICAN DEPOSITORY RECEIPT	20.94	23.25	64518.75	291.38	0.45
800	ANHEUSER BUSCH INBEV SPONSORED AMERICAN DEPOSITORY RECEIPT	62.67	62.90	50320.00	308.80	0.61
800	APACHE CORPORATION	66.90	101.02	80816.00	480.00	0.59
1390	APPLE INC	193.49	300.98	418362.20	0.00	0.00
2250	ASTRAZENECA PLC SPONSORED AMERICAN DEPOSITORY RECEIPT	46.65	50.46	113535.00	5422.50	4.78
3559	AT & T INC	34.66	28.52	101502.68	5979.12	5.89
4100	ATLAS CORPCO AB SPONSORED AMERICAN DEPOSITORY RECEIPT	16.84	18.97	77777.00	1119.30	1.44

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1075	AUTOMATIC DATA PROCESSING INC	41.76	44.49	47826.75	1462.00	3.06
4100	AXA AMERICAN DEPOSITORY RECEIPT	30.92	18.23	74743.00	2606.97	3.49
15	BABCOCK & WILCOX	49.85	22.82	342.30	0.00	0.00
818	BAKER HUGHES INC	48.79	46.42	37971.56	490.80	1.29
2500	BANCO BRADESCO SPONSORED AMERICAN DEPOSITORY RECEIPT	18.98	20.80	52000.00	257.50	0.50
4800	BANK AMER CORP	21.61	11.45	54955.20	192.00	0.35
2808	BANK OF NEW YORK MELLON CORP	30.47	25.06	70368.48	1010.88	1.44
3800	BARCLAYS PLC AMERICAN DEPOSITORY RECEIPT	20.27	17.65	67070.00	1047.53	1.56
592	BARD C R INC	84.31	83.12	49207.04	426.24	0.87
1000	BARRICK GOLD CORP	27.16	48.09	48090.00	480.00	1.00
1470	BASF SE SPONSORED AMERICAN DEPOSITORY RECEIPT	64.88	72.70	106869.00	2376.99	2.22
1900	BAYERISCHE MOTOREN WERKE UNSPONSORED AMERICAN DEPOSITORY RECEIPT	18.06	23.80	45220.00	152.00	0.34
550	BECTON DICKINSON	67.97	75.52	41536.00	814.00	1.96
2494	BERKSHIRE HATHAWAY INC-CL B	69.29	79.56	198422.64	0.00	0.00
1900	BHP BILLITON PLC SPONSORED AMERICAN DEPOSITORY RECEIPT	55.93	70.80	134520.00	3306.00	2.46
2500	BNP PARIBAS SPONSORED AMERICAN DEPOSITORY RECEIPT	33.27	36.60	91500.00	1725.00	1.89
2160	BOC HONG KONG HOLDINGS LTD SPONSORED AMERICAN DEPOSITORY RECEIPT	47.92	62.89	135842.40	5302.80	3.90
2660	BRITISH AMERN TOB PLC SPNS ADR	58.29	76.23	202771.80	8432.20	4.16
1400	CABOT OIL & GAS CORP	38.26	28.98	40572.00	168.00	0.41
700	CAMERON INTERNATIONAL CORP	41.84	43.75	30625.00	0.00	0.00
2699	CANADIAN NATIONAL RAILWAY CO COMMON STOCK ISIN CA1363751027	62.25	64.78	174841.22	2847.45	1.63

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1600	CANADIAN NATURAL RESOURCES	16.80	36.47	58352.00	0.00	0.00
2130	CANON INC SPONSORED AMERICAN DEPOSITORY RECEIPT	37.89	46.00	97980.00	2428.37	2.48
1250	CAPITAL ONE FINANCIAL	37.37	37.27	46587.50	250.00	0.54
600	CATERPILLAR INC	63.27	78.60	47160.00	1056.00	2.24
3600	CENTERPOINT ENERGY INC	12.61	16.56	59616.00	2808.00	4.71
2250	CENTRAL EURO DISTRIBUTION CORP	25.74	24.97	56182.50	0.00	0.00
2200	CENTRICA PLC SPONSORED AMERICAN DEPOSITORY RECEIPT	21.57	21.18	46596.00	1658.80	3.56
4630	CHECK POINT SOFTWARE TECH LT ORD	24.96	42.75	197932.50	0.00	0.00
1039	CHEVRON CORPORATION	70.14	82.60	85821.40	2992.32	3.49
13542	CHICOS FAS INC	8.84	9.72	131628.24	2166.72	1.65
2000	CHINA UNICOM LTD SPONSORED AMERICAN DEPOSITORY RECEIPT	13.55	14.00	28000.00	420.00	1.50
2326	CHUBB CORP	50.05	58.02	134954.52	3442.48	2.55
4200	CISCO SYSTEMS INC	19.55	22.86	96012.00	0.00	0.00
2320	CIT GROUP INC	39.48	43.33	100525.60	0.00	0.00
790	CNOOC LTD SPONSORED AMERICAN DEPOSITORY RECEIPT	144.18	208.92	165046.80	3747.76	2.27
35600	COCA COLA CO	36.77	61.32	2182992.00	62656.00	2.87
1702	COINSTAR INC	48.29	57.58	98001.16	0.00	0.00
6469	COMCAST CORP-CL A	18.46	20.63	133426.36	2445.28	1.83
2210	COMERICA INC	38.07	35.78	79073.80	442.00	0.56
1300	CORN PRODS INTL INC	34.02	42.55	55315.00	728.00	1.32
516	CURTISS WRIGHT CORP	0.64	30.88	15934.08	165.12	1.04
2650	DBS GROUP HLDGS LTD SPONSD AMERICAN DEPOSITORY RECEIPT	38.40	43.05	114082.50	4305.91	3.77
11000	DELTA AIR LINES INC	7.86	13.88	152735.00	0.00	0.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
2306	DENBURY RESOURCES INC	14.48	17.02	39248.12	0.00	0.00
3100	DESARROLLADO SPONSORED AMERICAN DEPOSITORY RECEIPT	26.66	33.58	104098.00	0.00	0.00
3948	DEVON ENERGY CORPORATIOIN NEW	66.40	65.02	256698.96	2526.72	0.98
2150	DIAGEO PLC AMERICAN DEPOSITORY RECEIPT	67.58	74.00	159100.00	5081.89	3.19
2726	DISNEY WALT CO	32.07	36.13	98476.75	954.10	0.97
1499	DR REDDYS LABS LTDSPONSORED AMERICAN DEPOSITORY RECEIPT	27.03	37.83	56707.17	331.28	0.58
1034	DU PONT E I DE NEMOURS & CO	34.93	47.28	48887.52	1695.76	3.47
4600	DUKE ENERGY HOLDING CORP	17.91	18.21	83766.00	4508.00	5.38
5950	E M C CORP MASS	17.27	21.02	125069.00	0.00	0.00
1785	EATON CORP	67.55	88.83	158561.55	4141.20	2.61
2200	EL PASO CORPORATION	13.20	13.26	29172.00	88.00	0.30
7200	EMERSON ELECTRIC CO	39.35	54.90	395280.00	9648.00	2.44
1737	ENBRIDGE ENERGY MANAGEMENT LLC	25.59	59.68	103664.16	0.00	0.00
1252	ENTERGY CORP NEW	85.43	74.53	93311.56	4156.64	4.45
1172	EOG RESOURCES INC	85.37	95.72	112183.84	726.64	0.65
1050	ESTEE LAUDER COMPANIES-CL A	33.34	71.17	74728.50	577.50	0.77
625	EVEREST RE GROUP LIMITED	75.28	84.28	52675.00	1200.00	2.28
300	EXELON CORP	88.42	40.82	12246.00	630.00	5.14
326463	EXXON MOBIL CORP	36.97	66.49	21706524.87	574574.88	2.65
466	FEDEX CORPORATION	82.88	87.72	40877.52	223.68	0.55
450	FLUOR CORP	67.34	48.19	21685.50	225.00	1.04
428	FMC CORP	45.46	73.10	31286.80	214.00	0.68
2675	FOCUS MEDIA SPONSORED AMERICAN DEPOSITORY RECEIPT	16.07	24.73	66152.75	0.00	0.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1540	FOMENTO ECONOMICO MEXICANO SAB DE CV AMERICAN DEPOSITORY RECEIPT	21.05	54.91	84561.40	962.66	1.14
2000	FORD MOTOR CO DEL	13.94	14.13	28260.00	0.00	0.00
500	FRANKLIN RESOURCES INC	92.67	114.70	57350.00	440.00	0.77
1400	FREEPORTMCMORAN COPPERAND GOLD INC	81.53	94.79	132713.00	1680.00	1.27
150	FRESENIUS MED CARE AG & CO SPONSORED AMERICAN DEPOSITORY RECEIPT	49.50	63.64	9546.00	80.40	0.84
9678	FRONTIER OIL CORP	12.96	13.25	128233.50	0.00	0.00
4280	FUJIFILM HOLDINGS CORP AMERICAN DEPOSITORY RECEIPT	27.74	33.73	144364.40	924.48	0.64
4631	GAP INC	18.97	19.01	88035.31	1852.40	2.10
250	GENERAL DYNAMICS CORP	84.03	68.12	17030.00	420.00	2.47
6700	GENERAL ELECTRIC COMPANY	18.63	16.02	107334.00	3216.00	3.00
1180	GENERAL MILLS INC	30.71	37.56	44320.80	1321.60	2.98
850	GENZYME CORPORATION	54.46	72.25	61412.50	0.00	0.00
1900	GLAXO SMITHKLINE SPONSORED AMERICAN DEPOSITORY RECEIPT	37.03	39.04	74176.00	4729.10	6.38
3500	GLOBAL GEOPHYSICAL SERVICES	12.01	7.17	25095.00	0.00	0.00
160	GOOGLE INC-CL A	530.87	613.70	98192.00	0.00	0.00
900	HALLIBURTON CO (HOLDING COMPANY)	35.21	31.86	28674.00	324.00	1.13
3355	HANESBRANDS INC	24.62	24.80	83204.00	0.00	0.00
1400	HASBRO INC	22.70	46.25	64750.00	1400.00	2.16
1100	HEINZ H J CO	34.03	49.11	54021.00	1980.00	3.67
1000	HESS CORPORATION	49.97	63.03	63030.00	400.00	0.63
1400	HOME DEPOT INC	25.67	30.90	43260.00	1323.00	3.06
3670	HONDA MOTOR LTD AMERICAN DEPOSITORY RECEIPT	25.76	36.03	132230.10	1605.71	1.21
2660	HONEYWELL INTERNATIONAL INC	37.98	47.11	125312.60	3218.60	2.57

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1866	HSBC HOLDINGS PLC SPONSORED AMERICAN DEPOSITORY RECEIPT	52.62	52.11	97237.26	5038.20	5.18
12504	INTEL CORP	13.36	20.05	250705.20	7877.52	3.14
2500	INTERCONTINENTAL HOTELS SPONSORED AMERICAN DEPOSITORY RECEIPT	18.18	19.35	48375.00	1037.50	2.14
2060	INTERNATIONAL BUSINESS MACHINES CORP	132.76	143.60	295816.00	5356.00	1.81
50	INTUITIVE SURGICAL INC	151.80	262.95	13147.50	0.00	0.00
5900	ISHARES MSCI AUSTRALIA	22.26	24.47	144373.00	4832.10	3.35
14690	ISHARES TRUST S&P SM CAP 600	57.76	61.60	904904.00	8461.44	0.94
1543	JC PENNEY CO	28.95	31.18	48110.74	1234.40	2.57
15275	JOHNSON & JOHNSON	50.68	63.74	973628.50	32994.00	3.39
8450	JP MORGAN CHASE & CO	38.31	37.63	317973.50	1690.00	0.53
2475	KANSAS CITY SOUTHERN	38.59	43.82	108454.50	0.00	0.00
14200	KEPPEL CORP AMERICAN DEPOSITORY RECEIPT	7.05	15.40	218680.00	8060.63	3.69
2000	KIMBERLY CLARK CORPORATION	65.99	63.34	126680.00	5280.00	4.17
670	L-3 COMMUNICATIONS HLDGS INCCOM	42.61	72.18	48360.60	1072.00	2.22
2035	LILLY ELI & CO	34.54	35.20	71632.00	3988.60	5.57
7977	LOWES COS INC	21.64	21.34	170229.18	3509.88	2.06
9000	LSI CORP	4.17	5.24	47160.00	0.00	0.00
610	LUBRIZOL CORP	45.57	102.49	62518.90	878.40	1.41
5200	MANITOWOC INC	9.04	11.14	57928.00	416.00	0.72
963	MARATHON OIL CORP	30.82	35.57	34253.91	963.00	2.81
30	MCDERMOTT INTL INC	25.02	15.43	462.90	0.00	0.00
2000	MCDONALDS CORP	61.42	77.77	155540.00	4880.00	3.14
1400	MCGRAW HILL COMPANIES INC	8.44	37.65	52710.00	1316.00	2.50
2119	MEDCO HEALTH SOLUTIONS INC	46.53	52.53	111311.07	0.00	0.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
600	MEDTRONIC INC	41.01	35.23	21138.00	540.00	2.55
6253	MERCK & CO INC	34.04	36.31	227046.43	9504.56	4.19
550	MICROCHIP TECHNOLOGY INC	33.43	32.18	17699.00	754.60	4.26
8850	MICROSOFT CORP	22.48	26.66	235985.25	5664.00	2.40
325	mitsui & co ltd sponsored american depository receipt	232.31	313.32	101829.00	1201.85	1.18
1550	MORGAN STANLEY	29.61	24.87	38548.50	310.00	0.80
1100	NATIONAL FUEL GAS CO	34.80	55.18	60698.00	1518.00	2.50
2950	NATIONAL-OILWELL INC	29.44	53.76	158592.00	1180.00	0.74
25075	NESTLE SA SPONSORED american depository receipt	29.65	54.85	1375363.75	22482.97	1.63
480	NETFLIX INC	130.22	173.57	83313.60	0.00	0.00
2936	NEWS CORP INC-A	14.01	14.48	42513.28	440.40	1.04
1650	NII HOLDINGS INC	24.87	41.81	68986.50	0.00	0.00
2900	NISSAN MOTOR LTD SPONSORED american depository receipt	16.44	17.47	50663.00	0.00	0.00
1000	NOBLE ENERGY INC	49.69	81.48	81480.00	720.00	0.88
900	NORFOLK SOUTHERN CORPORATION	50.93	61.49	55341.00	1296.00	2.34
5219	NOVARTIS AG SPONSORED ADR american depository receipt	47.36	57.95	302441.05	8624.95	2.85
525	NOVO NORDISK AS SPONSORD american depository receipt	67.28	104.80	55020.00	513.98	0.93
5151	NTT DOCOMO INC SPONSORED american depository receipt	15.81	16.89	87000.39	2724.88	3.13
932	NUCOR CORP	45.90	38.22	35621.04	1342.08	3.77
2300	OCCIDENTAL PETE CORP	69.98	78.63	180849.00	3496.00	1.93
1250	OIL CO LUKOIL SPONSORED american depository receipt	48.22	55.85	69812.50	900.00	1.29
2100	OIL STS INTL INC	42.91	51.12	107352.00	0.00	0.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1221	OLIN CORP	13.39	19.99	24407.79	976.80	4.00
3435	ORACLE CORP NAME CHANGED 6/1/95 FROM ORACLE SYSTEMS CORP	24.09	29.38	100920.30	687.00	0.68
1350	ORIX CORP SPONSORED AMERICAN DEPOSITORY RECEIPT	32.72	45.33	61195.50	502.20	0.82
11301	PATTERSON-UTI ENERGY INC	15.92	19.41	219352.41	2260.20	1.03
1063	PAYCHEX INC	38.51	27.74	29487.62	1318.12	4.47
7935	PEPSICO INC	43.40	65.30	518155.50	15235.20	2.94
8386	PERKINELMER INC	20.33	23.45	196651.70	2348.08	1.19
500	PETROCHINA CO LTD-ADR	110.50	122.95	61475.00	1902.06	3.09
5750	PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR CLASS A	11.32	31.19	179342.50	862.50	0.48
3400	PETROLEO BRASILEIRO SA PETROBRAS SPONSD AMERICAN DEPOSITORY RECEIPT	48.29	34.12	116008.00	510.00	0.44
5300	PFIZER INC	20.43	17.41	92299.50	3816.00	4.13
8100	PHILIP MORRIS INTERNATIONAL	20.90	58.51	473931.00	20736.00	4.38
700	PIONEER NATURAL RESOURCES CO	52.55	69.80	48860.00	56.00	0.11
800	PRAXAIR INC	55.94	91.34	73072.00	1440.00	1.97
7547	PROCTER & GAMBLE CO	45.81	63.57	479762.79	14543.07	3.03
500	PRUDENTIAL FINL INC	29.24	52.58	26290.00	350.00	1.33
2000	QEP RESOURCES INC	29.77	33.03	66060.00	160.00	0.24
3350	QUALCOMM INC	26.40	45.16	151286.00	2546.00	1.68
3300	QUICKSILVER RESOURCES INC	12.73	14.97	49401.00	0.00	0.00
1754	RAYMOND JAMES FINANCIAL INC	17.61	28.22	49497.88	771.76	1.56
1737	REINSURANCE GROUP OF AMERICA INC	50.29	50.07	86971.59	833.76	0.96
720	RIO TINTO PLC SPNSD ADR AMERICAN DEPOSITORY RECEIPT	64.44	65.12	46886.40	1608.84	3.43
3260	ROCHE HLDG LTD SPONSORED	33.43	36.75	119805.00	3780.04	3.16

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
	AMERICAN DEPOSITORY RECEIPT					
800	ROYAL DUTCH AMERICAN DEPOSITORY RECEIPT	54.88	64.93	51944.00	2284.80	4.40
4100	ROYAL KPN NEDERLAND NV SPONSORED AMERICAN DEPOSITORY RECEIPT	13.66	16.75	68675.00	3374.30	4.91
902	SAFEWAY INC	35.56	22.90	20655.80	432.96	2.10
1887	SAN JUAN BASIN ROYALTY TRUST	30.34	24.51	46250.37	3004.10	6.50
1680	SANOFI-AVENTIS SPONSORED AMERICAN DEPOSITORY RECEIPT	35.42	35.11	58984.80	1851.88	3.14
600	SCHLUMBERGER LTD	60.46	69.89	41934.00	504.00	1.20
1800	SEADRILL LIMITED	26.11	30.43	54774.00	4392.00	8.02
680	SIEMENS A G SPONSORED AMERICAN DEPOSITORY RECEIPT	101.63	114.31	77730.80	1109.76	1.43
2025	SK TELECOM LTD SPONSORED AMERICAN DEPOSITORY RECEIPT	16.47	18.43	37320.75	1421.55	3.81
1400	SKYWORKS SOLUTIONS INC	21.40	22.92	32088.00	0.00	0.00
7950	SOCIETE GENERALE-SPONSORED AMERICAN DEPOSITORY RECEIPT	13.25	11.93	94843.50	2283.40	2.41
4462	SONY CORP SPONSORED AMERICAN DEPOSITORY RECEIPT	29.35	33.84	150994.08	1142.27	0.76
3268	SOUTHWEST AIRLINES COMPANY	5.28	13.76	44967.68	58.82	0.13
4800	SPECTRA ENERGY CORP WI	26.19	23.77	114096.00	4800.00	4.21
2175	ST JUDE MEDICAL INC	37.59	38.30	83302.50	0.00	0.00
2057	STARBUCKS CORP	8.83	28.56	58747.92	1069.64	1.82
1965	STATOILHYDRO ASA SPONSORED AMERICAN DEPOSITORY RECEIPT	33.01	21.83	42895.95	1531.27	3.57
3100	SUNTRUST BANKS INC	14.81	25.01	77531.00	124.00	0.16
1000	SWISS REINSURANCE CO SPONSORED AMERICAN DEPOSITORY RECEIPT	49.67	47.99	47990.00	794.00	1.65
225	SYNGENTA AG AMERICAN DEPOSITORY RECEIPT	8.00	55.38	12460.50	202.33	1.62

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
2036	SYSCO CORP	28.54	29.46	59980.56	2036.00	3.39
3500	TALISMAN ENERGY INC	9.02	18.14	63490.00	854.00	1.35
1000	TARGET CORP	52.55	51.94	51940.00	1000.00	1.93
1640	TELEFONICA SA SPONSORED AMERICAN DEPOSITORY RECEIPT	90.52	81.14	133069.60	6845.36	5.14
3800	TEMPLE INLAND INC	16.71	20.72	78736.00	1672.00	2.12
8454	TEXAS INSTRUMENTS INC	26.98	29.57	249984.78	4396.08	1.76
8100	THOMPSON CREEK METALS CO INC	11.79	12.03	97443.00	0.00	0.00
1447	TIME WARNER INC	31.24	32.51	47041.97	1229.95	2.61
2800	TOKIO MARINE HOLDINGS AMERICAN DEPOSITORY RECEIPT	28.89	28.09	78652.00	1335.60	1.70
2447	TORCHMARK CORP	48.50	57.28	140164.16	1566.08	1.12
2078	TOTAL S.A. SPONSORED AMERICAN DEPOSITORY RECEIPT	25.88	54.48	113209.44	5246.95	4.63
1200	TRANSCANADA CORP	31.80	36.95	44340.00	1830.00	4.13
1500	TRANSDIGM GROUP INC	45.05	66.27	99405.00	0.00	0.00
927	TREEHOUSE FOODS	21.86	46.70	43290.90	0.00	0.00
8000	TURKCELL ILETISIM HIZMETLERISPON AMERICAN DEPOSITORY RECEIPT	16.62	17.93	143440.00	4728.00	3.30
2700	UBS AG	16.52	17.02	45954.00	0.00	0.00
1700	UNILEVER N V -NY SHARES	28.81	29.69	50473.00	1189.49	2.36
4706	UNILEVER PLC SPONSORED AMERICAN DEPOSITORY RECEIPT	24.12	29.02	136568.12	5731.91	4.20
900	UNION PACIFIC CORP	57.37	87.68	78912.00	1188.00	1.51
3274	UNITED CONTINENTAL HOLDINGS INC COM	12.73	29.04	95076.96	0.00	0.00
1200	UNITED TECHNOLOGIES CORP	65.97	74.77	89724.00	2040.00	2.27
1236	UNITEDHEALTH GROUP INC	29.01	36.05	44557.80	618.00	1.39
1644	UTILITIES SELECT SECTOR SPDR	27.46	31.72	52147.68	2086.24	4.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
650	V.F. CORP	78.53	83.24	54106.00	1638.00	3.03
7200	VALE S.A. AMERICAN DEPOSITORY RECEIPT	10.49	28.73	206856.00	1375.20	0.66
1970	VEECO INSTRUMENTS INC	34.56	41.50	81755.00	0.00	0.00
4493	VERIZON COMMUNICATIONS	30.01	32.48	145932.64	8761.35	6.00
1900	VODAFONE GROUP AMERICAN DEPOSITORY RECEIPT	23.97	27.51	52269.00	2411.10	4.61
5700	VOLVO AKTIEBOLAGET AMERICAN DEPOSITORY RECEIPT	13.65	13.55	77235.00	0.00	0.00
5982	WAL MART STORES INC	35.06	54.17	324044.94	7238.22	2.23
3100	WALGREEN COMPANY	13.55	33.88	105028.00	2170.00	2.07
2800	WEATHERFORD INTNTL LTD	16.75	16.81	47068.00	0.00	0.00
4000	WELLS FARGO & CO NEW	32.51	26.06	104240.00	800.00	0.77
650	WHIRLPOOL CORPORATION	78.86	75.83	49289.50	1118.00	2.27
2900	WILLIAMS COMPANIES INC	17.68	21.51	62379.00	1450.00	2.32
4579	WR BERKLEY CORP	26.25	27.52	126014.08	1282.12	1.02
9150	XEROX CORP	7.38	11.70	107045.85	1555.50	1.45
1850	ZURICH FINANCIAL SERVICES SPONSORED AMERICAN DEPOSITORY RECEIPT	18.51	24.40	45140.00	2273.65	5.04
1975	3M CO	79.45	84.22	166334.50	4147.50	2.49
	TOTAL COMMON STOCK			50680228.45	1215885.80	2.40
	PREFERRED STOCKS					
2000	APACHE CORP 6.000% CONVERTIBLE PREFERRED DUE 08/01/2013 NON CALLABLE	50.00	58.20	116400.00	6000.00	5.15
1000	ARCHER DANIELS 6.250% CONVERTIBLE PFD DUE 06/01/2011	37.88	43.22	43220.00	3125.00	7.23

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
1500	FPL GROUP CAPITAL 8.750% PREFERRED SERIES F DUE 03/01/206 CALLABLE	28.28	29.24	43860.00	3282.00	7.48
100	WELLS FARGO & COMPANY 7.500% CONVERTIBLE PREFERRED SERIES L	1021.08	1000.00	100000.00	7500.00	7.50
TOTAL PREFERRED STOCKS				303480.00	19907.00	6.56
MUTUAL FUNDS						
3500	FINANCIAL SELECT SECTOR SPDR	13.20	14.56	50956.50	535.50	1.05
1500	ISHARES FTSE/XINHUA CHINA 25 INC FUND	37.17	44.97	67458.00	1021.50	1.51
1500	ISHARES MSCI BRAZIL INDEX FUND	75.25	77.04	115560.00	3874.50	3.35
200	ISHARES RUSSELL 2000 INDEX FUND	68.77	70.30	14060.00	158.00	1.12
1000	MARKET VECTORS GOLD MINERS	44.76	57.30	57296.00	111.00	0.19
2000	POWERSHARES QQQ TRUST	46.81	52.18	104360.00	660.00	0.63
TOTAL MUTUAL FUNDS				409690.50	6360.50	1.55
UNIT INVESTMENT TRUSTS						
1000	SPDR S&P 500 ETF TRUST	86.08	118.49	118490.00	2204.00	1.86
TOTAL UNIT INVESTMENT TRUSTS				118490.00	2204.00	1.86
CLOSELY HELD SECURITIES						
1	AAA CAPITAL ENERGY FUND L.P. II NEW YORK LTD PARTNERSHIP BRAZELTON INVESTMENT	200000.00	200000.00	200000.00	0.00	0.00
1	BLACK STONE - HATFIELD ROYALTY I ORIGINAL INVESTMENT \$432,501	358075.00	358075.00	358075.00	0.00	0.00
1	BLACK STONE - O'CONNELL PARTNERS ORIGINAL INVESTMENT \$249,445	209419.00	209419.00	209419.00	0.00	0.00
1	BLACK STONE ACQUISITIONS	716687.00	716687.00	716687.00	0.00	0.00

AR VALUE/ SHARES	DESCRIPTION	UNIT COST	MARKET PRICE	MARKET VALUE	EST. ANNUAL INCOME	% YLD AT MKT
	PARTNERS I, LP ORIGINAL COMMITMENT \$1,000,000 REMAINING COMMITMENT \$0					
1	BLACK STONE ACQUISITIONS PARTNERS II, L.P. ORIGINAL COMMITMENT \$500,000 REMAINING COMMITMENT \$11,272	362882.00	362882.00	362882.00	0.00	0.00
1	BLACK STONE NATURAL RESOURCE III ORIGINAL COMMITMENT \$1,000,000 REMAINING COMMITMENT \$308,319.66	590051.00	590051.00	590051.00	0.00	0.00
1	BSNR PREFERRED, L.P. 018513 ORIGINAL COMMITMENT \$500000.00 REMAINING COMMITMENT \$0.00	499000.00	499000.00	499000.00	0.00	0.00
3000	EL PASO PIPELINE PARTNERS LP EXCHANGE TRADED PARTNERSHIP	21.33	33.70	101100.00	4920.00	4.87
3500	ENTERPRISE PRODS PARTNERS LP	22.82	42.85	149975.00	8155.00	5.44
1	JBW ABSOLUTE RETURN FUND LP ORIGINAL AMT \$1,000,000	1000000.00	1018657.00	1018657.00	0.00	0.00
1	KENMONT CAPITAL PARTNERS (K2 CP) OMPLPRIVATE EQUITY PARTNERS ORIGINAL COMMITMENT \$1,500,000.00 REMAINING COMMITMENT \$322,886.13	663056.20	663056.20	663056.20	0.00	0.00
2200	KINDER MORGAN ENERGY PARTNERS LP	52.06	69.47	152834.00	9768.00	6.39
20000	ONEOK PARTNERS LP	24.15	78.33	1566600.00	90400.00	5.77
2000	PLAINS ALL AMERICAN PIPELINE LP	47.98	63.10	126200.00	7600.00	6.02
1	WINSTON HEDGED EQUITY FUND LTD ORIGINAL COMMITMENT \$1,000,000	1000000.00	1000000.00	1000000.00	0.00	0.00
				7714536.20	120843.00	1.57
	GRAND TOTAL			59,226,425.00		

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*ACCENTURE PLC CL A				
12/24/09	SOLD 706 SHARES TRADE DATE 12/18/09 SOLD THROUGH UNX, INC. PAID \$28.24 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 706 SHARES AT \$41.397	706.00	-21,456.33	29,197.29	7,740.96
	TOTAL FOR ASSET	706.00	-21,456.33	29,197.29	7,740.96
	*AEGON NV ADR				
12/17/09	SOLD 5,600 SHARES TRADE DATE 12/14/09 SOLD THROUGH CSI US INSTITUTIONAL DESK PAID \$168.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 5,600 SHARES AT \$6.5134	5,600.00	-37,954.90	36,306.10	-1,648.80
12/18/09	SOLD 8,000 SHARES TRADE DATE 12/15/09 SOLD THROUGH CSI US INSTITUTIONAL DESK PAID \$240.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 8,000 SHARES AT \$6.3342	8,000.00	-46,203.09	50,432.29	4,229.20
	TOTAL FOR ASSET	13,600.00	-84,157.99	86,738.39	2,580.40
	*ANADARKO PETE CORP				
05/05/10	SOLD 1,200 SHARES TRADE DATE 4/30/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$72.00 BROKERAGE 1,200 SHARES AT \$63.3444	1,200.00	-44,058.33	75,940.00	31,881.67
06/01/10	SOLD 800 SHARES TRADE DATE 5/26/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$64.00 BROKERAGE 800 SHARES AT \$54.0076	800.00	-42,053.81	43,141.35	1,087.54
	TOTAL FOR ASSET	2,000.00	-86,112.14	119,081.35	32,969.21
	*ANNALY CAPITAL MANAGEMENT REIT				
05/10/10	SOLD 8,000 SHARES TRADE DATE 5/ 5/10 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$320.00 BROKERAGE 8,000 SHARES AT \$16.169	8,000.00	-139,504.80	129,029.81	-10,474.99
	TOTAL FOR ASSET	8,000.00	-139,504.80	129,029.81	-10,474.99

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*ARCELORMITTAL ADR				
07/16/10	SOLD 1,300 SHARES TRADE DATE 7/13/10 SOLD THROUGH KNIGHT DIRECT LLC PAID \$39.00 BROKERAGE 1,300 SHARES AT \$30.377	1,300.00	-52,966.66	39,450.43	-13,516.23
07/30/10	SOLD 1,290 SHARES TRADE DATE 7/27/10 SOLD THROUGH KNIGHT DIRECT LLC PAID \$38.70 BROKERAGE 1,290 SHARES AT \$32.082698 TOTAL FOR ASSET	1,290.00 2,590.00	-51,128.50 -104,095.16	41,347.28 80,797.71	-9,781.22 -23,297.45
	*AT & T INC				
01/26/10	SOLD 1,690 SHARES TRADE DATE 1/21/10 SOLD THROUGH DAIN RAUSCHER INCORPORATED PAID \$67.60 BROKERAGE 1,690 SHARES AT \$25.50 TOTAL FOR ASSET	1,690.00 1,690.00	-65,324.93 -65,324.93	43,026.85 43,026.85	-22,298.08 -22,298.08
	*BANCO SANTANDER CENT HISPANO S A				
05/11/10	SOLD 5,040 SHARES TRADE DATE 5/ 6/10 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$201.60 BROKERAGE 5,040 SHARES AT \$10.7059	5,040.00	-92,030.40	53,755.22	-38,275.18
05/12/10	SOLD 8,160 SHARES TRADE DATE 5/ 7/10 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$326.40 BROKERAGE 8,160 SHARES AT \$9.8972 TOTAL FOR ASSET	8,160.00 13,200.00	-94,991.54 -187,021.94	80,433.38 134,188.60	-14,558.16 -52,833.34
	*BANK AMER CORP				
10/22/10	SOLD 5,000 SHARES TRADE DATE 10/19/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$400.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 5,000 SHARES AT \$11.83 TOTAL FOR ASSET	5,000.00 5,000.00	-223,350.00 -223,350.00	58,749.00 58,749.00	-164,601.00 -164,601.00

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*BAXTER INTERNATIONAL INC				
11/27/09	SOLD 446 SHARES TRADE DATE 11/23/09 SOLD THROUGH UNX, INC. PAID \$17.84 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 446 SHARES AT \$54.7983	446.00	-23,039.02	24,421.57	1,382.55
	TOTAL FOR ASSET	446.00	-23,039.02	24,421.57	1,382.55
	*BECTON DICKINSON				
09/07/10	SOLD 499 SHARES TRADE DATE 9/ 1/10 SOLD THROUGH UNX, INC. PAID \$19.96 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 499 SHARES AT \$68.9726	499.00	-36,407.70	34,396.79	-2,010.91
	TOTAL FOR ASSET	499.00	-36,407.70	34,396.79	-2,010.91
	*BERKSHIRE HATHAWAY INC DEL CL B				
12/14/09	SOLD 11 SHARES TRADE DATE 12/ 9/09 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$0.44 BROKERAGE 11 SHARES AT \$3,295.4304	11.00	-39,974.44	36,248.35	-3,726.09
	TOTAL FOR ASSET	11.00	-39,974.44	36,248.35	-3,726.09
	*BERKSHIRE HATHAWAY INC-CL B				
03/11/10	SOLD 0.7884 SHARES TRADE DATE 3/11/10 SOLD THROUGH ESI SECURITIES COMPANY 0.7884 SHARES AT \$90.1637 FRACTIONAL SHARES SOLD @ \$90.1637	0.79	-56.41	71.09	14.68
	TOTAL FOR ASSET	0.79	-56.41	71.09	14.68
	*BLACKROCK INC CLASS A				
06/01/10	SOLD 700 SHARES TRADE DATE 5/26/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$56.00 BROKERAGE 700 SHARES AT \$164.0425	700.00	-118,479.60	114,771.81	-3,707.79
	TOTAL FOR ASSET	700.00	-118,479.60	114,771.81	-3,707.79

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ETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*BP PLC SPONS ADR				
05/06/10	SOLD 1,500 SHARES TRADE DATE 5/ 3/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$120.00 BROKERAGE 1,500 SHARES AT \$48.278	1,500.00	-79,184.55	72,295.78	-6,888.77
	TOTAL FOR ASSET	1,500.00	-79,184.55	72,295.78	-6,888.77
	*BURLINGTON NORTHERN SANTA FE CORP				
01/20/10	SOLD 490 SHARES TRADE DATE 1/14/10 SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$19.60 BROKERAGE 490 SHARES AT \$99.0532	490.00	-22,091.80	48,515.85	26,424.05
	TOTAL FOR ASSET	490.00	-22,091.80	48,515.85	26,424.05
	*CAMPBELL SOUP CO				
11/10/09	SOLD 1,400 SHARES TRADE DATE 11/ 5/09 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$56.00 BROKERAGE 1,400 SHARES AT \$32.0024	1,400.00	-42,277.06	44,746.20	2,469.14
	TOTAL FOR ASSET	1,400.00	-42,277.06	44,746.20	2,469.14
	*CANADIAN NATURAL RESOURCES				
04/06/10	SOLD 400 SHARES TRADE DATE 3/31/10 SOLD THROUGH CREDIT SUISSE FIRST BOSTON PAID \$24.00 BROKERAGE 400 SHARES AT \$74.1013	400.00	-13,799.53	29,616.01	15,816.48
04/14/10	SOLD 200 SHARES TRADE DATE 4/ 9/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$12.00 BROKERAGE 200 SHARES AT \$78.6846	200.00	-6,855.50	15,724.65	8,869.15
	TOTAL FOR ASSET	600.00	-20,655.03	45,340.66	24,685.63
	*CANON INC SPONS ADR				
07/14/10	SOLD 687 SHARES TRADE DATE 7/ 9/10 SOLD THROUGH UNX, INC. PAID \$27.48 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 687 SHARES AT \$39.1297	687.00	-23,906.23	26,854.17	2,947.94
	TOTAL FOR ASSET	687.00	-23,906.23	26,854.17	2,947.94

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*CARNIVAL CORP				
11/25/09	SOLD 884 SHARES TRADE DATE 11/20/09 SOLD THROUGH UNX, INC. PAID \$35.36 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 884 SHARES AT \$31.8325 TOTAL FOR ASSET	884.00	-34,243.37	28,103.85	-6,139.52
		884.00	-34,243.37	28,103.85	-6,139.52
	*CENTERPOINT ENERGY INC				
10/25/10	SOLD 800 SHARES TRADE DATE 10/20/10 SOLD THROUGH BURNS, FRY INC. PAID \$48.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 800 SHARES AT \$16.3918 TOTAL FOR ASSET	800.00	-10,400.00	13,065.21	2,665.21
		800.00	-10,400.00	13,065.21	2,665.21
	*CENTRAL EUROPEAN MEDIA ENTRPRS CL-A				
08/12/10	SOLD 480 SHARES TRADE DATE 8/ 9/10 SOLD THROUGH KNIGHT DIRECT LLC PAID \$14.40 BROKERAGE 480 SHARES AT \$22.907396	480.00	-8,025.94	10,980.96	2,955.02
08/13/10	SOLD 410 SHARES TRADE DATE 8/10/10 SOLD THROUGH INSTINET PAID \$12.30 BROKERAGE 410 SHARES AT \$22.176	410.00	-6,855.48	9,079.70	2,224.22
08/16/10	SOLD 310 SHARES TRADE DATE 8/11/10 SOLD THROUGH KNIGHT DIRECT LLC PAID \$9.30 BROKERAGE 310 SHARES AT \$21.322903 TOTAL FOR ASSET	310.00	-5,183.42	6,600.69	1,417.27
		1,200.00	-20,064.84	26,661.35	6,596.51
	*CGG VERITAS SPONS ADR				
07/30/10	SOLD 1,600 SHARES TRADE DATE 7/27/10 SOLD THROUGH SIMMONS & COMPANY INTERNATIONAL PAID \$96.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,600 SHARES AT \$20.6485 TOTAL FOR ASSET	1,600.00	-27,727.84	32,941.04	5,213.20
		1,600.00	-27,727.84	32,941.04	5,213.20

ETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*CHARLES SCHWAB CORP				
11/17/09	SOLD 3,100 SHARES TRADE DATE 11/12/09 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$124.00 BROKERAGE 3,100 SHARES AT \$17.9729	3,100.00	-46,801.94	55,590.55	8,788.61
04/09/10	SOLD 1,204 SHARES TRADE DATE 4/ 6/10 SOLD THROUGH UNX, INC. PAID \$48.16 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,204 SHARES AT \$18.7718	1,204.00	-18,622.99	22,552.71	3,929.72
	TOTAL FOR ASSET	4,304.00	-65,424.93	78,143.26	12,718.33
	*CHINA UNICOM LTD SPONS ADR				
02/08/10	SOLD 3,005 SHARES TRADE DATE 2/ 3/10 SOLD THROUGH UNX, INC. PAID \$120.20 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 3,005 SHARES AT \$11.2952	3,005.00	-40,723.25	33,821.45	-6,901.80
	TOTAL FOR ASSET	3,005.00	-40,723.25	33,821.45	-6,901.80
	*CISCO SYSTEMS INC				
02/23/10	SOLD 500 SHARES TRADE DATE 2/18/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$20.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 500 SHARES AT \$24.1431	500.00	-15,830.24	12,051.39	-3,778.85
10/22/10	SOLD 5,272 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$210.88 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 5,272 SHARES AT \$22.87	5,272.00	-122,576.32	120,357.72	-2,218.60
	TOTAL FOR ASSET	5,772.00	-138,406.56	132,409.11	-5,997.45
	*CLIFFS NATURAL RESOURCES INC				
08/17/10	SOLD 675 SHARES TRADE DATE 8/12/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$27.00 BROKERAGE 675 SHARES AT \$58.2259	675.00	-34,517.54	39,274.81	4,757.27
	TOTAL FOR ASSET	675.00	-34,517.54	39,274.81	4,757.27

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*COLGATE PALMOLIVE CO				
02/01/10	SOLD 451 SHARES TRADE DATE 1/27/10 SOLD THROUGH UNX, INC. PAID \$18.04 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 451 SHARES AT \$80.3597 TOTAL FOR ASSET	451.00	-32,065.92	36,223.72	4,157.80
	*CORINTHIAN COLLEGES INC				
06/09/10	SOLD 2,907 SHARES TRADE DATE 6/ 4/10 SOLD THROUGH UNX, INC. PAID \$116.28 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 2,907 SHARES AT \$12.8814 TOTAL FOR ASSET	2,907.00	-39,199.15	37,329.32	-1,869.83
	*CVS CAREMARK CORP				
12/21/09	SOLD 975 SHARES TRADE DATE 12/16/09 SOLD THROUGH UNX, INC. PAID \$39.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 975 SHARES AT \$31.30 TOTAL FOR ASSET	975.00	-36,884.52	30,477.71	-6,406.81
	*DELHAIZE GROUP SPONS ADR				
10/14/10	SOLD 560 SHARES TRADE DATE 10/11/10 SOLD THROUGH ESI SECURITIES COMPANY PAID \$16.80 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 560 SHARES AT \$69.6956 TOTAL FOR ASSET	560.00	-44,215.86	39,012.08	-5,203.78
	*DELTA AIR LINES INC				
05/26/10	SOLD 6,000 SHARES TRADE DATE 5/21/10 SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$240.00 BROKERAGE 6,000 SHARES AT \$13.3174 TOTAL FOR ASSET	6,000.00	-48,077.40	79,663.04	31,585.64

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*DENBURY RESOURCES INC				
03/26/10	SOLD 0.2032 SHARES TRADE DATE 3/26/10 SOLD THROUGH ESI SECURITIES COMPANY 0.2032 SHARES AT \$15.5262 FRACTIONAL SHARES SOLD @ \$15.5262 TOTAL FOR ASSET	0.20	-2.94	3.15	0.21
	*DIAGEO PLC ADR				
09/30/10	SOLD 650 SHARES TRADE DATE 9/27/10 SOLD THROUGH BT ALEX. BROWN INCORPORATED PAID \$26.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 650 SHARES AT \$70.0246 TOTAL FOR ASSET	650.00	-31,386.50	45,489.22	14,102.72
	*DISNEY WALT CO				
03/19/10	SOLD 1,076 SHARES TRADE DATE 3/16/10 SOLD THROUGH UNX, INC. PAID \$43.04 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,076 SHARES AT \$33.72 TOTAL FOR ASSET	1,076.00	-28,141.83	36,239.22	8,097.39
	*E ON AG SPONS ADR				
09/20/10	SOLD 1,550 SHARES TRADE DATE 9/15/10 SOLD THROUGH ESI SECURITIES COMPANY PAID \$46.50 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,550 SHARES AT \$29.178 TOTAL FOR ASSET	1,550.00	-74,684.70	45,178.63	-29,506.07
	*EBAY INC COM				
07/23/10	SOLD 4,000 SHARES TRADE DATE 7/20/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$160.00 BROKERAGE 4,000 SHARES AT \$20.7892 TOTAL FOR ASSET	4,000.00	-112,099.00	82,995.39	-29,103.61

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*ECOLAB INC				
03/24/10	SOLD 624 SHARES TRADE DATE 3/19/10 SOLD THROUGH UNX, INC. PAID \$24.96 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 624 SHARES AT \$43.2965 TOTAL FOR ASSET	624.00	-30,059.33	26,991.72	-3,067.61
	*ENBRIDGE ENERGY MANAGEMENT LLC				
12/18/09	SOLD 0.7118 SHARES TRADE DATE 12/18/09 SOLD THROUGH ESI SECURITIES COMPANY 0.7118 SHARES AT \$52.356 FRACTIONAL SHARES SOLD @ \$52.356	0.71	-19.27	37.27	18.00
03/04/10	SOLD 0.1891 SHARES TRADE DATE 3/ 2/10 SOLD THROUGH ESI SECURITIES COMPANY 0.1891 SHARES AT \$49.9526 FRACTIONAL SHARES SOLD @ \$49.9526	0.19	-5.02	9.45	4.43
06/18/10	SOLD 0.5392 SHARES TRADE DATE 6/18/10 SOLD THROUGH ESI SECURITIES COMPANY 0.5392 SHARES AT \$50.8862 FRACTIONAL SHARES SOLD @ \$50.8862	0.54	-14.05	27.44	13.39
08/30/10	SOLD 0.5422 SHARES TRADE DATE 8/30/10 SOLD THROUGH ESI SECURITIES COMPANY 0.5422 SHARES AT \$53.5498 FRACTIONAL SHARES SOLD @ \$53.5498 TOTAL FOR ASSET	0.54 1.98	-13.88 -52.22	29.03 103.19	15.15 50.97
	*EQT CORPORATION				
05/21/10	SOLD 875 SHARES TRADE DATE 5/18/10 SOLD THROUGH UNX, INC. PAID \$35.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 875 SHARES AT \$41.9482 TOTAL FOR ASSET	875.00 875.00	-36,112.74 -36,112.74	36,669.06 36,669.06	556.32 556.32

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*EVEREST RE GROUP LIMITED				
06/22/10	SOLD 775 SHARES TRADE DATE 6/17/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$31.00 BROKERAGE 775 SHARES AT \$74.4262 TOTAL FOR ASSET	775.00	-69,835.04	57,648.33	-12,186.71
	*FLEXTRONICS INTL LTD				
05/26/10	SOLD 10,000 SHARES TRADE DATE 5/21/10 SOLD THROUGH DAIN RAUSCHER INCORPORATED PAID \$400.00 BROKERAGE 10,000 SHARES AT \$6.3655 TOTAL FOR ASSET	10,000.00	-65,811.00	63,253.92	-2,557.08
	*FLIR SYSTEMS INC				
01/26/10	SOLD 1,200 SHARES TRADE DATE 1/21/10 SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$48.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,200 SHARES AT \$31.2112 TOTAL FOR ASSET	1,200.00	-28,601.76	37,404.96	8,803.20
	*FOMENTO ECON MEXICANO SPONS ADR				
01/15/10	SOLD 760 SHARES TRADE DATE 1/12/10 SOLD THROUGH MORGAN STANLEY & CO., INC. PAID \$30.40 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 760 SHARES AT \$44.091 TOTAL FOR ASSET	760.00	-26,676.00	33,478.33	6,802.33
	*FORWARD SMALL CAP EQUITY-INS				
11/05/09	SOLD 6,346.727 UNITS TRADE DATE 11/ 4/09 6,346.727 UNITS AT \$13.84 TOTAL FOR ASSET	6,346.73	-117,600.00	87,838.70	-29,761.30
	*FRANCE TELECOM SPONS ADR				
12/16/09	SOLD 1,175 SHARES TRADE DATE 12/11/09 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$47.00 BROKERAGE 1,175 SHARES AT \$25.521	1,175.00	-42,359.69	29,939.41	-12,420.28

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
12/17/09	SOLD 725 SHARES TRADE DATE 12/14/09 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$29.00 BROKERAGE 725 SHARES AT \$25.6329	725.00	-25,952.59	18,554.37	-7,398.22
01/19/10	SOLD 2,240 SHARES TRADE DATE 1/13/10 SOLD THROUGH CREDIT LYONNAIS SECURITIES (US PAID \$67.20 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 2,240 SHARES AT \$25.2596 TOTAL FOR ASSET	2,240.00 4,140.00	-66,722.90 -135,035.18	56,514.30 105,008.08	-10,208.60 -30,027.10
	*FRONTIER COMMUNICATIONS CORP				
07/27/10	SOLD 0.1685 SHARES TRADE DATE 7/27/10 SOLD THROUGH ESI SECURITIES COMPANY 0.1685 SHARES AT \$7.7794 FRACTIONAL SHARES SOLD @ \$7.7794	0.17	-1.85	1.31	-0.54
08/30/10	SOLD 291 SHARES TRADE DATE 8/25/10 SOLD THROUGH UNX, INC. PAID \$11.64 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 291 SHARES AT \$7.55 TOTAL FOR ASSET	291.00 291.17	-2,838.06 -2,839.91	2,185.37 2,186.68	-652.69 -653.23
	*GAMESTOP CORP-A				
12/14/09	SOLD 2,000 SHARES TRADE DATE 12/ 9/09 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$80.00 BROKERAGE 2,000 SHARES AT \$20.8091	2,000.00	-38,400.03	41,537.13	3,137.10
01/26/10	SOLD 2,100 SHARES TRADE DATE 1/21/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$84.00 BROKERAGE 2,100 SHARES AT \$20.4325 TOTAL FOR ASSET	2,100.00 4,100.00	-36,829.27 -75,229.30	42,823.71 84,360.84	5,994.44 9,131.54
	*GENERAL ELECTRIC COMPANY				
02/23/10	SOLD 200 SHARES TRADE DATE 2/18/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$8.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 200 SHARES AT \$16.142	200.00	-642.50	3,220.35	2,577.85

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
07/29/10	SOLD 30,437 SHARES TRADE DATE 7/26/10 SOLD THROUGH UNX, INC. PAID \$1,217.48 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 30,437 SHARES AT \$16.00	30,437.00	-1,019,182.95	485,766.28	-533,416.67
10/22/10	SOLD 27,463 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$1,098.52 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 27,463 SHARES AT \$16.01 TOTAL FOR ASSET	27,463.00 58,100.00	-919,598.55 -1,939,424.00	438,576.67 927,563.30	-481,021.88 -1,011,860.70
	*HALLIBURTON CO				
03/24/10	SOLD 1,100 SHARES TRADE DATE 3/19/10 SOLD THROUGH HOWARD WEIL LABOUISSIE FRIEDRIC PAID \$66.00 BROKERAGE 1,100 SHARES AT \$30.4134 TOTAL FOR ASSET	1,100.00 1,100.00	-32,942.36 -32,942.36	33,388.31 33,388.31	445.95 445.95
	*HARSCO CORPORATION				
02/18/10	SOLD 997 SHARES TRADE DATE 2/12/10 SOLD THROUGH UNX, INC. PAID \$39.88 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 997 SHARES AT \$28.8727 TOTAL FOR ASSET	997.00 997.00	-19,181.08 -19,181.08	28,745.83 28,745.83	9,564.75 9,564.75
	*HOME DEPOT INC				
10/18/10	SOLD 3,000 SHARES TRADE DATE 10/13/10 SOLD THROUGH UNX, INC. PAID \$120.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 3,000 SHARES AT \$31.3207 TOTAL FOR ASSET	3,000.00 3,000.00	-127,065.00 -127,065.00	93,840.51 93,840.51	-33,224.49 -33,224.49
	*HONDA MOTOR LTD ADR				
02/11/10	SOLD 1,323 SHARES TRADE DATE 2/ 8/10 SOLD THROUGH UNX, INC. PAID \$52.92 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,323 SHARES AT \$34.0959	1,323.00	-33,637.27	45,055.39	11,418.12

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,323.00	-33,637.27	45,055.39	11,418.12
	*HSBC HOLDINGS PLC SPONS ADR				
07/02/10	SOLD 3,541 SHARES TRADE DATE 6/29/10 SOLD THROUGH UNX, INC. PAID \$212.46 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 3,541 SHARES AT \$46.57593 TOTAL FOR ASSET	3,541.00	-228,295.54	164,710.12	-63,585.42
	*INTEL CORP				
07/02/10	SOLD 28,000 SHARES TRADE DATE 6/29/10 SOLD THROUGH UNX, INC. PAID \$840.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 28,000 SHARES AT \$19.6895 TOTAL FOR ASSET	28,000.00	-577,416.00	550,456.68	-26,959.32
	*INTESA SANPAOLO SPONS ADR				
03/16/10	SOLD 3,560 SHARES TRADE DATE 3/11/10 SOLD THROUGH CREDIT LYONNAIS SECURITIES (US PAID \$106.80 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 3,560 SHARES AT \$23.0328 TOTAL FOR ASSET	3,560.00	-79,787.84	81,889.97	2,102.13
	*ISHARES DJ JONES U.S. TECHNOLOGY SEC				
10/22/10	SOLD 1,124 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$44.96 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,124 SHARES AT \$59.76 TOTAL FOR ASSET	1,124.00	-52,685.22	67,124.14	14,438.92
	*JC PENNEY CO				
08/17/10	SOLD 1,150 SHARES TRADE DATE 8/12/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$46.00 BROKERAGE 1,150 SHARES AT \$20.7392 TOTAL FOR ASSET	1,150.00	-36,693.97	23,803.67	-12,890.30

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*JP MORGAN CHASE & CO				
10/22/10	SOLD 8,000 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$320.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 8,000 SHARES AT \$37.76	8,000.00	-326,000.00	301,754.89	-24,245.11
	TOTAL FOR ASSET	8,000.00	-326,000.00	301,754.89	-24,245.11
	*KINETIC CONCEPTS				
10/27/10	SOLD 1,100 SHARES TRADE DATE 10/22/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$44.00 BROKERAGE 1,100 SHARES AT \$36.1712	1,100.00	-54,386.97	39,743.64	-14,643.33
	TOTAL FOR ASSET	1,100.00	-54,386.97	39,743.64	-14,643.33
	*L-3 COMMUNICATIONS HLDGS INCCOM				
09/22/10	SOLD 530 SHARES TRADE DATE 9/17/10 SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$21.20 BROKERAGE 530 SHARES AT \$70.3989	530.00	-52,589.36	37,289.58	-15,299.78
	TOTAL FOR ASSET	530.00	-52,589.36	37,289.58	-15,299.78
	*LILLY ELI & CO				
07/02/10	SOLD 600 SHARES TRADE DATE 6/29/10 SOLD THROUGH UNX, INC. PAID \$36.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 600 SHARES AT \$33.5422	600.00	-33,465.00	20,088.97	-13,376.03
	TOTAL FOR ASSET	600.00	-33,465.00	20,088.97	-13,376.03
	*LUBRIZOL CORP				
10/26/10	SOLD 240 SHARES TRADE DATE 10/21/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$9.60 BROKERAGE 240 SHARES AT \$113.8372	240.00	-10,994.18	27,310.87	16,316.69
	TOTAL FOR ASSET	240.00	-10,994.18	27,310.87	16,316.69

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ETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*MCGRAW HILL COS INC				
08/25/10	SOLD 2,850 SHARES TRADE DATE 8/20/10 SOLD THROUGH KNIGHT DIRECT LLC PAID \$85.50 BROKERAGE 2,850 SHARES AT \$28.0044 TOTAL FOR ASSET	2,850.00	-99,532.68	79,725.69	-19,806.99
	*MCKESSON CORP				
03/05/10	SOLD 753 SHARES TRADE DATE 3/ 2/10 SOLD THROUGH UNX, INC. PAID \$30.12 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 753 SHARES AT \$61.7893 TOTAL FOR ASSET	753.00	-30,771.65	46,496.63	15,724.98
	*MDC HOLDINGS INC				
05/26/10	SOLD 1,340 SHARES TRADE DATE 5/21/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$53.60 BROKERAGE 1,340 SHARES AT \$31.3253 TOTAL FOR ASSET	1,340.00	-37,616.61	41,921.59	4,304.98
	*MERCK & CO INC				
12/07/09	SOLD 0.4 SHARES TRADE DATE 12/ 3/09 SOLD THROUGH ESI SECURITIES COMPANY 0.4 SHARES AT \$36.922 FRACTIONAL SHARES SOLD @ \$36.9220	0.40	-18.23	14.77	-3.46
10/27/10	SOLD 500 SHARES TRADE DATE 10/22/10 SOLD THROUGH DAIN RAUSCHER INCORPORATED PAID \$20.00 BROKERAGE 500 SHARES AT \$37.0076 TOTAL FOR ASSET	500.00	-22,089.17	18,483.48	-3,605.69
	*MICRON TECHNOLOGY INC				
02/23/10	SOLD 6,500 SHARES TRADE DATE 2/18/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$260.00 BROKERAGE 6,500 SHARES AT \$8.6887 TOTAL FOR ASSET	6,500.00	-52,822.90	56,215.83	3,392.93

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*MICROSOFT CORP				
02/23/10	SOLD 500 SHARES TRADE DATE 2/18/10	500.00	-1,156.25	14,326.26	13,170.01
	SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$20.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 500 SHARES AT \$28.6929				
	TOTAL FOR ASSET	500.00	-1,156.25	14,326.26	13,170.01
	*MITSUBISHI UFJ FINANCIAL-ADR				
10/20/10	SOLD 13,200 SHARES TRADE DATE 10/15/10	13,200.00	-124,401.66	61,813.22	-62,588.44
	SOLD THROUGH ESI SECURITIES COMPANY PAID \$396.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 13,200 SHARES AT \$4.7129				
	TOTAL FOR ASSET	13,200.00	-124,401.66	61,813.22	-62,588.44
	*MITSUI & CO LTD SPONS ADR				
08/18/10	SOLD 180 SHARES TRADE DATE 8/13/10	180.00	-50,860.81	48,735.49	-2,125.32
	SOLD THROUGH CREDIT LYONNAIS SECURITIES (US PAID \$5.40 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 180 SHARES AT \$270.7827				
	TOTAL FOR ASSET	180.00	-50,860.81	48,735.49	-2,125.32
	*MTN GROUP LTD SPONS ADR				
02/16/10	SOLD 2,600 SHARES TRADE DATE 2/10/10	2,600.00	-36,777.00	37,150.88	373.88
	SOLD THROUGH CREDIT LYONNAIS SECURITIES (US PAID \$78.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 2,600 SHARES AT \$14.3188				
	TOTAL FOR ASSET	2,600.00	-36,777.00	37,150.88	373.88
	*MYLAN INC				
01/20/10	SOLD 2,700 SHARES TRADE DATE 1/14/10	2,700.00	-37,670.70	48,164.95	10,494.25
	SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$108.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 2,700 SHARES AT \$17.8791				
	TOTAL FOR ASSET	2,700.00	-37,670.70	48,164.95	10,494.25

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*NATIONAL BANK GREECE SPONS ADR				
03/16/10	SOLD 7,040 SHARES TRADE DATE 3/11/10 SOLD THROUGH CREDIT LYONNAIS SECURITIES (US) PAID \$211.20 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 7,040 SHARES AT \$4.3164	7,040.00	-46,695.48	30,176.26	-16,519.22
04/29/10	SOLD 11,250 SHARES TRADE DATE 4/26/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$450.00 BROKERAGE 11,250 SHARES AT \$3.0529 TOTAL FOR ASSET	11,250.00 18,290.00	-62,501.92 -109,197.40	33,894.54 64,070.80	-28,607.38 -45,126.60
	*NATIONAL GRID PLC SPONS ADR				
11/16/09	SOLD 980 SHARES TRADE DATE 11/11/09 SOLD THROUGH CSI US INSTITUTIONAL DESK PAID \$39.20 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 980 SHARES AT \$51.6795	980.00	-76,058.16	50,605.40	-25,452.76
02/16/10	SOLD 1,040 SHARES TRADE DATE 2/10/10 SOLD THROUGH CREDIT LYONNAIS SECURITIES (US) PAID \$31.20 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,040 SHARES AT \$49.1461 TOTAL FOR ASSET	1,040.00 2,020.00	-60,456.88 -136,515.04	51,080.74 101,686.14	-9,376.14 -34,828.90
	*NESTLE SA SPONS ADR				
07/20/10	SOLD 10,000 SHARES TRADE DATE 7/15/10 SOLD THROUGH SIMMONS & COMPANY INTERNATIONAL PAID \$400.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 10,000 SHARES AT \$51.1072 TOTAL FOR ASSET	10,000.00 10,000.00	-302,000.00 -302,000.00	510,663.36 510,663.36	208,663.36 208,663.36
	*NIDEC CORPORATION SPONS ADR				
09/20/10	SOLD 1,900 SHARES TRADE DATE 9/15/10 SOLD THROUGH ESI SECURITIES COMPANY PAID \$57.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,900 SHARES AT \$22.2768 TOTAL FOR ASSET	1,900.00 1,900.00	-48,683.51 -48,683.51	42,268.20 42,268.20	-6,415.31 -6,415.31

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*NORTHERN TR CORP				
01/11/10	SOLD 546 SHARES TRADE DATE 1/6/10 SOLD THROUGH UNX, INC. PAID \$21.84 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 546 SHARES AT \$51.6967 TOTAL FOR ASSET	546.00	-31,222.78	28,203.83	-3,018.95
	*NRG ENERGY INC				
03/16/10	SOLD 1,600 SHARES TRADE DATE 3/11/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$64.00 BROKERAGE 1,600 SHARES AT \$22.6901 TOTAL FOR ASSET	1,600.00	-35,929.28	36,239.69	310.41
	*PATRIOT COAL CORP				
02/23/10	SOLD 120 SHARES TRADE DATE 2/18/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$4.80 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 120 SHARES AT \$16.9825 TOTAL FOR ASSET	120.00	-1,982.86	2,033.07	50.21
	*PEABODY ENERGY CORP				
02/23/10	SOLD 700 SHARES TRADE DATE 2/18/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$28.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 700 SHARES AT \$45.819 TOTAL FOR ASSET	700.00	-33,650.43	32,044.89	-1,605.54
	*PEPSICO INC				
07/29/10	SOLD 7,009 SHARES TRADE DATE 7/26/10 SOLD THROUGH UNX, INC. PAID \$280.36 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 7,009 SHARES AT \$64.8337	7,009.00	-412,900.19	454,131.36	41,231.17
10/22/10	SOLD 3,764 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$150.56 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC.	3,764.00	-221,737.24	245,295.73	23,558.49

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	3,764 SHARES AT \$65.21 TOTAL FOR ASSET	10,773.00	-634,637.43	699,427.09	64,789.66
	*PETROLEO BRASILEIRO SA PETRO CL A				
11/03/09	SOLD 500 SHARES TRADE DATE 10/29/09 SOLD THROUGH MORGAN STANLEY & CO., INC. PAID \$30.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 500 SHARES AT \$41.2671	500.00	-9,090.70	20,603.01	11,512.31
04/07/10	SOLD 300 SHARES TRADE DATE 4/ 1/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$18.00 BROKERAGE 300 SHARES AT \$40.5098 TOTAL FOR ASSET	300.00 800.00	-5,454.42 -14,545.12	12,134.73 32,737.74	6,680.31 18,192.62
	*PFIZER INC				
03/09/10	SOLD 3,600 SHARES TRADE DATE 3/ 3/10 SOLD THROUGH ISI GROUP, INC. PAID \$288.00 BROKERAGE 3,600 SHARES AT \$17.35 TOTAL FOR ASSET	3,600.00 3,600.00	-65,997.96 -65,997.96	62,171.20 62,171.20	-3,826.76 -3,826.76
	*PHILIP MORRIS INTERNATIONAL				
07/29/10	SOLD 32,674 SHARES TRADE DATE 7/26/10 SOLD THROUGH UNX, INC. PAID \$1,306.96 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 32,674 SHARES AT \$50.98	32,674.00	-1,239,120.73	1,664,385.40	425,264.67
10/18/10	SOLD 4,826 SHARES TRADE DATE 10/13/10 SOLD THROUGH UNX, INC. PAID \$193.04 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 4,826 SHARES AT \$57.1713 TOTAL FOR ASSET	4,826.00 37,500.00	-183,020.03 -1,422,140.76	275,710.98 1,940,096.38	92,690.95 517,955.62
	*PIMCO 15+ YR US TIPS INDX FN				
02/02/10	SOLD 1,500 UNITS TRADE DATE 1/28/10 SOLD THROUGH ISI GROUP, INC. PAID \$120.00 BROKERAGE 1,500 UNITS AT \$51.8614 TOTAL FOR ASSET	1,500.00 1,500.00	-80,456.40 -80,456.40	77,671.11 77,671.11	-2,785.29 -2,785.29

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
*PNC FINANCIAL SERVICES GROUP					
09/24/10	SOLD 1,000 SHARES TRADE DATE 9/21/10 SOLD THROUGH ISI GROUP, INC. PAID \$80.00 BROKERAGE 1,000 SHARES AT \$54.3018 TOTAL FOR ASSET	1,000.00	-53,030.00	54,220.88	1,190.88
		1,000.00	-53,030.00	54,220.88	1,190.88
*PRAXAIR INC					
10/22/10	SOLD 2,000 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$80.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 2,000 SHARES AT \$89.0505 TOTAL FOR ASSET	2,000.00	-107,900.00	178,017.99	70,117.99
		2,000.00	-107,900.00	178,017.99	70,117.99
*PROCTER & GAMBLE CO					
07/29/10	SOLD 9,434 SHARES TRADE DATE 7/26/10 SOLD THROUGH UNX, INC. PAID \$377.36 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 9,434 SHARES AT \$62.44	9,434.00	-574,011.73	588,671.64	14,659.91
08/25/10	SOLD 730 SHARES TRADE DATE 8/20/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$29.20 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 730 SHARES AT \$59.8529	730.00	-34,761.91	43,662.68	8,900.77
10/22/10	SOLD 3,680 SHARES TRADE DATE 10/19/10 SOLD THROUGH UNX, INC. PAID \$147.20 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 3,680 SHARES AT \$63.08	3,680.00	-223,909.60	231,983.27	8,073.67
10/27/10	SOLD 380 SHARES TRADE DATE 10/22/10 SOLD THROUGH DAIN RAUSCHER INCORPORATED PAID \$15.20 BROKERAGE 380 SHARES AT \$63.3514 TOTAL FOR ASSET	380.00	-18,095.24	24,057.92	5,962.68
		14,224.00	-850,778.48	888,375.51	37,597.03

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*QUESTAR CORP				
09/09/10	SOLD 1,000 SHARES TRADE DATE 9/ 2/10 SOLD THROUGH BURNS, FRY INC. PAID \$60.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,000 SHARES AT \$16.9083 TOTAL FOR ASSET	1,000.00	-13,568.98	16,848.01	3,279.03
	*RAYTHEON COMPANY				
11/24/09	SOLD 478 SHARES TRADE DATE 11/19/09 SOLD THROUGH UNX, INC. PAID \$19.12 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 478 SHARES AT \$49.7664 TOTAL FOR ASSET	478.00	-29,927.48	23,768.61	-6,158.87
	*RIO TINTO PLC SPNSD ADR				
05/12/10	SOLD 1,200 SHARES TRADE DATE 5/ 7/10 SOLD THROUGH JEFFRIES & COMPANY, INC PAID \$48.00 BROKERAGE 1,200 SHARES AT \$46.2127 TOTAL FOR ASSET	1,200.00	-44,531.37	55,406.30	10,874.93
	*RWE AKTIENGESELLSCHAFT-SP ADR				
10/19/10	SOLD 1,200 SHARES TRADE DATE 10/14/10 SOLD THROUGH ESI SECURITIES COMPANY PAID \$36.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,200 SHARES AT \$68.8917 TOTAL FOR ASSET	1,200.00	-80,272.01	82,632.64	2,360.63
	*SANDRIDGE ENERGY INC				
05/12/10	SOLD 8,250 SHARES TRADE DATE 5/ 7/10 SOLD THROUGH FIRST UNION CAPITAL MARKETS PAID \$330.00 BROKERAGE 8,250 SHARES AT \$6.3121 TOTAL FOR ASSET	8,250.00	-95,540.51	51,743.94	-43,796.57

ETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*SANOFI-AVENTIS ADR				
12/04/09	SOLD 1,000 SHARES TRADE DATE 12/ 1/09	1,000.00	-48,723.00	39,267.19	-9,455.81
	SOLD THROUGH CITATION GROUP/BCC CLEARING PAID \$80.00 BROKERAGE 1,000 SHARES AT \$39.3482 TOTAL FOR ASSET	1,000.00	-48,723.00	39,267.19	-9,455.81
	*SCHERING PLOUGH CORP				
11/05/09	SOLD 2,000 SHARES TRADE DATE 11/ 4/09 PER EACH SHARE HELD OF SCHERING PLOUGH CORP (806605101) HOLDERS ARE ENTITLED TO \$10.50 USD, FULLY TAXABLE. NOTE: 0.5767 SHARES OF MERCK (58933Y105) REC'D IN RELATED SPIN OFF TOTAL FOR ASSET	2,000.00	-33,637.85	21,000.00	-12,637.85
		2,000.00	-33,637.85	21,000.00	-12,637.85
	*SEAGATE TECHNOLOGY HOLDINGS				
06/28/10	SOLD 4,600 SHARES TRADE DATE 6/23/10 SOLD THROUGH BT ALEX. BROWN INCORPORATED PAID \$184.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 4,600 SHARES AT \$14.3418 TOTAL FOR ASSET	4,600.00	-84,750.59	65,787.17	-18,963.42
		4,600.00	-84,750.59	65,787.17	-18,963.42
	*SEI DAILY INCOME GOV'T II FUND #33				
	SOLD 7,860,647.88 UNITS VARIOUS TRADE DATES	7,860,647.88	-7,860,647.88	7,860,647.88	0.00
	*SEI INST TAX-FREE FUND #21				
	SOLD 580,213.22 UNITS VARIOUS TRADE DATES	580,213.22	-580,213.22	580,213.22	0.00
	*SPDR S&P 500 ETF TRUST				
02/01/10	SOLD 150 UNITS TRADE DATE 1/27/10 SOLD THROUGH ISI GROUP, INC. PAID \$12.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 150 UNITS AT \$109.1135	150.00	-12,912.00	16,354.82	3,442.82
03/10/10	SOLD -REV -150 UNITS TRADE DATE 1/27/10 SOLD -REV THROUGH ISI GROUP, INC. PAID \$12.00- BROKERAGE SOLD -REV ON THE OTC BULLETIN BOARD	-150.00	12,912.00	-16,354.82	-3,442.82

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
CL05	TOTAL FOR ASSET	0.00	0.00	0.00	0.00
*SPECTRA ENERGY CORP WT					
06/15/10	SOLD 800 SHARES TRADE DATE 6/10/10 SOLD THROUGH WARBURG DILLON READ L.L.C. PAID \$48.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 800 SHARES AT \$20.4988	800.00	-11,620.16	16,350.76	4,730.60
06/23/10	SOLD 1,300 SHARES TRADE DATE 6/18/10 SOLD THROUGH HIBERNIA SOUTHCOAST CAPITAL IN PAID \$78.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,300 SHARES AT \$21.6861 TOTAL FOR ASSET	1,300.00 2,100.00	-18,882.76 -30,502.92	28,113.45 44,464.21	9,230.69 13,961.29
*ST JUDE MEDICAL INC					
06/04/10	SOLD 549 SHARES TRADE DATE 6/ 1/10 SOLD THROUGH UNX, INC. PAID \$21.96 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 549 SHARES AT \$36.4989. TOTAL FOR ASSET	549.00 549.00	-20,189.09 -20,189.09	20,015.60 20,015.60	-173.49 -173.49
*STERLITE INDS INDIA SPONS ADR					
12/16/09	SOLD 1,325 SHARES TRADE DATE 12/11/09 SOLD THROUGH MORGAN STANLEY & CO., INC. PAID \$53.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,325 SHARES AT \$17.7692	1,325.00	-18,445.19	23,490.58	5,045.39
12/17/09	SOLD 1,250 SHARES TRADE DATE 12/14/09 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$50.00 BROKERAGE 1,250 SHARES AT \$17.8497	1,250.00	-12,730.62	22,261.55	9,530.93
06/14/10	SOLD 450 SHARES TRADE DATE 6/ 9/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$18.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 450 SHARES AT \$13.3919	450.00	-4,475.70	6,008.25	1,532.55

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SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
06/15/10	SOLD 1,275 SHARES TRADE DATE 6/10/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$51.00 BROKERAGE 1,275 SHARES AT \$13.622	1,275.00	-12,681.15	17,316.75	4,635.60
06/16/10	SOLD 950 SHARES TRADE DATE 6/11/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$38.00 BROKERAGE 950 SHARES AT \$13.5604 TOTAL FOR ASSET	950.00 5,250.00	-9,448.70 -57,781.36	12,844.16 81,921.29	3,395.46 24,139.93
	*SUNTRUST BANKS INC				
02/23/10	SOLD 1,700 SHARES TRADE DATE 2/18/10 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$68.00 BROKERAGE 1,700 SHARES AT \$22.6173 TOTAL FOR ASSET	1,700.00 1,700.00	-25,737.83 -25,737.83	38,380.92 38,380.92	12,643.09 12,643.09
	*TARGET CORP				
07/02/10	SOLD 3,000 SHARES TRADE DATE 6/29/10 SOLD THROUGH UNX, INC. PAID \$180.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 3,000 SHARES AT \$48.704999 TOTAL FOR ASSET	3,000.00 3,000.00	-162,180.00 -162,180.00	145,932.53 145,932.53	-16,247.47 -16,247.47
	*TD AMERITRADE HOLDING CORP				
08/10/10	SOLD 1,967 SHARES TRADE DATE 8/ 5/10 SOLD THROUGH UNX, INC. PAID \$78.68 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 1,967 SHARES AT \$16.0236 TOTAL FOR ASSET	1,967.00 1,967.00	-30,768.53 -30,768.53	31,439.21 31,439.21	670.68 670.68
	*TELEFONICA SA SPONS ADR				
09/09/10	SOLD 505 SHARES TRADE DATE 9/ 3/10 SOLD THROUGH UNX, INC. PAID \$20.20 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 505 SHARES AT \$69.4677 TOTAL FOR ASSET	505.00 505.00	-39,493.53 -39,493.53	35,060.40 35,060.40	-4,433.13 -4,433.13

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ETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*TEMPLE INLAND INC				
08/25/10	SOLD 1,700 SHARES TRADE DATE 8/20/10 SOLD THROUGH MORGAN STANLEY & CO., INC. PAID \$68.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,700 SHARES AT \$16.9485 TOTAL FOR ASSET	1,700.00	-33,878.28	28,743.96	-5,134.32
	*TENARIS SA SPONS ADR				
06/11/10	SOLD 1,150 SHARES TRADE DATE 6/ 8/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$46.00 BROKERAGE 1,150 SHARES AT \$34.8086	1,150.00	-50,953.74	39,983.21	-10,970.53
06/14/10	SOLD 1,280 SHARES TRADE DATE 6/ 9/10 SOLD THROUGH MERRILL LYNCH PIERCE FENNER PAID \$51.20 BROKERAGE 1,280 SHARES AT \$35.322 TOTAL FOR ASSET	1,280.00	-45,105.92	45,160.20	54.28
	*TOTAL S.A. SPON ADR				
03/15/10	SOLD 340 SHARES TRADE DATE 3/10/10 SOLD THROUGH BEAR STEARNS & CO INC. PAID \$13.60 BROKERAGE 340 SHARES AT \$58.2506 TOTAL FOR ASSET	340.00	-23,957.90	19,791.34	-4,166.56
	*TOYOTA MTR CORP SPONSD ADR				
02/12/10	SOLD 900 SHARES TRADE DATE 2/ 9/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$36.00 BROKERAGE 900 SHARES AT \$75.0267 TOTAL FOR ASSET	900.00	-96,820.92	67,487.17	-29,333.75
	*TRANSCANADA CORP				
04/26/10	SOLD 200 SHARES TRADE DATE 4/21/10 SOLD THROUGH MACQUARIE SECURITIES (USA) INC PAID \$12.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 200 SHARES AT \$37.2002	200.00	-6,360.14	7,427.91	1,067.77

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
04/27/10	SOLD 200 SHARES TRADE DATE 4/22/10 SOLD THROUGH MACQUARIE SECURITIES (USA) INC PAID \$12.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 200 SHARES AT \$37.089 TOTAL FOR ASSET	200.00	-6,360.14	7,405.67	1,045.53
	*TRANSOCEAN INC CONV 1.625% 12/15/37	400.00	-12,720.28	14,833.58	2,113.30
11/16/09	SOLD 47,000 PV TRADE DATE 11/11/09 SOLD THROUGH MERRILL LYNCH PIERCE FENNER 47,000 PV AT 98.75 % TOTAL FOR ASSET	47,000.00	-43,438.85	46,412.50	2,973.65
	*TRANSOCEAN LTD	47,000.00	-43,438.85	46,412.50	2,973.65
02/23/10	SOLD 319 SHARES TRADE DATE 2/18/10 SOLD THROUGH CITIGROUP GLOBAL MARKETS INC PAID \$12.76 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 319 SHARES AT \$82.8615	319.00	-35,726.79	26,419.72	-9,307.07
03/16/10	SOLD 717 SHARES TRADE DATE 3/11/10 SOLD THROUGH BARCLAYS CAPITAL INC. PAID \$28.68 BROKERAGE 717 SHARES AT \$85.1749	717.00	-63,325.44	61,040.94	-2,284.50
04/06/10	SOLD 200 SHARES TRADE DATE 3/31/10 SOLD THROUGH CREDIT SUISSE FIRST BOSTON PAID \$12.00 BROKERAGE 200 SHARES AT \$86.1783	200.00	-16,869.26	17,223.36	354.10
04/26/10	SOLD 200 SHARES TRADE DATE 4/21/10 SOLD THROUGH SIMMONS & COMPANY INTERNATIONAL PAID \$12.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 200 SHARES AT \$90.9121	200.00	-11,527.34	18,170.11	6,642.77
04/28/10	SOLD 300 SHARES TRADE DATE 4/23/10 SOLD THROUGH SIMMONS & COMPANY INTERNATIONAL PAID \$18.00 BROKERAGE SOLD ON THE OTC BULLETIN BOARD 300 SHARES AT \$89.0752 TOTAL FOR ASSET	300.00	-16,769.67	26,704.10	9,934.43
		1,736.00	-144,218.50	149,558.23	5,339.73

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
*TRAVELERS COMPANIES INC					
05/18/10	SOLD 494 SHARES TRADE DATE 5/13/10 SOLD THROUGH UNX, INC. PAID \$19.76 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 494 SHARES AT \$50.4604	494.00	-23,402.71	24,907.26	1,504.55
	TOTAL FOR ASSET	494.00	-23,402.71	24,907.26	1,504.55
*UNITED CONTINENTAL HOLDINGS INC COM					
10/14/10	SOLD 0.95 SHARES TRADE DATE 10/14/10 SOLD THROUGH ESI SECURITIES COMPANY 0.95 SHARES AT \$25.8878 FRACTIONAL SHARES SOLD @ \$25.8878	0.95	-14.28	24.59	10.31
	TOTAL FOR ASSET	0.95	-14.28	24.59	10.31
*UNITED PARCEL SERV INC					
07/02/10	SOLD 2,000 SHARES TRADE DATE 6/29/10 SOLD THROUGH UNX, INC. PAID \$120.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 2,000 SHARES AT \$58.009965	2,000.00	-151,040.00	115,897.96	-35,142.04
	TOTAL FOR ASSET	2,000.00	-151,040.00	115,897.96	-35,142.04
*VALERO ENERGY CORP					
04/26/10	SOLD 2,148 SHARES TRADE DATE 4/21/10 SOLD THROUGH UNX, INC. PAID \$85.92 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 2,148 SHARES AT \$19.2316	2,148.00	-40,696.01	41,222.86	526.85
	TOTAL FOR ASSET	2,148.00	-40,696.01	41,222.86	526.85
*VANGUARD EUROPEAN ETF					
12/04/09	SOLD 1,600 SHARES TRADE DATE 12/ 1/09 SOLD THROUGH CITATION GROUP/BCC CLEARING PAID \$128.00 BROKERAGE 1,600 SHARES AT \$51.88	1,600.00	-107,152.00	82,877.87	-24,274.13
	TOTAL FOR ASSET	1,600.00	-107,152.00	82,877.87	-24,274.13

SETTLEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*WALGREEN CO				
06/21/10	SOLD 330 SHARES TRADE DATE 6/16/10 SOLD THROUGH UNX, INC. PAID \$13.20 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 330 SHARES AT \$29.60	330.00	-10,434.57	9,754.63	-679.94
07/29/10	SOLD 1,000 SHARES TRADE DATE 7/26/10 SOLD THROUGH UNX, INC. PAID \$40.00 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,000 SHARES AT \$29.79 TOTAL FOR ASSET	1,000.00 1,330.00	-45,835.00 -56,269.57	29,749.49 39,504.12	-16,085.51 -16,765.45
	*WILLIAMS-SONOMA INC				
02/12/10	SOLD 1,158 SHARES TRADE DATE 2/ 9/10 SOLD THROUGH UNX, INC. PAID \$46.32 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,158 SHARES AT \$19.6289	1,158.00	-9,787.19	22,683.66	12,896.47
03/29/10	SOLD 1,319 SHARES TRADE DATE 3/24/10 SOLD THROUGH UNX, INC. PAID \$52.76 BROKERAGE SOLD ON THE NEW YORK STOCK EXCHANGE, INC. 1,319 SHARES AT \$26.8994 TOTAL FOR ASSET	1,319.00 2,477.00	-11,147.92 -20,935.11	35,427.10 58,110.76	24,279.18 37,175.65
	*XTO ENERGY INC				
01/20/10	SOLD 880 SHARES TRADE DATE 1/14/10 SOLD THROUGH DAIN RAUSCHER INCORPORATED PAID \$35.20 BROKERAGE 880 SHARES AT \$47.727	880.00	-35,845.13	41,964.02	6,118.89
02/25/10	SOLD 1,000 SHARES TRADE DATE 2/22/10 SOLD THROUGH CREDIT SUISSE FIRST BOSTON PAID \$60.00 BROKERAGE 1,000 SHARES AT \$45.82 TOTAL FOR ASSET	1,000.00 1,880.00	-31,847.70 -67,692.83	45,759.41 87,723.43	13,911.71 20,030.60

ENTILEMENT DATE	DESCRIPTION	PAR VALUE/ SHARES	COST BASIS	PROCEEDS	REALIZED GAIN/LOSS
	*ZIMMER HLDGS INC				
04/27/10	SOLD 940 SHARES	940.00	-62,196.80	56,705.20	-5,491.60
	TRADE DATE 4/22/10				
	SOLD THROUGH FIRST UNION CAPITAL MARKETS				
	PAID \$37.60 BROKERAGE				
	SOLD ON THE OTC BULLETIN BOARD				
	940 SHARES AT \$60.3657				
	TOTAL FOR ASSET	940.00	-62,196.80	56,705.20	-5,491.60
	TOTAL ASSETS SOLD OR REDEEMED	8,911,740.32	-20,969,122.51	20,239,662.54	-729,459.97