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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

11/01, 2009, and ending

10/31, 2010

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

THE KELVIN AND ELEANOR SMITH FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

30195 CHAGRIN BLVD.

Room/suite

275

City or town, state, and ZIP code

CLEVELAND, OH 44124

A Employer identification number

34-6555349

B Telephone number (see page 10 of the instructions)

(216) 591-9111

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) $\blacktriangleright$ \$

136,355,667.

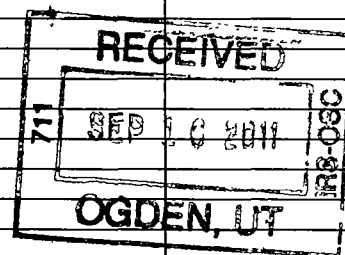
J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	2,128.	2,128.		ATCH 1
4 Dividends and interest from securities	1,812,715.	1,812,715.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	2,216,642.			
b Gross sales price for all assets on line 6a	69,564,364.			
7 Capital gain net income (from Part IV, line 2)		2,226,998.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	979,251.	979,251.		ATCH 3
12 Total. Add lines 1 through 11	5,010,736.	5,021,092.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	330,211.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 4	11,521.	0.	0.	11,521.
b Accounting fees (attach schedule) ATCH 5	40,460.	0.	0.	40,460.
c Other professional fees (attach schedule) *	1,166,643.	1,166,643.		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	22,219.	2,400.		19,619.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 8	523,269.	492,613.		30,656.
24 Total operating and administrative expenses. Add lines 13 through 23	2,094,323.	1,661,656.	0.	102,256.
25 Contributions, gifts, grants paid	6,483,167.			6,483,167.
26 Total expenses and disbursements. Add lines 24 and 25	8,577,490.	1,661,656.	0.	6,585,423.
27 Subtract line 26 from line 12	-3,566,754.			
a Excess of revenue over expenses and disbursements		3,359,436.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			-0-	



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the Instructions.

* ATCH 6 JSA ** ATCH 7

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	9,821,752.	8,601,923.	8,601,923.	
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule) **	11,257,309.	14,603,879.	14,840,220.	
	b	Investments - corporate stock (attach schedule) <u>ATCH 10</u>	92,559,654.	82,499,150.	95,430,566.	
	c	Investments - corporate bonds (attach schedule) <u>ATCH 11</u>	3,428,300.	1,766,889.	1,804,603.	
	Liabilities	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) <u>ATCH 12</u>	9,804,451.	15,857,846.	15,678,355.	
14		Land, buildings, and equipment basis				
		Less accumulated depreciation (attach schedule)				
15		Other assets (describe)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	126,871,466.	123,329,687.	136,355,667.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	126,871,466.	123,329,687.		
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)	126,871,466.	123,329,687.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	126,871,466.	123,329,687.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	126,871,466.
2	Enter amount from Part I, line 27a	2	-3,566,754.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	123,304,712.
5	Decreases not included in line 2 (itemize) <u>ATTACHMENT 13</u>	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	123,304,711.

** ATCH 9

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	2,226,998.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3	1,535,063.	
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	5,279,319.	110,141,374.	0.047932
2007	8,174,289.	158,284,773.	0.051643
2006	8,335,200.	183,017,710.	0.045543
2005	7,977,704.	162,957,378.	0.048956
2004	7,032,904.	155,844,503.	0.045128
2 Total of line 1, column (d)			2 0.239202
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047840
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 127,370,454.
5 Multiply line 4 by line 3			5 6,093,403.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 33,594.
7 Add lines 5 and 6			7 6,126,997.
8 Enter qualifying distributions from Part XII, line 4			8 6,585,423.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions)		1	33,594.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	33,594.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	33,594.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 83,762.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 15,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	98,762.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	65,168.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 65,271. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) OH,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ CAROL W. ZETT Telephone no ☐ 216-591-9111			
Located at ☐ 30195 CHAGRIN BLVD CLEVELAND OHIO		ZIP + 4 ☐ 44124		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) ☐	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) ☐	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		155,008.	NONE	NONE

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE NORTHERN TRUST CO., CLANCO 50 SOUTH LASALLE ST. CHICAGO, IL 60675	INVESTMENT COUNSEL	351,145.
THE NORTHERN TRUST CO., DALTON, GREINER 50 SOUTH LASALLE ST. CHICAGO, IL 60675	INVESTMENT COUNSEL	112,099.
THE NORTHERN TRUST CO., RUTABAGA 50 SOUTH LASALLE ST. CHICAGO, IL 60675	INVESTMENT COUNSEL	100,291.
THE NORTHERN TRUST CO., DONALD SMITH 50 SOUTH LASALLE ST. CHICAGO, IL 60675	INVESTMENT COUNSEL	78,128.
THE NORTHERN TRUST CO., COLUMBUS CIRCLE 50 SOUTH LASALLE ST. CHICAGO, IL 60675	INVESTMENT COUNSEL	62,718.

Total number of others receiving over \$50,000 for professional services **3**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	120,825,846.
b	Average of monthly cash balances	1b	8,484,260.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	129,310,106.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	129,310,106.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	1,939,652.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	127,370,454.
6	Minimum investment return. Enter 5% of line 5	6	6,368,523.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,368,523.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	33,594.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	33,594.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,334,929.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	6,334,929.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,334,929.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,585,423.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,585,423.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	33,594.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,551,829.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				6,334,929.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 <u>07</u> , 20 <u>06</u> , 20 <u>05</u>				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007 140,150.				
e From 2008				
f Total of lines 3a through e	140,150.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 6,585,423.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				6,334,929.
e Remaining amount distributed out of corpus	250,494.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	390,644.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	390,644.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007 140,150.				
d Excess from 2008				
e Excess from 2009 250,494.				

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 15

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 16

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 17

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> STATEMENT 20				6,483,167.
Total.			▶ 3a	6,483,167.
b <i>Approved for future payment</i>				
Total.			▶ 3b	

Form **990-PF** (2009)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	2,128.		
4 Dividends and interest from securities			14	1,812,714.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income			14	979,251.		
8 Gain or (loss) from sales of assets other than inventory			14	2,241,618.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				5,035,711.		
13 Total. Add line 12, columns (b), (d), and (e)				5,035,711.		

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Sign Here

Paid	
Preparer's	
Use Only	

Preparer's
signature

W. H. R. CPA

Date _____

09/13/11

Check if self-emp

self-employed ☐

Preparer's identifying
number (See Signature on
page 30 of the instructions)
P00400789

Firm's name (or yours if self-employed), address, and ZIP code

DELOITTE TAX LLP
127 PUBLIC SQUARE, SUITE 3300
CLEVELAND, OH

EIN ▶ 86-1065772

44114-1291

Phone no 216 589-1300

Form 990-PF (2009)

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					24,412.	
3,169,910.		ACCT. 26-23837 S-T PROPERTY TYPE: SECURITIES 2,904,436.				P	VARIOUS 265,474.	VARIOUS
1,992,614.		ACCT. 26-23839 S-T PROPERTY TYPE: SECURITIES 1,540,612.				P	VARIOUS 452,002.	VARIOUS
1,236,940.		ACCT. 26-23836 S-T PROPERTY TYPE: SECURITIES 846,636.				P	VARIOUS 390,304.	VARIOUS
2,354,119.		ACCT. 26-01197 S-T PROPERTY TYPE: SECURITIES 2,205,163.				P	VARIOUS 148,956.	VARIOUS
457,240.		ACCT. 26-01200 S-T PROPERTY TYPE: SECURITIES 385,007.				P	VARIOUS 72,233.	VARIOUS
2,970,447.		ACCT. 26-01202 S-T PROPERTY TYPE: SECURITIES 2,987,669.				P	VARIOUS -17,222.	VARIOUS
488,394.		ACCT. 26-18049 S-T PROPERTY TYPE: SECURITIES 469,790.				P	VARIOUS 18,604.	VARIOUS
17910383.		ACCT. 26-18050 S-T PROPERTY TYPE: SECURITIES 17335263.				P	VARIOUS 575,120.	VARIOUS
5,210,315.		ACCT. 26-52705 S-T PROPERTY TYPE: SECURITIES 4,704,508.				P	VARIOUS 505,807.	VARIOUS
426,757.		ACCT. 26-63242 S-T PROPERTY TYPE: SECURITIES 421,338.				P	VARIOUS 5,419.	VARIOUS
		AIG PEP III U.S. BUYOUT, L.P. S-T PROPERTY TYPE: SECURITIES				P	VARIOUS 6,083.	VARIOUS
		AIG PEP III U.S. VENTURE, L.P. S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
							-37,952.	
		AIG PEP III SECONDARY, L.P. S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							1,105.	
		CLANCO PRIVATE EQUITY 2007 LLC S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							27,070.	
		CLANCO PRIVATE EQUITY 2007 LLC S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							21,530.	
		THE ENHANCED ALPHA FUND S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							-899,470.	
		ACCT. 26-23837 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,179,737.		2,017,672.					162,065.	
		ACCT. 26-23839 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,846,811.		4,531,483.					-684,672.	
		ACCT. 26-23836 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,291,402.		2,605,213.					686,189.	
		ACCT. 26-01197 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,609,897.		3,783,542.					-173,645.	
		ACCT. 26-01200 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,935,416.		2,153,785.					-218,369.	
		ACCT. 26-01196 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,303,498.		3,376,433.					-72,935.	
		ACCT. 26-01202 L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
4,357,527.		4,338,956.					18,571.	

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
4,173,791.		ACCT. 26-18049 L-T PROPERTY TYPE: SECURITIES 3,565,849.				VARIOUS 607,942.	VARIOUS
1,275,596.		ACCT. 26-63242 L-T PROPERTY TYPE: SECURITIES 1,238,329.				VARIOUS 37,267.	VARIOUS
1,886,684.		ACCT. 26-18050 L-T PROPERTY TYPE: SECURITIES 1,145,339.				VARIOUS 741,345.	VARIOUS
3,428,398.		ACCT. 26-52705 L-T PROPERTY TYPE: SECURITIES 3,264,761.				VARIOUS 163,637.	VARIOUS
		BUCKEYE PARTNERS LP L-T PROPERTY TYPE: SECURITIES				VARIOUS	VARIOUS
		CEDAR FAIR, LP L-T PROPERTY TYPE: SECURITIES				VARIOUS -185.	VARIOUS
		THE ENHANCED ALPHA FUND L-T PROPERTY TYPE: SECURITIES				VARIOUS -333,179.	VARIOUS
		AIG PEP III U.S. BUYOUT, L.P. L-T PROPERTY TYPE: SECURITIES				VARIOUS -10,770.	VARIOUS
		AIG PEP III DIRECT, L.P. L-T PROPERTY TYPE: SECURITIES				VARIOUS -16,807.	VARIOUS
		AIG PEP III U.S. VENTURE, L.P. L-T PROPERTY TYPE: SECURITIES				VARIOUS -112,834.	VARIOUS
		CLANCO PRIVATE EQUITY 2006 LLC L-T PROPERTY TYPE: SECURITIES				VARIOUS -107,299.	VARIOUS
		CLANCO PRIVATE EQUITY 2007 LLC L-T PROPERTY TYPE: SECURITIES				VARIOUS -9,722.	VARIOUS

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
47,015.		AIG PEP III SECONDARY, L.P. L-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							5,548.	
		CEDAR FAIR LP S-T PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
		61,639.					-14,624.	
		BUCKEYE PARTNERS, LP ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
		BUCKEYE PARTNERS, LP PROPERTY TYPE: SECURITIES				P		
TOTAL GAIN (LOSS)							<u>2,226,998.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
SHORT-TERM INVESTMENT FUND	2,128.	2,128.
TOTAL	<u>2,128.</u>	<u>2,128.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	771,090.	771,090.
U.S. TREASURY, GNMA, AND CORP. INTEREST	1,038,299.	1,038,299.
VENTURE CAPITAL & PARTNERSHIPS	3,326.	3,326.
TOTAL	<u>1,812,715.</u>	<u>1,812,715.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SUBSTITUTE PAYMENTS	979,251.	979,251.
TOTALS	<u>979,251.</u>	<u>979,251.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL EXPENSES	11,521.			11,521.
TOTALS	<u>11,521.</u>	<u>0.</u>	<u>0.</u>	<u>11,521.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
DELOITTE TAX LLP	40,460.			40,460.
TOTALS	<u>40,460.</u>	<u>0.</u>	<u>0.</u>	<u>40,460.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GENEVA CAPITAL		
INVESTMENT ADVISORY	49,561.	49,561.
BEAR STEARNS		
INVESTMENT ADVISORY AND TRUST		
COLUMBUS CIRCLE INVESTORS	62,718.	62,718.
INVESTMENT ADVISORY AND TRUST		
NORTHERN TRUST COMPANY	59,530.	59,530.
INVESTMENT ADVISORY AND TRUST		
CLANCO		
INVESTMENT ADVISORY AND TRUST	351,145.	351,145.
WELLINGTON TRUST COMPANY		
INVESTMENT ADVISORY AND TRUST		
RICHMOND CAPITAL MANAGEMENT	32,520.	32,520.
INVESTMENT ADVISORY AND TRUST		
DALTON, GREINER		
INVESTMENT ADVISORY AND TRUST	112,099.	112,099.
DONALD SMITH		
INVESTMENT ADVISORY AND TRUST	78,128.	78,128.
RUTABAGA		
INVESTMENT ADVISORY AND TRUST	100,291.	100,291.
NORTHERN TRUST - COMMISSION RE	-8,855.	-8,855.
K-1 SANFORD C. BERNSTEIN & CO.		
K-1 AIG PEP III US BUYOUT	20,399.	20,399.
K-1 AIG PEP III US VENTURE	38,770.	38,770.
K-1 AIG PEP III DIRECT	10,178.	10,178.
K-1 AIG PEP III SECONDARY	7,187.	7,187.
AG ASSET MANAGEMENT		
INVESTMENT ADVISORY & TRUST		
EDGEWOOD MANAGEMENT LLC	42,746.	42,746.
WESTFIELD CAPITAL MANAGEMENT		
INVESTMENT ADVISORY AND TRUST	58,131.	58,131.
DIAMOND HILL		

ATTACHMENT 6 (CONT'D)FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISORY AND TRUST THE LONDON COMPANY	60,261.	60,261.
INVESTMENT ADVISORY AND TRUST K-1 CLANCO PRIVATE EQUITY 2006	42,725.	42,725.
K-1 CLANCO PRIVATE EQUITY 2007 K-1 ENHANCED ALPHA FUND	49,109.	49,109.
TOTALS	<u>1,166,643.</u>	<u>1,166,643.</u>

ATTACHMENT 7

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAXES	2,400.	2,400.	
PAYROLL TAXES	19,619.		19,619.
PROPERTY TAX	200.		
TOTALS	<u>22,219.</u>	<u>2,400.</u>	<u>19,619.</u>

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
GENERAL OFFICE EXPENSES	30,656.		30,656.
OTHER EXPENSES FROM K-1	325,155.	325,155.	
DEDUCTIONS NORTHERN TRUST	167,458.	167,458.	
TOTALS	<u>523,269.</u>	<u>492,613.</u>	<u>30,656.</u>

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 9</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE NORTHERN TRUST CO -NOMINEE	11,257,309.	14,603,879.	14,840,220.
US OBLIGATIONS TOTAL	<u>11,257,309.</u>	<u>14,603,879.</u>	<u>14,840,220.</u>

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE NORTHERN TRUST CO -NOMINEE	92,559,654.	82,499,150.	95,430,566.
TOTALS	<u>92,559,654.</u>	<u>82,499,150.</u>	<u>95,430,566.</u>

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 11</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE NORTHERN TRUST CO -NOMINEE	3,428,300.	1,766,889.	1,804,603.
TOTALS	<u>3,428,300.</u>	<u>1,766,889.</u>	<u>1,804,603.</u>

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 12</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VENTURE CAPITAL & PARTNERSHIPS	9,804,451.	15,857,846.	15,678,355.
TOTALS	<u>9,804,451.</u>	<u>15,857,846.</u>	<u>15,678,355.</u>

ATTACHMENT 13

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

ADJUSTMENT DUE TO ROUNDING

1.

TOTAL

1.

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
CAROL ZETT 30195 CHAGRIN BOULEVARD CLEVELAND, OH 44124	MANAGER 40.00	155,008.
	TOTAL COMPENSATION	<u>155,008.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

CAROL W. ZETT
30195 CHAGRIN BLVD - SUITE 275
CLEVELAND, OH 44124
216-591-9111

ATTACHMENT 16

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

- (1) WRITTEN APPLICATION, (2) CURRENT AUDITED FINANCIAL STATEMENTS
- (3) TAX EXEMPTION DETERMINATION LETTER.

ATTACHMENT 17

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

APPLICATIONS MAY BE MADE ONLY BY 509(A)(1), (2) OR (3) ORGANIZATIONS.
PREFERENCE IS GIVEN TO THE GREATER CLEVELAND AREA.

THE KELVIN AND ELEANOR SMITH FOUNDATION

Officers and Trustees

ELLEN S. MAVEC 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	President	Compensation – \$155,008
LUCIA S. NASH 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Co-Chairman	Compensation – 0
CARA S. STIRN 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Co-Chairman	Compensation – 0
WILLIAM B. LaPLACE 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Treasurer	Compensation – 0
ANDREW L. FABENS, III 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Secretary	Compensation – 0
CHARLES L. BOLTON 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Trustee	Compensation – 0
TREVOR O. JONES 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Trustee	Compensation - 0
JOHN G. NESTOR 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	Trustee	Compensation – 0

The Kelvin and Eleanor Smith Foundation
 #34-6555349
 Fiscal 2010

All of the recipients listed below are "public charities" and located in Cleveland, Ohio unless otherwise indicated.

NAME OF ORGANIZATION	PURPOSE OF GRANT	DOLLAR AMOUNT OF GRANT
Access To The Arts 12200 Fairhill Road Cleveland, Ohio 44120-1013	General Operating	\$7,500
Achievement Centers for Children 4255 Northfield Road Highland Hills, Ohio 44128	General Operating	\$10,000
Artists Archives of the Western Reserve 1834 East 123 Street Cleveland, Ohio 44106	General Operating	\$5,000
Baldwin-Wallace College 275 Eastland Road Berea, Ohio 44017-2088	Capital	\$35,000
The Beck Center for the Arts 17801 Detroit Avenue Lakewood, Ohio 44107	General Operating	\$10,000
Beech Brook 3737 Lander Road Cleveland, Ohio 44124	Annual Fund General Operating	\$2,500 \$25,000

Big Brothers Big Sisters of Greater Cleveland 1422 Euclid Avenue - Suite 552 Cleveland, Ohio 44115	General Operating	\$5,000
Boys & Girls Clubs of Cleveland 6114 Broadway Avenue Cleveland, Ohio 44127	General Operating	\$10,000
Business Volunteers Unlimited 1300 East 9 th Street - Suite 1805 Cleveland, Ohio 44114	General Operating	\$15,000
The Children's Museum of Cleveland 10730 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$15,000
Cleveland Artists Foundation 17801 Detroit Avenue Lakewood, Ohio 44107	General Operating	\$12,000
Cleveland Arts Prize P.O. Box 21126 Cleveland, Ohio 44121	General Operating	\$5,000
Cleveland Botanical Garden 11030 East Boulevard Cleveland, Ohio 44106	General Operating	\$25,000
Cleveland Foodbank, Inc. 15500 S. Waterloo Road Cleveland, Ohio 44110	General Operating Support	\$2,500

Cleveland Functional Electrical Stimulation Center Case Western Reserve University 11000 Cedar Avenue - Suite 320 Cleveland, Ohio 44106	General Operating Support	\$5,000
Cleveland Hearing & Speech Center 11206 Euclid Avenue Cleveland, Ohio	General Operating Support	\$12,000
Cleveland Institute of Art 11141 East Boulevard Cleveland, Ohio 44106	Capital Capital	\$240,452 \$759,548
Cleveland Leadership Center 1422 Euclid Avenue - Suite 940 Cleveland, Ohio 44115	General Operating Support	\$30,000
The Cleveland Museum of Art 11150 East Boulevard Cleveland, Ohio 44106	General Operating Support General Operating Support Capital Capital	\$5,000 \$125,000 \$1,000,000 \$1,000,000
Cleveland Museum of Natural History One Wade Oval Drive, University Circle Cleveland, Ohio 44106	General Operating	\$50,000
Cleveland Restoration Society 3751 Prospect Avenue Cleveland, Ohio 44115-2705	General Operating Support	\$9,500
The Cleveland Rotary Foundation 1122 Prospect Avenue Cleveland, Ohio 44115	Endowment Funds	\$2,000

Cleveland Scholarship Programs, Inc. BP Tower - Suite 3820 200 Public Square Cleveland, Ohio 44114-3304	General Operating	\$30,000
Countryside Conservancy 2179 Everett Road Peninsula, Ohio 44264	Program Support	\$45,000
Cuyahoga Community College 700 Carnegie Avenue Cleveland, Ohio 44115-2878	Capital	\$33,333
Cuyahoga Valley Scenic Railroad P.O. Box 158 Peninsula, Ohio 44264	General Operating	\$15,000
Downtown Cleveland Alliance 50 Public Square, Suite 825 Cleveland, Ohio 44113	General Operating	\$25,000
Dress for Success 2239 East 55 th Street Cleveland, Ohio 44103	General Operating	\$5,000
E City 7100 Euclid Avenue - Suite 210 Cleveland, Ohio 44103	General Operating	\$10,000
Eliza Bryant Village 7201 Wade Park Avenue Cleveland, Ohio 44103	Capital	\$25,000

Fieldstone Farm 16497 Snyder Road P.O. Box 23129 Chagrin Falls, Ohio 44023	General Operating	\$30,000
The Foundation Center 1422 Euclid Avenue - Suite 1600 Cleveland, Ohio 44115	General Operating	\$5,000
The Free Medical Clinic of Greater Cleveland, Inc. 12201 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$45,000
Friends of E Prep Schools 3635 Perkins Avenue Cleveland, Ohio 44114	General Operating	\$75,000
The Gathering Place 23300 Commerce Park Drive Cleveland, Ohio 44122	General Operating	\$15,000
Geauga Park District Foundation 9160 Robison Road Chardon, Ohio 44024	Capital	\$50,000
Greater Cleveland Habitat for Humanity 2110 West 110 th Street Cleveland, Ohio 44102	General Operating	\$20,000
Great Lakes Theater Festival 1501 Euclid Avenue - Suite 300 Cleveland, Ohio 44115-2108	Capital General Operating	\$333,334 \$50,000

Hawken School 12465 County Line Road Gates Mills, Ohio 44040-8002	Annual Fund	\$20,000
The Hiram House 33775 Hiram Trail Chagrin Falls, Ohio 44022	Campership Fund	\$10,000
The Holden Arboretum 9500 Sperry Road Kirtland, Ohio 44094	General Operating	\$15,000
Hopewell 9637 State Road Route 534 P.O. Box 193 Mesopotamia, Ohio 44439	General Operating	\$30,000
ideastream 1375 Euclid Avenue Cleveland, Ohio 44115	General Operating Capital	\$32,000 \$100,000
Junior Achievement of Greater Cleveland, Inc. 1422 Euclid Avenue - Suite 525 Cleveland, Ohio 44115	General Operating	\$15,000
Lakewood Hospital Foundation 14601 Detroit Avenue - Suite 240 Lakewood, Ohio 44107	Endowment Fund	\$2,000
Museum of Contemporary Art Cleveland 8501 Carnegie Avenue Cleveland, Ohio 44106	Annual Fund	\$30,000

The Music Settlement 11125 Magnolia Drive Cleveland, Ohio 44106	General Operating	\$30,000
The Musical Arts Association The Cleveland Orchestra 11001 Euclid Avenue Cleveland, Ohio 44106	Program Support Annual Fund	\$150,000 \$135,000
Nature Center at Shaker Lakes 2600 South Park Boulevard Cleveland, Ohio 44120-1699	General Operating	\$6,000
NewBridge Cleveland Center for Arts and Technology 3634 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$250,000
New Life Community 3470 East 152 Street Cleveland, Ohio 44120	General Operating	\$30,000
The Ohio Watercolor Society 4311 State Route 138 Greenfield, Ohio 45123	Program Support	\$10,000
Old Stone Education Center 91 Public Square Cleveland, Ohio 44113	General Operating	\$10,000
PIANO International Association of Northeast Ohio 12200 Fairhill Road Cleveland, Ohio 44120	General Operating	\$10,000

PlayhouseSquare Foundation 1501 Euclid Avenue - Suite 200 Cleveland, Ohio 44115-2197	General Operating	\$30,000
Project: LEARN 2728 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$7,500
Rainey Institute 1523 East 55 th Street Cleveland, Ohio 44103	Capital	\$25,000
Rocky River United Methodist Church 19414 Detroit Avenue Cleveland, Ohio 44116	General Operating	\$1,000
ShoreBank Enterprises Group 540 E 105 Street Cleveland, Ohio 44108	General Operating	\$200,000
Summer on the Cuyahoga P.O. Box 40164 Bay Village, Ohio 44140	General Operating	\$10,000
The Trust for Public Land 1422 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$30,000
University Hospitals 11100 Euclid Avenue Cleveland, Ohio 44106	Capital	\$1,000,000
Urban Community School 4909 Lorain Avenue Cleveland, Ohio 44102	General Operating	\$20,000

Vocational Guidance Services 2239 East 55 th Street Cleveland, Ohio 44103	General Operating	\$15,000
Young Audiences of Northeast Ohio 13110 Shaker Square Cleveland, Ohio 44120	General Operating	\$25,000

11/10/10

SZS

TOTAL \$6,483,167

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

THE KELVIN AND ELEANOR SMITH FOUNDATION

Employer identification number

34-6555349

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	1,520,439.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	1,520,439.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS			ATCH 18		24,412.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	682,147.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	706,559.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		1,520,439.
14	Net long-term gain or (loss):			
a	Total for year	14a		706,559.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		68.
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		2,226,998.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16	()
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Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust

THE KELVIN AND ELEANOR SMITH FOUNDATION

Employer identification number

34-6555349

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	1,520,439.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a					
ACCT. 26-23837 L-T	VARIOUS	VARIOUS	2,179,737.	2,017,672.	162,065.
ACCT. 26-23839 L-T	VARIOUS	VARIOUS	3,846,811.	4,531,483.	-684,672.
ACCT. 26-23836 L-T	VARIOUS	VARIOUS	3,291,402.	2,605,213.	686,189.
ACCT. 26-01197 L-T	VARIOUS	VARIOUS	3,609,897.	3,783,542.	-173,645.
ACCT. 26-01200 L-T	VARIOUS	VARIOUS	1,935,416.	2,153,785.	-218,369.
ACCT. 26-01196 L-T	VARIOUS	VARIOUS	3,303,498.	3,376,433.	-72,935.
ACCT. 26-01202 L-T	VARIOUS	VARIOUS	4,357,527.	4,338,956.	18,571.
ACCT. 26-18049 L-T	VARIOUS	VARIOUS	4,173,791.	3,565,849.	607,942.
ACCT. 26-63242 L-T	VARIOUS	VARIOUS	1,275,596.	1,238,329.	37,267.
ACCT. 26-18050 L-T	VARIOUS	VARIOUS	1,886,684.	1,145,339.	741,345.
ACCT. 26-52705 L-T	VARIOUS	VARIOUS	3,428,398.	3,264,761.	163,637.
CEDAR FAIR, LP L-T	VARIOUS	VARIOUS			-185.
THE ENHANCED ALPHA FUND L-T	VARIOUS	VARIOUS			-333,179.
AIG PEP III U.S. BUYOUT, L.P. L-T	VARIOUS	VARIOUS			-10,770.
AIG PEP III DIRECT, L.P. L-T	VARIOUS	VARIOUS			-16,807.
AIG PEP III U.S. VENTURE, L.P. L-T	VARIOUS	VARIOUS			-112,834.
CLANCO PRIVATE EQUITY 2006 LLC L-T	VARIOUS	VARIOUS			-107,299.
CLANCO PRIVATE EQUITY 2007 LLC L-T	VARIOUS	VARIOUS			-9,722.
AIG PEP III SECONDARY, L.P. L-T	VARIOUS	VARIOUS			5,548.
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					682,147.

Schedule D-1 (Form 1041) 2009

KELVIN AND ELEANOR SMITH FOUNDATION 26-01197
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
4741. CVS CORP	12/11/2008	11/06/2009	140,576.51	140,122.15	454.36
1522. NATIONAL-OILWELL INC	01/23/2009	11/25/2009	68,437.40	38,989.84	29,447.56
12616. ELECTRONIC ARTS	12/11/2008	12/04/2009	201,966.86	220,121.44	-18,154.58
396. RESEARCH IN MOTION LTD	08/20/2009	12/15/2009	25,184.12	29,472.70	-4,288.58
2070. ALLERGAN INC	08/14/2009	12/23/2009	129,307.50	112,029.44	17,278.06
1748. CELGENE CORP	10/22/2009	12/23/2009	99,168.47	99,563.46	-394.99
294. FIRST SOLAR INC COM	07/08/2009	12/23/2009	39,082.76	42,717.03	-3,634.27
816. NATIONAL-OILWELL INC	01/23/2009	12/23/2009	36,411.19	20,903.88	15,507.31
1144. PRAXAIR INC	10/22/2009	12/23/2009	90,995.87	93,383.20	-2,387.33
348. PRICELINE COM INC COM	12/01/2009	12/23/2009	79,292.68	74,565.94	4,726.74
1764. QUALCOMM INC	11/13/2009	12/23/2009	80,879.26	81,598.29	-719.03
2854. RESEARCH IN MOTION LTD	11/03/2009	12/23/2009	192,910.30	184,956.62	7,953.68
1758. SOUTHWESTERN ENERGY CO COM	12/04/2009	12/23/2009	88,324.04	75,680.84	12,643.20
1996. STATE STREET CORP	08/07/2009	12/23/2009	88,945.46	105,344.70	-16,399.24
259. RESEARCH IN MOTION LTD	11/03/2009	12/30/2009	17,405.90	13,448.65	3,957.25
547. RESEARCH IN MOTION LTD	02/11/2009	02/10/2010	36,733.86	26,359.71	10,374.15
1308. STATE STREET CORP	06/04/2009	02/17/2010	58,468.81	63,503.53	-5,034.72
1000. ALLERGAN INC	08/14/2009	04/06/2010	64,430.91	47,521.58	16,909.33
392. ALLERGAN INC	06/04/2009	04/09/2010	24,915.88	17,798.09	7,117.79
1027. MONSANTO CO COM	06/04/2009	04/16/2010	66,692.25	84,984.25	-18,292.00
5364. STATE STREET CORP	04/06/2010	05/13/2010	227,535.96	257,385.66	-29,849.70
220. APPLE COMPUTER INC	05/21/2010	07/15/2010	54,770.84	52,778.00	1,992.84
394. PRAXAIR INC	04/06/2010	08/02/2010	34,564.08	33,204.74	1,359.34
171. PRICELINE COM INC COM	05/13/2010	08/04/2010	48,267.03	37,113.84	11,153.19
224. PRICELINE COM INC COM	05/13/2010	08/09/2010	65,470.42	47,173.77	18,296.65
44. PRICELINE COM INC COM	11/19/2009	08/10/2010	12,849.12	9,007.02	3,842.10
38. PRICELINE COM INC COM	11/19/2009	09/09/2010	12,356.61	7,778.79	4,577.82
50. PRICELINE COM INC COM	11/19/2009	09/10/2010	16,283.83	10,235.25	6,048.58
55. PRICELINE COM INC COM	11/19/2009	09/13/2010	18,071.78	11,258.78	6,813.00
18. PRICELINE COM INC COM	11/19/2009	09/14/2010	5,958.71	3,684.69	2,274.02
226. GENZYME CORP GENL DIV	01/29/2010	09/20/2010	15,928.21	12,276.39	3,651.82
59. PRICELINE COM INC COM	11/19/2009	09/21/2010	20,122.97	12,077.59	8,045.38
55. PRICELINE COM INC COM	11/19/2009	09/22/2010	18,776.74	11,258.78	7,517.96
67. PRICELINE COM INC COM	11/19/2009	09/23/2010	22,720.27	13,715.23	9,005.04
184. GENZYME CORP GENL DIV	01/29/2010	10/21/2010	13,230.03	9,994.93	3,235.10
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-01197
Schedule D Detail of Short-term Capital Gains and Losses[illegible]

KELVIN AND ELEANOR SMITH FOUNDATION 26-01197
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
5220. CVS CORP	05/19/2008	11/06/2009	154,779.45	226,196.69	-71,417.24
1849. COGNIZANT TECHNOLOGY SOLUTION CL A	04/02/2007	12/04/2009	81,815.59	79,229.10	2,586.49
3338. AMERICAN TOWER CORP	04/02/2007	12/23/2009	142,509.57	130,035.13	12,474.44
513. APPLE COMPUTER INC	12/03/2008	12/23/2009	103,730.55	26,175.07	77,555.48
434. CME GROUP INC COM STK	02/12/2008	12/23/2009	141,992.47	227,352.23	-85,359.76
3205. COGNIZANT TECHNOLOGY SOLUTION CL A	09/14/2007	12/23/2009	148,146.34	120,074.81	28,071.53
1444. COVANCE INC	11/28/2007	12/23/2009	77,800.28	125,784.82	-47,984.54
423. FIRST SOLAR INC COM	11/05/2008	12/23/2009	56,231.33	67,609.66	-11,378.33
813. GENZYME CORP GENL DIV	04/02/2007	12/23/2009	38,675.85	48,904.88	-10,229.03
2470. GILEAD SCIENCES INC	04/02/2007	12/23/2009	106,380.16	89,254.53	17,125.63
1498. MONSANTO CO COM	09/17/2008	12/23/2009	123,282.37	168,228.10	-44,945.73
1890. NATIONAL-OILWELL INC	12/03/2008	12/23/2009	84,334.73	41,967.26	42,367.47
1649. QUALCOMM INC	04/02/2007	12/23/2009	75,606.51	70,972.96	4,633.55
4376. QUANTA SVCS INC COM	01/04/2008	12/23/2009	93,687.74	105,068.20	-11,380.46
4081. CHARLES SCHWAB CORP NEW	04/02/2007	12/23/2009	77,837.76	75,577.26	2,260.50
5175. ADR VESTAS WIND SYS A/S	07/15/2008	12/23/2009	99,839.73	231,135.95	-131,296.22
705. VISA INC CLASS A SHARES	10/31/2008	12/23/2009	60,984.10	39,094.51	21,889.59
1074. COGNIZANT TECHNOLOGY SOLUTION CL A	09/14/2007	01/29/2010	47,642.03	38,878.74	8,763.29
694. MONSANTO CO COM	09/25/2008	01/29/2010	53,185.75	76,828.30	-23,642.55
3262. CHARLES SCHWAB CORP NEW	04/02/2007	02/04/2010	57,769.61	60,409.96	-2,640.35
702. AMERICAN TOWER CORP	04/02/2007	02/10/2010	29,015.40	27,347.11	1,668.29
7297. CHARLES SCHWAB CORP NEW	04/02/2007	02/25/2010	132,078.39	133,805.87	-1,727.48
6486. NATIONAL-OILWELL INC	12/03/2008	03/31/2010	262,812.16	144,020.98	118,791.18
1300. AMERICAN TOWER CORP	04/02/2007	04/06/2010	55,667.65	50,642.80	5,024.85
824. RESEARCH IN MOTION LTD	02/11/2009	04/06/2010	57,647.71	39,438.02	18,209.69
54. ALLERGAN INC	11/06/2008	04/09/2010	3,432.29	2,088.04	1,344.25
1175. AMERICAN TOWER CORP	04/02/2007	04/12/2010	49,639.62	45,773.30	3,866.32
1366. GILEAD SCIENCES INC	01/23/2007	04/12/2010	62,711.99	42,583.36	20,128.63
1988. MONSANTO CO COM	11/19/2008	04/16/2010	129,098.53	174,720.12	-45,621.59
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-01197
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
182. CME GROUP INC COM STK	06/25/2008	05/14/2010	57,572.37	80,535.19	-22,962.82
882. QUALCOMM INC	04/02/2007	05/19/2010	32,398.75	37,961.28	-5,562.53
1564. AMERICAN TOWER CORP	04/02/2007	05/21/2010	62,418.18	60,901.23	1,516.95
1964. ALLERGAN INC	11/06/2008	05/25/2010	113,163.76	75,942.77	37,220.99
1113. GILEAD SCIENCES INC	07/18/2006	05/25/2010	39,963.14	21,643.21	18,319.93
900. GILEAD SCIENCES INC	01/30/2004	06/01/2010	32,372.54	12,330.00	20,042.54
199. COVANCE INC	11/28/2007	06/30/2010	10,359.18	17,334.61	-6,975.43
66. COVANCE INC	11/28/2007	07/02/2010	3,368.59	5,749.17	-2,380.58
117. COVANCE INC	11/28/2007	07/06/2010	6,045.62	10,191.71	-4,146.09
277. COVANCE INC	11/28/2007	07/07/2010	14,290.04	24,129.08	-9,839.04
133. COVANCE INC	11/28/2007	07/08/2010	6,941.78	11,585.44	-4,643.66
161. COVANCE INC	11/28/2007	07/09/2010	8,400.65	14,024.49	-5,623.84
405. COVANCE INC	04/14/2008	07/12/2010	21,161.86	34,970.16	-13,808.30
209. COVANCE INC	04/14/2008	07/13/2010	11,242.47	17,858.70	-6,616.23
584. COVANCE INC	04/14/2008	07/23/2010	30,327.48	49,901.81	-19,574.33
547. COVANCE INC	02/04/2009	07/26/2010	28,242.77	24,024.01	4,218.76
423. COVANCE INC	02/04/2009	07/27/2010	21,182.21	17,980.25	3,201.96
540. COVANCE INC	02/04/2009	07/28/2010	26,759.35	22,953.51	3,805.84
557. GENZYME CORP GENL DIV	04/02/2007	07/29/2010	39,016.02	33,505.55	5,510.47
148. GENZYME CORP GENL DIV	04/02/2007	07/29/2010	10,357.33	8,902.73	1,454.60
136. GILEAD SCIENCES INC	01/30/2004	08/12/2010	4,714.25	1,863.20	2,851.05
162. GILEAD SCIENCES INC	01/30/2004	08/13/2010	5,599.27	2,219.40	3,379.87
171. GILEAD SCIENCES INC	01/30/2004	08/16/2010	5,813.35	2,342.70	3,470.65
379. GILEAD SCIENCES INC	01/30/2004	08/17/2010	12,970.18	5,192.30	7,777.88
537. GILEAD SCIENCES INC	01/30/2004	08/18/2010	18,217.74	7,356.90	10,860.84
1330. ADR VESTAS WIND SYS A/S	09/18/2008	08/18/2010	19,111.77	48,482.76	-29,370.99
2432. ADR VESTAS WIND SYS A/S	09/18/2008	08/18/2010	34,536.73	102,771.92	-68,235.19
442. GILEAD SCIENCES INC	01/30/2004	08/19/2010	14,681.09	6,055.40	8,625.69
2989. ADR VESTAS WIND SYS A/S	10/20/2008	08/19/2010	41,875.18	82,775.18	-40,900.00
404. GILEAD SCIENCES INC	01/30/2004	08/20/2010	13,156.11	5,534.80	7,621.31
551. ADR VESTAS WIND SYS A/S	12/10/2008	08/20/2010	7,330.98	11,411.35	-4,080.37
389. ADR VESTAS WIND SYS A/S	10/20/2008	08/20/2010	5,212.51	8,258.47	-3,045.96
860. GILEAD SCIENCES INC	01/30/2004	08/23/2010	28,309.95	11,782.00	16,527.95
1459. ADR VESTAS WIND SYS A/S	03/24/2009	08/23/2010	19,796.69	23,623.51	-3,826.82
289. ADR VESTAS WIND SYS A/S	12/10/2008	08/23/2010	3,933.22	4,800.58	-867.36
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-01197
Schedule D Detail of Long-term Capital Gains and Losses[illegible]

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
145. ALLIANCE DATA SYS CORP COM	02/04/2009	12/29/2009	9,374.00	5,708.65	3,665.35
360. BAXTER INTL INC	09/30/2009	12/29/2009	21,235.85	20,142.33	1,093.52
610. DOMINION RES INC VA NEW	02/10/2009	12/29/2009	24,167.45	21,621.14	2,546.31
450. J P MORGAN CHASE & CO	12/11/2009	12/29/2009	18,683.51	18,442.62	240.89
20. MARSH & MCLENNAN COS INC	05/07/2009	12/29/2009	442.18	421.45	20.73
970. MERCK & CO INC	10/20/2009	12/29/2009	36,344.96	32,889.31	3,455.65
315. PEPSICO INC	05/04/2009	12/29/2009	19,331.05	15,541.12	3,789.93
2315. PFIZER INC	10/15/2009	12/29/2009	43,173.63	40,919.94	2,253.69
485. PROCTER & GAMBLE CO	05/11/2009	12/29/2009	29,812.18	24,626.89	5,185.29
680. PRUDENTIAL FINL INC	11/09/2009	12/29/2009	35,012.29	32,473.13	2,539.16
450. RAYTHEON CO NEW	09/23/2009	12/29/2009	23,763.88	21,662.55	2,101.33
85. THE TRAVELERS COMPANIES	02/20/2009	12/29/2009	4,227.79	3,230.87	996.92
265. WAL MART STORES INC	08/20/2009	12/29/2009	14,296.38	13,641.80	654.58
1205. WELLS FARGO & CO NEW	12/09/2009	12/29/2009	32,053.38	31,535.96	517.42
225. SOUTHWEST AIRLINES CO	06/15/2009	01/26/2010	2,679.37	1,457.55	1,221.82
860. SOUTHWEST AIRLINES CO	06/15/2009	01/26/2010	10,239.37	5,571.08	4,668.29
2060. SOUTHWEST AIRLINES CO	06/15/2009	02/11/2010	24,436.22	13,344.68	11,091.54
1615. SOUTHWEST AIRLINES CO	06/15/2009	02/11/2010	19,252.00	10,461.97	8,790.03
145. SUNTRUST BANKS INC	06/02/2009	04/14/2010	4,353.01	1,893.75	2,459.26
640. SUNTRUST BANKS INC	06/02/2009	04/14/2010	19,220.73	9,439.55	9,781.18
885. ALLSTATE CORP	08/07/2009	08/06/2010	25,545.54	24,502.08	1,043.46
250. AIR PRODUCTS & CHEMICALS INC COM	06/17/2010	09/30/2010	20,744.09	17,590.23	3,153.86
540. KIA-TENCOR CORP	04/16/2010	09/30/2010	18,850.70	17,888.47	962.23
Totals			457,240.00	385,007.00	72,232.00

KELVIN AND ELEANOR SMITH FOUNDATION 26-01200
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
1365. AMERICAN EXPRESS CO	09/19/2008	11/09/2009	53,158.42	54,530.80	-1,372.38
10. AMERICAN EXPRESS CO	09/19/2008	11/09/2009	390.04	399.49	-9.45
660. AMERICAN EXPRESS CO	09/19/2008	11/09/2009	25,716.43	26,361.35	-644.92
260. HANESBRANDS INC COM STK	07/01/2008	11/09/2009	6,800.90	7,093.71	-292.81
115. HANESBRANDS INC COM STK	07/01/2008	11/09/2009	3,003.69	3,137.60	-133.91
35. HANESBRANDS INC COM STK	07/01/2008	11/10/2009	909.59	954.92	-45.33
30. HANESBRANDS INC COM STK	07/01/2008	11/10/2009	779.88	818.51	-38.63
25. HANESBRANDS INC COM STK	07/01/2008	11/10/2009	649.81	682.09	-32.28
110. HANESBRANDS INC COM STK	07/01/2008	11/11/2009	2,877.64	3,001.18	-123.54
105. HANESBRANDS INC COM STK	07/01/2008	11/11/2009	2,743.32	2,864.77	-121.45
95. HANESBRANDS INC COM STK	07/01/2008	11/11/2009	2,489.69	2,591.93	-102.24
175. HANESBRANDS INC COM STK	07/01/2008	11/13/2009	4,553.06	4,774.61	-221.55
50. HANESBRANDS INC COM STK	07/01/2008	11/13/2009	1,300.52	1,364.18	-63.66
1845. AMERICAN EXPRESS CO	09/19/2008	11/16/2009	76,893.01	73,639.48	3,253.53
135. HANESBRANDS INC COM STK	07/01/2008	11/16/2009	3,512.94	3,683.27	-170.33
80. HANESBRANDS INC COM STK	07/01/2008	11/16/2009	2,081.16	2,182.68	-101.52
895. MEDTRONIC INC	07/01/2008	12/03/2009	38,838.33	46,078.90	-7,240.57
15. HANESBRANDS INC COM STK	07/01/2008	12/14/2009	389.76	409.25	-19.49
810. XTO ENERGY CORP	07/01/2008	12/14/2009	39,403.37	55,820.10	-16,416.73
25. HANESBRANDS INC COM STK	07/01/2008	12/16/2009	652.46	682.09	-29.63
20. HANESBRANDS INC COM STK	07/01/2008	12/16/2009	521.98	545.67	-23.69
1695. SOUTHWEST AIRLINES CO	07/01/2008	12/22/2009	19,504.53	22,028.39	-2,523.86
2090. SOUTHWEST AIRLINES CO	07/01/2008	12/22/2009	24,042.52	27,161.85	-3,119.33
45. SOUTHWEST AIRLINES CO	07/01/2008	12/24/2009	524.68	584.82	-60.14
550. ABBOTT LABORATORIES	07/01/2008	12/29/2009	30,139.61	29,677.61	462.00
325. ALLSTATE CORP	07/01/2008	12/29/2009	9,815.75	14,895.56	-5,079.81
435. ANADARKO PETROLEUM CORP	07/01/2008	12/29/2009	28,057.77	32,073.42	-4,015.65
1005. APACHE CORP	07/01/2008	12/29/2009	105,714.54	142,501.77	-36,787.23
355. AVERY DENNISON CORP	08/01/2008	12/29/2009	13,187.91	15,686.66	-2,498.75
70. BANK NEW YORK MELLON CORP COM STK					
	07/01/2008	12/29/2009	1,934.75	2,653.90	-719.15
1035. CARDINAL HEALTH INC	07/01/2008	12/29/2009	33,822.93	38,171.61	-4,348.68
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-01200
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
1940. CISCO SYS INC	07/01/2008	12/29/2009	46,677.71	45,179.11	1,498.60
700. CONAGRA INC	07/01/2008	12/29/2009	16,442.57	13,834.03	2,608.54
975. DELL INC	10/09/2008	12/29/2009	14,098.13	13,501.68	596.45
905. DEVON ENERGY CORP NEW	07/01/2008	12/29/2009	67,526.10	110,872.09	-43,345.99
415. DOVER CORP	07/01/2008	12/29/2009	17,603.38	20,030.85	-2,427.47
255. FLUOR CORP	07/01/2008	12/29/2009	11,631.81	24,091.55	-12,459.74
820. ILLINOIS TOOL WORKS INC	07/01/2008	12/29/2009	39,637.78	38,646.93	990.85
60. JOHNSON & JOHNSON	07/01/2008	12/29/2009	3,913.69	3,885.35	28.34
430. KLA-TENCOR CORP	10/02/2008	12/29/2009	15,711.79	12,909.33	2,802.46
25. KIMBERLY CLARK CORP	07/01/2008	12/29/2009	1,613.45	1,492.18	121.27
395. MCDONALDS CORP	07/01/2008	12/29/2009	25,224.05	22,566.71	2,657.34
1035. MEDTRONIC INC	07/01/2008	12/29/2009	46,126.38	53,286.77	-7,160.39
1360. MICROSOFT CORP COM	07/01/2008	12/29/2009	42,648.50	36,880.89	5,767.61
695. OCCIDENTAL PETROLEUM CORP	07/01/2008	12/29/2009	57,592.19	63,189.89	-5,597.70
625. PARKER HANNIFIN CORP	07/02/2008	12/29/2009	34,152.81	44,883.13	-10,730.32
1025. SOUTHWEST AIRLINES CO	07/01/2008	12/29/2009	11,674.44	13,321.00	-1,646.56
1525. SYSCO CORP	07/01/2008	12/29/2009	43,476.63	41,812.91	1,663.72
705. US BANCORP DEL NEW	07/01/2008	12/29/2009	15,847.99	19,618.18	-3,770.19
910. UNITED TECHNOLOGIES CORP	07/01/2008	12/29/2009	64,424.34	55,412.72	9,011.62
460. UNITEDHEALTH GROUP INC	07/01/2008	12/29/2009	14,393.02	11,973.02	2,420.00
505. XTO ENERGY CORP	07/01/2008	12/29/2009	24,012.13	34,801.42	-10,789.29
10. TRANSOCEAN LTD	10/09/2008	12/29/2009	853.17	849.12	4.05
655. SOUTHWEST AIRLINES CO	07/01/2008	01/05/2010	7,654.52	8,512.45	-857.93
3080. SOUTHWEST AIRLINES CO	07/01/2008	01/05/2010	35,921.11	40,027.99	-4,106.88
50. SOUTHWEST AIRLINES CO	07/01/2008	01/07/2010	584.59	649.81	-65.22
225. SOUTHWEST AIRLINES CO	07/01/2008	01/22/2010	2,675.05	2,924.12	-249.07
5. SOUTHWEST AIRLINES CO	07/01/2008	01/25/2010	59.42	64.98	-5.56
65. SOUTHWEST AIRLINES CO	07/01/2008	01/25/2010	773.15	844.75	-71.60
225. SOUTHWEST AIRLINES CO	07/01/2008	01/26/2010	2,678.90	2,924.12	-245.22
380. AVERY DENNISON CORP	08/01/2008	02/01/2010	12,811.00	16,779.29	-3,968.29
305. AVERY DENNISON CORP	08/01/2008	02/02/2010	10,233.98	13,460.56	-3,226.58
25. AVERY DENNISON CORP	07/31/2008	02/12/2010	802.35	1,101.97	-299.62
315. AVERY DENNISON CORP	07/29/2008	02/12/2010	10,102.01	13,881.67	-3,779.66
453. AVERY DENNISON CORP	08/04/2008	02/16/2010	14,658.89	19,951.90	-5,293.01
569. AVERY DENNISON CORP	10/03/2008	02/16/2010	18,391.43	24,558.35	-6,166.92
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-01200
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
309. AVERY DENNISON CORP	10/22/2008	02/17/2010	9,929.43	10,657.55	-728.12
109. AVERY DENNISON CORP	10/22/2008	02/18/2010	3,506.10	3,551.77	-45.67
20. HANESBRANDS INC COM STK	07/01/2008	02/26/2010	519.76	545.67	-25.91
1030. CARDINAL HEALTH INC	07/01/2008	03/02/2010	36,626.74	37,987.20	-1,360.46
638. CARDINAL HEALTH INC	07/01/2008	03/05/2010	22,646.16	23,529.94	-883.78
5. CARDINAL HEALTH INC	07/01/2008	03/08/2010	177.41	184.40	-6.99
2000. CISCO SYS INC	07/01/2008	03/09/2010	51,950.93	46,576.40	5,374.53
535. CISCO SYS INC	07/01/2008	03/09/2010	13,998.63	12,459.19	1,539.44
235. CARDINAL HEALTH INC	07/01/2008	03/16/2010	8,333.44	8,666.98	-333.54
147. CARDINAL HEALTH INC	07/01/2008	03/17/2010	5,214.09	5,421.48	-207.39
1370. SUNTRUST BANKS INC	02/19/2009	04/14/2010	41,128.49	9,550.41	31,578.08
25. SUNTRUST BANKS INC	02/19/2009	05/13/2010	799.87	173.96	625.91
930. XTO ENERGY CORP	07/01/2008	05/20/2010	39,928.78	64,089.74	-24,160.96
1000. XTO ENERGY CORP	08/07/2008	05/21/2010	42,610.77	63,150.06	-20,539.29
720. TRANSOCEAN LTD	10/09/2008	05/24/2010	41,215.92	61,136.35	-19,920.43
905. SUNTRUST BANKS INC	02/19/2009	07/21/2010	20,315.72	6,297.35	14,018.37
31. SUNTRUST BANKS INC	02/19/2009	07/23/2010	767.74	215.71	552.03
545. ALLSTATE CORP	07/01/2008	08/06/2010	15,731.43	24,978.72	-9,247.29
580. ALLSTATE CORP	08/07/2009	08/09/2010	17,019.23	14,833.14	2,186.09
540. ANADARKO PETROLEUM CORP	07/01/2008	08/10/2010	29,554.56	39,815.28	-10,260.72
130. APACHE CORP	07/01/2008	08/10/2010	12,229.66	18,433.06	-6,203.40
260. MCDONALDS CORP	07/01/2008	08/10/2010	18,857.48	14,854.03	4,003.45
150. UNITED TECHNOLOGIES CORP	07/01/2008	08/10/2010	10,919.23	9,133.97	1,785.26
270. UNITEDHEALTH GROUP INC	07/01/2008	08/10/2010	8,923.45	7,027.64	1,895.81
1380. DELL INC	10/09/2008	08/24/2010	15,885.46	19,046.39	-3,160.93
200. APACHE CORP	07/01/2008	09/15/2010	18,755.48	28,358.56	-9,603.08
125. OCCIDENTAL PETROLEUM CORP	07/01/2008	09/15/2010	9,748.26	11,365.09	-1,616.83
435. PARKER HANNIFIN CORP	07/02/2008	09/16/2010	29,189.65	30,947.25	-1,757.60
3330. DELL INC	11/05/2008	09/23/2010	40,562.04	44,452.09	-3,890.05
1560. DELL INC	11/05/2008	09/23/2010	19,085.65	20,451.60	-1,365.95
545. DOVER CORP	07/01/2008	09/30/2010	28,400.01	26,305.57	2,094.44
15. MCDONALDS CORP	07/01/2008	09/30/2010	1,114.94	856.96	257.98
75. PARKER HANNIFIN CORP	07/02/2008	09/30/2010	5,283.27	5,335.73	-52.46
15. DOMINION RES INC VA NEW	02/10/2009	10/15/2010	668.57	531.66	136.91
155. DOMINION RES INC VA NEW	02/10/2009	10/15/2010	6,911.52	5,493.77	1,417.75
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
879.63 FEDERAL HOME LN MTG CORP	06/17/2009	11/16/2009	879.63	910.72	-31.09
531.77 FEDERAL HOME LN MTG CORP	06/17/2009	11/16/2009	531.77	545.79	-14.02
8015.15 FEDERAL HOME LN MTG CORP	05/08/2009	11/16/2009	8,015.15	8,225.40	-210.25
789.2 FEDERAL HOME LN MTG CORP	06/17/2009	11/16/2009	789.20	817.28	-28.08
409.48 FEDERAL HOME LN MTG CORP	06/17/2009	11/16/2009	409.48	420.41	-10.93
4202.91 FHLMC MULTICLASS	08/13/2009	11/16/2009	4,202.91	4,358.85	-155.94
458.63 FNMA POOL #382202 7.27%	08/10/2009	11/25/2009	458.63	459.43	-0.80
1937.45 FNMA POOL #928491 6% DUE	10/20/2009	11/25/2009	1,937.45	2,053.53	-116.08
9906.55 FNMA POOL #931195 4.5%	05/08/2009	11/25/2009	9,906.55	10,170.27	-263.72
1285.03 FEDERAL HOME LN MTG CORP	06/17/2009	12/15/2009	1,285.03	1,330.08	-45.05
519.79 FEDERAL HOME LN MTG CORP	06/17/2009	12/15/2009	519.79	533.39	-13.60
8898.4 FEDERAL HOME LN MTG CORP	05/08/2009	12/15/2009	8,898.40	9,130.90	-232.50
813.67 FEDERAL HOME LN MTG CORP	06/17/2009	12/15/2009	813.67	842.41	-28.74
818.44 FEDERAL HOME LN MTG CORP	06/17/2009	12/15/2009	818.44	840.15	-21.71
4469.93 FHLMC MULTICLASS	08/13/2009	12/15/2009	4,469.93	4,609.63	-139.70
359067.59 FNMA POOL #382202 7.27%	08/10/2009	12/28/2009	359,067.59	359,460.45	-392.86
8287.86 FNMA POOL #928491 6% DUE	10/20/2009	12/28/2009	8,287.86	8,783.68	-495.82
11204.72 FNMA POOL #931195 4.5%	05/08/2009	12/28/2009	11,204.72	11,501.59	-296.87
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
906.81 FEDERAL HOME LN MTG CORP POOL #E91220 5.5% 09-01-20	06/17/2009	12/31/2009	906.81	938.31	-31.50
601.94 FEDERAL HOME LN MTG CORP POOL #E92829 5%12-01-2017	06/17/2009	12/31/2009	601.94	617.55	-15.61
7961.12 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	05/08/2009	12/31/2009	7,961.12	8,168.26	-207.14
1148.41 FEDERAL HOME LN MTG CORP POOL #E01497 5.5% 11-01-20	06/17/2009	12/31/2009	1,148.41	1,188.68	-40.27
670.33 FEDERAL HOME LN MTG CORP POOL #B19221 5%04-01-2020	06/17/2009	12/31/2009	670.33	687.99	-17.66
3644.25 FNMA POOL #928491 6% DUE 06-01-2037 REG	10/20/2009	12/31/2009	3,644.25	3,862.03	-217.78
11287.04 FNMA POOL #931195 4.5% 4711.24 FHLMC MULTICLASS	05/08/2009	12/31/2009	11,287.04	11,584.99	-297.95
750.05 FEDERAL HOME LN MTG CORP POOL #E91220 5.5% 09-01-20	08/13/2009	01/15/2010	4,711.24	4,858.48	-147.24
402.76 FEDERAL HOME LN MTG CORP POOL #E92829 5%12-01-2017	06/17/2009	02/16/2010	750.05	775.86	-25.81
5582.62 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	06/17/2009	02/16/2010	402.76	413.11	-10.35
566.2 FEDERAL HOME LN MTG CORP POOL #E01497 5.5% 11-01-20	05/08/2009	02/16/2010	5,582.62	5,727.22	-144.60
281.32 FEDERAL HOME LN MTG CORP POOL #B19221 5%04-01-2020	06/17/2009	02/16/2010	566.20	585.90	-19.70
3809.01 FHLMC MULTICLASS 05.500FGRM191215BE# 5.512- 475000. US TREAS NTS DTD 00151	06/17/2009	02/16/2010	281.32	288.69	-7.37
404.09 FNMA POOL #928491 6% DUE 06-01-2037 REG	08/13/2009	02/16/2010	3,809.01	3,928.06	-119.05
5355.05 FNMA POOL #931195 4.5% 3282.59 FNMA POOL #964571 5.5%	05/13/2009	02/16/2010	475,000.00	475,000.00	
3151.98 FNMA POOL #MA0265 5% 11-01-2024 BEO	10/20/2009	02/25/2010	404.09	428.21	-24.12
	05/08/2009	02/25/2010	5,355.05	5,495.81	-140.76
	01/07/2010	02/25/2010	3,282.59	3,489.37	-206.78
Totals	01/07/2010	02/25/2010	3,151.98	3,313.97	-161.99

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
665.4 FEDERAL HOME LN MTG CORP POOL #E91220 5.5% 09-01-20	06/17/2009	03/15/2010	665.40	688.10	-22.70
495.53 FEDERAL HOME LN MTG CORP POOL #E92829 5%12-01-2017	06/17/2009	03/15/2010	495.53	508.16	-12.63
4201.3 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	05/08/2009	03/15/2010	4,201.30	4,309.68	-108.38
842.75 FEDERAL HOME LN MTG CORP POOL #E01497 5.5% 11-01-20	06/17/2009	03/15/2010	842.75	871.86	-29.11
835.98 FEDERAL HOME LN MTG CORP POOL #B19221 5%04-01-2020	06/17/2009	03/15/2010	835.98	857.73	-21.75
9542.49 FHLMC MULTICLASS PREASSIGN 00016 4.375 04-1	02/19/2010	03/15/2010	9,542.49	9,636.72	-94.23
9838.97 FNMA SER R002 CL AH 4.75 07-15-2015 07-15-2015	02/19/2010	03/15/2010	9,838.97	9,928.72	-89.75
16306.07 FHLMC MULTICLASS 05.500FGRM191215BE# 5.512-	08/13/2009	03/15/2010	16,306.07	16,815.69	-509.62
3688.14 FNMA POOL #928491 6% DUE 06-01-2037 REG	10/20/2009	03/25/2010	3,688.14	3,907.96	-219.82
4080.04 FNMA POOL #931195 4.5% 05/08/2009	05/08/2009	03/25/2010	4,080.04	4,186.82	-106.78
16613.5 FNMA POOL #964571 5.5% 01/07/2010	01/07/2010	03/25/2010	16,613.50	17,655.36	-1,041.86
20296.76 FNMA POOL #MA0265 5% 11-01-2024 BEO	01/07/2010	03/25/2010	20,296.76	21,335.64	-1,038.88
597.74 FEDERAL HOME LN MTG CORP POOL #E91220 5.5% 09-01-20	06/17/2009	04/15/2010	597.74	617.94	-20.20
777.02 FEDERAL HOME LN MTG CORP POOL #E92829 5%12-01-2017	06/17/2009	04/15/2010	777.02	796.65	-19.63
5961.22 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	05/08/2009	04/15/2010	5,961.22	6,114.34	-153.12
891.3 FEDERAL HOME LN MTG CORP POOL #E01497 5.5% 11-01-20	06/17/2009	04/15/2010	891.30	921.85	-30.55
383. FEDERAL HOME LN MTG CORP POOL #B19221 5%04-01-2020	06/17/2009	04/15/2010	383.00	392.90	-9.90
365.87 FHLMC MULTICLASS PREASSIGN 00016 4.375 04-1	02/19/2010	04/15/2010	365.87	369.43	-3.56
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
5249.24 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	04/15/2010	5,249.24	5,413.30	-164.06
6528.44 FNMA POOL #928491 6% DUE					
06-01-2037 REG	10/20/2009	04/26/2010	6,528.44	6,905.72	-377.28
6278.75 FNMA POOL #931195 4.5%	05/08/2009	04/26/2010	6,278.75	6,442.31	-163.56
26250.49 FNMA POOL #964571 5.5%	01/07/2010	04/26/2010	26,250.49	27,888.83	-1,638.34
10243.08 FNMA POOL #MA0265 5%					
11-01-2024 BEO	01/07/2010	04/26/2010	10,243.08	10,765.11	-522.03
1228.65 FEDERAL HOME LN MTG CORP					
POOL #E91220 5.5% 09-01-20	06/17/2009	05/17/2010	1,228.65	1,269.75	-41.10
675.32 FEDERAL HOME LN MTG CORP					
POOL #E92829 5%12-01-2017	06/17/2009	05/17/2010	675.32	692.20	-16.88
934.68 FEDERAL HOME LN MTG CORP					
POOL #E01497 5.5% 11-01-20	06/17/2009	05/17/2010	934.68	966.44	-31.76
284.05 FEDERAL HOME LN MTG CORP					
POOL #B19221 5%04-01-2020	06/17/2009	05/17/2010	284.05	291.34	-7.29
4317.9 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	05/17/2010	4,317.90	4,452.85	-134.95
9933.34 FNMA POOL #928491 6% DUE					
06-01-2037 REG	10/20/2009	05/25/2010	9,933.34	10,504.04	-570.70
14491.99 FNMA POOL #938440 6% DUE					
07-01-2037 REG	04/08/2010	05/25/2010	14,491.99	15,370.84	-878.85
26181.52 FNMA POOL #964571 5.5%	01/07/2010	05/25/2010	26,181.52	27,808.64	-1,627.12
10059.55 FNMA POOL #MA0265 5%					
11-01-2024 BEO	01/07/2010	05/25/2010	10,059.55	10,570.28	-510.73
842. FEDERAL HOME LN MTG CORP					
POOL #E91220 5.5% 09-01-20	06/17/2009	06/15/2010	842.00	869.92	-27.92
628.17 FEDERAL HOME LN MTG CORP					
POOL #E92829 5%12-01-2017	06/17/2009	06/15/2010	628.17	643.75	-15.58
532.85 FEDERAL HOME LN MTG CORP					
POOL #E01497 5.5% 11-01-20	06/17/2009	06/15/2010	532.85	550.82	-17.97
694.78 FEDERAL HOME LN MTG CORP					
POOL #B19221 5%04-01-2020	06/17/2009	06/15/2010	694.78	712.50	-17.72
4129.61 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	06/15/2010	4,129.61	4,253.11	-123.50
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
6869.7 FNMA POOL #928491 6% DUE	10/20/2009	06/25/2010	6,869.70	7,261.90	-392.20
06-01-2037 REG					
4086.4 FNMA POOL #938440 6% DUE	04/08/2010	06/25/2010	4,086.40	4,332.66	-246.26
07-01-2037 REG	01/07/2010	06/25/2010	15,165.90	16,104.13	-938.23
15165.9 FNMA POOL #964571 5.5%					
20135.65 FNMA POOL #MA0265 5%	01/07/2010	06/25/2010	20,135.65	21,153.75	-1,018.10
11-01-2024 BEO					
4781.81 FHLMC MULTICLASS	08/13/2009	07/15/2010	4,781.81	4,905.00	-123.19
05.500FGRM191215BE# 5.512-					
1000000. UNITED STATES TREAS NTS 0	01/07/2010	07/21/2010	1000543.53	1000704.72	-161.19
NTS 31/07/2010USD1000 2.75					
6601.85 FNMA POOL #928491 6% DUE	10/20/2009	07/26/2010	6,601.85	6,976.20	-374.35
06-01-2037 REG					
5002.36 FNMA POOL #938440 6% DUE	04/08/2010	07/26/2010	5,002.36	5,301.84	-299.48
07-01-2037 REG	01/07/2010	07/26/2010	17,735.54	18,827.35	-1,091.81
17735.54 FNMA POOL #964571 5.5%					
402.17 FNMA POOL #AC5849 5%	06/03/2010	07/26/2010	402.17	422.11	-19.94
05-01-2040 BEO					
5948.55 FNMA POOL #MA0265 5%	01/07/2010	07/26/2010	5,948.55	6,247.99	-299.44
11-01-2024 BEO					
3199.96 FEDERAL HOME LN MTG CORP	07/13/2010	08/16/2010	3,199.96	3,386.58	-186.62
POOL #G13833 4.5% 05-01-20					
8604.85 FNMA POOL #928491 6% DUE	10/20/2009	08/25/2010	8,604.85	8,917.38	-312.53
06-01-2037 REG					
2205.24 FNMA POOL #938440 6% DUE	04/08/2010	08/25/2010	2,205.24	2,309.38	-104.14
07-01-2037 REG	01/07/2010	08/25/2010	20,283.60	21,526.85	-1,243.25
20283.6 FNMA POOL #964571 5.5%					
563.28 FNMA POOL #AC5849 5%	06/03/2010	08/25/2010	563.28	591.17	-27.89
05-01-2040 BEO					
14577.01 FNMA POOL #MA0265 5%	01/07/2010	08/25/2010	14,577.01	15,307.94	-730.93
11-01-2024 BEO					
3478.7 FEDERAL HOME LN MTG CORP	07/13/2010	09/15/2010	3,478.70	3,680.78	-202.08
POOL #G13833 4.5% 05-01-20					
4875.81 FNMA POOL #928491 6% DUE	10/20/2009	09/27/2010	4,875.81	5,043.38	-167.57
06-01-2037 REG					
Totals					

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Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
3535.22 FNMA POOL #938440 6% DUE					
07-01-2037 REG	04/08/2010	09/27/2010	3,535.22	3,692.08	-156.86
13378.14 FNMA POOL #964571 5.5%	01/07/2010	09/27/2010	13,378.14	14,193.93	-815.79
8693.36 FNMA POOL #AC5849 5%					
05-01-2040 BEO	06/03/2010	09/27/2010	8,693.36	9,123.18	-429.82
14487.13 FNMA POOL #MA0265 5%					
11-01-2024 BEO	01/07/2010	09/27/2010	14,487.13	15,210.21	-723.08
936.97 FNMA POOL #MA0484 5%					
06-01-2025 BEO	07/21/2010	09/27/2010	936.97	1,001.82	-64.85
3726.6 FEDERAL HOME LN MTG CORP					
POOL #G13833 4.5% 05-01-20	07/13/2010	10/15/2010	3,726.60	3,942.18	-215.58
2979. FNMA POOL #938440 6% DUE	04/08/2010	10/25/2010	2,979.00	3,106.42	-127.42
14546.61 FNMA POOL #964571 5.5%	01/07/2010	10/25/2010	14,546.61	15,429.85	-883.24
393.61 FNMA POOL #AC5849 5%					
05-01-2040 BEO	06/03/2010	10/25/2010	393.61	409.40	-15.79
11077.06 FNMA POOL #MA0265 5%					
11-01-2024 BEO	01/07/2010	10/25/2010	11,077.06	11,627.82	-550.76
949.28 FNMA POOL #MA0484 5%					
06-01-2025 BEO	07/21/2010	10/25/2010	949.28	1,014.75	-65.47
525000. UNITED STATES TREAS NTS					
T-NT 3.25% DUE 05-31-2016	09/03/2010	10/27/2010	572,801.61	563,282.55	9,519.06
Totals			2970447.00	2987669.00	-17,222.00

KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
175000. PNC FDG CORP SUB NT 7.5					
DUE 11-01-2009 BEO	12/21/2007	11/02/2009	175,000.00	175,000.00	
3340.19 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	11/16/2009	3,340.19	3,355.24	-15.05
1858.49 FEDERAL HOME LN MTG CORP					
POOL #G1-8025 4.5% 12-01-2	01/09/2006	11/16/2009	1,858.49	1,817.84	40.65
5272.57 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	11/16/2009	5,272.57	5,228.41	44.16
5172.09 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	11/16/2009	5,172.09	5,160.54	11.55
763.48 FNMA POOL #253883 6% DUE					
08-01-2016 REG	09/10/2001	11/25/2009	763.48	765.44	-1.96
323.39 FNMA POOL #253303 8% DUE					
05-01-2015 REG	05/10/2000	11/25/2009	323.39	323.68	-0.29
2250.16 FNMA POOL #254259 5.5%					
DUE 04-01-2017 REG	05/14/2002	11/25/2009	2,250.16	2,222.03	28.13
321.56 FNMA POOL #445241 6.5%					
DUE 10-01-2013 REG	06/15/1999	11/25/2009	321.56	316.03	5.53
238.58 FNMA POOL #545093 7.5%					
DUE 06-01-2016 REG	06/06/2001	11/25/2009	238.58	243.01	-4.43
41.06 FNMA POOL #578715 6% DUE					
09-01-2016 REG	09/20/2001	11/25/2009	41.06	41.37	-0.31
164.37 FNMA POOL #580052 6% DUE					
07-01-2016 REG	09/19/2001	11/25/2009	164.37	165.48	-1.11
200000. ALLSTATE CORP SR NT	04/07/2005	12/01/2009	200,000.00	200,000.00	
4978.07 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	12/15/2009	4,978.07	5,000.38	-22.31
1905.09 FEDERAL HOME LN MTG CORP					
POOL #G1-8025 4.5% 12-01-2	01/09/2006	12/15/2009	1,905.09	1,863.42	41.67
6090.31 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	12/15/2009	6,090.31	6,039.30	51.01
Totals					

KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
6045.05 FNMA SER R002 CL AH 4.75 07-15-2015 07-15-2015	08/21/2007	12/15/2009	6,045.05	6,033.03	12.02
551.31 FNMA POOL #253883 6% DUE 08-01-2016 REG	09/10/2001	12/28/2009	551.31	552.71	-1.40
322.74 FNMA POOL #253303 8% DUE 05-01-2015 REG	05/10/2000	12/28/2009	322.74	323.03	-0.29
1639.83 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	12/28/2009	1,639.83	1,619.33	20.50
373.92 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	12/28/2009	373.92	367.49	6.43
294.6 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	12/28/2009	294.60	300.01	-5.41
41.97 FNMA POOL #578715 6% DUE 09-01-2016 REG	09/20/2001	12/28/2009	41.97	42.29	-0.32
276.86 FNMA POOL #580052 6% DUE 07-01-2016 REG	09/19/2001	12/28/2009	276.86	278.71	-1.85
3691.31 FEDERAL HOME LN MTG CORP POOL #G11736 5%04-01-2020	05/06/2008	12/31/2009	3,691.31	3,707.76	-16.45
2044.79 FEDERAL HOME LN MTG CORP POOL #G1-8025 4.5% 12-01-2	01/09/2006	12/31/2009	2,044.79	2,000.06	44.73
553.72 FNMA POOL #253883 6% DUE 08-01-2016 REG	09/10/2001	12/31/2009	553.72	555.11	-1.39
308.77 FNMA POOL #253303 8% DUE 05-01-2015 REG	05/10/2000	12/31/2009	308.77	309.04	-0.27
1507.39 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	12/31/2009	1,507.39	1,488.55	18.84
281.58 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	12/31/2009	281.58	276.74	4.84
296.3 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	12/31/2009	296.30	301.69	-5.39
213.82 FNMA POOL #578715 6% DUE 09-01-2016 REG	09/20/2001	12/31/2009	213.82	215.44	-1.62
348.54 FNMA POOL #580052 6% DUE 07-01-2016 REG	09/19/2001	12/31/2009	348.54	350.84	-2.30
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
6604.25 FHMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	01/15/2010	6,604.25	6,548.94	55.31
7893.07 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	01/15/2010	7,893.07	7,875.44	17.63
2838. FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	02/16/2010	2,838.00	2,850.55	-12.55
1995.11 FEDERAL HOME LN MTG CORP					
POOL #G1-8025 4.5% 12-01-2	01/09/2006	02/16/2010	1,995.11	1,951.47	43.64
5760.49 FHMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	02/16/2010	5,760.49	5,712.24	48.25
5583.49 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	02/16/2010	5,583.49	5,571.02	12.47
663.07 FNMA POOL #253883 6% DUE					
08-01-2016 REG	09/10/2001	02/25/2010	663.07	664.73	-1.66
324.77 FNMA POOL #253303 8% DUE					
05-01-2015 REG	05/10/2000	02/25/2010	324.77	325.05	-0.28
895.64 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	02/25/2010	895.64	884.44	11.20
282.93 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	02/25/2010	282.93	278.06	4.87
256.37 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	02/25/2010	256.37	260.99	-4.62
39.75 FNMA POOL #578715 6% DUE 09-01-2016 REG	09/20/2001	02/25/2010	39.75	40.05	-0.30
188.34 FNMA POOL #580052 6% DUE 07-01-2016 REG	09/19/2001	02/25/2010	188.34	189.57	-1.23
3973.83 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	03/15/2010	3,973.83	3,991.31	-17.48
2350.03 FEDERAL HOME LN MTG CORP					
POOL #G1-8025 4.5% 12-01-2	01/09/2006	03/15/2010	2,350.03	2,298.62	51.41
9794.2 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	03/15/2010	9,794.20	9,772.32	21.88
482.27 FNMA POOL #253883 6% DUE 08-01-2016 REG	09/10/2001	03/25/2010	482.27	483.46	-1.19
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
960.43 FNMA POOL #253303 8% DUE	05/10/2000	03/25/2010	960.43	961.26	-0.83
1489.18 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	03/25/2010	1,489.18	1,470.56	18.62
284.54 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	03/25/2010	284.54	279.64	4.90
252.65 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	03/25/2010	252.65	257.15	-4.50
41.98 FNMA POOL #578715 6% DUE	09/20/2001	03/25/2010	41.98	42.29	-0.31
334.77 FNMA POOL #580052 6% DUE	09/19/2001	03/25/2010	334.77	336.93	-2.16
100000. FNMA 7.125 06-15-2010	01/21/2009	04/08/2010	101,200.90	101,099.40	101.50
3567.11 FEDERAL HOME LN MTG CORP POOL #G11736 5%04-01-2020	05/06/2008	04/15/2010	3,567.11	3,582.70	-15.59
1793.03 FEDERAL HOME LN MTG CORP POOL #G1-8025 4.5% 12-01-2	01/09/2006	04/15/2010	1,793.03	1,753.81	39.22
6628.85 FHLMC MULTICLASS PREASSIGN 00016 4.375 04-1	08/21/2007	04/15/2010	6,628.85	6,573.33	55.52
7676.78 FNMA SER R002 CL AH 4.75 07-15-2015 07-15-2015	08/21/2007	04/15/2010	7,676.78	7,659.63	17.15
807.17 FNMA POOL #253883 6% DUE	09/10/2001	04/26/2010	807.17	809.14	-1.97
528.74 FNMA POOL #253303 8% DUE	05/10/2000	04/26/2010	528.74	529.19	-0.45
1424.12 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	04/26/2010	1,424.12	1,406.32	17.80
286.21 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	04/26/2010	286.21	281.29	4.92
499.07 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	04/26/2010	499.07	507.85	-8.78
39.83 FNMA POOL #578715 6% DUE	09/20/2001	04/26/2010	39.83	40.12	-0.29
436.77 FNMA POOL #580052 6% DUE	09/19/2001	04/26/2010	436.77	439.56	-2.79
Totals					

KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
3775.58 FEDERAL HOME LN MTG CORP POOL #G11736 5%04-01-2020	05/06/2008	05/17/2010	3,775.58	3,791.96	-16.38
5018.34 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	05/08/2009	05/17/2010	5,018.34	5,146.63	-128.29
2522.06 FEDERAL HOME LN MTG CORP POOL #G1-8025 4.5% 12-01-2	01/09/2006	05/17/2010	2,522.06	2,466.89	55.17
5847.11 FHLMC MULTICLASS PREASSIGN 00016 4.375 04-1	08/21/2007	05/17/2010	5,847.11	5,798.14	48.97
7530.03 FNMA SER R002 CL AH 4.75 07-15-2015 07-15-2015	08/21/2007	05/17/2010	7,530.03	7,513.21	16.82
752.76 FNMA POOL #253883 6% DUE 08-01-2016 REG	09/10/2001	05/25/2010	752.76	754.58	-1.82
828.45 FNMA POOL #253303 8% DUE 05-01-2015 REG	05/10/2000	05/25/2010	828.45	829.14	-0.69
1633.01 FNMA POOL #254259 5.5% DUE 04-01-2017 REG	05/14/2002	05/25/2010	1,633.01	1,612.60	20.41
268.35 FNMA POOL #445241 6.5% DUE 10-01-2013 REG	06/15/1999	05/25/2010	268.35	263.73	4.62
323.58 FNMA POOL #545093 7.5% DUE 06-01-2016 REG	06/06/2001	05/25/2010	323.58	329.22	-5.64
40.05 FNMA POOL #578715 6% DUE 09-01-2016 REG	09/20/2001	05/25/2010	40.05	40.34	-0.29
560.94 FNMA POOL #580052 6% DUE 07-01-2016 REG	09/19/2001	05/25/2010	560.94	564.49	-3.55
5570.92 FNMA POOL #931195 4.5% 150000. FNMA 7.125 06-15-2010	05/08/2009	05/25/2010	5,570.92	5,715.46	-144.54
2661.94 FEDERAL HOME LN MTG CORP POOL #G11736 5%04-01-2020	01/21/2009	06/03/2010	150,230.55	150,294.15	-63.60
4135.12 FEDERAL HOME LN MTG CORP POOL #G13562 4.5% 05-01-20	05/06/2008	06/15/2010	2,661.94	2,673.42	-11.48
1643.27 FEDERAL HOME LN MTG CORP POOL #G1-8025 4.5% 12-01-2	05/08/2009	06/15/2010	4,135.12	4,240.41	-105.29
450000. FNMA 7.125 06-15-2010 5892.97 FHLMC MULTICLASS	01/09/2006	06/15/2010	1,643.27	1,607.32	35.95
12/29/2008	06/15/2010	450,000.00	450,000.00		
PREASSIGN 00016 4.375 04-1	08/21/2007	06/15/2010	5,892.97	5,843.61	49.36
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
5946.21 FNMA SER R002 CL AH 4.75	08/21/2007	06/15/2010	5,946.21	5,932.93	13.28
739.63 FNMA POOL #253883 6% DUE	09/10/2001	06/25/2010	739.63	741.40	-1.77
1087.16 FNMA POOL #253303 8% DUE	05/10/2000	06/25/2010	1,087.16	1,088.06	-0.90
1712.25 FNMA POOL #254259 5.5%	05/14/2002	06/25/2010	1,712.25	1,690.85	21.40
269.91 FNMA POOL #445241 6.5%	06/15/1999	06/25/2010	269.91	265.27	4.64
222.56 FNMA POOL #545093 7.5%	06/06/2001	06/25/2010	222.56	226.39	-3.83
40.27 FNMA POOL #578715 6% DUE	09/20/2001	06/25/2010	40.27	40.56	-0.29
189.76 FNMA POOL #580052 6% DUE	09/19/2001	06/25/2010	189.76	190.94	-1.18
3475.32 FNMA POOL #931195 4.5%	05/08/2009	06/25/2010	3,475.32	3,565.09	-89.77
90407.32 FEDERAL HOME LN MTG CORP	01/09/2006	07/13/2010	95,881.21	88,429.65	7,451.56
301202.96 FNMA POOL #931195 4.5%	05/08/2009	07/13/2010	318,663.32	308,962.30	9,701.02
3671.85 FEDERAL HOME LN MTG CORP	05/06/2008	07/15/2010	3,671.85	3,687.58	-15.73
1131.42 FEDERAL HOME LN MTG CORP	06/17/2009	07/15/2010	1,131.42	1,168.58	-37.16
538.03 FEDERAL HOME LN MTG CORP	06/17/2009	07/15/2010	538.03	551.25	-13.22
6689.92 FEDERAL HOME LN MTG CORP	05/08/2009	07/15/2010	6,689.92	6,859.51	-169.59
2992.02 FEDERAL HOME LN MTG CORP	01/09/2006	07/15/2010	2,992.02	2,926.57	65.45
844.43 FEDERAL HOME LN MTG CORP	06/17/2009	07/15/2010	844.43	872.69	-28.26
763.32 FEDERAL HOME LN MTG CORP	06/17/2009	07/15/2010	763.32	782.66	-19.34
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
5763.46 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	07/15/2010	5,763.46	5,715.19	48.27
7138.36 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	07/15/2010	7,138.36	7,125.12	13.24
586.08 FNMA POOL #253883 6% DUE					
08-01-2016 REG	09/10/2001	07/26/2010	586.08	587.46	-1.38
272.15 FNMA POOL #253303 8% DUE					
05-01-2015 REG	05/10/2000	07/26/2010	272.15	272.37	-0.22
1251.18 FNMA POOL #254259 5.5%					
DUE 04-01-2017 REG	05/14/2002	07/26/2010	1,251.18	1,235.54	15.64
271.5 FNMA POOL #445241 6.5%					
DUE 10-01-2013 REG	06/15/1999	07/26/2010	271.50	266.83	4.67
224.24 FNMA POOL #545093 7.5%					
DUE 06-01-2016 REG	06/06/2001	07/26/2010	224.24	228.06	-3.82
40.49 FNMA POOL #578715 6% DUE					
09-01-2016 REG	09/20/2001	07/26/2010	40.49	40.78	-0.29
175.34 FNMA POOL #580052 6% DUE					
07-01-2016 REG	09/19/2001	07/26/2010	175.34	176.43	-1.09
5662.33 FNMA POOL #931195 4.5%	05/08/2009	07/26/2010	5,662.33	5,807.91	-145.58
.01 FNMA POOL #931195 4.5%	05/08/2009	07/27/2010	0.01	0.01	
2529.67 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	08/16/2010	2,529.67	2,540.43	-10.76
850.41 FEDERAL HOME LN MTG CORP					
POOL #E91220 5.5% 09-01-20	06/17/2009	08/16/2010	850.41	878.05	-27.64
553.16 FEDERAL HOME LN MTG CORP					
POOL #E92829 5%12-01-2017	06/17/2009	08/16/2010	553.16	566.61	-13.45
7975.79 FEDERAL HOME LN MTG CORP					
POOL #G13562 4.5% 05-01-20	05/08/2009	08/16/2010	7,975.79	8,177.02	-201.23
869.21 FEDERAL HOME LN MTG CORP					
POOL #E01497 5.5% 11-01-20	06/17/2009	08/16/2010	869.21	898.04	-28.83
737.41 FEDERAL HOME LN MTG CORP					
POOL #B19221 5%04-01-2020	06/17/2009	08/16/2010	737.41	755.95	-18.54
6144.83 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	08/16/2010	6,144.83	6,093.36	51.47
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
7630.11 FNMA SER R002 CL AH 4.75	08/21/2007	08/16/2010	7,630.11	7,619.68	10.43
07-15-2015 07-15-2015					
5212.98 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	08/16/2010	5,212.98	5,329.87	-116.89
750000. FEDERAL HOME LN MTG CORP	05/13/2009	08/23/2010	750,000.00	750,000.00	
1141.93 FNMA POOL #253883 6% DUE					
08-01-2016 REG	09/10/2001	08/25/2010	1,141.93	1,144.59	-2.66
274.38 FNMA POOL #253303 8% DUE					
05-01-2015 REG	05/10/2000	08/25/2010	274.38	274.60	-0.22
1174.29 FNMA POOL #254259 5.5%					
DUE 04-01-2017 REG	05/14/2002	08/25/2010	1,174.29	1,159.61	14.68
620.72 FNMA POOL #445241 6.5%					
DUE 10-01-2013 REG	06/15/1999	08/25/2010	620.72	610.04	10.68
287.47 FNMA POOL #545093 7.5%					
DUE 06-01-2016 REG	06/06/2001	08/25/2010	287.47	292.31	-4.84
40.71 FNMA POOL #578715 6% DUE					
09-01-2016 REG	09/20/2001	08/25/2010	40.71	41.00	-0.29
155.28 FNMA POOL #580052 6% DUE					
07-01-2016 REG	09/19/2001	08/25/2010	155.28	156.23	-0.95
3121.98 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	09/15/2010	3,121.98	3,135.18	-13.20
971.27 FEDERAL HOME LN MTG CORP					
POOL #E91220 5.5% 09-01-20	06/17/2009	09/15/2010	971.27	1,002.55	-31.28
536.96 FEDERAL HOME LN MTG CORP					
POOL #E92829 5%12-01-2017	06/17/2009	09/15/2010	536.96	549.90	-12.94
10127.6 FEDERAL HOME LN MTG CORP					
POOL #G13562 4.5% 05-01-20	05/08/2009	09/15/2010	10,127.60	10,382.05	-254.45
672.4 FEDERAL HOME LN MTG CORP					
POOL #E01497 5.5% 11-01-20	06/17/2009	09/15/2010	672.40	694.53	-22.13
892.29 FEDERAL HOME LN MTG CORP					
POOL #B19221 5%04-01-2020	06/17/2009	09/15/2010	892.29	914.59	-22.30
7322.38 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	09/15/2010	7,322.38	7,261.05	61.33
9204.68 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	09/15/2010	9,204.68	9,191.35	13.33
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION RICHMOND
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
6182.63 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	09/15/2010	6,182.63	6,313.49	-130.86
827.88 FNMA POOL #253883 6% DUE					
08-01-2016 REG	09/10/2001	09/27/2010	827.88	829.79	-1.91
271.49 FNMA POOL #253303 8% DUE					
05-01-2015 REG	05/10/2000	09/27/2010	271.49	271.71	-0.22
1623.39 FNMA POOL #254259 5.5%					
DUE 04-01-2017 REG	05/14/2002	09/27/2010	1,623.39	1,603.10	20.29
278.36 FNMA POOL #445241 6.5%					
DUE 10-01-2013 REG	06/15/1999	09/27/2010	278.36	273.57	4.79
223.87 FNMA POOL #545093 7.5%					
DUE 06-01-2016 REG	06/06/2001	09/27/2010	223.87	227.60	-3.73
41.1 FNMA POOL #578715 6% DUE					
09-01-2016 REG	09/20/2001	09/27/2010	41.10	41.39	-0.29
167.36 FNMA POOL #580052 6% DUE					
07-01-2016 REG	09/19/2001	09/27/2010	167.36	168.37	-1.01
2873.64 FEDERAL HOME LN MTG CORP					
POOL #G11736 5%04-01-2020	05/06/2008	10/15/2010	2,873.64	2,885.70	-12.06
612.91 FEDERAL HOME LN MTG CORP					
POOL #E91220 5.5% 09-01-20	06/17/2009	10/15/2010	612.91	632.45	-19.54
456.66 FEDERAL HOME LN MTG CORP					
POOL #E92829 5%12-01-2017	06/17/2009	10/15/2010	456.66	467.56	-10.90
14080.37 FEDERAL HOME LN MTG CORP					
POOL #G13562 4.5% 05-01-20	05/08/2009	10/15/2010	14,080.37	14,432.53	-352.16
665.38 FEDERAL HOME LN MTG CORP					
POOL #E01497 5.5% 11-01-20	06/17/2009	10/15/2010	665.38	687.10	-21.72
629.66 FEDERAL HOME LN MTG CORP					
POOL #B19221 5%04-01-2020	06/17/2009	10/15/2010	629.66	645.29	-15.63
7390.39 FHLMC MULTICLASS					
PREASSIGN 00016 4.375 04-1	08/21/2007	10/15/2010	7,390.39	7,328.49	61.90
10897.64 FNMA SER R002 CL AH 4.75					
07-15-2015 07-15-2015	08/21/2007	10/15/2010	10,897.64	10,886.09	11.55
5567.72 FHLMC MULTICLASS					
05.500FGRM191215BE# 5.512-	08/13/2009	10/15/2010	5,567.72	5,660.21	-92.49
Totals					

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Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1115. ALBERTO-CULVER CO NEW COM	09/15/2009	12/23/2009	32,806.35	30,322.85	2,483.50
865. COPART INC COM	07/14/2009	12/23/2009	31,588.29	27,886.82	3,701.47
600. FTI CONSULTING INC	06/08/2009	12/23/2009	28,192.31	30,372.63	-2,180.32
230. INTERCONTINENTAL EXCHANGE INC COM	09/16/2009	12/23/2009	25,017.83	21,481.84	3,535.99
820. RANGE RES CORP	10/28/2009	12/23/2009	42,853.16	41,983.42	869.74
895. RESMED INC	02/09/2009	12/23/2009	46,876.12	36,531.04	10,345.08
530. SIGMA ALDRICH CORP	10/30/2009	12/23/2009	27,083.47	27,728.91	-645.44
190. STRAYER ED INC COM	04/29/2009	12/23/2009	42,012.33	34,107.66	7,904.67
1165. SMITH INTERNATIONAL INC	06/01/2009	02/24/2010	47,215.22	36,014.93	11,200.29
1230. FTI CONSULTING INC	08/17/2009	03/09/2010	44,560.73	61,551.49	-16,990.76
1065. FTI CONSULTING INC	08/17/2009	03/10/2010	38,697.45	49,238.25	-10,540.80
900. ALBERTO-CULVER CO NEW COM	09/11/2009	04/01/2010	23,692.54	24,354.30	-661.76
1535. ALBERTO-CULVER CO NEW COM	09/03/2010	10/04/2010	57,798.53	48,216.33	9,582.20
Totals			488,394.00	469,790.00	18,604.00

KELVIN AND ELEANOR SMITH FOUNDATION 26-18049
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
1155. COGNIZANT TECHNOLOGY SOLUTION CL A	06/04/2007	12/02/2009	52,303.40	45,101.10	7,202.30
4207. XTO ENERGY CORP	11/09/2005	12/16/2009	199,619.53	135,065.56	64,553.97
1220. ADOBE SYS INC	02/12/2008	12/23/2009	45,138.83	39,894.95	5,243.88
485. AFFILIATED MANAGERS GROUP	02/03/2006	12/23/2009	33,318.64	44,638.81	-11,320.17
800. AMETEK INC NEW	12/04/2007	12/23/2009	31,335.19	36,294.24	-4,959.05
1300. AMPHENOL CORP NEW CL A	10/27/2006	12/23/2009	59,793.52	45,278.42	14,515.10
1260. ANSYS INC	05/11/2007	12/23/2009	54,480.99	35,301.67	19,179.32
455. C R BARD INC	10/04/2007	12/23/2009	35,865.63	38,526.36	-2,660.73
900. C H ROBINSON WORLDWIDE INC COM NEW COM NEW	11/09/2005	12/23/2009	52,621.64	34,087.14	18,534.50
805. CERNER CORP COM	08/19/2008	12/23/2009	66,784.06	37,704.44	29,079.62
685. CHURCH & DWIGHT INC	03/18/2008	12/23/2009	42,194.91	35,853.11	6,341.80
1520. CITRIX SYS INC	11/09/2005	12/23/2009	63,793.21	41,313.60	22,479.61
995. COACH INC	11/09/2005	12/23/2009	36,734.45	33,777.47	2,956.98
1380. COGNIZANT TECHNOLOGY SOLUTION CL A	02/12/2008	12/23/2009	63,809.55	46,586.32	17,223.23
675. COVANCE INC	01/09/2007	12/23/2009	36,402.82	40,310.01	-3,907.19
1275. DENTSPLY INTL INC NEW	04/11/2007	12/23/2009	45,155.12	42,110.45	3,044.67
1990. DICKS SPORTING GOODS INC	11/09/2005	12/23/2009	50,425.30	32,594.31	17,830.99
600. DONALDSON INC COM	11/09/2005	12/23/2009	26,007.35	18,826.74	7,180.61
910. EATON VANCE CORP	05/22/2007	12/23/2009	27,535.89	36,821.62	-9,285.73
1155. EXPEDITORS INTL WASH INC	11/09/2005	12/23/2009	40,720.90	40,274.85	446.05
1345. FLIR SYS INC	04/28/2008	12/23/2009	43,036.47	39,623.44	3,413.03
920. FMC TECHNOLOGIES INC COM	07/05/2007	12/23/2009	54,068.10	33,301.16	20,766.94
460. FACTSET RESH SYS INC	11/09/2005	12/23/2009	30,639.81	16,622.97	14,016.84
1120. FASTENAL CO	11/09/2005	12/23/2009	46,413.06	42,749.28	3,663.78
865. FISERV INC	11/09/2005	12/23/2009	42,009.97	38,418.02	3,591.95
1610. FLOWERS FOODS INC	08/19/2008	12/23/2009	38,547.39	42,639.24	-4,091.85
400. FLOWSERVE CORP	02/13/2008	12/23/2009	38,775.16	39,743.88	-968.72
1000. GLOBAL PMTS INC	12/22/2005	12/23/2009	52,987.63	43,428.82	9,558.81
930. IDEX CORP	05/10/2007	12/23/2009	29,996.46	32,562.33	-2,565.87
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION 26-18049
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
875. IDEXX LABS INC	11/09/2005	12/23/2009	47,022.08	30,201.15	16,820.93
665. IHS INC COM CL A COM CL A	07/08/2008	12/23/2009	35,883.07	44,505.46	-8,622.39
1385. INTUIT	12/22/2005	12/23/2009	42,425.33	36,923.96	5,501.37
2015. LKQ CORP COM LKQ CORP	10/21/2008	12/23/2009	39,279.79	30,804.32	8,475.47
595. L-3 COMMUNICATIONS HLDGS	11/09/2005	12/23/2009	50,582.26	45,585.87	4,996.39
435. LINCOLN ELEC HLDGS INC COM	06/18/2007	12/23/2009	23,866.79	32,279.49	-8,412.70
1170. MCAFEE INC COM	08/19/2008	12/23/2009	47,204.19	45,203.07	2,001.12
1440. MICROS SYS INC	02/12/2008	12/23/2009	45,078.17	51,628.26	-6,550.09
565. MORNINGSTAR INC COM STK	06/18/2008	12/23/2009	27,251.61	41,393.38	-14,141.77
1320. O REILLY AUTOMOTIVE INC	07/21/2005	12/23/2009	50,689.73	40,808.20	9,881.53
790. PANERA BREAD CO CL A	11/09/2005	12/23/2009	54,090.93	48,380.00	5,710.93
975. ROPER INDS INC NEW	11/27/2006	12/23/2009	51,420.17	47,866.17	3,554.00
1045. SMITH INTERNATIONAL INC	11/09/2005	12/23/2009	28,799.97	35,086.92	-6,286.95
1050. SOUTHWESTERN ENERGY CO COM	01/03/2008	12/23/2009	52,760.20	30,969.33	21,790.87
880. STERICYCLE INC	06/13/2005	12/23/2009	48,935.54	23,299.36	25,636.18
920. TRACTOR SUPPLY CO	07/17/2006	12/23/2009	49,470.89	44,730.86	4,740.03
1365. TRIMBLE NAVIGATION LTD	12/03/2007	12/23/2009	34,776.71	50,960.77	-16,184.06
1090. VARIAN MED SYS INC	01/08/2007	12/23/2009	50,697.64	52,245.43	-1,547.79
1065. CITRIX SYS INC	11/09/2005	01/11/2010	45,361.55	28,946.70	16,414.85
400. PANERA BREAD CO CL A	11/09/2005	01/11/2010	26,902.98	24,496.20	2,406.78
1040. GLOBAL PMTS INC	06/03/2004	02/17/2010	45,444.92	24,444.38	21,000.54
2990. SMITH INTERNATIONAL INC	11/09/2005	02/24/2010	121,178.96	100,392.24	20,786.72
1905. LKQ CORP COM LKQ CORP	10/21/2008	04/01/2010	38,624.36	22,087.92	16,536.44
320. C R BARD INC	02/08/2007	04/05/2010	27,053.24	26,235.24	818.00
575. LKQ CORP COM LKQ CORP	04/05/2007	04/05/2010	11,568.86	6,424.82	5,144.04
260. STERICYCLE INC	06/13/2005	04/05/2010	14,289.74	6,842.37	7,447.37
345. STERICYCLE INC	06/10/2005	04/06/2010	18,971.60	8,991.11	9,980.49
1445. DONALDSON INC COM	11/09/2005	04/21/2010	66,323.07	45,341.06	20,982.01
430. L-3 COMMUNICATIONS HLDGS	11/09/2005	04/21/2010	41,815.89	32,944.41	8,871.48
1020. DONALDSON INC COM	11/09/2005	04/22/2010	46,888.60	32,005.46	14,883.14
965. FISERV INC	11/09/2005	05/18/2010	47,904.29	42,859.41	5,044.88
4685. MCAFEE INC COM	11/24/2008	05/27/2010	149,705.23	153,417.25	-3,712.02
610. GLOBAL PMTS INC	10/31/2005	06/08/2010	24,359.38	7,854.37	16,505.01
2255. GLOBAL PMTS INC	10/31/2005	06/21/2010	87,677.87		87,677.87
3935. ADOBE SYS INC	11/09/2005	07/12/2010	106,288.06	127,833.99	-21,545.93
Totals					

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Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
975. ADOBE SYS INC	11/09/2005	07/13/2010	26,828.42	31,674.24	-4,845.82
635. L-3 COMMUNICATIONS HLDGS	11/09/2005	07/13/2010	45,917.02	48,650.46	-2,733.44
2405. FLOWERS FOODS INC	08/19/2008	07/27/2010	59,402.49	63,694.02	-4,291.53
810. COGNIZANT TECHNOLOGY					
SOLUTION CL A	02/12/2008	08/05/2010	49,317.39	20,758.57	28,558.82
1225. COVANCE INC	10/26/2006	08/05/2010	49,784.62	72,521.01	-22,736.39
1490. COVANCE INC	07/17/2006	08/11/2010	59,656.65	87,850.13	-28,193.48
1615. DENTSPLY INTL INC NEW	04/11/2007	08/27/2010	45,911.25	53,260.78	-7,349.53
50. MORNINGSTAR INC COM STK	06/23/2008	08/31/2010	2,046.71	3,655.44	-1,608.73
1725. MORNINGSTAR INC COM STK	12/26/2008	09/01/2010	70,437.45	100,828.41	-30,390.96
120. MORNINGSTAR INC COM STK	12/24/2008	09/02/2010	4,917.44	3,959.29	958.15
130. MORNINGSTAR INC COM STK	12/24/2008	09/03/2010	5,310.15	4,289.23	1,020.92
3355. SOUTHWESTERN ENERGY CO COM	01/22/2008	09/07/2010	111,071.10	92,133.36	18,937.74
95. MORNINGSTAR INC COM STK	12/24/2008	09/08/2010	3,812.83	3,134.44	678.39
880. SOUTHWESTERN ENERGY CO COM	01/22/2008	09/08/2010	29,065.37	22,132.00	6,933.37
215. MORNINGSTAR INC COM STK	12/24/2008	09/09/2010	8,704.94	7,093.74	1,611.20
1185. CITRIX SYS INC	11/09/2005	10/01/2010	80,799.40	32,208.30	48,591.10
3560. ALBERTO-CULVER CO NEW COM	09/10/2009	10/04/2010	134,047.39	80,152.03	53,895.36
830. FLOWERS FOODS INC	12/02/2008	10/04/2010	20,879.62	21,081.70	-202.08
625. L-3 COMMUNICATIONS HLDGS	11/09/2005	10/04/2010	43,694.70	47,884.31	-4,189.61
2415. FLOWERS FOODS INC	06/02/2009	10/05/2010	61,172.12	55,743.38	5,428.74
770. L-3 COMMUNICATIONS HLDGS	12/22/2005	10/05/2010	54,321.43	58,824.98	-4,503.55
680. FLOWERS FOODS INC	06/03/2009	10/06/2010	17,333.72	14,691.13	2,642.59
560. CERNER CORP COM	02/01/2006	10/08/2010	48,250.57	26,189.18	22,061.39
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			4173791.00	3565849.00	607,942.00
Totals			4173791.00	3565849.00	607,942.00

KELVIN AND ELEANOR SMITH FOUNDATION SCV SMITH
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
8365. VALIDUS HOLDING LTD COM					
STK USD0.175	05/08/2009	01/04/2010	226,685.66	204,231.48	22,454.18
22000. CELESTICA INC SUB VTG SHS					
SUB VTG SHS CA15101Q1081	02/06/2009	01/28/2010	222,579.96	93,486.80	129,093.16
21000. CELESTICA INC SUB VTG SHS					
SUB VTG SHS CA15101Q1081	02/06/2009	02/01/2010	210,301.81	89,237.40	121,064.41
.94 DIAMONDROCK HOSPITALITY					
CO COM STK	01/15/2010	02/23/2010	5.88	9.00	-3.12
1723. DIAMONDROCK HOSPITALITY CO					
COM STK	01/15/2010	03/30/2010	17,341.01	16,491.00	850.01
299. #REORG/SILICON STORAGE					
CASH MERGER EFF 4/9/10	07/29/2009	04/15/2010	911.95	573.15	338.80
44501. #REORG/SILICON STORAGE					
CASH MERGER EFF 4/9/10	07/29/2009	04/15/2010	135,728.05	85,303.97	50,424.08
29000. DYNEGY INC DEL COM	06/08/2010	10/11/2010	137,414.16	135,183.50	2,230.66
40000. JETBLUE AWYS CORP COM	01/12/2010	10/27/2010	285,971.15	222,120.00	63,851.15
Totals			1236940.00	846,636.00	390,303.00

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KELVIN AND ELEANOR SMITH FOUNDATION SCV SMITH
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
26000. COOPER TIRE & RUBBER CO COM, NO PAR	05/30/2008	11/20/2009	478,944.06	287,123.20	191,820.86
42000. DANA HLDG CORP COM	10/21/2008	11/20/2009	285,987.41	114,353.40	171,634.01
7083. DOMTAR CORP COM NEW COM	10/27/2008	12/30/2009	404,124.34	196,680.84	207,443.50
54000. MESA AIR GROUP INC COM	08/21/2006	01/05/2010	2,057.34	411,350.40	-409,293.06
15000. #REORG/SPHERION CORP N/C & CUSIP CHNG SFN GROUP INC C	04/28/2005	02/05/2010	99,421.73	85,959.00	13,462.73
10000. #REORG/SPHERION CORP N/C & CUSIP CHNG SFN GROUP INC C	04/28/2005	02/09/2010	70,970.09	57,306.00	13,664.09
41500. #REORG/SPHERION CORP N/C & CUSIP CHNG SFN GROUP INC C	04/28/2005	02/17/2010	327,584.37	237,819.90	89,764.47
26597. INTEGRATED SILICON SOLUTION INC COM STOCKZ	09/27/2004	03/23/2010	231,760.64	190,054.18	41,706.46
20000. INTEGRATED SILICON SOLUTION INC COM STOCKZ	09/27/2004	03/25/2010	194,301.52	142,914.00	51,387.52
8277. DIAMONDROCK HOSPITALITY CO COM STK	10/24/2008	03/30/2010	83,303.28	32,023.71	51,279.57
19800. UTSTARCOM INC COM	11/21/2008	03/31/2010	55,973.64	26,928.00	29,045.64
15000. STILLWATER MNG CO COM	10/22/2008	04/08/2010	225,119.18	53,833.50	171,285.68
37500. #REORG/LODGIAN INC CASH	11/20/2008	04/21/2010	93,750.00	82,567.57	11,182.43
5200. #REORG/LODGIAN INC CASH	11/20/2008	04/21/2010	13,000.00	9,210.76	3,789.24
14000. DIAMONDROCK HOSPITALITY CO COM STK	10/24/2008	05/04/2010	151,498.43	54,166.00	97,332.43
100000. #REORG/MERIDIAN RESOURCE CORP COM CASH MERGER EFF 5	11/08/2007	05/14/2010	33,000.00	243,040.00	-210,040.00
27723. DIAMONDROCK HOSPITALITY CO COM STK	10/24/2008	06/21/2010	254,831.03	107,260.29	147,570.74
7800. SYCAMORE NETWORKS INC COM NEW COM NEW	01/30/2009	07/21/2010	147,139.04	108,825.60	38,313.44
22300. USEC INC COM	03/13/2008	09/30/2010	115,514.27	143,404.72	-27,890.45
4500. USEC INC COM	03/13/2008	10/01/2010	23,121.50	20,392.20	2,729.30
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION SCV SMITH
Schedule D Detail of Long-term Capital Gains and Losses

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
100. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	11/17/2008	11/03/2009	197.99	706.52	-508.53
300. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	11/17/2008	11/06/2009	596.05	2,119.56	-1,523.51
400. INTEVAC INC COM	12/19/2008	12/01/2009	4,862.15	1,881.04	2,981.11
1400. INTEVAC INC COM	12/22/2008	12/01/2009	16,995.00	6,521.76	10,473.24
200. INTEVAC INC COM	12/22/2008	12/02/2009	2,439.76	931.68	1,508.08
900. INTEVAC INC COM	12/24/2008	12/03/2009	10,836.98	4,177.56	6,659.42
700. INTEVAC INC COM	12/04/2008	12/04/2009	8,677.89	3,245.20	5,432.69
300. INTEVAC INC COM	12/04/2008	12/04/2009	3,683.91	1,390.80	2,293.11
400. INTEVAC INC COM	12/08/2008	12/07/2009	4,950.87	1,816.44	3,134.43
900. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	12/24/2008	12/17/2009	1,785.37	5,767.36	-3,981.99
900. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	12/24/2008	12/18/2009	1,830.55	5,748.12	-3,917.57
400. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	12/24/2008	12/18/2009	806.30	2,554.72	-1,748.42
500. AIR METHODS CORP COM NEW					
COM NEW					
4400. FARO TECHNOLOGIES INC COM	04/08/2009	12/23/2009	17,007.61	9,022.64	7,984.97
7000. FLANDERS CORP COM	07/30/2009	12/23/2009	95,217.95	70,462.09	24,755.86
12000. FLOW INTL CORP COM	08/13/2009	12/23/2009	28,578.16	45,470.97	-16,892.81
2750. HUTCHINSON TECH INC COM	11/16/2009	12/23/2009	35,843.07	31,422.56	4,420.51
2900. #REORG/ICO INC CSH & STK	09/10/2009	12/23/2009	26,581.91	20,954.26	5,627.65
800. IMAGE SENSING SYS INC COM	02/12/2009	12/23/2009	21,053.46	7,238.40	13,815.06
2200. KEY TECH INC COM	11/11/2009	12/23/2009	9,705.27	10,346.00	-640.73
3173. MERCURY COMPUTER SYS INC	09/21/2009	12/23/2009	25,140.95	25,294.88	-153.93
5000. MILLER INDS INC TENN COM	02/13/2009	12/23/2009	34,841.50	21,705.49	13,136.01
5000. MOTORCAR PTS & ACCESSORIES	12/11/2009	12/23/2009	56,527.54	55,120.56	1,406.98
INC COM					
3500. NEENAH PAPER INC COM	11/27/2009	12/23/2009	24,944.35	26,337.26	-1,392.91
2500. WINNEBAGO INDUSTRIES INC	10/30/2009	12/23/2009	48,957.78	37,522.36	11,435.42
1300. ZEP INC COM STK	07/02/2009	12/23/2009	31,140.69	19,208.50	11,932.19
1500. FLANDERS CORP COM	10/05/2009	12/23/2009	22,750.45	20,857.12	1,893.33
2500. FLANDERS CORP COM	08/04/2009	12/24/2009	6,239.83	9,715.95	-3,476.12
Totals	08/04/2009	12/24/2009	10,379.48	16,193.25	-5,813.77

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10000. FLOW INTL CORP COM	11/25/2009	12/24/2009	29,801.22	26,031.41	3,769.81
2550. HUTCHINSON TECH INC COM	10/20/2009	12/24/2009	24,816.98	17,716.20	7,100.78
5000. HUTCHINSON TECH INC COM	10/01/2009	12/24/2009	48,476.25	35,986.55	12,489.70
23. IMAGE SENSING SYS INC COM	10/21/2009	12/24/2009	275.53	297.16	-21.63
1400. INTEVAC INC COM	01/13/2009	12/24/2009	16,570.95	6,162.64	10,408.31
2000. KEY TECH INC COM	09/10/2009	12/24/2009	22,387.42	22,741.83	-354.41
5627. MERCURY COMPUTER SYS INC	02/24/2009	12/24/2009	61,759.23	37,361.74	24,397.49
1300. MILLER INDS INC TENN COM	11/24/2009	12/24/2009	14,528.68	14,321.45	207.23
4000. MOTORCAR PTS & ACCESSORIES INC COM					
1000. NEENAH PAPER INC COM	11/19/2009	12/24/2009	19,919.48	21,042.44	-1,122.96
1100. NEENAH PAPER INC COM	10/29/2009	12/24/2009	14,217.63	9,875.95	4,341.68
2400. WINNEBAGO INDUSTRIES INC	10/30/2009	12/24/2009	15,313.58	11,341.84	3,971.74
400. FLANDERS CORP COM	07/08/2009	12/24/2009	30,329.21	18,426.58	11,902.63
2800. FLOW INTL CORP COM	08/04/2009	12/29/2009	1,711.96	2,590.92	-878.96
2600. FLOW INTL CORP COM	11/09/2009	12/29/2009	8,485.18	7,265.28	1,219.90
600. FLANDERS CORP COM	11/25/2009	12/29/2009	7,930.05	6,755.10	1,174.95
500. FLANDERS CORP COM	08/07/2009	12/30/2009	2,615.93	3,880.22	-1,264.29
3500. LYDALL INC COM	08/07/2009	12/30/2009	2,189.94	3,230.95	-1,041.01
2600. LYDALL INC COM	11/24/2009	12/30/2009	18,304.53	19,318.95	-1,014.42
300. INTEVAC INC COM	11/24/2009	12/31/2009	13,612.47	14,344.16	-731.69
1500. INTEVAC INC COM	02/02/2009	01/04/2010	4,303.96	1,291.55	3,012.41
1000. INTEVAC INC COM	02/05/2009	01/04/2010	18,788.67	6,453.19	12,335.48
3600. LYDALL INC COM	02/02/2009	01/04/2010	14,095.93	4,356.68	9,739.25
900. #REORG/QUIXOTE CORP COM	12/10/2009	01/04/2010	19,682.13	19,827.23	-145.10
CASH MERGER EFF 02/05/10					
200. INTEVAC INC COM	01/13/2009	01/08/2010	5,702.79	5,332.71	370.08
100. #REORG/QUIXOTE CORP COM	02/05/2009	01/11/2010	3,044.68	860.40	2,184.28
CASH MERGER EFF 02/05/10					
3900. #REORG/ICO INC CSH & STK	01/13/2009	01/11/2010	632.98	591.60	41.38
1100. #REORG/QUIXOTE CORP COM	02/12/2009	02/08/2010	29,612.71	9,675.00	19,937.71
CASH MERGER EFF 02/05/10					
2400. #REORG/ICO INC CSH & STK	12/30/2009	02/08/2010	7,018.00	2,957.30	4,060.70
3900. #REORG/ICO INC CSH & STK	02/12/2009	02/09/2010	18,191.76	5,908.35	12,283.41
3200. #REORG/ICO INC CSH & STK	02/11/2009	02/09/2010	29,492.59	9,483.30	20,009.29
Totals	02/11/2009	02/10/2010	24,317.13	7,774.40	16,542.73

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
3400. #REORG/ICO INC CSH & STK	04/14/2009	02/11/2010	25,990.97	7,010.69	18,980.28
800. #REORG/ICO INC CSH & STK	04/06/2009	02/12/2010	6,162.24	1,638.92	4,523.32
1300. #REORG/ICO INC CSH & STK	04/01/2009	02/12/2010	9,939.02	2,637.32	7,301.70
1600. #REORG/ICO INC CSH & STK	04/07/2009	02/16/2010	12,308.64	3,225.20	9,083.44
700. #REORG/ICO INC CSH & STK	04/07/2009	02/17/2010	5,451.95	1,410.29	4,041.66
1200. WINNEBAGO INDUSTRIES INC	07/08/2009	04/06/2010	18,401.44	9,138.60	9,262.84
1100. WINNEBAGO INDUSTRIES INC	07/08/2009	04/14/2010	16,749.96	8,377.05	8,372.91
2400. WINNEBAGO INDUSTRIES INC	07/14/2009	04/15/2010	37,109.13	17,949.58	19,159.55
200. WINNEBAGO INDUSTRIES INC	06/29/2009	04/16/2010	3,073.02	1,463.74	1,609.28
100. WINNEBAGO INDUSTRIES INC	06/29/2009	04/19/2010	1,521.97	731.87	790.10
4000. WINNEBAGO INDUSTRIES INC	07/10/2009	04/20/2010	61,678.95	29,070.29	32,608.66
900. WINNEBAGO INDUSTRIES INC	07/08/2009	04/21/2010	14,231.63	6,486.57	7,745.06
900. WINNEBAGO INDUSTRIES INC	07/09/2009	04/21/2010	14,244.23	6,475.77	7,768.46
2300. WINNEBAGO INDUSTRIES INC	07/09/2009	04/21/2010	36,677.47	16,551.59	20,125.88
900. WINNEBAGO INDUSTRIES INC	07/09/2009	04/22/2010	14,256.11	6,453.09	7,803.02
300. LYDALL INC COM	11/05/2009	04/30/2010	2,579.63	1,645.65	933.98
500. LYDALL INC COM	12/03/2009	05/03/2010	4,247.23	2,738.95	1,508.28
400. LYDALL INC COM	12/11/2009	05/12/2010	3,388.66	2,183.13	1,205.53
900. LYDALL INC COM	12/11/2009	05/13/2010	7,654.10	4,903.05	2,751.05
300. MERIT MED SYS INC COM	03/12/2010	05/14/2010	4,729.27	4,223.28	505.99
300. LYDALL INC COM	11/06/2009	05/18/2010	2,626.75	1,632.03	994.72
500. LYDALL INC COM	12/17/2009	06/14/2010	4,255.07	2,718.04	1,537.03
300. LYDALL INC COM	12/17/2009	06/16/2010	2,544.19	1,626.00	918.19
100. LYDALL INC COM	12/17/2009	06/17/2010	849.95	542.00	307.95
100. NEENAH PAPER INC COM	06/23/2010	06/24/2010	1,942.47	2,007.03	-64.56
2600. NEENAH PAPER INC COM	06/23/2010	06/25/2010	50,886.07	44,949.82	5,936.25
100. NEENAH PAPER INC COM	10/28/2009	06/28/2010	1,946.96	973.75	973.21
.44 GLOBAL POWER EQUIPMENT	06/23/2010	06/30/2010	7.03	7.13	-0.10
2500. MERCURY COMPUTER SYS INC	06/23/2010	07/07/2010	29,260.50	29,304.00	-43.50
2500. OMNOVA SOLUTIONS INC COM	06/23/2010	07/07/2010	18,513.44	19,059.00	-545.56
400. MERCURY COMPUTER SYS INC	06/23/2010	07/08/2010	4,799.32	4,684.00	115.32
400. LYDALL INC COM	12/17/2009	07/21/2010	2,927.31	2,168.00	759.31
100. MILLER INDS INC TENN COM	01/20/2010	07/21/2010	1,385.66	1,224.00	161.66
100. NEENAH PAPER INC COM	10/28/2009	07/21/2010	1,857.38	973.75	883.63
900. LYDALL INC COM	12/17/2009	07/22/2010	6,526.95	4,876.08	1,650.87
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
600. MILLER INDS INC TENN COM	01/20/2010	07/22/2010	8,261.86	7,344.00	917.86
2100. LYDALL INC COM	12/09/2009	07/23/2010	15,363.96	11,310.19	4,053.77
800. MILLER INDS INC TENN COM	01/20/2010	07/23/2010	11,027.01	9,767.20	1,259.81
1300. LYDALL INC COM	12/18/2009	07/26/2010	9,511.54	6,827.45	2,684.09
1000. LYDALL INC COM	10/12/2009	07/27/2010	7,350.77	5,086.90	2,263.87
300. MILLER INDS INC TENN COM	01/20/2010	07/27/2010	4,147.90	3,653.40	494.50
2200. HUTCHINSON TECH INC COM	01/20/2010	07/28/2010	8,612.63	21,673.08	-13,060.45
400. LYDALL INC COM	10/12/2009	07/28/2010	2,892.99	2,034.76	858.23
300. MILLER INDS INC TENN COM	01/20/2010	07/28/2010	4,130.93	3,653.40	477.53
100. HUTCHINSON TECH INC COM	10/20/2009	07/29/2010	388.56	693.35	-304.79
100. LYDALL INC COM	10/12/2009	07/29/2010	724.26	508.69	215.57
100. MILLER INDS INC TENN COM	02/17/2010	07/29/2010	1,380.65	1,102.91	277.74
1100. HUTCHINSON TECH INC COM	10/20/2009	07/30/2010	4,380.67	7,492.05	-3,111.38
300. LYDALL INC COM	10/12/2009	07/30/2010	2,116.40	1,526.07	590.33
1500. HUTCHINSON TECH INC COM	10/20/2009	08/02/2010	5,865.35	9,907.82	-4,042.47
1500. HUTCHINSON TECH INC COM	09/08/2009	08/02/2010	5,870.75	9,633.90	-3,763.15
1000. LYDALL INC COM	10/12/2009	08/02/2010	7,443.67	5,086.90	2,356.77
400. HUTCHINSON TECH INC COM	09/08/2009	08/03/2010	1,552.29	2,569.04	-1,016.75
1400. LYDALL INC COM	10/22/2009	08/03/2010	10,557.64	7,097.41	3,460.23
1000. HUTCHINSON TECH INC COM	09/08/2009	08/04/2010	3,882.03	6,422.60	-2,540.57
1400. LYDALL INC COM	11/02/2009	08/04/2010	11,179.65	7,054.40	4,125.25
1000. LYDALL INC COM	11/02/2009	08/05/2010	8,063.36	5,020.20	3,043.16
200. LYDALL INC COM	11/02/2009	08/09/2010	1,606.13	1,004.04	602.09
900. HUTCHINSON TECH INC COM	09/08/2009	08/13/2010	2,885.26	5,780.34	-2,895.08
100. LYDALL INC COM	11/02/2009	08/13/2010	746.65	502.02	244.63
200. MILLER INDS INC TENN COM	02/17/2010	08/13/2010	2,631.71	2,205.82	425.89
700. PERICOM SEMICONDUCTOR CORP COM	06/23/2010	08/13/2010	6,589.26	7,003.64	-414.38
1300. HUTCHINSON TECH INC COM	09/08/2009	08/16/2010	4,157.19	8,349.38	-4,192.19
1200. MILLER INDS INC TENN COM	02/18/2010	08/16/2010	15,636.45	13,234.44	2,402.01
200. MILLER INDS INC TENN COM	02/12/2010	08/16/2010	2,594.39	2,205.56	388.83
2000. PERICOM SEMICONDUCTOR CORP COM	06/23/2010	08/16/2010	18,849.28	20,010.40	-1,161.12
600. PERICOM SEMICONDUCTOR CORP COM	06/23/2010	08/16/2010	5,630.24	6,003.12	-372.88
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
700. HUTCHINSON TECH INC COM	09/08/2009	08/17/2010	2,243.60	4,495.82	-2,252.22
200. LYDALL INC COM	11/02/2009	08/17/2010	1,488.57	1,004.04	484.53
800. MILLER INDS INC TENN COM	02/12/2010	08/17/2010	10,387.74	8,821.75	1,565.99
2100. HUTCHINSON TECH INC COM	09/08/2009	08/18/2010	6,693.00	12,650.30	-5,957.30
300. HUTCHINSON TECH INC COM	08/31/2009	08/19/2010	953.95	1,800.36	-846.41
2900. HUTCHINSON TECH INC COM	09/04/2009	08/27/2010	8,288.92	17,357.64	-9,068.72
1900. HUTCHINSON TECH INC COM	09/04/2009	08/30/2010	5,767.35	10,969.92	-5,202.57
2900. HUTCHINSON TECH INC COM	09/04/2009	08/30/2010	9,379.60	17,059.40	-7,679.80
100. LYDALL INC COM	11/02/2009	09/03/2010	743.12	502.02	241.10
1200. C&D TECHNOLOGIES INC COM	10/23/2009	09/13/2010	421.55	2,583.36	-2,161.81
3000. C&D TECHNOLOGIES INC COM	10/26/2009	09/14/2010	870.58	6,400.16	-5,529.58
3000. C&D TECHNOLOGIES INC COM	10/23/2009	09/14/2010	918.28	6,433.20	-5,514.92
3000. C&D TECHNOLOGIES INC COM	10/28/2009	09/15/2010	574.78	5,966.49	-5,391.71
3000. C&D TECHNOLOGIES INC COM	10/28/2009	09/15/2010	637.48	5,610.03	-4,972.55
3000. C&D TECHNOLOGIES INC COM	10/26/2009	09/15/2010	660.58	6,354.72	-5,694.14
3300. C&D TECHNOLOGIES INC COM	10/27/2009	09/15/2010	618.40	6,830.16	-6,211.76
500. LYDALL INC COM	11/02/2009	09/20/2010	3,714.93	2,509.78	1,205.15
100. LYDALL INC COM	10/06/2009	09/21/2010	742.98	501.86	241.12
400. LYDALL INC COM	10/06/2009	09/24/2010	2,971.94	2,007.44	964.50
500. LYDALL INC COM	10/23/2009	09/28/2010	3,714.93	2,508.12	1,206.81
200. LYDALL INC COM	10/27/2009	09/29/2010	1,485.97	1,002.40	483.57
100. LYDALL INC COM	10/27/2009	09/30/2010	749.28	501.20	248.08
1300. HYPERCOM CORP COM	07/06/2010	10/01/2010	8,366.14	5,930.00	2,436.14
700. LYDALL INC COM	10/30/2009	10/01/2010	5,201.68	3,505.46	1,696.22
500. HYPERCOM CORP COM	07/27/2010	10/04/2010	3,168.49	2,260.00	908.49
2900. HYPERCOM CORP COM	07/27/2010	10/04/2010	18,396.70	13,108.00	5,288.70
300. MOTORCAR PTS & ACCESSORIES INC COM	01/21/2010	10/04/2010	2,802.43	1,671.00	1,131.43
1200. MOTORCAR PTS & ACCESSORIES INC COM	01/21/2010	10/04/2010	11,143.85	6,684.00	4,459.85
1800. HYPERCOM CORP COM	06/08/2010	10/05/2010	11,347.18	8,135.64	3,211.54
1400. LYDALL INC COM	10/26/2009	10/05/2010	10,406.58	6,998.54	3,408.04
700. MOTORCAR PTS & ACCESSORIES INC COM	01/22/2010	10/05/2010	6,685.79	3,899.00	2,786.79
1000. HYPERCOM CORP COM	06/08/2010	10/06/2010	6,280.19	4,519.80	1,760.39
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1500. HYPERCOM CORP COM	06/24/2010	10/06/2010	9,446.98	6,779.70	2,667.28
1100. LYDALL INC COM	10/28/2009	10/06/2010	8,172.86	5,486.76	2,686.10
300. MOTORCAR PTS & ACCESSORIES INC COM	02/17/2010	10/06/2010	2,801.95	1,659.20	1,142.75
200. MOTORCAR PTS & ACCESSORIES INC COM	02/17/2010	10/07/2010	1,853.10	1,087.78	765.32
4100. HYPERCOM CORP COM	07/28/2010	10/08/2010	26,183.38	18,517.92	7,665.46
2900. HYPERCOM CORP COM	07/28/2010	10/08/2010	18,488.63	13,077.79	5,410.84
500. MOTORCAR PTS & ACCESSORIES INC COM	02/17/2010	10/08/2010	4,606.02	2,692.39	1,913.63
900. HYPERCOM CORP COM	07/28/2010	10/11/2010	5,696.90	4,048.92	1,647.98
900. HYPERCOM CORP COM	07/28/2010	10/11/2010	5,661.53	4,050.00	1,611.53
100. MOTORCAR PTS & ACCESSORIES INC COM	02/17/2010	10/11/2010	919.61	526.84	392.77
1200. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/12/2010	11,161.73	6,310.43	4,851.30
900. HYPERCOM CORP COM	06/25/2010	10/13/2010	5,711.93	4,036.13	1,675.80
700. HYPERCOM CORP COM	06/25/2010	10/13/2010	4,468.72	3,141.39	1,327.33
200. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/13/2010	1,846.96	1,049.76	797.20
500. HYPERCOM CORP COM	05/11/2010	10/14/2010	3,193.69	2,241.05	952.64
100. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/14/2010	917.98	524.88	393.10
200. LYDALL INC COM	10/16/2009	10/15/2010	1,568.87	985.82	583.05
500. LYDALL INC COM	10/16/2009	10/15/2010	3,908.33	2,464.55	1,443.78
200. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/18/2010	1,837.20	1,049.76	787.44
100. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/19/2010	917.98	524.88	393.10
800. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/20/2010	7,451.87	4,199.04	3,252.83
500. MOTORCAR PTS & ACCESSORIES INC COM	11/30/2009	10/21/2010	4,736.51	2,622.22	2,114.29
600. MOTORCAR PTS & ACCESSORIES INC COM	10/29/2009	10/25/2010	5,680.40	3,140.58	2,539.82
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
500. PDI INC COM	11/01/2007	11/02/2009	2,540.53	4,964.25	-2,423.72
100. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	10/03/2008	11/02/2009	207.99	764.64	-556.65
100. PDI INC COM	11/01/2007	11/03/2009	507.98	992.85	-484.87
400. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	10/15/2008	11/03/2009	791.98	2,984.70	-2,192.72
200. PDI INC COM	09/24/2007	11/04/2009	1,016.63	1,984.74	-968.11
100. PDI INC COM	09/24/2007	11/05/2009	509.01	992.37	-483.36
3400. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	05/31/2007	11/06/2009	23,902.74	30,580.85	-6,678.11
3500. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	05/18/2007	11/09/2009	24,863.35	31,236.37	-6,373.02
900. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	10/17/2008	11/10/2009	1,781.95	6,259.59	-4,477.64
200. GREAT LAKES DREDGE & DOCK CORP NEW COM COM					
700. PDI INC COM	05/18/2007	11/11/2009	1,398.24	1,766.30	-368.06
1800. PDI INC COM	09/24/2007	11/19/2009	2,790.40	6,946.59	-4,156.19
300. #REORG/QUIXOTE CORP COM	10/30/2007	11/20/2009	7,213.67	17,820.21	-10,606.54
CASH MERGER EFF 02/05/10	11/05/2008	11/20/2009	598.72	2,077.93	-1,479.21
1200. PDI INC COM	11/09/2007	11/23/2009	4,918.43	11,853.89	-6,935.46
1400. PDI INC COM	10/24/2007	11/24/2009	5,749.51	13,797.62	-8,048.11
1800. PDI INC COM	10/31/2007	11/25/2009	7,513.54	17,665.23	-10,151.69
500. PDI INC COM	10/22/2007	11/27/2009	2,054.44	4,895.03	-2,840.59
900. PDI INC COM	11/30/2007	11/30/2009	3,671.99	8,785.71	-5,113.72
1300. ALLIED HEALTHCARE INTL INC COM					
1800. PDI INC COM	07/05/2007	12/01/2009	3,886.24	3,738.28	147.96
1500. ALLIED HEALTHCARE INTL INC COM	11/30/2007	12/01/2009	7,401.58	17,531.91	-10,130.33
1300. PDI INC COM	07/05/2007	12/02/2009	4,480.38	4,304.65	175.73
300. PDI INC COM	12/04/2007	12/02/2009	5,192.71	12,648.16	-7,455.45
Totals	12/04/2007	12/03/2009	1,196.54	2,918.54	-1,722.00

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
200. ALLIED HEALTHCARE INTL INC COM	07/05/2007	12/04/2009	596.16	573.76	22.40
200. AMERICAS CAR-MART INC COM	10/03/2007	12/04/2009	5,046.65	2,297.94	2,748.71
300. PDI INC COM	12/03/2007	12/04/2009	1,212.02	2,918.49	-1,706.47
1200. PERICOM SEMICONDUCTOR CORP COM	09/19/2008	12/04/2009	12,766.59	14,405.76	-1,639.17
600. ALLIED HEALTHCARE INTL INC COM	07/05/2007	12/07/2009	1,789.51	1,720.38	69.13
100. INTEVAC INC COM	12/04/2008	12/07/2009	1,237.72	463.60	774.12
300. KADANT INC	06/23/2008	12/07/2009	4,797.47	7,277.43	-2,479.96
1000. PDI INC COM	12/03/2007	12/07/2009	4,042.59	9,727.18	-5,684.59
1200. PERICOM SEMICONDUCTOR CORP COM	09/19/2008	12/07/2009	12,900.74	14,405.76	-1,505.02
1300. ALLIED HEALTHCARE INTL INC COM	07/05/2007	12/08/2009	3,879.48	3,725.54	153.94
1400. #REORG/ICO INC CSH & STK	12/01/2008	12/08/2009	9,415.45	5,609.94	3,805.51
700. #REORG/ICO INC CSH & STK	11/07/2008	12/08/2009	4,699.18	2,799.09	1,900.09
1700. #REORG/ICO INC CSH & STK	12/01/2008	12/08/2009	11,381.37	6,828.62	4,552.75
500. KADANT INC	06/24/2008	12/08/2009	7,979.79	11,978.18	-3,998.39
1600. PDI INC COM	12/06/2007	12/08/2009	6,471.99	15,552.04	-9,080.05
1700. ALLIED HEALTHCARE INTL INC COM	07/05/2007	12/09/2009	5,071.30	4,871.11	200.19
1700. #REORG/ICO INC CSH & STK	12/02/2008	12/09/2009	11,411.63	6,789.82	4,621.81
1000. #REORG/ICO INC CSH & STK	12/02/2008	12/09/2009	6,724.82	3,998.70	2,726.12
800. PDI INC COM	11/28/2007	12/09/2009	3,186.63	7,757.09	-4,570.46
500. ALLIED HEALTHCARE INTL INC COM	07/03/2007	12/10/2009	1,496.76	1,422.09	74.67
2600. #REORG/ICO INC CSH & STK	12/03/2008	12/10/2009	17,832.68	10,359.78	7,472.90
200. KADANT INC	06/24/2008	12/10/2009	3,193.91	4,696.52	-1,502.61
300. ALLIED HEALTHCARE INTL INC COM	06/29/2007	12/11/2009	894.09	851.76	42.33
1700. #REORG/ICO INC CSH & STK	10/30/2008	12/11/2009	11,631.61	6,767.48	4,864.13
1400. #REORG/ICO INC CSH & STK	11/14/2008	12/14/2009	9,700.06	5,568.83	4,131.23
1700. #REORG/ICO INC CSH & STK	11/14/2008	12/14/2009	11,691.78	6,737.18	4,954.60
600. KADANT INC	03/07/2007	12/14/2009	9,644.15	13,868.28	-4,224.13
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
1300. ALLIED HEALTHCARE INTL INC COM	07/09/2007	12/15/2009	3,888.06	3,685.10	202.96
1700. #REORG/ICO INC CSH & STK	11/12/2008	12/15/2009	12,154.85	6,670.15	5,484.70
1700. #REORG/ICO INC CSH & STK	11/12/2008	12/15/2009	12,101.47	6,598.83	5,502.64
1700. #REORG/ICO INC CSH & STK	11/19/2008	12/15/2009	12,048.09	6,578.33	5,469.76
800. KADANT INC	03/06/2007	12/15/2009	12,845.10	18,180.02	-5,334.92
1100. KADANT INC	02/11/2004	12/15/2009	17,669.83	24,728.00	-7,058.17
500. PDI INC COM	12/05/2007	12/15/2009	1,989.94	4,804.19	-2,814.25
400. ALLIED HEALTHCARE INTL INC COM	07/09/2007	12/16/2009	1,192.00	1,131.08	60.92
500. AMERICAS CAR-MART INC COM	11/08/2007	12/16/2009	12,502.57	5,688.15	6,814.42
500. HUDSON HIGHLAND GROUP INC	10/17/2008	12/16/2009	2,245.54	2,504.56	-259.02
1700. #REORG/ICO INC CSH & STK	11/17/2008	12/16/2009	12,323.49	6,539.64	5,783.85
700. KADANT INC	02/11/2004	12/16/2009	11,205.38	15,736.00	-4,530.62
400. PDI INC COM	12/05/2007	12/16/2009	1,592.03	3,831.64	-2,239.61
300. ALLIED HEALTHCARE INTL INC COM	07/09/2007	12/17/2009	894.00	848.31	45.69
2600. #REORG/ICO INC CSH & STK	11/17/2008	12/17/2009	18,469.66	9,866.62	8,603.04
100. PDI INC COM	11/19/2007	12/17/2009	397.98	949.37	-551.39
600. #REORG/QUIXOTE CORP COM CASH MERGER EFF 02/05/10	11/14/2008	12/17/2009	1,190.25	3,994.92	-2,804.67
600. ALLIED HEALTHCARE INTL INC COM	06/22/2007	12/18/2009	1,788.49	1,691.73	96.76
1200. HUDSON HIGHLAND GROUP INC	10/20/2008	12/18/2009	5,386.30	5,992.58	-606.28
1800. #REORG/ICO INC CSH & STK	11/14/2008	12/18/2009	12,848.06	6,742.08	6,105.98
1800. #REORG/ICO INC CSH & STK	11/18/2008	12/18/2009	12,928.52	6,725.93	6,202.59
700. PDI INC COM	11/20/2007	12/18/2009	2,796.63	6,429.36	-3,632.73
700. PDI INC COM	11/20/2007	12/18/2009	2,958.68	6,577.69	-3,619.01
500. #REORG/QUIXOTE CORP COM CASH MERGER EFF 02/05/10	10/28/2008	12/18/2009	1,007.87	3,140.14	-2,132.27
800. ALLIED HEALTHCARE INTL INC COM	06/20/2007	12/21/2009	2,392.89	2,255.36	137.53
300. HUDSON HIGHLAND GROUP INC	10/20/2008	12/21/2009	1,418.96	1,491.81	-72.85
1700. #REORG/ICO INC CSH & STK	11/13/2008	12/21/2009	12,191.57	6,320.09	5,871.48
1100. PDI INC COM	03/13/2008	12/21/2009	4,410.77	9,550.15	-5,139.38
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
400. ALLIED HEALTHCARE INTL INC COM	06/20/2007	12/22/2009	1,191.96	1,127.68	64.28
900. COMMERCIAL VEH GROUP INC	12/03/2008	12/22/2009	5,922.65	1,056.21	4,866.44
400. HUDSON HIGHLAND GROUP INC	10/20/2008	12/22/2009	1,895.91	1,989.08	-93.17
1600. #REORG/ICO INC CSH & STK	10/27/2008	12/22/2009	11,508.02	5,930.88	5,577.14
1800. #REORG/ICO INC CSH & STK	11/26/2008	12/22/2009	12,951.02	6,267.61	6,683.41
1200. KADANT INC	02/11/2004	12/22/2009	19,165.90	26,976.00	-7,810.10
1000. PDI INC COM	03/31/2008	12/22/2009	3,991.39	8,347.24	-4,355.85
300. ALLIED HEALTHCARE INTL INC COM	05/25/2007	12/23/2009	877.11	843.36	33.75
1000. AMERICAS CAR-MART INC COM	11/09/2007	12/23/2009	24,747.36	11,324.14	13,423.22
2500. ARCTIC CAT INC COM	02/11/2004	12/23/2009	21,083.21	68,525.00	-47,441.79
1188. ASTRO MED INC NEW COM STK	06/08/2007	12/23/2009	8,749.98	13,697.64	-4,947.66
13000. C&D TECHNOLOGIES INC COM	09/15/2006	12/23/2009	18,670.11	97,965.18	-79,295.07
4000. CPI AEROSTRUCTURES INC COM NEW	10/25/2005	12/23/2009	23,919.78	40,119.14	-16,199.36
100. COMMERCIAL VEH GROUP INC	12/04/2008	12/23/2009	658.02	115.81	542.21
5000. COMMERCIAL VEH GROUP INC	12/04/2008	12/23/2009	32,402.16	5,827.24	26,574.92
4000. CORE MOLDING TECHNOLOGIES INC COM STK	11/06/2007	12/23/2009	10,884.92	29,516.23	-18,631.31
10000. CRAWFORD & CO CL A CL A	06/26/2008	12/23/2009	30,414.21	59,773.21	-29,359.00
3000. DYNAMICS RESH CORP COM STK	08/01/2007	12/23/2009	31,286.49	39,088.90	-7,802.41
7000. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	05/18/2007	12/23/2009	45,136.93	59,343.50	-14,206.57
4900. #REORG/ICO INC CSH & STK	11/25/2008	12/23/2009	35,573.08	16,604.35	18,968.73
10000. INTERTAPE POLYMER GROUP INC COM	04/29/2005	12/23/2009	24,819.35	77,920.65	-53,101.30
3000. INTEVAC INC COM	12/08/2008	12/23/2009	34,933.20	13,623.30	21,309.90
1300. KADANT INC	02/11/2004	12/23/2009	20,802.58	29,224.00	-8,421.42
8000. LIONBRIDGE TECHNOLOGIES INC COM	05/23/2007	12/23/2009	17,251.55	49,319.44	-32,067.89
1300. MAC-GRAY CORP COM	02/05/2008	12/23/2009	13,049.19	14,329.09	-1,279.90
7000. MARINE PRODS CORP COM	10/17/2007	12/23/2009	32,453.96	61,043.93	-28,589.97
4500. MERIT MED SYS INC COM	05/17/2007	12/23/2009	86,931.46	55,327.57	31,603.89
2300. MKTG INC COM	08/30/2005	12/23/2009	900.89	7,846.26	-6,945.37
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
7000. OMNOVA SOLUTIONS INC COM	08/29/2008	12/23/2009	45,218.83	19,176.22	26,042.61
3500. PDI INC COM	08/08/2008	12/23/2009	15,312.45	28,128.90	-12,816.45
500. PDI INC COM	03/25/2008	12/23/2009	2,141.34	4,125.28	-1,983.94
1161. PENFORD CORP COM	02/29/2008	12/23/2009	9,496.73	25,190.83	-15,694.10
100. PERCEPTON INC COM	03/05/2008	12/23/2009	307.99	1,177.93	-869.94
6000. PERICOM SEMICONDUCTOR CORP COM	10/01/2008	12/23/2009	68,248.24	71,626.93	-3,378.69
15000. PERMA-FIX ENVIRONMENTAL SVCS INC COM	02/11/2004	12/23/2009	31,649.17	49,200.00	-17,550.83
8000. PLATO LEARNING INC COM STK	02/28/2006	12/23/2009	32,255.16	64,355.99	-32,100.83
5000. RAND LOGISTICS INC	10/13/2008	12/23/2009	15,259.60	25,660.67	-10,401.07
10000. U S CONCRETE INC COM ISIN #US903333L1026	05/12/2004	12/23/2009	8,602.77	60,300.00	-51,697.23
1500. ALLIED HEALTHCARE INTL INC COM	06/25/2007	12/24/2009	4,324.08	4,210.00	114.08
1300. ARCTIC CAT INC COM	02/11/2004	12/24/2009	11,189.98	35,633.00	-24,443.02
1200. ARCTIC CAT INC COM	02/11/2004	12/24/2009	10,080.22	32,892.00	-22,811.78
2000. ASTRO MED INC NEW COM STK	06/11/2007	12/24/2009	14,275.43	23,040.67	-8,765.24
200. C&D TECHNOLOGIES INC COM	09/15/2006	12/24/2009	295.99	1,474.10	-1,178.11
1200. CPI AEROSTRUCTURES INC COM NEW	10/19/2005	12/24/2009	7,176.77	12,032.54	-4,855.77
600. COMMERCIAL VEH GROUP INC	12/04/2008	12/24/2009	3,857.90	694.86	3,163.04
2000. CORE MOLDING TECHNOLOGIES INC COM STK	04/11/2008	12/24/2009	5,439.86	14,213.10	-8,773.24
800. CORE MOLDING TECHNOLOGIES INC COM STK	01/15/2008	12/24/2009	2,223.94	5,708.03	-3,484.09
3000. CRAWFORD & CO CL A CL A	02/11/2004	12/24/2009	9,393.66	16,950.00	-7,556.34
3000. CRAWFORD & CO CL A CL A	05/04/2006	12/24/2009	9,241.86	17,192.26	-7,950.40
2300. DYNAMICS RESH CORP COM STK	07/24/2007	12/24/2009	24,632.37	29,964.57	-5,332.20
4000. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	08/06/2007	12/24/2009	26,163.32	32,212.61	-6,049.29
100. HUDSON HIGHLAND GROUP INC	10/20/2008	12/24/2009	447.98	497.27	-49.29
5000. INTERTAPE POLYMER GROUP INC COM	06/27/2008	12/24/2009	12,399.67	28,062.51	-15,662.84
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
5300. INTERTAPE POLYMER GROUP INC COM	04/27/2005	12/24/2009	13,143.65	40,078.92	-26,935.27
1600. INTEVAC INC COM	12/09/2008	12/24/2009	18,938.23	7,153.44	11,784.79
1900. KADANT INC	10/07/2008	12/24/2009	30,647.54	41,692.24	-11,044.70
2000. LIONBRIDGE TECHNOLOGIES INC COM	05/21/2007	12/24/2009	4,399.88	12,235.39	-7,835.51
6000. LIONBRIDGE TECHNOLOGIES INC COM	05/24/2007	12/24/2009	13,018.46	36,628.08	-23,609.62
1700. MAC-GRAY CORP COM	08/11/2008	12/24/2009	17,346.69	18,578.79	-1,232.10
410. MARINE PRODS CORP COM	08/13/2007	12/24/2009	1,922.77	3,572.95	-1,650.18
2000. MKTG INC COM	09/20/2005	12/24/2009	779.98	6,555.57	-5,775.59
4000. OMNOVA SOLUTIONS INC COM	08/11/2008	12/24/2009	26,114.52	10,105.88	16,008.64
1400. PDI INC COM	08/08/2008	12/24/2009	6,282.05	11,208.44	-4,926.39
5000. PENFORD CORP COM	06/24/2008	12/24/2009	41,135.44	83,168.20	-42,032.76
2000. PERCEPTON INC COM	03/05/2008	12/24/2009	6,259.83	23,403.29	-17,143.46
3000. PERICOM SEMICONDUCTOR CORP COM	10/02/2008	12/24/2009	33,882.62	31,150.81	2,731.81
10000. PERMA-FIX ENVIRONMENTAL SVCS INC COM	02/19/2004	12/24/2009	20,892.45	32,209.60	-11,317.15
5900. PERMA-FIX ENVIRONMENTAL SVCS INC COM	02/13/2004	12/24/2009	12,463.42	18,880.00	-6,416.58
1500. PLATO LEARNING INC COM STK	02/28/2006	12/24/2009	6,164.84	11,817.62	-5,652.78
8200. PLATO LEARNING INC COM STK	12/20/2005	12/24/2009	33,471.53	59,943.17	-26,471.64
3900. RAND LOGISTICS INC	10/09/2008	12/24/2009	12,011.68	19,508.53	-7,496.85
7300. U S CONCRETE INC COM ISIN #US90333L1026	05/12/2004	12/24/2009	6,572.74	44,019.00	-37,446.26
1300. ALLIED HEALTHCARE INTL INC COM	06/25/2007	12/28/2009	3,755.47	3,626.30	129.17
1100. AMERICAS CAR-MART INC COM	11/09/2007	12/28/2009	27,485.10	12,437.11	15,047.99
490. ASTRO MED INC NEW COM STK	06/07/2007	12/28/2009	3,469.11	5,622.91	-2,153.80
200. CPI AEROSTRUCTURES INC COM NEW	10/19/2005	12/28/2009	1,197.97	2,004.42	-806.45
1700. MAC-GRAY CORP COM	06/27/2008	12/28/2009	17,001.94	18,405.08	-1,403.14
500. PDI INC COM	06/13/2008	12/28/2009	2,246.24	3,995.05	-1,748.81
400. PENFORD CORP COM	02/13/2004	12/28/2009	3,261.36	5,884.00	-2,622.64
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
2200. ALLIED HEALTHCARE INTL INC COM	07/11/2007	12/29/2009	6,336.05	6,127.21	208.84
800. AMERICAS CAR-MART INC COM	10/08/2007	12/29/2009	20,004.28	9,041.92	10,962.36
1322. ASTRO MED INC NEW COM STK	05/23/2007	12/29/2009	9,491.72	15,134.66	-5,642.94
400. CPI AEROSTRUCTURES INC COM NEW	01/04/2006	12/29/2009	2,393.94	4,006.99	-1,613.05
100. COMMERCIAL VEH GROUP INC	12/04/2008	12/29/2009	633.11	115.81	517.30
300. CORE MOLDING TECHNOLOGIES INC COM STK	04/14/2008	12/29/2009	867.22	2,124.00	-1,256.78
600. CRAWFORD & CO CL A CL A	02/11/2004	12/29/2009	1,877.95	3,390.00	-1,512.05
800. CRAWFORD & CO CL A CL A	02/11/2004	12/29/2009	2,467.22	4,520.00	-2,052.78
1200. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	05/10/2007	12/29/2009	7,545.29	9,639.36	-2,094.07
200. KADANT INC	10/07/2008	12/29/2009	3,324.69	3,986.12	-661.43
1200. MAC-GRAY CORP COM	12/16/2005	12/29/2009	11,915.69	12,990.72	-1,075.03
2100. MARINE PRODS CORP COM	09/17/2007	12/29/2009	10,352.73	18,283.83	-7,931.10
439. PENFORD CORP COM	02/13/2004	12/29/2009	3,629.61	6,457.69	-2,828.08
400. PENFORD CORP COM	02/13/2004	12/29/2009	3,279.96	5,884.00	-2,604.04
1600. PERCEPTON INC COM	03/10/2008	12/29/2009	5,087.87	18,501.82	-13,413.95
300. RAND LOGISTICS INC	10/09/2008	12/29/2009	938.98	1,490.91	-551.93
1600. ALLIED HEALTHCARE INTL INC COM	07/11/2007	12/30/2009	4,610.76	4,455.83	154.93
500. AMERICAS CAR-MART INC COM	10/05/2007	12/30/2009	12,492.12	5,647.75	6,844.37
600. COMMERCIAL VEH GROUP INC	12/04/2008	12/30/2009	3,884.05	694.86	3,189.19
900. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	05/10/2007	12/30/2009	5,651.85	7,229.52	-1,577.67
100. HUDSON HIGHLAND GROUP INC	10/20/2008	12/30/2009	483.31	497.27	-13.96
600. KADANT INC	10/07/2008	12/30/2009	9,767.20	11,930.31	-2,163.11
1200. MAC-GRAY CORP COM	10/03/2008	12/30/2009	11,927.69	12,693.45	-765.76
1200. PERCEPTON INC COM	03/06/2008	12/30/2009	3,794.30	12,189.48	-8,395.18
3100. PERMA-FIX ENVIRONMENTAL SVCS INC COM	02/13/2004	12/30/2009	6,633.83	9,920.00	-3,286.17
300. PLATO LEARNING INC COM STK	08/11/2005	12/30/2009	1,284.09	2,122.41	-838.32
1100. RAND LOGISTICS INC	10/14/2008	12/30/2009	3,442.91	5,370.60	-1,927.69
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
3600.. ALLIED HEALTHCARE INTL INC COM	07/11/2007	12/31/2009	10,382.49	10,017.57	364.92
300.. AMERICAS CAR-MART INC COM	10/05/2007	12/31/2009	7,588.30	3,388.65	4,199.65
1000.. CRAWFORD & CO CL A CL A	02/11/2004	12/31/2009	3,129.92	5,650.00	-2,520.08
1000.. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	07/26/2007	12/31/2009	6,399.84	8,029.32	-1,629.48
1100.. HUDSON HIGHLAND GROUP INC	10/20/2008	12/31/2009	5,222.77	5,459.27	-236.50
900.. KADANT INC	10/06/2008	12/31/2009	14,830.98	17,389.35	-2,558.37
2900.. PERMA-FIX ENVIRONMENTAL SVCS INC COM	02/13/2004	12/31/2009	6,342.72	9,280.00	-2,937.28
600.. RAND LOGISTICS INC	10/14/2008	12/31/2009	1,878.49	2,870.31	-991.82
7200.. ALLIED HEALTHCARE INTL INC COM	07/13/2007	01/04/2010	21,448.96	19,985.82	1,463.14
100.. AMERICAS CAR-MART INC COM	10/05/2007	01/04/2010	2,617.32	1,129.55	1,487.77
700.. ASTRO MED INC NEW COM STK	05/23/2007	01/04/2010	4,969.87	8,013.39	-3,043.52
3900.. C&D TECHNOLOGIES INC COM	09/15/2006	01/04/2010	6,161.84	28,636.45	-22,474.61
400.. CORE MOLDING TECHNOLOGIES INC COM STK	06/25/2008	01/04/2010	1,134.37	2,832.00	-1,697.63
1000.. CRAWFORD & CO CL A CL A	02/11/2004	01/04/2010	3,206.22	5,650.00	-2,443.78
1100.. HUDSON HIGHLAND GROUP INC	10/15/2008	01/04/2010	5,289.98	5,453.03	-163.05
700.. KADANT INC	10/08/2008	01/04/2010	11,423.71	13,353.17	-1,929.46
1200.. MARINE PRODS CORP COM	09/18/2007	01/04/2010	5,978.73	10,442.22	-4,463.49
900.. PDI INC COM	08/18/2008	01/04/2010	4,302.15	7,056.87	-2,754.72
200.. PLATO LEARNING INC COM STK	08/11/2005	01/04/2010	857.98	1,414.94	-556.96
1900.. #REORG/QUIXOTE CORP COM CASH MERGER EFF 02/05/10	12/29/2008	01/04/2010	11,988.69	11,783.89	204.80
12000.. ALLIED HEALTHCARE INTL INC COM	07/18/2007	01/05/2010	36,507.85	33,143.59	3,364.26
3800.. HUDSON HIGHLAND GROUP INC	10/21/2008	01/05/2010	18,232.30	18,807.77	-575.47
400.. HUDSON HIGHLAND GROUP INC	10/21/2008	01/05/2010	1,931.95	1,942.96	-11.01
300.. PDI INC COM	08/11/2008	01/05/2010	1,422.23	2,343.81	-921.58
1600.. #REORG/QUIXOTE CORP COM CASH MERGER EFF 02/05/10	12/29/2008	01/05/2010	10,096.53	9,855.28	241.25
1000.. ALLIED HEALTHCARE INTL INC COM	07/20/2007	01/06/2010	2,904.92	2,742.90	162.02
Totals					

KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
2300. HUDSON HIGHLAND GROUP INC	10/21/2008	01/06/2010	11,024.99	11,172.02	-147.03
400. PDI INC COM	08/11/2008	01/06/2010	1,916.67	3,125.08	-1,208.41
100. GREAT LAKES DREDGE & DOCK CORP NEW COM COM					
200. PDI INC COM	07/26/2007	01/07/2010	697.98	802.41	-104.43
1600. #REORG/QUIXOTE CORP COM	08/12/2008	01/07/2010	1,009.51	1,556.06	-546.55
CASH MERGER EFF 02/05/10					
800. #REORG/QUIXOTE CORP COM	12/29/2008	01/07/2010	10,099.41	9,830.24	269.17
CASH MERGER EFF 02/05/10					
300. PDI INC COM	12/29/2008	01/07/2010	5,064.02	4,924.42	139.60
600. #REORG/QUIXOTE CORP COM	08/19/2008	01/08/2010	1,495.52	2,316.49	-820.97
CASH MERGER EFF 02/05/10					
600. COMMERCIAL VEH GROUP INC	11/24/2008	01/08/2010	3,801.86	3,645.79	156.07
1500. COMMERCIAL VEH GROUP INC	12/04/2008	01/11/2010	3,674.60	694.86	2,979.74
300. PDI INC COM	12/04/2008	01/11/2010	9,007.26	1,737.15	7,270.11
1300. COMMERCIAL VEH GROUP INC	08/19/2008	01/11/2010	1,584.31	2,303.04	-718.73
100. PDI INC COM	12/04/2008	01/12/2010	7,901.03	1,502.77	6,398.26
1600. COMMERCIAL VEH GROUP INC	08/18/2008	01/12/2010	527.99	762.96	-234.97
600. COMMERCIAL VEH GROUP INC	11/13/2008	01/13/2010	9,588.51	1,849.28	7,739.23
3100. COMMERCIAL VEH GROUP INC	12/02/2008	01/14/2010	3,570.61	689.13	2,881.48
400. PDI INC COM	12/02/2008	01/14/2010	18,336.57	3,572.66	14,763.91
100. PDI INC COM	08/28/2008	01/14/2010	2,044.97	3,040.86	-995.89
900. COMMERCIAL VEH GROUP INC	08/28/2008	01/15/2010	515.24	751.98	-236.74
100. PDI INC COM	12/05/2008	01/19/2010	5,281.94	1,027.04	4,254.90
100. PDI INC COM	08/28/2008	01/21/2010	515.98	751.98	-236.00
100. PDI INC COM	08/28/2008	01/22/2010	524.29	751.98	-227.69
100. COMMERCIAL VEH GROUP INC	08/28/2008	01/26/2010	508.13	751.98	-243.85
200. PDI INC COM	12/05/2008	02/01/2010	503.73	113.76	389.97
100. COMMERCIAL VEH GROUP INC	08/28/2008	02/01/2010	1,001.40	1,503.96	-502.56
100. PDI INC COM	12/05/2008	02/02/2010	498.15	113.76	384.39
100. COMMERCIAL VEH GROUP INC	08/28/2008	02/02/2010	500.08	751.98	-251.90
100. PDI INC COM	12/05/2008	02/03/2010	498.19	113.76	384.43
500. PDI INC COM	08/28/2008	02/03/2010	500.24	751.98	-251.74
600. COMMERCIAL VEH GROUP INC	08/28/2008	02/04/2010	2,489.96	3,759.90	-1,269.94
700. PDI INC COM	12/05/2008	02/05/2010	2,988.44	682.56	2,305.88
Totals	08/28/2008	02/05/2010	3,497.08	5,263.86	-1,766.78

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
2600. COMMERCIAL VEH GROUP INC	12/05/2008	02/08/2010	12,955.89	2,929.86	10,026.03
700. PDI INC COM	09/02/2008	02/08/2010	3,582.34	5,262.67	-1,680.33
14600. #REORG/QUIXOTE CORP COM					
CASH MERGER EFF 02/05/10	01/20/2009	02/08/2010	93,148.00	77,243.32	15,904.68
500. PDI INC COM	09/02/2008	02/09/2010	2,579.96	3,759.05	-1,179.09
1000. PDI INC COM	09/03/2008	02/09/2010	5,137.03	7,513.66	-2,376.63
100. COMMERCIAL VEH GROUP INC	12/01/2008	02/10/2010	502.75	111.90	390.85
1400. HUDSON HIGHLAND GROUP INC	10/21/2008	02/10/2010	6,277.23	6,754.46	-477.23
700. #REORG/ICO INC CSH & STK	02/06/2009	02/10/2010	5,319.37	1,664.32	3,655.05
200. PDI INC COM	09/03/2008	02/10/2010	1,088.90	1,502.14	-413.24
1500. HUDSON HIGHLAND GROUP INC	10/09/2008	02/11/2010	6,734.01	7,217.25	-483.24
500. #REORG/ICO INC CSH & STK	02/06/2009	02/11/2010	3,822.20	1,188.80	2,633.40
100. PDI INC COM	09/03/2008	02/11/2010	523.66	751.07	-227.41
200. COMMERCIAL VEH GROUP INC	12/01/2008	02/12/2010	995.99	223.80	772.19
1500. HUDSON HIGHLAND GROUP INC	10/09/2008	02/12/2010	6,776.46	7,217.25	-440.79
100. PDI INC COM	09/03/2008	02/12/2010	515.10	751.07	-235.97
700. COMMERCIAL VEH GROUP INC	12/01/2008	02/16/2010	3,491.56	779.70	2,711.86
5200. HUDSON HIGHLAND GROUP INC	10/23/2008	02/16/2010	23,620.69	24,623.40	-1,002.71
200. PDI INC COM	09/03/2008	02/16/2010	996.06	1,492.45	-496.39
900. COMMERCIAL VEH GROUP INC	12/01/2008	02/17/2010	4,482.57	1,001.70	3,480.87
3300. HUDSON HIGHLAND GROUP INC	10/24/2008	02/17/2010	14,948.14	14,851.11	97.03
900. COMMERCIAL VEH GROUP INC	12/01/2008	02/18/2010	4,922.67	1,001.70	3,920.97
1934. HUDSON HIGHLAND GROUP INC	10/10/2008	02/18/2010	8,761.29	8,573.04	188.25
3400. COMMERCIAL VEH GROUP INC	12/01/2008	02/19/2010	18,645.36	3,715.82	14,929.54
400. COMMERCIAL VEH GROUP INC	11/20/2008	02/22/2010	2,094.41	434.24	1,660.17
100. COMMERCIAL VEH GROUP INC	11/20/2008	02/23/2010	512.99	108.56	404.43
100. COMMERCIAL VEH GROUP INC	11/20/2008	02/24/2010	503.55	108.56	394.99
700. COMMERCIAL VEH GROUP INC	11/20/2008	02/25/2010	3,503.11	759.92	2,743.19
4500. U S CONCRETE INC COM ISIN #US903333L1026	06/24/2008	02/25/2010	2,575.76	26,049.45	-23,473.69
800. COMMERCIAL VEH GROUP INC	11/20/2008	02/26/2010	3,986.67	868.44	3,118.23
4700. U S CONCRETE INC COM ISIN #US903333L1026	08/08/2008	02/26/2010	2,643.24	23,094.62	-20,451.38
900. COMMERCIAL VEH GROUP INC	11/21/2008	03/01/2010	4,724.40	948.99	3,775.41
1500. COMMERCIAL VEH GROUP INC	11/20/2008	03/01/2010	7,632.35	1,617.70	6,014.65
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
3400. U S CONCRETE INC COM ISIN #US903333L1026	08/08/2008	03/01/2010	1,947.83	13,626.58	-11,678.75
300. COMMERCIAL VEH GROUP INC	11/21/2008	03/02/2010	1,558.87	313.23	1,245.64
3200. U S CONCRETE INC COM ISIN #US903333L1026	12/26/2007	03/02/2010	1,831.97	11,017.84	-9,185.87
200. COMMERCIAL VEH GROUP INC	11/21/2008	03/03/2010	1,016.77	208.82	807.95
1200. U S CONCRETE INC COM ISIN #US903333L1026	12/28/2007	03/03/2010	672.23	4,113.51	-3,441.28
500. COMMERCIAL VEH GROUP INC	11/21/2008	03/04/2010	2,541.77	522.05	2,019.72
2200. U S CONCRETE INC COM ISIN #US903333L1026	12/31/2007	03/04/2010	1,237.70	7,481.09	-6,243.39
1500. COMMERCIAL VEH GROUP INC	11/24/2008	03/05/2010	7,865.00	1,561.87	6,303.13
4300. U S CONCRETE INC COM ISIN #US903333L1026	12/31/2007	03/05/2010	2,407.96	14,605.96	-12,198.00
1200. COMMERCIAL VEH GROUP INC	11/24/2008	03/08/2010	6,397.24	1,240.08	5,157.16
200. MARINE PRODS CORP COM	09/18/2007	03/08/2010	1,498.52	1,740.30	-241.78
4800. U S CONCRETE INC COM ISIN #US903333L1026	12/28/2007	03/08/2010	2,970.20	16,233.00	-13,262.80
4800. U S CONCRETE INC COM ISIN #US903333L1026	01/02/2008	03/08/2010	3,037.40	16,043.55	-13,006.15
1000. COMMERCIAL VEH GROUP INC	11/24/2008	03/09/2010	5,182.63	1,033.40	4,149.23
200. MARINE PRODS CORP COM	09/18/2007	03/09/2010	1,503.02	1,738.98	-235.96
4900. U S CONCRETE INC COM ISIN #US903333L1026	01/02/2008	03/09/2010	2,843.92	16,219.51	-13,375.59
4900. U S CONCRETE INC COM ISIN #US903333L1026	01/03/2008	03/09/2010	2,755.23	15,983.07	-13,227.84
1900. COMMERCIAL VEH GROUP INC	11/26/2008	03/10/2010	10,457.28	1,835.89	8,621.39
1600. COMMERCIAL VEH GROUP INC	11/24/2008	03/10/2010	8,424.21	1,624.94	6,799.27
300. MARINE PRODS CORP COM	10/15/2007	03/10/2010	2,249.22	2,602.09	-352.87
2400. U S CONCRETE INC COM ISIN #US903333L1026	01/07/2008	03/10/2010	954.46	6,991.68	-6,037.22
4800. U S CONCRETE INC COM ISIN #US903333L1026	01/04/2008	03/10/2010	2,026.53	14,254.41	-12,227.88
1400. COMMERCIAL VEH GROUP INC	11/25/2008	03/11/2010	7,838.22	1,347.78	6,490.44
1500. COMMERCIAL VEH GROUP INC	11/25/2008	03/11/2010	8,342.14	1,423.81	6,918.33
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
200. MARINE PRODS CORP COM	10/15/2007	03/11/2010	1,496.98	1,731.86	-234.88
100. MARINE PRODS CORP COM	10/15/2007	03/15/2010	756.35	865.93	-109.58
600. INTERTAPE POLYMER GROUP					
INC COM	06/12/2008	03/30/2010	1,979.96	1,737.22	242.74
800. INTERTAPE POLYMER GROUP					
INC COM	06/10/2008	03/31/2010	2,623.95	2,309.24	314.71
300. INTERTAPE POLYMER GROUP					
INC COM	05/20/2008	04/05/2010	968.98	863.68	105.30
900. INTERTAPE POLYMER GROUP					
INC COM	02/14/2008	04/06/2010	2,910.73	2,590.20	320.53
100. INTERTAPE POLYMER GROUP					
INC COM	02/14/2008	04/07/2010	322.99	287.80	35.19
100. INTERTAPE POLYMER GROUP					
INC COM	02/14/2008	04/08/2010	322.99	287.80	35.19
100. INTERTAPE POLYMER GROUP					
INC COM	02/14/2008	04/09/2010	322.99	287.80	35.19
1000. INTERTAPE POLYMER GROUP					
INC COM	05/23/2008	04/15/2010	3,161.94	2,872.96	288.98
300. INTERTAPE POLYMER GROUP					
INC COM	05/22/2008	04/23/2010	938.98	860.52	78.46
800. INTERTAPE POLYMER GROUP					
INC COM	05/22/2008	04/26/2010	2,503.95	2,293.67	210.28
100. INTERTAPE POLYMER GROUP					
INC COM	02/15/2008	04/27/2010	319.26	286.69	32.57
1000. MERIT MED SYS INC COM	05/03/2007	05/14/2010	15,799.73	12,117.40	3,682.33
800. MERIT MED SYS INC COM	05/17/2007	05/14/2010	12,671.38	9,803.52	2,867.86
2000. MERIT MED SYS INC COM	05/17/2007	05/14/2010	31,976.45	24,248.50	7,727.95
1000. MERIT MED SYS INC COM	05/03/2007	05/14/2010	15,784.33	12,117.40	3,666.93
500. MERIT MED SYS INC COM	05/03/2007	05/14/2010	7,889.86	6,058.70	1,831.16
1000. MERIT MED SYS INC COM	05/03/2007	05/14/2010	15,894.73	12,117.40	3,777.33
700. MERIT MED SYS INC COM	05/17/2007	05/14/2010	11,034.96	8,578.08	2,456.88
2100. MERIT MED SYS INC COM	06/06/2007	05/17/2010	33,185.94	24,904.54	8,281.40
3800. MERIT MED SYS INC COM	06/07/2007	05/17/2010	60,152.98	44,473.50	15,679.48
100. MERIT MED SYS INC COM	06/05/2007	05/18/2010	1,579.66	1,169.55	410.11
1500. PLATO LEARNING INC COM STK	08/12/2005	05/18/2010	8,354.85	10,606.02	-2,251.17
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
500. PLATO LEARNING INC COM STK	08/12/2005	05/19/2010	2,780.15	3,534.00	-753.85
100. PLATO LEARNING INC COM STK	08/12/2005	05/20/2010	555.99	706.80	-150.81
200. PLATO LEARNING INC COM STK	08/12/2005	05/21/2010	1,112.00	1,413.60	-301.60
100. PLATO LEARNING INC COM STK	08/12/2005	05/24/2010	554.99	706.80	-151.81
1300. PLATO LEARNING INC COM STK	10/16/2006	05/25/2010	7,228.00	8,852.19	-1,624.19
1300. PLATO LEARNING INC COM STK	09/02/2005	05/25/2010	7,227.87	9,141.11	-1,913.24
2600. PLATO LEARNING INC COM STK	08/15/2005	05/25/2010	14,507.75	18,356.78	-3,849.03
1300. PLATO LEARNING INC COM STK	08/16/2005	05/25/2010	7,240.87	9,185.28	-1,944.41
1300. PLATO LEARNING INC COM STK	09/18/2006	05/25/2010	7,227.87	9,115.31	-1,887.44
39896. PLATO LEARNING INC COM STK	09/22/2008	05/27/2010	223,417.60	197,266.43	26,151.17
2504. PLATO LEARNING INC COM STK	09/22/2008	05/27/2010	14,022.40	6,362.85	7,659.55
300. C&D TECHNOLOGIES INC COM	08/09/2006	06/17/2010	312.02	2,200.53	-1,888.51
1000. C&D TECHNOLOGIES INC COM	09/18/2006	06/18/2010	1,003.28	7,331.40	-6,328.12
600. C&D TECHNOLOGIES INC COM	09/18/2006	06/21/2010	640.60	4,398.84	-3,758.24
100. C&D TECHNOLOGIES INC COM	09/18/2006	06/22/2010	98.99	733.14	-634.15
1700. C&D TECHNOLOGIES INC COM	09/19/2006	06/23/2010	1,680.08	12,416.76	-10,736.68
100. C&D TECHNOLOGIES INC COM	09/19/2006	06/24/2010	96.08	727.96	-631.88
900. C&D TECHNOLOGIES INC COM	09/20/2006	06/28/2010	877.84	6,525.06	-5,647.22
700. C&D TECHNOLOGIES INC COM	09/27/2006	07/07/2010	664.98	5,063.65	-4,398.67
3300. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	07/26/2007	07/07/2010	20,556.01	26,477.85	-5,921.84
1400. OMNOVA SOLUTIONS INC COM	08/08/2008	07/07/2010	10,367.52	3,514.00	6,853.52
1300. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	07/27/2007	07/08/2010	8,100.16	10,405.94	-2,305.78
900. MERCURY COMPUTER SYS INC	02/24/2009	07/08/2010	10,798.46	5,858.46	4,940.00
500. OMNOVA SOLUTIONS INC COM	08/08/2008	07/08/2010	3,833.98	1,255.00	2,578.98
600. OMNOVA SOLUTIONS INC COM	08/08/2008	07/08/2010	4,535.44	1,506.00	3,029.44
2300. GREAT LAKES DREDGE & DOCK CORP NEW COM COM	08/01/2007	07/09/2010	13,998.94	17,648.06	-3,649.12
1000. MERCURY COMPUTER SYS INC	02/26/2009	07/09/2010	11,830.09	6,454.77	5,375.32
1500. OMNOVA SOLUTIONS INC COM	08/08/2008	07/09/2010	11,792.65	3,765.00	8,027.65
1000. OMNOVA SOLUTIONS INC COM	08/08/2008	07/12/2010	7,948.96	2,510.00	5,438.96
100. MERCURY COMPUTER SYS INC	02/18/2009	07/13/2010	1,201.70	641.17	560.53
800. MERCURY COMPUTER SYS INC	02/19/2009	07/13/2010	9,495.11	5,127.20	4,367.91
100. OMNOVA SOLUTIONS INC COM	08/08/2008	07/13/2010	811.01	251.00	560.01
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
1200. OMNOVA SOLUTIONS INC COM	08/08/2008	07/13/2010	9,576.91	3,012.00	6,564.91
400. OMNOVA SOLUTIONS INC COM	08/08/2008	07/13/2010	3,275.02	1,004.00	2,271.02
600. C&D TECHNOLOGIES INC COM	09/27/2006	07/21/2010	532.25	4,338.00	-3,805.75
1500. NEENAH PAPER INC COM	05/28/2009	07/22/2010	27,904.92	9,546.43	18,358.49
1900. C&D TECHNOLOGIES INC COM	09/27/2006	07/23/2010	1,634.16	13,734.54	-12,100.38
2200. HUDSON HIGHLAND GROUP INC	10/10/2008	07/23/2010	9,911.71	9,752.16	159.55
2200. NEENAH PAPER INC COM	03/06/2009	07/23/2010	40,933.60	11,018.46	29,915.14
1000. C&D TECHNOLOGIES INC COM	09/26/2006	07/26/2010	836.78	7,199.42	-6,362.64
900. NEENAH PAPER INC COM	03/06/2009	07/26/2010	17,354.49	4,497.85	12,856.64
300. C&D TECHNOLOGIES INC COM	08/01/2006	07/27/2010	247.10	2,156.49	-1,909.39
600. NEENAH PAPER INC COM	03/05/2009	07/27/2010	11,574.94	2,997.90	8,577.04
600. C&D TECHNOLOGIES INC COM	08/11/2006	07/28/2010	500.75	4,311.15	-3,810.40
400. NEENAH PAPER INC COM	03/05/2009	07/28/2010	7,392.27	1,998.60	5,393.67
400. C&D TECHNOLOGIES INC COM	08/11/2006	07/29/2010	334.83	2,872.88	-2,538.05
200. NEENAH PAPER INC COM	03/05/2009	07/29/2010	3,693.93	999.30	2,694.63
800. C&D TECHNOLOGIES INC COM	09/25/2006	07/30/2010	664.70	5,732.32	-5,067.62
800. NEENAH PAPER INC COM	03/05/2009	07/30/2010	14,400.39	3,994.02	10,406.37
1200. C&D TECHNOLOGIES INC COM	09/25/2006	08/02/2010	1,001.38	8,575.98	-7,574.60
900. NEENAH PAPER INC COM	03/27/2009	08/02/2010	16,227.53	4,375.27	11,852.26
300. C&D TECHNOLOGIES INC COM	09/21/2006	08/03/2010	249.77	2,143.80	-1,894.03
500. NEENAH PAPER INC COM	03/27/2009	08/03/2010	8,987.64	1,957.50	7,030.14
600. C&D TECHNOLOGIES INC COM	09/22/2006	08/06/2010	445.01	4,276.98	-3,831.97
1200. C&D TECHNOLOGIES INC COM	12/05/2006	08/09/2010	885.82	4,756.20	-3,870.38
1500. C&D TECHNOLOGIES INC COM	12/05/2006	08/09/2010	1,141.48	8,477.09	-7,335.61
100. NEENAH PAPER INC COM	03/27/2009	08/09/2010	1,799.16	391.50	1,407.66
3000. C&D TECHNOLOGIES INC COM	12/05/2006	08/10/2010	2,129.36	11,890.50	-9,761.14
800. NEENAH PAPER INC COM	03/27/2009	08/10/2010	14,380.55	3,132.00	11,248.55
1200. C&D TECHNOLOGIES INC COM	12/05/2006	08/11/2010	754.42	4,755.22	-4,000.80
3000. C&D TECHNOLOGIES INC COM	12/04/2006	08/12/2010	1,853.66	11,861.10	-10,007.44
900. C&D TECHNOLOGIES INC COM	12/04/2006	08/12/2010	546.56	3,558.33	-3,011.77
200. ALLIED HEALTHCARE INTL INC COM	07/20/2007	08/13/2010	438.15	548.06	-109.91
1600. C&D TECHNOLOGIES INC COM	12/04/2006	08/13/2010	922.22	6,325.92	-5,403.70
100. CPI AEROSTRUCTURES INC COM NEW	09/23/2005	08/13/2010	999.46	1,001.47	-2.01
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
300. NEENAH PAPER INC COM	03/30/2009	08/13/2010	4,869.96	1,147.29	3,722.67
200. PERICOM SEMICONDUCTOR CORP COM	10/02/2008	08/13/2010	1,882.65	2,049.54	-166.89
700. ALLIED HEALTHCARE INTL INC COM	07/20/2007	08/16/2010	1,511.69	1,918.21	-406.52
1400. ALLIED HEALTHCARE INTL INC COM	07/24/2007	08/16/2010	3,028.84	3,832.66	-803.82
1600. C&D TECHNOLOGIES INC COM	12/04/2006	08/16/2010	904.30	6,314.22	-5,409.92
600. NEENAH PAPER INC COM	03/31/2009	08/16/2010	9,667.03	2,294.57	7,372.46
700. PERICOM SEMICONDUCTOR CORP COM	09/21/2006	08/16/2010	6,568.62	6,709.92	-141.30
1200. ALLIED HEALTHCARE INTL INC COM	07/25/2007	08/17/2010	2,626.15	3,274.56	-648.41
4600. ALLIED HEALTHCARE INTL INC COM	07/25/2007	08/17/2010	10,008.50	12,569.78	-2,561.28
3400. C&D TECHNOLOGIES INC COM	12/04/2006	08/17/2010	2,013.10	13,416.06	-11,402.96
600. NEENAH PAPER INC COM	03/31/2009	08/17/2010	9,616.81	2,294.52	7,322.29
2300. ALLIED HEALTHCARE INTL INC COM	07/27/2007	08/18/2010	5,021.50	6,243.42	-1,221.92
400. C&D TECHNOLOGIES INC COM	12/04/2006	08/18/2010	231.55	1,578.36	-1,346.81
800. C&D TECHNOLOGIES INC COM	12/04/2006	08/19/2010	449.11	3,145.52	-2,696.41
400. C&D TECHNOLOGIES INC COM	11/29/2006	08/20/2010	221.83	1,566.60	-1,344.77
600. C&D TECHNOLOGIES INC COM	11/29/2006	08/20/2010	330.29	2,349.90	-2,019.61
1000. C&D TECHNOLOGIES INC COM	11/29/2006	08/23/2010	573.69	3,916.50	-3,342.81
1500. C&D TECHNOLOGIES INC COM	11/29/2006	08/24/2010	816.73	5,874.75	-5,058.02
1700. C&D TECHNOLOGIES INC COM	11/29/2006	08/25/2010	948.92	6,624.60	-5,675.68
900. C&D TECHNOLOGIES INC COM	11/27/2006	08/26/2010	531.17	3,504.78	-2,973.61
1000. C&D TECHNOLOGIES INC COM	11/27/2006	08/27/2010	573.79	3,894.20	-3,320.41
200. C&D TECHNOLOGIES INC COM	11/27/2006	08/30/2010	118.05	778.84	-660.79
900. CPI AEROSTRUCTURES INC COM NEW	09/23/2005	08/30/2010	9,149.78	9,013.23	136.55
1000. HUTCHINSON TECH INC COM	08/27/2009	08/30/2010	3,035.45	5,663.35	-2,627.90
1500. HUTCHINSON TECH INC COM	08/26/2009	08/30/2010	5,198.16	8,466.90	-3,268.74
2900. HUTCHINSON TECH INC COM	08/26/2009	08/30/2010	10,124.59	15,630.57	-5,505.98
400. C&D TECHNOLOGIES INC COM	11/27/2006	08/31/2010	224.31	1,557.68	-1,333.37
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
3000. HUTCHINSON TECH INC COM	08/21/2009	08/31/2010	9,231.44	15,897.36	-6,665.92
400. HUTCHINSON TECH INC COM	08/20/2009	08/31/2010	1,188.77	2,094.08	-905.31
400. C&D TECHNOLOGIES INC COM	11/27/2006	09/01/2010	227.43	1,557.68	-1,330.25
3000. C&D TECHNOLOGIES INC COM	11/28/2006	09/01/2010	1,712.37	11,655.40	-9,943.03
100. CPI AEROSTRUCTURES INC COM NEW	07/19/2005	09/01/2010	1,017.81	1,001.44	16.37
1200. HUTCHINSON TECH INC COM	08/20/2009	09/01/2010	3,477.30	6,282.24	-2,804.94
1300. HUTCHINSON TECH INC COM	08/20/2009	09/01/2010	3,706.10	6,805.76	-3,099.66
100. INTERTAPE POLYMER GROUP INC COM	02/15/2008	09/02/2010	167.99	286.69	-118.70
200. INTERTAPE POLYMER GROUP INC COM	02/15/2008	09/03/2010	335.99	573.38	-237.39
1200. C&D TECHNOLOGIES INC COM	11/28/2006	09/07/2010	636.94	4,653.84	-4,016.90
100. INTERTAPE POLYMER GROUP INC COM	02/15/2008	09/07/2010	168.99	286.69	-117.70
2700. C&D TECHNOLOGIES INC COM	11/28/2006	09/08/2010	1,118.05	10,471.14	-9,353.09
800. INTERTAPE POLYMER GROUP INC COM	05/21/2008	09/08/2010	1,351.97	2,292.75	-940.78
400. C&D TECHNOLOGIES INC COM	12/01/2006	09/09/2010	154.51	1,550.17	-1,395.66
300. MERCURY COMPUTER SYS INC	02/19/2009	09/09/2010	3,850.37	1,920.27	1,930.10
1500. C&D TECHNOLOGIES INC COM	12/01/2006	09/10/2010	562.49	5,811.45	-5,248.96
1500. C&D TECHNOLOGIES INC COM	11/30/2006	09/10/2010	544.94	5,809.50	-5,264.56
1200. C&D TECHNOLOGIES INC COM	11/30/2006	09/13/2010	428.87	4,647.60	-4,218.73
1800. C&D TECHNOLOGIES INC COM	11/30/2006	09/13/2010	632.33	6,971.40	-6,339.07
200. MERCURY COMPUTER SYS INC	02/19/2009	09/13/2010	2,558.79	1,280.18	1,278.61
500. MERCURY COMPUTER SYS INC	02/25/2009	09/15/2010	6,450.64	3,153.46	3,297.18
500. MERCURY COMPUTER SYS INC	02/25/2009	09/16/2010	6,408.99	3,127.90	3,281.09
200. INTERTAPE POLYMER GROUP INC COM	01/18/2008	09/20/2010	337.99	572.64	-234.65
100. INTERTAPE POLYMER GROUP INC COM	01/18/2008	09/21/2010	167.99	286.32	-118.33
300. INTERTAPE POLYMER GROUP INC COM	01/18/2008	10/01/2010	456.59	858.96	-402.37
100. INTERTAPE POLYMER GROUP INC COM	01/18/2008	10/04/2010	148.99	286.32	-137.33
Totals					

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
200. MERCURY COMPUTER SYS INC	02/25/2009	10/04/2010	2,555.61	1,251.16	1,304.45
1200. MERCURY COMPUTER SYS INC	03/02/2009	10/05/2010	15,484.17	7,383.09	8,101.08
1100. MERCURY COMPUTER SYS INC	03/02/2009	10/05/2010	14,391.60	6,626.02	7,765.58
700. LYDALL INC COM	10/02/2009	10/06/2010	5,200.91	3,471.58	1,729.33
500. MERCURY COMPUTER SYS INC	01/30/2009	10/06/2010	6,644.68	3,000.60	3,644.08
600. INTERTAPE POLYMER GROUP					
INC COM					
400. LYDALL INC COM	01/18/2008	10/07/2010	808.96	1,717.92	-908.96
900. INTERTAPE POLYMER GROUP	10/02/2009	10/07/2010	2,973.14	1,983.76	989.38
INC COM					
800. LYDALL INC COM	06/09/2008	10/08/2010	1,097.17	2,544.84	-1,447.67
300. LYDALL INC COM	10/02/2009	10/08/2010	5,943.97	3,967.52	1,976.45
1200. NEENAH PAPER INC COM	10/02/2009	10/11/2010	2,228.96	1,487.82	741.14
1600. INTERTAPE POLYMER GROUP	03/31/2009	10/11/2010	19,169.55	4,589.04	14,580.51
INC COM					
1000. INTERTAPE POLYMER GROUP	06/06/2008	10/12/2010	1,747.01	4,505.97	-2,758.96
INC COM					
100. LYDALL INC COM	06/05/2008	10/13/2010	1,110.88	2,797.48	-1,686.60
800. LYDALL INC COM	10/02/2009	10/13/2010	752.26	495.94	256.32
800. NEENAH PAPER INC COM	10/02/2009	10/13/2010	5,999.33	3,967.52	2,031.81
500. INTERTAPE POLYMER GROUP	03/31/2009	10/13/2010	13,112.41	3,059.36	10,053.05
INC COM					
1100. LYDALL INC COM	06/04/2008	10/14/2010	565.74	1,396.60	-830.86
200. NEENAH PAPER INC COM	10/13/2009	10/14/2010	8,296.05	5,440.14	2,855.91
500. INTERTAPE POLYMER GROUP	03/31/2009	10/14/2010	3,240.02	764.84	2,475.18
INC COM					
600. LYDALL INC COM	06/04/2008	10/15/2010	554.99	1,396.32	-841.33
300. NEENAH PAPER INC COM	10/13/2009	10/15/2010	4,706.62	2,964.24	1,742.38
400. NEENAH PAPER INC COM	03/31/2009	10/15/2010	4,793.79	1,146.36	3,647.43
800. LYDALL INC COM	03/30/2009	10/15/2010	6,392.73	1,527.88	4,864.85
900. NEENAH PAPER INC COM	10/16/2009	10/18/2010	6,332.85	3,937.79	2,395.06
600. INTERTAPE POLYMER GROUP	04/01/2009	10/18/2010	14,609.00	3,242.36	11,366.64
INC COM					
800. LYDALL INC COM	02/19/2008	10/19/2010	573.59	1,675.50	-1,101.91
200. NEENAH PAPER INC COM	10/05/2009	10/19/2010	6,252.69	3,928.64	2,324.05
Totals	04/01/2009	10/19/2010	3,248.22	708.12	2,540.10

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KELVIN AND ELEANOR SMITH FOUNDATION MICV -
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
1300. INTERTAPE POLYMER GROUP					
INC COM	05/16/2008	10/20/2010	1,389.02	3,616.70	-2,227.68
400. LYDALL INC COM	10/07/2009	10/20/2010	3,058.50	1,961.92	1,096.58
400. NEENAH PAPER INC COM	04/01/2009	10/20/2010	6,496.92	1,416.24	5,080.68
100. NEENAH PAPER INC COM	04/01/2009	10/20/2010	1,628.48	354.06	1,274.42
400. INTERTAPE POLYMER GROUP					
INC COM	01/29/2008	10/21/2010	420.79	1,106.50	-685.71
300. LYDALL INC COM	10/07/2009	10/21/2010	2,274.38	1,471.44	802.94
100. NEENAH PAPER INC COM	04/01/2009	10/21/2010	1,624.07	354.06	1,270.01
200. INTERTAPE POLYMER GROUP					
INC COM	01/29/2008	10/22/2010	207.99	553.22	-345.23
100. LYDALL INC COM	10/07/2009	10/22/2010	758.42	490.48	267.94
400. MERCURY COMPUTER SYS INC	01/30/2009	10/22/2010	5,614.74	2,400.48	3,214.26
300. INTERTAPE POLYMER GROUP					
INC COM	01/29/2008	10/25/2010	313.43	829.83	-516.40
100. LYDALL INC COM	10/07/2009	10/25/2010	761.49	490.48	271.01
1200. MERCURY COMPUTER SYS INC	03/03/2009	10/25/2010	17,223.66	6,989.09	10,234.57
200. NEENAH PAPER INC COM	04/01/2009	10/25/2010	3,246.14	708.12	2,538.02
900. MERCURY COMPUTER SYS INC	01/23/2009	10/26/2010	12,769.88	4,863.05	7,906.83
900. MERCURY COMPUTER SYS INC	01/21/2009	10/26/2010	12,850.70	4,796.70	8,054.00
90. MOTORCAR PTS & ACCESSORIES					
INC COM	09/09/2009	10/26/2010	860.43	469.73	390.70
800. INTERTAPE POLYMER GROUP					
INC COM	05/15/2008	10/27/2010	799.98	2,212.24	-1,412.26
200. MERCURY COMPUTER SYS INC	01/20/2009	10/27/2010	3,238.68	1,059.00	2,179.68
300. INTERTAPE POLYMER GROUP					
INC COM	08/14/2008	10/28/2010	302.99	825.10	-522.11
600. MERCURY COMPUTER SYS INC	01/20/2009	10/28/2010	9,615.79	3,177.00	6,438.79
100. INTERTAPE POLYMER GROUP					
INC COM	08/14/2008	10/29/2010	101.99	272.04	-170.05
600. MERCURY COMPUTER SYS INC	01/20/2009	10/29/2010	9,669.61	3,177.00	6,492.61
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			3846811.00	4531483.00	-684,673.00
Totals			3846811.00	4531483.00	-684,673.00

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KELVIN AND ELEANOR SMITH FOUNDATION

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
16112. #REORG/DIAMONDS TR UNIT					
N/C SPDR DOW JONES INDL AV	03/30/2004	12/29/2009	1700099.37	1671445.99	28,653.38
30753. MFC ISHARES TR S&P 100					
INDEX FD	04/01/2004	12/29/2009	1600037.46	1702979.19	-102,941.73
200.75 SL- CORE USA SUB FD	09/22/2008	04/26/2010	3,361.45	2,007.50	1,353.95
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			3303498.00	3376433.00	-72,934.00
Totals			3303498.00	3376433.00	-72,934.00

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FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 18LONG-TERM CAPITAL GAIN DIVIDENDS

28% RATE CAPITAL GAIN DIVIDENDS

UNRECAPTURED SECTION 1250 GAIN

68.

TOTAL 28% RATE CAPITAL GAIN DIVIDENDS

68.

15% RATE CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAINS DIVIDENDS

24,344.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

24,344.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

24,412.

Sales of Business Property
(Also Involuntary Conversions and Recapture Amounts
Under Sections 179 and 280F(b)(2))

▶ Attach to your tax return. ▶ See separate instructions.

OMB No 1545-0184

2009

Attachment
Sequence No **27**

Name(s) shown on return

Identifying number

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

1 Enter the gross proceeds from sales or exchanges reported to you for 2009 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20 (see instructions)

1

Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft - Most Property Held More Than 1 Year (see instructions)

2	(a) Description of property	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
3	Gain, if any, from Form 4684, line 43						3
4	Section 1231 gain from installment sales from Form 6252, line 26 or 37						4
5	Section 1231 gain or (loss) from like-kind exchanges from Form 8824						5
6	Gain, if any, from line 32, from other than casualty or theft						6
7	Combine lines 2 through 6 Enter the gain or (loss) here and on the appropriate line as follows						7
Partnerships (except electing large partnerships) and S corporations. Report the gain or (loss) following the instructions for Form 1065, Schedule K, line 10, or Form 1120S, Schedule K, line 9 Skip lines 8, 9, 11, and 12 below							
Individuals, partners, S corporation shareholders, and all others. If line 7 is zero or a loss, enter the amount from line 7 on line 11 below and skip lines 8 and 9 If line 7 is a gain and you did not have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain from line 7 as a long-term capital gain on the Schedule D filed with your return and skip lines 8, 9, 11, and 12 below							
8	Nonrecaptured net section 1231 losses from prior years (see instructions)						8
9	Subtract line 8 from line 7 If zero or less, enter -0- If line 9 is zero, enter the gain from line 7 on line 12 below If line 9 is more than zero, enter the amount from line 8 on line 12 below and enter the gain from line 9 as a long-term capital gain on the Schedule D filed with your return (see instructions)						9

Part II Ordinary Gains and Losses(see instructions)

10 Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less)

ATTACHMENT 19						11,474.	
11	Loss, if any, from line 7					11	()
12	Gain, if any, from line 7 or amount from line 8, if applicable					12	
13	Gain, if any, from line 31					13	
14	Net gain or (loss) from Form 4684, lines 35 and 42a					14	
15	Ordinary gain from installment sales from Form 6252, line 25 or 36					15	
16	Ordinary gain or (loss) from like-kind exchanges from Form 8824					16	
17	Combine lines 10 through 16					17	11,474.
18	For all except individual returns, enter the amount from line 17 on the appropriate line of your return and skip lines a and b below For individual returns, complete lines a and b below						
a If the loss on line 11 includes a loss from Form 4684, line 39, column (b)(ii), enter that part of the loss here Enter the part of the loss from income-producing property on Schedule A (Form 1040), line 28, and the part of the loss from property used as an employee on Schedule A (Form 1040), line 23 Identify as from "Form 4797, line 18a" See instructions						18a	
b Redetermine the gain or (loss) on line 17 excluding the loss, if any, on line 18a Enter here and on Form 1040, line 14						18b	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4797** (2009)

Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255
(see instructions)

19	(a) Description of section 1245, 1250, 1252, 1254, or 1255 property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)
A			
B			
C			
D			

These columns relate to the properties on lines 19A through 19D		Property A	Property B	Property C	Property D
20	Gross sales price (Note: See line 1 before completing)	20			
21	Cost or other basis plus expense of sale	21			
22	Depreciation (or depletion) allowed or allowable	22			
23	Adjusted basis Subtract line 22 from line 21	23			
24	Total gain Subtract line 23 from line 20	24			
25	If section 1245 property:				
a	Depreciation allowed or allowable from line 22	25a			
b	Enter the smaller of line 24 or 25a	25b			
26	If section 1250 property. If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291				
a	Additional depreciation after 1975 (see instructions)	26a			
b	Applicable percentage multiplied by the smaller of line 24 or line 26a (see instructions)	26b			
c	Subtract line 26a from line 24. If residential rental property or line 24 is not more than line 26a, skip lines 26d and 26e	26c			
d	Additional depreciation after 1969 and before 1976	26d			
e	Enter the smaller of line 26c or 26d	26e			
f	Section 291 amount (corporations only)	26f			
g	Add lines 26b, 26e, and 26f	26g			
27	If section 1252 property. Skip this section if you did not dispose of farmland or if this form is being completed for a partnership (other than an electing large partnership)				
a	Soil, water, and land clearing expenses	27a			
b	Line 27a multiplied by applicable percentage (see instructions)	27b			
c	Enter the smaller of line 24 or 27b	27c			
28	If section 1254 property:				
a	Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion (see instructions)	28a			
b	Enter the smaller of line 24 or 28a	28b			
29	If section 1255 property:				
a	Applicable percentage of payments excluded from income under section 126 (see instructions)	29a			
b	Enter the smaller of line 24 or 29a (see instructions)	29b			

Summary of Part III Gains. Complete property columns A through D through line 29b before going to line 30.

30	Total gains for all properties. Add property columns A through D, line 24	30	
31	Add property columns A through D, lines 25b, 26g, 27c, 28b, and 29b. Enter here and on line 13	31	
32	Subtract line 31 from line 30. Enter the portion from casualty or theft on Form 4684, line 37. Enter the portion from other than casualty or theft on Form 4797, line 6	32	

Part IV Recapture Amounts Under Sections 179 and 280F(b)(2) When Business Use Drops to 50% or Less
(see instructions)

	(a) Section 179	(b) Section 280F(b)(2)
33	Section 179 expense deduction or depreciation allowable in prior years	33
34	Recomputed depreciation (see instructions)	34
35	Recapture amount. Subtract line 34 from line 33. See the instructions for where to report	35

Form 4797 (2009)

34-6555349
ATTACHMENT 19

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**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMCs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization THE KELVIN AND ELEANOR SMITH FOUNDATION	Employer identification number 34-6555349
	Number, street, and room or suite no. If a P.O. box, see instructions 30195 CHAGRIN BLVD.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CLEVELAND, OH 44124	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ► CAROL W. ZETTTelephone No ► 216 591-9111

FAX No ► _____

• If the organization does not have an office or place of business in the United States, check this box ☐• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 06/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 ____ or
- ☒ tax year beginning 11/01, 20 09, and ending 10/31, 20 10

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	54,654.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	83,762.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**.
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	THE KELVIN AND ELEANOR SMITH FOUNDATION	34-6555349
	Number, street, and room or suite no. If a P.O. box, see instructions	
	30195 CHAGRIN BLVD.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	CLEVELAND, OH 44124	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ CAROL W. ZETT
Telephone No. ☒ 216 591-9111 FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 09/15, 2011
- 5 For calendar year 11/01, 2009, or other tax year beginning 11/01, 2009, and ending 10/31, 2010.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension AN ADDITIONAL EXTENSION OF TIME IS RESPECTFULLY REQUESTED IN ORDER TO GATHER INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	93,762.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	63,762.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	15,000.

Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature [Signature] Title CFA Date 10/9/11

DELOITTE TAX LLP
127 PUBLIC SQUARE, SUITE 3300
CLEVELAND, OH 44114-1291

Form 8868 (Rev. 1-2011)