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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 11/01, 2009, and ending 10/31, 2010

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label
Otherwise,
print
or type
See Specific
InstructionsJOSEPH ALEXANDER FOUNDATION, INC.
110 EAST 59TH STREET
NEW YORK, NY 10022

A Employer identification number

51-0175951

B Telephone number (see the instructions)

212-355-3688

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

► \$ 14,595,577.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 553,582.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 1

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule) SEE STM 2

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 3

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid PART XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

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REVENUE
OPERATING AND ADMINISTRATIVE EXPENSES

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash – non-interest-bearing	33,883.	44,886.	44,886.
	2 Savings and temporary cash investments	1,092,041.	330,416.	330,416.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	11,654.	9,723.	9,723.
	10a Investments – U.S. and state government obligations (attach schedule)	3,399,525.	3,399,105.	3,399,105.
	b Investments – corporate stock (attach schedule)	6,412,197.	7,766,343.	7,766,343.
	c Investments – corporate bonds (attach schedule)	3,666,797.	3,031,429.	3,031,429.
	11 Investments – land, buildings, and equipment basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe SEE STATEMENT 4)	38,083.	13,675.	13,675.
	16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	14,654,180.	14,595,577.	14,595,577.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 5)	38,749.	41,634.	
	23 Total liabilities (add lines 17 through 22)	38,749.	41,634.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	14,615,431.	14,553,943.	
	30 Total net assets or fund balances (see the instructions)	14,615,431.	14,553,943.	
	31 Total liabilities and net assets/fund balances (see the instructions)	14,654,180.	14,595,577.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,615,431.
2 Enter amount from Part I, line 27a	2	-618,063.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 6	3	556,575.
4 Add lines 1, 2, and 3	4	14,553,943.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	14,553,943.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 7			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2 64,669.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	798,786.	14,542,640.	0.054927
2007	844,002.	16,756,231.	0.050369
2006	818,698.	18,225,129.	0.044921
2005	814,419.	17,108,992.	0.047602
2004	823,515.	16,545,616.	0.049772

2 Total of line 1, column (d)	2 0.247591
3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 0.049518
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4 14,405,506.
5 Multiply line 4 by line 3	5 713,332.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 1,953.
7 Add lines 5 and 6	7 715,285.
8 Enter qualifying distributions from Part XII, line 4	8 807,525.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)		1	1,953.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,953.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,953.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	10,739.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,739.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,786.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities *Continued*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JOSEPH ALEXANDER FOUNDATION</u> Telephone no <u>212-355-3688</u> Located at <u>110 EAST 59TH STREET NEW YORK NY</u> ZIP + 4 <u>10022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		64,375.	14,250.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 UNIVERSITY OF PENNSYLVANIA LOCATED IN PHILDELPHIA, PENNSYLVANIA. THE GRANT IS MADE TO THE PA UNIVERSITY SCHOOL OF ENGINEERING.	50,000.
2 WESTERN WALL HERITAGE FOUNDATION, NEW YORK, NY; EXCAVATION ACTIVITIES AND MUSEUM EXHIBITING HISTORICAL AND RELIGIOUS ARTIFACTS	50,000.
3 YESHIVA UNIVERSITY, FUNDING FOR THE SPECIFIC NEEDS OF THE SCIENCE EDUCATION DEPARTMENT, NEW YORK, NY	50,000.
4 GENESIS FOUNDATION, MARLBORO, NEW JERSEY. ADVANCEMENT OF THE CHRISTIAN FAITH THOROUGH SCIENTIFIC STUDY OF THE BIBLE	50,000.

Part IX B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	13,837,698.
b	Average of monthly cash balances	750,613.
c	Fair market value of all other assets (see instructions)	36,568.
d	Total (add lines 1a, b, and c)	14,624,879.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	0.
2	Acquisition indebtedness applicable to line 1 assets	0.
3	Subtract line 2 from line 1d	14,624,879.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	219,373.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	14,405,506.
6	Minimum investment return. Enter 5% of line 5	720,275.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	720,275.
2a	Tax on investment income for 2009 from Part VI, line 5	1,953.
b	Income tax for 2009 (This does not include the tax from Part VI)	
c	Add lines 2a and 2b	1,953.
3	Distributable amount before adjustments Subtract line 2c from line 1	718,322.
4	Recoveries of amounts treated as qualifying distributions	
5	Add lines 3 and 4	718,322.
6	Deduction from distributable amount (see instructions)	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	718,322.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	807,525.
b	Program-related investments — total from Part IX-B	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	
b	Cash distribution test (attach the required schedule)	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	807,525.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	1,953.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	805,572.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				718,322
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008	12,962.			
f Total of lines 3a through e	12,962			
4 Qualifying distributions for 2009 from Part XII, line 4 \$ 807,525.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				718,322.
e Remaining amount distributed out of corpus	89,203.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	102,165			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	102,165.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	12,962.			
e Excess from 2009	89,203.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	RELIGIOUS	5,000.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	RESEARCH	155,000.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	MEDICAL	5,000.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	EDUCATIONAL	196,500.
SEE SCHEDULE OF GRANTS PAID	NONE	CHAR	CHARITABLE	318,000.
Total			3a	679,500.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	1,093.	
4	Dividends and interest from securities			14	206,984.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	64,669.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				272,746.	
13	Total. Add line 12, columns (b), (d), and (e)					272,746.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

Name of Security	Number of Shares or Par Value	Cost	Market Value
Common stock			
Allergan Inc.	700	\$ 40,642	\$ 50,687
American Express	4,500	198,289	186,570
American Movil	10,000	24,567	572,600
Amgen Inc.	800	46,710	45,752
Apache Corp.	840	25,162	84,857
AT & T	3,600	16,787	102,672
ADP	1,000	58,279	44,420
Bank of America	40,000	623,936	457,960
Baxter Intl Inc	2,000	31,081	101,860
BHP Billiton Ltd. ADR	1,014	37,637	83,775
BT Group	3,000	114,530	74,040
Coca Cola	1,000	49,636	61,320
Conoco Phillips	1,000	29,932	59,388
Danaher Corp.	3,000	86,050	130,080
Devon Energy	6,000	564,887	390,120
Emerson Electric	1,000	53,467	54,900
Exxon Mobil	3,000	13,313	199,470
Enbridge Energy Management LLC	1,737	47,693	103,664
Fairpoint Communications Inc.	80	-0-	2
Freeport McMan CPR & CLD	1,000	71,575	94,795
General Electric	21,000	378,504	336,420
Grupo Mexico	48,322	42,741	158,496
Hartford Financial Services	1,500	6,339	35,925
International Business Machines	3,000	367,021	430,800
Johnson & Johnson	6,000	351,829	382,440
Kinder Morgan Management LLC	1,768	37,686	109,033
Liberty Property Trust	1,000	29,290	33,460
Lowes Companies Inc.	6,000	173,450	127,980
Marathon Oil Corp	1,000	36,119	35,570
Mead Johnson Nutrition Co.	1,000	44,388	58,820
Medtronic Inc.	1,000	48,369	35,230

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

<u>Name of Security</u>	<u>Number of Shares or Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Common stock (continued)			
New York Community Bancorp	1,500	18,984	25,395
Nextera Energy Inc.	800	28,003	44,032
Oracle Corp.	3,000	10,215	88,140
Peabody Energy	1,700	69,204	89,930
Pepsico	1,000	49,335	65,300
Pfizer Inc.	2,600	8,303	45,279
Pfizer Inc.	1,400	46,896	24,381
Plum Creek Timber Co., Inc.	1,770	49,560	65,207
Proctor & Gamble	8,900	303,176	565,773
Progress Energy	500	20,026	22,500
Public Service Enterprise Group	1,400	25,757	45,276
Seaspan Corp.	5,000	75,338	67,350
Staples Inc.	3,072	30,958	63,047
State Street	1,000	35,338	41,760
Target Corp.	1,000	37,375	51,940
Teco Energy Inc.	2,000	30,643	35,180
Telefonica SA Spain ADR	2,446	21,121	198,468
Telefonos M SA RP L ADR	5,000	14,168	77,400
Tortoise Energy	2,000	59,913	71,792
United Technologies	2,000	62,251	149,540
United Healthcare Group	4,500	106,731	162,225
Vale SA	2,500	68,557	80,350
Veolia Environment ADR	1,000	32,523	29,390
Verizon Communications	4,242	33,731	137,780
Walgreen Co.	1,000	39,816	33,880
Wal-Mart Stores Inc.	1,000	51,900	54,170
Wal-Mart de Mexico	20,000	43,352	54,756
Wells Fargo	25,000	634,775	651,500
Zimmer Holdings Inc.	1,000	27,832	47,440
Total common stock		<u>\$5,685,690</u>	<u>\$7,732,287</u>

JOSEPH ALEXANDER FOUNDATION, INC.
 CERTIFICATES OF DEPOSIT
 YEAR ENDED OCTOBER 31, 2010

<u>Name of Security</u>	<u>Date</u>	<u>Cost</u>	<u>Market Value</u>
Aliant Bank, Alabama	14-Nov-11	\$ 95,000	\$ 94,223
Banco Popular P. Rico	08-Jun-11	249,000	248,112
Bank of America Natl	03-Mar-11	190,000	189,590
Bank of Baroda NYB	24-Feb-11	190,000	189,467
Bank of Florida	28-Apr-11	95,000	95,000
Bank of India	09-Mar-11	95,000	94,719
Bank of India	14-Sep-11	150,000	149,162
Beal Bank - Texas	07-Sep-11	95,000	94,824
Beal Bank - Nevada	23-Mar-11	190,000	189,560
Beal Bank - SSB	07-Sep-11	150,000	149,306
BMW Bank of North America	21-May-11	98,000	98,632
Capital Bank - Raleigh, NC	31-Jan-11	190,000	189,578
Carolina First Bank - Greenville, SC	31-May-11	98,000	97,665
Compass Bank - Wilmington, DE	14-Apr-11	190,000	189,226
First Bank - Puerto Rico	25-Jul-11	100,000	99,459
First Bank - Puerto Rico	26-Sep-11	100,000	99,420
GE Money Bank	08-Nov-10	95,000	94,994
Mercantile Commerce Bank	09-Jun-11	95,000	94,518
Merrick Bank Natl AS	29-Oct-12	100,000	98,825
Metlife Bank	29-Mar-12	95,000	94,338
Pacific Cap Bank	17-Oct-11	95,000	94,416
Synovos Bank - Columbus, GA	22-Nov-10	99,000	99,020
Wilmington Trust Co. - Delaware	14-Apr-11	95,000	95,042
Totals		<u>\$2,949,000</u>	<u>\$2,939,096</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
U.S. Treasury Bills:			
Due 11/18/10	\$ 700,000	\$ 699,236	\$ 699,965
Due 12/30/10	750,000	749,235	749,828
Due 01/06/11	900,000	899,117	899,820
Due 03/03/11	800,000	799,281	799,632
Due 03/24/11	<u>250,000</u>	<u>249,804</u>	<u>249,860</u>
Total U.S. Treasury Bills	<u>\$3,400,000</u>	<u>\$3,396,673</u>	<u>\$3,399,105</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

	<u>Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Corporate Bonds:			
SLM Corp.			
FLT% due 6/23/14	100,000	<u>\$ 100,000</u>	<u>\$ 92,333</u>
Total corporate bonds		<u>\$ 100,000</u>	<u>\$ 92,333</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

	<u>Number of Shares or Par Value</u>	<u>Cost</u>	<u>Market Value</u>
Mutual Fund:			
Morgan Stanley India Investment Fund	1,200	<u>\$ 56,170</u>	<u>\$ 34,056</u>
Total		<u>\$ 56,170</u>	<u>\$ 34,056</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2010

	<u>Cost or Other Basis</u>	<u>Market Value</u>
Recapitulation:		
Common stock	\$ 5,685,690	\$ 7,732,287
U.S. Treasury bills	3,396,673	3,399,105
Corporate bonds	100,000	92,333
Bank certificates of deposit	2,949,000	2,939,096
Mutual funds	<u>56,170</u>	<u>34,056</u>
Total	<u>\$12,187,533</u>	<u>\$ 14,196,877</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2010

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Charitable Grants</u>		
92 nd Street YMHA New York, NY	Unrestricted	\$ 1,500
ALS Association Ambler, PA	Unrestricted	30,000
ALS Association of Florida Tampa, FL	Unrestricted	2,500
ALS Association of New York New York, NY	Unrestricted	5,000
American-Israel Friendship League New York, NY	Youth Exchange Program	10,000
Beit Issie Shapiro New York, NY	Unrestricted	5,000
American Museum of Natural History New York, NY	Unrestricted	2,500
American Society for Yad Vashem New York, NY	Unrestricted	2,500
American Friends for Israel Museum New York, NY	Unrestricted	10,000
Autism Speaks New York, NY	Unrestricted	10,000
Big Brothers Big Sisters New York, NY	Unrestricted	1,500
Brooklyn Museum of Art Brooklyn, NY	Unrestricted	5,000
Chamah New York, NY	Unrestricted	25,000
Chevra Hatzalah New York, NY	Unrestricted	2,500
Children's Museum of Manhattan New York, NY	Unrestricted	2,500

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2010

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Citymeals New York, NY	Unrestricted	\$ 1,500
CJ Foundation for SIDS Hackensack, NJ	Unrestricted	3,000
Dorot New York, NY	Unrestricted	10,000
ELEM/Distressed Youth in Israel New York, NY	Unrestricted	3,000
Embracing the Child Glenside, PA	Unrestricted	1,500
Federation of Jewish Men's Clubs New York, NY	Unrestricted	5,000
Hebrew Free Burial Association New York, NY	Unrestricted	3,500
Hillel-University of Delaware Newark, DE	Unrestricted	10,000
International Center of Photography New York, NY	Unrestricted	5,000
Israel Guide Dogs for the Blind New York, NY	Unrestricted	2,500
Jewish Braille Institute New York, NY	Unrestricted	15,000
Jewish Foundation for the Righteous New York, NY	Unrestricted	5,000
Jewish Museum New York, NY	Unrestricted	15,000
Keren Or New York, NY	Unrestricted	5,000
Lighthouse International New York, NY	Unrestricted	5,000
Lower East Side Tenement Museum New York, NY	Unrestricted	2,500

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2010

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Madison Square Boys and Girls Club New York, NY	Unrestricted	2,500
Museum of the City of New York New York, NY	Unrestricted	2,500
National Foundation for Facial Reconstruction New York, NY	Unrestricted	5,000
National Museum of American Jewish History New York, NY	Unrestricted	10,000
Pediatric Cancer Foundation New York, NY	Unrestricted	2,500
Reading Reform Foundation New York, NY	Unrestricted	10,000
School for Children with Hidden Intelligence Lakewood, NJ	Unrestricted	2,500
Scope New York, NY	Unrestricted	2,500
Urban Dove New York, NY	Unrestricted	5,000
Westchester Golf Association Caddie Scholarship New York, NY	Scholarship	7,500
Western Wall Heritige Foundation New York, NY	Excavations and Museum	50,000
WNET - Thirteen New York, NY	Unrestricted	5,000
Yad Sarah New York, NY	Unrestricted	<u>5,000</u>
Total Charitable Grants		<u>\$ 318,000</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2010

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Educational</u>		
Bar Elan University New York, NY	Ruth Rackman Center	\$ 50,000
Bloomhold College Bloomfield, NJ	Unrestricted	10,000
Genesis Foundation Marlboro, NJ	Scholarship	50,000
OHR Torah Stone New York, NY	Unrestricted	15,000
Pace University New York, NY	Scholarship	10,000
See USA Israel Art & Science Chicago, IL	Unrestricted	5,000
Thomas Fitzwater School New York, NY	Unrestricted	1,500
Upper Dublin Education FDN Maple Glen, PA	Unrestricted	5,000
Yeshiva University New York, NY	Science education	<u>50,000</u>
Total Educational Grants		<u>\$ 196,500</u>
<u>Research</u>		
Albert Einstein College of Med New York, NY	Optic nerve research	\$ 25,000
American Friends Hebrew University New York, NY	Medical Research	15,000
John Hopkins-Packard Center Baltimore, MD	ALS Research	25,000
Salk Institute La Jolla, CA	Optic nerve research	40,000
University Penn - School of Medicine Philadelphia, PA	Unrestricted	<u>50,000</u>
Total Research Grants		<u>\$ 155,000</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2010

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Religious</u>		
Jewish Theological Seminary New York, NY	Unrestricted grant	\$ <u>5,000</u>
Total Religious Grants		\$ <u>5,000</u>
<u>Medical</u>		
American Friends Herzog Hospital New York, NY	Unrestricted	\$ <u>5,000</u>
Total Medical Grants		\$ <u>5,000</u>
<u>Grants Recapitulation:</u>		
Charitable		\$ 318,000
Educational		196,500
Research		155,000
Religious		5,000
Medical		<u>5,000</u>
Total Grants Paid		\$ <u>679,500</u>

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

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STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOEL POPKIN & CO.	\$ 15,750.	\$ 2,362.		\$ 13,388.
TOTAL	\$ 15,750.	\$ 2,362.	\$ 0.	\$ 13,388.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES-CURRENT PROVISION	\$ 5,847.			
FOREIGN TAXES PAID	3,620.	\$ 3,620.		
PAYROLL TAXES	5,050.	757.		\$ 4,293.
TOTAL	\$ 14,517.	\$ 4,377.	\$ 0.	\$ 4,293.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 2,160.	\$ 324.		\$ 1,836.
INVESTMENT BROKER FEES	51,227.	51,227.		
NY STATE FILING FEES	750.	112.		638.
OFFICE EXPENSE	1,649.	247.		1,402.
RENTAL EXPENSES	46,196.	6,929.		39,267.
SUNDRY AND MISCELLANEOUS	435.	65.		370.
TOTAL	\$ 102,417.	\$ 58,904.	\$ 0.	\$ 43,513.

STATEMENT 4
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE		\$ 6,203.
ACCRUED INTEREST RECEIVABLE	\$ 6,203.	
SECURITY DEPOSITS		7,472.
SECURITY DEPOSITS	7,472.	
TOTAL	\$ 13,675.	\$ 13,675.

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JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

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STATEMENT 5
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DEFERRED TAXES PAYABLE	\$	40,538.
ACCRUED EXPENSES AND TAXES PAYABLE		1,096.
TOTAL	\$	<u>41,634.</u>

STATEMENT 6
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED APPRECIATION - SECURITIES (NET)	\$	556,575.
TOTAL	\$	<u>556,575.</u>

STATEMENT 7
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	100,000 CITIGROUP GLOBAL MARKETS	PURCHASED	8/24/2004	11/15/2009
2	1,000 SHS TELMEX INTERNACIONAL SA	PURCHASED	4/07/1994	6/18/2010
3	4,000 SHS TELMEX INTERNACIONAL SA	PURCHASED	3/23/1995	6/18/2010
4	731 SHS BRISTOL MYERS	PURCHASED	4/30/2003	2/16/2010
5	1,000 SHS CONOCOPHILLIPS	PURCHASED	7/30/2003	2/16/2010
6	521 SHS CONOCOPHILLIPS	PURCHASED	7/30/2003	2/16/2010
7	80 SHS PATRIOT COAL CORP	PURCHASED	7/31/2007	2/16/2010
8	80 SHS PATRIOT COAL CORP	PURCHASED	7/31/2007	2/16/2010
9	60 SHS PATRIOT COAL CORP	PURCHASED	7/31/2007	2/16/2010
10	120 SHS PATRIOT COAL CORP	PURCHASED	7/31/2007	2/16/2010
11	1,646 SHS BP PLC	PURCHASED	2/12/1991	5/03/2010
12	898 SHS FRONTIER COMMUNICATIONS	PURCHASED	6/10/1998	7/26/2010
13	120 SHS FRONTIER COMMUNICATIONS	PURCHASED	6/10/1998	7/26/2010
14	500 SHS MONSANTO CO	PURCHASED	10/18/2007	7/26/2010
15	300 SHS MONSANTO CO	PURCHASED	10/18/2007	7/26/2010
16	200 SHS MONSANTO CO	PURCHASED	10/18/2007	7/26/2010
17	1,000 SHS CVS CAREMARK CORP	PURCHASED	11/26/2006	10/18/2010
18	1,000 SHS CVS CAREMARK CORP	PURCHASED	10/18/2007	10/18/2010
19	2,000 SHS TELECOM ITALIA SPA NEW	PURCHASED	4/29/2006	10/18/2010
20	800 SHS ELI LILLY & CO	PURCHASED	3/09/2005	12/10/2009

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	100,000.		100,000.	0.				\$ 0.
2	18,442.		4,177.	14,265.				14,265.
3	73,768.		7,560.	66,208.				66,208.
4	17,244.		18,836.	-1,592.				-1,592.
5	48,901.		26,494.	22,407.				22,407.
6	25,477.		16,845.	8,632.				8,632.
7	1,310.		1,091.	219.				219.
8	1,310.		1,091.	219.				219.
9	982.		818.	164.				164.
10	1,964.		1,637.	327.				327.

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
11	79,718.		30,917.	48,801.				\$ 48,801.
12	6,579.		1,967.	4,612.				4,612.
13	880.		263.	617.				617.
14	28,734.		44,872.	-16,138.				-16,138.
15	17,241.		26,923.	-9,682.				-9,682.
16	11,494.		17,950.	-6,456.				-6,456.
17	30,891.		33,328.	-2,437.				-2,437.
18	30,892.		39,855.	-8,963.				-8,963.
19	29,165.		56,374.	-27,209.				-27,209.
20	28,590.		57,915.	-29,325.				-29,325.
							TOTAL	\$ 64,669.

STATEMENT 8
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
A. SNYDER-MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	DIRECTOR 0	\$ 1,400.	\$ 0.	\$ 0.
HELEN MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	SECY/DIR 0	20,525.	4,750.	0.
ROBERT WEINTRAUB 110 EAST 59TH STREET NEW YORK, NY 10022	PRESIDENT/DIR 0	20,525.	4,750.	0.
HARVEY MACKLER 110 EAST 59TH STREET NEW YORK, NY 10022	DIR 0	20,525.	4,750.	0.
RANDI WINDHEIM 110 EAST 59TH STREET NEW YORK, NY 10022	DIRECTOR 0	1,400.	0.	0.
		TOTAL	\$ 64,375.	\$ 14,250. \$ 0.

CLIENT ALEXAN

JOSEPH ALEXANDER FOUNDATION, INC.

51-0175951

2/04/11.

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STATEMENT 9
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

ROBERT WEINTRAUB

CARE OF:

JOSEPH ALEXANDER FOUNDATION, INC.

STREET ADDRESS:

110 EAST 59TH STREET

CITY, STATE, ZIP CODE:

NEW YORK, NY 10022

TELEPHONE:

FORM AND CONTENT:

THE APPLICATION SHOULD BE SUBMITTED THROUGH A WRITTEN APPLICATION. THOSE DETAILS THAT RELATE TO THE SPECIFIC REQUIRED CONTENT OF THE APPLICATION CAN BE OBTAINED BY CONTACTING THE FOUNDATION.

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

THERE ARE NO RESTRICTIONS ON LIMITATIONS OR AWARDS, SUCH AS BY TYPE OR LOCATION, ONE'S CHARITABLE FIELD OR ENDEAVOR, THE TYPE OF THE APPLYING INSTITUTION OR ANY OTHER TYPE OF FACTOR.

ACCORDINGLY, AS THERE ARE NO LIMITATIONS OR RESTRICTIONS INHERENT IN THE PROCESS, THE FOUNDATION CAN AFFIRM TO THE FACT THAT THERE IS NO DISCRIMINATION OF ANY KIND RELATING TO THE DECISIONS MADE BY THE FOUNDATION REGARDING THE APPROVAL PROCESS FOR THE GRANTING OF AWARDS, GRANTS OR CONTRIBUTIONS.