



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 11/01, 2009, and ending 10/31, 2010

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type
See Specific
Instructions.LEO GOODWIN FOUNDATION, INC.
800 CORPORATE DRIVE #500
FORT LAUDERDALE, FL 33334

A Employer identification number

52-6054098

B Telephone number (see the instructions)

954-772-6863

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

▶ \$ 17,597,498. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

50,000.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

340,389.

340,389.

5a Gross rents

16,236.

16,236.

b Net rental income or (loss) -105,633.

6a Net gain/(loss) from sale of assets not on line 10

698,302.

b Gross sales price for all assets on line 6a 13,246,396.

7 Capital gain net income (from Part IV, line 2)

698,302.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 1

-85,851.

-85,851.

12 Total. Add lines 1 through 11

1,019,076.

969,076.

0.

13 Compensation of officers, directors, trustees, etc

375,000.

125,000.

250,000.

14 Other employee salaries and wages

94,200.

41,600.

20,724.

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch)

17 Taxes (attach schedule) (see instr)

18 Depreciation (attach sch) and depletion

19 Occupancy

20 Travel, conferences, and meetings

21 Printing and publications

22 Other expenses (attach schedule)

23 SEE STATEMENT 5

96,381.

68,864.

24 Total operating and administrative expenses. Add lines 13 through 23

881,511.

511,882.

272,630.

25 Contributions, gifts, grants paid PART XV

633,000.

633,000.

26 Total expenses and disbursements. Add lines 24 and 25

1,514,511.

511,882.

0.

905,630.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-495,435.

b Net investment income (if negative, enter -0-)

457,194.

c Adjusted net income (if negative, enter -0-)

0.

G18 10

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	281,062.	83,298.	83,298.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule) STATEMENT 6	630,027.	1,229,961.	1,320,560.
	b Investments – corporate stock (attach schedule) STATEMENT 7	8,332,188.	5,317,615.	5,573,806.
	c Investments – corporate bonds (attach schedule) STATEMENT 8	893,435.	2,897,853.	3,057,739.
	11 Investments – land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) STATEMENT 9	8,149,448.	8,750,283.	7,562,030.	
14 Land, buildings, and equipment: basis 3,285.				
Less: accumulated depreciation (attach schedule) SEE STMT 10 3,220.	194.	65.	65.	
15 Other assets (describe)				
16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	18,286,354.	18,279,075.	17,597,498.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 11)	1,233,770.	1,280,632.	
	23 Total liabilities (add lines 17 through 22)	1,233,770.	1,280,632.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	17,052,584.	16,998,443.	
	30 Total net assets or fund balances (see the instructions)	17,052,584.	16,998,443.	
	31 Total liabilities and net assets/fund balances (see the instructions)	18,286,354.	18,279,075.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,052,584.
2 Enter amount from Part I, line 27a	2	-495,435.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 12	3	441,294.
4 Add lines 1, 2, and 3	4	16,998,443.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	16,998,443.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 13				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] —	2	698,302.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	— [If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8] —	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	732,112.	16,170,061.	0.045276
2007	787,736.	20,034,451.	0.039319
2006	858,000.	22,086,827.	0.038847
2005	809,429.	17,949,755.	0.045094
2004	704,499.	14,522,350.	0.048511

2 Total of line 1, column (d)	2	0.217047
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043409
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	16,162,875.
5 Multiply line 4 by line 3	5	701,614.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,572.
7 Add lines 5 and 6	7	706,186.
8 Enter qualifying distributions from Part XII, line 4	8	905,630.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 4,572.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 4,572.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 4,572.
6 Credits/Payments.		
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	
b Exempt foreign organizations — tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	137.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,709.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐ MD ☐ FL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation.</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2009)

Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>HELEN M. FURIA</u> Telephone no <u>954-772-6863</u> Located at <u>800 CORPORATE DR, #500, FT. LAUD, FL</u> ZIP + 4 <u>33334</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

BAA

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HELEN M. FURIA 3711 NE 27TH STREET FT. LAUDERDALE, FL 33317	PRESIDENT 30.00	125,000.	0.	0.
ELLIOT P. BORKSON 2970 WESTBROOK FT. LAUDERDALE, FL 33332	VICE PRES 20.00	125,000.	0.	0.
ALAN J. GOLDBERG 404 DEERCREEK RUN DEERFIELD BEACH, FL 33442	TREASURER 20.00	125,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

BAA Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	17,507,330.
b Average of monthly cash balances	1b	182,182.
c Fair market value of all other assets (see instructions)	1c	130.
d Total (add lines 1a, b, and c)	1d	17,689,642.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	1,280,632.
3 Subtract line 2 from line 1d	3	16,409,010.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	246,135.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	16,162,875.
6 Minimum investment return. Enter 5% of line 5	6	808,144.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	808,144.
2a Tax on investment income for 2009 from Part VI, line 5	2a	4,572.	
b Income tax for 2009. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	4,572.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	803,572.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	803,572.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	803,572.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	905,630.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	905,630.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	4,572.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	901,058.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				803,572.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			501,122.	
b Total for prior years. 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 905,630.				
a Applied to 2008, but not more than line 2a			501,122.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				404,508.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				399,064.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year			SEE ATTACHMENT PF 1	633,000.
Total				3 a 633,000.
b Approved for future payment				
Total				3 b

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2009

Name of the organization

LEO GOODWIN FOUNDATION, INC.

Employer identification number

52-6054098

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

LEO GOODWIN FOUNDATION, INC.

52-6054098

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	EMERALD ASSET ADVISORS, INC. 2843 EXECUTIVE PARK DRIVE WESTON, FL 33331	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

LEO GOODWIN FOUNDATION, INC.

52-6054098

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

LEO GOODWIN FOUNDATION, INC.

52-6054098

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry)For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once — see instructions)

► \$

N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09 43AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ISHARES S&P - 51-6573369	\$ -180.		
ML WINTON LLC 20-1227904	-14,078.		
OPPENHEIMER FD 13-4324770	-11,088.		
OPPENHEIMER LP 83-0480572	-15,721.		
P&A MULTI FD 20-2970615	-13,255.		
PEAK SELECT FD 20-3420757	-8,445.		
RYE SELECT FD 13-3771103	-817.		
WHISTLER PTNR- 13-4068791	-13,725.		
XANTHUS PTNR - 13-4038889	-8,542.		
TOTAL	\$ -85,851.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 12,279.			
TOTAL	\$ 12,279.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 144,563.	\$ 144,563.		
TOTAL	\$ 144,563.	\$ 144,563.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHOLDING	\$ 3,304.	\$ 3,304.		
FOREIGN TAX WITHHOLDING K-1	513.	513.		
PAYROLL TAXES	8,662.	3,818.		\$ 1,906.
TOTAL	\$ 12,479.	\$ 7,635.	\$ 0.	\$ 1,906.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09 43AM

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CORPORATE FILING FEE	\$ 339.			
CORPORATE FILING FEE-LGF INVESTMENTS	139.			
OFFICE EXPENSE	25,339.			
OFFICE EXPENSE - LGF INVESTMENTS	136.			
OTHER K-1 EXPENSE	477.	\$ 477.		
RENTAL EXPENSES	1,564.			
RENTAL EXPENSES	68,387.	68,387.		
TOTAL	\$ 96,381.	\$ 68,864.	\$ 0.	\$ 0.

STATEMENT 6
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BOND GOVT AGENCY CIBC S/T - OIA	COST	\$ 714,201.	\$ 818,936.
U.S. TREASURY NOTES CIBC S/T	COST	515,760.	501,624.
		\$ 1,229,961.	\$ 1,320,560.
TOTAL		\$ 1,229,961.	\$ 1,320,560.

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND EMERALD - E6 GATEWAY	COST	\$ 1,403,050.	\$ 1,474,288.
MUTUAL FUND - M/L MOGENERAL A/C	COST	100,001.	1,822.
STOCK EMERALD CONCENTRATED EQUITY CE1	COST	1,178,289.	1,272,398.
STOCK EMERALD CONCENTRATED EQUITY CE2	COST	1,519,280.	1,596,056.
M/L OFI BARING/ALLIANCE BERNSTEIN M7BAB	COST	569,205.	614,596.
M/L RENAISSANCE/THORNBURG ADRS M7RTH	COST	547,790.	614,646.
TOTAL		\$ 5,317,615.	\$ 5,573,806.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09:43AM

STATEMENT 8
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
BOND CORPORATE CIBC S/T - OIA	COST	\$ 2,897,853.	\$ 3,057,739.
	TOTAL	<u>\$ 2,897,853.</u>	<u>\$ 3,057,739.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
WHISTLER FUND PARTNERSHIP	COST	\$ 370,064.	\$ 470,012.
XANTHUS FUND PARTERSHIP	COST	527,959.	601,235.
DEFERRED COMPENSATION TRUST	COST	52,051.	52,051.
PEAK SELECT ACCESS PARTNERSHIP	COST	302,699.	289,735.
IRONWOOD INTERNATIONAL PARTNERSHIP	COST	567,000.	574,350.
P&A MULTI-SECTOR II PARTNERSHIP	COST	613,743.	635,788.
OPCO PRIVATE EQUITY FD 1 LP	COST	349,040.	355,000.
WINTON FUTURES ACCESS LLC - ML	COST	251,510.	280,539.
TREMONT RYE PARTNERSHIP	COST	395.	395.
OPCO DISTRESSED OPPORTUNITIES LP	COST	574,059.	594,438.
LGF INVESTMENTS, LLC	COST	3,664,454.	2,155,143.
PTRSHP SYSTEMATIC MOMENT FUT ML M9ALT	COST	1,250,000.	1,326,035.
TOTAL OTHER INVESTMENTS		<u>\$ 8,522,974.</u>	<u>\$ 7,334,721.</u>

OTHER SECURITIES

CERTIFICATE OF DEPOSIT - STONEGATE	COST	50,000.	50,000.
INVESTMENT MANAGEMENT CASH FUND	COST	5.	5.
INVESTMENT MANAGEMENT MONEY FUND	COST	177,304.	177,304.
TOTAL OTHER SECURITIES		<u>\$ 227,309.</u>	<u>\$ 227,309.</u>
	TOTAL	<u>\$ 8,750,283.</u>	<u>\$ 7,562,030.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FURNITURE AND FIXTURES	\$ 1,249.	\$ 1,249.	\$ 0.	\$ 65.
MACHINERY AND EQUIPMENT	2,036.	1,971.	65.	0.
TOTAL	<u>\$ 3,285.</u>	<u>\$ 3,220.</u>	<u>\$ 65.</u>	<u>\$ 65.</u>

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09.43AM

STATEMENT 11
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

OPPENHEIMER MARGIN LOANS PAYABLE.

\$ 1,280,632.

TOTAL \$ 1,280,632.

STATEMENT 12
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

INCOME TAX REFUND - 10-31-09
K-1 BOOK TAX ADJUSTMENTS

\$ 11,940.

429,354.

TOTAL \$ 441,294.

STATEMENT 13
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	AA XANTHUS K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
2	AA XANTHUS K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
3	AA XANTHUS K-1 S 1256	PURCHASED	VARIOUS	VARIOUS
4	AA WHISTLER FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
5	AA WHISTLER FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
6	AA WHISTLER FD K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
7	OPPENHEIMER FD K-1 SEC 1250	PURCHASED	VARIOUS	VARIOUS
8	OPP FUND CAP GAIN L/T	PURCHASED	VARIOUS	VARIOUS
9	OPP FUND CAP GAIN S/T	PURCHASED	VARIOUS	VARIOUS
10	OPPENHEIMER FD K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
11	P&A MULTI SECTOR FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
12	P&A MULTI SECTOR FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
13	P&A MULTI SECTOR FD K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
14	P&A MULTI SECTOR FD K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
15	PEAK ACCESS FD K-1 L/T CG	PURCHASED	VARIOUS	VARIOUS
16	PEAK ACCESS FD K-1 S/T CG	PURCHASED	VARIOUS	VARIOUS
17	PEAK ACCESS FD K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
18	ML WINTON LLC K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
19	SEE ATTACHMENT C-15	PURCHASED	VARIOUS	VARIOUS
20	SEE ATTACHMENT C-15	PURCHASED	VARIOUS	VARIOUS
21	SEE ATTACHMENT C-25	PURCHASED	VARIOUS	VARIOUS
22	SEE ATTACHMENT C-25	PURCHASED	VARIOUS	VARIOUS
23	SEE ATTACHMENT C-30	PURCHASED	VARIOUS	VARIOUS
24	SEE ATTACHMENT C-30	PURCHASED	VARIOUS	VARIOUS
25	SEE ATTACHMENT C-40	PURCHASED	VARIOUS	VARIOUS
26	SEE ATTACHMENT C-40	PURCHASED	VARIOUS	VARIOUS
27	SEE ATTACHMENT C-44	PURCHASED	VARIOUS	VARIOUS
28	SEE ATTACHMENT C-44	PURCHASED	VARIOUS	VARIOUS
29	SEE ATTACHMENT C-56	PURCHASED	VARIOUS	VARIOUS
30	SEE ATTACHMENT C-56	PURCHASED	VARIOUS	VARIOUS
31	SEE ATTACHMENT C-63	PURCHASED	VARIOUS	VARIOUS
32	SEE ATTACHMENT C-63	PURCHASED	VARIOUS	VARIOUS
33	SEE ATTACHMENT C-71	PURCHASED	VARIOUS	VARIOUS
34	SEE ATTACHMENT C-71	PURCHASED	VARIOUS	VARIOUS

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09:43AM

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
35	SEE ATTACHMENT C-94	PURCHASED	VARIOUS	VARIOUS
36	SEE ATTACHMENT C-94	PURCHASED	VARIOUS	VARIOUS
37	SEE ATTACHMENT C-95	PURCHASED	VARIOUS	VARIOUS
38	SEE ATTACHMENT C-95	PURCHASED	VARIOUS	VARIOUS
39	SEE ATTACHMENT C-96	PURCHASED	VARIOUS	VARIOUS
40	SEE ATTACHMENT C-96	PURCHASED	VARIOUS	VARIOUS
41	SEE ATTACHMENT C-97	PURCHASED	VARIOUS	VARIOUS
42	SEE ATTACHMENT C-98	PURCHASED	VARIOUS	VARIOUS
43	SEE ATTACHMENT C-99	PURCHASED	VARIOUS	VARIOUS
44	SEE ATTACHMENT C-100	PURCHASED	VARIOUS	VARIOUS
45	HSBC HOLDINGS PLC	PURCHASED	4/02/2008	12/29/2009
46	SEE ATTACHMENT C-111	PURCHASED	VARIOUS	VARIOUS
47	SEE ATTACHMENT C-111	PURCHASED	VARIOUS	VARIOUS
48	SEE ATTACHMENT C-118	PURCHASED	VARIOUS	VARIOUS
49	SEE ATTACHMENT C-118	PURCHASED	VARIOUS	VARIOUS
50	OTHER CAPITAL GAINS	PURCHASED	VARIOUS	VARIOUS
51	ISHARES S&P GSCI COMM INDEX TRUST	PURCHASED	VARIOUS	VARIOUS
52	ISHARES S&P GSCI COMM INDEX TRUST	PURCHASED	VARIOUS	VARIOUS
53	MERRILL LYNCH - ISHARES MSCI	PURCHASED	6/19/2009	12/08/2009
54	MERRILL LYNCH - SPDR GOLD TRUST	PURCHASED	6/19/2009	12/08/2009

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	0.		10,199.	-10,199.				\$ -10,199.
2	17,698.		0.	17,698.				17,698.
3	0.		5,071.	-5,071.				-5,071.
4	203.		0.	203.				203.
5	26,543.		0.	26,543.				26,543.
6	14,655.		0.	14,655.				14,655.
7	6.		0.	6.				6.
8	0.		4,544.	-4,544.				-4,544.
9	4,657.		0.	4,657.				4,657.
10	6.		0.	6.				6.
11	0.		3,115.	-3,115.				-3,115.
12	3,422.		0.	3,422.				3,422.
13	18.		0.	18.				18.
14	11.		0.	11.				11.
15	1,168.		0.	1,168.				1,168.
16	14,030.		0.	14,030.				14,030.
17	0.		68,380.	-68,380.				-68,380.
18	0.		7,369.	-7,369.				-7,369.
19	1758865.		1735090.	23,775.				23,775.
20	673,760.		643,853.	29,907.				29,907.
21	217,829.		181,408.	36,421.				36,421.
22	148,059.		131,860.	16,199.				16,199.
23	12,737.		12,657.	80.				80.
24	701,935.		787,770.	-85,835.				-85,835.
25	123,163.		99,162.	24,001.				24,001.
26	84,324.		85,134.	-810.				-810.
27	93,431.		80,042.	13,389.				13,389.
28	278,005.		286,115.	-8,110.				-8,110.
29	45,017.		42,183.	2,834.				2,834.
30	417,223.		371,816.	45,407.				45,407.
31	81,426.		61,192.	20,234.				20,234.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09:43AM

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
32	252,616.		202,921.	49,695.				\$ 49,695.
33	93,906.		70,732.	23,174.				23,174.
34	54,878.		44,811.	10,067.				10,067.
35	91,545.		77,070.	14,475.				14,475.
36	29,341.		34,573.	-5,232.				-5,232.
37	540,221.		538,948.	1,273.				1,273.
38	862,357.		803,611.	58,746.				58,746.
39	1135194.		975,935.	159,259.				159,259.
40	425,066.		214,146.	210,920.				210,920.
41	770,004.		630,964.	139,040.				139,040.
42	612,183.		604,217.	7,966.				7,966.
43	548,929.		560,567.	-11,638.				-11,638.
44	1466077.		1657197.	-191,120.				-191,120.
45	102,957.		100,000.	2,957.				2,957.
46	410,970.		378,738.	32,232.				32,232.
47	417,390.		359,656.	57,734.				57,734.
48	176,721.		167,137.	9,584.				9,584.
49	288,052.		309,550.	-21,498.				-21,498.
50	10,019.		0.	10,019.				10,019.
51	4.		0.	4.				4.
52	131.		0.	131.				131.
53	118,350.		98,547.	19,803.				19,803.
54	121,294.		101,814.	19,480.				19,480.
								TOTAL \$ 698,302.

STATEMENT 14
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

HELEN FURIA

CARE OF:

LEO GOODWIN FOUNDATION

STREET ADDRESS:

800 CORPORATE DR SUITE 500

CITY, STATE, ZIP CODE:

FORT LAUDERDALE, FL 33327

TELEPHONE:

954-772-6863

FORM AND CONTENT:

1. LETTER STATING PURPOSE OF PROGRAM AND AMOUNT REQUESTED.

2. OBJECTIVES: SPECIFIC PROGRAM DESCRIPTION; TYPE OF PERSON REACHED (AGE, GEOGRAPHIC LOCATION, SOCIAL AND ECONOMIC STATUS), NUMBER OF PERSONS TO BE REACHED. PRIORITY GIVEN TO RESIDENT OF BROWARD COUNTY AND FLORIDA.

3. HOW WILL FUNDS BE USED.

4. LINE ITEM OPERATING BUDGET AND AUDITED STATEMENTS AND TAX RETURN.

5. IRS DETERMINATION LETTER OF 501(C) (3) STATUS AND VERIFICATION THAT IT HAS NOT BEEN REVOKED.

6. FUNDING SOURCES WITH DOLLAR AMOUNTS OR RECEIVED.

7. NAMES OF GOVERNING BOARD MEMBERS.

SUBMISSION DEADLINES:

ACCEPTED ANYTIME PROVIDED SUFFICIENT TIME TO REVIEW.

RESTRICTIONS ON AWARDS:

NONE, OTHER THAN QUALIFICATION FOR CHARITABLE PURPOSES.

2009

FEDERAL STATEMENTS

PAGE 7

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09.43AM

STATEMENT 15
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
ISHARES S&P - 51-6573369			1	\$ -180.	
ML WINTON LLC 20-1227904			1	-14,078.	
OPPENHEIMER FD 13-4324770			1	-11,088.	
OPPENHEIMER LP 83-0480572			1	-15,721.	
P&A MULTI FD 20-2970615			1	-13,255.	
PEAK SELECT FD 20-3420757			1	-8,445.	
RYE SELECT FD 13-3771103			1	-817.	
WHISTLER PTNR- 13-4068791			1	-13,725.	
XANTHUS PTNR - 13-4038889			1	-8,542.	
TOTAL		\$ 0.		\$ -85,851.	\$ 0.

2009

FEDERAL STATEMENTS

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09 43AM

STATEMENT 1

FORM 990-T, PART I, LINE 5

INCOME (LOSS) FROM PARTNERSHIPS AND S CORPORATIONS

NAME	GROSS INCOME	DEDUCTIONS	INCOME (LOSS)
OPPENHEIMER PRIV EQ FD - 13-4324770	\$ -2,966.	\$ 0.	\$ -2,966.
P&A MULT SECTOR FD II - 20-2970615	-11,837.	0.	-11,837.
PEAK SELECT ACCESS FD - 20-3420757	0.	0.	0.
ML WINTON FUTURES LLC - 20-1227904	-9,372.	0.	-9,372.
A A WHISTLER FUND PTNR - 13-4068791	-2,613.	0.	-2,613.
OPPENHEIMER DIST OPP - 83-0480572	0.	0.	0.
		TOTAL	\$ -26,788.

STATEMENT 2

FORM 990-T, PART II, LINE 31

NET OPERATING LOSS DEDUCTION

LOSS YEAR ENDING	ORIGINAL LOSS	LOSS PREVIOUSLY USED	LOSS AVAILABLE
10/31/04	\$ 20,344.	\$ 0.	\$ 20,344.
10/31/05	33,415.	0.	33,415.
10/31/06	14,140.	0.	14,140.
10/31/07	29,370.	0.	29,370.
10/31/08	58,624.	0.	58,624.
10/31/09	71,222.	0.	71,222.
NET OPERATING LOSS AVAILABLE			\$ 227,115.
TAXABLE INCOME			\$ -80,863.
NET OPERATING LOSS DEDUCTION (LIMITED TO TAXABLE INCOME)			\$ 0.

2009

FEDERAL SUPPLEMENTAL INFORMATION

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09 43AM

FORM 990-PF, PART V11-B, LINE 5C

PLEASE SEE ATTACHED LETTER FROM GEICO DIRECT - SEE ATTACHMENT PF-2

2009

FEDERAL SUPPORTING DETAIL

PAGE 1

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

12/28/10

09.43AM

**CONTRIBUTIONS, GIFTS, AND GRANTS
OTHER CONTRIBUTIONS, GIFTS, GRANTS, ETC.**

EMERALD ASSET ADVISORS

	\$	50,000.
TOTAL	\$	<u>50,000.</u>

**OTHER INCOME PRODUCING ACTIVITIES
DIVIDENDS/INTEREST FROM SECURITIES.**

INTEREST - INVESTMENT FUND	\$	157,460.
INTEREST - OTHER INCOME		11,537.
DIVIDENDS - INVESTMENT FUND		70,573.
INTEREST - AA XANTHUS FD		1,274.
DIVIDENDS - AA XANTHUS FD		3,821.
INTEREST - AA WHISTLER FD		6,770.
DIVIDENDS - AA WHISTLER FD		3,890.
INTEREST - OPPENHEIMER FD		2,403.
DIVIDENDS - OPPENHEIMER FD		1,940.
INTEREST - P&A MULTI SECTOR FD		15,196.
DIVIDENDS - P&A MULTI SECTOR FD		4,876.
INTEREST - PEAK ACCESS FD		2,486.
DIVIDENDS - PEAK ACCESS FD		4,995.
INTEREST - OPPENHEIMER DISTRESSED		53,101.
INTEREST - ISHARES S&P GSCI COMM INDEX TRUST		45.
INTEREST - LGF INVESTMENTS		22.
TOTAL	\$	<u>340,389.</u>

LEO GOODWIN FOUNDATION, INC

EIN 52-6054098

Schedule of Information for Form 990-PF

Year Ended October 31, 2010

PART XV, LINE 3(A) - GRANTS AND CONTRIBUTIONS PAID DURING YEAR

PF - 1

RECIPIENT	Relationship	Status of Recipient	Purpose of Grant or Contribution	Amount
ACHIEVEMENT & REHABILITATION CENTERS, INC	N/A	501(c)(3)	CHARITABLE	10,000
AMERICAN DIABETES ASSOCIATION	N/A	501(c)(3)	CHARITABLE	1,500
ANN STORCK CENTER, INC	N/A	501(c)(3)	CHARITABLE	10,000
ANTI-DEFAMTION LEAGUE	N/A	501(c)(3)	CHARITABLE	5,000
BIG BROTHERS BIG SISTERS OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,500
BONNET HOUSE MUSEUM & GARDENS	N/A	501(c)(3)	CHARITABLE	2,500
BOYS & GIRLS CLUBS OF BROWARD COUNTY, INC	N/A	501(c)(3)	CHARITABLE	50,000
BROWARD CHILDREN'S CENTER	N/A	501(c)(3)	CHARITABLE	2,500
BROWARD COLLEGE FOUNDATION	N/A	501(c)(3)	EDUCATIONAL	27,500
BROWARD EDUCATION FOUNDATION - OCHO Project	N/A	501(c)(3)	EDUCATIONAL	3,000
BROWARD PARTNERSHIP FOR THE HOMELESS, INC	N/A	501(c)(3)	CHARITABLE	50,000
BROWARD PERFORMING ARTS FOUNDATION	N/A	501(c)(3)	CHARITABLE	5,000
CHILDREN'S DIAGNOSTIC TREATMENT CENTER	N/A	501(c)(3)	CHARITABLE	2,500
CYSTIC FIBROSIS OF FLORIDA - GOLD COAST GUILD	N/A	501(c)(3)	CHARITABLE	5,000
DAN MARINO FOUNDATION	N/A	501(c)(3)	CHARITABLE	5,000
FLORIDA YOUTH ORCHESTRA	N/A	501(c)(3)	CHARITABLE	1,000
GEICO PHILANTHROPIC FOUNDATION, INC	N/A	501(c)(3)	EDUCATIONAL	10,000
GILDA'S CLUB	N/A	501(c)(3)	CHARITABLE	5,000
HENDERSON MENTAL HEALTH CENTER CLINIC	N/A	501(c)(3)	CHARITABLE	175,000
HOSPICE OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,500
HUMANE SOCIETY OF BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	2,500
INSIGHT FOR THE BLIND	N/A	501(c)(3)	CHARITABLE	5,000
JASON TAYLOR FOUNDATION - Jason Taylor Reading Room	N/A	501(c)(3)	CHARITABLE	2,500
JUNIOR ACHIEVEMENT OF SOUTH FLORIDA	N/A	501(c)(3)	CHARITABLE	100,000
KIDS IN DISTRESS, INC	N/A	501(c)(3)	CHARITABLE	50,000
4KIDS OF SOUTH FLORIDA	N/A	501(c)(3)	CHARITABLE	1,000
LIGHT OF THE WORLD CLINIC	N/A	501(c)(3)	CHARITABLE	2,000
MAKE-A-WISH FOUNDATION	N/A	501(c)(3)	CHARITABLE	2,500
MUSEUM OF DISCOVERY & SCIENCE	N/A	501(c)(3)	CHARITABLE	5,000
PACE CENTER FOR GIRLS/BROWARD	N/A	501(c)(3)	CHARITABLE	50,000
SHAPE - Students Helping Achieve Philanthropic Excellence	N/A	501(c)(3)	CHARITABLE	2,500
SOS CHILDREN'S VILLAGE OF FLORIDA	N/A	501(c)(3)	CHARITABLE	13,500
SPECIAL OLYMPICS BROWARD COUNTY	N/A	501(c)(3)	CHARITABLE	1,000
SUSAN B. ANTHONY RECOVERY CENTER	N/A	501(c)(3)	CHARITABLE	5,000
THE HAVEN	N/A	501(c)(3)	CHARITABLE	2,000
VOLUNTEER BROWARD	N/A	501(c)(3)	CHARITABLE	500
WOMEN IN DISTRESS	N/A	501(c)(3)	CHARITABLE	5,000
WPBT-CHANNEL 2	N/A	501(c)(3)	EDUCATIONAL	2,500
YOUNG AT ART MUSEUM	N/A	501(c)(3)	CHARITABLE	5,000
TOTAL CONTRIBUTIONS				\$ 633,000

January 7, 2011

Board of Trustees
Leo Goodwin Foundation, Inc.
800 Corporate Drive
Suite 510
Ft. Lauderdale, FL 33334

RE: Leo Goodwin Scholarship Program

Ladies and Gentlemen:

I am pleased to provide the following information relative to GEICO Philanthropic Foundation as of this date.

(i) Name and Address of the grantee

GEICO Philanthropic Foundation
One GEICO Plaza
Washington, D.C. 20076

(ii) Date and amount of the grants.

October 29, 1986	\$28,000	October 30, 2000	\$35,000
October 27, 1987	\$35,000	October 03, 2001	\$20,000
October 31, 1988	\$20,000	October 16, 2002	\$10,000
October 26, 1989	\$20,000	October 15, 2003	\$20,000
October 23, 1990	\$25,000	October 11, 2004	\$20,000
October 23, 1991	\$30,000	October 12, 2005	\$25,000
October 22, 1992	\$38,000	October 09, 2006	\$25,000
October 14, 1993	\$50,000	October 15, 2007	\$25,000
October 17, 1994	\$50,000	2008 No Contribution	
October 12, 1995	\$30,000	October 13, 2009	\$15,000
October 14, 1996	\$30,000	October 12, 2010	\$10,000
October 04, 1997	\$35,000		
October 14, 1998	\$35,000		
October 12, 1999	\$35,000		

(iii) Purpose of the grants.

Grants to be used in the furtherance of the GEICO Philanthropic Foundation Scholarship Program as delineated in the Agreement executed by the Trustees of the grantor on February 23, 1988.

(iv) Amounts expended by the grantee.

As of the date of this report, \$663,750 has been expended under the program for scholarships and has been paid to the Scholarship Management Services. The grants made by the Leo Goodwin Foundation, Inc. are being used for scholarship purposes only. Administrative fees for the program are being paid by GEICO. Six \$2,500 scholarships and three renewal scholarship of \$2,500 were awarded in August 2010.

PF-Z

January 7, 2011
Page 2

The 2010 scholarship recipients are:

New Recipients

Rebecca Cheng

State University of NY at Stony Brook

Kristina M Connors

State University of NY at Stony Brook

Christopher W. Dillon

State University of NY at Stony Brook

Dimitra Hasiotis

State University of NY at Stony Brook

Saudia Thomas

Hofstra University

Xiaochen Wang

State University of NY at Stony Brook

Renewal Recipient

Jatiqua Abdul-Mumin

State University of NY at Stony Brook

Keish K. Dillon

City University of NY at Baruch Co.

Jessica A. May

St. John's University

PF-2

January 7, 2011
Page 3

- (v) Whether the grantee has diverted any portion of the funds (or the income there from in the case of an endowment grant) from the purpose of the grant (to the knowledge of the grantor).

No diversion of funds has taken place as of the date of this report.

- (vi) Dates of any reports from the grantee.

This report is the twenty-second provided. The previous reports were submitted on the following dates:

*January 14, 1988	*November 11, 1993	*February 20, 2001	*January 11, 2007
*February 17, 1989	*October 31, 1994	*February 26, 2002	*December 22, 2009
*November 29, 1989	*November 02, 1995	*January 15, 2003	*December 22, 2009
*December 21, 1990	*January 08, 1997	*February 17, 2004	
*November 04, 1991	*February 02, 1998	*March 4, 2005	
*November 04, 1992	*February 23, 2000	*February 15, 2006	

- (vii) Beginning in 1992, the GEICO Work-Study and Leo Goodwin Scholarship Program was changed to a one-year program for the Washington, D.C. area only. Students must be in their junior year at the time of application. Successful candidates will receive a one-time, non-renewable scholarship of \$2,500 and an offer of paid part-time employment under a work-study arrangement.

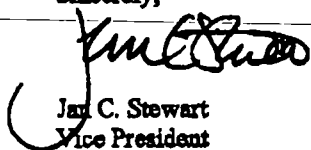
- (viii) Beginning in 1994, financial need is considered in selecting scholarship recipients. (See Addendum for text included in application process).

- (ix) Date of results of any verification of the grantee's reports undertaken pursuant to and to the extent required under Regulation Section 53.4945-5 (c) (1) of the Internal Revenue Code by the grantor or by others at the direction of the grantor.

The section referenced provides that "the grantor need not conduct any independent verification of such reports unless it has reason to doubt their accuracy or reliability."

As noted above, this report is the seventeenth provided.

Sincerely,


Jan C. Stewart
Vice President
Human Resources

PF-2

**Geico Work-Study and Leo Goodwin
Scholarship Program**

ADDENDUM

In addition to the academic, personal accomplishments and criteria listed in the program brochure, financial need will be considered in selecting scholarship recipients.

Your completed application has been received and a short questionnaire must be completed and returned to be considered for the award as soon as possible.

If you have any questions, please call Scholarship America at 1-800-537-4180 and ask for the GEICO Work-Study and Leo Goodwin Scholarship Program Manager.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
OIA MANAGED ACCOUNT
800 CORPORATE DR STE 500
FT LAUDERDALE FL 33334-3621
H:954-772-6863

FA Bjelajac/Hord
FA# M7M

ATTACHED C-1

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1	FIRST HORIZON NATL CORP	13 2085	08/10/2009	13 21	12 5700	02/03/2010	12 57	(0 64)	FHN	S
5,000	UNITED STATES TREAS NTS B/E 4% DUE 03/15/10 NOTE	103 8167	02/06/2009	5,190 84	100 0000	03/15/2010	5,000 00	(190 84)	912828DP2000	L
3,000	UNITED STATES TREAS NTS B/E 1 125% DUE 06/30/11 NOTE	0 9991	06/24/2009	2,997 43	100 6602	09/21/2010	3,019 80	22 37	912828LF5000	L
6,000	UNITED STATES TREAS NTS B/E 1 125% DUE 06/30/11 NOTE	1 0034	07/10/2009	6,020 66	100 6602	09/21/2010	6,039 61	18 95	912828LF5000	L
4,000	UNITEDHEALTH GROUP T/S UNDLY 91324PAQ5	0 9816	10/18/2006	3,926 68	103 9020	02/08/2010	4,156 08	229 40	913SPC9Q6000	L
	UNITEDHEALTH GROUP T/S UNDLY 91324PAQ5	0 0000	02/08/2010	0 00	0 0000	02/08/2010	120 00	120 00	913SPC9Q6000	S
5,000	ABBOTT LABS B/E 5 6% DUE 05/15/11 NOTE	100 4880	08/03/2006	5,024 40	104 6790	04/23/2010	5,233 95	209 55	002824AS9000	L
2,000	ALTRIA GROUP INC B/E 9 25% DUE 08/06/19 NOTE	1 0272	02/04/2009	2,054 50	122 2400	04/23/2010	2,444 80	390 30	02209SAJ2000	L
2,000	ALTRIA GROUP INC B/E 9 25% DUE 08/06/19 NOTE	1 0666	03/27/2009	2,133 22	122 2400	04/23/2010	2,444 80	311 58	02209SAJ2000	L
1,000	ALTRIA GROUP INC B/E 9 25% DUE 08/06/19 NOTE	1 1256	06/30/2009	1,125 65	122 2400	04/23/2010	1,222 40	96 75	02209SAJ2000	S

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

C-2

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
5,000	AMERICAN EXPRESS CO B/E 4 875% DUE 07/15/13 NOTE	95 9370	08/02/2007	4,796 85	108 0850	08/11/2010	5,404 25	607 40	025816AQ2000	L
2,000	ANHEUSER BUSCH COS INC B/E 6 45% DUE 09/01/37 DEB	0 7619	10/22/2008	1,523 96	106 8710	04/23/2010	2,137 42	613 46	035229DC4000	L
3,000	ANHEUSER BUSCH COS INC B/E 6 45% DUE 09/01/37 DEB	0 8350	03/27/2009	2,505 00	106 8710	04/23/2010	3,206 13	701 13	035229DC4000	L
10,000	AT&T INC B/E 4 95% DUE 01/15/13 NOTE	102.0600	02/05/2009	10,206.00	108 7550	07/28/2010	10,875 50	669 50	00206RAF9000	L
80,000	AT&T INC B/E 4 95% DUE 01/15/13 NOTE	106 7420	12/29/2009	85,393 60	108 7550	07/28/2010	87,004 00	1,610 40	00206RAF9000	S
50,000	BOEING CO B/E 5 125% DUE 02/15/13 NOTE	107 3750	12/30/2009	53,687.50	109 3920	09/21/2010	54,696 00	1,008 50	097023AT2000	S
15,000	BP CAP MKTS P L C FGN 3 625% DUE 05/08/14 NOTE	100 0000	05/15/2009	15,000 00	93 0000	06/02/2010	13,950 00	(1,050 00)	05565QBL1000	L
75,000	BP CAP MKTS P L C FGN 3 625% DUE 05/08/14 NOTE	103 1640	12/29/2009	77,373 00	93 0000	06/02/2010	69,750 00	(7,623 00)	05565QBL1000	S
7,000	BURLINGTON NORTHN SANTA FE CP B/E 4 7% DUE 10/01/19 NOTE	1 0169	11/18/2009	7,118 46	99 7780	04/23/2010	6,984 46	(134 00)	12189TBC7000	S
1,000	CAPITAL ONE FINL CORP B/E 6 15% DUE 09/01/16 NOTE	1 0082	10/18/2006	1,008 24	108 3660	09/20/2010	1,083 66	75 42	14040HAN5000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-3

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
2,000	CAPITAL ONE FINL CORP B/E 6 15% DUE 09/01/16 NOTE	0 6300	03/27/2009	1,260 00	108 3660	09/20/2010	2,167 32	907 32	14040HAN5000	L
1,000	CAPITAL ONE FINL CORP B/E 6 15% DUE 09/01/16 NOTE	0 8800	06/30/2009	880 00	108 3660	09/20/2010	1,083 66	203 66	14040HAN5000	L
5,000	CISCO SYS INC B/E 5 5% DUE 02/22/16 NOTE	107,3970	02/05/2009	5,369 85	111 5800	04/23/2010	5,579 00	209 15	17275RAC6000	L
2,000	CITIGROUP INC B/E 5% DUE 09/15/14 NOTE	0 9605	09/14/2007	1,921 10	100 3490	04/23/2010	2,006 98	85 88	172967CQ2000	L
3,000	CITIGROUP INC B/E 5% DUE 09/15/14 NOTE	0 6975	03/27/2009	2,092 50	100 3490	04/23/2010	3,010 47	917 97	172967CQ2000	L
1,000	CITIGROUP INC B/E 5% DUE 09/15/14 NOTE	0 8500	06/30/2009	850 00	100 3490	04/23/2010	1,003 49	153 49	172967CQ2000	S
2,000	CITIGROUP INC FDIC GTD TLGP B/E 2 125% DUE 04/30/12 NOTE	100 2440	04/06/2009	2,004 88	102 4960	09/21/2010	2,049 92	45 04	17313UAE9000	L
5,000	COCA COLA CO B/E 5 35% DUE 11/15/17 NOTE	106 3090	02/05/2009	5,315 45	109 6050	04/23/2010	5,480 25	164 80	191216AK6000	L
10,000	COLGATE PALMOLIVE CO MTNS BE B/E 5 2% DUE 11/07/16 MTN	100 0000	11/01/2006	10,000 00	110.1460	04/23/2010	11,014 60	1,014 60	19416QDH0000	L
5,000	COMCAST CORP NEW B/E 5 65% DUE 06/15/35 NOTE	0 8637	08/23/2007	4,318 86	99 4100	09/20/2010	4,970 50	651 64	20030NAF8000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

C-4

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
6,000	COMCAST CORP NEW B/E 5 65% DUE 06/15/35 NOTE	0 8112	03/27/2009	4,867 50	99 4100	09/20/2010	5,964 60	1,097 10	20030NAF8000	L
2,000	COMCAST CORP NEW B/E 5 65% DUE 06/15/35 NOTE	0 9225	06/30/2009	1,845 00	99 4100	09/20/2010	1,988 20	143 20	20030NAF8000	L
5,000	CONOCO FDG CO B/E 6 35% DUE 10/15/11 NOTE	108 6500	02/05/2009	5,432 50	107 1180	05/11/2010	5,355 90	(76 60)	20825UAB0000	L
10,000	CONOCO FDG CO B/E 6 35% DUE 10/15/11 NOTE	108 6370	02/05/2009	10,863 70	107 1180	05/11/2010	10,711 80	(151 90)	20825UAB0000	L
5,000	COSTCO WHSL CORP NEW B/E 5 3% DUE 03/15/12 NOTE	106 5220	02/05/2009	5,326 10	106 8170	09/20/2010	5,340 85	14 75	22160KAB1000	L
2,000	CREDIT SUISSE USA INC B/E 4 875% DUE 01/15/15 NOTE	0 9818	02/19/2008	1,963 76	105 9670	04/23/2010	2,119 34	155 58	22541LAR4000	L
2,000	CREDIT SUISSE USA INC B/E 4 875% DUE 01/15/15 NOTE	0 9327	03/27/2009	1,865 54	105 9670	04/23/2010	2,119 34	253 80	22541LAR4000	L
1,000	CREDIT SUISSE USA INC B/E 4 875% DUE 01/15/15 NOTE	1 0170	06/30/2009	1,017 07	105 9670	04/23/2010	1,059 67	42 60	22541LAR4000	S
2,000	EMBARQ CORP B/E 7 995% DUE 06/01/36 NOTE	1 0617	02/07/2007	2,123 49	102 1770	09/21/2010	2,043 54	(79 95)	29078EAA3000	L
1,000	EMBARQ CORP B/E 7 995% DUE 06/01/36 NOTE	0 9824	05/22/2008	982 46	102 1770	09/21/2010	1,021 77	39 31	29078EAA3000	L

Positions and/or balances marked with an * have been posted to a non-U S currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

ATTACHED: C-5

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
4,000	EMBARQ CORP B/E 7 995% DUE 06/01/36 NOTE	0 7700	03/27/2009	3,080 00	102 1770	09/21/2010	4,087 08	1,007 08	29078EAA3000	L
2,000	EMBARQ CORP B/E 7 995% DUE 06/01/36 NOTE	0 8800	06/30/2009	1,760 00	102 1770	09/21/2010	2,043 54	283 54	29078EAA3000	L
50,000	FEDERAL FARM CR BKS CONS B/E 2 94% DUE 12/21/15 DEBENTURE	100 3130	07/09/2010	50,156 50	100 0000	09/21/2010	50,000 00	(156 50)	31331JSH7000	S
5,000	FEDERAL HOME LN MTG CORP B/E 6 875% DUE 09/15/10 NOTE	106 0220	08/03/2006	5,301 10	100 0000	09/15/2010	5,000 00	(301 10)	3134A35H5000	L
200,000	FEDERAL HOME LOAN BANKS B/E 1% DUE 12/28/11 DEBENTURE	100 1421	02/12/2010	200,284 20	100 7867	09/20/2010	201,573 40	1,289 20	3133XVRS2000	S
23,000	FEDERAL NATL MTG ASSN B/E 5% DUE 03/15/16 NOTE	109 3217	01/06/2009	25,144 00	109 5014	04/23/2010	25,185 33	41 33	31359MH89000	L
15,000	FEDERAL NATL MTG ASSN B/E 4 375% DUE 03/15/13 NOTE	107 7202	02/05/2009	16,158 03	107 3847	04/23/2010	16,107 71	(50 33)	31359MRG0000	L
20,000	FEDERAL NATL MTG ASSN B/E 4 625% DUE 10/15/14 NOTE	109 2590	02/06/2009	21,851 80	108 5001	04/23/2010	21,700 02	(151 78)	31359MWJ8000	L
1,000	FEDERAL NATL MTG ASSN B/E 5% DUE 03/15/16 NOTE	109 6000	09/01/2009	1,096 00	109 5014	04/23/2010	1,095 01	(0 99)	31359MH89000	S
2,000	FEDERAL NATL MTG ASSN B/E 7 25% DUE 05/15/30 NOTE	131 1500	09/09/2009	2,623 00	128 0732	04/23/2010	2,561 46	(61 54)	31359MFP3000	S

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
30,000	FEDERAL NATL MTG ASSN B/E 4 625% DUE 10/15/14 NOTE	108 3021	12/29/2009	32,490 63	112 2401	07/20/2010	33,672 03	1,181 40	31359MWJ8000	S
70,000	FEDERAL NATL MTG ASSN B/E 4 625% DUE 10/15/14 NOTE	108 3021	12/29/2009	75,811 47	108 5001	04/23/2010	75,950 07	138 60	31359MWJ8000	S
2,000	FEDERAL NATL MTG ASSN B/E 1% DUE 11/23/11 NOTE	99 8238	12/30/2009	1,996 48	100 4380	05/20/2010	2,008 76	12 28	31398AZN5000	S
118,000	FEDERAL NATL MTG ASSN B/E 1% DUE 11/23/11 NOTE	99 8238	12/30/2009	117,792 08	100 6898	09/21/2010	118,813 96	1,021 88	31398AZN5000	S
200,000	FEDERAL NATL MTG ASSN B/E 4 625% DUE 10/15/14 NOTE	108 0935	12/31/2009	216,187 00	112 2401	07/20/2010	224,480 20	8,293 20	31359MWJ8000	S
85,000	FEDERAL NATL MTG ASSN B/E 4 375% DUE 03/15/13 NOTE	108 2323	02/12/2010	91,997 46	107 3847	04/23/2010	91,277 00	(720 46)	31359MRG0000	S
3,000	FEDERATED DEPT STORES INC DEL B/E 6 625% DUE 04/01/11 NOTE	1 0162	10/14/2009	3,048 64	103 5000	05/05/2010	3,105 00	56 36	31410HAS0000	S
15,000	GENERAL ELEC CAP CORP MTN BE B/E 6% DUE 06/15/12 MTN	103 4080	10/09/2007	15,511 20	109 2180	11/06/2009	16,382 70	871 50	36962GYY4000	L
6,000	GENERAL ELEC CAP CORP MTN BE B/E 6 875% DUE 01/10/39 MTN	0 9779	07/31/2009	5,867 82	109 7470	04/23/2010	6,584 82	717 00	36962G4B7000	S
100,000	GENERAL ELECTRIC CO B/E 5% DUE 02/01/13 NOTE	102 0100	08/08/2003	102,018 00	106 4900	05/26/2010	106,490 00	4,472 00	369604AY9000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
100,000	GTE NORTHWEST INC B/E 6 3% DUE 06/01/10 DEB	100 3000	05/07/2002	100,305 00	100 0000	06/01/2010	100,000 00	(305 00)	36233RAF0000	L
5,000	HEWLETT PACKARD CO B/E 5 25% DUE 03/01/12 NOTE	105 8200	02/05/2009	5,291 00	106 5700	08/25/2010	5,328 50	37 50	428236AL7000	L
2,000	HOME DEPOT INC B/E 5 875% DUE 12/16/36 NOTE	0 8523	09/27/2007	1,704 63	103 4250	09/20/2010	2,068 50	363 87	437076AS1000	L
3,000	HOME DEPOT INC B/E 5 875% DUE 12/16/36 NOTE	0 7844	08/07/2008	2,353 44	103 4250	09/20/2010	3,102 75	749 31	437076AS1000	L
6,000	HOME DEPOT INC B/E 5 875% DUE 12/16/36 NOTE	0 7073	03/27/2009	4,244 26	103 4250	09/20/2010	6,205 50	1,961 24	437076AS1000	L
3,000	HOME DEPOT INC B/E 5 875% DUE 12/16/36 NOTE	0 8900	06/30/2009	2,670 00	103 4250	09/20/2010	3,102 75	432 75	437076AS1000	L
10,000	HONEYWELL INTL INC B/E 4 25% DUE 03/01/13 NOTE	99 5430	05/06/2008	9,954 30	106 4960	03/12/2010	10,649 60	695 30	438516AW6000	L
80,000	HONEYWELL INTL INC B/E 4 25% DUE 03/01/13 NOTE	105 5200	12/29/2009	84,416 00	106 4960	03/12/2010	85,196 80	780 80	438516AW6000	S
5,000	INTERNATIONAL BUSINESS MACHS B/E 4 75% DUE 11/29/12 NOTE	106 4070	02/05/2009	5,320 35	108 6500	09/20/2010	5,432 50	112.15	459200BA8000	L
75,000	INTERNATIONAL BUSINESS MACHS B/E 4 75% DUE 11/29/12 NOTE	108 2380	12/30/2009	81,178 50	108 6500	09/20/2010	81,487 50	309 00	459200BA8000	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

C-8

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
2,000	INTL PAPER CO B/E 7 95% DUE 06/15/18 NOTE	1 0062	06/02/2008	2,012.57	117 1750	04/23/2010	2,343 50	330 93	460146CA9000	L
1,000	INTL PAPER CO B/E 7 95% DUE 06/15/18 NOTE	0 9700	06/30/2009	970 00	117 1750	04/23/2010	1,171 75	201 75	460146CA9000	S
4,000	JPMORGAN CHASE & CO FDIC TLGP B/E 2 125% DUE 12/26/12 NOTE	99 9088	06/30/2009	3,996 35	103 2600	09/21/2010	4,130 40	134 05	481247AM6000	L
2,000	KRAFT FOODS INC B/E 6 25% DUE 06/01/12 NOTE	1 0097	05/04/2006	2,019 46	108 5350	08/24/2010	2,170 70	151 24	50075NAH7000	L
1,000	KRAFT FOODS INC B/E 6 25% DUE 06/01/12 NOTE	1 0062	07/21/2006	1,006 28	108 5350	08/24/2010	1,085 35	79 07	50075NAH7000	L
1,000	KRAFT FOODS INC B/E 6 25% DUE 06/01/12 NOTE	1 0216	11/10/2006	1,021 68	108 5350	08/24/2010	1,085 35	63 67	50075NAH7000	L
10,000	LILLY ELI & CO B/E 4 2% DUE 03/06/14 NOTE	102 4080	03/13/2009	10,240 80	109 4150	09/21/2010	10,941 50	700 70	532457BE7000	L
80,000	LILLY ELI & CO B/E 4 2% DUE 03/06/14 NOTE	105 5830	12/29/2009	84,466 40	109 4150	09/21/2010	87,532 00	3,065 60	532457BE7000	S
1,000	MARATHON OIL CORP B/E 6 5% DUE 02/15/14 NOTE	1 0039	03/03/2009	1,003 96	111 3190	04/23/2010	1,113 19	109 23	565849AGI000	L
1,000	MARATHON OIL CORP B/E 6 5% DUE 02/15/14 NOTE	1 0155	03/27/2009	1,015 52	111 3190	04/23/2010	1,113 19	97 67	565849AGI000	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C-9

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1,000	MARATHON OIL CORP B/E 6 5% DUE 02/15/14 NOTE	1 0751	06/30/2009	1,075 16	111 3190	04/23/2010	1,113 19	38 03	563849AG1000	S
10,000	MCDONALDS CORP MED TERM NT BE B/E 4 3% DUE 03/01/13 MTN	99.1560	07/28/2008	9,915 50	106 8070	12/08/2009	10,680 70	765 20	58013MED2000	L
1,000	MCDONALDS CORP MED TERM NT BE B/E 5% DUE 02/01/19 MTN	102 8630	01/14/2009	1,028 63	105 9090	03/23/2010	1,059 09	30 46	58013MEG5000	L
1,000	MCDONALDS CORP MED TERM NT BE B/E 5% DUE 02/01/19 MTN	103 5390	03/27/2009	1,035 39	105 9090	03/23/2010	1,059 09	23 70	58013MEG5000	S
1,000	MCDONALDS CORP MED TERM NT BE B/E 5% DUE 02/01/19 MTN	103 5230	06/30/2009	1,035 23	105 9090	03/23/2010	1,059 09	23 86	58013MEG5000	S
6,000	NABORS INDS INC B/E 6.15% DUE 02/15/18 NOTE	0 9331	07/24/2009	5,598 60	105 8330	04/23/2010	6,349 98	751 38	629568AQ9000	S
5,000	NATIONAL RURAL UTILS COOP FIN B/E 4 375% DUE 10/01/10 COLL TR	97.4140	11/21/2006	4,870 70	100 9830	05/13/2010	5,049 15	178 45	637432DA0000	L
4,000	NISOURCE FIN CORP IN 6 125% DUE 03/01/22 B/E TXBL CALLABLE	1 0216	12/02/2009	4,086 48	104 7590	03/23/2010	4,190 36	103 88	65473QAV5000	S
10,000	NOVARTIS CAPITAL CORP B/E 4 125% DUE 02/10/14 NOTE	102 2190	03/26/2009	10,221 90	108 9860	09/21/2010	10,898 60	676 70	66989HAA6000	L
80,000	NOVARTIS CAPITAL CORP B/E 4 125% DUE 02/10/14 NOTE	105 5610	12/29/2009	84,448 80	108 9860	09/21/2010	87,188 80	2,740 00	66989HAA6000	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
5,000	ORACLE CORP B/E 5 75% DUE 04/15/18 NOTE	106 1180	02/05/2009	5,305 90	110 7620	04/23/2010	5,538 10	232 20	68389XAC9000	L
1,000	PENNEY J C CORP INC B/E 6 375% DUE 10/15/36 NOTE	92 8120	08/21/2007	928 12	92 9240	10/13/2010	929 24	1 12	708130AC3000	L
1,500	PENNEY J C CORP INC T/S 6 375% DUE 10/15/36 UNDERLY 708130AC3	0 9281	08/21/2007	1,392 18	104 0000	05/25/2010	1,560 00	167 82	70899ATJ3000	L
5,000	PEPSICO INC B/E 4 65% DUE 02/15/13 NOTE	100 0570	12/12/2007	5,002 85	107 9380	03/23/2010	5,396 90	394 05	713448BG2000	L
1,000	REED ELSEVIER CAP INC B/E 7 75% DUE 01/15/14 NOTE	1 0052	01/14/2009	1,005 25	114 1790	04/23/2010	1,141 79	136 54	758202AF2000	L
2,000	REED ELSEVIER CAP INC B/E 7 75% DUE 01/15/14 NOTE	1 0948	06/30/2009	2,189 72	114 1790	04/23/2010	2,283 58	93 86	758202AF2000	S
2,000	SBC COMMUNICATIONS INC B/E 6 15% DUE 09/15/34 NOTE	1 0130	11/10/2006	2,026 04	106 7670	09/20/2010	2,135 34	109 30	78387GAQ6000	L
3,000	SBC COMMUNICATIONS INC B/E 6 15% DUE 09/15/34 NOTE	0 8800	03/27/2009	2,640 00	106 7670	09/20/2010	3,203 01	563 01	78387GAQ6000	L
1,000	SBC COMMUNICATIONS INC B/E 6 15% DUE 09/15/34 NOTE	0 9925	06/30/2009	992 50	106 7670	09/20/2010	1,067 67	75 17	78387GAQ6000	L
3,000	SHELL INTERNATIONAL FIN BV FGN 6 375% DUE 12/15/38 NOTE	1 0383	03/27/2009	3,115 08	123 2150	09/20/2010	3,696 45	581 37	822582AD4000	L
1,000	SHELL INTERNATIONAL FIN BV FGN 6.375% DUE 12/15/38 NOTE	1 1116	06/30/2009	1,111 61	123 2150	09/20/2010	1,232 15	120 54	822582AD4000	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
90,000	SIMON PPTY GROUP LP 6.75% DUE 05/15/14 UNDERLY 828807CBI	111 5260	02/11/2010	100,373 40	116 4760	08/17/2010	104,828 40	4,455 00	82899ADB2000	S
5,000	SYSO CORP B/E 5.25% DUE 02/12/18 NOTE	100 9760	02/08/2008	5,048 80	107 3090	03/23/2010	5,365 45	316 65	871829AL1000	L
3,000	TALISMAN ENERGY INC FGN 5.85% DUE 02/01/37 NOTE	0 8966	05/31/2007	2,689 95	96 0370	04/23/2010	2,881 11	191 16	87425EAJ2000	L
1,000	TALISMAN ENERGY INC FGN 5.85% DUE 02/01/37 NOTE	0 6178	10/22/2008	617 80	96 0370	04/23/2010	960 37	342 57	87425EAJ2000	L
1,000	TALISMAN ENERGY INC FGN 5.85% DUE 02/01/37 NOTE	0 6500	03/27/2009	650 00	96 0370	04/23/2010	960 37	310 37	87425EAJ2000	L
2,000	TELECOM ITALIA CAP B/E 6% DUE 09/30/34 NOTE	0 9327	05/03/2007	1,865 44	90 0070	09/20/2010	1,800 14	(65 30)	87927VAM0000	L
3,000	TELECOM ITALIA CAP B/E 6% DUE 09/30/34 NOTE	0 6850	03/27/2009	2,055 00	90 0070	09/20/2010	2,700 21	645 21	87927VAM0000	L
1,000	TELECOM ITALIA CAP B/E 6% DUE 09/30/34 NOTE	0 8612	06/30/2009	861 25	90 0070	09/20/2010	900 07	38 82	87927VAM0000	L
25,000	THOMSON REUTERS CORP FGN 6.5% DUE 07/15/18 NOTE	113 8470	03/23/2010	28,461 75	113 4690	05/04/2010	28,367 25	(94 50)	884903BB0000	S
2,000	TIME WARNER COMPANIES INC B/E 6.625% DUE 05/15/29 DEB	1 0315	11/10/2006	2,063 08	110 4790	09/20/2010	2,209 58	146 50	TWX29	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Account Number: G52-0102638 (2)

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

C-12

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1,000	TIME WARNER COMPANIES INC B/E 6 625% DUE 05/15/29 DEB	0 8821	06/30/2009	882 10	110 4790	09/20/2010	1,104 79	222 69	TWX29	L
3,000	U S G CORP B/E 6.3% DUE 11/15/16 NOTE	0 8200	04/08/2008	2,460 00	86 5000	09/20/2010	2,595 00	135 00	903293AR9000	L
5,000	UNITED PARCEL SERVICE INC B/E 5 5% DUE 01/15/18 NOTE	101 3660	01/14/2008	5,068 30	109 7380	03/23/2010	5,486 90	418 60	911312AH9000	L
1,000	UNITED STATES TREAS BDS B/E 4 5% DUE 02/15/36 DEBENTURE	115 6000	11/28/2008	1,156 00	112 1367	09/21/2010	1,121 37	(34 63)	912810FT0000	L
9,000	UNITED STATES TREAS BDS B/E 4 5% DUE 02/15/36 DEBENTURE	122 8667	12/11/2008	11,058 00	112 1367	09/21/2010	10,092 30	(965 70)	912810FT0000	L
6,000	UNITED STATES TREAS BDS B/E 6 125% DUE 08/15/29 DEBENTURE	135 3167	03/31/2009	8,119 00	130 8672	07/27/2010	7,852 03	(266 97)	912810FJ2000	L
1,000	UNITED STATES TREAS BDS B/E 6 125% DUE 08/15/29 DEBENTURE	136 5000	04/01/2009	1,365 00	130 8672	07/27/2010	1,308 67	(56 33)	912810FJ2000	L
1,000	UNITED STATES TREAS BDS B/E 6 125% DUE 08/15/29 DEBENTURE	121 2000	06/02/2009	1,212 00	130 8672	07/27/2010	1,308 67	96 67	912810FJ2000	L
3,000	UNITED STATES TREAS BDS B/E 6 125% DUE 08/15/29 DEBENTURE	128 0000	11/30/2009	3,840 00	130 8672	07/27/2010	3,926 02	86 02	912810FJ2000	S
15,000	UNITED STATES TREAS BDS B/E 4 375% DUE 05/15/40 DEBENTURE	105 1914	07/27/2010	15,778 71	109 3125	09/21/2010	16,396 88	618 17	912810QH4000	S

Positions and/or balances marked with an * have been posted to a non-U S currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-13

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
13,000	UNITED STATES TREAS NTS B/E 3 875% DUE 02/15/13 NOTE	94 5312	08/07/2006	12,289 07	106 4375	04/23/2010	13,836 88	1,547 81	912828AU4000	L
5,000	UNITED STATES TREAS NTS B/E 4 375% DUE 12/15/10 NOTE	105 5902	05/27/2009	5,279 51	101 2188	08/27/2010	5,060 94	(218 57)	912828EQ9000	L
5,000	UNITED STATES TREAS NTS B/E 4 375% DUE 12/15/10 NOTE	104 9727	07/29/2009	5,248 63	101 2188	08/27/2010	5,060 94	(187 69)	912828EQ9000	L
6,000	UNITED STATES TREAS NTS B/E 4 375% DUE 12/15/10 NOTE	104 8867	07/30/2009	6,293 20	101 2188	08/27/2010	6,073 13	(220 07)	912828EQ9000	L
5,000	UNITED STATES TREAS NTS B/E 4 375% DUE 12/15/10 NOTE	104 9456	08/19/2009	5,247 28	101 2188	08/27/2010	5,060 94	(186 34)	912828EQ9000	L
2,000	UNITED STATES TREAS NTS B/E 2% DUE 09/30/10 NOTE	1 0116	10/01/2009	2,023 32	100 0000	09/30/2010	2,000 00	(23 32)	912828IL5000	S
7,000	UNITED STATES TREAS NTS B/E 1 125% DUE 12/15/12 NOTE	0 9922	12/15/2009	6,945 61	101 3125	09/21/2010	7,091 88	146 27	912828MB3000	S
100,000	UNITED STATES TREAS NTS B/E 3 875% DUE 02/15/13 NOTE	106 4883	12/31/2009	106,488 28	106 4375	04/23/2010	106,437 50	(50 78)	912828AU4000	S
1,000	US WEST COMMUNICATIONS INC B/E 6 875% DUE 09/15/33 DEB CALL 09/15/11 @101 171	0 9000	08/03/2007	900 00	97.3000	09/20/2010	973 00	73 00	912920AC9000	L
2,000	US WEST COMMUNICATIONS INC B/E 6 875% DUE 09/15/33 DEB CALL 09/15/11 @101 171	0 6600	03/27/2009	1,320 00	97 3000	09/20/2010	1,946 00	626 00	912920AC9000	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjclajac/Hord

LEO GOODWIN FOUNDATION INC

C-14

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1,000	US WEST COMMUNICATIONS INC B/E 6 875% DUE 09/15/33 DEB CALL 09/15/11 @101 171	0 7300	06/30/2009	730 00	97 3000	09/20/2010	973 00	243 00	912920AC9000	L
3,000	VALERO ENERGY CORP NEW B/E 6 625% DUE 06/15/37 NOTE	0 9656	04/08/2008	2,896 92	100 9780	09/20/2010	3,029 34	132 42	91913YAL4000	L
1,000	VALERO ENERGY CORP NEW B/E 6 625% DUE 06/15/37 NOTE	0 7518	04/22/2009	751 87	100 9780	09/20/2010	1,009 78	257 91	91913YAL4000	L
2,000	VALERO ENERGY CORP NEW B/E 6 625% DUE 06/15/37 NOTE	0 8815	06/30/2009	1,763 00	100 9780	09/20/2010	2,019 56	256 56	91913YAL4000	L
10,000	VERIZON COMMUNICATIONS INC B/E 4 35% DUE 02/15/13 NOTE	99 8060	02/04/2009	9,980 60	106 2320	05/27/2010	10,623 20	642 60	92343VAJ3000	L
5,000	WAL MART STORES INC B/E 4 125% DUE 07/01/10 NOTE	95 7410	08/03/2006	4,787 05	100 0000	07/01/2010	5,000 00	212 95	931142BZ5000	L
2,000	WAL MART STORES INC B/E 5 25% DUE 09/01/35 NOTE	0 9543	12/07/2006	1,908 64	104 8110	09/20/2010	2,096 22	187 58	931142CB7000	L
3,000	WAL MART STORES INC B/E 5 25% DUE 09/01/35 NOTE	0 9137	03/27/2009	2,741 25	104 8110	09/20/2010	3,144 33	403 08	931142CB7000	L
1,000	WAL MART STORES INC B/E 5 25% DUE 09/01/35 NOTE	0 9748	06/30/2009	974 80	104 8110	09/20/2010	1,048 11	73 31	931142CB7000	L
90,000	WAL MART STORES INC B/E 3% DUE 02/03/14 NOTE	101 8320	12/30/2009	91,648 80	105 2700	09/08/2010	94,743 00	3,094 20	931142CN1000	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
5,000	WALGREEN CO B/E 4 875% DUE 08/01/13 NOTE	109.9050	02/06/2009	5,295.25	108.8740	03/23/2010	5,443.70	148.45	931422AD1000	L
1,000	WHIRLPOOL CORP SERA MTN BE B/E 8 6% DUE 05/01/14 NOTE	1.0244	04/30/2009	2,048.92	115.5940	04/23/2010	1,155.94	(892.98)	96332HCB3000	S
2,000	WHIRLPOOL CORP SERA MTN BE B/E 8 6% DUE 05/01/14 NOTE	1.0515	06/30/2009	1,051.57	115.5940	04/23/2010	2,311.88	1,260.31	96332HCB3000	S
1,000	XTO ENERGY INC B/E 6 1% DUE 04/01/36 NOTE	0.9334	07/21/2006	933.43	107.7380	04/23/2010	1,077.38	143.95	98385XA15000	L
1,000	XTO ENERGY INC B/E 6 1% DUE 04/01/36 NOTE	0.9778	09/14/2006	977.89	107.7380	04/23/2010	1,077.38	99.49	98385XA15000	L
1,000	XTO ENERGY INC B/E 6 1% DUE 04/01/36 NOTE	1.0020	11/10/2006	1,002.09	107.7380	04/23/2010	1,077.38	75.29	98385XA15000	L
		TOTAL		2,378,943.07			2,432,625.32			

TOTAL ST COST	1,735,090.41	TOTAL ST PROCEEDS	1,758,865.19
TOTAL LT COST	643,852.66	TOTAL LT PROCEEDS	673,760.13
TOTAL COST	2,378,943.07	TOTAL PROCEEDS	2,432,625.32

TOTAL SHORT TERM GAIN/(LOSS)	23,774.76
TOTAL LONG TERM GAIN/(LOSS)	29,907.46

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C-16

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
TOTAL REALIZED GAIN/(LOSS)						53,682.24				

Account Number: G52-0102661 (2) - C A M E F T

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.
 Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION IN
 HELEN FURIA TTEE
 DTC # 54098
 800 CORPORATE DR STE 500
 FT LAUDERDALE FL 33334-3621
 H:954-772-6863

FA Bjelajac/Hord
 FA# M7M

C-17

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
105	ABBOTT LABS	44 6825	06/04/2009	4,691.66	54 2500	12/22/2009	5,696.10	1,004.44	ABT	S
38	ABBOTT LABS	44 7866	06/08/2009	1,701.89	54 2500	12/22/2009	2,061.45	359.56	ABT	S
61	ABBOTT LABS	46 6273	07/01/2009	2,844.27	54 2500	12/22/2009	3,309.16	464.89	ABT	S
24	ACE LTD	49 5508	08/10/2006	1,189.22	51 3523	11/06/2009	1,232.42	43.20	ACE	L
2	ACE LTD	49 2922	07/17/2008	98.58	51 3523	11/06/2009	102.70	4.12	ACE	L
24	ACE LTD	49 2922	07/17/2008	1,183.02	49 5700	12/22/2009	1,189.65	6.63	ACE	L
46	ACE LTD	48 7702	07/18/2008	2,243.43	49 5700	12/22/2009	2,280.16	36.73	ACE	L
32	ACE LTD	44 2810	01/23/2009	1,416.99	49 5700	12/22/2009	1,586.20	169.21	ACE	S
4	ACE LTD	43 9473	04/09/2009	175.79	49 5700	12/22/2009	198.27	22.48	ACE	S
26	ACE LTD	51 6026	09/17/2009	1,341.67	49 5700	12/22/2009	1,288.79	(52.88)	ACE	S
57	AETNA INC NEW	40 5718	09/16/2008	2,312.59	29 5050	11/09/2009	1,681.74	(630.86)	AET	L
13	AETNA INC NEW	38 1984	09/17/2008	496.58	29 5050	11/09/2009	383.55	(113.03)	AET	L
55	AETNA INC NEW	38 1984	09/17/2008	2,100.91	34 0500	12/22/2009	1,872.70	(228.21)	AET	L
48	AETNA INC NEW	26 9143	10/29/2008	1,291.89	34 0500	12/22/2009	1,634.36	342.46	AET	L
126	AETNA INC NEW	24 9944	11/05/2008	3,149.29	34 0500	12/22/2009	4,290.18	1,140.89	AET	L
122	ALTRIA GROUP INC	15 7422	11/26/2008	1,920.55	19 8500	12/22/2009	2,421.64	501.09	MO	L
185	ALTRIA GROUP INC	14 7324	12/05/2008	2,725.49	19 8500	12/22/2009	3,672.15	946.66	MO	L
35	ALTRIA GROUP INC	14 9725	12/09/2008	524.04	19 8500	12/22/2009	694.73	170.69	MO	L
30	ALTRIA GROUP INC	14 9790	12/18/2008	449.37	19 8500	12/22/2009	595.48	146.11	MO	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION IN

User Name Bjelajac/Hord

C-18

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
70	ALTRIA GROUP INC	17 0600	04/24/2009	1,194 20	19 8500	12/22/2009	1,389 46	195 26	MO	S
40	ALTRIA GROUP INC	16 3000	05/01/2009	652 00	19 8500	12/22/2009	793 98	141 98	MO	S
81	ALTRIA GROUP INC	17 9732	09/21/2009	1,455 83	19 8500	12/22/2009	1,607 81	151 98	MO	S
39	AMERIPRISE FINL INC	43 2211	09/19/2008	1,685 62	38 7300	12/22/2009	1,510 43	(175 19)	AMP	L
112	AMERIPRISE FINL INC	40 2602	09/26/2008	4,509 14	38 7300	12/22/2009	4,337 65	(171 49)	AMP	L
78	AMERIPRISE FINL INC	21 0973	10/31/2008	1,645 59	38 7300	12/22/2009	3,020 86	1,375 27	AMP	L
14	ANADARKO PETE CORP	55 6557	08/04/2008	779 18	62 6000	12/22/2009	876 38	97 20	APC	L
26	ANADARKO PETE CORP	59 2239	09/02/2008	1,539 82	62 6000	12/22/2009	1,627 56	87 74	APC	L
16	ANADARKO PETE CORP	37 4001	12/15/2008	598 40	62 6000	12/22/2009	1,001 57	403 17	APC	L
50	ANADARKO PETE CORP	37 2097	03/17/2009	1,860 49	62 6000	12/22/2009	3,129 92	1,269 43	APC	S
7	AOL INC	24 9352	09/17/2008	174 55	25 2829	12/16/2009	176 97	2 43	AOL	L
4	AOL INC	26 5719	09/25/2008	106 29	25 2829	12/16/2009	101 13	(5 16)	AOL	L
5	AOL INC	18 1862	10/14/2008	90 93	25 2829	12/16/2009	126 41	35 48	AOL	L
4	AOL INC	18 5529	06/03/2009	74 21	25 2829	12/16/2009	101 13	26 92	AOL	S
	AOL INC	0 0000	12/10/2009	0 00	0 0000	12/10/2009	18 99	18 99	AOL	S
510	APPLIED MATLS INC	12 7497	10/02/2009	6,502 35	13 9818	12/22/2009	7,130 53	628 18	AMAT	S
226	BANK OF NEW YORK MELLON CORP	28 6585	09/09/2009	6,476 82	27 3100	12/22/2009	6,171 89	(304 93)	BK	S
18	BANK OF NEW YORK MELLON CORP	27 6399	10/14/2009	497 52	27 3100	12/22/2009	491 57	(5 95)	BK	S
120	BAXTER INTL INC	57 6693	12/21/2009	6,920 32	58 8167	12/22/2009	7,057 81	137 49	BAX	S
43	BP PLC SPONSORED ADR	62 3716	01/22/2008	2,681 98	57 5100	12/22/2009	2,472 87	(209 11)	BP	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Account Number: G52-0102661 (2)

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION IN

C-19

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
82	BP PLC SPONSORED ADR	64.2383	02/04/2008	5,267.54	57.5100	12/22/2009	4,715.70	(551.84)	BP	L
62	BP PLC SPONSORED ADR	67.1356	04/17/2008	4,162.41	57.5100	12/22/2009	3,565.53	(596.88)	BP	L
8	BP PLC SPONSORED ADR	62.1464	07/28/2008	497.17	57.5100	12/22/2009	460.07	(37.10)	BP	
54	BP PLC SPONSORED ADR	39.6927	04/08/2009	2,143.41	57.5100	12/22/2009	3,105.46	962.05	BP	S
11	CHEVRON CORP NEW	83.3220	07/28/2008	916.55	77.7100	12/22/2009	854.79	(61.76)	CVX	L
42	CHEVRON CORP NEW	64.3159	10/15/2008	2,701.27	77.7100	12/22/2009	3,263.73	562.46	CVX	L
13	CHEVRON CORP NEW	69.3581	12/23/2008	901.66	77.7100	12/22/2009	1,010.20	108.54	CVX	S
36	CHEVRON CORP NEW	71.7768	02/11/2009	2,583.96	77.7100	12/22/2009	2,797.49	213.53	CVX	S
17	CHEVRON CORP NEW	67.0135	04/24/2009	1,139.23	77.7100	12/22/2009	1,321.04	181.81	CVX	S
22	CHEVRON CORP NEW	64.7724	07/17/2009	1,424.99	77.7100	12/22/2009	1,709.57	284.58	CVX	S
65	COLGATE PALMOLIVE CO	61.8221	05/08/2009	4,018.43	84.0396	11/20/2009	5,462.42	1,443.99	CL	S
75	COOPER INDUSTRIES PLC	37.8604	09/16/2009	2,839.53	43.0400	12/22/2009	3,227.91	388.38	CBE	
106	COOPER INDUSTRIES PLC	37.5265	09/22/2009	3,977.81	43.0400	12/22/2009	4,562.12	584.31	CBE	S
44	CVS CAREMARK CORPORATION	30.0821	10/10/2006	1,323.61	32.4100	12/22/2009	1,426.00	102.39	CVS	L
101	CVS CAREMARK CORPORATION	29.7676	11/08/2006	3,006.53	32.4100	12/22/2009	3,273.33	266.80	CVS	L
12	CVS CAREMARK CORPORATION	36.2987	08/04/2008	435.58	32.4100	12/22/2009	388.91	(46.67)	CVS	L
124	CVS CAREMARK CORPORATION	36.9730	08/25/2008	4,584.65	32.4100	12/22/2009	4,018.74	(565.92)	CVS	L
66	CVS CAREMARK CORPORATION	29.1638	11/05/2009	1,924.81	32.4100	12/22/2009	2,139.00	214.19	CVS	S
84	DEVON ENERGY CORP NEW	63.3255	08/06/2009	5,319.34	71.0435	12/22/2009	5,967.50	648.16	DVN	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION IN

C-20

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
64	DEVON ENERGY CORP NEW	62 9449	08/23/2009	4,028 47	71 0435	12/22/2009	4,546 66	518 19	DVN	S
16	DIRECTV COM CLA	21 7700	01/11/2008	348 32	33.8027	12/22/2009	540 83	192 51	DTV	L
20	DIRECTV COM CLA	26 4300	09/29/2008	528 60	33 8027	12/22/2009	676 04	147 44	DTV	L
34	DIRECTV COM CLA	26 4301	09/29/2008	898 63	31 4900	11/20/2009	1,070 63	172 00	DTV	L
2	DIRECTV COM CLA	21 4761	10/09/2008	42 95	31 4900	11/20/2009	62 98	20 03	DTV	L
133	DIRECTV COM CLA	21 4761	10/09/2008	2,856 32	33 8027	12/22/2009	4,495 64	1,639 32	DTV	L
114	DIRECTV COM CLA	20 0856	10/16/2008	2,289 76	33 8027	12/22/2009	3,853 41	1,563 65	DTV	L
45	DIRECTV COM CLA	24 4664	08/14/2009	1,100 99	33 8027	12/22/2009	1,521 08	420 09	DTV	S
111	DST SYS INC DEL	37 0023	05/13/2009	4,107 26	42 8100	12/22/2009	4,751 78	644 52	DST	S
54	ENERGY CORP NEW	68 9288	02/26/2009	3,722 15	83 2600	12/22/2009	4,495 92	773 77	ETR	S
32	ENERGY CORP NEW	67 8320	04/08/2009	2,170 62	83 2600	12/22/2009	2,664 25	493 63	ETR	S
130	FLEXTRONICS INTL LTD ORD	11 0122	08/07/2007	1,431 59	7.4618	12/22/2009	970 01	(461 58)	FLEX	L
50	FLEXTRONICS INTL LTD ORD	9 5035	03/11/2008	475 18	7 4618	12/22/2009	373 08	(102 10)	FLEX	L
80	FLEXTRONICS INTL LTD ORD	9 2835	04/15/2008	742 68	7 4618	12/22/2009	596 93	(145 75)	FLEX	L
205	FLEXTRONICS INTL LTD ORD	10 5203	05/16/2008	2,156 66	7 4618	12/22/2009	1,529 63	(627 03)	FLEX	L

Positions and/or balances marked with an * have been posted to a non-U S currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION IN

User Name Bjelajac/Hord

C-21

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
30	FLEXTRONICS INTL LTD ORD	5 4204	10/09/2008	162 61	7 4618	12/22/2009	223 85	61 24	FLEX	L
400	FLEXTRONICS INTL LTD ORD	3 7887	10/24/2008	1,515 48	7 4618	12/22/2009	2,984 64	1,469 16	FLEX	L
110	FLEXTRONICS INTL LTD ORD	6 4866	11/02/2009	713 53	7 4618	12/22/2009	820 78	107 25	FLEX	
119	HALLIBURTON CO	18 2267	11/06/2008	2,168 98	30 3800	12/22/2009	3,615 12	1,446 15	HAL	L
125	HALLIBURTON CO	18 8744	07/07/2009	2,359 30	30 3800	12/22/2009	3,797 40	1,438 10	HAL	S
85	HESS CORP	60 7863	10/22/2009	5,166 84	58 9400	12/22/2009	5,009 77	(157 07)	HES	S
30	HESS CORP	58 1570	10/27/2009	1,744 71	58 9400	12/22/2009	1,768 15	23 44	HES	S
63	HESS CORP	56 4952	10/28/2009	3,559 20	58 9400	12/22/2009	3,713 12	153 92	HES	S
70	HONEYWELL INTL INC	29 5801	07/08/2009	2,070 61	39 6300	12/22/2009	2,774 03	703 42	HON	S
102	HONEYWELL INTL INC	31 1568	07/14/2009	3,177 99	39 6300	12/22/2009	4,042 16	864 17	HON	S
52	HONEYWELL INTL INC	34 8635	08/17/2009	1,812 90	39 6300	12/22/2009	2,060 71	247 81	HON	S
50	HONEYWELL INTL INC	37 8321	10/20/2009	1,891 61	39 6300	12/22/2009	1,981 45	89 84	HON	S
48	HOSPIRA INC	32 3514	05/01/2009	1,552 87	48 9700	12/22/2009	2,350 50	797 63	HSP	S
100	HOSPIRA INC	33 3866	05/13/2009	3,338 66	48 9700	12/22/2009	4,896 87	1,558 21	HSP	S
38	INGRAM MICRO INC CL A	20 2713	11/16/2007	770 31	17 7300	12/22/2009	673 72	(96 59)	IM	L
126	INGRAM MICRO INC CL A	20 4271	11/29/2007	2,573 81	17 7300	12/22/2009	2,233 92	(339 89)	IM	L
100	INGRAM MICRO INC CL A	16 8324	04/21/2008	1,683 24	17 7300	12/22/2009	1,772 95	89 71	IM	L
30	INGRAM MICRO INC CL A	16 5159	08/24/2009	495 48	17 7300	12/22/2009	531 89	36 41	IM	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION IN

C-22

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
2	INTEL CORP	21 0705	09/08/2008	42 14	20 1801	12/22/2009	40 36	(1 78)	INTC	L
230	INTEL CORP	14 3905	10/24/2008	3,309 82	20 1801	12/22/2009	4,641 30	1,331 48	INTC	L
50	INTEL CORP	15 6100	04/24/2009	780 50	20 1801	12/22/2009	1,008 98	228 48	INTC	S
55	INTEL CORP	15 6192	05/07/2009	859 06	20 1801	12/22/2009	1,109 88	250 82	INTC	S
1	INTERPUBLIC GROUP COS INC	9 5287	05/01/2006	9 53	7 1600	12/22/2009	7 16	(2 37)	IPG	L
185	INTERPUBLIC GROUP COS INC	9 7335	05/03/2006	1,800 70	7 1600	12/22/2009	1,324 56	(476 13)	IPG	L
165	INTERPUBLIC GROUP COS INC	11 8944	05/23/2007	1,962 58	7 1600	12/22/2009	1,181 37	(781 21)	IPG	L
315	INTERPUBLIC GROUP COS INC	7 9989	12/20/2007	2,519 65	7 1600	12/22/2009	2,255 34	(264 31)	IPG	L
26	KBR INC	16 9521	05/06/2009	440 76	18 4800	12/22/2009	480 47	39 71	KBR	S
190	KBR INC	17 6542	05/11/2009	3,354 30	18 4800	12/22/2009	3,511 11	156 81	KBR	S
21	KBR INC	21 0024	10/30/2009	441 05	18 4800	12/22/2009	388 07	(52 98)	KBR	S
126	KBR INC	19 1642	11/25/2009	2,414 69	18 4800	12/22/2009	2,328 42	(86 27)	KBR	S
232	MICROSOFT CORP	19 7903	05/12/2009	4,591 35	30 8627	12/22/2009	7,159 96	2,568 61	MSFT	S
70	MICROSOFT CORP	20 1200	05/22/2009	1,408 40	30 8627	12/22/2009	2,160 33	751 93	MSFT	S
60	MICROSOFT CORP	23 1100	07/24/2009	1,386 60	30 8627	12/22/2009	1,851 71	465 11	MSFT	S
560	NEWS CORP CL A	9 8074	10/14/2008	5,492 14	13 5715	12/22/2009	7,599 83	2,107 69	NWSA	L
15	NORFOLK SOUTHERN CORP	29 9840	03/02/2009	449 76	51 7288	11/25/2009	775 91	326 15	NSC	S
17	NORFOLK SOUTHERN CORP	29 3898	03/03/2009	499 63	51 7288	11/25/2009	879 36	379 74	NSC	S
22	NORFOLK SOUTHERN CORP	29 3898	03/03/2009	646 57	52 9900	12/22/2009	1,165 75	519 17	NSC	S
45	NORFOLK SOUTHERN CORP	28 8733	03/05/2009	1,299 30	52 9900	12/22/2009	2,384 49	1,085 19	NSC	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C-23

LEO GOODWIN FOUNDATION IN

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
38	NORFOLK SOUTHERN CORP	36 3404	04/14/2009	1,380 94	52 9900	12/22/2009	2,013 57	632 63	NSC	S
58	NORFOLK SOUTHERN CORP	37 2386	05/11/2009	2,159 84	52 9900	12/22/2009	3,073 34	913 50	NSC	S
36	NORFOLK SOUTHERN CORP	39 2714	06/15/2009	1,413 77	52 9900	12/22/2009	1,907 59	493 82	NSC	S
154	PEABODY ENERGY CORP	29 2640	07/02/2009	4,506 65	43 5377	12/15/2009	6,704 63	2,197 98	BTU	
42	PHILIP MORRIS INTL INC	50 5833	04/22/2008	2,124 50	49 6900	12/22/2009	2,086 92	(37 57)	PM	L
46	PHILIP MORRIS INTL INC	53 7926	05/20/2008	2,474 46	49 6900	12/22/2009	2,285 68	(188 78)	PM	L
18	PHILIP MORRIS INTL INC	49 4954	06/10/2008	890 92	49 6900	12/22/2009	894 40	3 48	PM	L
30	PHILIP MORRIS INTL INC	50 5255	07/02/2008	1,515 77	49 6900	12/22/2009	1,490 66	(25 11)	PM	L
46	PHILIP MORRIS INTL INC	42 6601	10/16/2008	1,962 36	49 6900	12/22/2009	2,285 68	323 32	PM	L
24	PHILIP MORRIS INTL INC	36 0325	02/12/2009	864 78	49 6900	12/22/2009	1,192 53	327 75	PM	S
90	RALCORP HLDGS INC NEW	57 2175	11/23/2009	5,149 58	59 8500	12/22/2009	5,386 36	236 78	RAH	S
333	SYMANTEC CORP	15 8690	09/10/2009	5,284 38	17 7910	12/22/2009	5,924 25	639 87	SYMC	S
275	SYMANTEC CORP	15 6616	09/18/2009	4,306 94	17 7910	12/22/2009	4,892 40	585 46	SYMC	S
16	TARGET CORP	49 6577	03/14/2008	794 52	48 7200	12/22/2009	779 50	(15 02)	TGT	
24	TARGET CORP	49 6971	06/24/2008	1,192 73	48 7200	12/22/2009	1,169 25	(23 48)	TGT	L
18	TARGET CORP	43 7500	07/15/2008	787 50	48 7200	12/22/2009	876 94	89 44	TGT	L
46	TARGET CORP	44 1293	10/03/2008	2,029 95	48 7200	12/22/2009	2,241 06	211 11	TGT	L
15	TARGET CORP	28 7997	11/20/2008	432 00	48 7200	12/22/2009	730 78	298 78	TGT	L
24	TARGET CORP	30 6987	12/02/2008	736 77	48 7200	12/22/2009	1,169 25	432 48	TGT	L
6	TARGET CORP	45 6766	12/04/2009	274 06	48 7200	12/22/2009	292 31	18 25	TGT	S
73	TEVA PHARMACEUTICAL INDS LTD ADR	45 7709	09/26/2008	3,341 28	55 6927	12/22/2009	4,065 46	724 19	TEVA	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION IN

C-24

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
38	TEVA PHARMACEUTICAL INDS LTD ADR	40 0347	10/28/2008	1,521 32	55 6927	12/22/2009	2,116 27	594 95	TEVA	L
81	TIME WARNER INC COM NEW	29 4622	09/17/2008	2,386 44	29 7600	12/22/2009	2,410 50	24 06	TWX	L
48	TIME WARNER INC COM NEW	30 2747	09/25/2008	1,453 19	29 7600	12/22/2009	1,428 44	(24 74)	TWX	L
55	TIME WARNER INC COM NEW	22 6042	10/14/2008	1,243 23	29 7600	12/22/2009	1,636 76	393 52	TWX	L
45	TIME WARNER INC COM NEW	22 5475	06/03/2009	1,014 64	29 7600	12/22/2009	1,339 16	324 53	TWX	S
14	UNILEVER N V N Y SHS NEW	26 3526	10/20/2008	368 94	32 5700	12/22/2009	455 97	87 03	UN	L
138	UNILEVER N V N Y SHS NEW	23 2121	10/30/2008	3,203 27	32 5700	12/22/2009	4,494 54	1,291 27	UN	L
84	UNILEVER N V N Y SHS NEW	21 1557	02/05/2009	1,777 08	32 5700	12/22/2009	2,735 81	958 73	UN	S
116	UNION PAC CORP	57 1041	10/27/2009	6,624 08	64 9300	12/22/2009	7,531 68	907 60	UNP	S
127	WEATHERFORD INTERNATIONAL LTD REG	11 0087	12/11/2008	1,398 11	17 5600	12/22/2009	2,230 06	831 96	WFT	L
190	WEATHERFORD INTERNATIONAL LTD REG	12 9722	01/09/2009	2,464 72	17 5600	12/22/2009	3,336 31	871 59	WFT	S
126	WEATHERFORD INTERNATIONAL LTD REG	18 8443	10/27/2009	2,374 38	17 5600	12/22/2009	2,212 50	(161 88)	WFT	S
114	WEATHERFORD INTERNATIONAL LTD REG	17 7736	11/03/2009	2,026 19	17 5600	12/22/2009	2,001 79	(24 40)	WFT	S
80	WEATHERFORD INTERNATIONAL LTD REG	16 2891	11/27/2009	1,303 13	17 5600	12/22/2009	1,404 76	101 63	WFT	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION IN

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
240	WESTERN UN CO	13 4708	12/12/2008	3,232 99	19 4200	12/22/2009	4,660 68	1,427 69	WU	L
195	WESTERN UN CO	13 7865	12/23/2008	2,688 37	19 4200	12/22/2009	3,786 80	1,098 43	WU	S
95	WESTERN UN CO	12 8490	02/05/2009	1,220 66	19 4200	12/22/2009	1,844 85	624 19	WU	S
44	WILLIS GROUP HOLDINGS LTD	24 9456	01/30/2009	1,097 61	26 5700	12/22/2009	1,169 05	71 44	G96655108000	
156	WILLIS GROUP HOLDINGS LTD	23 2719	02/04/2009	3,630 42	26 5700	12/22/2009	4,144 81	514 39	G96655108000	S
39	WILLIS GROUP HOLDINGS LTD	24 9569	07/31/2009	973 32	26 5700	12/22/2009	1,036 20	62 88	G96655108000	S
31	XTO ENERGY INC	51 1208	08/28/2008	1,584 74	47 1700	12/22/2009	1,462 23	(122 51)	XTO	L
108	XTO ENERGY INC	46 4062	09/02/2008	5,011 87	47 1700	12/22/2009	5,094 23	82 36	XTO	L
24	XTO ENERGY INC	37 3977	06/22/2009	897 54	47 1700	12/22/2009	1,132 05	234 51	XTO	S
38	XTO ENERGY INC	42 0105	08/05/2009	1,596 40	47 1700	12/22/2009	1,792 41	196 01	XTO	S
15	XTO ENERGY INC	47 6293	12/14/2009	714 44	47 1700	12/22/2009	707 53	(691)	XTO	S
			TOTAL	313,268 57			365,888 07			
	TOTAL ST COST			181,408 26	TOTAL ST PROCEEDS			217,829 42		
	TOTAL LT COST			131,860 31	TOTAL LT PROCEEDS			148,058 65		
	TOTAL COST			313,268 57	TOTAL PROCEEDS			365,888 07		
	TOTAL SHORT TERM GAIN/(LOSS)					36,421 17				
	TOTAL LONG TERM GAIN/(LOSS)					16,198 37				
	TOTAL REALIZED GAIN/(LOSS)					52,619 54				

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.
 Report printed on 11/01/2010 12.16 PM

LEO GOODWIN FOUNDATION
 HELEN FURIA TTEE
 800 CORPORATE DR STE 500
 FT LAUDERDALE FL 33334-3621

FA Bjelajac/Hord
 FA# M7M

C-26

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
9,412 627	FIRST EAGLE SOGEN OVERSEAS FD A OPEN END	24 2100	02/06/2006	227,879 70	19 3800	12/22/2009	182,416 71	(45,462 99)	SGOVX	L
1,418 894	FIRST EAGLE SOGEN OVERSEAS FD A OPEN END	24 8900	12/15/2006	35,316 27	19 3800	12/22/2009	27,498 17	(7,818 10)	SGOVX	L
2,169 806	FIRST EAGLE SOGEN OVERSEAS FD A OPEN END	23 3000	12/17/2007	50,556 46	19 3800	12/22/2009	42,050 84	(8,505 62)	SGOVX	L
1,491 062	FIRST EAGLE SOGEN OVERSEAS FD A OPEN END	16 4900	12/19/2008	24,587 61	19 3800	12/22/2009	28,896 78	4,309 17	SGOVX	L
440 145	FIRST EAGLE SOGEN OVERSEAS FD A OPEN END	19 6900	12/18/2009	8,666 45	19 3800	12/22/2009	8,530 01	(136 44)	SGOVX	S
6,931 892	FMI COMMON STK FD OPEN END	25 1100	12/15/2004	173,999 88	21 4700	12/22/2009	148,827 72	(25,172 15)	FMIMX	L
1,622 915	FMI COMMON STK FD OPEN END	22 9800	10/31/2005	37,294 59	21 4700	12/22/2009	34,843 99	(2,450 60)	FMIMX	L
502 376	FMI COMMON STK FD OPEN END	24 5200	01/03/2006	12,318 26	21 4700	12/22/2009	10,786 01	(1,532 25)	FMIMX	L
1,214 689	FMI COMMON STK FD OPEN END	24 8400	10/30/2006	30,172 87	21 4700	12/22/2009	26,079 37	(4,093 50)	FMIMX	L
85 071	FMI COMMON STK FD OPEN END	25 6201	01/02/2007	2,179 53	21 4700	12/22/2009	1,826 47	(353 06)	FMIMX	L
1,875 437	FMI COMMON STK FD OPEN END	22 6300	11/01/2007	42,441 14	21 4700	12/22/2009	40,265 63	(2,175 51)	FMIMX	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
109 627	FMI COMMON STK FD OPEN END	21 5000	01/02/2008	2,356 98	21 4700	12/22/2009	2,353 69	(3 29)	FMIMX	L
912 068	FMI COMMON STK FD OPEN END	15 5300	11/03/2008	14,164 40	21 4700	12/22/2009	19,582 10	5,417 70	FMIMX	L
5 021	FMI COMMON STK FD OPEN END	15 4909	01/02/2009	77 78	21 4700	12/22/2009	107 80	30 02	FMIMX	
28 058	FMI COMMON STK FD OPEN END	20 7602	11/02/2009	582 49	21 4700	12/22/2009	602 41	19 92	FMIMX	S
10,238 94 9	PIMCO REAL RETURN BD FD A OPEN END	10 8500	08/01/2006	111,092 60	10 9300	12/22/2009	111,911 71	819 11	PRTNX	L
27 385	PIMCO REAL RETURN BD FD A OPEN END	10 9900	09/01/2006	300 96	10 9300	12/22/2009	299 32	(1 64)	PRTNX	L
43 739	PIMCO REAL RETURN BD FD A OPEN END	10 9500	10/02/2006	478 94	10 9300	12/22/2009	478 07	(0 87)	PRTNX	L
37 019	PIMCO REAL RETURN BD FD A OPEN END	10 8901	11/01/2006	403 14	10 9300	12/22/2009	404 62	1 48	PRTNX	L
7 529	PIMCO REAL RETURN BD FD A OPEN END	11 0201	12/04/2006	82 97	10 9300	12/22/2009	82 29	(0 68)	PRTNX	L
85 897	PIMCO REAL RETURN BD FD A OPEN END	10 8400	12/14/2006	931 12	10 9300	12/22/2009	938 85	7 73	PRTNX	
8 568	PIMCO REAL RETURN BD FD A OPEN END	10 6501	01/02/2007	91 25	10 9300	12/22/2009	93 65	2 40	PRTNX	L
7 398	PIMCO REAL RETURN BD FD A OPEN END	10 6394	02/01/2007	78 71	10 9300	12/22/2009	80 86	2 15	PRTNX	L
7 043	PIMCO REAL RETURN BD FD A OPEN END	10 8604	03/01/2007	76 49	10 9300	12/22/2009	76 98	0 49	PRTNX	L
8 2	PIMCO REAL RETURN BD FD A OPEN END	10 8902	04/02/2007	89 30	10 9300	12/22/2009	89 63	0 33	PRTNX	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C-28

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
44 432	PIMCO REAL RETURN BD FD A OPEN END	10 9201	05/01/2007	485 20	10 9300	12/22/2009	485 64	0 44	PRTNX	L
110 362	PIMCO REAL RETURN BD FD A OPEN END	10 6400	06/01/2007	1,174 25	10 9300	12/22/2009	1,206 26	32 01	PRTNX	L
92 603	PIMCO REAL RETURN BD FD A OPEN END	10 5200	07/02/2007	974 18	10 9300	12/22/2009	1,012 15	37 97	PRTNX	
80 028	PIMCO REAL RETURN BD FD A OPEN END	10 6700	08/01/2007	853 90	10 9300	12/22/2009	874 71	20 81	PRTNX	L
45 96	PIMCO REAL RETURN BD FD A OPEN END	10 7100	09/04/2007	492 23	10 9300	12/22/2009	502 34	10 11	PRTNX	L
22 356	PIMCO REAL RETURN BD FD A OPEN END	10 8901	10/01/2007	243 46	10 9300	12/22/2009	244 35	0 89	PRTNX	L
31 484	PIMCO REAL RETURN BD FD A OPEN END	11 0100	11/01/2007	346 64	10 9300	12/22/2009	344 12	(2 52)	PRTNX	L
47 987	PIMCO REAL RETURN BD FD A OPEN END	11 3900	12/03/2007	546 57	10 9300	12/22/2009	524 50	(22 07)	PRTNX	L
446 727	PIMCO REAL RETURN BD FD A OPEN END	10 8700	12/13/2007	4,855 92	10 9300	12/22/2009	4,882 73	26 81	PRTNX	L
46 599	PIMCO REAL RETURN BD FD A OPEN END	10 9599	01/02/2008	510 72	10 9300	12/22/2009	509 33	(1 39)	PRTNX	
51 469	PIMCO REAL RETURN BD FD A OPEN END	11 4001	02/01/2008	586 75	10 9300	12/22/2009	562 56	(24 19)	PRTNX	L
39 405	PIMCO REAL RETURN BD FD A OPEN END	11 5501	03/03/2008	455 13	10 9300	12/22/2009	430 70	(24 43)	PRTNX	L
30 851	PIMCO REAL RETURN BD FD A OPEN END	11 4499	04/01/2008	353 24	10 9300	12/22/2009	337 20	(16 04)	PRTNX	L
39 934	PIMCO REAL RETURN BD FD A OPEN END	11 2200	05/01/2008	448 06	10 9300	12/22/2009	436 48	(11 58)	PRTNX	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION

User Name Bjelajac/Hora

C-29

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
50 653	PIMCO REAL RETURN BD FD A OPEN END	11 1600	06/02/2008	565 29	10 9300	12/22/2009	553 64	(11 65)	PRTNX	L
43 353	PIMCO REAL RETURN BD FD A OPEN END	11 2299	07/01/2008	486 85	10 9300	12/22/2009	473 85	(13 00)	PRTNX	L
41 831	PIMCO REAL RETURN BD FD A OPEN END	11 1401	08/01/2008	466 00	10 9300	12/22/2009	457 21	(8 79)	PRTNX	
50 016	PIMCO REAL RETURN BD FD A OPEN END	11 1900	09/02/2008	559 68	10 9300	12/22/2009	546 67	(13 01)	PRTNX	L
51 664	PIMCO REAL RETURN BD FD A OPEN END	10 4601	10/01/2008	540 41	10 9300	12/22/2009	564 69	24 28	PRTNX	L
42 531	PIMCO REAL RETURN BD FD A OPEN END	9 5100	11/05/2008	404 47	10 9300	12/22/2009	464 86	60 39	PRTNX	L
25 012	PIMCO REAL RETURN BD FD A OPEN END	9 1800	12/02/2008	229 61	10 9300	12/22/2009	273 38	43 77	PRTNX	L
582 243	PIMCO REAL RETURN BD FD A OPEN END	9 1000	12/12/2008	5,298 41	10 9300	12/22/2009	6,363 92	1,065 51	PRTNX	L
9 64	PIMCO REAL RETURN BD FD A OPEN END	9 4502	01/05/2009	91 10	10 9300	12/22/2009	105 37	14 27	PRTNX	S
8 909	PIMCO REAL RETURN BD FD A OPEN END	9 6004	02/03/2009	85 53	10 9300	12/22/2009	97 38	11 85	PRTNX	S
8 334	PIMCO REAL RETURN BD FD A OPEN END	9 4300	03/03/2009	78 59	10 9300	12/22/2009	91 09	12 50	PRTNX	S
8 389	PIMCO REAL RETURN BD FD A OPEN END	10 0000	04/02/2009	83 89	10 9300	12/22/2009	91 69	7 80	PRTNX	S
8 75	PIMCO REAL RETURN BD FD A OPEN END	9 9303	05/04/2009	86 89	10 9300	12/22/2009	95 64	8 75	PRTNX	S
17 214	PIMCO REAL RETURN BD FD A OPEN END	10 2998	06/02/2009	177 30	10 9300	12/22/2009	188 15	10 85	PRTNX	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION

User Name Bjelajac/Hord

C-30
ACACAVE

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
54 775	PIMCO REAL RETURN BD FD A OPEN END	10 3301	07/02/2009	565 83	10 9300	12/22/2009	598 69	32 86	PRTNX	S
59 77	PIMCO REAL RETURN BD FD A OPEN END	10 4101	08/04/2009	622 21	10 9300	12/22/2009	653 29	31 08	PRTNX	S
89 732	PIMCO REAL RETURN BD FD A OPEN END	10 5000	09/02/2009	942 19	10 9300	12/22/2009	980 77	38 58	PRTNX	S
10 57	PIMCO REAL RETURN BD FD A OPEN END	10 7597	10/02/2009	113 73	10 9300	12/22/2009	115 53	1 80	PRTNX	S
26 861	PIMCO REAL RETURN BD FD A OPEN END	10 9002	11/03/2009	292 79	10 9300	12/22/2009	293 59	0 80	PRTNX	S
16 979	PIMCO REAL RETURN BD FD A OPEN END	11 1803	12/02/2009	189 83	10 9300	12/22/2009	185 58	(4 25)	PRTNX	S
			TOTAL	800,426 74			714,671 74			
	TOTAL ST COST			12,656 60	TOTAL ST PROCEEDS			12,736 99		
	TOTAL LT COST			787,770 14	TOTAL LT PROCEEDS			701,934 75		
	TOTAL COST			800,426 74	TOTAL PROCEEDS			714,671 74		
	TOTAL SHORT TERM GAIN/(LOSS)					80 39				
	TOTAL LONG TERM GAIN/(LOSS)					-85,835 38				
	TOTAL REALIZED GAIN/(LOSS)					-85,754 99				

Positions and/or balances marked with an * have been posted to a non-U S currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.
Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC
800 CORPORATE DR STE 500
FORT LAUDERDALE FL 33334
H:954-772-6863

FA Bjelajac/Hord
FA# M7M

C-31

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
123	ACERGY S A SPONSORED ADR	12 5461	09/28/2009	1,543 17	15 4278	12/22/2009	1,897 57	354 40	ACGY	S
51	AECOM TECHNOLOGY CORP DELAWARE	25 9526	02/11/2008	1,323 58	27 4200	12/22/2009	1,398 38	74 80	ACM	
39	AEROPOSTALE	14 8649	11/26/2008	579 73	33 0800	12/22/2009	1,290 08	710 35	ARO	L
11	AEROPOSTALE	34 5200	05/26/2009	379 72	33 0800	12/22/2009	363 87	(15 85)	ARO	S
22	AFFILIATED COMPUTER SERVICES CL A	43 8361	12/22/2008	964 40	55 0125	11/12/2009	1,210 24	245 84	ACS	S
5	AFFILIATED MANAGERS GROUP	131 9255	10/16/2007	659 63	64 7602	12/14/2009	323 79	(335 84)	AMG	L
5	AFFILIATED MANAGERS GROUP	87 2500	08/04/2008	436 25	64 7602	12/14/2009	323 79	(112 46)	AMG	L
12	AFFILIATED MANAGERS GROUP	87 2500	08/04/2008	1,047 00	68 4100	12/22/2009	820 90	(226 10)	AMG	L
10	AFFILIATED MANAGERS GROUP	62 7464	07/24/2009	627 46	68 4100	12/22/2009	684 08	56 62	AMG	S
8	AFFILIATED MANAGERS GROUP	63 8983	09/29/2009	511 19	68 4100	12/22/2009	547 26	36 07	AMG	S
17	AIRGAS INC	40 8892	10/14/2008	695 12	47 3800	12/22/2009	805 44	110 32	ARG	L
12	AIRGAS INC	41 0862	04/24/2009	493 03	47 3800	12/22/2009	568 54	75 51	ARG	
49	AIRTRAN HLDGS INC	3 6555	11/19/2008	179 12	4 9900	12/11/2009	244 50	65 38	AAI	L
199	AIRTRAN HLDGS INC	3 6555	11/19/2008	727 44	5 4000	12/22/2009	1,074 57	347 13	AAI	L
10	ALEXION PHARMACEUTICALS INC	41 7052	09/11/2008	417 05	43 6720	11/20/2009	436 70	19 65	ALXN	L
44	ALEXION PHARMACEUTICALS INC	41 7052	09/11/2008	1,835 03	47 9900	12/22/2009	2,111 50	276 47	ALXN	L
83	AMERICAN EAGLE OUTFITTERS NEW	16 6572	09/24/2009	1,382 54	16 4700	12/22/2009	1,366 97	(15 57)	AEO	S
59	AMERIGROUP CORP	22 8949	11/26/2008	1,350 80	26 0800	12/22/2009	1,538 68	187 88	AGP	L
50	AMETEK INC NEW	44 1087	10/10/2007	2,205 43	39 0200	12/22/2009	1,950 94	(254 50)	AME	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name: Bjelajac/Hor

C-32

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
64	ANN TAYLOR STORES CORP	15 1366	10/23/2009	968 74	14 7600	12/22/2009	944 61	(24 13)	ANN	S
37	ANSYS INC	37 3555	10/10/2007	1,382 15	42 9500	12/22/2009	1,589 11	206 95	ANSS	L
14	ANSYS INC	27 1189	04/29/2009	379 66	42 9500	12/22/2009	601 28	221 62	ANSS	S
9	ATHEROS COMMUNICATIONS INC	28 4294	10/10/2007	255 86	31 7003	12/14/2009	285 29	29 43	ATHR	L
83	ATHEROS COMMUNICATIONS INC	28 4294	10/10/2007	2,359 64	34 0400	12/22/2009	2,825 24	465 60	ATHR	L
49	AUXILIUM PHARMACEUTICALS INC	27 2721	12/19/2008	1,336 33	30 8100	12/22/2009	1,509 65	173 32	AUXL	L
11	AUXILIUM PHARMACEUTICALS INC	36 2816	09/17/2009	399 10	30 8100	12/22/2009	338 90	(60 20)	AUXL	S
92	AVAGO TECHNOLOGIES LTD	17 4993	09/24/2009	1,609 94	16 5000	12/22/2009	1,517 96	(91 98)	AVGO	S
22	BALLY TECHNOLOGIES INC	37 7638	09/28/2009	830 80	40 7200	12/22/2009	895 81	65 01	BYI	S
18	BALLY TECHNOLOGIES INC	38 9839	09/29/2009	701 71	40 7200	12/22/2009	732 94	31 23	BYI	S
88	BE AEROSPACE INC	44 1161	10/10/2007	3,882 22	23 4400	12/22/2009	2,062 66	(1,819 56)	BEAV	L
153	BROCADE COMMUNICATIONS SYS INC COM NEW	5 6265	04/29/2009	860 86	7 7026	12/22/2009	1,178 47	317 61	BRCD	S
58	BROCADE COMMUNICATIONS SYS INC COM NEW	7 1400	05/26/2009	414 12	7 7026	12/22/2009	446 74	32 62	BRCD	S
61	BROCADE COMMUNICATIONS SYS INC COM NEW	7 3300	06/02/2009	447 13	7 7026	12/22/2009	469 85	22 72	BRCD	S
57	BROOKLINE BANCORP INC DEL	10 9984	10/30/2008	626 91	9 8626	12/22/2009	562 15	(64 75)	BRKL	L
45	BROOKLINE BANCORP INC DEL	9 7687	03/26/2009	439 59	9 8626	12/22/2009	443 81	4 22	BRKL	S
97	BRUKER CORP	10 4208	09/29/2009	1,010 82	12 2900	12/22/2009	1,192 09	181 27	BRKR	S
28	BUCYRUS INTL INC NEW	48 2296	10/23/2009	1,350 43	58 4700	12/22/2009	1,637 11	286 68	BUCY	S
105	CAL DIVE INTL INC DEL	13 9403	05/23/2008	1,463 73	7 4400	12/22/2009	781 17	(682 56)	DVR	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hor

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
3	CENTRAL EUROPEAN DIST CORP	55 7066	02/01/2008	167 12	28 1500	12/22/2009	84 45	(82 67)	CEDC	L
50	CENTRAL EUROPEAN DIST CORP	10 3677	03/20/2009	518 39	28 1500	12/22/2009	1,407 46	889 07	CEDC	S
10	CEPHALON INC	70 8429	10/17/2008	708 43	59 5200	12/22/2009	595 18	(113 25)	CEPH	L
8	CEPHALON INC	58 2595	09/24/2009	466 08	59 5200	12/22/2009	476 15	10 07	CEPH	S
31	CHOICE HOTELS INTL INC	31 1074	09/17/2009	964 33	32 6100	12/22/2009	1,010 88	46 55	CHH	S
21	CHURCH & DWIGHT INC	57 6989	11/26/2008	1,211 68	60 9200	12/22/2009	1,279 29	67 61	CHD	L
3	CHURCH & DWIGHT INC	52 8200	01/23/2009	158 46	60 9200	12/22/2009	182 76	24 30	CHD	S
7	CHURCH & DWIGHT INC	50 2509	02/24/2009	351 76	60 9200	12/22/2009	426 43	74 67	CHD	S
12	CLARCOR INC	31 6140	02/13/2009	379 37	32 4712	11/20/2009	389 63	10 26	CLC	S
33	CLARCOR INC	31 6140	02/13/2009	1,043 26	33 2100	12/22/2009	1,095 90	52 64	CLC	S
45	CLIFFS NATURAL RESOURCES INC	29 1158	08/13/2009	1,310 21	45 4200	12/22/2009	2,043 84	733 63	CLF	S
175	COLDWATER CREEK INC	7 7152	08/13/2009	1,350 16	4 5000	12/22/2009	787 47	(562 69)	CWTR	S
17	COMMUNITY HEALTH SYS INC NEWCO	36 5732	12/20/2007	621 74	31 1433	12/11/2009	529 42	(92 32)	CYH	L
58	COMMUNITY HEALTH SYS INC NEWCO	36 5732	12/20/2007	2,121 25	36 1800	12/22/2009	2,098 38	(22 87)	CYH	S
56	CONCHO RES INC	21 9077	02/01/2008	1,226 83	44 8300	12/22/2009	2,510 41	1,283 58	CXO	L
38	CONCUR TECHNOLOGIES INC	34 8917	10/11/2007	1,325 88	42 7800	12/22/2009	1,625 59	299 71	CNQR	L
120	DANA HLDG CORP	9 3757	12/14/2009	1,125 08	10 4200	12/22/2009	1,250 36	125 28	DAN	S
40	DARDEN RESTAURANTS INC	37 5916	05/14/2008	1,503 66	35 9900	12/22/2009	1,439 56	(64 10)	DRI	L
30	DISCOVERY COMMUNICATNS NEW COM SER A	15 9261	03/25/2009	477 78	31 5600	12/22/2009	946 77	468 99	DISCA	S
24	DISCOVERY COMMUNICATNS NEW COM SER A	19 4020	04/29/2009	465 65	31 5600	12/22/2009	757 42	291 77	DISCA	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hoi

C-34

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
19	DOLLAR TREE INC	38 3425	03/03/2009	728 50	48 9200	12/22/2009	929 45	200 95	DLTR	S
14	DOLLAR TREE INC	43 8285	03/26/2009	613 60	48 9200	12/22/2009	684 86	71 26	DLTR	S
95	EXPEDIA INC DEL	11 3383	04/24/2009	1,077 14	26 5100	12/22/2009	2,518 38	1,441 24	EXPE	S
40	FTI CONSULTING INC	52 1874	10/10/2007	2,087 50	46 6600	12/22/2009	1,866 35	(221 15)	FCN	S
55	GAYLORD ENTMT CO NEW	17 7982	12/11/2009	978 90	19 5800	12/22/2009	1,076 87	97 97	GET	S
42	GENESEE & WYO INC CL A	26 9167	05/28/2009	1,130 50	33 9200	12/22/2009	1,424 60	294 10	GWR	S
101	GENWORTH FINL INC COM CL A	7 2999	06/29/2009	737 29	11 8100	12/22/2009	1,192 78	455 49	GNW	S
96	GENWORTH FINL INC COM CL A	8 7530	08/13/2009	840 29	11 8100	12/22/2009	1,133 73	293 44	GNW	S
13	GEO GROUP INC	28 9755	10/10/2007	376 68	21 7277	12/11/2009	282 45	(94 23)	GEO	L
81	GEO GROUP INC	28 9755	10/10/2007	2,347 02	21 3692	12/22/2009	1,730 86	(616 16)	GEO	L
21	GEO GROUP INC	12 4628	03/11/2009	261 72	21 3692	12/22/2009	448 74	187 02	GEO	S
23	GSI COMMERCE INC	10 8301	02/13/2009	249 09	23 6112	12/04/2009	543 04	293 95	GSIC	S
114	GSI COMMERCE INC	10 8301	02/13/2009	1,234 63	25 4801	12/22/2009	2,904 65	1,670 02	GSIC	S
60	HAIN CELESTIAL GROUP INC	19 8958	12/20/2005	1,193 75	15 8000	12/22/2009	947 97	(245 78)	HAIN	L
15	HAIN CELESTIAL GROUP INC	31 2700	10/16/2007	469 05	15 8000	12/22/2009	236 99	(232 06)	HAIN	L
138	HOST HOTELS & RESORTS INC REIT	8 8892	05/06/2009	1,226 71	11 7900	12/22/2009	1,626 97	400 26	HST	S
3	HOST HOTELS & RESORTS INC REIT	10 4567	12/18/2009	31 37	11 7900	12/22/2009	35 37	4 00	HST	S
52	HUMAN GENOME SCIENCES INC	16 4118	08/13/2009	853 42	30 5600	12/22/2009	1,589 08	735 66	HGSI	S
15	HUMAN GENOME SCIENCES INC	18 5709	10/08/2009	278 56	30 5600	12/22/2009	458 39	179 83	HGSI	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
17	HUMAN GENOME SCIENCES INC	27 4100	12/04/2009	465 97	30 5600	12/22/2009	519 51	53 54	HGSI	S
58	ICF INTL INC	27 8738	04/24/2009	1,616 68	26 4900	12/22/2009	1,536 38	(80 30)	ICFI	S
14	ICON PUB LTD CO SPONSORED ADR	35 8690	10/14/2008	502 16	22 2600	12/22/2009	311 63	(190 53)	ICLR	L
40	ICON PUB LTD CO SPONSORED ADR	24 4473	10/30/2008	977 89	22 2600	12/22/2009	890 37	(87 52)	ICLR	L
5	IHS INC CL A	60 1952	10/10/2007	300 98	51 9275	12/11/2009	259 63	(41 35)	IHS	L
31	IHS INC CL A	60 1952	10/10/2007	1,866 05	53 2700	12/22/2009	1,651 32	(214 73)	IHS	L
15	ILLUMINA INC	30 4050	10/10/2007	456 08	28 8300	12/22/2009	432 44	(23 64)	ILMN	L
28	ILLUMINA INC	30 4050	10/10/2007	851 34	28 8300	12/22/2009	807 21	(44 13)	ILMN	L
15	INFORMATICA CORP	16 6089	09/11/2008	249 13	23 9801	12/14/2009	359 69	110 56	INFA	L
96	INFORMATICA CORP	16 6089	09/11/2008	1,594 45	24 7900	12/22/2009	2,379 77	785 32	INFA	L
45	INVERNESS MED INNOVATIONS INC	35 6878	06/30/2009	1,605 95	43 6400	12/22/2009	1,963 74	357 79	46126P106000	S
18	INVERNESS MED INNOVATIONS INC	35 1125	08/13/2009	632 03	43 6400	12/22/2009	785 50	153 47	46126P106000	L
42	IPC THE HOSPITALIST CO INC	22 8468	05/30/2008	959 57	31 9700	12/22/2009	1,342 70	383 13	IPCM	L
14	IPC THE HOSPITALIST CO INC	31 5238	12/11/2009	441 33	31 9700	12/22/2009	447 57	6 24	IPCM	S
43	ITC HLDGS CORP	53 2785	10/10/2007	2,290 98	52 0400	12/22/2009	2,237 66	(53 32)	ITC	L
22	ITT EDUCATIONAL SERVICES INC	88 4040	06/20/2008	1,944 89	93 3900	12/22/2009	2,054 52	109 63	ESI	L
53	J CREW GROUP INC	20 2377	05/06/2009	1,072 60	44 5400	12/22/2009	2,360 55	1,287 95	JCG	S
55	KNIGHT CAP GROUP INC CL A COM	17 6786	10/27/2009	972 32	15 2800	12/22/2009	840 37	(131 95)	KCG	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hord

THE LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
33	LAMAR ADVERTISING CO CL A	29 6345	10/23/2009	977 94	31 1800	12/22/2009	1,028 91	50 97	LAMR	S
15	LIFE TIME FITNESS INC	63 6154	10/10/2007	954 23	22 9224	12/11/2009	343 83	(610 40)	LTM	L
24	LIFE TIME FITNESS INC	63 6154	10/10/2007	1,526 77	26 0700	12/22/2009	625 66	(901 11)	LTM	L
45	LIFE TIME FITNESS INC	20 8785	10/14/2008	939 53	26 0700	12/22/2009	1,173 12	233 59	LTM	L
88	LINCOLN NATL CORP IND	25 0039	08/24/2009	2,200 34	24 7700	12/22/2009	2,179 70	(20 64)	LNC	S
121	LKQ CORP	17 4406	10/10/2007	2,110 30	19 3401	12/22/2009	2,340 08	229 78	LKQX	L
141	LOUISIANA PAC CORP	7 0543	10/09/2009	994 66	6 9200	12/22/2009	975 69	(18 97)	LPX	S
32	MACERICH CO REIT	30 3292	10/27/2009	970 53	33 9300	12/22/2009	1,085 73	115 20	MAC	S
37	MASIMO CORP	26 7400	09/29/2009	989 38	28 7300	12/22/2009	1,062 98	73 60	MASI	S
11	MEDICIS PHARMACEUTICAL CORP CL A NEW	12 5718	03/20/2009	138 29	25 3618	12/14/2009	278 97	140 68	MRX	S
92	MEDICIS PHARMACEUTICAL CORP CL A NEW	12 5718	03/20/2009	1,156 60	26 9900	12/22/2009	2,483 01	1,326 41	MRX	S
46	MELLANOX TECHNOLOGIES LTD	22 3238	10/11/2007	1,026 89	18 1278	12/22/2009	833 86	(193 04)	MLNX	S
58	MELLANOX TECHNOLOGIES LTD	8 2268	01/08/2009	477 15	18 1278	12/22/2009	1,051 38	574 23	MLNX	S
16	MONOLITHIC PWR SYS INC	12 6098	02/24/2009	201 76	23 1791	12/14/2009	370 86	169 10	MPWR	S
50	MONOLITHIC PWR SYS INC	12 6098	02/24/2009	630 49	24 3900	12/22/2009	1,219 47	588 98	MPWR	S
27	MONOLITHIC PWR SYS INC	15 1056	03/11/2009	407 85	24 3900	12/22/2009	658 51	250 66	MPWR	S
93	MYLAN INC	12 8842	08/04/2008	1,198 23	18 8301	12/22/2009	1,751 15	552 92	MYL	L
41	MYLAN INC	10 4434	01/08/2009	428 18	18 8301	12/22/2009	772 01	343 83	MYL	S
53	NALCO HOLDING COMPANY	21 7904	10/08/2009	1,154 89	25 1500	12/22/2009	1,332 91	178 02	NLC	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/I lora

THE LEO GOODWIN FOUNDATION INC

C-37

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
8	NETFLIX INC	43 2357	04/24/2009	345 89	55 3701	12/04/2009	442 94	97 05	NFLX	S
13	NETFLIX INC	43 2357	04/24/2009	562 06	55 9226	12/11/2009	726 97	164 91	NFLX	S
44	NETLOGIC MICROSYSTEMS INC	44 0351	09/15/2009	1,938 42	47 5900	12/22/2009	2,093 90	155 48	NETL	S
60	NICE SYS LTD SPONSORED ADR	30 9602	02/11/2008	1,857 61	31 4200	12/22/2009	1,885 15	27 54	NICE	S
46	NOVELLUS SYS INC	21 4751	11/12/2009	987 85	23 9300	12/22/2009	1,100 75	112 90	NVLS	S
28	NOVELLUS SYS INC	24 0273	12/11/2009	672 76	23 9300	12/22/2009	670 02	(2 74)	NVLS	S
37	NUVASIVE INC	39 5642	02/13/2009	1,463 87	31 7400	12/22/2009	1,174 35	(289 52)	NUVA	S
9	NUVASIVE INC	42 4941	09/15/2009	382 45	31 7400	12/22/2009	285 65	(96 80)	NUVA	S
85	OCWEN FINL CORP COM NEW	11 5237	10/08/2009	979 51	9 4900	12/22/2009	806 62	(172 89)	OCN	S
246	ON SEMICONDUCTOR CORP	4 2958	01/08/2009	1,056 77	8 8001	12/22/2009	2,164 76	1,107 99	ONNN	S
77	OPTIMER PHARMACEUTICALS INC	11 0174	01/23/2009	848 34	10 9325	12/22/2009	841 78	(6 56)	OPTR	S
54	OPTIMER PHARMACEUTICALS INC	14 8794	06/30/2009	803 49	10 9325	12/22/2009	590 34	(213 15)	OPTR	S
11	OSI PHARMACEUTICALS INC	47 8520	09/11/2008	526 37	34 2361	12/04/2009	376 59	(149 78)	OSIP	S
34	OSI PHARMACEUTICALS INC	47 8520	09/11/2008	1,626 97	31 0900	12/22/2009	1,057 03	(569 94)	OSIP	L
63	PALL CORP	26 6228	06/30/2009	1,677 23	35 7800	12/22/2009	2,254 08	576 85	PLL	S
34	PAREXEL INTL CORP	22 8604	10/10/2007	777 25	13 9603	12/22/2009	474 64	(302 62)	PRXL	L
49	PAREXEL INTL CORP	22 8604	10/10/2007	1,120 16	13 9603	12/22/2009	684 03	(436 13)	PRXL	L
57	PAREXEL INTL CORP	9 4492	01/08/2009	538 60	13 9603	12/22/2009	795 71	257 11	PRXL	S
40	PEGASYS INC	32 0000	12/14/2009	1,280 00	33 5000	12/22/2009	1,339 96	59 96	PEGA	S
80	PEOPLES UNITED FINANCIAL INC	17 1493	02/26/2008	1,371 94	16 9526	12/22/2009	1,356 17	(15 77)	PBCT	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C-38

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
30	PEOPLES UNITED FINANCIAL INC	16 1395	09/17/2009	484 19	16 9526	12/22/2009	508 57	24 38	PBCT	S
31	PERRIGO CO	32 0463	09/24/2009	993 44	39 1900	12/22/2009	1,214 85	221 41	PRGO	S
53	PLAINS EXPL& PRODTN CO	22 8638	05/07/2009	1,211 78	26 9900	12/22/2009	1,430 43	218 65	PXP	S
5	PLATINUM UNDERWRITER HLDGS LTD	29 6551	10/30/2008	148 28	37 9800	12/22/2009	189 89	41 62	PTP	S
16	PLATINUM UNDERWRITER HLDGS LTD	29 1792	02/13/2009	466 87	37 9800	12/22/2009	607 66	140 79	PTP	S
11	PLATINUM UNDERWRITER HLDGS LTD	29 3628	06/02/2009	322 99	37 9800	12/22/2009	417 77	94 78	PTP	S
36	POLYCOM INC	26 8061	09/17/2009	965 02	22 2991	12/11/2009	802 74	(162 28)	PLCM	S
103	QUICKSILVER RESOURCES INC	11 9098	06/02/2009	1,226 71	15 5700	12/22/2009	1,603 66	376 95	KWK	S
14	RALCORP HLDGS INC NEW	60 7616	02/24/2009	850 66	59 8000	12/22/2009	837 18	(13 49)	RAH	S
11	RALCORP HLDGS INC NEW	60 0847	06/29/2009	660 93	59 8000	12/22/2009	657 78	(3 15)	RAH	S
79	RED HAT INC	17 7544	04/29/2009	1,402 60	29 5900	12/22/2009	2,337 54	934 94	RHT	S
33	ROPER INDS INC NEW	66 6482	10/10/2007	2,199 39	54 1400	12/22/2009	1,786 57	(412 82)	ROP	L
82	SAVIENT PHARMACEUTICALS INC	15 7485	07/24/2009	1,291 38	13 7001	12/22/2009	1,123 38	(168 00)	SVNT	S
24	SAVIENT PHARMACEUTICALS INC	14 7791	09/24/2009	354 70	13 7001	12/22/2009	328 79	(25 91)	SVNT	S
8	SBA COMMUNICATIONS CORP	35 1286	10/10/2007	281 03	32 9635	12/14/2009	263 70	(17 33)	SBAC	L
75	SBA COMMUNICATIONS CORP	35 1286	10/10/2007	2,634 64	34 9800	12/22/2009	2,623 43	(11 21)	SBAC	L
130	SEAGATE TECHNOLOGY	9 9131	06/24/2009	1,288 70	17 6600	12/22/2009	2,295 74	1,007 04	G7945J104000	S
173	SELECT MED HLDGS CORP	10 4079	09/28/2009	1,800 57	10 0500	12/22/2009	1,738 60	(61 97)	SEM	S
3	SILGAN HOLDINGS INC	56 5935	10/10/2007	169 78	54 4800	12/22/2009	163 44	(6 35)	SLGN	L
28	SILGAN HOLDINGS INC	55 9946	05/27/2008	1,567 85	54 4800	12/22/2009	1,525 39	(42 45)	SLGN	L
55	SIRONA DENTAL SYSTEMS INC	27 4008	08/24/2009	1,507 04	32 0900	12/22/2009	1,764 90	257 86	SIRO	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

User Name Bjelac/Hon

THE LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
119	SKILLSOFT PLC SPONSORED ADR	10 7000	11/20/2009	1,273 30	10 2826	12/22/2009	1,223 59	(49 71)	SKIL	S
149	SKYWORKS SOLUTIONS INC	9 6745	07/06/2009	1,441 50	14 1726	12/22/2009	2,111 66	670 16	SWKS	S
30	SKYWORKS SOLUTIONS INC	13 2594	09/24/2009	397 78	14 1726	12/22/2009	425 17	27 39	SWKS	S
65	SOLARWINDS INC	21 4974	12/04/2009	1,397 33	21 6100	12/22/2009	1,404 61	7 28	SWI	S
2	SOLERA HOLDINGS INC	19 4971	10/11/2007	38 99	35 4288	11/12/2009	70 86	31 86	SLH	L
8	SOLERA HOLDINGS INC	25 6405	02/11/2008	205 12	35 4288	11/12/2009	283 42	78 30	SLH	L
55	SOLERA HOLDINGS INC	25 6405	02/11/2008	1,410 23	36 2600	12/22/2009	1,994 24	584 01	SLH	L
18	SPX CORP	63 2573	09/15/2009	1,138 63	55 5900	12/22/2009	1,000 59	(138 04)	SPW	S
43	STARENT NETWORKS CORP	19 9490	04/29/2009	857 80	34 5101	12/11/2009	1,483 89	626 09	STAR	S
21	STARENT NETWORKS CORP	19 9944	05/26/2009	419 88	34 5101	12/11/2009	724 69	304 81	STAR	S
30	SUNOCO INC	26 7143	09/24/2009	801 43	25 7400	12/22/2009	772 18	(29 25)	SUN	S
46	SYKES ENTERPRISES INC	25 9811	12/11/2009	1,195 13	26 5400	12/22/2009	1,220 80	25 67	SYKE	S
15	SYKES ENTERPRISES INC	26 3213	12/14/2009	394 82	26 5400	12/22/2009	398 09	3 27	SYKE	S
16	TALEO CORP CL A	19 6894	06/30/2008	315 03	20 4724	12/14/2009	327 55	12 52	TLEO	S
54	TALEO CORP CL A	19 6894	06/30/2008	1,063 23	22 9200	12/22/2009	1,237 64	174 41	TLEO	L
31	TALEO CORP CL A	17 1218	06/02/2009	530 78	22 9200	12/22/2009	710 50	179 72	TLEO	S
11	TELETECH HOLDINGS INC	22 6661	03/28/2008	249 33	19 0991	12/11/2009	210 08	(39 25)	TTEC	L
83	TELETECH HOLDINGS INC	22 6661	03/28/2008	1,881 29	18 4767	12/18/2009	1,533 53	(347 76)	TTEC	L
50	THORATEC CORP COM NEW	20 9664	10/10/2007	1,048 32	27 1000	12/22/2009	1,354 96	306 64	THOR	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.
 Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

C-40

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
12	THORATEC CORP COM NEW	23 6537	03/25/2009	283 84	27 1000	12/22/2009	325 19	41 35	THOR	S
14	THORATEC CORP COM NEW	28 0246	09/15/2009	392 34	27 1000	12/22/2009	379 39	(12 95)	THOR	S
49	TUPPERWARE BRANDS CORP	24 0619	10/06/2008	1,179 03	46 8400	12/22/2009	2,295 10	1,116 07	TUP	L
22	UNITED THERAPEUTICS CORP DEL	44 6672	11/20/2009	982 68	51 9800	12/22/2009	1,143 53	160 85	UTHR	S
44	URBAN OUTFITTERS INC	24 1440	10/10/2007	1,062 34	33 9500	12/22/2009	1,493 76	431 42	URBN	L
24	URS CORP NEW	48 7972	07/24/2009	1,171 14	45 1600	12/22/2009	1,083 81	(87 33)	URS	S
14	URS CORP NEW	41 1761	09/24/2009	576 47	45 1600	12/22/2009	632 22	55 75	URS	S
40	VCA ANTECH INC	25 1914	09/15/2009	1,007 65	25 1600	12/22/2009	1,006 37	(1 28)	WOOF	S
25	VCA ANTECH INC	26 8263	09/29/2009	670 66	25 1600	12/22/2009	628 98	(41 68)	WOOF	S
70	VERIFONE SYS INC	15 3378	09/28/2009	1,073 65	13 5519	12/04/2009	948 60	(125 05)	PAY	S
31	VISTAPRINT N V	24 0618	02/24/2009	745 91	57 1800	12/22/2009	1,772 53	1,026 62	VPRT	S
17	VISTAPRINT N V	24 4792	03/11/2009	416 15	57 1800	12/22/2009	972 03	555 88	VPRT	S
71	WASTE CONNECTIONS INC	32 2168	07/10/2008	2,287 39	32 8900	12/22/2009	2,335 12	47 73	WCN	S
65	WOODWARD GOVERNOR CO	20 3297	05/28/2009	1,321 43	25 5400	12/22/2009	1,660 05	338 62	WGOV	S
33	WOODWARD GOVERNOR CO	18 3454	07/06/2009	605 40	25 5400	12/22/2009	842 80	237 40	WGOV	S
58	WRIGHT EXPRESS CORP	38 6070	10/10/2007	2,239 21	31 8300	12/22/2009	1,846 09	(393 12)	WXS	L
			TOTAL	184,295 98			207,486 98			
	TOTAL ST COST		99,162 12	TOTAL ST PROCEEDS			123,162 52			
	TOTAL LT COST		85,133 86	TOTAL LT PROCEEDS			84,324 46			

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

THE LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho.

C-41

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
	TOTAL COST			184,295 98	TOTAL PROCEEDS			207,486 98		
						24,000 39				
	TOTAL SHORT TERM GAIN/(LOSS)									
						-809 43				
	TOTAL LONG TERM GAIN/(LOSS)									
						23,190 96				
	TOTAL REALIZED GAIN/(LOSS)									

Account Number: G52-0121380 (2)

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
800 CORPORATE DR STE 500
FT LAUDERDALE FL 33334
H:954-772-6863

FA Bjelajac/Hord
FA# M7M

C-42

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
30	BP PLC SPONSORED ADR	69 8796	08/10/2006	2,096 39	58 9200	11/23/2009	1,767 55	(328 84)	BP	L
30	DIAGEO P L C SPON ADR NEW	58 8000	09/26/2005	1,764 00	69 1534	11/23/2009	2,074 54	310 54	DEO	L
30	KIMBERLY CLARK CORP	70 3534	10/01/2007	2,110 60	65 7965	11/23/2009	1,973 84	(136 76)	KMB	L
40	UNILEVER N V N Y SHS NEW	23 9967	09/26/2005	959 87	31 4362	11/23/2009	1,257 41	297 54	UN	L
190	3M CO	58 5980	01/07/2009	11,133 62	81 8900	12/22/2009	15,558 70	4,425 08	MMM	S
170	ALTRIA GROUP INC	16 4961	09/26/2005	2,804 34	19 8500	12/22/2009	3,374 41	570 07	MO	L
520	ALTRIA GROUP INC	21 9205	04/07/2008	11,398 66	19 8500	12/22/2009	10,321 73	(1,076 93)	MO	L
320	ASTRAZENECA PLC SPONSORED ADR	46 8299	07/10/2008	14,985 57	46 1000	12/22/2009	14,751 62	(233 95)	AZN	L
520	AT&T INC	27 1950	03/13/2006	14,141 40	27 8500	12/22/2009	14,481 62	340 22	T	L
180	BP PLC SPONSORED ADR	69 8796	08/10/2006	12,578 33	57 5200	12/22/2009	10,353 33	(2,225 00)	BP	L
60	BP PLC SPONSORED ADR	69 5144	09/28/2007	4,170 86	57 5200	12/22/2009	3,451 11	(719 75)	BP	L
460	BRISTOL MYERS SQUIBB CO	24 2000	09/26/2005	11,132 00	25 8600	12/22/2009	11,895 29	763 29	BMV	L
160	BRISTOL MYERS SQUIBB CO	18 9062	10/06/2008	3,024 99	25 8600	12/22/2009	4,137 49	1,112 50	BMV	L
200	CHEVRON CORP NEW	64 0000	09/26/2005	12,800 00	77 7300	12/22/2009	15,545 60	2,745 60	CVX	L
210	CONOCOPHILLIPS	50 9540	10/30/2009	10,700 34	50 9300	12/22/2009	10,695 02	(5 32)	COP	S
200	DIAGEO P L C SPON ADR NEW	58 8000	09/26/2005	11,760 00	68 3200	12/22/2009	13,663 64	1,903 64	DEO	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

C 43

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
230	FPL GROUP INC	49 3162	02/20/2009	11,342 73	54 0200	12/22/2009	12,424 28	1,081 55	302571104000	S
570	GENERAL ELECTRIC CO	35 1298	04/18/2007	20,023 99	15 5400	12/22/2009	8,857 57	(11,166 42)	GE	L
360	GENUINE PARTS CO	43 1293	09/26/2005	15,526 54	38 3300	12/22/2009	13,798 44	(1,728 10)	GPC	L
290	HEALTH CARE REIT INC REIT	43 9478	05/29/2007	12,744 86	45 4900	12/22/2009	13,191 76	446 90	HCN	L
360	HEINZ H J CO	35 1400	09/26/2005	12,650 40	42 6500	12/22/2009	15,353 60	2,703 20	HNZ	L
250	HSBC HLDGS PLC SPON ADR NEW	44 8034	06/02/2009	11,200 85	56 2800	12/22/2009	14,069 63	2,868 78	HBC	S
380	INTEL CORP	19 3984	11/23/2009	7,371 39	20 1801	12/22/2009	7,668 24	296 85	INTC	S
130	JOHNSON & JOHNSON	56 0283	10/10/2008	7,283 68	64 4600	12/22/2009	8,379 58	1,095 90	JNJ	L
30	KIMBERLY CLARK CORP	70 3534	10/01/2007	2,110 60	63 9100	12/22/2009	1,917 25	(193 35)	KMB	L
180	KIMBERLY CLARK CORP	70 0151	10/05/2007	12,602 72	63 9100	12/22/2009	11,503 50	(1,099 22)	KMB	L
540	KRAFT FOODS INC CL A	32 0786	06/03/2008	17,322 44	27 3100	12/22/2009	14,747 02	(2,575 42)	KFT	L
440	LILLY ELI & CO	48 2086	06/03/2008	21,211 78	36 1400	12/22/2009	15,901 19	(5,310 59)	LLY	L
210	MICROSOFT CORP	18 6830	04/20/2009	3,923 43	30 8727	12/22/2009	6,483 10	2,559 67	MSFT	S
280	MICROSOFT CORP	19 8282	05/13/2009	5,551 90	30 8727	12/22/2009	8,644 13	3,092 23	MSFT	S
760	NOKIA CORP SPONSORED ADR	15 9211	01/07/2009	12,100 04	12 5900	12/22/2009	9,568 15	(2,531 89)	NOK	S
75	PETROCHINA CO LTD SPONSORED ADR	81 2500	09/26/2005	6,093 75	116 5100	12/22/2009	8,738 02	2,644 27	PTR	L
180	PHILIP MORRIS INTL INC	37 8976	09/26/2005	6,821 57	49 6900	12/22/2009	8,943 97	2,122 40	PM	L
70	PHILIP MORRIS INTL INC	50 7491	04/07/2008	3,552 44	49 6900	12/22/2009	3,478 21	(74 23)	PM	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hor

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
170	TRAVELERS COMPANIES INC	39 5161	03/27/2009	6,717 74	48 9400	12/22/2009	8,319 58	1,601 84	TRV	S
450	UNILEVER N V N Y SHS NEW	23 9967	09/26/2005	10,798 51	32 5700	12/22/2009	14,656 12	3,857 61	UN	L
460	VERIZON COMMUNICATIONS INC	30 9871	09/26/2005	14,254 08	33 3100	12/22/2009	15,322 20	1,068 12	VZ	L
620	VODAFONE GROUP PLC NEW SPONS ADR NEW	28 0493	04/18/2007	17,390 57	22 8518	12/22/2009	14,167 75	(3,222 82)	VOD	L
	TOTAL			366,156 98			371,436 19			
	TOTAL ST COST			80,042 04				93,430 83		
	TOTAL LT COST			286,114 94				278,005 36		
	TOTAL COST			366,156 98				371,436 19		
	TOTAL SHORT TERM GAIN/(LOSS)					13,388 79				
	TOTAL LONG TERM GAIN/(LOSS)					-8,109 58				
	TOTAL REALIZED GAIN/(LOSS)					5,279 21				

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Account Number: G52-0102695 (2) - 01/01/2010

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.
Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
DTC #47374
800 CORPORATE DRIVE STE# 500
FT. LAUDERDALE FL 33334-3621
H:954-772-6863

FA Bjelajac/Hord
FA# M7M

C-45

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
646	ING GROEP N V RTS EXP 12/11/2009	0 0000	12/01/2009	0 00	0 0000	12/09/2009	0 00	0 00	456837996000	O
167	AMCOR LTD ADR NEW	19 3190	05/16/2002	3,226 27	21 3000	12/22/2009	3,557 00	✓330.73	AMCRY	L
73	AMCOR LTD ADR NEW	20 2900	01/16/2003	1,481 17	21 3000	12/22/2009	1,554 86	✓3 69	AMCRY	L
683	BANCO SANTANDER SA ADR	7 9500	06/30/2003	5,429 85	16 4300	12/22/2009	11,221 40	✓5,791 55	STD	L
59	BAYER A G SPONSORED ADR	36 9680	09/15/2005	2,181 12	78 8500	12/22/2009	4,652 02	✓2,470 91	BAYRY	L
35	BAYER A G SPONSORED ADR	47 8358	11/19/2008	1,674 25	78 8500	12/22/2009	2,759 68	✓1,085 43	BAYRY	L
78	BG GROUP PLC ADR FIN INST N	22 7500	05/16/2002	1,774 50	88 0500	12/22/2009	6,867 72	✓5,093 22	BRGY	L
45	BG GROUP PLC ADR FIN INST N	20 0000	01/16/2003	900 00	88 0500	12/22/2009	3,962 15	✓3,062 15	BRGY	●
62	BNP PARIBAS SPONSORED ADR	44 0220	01/30/2006	2,729 36	39 6700	12/22/2009	2,459 47	✓(269 89)	BNPQY	L
	BNP PARIBAS SPONSORED ADR	0 0000	11/10/2009	0 00	0 0000	11/10/2009	58 59	58 59	BNPQY	S
72	BP PLC SPONSORED ADR	41 9976	10/29/2003	3,023 83	57 5200	12/22/2009	4,141 33	✓1,117 51	BP	L
121	BP PLC SPONSORED ADR	59 9386	01/27/2005	7,252 57	57 5200	12/22/2009	6,959 74	✓(292 83)	BP	L

Positions and/or balances marked with an * have been posted to a non-U S currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
53	BP PLC SPONSORED ADR	59 6927	03/14/2007	3,163 71	57 5200	12/22/2009	3,048 48	✓ (115 23)	BP	L
50	BP PLC SPONSORED ADR	73 6137	12/17/2007	3,680 69	57 5200	12/22/2009	2,875 93	✓ (804 76)	BP	L
283	CANON INC ADR	26 6800	05/16/2002	7,561 25	41 4700	12/22/2009	11,735 71	✓ 4,174 45	CAJ	
144	CANON INC ADR	25 7067	01/16/2003	3,701 76	41 4700	12/22/2009	5,971 52	✓ 2,269 76	CAJ	L
122	CARREFOUR SA ADR	6 7606	02/17/2009	824 79	9 4700	12/22/2009	1,155 31		CRERY	S
261	CARREFOUR SA ADR	6 7296	03/16/2009	1,756 43	9 4700	12/22/2009	2,471 60	715 17	CRERY	S
295	CARREFOUR SA ADR	8 8727	07/17/2009	2,617 45	9 4700	12/22/2009	2,793 58	176 13	CRERY	S
240	CARREFOUR SA ADR	8 9371	09/03/2009	2,144 90	9 4700	12/22/2009	2,272 74	127 84	CRERY	S
47	DEUTSCHE TELEKOM AG SPONSORED ADR	16 1080	10/16/2006	757 08	14 8100	12/22/2009	696 05	✓ (61 02)	DTEGY	L
199	DEUTSCHE TELEKOM AG SPONSORED ADR	16 1372	10/23/2006	3,211 30	14 8100	12/22/2009	2,947 11	✓ (264 19)	DTEGY	
195	DEUTSCHE TELEKOM AG SPONSORED ADR	16 6050	03/09/2007	3,237 98	14 8100	12/22/2009	2,887 88	✓ (350 11)	DTEGY	L
10	DEUTSCHE TELEKOM AG SPONSORED ADR	16 2735	03/14/2007	162 74	14 8100	12/22/2009	148 10	✓ (14 64)	DTEGY	L
191	DEUTSCHE TELEKOM AG SPONSORED ADR	17 9354	04/16/2007	3,425 66	14 8100	12/22/2009	2,828 64	✓ (597 02)	DTEGY	L
211	DEUTSCHE TELEKOM AG SPONSORED ADR	17 1157	05/09/2007	3,611 41	14 8100	12/22/2009	3,124 83	✓ (486 58)	DTEGY	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

C-47

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
213	DEUTSCHE TELEKOM AG SPONSORED ADR	18 7337	07/09/2007	3,990 28	14 8100	12/22/2009	3,154 45	✓(835 83)	DTEGY	L
837	FOSTERS GROUP LTD SPON ADR NEW	2 7500	05/16/2002	2,301 75	4 8000	12/22/2009	4,017 49	✓1,715 74	FBRWY	L
713	FOSTERS GROUP LTD SPON ADR NEW	2 6900	01/16/2003	1,917 97	4 8000	12/22/2009	3,422 31	✓1,504 34	FBRWY	
63	GLAXOSMITHKLINE PLC SPONSORED ADR	47 6400	05/16/2002	3,001 32	42 3100	12/22/2009	2,665 46	✓(335 86)	GSK	L
39	GLAXOSMITHKLINE PLC SPONSORED ADR	38 6000	08/01/2002	1,505 40	42 3100	12/22/2009	1,650 05	✓144 65	GSK	L
65	GLAXOSMITHKLINE PLC SPONSORED ADR	38 8300	01/16/2003	2,523 95	42 3100	12/22/2009	2,750 08	✓226 13	GSK	L
155	GLAXOSMITHKLINE PLC SPONSORED ADR	42 1240	06/04/2004	6,529 22	42 3100	12/22/2009	6,557 88	✓28 66	GSK	L
52	GLAXOSMITHKLINE PLC SPONSORED ADR	55 1084	10/12/2006	2,865 64	42 3100	12/22/2009	2,200 06	✓(665 58)	GSK	L
38	GLAXOSMITHKLINE PLC SPONSORED ADR	53 7668	03/05/2007	2,043 14	42 3100	12/22/2009	1,607 74	✓(435 40)	GSK	L
77	GLAXOSMITHKLINE PLC SPONSORED ADR	52 2369	12/17/2007	4,022 24	42 3100	12/22/2009	3,257 78	✓(764 46)	GSK	
840	HONGKONG ELEC HOLDGS LTD SPONSORED ADR	3 8500	05/16/2002	3,234 00	5 3700	12/22/2009	4,510 68	✓1,276 68	HGKGY	L
367	HONGKONG ELEC HOLDGS LTD SPONSORED ADR	3 9000	01/16/2003	1,431 30	5 3700	12/22/2009	1,970 74	✓539 44	HGKGY	L
16	IBERDROLA SA SPON ADR	41 1984	09/15/2008	659 17	37 9500	12/22/2009	607 18	✓(51 99)	IBDRY	L
72	IBERDROLA SA SPON ADR	42 1633	09/23/2008	3,035 76	37 9500	12/22/2009	2,732 33	✓(303 43)	IBDRY	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

C-48

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
86	IBERDROLA SA SPON ADR	27 8659	10/23/2008	2,396 47	37 9500	12/22/2009	3,263 61	✓ 867 15	IBDRY	L
18	IBERDROLA SA SPON ADR	30 5269	02/02/2009	549 48	37 9500	12/22/2009	683 08	133 60	IBDRY	S
49	IBERDROLA SA SPON ADR	31 2907	02/03/2009	1,533 24	37 9500	12/22/2009	1,859 50	326 26	IBDRY	S
66	IBERDROLA SA SPON ADR	31 5111	07/15/2009	2,079 73	37 9500	12/22/2009	2,504 63	424 90	IBDRY	S
55	IBERDROLA SA SPON ADR	37 5755	09/09/2009	2,066 65	37 9500	12/22/2009	2,087 20	20 55	IBDRY	S
23	ING GROEP N V SPONSORED ADR	20 5200	09/26/2003	471 96	9 7500	12/22/2009	224 24	✓ (247 72)	ING	L
461	ING GROEP N V SPONSORED ADR	23 0165	06/04/2004	10,610 61	9 7500	12/22/2009	4,494 63	✓ (6,115 98)	ING	L
19	ING GROEP N V SPONSORED ADR	22 0095	06/17/2004	418 18	9 7500	12/22/2009	185 25	✓ (232 94)	ING	L
29	ING GROEP N V SPONSORED ADR	24 5114	09/24/2004	710 83	9 7500	12/22/2009	282 74	✓ (428 09)	ING	L
114	ING GROEP N V SPONSORED ADR	33 8553	02/29/2008	3,859 50	9 7500	12/22/2009	1,111 47	✓ (2,748 03)	ING	L
646	ING GROEP RTS CONTRA EXP 12/11/09	0 0000	01/01/1900	0 00	1 9246	12/18/2009	1,243 27	N/A	456837921000	O
6	KAO CORP SPONSORED ADR	27 2277	03/30/2006	163 37	23 2800	12/22/2009	139 68	✓ (23 69)	KCRPY	L
54	KAO CORP SPONSORED ADR	27 2277	03/30/2006	1,470 30	23 2800	12/22/2009	1,257 09	✓ (213 21)	KCRPY	L
9	KAO CORP SPONSORED ADR	28 4378	05/12/2006	255 94	23 2800	12/22/2009	209 51	✓ (46 43)	KCRPY	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

C-49

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
81	KAO CORP SPONSORED ADR	28 4378	05/12/2006	2,303 47	23 2800	12/22/2009	1,885 63	✓(417 84)	KCRPY	L
19	KAO CORP SPONSORED ADR	25 9578	05/24/2006	493 20	23 2800	12/22/2009	442 31	✓(50 89)	KCRPY	L
171	KAO CORP SPONSORED ADR	25 9578	05/24/2006	4,438 79	23 2800	12/22/2009	3,980 78	✓(458 01)	KCRPY	L
14	KAO CORP SPONSORED ADR	25 9675	11/07/2006	363 55	23 2800	12/22/2009	325 91	✓(37 64)	KCRPY	L
126	KAO CORP SPONSORED ADR	25 9675	11/07/2006	3,271 90	23 2800	12/22/2009	2,933 20	✓(338 70)	KCRPY	L
14	KAO CORP SPONSORED ADR	26 0546	07/03/2007	364 77	23 2800	12/22/2009	325 91	✓(38 86)	KCRPY	L
126	KAO CORP SPONSORED ADR	26 0546	07/03/2007	3,282 88	23 2800	12/22/2009	2,933 20	✓(349 68)	KCRPY	L
95	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	19 4600	05/16/2002	1,848 70	26 0148	12/01/2009	2,471 34	✓622 64	NABZY	L
101	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	19 4600	05/16/2002	1,965 46	26 0980	11/06/2009	2,635 83	✓670 37	NABZY	L
140	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	19 4600	05/16/2002	2,724 40	23 0400	12/22/2009	3,225 51	✓801 11	NABZY	L
20	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	18 8300	01/16/2003	376 60	23 0400	12/22/2009	460 79	✓84 19	NABZY	L
80	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	18 8300	01/16/2003	1,506 40	23 0400	12/22/2009	1,843 15	✓336 75	NABZY	L
40	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	19 7700	10/08/2004	790 80	23 0400	12/22/2009	921 58	✓130 78	NABZY	L
160	NATIONAL AUSTRALIA BK LTD SPONSORED ADR	19 7700	10/08/2004	3,163 20	23 0400	12/22/2009	3,686 30	✓523 10	NABZY	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
49	NATIONAL GRID PLC SPON ADR NEW	39 0752	12/03/2003	1,919 49	52 5300	12/22/2009	2,573 90	✓654 41	NGG	L
72	NATIONAL GRID PLC SPON ADR NEW	46 0490	11/01/2005	3,315 53	52 5300	12/22/2009	3,782 06	✓466 53	NGG	L
66	NIPPON TELEG & TEL CORP SPONSORED ADR	22 3830	11/30/2004	1,477 28	19 9300	12/22/2009	1,315 34	✓(161 93)	NTT	L
115	NIPPON TELEG & TEL CORP SPONSORED ADR	22 3830	11/30/2004	2,574 05	20 6864	11/13/2009	2,378 87	✓(195 18)	NTT	L
185	NIPPON TELEG & TEL CORP SPONSORED ADR	20 1632	04/21/2005	3,730 19	19 9300	12/22/2009	3,686 95	✓(43 24)	NTT	L
181	NIPPON TELEG & TEL CORP SPONSORED ADR	21 3677	03/08/2006	3,867 55	19 9300	12/22/2009	3,607 23	✓(260 32)	NTT	L
4	NOVARTIS A G SPONSORED ADR	58 2110	04/25/2007	232 84	54 2200	12/22/2009	216 87	✓(15 97)	NVS	L
59	NOVARTIS A G SPONSORED ADR	58 9711	04/27/2007	3,479 29	54 2200	12/22/2009	3,198 90	✓(280 39)	NVS	L
62	NOVARTIS A G SPONSORED ADR	57 3450	05/16/2007	3,555 39	54 2200	12/22/2009	3,361 55	✓(193 84)	NVS	L
67	NOVARTIS A G SPONSORED ADR	55 6744	06/07/2007	3,730 18	54 2200	12/22/2009	3,632 65	✓(97 53)	NVS	L
62	NOVARTIS A G SPONSORED ADR	55 7906	06/18/2007	3,459 02	54 2200	12/22/2009	3,361 55	✓(97 46)	NVS	L
73	NOVARTIS A G SPONSORED ADR	55 4178	07/05/2007	4,045 50	54 2200	12/22/2009	3,957 96	✓(87 54)	NVS	L
70	NOVARTIS A G SPONSORED ADR	52 1678	10/23/2007	3,651 75	54 2200	12/22/2009	3,795 30	✓(43 55)	NVS	L
159	REED ELSEVIER N V SPONS ADR NEW	32 2294	05/16/2002	5,131 16	24 2800	12/22/2009	3,860 42	✓(1,270 74)	ENL	L

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hon

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
58	REED ELSEVIER N V SPONS ADR NEW	26 6268	01/16/2003	1,544 35	24 2800	12/22/2009	1,408 20	✓(136 15)	ENL	L
70	REED ELSEVIER N V SPONS ADR NEW	32 6622	01/31/2006	2,290 25	24 2800	12/22/2009	1,699 55	✓(590 70)	ENL	L
83	REED ELSEVIER N V SPONS ADR NEW	32 7611	02/01/2006	2,722 59	24 2800	12/22/2009	2,015 19	✓(707 40)	ENL	●
102	ROYAL DUTCH SHELL PLC SPONS ADR A	41 5400	07/23/2002	4,237 08	60 0300	12/22/2009	6,122 90	✓1,885 82	RDSA	L
96	ROYAL DUTCH SHELL PLC SPONS ADR A	44 3400	01/16/2003	4,256 64	60 0300	12/22/2009	5,762 73	✓1,506 09	RDSA	L
43	ROYAL DUTCH SHELL PLC SPONS ADR A	74 2668	08/14/2007	3,193 47	60 0300	12/22/2009	2,581 22	✓(612 25)	RDSA	L
158	RWE AG SPONSORED ADR	38 2500	05/16/2002	6,043 50	96 0000	12/22/2009	15,167 61	✓9,124 11	RWEOY	L
39	RWE AG SPONSORED ADR	29 4000	01/16/2003	1,146 60	96 0000	12/22/2009	3,743 90	✓2,597 30	RWEOY	L
89	SASOL LTD SPONSORED ADR	11 7890	05/16/2002	1,049 22	37 8400	12/22/2009	3,367 67	✓2,318 45	SSL	●
107	SASOL LTD SPONSORED ADR	11 9500	01/16/2003	1,278 65	37 8400	12/22/2009	4,048 77	✓2,770 12	SSL	●
14	SEVEN & I HLDGS CO LTD ADR	50 1234	02/17/2009	701 73	40 3500	12/22/2009	564 89	(136 84)	SVNDY	S
43	SEVEN & I HLDGS CO LTD ADR	40 2243	03/12/2009	1,729 64	40 3500	12/22/2009	1,735 01	5 37	SVNDY	S
44	SEVEN & I HLDGS CO LTD ADR	44 6761	04/27/2009	1,965 75	40 3500	12/22/2009	1,775 35	(190 40)	SVNDY	S
17	SEVEN & I HLDGS CO LTD ADR	46 6669	09/10/2009	793 34	40 3500	12/22/2009	685 93	(107 41)	SVNDY	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

C-52

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
36	SEVEN & I HLDGS CO LTD ADR	47 1405	09/11/2009	1,697 06	40 3500	12/22/2009	1,452 56	(244 50)	SVNDY	S
9	SIEMENS A G SPONSORED ADR	94 6993	09/11/2008	852 29	91 1600	12/22/2009	820 42	✓(31 87)	SI	L
8	SIEMENS A G SPONSORED ADR	96 0954	09/15/2008	768 76	91 1600	12/22/2009	729 26	✓(39 50)	SI	●
31	SIEMENS A G SPONSORED ADR	100 2850	09/22/2008	3,108 84	91 1600	12/22/2009	2,825 88	✓(282 96)	SI	L
34	SIEMENS A G SPONSORED ADR	93 1379	09/29/2008	3,166 69	91 1600	12/22/2009	3,099 36	✓(67 33)	SI	L
50	SINGAPORE TELECOMMUNICATIONS LTD SPON ADR NEW06	27 0104	06/06/2008	1,350 51	21 4300	12/22/2009	1,071 47	✓(279 04)	SGAPY	L
122	SINGAPORE TELECOMMUNICATIONS LTD SPON ADR NEW06	25 7755	07/29/2008	3,144 61	21 4300	12/22/2009	2,614 39	✓(530 22)	SGAPY	L
139	SINGAPORE TELECOMMUNICATIONS LTD SPON ADR NEW06	24 6982	08/14/2008	3,433 05	21 4300	12/22/2009	2,978 69	✓(454 36)	SGAPY	L
143	SINGAPORE TELECOMMUNICATIONS LTD SPON ADR NEW06	15 9856	11/18/2008	2,285 94	21 4300	12/22/2009	3,064 41	✓778 47	SGAPY	●
543	SOCIETE GENERALE FRANCE SPONSORED ADR	14 0000	05/16/2002	7,602 00	13 6200	12/22/2009	7,395 47	✓(206 53)	SCGLY	L
165	SOCIETE GENERALE FRANCE SPONSORED ADR	12 2500	01/16/2003	2,021 25	13 6200	12/22/2009	2,247 24	✓225 99	SCGLY	L
159	SOCIETE GENERALE FRANCE SPONSORED ADR	23 6481	05/06/2008	3,760 05	13 6200	12/22/2009	2,165 52	✓(1,594 52)	SCGLY	L
27	SOCIETE GENERALE FRANCE SPONSORED ADR	8 9270	06/30/2009	241 03	13 6200	12/22/2009	367.73	126 70	SCGLY	S
	SOCIETE GENERALE FRANCE SPONSORED ADR	0 0000	11/12/2009	0 00	0 0000	11/12/2009	710 26	710 26	SCGLY	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hor

LEO GOODWIN FOUNDATION INC

C-53

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
166	STORA ENSO CORP SPON ADR REP R	13 1048	05/11/2005	2,130 61	6 9000	12/22/2009	1,145 37	✓(985 24)	SEAOY	L
68	STORA ENSO CORP SPON ADR REP R	12 9724	05/12/2005	863 78	6 9000	12/22/2009	469 19	✓(394 59)	SEAOY	L
302	STORA ENSO CORP SPON ADR REP R	13 3557	05/27/2005	3,951.94	6 9000	12/22/2009	2,083 74	✓(1,868 20)	SEAOY	L
1	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	9.7018	12/13/2007	9 75	11 3100	12/22/2009	11 31	✓1 56	TSM	L
1	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	9 7503	12/13/2007	9 77	11 3100	12/22/2009	11 31	✓1 54	TSM	L
248	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	9 7018	12/13/2007	2,417 63	11 3100	12/22/2009	2,804 81	✓887 18	TSM	L
2	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	8 7088	01/07/2008	17 42	11 3100	12/22/2009	22 62	✓5 20	TSM	L
2	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	8 7523	01/07/2008	17 50	11 3100	12/22/2009	22 62	✓12	TSM	L
393	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	8 7088	01/07/2008	3,422 09	11 3100	12/22/2009	4,444 71	✓1,022 63	TSM	L
2	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	11 0732	05/02/2008	22 09	11 3100	12/22/2009	22 62	✓10 53	TSM	L
2	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	11 1286	05/02/2008	22 23	11 3100	12/22/2009	22 62	✓10 39	TSM	L
320	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	11 0732	05/02/2008	3,534 78	11 3100	12/22/2009	3,619 10	✓84 32	TSM	L
41	TAKEDA PHARMACEUTICAL CO LTD ADR	28 7939	06/04/2008	1,180 55	20 7500	12/22/2009	850 73	✓(329 82)	TKPHY	L
121	TAKEDA PHARMACEUTICAL CO LTD ADR	27 4781	06/10/2008	3,324 85	20 7500	12/22/2009	2,510 68	✓(814 17)	TKPHY	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hoi

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Terr G/L
130	TAKEDA PHARMACEUTICAL CO LTD ADR	25 2856	06/30/2008	3,287 13	20 7500	12/22/2009	2,697 43	✓(589 70)	TKPHY	L
123	TAKEDA PHARMACEUTICAL CO LTD ADR	25 0797	09/16/2008	3,084 80	20 7500	12/22/2009	2,552 18	✓(532 62)	TKPHY	L
117	TAKEDA PHARMACEUTICAL CO LTD ADR	24 6536	09/22/2008	2,884 47	20 7500	12/22/2009	2,427 69	✓(456 78)	TKPHY	
37	TELECOM CORP NEW ZEALAND LTD SPONSORED ADR	14 2875	01/16/2003	444 81	8 7200	12/22/2009	322 63	✓(122 18)	NZT	L
215	TELECOM CORP NEW ZEALAND LTD SPONSORED ADR	15 6808	05/17/2006	2,871 67	8 7200	12/22/2009	1,874 75	✓(996 92)	NZT	L
210	TELECOM CORP NEW ZEALAND LTD SPONSORED ADR	14 3218	08/07/2006	2,530 23	8 7200	12/22/2009	1,831 15	✓(699 08)	NZT	L
31	TELEFONICA S A SPONSORED ADR	49 9166	10/27/2005	1,547 41	83 9500	12/22/2009	2,602 38	✓1,054 97	TEF	L
189	TELEFONICA S A SPONSORED ADR	45 6544	01/30/2006	8,628 68	83 9500	12/22/2009	15,866 14	✓12,237 46	TEF	L
462	TELSTRA CORP LTD SPON ADR FINAL	16 0928	10/27/2005	7,434 87	14 5900	12/22/2009	6,740 40	✓(694 47)	TLSYY	L
219	TELSTRA CORP LTD SPON ADR FINAL	12 0849	05/12/2009	2,646 59	14 5900	12/22/2009	3,195 13	548 54	TLSYY	
299	TOKIO MARINE HOLDINGS INC ADR	15 7400	06/23/2003	4,712 66	27 5600	12/22/2009	8,240 22	✓3,527 56	TKOMY	L
66	TOKIO MARINE HOLDINGS INC ADR	35 5476	01/29/2007	2,346 14	27 5600	12/22/2009	1,818 91	✓(527 23)	TKOMY	L
90	TOKIO MARINE HOLDINGS INC ADR	35 8384	03/12/2007	3,225 46	27 5600	12/22/2009	2,480 33	✓(745 12)	TKOMY	L
70	TOTAL S A SPONSORED ADR	38 0927	05/16/2002	2,666 49	63 7100	12/22/2009	4,459 58	✓1,793 10	TOT	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
110	TOTAL SA SPONSORED ADR	34,386.5	01/16/2003	3,782.51	63,710.00	12/22/2009	7,007.92	3,225.40	TOT	L
80	TOTAL SA SPONSORED ADR	62,051.4	02/28/2006	4,964.11	63,710.00	12/22/2009	5,096.67	132.56	TOT	L
61	TOTAL SA SPONSORED ADR	66,350.8	01/17/2007	4,047.40	63,710.00	12/22/2009	3,886.21	161.19	TOT	
70	TOYOTA MOTOR CORP SP ADR REP2COM	77,630.0	10/08/2004	5,434.10	83,205.6	12/22/2009	5,824.24	390.14	TM	L
6	TOYOTA MOTOR CORP SP ADR REP2COM	75,896.1	10/27/2004	455.38	83,205.6	12/22/2009	499.22	43.84	TM	L
52	TOYOTA MOTOR CORP SP ADR REP2COM	73,824.2	04/15/2005	3,838.86	83,205.6	12/22/2009	4,326.58	487.72	TM	L
384	UNILEVER PLC SPON ADR NEW	22,996.7	01/24/2006	8,844.02	31,840.0	12/22/2009	12,226.24	3,382.23	UL	L
80	UNILEVER PLC SPON ADR NEW	23,538.3	03/01/2006	1,864.24	31,840.0	12/22/2009	2,547.13	682.89	UL	L
144	UNILEVER PLC SPON ADR NEW	23,232.2	03/07/2006	3,345.44	31,840.0	12/22/2009	4,584.84	239.40	UL	L
135	UNILEVER PLC SPON ADR NEW	23,613.8	05/12/2006	3,187.88	31,840.0	12/22/2009	4,298.29	1,110.41	UL	
111	UNITED OVERSEAS BK LTD SPONSORED ADR	21,145.4	10/09/2006	2,347.14	27,350.0	12/22/2009	3,035.77	688.63	UOVEY	L
153	UNITED OVERSEAS BK LTD SPONSORED ADR	16,053.3	11/13/2008	2,456.15	27,350.0	12/22/2009	4,184.44	1,728.28	UOVEY	L
397	UPM KYMMENE CORP SPONSORED ADR	19,280.0	05/16/2002	7,654.16	11,650.0	12/22/2009	4,624.93	3,029.23	UPMKY	L
62	UPM KYMMENE CORP SPONSORED ADR	17,420.0	01/16/2003	1,080.04	11,650.0	12/22/2009	722.28	357.76	UPMKY	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

User Name Belajac/Hor

LEO GOODWIN FOUNDATION INC

[illegible]

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

Positions and/or balances marked with an * have been posted to a non-US currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Account Number: G52-0102695 (2)

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Ho

LEO GOODWIN FOUNDATION INC

6-57

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
TOTAL REALIZED GAIN/(LOSS)								48,241.32		

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
800 CORPORATE DR STE 500
FORT LAUDERDALE FL 33334-3621
11-954-772-6863

FA Bjelajac/Hord
FA# M7M

28,473,333 C-58

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
40	AGILENT TECHNOLOGIES INC	33 4733	01/18/2008	1,338 93	30 2200	12/22/2009	1,208 77	✓(130 16)	A	L
55	AGILENT TECHNOLOGIES INC	35 9483	07/28/2008	1,977 16	30 2200	12/22/2009	1,662 06	✓(315 11)	A	●
80	AGILENT TECHNOLOGIES INC	15 2500	02/20/2009	1,220 00	30 2200	12/22/2009	2,417 54	✓1,197 54	A	S
257	AMERICAN EXPRESS CO	39 7987	08/22/2003	10,228 25	41 2800	12/22/2009	10,608 69	~380 43	AXP	L
115	AMERICAN EXPRESS CO	49 3400	11/04/2005	5,674 10	41 2800	12/22/2009	4,747 08	✓(927 02)	AXP	L
30	AMERICAN EXPRESS CO	34 1000	09/29/2009	1,023 00	41 2800	12/22/2009	1,238 37	~215 37	AXP	S
7	AMERIPRISE FINL INC	28 3372	08/22/2003	198 36	38 7300	12/22/2009	271 10	~72 74	AMP	L
70	AMERIPRISE FINL INC	37 1300	11/04/2005	2,599 10	38 7300	12/22/2009	2,711 02	~111 92	AMP	L
5	AMERIPRISE FINL INC	36 9623	11/09/2005	184 81	38 7300	12/22/2009	193 64	~8 83	AMP	L
70	BANK OF NEW YORK MELLON CORP	45 0500	02/26/2007	3,153 50	27 3100	12/22/2009	1,911 65	✓(1,241 85)	BK	L
61	BANK OF NEW YORK MELLON CORP	46 1358	02/28/2008	2,814 29	27 3100	12/22/2009	1,665 87	✓(1,148 42)	BK	L
80	BANK OF NEW YORK MELLON CORP	26 9008	11/19/2008	2,152 06	27 3100	12/22/2009	2,184 74	~32 68	BK	●
40	BANK OF NEW YORK MELLON CORP	29 4100	09/29/2009	1,176 40	27 3100	12/22/2009	1,092 37	✓(84 03)	BK	S
90	BANK OF NEW YORK MELLON CORP	26 6145	12/17/2009	2,395 31	27 3100	12/22/2009	2,457 84	✓62 53	BK	S
40	BECTON DICKINSON & CO	67 1873	06/16/2009	2,687 50	77 9400	12/22/2009	3,117 51	✓430 01	BDX	S
50	BED BATH & BEYOND INC	31 2969	02/04/2008	1,564 85	39 3300	12/22/2009	1,956 44	~401 60	BBBY	L
6	BERKSHIRE HATHAWAY INC DEL CL B	2,540 1400	08/22/2003	15,240 84	3,297 0000	12/22/2009	19,781 49	~4,540 65	084670207000	L
125	BLOCK H & R INC	23 9650	11/01/2004	2,995 63	20 5800	12/22/2009	2,572 43	✓(423 20)	HRB	L
108	CANADIAN NAT RES LTD	67 9726	12/12/2007	7,341 04	68 9500	12/22/2009	7,446 40	~105 36	CNQ	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
35	CANADIAN NAT RES LTD	66 6800	09/29/2009	2,333 80	68 9500	12/22/2009	2,413 19	✓ 79 39	CNQ	S
85	CARDINAL HEALTH INC	32 2165	07/28/2004	2,738 40	32 5900	12/22/2009	2,770 07	✓ 31 67	CAH	L
42	CAREFUSION CORP	25 0013	07/28/2004	1,050 05	26 0000	12/22/2009	1,091 97	✓ 41 92	CFN	L
50	COMCAST CORP NEW CL A SPL	19 2533	11/01/2004	962 66	16 3901	12/22/2009	819 48	✓ 143 18	CMCSK	L
180	COMCAST CORP NEW CL A SPL	22 2025	03/09/2005	3,996 47	16 3901	12/22/2009	2,950 14	✓ 1,046 33	CMCSK	L
90	COMCAST CORP NEW CL A SPL	17 8733	11/04/2005	1,608 60	16 3901	12/22/2009	1,475 07	✓ (133 53)	CMCSK	L
50	CONOCOPHILLIPS	58 9685	09/14/2006	2,948 43	50 9100	12/22/2009	2,545 43	✓ (403 00)	COP	L
240	COSTCO WHSL CORP NEW	31 0400	08/22/2003	7,449 60	59 5413	12/22/2009	14,289 54	✓ 6,839 94	COST	L
70	COSTCO WHSL CORP NEW	48 8000	11/04/2005	3,416 00	59 5413	12/22/2009	4,167 78	✓ 751 78	COST	L
148	CVS CAREMARK CORPORATION	30 6807	01/03/2006	4,549 83	32 4100	12/22/2009	4,796 55	✓ 246 72	CVS	L
120	CVS CAREMARK CORPORATION	27 1905	11/26/2008	3,262 86	32 4100	12/22/2009	3,889 10	✓ 626 24	CVS	L
150	DEVON ENERGY CORP NEW	26 2000	08/22/2003	3,930 00	71 0000	12/22/2009	10,649 72	✓ 6,719 72	DVN	L
10	DEVON ENERGY CORP NEW	45 9834	02/24/2009	459 83	71 0000	12/22/2009	709 98	✓ 250 15	DVN	S
30	DEVON ENERGY CORP NEW	67 7400	09/29/2009	2,032 20	71 0000	12/22/2009	2,129 94	✓ 97 74	DVN	S
75	DIAGEO P L C SPON ADR NEW	43 7300	08/22/2003	3,279 75	68 3200	12/22/2009	5,123 86	✓ 1,844 11	DEO	L
105	DISNEY WALT CO COM DISNEY	32 4300	12/22/2009	3,405 15	32 4000	12/22/2009	3,401 91	✓ (3 24)	DIS	S
43	DUN & BRADSTREET CORP DEL NEW	42 3300	08/22/2003	1,820 19	82 5200	12/22/2009	3,548 26	✓ 1,728 07	DNB	L
123	EOG RES INC	20 8600	08/22/2003	2,565 78	96 8000	12/22/2009	11,906 09	✓ 9,340 31	EOG	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/tor

LEO GOODWIN FOUNDATION INC

C-60

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
25	EOG RES INC	81 6000	09/29/2009	2,040 00	96 8000	12/22/2009	2,419 94	✓ 379 94	EOG	S
22	EXPRESS SCRIPTS INC	65 6209	02/25/2008	1,443 66	87 7200	12/22/2009	1,929 79	✓ 486 13	ESRX	L
2	GOOGLE INC CL A	348 3440	10/23/2008	696 69	599 7700	12/22/2009	1,199 51	✓ 502 82	GOOG	L
5	GOOGLE INC CL A	290 9140	01/15/2009	1,454 57	599 7700	12/22/2009	2,998 77	✓ 1,544 20	GOOG	S
5	GOOGLE INC CL A	498 1000	09/29/2009	2,490 50	599 7700	12/22/2009	2,998 77	✓ 508 27	GOOG	S
5	GRUPO TELEVISIA SA DE CV SP ADR REP ORD	21 3777	02/06/2008	106 89	20 7900	12/22/2009	103 95	✓ 294	TV	L
190	GRUPO TELEVISIA SA DE CV SP ADR REP ORD	13 9145	11/18/2008	2,643 76	20 7900	12/22/2009	3,949 99	✓ 1,306 24	TV	L
45	HARLEY DAVIDSON INC	48 9873	06/08/2005	2,204 43	25 8500	12/22/2009	1,163 22	✓ 1,041 21	HOG	L
90	HARLEY DAVIDSON INC	48 6723	10/18/2005	4,380 51	25 8500	12/22/2009	2,326 44	✓ 2,054 07	HOG	L
45	HARLEY DAVIDSON INC	22 8900	09/29/2009	1,030 05	25 8500	12/22/2009	1,163 22	✓ 133 17	HOG	S
35	HEWLETT PACKARD CO	44 5105	01/16/2008	1,557 87	52 5300	12/22/2009	1,838 50	✓ 280 63	HPQ	L
70	HEWLETT PACKARD CO	32 3988	10/24/2008	2,267 92	52 5300	12/22/2009	3,677 00	✓ 1,409 08	HPQ	L
25	HEWLETT PACKARD CO	47 6000	09/29/2009	1,190 00	52 5300	12/22/2009	1,313 22	✓ 123 22	HPQ	S
12	IRON MTN INC	20 3331	11/22/2004	246 34	23 4300	12/22/2009	281 15	✓ 34 81	IRM	L
218	IRON MTN INC	18 9945	02/04/2005	4,131 30	23 4300	12/22/2009	5,107 61	✓ 976 31	IRM	L
45	IRON MTN INC	27 2500	09/29/2009	1,226 25	23 4300	12/22/2009	1,054 32	✓ 171 93	IRM	S
30	JOHNSON & JOHNSON	64 1051	10/21/2008	1,923 15	64 4600	12/22/2009	1,933 75	✓ 10 59	JNJ	L
30	JOHNSON & JOHNSON	47 9675	03/05/2009	1,439 02	64 4600	12/22/2009	1,933 75	✓ 494 73	JNJ	S
55	JOHNSON & JOHNSON	60 7738	09/24/2009	3,342 56	64 4600	12/22/2009	3,545 20	✓ 202 64	JNJ	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION INC

User Name

Bjelajac/Hor

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
51	JPMORGAN CHASE & CO COM	37 4000	02/03/2005	1,907 40	41 8200	12/22/2009	2,132 76	~ 225 36	JPM	L
160	JPMORGAN CHASE & CO COM	37 2000	11/04/2005	5,952 00	41 8200	12/22/2009	6,691 03	~ 739 03	JPM	L
267	LOEWS CORP	13 8054	08/22/2003	3,686 04	36 1800	12/22/2009	9,659 80	~ 5,973 76	L	L
25	LOEWS CORP	34 3900	09/29/2009	859 75	36 1800	12/22/2009	904 48	~ 44 73	L	S
70	MERCK & CO INC NEW	26 6431	03/18/2009	1,865 02	37 7478	12/22/2009	2,642 28	~ 777 26	MRK	S
40	MERCK & CO INC NEW	31 9000	09/29/2009	1,276 00	37 7478	12/22/2009	1,509 87	~ 233 87	MRK	S
145	MERCK & CO INC NEW	30 6700	11/05/2009	4,447 15	37 7478	12/22/2009	5,473 30	~ 1,026 15	MRK	S
	MERCK & CO INC NEW	0 0000	11/12/2009	0 00	0 0000	11/12/2009	28 68	~ 28 68	MRK	S
25	MICROSOFT CORP	27 2900	08/03/2005	682 25	30 8727	12/22/2009	771 80	~ 89 55	MSFT	L
195	MICROSOFT CORP	27 1872	03/30/2006	5,301 50	30 8727	12/22/2009	6,020 02	~ 718 51	MSFT	L
55	MICROSOFT CORP	27 7399	03/07/2008	1,525 69	30 8727	12/22/2009	1,697 95	~ 172 27	MSFT	L
115	MOODY'S CORP	25 7750	08/22/2003	2,964 13	27 2200	12/22/2009	3,130 21	~ 166 09	MCO	L
205	NEWS CORP CL A	16 8800	04/06/2006	3,460 40	13 5605	12/22/2009	2,779 82	~ 680 58	NWSA	L
145	NEWS CORP CL A	18 5791	09/13/2006	2,693 97	13 5605	12/22/2009	1,966 22	~ 727 75	NWSA	L
95	NEWS CORP CL A	11 9000	09/29/2009	1,130 50	13 5605	12/22/2009	1,288 21	~ 157 71	NWSA	S
135	OCCIDENTAL PETE CORP DEL	24 9984	08/19/2004	3,374 78	81 7100	12/22/2009	11,030 56	~ 7,655 78	OXY	L
80	OCCIDENTAL PETE CORP DEL	27 5450	11/01/2004	2,203 60	81 7100	12/22/2009	6,536 63	~ 4,333 03	OXY	L
15	OCCIDENTAL PETE CORP DEL	78 2600	09/29/2009	1,173 90	81 7100	12/22/2009	1,225 62	~ 51 72	OXY	S
205	PFIZER INC	11 9546	03/03/2009	2,450 69	18 6800	12/22/2009	3,829 30	~ 1,378 61	PFE	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

User Name Bjelajac/Hoi

LEO GOODWIN FOUNDATION INC

C-62

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
80	PHILIP MORRIS INTL INC	20 6260	08/22/2003	1,650 08	49 6400	12/22/2009	3,971 09	~ 2,321 01	PM	L
70	PROCTER & GAMBLE CO	55 7921	05/08/2006	3,905 45	61 3400	12/22/2009	4,293 69	~ 388 24	PG	L
30	PROCTER & GAMBLE CO	57 9100	09/29/2009	1,737 30	61 3400	12/22/2009	1,840 15	✓ 102 85	PG	S
440	PROGRESSIVE CORP OHIO	17 3175	08/22/2003	7,619 70	17 6900	12/22/2009	7,783 40	~ 163 70	PGR	●
65	PROGRESSIVE CORP OHIO	16 7500	09/29/2009	1,088 75	17 6900	12/22/2009	1,149 82	✓ 61 07	PGR	S
188	SCHERING PLOUGH CORP	14 7260	11/07/2008	2,768 49	28 1900	11/05/2009	5,299 72	✓ 2,531 23	SGP	S
65	SCHERING PLOUGH CORP	16 9259	02/27/2009	1,100 18	28 1900	11/05/2009	1,832 35	✓ 732 17	SGP	S
325	SEALED AIR CORP NEW	24 1150	08/22/2003	7,837 38	21 7800	12/22/2009	7,078 32	~ (759 06)	SEE	L
85	SEALED AIR CORP NEW	19 9700	09/29/2009	1,697 45	21 7800	12/22/2009	1,851 25	✓ 153 80	SEE	S
116	TEXAS INSTRS INC	32 2389	05/28/2008	3,739 71	25 6800	12/22/2009	2,978 80	✓ (760 91)	TXN	L
50	TEXAS INSTRS INC	24 7777	08/07/2008	1,238 89	25 6800	12/22/2009	1,283 97	~ 45 08	TXN	L
120	TEXAS INSTRS INC	18 6600	11/05/2008	2,239 20	25 6800	12/22/2009	3,081 52	~ 842 32	TXN	L
40	TEXAS INSTRS INC	23 5800	09/29/2009	943 20	25 6800	12/22/2009	1,027 17	✓ 83 97	TXN	S
66	TRANSATLANTIC HLDGS INC	56 0880	08/22/2003	3,706 30	52 4300	12/22/2009	3,460 28	✓ (246 02)	TRH	●
10	TRANSATLANTIC HLDGS INC	27 0611	03/05/2009	270 61	52 4300	12/22/2009	524 29	✓ 253 68	TRH	S
33	TRANSOCEAN LTD REG SHS	80 5916	06/06/2006	2,659 52	83 9200	12/22/2009	2,769 28	~ 109 76	RIG	L
10	TRANSOCEAN LTD REG SHS	85 7100	09/29/2009	857 10	83 9200	12/22/2009	839 18	✓ (17 92)	RIG	S
55	TYCO INTERNATIONAL LTD	42 1439	11/04/2005	2,317 91	35 1900	12/22/2009	1,935 40	✓ (382 51)	TYC	L
75	UNITEDHEALTH GROUP INC	50 9965	10/20/2006	3,824 74	32 0027	12/16/2009	2,400 14	✓ (1,424 60)	UNH	L
50	UNITEDHEALTH GROUP INC	25 6500	09/29/2009	1,282 50	32 0027	12/16/2009	1,600 09	✓ 317 59	UNH	S

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/H

C-63

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Ter G/
45	VULCAN MATLS CO	41.1700	08/22/2003	1,852.65	57.8100	12/22/2009	2,376.38	523.73	VMC	L
160	WELLS FARGO & CO NEW	24.5850	08/22/2003	3,933.60	27.0100	12/22/2009	4,321.49	387.89	WFC	L
190	WELLS FARGO & CO NEW	16.1098	01/26/2009	3,060.86	27.0100	12/22/2009	5,131.77	2,070.91	WFC	S
260	WELLS FARGO & CO NEW	8.6000	03/06/2009	2,236.00	27.0100	12/22/2009	7,022.42	4,786.42	WFC	
	TOTAL			264,112.54			334,041.70			
	TOTAL ST COST			61,191.59	TOTAL ST PROCEEDS			81,425.79		
	TOTAL LT COST			202,920.95	TOTAL LT PROCEEDS			252,615.91		
	TOTAL COST			264,112.54	TOTAL PROCEEDS			334,041.70		
	TOTAL SHORT TERM GAIN/(LOSS)					20,234.20				
						(LOSS) (277.12)				
						GAIN 20,511.32				
	TOTAL LONG TERM GAIN/(LOSS)					49,694.96				
						(LOSS) (135.45)				
						GAIN 49,829.41				
	TOTAL REALIZED GAIN/(LOSS)					69,929.16				

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
800 CORPORATE DR STE 500
FORT LAUDERDALE FL 33334-3621
H:954-772-7683

FA Bjelajac/Hord
FA# M7M

C-64

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
88	AES CORP	12.0200	07/15/2009	1,057.76	13.6100	12/22/2009	1,197.64	139.88	AES	S
18	AFFILIATED MANAGERS GROUP	68.7155	08/05/2009	1,236.88	68.3400	12/22/2009	1,230.09	(6.80)	AMG	S
4	AFFILIATED MANAGERS GROUP	72.4000	10/16/2009	289.60	68.3400	12/22/2009	273.35	(16.25)	AMG	S
29	AIRGAS INC	50.1745	09/26/2008	1,455.06	47.3700	12/22/2009	1,373.69	(81.37)	ARG	L
36	ALBERTO CULVER CO NEW	26.9818	02/04/2008	971.34	28.9976	12/07/2009	1,043.88	72.54	ACV	L
32	ALBERTO CULVER CO NEW	27.5834	09/21/2009	882.73	28.9976	12/07/2009	927.90	45.17	ACV	S
410	ALCATEL-LUCENT SPONSORED ADR	3.0800	08/05/2009	1,262.80	3.2300	12/22/2009	1,324.26	61.46	ALU	S
61	ALCOA INC	11.8800	06/12/2009	724.68	15.5600	12/22/2009	949.13	224.45	AA	S
74	ALCOA INC	10.3600	07/17/2009	766.64	15.5600	12/22/2009	1,151.41	384.77	AA	S
22	ALEXION PHARMACEUTICALS INC	19.8114	03/20/2007	435.85	47.9900	12/22/2009	1,055.75	619.90	ALXN	L
32	ALEXION PHARMACEUTICALS INC	19.8114	03/20/2007	633.96	47.9900	12/22/2009	1,535.64	901.67	ALXN	L
2	ALEXION PHARMACEUTICALS INC	37.7050	11/05/2007	75.41	47.9900	12/22/2009	95.98	20.57	ALXN	L
2	ALEXION PHARMACEUTICALS INC	37.7050	11/05/2007	75.41	47.9900	12/22/2009	95.98	20.57	ALXN	L
20	AMERISOURCEBERGEN CORP	18.6596	01/16/2009	373.19	26.2500	12/22/2009	524.98	151.79	ABC	S
30	AMERISOURCEBERGEN CORP	18.6596	01/16/2009	559.79	26.2500	12/22/2009	787.48	227.68	ABC	S
11	AMERISOURCEBERGEN CORP	23.5500	10/16/2009	259.05	26.2500	12/22/2009	288.74	29.69	ABC	S
65	ASML HOLDING N V NY REG SHS	16.4888	03/13/2009	1,071.78	34.6500	12/22/2009	2,252.19	1,180.41	ASML	S
17	ASML HOLDING N V NY REG SHS	29.4785	09/21/2009	501.13	34.6500	12/22/2009	589.03	87.90	ASML	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

C-65

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
55	ATHEROS COMMUNICATIONS INC	25 9119	02/21/2007	1,425 15	34 0400	12/22/2009	1,872 15	446 99	ATHR	L
18	ATHEROS COMMUNICATIONS INC	30 6000	06/08/2007	550 80	34 0400	12/22/2009	612 70	61 90	ATHR	L
13	ATHEROS COMMUNICATIONS INC	32 7200	06/15/2007	425 36	34 0400	12/22/2009	442 51	17 15	ATHR	S
37	AVON PRODS INC	26 9200	06/04/2009	996 04	31 8600	12/22/2009	1,178 79	182 75	AVP	S
28	AVON PRODS INC	32 0700	08/05/2009	897 96	31 8600	12/22/2009	892 05	(5 91)	AVP	S
19	BECKMAN COULTER INC	64 4200	08/05/2009	1,223 98	65 5500	12/22/2009	1,245 41	21 43	BEC	S
35	BED BATH & BEYOND INC	39 9282	09/21/2009	1,397 49	39 3300	12/22/2009	1,376 51	(20 98)	BBBY	S
15	BED BATH & BEYOND INC	39 1196	12/07/2009	586 79	39 3300	12/22/2009	589 93	3 14	BBBY	S
32	BLACK & DECKER CORP	39 0519	08/05/2009	1,249 66	61 5199	12/07/2009	1,968 58	718 92	BDK	S
63	BROADCOM CORP CL A	27 4582	06/30/2008	1,729 87	31 9500	12/22/2009	2,012 79	282 93	BRCM	L
15	BROADCOM CORP CL A	17 0300	10/31/2008	255 45	31 9500	12/22/2009	479 24	223 79	BRCM	L
134	BROCADE COMMUNICATIONS SYS INC COM NEW	7 9195	07/15/2009	1,061 21	7 7026	12/22/2009	1,032 12	(29 09)	BRCD	S
15	CH ROBINSON WORLDWIDE INC COM NEW	51 4646	11/11/2008	771 97	57 2300	12/07/2009	858 42	86 45	CHRW	L
49	CABLEVISION SYS CORP CL A NY CABLV5	17 8800	10/13/2008	876 12	26 0200	12/22/2009	1,274 94	398 82	CVC	L
32	CAMERON INTERNATIONAL CORP	46 3175	04/14/2008	1,482 16	40 6200	12/22/2009	1,299 81	(182 36)	CAM	L
13	CAMERON INTERNATIONAL CORP	55 0700	05/16/2008	715 91	40 6200	12/22/2009	528 05	(187 86)	CAM	L
11	CAMERON INTERNATIONAL CORP	21 8800	02/13/2009	240 68	40 6200	12/22/2009	446 81	206 13	CAM	S
125	CB RICHARD ELLIS GROUP INC CL A	9 2500	07/15/2009	1,156 25	13 3900	12/22/2009	1,673 70	517 45	CBG	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

C-66

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
13	CF INDS HLDGS INC	68 4227	03/13/2009	889 49	86 1100	12/22/2009	1,119 40	229 91	CF	S
32	CIGNA CORP	30 0700	08/24/2009	962 24	37 0600	12/22/2009	1,185 88	223 64	CI	S
44	COACH INC	24 0356	05/21/2009	1,057 57	36 7800	12/22/2009	1,618 27	560 70	COH	S
17	CONCHO RES INC	42 1800	10/16/2009	717 06	44 7600	12/22/2009	760 90	43 84	CXO	S
18	CONSOL ENERGY INC	57 1341	11/08/2007	1,028 41	49 8200	12/22/2009	896 73	(131 68)	CNX	L
7	CONSOL ENERGY INC	91 4100	05/12/2008	639 87	49 8200	12/22/2009	348 73	(291 14)	CNX	L
19	CONSOL ENERGY INC	29 4728	12/12/2008	559 98	49 8200	12/22/2009	946 55	386 57	CNX	L
12	CONSOL ENERGY INC	31 8556	02/13/2009	382 27	49 8200	12/22/2009	597 82	215 55	CNX	S
55	CONTINENTAL AIRLIS INC CL B	10 0100	07/15/2009	550 55	18 4400	12/22/2009	1,014 17	463 62	210795308000	S
33	CUMMINS INC	31 6600	05/21/2009	1,044 78	46 7000	12/22/2009	1,541 05	496 27	CMI	S
10	CUMMINS INC	45 0300	12/07/2009	450 30	46 7000	12/22/2009	466 99	16 69	CMI	S
31	DIGITAL RLTY TR INC REIT	35 3380	05/21/2009	1,095 48	48 6100	12/22/2009	1,506 87	411 39	DLR	S
25	ENERGIZER HLDGS INC	55 9415	07/17/2009	1,398 53	62 1500	12/22/2009	1,553 71	155 17	ENR	S
4	ENERGIZER HLDGS INC	60 2700	12/07/2009	241 08	62 1500	12/22/2009	248 59	7 51	ENR	S
46	F5 NETWORKS INC	34 9591	08/14/2008	1,608 12	52 3500	12/22/2009	2,408 03	799 91	FFIV	L
16	F5 NETWORKS INC	39 0174	09/21/2009	624 28	52 3500	12/22/2009	837 58	213 30	FFIV	S
22	FASTENAL CO	30 2754	02/27/2009	666 06	40 9900	12/22/2009	901 76	235 69	FAST	S
14	FASTENAL CO	39 0299	12/07/2009	546 42	40 9900	12/22/2009	573 84	27 42	FAST	S
125	FIFTH THIRD BANCORP	10 1884	08/05/2009	1,273 55	10 2326	12/22/2009	1,279 04	5 49	FITB	S

Positions and/or balances marked with an * have been posted to a non-US currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho.

C-67

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
102	GENWORTH FINL INC COM CL A	12 9600	09/21/2009	1,321 92	11 8000	12/22/2009	1,203 56	(118 36)	GNW	S
31	GENWORTH FINL INC COM CL A	12 8100	09/29/2009	397 11	11 8000	12/22/2009	365 79	(31 32)	GNW	S
29	GLOBAL PMTS INC	38 8800	07/15/2009	1,127 52	52 5400	12/22/2009	1,523 62	396 10	GPN	
19	GREEN MTN COFFEE ROASTERS INC	74 9551	09/29/2009	1,424 15	69 5600	12/22/2009	1,321 60	(102 54)	GMCR	S
15	GREEN MTN COFFEE ROASTERS INC	61 0096	12/07/2009	915 14	69 5600	12/22/2009	1,043 37	128 23	GMCR	S
17	GUESS INC	24 3621	09/29/2006	414 16	43 3000	12/22/2009	736 08	321 92	GES	L
21	GUESS INC	41 4900	06/16/2008	871 29	43 3000	12/22/2009	909 27	37 98	GES	L
18	GUESS INC	14 7500	03/13/2009	265 50	43 3000	12/22/2009	779 38	513 88	GES	S
40	HANSEN NAT CORP	26 5010	10/31/2008	1,060 04	37 5400	12/22/2009	1,501 56	441 52	HANS	L
17	HANSEN NAT CORP	35 6813	09/21/2009	606 58	37 5400	12/22/2009	638 16	31 58	HANS	S
26	HARLEY DAVIDSON INC	28 0000	10/16/2009	728 00	25 8500	12/22/2009	672 08	(55 92)	HOG	S
35	HARLEY DAVIDSON INC	28 6500	12/07/2009	1,002 75	25 8500	12/22/2009	904 72	(98 03)	HOG	S
45	HARTFORD FINL SVCS GROUP INC	21 8000	08/24/2009	981 00	23 1400	12/22/2009	1,041 27	60 27	HIG	
20	HARTFORD FINL SVCS GROUP INC	28 1100	09/29/2009	562 20	23 1400	12/22/2009	462 79	(99 41)	HIG	S
185	HEALTH MGMT ASSOC INC NEW CL A	6 6600	08/05/2009	1,232 10	7 5100	12/22/2009	1,389 31	157 21	HMA	S
11	ILLUMINA INC	42 2750	06/27/2008	465 03	28 8300	12/22/2009	317 12	(147 90)	ILMN	L
19	ILLUMINA INC	42 2750	06/27/2008	803 23	28 8300	12/22/2009	547 75	(255 47)	ILMN	L
8	ILLUMINA INC	46 5874	08/14/2008	372 70	28 8300	12/22/2009	230 63	(142 07)	ILMN	L
8	ILLUMINA INC	46 5874	08/14/2008	372 70	28 8300	12/22/2009	230 63	(142 07)	ILMN	L
8	INTERCONTINENTAL EXCHANGE INC	84 7300	10/31/2008	677 84	109 7000	12/22/2009	877 58	199 74	ICE	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
9	INTERCONTINENTAL EXCHANGE INC	103.4261	10/16/2009	930.83	109.7000	12/22/2009	987.27	56.44	ICE	S
7	INTUITIVE SURGICAL INC COM NEW	100.9790	02/13/2006	706.86	294.6700	12/22/2009	2,062.64	1,355.78	ISRG	L
2	INTUITIVE SURGICAL INC COM NEW	290.8700	05/12/2008	581.74	294.6700	12/22/2009	589.32	7.58	ISRG	S
29	JOY GLOBAL INC	29.6064	05/21/2009	858.59	53.5100	12/22/2009	1,551.75	693.16	JOYG	S
46	JUNIPER NETWORKS INC	25.9500	03/07/2008	1,193.70	26.3000	12/22/2009	1,209.77	16.07	JNPR	L
18	JUNIPER NETWORKS INC	23.9273	03/17/2008	430.69	26.3000	12/22/2009	473.39	42.70	JNPR	L
31	JUNIPER NETWORKS INC	22.9500	09/26/2008	711.45	26.3000	12/22/2009	815.28	103.83	JNPR	L
43	LAM RESEARCH CORP	31.7864	09/26/2008	1,366.82	39.2100	12/22/2009	1,685.98	319.17	LRCX	L
19	LAM RESEARCH CORP	21.6246	12/12/2008	410.87	39.2100	12/22/2009	744.97	334.10	LRCX	L
28	LIFE TECHNOLOGIES CORP	39.8285	07/17/2009	1,115.20	51.5900	12/22/2009	1,444.48	329.28	LIFE	S
88	MARVELL TECHNOLOGY GROUP LTD ORD	12.6687	07/15/2009	1,114.85	20.0300	12/22/2009	1,762.59	647.74	MRVL	S
16	MCAFEE INC	38.7813	12/14/2007	620.50	39.4900	12/22/2009	631.82	11.32	MFE	S
17	MCAFEE INC	38.3900	12/24/2007	652.63	39.4900	12/22/2009	671.31	18.68	MFE	L
19	MCAFEE INC	38.6300	08/26/2008	733.97	39.4900	12/22/2009	750.29	16.32	MFE	L
29	MCDERMOTT INTL INC	23.6500	06/12/2009	685.85	23.9300	12/22/2009	693.95	8.10	MDR	S
29	MCDERMOTT INTL INC	18.5000	07/15/2009	536.50	23.9300	12/22/2009	693.95	157.45	MDR	S
26	MCDERMOTT INTL INC	18.0882	07/17/2009	470.29	23.9300	12/22/2009	622.16	151.87	MDR	S
40	MERCADOLIBRE INC	28.3534	07/15/2009	1,134.21	52.7900	12/22/2009	2,111.54	977.33	MELI	S
192	MICRON TECHNOLOGY INC	5.4000	06/12/2009	1,036.80	9.3500	12/22/2009	1,795.15	758.35	MU	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

User Name Bjelajac/Ho

LEO GOODWIN FOUNDATION INC

C-69

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
102	MICRON TECHNOLOGY INC	5 6300	07/17/2009	574 26	9 3500	12/22/2009	953 67	379 41	MU	S
10	MILLICOM INTL CELLULAR SA SHS NEW	52 5365	05/21/2009	525 36	75 0100	12/22/2009	750 08	224 72	MICC	S
50	MSCI INC CL A	21 1457	05/21/2009	1,057 28	31 7300	12/22/2009	1,586 45	529 17	MSCI	S
48	NETAPP INC	14 7930	03/30/2009	710 07	33 2200	12/22/2009	1,594 51	884 44	NTAP	S
72	NORDSTROM INC	15 0926	03/13/2009	1,086 67	36 8200	12/22/2009	2,650 97	1,564 30	JWN	S
17	PANERA BREAD CO CL A	56 1361	10/16/2009	954 31	68 1500	12/22/2009	1,158 52	204 21	PNRA	S
26	PARKER HANNIFIN CORP	53 2275	09/29/2009	1,383 92	54 4700	12/22/2009	1,416 18	32 26	PH	S
45	PETROHAWK ENERGY CORP	22 9210	08/05/2009	1,031 45	24 8400	12/22/2009	1,117 77	86 32	HK	S
43	PETROHAWK ENERGY CORP	23 9000	09/21/2009	1,027 70	24 8400	12/22/2009	1,068 09	40 39	HK	S
219	PMC-SIERRA INC	8 3899	05/12/2008	1,837 39	8 4301	12/22/2009	1,846 14	8 75	PMCS	L
18	PRECISION CASTPARTS CORP	55 9100	11/07/2008	1,006 38	114 7900	12/22/2009	2,066 16	1,059 78	PCP	L
52	PRICE T ROWE GROUP INC	21 7750	12/12/2003	1,132 30	53 2900	12/22/2009	2,771 00	1,638 70	TROW	L
14	PRICELINE COM INC COM NEW	68 4202	12/29/2008	957 88	220 6500	12/22/2009	3,089 02	2,131 14	PCLN	S
59	PULTE GROUP INC	16 5000	09/26/2008	973 50	9 8500	12/22/2009	581 13	(392 37)	PHM	L
50	PULTE GROUP INC	8 7000	10/27/2008	435 00	9 8500	12/22/2009	492 49	57 49	PHM	L
32	PULTE GROUP INC	10 5567	12/12/2008	337 81	9 8500	12/22/2009	315 19	(22 62)	PHM	L
88	PULTE GROUP INC	9 0400	12/07/2009	795 52	9 8500	12/22/2009	866 78	71 26	PHM	S
34	QUESTAR CORP	55 8769	10/26/2007	1,899 81	42 0100	12/22/2009	1,428 30	(471 51)	STR	L
30	RANGE RES CORP	28 0500	11/22/2006	841 50	52 0200	12/22/2009	1,560 55	719 05	RRC	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Ho

E-70

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
10	RANGE RES CORP	38 5200	02/13/2009	385 20	52 0200	12/22/2009	520 18	134 98	RRC	S
47	ROBERT HALF INTL INC	25 4672	08/26/2008	1,196 95	27 0500	12/22/2009	1,271 31	74 36	RHI	L
17	SALESFORCE COM INC	32 4556	03/13/2009	551 74	71 2400	12/22/2009	1,211 04	659 30	CRM	S
70	STARWOOD HOTELS&RESORTS WRLDWD	12 1779	03/13/2009	852 45	36 7300	12/22/2009	2,571 03	1,718 58	HOT	
69	TD AMERITRADE HLDG CORP	18 7484	08/24/2009	1,293 64	19 4700	12/22/2009	1,343 39	49 75	AMTD	S
61	THOMPSON CREEK METALS CO INC	14 6614	08/24/2009	894 35	11 3300	12/22/2009	691 11	(203 24)	TC	S
55	THOMPSON CREEK METALS CO INC	11 8419	09/29/2009	651 30	11 3300	12/22/2009	623 13	(28 17)	TC	S
17	UNITED STATES STL CORP NEW	29 9700	05/21/2009	509 49	52 8300	12/22/2009	898 09	388 60	X	S
24	UNITED STATES STL CORP NEW	34 7211	07/15/2009	833 31	52 8300	12/22/2009	1,267 88	434 57	X	S
10	UNITED THERAPEUTICS CORP DEL	51 4669	11/08/2007	514 67	51 9600	12/22/2009	519 38	4 92	UTHR	L
16	UNITED THERAPEUTICS CORP DEL	51 4669	11/08/2007	823 47	51 9600	12/22/2009	831 34	7 87	UTHR	L
47	URBAN OUTFITTERS INC	26 8684	01/04/2008	1,262 81	33 9500	12/22/2009	1,595 60	332 79	URBN	L
23	URBAN OUTFITTERS INC	21 4600	11/03/2008	493 58	33 9500	12/22/2009	780 83	287 25	URBN	L
5	VARIAN SEMICONDUCTOR EQUIPMNT	17 9964	07/12/2005	91 28	36 2600	12/22/2009	181 29	90 02	VSEA	
33	VARIAN SEMICONDUCTOR EQUIPMNT	32 7733	03/12/2007	1,081 52	36 2600	12/22/2009	1,196 55	115 03	VSEA	L
22	VARIAN SEMICONDUCTOR EQUIPMNT	38 0100	12/24/2007	836 22	36 2600	12/22/2009	797 70	(38 52)	VSEA	L
50	VERISIGN INC	23 0289	09/29/2009	1,151 45	23 8300	12/22/2009	1,191 46	40 01	VRSN	S
36	VERTEX PHARMACEUTICALS INC	37 5547	09/29/2009	1,351 97	42 5300	12/22/2009	1,531 04	179 07	VRTX	S
38	WARNACO GROUP INC COM NEW	33 9137	07/15/2009	1,288 72	41 9900	12/22/2009	1,595 57	306 85	WRC	S
32	WATSON PHARMACEUTICALS INC	37 5900	10/16/2009	1,202 88	38 9600	12/22/2009	1,246 68	43 80	WPI	S
63	WHOLE FOODS MKT INC	14 3035	03/13/2009	901 12	28 5000	12/22/2009	1,795 45	894 34	WFM	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hc

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Terr G/L
16	WHOLE FOODS MKT INC	25.9874	12/07/2009	415.80	28.5000	12/22/2009	455.99	40.19	WFM	S
16	WMS INDS INC	20.2467	10/04/2006	326.48	37.9900	12/22/2009	607.82	281.35	WMS	L
24	WMS INDS INC	24.3447	11/22/2006	584.27	37.9900	12/22/2009	911.74	327.47	WMS	L
16	WYNN RESORTS LTD	60.8300	10/31/2008	973.28	59.7400	12/22/2009	955.82	(17.46)	WYNN	L
10	WYNN RESORTS LTD	20.1642	03/13/2009	201.64	59.7400	12/22/2009	597.38	395.74	WYNN	S
	TOTAL			115,542.96			148,783.42			
	TOTAL ST COST			70,732.32				93,905.92		
	TOTAL LT COST			44,810.64				54,877.50		
	TOTAL COST			115,542.96				148,783.42		
	TOTAL SHORT TERM GAIN/(LOSS)						23,173.58			
	TOTAL LONG TERM GAIN/(LOSS)						10,066.90			
	TOTAL REALIZED GAIN/(LOSS)						33,240.48			

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION INC
HELEN FURIA TTEE
800 CORPORATE DR STE 500
FORT LAUDERDALE FL 33334-3621
H:954-772-7683

FA Bjelajac/Hord
FA# M7M

C-72

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
19	A D C TELECOMMUNICATIONS COM NEW	10 1664	07/23/2008	193 16	6 3026	12/22/2009	119 75	(73 42)	ADCT	L
59	A D C TELECOMMUNICATIONS COM NEW	3 4954	02/06/2009	206 23	6 3026	12/22/2009	371 84	165 61	ADCT	S
55	A D C TELECOMMUNICATIONS COM NEW	3 4474	03/25/2009	189 61	6 3026	12/22/2009	346 63	157 02	ADCT	S
40	A D C TELECOMMUNICATIONS COM NEW	7 9234	09/04/2009	316 94	6 3026	12/22/2009	252 10	(64 84)	ADCT	S
39	A D C TELECOMMUNICATIONS COM NEW	7 3362	10/16/2009	286 11	6 3026	12/22/2009	245 79	(40 32)	ADCT	S
6	AFFILIATED MANAGERS GROUP	58 7237	06/09/2009	352 34	68 3400	12/22/2009	410 03	57 69	AMG	S
4	AFFILIATED MANAGERS GROUP	59 0746	06/15/2009	236 30	68 3400	12/22/2009	273 35	37 05	AMG	S
4	AFFILIATED MANAGERS GROUP	54 0899	07/13/2009	216 36	68 3400	12/22/2009	273 35	56 99	AMG	S
4	AFFILIATED MANAGERS GROUP	69 5834	08/07/2009	278 33	68 3400	12/22/2009	273 35	(4 98)	AMG	S
4	AFFILIATED MANAGERS GROUP	62 7208	08/18/2009	250 88	68 3400	12/22/2009	273 35	22 47	AMG	S
5	AFFILIATED MANAGERS GROUP	62 9300	09/03/2009	314 65	68 3400	12/22/2009	341 69	27 04	AMG	S
3	AFFILIATED MANAGERS GROUP	64 4834	10/29/2009	193 45	68 3400	12/22/2009	205 01	11 56	AMG	S
11	ARGO GROUP INTL HLDGS LTD	28 7256	12/08/2009	315 98	28 9800	12/22/2009	318 77	2 79	AGII	S
8	ARGO GROUP INTL HLDGS LTD	28 8375	12/09/2009	230 70	28 9800	12/22/2009	231 83	1 13	AGII	S
9	ARGO GROUP INTL HLDGS LTD	28 7432	12/10/2009	258 69	28 9800	12/22/2009	260 81	2 12	AGII	S
101	ARVINMERITOR INC	8 1752	09/29/2009	825 69	11 1300	12/22/2009	1 124 10	298 41	ARM	S
32	ARVINMERITOR INC	8 8964	10/13/2009	284 68	11 1300	12/22/2009	356 15	71 47	ARM	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-73

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
1	ARVINMERITOR INC	8 4176	12/01/2009	8 42	11 1492	12/22/2009	11 15	2 73	ARM	S
30	ARVINMERITOR INC	8 4176	12/01/2009	252 53	11 1300	12/22/2009	333 89	81 36	ARM	S
29	ARVINMERITOR INC	9 4110	12/14/2009	272 92	11 1492	12/22/2009	323 32	50 40	ARM	S
1	ASHLAND INC NEW	29 1041	06/10/2009	29 10	39 8500	12/22/2009	39 85	10 74	ASH	S
9	ASHLAND INC NEW	25 3257	07/07/2009	227 93	39 8500	12/22/2009	358 64	130 71	ASH	S
9	ASHLAND INC NEW	28 8334	07/21/2009	259 50	39 8500	12/22/2009	358 64	99 14	ASH	S
9	ASHLAND INC NEW	31 3734	07/23/2009	282 36	39 8500	12/22/2009	358 64	76 28	ASH	S
9	ASHLAND INC NEW	30 7616	07/27/2009	276 85	39 8500	12/22/2009	358 64	81 79	ASH	S
8	ASHLAND INC NEW	34 9612	10/28/2009	279 69	39 8500	12/22/2009	318 79	39 10	ASH	S
19	BALDOR ELEC CO	28 4247	10/08/2009	540 07	28 1600	12/22/2009	535 03	(5 04)	BEZ	S
10	BALDOR ELEC CO	29 0153	10/09/2009	290 15	28 1600	12/22/2009	281 59	(8 56)	BEZ	S
16	BALDOR ELEC CO	29 4809	10/12/2009	471 69	28 1600	12/22/2009	450 55	(21 14)	BEZ	S
10	BALDOR ELEC CO	25 9734	10/30/2009	259 73	28 1600	12/22/2009	281 59	21 86	BEZ	S
10	BALDOR ELEC CO	26 3754	12/01/2009	263 75	28 1600	12/22/2009	281 59	17 84	BEZ	S
2	BALLY TECHNOLOGIES INC	20 1582	02/14/2007	40 32	41 0931	11/02/2009	82 18	41 86	BYI	L
1	BALLY TECHNOLOGIES INC	24 2007	07/30/2007	24 20	41 0931	11/02/2009	41 09	16 89	BYI	L
14	BALLY TECHNOLOGIES INC	24 2007	07/30/2007	338 81	40 7100	12/22/2009	569 92	231 11	BYI	L
8	BALLY TECHNOLOGIES INC	43 2197	02/26/2008	345 76	40 7100	12/22/2009	325 67	(20 09)	BYI	L
10	BALLY TECHNOLOGIES INC	27 3221	10/03/2008	273 22	40 7100	12/22/2009	407 09	133 87	BYI	L
7	BIO RAD LABS INC CLA	84 4513	07/24/2008	591 16	95 5400	12/22/2009	668 76	77 60	BIO	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-74

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
3	BIO RAD LABS INC CLA	87 2039	10/14/2008	261 61	95 5400	12/22/2009	286 61	25 00	BIO	L
3	BIO RAD LABS INC CLA	69 4191	12/18/2008	208 26	95 5400	12/22/2009	286 61	78 35	BIO	L
16	BLUE COAT SYSTEMS INC COM NEW	16 9447	06/29/2009	271 11	24 6920	11/06/2009	395 06	123 95	BCSI	S
17	BLUE COAT SYSTEMS INC COM NEW	16 8446	08/25/2009	286 36	24 6920	11/06/2009	419 75	133 39	BCSI	S
13	BRIGHAM EXPLORATION CO	10 2128	10/29/2009	132 77	14 1700	12/22/2009	184 20	51 44	BEXP	S
22	BRIGHAM EXPLORATION CO	10 2128	10/29/2009	224 68	12 3059	12/15/2009	270 72	46 04	BEXP	S
40	BRIGHAM EXPLORATION CO	9 9342	10/30/2009	397 37	14 1700	12/22/2009	566 78	169 41	BEXP	S
23	BRIGHAM EXPLORATION CO	10 8658	11/10/2009	249 91	14 1700	12/22/2009	325 90	75 99	BEXP	S
18	CARRIZO OIL & CO INC	20 6137	08/10/2009	371 05	27 9800	12/22/2009	503 63	132 58	CRZO	S
14	CARRIZO OIL & CO INC	21 0833	08/11/2009	295 17	27 9800	12/22/2009	391 71	96 54	CRZO	S
18	CARRIZO OIL & CO INC	21 5298	09/09/2009	387 54	27 9800	12/22/2009	503 63	116 09	CRZO	S
3	CATALYST HEALTH SOLUTIONS INC	25 7500	02/02/2007	77 25	33 3060	11/04/2009	99 92	22 67	CHSI	L
3	CATALYST HEALTH SOLUTIONS INC	26 7390	09/12/2007	80 22	33 3060	11/04/2009	99 91	19 70	CHSI	L
13	CATALYST HEALTH SOLUTIONS INC	26 7390	09/12/2007	347 60	34 1332	11/20/2009	443 72	96 11	CHSI	L
2	CATALYST HEALTH SOLUTIONS INC	26 1336	11/06/2007	52 27	34 1332	11/20/2009	68 26	16 00	CHSI	L
8	CATALYST HEALTH SOLUTIONS INC	26 1336	11/06/2007	209 07	35 4392	12/08/2009	283 50	74 43	CHSI	L
9	CATALYST HEALTH SOLUTIONS INC	26 1336	11/06/2007	235 20	36 7900	12/22/2009	331 10	95 90	CHSI	L
14	CATALYST HEALTH SOLUTIONS INC	21 3122	10/14/2008	298 37	36 7900	12/22/2009	515 04	216 68	CHSI	L
13	CATALYST HEALTH SOLUTIONS INC	20 4806	05/20/2009	266 25	36 7900	12/22/2009	478 26	212 01	CHSI	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account
The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
16	CENTRAL EUROPEAN DIST CORP	23 2940	05/01/2009	372 70	28 1700	12/22/2009	450 71	78 00	CEDC	S
9	CENTRAL EUROPEAN DIST CORP	28 3819	06/02/2009	255 44	28 1700	12/22/2009	253 52	(1 92)	CEDC	S
9	CENTRAL EUROPEAN DIST CORP	32 0633	08/06/2009	288 57	28 1700	12/22/2009	253 52	(35 05)	CEDC	S
10	CENTRAL EUROPEAN DIST CORP	30 7793	11/19/2009	307 79	28 1700	12/22/2009	281 69	(26 10)	CEDC	S
36	CERAGON NETWORKS LTD ORD	6 0323	05/28/2009	217 16	11 2001	12/22/2009	403 19	186 03	CRNT	S
39	CERAGON NETWORKS LTD ORD	6 8638	06/09/2009	267 69	11 2001	12/22/2009	436 79	169 10	CRNT	S
23	CERAGON NETWORKS LTD ORD	7 4270	08/05/2009	170 82	11 2001	12/22/2009	257 59	86 77	CRNT	S
15	CERAGON NETWORKS LTD ORD	7 4372	08/06/2009	111 56	11 2001	12/22/2009	168 00	56 44	CRNT	S
11	COINSTAR INC	31 5164	08/06/2008	346 68	26 9200	12/22/2009	296 11	(50 57)	CSTR	L
9	COINSTAR INC	29 1099	06/11/2009	261 99	26 9200	12/22/2009	242 27	(19 72)	CSTR	S
14	COINSTAR INC	30 4667	09/10/2009	426 53	26 9200	12/22/2009	376 87	(49 66)	CSTR	S
8	COINSTAR INC	28 7587	11/06/2009	230 07	26 9200	12/22/2009	215 35	(14 72)	CSTR	S
4	COLLECTIVE BRANDS INC	15 5313	11/27/2007	62 13	20 3109	11/09/2009	81 24	19 11	PSS	L
14	COLLECTIVE BRANDS INC	12 9443	05/02/2008	181 22	22 8700	12/22/2009	320 17	138 95	PSS	L
16	COLLECTIVE BRANDS INC	12 9443	05/02/2008	207 11	20 3109	11/09/2009	324 96	117 85	PSS	L
18	COLLECTIVE BRANDS INC	10 4267	04/08/2009	187 68	22 8700	12/22/2009	411 65	223 97	PSS	S
18	COLLECTIVE BRANDS INC	13 4649	04/29/2009	242 37	22 8700	12/22/2009	411 65	169 28	PSS	S
50	COMMERCIAL METALS CO	16 1183	11/17/2009	805 92	16 0800	12/22/2009	803 98	(1 94)	CMC	S
15	COMMERCIAL METALS CO	16 6280	11/23/2009	249 42	16 0800	12/22/2009	241 19	(8 23)	CMC	S

Positions and/or balances marked with an * have been posted to a non-U S currency account
 The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-76

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
16	COMMERCIAL METALS CO	17 0299	12/14/2009	272 48	16 0800	12/22/2009	257 27	(15 21)	CMC	S
20	COMSCOPE INC	26 3534	12/07/2009	527 07	26 7100	12/22/2009	534 18	7 11	CTV	S
13	COMSTOCK RES INC COM NEW	32 8966	11/15/2007	427 66	41 7400	12/22/2009	542 60	114 94	CRK	L
4	COMSTOCK RES INC COM NEW	55 5950	09/25/2008	222 38	41 7400	12/22/2009	166 96	(55 42)	CRK	L
15	COMSTOCK RES INC COM NEW	36 4525	10/06/2008	546 79	41 7400	12/22/2009	626 08	79 29	CRK	L
3	CURTISS WRIGHT CORP	44 5449	04/04/2008	133 63	30 6000	12/22/2009	91 80	(41 84)	CW	L
7	CURTISS WRIGHT CORP	47 6086	04/21/2008	333 26	30 6000	12/22/2009	214 19	(119 07)	CW	L
9	CURTISS WRIGHT CORP	46 7743	04/23/2008	420 97	30 6000	12/22/2009	275 39	(145 58)	CW	L
8	CURTISS WRIGHT CORP	50 8104	05/30/2008	406 48	30 6000	12/22/2009	244 79	(161 69)	CW	L
8	CURTISS WRIGHT CORP	31 8854	06/17/2009	253 48	30 6000	12/22/2009	244 79	(8 69)	CW	S
9	CURTISS WRIGHT CORP	32 9282	07/28/2009	296 35	30 6000	12/22/2009	275 39	(20 96)	CW	S
9	CURTISS WRIGHT CORP	29 1882	12/02/2009	262 69	30 6000	12/22/2009	275 39	12 70	CW	S
20	DIGITAL RIV INC	25 3769	11/06/2009	507 54	26 4300	12/22/2009	528 59	21 05	DRIV	S
10	DIGITAL RIV INC	25 9462	11/09/2009	259 46	26 4300	12/22/2009	264 29	4 83	DRIV	S
10	DIGITAL RIV INC	26 5514	11/23/2009	265 51	26 4300	12/22/2009	264 29	(1 22)	DRIV	S
2	EXCO RESOURCES INC	15 7554	06/02/2009	31 51	20 5110	12/14/2009	41 02	9 51	XCO	S
19	EXCO RESOURCES INC	13 9844	06/15/2009	265 70	20 5110	12/14/2009	389 70	124 00	XCO	S
3	EXCO RESOURCES INC	12 6533	06/17/2009	37 96	21 3800	12/22/2009	64 14	26 18	XCO	S
5	EXCO RESOURCES INC	12 6533	06/17/2009	63 27	20 5110	12/14/2009	102 55	39 28	XCO	S
12	EXCO RESOURCES INC	12 6533	06/17/2009	151 84	21 1531	12/18/2009	253 83	101 99	XCO	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
24	EXCO RESOURCES INC	10 5533	06/22/2009	253 28	21 3800	12/22/2009	513 11	259 83	XCO	S
22	EXCO RESOURCES INC	10 6672	06/24/2009	234 68	21 3800	12/22/2009	470 35	235 67	XCO	S
21	EXCO RESOURCES INC	11 4065	07/10/2009	239 54	21 3800	12/22/2009	448 97	209 43	XCO	S
15	EXCO RESOURCES INC	16 8712	11/27/2009	253 07	21 3800	12/22/2009	320 69	67 62	XCO	S
25	EXIDE TECHNOLOGIES COM NEW	4 1230	07/21/2009	103 08	7 1926	12/22/2009	179 81	76 73	XIDE	S
62	EXIDE TECHNOLOGIES COM NEW	4 3213	07/22/2009	267 92	7 1926	12/22/2009	445 93	178 01	XIDE	S
39	EXIDE TECHNOLOGIES COM NEW	4 6167	07/28/2009	180 05	7 1926	12/22/2009	280 50	100 45	XIDE	S
70	EXIDE TECHNOLOGIES COM NEW	5 1812	08/03/2009	362 68	7 1926	12/22/2009	503 47	140 79	XIDE	S
55	EXIDE TECHNOLOGIES COM NEW	5 6287	08/17/2009	309 58	7 1926	12/22/2009	395 58	86 00	XIDE	S
25	FAIRCHILD SEMICONDUCTOR INTL	5 7057	10/21/2008	142 64	9 8075	12/07/2009	245 18	102 54	FCS	L
3	FAIRCHILD SEMICONDUCTOR INTL	3 5971	11/25/2008	10 79	9 8075	12/07/2009	29 42	18 63	FCS	L
58	FAIRCHILD SEMICONDUCTOR INTL	3 5971	11/25/2008	208 63	9 8300	12/22/2009	570 12	361 50	FCS	L
30	FAIRCHILD SEMICONDUCTOR INTL	4 7017	01/29/2009	141 05	9 8300	12/22/2009	294 89	153 84	FCS	S
47	FAIRCHILD SEMICONDUCTOR INTL	4 2100	04/02/2009	197 87	9 8300	12/22/2009	462 00	264 13	FCS	S
29	FAIRCHILD SEMICONDUCTOR INTL	8 9370	10/02/2009	259 17	9 8300	12/22/2009	285 06	25 89	FCS	S
61	FELCOR LODGING TR INC REIT	3 2126	08/10/2009	195 97	3 6900	12/22/2009	225 08	29 12	FCH	S
37	FELCOR LODGING TR INC REIT	3 2013	08/11/2009	118 45	3 6900	12/22/2009	136 53	18 08	FCH	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

e-78

User Name Bjelajac/Hord

LEO GOODWIN FOUNDATION INC

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
98	FELCOR LODGING TR INC REIT	3 4676	08/14/2009	339 82	3 6900	12/22/2009	361 61	21 79	FCH	S
11	FINISAR CORP COM NEW	26 3688	09/19/2006	282 00	8 7226	12/22/2009	95 95	(186 05)	FNSR	L
15	FINISAR CORP COM NEW	26 4000	12/20/2006	412 50	8 7226	12/22/2009	130 83	(281 67)	FNSR	L
18	FINISAR CORP COM NEW	25 3600	03/02/2007	456 48	8 7226	12/22/2009	157 00	(299 48)	FNSR	L
16	FINISAR CORP COM NEW	28 0000	04/02/2007	427 00	8 7226	12/22/2009	139 56	(287 44)	FNSR	L
17	FINISAR CORP COM NEW	28 2400	05/09/2007	501 26	8 7226	12/22/2009	148 28	(352 98)	FNSR	L
24	FINISAR CORP COM NEW	23 0664	09/28/2007	539 18	8 7226	12/22/2009	209 34	(329 84)	FNSR	L
43	FINISAR CORP COM NEW	12 1064	05/16/2008	523 60	8 7226	12/22/2009	375 06	(148 54)	FNSR	L
30	FINISAR CORP COM NEW	9 6104	09/19/2008	287 11	8 7226	12/22/2009	261 67	(23 44)	FNSR	L
12	FINISAR CORP COM NEW	10 1184	09/23/2008	118 89	8 7226	12/22/2009	104 67	(14 22)	FNSR	L
1	FIRST HORIZON NATL CORP	7 8717	09/29/2008	7 73	14 0058	12/03/2009	14 01	6 28	FHN	L
1	FIRST HORIZON NATL CORP	8 2511	09/29/2008	8 23	14 0058	12/03/2009	14 01	5 78	FHN	L
2	FIRST HORIZON NATL CORP	7 9953	09/29/2008	15 78	14 0058	12/03/2009	28 01	12 23	FHN	L
16	FIRST HORIZON NATL CORP	7 8717	09/29/2008	123 64	13 8496	12/22/2009	221 59	97 95	FHN	L
16	FIRST HORIZON NATL CORP	7 8717	09/29/2008	123 64	14 0058	12/03/2009	224 09	100 45	FHN	L
1	FIRST HORIZON NATL CORP	11 2244	07/07/2009	10 88	13 8496	12/22/2009	13 85	2 97	FHN	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-79

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
21	FIRST HORIZON NATL CORP	11 2244	07/07/2009	228 58	13 8496	12/22/2009	290 83	62 25	FHN	S
1	FIRST HORIZON NATL CORP	11 6348	07/17/2009	11 26	13 8496	12/22/2009	13 85	2 59	FHN	S
20	FIRST HORIZON NATL CORP	11 6348	07/17/2009	225 14	13 8496	12/22/2009	276 98	51 84	FHN	S
21	FIRST HORIZON NATL CORP	11 8931	07/23/2009	249 76	13 8496	12/22/2009	290 83	41 07	FHN	S
24	FIRST HORIZON NATL CORP	13 2085	08/10/2009	317 01	13 8496	12/22/2009	332 38	15 37	FHN	S
	FIRST HORIZON NATL CORP	0 0000	01/06/2010	0 00	0 0000	01/06/2010	7 66	7 66	FHN	S
56	FULTON FINL CORP PA	6 6237	07/30/2009	370 92	8 8626	12/22/2009	496 29	125 37	FULT	S
37	FULTON FINL CORP PA	7 2563	08/04/2009	268 48	8 8626	12/22/2009	327 91	59 43	FULT	S
36	FULTON FINL CORP PA	7 4712	08/11/2009	268 96	8 8626	12/22/2009	319 05	50 09	FULT	S
39	FULTON FINL CORP PA	7 5746	08/21/2009	295 41	8 8626	12/22/2009	345 63	50 22	FULT	S
15	GARDNER DENVER INC	26 3967	07/21/2009	395 95	42 3500	12/22/2009	635 23	239 28	GDI	S
9	GARDNER DENVER INC	28 0946	07/24/2009	252 85	42 3500	12/22/2009	381 14	128 29	GDI	S
10	GARDNER DENVER INC	30 1196	07/27/2009	301 20	42 3500	12/22/2009	423 49	122 29	GDI	S
6	GARDNER DENVER INC	38 3834	12/01/2009	230 30	42 3500	12/22/2009	234 09	23 79	GDI	S
67	GASCO ENERGY INC	2 6706	10/30/2006	178 93	0 5001	12/22/2009	33 50	(145 43)	GSX	L
109	GASCO ENERGY INC	2 6135	11/01/2006	284 87	0 5001	12/22/2009	54 50	(230 37)	GSX	L
102	GASCO ENERGY INC	3 2280	12/05/2006	329 26	0 5001	12/22/2009	51 00	(278 25)	GSX	L
221	GASCO ENERGY INC	2 6632	06/25/2007	588 57	0 5001	12/22/2009	110 51	(478 06)	GSX	L
59	GASCO ENERGY INC	2 2985	11/02/2007	135 61	0 5001	12/22/2009	29 50	(106 11)	GSX	L
7	GAYLORD ENTMT CO NEW	50 2218	08/03/2007	351 56	19 5700	12/22/2009	136 99	(214 57)	GET	L
13	GAYLORD ENTMT CO NEW	31 2860	04/17/2008	406 72	19 5700	12/22/2009	254 40	(152 32)	GET	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-80

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
23	GAYLORD ENTMT CO NEW	8 4646	11/17/2008	194 69	19 5700	12/22/2009	450 10	255 41	GET	L
15	GAYLORD ENTMT CO NEW	16 1448	05/05/2009	242 17	19 5700	12/22/2009	293 54	51 37	GET	S
18	GAYLORD ENTMT CO NEW	14 8372	07/27/2009	267 07	19 5700	12/22/2009	352 25	85 18	GET	S
6	GAYLORD ENTMT CO NEW	20 0783	09/24/2009	120 47	19 5700	12/22/2009	117 42	(3 05)	GET	S
12	GAYLORD ENTMT CO NEW	18 8911	10/07/2009	226 69	19 5700	12/22/2009	234 83	8 14	GET	S
32	GLOBAL CROSSING LTD SHS NEW	25 7917	10/30/2006	825 33	13 4422	12/22/2009	430 14	(395 20)	GLBC	L
20	GLOBAL CROSSING LTD SHS NEW	26 7927	11/15/2006	535 85	13 4422	12/22/2009	268 83	(267 02)	GLBC	L
16	GLOBAL CROSSING LTD SHS NEW	26 0784	12/12/2006	417 25	13 4422	12/22/2009	215 07	(202 18)	GLBC	L
11	GLOBAL CROSSING LTD SHS NEW	20 4980	09/24/2007	225 48	13 4422	12/22/2009	147 86	(77 62)	GLBC	L
41	GLOBAL CROSSING LTD SHS NEW	7 5858	05/01/2009	311 02	13 4422	12/22/2009	551 11	240 09	GLBC	S
5	GOODRICH PETE CORP COM NEW	62 9825	06/17/2008	314 91	24 7747	11/10/2009	123 87	(191 04)	GDP	L
6	GOODRICH PETE CORP COM NEW	66 0045	07/08/2008	396 03	24 7747	11/10/2009	148 64	(247 39)	GDP	L
11	GOODRICH PETE CORP COM NEW	38 6871	09/15/2008	425 56	25 3000	12/22/2009	278 29	(147 27)	GDP	L
4	GOODRICH PETE CORP COM NEW	47 0361	09/25/2008	188 14	25 3000	12/22/2009	101 20	(86 94)	GDP	L
20	GOODRICH PETE CORP COM NEW	28 8822	10/06/2008	577 64	25 3000	12/22/2009	505 99	(71 65)	GDP	L
11	GOODRICH PETE CORP COM NEW	25 4176	05/04/2009	279 59	25 3000	12/22/2009	278 29	(1 30)	GDP	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-81

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Symbol	Term G/L
13	GOODRICH PETE CORP COM NEW	25 1497	09/23/2009	326 95	25 3000	12/22/2009	328 89	1 94	GDP	S
12	GOODRICH PETE CORP COM NEW	22 9579	12/15/2009	275 49	25 3000	12/22/2009	303 59	28 10	GDP	S
2	HAIN CELESTIAL GROUP INC	25 5300	05/15/2006	51 06	15 8001	12/22/2009	31 60	(19 46)	HAIN	L
17	HAIN CELESTIAL GROUP INC	22 0554	07/26/2006	374 94	15 8001	12/22/2009	268 59	(106 35)	HAIN	L
18	HAIN CELESTIAL GROUP INC	29 0375	06/18/2007	522 68	15 8001	12/22/2009	284 39	(238 29)	HAIN	L
20	HAIN CELESTIAL GROUP INC	27 8297	07/13/2007	556 59	15 8001	12/22/2009	315 99	(240 60)	HAIN	L
16	HAIN CELESTIAL GROUP INC	26 5704	05/16/2008	425 13	15 8001	12/22/2009	252 79	(172 34)	HAIN	L
24	HAIN CELESTIAL GROUP INC	15 2723	01/30/2009	366 54	15 8001	12/22/2009	379 19	12 65	HAIN	S
17	HAIN CELESTIAL GROUP INC	16 6639	08/26/2009	283 29	15 8001	12/22/2009	268 59	(14 70)	HAIN	S
10	HUB GROUP INC CLA	18 6125	03/26/2009	186 12	25 9105	11/04/2009	259 10	72 98	HUBG	S
20	HUB GROUP INC CLA	20 5442	04/14/2009	410 88	27 7200	12/22/2009	554 38	143 50	HUBG	S
12	HUB GROUP INC CLA	21 5079	05/19/2009	258 09	27 7200	12/22/2009	332 63	74 54	HUBG	S
13	HUB GROUP INC CLA	20 6561	06/17/2009	268 53	27 7200	12/22/2009	360 35	91 82	HUBG	S
14	HUB GROUP INC CLA	24 0358	09/21/2009	336 50	27 7200	12/22/2009	388 07	51 57	HUBG	S
14	IMAX CORP	7 2747	06/02/2009	101 85	12 7000	12/22/2009	177 80	75 95	IMAX	S
22	IMAX CORP	7 2747	06/02/2009	160 04	12 2964	12/11/2009	270 51	110 47	IMAX	S
43	IMAX CORP	9 1000	08/12/2009	391 30	12 7000	12/22/2009	546 08	154 78	IMAX	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-82

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
3	INTEGRA LIFESCIENCES HLDGS CP COM NEW	43 7137	01/14/2008	131 14	35 9000	12/22/2009	107 70	(23 44)	IART	L
8	INTEGRA LIFESCIENCES HLDGS CP COM NEW	44 5580	01/17/2008	356 46	35 9000	12/22/2009	287 19	(69 27)	IART	L
12	INTEGRA LIFESCIENCES HLDGS CP COM NEW	41 8745	02/29/2008	502 49	35 9000	12/22/2009	430 79	(71 70)	IART	L
10	INTEGRA LIFESCIENCES HLDGS CP COM NEW	41 8666	05/19/2008	418 67	35 9000	12/22/2009	358 99	(59 68)	IART	L
8	INTEGRA LIFESCIENCES HLDGS CP COM NEW	25 3964	04/27/2009	203 17	35 9000	12/22/2009	287 19	84 02	IART	S
17	INTREPID POTASH INC	30 7416	12/04/2009	522 61	28 3300	12/22/2009	481 60	(41 01)	IPI	S
10	INTREPID POTASH INC	29 7591	12/15/2009	297 59	28 3300	12/22/2009	283 29	(14 30)	IPI	S
11	INTREPID POTASH INC	28 3106	12/21/2009	311 42	28 3300	12/22/2009	311 62	0 20	IPI	S
38	JANUS CAP GROUP INC	13 2633	11/04/2009	504 01	13 4000	12/22/2009	509 19	5 18	JNS	S
18	JANUS CAP GROUP INC	13 1030	11/05/2009	235 85	13 4000	12/22/2009	241 19	5 34	JNS	S
24	JANUS CAP GROUP INC	13 1604	11/24/2009	315 85	13 4000	12/22/2009	321 59	5 74	JNS	S
3	KANSAS CITY SOUTHERN COM NEW	14 0111	04/08/2009	42 03	26 0919	11/03/2009	78 27	36 24	KSU	S
1	KANSAS CITY SOUTHERN COM NEW	15 9916	04/15/2009	15 99	32 8600	12/22/2009	32 86	16 87	KSU	S
6	KANSAS CITY SOUTHERN COM NEW	15 9916	04/15/2009	95 95	26 0919	11/03/2009	156 55	60 60	KSU	S
9	KANSAS CITY SOUTHERN COM NEW	15 9916	04/15/2009	143 93	31 7179	12/16/2009	285 45	141 52	KSU	S
16	KANSAS CITY SOUTHERN COM NEW	14 9653	04/29/2009	239 44	32 8600	12/22/2009	525 75	286 31	KSU	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-83

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
16	KANSAS CITY SOUTHERN COM NEW	15 6375	06/22/2009	250 20	32 8600	12/22/2009	525 75	275 55	KSU	S
60	KEY ENERGY SVCS INC	6 4529	07/17/2009	387 17	9 0400	12/22/2009	542 38	155 21	KEG	S
34	KEY ENERGY SVCS INC	7 4709	08/04/2009	254 01	9 0400	12/22/2009	307 35	53 34	KEG	S
43	KEY ENERGY SVCS INC	6 5506	08/06/2009	281 68	9 0400	12/22/2009	388 71	107 03	KEG	S
34	KEY ENERGY SVCS INC	8 0176	11/10/2009	272 60	9 0400	12/22/2009	307 35	34 75	KEG	S
29	KEY ENERGY SVCS INC	8 8744	12/18/2009	257 36	9 0400	12/22/2009	262 15	4 79	KEG	S
34	KING PHARMACEUTICALS INC	9 1576	05/20/2009	311 36	12 2200	12/22/2009	415 47	104 11	KG	S
27	KING PHARMACEUTICALS INC	9 6192	06/08/2009	259 72	12 2200	12/22/2009	329 93	70 21	KG	S
25	KING PHARMACEUTICALS INC	9 5720	06/30/2009	239 30	12 2200	12/22/2009	305 49	66 19	KG	S
28	KING PHARMACEUTICALS INC	10 5045	09/08/2009	294 13	12 2200	12/22/2009	342 15	48 02	KG	S
25	KING PHARMACEUTICALS INC	11 4066	12/08/2009	285 17	12 2200	12/22/2009	305 49	20 32	KG	S
37	L-1 IDENTITY SOLUTIONS INC	6 4349	04/13/2009	238 09	6 4000	12/22/2009	236 79	(1 30)	ID	S
32	L-1 IDENTITY SOLUTIONS INC	8 2921	05/06/2009	265 35	6 4000	12/22/2009	204 79	(60 56)	ID	S
40	L-1 IDENTITY SOLUTIONS INC	7 9399	08/03/2009	317 60	6 4000	12/22/2009	255 99	(61 61)	ID	S
29	L-1 IDENTITY SOLUTIONS INC	7 1402	08/14/2009	207 07	6 4000	12/22/2009	185 59	(21 48)	ID	S
14	L-1 IDENTITY SOLUTIONS INC	7 0440	08/17/2009	98 62	6 4000	12/22/2009	89 60	(9 02)	ID	S
50	L-1 IDENTITY SOLUTIONS INC	7 5721	08/24/2009	378 61	6 4000	12/22/2009	319 99	(58 62)	ID	S
7	LIFE TIME FITNESS INC	36 1818	06/17/2008	253 27	26 0400	12/22/2009	182 27	(71 00)	LTM	L
17	LIFE TIME FITNESS INC	29 5045	07/02/2008	501 58	26 0400	12/22/2009	442 66	(58 92)	LTM	L
11	LIFE TIME FITNESS INC	34 0206	07/22/2008	374 23	26 0400	12/22/2009	286 43	(87 80)	LTM	L
10	LIFE TIME FITNESS INC	25 2132	10/22/2009	252 13	26 0400	12/22/2009	260 39	8 26	LTM	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12 16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-84

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
97	LODGENET INTERACTIVE CORP	3 6553	06/23/2009	354 57	5 5478	12/22/2009	538 12	183 55	LNET	S
29	LODGENET INTERACTIVE CORP	4 1842	07/27/2009	121 34	5 5478	12/22/2009	160 88	39 34	LNET	S
63	LODGENET INTERACTIVE CORP	5 6304	08/05/2009	354 72	5 5478	12/22/2009	349 50	(5 22)	LNET	
2	MAGELLAN HEALTH SVCS INC COM NEW	43 3962	02/22/2007	86 79	38 9727	12/15/2009	77 94	(8 85)	MGLN	L
4	MAGELLAN HEALTH SVCS INC COM NEW	42 4090	07/31/2007	169 64	38 9727	12/15/2009	155 89	(13 75)	MGLN	L
7	MAGELLAN HEALTH SVCS INC COM NEW	42 4090	07/31/2007	296 86	41 2600	12/22/2009	288 81	(8 05)	MGLN	L
10	MAGELLAN HEALTH SVCS INC COM NEW	35 7976	07/07/2008	357 98	41 2600	12/22/2009	412 59	54 61	MGLN	L
5	MAGELLAN HEALTH SVCS INC COM NEW	32 0135	02/26/2009	160 07	41 2600	12/22/2009	206 29	46 22	MGLN	S
8	MAGELLAN HEALTH SVCS INC COM NEW	29 3722	05/04/2009	234 98	41 2600	12/22/2009	330 07	95 09	MGLN	S
9	MAGELLAN HEALTH SVCS INC COM NEW	30 7019	05/20/2009	276 32	41 2600	12/22/2009	371 33	95 01	MGLN	S
7	MASTEC INC	10 1002	09/08/2009	70 70	13 0299	12/16/2009	91 20	20 50	MTZ	S
11	MASTEC INC	10 1002	09/08/2009	111 10	13 0227	12/15/2009	143 24	32 14	MTZ	S
3	MASTEC INC	11 0562	09/09/2009	33 17	13 0299	12/16/2009	39 09	5 92	MTZ	S
24	MASTEC INC	11 0562	09/09/2009	265 35	12 6000	12/22/2009	302 39	37 04	MTZ	S
28	MASTEC INC	11 5217	09/11/2009	322 61	12 6000	12/22/2009	352 79	30 18	MTZ	S
28	MASTEC INC	11 9265	09/18/2009	333 94	12 6000	12/22/2009	352 79	18 85	MTZ	S
11	MICROS SYS INC	30 4098	05/07/2008	334 51	30 8400	12/22/2009	339 23	4 72	MCRS	L

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-85

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
13	MICROS SYS INC	16 9389	11/05/2008	220 21	30 8400	12/22/2009	400 91	180 70	MCRS	L
11	MICROS SYS INC	16 9700	02/10/2009	186 67	30 8400	12/22/2009	339 23	152 56	MCRS	S
21	NATIONAL PENN BANCSHARES INC	5 7799	09/09/2009	121 38	5 9626	12/22/2009	125 21	3 83	NPBC	S
45	NATIONAL PENN BANCSHARES INC	5 7799	09/09/2009	260 09	5 7988	11/09/2009	260 94	0 85	NPBC	S
60	NATIONAL PENN BANCSHARES INC	5 7799	09/09/2009	346 79	5 5063	11/24/2009	330 37	(16 42)	NPBC	S
51	NATIONAL PENN BANCSHARES INC	6 1481	09/14/2009	313 55	5 9626	12/22/2009	304 09	(9 46)	NPBC	S
69	NATIONAL PENN BANCSHARES INC	5 9337	10/07/2009	408 74	5 9626	12/22/2009	411 41	2 67	NPBC	S
46	NATIONAL PENN BANCSHARES INC	6 3259	10/14/2009	290 99	5 9626	12/22/2009	274 27	(16 72)	NPBC	S
4	NII HLDGS INC CL B NEW	14 6044	04/22/2009	58 42	30 8708	12/01/2009	123 48	65 06	NIHD	S
8	NII HLDGS INC CL B NEW	14 6044	04/22/2009	116 84	30 8306	11/23/2009	246 63	129 79	NIHD	S
14	NII HLDGS INC CL B NEW	20 2885	05/29/2009	284 04	30 8708	12/01/2009	432 17	148 13	NIHD	S
17	NTELOS HLDGS CORP	15 7102	04/20/2009	267 08	17 7200	12/22/2009	301 23	34 16	NTLS	S
15	NTELOS HLDGS CORP	16 0691	04/30/2009	241 04	17 7200	12/22/2009	265 79	24 75	NTLS	S
12	NTELOS HLDGS CORP	19 1006	05/04/2009	229 21	17 7200	12/22/2009	212 63	(16 58)	NTLS	S
7	NTELOS HLDGS CORP	18 4749	06/29/2009	129 32	17 7200	12/22/2009	124 04	(5 28)	NTLS	S
7	NTELOS HLDGS CORP	18 4323	06/30/2009	129 03	17 7200	12/22/2009	124 04	(4 99)	NTLS	S
17	NTELOS HLDGS CORP	16 1568	07/23/2009	274 67	17 7200	12/22/2009	301 23	26 56	NTLS	S
28	OLD DOMINION FIGHT LINES INC	30 4095	10/14/2009	851 47	31 5400	12/22/2009	883 09	31 62	ODFL	S
10	OLD DOMINION FIGHT LINES INC	27 8427	11/11/2009	278 43	31 5400	12/22/2009	315 39	36 96	ODFL	S
41	ON SEMICONDUCTOR CORP	5 0052	03/18/2008	205 21	7 8323	11/13/2009	321 11	115 90	ONNN	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
9	ON SEMICONDUCTOR CORP	3 3855	02/20/2009	30 47	7 8323	11/13/2009	70 49	40 02	ONNN	S
53	ON SEMICONDUCTOR CORP	3 3855	02/20/2009	179 43	8 8101	12/22/2009	466 92	287 49	ONNN	S
34	ON SEMICONDUCTOR CORP	4 1686	03/12/2009	141 73	8 8101	12/22/2009	299 53	157 80	ONNN	S
45	ON SEMICONDUCTOR CORP	4 9646	04/24/2009	223 41	8 8101	12/22/2009	396 44	173 03	ONNN	S
22	ORBITAL SCIENCES CORP	14 4654	08/21/2009	318 24	12 5106	12/01/2009	275 22	(43 02)	ORB	S
28	ORBITAL SCIENCES CORP	14 6218	08/24/2009	409 41	12 5106	12/01/2009	350 28	(59 13)	ORB	S
22	ORBITAL SCIENCES CORP	15 5297	09/18/2009	341 65	12 5106	12/01/2009	275 22	(66 43)	ORB	S
5	OSI PHARMACEUTICALS INC	33 0167	04/24/2009	165 08	31 0900	12/22/2009	155 45	(9 64)	OSIP	S
9	OSI PHARMACEUTICALS INC	33 3648	05/08/2009	300 28	31 0900	12/22/2009	279 80	(20 48)	OSIP	S
8	OSI PHARMACEUTICALS INC	35 0831	07/30/2009	280 66	31 0900	12/22/2009	248 71	(31 95)	OSIP	S
9	OSI PHARMACEUTICALS INC	33 9029	08/21/2009	305 13	31 0900	12/22/2009	279 80	(25 33)	OSIP	S
8	OSI PHARMACEUTICALS INC	32 7513	09/08/2009	262 01	31 0900	12/22/2009	248 71	(13 30)	OSIP	S
10	OSI PHARMACEUTICALS INC	35 9614	09/21/2009	359 61	31 0900	12/22/2009	310 89	(48 72)	OSIP	S
40	PAETEC HOLDING CORP	9 1521	02/13/2008	366 08	4 3926	12/22/2009	175 70	(190 38)	PAET	L
42	PAETEC HOLDING CORP	7 9076	03/05/2008	332 12	4 3926	12/22/2009	184 48	(147 63)	PAET	L
61	PAETEC HOLDING CORP	6 9606	03/26/2008	424 60	4 3926	12/22/2009	267 94	(156 66)	PAET	L
51	PAETEC HOLDING CORP	7 4489	04/24/2008	379 89	4 3926	12/22/2009	224 02	(155 87)	PAET	L
54	PAETEC HOLDING CORP	2 5074	08/14/2009	135 40	4 3926	12/22/2009	237 19	101 79	PAET	S
41	PAETEC HOLDING CORP	2 5922	08/17/2009	106 28	4 3926	12/22/2009	180 09	73 81	PAET	S
28	PAETEC HOLDING CORP	2 5989	08/18/2009	72 77	4 3926	12/22/2009	122 99	50 22	PAET	S
101	PAETEC HOLDING CORP	3 3902	09/22/2009	342 41	4 3926	12/22/2009	443 64	101 23	PAET	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
21	PIER I IMPORTS INC	3 4313	09/21/2009	72 06	4 8740	12/07/2009	102 34	30 28	PIR	S
34	PIER I IMPORTS INC	3 4313	09/21/2009	116 66	4 8526	12/14/2009	164 98	48 32	PIR	S
77	PIER I IMPORTS INC	3 4313	09/21/2009	264 21	5 5900	12/22/2009	430 42	166 21	PIR	S
74	PIER I IMPORTS INC	3 5732	10/02/2009	264 42	5 5900	12/22/2009	413 65	149 23	PIR	S
7	PIER I IMPORTS INC	3 7129	10/29/2009	25 99	5 5900	12/22/2009	39 13	13 14	PIR	S
61	PIER I IMPORTS INC	3 5670	10/30/2009	217 59	5 5900	12/22/2009	340 98	123 39	PIR	S
13	PINNACLE ENTMT INC	26 0167	09/10/2007	338 22	9 3500	12/22/2009	121 55	(216 67)	PNK	L
25	PINNACLE ENTMT INC	14 1199	04/02/2008	353 00	9 3500	12/22/2009	233 74	(119 26)	PNK	L
10	PINNACLE ENTMT INC	13 0249	04/16/2008	130 25	9 3500	12/22/2009	93 50	(36 75)	PNK	L
22	PINNACLE ENTMT INC	14 2086	04/18/2008	312 59	9 3500	12/22/2009	205 69	(106 89)	PNK	L
30	PINNACLE ENTMT INC	12 3629	06/12/2008	370 89	9 3500	12/22/2009	280 49	(90 40)	PNK	L
42	PINNACLE ENTMT INC	6 1913	10/03/2008	260 03	9 3500	12/22/2009	392 69	132 66	PNK	L
29	PINNACLE ENTMT INC	10 2540	08/24/2009	297 37	9 3500	12/22/2009	271 14	(26 23)	PNK	S
36	PINNACLE ENTMT INC	10 1224	09/16/2009	364 41	9 3500	12/22/2009	336 59	(27 82)	PNK	S
26	PMC-SIERRA INC	7 0600	03/30/2007	183 56	8 4301	12/22/2009	219 18	35 62	PMCS	L
75	PMC-SIERRA INC	5 1396	01/18/2008	385 47	8 4301	12/22/2009	632 24	246 77	PMCS	L
28	PMC-SIERRA INC	9 2945	10/08/2009	260 25	8 4301	12/22/2009	236 04	(24 21)	PMCS	S
31	PMC-SIERRA INC	9 5744	10/19/2009	296 81	8 4301	12/22/2009	261 33	(35 48)	PMCS	S
41	POLYONE CORP	6 7593	09/16/2009	277 13	7 2300	12/22/2009	296 42	19 29	POL	S
35	POLYONE CORP	6 7358	09/17/2009	235 75	7 2300	12/22/2009	253 04	17 29	POL	S
43	POLYONE CORP	6 9411	09/28/2009	298 47	7 2300	12/22/2009	310 88	12 41	POL	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010
Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-88

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
44	POLYONE CORP	6.3967	10/02/2009	281.45	7.2300	12/22/2009	318.11	36.66	POL	S
38	POLYONE CORP	6.2470	11/04/2009	237.39	7.2300	12/22/2009	274.73	37.34	POL	S
34	QUIKSILVER INC	2.2063	05/22/2009	75.01	2.0500	12/22/2009	69.70	(5.32)	ZQK	S
106	QUIKSILVER INC	2.4234	05/26/2009	256.88	2.0500	12/22/2009	217.29	(39.59)	ZQK	S
27	QUIKSILVER INC	2.8833	05/29/2009	77.85	2.0500	12/22/2009	55.35	(22.50)	ZQK	S
85	QUIKSILVER INC	2.9106	06/09/2009	247.40	2.0500	12/22/2009	174.24	(73.16)	ZQK	S
132	QUIKSILVER INC	2.0206	07/27/2009	266.72	2.0500	12/22/2009	270.59	3.87	ZQK	S
96	QUIKSILVER INC	2.7566	08/27/2009	264.63	2.0500	12/22/2009	196.79	(67.84)	ZQK	S
3	RENAISSANCERE HOLDINGS LTD	46.5236	05/12/2009	139.57	52.5356	12/08/2009	157.60	18.03	RNR	S
5	RENAISSANCERE HOLDINGS LTD	45.3165	05/20/2009	226.58	52.5356	12/08/2009	262.67	36.09	RNR	S
1	RENAISSANCERE HOLDINGS LTD	46.1318	06/01/2009	46.13	52.5356	12/08/2009	52.53	6.40	RNR	S
4	RENAISSANCERE HOLDINGS LTD	46.1318	06/01/2009	184.53	52.2356	12/09/2009	208.93	24.40	RNR	S
25	SANMINA SCI CORP COM NEW	6.5993	09/04/2009	164.98	8.4586	11/09/2009	211.46	46.48	SANM	S
35	SANMINA SCI CORP COM NEW	6.5993	09/04/2009	230.98	7.6404	11/05/2009	267.40	36.42	SANM	S
5	SANMINA SCI CORP COM NEW	6.9498	09/08/2009	34.75	8.4586	11/09/2009	42.29	7.54	SANM	S
6	SANMINA SCI CORP COM NEW	6.9498	09/08/2009	41.70	8.5050	11/23/2009	51.02	9.32	SANM	S
19	SANMINA SCI CORP COM NEW	6.9498	09/08/2009	132.05	9.5925	12/08/2009	182.25	50.21	SANM	S
25	SANMINA SCI CORP COM NEW	6.9498	09/08/2009	173.75	8.2813	11/20/2009	207.02	33.27	SANM	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-89

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
8	SANMINA SCI CORP COM NEW	8 3170	09/16/2009	66 54	9 5925	12/08/2009	76 74	10 20	SANM	S
71	SANMINA SCI CORP COM NEW	8 3170	09/16/2009	590 50	10 3226	12/22/2009	732 88	142 38	SANM	S
44	SANMINA SCI CORP COM NEW	7 6344	09/25/2009	335 91	10 3226	12/22/2009	454 18	118 27	SANM	S
12	SAVVIS INC COM NEW	22 2346	01/18/2008	266 81	14 3000	12/22/2009	171 59	(95 22)	SVVS	L
23	SAVVIS INC COM NEW	19 3671	04/07/2008	445 44	14 3000	12/22/2009	328 89	(116 55)	SVVS	L
18	SAVVIS INC COM NEW	19 8040	04/28/2008	356 47	14 3000	12/22/2009	257 39	(99 08)	SVVS	L
20	SAVVIS INC COM NEW	15 8370	06/16/2008	316 74	14 3000	12/22/2009	285 99	(30 75)	SVVS	L
21	SAVVIS INC COM NEW	13 6442	12/17/2009	286 53	14 3000	12/22/2009	300 29	13 76	SVVS	S
8	SBA COMMUNICATIONS CORP	26 0527	03/05/2007	208 42	31 4597	11/20/2009	251 67	43 25	SBAC	L
1	SBA COMMUNICATIONS CORP	33 1717	11/14/2007	33 17	31 4597	11/20/2009	31 46	(1 71)	SBAC	L
15	SBA COMMUNICATIONS CORP	33 1717	11/14/2007	497 58	34 9900	12/22/2009	524 84	27 26	SBAC	L
15	SBA COMMUNICATIONS CORP	27 7233	09/23/2008	415 85	34 9900	12/22/2009	524 83	108 99	SBAC	L
14	SBA COMMUNICATIONS CORP	25 0210	10/01/2008	350 29	34 9900	12/22/2009	489 85	139 56	SBAC	L
14	SBA COMMUNICATIONS CORP	13 3924	10/10/2008	187 49	34 9900	12/22/2009	489 85	302 36	SBAC	L
1	SBA COMMUNICATIONS CORP	19 0212	10/14/2008	19 02	34 6785	12/22/2009	34 68	15 66	SBAC	L
12	SBA COMMUNICATIONS CORP	19 0212	10/14/2008	228 26	34 9900	12/22/2009	419 87	191 61	SBAC	L
9	SBA COMMUNICATIONS CORP	23 7181	06/24/2009	213 46	34 6785	12/22/2009	312 10	98 64	SBAC	S

Positions and/or balances marked with an * have been posted to a non-U.S. currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
11	SCIENTIFIC GAMES CORP CL A	14 7856	04/14/2009	162 64	14 2500	12/22/2009	156 75	(5 90)	SGMS	S
14	SCIENTIFIC GAMES CORP CL A	17 1197	04/29/2009	239 68	14 2500	12/22/2009	199 49	(40 19)	SGMS	S
19	SCIENTIFIC GAMES CORP CL A	16 3162	09/17/2009	310 01	14 2500	12/22/2009	270 74	(39 27)	SGMS	S
22	SCIENTIFIC GAMES CORP CL A	14 9694	11/05/2009	329 33	14 2500	12/22/2009	313 49	(15 84)	SGMS	S
6	SIGNET JEWELERS LIMITED	18 5699	06/23/2009	111 42	25 6000	12/22/2009	153 60	42 18	SIG	S
12	SIGNET JEWELERS LIMITED	21 6340	07/27/2009	259 61	25 6000	12/22/2009	307 19	47 58	SIG	S
12	SIGNET JEWELERS LIMITED	24 9218	09/25/2009	299 06	25 6000	12/22/2009	307 19	8 13	SIG	S
11	SIGNET JEWELERS LIMITED	23 7972	12/08/2009	261 77	25 6000	12/22/2009	281 59	19 82	SIG	S
7	SYNOVUS FINL CORP	3 1459	07/28/2009	22 02	2 0200	12/22/2009	14 14	(7 88)	SNV	S
175	SYNOVUS FINL CORP	3 3179	07/29/2009	580 63	2 0200	12/22/2009	353 49	(227 14)	SNV	S
72	SYNOVUS FINL CORP	3 8901	08/06/2009	280 09	2 0200	12/22/2009	145 44	(134 65)	SNV	S
75	SYNOVUS FINL CORP	3 9576	08/21/2009	296 82	2 0200	12/22/2009	151 50	(145 32)	SNV	S
174	SYNOVUS FINL CORP	3 6833	09/14/2009	640 89	2 0200	12/22/2009	351 47	(289 42)	SNV	S
89	SYNOVUS FINL CORP	3 0116	10/23/2009	268 03	2 0200	12/22/2009	179 77	(88 26)	SNV	S
107	SYNOVUS FINL CORP	2 3321	10/30/2009	249 53	2 0200	12/22/2009	216 13	(33 40)	SNV	S
110	TERREMARK WORLDWIDE INC COM NEW	8 0000	03/22/2007	880 00	6 6026	12/22/2009	726 27	(153 73)	TMRK	L
62	TERREMARK WORLDWIDE INC COM NEW	5 3436	09/16/2009	331 30	6 6026	12/22/2009	409 35	78 05	TMRK	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
11	TERREMARK WORLDWIDE INC COM NEW	5 9988	10/05/2009	65 99	6 6026	12/22/2009	72 63	6 64	TMRK	S
19	TERREMARK WORLDWIDE INC COM NEW	6 6520	11/19/2009	126 39	6 6026	12/22/2009	125 45	(0 94)	TMRK	S
11	TEXAS CAPITAL BANCSHARES INC	15 2758	05/11/2009	168 04	14 1800	12/22/2009	155 97	(12 06)	TCBI	S
18	TEXAS CAPITAL BANCSHARES INC	13 8375	05/21/2009	249 08	14 1800	12/22/2009	255 23	6 15	TCBI	S
24	TEXAS CAPITAL BANCSHARES INC	15 9844	06/01/2009	383 63	14 1800	12/22/2009	340 31	(43 32)	TCBI	S
32	TEXAS CAPITAL BANCSHARES INC	15 3732	06/22/2009	491 94	14 1800	12/22/2009	453 74	(38 20)	TCBI	S
50	TITAN INTL INC ILL	6 6865	05/05/2009	334 32	7 6900	12/22/2009	384 49	50 16	TWI	S
21	TITAN INTL INC ILL	7 8762	05/08/2009	165 40	7 6900	12/22/2009	161 48	(3 92)	TWI	S
32	TITAN INTL INC ILL	8 2271	05/11/2009	263 27	7 6900	12/22/2009	246 07	(17 20)	TWI	S
25	TITAN INTL INC ILL	8 1023	08/03/2009	202 56	7 6900	12/22/2009	192 24	(10 32)	TWI	S
34	TITAN INTL INC ILL	7 7622	12/15/2009	263 91	7 6900	12/22/2009	261 45	(2 46)	TWI	S
15	TRIQUINT SEMICONDUCTOR INC	2 1845	03/10/2009	32 77	6 2600	12/22/2009	93 90	61 13	TQNT	S
71	TRIQUINT SEMICONDUCTOR INC	2 3577	03/23/2009	167 40	6 2600	12/22/2009	444 45	277 05	TQNT	S
75	TRIQUINT SEMICONDUCTOR INC	2 4169	03/26/2009	181 27	6 2600	12/22/2009	469 49	288 22	TQNT	S
39	TRIQUINT SEMICONDUCTOR INC	7 0659	10/02/2009	275 57	6 2600	12/22/2009	244 13	(31 44)	TQNT	S
50	TRIQUINT SEMICONDUCTOR INC	5 3369	11/20/2009	266 85	6 2600	12/22/2009	312 99	46 14	TQNT	S
20	VAIL RESORTS INC	38 7283	11/12/2009	774 57	39 4800	12/22/2009	789 58	15 01	MTN	S
3	VAIL RESORTS INC	38 2114	11/27/2009	114 63	39 4800	12/22/2009	118 44	3 81	MTN	S
5	VAIL RESORTS INC	38 0700	11/30/2009	190 35	39 4800	12/22/2009	197 39	7 04	MTN	S
9	VAIL RESORTS INC	37 3063	12/08/2009	335 76	39 4800	12/22/2009	355 31	19 55	MTN	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-92

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
3	VALUECLICK INC	24 5642	01/16/2007	73 69	9 6801	12/22/2009	29 04	(44 65)	VCLK	L
17	VALUECLICK INC	25 0919	01/23/2007	426 56	9 6801	12/22/2009	164 56	(262 00)	VCLK	L
18	VALUECLICK INC	26 6767	04/02/2007	480 18	9 6801	12/22/2009	174 24	(305 94)	VCLK	L
21	VALUECLICK INC	20 1615	08/27/2007	423 39	9 6801	12/22/2009	203 28	(220 11)	VCLK	L
21	VALUECLICK INC	23 1617	10/02/2007	486 40	9 6801	12/22/2009	203 28	(283 12)	VCLK	L
34	VALUECLICK INC	11 3000	07/29/2008	384 20	9 6801	12/22/2009	329 11	(55 09)	VCLK	L
4	WALTER ENERGY INC	46 2346	07/23/2009	184 94	76 4114	12/16/2009	305 64	120 70	WLT	S
5	WALTER ENERGY INC	46 2346	07/23/2009	231 17	77 1000	12/22/2009	385 49	154 32	WLT	S
6	WALTER ENERGY INC	48 0338	07/24/2009	288 20	77 1000	12/22/2009	462 59	174 39	WLT	S
6	WALTER ENERGY INC	51 4104	08/03/2009	308 46	77 1000	12/22/2009	462 59	154 13	WLT	S
5	WALTER ENERGY INC	54 1159	08/27/2009	270 58	77 1000	12/22/2009	385 49	114 91	WLT	S
9	WARNACO GROUP INC COM NEW	28 5973	10/21/2008	257 38	41 9700	12/22/2009	377 72	120 34	WRC	L
9	WARNACO GROUP INC COM NEW	23 9636	10/28/2008	215 67	41 9700	12/22/2009	377 72	162 05	WRC	L
13	WARNACO GROUP INC COM NEW	16 4283	11/06/2008	213 57	41 9700	12/22/2009	545 59	332 02	WRC	L
5	WEBSTER FINL CORP CONN	6 7709	05/07/2009	33 85	12 2200	12/22/2009	61 10	27 24	WBS	S
49	WEBSTER FINL CORP CONN	7 9085	06/01/2009	387 52	12 2200	12/22/2009	598 76	211 24	WBS	S
37	WEBSTER FINL CORP CONN	7 7977	06/22/2009	288 51	12 2200	12/22/2009	452 13	163 62	WBS	S
30	WEBSTER FINL CORP CONN	8 6188	07/16/2009	258 56	12 2200	12/22/2009	366 59	108 03	WBS	S
39	WESTERN ALLIANCE BANCORP	5 9721	10/14/2009	232 91	3 8050	12/22/2009	148 39	(84 52)	WAL	S
31	WESTERN ALLIANCE BANCORP	6 1145	10/15/2009	189 55	3 8050	12/22/2009	117 95	(71 60)	WAL	S

Positions and/or balances marked with an * have been posted to a non-U S currency account

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LEO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-93

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
76	WESTERN ALLIANCE BANCORP	6.1403	10/16/2009	466.66	3.8050	12/22/2009	289.17	(177.49)	WAL	\$
55	WESTERN ALLIANCE BANCORP	4.1540	12/02/2009	228.47	3.8050	12/22/2009	209.27	(19.20)	WAL	\$
2	WHITING PETE CORP NEW	29.3472	01/29/2009	58.69	72.2300	12/22/2009	144.46	85.76	WLL	\$
5	WHITING PETE CORP NEW	29.3472	01/29/2009	146.74	64.4829	12/08/2009	322.40	175.66	WLL	\$
7	WHITING PETE CORP NEW	38.2226	05/04/2009	267.56	72.2300	12/22/2009	505.60	238.04	WLL	\$
4	WHITING PETE CORP NEW	45.0839	06/03/2009	180.34	72.2300	12/22/2009	288.91	108.57	WLL	\$
8	WHITING PETE CORP NEW	34.4737	06/24/2009	275.79	72.2300	12/22/2009	577.82	302.03	WLL	\$
5	WHITING PETE CORP NEW	46.0797	07/31/2009	230.40	72.2300	12/22/2009	361.14	130.74	WLL	\$
17	WINTRUST FINANCIAL CORP	28.6693	09/22/2009	487.37	30.4700	12/22/2009	517.98	30.60	WTFC	\$
22	WINTRUST FINANCIAL CORP	28.0275	09/29/2009	616.61	30.4700	12/22/2009	670.32	53.71	WTFC	\$
9	WINTRUST FINANCIAL CORP	29.4767	10/09/2009	265.29	30.4700	12/22/2009	274.22	8.93	WTFC	\$
9	WINTRUST FINANCIAL CORP	31.7847	10/14/2009	286.06	30.4700	12/22/2009	274.22	(11.84)	WTFC	\$
11	WMS INDS INC	33.6274	07/14/2009	369.90	37.9900	12/22/2009	417.88	47.98	WMS	\$
7	WMS INDS INC	33.4575	07/16/2009	234.70	37.9900	12/22/2009	265.92	31.72	WMS	\$
7	WMS INDS INC	41.0029	08/07/2009	287.02	37.9900	12/22/2009	265.92	(21.10)	WMS	\$
6	WMS INDS INC	44.3314	10/27/2009	265.99	37.9900	12/22/2009	227.93	(38.06)	WMS	\$
58	WRIGHT MED GROUP INC	15.1577	08/07/2009	879.14	18.7678	12/22/2009	1,088.50	209.35	WMGI	\$
19	WRIGHT MED GROUP INC	15.9118	09/08/2009	302.32	18.7678	12/22/2009	356.58	54.26	WMGI	\$
10	WRIGHT MED GROUP INC	18.0880	09/21/2009	180.88	18.7678	12/22/2009	187.67	6.79	WMGI	\$
7	WRIGHT MED GROUP INC	18.0393	09/22/2009	126.28	18.7678	12/22/2009	131.37	5.09	WMGI	\$
14	WRIGHT MED GROUP INC	17.9013	11/05/2009	250.62	18.7678	12/22/2009	262.74	12.12	WMGI	\$

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

Realized Gain/(Loss) From 11/01/2009 To 10/31/2010

Held At Oppenheimer & Co.

Report printed on 11/01/2010 12:16 PM

LFO GOODWIN FOUNDATION INC

User Name Bjelajac/Hord

C-94

ATTACHED

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Symbol	Term G/L
15	WYNDHAM WORLDWIDE CORP	11 5668	05/26/2009	173 51	18 9796	11/12/2009	284 69	111 18	WYN	S
20	WYNDHAM WORLDWIDE CORP	11 5722	05/29/2009	231 44	18 9796	11/12/2009	379 58	148 14	WYN	S
20	WYNDHAM WORLDWIDE CORP	12 4081	06/09/2009	248 16	18 9796	11/12/2009	379 58	131 42	WYN	
21	WYNDHAM WORLDWIDE CORP	12 1142	06/30/2009	254 40	18 9796	11/12/2009	398 56	144 16	WYN	S
	TOTAL			111,642.56			120,886.45			
	TOTAL ST COST		77,069.55	TOTAL ST PROCEEDS			91,545.04			
	TOTAL LT COST		34,573.01	TOTAL LT PROCEEDS			29,341.41			
	TOTAL COST		111,642.56	TOTAL PROCEEDS			120,886.45			
	TOTAL SHORT TERM GAIN/(LOSS)					14,475.44				
	TOTAL LONG TERM GAIN/(LOSS)					-5,231.56				
	TOTAL REALIZED GAIN/(LOSS)					9,243.88				

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statement as the official record of your valued Oppenheimer & Co. account.

ATTACHMENT

C-95

Log Out

Accounts Trade Research Products Specialty Service Messages
Summary Balances Positions History Transfers & Payments Message Center

Contact Us

Enter keyword

Search

History

Export | Feedback | Print | Help | A A A

Page last updated 8:55 AM ET, 11/22/2010 Refresh Gateway-Mutuals 4126-9084

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

View Unrealized Gain/Loss

Rules & Assumptions

Select Date Range From To
Custom Date Range 11/01/2009 10/31/2010 Search

Reporting Period 01 Nov, 2009 to 31 Oct, 2010

Gateway-Mutuals 4126-9084	Proceeds	Cost Basis	Total Gain/Loss (\$1 %)	Long Term Gain/Loss (\$1 %)	Short Term Gain/Loss (\$1 %)
Total	\$1,402,577.67	\$1,342,558.80	+\$60,018.87	+\$58,745.86	+\$1,273.01

Symbol	Name (Full) (Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$1 %)	Long Term Gain/Loss (\$1 %)	Short Term Gain/Loss (\$1 %)
CVTRX	CALAMOS GROW	12/22/2009	3,744.711	\$106,836.60	\$80,015.18	+\$26,821.42	+\$26,821.42	--
CVTRX	CALAMOS GROW	07/01/2010	3,527.383	\$94,533.86	\$72,343.01	+\$22,190.85	+\$22,190.85	--
CVSIX	CALAMOS MARK	12/22/2009	4,934.726	\$56,996.09	\$54,555.15	+\$2,440.94	+\$2,440.94	--
DIAMX	DIAMOND HILL	12/22/2009	3,607.867	\$59,169.02	\$44,845.78	+\$14,323.24	--	+\$14,323.24
DIAMX	DIAMOND HILL	05/21/2010	2,763.42	\$41,838.18	\$34,848.86	+\$6,989.32	+\$6,989.32	--
FMAAX	FEDERATED MA	12/22/2009	3,374.06	\$35,393.89	\$37,013.44	-\$1,619.55	--	-\$1,619.55
FMAAX	FEDERATED MA	04/14/2010	7,521.663	\$75,216.63	\$84,586.56	-\$9,369.93	-\$3,500.36	-\$5,869.57
GADVX	GABELLI ABC	12/22/2009	3,009.212	\$29,380.14	\$29,143.28	+\$236.86	+\$236.86	--
GRSPX	GREENSPRING	12/22/2009	2,688.029	\$60,776.34	\$64,688.78	-\$3,912.44	-\$3,912.44	--
GRSPX	GREENSPRING	05/28/2010	1,916.451	\$44,442.50	\$44,825.78	-\$383.28	-\$363.28	--
LAAIX	LEUTHOLD ASS	05/21/2010	3,474.484	\$32,451.43	\$25,006.51	+\$7,444.92	+\$7,444.92	--
LAAIX	LEUTHOLD ASS	05/28/2010	1,981.23	\$18,875.94	\$14,254.56	+\$4,621.38	+\$4,621.38	--
LAAIX	LEUTHOLD ASS	12/22/2009	10,738.814	\$104,223.93	\$99,090.34	+\$5,133.59	+\$5,133.59	--
NARFX	NAKOMA ABSOL	12/22/2009	1,371.088	\$27,668.56	\$29,999.41	-\$2,330.85	-\$2,330.85	--
NARFX	NAKOMA ABSOL	04/05/2010	3,978.743	\$77,665.06	\$87,440.87	-\$9,775.81	-\$9,775.81	--
GTEYX	NATIXIS GATE	12/22/2009	2,418.715	\$60,998.42	\$69,116.07	-\$8,117.65	-\$8,117.65	--
PYHRX	PAYDEN HIGH	12/22/2009	8,275.862	\$57,600.00	\$49,902.64	+\$7,697.36	--	+\$7,697.36
PYHRX	PAYDEN HIGH	05/28/2010	6,187.683	\$42,323.75	\$38,335.50	+\$3,988.25	+\$3,455.39	+\$532.86
PRPEX	PERMANENT PO	02/05/2010	762.689	\$28,669.48	\$28,677.11	-\$7.63	-\$7.63	--
PRPEX	PERMANENT PO	12/22/2009	1,993.794	\$77,000.32	\$69,561.11	+\$7,439.21	+\$7,439.21	--
SH	PROSHARES SH	08/27/2010	1,015	\$53,618.07	\$51,447.05	+\$2,171.02	--	+\$2,171.02
SH	PROSHARES SH	09/13/2010	2,454	\$122,689.47	\$130,260.58	-\$7,571.11	--	-\$7,571.11
TBF	PROSHARES SH	05/07/2010	1,540	\$70,630.42	\$77,290.53	-\$6,660.11	--	-\$6,660.11
SH	PROSHARES SH	07/27/2010	466	\$23,579.57	\$25,310.70	-\$1,731.13	--	-\$1,731.13

⚠ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

⚠ Data for this holding has been edited

⚠ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur; it may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice, and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

(2008-7353)

Quote Show Indices DJIA NASDAQ S&P 500 Russell 2000 Time (ET) Refresh
Symbol Lookup 11,203.55 (0.00%) 2,518.12 (0.00%) 1,199.73 (0.00%) 724.36 (0.00%) 8:54:58 AM

ATTACHMENT C-96

Log Out

Accounts Trade Research Products Specialty Service Messages
Summary Balances Positions History Transfers & Payments Message Center

Contact Us Enter keyword Search

History

Export | Feedback | Print | Help | A A A

Page last updated 8:51 AM ET, 11/22/2010 Refresh Fairholme 4104-2001

Quick Links

Trades made today will not appear until tomorrow

Transactions Statements & Reports Realized Gain / Loss

[View Unrealized Gain/Loss](#)

[Rules & Assumptions](#)

Select Date Range From 11/01/2009 To 10/31/2010 Search

Reporting Period 01 Nov, 2009 to 31 Oct, 2010

Fairholme 4104-2001	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total	\$1,560,259.97	\$1,190,080.94	+\$370,179.03	+\$210,919.83	+\$159,259.20

Symbol	Name (Full) (Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
ACF	AMERICREDIT	02/03/2010	300	\$6,477.65	\$3,832.32	+\$2,645.33	-\$2,645.33	--
BAC	BANK OF AMER	02/03/2010	3,600	\$56,186.33	\$53,873.63	+\$2,312.70	--	+\$2,312.70
BRKB	BERKSHIRE HA	02/03/2010	1,800	\$134,160.98	\$112,030.33	+\$22,130.65	--	+\$22,130.65
CIT	CIT GROUP	02/03/2010	2,300	\$72,637.69	\$63,926.70	+\$8,710.99	--	+\$8,710.99
C	CITIGROUP IN	02/03/2010	33,800	\$115,247.58	\$116,996.05	-\$1,748.47	--	-\$1,748.47
CMCSK	COMCAST CP N	02/03/2010	2,600	\$39,562.54	\$41,126.39	-\$1,563.85	--	-\$1,563.85
ESVIF	ENSIGN ENERG	02/03/2010	2,300	\$33,445.73	\$31,304.66	+\$2,141.07	-\$4,556.60	+\$6,697.67
FRX	FOREST LABOR	12/21/2009	1,700	\$54,417.36	\$45,727.03	+\$8,690.33	+\$8,690.33	--
HTZ	HERTZ GLOBAL	02/03/2010	7,900	\$82,897.33	\$24,568.06	+\$58,329.27	+\$58,329.27	--
HTZ	HERTZ GLOBAL	12/04/2009	2,200	\$23,884.41	\$15,925.27	+\$7,959.14	+\$7,959.14	--
HTZ	HERTZ GLOBAL	12/23/2009	2,000	\$24,515.21	\$10,443.97	+\$14,071.24	+\$14,071.24	--
HTZ	HERTZ GLOBAL	12/14/2009	2,100	\$22,732.41	\$10,966.18	+\$11,766.23	+\$11,766.23	--
HUM	HUMANA INC	02/03/2010	2,700	\$131,901.38	\$89,274.81	+\$42,626.57	--	+\$42,626.57
LUK	LEUCADIA NAT	02/03/2010	6,300	\$146,873.68	\$116,960.25	+\$29,913.43	+\$29,913.43	--
PFE	PFIZER INCOR	11/30/2009	1,900	\$34,703.15	\$34,422.09	+\$281.06	+\$281.06	--
RRR	R S C HOLDIN	02/03/2010	100	\$686.24	\$716.18	-\$29.94	--	-\$29.94
RF	REGIONS FINA	02/03/2010	8,500	\$53,625.36	\$50,664.04	+\$2,961.32	--	+\$2,961.32
SHLD	SEARS HOLDIN	02/03/2010	1,300	\$120,471.95	\$86,491.82	+\$33,980.13	+\$11,192.34	+\$22,787.79
SPR	SPIRIT AEROS	02/01/2010	1,000	\$20,851.18	\$13,774.15	+\$7,077.03	+\$7,077.03	--
SPR	SPIRIT AEROS	02/03/2010	2,600	\$58,036.09	\$36,226.29	+\$21,809.80	+\$7,744.67	+\$14,065.13
JOE	ST JOE COMPA	02/03/2010	2,700	\$73,794.34	\$67,346.23	+\$6,448.11	-\$5,857.08	+\$12,305.19
WCG	WELLCARE HEA	12/14/2009	1,800	\$66,024.87	\$55,855.51	+\$10,169.36	-\$7,515.30	+\$17,684.66
WCG	WELLCARE HEA	02/03/2010	2,900	\$93,052.31	\$33,108.39	+\$59,943.92	+\$59,943.92	--
WLP	WELLPOINT IN	12/22/2009	400	\$24,044.95	\$18,764.89	+\$5,280.06	+\$1,386.10	+\$3,893.96
WLP	WELLPOINT-IN	12/08/2009	400	\$22,096.08	\$18,353.94	+\$3,742.14	+\$3,742.14	--
WLP	WELLPOINT IN	12/23/2009	500	\$29,991.07	\$23,566.24	+\$6,424.83	--	+\$6,424.83
WLP	WELLPOINT IN	12/21/2009	300	\$17,942.10	\$13,835.52	+\$4,106.58	+\$4,106.58	--

☒ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

☐ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

☐ Data for this holding has been edited

☐ Not Available

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur; it may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

ATTACHMENT C-97

Accounts Trade Research Products Guidance Service Messages
 Summary Balances Positions History Portfolio Analysis Transfers & Payments Message Center

History

Page last updated 8:42 AM ET, 11/22/2010 [Refresh](#) Charity Non-Profit 1125-1559

Quick

Transactions Statements & Reports Realized Gain / Loss

View Unrealized Gain/Loss

Select Date Range: From 11/01/2009 To 10/31/2010 Search
 Custom Date Range

Reporting Period: 01 Nov, 2009 to 31 Oct, 2010

Charity Non-Profit 1125-1559-LAT E F	Proceeds	Cost Basis	Gain/L (\$)
Total	\$770,003.81	\$630,963.99	+\$139,039.82

Symbol	Name (Full Short)	Closed Date	Quantity	Proceeds	Cost Basis	Gain (\$)
AFL	A F L A C IN	01/26/2010	805	\$40,917.54	\$34,437.03	+\$6,480.51
ACN	ACCENTURE PL ..	01/26/2010	939	\$39,411.26	\$34,190.15	+\$5,221.11
AMG	AFFILIATED M.	01/26/2010	413	\$26,881.13	\$28,116.77	-\$1,235.64
ADP	AUTO DATA PR	01/26/2010	869	\$35,716.92	\$37,496.25	-\$1,779.33
BRKB	BERKSHIRE HA	01/26/2010	700	\$48,060.06	\$40,480.89	+\$7,579.17
CHRW	C H ROBINSON .	01/26/2010	377	\$21,518.98	\$17,097.15	+\$4,421.83
CL	COLGATE-PALM	01/26/2010	449	\$36,105.46	\$32,352.93	+\$3,752.53
EMC	E M C CORP M	01/26/2010	2,923	\$51,698.26	\$29,852.78	+\$21,845.48
ECL	ECOLAB INC	01/26/2010	789	\$35,363.05	\$33,346.14	+\$2,016.91
EXPD	EXPEDITORS I	01/26/2010	943	\$32,553.17	\$34,736.57	-\$2,183.40
FAST	FASTENAL CO	01/26/2010	917	\$38,895.38	\$30,723.22	+\$8,172.16
ESI	I T T EDUC S ..	01/26/2010	568	\$62,403.23	\$60,285.05	+\$2,118.18
MA	MASTERCARD I	01/26/2010	188	\$46,987.44	\$30,139.88	+\$16,847.56
QCOM	QUALCOMM INC	01/26/2010	832	\$39,345.81	\$29,483.82	+\$9,861.99
COL	ROCKWELL COL .	11/09/2009	191	\$10,035.08	\$11,992.22	-\$1,957.14
COL	ROCKWELL COL	01/26/2010	704	\$37,600.64	\$33,587.33	+\$4,013.31
SNI	SCRIPPS NTWK.	01/26/2010	1,112	\$48,934.43	\$28,431.67	+\$20,502.76
SU	SUNCOR ENERG .	01/26/2010	1,332	\$44,238.05	\$25,630.64	+\$18,607.41
TDC	TERADATA COR..	01/26/2010	913	\$25,856.92	\$24,800.46	+\$1,056.46
V	VISA INC CL .	01/26/2010	577	\$47,481.00	\$33,783.04	+\$13,697.96

Quote Show Indices [Symbol Lookup](#) [DJIA](#) 11,203.55 0 (0.00%) [NASDAQ](#) 2,518.12 0 (0.00%) [S&P 500](#) 1,199.73 0 (0.00%) [Russell 2000](#) 724.36 0 (0.00%)

ATTENTION: C-98

Log Out

Accounts Trade Research Products Specialty Service Messages Contact Us Enter keyword Search

Summary Balances Positions History Transfers & Payments Message Center

History

Export | Feedback | Print | Help | A A A

Page last updated 8:49 AM ET 11/22/2010 Refresh Charity Non-Profit 1617-6932

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

View Unrealized Gain/Loss

Rules & Assumptions

Select Date Range From To

Custom Date Range 11/01/2009 10/31/2010 Search

Reporting Period 01 Nov, 2009 to 31 Oct, 2010

Charity Non-Profit 1617-6932 - <i>CONTRACT</i>	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total	\$612,182.96	\$604,217.46	+\$7,965.50	+\$0.00	+\$7,965.50

Symbol	Name (Full) (Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
CAAPX	ARIEL APPREC	04/27/2010	722.862	\$28,654.25	\$24,346.00	+\$4,308.25	--	+\$4,308.25
FAIRX	FAIRHOLME FU	04/27/2010	716.063	\$25,070.17	\$21,881.57	+\$3,188.60	--	+\$3,188.60
FAIRX	FAIRHOLME FU	07/01/2010	438.944	\$13,194.77	\$13,413.32	-\$218.55	--	-\$218.55
FBRVX	FBR FOCUS FU	04/27/2010	514.985	\$23,462.61	\$20,599.40	+\$2,863.21	--	+\$2,863.21
FMIHX	FMI LARGE CA	05/07/2010	3,556.63	\$50,610.84	\$49,330.46	+\$1,280.38	--	+\$1,280.38
FMIHX	FMI LARGE CA	05/10/2010	7,408.182	\$108,678.03	\$105,216.12	+\$3,461.91	--	+\$3,461.91
FMIHX	FMI LARGE CA	04/27/2010	1,155.844	\$17,395.45	\$16,031.56	+\$1,363.89	--	+\$1,363.89
JENIX	JENSEN PORTF	04/16/2010	4,187.636	\$107,880.38	\$100,101.24	+\$7,779.14	--	+\$7,779.14
LLINX	LONGLEAF PAR	04/27/2010	745.554	\$10,618.97	\$9,688.80	+\$930.17	--	+\$930.17
OSTFX	OSTERWEIS FU	04/27/2010	774.865	\$19,708.56	\$18,187.60	+\$1,520.96	--	+\$1,520.96
SH	PROSHARES SH	04/20/2010	1.901	\$91,171.16	\$103,167.33	-\$11,996.17	--	-\$11,996.17
RWM	PROSHS SHORT	09/17/2010	2.938	\$115,737.77	\$122,254.06	-\$6,516.29	--	-\$6,516.29

Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

Data for this holding has been edited.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur. It may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

(2008-7353)

Accounts | Trade | Research | Products | Specialty | Service
Summary | Balances | Positions | History | Transfers & Payments | Message Center

Return to Top

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank. Member FDIC and Equal Housing Lender.

Bank sweep accounts are generally held at Charles Schwab Bank. Funds deposited at Schwab Bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 when aggregated with all other deposits held by you in the same capacity at Schwab Bank. Funds on deposit at Schwab Bank are not deposits or obligations of Charles Schwab & Co., Inc. and may not be covered by the Securities Investor Protection Corporation (SIPC). NOTE: Funds deposited at an FDIC insured institution are insured, in aggregate, up to \$250,000 per depositor per insured institution based upon account type by the Federal Deposit Insurance Corporation (FDIC) for all insurable capacities except IRAs and certain other self-directed retirement accounts and plans.

©2010 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored.

Agreements | Privacy | SchwabSafe | USA Patriot Act | SIPC | FDIC Insurance | Site Map | Site Help

Quote Show Indices DJIA NASDAQ S&P 500 Russell 2000 Time (ET) Refresh

11,203.55 (0.00%) 2,618.12 (0.00%) 1,199.73 (0.00%) 724.36 (0.00%) 8:17:19 AM

Account: 4592-2022

Log Out

Accounts Trade Research Products Specialty Service Messages
Summary Balances Positions History Transfers & Payments Message Center

Contact Us Enter keyword Search

History

Export Feedback Print Help A A A

Page last updated 8:57 AM ET 11/22/2010 Refresh Chanty Non-Profit 4592-2022

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

View Unrealized Gain/Loss

Rules & Assumptions

Select Date Range From To
Custom Date Range 11/01/2009 10/31/2010 Search

Reporting Period 01 Nov, 2009 to 31 Oct, 2010

Charity Non-Profit 4592-2022	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total	\$548,928.68	\$560,567.13	-\$11,638.45	+\$0.00	-\$11,638.45

Symbol	Name (Full) (Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
CAAPX	ARIEL APPREC	03/23/2010	378.507	\$14,405.98	\$13,346.16	+\$1,059.82	--	+\$1,059.82
EMIHX	FMI LARGE CA	06/22/2010	17,679.777	\$247,163.28	\$264,827.48	-\$17,664.20	--	-\$17,664.20
EMIHX	FMI LARGE CA	03/23/2010	348.526	\$5,224.40	\$4,994.38	+\$230.02	--	+\$230.02
JENIX	JENSEN PORTF	04/16/2010	9,496.358	\$244,686.15	\$239,814.69	+\$4,871.46	--	+\$4,871.46
QSTFX	OSTERWEIS FU	06/22/2010	1,552.999	\$37,448.87	\$37,584.42	-\$135.55	--	-\$135.55

Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

Data for this holding has been edited.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur. It may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only. It is not intended as tax or legal advice and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions, please see the Help Section.

(2008-7353)

Accounts | Trade | Research | Products | Specialty | Service
Summary | Balances | Positions | History | Transfers & Payments | Message Center

Return to Top

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage). Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank. Member FDIC and Equal Housing Lender.

Bank sweep accounts are generally held at Charles Schwab Bank. Funds deposited at Schwab Bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 when aggregated with all other deposits held by you in the same capacity at Schwab Bank. Funds on deposit at Schwab Bank are not deposits or obligations of Charles Schwab & Co., Inc. and may not be covered by the Securities Investor Protection Corporation (SIPC). NOTE: Funds deposited at an FDIC insured institution are insured in aggregate up to \$250,000 per depositor per insured institution based upon account type by the Federal Deposit Insurance Corporation (FDIC) for all insurable capacities except IRAs and certain other self-directed retirement accounts and plans.

©2010 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored.

Agreements | Privacy | SchwabSafe | USA Patriot Act | SIPC® | FDIC Insurance | Site Map | Site Help

Account 4592-2022
Today's Date 11/22/10 08:57 AM EST

Quote Show Indices DJIA NASDAQ S&P 500 Russell 2000 Time (ET) Refresh
Symbol Lookup 11,203.55 0 (0.00%) 2,518.12 0 (0.00%) 1,199.73 0 (0.00%) 724.36 0 (0.00%) 8:54:58 AM

SCHWAB

Accounts > History

All Holdings C-100

Print in landscape for best results

History

Transactions Statements & Reports Realized Gain / Loss

Trades made today will not appear until tomorrow

[View Unrealized Gain/Loss](#)[Rules & Assumptions](#)

Select Date Range

From To

Reporting Period: 01 Nov, 2009 to 31 Oct, 2010

Leuthold 1125-9559	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
Total	\$1,466,077.46	\$1,657,196.91	-\$191,119.45	-\$191,119.45	+\$0.00

Symbol	Name (Full/Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss (\$ %)	Long Term Gain/Loss (\$ %)	Short Term Gain/Loss (\$ %)
LAIX	LEUTHOLD ASS	12/21/2009	151 616 071	\$1,466,077.46	\$1,657,196.91	-\$191,119.45	-\$191,119.45	--

☐ Total includes missing cost basis values for one or more lots. You can update missing cost basis values by using the Edit functionality—clicking on the Missing hyperlinks until reaching the Edit page.

☐ Data for this holding has been edited.

☐ The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions please see the Help Section.

N/A Not Available

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off.

Total Cash represents income and expenses as they occur; it may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement.

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

The information on this page is for estimating portfolio performance only; it is not intended as tax or legal advice, and should not be used for tax purposes. Schwab is not responsible for the accuracy or completeness of the information you supply. Please check your records carefully before supplying any information.

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes. Rather, income may be recognized when you receive a cash distribution from these accounts. Please contact your tax advisor for further information.

The total Realized Gain/Loss for this account includes values for Short Positions held in the account. For more information on summary totals when there are Short Positions please see the Help Section.

(2008-7353)

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Account XXXX-X559
Today's Date 11/22/10 08:47 AM EST

Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage). Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank. Member FDIC and Equal Housing Lender.

Bank sweep accounts are generally held at Charles Schwab Bank. Funds deposited at Schwab Bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 when aggregated with all other deposits held by you in the same capacity at Schwab Bank. Funds on deposit at Schwab Bank are not deposits or obligations of Charles Schwab & Co., Inc. and may not be covered by the Securities Investor Protection Corporation (SIPC). NOTE: Funds deposited at an FDIC insured institution are insured, in aggregate, up to \$250,000 per depositor, per insured institution based upon account type by the Federal Deposit Insurance Corporation (FDIC) for all insurable capacities except IRAs and certain other self-directed retirement accounts and plans.

©2010 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored.

As of 11/17/09

C-101

EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
49,0000	ABB LTD	03/31/08	11/16/09	958.73	1,317.25	(358.52) LT
149,0000	SPON ADR	03/31/08	11/16/09	2,920.84	4,005.51	(1,084.67) LT
181,0000	ABB LTD	03/31/08	11/17/09	3,441.52	4,865.77	(1,424.25) LT
4,0000	SPON ADR	06/19/08	11/17/09	76.06	123.35	(47.29) LT

PLEASE SEE REVERSE SIDE

x 00021920
Page 72 of 83
Statement Period Year Ending 10/31/10
Account No. 54C-04007

C-102
EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
124 0000	ABB LTD SPON ADR	06/19/08	11/18/09	2,375.68	3,824.12	(1,448.44) LT
3 0000	ABB LTD SPON ADR	10/30/08	11/18/09	57.48	36.57	20.91 LT
6 0000	BUNGE LIMITED	03/31/08	11/09/09	347.99	519.43	(171.44) LT
7 0000	BUNGE LIMITED	03/31/08	11/10/09	408.01	606.01	(198.00) LT
8 0000	BUNGE LIMITED	03/31/08	11/10/09	467.47	692.58	(225.11) LT
32 0000	BUNGE LIMITED	03/31/08	11/16/09	1,895.37	2,770.36	(874.99) LT
9 0000	BUNGE LIMITED	10/30/08	11/16/09	533.07	316.01	217.06 LT
1 0000	BUNGE LIMITED	10/30/08	11/16/09	59.24	36.53	22.71 LT
13 0000	BUNGE LIMITED	10/30/08	11/17/09	766.10	475.00	291.10 LT
14 0000	BUNGE LIMITED	12/04/08	11/17/09	825.04	528.92	296.12 ST
38 0000	BUNGE LIMITED	12/04/08	11/18/09	2,281.17	1,435.64	845.53 ST
10 0000	FIRST SOLAR INC	08/13/09	11/09/09	1,193.45	1,450.27	(256.82) ST
10 0000	FIRST SOLAR INC	08/13/09	11/09/09	1,193.46	1,470.25	(276.79) ST
3 0000	FIRST SOLAR INC	08/14/09	11/09/09	358.04	424.22	(66.18) ST
6 0000	FIRST SOLAR INC	08/14/09	11/12/09	693.07	848.44	(155.37) ST
1 0000	FIRST SOLAR INC	08/14/09	11/12/09	116.01	141.41	(25.40) ST
6 0000	FIRST SOLAR INC	08/14/09	11/12/09	696.10	859.11	(163.01) ST
5 0000	FIRST SOLAR INC	08/14/09	11/13/09	589.98	715.94	(125.96) ST
3 0000	FIRST SOLAR INC	08/17/09	11/13/09	353.99	404.86	(50.87) ST
5 0000	FIRST SOLAR INC	08/17/09	11/13/09	592.76	674.79	(82.03) ST
49 0000	RESEARCH IN MOTION LTD	03/31/08	10/28/09	2,993.22	5,503.19	(2,509.97) LT
92 0000	ABB LTD SPON ADR	10/30/08	11/25/09	1,756.62	1,121.65	634.97 LT
16 0000	ABB LTD SPON ADR	12/04/08	11/25/09	305.50	190.06	115.44 ST
150 0000	ABB LTD SPON ADR	12/04/08	11/30/09	2,749.71	1,781.86	967.85 ST
99 0000	ABB LTD SPON ADR	12/04/08	12/01/09	1,859.67	1,176.03	683.64 ST
71 0000	ABB LTD SPON ADR	12/04/08	12/02/09	1,329.95	843.42	486.53 ST
62 0000	ABB LTD SPON ADR	12/04/08	12/03/09	1,169.44	736.51	432.93 ST
16 0000	ABB LTD SPON ADR	12/04/08	12/04/09	295.42	190.07	105.35 ST
41 0000	ABB LTD SPON ADR	12/19/08	12/04/09	757.02	569.69	187.33 ST
36 0000	ABB LTD SPON ADR	12/19/08	12/07/09	660.40	500.21	160.19 ST
47 0000	ABB LTD SPON ADR	12/19/08	12/08/09	845.06	653.06	192.00 ST
34 0000	ABB LTD SPON ADR	12/19/08	12/09/09	599.50	472.43	127.07 ST
45 0000	ABB LTD SPON ADR	12/19/08	12/10/09	805.27	625.27	180.00 ST
25 0000	ABB LTD SPON ADR	12/19/08	12/11/09	450.34	347.38	102.96 ST
19 0000	BAE SYS PLC SPN ADR	03/31/08	12/16/09	428.55	745.74	(317.19) LT
188 0000	BAE SYS PLC SPN ADR	03/31/08	12/21/09	4,175.46	7,379.00	(3,203.54) LT
26 0000	BAE SYS PLC SPN ADR	03/31/08	12/23/09	572.56	1,020.51	(447.95) LT
14 0000	BAE SYS PLC SPN ADR	10/30/08	12/23/09	308.30	311.54	(3.24) LT
74 0000	BAE SYS PLC SPN ADR	12/04/08	12/23/09	1,629.63	1,479.99	149.64 LT
72 0000	CVS CAREMARK CORP	03/31/08	12/16/09	2,242.54	2,924.64	(682.10) LT

ATTACHMENT C-103
EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
106.0000	CVS CAREMARK CORP	03/31/08	12/17/09	3,304.50	4,305.72	(1,001.22) LT
66.0000	UNITEDHEALTH GROUP INC	10/13/08	11/25/09	1,944.66	1,445.91	498.75 LT
59.0000	UNITEDHEALTH GROUP INC	10/30/08	11/25/09	1,738.42	1,261.60	476.82 LT
66.0000	UNITEDHEALTH GROUP INC	10/30/08	11/30/09	1,927.15	1,411.29	515.86 LT
10.0000	UNITEDHEALTH GROUP INC	10/30/08	12/02/09	281.90	213.83	68.07
15.0000	UNITEDHEALTH GROUP INC	10/30/08	12/08/09	427.96	320.75	107.21
88.0000	UNITEDHEALTH GROUP INC	10/30/08	12/08/09	2,510.71	1,889.89	620.82 LT
8.0000	UNITEDHEALTH GROUP INC	10/30/08	12/09/09	228.01	171.81	56.20 LT
93.0000	UNITEDHEALTH GROUP INC	12/04/08	12/09/09	2,650.63	1,903.21	747.42 LT
8.0000	AMPHENOL CORP CL A NEW	12/01/09	01/08/10	357.11	337.88	19.23 ST
7.0000	AMPHENOL CORP CL A NEW	12/11/09	01/08/10	312.47	306.36	6.11 ST
11.0000	AMPHENOL CORP CL A NEW	12/17/09	01/08/10	491.03	468.02	23.01 ST
16.0000	AMERICA MOVIL SAB DE CV	12/19/08	01/08/10	789.73	525.36	264.37 LT
14.0000	AMERICA MOVIL SAB DE CV	12/02/09	01/08/10	691.03	701.34	(10.31) ST
38.0000	ALCON INC	03/31/08	01/08/10	5,848.80	5,437.43	411.37 LT
15.0000	ALCON INC	10/30/08	01/08/10	2,308.74	1,341.76	966.98 LT
9.0000	ALCON INC	12/04/08	01/08/10	1,385.24	755.82	629.42 LT
17.0000	ALCON INC	12/19/08	01/08/10	2,616.57	1,496.45	1,120.12 LT
14.0000	ALCON INC	12/02/09	01/08/10	2,154.84	2,185.77	(30.93) ST
124.0000	ANHEUSER-BUSCH INBEV ADR	11/19/09	01/08/10	6,278.29	6,275.11	3.18 ST
191.0000	ANHEUSER-BUSCH INBEV ADR	11/20/09	01/08/10	9,670.60	9,659.71	10.89 ST
121.0000	APPLE INC	03/31/08	01/08/10	25,518.24	17,348.97	8,169.27 LT
71.0000	APPLE INC	06/27/08	01/08/10	14,973.51	11,970.73	3,002.78 LT
1.0000	APPLE INC	10/30/08	01/08/10	210.89	109.12	101.77 LT
17.0000	APPLE INC	10/30/08	01/08/10	3,585.22	1,866.45	1,718.77 LT
30.0000	BAE SYS PLC SPN ADR	12/04/08	01/08/10	689.65	600.01	89.64 LT
27.0000	BAE SYS PLC SPN ADR	12/19/08	01/08/10	620.70	560.38	60.32
2.0000	BAE SYS PLC SPN ADR	02/11/09	12/30/09	45.98	44.96	1.02 ST
26.0000	CVS CAREMARK CORP	03/31/08	01/08/10	884.10	1,056.12	(172.02) LT
204.0000	CVS CAREMARK CORP	03/31/08	01/08/10	6,994.99	8,286.49	(1,291.50) LT
75.0000	CVS CAREMARK CORP	10/30/08	01/08/10	2,571.69	2,183.72	387.97 LT
167.0000	CVS CAREMARK CORP	12/04/08	01/08/10	5,726.31	4,636.68	1,089.63 LT
239.0000	CROWN CASTLE INTL CORP	10/13/08	01/08/10	9,285.53	5,065.56	4,219.97 LT
75.0000	CROWN CASTLE INTL CORP	10/30/08	01/08/10	2,913.88	1,568.45	1,345.43 LT
383.0000	CELGENE CORP COM	03/31/08	01/08/10	21,554.72	23,362.62	(1,807.90) LT
38.0000	CELGENE CORP COM	10/30/08	01/08/10	2,138.58	2,417.20	(278.62) LT
13.0000	CELGENE CORP COM	10/30/08	01/08/10	731.62	837.43	(105.81) LT
70.0000	CELGENE CORP COM	12/04/08	01/08/10	3,939.52	3,751.89	187.63 LT
22.0000	CME GROUP INC	05/14/08	01/08/10	7,555.26	10,290.86	(2,735.60) LT
3.0000	CME GROUP INC	10/30/08	01/08/10	1,030.26	808.82	221.44 LT

Annual Report C-104

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	CME GROUP INC	12/04/08	01/08/10	1,030.27	557.64	472.63 LT
747.0000	CISCO SYSTEMS INC COM	05/07/08	01/08/10	18,250.08	19,688.90	(1,438.82) LT
50.0000	CISCO SYSTEMS INC COM	10/30/08	01/08/10	1,221.55	884.99	336.56 LT
55.0000	CISCO SYSTEMS INC COM	10/30/08	01/08/10	1,343.71	972.79	370.92 LT
155.0000	CISCO SYSTEMS INC COM	12/04/08	01/08/10	3,786.85	2,409.83	1,377.02 LT
20.0000	COLGATE PALMOLIVE	06/02/09	01/08/10	1,626.95	1,400.97	225.98 ST
19.0000	COLGATE PALMOLIVE	06/03/09	01/08/10	1,545.61	1,325.54	220.07 ST
12.0000	COLGATE PALMOLIVE	06/10/09	01/08/10	976.18	839.97	136.21 ST
219.0000	CORNING INC	10/09/08	01/08/10	4,276.96	2,778.27	1,498.69 LT
23.0000	GOLDMAN SACHS GROUP INC	10/13/08	01/08/10	4,060.08	2,201.66	1,858.42 LT
9.0000	GOLDMAN SACHS GROUP INC	02/05/09	01/08/10	1,588.73	834.98	753.75 ST
7.0000	GOLDMAN SACHS GROUP INC	02/06/09	01/08/10	1,235.68	649.87	585.81 ST
33.0000	GOOGLE INC CL A	03/31/08	01/08/10	19,718.97	14,451.70	5,267.27 LT
2.0000	GOOGLE INC CL A	10/30/08	01/08/10	1,195.08	734.54	460.54 LT
4.0000	GOOGLE INC CL A	10/30/08	01/08/10	2,390.19	1,450.27	939.92 LT
473.0000	GILEAD SCIENCES INC COM	03/31/08	01/08/10	21,318.08	24,321.30	(3,003.22) LT
26.0000	INTUITIVE SURGICAL INC	02/11/09	01/08/10	8,111.79	2,775.62	5,336.17 ST
2.0000	INTUITIVE SURGICAL INC	02/11/09	01/22/10	679.99	213.51	466.48 ST
9.0000	JPMORGAN CHASE & CO	10/03/08	01/08/10	400.87	446.40	(45.53) LT
3.0000	JPMORGAN CHASE & CO	10/30/08	01/08/10	133.62	110.07	23.55 LT
12.0000	JPMORGAN CHASE & CO	10/30/08	01/08/10	534.49	437.60	96.89 LT
48.0000	JPMORGAN CHASE & CO	12/04/08	01/08/10	2,137.98	1,528.32	609.66 LT
20.0000	JPMORGAN CHASE & CO	12/19/08	01/08/10	890.82	607.20	283.62 LT
75.0000	JPMORGAN CHASE & CO	12/22/08	01/08/10	3,340.61	2,292.64	1,047.97 LT
49.0000	JPMORGAN CHASE & CO	01/29/09	01/08/10	2,182.54	1,289.14	893.40 ST
23.0000	JPMORGAN CHASE & CO	01/30/09	01/08/10	1,024.46	598.20	426.26 ST
87.0000	KOHL'S CORP WISC PV 1CT	02/09/09	01/08/10	4,607.40	3,303.83	1,303.57 ST
31.0000	KOHL'S CORP WISC PV 1CT	02/10/09	01/08/10	1,641.73	1,177.61	464.12 ST
194.0000	MORGAN STANLEY	04/13/09	01/08/10	6,320.61	5,112.23	1,208.38 ST
25.0000	MONSANTO CO NEW DEL COM	03/31/08	01/08/10	2,150.26	2,709.01	(558.75) LT
27.0000	MONSANTO CO NEW DEL COM	10/08/08	01/08/10	2,322.28	2,137.46	184.82 LT
26.0000	MONSANTO CO NEW DEL COM	10/30/08	01/08/10	2,236.27	2,271.72	(35.45) LT
52.0000	MONSANTO CO NEW DEL COM	12/04/08	01/08/10	4,472.55	3,814.72	657.83 LT
6.0000	MONSANTO CO NEW DEL COM	12/19/08	01/08/10	516.07	439.94	76.13 LT
7.0000	MONSANTO CO NEW DEL COM	12/19/08	01/15/10	574.31	513.27	61.04 LT
6.0000	MONSANTO CO NEW DEL COM	06/25/09	01/15/10	492.28	444.79	47.49 ST
1.0000	MONSANTO CO NEW DEL COM	06/25/09	01/20/10	81.99	74.13	7.86 ST
11.0000	MONSANTO CO NEW DEL COM	06/25/09	01/22/10	901.99	815.46	86.53 ST
115.0000	NEWS CORP CL A	10/30/08	01/08/10	1,608.95	1,145.99	462.96 LT
62.0000	NEWS CORP CL A	10/31/08	01/08/10	867.43	664.01	203.42 LT

PLEASE SEE REVERSE SIDE

Page
75 of 83Statement Period
Year Ending 10/31/10Account No.
54C-04007

ATT: Mr. [Name] 10/25



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
57 0000	NEWS CORP CL A	10/31/08	01/08/10	797.48	586.82	210.66 LT
112 0000	NEWS CORP CL A	10/31/08	01/08/10	1,566.98	1,121.71	445.27 LT
115 0000	NEWS CORP CL A	11/03/08	01/08/10	1,608.96	1,230.19	378.77 LT
335 0000	NEWS CORP CL A	11/04/08	01/08/10	4,686.97	3,613.58	1,073.39 LT
146 0000	NEWS CORP CL A	12/04/08	01/08/10	2,042.68	1,157.78	884.90
80 0000	NEWS CORP CL A	09/10/09	01/08/10	1,119.28	924.16	195.12
7 0000	NEWS CORP CL A	09/11/09	01/08/10	97.93	82.11	15.82 ST
3 0000	NEWS CORP CL A	09/14/09	01/08/10	41.97	35.13	6.84 ST
9 0000	NEWS CORP CL A	09/25/09	01/08/10	125.92	105.67	20.25 ST
5 0000	NEWS CORP CL A	09/29/09	01/08/10	69.96	59.65	10.31 ST
8 0000	NEWS CORP CL A	09/30/09	01/08/10	111.94	94.36	17.58 ST
550 0000	ORACLE CORP \$0.01 DEL	04/18/08	01/08/10	13,580.14	11,805.81	1,774.33 LT
291 0000	ORACLE CORP \$0.01 DEL	05/02/08	01/08/10	7,185.13	6,228.12	957.01 LT
84 0000	PETROLEO BRAS SA ADR	09/16/09	01/08/10	3,616.94	3,158.90	458.04 ST
23 0000	PETROLEO BRAS SA ADR	09/17/09	01/08/10	990.36	886.05	104.31 ST
147 0000	PETROLEO BRAS VTG SPD ADR	02/06/09	01/08/10	7,059.14	4,176.61	2,882.53 ST
10 0000	PETROLEO BRAS VTG SPD ADR	02/09/09	01/08/10	480.22	302.61	177.61 ST
81 0000	PRECISION CASTPARTS	05/20/09	01/08/10	9,391.71	6,655.15	2,736.56 ST
46 0000	RESEARCH IN MOTION LTD	03/31/08	01/04/10	3,040.34	5,166.26	(2,125.92) LT
60 0000	RESEARCH IN MOTION LTD	03/31/08	01/05/10	4,001.59	6,738.61	(2,737.02) LT
26 0000	RESEARCH IN MOTION LTD	10/30/08	01/05/10	1,734.02	1,220.28	513.74 LT
3 0000	RESEARCH IN MOTION LTD	10/30/08	01/05/10	200.09	139.90	60.19 LT
33 0000	RESEARCH IN MOTION LTD	10/30/08	01/08/10	2,166.72	1,538.95	627.77 LT
214 0000	RESEARCH IN MOTION LTD	12/04/08	01/08/10	14,050.90	8,209.04	5,841.86 LT
140 0000	ROCHE HLDG LTD SPN ADR	03/31/08	01/08/10	6,040.84	6,636.00	(595.16) LT
14 0000	ROCHE HLDG LTD SPN ADR	10/30/08	01/08/10	604.08	488.36	115.72 LT
7 0000	ROCHE HLDG LTD SPN ADR	10/30/08	01/08/10	302.05	247.92	54.13
87 0000	TW TELECOM INC	05/20/09	01/08/10	1,473.09	992.35	480.74 ST
258 0000	TW TELECOM INC	05/21/09	01/08/10	4,368.51	2,908.12	1,460.39 ST
43 0000	UNITED PARCEL SVC CL B	12/18/08	01/08/10	2,597.56	2,331.65	265.91 LT
16 0000	UNITED PARCEL SVC CL B	12/22/08	01/08/10	966.53	851.78	114.75 LT
21 0000	UNITED PARCEL SVC CL B	12/23/08	01/08/10	1,268.59	1,116.37	152.22 LT
138 0000	VALE SA	03/31/08	01/08/10	4,322.05	4,705.81	(383.76) LT
38 0000	VALE SA	10/30/08	01/08/10	1,190.13	497.60	692.53 LT
66 0000	VALE SA	12/04/08	01/08/10	2,067.07	635.40	1,431.67 LT
81 0000	VERTEX PHARMCTLS INC	02/19/09	01/08/10	3,329.01	2,703.09	625.92 ST
43 0000	ACE LIMITED	12/19/08	01/08/10	2,051.23	2,213.36	(162.13) LT
20 0000	ACE LIMITED	12/22/08	01/08/10	954.06	1,033.42	(79.36) LT
22 0000	ACE LIMITED	12/23/08	01/08/10	1,049.47	1,134.03	(84.56) LT
20 0000	ACE LIMITED	02/05/09	01/08/10	954.07	867.42	86.65 ST

PLEASE SEE REVERSE SIDE

Approved 2-1-06



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	CME GROUP INC	12/02/09	02/05/10	830.73	1,007.65	(176.92) ST
120.0000	CISCO SYSTEMS INC	12/04/08	02/05/10	2,808.17	1,865.68	942.49 LT
83.0000	CISCO SYSTEMS INC	12/19/08	02/05/10	1,942.32	1,387.59	554.73 LT
62.0000	CISCO SYSTEMS INC	07/17/09	02/05/10	1,450.89	1,269.38	181.51 ST
35.0000	CISCO SYSTEMS INC	07/17/09	02/05/10	819.05	707.00	112.05 ST
105.0000	CISCO SYSTEMS INC	07/20/09	02/05/10	2,457.16	2,197.17	259.99 ST
153.0000	CISCO SYSTEMS INC	11/19/09	02/05/10	3,580.43	3,623.35	(42.92) ST
79.0000	CISCO SYSTEMS INC	12/02/09	02/05/10	1,848.72	1,902.57	(53.85) ST
95.0000	CISCO SYSTEMS INC	01/14/10	02/05/10	2,223.15	2,357.96	(134.81) ST
15.0000	CISCO SYSTEMS INC	01/14/10	02/05/10	351.03	373.17	(22.14) ST
8.0000	COLGATE PALMOLIVE	06/10/09	02/05/10	626.95	559.99	66.96 ST
22.0000	COLGATE PALMOLIVE	06/15/09	02/05/10	1,724.12	1,539.89	184.23 ST
3.0000	COLGATE PALMOLIVE	12/02/09	02/05/10	235.11	258.77	(23.66) ST
129.0000	CORNING INC	10/09/08	02/05/10	2,275.88	1,636.53	639.35 LT
23.0000	CORNING INC	12/19/08	02/05/10	405.78	200.79	204.99 LT
33.0000	FORD MOTOR CO NEW	01/08/10	02/05/10	350.80	383.79	(32.99) ST
44.0000	FORD MOTOR CO NEW	01/11/10	02/05/10	467.73	519.27	(51.54) ST
73.0000	FORD MOTOR CO NEW	01/12/10	02/05/10	776.02	870.71	(94.69) ST
72.0000	FORD MOTOR CO NEW	01/12/10	02/05/10	765.39	850.65	(85.26) ST
17.0000	GOLDMAN SACHS GROUP INC	02/06/09	02/05/10	2,564.92	1,578.26	986.66 ST
8.0000	GOLDMAN SACHS GROUP INC	02/10/09	02/05/10	1,207.03	726.81	480.22 ST
2.0000	GOOGLE INC CL A	10/30/08	02/05/10	1,049.06	725.14	323.92 LT
4.0000	GOOGLE INC CL A	12/04/08	02/05/10	6,294.40	3,326.88	2,967.52 LT
44.0000	GILEAD SCIENCES INC COM	12/19/08	02/05/10	2,098.14	1,253.19	844.95 LT
30.0000	GILEAD SCIENCES INC COM	03/31/08	02/05/10	2,012.97	2,262.45	(249.48) LT
202.0000	GILEAD SCIENCES INC COM	10/30/08	02/05/10	1,372.48	1,373.85	(1.37) LT
23.0000	GILEAD SCIENCES INC COM	12/04/08	02/05/10	9,241.41	9,352.58	(111.17) LT
14.0000	INTUITIVE SURGICAL INC	12/02/09	02/05/10	1,052.25	1,070.77	(18.52) ST
25.0000	JPMORGAN CHASE & CO	02/11/09	02/05/10	4,442.70	1,494.57	2,948.13 ST
12.0000	JPMORGAN CHASE & CO	01/30/09	02/05/10	936.75	650.22	286.53 LT
36.0000	JPMORGAN CHASE & CO	02/02/09	02/05/10	449.64	295.80	153.84 LT
42.0000	JPMORGAN CHASE & CO	06/02/09	02/05/10	1,348.92	1,257.64	91.28 ST
36.0000	JPMORGAN CHASE & CO	06/02/09	02/05/10	1,573.74	1,466.03	107.71 ST
25.0000	KOHL'S CORP WISC PV 1CT	11/19/09	02/05/10	1,348.93	1,530.77	(181.84) ST
44.0000	KOHL'S CORP WISC PV 1CT	02/10/09	02/05/10	1,242.68	949.69	292.99 ST
18.0000	LIMITED BRANDS INC	02/10/09	02/05/10	2,142.77	1,671.47	471.30 ST
98.0000	MORGAN STANLEY	02/03/10	02/05/10	360.53	362.34	(1.81) ST
25.0000	MORGAN STANLEY	04/13/09	02/05/10	2,595.05	2,582.47	12.58 ST
15.0000	MONSANTO CO NEW DEL COM	12/02/09	02/05/10	662.01	774.23	(112.22) ST
		06/25/09	02/05/10	1,130.23	1,112.00	18.23 ST

PLEASE SEE REVERSE SIDE

C - 107

EMASM Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
13.0000	ACE LIMITED	06/02/09	01/08/10	620.14	584.06	36.08 ST
9.0000	ACE LIMITED	06/03/09	01/08/10	429.34	401.66	27.68 ST
50.0000	YAHOO INC	12/16/09	01/08/10	835.61	782.06	53.55 ST
112.0000	YAHOO INC	12/16/09	01/08/10	1,871.77	1,760.98	110.79 ST
75.0000	YAHOO INC	12/18/09	01/08/10	1,253.43	1,193.21	60.22 ST
3.0000	AMPHENOL CORP CL A NEW	12/17/09	02/05/10	114.53	127.65	(13.12) ST
15.0000	AMPHENOL CORP CL A NEW	12/18/09	02/05/10	572.99	644.95	(72.26) ST
28.0000	AMPHENOL CORP CL A NEW	12/23/09	02/05/10	1,069.03	1,263.68	(194.65) ST
14.0000	AMPHENOL CORP CL A NEW	12/30/09	02/05/10	534.52	653.65	(119.13) ST
19.0000	AMERICA MOVIL SAB DE CV	12/02/09	02/05/10	819.84	951.83	(131.99) ST
60.0000	ALCON INC	12/02/09	02/05/10	9,354.48	9,367.62	(13.14) ST
59.0000	ANHEUSER-BUSCH INBEV ADR	11/20/09	02/05/10	2,706.89	2,983.89	(277.00) ST
140.0000	ANHEUSER-BUSCH INBEV ADR	12/02/09	02/05/10	6,423.13	7,459.98	(1,036.85) ST
6.0000	APPLE INC	10/30/08	02/05/10	1,154.20	658.75	495.45 LT
79.0000	APPLE INC	12/04/08	02/05/10	15,197.04	7,256.93	7,940.11 LT
14.0000	APPLE INC	12/18/08	02/05/10	2,693.14	1,264.21	1,428.93 LT
20.0000	APPLE INC	12/19/08	02/05/10	3,847.35	1,786.34	2,061.01 LT
8.0000	APPLE INC	02/11/09	02/05/10	1,538.95	771.20	767.75 ST
12.0000	CVS CAREMARK CORP	12/04/08	02/03/10	389.34	333.18	56.16 LT
2.0000	CVS CAREMARK CORP	12/04/08	02/03/10	64.95	55.53	9.42 LT
1.0000	CVS CAREMARK CORP	12/18/08	02/03/10	32.48	27.39	5.09 LT
6.0000	CVS CAREMARK CORP	12/18/08	02/04/10	188.99	164.35	24.64 LT
3.0000	CVS CAREMARK CORP	12/18/08	02/04/10	95.42	82.17	13.25 LT
36.0000	CVS CAREMARK CORP	12/18/08	02/05/10	1,111.04	986.15	124.89 LT
96.0000	CVS CAREMARK CORP	12/19/08	02/05/10	2,962.78	2,563.95	398.83 LT
17.0000	CVS CAREMARK CORP	12/22/08	02/05/10	524.65	450.61	74.04 LT
9.0000	CVS CAREMARK CORP	12/24/08	02/05/10	277.76	239.75	38.01 LT
32.0000	CVS CAREMARK CORP	12/30/08	02/05/10	987.59	897.66	89.93 LT
41.0000	CVS CAREMARK CORP	12/02/09	02/05/10	1,265.37	1,265.83	(0.46) ST
53.0000	CROWN CASTLE INTL CORP	10/30/08	02/05/10	1,833.82	1,108.38	725.44 LT
81.0000	CROWN CASTLE INTL CORP	12/04/08	02/05/10	2,802.64	1,267.65	1,534.99 LT
59.0000	CROWN CASTLE INTL CORP	12/19/08	02/05/10	2,041.43	1,041.35	1,000.08 LT
92.0000	CELGENE CORP COM	12/04/08	02/05/10	4,984.50	4,931.07	53.43 LT
7.0000	CELGENE CORP COM	02/11/09	02/05/10	379.25	370.76	8.49 ST
76.0000	CELGENE CORP COM	04/01/09	02/05/10	4,117.64	2,919.02	1,198.62 ST
44.0000	CELGENE CORP COM	04/01/09	02/05/10	2,383.90	1,704.02	679.88 ST
5.0000	CME GROUP INC	12/04/08	02/05/10	1,384.53	929.40	455.13 LT
3.0000	CME GROUP INC	12/19/08	02/05/10	830.72	656.73	173.99 LT
6.0000	CME GROUP INC	12/22/08	02/05/10	1,661.44	1,222.23	439.21 LT
1.0000	CME GROUP INC	11/19/09	02/05/10	276.90	321.16	(44.26) ST

PLEASE SEE REVERSE SIDE

ATTACHED C-108

EMASM Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12.0000	UNITED PARCEL SVC CL B	12/23/08	02/05/10	678.23	637.94	40.29 LT
24.0000	UNITED PARCEL SVC CL B	12/24/08	02/05/10	1,356.46	1,267.92	88.54 LT
7.0000	UNITED PARCEL SVC CL B	12/30/08	02/05/10	395.63	376.38	19.25 LT
7.0000	UNITED PARCEL SVC CL B	12/02/09	02/05/10	395.64	406.87	(11.23) ST
140.0000	VALE SA	12/04/08	02/05/10	3,453.88	1,347.84	2,106.04 ST
12.0000	VALE SA	12/02/09	02/05/10	296.05	355.38	(59.33) ST
49.0000	VERTEX PHARMCTLS INC	02/19/09	02/05/10	1,810.04	1,635.21	174.83 ST
6.0000	ACE LIMITED	06/03/09	02/05/10	285.90	267.78	18.12 ST
15.0000	ACE LIMITED	06/04/09	02/05/10	714.75	679.08	35.67 ST
15.0000	ACE LIMITED	06/05/09	02/05/10	714.75	681.81	32.94 ST
16.0000	ACE LIMITED	06/23/09	02/05/10	762.40	675.77	86.63 ST
17.0000	ACE LIMITED	06/24/09	02/05/10	810.06	729.14	80.92 ST
11.0000	ACE LIMITED	12/02/09	02/05/10	524.16	550.74	(26.58) ST
41.0000	YAHOO INC	12/18/09	02/05/10	614.99	652.29	(37.30) ST
88.0000	YAHOO INC	12/22/09	02/05/10	1,319.98	1,399.15	(79.17) ST
29.0000	YAHOO INC	12/28/09	02/05/10	434.99	488.92	(53.93) ST
33.0000	YAHOO INC	12/30/09	02/05/10	494.99	558.42	(63.43) ST
18.0000	YAHOO INC	12/31/09	02/05/10	269.99	304.56	(34.57) ST
52.0000	YAHOO INC	01/06/10	02/05/10	780.00	892.66	(112.66) ST
58.0000	YAHOO INC	01/07/10	02/05/10	870.00	965.87	(95.87) ST
1.0000	YAHOO INC	01/14/10	02/05/10	15.00	17.00	(2.00) ST
62.0000	AMCOR LTD AUS ADR NEW	02/18/10	03/15/10	1,340.44	1,333.00	7.44 ST
71.0000	ADECO SA ADR	02/18/10	03/15/10	1,901.11	1,786.36	114.75 ST
40.0000	BASF SE SPONSORED ADR	02/18/10	03/15/10	2,404.29	2,290.00	114.29 ST
68.0000	BT GROUP PLC ADR	02/18/10	03/15/10	1,271.77	1,233.51	38.26 ST
293.0000	DEUTSCHE TELE AG SPN ADR	02/18/10	03/15/10	3,914.75	3,847.06	67.69 ST
154.0000	GLAXOSMITHKLINE PLC ADR	02/18/10	03/15/10	5,776.47	6,012.14	(235.67) ST
19.0000	LUKOIL SPONSORED ADR	02/18/10	03/15/10	1,029.55	994.65	34.90 ST
188.0000	LENOVO GROUP LTD SP ADR	02/18/10	03/15/10	2,559.65	2,592.52	(32.87) ST
89.0000	STATOIL ASA SHS	02/18/10	03/15/10	2,037.14	2,003.38	33.76 ST
76.0000	ADECO SA ADR	02/18/10	04/06/10	2,189.98	1,912.16	277.82 ST
6.0000	KONINKLIJKE AHOLD NV ADR	02/18/10	04/01/10	79.55	73.50	6.05 ST
117.0000	KONINKLIJKE AHOLD NV ADR	02/18/10	04/06/10	1,563.32	1,433.25	130.07 ST
14.0000	WPP PLC ADR	02/18/10	04/01/10	728.16	659.11	69.05 ST
22.0000	WPP PLC ADR	02/18/10	04/06/10	1,162.26	1,035.76	126.50 ST
88.0000	AUST NW Z B G ADR	02/18/10	05/14/10	1,791.41	1,751.19	40.22 ST
5.0000	BOC HONG KONG HLDGS ADR	02/18/10	05/06/10	228.04	223.75	4.29 ST
248.0000	BAE SYS PLC SPN ADR	02/18/10	05/06/10	5,148.39	5,691.60	(543.21) ST
315.0000	CRDT AGRICOLE SA SHS ADR	02/18/10	05/13/10	2,013.77	2,255.39	(241.62) ST
23.0000	DBS GROUP HLDGS SPN ADR	02/18/10	05/06/10	965.98	931.50	34.48 ST

PLEASE SEE REVERSE SIDE

ATTACHED 3-10-109

EMASM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
31 0000	MONSANTO CO NEW DEL COM	11/19/09	02/05/10	2,335.82	2,434.30	(98.48) ST
15 0000	MONSANTO CO NEW DEL COM	12/02/09	02/05/10	1,130.24	1,259.52	(129.28) ST
17 0000	NEWS CORP CL A	09/30/09	02/05/10	215.55	200.53	15.02 ST
8 0000	NEWS CORP CL A	09/30/09	02/05/10	101.43	94.28	7.15 ST
203 0000	NEWS CORP CL A	11/19/09	02/05/10	2,574.03	2,518.32	55.71 ST
65 0000	NEWS CORP CL A	12/02/09	02/05/10	824.19	772.63	51.56 ST
98 0000	NEWS CORP CL A	12/16/09	02/05/10	1,242.64	1,301.23	(58.59) ST
197 0000	NEWS CORP CL A	12/21/09	02/05/10	2,497.96	2,728.94	(230.98) ST
83 0000	NEWS CORP CL A	12/22/09	02/05/10	1,052.44	1,136.93	(84.49) ST
18 0000	ORACLE CORP \$0.01 DEL	05/02/08	02/05/10	418.49	385.25	33.24 LT
100 0000	ORACLE CORP \$0.01 DEL	10/30/08	02/05/10	2,324.98	1,809.03	515.95 LT
22 0000	ORACLE CORP \$0.01 DEL	10/30/08	02/05/10	511.49	395.33	116.16 LT
262 0000	ORACLE CORP \$0.01 DEL	12/04/08	02/05/10	6,091.45	4,092.44	1,999.01 LT
98 0000	ORACLE CORP \$0.01 DEL	02/11/09	02/05/10	2,278.49	1,731.93	546.56 ST
58 0000	PETROLEO BRAS SA ADR	09/17/09	02/05/10	1,920.35	2,234.41	(314.06) ST
9 0000	PETROLEO BRAS SA ADR	12/02/09	02/05/10	297.99	425.15	(127.16) ST
84 0000	PETROLEO BRAS VTG SPD ADR	02/09/09	02/05/10	3,157.59	2,541.99	615.60 ST
15 0000	PETROLEO BRAS VTG SPD ADR	12/02/09	02/05/10	563.85	795.80	(231.95) ST
16 0000	PETROLEO BRAS VTG SPD ADR	01/22/10	02/05/10	601.46	676.72	(75.26) ST
4 0000	PRECISION CASTPARTS	05/20/09	02/05/10	420.79	328.65	92.14 ST
42 0000	PRECISION CASTPARTS	05/21/09	02/05/10	4,418.34	3,351.79	1,066.55 ST
6 0000	PRUDENTIAL PLC ADR	12/02/09	02/05/10	631.20	644.22	(13.02) ST
12 0000	PRUDENTIAL PLC ADR	01/20/10	02/05/10	213.32	240.72	(27.40) ST
13 0000	PRUDENTIAL PLC ADR	01/21/10	02/05/10	231.10	257.84	(26.74) ST
19 0000	PRUDENTIAL PLC ADR	01/21/10	02/05/10	337.76	374.87	(37.11) ST
45 0000	PRUDENTIAL PLC ADR	01/22/10	02/05/10	799.97	875.13	(75.16) ST
41 0000	PRUDENTIAL PLC ADR	01/26/10	02/05/10	728.86	802.53	(73.67) ST
9 0000	PRUDENTIAL PLC ADR	01/27/10	02/05/10	159.99	174.88	(14.89) ST
19 0000	PRUDENTIAL PLC ADR	01/28/10	02/05/10	337.76	366.30	(28.54) ST
41 0000	PRUDENTIAL PLC ADR	01/29/10	02/05/10	728.88	765.78	(36.90) ST
4 0000	RESEARCH IN MOTION LTD	12/04/08	02/05/10	266.15	153.44	112.71 LT
93 0000	RESEARCH IN MOTION LTD	02/11/09	02/05/10	6,188.14	4,416.58	1,771.56 ST
61 0000	RESEARCH IN MOTION LTD	09/25/09	02/05/10	4,058.90	4,325.21	(266.31) ST
7 0000	ROCHE HLDG LTD SPN ADR	10/30/08	02/05/10	276.00	247.92	28.08 LT
46 0000	ROCHE HLDG LTD SPN ADR	12/04/08	02/05/10	1,813.76	1,582.40	231.36 LT
24 0000	ROCHE HLDG LTD SPN ADR	12/19/08	02/05/10	946.31	859.90	86.41 LT
88 0000	SCHWAB CHARLES CORP NEW	12/17/09	02/05/10	1,569.11	1,584.00	(14.89) ST
462 0000	SCHWAB CHARLES CORP NEW	01/08/10	02/05/10	8,237.88	8,814.91	(577.03) ST
120 0000	TW TELECOM INC	05/21/09	02/05/10	1,784.38	1,352.62	431.76 ST
101 0000	TW TELECOM INC	05/22/09	02/05/10	1,501.87	1,138.85	363.02 ST

PLEASE SEE REVERSE SIDE

Page
79 of 83Statement Period
Year Ending 10/31/10Account No.
54C-04007

ATTACHMENT C - III

EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
230.0000	CELESIO AG SHS	02/18/10	07/29/10	1,067.20	1,311.00	(243.80) ST
90.0000	JSC MMC NORILSK NCKL ADR	02/18/10	07/29/10	1,501.68	1,396.80	104.88 ST
17.0000	MACQUARIE GOURP LTD ADR	02/18/10	07/29/10	584.46	728.46	(144.00) ST
248.0000	NOKIA CORP SPON ADR	02/18/10	07/28/10	2,300.80	3,325.66	(1,024.86) ST
25.0000	BOC HONG KONG HLDGS ADR	02/18/10	09/23/10	1,501.10	1,118.75	382.35 ST
262.0000	BT GROUP PLC ADR	02/18/10	09/23/10	5,672.54	4,752.65	919.89 ST
30.0000	BT GROUP PLC ADR	05/06/10	09/23/10	649.53	535.60	113.93 ST
43.0000	ENCANA CORP	05/13/10	09/09/10	1,247.53	1,417.55	(170.02) ST
69.0000	JSC MMC NORILSK NCKL ADR	02/18/10	09/10/10	1,160.34	1,070.88	89.46 ST
124.0000	ROYAL DSM N V SPON ADR	02/18/10	09/10/10	1,397.65	1,385.08	12.57 ST
152.0000	LIHIR GOLD SPSP ADR	02/18/10	09/21/10	6,698.93	3,850.89	2,848.04 ST
35.0000	ROLLS ROYCE GRP SPN ADR	02/18/10	09/10/10	1,571.39	1,418.12	153.27 ST
110.0000	TAIWAN S MANUFACTURING ADR	05/13/10	09/09/10	1,047.80	1,128.23	(80.43) ST
36.0000	TENCENT HOLDINGS LTD ADR	07/01/10	09/23/10	723.28	599.40	123.88 ST
839.0000	UNITED MICROELECT SP ADR	02/18/10	09/09/10	2,140.17	3,154.56	(1,014.39) ST
33.0000	YARA INTL ASA SP ADR	02/18/10	09/23/10	1,496.34	1,395.24	101.10 ST
52.0000	DEUTSCHE BANK AG	09/27/10	09/28/10	248.51	N/A	248.51 N/C
54.0000	SPDR DJ WILSHIRE INTL	02/18/10	10/06/10	2,153.10	1,822.50	330.60 ST
25.0000	RWE AG SPONSORED ADR	02/18/10	10/07/10	1,716.35	2,216.25	(499.90) ST
51.0000	ROLLS ROYCE GRP SPN ADR	02/18/10	10/07/10	2,409.59	2,066.40	343.19 ST
93.0000	TELECOM C NW ZLND SPNADR	02/18/10	10/06/10	716.36	769.80	(53.44) ST
54.0000	TELECOM C NW ZLND SPNADR	02/18/10	10/07/10	410.42	446.98	(36.56) ST
82.0000	VODAFONE GROPC PLC SP ADR	02/18/10	10/06/10	2,122.10	1,824.35	297.75 ST

Total 828,360.39

738,392.99

899,674.98

410,969.91

378,737.93

304,311.98

417,390.48

359,655.06

577,354.12

PLEASE SEE REVERSE SIDE
Page 82 of 83
Statement Period Year Ending 10/31/10
Account No. 54C-04007

C-112

EMA Fiscal Statement

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
9.0000	AMER EXPRESS COMPANY	03/09/09	11/04/09	328.60	96.95	231.65 ST
8.0000	AMER EXPRESS COMPANY	03/09/09	11/13/09	321.29	86.18	235.11 ST
105.0000	R R DONNELLEY SONS	08/10/09	11/20/09	2,132.04	1,657.29	474.75 ST
13.0000	GOLDMAN SACHS GROUP INC	03/28/08	10/28/09	2,256.13	2,142.92	113.21 LT
38.0000	JPMORGAN CHASE & CO	04/16/08	10/28/09	1,628.39	1,683.82	(55.43) LT
23.0000	KOHL'S CORP WISC PV 1CT	03/28/08	11/03/09	1,290.53	972.21	318.32 LT
21.0000	KOHL'S CORP WISC PV 1CT	03/28/08	11/20/09	1,147.41	887.67	259.74 LT
18.0000	COACH INC	04/07/09	12/09/09	632.33	306.64	325.69 ST
11.0000	DISNEY (WALT) CO COM STK	03/28/08	12/09/09	336.50	343.85	(7.35) LT
52.0000	DOW CHEMICAL CO	03/28/08	12/22/09	1,398.28	1,908.92	(510.64) LT
10.0000	MARSH & MCLENNAN COS INC	03/28/08	12/02/09	222.59	241.70	(19.11) LT
150.0000	MARSH & MCLENNAN COS INC	03/28/08	12/07/09	3,334.47	3,625.51	(291.04) LT
56.0000	MARSH & MCLENNAN COS INC	02/12/09	12/07/09	1,244.87	1,183.17	61.70 ST

PLEASE SEE REVERSE SIDE

Page
63 of 70

Statement Period
Year Ending 10/31/10

Account No.
54C-04008

Approved
EMA
Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
107.0000	ALLSTATE CORP DEL COM	04/28/09	01/08/10	3,318.25	2,446.67	871.58 ST
7.0000	ALLSTATE CORP DEL COM	05/06/09	01/08/10	217.09	170.68	46.41 ST
110.0000	AXIS CAPITAL HOLDINGS	03/28/08	01/08/10	3,022.82	3,756.50	(733.68) LT
67.0000	ALCOA INC	03/28/08	01/08/10	1,135.12	2,420.04	(1,284.92) LT
86.0000	ALCOA INC	12/18/08	01/08/10	1,457.04	881.43	575.61
1.0000	AMER EXPRESS COMPANY	03/09/09	01/08/10	41.55	10.78	30.77
63.0000	AMER EXPRESS COMPANY	03/13/09	01/08/10	2,618.22	785.57	1,832.65 ST
20.0000	AMGEN INC COM PV \$0.0001	11/15/07	01/08/10	1,130.56	1,093.81	36.75 LT
5.0000	AMGEN INC COM PV \$0.0001	06/25/08	01/08/10	282.65	234.75	47.90 LT
51.0000	BOEING COMPANY	04/29/08	01/08/10	3,131.88	4,358.83	(1,226.95) LT
25.0000	BOEING COMPANY	04/30/08	01/08/10	1,535.23	2,135.24	(600.01) LT
27.0000	BOEING COMPANY	09/03/08	01/08/10	1,658.06	1,755.14	(97.08) LT
86.0000	BRISTOL-MYERS SQUIBB CO	03/28/08	01/08/10	2,136.19	1,853.07	283.12 LT
68.0000	COACH INC	04/07/09	01/08/10	2,518.65	1,158.46	1,360.19 ST
14.0000	COACH INC	04/15/09	01/08/10	518.55	251.18	267.37 ST
96.0000	CONOCOPHILLIPS	03/28/08	01/08/10	5,095.55	7,267.20	(2,171.65) LT
50.0000	CATERPILLAR INC DEL	12/10/08	01/08/10	2,997.92	2,191.92	806.00 LT
47.0000	CHESAPEAKE ENERGY OKLA	09/16/09	01/08/10	1,354.04	1,356.85	(2.81) ST
33.0000	CHUBB CORP	09/17/08	01/08/10	1,606.07	1,879.71	(273.64) LT
264.0000	DELL INC	03/28/08	01/08/10	3,920.33	5,200.77	(1,280.44) LT
41.0000	DEAN FOODS CO NEW	04/18/08	01/08/10	747.00	904.34	(157.34) LT
97.0000	DEAN FOODS CO NEW	04/21/08	01/08/10	1,767.29	2,153.14	(385.85) LT
50.0000	DEAN FOODS CO NEW	04/28/08	01/08/10	910.97	1,105.54	(194.57) LT
60.0000	DEAN FOODS CO NEW	12/08/08	01/08/10	1,093.18	920.44	172.74 LT
3.0000	DEAN FOODS CO NEW	02/23/09	01/08/10	54.66	58.26	(3.60) ST
45.0000	DISNEY (WALT) CO COM STK	03/28/08	01/08/10	1,422.86	1,406.70	16.16 LT
75.0000	R R DONNELLEY SONS	08/10/09	01/08/10	1,701.89	1,183.79	518.10
37.0000	R R DONNELLEY SONS	08/12/09	01/08/10	839.61	592.06	247.55 ST
25.0000	DOW CHEMICAL CO	03/28/08	01/08/10	774.98	917.76	(142.78) LT
66.0000	DOW CHEMICAL CO	03/25/09	01/08/10	2,045.95	606.03	1,439.92 ST
57.0000	EXXON MOBIL CORP COM	09/11/08	01/08/10	3,960.82	4,277.28	(316.46) LT
31.0000	EXXON MOBIL CORP COM	08/24/09	01/08/10	2,154.14	2,196.88	(42.74) ST
102.0000	FOREST LABS INC	06/26/09	01/08/10	3,157.08	2,537.40	619.68 ST
7.0000	FOREST LABS INC	07/30/09	01/08/10	216.67	186.81	29.86 ST
14.0000	GOLDMAN SACHS GROUP INC	03/28/08	01/08/10	2,454.98	2,307.76	147.22 LT
102.0000	GENERAL ELECTRIC	08/05/09	01/08/10	1,689.08	1,436.08	253.00 ST
64.0000	HEWLETT PACKARD CO DEL	08/10/09	01/08/10	3,353.51	2,794.84	558.67 ST
2.0000	HEWLETT PACKARD CO DEL	08/10/09	01/08/10	104.80	87.11	17.69 ST
214.0000	INTEL CORP	03/28/08	01/08/10	4,410.45	4,474.72	(64.27) LT
9.0000	JPMORGAN CHASE & CO	04/16/08	01/08/10	399.79	398.81	0.98 LT

PLEASE SEE REVERSE SIDE

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
129.0000	JPMORGAN CHASE & CO	06/13/08	01/08/10	5,730.35	4,958.82	771.53 LT
1.0000	JPMORGAN CHASE & CO	09/29/08	01/08/10	44.43	46.23	(1.80) LT
26.0000	JOHNSON AND JOHNSON COM	03/28/08	01/08/10	1,664.22	1,670.76	(6.54) LT
4.0000	KOHL'S CORP WISC PV 1CT	03/28/08	01/08/10	211.75	169.08	42.67 ST
46.0000	MORGAN STANLEY	08/25/09	01/08/10	1,483.46	1,394.26	89.20 ST
89.0000	MERCK AND CO INC SHS	11/18/09	01/08/10	3,358.77	3,140.70	218.07 ST
10.0000	MERCK AND CO INC SHS	11/20/09	01/08/10	377.39	361.99	15.40 ST
45.0000	MCDONALDS CORP COM	05/22/09	01/08/10	2,788.25	2,594.96	193.29 ST
43.0000	MCDONALDS CORP COM	05/26/09	01/08/10	2,664.32	2,508.54	155.78 ST
19.0000	MCDONALDS CORP COM	09/15/09	01/08/10	1,177.27	1,046.31	130.96 ST
3.0000	NABORS INDUSTRIES LTD	08/20/08	01/08/10	80.99	102.37	(21.38) LT
50.0000	NABORS INDUSTRIES LTD	08/29/08	01/08/10	1,349.97	1,782.89	(432.92) LT
60.0000	NABORS INDUSTRIES LTD	09/09/08	01/08/10	1,619.96	1,730.61	(110.65) LT
56.0000	NABORS INDUSTRIES LTD	12/11/08	01/08/10	1,511.98	717.42	794.56 LT
38.0000	NEWMONT MINING CORP	04/16/08	01/08/10	1,874.11	1,806.86	67.25 LT
80.0000	NOKIA CORP SPON ADR	05/19/08	01/08/10	1,060.97	2,376.81	(1,315.84) LT
55.0000	NOKIA CORP SPON ADR	06/04/08	01/08/10	729.42	1,509.15	(779.73) LT
22.0000	NOKIA CORP SPON ADR	07/14/08	01/08/10	291.77	554.61	(262.84) LT
65.0000	PNC FINCL SERVICES GROUP	08/20/09	01/08/10	3,656.81	2,665.85	990.96 ST
133.0000	PFIZER INC	03/28/08	01/08/10	2,478.09	2,737.14	(259.05) LT
67.0000	SAFEWAY INC NEW	03/28/08	01/07/10	1,407.66	1,913.04	(505.38) LT
30.0000	SAFEWAY INC NEW	03/28/08	01/08/10	634.18	856.58	(222.40) LT
105.0000	SAFEWAY INC NEW	03/28/08	01/21/10	2,407.12	2,998.07	(590.95) LT
12.0000	SAFEWAY INC NEW	07/03/08	01/21/10	275.11	341.99	(66.88) LT
73.0000	STATE STREET CORP	11/18/09	01/08/10	3,306.08	3,100.87	205.21 ST
1.0000	STATE STREET CORP	12/02/09	01/08/10	45.29	42.89	2.40
396.0000	SOUTHWEST AIRLNS CO	03/28/08	01/08/10	4,490.72	4,803.48	(312.76) LT
39.0000	TRANSOCEAN LTD	03/28/08	01/08/10	3,622.23	5,250.96	(1,628.73) LT
35.0000	THERMO FISHER SCIENTIFIC	05/14/09	01/08/10	1,702.36	1,249.49	452.87 ST
87.0000	TOYOTA MOTOR CORP ADR	03/28/08	01/08/10	7,445.27	9,057.57	(1,612.30) LT
49.0000	URS CORP NEW COM	11/18/09	01/08/10	2,407.31	2,204.68	202.63 ST
56.0000	VALERO ENERGY CORP NEW	05/06/09	01/08/10	1,042.69	1,282.23	(239.54) ST
57.0000	VODAFONE GROU PLC SP ADR	11/18/09	01/08/10	1,253.40	1,296.16	(42.76) ST
134.0000	WAL-MART STORES INC	03/28/08	01/08/10	7,142.38	7,010.88	131.50 LT
57.0000	WILLIAMS COMPANIES DEL	03/28/08	01/08/10	1,280.91	1,851.93	(571.02) LT
70.0000	WILLIAMS COMPANIES DEL	11/24/08	01/08/10	1,573.05	1,015.90	557.15 LT
85.0000	WELLS FARGO & CO NEW DEL	11/13/08	01/08/10	2,452.39	2,374.74	77.65 LT
85.0000	WELLS FARGO & CO NEW DEL	11/14/08	01/08/10	2,452.40	2,407.71	44.69 LT
7.0000	WELLS FARGO & CO NEW DEL	08/13/09	01/08/10	201.97	192.01	9.96 ST
106.0000	ALLSTATE CORP DEL COM	05/06/09	02/05/10	2,997.69	2,584.71	412.98 ST

PLEASE SEE REVERSE SIDE

Page

65 of 70

Statement Period

Year Ending 10/31/10

Account No.

54C-04008

ATTACHED - 11/5



Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
15.0000	AXIS CAPITAL HOLDINGS	03/28/08	02/02/10	434.10	512.25	(78.15) LT
61.0000	AXIS CAPITAL HOLDINGS	03/28/08	02/05/10	1,727.04	2,083.16	(356.12) LT
104.0000	AXIS CAPITAL HOLDINGS	07/23/08	02/05/10	2,944.48	3,277.27	(332.79) LT
99.0000	ALCOA INC	12/18/08	02/05/10	1,263.22	1,014.69	248.53 LT
3.0000	AMER EXPRESS COMPANY	03/13/09	02/05/10	110.48	37.41	73.07 ST
66.0000	AMER EXPRESS COMPANY	03/16/09	02/05/10	2,430.76	899.98	1,530.78 ST
34.0000	AMGEN INC COM PV \$0.0001	06/25/08	02/05/10	1,929.81	1,596.30	333.51 LT
10.0000	AMGEN INC COM PV \$0.0001	07/02/08	02/05/10	567.59	483.66	83.93 LT
14.0000	AMGEN INC COM PV \$0.0001	01/22/09	02/05/10	794.64	773.08	21.56 LT
15.0000	BOEING COMPANY	09/03/08	02/05/10	861.73	975.09	(113.36) LT
32.0000	BOEING COMPANY	08/10/09	02/05/10	1,838.38	1,471.99	366.39 ST
32.0000	BOEING COMPANY	08/13/09	02/05/10	1,838.38	1,488.30	350.08 ST
113.0000	BRISTOL-MYERS SQUIBB CO	03/28/08	02/05/10	2,663.43	2,434.85	228.58 LT
50.0000	BRISTOL-MYERS SQUIBB CO	12/05/08	02/05/10	1,178.51	1,025.94	152.57 LT
44.0000	COACH INC	04/15/09	02/05/10	1,478.82	789.44	689.38 ST
29.0000	CONOCOPHILLIPS	03/28/08	02/05/10	1,361.32	2,195.31	(833.99) LT
80.0000	CONOCOPHILLIPS	11/05/08	02/05/10	3,755.37	4,364.00	(608.63) LT
7.0000	CATERPILLAR INC DEL	12/10/08	02/05/10	356.99	306.88	50.11 LT
34.0000	CATERPILLAR INC DEL	08/27/09	02/05/10	1,733.98	1,596.15	137.83 ST
57.0000	CHESAPEAKE ENERGY OKLA	09/16/09	02/05/10	1,354.30	1,645.55	(291.25) ST
67.0000	CHUBB CORP	09/17/08	02/05/10	3,208.59	3,816.39	(607.80) LT
279.0000	DELL INC	03/28/08	02/05/10	3,619.33	5,496.28	(1,876.95) LT
57.0000	DEAN FOODS CO NEW	02/23/09	02/05/10	1,008.47	1,107.09	(98.62) ST
107.0000	DEAN FOODS CO NEW	05/06/09	02/05/10	1,893.09	1,944.70	(51.61) ST
107.0000	DEAN FOODS CO NEW	12/09/09	02/05/10	1,893.10	1,810.35	82.75 ST
52.0000	DISNEY (WALT) CO COM STK	03/28/08	02/05/10	1,514.74	1,625.53	(110.79) LT
48.0000	R R DONNELLEY SONS	08/12/09	02/05/10	898.19	768.10	130.09 ST
58.0000	R R DONNELLEY SONS	09/09/09	02/05/10	1,085.32	1,088.66	(3.34) ST
80.0000	DOW CHEMICAL CO	03/25/09	02/05/10	2,063.97	734.59	1,329.38 ST
34.0000	EXXON MOBIL CORP COM	08/24/09	02/05/10	2,175.29	2,409.49	(234.20) ST
48.0000	EXXON MOBIL CORP COM	09/28/09	02/05/10	3,071.00	3,344.09	(273.09) ST
24.0000	EXXON MOBIL CORP COM	10/07/09	02/05/10	1,535.50	1,646.38	(110.88) ST
68.0000	FIDELITY NATL INFO SVCS	01/21/10	02/05/10	1,555.14	1,648.82	(93.68) ST
73.0000	FOREST LABS INC	07/30/09	02/05/10	2,072.47	1,948.17	124.30 ST
32.0000	FOREST LABS INC	08/04/09	02/05/10	908.49	846.38	62.11 ST
14.0000	GOLDMAN SACHS GROUP INC	03/28/08	02/05/10	2,100.39	2,307.77	(207.38) LT
5.0000	GOLDMAN SACHS GROUP INC	06/19/08	02/05/10	750.14	916.64	(166.50) LT
1.0000	GOLDMAN SACHS GROUP INC	01/21/10	02/05/10	150.03	161.69	(11.66) ST
101.0000	GENERAL ELECTRIC	08/05/09	02/05/10	1,545.33	1,422.02	123.31 ST
63.0000	HEWLETT PACKARD CO DEL	08/10/09	02/05/10	2,945.21	2,744.28	200.93 ST

PLEASE SEE REVERSE SIDE



Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
238.0000	INTEL CORP	03/28/08	02/05/10	4,563.04	4,976.56	(413.52) LT
2.0000	INTEL CORP	12/14/09	02/05/10	38.35	40.00	(1.65) ST
24.0000	JPMORGAN CHASE & CO	09/29/08	02/05/10	892.61	1,109.67	(217.06) LT
75.0000	JPMORGAN CHASE & CO	02/05/09	02/05/10	2,789.42	1,718.99	1,070.43
66.0000	JPMORGAN CHASE & CO	03/10/09	02/05/10	2,454.69	1,263.88	1,190.81
51.0000	JOHNSON AND JOHNSON COM	03/28/08	02/05/10	3,162.99	3,277.27	(114.28) LT
38.0000	KOHL'S CORP WISC PV 1CT	03/28/08	02/05/10	1,848.68	1,606.27	242.41 LT
50.0000	MORGAN STANLEY	08/25/09	02/05/10	1,316.98	1,515.50	(198.52) ST
71.0000	MERCK AND CO INC SHS	11/20/09	02/05/10	2,555.29	2,570.20	(14.91) ST
38.0000	MERCK AND CO INC SHS	11/25/09	02/05/10	1,367.62	1,371.04	(3.42) ST
2.0000	MCDONALDS CORP COM	09/15/09	02/05/10	125.57	110.14	15.43 ST
64.0000	MCDONALDS CORP COM	09/17/09	02/05/10	4,018.54	3,615.28	403.26 ST
40.0000	MCDONALDS CORP COM	10/16/09	02/05/10	2,511.60	2,355.49	156.11 ST
1,470.0000	NATL BK GREECE SA SPNADR	02/18/10	02/19/10	5,616.95	5,468.25	148.70 ST
55.0000	NABORS INDUSTRIES LTD	12/11/08	02/05/10	1,183.73	704.61	479.12 LT
71.0000	NABORS INDUSTRIES LTD	09/22/09	02/05/10	1,528.10	1,487.39	40.71 ST
12.0000	NEWMONT MINING CORP	04/16/08	02/05/10	529.67	570.59	(40.92) LT
20.0000	NEWMONT MINING CORP	10/29/09	02/05/10	882.79	854.79	28.00 ST
10.0000	NEWMONT MINING CORP	01/05/10	02/05/10	441.39	485.28	(43.89) ST
11.0000	NEWMONT MINING CORP	02/03/10	02/05/10	485.54	504.29	(18.75) ST
13.0000	NOKIA CORP SPON ADR	07/14/08	02/05/10	170.59	327.73	(157.14) LT
77.0000	NOKIA CORP SPON ADR	08/04/08	02/05/10	1,010.42	2,112.89	(1,102.47) LT
95.0000	NOKIA CORP SPON ADR	03/25/09	02/05/10	1,246.64	1,151.36	95.28 ST
5.0000	PNC FINCL SERVICES GROUP	08/20/09	02/05/10	254.84	205.07	49.77 ST
46.0000	PNC FINCL SERVICES GROUP	08/24/09	02/05/10	2,344.60	1,953.61	390.99 ST
200.0000	PFIZER INC	03/28/08	02/05/10	3,529.98	4,116.00	(586.02) ST
69.0000	STATE STREET CORP	12/02/09	02/05/10	2,920.73	2,960.05	(39.32) ST
5.0000	STATE STREET CORP	12/04/09	02/05/10	211.65	208.69	2.96 ST
73.0000	SOUTHWEST AIRLNS CO	03/28/08	02/05/10	822.16	885.50	(63.34) LT
140.0000	SOUTHWEST AIRLNS CO	03/25/09	02/05/10	1,576.77	900.20	676.57 ST
2.0000	TRANSOCEAN LTD	03/28/08	02/05/10	163.83	269.29	(105.46) LT
27.0000	TRANSOCEAN LTD	11/05/08	02/05/10	2,211.81	2,194.85	16.96 LT
16.0000	TRANSOCEAN LTD	03/05/09	02/05/10	1,310.71	876.42	434.29 ST
34.0000	THERMO FISHER SCIENTIFIC	05/14/09	02/05/10	1,570.10	1,213.80	356.30 ST
39.0000	TOYOTA MOTOR CORP ADR	03/28/08	01/28/10	3,038.17	4,060.29	(1,022.12) LT
40.0000	TOYOTA MOTOR CORP ADR	03/28/08	02/05/10	2,959.56	4,164.40	(1,204.84) LT
19.0000	URS CORP NEW COM	11/18/09	02/05/10	813.56	854.88	(41.32) ST
32.0000	URS CORP NEW COM	01/07/10	02/05/10	1,370.23	1,528.47	(158.24) ST
61.0000	VALERO ENERGY CORP NEW	05/06/09	02/05/10	1,079.10	1,396.72	(317.62) ST
113.0000	VALERO ENERGY CORP NEW	05/07/09	02/05/10	1,999.01	2,641.09	(642.08) ST

PLEASE SEE REVERSE SIDE

Page
67 of 70

Statement Period
Year Ending 10/31/10

Account No
54C-04008

Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
73 0000	VODAFONE GROPC PLC SP ADR	11/18/09	02/05/10	1,586.26	1,660.00	(73.74) ST
71 0000	VODAFONE GROPC PLC SP ADR	01/28/10	02/05/10	1,542.82	1,530.05	12.77 ST
125 0000	WAL-MART STORES INC	03/28/08	02/05/10	6,629.95	6,540.01	89.94 LT
19 0000	WAL-MART STORES INC	08/12/09	02/05/10	1,007.76	960.24	47.52 ST
9 0000	WAL-MART STORES INC	11/12/09	02/05/10	477.36	480.86	(3.50) ST
24 0000	WILLIAMS COMPANIES DEL	11/24/08	02/05/10	472.80	348.31	124.49 ST
86 0000	WILLIAMS COMPANIES DEL	09/16/09	02/05/10	1,694.23	1,620.03	74.20 ST
82 0000	WELLS FARGO & CO NEW DEL	08/13/09	02/05/10	2,199.25	2,249.26	(50.01) ST
47 0000	WELLS FARGO & CO NEW DEL	08/14/09	02/05/10	1,260.54	1,294.81	(34.27) ST
55 0000	WELLS FARGO & CO NEW DEL	12/04/09	02/05/10	1,475.12	1,495.42	(20.30) ST
20 0000	COCA COLA HELLENIC S ADS	02/18/10	03/26/10	542.74	451.45	91.29 ST
323 0000	FUQI INTL INC	02/18/10	03/24/10	3,736.96	5,473.17	(1,736.21) ST
46 0000	INTESA SANPAOLO SPON ADR	02/18/10	03/25/10	1,030.43	1,005.10	25.33 ST
12 0000	NOVO NORDISK A S ADR	02/18/10	03/17/10	938.27	867.83	70.44 ST
110 0000	SOHU COM INC COM	02/18/10	03/16/10	5,831.42	5,534.91	296.51 ST
112 0000	SHANDA INTER ENT SPO ADR	02/18/10	03/16/10	4,732.11	5,515.99	(783.88) ST
804 0000	SINOVAC BIOTECH LTD	02/18/10	03/25/10	5,071.73	5,465.99	(394.26) ST
23 0000	SXC HEALTH SOLUTIONS	02/18/10	03/16/10	1,441.09	1,115.26	325.83 ST
48 0000	TURKCELL ILETISIM ADR	02/18/10	03/16/10	740.00	785.76	(45.76) ST
48 0000	TURKCELL ILETISIM ADR	02/18/10	03/25/10	706.01	785.76	(79.75) ST
128 0000	TELVENT GIT SA	02/18/10	03/17/10	3,729.11	4,263.66	(534.55) ST
37 0000	TELVENT GIT SA	02/18/10	03/17/10	1,072.01	1,232.47	(160.46) ST
81 0000	ARM HLDGS PLC SPD ADR	02/18/10	04/12/10	885.70	772.73	112.97 ST
26 0000	BAYER AG SP ADR	02/18/10	04/27/10	1,724.16	1,796.85	(72.69) ST
23 0000	COCA COLA HELLENIC S ADS	02/18/10	03/29/10	625.12	519.17	105.95 ST
235 0000	FRANCE TELECOM ADR	02/18/10	04/27/10	5,097.04	5,477.83	(380.79) ST
34 0000	GERDAU SA SPONS ADR	02/18/10	04/27/10	561.35	495.03	66.32 ST
27 0000	INTESA SANPAOLO SPON ADR	02/18/10	04/26/10	578.79	589.95	(11.16) ST
18 0000	LG DISPLAY CO LTD	02/18/10	04/27/10	357.56	302.39	55.17 ST
522 0000	NATL BK GREECE SA SPNADR	02/18/10	04/12/10	2,074.07	1,941.78	132.29 ST
193 0000	NATL BK GREECE SA SPNADR	02/18/10	04/13/10	733.39	717.95	15.44 ST
233 0000	NOKIA CORP SPON ADR	02/18/10	04/22/10	3,058.24	3,124.51	(66.27) ST
7 0000	WAL-MART DE MEX SPNADR IV	02/18/10	04/22/10	333.98	341.81	(7.83) ST
53 0000	BAYER AG SP ADR	02/18/10	04/28/10	3,417.47	3,662.84	(245.37) ST
12 0000	FANUC LTD-UNSP	02/18/10	04/28/10	704.60	587.39	117.21 ST
24 0000	INTESA SANPAOLO SPON ADR	02/18/10	04/28/10	474.03	524.40	(50.37) ST
29 0000	LAFARGE ADR NEW	02/18/10	05/20/10	391.57	502.86	(111.29) ST
11 0000	SCHLUMBERGER LTD	02/18/10	04/30/10	790.46	721.81	68.65 ST
21 0000	ELBIT SYSTEMS LTD	02/18/10	05/12/10	1,238.82	1,355.75	(116.93) ST
23 0000	ELBIT SYSTEMS LTD	02/18/10	05/13/10	1,329.82	1,484.88	(155.06) ST

PLEASE SEE REVERSE SIDE

ATTACHMENT C-118

EMA Fiscal Statement

LEO GOODWIN FOUNDATION INC

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
41.0000	ELBIT SYSTEMS LTD	02/18/10	05/14/10	2,349.33	2,646.97	(297.64) ST
57.0000	ARM HLDGS PLC SPD ADR	02/18/10	06/11/10	711.90	543.77	168.13 ST
263.0000	ASSURED GUARANTY LTD	02/18/10	06/07/10	3,629.37	5,462.51	(1,833.14) ST
38.0000	BRITISH SKY BDCT SPD ADR	02/18/10	06/17/10	1,568.48	1,273.38	295.10 ST
24.0000	HERBALIFE LTD	02/18/10	06/07/10	1,053.59	978.95	74.64 ST
454.0000	NET SRVCS DE COMUNCCO SA	02/18/10	06/08/10	4,299.81	5,515.24	(1,215.43) ST
22.0000	SXC HEALTH SOLUTIONS	02/18/10	06/07/10	1,561.02	1,066.77	494.25 ST
77.0000	TELEFONICA SA SPAIN ADR	02/18/10	06/07/10	4,181.74	5,466.44	(1,284.70) ST
277.0000	ABB LTD SPON ADR	02/18/10	07/27/10	5,469.86	5,498.42	(28.56) ST
55.0000	ARM HLDGS PLC SPD ADR	02/18/10	07/13/10	773.74	524.70	249.04 ST
48.0000	ARM HLDGS PLC SPD ADR	02/18/10	07/14/10	696.31	457.91	238.40 ST
6.0000	BRITISH SKY BDCT SPD ADR	02/18/10	06/30/10	251.73	201.06	50.67 ST
10.0000	BRITISH SKY BDCT SPD ADR	02/18/10	07/01/10	418.57	335.10	83.47 ST
66.0000	CNINSURE INC SPON ADR	02/18/10	06/28/10	1,815.43	1,438.43	377.00 ST
9.0000	NOVO NORDISK A S ADR	02/18/10	06/30/10	735.16	650.88	84.28 ST
129.0000	ROCHE HLDG LTD SPN ADR	02/18/10	06/28/10	4,576.31	5,457.99	(881.68) ST
94.0000	TOTAL S.A. SP ADR	02/18/10	06/28/10	4,375.08	5,503.69	(1,128.61) ST
237.0000	TURKCELL ILETISIM ADR	02/18/10	07/08/10	3,149.96	3,879.69	(729.73) ST
285.0000	VERBUND AG-SPONS ADR	02/18/10	07/02/10	1,770.11	2,371.20	(601.09) ST
44.0000	ARM HLDGS PLC SPD ADR	02/18/10	08/03/10	656.15	419.76	236.39 ST
76.0000	CIA BRA DIS PAO ADS REGS	02/18/10	08/20/10	5,101.33	5,527.94	(426.61) ST
5.0000	POTASH CORP SASKATCHEWAN	02/18/10	08/25/10	736.04	575.50	160.54 ST
4.0000	POTASH CORP SASKATCHEWAN	02/18/10	08/25/10	584.15	460.40	123.75 ST
73.0000	BHP BILLITON LTD ADR	02/18/10	09/01/10	5,100.58	5,472.81	(372.23) ST
191.0000	CSL LTD SHS	02/18/10	09/07/10	412.15	468.72	(56.57) ST
164.0000	ENCANA CORP	02/18/10	09/20/10	2,836.78	2,931.85	(95.07) ST
23.0000	HANG LUNG PROPERTIES ADR	02/18/10	09/22/10	4,574.67	5,502.18	(927.51) ST
45.0000	HANG LUNG PROPERTIES ADR	02/18/10	09/13/10	559.47	423.66	135.81 ST
30.0000	LVMH MOET HENNESSY ADR	02/18/10	09/14/10	1,084.82	828.90	255.92 ST
146.0000	TECK RESOURCES LTD CLS B	02/18/10	09/13/10	769.44	651.30	118.14 ST
334.0000	TURKCELL ILETISIM ADR	02/18/10	09/22/10	5,667.56	5,520.25	147.31 ST
84.0000	CHINA LIFE INS CO SP ADR	02/18/10	09/01/10	5,278.15	5,467.58	(189.43) ST
7.0000	CHINA LIFE INS CO SP ADR	02/18/10	09/30/10	4,958.03	5,624.64	(666.61) ST
10.0000	INFOSYS TECH LTD ADR	05/07/10	09/30/10	413.17	450.75	(37.58) ST
26.0000	LVMH MOET HENNESSY ADR	02/18/10	10/14/10	715.79	553.75	162.04 ST
49.0000	LOGITECH INTERNATIONAL	02/18/10	10/22/10	817.69	564.46	253.23 ST
8.0000	NOVO NORDISK A S ADR	02/18/10	10/13/10	917.29	783.50	133.79 ST
			10/21/10	806.80	578.56	228.24 ST

TOTAL 464,773.14 476,686.74
 176,770.88 167,136.93
 288,052.26 309,549.81

11,912.60
 9,583.95
 21,497.55

PLEASE SEE REVERSE SIDE
 Page 69 of 70
 Statement Period 10/31/10
 Year Ending 10/31/10
 Account No. 54C-04008

X 00022083