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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

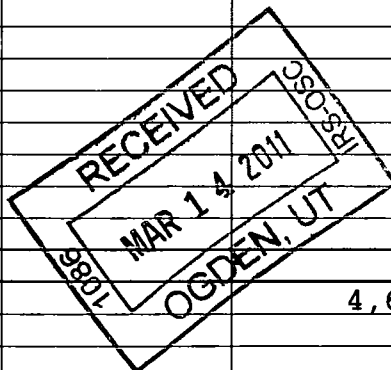
Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning NOV 1, 2009, and ending OCT 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation CORDELIA LEE BEATTIE FOUNDATION		A Employer identification number 59-6540711
	Number and street (or P O box number if mail is not delivered to street address) P O BOX 267	Room/suite	B Telephone number 941-361-5803
	City or town, state, and ZIP code SARASOTA, FL 34230		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,134,304. (Part I, column (d) must be on cash basis)		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1 Contributions, gifts, grants, etc., received			N/A		
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
	3 Interest on savings and temporary cash investments					
	4 Dividends and interest from securities	46,108.	46,108.		STATEMENT 1	
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	<172,492.>				
	b Gross sales price for all assets on line 6a	464,894.				
	7 Capital gain net income (from Part IV, line 2)		0.			
	8 Net short-term capital gain					
	9 Income modifications					
	10a Gross sales less returns and allowances					
b Less Cost of goods sold						
c Gross profit or (loss)						
11 Other income						
12 Total. Add lines 1 through 11	<126,384.>	46,108.				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	23,039.	18,431.		4,608.	
	14 Other employee salaries and wages					
	15 Pension plans, employee benefits					
	16a Legal fees					
	b Accounting fees	STMT 2	3,700.	0.		3,700.
	c Other professional fees					
	17 Interest					
	18 Taxes	STMT 3	554.	0.		0.
	19 Depreciation and depletion					
	20 Occupancy					
	21 Travel, conferences, and meetings					
	22 Printing and publications					
	23 Other expenses					
	24 Total operating and administrative expenses. Add lines 13 through 23		27,293.	18,431.		8,308.
	25 Contributions, gifts, grants paid		79,000.			79,000.
26 Total expenses and disbursements. Add lines 24 and 25		106,293.	18,431.		87,308.	
27 Subtract line 26 from line 12:						
a Excess of revenue over expenses and disbursements		<232,677.>				
b Net investment income (if negative, enter -0-)			27,677.			
c Adjusted net income (if negative, enter -0-)				N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			116,238.	39,044.	39,044.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			8,060.	7,506.	7,506.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 4			1,294,697.	1,438,378.	1,438,378.
	c Investments - corporate bonds STMT 5			520,655.	603,434.	603,434.
11 Investments - land, buildings, and equipment basis ▶						
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 6			0.	45,942.	45,942.	
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers)			1,939,650.	2,134,304.	2,134,304.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24 Unrestricted			1,939,650.	2,134,304.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31					
	27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances			1,939,650.	2,134,304.		
31 Total liabilities and net assets/fund balances			1,939,650.	2,134,304.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,939,650.
2 Enter amount from Part I, line 27a	2	<232,677.>
3 Other increases not included in line 2 (itemize) ▶ ADJUST UNREALIZED GAIN	3	427,331.
4 Add lines 1, 2, and 3	4	2,134,304.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,134,304.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 464,894.		637,386.	<172,492.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<172,492.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<172,492.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	81,744.	1,718,991.	.047553
2007	139,688.	2,307,694.	.060531
2006	112,929.	2,502,592.	.045125
2005	114,800.	2,338,432.	.049093
2004	103,800.	2,300,769.	.045115

2 Total of line 1, column (d)	2	.247417
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049483
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,992,572.
5 Multiply line 4 by line 3	5	98,598.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	277.
7 Add lines 5 and 6	7	98,875.
8 Enter qualifying distributions from Part XII, line 4	8	87,308.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	554.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	554.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	554.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	8,060.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,060.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,506.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.WACHOVIA.COM/CORP INST/CHARITABLE SERVICES</u>	13	X	
14	The books are in care of ► <u>WACHOVIA BANK</u> Telephone no. ► <u>941-361-5803</u> Located at ► <u>P O BOX 267, SARASOTA, FL</u> ZIP+4 ► <u>34230</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WACHOVIA BANK	TRUSTEE			
P O BOX 267				
SARASOTA, FL 34230	10.00	23,039.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,923,081.
b	Average of monthly cash balances	1b	91,775.
c	Fair market value of all other assets	1c	8,060.
d	Total (add lines 1a, b, and c)	1d	2,022,916.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,022,916.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,344.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,992,572.
6	Minimum investment return. Enter 5% of line 5	6	99,629.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	99,629.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	554.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	554.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	99,075.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	99,075.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	99,075.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	87,308.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	87,308.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	87,308.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				99,075.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	23,169.			
e From 2008				
f Total of lines 3a through e	23,169.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	87,308.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				87,308.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	11,767.			11,767.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	11,402.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	11,402.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	11,402.			
d Excess from 2008				
e Excess from 2009				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
VAN WEZEL SARASOTA, FL	NONE	N/A	ACCESS TO PROFESSIONAL ARTS PERFORM FOR WORKING LOW INCOME FAMILIES	15,000.
SARASOTA ORCHESTRA SARASOTA, FL	NONE	N/A	YOUTH ORCHESTRA PROGRAM	20,000.
ASOLO THEATRE SARASOTA, FL	NONE	N/A	KALEIDOSCOPE SUMMER MUSICAL THEATRE WORKSHOP	10,000.
PLAYERS INC SARASOTA, FL	NONE	N/A	REPLACE COMMUNICATION SYSTEM	13,200.
SARASOTA OPERA SARASOTA, FL	NONE	N/A	SARASOTA YOUTH OPERA PROGRAMS	15,000.
SARASOTA SCHOOL OF THE ARTS SARASOTA, FL	NONE	N/A	GROWTH OF PERFORMANCE ARTS DEPT	5,800.
Total			3a	79,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO 11/1 TO 5/31/10	P	VARIOUS	VARIOUS
b	WELLS FARGO 11/1 TO 5/31/10	P	VARIOUS	VARIOUS
c	WELLS FARGO 6/1 -10/31/10	P	VARIOUS	VARIOUS
d	WELLS FARGO 6/1 -10/31/10	P	VARIOUS	VARIOUS
e	LT CAP GAIN WORLD COM	P	VARIOUS	VARIOUS
f	HESS CORP	P	VARIOUS	VARIOUS
g	KOHL'S	P	VARIOUS	VARIOUS
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 83,227.		78,839.	4,388.
b 303,207.		478,765.	<175,558.>
c 49,386.		48,124.	1,262.
d 22,746.		26,015.	<3,269.>
e 30.			30.
f 3,430.		3,006.	424.
g 2,868.		2,637.	231.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,388.
b			<175,558.>
c			1,262.
d			<3,269.>
e			30.
f			424.
g			231.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

<172,492.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
INTEREST & DIVIDENDS	46,108.	0.	46,108.
TOTAL TO FM 990-PF, PART I, LN 4	46,108.	0.	46,108.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEE	3,700.	0.		3,700.
TO FORM 990-PF, PG 1, LN 16B	3,700.	0.		3,700.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	554.	0.		0.
TO FORM 990-PF, PG 1, LN 18	554.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	1,438,378.	1,438,378.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,438,378.	1,438,378.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	603,434.	603,434.
TOTAL TO FORM 990-PF, PART II, LINE 10C	603,434.	603,434.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
REAL ASSETS	COST	45,942.	45,942.
TOTAL TO FORM 990-PF, PART II, LINE 13		45,942.	45,942.

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TRUST YEAR ENDING 10/31/2010

TAX PREPARER 6534
TRUST ADMINISTRATOR 220
INVESTMENT OFFICER 596

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
35000 0000 PACIFIC BELL NT DTD 11/7/97 6 6254 11/01/2009 - 694032BC6 - MINOR = 40						
SOLD		11/01/2009	35000 00			
ACQ	35000 0000	08/29/2000	35000 00	35000 00	0 00	LT15
24 0000 DEVON ENERGY CORP NEW COM - 25179M103 - MINOR = 3101						
SOLD		11/03/2009	1578 31			
ACQ	11 0000	10/26/2007	723 39	1023 77	-300 38	LT15
	10 0000	01/29/2008	657 63	836 25	-178 62	LT15
	3 0000	06/11/2009	197 29	201 23	-3 94	ST
58 0000 PG&E CORP COM W/RIGHTS ATTACHED EXP 12/22/2010 - 69331C108 - MINOR = 3101						
SOLD		11/04/2009	2378 61			
ACQ	58 0000	10/26/2007	2378 61	2746 88	-368 27	LT15
30 0000 MONSANTO CO NEW COM - 61166W101 - MINOR = 3101						
SOLD		11/12/2009	2205 58			
ACQ	30 0000	10/28/2009	2205 58	2137 44	68 14	ST
21 0000 DEVON ENERGY CORP NEW COM - 25179M103 - MINOR = 3101						
SOLD		11/13/2009	1409 92			
ACQ	9 0000	06/04/2009	604 25	585 47	18 78	ST
	12 0000	06/11/2009	805 67	804 90	0 77	ST
41 0000 DEVON ENERGY CORP NEW COM - 25179M103 - MINOR = 3101						
SOLD		11/19/2009	2844 17			
ACQ	16 0000	01/23/2009	1109 92	977 31	132 61	ST
	17 0000	01/30/2009	1179 29	1056 51	122 78	ST
	8 0000	06/04/2009	554 96	520 41	34 55	ST
60 0000 VIACOM INC NEW - 92553P201 - MINOR = 3101						
SOLD		12/02/2009	1794 67			
ACQ	25 0000	07/18/2008	747 78	735 94	11 84	LT15
	35 0000	10/28/2009	1046 89	968 35	78 54	ST
44 0000 HALLIBURTON CO COM W/RIGHTS EXP 12/15/2005 - 406216101 - MINOR = 3101						
SOLD		12/03/2009	1247 15			
ACQ	44 0000	10/16/2009	1247 15	1345 95	-98 80	ST
85 0000 HOME DEPOT INC COM - 437076102						
SOLD		12/07/2009	2395 06			
ACQ	85 0000	12/24/2008	2395 06	2025 56	369 50	ST
9 0000 FRANKLIN RES INC COM - 354613101 - MINOR = 31001						
SOLD		12/15/2009	944 93			
ACQ	9 0000	10/22/2008	944 93	570 05	374 88	LT15
68 0000 UNILEVER N V NEW YORK SHS NEW - 904784709 - MINOR = 66						
SOLD		12/16/2009	2204 55			
ACQ	46 0000	10/26/2007	1491 31	1500 06	-8 75	LT15
	22 0000	01/22/2008	713 24	698 92	14 32	LT15
27 0000 TRAVELERS COMPANIES, INC - 89417E109 - MINOR = 61						
SOLD		12/17/2009	1324 95			
ACQ	12 0000	10/26/2007	588 87	636 96	-48 09	LT15
	15 0000	10/29/2007	736 08	795 71	-59 63	LT15
32 0000 CHEVRONTXACO CORP COM - 166764100						
SOLD		12/23/2009	2472 81			
ACQ	32 0000	10/29/2009	2472 81	2465 39	7 42	ST
42 0000 PHILIP MORRIS INTERNATIONAL IN - 718172109 - MINOR = 3101						
SOLD		12/23/2009	2054 25			
ACQ	42 0000	10/26/2007	2054 25	2134 96	-80 71	LT15
70 0000 VIACOM INC NEW - 92553P201 - MINOR = 3101						
SOLD		12/23/2009	2066 06			
ACQ	56 0000	09/11/2009	1652 85	1468 80	184 05	ST
	14 0000	10/28/2009	413 21	387 34	25 87	ST
17 0000 EOG RES INC COM - 26875P101 - MINOR = 3101						
SOLD		12/29/2009	1705 61			
ACQ	11 0000	03/12/2008	1103 63	1304 88	-201 25	LT15
	6 0000	06/26/2008	601 98	762 15	-160 17	LT15
55 0000 PENNEY J C INC COM - 708160106 - MINOR = 3101						
SOLD		12/29/2009	1484 45			
ACQ	55 0000	05/07/2009	1484 45	1694 03	-209 58	ST
6 0000 JP MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001						
SOLD		12/31/2009	249 06			
ACQ	6 0000	10/31/2007	249 06	284 26	-35 20	LT15
6 0000 WELLPOINT INC - 94973V107 - MINOR = 3101						
SOLD		12/31/2009	352 07			
ACQ	6 0000	10/26/2007	352 07	471 84	-119 77	LT15
12 0000 INVESCO LIMITED - G491BT108 - MINOR = 3162						
SOLD		12/31/2009	280 79			
ACQ	12 0000	12/14/2007	280 79	336 25	-55 46	LT15
49 0000 BROADCOM CORP COM - 111320107 - MINOR = 3101						
SOLD		01/05/2010	1549 48			
ACQ	49 0000	10/28/2009	1549 48	1342 13	207 35	ST
17 0000 FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED EXP 5/3/2010 - 35671D857 - MINOR = 3101						
SOLD		01/05/2010	1430 45			
ACQ	17 0000	05/07/2009	1430 45	842 20	588 25	ST
63 0000 DOW CHEM CO COM - 260543103 - MINOR = 3101						
SOLD		01/07/2010	1953 11			
ACQ	63 0000	12/11/2009	1953 11	1668 85	284 26	ST
34 0000 UNITED STATES STEEL CORP COM - 912909108 - MINOR = 61						
SOLD		01/14/2010	2144 61			
ACQ	34 0000	08/07/2009	2144 61	1527 35	617 26	ST
36 0000 CHEVRONTXACO CORP COM - 166764100						
SOLD		01/15/2010	2825 36			
ACQ	36 0000	10/29/2009	2825 36	2773 57	51 79	ST

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TAX PREPARER 6534
TRUST ADMINISTRATOR 220
INVESTMENT OFFICER 596

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
17 0000 FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED EXP 5/3/2010 - 35671D857 - MINOR = 3101						
SOLD		01/21/2010	1322 52			
ACQ	17 0000	05/07/2009	1322 52	842 19	480 33	ST
40 0000 HALLIBURTON CO COM W/RIGHTS EXP 12/15/2005 - 406216101 - MINOR = 3101						
SOLD		01/21/2010	1336 46			
ACQ	29 0000	10/12/2009	968 93	831 13	137 80	ST
	11 0000	10/16/2009	367 53	336 49	31 04	ST
23 0000 UNITED STATES STEEL CORP COM - 912909108 - MINOR = 61						
SOLD		01/22/2010	1260 47			
ACQ	23 0000	08/07/2009	1260 47	1033 21	227 26	ST
15 0000 EOG RES INC COM - 26875P101 - MINOR = 3101						
SOLD		01/27/2010	1372 62			
ACQ	1 0000	03/12/2008	91 51	118 62	-27 11	LT-W
	10 0000	03/19/2008	915 08	1145 66	-230 58	LT-W
	1 0000	03/20/2008	91 51	110 36	-18 85	LT15
	3 0000	03/20/2008	274 52	331 09	-56 56	LT-W
9208 1030 BRIDGEWAY AGGRESSIVE INVESTORS 2 FD - 108747809 - MINOR = 78						
SOLD		01/28/2010	111049 72			
ACQ	9208 1030	10/19/2007	111049 72	200000 00	-88950 28	LT15
16895 5925 DELAWARE POOLED TR S/C GR EQTY - 246248728 - MINOR = 78						
SOLD		01/28/2010	62344 73			
ACQ	4152 4995	09/30/2003	15322 72	51740 14	-36417 42	LT15
	870 0700	10/24/2006	3210 56	15000 00	-11789 44	LT15
	834 4890	12/15/2006	3079 26	12867 82	-9788 56	LT15
	1488 5000	12/14/2007	5492 56	8633 30	-3140 74	LT15
	9550 0340	12/14/2007	35239 62	55390 20	-20150 58	LT15
2666 6670 EVERGREEN EMERGING MARKETS GROWTH FUND CL I (FD #763) - 300237401 - MINOR = 47						
SOLD		01/28/2010	50000 00			
ACQ	329 7510	01/25/2005	6182 83	4672 57	1510 26	LT15
	471 6560	11/22/2005	8843 55	7697 42	1146 13	LT15
	25 5890	11/23/2005	479 79	417 61	62 18	LT15
	232 6650	12/06/2006	4362 47	4955 76	-593 29	LT15
	719 0080	12/06/2006	13481 40	15314 86	-1833 46	LT15
	181 1760	12/05/2007	3397 05	4699 70	-1302 65	LT15
	706 8220	12/05/2007	13252 91	18334 97	-5082 06	LT15
22 0000 BP PLC SPONSORED ADR - 055622104 - MINOR = 463						
SOLD		02/03/2010	1215 26			
ACQ	22 0000	11/10/2009	1215 26	1298 55	-83 29	ST
58 0000 LAM RESH CORP COM - 512807108 - MINOR = 3101						
SOLD		02/04/2010	1873 69			
ACQ	58 0000	09/11/2009	1873 69	2005 93	-132 24	ST
22 0000 BP PLC SPONSORED ADR - 055622104 - MINOR = 463						
SOLD		02/08/2010	1160 87			
ACQ	3 0000	11/10/2009	158 30	177 08	-18 78	ST
	19 0000	12/03/2009	1002 57	1107 08	-104 51	ST
46 0000 UNILEVER N V NEW YORK SHS NEW - 904784709 - MINOR = 66						
SOLD		02/16/2010	1379 13			
ACQ	46 0000	01/22/2008	1379 13	1461 38	-82 25	LT15
33 0000 MOSAIC CO - 61945A107 - MINOR = 61						
SOLD		02/19/2010	1994 16			
ACQ	33 0000	01/08/2010	1994 16	2184 55	-190 39	ST
36 0000 BOEING CO COM - 097023105 - MINOR = 31001						
SOLD		02/22/2010	2303 76			
ACQ	32 0000	07/25/2008	2047 79	2026 25	21 54	LT15
	4 0000	09/04/2008	255 97	258 21	-2 24	LT15
45 0000 JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001						
SOLD		02/23/2010	2859 19			
ACQ	38 0000	10/26/2007	2414 43	2440 74	-26 31	LT15
	7 0000	01/22/2008	444 76	458 68	-13 92	LT15
51 0000 BP PLC SPONSORED ADR - 055622104 - MINOR = 463						
SOLD		02/24/2010	2730 72			
ACQ	34 0000	11/03/2009	1820 48	1958 86	-138 38	ST
	17 0000	12/03/2009	910 24	990 55	-80 31	ST
57 0000 STAPLES INC COM W/INVISIBLE RTS TO PUR SHS UNDER CERTAIN - 855030102 - MINOR = 3101						
SOLD		03/02/2010	1325 81			
ACQ	57 0000	04/24/2009	1325 81	1247 32	78 49	ST
13 0000 APACHE CORP COM RTS EXP 01/31/2006 - 037411105 - MINOR = 31001						
SOLD		03/03/2010	1380 37			
ACQ	13 0000	10/21/2009	1380 37	1368 89	11 48	ST
13 0000 THE DIRECTV GROUP - 25490A101 - MINOR = 61						
SOLD		03/03/2010	444 63			
ACQ	13 0000	11/20/2009	444 63	409 68	34 95	ST
20 0000 CLIFFS NAT RES INC - 18683K101 - MINOR = 61						
SOLD		03/05/2010	1195 97			
ACQ	20 0000	02/23/2010	1195 97	1079 69	116 28	ST
36 0000 BECTON DICKINSON & CO COM - 075887109 - MINOR = 31001						
SOLD		03/08/2010	2807 77			
ACQ	36 0000	12/31/2009	2807 77	2858 91	-51 14	ST
5 0000 BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/2009 - 071813109 - MINOR = 31000						
SOLD		03/09/2010	295 34			
ACQ	5 0000	10/26/2007	295 34	299 55	-4 21	LT15
9 0000 FIRSTENERGY CORP COM 12/01/2004 - 337932107 - MINOR = 3101						
SOLD		03/09/2010	354 23			
ACQ	4 0000	04/04/2008	157 44	291 39	-133 95	LT15
	5 0000	06/03/2008	196 79	389 80	-193 01	LT15

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TRUST YEAR ENDING 10/31/2010

TAX PREPARER 6534
TRUST ADMINISTRATOR 220
INVESTMENT OFFICER 596

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
54 0000 HEWLETT-PACKARD CO COM - 428236103 - MINOR = 5010						
SOLD		03/22/2010	2846 56			
ACQ	38 0000	10/26/2007	2003 13	1990 69	12 44	LT15
	6 0000	01/09/2008	316 28	260 29	55 99	LT15
	10 0000	01/14/2008	527 14	466 10	61 04	LT15
32 0000 STATE STR CORP COM - 857477103 - MINOR = 5010						
SOLD		03/23/2010	1463 86			
ACQ	32 0000	12/15/2009	1463 86	1276 43	187 43	ST
45 0000 TARGET CORP COM - 87612E106 - MINOR = 31000						
SOLD		03/24/2010	2403 20			
ACQ	45 0000	09/04/2008	2403 20	2473 12	-69 92	LT15
27 0000 APACHE CORP COM RTS EXP 01/31/2006 - 037411105 - MINOR = 31001						
SOLD		03/26/2010	2651 88			
ACQ	25 0000	10/08/2009	2455 44	2494 94	-39 50	ST
	2 0000	10/21/2009	196 44	210 60	-14 16	ST
15 0000 FRANKLIN RES INC COM - 354613101 - MINOR = 31001						
SOLD		03/26/2010	1680 15			
ACQ	15 0000	10/22/2008	1680 15	950 09	730 06	LT15
34 0000 HEWLETT-PACKARD CO COM - 428236103 - MINOR = 5010						
SOLD		03/31/2010	1812 09			
ACQ	19 0000	01/09/2008	1012 64	824 27	188 37	LT15
	15 0000	02/11/2008	799 45	631 85	167 60	LT15
24 0000 BOEING CO COM - 097023105 - MINOR = 31001						
SOLD		04/06/2010	1741 61			
ACQ	23 0000	07/25/2008	1669 04	1456 36	212 68	LT15
	1 0000	11/17/2009	72 57	52 64	19 93	ST
12 0000 CLIFFS NAT RES INC - 18683K101 - MINOR = 61						
SOLD		04/07/2010	865 71			
ACQ	12 0000	02/23/2010	865 71	647 82	217 89	ST
32 0000 TRAVELERS COMPANIES, INC - 89417E109 - MINOR = 61						
SOLD		04/08/2010	1677 11			
ACQ	5 0000	10/29/2007	262 05	265 23	-3 18	LT15
	15 0000	11/19/2007	786 15	767 68	18 47	LT15
	12 0000	07/11/2008	628 92	524 18	104 74	LT15
23 0000 EXXON MOBIL CORP COM - 30231G102						
SOLD		04/13/2010	1571 55			
ACQ	11 0000	10/01/2008	751 61	848 23	-96 62	LT15
	12 0000	01/20/2009	819 94	931 02	-111 08	LT15
43 0000 EXXON MOBIL CORP COM - 30231G102						
SOLD		04/16/2010	2934 23			
ACQ	10 0000	10/01/2008	682 38	771 12	-88 74	LT15
	33 0000	10/06/2008	2251 85	2472 32	-220 47	LT15
12 0000 FRANKLIN RES INC COM - 354613101 - MINOR = 31001						
SOLD		04/20/2010	1429 98			
ACQ	5 0000	10/22/2008	595 83	316 69	279 14	LT15
	7 0000	11/19/2008	834 16	353 47	480 69	LT15
92 0000 CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING 6/10/2008 - 17275R102						
SOLD		04/29/2010	2498 76			
ACQ	92 0000	09/30/2009	2498 76	2183 97	314 79	ST
56 0000 HEWLETT-PACKARD CO COM - 428236103 - MINOR = 5010						
SOLD		04/29/2010	2959 16			
ACQ	5 0000	02/11/2008	264 21	210 62	53 59	LT15
	51 0000	04/07/2009	2694 95	1686 49	1008 46	LT15
94 0000 KRAFT FOODS INC-A COM - 50075N104 - MINOR = 3101						
SOLD		04/29/2010	2818 51			
ACQ	90 0000	02/09/2010	2698 57	2604 60	93 97	ST
	4 0000	02/16/2010	119 94	115 32	4 62	ST
83 0000 ORACLE CORP COM - 68389X105 - MINOR = 5010						
SOLD		04/29/2010	2144 61			
ACQ	70 0000	12/24/2009	1808 71	1744 39	64 32	ST
	13 0000	12/28/2009	335 90	323 83	12 07	ST
30 0000 CLIFFS NAT RES INC - 18683K101 - MINOR = 61						
SOLD		04/30/2010	1921 66			
ACQ	19 0000	01/29/2010	1217 05	769 99	447 06	ST
	11 0000	02/23/2010	704 61	593 83	110 78	ST
24 0000 ANADARKO PETE CORP COM W/RIGHTS ATTACHED EXPIRING 11/10/2008 - 032511107 - MINOR = 5010						
SOLD		05/03/2010	1475 62			
ACQ	24 0000	04/16/2010	1475 62	1763 02	-287 40	ST
43 0000 THE DIRECTV GROUP - 25490A101 - MINOR = 61						
SOLD		05/03/2010	1574 65			
ACQ	1 0000	10/09/2009	36 62	27 46	9 16	ST
	42 0000	11/20/2009	1538 03	1323 59	214 44	ST
32 0000 CLIFFS NAT RES INC - 18683K101 - MINOR = 61						
SOLD		05/04/2010	1785 17			
ACQ	32 0000	01/29/2010	1785 17	1296 82	488 35	ST
24 0000 ANADARKO PETE CORP COM W/RIGHTS ATTACHED EXPIRING 11/10/2008 - 032511107 - MINOR = 5010						
SOLD		05/05/2010	1515 93			
ACQ	8 0000	03/26/2010	505 31	549 86	-44 55	ST-W
	16 0000	04/16/2010	1010 62	1175 34	-164 72	ST
35 0000 ANADARKO PETE CORP COM W/RIGHTS ATTACHED EXPIRING 11/10/2008 - 032511107 - MINOR = 5010						
SOLD		05/06/2010	2176 67			
ACQ	3 0000	03/26/2010	186 57	206 20	-19 63	ST
	32 0000	03/26/2010	1990 10	2199 44	-209 34	ST-W

ACCT 543P 4019312410
FROM 11/01/2009
TO 05/31/2010

WELLS FARGO BANK, N A
STATEMENT OF CAPITAL GAINS AND LOSSES
CORDELIA LEE BEATTIE FOUNDATION

PAGE 53
RUN 11/17/2010
02 17 47 PM

TRUST YEAR ENDING 10/31/2010

TAX PREPARER 6534
TRUST ADMINISTRATOR 220
INVESTMENT OFFICER 596

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
22 0000 HESS CORP - 42809H107 - MINOR = 3101						
SOLD		05/07/2010	1252 04			
ACQ	2 0000	12/23/2009	113 82	118 43	SUB TRUST 4019874349	
	20 0000	01/05/2010	1138 22	1280 52	-4 61 ST	
					-142 30 ST	
71 0000 DOW CHEM CO COM - 260543103 - MINOR = 3101						
SOLD		05/10/2010	1954 74		SUB TRUST 4019874349	
ACQ	65 0000	09/10/2009	1789 55	1474 57	314 98 ST	
	6 0000	12/11/2009	165 19	158 94	6 25 ST	
26 0000 HESS CORP - 42809H107 - MINOR = 3101						
SOLD		05/10/2010	1522 21		SUB TRUST 4019874349	
ACQ	26 0000	12/23/2009	1522 21	1539 63	-17 42 ST	
50 0000 KRAFT FOODS INC-A						
SOLD		05/11/2010	1522 60		SUB TRUST 4019874349	
ACQ	18 0000	02/16/2010	548 14	518 95	29 19 ST	
	32 0000	02/17/2010	974 46	922 38	52 08 ST	
47 0000 HESS CORP - 42809H107 - MINOR = 3101						
SOLD		05/13/2010	2761 83		SUB TRUST 4019874349	
ACQ	20 0000	12/23/2009	1175 25	1184 33	-9 08 ST	
	27 0000	01/27/2010	1586 58	1568 50	18 08 ST	
26 0000 JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001						
SOLD		05/18/2010	1642 01		SUB TRUST 4019874349	
ACQ	26 0000	10/26/2007	1642 01	1669 98	-27 97 LT15	
93 0000 COVIDIEN PLC - G2554F105 - MINOR = 66						
SOLD		05/24/2010	3926 57		SUB TRUST 4019874349	
ACQ	48 0000	09/30/2009	2026 62	2079 43	-52 81 ST	
	45 0000	10/28/2009	1899 95	1910 75	-10 80 ST	
TOTALS			386434 10	557604 18		

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
SUBTOTAL FROM ABOVE	4641 15	0 00	-175243 08	-253 89	-314 26	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	29 86			0 00
	4641 15	0 00	-175213 22	-253 89	-314 26	0 00
STATE						
SUBTOTAL FROM ABOVE	4641 15	0 00	-175243 08	-253 89	-314 26	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	29 86			0 00
	4641 15	0 00	-175213 22	-253 89	-314 26	0 00
* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE						

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0 00
FLORIDA	N/A	N/A

LT sale LT cost LT loss
303,207.42 478,764.76 (175,557.34)
ST sale ST cost ST gain
83,226.68 78,839.42 4387.26

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

Account Id: 4019312410

BEATTIE FDN SACH

Trust Year 6/1/2010 - 10/31/2010

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
001055102	AFLAC INC								
Sold		08/13/10	25	1,203.52					
Acq		06/16/10	25	1,203.52	1,106.98	1,106.98	96.54	96.54	S
Total for 8/13/2010					1,106.98	1,106.98	96.54	96.54	
001055102	AFLAC INC								
Sold		10/25/10	25	1,388.25					
Acq		06/16/10	5	277.65	221.40	221.40	56.25	56.25	S
Acq		08/26/09	20	1,110.60	821.68	821.68	288.92	288.92	L
Total for 10/25/2010					1,043.08	1,043.08	345.17	345.17	
009158106	AIR PRODUCTS AND CHEMICALS INC COMMON								
Sold		10/12/10	30	2,475.43					
Acq		03/08/10	30	2,475.43	2,223.51	2,223.51	251.92	251.92	S
Total for 10/12/2010					2,223.51	2,223.51	251.92	251.92	
039483102	ARCHER DANIELS MIDLAND CO COM								
Sold		09/21/10	45	1,472.13					
Acq		05/12/10	45	1,472.13	1,234.72	1,234.72	237.41	237.41	S
Total for 9/21/2010					1,234.72	1,234.72	237.41	237.41	
055921100	BMC SOFTWARE INC								
Sold		10/26/10	42	1,847.77					
Acq		04/29/10	42	1,847.77	1,686.03	1,686.03	161.74	161.74	S
Total for 10/26/2010					1,686.03	1,686.03	161.74	161.74	
075896100	BED BATH & BEYOND INC								
Sold		06/17/10	35	1,470.36					
Acq		04/05/10	35	1,470.36	1,566.82	1,566.82	-96.46	-96.46	S
Total for 6/17/2010					1,566.82	1,566.82	-96.46	-96.46	
075896100	BED BATH & BEYOND INC								
Sold		07/02/10	32	1,168.63					
Acq		04/05/10	32	1,168.63	1,432.52	1,432.52	-263.89	-263.89	S
Total for 7/2/2010					1,432.52	1,432.52	-263.89	-263.89	
111320107	BROADCOM CORP CL A								
Sold		07/23/10	39	1,456.70					
Acq		02/04/10	27	1,008.48	764.55	764.55	243.93	243.93	S
Acq		10/28/09	12	448.22	328.68	328.68	119.54	119.54	S
Total for 7/23/2010					1,093.23	1,093.23	363.47	363.47	
111320107	BROADCOM CORP CL A								
Sold		09/14/10	37	1,320.14					
Acq		10/28/09	37	1,320.14	1,013.44	1,013.44	306.70	306.70	S
Total for 9/14/2010					1,013.44	1,013.44	306.70	306.70	
124857202	CBS CORP NEW								
Sold		09/28/10	93	1,494.70					
Acq		03/08/10	46	739.31	677.12	677.12	62.19	62.19	S

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

Account Id: 4019312410

BEATTIE FDN SACH

Trust Year 6/1/2010 - 10/31/2010

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
124857202	CBS CORP NEW								
Sold		09/28/10	93	1,494.70					
Acq		11/17/09	47	755.39	651.57	651.57	103.82	103.82	S
Total for 9/28/2010					1,328.69	1,328.69	166.01	166.01	
25490A101	THE DIRECTV GROUP								
Sold		06/22/10	74	2,697.17					
Acq		10/09/09	74	2,697.17	2,032.41	2,032.41	664.76	664.76	S
Total for 6/22/2010					2,032.41	2,032.41	664.76	664.76	
268648102	EMC CORP(MASS) USD 0 01								
Sold		06/25/10	70	1,315.10					
Acq		04/30/10	70	1,315.10	1,355.97	1,355.97	-40.87	-40.87	S
Total for 6/25/2010					1,355.97	1,355.97	-40.87	-40.87	
26875P101	EOG RES INC								
Sold		06/14/10	25	2,747.26					
Acq		03/20/08	4	439.56	441.44	441.44	-1.88	-1.88	L
Acq		02/24/10	8	879.12	739.21	739.21	139.91	139.91	S
Acq		05/13/10	13	1,428.58	1,414.17	1,414.17	14.41	14.41	S
Total for 6/14/2010					2,594.82	2,594.82	152.44	152.44	
26875P101	EOG RES INC								
Sold		06/17/10	12	1,320.94					
Acq		09/18/08	6	660.47	527.58	527.58	132.89	132.89	L
Acq		02/24/10	6	660.47	554.40	554.40	106.07	106.07	S
Total for 6/17/2010					1,081.98	1,081.98	238.96	238.96	
26875P101	EOG RES INC								
Sold		06/29/10	10	1,023.33					
Acq		09/18/08	8	818.66	703.45	703.45	115.21	115.21	L
Acq		10/29/08	2	204.67	142.88	142.88	61.79	61.79	L
Total for 6/29/2010					846.33	846.33	177.00	177.00	
26875P101	EOG RES INC								
Sold		08/16/10	28	2,603.86					
Acq		07/02/09	18	1,673.91	1,160.68	1,160.68	513.23	513.23	L
Acq		10/29/08	10	929.95	714.40	714.40	215.55	215.55	L
Total for 8/16/2010					1,875.08	1,875.08	728.78	728.78	
278058102	EATON CORPORATION COMMON								
Sold		08/19/10	29	2,165.78					
Acq		05/04/10	29	2,165.78	2,213.49	2,213.49	-47.71	-47.71	S
Total for 8/19/2010					2,213.49	2,213.49	-47.71	-47.71	
291011104	EMERSON ELECTRIC COMPANY								
Sold		08/17/10	38	1,858.04					
Acq		04/21/09	5	244.48	161.95	161.95	82.53	82.53	L

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

Account Id: 4019312410

BEATTIE FDN SACH

Trust Year 6/1/2010 - 10/31/2010

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
291011104	EMERSON ELECTRIC COMPANY								
Sold		08/17/10	38	1,858.04					
Acq		11/17/09	33	1,613.56	1,406.46	1,406.46	207.10	207.10	S
Total for 8/17/2010					1,568.41	1,568.41	289.63	289.63	
291011104	EMERSON ELECTRIC COMPANY								
Sold		09/28/10	27	1,423.15					
Acq		04/21/09	7	368.96	226.73	226.73	142.23	142.23	L
Acq		07/10/09	20	1,054.19	616.39	616.39	437.80	437.80	L
Total for 9/28/2010					843.12	843.12	580.03	580.03	
337932107	FIRSTENERGY CORP								
Sold		10/12/10	87	3,334.65					
Acq		10/26/07	81	3,104.67	5,517.72	5,517.72	-2,413.05	-2,413.05	L
Acq		04/04/08	6	229.98	437.09	437.09	-207.11	-207.11	L
Total for 10/12/2010					5,954.81	5,954.81	-2,620.16	-2,620.16	
369604103	GENERAL ELECTRIC CO								
Sold		06/22/10	90	1,434.61					
Acq		04/23/10	80	1,275.21	1,519.99	1,519.99	-244.78	-244.78	S
Acq		04/06/10	10	159.40	185.40	185.40	-26.00	-26.00	S
Total for 6/22/2010					1,705.39	1,705.39	-270.78	-270.78	
406216101	HALLIBURTON COMPANY COM								
Sold		06/23/10	40	1,027.20					
Acq		04/30/10	40	1,027.20	1,185.28	1,185.28	-158.08	-158.08	S
Total for 6/23/2010					1,185.28	1,185.28	-158.08	-158.08	
406216101	HALLIBURTON COMPANY COM								
Sold		06/30/10	46	1,151.83					
Acq		10/12/09	15	375.60	429.89	429.89	-54.29	-54.29	S
Acq		10/01/09	16	400.64	425.78	425.78	-25.14	-25.14	S
Acq		04/30/10	15	375.60	444.48	444.48	-68.88	-68.88	S
Total for 6/30/2010					1,300.15	1,300.15	-148.32	-148.32	
438516106	HONEYWELL INTL INC								
Sold		06/24/10	35	1,453.03					
Acq		09/18/08	35	1,453.03	1,483.50	1,483.50	-30.47	-30.47	L
Total for 6/24/2010					1,483.50	1,483.50	-30.47	-30.47	
478366107	JOHNSON CONTROLS INC								
Sold		08/12/10	46	1,289.43					
Acq		05/18/10	46	1,289.43	1,367.91	1,367.91	-78.48	-78.48	S
Total for 8/12/2010					1,367.91	1,367.91	-78.48	-78.48	
61945A107	MOSAIC CO								
Sold		10/12/10	45	3,046.42					
Acq		07/20/10	45	3,046.42	2,077.84	2,077.84	968.58	968.58	S
Total for 10/12/2010					2,077.84	2,077.84	968.58	968.58	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

Account Id: 4019312410

BEATTIE FDN SACH

Trust Year 6/1/2010 - 10/31/2010

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
674599105	OCCIDENTAL PETROLEUM CORPORATION COMMON								
Sold		07/01/10	18	1,380.06					
Acq		06/01/10	5	383.35	410.74	410.74	-27.39	-27.39	S
Acq		05/03/10	13	996.71	1,165.49	1,165.49	-168.78	-168.78	S
Total for 7/1/2010					1,576.23	1,576.23	-196.17	-196.17	
68389X105	ORACLE CORPORATION								
Sold		10/22/10	51	1,476.43					
Acq		12/14/09	26	752.69	609.18	609.18	143.51	143.51	S
Acq		12/28/09	25	723.74	622.75	622.75	100.99	100.99	S
Total for 10/22/2010					1,231.93	1,231.93	244.50	244.50	
69351T106	PPL CORP								
Sold		10/22/10	76	2,047.46					
Acq		07/08/10	76	2,047.46	2,003.70	2,003.70	43.76	43.76	S
Total for 10/22/2010					2,003.70	2,003.70	43.76	43.76	
718172109	PHILIP MORRIS INTERNATIONAL IN								
Sold		06/15/10	54	2,474.76					
Acq		10/26/07	54	2,474.76	2,744.94	2,744.94	-270.18	-270.18	L
Total for 6/15/2010					2,744.94	2,744.94	-270.18	-270.18	
744320102	PRUDENTIAL FINL INC								
Sold		06/16/10	30	1,760.05					
Acq		10/26/07	19	1,114.70	1,853.45	1,853.45	-738.75	-738.75	L
Acq		04/24/08	11	645.35	824.45	824.45	-179.10	-179.10	L
Total for 6/16/2010					2,677.90	2,677.90	-917.85	-917.85	
747525103	QUALCOMM INC								
Sold		07/19/10	50	1,815.48					
Acq		10/09/09	50	1,815.48	2,043.34	2,043.34	-227.86	-227.86	S
Total for 7/19/2010					2,043.34	2,043.34	-227.86	-227.86	
75281A109	RANGE RES CORP								
Sold		06/25/10	10	434.14					
Acq		01/15/10	10	434.14	522.08	522.08	-87.94	-87.94	S
Total for 6/25/2010					522.08	522.08	-87.94	-87.94	
75281A109	RANGE RES CORP								
Sold		10/13/10	42	1,516.09					
Acq		01/15/10	42	1,516.09	2,192.75	2,192.75	-676.66	-676.66	S
Total for 10/13/2010					2,192.75	2,192.75	-676.66	-676.66	
806857108	SCHLUMBERGER LIMITED COM								
Sold		06/01/10	79	4,162.60					
Acq		07/10/09	29	1,528.04	1,450.72	1,450.72	77.32	77.32	S
Acq		10/14/09	27	1,422.66	1,768.47	1,768.47	-345.81	-345.81	S
Acq		03/08/10	23	1,211.90	1,475.42	1,475.42	-263.52	-263.52	S
Total for 6/1/2010					4,694.61	4,694.61	-532.01	-532.01	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 4019312410

BEATTIE FDN SACH

Trust Year 6/1/2010 - 10/31/2010

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
852061100	SPRINT CORP								
Sold		07/23/10	254	1,193.45					
Acq		10/26/07	254	1,193.45	4,406.90	4,406.90	-3,213.45	-3,213.45	L
Total for 7/23/2010					4,406.90	4,406.90	-3,213.45	-3,213.45	
855030102	STAPLES INC COM								
Sold		07/12/10	73	1,430.44					
Acq		04/24/09	11	215.55	240.71	240.71	-25.16	-25.16	L
Acq		05/03/10	62	1,214.89	1,473.12	1,473.12	-258.23	-258.23	S
Total for 7/12/2010					1,713.83	1,713.83	-283.39	-283.39	
867914103	SUNTRUST BANKS INC								
Sold		06/18/10	65	1,705.67					
Acq		02/03/10	65	1,705.67	1,496.37	1,496.37	209.30	209.30	S
Total for 6/18/2010					1,496.37	1,496.37	209.30	209.30	
872540109	TJX COS INC NEW								
Sold		09/14/10	61	2,569.12					
Acq		04/09/09	61	2,569.12	1,696.67	1,696.67	872.45	872.45	L
Total for 9/14/2010					1,696.67	1,696.67	872.45	872.45	
92553P201	VIACOM INC NEW								
Sold		07/02/10	97	2,976.62					
Acq		09/11/09	24	736.48	629.49	629.49	106.99	106.99	S
Acq		10/16/08	73	2,240.14	1,292.51	1,292.51	947.63	947.63	L
Total for 7/2/2010					1,922.00	1,922.00	1,054.62	1,054.62	
Total for Account: 4019874349					74,138.76	74,138.76	-2,006.96	-2,006.96	
Total Proceeds:									
72,131.80					S/T Gain/Loss	1,261.97	1,261.97		
					L/T Gain/Loss	-3,268.93	-3,268.93		

LT proceeds cost loss
 22,746.20 26,015.18 (3,268.93)

ST proceeds cost gain
 49,385.60 48,123.63 1,261.97

**WACHOVIA**

A Wells Fargo Company

GRANT GUIDELINES

The Cordelia Lee Beattie Foundation makes grants to qualified 501©(3) organizations in Sarasota County. Wachovia Bank has served as Trustee since 1973.

The purpose of the foundation is to provide scholarships for deserving, gifted and needy individuals without regard to race, creed, nationality, color or sex, in order that they may attend schools, colleges, universities or other educational institutions in the State of Florida, or in order that they may engage in scientific or medical research in the State of Florida. In view of the Grantor's lifelong interest in music and the fine arts it is Grantor's request that Trustee give particular attention to the requirements of the various cultural organizations of Sarasota County, including the West Coast Symphony Orchestra and its scholarship trust fund if the scholar is seriously interested in pursuing a musical career.

Application Process

All requests must include:

- Background information on the organization, including a brief history, the organization's current address and phone number, and the name and title of the primary contact
- The goals, objectives, and budget for the one project or program which funds are being requested
- The amount of the grant requested
- Summary of how the funds will be used
- Supporting financial information on the organization, to include current financial status and listing of Board of Trustees
- Copy of organization's tax exemption letter from the Internal Revenue Service

Applications and required supporting material must be received by January 15. You will be notified in writing of the Committee's decision by March 15.

Please note: Organizations may not apply to both this foundation and Sarasota County Foundation within the same year.

Contact Us

Cordelia Lee Beattie Foundation
c/o Wachovia Bank, N.A., Trustee
1819 Main Street, Suite 230
Sarasota, FL 34236
joan.greenwood@wachovia.com



WACHOVIA

Charitable Services Group

GRANT REQUEST INSTRUCTIONS and APPLICATION FORM

Updated 07/31/2006

Wachovia Bank's Charitable Services Group has the responsibility of grant administration for various foundations. This set of documents provides you with both Instructions and an Application Form.

Guidelines

Each Foundation has specific Guidelines in keeping with the purpose of the foundation. Guidelines may be requested by contacting our offices. Contact information is listed on the first page link of each foundation listed in this website.

Criteria & Limitations

Qualifying tax-exempt (501 (c) 3) organizations are eligible for grants if they meet the purpose of the foundation to which they are applying. Grants are not made for political purposes, nor to organizations which discriminate on the basis of race, ethnic origin, sexual or religious preference, age or gender. Some foundations may have more specific restrictions. Please refer to specific restrictions as listed in each foundation's guidelines. Deadlines for submitting proposals are specific to each foundation and are also listed in each foundation's guidelines.

Proposal Format

Proposals should be submitted in the following format:

1. Completed Common Grant Application Form (included in this information)
2. An original Proposal Statement.
3. An audited financial report and a current year operating budget
4. A copy of your official IRS Letter with your tax determination.
5. A Listing of your Board of Directors
6. **Please provide a total of six (6) copies of your proposal.**

Proposal Statements

Proposal Statements (second item in the above Format) should answer these questions:

- What are the objectives and expected outcomes of this program/project/request?
- What strategies will be used to accomplish your objective?
- What is the timeline for completion?
- If this is part of an on-going program, how long has it been in operation?
- What criteria will you use to measure success?
- If the request is not fully funded, what other sources can you engage?
- An Itemized budget should be included.
- Please describe any collaborative ventures.

Communication

You will receive notice acknowledging our receipt of the grant request, and subsequently be notified of your grant declination or approval. Prior to the distribution of funds, all approved grantees must sign and return to us a Grant Agreement Form, stating that the funds will be used for the purpose intended. Progress reports and Completion reports must also be filed as required for your specific grant. All current grantees must be in good standing with required documentation prior to submitting new proposals to any foundation.

COMMON GRANT APPLICATION

To

WACHOVIA BANK, N.A.
Charitable Services Group

(NAME OF FOUNDATION from which you are seeking funds)

(GEOGRAPHIC STATE ADDRESS OF FOUNDATION from which you are seeking funds)

- 1] Date of Proposal: _____
- 2] Name of Organization: _____
- 3] Address: _____
City _____ State _____ Zip Code: _____
E-Mail Address: _____
- 4] Phone Number: _____ Fax Number _____
- 5] Contact Person: _____
(First Name) (Middle Initial) (Last Name) (Title)
- 6] Tax ID/Registration #: _____ 501 c(3) Date: _____
- 7] Your Organization's Information: _____ Date Established: _____
Organization Background: _____

- 8] Amount Requested: \$ _____
- 9] Brief Description of Request: _____
(2-7 words) What exactly are you requesting funding for?
- 10] Program Area: _____
(Arts and Culture, Education, Health and Human Services, Social and Civic Services, or Environmental)

11] Project/Request Description: _____

12] Geographic Area(s) Served: _____

13] Client Population Served: _____
[Specifics please - i.e., age groups, children, elderly, homeless, disabled, etc.]

14] Number of Individuals served: _____

15] Organization's Annual Budget: \$ _____

- a) Last Fiscal Year Total Revenue: \$ _____
- b) Last Fiscal Year Total Expenses: \$ _____
- c) Administrative Expense Ratio to Total Budget: \$ _____
- d) Last Fiscal Year Total Assets: \$ _____
- e) Last Fiscal Year Total Debt: \$ _____
- f) Name and Address of your Auditor/CPA

16] Total Project Budget (If applicable): \$ _____

- Human Resources Costs: \$ _____
- Program Costs: \$ _____
- Program Shortfall: \$ _____

17] Three Major Funders of your organization:

- 1. _____
- 2. _____
- 3. _____

18] Confirmed Funders for this Program/Project (If applicable)

- 1. _____
- 2. _____
- 3. _____

Name of Applicant & Title

Signature

Date