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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning Nov 1, 2009, and ending Oct 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation C. B. Laub Family Foundation		A Employer identification number 20-5826726
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see the Instructions) (301) 983-4977
	City or town Potomac	State ZIP code MD 20854	C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ **1,208,863.**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 <input checked="" type="checkbox"/> If the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	0.			
	4 Dividends and interest from securities	32,181.	32,181.		
	5a Gross rents				
	b Net rental income or (loss)	-483.			
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a	576,188.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	31,698.	32,181.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instr) See Line 18 Stmt	1,150.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	69.	60.			
24 Total operating and administrative expenses. Add lines 13 through 23	1,219.	60.			
25 Contributions, gifts, grants paid	57,770.			57,770.	
26 Total expenses and disbursements. Add lines 24 and 25	58,989.	60.		57,770.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-27,291.				
b Net investment income (if negative, enter -0-)		32,121.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash -- non-interest-bearing ..	2,771.	102.	102.
	2 Savings and temporary cash investments	109.	25,391.	25,391.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments -- U.S. and state government obligations (attach schedule)			
	b Investments -- corporate stock (attach schedule) L-10b Stmt.	1,048,832.	998,934.	1,183,370.
	c Investments -- corporate bonds (attach schedule)			
	11 Investments -- land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments -- mortgage loans				
13 Investments -- other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers -- see instructions. Also, see page 1, item I)	1,051,712.	1,024,427.	1,208,863.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,051,712.	1,024,427.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	1,051,712.	1,024,427.	
31 Total liabilities and net assets/fund balances (see the instructions)	1,051,712.	1,024,427.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year -- Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,051,712.
2	Enter amount from Part I, line 27a	2	-27,291.
3	Other increases not included in line 2 (itemize) <u>Unknown discrepancy</u>	3	6.
4	Add lines 1, 2, and 3	4	1,024,427.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) -- Part II, column (b), line 30	6	1,024,427.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See statement 1		P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 576,188.		576,671.	-483.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-483.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-483.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	[If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8]	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	45,120.	890,889.	0.050646
2007	74,265.	1,371,410.	0.054152
2006	25,580.	452,164.	0.056572
2005			
2004			

2 Total of line 1, column (d)	2	0.161370
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053790
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,138,326.
5 Multiply line 4 by line 3	5	61,231.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	321.
7 Add lines 5 and 6	7	61,552.
8 Enter qualifying distributions from Part XII, line 4	8	57,770.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		1	642.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b ..			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) ..			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	642.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	642.
6 Credits/Payments:			
a 2009 estimated tax prmts and 2008 overpayment credited to 2009	6a	519.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	519.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached ..	8	3.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	126.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) <input type="checkbox"/> MD – Maryland		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Part VII A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Craig B. Laub</u> Telephone no <u>(301) 983-4977</u> Located at <u>9512 Falls Bridge Lane, Potomac, MD</u> ZIP + 4 <u>20854-3954</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**5b****6b****7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Craig B. Laub 9512 Falls Bridge LN, Potomac, MD 20854	President 1.91	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a Average monthly fair market value of securities	1a 1,151,107.
b Average of monthly cash balances	1b 4,554.
c Fair market value of all other assets (see instructions)	1c
d Total (add lines 1a, b, and c)	1d 1,155,661.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2 Acquisition indebtedness applicable to line 1 assets	2
3 Subtract line 2 from line 1d	3 1,155,661.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4 17,335.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 1,138,326.
6 Minimum investment return. Enter 5% of line 5	6 56,916.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1 56,916.
2a Tax on investment income for 2009 from Part VI, line 5	2a 642.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b
c Add lines 2a and 2b	2c 642.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3 56,274.
4 Recoveries of amounts treated as qualifying distributions	4
5 Add lines 3 and 4	5 56,274.
6 Deduction from distributable amount (see instructions)	6
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 56,274.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a 57,770.
b Program-related investments — total from Part IX-B	1b 0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	3a
b Cash distribution test (attach the required schedule)	3b
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 57,770.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6 57,770.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				56,274.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009:				
a From 2004	0.			
b From 2005	0.			
c From 2006	6,725.			
d From 2007	6,653.			
e From 2008	1,196.			
f Total of lines 3a through e	14,574.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 57,770.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				56,274.
e Remaining amount distributed out of corpus	1,496.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	16,070.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	16,070.			
10 Analysis of line 9:				
a Excess from 2005	0.			
b Excess from 2006	6,725.			
c Excess from 2007	6,653.			
d Excess from 2008	1,196.			
e Excess from 2009	1,496.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

Craig B. Laub

9512 Falls Bridge Lane

Potomac

MD

20854

(000) 000-0000

b The form in which applications should be submitted and information and materials they should include:

Mail

c Any submission deadlines:

No

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

501(c)(3)'s only. No arts, religious or right-wing organizations.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Heifer International Box 8058 Little Rock AR 72203		501(c) (3)	International poverty reduction	400.
Alley Cat Allies 7920 Norfolk AV #600 Bethesda MD 20814		501(c) (3)	Care for ferral cats	400.
Jane Goodall Institute 4245 N. Fairfax DR #600 Arlington VA 22203		501(c) (3)	Primate conservation	400.
Polar Bear International 105 Morris ST #188 Sebastopol CA 95472		501(c) (3)	Polar Bear research and advocacy	400.
National Arbor Day Foundation 211 N. 12th ST Lincoln NE 68508		501(c) (3)	Plants and supports planting of trees	400.
The Nature Conservancy 4245 N. Fairfax DR #100 Arlington VA 22203		501(c) (3)	Environmental conservation	100.
CORAL 351 California ST #650 San Francisco, CA 94104		501(c) (3)	Coral reef conservation	1,400.
Oceana 1350 Connecticut Ave NW Washington DC 20036		501(c) (3)	Marine conservation	14,600.
Capital Area Food Bank 645 Taylor ST NE Washington DC 20017		501(c) (3)	Food for DC area poor	400.
See Line 3a statement				39,270.
Total			3a	57,770.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	0.	
4	Dividends and interest from securities			14	32,181.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-483.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				31,698.	
13	Total. Add line 12, columns (b), (d), and (e)				31,698.	

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2009

Name C. B. Laub Family Foundation	Employer Identification Number 20-5826726
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Asset Information:

Description of Property:	<u>Common stock</u>	<u>SEE STATEMENT 1.</u>
Date Acquired:	<u>Various</u>	How Acquired: <u>Purchased</u>
Date Sold:	<u>Various</u>	Name of Buyer: <u>n/a</u>
Sales Price:	<u>576,188.</u>	Cost or other basis (do not reduce by depreciation) <u>576,526.</u>
Sales Expense:	<u>145.</u>	Valuation Method: <u>Cost</u>
Total Gain (Loss):	<u>-483.</u>	Accumulation Depreciation: ..

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss):		Accumulation Depreciation: ..

Description of Property: ...		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss): ..		Accumulation Depreciation: ...

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss):		Accumulation Depreciation: ...

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss): .		Accumulation Depreciation:

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss): .		Accumulation Depreciation:

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss): .		Accumulation Depreciation:

Description of Property:		
Date Acquired:		How Acquired:
Date Sold:		Name of Buyer:
Sales Price:		Cost or other basis (do not reduce by depreciation)
Sales Expense:		Valuation Method:
Total Gain (Loss):		Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Federal 2009 balance	632.			
Federal estimated	518.			

Total 1,150.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Postage	9.			
Stock broker fees	60.	60.		

Total 69. 60.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
American Kidney Fund 6110 Executive BLVD #1010 Rockville MD 20852		501 (c) (3)	Assistance to people with kidney disease	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Doctors Without Borders 333 7th AV, 2nd Floor New York NY 10001		501 (c) (3)	International medical care	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Pathfinder International 9 Galen ST #217 Watertown MA 02472		501 (c) (3)	International maternal health & family planning	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 10,000.
Population Action International 1300 19th ST NW Washington DC 20036		501 (c) (3)	International population planning	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 2,000.
Planned Parenthood Fed. of America 434 W. 33rd ST New York NY 10001		501 (c) (3)	Maternal medical services	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
American Red Cross 8550 Arlington BLVD Fairfax VA 22031		501 (c) (3)	Disaster relief	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
GuideStar USA 4801 Courthouse ST #220 Williamsburg VA 23188		501 (c) (3)	Help donors give wisely	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 50.
WAMU / American University 4000 Brandywine ST NW Washington DC 20016		501 (c) (3)	Public radio station	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 100.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
National Parks Conservation Assn, 1300 19th Street NW #300 Washington DC 20036		501 (c) (3)	Conservation of National Parks	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Brady Center to Prevent Gun Violence 1225 Eye Street NW, #1100 Washington DC 20005		501 (c) (3)	Promote sensible gun control laws	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
National Kidney Foundation 30 East 33rd Street New York NY 10016		501 (c) (3)	Help prevent and treat kidney disease	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
American Institute of Philanthropy P.O. Box 578460 Chicago IL 60657		501 (c) (3)	Help donors give wisely	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 50.
Washington Consumers' Checkbook 1625 K Street NW, 8th Floor Washington DC 20006		501 (c) (3)	Evaluate consumer services	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 20.
Lifesharers 6509 Cornwall Drive Nashville TN 37205		501 (c) (3)	Encourage organ donation	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 700.
CARE 151 Ellis Street NE Atlanta GA 30303		501 (c) (3)	International medical care	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Engender Health 440 9th Avenue New York NY 10001		501 (c) (3)	International maternal health & family planning	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 4,000.
Cabin John Vol Fire Dept 8001 River Road Bethesda MD 20817		501 (c) (3)	Fight fires	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 100.
Wind Dance Farm & Earth Education Center 649 Virginia Line Road Berkley Springs WV 25411		501 (c) (3)	Environmental education	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 300.
Butler School 15951 Germantown Road Darnestown MD 20874		501 (c) (3)	Education grades K-8	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 16,700.
Chesapeake Bay Foundation 6 Herndon Avenue Annapolis MD 21403		501 (c) (3)	Conservation of the Chesapeake Bay	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Montgomery County Humane Society 14645 Rothgeb Drive Rockville MD 20850		501 (c) (3)	Animal Shelter	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.
Amnesty International USA 5 Penn Plaza New York NY 10001		501 (c) (3)	Support political prisoners	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 400.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
<u>HALT</u>			<u>Legal system</u>	Person or <input type="checkbox"/>
<u>1612 K Street NW, #510</u>		<u>501 (c) (3)</u>	<u>reform</u>	Business <input checked="" type="checkbox"/>
<u>Washington DC 20006</u>				400.
<u>International Rescue Committee</u>			<u>Humanitarian</u>	Person or <input type="checkbox"/>
<u>122 East 42nd Street</u>		<u>501 (c) (3)</u>	<u>assistance</u>	Business <input checked="" type="checkbox"/>
<u>New York NY 10168</u>				400.
<u>Environmentalists for Nuclear Energy</u>			<u>Fight global</u>	Person or <input type="checkbox"/>
<u>70 Park Street #32</u>		<u>501 (c) (3)</u>	<u>climate change</u>	Business <input checked="" type="checkbox"/>
<u>Brookline MA 02446</u>				50.

Total

39,270.

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
<u>Interactive Brokers Total</u>	<u>998,934.</u>	<u>1,183,370.</u>
Total	<u>998,934.</u>	<u>1,183,370.</u>

Form 990-PF, p2: Part II, Line 10b(b)

<u>Stock</u> <u>Symbol</u>	<u>Number</u> <u>of shares</u>	<u>Book value</u>
AAWW	176	\$8,806.16
AGM	99	\$1,567.67
AHC	1,006	\$7,011.02
AMOT	28	\$121.97
ARSD	30	\$90.15
BHS	38	\$283.29
BIOF	532	\$1,182.78
BOOT	2	\$38.95
BPT	1,860	\$169,702.01
BRLI	2,019	\$34,808.81
BSET	428	\$2,058.40
CAMP	740	\$1,844.28
CAW	202	\$1,122.11
CBOU	37	\$372.76
CCIX	261	\$1,549.04
CFI	28	\$375.34
CLFC	436	\$2,484.42
CLH	36	\$2,479.24
CMLS	807	\$2,287.71
CMT	251	\$1,313.43
CNXT	1,119	\$2,404.23
CPD	409	\$1,931.97
CRUS	150	\$2,279.25
DEST	51	\$1,244.66
DGIT	39	\$1,256.78
DLTR	25	\$926.33
DV	19	\$1,206.84
DW	40	\$944.00
EMMS	1,254	\$1,354.43
ENDP	43	\$963.84
ENS	56	\$1,270.92
ENTG	283	\$1,272.08
ESCA	2	\$5.29
ESI	12	\$1,269.28
EWZ	2,559	\$207,537.68
EZPW	64	\$1,265.06
FCFC	181	\$1,014.51
FCH	171	\$693.39
FCS	121	\$1,250.54
FFBC	51	\$947.33
FLOW	200	\$689.00
FMX	29	\$1,228.44
FNSR	101	\$1,266.04
FOSL	34	\$1,235.05
FRZ	134	\$694.79
FXI	4,265	\$117,192.68
GCI	82	\$1,238.60
GKK	167	\$697.00
GMCR	45	\$1,254.75
GNTX	65	\$1,258.08

<u>Stock</u> <u>Symbol</u>	<u>Number</u> <u>of shares</u>	<u>Book value</u>
GOK	81	\$686.47
GTIV	34	\$941.97
GTN	361	\$687.68
HBAN	199	\$952.22
HGR	51	\$941.20
HHGP	275	\$1,247.13
HILL	747	\$1,251.23
HITT	22	\$952.68
HSKA	887	\$696.29
HTCH	101	\$690.34
HUN	70	\$961.45
HYC	195	\$685.43
HZO	109	\$1,246.82
IDA	29	\$968.75
IDT	245	\$1,248.28
IEC	122	\$688.69
IM	52	\$950.30
INFI	113	\$711.09
IRF	63	\$1,272.91
ISLN	122	\$947.32
ISRG	4	\$1,350.41
JAS	34	\$1,291.83
JBSS	78	\$1,249.95
JCI	40	\$1,222.20
JDSU	122	\$1,242.56
JLL	15	\$975.00
JMBA	642	\$1,247.22
JNPR	44	\$1,218.58
JRN	315	\$1,252.13
KELYA	59	\$953.73
KID	229	\$1,127.83
KMGB	50	\$681.25
KSU	36	\$1,257.09
KTCC	122	\$688.69
KWR	33	\$693.50
LBY	50	\$693.25
LCC	175	\$1,234.62
LCUT	142	\$1,250.44
LDK	204	\$1,276.01
LEE	335	\$1,247.88
LION	220	\$1,250.16
LNCR	45	\$1,201.65
LNAT	110	\$690.56
LSI	229	\$1,239.51
LTD	43	\$967.72
LXK	38	\$1,254.93
MEG	79	\$692.44
MGPI	180	\$1,248.29
MOLX	62	\$1,267.59
MSN	347	\$1,247.47
MSSR	80	\$689.20
MWIV	23	\$959.71
NATI	40	\$1,261.00

<u>Stock</u> <u>Symbol</u>	<u>Number</u> <u>of shares</u>	<u>Book value</u>
NAVI	408	\$1,246.42
NAVR	349	\$692.77
NCT	292	\$693.50
NDN	78	\$1,274.91
NDSN	14	\$937.73
NEI	336	\$687.11
NETL	46	\$1,219.60
NEWP	89	\$950.96
NLS	220	\$689.70
NNBR	329	\$1,350.55
NR	170	\$951.15
NUHC	387	\$1,348.70
NXST	271	\$1,252.43
OCNF	528	\$12,794.17
OIIM	153	\$953.20
ONNN	157	\$1,237.95
ORCL	7,659	\$140,901.16
PACW	47	\$952.46
PCLN	4	\$935.82
PEIX	325	\$693.88
PFSW	446	\$1,228.73
PLAB	149	\$692.11
PLT	45	\$1,280.48
PLXS	37	\$1,271.44
PMCS	151	\$1,249.53
PMI	244	\$696.60
PNCL	84	\$693.42
POWI	35	\$1,262.28
PRSC	51	\$690.80
PTSI	90	\$1,259.55
PULS	150	\$692.25
PWAV	576	\$694.07
PXLW	150	\$686.25
PZZ	112	\$694.96
RAME	794	\$1,250.53
RAS	8,729	\$38,152.06
RBCN	60	\$945.30
RCKY	60	\$506.10
RELL	87	\$692.08
REX	38	\$691.03
RHB	1,584	\$34,238.57
ROIAK	217	\$691.15
ROK	23	\$1,219.38
ROP	23	\$1,259.86
RSO	7,491	\$31,734.07
RTEC	112	\$950.32
RURL	202	\$1,256.63
RUTH	174	\$691.65
SBNY	34	\$1,262.93
SCVL	37	\$697.27
SFN	120	\$953.40
SHLM	15	\$386.08
SHW	19	\$1,215.39

<u>Stock</u> <u>Symbol</u>	<u>Number</u> <u>of shares</u>	<u>Book value</u>
SLE	90	\$1,219.05
SMP	143	\$1,250.61
SOA	90	\$1,257.75
SPA	120	\$690.60
SRI	80	\$689.99
SRZ	164	\$692.90
SSYS	34	\$935.39
STS	519	\$1,357.19
STX	63	\$1,218.73
SUMR	128	\$694.40
TAYC	60	\$680.10
TEL	48	\$1,236.72
TGH	45	\$942.53
THMD	172	\$1,337.30
TKLC	77	\$1,275.51
TLAB	180	\$1,250.09
TLB	116	\$1,273.09
TRS	204	\$1,271.83
TTC	22	\$972.55
TUES	110	\$692.45
TXIC	149	\$1,252.35
TXN	50	\$1,211.25
TXRH	70	\$944.65
UAL	54	\$981.99
UFI	320	\$1,249.60
VCBI	152	\$922.78
VECO	37	\$1,234.88
VITC	83	\$950.77
VPG	8	\$120.18
VRS	370	\$1,248.75
VSH	123	\$1,113.39
WTV	194	\$894.27
WDC	32	\$1,226.40
WFMI	36	\$1,239.97
WGA	126	\$305.40
WPZ	24	\$930.15
WSO	16	\$944.71
WSTL	848	\$1,250.80
WTI	108	\$952.01
XJT	190	\$688.75
ZBRA	45	\$1,282.73
ZHNE	498	\$1,253.75
	<u>68,889</u>	<u>\$998,933.54</u>

Statement 1: Form 990-PF, Part IV, Line 1

# shares	(a) Common stock sold Company name	(b) How acquired		(c) Date acquired	(d) Date sold	(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis plus expense of sale	(h) Gain or (loss)
		P—Purchase	D—Donation						
680	World Acceptance Corp	P		09/03/09	02/26/10	\$28,134.77		\$17,310.09	\$10,824.69
423	World Acceptance Corp	P		09/04/09	03/03/10	16,832.51		10,986.57	5,845.94
63	World Acceptance Corp	P		09/08/09	02/26/10	2,606.60		1,632.92	973.69
102	World Acceptance Corp	P		09/08/09	03/03/10	4,058.90		2,643.77	1,415.13
87	World Acceptance Corp	P		09/08/09	03/04/10	3,443.13		2,254.98	1,188.14
285	World Acceptance Corp	P		09/08/09	03/05/10	11,525.39		7,387.01	4,138.38
483	World Acceptance Corp	P		09/09/09	02/25/10	19,704.12		13,080.97	6,623.14
220	World Acceptance Corp	P		09/10/09	02/25/10	8,974.96		5,840.45	3,134.51
1,214	World Acceptance Corp	P		09/10/09	03/01/10	49,027.44		32,228.65	16,798.78
454	World Acceptance Corp	P		09/11/09	03/01/10	18,334.81		12,020.62	6,314.19
97	World Acceptance Corp	P		09/11/09	03/03/10	3,859.94		2,568.28	1,291.66
228	Oracle Corp	P		03/16/09	06/11/10	5,095.80		3,454.40	1,641.40
1,166	iShares MSCI Brazil	P		06/09/08	05/27/10	73,301.32		109,477.36	(36,176.04)
0 6667	Oceanfreight Inc	P		10/16/08	06/17/10	0.92		25.58	(24.65)
51	Oceanfreight Inc	P		10/02/08	07/16/10	42.52		1,871.22	(1,828.70)
183	Oceanfreight Inc	P		10/03/08	07/16/10	152.58		7,008.01	(6,855.43)
666	Oceanfreight Inc	P		10/06/08	07/16/10	555.29		20,339.05	(19,783.76)
170	United States Oil Fund LP	P		02/25/09	06/08/10	5,627.79		4,443.80	1,183.99
536	United States Oil Fund LP	P		02/25/09	06/09/10	18,240.08		14,011.04	4,229.04
1,109	United States Oil Fund LP	P		03/02/09	06/08/10	36,713.05		27,698.34	9,014.71
256	United States Oil Fund LP	P		03/05/09	05/27/10	8,753.60		6,814.72	1,938.88
317	United States Oil Fund LP	P		03/05/09	06/09/10	10,787.51		8,438.54	2,348.97
377	iShares FTSE/Xinhua China 25	P		03/16/09	06/08/10	14,574.82		10,361.00	4,213.82
562	iShares FTSE/Xinhua China 25	P		03/16/09	06/10/10	22,485.67		15,445.32	7,040.35
187	iShares FTSE/Xinhua China 25	P		03/16/09	06/29/10	7,377.15		5,139.28	2,237.87
146	Rehabcare Group Inc	P		09/11/09	06/21/10	4,035.92		3,171.24	864.68
236	Rehabcare Group Inc	P		09/11/09	06/24/10	6,143.21		5,126.12	1,017.09
209	Rehabcare Group Inc	P		09/11/09	06/25/10	5,579.77		4,539.65	1,040.12
26	Rehabcare Group Inc	P		09/11/09	07/01/10	546.78		564.74	(17.96)
221	Rehabcare Group Inc	P		09/11/09	07/02/10	4,659.25		4,800.30	(141.05)
1,081	Fuqi International Inc	P		09/08/09	02/25/10	18,902.10		32,041.90	(13,139.79)
278	Fuqi International Inc	P		09/09/09	02/26/10	5,192.01		8,125.53	(2,933.52)
189	Fuqi International Inc	P		09/09/09	03/02/10	3,648.49		5,524.19	(1,875.70)
38	Fuqi International Inc	P		09/09/09	03/03/10	728.84		1,110.68	(381.84)
528	Fuqi International Inc	P		09/09/09	03/04/10	9,884.82		15,432.66	(5,547.84)
575	Fuqi International Inc	P		09/09/09	03/05/10	11,035.30		16,806.40	(5,771.10)

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		P—Purchase	D—Donation					expense of sale		
181	Fuji International Inc	P		09/10/09	02/25/10	3,164.92		5,344.46		(2,179.54)
713	Fuji International Inc	P		09/10/09	03/02/10	13,763.87		21,053.06		(7,289.19)
162	Bio-Reference Labs Inc	P		09/17/09	06/21/10	3,774.82		2,804.81		970.01
69	Bio-Reference Labs Inc	P		09/17/09	06/24/10	1,603.56		1,194.64		408.92
82	Bio-Reference Labs Inc	P		09/17/09	06/29/10	1,818.80		1,419.72		399.08
130	Bio-Reference Labs Inc	P		09/17/09	06/30/10	2,895.10		2,250.77		644.33
40	Agilent Technologies Inc	P		02/25/10	06/21/10	1,328.80		1,226.80		102.00
6	Apple Inc	P		02/25/10	06/21/10	1,657.32		1,186.26		471.07
51	Altera Corp	P		02/25/10	06/22/10	1,296.93		1,225.02		71.91
44	Arrow Electronics Inc	P		02/25/10	06/23/10	1,093.99		1,246.96		(152.97)
37	Cardinal Health Inc	P		02/25/10	06/23/10	1,281.68		1,225.81		55.87
53	Cheesecake Factory	P		02/25/10	06/24/10	1,255.57		1,251.71		3.86
51	Cliffs Natural Resources Inc	P		02/25/10	06/28/10	2,785.62		2,720.85		64.77
12	Chipotle Mexican Grill-CI A	P		02/25/10	06/28/10	1,758.15		1,241.72		516.42
22	Cummins Inc	P		02/25/10	06/28/10	1,557.78		1,208.57		349.21
28	NBTY Inc	P		02/25/10	10/01/10	1,540.14		1,263.37		276.77
0 7857	Vishay Precision Group, Inc	P		02/25/10	07/07/10	8.16		11.80		(3.64)
28	Atlas Air Worldwide Holdings	P		02/26/10	06/21/10	1,500.23		1,259.73		240.50
33	American Italian Pasta Co-A	P		02/26/10	06/22/10	1,739.43		1,286.01		453.42
143	Applied Micro Circuits Corp	P		02/26/10	06/22/10	1,617.33		1,275.55		341.78
73	Anntaylor Stores Corp	P		02/26/10	06/23/10	1,334.44		1,251.22		83.22
33	Aptargroup Inc	P		02/26/10	06/23/10	1,270.51		1,269.36		1.15
53	Caviium Networks Inc	P		02/26/10	06/25/10	1,432.06		1,255.57		176.49
44	Cabot Corp	P		02/26/10	06/25/10	1,162.48		1,275.12		(112.64)
15	Capella Education Company	P		02/26/10	06/30/10	1,223.63		1,250.78		(27.15)
43	Americredit Corp	P		03/01/10	06/21/10	876.77		953.31		(76.54)
40	American Commercial Lines	P		03/01/10	06/21/10	869.75		962.80		(93.05)
64	Advanced Energy Industries	P		03/01/10	06/21/10	927.36		945.92		(18.56)
51	Align Technology Inc	P		03/01/10	06/22/10	760.92		950.64		(189.72)
95	Amerigon Inc	P		03/01/10	06/23/10	672.60		953.80		(281.20)
391	Array Biopharma Inc	P		03/01/10	06/23/10	1,323.85		954.04		369.81
22	Cracker Barrel Old Country Sto	P		03/01/10	06/25/10	1,054.92		970.46		84.46
26	Cabot Microelectronics Corp	P		03/01/10	06/25/10	941.71		938.88		2.83
34	Career Education Corp	P		03/01/10	06/25/10	843.54		970.36		(126.82)
49	Cognex Corp	P		03/01/10	06/28/10	927.09		942.76		(15.67)
45	Columbia Banking System Inc	P		03/01/10	06/29/10	844.65		926.40		(81.75)
0.5000	Dollar Tree Inc	P		03/01/10	06/25/10	20.90		18.53		2.37
45	Micrus Endovascular Corp	P		03/01/10	09/28/10	1,053.23		932.40		120.83

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62	Arctic Cat Inc	P	03/02/10	09/21/10	545.68		685.72	(140.04)
229	Arctic Cat Inc	P	06/21/10	09/21/10	2,015.49		2,199.68	(184.19)
180	AH Belo Corp-W/I	P	09/22/10	09/30/10	1,274.40		1,230.35	44.05
94	Atlas Pipeline Holdings Lp	P	03/02/10	06/22/10	437.10		687.01	(249.91)
98	On Assignment Inc	P	03/02/10	06/23/10	499.82		686.97	(187.15)
252	Strategic Hotels & Resorts I	P	03/02/10	06/23/10	1,177.49		692.99	484.51
65	Bon-Ton Stores Inc/The	P	03/02/10	09/23/10	538.49		689.00	(150.51)
46	Bon-Ton Stores Inc/The	P	06/24/10	09/23/10	381.09		470.23	(89.15)
154	Bon-Ton Stores Inc/The	P	06/24/10	09/24/10	1,318.85		1,574.26	(255.41)
24	Culp Inc	P	03/02/10	09/24/10	233.58		321.84	(88.26)
14	Culp Inc	P	06/28/10	09/24/10	136.26		168.63	(32.37)
148	Culp Inc	P	06/29/10	09/24/10	1,440.43		1,764.92	(324.49)
59	Chindex International Inc	P	03/02/10	06/28/10	735.73		695.02	40.71
78	O'Charleys Inc	P	03/02/10	06/28/10	464.88		691.08	(226.20)
66	Carnike Cinemas Inc	P	03/02/10	06/28/10	447.48		685.08	(237.60)
753	Cosi Inc	P	03/02/10	06/29/10	543.72		699.99	(156.27)
36,1027	ICO, Inc.	P	03/02/10	04/30/10	309.58		293.88	15.70
0.4544	A. Schulman	P	03/02/10	04/30/10	12.32		11.70	0.63
335	Air Transport Services Group I	P	03/03/10	06/23/10	1,732.00		894.45	837.55
132	Air Transport Services Group I	P	03/03/10	06/24/10	654.39		352.44	301.95
386	Bell Microproducts Inc	P	06/23/10	07/07/10	2,703.93		2,515.67	188.26
171	Caribou Coffee Co Inc	P	03/03/10	09/24/10	1,719.34		1,248.30	471.04
37	Caribou Coffee Co Inc	P	06/25/10	09/24/10	372.02		372.95	(0.92)
31	Caribou Coffee Co Inc	P	06/25/10	09/27/10	314.96		312.47	2.49
3	Caribou Coffee Co Inc	P	06/25/10	09/28/10	30.34		30.24	0.10
354	Casual Male Retail Group Inc	P	03/03/10	06/29/10	1,217.76		1,235.46	(17.70)
4	Casual Male Retail Group Inc	P	03/04/10	06/29/10	13.76		14.16	(0.40)
245	Commercial Vehicle Group Inc	P	03/03/10	07/01/10	2,445.10		1,251.95	1,193.15
505	Arbor Realty Trust Inc	P	03/04/10	06/21/10	2,788.31		1,252.40	1,535.91
199	Biofuel Energy Corp	P	03/04/10	09/23/10	413.92		559.18	(145.26)
130	Biofuel Energy Corp	P	06/23/10	09/22/10	258.70		224.64	34.06
333	Biofuel Energy Corp	P	06/23/10	09/27/10	692.64		575.41	117.23
402	Biofuel Energy Corp	P	06/24/10	09/22/10	799.98		679.38	120.60
157	Calamp Corp	P	03/04/10	09/23/10	396.64		455.30	(58.66)
301	Calamp Corp	P	06/25/10	09/24/10	763.39		677.25	86.14
554	Interstate Hotels & Resorts	P	03/04/10	03/19/10	1,249.27		1,252.04	(2.77)
0.0850	Fidelity Southern Corp	P	03/05/10	04/29/10	0.76		0.50	0.26
0.0900	Fidelity Southern Corp	P	03/05/10	07/30/10	0.59		0.53	0.06

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		P—Purchase	D—Donation							
0.0950	Fidelity Southern Corp	P		03/05/10	10/29/10	0.65		0.54		0.11
127	Protection One Inc	P		03/04/10	06/08/10	1,969.14		1,252.22		716.92
0.8000	Zhone Technologies Inc	P		03/04/10	03/04/10	1.86		2.02		(0.16)
1,263	Autobytel Inc	P		03/05/10	06/21/10	1,491.40		1,364.04		127.36
151	Arabian American Development	P		03/05/10	06/24/10	374.03		454.51		(80.48)
30	Arabian American Development	P		03/05/10	06/25/10	72.00		90.30		(18.30)
15	Arabian American Development	P		03/05/10	06/28/10	37.43		45.15		(7.73)
136	Arabian American Development	P		03/05/10	06/30/10	339.10		409.36		(70.26)
60	Arabian American Development	P		03/05/10	07/01/10	138.60		180.60		(42.00)
30	Arabian American Development	P		03/05/10	09/22/10	72.00		90.30		(18.30)
87	Bassett Furniture Inds	P		03/05/10	09/24/10	421.66		418.47		3.19
29	Bassett Furniture Inds	P		03/05/10	09/29/10	143.55		139.49		4.06
29	Bassett Furniture Inds	P		06/25/10	09/23/10	137.46		139.70		(2.24)
693	Consumer Portfolio Services	P		03/05/10	06/30/10	868.29		1,358.28		(489.99)
38	National Dentex Corp	P		03/05/10	07/30/10	646.19		315.78		330.41
54	Advance Auto Parts Inc	P		06/21/10	09/21/10	3,156.84		2,771.28		385.56
502	American Capital Ltd	P		06/21/10	09/21/10	2,946.74		2,871.42		75.32
28	Federal Agric Mtg Corp-CI C	P		06/21/10	09/21/10	315.28		443.52		(128.24)
50	Federal Agric Mtg Corp-CI C	P		06/21/10	09/22/10	557.50		792.00		(234.50)
14	Aerocentury Corp	P		06/22/10	09/21/10	226.73		309.96		(83.23)
14	Aerocentury Corp	P		06/22/10	09/22/10	228.03		309.96		(81.93)
28	Aerocentury Corp	P		06/23/10	09/22/10	456.06		632.52		(176.46)
2	Aerocentury Corp	P		06/24/10	09/22/10	32.58		47.32		(14.74)
36	Aerocentury Corp	P		06/24/10	09/23/10	586.44		851.76		(265.32)
50	Alaska Air Group Inc	P		06/22/10	09/22/10	2,431.50		2,481.50		(50.00)
160	Allied Motion Technologies I	P		06/23/10	09/22/10	687.60		693.98		(6.38)
74	Allied Motion Technologies I	P		06/24/10	09/22/10	318.02		319.68		(1.67)
91	Allied Motion Technologies I	P		06/28/10	09/22/10	391.07		396.85		(5.78)
1	Allied Motion Technologies I	P		06/28/10	09/23/10	3.90		4.36		(0.47)
81	Allied Motion Technologies I	P		06/28/10	09/24/10	355.59		353.24		2.35
107	Allied Motion Technologies I	P		06/29/10	09/22/10	459.83		454.75		5.08
89	Astec Industries Inc	P		06/23/10	09/22/10	2,425.28		2,640.49		(215.21)
33	Brookfield Homes Corp	P		06/23/10	10/01/10	273.90		246.18		27.72
142	Brookfield Homes Corp	P		06/24/10	09/22/10	1,136.00		1,042.28		93.72
35	Brookfield Homes Corp	P		06/25/10	09/22/10	280.00		259.35		20.65
28	Brookfield Homes Corp	P		06/25/10	09/24/10	225.68		207.48		18.20
14	Brookfield Homes Corp	P		06/25/10	09/28/10	113.61		103.74		9.87
42	Brookfield Homes Corp	P		06/25/10	09/30/10	343.49		311.22		32.27

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# shares	Company name	P—Purchase	D—Donation						
23	Brookfield Homes Corp	P		06/25/10	10/01/10	190.90		170.43	20.47
101	Lacrosse Footwear Inc	P		06/24/10	09/23/10	1,380.70		1,967.31	(586.61)
1	Lacrosse Footwear Inc	P		06/24/10	09/27/10	14.04		19.48	(5.43)
31	Lacrosse Footwear Inc	P		06/24/10	10/01/10	438.03		603.83	(165.80)
19	Cascade Corp	P		06/25/10	09/24/10	567.67		718.82	(151.14)
74	Casey's General Stores Inc	P		06/25/10	09/23/10	3,164.98		2,647.65	517.33
14	CCA Industries Inc	P		06/25/10	09/23/10	76.93		77.84	(0.91)
28	CCA Industries Inc	P		06/25/10	09/30/10	155.12		155.68	(0.56)
143	Coleman Cable Inc	P		06/25/10	09/24/10	814.23		849.42	(35.19)
36	Coleman Cable Inc	P		06/25/10	09/27/10	206.67		213.84	(7.17)
62	Actuate Corporation	P		06/28/10	09/21/10	297.91		275.90	22.01
245	Actuate Corporation	P		06/28/10	09/22/10	1,176.00		1,090.25	85.75
133	Actuate Corporation	P		06/29/10	09/21/10	639.07		578.55	60.52
50	Actuate Corporation	P		06/30/10	09/22/10	240.00		223.50	16.50
545	Anadigics Inc	P		06/28/10	09/22/10	2,953.92		2,496.10	457.82
67	Clarcor Inc	P		06/28/10	09/24/10	2,576.83		2,478.33	98.50
Total capital gain net income or (net capital loss)						576,187.99		576,670.95	(\$482.96)